

Reply to Referee #1 (Stefan Kinne)

We thank Stefan Kinne for reviewing our manuscript and providing comments for revision. Following are our point-by-point replies, with his comments in italic. Please note that figure, page and line numbers refer to the marked-up version of the revised manuscript and supplement, attached here after our responses.

General comments:

This study examines co-located 'observational data' based on satellite retrievals for aerosol and clouds. By exploring monthly retrieval data over a decade in relatively small region over southern China speculation on aerosol cloud interactions/processes are made.

Compared to the initial version I am happy to notice that the poorly defined CALIPSO type has been removed from the argumentation chain, that now MISR AOD and fine mode AOD have been included and that there is a move to a coarser temporal averaging from one to two months (although only in tables, but not in the Figures).

My analysis shows for the MODIS data an AOD decrease in the region, which is at maximum in fall with -0.23 (from 0.57 to 0.35) for the AOD decadal decrease and -0.15 (from 0.34 to 0.19) for the decadal fine-mode decrease. For MISR data we have an AOD decrease in the region, which is at maximum in fall with -0.13 (from 0.40 to 0.27) for the AOD decadal decrease and -0.09 (from 0.23 to 0.14) for the decadal fine-mode decrease (see attachment with plots with special distributions of absolute values and anomalies). These are very large changes in AOD, so the idea to related responses in associated cloud properties seems to have its merit. For that time-range the decrease in seasonal MODIS based CCN data over the ocean is consistent though not associated with the fall season (annual, monthly data available on request).

The paper is about aerosol properties trends and cloud property changes over the last decade and there are still gaps in data interpretations. While it is assuring that data from different sensors often agree, the association among the different aerosol properties (AOD, AOD_f, AOD_c spatially and seasonally) and cloud properties (COT, phase, LWP, reff spatially and seasonally) should be better harvested to draw a better basis (before trying to link aerosol and cloud data for potential processes/interactions).

The authors addressed all comments, which more focused on explaining what and why things were as they are. This way, opportunities for improvements were avoided and missed. The paper is an analysis of retrieved cloud properties and retrieval aerosol properties both for the last decade over small region over southern China. Even if significant temporal trends are identified it is still a big task to draw potential interactions from trend associations.

As long as the paper keeps focusing on a solid analysis for aerosol and cloud retrievals and observed (relative) changes this contribution is interesting and useful, even though the applied region is relatively small. I still wonder about the changes to other related properties (e.g. cloud top height, rain, surface temperature). The interpretation certainly is tempting though speculative ... and rather an element for the discussion section. Try to be more convincing!

We thank the reviewer for this comment. In the revised manuscript we have expanded the analysis, including additional parameters suggested by the reviewer (cloud top height, rain, surface air temperature), and providing additional results on distributions and correlations (e.g. Figs. 5, S6, S7, S8, Table S10). While our main conclusions did not change based on these, we hope that they have improved our study, by filling some interpretation gaps and contributing to its completeness.

Figure 1

I am not sure if this plots is necessary as the focus is on changes. Still I wonder why MODIS is so much different to MISR (I tend to trust more MISR retrieval capabilities over continents and I would show MODIS AOD_f and AOD_c to add up to total AOD (I do not understand the large gap between AOD and (AOD_f+AOD_{dust})). I suggest to plot seasonal data (if you have to show regional average instead of maps) for a period near 2006 and a period near 2015 one for MISR (total, fine, coarse AOD), one for MODIS (total, fine, coarse AOD), one for GFED and one for fossil fuel emissions.

The purpose of this figure is to provide an overview of the seasonal behavior of AOD and emissions over the study region and during the period examined. Since the relevant changes are later examined also on a seasonal basis, we consider the information provided in Fig. 1 necessary for the completeness of the description of these aerosol and emissions characteristics.

Regarding MISR AOD, the reviewer correctly points at the large gap between total AOD and AOD_f + AOD_{dust}, since AOD_c was missing from the analysis. In the revised manuscript, we have included coarse mode AOD from MISR. Regarding AOD components from MODIS, only fine mode AOD over ocean was available in the daily level 3 product. Including this data set would probably lead to confusing results due to spatial inconsistencies, instead of clarifications.

