May 08, 2020

Dear Editor Prof. Fangqun Yu,

On behalf of all co-authors, we would like to thank you for your help on handing our manuscript entitled “Effects of continental emissions on Cloud Condensation Nuclei (CCN) activity in northern South China Sea during summertime 2018”. We also want to thank the referees for valuable comments and suggestions on the manuscript. We believe that we have addressed all the concerns raised by referees. Enclosed in this letter are our point-by-point responses to the referees’ comments (in blue) and changes made in the revision (in red). We hope our revised manuscript would be satisfactory for acceptance. Again, thank you very much for your time and efforts on handling our manuscript. We are looking forward to hearing from you soon!

Yours sincerely,

Jun Zhao, Prof./PhD

Haobo Tan, Prof./PhD

Reply to reviewer #1' comments

We would like to thank the reviewer for valuable comments and suggestions. We have addressed all raised issues in the revision accordingly. Please kindly find our following point-by-point responses (the reviewer's comments in black, our responses in blue, and relevant changes in red).

Major comments:

1. The authors mention that they removed abnormal measurement spikes from their data set. They attribute these spikes to potential interference from their ship or other ships' emissions. This seems rather arbitrary way of throwing out data without more concrete reasoning. Can the authors provide chemical analysis of particles found in these spikes? Does the composition match what is expected for ship exhaust? Also, does the wind direction correlate with when ship emission would impact the container of instruments? How long did these spikes last? How many spikes in the data were there (hard to tell from the Figure S1)? Were the spikes just removed or averaged out? It would be helpful to actually reference the supplemental figures in the main text so the reader knows what the raw data of NCN looked like. The authors point out that shipping emission likely contribute significantly to particles in the South China Sea (line 120). Why then would the authors throw out observations from shipping emissions? In short, the authors must better justify why these spikes in data were thrown out and to know more definitively what was causing these spikes.

Reply:

We thank the reviewer for commenting on processing the abnormal spikes. We have added the temporal profiles of aerosol chemical composition, $NO/NO_2/NO_X$, along with wind direction and speed in Figure S1. Before removing the abnormal data, the spikes appear simultaneously in N_{CN} (d), organics (f) and $NO/NO_2/NO_X$ (g). There are mainly three potential sources: 1) emissions from our ship and other adjacent ships; 2) emissions from the kitchen in our ship; 3) smoking from sailors in our ship (It is really unfortunate, however, smoking did happen frequently during the measurements). It is difficult to distinguish those sources without detailed

source apportionment. As we focus on cloud condensation nuclei (CCN) activity, we do not intend to apportion sources of organic matter in this paper and detailed organic source apportionment will be the focus of our next paper.

We measure $NO/NO_2/NO_X$ every minute in comparison to 5 and 15 min per cycle respectively for the CCN and ToF-ACSM measurements. Hence more spikes could be found in the profiles of $NO/NO_2/NO_X$ than in organic composition from the ToF-ACSM measurements. A total number of about 30, 40, 50 spikes were found in the profiles of organic composition, N_{CN}, and $NO/NO_2/NO_X$, respectively.

The weather station was placed on the rooftop of the cockpit which was located in the front of the ship. Wind direction and speed measured by the weather station was shown in Figure S1 (a, b). Note that the wind direction and speed are relative to those of the ship because it sailed most of the time during the measurements. Hence corrections are needed for accurate determination of the absolute wind direction and speed, which needs high temporal resolution and precise records on the direction and speed of the ship. Unfortunately, such records are not available during the measurements. Nevertheless, the spikes do not correspond significantly to changes of the wind direction and speed as shown in Fig. S1.

The spikes could last from minutes to hours. If they are from smoking, then they could last a few minutes. In some cases, if other ships sailed adjacently (i.e., within a few hundred meters) in the same direction, emissions from those ships could cause spikes that would last a few hours. When the ship anchored, emissions from the ship itself could cause spikes as well. In this study, the protocol for removal of spikes is to keep as many data as we can while avoiding too complicated data processing. We argue that ship emission could be one of the major particle sources in the South China Sea region. Here ship emission should be regional representative, rather than emissions from the ship itself and emissions from other adjacent ships which are really close to the measurement ship (i.e., within several hundred meters) because those emissions could cause extreme heterogeneity of spatial distributions. Hence those emissions should be excluded from further data analysis. Base on the above rationales, we remove all the spikes associated with smoking, emissions from the ship and from other adjacent ships, and emissions from the kitchen on the ship. We have also included concentrations of chemical composition and $NO/NO_2/NO_X$ before and after removal in Fig. S1 for comparison. We believe

that removal of the spikes based on the proposed protocol would not significantly affect our conclusions on the CCN activity in this study.

We have now added several sentences on p14 (L278-282) in the revision, "For consistency, we removed spikes likely associated with smoking, emissions from the ship itself and other adjacent ships and cooking from further data analysis, including either abrupt high number concentrations of particles (measured by SMPS), organics (measured by ToF-ACSM), and NO_X (measured by the NO_X monitor) (Detailed criteria can be referred to descriptions and Fig. S1 in supplementary)."

We have also included a paragraph of detailed descriptions regarding how to remove spikes from the data in supplementary.

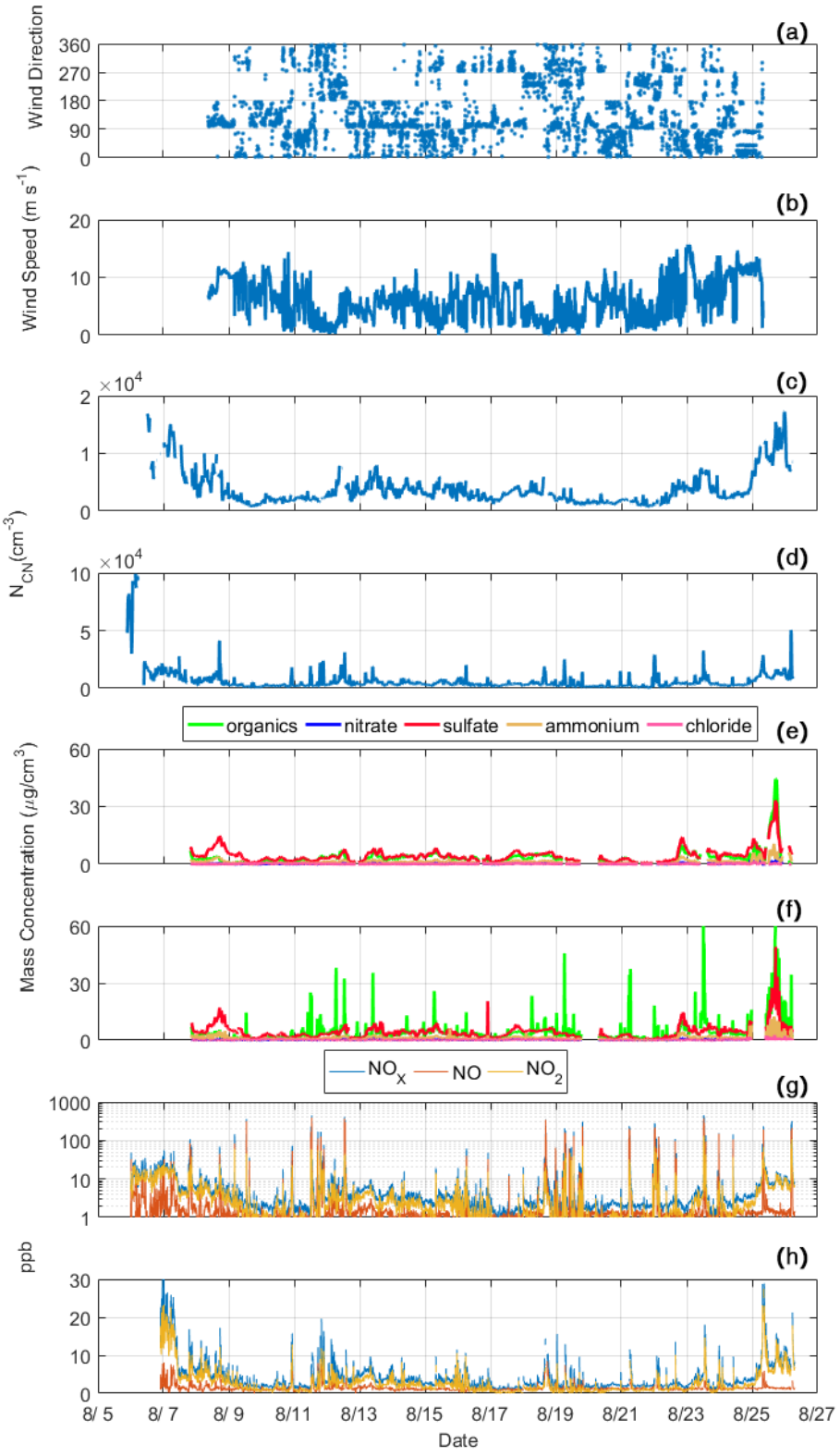


Figure S1. Temporal profile of wind direction (a) and wind speed (b), N_{CN} after (c) and before (d) removal of the abnormal data, chemical composition after (e) and before (f), and $NO/NO_2/NO_X$ before (g) and after (h) removal of the abnormal data.

2. Line 270: authors attribute high sulfate content to ship emissions instead of oxidation of DMS from ocean. Can the authors estimate sulfur emissions from the ocean from previous studies and compare that to what they saw? This would provide more solid evidence that the elevate sulfate content is due to ship emissions than from ocean DMS.

Reply:

We thank the reviewer for valuable suggestions on sulfate formation. Possible sources of sulfate include ship emissions, DMS oxidation, and transport from inland etc. The oxidation of DMS leads to formation of sulfur dioxide and methansulfonic acid (MSA) both of which can be further oxidized to produce non-sea-salt (NSS) sulfate in marine atmosphere. Oxidation of SO_2 from ship emissions or inland transport can also be a major source of NSS sulfate (Savoie et al., 2002). As an intermediate between DMS and sulfate, MSA in principle can be detected by ToF-ACSM, although resolution of the instrument is low. We are currently working on MSA identification and quantification from this cruise measurement. Preliminary results show that the fraction of sulfate from DMS oxidation is far below that from ship emissions. An early study showed that anthropogenic sulfate accounted for about 81-97% of NSS sulfate over China Sea (Guo et al., 1996). A ratio of 15-655 NSS sulfate to MSA in $PM_{2.5}$ was reported in the Northern South China Sea (Zhang et al., 2007), much higher than that (18-20) in the remote marine (Savoie et al., 2002). Here we employed the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) to analyze the distribution of ratio of sulfate to MSA at 925 hPa during the measurement period. The results were shown in Fig. S2 and the ratio ranged from 100 to 10000 over the SCS, much higher than that in the remote Pacific Ocean (1-50). In addition, it also increases with latitude, indicating that the anthropogenic emission is the major source of the total sulfate in the Northern SCS region.

To be clarified, we have added several sentences to discuss the origin of sulfate on p13-14 (L257-270) in the revision, "The oxidation of DMS leads to formation of sulfur dioxide and methansulfonic acid (MSA) both of which can be further oxidized to produce non-sea-salt (NSS) sulfate in marine atmosphere. Oxidation of SO_2 from ship emissions or inland transport can also be a major source of NSS sulfate (Savoie et al., 2002). As an intermediate between DMS and sulfate, MSA in principle can be detected by ToF-ACSM, although resolution of the instrument is low. Preliminary results show that the fraction of sulfate from DMS oxidation is

far below that from ship emissions. An early study showed that anthropogenic sulfate accounted for about 81-97% of NSS sulfate over China Sea (Gao et al., 1996). A ratio of 15-655 NSS sulfate to MSA in $PM_{2.5}$ was reported in the northern South China Sea (Zhang et al., 2007), much higher than that (18-20) in the remote marine (Savoie et al., 2002). Here we employed the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) to analyze the distribution of ratio of sulfate to MSA at 925 hPa during the measurement period (GMAO, 2015). The results were shown in Fig. S2 and the ratio ranged from 100 to 10000 over the SCS, much higher than that in the remote Pacific Ocean (1-50). In addition, it also increases with latitude, indicating that the anthropogenic emission is likely the major source of the total sulfate in the northern SCS region."

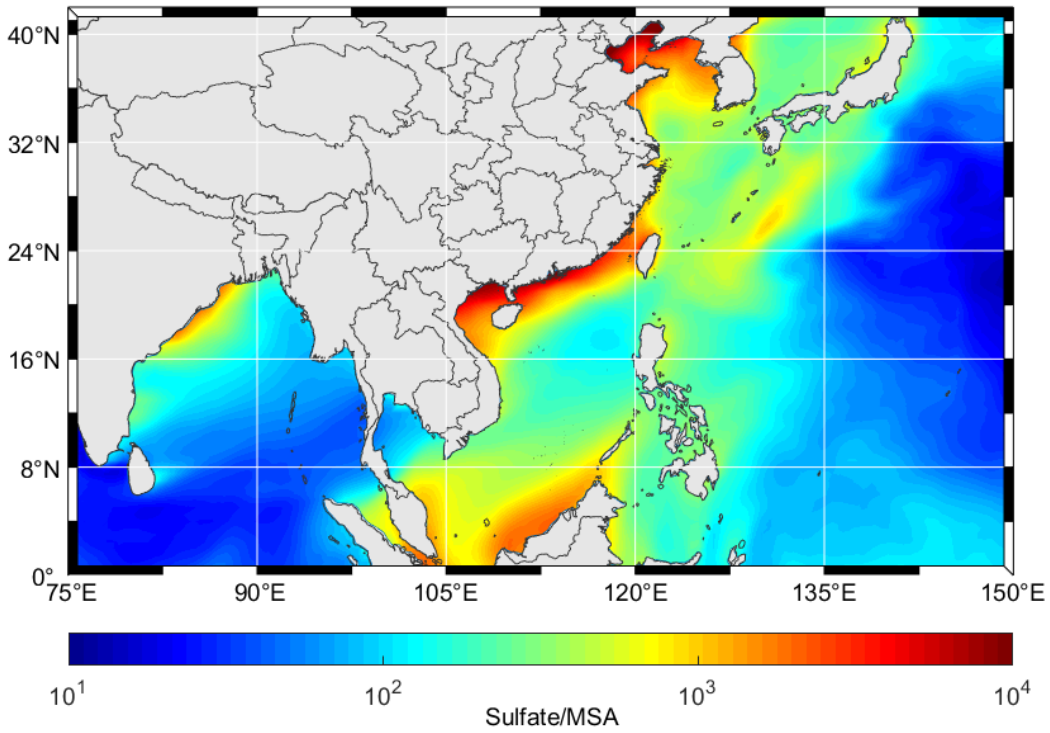


Figure S2. The ratio of sulfate to MSA at 925 hPa from MERRA-2 reanalysis dataset (GMAO, 2015).

