Journal: ACP Title: Optical properties and molecular compositions of water-soluble and waterinsoluble brown carbon (BrC) aerosols in Northwest China Author(s): Jianjun Li et al. MS No.: acp-2019-1002

Dear Editor,

After reading the comments from the two referees, we have carefully revised our manuscript. Our response to the comments and related revisions are attached with this letter.

Anything about our paper, please feel free to contact me at <u>dkwzhang@ucdavis.edu</u>.

Best regards,

Sincerely yours

Qi Zhang

Mar. 18, 2020

#### Anonymous Referee #2

This paper presents measurements of water soluble and insoluble brown carbon (BrC) in different seasons in Guangzhong Basin in China. The possible sources and radiative effects of BrC are also discusses. The paper is well written and within the scope of ACP. I would recommend the publication of this manuscript in ACP if the comments below are well addressed.

**Response:** We thank the referee's comments, which are very helpful for us to improve our work. Detailed revision and response to the comments are list below.

#### **Specific comments:**

L148: delete "and" **Response:** Suggestion taken. Please see Line 150.

L171: Can the author give an estimate of the bias of MAC\_{  $\lambda$ ,WI-BrC} in your measurement. It would be also good to provide measurement uncertainties of other derived parameters.

**Response:** We thank the referee's suggestion. We added the uncertainties of  $Abs_{\lambda,WI-BrC}$  and  $MAC_{\lambda,WI-BrC}$  in the revised manuscript. (Please see Line 173-174)

L192: Can the author give some details of why 1.3 was used? **Response:** We thank the referee's suggestion. More details were provided in Line 196-205.

L203: how do these numbers compare with the measurement in other polluted regions (e.g. NCP, PRD and YRD) in China?

**Response:** We thank the referee's comment. We added a comparison of AAE value measured in this study with those in typical cities in NCP, PRD and YRD, please see Line 222-224.

L219: Since there is a tip at 360 and definitely influences 365, maybe it is better to use another wavelength for reporting abs?

**Response:** We do agree with the referee's comment. So we added an table (Table S1) to compare the Abs and MAC in wavelength of 340-380 nm. We found that the influence of the tip seems insignificant on the average Abs<sub>365</sub> or MAC<sub>365</sub>. Thus, in order to be consistence with previous studies, we still use 365 nm for reporting Abs and MAC, and gave a detailed explanation at Line 251-254.

L221: I would not call it "significant higher"

Response: Suggestion taken. We revised to "much higher" in Line 266.

L236: Since your site represents reginal background conditions (is it?), how these

numbers compare with other reginal background measurements? **Response:** We thank the referee's comment. We added a comparison with some data reported in other regional or background sites in China, please see Line 260-262 and Table 2.

L311-315: Why is levoglucosan concentration much higher in daytime than in nighttime in summer? Abs {365,WI-BrC} shows higher R2 with levoglucosan concentration. Does it mean POA from biomass burning is also an important contributor to BrC in daytime in summer? I think based only on the correlations, it is difficult to judge if primary emission or photochemical formation is more important. **Response:** We thank the referee's comment. Yes, the concentration of levoglucosan is also higher in daytime than in nighttime in summer due to enhanced emission from BB for domestic cooking. As described in Line 303-305, "Abs<sub>365,WI-BrC</sub> in both summer and winter correlate well with levoglusocan ( $r^2=0.74$  and 0.62, respectively), demonstrating an important contribution of biomass burning to WI-BrC...". However, we cannot quantitively judge if primary emission or photochemical formation is more important for WI-BrC in summer. Thus in "Conclusion", we concluded that "These results demonstrated that photochemical formation of BrC and enhanced BB emissions (e.g., from cooking) contributed to the higher daytime MACs in summer" (Line 495-497). Moreover, to avoid the confusion, we also revised the sentence "This difference was mainly attributed to enhanced photochemical formation of WI-BrC species, such as oxygenated polycyclic aromatic hydrocarbons (OPAHs)" in the Abstract to "This difference was partly attributed to enhanced photochemical formation of WI-BrC species, such as oxygenated polycyclic aromatic hydrocarbons (OPAHs)" (Line 42-43).

L398: Correlations with RH, sulfate and  $NO_2$  do not necessarily mean that aqueous oxidation has played a role in the formation of WS-BrC. We can see WI-BrC shows the same trend during the period. During haze event, the stagnant meteorological condition with low wind speed promotes the accumulation of BrC no matter how it is produced.

**Response:** We thank the referee's comment. Indeed, the correlations of Abs<sub>365,WS-BrC</sub> with RH, sulfate and NO<sub>2</sub> are not necessarily to prove the aqueous formation of WS-BrC. However, we have more evidence for this. Firstly, the temporal variation of Abs<sub>365,WI-BrC</sub> and Abs<sub>365,WS-BrC</sub> is actually different. Abs<sub>365,WS-BrC</sub> increases continuously during the haze period, whereas Abs<sub>365,WI-BrC</sub> presents obvious diurnal variation (Figure 1). Secondly, the relationship of RH, sulfate and NO<sub>2</sub> with Abs<sub>365,WS-BrC</sub> are much stronger than those with Abs<sub>365,WI-BrC</sub>, but levoglucosan and PAHs have stronger correlation with Abs<sub>365,WI-BrC</sub>. These results suggested that Abs<sub>365,WI-BrC</sub> were more related with primary emissions, but aqueous oxidation was an important source for WS-BrC. In addition, in our previous study in Xi'an (~40 km away from the sampling site in this study), we further analyzed the stable carbon isotope composition and also found a secondary formation of BrC in winter (Wu et al., 2020). Thus, we confirmed that aqueous oxidation has played a role in the

formation of WS-BrC. More detailed description also added in the manuscript, please see Line 423-430.

Figure 2e and f not mentioned in the text.

**Response:** We thank the referee's reminder. Figure 2e and f were deleted in the revised manuscript.

#### Reference

Wu, C., Wang, G., Li, J., Li, J., Cao, C., Ge, S., Xie, Y., Chen, J., Li, X., Xue, G., Wang, X., Zhao, Z., and Cao, F.: The characteristics of atmospheric brown carbon in Xi'an, inland China: sources, size distributions and optical properties, Atmos. Chem. Phys., 20, 2017-2030, 10.5194/acp-20-2017-2020, 2020.

#### Anonymous Referee #3

In this work, the authors examined the absorption properties and molecular compositions of water-soluble and –insoluble PM2.5 brown carbon from a rural site in China. Seasonal variation, day time vs night time, as well as water-soluble vs water-insoluble of absorbance and MAC values of particles were discussed. Their results showed the contribution of photochemical formation of brown carbon and biomass burning emissions to higher daytime MACs in summer in the region. They also suggest the important role of aqueous-phase reactions and nitrated aromatic compounds in the formation of secondary brown carbon. Overall, the authors have done a great job in analyzing and discussing their data. The work is also well presented. I recommend acceptance.

**Response:** We thank the referee's comments.

#### **Specific comments:**

1) Line 120 should it be "\_8am to 8pm"? **Response:** Suggestion taken. Please see Line 121.

2) Section 2.4 please indicate where to subtract the signal from blanks in your calculations.

**Response:** We thank the referee's comment. We added the information at Line 163-164.

3) Line 170 what is M in Eq. 3?

**Response:** We thank the referee's comment. We added an explanation about M in Eq. 3, please see 172.

4) Line 222 "Abs<sub>365</sub> of WS-BrC is significantly higher than WI-BrC in summer, but values are comparable in winter". However, Figure 2 shows Abs<sub>365</sub> of WI-BrC is higher than WS-BrC in winter. Please explain.

**Response:** We thank the referee's comment. The averaged value of  $Abs_{365}$  of WI-BrC and WS-BrC in winter were 19.6±8.3 Mm<sup>-1</sup> and 21.9±13.5 Mm<sup>-1</sup>, respectively. So we revised the sentence as "On average,  $Abs_{365,WS-BrC}$  is significantly higher than  $Abs_{365,WI-BrC}$  in summer (5.00±1.28 Mm<sup>-1</sup> vs. 2.95±1.94 Mm<sup>-1</sup>), but the values vary slightly in winter (19.6±8.3 Mm<sup>-1</sup> vs. 21.9±13.5 Mm<sup>-1</sup>)" (Line 240-242).

5) Line 405 an increase of MAC<sub>365</sub> during New Year's Eve was observed but an increase of Abs<sub>365</sub> or PM mass or WSOC was NOT observed. Please explain. **Response:** We thank the referee's comment. The increase of Abs<sub>365</sub> or PM mass or WSOC was NOT observed because the metrological condition were favoring for pollutants dispersion (Figure 1). Thus, we provided more detailed explanation at Line 436-440.

1	Optical properties and molecular compositions of water-soluble and water-
2	insoluble brown carbon (BrC) aerosols in Northwest China
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4	Jianjun Li <sup>1,2</sup> , Qi Zhang <sup>2,*</sup> , Gehui Wang <sup>1,3,4,*</sup> , Jin Li <sup>1</sup> , Can Wu <sup>1,3</sup> , Lang Liu <sup>1</sup> , Jiayuan Wang <sup>1,2</sup> ,
5	Wenqing Jiang <sup>2</sup> , Lijuan Li <sup>1,2</sup> , Kin Fai Ho <sup>1,5</sup> , Junji Cao <sup>1</sup>
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7 9 10 11 12 13 14 15	<ul> <li><sup>1</sup> Key Lab of Aerosol Chemistry &amp; Physics, SKLLQG, Institute of Earth Environment, Chinese Academy of Sciences, Xi'an 710061, China</li> <li><sup>2</sup> Department of Environmental Toxicology, University of California, Davis, CA 95616, USA</li> <li><sup>3</sup> Key Laboratory of Geographic Information Science of the Ministry of Education, School of Geographic Sciences, East China Normal University, Shanghai 200241, China</li> <li><sup>4</sup> Institute of Eco-Chongming, 3663 N. Zhongshan Rd., Shanghai 200062, China</li> <li><sup>5</sup> The Jockey Club School of Public Health and Primary Care, The Chinese University of Hong Kong, Hong Kong, China</li> </ul>
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17 18 19 20 21 22 23 24 25 26 27 28 29 30	*Corresponding authors: Prof. Qi Zhang Department of Environmental Toxicology, University of California, Davis One Shields Avenue, Davis, CA 95616 Phone: 1-530-752-5779 Fax: 1-530-752-3394 Email: dkwzhang@ucdavis.edu; Prof. Gehui Wang School of Geographic Sciences, East China Normal University, Shanghai, China 500 Dongchuan Rd., Shanghai 200241, China Phone: 86-21-5434-1193 E-mail: ghwang@geo.ecnu.edu.cn.

Brown carbon (BrC) contributes significantly to aerosol light absorption, thus can affect the earth's 32 33 radiation balance and atmospheric photochemical processes. In this study, we examined the light 34 absorption properties and molecular compositions of water-soluble (WS-BrC) and water-insoluble 35 (WI-BrC) BrC in PM<sub>2.5</sub> collected from a rural site in the Guanzhong Basin – a highly polluted 36 region in Northwest China. Both WS-BrC and WI-BrC showed elevated light absorption 37 coefficients (Abs) in winter (4-7 times of those in summer) mainly attributed to enhanced emissions from residential biomass burning (BB) for house heating. While the average mass 38 39 absorption coefficients at 365 nm (MAC<sub>365</sub>) of WS-BrC were similar between daytime and nighttime in summer (0.99±0.17 and 1.01±0.18 m<sup>2</sup> g<sup>-1</sup>, respectively), the average MAC<sub>365</sub> of WI-40 BrC was more than a factor of 2 higher during daytime  $(2.45\pm1.14 \text{ m}^2 \text{ g}^{-1})$  than at night  $(1.18\pm0.36 \text{ m}^2)$ 41 m<sup>2</sup> g<sup>-1</sup>). This difference was mainly partly attributed to enhanced photochemical formation of WI-42 BrC species, such as oxygenated polycyclic aromatic hydrocarbons (OPAHs). In contrast, the 43 MACs of WS-BrC and WI-BrC were generally similar in winter and both showed little diel 44 45 differences. The Abs of wintertime WS-BrC correlated strongly with relative humidity, sulfate, 46 and NO<sub>2</sub>, suggesting that aqueous-phase reactions is an important pathway for secondary BrC 47 formation during the winter season in Northwest China. Nitrophenols on average contributed 2.44±1.78% of the Abs of WS-BrC in winter, but only 0.12±0.03% in summer due to faster 48 photodegradation reactions. WS-BrC and WI-BrC were estimated to account for 0.83±0.23% and 49 0.53±0.33%, respectively, of the total down-welling solar radiation in the UV range in summer, 50 51 and 1.67±0.72% and 2.07±1.24%, respectively, in winter. The total absorption by BrC in the UV region was about 55-79% relative to the elemental carbon (EC) absorption. 52

53 Keywords: Brown Carbon (BrC); Organic Aerosol; Optical Property; Molecular Composition

#### 55 1. Introduction

Light-absorbing organic matter, termed as "brown carbon (BrC)", has been recognized as an 56 57 important climate forcer due to its ability to directly interact with both incoming solar radiation and outgoing terrestrial radiation (Andreae and Gelencser, 2006;Laskin et al., 2015). BrC is a 58 complex mixture of organic compounds, which collectively show a light absorption profile 59 increasing exponentially from the visible (Vis) to the ultraviolet (UV) range. Due to the high 60 61 abundance of organic aerosol in continental regions, especially in places with intensive anthropogenic pollution, the contribution of BrC to aerosol absorption in the near-UV range is 62 potentially significant (Kirillova et al., 2014b;Huang et al., 2018;Yan et al., 2015a). For example, 63 a model study showed that BrC contributes up to  $+0.25 \text{ W m}^{-2}$  of radiative forcing on a planetary 64 scale, which is approximately 19% of the absorption by anthropogenic aerosols (Feng et al., 2013). 65 Moreover, the strong absorption of BrC in the UV spectral region can reduce the solar actinic flux, 66 67 and subsequently affect atmospheric photochemistry and tropospheric ozone production (Jacobson, 68 1998;Mohr et al., 2013).

