

1 Intra-regional transport of black carbon between the south edge of 2 North China Plain and Central China during winter haze episodes

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17 **Abstract.** Black carbon (BC), from the incomplete combustion sources (mainly fossil fuel, biofuel and open biomass burning),
18 is chemically inertness and optical absorber in the atmosphere. It has significant impacts on global climate, regional air quality,
19 and human health. During the transportation, its physical-chemical characteristics and sources would change dramatically. To
20 investigate the BC properties (i.e., mass concentration, sources and optical properties) during the intra-regional transport
21 between the south edge of North China Plain (SE-NCP) and Central China (CC), simultaneous observations of BC at a
22 megacity (Wuhan, WH) in CC, three borderline cities (Xiangyang, XY, Suixian, SX and Hong'an, HA, distributing from the
23 west to east) between SE-NCP and CC and a city (Luohe, LH) in SE-NCP were conducted during the typical winter haze
24 episodes. Using Aethalometer, the highest equivalent BC (eBC) mass concentration and aerosol absorption coefficients (σ_{abs})
25 were found in LH at SE-NCP, followed by the borderline cities (XY, SX and HA) and WH. The levels, sources, optical
26 properties (i.e., σ_{abs} and absorption Ångström exponent, AAE) and geographic origins of eBC were different between clean
27 and pollution episodes. Compared to clean days, higher eBC levels (increased by 26.4–163%) and σ_{abs} (increased by
28 18.2–236%) were found during pollution episodes due to more combustion of fossil fuel (increased by 51.1–277%), supported

29 by the decreased AAE (by 7.40–12.7%). Conditional bivariate probability function (CBPF) and concentration-weighted
30 trajectory (CWT) results showed that the geographic origins of biomass burning (BC_{bb}) and fossil fuel (BC_{ff}) combustion
31 derived BC were different. Air parcels from south direction dominated for border sites during clean days, with contributions
32 of 46.0–58.2%, while trajectories from the northeast had higher contributions (37.5–51.2%) during pollution episodes. At the
33 SE-NCP site (LH), transboundary influences from south direction (CC) exhibited more frequent impact (with the air parcels
34 from this direction contributed 47.8% of all the parcels) on the ambient eBC levels during pollution episodes. At WH, eBC
35 was mainly from the northeast transport route during the whole observation period. Two transportation cases showed that from
36 upwind to downwind direction, the mass concentrations of eBC, BC_{ff} , and σ_{abs} all increased, while AAE decreased. This study
37 highlighted that intra-regional prevention and control for dominated sources at each specific site should be considered to
38 improve the regional air quality.

39 **1 Introduction**

40 Black carbon (BC), a distinct type of carbonaceous material, has attracted wide attention mainly due to its climate effect over
41 past decades (Hansen et al., 2000; Jacobson, 2000; Bond et al., 2013). BC can strongly absorb but reflect less light and the
42 direct radiative forcing is estimated to be $+0.88 \text{ W m}^{-2}$ (Bond et al., 2013). It is composed of small carbon spherules and has
43 large specific surface areas, which allows it to absorb aerosol, and provide substrate for atmospheric chemical reactions (Liu
44 et al., 2018a). BC also has adverse human health effect due to its absorption of carcinogenic pollutants (Jansen et al., 2005;
45 Cao et al., 2012). Additionally, recent studies showed that BC can strongly impact the ambient air quality. For instance, in
46 urban areas, BC can enhance haze pollution by modifying the planetary layer height, which was unfavorable to the vertical
47 dispersion of air pollutants (Ding et al., 2016). This “dome effect” is more substantial in rural areas under the same BC
48 conditions (Wang et al., 2018a). BC particle, coated with more materials can markedly amplify absorption and direct
49 radiative forcing, which would further worsen the air quality (Peng et al., 2016; Liu et al., 2017a; Zhang et al., 2018). As
50 the transportation keynotes, the properties of BC at rural and suburban sites needed to be emphasized, which were always
51 ignored in former field campaigns.

52 BC is formed only in combustion processes of carbon-based materials such as biomass and fossil fuels. The broadly reported
53 BC sources can be grouped into stationary sources (i.e., industrial emission), area sources such as residential coal/wood
54 combustion, open burning and mobile sources including diesel engines, etc. (Chow et al., 2011; Bond et al., 2013). To identify
55 BC sources, several methods including aethalometer model, diagnosis ratios and radioactive carbon isotope have been
56 developed (Sandradewi et al., 2008; Verma et al., 2010; Zotter et al., 2016). Chow et al., (2011) summarized the ratios of
57 element carbon to $PM_{2.5}$ (expressed as percentage, %) from various sources and these ratios have been used to qualitatively
58 describe the BC sources (Liu et al., 2018a). Radiocarbon method can give the quantified results of BC sources as the
59 abundances of $^{14}\text{C}/^{12}\text{C}$ in fossil fuel and modern carbon sources (i.e., biogenic sources) are different. Radiocarbon method
60 coupled with laevoglucose, a tracer of biomass burning has been adopted in BC source apportionment (Zhang et al., 2015a;

61 Liu et al., 2017b; Mouteva. et al., 2017; Salma et al., 2017). However, the technical limitations and the high cost for ^{14}C
62 measurement block the application of radiocarbon method in BC source apportionment. The aethalometer model is an
63 alternative method, which can attribute the BC to fossil fuel combustion and biomass burning. The source apportionment can
64 be conducted using multi-wavelength BC data (Sандрadewi et al., 2008; Liu et al., 2018a) and the validity was proved by ^{14}C
65 method (Zotter et al., 2016). Compared to other methods, aethalometer model can provide high-time resolution variation of
66 BC source contributions (Kalogridis et al., 2017; Liu et al., 2018a), which can help to understand the atmospheric behaviors
67 of BC, especial for the temporal variation.

68 Atmospheric lifetime of BC varied from a few days to weeks and therefore, BC undergoes regional and intercontinental
69 transport (Bond et al., 2013). During the transport, its mixing state, morphology and optical properties will change (China et
70 al., 2015). As a result, BC has been observed in remote areas such as the polar regions (Huang et al., 2010; Weller et al., 2013;
71 Qi et al., 2017; Xu et al., 2017) and Tibetan Plateau (Cong et al., 2013). Qi et al., (2017) found that Asian anthropogenic
72 activities and biomass burning emissions from Siberian contributed 35–45% and 46–64%, respectively to the sources of BC
73 in Arctic in April 2008 by GEOS-Chem modeling. Xu et al. (2017) also used the global transport model to conclude that the
74 anthropogenic emissions from eastern and southern Asia contributed most to the Arctic BC column loading with percentages
75 being 56% and 37% for spring and annual, respectively. To study the regional transport of BC, backward trajectory and
76 concentration-weighted trajectory (CWT) were also employed (Huang et al., 2010; Wang et al., 2017a). However, previous
77 studies mostly focused on the impact of BC transportation on its physical-chemical properties at a given site (e.g., a megacity
78 or a remote background site). A recent study indicated that in the south Ontario, higher BC loading in summer was partly from
79 the trans-boundary fossil fuel derived BC emissions in the US (Healy et al., 2017). To our knowledge, the interaction of BC
80 transportation among various sites for a specific region has been rarely reported, which may limit the understanding of
81 regional-joint control for air pollution.

82 After continuous efforts, especially in the last five years, the spatial distribution pattern of air pollution has changed obviously
83 in China, and the positive result is that the average annual $\text{PM}_{2.5}$ concentration in Pearl River Delta (PRD) has achieved the
84 national secondary standard level (<http://www.zhb.gov.cn/hjzl/zghjzkgb/lzghjzkgb/>). Now the key regions suffering from
85 severe $\text{PM}_{2.5}$ pollution are North China Plain (NCP), Yangtze River Delta (YRD), Sichuan Basin (SB), Fen-Wei River Basin
86 and Central China (CC). From Lin et al. (2018), it could be found that the air pollution areas at the south edge of North China
87 Plain (SE-NCP) and Central China were combined together and there existed obvious transportation routes between SE-NCP
88 and CC. The spatial distribution of aerosol optical depth (AOD) across China also verified that high values existed in Central
89 China (Tao et al., 2017). As an important chemical composition of $\text{PM}_{2.5}$, BC account for 7.1–25.3% of $\text{PM}_{2.5}$ mass (Huang
90 et al., 2014). A lot of observations of ambient BC have been conducted (Table S1–S2) which are mainly for NCP (Zhao et al.,
91 2013; Ji et al., 2018; Liu et al., 2018a; Wang et al., 2017a), YRD (Zhuang et al., 2014, 2015, 2017), PRD (Cheng et al., 2008;
92 Wu et al., 2009; Wang et al., 2017b) and Tibetan Plateau (TP) (Zhu et al., 2017; Niu et al., 2018; Wang et al., 2018b). No
93 studies have concerned the BC transportation and interaction between these key regions. BC emission inventory suggested
94 that there were differences in source categories between NCP and CC (Wang et al., 2014a; Qiu et al., 2016), especially for the

95 residential coal combustion (Qin and Xie, 2012). It should be emphasized that during the winter period, there were central-
96 heating activities in NCP, while no heating activities existed in Central China. It implied that the sources of BC should be
97 different. Therefore, the special geographic locations and terrain of Central China (Fig. 1) provide an ideal opportunity to
98 understand the BC levels, optical properties, sources and its variation during intra-regional transportation between the two
99 polluted regions. However, corresponding researches have not been reported.

100 Therefore, the aims of this study were to (1) study the differences of BC levels, sources, and optical properties under different
101 air quality at this region; (2) quantify the regional transportation of BC at multiple observation sites in CC and SE-NCP. To
102 study BC sources, the diagnosis ratios and aethalometer model were used. The backward trajectory-based methods were
103 employed to quantify the potential regional transport contribution. This paper firstly reported the sources of BC in Central
104 China and gave the direct evidence of BC properties variation during the regional transportation between two key regions of
105 China, which is helpful to develop effective countermeasures for mitigating regional air pollution.