Minor Comments:

1. Abstract seems unnecessarily long. Would be more readable if it were shortened to include the main point of the paper.

Reply:

We have modified the abstract as follows, "Aerosol particles in marine atmosphere have been shown to significantly affect cloud formation, atmospheric optical properties, and climate change. However, high temporally and spatially resolved atmospheric measurements over sea are currently sparse, limiting our understanding of aerosol properties in marine atmosphere. In this study, a ship-based cruise campaign was conducted over northern South China Sea (SCS) region during summertime 2018. Chemical composition of non-refractory PM_1 (NR-PM_1), particle number size distribution (PNSD) and size-resolved cloud condensation nuclei (CCN) activity were measured by a time-of-flight aerosol chemical speciation monitor (ToF-ACSM), and the combination of a cloud condensation nuclei counter (CCNc) and a scanning mobility particle sizer (SMPS), respectively. Overall, aerosol particles exhibited a unimodal distribution centering at 60~80 nm and chemical composition of the NR-PM_1 was dominated by sulfate (~46%) which likely originated from anthropogenic emissions rather than dimethyl sulfide (DMS) oxidation. Two polluted episodes were respectively observed at the beginning (P1) and at the end (P2) of the campaign and both were characterized by high particle number concentrations (N_{CN}) which originated respectively from local emissions and from emissions in inland China via long range transport as shown by back trajectory analysis. The concentrations of trace gases (i.e., O_3, CO, NO_X) and particles (N_{CN} and N_{CCN} at ss=0.34%) were elevated during P2 and decrease with the offshore distance, further suggesting important impacts of anthropogenic emissions from the inland Pearl River Delta (PRD) region on the northern SCS. Two relatively clean periods (C1 and C2) prior to and after tropical storm Bebinca were classified due to substantial removal of pollutants by strong winds and rainfalls accompanying with the storm. During C1 and C2 periods, the air was affected by air masses from southwest and from Indo-China Peninsula, respectively. Chemical composition measurements showed an increase of organic mass fraction during P2 compared to C2; however, no obviously different κ values were obtained from the CCNc measurements, implying that the air masses carried pollutants from local sources during long range transport. We report an average value of about 0.4 for aerosol hygroscopicity parameter κ which falls within the literature values (i.e., 0.2-1.0) for urban and remote marine atmosphere. In addition, our results showed that the CCN fraction ($N_{CCN}/N_{CN,tot}$) and the κ values obtained from the CCNc

measurements (ss=0.34%) had no clear correlation either with the offshore distance or with concentrations of the particles. Our study highlights dynamical variations of particle properties and the impact of long range transport from the China continent and Indo-China Peninsula on the northern SCS region during summertime."

2. Line 21: high temporally and spatially resolved

Reply: The sentence has been revised to "…high temporally and spatially resolved" in the abstract.

3. Line 82: how was mixing state important for CCN? If it's important, why did the authors not address how mixing state may impact their measurements conclusions?

Reply:

Mixing state of particles has important impact on CCN prediction based on chemical composition and D_{50} if the measurements were significantly affected by primary emissions, since the above methods for CCN prediction assume particles to be internally mixed. Freshly emitted black carbon (BC) particles are externally mixed and hydrophobic, hence they are hard to be activated as CCN. However, aged BC particles coated with inorganic matter will become internally mixed and CCN activated. In addition, mixing state of particles can also affect the shape of activation curves. For externally mixed particles, their activation curves are usually smooth (Cai et al., 2018).

We have plotted the activation curves at 0.18% ss during the four periods (P1, P2, C1, and C2) as shown in Fig. S4 in supplementary and have added a paragraph to discuss mixing state on p21-22 (L429-441) in the revision, "The mixing state and heterogeneity of particles can affect the steepness of the activation curves (Cai et al., 2018). A steeper curve indicates that particles intend to be internally mixed and have a higher similarity in hygroscopicity. The average activation curves at 0.18% SS during the P1, C1, C2 and P2 periods are shown in Fig. S4. The parameter C (in Eq. 1) can be used to present the steepness of activation curve. A small C value indicates a steep activation curve. The C values during P1, C1, C2 and P2 periods were -8.5, -14.3, -13.7 and -10.6, respectively. The smooth curve and the largest C value during P1 suggest that particles had a higher degree of external mixing and higher heterogeneity, owing to the

local fresh emissions. The C values during C1 and C2 periods were close and smaller than those in pollution periods, implying particles during clean periods were more aged and tend to be more internally mixed. The backward trajectories show that the air masses during clean periods were less affected by fresh emissions. The activation curve during P2 period was smoother than C1 and C2 but steeper than P1, indicating that the particles during this period could be a mixture of aged particles from China inland and fresh particles from onshore emissions."

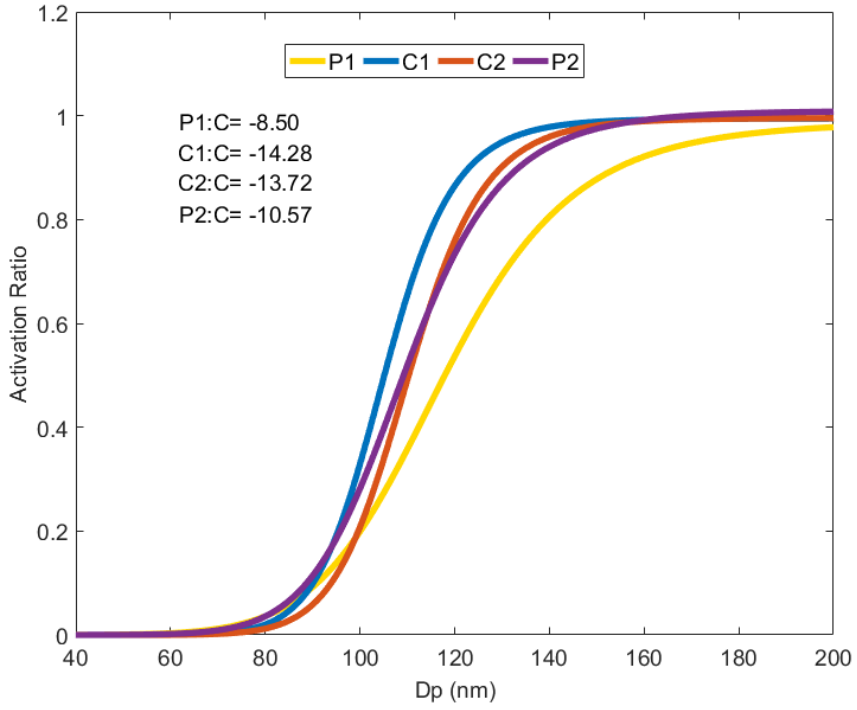


Figure S4. The activation curve at 0.18% ss during the P1, C1, C2 and P2 periods. Different colors represent different periods.

4.Line 102: unclear the sentence starting with Furthermore.

Reply: "Furthermore" has been replaced by "Meanwhile" on p6 (L95)

5. Line 148: delete both

Reply: deleted.

6. Line 149: comma before which

Reply: A comma has been added.

7. Line 161: “, which is listed in Table 1,”

Reply: The sentence has been revised.

8. Line 213: remove firstly

Reply: Removed.

9. Line 299: please use parallel phrasing for this sentence.

Reply: This sentence has been revised on p15 (L299-301) in the revision to “Comparison of the hygroscopicity parameter κ obtained from this study, urban Guangzhou, remote marine Okinawa, remote South China Sea, and mountain Goldlauter was shown in Fig. 3.”

10. Line 385: was likely

Reply: It has been revised.

11. Line 405: if the particles are from biomass burning, do the authors observe any of the classic biomass burning tracers (such as K)?

Reply:

The K can be a tracer of biomass burning and sea salt. However, the vaporizer of ACSM and AMS can produce a large amount of K^+, which will interfere the ambient K signal. To our knowledge, there could be a large uncertainty of the K concentration measured by ACSM. The levoglucosan can also be the tracer of biomass burning. Nevertheless, the mass resolution of our ACSM is too low to distinguish levoglucosan from other species. Currently, there are no direct evidences indicating the impact of biomass burning. We analyzed fire detection data during the measurements from MODIS in combination with back trajectories during C2 which clearly show that the air parcels pass through the fire region. We have modified Fig. 7 and added a discussion on p20 (L407-410), “The backward trajectories during C2 pass through the burning regions in Southeast Asia (e.g., Viet Nam, Laos, Cambodia etc.), also supporting this conjecture.

However, more solid evidences are needed since the observation of biomass burning tracers (such as K and levoglucosan) is missing in this campaign."

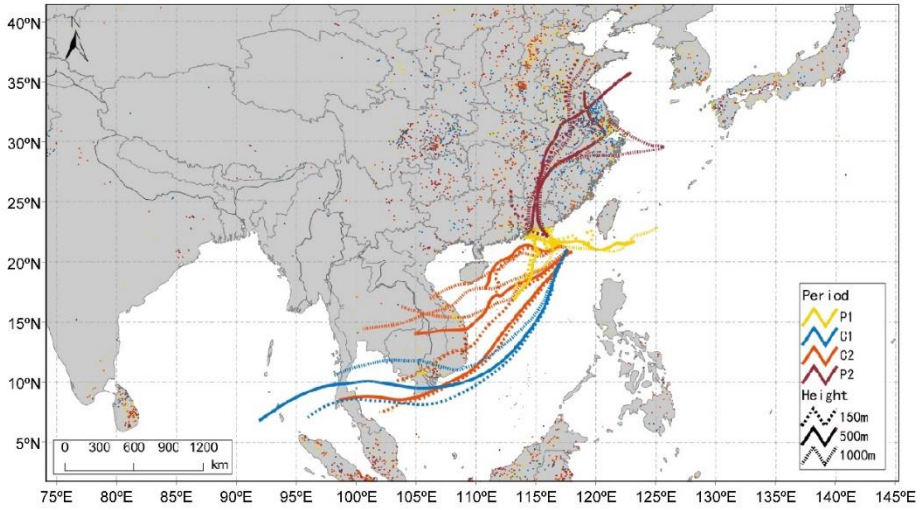


Figure 7. The 72 h backward trajectories arriving at the location of the vessel with three heights (150 m, 500 m, and 1000 m) during P1, C1, C2, and P2, respectively. The dots represent the fire spots detected by MODIS.

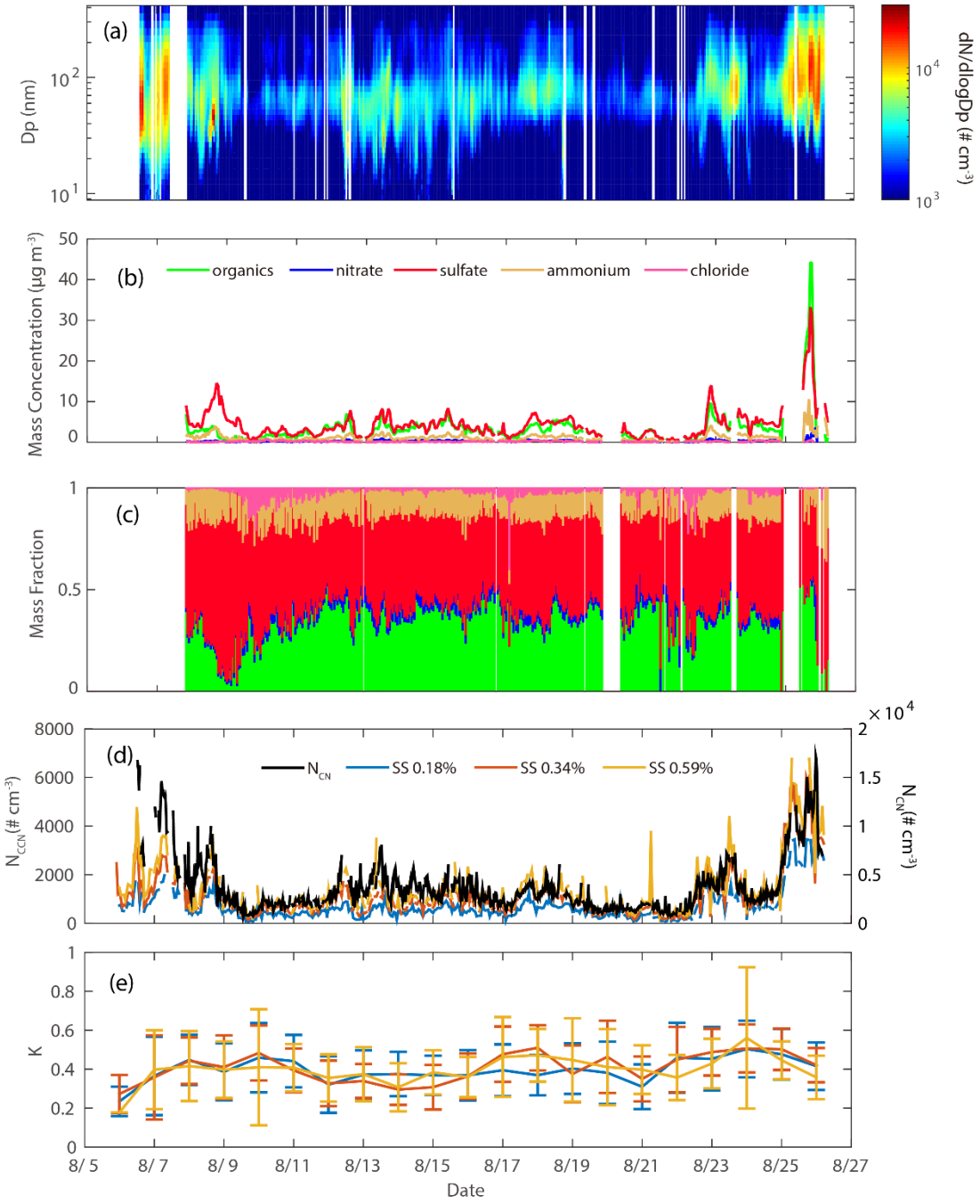
12. Table 2: the values have too many significant figures given the uncertainties of the measurement

Reply: Table 2 has been revised.

