

Rev. 1

Comment:

*The methodical part of the back trajectory analysis is explained in section 3. There is, however, no description how exactly the back trajectories are used and how the maps are calculated. This methodical chapter must be much more detailed (and might also include the most important equations) so that the reader can reconstruct what exactly has been done. This is necessary for understanding the meaning, limitations and the interpretation of the maps as given by the authors later in the paper. Some examples: It remains unclear how the authors treated back trajectories that did not interact with the planetary boundary layer. How exactly were source regions defined?*

Response: The revised text has been greatly expanded in response to the criticism by both reviewers. We refer to specific answers to the corresponding questions by rev. 2.

Comment:

*On page 10 the authors write that the calculated immission maps have little in common with the emission data as shown in Fig. 2. Later in lines 239 and 240 it is said that "dilution through mixing with cleaner air masses and wet scavenging through in-cloud and sub-cloud processes" are two major atmospheric processes during transport. This is certainly true, it remains however unclear how such processes are incorporated in the back trajectory analysis. As the paper is now, I have the impression that the calculated immission maps are largely dominated by such atmospheric processes and the interpretation in terms of potential source regions is difficult. The signal from the potential source regions seem to be masked by such processes, e.g. strong dilution of emissions during westerly winds.*

Response: This comment rightly touches one of the key points of our paper, namely our results that illustrate that emission maps not necessarily can be equated with the distribution of effect-related **immissions** in given receptor areas.

To clarify the information about HYPLIT4 we added to the model introduction: "Turbulent atmospheric mixing is included in parameterized form in HYSPLIT4. The present study utilizes the default version of this parameterization according to Draxler and Hess (1998). The back trajectories are calculated with the base version of HYSPLIT4 that does not include any specific dispersion and scavenging of atmospheric trace substances."

Comment:

*For the second approach (connection of emissions and aerosol observations), an optimization algorithm was applied. There is again hardly any information what exactly has been done, except that the name of the used solver is given. This should be changed, please explain the applied optimization method in more detail. Maybe provide the relevant equations.*

Response:

We greatly enlarged the respective text, including two equations:

. We expected both, seasonal variations and a long-term trend in the emissions. For  $M$  hours per month of measured components at the four stations the annual average EDGAR-emissions

$E_{PM10}$ ,  $E_{BC}$ ,  $E_{SO_2}$ , and  $E_{NOx}$  were summed up along the 121 trajectory steps leading to the stations.

Then monthly medians  $\tilde{E}_{i=1,4}$  were formed according to Eq. 1 (exemplified for BC). Medians were chosen to reduce the effect of outliers due to local emission and scavenging events.

$$\tilde{E}_{BC} = \text{Median}(\sum_{n=1}^{121} E_{BC})_{m=1,M} \quad \text{Eq. 1}$$

The monthly median emission sums  $\tilde{E}_{i=1,4}$  were modified with a monthly ( $f_m$ ) and an annual factor ( $g_y$ ) in order to simulate respective median monthly measured concentrations taken over all stations. Thus, for each component 12 monthly and 10 annual trend factors determined the agreement of modified summed emissions and measured concentrations. As objective or utility function  $\chi^2$  the sum of squared deviations between annually and monthly modified emission sums and monthly median measured concentrations was formed taken over the 120 months of the present study (exemplified for BC in Eq. 2).

$$\chi_{BC}^2 = \sum_{j=1}^{120} (f_{m=1,12} \cdot g_{y=1,10} \cdot \tilde{E}_{BC} - eBC)^2 \quad \text{Eq. 2}$$

$\chi^2$  was minimized with a Generalized Reduced Gradient (GRG) solver (Lasdon et al., 1978) that optimized the 12 monthly and 10 annual factors for each of the four measured components. We used Excel's<sup>®</sup> implementation of the GRG solver procedure for the optimization. After optimization of month and trend factors the average relative deviation between emission-simulated and measured monthly median curves is 14%, 21%, 25%, and 18% for PM<sub>10</sub>, eBC, SO<sub>2</sub>, and NO<sub>x</sub>, and respectively

Comment:

*Page 7, lines 139-141: The authors say that equivalent back carbon was measured using a MAAP and converting the measured absorption signal into black carbon by using the default mass absorption cross section of the instrument (6.6 m<sup>2</sup>g<sup>-1</sup>). For using the terminology of equivalent black carbon (eBC) it is to my knowledge required that the mass absorption cross section is determined specifically for the site of interest, e.g. from parallel measurements with elemental carbon (EC) as determined using an analytical method such as the thermal optical method (see cited paper by Petzold et al 2013). The authors should therefore not call their measurements equivalent black carbon (eBC) but instead black carbon (BC)*

Response:

In the instrumental section we added the two references (Birmili et al., 2016; Nordmann et al., 2013) that give details on the ways that the MAAP data at the GUAN-stations are validated against two analytical methods (Raman spectroscopy and thermal analysis) as requested by the reviewer. Consequently, we would like to maintain our use of term eBC.

Comment:

*On page 8, line 172 the authors state that "Precipitation along the trajectories was used in the interpretation of the immission maps". How has this been done?*

Response:

An explanation was given in the next sentence of the original manuscript, obviously not enough. Thus, we enlarged the respective text to:

Precipitation along the trajectories was used in the interpretation of the immission maps. The precipitation values mapped in the present study are those listed by HYSPLIT4 at each point of a trajectory. They are precipitation rates at the nearest grid cell of the assimilated global meteorological fields provided by the U.S. National Weather Service's National Centers for Environmental Prediction (NCEP) (Kanamitsu, 1989).

Comment:

*Page 13, lines 298 – 300. The authors write that contributions from wind erosion of agricultural soils are not incorporated in present anthropogenic inventories. What about anthropogenic precursor emissions of secondary PM? How are they included or not included in this study. The authors should provide the corresponding discussion and information.*

Response: The EDGAR data base utilized in the present study covers primary emissions only. Thus, our discussion is limited accordingly. In the revised text of the introduction of the EDGAR data base this has been clarified.

Comment:

*Page 28, Table 2. It is surprising that the calculated percentage change in PM10 emissions relative to 2009 is highly sensitive to the considered time period (for 2009 – 2017 percentage change is -16%, for 2009 – 2018 percentage change is -6%). This deserves some explanation: Can this be a matter of limited robustness of the applied method, what are the uncertainties of the trend estimations?*

Response: This change in percentage change is due to the general increase in emissions after 2017 that is clearly seen in Fig. 5 and in recent preliminary data shown by the European Environmental Agency (e.g., <https://www.eea.europa.eu/data-and-maps/dashboards/air-quality-statistics-expert-viewer>). Concerning the uncertainties of the trend estimations we added error bars to the trend figures. As estimates of the uncertainties these error bars were calculated by averaging the 12 monthly relative differences between measured and emission-simulated measurements for each year and applying these annual average relative differences as relative uncertainties to the calculated trends.

Comment:

*Page 7, lines 157-158: "Through combustion processes the trace gases NOx and SO2 are related to anthropogenic aerosol formation". This is not correct or at least unclear. Of course, NOx and SO2 are in Germany mainly emitted from anthropogenic sources and processed in the atmosphere to form aerosols. However, the sentence should be re-phrased to something like "NOx and SO2 emitted by anthropogenic combustion processes are transformed in the atmosphere and add to the anthropogenic aerosol".*

Response: Text changed as requested.

Comment:

*Page 9, line 204: What is the "Southeastern half of the map"? Please be more accurate.*

Response: Sorry, but we do not really understand the reviewer's problem here. The complete incriminated sentence reads "Highest average concentrations are measured in 204 airmasses from the Southeastern half of the map, most strongly expressed in PM<sub>10</sub> and eBC 205 with maxima in a region leading from Southwest Poland through the Czech Republic, Slovakia,

206 Austria, and former Yugoslavia to Northeastern Italy, “i.e., the countries meant by „Southeastern half of the map“ are specified.

Comment:

*Page 9, line 207: Typo, "PM10and".* Response: Typo corrected.