106 **2 Methodology**

107 **2.1 Observation plan**

108 For selecting the sites, we referred to the trajectory of air masses reached to Wuhan in January 2017 (Figure S1) and found
109 that the north and northwest direction dominated. For the north direction, the air masses originated from the SE-NCP and
110 Luohe is just on the north routes and close to the heavy polluted region as Figure 1 shown. Central China is not an isolated
111 region. From Figure 1, there were two obvious connection channels for PM_{2.5} between SE-NCP and CC, which was decided
112 by the mountains crossing the two regions. Therefore, to investigate the regional transport of air pollutants and also answer
113 whether the pollutants in CC can be transported to SE-NCP in winter, five sites including WH, three borderline cities
114 (Xiangyang, XY; Suixian, SX and Hong'an, HA, distributing from the west to east) between NCP and CC and a city (Luohe,
115 LH) in SE-NCP were selected. The observation site at Wuhan locates on a rooftop of Hubei Environmental Monitoring Centre,
116 which is an urban site with no industrial emission sources. LH and XY sites are located in suburban areas. HA and SX sites
117 belong to rural areas. The observation instruments were placed near the local environmental monitoring stations. Six routine-
118 monitored air pollutants including PM_{2.5}, PM₁₀, NO₂, SO₂, CO and O₃ were available. Black carbon measurement instruments
119 including Magee Scientific-AE31, AE33 and AE51 were deployed (Table 1). The observation periods started from 8th January
120 after a regional snowfall event and ended at 25th January 2018 before another snowfall event coming. The observation duration
121 at the five sites are summarized in Table 1.

122 **2.2 Instrument description**

123 AE31 continuously collects ambient BC on a quartz tape and measures light singles on sampled spot (I) and reference spot
124 (I_0) and the light attenuation (ATN) is defined as:

125 $ATN = -100 \ln\left(\frac{I}{I_0}\right)$ (1)

126 It assumes a linear relation between BC mass loading and the delta of ATN as a result of BC deposited on the tape. BC mass
127 concentration is calculated as following:

128 $BC = \frac{d(ATN)}{MAC} \times \frac{A}{V}$ (2)

129 where MAC is the mass specific attenuation cross section ($\text{m}^2 \text{g}^{-1}$); A is the area of sampled spot (1.67 cm^2); V is the volume
130 of the sampled air passing through the tape. The disadvantage of AE31 is the filter loading effect, which needs further
131 correction to compensate (Petit et al., 2015). The BC absorption coefficient (b_{abs} , Mm^{-1}) is calculated as:

132 $b_{abs} = \frac{BC \times MAC}{C \times R(ATN)}$ (3)

133 where C is the calibration factor (2.14 for quartz material tape); $R(ATN)$ is a correction factor for shadowing effect and it is
134 empirically determined using the compensation parameter f (Weingartner et al., 2003):

135 $R(ATN) = \left(\frac{1}{f} - 1\right) \frac{\ln(ATN) - \ln(10)}{\ln(50) - \ln(10)} + 1$ (4)

136 To overcome the shortage of loading effect, AE33 (dual spot) was developed. It also simultaneously measures the ATN at
137 seven wavelengths. Different to AE31, AE33 measures BC on two parallel spots on the fibre tape (Teflon-coated) with different
138 flow rate:

139 $BC_1 = BC \times (1 - k \cdot ATN_1)$ (5)

140 $BC_2 = BC \times (1 - k \cdot ATN_2)$ (6)

141 The loading compensation k is calculated according equation (5) and (6) and BC mass concentration is further calculated as
142 following:

143 $BC = \frac{A[d(ATN)/100]}{F_1(1-\varphi)MAC \cdot C(1-k \cdot ATN_1)dt}$ (7)

144 For AE33, the area of sampled spot (A) is 0.785 cm^2 and enhancement parameter (C) is 1.57 for Teflon-coated fibre. The
145 absorption coefficient (σ_{abs} , Mm^{-1}) by AE33 is estimated as multiplying BC mass concentration by MAC. More details about
146 BC concentration calculation, parameters (i.e., f and MAC for different wavelengths) and the differences between AE31 and
147 AE33 can be found in previous study (Rajesh and Ramachandran, 2018). AE51 measures the absorbance (ATN) of the loaded
148 spot (3 mm diameter) and the reference portion of a Teflon-coated borosilicate glass fiber using a stabilized 880 nm LED light
149 source. The flow rate of AE51 was set as 100 mL min^{-1} and more information about AE51 can be found online
150 (<https://aethlabs.com/microaeth/ae51/tech-specs>).

151 2.3 Data processing

152 2.3.1 BC source apportionment

153 BC absorbs the solar spectrum efficiently with a weak dependence on wavelength and the absorption Ångström exponent
154 (AAE) is used to describe this spectral dependence of absorption (Zhu et al., 2017). The AAE value varies significantly from

155 one source to another, i.e., the AAE values for fossil fuel combustion and biomass burning derived BC are 1.0 and 2.0,
 156 respectively (Sandradewi et al., 2008). BC source apportionment method was established based on the AAE (Sandradewi et
 157 al., 2008) and was verified by ^{14}C method (Zotter et al., 2016).

158 Black carbon source apportionment using aethalometer model is based on the assumption that the aerosol absorption coefficient
 159 is different from fossil fuel combustion derived BC (BC_{ff}) and biomass burning derived BC (BC_{bb}). Because the absorption
 160 coefficients at different wavelengths are different and the absorption of BC_{ff} and BC_{bb} follow different spectral dependencies.
 161 The Ångström exponents: α_{ff} and α_{bb} are used to describe the dependencies of fossil fuel and biomass burning, respectively.
 162 The following equations are used (Sandradewi et al., 2008):

$$163 \frac{b_{abs}(470nm)_{ff}}{b_{abs}(950nm)_{ff}} = \left(\frac{470}{950}\right)^{-\alpha_{ff}} \quad (8)$$

$$164 \frac{b_{abs}(470nm)_{bb}}{b_{abs}(950nm)_{bb}} = \left(\frac{470}{950}\right)^{-\alpha_{bb}} \quad (9)$$

$$165 b_{abs}(470nm) = b_{abs}(470nm)_{ff} + b_{abs}(470nm)_{bb} \quad (10)$$

$$166 b_{abs}(950nm) = b_{abs}(950nm)_{ff} + b_{abs}(950nm)_{bb} \quad (11)$$

$$167 BB(\%) = \frac{b_{abs}(950nm)_{bb}}{b_{abs}(950nm)} \quad (12)$$

168 where $b_{abs}(470\text{ nm})$ and $b_{abs}(950\text{ nm})$ are BC absorption coefficients at 470 and 950 nm wavelengths, respectively. Due to the
 169 single channel ($\lambda=880\text{ nm}$) of AE51, BC source apportionment results were not available at SX and XY.

170 2.3.2 Assessment of surface transport

171 Generally, the north wind dominated in winter in CC and air pollutants can be transported from upwind direction (north) to
 172 downwind direction (south). In order to evaluate the effects of regional transport, the surface transport under specific wind
 173 direction and speed per unit time was calculated according to previous study (Wang et al., 2018b):

$$174 f = \frac{1}{n} \sum_{i=1}^n C_i \times WS_i \times \cos\theta_i \quad (13)$$

175 where f stands for the surface flux intensity of BC ($\mu\text{g s}^{-1} \text{m}^{-2}$); n is the sum of observation hours; WS_i and C_i stand for the
 176 hourly average of wind speeds (m s^{-1}) and BC mass concentrations ($\mu\text{g m}^{-3}$) in the i th observation duration, respectively; θ_i
 177 represents the angle differences between hourly wind direction and the defined transport directions (i.e., northwest-southeast
 178 for HA, SX and WH and north-south direction for LH and XY).

179 2.4 Potential geographic origins

180 The concentration-weighted trajectory (CWT) is always used to assess the regional transport of air pollutants (Kong et al.,
 181 2018; Zheng et al., 2018). This method is based on backward trajectory analysis. Prior to CWT analyses, the backward
 182 trajectory calculating was firstly conducted in each sampling site. The input wind datasets for HYSPLIT are downloaded from
 183 the Nation Oceanic Atmospheric Administration (NOAA) (<ftp://arlftp.arlhq.noaa.gov/pub/archives/gdas1/>). For backward
 184 trajectory analysis, the air masses reaching at each observation site during the sampling period were calculated for 24 times

185 with 1-hour resolution each day (starting from 0:00 to 23:00) at 200 m AGL (Fig. S2). These trajectories were then clustered
186 according to their geographic origins (Fig. 1). For CWT analysis, a user-friendly tool Zefir written in Igor was used (Petit et
187 al., 2017a). The domain covered by trajectories was divided into thousands of cells with $0.2^\circ \times 0.2^\circ$. More description about
188 CWT can be found in text S1.

189 2.5 Auxiliary dataset

190 Hourly meteorological dataset including sea level pressure, temperature, relative humidity, wind speed, wind direction and
191 visibility were acquired from the China Meteorological Data Service Centre (CMDSC) (<http://data.cma.cn>, last accessed:
192 2018/1/26). The every 3-hour boundary layer height (BLH) was acquired from the NOAA's READY Archived Meteorology
193 online calculating program (<http://ready.arl.noaa.gov/READYamet.php>, last accessed: 2018/4/8). Figure S3 shows the hourly
194 averaged meteorological parameters at the five sites. Meteorological conditions at the five sites followed similar variation
195 trends. However, significant differences ($p < 0.01$) of these parameters were found (Table S3). For instance, the average
196 pressure, temperature and relative humidity at WH were significant higher ($p < 0.01$) than those at LH. For BLH, the mean
197 values of the five sites showed insignificant differences.