Period	ss	0.18%	0.34%	0.59%
P1	N_{CCN} (# cm^{-3})	1825	3969	7198
	D_{50} (nm)	132	96	65
	$N_{CCN}/N_{CN,tot}$	0.19	0.34	0.49
C1	N_{CCN} (# cm^{-3})	566	978	1330
	D_{50} (nm)	105	67	49
	$N_{CCN}/N_{CN,tot}$	0.31	0.54	0.71
C2	N_{CCN} (# cm^{-3})	536	844	1183
	D_{50} (nm)	108	68	48
	$N_{CCN}/N_{CN,tot}$	0.32	0.55	0.73
P2	N_{CCN} (# cm^{-3})	4969	7140	8679
	D_{50} (nm)	101	65	49
	$N_{CCN}/N_{CN,tot}$	0.49	0.74	0.85

13. Figure 2d) what is the black line? And which lines go to which y axis?

Reply: The black line represents the N_{CN}, corresponding to the y axis on the right. The rest represents the N_{CCN} at different ss, corresponding to the left axis. Figure 2 has been modified.



References:

Cai, M., Tan, H., Chan, C. K., Qin, Y., Xu, H., Li, F., Schurman, M. I., Liu, L., and Zhao, J.: The size-resolved cloud condensation nuclei (CCN) activity and its prediction based on aerosol hygroscopicity and composition in the Pearl Delta River (PRD) region during wintertime 2014, Atmos. Chem. Phys., 18, 16419-16437, 2018.

Gao, Y., Arimoto, R., Duce, R. A., Chen, L. Q., Zhou, M. Y., and Gu, D. Y.: Atmospheric non-sea-salt sulfate, nitrate and methanesulfonate over the China Sea, J. Geophy. Res. Atmos., 101, 12601-12611, 10.1029/96jd00866, 1996.

Global Modeling and Assimilation Office (GMAO) (2015), MERRA-2 inst3_3d_aer_Nv: 3d,3-Hourly,Instantaneous,Model-Level,Assimilation,Aerosol Mixing Ratio V5.12.4, Greenbelt, MD, USA, Goddard Earth Sciences Data and Information Services Center (GES DISC), Accessed: 8, 2018, 10.5067/LTVB4GPCOTK2.

Savoie, D. L., Arimoto, R., Keene, W. C., Prospero, J. M., Duce, R. A., and Galloway, J. N.: Marine biogenic and anthropogenic contributions to non-sea-salt sulfate in the marine boundary layer over the North Atlantic Ocean, J. Geophy. Res. Atmos., 107, AAC 3-1-AAC 3-21, 10.1029/2001JD000970, 2002.

Zhang, X., Zhuang, G., Guo, J., Yin, K., and Zhang, P.: Characterization of aerosol over the Northern South China Sea during two cruises in 2003, Atmos. Environ., 41, 7821-7836, 2007.

Reply to reviewer #2' comments

We would like to thank the reviewer for valuable comments and suggestions. We have addressed all raised issues in the revision accordingly. Please kindly find our following point-by-point responses (the reviewer's comments in black, our responses in blue, and relevant changes in red).

1. The manuscript is quite difficult to follow as it is just describing a lengthy dataset by correlating each other. It is highly unclear what is the main scientific conclusions of the data analysis. This issue is well represented in the lengthy abstract of the manuscript. It is just way too long, which makes difficult to grasp the scientific merits of data analysis. I strongly recommend the authors to remind themselves a couple of main scientific findings that they hope to come across in the manuscript for the revision.

Reply:

We thank the reviewer for valuable suggestions. As we state in the abstract, aerosol particles in marine atmosphere can significantly affect cloud formation, atmospheric optical properties, and climate change. Currently, high temporally and spatially resolved atmospheric measurements over sea are sparse, limiting our knowledge on understanding of aerosol properties in marine atmosphere. We utilized measurement data from a recent ship-based cruise campaign to analyze the CCN activity over the northern South China Sea and to investigate the effects of continental emissions on the CCN activity. We agree with the reviewer that the abstract should be concise and we have hence modified the abstract as follows, "Aerosol particles in marine atmosphere have been shown to significantly affect cloud formation, atmospheric optical properties, and climate change. However, high temporally and spatially resolved atmospheric measurements over sea are currently sparse, limiting our understanding of aerosol properties in marine atmosphere. In this study, a ship-based cruise campaign was conducted over northern South China Sea (SCS) region during summertime 2018. Chemical composition of non-refractory PM_1 (NR-PM_1), particle number size distribution (PNSD) and size-resolved cloud condensation nuclei (CCN) activity were measured by a time-of-flight aerosol chemical speciation monitor (ToF-ACSM), and the combination of a cloud condensation nuclei counter (CCNc) and a

scanning mobility particle sizer (SMPS), respectively. Overall, aerosol particles exhibited a unimodal distribution centering at 60~80 nm and chemical composition of the NR-PM_1 was dominated by sulfate (~46%) which likely originated from anthropogenic emissions rather than dimethyl sulfide (DMS) oxidation. Two polluted episodes were respectively observed at the beginning (P1) and at the end (P2) of the campaign and both were characterized by high particle number concentrations (N_{CN}) which originated respectively from local emissions and from emissions in inland China via long range transport as shown by back trajectory analysis. The concentrations of trace gases (i.e., O_3, CO, NO_X) and particles (N_{CN} and N_{CCN} at ss=0.34%) were elevated during P2 and decrease with the offshore distance, further suggesting important impacts of anthropogenic emissions from the inland Pearl River Delta (PRD) region on the northern SCS. Two relatively clean periods (C1 and C2) prior to and after tropical storm Bebinca were classified due to substantial removal of pollutants by strong winds and rainfalls accompanying with the storm. During C1 and C2 periods, the air was affected by air masses from southwest and from Indo-China Peninsula, respectively. Chemical composition measurements showed an increase of organic mass fraction during P2 compared to C2; however, no obviously different κ values were obtained from the CCNc measurements, implying that the air masses carried pollutants from local sources during long range transport. We report an average value of about 0.4 for aerosol hygroscopicity parameter κ which falls within the literature values (i.e., 0.2-1.0) for urban and remote marine atmosphere. In addition, our results showed that the CCN fraction ($N_{CCN}/N_{CN,tot}$) and the κ values obtained from the CCNc measurements (ss=0.34%) had no clear correlation either with the offshore distance or with concentrations of the particles. Our study highlights dynamical variations of particle properties and the impact of long range transport from the China continent and Indo-China Peninsula on the northern SCS region during summertime."

2. For example, the analysis for the different air masses, came across during the cruise, should be developed further more thorough fashion. I would present available ground data either concentrations of emissions from the different region to discuss their characteristics to elucidate how the chemical evolution affects the outflow to evaluate whether the observational result makes sense or not.

Reply:

We thank the reviewer for valuable suggestions on the air masses analysis and ground data for chemical evolution analysis. We admit that currently physical and chemical properties of aerosol particles based on ground measurements (i.e., chemical composition and particle size distribution) are still largely lacking, especially for long-term measurements. In addition, such nearshore ground measurements and cruise-based measurements are very also scarce, making it hard to evaluate detailed chemical processes for aerosol particles over the SCS region. Besides, we focus on the CCN activity rather than chemical process of aerosol particles over the northern SCS in this study. Based on the above reasons, we analyzed the MODIS fire spots to discuss potential impacts of biomass burning on the air masses which might affect our measurements as shown in Fig. 7 (please refer to answer #5). In addition, we also discuss the contribution of anthropogenic emission to sulfate over the South China Sea based on the MERRA-2 data as shown in Fig. S2. (please refer to answer #3).

3. For example discussion about the presence of sulfate over the South China Sea (line numbers between 269 to 271) can certainly go further by discussing upper end DMS emission rates and whether the assumption can account the observed SO2.

Reply:

We thank the reviewer for valuable suggestions on sulfate formation. Possible sources of sulfate include ship emissions, DMS oxidation, and transport from inland etc. The oxidation of DMS leads to formation of sulfur dioxide and methansulfonic acid (MSA) both of which can be further oxidized to produce non-sea-salt (NSS) sulfate in marine atmosphere. Oxidation of SO_2 from ship emissions or inland transport can also be a major source of NSS sulfate (Savoie et al., 2002). As an intermediate between DMS and sulfate, MSA in principle can be detected by ToF-ACSM, although resolution of the instrument is low. We are currently working on MSA identification and quantification from this cruise measurement. Preliminary results show that the fraction of sulfate from DMS oxidation is far below that from ship emissions. An early study showed that anthropogenic sulfate accounted for about 81-97% of NSS sulfate over China Sea (Guo et al., 1996). A ratio of 15-655 NSS sulfate to MSA in $PM_{2.5}$ was reported in the Northern South China Sea (Zhang et al., 2007), much higher than that (18-20) in the remote marine (Savoie et al., 2002). Here we employed the Modern-Era Retrospective analysis for

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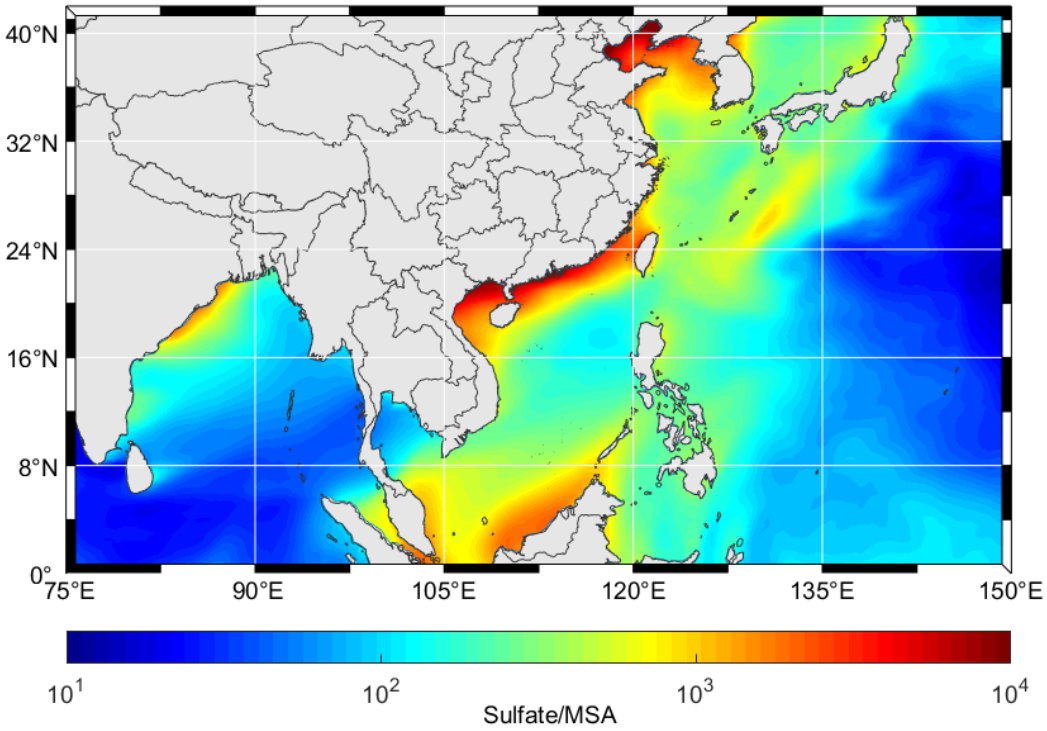

Figure S2. The ratio of sulfate to MSA at 925 hPa from MERRA-2 reanalysis dataset (GMAO, 2015).

4. Another example is in line 342. CO is an obvious long lived tracer for pollution, therefore the correlation of CO with parcels # is not surprising. I would recommend the authors to discuss further more process level aerosol chemistry evolution than these rather one dimensional comparisons of observables.

Reply:

We agree with the reviewer that CO is a long lived tracer for pollution and its correlation with particles might be expected. However, in a recent study, CO was used as a wildfire tracer to study the impact on aerosol properties in the marine atmosphere and it was found that CO concentration had a strong correlation with non-volatile particle concentration (R^2=0.70) (Zheng et al., 2020). Here we used CO as a tracer for biomass burning or anthropogenic emissions. We found that CO is not always correlated with particles, for example, it is indeed correlated with particles during the second half of the cruise while is not correlated at all during the first half. Hence CO can be used as a useful tracer for source identification. In this paper, we focus on the effects of pollution on CCN activity. Detailed source apportionment and chemical processes will be the focus of our next paper.

We have added several sentences on p17 (L338-344) in the revision, "The variation of SO_2 concentration was independent of N_{CN}, suggesting that SO_2 did not share the same source with particles. The CO concentration is positively correlated with N_{CN} during the second half of the cruise, while no obvious correlation is observed during the first half, implying that sources of particles could be different during the two periods. The correlation during the second half of the cruise indicates that the particles might share the same source with CO which was attributed to biomass burning or anthropogenic emissions."

5. It is even more troubling by attributing biomass burning sources as presented in lines between 403 to 405. I would recommend to take full advantage of your wealthy dataset and back trajectory analysis to solidly argue the origin of the observed airmass of bio mass burning.

Reply:

The K can be a tracer of biomass burning and sea salt. However, the vaporizer of ACSM and AMS can produce a large amount of K^+, which will interfere the ambient K signal. To our knowledge, there could be a large uncertainty of the K concentration measured by ACSM. The levoglucosan can also be the tracer of biomass burning. Nevertheless, the mass resolution of our ACSM is too low to distinguish levoglucosan from other species. Currently, there are no direct evidences indicating the impact of biomass burning. We analyzed fire detection data during the measurements from MODIS in combination with back trajectories during C2 which clearly show that the air parcels pass through the fire region. We have modified Fig. 7 and added a discussion on p20 (L407-410), "The backward trajectories during C2 pass through the burning regions in Southeast Asia (e.g., Viet Nam, Laos, Cambodia etc.), also supporting this conjecture. However, more solid evidences are needed since the observation of biomass burning tracers (such as K and levoglucosan) is missing in this campaign."

