

1 **Characterization of the light absorbing properties, chromophores composition**
2 **and sources of brown carbon aerosol in Xi'an, Northwest China**

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25 **Abstract**

26 The impact of brown carbon aerosol (BrC) on the Earth's radiative forcing balance has

27 been widely recognized but remains uncertain, mainly because the relationships among BrC
28 sources, chromophores, and optical properties of aerosol are poorly understood. In this work,
29 the light absorption properties and chromophore composition of BrC were investigated for
30 samples collected in Xi'an, Northwest China from 2015 to 2016. Both absorption Ångström
31 exponent and mass absorption efficiency show distinct seasonal differences, which could be
32 attributed to the differences in sources and chromophore composition of BrC. Three groups of
33 light-absorbing organics were found to be important BrC chromophores, including those show
34 multiple absorption peaks at wavelength > 350 nm (12 polycyclic aromatic hydrocarbons and
35 their derivatives) and those show single absorption peak at wavelength < 350 nm (10
36 nitrophenols and nitrosalicylic acids and 3 methoxyphenols). These measured BrC
37 chromophores show distinct seasonal differences and contribute on average about 1.1% and 3.3%
38 of light absorption of methanol-soluble BrC at 365 nm in summer and winter, respectively,
39 about 7 and 5 times higher than the corresponding carbon mass fractions in total organic carbon.
40 The sources of BrC were resolved by positive matrix factorization (PMF) using these
41 chromophores instead of commonly used non-light absorbing organic markers as model inputs.
42 Our results show that in spring vehicular emissions and secondary formation are major sources
43 of BrC (~70%), in fall coal combustion and vehicular emissions are major sources (~70%), in
44 winter biomass burning and coal combustion become major sources (~80%), while in summer
45 secondary BrC dominates (~60%).

46 **1 Introduction**

47 Brown carbon (BrC) is an important component of atmospheric aerosol particles and has
48 significant effects on radiative forcing and climate (Feng et al., 2013; Laskin et al., 2015; Zhang
49 et al., 2017a). BrC can efficiently absorb solar radiation and reduce the photolysis rates of
50 atmospheric radicals (Jacobsan, 1999; Li et al., 2011; Mok et al., 2016), which ultimately
51 influences the atmospheric photochemistry process, the formation of secondary organic aerosol
52 (SOA), and therefore the regional air quality (Mohr et al., 2013; Laskin et al., 2015; Moise et
53 al., 2015). In addition, some components in BrC, such as nitrated aromatic compounds (NACs)
54 (Teich et al., 2017; Wang et al., 2018) and polycyclic aromatic hydrocarbons (PAHs)
55 (Samburova et al., 2016; Huang et al., 2018), have adverse effects on human health (Bandowe

56 et al., 2014; Shen et al., 2018). The significant effects of BrC on environment, climate, air
57 quality and living things call for more studies to understand its chemical characteristics, sources
58 and the links with optical properties.

59 Investigating the chemical composition of BrC at molecular level is necessary, because
60 even small amounts of compounds can have a significant effect on the light absorption
61 properties of BrC and profound atmospheric implication (Mohr et al., 2013; Zhang et al., 2013;
62 Teich et al., 2017; Huang et al., 2018). A number of studies have investigated the BrC
63 composition at molecular level (Mohr et al., 2013; Zhang et al., 2013; Chow et al., 2015;
64 Samburova et al., 2016; Lin et al., 2016, 2017, 2018; Teich et al., 2017; Huang et al., 2018; Lu
65 et al., 2019). For example, Zhang et al. (2013) measured 8 NACs in Los Angeles and found that
66 they contributed about 4% of water-soluble BrC absorption at 365 nm. Huang et al. (2018)
67 measured 18 PAHs and their derivatives in Xi'an and found that they accounted for on average
68 ~1.7% of the overall absorption of methanol-soluble BrC. A state-of-the-art high performance
69 liquid chromatography-photodiode array-high resolution mass spectrometry (HPLC-PDA-
70 HRMS) was applied to investigate the elemental composition of BrC chromophores in biomass
71 burning aerosol (Lin et al., 2016, 2017, 2018). Despite these efforts, the molecular composition
72 of atmospheric BrC still remains largely unknown due to its complexity in emission sources
73 and formation processes.

74 Field observations and laboratory studies show that BrC has various sources, including
75 primary emissions such as combustion and secondary formation from various atmospheric
76 processes (Laskin et al., 2015). Biomass burning, including forest fires and burning of crop
77 residues, is considered as the main source of BrC (Teich et al., 2017; Lin et al., 2017). Coal
78 burning and vehicle emissions are also important primary sources of BrC (Yan et al., 2017; Xie
79 et al., 2017; Sun et al., 2017; Li et al., 2019; Song et al., 2019). Secondary BrC is produced
80 through multiple-phase reactions occurring in or between gas phase, particle phase, and cloud
81 droplets. For example, nitrication of aromatic compounds (Harrison et al., 2005; Lu et al.,
82 2011), oligomers of acid-catalyzed condensation of hydroxyl aldehyde (De Haan et al., 2009;
83 Shapiro et al., 2009), and reaction of ammonia (NH₃) or amino acids with carbonyls (De Haan
84 et al., 2011; Nguyen et al., 2013; Flores et al., 2014) can all produce BrC. Condensed phase

85 reactions and aqueous-phase reactions have also been found to be important formation
86 pathways for secondary BrC in ambient air (Gilardoni et al., 2016). In addition, atmospheric
87 aging processes can lead to either enhancement or bleaching of the BrC absorption (Lambe et
88 al., 2013; Lee et al., 2014; Zhong and Jang, 2014), further challenging the characterization of
89 BrC.

90 As the starting point of the Silk Road, Xi'an is an important inland city in northwestern
91 China experiencing severe particulate air pollution, especially during heating period with
92 enhanced coal combustion and biomass burning activities (Wang et al., 2016; Ni et al., 2018).
93 In this study, we performed spectroscopic measurement and chemical analysis of PM_{2.5} filter
94 samples in Xi'an to investigate: 1) seasonal variations in the light absorption properties and
95 chromophore composition of BrC, and their relationships; 2) sources of BrC in different seasons
96 based on positive matrix factorization (PMF) model with light-absorbing organic markers as
97 input species.

98 **2 Experimental**

99 **2.1 Aerosol sampling**

100 A total of 112 daily ambient PM_{2.5} filter samples were collected on pre-baked (780 °C, 3
101 h) quartz-fiber filters (20.3 × 25.4 cm, Whatman, QM-A, Clifton, NJ, USA) in November-
102 December 2015, April-May, July, October-November 2016, representing winter, spring,
103 summer and fall, respectively. Filter samples were collected using a Hi-Vol PM_{2.5} air sampler
104 (Tisch, Cleveland, OH) at a flow rate of 1.05 m³ min⁻¹ on the roof (~10 m above ground level,
105 34.22°N, 109.01°E) of the Institute of Earth Environment, Chinese Academy of Sciences,
106 which was surrounded by residential areas without large industrial activities. After collection,
107 the filter samples were wrapped in baked aluminum foils and stored in a freezer (-20 °C) until
108 further analysis.

