# 1 Author Response to Both Referee Comments: 2

Response: We thank the two reviewers for thoughtful suggestions and constructive criticism that
have helped us improve our manuscript. Below we provide responses to reviewer concerns and
suggestions in blue font.

7 Reviewer 1:

8 General comments: This paper presents a new dataset of stratocumulus cloud clearings off the 9 California coast derived from satellite observations, and examines this dataset with a variety of 10 perspectives, including composites of satellite and reanalysis data, aircraft case studies, and a 11 machine learning-based examination of clearing growth rates. The multitude of approaches is 12 thorough and effective at providing a very in-depth characterization of clearing events. The paper 13 is well-written, the text well-supported by the provided figures, and related work is sufficiently 14 cited and referenced.

## 15 16

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17 With regards to interpretation, there are a few areas where I feel the authors can improve and 18 clarify the message of this paper. The most general is in the interpretation of how the large-scale conditions relate to cloud clearings (mainly sections 3.2, 3.3). For a clearing event to take place 19 and be manually identified as described in section 2.1, two conditions must be met: there must be 20 a cloud deck present, and then there must be a coastal clearing that occurs. In other words, the 21 environment must be initially great for a cloudy MBL, and also eventually (at least coastally) 22 23 poor for a cloudy MBL. The authors spend much of their interpretation arguing (and convincingly so) why certain factors (e.g. offshore winds) would be detrimental to clouds and 24 25 result in a clearing, but not much on the first condition. For example, when it comes to 26 interpreting the link between clearing days and enhanced stability (Fig 9b), I would expect that it 27 is not so much that the stability is causing a clearing, but rather the link between strong LTS and 28 cloudiness that allows there to be a cloud deck to erode in the first place. Whether a particular environmental factor is predictive of there being a cloud deck, or predictive of it being eroded, is 29 something that can help understand some of the less explained results in the paper, in particular 30 when comparing clearing vs non-clearing days. An obvious one would be the overall higher 31 cloud fraction on clearing days. Presumably, a day with no stratocumulus deck in which to 32 identify a clearing would be classified as "non-clearing day" (if this is incorrect and non-cloudy 33 days are discarded, this should be clarified in section 2.1), and therefore days in which the large-34 scale conditions in the NEP were unfavourable for clouds would be mixed together with cases 35 which were very favourable to clouds and no clearing occurred in the 'non-clearing day' 36 37 category. While it would be sufficient to see this discussed in the interpretation with no additional figures, for their own interest the authors might consider splitting their 'non-clearing 38 days' (of which there are approximately twice as many as clearing days anyways) into two sets, 39 based on some criteria of overall cloudiness, and a three-way comparison between 'overall clear 40 days', 'cloudy days-with clearing' and 'cloudy days-no clearing' might prove more interpretable. 41 42

43 Response:

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According to the reviewer's comment, we decided to split non-clearing events into two sub categories of clear and non-clear based on an overall cloud fraction threshold 0.5. Based on this

criterion, 529 cases out of total 614 non-clearing days were further classified as cloudy nonclearing cases. As a result, the influence of events with unfavorable large scale conditions for
low-level cloud formation are minimized. Then, we constructed the climatology comparisons of
important large scale parameters between clearing and non-clearing (cloudy) conditions similar
to Fig.7 in the manuscript. The results are shown in the following figure:



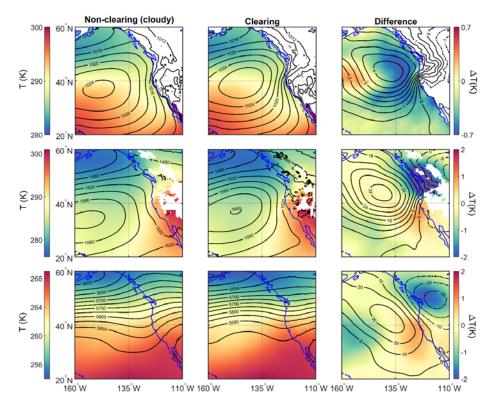




Figure: Climatology of non-clearing (cloudy with CF > 0.5 for study region between 135-115° W
and 30-50° N) and clearing days as well as their differences (clearing minus non-clearing) during
the summers (JJA) between 2009 and 2018 for a) mean sea level pressure (contours in hPa) and
air temperature (color map) at sea surface, b) 850 hPa geopotential heights (contours in m) and
air temperature (color map), and c) 500 hPa geopotential heights (contours in m) and air
temperature (color map). The data were obtained from MERRA-2 reanalysis. Differences
(clearing minus non-clearing) are shown in the farthest right column with separate color scales.
White areas indicate no data were available.

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As it turns out, the general features were preserved after subcategorizing non-clearing eventsbased on cloud fraction. This result convinced us that the general mechanisms including the

displacement/enhancement of the Pacific high associated with clearing events stem from the
nature of clearings and not from our analysis method. We have decided to not include this
analysis in the manuscript as it might distract the discussion presented in the body of the paper.
However, we revised the discussion of Section 3.2 to address reviewer's comment regarding
clarifying if certain parameters (like greater *LTS*) are responsible for clearing formation. We
refer the reviewer to edits in Section 3.2 for the concern raised in this comment.

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73 This same point is also relevant for the growth rate discussion. The authors show that the initial growth rate is strongest. A high growth rate would obviously correlate with a larger final clearing 74 area, and this perspective is taken throughout the discussion of growth rate influences, but also a 75 high growth rate may be associated with initially smaller clearings (this is supposition, though 76 the authors could easily investigate in their dataset by examining whether the fastest growing 77 78 clearings tended to have smaller-than-average initial sizes). Figures 4a supports this however; the 79 presence of a longer lower tail on 9 a.m. size and absence of a longer upper tail on 12 p.m. size 80 (though the log scale might be overemphasizing this) indicates that small initial clearings and 81 not large final clearings are more likely to be the result of a high growth rate. In this case, it 82 would be equally valid to explain why certain predictors of growth rate might be associated with 83 enhanced nighttime cloudiness (again, such as the 1 parameter, T850 or possibly LTS), and therefore a well (re-)formed initial deck that is then subsequently susceptible to breakup. Again, 84 this point can largely be addressed in the discussion of results or by author rebuttal and does not 85 require additional figures. 86

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# 88 Response:

We addressed this comment by computing the average initial size of clearings (at the time relevant to image 1) which had growing rates (between image 1 and 2) faster than the 95<sup>th</sup> percentile of all

to image 1) which had growing rates (between image 1 and 2) faster than the 95<sup>th</sup> percentile of all growth rates. This analysis reveals that in fact the average initial size of the aforementioned subset of clearings is 239,100 km<sup>2</sup>, while the average size of all clearings is 118,150 km<sup>2</sup>. This suggests that the reviewer's speculation is not the case as the fastest growing clearings did not tend to have smaller than average initial sizes. Thus, we have decided to not change any part of manuscript based on this comment.

98 Specific comments:

99 Section 2.1, line 119: Can you describe in slightly more detail what was necessary for the visual 100 identification of a clearing event? Approximately how large, how distinct, how much cloud had 101 to be adjacent to the clearing? Were days when the Sc deck was completely detached from the 102 coast or absent considered?

# 104 Response:

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106 We added the following description in Section 2.1 in response to this comment:

(i) "Each day's sequence of GOES images were visually inspected to identify if a clearing
event was present. This involved utilizing the following general guidelines: (i) There had
to be sufficient cloud surrounding the clearing area that the clearing's borders could be
approximately identified, which excluded cases with highly broken cloud deck; (ii)

Clearings that were not connected to land between  $30^{\circ}$ - $50^{\circ}$  N in any of daily images were 112 excluded; (iii) Days with the cloud deck completely detached from the coast between 30°-113 50° N were not considered; and (iv) Only clearings with a maximum daily area of greater 114 115 than 15,000 km<sup>2</sup> (which translates to a clearing length on the order of 100 km) were considered. Consequently, the statistics presented in Section 3.1.1 represent a lower limit 116 of clearing occurrence in the study region. However, it is expected that the qualitative 117 trends discussed in Section 3.1.1 are representative of clearing behavior in the study 118 region." 119

- 121 Section 3.2 (Clearing vs Non-Clearing)
- 122 The difference in subsidence between clearing and non-clearing days seems stark and
- 123 geographically well-matched to the clearing locations, and yet it comes out as minimally
- 124 important in the PD analysis. Is the only effect of subsidence to lead to a drier lower FT and 125 therefore all is its signal is contured in T8502 The w700 discussion seemed year brief
- therefore all it its signal is captured in T850? The w700 discussion seemed very brief.
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Response: The influence of subsidence on clearing growth is further explained in Section 3.3 as
follows:

- "The relationship between  $\omega$  at 700 hPa and  $PD_{GRArea}$  is complex. Brueck et al. (2015) suggested that enhanced  $\omega_{700}$  promotes cloudiness due to its link to higher *LTS*. Myers and Norris (2013)
- further showed that stronger subsidence can reduce CF (at fixed inversion strength) by pushing
- down the top of the MBL, which is also supported by Bretherton et al. (2013). The  $PD_{GRArea}$
- profile of  $\omega_{700}$  exhibited a minimum point near a value of 0 0.2 Pa s<sup>-1</sup>, with increases in *GR*<sub>Area</sub>
- below and above that range. The increase in  $PD_{GRArea}$  with  $\omega$  values above 0.2 Pa s<sup>-1</sup> can be
- attributed to the negative influence of subsidence on lower CF (via pushing down the top of the
- 137 MBL) as discussed by Myers and Norris (2013). Conversely, the increase in  $GR_{Area}$  with
- 138 decreasing  $\omega$  values below 0 Pa s<sup>-1</sup> can be due to upward motion reducing the strength of the
- inversion capping the MBL, which is important to sustain the cloud deck. Vertical motions
- 140 represented by the  $\omega_{700}$  parameter could also induce dynamical circulations affecting cloud top
- 141 processes such as shear and entrainment."
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The difference in AOD (low AOD on clearing days, mainly from 43N and up) may be 143 explainable by the circulations shown in figure 8, with anomalously northerly and westerly flow 144 bringing in relatively cleaner air from the marine midlatitudes. That being said, there is no 145 obvious connection between the AOD and Nd maps (low AOD but high Nd on clearing days, 146 though not collocated) that would suggest that the AOD anomalies are having any significant 147 microphysical effect in terms of increasing available CCN, even north of the clearing region. 148 149 One remedy would be backtrajectory analysis from the low AOD anomaly region, or else 150 looking at the species of aerosol in MERRA-2 to see whether summertime wildfires (which have a large effect on AOD) are impacting the AOD results. The authors state that this may be left for 151

- 152 future work, which I would agree with.
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- 154 Response: This could be subject of future work. Too much for this current paper in our view.
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- 156 Section 3.3 (Growth Rates): It's not clear to me that the condition of requiring only that r2 < 0.5157 is a sufficient independence constraint to allow for accurate interpretation of the PD results. For
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158 true independence, the authors could have performed an EOF decomposition of all mentioned 159 variables, including those that would clearly correlate strongly with other variables (e.g. LTS, 160 EIS, which as the authors point out are crucial MBL cloud variables), perform the GBRT 161 regression and PD analysis, and additionally the correlation of leading EOFs with input variables. I admit that this would add a level of interpretation, but it would more effectively deal 162 with the tricky problem that so many of these variables are correlated. As it stands the selection 163 of variables seems a little arbitrary, and it is not clear that the resulting ranking of the variables in 164 Figure 11 is physically meaningful. It might be helpful to see another relative ordering of the 165 importance of these variables in accurately determining the growth rate, such as permutation 166 feature importance. Machine learning results are inherently difficult to interpret and the authors 167 have done a more thorough job than many, but one way to improve robustness of interpretation 168 is using multiple evaluation methods. 169 170

#### 171 Response:

We revised the test regarding  $r^2$  criterion to emphasize that the threshold value of 0.5 is chosen based on trial and error and it will only reduce the negative impact of correlated variables and

- based on trial and error and it will only reduce the negative impawill not completely remove undesired effects:
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176 "While PD plots are not flawless in capturing the influence of each variable in the model, especially if the input variables are strongly correlated, they provide useful information for 177 interpretation of GBRT results (Friedman and Meulman 2003; Elith et al., 2008). To decrease the 178 undesired influence of correlated variables on PD profiles, an arbitrary  $r^2$  threshold of 0.5 was 179 180 used based on the linear regressions between prospective input parameters. For instance, there were three choices of air temperature (i.e., at 950, 850, and 700 hPa), but based on the  $r^2$  criterion, 181 only one  $(T_{850})$  was used in the model to minimize the unwanted impact of dependent input 182 183 parameters. Lower tropospheric stability (LTS: defined as the difference between the potential 184 temperature of the free troposphere (700 hPa) and the surface) is the stability parameter that has 185 been widely used as a key factor controlling the coverage of stratocumulus clouds. However, in this study, the effects of stability were examined by putting  $T_{850}$  and SST into the model without 186 explicitly including LTS. The correlation between LTS and  $T_{850}$  prevented them to be used as input 187 parameters simultaneously. Using T<sub>850</sub> and SST instead of LTS is advantageous because the results 188 can be more informative by revealing different impacts of the two individual parameters on the 189 190 model's output rather than just one parameter in the form of LTS. In addition, the mean sea level pressure anomaly (MSLPanom) was used as an input parameter, which was calculated in reference 191 to the average values of MSLP for the summer months for the study period. In the end, the 192 following 11 predicting variables from MERRA-2 were used as input parameters for the GBRT 193 194 simulations, with data product details summarized in Table 1: AOD, T<sub>850</sub>, g<sub>950</sub>, g<sub>850</sub>, g<sub>700</sub>, SST, 195  $MSLP_{anom}$ ,  $U_{850}$ ,  $V_{850}$ , PBLH, and  $\omega_{700}$ . It is important to note that the results of extensive sensitivity tests led to the selection of the set of parameters presented in this study. Also, theses sensitivity 196 tests confirmed that the general conclusions presented here were preserved regardless of using 197 different sets of the input parameters. 198

199 To train, test, and validate the statistical models, the dataset was split into random parts. The

training set was comprised of 75% of the data points, 30% of which were randomly selected for

validation. This process helped reduce variance and increase model robustness. The remaining

202 25% of the data points comprised the test dataset. The model setup was tuned using training data,
 203 for which different scenarios were tested that were specified by a parameter grid through a 10-

fold cross-validated search. The model was run on the dataset 30 times to achieve robust results. To qualitatively rank the input parameters based on their influence on growth rates, two scoring metrics were calculated over 30 runs: (i) differences between the maximum and minimum of *PD* ( $\Delta PD$ ); and (ii) the relative feature importance following the method developed by Friedman (2001), which is determined by the frequency that a variable is chosen for splitting, weighted by the gained improvement due to each split and averaged over all trees (Friedman and Meulman 2003; Elith et al., 2008)."

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We have also calculated the relative feature importance in addition to the range of *PD* provide in
Fig. 10. As the reviewer suggested, this may help to make a more robust conclusion regarding
the relative importance of input variables in the GBRT model. We updated Fig. 10 and
discussion related to that figure as follows:

"The range of PDs for each individual environmental parameter and the relative feature 217 218 importance are used here as two proxies for the sensitivity of clearing growth rates to that 219 specific parameter. Higher PD ranges translate to a higher sensitivity of GR<sub>Area</sub> to that specific parameter, indicating that it is likely a major influential factor. In addition, the relative feature 220 221 importance indicates how useful each parameter was in building the GBRT model. The range of PD of clearing growth rates and relative feature importance for all the parameters included in the 222 GBRT model are provided in Fig. 10, moving from left to right in order of highest to lowest 223 influence in the model. While it is expected that the results of these two methods of rankings do 224 not match entirely (Fig. 10a and 10b), certain characteristics are similar between these two 225 226 proxies: 1- using both proxies,  $T_{850}$  and  $\omega_{700}$  appeared as the top and lowest ranking parameters, respectively; 2- q<sub>950</sub> comes out among top the most important parameters as second and third 227 228 place according to the range of PD and relative feature importance proxies, respectively; 3-AOD 229 and q700 emerged among the four lowest-ranking parameters; 4-SST and V850 appear next to each 230 other in the ranking using both scoring proxies. There are some distinct differences among the 231 ranking of parameters as shown in Fig. 10. For instance, while MSLP<sub>anom</sub> appeared as a moderately influential parameter in  $GR_{Area}$  according to PD proxy, this parameter turned out to 232 be the second most important variable using relative feature importance proxy. In another 233 example,  $q_{850}$  has the second least important rank according to relative importance feature proxy, 234 but it is moderately important based on the range of PD (Fig. 10a). The observed discrepancies 235 between the results of two proxies can stem from underlying differences in the methods used to 236 quantify the relative significance of each parameter. Moreover, the relative feature importance 237 proxy may be less susceptible to the unwanted influence of highly correlated input predictors on 238 the ranking outcome (Hastie et al., 2009)." 239

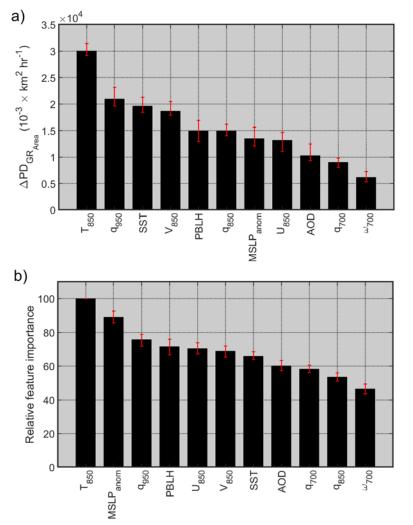
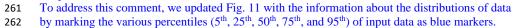


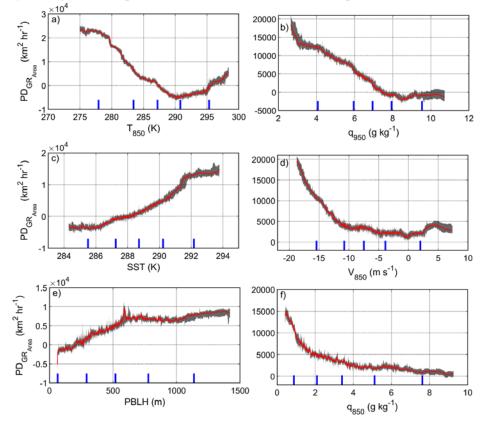
Figure 10. Two scoring methods used for measuring the relative influence of input variables in
the GBRT model: a) the median difference of maximum and minimum partial dependence (*PD*)
of clearing growth rate (*GR*<sub>Area</sub>), and b) the median of relative feature importance calculated based
on the method developed by Friedman (2001). Error bars represent the range of variability in 30
model runs. Note that GBRT simulations were performed using clearing growth rates obtained
from the analysis of first and second GOES images (~09:00 – 12:00 PST) for all 306 clearing
events examined.

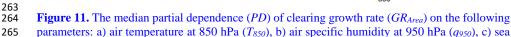
249 One area where I think the authors may have stretched the interpretation past the limits of PD 250 analysis is lines 546-558, for instance with the discussion of MSLP and GR. The problem with 251 using PD and correlated variables is that you risk simulating completely nonphysical states 252 which produce nonsensical results. The high and low tails of the PD sensitivity to MSLP could be a result of the breaking of assumed independence. This could be ameliorated with the addition 253 of a rug plot/histogram to each Figure 12 subplot, showing some kind of likelihood or frequency 254 255 of occurrence of that particular state (how often a -500 Pa MSLP anomaly occurred in the region 256 affects the degree to which the interpretation of that portion of the PD plot is nonphysical), or the addition of some ICE (individual conditional expectation) plots, both of which are commonly 257 258 used to help with the interpretation of PD plots.

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## 260 Response:

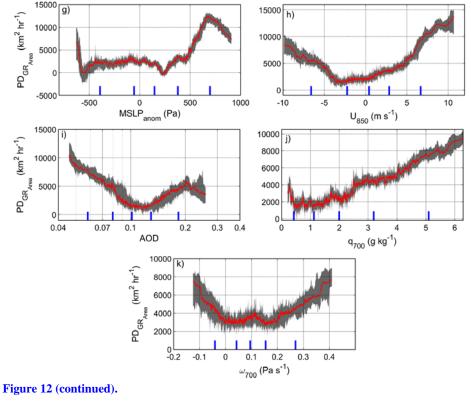






surface temperature (SST), d) meridional wind speed at 850 hPa ( $V_{850}$ ), e) planetary boundary layer height (PBLH), f) air specific humidity at 850 hPa (q950), g) mean sea level pressure anomaly (MSLPanom), h) zonal wind speed at 850 hPa (U850), i) aerosol optical depth (AOD), j) air specific humidity at 700 hPa ( $q_{700}$ ), and k) vertical pressure velocity at 700 hPa ( $\omega_{700}$ ). Grey Shaded areas represent the range of variability of PD for 30 model runs. Blue lines represent the values of the (left to right) 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentiles of the input parameter. GBRT simulations were performed using clearing growth rates obtained from the analysis of first and second GOES images (09:00 - 12:00 PST) for all 306 clearing events examined. 





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Technical corrections/suggestions:

Figure 12 caption (line 1250): grey shaded areas, not red. 

#### Response: Fixed.

Figure 13: It would be helpful to see the inversion levels from Table 3 marked on these plots.

- Response: We think adding the inversion heights to Fig. 13 may confuse readers as they can easily find them in Table 3 and their values are different for cloudy and clear columns. Also, readers can spot the base of inversion according to the cloud top marked in Fig. 13.

291 Reviewer 2:

292 Review of "Stratocumulus cloud clearing: Statistics from satellites, reanalysis models, and

airborne measurements" by Dadashazar et al.

historically received much attention in the literature.

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Using several data sources and a machine learning technique, this paper examines the topic of marine boundary layer stratiform cloud clearings over the northeastern Pacific Ocean. The study uses a holistic approach by considering spatial scales ranging from the synpoptic-scale to the microscale. The authors' do a nice job of utilizing satellite retrievals, reanalysis grids, and airborne measurements to highlight the complexity of the problem which involves interactions between the western United States coastline and the marine environment – a region which has

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I think that the results stemming from this work are certainly interesting and worthy of publication. Because the authors' cover so many topics, I do have several major comments and many minor comments. The major comments concern one of thetechniques used for the MODIS processing in addition to interpretation of some of the results. Overall, I recommend that the paper be accepted for publication once the authors' address my comments.

309 Major/general comments:

1. I am slightly concerned about the methods used to estimate cloud droplet number

311 concentration, Nd. Because the authors' compare plots of Nd between clearing and non-clearing

days, certainly there are differences in cloud base temperature and pressure (as implied by

several figures shown in this study) that would affect the adiabatic lapse rate of LWC. Therefore,

using an average value of the adiabatic lapse rate of LWC, which is derived from measurements
 concentrated near the central California coastline (Braun et al., 2018), may not be representative

of the much larger domain on which the present study focuses. I recommend that the authors'

calculate the adiabatic lapse rate of LWC using the MODIS retrievals of cloud top temperature

and pressure. I do not mean to sound nitpicky here, but estimation of Nd already carries

relatively large uncertainty, so I think that it is only fair that you estimate it as accurately as

possible. It will be interesting to see how sensitive the Nd estimate is to this lapse ratecalculation.