Regarding the suggestion to plot separate maps for the beginning and end of the study period: the difficulty is that the first and last year do not necessarily reflect the changes accurately, because these changes are derived from fits to the complete time series. Therefore, we would like to stick to our original presentation of these changes.

Figure 2

the AOD change (should be 2015-2006) seem way too small – based on my analysis for this region (check!). And patterns are more informative to me than trend plots.

We have checked again the results of our analysis, and they are correct. As explained in Section 2.4, the numbers are calculated as the difference (in percent) between the first and last years, based on the linear regression fit to the deseasonalized time series. Patterns of changes and relevant significance levels related to Fig. 2 are shown in supplementary Figs. S1 and S2.

Please also note that we preferred to use “changes during 2006-2015” rather than “2015 minus 2006”, since the latter suggests that properties for the year 2006 were subtracted from those for the year 2015, which is not the case. However, we have replaced “during 2006-2015” with “from 2006 to 2015” to clarify the issue.

Figure 3

The GFED data are down not only in fall but also in winter, while AOD_f an AOD values are minly down in fall. Thus, lower GFED emissions are a contributing factor but not the sole explanation. I love to see differences in fossil fuel emission (S.Smith has published data). Have you considered an shift in monsoon activity (e.g. are there seasonal precipitation data?) If it was more wet in fall the this also could explain (by wet removal, lower AOD, AOD_f and CDNC data).

Based on the reviewer’s suggestion we have included in the analysis monthly precipitation data from the Global Precipitation Climatology Project (GPCP) data set. We found that there is a strong increase in precipitation during autumn and early winter, anti-correlated with AOD changes. This indeed suggests that wet removal played

a role in the AOD decrease found during the same period. This additional analysis is now included in page 6, lines 8-16 and Fig. 5 of the revised manuscript.

Changes in anthropogenic emissions, including fossil fuels, were previously presented in the supplement, but are now moved to the main text (Fig. 4). However, they show an increase for this area and period examined, hence they cannot contribute to explaining the aerosol decrease.

Figure 4

Same complains as in figure 1: Show typical seasonal data for periods near 2006 and periods near 2015. I rather trust relative difference (which are just needed here) than absolute retrievals.

As in the case of Fig. 1, our intention with Fig. 4 (now Fig. 6 in the revised manuscript) was to show the seasonal behavior of cloud properties, which is characteristic of the entire period examined, and provide the reader with information on how these properties behave within a year. For this reason, these monthly averages were computed based on the entire study period. What the reviewer suggests would be helpful when examining differences between the start and end of the study period. However, this is not the purpose of this figure.

Figure 5

changes: 2015 minus 2006. I take from this figure that the liquid water path increase is much larger than the cloud cover increase -> more convection -> more wet removal? I am also puzzled why the effective radius increase is much larger than the COT increases. Does that mean that cloud tops are higher (with larger droplets on top). There is more interpretation needed to understand these retrieval cloud properties ... that is if we can trust them.

The reviewer's suggestion was verified by adding the analysis on precipitation changes (page 6, lines 8-16 and Fig. 5). Cloud top height changes were also included, showing that indeed there was an increase in cloud top heights (page 7, lines 1-7 and supplementary Fig. S8). However, the ambiguity between CLARA-A2 and MODIS results regarding effective radius and cloud top height renders this explanation more dubious.

As noted in our reply on Figure 1, we used "changes during the period 2006-2015" instead of "2015 minus 2006", since the latter suggests that properties for the year 2006 were subtracted from those for the year 2015, which is not the case. Changes were determined from linear fits to the full time series. We have replaced "during 2006-2015" with "from 2006 to 2015" to clarify the issue (caption of Fig. 7 in the revised manuscript).

Figure 6

In the last 10 years for clouds reff, COT, LWP and cover all increased ... what does this mean for cloud type frequency ... and then we can think about potential impact on aerosol.