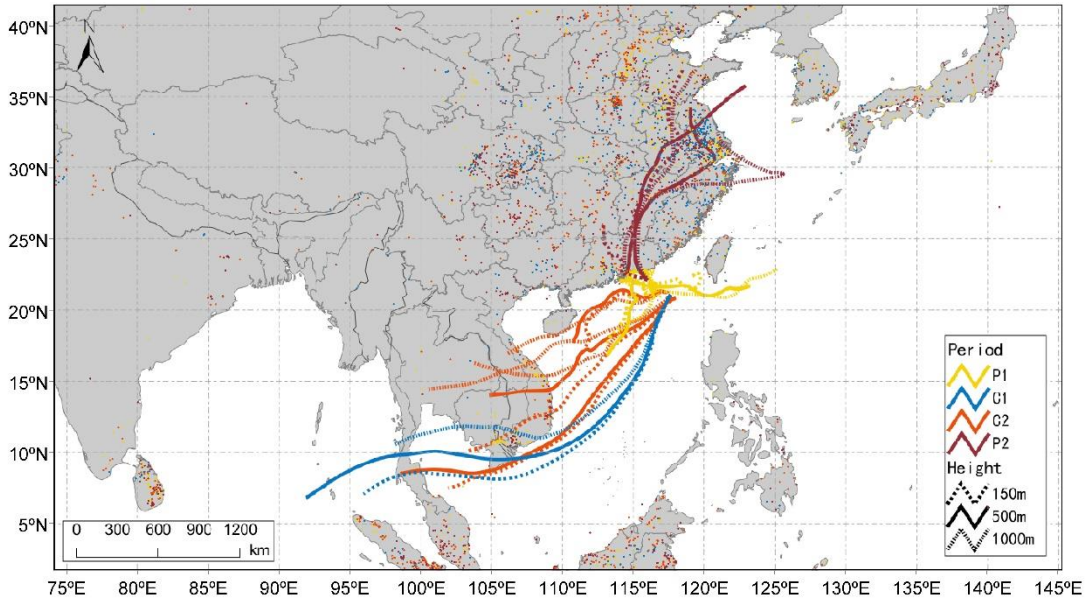

Figure 7. The 72 h backward trajectories arriving at the location of the vessel with three heights (150 m, 500 m, and 1000 m) during P1, C1, C2, and P2, respectively. The dots represent the fire spots detected by MODIS.

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Effects of continental emissions on Cloud Condensation Nuclei (CCN) activity in northern South China Sea during summertime 2018

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Abstract. Aerosol particles in marine atmosphere have been shown to significantly affect cloud formation, atmospheric optical properties, and climate change. However, high temporally and spatially resolved atmospheric measurements over sea are currently sparse, limiting our understanding of aerosol properties in marine atmosphere. In this study, a ship-based cruise campaign was conducted over northern South China Sea (SCS) region during summertime 2018. Chemical composition of non-refractory PM_1 (NR-PM_1), particle number size distribution (PNSD) and size-resolved cloud condensation nuclei (CCN)

activity were measured by a time-of-flight aerosol chemical speciation monitor (ToF-ACSM), and the combination of a cloud condensation nuclei counter (CCNc) and a scanning mobility particle sizer (SMPS), respectively. Overall, aerosol particles exhibited a unimodal distribution centering at 60~80 nm and chemical composition of the NR-PM_1 was dominated by sulfate (~46%) which likely originated from anthropogenic emissions rather than dimethyl sulfide (DMS) oxidation. Two polluted episodes were respectively observed at the beginning (P1) and at the end (P2) of the campaign and both were characterized by high particle number concentrations (N_{CN}) which originated respectively from local emissions and from emissions in inland China via long range transport as shown by back trajectory analysis. The concentrations of trace gases (i.e., O_3, CO, NO_X) and particles (N_{CN} and N_{CCN} at ss=0.34%) were elevated during P2 and decrease with the offshore distance, further suggesting important impacts of anthropogenic emissions from the inland Pearl River Delta (PRD) region on the northern SCS. Two relatively clean periods (C1 and C2) prior to and after tropical storm Bebinca were classified due to substantial removal of pollutants by strong winds and rainfalls accompanying with the storm. During C1 and C2 periods, the air was affected by air masses from southwest and from Indo-China Peninsula, respectively. Chemical composition measurements showed an increase of organic mass fraction during P2 compared to C2; however, no obviously different κ values were obtained from the CCNc measurements, implying that the air masses carried pollutants from local sources during long range transport. We report an average value of about 0.4 for aerosol hygroscopicity parameter κ which falls within the literature values (i.e., 0.2-1.0) for urban and remote marine atmosphere. In addition, our results showed that the CCN fraction ($N_{CCN}/N_{CN,tot}$) and the κ values obtained from the CCNc measurements (ss=0.34%) had no clear correlation either with the offshore distance or with concentrations of the particles. Our study highlights dynamical variations of particle properties and the impact of long range

transport from the China continent and Indo-China Peninsula on the northern SCS region during summertime.

1 Introduction

Aerosol particles directly affect global radiation balance by scattering and absorbing solar radiation. Meanwhile, they can alter cloud microphysics, lifetime, and albedo, indirectly affecting heat transfer through atmosphere (Stocker, 2013). However, high uncertainties still exist on their contributions to the climatic impact, partly owing to our limited knowledge on spatial and temporal distribution of aerosol particles and their properties in various environments. Thus, it is essential to conduct field measurements under different environments to obtain chemical and physical properties of particles, including chemical composition, particle number size distribution (PNSD), and cloud condensation nuclei (CCN) activity, in order to better understand the radiation forcing induced by aerosol particles.

The CCN activity describes how particles grow into cloud droplets and further affect cloud development. Whether particles can be activated as CCN is determined by their chemical composition, hygroscopicity, size, and ambient supersaturation (ss). Generally, the CCN activity can be described by Köhler theory based on the water activity in solution, surface tension, molecular weight of water, temperature, and diameter of the particle (Köhler, 1936). Alternatively, the hygroscopicity parameter κ proposed by Petters and Kreidenweis (2007) can be used to characterize the CCN activity. Aerosol hygroscopicity describes the ability of particles to grow by absorbing moisture in ambient environments. The κ values can be measured in subsaturation (RH<100%) condition by the hygroscopicity-tandem differential mobility analyzer (HTDMA) measurements or in supersaturation (RH>100%) by the cloud condensation nuclei counter (CCNc) measurements.

Field measurements for the CCN activity have been conducted primarily in terrestrial environments (e.g., urban cities, forested areas, and remote countryside areas) (Rose et al., 2010; Wang et al., 2010; Cerully et al., 2011; Pierce et al., 2012; Hong et al., 2014; Cai et al., 2018). Cerully et al. (2011) reported

κ values ranging from 0.1 to 0.4 in forest during the 2007 EUCAARI campaign and concluded that the κ values obtained from the HTDMA measurements were generally 30% lower than those from the CCNc measurements. Wang et al. (2010) showed that the mixing state of particles was important in predicting the CCN number concentration (N_{CCN}). Cai et al. (2018) found that the CCN activity increased by decreasing the surface tension through increase of organic fractions in particles based on the measurements of the CCN activity, hygroscopicity, and chemical composition in the Pearl River Delta (PRD) region. Progresses on the aforementioned field measurements conducted in the continental environments have substantially improved our understanding of the influence of aerosols in global radiation forcing and precipitation under the terrestrial environments.

Aerosol particles in the marine atmosphere, on the other hand, have been well known to significantly affect cloud development, atmospheric optical properties, and climate change (Johnson et al., 2004; Ackerman et al., 2004; Mulcahy et al., 2008). Fewer field measurements were conducted in the oceanic atmosphere than those in land, leading to less characterization of marine aerosol particles. Remote sensing and ship-based cruise methods are two typical approaches employed to measure aerosol properties in marine environments (Durkee et al., 1986; Kim et al., 2009; Lehahn et al., 2010; Huang et al., 2018). Compared to ship-based measurements, remote sensing covers spatially a larger area and temporally a longer period which are essential in the characterization of marine aerosols. For example, Reid et al. (2013) employed remote sensing to describe long range transport patterns in the Southeast Asia. The aerosol size information was compared between the retrievals from Moderate Resolution Imaging Spectroradiometer (MODIS) and the measurements from ground-based radiometers such as Aerosol Robotic Network (AERONET) over ocean (Kleidman et al., 2005). However, extensive cloud coverages over oceanic region can significantly affect the quality and availability of satellite

measurements. Meanwhile, dry bias or clear-sky bias also challenge satellite measurements for obtaining accurate data (John et al., 2011; Reid et al., 2013; Choi and Ghim, 2017). Moreover, remote sensing using satellite sensors is limited in providing high time resolution (i.e., minutes), high spatial resolution (i.e., within tens of meters in dimension) data and specific particle properties (i.e., hygroscopicity and chemical composition). Although ship-based measurements are limited in spatial coverage, they can provide higher spatial and temporal resolution for obtaining comprehensive physical and chemical properties of gas and aerosol particles. Huang et al. (2018) measured chemical composition of particles with a high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) over the Atlantic Ocean aboard a campaign ship and found that about 19% of organics originated from continental long-range transport. Kim et al. (2009) found that particle size distribution varied in a dynamic range, depending on the meteorological conditions over the Yellow Sea and the East China Sea. Atwood et al. (2017) showed that biomass burning, anthropogenic pollution from continent and ship emissions would affect the remote South China Sea during the southwestern monsoon (SWM) season. However, few ship-based campaigns are available in the literature on measurements of atmospheric composition including gases and aerosol particles, especially in several important China sea regions (e.g., SCS).

The air over northern SCS is affected by anthropogenic pollution from the adjacent Pearl River Delta region, China inner continent, and Indo-China Peninsula (Zhang et al., 2018). Furthermore, as one of the most important and busy trading regions in China, the PRD and the northern SCS are subjected to severe air pollution due to emissions from heavy loadings of cargo ships and fishing vessels (Lv et al., 2018). Special weather patterns are dominant in the SCS during summertime which are characterized by SWM and occasionally affected by typhoons. Typically, typhoon brings heavy precipitation and strong wind to this region, which helps to remove air pollutants. However, on one hand, it has been found that

downdrafts prior to a typhoon usually affect negatively atmospheric diffusion, leading to the accumulation of the air pollutants in the region (Feng et al., 2007). On the other hand, marine background particles and emissions from Indo-China Peninsula are brought into this region through SWM. As a result, the physical and chemical properties of marine aerosol particles vary dynamically which can be distinguished from those of continental particles. Differences (i.e., physical and chemical properties, life cycle) between the two types of aerosol particles reflect different transport pathways and source origins which are not well known. In addition, lack of understanding on aerosol characteristics will inevitably hinder our ability to evaluate the impacts of aerosol particles on global radiation forcing and atmospheric processes. Thus, ship-based field measurements are urgently needed in this region in order to understand the CCN activity, chemical composition, particle size distribution, and their relationships with continental and marine air masses.

In this study, we report results from a recent ship-based cruise measurement in the northern SCS during summertime 2018. During the campaign, size-resolved CCN activity, chemical composition, and particle number size distribution were measured by a CCNc, a time-of-flight aerosol chemical speciation monitor (ToF-ACSM) and a scanning mobility particle sizer (SMPS), respectively. Temporal and spatial distributions of the aerosol chemical and physical properties and impact of different air masses on the properties were investigated. Our results provide valuable knowledge on the effects of long range transport and on the atmospheric processes in the SCS.

2 Methodology

2.1 Ship-based campaign

The cruise campaign is a routine comprehensive exercise organized by Sun Yat-sen University (SYSU) during summertime 2018 (6th to 27th August) including a variety of multidisciplinary sciences (i.e., atmosphere, ocean, chemistry, geology, and biology). The round-trip journey started and ended at Huizhou port (22°43′ N, 114°36′ E), which is about 140 km from Guangzhou, traveling towards northern SCS with an area between 19°37′ N to 22°43′ N and 113°44′ E to 118°12′ E. The ship track includes two routes during which the vessel was anchored near the port due to tropical storm Bebinca as its track was shown in Fig. 1a, along with the complete, color-coded ship track. The first route started 7th August from the port and arrived northeast of Dongsha Islands (20°45′ N 118°12′ E) on 10th August 2018, and then returned to anchor near the port during the typhoon period (11th to 15th August). The second route left the port on 15th August toward Hong Kong and arrived at its south in the afternoon (18:00 local time, LT). The vessel then headed southeast for about 42 hours on 18th August and turned toward Dongsha Islands. It anchored at several sites around this sea area and then returned on 24th August following a similar pathway as the first route to Huizhou port on 27th August.

A commercial vessel with a capacity of 8000 ton was employed for the routine summer measurement campaign whose schematic diagram was shown in Fig. 1b. An air conditioned (T=298K) sea container of about 30 m^2 housed all the instruments which was listed in Table 1 and was placed in the front deck of the vessel. Trace gases, including O_3, SO_2, CO, NO_X (NO and NO_2), were measured by gas analyzers (model T400U, T100U, T300, and T200U, Teledyne API Inc., USA, respectively). Detailed descriptions of the major instruments used in the campaign could be found in the following subsection.

The aerosol sampling port with a $PM_{2.5}$ cyclone inlet was made of a 5 m long 3/8″ o.d. stainless-steel tube which extended outside of the container with an inclination angle of 45° to the deck. The inlet is about 2.5 m above the deck and 1.5 m away from the container. All aerosol sampling flows firstly passed through a Nafion dryer (model MD-700, Perma Pure Inc., USA) to reach a relative humidity (RH) lower than 30%. The gas sample inlet made of a 2 m long 1/4″ o.d. Teflon tube with a similar inclination angle, also extended outside of the container.

2.2 Origins of air masses by HYSPLIT

The HYbrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model developed by National Oceanic and Atmospheric Administration (NOAA) was used to investigate trajectories of air movement for identification of source origins which might affect the northern SCS region during the campaign. The model calculated the 72 hours back trajectories of air masses at 6 hours intervals arriving at the campaign vessel. The arrival height of the trajectories was set to be 150 m, 500 m, and 1000 m above the ground level, a reasonable representative of the air masses. The Global Data Assimilation System (GDAS) 1°× 1° meteorological data was employed to drive the HYSPLIT.