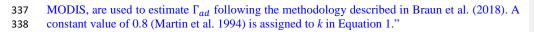
323 Response:

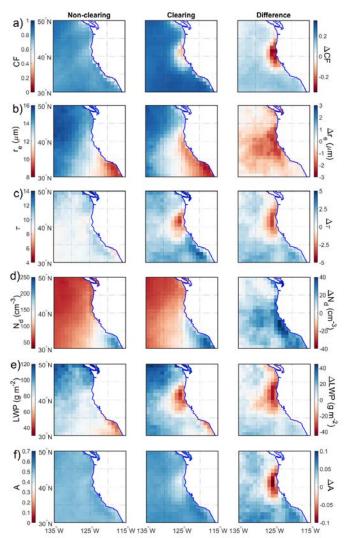
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Addressing the reviewer concern about using a constant value for adiabatic ( $\Gamma_{ad}$ ) lapse rate of

**LWC**, we recalculated  $N_d$  values for both MODIS-Aqua and Terra using  $\Gamma_{ad}$  that are dependent of cloud top temperature and pressures. Panel d of Figures 9 and S6 are also updated accordingly. It turns out the above modification had negligible effects on the average spatial distribution of  $N_d$ over the region of interest on both clearing and non-clearing days. As such, we have decided to not change any discussion regarding Fig. 9 in the manuscript. We have also revised a few lines in Section 2.1 to describe the methodology of estimating  $N_d$  from MODIS observations as follow:

332 333 "...where  $\rho_w$  is the density of liquid water,  $\Gamma_{ad}$  is the adiabatic lapse rate of liquid water content 334 (LWC), and the parameter k is representative of droplet spectral shape as the cube of the ratio 335 between the volume mean radius and the effective radius.  $\Gamma_{ad}$  is a function of temperature and 336 pressure (Albrecht et al., 1990). In this study, cloud top temperature and pressure, provided by







**Figure 9.** Average cloud parameters for non-clearing and clearing days obtained from MODIS Terra Level 3 (Collection 6.1) data: a) cloud fraction day (*CF*), b) cloud top droplet effective radius ( $r_e$ ), c) cloud optical thickness ( $\tau$ ), d) cloud droplet number concentration ( $N_d$ ), e) cloud liquid water path (*LWP*), and f) cloud albedo (*A*). Differences (clearing minus non-clearing) are shown in the farthest right column with separate color scales. Values from any instances of clear pixels

were omitted from the analysis to produce panels b-f. Fig. S6 is an analogous figure based onMODIS Aqua data.

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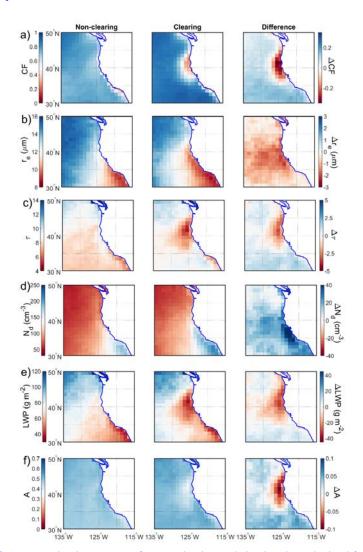




Figure S6. Average cloud parameters for non-clearing and clearing days obtained from MODIS
Aqua Level 3 (Collection 6.1) data: a) cloud fraction day (*CF*), b) cloud top droplet effective

radius  $(r_e)$ , c) cloud optical thickness  $(\tau)$ , d) cloud droplet number concentration  $(N_d)$ , e) cloud liquid water path (LWP), and f) cloud albedo (A). Differences (clearing minus non-clearing) are

353 shown in the farthest right column with separate color scales. Values from any instances of clear pixels were omitted from the analysis to produce these figures. 354 355 356 2. I think that the arguments presented in Section 3.2 regarding the spatial differences in PBLH 357 (P11, L420-425) require additional explanation. Firstly, citations are needed to support the 358 presented hypotheses. More importantly, why do you think that CF is higher for the broad study 359 region on clearing days? What about the synoptic scale scenarios and the role of offshore flow? 360 Advection of warm air combined with compressional warming near the coastline will increase 361 layer thickness and therefore thin out the MBL below. This seems like a chicken-egg problem. Is 362 it actually cloud processes that are responsible for the shallower PBLHs or are the large-scale 363 dynamics/thermodynamics reducing clouds and therefore causing the shallower PBLHs or 364 365 perhaps some combination of the two mechanisms? 366 **Response:** 367 368 369 We addressed the comment by revising/updating the noted argument presented in Section 3.2 as follows below. We also added new references to support our discussion. 370 371 "Another key environmental parameter related to MBL cloud coverage is the PBLH. Consistent 372 with previous studies (Neiburger et al., 1961; Wood and Bretherton 2004), regardless of whether 373 clearings were present, PBLH generally increases with distance from the coast (Fig. 8d), where 374 375 warmer SSTs lead to deeper MBLs by weakening the inversion (Bretherton and Wyant 1997). The 376 shallowing of the MBL near the California coast is also notable with enhanced gradients in clearing 377 days. The aforementioned MBL shallowing is believed to be a crucial element in development of 378 coastal jet off the California coast (Zemba and Friehe 1987; Parish 2000). Previous studies 379 (Beardsley et al., 1987; Edwards et al., 2001; Parish 2000; Zuidema et al., 2009) also reported 380 MBL height adjustment in the vicinity of coast due to hydraulic adaptation to coastal topography, thermally driven circulation, and geostrophic adjustment in the cross-coast direction in response 381 to the contrast in surface heating between ocean and land. There is also a strong gradient in PBLH 382 along the shoreline in the vicinity of Cape Blanco (Fig. 8d). While the presence of a similar 383

Comparing clearing with non-clearing days, *PBLH* tends to be higher on clearing days, 386 387 with the largest differences (~200 m) observed to the north off the coasts of Washington and British Columbia, which re-emphasizes the important role of coastal topography near Cape Blanco and 388 Cape Mendocino in mesoscale dynamics (Beardsley et al., 1987; Haack et al., 2001). Zuidema et 389 390 al. (2009) suggested that dynamical blocking of the surface winds by the southern Peruvian Andes 391 contributed to boundary layer thickening by encouraging mesoscale convergence. Enhanced dynamical blocking of surface winds by coastal topography near Cape Blanco, as suggested by 392 greater wind speeds on clearing days (Fig. 7a), can lead to a deeper MBL in the coastal regions 393 north and northwest of Cape Blanco. In contrast, coastal areas south of Cape Blanco, exhibit 394 negligible differences in PBLH between clearing and non-clearing days. In the aforementioned 395 regions, enhanced hydraulic response (i.e., expansion fan (Parish et al., 2016)) to coastal 396 397 topography, may cause slightly shallower MBL on clearing days.

gradient in SST (Fig. 8a) may partly explain the observed gradient in PBLH, coastally induced

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processes could also play a role.

398 Higher MBL depths in the offshore regions of clearing days is noteworthy to discuss. Parameters influencing MBL depth include entrainment rates, vertical velocity at the top of MBL, and 399 horizontal advection of MBL (Wood and Bretherton 2004; Rahn and Garreaud 2010). Although 400 on clearing days there may be greater subsidence rates offshore (Fig. 8c) promoting a shallower 401 MBL, the sum of entrainment and horizontal advection terms counteract the aforementioned effect 402 resulting in a deeper MBL. Wood and Bretherton (2004) showed for the Northeast and Southeast 403 Pacific that entrainment and subsidence were the most influential terms in the MBL prognostic 404 equation, which acted in the opposite manner. It is also likely that entrainment processes resulting 405 from changes in small scale turbulence contributed to elevated PBLH on clearing days (Randall 406 1984, Rahn and Garreaud 2010). The maps of CF from MODIS Terra (Fig. 9a) can provide at least 407 one possible explanation for the spatial differences in PBLH between clearing and non-clearing 408 days. Cloud fraction is generally higher for the broad study region on clearing days, which leads 409 to more opportunity for cloud top radiative cooling to then fuel turbulence in MBL (Wood 2012). 410 Greater turbulence can lead to a deeper MBL by promoting greater entrainment at the top of MBL 411 (Randall 1984; Wood 2007)." 412

413 3. The discussion in Section 3.2 connecting the MERRA-2 and MODIS results raises numerous

414 questions that the authors' should address. For example, on P11, L447-448: This is an interesting

415 yet surprising result. I am wondering how aerosol are treated in MERRA-2. Which aerosol types

are included in the reanalysis? Is AOD calculated differently when clouds are present in a

column? I must say that I am quite surprised that between clearing and non-clearing days, the
 MODIS retrievals show a clear difference in microphysical variables suggestive of aerosol

influence, but MERRA-2 AOD does not show a clear deference in aerosol loading. While the

authors' do provide a possible explanation for this confounding result, I am wondering if it is

possible to look at precipitation rates from the MERRA-2 outputs? Or use the MODIS retrievals
and the RCB-LWP-Nd relationship derived in Comstock et al. (2004) to estimate cloud base
precipitation rate? I think that some general investigative work here would be nice to help shed
light.

424 425

Reference: Comstock, K.K., Wood, R., Yuter, S.E. and Bretherton, C.S. (2004), Reflectivity and
rain rate in and below drizzling stratocumulus. Q.J.R. Meteorol. Soc., 130:
2891-2918. doi:10.1256/gi.03.187

428 429

Response: This is an excellent point and gets a bit more into the weeds of the critical details ofhow MERRA-2 and MODIS compare. We share here a bit more about MERRA-2:

432

433 The MERRA-2 aerosol reanalysis (Buchard et al., 2017; Randles et al., 2017) relies on the

434 GEOS-5 Goddard Aerosol Assimilation System (Buchard et al., 2015) where the Goddard

435 Chemistry, Aerosol, Radiation, and Transport (GOCART) (Chin et al., 2002) model is used to

436 simulate 15 externally mixed aerosol tracers including hydrophobic and hydrophilic black carbon

437 and organic carbon, dust (five size bins), sea salt (five size bins), and  $SO_4^{-2}$ . Sea salt and dust

438 emissions are driven by wind speed in the GOCART model. Other species are treated using

439 various emissions from combustion, biomass burning, biogenic sources, and volcanic emissions.

440 The dominant removal mechanisms for aerosols include gravitational settling, dry deposition,

and wet scavenging. MERRA-2 assimilates AOD from ground and satellite-based remote

- sensors, including AVHRR, AERONET, MISR, and MODIS.

444 Buchard, V., da Silva, A. M., Colarco, P. R., Darmenov, A., Randles, C. A., Govindaraju, R., . . . 445 Spurr, R. (2015). Using the OMI Aerosol Index and Absorption Aerosol Optical Depth to Evaluate the NASA MERRA Aerosol Reanalysis. Atmospheric Chemistry and Physics, 15(10), 446 447 5743-5760. doi:10.5194/acp-15-5743-2015 448 Buchard, V., Randles, C. A., da Silva, A. M., Darmenov, A., Colarco, P. R., Govindaraju, R., 449 Ferrare, R., Hair, J., Beyersdorf, A. J., Ziemba, L. D., and Yu, H.: The MERRA-2 Aerosol 450 Reanalysis, 1980 Onward. Part II: Evaluation and Case Studies, J Climate, 30, 6851-6872, 451 10.1175/Jcli-D-16-0613.1, 2017. 452 453 Chin, M., Ginoux, P., Kinne, S., Torres, O., Holben, B. N., Duncan, B. N., Martin, R. V., Logan, 454 J. A., Higurashi, A., and Nakajima, T.: Tropospheric aerosol optical thickness from the 455 456 GOCART model and comparisons with satellite and Sun photometer measurements, J Atmos 457 Sci, 59, 461-483, Doi 10.1175/1520-0469(2002)059<0461:Taotft>2.0.Co;2, 2002. 458 459 Randles, C. A., da Silva, A. M., Buchard, V., Colarco, P. R., Darmenov, A., Govindaraju, R., Smirnov, A., Holben, B., Ferrare, R., Hair, J., Shinozuka, Y., and Flynn, C. J.: The MERRA-2 460 461 Aerosol Reanalysis, 1980 Onward. Part I: System Description and Data Assimilation Evaluation, J Climate, 30, 6823-6850, 10.1175/Jcli-D-16-0609.1, 2017. 462 463 464 We added the following text to the paper based on the lengthier description above, which we feel is adequate to articulate how MERRA-2 handles aerosols: 465 466 "Of note is that the MERRA-2 aerosol reanalysis relies on the GEOS-5 Goddard Aerosol 467 Assimilation System (Buchard et al., 2015) for which the Goddard Chemistry, Aerosol, 468 Radiation, and Transport (GOCART) model (Chin et al., 2002) simulates 15 externally mixed 469 470 aerosol tracers including sulfate, dust (five size bins), sea salt (five size bins), and hydrophobic 471 and hydrophilic black carbon and organic carbon. Of relevance to this study, GOCART applies 472 wind-speed dependent emissions for sea salt. Furthermore, the dominant removal mechanisms for aerosols include gravitational settling, dry deposition, and wet scavenging." 473 474 Also, we feel as though deeper examination into precipitation rates is best left for future work. 475 476 We did not want to get too deep in this current manuscript into the aerosol-related aspects but believe that there are enough compelling results to investigate the aerosol-related aspects in 477 subsequent work. 478 479 480 Minor/specific comments: 481 1. P2, L41: Do you mean model simulations from this study or previous studies? Please clarify. 482 483 Response: This study. Revised sentence: 484 485 "Measurements were compared on both sides of the clear-cloudy border of clearings at multiple 486 altitudes in the boundary layer and free troposphere, with results helping to support links 487 suggested by this study's model simulations." 488 489

490	2. P3, L54-56: This statement deserves citations; please cite some papers here.
491 492	Response: Added:
492	Response. Added.
494	"Stratocumulus clouds also play an important role in the global radiation budget due to their high
495	albedo contrast with the underlying ocean surface (Hartmann and Short, 1980; Herman et al.,
496	1980; Stephens and Greenwald, 1991)."
497	
498	Hartmann, D. L., and Short, D. A.: On the Use of Earth Radiation Budget Statistics for Studies of
499	Clouds and Climate, J Atmos Sci, 37, 1233-1250, Doi 10.1175/1520-
500	0469(1980)037<1233:Otuoer>2.0.Co;2, 1980.
501	
502	Herman, G. F., Wu, M. L. C., and Johnson, W. T.: The Effect of Clouds on the Earths Solar and
503	Infrared Radiation Budgets, J Atmos Sci, 37, 1251-1261, Doi 10.1175/1520-
504	0469(1980)037<1251:Teocot>2.0.Co;2, 1980.
505	
506	Stephens, G. L., and Greenwald, T. J.: The Earths Radiation Budget and Its Relation to
507	Atmospheric Hydrology .2. Observations of Cloud Effects, J Geophys Res-Atmos, 96, 15325-
508	15340, Doi 10.1029/91jd00972, 1991.
509	
510	3. P3, L85-86: Introduce abbreviations for cloud fraction and cloud liquid water path here?
511	
512	Response: Done
513	
514	4. P4, L110-112: Are there differences in retrieval and/or post-processing techniques between
515	GOES-11 and GOES-15 that could impact interpretation/comparison of their results?
516	Demonstry Net to support des
517	Response: Not to our knowledge.
518 519	5. P4, 119-121: Please explain how you identified a clearing event using visual inspection.
520	5.14, 119-121. Hease explain now you identified a cleaning event using visual inspection.
521	Response: We added the following description in Section 2.1 in response to this comment:
522	response. We added the following description in Section 2.1 in response to this comment.
523	"Each day's sequence of GOES images were visually inspected to identify if a clearing event was
524	present. This involved utilizing the following general guidelines: (i) There had to be sufficient
525	cloud surrounding the clearing area that the clearing's borders could be approximately identified,
526	which excluded cases with highly broken cloud deck; (ii) Clearings that were not connected to
527	land between 30°-50° N in any of daily images were excluded; (iii) Days with the cloud deck
528	completely detached from the coast between 30°-50° N were not considered; and (iv) Only
529	clearings with a maximum daily area of greater than 15,000 km <sup>2</sup> (which translates to a clearing
530	length on the order of 100 km) were considered. Consequently, the statistics presented in Section
531	3.1.1 represent a lower limit of clearing occurrence in the study region. However, it is expected
532	that the qualitative trends discussed in Section 3.1.1 are representative of clearing behavior in the
533	study region."

- 6. P5, L146: From which wavelength retrieval are you using data?

536 Response: We added the requested information:

537

"The key daytime parameters (Table 1) retrieved for this study relevant to liquid clouds included
the following, which were retrieved at 2.1 μm and selected based on their importance for marine
boundary layer (MBL) cloud studies: *CF* obtained from the MODIS cloud mask algorithm
(Platnick et al., 2003), cloud optical thickness (*τ*), *LWP*, and cloud droplet effective radius (*r<sub>e</sub>*).
Detailed information about these MODIS products is described elsewhere (Platnick et al., 2003;
Platnick et al., 2017; Hubanks et al., 2019)."

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7. P5, L147: Is any day that is not a clearing day lumped in with non-clearing days? Or weresome days not considered in the analysis?

Response: A non-clearing day is defined as any summer day between 2009 and 2018 which was
not identified as a clearing day. We clarify this in the first paragraph of Section 3.2 as follows:

\*\*Large-scale dynamic and thermodynamic characteristics were contrasted (parameters in Table 1) between clearing and non-clearing days (Fig. 6). Sub-daily data were averaged up to daily resolution for parameters of interest, which were subsequently used to produce a climatology for non-clearing (614 days) and clearing (306 days) cases for the summers between 2009 and 2018. It is important to note that non-clearing cases include those summer days (e.g., June, July, and August) from 2009 through 2018 that were not categorized as clearing days. We further calculated the difference between clearing and non-clearing conditions."

8. P5, L148: Why use 1 deg x 1 deg data rather than the higher resolution data that are available?
I imagine that the resolution of the GOES data are much higher than 1 deg x 1 deg.

Response: The reviewer is correct. A decision was made early to use the larger resolution data
early in the study and the results we feel are robust and informative. Future work by anyone
interested can certainly probe similar phenomena at higher resolution, but we decided not to have
to re-do the entire analysis for this comment.

9. P5, L150-153: Why are all of these cloud microphysical properties important in the context ofcloud clearings? Some justification in this section would be nice.

570 Response: Text added:

"The key daytime parameters (Table 1) retrieved for this study relevant to liquid clouds included
the following, which were selected based on their importance for marine boundary layer (MBL)
cloud studies:"

576 10. P5, L151-153, L156: Please italicize variables here and throughout the remaining text.

578 Response: Done

580 11. P5, L167-170: Does this need to be its own paragraph?

582 Response: We added the paragraph in question to the previous paragraph to address this issue. 583 12. P5, Section 2.2: Similar to the previous section, it would be nice to hear some justification as 584 585 to why you choose the listed parameters/vertical levels. Why are these parameters/vertical levels important to the analysis? Were other variables considered and found to be not useful? 586 587 Response: We added text to explain our choice of parameters and levels: 588 589 590 "The parameters were chosen based on their ability to provide a sufficient view of atmospheric conditions in which MBL clouds form, evolve, and dissipate. Various vertical levels were used 591 for some MERRA-2 products as a way of obtaining representative information for different 592 layers of the MBL and free troposphere." 593 594 595 13. Figure 2: The gray shading in panels c and d are a bit deceiving. Is the cloud base/top/depth 596 in panel c truly that horizontally homogeneous? Panel d makes it seem as though cloud extends 597 from the surface to 1000 m. I think that I understand what you are trying to show, but perhaps 598 showing it a bit differently would be less confusing. 599 600 Response: We typically show our clouds in this manner in past publications. We find our Fig. 2 caption to be sufficiently clear. And we trust that readers know that the gray box in panel c is 601 meant to be representative of where clouds were, and they are not that clear-cut linear at the 602 603 edges. 604 14. P6-7, L222-234: Please explain how all of these turbulence measurements will aid in 605 understanding the physical mechanism(s) that contribute to cloud clearing processes. 606 607 608 Response: We discuss the actual results in the Results section and do not think an exhaustive 609 discussion is needed here in the Methods section. Rather, we revise the first sentence of this 610 paragraph: 611 "Ten Hz measurements of environmental parameters were used to estimate turbulent variance 612 and covariance flux values, which may be relevant to the understanding of clearing formation 613 614 and evolution based on past work (Crosbie et al., 2016)." 615 15. P6, L224: Why use a 2-km wide high pass filter? I imagine this is influenced by the aircraft 616 speed? By the way, what is the typical aircraft speed? 617 618 Response: Typical aircraft speed is  $\sim$ 55 m s<sup>-1</sup>. We add a line about this now: "The typical 619 aircraft speed was 55 m s<sup>-1</sup>." 620 621 We used a 2-km wide high pass filter for detrending signals, which is helpful for flux 622 623 calculations. It is a common strategy employed in studies of this nature. A 2-km wide high-pass filter is conservatively picked to assure filtering of any signals that does not stem from MBL 624 turbulent eddies (with the typical size being less than MBL depth). Given the aircraft speed of 625 ~55 m s<sup>-1</sup>, a 2-km wide filter translates to a filter with passband frequency of 0.0275 Hz. No 626

627 change made for this comment.