The purpose of Fig. 6 (Fig. 8 in the revised manuscript) is to put changes observed in the last 10 years in the context of the full CLARA-A2 record starting in 1982. This analysis shows that the recent increases in LWP, liquid CFC and COT are unique in the time series. The increase in liquid CFC implies a more frequent occurrence of warm, low clouds including stratus and stratocumulus, and not only has the occurrence of these clouds increased, they have also become thicker. Therefore, the discussion focusses on potential cloud-aerosol interaction mechanisms that are applicable to warm, low clouds.

Figure 7

You are talking about 2 month data analysis ... but plots still show monthly anomalies. Maybe you can show 3 month running averages? The largest aerosol reductions are in fall... but the largest cloud properties are in winter. Is there really a link (e.g. do you believe in a seasonal time-lag?)

We chose to present the changes on a monthly basis in the figures in order to not anticipate and fix a certain grouping of months. For the statistical analyses bi-monthly periods are then used to improve the robustness of the results.

The possibility of a seasonal time-lag between aerosol changes and effects on clouds does not appear to be likely since aerosol-cloud interaction occurs on short timescales. As the reviewer notices, the largest aerosol reductions do not coincide with the largest cloud changes. We hypothesize that in September-October, when the largest aerosol changes occur, the aerosols are located higher in the atmosphere and there is no direct connection between aerosol and cloud changes. There is overlap of changes, however, in aerosols, emissions and cloud properties in November-December and the respective time series also show significant correlations. The aerosol semi-direct effect was investigated as a possible mechanism connecting these changes and correlations.

Figure 8

I am puzzled about the big changes in Calipso profiles within 2 months. Is there a good reason why these extinction profile changes are so different?

A possible explanation that we proposed in the discussion section relates these profile changes to the changes in biomass burning emissions. While practically no such emission change was found in September-October, large reductions were found in November-December (see also Fig. 3b). This decrease would also lead to a reduction in aerosol loads in the lower atmospheric layers, manifested here as a decrease in corresponding aerosol extinction profiles.

minor comments to the responses

In the response it is mentioned that the reasons for why properties observed as they are, are only of secondary concern, as in the end associated changes between aerosol and clouds are of interest. I disagree and I think we first should understand why satellite retrievals do change over time so we have more confidence that what we eventually do compare is meaningful.

Our point was that the good agreement between two different satellites and data sets adds confidence in our results. This is also why we explicitly mention in the text that results are dubious when the two data sets disagree. We agree with the reviewer on the importance of ensuring the quality of satellite-derived data before drawing any further conclusions.

Thanks for checking that industrial emission apparently even increased. Unfortunately it is not clear if seasonal variations are offered (as I could not find the supplement). This background information deserves to be part of the paper. Other background changing elements would be temperature, [solar] radiation, precipitation and the monsoon time-period.

In the revised manuscript we have added analyses on precipitation and surface air temperature. Part of the emissions analysis was also transferred from the supplement to the main text. Unfortunately, however, seasonal variations are not provided for most species (see also supplementary Fig. S4).

In the response to reviewer 2 there is a figure with CEOS emission. Now it would be interesting if there is a seasonality to these emissions or do they just provided annual averages?

While CEDS emissions data are available on a monthly basis, for most species there is a constant value per year, hence a seasonality analysis was not possible. The overall changes in emissions during the study period were however included in the revised manuscript (Fig. 4).

Overcast cloud conditions: since these are required for bi-spectral retrievals methods (COT, reff) I wonder if that frequency changed? Are there other properties that can provide insights on why the cloud properties have changed? Did the cloud-top change?

We have additionally checked atmospheric water vapor and cloud top height searching for insights on these changes. No significant change was found in water vapor concentrations, while cloud top height has increased, but again, not significantly in most cases. In fact, there are discrepancies between CLARA-A2 and MODIS regarding CTH analysis, that render further conclusions dubious (see also supplementary Fig. S8 and Table S10).

minor comments to the new text

In the abstract you talk about a 40% AOD reduction. This is an exaggeration. My seasonal analysis shows ca 30% reduction between 2006 and 2015 only for fall (other seasons are much less) and a significant part of the reduction (ca 30%) is related to coarse mode aerosol (which little link to GFED emissions).