198 Six air pollutants (PM_{10} , $PM_{2.5}$, SO_2 , NO_2 , CO and O_3) were available and the data were downloaded from the China
199 Environmental Monitoring Centre (<http://www.cnemc.cn>, last accessed: 2018/4/10). Figure S4 shows their hourly variations
200 during the observation period. The major air pollutant was $PM_{2.5}$ during the entire observation campaign. According to the
201 Ambient Air Quality Standards (GB3095-2012), the air quality can be classified into clean, light polluted and heavily polluted
202 when $PM_{2.5}$ mass concentrations are less than 75, between 75–250 and greater than 250 $\mu g m^{-3}$, respectively. Similar air quality
203 classification was also reported elsewhere (Zheng et al., 2015; Zhang et al., 2018). Detailed information about the daily air
204 quality of each site is shown in Fig. S5.

205 3 Results and discussion

206 3.1 General characteristics

207 Time series and box plots of the eBC concentrations (measured at 880 nm) at the five sites are shown in Fig. 2. The highest
208 eBC concentration was observed at LH ($8.48 \pm 4.83 \mu g m^{-3}$), followed by XY ($7.35 \pm 3.45 \mu g m^{-3}$), HA ($5.54 \pm 2.59 \mu g m^{-3}$),
209 SX ($4.47 \pm 2.90 \mu g m^{-3}$), and WH ($3.91 \pm 1.86 \mu g m^{-3}$). As Table S1 shown, BC was generally higher in North China and
210 lower BC levels were found in remote areas and coastal areas as Fig. 3a shows. Wang et al, (2014b) analyzed ambient BC in
211 an urban site in Xi'an during winter and found the average mass concentration was $8.8 \pm 3.7 \mu g m^{-3}$, which was higher than
212 that in this study. Compared to other regions, BC levels in this study were higher than a remote area of Lulang in southeastern
213 part of the Tibetan Plateau ($0.31 \pm 0.55 \mu g m^{-3}$) (Wang et al., 2018) as well as coastal areas such as Hong Kong ($1.4 \pm 1.1 \mu g$
214 m^{-3}) (Wang et al., 2017a) and a rural site in Shenzhen ($2.6 \pm 1.0 \mu g m^{-3}$) (Huang et al., 2012). From BC emission inventory,

215 North and Central China hold higher BC emission intensity (Qin and Xie, 2012; Yang et al., 2017). Emission amounts in Hubei
216 and Henan provinces were about 0.6–1.0 g C m⁻² yr⁻¹, which were higher than other regions (Yang et al., 2017). Simulation
217 results also suggested that the near-surface concentrations of BC (6–8 μg m⁻³) in Hubei and Henan were higher than those in
218 south China (4–6 μg m⁻³) during winter (Yang et al., 2017). Compared to the data in other countries (Table S1), BC levels in
219 this study were higher than those in Finland (Hyvärinen et al., 2011), France (Petit et al., 2017b), Ontario (Healy et al., 2017),
220 and south Africa (Chiloane et al., 2017).

221 For the aerosol absorption properties measured at seven wavelengths by aethalometer, the characteristics (i.e., temporal
222 variation) are generally consistent with each other and the corresponding properties for wavelength at 520 nm is mostly
223 discussed (Zhuang et al., 2015, 2017; Wang et al., 2017b). Then, we only discussed the absorption properties at λ = 520 nm.
224 Figure 4a show the frequency distribution of absorption coefficients (σ_{abs}) at three sites. σ_{abs} measured at HA, LH, and WH
225 exhibited a single peak pattern. The average values of σ_{abs} measured at HA, LH and WH were 86.0, 132 and 60.6 Mm⁻¹,
226 respectively. Similar to the spatial distribution of BC level, higher σ_{abs} was found in North and Central China, while lower
227 values observed in coastal areas and Tibetan Plateau (Fig. 3b and Table S2).

228 Figure 4b also shows the average absorption spectra measured at seven wavelengths for different sites. The power law fit was
229 used to calculate the AAE (Zhu et al., 2017). The highest average AAE value was found at LH (1.37), followed by HA (1.32)
230 and WH (1.29). The results indicated that the AAE was different at urban, suburban and rural sites. Generally, the AAE from
231 coal combustion (2.11–3.18) (Sun et al., 2017) and biomass burning (1.85–2.0) (Petit et al., 2017b) were higher than that from
232 traffic sources (0.8–1.1) (Sandradewi et al., 2008; Olson et al., 2015). Therefore, AAE at different sites suggested the different
233 energy consumption structure and more coal or biomass were burned in North China (i.e., LH in this study).

234 3.2 Clean days vs pollution episodes

235 Figure. 5 shows the eBC concentrations under different air quality. It clearly shows that the eBC concentrations increased as
236 the deterioration of air quality. At LH, the average eBC concentrations were 3.39 ± 2.06 μg m⁻³, 8.31 ± 4.55 μg m⁻³ and 13.0
237 ± 4.59 μg m⁻³, respectively when the air quality was clean, light polluted and heavy polluted. The average values of eBC
238 increased by 163%, 139%, 96.2%, 51.8% and 26.4% at SX, XY, LH, HA and WH, respectively from clean to pollution. The
239 eBC enhancement along with the air quality deterioration was also reported elsewhere (Wang et al., 2014b, c; Liu et al., 2016,
240 2018a). At LH and HA, the enhancement of eBC level from clean to pollution period was due to both the elevated BC emissions
241 from biomass burning (BC_{bb}) and fossil fuel combustion (BC_{ff}) (Fig. 5b and 5c). The BC_{ff} accounted for a higher contribution
242 to eBC and the percentages of BC_{bb} to eBC decreased during the haze episodes (Fig. 4d). At WH, both the concentration and
243 percentage of BC_{bb} decreased from clean to pollution, which suggested that more BC_{ff} was emitted during haze episodes. This
244 finding was different with previous study conducted in Beijing that the absolute concentration and percentage of biomass
245 burning and coal combustion were higher than traffic source to eBC and increased from clean to pollution episodes (Liu et al.,
246 2018a). The differences suggested that the control of fossil fuel combustion (vehicle emissions) instead of coal or biomass

247 burning should be taken priority during the haze episodes at WH. While it should give priority to biomass and coal combustion
248 control in North China to prevent air pollution.

249 Additionally, the aerosol optical properties (σ_{abs} and AAE) also exhibited different levels under different air quality. Similar to
250 eBC levels, the σ_{abs} elevated by 11.7–254% as the air quality switched from clean to pollution (Fig. 5e). Our observation (Fig.
251 S6) and previous study found that there are more secondary aerosols (i.e., sulfate, nitrate) during the pollution episodes (Huang
252 et al., 2014). The increased secondary aerosols would be more adsorbed on BC particle and therefore, the BC absorption
253 enhanced via the lens effects of these coated materials (Jacobson, 2000; Moffet and Prather, 2009). On the contrary, the AAE
254 showed higher values during clean days when compared to pollution episodes (Fig. 5f). The AAE decreasing from clean to
255 polluted days was also reported elsewhere (Zhang et al., 2015b) and it can be partly attributed to the source variation. The
256 AAE for biomass burning is about 2.0 while the AAE for fossil fuel combustion is about 1.0 (Sandradewi et al., 2008). Higher
257 AAE values during clean days suggested that more BC may be from biomass burning and lower AAE indicated the dominance
258 of fossil fuel combustion during the pollution period (Fig. 5c). The AAE is also sensitive to other factors such as the particle
259 size. Previous studies suggested that the particle diameter and number concentration increased from clean to pollution episodes
260 due to several factors such as coagulation, hygroscopic growth, emissions, meteorological conditions, i.e., planetary boundary
261 layer and wind speed (Guo et al., 2014; Zhang et al., 2017). These studies suggested that the particle diameter is generally
262 larger during pollution days. Furthermore, the lab combustion and numeric simulation proved that BC particle with larger
263 geometric median diameter had lower AAE value (Singh et al., 2016; Liu et al., 2018b). Therefore, lower AAE was observed
264 during pollution episodes in this study.

265 Figure 6 and Fig. S7 shows the diurnal variations of eBC and absorption coefficients under different air quality. The diurnal
266 cycles of black carbon and absorption showed similar variation patterns. The BC mass concentrations were discussed here. At
267 HA, LH and SX, after sunrise, an increasing and a peak value at about 09:00 local time (LT) were observed. This variation
268 was more obvious during pollution days due to the higher eBC levels. The morning peak may be related with the combined
269 effects of increased biomass burning and fossil fuel combustion emissions (Fig. S7). Additionally, the low mixing layer height
270 in the morning also favored the accumulation of eBC. After sunrise, with the elevation of BLH, the eBC levels decreased and
271 the minimum occurred at about 15:00 (LT). In the evening hours, eBC showed increasing trend and peaked at about 21:00
272 (LT). Similar diurnal patterns of eBC were also reported in other areas (Verma et al., 2010; Ji et al., 2017; Liu et al., 2018a).
273 However, the diurnal variations of eBC at WH and XY exhibited different patterns during clean or pollution episodes. The
274 diurnal pattern of eBC at WH was not likely controlled by the development of mixing layer height, which may lead to the
275 maximum and minimum values of air pollutants generally occurring at sunrise and afternoon, respectively. The unexpected
276 lower value in the morning (about 09:00 LT) and higher value in the afternoon (15:00 LT) at WH needed further research.