Comment:

*Page 9, line 212: It is unclear what countries/ region is meant here? Rephrase so that this is clear,*

Response: The incriminated part of the sentence reads: “...the emissions in the former countries stayed nearly constant...“. We used the term „former“ because we did not want to burden the reader by repeating the list of countries specified 3 sentences before but repeated it now in the revised text: „the emissions in Poland, Czech Republic, Slovakia, Austria, former Yugoslavia, and Italy stayed nearly constant or even increased in recent years”

Comment:

*Page 12, line 279: Delete "are".* Response: Done

Comment:

*Page 12, line 288: I cannot see an increase in PM10 in 2010, neither in Fig. 4 nor in Fig. 5. Please explain or revise.*

Response: The original text was unclear. We revised it to: “In 2010/2011 the trend curves of PM<sub>10</sub> and NO<sub>x</sub> in Fig. 5 show a slight increase that can be linked to a recovery of economic activity after the world-wide financial and economic crisis during the period 2007-2009. The increase in PM<sub>10</sub> is also visible in the trend curves relative to 2005 published by the German Environment Agency (<https://www.umweltbundesamt.de/daten/luft/luftschadstoff-emissionen-in-deutschland/emissionen-prioritaerer-luftschadstoffe>).

## Rev. 2

Comment:

*For completeness for a reader not that familiar with this topic, it would nice to have at least one paragraph shortly summarizing whether similar work has been done outside Europe, for example in Norther America or Asia.*

Comment: Outside Europe we only succeeded to identify two comparable studies in China for which we added the text: “With a much larger data set spanning a much tighter network of 1500 stations in China Rohde and Muller (2015) used the Kriging interpolation approach (Krige, 1951) to construct air pollution maps over China. Another approach to construct pollution maps over the province Henan, China was used by Liu et al., (2018). They combined an emission inventory with chemical modeling and back trajectories to derive high resolution maps of particulate and gaseous pollution components.”

Comment:

*First, the paper does not report anything about data coverage or its quality. Were there any major data gaps, not apparent in Table 1, that might influence the analysis performed in the paper?*

Response: Table 1 has been augmented with the number of validated data hours for each measured component and the text related to Table 1 has been extended to: “Table 1 gives an overview over the instrumental characteristics of all stations and the total number of validated data hours for each utilized component. The minimum is 57962 hours for validated SMPS-data at the tree GUAN-stations and the maximum with 88838 validated data hours for NO<sub>x</sub> at all four stations. Strictly concurrent (by the hour) are less validated data hours. For SMPS, eBC, and SO<sub>2</sub>-data at the GUAN-stations this numbers is 48533 hours, and 48114 and 47729 hours for PM<sub>10</sub> and NO<sub>x</sub>-data, respectively, at all four stations. However, these reduced strictly concurrent numbers do not substantially affect the 10-year-average maps discussed below.”

Comment:

*Is all the data quality checked and how? Do the detection limits of different instruments, especially what it comes to trace gas data, play any role here?*

Response: The text on data quality has been greatly expanded and reads now as “TROPOS-type mobility particle size spectrometers (MPSS, Wiedensohler et al., 2012) were used to record particle number size distributions across the particle size range 10-800 nm. Quality assurance of the long-term measurements followed the recommendations of Wiedensohler et al. (2018) including weekly inspections as well as monthly and annual maintenance intervals. Once a year the MPSS were intercompared against a reference MPSS of the WCCAP (World Calibration Center for Aerosol Physics) either on-site and/or at the calibration facility. The lower detection limit of the MPSS is around 100 cm<sup>-3</sup> for a time resolution of 5 minutes. Equivalent Black Carbon (eBC) was determined by multi-angle absorption photometers (MAAP) using a mass absorption cross section of 6.6 m<sup>2</sup> g<sup>-1</sup> (Petzold et al., 2013; Nordmann et al., 2009; Birmili et al., 2009). An intercomparison of multiple MAAP instruments resulted in an inter-device variability of less than 5% (Müller et al., 2011). While the MAAP deployed at the TROPOS station Melpitz was biannually compared to the reference absorption photometer at the WCCAP in Leipzig, the instruments at the UBA stations Waldhof and Neuglobsow were serviced by the manufacturer. For hourly measurements of PM<sub>10</sub> continuous oscillating microbalances (Thermo Scientific TEOM 1400) were utilized at stations CO, NG, and WA. At station ME PM<sub>10</sub> data were determined in daily filter samples (0:00 to 24:00 CET), Spindler et al. (2013). The TEOM1400-instrument and gravimetric filter sampling are different methods for particle mass concentrations. The TEOM collects particulate mass on a vibrating substrate (tapered element) and registers the change of the oscillation frequency that

