

Reply to Anonymous Referee 1

We thank the reviewer for her/his helpful comments on the manuscript. In the following, reviewer's comments are in italics, authors' responses are in normal font. Numerous figures are shown in our response to illustrate our points but are not included in the revised manuscript.

1. General Comments.

As the role of moistening and drying effects of tropical storms on the UT, TTL, and LS are still under discussion this is a relevant and well suited topic for publication in ACP.

We thank the reviewer for the positive assessment.

The manuscript is well written, however the structure needs improvement. Data validation and interpretation are mixed up at places which makes the argumentation quite hard to follow. The number of figures could also be reduced. As discussed in detail below, the data presented are not sufficient to conclusively support several of the topics discussed in length in the manuscript. As a result I recommend to focus the discussion to the conclusively identified source-signature relations mentioned above and submit a revised and more focussed manuscript taking into account the comments below.

We agree that the structure of the paper needed improvement and that it could be shortened/more focussed. The number of figures has been reduced from 12 to 9 in the revised manuscript. We have changed the overall structure of the manuscript to better separate the description of observations/Lagrangian simulations and the discussion of the general results. We have restricted our analysis on essential results and shortened the data validation/interpretation as suggested by the referees.

2. Detailed Comments.

l.100: Apart from the CFH specifications the altitude dependent temperature measurement accuracy of the radiosonde must be given in order to judge the reliability of the relative humidity (RH) used later. Derivation and specifications of the measured vertical coordinate(s) should also be mentioned briefly.

The iMET-1-RSB has a temperature measurement uncertainty of 0.3°C, or 5% in RH, with an altitude independent bias of 0.5 ± 0.2 °C (Hurst et al., 2011). As for vertical coordinate, we use the geopotential height calculated from the iMet-1-RSB measurements of pressure, temperature and RH. Hurst et al. (2011) reported altitude-dependent differences of -0.1 to -0.2 km above 20 km between the geopotential altitudes derived from the Vaisala RS92 and Internet iMet-1-RSB sondes. This description has been added in section 2.1 (Balloon data) of the revised manuscript.

Structure of the Data/Results sections: I recommend to move all validations (instrument intercomparisons) and derivations of climatological differences to one dedicated section where they are derived and presented without discussing them along with interpretational details. The argumentation would be much easier to follow when giving/deriving temperature differences, water vapour intercomparisons, RH, differences to monthly mean MLS H₂O profiles first and then later discuss them all together with respect to the FLEXPART trajectory model results for the consecutive atmospheric layers and flights. Also I propose to discuss the possible CFH lower strat. dry bias on 3 March 2017 in this early section and just mention it later in the interpretation. In this last aspect it is also important to point out the reliability of the CFH results up into the UT supported by the good agreement with the lidar.

As suggested by the reviewer, we have reorganized the manuscript. The section that describes the FLEXPART model is now section 2.4. In section 3, TS Corentin and TC Enawo are described (Figure 1 of the revised manuscript), the mean convective cloud cover is presented (Figure 2) and the MLS water vapor mixing ratio gridded in the SWOOSH data set at 215&100hPa averaged over January 2016 and March 2017 (Figure 3).

In section 4, the water vapor and ozone profiles are described (section 4.1, Figure 4), the relative humidity and temperature profiles (section 4.2, Figure 5) and the Lagrangian analysis of air mass origin with FLEXPART (section 4.3, Figures 6 and 7). The MLS and CFH comparison is presented in section 5.1 (Figure 8) and temperature anomalies derived from the NDACC/SHADOZ radiosonde dataset are discussed in section 5.2 (Figure 5). Finally, the water vapor anomalies, derived from the MLS climatology are discussed in section 5.3 (Figure 9). The dry bias found in the lower stratosphere has been reduced by restricting the MLS profiles to those directly impacted by TC Enawo in the revised manuscript.

CFH-MLS intercomparison: The efforts to validate and intercompare the data employed for the study are very welcome! The few (6 and 10) MLS profiles selected employing the given criteria and presented in Fig.9 may, however, not be the best to compare to the CFH data since temporal and/or spatial vicinity alone doesn't really help. These single MLS profiles may very closely resemble the air masses probed by the CFHs on some altitudes but have very different origin for others. Therefore a low number of profiles will not be representative even when regarding the std.devs. I wonder if searching for matches for the measured air particles in the CFH profiles with previous or later MLS measurements in a given time window employing the Flexpart trajectories wouldn't do a much better job and provide at least a similar number of satellite measurements with less 'variability'.

As you suggested, we have used FLEXPART backward trajectories to better identify MLS coincident profiles for the comparison with the CFH measurements. We agree that some MLS profiles may very closely resemble the air masses probed by the CFHs on some altitudes but have very different origins for others. The map below shows the location and time of the 10 and 6 MLS profiles initially used for comparison with the CFH data on 25 January 2016 and 3 March 2017 respectively. These profiles correspond to match criteria of ± 18 h, ± 500 km North-South distance (around $\pm 5^\circ$ latitude), ± 1000 km East-West distance (around $\pm 10^\circ$ longitude).

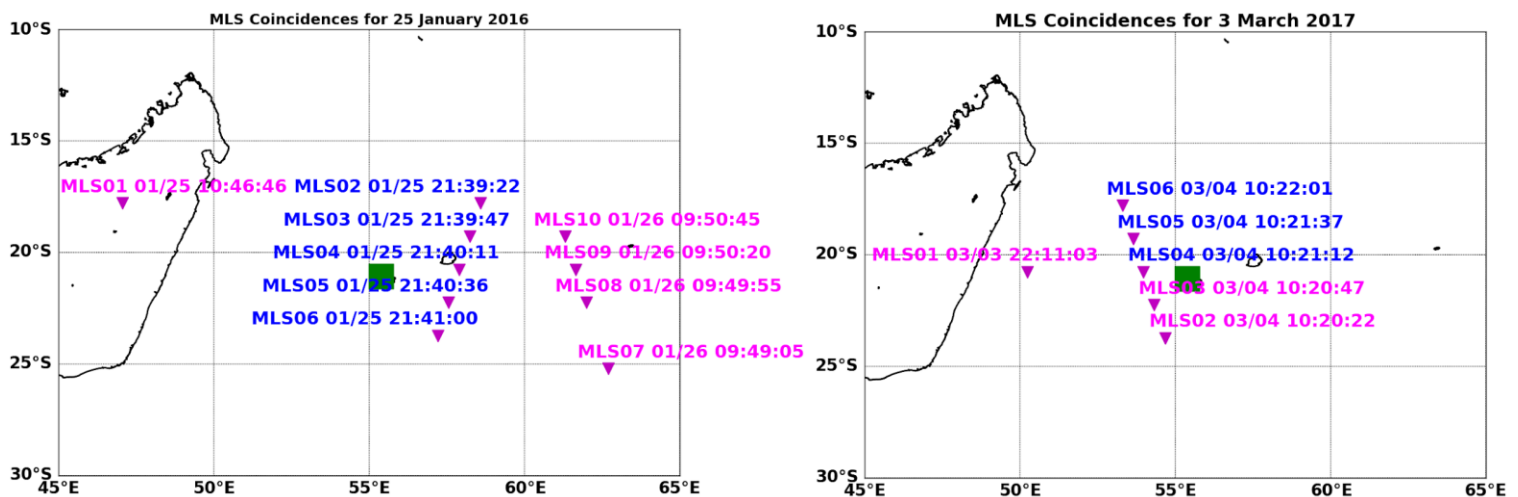


Figure 1: MLS coincident profiles on 25 January 2016 (left) and 3 March 2017 (right). The green square indicates the location of the Maïdo Observatory on Réunion Island.

We initialized FLEXPART backward trajectories for each of the locations shown on the map above and for each of the 19 MLS pressure levels between 316 and 10hPa. FLEXPART backward trajectories were also run from the location of the CFH measurements and similar pressure levels as MLS. This was done to ensure that we select MLS profiles that have the same origin as the air masses sampled by the CFH on 25 January 2016 and 3 March 2017.

FLEXPART can provide gridded output of the residence time (in seconds) as a function of latitude/longitude/altitude. We ran backward simulations over 1 week and the residence time field was reported on a regular latitude/longitude grid with $0.25^\circ \times 0.25^\circ$ resolution, 1 km vertical spacing from the surface to 25 km and every 3 hours. The gridded residence time can be summed in time and altitude to indicate the total time an air parcel resides at a given grid point for a given period/altitude range. For example, the residence summed over the altitude range 0-5 km will indicate how much time an air parcel stayed in the low troposphere. Figure 2, below, shows the 0-5 km residence time of 50,000 trajectories released at 178 hPa from 6 different locations which are the locations of the CFH on 25 January 2016 (upper left)/3 March 2017 (upper right), MLS profile on 25 Jan at 21:39UTC (so-called MLS03, middle left), MLS profile on 04 Mar at 10:21UTC (MLS 04, middle right), MLS profile on 26 Jan at 09:49UTC (MLS 07, bottom left) and MLS profile on 04 Mar at 10:20UTC (MLS03, bottom right). The red contours indicate residence time summed over 0-5km and 2 days before the launch. Thus, the pattern on each panel corresponds to the principal region of origin in the low troposphere for air parcels initially released at 178 UTC. We produce similar maps for all MLS locations (shown on Figure 1 above)/19 pressure levels and the CFH launch location/pressure levels. The residence time was summed over altitude layers 00-05km (low troposphere), 05-10km (middle troposphere) and 10-15km (upper troposphere) and a period of 2 days before the launch time. Thus, a total of $11 \times 19 \times 3 = 627$ and $7 \times 19 \times 3 = 399$ maps were

produced for 25 January 2016 and 3 March 2017 respectively but to illustrate our comparison we only show 6 maps of residence time on Figure 2. For each CFH launch, we then select coincident MLS profiles that have a common origin in the low troposphere as we are interested in convectively influenced profiles. For example, Figure 2 shows that MLS profile #3 (MLS03, 25/01 21:39UTC, middle left) at 178hPa has the same lower tropospheric origin as the 25 Jan 2016 CFH (upper left) while MLS profile #7 (MLS07, 26/01 09:49UTC, bottom left) has an origin further east of 60°E. For the CFH on 3 Mar 2017, MLS profile #4 has the same origin north of 15°S while MLS profile #3 comes from the south of 20°S.

By comparing several maps of MLS/CFH residence time, we further restricted our selection of coincident profiles: 5 for 25 January 2016 (MLS02, 03, 04, 05, 06 in blue on Figure 1) and 3 for 3 March 2017 (MLS04, 05, 06 in blue on Figure 1).

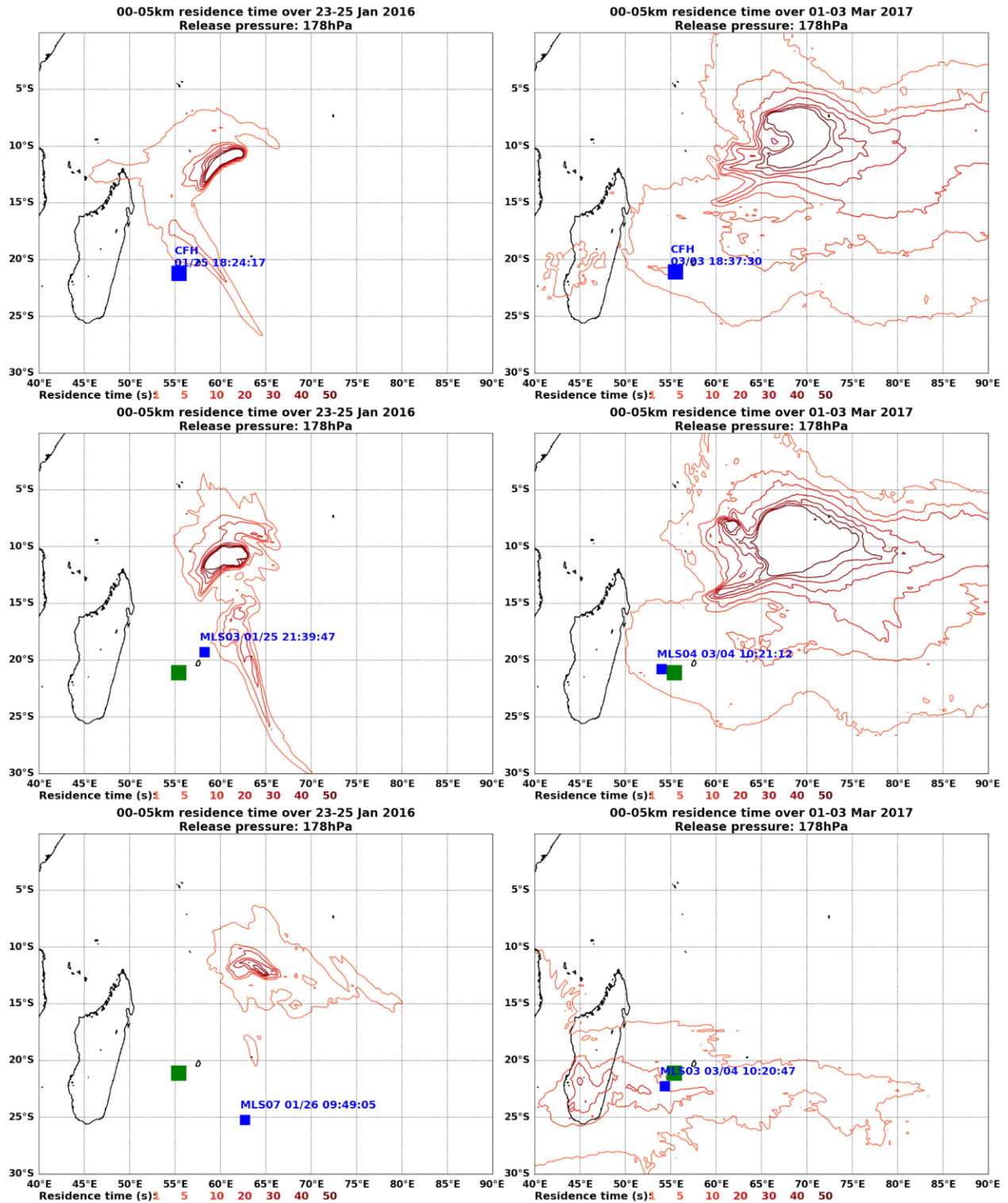


Figure 2: Total residence time in the low troposphere (0-5km) and over 2 days for CFH/MLS locations on 25/26 January 2016 (left column) and 3/4 March 2017 (right column). The residence time was computed using backtrajectories initialized at 178hPa. The green squares indicate the location of the Maïdo Observatory and the blue squares indicate the position of the MLS/CFH profiles.

On a related issue: The stirring provided by the storms generates a quite inhomogeneous atmosphere up into the TTL (Cairo et al. (2008) and references therein). The spot measurement by CFH may by just hitting a fresh tropospheric filament yield humidities much higher than any MLS point ever could, and the other way around. Employing the averaging kernel of MLS on such an in-situ profile in a very inhomogeneous atmosphere will with low probability yield a good comparison even with a good spatial match. This applies also to Fig.12 and the discussion. I think the significance of any features can only be discussed within an at least $3 \times \sigma$ uncertainty in mixing ratio differences (or relative difference, Fig. 12). Therefore some of the smaller features presented may be somewhat over interpreted in the lengthy discussions that at the end do not yield a firm conclusion (wherever there are 'mays'. These parts should be severely shortened or even cut out. Also for the intercomparison and discussions the selection of MLS profiles is somewhat intransparent, e.g. for Fig. 12. This procedure should be detailed.

Thank you for pointing out the Cairo et al. (2008) study. We have added this reference to the revised manuscript. As you said, differences in CFH and MLS coincident profiles (using the match criteria+FLEXPART trajectories) can still arise because of the mixing in the troposphere induced by the tropical cyclone. We have clarified this point in the description of Figure 8 of the revised manuscript (formerly Figure 9). Please see section 5.1 (CFH and MLS comparison). We have also significantly shortened the description of the differences, please see section 5. 1..

For the calculation of the monthly climatological average the proper way would be to exclude all MLS profiles which are probably affected by convection. Low UTLS ozone values could provide an indicator for those (e.g. Paulik and Birner, 2012).

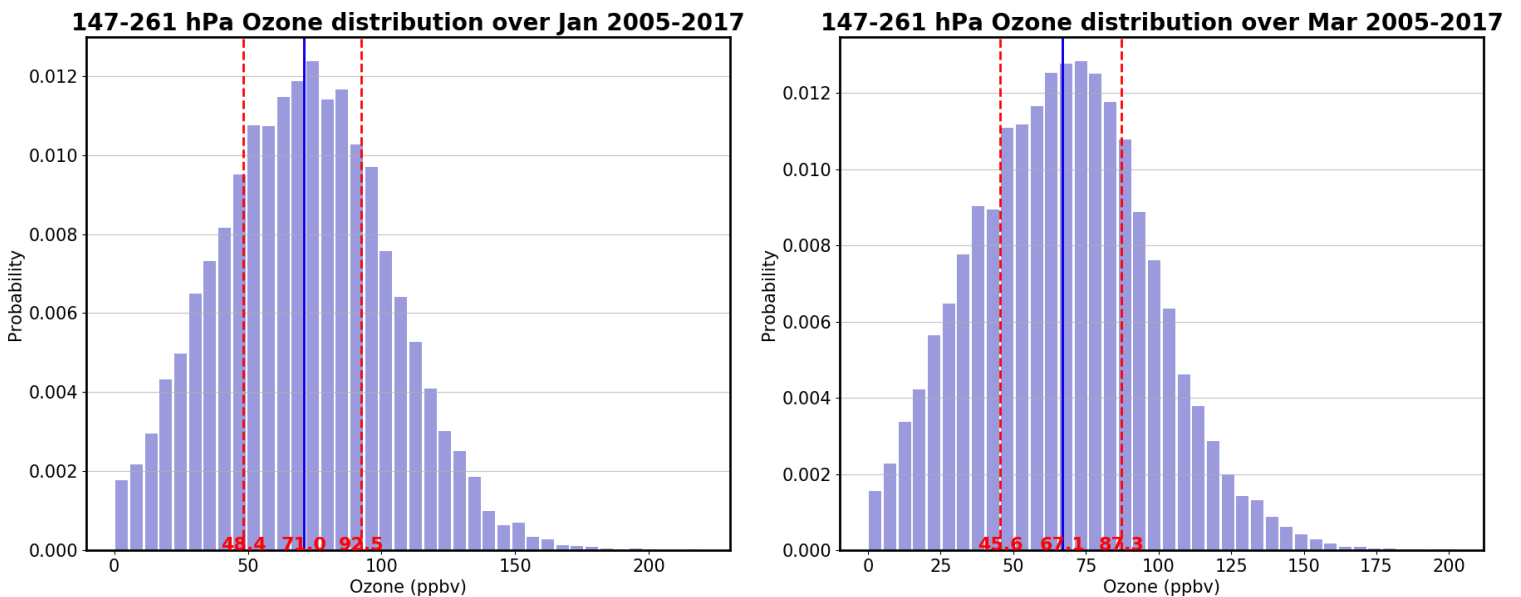


Figure 3: Upper troposphere (261 to 147 hPa) MLS ozone distribution for January 2005-2017 (left) and March 2005-2017 (right). The red numbers correspond to the mean and 25th/75th percentiles of the distribution.

Figure 3, above, shows the upper tropospheric distribution of MLS ozone for 10 January-9 February 2005-2017 and 16 February-18 March 2005-2017. We use the 25th&75th percentiles of the upper tropospheric ozone distribution to classify MLS ozone profiles as low-ozone (mean 261-147hPa Ozone < 25th percentile of the entire distribution), close to average ozone (25th percentile of the entire distribution < mean 261-147hPa Ozone < 75th percentile of the entire distribution) and high-ozone (mean 261-147hPa Ozone > 75th percentile of the entire distribution). As you suggested and as shown for example by Paulik and Birner (2012), low upper-tropospheric ozone is a sign of a convective influence. We have further classified the associated MLS water vapor profiles in 3 categories corresponding to the low, close to average and high upper-tropospheric ozone. A monthly climatological water vapor profile is computed for each category. By keeping the MLS water vapor profiles with ozone close to the average climatological value, we make sure that we select profiles that most likely weren't influenced by convection. The result is shown on Figure 4 below.

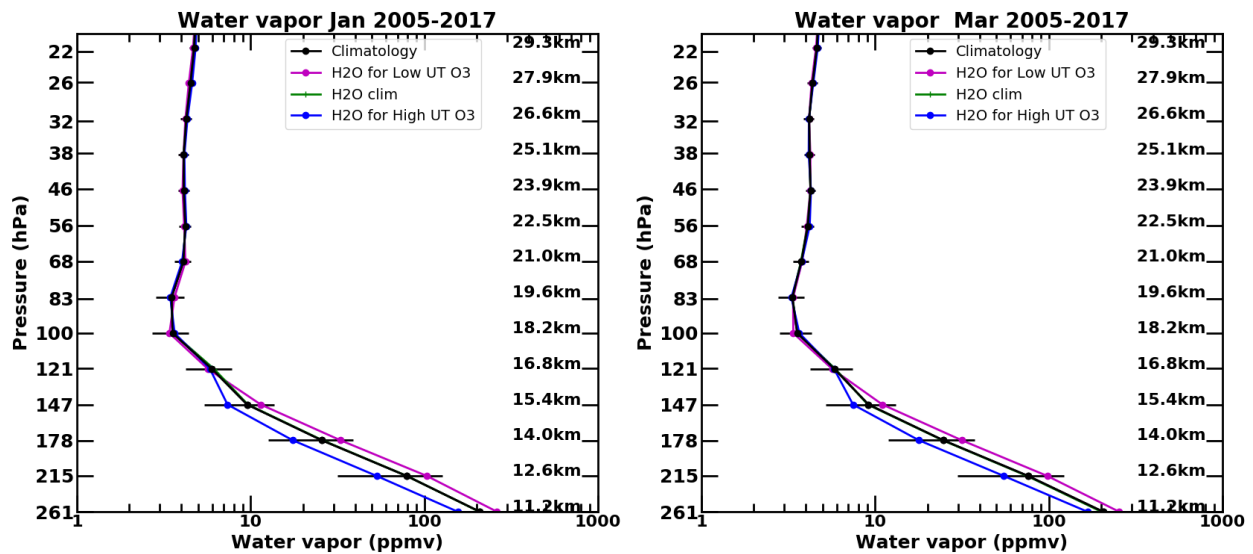


Figure 4: Monthly mean climatological MLS water vapor profile for Réunion Island for January (left) and March (right). On each plot, the 4 water vapor profiles correspond to low upper-tropospheric ozone (magenta), close to average upper-tropospheric ozone (green), high upper-tropospheric ozone (blue) and the climatological profile using all profiles (black).