277 3.3 Ratios of BC/PM_{2.5} and BC/CO

278 The BC/PM_{2.5} and BC/CO ratios are widely used to identify the BC sources (Zhang et al., 2009; Wang et al., 2011; Verma et
279 al., 2010; Chow et al., 2011). Generally, the ratios of BC/PM_{2.5} from mobile sources (0.059-0.74) and area sources (0.032-0.33)

280 were higher than that from industrial sources (0.0046-0.03). For instance, the mobile sources hold the highest ratios of
281 BC/PM_{2.5} (0.33–0.77) and the cement kiln showed lower ratio (0.03) (Chow et al., 2011). For the BC/CO ratios (μg m⁻³/ppbv),
282 it also varied for different sources, i.e., traffic (0.0052), industry (0.0072), power plant (0.0177), and residential (0.0371)
283 (Zhang et al., 2009). In this study, the BC, PM_{2.5} and CO were well correlated with each other (Fig. S8). The correlation
284 coefficients (*r*²) between BC and PM_{2.5} were 0.67, 0.30, 0.44, 0.37 and 0.48 at LH, HA, WH, SX and XY, respectively.
285 Significant correlations (*p* < 0.05) between BC and CO were found with *r*² ranging from 0.27 (XY) to 0.71 (LH). The good
286 correlations indicated that the BC, PM_{2.5} and CO may be from similar sources (except HA with low *r* value as 0.06).
287 Overall, BC in this study was not likely from industrial emissions (Fig. 7a), as the BC/PM_{2.5} ratios (μg m⁻³/μg m⁻³)
288 (0.045–0.083) were higher than those from industry (0.0046–0.03) (Chow et al., 2011). Instead, BC/PM_{2.5} ratios at the five
289 sites were all within the range of oil combustion (0.03–0.136). Additionally, the BC/PM_{2.5} ratios at LH and SX were in line
290 with the ratio from residential wood combustion. From BC/CO ratios, BC was more likely from biomass burning (crop residue:
291 0.0056–0.016) at HA and LH, while it was mainly from gasoline combustion in SX, WH, and XY (Fig. 7b). Quantified
292 calculation results using equations in section 2.3.1 also suggested that the fractions of BC from biomass burning at HA (27.6
293 ± 9.40%) and LH (29.5 ± 9.14%) were significant higher (*p* < 0.01) than that at WH (25.4 ± 11.8%). Compared to other urban
294 areas, the ratios of BC/CO (μg m⁻³/ppbv) at SX (0.004), and WH (0.0044) were lower than those in Beijing (0.0058) (Han et
295 al., 2009), Guangzhou (0.0054) (Verma et al., 2010), Gwanjun (0.006) (Park et al, 2005) and Tokyo (0.0057) (Kondo et al.,
296 2006) as well as Mt. Huang (0.0065) (Pan et al., 2011), while ratios at HA (0.0091) and LH (0.0076) were higher than the
297 values in these studies.

298 3.4 BC under different wind direction and speed

299 Conditional bivariate probability function (CBPF) plot was used to identify and quantify the impact of likely source regions
300 of air pollutants as defined by wind direction and speed (Carslaw and Ropkins, 2012). Fig. S9 shows the eBC levels under
301 different wind speed and directions at the five sites. As shown in Fig. 1, SX and HA are located in the northwest direction of
302 WH and high eBC levels were found in the northwest directions of SX, HA and WH when north wind dominated. On the
303 contrary, when the south wind dominated, BC was blowing from south to the north direction and high levels were found in the
304 south direction at WH and HA. However, at LH and XY, higher levels of BC were only found from south direction. In addition
305 to eBC levels, the BC_{bb} and BC_{ff} under different wind speed and directions were also discussed at HA, LH and WH (Fig. 8).
306 At HA, the CBPF plot of BC_{ff} was in line with eBC and high levels were from both northwest and south directions while the
307 high level of BC_{bb} (> 1.8 μg m⁻³) was only found in southeast direction. Similar result was also found at WH. High level of
308 BC_{bb} was due to more biomass burning in the southeast direction of HA and WH (Fig. S10). At LH, the CBPF plots of BC_{bb}
309 and BC_{ff} were the same with the eBC as discussed above.

310 In order to describe the BC transportation from upwind to downwind directions, we used Eq. (13) in section 2.3.3 to calculate
311 the surface transport (ST) of eBC (Fig. 9). The calculated average ST values of BC were -0.69 ± 10.2, -0.06 ± 12.0, -0.17 ±
312 5.33, 0.29 ± 6.14 and 0.99 ± 17.8 μg s⁻¹ m⁻², respectively for HA, LH, SX, WH and XY. The negative values at HA, LH and

313 SX suggested that the transportation intensity of BC from south (southeast) to north (northwest) direction was higher, while
314 the positive values observed at WH and XY indicated that more BC was transported from north direction to south direction.
315 The large standard deviation of SAT reflected strong fluctuations in transport, which was due to wind speed, directions and
316 BC levels (Wang et al., 2018b).

317 **3.5 Potential geographic origins**

318 Employing CWT method, the potential geographic origins of eBC for the five sites were explored (Fig. S11). Overall, CWT
319 results of eBC at the five sites suggested that high eBC levels were found both in the north and south directions of LH and
320 WH, while the high levels (i.e., $> 4 \mu\text{g m}^{-3}$) of eBC were only found from northeast directions of HA, SX and XY (Fig. S11).
321 Additionally, the potential geographic source regions of BC_{bb} and BC_{ff} at HA, LH and WH were also discussed as shown in
322 Fig. 10. At HA, the CWT results showed that high levels of eBC (i.e., $> 3 \mu\text{g m}^{-3}$) were from north/northeast direction. However,
323 the hot spots of BC_{bb} and BC_{ff} were different, with higher levels of BC_{bb} from both south and north directions and higher levels
324 of BC_{ff} from the north direction. Also, higher levels of BC_{bb} and BC_{ff} were found in the south of LH. Opposite to the CWT
325 results at HA, the hot spots of BC_{bb} was only found in the southeast direction of WH and high levels of BC_{ff} were found in the
326 north and south directions of WH. The CWT results at WH were in line with the CBPF plots in section 3.4. The unity of CWT
327 and CBPF results at WH suggested that there were intensive biomass burning activities in the south direction of WH during
328 the observation period, which was verified by the MODIS fire-points distribution (Fig. S10).

329 We also discussed the source region differences of BC under different air quality (Fig. 11). The higher levels ($> 1 \mu\text{g m}^{-3}$) of
330 eBC, BC_{bb} and BC_{ff} were mainly from the south direction of three sites when the air was clean, while during the pollution
331 episodes, air parcels from the north direction contributed high concentrations. For instance, at WH, high levels of eBC (> 2.5
332 $\mu\text{g m}^{-3}$) were found from south direction, while the source regions with high level eBC ($> 3 \mu\text{g m}^{-3}$) switched to northeast
333 direction when the air quality was worsened. Figure 12 shows the semiquantitative results of transportation contribution results
334 during clean and pollution episodes. At the boundary sites (HA, SX and XY), BC was mainly from south direction (accounting
335 for 46.0–58.2%) when the air quality was clean, and it was mainly from northeast/northwest directions (51.2–76.5%) when
336 the air quality getting worse. At SE-NCP site (LH), BC was dominantly from south direction (47.8%) during pollution episodes.
337 At CC site (WH), BC was mainly from northeast direction (49.3–71.1%). These results suggested that northwest and northeast
338 directions were the main transport pathways of air pollutants reaching to WH during the pollution episodes. Furthermore, to
339 control local emissions during haze episodes, the emission sources, i.e., industry plant and open biomass burning in the upwind
340 direction should also be controlled to prevent the further deterioration of air quality in downwind areas.

341 **3.6 Case studies for BC properties variation during transportation**

342 To explore the BC variations (i.e., mass concentration, sources and AAE) during the transportation, we chose two cases. LH
343 and HA were selected as the study sites due to the same instrument deployment (AE33) and they are representative of SE-NCP
344 and CC. BC transportation from HA to LH and from LH to HA were both considered. Figure 13a show the hourly backward

345 trajectories reaching at HA on 2018-1-12 and the trajectory at 13:00 (GMT) (black line) was found passing through LH and
346 the travelling time was about 28 h. Therefore, the eBC mass concentration (including BC_{ff} and BC_{bb}), σ_{abs} and AAE at the
347 upwind site LH on 8:00 2018-1-11 (GMT) and downwind site HA on 13:00, 2018-1-13 (GMT) were compared (Fig. 13b). In
348 case 1, during the air transport from LH to HA, eBC, BC_{ff} and BC_{bb} significantly increased ($p < 0.01$). The BC absorption
349 enhancement from $25.6 \pm 0.81 \text{ Mm}^{-1}$ (LH) to $61.8 \pm 12.5 \text{ Mm}^{-1}$ (HA) was also observed, while the AAE significantly decreased
350 from 1.49 ± 0.02 to 1.42 ± 0.02 ($p < 0.01$). Similarly, in case 2, the air masses reaching at LH on 7:00, 2018-1-13 (GMT) were
351 also passing through HA (black line) about 31 h ago (Figure 13c). The eBC, BC_{ff} and σ_{abs} increased from upwind (HA) to
352 downwind (LH), while BC_{bb} and AAE decreased from $2.37 \pm 0.23 \mu\text{g m}^{-3}$ and 1.43 ± 0.02 to $2.14 \pm 0.14 \mu\text{g m}^{-3}$ and $1.32 \pm$
353 0.01 , respectively (Fig. 13d). The eBC mass concentrations enhanced during the transportation regardless of the transport
354 direction was from CC to NCP or from NCP to CC. Atmospheric removal of BC occurs in a few days to weeks via wet and
355 dry depositions or contact with surfaces (Bond et al., 2013). In these two cases, there were no precipitation events and the
356 transport time was short (i.e., 28 and 31h), which suggested the less removal rates. Therefore, the new emission inputs along
357 the trajectory enhanced the eBC mass concentration during the transport. However, slight differences were found for BC_{bb}
358 transport: BC_{bb} increased from north direction (LH: $1.28 \pm 0.06 \mu\text{g m}^{-3}$) to south direction (HA: $2.57 \pm 0.47 \mu\text{g m}^{-3}$), while
359 BC_{bb} decreased from HA ($2.37 \pm 0.23 \mu\text{g m}^{-3}$) to LH ($2.14 \pm 0.14 \mu\text{g m}^{-3}$). The difference suggested that there were more
360 intensive biomass burning emissions in Henan than Hubei province, which was also verified by the BC emission inventory
361 (Qin and Xie, 2012; Qiu et al., 2016).

