# Interactive comment on "Shipborne observations reveal contrasting Arctic marine, Arctic terrestrial and Pacific marine aerosol properties" by Jiyeon Park et al.

#### Anonymous Referee #2

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This manuscript describes approximately one month of measurements of aerosol physical properties and CCN concentrations taken aboard ship in the Pacific region of the Arctic, and Northern Pacific Ocean. This work demonstrates that terrestrial inputs in coastal areas can be an important driver of the particle size distribution in Arctic regions, and can drive strong new particle formation relative to open ocean areas of the Pacific. This is an important and interesting conclusion that has not been made in the past. Also, notably, this work presents measurements of particle size distributions starting at 3nm, which has not been done in many Arctic regions. This manuscript is well written, and presents the main results clearly. I support publication in ACP once the following issues can be addressed.

We sincerely appreciate all valuable comments and suggestions, which helped us to greatly improve the quality of the manuscript. We corrected the manuscript point by point accordingly.

#### Major comments:

(1) I am somewhat surprised that the authors have not demonstrated agreement between the two SMPS systems in their overlapping size range (i.e., 10-80nm). This is a crucially important size range for understanding particle growth and adequate quality control of these measurements should be addressed. How does N10-80 measured by the two instrument compare across the measurement period? Were any size calibrations of the two instruments made in the field? A clearer description of how the two measurements of particle size were aggregated over the overlapping range to produce Figure 7 is needed. To obtain the number size distribution in the size range from 7 nm to 300 nm as shown in Figure 7, we used nano SMPS data from 7 nm to 64 nm and standard SMPS data from 64 nm to 300 nm. We confirmed that nano SMPS and standard SMPS data agreed within ~8% in their overlapping size range (10 – 64 nm) (Figure S4). In fact, Watson et al. (2011) compared four types of scanning mobility particle sizers (i.e., TSI nano SMPS, TSI standard SMPS, Grimm SMPS, MSP WPS). They also demonstrated that hourly average particle concentrations in the 10 – 84 nm size range measured with TSI nano SMPS were well correlated with those measured with TSI standard SMPS. To clarify this issue, Figure S4 and description were added as given below.

Page 13, Line 334: "To obtain the number size distribution in the size range from 7 nm to 300 nm as shown in Fig. 7, we used nano SMPS data from 7 nm to 64 nm and standard SMPS data from 64 nm to 300 nm. The nano SMPS and standard SMPS data agreed within ~8.8% in their overlapping size range (10 - 64 nm) (Fig. S4), similar to a previous study (Watson et al., 2011)."

(2) How does the condensation sink and average growth rate compare (1) across the different types of air masses sampled, and (2) to previous Arctic measurements? Was the condensation sink significantly higher in the Pacific air masses? Figure 7 would suggest that is the case. I suggest that the authors make full use of their unique data set by calculating the above two quantities wherever possible, and including them in relevant figures. Statistics of these quantities could be included in Table 1. This would facilitate improved comparison with other studies in similar coastal Arctic regions (e.g., Collins et al., ACP, 2017 https://doi.org/10.5194/acp-17-13119-2017 and Burkart et al., GRL, 2017 doi: 10.1002/2017gl075671). In particular, Collins et al 2017 provides a detailed comparison of the condensation sink in their

observations with that sampled globally (their Figure 8). Placing the author's results in this broader context would strengthen the paper significantly.

Response: We calculated growth rate and condensation sink for Arctic marine, Arctic terrestrial and Pacific marine air masses. The resulting values are given in Table 1 and compared with other studies in Arctic regions as given below.

Page 17, Line 422: "Table 1 shows particle growth rate (GR) and condensation sink (CS) for Arctic marine, Arctic terrestrial and Pacific marine air masses. The GR was calculated by fitting a linear regression to the peak diameter of the aerosol size distribution for the nucleation-mode between 4 and 20 nm against time during the NPF cases (Dal Maso et al., 2005; Pierce et al., 2014). The GR observed during the Arctic marine and Arctic terrestrial air masses were the  $0.4 \pm 0.3$  nm h<sup>-1</sup> and  $0.8 \pm 0.2$  nm h<sup>-1</sup>, respectively, which was similar to the values previously observed from other Arctic regions. A shipboard expedition conducted during the summers of 2014 and 2016 throughout the Canadian Arctic, indicated that the GR varied widely from 0.2 to 15.3 nm h<sup>-1</sup> (Collins et al., 2017). The GR observed at Summit, Greenland was  $0.2 \pm 0.1$  nm h<sup>-1</sup> (range of 0.09 to 0.3 nm h<sup>-1</sup>) (Ziemba et al., 2010). Similarly, in Utqiagivik (Barrow), Alaska, the GR was 1.0 nm h<sup>-1</sup> in air mass influenced by Beaufort Sea, whereas the value was 11.1 nm h<sup>-1</sup> in air mass influenced by Prudhoe Bay (i.e., oil field area) (Kolesar et al., 2017)."

Page 17, Line 439: "The CS is a key parameter assessing the NPF and growth and determines how rapidly gaseous molecules condense onto pre-existing particles. The CS was calculated, following Dal Maso et al. (2002) and Collines et al. (2017). The resulting CS values are given in Table 1. The CS observed during the Arctic marine and Arctic terrestrial air masses were  $0.5 \pm 0.4$  nm h<sup>-1</sup> and  $0.9 \pm 0.5$  nm h<sup>-1</sup>, respectively. The CS in this study is on the low end of the values observed during the summer in Arctic marine boundary layer (shipborne expeditions) (Collins et al., 2017), Utqiagivik, Alaska (Kolesar et al., 2017), and Ny-Ålesund, Svalbard (Giamarelou et al., 2016). In case when air mass passed over the Pacific Ocean, the CS was 2 or 4 times higher than those of Arctic air masses. It seems that such higher CS for Pacific marine air masses lowered the concentration of condensable vapors, thereby resulting in the non-event days in Pacific marine air masses."

(3) What other environmental variables were different between the three air mass source regions? e.g., wind speeds, cloud cover?

Response: Following the reviewer's comments, environmental variables such as wind speeds and wind direction for the three air masses were also shown in Table 1.

(4) It appears as though multiple modes were present in some cases (e.g., during 9/13-9/17), and based on Figure 7 appear in average size distributions. As discussed in Burkart et al., GRL, 2017 (doi: 10.1002/2017gl075671), comparing the growth rates of different particles modes can provide significant insight into the physical chemical properties of the condensing/partitioning species. I do not suggest that the authors undertake a detailed modelling exercise as in Burkart et al, rather that the authors calculate growth rates for the different modes and discuss what these quantities might mean in the context of the Burkart et al analysis.

Response: To clarify this issue, we determined the growth rate of the distinct modes based on Burkart et al analysis. Figure S6 and a few sentences were newly added as follows.

Page 17, Line 432: "Particularly, simultaneous growth of multiple modes was present in some cases (9/13/2017-9/21/2017). We calculated the GR of the distinct modes, as shown in Fig. S6. The results showed that growth of the larger mode (e.g., preexisting mode) was faster than the smaller mode (e.g., nucleation mode), consistent with ship-based aerosol measurements in the summertime Arctic by Burkart et al. (2017b). They proposed that growth was largely via condensation of semi-volatile organic material, because lower volatile organics could lead to faster growth of the smaller mode."

#### Minor comments:

L67-68: I agree with this statement in general; however, the authors miss two very relevant studies of ship-based coastal Arctic measurements: Collins et al., ACP, 2017 https://doi.org/10.5194/acp-17-13119-2017 and Burkart et al., GRL, 2017 doi: 10.1002/2017gl075671 Response: As suggested by the reviewer, we added the two references (Collins et al., 2017 and Burkart et al., 2017).

L69-82: This paragraph focuses on studies that have linked NPF events to increases in measured CCN; however, the authors focus not only on studies from Arctic regions and seem to focus on global observations as the expense of being exhaustive in discussing all of the very few relevant Arctic studies. The two studies mentioned in the comment above should be included here, and are more relevant to the authors' discussion than Kalivitis 2015 and Rose 2017. Burkart et al., ACP, 2017 (doi: 10.5194/acp-17-5515-2017) also connect NPF with CCN in coastal Arctic environments.

Response: These references (Collins et al., 2017 and Burkart et. al., 2017) were addressed in the manuscript (Line 67, Line 70, Line 75 and Line 77), and the sentence was modified as given below.

Page 3, Line 75: "Several examples of increase in the CCN concentration after a few hours from the beginning of NPF events were presented by Burkart et al. (2017b) in the summer marine Arctic during the 2014 NETCARE Amundsen ice breaker campaign, by Kim et al (2019) at King Sejong Station in the Antarctic Peninsula, by Pierce et al. (2012) in a forested mountain valley in western Canada, and by Willis et al. (2016) in an Arctic aircraft campaign in Nunavut, Canada."

L123-126: Time resolution of the aethelometer?

Response: Raw time resolution of the aethelometer is 5 min. We added this information in the experimental section.

Page 5, Line 123: "The BC concentration was measured using an aethalometer (AE22, Magee Scientific Co., USA) with a 5-min time resolution to assess the influence of anthropogenic sources (such as local pollution and ship emissions)."

L141: Can the authors be more quantitative in describing "particularly high (spike) and varied dramatically in a short time"?

Response: The statement was modified to make quantitative description:

Page 6, Line 143: "The data collected when total aerosol number concentrations were higher than 8000 cm<sup>-3</sup> were removed. In addition, the CPC and SMPS data were removed for the time periods when total aerosol number concentrations suddenly increased more than two times higher than the background values."

L235: Tremblay et al, ACP, 2019 (https://doi.org/10.5194/acp-19-5589-2019) demonstrated regional growth events taking place over the Northern Canadian Arctic Archipelago, and their results are relevant to this discussion.

Response: We agreed with the reviewer's comments. We added the reference in the manuscript (Page 10 and Line 240) and the description was rewritten as given below.

Page 10, Line 243: "Tremblay et al (2019) also concluded that particle nucleation events occurred over spatial scales of at least 500 km during the summertime in the Canadian High Arctic."

L262-263: Could a satellite-based measurement of cloud fraction (e.g., from Aqua MODIS that is

openly available) be used to assess any impact of cloudiness on the observations of NPF? Response: To classify this issue, we compared weekly averaged liquid cloud fraction from Aqua/MODIS retrieval data for each period (week 1: 8/29/2017 - 9/5/2017, week 2: 9/6/2017 - 9/13/2017, week 3: 9/14/2017 - 9/21/2017, and week 4: week 4 (9/22/2017 - 9/29/2017)). Then, Figure S5 was added and the sentence (including references) was rewritten as given below.