The non-convective and monthly climatological MLS water vapor profile (using all profiles) look very similar (green and black curves on Figure 4, note that it is quite difficult to distinguish the two curves). Thus, the climatological MLS water profile using all profiles is used for comparison with the water vapor measurements on 25 January 2016 and 3 March 2017. This was added to the revised manuscript, section 5.3 (Water vapor anomaly).

Flexpart simulations: Why are the back trajectory studies for both flights limited to (or just only shown for?) the same two altitude layers? They are not particularly well chosen for either of the flights, e.g. for 25 Jan the 14-15km layer coincides with the crossover of ΔH_2O from moistening to drying in Fig.12. Why isn't a trajectory parcel initiated e.g. for each data point shown in Fig. 12. Then the percentage of trajectories originating from certain altitude regimes in the vicinity or away from the active storm influence regions could be shown. Figs. 10 and 11 present an interesting way of doing a visual analysis but this is extremely hard to relate to the observations. I think at least a proper adjustment of the analysis layers must be done/shown.

Figures 10&11 showing the FLEXPART back trajectories have been redone. They are now Figures 6&7 in the revised manuscript. We ran FLEXPART trajectories for all MLS pressure levels shown on Figures 8&9 of the revised manuscript. Trajectories (50,000) are initialized at the location of the Maïdo Observatory for each MLS pressure level between 316 and 10 hPa and run backward in time for two weeks. The positions of the back trajectories are outputted every 3 hours. In the revised manuscript, Figures 6&7 show the positions of trajectories 2 and 3 days before the CFH launch date, i.e. 25 January 2016 for Figure 6 and 3 March 2017 for Figure 7. We now show the positions of trajectories released at pressure levels 178 hPa (~12.6km, top panels of Figures 6&7) and 100 hPa (~16.8 km, bottom panels of Figures 6&7). The pressure level 178 hPa corresponds to layers L1 and L4 on Figures 4, 8&9 of the revised manuscript. These layers correspond to moistening/low ozone. The pressure level 100 hPa corresponds to layers L2 and L5 on Figures 4, 8&9 (25 January 2016 and 3 March 2017 respectively). They correspond to regions of drying. We did also produce maps of infrared brightness temperature/trajectories' locations for layer L3 (68 hPa/19.6) on 25 January 2016 but they are not included in the revised manuscript in order to keep the number of figures to a minimum.

As you suggested, we now include an estimate of convective influence for each of the 19 pressure levels between 316 hPa and 10 hPa. Each of the 50,000 trajectories released at a pressure level is examined for coincidence with deep convection. The infrared brightness temperature (IR BT) data from METEOSAT 7 are used as a proxy of deep convection and are interpolated along the trajectories. Hence, the change of IR BT can be studied along the trajectories. Air parcels are tagged as convectively influenced, when the IR BT observed by METEOSAT 7 along their back trajectories falls below 230 K (we also used thresholds of 210 and 230 K but the results are pretty similar). A second check is that the altitude along the back trajectories falls below 5 km, indicating a lower tropospheric origin.

Figure 5 shows an example of altitude/IR BT evolution as a function of time (backward) along a trajectory released at 178 hPa on 25 January 2016. The initial position in altitude is ~12.6 km and IR BT ~240K. After 25h of backtrajectory, both altitude and IR BT decrease. The air parcel experienced a rapid change in altitude (from ~12 to 1km) as the IR BT falls below 230K. Thus this trajectory can be tagged as convectively influenced. By applying the 230 K and 5 km criteria to all trajectories at a given pressure level, we can identify which trajectory is convectively influenced. The convective fraction of a layer is then defined as the ratio of the number of convectively influenced trajectories to the total number of trajectories (i.e. 50,000). It is shown on Figure 9 of the revised manuscript for both CFH flights (red line on Figure 9). The definition of convective fraction shows little sensitivity to the IR BT threshold used to identify deep convection (210, 220 or 230 K) as shown on Figure 6 below.

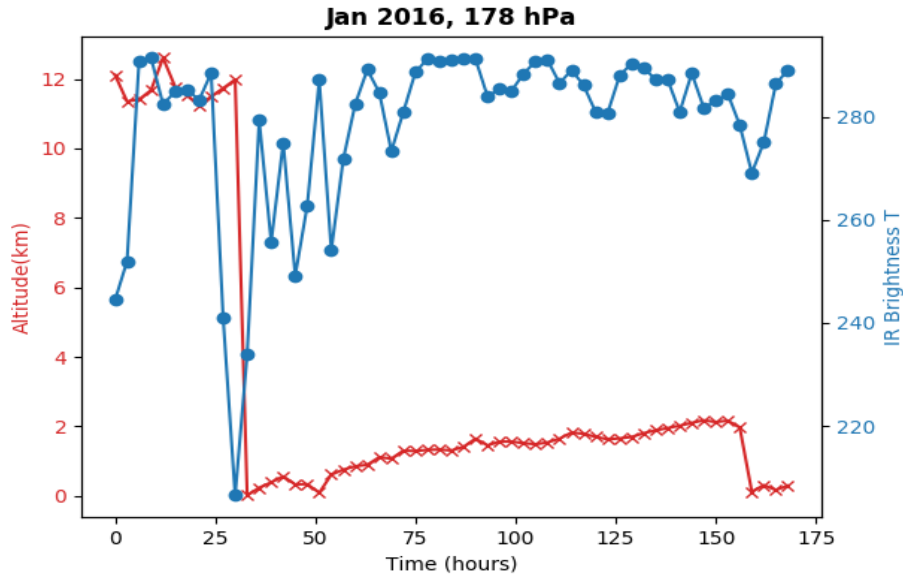


Figure 5: Example of altitude/Infrared brightness temperature along a backward trajectory released at 178 hPa on 25 January 2016 18UTC. Time (in hours) is relative to the beginning of the trajectory and the symbols indicate values every 3 hours.

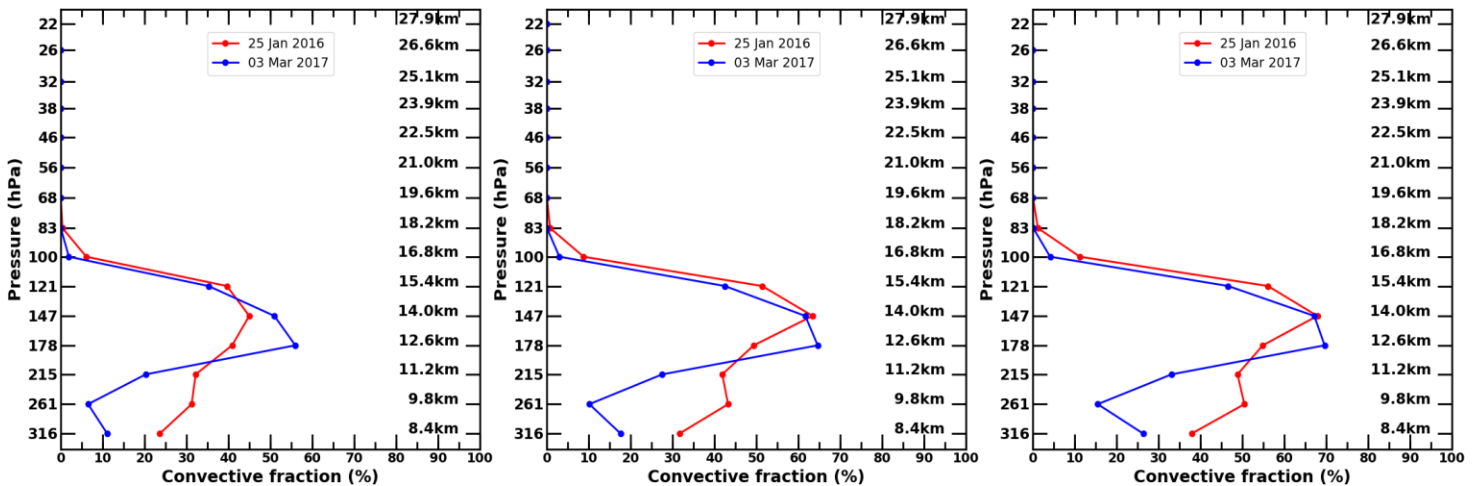


Figure 6: Sensitivity of the convective fraction to the IR BT threshold used to define deep convection: 210K (left), 220K (middle) and 230K (right).

l. 696ff: Since the need for accurate and spatially well resolved data on tropical storm events is stressed later in the summary I would like to recommend adding ozone sondes and possibly backscatter sondes to the CFH payloads for future measurements as deployed in e.g. Li et al. (2017). Inclusion of BS sondes would certainly be a major additional cost and weight factor (regarding that the instruments will be lost) but give very valuable information on the condensed water phase which here can only be approximated from the CALIPSO tracks. However, also regarding the major efforts in flight planning, ozone sondes would offer a very cost effective option yielding really parallel profiles which would help to better identify air mass origins. Here independent ozone profiles from sondes launched on different dates are utilized which is generally a good add-on but can't replace parallel ozone measurements for the interpretation of observed signatures (in absence of other tracer information). E.g. RH shows a very structured profile which is obviously driven by the temperature profile for 25.Jan.2016, however the RH structure on 03.Mar.2017 is not as obvious from the observed temperature (Fig.7). Here an online ozone profile would be extremely valuable to discriminate on air mass origin signatures. The 4.Feb. ozone profile nicely shows the remaining signatures of Corentin in contrast to 18.Jan. but is useless to discuss detailed dynamical features of the H2O profile.

We agree that adding an ozonesonde and a backscatter sonde to the CFH payload is important to better describe the effect of cirrus clouds/deep convection on the TTL over the SWIO. Initial CFH payloads did not include an ECC ozonesonde and backscatter sonde due to the cost. However, there is an ongoing project funded by the French National Research Agency (ANR) in October 2017 to study the effects of convection and cirrus clouds on the Tropical Tropopause Layer over the Indian Ocean (the CONCIERTO project:

https://anr.fr/en/funded-projects-and-impact/funded-projects/project/funded/project/b2d9d3668f92a3b9fbbf7866072501ef-3a188e016e/?tx_anrprojects_funded%5Bcontroller%5D=Funded&cHash=975f599a3b6d31bf21638b8272c285db)

This project aims to further our understanding of deep convection and cirrus clouds and how they affect the TTL over the Indian Ocean. As you know, balloon sondes, a cost-effective mean to study TTL processes compared to high-altitude aircraft that can reach the TTL, reveal fine-scale features that are below the vertical resolution of satellite sounding systems. The CONCIERTO project has funded coincidental high-resolution balloon in situ measurements of water vapor (CFH), ozone (ECC ozonesonde), aerosol (COBALD: Compact Optical Backscatter Aerosol Detector, e.g. Brabec et al., 2012; Brunamonti et al., 2018)/ice particles with a Doppler polarimetric cloud radar observations at the Maïdo Observatory. A total of 10 soundings with CFH/COBALD/ECC ozonesondes were performed in Austral Summers 2018/2019/2020 to target convective events (e.g. tropical cyclones) and cirrus clouds. The results of the CONCIERTO project will be the subject of a subsequent study.

3. Figures.

Fig.1: I think it would be worthwhile to also show the actual flight tracks of the CFH sondes.

We modified Figure 1 following the recommendation of Referee #2. It now shows in addition to the TS Corentin and TC Enawo's best tracks/METEOSAT7 Infrared Brightness temperature, the CALIPSO's tracks on 25 January 2016 and 3 March 2017 (yellow lines) and the ECMWF winds&geopotential heights at 150 hPa. We did try to include the flight tracks of the CFH sondes but because of the scale of the plot, they appeared as a point on Figure 1. Adding an extra figure with a zoom on Réunion Island to only show the CFH flight tracks would increase the number of figures in the manuscript.

Fig.2: This figure is trivial and could be dropped. The explanation given in the text is sufficient. The nested region could still be given by a rectangle in Fig.1.

The figure has been removed in the revised manuscript, instead the nested region is defined in the text.

Figs.3+4: The climatological fields in the top panels of Figures 3 and 4 are not really needed as the respective climatologies for the actual profiles are given and discussed later on. The bottom panels are useful giving insight into the monthly mean situations at UT and TTL levels and could be combined into one figure then.

Figures 3&4 have been combined to produce Figure Figure 3 in the revised manuscript.

Fig.5: It might be useful to indicate (shade) the most important signatures/layers and name them to help in the discussion (possibly also in other related figures...). Caption: Is the std. dev. shown Isigma? Please specify.

Important layers have been shaded and named on Figures 4/8&9.

Fig 6.: It would be sufficient to show the CALIPSO track only up to 22-23S (this would enable to blow up the figure in the vertical). Please align the vertical axes on left and right panels, possibly also show the CPT as a line on the left panels!

Figures 6&7 have been modified accordingly to produce Figure 5 in the revised manuscript.

Fig.7: The upper panels do not give essential additional information over the text and the derived temperature differences to the climatology could be integrated into the left panels of Fig.6.

The figure has been combined with Figure 6 to produce Figure 5 in the revised manuscript

Figs. 10.+11.: See general comment above ...

They are now Figures 6&7 in the revised manuscript, please see our response to the comment “FLEXPART simulations” above.

4. Typos

All typos have been corrected.

References:

Brabec, M., Wienhold, F. G., Luo, B. P., Vömel, H., Immler, F., Steiner, P., Hausammann, E., Weers, U., and Peter, T.: Particle backscatter and relative humidity measured across cirrus clouds and comparison with microphysical cirrus modelling, *Atmos. Chem. Phys.*, 12, 9135–9148, <https://doi.org/10.5194/acp-12-9135-2012>, 2012.

Brunamonti, S., Jorge, T., Oelsner, P., Hanumanthu, S., Singh, B. B., Kumar, K. R., Sonbawne, S., Meier, S., Singh, D., Wienhold, F. G., Luo, B. P., Boettcher, M., Poltera, Y., Jauhiainen, H., Kayastha, R., Karmacharya, J., Dirksen, R., Naja, M., Rex, M., Fadnavis, S., and Peter, T.: Balloon-borne measurements of temperature, water vapor, ozone and aerosol backscatter on the southern slopes of the Himalayas during StratoClim 2016–2017, *Atmos. Chem. Phys.*, 18, 15937–15957, <https://doi.org/10.5194/acp-18-15937-2018>, 2018.

Reply to Anonymous Referee 2

We thank the reviewer for her/his helpful comments on the manuscript. In the following, reviewer's comments are in italics, authors' responses are in normal font.

General comments:

The paper analyses the impact of two case studies of tropical cyclones (Corentin and Enawo) over the Indian Ocean on the TTL composition, with a particular focus on the water vapor consequent anomalies. In both cases, the authors identified positive anomalies of water vapor in the upper troposphere that could be traced back to the convective activities linked with the tropical cyclones. In the Corentin case the balloon launch revealed also a dry layer around 100hPa and a wet anomaly at 68 hPa (linked to transport of wet El Nino influenced-air from the South East Indian Ocean) while the second balloon launch did not reveal any significant perturbation near or above the tropopause from the tropical cyclone Enawo. The paper presents a detailed description of the hydration/dehydration impact of the two events making use a variety of observations and trajectory studies and gives a comprehensive analysis of the possible contributing processes. I agree on the publication of the paper in ACP with some revisions required.

We thank the reviewer for the positive assessment.

One main concern is on the structure of the paper that, at the present state, makes it very difficult to follow the logic of the work. The paper in fact is made of several long and detailed sections that sometimes are missing a clear statement on which is the main information to retain. The sections are therefore hard to connect and this is also made more difficult by their organization itself. I would advise to put in an uninterrupted sequence all the sections regarding the profile measurements for the two events, including the FLEXPART study (that is indeed supporting the analysis and is instead put toward the end of the paper). The monthly and climatological water vapor distributions and the CFH and MLS comparison can be on separated sections or moved elsewhere in a way to not interrupt the logic of the two events analysis. Some sections are very long as well, like the 4.4. that puts together the RHice analysis, the temperature anomalies and the distribution of deep convective clouds; it may be worth to separate them in subsections.

In response to your comment and reviewer #1's, we have modified the order of the sections in the revised manuscript, and the length of the sections have been reduced. The section that describes the FLEXPART model is now section 2.4.

In section 3, TS Corentin and TC Enawo are described (Figure 1 of the revised manuscript), the mean convective cloud cover is presented (Figure 2) and the MLS water vapor mixing ratio gridded in the SWOOSH data set at 215&100hPa averaged over January 2016 and March 2017 (Figure 3).

In section 4, the water vapor and ozone profiles are described (section 4.1, Figure 4), the relative humidity and temperature profiles (section 4.2, Figure 5) and the Lagrangian analysis of air mass origin with FLEXPART (section 4.3, Figures 6 and 7). The MLS and CFH comparison is presented in section 5.1 (Figure 8) and temperature anomalies derived from the NDACC/SHADOZ radiosonde dataset are discussed in section 5.2 (Figure 5). Finally, the water vapor anomalies, derived from the MLS climatology are discussed in section 5.3 (Figure 9).

The abstract is lacking a highlight on the scientific impact from the main findings. What can we conclude on the TTL hydration by deep convection from the two events analysis?

We added a comment at the end that explains that the paper demonstrates the need for accurate balloon-borne measurements of water vapor/ozone/aerosols in regions where TTL in-situ observations are sparse.

In addition, I found that the figures are often not referenced when due, and that implies an extra effort for the reader to figure out which panels or which figure the statements are referring to. I would advise to check in the data description paragraphs and add a precise reference to the plot (and panel) that is being described.

The figures are now properly referenced.

Specific comments:

Line 54 page 2: can you add a few references?

We have added three references: Toon et al., 2010; Jensen et al., 2017; Brunamonti et al., 2018.

Line 107 page 4: Do you identify the convection from the Lagrangian forecasting tool in the forecast mode in the same way as explained later for the analysis? How do you use the meteosat-7 information (that are in the “past”) for the forecast of the storm position?

We have added the following comments: During austral summer, balloon launch planning is optimized using a Lagrangian forecasting tool. 5-day backward Lagrangian trajectories initialized from the location of the Maïdo Observatory at different altitudes (9.5, 12.5, 15.5 and 18 km) are run twice-daily and superimposed on current geostationary infrared satellite images to identify on-going convection over the SWIO (<http://geosur.univ-reunion.fr/foot>).

Figure1 and/or line 202 page 7: Can you give a brief definition of what you mean by “best track”?

The best track represents the best guess of the location of the tropical cyclone center every 6 hours. This comment was added in the revised manuscript.

Line 204 page 8: How do you get the pressure at the TS center?

The pressure at the tropical cyclone’s center was provided in the back track data provided by Météo-France for the SWIO. Basically, the pressure at the storm center is derived from an empirical relationship between maximum surface wind speed and pressure. The surface wind speed is estimated from satellite scatterometer data.

Line 242 page 8: You should rephrase here. Looking to the upper left panel of Figure 3 and the lower left one (January 2016) the mixing ratios do not really seem in agreement, with differences in the whole longitude band between 50 and 150 E.

In the corrected manuscript, those figures are no longer present.

Line 257 page 9: Add reference to the panels you are comparing. If you are talking about the two upper panels this difference of 0.43 ppmv is not visible (as instead between the two lower panels). How do you compute this difference?

In the corrected manuscript, those figures are no longer present. The text has been modified accordingly.

Line 263 page 10: Why are you specifically mentioning here just December 2015? Is it because it corresponds to the maximum anomalies in water vapor?

Yes, December 2015 has the maximum anomaly. We have added this information in the revised version.

Lines 282-284 page 10: This statement is not very convincing. Can we really say that the 9-14 km layer is a moist one when the observations show only a small peak around 10 km?

We have corrected the text, and mention a peak at 10km and 15km.

Line 320 page 12: I would really help to have a plot of the brightness temperature with the CALIOP track and the wind direction / geopotential to show the mean circulation pattern, same for the March case.

The figure has been modified in the revised manuscript.

Lines 505-508 page 18: The sentence, as is presented now, is not really giving an indication on the capability of the trajectory method in the convective origin study. Do you have some references indicating the quality of ECMWF 0.15x0.15 analysis in resolving vertical velocities for tropical cyclones? Also, I think this paragraph is better fitting in the method presentation of section 3.

Recent improvements of the ECMWF IFS model have enhanced its forecasting skills of tropical cyclones (Magnusson et al., 2019). We have added this information in the revised manuscript, and the location of this paragraph has been modified.

Lines 577 – 579 page 20: This sentence is confusing. Do you mean the difference averaged between 316 and 261 hPa is -20% for both days and for both CFH and MLS mean? That does not seem correct.

We removed this discussion in the revised manuscript.

Line 650 page 22: “The QBO easterlies can be observed at 70 hPa” ...from here?

This sentence has been removed.

Technical corrections:

Line 15 page 1: It's worth to specify also in the abstract what CFH stands for.

We removed the term CFH from the abstract and refer simply to balloon-borne measurements.

Line 57 page 2: the upper 700m of what, the sea surface?

700m of the ocean. The sentence has been corrected.

Line 58 page 2 : .. that convection deeper than 15 km...

Corrected

Line 156 page 6: Do you mean: "...cross section. More details are given in the CALIOP Algorithm ..."?

Corrected

Line 229 page 8: Latitude / longitude grid (5°x20° resolution)

Corrected

Line 230 page 8: at 215 hPa (figure 3) and 100 hPa (figure 4) for January 2016 (lower left panel) as March 2017 (lower right panel)

Figure 3 and 4 are merged in a single figure in the revised manuscript.

Line 269 Page 10: The red and purple lines...