is decreasing with mass loading (Patashnick and Rupprecht, 1991). The TEOM operates at a constant temperature setting above ambient (typically 30– 50°C) to prevent contraction and expansion of the tapered element and reduce interferences from water vapor condensation. However, heating the ambient air enhances volatilization of particle-bound semivolatile compounds (e.g., ammonium nitrate and some organic species) resulting in an underestimation of PM when semivolatile dominate the particulate phase during cold seasons. The condensation and evaporation of ammonium nitrate and organic species can also influence the filter sampling under ambient conditions. Here the effect can be balanced partly by the temperature variation during the daily filter sampling. However, the results of both methods mostly are in good agreement (e.g., Zhu et al., 2007)...At the three GUAN stations both are measured with the same temporal resolutions as the aerosol data. Additionally, at Collmberg NO<sub>x</sub>-data could be utilized in the interpretation of the aerosol data. The trace gas analyzers for NO<sub>x</sub> and SO<sub>2</sub> were calibrated with test gases for NO (NO in N<sub>2</sub>) and SO<sub>2</sub> (SO<sub>2</sub> in N<sub>2</sub>, both Air Liquide, Germany). NO<sub>2</sub> was produced in a gas phase titration device (GPT APMC370, Horiba, Germany) by quantitative oxidation of NO test gas (Rehme, 1976). The trace gas analyzers were used in an optimal range and all registered values (also below the detection limit) were used for this long-term study.

Comment:

*Second, there are no continuous PM<sub>10</sub> data for Melpitz, neither SO<sub>2</sub> data for Collmberg. How does this influence the analysis of this paper?*

Response: Basically, eliminating one of the stations from the maps only reduces the statistical solidity in some of the geocells but not the overall geographic patterns. In particular the continuous PM<sub>10</sub>-data at Collmberg located only 30 km away from Melpitz supports the daily average PM<sub>10</sub>-data at Melpitz. We added the following text and two references stating that TEOM1400 and gravimetric filter sampling are different methods but are mostly in good agreement.

“The TEOM1400-instrument and gravimetric filter sampling are different methods for particle mass concentrations. The TEOM collects particulate mass on a vibrating substrate (tapered element) and registers the change of the oscillation frequency that is decreasing with mass loading (Patashnick and Rupprecht, 1991). The TEOM operates at a constant temperature setting above ambient (typically 30– 50°C) to prevent contraction and expansion of the tapered element and reduce interferences from water vapor condensation. However, heating the ambient air enhances volatilization of particle-bound semivolatile compounds (e.g., ammonium nitrate and some organic species) resulting in an underestimation of PM when semivolatile dominate the particulate phase during cold seasons. The condensation and evaporation of ammonium nitrate and organic species can also influence the filter sampling under ambient conditions. Here the effect can be balanced partly by the temperature variation during the daily filter sampling. However, the results of both methods mostly are in good agreement (e.g., Zhu et al., 2007).”

For the measurements described in this paper there are also results from a successful one-year intercomparison of TEOM1400 and filter sampling in winter 2012/13 in the region (city of Dresden) of special interest. Results available at [https://www.luft.sachsen.de/download/luft/Vergleichsmessungen\\_PM2\\_5\\_Internet.pdf](https://www.luft.sachsen.de/download/luft/Vergleichsmessungen_PM2_5_Internet.pdf) The highest time resolution discussed in the present study is one month while most results are discussed as 10-year averages. Thus, utilizing daily PM<sub>10</sub>-averages from the Melpitz station did not affect the results significantly.

Comment:

*Third, what is purpose of presenting PM<sub>0.8</sub> derived from SMPS if that is not used anywhere in the paper?*

Response: Sorry about that. This was just a typo that had not been eliminated from a previous version of the manuscript.