Page 11, Line 270: "In addition, cloudiness which usually affects the real solar radiation reaching the surface was compared based on Moderate Resolution Imaging Spectroradiometer (MODIS) cloud fraction retrievals (Fig. S5). The data showed that cloud fraction was significantly high during the entire sampling periods, in general agreement with some other studies over the western Arctic region (e.g., Dong et al., 2010; Collines et al., 2017). In detail, the cloud fraction was relatively low for week 1 (8/29/2017–9/5/2017; Fig. S5a) and week 3 (9/14/2017–9/21/2017; Fig. S5c) when NPF event and growth was frequently observed (Fig. 3). This suggests that solar radiation at the surface, which is affected both by the cloud cover and SZA, may have influenced aerosol concentration and NPF observed here."

L422-435: Burkart et al., ACP, 2017 (doi: 10.5194/acp-17-5515-2017) also estimated the CCN activation diameter to be approximately 80nm in a coastal Arctic environment. Response: We added the reference in the manuscript as given below.

Page 19, Line 478: "For instance, the  $D_c$  of 80 nm at 0.6 % SS was observed during the aircraft measurement in July 2014 in the high Arctic marine boundary layer of Resolute Bay, Nunavut, Canada (Burkart et al., 2017a)."

Table 1: Do  $N_{StandardSMPS}$  and  $N_{nanoSMPS}$  include the overlapping size range? I suggest that the authors be explicit about the size range here, rather than referring to the instrument. Presenting mean concentrations in specific size ranges from aggregated size distribution data may be more useful (e.g., as shown in figure 2)

Response: As suggested by the reviewer, we presented mean concentrations of nucleation mode (3 - 20 nm) (N<sub>NUC</sub>), Aitken mode (20 - 100 nm) (N<sub>AIT</sub>), accumulation mode (100 - 300 nm) (N<sub>ACC</sub>), and coarse mode (> 300 nm from OPS) (N<sub>OPS</sub>) particles for the three selected periods in Table 1.

Figure 7: Specify which SMPS is used in the size range from 10-80nm

Response: This is similar to a comment above. Again, we used nano SMPS data from 7 nm to 64 nm and standard SMPS data from 64 nm to 300 nm. We confirmed that the nano SMPS and standard SMPS data agreed within ~8.8% in their overlapping size range (10 - 64 nm), as shown in Fig S4.

#### **Specific comments:**

L61: Ice and snow-covered regions Response: It was corrected.

L264: change 'trends' to 'tends' Response: It was corrected.

L286: missing 'were' Response: It was corrected.

#### Newly added references

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# 1

# Shipborne observations reveal contrasting Arctic marine, Arctic terrestrial and Pacific marine aerosol properties

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#### 12 Abstract

There are few shipborne observations addressing the factors influencing the relationships of the 13 formation and growth of aerosol particles with cloud condensation nuclei (CCN) in remote marine 14 environments. In this study, the physical properties of aerosol particles throughout the Arctic Ocean and 15 Pacific Ocean were measured aboard the Korean ice breaker R/V Araon during the summer of 2017 for 16 25 days. A number of New Particle Formation (NPF) events and growth were frequently observed in 17 both Arctic terrestrial and Arctic marine air masses. By striking contrast, NPF events were not detected 18 in Pacific marine air masses. Three major aerosol categories are therefore discussed: (1) Arctic marine 19 (aerosol number concentration  $CN_{2.5}$ : 413 ± 442 cm<sup>-3</sup>), (2) Arctic terrestrial ( $CN_{2.5}$ : 1622 ± 1450 cm<sup>-3</sup>) 20 and (3) Pacific marine (CN<sub>2.5</sub>:  $397 \pm 185$  cm<sup>-3</sup>), following air mass back trajectory analysis. A major 21 conclusion of this study is that not only that the Arctic Ocean is a major source of secondary aerosol 22 formation relative to the Pacific Ocean; but also that open ocean sympagic and terrestrial influenced 23 coastal ecosystems both contribute to shape aerosol size distributions. We suggest that terrestrial 24 ecosystems - including river outflows and tundra - strongly affects aerosol emissions in the Arctic 25 coastal areas, possibly more than anthropogenic Arctic emissions. The increased river discharge, tundra 26 emissions and melting sea ice should be considered in future Arctic atmospheric composition and 27 28 climate simulations. The average CCN concentrations at a supersaturation ratios of 0.4% were  $35 \pm 40$ cm<sup>-3</sup>, 71  $\pm$  47 cm<sup>-3</sup>, and 204  $\pm$  87 cm<sup>-3</sup> for Arctic marine, Arctic terrestrial, and Pacific marine aerosol 29

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categories, respectively. Our results aim to help to evaluate how anthropogenic and natural atmospheric
sources and processes affect the aerosol composition and cloud properties.

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## 33 **1. Introduction**

The climate change experienced in the Arctic is more rapid than that occurring at mid-latitudes in a 34 35 phenomenon known as Arctic amplification (ACIA, 2005). In the warming Arctic, the extent and thickness of sea-ice have dramatically decreased over the past few decades (Stroeve et al., 2012). It has 36 been estimated that the Arctic may seasonally become sea ice-free Arctic in the next 30 years (Wang 37 and Overland, 2009). Aerosol particles in the atmosphere are a major driver of the Arctic climate (IPCC, 38 2013), as they directly affect the climate through scattering and absorbing solar radiation (Stier et al., 39 40 2007), and indirectly by modifying the formation, properties, and lifetimes of clouds (Twomey, 1974). These direct and indirect effects are the leading uncertainty in current climate predictions. New particle 41 42 formation (NPF), a predominant source of atmospheric particles, occurs through the formation of 43 nanometer-sized molecular clusters (<~1 nm) (i.e., nucleation) and their subsequent growth into aerosol particles of a few nanometers ( $\sim 1 - 10$  nm) and larger ( $\sim > 10$  nm) (Kulmala et al., 2004; Zhang et al., 44 2012). NPF can significantly increase the number of aerosol particles in the atmosphere. During 45 46 summer, the Arctic is more isolated from anthropogenic influences (Arctic Haze) and experiences comparatively pristine background aerosol conditions (Heintzenberg et al., 2015; Law and Stohl, 2007). 47 As the number concentrations of particles in the Arctic during summer are very low (of an order of  $\sim 10^2$ 48 cm<sup>-3</sup>) (Merikanto et al., 2009), the physicochemical properties of aerosol particles in the Arctic 49 atmosphere is highly sensitive to NPF. 50

51 NPF events have been frequently observed within a wide range of environmental conditions at 52 various Arctic locations, such as Zeppelin (Tunved et al., 2013; Croft et al., 2016; Heintzenberg et al., 53 2017), Tiksi (Asmi et al., 2016), Alert (Croft et al., 2016), Station Nord (Nguyen et al., 2016), and 54 Barrow (Kolesar et al., 2017), and from limited ship-based observations (Chang et al., 2011; Kim et al., 55 2015; Heintzenberg et al., 2015). The formation and growth of particles in the Arctic atmosphere are

strongly influenced by marine, coastal, marginal ice, and/or anthropogenic sources. Oceanic dimethyl 56 sulfide (DMS) and other volatile organic precursors (such as isoprene, monoterpenes, and amines) play 57 important roles in the formation and growth of new particles in the Arctic (Leaitch et al., 2013; Willis et 58 al., 2016; Park et al., 2017; Abbatt et al., 2019; Mungall et al., 2016). In addition, iodine oxides 59 significantly contribute to NPF in marine and coastal Arctic environments owing to emissions from 60 marine microalgae at low tide or snowpack photochemistry in ice and snow-covered regions (Allan et 61 al., 2015; O'Dowd et al., 2002; Raso et al., 2017). Biogenic gaseous precursors released by the melting 62 Arctic sea-ice margins have also been associated with NPF (Dall'Osto et al., 2017; Willis et al., 2018). 63 64 Recent studies in Alaska have indicated that the formation and growth of particles are influenced by emissions from oil and gas extraction activities in Prudhoe Bay (Gunsch et al., 2017; Kolesar et al., 65 66 2017). Although several observations have been made in the Arctic under different environmental conditions (Burkart et al., 2017b; Collins et al., 2017), there are few detailed size distribution analyses 67 of particle formation and growth events within the Arctic marine environment. 68

69 Several studies have attempted to investigate the impacts of NPF on the concentrations of cloud 70 condensation nuclei (CCN) (Willis et al., 2016; Burkart et al., 2017b; Collins et al., 2017). Model-based studies have predicted that a large fraction of CCN (up to 78% of CCN at 0.2 % supersaturation) in the 71 72 global atmosphere results from atmospheric NPF and growth (Merikanto et al., 2009; Westervelt et al., 2014; Spracklen et al., 2008). Field observations have also observed substantial increases in the 73 concentrations of CCN due to atmospheric nucleation in various environments (Pierce et al., 2012; 74 Kalivitis et al., 2015; Burkart et al., 2017b; Collins et al., 2017; Kim et al., 2019). Several examples of 75 increase in the CCN concentration after a few hours from the beginning of NPF events were presented 76 77 by Burkart et al. (2017b) in the summer marine Arctic during the 2014 NETCARE Amundsen ice breaker campaign, by Kim et al (2019) at King Sejong Station in the Antarctic Peninsula, by Pierce et al. 78 (2012) in a forested mountain valley in western Canada, and by Willis et al. (2016) in an Arctic aircraft 79 80 campaign in Nunavut, Canada. However, due to the infrequency of aerosol measurements collected onboard ice breakers, very few studies have measured the simultaneous aerosol size distribution and 81

82 CCN concentrations over the Arctic Ocean.

In this study, the physical characteristics of aerosol particles over the Arctic and Pacific Oceans were 83 investigated between August 31 and September 24, 2017, using aerosol particle monitoring instruments 84 installed on the Korean ice breaker R/V Araon. Data of the aerosol size distribution, the concentrations 85 of the total aerosol number (CN), black carbon (BC), and CCN were continuously collected using 86 various aerosol instruments. The main aims of this study were to (1) investigate the frequency and 87 characteristics of NPF and particle growth over the Arctic and Pacific Oceans, (2) determine the major 88 sources that are associated with NPF based on backward air mass trajectory analysis, and (3) explore the 89 90 potential contribution of NPF to the CCN concentrations in the remote marine environment.