A thorough understanding of the sources and transformation processes of BrC in the 69 70 atmosphere is important, but it is still lacking. Biomass/biofuel combustion, including forest fires, 71 and burning of wood and agricultural wastes for residential cooking and heating, has been shown 72 as a particularly important source of BrC (Washenfelder et al., 2015; Desyaterik et al., 2013; Lin et 73 al., 2017). BrC can also be emitted directly from coal burning (Yan et al., 2017), and biogenic release of fungi, plant debris, and humic matter (Rizzo et al., 2013;Rizzo et al., 2011). In addition, 74 recent studies suggested that secondary BrC can be formed through various reaction pathways, 75 76 including photooxidation of aromatic volatile organic compounds (VOCs) (Lin et al., 2015;Liu et

al., 2016), reactive uptake of isoprene epoxydiols onto preexisting sulfate aerosols (Lin et al., 77 2014), aqueous oxidation of phenolic compounds and  $\alpha$ -dicarbonyls (Chang and Thompson, 78 79 2010;Nozière and Esteve, 2005;Smith et al., 2016;Yu et al., 2014;Xu et al., 2018), and reactions 80 of ammonia or amines with carbonyl compounds in particles or cloud droplets (Nozière et al., 81 2007;Laskin et al., 2010;Updyke et al., 2012;Nguyen et al., 2012;De Haan et al., 2018;Powelson 82 et al., 2014). However, atmospheric oxidation processes may also cause "photobleach" -83 photodegradation of BrC into less light-absorbing compounds (Lee et al., 2014;Romonosky et al., 84 2015; Sumlin et al., 2017), which may complicate the understanding of BrC in the atmosphere.

85 A common way to quantify the absorption properties of BrC is to measure the absorbance of aerosol extracts over a wide wavelength range using spectrophotometers. This approach can 86 87 differentiate the interference of black carbon (BC) or mineral dust (Hecobian et al., 2010). Most 88 of the studies use ultrapure water to extract organic substance in the aerosol, and thus measure the optical properties of water-soluble BrC (WS-BrC) (Wu et al., 2019;Hecobian et al., 2010;Kirillova 89 90 et al., 2014b). In addition, some studies analyzed the light absorption of BrC extracted using polar 91 organic solvents such as methanol or acetone (Liu et al., 2013; Huang et al., 2018; Kim et al., 2016). 92 Since such extracts contain both water-soluble and water-insoluble chromophores, little 93 information is available regarding the contribution and formation of water-insoluble BrC (WI-BrC). However, it is important to understand WI-BrC given the facts that some water-insoluble 94 95 organic compounds, such as polycyclic aromatic hydrocarbons and their derivatives, are effective light absorbers and that the mass absorption of WI-BrC could be even greater than that of the 96 97 water-soluble fraction (Chen and Bond, 2010; Huang et al., 2018; Sengupta et al., 2018). Thus, it is 98 necessary to extract water-soluble and water-insoluble organic components separately, e.g., via 99 using solvents with different polarity in sequence. Combining with measurements of BrC 100 molecular compositions, the UV-vis absorption properties of the water-soluble and water-insoluble 101 extracts may help us better understand the sources and formation mechanisms of light-absorbing 102 compounds in the atmosphere.

103 China has been experiencing serious atmospheric pollution conditions in recent decades, and 104 both model and field results showed elevated light absorption of BrC in most regions of China 105 (Huang et al., 2018; Cheng et al., 2011; Yan et al., 2017; Li et al., 2016b) compared to developed countries such as the U.S. (Hecobian et al., 2010; Washenfelder et al., 2015) and European 106 107 countries (Mohr et al., 2013; Teich et al., 2017). However, BrC-related data are scarce in the Guanzhong Basin (Shen et al., 2017; Huang et al., 2018), which is one of the most polluted regions 108 109 in China (van Donkelaar et al., 2010). Here we present measurements of the optical properties of 110 WS-BrC and WI-BrC in PM<sub>2.5</sub> collected from a rural area of the Guanzhong Basin during winter and summer seasons. We also measured the concentrations of several BrC compounds as well as 111 those of organic carbon (OC), elemental carbon (EC), water-soluble OC (WSOC) and inorganic 112 ions. These data were analyzed to examine the effects of sources emissions, daytime 113 photochemical oxidation, and aqueous-phase chemistry on WS- and WI-BrC components in 114 115 different seasons.

#### 116 **2. Experimental section**

117 **2.1 Sample collection** 

The sampling was conducted at a small village (namely Lincun, 34°44′ N and 109°32′E, 354m
a.s.l.) ~ 40 km northeast to Xi'an, the capital of Shaanxi Province (Figure S1). The sampling site
is located in the central part of Guanzhong Basin with no obvious point source of air pollutants in

121 the surrounding areas. PM<sub>2.5</sub> samples were collected twice a day (~8 am to  $\frac{20}{8}$  pm and  $\frac{20}{8}$  pm to 8 am) onto prebaked (450 °C, 6-8 hr) quartz fiber filters (Whatman, QM-A, USA) during Aug. 122 3-23, 2016 and Jan. 20-Feb. 1, 2017 using a TISCH Environmental (USA) PM<sub>2.5</sub> high volume 123  $(1.13 \text{ m}^3 \text{ min}^{-1})$  sampler. Field blank samples were also collected by mounting blank filters onto 124 125 the sampler for about 15 min without pumping any air. After sampling, the sample filters were 126 immediately sealed in aluminum foil bags, and then stored in a freezer  $(-5 \, ^{\circ}\text{C})$  prior to analysis. 127 Meteorological conditions, and concentrations of O<sub>3</sub> and NO<sub>2</sub> during this studied period are 128 presented in Figure 1.

#### 129 2.2 Filter extraction and absorption spectra analysis

For each PM<sub>2.5</sub> sample, a portion of the filter (~13.384 cm<sup>2</sup>) was first extracted in 8 ml of 130 131 Milli-Q water (18.2 M $\Omega$ ) through 30 min of sonication at ~ 0°C. The water extract was then filtered 132 via vacuum filtration with a 25mm diameter 5 µm pore hydrophobic PTFE membrane filter (Merck Millipore Ltd, Mitex<sup>TM</sup> Membrane Filters, USA). Afterwards, the insoluble PM components 133 collected on the PTFE membrane filter and remained on the sample filter were rinsed with 2 ml 134 Milli-Q water, air dried, and then extracted via sonication in 8 ml pure acetonitrile (ACN) 135 (Honeywell Burdick & Jackson, LC/MS Grade, USA). The acetonitrile extract was filtered via a 136 13 mm diameter 0.45 µm pore syringe filter (PALL, Bulk Acrodisc®, PTFE Membrane Filters, 137 USA). The light absorption spectra of the water and the acetonitrile extracts were measured 138 between 190 nm to 820 nm by a diode-array spectrophotometer (Hewlett Packard 8452A, USA) 139 140 using quartz cuvettes with 1 cm length path. Field blank filters were extracted and measured in the 141 same manner as the samples. Data presented in this study were corrected for the field blanks (<10% relative to field samples). 142

#### 143 2.3 Chemical Analysis

144	OC and EC were analyzed using DRI Carbon Analyzer (Model 2001, USA). Another piece
145	of the filter sample (~8.6 cm <sup>2</sup> ) was extracted with Milli-Q water (18.2M $\Omega$ ), and filtered through
146	a PTFE syringe filter. Then the water-extract was analyzed for water-soluble inorganic ions
147	(SO <sub>4</sub> <sup>2-</sup> , NO <sub>3</sub> <sup>-</sup> , NH <sub>4</sub> <sup>+</sup> , Cl <sup>-</sup> , F <sup>-</sup> , Ca <sup>2+</sup> , K <sup>+</sup> , Na <sup>+</sup> and Mg <sup>2+</sup> ) using a Metrohm Ion Chromatography
148	(Metrohm 940, Switzerland) and WSOC using a Shimadzu TOC analyzer (TOC-L CPH, Japan)
149	and. Concentrations of individual molecules, including levoglucosan, parent-PAHs, Oxygenated-
150	PAHs (OPAHs), nitrophenols, and isoprene and $\alpha$ -/ $\beta$ -pinene derived products, were measured
151	using GC/EI-MS (Agilent 7890A-5975C, USA) calibrated by authentic standards. More details
152	on these measurements can be found in previous publications (Li et al., 2014).

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153 **2.4 Data Interpretation** 

In this study, water-insoluble OC (WIOC) was calculated by the difference between OC andWSOC:

$$M_{WIOC} = M_{OC} - M_{WSOC}$$
(1)

157 where  $M_{WIOC}$ ,  $M_{OC}$ , and  $M_{WSOC}$  correspond to the mass concentration (in  $\mu$ gC m<sup>-3</sup>) of WIOC,

158 OC, and WSOC, respectively, in the air.

The absorption coefficient of WS-BrC (Abs<sub>λ,WS-BrC</sub>, Mm<sup>-1</sup>) or WI-BrC (Abs<sub>λ,WI-BrC</sub>, Mm<sup>-1</sup>)
at a given wavelength (λ) is determined from the UV-vis spectrum of the water extract (Hecobian
et al., 2010;Laskin et al., 2015)

162 
$$Abs_{\lambda} = (A_{\lambda} - A_{700}) \times \frac{V_{solvent}}{V_a \times l} \times \ln(10) \times 100$$
(2)

163 where  $A_{\lambda}$  is the absorbance of the water  $(A_{\lambda,WS-BrC})$  or ACN  $(A_{\lambda,WI-BrC})$  extract at  $\lambda$ , which is

164 <u>corrected for the field blank</u>,  $V_{solvent}$  (ml) is the volume of solvent (water or ACN) used to

extract the filter (8 mL), and Va ( $m^3$ ) is the air volume passed through the filter punch. l (cm) is 165 the optical length of the quartz cuvettes used for UV-vis measurement and ln(10) is used to 166 convert the logbase-10 (provided by the spectrophotometer) to natural logarithm. 100 is for unit 167 conversion. A<sub>700</sub> (absorbance at the wavelength of 700 nm) is subtracted to minimize the 168 interference of baseline shift. The mass absorption coefficient of WS-BrC (MAC<sub> $\lambda$ ,WS-BrC</sub>, m<sup>2</sup> g<sup>-1</sup>) 169 or WI-BrC (MAC<sub> $\lambda$ ,WI-BrC</sub>, m<sup>2</sup> g<sup>-1</sup>) at wavelength of  $\lambda$  is calculated using eq (3) 170  $MAC_{\lambda} = \frac{Abs_{\lambda}}{M}$ (3) 171 172 where M is the mass concentration of WSOC or WIOC. Note that since it is possible that not all the WI-BrC was extracted into ACN, the Abs<sub> $\lambda$ ,WI-BrC</sub> (estimated uncertainty is 32%) and MAC<sub> $\lambda$ ,WI-</sub> 173 174 Brc (estimated uncertainty is 33%) reported in this study are likely the lower bound values. Nevertheless, the underestimation is probably insignificant since Chen and Bond (Chen and 175 176 Bond, 2010) reported that >92% of BrC was extractable by organic solvents (methanol or 177 acetone). The wavelength dependence for BrC absorption is fit with a power law equation: 178  $Abs_{\lambda} = K \times \lambda^{-AAE}$ 179 (4) where K is a constant and AAE stands for absorption Ångström exponent. In this study, the AAE 180 for a given sample is calculated through the linear regression of  $log(Abs_{\lambda})$  against  $log \lambda$  between 181 300-450 nm. This wavelength range is chosen because the fits of all the samples in this study are 182 better than  $r^2=0.99$ . Note that slightly higher AAE values (by up to 10%) are obtained using a 183 wider wavelength range (e.g., 300-550 nm; Figure S2). 184 185 The fraction of solar irradiance absorbed by particulate BrC at a given wavelength  $\lambda$  is estimated following the Beer-Lambert's law: 186