628 16. P7, L236: Is Fig. 2c supposed to show where the inversion sits? 629 630 631 Response: The sentence in question says the inversion base typically coincides with cloud top. Thus, Fig. 2c gives a representative view of where the inversion base sits. No change made for 632 this comment. 633 634 635 17. P7, L236-238: Why use temperature rather than potential temperature? 636 Response: Both could work. We used temperature as has been done in past work. No change 637 made for this comment. 638 639 640 18. P7, L238-240: This sentence is a bit confusing; please reword. 641 642 **Response: Revised:** 643 644 "Inversion top was defined as the highest altitude at which  $d\theta_l/dz$  exceeded 0.1 K m<sup>-1</sup>, where  $\theta_l$  is liquid water potential temperature and z is altitude." 645 646 19. P7, L247-248: Please reference the GBRT method for unfamiliar readers. 647 648 Response: We already did in the second sentence of the paragraph. But we now added another 649 650 one to the first sentence if that helps: 651 652 "A Gradient Boosted Regression Tree (GBRT) model approach was implemented to investigate 653 the impact of environmental parameters on the evolution of clearing events (Friedman 2001)." 654 655 20. P8, L284: How is this r2 threshold determined? Are the results sensitive to this choice? 656 Response: The  $r^2$  threshold was determined by choice. Sensitivity tests were done with different 657 combinations of parameters and the general conclusions were preserved. We updated some text 658 in the manuscript to address this comment: 659 660 "While PD plots are not flawless in capturing the influence of each variable in the model, 661 especially if the input variables are strongly correlated, they provide useful information for 662 interpretation of GBRT results (Friedman and Meulman 2003; Elith et al., 2008). To decrease the 663 664 undesired influence of correlated variables on PD profiles, an arbitrary  $r^2$  threshold of 0.5 was used based on the linear regressions between prospective input parameters. For instance, there 665 were three choices of air temperature (i.e., at 950, 850, and 700 hPa), but based on the  $r^2$  criterion, 666 only one  $(T_{850})$  was used in the model to minimize the unwanted impact of dependent input 667 parameters. Lower tropospheric stability (LTS: defined as the difference between the potential 668 669 temperature of the free troposphere (700 hPa) and the surface) is the stability parameter that has been widely used as a key factor controlling the coverage of stratocumulus clouds. However, in 670

explicitly including *LTS*. The correlation between *LTS* and  $T_{850}$  prevented them to be used as input parameters simultaneously. Using  $T_{850}$  and *SST* instead of *LTS* is advantageous because the results

this study, the effects of stability were examined by putting  $T_{850}$  and SST into the model without

674 675 676 677 678 679 680 681 682 683	can be more informative by revealing different impacts of the two individual parameters on the model's output rather than just one parameter in the form of <i>LTS</i> . In addition, the mean sea level pressure anomaly ( <i>MSLP</i> <sub>anom</sub> ) was used as an input parameter, which was calculated in reference to the average values of <i>MSLP</i> for the summer months for the study period. In the end, the following 11 predicting variables from MERRA-2 were used as input parameters for the GBRT simulations, with data product details summarized in Table 1: <i>AOD</i> , <i>T</i> <sub>850</sub> , <i>q</i> <sub>950</sub> , <i>q</i> <sub>850</sub> , <i>q</i> <sub>700</sub> , <i>SST</i> , <i>MSLP</i> <sub>anom</sub> , <i>U</i> <sub>850</sub> , <i>V</i> <sub>850</sub> , <i>PBLH</i> , and $\omega$ <sub>700</sub> . It is important to note that the results of extensive sensitivity tests led to the selection of the set of parameters presented in this study. Also, these sensitivity tests confirmed that the general conclusions presented here were preserved regardless of using different sets of the input parameters."
684 685 686 687	21. P8, L298-299: What about the other MERRA-2 variables listed in Table 1 that are not listed here?
688 689 690	Response: Well, they are listed still in Table 1 for completeness to walk readers through our process of analysis to reach the point of Lines 298-299. No harm in doing that in our opinion.
691 692	22. P9, L322-323: Please reference a figure here.
693 694	Response: Done. Additionally, we now differentiate between Figure 3a and 3b in the text.
695 696 697	23. Figure 5: Because this plot is relatively straightforward, and only two sentences are written about it, I think that it makes more sense to add it to Figure 4, which also shows related variables as a function of time.
698 699 700 701	Response: We added Figure 5 to the Supplement as adding it to Fig. 4 made this figure hard to read.
702 703 704	24. P9, L354-356: What about near Point Conception? Are similar mechanisms responsible for the reduction of CF here?
705 706	Response: We added the following text and added that point to Figure 6a:
707 708 709	"Less pronounced is a centroid of reduced cloud fraction by Point Conception, where similar mechanisms may be at work."
710 711 712	25. P9, L356-361: Is it possible to plot low-level (maybe 100 m) wind arrows over the CF contours in Fig. 6 to support/refute this hypothesis?
713 714 715	Response: Figure 8 shows winds clearly and it would be redundant in our view to put them in Figure 6 too.
716 717 718	26. P9, L361-363: You mention southerly wind, but what about northerly wind along the coastline, which is much more common. Are expansion fan dynamics still present?
719	Response: The sentences are revised accordingly:

721 722	"The significance of these capes is discussed in many previous studies (Beardsley et al., 1987; Haack et al., 2001; Juliano et al., 2019a/b) pointing their ability to alter local dynamics, cloud
723	depth, and various microphysical processes such as entrainment. Cloud thinning in the vicinity of
724	the capes due to an expansion fan effect is reported for both northerly and southerly flow
725	(Beardsley et al., 1987; Juliano et al., 2017)."
726	
727	27. Figure 7: In the difference plot in panel a, are there truly no regions where the SLP is lower
728	in clearing cases?
729	
730	Response: This occurred because of the choice of spacing in the contour plot. Figure 7 has been
731	updated to fix this issue.
732	
733	28. P10, L369: How might using nearly 2 times more non-clearing days influence your results?
734	
735	Response: It obviously provides more statistics and solidifies the non-clearing results. We do not
736	expect this difference in days to affect the general conclusions.
737	
738	29. P10, L383: When you reference Fig. 8a, should this instead be a reference to Fig. 8b?
739	
740	Response: Correct, thanks. Change made.
741	
742	30. P10, L395-396: A few more citations would be nice for a statement that is "well
743	documented".
744 745	Response: Sentence revised as the "well-documented" is unnecessary and seems to be a
745 746	distraction.
740	distraction.
748	31. P11, L411-413: Can you speculate as to why you observe this?
749	51.111, L411-415. Can you speculate as to will you observe uns:
750	Response: This paragraph is revised to provide a potential explanation for the observed trend:
751	response. This paragraph is revised to provide a potential explanation for the observed dend.
752	"The changes in synoptic-scale conditions, including relocation/strengthening of the Pacific high,
753	on clearing days in comparison to non-clearing days can alter large-scale subsidence. This is
754	indeed confirmed in Fig. 8b using $\omega_{700}$ as the proxy variable, with the strongest difference between
755	clearing and non-clearing days (up to $\sim 0.1$ Pa s <sup>-1</sup> ) off the coast by Cape Blanco and Cape
756	Mendocino and geographically coincident with where the sharpest gradients occur for MSLP
757	between clearing and non-clearing cases (Fig. 6). It is interesting to note that the maximum LTS
758	values coincide spatially with enhanced values of $\omega_{700}$ on non-clearing days, in contrast to clearing
759	days when the peak value of $\omega_{700}$ is farther north from where LTS peaks (Fig. 8c). Consistent with
760	the results presented here (Fig. 8b), modeling studies (Burk and Thompson 1996; Munoz and
761	Garreaud 2005) reported enhanced subsidence for the entrance regions of the Chilean and
762	California CLLJs in response to coastal features. These studies also reported the generation of a
763	warm layer above the MBL due to coastal mechanisms especially downstream of coastal points
764	and capes. This is also the case in this study where higher air temperature at 850 hPa was observed
765	to the south of Cape Blanco and Cape Mendocino on clearing days (Fig. 5b). In addition, higher

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*LTS* values on clearing days by up to ~2 K (Fig. 8c) are largely associated with the presence of
warmer layer above the MBL south of Cape Blanco and Cape Mendocino. It is likely that reduced *SSTs* and greater subsidence contributed to generally higher *LTS* on clearing days versus nonclearing days (Fig. 8c). Other works have pointed to the connection between cooler *SSTs*, higher
boundary layer cloud amount, and increased stability in the lower atmosphere (Norris and Leovy
1994, Klein and Hartman 1993)."

32. P11, L414-415: Why does PBLH exhibit this trend? Is this is a well-known feature of theMBL offshore the western U.S.?

Response: We edited this line to add a few references and to address the suspected reason for theobserved trend in PBLH:

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"Another key environmental parameter related to MBL cloud coverage is the *PBLH*. Consistent
with previous studies (Neiburger et al., 1961; Wood and Bretherton 2004), regardless of whether

781 clearings were present, *PBLH* generally increases with distance from the coast (Fig. 8d), where

782 warmer *SSTs* lead to deeper MBLs by weakening the inversion (Bretherton and Wyant 1997).

783 The shallowing of the MBL near the California coast is also notable with enhanced gradients in 784 clearing days. The aforementioned MBL shallowing is believed to be a crucial element in

development of coastal jet off the California coast (Zemba and Friehe 1987; Parish 2000).

Previous studies (Beardsley et al., 1987; Edwards et al., 2001; Parish 2000; Zuidema et al., 2009)

also reported MBL height adjustment in the vicinity of coast due to hydraulic adaptation to

788 coastal topography, thermally driven circulation, and geostrophic adjustment in the cross-coast

789 direction in response to the contrast in surface heating between ocean and land. There is also a

strong gradient in *PBLH* along the shoreline in the vicinity of Cape Blanco (Fig. 8d). While the
presence of a similar gradient in *SST* (Fig. 8a) may partly explain the observed gradient in *PBLH*,

792 coastally induced processes could also play a role."

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33. P11, L467: Lower LWP values because the clouds are thinner, LWCs are lower, or both?

796 Response: Presumably both. No change needed to text in our view.

34. Section 3.3: Generally speaking, how do sample sizes influence the interpretation of these
results? Many of the steep slopes shown in Fig. 12 occur at the low or high ends of the parameter
spaces which is likely where the fewest number of samples lie. Are the results robust in these
areas?

802803 Response: Added the following text:

"Note that the 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentiles of input parameter values are denoted in
Figure 12 to caution that sharp slopes in the bottom and top 5<sup>th</sup> percentiles are based on few data
points and that robust conclusions should not stem from those outer bounds."

35. P13, L514-516: Are the local changes in slope of the PD-T850 relationship important? For
example, from 275 to 280 K, the slope is relatively small, but from 281 to 282 K, the slope is
relatively large.

Response: The best we thought to do was report our method of how these plots were generated and then leave it to readers to conclude using their own criteria how important local changes are. In our view, we are most interested in more macroscopic trends in these plots and also changes in signs of relationships. 36. P13, L524-534: Please reference the various panels in this section to help the reader. Response: Done. 37. P13, L540-543: Please provide a citation for this phenomenon. An example of previous work in this region may be found in Rahn et al. (2016, Observations of LargeWind Shear above the Marine Boundary Layer near Point Buchon, California, JAS). Response: Done: "Stronger northerly flow is associated with offshore flow of dry and warm air that can reside above the cloud top, which can dissipate the cloud layer after entrainment and via enhanced shearing (via Kelvin-Helmholtz instability) and mixing of cloudy parcels with warm and dry air in the FT (e.g., Rahn et al., 2016). As will be shown later, aircraft data showed that typical wind speeds parallel to clear-cloudy interfaces were near or greater than 10 m s<sup>-1</sup> (Fig. 12)." 38. P14, L557-558: A negative U850 promoting cloud clearing makes sense due to the offshore flow component, but can you hypothesize as to why strong positive U850 values also promote cloud clearing? Response: We are not sure and make this explicit: "Clearing growth due to negative zonal winds can be explained by the offshore flow component, however, the reason for growth during periods of positive zonal winds is unclear." 39. P14, L566: Might these vertical motions also induce dynamical circulations and thereby influence shear/turbulence/entrainment processes near cloud top? Response: Maybe so. We add text to give this idea some attention in the draft: "Vertical motions represented by the  $\omega_{700}$  parameter could also induce dynamical circulations affecting cloud top processes such as shear and entrainment." 40. P15, L592: Specific or relative humidity? Response: Made it clear it is "specific". 41. P15, L614-627: I like this portion of the analysis, and the topic of horizontal wind shear is one that probably does not receive enough attention. I think that perhaps a line plot showing how the horizontal shear changes with distance for each of the vertical levels may be very useful.

859 Response:

860 We calculated horizontal shear for constant level legs and displayed it in SI file. Additionally,

the paragraph has been updated accordingly:

862

863 "To extend upon the possibility of shearing effects, absolute changes in v(/v) were calculated for level legs performed at the clear-cloudy border for the three research flights (Table 2). For 864 consistency, these calculations were based on level legs of a constant length of ~40 km with 865 relatively equal spacing on both sides of the clear-cloudy border. /v/ was calculated by multiplying 866 40 km by the slope of the linear fit of v versus distance from cloud edge, where negative (positive) 867 x values represent distance away from the edge on the clear (cloud) side. The results reveal that 868 the horizontal wind shear was strongest somewhere between mid-cloud and cloud top altitudes, 869 with the lowest values at the FT level. The lowest values in the MBL were observed in the surface 870 legs. This can be attributed to turbulent transport of the momentum (Zemba and Friehe 1987) to 871 872 the surface and the consequent drop in CLLJ wind speeds in the clear column. In addition, Fig. S7 873 shows absolute horizontal shear ( $\left| dv/dx \right|$ ) as a function of distance from the cloud boundary for the parallel component of horizontal wind speed. Horizontal shear profiles for all research flights (Fig. 874 875 S7) are slightly noisy especially at the surface legs, but they show the presence of the greatest horizontal wind gradient within 5 km length away from clear-cloudy edge. Shear at the clear-876 cloudy edge, especially at cloud levels, can support clearing growth through enhancing the mixing 877 of cloudy and clear air. Crosbie et al. (2016) also showed using the case of NiCE RF19 that that 878 mixing of cloudy air with adjacent clear air can be an important contributor to cloud erosion and 879 880 thus expansion of clearings. To probe deeper into the clearing cases, the subsequent discussion compares vertically-resolved data on both sides of the clear-cloudy border based on soundings and 881 882 level legs."

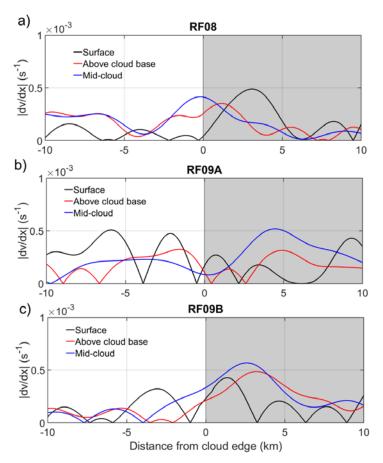


Figure S7. Absolute variations in horizontal shear as a function of distance from the cloud
boundary for the parallel component of horizontal wind speed for three case research flights: a)
RF08, b) RF09A, and c) RF09B. These variations were shown only for constant altitude legs
(surface, above cloud base, and mid-cloud legs). Cloudy columns are highlighted in grey.

42. P16, L648-650: I do not understand this sentence; please reword.

891 Response: We revised the sentence:

893 "The wind maximum in the clearing also enhanced moisture advection, which counteracted the894 accumulation of moisture caused by mixing induced by vertical shear."

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43. P16, L660: How is the cloud base rain rate determined?

898 Response: Text added:

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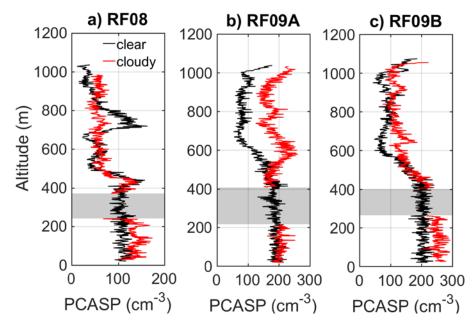
<sup>900</sup> "Cloud base rain rate was quantified using the size distributions of drizzle drop ( $D_P > 40 \ \mu m$ ) <sup>901</sup> obtained from CIP in the bottom third of clouds along with documented relationships between <sup>902</sup> fall velocity and drop size (Wood 2005b)."

44. P16-17, L677-681: Are you able to hypothesize why, in all three flights, surface PCASP
concentrations are higher on the cloudy side even though the surface wind speeds are higher on
the clear side? Is it possible that drizzle drops evaporate after the wet scavenging processes and
therefore concentrate aerosol near the surface, whereas aerosol are well-mixed in the MBL on
the clear side? If available, vertical profiles may help here.

Response: We went ahead and made vertical profiles as shown in the new Figure S8. It is too
difficult to reach the speculation above with a high level of confidence provided by the reviewer
based on the available dataset in our opinion. Entrainment of free tropospheric aerosol particles
is likely a possible explanation too. We added the following text:

914 915 "Figure S8 shows vertical profiles of aerosol concentrations on both sides of the clearing border, 916 highlighting differences above cloud top level especially in RF09A and RF09B with higher values in the cloudy column. Higher aerosol concentrations were also observed in the cloud column in 917 918 the sub-cloud layer even though surface wind speeds were always higher in the clear column for all three flights. Surface winds and thus sea spray production do not exclusively influence the 919 920 aerosol concentrations. A likely explanation of higher concentrations in the MBL in the cloudy column is that there could be entrainment of more polluted free tropospheric aerosol as has been 921 reported to be a common occurrence during the FASE flights (e.g., Mardi et al., 2019). As also 922 reported during FASE, there can be sub-cloud evaporation of drizzle resulting in droplet residual 923 particles that contribute to the aerosol concentration budget in the cloudy column (Dadashazar et 924 925 al., 2018)."

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Figure S8. PCASP profiles obtained from soundings performed in clear and cloudy columns for
three case research flights: a) RF08, b) RF09A, and c) RF09B. The altitude range where the
cloud deck was present is highlited in grey. PCASP data are unreliable in cloud due to droplet
shatter artifacts and thus not shown.

936 45. P17, L683: Do you mean stronger gradients in horizontal wind speed?

938 Response: Yes, that is correct. Text revised.

940 "Stronger horizontal wind speed gradients,..."

46. P17, L683-685: What about the role of positive (cyclonic) vorticity that is generated by thishorizontal shear? Could this influence cloud properties near the cloudy-clear interface?

Response: We suppose that is a possibility but we felt it was not necessary to address this in thetext to avoid having too many speculations without unambiguous support.

47. P18, L749-765: I think that in order for the authors' to argue whether buoyancy or shear
production of turbulence is more important, they should calculate the terms according to the
TKE equation (e.g., see Eq. 5.1a in Stull, An Introduction to Boundary Layer Meteorology,
1988).

952

Response: This was in fact attempted already but the data looked noisy and inconclusive; this is
mainly a limitation of the aircraft data. We do not feel this is really necessary to respond to as a
result.

48. P18, L754-755: Adding vertical profiles of TKE would be very useful.

Response: The vertical profiles of TKE have been added to Fig. 13. We also updated the text asfollows:

"Profiles of  $\overline{u'}^2$  and  $\overline{v'}^2$  exhibited downward trends with increasing altitude for RF09A and RF09B, in general agreement with the findings for RF08. One contrasting aspect was the 962 963 comparison of  $\overline{v'}^2$  between clear and cloudy columns, which mirrored RF08 during RF09A, while 964 in RF09B, the values of  $v'^2$  for the clear side were substantially lower. In addition,  $w'^2$  profiles 965 during RF09A and RF09B are substantially enhanced in the cloudy column as compared to RF08, 966 with maxima in the cloud layer. There is an accompanying increase in the buoyancy flux for these 967 profiles suggestive of a more significant contribution of buoyancy to TKE production (Fig. 13e). 968 Although more subtle,  $\overline{u'}^2$  values also showed an increase in the cloudy column of RF09A and 969 RF09B relative to the clear column, also supportive of the role of buoyancy in these cases. In addition, *TKE* profiles (Fig. 13d) were largely influenced by variances in the horizontal component 970 971 of wind speed  $(u'^2 \text{ and } \overline{v'^2})$  which led to overall greater *TKE* values in the clear column except 972 for RF09B." 973

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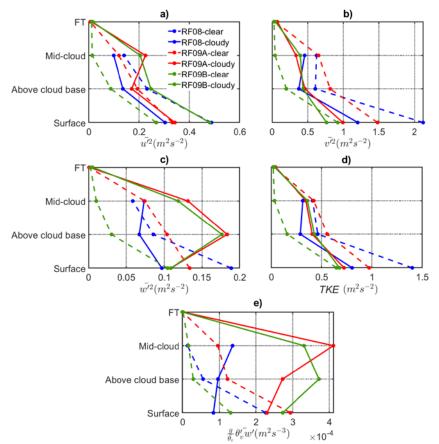


Figure 13. Selected dynamic parameters for the clear (dash lines) and cloudy (solid lines) parts of
the legs performed at different altitudes for three FASE case research flights: Panels a-c) exhibit
squared average velocity fluctuations of wind speeds components (*u* and *v* horizontal components,
w vertical component). Horizontal wind speeds are decomposed into two components, (*u*)
perpendicular and (*v*) parallel, relative to the cloud edge. Panels d) and e) display turbulent kinetic
energy and buoyancy flux profiles, respectively, for the three flights.

- 983 49. P18, L759: What do you mean by "stabilizing effect"?
- 985 Response: Those words were removed.

50. P19, L803-805: Can new remote sensing platforms, such as GOES-16/17, help with thediurnal analysis of cloud properties?

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- 990 Response: Yes. Text added:
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991	
992	"More data such as those provided by GOES platforms can help understand processes occurring
993	at the microscale that scale up to more climatologically relevant scales."
994	a de meroscale dat scale up to more enmatologicany relevant scales.
995	Grammatical/wording recommendations:
996	1. P6, L198: Please change "Of the relevance to this study" to "Of relevance to this study".
990 997	1.10, L198. Hease change of the relevance to this study to of relevance to this study.
	Despenses Edited
998	Response: Edited.
999	2. P7, L254: Please change "or each of the 306 events." to "for each of the 306 days.".
1000	2. P7, L254: Please change of each of the 506 events. to for each of the 506 days.
1001	
1002	Response: Fixed.
1003	2 D0 1 212 D1 1
1004	3. P8, L313: Please change "between 2009 and 2018" to "from 2009 through 2018".
1005	
1006	Response: Fixed.
1007	
1008	4. P10, L366: Please change "Large-scale characteristics of a dynamic and thermodynamic
1009	nature were contrasted" to "Large-scale dynamic and thermodynamic characteristics were
1010	contrasted".
1011	
1012	Response: Edited.
1013	
1014	5. P10, L401: Please change "likely contribute" to "likely contributes".
1015	
1016	Response: Edited.
1017	6. P11, L410: Please change "geographical coincident" to "geographically coincident".
1018	
1019	Response: Edited.
1020	
1021	7. P12, L494: Consider changing "GBRT model to model clearing" to "GBRT model to
1022	reproduce clearing".
1023	
1024	Response: Changed.
1025	
1026	8. P12, L500: Please remove "partial dependence" as this acronym has already been defined.
1027	
1028	Response: Edited.
1029	
1030	9. P16, L656: Please change "lesser effect" to "reduced effect".
1031	
1032	Response: Edited.
1033	
1034	10. P19, L780-781: Consider changing "clearings visible from space" to "clearings as suggested
1035	by satellite retrievals".

1037	Response:	Changed.
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1039 11. P19, L782: Please change "centroid of clearings is centered" to "centroid of clearings is
1040 located"

- 1042 Response: Edited.

1044 12. P19, L808: Please change "sea spray fluxes, which subsequently can impact clouds" to "sea
spray fluxes and can subsequently impact clouds".