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#### 92 **2. Experimental methods**

#### 93 2.1. Study area and ship tracks

94 Ambient atmospheric aerosol measurements were collected over the Arctic and Pacific Oceans 95 onboard the ice breaker R/V Araon, operated by the Korea Polar Research Institute (KOPRI), Korea. The ship's track is presented in Fig. 1. The cruises covered two main areas: the Arctic Ocean (including 96 97 both Beaufort and Chukchi Seas) and the remote Northwest Pacific Ocean. The ship departed from 98 Barrow, USA, on August 28, 2017, crossed the Beaufort (August 29-September 13, 2017) and Chukchi Seas (September 15, 2017), and reached Nome, USA, on September 16, 2017. The Beaufort Sea 99 extends across the northern coasts of Alaska and the Northwest Territories of Canada. After completing 100 101 the Arctic survey, the ship departed from Nome, USA, on September 18, 2017, crossed the Bering Sea, 102 Sea of Okhotsk, and East Sea, and reached Busan, Korea, on September 28, 2017.

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# 104 2.2. Atmospheric aerosol measurements

The aerosol sampling inlet was placed on the front deck of the ship (13 m above sea level), ahead of the ship's engines to avoid any influences from the emissions of the ship's exhaust. In addition, kitchen ventilation systems were connected by a plastic cylindrical pipe (~15 m length) and moved back on the 108 deck (far away from the sampling inlet) to minimize the potential effects of cooking emissions on the 109 atmospheric measurements during the sampling periods. Aerosols were sampled through a stainless 110 steel tube (inner diameter of 1/4 in, and length of  $\sim 1$  m), which was connected to the various 111 instruments by electrically conductive tubing to minimize particle losses in the sampling line.

112 The physical properties of the aerosols were measured with various aerosol instruments, including 113 two condensation particle counters (TSI 3776 CPC and TSI 3772 CPC), two scanning mobility particle sizers (SMPS), an optical particle sizer (OPS), an aethalometer, and a cloud condensation nuclei counter 114 (CCNC). The TSI 3776 CPC and TSI 3772 CPC measured the total number concentrations of particles 115 116 larger than 2.5 and 10 nm every 1 sec, respectively. The aerosol sample flow rates of TSI 3776 CPC and 117 TSI 3776 CPC were 1.5 and 1.0 lpm, respectively. The number size distributions of the particles were 118 measured using the nano SMPS every 3 min (Differential mobility analyzer (DMA): TSI 3085, CPC: 119 TSI 3776), covering a size range of 3 to 63.8 nm, and the standard SMPS (DMA: TSI 3081, CPC: TSI 120 3772) every 3 min, covering a size range of 10 to 300 nm. The aerosol and sheath flow rates of the 121 nano-SMPS were 1.5 and 15 lpm, respectively; and those of the standard SMPS were 1.0 and 10 lpm, 122 respectively. An OPS (TSI 3330) was used to determine the size distribution of particles in the range of 123 100 nm to 10 µm diameter with a sample flow rate of 1.0 lpm every 3 minutes. The BC concentration 124 was measured using an aethalometer (AE22, Magee Scientific Co., USA) with a 5-min time resolution 125 to assess the influence of anthropogenic sources (such as local pollution and ship emissions). The 126 instrument uses the absorption of light at a wavelength of 880 nm by the ambient aerosols collected on a quartz filter tape to determine the BC concentration. The flow rate through a sharp-cut 2.5 µm cyclone 127 128 (BGI, Inc., USA) was set to 5 lpm and the integration time was 5 min. The Droplet Measurement 129 Technologies CCN counter (DMT CCN-100) was operated to measure the CCN number concentrations. 130 The total flow rate in the CCN counter was 0.5 lpm, and the counter was operated at five different 131 supersaturation ratios (SS) (0.2, 0.4, 0.6, 0.8, and 1.0 %) every 30 min. The sample and sheath flow 132 rates of the CCN counter were 0.05 and 0.45 lpm, respectively.

134 **2.3. Identification of ship exhaust** 

To obtain a data set that reflects background aerosol loading, measurement data affected by the 135 136 exhaust emissions of the ship's engine should be excluded prior to further data analysis. For this, 137 aerosol data were filtered based on the BC concentration, wind direction, wind speed, and total particle number concentration. The data with the following properties were discarded: (1) BC concentrations 138 exceeding 100 ng m<sup>-3</sup>, (2) relative wind direction against the ship's heading between  $110^{\circ}$  and  $260^{\circ}$ , as 139 this originates directly from the ship's exhaust, (3) relative wind speed lower than 2 m sec<sup>-1</sup> as air 140 141 masses under a calm environment could become contaminated due to local turbulence, and (4) the total 142 particle number concentrations were particularly high (spike) and varied dramatically in a short time. Ship plumes were clearly observed in the data collected during the campaign. The data collected when 143 total aerosol number concentrations were higher than 8000 cm<sup>-3</sup> were removed. In addition, the CPC 144 and SMPS data were removed for the time periods when total aerosol number concentrations suddenly 145 146 increased more than two times higher than the background values. Typically, the ship exhaust differs 147 from the NPF events as the enhanced number concentration during the NPF events lasted for at least an 148 hour with a low BC concentration (Ehn et al., 2010).

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#### 150 **2.4. Backward air mass trajectory and satellite observations**

The backward air mass trajectories were analyzed using version 4 of the Hybrid Single-Particle 151 Lagrangian Integrated Trajectory (HYSPLIT) model (http://ready. arl.noaa.gov/) to examine their 152 153 relationships with the physical characteristics of aerosol particles. The 2-day air mass back trajectories 154 (48 h) were determined at hourly intervals from the ship's position at an arrival height of 50 m to 155 estimate the transport history of the air masses arriving at the observation site (Park et al., 2018). The 156 potential origins of the aerosols were divided into three categories based on the retention time of the 2-157 day back trajectories over the three major domains: Arctic Ocean (including the Beaufort and Chukchi Seas, and sea-ice region), Pacific Ocean (including the Bering Sea and Sea of Okhotsk) and land 158 159 (including Alaska and the eastern part of Siberia) (Fig. 1). The phytoplankton biomass was obtained by

160 calculating the chlorophyll-a concentration from the level-3 product of Aqua Moderate Resolution 161 Imaging Spectroradiometer at a 4 km resolution (Fig. S1). Geographical information over the ocean, 162 land and sea-ice was obtained from the sea-ice index, which was provided by the National snow and Ice 163 Data Center (NSIDC) (Fig. S2). Note that the sea-ice extent was defined as the area having an ice 164 concentration of  $\geq 15\%$  (Pang et al., 2018). Air masses that intensively passed over the Beaufort and 165 Chukchi Seas and sea-ice region were categorized as Arctic Ocean originated air masses (i.e., > 50%retention over the ocean  $> 65^{\circ}$ N and sea-ice region). Air masses that intensively passed over Northern 166 Alaska and the eastern Siberia were potentially affected by the Arctic tundra and categorized as land 167 168 originated air masses (i.e., > 50% retention over the land domain). Finally, air masses that traveled 169 through the Bering Sea and Sea of Okhotsk were categorized as air masses originated from Pacific 170 Ocean domain (i.e., > 50% retention over the ocean domain  $< 65^{\circ}$ N).

171

#### 172 **2.5. Oceanic measurements**

173 To examine the influence of oceanic conditions on NPF and growth, seawater samples were collected 174 from sea surface at a depth of  $\sim 1$  m by Niskin bottles. The sampling locations and methods have been 175 described previously in more detailed (Park et al., 2019). In brief, concentrations of dissolved organic carbon (DOC) were measured with a Shimadzu TOC-V high-temperature combustion total organic 176 177 carbon analyzer. To identify the source and composition of DOC in surface seawater, three-dimensional excitation-emission matrixes (EEMs) were scanned using a fluorescence spectrometer (Varian, USA). 178 179 The excitation wavelength range was between 250 and 500 nm, and emission between 280 and 600 nm. 180 In this study, the four major fluorescent components were classified into 4 groups; terrestrial humic 181 substances peak (A) (EX: 260 nm, EM: 380–460 nm), the terrestrial fulvic substances peak (C) (EX: 182 350 nm, EM: 420–480 nm), the marine fulvic substances peak (M) (EX: 312 nm, EM: 380–420 nm), 183 and the proteinaceous peak (T) (EX: 275 nm, EM: 340 nm) (Coble, 2007).

184

#### 185 **3. Results and discussion**

#### 186 **3.1. Overall particle number concentrations**

Fig. 2a presents a time series of the 1 hour average total particle number concentration (CN) 187 188 measured using TSI 3776 CPC and TSI 3772 CPC throughout the sampling periods. The number concentration of particles larger than 2.5 nm (CN<sub>2.5</sub>) or 10 nm (CN<sub>10</sub>) in the Arctic and Pacific marine 189 environments had a range of approximately three orders of magnitude ( $\sim 10^1 - 10^3$  cm<sup>-3</sup>). In most cases, 190 the CN<sub>2.5</sub> and CN<sub>10</sub> concentrations were less than ~2000 cm<sup>-3</sup>, with averages of 505  $\pm$  280 and 492  $\pm$ 191 264 cm<sup>-3</sup>, respectively, which were in agreement with those reported in previous studies conducted at 192 193 other Arctic stations (Asmi et al., 2016; Burkart et al., 2017a; Freud et al., 2017) and remote marine 194 regions (O'Dowd et al., 2014; Sellegri et al., 2006; Kim et al., 2019; Jang et al., 2019; Yum et al., 1998; 195 Hudson and Yum, 2002). For example, four years of observational data from the Arctic Climate Observatory in Tiksi, Russia, showed that the monthly median CN concentration ranged from ~184 cm<sup>-3</sup> 196 in November to ~724 cm<sup>-3</sup> in July (Asmi et al., 2016). Furthermore, Sellegri et al. (2006) reported CN 197 concentrations under clean marine sector conditions at Mace Head of a few hundreds of cm<sup>-3</sup> (e.g., ~200 198  $cm^{-3}$  in January and ~450  $cm^{-3}$  in June). Elevated  $CN_{2.5}$  and  $CN_{10}$  concentrations were concentrated over 199 the period from September 13 to 20, when the ship sailed over Chukchi and Bering Seas. During this 200 period,  $CN_{2.5}$  and  $CN_{10}$  concentrations exceeding ~2000 cm<sup>-3</sup> were frequently observed. The peak 201 202 concentrations of aerosol particles were notable, as the CN<sub>2.5</sub> and CN<sub>10</sub> concentrations exceeded ~6016 and  $\sim$ 5750 cm<sup>-3</sup>, respectively. 203