109 **2.2 Light absorption measurement**

110 One punch of loaded filter (0.526 cm²) was taken from each sample and sonicated for 30
111 minutes in 10 mL of ultrapure water (> 18.2 MΩ · cm) or methanol (HPLC grade, J. T. Baker,
112 Phillipsburg, NJ, USA). The extracts were then filtered with a 0.45 μm PTFE pore syringe filter

113 to remove insoluble materials. The light absorption spectra of water-soluble and methanol-
114 soluble BrC were measured with an UV-Vis spectrophotometer (300-700 nm) equipped with a
115 liquid waveguide capillary cell (LWCC-3100, World Precision Instrument, Sarasota, FL, USA)
116 following the method by Hecobian et al. (2010). The measured absorption data can be converted
117 to the absorption coefficient Abs_{λ} ($M m^{-1}$) by equation (1):

$$118 \quad Abs_{\lambda} = (A_{\lambda} - A_{700}) \frac{V_1}{V_a \times L} \times \ln(10) \quad (1)$$

119 where A_{700} is the absorption at 700 nm, serving as a reference to account for baseline drift, V_1
120 is the volume of water or methanol that the filter was extracted into, V_a is the volume of sampled
121 air, L is the optical path length (0.94 m). A factor of $\ln(10)$ is used to convert the log base-10
122 (recorded by UV-Vis spectrophotometer) to natural logarithm to provide base-e absorption
123 coefficient. The absorption coefficient of water-soluble or methanol-soluble organics at 365 nm
124 (Abs_{365}) is used to represent water-soluble or methanol-soluble BrC absorption, respectively.

125 The mass absorption efficiency (MAE: $m^2 gC^{-1}$) of BrC in the extracts can be calculated
126 as:

$$127 \quad MAE_{\lambda} = \frac{Abs_{\lambda}}{M} \quad (2)$$

128 where M ($\mu gC m^{-3}$) is the concentration of water-soluble organic carbon (WSOC) for water
129 extracts or methanol-soluble organic carbon (MSOC) for methanol extracts. Note that organic
130 carbon (OC) is often used to replace MSOC because direct measurement of MSOC is
131 technically difficult and many studies have shown that most of OC ($\sim 90\%$) can be extracted
132 by methanol (Chen and Bond, 2010; Cheng et al., 2016; Xie et al., 2019).

133 The wavelength-dependent light absorption of chromophores in solution, termed as
134 absorption Ångström exponent (AAE), can be described as:

$$135 \quad Abs_{\lambda} = K \cdot \lambda^{-AAE} \quad (3)$$

136 where K is a constant related to the concentration of chromophores and AAE is calculated by
137 linear regression of $\log Abs_{\lambda}$ versus $\log \lambda$ in the wavelength range of 300-410 nm.

138 **2.3 Chemical analysis**

139 OC was measured with a thermal/optical carbon analyzer (DRI, model 2001) following
140 the IMPROVE-A protocol (Chow et al., 2011). WSOC was measured with a TOC/TN analyzer

141 (TOC-L, Shimadzu, Japan) (Ho et al., 2015).

142 Organic compounds listed in Table S1 were analyzed with a gas chromatograph-mass
143 spectrometer (GC-MS, Agilent Technologies, Santa Clara, CA, USA). Prior to the GC-MS
144 analysis, the silylation derivatization was conducted using a routine method (e.g., Wang et al.,
145 2016; Al-Naiema and stone, 2017). Briefly, a quarter of 47 mm filter sample was ultrasonically
146 extracted with 2 mL of methanol for 15 minutes and repeated three times. The extracts were
147 filtered with a 0.45 μm PTFE syringe filter and then evaporated with a rotary evaporator to \sim 1
148 mL and dried with a gentle stream of nitrogen. Then, 50 μL of N,O-
149 bis(trimethylsilyl)trifluoroacetamide (BSTFA-TMCS; Fluka Analytical 99%) and 10 μL of
150 pyridine were added. The mixture was heated for 3 h at 70 $^{\circ}\text{C}$ for silylation. After reaction, 140
151 μL of n-hexane were added to dilute the derivatives. Finally, 2 μL aliquot of the derivatized
152 extracts were introduced into the GC-MS, which was equipped with a DB-5MS column
153 (Agilent Technologies, Santa Clara, CA, USA), electron impact (EI) ionization source (70 eV),
154 and a GC inlet of 280 $^{\circ}\text{C}$. The GC oven temperature was held at 50 $^{\circ}\text{C}$ for 2 min, ramped to 120
155 $^{\circ}\text{C}$ at a rate of 15 $^{\circ}\text{C min}^{-1}$, and finally reached 300 $^{\circ}\text{C}$ at a rate of 5 $^{\circ}\text{C min}^{-1}$ (held for 16 min).
156 Note that the derivatization for NACs was conducted at 70 $^{\circ}\text{C}$ for 3 h which is slightly different
157 from the protocol used in Al-Naiema and stone (2017), because symmetrical peak shapes and
158 high intensities for NACs can also be obtained under this condition in our study (see Fig. S1).
159 In our study, 4-nitrophenol-2,3,5,6-d4 was used as an internal standard to correct for potential
160 loss for NACs quantification (Chow et al., 2015). For the quantification of other organic
161 compounds, an external standard method was used through daily calibration with working
162 standard solutions. Also, for every 10 samples, a procedural blank and a spiked sample (i.e.,
163 ambient sample spiked with known amounts of standards) were measured to check the
164 interferences and recoveries. The measured recoveries are 80-102% and the uncertainties
165 (RSDs) are $< 10\%$ for measured organic compounds.

166 **2.4 Source apportionment of BrC**

167 Source apportionment of methanol-soluble BrC was performed using positive matrix
168 factorization (PMF) as implemented by the multilinear engine (ME-2; Paatero, 1997) via the
169 Source Finder (SoFi) interface written in Igor Wavemetrics (Canonaco et al., 2013). Abs_{365,MSOC}

170 and those light-absorbing species including fluoranthene (FLU), pyrene (PYR), chrysene
171 (CHR), benzo(a)anthracene (BaA), benzo(a)pyrene (BaP), benzo(b)fluoranthene (BbF),
172 benzo(k)fluoranthene (BkF), indeno[1,2,3-cd]pyrene (IcdP), benzo(ghi)perylene (BghiP), 9,10-
173 anthracenequinone (9,10AQ), benzanthrone (BEN), benzo[b]fluoren-11-one (BbF11O),
174 vanillic acid, vanillin and syringyl acetone were used as model inputs, together with some
175 commonly used markers, i.e., phthalic acid, hopanes ($17\alpha(\text{H}),21\beta(\text{H})$ -30-norhopane,
176 $17\alpha(\text{H}),21\beta(\text{H})$ -hopane, $17\alpha(\text{H}),21\beta(\text{H})$ -(22S)-homohopane, $17\alpha(\text{H}),21\beta(\text{H})$ -(22R)-
177 homohopane, referred to as HP1-HP4, respectively), picene, and levoglucosan. The input data
178 include species concentrations and uncertainties. The method detection limits (MDLs),
179 calculated as three times of the standard deviation of the blank filters, were used to estimate
180 species-specific uncertainties, following Liu et al. (2017). Furthermore, for a clear separation
181 of sources profiles, the contribution of corresponding markers was set to 0 in the sources
182 unrelated to the markers (see Table S2). This source apportionment protocol is very similar to
183 our previous study (Huang et al., 2014).