Corrected

Lines 340-341 page 12: This is one example of a needed figure reference. Does it refer to figure 7?

Yes. The figure is now properly referenced.

Line 354 page 12: reference to bottom left panel of figure 7?

Yes. The figure is now properly referenced.

Line 650 page 22: ...the impact OF the 2016 strong ..

Corrected

Figure1: The green star on the grey background is not very easy to spot! Similarly for the dates label that are black and with a small font.

The figure has been modified in the revised version

Figure 5: Please, add a legend for the lines, it will ease the reading of the plot.

A legend has been added

Figure 10: The so called "brown dots" are difficult to distinguish from the red ones. The light pink is instead not very visible.

The figure has been modified

Figure 11: The panels notation must be homogeneous. I would suggest indeed to reference the panels with letters, as done here, since it makes the reading more fluid. Same thing with the label "-1 day" "-2 days" that are missing on figure 10.

The panels notation have been corrected

Reference:

Magnusson, L., J. Bidlot, M. Bonavita, A.R. Brown, P.A. Browne, G. De Chiara, M. Dahoui, S.T. Lang, T. McNally, K.S. Mogensen, F. Pappenberger, F. Prates, F. Rabier, D.S. Richardson, F. Vitart, and S. Malardel, 2019: ECMWF Activities for Improved Hurricane Forecasts. *Bull. Amer. Meteor. Soc.*, 100, 445-458, <https://doi.org/10.1175/BAMS-D-18-0044.1>

Effect of deep convection on the TTL composition over the Southwest Indian Ocean during austral summer.

Stephanie Evan¹, Jerome Brioude¹, Karen H. Rosenlof², Sean. M. Davis², Hölger Vömel³, Damien Héron¹, Françoise Posny¹, Jean-Marc Metzger⁴, Valentin Duflo^{1,4}, Guillaume Payen⁴, Hélène Vérémes¹,
5 Philippe Keckhut⁵, and Jean-Pierre Cammas^{1,4}

¹Laboratoire de l'Atmosphère et des Cyclones, UMR8105 (CNRS, Université de La Réunion, Météo-France), Saint-Denis de la Réunion, 97490, France

²Chemical Sciences Division, Earth System Research Laboratory, NOAA, Boulder, 80305, CO, USA

³National Center for Atmospheric Research, Boulder, 80301, CO, USA

10 ⁴Observatoire des Sciences de l'Univers de La Réunion, UMS3365 (CNRS, Université de La Réunion), Saint-Denis de la Réunion, 97490, France

⁵Laboratoire Atmosphères, Milieux, Observations Spatiales-IPSL UMR8190 (UVSQ Université Paris-Saclay, Sorbonne Université, CNRS), Guyancourt, 78280, France

Correspondence to: Stephanie Evan (stephanie.evan@univ-reunion.fr)

15 **Abstract.** **Balloon-borne measurements** of water vapor, ozone and temperature and water vapor lidar measurements from the Maïdo Observatory at Réunion Island in the Southwest Indian Ocean (SWIO) were used to study tropical cyclones' influence on Tropical Tropopause Layer (TTL) composition. The balloon launches were specifically planned using a Lagrangian model and METEOSAT 7 infrared images to sample the convective outflow from Tropical Storm (TS) Corentin on 25 January 2016 and Tropical Cyclone (TC) Enawo on 3 March 2017.

20 **Comparing balloon measurements to satellite monthly climatologies**, water vapor anomalies were identified. Positive anomalies of water vapor and temperature, and negative anomalies of ozone between 12 and 15 km in altitude (247 to 121hPa) originated from convectively active regions of TS Corentin and TC Enawo, one day before the planned balloon launches, according to the Lagrangian trajectories.

25 Near the tropopause region, air masses on 25 January 2016 were anomalously dry around 100hPa and were traced back to the TS Corentin active convective region where cirrus clouds and deep convective clouds may have dried the layer. An anomalously wet layer around 68 hPa was traced back to the Southeast Indian Ocean where a monthly water vapor anomaly of 0.5ppmv was observed. In contrast, no water vapor anomaly was found near or above the tropopause region on 3 March 2017 over Maïdo as the tropopause region was not downwind of TC Enawo. This study compares and contrasts the impact of two tropical cyclones on the humidification of the TTL over the **SWIO. It also demonstrates the need for accurate balloon-**

30 borne measurements of water vapor/ozone/aerosols in regions where TTL in situ observations are sparse.

1 Introduction

Deep convection plays an important role in delivering water and other chemical constituents to the Tropical Tropopause Layer (TTL, ~14-19 km altitude, Fueglistaler et al., 2009) and lower stratosphere regions. Two important pathways for trace gas transport from the surface to the tropical stratosphere are i) deep convective injection directly into the stratosphere (Danielsen, 1982; Dessler and Sherwood, 2003), ii) convective detrainment into the TTL followed by a slow ascent into the stratosphere (Holton and Gettelman, 2001). Moist boundary layer air is transported to the upper troposphere by deep convection with the main outflow region at about 13 km (Folkens and Martin, 2005). However very deep convection may overshoot the tropopause (~17km), injecting water vapor and ice crystals directly into the stratosphere (Corti et al., 2008; Avery et al., 2017). Studies based on Eulerian cloud resolving models have shown that those overshoots can moisten the lower stratosphere through evaporation of ice crystals (Dauhut et al., 2015; Frey et al., 2015). However, convection can also cool the cold point tropopause (CPT) (Kuang and Bretherton, 2004), which can enhance dehydration via in-situ formation of cirrus clouds. In fact, the net impact of deep convection on TTL humidity (e.g. moistening versus dehydration) depends on the initial pre-convection TTL relative humidity with respect to ice (RH_i) and the size of the ice crystals formed in the convective updrafts (Jensen et al., 2007; Ueyama et al., 2018). In sub-saturated TTL air, condensed ice is not removed quickly enough to produce net dehydration. Recent studies based on Lagrangian models (Schoeberl et al., 2014, Ueyama et al., 2015) that include convection and ice microphysics show that convection impacts TTL cirrus clouds and increases water vapor near the tropical tropopause by 10-30% (~1 ppmv). Therefore, they concluded that convection significantly contributes to the moisture budget of the TTL and must be included to fully model the dynamics and chemistry of the TTL and lower stratosphere.

As the exact role of convection in hydrating/dehydrating the stratosphere is still under debate, additional accurate TTL observations and modeling work are still needed to quantify the overall impact of convection on TTL composition and climate. At the moment, a realistic representation of deep convection and its effects remains a challenge for most global scale climate models and numerical weather prediction models (NWP).

Our understanding of how deep convection controls TTL humidity and composition, to a large extent, results from experiments in South America, the Western Pacific and Southeast Asia (e.g. Toon et al., 2010; Jensen et al., 2017; Brunamonti et al., 2018). The role of the Indian Ocean (IO) in the global climate system is less understood than that of the Pacific Ocean, which has been more intensively observed and studied.

The tropical IO has seen an unprecedented rise in heat content and is now home to 70% of the global ocean heat gain in the

upper 700 m of the ocean during the past decade (Lee et al., 2015). Liu and Zipser (2015) showed using radar observations from the Global Precipitation Measurement (GPM) satellite that deep convection reaching above 15 km (Figure 1 of Liu and Zipser, 2015) can occur over the South IO with dozens of systems topping out above 17 km. These systems are likely tropical cyclones over the SWIO or thunderstorms commonly observed over Madagascar during austral summer (Roca et al., 2002; Bovalo et al.; 2012).

Tropical cyclones are unique among tropical and subtropical convective systems in that they persist for many days and hydrate a deep layer of the surrounding upper troposphere (Ray and Rosenlof, 2007). Ray and Rosenlof (2007) used measurements from AIRS to assess the impact of tropical cyclones in the Atlantic and Pacific basins on the amount of water vapor in the tropical UT. They showed that tropical cyclones can hydrate a deep layer of the surrounding upper troposphere by ~30-50 ppmv or more within 500 km of the eye compared to the surrounding average water vapor mixing ratios. In addition, a modelling study by Allison et al. (2018) of TC Ingrid (2013) in the Gulf of Mexico indicated overshooting convection within the cyclone and associated strong vertical motions that transported large quantities of vapor and ice to the lower stratosphere.

Using 11-year TRMM precipitation satellite observations, Tao and Jiang (2013) identified overshooting tops in tropical cyclones (above 14 km) and showed that the South IO is the second basin after the Northwest Pacific in terms of total number of overshooting tops (cf. Table 2 of Tao and Jiang, 2013). Even though convection occurs predominantly over land in the tropics, overshooting convection in tropical cyclones contributes ~15% of the total convection reaching the tropopause (Romps and Kuang, 2009).

The location of Réunion Island (21°S, 55°E) is ideal to study tropical cyclone effects on TTL composition. Réunion Island was formally designated as a Regional Specialized Meteorological Centre (RSMC) - Tropical Cyclones for the Southwestern Indian Ocean (SWIO, 0-40°S, 30-100°E) by the World Meteorological Organization (WMO) in 1993. The RSMC Réunion Island is responsible for the monitoring of all the tropical systems occurring over its area of responsibility. The SWIO is the third most active tropical cyclone basin with an average of 9.3 tropical storms with maximum sustained winds ≥ 63 km/h forming each year (Neumann, 1993). In the SWIO basin, a storm system is called a tropical cyclone when wind speeds exceed 118 km/h.

We take advantage of the position of Réunion Island in the SWIO to study tropical cyclones' influence on TTL composition (water vapor/ozone) during austral summers 2016 and 2017. Austral summer (Nov-March) is the ideal time to sample convective outflow from tropical cyclones or mesoscale convective systems forming near Madagascar.

The present work is organized as follows. Section 2 has a description of the data used in this study. Section 3 presents the model used to infer the convective origin of the measurements. Section 4 presents the water vapor/ozone distributions over

Réunion Island during the two storm events and thermodynamics of the troposphere and TTL. Section 5 discusses the convective influence on the measurements as inferred from an analysis of Lagrangian trajectories. The results are discussed in Section 6. Section 7 contains a summary of our study.

90 2 Data

2.1 Balloon data

Balloon-borne measurements of water vapor and temperature in coordination with ground-based instrumentation (lidars) started in 2014 at the Maïdo Observatory (21.08°S, 55.38°E) within the framework of the Global Climate Observing System (GCOS) Reference Upper-Air Network (GRUAN) network (Bodeker et al., 2015). The balloon sonde payload consists of the
95 Cryogenic Frostpoint Hygrometer (CFH) and the Internet iMet-1-RSB radiosonde for data transmission. The iMet-1-RSB radiosonde provides measurements of pressure, temperature, Relative Humidity (RH) and wind data (speed and direction from which zonal and meridional winds are derived). **The iMET-1-RSB has a temperature measurement uncertainty of 0.3°C, or 5% in RH, with an altitude independent bias of 0.5 ± 0.2 °C (Hurst et al., 2011). For the vertical coordinate, we use the geopotential height calculated from the iMet-1-RSB measurements of pressure, temperature and RH. Hurst et al. (2011) reported altitude-dependent differences of -0.1 to -0.2 km above 20 km between the geopotential altitudes derived from the**
100 **Vaisala RS92 and Internet iMet-1-RSB sondes.** The CFH was developed to provide highly accurate water vapor measurements in the TTL and stratosphere where the water vapor mixing ratios are extremely low (~2 ppmv). CFH mixing ratio measurement uncertainty ranges from 5% in the tropical lower troposphere to less than 10% in the stratosphere (Vömel et al., 2007); a recent study shows that the uncertainty in the stratosphere can be as low as 2-3% (Vömel et al., 2016). The CFH and iMet-1-RSB
105 measurements have high vertical resolution (5-10m) and are binned in altitude intervals of 200 m to reduce measurement noise. Here we present CFH measurements (water vapor mixing ratio and Relative Humidity with respect to ice, RH_{ice}) from 2 soundings performed in austral summers 2016 and 2017, when deep convection was active near Réunion Island (tropical cyclones Corentin and Enawo, cf. Figure 1). During austral summer, balloon launch planning is optimized using a Lagrangian forecasting tool. **5-day backward Lagrangian trajectories initialized from the location of the Maïdo Observatory at different**
110 **altitudes (9.5, 12.5, 15.5 and 18 km) are run twice-daily and superimposed on current geostationary infrared satellite images to identify on-going convection over the SWIO (<http://geosur.univ-reunion.fr/foot>).** This allows the identification of air masses with a convective origin that can be measured at the Observatory, thereby maximizing local resources by only measuring when convectively influenced air masses will be sampled.

In addition to CFH measurements at the Observatory, weekly Network for the Detection of Atmospheric Composition Change

115 (NDACC)/Southern Hemisphere ADditional OZonesondes (SHADOZ) ozonesondes (Thompson et al., 2003; Witte et al., 2017) are launched from the airport (Gillot: 21.06°S, 55.48°E), located on the north side of the island (~20km North/Northeast of Maïdo Observatory). The ozonesonde is flown with a Meteomodem M10 radiosonde that provides meteorological variables such as temperature, pressure, relative humidity and winds. In this study, the NDACC/SHADOZ ozone and temperature measurements are reported in 200 m altitude bins.

120 **2.2 Water vapor lidar data**

A Raman water vapor lidar emitting at 355 nm has been operating at the Maïdo Observatory since April 2013 (Baray et al., 2013; Keckhut et al., 2015; Vérèmes et al., 2019). Laser pulses are generated by two Quanta Ray Nd:Yag lasers, the geometry for transmitter and receiver is coaxial and the backscattered signal is collected by a Newtonian telescope with a primary mirror of 1200 mm diameter. 387 nm (N₂) and 407 nm (H₂O) Raman shifted wavelengths are used to retrieve the water vapor mixing ratio. Depending on the scientific investigations, specific filter points and integration times can be chosen. The raw vertical resolution is 15 m. Data are smoothed with a low-pass filter using a Blackman window. Based on the number of points used for this filter to vertically average the data, the vertical resolutions are 100-200 m in the lowest layers, 500 m in the mid-troposphere, 600 m in the upper troposphere and 700-750 m in the lower stratosphere. In order to convert the backscattered radiation profiles into water vapor mixing ratio profiles, the calibration coefficient is calculated from water vapor column ancillary data: GNSS (Global Navigation Satellite System) IWV (Integrated Water Vapor). The description of the calibration method and the total uncertainty budget can be found in Vérèmes et al. (2019).

At the Maïdo Observatory, the lidar provides 4 to 8 water vapor profiles per month. The calibrated lidar water vapor database extends from November 2013 to December 2017. The time slot for routine operations is 19:00 to 01:00 (+1) local time but there are intensive periods of observation during field campaigns that allow longer measuring span. The Raman lidar water vapor observations were validated during the Maïdo ObservatoRy Gaz and Aerosols NDACC Experiment (MORGANE) intercomparison exercise in May 2015 (Vérèmes et al., 2019). During the MORGANE campaign, CFH radiosonde and Raman lidar profiles showed mean differences smaller than 9 % up to 22 km asl.

Here we used the Raman lidar measurements over two nights when the CFH sondes were launched at the Observatory (25 January 2016 and 3 March 2017). The lidar water vapor profiles correspond to an integration time of 239 min and 184 min for the nights of 25 January 2016 and 3 March 2017 respectively. The lidar water vapor profiles are interpolated to the same 200-m vertical grid used for the CFH data and are shown up to 14.5 km. The mean lidar uncertainties for the troposphere below this level are 10.5% and 8.7% for 25 January 2016 and 3 March 2017 respectively.

2.3 Satellite data

The brightness temperatures of the infrared (IR) channel at 10.8 μm of the geostationary weather satellite METEOSAT-7 have

145 been used to provide the regional characteristics of deep convection over the Indian Ocean. The satellite located at 57.5°E provided images for the Indian Ocean from December 2005 to March 2017.

Aura Microwave Limb Sounder (MLS) v4.2 water vapor and ozone data were included in the study to compare with the in situ measurements and to evaluate the spatial extent of the convective air masses measured at the Observatory. In particular we have used water vapor from the Stratospheric Water and OzOne Satellite Homogenized (SWOOSH) data set (Davis et al., 150 2016). The SWOOSH dataset contains monthly mean stratospheric water vapor and ozone profiles from several satellite instruments for the period 1984 to present. The data are available on a 3D (longitude/latitude/pressure) grid. The SWOOSH input data for the period August 2004 to present day includes measurements from the Aura MLS satellite. The MLS water vapor data are available on a pressure grid with 12 levels per decade change in pressure between 1000 and 1 hPa (e.g. the vertical resolution is ranging from 1.3 to 3.6 km between 316 and 1 hPa). The estimated accuracy for MLS water vapor 155 decreases from 20% at 216 hPa to 4% at 1 hPa and is ~ 10% in the TTL region (150-70 hPa).

Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) onboard Cloud Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) makes backscatter measurements at 532 nm and 1064 nm since June 2006. We use the Total Attenuated Backscatter coefficients β'_{532} available from the CALIPSO V4.10 level 1 lidar data products. Following Vaughan et al. (2004), the attenuated scattering ratio SR_{532} (Equation 3 of Vaughan et al., 2004) profiles are computed as the ratio of 160 β'_{532} corrected for molecular attenuation and ozone absorption and the molecular backscatter coefficient β_m . β_m is calculated using the number density of molecules from the GEOS 5 global model of the NASA Global Modeling and Assimilation Office (GMAO) and the Rayleigh scattering cross section. **More details are** given in the CALIOP Algorithm Theoretical Basis Document (ATBD, cf. Equations 4.13a and 4.14).

2.4 Model

165 The origin of air masses measured at the Maïdo Observatory were assessed using the FLEXible PARTicle (FLEXPART) Lagrangian Particle Dispersion Model (Stohl et al., 2005). FLEXPART is a transport model that can be run either in forward or backward mode in time. FLEXPART was driven by using ECMWF analysis (at 00, 12 UTC) and their hourly forecast fields from the operational European Centre for Medium Range Weather Forecasts - Integrated Forecast System (ECMWF-IFS). In March 2016, ECMWF introduced a new model cycle of the IFS into operations with a grid-spacing of 9 km roughly doubling 170 the previous grid-spacing of 16 km used since January 2010. The ECMWF model has 137 vertical model levels with a top at 0.01 hPa since June 2013. To compute the FLEXPART trajectories, the ECMWF meteorological fields were retrieved at 0.50° and 0.15° and on full model levels from the Meteorological Archival and Retrieval System (MARS) server at ECMWF. The 0.50° fields were used to drive the FLEXPART model over a large domain configured as a tropical channel, i.e., the domain

is global in the zonal direction but bounded in the meridional direction (at latitudes $\pm 50^\circ$). Furthermore, higher-resolution domains can be nested into a mother domain in a FLEXPART simulation. Thus, to have a better representation of convective transport associated with mesoscale convective systems or tropical cyclones with a horizontal dimension on the order of a couple of hundred kilometers over the SWIO, we included a nest domain covering the SWIO region (20°E-80°E, 40°S-10°N). If a particle resides in the high-resolution nest, the ECMWF meteorological data at 0.15° from this nest are interpolated linearly to the particle position. If not, the 0.50x0.50° ECMWF meteorological data from the mother domain are used to compute the trajectories. Retrieving high-resolution ECMWF fields from the MARS server for FLEXPART consists of several steps which are:

- retrieve the meteorological model data output from ECMWF (horizontal winds, temperature, humidity, surface fields)
- compute total and convective precipitation rates, sensible and latent heat fluxes from the surface
- calculate the vertical velocity from the continuity equation

The ECMWF high-resolution vertical velocity field already contains a convective mass flux component from the Tiedtke scheme used in ECMWF. The convective scheme used in the ECMWF-IFS, originally described in Tiedtke (1989), has evolved over time. Changes made include a modified entrainment formulation leading to an improved representation of tropical variability of convection (Bechtold et al. 2008) and a modified CAPE closure leading to a significantly improved diurnal cycle of convection (Bechtold et al. 2014). Particles are transported both by the resolved winds and parameterized sub-grid motions, including a vertical deep convection scheme. FLEXPART uses the convective parameterization by Emanuel and Zivkovic-Rothman (1999) to simulate the vertical displacement of particles due to convection. The results from model runs with and without cumulus scheme in FLEXPART have been compared to assess whether convective mass fluxes could be resolved in the higher-resolution nest domain. The results of FLEXPART runs with and without cumulus scheme look fairly similar (not shown) and thus here we will present only the model results with cumulus scheme turned off.

To determine the transport history of air masses sampled by balloon launches, a so-called retroplume was calculated consisting of 50,000 back trajectory particles released from 19 vertical layers (corresponding to the MLS pressure levels between 316 and 10 hPa). The initial positions of the 50,000 particles were distributed randomly within 19 boxes with a depth of 1 km and 0.10°x0.10° longitude-latitude bins centered on the balloon location. The dispersion of a retroplume backward in time indicates the likely source regions of the air masses sampled by the in situ instruments.

3 Tropical storm Corentin (January 2016) and tropical cyclone Enawo (March 2017).

3.1 Convective activity

Figure 1 shows the best tracks (i.e. a smoothed representation of the tropical cyclone's location over its lifetime, red line on each panel of Figure 1) of Tropical Storm (TS) Corentin and Tropical Cyclone (TC) Enawo. The best track represents the best guest of the location of the tropical cyclone's center every 6 hours. TS Corentin started to form on 19 January 2016, east of 70°E. The METEOSAT 7 IR brightness temperatures on 19 January 2016 at 11 UTC indicate a vast clockwise circulation with some organization (not shown), indicative of tropical cyclone formation in the SH. The strengthening of the northerly monsoon flow favored the deepening of the system in the subsequent days. Corentin became a moderate tropical storm (10-min maximum sustained wind speeds of 65 km/h) on 21 January 2016 at 00 UTC and at that time the TS center was located at 14.93°S, 75.63°E, ~2200 km to the northeast of the island. TS Corentin continued to intensify on January 22 while moving towards the south (see best track on Figure 1). TS Corentin reached peak intensity on January 23 at 00 UTC with 10-minute maximum sustained wind speeds of 110 km/h and the pressure at the center was 975 hPa. On 23 January 2016, convection was strong around 10°S in the Mozambique Channel and near TS Corentin, especially in the northern part of the system. On January 24, Corentin had weakened into a moderate tropical storm. On 25 January at 18 UTC (time of the balloon launch at the Maïdo Observatory), the storm was located at about 2500 km southeast of Réunion Island, near 26.03° south latitude and 79.19° east longitude (Figure 1).