1047 Response: Edited.

#### Stratocumulus Cloud Clearings: Statistics from Satellites, Reanalysis Models, and Airborne 1050 Measurements 1051

1052

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1055

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1067 Abstract

This study provides a detailed characterization of stratocumulus clearings off the U.S. West 1068 1069 Coast using remote sensing, reanalysis, and airborne in situ data. Ten years (2009-2018) of 1070 Geostationary Operational Environmental Satellite (GOES) imagery data are used to quantify the monthly frequency, growth rate of total area (GRArea), and dimensional characteristics of 306 total 1071 clearings. While there is interannual variability, the summer (winter) months experienced the most 1072 (least) clearing events with the lowest cloud fractions being along coastal topographical features 1073 along the central to northern coast of California including especially just south of Cape Mendocino 1074 and Cape Blanco. From 09:00 to 18:00 (PST), the median length, width, and area of clearings 1075 increased from 680 to 1231 km, 193 to 443 km, and ~67,000 to ~250,000 km<sup>2</sup>, respectively. 1076 Machine learning was applied to identify the most influential factors governing the  $GR_{Area}$  of 1077 clearings between 09:00-12:00 PST, which is the time frame of most rapid clearing expansion. 1078 1079 The results from Gradient Boosted Regression Tree (GBRT) modeling revealed that air 1080 temperature at 850 hPa (T<sub>850</sub>), specific humidity at 950 hPa (q950), sea surface temperature (SST), 1081 and meridional wind speed at 850 hPa (V<sub>350</sub>anomaly in mean sea level pressure (MSLPanom) were 1082 probably most impactful in enhancing GRArea using two scoring schemes. Clearings have distinguishing features such as an enhanced Pacific high shifted more towards northern California, 1083 offshore air that is warm and dry, stronger coastal surface winds, enhanced lower tropospheric 1084 1085 static stability, and increased subsidence. Although clearings are associated obviously with 1086 reduced cloud fraction where they reside, the domain-averaged cloud albedo was actually slightly higher on clearing days as compared to non-clearing days. To validate speculated processes linking 1087 environmental parameters to clearing growth rates based on satellite and reanalysis data, airborne 1088 1089 data from three case flights were examined. Measurements were compared on both sides of the 1090 clear-cloudy border of clearings at multiple altitudes in the boundary layer and free troposphere, 1091 with results helping to support links suggested by this study's model simulations. More 1092 specifically, airborne data revealed the influence of the coastal low-level jet and extensive 1093 horizontal shear at cloud-relevant altitudes that promoted mixing between clear and cloudy air. 1094 Vertical profile data provide support for warm and dry air in the free troposphere additionally 1095 promoting expansion of clearings. Airborne data revealed greater evidence of sea salt in clouds on clearing days, pointing to a possible role for, or simply the presence of, this aerosol type in clearing 1096 1097 areas coincident with stronger coastal winds. 1098

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### 1100 1. Introduction

1101 Stratocumulus clouds play an important role in both global and regional climate systems. 1102 Stratocumulus clouds are the dominant cloud type over marine environments based on annual 1103 mean of area covered (Warren et al., 1986; Hahn and Warren, 2007). In coastal areas, these clouds can impact industries such as agriculture, transportation (e.g., aviation), military operations, 1104 coastal ecology, and biogeochemical cycles of nutrients. Stratocumulus clouds also play an 1105 important role in the global radiation budget due to their high albedo contrast with the underlying 1106 ocean surface- (Hartmann and Short, 1980; Herman et al., 1980; Stephens and Greenwald, 1991). 1107 1108 Challenges in accurately simulating the presence and properties of stratocumulus clouds include the difficulty in separating the influence of microphysical and dynamical factors and the existence 1109 of multiple feedbacks in cloud systems (Brunke et al., 2019). Therefore, accurate characterization 1110 of cloud formation and evolution is critical. 1111

Numerous studies have examined the behavior of clouds off the United States (U.S.) West 1112 Coast (e.g., Coakley et al., 2000; Durkee et al., 2000; Stevens et al., 2003; Lu et al. 2009; Painemal 1113 and Minnis, 2012; Modini et al., 2015; Sanchez et al., 2016). The persistence of the cloud deck in 1114 this region, especially during the summer, makes it a key location for studying marine 1115 1116 stratocumulus clouds. Furthermore, the prevalence of freshly-emitted aerosols from ships provides an optimal setting for field measurements of aerosol-cloud-precipitation interactions because of 1117 1118 the relative ease of finding strong aerosol perturbations, from which cloud responses can be robustly quantified (e.g., Russell et al., 2013). Over the decades of research conducted in the 1119 1120 aforementioned study region and two other major stratocumulus regions (Southeast Pacific Ocean 1121 off the Chile-Peru coasts and Southeast Atlantic Ocean off the Namibia-Angola coasts), one 1122 feature that has not received sufficient attention is large scale stratocumulus clearings that are easily observed in satellite imagery and often exceed 100 km in width (Fig. 1). Perhaps the most 1123 obvious impact of these clearings is the change in albedo as an otherwise cloudy area would be 1124 highly reflective. Improving understanding of factors governing clearings has implications for 1125 modeling of marine boundary layer clouds and for operational forecasting of weather and fog along 1126 1127 coastlines.

1128 Previous studies have documented the existence of large scale cloud clearings off the U.S. West Coast (e.g., Kloesel, 1992). During the 2013 Nucleation in Cloud Experiment (NiCE), three 1129 1130 case study flights with the Center for Interdisciplinary Remotely-Piloted Aircraft Studies (CIRPAS) Twin Otter examined clearings off the California coast, with a focus on diurnal behavior 1131 and contrasting aerosol and thermodynamic properties across the cloud-clearing interface (Crosbie 1132 et al., 2016). Based on a multi-day event, they showed that a clearing expanded during the day and 1133 contracted at night towards the coast with oscillations between growth and decay over the multi-1134 1135 day clearing lifetime. They observed that small scale processes (~1 km) at the clearing-cloud border are influential in edge dynamics that likely upscale to more climatologically influential 1136 1137 scales, which is why reanalysis data cannot accurately replicate the spatial profile of cloud fraction 1138 (CF) and cloud liquid water path (LWP) when compared to satellite data. One of their three events was associated with a so-called "southerly surge", also referred to as a coastally-trapped 1139 1140 disturbance (CTD). CTD events were recently characterized off the U.S. West Coast by Juliano et 1141 al. (2019a,b). Clearing events have been examined over the southeast Atlantic Ocean with the catalyst for cloud erosion shown to be atmospheric gravity waves (Yuter et al., 2018). While these 1142 aforementioned studies have explained details associated with clearings in different coastal 1143 1144 regions, there are many unanswered questions remaining and a need for more statistics associated with clearings to build more robust conclusions. 1145

The goal of this work is to build upon cloud clearing studies over the U.S. West Coast to 1146 1147 provide a more comprehensive analysis using the synergy of data from satellite remote sensors, 1148 reanalysis products, and airborne in-situ measurements. We first examine a decade of satellite data 1149 to report on statistics associated with the temporal and spatial characteristics of clearings. These characteristics are then studied in conjunction with environmental properties from reanalysis 1150 products and machine learning simulations to identify factors potentially contributing to the 1151 1152 formation and evolution of clearings. Lastly, airborne in situ data are used to validate findings 1153 from the aforementioned analyses and to gain more detailed insight into specific events that otherwise would not be possible with reanalysis and satellite products. The most significant 1154 implications of our results are linked to modeling of fog and boundary layer clouds, with major 1155 implications for a range of societal and environmental issues such as climate, military operations, 1156 transportation, and coastal ecology. 1157

1159 2. Experimental Methods

# 1160 **2.1 Satellite Datasets**

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1167

1161 Long-term statistics associated with clearings were obtained using Geostationary 1162 Operational Environmental Satellite (GOES) visible band (~0.6  $\mu$ m) images. Visual imagery data 1163 were obtained from GOES-11 for 2009 through 2011 and from GOES-15 between 2012 and 2018 1164 (data products summarized in Table 1). Images were analyzed for the spatial domain bounded by 1165 115°-135° W and 30°-50° N. The following steps led to the identification of individual clearings 1166 using GOES images, of which a total of 306 were identified between 2009 and 2018:

- (ii) GOES-11 and GOES-15 visible images were obtained from the National Oceanic and
   Atmospheric Administration (NOAA) Comprehensive Large Array-data Stewardship
   System (CLASS) database (http://www.class.noaa.gov).
- Each day's sequence of GOES images were visually inspected to identify if a clearing event 1171 (iii) 1172 was present. This involved utilizing the following general guidelines: (i) there had to be 1173 sufficient cloud surrounding the clearing area that the clearing's borders could be 1174 approximately identified, which excluded cases with highly broken cloud deck; (ii) 1175 clearings that were not connected to land between 30°-50° N in any of daily images were excluded; (iii) days with the cloud deck completely detached from the coast between 30°-1176 1177 50° N were not considered; and (iv) only clearings with a maximum daily area of greater 1178 than 15,000 km<sup>2</sup> (which translates to a clearing length on the order of 100 km) were 1179 considered. Consequently, the statistics presented in Section 3.1.1 represent a lower limit of clearing occurrence in the study region. However, it is expected that the qualitative 1180 trends discussed in Section 3.1.1 are representative of clearing behavior in the study region. 1181 For each clearing event, four images were selected to both quantify clearing properties and 1182 (iv) characterize diurnal variability: (i) Image 1 after sunrise, between 14:15 UTC (7:15 Pacific 1183 1184 Standard Time (PST)) and 16:45 UTC (09:45 PST) with a median at ~16:00 UTC (09:00 PST); (ii) Image 2 at a time relevant to the Moderate Resolution Imaging 1185 1186 Spectroradiometer (MODIS) Terra overpass over the study region, between 18:45 UTC (11:45 PST) and 20:45 UTC (13:45 PST) with a median at ~19:00 UTC (~12:00 PST); (iii) 1187 1188 Image 3 at a time relevant to the MODIS Aqua overpass over the study region, ranging from 19:45 UTC (12:45 PST) to 22:15 UTC (15:15 PST) with a median at ~22:00 UTC 1189 1190 (~15:00 PST); and (iv) Image 4 before sunset, ranging from 22:45 UTC (15:45 PST) to

119102:15 UTC (19:15 PST) with a median at ~01:00 UTC (~18:00 PST). For the purposes of1192subsequent discussion, local times (PST) will be used.

1193 (v) A custom-made cloud mask algorithm was applied consisting of the following steps: (i) 1194 each visible image was converted to an 8-bit integer gray-scale image with values assigned 1195 to each pixel ranging from 0 (black) to 255 (white); (ii) continental areas were masked 1196 from the analysis (i.e., green regions in Fig. 1), meaning that their values were not included 1197 in subsequent steps; (iii) a histogram of values for all pixels over the ocean was calculated 1198 for each image obtained in the previous step and then Otsu's method (Otsu 1979) was applied on the obtained histogram to compute a global threshold to categorize each pixel 1199 1200 as either clear or cloudy; (iv) a MATLAB image processing toolbox was used to extract the clearing as an object, including the pixels at the clearing-cloud border and pixels inside 1201 the clearing; (v) information contained within the clear pixels was then used to estimate 1202 clearing dimensions such as width, length, area, and centroid for the spatial domain 1203 bordered by 115°-135° W and 30°-50° N; and (vi) a MATLAB application was written to 1204 1205 automate all of the aforementioned steps to process data for a decade (2009-2018).

1207 Data were used from the MODIS on the Terra and Aqua satellites to characterize cloud and 1208 aerosol-properties on clearing and non-clearing days in the spatial domain of analysis defined 1209 above. Daily Level 3-Collection 6.1 data (Hubanks et al., 2019) with spatial resolution  $1^{\circ} \times 1^{\circ}$  were the 1210 downloaded LAADS DAAC distribution from system 1211 (https://ladsweb.modaps.eosdis.nasa.gov/). The key daytime parameters (Table 1) retrieved for 1212 this study relevant to liquid clouds included the following:, which were retrieved at 2.1 µm and 1213 selected based on their importance for marine boundary layer (MBL) cloud fraction (studies: CF) 1214 obtained from the MODIS cloud mask algorithm (Platnick et al., 2003), cloud optical thickness 1215 ( $\tau$ ), cloud liquid water path (LWP)<sub>51</sub> and cloud droplet effective radius ( $r_e$ ). Detailed information 1216 about these MODIS products is described elsewhere (Platnick et al., 2003; Platnick et al., 2017; 1217 Hubanks et al., 2019).

1218 Although MODIS Level 3 data parameters do not include cloud droplet number 1219 concentration ( $N_d$ ), previous studies estimated  $N_d$  using retrievals of  $\tau$  and  $r_e$  with assumptions 1220 (Bennartz, 2007; Painemal and Zuidema, 2010; McCoy et al., 2017). We use the following 1221 equation from Painemal and Zuidema (2010) to estimate  $N_d$ : 1222

1223 
$$N_d = \frac{(\Gamma_{ad})^{\frac{1}{2}}}{k} \frac{10^{\frac{1}{2}}}{4 \pi \rho_w^{\frac{1}{2}}} \frac{\tau^{\frac{1}{2}}}{r_e^{\frac{1}{2}}}$$

1206

1224 where  $\rho_w$  is the density of liquid water,  $\Gamma_{ad}$  is the adiabatic lapse rate of liquid water content 1225 (LWC), and the parameter k is representative of droplet spectral shape as the cube of the ratio 1226 between the volume mean radius and the effective radius. While PainemalΓ<sub>ad</sub> is a function of 1227 temperature and Zuidema (2010)pressure (Albrecht et al., 1990). In this study, cloud top 1228 temperature and pressure, provided by MODIS, are used a  $\Gamma_{ad}$  value equal to  $2.0 \times 10^{-4}$  g m<sup>4</sup>-to estimate  $N_{a}$  for  $\Gamma_{ad}$  following the southeast Pacific region, we use the average value of  $\Gamma_{ad}$  = 1229 1230 2.3 × 10<sup>-4</sup>-g m<sup>-4</sup>-reported by methodology described in Braun et al. (2018) for the northeast 1231 Pacific.). A constant value of 0.8 (Martin et al. 1994) is assigned to k in Equation 1. 1232 -Similar to our previous study on clearings (Crosbie et al., 2016), cloud top albedo (A) was 1233 quantified using  $\tau$  in the following relationship (Lacis and Hansen 1974): 1234

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1235 
$$A = \frac{\tau}{\tau + 7.7}$$
  
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## 1237 2.2 Reanalysis Data

1238 Various products from Modern-Era Retrospective analysis for Research and Applications, 1239 Version 2 (MERRA-2; Gelaro et al., 2017) were used to gain insight into possible mechanisms 1240 influencing the formation and evolution of clearings off the U.S. West Coast. MERRA-2 data were downloaded from the NASA Goddard Earth Sciences Data and Information Services Center (GES 1241 DISC; https://disc.gsfc.nasa.gov/). Table 1 summarizes MERRA-2 parameters used in this work, 1242 1243 including detailed information such as their product identifier and temporal resolution. -The 1244 parameters were chosen based on their ability to provide a sufficient view of atmospheric 1245 conditions in which MBL clouds form, evolve, and dissipate. Various vertical levels were used for 1246 some MERRA-2 products as a way of obtaining representative information for different layers of 1247 the MBL and free troposphere (FT). Of note is that the MERRA-2 aerosol reanalysis relies on the 1248 GEOS-5 Goddard Aerosol Assimilation System (Buchard et al., 2015) for which the Goddard 1249 Chemistry, Aerosol, Radiation, and Transport (GOCART) model (Chin et al., 2002) simulates 15 1250 externally mixed aerosol tracers including sulfate, dust (five size bins), sea salt (five size bins), 1251 and hydrophobic and hydrophilic black carbon and organic carbon. Of relevance to this study, 1252 GOCART applies wind-speed dependent emissions for sea salt. Furthermore, the dominant 1253 removal mechanisms for aerosols include gravitational settling, dry deposition, and wet 1254 scavenging.

1255

## 1256

## 1257 2.3 Airborne In-Situ Data

Motivated by the three case study research flights (RFs) probing clearings during the NiCE 1258 campaign (Crosbie et al., 2016), the Fog and Stratocumulus Evolution Experiment (FASE) was 1259 carried out with nearly the same payload on the Center for Interdisciplinary Remotely-Piloted 1260 Aircraft Studies (CIRPAS) Twin Otter between July and August 2016 (Sorooshian et al., 2018). 1261 Data were used from three case RFs examining clearings: RF08 on 2 August 2016, and 1262 RF09A/RF09B on 3 August 2016. The back-to-back flights on 3 August afforded an opportunity 1263 to examine the evolution of clearing properties at the clear-cloudy interface over a span of a few 1264 hours. Figure 2 shows GOES imagery and the flight pattern for RF09A, which is representative of 1265 the other two shown in Figs. S1-S2. The same flight strategy from NiCE (Crosbie et al., 2016) was 1266 used in the FASE RFs and included the following set of maneuvers (Fig. 2c): (i) spiral profiles on 1267 both sides of the clear-cloudy interface; (ii) level legs extending on both sides of the clear-cloudy 1268 1269 interface near the ocean surface (~30 m; called "surface leg"), above cloud base, and mid-cloud; 1270 (iii) a series of sawtooth maneuvers up and down between ~60 m below and above the cloud top 1271 on both sides of the clear-cloudy interface; and a (iv) level leg in the free troposphere (FT) at ~1 km altitude. The typical aircraft speed was 55 m s<sup>-1</sup>. 1272

Commonly used instruments provided dynamic, thermodynamic, and navigational data
(Crosbie et al., 2016; Dadashazar et al., 2017; Sorooshian et al., 2018). Of the relevance to this
study are 10 Hz measurements of wind speeds, air temperature, and humidity. Setra pressure
transducers attached to a five-hole gust probe radome provided three components of wind speeds
after correction for aircraft motion, which was obtained by a C-MIGITS-III GPS/INS system.
Ambient air temperature was measured by a Rosemount Model 102 total temperature sensor. Also,

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(2)

humidity data were collected with an EdgeTech Vigilant chilled mirror hygrometer (EdgeTechInstruments, Inc.).

1281 Cloud micro/macrophysical parameters were measured at 1 Hz with various instruments. 1282 Size distributions of cloud droplets and rain droplets were characterized using the Forward 1283 Scattering Spectrometer Probe (FSSP;  $p_p \sim 2-45 \ \mu\text{m}$ ) and Cloud Imaging Probe (CIP;  $p_p \sim 25-$ 1284 1600  $\mu$ m). Cloud base rain rate was quantified using the size distributions of drizzle drop ( $D_P > 40$ 1285 µm) obtained from CIP in the bottom third of clouds along with documented relationships between 1286 fall velocity and drop size (Wood 2005a). LWC data were obtained using a PVM-100 (Gerber et 1287 al., 1994), which were vertically integrated during sounding profiles to quantify cloud LWP. 1288 Aerosol concentration data are reported here from the passive cavity aerosol spectrometer probe 1289 (PCASP;  $D_p \sim 0.11-3.4 \ \mu m$ ; Particle Measuring Systems (PMS), Inc.; modified by Droplet 1290 Measurement Technologies, Inc.) at 1 Hz time resolution. Cloud water composition data were 1291 obtained using a modified Mohnen slotted-rod collector (Hegg & Hobbs, 1986) that was manually placed out of the aircraft during cloud passes to collect cloud water. The collected samples were 1292 1293 analyzed for water-soluble ions using ion chromatography (IC; Thermo Scientific Dionex ICS-2100 system) and water-soluble elements using triple quadrupole inductively coupled plasma mass 1294 spectrometry (ICP-QQQ; Agilent 8800 Series). Liquid-phase concentrations of species were 1295 1296 converted to air-equivalent units ( $\mu g m^{-3}$ ) via multiplication with the sample-averaged LWC. The 1297 reader is referred to other works for more extensive discussion about cloud water collection and 1298 sample analysis from FASE and other recent CIRPAS Twin Otter campaigns (Crosbie et al., 2018; 1299 Prabhakar et al., 2014; Sorooshian et al., 2013a; Wang et al., 2016; Youn et al., 2015).

1300 Ten Hz measurements of environmental parameters were used to estimate turbulent 1301 variance and covariance flux values-, which may be relevant to the understanding of clearing 1302 formation and evolution based on past work (Crosbie et al., 2016). To perform the aforementioned 1303 calculations, collected data for wind speed and temperature were de-trended using a 2-km wide 1304 high pass filter that utilizes a minimum order-filter with a stopband attenuation of 60 dB and 1305 transition band steepness of 0.95. Friction velocity  $(\mu^*)$  was calculated from the surface leg 1306 following the method provided in Stull (1988) and Wood (20052005b). In addition, convective 1307 velocity  $(w^*)$  was estimated by implementing the buoyancy integral method (Nicholls and 1308 Leighton, 1986). Turbulent kinetic energy (*TKE*) in the marine boundary layer (MBL)MBL is 1309 generated by two main mechanisms, specifically shear and buoyancy generation. Following Wood 1310 (20052005b), the ratio of the MBL depth  $(z_i)$  to the Monin–Obukhov length  $(L_{MO})$  was estimated 1311 as a way to determine the relative influence of shear versus buoyancy in values of *TKE*. Large 1312 positive values of the ratio  $(z_i/L_{MO})$  are associated with the turbulence in the MBL governed more 1313 with buoyancy production, while small or negative values are associated with the dominance of 1314 shear production.

1315 Properties relevant to the inversion layer were estimated from sawtooth maneuvers above 1316 and below the cloud top, which typically coincided with the inversion base altitude (Fig. 2c). The 1317 inversion base height was defined as the altitude where the ambient temperature first reached its 1318 minimum above the sea surface (Crosbie et al., 2016). Inversion top was defined as the highest altitude with the gradient inat which  $d\theta_1/dz$  exceeded 0.1 K m<sup>-1</sup>, where  $\theta_1$  is liquid water potential 1319 1320 temperature overand z is altitude in the inversion layer ( $d\theta_{l}/dz$ ) exceeding 0.1 K m<sup>4</sup>.  $d\theta_{l}/dz$  was calculated from linear fits over a moving window of 75 points from 10 Hz data. The following 1321 1322 characteristics were estimated and reported for the inversion layer: (i) inversion base height; (ii) 1323 inversion top height; (iii) inversion depth; (iv) jump in liquid water temperature ( $\Delta \theta_l$ ); (v)

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maximum gradient of the potential temperature  $((\underline{d}\theta_t/dz)_{\max})$ ; (vi) drop in the total moisture  $(\underline{\Delta}q_t)$ ; and (vii) change in the horizontal wind speed  $(\underline{\Delta}U)$ .

## 1326

## 1327 2.4 Clearing Growth Modeling Using Machine Learning

1328A Gradient Boosted Regression Tree (GBRT) model approach was implemented to1329investigate the impact of environmental parameters on the evolution of clearing events- (Friedman13302001). GBRT models have been successfully used in past work to study low-level clouds (Fuchs1331et al., 2018). The Scikit-Learn library (Pedregosa et al., 2011) was used for careful parameter1332tuning in order to accurately represent the data and desired relationships without overfitting the1333model (Fuchs et al., 2018).

1334 We apply the GBRT model to analyze clearing growth rates of total area ( $GR_{Area}$ ) obtained 1335 from the comparative analysis between GOES Image 1 (~9:00 PST) and Image 2 (~12:00 PST) 1336 orfor each of the 306 events. As will be shown, the most rapid clearing growth occurs between 1337 9:00 and 12:00 PST among the three time increments between Images 1-4 (i.e., 09:00 - 18:00 PST). 1338 Here we describe how the predictor values were obtained. A rectangular box was placed around 1339 the larger of the clearing areas from Image 1 or 2 for each clearing event using the maximum and 1340 minimum values of both latitude and longitude. The same size rectangular box was then placed on the other image using identical latitude and longitude bounds. MERRA-2 data were then obtained 1341 1342 for each  $0.5^{\circ} \times 0.625^{\circ}$  grid within the rectangular area for the two images, and then averaged for 1343 the pair of images. Each grid was also assigned the value of the clearing  $GR_{Area}$  for the entire 1344 clearing (i.e., each grid had the same value of GRArea assigned to it). Parameters used in the 1345 modeling included those relevant to aerosol (aerosol optical depth (AOD)), thermodynamics (air 1346 temperature (T), air specific humidity (q), and sea-surface temperature (SST), and dynamic 1347 variables (mean sea level pressure anomaly (MSLPanom), zonal wind speed (U), meridional wind 1348 speed (V), planetary boundary layer height (PBLH), and vertical pressure velocity ( $\omega$ )). Most of 1349 the aforementioned variables were first analyzed at different vertical levels including the surface, 1350 950 hPa, 850 hPa, and 700 hPa in order to then filter variables out to keep only the most appropriate 1351 input parameters.