187 
$$\frac{I_0 - I}{I_0}(\lambda) = 1 - e^{-b_{ap,\lambda,x} \times h_{ABL}}$$
(5)

188 where *x* denotes WS-BrC or WI-BrC, h<sub>ABL</sub> is the atmospheric boundary layer height (assuming  
1200 m in summer and 600 m in winter) according to the assumption that the ground  
190 measurement results are representative of the average values in the whole planetaryatmospheric  
191 boundary layer (ABLPEL) (Kirchstetter et al., 2004;Kirillova et al., 2014a), (Kirchstetter et al.,  
192 2004;Kirillova et al., 2014a). In denotes the incident solar radiance in the form of either actinic.  
193 flux (in quanta s<sup>-1</sup> cm<sup>-2</sup> nm<sup>-1</sup>) or irradiance (in W m<sup>-2</sup> nm<sup>-1</sup>), which were obtained using the TUV.  
194 Quick Calculator (http://cprm.acom.ucar.edu/Models/TUV/Interactive\_TUV/). (Ig-1) denotes the  
195 direct absorption of solar actinic flux or irradiance by BrC.end bap, x corresponds to the  
196 absorption coefficient (bap, m<sup>-1</sup>) of WS-BrC or WI-BrC at wavelength of 
$$\lambda_{--}$$
. The absorption  
197 properties of BrC extracted by bulk solution may not entirely reflect the light absorption by  
198 ambient aerosols. However, an estimated conversion factor can be calculated from the light.  
199 absorption of size-resolved samples using the Mie theory. Assuming that particles are of.  
200 spherical morphology and externally mixed with other light-absorbing components, an imaginary  
201 refractive index (k, responsible for absorption) could be obtained from MAC using follow.  
202 equation (Laskin et al., 2015):  
203  $k_{(2)} = \frac{\rho \times \lambda \times Abs_{\lambda}}{4\pi \times M_{WSOC}} = \frac{\rho \times \lambda \times MAC_{\lambda}}{4\pi}$  (6)  
204 where  $\rho$  (g/cm3) was particle density and assigned as 1.5, and more details about Mie theory  
205 calculations can be referred to the study by Liu et al. (2013). Previous studies showed that the light  
206 absorption coefficient of particulate BrC (bap, h, c) is around 0.7–2.0 times of that from bulk  
207 solution (Abs<sub>k</sub>, ws.Bac or WFBC ) (Liu et al., 2013;Sun et al., 2007). Here, a conversion factor of 1.3  
208 is applied based on a Mie theory calculation of acrosols in Xi'an (~40 km away from the sampling

- 209 site) (Wu, 2018). He denotes the incident solar radiance in the form of either actinic flux (in quanta
- 210 s<sup>-1</sup>-cm<sup>-2</sup>-nm<sup>-1</sup>) or irradiance (in W m<sup>-2</sup>-nm<sup>-1</sup>), which were obtained using the TUV Quick Calculator
- 211 (http://cprm.acom.ucar.edu/Models/TUV/Interactive\_TUV/). (I<sub>0</sub>-I) denotes the direct absorption
- 212 of solar actinic flux or irradiance by BrC.
- 213 **3. Results and Discussion**

- 214 **3.1 Optical absorption characteristics of WS-BrC and WI-BrC**
- The average absorption spectra of WS-BrC and WI-BrC ( $\lambda = 300-700$  nm) during daytime 215 and nighttime in different seasons are shown in Figure 2a &b. The absorption Ångström 216 217 exponents for both WS-BrC (AAE<sub>WS-BrC</sub>) and WI-BrC (AAE<sub>WI-BrC</sub>) are generally higher than 5, verifying the contribution of BrC to aerosol absorptivity in the region. The average AAE<sub>WS-BrC</sub> 218 219 are similar between summer  $(5.43\pm0.41)$  and winter  $(5.11\pm0.53)$ . Huang et al. (2014) and Shen et 220 al. (2017) reported comparable AAE<sub>WS-BrC</sub> values (5.3-5.7) with no significant seasonal change at urban sites of Xi'an, suggesting common characteristics of BrC on a regional scale in the 221 222 Guanzhong Basin of China. These results are comparable with the data reported in Guangzhou 223 (5.3) (Liu et al., 2018), but much lower than those in Beijing (5.3-7.3) (Cheng et al., 2011; Yan et 224 al., 2015b;Du et al., 2014) and Nanjing (6.7-7.3) (Chen et al., 2018). Moreover, Comparable-225 comparable AAE values were reported for WS-BrC in Switzerland (3.8-5.1) (Moschos et al., 226 2018) and Nepal (4.2-5.6) (Wu et al., 2019;Kirillova et al., 2016), but higher AAE<sub>WS-BrC</sub> were 227 found in Southeastern southeastern US  $(7 \pm 1)$  (Hecobian et al., 2010), Los Angeles Basin  $(7.6 \pm 1)$ 0.5) (Zhang et al., 2013), and Korea (5.84-9.17) (Kim et al., 2016), and Beijing (7.0-7.5) (Cheng-228 229 et al., 2011).
  - The AAE<sub>WI-BrC</sub> shows more obvious seasonal variations with a higher average value in

231 winter  $(6.04\pm0.22)$  than in summer  $(5.01\pm0.58)$ . This difference suggests that the chemical composition of WI-BrC might be more different in different seasons, due to variations in the 232 233 sources and atmospheric formation and aging processes of light absorbing hydrophobic 234 compounds. 235 The light absorption properties of WS-BrC and WI-BrC present obvious seasonal variations (Figure 2). The average  $(\pm 1\sigma)$  Abs and MAC values of BrC at 365 nm (i.e., Abs<sub>365,WS-BrC</sub>, 236 Abs<sub>365,WI-BrC</sub>, MAC<sub>365,WS-BrC</sub>, and MAC<sub>365,WI-BrC</sub>) during daytime and nighttime in winter and 237 238 summer are summarized in Table 1. 365 nm is was chosen to avoid interferences from inorganic 239 compounds (e.g., nitrate and nitrite) and to be consistence consistent with previous studies 240 (Hecobian et al., 2010; Huang et al., 2018). On average, Abs<sub>365,WS-BrC</sub> is significantly higher than 241 Abs<sub>365,WI-BrC</sub> in summer  $(5.00\pm1.28 \text{ Mm}^{-1} \text{ vs. } 2.95\pm1.94 \text{ Mm}^{-1})$ , but the values arecomparable vary slightly in winter (19.6±8.3 Mm<sup>-1</sup> vs. 21.9±13.5 Mm<sup>-1</sup>). The substantially higher 242 BrC absorptions in winter correspond to a much higher organic aerosol concentration – WSOC 243 and WIOC concentrations in winter are on average 4.2 and 14 times of the concentrations in 244 245 summer (Table 1). Elevated OA (organic aerosols) concentration during winter is due to a 246 combination of lower ABL PBL height and enhanced primary emissions (e.g., from residential 247 heating) in the cold season. It is worth noting that the wavelength-dependent Abs of WS-BrC shows a minor tip at about 360 nm in both seasons (Figure 2), which may be related to the 248 249 contribution of some specific chromophores. For example, Lin et al. (2015) reported that some nitrogen-containing organic compounds (such as picric acid or nitrophenol) have a maximum 250 251 absorption at wavelength of ~360 nm. The tip wouldpossibly caused an overestimation of 252 average Abs and MAC at wavelength of 365 nm in this study. However, the influence seems

253 insignificant based on a comparison of average Abs and MAC at wavelength of 340 nm, 350 nm,
 254 <u>360 nm, 370 nm, and 380 nm (Table S1).</u>-

255	The MACs of WS-BrC are comparable between the two seasons (Figure 2c & d), with the
256	average MAC <sub>365,WS-BrC</sub> being 1.00 ( $\pm$ 0.18) m <sup>2</sup> g <sup>-1</sup> in summer and 0.93 ( $\pm$ 0.25) m <sup>2</sup> g <sup>-1</sup> in winter
257	(Table 1). As summarized in Table 2, the MAC <sub>365,WS-BrC</sub> measured in this study, i.e., at a rural
258	site in the Guanzhong Basin of China, is comparable to or lower than the values observed in
259	Asian cities such Xi'an (Huang et al., 2018), Beijing (Cheng et al., 2011), Seoul (Kim et al.,
260	2016) and New Delhi (Kirillova et al., 2014b), but obviously higher than those in the regional
261	sites of North China Plain (Teich et al., 2017) and the background site of Tibetan Plateau (Xu et
262	al., 2020). However Moreover, significantly lower MAC <sub>365,WS-BrC</sub> values were observed in the
263	US, including Los Angeles Basin (Zhang et al., 2013), Southeastern US (Hecobian et al., 2010),
264	and Atlanta (Liu et al., 2013).
265	In winter, the average MAC <sub>365,WI-BrC</sub> (0.95 $\pm$ 0.32 m <sup>2</sup> g <sup>-1</sup> ) is comparable to MAC <sub>365,WS-BrC</sub>
266	(0.93±0.25 m <sup>2</sup> g <sup>-1</sup> ; Table 1). However, in summer the MAC <sub>365,WI-BrC</sub> is significantly much higher
267	than MAC <sub>365,WS-BrC</sub> ( $1.82\pm1.06$ vs. $1.00\pm0.18$ m <sup>2</sup> g <sup>-1</sup> ), indicating a relatively stronger light
268	absorption capability of hydrophobic chromophores than hydrophilic chromophores. Further, the
269	fact that the summertime $MAC_{365,WI-BrC}$ is nearly double the wintertime $MAC_{365,WI-BrC}$ suggests
270	that more light absorbing molecules are formed in the warm season.
271	Figure 2 compares the wavelength-dependent light absorptivity (i.e., $Abs_{\lambda}$ and $MAC_{\lambda}$ ) of
272	WS-BrC and WI-BrC between day and night in summer and winter. Higher $Abs_{\lambda,WS-BrC}$ and
273	Abs <sub><math>\lambda</math>,WI-BrC</sub> occurred during daytime in summer but during nighttime in winter. The MAC <sub><math>\lambda</math></sub> of

274 WS-BrC are overall similar between daytime and nighttime in both seasons. However, the  $MAC_{\lambda}$ 

275	of WI-BrC show a significant daytime increase in summer over the whole wavelength range of
276	300-700 nm (Figure 2c). The day-night change of BrC light absorptivity can be viewed more
277	obviously in Figure 1e and 1f, where the temporal variations of the $Abs_{365}$ and $MAC_{365}$ of WS-
278	BrC and WI-BrC during summer 2016 (Aug. 3-23) and winter 2017 (Jan. 20 -Feb. 1) are
279	presented. The highest day/night ratio of $MAC_{365,WIOC}$ reached 3.8 in summer and the average
280	daytime MAC <sub>365,WI-BrC</sub> in summer (2.45 $\pm$ 1.14 m <sup>2</sup> g <sup>-1</sup> ) is more than twice the value during
281	nighttime (1.18 $\pm$ 0.36 m <sup>2</sup> g <sup>-1</sup> ; Table 1). A possible reason for this observation is that there are
282	additional sources of WI-BrC during summer daytime in this rural region, such as secondary
283	formation of hydrophobic light absorbing compounds.
284	Figure 3 and 4 present the cross-correlations of Abs <sub>365,WS-BrC</sub> and Abs <sub>365,WI-BrC</sub> with major
285	chemical components (e.g., WSOC, WSIC, and sulfate) and molecular tracer species in summer
286	and winter, respectively. In winter, Abs365,WS-BrC correlates strongly with WSOC concentration
287	( $r^2=0.80$ ), so does Abs <sub>365,WI-BrC</sub> with WIOC ( $r^2=0.76$ ). However, their relationships in summer are
288	much weaker, especially for the correlation between $Abs_{365,WI-BrC}$ and WIOC ( $r^2=0.50$ ).
289	Considering that secondary OA (SOA) are mainly comprised of water-soluble compounds, such
290	as polyalcohols/polyacids and phenols (Kondo et al., 2007), the much higher WSOC/OC ratio in
291	summer (0.75 $\pm$ 0.07) compared to winter (0.50 $\pm$ 0.09) confirms more prevalent SOA formation in
292	summer associated with higher air-temperature and stronger solar radiation. Formation of
293	secondary organic chromophores may lead to a more complex composition of BrC in summer.
294	More evidences on secondary BrC formation are provided in the subsequent sections.
295	Numerous studies reported that biomass burning is a dominant source of BrC in the
296	atmosphere (Desyaterik et al., 2013; Washenfelder et al., 2015). In the current study,

levoglucosan – a key tracer for biomass burning emissions (Simoneit, 2002) –was determined.