184 **3 Results and discussion**

185 **3.1 Light absorption properties of water- and methanol-soluble BrC**

186 Fig. 1 shows the temporal profiles of Abs_{365} of water- and methanol-soluble BrC, together
187 with the concentrations of WSOC and OC (representing MSOC). They all show similar
188 seasonal variations with the highest average in winter, followed by fall, spring and summer (see
189 Table S3). WSOC contributed annually $54.4 \pm 16.2\%$ of the OC mass, with the highest
190 contribution in summer ($66.1 \pm 15.5\%$) and the lowest contribution in winter ($45.1 \pm 10.2\%$).
191 The higher WSOC fraction in OC during summer is largely contributed by SOA and to some
192 extent by biomass burning emissions because both SOA and biomass burning OA consist of
193 high fraction of WSOC (Ram et al., 2012; Yan et al., 2015; Daellenbach et al., 2016). The lower
194 WSOC fractions in OC during winter could be attributed to enhanced emissions from coal
195 combustion which produce a large fraction of water-insoluble organics (Daellenbach et al.,
196 2016; Yan et al., 2017). $\text{Abs}_{365,\text{MSOC}}$ is approximately 2 times (range 1.7-2.3) higher than
197 $\text{Abs}_{365,\text{WSOC}}$, which is similar to the results measured in Beijing (Cheng et al., 2016),

198 southeastern Tibetan Plateau (Zhu et al., 2018), Gwangju, Korea (Park et al., 2018) and the
199 Research Triangle Park, USA (Xie et al., 2019), indicating that the optical properties of BrC
200 could be largely underestimated when using water as the extracting solvent as non-polar
201 fraction of BrC is also important to light absorption of BrC (Sengupta et al., 2018). In Fig. S2
202 we summarized those previously reported $Abs_{365,WSOC}$ (as $Abs_{365,MSOC}$ was not commonly
203 measured in many previous studies) values at different sites in Asian urban and remote areas
204 and the US. $Abs_{365,WSOC}$ is significantly higher in most Asian urban regions than in the Asian
205 remote sites and the US, and show clear seasonal variations. The high light absorption of BrC
206 in Asian urban regions, especially during winter, may have important effects on regional climate
207 and radiation forcing (Park et al., 2010; Laskin et al., 2015). As discussed in Feng et al. (2013),
208 the average global climate forcing of BrC was estimated to be 0.04-0.11 $W m^{-2}$ and above 0.25
209 $W m^{-2}$ in urban sites of south and east Asia regions, which is about 25% of the radiative forcing
210 of black carbon (BC, 1.07 $W m^{-2}$). Thus, to further understand the influence of BrC on regional
211 radiation forcing, it is essential to identify and quantify the sources of BrC in Asia.

212 The seasonal averages of AAE of water-soluble BrC were between 5.32 and 6.15 without
213 clear seasonal trend (see Table S3). The seasonal averages of AAE of methanol-soluble BrC
214 were relatively lower than those of water-soluble BrC, ranging from 4.45 to 5.18 which is
215 similar to the results in Los Angeles Basin (Zhang et al., 2013) and Gwangju, Korea (Park et
216 al., 2018). This is because methanol can extract more compounds with high conjugation degree
217 and strong light-absorbing capability (e.g., PAHs) at longer wavelength (> 350 nm) (Samburova
218 et al., 2016). The AAE values of water-soluble BrC (as AAE of methanol-soluble BrC was not
219 commonly measured in many previous studies) in urban, rural and remote regions show a large
220 difference (see Fig. 2a), typically with much lower AAE values in urban regions than those in
221 rural and remote regions, indicating the difference in sources and chemical composition of
222 chromophores. The urban regions are mainly affected by anthropogenic emissions. Therefore,
223 urban BrC may contain a large amount of aromatic chromophores with high conjugation degree,
224 which absorb light at a longer wavelength and have lower AAE values (Lambe et al., 2013;
225 Wang et al., 2018).

226 The average MAE_{365} values of water- and methanol-soluble BrC show large seasonal

227 variations, with highest values in winter (1.85 and 1.50 m² gC⁻¹, respectively), followed by fall
228 (1.18 and 1.52 m² gC⁻¹), spring (1.01 and 0.79 m² gC⁻¹), and summer (0.91 and 1.21 m² gC⁻¹).
229 Such large seasonal differences indicate seasonal difference in BrC sources. For example,
230 contributions from coal burning and biomass burning were much larger in winter than in other
231 seasons due to large residential heating activities (also see Section 3.3 for more details).
232 Compared to previous studies (Fig. 2b), the average values of MAE_{365,WSOC} are obviously higher
233 in urban sites than in rural and remote sites that are less influenced by anthropogenic activities.
234 The higher MAE_{365,WSOC} values in urban regions is likely associated with enhanced
235 anthropogenic emissions from e.g., coal combustion and biomass burning, and the lower
236 MAE_{365,WSOC} values in rural and remote regions could be attributed to biogenic sources or aged
237 secondary BrC (Lei et al., 2018; Xie et al., 2019).

238 **3.2 Chemical characterization of the BrC chromophores**

239 Given the complexity in emission sources and formation processes, the molecular
240 composition of atmospheric BrC remains largely unknown. PAHs, NACs and MOPs have
241 recently been found as major chromophores in biomass burning-derived BrC (Lin et al., 2016,
242 2017, 2018). However, these compounds can also be directly emitted by coal combustion and
243 motor vehicle or formed by secondary reactions (Harrison et al., 2005; Iinuma et al., 2010; Liu
244 et al., 2017; Wang et al., 2018; Lu et al., 2019), making source attribution of atmospheric BrC
245 more challenging. To obtain the exact molecular composition of BrC chromophores and
246 understand the influence of a specific chromophore on BrC optical property, we measured the
247 light absorption characteristics of available chromophore standards including 12 PAHs, 10
248 NACs and 3 MOPs, and quantified their concentrations in PM_{2.5} samples with GC-MS. The
249 light absorption contribution of individual chromophores to that of methanol-soluble BrC in the
250 wavelength range of 300-500 nm was estimated according to its concentration and mass
251 absorption efficiency (see Supplementary). Fig. 3 shows the contribution of carbon content in
252 identified BrC chromophores to the total OC mass. They all show obvious seasonal variations
253 with the highest values in winter and lowest in summer. The seasonal difference can be up to a
254 factor of 5-6. The contribution of PAHs ranged from 0.12% in summer to 0.47% in winter,
255 NACs from 0.02% in summer to 0.13% in winter, and MOPs from 0.01% in summer to 0.06%

256 in winter. It should be noted that NACs are dominated by 4-nitrophenol and 4-nitrocatechol in
257 spring, fall and winter, but by 4-nitrophenol and 5-nitrosalicylic acid in summer. The difference
258 is likely due to enhanced summertime formation of 5-nitrosalicylic acid, which is more oxidized
259 than other nitrated phenols measured in this study (Wang et al., 2018).