1352 Model simulation results are reported in terms of a parameter termed 'partial dependence' 1353 (*PD*) following methods in earlier works (e.g., Friedman, 2001; Fuchs et al., 2018). *PD* plots 1354 represent the change of the clearing *GR*<sub>Area</sub> relative to a selected parameter by marginalizing over 1355 the remaining predictors. For each given value of a selected parameter ( $x_s$ ), partial dependence 1356 (*PD*( $x_s$ )) can be obtained by computing the average of model outputs using the training data as 1357 shown in Equation 3:

# $PD(x_s) = \frac{1}{n} \sum_{i=1}^{n} \hat{f}(x_s, x_R^{(i)})$

(3)

1359 where  $\hat{f}$  is the machine learning model,  $x_R$  are the remaining parameters, and *n* is the number of 1360 instances in the training data. *PD* profiles were computed between the 1<sup>st</sup> and 99<sup>th</sup> percentile of 1361 each selected parameter.

1362To correctly interpret the model output using the PD criterion and gradient boosting, it was1363required that the model input parameters not be correlated. Thus, the input parameters were chosen1364so as to have the least correlation among them. Two input parameters were determined to be1365independent if a linear regression between the two parameters yielded an  $r^2$  value of less than13660.5. While PD plots are not flawless in capturing the influence of each variable in the model,1367especially if the input variables are strongly correlated, they provide useful information for1368interpretation of GBRT results (Friedman and Meulman 2003; Elith et al., 2008). To decrease the

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1369 undesired influence of correlated variables on PD profiles, an arbitrary  $r^2$  threshold of 0.5 was 1370 used based on the linear regressions between prospective input parameters. For instance, there 1371 were three choices of air temperature (i.e., at 950, 850, and 700 hPa), but based on the  $r^2$  criterion. 1372 only one  $(T_{850})$  was used in the model, as it proved to be an independent minimize the unwanted 1373 impact of dependent input parameterparameters. Lower tropospheric stability (LTS: defined as the 1374 difference between the potential temperature of the free troposphereFT (700 hPa) and the surface) 1375 is the stability parameter that has been widely used as a key factor controlling the coverage of 1376 stratocumulus clouds. However, in this study, the effects of stability were examined by putting 1377  $T_{850}$  and SST into the model without explicitly including LTS. The correlation between LTS and 1378  $T_{850}$  prevented them to be used as input parameters simultaneously. Using  $T_{850}$  and SST instead of 1379 LTS is advantageous because the results can be more informative by revealing different impacts of 1380 the two individual parameters on the model's output rather than just one parameter in the form of 1381 LTS. In addition, the mean sea level pressure anomaly (MSLPanom) was used as an input parameter, 1382 which was calculated in reference to the average values of MSLP for the summer months for the 1383 study period. In the end, the following eleven11 predicting variables from MERRA-2 were used 1384 as input parameters for the GBRT simulations, with data product details summarized in Table 1: 1385 AOD, T850, 950, 9850, 9700, SST, MSLP anom, U850, V850, PBLH, and w700. It is important to note that 1386 the results of extensive sensitivity tests led to the selection of the set of parameters presented in 1387 this study. Also, these sensitivity tests confirmed that the general conclusions presented here were 1388 preserved regardless of using different sets of the input parameters.

1389 To train, test, and validate the statistical models, the dataset was split into random parts. 1390 The training set was comprised of 75% of the data points, 30% of which were randomly selected 1391 for validation. This process helped reduce variance and increase model robustness. The remaining 25% of the data points comprised the test dataset. The model setup was tuned using training data, 1392 1393 for which different scenarios were tested that were specified by a parameter grid through a 10-fold 1394 cross-validated search. The model was run on the dataset 30 times to achieve robust results. To 1395 qualitatively rank the input parameters based on their influence on growth rates, differences 1396 between the maximum and minimum of PD (APD) were calculated over 30 runs.two scoring 1397 metrics were calculated over 30 runs: (i) differences between the maximum and minimum of PD 1398  $(\Delta PD)$ ; and (ii) the relative feature importance following the method developed by Friedman 1399 (2001), which is determined by the frequency that a variable is chosen for splitting, weighted by 1400 the gained improvement due to each split and averaged over all trees (Friedman and Meulman 1401 2003; Elith et al., 2008).

## 1403 3. Results and Discussion

## 1404 3.1 Temporal and Spatial Profile of Clearings

## 1405 3.1.1 Monthly and Interannual Trends

1406 The frequency of clearing events was quantified for the three summer months (June – July 1407 - August, JJA) of each year betweenfrom 2009 andthrough 2018 (Fig. 33a). Note that if a clearing 1408 event lasted multiple days as in the case of the 11-day clearing probed by Crosbie et al. (2016), it 1409 was counted separately for each individual day rather than assigned a value of one for a multi-day 1410 period. There was considerable interannual variability, with clearing events ranging between a minimum of 14 in 2017 and a maximum of 45 in 2011. The relative percentage of total days in the 1411 summer season having clearings ranged from 15.2% - 48.9% with a mean  $\pm$  standard deviation of 1412 1413  $33.3 \pm 10.9$  days. The specific month with the most clearing events varied between years, with 1414 August typically having the least number of events among the summer months. The most recent

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1415year of the decade examined, 2018, was used to more closely examine the distribution of clearing1416events as a function of all 12 months. Daily probabilities of clearing events are shown for each1417month, with the highest probability between May and September (> 0.2), especially June (~0.42)1418(Fig. 3b). Daily probabilities were lowest in the winter season, with January having no clearings1419( $\Theta$ ).2

1420 To identify if the monthly profile of clearings is biased by the monthly profile of CF, Figs. 1421 S3-S4 show the mean annual cycle of MODIS CF for 2018 and 2009-2018, respectively. The range 1422 in CFs for 2018 and 2009-2018 were 0.59-0.76 and 0.60-0.74, respectively, with the mean values 1423 being  $0.69 \pm 0.05$  and  $0.68 \pm 0.04$ . This is indicative of relatively low variability. A reasonable question is if August had the lowest clearing daily probability of the summer months because it 1424 potentially had the lowest CF. Figs. S3-S4 do not show significant variations in CF between the 1425 summer months, with mean values in 2018 for June, July, and August being 0.71, 0.72, and 0.72, 1426 1427 respectively. Also, the lowest mean daily probability in 2018 was for January and February, but 1428 those months do not exhibit the lowest CF (January = 0.76, February = 0.67). Rather, September 1429 exhibited the lowest CF (0.59). Finally, CF decreased from 0.72 to 0.59 from August to September 1430 2018, but the daily probability of clearings actually increased slightly. Thus, the systematic 1431 changes in *CF* between months are not the primary cause for inter-monthly variation in clearing 1432 formation. 1433

## 1434 3.1.2 Diurnal

1435 Dimensional characteristics of cloud clearings as a function of time of day are summarized 1436 here. The median width of clearings was smallest in the morning at 09:00 (193 km), with an 1437 increase between 09:00 and 12:00, and then a leveling off in expansion until 18:00 (443 km) (Fig. 4). Clearing length and area followed the same qualitative trend in growth with an initial increase 1438 and then leveling off. The median length and area of clearings at 09:00 were 680 km and ~67,000 1439  $km^2$ , respectively, with values at 18:00 being ~1231 km and ~250,000 km<sup>2</sup>. The aspect ratio 1440 (width:length) was of interest to quantify how long such clearings are relative to their width 1441 1442 throughout the day, with results indicating a minor increase that was more linear than asymptotic 1443 (from ~0.32 at 09:00 to ~0.37 at 18:00). Although the range in median values was very small, there 1444 was significant variability at each of the four time steps shown. Figure  $\frac{585}{2}$  quantifies the *GR* of 1445 total area, width, and length by comparing 12:00 to 09:00, 15:00 to 12:00, and 18:00 to 15:00. The 1446 GRs for clearing length, width, and area are expectedly lowest from 15:00 to 18:00 and highest 1447 from 09:00 to 12:00.

1448 Figure 65 shows cloud fraction CF maps for the times corresponding to panels 1 - 4 for all 1449 306 events between 2009 and 2018. The spatial maps show that the centroid of the clearings is 1450 generally focused on the coastal topographical features along the central to the northern coast of 1451 California including especially just south of Cape Mendocino and Cape Blanco. Less pronounced 1452 is a centroid of reduced CF by Point Conception, where similar mechanisms may be at work. The 1453 09:00 map most clearly shows that those two topographical features potentially serve as 'trigger 1454 points' for the majority of clearings, and as a typical clearing day develops, the <del>cloud fraction</del>*CF* 1455 gets reduced around those points by moving farther south and to the west. Juliano et al. (2019a/b) 1456 also discussed the The significance of these capes is discussed in their analysis of CTDs many 1457 previous studies (Beardsley et al., 1987; Haack et al., 2001; Juliano et al., 2019a,b) pointing to their ability to alter local dynamics, cloud depth, and various microphysical processes such as 1458 1459 entrainment. Southerly wind during CTDs promotes cloud Cloud thinning onin the northern

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sidevicinity of the capes due to an expansion fan effect (is reported for both northerly and southerly
 flow (Beardsley et al., 1987; Juliano et al., 2017).

## 1463 3.2 Contrasting Clearing and Non-Clearing Cases

1462

1464 Large-scale characteristics of a dynamic and thermodynamic nature characteristics were 1465 contrasted (parameters in Table 1) were contrasted between clearing and non-clearing days (Fig. 1466  $\frac{76}{10}$ . Sub-daily data were averaged up to daily resolution for parameters of interest, which were 1467 subsequently used to produce a climatology for non-clearing (614 days) and clearing (306 days) 1468 cases for the summers between 2009 and 2018. It is important to note that non-clearing cases 1469 include those summer days (e.g., June, July, and August) from 2009 through 2018 that were not 1470 categorized as clearing days. We further calculated the difference between clearing and non-1471 clearing conditions.

The Pacific high usually sets up a few hundred kms~1000 km west of California during the 1472 summertime, which promotes northerly flow near the surface along the coastline (e.g., Juliano et 1473 1474 al., 2019a). As compared to non-clearing cases, clearing days are characterized by having an 1475 enhanced Pacific high shifted more towards northern California (Fig. 7)-6a). The presence of 1476 Pacific high over the ocean and thermal low over the land, especially for the summer months, are 1477 the main synoptic components contributing to the formation of coastal low-level jets (CLLJs) 1478 along the California coast (Beardsley et al., 1987; Parish 2000). California CLLJs are characterized 1479 by vertically narrow regions of intensified coast-parallel winds in low altitudes near the MBL top 1480 (Burk and Thompson 1996) with an average strength of ~15 m s<sup>-1</sup> (Lima et al., 2018). In contrast, 1481 CLLJs have a relatively large horizontal offshore extent of up to a couple of hundred kms, which 1482 is determined by the Rossby radius of deformation (Ranjha et al., 2013). In both cases (clearing 1483 and non-clearing), the cross-coast gradient in MSLP and 850 hPa geopotential height gradients are 1484 the highest in northern California and directed away from the coast. Due to the displacement of 1485 the Pacific high towards the northeast part of the study region on clearing days, these gradients are 1486 much more profound on clearing days as compared to non-clearing days. The zonal pressure 1487 gradient is the main parameter controlling the intensity and occurrence of California CLLJs (Zemba and Friehe 1987; Parish 2000; Lima et al., 2018). The probability of CLLJ incidents is 1488 1489 most likely greater on clearing days as a response to the enhanced pressure gradients near the coast. 1490 This results in is also supported by low level wind fields shown in Fig. 7, which exhibit a 2-5 m s<sup>-</sup> 1491 <sup>1</sup> increase in northerly surface wind speed (Fig.  $\frac{8a}{7a}$ ) between 35°N and 45°N. Looking at the 850 1492 hPa wind field (Fig. <u>8b7b</u>), there is also a ~2-5 m s<sup>-1</sup> increase in wind speed but in this case more 1493 in a northeasterly direction, which equates to having offshore flow from the northern California 1494 coast. The tightening of the 850 hPa geopotential height gradient on clearing days results in strong 1495 offshore flows by Cape Blanco and Cape Mendocino (Fig. 8a) where cloud fraction minima are 1496 observed (Fig. 6).7b) where CF minima are observed (Fig. 5). In addition, Beardsley et al. (1987) 1497 reported periods of low cloudiness along the California coast as a response to the synoptic scale 1498 features, an increase in the pressure gradient along the coast, and enhanced wind speeds. In other 1499 studies, over the southeast Pacific (Garreaud and Munoz 2005; Zuidema et al., 2009), dissipation 1500 of the coastal stratocumulus cloud deck was observed over the jet regions. Average conditions at 1501 500 hPa indicate mostly westerly flow on both clearing and non-clearing days. Non-clearing days 1502 exhibited a weak trough offshore, while during clearing days a ridge is present at 500 hPa farther 1503 offshore, which can be attributed to. Displacement and strengthening of the stronger high-pressure 1504 system on clearing days- can be associated with the passage of mid-latitude ridges (Garreaud and 1505 Munoz 2005).

1506 The difference in air temperature between clearing and non-clearing cases at the surface 1507 reaches up to ~0.7 K on the western edge of the study domain (Fig. 746a). Clearing cases exhibited 1508 cooler temperatures closer to the coast where the clearings develop and evolve. SST shows a similar 1509 pattern as air temperature at the surface (Fig. 948a). Faster offshore winds at the surface can 1510 promote ocean upwelling and thus cooler SSTs, (Lima et al., 2018), as was also observed for CTD 1511 events in the same region (Juliano et al., 2019a). Furthermore, the generally high eloud fractionsCFs during clearing days for the entire spatial domain reduces radiative transfer to the 1512 1513 ocean, also acting to reduce SST over the broader study region. It is well documented that 1514 cloudiness Cloudiness and surface winds play a major role in influencing SSTs (e.g., Klein et al., 1995). In contrast, air temperatures at higher levels (850 and 500 hPa) are enhanced adjacent to 1515 1516 the coastline in clearing cases. Air temperature at 850 hPa is higher (lower) to the south (north) of 1517 Cape Blanco and Cape Mendocino (Fig. 65) in clearing cases as compared to non-clearing cases, 1518 with the difference reaching as high as ~2 K. The enhanced offshore flow of warm and dry air in 1519 in the vicinity of Cape Blanco and Cape Mendocino likely contributecontributes to why many of 1520 the clearings geographically are centered atby these coastal topographical features (Fig. 6).5). It is 1521 noteworthy that over the west coast of subtropical South America, cloud dissipation over and 1522 upstream of the coastal jet region was reported (Garreaud and Munoz 2005; Zuidema et al., 2009), 1523 whereas downstream there was enhanced CF, which appears to be analogous to this study. 1524 Concomitant with higher cloud fraction and reduced SSTs, The changes in synoptic-scale 1525 conditions, including relocation/strengthening of the study region also exhibited generally higher 1526 LTS by up to -2 KPacific high, on clearing days versus-in comparison to non-clearing days (Fig. 1527 9b). Other works have pointed to the connection between cooler SSTs, higher boundary layer cloud 1528 amount, and increased stability in the lower atmosphere (Norris and Leovy 1994, Klein and 1529 1530 1531 Hartman 1993). With enhanced LTS values on clearing days, it is expected that there will be simultaneously strong can alter large-scale subsidence. This is indeed confirmed in Fig. 9-68b using  $\omega_{700}$  as the proxy variable, with the strongest difference between clearing and non-clearing days 1532 (up to ~ 0.1 Pa s<sup>-1</sup>) off the coast by Cape Blanco and Cape Mendocino and 1533 1534 geographical geographically coincident with where the sharpest gradients occur for MSLP between clearing and non-clearing cases (Fig. 7).-6a). It is interesting to note that the maximum LTS values 1535 in LTS coincide spatially with enhanced values of  $\omega_{700}$  on non-clearing days, in contrast to clearing 1536 days when the peak value of  $\omega_{700}$  is farther north from where LTS peaks- (Fig. 8c). Consistent with 1537 the results presented here (Fig. 8b), modeling studies (Burk and Thompson 1996; Munoz and 1538 Garreaud 2005) reported enhanced subsidence for the entrance regions of the Chilean and 1539 California CLLJs in response to coastal features. These studies also reported the generation of a 1540 warm layer above the MBL due to coastal mechanisms especially downstream of coastal points 1541 and capes. This is also the case in this study where higher air temperature at 850 hPa was observed 1542 to the south of Cape Blanco and Cape Mendocino on clearing days (Fig. 6b). In addition, higher 1543 LTS values on clearing days by up to ~2 K (Fig. 8c) are largely associated with the presence of 1544 warmer layer above the MBL south of Cape Blanco and Cape Mendocino. It is likely that reduced 1545 SSTs and greater subsidence contributed to generally higher LTS on clearing days versus non-1546 clearing days (Fig. 8c). Other works have pointed to the connection between cooler SSTs, higher 1547 boundary layer cloud amount, and increased stability in the lower atmosphere (Klein and Hartman 1548 1993; Norris and Leovy 1994). 1549 Another key environmental parameter related to MBL cloud coverage is the PBLH. 1550 Regardless Consistent with previous studies (Neiburger et al., 1961; Wood and Bretherton 2004),

regardless of whether clearings were present, PBLH generally increases with distance from the

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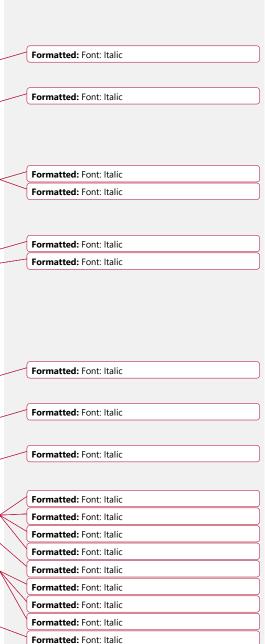
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1552	coast (Fig. 9d), 8d), where warmer SSTs lead to deeper MBLs by weakening the inversion	
1553	(Bretherton and Wyant 1997). The shallowing of the MBL near the California coast is also notable	
1554	with enhanced gradients on clearing days. The aforementioned MBL shallowing is believed to be	
1555	a crucial element in development of the coastal jet off the California coast (Zemba and Friehe	
1556	1987; Parish 2000). Previous studies (Beardsley et al., 1987; Edwards et al., 2001; Parish 2000;	
1557	Zuidema et al., 2009) also reported MBL height adjustment in the vicinity of coast due to hydraulic	
1558	adaptation to coastal topography, thermally driven circulation, and geostrophic adjustment in the	
1559	cross-coast direction in response to the contrast in surface heating between ocean and land. There	
1560	is also a strong gradient in <i>PBLH</i> along the shoreline in the vicinity of Cape Blanco (Fig. 8d).	
1561	While the presence of a similar togradient in SST- (Fig. 8a) may partly explain the observed	Formatted: Font: Italic
1562	gradient in <i>PBLH</i> , coastally induced processes could also play a role.	Formatten, Font, italic
1563	Comparing clearing with non-clearing days, PBLH tends to be higher on clearing days	Formatted: Font: Italic
1564	though, with the largest differences (~200 m) observed to the north off the coasts of Washington	
1565	and British Columbia. The smallest, which re-emphasizes the important role of coastal topography	
1566	near Cape Blanco and Cape Mendocino in mesoscale dynamics (Beardsley et al., 1987; Haack et	
1567	al., 2001). Zuidema et al. (2009) suggested that dynamical blocking of the surface winds by the	
1568	southern Peruvian Andes contributed to boundary layer thickening by encouraging mesoscale	
1569	convergence. Enhanced dynamical blocking of surface winds by coastal topography near Cape	
1570	Blanco, as suggested by greater wind speeds on clearing days (Fig. 7a), can lead to a deeper MBL	
1571	in the coastal regions north and northwest of Cape Blanco. In contrast, coastal areas south of Cape	
1572	Blanco, exhibit negligible differences existed where the majority of clearings evolved, specifically	
1573	off the California coast. in <i>PBLH</i> between clearing and non-clearing days. In the aforementioned	
1574	regions, enhanced hydraulic response (i.e., expansion fan (Parish et al., 2016)) to coastal	
1575	topography, may cause slightly shallower MBL on clearing days.	
1576	Higher MBL depths in the offshore regions of clearing days is noteworthy to discuss.	Formatted: Indent: First line: 0.5"
1577	Parameters influencing MBL depth include entrainment rates, vertical velocity at the top of MBL,	
1578	and horizontal advection of MBL (Wood and Bretherton 2004; Rahn and Garreaud 2010).	
1579	Although on clearing days there may be greater subsidence rates offshore (Fig. 8b) promoting a	
1580	shallower MBL, the sum of entrainment and horizontal advection terms counteract the	
1581	aforementioned effect resulting in a deeper MBL. Wood and Bretherton (2004) showed for the	
1582	Northeast and Southeast Pacific that entrainment and subsidence were the most influential terms	
1583	in the MBL prognostic equation, which acted in the opposite manner. It is also likely that	
1584	entrainment processes resulting from changes in small scale turbulence contributed to elevated	
1585	<u>PBLH on clearing days (Randall 1984; Rahn and Garreaud 2010).</u> The maps of cloud fraction <u>CF</u>	
1586	from MODIS Terra (Fig. 10a9a) can provide at least one possible explanation for the spatial	
1587	differences in <i>PBLH</i> between clearing and non-clearing days. Cloud fraction is generally higher	Formatted: Font: Italic
1588	for the broad study region on clearing days, which leads to more opportunity for cloud top radiative	
1589	cooling to then fuel turbulence in MBL <sub><math>\tau</math></sub> (Wood 2012). Greater turbulence can lead to a deeper	
1590	MBL. But in the one area where PBLHs are not enhanced for the clearing days, off the California	
1591	coast, cloud fractions are reduced, which is why PBLH does not exhibit by promoting greater	
1592	values and actually has lower PBLH values.entrainment at the top of MBL (Randall 1984; Wood	
1593	2007).	
1,555		
1594	Figure 9e8e shows spatial maps of specific humidity at 10 m above the sea surface $(q_{10m})$ ,	Formatted: Font: Italic
1595	which serves as a proxy of available moisture in MBL. Assuming a shallow and well-mixed MBL,	Formatted: Font: Italic
1596	$q_{10m}$ represents moisture levels in that the MBL. Similar to SST, $g_{10m}$ increases to the south of the	Formatted: Font: Italic

1597 study region with especially reduced values immediately adjacent to the California coast. 1598 Comparing clearing and non-clearing days, the former is less humid in the MBL (up to -0.6 g kg<sup>-</sup> 1599 <sup>1</sup>). This is at least partly attributed to offshore flow and entrainment of dry continental air. Specific 1600 humidity was also examined at 850 hPa, which is closer to the vertical layer more relevant to air 1601 impacting cloud top close to the coastline. Figure  $9f_{81}$  shows that  $g_{850}$  was substantially lower (up to ~-1.2 g kg<sup>-1</sup>) in the clearing cases, especially in the regions where most of the clearings occur. 1602 1603 Drier air above cloud top will decrease cloudiness through entrainment processes. It is interesting 1604 to note that the area of greatest  $g_{850}$  difference (Fig. 9f8f) corresponds to the area of greatest 1605 northeasterly winds in the difference plot of the wind field at 850 hPa (Fig. 8b7b). These pieces of 1606 evidence point to the role of dry continental air in contributing to the formation and sustenance of 1607 clearings via offshore flow.