2.3 Measurements

2.3.1 Size-resolved cloud condensation nuclei activity

The size-resolved CCN activity was measured with combination of a homemade scanning mobility particle sizer system and a cloud condensation nuclei counter (model CCNc-200, DMT Inc., USA). The

177 homemade SMPS system consisted of a differential mobility analyzer (DMA, model 3081L, TSI., Inc.)
178 and a condensation particle counter (CPC, model 3787, TSI Inc.). The CCNc-200 has two parallel cloud
179 columns (column A and B) which measure the CCN concentrations (N_{CCN}) at two specific ss at the same
180 time. Only the N_{CCN} measured by column A was discussed in this study. During the measurements, the
181 SMPS system was operated in a scanning mode. The sample particles after the Nafion dryer were firstly
182 neutralized by a X-ray neutralizer (model 3088, TSI., Inc., USA) and were subsequently classified by the
183 DMA. The selected particles were split into the CPC for measurements of total particle number
184 concentration (with a flow rate of 0.6 LPM) and the CCNc for measurements of the CCN number
185 concentration at a specific supersaturation (with a flow rate of 0.5 LPM). The SMPS and the CCNc
186 system were set to measure particle number size distribution and size-resolved CCN number
187 concentration at a mobility size range of 10-400 nm. The supersaturation of the CCNc was set to be
188 0.18%, 0.34%, and 0.59%. Before the measurements, the CCNc-200 was calibrated with ammonium
189 sulfate ($(NH_4)_2SO_4$) particles at three ss (0.18%, 0.34%, and 0.59%), detailed description of the
190 calibration could be found in Cai et al. (2018). The SMPS system was also calibrated with standard
191 polystyrene latex spheres (PSL, with a size of 20 nm, 50 nm, and 200 nm) prior to the campaign.
192

193 **2.3.2 Aerosol chemical composition**

194 An Aerodyne time-of-flight aerosol chemical speciation monitor was deployed to measure bulk non-
195 refectory PM_1 chemical composition during the campaign. The ToF-ACSM can provide mass
196 concentration of sulfate, nitrate, ammonium, chloride, and organics, except non-refectory components
197 such as sea salt, black carbon, and crustal species. Detailed description of ToF-ACSM can be found in
198 Fröhlich et al. (2013) and only a brief introduction relevant to this work was given here. During the

campaign, the measurement cycle of the ToF-ACSM was set to be about 10 min and the mass resolving power was about 160. The sample flow dried by the Nafion dryer entered an automatic three-way valve, of which one way was directly connected to the lens system and the other way was connected to a filter before entering the aerodynamic lens. By switching the automatic valve periodically, the instrument can measure the total signal without a filter and the background signal with a filter, thus the net signal representing the chemical composition of the aerosol particles can be obtained. The aerodynamic lens system removes particles larger than 1 μm (at aerodynamic diameter, D_{VA}) and has a relative low transmission for small particles (D_{VA} < 50 nm). Monodisperse pure ammonium nitrate (NH_4NO_3) and ammonium sulfate ($(NH_4)_2SO_4$) particles generated by a homemade atomizer and then selected by a DMA (about 300 nm in diameter) were used to calibrate the relative ionization efficiency (RIE) value of NH_4 (RIE_{NH_4}) and SO_4 (RIE_{SO_4}) at the beginning and at the end of the campaign.

2.4 Data processing of CCN activation

The size-resolved N_{CN} and N_{CCN} measured by the SMPS and CCNc-200 system was used to calculate the activation ratio (AR), which was defined as the ratio of N_{CCN} to N_{CN} at each size bin. The size-resolved ARs were inverted based on the method described by Moore et al. (2010). The AR spectrum was then fitted using a three-parameter fit:

$$\frac{N_{CCN}}{N_{CN}} = \frac{B}{1+(\frac{D_p}{D_{50}})^C} , \quad (1)$$

where D_p represents dry particle diameter (nm), B, C and D_{50} are the three fitting parameters which represent the asymptote, the slope, and the inflection point of the sigmoid, respectively (Moore et al., 2010). The D_{50} is called the critical diameter, where 50% of the particles are activated at a specific ss.

A hygroscopicity parameter κ which represents the CCN activity was calculated from the critical

saturation ratio (Sc) and D_{50} from the following equation (Petters and Kreidenweis, 2007):

$$\kappa = \frac{4A^3}{27D_{50}^3(\ln Sc)^2} \quad , \quad A = \frac{4\sigma_{s/a}M_w}{RT\rho_w} \quad , \qquad (2)$$

where ρ_w is density of pure water (about 997.04 kg m^{-3} at 298.15K), M_w is molecular weight of water (0.018 kg mol^{-1}), $\sigma_{s/a}$ is surface tension of the solution/air interface which is assumed to be value of pure water ($\sigma_{s/a}$ =0.0728 N m^{-1} at 298.15K), R is the universal gas constant (8.314 J mol^{-1} K^{-1}), T is thermodynamic temperature in Kelvin (298.15K), and D_{50} is the critical diameter (in meter).

3 Results and Discussion

3.1 Overview

Figure 2 shows number size distribution (a), mass concentration and fraction (b and c), number concentration of CCN (d), and hygroscopicity parameter (e) measured by different instruments during the campaign. The particle sizes were predominantly larger than 10 nm, implying that no new particle formation events were observed during the campaign. Furthermore, the distribution exhibited mainly unimodal characteristics which peaked at a size range of about 60-80 nm. The average number concentration was about 3400 cm^{-3}, which was in general lower than that in inland PRD region (Cai et al., 2017) and slightly lower than the ship measurement (4335 cm^{-3}) over the East China Sea (Kim et al., 2009). However, two relative polluted periods were classified with high particle number concentrations at the beginning (6^{th}-8^{th} August, defined as P1 with a particle size peaking at about 80 nm) and at the end (25^{th}-26^{th} August, defined as P2 peaking at about 100 nm) of the campaign. In contrast, two relatively clean periods were identified in between (9^{th}-10^{th} August, defined as C1 and 19^{th}-21^{st} August, defined as C2).

Temporal profile of the mass concentration (Fig. 2a) measured by ToF-ACSM was consistent with that of PNSD, which showed the highest concentration on 25th August. The total measured mass concentration of NR-PM_1 varied dramatically from 0.92 to 85.08 μg m^{-3}, with a median of 7.97 μg m^{-3}. Mass concentrations of $PM_{2.5}$ were reported over the same region during Cruise I (27.6 μg m^{-3}) and Cruise II (10.10 μg m^{-3}) in Zhang et al. (2007). The mass concentration in our measurements was higher than that in clean marine atmosphere (from 0.27 to 1.05 μg m^{-3}) reported at the coastal station, Ireland (Ovadnevaite et al., 2014) and the atmosphere over the Atlantic Ocean (Huang et al., 2018). Mass concentration of SO_4^{2-} varied from 0.35 to 33.20 μg m^{-3}, with a median of 3.66 μg m^{-3}, which falls in a range of previous measurement in Dongsha Islands (1.3 to 5.5 μg m^{-3}, Chuang et al., 2013). The average mass fraction of NR-PM_1 during the campaign was dominated by sulfate (46%), followed by organics (35%), ammonium (14%), nitrate (3%), and chloride (2%), which was similar to the measurement over the Atlantic Ocean (Huang et al., 2018). The chemical composition over northern SCS was quite different from that at the urban site which was dominated by organics largely from anthropogenic sources (Cai et al., 2017). A higher mass fraction of sulfate in the marine atmosphere may probably be attributed to anthropogenic emissions (such as nearby ship emissions) rather than oxidation of dimethyl sulfide (DMS) emitted from the ocean. The oxidation of DMS leads to formation of sulfur dioxide and methansulfonic acid (MSA) both of which can be further oxidized to produce non-sea-salt (NSS) sulfate in marine atmosphere. Oxidation of SO_2 from ship emissions or inland transport can also be a major source of NSS sulfate (Savoie et al., 2002). As an intermediate between DMS and sulfate, MSA in principle can be detected by ToF-ACSM, although resolution of the instrument is low. Preliminary results show that the fraction of sulfate from DMS oxidation is far below that from ship emissions. An early study showed that anthropogenic sulfate accounted for about 81-97% of NSS sulfate over China Sea (Gao et al., 1996).

A ratio of 15-655 NSS sulfate to MSA in PM2.5 was reported in the northern South China Sea (Zhang et al., 2007), much higher than that (18-20) in the remote marine (Savoie et al., 2002). Here we employed the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) to analyze the distribution of ratio of sulfate to MSA at 925 hPa during the measurement period (GMAO, 2015). The results were shown in Fig. S2 and the ratio ranged from 100 to 10000 over the SCS, much higher than that in the remote Pacific Ocean (1-50). In addition, it also increases with latitude, indicating that the anthropogenic emission is likely the major source of the total sulfate in the northern SCS region.

The number concentrations of CCN (N_{CCN} at ss=0.18%, 0.34%, and 0.59%) and total particles (N_{CN}) were shown in Fig. 2d. The N_{CN} values during the two polluted periods (P1 and P2) were significantly higher than the average N_{CN} (3463 cm^{-3}) over the whole campaign period and those from other marine measurements (Cai et al., 2017; Kim et al., 2009). This average value falls between the smoke type (2280 cm^{-3}) and the port type (4890 cm^{-3}) measured over the remote South China Sea (Atwood et al., 2017). Note that since the abnormally spiked signals which were probably caused by emissions of the nearby ships or the ship itself were removed in the data processes, the high N_{CN} values during those episodes were likely attributed to regional pollution or long range transport from continents. For consistency, we removed spikes likely associated with smoking, emissions from the ship itself and other adjacent ships and cooking from further data analysis, including either abrupt high number concentrations of particles (measured by SMPS), organics (measured by ToF-ACSM), and NO_X (measured by the NO_X monitor) (Detailed criteria can be referred to descriptions and Fig. S1 in supplementary). In general, the N_{CCN} values at the three supersaturations increased with increase of the N_{CN}. The average value of N_{CCN} (1544 cm^{-3}, ss=0.34%) was similar with the simulated value (1000-2000 cm^{-3}, ss=0.4%), suggesting the model simulation could satisfactorily predict the N_{CCN} in this region (Yu and Luo, 2009). Although the N_{CCN}

and N_{CN} were relatively higher in P1 and P2 than the average value, they remained overall low during the campaign compared to those from the inland PRD sites. The N_{CCN} values in P1 were lower than those in P2 with similar values of N_{CN} in both P1 and P2, suggesting a lower activation fraction in P1 than in P2, which cloud be attributed to relatively high fractions of smaller particles and a lower hygroscopcity in P1. As discussed above, particles peaked at a smaller size in P1, leading to fewer particles larger than D_{50}. The time series of the κ values calculated using Eq. 2 show that the aerosol hygroscopicity was lower at the beginning of the campaign, leading to a lower CCN activity in P1. The measurements could be affected by local fresh emissions with lower hygroscopic particles in urban since the ship was anchored near Huizhou port and Hong Kong during P1, similar to lower hygroscopicity for urban particles previously measured by Cai et al. (2017). Furthermore, low particle hygroscopicity was found from 11th August to 15th August when the ship was sheltered at the port from the tropical storm Bebinca.

Aerosol hygroscopicity, an important parameter affecting CCN activity, can vary largely in its values under different environments due to a variety of particle sources (Adam et al., 2012; Liu et al., 2014; Hong et al., 2014; Wu et al., 2013; Cai et al., 2017). Comparison of the hygroscopicity parameter κ obtained from this study, urban Guangzhou, remote marine Okinawa, remote South China Sea, and mountain Goldlauter was shown in Fig. 3. The κ_{median} values obtained from this study (around 0.4) fall between those at the continental sites (Guangzhou and Goldlauter) and remote marine measurement (remote South China Sea and Okinawa) and are barely dependent on particle sizes whose pattern is quite similar to those in Okinawa. Moreover, a κ value was respectively reported to be in a range of 0.22-0.65 measured by CCNc over the remote South China Sea and in a range of 0.30-0.56 measured by HTDMA over the coast of central California during a flight campaign (Atwood et al., 2017; Hersey er al., 2009). In addition, high hygroscopicity values (0.56-1.04) measured by HTDMA were also reported over the

Pacific and Southern Oceans (Berg et al., 1998). In contrast to maritime environments (i.e., SCS and Okinawa), the κ_{median} values in Guangzhou (0.21-0.31) are much lower and increase obviously with particle sizes. The low hygroscopicity for small particles in Guangzhou was attributed to local emissions from traffic and industry (Cai et al., 2017). The cruise in this campaign is in an offshore region where the air is affected by anthropogenic emissions from the adjacent inland PRD region, leading to medium values of aerosol hygroscopicity between urban and marine background regions.

3.2 Temporal and spatial distributions

As discussed above, the air over the offshore northern SCS is affected by local emissions from inland PRD regions. The shoreline along Huizhou port is roughly 45^{o} inclined to the latitude (from South to North) and it is reasonable to assume that the concentrations of the air pollutants originating from local emissions are generally dependent on the distance offshore which can be roughly represented by the latitude in this study. Hence in this section, the temporal and spatial concentration distributions of air pollutants (particles and gases) were presented with latitude and the dates were color-coded, representing from the beginning (dark blue) to the end (dark red) of the cruise (Fig. 4). The concentrations of trace gases (O_3, CO, and NO_X), N_{CN}, and N_{CCN} (ss=0.34%) were higher during the late half than during early half of the campaign, while SO_2 concentration varied in an opposite way, suggesting that the sources of the air pollutants or the air masses were different at the beginning and at the ending of the campaign. In particular, the aforementioned quantities increased substantially with latitude (the higher the latitude the closer to the shore) from 19th to 26th August, indicating that the air masses from inland China could affect the northern SCS region during this period. However, the $N_{CCN}/N_{CN,tot}$ and κ values (ss=0.34%) showed

almost no pattern (Figs. 4g and 4h), except that the $N_{CCN}/N_{CN,tot}$ values were both high (about 0.8) at the beginning and at the end of the cruise. The $N_{CCN}/N_{CN,tot}$ was defined as the ratio of number concentration of cloud condensation nuclei and total aerosol particles at a specific ss. The κ values were observed to be relatively low when the vessel located at a latitude of about 22°N corresponding to 6th and 26th August, suggesting that the air was affected by local fresh emissions which increased the organic content of the particles. Interestingly, a higher value on 26th August than on 6th August was clearly shown (Fig. 4g) due probably to larger averaged particle sizes on 26th August (about 110 nm) which were more easily activated than smaller particles on 6th August (about 60-90 nm).