As shown in Figure 3 and 4, levoglucosan correlates well with WSOC and WIOC in both

summer and winter ( $r^2=0.45-0.77$ ), suggesting that biomass burning is an important source of OA

300 in the rural region of Guanzhong Basin. For most of the periods in this study, the MAC<sub>365,WS-BrC</sub>

and MAC<sub>365,WI-BrC</sub> values are within the range of MAC of biomass burning aerosols (e.g.,

302 1.3–1.8 for corn stalk (Li et al., 2016a),  $\simeq$ 1.37 for rice straw (Park and Yu, 2016),  $\sim$ 1.9 for BB

303 smoke particles (Lin et al., 2017)). Also, Abs<sub>365,WI-BrC</sub> in both summer and winter correlate well

304 with levoglusocan ( $r^2=0.74$  and 0.62, respectively), demonstrating an important contribution of

305 biomass burning to WI-BrC despite the fact that levoglucosan itself is water soluble. The

relationships between the Abs<sub>365,WS-BrC</sub> and levoglucosan are much weaker ( $r^2=0.40$  and 0.45 in

307 summer and winter, respectively), suggesting more complex sources of WS-BrC in the region.

#### 308 **3.2 Molecular characterization of BrC aerosols**

309 Five categories of molecular tracer compounds, i.e., parent-polycyclic aromatic

310 hydrocarbons (parent-PAHs), oxygenated-PAHs (OPAHs), nitrophenols, isoprene-derived

311 products (SOA<sub>i</sub>), and  $\alpha$ -/ $\beta$ -pinene-derived products (SOA<sub>p</sub>), were determined by the GC-EIMS

312 technique to investigate the formation pathways of BrC in this study. Their average

313 concentrations as well as daytime and nighttime differences are summarized in Table 1, and the

temporal variation profiles of the sum concentrations of each category, together with

315 levoglucosan time series, are presented in Figure S3.

316 PAHs and their <u>derived oxidized products</u> are important BrC chromophores,

317 since the large conjugated polycyclic structures are strongly light-absorbing in the near-UV

318 range (Samburova et al., 2016;Huang et al., 2018). A total number of 14 parent PAHs and 5

319	OPAHs (Table <u>S1S2</u> ) were determined in this study. Parent-PAHs are unsubstituted PAHs
320	mainly emitted directly from incomplete combustions of coal, biofuel, gasoline or other materials
321	whereas OPAHs can be emitted directly from combustion sources or formed from photochemical
322	oxidation of the parent-PAHs. The time trends of parent-PAHs and OPAHs are highly similar in
323	both seasons ( $r^2 = 0.90$ and 0.98 in summer and winter, respectively, Figure 3 and 4), suggesting
324	that they have common combustion sources. In addition, both parent-PAHs and OPAHs
325	presented good correlations with levoglucosan, particularly in winter ( $r^2 = 0.69$ and 0.73,
326	respectively; Figure 4), indicating that that biomass burning is an important contributor to air-
327	ambient particulate PAHs in the region. PAHs, as well as levoglucosan, are elevated during
328	nighttime in winter, corresponding to enhanced biomass burning emissions from heating-related
329	activities as well as reduced boundary layer height at night. In contrast, the average daytime
330	concentrations of parent-PAHs (11.6 $\pm$ 5.7 ng m <sup>-3</sup> ) and levoglucosan (142 $\pm$ 89 ng m <sup>-3</sup> ) in summer
331	are about 1.95 and 2.58 times, respectively, of the values at night (Table 1). The daytime
332	enhancement of OPAHs concentrations in summer is even more pronounced with an average
333	day/night ratio of ~4.6 and as high as 9.8 for individual OPAH species (e.g., 6H-
334	henzo(cd)pyrene-6-one; Figure S4). Both parent-PAHs and OPAHs, which are hydrophobic thus
335	mainly exist as WIOC, demonstrate a good linear relationship with Abs365,WI-BrC in both winter
336	and summer ( $r^2 = 0.49-0.83$ , Figure 3 and 3). However, the good correlation between OPAHs and
337	Abs <sub>365,WI-BrC</sub> in summer appears to be mainly driven by daytime production, as the correlation
338	coefficient $(r^2)$ is 0.72 for the daytime data but is <0.1 for the nighttime data (Figure S5a). These
339	results suggest that photochemical formation of light-absorption compounds is an important
340	source of BrC during summer in the Guanzhong Basin.

341	We estimated the potential contribution of parent-PAHs and OPAHs to the light absorption
342	of WI-BrC using a method reported in Samburova et al. (2016). Details on the method are
343	presented in the Supplementary Information (SI). Table <u>S2-S3</u> summarizes the solar-spectrum-
344	weighed mass absorption coefficients for PAHs (MAC <sub>PAH,av</sub> ) used in the calculation. As shown
345	in Figure 5, the contribution of parent-PAHs to solar-spectrum-weighed absorption coefficient of
346	WI-BrC varies between 0.55% - 0.66% with slight diurnal or season variations (Table $S2S3$ ).
347	However, the contribution of OPAHs clearly shows higher daytime values, especially in
348	summer. The average contribution of OPAHs to the solar-spectrum-weighed absorption
349	coefficient of WI-BrC in summer is $0.51\pm0.28\%$ during daytime and $0.34\pm0.19\%$ during
350	nighttime. These results indicate that more secondary water-insoluble aromatic chromophores
351	were produced via photochemical oxidation during summertime in the rural region.
352	Nitrophenols were identified as one of the most important light-absorbing compounds in
353	particles and cloud water influenced by BB emission in China (Desyaterik et al., 2013). These
354	compounds can be either directly emitted from burning of biomass (Xie et al., 2019) or formed in
355	the atmosphere through gas phase and aqueous phase reactions of aromatic precursors including
356	benz[a]pyrene (Lu et al., 2011), naphthalene (Kitanovski et al., 2014), catechol and guaiacol
357	(Ofner et al., 2011), and toluene (Liu et al., 2015) in the presence of $NO_x$ . In this study, only a
358	few nitrophenol compounds were detected in PM (Table <u>S1S2</u> ) and their average ( $\pm 1\sigma$ )
359	concentration is 0.94 (±0.26) ng m <sup>-3</sup> in summer and 72.6 (±63.7) ng m <sup>-3</sup> in winter. The
360	wintertime concentrations of nitrophenols measured in the current study are comparable to those
361	detected in Shanghai (Li et al., 2016b), Mt. Tai in the Shandong province of China (Desyaterik et
362	al., 2013), and Ljubljana of Slovenia (Kitanovski et al., 2012), but the summertime

363	concentrations observed are more comparable to those detected in the Los Angeles Basin of the
364	U.S. (Zhang et al., 2013). The substantially lower concentration of nitrophenols in summer may
365	be related to rapid photodegradation in the atmosphere. Indeed, according to a laboratory study
366	conducted by Zhao et al. (2015) the timescale for photo-bleaching of nitrophenols can be an hour
367	or less. Furthermore, as shown in Figure S5b, during wintertime, when low temperature and
368	weak solar irradiation suppress photodegradation process, nitrophenols concentration anti-
369	correlates with $O_3$ mixing ratio in a nonlinear manner (r <sup>2</sup> =0.60). On average, <u>concentration of</u>
370	nitrophenols in winter present is 2.5 times higher concentration during nighttime than during
371	daytime whereas the nighttime concentrations of levoglucosan and PAHs are only slightly higher
372	than the daytime concentrations (by 11% and 33%, respectively; Table 1). Levoglucosan and
373	PAHs are less photochemically reactive than nitrophenols. These results confirm that
374	nitrophenols, and other photoreactive BrC compounds, may undergo significant atmospheric
375	degradation during summertime.
376	Both summertime and wintertime Abs <sub>365,WS-BrC</sub> correlate <u>d</u> well with the concentrations of
377	nitrophenols ( $r^2=0.51-0.72$ , Figure S5c & d), suggesting an important contribution of nitrated
378	aromatic compounds to light absorption of WS-BrC in the study area. Using the MAC of
379	individual nitrophenol reported in Zhang et al. (2013), we calculated that the contributions of
380	nitrophenols to aerosol light absorption are 6.5-27 times higher than their mass contributions to
381	WSOC and that the fractions are much higher in winter $(2.44 \pm 1.78\%)$ than in summer
382	(0.12±0.03%; Table S3). In addition, due to a significantly higher abundance of nitrophenols
383	during nighttime in winter, their fractional contribution to aerosol absorption is on average 2.5
384	times higher than during the day $(3.47\pm2.03\% \text{ vs. } 1.41\pm0.29\%)$ .

385	On a global scale, biogenic VOCs, mostly consisting of isoprene and monoterpenes, are
386	nearly an order of magnitude more abundant than anthropogenic VOCs (Guenther et al., 2006),
387	and their secondary products are estimated to be a predominant contributor to global SOA
388	burden (Heald et al., 2008). Recent studies (Lin et al., 2014;Nakayama et al., 2015;Nakayama et
389	al., 2012) showed that a large amount of biogenic SOA compounds are light absorptive. Some
390	tracers of SOA formed from isoprene (SOA <sub>i</sub> ) and $\alpha$ -/ $\beta$ -pinene (SOA <sub>p</sub> ) oxidation were measured
391	in the summertime samples (Table $\underline{S1S2}$ ), and their temporal variations are shown in Figure S3.
392	No biogenic SOA tracer species were detectable in the winter samples in this study. Similar
393	results were obtained in our previous study in the Mt. Hua of the Guanzhong Basin (Li, 2011).
394	These findings are consistent with low emissions of biogenic VOCs and low oxidation rates in
395	this region during cold seasons. The average concentrations of $SOA_i$ and $SOA_p$ tracers in
396	summer are 18.6 $\pm$ 9.7 and 22.0 $\pm$ 6.7 ng m <sup>-3</sup> , respectively. Neither SOA <sub>i</sub> tracers nor SOA <sub>p</sub> tracers
397	showed significant correlations with the absorption coefficient of WSOC or WSIC, suggesting a
398	low contribution of biogenic SOA to aerosol light absorption in the region. In addition, compared
399	to the MAC values observed in this study, the MACs of biogenic SOA reported in literature are
400	much lower, on average, by nearly an order of magnitude (Laskin et al., 2015), which further
401	support an insignificant contribution of biogenic sources to BrC in this region. This finding is
402	consistent with the fact that the Guanzhong Basin is a highly polluted region, where the major
403	emission sources of organic aerosols are anthropogenic.