1608 Another important parameter influencing MBL clouds is nuclei of the cloud droplets, 1609 specifically the cloud condensation nuclei (CCN). CCN in the region originate from a blend of 1610 sources, including natural ones (sea spray, marine and continental biogenic emissions, terrestrial 1611 dust), biomass burning, ship exhaust, and continental anthropogenic sources (Hegg et al., 2010; Coggon et al., 2014; Wang et al., 2014; Maudlin et al., 2015; Mardi et al., 2018). As a 1612 1613 representation of the general level of aerosol pollution in the region, spatial maps are shown for 1614 Aerosol Optical Depth (AOD), which is a columnar measurement of aerosol extinction (Fig. 988). 1615 In general, regions closer to the shore exhibit higher values of AOD on non-clearing days, with especially higher levels north of 40° N. It is unclear as to why this is, since stronger winds on 1616 clearing days along the coast have the potential for more emissions from marine biogenic sources 1617 1618 (via upwelling), sea spray, and offshore continental flow. Although based on speculation, one of 1619 many possible explanations could be that stronger fluxes of sea spray on clearing days have the 1620 potential to expedite the drizzle formation process in polluted clouds via broadening of cloud 1621 droplet size distributions, which leads to wet scavenging of aerosols in the study region (Dadashazar et al., 2017; Jung et al., 2015; MacDonald et al., 2018; Sorooshian et al., 2013b). 1622 1623 South of Cape Blanco and Cape Mendocino on clearing days, there were pockets of high AOD 1624 relative to other coastal locations, which is presumed to be linked to stronger winds and offshore 1625 continental flow; this is analogous to how CTD events exhibit more pollution north of these coastal features when there is southerly flow (Juliano et al., 2019a). That the greatest AOD differences 1626 1627 occur close to the coast warrantwarrants additional research as they such differences may be 1628 suggestive of variations in ocean-land-atmosphere interactions that result from the movement and 1629 strengthening of the Pacific high during clearing events. Future work should examine if such AOD 1630 differences on clearing versus non-clearing days are linked to differences in MBL sources and 1631 sinks (i.e., wet scavenging), or FT processes.

1632 Spatial maps of cloud microphysical variables provide consensus that clearing days 1633 generally have higher  $N_d$  and reduced values of  $r_e$ ,  $\tau$ , and LWP near the California coast where 1634 clearings form and evolve (Fig. 109). Figure \$556 shows the same qualitative results based on 1635 MODIS Aqua data for cloud microphysical parameters. Lower LWP values on clearing days near 1636 the coast are consistent with offshore flow of dry and warm air eroding clouds. The combination 1637 of higher  $N_d$  and lower LWP by the coastline results in smaller  $r_e$  on clearing days. The more 1638 polluted clouds along the coastline during clearing days, especially south of major capes, is 1639 analogous to CTD clouds being more polluted during southerly wind regimes in the study region 1640 (Juliano et al., 2019a4,b). An intriguing aspect of clearing days was that although a significant 1641 section of the study region was cloud-free, the mean cloud albedo (A) over the entire study domain 1642 was actually slightly higher than on non-clearing days (Fig. 10f9f). More specifically, the domain-



1643 averaged A values based on MODIS Terra data (and using Eq. 2) were 0.50 and 0.53 for non-Formatted: Font: Italic 1644 clearing and clearing cases, respectively. The corresponding values using MODIS Aqua data were 1645 0.48 and 0.50, respectively. It is possible that the method used to identify clearing led to the greater 1646 CF and A on clearing days in distant offshore regions. It is difficult to identify the root cause of 1647 greater CF and A on clearing days versus non-clearing days, but Garreaud and Munoz (2005) also 1648 demonstrated that the cloud deck tends to dissipate over CLLJ regions in contrast to an increase in 1649 cloudiness downstream of the jet core. This is also the case in this study as large scale conditions 1650 such as an intensified Pacific high and greater LTS on clearing days are in favor of the preservation 1651 of cloud deck in the regions except for coastal areas impacted by a CLLJ. 1652

#### 1653 3.3 Modeling of Clearing Growth Rates

1654 It has been already shown (Figs. 4-65) that clearings exhibit diurnal variability in 1655 dimensional characteristics, with rapid growth between 09:00 and 12:00 PST- (Fig. S5). It is of interest now to examine what environmental parameters control the growth within this 3 h period 1656 based on the 306 clearing cases between 2009 and 2018. The GBRT modeling method was used 1657 1658 to this end based on the method described in Section 2.4.

1659 The coefficient of determination  $(r^2)$  between predicted and observed clearing growth rates 1660 for the 30 randomly selected testing datasets ranged between 0.52 to 0.77 with an average of 0.65. 1661 A multivariate linear regression model using the LASSO method (Tibshirani, 1996) was also 1662 applied to the obtained dataset to assess the performance of the GBRT model in comparison to the 1663 linear model. The  $r^2$  value of the linear model varied between 0.08 and 0.11 with an average of 0.10, revealing the poor performance as compared to the GBRT model. As noted in at least one 1664 1665 previous study (Klein 1997), linear models can explain less than 20% of the variance in low cloud amount on daily time scales. This is in contrast to monthly time scales for which such models 1666 1667 perform much better and can explain over 50% of the variance (Klein and Hartmann, 1993; Norris 1668 and Leovy, 1994). Part of the success of the GBRT model to modelreproduce clearing growth rates 1669 can be attributed to the complexity of the model, specifically its ability to capture non-linearity 1670 between clearing growth rates and environmental parameters.

1671 As there is independence between model parameters, the The range of PDs for each 1672 individual environmental parameter isand the relative feature importance are used here as a 1673 proxytwo proxies for the sensitivity of clearing growth rates to that specific parameter. Higher PD 1674 ranges translate to a higher sensitivity of  $GR_{Area}$  to that specific parameter, indicating that it is a 1675 1676 major influential factor. The range of partial dependence (PD) of clearing growth rates for all the parameters included in the GBRT model is provided in Fig. 11, moving from left to right in order 1677 of highest to lowest PD ranges. Figure 12likely a major influential factor. In addition, the relative 1678 feature importance indicates how useful each parameter was in building the GBRT model. The 1679 range of PD of clearing growth rates and relative feature importance for all the parameters included 1680 in the GBRT model are provided in Fig. 10, moving from left to right in order of highest to lowest 1681 influence in the model. While it is expected that the results of these two methods of rankings do 1682 not match perfectly (Fig. 10a and 10b), certain characteristics are similar between these two 1683 proxies: (i) using both proxies,  $T_{850}$  and  $\omega_{700}$  appeared as the top and lowest ranking parameters, 1684 respectively; (ii)  $q_{950}$  emerges as one of the most important parameters, being second and third 1685 place according to the range of PD and relative feature importance proxies, respectively; (iii) AOD 1686 and q700 emerged among the four lowest-ranking parameters; and (iv) SST and V850 appear next to 1687 each other in the ranking using both scoring proxies. There are some distinct differences among 1688 the ranking of parameters as shown in Fig. 10. For instance, while MSLPanom appeared as a

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1689 moderately influential parameter in  $GR_{Area}$  according to PD proxy, this parameter turned out to be 1690 the second most important variable using the relative feature importance proxy. In another 1691 example,  $q_{850}$  has the second least important rank according to relative importance feature proxy, 1692 but it is moderately important based on the PD range (Fig. 10a). The observed discrepancies 1693 between the results of two proxies can stem from underlying differences in the methods used to 1694 quantify the relative significance of each parameter. Moreover, the relative feature importance 1695 proxy may be less susceptible to the unwanted influence of highly correlated input predictors on 1696 the ranking outcome (Hastie et al., 2009).

1697 Figure 11 shows the profiles of PD for  $GR_{Area}$  (PD<sub>GRArea</sub>) relative to each individual 1698 parameter tested, where increasing values of *PD<sub>GRArea</sub>* indicate that the corresponding change on 1699 the x-axis for the value of the specific parameter is conducive to faster clearing growth. 1700 Figure 11 displays the PD of clearing GR<sub>Area</sub> for the range of change in environmental parameter 1701 used in the GBRT model. The top ranking parameter with the highest PD range was air 1702 temperature at 850 hPa ( $T_{850}$ ). The difference in PD range from  $T_{850}$  to the next best parameter 1703 (q<sub>950</sub>) was the greatest between any other consecutive pair of parameters. Note that the 5<sup>th</sup>, 25<sup>th</sup>, 1704 50th, 75th, and 95th percentiles of input parameter values are denoted in Figure 11 to caution that 1705 sharp slopes in the bottom and top 5th percentiles are based on few data points and that robust 1706 conclusions should not stem from those outer bounds. The response of PDGRArea to the changes in 1707 <u> $T_{850}$  is shown in Figure 11a.</u>  $T_{850}$  is closely linked to inversion strength variables such as LTS (Klein 1708 and Hartmann, 1993) and estimated inversion strength (EIS) (Wood and Bretherton, 2006). At 1709 constant SST, higher T<sub>850</sub> translates to higher EIS and LTS values. It is well-established that 1710 inversion strength plays a key role in controlling MBL cloud coverage (Klein and Hartmann, 1711 1993). It is expected that higher  $T_{850}$  decreases (increases)  $GR_{Area}$  (cloud amount) by enhancing 1712 stability. Figure 12a11a shows that up to 290 K, the profile of PD exhibits a downward trend as 1713  $T_{850}$  increases. Above 290 K, PD of  $GR_{Area}$  starts to show the opposite trend with increasing  $T_{850}$ . As noted in Brueck et al. 2015, "...increased stability is a necessary but not a controlling factor 1714 1715 for cloudiness, especially not when it is already sufficiently large. A further increase in inversion 1716 strength may thus further limit cloudiness, because it increases the entrainment of relatively drier 1717 and warmer air...". Figure 7b6b showed that  $T_{850}$  was enhanced off the California coast on clearing 1718 days, pointing to the high potential for warm continental air to impact the underlying cloud deck 1719 via entrainment. It is important to note that, when the model was run with the same set of 1720 parameters but replacing  $T_{850}$  with LTS, the PD profile of LTS exhibited a qualitatively similar 1721 trend to what was presented for  $T_{850}$  in Fig. <u>12a11a</u>. 1722 The moisture content at 950 hPa was the second most influential parameter. The PDGRArea

1723 profile of  $q_{950}$  shows increasing values as  $q_{950}$  decreases below 8 g kg<sup>-1</sup>, (Fig. 11b), coincident with 1724 dry air that can dissipate clouds and aid in clearing formation and expansion. FurtherSimilarly, the 1725  $PD_{GRAres}PD$  profile of growth rate generally decreases as  $q_{85q}$  showed a sharp decrease below 1726 values of 2 g kg<sup>-1</sup>, whereas PD<sub>GRArea</sub> leveled off above 2 g kg<sup>-1</sup>, increases (Fig. 11f). In contrast to 1727 the other level heights, the  $PD_{GRArea}$  profile of  $q_{700}$  exhibits an opposite trend but a smaller 1728 influence on  $GR_{Area}$  (Fig. 12j11). This can be partly due to the fact that this layer of the FT is not 1729 as close to the cloud layer, which in turn can permit other factors besides the entrainment process 1730 to stand out. These various humidity parameters clearly show that conditions of dry air close to 1731 the MBL top help clearings form and expand, with the most likely source being continental air. 1732 The positive relationship between humidity at the level of clouds and low-level cloud amount was 1733 reported in earlier studies (Albrecht 1981; Wang et al., 1993; Bretherton et al., 1995; Wang et al., 1734 <del>1993</del>).

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1735 Sea surface temperature and V<sub>850</sub> were the next most influential parameters. As previously 1736 explained, lower SST values are associated with cloudiness (Fig. 11c) and increased LTS (Norris 1737 and Leovy 1994, Klein and Hartman 1993). Figure 12d11d displays the dependence of PD<sub>GRArea</sub> 1738 on V850, which is representative of flow in the FT. As discussed already, clearings coincided with CLLJs and strong northerly flow at 850 hPa, which is consistent with the sharp increase in PD<sub>GRArea</sub> 1739 1740 as northerly wind speeds increased above 10 m s<sup>-1</sup> while otherwise being flat for lower speeds. 1741 Stronger northerly flow is associated with offshore flow of dry and warm air that can reside above the cloud top, which can dissipate the cloud layer after entrainment and via enhanced shearing (via 1742 1743 Kelvin-Helmholtz instability) and mixing of cloudy parcels with warm and dry air in the  $FT_{\tau}$  (e.g., 1744 Rahn et al., 2016). As will be shown later, aircraft data showed that typical wind speeds parallel 1745 to clear-cloudy interfaces were near or greater than 10 m s<sup>-1</sup> (Fig.  $\pm$ 312).

1746 1747 Several parameters followed V850 in ranking with PDGRArea ranges similar to one another: PBLH, qs50, MSLPanom, and Us50. For PBLH, Figure 12eFor PBLH, Figure 11e suggests that above 1748 ~600 m, PDGRArea is relatively insensitive to positive perturbations in PBLH, but below ~600 m, 1749 the shallower the MBL, the lower the value of  $PD_{GRArea}$ . This potentially can be attributed to the fact that a shallower MBL could be more well-mixed and moisture can get transported from the 1750 1751 ocean surface to the cloud layer which promotes cloudiness (Albrecht et al., 1995). Figure 12g11g 1752 shows that for MSLPanom between ~ -560 Pa and ~450 Pa, perturbations do not have much impact 1753 on GRArea. However, above ~450 Pa, GRArea is more susceptible to positive perturbations in MSLP. 1754 This confirms that stronger Pacific high conditions in the study region promote the expansion of 1755 clearing events during the day. Also, GRArea is highly sensitive to MSLP anomalies below ~ 560; 1756 this can be attributed to a decrease in the dominant pressure system in the region, which is not the 1757 optimal condition to sustain the cloud layer. Based on the PD<sub>GRArea</sub> profiles in Fig. 12h11h, clearings expanded faster as U<sub>850</sub> increased above 0 m s<sup>-1</sup> and decreased below -3 m s<sup>-1</sup>. Clearing 1758 1759 growth due to negative zonal winds can be explained by the offshore flow component, however, 1760 the reason for growth during periods of positive zonal winds is unclear.

1761 There was low variability in the range of  $PD_{GR}$  for the rest of the parameters shown in Fig. 1762 1410:  $AOD_{7,0705}$  and  $\omega_{700}$ . Figure 12i11i shows a decrease in  $PD_{GRArea}$  as AOD increases up to the 1763 value of ~0.12, above which  $PD_{GRArea}$  increases as a function of AOD. While it is expected that 1764 stronger northerly winds associated with clearing expansion promote higher sea salt fluxes (i.e., 1765 higher AOD), future work is warranted to investigate as to whether this process subsequently 1766 depletes cloud water and thins out clouds via expedited drizzle production via broadening of cloud 1767 droplet size distributions, as already suggested in Section 3.2.

1768 The relationship between  $\omega$  at 700 hPa and  $PD_{GRArea}$  is complex. Brueck et al. (2015) 1769 suggested that enhanced  $\rho_{700}$  promotes cloudiness due to its link to higher *LTS*. Myers and Norris 1770 (2013) further showed that stronger subsidence can reduce <u>cloud fraction</u>*CF* (at fixed inversion 1771 strength) by pushing down the top of the MBL, which is also supported by Bretherton et al. (2013). 1772 The  $PD_{GRArea}$  profile of  $\omega_{700}$  exhibited a minimum point near a value of 0 - 0.2 Pa s<sup>-1</sup>, with increases 1773 in  $GR_{Area}$  below and above that range. The increase in  $PD_{GRArea}$  with higher  $\omega$  values above 0.2 Pa 1774  $s^{-1}$  can be attributed to the negative influence of subsidence on lower eloud fraction CF (via pushing 1775 down the top of the MBL) as discussed by Myers and Norris (2013). Conversely, the increase in 1776  $GR_{Area}$  with decreasing  $\omega$  values below 0 Pa s<sup>-1</sup> can be due to upward motion reducing the strength 1777 of the inversion capping the MBL, which is important to sustain the cloud deck. Vertical motions

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1778 represented by the *w*<sub>700</sub> parameter could also induce dynamical circulations affecting cloud top
 1779 processes such as shear and entrainment.

It is important to caution that the interpretation of results from the GBRT simulations are 1780 speculative and rooted in documented physical relationships between the various parameters 1781 1782 shown in Figs. 10-11-12 and low cloud behavior. One way to try to validate some of the conclusions above is with airborne data for case studies. For instance, in situ data can help 1783 1784 confirm the nature of factors discussed above during clearing events, including vertically-1785 resolved winds, primary marine aerosol fluxes in different wind regimes, humidity and temperature of air within and above the MBL, and potential for mixing of air above and below 1786 the MBL top. The next section is an attempt to conduct this exercise using three airborne case 1787 studies. 1788

## 1789

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## 1791 3.4 Airborne Case Studies

1792 To gain a more detailed perspective on clearings in the study region, three case flights are 1793 examined from the 2016 FASE airborne campaign. For context, Crosbie et al. (2016) examined 1794 three different case flights during the 2013 NiCE campaign and provided the following insights, 1795 which motivated the FASE flights for further statistics: (i) two of the three clearings (RF19 on 1 August 2013, RF23 on 7 August 2013) were immediately adjacent to the coastline and had reduced 1796 1797 specific humidity in the MBL on the clearing side, suggestive of dry continental offshore wind laterally mixing into and dissipating clouds; (ii) the latter two cases also had enhanced temperature 1798 1799 in the clear column at cloud-relevant altitudes, which help explain the lack of clouds in the clear column; and (iii) the other clearing flight (RF16 on 29 July 2013) had the clearing positioned to 1800 1801 the west of a cloud deck, which was associated with a CTD event along the coastline to the east of 1802 the clearing (i.e., southerly surge). This The latter case exhibited warmer temperatures in the clear 1803 column only in the top 100 m of the MBL with similar specific humidity profiles, but with cooler 1804 and moister air above the inversion base in the clear column. This case was suspected to be linked to entrainment and mixing of dry air into the cloud deck to produce the clearing, but it was not a 1805 case of subsidence/divergence, otherwise the air in the clear column would have been warmer and 1806 1807 drier above the inversion base.

1808 For the three FASE case flights, the clearing was always situated to the west of a cloud 1809 deck touching the coastline (Figs. 2, S1-S2). This positioning is reminiscent of NiCE RF16, which was less sensitive to lateral entrainment of continental air in comparison to the other two NiCE 1810 1811 flights. Wind data were decomposed into  $\mu$  and  $\gamma$  components to represent speeds that are 1812 perpendicular and parallel, respectively, to the clear-cloudy interface. Figure 2d illustrates an 1813 example of how these two components of winds varied during RF09A. There were substantial 1814 changes in y on the two sides of the clear-cloud border, with stronger northerly winds on the clear 1815 side, reaching as high as 20 m s<sup>-1</sup>, in contrast to about half that magnitude on the cloudy side. Wind 1816 speed with the intensity of as high as 20 m s<sup>-1</sup> is close to the values reported in previous studies associated with California CLLJs (Parish 2000; Ranjha et al., 2013; Lima et al., 1817 2018). 1818 Furthermore, wind profiles obtained from soundings (Fig. 12) exhibit the structure similar to CLLJ 1819 on clearing columns with enhanced horizontal wind speed at the altitude near the MBL top. It is 1820 noteworthy that the cloud edge tends to reside in the transition region where the near cloud top flow becomes similar to CLLJ (Figs. 2d and 12). The same substantial change in y across the 1821

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1822 interface was also present in RF08 and RF09B with stronger  $\underline{y}$  winds always on the clear side. 1823 There was no substantial change in the  $\underline{\mu}$  component of wind speed between the two columns in 1824 each of the three flights.

1825 To extend upon the possibility of shearing effects, absolute changes in  $y \frac{|y|}{|y|}$  were 1826 calculated for level legs performed at the clear-cloudy border for the three research flights (Table 1827 2). For consistency, these calculations were based on level legs of a constant length of  $\sim$ 40 km 1828 with relatively equal spacing on both sides of the clear-cloudy border.  $|\psi|/\nu$  was calculated by 1829 multiplying 40 km by the slope of the linear fit of y versus distance from cloud edge, where negative (positive) x values represent distance away from the edge on the clear (cloud) side. The 1830 1831 results reveal that the horizontal wind shear was strongest somewhere between mid-cloud and cloud top altitudes, with the lowest values at the FT level. The lowest values in the MBL were 1832 1833 observed in the surface legs. This can be attributed to turbulent transport of the momentum (Zemba 1834 and Friehe 1987) to the surface and the consequent drop in CLLJ wind speeds in the clear column. 1835 In addition, Fig. S7 shows absolute horizontal shear (|dv/dx|) as a function of distance from the 1836 cloud boundary for the parallel component of horizontal wind speed. Horizontal shear profiles for 1837 all research flights (Fig. S7) are slightly noisy especially at the surface legs, but they show the 1838 presence of the greatest horizontal wind gradient within 5 km length away from clear-cloudy edge. Shear at the clear-cloudy edge, especially at cloud levels, can support clearing growth through 1839 1840 enhancing the mixing of cloudy and clear air. Crosbie et al. (2016) also showed using the case of NiCE RF19 that that mixing of cloudy air with adjacent clear air can be an important contributor 1841 to cloud erosion and thus expansion of clearings. To probe deeper into the clearing cases, the 1842 subsequent discussion compares vertically-resolved data on both sides of the clear-cloudy border 1843 1844 based on soundings and level legs. 1845

## 1846 3.4.1 RF08

RF08 (2 August 2016) represented a case similar to the NiCE RF16 (29 July 2013) case 1847 study in Crosbie et al. (2016) where cooler and moister air above the inversion in the clear column 1848 1849 was speculated to be due to entrainment and mixing eroding the cloud rather than subsidence and 1850 divergence catalyzing cloud dissipation. Of note is that there was rapid infill of cloud the night of the NiCE FR16 flight. FASE RF08 data showed that potential temperature was warmer (~1 K) in 1851 1852 the MBL of the clear column as compared to the cloudy column, while in the FT, the air was 1853 slightly warmer on the cloudy side (Fig. <u>1312</u>). *SST* was also approximately 0.4 K higher in the 1854 clear column (Table 3). Specific humidity was almost identical in the MBL on both sides, but air 1855 was moister above the inversion base on the clear side. As noted above, vertical profiles of  $\mu$ 1856 revealed little difference between the two columns, but y values were nearly twice as high in the clear column extending from the surface to approximately 200 m above cloud top. Surface wind 1857 1858 speeds were also enhanced on the clear side, which resulted in greater friction velocity ( $\mu^* = 0.40$ 1859 m s<sup>-1</sup> vs 0.15 m s<sup>-1</sup> on the cloudy side).