204 To elucidate further details of the variations in CN<sub>2.5</sub> and CN<sub>10</sub>, the particle size distributions measured with the nano SMPS, standard SMPS, and OPS were divided into four size groups: nucleation 205 (3 - 20 nm), Aitken (20 - 100 nm), accumulation (100 - 300 nm), and coarse (> 300 nm from OPS), as 206 207 shown in Fig. 2b-e. The average number concentrations of the nucleation-mode (N<sub>NUC</sub>), Aitken-mode (N<sub>AIT</sub>), accumulation-mode (N<sub>ACC</sub>), and coarse-mode (N<sub>OPS</sub>) particles were  $169 \pm 142$ ,  $201 \pm 131$ ,  $40 \pm$ 208 17, and  $4 \pm 2$  cm<sup>-3</sup>, respectively. The temporal variations in N<sub>NUC</sub> and N<sub>AIT</sub> exhibited a distinct pattern, 209 compared to that of  $N_{ACC}$  and  $N_{OPS}$ . Overall,  $N_{NUC}$  and  $N_{AIT}$  concentrations larger than ~1000 cm<sup>-3</sup> were 210 observed from September 13 to 20 (e.g. the ship sailed over Chukchi and Bering Seas), whereas 211

212 relatively high concentrations of NACC and NOPS were observed from September 21 to 23 (e.g., the ship 213 sailed over Sea of Okhotsk). As shown in Fig. 2b, sudden bursts of nucleation-mode particles occurred 214 frequently, as indicated by a sudden increase in the N<sub>NUC</sub> concentration rising from tens to several thousands of cm<sup>-3</sup>. Whenever the CN<sub>2.5</sub> concentration exceeded  $\sim 2000$  cm<sup>-3</sup>, the N<sub>NUC</sub> concentration 215 exceeded ~600 cm<sup>-3</sup> (except for the results observed in the evening of September 18). In addition, the 216  $CN_{2.5}$  concentration was strongly correlated with the N<sub>NUC</sub> concentration ( $r^2 = 0.69$ ) (Fig. S3), 217 suggesting that the high CN concentration was mainly derived from nucleation-mode particles. 218 219 Instances of elevated  $N_{NUC}$  occurred along the northern coast of Alaska (September 13 – 14, 2017), 220 throughout the Chukchi Sea (September 15, 2017), near the Nome and Eastern Siberia (September 16 – 221 18, 2017), and throughout the Bering Sea (September 19 - 20, 2017). During the cruises, the satellite-222 derived chlorophyll-a concentration data indicated strong biological activity over the Chukchi and 223 Bering Seas, as shown in Fig. S1. Thus, the high occurrence of nucleation-mode particles may be 224 related to multiple processes that influence the formation of secondary aerosols (e.g., oceanic biological 225 activities, regional anthropogenic emissions on land (Alaska or eastern Siberia), and terrestrial sources 226 in the tundra ecosystems of Alaska).

227

#### 228 **3.2. Case studies**

As mentioned in Section 3.1, significant increases in N<sub>NUC</sub> were frequently observed during the 229 cruise (Fig. 2 b). Typically, N<sub>NUC</sub> is used to indicate the presence of newly formed particles produced by 230 231 gas-to-particle conversion (i.e., secondary aerosol formation) (Asmi et al., 2016; Burkart et al., 2017a). 232 Here, an NPF event was defined as a sharp increase in the N<sub>NUC</sub> with elevated CN<sub>2.5</sub> that lasted for at 233 least one hour. Fig. 3 presents contour plots of the size distributions measured using nano SMPS and 234 standard SMPS. This strong NPF and growth event occurred over the Chukchi and Bering Seas, which border the western and northern sides of Alaska, suggesting that there may be a substantial source of 235 236 precursors in this region. Bursts of the smallest particles at the lowest detectable sizes (~2.5 nm) were 237 not observed; however, we hypothesize that, during the NPF event, particle formation occurred

elsewhere and that subsequent horizontal extension caused the 1particles to reach the sampling site. 238 239 Previously, NPF events have been identified on the regional scale in several locations around the world 240 (Kerminen et al., 2018; Németh and Salma, 2014; Tremblay et al., 2019; Vana et al., 2004; Väänänen et al., 2013). For instances, Németh and Salma (2014) found that a nucleating air mass in regional NPF 241 events may originate horizontally as far as several hundreds of kilometers (~400 or 700 km) away from 242 243 the sampling site. Tremblay et al (2019) also concluded that particle nucleation events occurred over spatial scales of at least 500 km during the summertime in the Canadian High Arctic. In this section, 244 245 case studies are discussed, including (i) marine Arctic NPF event, (ii) terrestrial Arctic NPF event, and 246 (iii) pacific marine aerosol categories. During these temporal periods, the influences of the origins and 247 pathways of air masses on the characteristics of particle formation and growth were investigated.

248

#### 249 **3.2.1. Open ocean marine Arctic NPF event case study**

The marine Arctic NPF event was observed on September 3, 2017, and time series plots of the 250 particle size distribution and air mass origins are presented in Fig. 4. N<sub>NUC</sub> increased from 77 cm<sup>-3</sup> to 251 252 757 cm<sup>-3</sup>, while N<sub>AIT</sub> varied little. The elevated number concentration of nucleation-mode particles 253 lasted for over five hours and then disappeared. Geometric mean diameter (GMD) varied from 14.6 to 254 18.2 nm with an average of 16.3 nm, indicating that particle growth hardly occurred. The GMD is 255 defined as the particle diameter at which the cumulative probability becomes 50% for the fitted lognormal distribution (Hinds, 1999). During the day, air masses traveled over the Arctic Ocean (explicitly, 256 257 47.6, 0 and 0.4 h over the Arctic Ocean, Pacific Ocean and land domain, respectively), and have been 258 categorized as Arctic Ocean originated air masses. As shown in Fig. S1, the satellite-derived 259 chlorophyll-a concentration indicated a relatively high level of biological activity in the ocean during 260 the time period focused upon in this study. It was noteworthy that the monthly mean chlorophyll concentration in the Beaufort and Chukchi Seas  $(2.24 \pm 3.44 \text{ mg m}^{-3}; 65^{\circ}\text{N}-74^{\circ}\text{N} \text{ and } 170^{\circ}\text{E}-120^{\circ}\text{W})$ 261 was approximately 3-fold greater than that estimated in the Pacific Ocean including the Bering Sea and 262 the Sea of Okhotsk (0.83  $\pm$  1.30 mg m<sup>-3</sup>; 40°N-65°N and 145°E-168°W) (Fig. S1). Moreover, the 263

marginal ice zone is commonly associated with intense algae blooms during the melting season, therefore, significant emissions of biogenic trace gases such as DMS have been detected in the sea-ice edge (Levasseur, 2013; Oziel et al., 2017). Accordingly, as our measurements were collected over the Arctic Ocean onboard the ice breaker, marine biogenic sources could be considered as an important factor inducing NPF events.

269 Fig. 4d shows cosine of the solar zenith angle (cos (SZA)) data that can be used as a proxy for solar energy reaching the ground surface. In addition, cloudiness which usually affects the real solar radiation 270 reaching the surface was compared based on Moderate Resolution Imaging Spectroradiometer 271 272 (MODIS) cloud fraction retrievals (Fig. S5). The data showed that cloud fraction was significantly high 273 during the entire sampling periods, in general agreement with some other studies over the western 274 Arctic region (e.g., Dong et al., 2010; Collines et al., 2017). In detail, the cloud fraction was relatively low for week 1 (8/29/2017-9/5/2017; Fig. S5a) and week 3 (9/14/2017-9/21/2017; Fig. S5c) when 275 276 NPF event and growth was frequently observed (Fig. 3). This suggests that solar radiation at the surface, 277 which is affected both by the cloud cover and SZA, may have influenced aerosol concentration and NPF 278 observed here. As illustrated in Fig 4, the NPF event occurred when the sun was below the horizon (i.e., Arctic nighttime nucleation). Typically, nucleation tends to take place preferably with high solar 279 280 irradiation during the daytime (Kulmala et al., 2004). In several locations, however, also nighttime 281 nucleation has been observed at Tumbarumba in Australian (Suni et al., 2008), at Värriö measurement 282 station in Finnish Lapland (Vehkamäki et al., 2004), and at a subarctic site in northern Sweden (~14 km 283 east of Abisko) (Svenningsson et al., 2008). The possible explanation for nighttime events is that the actual formation and growth occurred even during daylight, but very slow growth in the Arctic and 284 285 marine atmosphere allowed to detect the particles (~ 8 nm) only after sunset (Vehkamäki et al., 2004). 286 Previous study reported that 32% of strong nighttime nucleation events (2.5 times as frequent as 287 daytime nucleation event) were appeared in the presence of a very efficient ion source such as the 288 strong radon efflux from the Tumbarumba soil (Suni et al., 2008). Due to their rarity, the major 289 mechanisms for nocturnal aerosol production are still unclear and require more study.

#### 291 **3.2.2. Open ocean terrestrial Arctic NPF event case study**

292 The terrestrial Arctic NPF event was observed during September 13-14 2017. As shown in Fig. 5, 293 significant strong NPF events occurred frequently during this period. The number concentration of total particles increased considerably, as a  $CN_{2.5}$  value exceeding ~6016 cm<sup>-3</sup> was observed during this event. 294 In addition, the average concentrations of  $N_{NUC}$  and  $N_{AIT}$  during the terrestrial Arctic NPF were 931  $\pm$ 295 222 and 1127  $\pm$  380 cm<sup>-3</sup>, respectively. This indicates that high CN<sub>2.5</sub> concentration mainly contributed 296 297 by nucleation and Aitken-mode particles (45 and 54% of the size distribution for nucleation-mode and Aitken-mode particles, respectively). GMD increased from 13.9 to 33.3 nm, indicating that the 298 299 nucleation-mode particles subsequently increased in size. The formation and growth of aerosol particles 300 were observed during the daytime (Fig. 5d), suggesting that photochemistry is involved. During this 301 period, air masses were heavily influenced by northern Alaska. The average retention times of the 2-day 302 back trajectories arriving at the ship position over the northern Alaska, Arctic Ocean and Pacific Ocean were 40.8, 7.2 and 0 h, respectively (Fig. 5e). It can be seen that the photochemical reactions of 303 304 precursor gases (e.g., volatile organic compounds (VOCs) such as isoprene, monoterpenes, and sesquiterpenes) emitted by terrestrial ecosystems in Alaska were associated with new particle formation 305 306 and growth (Schollert et al., 2014; TAPE et al., 2006; Kolesar et al., 2017; Ström et al., 2003).