## **3.3 Variation of BrC during extreme haze events in winter**

In recent years, <u>extreme\_extremely severe</u> haze events with very high PM<sub>2.5</sub> concentrations
 (up to 500-600 μg m<sup>-3</sup>) and low visibility (lower than 1 km) occurred frequently during

407 wintertime in China (Huang et al., 2014). In this study, a heavyan extreme haze event occurred 408 during Jan. 21-26 when PM<sub>2.5</sub> concentration at the rural site increased continuously from ~100  $\mu$ g m<sup>-3</sup> to 430  $\mu$ g m<sup>-3</sup> and visibility decreased from >10 km to ~1.4 km (Figure 1b & d). Similar 409 to most haze events occurred in Northeast China, this event was associated with stagnant 410 meteorological condition with low wind speed ( $<1 \text{ km s}^{-1}$ ), which promotes the accumulation of 411 pollutants. In addition, secondary inorganic aerosol species, e.g., SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup>, 412 413 increased sharply (Figure 1d), which indicates secondary aerosol formation was enhanced during the haze event despite the low solar irradiance and low  $O_3$  concertation (e.g.,  $2 \sim 40 \ \mu g \ m^{-3}$ ; 414 Figure 1c) conditions. Recent studies by Wang et al. (2016) and Cheng et al. (2016) reported 415 416 dramatic increases of secondary inorganic components, mainly sulfate, nitrate and ammonium (SNA), during haze periods in China and attributed the increases to enhanced aqueous reactions 417 418 under high relative humidity (RH) conditions with NO<sub>2</sub> being an important oxidant. Moreover, Huang et al. (2014) observed that SOA also increased obviously during haze periods in winter. 419 Indeed, as shown in Figure 4,  $SO_4^{2-}$  correlates well with RH ( $r^2=0.64$ ) and NO<sub>2</sub> ( $r^2=0.56$ ) in 420 421 winter. In addition, Abs<sub>365,WS-BrC</sub>, which increases continuously during the haze period with a peak value at 43.3 Mm<sup>-1</sup> (Figure 1e), correlates well with RH ( $r^2=0.65$ ), sulfate ( $r^2=0.84$ ) and 422  $NO_2$  (r<sup>2</sup>=0.70) (Figure 4). In contrast, Abs<sub>365,WI-BrC</sub> presents obvious diurnal variation during the 423 haze period, and the relationship correlations of RH ( $r^2=0.40$ ), sulfate ( $r^2=0.46$ ) and NO<sub>2</sub> 424  $(r^2=0.41)$  with Abs<sub>365,WI-BrC</sub> are also much weaker than those with Abs<sub>365,WS-BrC</sub>. These results 425 suggest that aqueous oxidation has played a role in the formation of WS-BrC (Laskin et al., 426 427 2015) during the haze period, although the stagnant meteorological condition with low wind 428 speed can also promote its accumulation. This finding is consistent with with-our previous

429 <u>studiesstudy conducted in Xi'an (Wu et al., 2020)</u>, which <u>also found a secondary formation of</u>

430 <u>BrC in winter by using stable carbon isotope composition analysis have shown that aqueous</u>

431 reactions can be an important pathway of BrC formation in the atmosphere (Laskin et al., 2015).

- In contrast, a slowly decreasing trend of MAC<sub>365,WIOC</sub> is was observed during the haze period,
- 433 suggesting that some of the water-insoluble BrC species were oxidized to form water-soluble

434 chromophores, possibly through aqueous-phase reactions.

It is worthwhile to mention that Jan. 27, 2017 was the Chinese New Year's Eve and a large

amount of fireworks were set off for celebration. During this night, the concentrations of  $PM_{2.5}$ ,

- 437 OC, EC, WSOC and WIOC as well as SNA were actually 25%-51% lower than their wintertime
- 438 <u>average concentrations due to the higher wind speed favoring for atmospheric dispersion (Figure</u>
- 439 <u>1). However,</u> –the MAC<sub>365,WS-BrC</sub> (1.81) increased to about 2 times of its average value in
- 440 winter, and Abs<sub>365,WS-BrC</sub> (20.5 Mm<sup>-1</sup>) also showed a slight increase. while OC, EC, WSOC
- 441 and WIOC as well as SNA were actually 25%-51% lower than their wintertime average
- 442 concentrations (Figure 1d). Meanwhile, metal ions, which are abundant in fireworks (Wu et al.,
- 443 2018; Jiang et al., 2015), such as  $K^+$ ,  $Mg^{2+}$ , and  $Ca^{2+}$ , increased substantially during the night as
- 444 well (Figure S6). These results indicate that the increase of MAC<sub>365,WSOC</sub> during the Chinese
- 445 New Year's Eve is likely mainly contributed by metal-containing light-absorbing compounds
- emitted from fireworks (Laskin et al., 2015;Tran et al., 2017).

#### 447 **3.4 Estimation of direct absorption of solar radiation by BrC**

- 448 Since the light absorption of BrC is mainly in the UV spectral region, an important concern
- 449 is that BrC can reduce the solar actinic flux and thus affect atmospheric photochemistry and
- 450 tropospheric ozone production (Jacobson, 1998;Mohr et al., 2013). In this study, the direct

absorptions of solar radiation by both WS-BrC and WI-BrC are were estimated by using Eq 7.

452 Figure S7 presents the incident solar irradiance and actinic flux spectra determined for the region

453 under midday summer (Aug. 10, 2016 13:00 pm Beijing (BJ) time) and winter (Jan. 25, 2017

454 13:00 pm BJ time) conditions. Note that the local time at Guanzhong is ~ 1 hour later than the BJ

455 time.

Table 3 presents a summary of the calculated direct solar absorptions of BrC. In summer,
the direct attenuation of actinic flux by WS-BrC and WI-BrC are estimated at

458  $1.55 \times 10^{14} \pm 0.43 \times 10^{14}$  and  $1.03 \times 10^{14} \pm 0.64 \times 10^{14}$  quanta s<sup>-1</sup> cm<sup>-2</sup>, respectively, in the UV range

459 (300-400 nm), which account for  $0.83\pm0.23\%$  and  $0.53\pm0.33\%$ , respectively, of the total down-

460 welling radiation. In winter, the direct absorptions by BrC are higher with WS-BrC and WI-BrC

461 on average accounting for  $1.67\pm0.72\%$  and  $2.07\pm1.24\%$ , respectively, of the total down-welling

462 radiation in the UV range. These results suggest that BrC may have a significant influence on

463 atmospheric photochemistry in the UV range. In the visible spectral region (400 - 700 nm), the

464 contributions of WS-BrC and WI-BrC to the total down-welling radiation are negligible –

465 0.10±0.03% and 0.07±0.05% in summer, and 0.15±0.06% and 0.15±0.08% in winter,

466 respectively.

467 Another concern of BrC is that they can absorb solar irradiance to influence tropospheric

468 temperature in a similar way as black carbon (BC) or elemental carbon (EC) (Feng et al.,

469 2013;Laskin et al., 2015). In our study, the direct absorption of solar irradiance by WS-BrC and

470 WI-BrC are estimated at  $0.51\pm0.14$  and  $0.34\pm0.21$  W m<sup>-2</sup> in summer, and  $0.57\pm0.25$  and

471 0.68±0.41 W m<sup>-2</sup> in winter in the UV range. To evaluate the contribution of BrC to total aerosol

472 absorption, we also estimated the direct absorption of EC based on the Carbon Analyzer data

473	according to the method described by Kirillova et al. (2014b) and Kirchstetter and Thatcher
474	(2012) (see SI). The estimated contributions of light absorption of BrC relative to EC are shown
475	in Table 3. In the visible region, the contribution is estimated at $10.0\pm3.52\%$ in summer and
476	4.99±1.23% in winter for WS-BrC, and 6.19±2.42% and 4.51±1.44%, respectively, for WI-BrC.
477	However, in the UV range, the fractions increase to 49.3±14.5% in summer and 25.9±5.47% in
478	winter for WS-BrC, 29.4±11.0% and 29.0±10.4% for WI-BrC, which are within the range of the
479	values reported in other regions in China (Huang et al., 2018), India (Kirillova et al., 2014b), and
480	Korea (Kirillova et al., 2014a). On the other hand, the direct light absorption of WI-BrC
481	represents a substantive contribution to that of total BrC in this study, which is about 40% in
482	summer and more than 50% in winter in both UV and visible range, emphasizing the important
483	role that WI-BrC likely plays in atmospheric chemistry and the Earth's climate system,
484	especially in China.
485	4. Summary and Conclusion
486	Both WS-BrC and WI-BrC showed elevated Abs in winter (4-7 times higher than those in
487	summer), corresponding to much higher concentrations of WSOC and WIOC due to a
488	combination of lower ABLPBL height and enhanced primary emissions (e.g., from residential
489	heating) in the cold season. No significant differences were found for the daytime and nighttime
490	MACs of WS-BrC in summer, or for the MACs of WS-BrC and WI-BrC in winter. However, the

491 average daytime  $MAC_{365,WI-BrC}$  was more than twice the nighttime value in summer. We found

- that the average daytime concentrations of both parent-PAHs and levoglucosan in summer were
- around 2 times of the values at night and the daytime OPAHs concentration was more than 4
- times of the nighttime value. Moreover, OPAHs and well correlated with Abs<sub>365,WI-BrC</sub> in summer

495	correlated well during daytime ( $r^2=0.72$ ) in summer but not during nighttime ( $r^2<0.1$ ). These
496	results demonstrated that photochemical formation of BrC and enhanced BB emissions (e.g.,
497	from cooking) contributed to the higher daytime MACs in summer. In winter, the Abs of WS-
498	BrC correlated strongly with relative humidity, sulfate, and NO <sub>2</sub> , suggesting that aqueous-phase
499	reactions played an important role in the formation of secondary BrC. Abs <sub>365,WS-BrC</sub> correlated
500	well with the concentrations of nitrophenols in both seasons, suggesting an important
501	contribution of nitrated aromatic compounds to light absorption of WS-BrC. However, this
502	contribution is much lower in summer due to faster photodegradation reactions of these
503	compounds. WS-BrC and WI-BrC were estimated to account for $0.83\pm0.23\%$ and $0.53\pm0.33\%$ ,
504	respectively, of the total down-welling solar radiation in the UV range in summer, and
505	$1.67\pm0.72\%$ and $2.07\pm1.24\%$ , respectively, in winter. The substantive contribution of WI-BrC to
506	total BrC absorption (~40% in summer and >50% in winter) emphasize the important role that
507	WI-BrC likely plays in atmospheric chemistry and the Earth's climate system.
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511 512 513 514 515	Author Contributions J.J. Li, Q. Zhang, G.H. Wang, K.F. Ho, and J.J. Cao designed the experiment. J.J. Li, G.H. Wang, and K.F. Ho arranged the sample collection. J. Li, L. Liu and C. Wu collected the samples. J.J. Li, J. Li, J.Y. Wang, W.Q. Jiang, and L.J. Li analyzed the samples. J.J. Li, Q. Zhang, and G.H. Wang performed the data interpretation. J.J. Li, Q. Zhang, and G.H. Wang wrote the paper.

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- 775

776 Table 1 Average  $(\pm 1\sigma)$  values Abs<sub>365</sub>, MAC<sub>365</sub>, and AAE of WS-BrC and WI-BrC, as well as concentrations of 777 OC, WSOC, WIOC, and measured organic species in the PM<sub>2.5</sub> aerosols from the rural site of Guanzhong Basin.

		Summer			Winter	
	Average	Daytime	Nighttime	Average	Daytime	Nighttime
Abs <sub>365,WS-BrC</sub> (Mm <sup>-1</sup> )	5.00±1.28	5.64±1.34	4.37±0.83	19.6±8.3	19.2±6.8	19.9±9.5
Abs <sub>365,WI-BrC</sub> ( $Mm^{-1}$ )	2.95±1.94	4.23±1.93	1.67±0.72	21.9±13.5	17.2±8.2	26.7±15.8
$MAC_{365,WS-BrC} (m^2 g^{-1})$	1.00±0.18	0.99±0.17	$1.01\pm0.18$	0.93±0.25	0.92±0.21	$0.94 \pm 0.28$
$MAC_{365,WI-BrC} (m^2 g^{-1})$	1.82±1.06	$2.45 \pm 1.14$	1.18±0.36	$0.95 \pm 0.32$	$0.85 \pm 0.34$	$1.05 \pm 0.28$
AAE <sub>WS-BrC</sub>	5.43±0.41	$5.56\pm0.4$	$5.30 \pm 0.38$	5.11±0.53	5.14±0.2	$5.07 \pm 0.72$
AAEwi-BrC	5.01±0.58	4.74±0.19	$5.28 \pm 0.71$	6.04±0.22	5.94±0.12	6.15±0.24
OC (µg m <sup>-3</sup> )	6.78±1.77	7.74±1.73	5.83±1.19	45.9±22.9	44.0±17.2	47.9±27.2
WSOC (µg m <sup>-3</sup> )	5.06±1.11	5.72±1.02	4.39±0.72	21.9±9.3	22.1±8.0	21.7±10.4
WIOC (µg m <sup>-3</sup> )	1.73±0.87	$2.02{\pm}1.04$	1.44±0.53	24.0±14.3	21.9±10.1	26.2±17.3
WSOC/OC	0.75±0.07	$0.75 \pm 0.09$	$0.76 \pm 0.04$	$0.50 \pm 0.09$	$0.51 \pm 0.08$	$0.48 \pm 0.10$
Parent-PAHs (ng m <sup>-3</sup> )	8.81±5.09	11.6±5.7	$5.98 \pm 1.9$	82.3±53.7	$70.8 \pm 35.4$	93.9±65.1
OPAHs (ng m <sup>-3</sup> )	14.0±14.0	23.0±15.1	4.97±1.34	98.3±59.5	89.4±39.8	107±73
Nitrophenols (ng m <sup>-3</sup> )	0.94±0.26	$0.87 \pm 0.26$	1.02±0.24	72.6±63.7	41.1±15.5	104±77
SOA <sub>i</sub> <sup>a</sup> (ng m <sup>-3</sup> )	18.6±9.7	15.0±8.0	22.1±9.8	BDL <sup>c</sup>	BDL	BDL
SOA <sub>p</sub> <sup>b</sup> (ng m <sup>-3</sup> )	22.0±6.7	25.2±6.7	18.9±5.0	BDL	BDL	BDL
Levoglucosan (ng m <sup>-3</sup> )	98.7±83.7	142±89	55.1±48.7	601±301	569±138	633±401

778 <sup>a</sup> SOA<sub>i</sub>: Tracers of SOA formed from isoprene (SOAi) oxidation, i.e., the sum of 2-methylglyceric acid, 2-methylthreitol, and 2-

779 methylerythritol.