The Madden Julian Oscillation (MJO) was active at the end of February and during the first week of March 2017 with a signal centered over Africa and the Indian Ocean. A monsoon trough was well defined all over the basin along 9°S. On 28 February 2017 at 10 UTC, a zone of disturbed weather formed around 6.5°S, 70.2°E (not shown) with the building of clockwise rotating movement inside the cloud pattern. Favored by the MJO active phase and the arrival of an equatorial Rossby wave, Enawo initially formed as a tropical disturbance on March 2 with 10-minute maximum sustained wind speeds ~ 40 km/h. Enawo intensified to a moderate tropical storm at 06:00 UTC on March 3. At the time of the balloon launch at the Observatory (~3 March, 18 UTC), Enawo was a tropical storm located near 13° south latitude and 56.42° east longitude, about 900 km north-northwest of Réunion Island (Figure 1). It strengthened into a severe tropical storm cyclone on 5 March at 00 UTC and became a category 1 tropical cyclone at 12 UTC. TC Enawo continued to intensify while moving toward Madagascar. It became a category 4 tropical cyclone on March 6 at 18:00 UTC, with 10-minute maximum sustained winds at 194 km/h. Enawo reached peak intensity at 06:00 UTC on March 7, with ten-minute maximum sustained winds at 204 km/h and the central pressure at 932 hPa. TC Enawo reached Madagascar's northeastern coast on March 7 at around 9:30 UTC and was the third strongest tropical cyclone on record to strike the island. After March 8, TC Enawo gradually weakened to a tropical storm while moving southward over Madagascar.

The two balloon launches at the Observatory on 25 January 2016 and 3 March 2017 were specifically planned using FLEXPART Lagrangian trajectories and METEOSAT 7 infrared images. The goal was to sample the convective outflow from TS Corentin and TC Enawo as well as convection north of Madagascar on 24 January 2016.

To assess the potential effects of deep convection in the upper troposphere and near the tropopause, we looked at the distribution of deep convective clouds in the days preceding the soundings. The location of deep convective clouds can be assessed by using maps of METEOSAT 7 infrared brightness temperature. Figure 2 shows convective cloud coverage for the 3-day period preceding the sonde launch date at the Maïdo Observatory. Convective cloud coverage was estimated using 3-hourly METEOSAT 7 infrared brightness temperatures at 5 km resolution. A threshold of 230 K is used to detect deep convective clouds in the METEOSAT 7 brightness temperature data (i.e. pixels with brightness temperatures less than 230 K correspond to convective clouds). This threshold has been previously used to identify convection on geostationary satellite infrared images (e.g. Tissier and Legras, 2016). This temperature corresponds to a height of about 11 km in the NDACC/SHADOZ climatological-mean summertime profile of temperature. Prior to 25 January 2016, the main deep convective activity is located ~1500 km north of the island between 50 and 70°E and around tropical storm Corentin. From 28 February to 3 March 2017, convective clouds are located ~500 km north of the island and correspond to the intensifying tropical storm Enawo. The coldest cloud tops (≤ 190 K) that correspond to the deepest convection are indicated by red dots on Figure 2.

3.2 Monthly mean water vapor distributions.

Figures 3 show MLS water vapor volume mixing ratios at 215 hPa and 100 hPa averaged over January 2016 and March 2017. These values were computed by averaging the SWOOSH monthly mean water vapor concentrations gridded on a regular pressure/latitude/longitude (resolution of 5°X20°) grid.

When comparing the water vapor mixing ratio at 215 hPa in January 2016 to the one observed in March 2017, one can see that the upper troposphere over the SWIO was much moister in January 2016 than in March 2017 with three distinct regions of enhanced water vapor over Central Africa, the Indian Ocean and the Maritime Continent. The mean water vapor mixing ratio at 215 hPa over the SWIO in January 2016 is greater by ~23 ppmv compared to March 2017. Interannual variability modes such as the El-Niño–Southern Oscillation (ENSO) can affect the TTL temperature, and thus, water vapor distribution. The NOAA Climate Prediction Center Ocean Niño index (ONI), which is based on SST anomalies in the Niño 3.4 region, was equal to +2.5 K in January 2016 versus +0.1 K in March 2017 (http://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php). January 2016 corresponded to strong El Niño conditions (one of the strongest El Niño event since 1950 according to the ONI index) while March 2017 was associated with neutral ENSO conditions. The water vapor mixing ratios at 215 hPa for January 2016 agree with MLS DJFM climatological values of water vapor at 215 hPa for El Niño conditions (not shown). Overall during El Niño conditions, water vapor mixing ratios at 215 hPa are enhanced over the SWIO west of 80°E. Ho et al. (2006) studied the variations of TC activity in the South Indian Ocean in relation to ENSO effects. During El Niño periods TC genesis was shifted westward, enhancing

the formation west of 75°E and reducing it east of 75°E. Therefore, on January 2016 the peak of water vapor west of 80°E at 215 hPa may be related to an increase in convection associated with strong El Niño conditions.

265 The Quasi-Biennial Oscillation (QBO) also affects TTL temperatures and humidity (e.g. Zhou et al., 2001; Yuan et al., 2014; Davis et al., 2013). Following Davis et al. (2013), we defined a QBO index as the zonal mean (10°S-10°N) of the difference in the ERA-Interim zonal wind at 70 and 100 hPa. A positive QBO index ($u_{70\text{hPa}} - u_{100\text{hPa}} > 0$) corresponds to westerly shear conditions and the warm phase of the QBO (Baldwin et al., 2001). A negative QBO index corresponds to easterly shear conditions and the cold phase of the QBO (CPT temperatures are cooler during the easterly shear phase of the QBO). The
270 mean January 2016 water vapor mixing ratio at 100 hPa over the SWIO is 4.2 ppmv versus 3.7 ppmv in March 2017 as compared to the climatological values of 3.51 ppmv for January and 3.44 ppmv of March. The difference of 0.50 ppmv between the two periods cannot be explained by the phase of the QBO as both months corresponded to QBO westerly shear conditions (2.33 m/s for January 2016 and 4.79 m/s for March 2017). However, the higher water vapor mixing ratio at 100 hPa in January 2016 could be related to strong El Niño conditions as Avery et al. (2017) have reported large lower stratospheric (82 hPa)
275 water vapor anomalies ($\sim +0.9$ ppmv) associated with the strong 2015-2016 El Niño. The highest SWOOSH water vapor mixing ratio anomalies of $\sim +1$ ppmv were observed over the Indian Ocean in December 2015 (not shown). In January 2016, the anomalies over the SWIO have eased to 0.7 ppmv (not shown).

4. Observations

280 4.1 Water vapor/ozone profiles

Figure 4 shows two CFH water vapor mixing-ratio profiles (black lines) taken at the Maïdo Observatory on 25 January 2016 at 17:50 UTC and 3 March 2017 at 18:00 UTC. The lidar water vapor profiles for those two nights are also displayed in green. The red and purple lines correspond to NDACC/SHADOZ ozonesonde balloon profiles launched from Gillot on 18 January 2016 (purple line), 4 February 2016 (red line) and 3 March 2017 (purple line on the right panel). The ozonesonde data
285 correspond to daytime measurements (balloon launches at ~ 11 UTC) while the CFH water vapor data correspond to nighttime measurements in order to coincide with water vapor lidar measurements at the Maïdo Observatory. Overall good agreement is seen between the lidar and CFH water vapor profiles over the whole troposphere. Note that the CFH water vapor profiles were not used to calibrate the lidar water vapor profiles as explained in section 2.2.

The altitude range 2-12 km on 25 January 2016 is moister by $\sim 50\%$ than the same altitude range on 3 March 2017 (mean water
290 vapor mixing ratio of 5076 ppmv and 4375 ppmv between 2 and 12 km on 25 January 2016 for the CFH and lidar respectively

versus 3335 ppmv and 3398 ppmv on 3 March 2017 for the CFH and lidar respectively). The austral summer season, with warmer temperatures and greater cloudiness, reaches its peak in January/February and this could partially explain the higher humidity observed in January than March. In addition, January 2016 corresponded to a strong El Niño period and this could lead to higher tropospheric moistening associated with ENSO (Tian et al., 2019). On 3 March 2017, a moist layer was observed between ~12 and 16 km in both CFH and lidar water vapor profiles with corresponding low ozone values (Figure 4, right). On 25 January 2016, two local moist layers around 10 and 15 km associated with low ozone are observed. The lidar smooths out the peak of water vapor at 10 km observed on 25 January 2016 but this could be due to the longer integration time used for that night (239 min). The CFH water vapor mixing ratio profiles have a minimum of 2.5 ppmv at 17.10 km (94 hPa) and 2.70 ppmv at 18.10 km (77.1 hPa) on 25 January 2016 and 3 March 2017 respectively.

Also shown is the climatological mean ozone profile for DJFM 1998-2017 (blue lines on Figure 4). Anomalously low mixing ratios approaching surface values are seen in the upper troposphere for both the 4 February 2016 (red line, Figure 4a) and 3 March 2017 (purple line, Figure 4b) ozone sonde flights. In the upper troposphere, the climatological mean ozone mixing ratios ranges from about 60 ppbv at 10 km to 100 ppbv at 15 km. There is a steep gradient above 17 km, indicating the transition from troposphere to stratosphere. On 3 March 2017, ozone mixing ratios between 10 and 15 km are ~ 45 ppbv below the climatological values (mean value of 25.1 ppbv for the 10-15 km layer on 3 March 2017 versus 70.1 ppbv for the climatological ozone profile).

Between 18 January and 4 February 2016, ozone mixing ratios in the upper troposphere decreased by ~30 ppbv and are 38 ppbv below the climatological values on 4 February 2016. Tropical storm Corentin reached peak intensity on 23 January 2016 at 00 UTC and its center was located 1735 km east of Réunion Island. These low ozone mixing ratios in the upper troposphere on 4 February 2016 were observed after the storm had its major influence on UT ozone, transporting air with surface ozone values upward via strong convection and mixing out into the larger environment. In comparison, the 18 January 2016 ozone profile was not influenced by TS Corentin. The lower ozone values on 3 March 2017 compared to those observed on 4 February 2016 could be explained by the fact that TC Enawo was closer to the island (~902 km north of the island), was still intensifying and was a stronger system than TS Corentin. Above ~17 km the ozone profiles in January/February 2016 and March 2017 are more similar to the climatological mean ozone profile, suggesting that deep convection did influence the upper troposphere but not the lower stratosphere. We will later show using FLEXPART that the moist/low ozone layers in Figure 4 are associated with the convective outflow of a mesoscale convective system north of Madagascar on 23 January 2016, TS Corentin and TC Enawo.

4.2 Relative humidity and temperature profiles

320 Figure 5 shows the CFH profiles of RH_{ice} (computed using Goff and Gratch, 1946) on 25 January 2016 and 3 March 2017 as well as collocated CALIOP night time backscatter measurements. The CALIOP measurements shown on Figure 5 include only those within $\pm 5^\circ$ latitude and $\pm 10^\circ$ longitude of the Maïdo Observatory. The CALIOP measurements on 25 January 2016 correspond to a CALIPSO overpass east of the island around 4 hours after the balloon launch; the mean longitude difference between the CALIPSO overpass and the Maïdo Observatory shown in Figure 5 (top) is 2.4° . On 3 March 2017, the CALIPSO
335 overpass was west of the island and also 4 hours after the balloon launch. The mean longitude difference between the CALIPSO overpass and the Maïdo Observatory is 5.3° . The latitude-height cross-section of CALIOP SR_{532} on Figure 5 corresponds to measurements with a 60 m vertical resolution. The horizontal interval of the CALIOP data along its orbit is 330 m; for this study we use a 9-point running average to reduce noise.

Figure 5 (top) shows significant structure in the RH_{ice} profile measured on 25 January 2016. Higher values of RH_{ice} ($> 40\%$)
330 between 13 and 15 km coincide with higher values of CALIOP SR_{532} between 12 and 15 km. The RH_{ice} reaches its maximum value at the coldpoint altitude (17.3 km). The CALIOP SR_{532} indicates a cirrus cloud between ~ 12 and 15 km north of the island. The cirrus layer extends from $\sim 16.2^\circ S$ to $20^\circ S$ corresponding to a horizontal scale of ~ 400 km. METEOSAT 7 infrared brightness temperature at 21:30 UTC, so ~ 10 minutes before the CALIPSO overpass at 21:39 UTC on Figure 5 (top), indicates a large area of deep convection near $15^\circ S$ and extending from $\sim 50^\circ$ to $75^\circ E$ (not shown). The monsoon trough was located
335 between $17^\circ S/50^\circ E$ and $14^\circ S/70^\circ E$ on 25 January 2016 which promoted deep convection and convective activity was also observed in the South-Eastern quadrant of TS Corentin. The cirrus cloud observed below 15 km on Figure 5 (top) was most likely from convective detrainment north of Réunion Island. The RH_{ice} profile on January 25 indicates intertwined layers of dry air (RH_{ice} less than 40%) at 7, 9, 12 and 16 km and less dry air ($RH_{ice} \sim 50\%$) at 8, 11, 15 and 17 km. While convection north of Réunion Island around $15^\circ S$ and TS Corentin had mixed the troposphere over the Southwest Indian Ocean, no cirrus
340 clouds were directly observed on 25 January 2016 above the Maïdo Observatory. The layers of $RH_{ice} \sim 50\%$ at 15 and 17 km may be due to convective detrainment. The cirrus cloud below 15 km detected by CALIPSO north of the island on January 25 indicates that deep convection detrained ice and water vapor in the upper troposphere north of the island. There was a northerly wind between 10 and 17 km on 25 January 2016 with a peak around -25 m s^{-1} at 15 km (cf. Figure 1). Moist air detrained by deep convection north of Réunion near $15^\circ S$ may have been transported to Réunion Island in ~ 6 hours and during that time
345 the moist air mass could have mixed with drier air, thereby explaining the layers of $RH_{ice} \sim 50\%$ at 15 and 17 km on Figure 5. The origin of these layers has also been determined using the FLEXPART Lagrangian model, and the results are presented in the next section.

On 3 March 2017, a layer close to saturation ($RH_{ice} > 80\%$) was observed between 12 and 16 km (Figure 5, bottom left) with
 RH_{ice} up to $\sim 100\%$ at 12.5 and 14 km, below the coldpoint altitude (16.1 km). The altitude range 12-15.5 corresponds to
350 cloudy air and a cirrus cloud can be seen in the CALIOP measurements of SR_{532} between ~ 13 and 15 km extending from

18.4°S to 21.2°S (Fig 6, bottom right). Above Réunion Island, the cirrus was ~ 1.5km thick and the maximum thickness of ~ 3 km was observed north of the island at 20.5°S. A second cirrus cloud was also observed below 15 km north of 17.4°S.

355 The CPT height was 16.10 km on 3 March 2017 while it was 1.2 km higher on 25 January 2016 (Figure 5). The CPT temperature was 192.64 K on 25 January 2016 and 194.58 K on 3 March 2017. On 3 March 2017, the layer between 16 and 18 km was almost isothermal with a mean temperature of 195 K while the tropopause was sharper on 25 January 2016.

4.3 Lagrangian analysis

360 The convective origin of air masses sampled in the upper troposphere and near the tropopause during the passage of TS Corentin and TC Enawo is evaluated using the FLEXPART Lagrangian model. Figure 6 presents the origins of air masses sampled within layer L1 (12.1-13.1km, ~178hPa) and layer L2 (16.3-17.3km, ~100hPa), altitudes that correspond to RHi peaks on Figure 5 on January 25 2016 above the Maïdo Observatory. The origins and pathways of these air masses were examined by computing 10-day FLEXPART back trajectories. Figure 6 shows the origins of air masses measured in the upper troposphere (layer L1) and near the tropopause (layer L2) for two and three days prior to the launch. The position of each air mass is depicted by 10,000 dots color-coded by their altitude and is overlaid on METEOSAT 7 infrared images valid at the time of the back trajectories. For example, trajectories that were originally in the lower troposphere (below 5 km) and middle troposphere (between 5 and 10 km) are indicated by orange and brown dots respectively. These air masses were transported from the troposphere to the upper-troposphere/tropopause region over two or three days before being sampled by the CFH instrument on 25 January 2016 around 18:30 UTC above the Maïdo Observatory. The air mass fractions for different altitude ranges are also indicated at the bottom of Figure 6. Variations in the air mass fractions over time (e.g. from the lower troposphere below 5 km) can be interpreted in terms of changes in the vertical transport due to convection over the SWIO.

370 The ability of FLEXPART to represent isolated deep convective cells is limited, due to the 0.15°x0.15° spatial resolution of the ECMWF operational fields. At that resolution, isolated deep convective cells are not fully resolved in the ECMWF vertical wind field, and their updraft intensity and the altitude of the level of neutral buoyancy could be underestimated. However, the vertical transport of convective cells organised at mesoscale such as convection in tropical cyclones that cover several degrees in longitude and latitude are better resolved by the 0.15°x0.15° ECMWF meteorological fields. Recent improvements of the ECMWF IFS model have enhanced its forecasting skills of tropical cyclones (Magnusson et al., 2019). Hence the FLEXPART back trajectories driven by the ECMWF operational wind field give a qualitative sense of convective origins of vertical layers measured at Maïdo in relation to tropical cyclones.

FLEXPART runs demonstrate that layer L1 measured above the Maïdo Observatory on 25 January 2016 ~18:30 UTC has two different origins. Two days before (Figure 6, top left), 48% of this air mass was below 10 km (with ~31% below 5 km) and

380 ~1000 km northeast of Réunion Island in a region with convective clouds with cold brightness temperatures less than 220 K (~12 km). Therefore, we infer that the majority of the layer L1 was lifted by convection associated with TS Coentín two days prior to the launch. These trajectories are rather spread in the lower troposphere, suggesting that they experienced turbulent mixing and changes in wind direction in the lower troposphere. The rest of the trajectories are located higher in altitude, in the 10-15 and 15-17 km altitude ranges. They are also located above convective clouds but are less scattered than the trajectories
385 in the lower troposphere, suggesting that these trajectories were less mixed with the surrounding upper troposphere.

Three days before (Figure 6, top right), 66% of layer L1 originated from the lower and middle troposphere (41% within the 0-5 km layer, 25% within the 5-10 km layer) over the northeastern convective region of TS Coentín, and 32% from the upper troposphere (within 10-15 km) above TS Coentín. The upper tropospheric branch had an anticlockwise rotation with an origin near TS Coentín, in agreement with the upper divergence associated with TS Coentín. Hence, most of the air mass was
390 located either in the lower troposphere or near the top of convective clouds three days before.

Layer L2, measured at Maïdo on 25 January 2016, stayed in the upper troposphere and near the tropopause two days before reaching Réunion Island (figure 6, bottom left). The trajectories followed an anticlockwise rotation associated with Coentín's dynamics and were located ~250 km north of the center of TS Coentín. Only 3% of trajectories that originate in the lower troposphere were found. On 22 January at 17 UTC (three days before the launch), the trajectories were located East of the
395 center of Coentín (Figure 6, bottom right). About 8% of the trajectories were below 10km (6.4% below 5km). Note that TS Coentín reached peak intensity on 23 January 2016 at 06 UTC (pressure at the center of 975 hPa, ten-minute maximum sustained winds of 110 km/h). Hence, according to FLEXPART back trajectories and the METEOSAT 7 infrared images, the layer L2 originated from the active convective regions of TS Coentín and its upper divergence dynamics, with a small fraction from the lower troposphere. However, due to the 0.15° spatial resolution of the ECMWF winds used to drive FLEXPART, the
400 vertical updrafts of the deepest convective clouds that could reach the tropopause region/lower stratosphere may not be well represented in FLEXPART.

Figure 7 is similar to Figure 6 but for back trajectories associated with the launch on 3 March 2017. Most of the layer L4 measured on 3 March 2017 at 18:42UTC was lifted by convection 800 km north of the island two to three days before (Figure 7 top). Two days before (Figure 7, top left), the back trajectories indicate that a large fraction (69%) of layer L4 is from the
405 lower troposphere (below 10 km) over a convective region associated with TC Enawo. Three days before reaching Réunion Island (Figure 7 top right), the trajectories were dispersed in the lower troposphere around the forming storm as Enawo was in the early stage of its formation at that time (tropical depression).

The FLEXPART back trajectories for layer L5 measured above the Maïdo Observatory on 3 March 2017 at 18:52 UTC stayed

410 in the upper troposphere two and three days before the launch (Figure 7 bottom). The trajectories were confined to the same latitude band east and west of Réunion Island in a clear sky region, away from convective clouds. It shows that air masses near the tropopause above Réunion Island on 3 March 2017 were most likely not affected by Enawo at this stage of its development as Enawo was still intensifying.

415 In a nutshell, the FLEXPART back trajectories clearly identify a convective origin for layers L1 and L4 sampled on 25 January 2016 and 3 March 2017 associated with TS Corentin and TC Enawo tropical cyclones. The convective transport from the lower troposphere to the upper troposphere occurred roughly two days before each launch. As for the tropopause region over Réunion Island on 25 January 2016, FLEXPART back trajectories suggest that the air masses were embedded in TS Corentin upper divergence dynamics over a region where convection was active. Deep convective clouds within TS Corentin may have reached the tropopause region (layer L2) on 23 January 2016 when the storm was at its peak intensity and may have influenced the water vapor content near the tropopause. On 3 March 2017, the tropopause region measured by the CFH sounding was not 420 affected by deep convection associated with Enawo according to the model, at least not at the time of the observation. At that time, TC Enawo was still intensifying and the deepest convective cloud developed later after 4 March 2017.