To further investigate the effects of local emissions on aerosol particles over northern SCS, the correlations of SO_2, CO, NOx concentration, N_{CCN}, $N_{CCN}/N_{CN,tot}$, κ with N_{CN} were explored (Fig. 5). The variation of SO_2 concentration was independent of N_{CN}, suggesting that SO_2 did not share the same source with particles. The CO concentration is positively correlated with N_{CN} during the second half of the cruise, while no obvious correlation is observed during the first half, implying that sources of particles could be different during the two periods. The correlation during the second half of the cruise indicates that the particles might share the same source with CO which was attributed to biomass burning or anthropogenic emissions. An excellent correlation between NO_X concentration and N_{CN} was shown in all ranges of particle number concentrations, implying that the aerosol particles might originate from the same source as NO_X which was likely attributed to traffic and industry in the continental PRD region. The N_{CCN} was observed to follow two distinct trends for the first and second half of the cruise which show in general a higher activation efficiency during the second half of the campaign, especially when N_{CN} is greater than about 7000 cm^{-3}, further validated by a much higher $N_{CCN}/N_{CN,tot}$ ratio against N_{CN} as shown in Fig. 5e. As discussed in the previous paragraph, distinct κ values were seen at the very beginning and at the end

of the campaign, suggesting that the properties and sources of the particles could be different as will be further discussed in the case study below.

3.3 Case Study

In section 3.1, we classified four periods (all in August) based upon particle number concentration, corresponding to P1 (6^{th} to 8^{th}), C1 (9^{th} to 10^{th}), C2 (19^{th} to 21^{st}), and P2 (25^{th} to 26^{th}) as shown in Fig. 6. During the two clean periods (C1, before Bebinca; C2, after Bebinca), the vessel travelled around northeast of Dongsha islands where the particle number concentrations remained relatively low which were not affected by the continental emissions from the PRD region. However, high number concentrations of particles were observed during P1 when the vessel was close to the shore where the air was substantially affected by local emissions from either Hongkong or Huizhou. During the last two days in P2, even higher particle number concentrations were observed, suggesting that the pollutants might originate from inland continent via long range transport.

We performed HYSPLIT to investigate the source origins of the air pollutants according to movement of air masses during the campaign (Fig. 7). The backward trajectories during P1 showed that the air masses were mainly from east and south and when arriving at the location of the vessel, the air masses were stagnant on the shore, suggesting that the pollutants might originate from local emissions. Interestingly, particle number concentrations were low during 11^{th} to 15^{th} August when the vessel was sheltered from Bebinca, due probably to the arrival of the typhoon which caused high wind speeds and brought rainfall in the northern SCS, resulting in removal of air pollutants in Huizhou and in Hong Kong. The air masses over northern SCS originated from southwest (C1) or from Indo-China Peninsula (C2) due to summer monsoon during the two clean periods (Fig. 7). The air masses moved northerly during

P2 and brought high concentrations of particles from inland China to PRD region, and then further to the northern SCS (Fig. 7).

Chemical speciation by ToF-ACSM showed that the mass fractions of aerosol composition were substantially different during C1, C2, and P2, except for nitrate whose fraction remain almost constant among the above three periods (Fig. 8). Note that the mass fraction during P1 was not available for comparison due to instrumental failure. Even the mass fractions during the two clean periods were distinctly different, in particular, those of organics (26% for C1 vs 40% for C2), ammonium (19% for C1 vs 12% for C2), and chloride (7% for C1 vs 2% for C2), although the particle composition was dominated by sulfate which was almost equal in mass fraction (44% for C1 vs 42% for C2). The mass fraction during C1 was dominated by sulfate, followed by organics, ammonium which was similar to that in remote marine region (Cai et al., 2017). The mass fraction of sulfate in the NR-PM_1 during C1 and C2 was also similar to the previous study (44% and 43% in $PM_{2.5}$ for Cruise I and II, respectively) over the northern SCS (Zhang et al., 2007). Although the mass fraction was still dominated by sulfate, a substantially increasing fraction of organic (increase of 26% for C1 to 40% for C2) was observed. This increase in organic fraction was likely attributed to the air masses passing through Indo-China Peninsula which brought significant local sources. In contrast to the clean periods, the mass fraction in the NR-PM_1 during P2 was dominated by organics (47%), followed by sulfate (33%) and ammonium (13%), similar to that in urban areas (Huang et al., 2014), indicating that air masses from the north could bring continental particles in inland China to the northern SCS.

The particle number size distribution (PNSD) was measured by the custom-made SMPS which was described in the methodology section. The average particle number concentrations during P1 and P2 (9239 and 10088 cm^{-3} respectively) were much higher than those during the clean periods (1826 and

1683 cm^{-3} for C1 and C2 respectively). In addition, the PNSD during the pollution periods was characterized by an obvious accumulation mode that was attributed to secondary aerosols (Fig. 9), while the one during the clean periods has a smaller and a less obvious accumulation mode and a more obvious Aitken mode which was more related to marine background particles (Cai et al., 2017; Atwood et al., 2017; Kim et al., 2009). The median diameters and concentration of the accumulation mode during C1 and C2 was similar to those previously reported in South China Sea (Reid et al., 2015). Note that the fitted nucleation modes for both clean and pollution periods were barely seen due to the obviously low concentrations of particles in this mode. The lognormal median diameters for the Aitken mode (70.4 nm) and the accumulation mode (165.7 nm) during P2 were respectively larger than those (48.6 nm and 143.1 nm) during P1, implying more aging processes and particle growth in the long range transport from the inland continent. Furthermore, a wider accumulation mode during C2 than during C1 was observed, implying more complex sources for larger size particles which could probably be attributed to biomass burning or anthropogenic activities across Indo-China Peninsula. The backward trajectories during C2 pass through the burning regions in Southeast Asia (e.g., Viet Nam, Laos, Cambodia etc.), also supporting this conjecture. However, more solid evidences are needed since the observation of biomass burning tracers (such as K and levoglucosan) is missing in this campaign.

The CCN activity parameters (average N_{CCN}, D_{50}, and $N_{CCN}/N_{CN,tot}$ at ss=0.18%, 0.34%, and 0.59%) during each period were summarized in Table 2. The N_{CCN} (ss=0.34%) during P1 and P2 were 3969 and 7139 cm^{-3}, much higher than the simulated annual mean values in northern SCS region (1000-2000 cm^{-3}, ss=0.4%, Yu and Luo, 2009). It implies that the continental emissions could have significant impact on the CCN concentration over this region. Although the mass fractions of chemical composition for C1, C2, and P2 were quite different among those periods, no significant differences of the hygroscopicity

parameter κ values were seen, indicating particles with a size range of 30-120 nm were less affected by long range transport from Indo-China Peninsula or inland China continent. The calculated median κ values based on the measured D_{50} ranged from 0.32 to 0.41 and no significant differences in diameters and periods were observed (Fig. S3), suggesting that the high mass fractions of organics during C2 might be distributed in larger particle sizes (Fig. 8). The D_{50} values during P2 were smaller at all supersaturation ratios, suggesting higher hygroscopicity and CCN activity during this period. In addition, the $N_{CCN}/N_{CN,tot}$ and N_{CCN} during P2 was larger than during P1, owing to a larger number fraction of accumulation mode and a higher hygroscopicity. Meanwhile, the median κ values fell in a range of 0.12-0.19 during P1, significantly lower than those during three other periods but similar to the values measured in urban cities (Tan et al., 2013; Jiang et al., 2016; Cai et al., 2018). Such lower values of hygroscopicity were probably contributed from local emissions originating from inland urban cities or heavy duty ships. More cruise campaigns are hence needed to identify the source origins of marine aerosols over the SCS region.

The mixing state and heterogeneity of particles can affect the steepness of the activation curves (Cai et al., 2018). A steeper curve indicates that particles intend to be internally mixed and have a higher similarity in hygroscopicity. The average activation curves at 0.18% ss during the P1, C1, C2 and P2 periods are shown in Fig. S4. The parameter C (in Eq. 1) can be used to present the steepness of activation curve. A small C value indicates a steep activation curve. The C values during P1, C1, C2 and P2 periods were -8.5, -14.3, -13.7 and -10.6, respectively. The smooth curve and the largest C value during P1 suggest that particles had a higher degree of external mixing and higher heterogeneity, owing to the local fresh emissions. The C values during C1 and C2 periods were close and smaller than those in pollution periods, implying particles during clean periods were more aged and tend to be more internally mixed. The backward trajectories show that the air masses during clean periods were less affected by fresh

emissions. The activation curve during P2 period was smoother than C1 and C2 but steeper than P1, indicating that the particles during this period could be a mixture of aged particles from China inland and fresh particles from onshore emissions.

4 Conclusions

As an annual routine exercise for SCS expedition during summertime, the 2018 cruise campaign organized by Sun Yat-sen University is a comprehensive and interdisciplinary field measurement involving atmosphere, ocean, geology, biology, and chemistry etc. The measurement includes stationary and navigating observations based on compromise among multiple disciplines. For atmospheric measurements, several key scientific questions are emerging to be addressed over SCS region, including sources of air pollutants (gases and particles) in marine atmosphere, impacts of biomass burning from southeastern Asia and summer monsoon on atmospheric chemistry and physics in SCS region. In this study, the CCN activity, chemical composition, and particle number size distribution over northern SCS were measured using several onboard instruments including a ToF-ACSM, a CCNc, a SMPS, several monitors for trace gases (i.e., SO_2, NO_X, CO, and O_3). On one hand, lower concentrations of key trace gas pollutants and particle number or mass were observed in atmosphere of SCS than those in urban areas in PRD region, consistent with previously reported values for background marine atmosphere. Overall, chemical composition of NR-PM_1 was dominated by sulfate (46%) and the PNSD showed unimodal distribution centering at about 60-80 nm and the hygroscopicity κ values being higher than those in urban areas. On the other hand, characteristics of air pollutants (e.g., concentrations, physical and chemical properties) show substantially variations during summer monsoon season, depending on

source origins. Characteristics similar to continental aerosols were shown when air masses originate from inland China continent or Indo-China peninsula possibly via long range transport, leading to increase of organic fraction in chemical composition and decrease of hygroscopicity which might be attributed to picking up locally emitted and fresh pollutants during transport. Furthermore, low hygroscopicity κ values were shown when the air was affected by local fresh emissions and in this case the number concentration of particles increased with decrease of offshore distance. In addition, concentrations of both NO_X and CCN concentrations were well correlated with the total concentration of particles. Interestingly, a tropical storm Bebinca was caught in the middle of the campaign, resulting in two relatively clean periods (C1 and C2). These clean periods were likely attributed to strong wind and rainfalls brought by the storm which could obviously blow away or wash out pollutants in northern SCS region.

Our results suggest that aerosol properties and trace gases concentration over northern SCS is complex and substantially variable. The median hygroscopicity κ values of the particles in northern SCS were measured to be about 0.4, in the range of between those in the remote northwestern Pacific Ocean and those in urban PRD region, implying that particles in northern SCS could be a mixture of marine background and anthropogenic particles from continents (e.g., Indo-China peninsula and inland China continent). Concentrations of aerosol particles and trace gases exhibit complex temporal and spatial distribution. Concentrations of trace gases (i.e., O_3, CO, and NO_X except SO_2), particles (i.e., N_{CN} and N_{CCN}) were higher at the beginning (pollution episode: P1) than at the end (pollution episode: P2) of the campaign, implying different source origins for the two periods. At the beginning of the campaign, the air was likely affected by local fresh emissions from Huizhou, leading to increase of concentrations of both measured trace gases (except SO_2) and particles with decrease of offshore distance. Meanwhile,

concentration of NO_X had a good correlation with the N_{CN}, suggesting they might originate from the same sources. Similarly, at the end of the campaign, concentrations of both measured trace gases (except SO_2) and particles also increased with decrease of offshore distance, while because of more larger particles, higher fractions of particles were activated at the end than at the beginning of the campaign. We attributed the source origin during this period to inland China content via long range transport with additional local fresh pollutants during transport process, leading to barely clear patterns for both $N_{CCN}/N_{CN,tot}$ and D_{50} at all applied ss (ss=0.18, 0.34, and 0.59%). Furthermore, our results indicate that biomass burning from southeastern Asia may have important impacts on chemical composition and properties of aerosol particles over northern SCS, in particular, leading to increase of organic mass fractions and decrease of hygroscopicity κ values and hence affecting CCN activity in the region. Our study highlights the necessity for performing more intensive ship-based atmospheric measurements in order to better understand marine aerosols and air pollution in SCS region.

Data availability. Data from the ship-based cruise measurements are available upon request (Jun Zhao via zhaojun23@mail.sysu.edu.cn).

Supplement. The supplement related to this article is available online at xxx.

Author contributions. **MC, JZ,** and **HT** designed the research. **MC** and **BL** performed the ship-based cruise measurements. **XC** performed sulfate/MSA analysis. **MC, JZ, HT, BL,** and **QS** analyzed the data. **MC, JZ,** and **HT** wrote the paper with contributions from all co-authors.

Competing interests. The authors declare that they have no conflict of interest.

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646 **Table 1.** Summary of the instruments used in the campaign.

Instruments	Parameters
ToF-ACSM	NR-PM_1
SMPS+CCNc	PNSD (9-415 nm), Size-resolved CCN Activation Ratio (at ss=0.18%, 0.34%, and 0.59%)
CO Monitor	CO concentration
SO_2 Monitor	SO_2 concentration
O_3 Monitor	O_3 concentration
NO_X Monitor	NO_X, NO, NO_2 concentration

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649 **Table 2.** Summary of average N_{CCN}, D_{50}, and $N_{CCN}/N_{CN,tot}$ at 0.18%, 0.34%, and 0.59% ss during P1,

650 C1, C2, and P2.

Period	ss	0.18%	0.34%	0.59%
P1	N_{CCN} (# cm^{-3})	1825	3969	7198
	D_{50} (nm)	132	96	65
	$N_{CCN}/N_{CN,tot}$	0.19	0.34	0.49
C1	N_{CCN} (# cm^{-3})	566	978	1330
	D_{50} (nm)	105	67	49
	$N_{CCN}/N_{CN,tot}$	0.31	0.54	0.71
C2	N_{CCN} (# cm^{-3})	536	844	1183
	D_{50} (nm)	108	68	48
	$N_{CCN}/N_{CN,tot}$	0.32	0.55	0.73
P2	N_{CCN} (# cm^{-3})	4969	7140	8679
	D_{50} (nm)	101	65	49
	$N_{CCN}/N_{CN,tot}$	0.49	0.74	0.85

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FIGURE CAPTIONS

Figure 1. Ship track and tropical storm Bebinca track during the campaign (a), and schematic diagram of the vessel showing the location of the sea container which housed the onboard instruments during the campaign (b).