362 Previous study found that the BC coagulation with non-refractory materials becomes more significant when the aging timescale
363 was greater than 10 h (Riemer et al., 2004). Chamber studies and field observations also found that the BC absorption
364 enhancement under polluted urban ambient air (Peng et al., 2016, Zhang et al., 2018, Wang et al., 2018c), suggesting the role
365 of aging in modifying BC optical properties. In these two cases, the travelling time (aging time) from LH to HA and from HA
366 to LH was 28 h and 31 h, respectively, which suggested that the BC particle should be coagulated through complex atmospheric
367 processes. Therefore, the σ_{abs} was found increased from upwind to downwind site. On the contrary, the AAE values were found
368 decreased during the transport. The AAE is sensitive to the particle size. A lab combustion experiment showed that the particles
369 with smaller diameter from fresh biomass burning have lower AAE value than larger particles (Singh et al., 2016). Simulation
370 also confirmed that the AAE of BC particle decreased with the increasing of its geometric median diameter (Liu et al., 2018b).
371 Therefore, the diameter of BC particle increased during the transportation due to the aging processes supported by the increased
372 absorb coefficients and decreased AAE as discussed above.

373 4 Summary

374 In order to understand the levels, optical properties, sources, regional transportation and aging of BC in Central China and
375 south edge of North China Plain during winter haze episodes, simultaneous observations at rural sites (HA and SX), suburban

376 (LH and XY) and megacity (WH) were conducted during January 2018. Using the diagnosis ratios, aethalometer model,
377 backward trajectory and concentration-weighted trajectory (CWT) methods, conclusions were drawn as following:
378 (1) Generally, the highest ambient eBC was found in northern sites ($8.48 \pm 4.83 \mu\text{g m}^{-3}$ and $7.35 \pm 3.45 \mu\text{g m}^{-3}$ at LH and XY),
379 followed by the transport route sites ($5.54 \pm 2.59 \mu\text{g m}^{-3}$ and $4.47 \pm 2.90 \mu\text{g m}^{-3}$ for HA and SX), and southern site (3.91
380 $\pm 1.86 \mu\text{g m}^{-3}$ for WH).
381 (2) Levels, sources, optical properties, and diurnal variation of eBC were different under different air quality. eBC
382 concentrations and absorption coefficients (σ_{abs}) increased by 26.4–163% and 11.7–254%, respectively, from clean to pollution
383 episodes. The increasing may be due to more fossil fuel combustion emissions during pollution episodes, supported by lower
384 Ångström exponent (AAE) and higher BC_{ff} concentrations.
385 (3) $\text{BC}/\text{PM}_{2.5}$ and BC/CO ratios suggested that BC was mainly from oil combustion and residential wood or biomass
386 combustion in this region.
387 (4) Conditional bivariate probability function results of BC_{bb} and BC_{ff} showed different dominate source regions of BC_{bb}
388 (mainly from southeast direction) and BC_{ff} (from both northwest and southeast) of WH and HA. However, BC_{bb} and BC_{ff} were
389 mainly from south direction of LH.
390 (5) At the boundary sites (HA, SX and XY), eBC was dominantly from south direction (accounting for 46.0–58.2%) when the
391 air was clean, and it was mainly from northeast/northwest directions (51.2–76.5%) during pollution episodes. At the SE-NCP
392 site, air masses from south direction accounted for 47.8% of ambient BC level when the air was polluted. At the CC site, air
393 parcels from northeast contributed 49.3–71.1% to the BC loading during the entire observation period.
394 (6) During the air transportation from upwind to downwind direction, BC mass concentration and absorption coefficients
395 increased, while the AAE decreased. This study firstly revealed the differences of levels, optical properties and sources of BC
396 at five sites in south edge of North China Plain and Central China during winter haze episodes and discussed the interaction
397 of BC between two key polluted regions. It was expected to be a demonstration for corresponding researches on regional
398 interaction of BC transportation during winter haze episodes for other regions.

399
400 *Data availability.* Data is available on request to kongshaofei@cug.edu.cn.
401

402 *Author contributions:* HZ, SF K, TL Z, and SH Q designed the study; HZ and SF K wrote the paper; YY Y, DT L, DL Z, TL
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405
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Table 1 Information of the observation sites, periods and instruments

Sampling site	Location	Site type	Sampling period	Instrument	Data resolution
Hong'an (HA)	114.58° E, 31.24° N	Rural	1/8 13:00~1/25 9:00, 2018	AE33	1-minute
Luohe (LH)	114.05° E, 33.57° N	Suburban	1/9 18:00~1/25 9:00, 2018	AE33	1-minute
Suixian (SX)	113.28° E, 31.88° N	Rural	1/10 09:00~1/25 8:00, 2018	AE51	1-minute
Wuhan (WH)	114.39° E, 30.53° N	Urban	1/8 15:00~1/25 8:00, 2018	AE31	5-minute
Xiangyang (XY)	112.17° E, 32.02° N	Suburban	1/10 09:00~1/25 8:00, 2018	AE51	1-minute

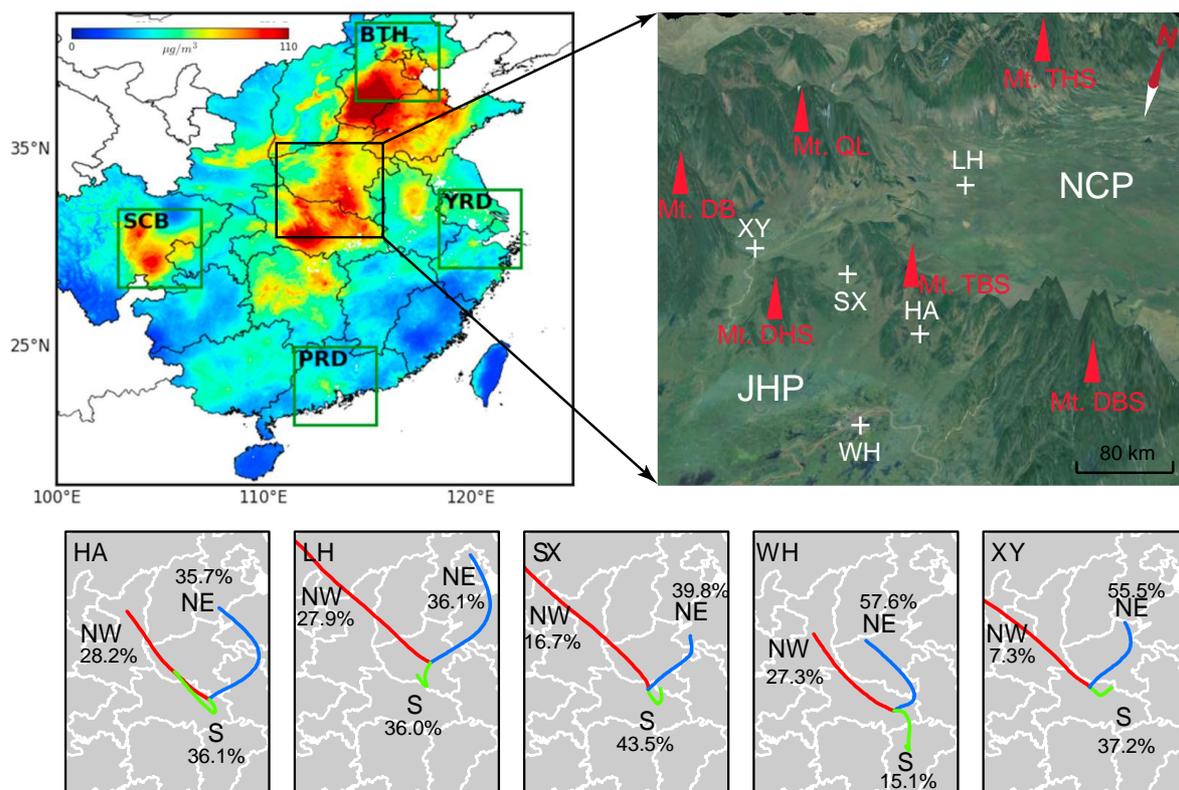


Figure 1 Location, terrain of the study area and clusters of backward trajectories reaching at each observation site. Up left is the spatial distribution of the 15 years average $PM_{2.5}$ concentrations at a resolution of 1 km (Lin et al., 2018). Right up shows that the study area is surrounded by mountains and Mt. DBS and Mt. TBS blocks the North China Plain (NCP) and Jiangnan Plian (JHP). Bottom shows that air masses reaching at the five sites were mainly from north directions (northwest and northeast) during the observation period.