5. Discussion

5.1 CFH and MLS comparisons

425 The CFH measurements analyzed in this study are compared to coincident MLS profiles. The match criteria used are ± 18 h, ± 500 km North-South distance (around $\pm 5^\circ$ latitude), ± 1000 km East-West distance (around $\pm 10^\circ$ longitude). The same match criteria are used in Davis et al. (2016). In addition, FLEXPART back trajectories initialized at each MLS pressure levels are used to isolate the MLS profiles that were originating from TS Corentin and TC Enawo. 5 and 3 matched MLS profiles are found for 25 January 2016 and 3 March 2017 respectively. On 25 January 2016, distances between the Maïdo Observatory and the matched MLS profiles range from 259 to 494 km, with a mean distance of 346 km. The mean time difference for all 430 matched profiles is 3.7 h. On 3 March 2017, the 3 matched MLS profiles are closer to the Maïdo Observatory with a mean distance of 281 km and are east of the island. However, a larger mean time difference of 16.4 h is observed for the matched MLS profiles.

435 To compare the high-resolution CFH water vapor profile with the MLS satellite data, we smooth the high resolution sonde measurements to match the resolution of the satellite profiles using the MLS vertical averaging kernels, following the procedure described in Read et al. (2007) and Davis et al. (2016). The procedure for applying the MLS averaging kernels to a

CFH profile requires an a priori profile as input; this is the same a priori profile used in the MLS retrieval. Figure 8 shows the matched MLS profiles and the CFH profiles convolved with the MLS averaging kernels. The matched MLS profiles on both dates illustrate how water vapor is more variable in the upper troposphere (between 316 and ~147 hPa) compared to above. The lower part of the tropopause layer from 147 hPa to the cold point tropopause (green dashed line on Figure 8) is a transition region where water vapor mixing ratios become lower but could still be influenced by deep convective outflow. The application of the averaging kernel to the CFH profiles smooths the fine-scale structures observed in the CFH profiles on Figure 4 but still captures the deep layers of moist air in the upper troposphere between 261 and 147 hPa. To facilitate the comparison of CFH and MLS water vapor profiles in the upper troposphere and stratosphere where water vapor mixing ratios decrease by 3 orders of magnitude, we compute a mean percent difference of the MLS collocated profiles to the CFH and MLS data (i.e., percent difference = $(\text{MLS} - \text{CFH})/((\text{CFH} + \text{MLS})/2) \times 100$). The same definition is used in Davis et al. (2016) and ensures that the distribution of percent difference at each pressure level is not skewed toward positive values larger than 100% (since water vapor values are constrained to be positive). In addition, this facilitates comparison with the study of Davis et al. (2016) that established a comparison between the 2004-2015 MLS water vapor data record and both routine monitoring and field campaign frost point hygrometer balloon soundings at various stations around the world.

The mean percent difference between the collocated MLS profiles and CFH convolved profile is shown on the right panel of Figure 8. In the upper troposphere (layers L1, L2 and L4), MLS profiles tend to be wetter than the CFH measurements by $9 \pm 22\%$ on 25 January 2016 and drier by $28 \pm 32\%$ on 3 March 2017.

Several factors could explain why a dry bias exists between the mean MLS profile and CFH convolved profile on 3 March 2017. First, the 3-km deep wet layer observed on March 2017 in the CFH profile will not be well captured by MLS with a 2-3 km vertical resolution in the upper troposphere. In addition, the CFH launch on 3 March 2017 at 18 UTC was planned using FLEXPART Lagrangian trajectory analysis and satellite images in the days prior to the launch to sample the convective detrainment of TC Enawo. Therefore, the planning of the CFH launch on 3 March 2017 was optimal to sample moist air from convective detrainment and an average of 3 MLS coincident profiles over a larger region/time window could be an underestimate of the storm related moistening. It is also known that the stirring of air masses due to tropical cyclones generates a rather inhomogeneous atmospheric composition up to the TTL (Cairo et al., 2008 and references therein). It is possible that the CFH on 3 March 2017 sampled a fresher tropospheric filament with higher humidity than the 3 MLS profiles.

On 25 January 2016, the mean MLS water vapor profile agrees well with the convolved CFH profile over the entire lower tropical stratosphere within layer L3. The mean percent difference is $+7 \pm 10\%$ ($+0.3$ ppmv) and lies within the previously

published uncertainty bounds of the instrument (Hurst et al., 2014; Vömel et al., 2007a; Davis et al., 2016; Yan et al., 2016).

On 3 March 2017, larger differences of +18% (~0.6 ppmv) are observed in the lower stratosphere, between 121 and 32 hPa. It is not clear why there are larger differences in the stratosphere on 3 March 2017. Both CFH instruments launched on 25 January 2016 and 3 March 2017 were prepared by the same operator and calibrated using the same recommended procedure. During these two flights, the CFH data streams were transmitted to receiving equipment on the ground through the Internet radiosonde. From an instrumental standpoint, there is nothing that might explain a CFH dry bias on 3 March 2017 compared to 25 January 2016. Unfortunately, the CFH sondes are not recovered on the island after each flight as they land in the ocean and thus it was not possible to examine in more details the instrument after the flight on 3 March 2017. To our knowledge, the CFH instrument on that night has measured as well as it could in the stratosphere. Even though the CFH instrument launched on 3 March 2017 had a dry bias of 1 ppmv in the stratosphere, such bias does not affect the results of this paper found for TC Enawo.

Overall, the MLS mean profile agrees within uncertainty range with the CFH profile on 25 January 2016. On 3 March 2017, the MLS mean profile is drier than CFH in the upper troposphere, probably due to a lack of vertical resolution in MLS, and inhomogeneity in the atmospheric composition.

5.2 Temperature anomaly

The hypothesis of a potential influence of convection on the CFH water vapor profile is further tested by analysing the profile of temperature anomaly. A seasonal mean (December-March) temperature profile is computed for the period 1997-2017 using the NDACC/SHADOZ dataset. The weekly NDACC/SHADOZ launch is performed at the airport in the north part of the island (Gillot, 20 m a.s.l.). The airport is ~20km North/Northeast of the Maïdo Observatory so while boundary layer temperature values will differ for the two sites, free troposphere/TTL temperature distributions can be compared as they are less influenced by topography. The seasonal mean CPT height is 17.31 km for the period December-March with a mean CPT temperature of 193.90 K (Table 1). The tropical tropopause is higher and colder during austral summer as a response to large-scale upwelling in the tropical stratosphere (Yulaeva et al., 1994) and convection (Highwood and Hoskins 1998). The iMet radiosonde temperature profiles are then compared to the seasonal mean NDACC/SHADOZ temperature profile. The left panels on Figure 5 show temperature profiles from NDACC/SHADOZ and the iMet radiosonde. The black line shows the NDACC/SHADOZ seasonal mean temperature profile while the red line corresponds to the iMet temperature profile observed at the Maïdo Observatory.

A large positive temperature anomaly is observed on 25 January 2016 over a broad tropospheric region from 2 to 16 km (mean amplitude of +2.5 K) with a peak warming of +4.6 K at 10km (Figure 5, magenta line). On 3 March 2017, a warm temperature

495 anomaly is observed between 6 and 14 km (mean amplitude of +1.1 K) with a peak value of +3.1 K near 12 km. The stronger
warming of the troposphere observed in January 2016 may be due to the strong 2015/2016 El Niño. The connection between
interannual variations in tropical tropospheric temperature and ENSO is well established (e.g., Yulaeva and Wallace 1994;
Soden 2000). Using 13-year of temperature data from the tropospheric channel of the microwave sounding unit (MSU-2),
Yulaeva and Wallace (1994) showed that a tropospheric warming occurs almost uniformly over the tropics and that the
500 magnitude of the warming is around 0.5-1°C for strong El Niño years. Chiang and Sobel (2002) updated the analysis of Yulaeva
and Wallace to include the response to the strong 1997/98 El Niño (ONI of +2.2 K in DJF 1998) and indicated MSU-2
temperature anomaly of ~1.2 K in January 1998 (cf. Figure 1 of Chiang and Sobel, 2002). Note that the MSU-2 temperature
data used in these studies provide a measure of the mean temperature of the 1000-200 mb layer (corresponding to the surface
to ~ 11 km using a scale height of 7 km). Thus, part of the strong tropospheric warming (especially in the lower part of the
505 troposphere) observed in January 2016 may be due to the strong 2015/2016 El Niño (ONI of +2.5 K in DJF 2016). Assuming
a tropospheric warming of ~ 1K in response to a strong El Niño, the magnitude of the upper tropospheric warming observed
on 25 January 2016 (mean amplitude of 3.4 K between 10 and 14 km) is more similar to the one observed on 3 March 2017
(mean amplitude of 1.9 K between 10 and 14 km) if the effect of the 2015/2016 El Niño is removed.

Figure 5 indicates cold temperature anomalies within 16-19 km above the tropospheric warm anomalies on 25 January 2016.
510 The mean amplitude of the 16-19 km temperature anomaly is -1.6 K with a maximum cooling of -3.6 K at 18 km. A similar
feature is observed on 3 March 2017, with a cooling between 14 and 17 km with a mean amplitude of -2 K and maximum
cooling of -4.5 K at 15.1 km. The upper tropospheric warming and near tropopause cooling observed on both dates is consistent
with a temperature response to deep convection (e.g. Sherwood et al., 2003; Holloway and Neelin, 2007; Paulik and Birner,
2012). The cooling around the tropopause can be explained by either radiative cooling by cirrus clouds over the regions of
515 deep convection (Hartmann et al., 2001) or diabatic cooling through convective detrainment (Sherwood et al., 2003; Kuang
and Bretherton, 2004). CPT properties can also be modified by convectively driven waves (Zhou and Holton, 2002; Randel et
al., 2003).

Paulik and Birner (2012) investigated the deep convective temperature signal based on SHADOZ ozone and temperature data.
Low ozone concentrations in the upper troposphere are indicative of convective transport from the boundary layer. They looked
520 at temperature anomalies corresponding to low ozone anomalies between 12 and 18 km, thus temperature anomalies influenced
by deep convection. A strong warming was observed near the level of main convective outflow at ~12 km and cooling was
more pronounced above ~ 15 km and near the CPT at ~17 km. Thus, the upper tropospheric warm temperature anomalies as
well as cold temperature above 15 km and near the tropopause on Figure 5 are coherent with a deep convective temperature
signal. Paulik and Birner's study also showed that the amplitude of the temperature anomalies increases as convection
525 strengthens with a warming of ~2K in the upper troposphere and a cooling of around -3K near 16 km (cf. Figure 5 of Paulik

and Birner, 2012). Using CloudSat observations of deep convective clouds and COSMIC GPS temperature profiles, they showed that the deep convective temperature signal (i.e. anomalously warm upper troposphere and an anomalously cold upper TTL) was only present for deep convective clouds above 15 km. Although the magnitude of the temperature anomalies decreases with increasing distance from convection, they observed a deep convective temperature signal during DJF ~3500 km away from the convective event. Within 1000 km of the deepest convection (deep convective clouds above 17 km), the convective temperature anomaly exceeds 0.75 K in the upper troposphere and ranges from -1 K to -2.0 K near 16 km. In our case, the deepest convective clouds with cloud tops colder than 190 K are 1000 km away from the island on 22-25 January 2016 and are closer from the island at ~500 km on 28 February-3 March 2017 (Figure 2). Although deep convective clouds observed on 22-25 January 2016 and 28 February-3 March 2017 were not in the immediate vicinity, relatively fast-moving gravity waves caused by deep convection could spread the deep convective temperature signals over large regions in short amounts of time (Holloway and Neelin, 2007). The temperature anomalies in Figure 5 are much larger than those reported by Paulik and Birner for temperature profiles around the time (± 6 hours) and location of deep convection (within 1000 km). However, we are studying deep convective temperature anomalies associated with two individual events while their deep convective temperature signal was estimated using 4 years of COSMIC data. Therefore, their estimates correspond to an average deep convective temperature signal; such a signal is likely larger when considering larger/more organized convective events such as tropical storms.

We conclude that the temperature anomalies derived from the 25 January 2016 and 3 March 2017 profiles are consistent with a deep convective outflow in the upper troposphere.

5.3 Water vapor anomaly

To further assess the impact of TS Coentim and TC Enawo on the UTLS water vapor content, we compare the convolved CFH profiles to a monthly climatological MLS water vapor profile as there are no long-term stratospheric water vapor measurements at Réunion Island. For each year between 2004 and 2017, MLS water vapor profiles within $\pm 5^\circ$ latitude and $\pm 10^\circ$ longitude of Réunion Island and over a period of 15 days surrounding the launch date, i.e. 10 January-9 February for 25 January 2016 and 16 February-18 March 18 for 3 March 2017, are used to define a monthly climatological water vapor profile. We also computed a non-convective monthly climatological MLS water vapor profile by excluding MLS water vapor profiles with coincident low upper-tropospheric ozone (probably affected by convection, Paulik and Birner [2012]). The non-convective and monthly climatological MLS water vapor profile (using all profiles) look very similar (not shown). Thus, the climatological MLS water vapor profile using all profiles is used for comparison with the water vapor measurements on 25 January 2016 and 3 March 2017.

The monthly climatological MLS water vapor profiles and CFH convolved profiles are shown on Figure 9. Both monthly

555 climatological water vapor profiles have comparable minimum water vapor mixing ratio at 83 hPa (3.5 ± 0.6 ppmv and 3.3 ± 0.5 ppmv for the January and March climatologies respectively). In the upper troposphere (316-178 hPa) the climatologies have mean values of 277.6 ± 269.2 ppmv and 266.1 ± 253.2 ppmv for January and March respectively. High variability in the UT is consistent with deep convection being more active during austral summer. Higher UT water vapor content in January relative to March agrees with the fact that the austral summer season reaches its peak in January/February. Both January and
560 March climatologies have comparable TTL (147-68 hPa) water vapor content (5.3 ± 1.8 ppmv and 5.1 ± 1.7 ppmv for January and March respectively). The climatological mean stratospheric (56-22 hPa) value is 4.2 ± 1.3 ppmv for both months.

Relative water vapor differences are defined with respect to the monthly climatological profile (i.e., relative difference = (CFH - MLS Climatology)/MLS Climatology x100) and are displayed on the bottom panels of Figure 9. In addition to the CFH convolved profile, we also compared the mean of MLS coincident profiles to the MLS monthly climatological profile for 25
565 January 2016 and 3 March 2017.

On 25 January 2016, the mean of MLS coincident profiles and the CFH convolved profile show a peak of $\sim 30\%$ or 7.7 ppmv in the relative difference with the MLS climatology in layer L1, but the pressure level of this peak differs in the two profiles with a peak at 178 hPa for the CFH convolved profile and 147 hPa for the mean of coincident MLS profiles.

To further evaluate the portion of the profiles that were influenced by convection, we calculated a convective fraction profile.
570 For each pressure level depicted on Figure 9, 50,000 FLEXPART back trajectories were initialized. A back trajectory was tagged as convectively influenced when the infrared brightness temperature BT observed by METEOSAT 7 falls below 230 K over the previous 7 days, and if the altitude of the back trajectory falls below 5 km, indicating a lower tropospheric origin. Hence, the convective fraction profile represents the percentage of trajectories for each pressure level that were considered as convective following those criteria. The convective fraction profile reaches a maximum of 60% at 147hPa, and confirms that
575 layer L1 and the bottom part of layer L2 are convective. The FLEXPART back trajectories from Figure 6 and the values of the convective fraction profile confirm that the positive water vapor anomalies observed in layer L1 are associated with the convective outflow of TS Coentín.

On 3 March 2017, the hydration of the upper troposphere in layer L4 (between 215 and 121 hPa) is much more pronounced in the CFH convolved profile with a peak of value of $\sim 180\%$ or 45 ppmv at 178 hPa. For the mean of MLS coincident profiles,
580 the moistening is not as large with a relative difference of 36% or 8.7 ppmv at 178 hPa. The convective fraction profile had values of 60% at 178 and 147hPa, confirming that layer L4 was influenced by convection.

Ray and Rosenlof (2007) used measurements from AIRS to assess the impact of tropical cyclones in the Atlantic and Pacific basins on the amount of water vapor in the tropical UT. They showed that tropical cyclones can hydrate a deep layer of the

585 surrounding upper troposphere by ~30-50 ppmv or more within 500 km of the eye compared to the surrounding average water
vapor mixing ratios (cf. Figure 3 of Ray and Rosenlof, 2007). They also looked at the evolution of UT water vapor changes as
a function of the storm intensity as measured by the peak wind speed (cf. Figure 5 of Ray and Rosenlof, 2007). In both the
Atlantic and western Pacific basins, the average water vapor at 223 hPa around the storm center steadily increased from 4 to 5
days prior to peak cyclone intensity to 2 days following peak cyclone intensity. The average water vapor enhancement in the
590 two ocean basins was from 5 to 20 ppmv with an increase as high as 30-40 ppmv for some cyclones in the western Pacific.
The CFH launch on 3 March 2017 18 UTC occurred 3.5 days before Enawo reached peak intensity on 7 March at 06 UTC
(pressure at the center of 932 hPa, ten-minute maximum sustained winds of 204 km/hr) and the storm center was ~ 700 km
away from the island. Thus, deep convection associated with TC Enawo may have caused the strong increase in UT water
vapor observed on 3 March 2017. Ongoing work with MLS data to apply the methodology of Ray and Rosenlof (2007) to
595 assess hydration of the UTLS by tropical cyclones for the 2004-2017 cyclone seasons in the southwest Indian Ocean is under
way. This will be the focus of a future study but preliminary results indicate water vapor differences of 35% to 48% at between
178 and 261 hPa for categories 2 to 4 hurricanes on the Saffir-Simpson scale. Ray and Rosenlof (2007) indicated that tropical
cyclones hydrate a deep layer of the UT in the vicinity of the cyclones by up to 50% above monthly mean water vapor mixing
ratios. Therefore, our estimate of UT water vapor increases of 20 to 100% using CFH&MLS data for TS Corentin (Category
1 hurricane at its peak intensity) and TC Enawo (Category 4 hurricane at its peak intensity) are in broad agreement with our
600 estimates based on the 2004-2017 MLS data and the study of Ray and Rosenlof (2007).

At 100 hPa (**within layer L2**), both MLS and CFH data are 20% (-0.7ppmv) below the climatological monthly mean values on
25 January 2016. This would be coherent with the near tropopause cooling observed on Figure 5 and the presence of deep
convection around Réunion Island. In addition, TTL cirrus clouds were observed north of the island on both dates (Figure 5).
Convectively generated or in-situ cirrus clouds in the TTL can dehydrate the tropopause region. Jensen et al. (1996) showed
605 that ice clouds formed by large-scale vertical motions can result in depletion of water vapor mixing ratio by about 0.4 ppmv.
Chae et al. (2011) investigated temperature and water vapor changes due to clouds in the TTL using MLS, CALIPSO and
CloudSat datasets. They noted that generally clouds humidify the environment near 16 km (~100 hPa) or lower but dehydrate
the TTL above 16 km.

On 25 January 2016, CFH and MLS data are 11% (+0.4 ppmv) and 18% (+0.7 ppmv) moister than the climatological values
610 at 68 hPa (**within layer L3**), above the tropopause. Observational and modeling studies have indicated that overshooting
convection can moisten the lower stratosphere by injecting water vapor or ice crystals directly above the overshooting clouds
(e.g. Danielsen, 1993; Corti et al., 2008; Dauhut et al., 2015; Frey et al., 2015; Allison et al., 2018). In our case, the observation
on 25 January 2016 was not made close to the deepest convective clouds that were ~1000 km north of the island (Figure 2),
but was downwind of TS Corentin, as shown by the FLEXPART analysis (Figure 6). However, FLEXPART back trajectories

615 indicate that the air masses at 68h Pa (layer L3) originate from the Southeast Indian Ocean in the 20°S-30°S latitude band where the MLS water vapor anomaly for January 2016 is around 0.5 ppmv most likely due to the impact of the 2016 strong El Niño event. Hence, the positive anomaly against the climatological value can also be explained by horizontal advection from the Southeast Indian Ocean toward Réunion Island.

620 It is difficult to conclude whether TC Enawo had a direct impact on water vapor in the lower stratosphere by using only the CFH observation on 3 March 2017. The FLEXPART analysis indicated that the CFH sounding did not sample the lower stratosphere downwind of Enawo.

Ongoing work with the mesoscale model Meso-NH at a 2-km resolution for TC Enawo for the period 2-7 March 2017 indicates that deep convective clouds within 500 km of the cyclone eye can inject ice crystals and moisten the lower stratosphere, resulting in an average anomaly of ~2ppmv within 500 km of the tropical cyclone eye. The strongest humidification in the 625 lower stratosphere (17-19 km; ~88-66 hPa) was found after March 4 when the storm stalled over the ocean (while intensifying) and after March 6 when it reached peak intensity. Thus, the CFH observation on 3 March 2017 was made before TC Enawo had influenced the lower stratosphere above 100 hPa. This is further confirmed by the fact that CALIOP did not have a lower stratospheric signal on Figure 6.

Tropical cyclones are unique among tropical convective systems in that they persist for many days and thus could affect the 630 UTLS more than other mesoscale convective systems. Clouds in tropical cyclones often reach to and sometimes beyond the tropopause (e.g., Romps and Kuang, 2009). Allison et al. (2018) have investigated the vertical transport of water vapor by the 2013 tropical cyclone Ingrid in the North Atlantic. Results of their high-resolution numerical simulations indicated that hydration occurred between 17.5 and 21 km (83 to 56 hPa) due to the injection of ice crystals. As the exact role of deep convection, and tropical cyclones in particular, in hydrating the lower stratosphere is still under debate, additional TTL 635 observations of water vapor and modeling work are needed to quantify the overall impact of convection on TTL and LS water vapor. High-resolution (2 km) numerical simulations of TC Enawo for the period 2-7 March 2017 are underway to gain a closer look at the effect of TC convection on TTL temperature and water vapor. This work will be the subject of a subsequent study.