260 The seasonally averaged contributions of PAHs, NACs, MOPs and total measured
261 chromophores to light absorption of methanol-soluble BrC between 300 to 500 nm are shown
262 in Fig. 4. They show large seasonal variations and wavelength dependence. Specifically, PAHs
263 made the largest contribution to BrC light absorption in fall, followed by winter, spring and
264 summer, and show two large absorption peaks at about 365 nm and 380 nm, which are mainly
265 associated with the absorption of BaP, BghiP, IcdP, FLU, BkF and BaA (see Fig. S3). Compared
266 to PAHs, NACs show the largest contribution in winter, followed by fall, spring and summer,
267 and exhibit only one absorption peak at about 320 nm in spring and summer and at about 330
268 nm in fall and winter. The red shift in the absorption peak could be attributed to the increase of
269 contributions from 4-nitrocatechol, 4-methyl-5nitrocatechol and 3-methyl-5-nitrocatechol
270 which have absorption peak at about 330-350 nm (see Fig. S3). Different from PAHs and NACs,
271 MOPs contribute the most in winter, followed by spring, fall and summer, and only show one
272 absorption peak at about 310 nm. The difference in light absorption contributions of different
273 chromophores in different seasons reflects the difference in sources, emission strength and
274 atmospheric formation processes.

275 The total contributions of PAHs, NACs and MOPs to the light absorption of methanol-
276 soluble BrC ranged from 0.47% (summer) to 1.56% (winter) at the wavelength of 300-500 nm
277 and ranged from 1.05% (summer) to 3.26% (winter) at the wavelength of 365 nm (see Table 1).
278 The average contribution of PAHs to the BrC light absorption at 365 nm was 0.97% in summer
279 (the lowest) and 2.69% in fall (the highest), the contribution of NACs was 0.09% in summer
280 and 0.82% in winter, and the contribution of MOPs was 0.006% in summer and 0.024% in
281 winter. The low contributions of these measured chromophores to the light absorption of
282 methanol-soluble BrC are consistent with previous studies. For example, Huang et al. (2018)
283 measured 18 PAHs and their derivatives, which on average contributed ~1.7% of the overall
284 absorption of methanol-soluble BrC in Xi'an. Mohr et al. (2013) estimated the contribution of

285 five NACs to particulate BrC light absorption at 370 nm to be ~4% in Detling, UK. Zhang et
286 al. (2013) measured eight NACs, which accounted for ~4% of water-soluble BrC absorption at
287 365 nm in Los Angeles. Teich et al. (2017) determined eight NACs during six campaigns at five
288 locations in summer and winter, and founded that the mean contribution of NACs to water-
289 soluble BrC absorption at 370 nm ranged from 0.10% to 1.25% under acidic conditions and
290 from 0.13% to 3.71% under alkaline conditions. Slightly different from these previous studies,
291 we investigated the contributions of three groups of chromophores with different light-
292 absorbing properties to the light absorption of BrC, and provided further understanding in the
293 relationships between optical properties and chemical composition of BrC in the atmosphere.
294 For example, vanillin, which has negligible contribution to BrC light absorption at 365 nm, can
295 produce secondary BrC through oxidation and thus enhance the light absorption by a factor of
296 5-7 (Li et al., 2014; Smith et al., 2016). The contribution of PAHs to the light absorption of
297 methanol-soluble BrC at 365 nm was 5-13 times that of their mass fraction of carbon in OC, 6-
298 9 times for NACs, and 0.4-0.7 times for MOPs (4-8 times at 310 nm for MOPs). These results
299 further demonstrate that even a small amount of chromophores can have a disproportionately
300 high impact on the light absorption properties of BrC, and that the light absorption of BrC is
301 likely determined by a number of chromophores with strong light absorption ability (Kampf et
302 al., 2012; Teich et al., 2017). Of note, a large fraction of BrC chromophores are still not
303 identified so far, and more studies are therefore necessary to better understand the BrC
304 chemistry. Based on laboratory and ambient studies, imidazoles (Kampf et al., 2012; Teich et
305 al., 2016), quinones (Lee et al., 2014; Pillar et al., 2017), nitrogenous PAHs (Lin et al., 2016;
306 Lin et al., 2018), polyphenols (Lin et al., 2016; Pillar et al., 2017) and oligomers with higher
307 conjugation (Lin et al., 2014; Lavi et al., 2017) could be included in future studies.

308 **3.3 Sources of BrC**

309 Two approaches have been used to quantify the sources of BrC, including multiple linear
310 regression and receptor models such as PMF. For example, Washenfelder et al. (2015) utilized
311 multiple linear regression to determine the contribution of individual OA factors resolved by
312 PMF to OA light absorption in the southeastern America. Moschos et al. (2018) combined the
313 time series of PMF-resolved OA factors with the time series of light absorption of water-soluble

314 OA extract as model inputs to quantify the sources of BrC in Magadino and Zurich, Switzerland.
315 Xie et al. (2019) quantified the sources of BrC in southeastern America using Abs₃₆₅, elemental
316 carbon (EC), OC, WSOC, isoprene sulfate ester, monoterpene sulfate ester, levoglucosan and
317 isoprene SOA tracers as PMF model inputs. However, it should be noted that previous studies
318 mainly rely on the correlation between measured light absorption and organic tracers that do
319 not contain a BrC chromophore, and therefore may lead to bias in BrC source apportionment.
320 To better constrain the sources of BrC (i.e., contribution to Abs_{365,MSOC}), we used BrC
321 chromophores as PMF model inputs. The inputs include vanillic acid, vanillin, and syringyl
322 acetone for BrC from biomass burning, and FLU, PYR, CHR, BaA, BaP, BbF, BkF, IcdP, BghiP,
323 for BrC from incomplete combustion and other light absorbing chromophores 9,10AQ, BEN,
324 and BbF11O. In addition, we included commonly used markers levoglucosan for biomass
325 burning, phthalic acid for secondary BrC, hopanes for vehicle emission and picene for coal
326 burning in the model inputs.

327 Four factors were resolved, including vehicle emission, coal burning, biomass burning and
328 secondary formation. The uncertainties for PMF analysis are < 10% for secondary formation
329 and biomass burning, < 15% for vehicle emission and coal burning. The profile of each factor
330 is shown in Fig. S4. The first factor is characterized by a high contribution of phthalic acid, a
331 tracer of secondary formation of OA. The second factor is dominated by hopanes, mainly from
332 vehicular emissions. The third factor is characterized by high contributions of PI, BaP, BbF,
333 BkF, IcdP, BghiP, mainly from coal combustion emissions, while the fourth factor has high
334 contributions of levoglucosan, vanillic acid, vanillin, syringyl acetone from biomass burning
335 emissions. The seasonal difference in relative contribution of each factor to BrC light absorption
336 is shown in Fig. 5. In spring, vehicular emissions (34%) and secondary formation (37%) were
337 the main contributors to BrC and coal combustion also had a relatively large contribution (29%).
338 In summer, secondary formation constituted the largest fraction (~60%), mainly due to
339 enhanced photochemical formation of secondary BrC. In fall, vehicular emissions (38%), coal
340 combustion (29%) and biomass burning (22%) all had significant contributions to BrC. In
341 winter, coal combustion (44%) and biomass burning (36%) were the main contributors, due to
342 emissions from residential biomass burning (wood and crop residues) and coal combustion for