Figure 2. Temporal profiles of the meausred particle number size distribution (a), mass concentration (b) and mass fraction (c) of chemical composition, N_{CCN} and N_{CN} (d) and the daily averaged κ values with the upper and lower error bars (e). No data were shown between 6th and 8th August due to the instrumental failure of the TOF-ACSM.

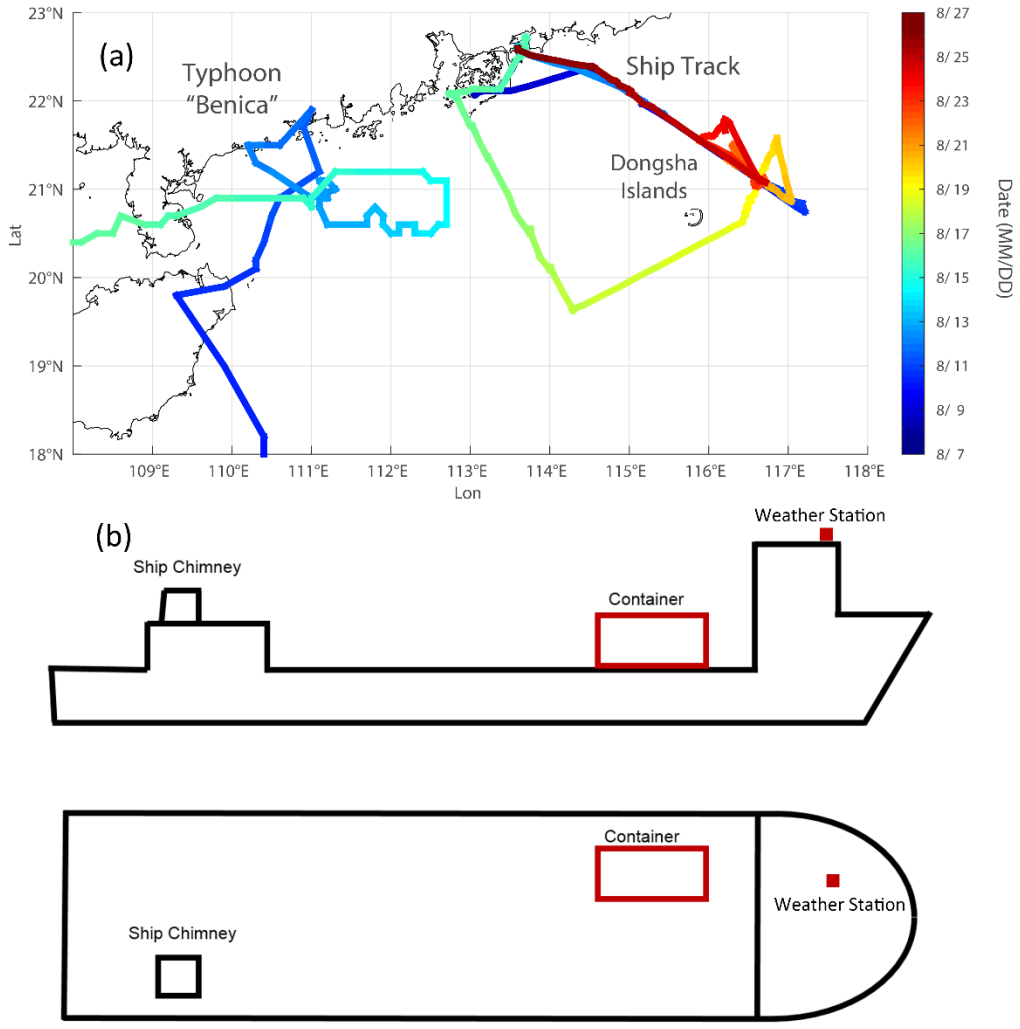
Figure 3. The median and interquartile κ values measured over South China Sea, at urban Guangzhou site, at marine background Okinawa site, and the mean and standard deviation κ values measured over remote South China Sea and at mountain Goldlauter site. The κ values over South China Sea were obtained from CCNc measurements (ss=0.18%, 0.34%, and 0.59%, in blue). The κ values in urban Guangzhou were obtained from CCNc (ss=0.1%, 0.2%, 0.4%, and 0.7%, in orange) and HTDMA measurements (in purple). The κ values in marine region Okinawa were obtained from HTDMA measurements (in green). The κ values in remote South China Sea were obtained from CCNc (ss=0.14% and 0.38%, in orange). The κ values in mountain Goldlauter site were obtained from CCNc (ss=0.07%, 0.10%, 0.19% and 0.38%, in black).

Figure 4. Concentrations of SO_2 (a), O_3 (b), CO(c), NO_X (d), N_{CN} (e), N_{CCN} (f), $N_{CCN}/N_{CN,tot}$ at 0.34% ss (g), and κ at 0.34% ss (h) as a function of latitude. The data points were color-coded according to date.

Figure 5. Correlations of SO_2(a), CO(b), NO_X (c), N_{CCN} (d), AR at 0.34% ss (e), and κ at 0.34% ss (f) with N_{CN}. The data were plotted according to color-coded dates.

Figure 6. The ship track during P1, C1, C2 and P2 periods.

675 Figure 7. The 72 h backward trajectories arriving at the location of the vessel with three heights (150 m,
676 500 m, and 1000 m) during P1, C1, C2, and P2, respectively. The dots represent the fire spots detected
677 by MODIS.
678 Figure 8. The average mass fraction of NR-PM_1 composition during the C1, C2 and P2 periods.
679 Figure 9. The average and standard deviation (shaded area) PNSD, along with trimodal lognormal fitted
680 modes (dash color lines). The average N_{CN} during each period and the median size of each lognormal fit
681 were shown.
682



683

684 Fig. 1.

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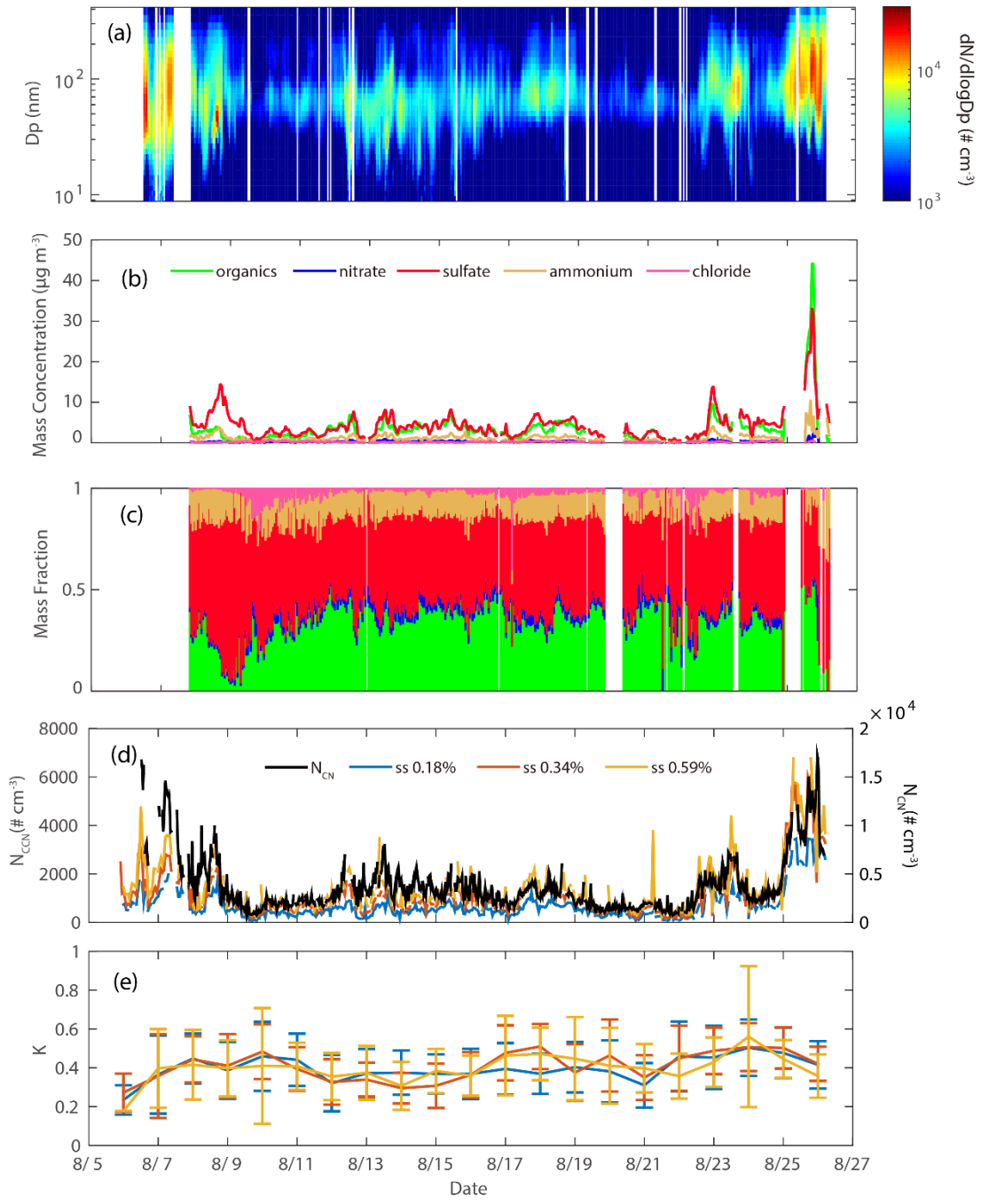

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688 Fig. 2.

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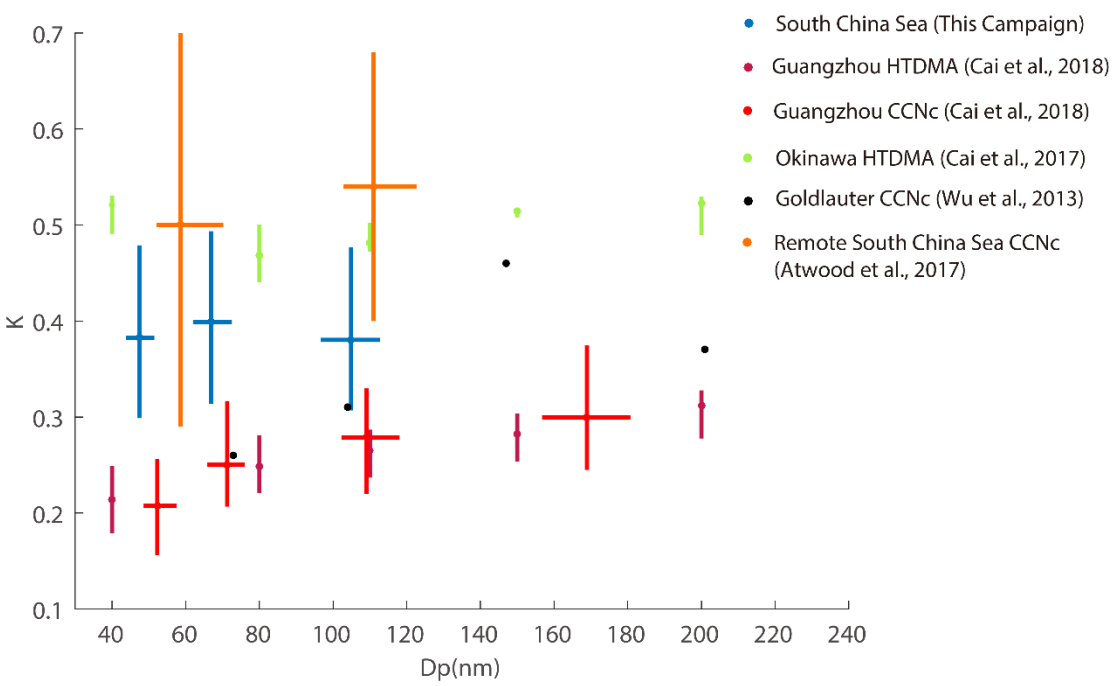

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692 Fig. 3.

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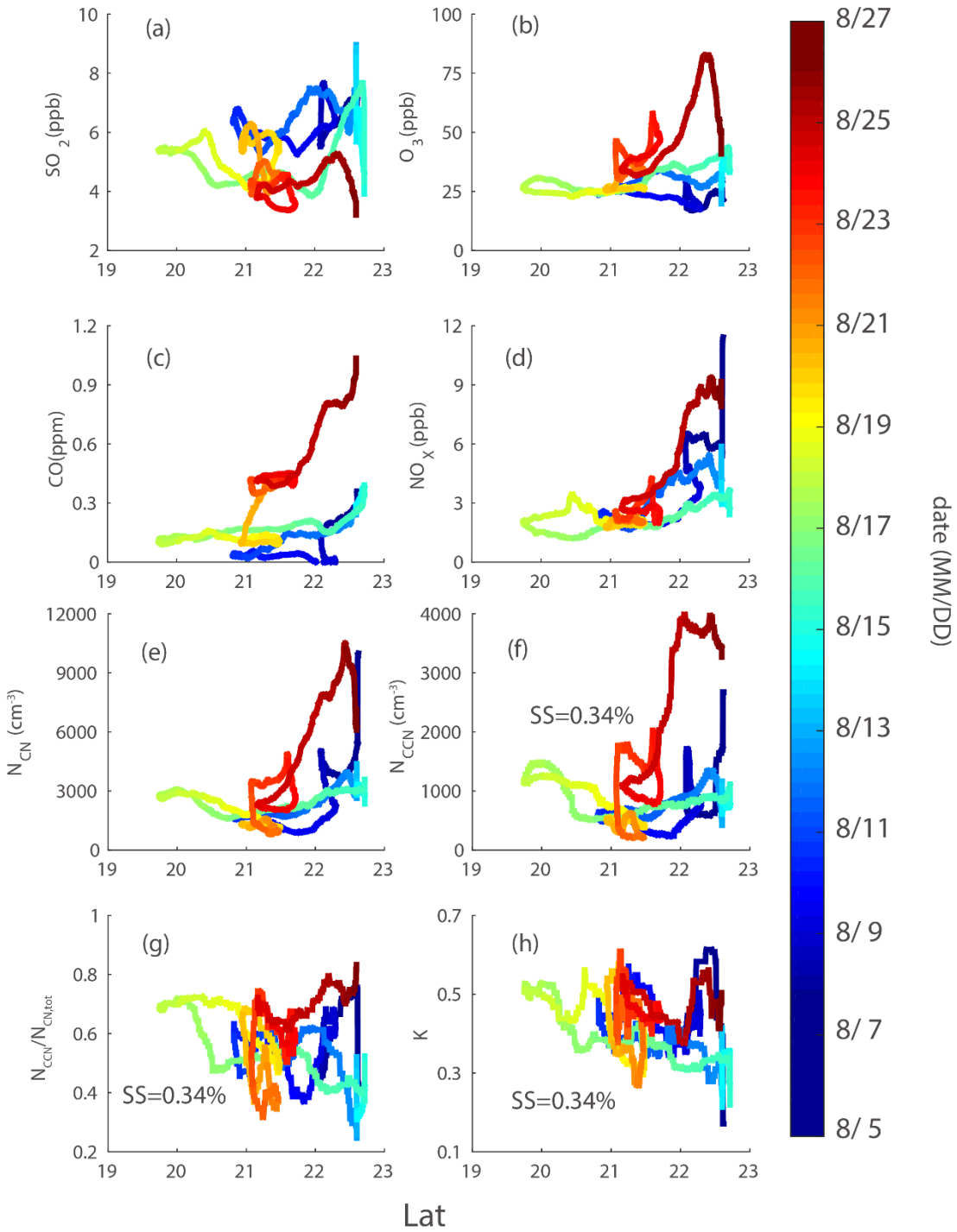
(a)
(b)
(c)
(d)
(e)
(f)
(g)
(h)
SO 2 (ppb)
O3 (ppb)
CO(ppm)
NOX (ppb)
NCN (cm-3)
NCCN (cm-3)
NCCN/NCN,tot
K
SS=0.34%
SS=0.34%
SS=0.34%
Lat
date (MM/DD)
8/27
8/25
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8/19
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8/15
8/13
8/11
8/ 9
8/ 7
8/ 5

Fig. 4.