307

#### 308 3.2.3. Pacific marine aerosol case study

A typical aerosol scenario for Pacific marine air masses was observed on September 21–22, 2017, when the air masses passed over mainly the Pacific Ocean (including the Bering Sea and Sea of Okhotsk) (explicitly, 0, 47.9 and 0.1 h over the Arctic Ocean, Pacific Ocean and land domain, respectively) (Fig. 1a). As shown in Fig. 6, the aerosol number concentrations exhibited a bimodal size distribution, peaking at size ranges of 30 - 80 nm (Aitken mode) and 100 - 300 nm (accumulation mode), respectively. In contrast, the concentrations of nucleation-mode particles were very low. For example, the concentration of N<sub>NUC</sub> ranged from 1 to  $38 \text{ cm}^{-3}$  with an average of  $8 \pm 4 \text{ cm}^{-3}$ . We also observed CN<sub>2.5</sub> values at the background level of ~460  $\pm$  70 cm<sup>-3</sup>, which are consistent with the measurements collected at a coastal Antarctic station during summer (~600 cm<sup>-3</sup>) (Kim et al., 2017) and from flight-based measurements over the Arctic Ocean (~300 cm<sup>-3</sup>) (Burkart et al., 2017a).

319

#### 320 **3.3.** Overview of aerosol properties according to different air mass back trajectories

321 Air masses comprising marine Pacific along with marine and terrestrial Arctic air masses were encountered during the campaign. In the section 3.2, two case studies of NPF events (Fig. 4 and Fig. 5) 322 323 were found in the Arctic atmosphere. As stressed in Willis et al., (2018), NPF and growth is frequently 324 observed in the boundary layer in the both Arctic open ocean and coastal regions. These events seem to 325 occur more frequently than lower-latitude marine boundary layers (Quinn and Bates, 2011); there are 326 multiple reasons including summer 24-h high solar radiation, low condensation sink, low temperature 327 and low mixing of surface emissions, as recently reviewed in Abbatt et al. (2019). Our study also 328 confirmed that any NPF was not detected during the Pacific transect.

329 In this section, we present an overall meteorological air mass summary of the open ocean field study, categorizing it into three synoptic period types: Pacific marine, Arctic marine and Arctic terrestrial. 330 331 These classifications do not represent specific air mass back trajectories analysis, but they can mainly 332 represent air masses that have been travelled over these three distinct geographical regions (section 2.4). Average size distributions for the three selected periods in the different air masses are shown in Fig. 7. 333 To obtain the number size distribution in the size range from 7 nm to 300 nm as shown in Fig. 7, we 334 335 used nano SMPS data from 7 nm to 64 nm and standard SMPS data from 64 nm to 300 nm. The nano SMPS and standard SMPS data agreed within  $\sim 8.8\%$  in their overlapping size range (10 – 64 nm) (Fig. 336 S4), similar to a previous study (Watson et al., 2011). In addition, a summary of total number 337 338 concentrations of particles for these periods is included in Table 1.

339

- *Arctic Marine*. A trimodal distribution was seen at  $18 \pm 3$  nm,  $53 \pm 6$  nm and  $150 \pm 6$  nm. The first mode is due to NPF arriving from open pack sea ice and open ocean Arctic regions, as discussed in Section 3.2.1 where a case study is presented. The Aitken mode (~53 nm) is remarkably similar to the Pacific Ocean aerosol size distribution and to previous studies detected in the Arctic regions (Tunved et al., 2013; Freud et al., 2017; Dall'Osto et al., 2019). The largest mode at ~150 nm may be due to a combination of primary and secondary aerosol components.

346

- *Arctic terrestrial*. A bimodal distribution is seen with two main modes at  $24 \pm 3$  nm and  $151 \pm 3$  nm, respectively. The nucleation and Aitken modes are much higher than the accumulation mode, suggesting that NPF governs the aerosol processes in this coastal region at this time of the year.

350

351 - Pacific marine. The Pacific Ocean aerosol size distributions showed a trimodal size distribution at 56  $\pm$  3 nm, 130  $\pm$  3 nm and 220  $\pm$  6 nm. The lowest peak at ~56 nm (i.e., Aitken mode) is likely a 352 353 combination of primary and secondary marine aerosol components, whereas the largest peak at ~220 354 nm might be caused by cloud processing and aged aerosols. The mode at ~130 nm could originate from 355 primary sea spray aerosols in the Pacific atmosphere (Quinn et al., 2015). When the distribution is fitted with log-normal modes, the inter-modal minimum is calculated to be ~120 nm - often known as Hoppel 356 357 minimum as a signature of cloud processing (Hoppel et al., 1994) - although, it is difficult to draw a 358 firm conclusion due to the overlap with the third mode at ~130 nm.

359

360 This study shows that aerosol originating from higher and lower marine latitudes – although both 361 being treated as marine air masses - have very different features, as pointed out in several previous 362 studies (Dall'Osto et al., 2010; Frossard et al., 2014). A key conclusion of this study is that we also need 363 to separate different bioregions in the Arctic, especially given the current results showing very different aerosol size distributions in the Arctic study areas (Fig. 7; Arctic marine and Arctic terrestrial). The 364 reasons for the much higher aerosol concentrations near the coast of Alaska relative to the open ocean 365 sympagic and pelagic regions may be multiple. We discuss at least two major sources may contribute to 366 367 the high aerosol concentrations recorded.

368

The first source of aerosols in the late summer terrestrial Arctic air masses may be due to

369 anthropogenic sources. Due to sea ice retreat and better technologies, the Arctic is now easily accessible 370 to human activities, including oil and gas extraction (Law and Stohl, 2007; Peters et al., 2011). These 371 Arctic oil fields can emit the large amounts of aerosols, and with on-going Arctic development, such 372 local combustion emissions may increase in the future, possibly affecting local air quality (Gunsch et al., 373 2017; Schmale et al., 2018a). In fact, some NPF events were reported within the North Slope of Alaska 374 (e.g., Prudhoe Bay oil fields) during August and September 2016 at Oliktok Point Alaska. This 375 observation was suggested to be linked with oil fields emissions (Kolesar et al., 2017). However, our 376 measurements were conducted in the open ocean, quite far from any land oil field local emissions. BC 377 data were collected as shown in Fig. 8; they revealed very high standard deviations due to high detection limit of the instrument used relative to the concentrations detected. However, no remarkable 378 379 differences can be seen, all pointing to pristine clean marine air masses with BC values of approximately  $20 \pm 10$  ng m<sup>-3</sup>. The two Arctic categories (Marine and Terrestrial) shows similar BC 380 381 values, whereas higher values can be seen for the Pacific marine aerosol category, probably due to 382 contamination from nearby Asian high pollutant sources.

383 The second source of aerosol in the late summer terrestrial Arctic air masses may be due to terrestrial natural sources. We believe that this may be a much more probable reason. The Arctic Ocean is 384 385 submerged under areas of relatively shallow water known as a shelf sea for ~50% of its area. It is a 386 relatively small ocean, characterized by pronounced riverine influence and a complex hydrography. Up 387 to 11% of the entire global river discharge ends up in the Arctic Ocean (Shiklomanov et al., 2000), 388 which is only 1% of the global ocean volume. The discharge of freshwater is increasing (Peterson et al., 389 2002), impacting coastal salinity and carbon cycle. Indeed, this continental runoff is a major source of 390 freshwater, nutrients and terrigenous material to the Arctic Ocean (Benner et al., 2005; Fichot et al., 391 2013; Massicotte et al., 2017). The warming climate in the region is causing permafrost degradation, 392 alterations to regional hydrology and shifting amounts and composition of dissolved organic matter 393 (DOM) transported by streams and rivers (Mann et al., 2016; Chen et al., 2017). Overall, there is a 394 considerable spatial and temporal heterogeneity in the distribution of the DOC in the Arctic, owing to

strong biological and physicochemical processes. It is important to remember that sea ice formation and melting also affects the concentrations and distributions of DOC, although its impact is still difficult to resolve (Fichot et al., 2013; Shen et al., 2012).

398 In a recent paper (Park et al., 2019), we suggested that the large amount of freshwater from river runoff may have a substantial impact on primary aerosol production mechanisms, possibly affecting the 399 400 cloud radiative forcing. We showed that the Artic riverine organic matter can be directly emitted from 401 surface seawater into the atmosphere via bubble bursting (Park et al., 2019). The high amount of DOC 402 populating the sea-surface microlayer (SML) in the Arctic waters - including UV absorbing humic 403 substances - can also produce VOCs (Ciuraru et al., 2015; Fu et al., 2015), which are known precursors of secondary organic aerosols. Recently, Mungall et al. (2017) reported that the marine microlayer in the 404 405 Canadian Arctic Archipelago is a source of oxidized VOCs (OVOCs), which could be an important source of biogenic secondary organic aerosol (Croft et al., 2019). Previous studies also reported 406 407 fluorescent water-soluble organic aerosols in the High Arctic atmosphere (Fu et al., 2015). It is worth 408 noting that terrestrial VOCs from tundra and lakes at elevated concentrations were reported (Potosnak et 409 al., 2013; Lindwall et al., 2016; Steinke et al., 2018).

Fig. 9 shows DOC concentrations from water samples taken in the areas where the NPF marine and 410 411 terrestrial case studies (Section 3.2.1 and 3.2.2) were detected. It is clear that as much as twice higher 412 concentrations are seen for the coastal marine areas, relative to the open ocean marine regions. The 413 origin of this organic matter can be obtained by the FDOM analysis. Fig. 9 (bottom) shows specific peaks attributed to different chemical features. The ratio of terrestrial humic substances (peak A) was 414 415 3.5 for the terrestrial/marine samples. By striking contrast, marine fulvic substances (peak M) and 416 proteinaceous (peak T) had a ratio of 0.45 and 0.27, respectively, showing two very distinct chemical 417 compounds. This suggests that coastal oceanic water enriched in river organic material as well as fresh 418 water tundra and lake may be a source of VOC (both from biotic and abiotic emission processes) that 419 may be responsible for the high secondary aerosols detected near these areas.