We thank the reviewer for this remark. This number refers to the highest increase in AOD found, which occurred in September-October only. In November-December, to which this sentence in the abstract refers, the decrease was less (~35% based on MODIS and MISR, see also Table 1). We have corrected the abstract accordingly.

Coarse mode aerosol from MISR was previously not included, but it is now. Indeed it explains a part of the overall AOD reduction, but only in autumn, and specifically in September and October, when the change is statistically significant (see also Fig. 3a of the revised manuscript).

In the abstract the last sentence comes across as a statement but is highly speculative at best.

The purpose of this sentence was to explain how the proposed mechanism would work. However, we understand the reviewer's concern and we have edited the last part of the abstract, stating explicitly that this is not a statement proven by our results.

Aerosol results: why is the dust AOD so small? AOD_c (mainly dust over continents) and AOD_f should add up to AOD. ... and please show industrial emission change for that region, preferably with a seasonal cycle (in the warmer/humid summer the pollution related AOD should be larger).

A possible explanation for the low dust AOD found is the long distance of the study region from the main dust sources, i.e. the Gobi and Taklimakan deserts. This is included in page 5, lines 11-12 of the revised manuscript. Coarse mode AOD was previously not included. It is now, and indeed along with fine mode they add up to total AOD. We have also moved the analysis results on emissions from the supplement to the main text. Unfortunately, the CEDS data set does not provide seasonal information for most emitted species (see also supplementary Fig. S4).

The discussion section is much improved. I like the idea with the reduced semi-direct effect. Would this not also imply a more unstable atmosphere and with a stronger convection a higher cloud top?

Indeed we found a higher cloud top based on both CLARA-A2 and MODIS data (page 7, lines 1-7 and Fig. S8). However, since there are some discrepancies between the two data sets, we avoided further conclusions based on this increase.

Assuming the altitude assignment of AOD change is correct ... what can be reason that elevated AOD is so much reduced in fall? why not at the ground?

A possible explanation already mentioned would be that these aerosols were transported from other regions. Hence, their concentrations and changes would be disentangled from local sources and corresponding changes. However, we have not been able to identify the causes of the reduction of elevated AOD in September-October.

Reply to Referee #3

We thank the anonymous referee for reviewing our manuscript and providing comments for revision. Following are our point-by-point replies, with the referee comments in italic. Please note that figure, page and line numbers refer to the marked-up version of the revised manuscript and supplement, attached here after our responses.

Their study highlighted biomass burning as the major source to cause the changes in cloud, while the contribution of other sources was not discussed. Past studies have reported, during the study period (2006-2015), the reduction in emissions was observed not only for biomass burning but also for other sources. Because the emissions in southern China were very complicated, the contribution of other emission sources should be considered. Also, in Figure 3, the emissions of biomass burning in J-S showed an increase but the AOD decreased; From Oct to Nov, the emissions decreased but the AOD increased. These results do not seem to support their findings.

Other emission sources and their changes are indeed important and were added for completeness following the referee's suggestion. Specifically, we expanded the analysis regarding emissions from the Community Emissions Data System (CEDS) and transferred respective results from the supplement to the main text (Fig. 4 of the revised manuscript). However, the reduction in emissions reported elsewhere over China is not verified for this specific region and period based on CEDS data. In addition to other emission sources, precipitation data from the Global Precipitation Climatology Project (GPCP) were also analyzed, revealing coincidences in increasing precipitation and decreasing aerosols. Hence, wet removal could partially explain the reported decrease in AOD.

Figure 3 should be interpreted on an "individual month" basis. For example, in November both AOD and biomass burning emissions have decreased substantially, as in December. In this sense, the referee correctly points that AOD and biomass burning emissions changes are not always correlated. For example, in October the change in AOD is substantial, whereas there is practically no change in biomass burning emissions. We attribute these differences to the fact that biomass burning emissions alone cannot always characterize the full aerosol load, as the referee also pointed above.