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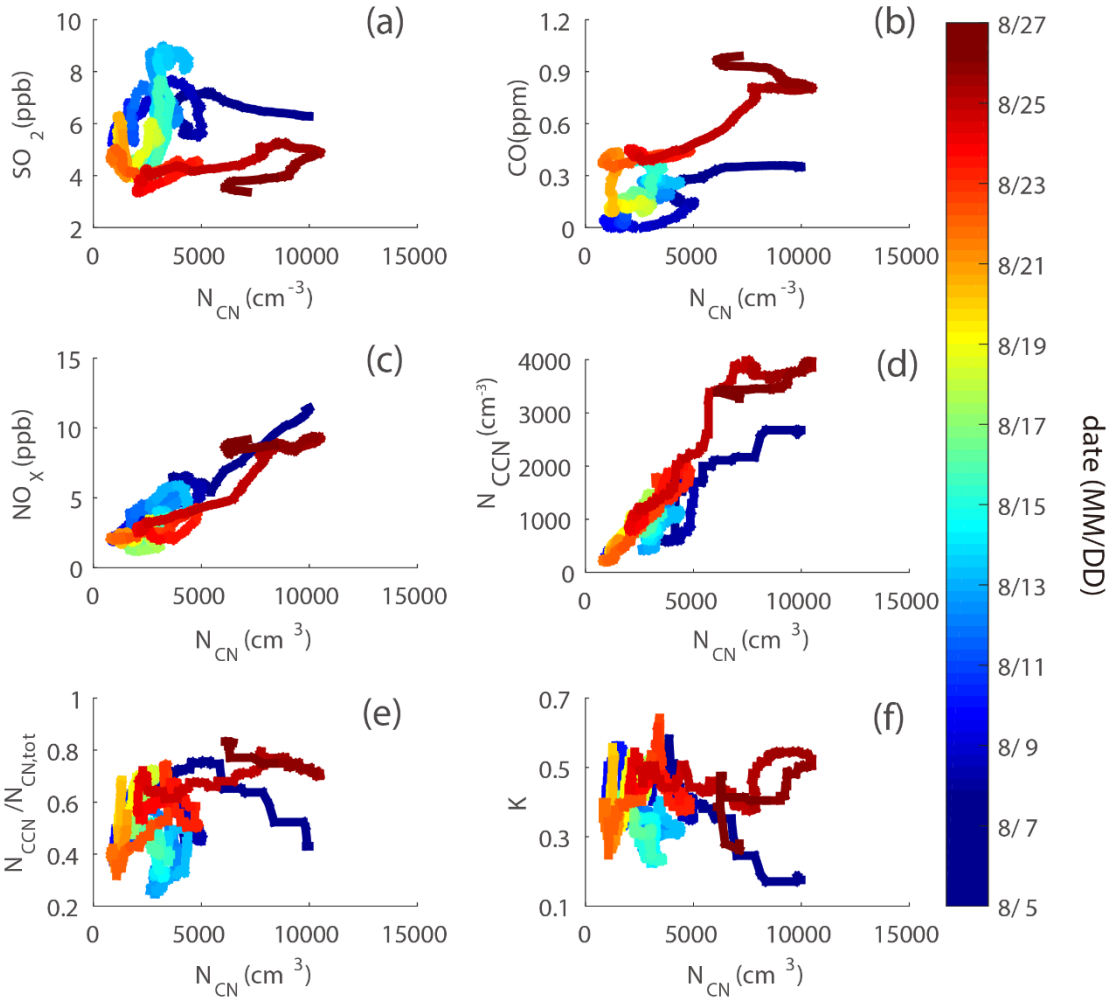

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702 Fig. 5.

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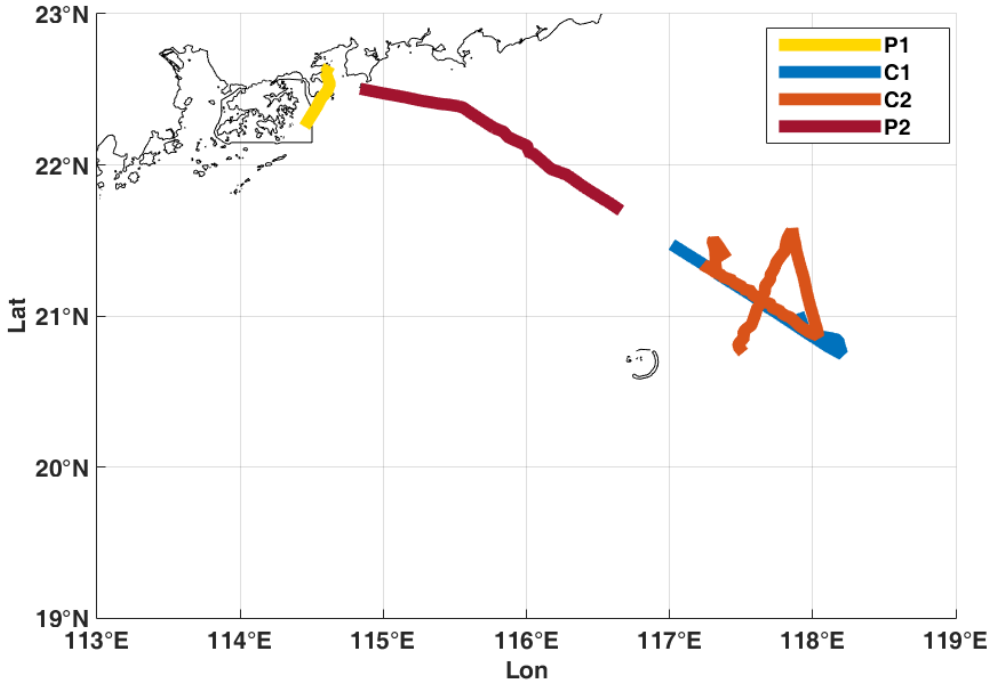

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706 Fig. 6.

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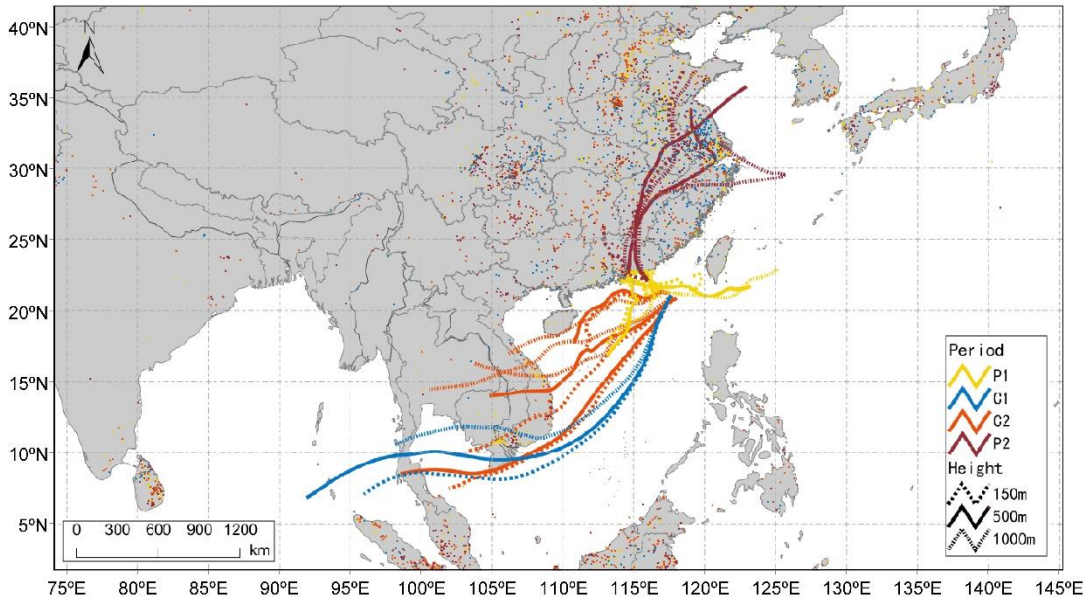

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710 Fig. 7.

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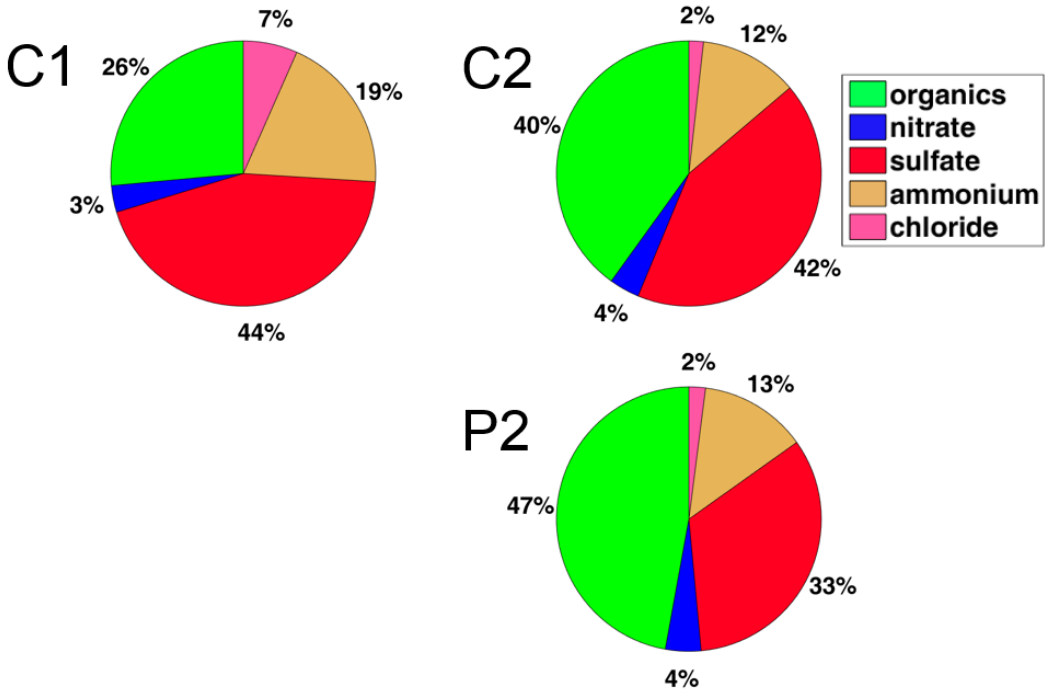

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714 Fig. 8.

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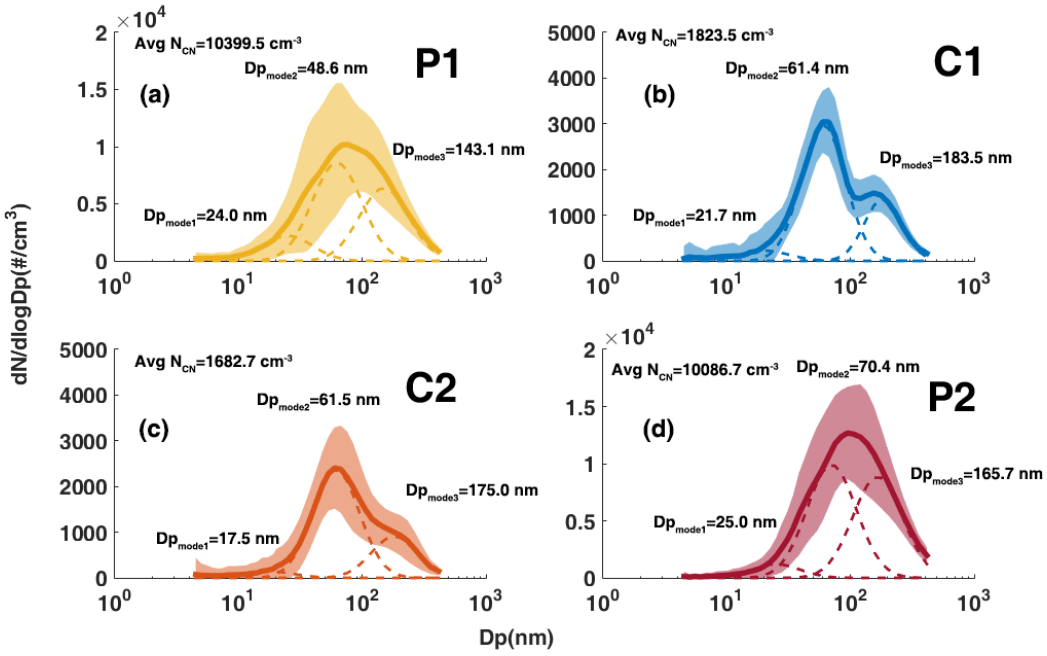

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718 Fig. 9.

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