#### 421 **3.4.** Particle growth rates and condensation sink

422 Table 1 shows particle growth rate (GR) and condensation sink (CS) for Arctic marine, Arctic 423 terrestrial and Pacific marine air masses. The GR was calculated by fitting a linear regression to the 424 peak diameter of the aerosol size distribution for the nucleation-mode between 4 and 20 nm against time 425 during the NPF cases (Dal Maso et al., 2005; Pierce et al., 2014). The GR observed during the Arctic marine and Arctic terrestrial air masses were the  $0.4 \pm 0.3$  nm h<sup>-1</sup> and  $0.8 \pm 0.2$  nm h<sup>-1</sup>, respectively, 426 427 which was similar to the values previously observed from other Arctic regions. A shipboard expedition 428 conducted during the summers of 2014 and 2016 throughout the Canadian Arctic, indicated that the GR varied widely from 0.2 to 15.3 nm h<sup>-1</sup> (Collins et al., 2017). The GR observed at Summit, Greenland 429 was  $0.2 \pm 0.1$  nm h<sup>-1</sup> (range of 0.09 to 0.3 nm h<sup>-1</sup>) (Ziemba et al., 2010). Similarly, in Utgiagivik 430 (Barrow), Alaska, the GR was 1.0 nm h<sup>-1</sup> in air mass influenced by Beaufort Sea, whereas the value was 431 11.1 nm h<sup>-1</sup> in air mass influenced by Prudhoe Bay (i.e., oil field area) (Kolesar et al., 2017). Particularly, 432 433 simultaneous growth of multiple modes was present in some cases (9/13/2017 - 9/21/2017). We 434 calculated the GR of the distinct modes, as shown in Fig. S6. The results showed that growth of the 435 larger mode (e.g., preexisting mode) was faster than the smaller mode (e.g., nucleation mode), 436 consistent with ship-based aerosol measurements in the summertime Arctic by Burkart et al. (2017b). 437 They proposed that growth was largely via condensation of semi-volatile organic material, because 438 lower volatile organics could lead to faster growth of the smaller mode.

439 The CS is a key parameter assessing the NPF and growth and determines how rapidly gaseous molecules condense onto pre-existing particles. The CS was calculated, following Dal Maso et al. 440 441 (2002) and Collines et al. (2017). The resulting CS values are given in Table 1. The CS observed during the Arctic marine and Arctic terrestrial air masses were  $0.5 \pm 0.4$  nm h<sup>-1</sup> and  $0.9 \pm 0.5$  nm h<sup>-1</sup>, 442 443 respectively. The CS in this study is on the low end of the values observed during the summer in Arctic 444 marine boundary layer (shipborne expeditions) (Collins et al., 2017), Utqiagivik, Alaska (Kolesar et al., 445 2017), and Ny-Ålesund, Svalbard (Giamarelou et al., 2016). In case when air mass passed over the Pacific Ocean, the CS was 2 or 4 times higher than those of Arctic air masses. It seems that such higher 446

447 CS for Pacific marine air masses lowered the concentration of condensable vapors, thereby resulting in

448 the non-event days in Pacific marine air masses.

#### 449 **3.5. Impact on CCN number concentrations**

Fig. 10a illustrates the CCN concentrations for the three selected periods under different 450 supersaturation conditions. For a given SS of 0.4%, CCN concentrations for Arctic marine, Arctic 451 terrestrial and Pacific marine air masses were  $35 \pm 40$  cm<sup>-3</sup>,  $71 \pm 47$  cm<sup>-3</sup>, and  $204 \pm 87$  cm<sup>-3</sup>, 452 respectively. Higher concentrations of CCN were observed when the air mass originated from the 453 Pacific marine for a SS of 0.2%-1.0 %. This may have occurred due to the differences in the CCN 454 455 sources between the Arctic and Pacific Oceans. It was noted that that accumulation and coarse-mode 456 particles, which are predominant over the Pacific Ocean (Fig. 7), can easily act as CCN. Our results 457 agreed well with values reported in previous studies that measured CCN at a ground-based Arctic station (Jung et al., 2018), but was slightly higher than those measured from high-Arctic expeditions 458 459 (Leck et al., 2002; Martin et al., 2011; Mauritsen et al., 2011). For example, Jung et al. (2018) reported 460 seasonal variations in the CCN concentration over seven years (2007 –2013) at the Zeppelin station, and found that the monthly mean CCN concentrations ranged from 17 cm<sup>-3</sup> in October 2007 to 198 cm<sup>-3</sup> in 461 March 2008 at a SS value of 0.4%. However, Mauritsen et al. (2011) observed CCN concentrations 462 lower than ~100 cm<sup>-3</sup> at five different supersaturations (SS = 0.10%, 0.15%, 0.20%, 0.41%, and 0.73%), 463 with median values ranging from 15 to 50 cm<sup>-3</sup>, in four High Arctic expeditions during the Arctic 464 465 Summer Cloud Ocean Study. Such values were also in line with the long term measurement at an Arctic station in Barrow, which indicated that the median CCN concentrations at 0.2% SS was smaller than 466 100 cm<sup>-3</sup> (Schmale et al., 2018b). 467

We also compared CCN activity and critical diameter for the three selected periods, as shown in Fig. 10b and c. The CCN activity is defined as the ratio of the number concentration of particles that activated to become CCN at a given supersaturation to the total number concentration of particles larger than 2.5nm (CN<sub>2.5</sub>). The CCN activity followed a similar pattern as the CCN concentration. Furthermore, the critical diameter ( $D_c$ ) (i.e., the diameter at which the integration of aerosol size

distribution from the largest particle diameter to the lower ones matches with the measured CCN 473 474 concentration) was estimated using the measured aerosol size distribution, CN<sub>2.5</sub>, and CCN concentrations with a time resolution of 1 h, as described by Furutani et al., (2014). The  $D_c$  at a SS of 475 0.4% was found to be  $103 \pm 43$  nm,  $83 \pm 18$  nm, and  $136 \pm 67$  nm for Arctic marine, Arctic terrestrial, 476 477 and Pacific marine periods, respectively. These values are comparable to previous studies obtained in 478 the Arctic and subarctic regions. For instance, the  $D_c$  of 80 nm at 0.6 % SS was observed during the aircraft measurement in July 2014 in the high Arctic marine boundary layer of Resolute Bay, Nunavut, 479 Canada (Burkart et al., 2017a). Jaatinen et al. (2014) reported that the  $D_c$  value of 98 ± 16 nm (SS = 480 481 0.4%) from the subarctic area of Finland (Pallas-Sodankylä Global Atmospheric Watch station). Anttile et al. (2012) also showed that a  $D_c$  value was in the range of 90 to 120 nm at a SS of 0.4% during the 482 483 same filed campaign as reported in Jaatinen et al. (2014). For a maximum SS between 0.18 and 0.26%,  $D_c$  varied between 110 and 140 nm at the same measurement sites. 484

485

#### 486 **4. Summary and conclusions**

This study presents the physical properties of aerosol particles measured aboard the R/V Araon icebreaker during 2017 throughout the Arctic and Pacific Oceans. The CN<sub>2.5</sub> value commonly ranged between 13 and 2000 cm<sup>-3</sup> with an average of  $505 \pm 280$  cm<sup>-3</sup>. An elevated CN<sub>2.5</sub> concentration reaching ~6016 cm<sup>-3</sup> was observed from 13 September to 20 September. The temporal variations in the CN<sub>2.5</sub> concentration followed a similar pattern to those of N<sub>NUA</sub> and N<sub>AIT</sub>. We also found that the CN<sub>2.5</sub> concentration was strongly correlated with N<sub>NUA</sub> ( $r^2 = 0.69$ ), suggesting that CN was mainly derived from nucleation-mode particles.

494 NPF events caused by gas-to-particle conversion frequently occurred over the Arctic Ocean. 495 Overall, two major NPF sources (i.e., Arctic marine and Arctic terrestrial) were identified based on the 496 backward air mass trajectory analysis. NPF events were associated with Arctic marine air masses, 497 indicating the impact of marine biogenic emissions from the Arctic Ocean. Strong NPF events with 498 particle growth were associated with Arctic terrestrial air masses, which may be due to the biogenic

precursor gases emitted by terrestrial ecosystems including river discharge and Alaskan tundra in the 499 500 Arctic coastal areas. In contrast, relatively larger particles with broad Aitken and accumulation-mode 501 peaks were observed over the Pacific Ocean. Our study confirmed that any NPF was not detected during 502 the Pacific transect. We also compared the average CCN concentrations for each of the cases. Our data showed that the impact of aerosols on CCN concentrations (SS = 0.4%) was significant:  $35 \pm 40$  cm<sup>-3</sup>, 503  $71 \pm 47$  cm<sup>-3</sup>, and  $204 \pm 87$  cm<sup>-3</sup> for Arctic marine, Arctic terrestrial, and Pacific marine periods, 504 respectively. Our interpreted data showed that river outflows and tundra strongly influence Arctic 505 506 aerosol properties. Further detailed measurements of the chemical characteristics of marine aerosols are 507 required to provide more direct evidence for the contribution of biogenic precursors to the NPF and 508 CCN in the remote Arctic atmosphere.

509 Arctic areas are currently experiencing drastic climate change, with air temperatures increasing at 510 twice the rate of the global average. This warming is causing clear changes, such as the increases in 511 biogenic emissions from tundra vegetation and changes in vegetation cover (Faubert et al., 2010; 512 Peñuelas and Staudt, 2010; Potosnak et al., 2013; Lindwall et al., 2016). Lindwall et al. (2016) observed 513 a 280% increase in VOC emissions relative to the ambient level in response to a 4 °C increase in the summer temperature of the Subarctic. Increases in VOC emissions from river discharge and tundra 514 515 vegetation in the Arctic are critical factors that induce NPF and particle growth events, which may 516 impact the CCN concentrations during the Arctic summer.

517

#### 518 Data availability

519 The data analyzed in this publication will be readily provided upon request to the corresponding author 520 (yjyoon@kopri.re.kr).

521

#### 522 Author contributions

JP, YJY designed the study, JP, MD'O, KP, YG, HJK, EJ, KTP, MP, SSY, JJ, and BYL analyzed data.
JP, MD'O, KTP and YJY prepared the manuscript with contributions from all co-authors.

525

### 526 **Competing interests**

527 The authors declare that they have no conflict of interest.

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- 537
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Table 1. A summary of meteorology, total number concentrations of particles (measured with TSI 3776 CPC, TSI 3772 CPC, Standard SMPS, nano SMPS), growth rate (GR), and condensation sink (CS) for the three selected periods. The  $CN_{2.5}$  and  $CN_{10}$  represents the total number concentration of particles larger than 2.5 nm and 10 nm, respectively. The  $N_{NUC}$ ,  $N_{AIT}$ ,  $N_{ACC}$ , and  $N_{OPS}$  represents total aerosol nucleation-mode (3 – 20 nm), Aitken-mode (20 – 100 nm), accumulation-mode (100 – 300 nm), and coarse-mode (> 300 nm from OPS) number concentrations.