1860 An important feature was the wind maximum in and above the inversion layer on the clear 1861 side, which resulted in larger vertical shear across the inversion on the clear side  $(5.44 \text{ m s}^{-1})$ 1862 compared with the cloudy side (0.8 m s<sup>-1</sup>) (see  $\Delta U$ , Table 3). The strong shear on the clear side likely facilitated mixing of MBL air with drier and warmer FT air. This is supported by a lower 1863 1864 temperature gradient  $(\Delta \theta_l / \Delta z)_{max}$  in the inversion layer of the clear column (0.32 K m<sup>-1</sup> vsversus) 1865 0.38 K m<sup>-1</sup>), which was thicker than the cloudy column (82 m vsversus 55 m). A further effect of 1866 the The wind maximum in the clearing was to increase also enhanced moisture advection, 1867 counteractingwhich counteracted the accumulation of moisture caused by mixing induced by

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1868 vertical shear.- This was most significant at the cloud top level as seen in the largest difference in 1869 the edge-parallel wind  $\nu/$  (Table 2). -In the absence of cloud, the effects of longwave radiative 1870 cooling close to the cloud top level would be subdued allowing shear-induced mixing to erode the 1871 sharpness of the inversion. Redistribution of moisture into the inversion also serves to insulate 1872 lower layers from longwave cooling, further delaying the formation of cloud. The difference in 1873  $\left| \nu \right|$  was smallest close to the surface, indicating that the wind maximum in the clearing had a (comparatively) lesserreduced effect in enhancing surface moisture fluxes. Satellite imagery 1874 1875 confirms that later in the day, the cloud layer filled-in partially where the clearing was with the presumed help of nocturnal radiative forcing. 1876

1877 The cloud layer in RF08 was the thinnest (131 m) with the shallowest MBL among all three 1878 cases. In addition, the lowest  $N_d$  (107 cm<sup>-3</sup>), largest  $f_e$  (6.6 µm), and highest cloud base rain rate (0.48 mm day<sup>-1</sup>) was measured in RF08 of all three cases. The enhanced rain can likely explain 1879 why the surface aerosol concentrations from the PCASP were lowest in RF08 (106-108 cm<sup>-3</sup> vs 1880 1881 186-236 cm<sup>-3</sup> for the other two flights) even though surface winds were highest, specifically due to efficient wet scavenging of aerosols. This possibility is at least linked to the speculation reported 1882 earlier in Sections 3.2 and 3.3 that stronger northerly winds linked to the growth of clearings result 1883 1884 in sea salt expediting rain formation in clouds and thus thinning them out. In support of this notion, 1885 cloud water composition results are of relevance as they provide an indication of the relative 1886 influence of giant CCN (GCCN) in the form of sea salt, as previously demonstrated in the region 1887 by Dadashazar et al. (2017). The combined concentration of sodium ( $Na^+$ ) and chloride ( $Cl^-$ ) was 60 µg m<sup>-3</sup>, 33 µg m<sup>-3</sup>, and 64 µg m<sup>-3</sup> for RF08, RF09A, and RF09B, respectively. In contrast, the 1888 average combined sum of Na<sup>+</sup> and Cl<sup>-</sup> for all samples collected in FASE was 14 µg m<sup>-3</sup>. Based on 1889 1890 a two-tailed student's t-test with 95% confidence, the means of RF08 and RF09B were 1891 significantly different than the mean of all FASE samples. The  $Cl:Na^+$  mass ratios in all three FASE clearing flights (RF08 = 1.80, RF09A = 1.78, RF09B = 1.79) were very close or matching 1892 1893 that of pure sea salt (1.81), providing more confidence that sea salt was impacting these clouds via 1894 serving as CCN. The cloud water results are in support of GCCN enhancing drizzle in RF08 and 1895 thus thinning out clouds and removing aerosol underneath the cloud base. It is unclear with this 1896 dataset though as to what role the impact of sea salt in depleting clouds of their water had to do 1897 with the actual clearing, but at least there is support for this process potentially impacting the 1898 cloudy column. Consistent with the NiCE clearing cases, aerosol concentrations were relatively 1899 similar on both sides of the clear-cloudy border for all three FASE cases.

1900 Figure 14S8 shows vertical profiles of aerosol concentrations on both sides of the clearing 1901 border, highlighting differences above cloud top level especially in RF09A and RF09B with higher 1902 values in the cloudy column. Higher aerosol concentrations were also observed in the cloud 1903 column in the sub-cloud layer even though surface wind speeds were always higher in the clear 1904 column for all three flights. Surface winds and thus sea spray production do not exclusively 1905 influence the aerosol concentrations. A likely explanation of higher concentrations in the MBL in 1906 the cloudy column is that there could be entrainment of more polluted free tropospheric aerosol as 1907 has been reported to be a common occurrence during the FASE flights (Mardi et al., 2019). As 1908 also reported during FASE, there can be sub-cloud evaporation of drizzle resulting in droplet 1909 residual particles that contribute to the aerosol concentration budget in the cloudy column 1910 (Dadashazar et al., 2018).

1911 Figure 13 displays turbulence parameters such as variance in the three components of wind
 1912 speed (Fig. 14a13a-c), turbulent kinetic energy (Fig. 13d), and buoyancy flux (Fig. 14d13e).
 1913 Stronger horizontal wind speedsspeed gradients, and consequently stronger shear production, near

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the surface on the clear side resulted in greater variance in the horizontal wind components at all 1914 MBL levels. Both  $\overline{u'}^2$  and  $\overline{v'}^2$  exhibit a general downward trend with increasing altitude, which 1915 is also supportive of shear driven turbulence. On the other hand,  $\overline{w'}^2$ , which is closely associated 1916 with cloud layer properties, exhibits a different trend on the cloudy side as it increases from cloud 1917 base to mid-cloud level. For surface and above cloud base levels,  $w'^2$  is higher in the clear column 1918 likely due to the combined influence of shear and buoyancy terms on the turbulence budget. On 1919 the other hand, in the mid-cloud layer,  $w'^2$  is slightly higher (Fig. <u>14e13c</u>) in the cloudy column as compared to clear <u>onecolumn</u>, which can be attributed to the buoyancy flux (Fig. <u>14d13e</u>). It is 1920 1921 also interesting to note that RF08 is the only flight with a minimum in  $\overline{w'}^2$  being at the level 1922 above cloud base in the cloudy column relative to other MBL levels. This is most likely due to 1923 1924 lower buoyancy production in the cloud layer of RF08 as compared to the other flights.

1925To further investigate the relative role of each buoyancy and shear term in the turbulence1926budget, the  $zi/L_{MO}$  ratio was compared between the two columns (Table 3). This ratio is an order1927of magnitude greater in the cloudy column as compared to clear one due to the latter column having1928stronger shear and reduced buoyancy flux. This confirms that shear is most likely the dominant1929mechanism for turbulence production in the clear column in the absence of the cloud layer.1930

## 1931 3.4.2 RF09A and RF09B

The two flights on 3 August 2016 allowed for an opportunity to contrast clearing properties 1932 at two different times on the same day at roughly the same location (~20 km apart). Owing to their 1933 1934 similarities, they are discussed together here. The clearing module in RF09A was performed between 11:00 and 12:30 PST, while that during RF09B was performed between 15:00 - 17:00 1935 1936 PST. Similar to RF08, MBL air in the clear column of RF09A and RF09B was slightly warmer 1937 than the cloudy column; however, the magnitude of the temperature difference (clear - cloudy) 1938 decreased from RF09A (~1.1K) to RF09B (~0.8K). SST was also greater by 0.4 K in the clear 1939 column of RF09A as compared to the cloud column, while it was slightly cooler by 0.1 K in the 1940 clear column of RF09B.

Specific humidity profiles in RF09A/RF09B exhibit more subtle differences as compared 1941 to RF08. In contrast to RF08, air in RF09A above the inversion base was drier and warmer in the 1942 1943 region immediately above the inversion base and differences above the inversion base are less 1944 clear for RF09B. During both RF09A and RF09B, the clear profile exhibited steadily decreasing 1945 levels of water vapor with altitude, while the cloudy column was more well-mixed. The ycomponent of wind speed again exhibited substantially greater values in the clear column as 1946 compared to the cloudy column for both RF09A and RF09B. Looking at the inversion layer 1947 1948 properties (Table 3), the temperature gradient was lower and shear was greater in the clear column 1949 of RF09A and RF09B. Inversion depth was also greater in the clear column of RF09A, but less so for RF09B. 1950

The sounding data in RF09A qualitatively resemble those from NiCE RF19 on 1 August 2013 where Crosbie et al. (2016) suspected that there was increased local subsidence and divergence in the clear column. Similar to their case, we observed the following in the clear column of RF09A: (i) warmer and drier air above and below the inversion base; (ii) the inversion base height was lower (354 m vsversus 375 m) with reduced temperature gradient in the inversion layer (0.33 K km<sup>-1</sup> vsversus 0.41 K km<sup>-1</sup>); and (iii) potential temperature exhibited warming and drying in the layer equivalent to the top 100 m of cloud. The RF09B case differed in that above the Formatted: Font: Italic

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inversion base, the air in the clear column was not warmer and drier but very slightly cooler and moister, similar to RF08. This potentially is due to the diurnal nature of the clearing system where there is a stronger forcing to dissipate clouds during mid-day with the help of subsidence of dry and warm air from the FT, whereas later in the afternoon that process switches to a scenario where cooler and moister air exists above the inversion base and there is a waiting process for stronger radiative forcing to form a cloud again.

1964 The cloud layer is the thickest in RF09A (191 m) among all three case flights. The cloud 1965 layer became thinner (137 m) later in the day during RF09B as a result of a change in the lifting 1966 condensation level (LCL), where cloud base increased from 217 m to 265 m. Moreover, LWP decreased during the day from 32 g m<sup>-2</sup> to 18 g m<sup>-2</sup>. It is important to note that the adiabaticity 1967 1968 parameter, defined as the ratio of measured LWP to LWP of an adiabatic cloud, exhibited values of 0.75, 0.76, and 0.83 for RF08, RF09A, and RF09B, respectively. These adiabaticity values are 1969 1970 close to the average value of 0.766 for the region reported in Braun et al. (2018). The clouds were quite thin near the interface based on the relatively low values of LWP in contrast to typical 1971 1972 conditions observed in the region based on airborne measurements in the same campaigns (Fig. 3 1973 of Sorooshian et al., 2019). Other cloud properties such as  $N_d$ ,  $r_e$ , and rain rate were quite similar in both RF09A and RF09B. Nd was greater in RF09A and RF09B as compared to RF08, 1974 1975 corresponding to smaller values of re and suppressed drizzle. The dataset cannot provide unambiguous evidence as to whether the higher surface aerosol concentrations in RF09A and 1976 1977

RF09B, as compared to RF08, were due to (or led to) suppressed drizzle. Profiles of  $\overline{u'}^2$  and  $\overline{v'}^2$  exhibited downward trends with increasing altitude for RF09A and RF09B, in general agreement with the findings for RF08. One contrasting aspect was the 1978 1979 comparison of  $v'^2$  between clear and cloudy columns, which mirrored RF08 during RF09A, while 1980 in RF09B, the values of  $\overline{v'}^2$  for the clear side were substantially lower. In addition,  $\overline{w'}^2$  profiles 1981 during RF09A and RF09B are substantially enhanced in the cloudy column as compared to RF08, 1982 with maxima in the cloud layer. There is an accompanying increase in the buoyancy flux for these 1983 1984 profiles suggestive of a more significant contribution of buoyancy to TKE production-(Fig. 13e). Although more subtle,  $\overline{u'}^2$  values also showed an increase in the cloudy <u>columnscolumn</u> of 1985 1986 RF09A and RF09B relative to the clear column, also supportive of the role of buoyancy in these 1987 cases. In addition, TKE profiles (Fig. 13d) were largely influenced by variances in the horizontal 1988 component of wind speed ( $u^{\prime}$  and  $v^{\prime}$ ) which led to overall greater *TKE* values in the clear column except for RF09B. 1989

1990 Drizzle may be an important factor in governing the differences in buoyancy between the 1991 cloudy columns of RF09A/B and RF08, by creating a stabilizing effect. While no obvious 1992 decoupling of the RF08 cloudy MBL is observed, this profile may rely more heavily on shear 1993 production to maintain a well-mixed state. The clearing persisted following RF08, while there 1994 was a rapid infilling of cloud during the night following RF09A/B, similar to the case presented 1995 by Crosbie et al. (2016), which was also non-drizzling. -While the nocturnal radiative environment 1996 has been shown to be conducive to infilling of clearings, we hypothesize that other factors that 1997 promote tighter coupling between the cloud layer and the surface (such as a lack of drizzle) may 1998 also contribute. 1999

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## 2002 4 Conclusions

2012

2003 This study extends upon recent works interested in large stratocumulus clearings that significantly impact albedo and have implications for fog, cloud, and weather forecasting. We 2004 2005 specifically reported on ten years (2009-2018) of satellite and reanalysis data to characterize the temporal behavior, spatial and dimensional characteristics, growth rates, and governing 2006 environmental properties controlling the growth of clearings off the U.S. West Coast. We also 2007 2008 examined three case flights from the 2016 FASE campaign that probed clearings to gain a deeper 2009 insight at finer spatial scales to try to validate speculated links between environmental parameters and clearing growth rates based on machine learning simulations using satellite and reanalysis 2010 2011 data. The major results were as follows:

- 2013 (i)Summertime (wintertime) experiences the highest (lowest) frequency of clearings visible2014from space as suggested by satellite retrievals.
- 2015 (ii) The centroid of clearings is <u>centeredlocated</u> around coastal topographical features along 2016 the California coastline, specifically Cape Blanco and Cape Mendocino.
- 2017(iii)The median length, width, and area of clearings between 09:00 and 18:00 (PST) increased2018from 680 km, 193 km, and ~67,000 km², respectively, to ~1231 km, 443 km, and ~250,0002019km². The most growth occurred between 09:00-12:00.
- 2020(iv)The most influential factors in clearing growth rates of total area between 09:00-12:00 were2021 $T_{850}, g_{950}, SST$ , and  $V_{850-}MSLP_{anom}$  using two different scoring methods. Compared to non-2022clearing days, clearing days were characterized by having an enhanced Pacific high shifted2023more towards northern California, offshore air that is warm and dry, faster coastal surface2024winds, higher lower tropospheric static stability, and stronger subsidence.
- 2025 (v) Clearing days exhibited higher values of  $N_d$  and reduced values of  $r_e$ ,  $\tau$ , and *LWP* near the 2026 California coast where clearings form and evolve. However, the mean cloud albedo over 2027 the entire study domain was actually higher on clearing days.
- 2028(vi)Airborne data revealed that extensive horizontal shear at cloud-relevant altitudes, with<br/>much faster winds with low-level jet structure parallel to the clearing edge on the clear side2030as compared to the cloudy side. This helped to promote mixing and thus dissipation of<br/>clouds. Differences in sounding profiles reveal that warm and dry air in the free troposphere<br/>additionally promoted expansion of clearings.

2033 More research is needed to further characterize clearings and the broader regions they 2034 evolve in. For instance, it remains uncertain as to if there is a physical link between the existence 2035 of clearings and a higher domain-wide cloud albedo on clearing days. More data such as those 2036 provided by GOES platforms can help understand processes occurring at the microscale that scale 2037 up to more climatologically relevant scales. The results of this work showed that there are 2038 important diurnal features that require additional examination with in situ observations. One of the 2039 hypotheses posed in this work requiring more measurements and statistical robustness is the link 2040 between sea salt aerosol and the formation and evolution of clearing events. Clearing days are 2041 characterized by having stronger northerly winds, which translate into higher sea spray fluxes, 2042 which and subsequently can impact clouds via faster onset of drizzle. This chain of events subsequently can thin out clouds via depletion of cloud water. Targeted experiments to examine 2043 these types of events will help advance understanding about their nature, which can then be 2044 contrasted with clearings along other coastal regions such as the southeastern Atlantic Ocean. Also, 2045 2046 the nature of clearings has direct relevance to CTD events that evolve in similar regions as 2047 discussed by Juliano et al. (2019a,b).

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## 2048 Data availability

2049 Airborne field data used in this work can be found on the Figshare database (Sorooshian et al.,

2050 2017; https://figshare.com/articles/A\_Multi-Year\_Data\_Set\_on\_Aerosol-Cloud-Precipitation 2051 Meteorology\_Interactions\_for\_ Marine\_Stratocumulus\_Clouds/5099983). Also, the satellite and
 2052 reanalysisother data used can be obtained from in this study are available at websites listedprovided

2053 in <u>Sections 2.1-2.Section</u> 2. 2054

## 2055 Author contributions

EC, XZ, and AS designed the study. HJ, AS, EC, and HD conducted <u>the</u> research flights <u>induring</u>
 the FASE field campaign. MSM and HD developed the image analysis tool to analyze GOES
 images. MP, HD, and MAM <u>conductedran</u> the GBRT model. HD analyzed the collected data. AB,
 MB, and XZ provided <u>inputs for various part of projectinput on the results and draft</u>. AS and HD
 wrote the paper EC MAM, AB, MB, and XZ revised the manuscript

2060 wrote the paper. EC, MAM, AB, MB, and XZ revised the manuscript.

## 2061

- 2062 **Competing interests**
- 2063 The authors declare that they have no conflict of interest. 2064

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Input coordinate for data download	Parameter	Source	Product identifier	Spatial resolution	Vertical level	Temporal resolution	Reference
20°-60° N, 110°-160° W	Visible band imagery	GOES-11/15 imager	NA	1 km × 1 km at nadir	NA	30 min	Menzel and Purdom, 1994
20°-60° N, 110°-160° W	Mean sea level pressure	MERRA-2 model	M2I3NPASM	$0.5^\circ \times 0.625^\circ$	NA	3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Air temperature	MERRA-2 model	M2T1NXFLX /M2I3NPASM	$0.5^\circ \times 0.625^\circ$	<u>Sea surface</u> , 950, 850, 700 hPa	<u>1 h</u> //3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Geopotential height	MERRA-2 model	M2I3NPASM	$0.5^\circ \times 0.625^\circ$	850, 500 hPa	3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Wind speed	MERRA-2 model	M2T1NXFLX	$0.5^\circ \times 0.625^\circ$	Surface, 950, 850, 700 hPa	<u>1 h</u> /3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Vertical pressure velocity	MERRA-2 model	M2I3NPASM	$0.5^\circ \times 0.625^\circ$	700 hPa	3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Planetary boundary layer height	MERRA-2 model	M2T1NXFLX	$0.5^\circ \times 0.625^\circ$	NA	1 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Sea surface temperature	MERRA-2 model	M2T1NXOCN	$0.5^\circ \times 0.625^\circ$	NA	1 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Specific humidity	MERRA-2 model	M2I1NXASM/M2I3NPASM	$0.5^\circ \times 0.625^\circ$	<u>10 m</u> , 950, 850, 700 hPa	<u>1 h</u> /3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Aerosol optical depth AOD	MERRA-2 model	M2I3NXGAS	$0.5^\circ \times 0.625^\circ$	NA	3 h	Bosilovich et al., 2016
30°-50° N, 115°-135° W	Cloud optical thickness liquid	MODIS-Terra/Aqua	MOD08_D3/MYD08_D3	$1^\circ \times 1^\circ$	NA	Daily	Hubanks et al., 2019
30°-50° N, 115°-135° W	Cloud fraction day	MODIS-Terra/Aqua	MOD08_D3/MYD08_D3	$1^\circ \times 1^\circ$	NA	Daily	Hubanks et al., 2019
30°-50° N, 115°-135° W	Cloud water path liquid	MODIS-Terra/Aqua	MOD08_D3/MYD08_D3	$1^{\circ} \times 1^{\circ}$	NA	Daily	Hubanks et al., 2019
30°-50° N, 115°-135° W	Cloud effective radius liquid	MODIS-Terra/Aqua	MOD08_D3/MYD08_D3	$1^\circ \times 1^\circ$	NA	Daily	Hubanks et al., 2019

2533 2534	<b>Table 1.</b> Summary of reanalysis and satellite data products used in this study. For the rows with multiple products, underlined en correspond to one in anothereach other between different columns.	tries
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Table 2. Absolute changes in the parallel component of horizontal wind speed relative to the cloud 

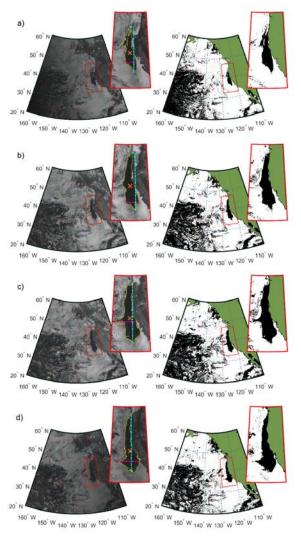
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edge,  $|\Delta v|$  in units of m s<sup>-1</sup>, across various legs using FASE aircraft data. Values were calculated based on a 40 km leg distance (approximate length of each leg). Values for the cloud top leg were estimated using the sawtooth leg performed across the cloud top boundary. The free troposphere level leg was not conducted in RF08 and thus left blank. 

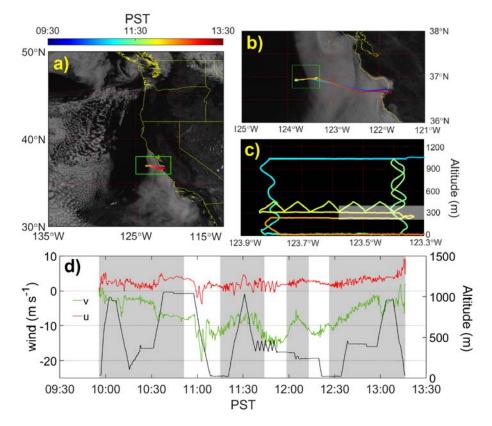
	RF08	RF09A	RF09B	
Free troposphere		0.4	1.6	
Cloud top	9.6	6.4	4.8	
Mid-cloud	7.2	6.8	6.0	
Above cloud base	6.8	5.2	5.2	
Surface	3.6	2.4	0.0	

	Cloudy	v		Clear			
	RF08	RF09A	RF09B	RF08		RF09B	
SST (K)	286.6	287.1	287.3	287.0		287.2	 Formatted: Font: Italic
Surface wind (m s <sup>-1</sup> )	11.3	11.1	11.6	13.2	12.3	11.5	
$\mu^*$ (m s <sup>-1</sup> )	0.15	0.19	0.11	0.40	0.32	0.25	Formatted: Font: Italic
$w^*$ (m s <sup>-1</sup> )	0.44	0.64	0.68	0.44	0.53	0.38	 Formatted: Font: Italic
-Zi/L <sub>MO</sub>	9.8	15.7	49.1	0.8	2.2	1.4	Formatted: Font: Italic
Inversion-base height (m)	367	375	391	359	354	386	
Inversion-top height (m)	422	441	457	443	440	455	
Inversion depth (m)	55	66	66	82	86	69	
$\Delta \theta_l(\mathbf{K})$	7.4	8.6	7.0	7.3	7.6	5.4	 Formatted: Font: Italic
$(\Delta \theta_l / \Delta z)_{Max} (\mathrm{K m}^{-1})$	0.38	0.41	0.25	0.32	0.33	0.23	 Formatted: Font: Italic
$\Delta q_T(\mathrm{g \ kg^{-1}})$	-3	-3.2	-2.6	-2.9	-3.3	-2.6	
$\Delta U (\mathrm{m\ s^{-1}})$	0.80	1.35	1.35	5.44	2.50	5.32	Formatted: Font: Italic Formatted: Font: Italic
Cloud base (m)	242	217	265				Formatted: Fort. Italic
Cloud top (m)	372	408	401				
Cloud depth (m)	131	191	137				
Cloud LWP (g m <sup>-2</sup> )	15	32	18				Formatted: Font: Italic
$R_{cb} (\text{mm day}^{-1})$	0.48	0.09	0.07				Formatted: Font: Italic
$r_e$ (µm)	6.6	6.0	5.9				 Formatted: Font: Italic

Table 3. Summary of thermodynamic, dynamic, and cloud properties on both sides of the clear-cloudy interface for three FASE case research flights (RFs). U represents total horizontal wind speed ( $U = \sqrt{u^2 + v^2}$ ) across the depth of the inversion layer. 