343 heating. In terms of absolute contributions to absorption of MSOC at 365 nm (see Table S4),
344 secondary formation contributed 1.75, 2.55, 1.70, 6.20 M m^{-1} in spring, summer, fall and winter,
345 respectively. The high contribution in winter can be attributed to abundant precursors (volatile
346 organic compounds) co-emitted with other primary sources (especially coal burning and
347 biomass burning), while the high contribution in summer might be due to strong photochemical
348 activity. For spring and fall, the absolute contributions from secondary formation were very
349 similar, indicating moderate precursor emission and moderate photochemical activity. Also it
350 should be noted that the absolute contributions of vehicle emission to absorption of MSOC at
351 365 nm were still higher in spring and fall than those in summer and winter, yet these differences
352 by a factor of 2-9 are still less pronounced than the differences (spring/fall vs. winter) for other
353 primary emissions (> 40 times for coal burning and > 25 times for biomass burning). In
354 particular, the high vehicle contribution in fall might be affected by high relative humidity in
355 fall (83% in fall vs. 61-69% in other seasons, on average) resulting in high vehicular $\text{PM}_{2.5}$
356 emissions (Chio et al., 2010). Such large seasonal difference in emission sources and
357 atmospheric processes of BrC indicates that more studies are required to better understand the
358 relationship between chemical composition, formation processes, and light absorption
359 properties of BrC.

360 **4 Conclusion**

361 The light absorption properties of water- and methanol-soluble BrC in different seasons
362 were investigated in Xi'an. The light absorption coefficient of methanol-soluble BrC was
363 approximately 2 times higher than that of water-soluble BrC at 365 nm, and had an average
364 MAE_{365} value of $1.27 \pm 0.46 \text{ m}^2 \text{ gC}^{-1}$. The average MAE_{365} value of water-soluble BrC was 1.19
365 $\pm 0.51 \text{ m}^2 \text{ gC}^{-1}$, which is comparable to those in previous studies at urban sites but higher than
366 those in rural and remote areas. The seasonally averaged AAE values of water-soluble BrC
367 ranged from 5.32 to 6.15, which are higher than those of methanol-soluble BrC (between 4.45
368 and 5.18). In combination with previous studies, we found that AAE values of water-soluble
369 BrC were much lower in urban regions than those in rural and remote regions. The difference
370 of optical properties of BrC in different regions could be attributed to the difference in sources
371 and chemical composition of BrC chromophores. The contributions of 12 PAHs, 10 NACs and

372 3 MOPs to the light absorption of methanol-soluble BrC were determined and showed large
373 seasonal variations. Specifically, the total contribution to methanol-soluble BrC light absorption
374 at 365 nm ranged from 1.1% to 3.3%, which is 5-7 times higher than their carbon mass fractions
375 in total OC. This result indicates that the light absorption of BrC is likely determined by an
376 amount of chromophores with strong light absorption ability. Four major sources of methanol-
377 soluble BrC were identified, including secondary formation, vehicle emission, coal combustion
378 and biomass burning. On average, secondary formation and vehicular emission were the main
379 contributors of BrC in spring (~70%). Vehicular emission (38%), coal burning (29%) and
380 biomass burning (22%) all contributed significantly to BrC in fall. Coal combustion and
381 biomass burning were the major contributors in winter (~80%), and secondary formation was
382 the predominant source in summer (~60%). The large variations of BrC sources in different
383 seasons suggest that more studies are needed to understand the seasonal difference in chemical
384 composition, formation processes, and light absorption properties of BrC, as well as their
385 relationships.

386 **5 Abbreviations of organics**

387 **PAHs (Polycyclic Aromatic Hydrocarbons)**

388	BaA	Benzo(a)anthracene
389	BaP	Benzo(a)pyrene
390	BbF	Benzo(b)fluoranthene
391	BbF11O	Benzo[b]fluoren-11-One
392	BEN	Benanthrone
393	BghiP	Benzo(ghi)perylene
394	BkF	Benzo(k)fluoranthene
395	CHR	Chrysene
396	FLU	Fluoranthene
397	IcdP	Indeno[1,2,3-cd]pyrene
398	PYR	Pyrene
399	9,10AQ	9,10-Anthracenequinone

400 **NACs (Nitrated Aromatic Compounds)**

401	2M4NP	2-Methyl-4-Nitrophenol
402	2,6DM4NP	2,6-Dimethyl-4-Nitrophenol
403	3M4NP	3-Methyl-4-Nitrophenol
404	3M5NC	3-Methyl-5-Nitrocatechol
405	3NSA	3-Nitrosalicylic Acid
406	4M5NC	4-Methyl-5-Nitrocatechol
407	4NC	4-Nitrocatechol
408	4NP	4-Nitrophenol
409	4N1N	4-Nitro-1-Naphthol
410	5NSA	5-Nitrosalicylic Acid

411 **MOP (Methoxyphenols)**

412	SyA	Syringyl Acetone
413	VaA	Vanillic Acid
414	VAN	Vanillin

415 **Hopananes**

416	HP1	17 α (H),21 β (H)-30-Norhopane
417	HP2	17 α (H),21 β (H)-Hopane
418	HP3	17 α (H),21 β (H)-(22S)-Homohopane
419	HP4	17 α (H),21 β (H)-(22R)-Homohopane

420 *Data availability.* Raw data used in this study are archived at the Institute of Earth Environment,
421 Chinese Academy of Sciences, and are available on request by contacting the corresponding
422 author.

423 *Supplement.* The Supplement related to this article is available online at

424 *Author contributions.* RJH designed the study. Data analysis was done by WY, LY, and RJH.

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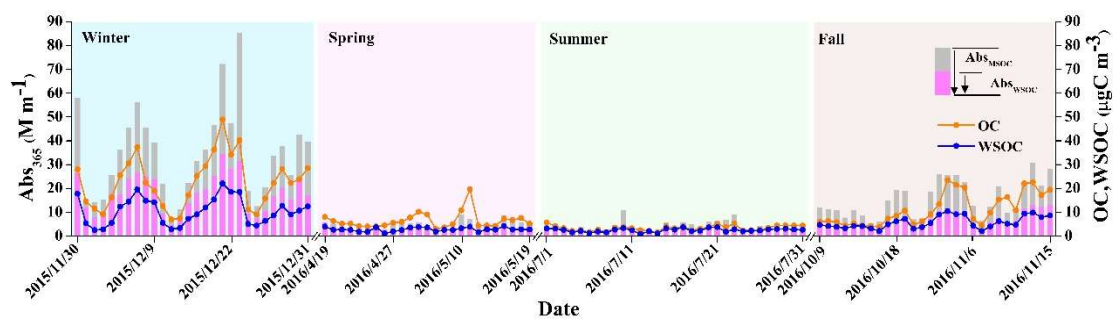
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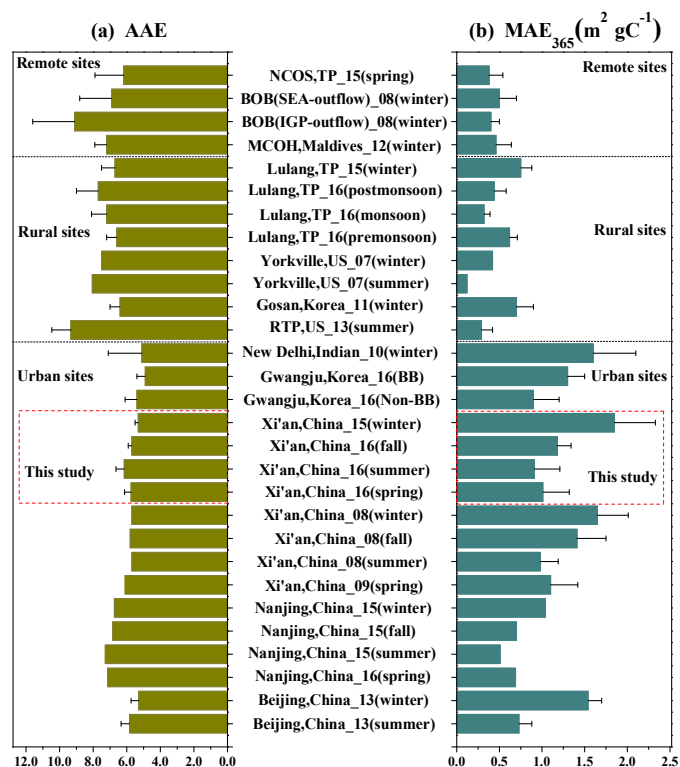
720 **Table 1.** Annual and seasonal mean contributions of measured PAHs, NACs and MOPs to
 721 methanol-soluble BrC light absorption at 365 nm. Hyphens denote the measured value of more
 722 than one third of the samples is below the detection limit.