	Arctic Marine	Arctic Terrestrial	Pacific Ocean
Periods	9/02/2017-		
	9/05/2017,	9/13/2017-	9/21/2017-
	9/10/2017-	9/17/2017	9/23/2017
	9/12/2017		
Wind speed (m s <sup>-1</sup> )	$6.1\pm6.0$	$8.7 \pm 5.7$	$8.4 \pm 4.3$
Wind direction (°)	$352.3\pm38.7$	$344.7 \pm 28.1$	$338.3\pm23.0$
CN <sub>2.5</sub> (cm <sup>-3</sup> )	$413\pm442$	$1622 \pm 1450$	$397 \pm 185$
$CN_{10}$ (cm <sup>-3</sup> )	$414\pm452$	$1396 \pm 1279$	$384\pm86$
$CN_{2.5-10} (cm^{-3})$	$62\pm130$	$263\pm318$	$35\pm195$
N <sub>NUC</sub> (cm <sup>-3</sup> )	$118 \pm 198$	$350\pm393$	$46 \pm 103$
$N_{AIT}$ (cm <sup>-3</sup> )	$108 \pm 132$	$405\pm425$	$116\pm93$
$N_{ACC}$ (cm <sup>-3</sup> )	$19 \pm 14$	$33 \pm 20$	95 ± 30
Nops (cm <sup>-3</sup> )	$2\pm 2$	$3\pm 2$	11 ± 6
GR (nm h <sup>-1</sup> )	$0.4 \pm 0.3$	$0.8 \pm 0.2$	-
CS (h <sup>-1</sup> )	$0.5 \pm 0.4$	$0.9 \pm 0.5$	$2.1\pm0.7$

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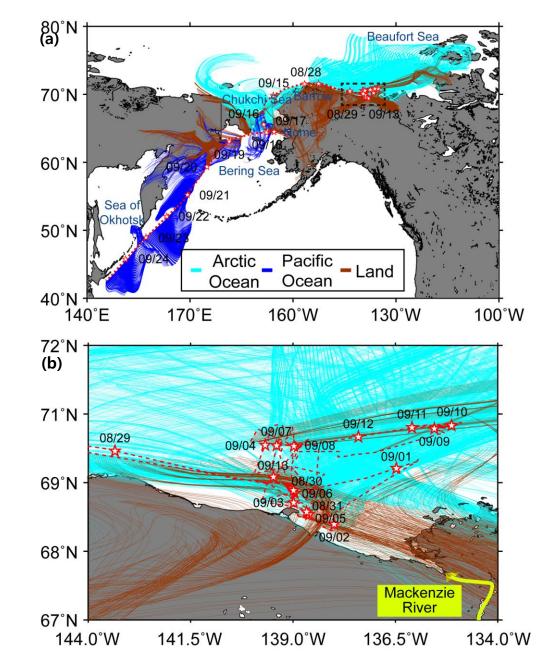


Figure 1. Ship tracks across (a) the Arctic (8/28/2017–9/18/2017) and Pacific Oceans (9/18/2017–9/25/2017) and (b) zoom into the dotted black square region in Fig. 1a. A dotted red line including star symbols represents ship tracks during the entire cruise. The star symbols represent the daily ship location at midnight. Light blue, blue and brown lines denote the 2-day air mass trajectories categorized into three main domains such as Arctic Ocean, Pacific Ocean, and land, respectively.

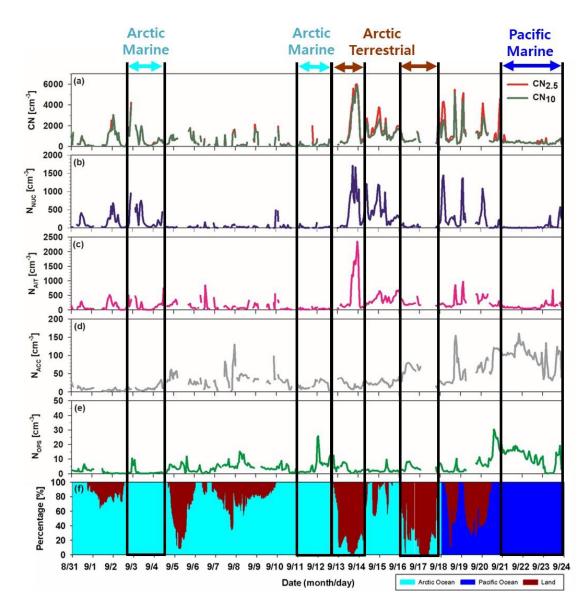


Figure 2. Time series of the 1 hour average (a) total aerosol ( $CN_{2.5}$  and  $CN_{10}$ ), (b) nucleation-mode (3 – 20 nm) ( $N_{NUC}$ ), (c) Aitken-mode (20 – 100 nm) ( $N_{AIT}$ ), (d) accumulation-mode (100 – 300 nm) ( $N_{ACC}$ ), (e) coarse-mode (> 300 nm from OPS) ( $N_{OPS}$ ) number concentrations, and (f) the residence time of air masses that passed over the Arctic Ocean, Pacific Ocean, and land. The  $CN_{2.5}$  and  $CN_{10}$  represent total number concentration of particles larger than 2.5 and 10 nm, respectively.

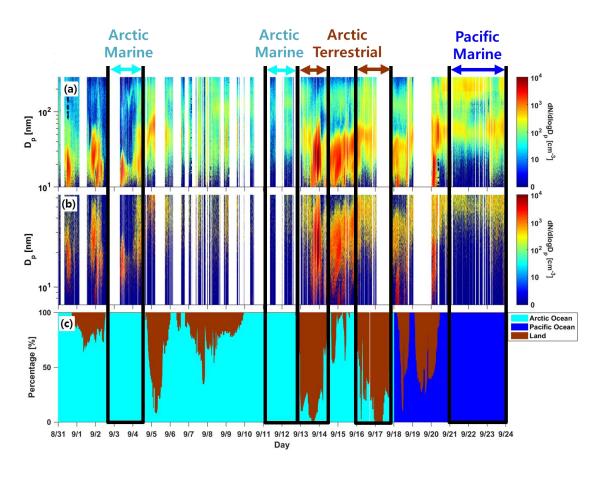
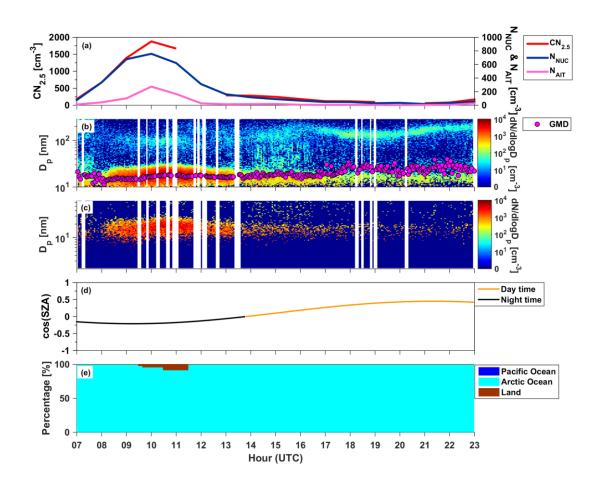


Figure 3. Contour plots of the size distributions measured using (a) standard and (b) nano SMPS and (c)
the residence time of air masses that passed over the Arctic Ocean, Pacific Ocean, and land throughout
the sampling periods.



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Figure 4. Example of a case-I event observed on 3 September 2017. From top to bottom, the parameters are: (a) the total number concentration of particles smaller than 2.5 nm, nucleation-mode particles, and Aitken-mode particles; (b) a time series of the standard SMPS size distribution and GMD; (c) a time series of the nano SMPS size; (d) Cosine values of solar zenith angle; (e) the residence time of air masses that passed over the ocean, land, and sea-ice areas.

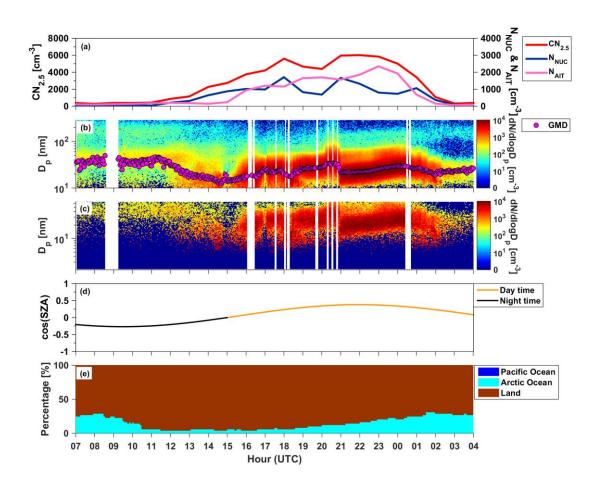


Figure 5. Example of a case II event that was observed on September 13–14, 2017. From top to bottom,
the parameters are: (a) the total number concentration of particles smaller than 2.5 nm, nucleation-mode
particles, and Aitken-mode particles; (b) a time series of the standard SMPS size distribution and GMD;
(c) a time series of the nano SMPS size; (d) cosine values of solar zenith angle; (e) the residence time of
air masses that passed over the ocean, land, and sea-ice areas.

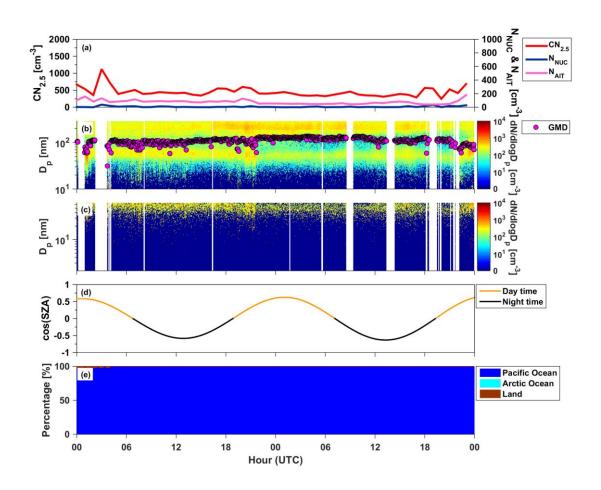


Figure 6. Example of a case III event that was observed on September 21–22 2017. From top to bottom,
the parameters are: (a) the total number concentration of particles smaller than 2.5 nm, nucleation-mode
particles, and Aitken-mode particles; (b) a time series of the standard SMPS size distribution and GMD;
(c) a time series of the nano SMPS size; (d) cosine values of solar zenith angle; (e) the residence time of
air masses that passed over the ocean, land, and sea-ice areas.