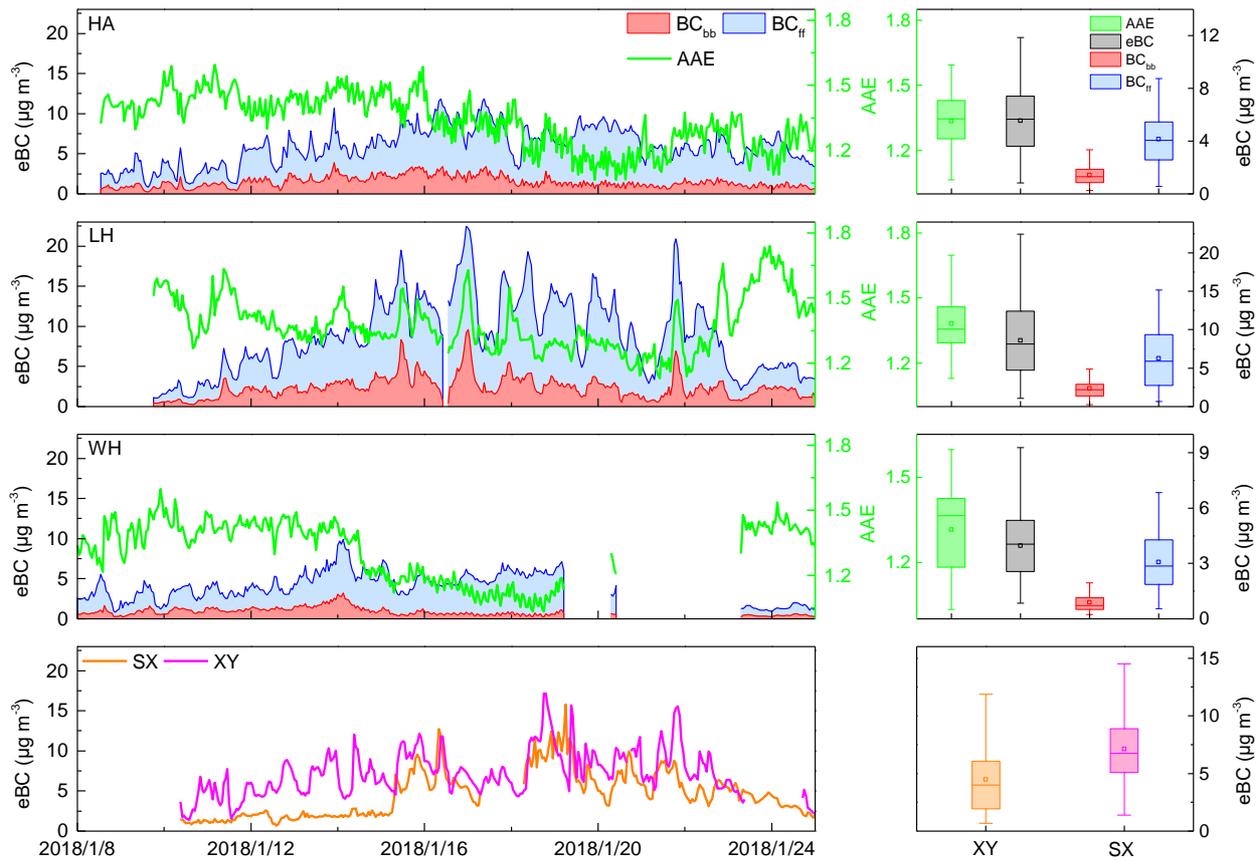


Figure 2 Time series and box plots of eBC, BC_{bb} , BC_{ff} , and absorption Ångström exponent (AAE) at the five sites during the observation period.

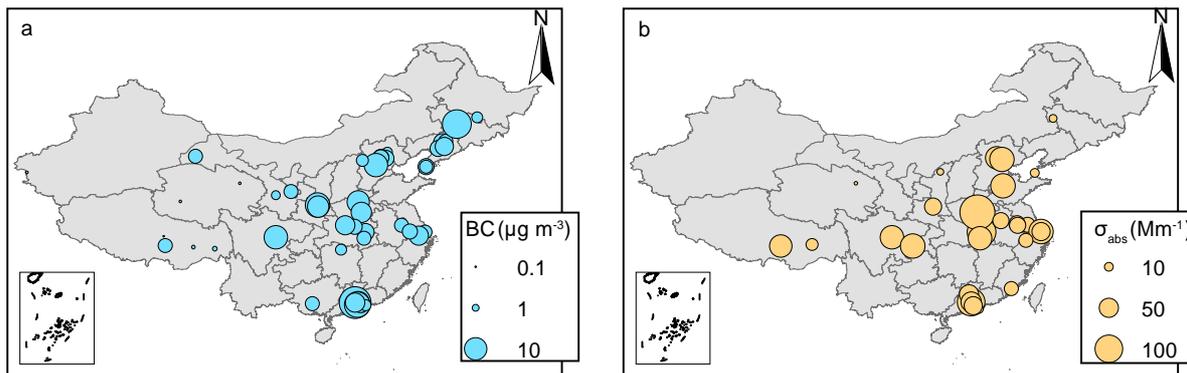


Figure 3 Spatial distribution of BC mass concentration (a) and absorption coefficients (b) in China. More details can be found in Table S1 and S2 in the supplementary materials.

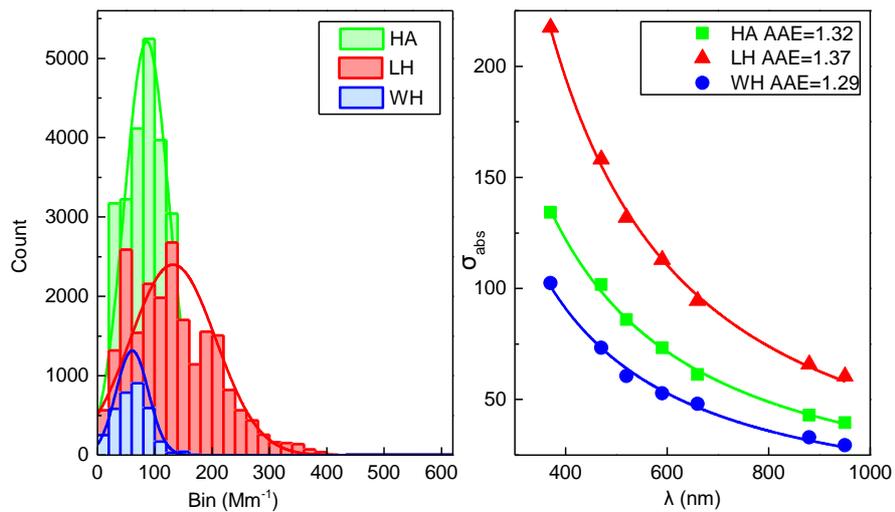


Figure 4 Frequency distribution of absorption coefficients (σ_{abs}) at 520 nm wavelength (left panel) and power fit of σ_{abs} at seven wavelengths (right panel) for HA, LH, and WH.

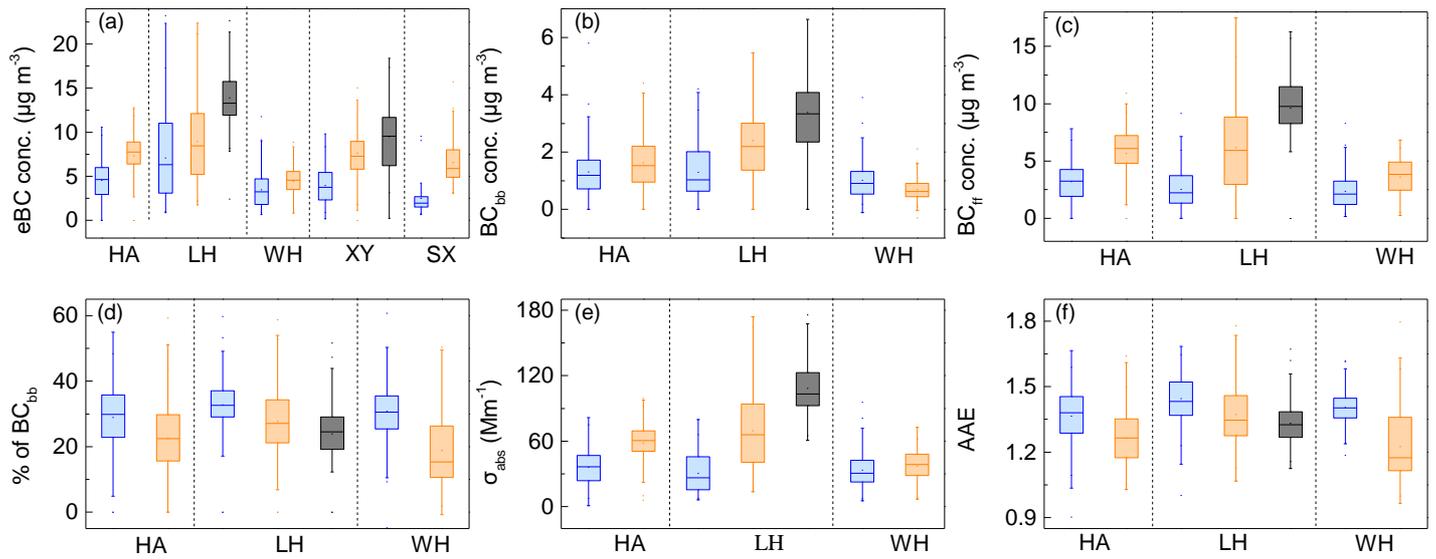


Figure 5 Box (25–75th percentiles) and whisker (5–95th percentiles) plots of eBC concentrations (a), BC_{bb} (b), BC_{ff} (c), percentages of BC_{bb} (d), aerosol absorption coefficients (e), and absorption Ångström exponent (AAE) under different air pollution situation. The blue, orange and black color represent the clean ($PM_{2.5} < 75 \mu\text{g m}^{-3}$), light pollution ($75 < PM_{2.5} < 250 \mu\text{g m}^{-3}$) and heavy pollution conditions ($PM_{2.5} > 250 \mu\text{g m}^{-3}$), respectively. The data number for the different air quality could be found in the supplementary file (Table S4).