Compounds	MAE ₃₆₅ (m ² gC ⁻¹)	Contribution to BrC light absorption at 365 nm (%)				
		Annual	Spring	Summer	Fall	Winter
Fluoranthene (FLU)	4.25	0.11	0.05	0.02	0.05	0.15
Pyrene (PYR)	0.46	0.01	0.00	0.00	0.01	0.01
Chrysene (CHR)	0.00	0.00	0.00	0.00	0.00	0.00
Benzo(a)anthracene (BaA)	2.06	0.04	0.01	0.01	0.02	0.05
Benzo(a)pyrene (BaP)	9.31	1.04	0.76	0.39	1.16	1.10
Benzo(b)fluoranthene (BbF)	4.10	0.17	0.14	0.07	0.17	0.18
Benzo(k)fluoranthene (BkF)	3.47	0.04	0.03	0.02	0.04	0.04
Indeno[1,2,3-cd]pyrene (IcdP)	4.68	0.51	0.50	0.24	0.71	0.46
Benzo(ghi)perylene (BghiP)	8.95	0.29	0.28	0.16	0.41	0.26
9,10-Anthracenequinone (9,10AQ)	0.28	0.01	0.00	0.00	0.00	0.01
Benzoanthrone (BEN)	6.13	0.11	0.08	0.05	0.11	0.12
Benzo[b]fluorene-11-one (BbF11O)	1.89	0.02	0.02	0.01	0.02	0.03
4-Nitrophenol (4NP)	2.17	0.08	0.06	0.02	0.05	0.10
4-Nitro-1-naphthol (4N1N)	9.71	-	-	-	-	0.03
2-Methyl-4-nitrophenol (2M4NP)	2.81	0.03	0.01	0.01	0.01	0.04
3-Methyl-4-nitrophenol (3M4NP)	2.65	0.02	0.01	0.00	0.01	0.03
2,6-Dimethyl-4-nitrophenol (2,6DM4NP)	3.27	-	-	-	-	0.01
4-Nitrocatechol (4NC)	7.91	0.27	0.05	0.03	0.20	0.35
3-Methyl-5-nitrocatechol (3M5NC)	5.77	-	-	-	0.05	0.11
4-Methyl-5-nitrocatechol (4M5NC)	7.29	-	-	-	0.06	0.13
3-Nitrosalicylic acid (3NSA)	3.86	-	-	-	-	0.01
5-Nitrosalicylic acid (5NSA)	3.36	0.03	0.01	0.02	0.04	0.02
Syringyl acetone (SyA)	0.25	0.01	0.01	0.00	0.01	0.01
Vanillin (VAN)	8.17	0.01	0.00	0.00	0.00	0.01
Vanillic acid (VaA)	0.66	0.00	0.00	0.00	0.00	0.00
Total	103.46	2.80	2.02	1.05	3.13	3.26

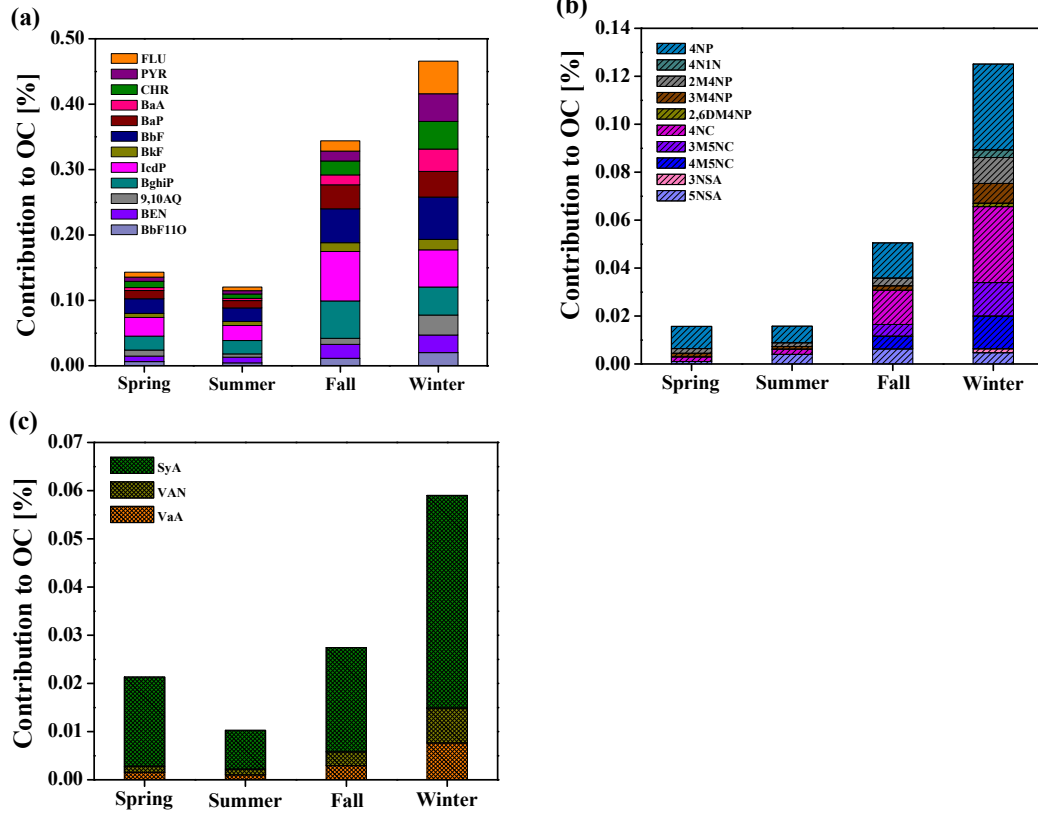


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724 **Figure 1.** Time series of the light absorption coefficient of water-soluble and methanol-soluble
 725 BrC at 365 nm (Abs_{365,WSOC} and Abs_{365,MSOC}, respectively), as well as OC and WSOC
 726 concentrations.