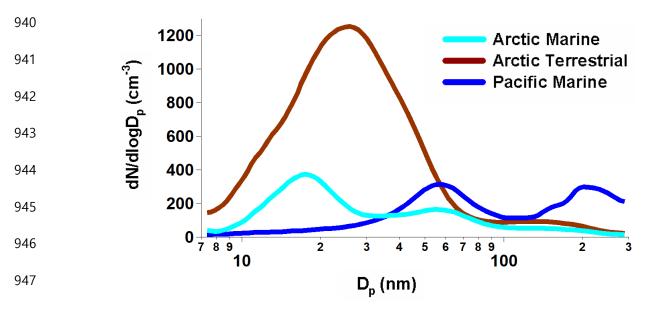
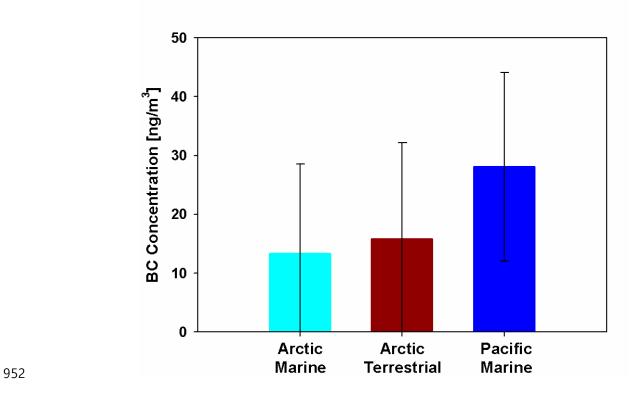
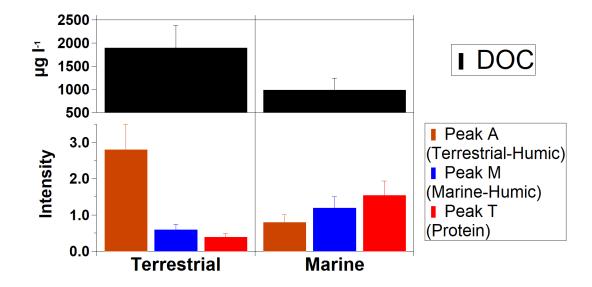


Figure 7. Average size distributions of aerosol particles for Arctic marine, Arctic terrestrial and Pacific
marine air masses



953 Figure 8. Average mass concentrations of black carbon for each air mass.





956 Figure 9. Average DOC concentrations for surface seawater samples collected during this cruise, 957 simultaneously during the atmospheric measurements herein reported. Peak A, M, and T represent 958 terrestrial-humic substances, marine-fulvic substances, and protein, respectively.



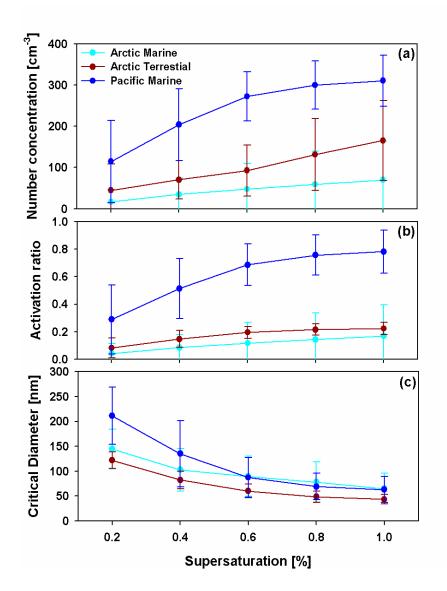


Figure 10. Comparisons of (a) CCN number concentrations, (b) CCN activity, and (c) critical diameter for Arctic marine, Arctic terrestrial and Pacific marine air masses under different supersaturation conditions. The error bars represent a standard deviation.

## Shipborne observations reveal contrasting Arctic marine, Arctic terrestrial and Pacific marine aerosol properties

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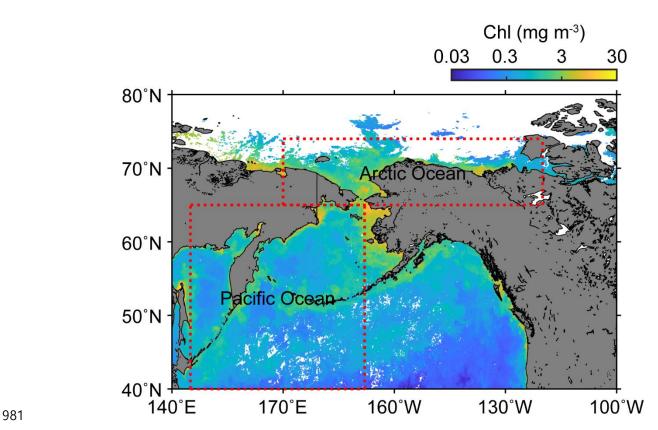


Figure S1. The monthly mean Chlorophyll-*a* concentration in September 2017 (an index of phytoplankton biomass over the ocean) obtained from Satellite data (Aqua Moderate Resolution Imaging Spectroradiometer). The dotted red lines indicate the domains for Arctic Ocean (65°N–74°N and 170°E–120°W) and Pacific Ocean (40°N–65°N and 145°E–168°W).

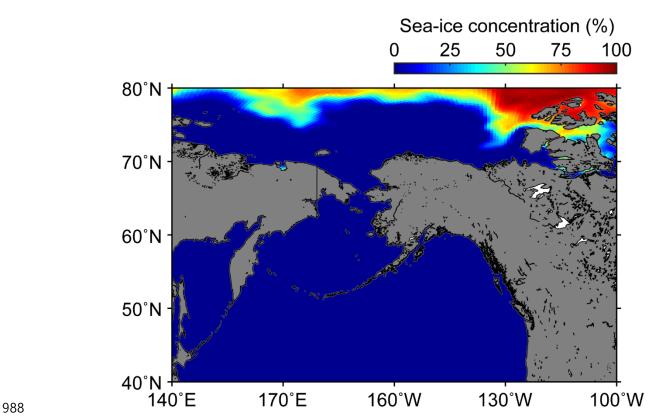


Figure S2. The monthly mean sea-ice concentration in September 2017 obtained from the Sea Ice Index
(SII) provided by the National Snow and Ice Data Center, (<u>https://doi.org/10.7265/N5K072F8</u>).

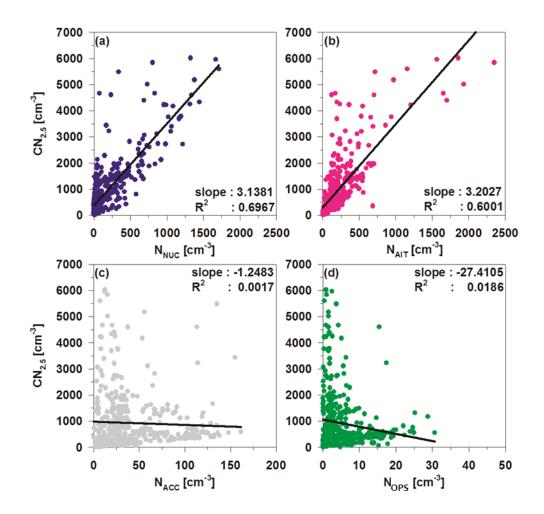


Figure S3. Correlations between (a) CN<sub>2.5</sub> and N<sub>NUC</sub>, (b) CN<sub>2.5</sub> and N<sub>AIT</sub>, (c) CN<sub>2.5</sub> and N<sub>ACC</sub>, and (d)
CN<sub>2.5</sub> and N<sub>OPS</sub> during the entire sampling periods

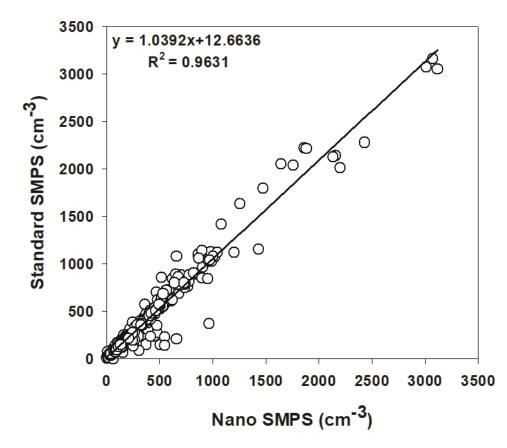


Figure S4. Comparisons of hourly average particle number concentrations in the 10 – 64 nm size range
measured with nano SMPS and standard SMPS

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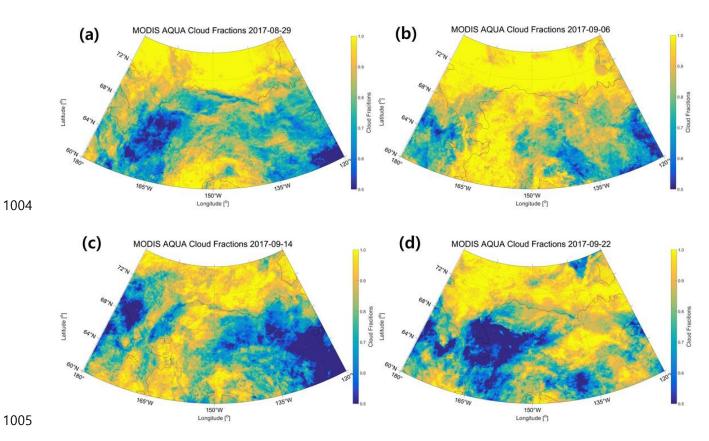
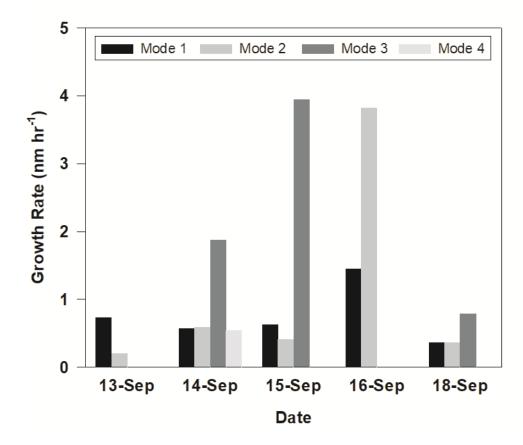


Figure S5. Weekly averaged liquid cloud fraction from Aqua/MODIS retrieval data for (a) week 1(8/29/2017-9/5/2017), (b) week 2 (9/6/2017-9/13/2017), week 3 (9/14/2017-9/21/2017), and week 4

1008 (9/22/2017-9/29/2017). Data were obtained from <u>https://neo.sci.gsfc.nasa.gov/</u>.



1011 Figure S6. Growth rate for the different mode particles. Mode 1 is the smallest mode and Mode 2 the1012 next smallest, etc.