Comment:

*One final issue: Figure 1 caption refers to particle volume concentration when showing PM10. Again, sorry about that. This was just a typo that had not been eliminated from a previous version of the manuscript.*

Comment:

*What is the benefit of displaying particle number concentrations plots (Figs. 1, top and bottom left) for the rest of this paper? Particle number concentrations are not related to any of the discussions about emissions later in the paper. The authors briefly mention atmosphere new particle formation as one particle source, and emphasizes SO<sub>2</sub> plumes or lofted layers as important locations for this source. If these two sources dominated new particle formation over Europe, how would they explain the common observation of regional new particle formation events, taking simultaneously place over tens to hundreds of km scales, in a vast number of surface measurement sites in Europe.*

Response: Thank you for criticizing the lack of motivation and limited discussion of particle-number related results. First, we eliminated the discussion of  $N_{10-26}$  because of the more locally controlled nature of this parameter. Then we expanded the discussion of  $N_{10-800}$  substantially with seasonal maps and a trend discussion connected to gas phase and meteorological parameters.

Comment:

*As mentioned by the authors, PM10 in Figs. 1 and 2 have practically no resemblance with each other. Noting that both these data sources are used together in later analyses, the authors should discuss this issue and its potential consequences in their analysis. What are the potential reasons for the differences between these two figures? Why the map in Figure 1 does not capture the emission hotspots of Figure 2? There is strong PM10 gradient from North-West to South-East in Figure 1. Why does Figure 2 not give any indication about such gradient? Are the main PM10 in the South-East direction located outside the map area?*

Response: In the section on emissions we first qualified the emission data by “Primary aerosol emission data are generally characterized by rather high uncertainties. For the EDGAR data base Crippa et al. (2018) report a range of variation in 2012 between 57.4% and 109.1% for PM<sub>10</sub>, and between 46.8% and 92% for BC. Even higher uncertainties in PM emissions might come from super-emitting vehicles that are not considered in this data base (Klimont et al., 2017).” We also added extensive text and a new figure to the manuscript that resolve the seeming discrepancy between immission and emission maps. Additional to the original manuscript the validity of the present approach of connecting immission and emission of particulate pollution was tested by calculating temporal changes of eBC for subsets of back trajectories passing over two separate prominent emission regions, region A to the Northwest and B to the Southeast of the measuring stations. Consistent with reported emission data the calculated immission decreases over region A are significantly stronger than over region B.

Comment:

*The authors state that NO<sub>x</sub> and SO<sub>2</sub> maps look very similar (related to figures 1 and 2). I wonder why these results are shown in the paper, especially when considering that both these trace gases are important parts of trend analyses presented later in the paper.*

Response: In a new supplement to the manuscript we display seasonal maps of ozone SO<sub>2</sub>, and NO<sub>x</sub>.

Comment:

*Based on Figure 3, the authors discuss the influence of wind speed and wet scavenging on measured concentrations. Unfortunately, this discussion remains very qualitative. If included in this paper, more concrete results on the influence of these two variables should be presented.*

Response: We agree with the reviewer concerning the qualitative nature of the criticized discussion. It is due to the fact that the HYSPLIT trajectory model only provides very limited meteorological information along the trajectories taken from neighboring grid-points of the used meteorological maps. Nevertheless, we feel that the maps provided in Fig. 3 provide a useful illustration of possible reasons for the discrepancies between the emission and the immission maps.

Comment:

*In the last part of the paper, the authors investigate the time evolution of emissions during the past 10 years. I have a couple of concerns related to this. First, the authors only stated that they optimized the annual and monthly emissions of 2009 for the rest of the time series using their measurements. This is too scarce information. The authors should provide more details on how this exercise was done in practice.*

Response: See response to same comment by reviewer 1

Comment:

*Second, as well known, emission changes have been very different in different parts of Europe during the past years. Is the approach applied in this paper able to catch this feature in any way, and if it is not, this should be explicitly mentioned in the paper.*

Response: In the subsection of lines 294 through 322 of the original manuscript we take up this issue, extending our discussion of possible influences from neighboring countries all way to Romania.

Comment:

The final conclusion of this paper remain somewhat vague. The authors should more concrete summarize what new information this study brings on top of what is already known about the past emission changes in Europe. The authors mention some of the limitations of the current study yet, based on the comments give above, I feel that this list could be expanded a bit.

Response: More specific information was added to the conclusions and the list of limitations of the study was expanded.



## Literature

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