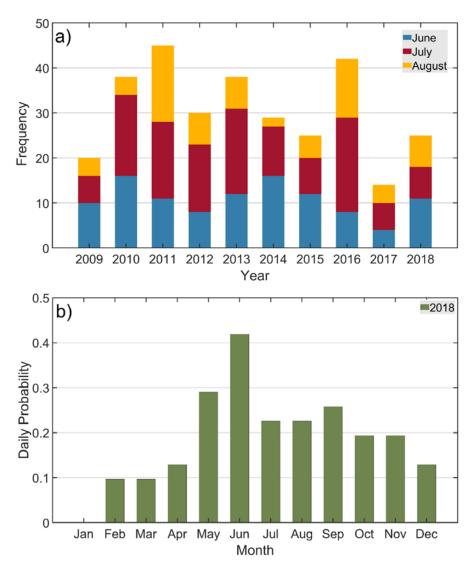


**Figure 1.** Sequence of data processing with GOES imagery at four times during a day: (i) 16:15 UTC 09 August 2011; (ii) 19:15 UTC 09 August 2011; (iii) 20:45 UTC 09 August 2011; and (iv) 01:15 UTC 10 August 2011. Left panels show visible-band images of a clearing event obtained from GOES-11 data, while the right panel is produced using cloud masking. Note that the clearing border, centroid, and lengths (x and y) are overlaid on the GOES images. Local time (PST) requires subtraction of seven hours from UTC time.

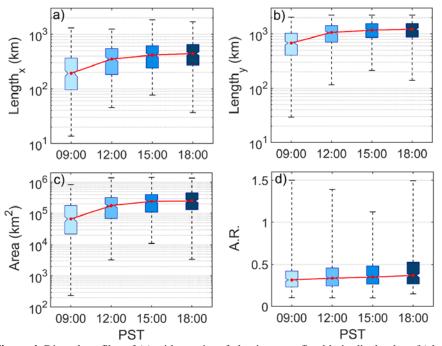


**Figure 2.** a) GOES 15 visible band image (11:45 (18:45) PST (UTC) on 03 Aug 2016) with the overlaid flight path of FASE RF09A. b) Zoomed-in view of the satellite image to highlight the clear-cloudy border. c) Aircraft flight strategy at the cloudy-clear interface for the green box highlighted in b). Cloud borders are denoted by a shaded box. d) Time series of flight altitude and horizontal wind speed, which is decomposed into two components that are perpendicular ( $\mu$ ) and parallel ( $\gamma$ ) to the cloud edge. Wind speeds were smoothed using low-pass filtering. Parts of the flight that sampled air on the cloudy side of the clear-cloudy border are shaded in grey.

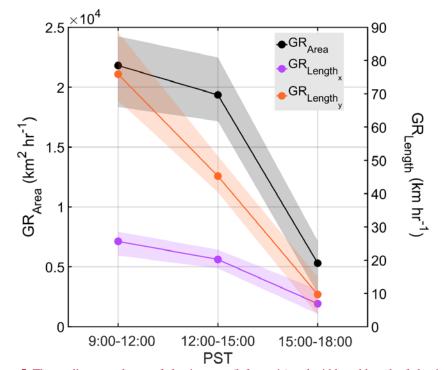
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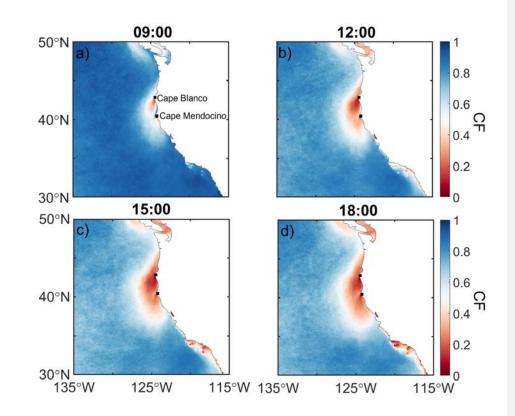
**Figure 3.** a) Frequency of clearing events in the study region for each summer month between 2009 and 2018. b) Daily probability of clearing events (i.e., days with clearings divided by total days in that month) in each month of a representative year, 2018.

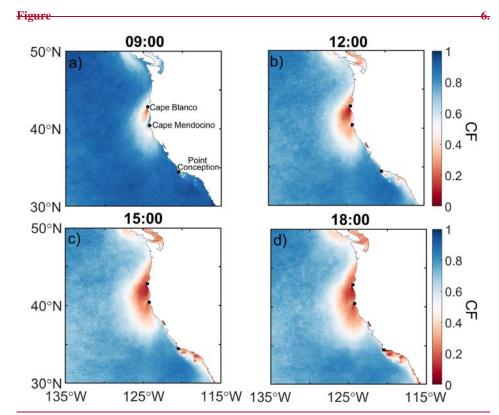


**Figure 4.** Diurnal profiles of (a) widest point of clearings at a fixed latitudinal value, (b) longest dimension between the maximum and minimum latitudinal coordinates of a clearing regardless of longitudinal value, (c) total clearing area, and (d) aspect ratio of clearing (i.e., width divided by length using the maximum values as described by panels a-b). The box and whisker plots show the median values (red points), the 25<sup>th</sup> and 75<sup>th</sup> percentile values (bottom and top of boxes, respectively), and minimum and maximum values (bottom and top whiskers, respectively).



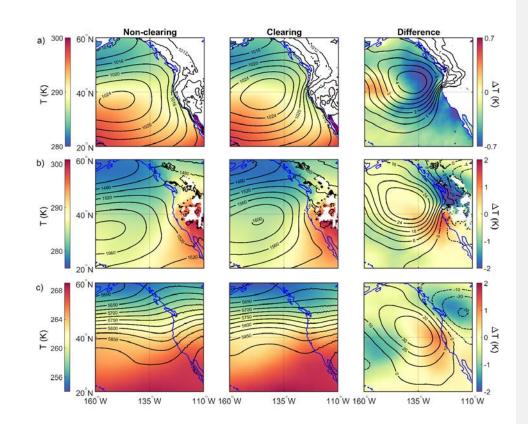
**Figure 5.** The median growth rate of clearing area (left y axis) and width and length of clearings (right y axis) over three hour increments. Shading of curves represents 95% confidence intervals calculated using bootstrapping (n =10,000).





**Figure 5.** Diurnal profiles (PST times shown; add 7 h for UTC) of cloud fraction (*CF*) in the study region based on GOES imagery data from 306 clearing cases between 2009 and 2018 during JJA months.

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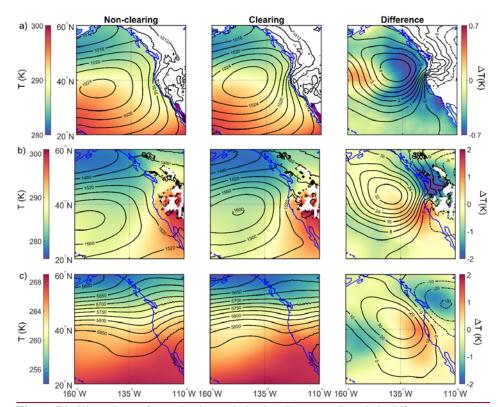
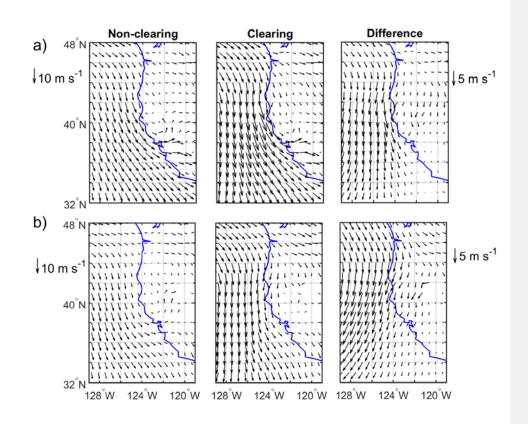
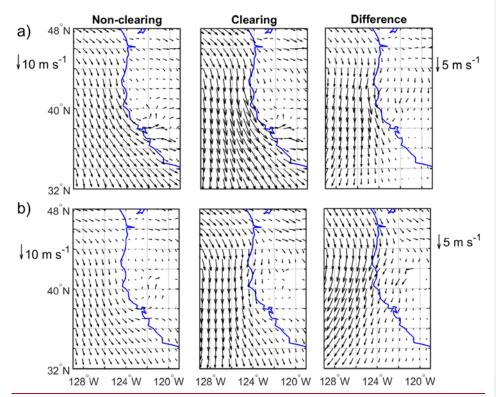
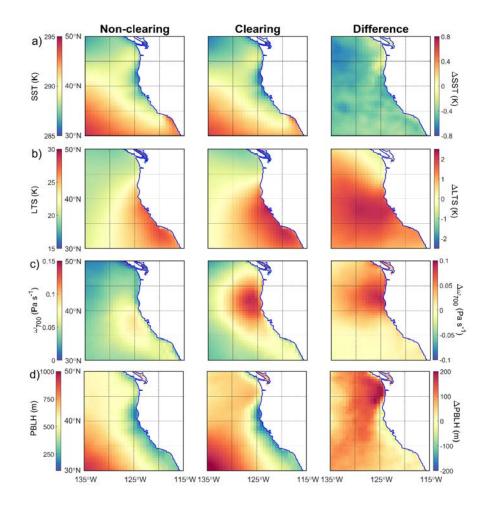


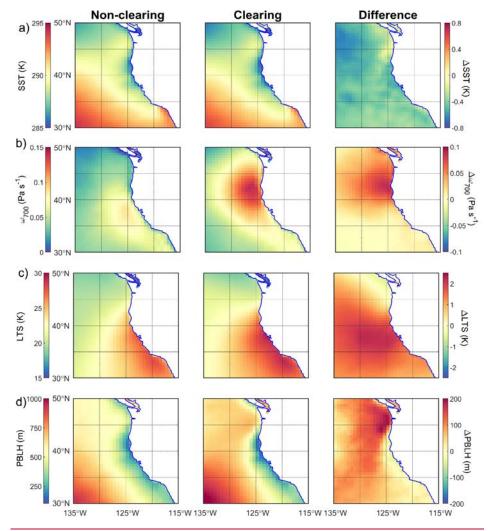
Figure 76. Climatology of non-clearing and clearing days as well as their differences (clearing minus non-clearing) during the summers (JJA) between 2009 and 2018 for a) mean sea level pressure (contours in hPa) and air temperature (color map) at sea surface, b) 850 hPa geopotential heights (contours in m) and air temperature (color map), and c) 500 hPa geopotential heights (contours in m) and air temperature (color map). The data were obtained from MERRA-2 reanalysis. Differences (clearing minus non-clearing) are shown in the farthest right column with separate color scales. White areas indicate no data were available.





**Figure 7.** Figure 8. Same as Fig. 76 but for wind speed at the a) surface and b) 850 hPa. Reference wind vectors are shown on the far left for the left two columns, with separately definedefined vectors on the far right for the difference (clearing minus non-clearing) plots on in the far farthest right column.





**Figure 8. Figure 9.** Spatial map of environmental parameters controlling properties of stratocumulus clouds for non-clearing and clearing events: a) sea surface temperature (*SST*), b) lower-tropospheric stability (*LTS*), c) vertical pressure velocity at 700 hPa ( $\omega_{700}$ ), c) lower-tropospheric stability (*LTS*), d) planetary boundary layer height (*PBLH*), e) specific humidity at 10 m ( $q_{10m}$ ), f) specific humidity at 850 hPa ( $q_{850}$ ), and g) aerosol optical depth (*AOD*). Differences (clearing minus non-clearing) are shown in the farthest right column with separate color scales.

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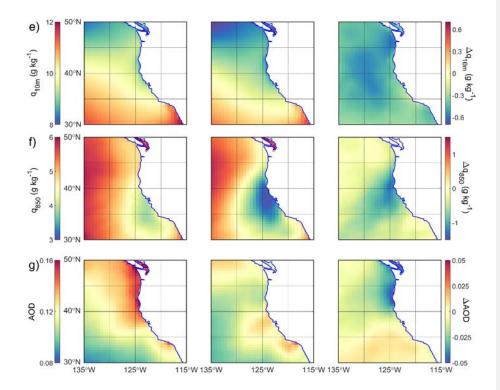
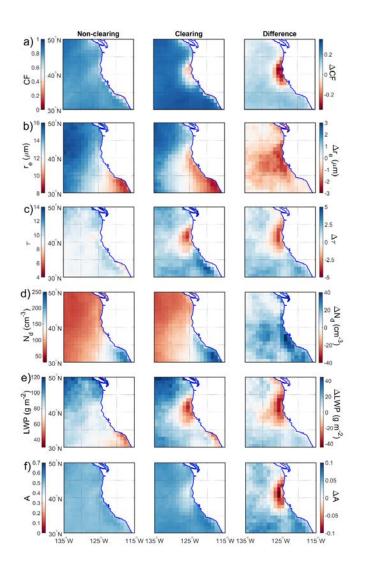
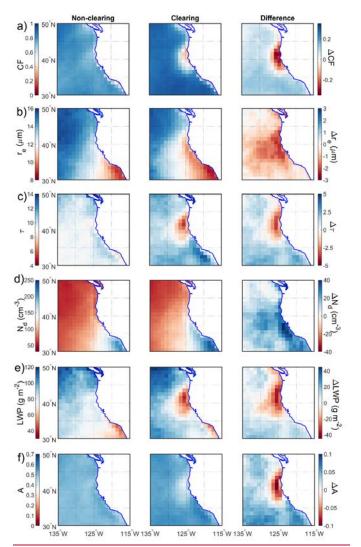


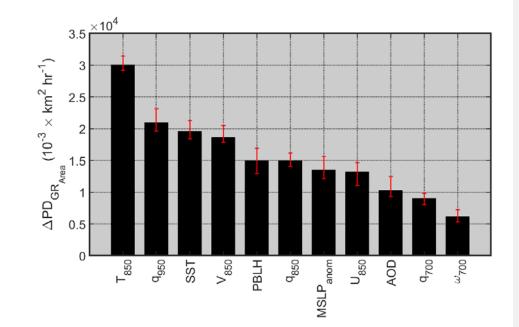
Figure <mark>98</mark> (continued).

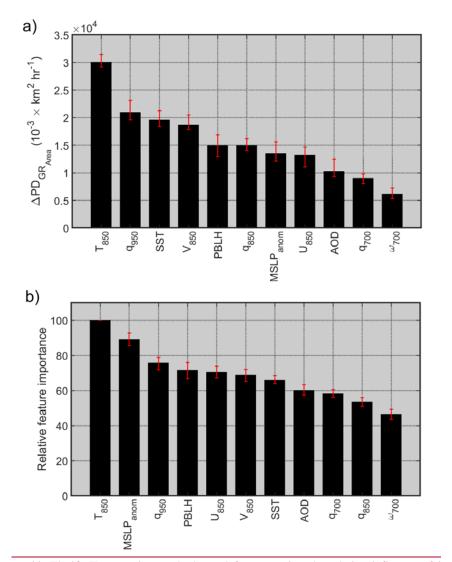




**Figure 109.** Average cloud parameters for non-clearing and clearing days obtained from MODIS Terra Level 3 (Collection 6.1) data: a) cloud fraction day (*CF*), b) cloud top droplet effective radius ( $r_e$ ), c) cloud optical thickness ( $\tau$ ), d) cloud droplet number concentration ( $N_d$ ), e) cloud liquid water path (*LWP*), and f) cloud albedo (A). Differences (clearing minus non-clearing) are shown in the farthest right column with separate color scales. Values from any instances of clear pixels were omitted from the analysis to produce panels b-f. Fig. <u>\$5\$6</u> is an analogous figure based on MODIS Aqua data.

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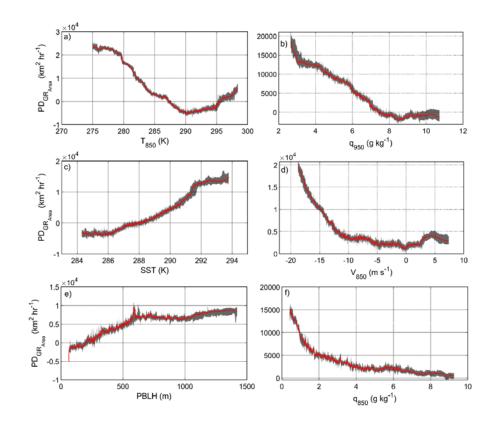


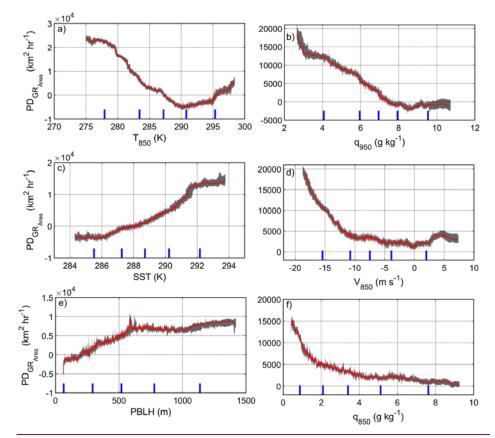


**Figure 11.** The **10.** Two scoring methods used for measuring the relative influence of input variables in the GBRT model: a) the median difference of maximum and minimum partial dependence (*PD*) of clearing growth rate ( $GR_{Area}$ ), and b) the median of relative feature importance calculated based on the method developed by Friedman (2001). Error bars represent the range of variability in 30 model runs. Note that GBRT simulations were performed using clearing growth rates obtained from the analysis of first and second GOES images (~09:00 – 12:00 PST) for all 306 clearing events examined.

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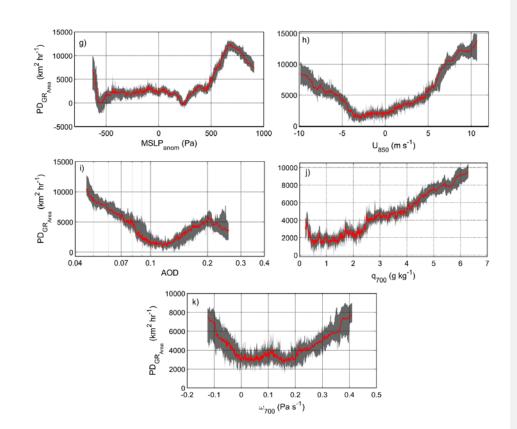
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**Figure 1211.** The median partial dependence (*PD*) of clearing growth rate (*GR*<sub>Area</sub>) on the following parameters: a) air temperature at 850 hPa ( $T_{850}$ ), b) air specific humidity at 950 hPa ( $q_{950}$ ), c) sea surface temperature (*SST*), d) meridional wind speed at 850 hPa ( $V_{850}$ ), e) planetary boundary layer height (*PBLH*), f) air specific humidity at 850 hPa ( $q_{950}$ ), g) mean sea level pressure anomaly (*MSLP*<sub>anom</sub>), h) zonal wind speed at 850 hPa ( $U_{850}$ ), i) aerosol optical depth (*AOD*), j) air specific humidity at 700 hPa ( $q_{700}$ ), and k) vertical pressure velocity at 700 hPa ( $q_{0700}$ ). Red ShadedGrey shaded areas represent the range of variability of *PD* for 30 model runs. Blue lines represent the values of the (left to right) 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentiles of the input parameter. GBRT simulations were performed using clearing growth rates obtained from the analysis of first and second GOES images (09:00 – 12:00 PST) for all 306 clearing events examined.

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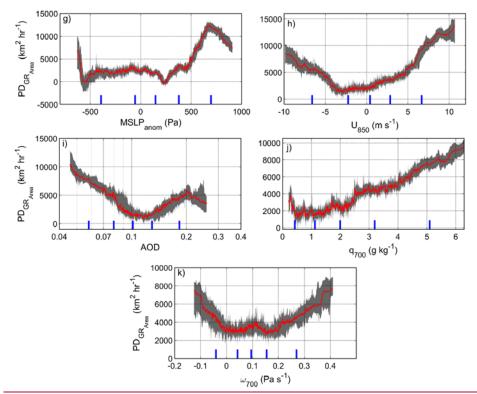
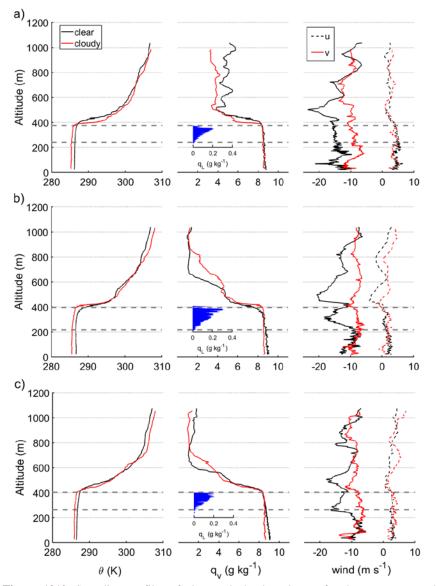


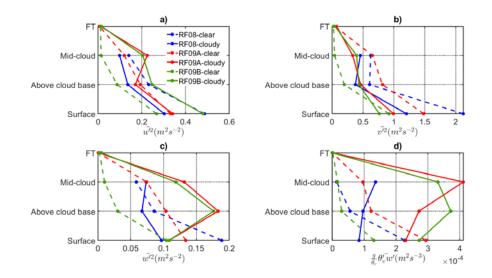
Figure <u>1211</u> (continued).

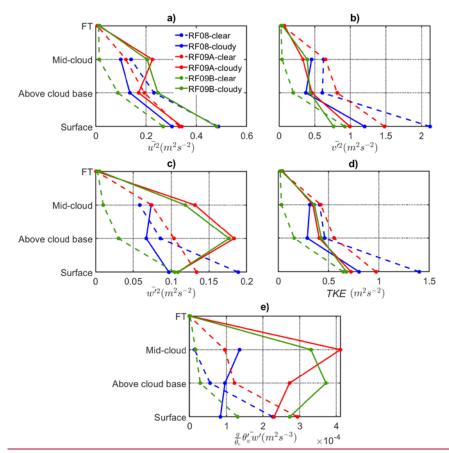


**Figure 1312**. Sounding profiles of clear and cloudy columns for three case research flights examined in the FASE campaign: a) RF08, b) RF09A, c) RF09B. Horizontal wind speeds are decomposed into two components, ( $\mu$ ) perpendicular and ( $\gamma$ ) parallel, relative to the cloud edge. Cloud base and top borders are marked with dashed lines.

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**Figure 1413.** Selected dynamic parameters for the clear (dash lines) and cloudy (solid lines) parts of the legs performed at different altitudes for three FASE case research flights: Panels a-c) exhibit squared average velocity fluctuations of wind speeds components ( $\mu_{\rm A}$  and  $\nu_{\rm A}$  horizontal components,  $\mu_{\rm A}$  vertical component). Horizontal wind speeds are decomposed into two components, ( $\mu_{\rm A}$  perpendicular and ( $\nu_{\rm A}$  parallel, relative to the cloud edge. PanelPanels d) showsand e) display turbulent kinetic energy and buoyancy flux profiles, respectively, for the three flights.

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