7 Summary

640 Two balloon launches from the Mado Observatory were specifically planned using the FLEXPART Lagrangian model and METEOSAT 7 infrared images to sample the convective outflow from TS Corentin on 25 January 2016 and TC Enawo on 3 March 2017. Balloon-borne measurements of CFH water vapor, ozone and iMET temperature and water vapor lidar measurements, showed that both storms humidified the TTL, with RH_{ice} values exceeding 50% for TS Corentin and 90% for

645 TC Enawo in the upper troposphere. Comparing the two CFH profiles to the climatological monthly mean MLS water vapor profiles, positive anomalies of water vapor were identified with peak values of 7.7 ppmv for TS Corentin and 45 ppmv for TC Enawo at 17hPa. According to the FLEXPART back trajectories and METEOSAT 7 infrared images, those air masses originated from convectively active regions of TS Corentin and TC Enawo and were lifted from the lower troposphere to the upper troposphere around one day before the planned balloon launches. In addition, the CALIOP satellite measurements indicated cirrus clouds north of Réunion Island for the same altitude range for both storms.

650 According to the CFH profile on 25 January 2016 and MLS climatology, air masses measured near the tropopause were anomalously dry around 100 hPa and anomalously wet around 68 hPa in the lower stratosphere. FLEXPART back trajectories were used to find the origin of these layers that could be traced back to TS Corentin upper-tropospheric divergent flow and active convective regions. Deep convective clouds and cirrus clouds may have dehydrated the region around 100hPa. According to FLEXPART back trajectories, the positive anomaly at 68hPa can be explained by a horizontal transport from the Southeast Indian Ocean. The Southeast Indian Ocean had a positive water vapor anomaly of ~0.5ppmv in January 2016 most likely due to the strong 2016 El Niño event (Avery et al., 2017).

660 On the contrary, no water vapor anomaly was found near or above the tropopause on 3 March 2017 as the tropopause region was not downwind of TC Enawo. According to FLEXPART back trajectories, those air masses stayed away from the upper-tropospheric dynamics of TC Enawo and its convective active regions. Hence the tropopause region on 3 March 2017 was not affected by Enawo, at least not at the time of the balloon launch and at this stage of Enawo's development.

665 This study showed the impact of two tropical cyclones on the humidification of the TTL. It also demonstrates the need to develop balloon borne high precision observations in regions where TTL in-situ observations are sparse, such as the tropics and the SWIO in particular. High-resolution accurate observations of water vapor/ozone/aerosols are needed to document the impact of tropical cyclones and deep convection in general on the TTL. The impact of tropical cyclones on the TTL water vapor budget will be analyzed in a more quantitative way using MLS data and tropical cyclones best tracks from 2004 to 2017 in a subsequent paper. In addition, the impact of deep convection and overshooting clouds within TC Enawo on the water vapor budget of the TTL will be analyzed using high-resolution (2 km) mesoscale simulation of TC Enawo.

670 *Data availability.* MLS water vapor data used in this study are available at <https://mls.jpl.nasa.gov/> and CALIPSO L1B lidar data are available at https://eosweb.larc.nasa.gov/project/calipso/lidar_l1b_profile_table. The NDACC/SHADOZ ozone measurements for Réunion Island are available at <https://tropo.gsfc.nasa.gov/shadoz/Reunion.html>. The SWOOSH dataset is available at <https://data.nodc.noaa.gov/cgi-bin/iso?id=gov.noaa.ncdc:C00958>. The CFH and lidar water vapor data are available from the authors (SE, VD, PK) upon request. The FLEXPART Lagrangian trajectories can be requested from the

corresponding author Stephanie Evan (stephanie.evan@univ-reunion.fr).

675 *Author contributions.* All authors contributed to the paper. SE wrote the manuscript with contributions from JB, KR, SD, DH, FP, JMM, VD, GP, HV, PK, JPC. SE, JB, FP, JMM, DH, JPC, VD, GP and HV performed the CFH/Ozone/Lidar measurements. HV processed the CFH data. SE and JB performed the FLEXPART simulations. SM provided the SWOOSH dataset. All authors revised the manuscript draft.

Competing interests. The authors declare that they have no conflict of interest.

680 *Acknowledgments*

We thank the Aura Science Team for the MLS data (<https://mls.jpl.nasa.gov/>) and the CALIPSO science team for the L1B lidar data (https://eosweb.larc.nasa.gov/project/calipso/lidar_l1b_profile_table).

685 OPAR (Observatoire de Physique de l'Atmosphère à La Réunion, including Maïdo Observatory) is part of OSU-R (Observatoire des Sciences de l'Univers à La Réunion) which is being funded by Université de la Réunion, CNRS-INSU, Météo-France, and the french research infrastructure ACTRIS-France (Aerosols, Clouds and Trace gases Research Infrastructure). OPAR's water vapor lidar and ozone radiosounding belong to the international network NDACC (Network for the Detection of Atmospheric Composition Change). This work was supported by the French LEFE CNRS-INSU Program (VAPEURDO).

S. Evan thanks Susanne Koerner (DWD/GRUAN Leadcentre, Germany) for her training on the CFH instrument.

690 **References**

Allison, T., Fuelberg, H., and Heath, N.: Simulations of vertical water vapor transport for TC Ingrid (2013), *J. Geophys. Res.*, 123, 8255-8282, <https://doi.org/10.1029/2018JD028334>, 2018.

Avery, M. A., Davis, S. M., Rosenlof, K. H., Ye, H., and Dessler, A.: Large anomalies in lower stratospheric water vapor and ice during the 2015-2016 El Niño, *Nat. Geosci.*, 10, 405-409. <https://doi.org/10.1038/ngeo2961>, 2017.

695 Baldwin, M. P., Gray, L. J., Dunkerton, T. J., Hamilton, K., Haynes, P. H., Holton, J. R., Alexander, M. J., Hirota, I., Horinouchi, T., Jones, D. B. A., Marquardt, C., Sato, K., and Takahashi, M.: The quasi-biennial oscillation, *Rev. Geophys.*,

<https://doi.org/10.1029/1999RG000073>, 2001.

700 Baray J.-L., Y. Courcoux, P. Keckhut, T. Portafaix, P. Tulet, J.-P. Cammas, A. Hauchecorne, S. Godin-Beekmann, M. De Mazière, C. Hermans, F. Desmet, K. Sellegri, A. Colomb, M. Ramonet, J. Sciare, C. Vuillemin, C. Hoareau, D. Dionisi, V. Dufлот, H. Vèrèmes, J. Porteneuve, F. Gabarrot, T. Gaudo, J.-M. Metzger, G. Payen, J. Leclair de Bellevue, C. Barthe, F. Posny, P. Ricaud, A. Abchiche, and R. Delmas: Maïdo observatory: a new high-altitude station facility at Reunion Island (21° S, 55°E) for long-term atmospheric remote sensing and in situ measurements, *Atmos. Meas. Tech.*, 6, 2865-2877, 2013.

705 Bechtold, P., Köhler, M., Jung, T., Doblas-Reyes, F., Leutbecher, M., Rodwell, M. J., Vitart, F. and Balsamo, G.: Advances in simulating atmospheric variability with the ECMWF model: From synoptic to decadal time-scales., *Q. J. Roy. Meteorol. Soc* 134: 1337-1351, <https://doi.org/10.1002/qj.289>, 2008.

Bechtold, P., Semane, N., Lopez, P., Chaboureau, J.-P., Beljaars, A., and Bormann, N.: Representing Equilibrium and Nonequilibrium Convection in Large-Scale Models, *J. Atmos. Sci*, 71, 734–753, <https://doi.org/10.1175/JAS-D-13-0163.1>, 2014.

710 Bodeker, G. E., Bojinski, S., Cimini, D., Dirksen, R. J., Haeffelin, M., Hannigan, J. W., Hurst, D., Madonna, F., Maturilli, M., Mikalsen, A. C., Philipona, R., Reale, T., Seidel, D. J., Tan, D. G. H., Thorne, P. W., Vömel, H., and Wang, J.: Reference upper-air observations for climate: From concept to reality, *B. Am. Meteorol. Soc.*, 97, 123–135, <https://doi.org/10.1175/BAMS-D-14-00072.1>, 2015.

Bovalo, C., C. Barthe, and N. Bègue: A lightning climatology of the South West Indian Ocean, *Nat. Hazards Earth Syst. Sci.*, 12, 2659–2670, <https://doi.org/10.5194/nhess-12-2659-2012>, 2012.

715 Brunamonti, S., Jorge, T., Oelsner, P., Hanumanthu, S., Singh, B. B., Kumar, K. R., Sonbawne, S., Meier, S., Singh, D., Wienhold, F. G., Luo, B. P., Boettcher, M., Poltera, Y., Jauhiainen, H., Kayastha, R., Karmacharya, J., Dirksen, R., Naja, M., Rex, M., Fadnavis, S., and Peter, T.: Balloon-borne measurements of temperature, water vapor, ozone and aerosol backscatter on the southern slopes of the Himalayas during StratoClim 2016–2017, *Atmos. Chem. Phys.*, 18, 15937–15957, <https://doi.org/10.5194/acp-18-15937-2018>, 2018.

720 Cairo, F., Buontempo, C., MacKenzie, A. R., Schiller, C., Volk, C. M., Adriani, A., Mitev, V., Matthey, R., Di Donfrancesco, G., Oulanovsky, A., Ravegnani, F., Yushkov, V., Snels, M., Cagnazzo, C., and Stefanutti, L.: Morphology of the tropopause layer and lower stratosphere above a tropical cyclone: a case study on cyclone Davina (1999), *Atmos. Chem. Phys.*, 8, 3411–3426, <https://doi.org/10.5194/acp-8-3411-5-2008>, 2008.

- 725 Chae, J. H., Wu, D. L., Read, W. G., and Sherwood, S. C.: The role of tropical deep convective clouds on temperature, water vapor, and dehydration in the tropical tropopause layer (TTL), *Atmos. Chem. Phys.*, 11, 3811-3821, <https://doi.org/10.5194/acp-11-3811-2011>, 2011.
- Chiang, J.C.H. and Sobel, A. H.: Tropical Tropospheric Temperature Variations Caused by ENSO and Their Influence on the Remote Tropical Climate, *J. Climate*, 15, 2616-2631, [https://doi.org/10.1175/1520-0442\(2002\)015<2616:TTVCB>2.0.CO;2](https://doi.org/10.1175/1520-0442(2002)015<2616:TTVCB>2.0.CO;2), 2002.
- 730 Corti, T., Luo, B. P., de Reus, M., Brunner, D., Cairo, F., Mahoney, M. J., Martucci, G., Matthey, R., Mitev, V., dos Santos, F. H., Schiller, C., Shur, G., Sitnikov, N. M., Spelten, N., Vössing, H. J., Borrmann, S., and Peter, T.: Unprecedented evidence for deep convection hydrating the tropical stratosphere, *Geophys. Res. Lett.*, 35, L10810, <https://doi.org/10.1029/2008GL033641>, 2008.
- Danielsen, E. F.: A dehydration mechanism for the stratosphere, *Geophys. Res. Lett.*, 9, 605–608, 1982.
- 735 Dauhut, T., Chaboureaud, J. P., Escobar, J., and Mascart, P.: Large-eddy simulations of the convective making the stratosphere wetter, *Atmos. Sci. Lett.*, 16, 135–140, <https://doi.org/10.1002/asl2.534>, 2015.
- Davis, S. M., Rosenlof, K. H., Hassler, B., Hurst, D. F., Read, W. G., Vömel, H., Selkirk, H., Fujiwara, M., and Damadeo, R.: The Stratospheric Water and Ozone Satellite Homogenized (SWOOSH) database: a long-term database for climate studies, *Earth Syst. Sci. Data*, 8, 461–490, <https://doi.org/10.5194/essd-8-461-2016>, 2016.
- 740 Davis, S. M., Liang, C. K., and Rosenlof, K. H.: Interannual variability of tropical tropopause layer clouds, *Geophys. Res. Lett.*, 40, 2862–2866. <https://doi.org/10.1002/grl.50512>, 2013.
- Dessler, A. E. and Sherwood, S. C.: A model of HDO in the tropical tropopause layer, *Atmos. Chem. Phys.*, 3, 2173-2181, <https://doi.org/10.5194/acp-3-2173-2003>, 2003.
- 745 Emanuel, K. A., and Zivkovic-Rothman, M.: Development and evaluation of a convection scheme for use in climate models, *J. Atmos. Sci.*, 56, 1766–1782, 1999.
- Folkens, I. and Martin, R. V.: The Vertical Structure of Tropical Convection and Its Impact on the Budgets of Water Vapor and Ozone. *J. Atmos. Sci.*, 62, 1560–1573, <https://doi.org/10.1175/JAS3407.1>, 2005.
- Frey, W., Schofield, R., Hoor, P., Kunkel, D., Ravegnani, F., Ulanovsky, A., Viciani, S., D'Amato, F., and Lane, T. P.: The

- 750 impact of overshooting deep convection on local transport and mixing in the tropical upper troposphere/lower stratosphere (UTLS), *Atmos. Chem. Phys.*, 15, 6467–6486, <https://doi.org/10.5194/acp-15-6467-2015>, 2015.
- Fueglistaler, S., Dessler, A. E., Dunkerton, T. J., Folkins, I., Fu, Q., and Mote, P. W.: Tropical tropopause layer, *Rev. Geophys.*, 47, RG1004, <https://doi.org/10.1029/2008RG000267>, 2009.
- Goff, J. A. and Gratch, S.: Low-pressure properties of water from -160 to 212 F. *Trans. Am. Soc. Heating Air-Cond. Eng.*, 52, 95–122 (presented at the 52nd annual meeting of the American society of heating and ventilating engineers, New York), 1946.
- 755 Hartmann, D.L, Holton J.R. and Fu, Q.: The heat balance of the tropical tropopause, cirrus, and stratospheric dehydration. *Geophys. Res. Lett.*, 28, <https://doi.org/10.1029/2000GL012833>, 2001.
- Highwood, E. J. and Hoskins, B. J.: The tropical tropopause, *Q. J. Roy. Meteorol. Soc.*, 124, 1579-1604, <https://doi.org/10.1002/qj.49712454911>, 1998.
- 760 Ho C-H, Kim J-H, Jeong J-H, Kim H-S, and Chen, D.: Variation of tropical cyclone activity in the South Indian Ocean: El Niño-Southern Oscillation and Madden-Julian Oscillation effects. *J. Geophys. Res.*, 111: D22101, <https://doi.org/10.1029/2006JD007289>, 2006.
- Holloway, C.E, and Neelin, J. D.: The convective cold top and quasi equilibrium. *J. Atmos. Sci.* 64, 1467–1487.[doi:10.1175/JAS3907.1](https://doi.org/10.1175/JAS3907.1), 2007.
- 765 Holton, J. R., and Gettelman, A.: Horizontal transport and the dehydration of the stratosphere. *Geophys. Res. Lett.*, 28, 2799– 2802, <https://doi.org/10.1029/2001GL013148>, 2001.
- Hurst, D. F., Hall, E. G., Jordan, A. F., Miloshevich, L. M., Whiteman, D. N., Leblanc, T., Walsh, D., Vömel, H., and Oltmans, S. J.: Comparisons of temperature, pressure and humidity measurements by balloon-borne radiosondes and frost point hygrometers during MOHAVE-2009, *Atmos. Meas. Tech.*, 4, 2777–2793, <https://doi.org/10.5194/amt-4-2777-2011>, 2011.
- 770 Hurst, D. F., Lambert, A., Read, W. G., Davis, S. M., Rosenlof, K. H., Hall, E. G., Jordan, A. F., and Oltmans, S. J.: Validation of Aura Microwave Limb Sounder stratospheric water vapor measurements by the NOAA frost point hygrometer, *J. Geophys. Res.-Atmos.*, 119, 1612-1625, [doi:10.1002/2013jd020757](https://doi.org/10.1002/2013jd020757), 2014
- Jensen, E. J., O. B. Toon, H. B. Selkirk, J. D. Spinhirne, and Schoeberl, M. R.: On the formation and persistence of subvisible

- 775 cirrus clouds near the tropical tropopause, *J. Geophys. Res.*, 101, 21,361–21,375, 1996.
- Jensen, E. J., Ackerman, A. S., and Smith, J. A.: Can overshooting convection dehydrate the tropical tropopause layer?, *J. Geophys. Res.-Atmos.*, 112, D11209, doi:10.1029/2006JD007943, 2007.
- Jensen, E. J., Pfister, L., Jordan, D. E., Bui, T. V., Ueyama, R., Singh, H. B., Thornberry, T. D., Rollins, A. W., Gao, R., Fahey, D. W., Rosenlof, K. H., Elkins, J. W., Diskin, G. S., DiGangi, J. P., Lawson, R. P., Woods, S., Atlas, E. L., Navarro Rodriguez, M. A., Wofsy, S. C., Pittman, J., Bardeen, C. G., Toon, O. B., Kindel, B. C., Newman, P. A., McGill, M. J., Hlavka, D. L., Lait, L. R., Schoeberl, M. R., Bergman, J. W., Selkirk, H. B., Alexander, M. J., Kim, J.-E., Lim, B. H., Stutz, J., and Pfeilsticker, K.: The NASA Airborne Tropical Tropopause Experiment: High-Altitude Aircraft Measurements in the Tropical Western Pacific, *B. Am. Meteorol. Soc.*, 98, 129–143, <https://doi.org/10.1175/BAMS-D-14-00263.1>, 2017.
- 780
- Keckhut, P., Courcoux, Y., Baray, J.-L., Porteneuve, J., Vèrèmes, H., Hauchecorne, A., Dionisi, D., Posny, F., Cammas, J.-P., Payen, G., Gabarrot, F., Evan, S., Khaykin, S., Rüfenacht, R., Tschanz, B., Kämpfer, N., Ricaud, P., Abchiche, A., Leclairde-Bellevue, J., and Dufлот, V.: Introduction to the Maïdo Lidar Calibration Campaign dedicated to the validation of upper air meteorological parameters, *J. Appl. Remote Sens.*, 9, 094099, <https://doi.org/10.1117/1.JRS.9.094099>, 2015.
- 785
- Kuang, Z., and Bretherton, C. S.: Convective influence on the heat balance of the tropical tropopause layer: A cloud-resolving model study, *J. Atmos. Sci.*, 61, 2919-2927, <https://doi.org/10.1175/JAS-3306.1>, 2004.
- Kuang, Z., and Bretherton, C. S.: Convective influence on the heat balance of the tropical tropopause layer: A cloud-resolving model study, *J. Atmos. Sci.*, 61, 2919-2927, <https://doi.org/10.1175/JAS-3306.1>, 2004.
- 790
- Lee, S.-K., Park, W., Baringer, M. O., Gordon, A. L., Huber, B., and Liu, Y.: Pacific origin of the abrupt increase in Indian Ocean heat content during the warming hiatus, *Nat. Geosci.*, 8(6), 445-449, 2015.
- Liu, C. and Zipser, E. J.: Global distribution of convection penetrating the tropical tropopause, *J. Geophys. Res.*, 110, D23104, <https://doi.org/10.1029/2005JD006063>, 2005.
- Liu, C. and Zipser, E. J.: Global distribution of convection penetrating the tropical tropopause, *J. Geophys. Res.*, 110, D23104, <https://doi.org/10.1029/2005JD006063>, 2005.
- 795
- Magnusson, L., J.-R. Bidlot, M. Bonavita, A. R. Brown, P. A. Browne, G. De Chiara, M. Dahoui, S. T. K. Lang, T. McNally, K. S. Mogensen, F. Pappenberger, F. Prates, F. Rabier, D. S. Richardson, F. Vitart, and S. Malardel: ECMWF Activities for improved hurricane forecasts, *Bull. Amer. Meteor. Soc.*, 100, 445-458, <https://doi.org/10.1175/BAMS-D-18-0044.1>, 2019.
- Magnusson, L., J.-R. Bidlot, M. Bonavita, A. R. Brown, P. A. Browne, G. De Chiara, M. Dahoui, S. T. K. Lang, T. McNally, K. S. Mogensen, F. Pappenberger, F. Prates, F. Rabier, D. S. Richardson, F. Vitart, and S. Malardel: ECMWF Activities for improved hurricane forecasts, *Bull. Amer. Meteor. Soc.*, 100, 445-458, <https://doi.org/10.1175/BAMS-D-18-0044.1>, 2019.
- Neumann, C.J.: "Global Overview" - Chapter 1" Global Guide to Tropical Cyclone Forecasting, WMO/TC-No. 560, Report No. TCP-31, World Meteorological Organization; Geneva, Switzerland, 1993.
- Neumann, C.J.: "Global Overview" - Chapter 1" Global Guide to Tropical Cyclone Forecasting, WMO/TC-No. 560, Report No. TCP-31, World Meteorological Organization; Geneva, Switzerland, 1993.
- Paulik, L. C. and Birner, T.: Quantifying the deep convective temperature signal within the tropical tropopause layer (TTL), *Atmos. Chem. Phys.*, 12, 12183-12195, <https://doi.org/10.5194/acp-12-12183-2012>, 2012.
- 800

Randel, W. J., F. Wu, and Rivera Rios, W.: Thermal variability of the tropical tropopause region derived from GPS/MET observations, *J. Geophys. Res.*, 108(D1), 4024, <https://doi.org/10.1029/2002JD002595>, 2003.

Ray, E. A., and Rosenlof, K. H.; Hydration of the upper troposphere by tropical cyclones, *J. Geophys. Res.*, 112, D12311, <https://doi.org/10.1029/2006JD008009>, 2007.

805 Read, W. G., Lambert, A., Bacmeister, J., Cofield, R. E., Christensen, L. E., Cuddy, D. T., Daffer, W. H., Drouin, B. J., Fetzer, E., Froidevaux, L., Fuller, R., Herman, R., Jarnot, R. F., Jiang, J. H., Jiang, Y. B., Kelly, K., Knosp, B. W., Kovalenko, L. J., Livesey, N. J., Liu, H. C., Manney, G. L., Pickett, H. M., Pumphrey, H. C., Rosenlof, K. H., Sabouchi, X., Santee, M. L., Schwartz, M. J., Snyder, W. V., Stek, P. C., Su, H., Takacs, L. L., Thurstans, R. P., Vomel, H., Wagner, P. A., Waters, J. W., Webster, C. R., Weinstock, E. M., and Wu, D. L.: Aura Microwave Limb Sounder upper tropospheric and lower stratospheric
810 H₂O and relative humidity with respect to ice validation, *J. Geophys. Res.-Atmos.*, 112, D24S35 doi:10.1029/2007JD008752, 2007.