780  $^{b}$  SOA<sub>i</sub>: Tracers of SOA formed from  $\alpha$ -/ $\beta$ -pinene (SOAp) oxidation, i.e., the sum of pinonic acid, pinic acid, and 3-methyl-1,2,3-

781 butanetricarboxylic acid.

782  $$^{\circ}\,BDL$:$  below detection limit (<0.17 ng m^-3) .

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783

Table 2 Comparison of MAC<sub>365,WS-BrC</sub> in the present study and those reported in earlier studies in China, India, and
 the United States (US).

Sampling site	Sampling time	Season	$MAC_{365,WS-BrC} (m^2 g^{-1})$	Reference
Lingun Chaonyi China	Aug. 3-23, 2016	Summer	1.00±0.18	This study.
Lincun, Snaanxi, China	Jan. 20-Feb 1, 2017	Winter	0.93±0.25	This study
Vi'on China	Jun. 1-Aug. 31, 2009	Summer	$0.98 \pm 0.21$	$\mathbf{H}_{\mathbf{u},\mathbf{o},\mathbf{n},\mathbf{c}} \text{ at al } (2018)$
Al an, China	Nov.15, 2008-Mar. 14, 2009	Winter	$1.65\pm0.36$	Huang et al. (2018)
Daiiing China	Jun. 20-Jul. 20, 2009	Summer	$1.8\pm0.2$	Change at al. $(2011)$
beijing, China	Jan.9-Feb. 12, 2009	Winter	$0.7\pm0.2$	Cheng et al. (2011)
XiangHe, Hebei, China	Jul. 9-14 and Jul. 21-Aug. 1, 2013	Summer_	$\underline{0.38\pm0.52^a}$	Teich at al. $(2017)$
Wangdu, Hebei, China	Jun. 4-24, 2014	Summer_	$\underline{0.55\pm0.15^a}$	Telch et al. (2017)
Mt. Waliguan, Qinghai, China	Jul. 1–31, 2017	Summer_	<u>0.48</u>	Xu et al. (2020)
Sacul Koran	Aug. 13-Sep. 9, 2013	Summer	0.28	Kim at al. $(2016)$
Scoul, Kolea	Jan. 9-Feb 8, 2013	Winter	1.02	Killi et al. (2010)
New Delhi, India	Oct. 24, 2010-Mar. 25, 2011	Winter	$1.6\pm0.5$	Kirillova et al. (2014b)
Los Angeles Basin, US	mid-May - mid-June, 2010	summerSummer	0.71	Zhang et al. (2013)
Southeastern US	2007	annually <u>Annually</u>	0.3–0.7	Hecobian et al. (2010)
Atlanta, US	May 17-Sep. 29, 2012	summer-Summer and fallFall	0.14-0.53	Liu et al. (2013)

<sup>a</sup> Data at XiangHe and Wangdu were the averaged MAC of WSOC at wavelength of 370 nm (i.e., MAC<sub>370,WS-BrC</sub>)

#### 

#### 792 Table 3 Average direct solar absorption of water-soluble and water-insoluble BrC during summer and winter

	V	VSOC	WIOC			
	Summer	Winter	Summer	Winter		
Actinic flux ( $\times 10^{14}$ c	quanta s <sup>-1</sup> cm <sup>-2</sup> )					
300-400 nm	1.55±0.43	2.14±0.92	1.03±0.64	2.53±1.52		
400-700 nm	1.77 <b>±</b> 0.6	2.67±1.04	1.24±0.8	2.58±1.48		
Irradiance (W m <sup>-2</sup> )						
300-400 nm	0.51±0.14	0.57±0.25	0.34±0.21	$0.68 \pm 0.41$		
400-700 nm	0.49±0.17	0.57±0.22	0.35±0.23	0.55±0.32		
Relative to EC (%)						
300-400 nm	49.4±14.5	25.9±5.47	29.4±11.0	29.0±10.4		
400-700 nm	$10.0 \pm 3.52$	4.99±1.23	6.19 <b>±</b> 2.42	4.51±1.44		

795	Figure Caption
796	Figure 1 Temporal variation of meteorological parameters (a and b), concentrations of major
797	chemical compositions, Abs <sub>365</sub> , MAC <sub>365</sub> , and AAE of water-soluble and water-insoluble
798	BrC in PM <sub>2.5</sub> from the rural area of Northwest China.
799	
800	Figure 2 Average spectra of absorption coefficient (Abs $_{\lambda}$ ) (a,b) and mass absorption coefficient
801	$(MAC_{\lambda})$ (c,d) of water-soluble (WS-BrC) and water-insoluble (WI-BrC) BrC, as well as the-
802	ratio of MAC <sub><math>\lambda</math>,WI-BrC</sub> to MAC <sub><math>\lambda</math>,WI-BrC</sub> (e,f) during daytime and nighttime of summer and
803	winter. Absorption Ångström exponent (AAE) is calculated by a linear regression of log
804	Abs <sub><math>\lambda</math></sub> versus log $\lambda$ in the wavelength range of 300–450 nm.
805	
806	Figure 3 Cross correlations between Abs <sub>365,WS-BrC</sub> , Abs <sub>365,WI-BrC</sub> , selected chemical compositions,
807	and RH in summer. The numbers at the upper right denote the linear correlation coefficients
808	$(r^2)$ of the corresponding scatter plots.
809	
810	Figure 4 Cross correlations between Abs <sub>365,WS-BrC</sub> , Abs <sub>365,WI-BrC</sub> , selected chemical compositions,
811	and RH in winter. The numbers at the upper right denote the linear correlation coefficients
812	$(r^2)$ of the corresponding scatter plots.
813	
814	Figure 5 Average contribution of parent-PAHs and OPAHs to the bulk light absorption of WI-
815	BrC (300–700 nm) during davtime and nighttime of summer and winter.
040	
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Figure 1 Temporal variation of meteorological parameters (a and b), concentrations of major chemical

822 compositions, Abs<sub>365</sub>, MAC<sub>365</sub>, and AAE of water-soluble and water-insoluble BrC in PM<sub>2.5</sub> from the rural
 823 area of Northwest China.



![](_page_40_Figure_0.jpeg)

Figure 2 Average spectra of absorption coefficient (Abs<sub> $\lambda$ </sub>) (a,b) and mass absorption coefficient (MAC<sub> $\lambda$ </sub>) (c,d) of water-soluble (WS-BrC) and water-insoluble (WI-BrC) BrC<del>, as well as the ratio of MAC<sub> $\lambda$ </sub>, wiere to MAC<sub> $\lambda$ </sub>, wiere to MAC<sub> $\lambda$ </sub>, wiere and nighttime of summer and winter. Absorption Ångström exponent (AAE) is calculated by a linear regression of log Abs<sub> $\lambda$ </sub> versus log  $\lambda$  in the wavelength range of 300–450 nm.</del>

													50 60	1
	$Mm^{-1}$		Abs <sub>365, WS-BrC</sub>	0. 67	0.52	0.35	0.10	0.45	0. 42	0. 59	0.22	0. 03	0.20	-8 - 6 - 4
_	Abs (N	8 - 6 - 4 - 2 -		Abs <sub>365, WI-BrC</sub>	0. 74	0. 50	0.06	0. 74	0. 49	0. 66	0.03	0.10	0.32	
		7 - 6 - 5 - 4 -	°°° ©©° ©©©	00 000 000 00 00 00 00 00	WSOC	0.34	0.14	0.77	0. 47	0.54	0.03	0.01	0.28	
		3.0 - 2.0 - 1.0 -	0 0 000 000 000 000 000	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	60000 0000 0000 0000 0000 0000 0000	WIOC	0.02	0. 45	0. 18	0.26	0.09	0. 07	0.06	
C,	g m Č)	30 - 20 - 10 -	୍ଦ୍ର ୦୦୦ ୦୦୦ ୦୦୦ ୦୦୦ ୦୦୦ ୦୦୦ ୦୦୦ ୦୦୦ ୦୦୦ ୦	00 00 000 00 00 00 0 0 00 0	00000 0000 00000 00000		Sulfate	0.01	0.04	0.01	0.02	0.05	0.06	
	ation (µ§	0.3 - 0.2 - 0.1 -	କୃଷ୍ଣି ଓ କୁଷ୍ଣି ଓ ଜଣ୍ଡ	00000000000000000000000000000000000000	°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	० ० ७ ७ ० ० २ ० ० २	Levoglucosa	an 0.43	0. 53	0.06	0.03	0.20	
	Concentr	0. 02 - 0. 01 -	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°	parent-PAHs	0.90	0.06	0.01	0.30	
		0.06 - 0.04 - 0.02 -	୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦ ୦	ە مە ھۇھە مە	0 0 00 0 00 0 00 0 000 0 000	0 0 00 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	° °°°°° ©°°°°	00000000000000000000000000000000000000	OPAHs	0.07	0.08	0.37	
	(	0.0015 0.0010	୍ର - ୦୦୦ କ୍ରିକ୍ତ ୦ କ୍ରେ	୍ଦ୍ଦିର କୁର୍ଦ୍ଧିତ ତ ବର୍ଷିତ ଦ	°°°°°° 8°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°	୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ୍ଚ	° ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° °	ං ං මේ රි දිනි	୦୦୦୦୦ ୦୦୦୦୦ ୦୦୫୦୦୦	၀ ၀ ၈ ၈ ၀ ၀ ၀ ၀ ၀ ၀ ၀ ၀ ၀ ၀ ၀ ၀ ၀ ၀ ၀ ၀	Nitropheno	1 0.001	0.09	
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2		Abs (Mm <sup>-1</sup> ) Concentration (µg m <sup>-3</sup> )									RH (%	ó)		

833 Figure 3 Cross-correlations between  $Abs_{365,WS-BrC}$ ,  $Abs_{365,WI-BrC}$ , selected chemical components, and RH in summer. The numbers at the upper right denote the linear correlation coefficients ( $r^2$ ) of the corresponding

![](_page_41_Figure_2.jpeg)