728 **Figure 2.** Comparison of AAE (left column) and MAE₃₆₅ (right column) values of water-soluble
 729 BrC at remote sites (Srinivas and Sarin, 2013; Bosch et al., 2014; Zhang et al., 2017b), rural
 730 sites (Hocobian et al., 2010; Kirillova et al., 2014a; Zhu et al., 2018; Xie et al., 2019) and urban
 731 sites (Kirillova et al., 2014b; Yan et al., 2015; Chen et al., 2018; Huang et al., 2018; Park et al.,
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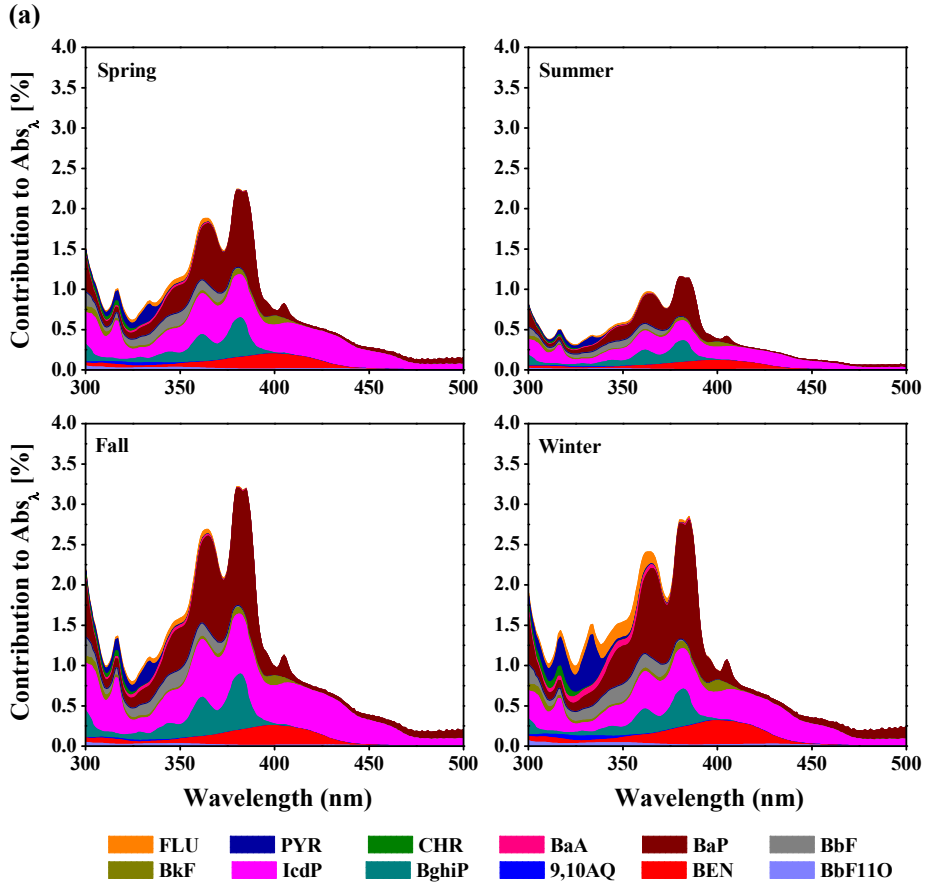


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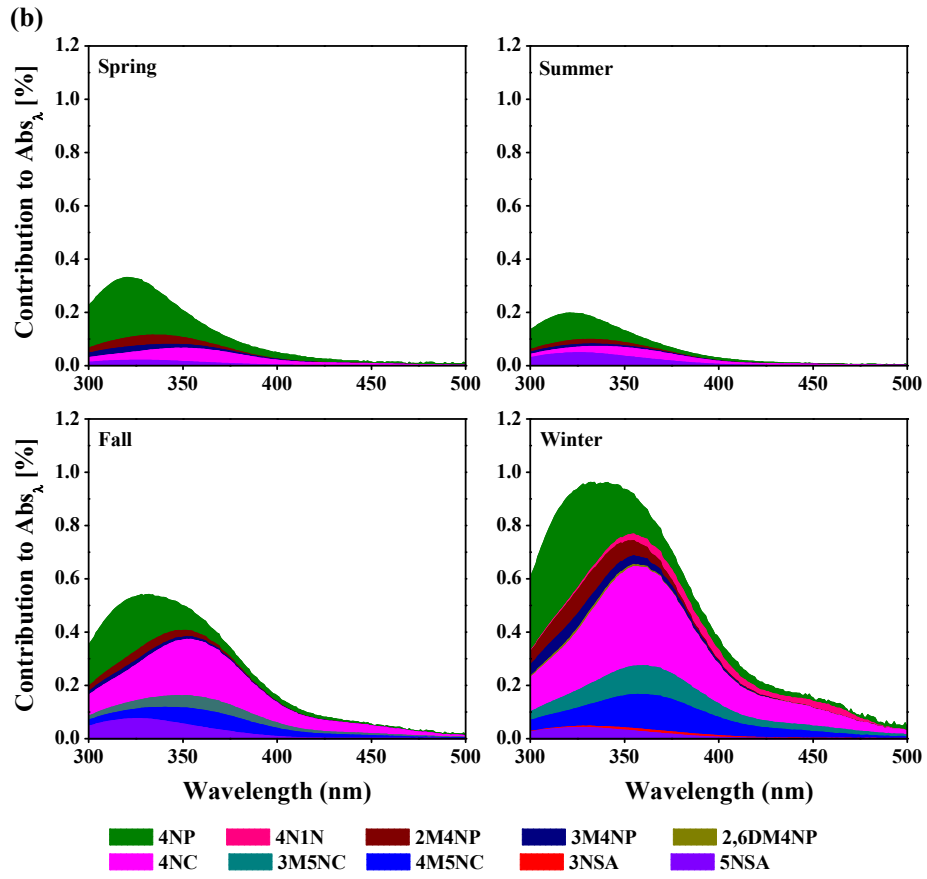
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736 **Figure 3.** Contributions of (a) PAHs, (b) NACs, and (c) MOPs carbon mass concentrations to
737 the total OC concentrations.