Second, natural climate conditions should be considered in the analyses. Their findings claimed the changes in cloud were mainly due to aerosols. But natural climate variability must be a critical factor to affect cloud. Without considering natural climate variability, their results may not fully reflect the situation.

Natural climate variability was considered by analyzing the surface pressure and 500 hPa geopotential height in Section 4.1. In the revised manuscript we expanded this analysis with relevant maps of average values and changes included in the supplement (Fig. S11, and page 8, lines 26-34 of the revised manuscript). We also included analysis of precipitation, which can affect aerosol and cloud conditions through natural variability. A statistically significant increase in precipitation was found in November-December, providing an additional possible explanation for the decrease in aerosols during these months. However, no significant correlation was found with the changes in cloud optical properties. This new precipitation analysis is discussed in page 6, lines 8-16 of the revised manuscript.

Third, climate change was definitely a critical factor to influence annual variability of aerosol distribution and concentration. However, climate change was not assessed systemically in their analyses.

Climate change can indeed affect aerosol and cloud distributions through various ways, e.g. by altering large scale phenomena, such as the Asian Monsoon and the ENSO, which were examined in Section 4.1. In the revised manuscript, we also included an analysis on surface air temperature, which is the main parameter describing climate change. Data from the ERA-Interim reanalysis data set were used for this purpose. No significant change was detected in surface air temperature during the 10-year study period. Interestingly, however, a strong decrease is found in November-December, coinciding with the significant increase in cloud properties. These results are discussed in page 9, lines 8-14 of the revised manuscript.

The robustness of the results should be investigated. I suggest to conduct statistically significance tests for the trend of cloud (spatial distribution). This would help readers to better understand the results.

Apart from the Tables 1 and 2, which report on the statistical significance of our results and their correlations, respectively, we have also included in the supplement Table S3, which provides, among others, p-values of changes in AOD and cloud properties during the period examined, Table S9, with levels of significance of cloud changes on a monthly basis, and Table S10, showing significant correlations among AOD and cloud properties. Following the reviewer's suggestion, in the revised manuscript we have included maps with information on the statistical significance of changes in all cloud variables examined, separately from CLARA-A2 and MODIS. Due to the number of maps involved, these were added in the supplement (Figs. S6 and S7).

I agree with the point mentioned in line 3-5 in page 3. Considering local emissions alone may cause significant problems. So, considering long-range transport is necessary. "It should be noted that, due to the long-range transport of aerosols, local aerosol emissions are not expected to fully explain corresponding properties and characteristics of aerosol types and loads in the atmosphere of the same region."

Considering local biomass burning emissions alone when discussing the origin of the aerosol load over an area would indeed be an incomplete approach. For this reason, information on other emission sources was also included. However, when focusing on a specific area and discussing possible reasons of changes in aerosol load, we consider it sufficient to examine changes in local emissions, and also acknowledge possible effects from long-range transport; analyzing long-range transport on an equivalent basis as local emissions, would require analyses of both air mass trajectories and emission changes in nearby areas, extending the study beyond its specified scope.

Satellite observations of aerosols and clouds over southern China from 2006 to 2015: analysis of changes and possible interaction mechanisms

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Abstract. Aerosol and cloud properties over southern China during the 10-year period 2006-2015 are analysed based on
10 observations from passive and active satellite sensors and emission data. The results show a strong decrease in aerosol
optical depth over the study area, accompanied by an increase in liquid cloud cover and cloud liquid water path (LWP).
Analysis of emissions and precipitation changes suggests that a decrease in biomass burning aerosols and an increase in
precipitation played ~~an~~ important roles in the overall aerosol reduction. These changes ~~in biomass burning emissions~~
15 occurred mainly in late autumn and early winter months, leading to a decrease in AOD by about ~~40~~35% and coinciding with
an increase in liquid cloud fraction by 40% and a near-doubling of LWP in November and December. Possible explanatory
mechanisms for these changes were examined, including changes in circulation patterns and aerosol-cloud interactions.
Further analysis of changes in aerosol vertical profiles demonstrates a consistency of the observed aerosol and cloud changes
with the aerosol semi-direct effect, which depends on their relative heights. ~~Based on this mechanism,~~ fewer absorbing
20 aerosols in the cloud layer would lead to an overall decrease in evaporation of cloud droplets, thus increasing cloud LWP
and cover. While this mechanism cannot be proven based on the present analysis, these are the signs of the reported changes.