											20 40 60	
Mm <sup>-1</sup> )	Abs <sub>365, WS-Bro</sub>	0. 58	0. 80	0.82	0. 84	0. 40	0. 45	0.53	0. 39	0. 70	0. 65	$ \begin{array}{c}     40 \\     30 \\     20 \\     10 \end{array} $
Abs (I	$40 - \bigcirc^{\circ}_{\circ} \odot^{\circ}_{\circ} \circ \circ^{\circ}_{\circ} \bigcirc^{\circ}_{\circ} \bigcirc^{\circ}_{\circ} \circ \circ^{\circ}_{\circ} \circ \circ^{\circ}_{\circ}$	Abs <sub>365,WI-BrC</sub>	0. 65	0. 76	0.46	0. 62	0. 83	0. 80	0. 74	0. 41	0. 40	
	$\begin{array}{c} 40 \\ 30 \\ 20 \\ 10 \\ \end{array}$	00000 0000 0000 0000	WSOC	0. 76	0. 78	0.54	0.57	0. 63	0. 43	0. 64	0. 51	
	$\begin{array}{c} 60 \\ 40 \\ 20 \\ \end{array}$		୦୦ ୦୦ ୦୦ ୦୦ ୦୦	WIOC	0. 80	0. 62	0. 67	0. 71	0. 59	0.47	0. 53	
m <sup>-3</sup> )	$\begin{array}{c} 60 \\ 40 \\ 20 \\ \end{array} $	0 8000 8000 0	e construction of the cons		Sulfate	0. 31	0.36	0. 42	0.25	0.56	0. 64	
ation (µg	$1.5 - \infty$ $1.0 - \infty$ $0.5 - 660 $	ိ လိုလ်လိုမ် လိုလ်လိုမ် လိုလ်လိုမ်	୦୦ ୦୦ ୦୦ ୦୦ ୦୦ ୦୦ ୦୦ ୦୦	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	00000000000000000000000000000000000000	Levoglucos	an <b>0.69</b>	0. 73	0.71	0.18	0. 14	
oncentre	$\begin{array}{c} 0.2 - \circ \circ \\ 0.1 - \circ \circ \\ \infty & \infty \\ \infty & \infty \end{array}$		۰ ۰ ۵ ۵ ۵ ۵ ۹ ۹ ۹ ۹	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0000 0000 0000 0000		parent-PAHs	0. 98	0.71	0.27	0.26	
Ŭ	0.2 - ° ° 0.1 - ° °		00000 00000 00000 00000 00000			€ € € € € € € € €	€ B <sup>B</sup> B <sup>B</sup> C <sup>O</sup> S	OPAHs	0. 69	0.31	0. 30	
	0.2 - °°° 0.1 - °°°°°		0000 0000 0000 0000	00000 00000 00000	000000 000000 000000	00000000000000000000000000000000000000	00000000000000000000000000000000000000	Solo Color	Nitrophenol	0.25	0. 28	
	100 – © ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° °	0000 0000 0000 0000	000 00 00 00 00 00 00	000 00 00 00 00	0000 000 000 000 000 000	୦୦ ୦ ୦୦୦ ଉଦ୍ଦୁର ୦ଉଦି	୍ଦ୍ଦ ତ ତ୍ତ୍ତ୍ତ୍ତ୍ ତ୍ତ୍ତ୍ତ୍ତ୍ତ୍ ତ୍ତ୍ର୍	ه ه کې کې کې	® ® ® 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	$NO_2$	0. 52	
RH (%)	$\begin{array}{c} 60 - & \circ & \circ \\ 40 - & \circ & \circ & \circ \\ 20 - & \circ & \circ & \circ \\ & & & & & \\ \end{array}$		0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0							00 00 00 00 00 00 00 00 00 00 00 00 00	RH	
	<u>20 40 20 40 20 40 20 40 20 40 60 20 40 60 0.2 1.0 0.1 0.2 0.1 0.2 0.1 0.2 50 100</u>									-		
Abs $(Mm^{-1})$ Concentration $(\mu g m^{-3})$								RH (%	⁄₀)			

![](_page_42_Figure_1.jpeg)

838 Figure 4 Cross-correlations between  $Abs_{365,WS-BrC}$ ,  $Abs_{365,WI-BrC}$ , selected chemical components, and RH in 839 winter. The numbers at the upper right denote the linear correlation coefficients ( $r^2$ ) of the corresponding

scatter plots.

![](_page_43_Figure_0.jpeg)

843 Figure 5 Average contribution of parent-PAHs and OPAHs to the bulk light absorption of WI-BrC (300–700844 nm) during daytime and nighttime of summer and winter.

**Supporting information** 

## Optical properties, molecular compositions and secondary formation of Brown carbon (BrC) in a rural area of Guanzhong Basin, China

Jianjun Li<sup>1,2</sup>, Qi Zhang<sup>2,\*</sup>, Gehui Wang<sup>1,3,4,\*</sup>, Jin Li<sup>1</sup>, Can Wu<sup>1,3</sup>, Lang Liu<sup>1</sup>, Jiayuan

Wang<sup>1,2</sup>, Wenqing Jiang<sup>2</sup>, Lijuan Li<sup>1,2</sup>, Kin Fai Ho<sup>1,5</sup>, Junji Cao<sup>1</sup>

<sup>1</sup> Key Lab of Aerosol Chemistry & Physics, SKLLQG, Institute of Earth Environment, Chinese Academy of Sciences, Xi'an 710061, China

<sup>2</sup> Department of Environmental Toxicology, University of California, Davis, CA 95616, USA

<sup>3</sup> Key Laboratory of Geographic Information Science of the Ministry of Education, School of Geographic Sciences, East China Normal University, Shanghai 200241, China

<sup>4</sup> Institute of Eco-Chongming, 3663 N. Zhongshan Rd., Shanghai 200062, China

<sup>5</sup> The Jockey Club School of Public Health and Primary Care, The Chinese University of Hong Kong, Hong Kong, China

\*Corresponding authors: Prof. Qi Zhang Department of Environmental Toxicology, University of California, Davis One Shields Avenue, Davis, CA 95616 Phone: 1-530-752-5779 Fax: 1-530-752-3394 Email: dkwzhang@ucdavis.edu;

Prof. Gehui Wang School of Geographic Sciences, East China Normal University, Shanghai, China 500 Dongchuan Rd.,Shanghai 200241, China Phone: 86-21-5434-1193 E-mail: <u>ghwang@geo.ecnu.edu.cn</u>.

# Estimation of contribution of parent-PAHs and OPAHs to the light absorption of water-insoluble BrC

Average mass absorption coefficient of individual PAH (parent-PAH or OPAH) (MAC<sub>PAH,AV</sub>) in the wavelength range of 300-700 nm in this study is cited from Samburova et al. (Samburova et al., 2016) and Huang et al. (Huang et al., 2018), who use authentic standard to measure the absorption of each individual PAH, and then calculated the MAC<sub>PAH,AV</sub> by multiplying MAC<sub> $\lambda$ </sub> of individual PAHs with the power distribution of the solar spectrum and spectrally integrated (eq S1).

$$MAC_{PAH,AV} = \frac{\int_{300}^{700} MAC_{\lambda} \times I_0(\lambda) d_{\lambda}}{\int_{300}^{700} I_0(\lambda) d_{\lambda}}$$
(eq S1)

where  $I_0(\lambda)$  is the clear sky Air Mass 1 Global Horizontal solar irradiance (Levinson et al., 2010). Then the MAC<sub>PAH,AV</sub> were used to calculated the contribution of individual PAH to solar-spectrum-weighed absorption coefficient of WI-BrC.

#### Estimation of light absorption of elemental carbon

The light absorption of elemental carbon (EC) were estimated by the output data of thermal/optical carbon analyzer, which is similar to the determination of black carbon (BC) light absorption by Aethalometer(Ram and Sarin, 2009). At first, the optical-attenuation (ATN) at wavelength of 632 nm (wavelength used in carbon analyzer) caused by EC is governed by the Beer-Lambert's law, according to the eq S2.

$$ATN_{632,EC} = -\ln\left(\frac{I}{I_0}\right) \qquad (eq \ S2)$$

where  $I_0$  and I is the intensity of incident light and transmitted light through the filter substrate and aerosols.

Then  $b_{ap,632,EC}$  can be obtained after correcting the multiple scattering and shadowing effects following by eq S4:

$$b_{ap,632,EC} = \frac{ATN_{632,EC}}{C \times R(ATN)} \times \frac{A}{V}$$
 (eq S3)

where A is the effective filter area (414 cm<sup>2</sup>), V is the volume of air sampled (m3). C depends on absorbing material, mixing state of aerosols, and filter substrate, and a value of 2.14 was suggested for quartz filters (Weingartner et al., 2003;Bond and Bergstrom, 2006). R(ATN) is determined by eq S4:

$$R(ATN) = \left(\frac{1}{f} - 1\right) \times \frac{lnATN_{632,EC} - ln10\%}{ln50\% - ln10\%} + 1 \qquad (eq S4)$$

where f is set as 1.103 for wintertime and 1.114 for summertime(Sandradewi et al., 2008).

At last, light absorption coefficient of EC at wavelength of  $\lambda$  ( $b_{ap, \lambda, EC}$ ) can be calculated by eq S5:

$$b_{ap,\lambda,EC} = b_{ap,632,EC} \times \left(\frac{632}{\lambda}\right)^{AAE}$$
 (eq S5)

where AAE is the absorption Ångström exponent of EC. Previous study suggested that AAE of EC is in the range of 0.8 and 1.4(Lack et al., 2013), and a value of 1.0

were used in this study.

Then the contribution of BrC relative to EC ( $f_{BrC/EC}$ , %) can be calculated by eq S6:

$$f_{BrC/EC} = \frac{\int I_0(\lambda)(1 - e^{-b_{ap,\lambda,BrC} \times h_{ABL}})d_{\lambda}}{\int I_0(\lambda)(1 - e^{-b_{ap,\lambda,EC} \times h_{ABL}})d_{\lambda}} \times 100$$
 (eq S6)

	<u>340 nm</u>		<u>350 nm</u>		<u>3</u> 60	<u>360 nm</u>		<u>3</u> 7 <u>0 nm</u>		<u>380 nm</u>	
	Summer	Winter	Summer	Winter	Summer	Winter	Summer	Winter	Summer	Winter	
Absws-BrC (Mm <sup>-1</sup> )	<u>6.55±1.91</u>	<u>27.5±12.4</u>	<u>5.55±1.61</u>	<u>23.36±10.6</u>	<u>5.27±1.36</u>	<u>20.</u> 7 <u>±9.02</u>	<u>4.34±1.17</u>	<u>17.8±7.59</u>	<u>3.55±0.99</u>	<u>15.07±6.41</u>	
Abswi-Brc (Mm <sup>-1</sup> )	<u>4.22±2.67</u>	<u>33.6±20.5</u>	<u>3.61±2.3</u>	<u>2</u> 8.0 <u>±17.1</u>	<u>3.19±2.04</u>	<u>23.9±14.61</u>	<u>2.87±1.81</u>	<u>20.1±12.</u> 4	2.63±1.64	<u>17.5±10.</u> 8	
$MAC_{WS-BrC}(m^2 g^{-1})$	<u>1.29±0.22</u>	<u>1.29±0.34</u>	<u>1.10±0.19</u>	<u>1.09±0.29</u>	<u>1.05±0.18</u>	<u>0.97±0.26</u>	<u>0.87±0.15</u>	<u>0.85±0.23</u>	<u>0.71±0.13</u>	<u>0.71±0.2</u>	
$\underline{MAC_{WI-BrC}}(m^2 g^{-1})$	<u>2.6</u> 0 <u>±1.42</u>	<u>1.46±0.51</u>	<u>2.23±1.24</u>	<u>1.21±0.42</u>	<u>1.97±1.11</u>	<u>1.03±0.35</u>	<u>1.79±1</u> .00	<u>0.87±0.29</u>	<u>1.64±0.92</u>	<u>0.76±0.25</u>	

Table S1 Average  $(\pm 1\sigma)$  values Abs and MAC of WS-BrC and WI-BrC at the wavelength of 340 nm, 350 nm, 360 nm, 370 nm, and 380 nm in the PM<sub>2.5</sub> aerosols from the rural site of Guanzhong Basin.