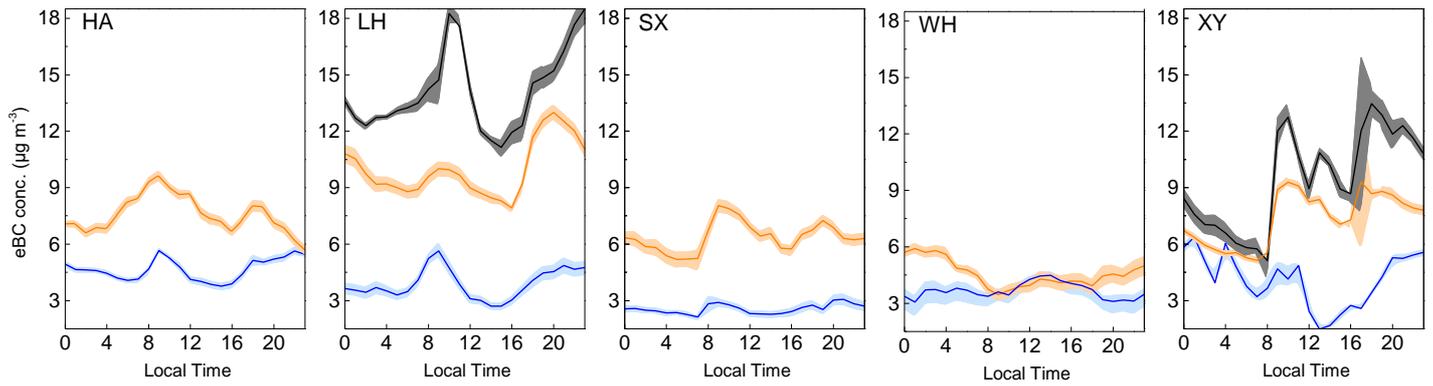


Figure 6 Diurnal variations of eBC under different air pollution situations (blue: clean; orange: light polluted; dark: heavy polluted) at the five observation sites. The solid lines are the average values and the filled ribbons are 95th confidential intervals of the average value.

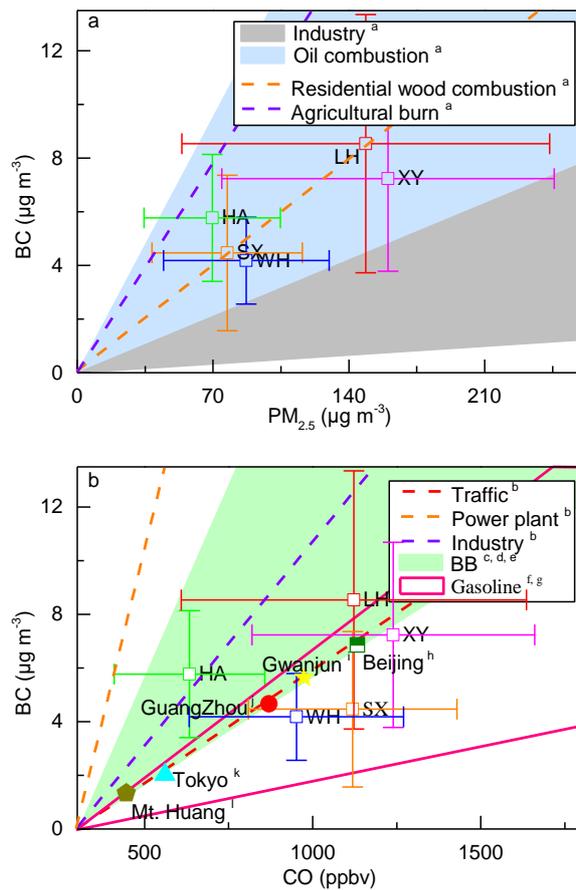


Figure 7 Ratios of BC/ $\text{PM}_{2.5}$ (a) and BC/CO (b) in this study and previous researches.

^a Chow et al., (2011); ^b Zhang et al., (2009); ^c Dhammapala et al., (2007); ^d Cao et al., (2008); ^e Andreae and Merlet, (2001); ^f Streets et al., (2003); ^g Westerdaahl et al., (2009); ^h Liu et al., (2018a); ⁱ Park et al., (2005); ^j Verma et al., (2010); ^k Kondo et al., (2006); ^l Pan et al., (2011).

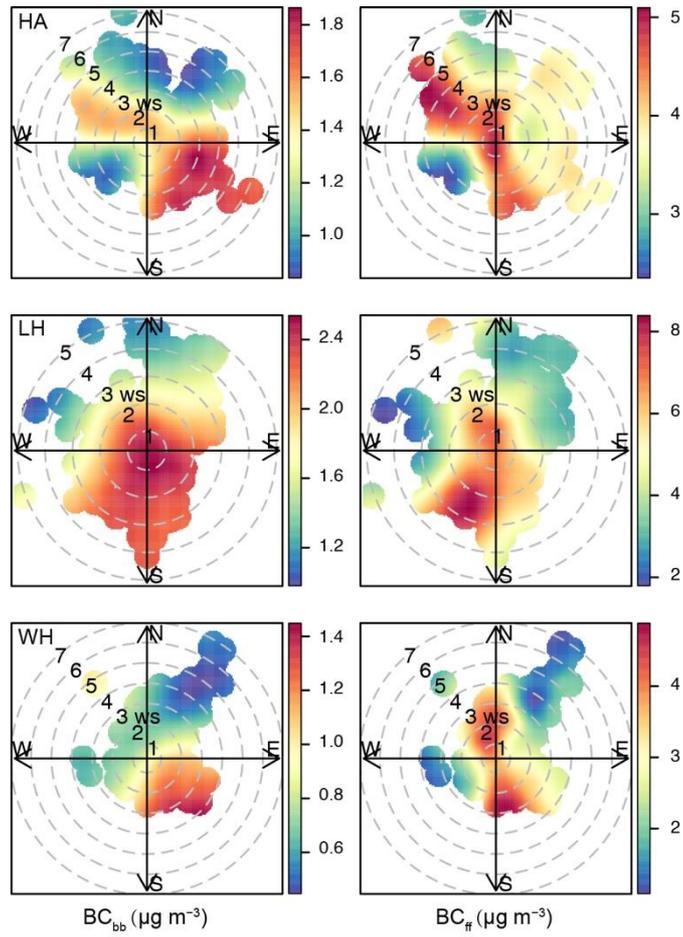


Figure 8 Conditional bivariate probability function (CBPF) plots of BC_{bb} (left panel) and BC_{ff} (right panel) at HA, LH and WH.

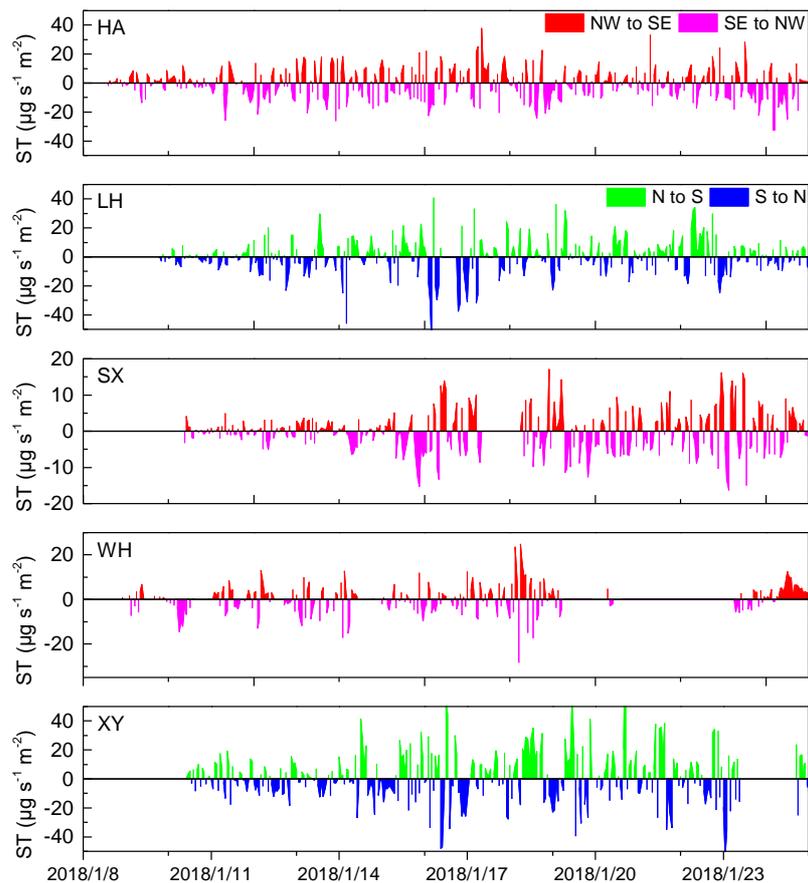


Figure 9 Time series of surface transport intensity for BC at the five observation sites. Positive values for HA and LH indicated the transport direction was from north to south and negative values indicated the transport direction was from south to north. Positive values for SX, WH and XY indicated the transport directions were from northwest to southeast and negative values indicated the transport directions were from southeast to northwest.