Roca, R., M. Viollier, L. Picon, and Desbois M.: A multisatellite analysis of deep convection and its moist environment over the Indian Ocean during the winter monsoon, *J. Geophys. Res.*, 107(D19), 8012, doi:10.1029/2000JD000040, 2002.

Romps, D. M., and Kuang, Z.: Overshooting convection in tropical cyclones, *Geophys. Res. Lett.*, 36, L09804,
815 <https://doi.org/10.1029/2009GL037396>, 2009.

Schoeberl, M. R., Dessler, A. E., Wang, T., Avery, M. A., and Jensen, E. J.: Cloud formation, convection, and stratospheric dehydration, *Earth and Space Science*, 1, 1-17, doi:10.1002/2014EA000014, 2014.

Sherwood, S.C., Horinouchi, T., and Zeleznik, H.A.: Convective Impact on Temperatures Observed near the Tropical Tropopause. *J. Atmos. Sci.*, 60, 1847–1856, [https://doi.org/10.1175/1520-0469\(2003\)060<1847:CIOTON>2.0.CO;2](https://doi.org/10.1175/1520-0469(2003)060<1847:CIOTON>2.0.CO;2), 2003.

820 Stohl, A., Forster, C., Frank, A., Seibert, P., and Wotawa, G.: Technical note: The Lagrangian particle dispersion model FLEXPART version 6.2, *Atmos. Chem. Phys.*, 5, 2461-2474, <https://doi.org/10.5194/acp-5-2461-2005>, 2005.

Soden, B.J.: The Sensitivity of the Tropical Hydrological Cycle to ENSO. *J. Climate*, 13, 538–549, [https://doi.org/10.1175/1520-0442\(2000\)013<0538:TSOTTH>2.0.CO;2](https://doi.org/10.1175/1520-0442(2000)013<0538:TSOTTH>2.0.CO;2), 2000.

Tao, C. and H. Jiang: Global Distribution of Hot Towers in Tropical Cyclones Based on 11-Yr TRMM Data. *J. Climate*, 26,
825 1371–1386, <https://doi.org/10.1175/JCLI-D-12-00291.1>, 2013.

Tian, E. W., Su, H., Tian, B., and Jiang, J. H.: Interannual variations of water vapor in the tropical upper troposphere and the lower and middle stratosphere and their connections to ENSO and QBO, *Atmos. Chem. Phys.*, 19, 9913-9926, doi:10.5194/acp-19-9913-2019, 2019.

830 Tiedtke, M.: A Comprehensive Mass Flux Scheme for Cumulus Parameterization in Large-Scale Models, *Mon. Weather Rev.*, 117, 1779–1800, [https://doi.org/10.1175/1520-0493\(1989\)117<1779:ACMFSF>2.0.CO;2](https://doi.org/10.1175/1520-0493(1989)117<1779:ACMFSF>2.0.CO;2), 1989.

Tissier, A.-S. and Legras, B.: Convective sources of trajectories traversing the tropical tropopause layer, *Atmos. Chem. Phys.*, 16, 3383–3398, <https://doi.org/10.5194/acp-16-3383-2016>, 2016.

835 Thompson, A. M., Witte, J. C., McPeters, R. D., Oltmans, S. J., Schmidlin, F. J., Logan, J. A., Fujiwara, M., Kirchhoff, V. W. J. H., Posny, F., Coetzee, G. J. R., Hoegger, B., Kawakami, S., Ogawa, T., Johnson, B. J., Vömel, H., and Labow, G.: Southern Hemisphere Additional Ozonesondes (SHADOZ) 1998–2000 tropical ozone climatology 1. Comparison with Total Ozone Mapping Spectrometer (TOMS) and ground-based measurements, *J. Geophys. Res.-Atmos.*, 108, 8238, doi:10.1029/2001jd000967, 2003.

840 Toon, O. B., Starr, D. O., Jensen, E. J., Newman, P. A., Platnick, S., Schoeberl, M. R., Wennberg, P. O., Wofsy, S. C., Kurylo, M. J., Maring, H., Jucks, K. W., Craig, M. S., Vasques, M. F., Pfister, L., Rosenlof, K. H., Selkirk, H. B., Colarco, P. R., Kawa, S. R., Mace, G. G., Minnis, P., and Pickering, K. E.: Planning, implementation, and first results of the Tropical Composition, Cloud and Climate Coupling Experiment (TC4), *J. Geophys. Res.*, 115, D00J04, <https://doi.org/10.1029/2009JD013073>, 2010.

Ueyama, R., Jensen, E. J., Pfister, L., and Kim, J.-E.: Dynamical, convective, and microphysical control on wintertime distributions of water vapor and clouds in the tropical tropopause layer, *J. Geophys. Res.-Atmos.*, 120, 10483-10500, <https://doi.org/10.1002/2015JD023318>, 2015.

845 Ueyama, R., Jensen, E. J., and Pfister, L.: Convective influence on the humidity and clouds in the tropical tropopause layer during boreal summer. *J. Geophys. Res. Atmos.*, 123, 7576-7593, <https://doi.org/10.1029/2018JD028674>, 2018.

Vaughan, M., S. Young, D. Winker, K. Powell, A. Omar, Z. Liu, Y. Hu, and Hostetler, C.: Fully automated analysis of space-based lidar data: An overview of the CALIPSO retrieval algorithms and data products. *Proc. SPIE Int. Soc. Opt. Eng.*, 5575, 16-30, 2004.

850 Vèrèmes, H., Payen, G., Keckhut, P., Dufлот, V., Baray, J.-L., Cammas, J.-P., Evan, S., Posny, F., Körner, S. and Bossler, P.: Validation of the Water Vapor Profiles of the Raman Lidar at the Maïdo Observatory (Reunion Island) Calibrated with Global Navigation Satellite System Integrated Water Vapor. *Atmosphere*, 10, 713, 2019.

855 Vömel, H., Barnes, J. E., Forno, R. N., Fujiwara, M., Hasebe, F., Iwasaki, S., Kivi, R., Komala, N., Kyrö, E., Leblanc, T., Morel, B., Ogino, S. Y., Read, W. G., Ryan, S. C., Saraspriya, S., Selkirk, H., Shiotani, M., Canossa, J. V., and Whiteman, D. N.: Validation of Aura Microwave Limb Sounder water vapor by balloonborne Cryogenic Frost point Hygrometer measurements, *J. Geophys. Res.-Atmos.*, 112, D24S37, doi:10.1029/2007JD008698, 2007.

Vömel, H., Naebert, T., Dirksen, R., and Sommer, M.: An update on the uncertainties of water vapor measurements using cryogenic frost point hygrometers, *Atmos. Meas. Tech.*, 9, 3755–3768, <https://doi.org/10.5194/amt-9-3755-2016>, 2016.

860 Witte J. C., Thompson, A. M., Smit, H. G. J., Fujiwara, M., Posny, F., Coetzee, G. J. R., Northam, E. T., Johnson, B. J., Sterling, C. W., Mohammed, M., Ogino, S.-Y., Jordan, A., daSilva, F. R., and Zainal, Z.: First reprocessing of Southern Hemisphere ADDitional OZonesondes (SHADOZ) profile records (1998–2015) 1: Methodology and evaluation, *J. Geophys. Res.*, 122, 6611–6636, <https://doi.org/10.1002/2016JD026403>, 2017.

865 Yan, X., Wright, J. S., Zheng, X., Livesey, N. J., Vömel, H., and Zhou, X.: Validation of Aura MLS retrievals of temperature, water vapour and ozone in the upper troposphere and lower–middle stratosphere over the Tibetan Plateau during boreal summer, *Atmos. Meas. Tech.*, 9, 3547–3566, <https://doi.org/10.5194/amt-9-3547-2016>, 2016.

Yuan, W., Geller, M. A. and Love, P. T.: ENSO influence on QBO modulations of the tropical tropopause. *Q. J. Roy. Meteorol. Soc.*, 140: 1670-1676. <https://doi:10.1002/qj.2247>, 2014.

Yulaeva, E. and Wallace, J. M.: The Signature of ENSO in Global Temperature and Precipitation Fields Derived from the Microwave Sounding Unit, *J. Climate*, 7, 1719-1736, [https://doi.org/10.1175/1520-0442\(1994\)0072.0.co;2](https://doi.org/10.1175/1520-0442(1994)0072.0.co;2), 1994.

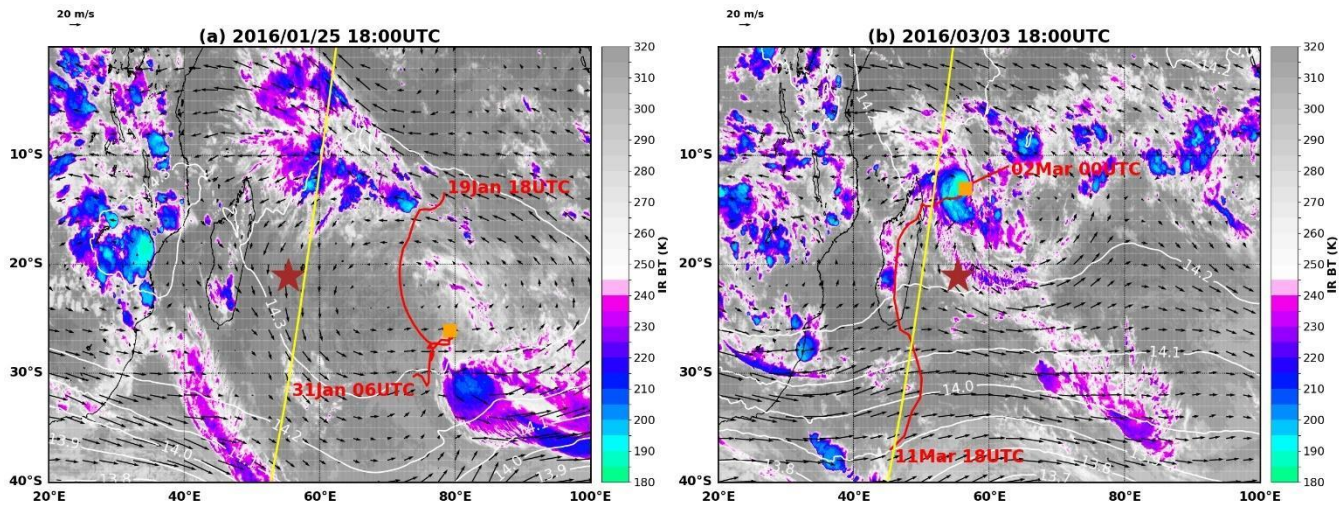
870 Yulaeva, E., Holton, J. R., and Wallace, J. M.: On the Cause of the Annual Cycle in Tropical Lower-Stratospheric Temperatures, *J. Atmos. Sci.*, 51(2), 169-174, 1994.

Zhou, X. L., Geller, M. A., and Zhang, M. H.: Tropical cold point tropopause characteristics derived from ECMWF reanalyses and soundings, *J. Climate*, 14, 1823–1838, [https://doi.org/10.1175/1520-0442\(2001\)0142.0.co;2](https://doi.org/10.1175/1520-0442(2001)0142.0.co;2), 2001.

875 Zhou, X. L., and J. R. Holton: Intraseasonal variations of tropical cold point tropopause temperatures. *J. Climate*, 15, 1460-1473, 2002.

Table 1: CPT properties (temperature and height) from the radiosonde launches on 25 January 2016/3 March 2017 and NDACC/SHADOZ seasonal mean (December-March) CPT properties (for the period 1997-2017).

	Observations	CPT T (K)	CPT altitude (km)
mean SHADOZ Dec-March (1997-2017)	200	193.90 (± 2.26)	17.31 (± 0.71)
Profile on 25 January 2016	1	192.64	17.30
Profile on 3 March 2017	1	194.58	16.10



885

Figure 1: Infrared ($10.8 \mu\text{m}$) brightness temperature (K) observed by METEOSAT-7 at the time of the CFH launch for a) 25 January 2016 at 18 UTC and b) 3 March 2017 at 18 UTC. The red lines correspond to the best tracks of tropical cyclones Corentin (19-31 January 2016) and Enawo (02-11 March 2017). The orange squares indicate the positions of the TC centers (defined as the minimum pressure in the Météo-France best track data) at the time of the satellite observation. The brown stars indicate the position of the Maïdo Observatory on Réunion Island. The yellow lines correspond to CALIPSO orbit tracks on 25 January 2016 at 21:06 UTC and 3 March 2017 at 21:41 UTC. Arrows on the maps represent the wind field at 150 hPa from the ECMWF analyses at 18 UTC. The white contours indicate ECMWF geopotential heights at 150 hPa.

890

895

900

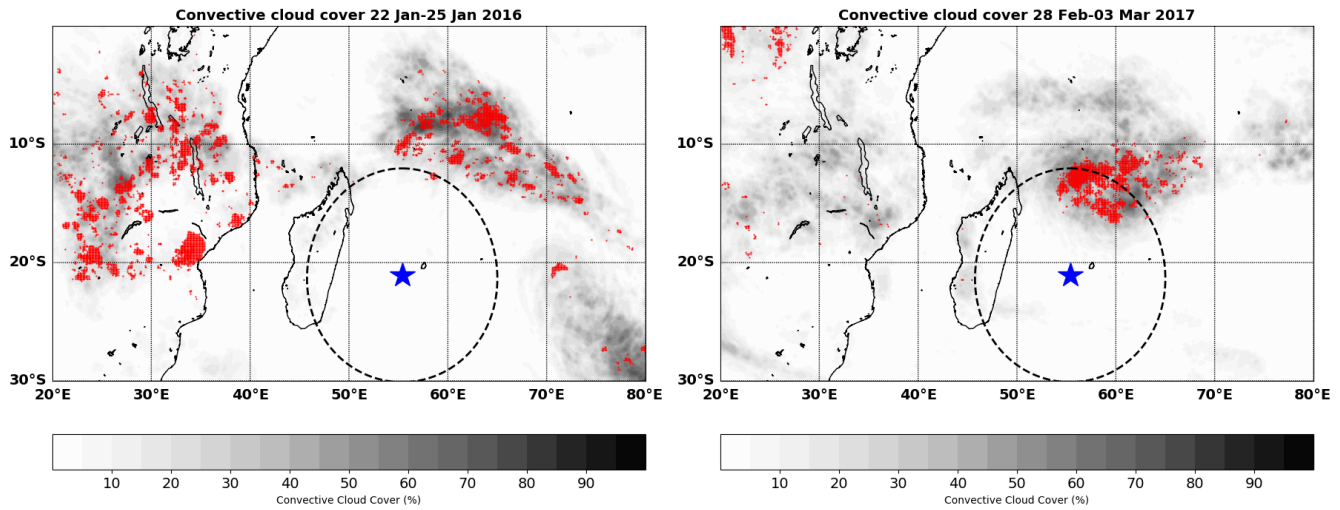
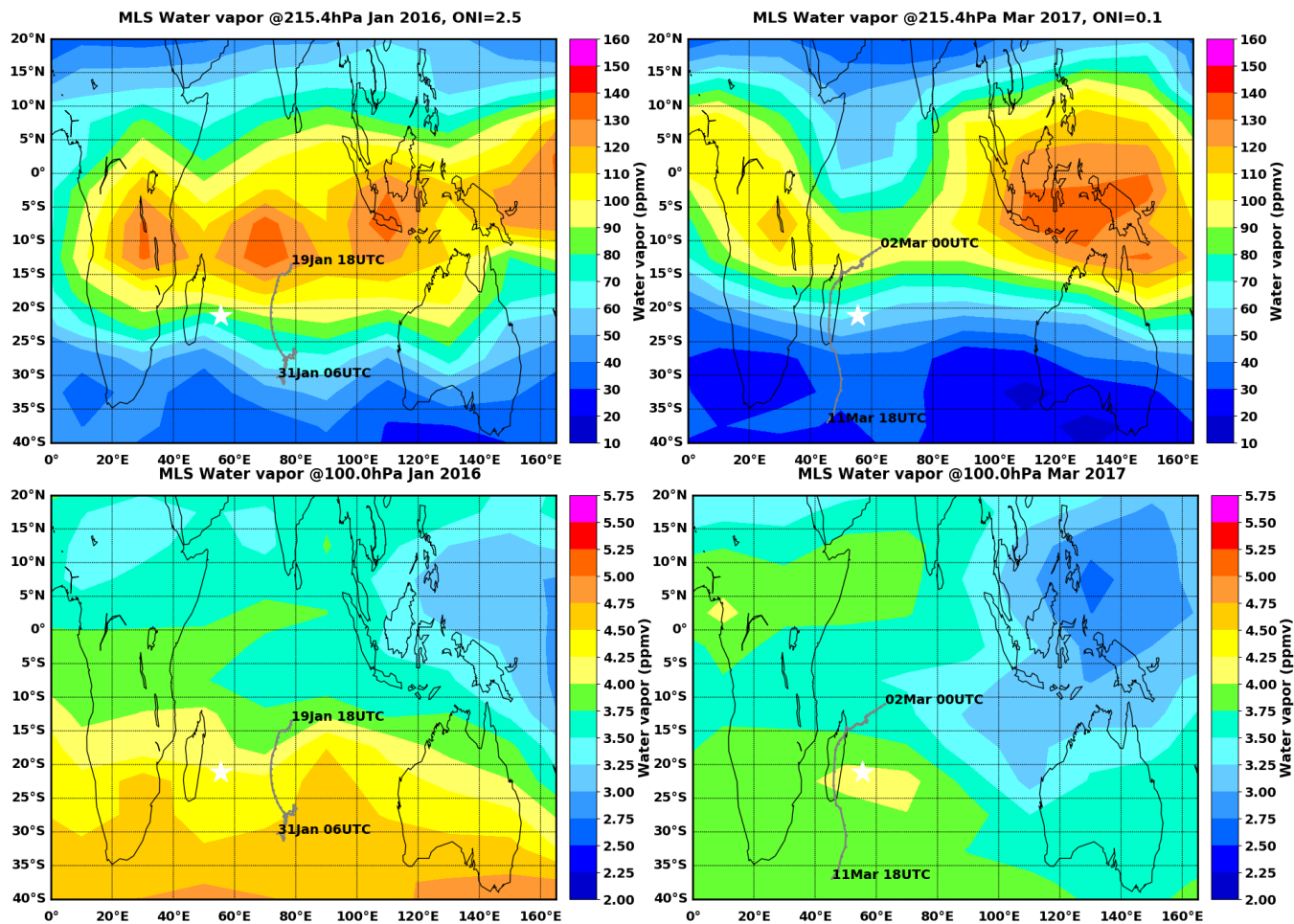


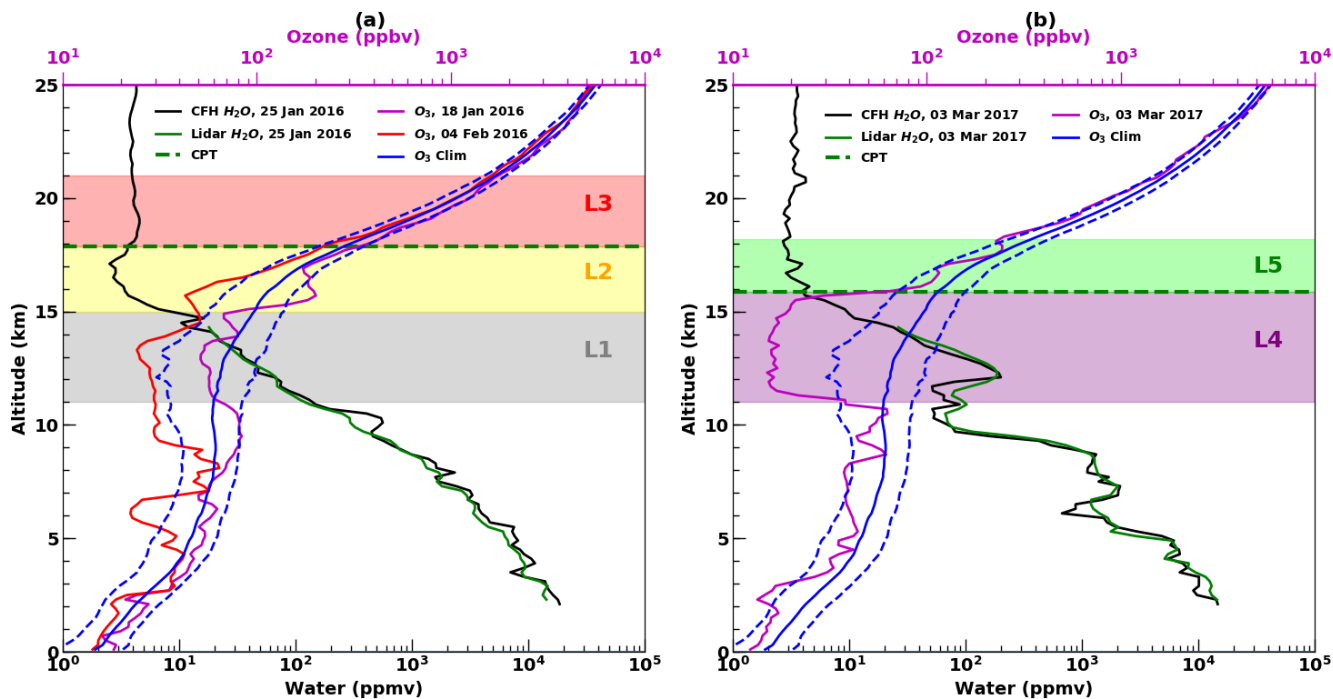
Figure 2: Maps of convective cloud cover (gray shading) computed using 3-hourly data of METEOSAT 7 infrared brightness temperature at 5 km resolution for 22-25 January 2016 (left) and 28 February-3 March 2017 (right). The red dots indicate pixels with the coldest tops (≤ 190 K) that capture the deepest part of convection. The dashed circle indicates a range ring of 1000 km around the Maïdo Observatory (blue star).

905



910

Figure 3: MLS water vapor mixing ratio (ppmv) gridded in the SWOOSH data set at 215 hPa for January 2016 (upper left) and for March 2017 (upper right). The gray lines correspond to the best tracks of tropical cyclones Corentin (19-31 January 2016) and Enawo (02-11 March 2017). Bottom panels: same as upper panels but for 100 hPa.