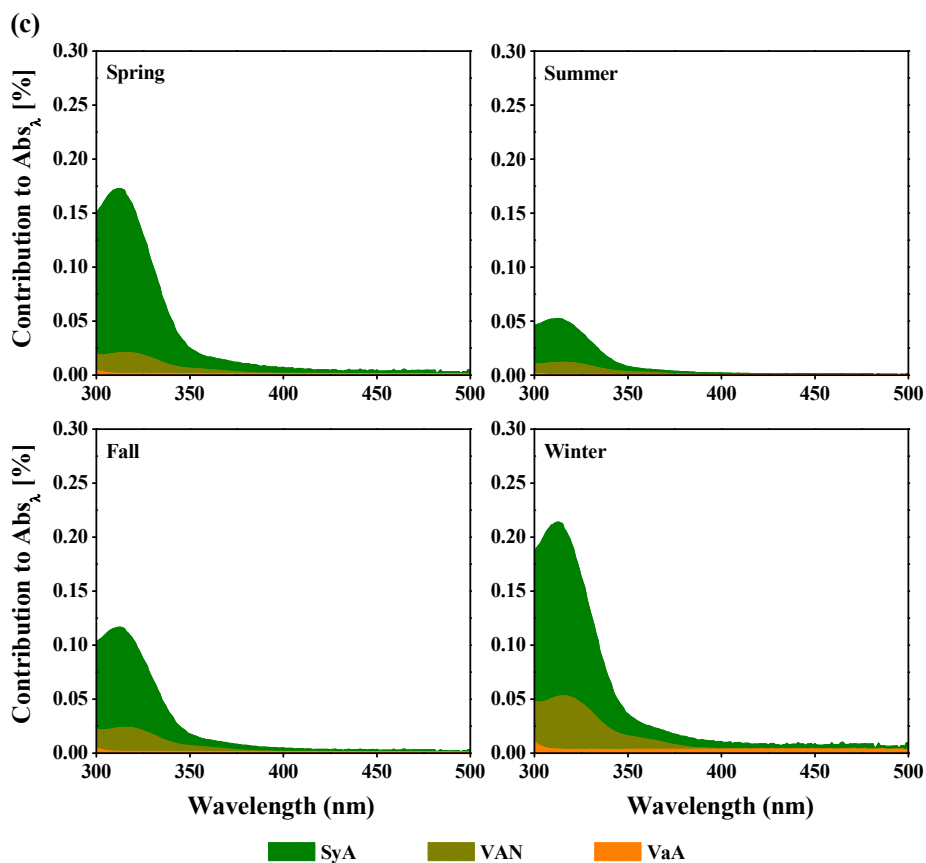
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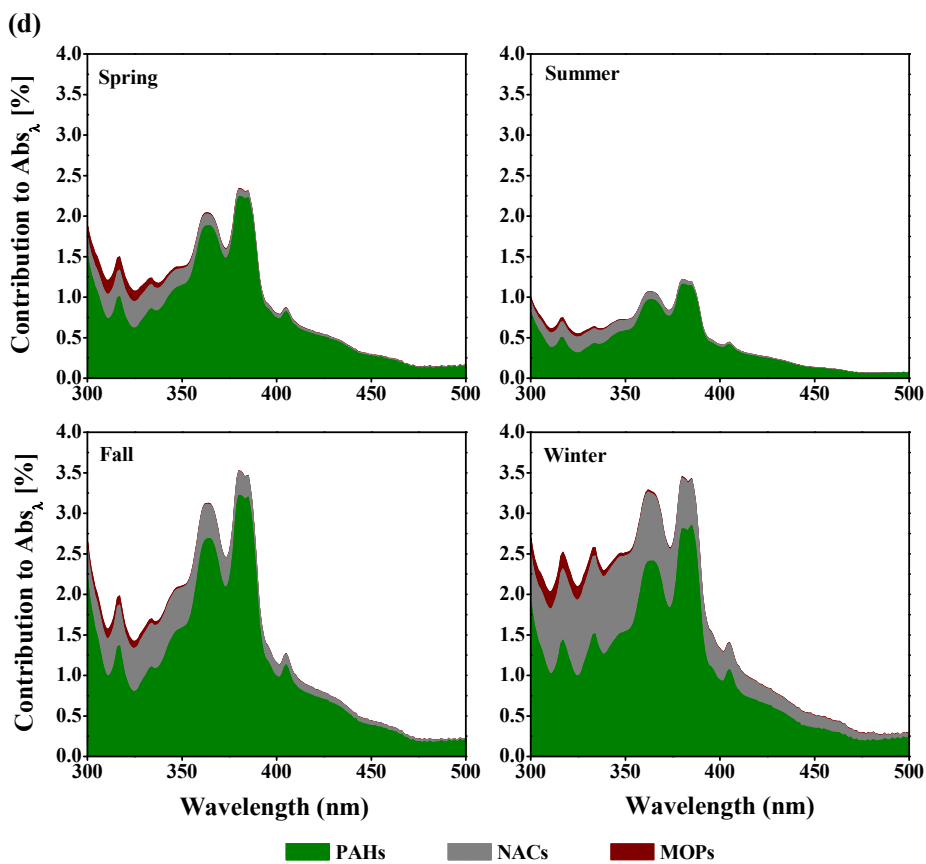
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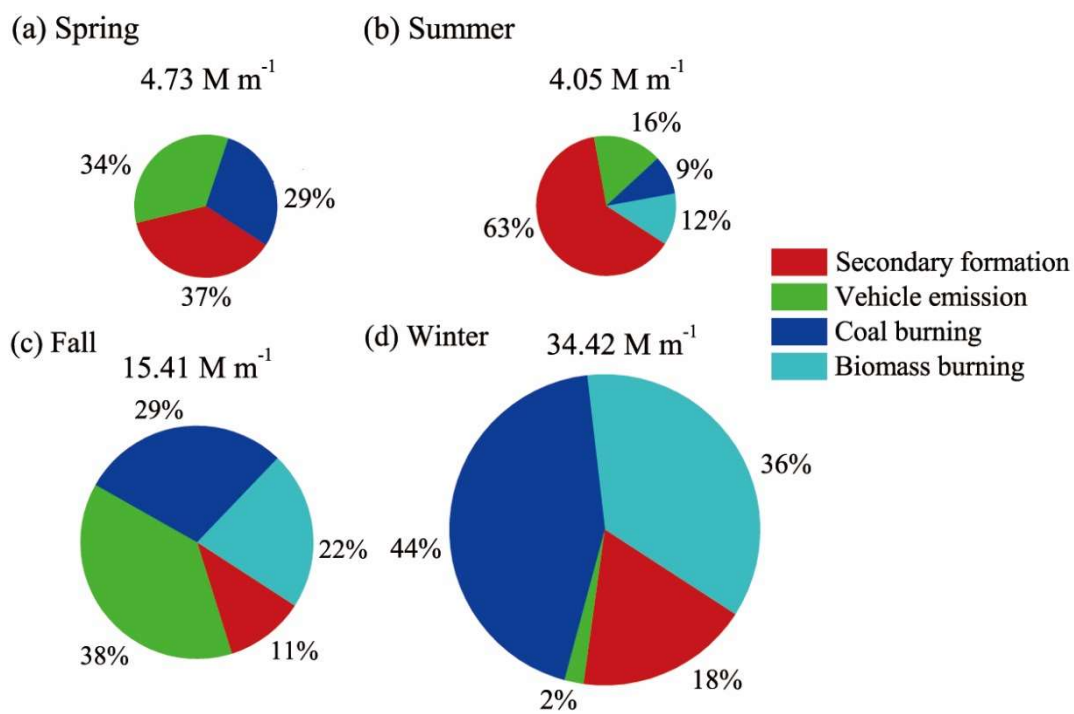
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743 **Figure 4.** Light absorption contributions of (a) PAHs, (b) NACs, (c) MOPs and (d) total

744 measured chromophores to Abs_{MSOC} over the wavelength range of 300 to 500 nm in spring,
745 summer, fall and winter.
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747

748 **Figure 5.** Contributions of the major sources to Abs_{365,MSOC} in Xi'an during spring, summer, fall

749 and winter.