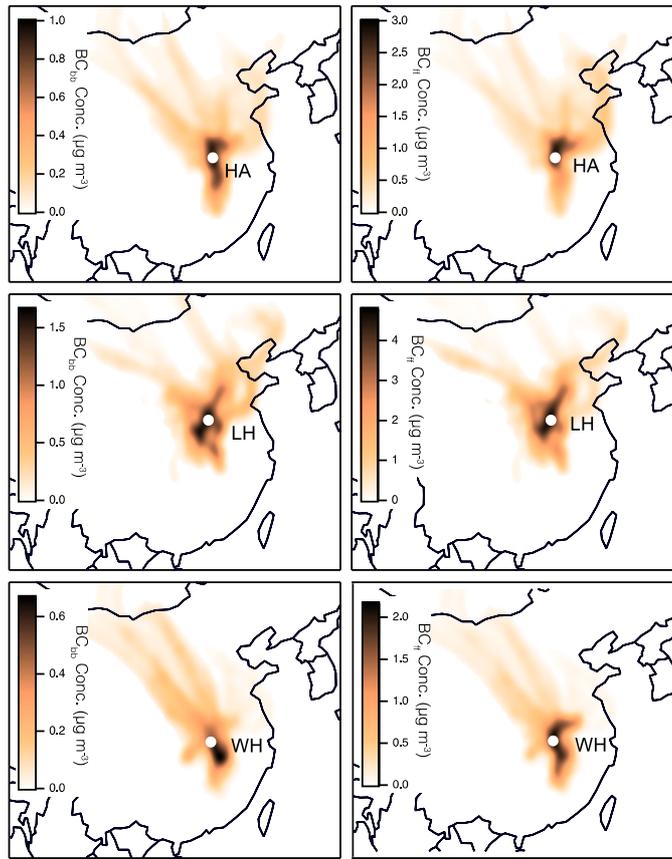


Figure 10 Concentration-weighted trajectory (CWT) plots of BC_{bb} (left panel) and BC_{ff} (right panel) at HA, LH and WH during the whole observation site. The white dot represents the observation site.

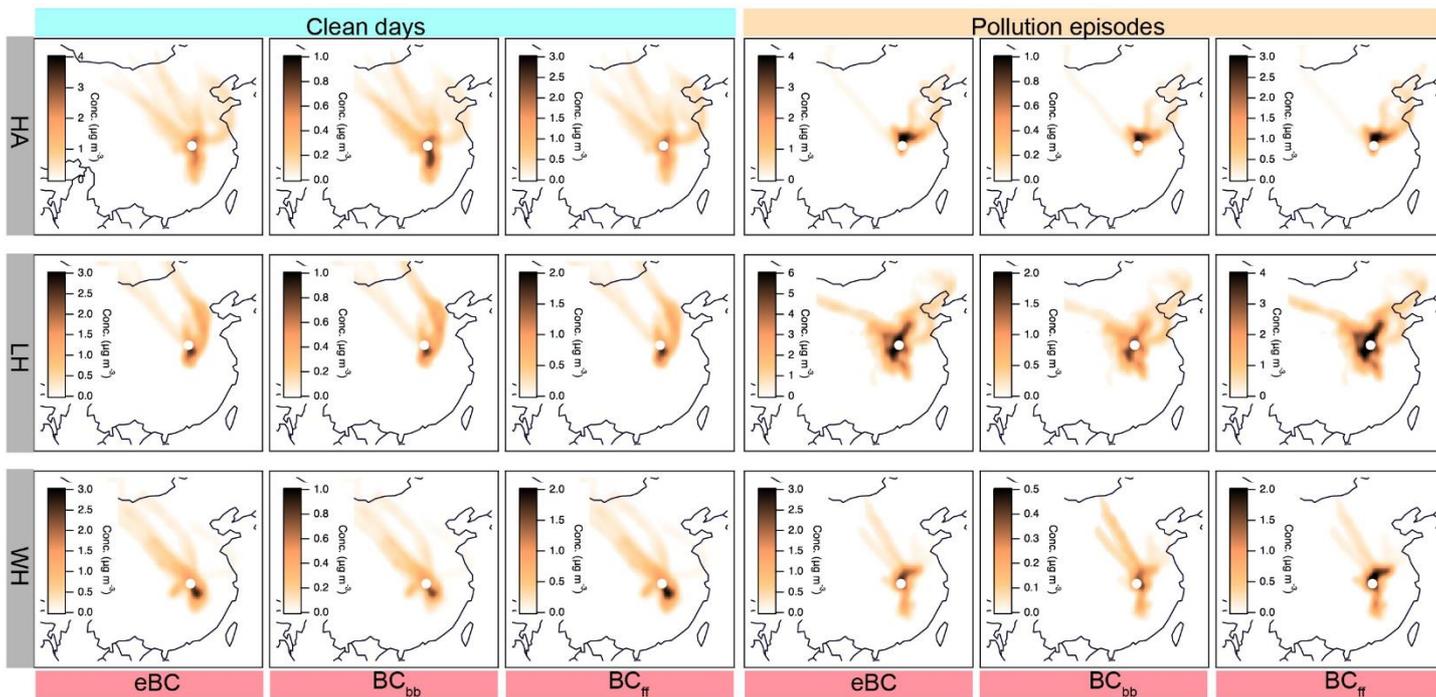


Figure 11 Concentration-weighted trajectory (CWT) plots of eBC, BC_{bb} and BC_{ff} during clean and pollution episodes at HA, LH and WH. The white dot represents the observation site.

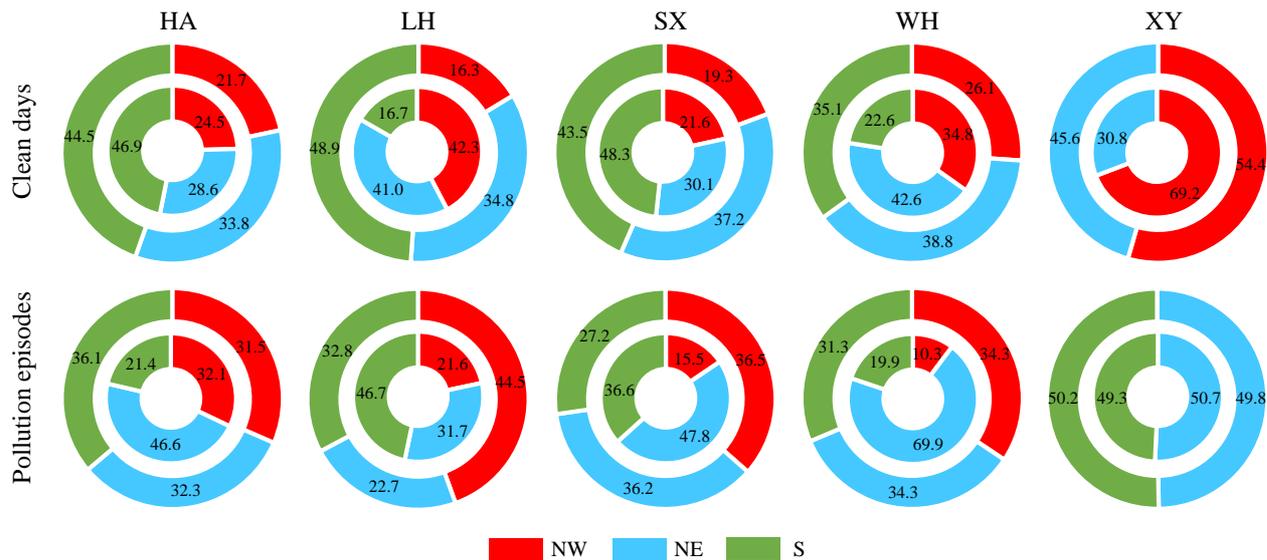


Figure 12 Cluster results of air masses reaching at five sites (inner pie plots) and the eBC percentage contributions from different clusters (extern pie plot) during the clean days (up panel) and pollution episodes (bottom panel). NW, NE and S mean the northwest, northeast and south direction clusters as shown in Figure 1.

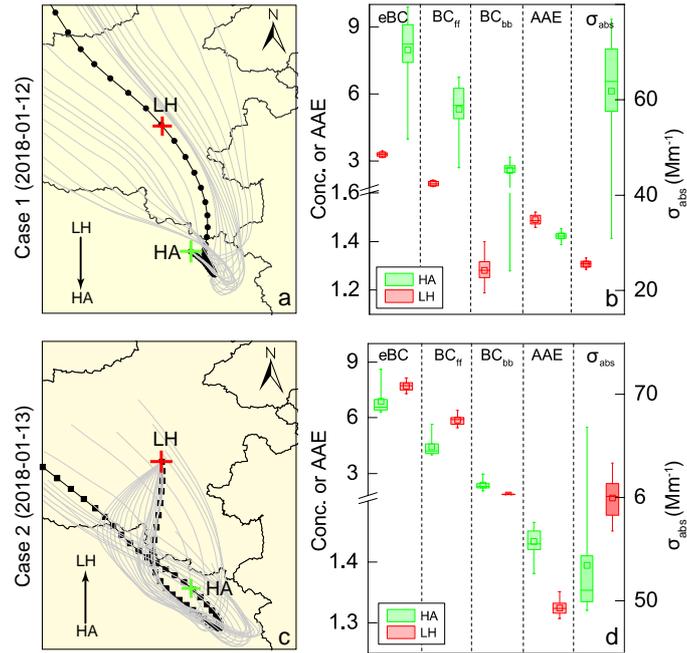


Figure 13 Case studies of BC variation during the transportation from upwind to downwind direction. a (case 1): Hourly backward trajectories (grey line) reaching at HA on 2018-1-12 and the trajectory at 13:00 (GMT) (black line) was found passing through LH about 28 hours ago. c (case 2): Trajectory reaching at LH on 2018-1-13 07:00 (GMT) (black line) was found passing through HA about 31 hours ago. Box (25-75th percentiles) and whisker (5-95th percentiles) plots of eBC, BC_{ff}, BC_{bb}, σ_{abs} , and AAE variations during the transport from LH to HA (b) and from HA to LH (d).