915 **Figure 4.** Vertical profiles of: a) CFH and lidar water vapor profiles (ppmv) on 25 January 2016 (black and green line
 respectively), NDACC/SHADOZ ozone profiles on 18 January 2016 (purple line) and 4 February 2016 (red line); b) CFH and
 lidar water vapor profiles (black and green line respectively) and NDACC/SHADOZ ozone profile (purple line) on 3 March
 2017. The location of the cold point tropopause is indicated by the dashed green line. Also shown on each plot is the 1998-
 2017 climatological mean ozone profile (blue line) for DJFM and the \pm one standard deviation of the climatology corresponds
 920 to the dashed blue line. The most important layers in the water vapor/ozone profiles are shaded and named.

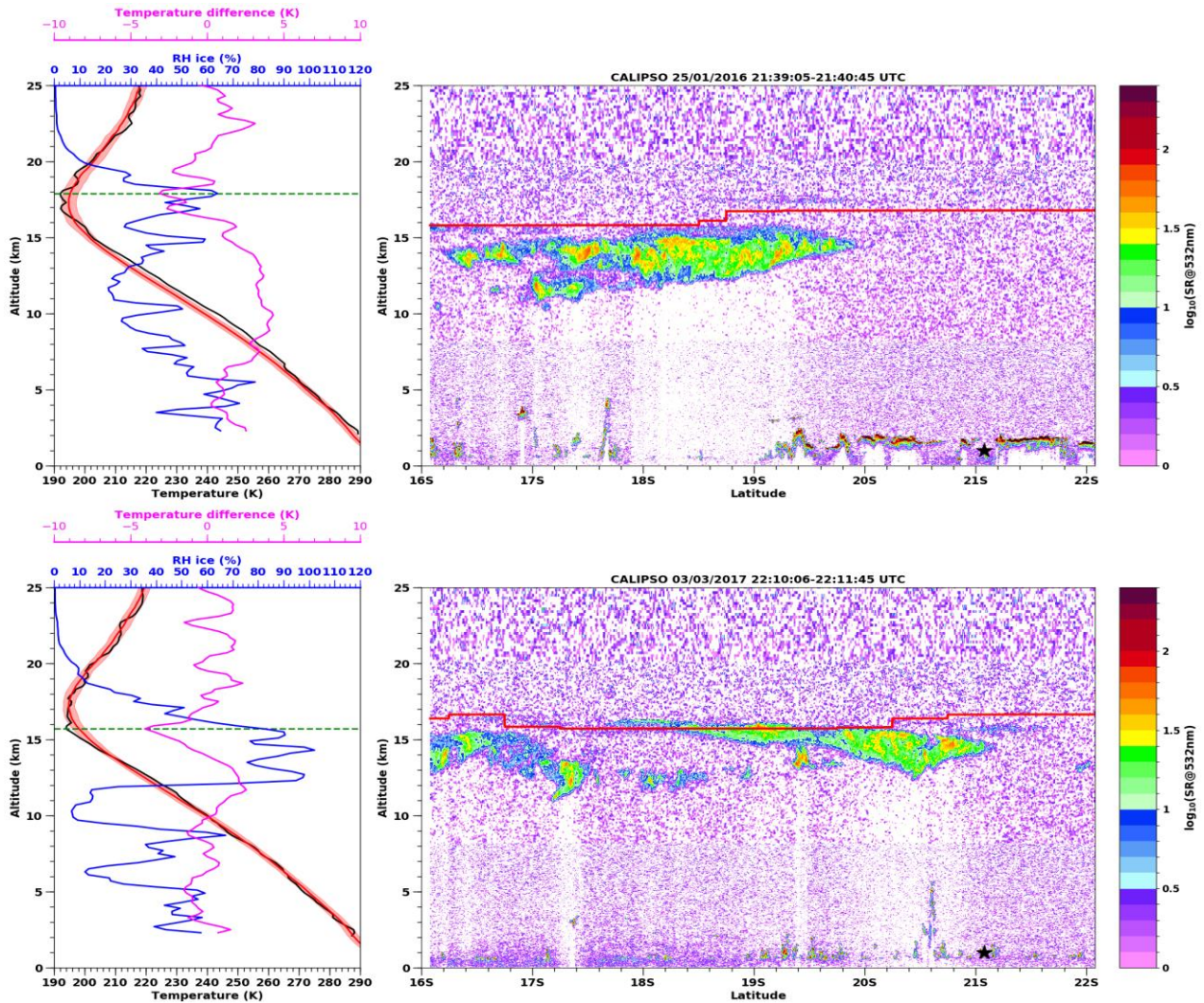


Figure 5: Top and bottom left: vertical profiles of temperature and relative humidity with respect to ice (black and blue line respectively) measured on 25 January 2016 at 17:52 UTC and 3 March 2017 at 18:00 UTC. **The green dashed line corresponds to the cold point tropopause.** The NDACC/SHADOZ climatological-mean summertime (DJFM) profile of temperature (red line), the \pm one standard deviation (red shading) and temperature anomaly (magenta line) are also shown. Top and bottom right: Latitude-altitude distribution of CALIOP backscattering ratio at 532 nm along CALIOP track near Réunion Island on 25 January 2016 (top right) and 3 March 2017 (bottom right). The mean longitude difference between the CFH profile and the CALIOP track is 2.4° on 25 January 2016 and 5.3° on 3 March 2017. The red curve on each CALIOP plot corresponds to the tropopause height provided by the GEOS 5 global model data available in the CALIPSO Level 1 data files. The latitude of the Maïdo Observatory is indicated by the black star on each CALIOP plot.

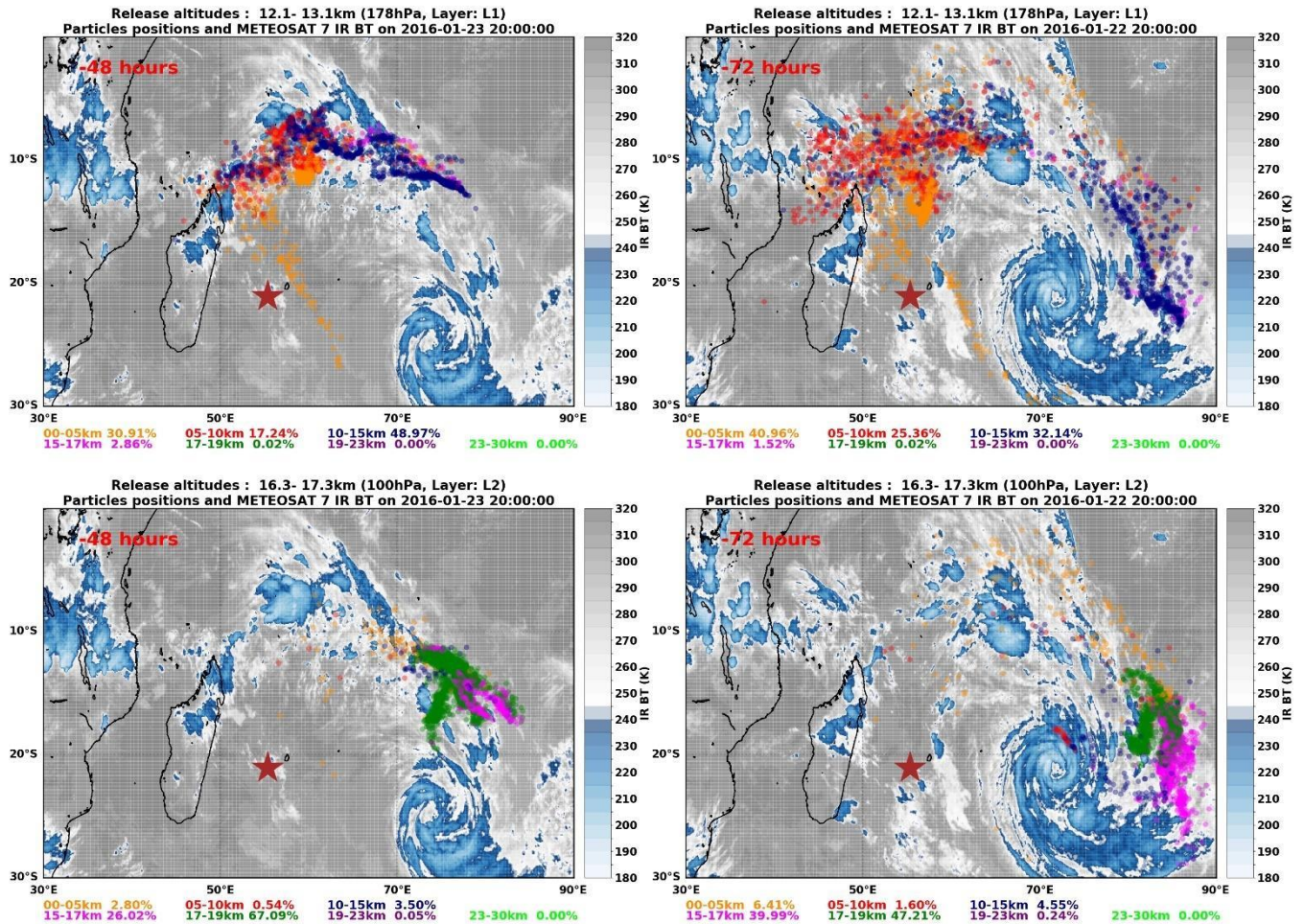


Figure 6: Backward trajectories calculated with the FLEXPART model for the CFH flight on 25 January 2016. On the upper panel backward trajectories were initialized at 178 hPa (Layer L1) on 25 January 2016. The particle positions two days before (on 23 January 2016 at 20 UTC, upper left) and three days before (on 22 January 2016 at 20 UTC, upper right) are shown with respect to the METEOSAT 7 cloud distribution at those times. The altitude range of the particles (e.g. 0-5km) and the percent of particles in that altitude range are indicated according to a color code shown on the bottom of each panel. Bottom panel: same as upper panel but for backward trajectories initialized at 100 hPa (Layer L2) on 25 January 2016.

935

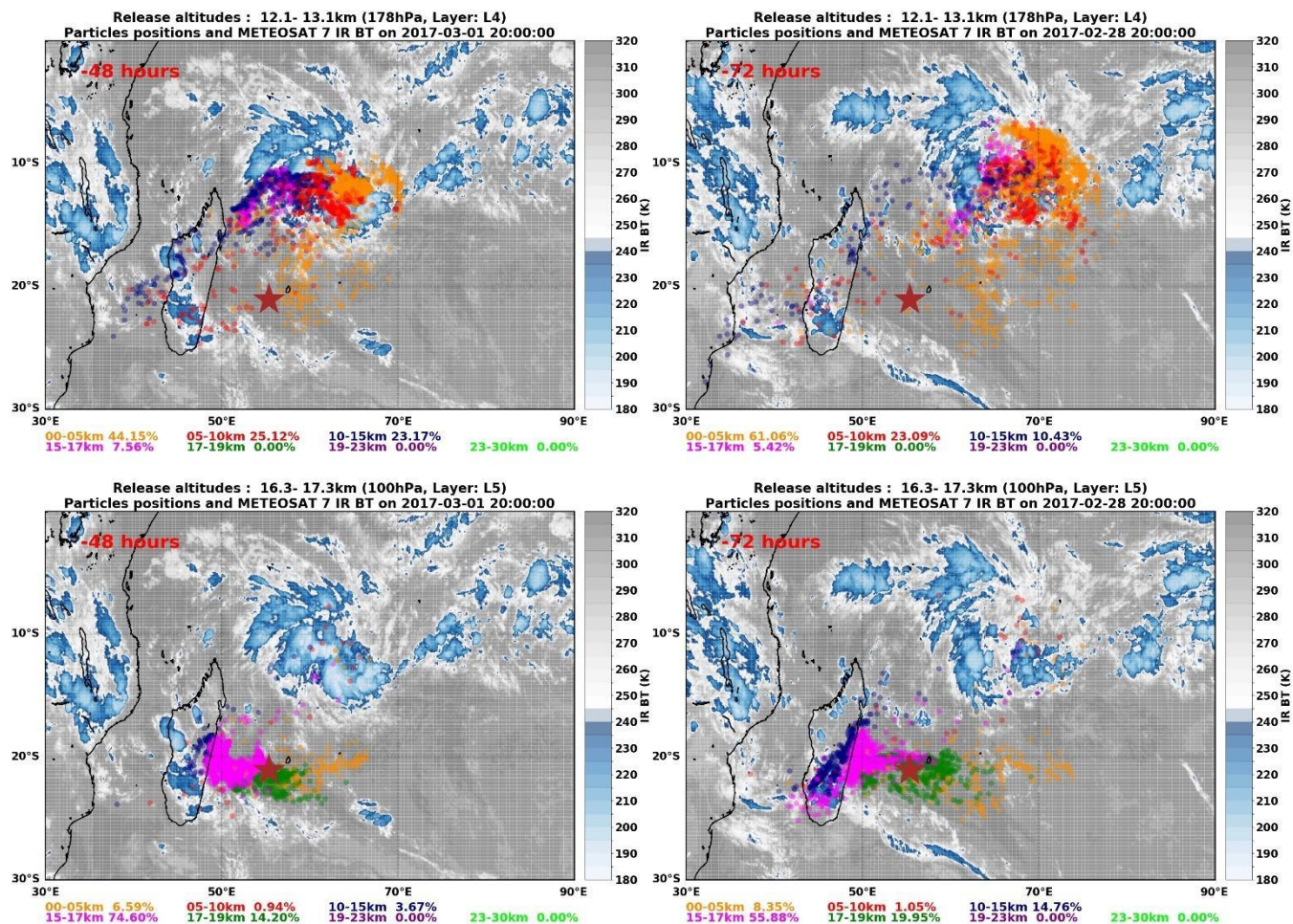


Figure 7: Backward trajectories calculated with the FLEXPART model for the CFH flight on 3 March 2017. On the upper panel backward trajectories were initialized at 178 hPa (Layer L4) on 3 March 2017. The particle positions two days before (on 1 March 2017 at 20 UTC, upper right) and three days before (on 28 February 2017 at 20 UTC, upper left) are shown with respect to the METEOSAT 7 cloud distribution at those times. The altitude range of the particles (e.g. 0-5km) and the percent of particles in that altitude range are indicated according to a color code shown on the bottom of each panel. Bottom panel: same as upper panel but for backward trajectories initialized at 100 hPa (Layer L5) on 3 March 2017.

940

945

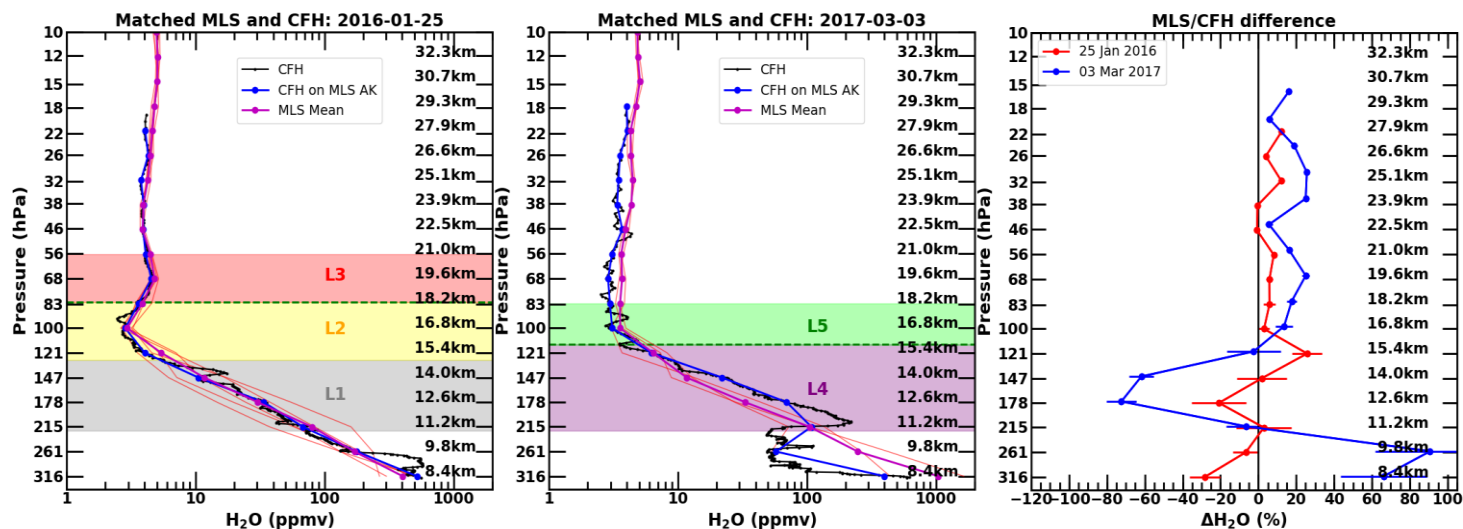


Figure 8: Left and middle panels: High-resolution (black line) and convolved (blue line) CFH water vapor profiles and closest-matched MLS profiles (thin red line) on 25 January 2016 (5 profiles) and 3 March 2017 (3 profiles). The mean MLS profile for each date corresponds to the thick magenta line. The location of the cold point tropopause is indicated by the dashed green line. Important water vapor features are shaded and named. Right panel: Mean percent difference between the convolved CFH water vapor profile and MLS coincident profiles on 25 January 2016 (red line) and 3 March 2017 (blue line). The horizontal bars indicate twice the standard error of the mean percent difference. Markers for each pressure level on 3 March 2017 are slightly offset in pressure for clarity. Corresponding altitude values for MLS pressure levels are also shown on each plot.

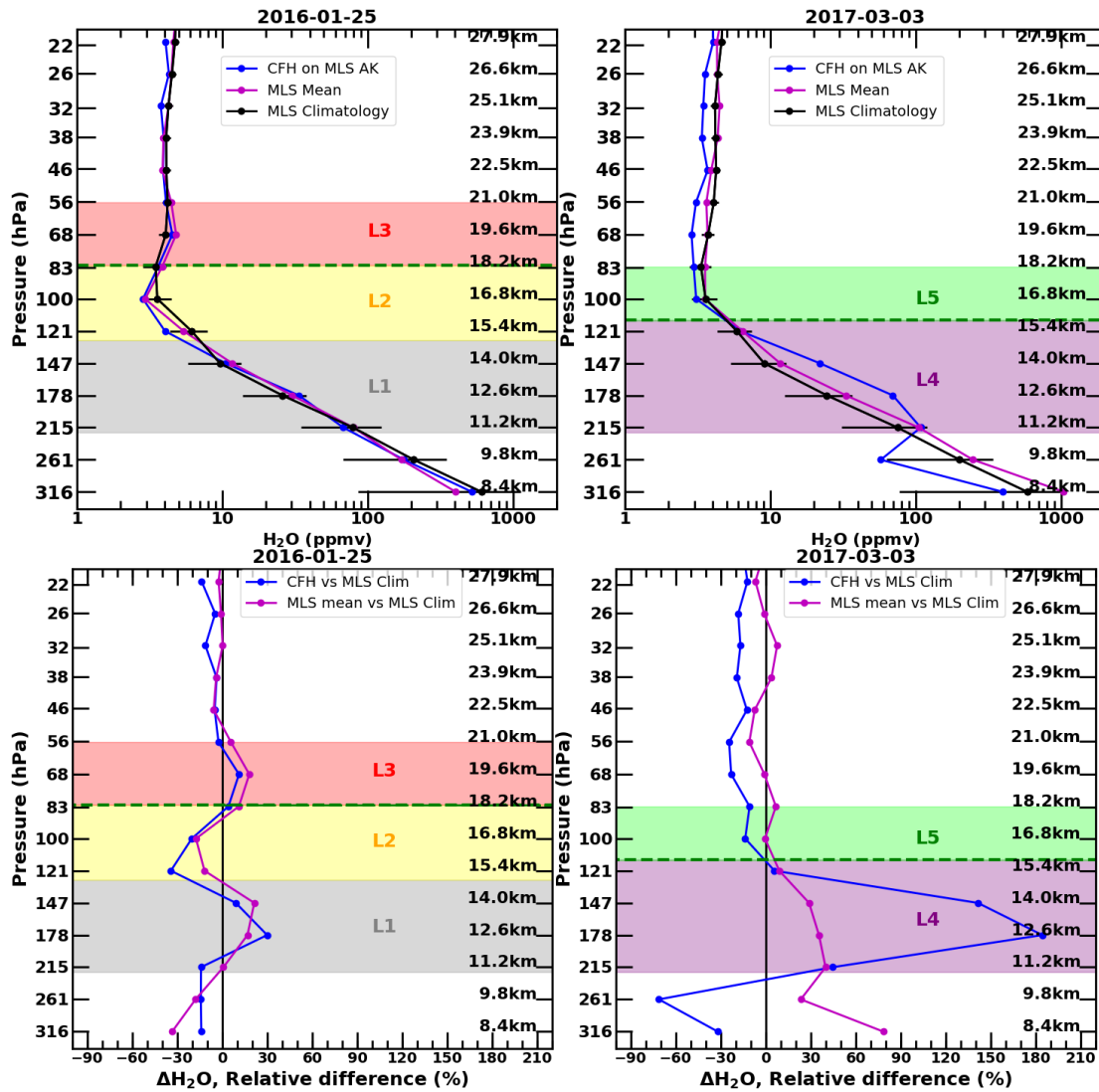


Figure 9: Upper panel: Convolved CFH water vapor profiles (blue line), mean of closest-matched MLS profiles (magenta) and monthly mean climatological MLS water vapor profile for Réunion Island (black line, see text for definition of the MLS climatological profile) on 25 January 2016 (upper left) and 3 March 2017 (upper right). The horizontal bars in black correspond to the \pm one standard deviation range. Bottom panel: Relative difference between the convolved CFH water vapor profile and the MLS climatological profile for Réunion Island (blue line) and the mean of closest-matched MLS profiles and the MLS climatological profile (magenta line). **The convective fraction computed with FLEXPART back trajectories and METEOSAT 7 infrared brightness temperature is shown in red.** Corresponding altitude values for MLS pressure levels are also shown on each plot.