	Summer			Winter			
	Average	Daytime	Nighttime	Average	Daytime	Nighttime	
(a) parent-PAHs							
phenanthrene	$0.68 \pm 0.33$	0.64±0.39	0.73±0.26	6.67±3.78	$5.64 \pm 2.02$	7.7±4.73	
anthracene	$0.05 \pm 0.01$	$0.05 \pm 0.01$	$0.05 \pm 0.02$	0.38±0.14	$0.38\pm0.14$	0.38±0.14	
fluoranthene	0.36±0.13	$0.36\pm0.12$	$0.37 \pm 0.14$	5.92±3.29	$5.68 \pm 2.78$	6.15±3.71	
pyrene	$0.34 \pm 0.12$	$0.36\pm0.14$	0.32±0.1	$5.84 \pm 3.4$	5.4±2.6	$6.28 \pm 4$	
benz(a)anthracene	$0.29 \pm 0.13$	0.33±0.13	$0.26 \pm 0.12$	5.39±4.18	$4.09 \pm 2.25$	6.69±5.15	
chrysene / triphenylene	$0.61 \pm 0.23$	0.63±0.23	$0.58\pm0.22$	9.55±6.35	$8.32 \pm 4.5$	$10.78 \pm 7.57$	
benzo(b)fluoranthene	$1.33 \pm 0.87$	$1.76{\pm}1.03$	0.9±0.31	13.88±9.11	$11.8\pm6.07$	15.96±10.97	
benzo(k)fluoranthene	$0.38 \pm 0.24$	$0.51 \pm 0.28$	$0.26 \pm 0.09$	3.51±2.24	$3.03{\pm}1.52$	$3.98 \pm 2.69$	
benzo(e)pyrene	$1.06 \pm 0.65$	$1.38\pm0.74$	0.74±0.31	8.43±5.56	7.23±3.77	9.63±6.7	
benzo(a)pyrene	$0.66 \pm 0.45$	$0.94 \pm 0.47$	0.39±0.17	5.21±3.7	4.23±2.28	6.19±4.5	
perylene	$0.13 \pm 0.09$	$0.19 \pm 0.09$	$0.07 \pm 0.03$	1.12±0.85	$0.84 \pm 0.45$	$1.39{\pm}1.04$	
indeno[123-cd]pyrene	1.41±1.23	2.24±1.27	$0.58\pm0.2$	7.72±5.55	$6.65 \pm 3.55$	8.79±6.83	
dibenz(a,h)anthracene	$0.25 \pm 0.17$	0.34±0.18	$0.16\pm0.09$	$1.77 \pm 1.38$	$1.49\pm0.84$	$2.05 \pm 1.71$	
benzo(ghi)perylene	$1.25 \pm 0.98$	1.91±1	0.58±0.23	6.95±4.87	6.06±3.24	$7.85 \pm 5.95$	
(b) OPAHs							
anthraquinone	$1.84 \pm 0.36$	$1.67\pm0.29$	2.01±0.34	34.33±14.3	32.3±10.16	36.35±17.25	
benzathrone	$1.89 \pm 2.11$	3.13±2.39	$0.64 \pm 0.22$	$14.9 \pm 9.84$	13.44±6.89	16.36±11.91	
benzo(a)anthracene-7,12-dione	0.61±0.35	$0.79 \pm 0.38$	$0.43 \pm 0.17$	$6.05 \pm 3.81$	5.15±2.49	6.95±4.61	
5,12-naphthacenequione	$0.32 \pm 0.24$	$0.48\pm0.26$	$0.17 \pm 0.06$	4.14±3.14	$3.09 \pm 1.78$	5.18±3.79	
6H-benzo(cd)pyrene-6-one	9.33±11.44	16.95±12.05	1.72±0.67	38.84±29.42	35.42±19.46	42.26±36.45	
(c) Nitrophenols							
4-nitrophenol	$0.52 \pm 0.16$	$0.45 \pm 0.12$	$0.59 \pm 0.17$	$15.2 \pm 10.17$	$11.08 \pm 4.26$	19.32±12.44	
3-methyl-4-nitrophenol	$BDL^*$	BDL	BDL	9.69±6.18	$6.54{\pm}1.77$	$12.84 \pm 7.31$	
4-nitrocatechol	$0.42 \pm 0.15$	0.42±0.16	$0.43\pm0.14$	37.79±38.93	18.67±9.87	56.92±46.92	
4-methyl-5-nitrocatechol	BDL	BDL	BDL	9.92±10.76	4.78±2.56	15.05±13.12	
(d)Isoprene-derived products							
2-methylglyceric acid	4.15±1.35	3.58±1.04	4.73±1.38	BDL	BDL	BDL	
2-methylthreitol	3.55±2.3	$2.48{\pm}1.65$	4.61±2.36	BDL	BDL	BDL	
2-methylerythritol	10.84±6.31	$8.9 \pm 5.65$	12.79±6.33	BDL	BDL	BDL	
(e) α-/β-Pinene derived products							
pinonic acid	$3.92 \pm 0.97$	3.35±0.68	$4.5 \pm 0.88$	BDL	BDL	BDL	
pinic acid	$3.85 \pm 0.96$	3.8±0.54	3.9±1.24	BDL	BDL	BDL	
3-methyl-1,2,3- butanetricarboxylic acid	14.27±6.38	18±6.16	10.53±3.94	BDL	BDL	BDL	

Table <u>S1–S2</u> Molecular concentrations of the measured parent-PAHs, OPAHs, nitrophenols, and isoprene and  $\alpha$ -/ $\beta$ -pinene derived products in the PM<sub>2.5</sub> samples in the rural site of Northwest China during summer and winter.

\*BDL: below detection limit.

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<b>t</b>		Contribution to WI-BrC light absorption (%)						
	$MAC_{PAH,av}$ $(m^2 q^{-1})$	Sun	nmer	Wi	nter			
	(mg)	Daytime	Nighttime	Daytime	Nighttime			
(a) parent-PAHs								
phenanthrene	0.0256 <sup>a</sup>	$0.004 \pm 0.004$	$0.005 \pm 0.003$	$0.003 \pm 0.001$	$0.003 \pm 0.001$			
anthracene	0.2801 <sup>a</sup>	$0.003 \pm 0.003$	$0.004 \pm 0.002$	$0.003 \pm 0.001$	$0.002 \pm 0.001$			
fluoranthene	0.2834 <sup>a</sup>	$0.019 \pm 0.018$	$0.026 \pm 0.016$	$0.037 \pm 0.014$	$0.026 \pm 0.004$			
pyrene	0.353 <sup>a</sup>	$0.021 \pm 0.018$	$0.028 \pm 0.016$	$0.044 \pm 0.016$	$0.032 \pm 0.006$			
benz(a)anthracene	0.2842 <sup>a</sup>	$0.014 \pm 0.01$	$0.018 \pm 0.01$	$0.025\pm0.01$	$0.025 \pm 0.01$			
chrysene / triphenylene	0.0883 <sup>a</sup>	$0.009 \pm 0.007$	$0.012 \pm 0.007$	$0.016 \pm 0.006$	$0.013 \pm 0.003$			
benzo(b)fluoranthene	0.3475 <sup>a</sup>	$0.064 \pm 0.045$	$0.076 \pm 0.042$	$0.092\pm0.031$	$0.078 \pm 0.018$			
benzo(k)fluoranthene	0.3475 <sup>b</sup>	$0.019 \pm 0.014$	0.022±0.013	$0.024\pm0.008$	$0.02 \pm 0.004$			
benzo(e)pyrene	0.7709 °	$0.109 \pm 0.072$	$0.135 \pm 0.073$	0.124±0.04	$0.104 \pm 0.024$			
benzo(a)pyrene	0.7709 <sup>a</sup>	$0.07 \pm 0.046$	$0.074 \pm 0.046$	$0.071 \pm 0.027$	$0.063 \pm 0.021$			
perylene	1.7942 <sup>a</sup>	$0.032 \pm 0.02$	$0.032 \pm 0.02$	0.033±0.014	$0.032 \pm 0.012$			
indeno[123-cd]pyrene	$1.0711 \ ^{d}$	$0.171 \pm 0.095$	$0.152 \pm 0.085$	$0.156 \pm 0.057$	0.122±0.045			
dibenz(a,h)anthracene	0.2842 <sup>a</sup>	$0.027 \pm 0.014$	$0.025 \pm 0.013$	$0.024\pm0.009$	$0.019 \pm 0.006$			
benzo(ghi)perylene	0.1821 <sup>a</sup>	$0.01 \pm 0.006$	$0.011 \pm 0.007$	$0.009 \pm 0.004$	$0.007 \pm 0.003$			
subtotal		$0.57 \pm 0.35$	$0.62 \pm 0.34$	0.66±0.23	0.55±0.15			
(b) OPAHs								
anthraquinone	0.1032 <sup>a</sup>	$0.037 \pm 0.03$	$0.051 \pm 0.025$	0.083±0.023	$0.064 \pm 0.017$			
benzathrone	0.4385 <sup>a</sup>	0.082±0.043	$0.068 \pm 0.04$	0.131±0.049	0.097±0.028			
benzo(a)anthracene-7,12-dione	0.3069 <sup>e</sup>	0.028±0.02	0.032±0.019	0.036±0.014	0.032±0.006			
5,12-naphthacenequione	0.3069 <sup>a</sup>	0.013±0.007	0.013±0.007	0.021±0.008	0.021±0.008			
6H-benzo(cd)pyrene-6-one	$0.4385^{\rm f}$	0.345±0.243	0.181±0.1	0.336±0.142	0.231±0.101			
subtotal		0.51±0.28	0.34±0.19	0.61±0.21	0.44±0.13			

Table  $\frac{S2-S3}{PAH,av}$  The solar-spectrum-weighed individual MAC<sub>PAH,av</sub>, and the contributions of individual parent-PAH and OPAH to WI-BrC light absorption.

<sup>a</sup> MAC<sub>PAH\_av</sub> values comes from Samburova et al. (2016) (Samburova et al., 2016); <sup>b</sup> use value from benzo(b)fluoranthene; <sup>c</sup> use value from benzo(a)pyrene; <sup>d</sup> use value from indeno[1,2,3-cd] fluoranthene; <sup>e</sup> use value from 5,12-naphthacenequione; <sup>f</sup> use value from benzathrone.

Table S3 The contribution (%) of detected individual nitrophenol to light absorption of water-soluble BrC at the wavelength of 365 nm.

		Summer		Winter					
	Average	Daytime	Nighttime	Average	Daytime	Nighttime			
4-nitrophenol	$0.06 \pm 0.02$	$0.04 \pm 0.01$	0.07±0.02	0.41±0.16	0.32±0.13	$0.49 \pm 0.14$			
3-methyl-4-nitrophenol	BDL <sup>a</sup>	BDL	BDL	0.28±0.13	$0.20\pm0.06$	0.36±0.13			
4-nitrocatechol	$0.06 \pm 0.02$	$0.05 \pm 0.01$	$0.07 \pm 0.02$	1.30±1.16	$0.66 \pm 0.25$	$1.93 \pm 1.35$			
4-methyl-5-nitrocatechol	BDL	BDL	BDL	0.46±0.43	0.23±0.10	$0.68\pm0.50$			
Total nitrophenols	0.12±0.03	$0.10\pm0.02$	$0.14 \pm 0.02$	$2.44{\pm}1.78$	1.41±0.29	3.47±2.03			

<sup>a</sup> BDL: below detection limit.

![](_page_50_Picture_0.jpeg)

Figure S1 Location of the sampling site (Map data copyright @2019 Google).

![](_page_50_Figure_2.jpeg)

Figure S2 Comparison of Absorption Ångström exponent (AAE) calculation for average absorption spectrums in summer and winter in the wavelength of 300-450 nm (a and b) and 300-550 nm (c and d).

![](_page_51_Figure_0.jpeg)

Figure S3 Temporal variation of subtotal concentrations of the measured parent-PAHs (a), OPAHs (b), nitrophenols (c), secondary products derived from isoprene (SOA<sub>isoprene</sub>) and  $\alpha$ -/ $\beta$ -pinene (SOA<sub> $\alpha$ -/ $\beta$ -pinene</sub>) (d), and levoglucosan (e) in PM<sub>2.5</sub>.

![](_page_52_Figure_0.jpeg)

Figure S4 Time series and box charts of the five detected individual oxygenated-PAHs during the sampling period. The number of " $\times$ n" in the figures means summer concentrations were lower than winter data by a factor of n.

![](_page_53_Figure_0.jpeg)

Figure S5 Relationships of OPAHs with Abs<sub>365,WI-BrC</sub> during daytime and nighttime in summer (a), nitrophenols with O<sub>3</sub> in winter (b), and nitrophenols with Abs<sub>365,WS-BrC</sub> during daytime and nighttime in summer (c) and winter (d).

![](_page_53_Figure_2.jpeg)

Figure S6 Temporal variation of water-soluble metal ions in PM2.5 from the rural area of

Guanzhong Basin. Shadow denotes Chinese New Year eve and Spring festival, during which a large amount of fireworks were set off for celebration.

![](_page_54_Figure_1.jpeg)

Figure S7 Total down-welling solar spectrum of actinic flux (a and b) and irradiance (c and d) at the sampling site at 20160810 13:00 and 20170125 13:00 (Beijing time) arrived. Data were provided by NCAR TUV Quick Calculator

(<u>http://cprm.acom.ucar.edu/Models/TUV/Interactive\_TUV/</u>), assuming no cloud effect. Ground elevation is 0.4 km a.s.l. (about 50 m above ground level).

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