1 Introduction

The role of atmospheric aerosols in climate change has been studied widely in the past. Their various effects are broadly
defined based on their interactions with atmospheric radiation and clouds. The direct effect is described through scattering
and absorption of radiation whereas indirect effects describe interactions with clouds, which can lead to changes in both
25 cloud albedo (Twomey, 1977) and cloud lifetime (Albrecht, 1989). The semi-direct effect is a third category that describes
aerosol-induced changes in clouds through interaction with radiation. According to the latest terminology (Boucher et al.,
2013), the semi-direct effect is described as a “rapid adjustment” induced by aerosol radiative effects, and along with the
direct effect it is grouped into the “Aerosol-Radiation Interactions” (ARI) category, whereas the indirect effects are termed
“Aerosol-Cloud Interactions” (ACI).
30 Observations of these mechanisms and their effects on climate have been elusive, and the uncertainties associated with them
remain high (Boucher et al., 2013). The main reasons for this lack of substantial progress originate in the high complexity of
these phenomena, with multiple possible feedback mechanisms and dependences on various parameters in different regimes
(Stevens and Feingold, 2009, Bony et al., 2015). Although there are continuous improvements, the mechanisms related to
aerosol and cloud interactions and feedbacks are still inadequately represented in models (Feingold et al., 2016), and poorly
35 captured by remote sensing measurements (Seinfeld et al., 2016). Regarding the latter approach, many studies have
highlighted the difficulties and limitations of remote sensing methods, which usually include limitations in spatial and

temporal samplings (Grandey & Stier, 2010; McComiskey & Feingold, 2012). On the other hand, progress is steadily being made, as data sets of aerosols and clouds based on remote sensing retrievals gradually improve. Additionally, independent data sets with complementary characteristics and properties become constantly available, allowing more in-depth analyses of the aerosol and cloud conditions and opening new possibilities for ~~synergistic-combined~~ usage, towards further constraining the effects of aerosols on clouds.

The present study builds on these developments by providing an analysis of aerosol and cloud characteristics and changes in recent years over a climatically important and sensitive area in southern China. This region (20°-25° N, 105°-115° E) was selected, being a densely populated area with intense human activities, ranging from urban and industrial to agricultural, which also constitute different sources of aerosol emissions. Furthermore, significant changes in aerosol loads during the past years over the wider surroundings have previously been reported (e.g. Zhao et al., 2017; Sogacheva et al., 2018), providing the opportunity for an analysis of possible effects on clouds. Hence, the purpose of this study is dual. The primary aim is to analyse aerosol and cloud characteristics and changes during the previous years over southern China. Using multiple data sets, created based on different retrieval approaches, adds robustness to the results. The secondary purpose of this study is to investigate the possibilities and limitations of the ~~combined synergistic~~ use of this multitude of aerosol and cloud data sets for the assessment of possible aerosol and cloud interaction mechanisms. For this purpose, data sets are analysed in combination, to either help exclude possible explanatory mechanisms, or provide indications of their manifestation.

The study is structured as follows: Section 2 provides a description of the aerosol and cloud data sets used, and the methodology for analysing their changes. Results of this analysis are described in Sections 3 and 4, including time series and seasonal changes in aerosols and clouds, possible effects of large-scale meteorological variability, and indications of possible effects of aerosol changes on corresponding cloud changes. Our findings are summarized in Section 5.

2 Data and methodology

2.1 Aerosol, ~~and~~ emissions ~~and~~ precipitation data

Analysis of aerosol changes was based on MODerate resolution Imaging Spectroradiometer (MODIS), Multi-angle Imaging SpectroRadiometer (MISR) and Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) data. MODIS is a sensor on board NASA's Terra and Aqua polar orbiters, providing aerosol and cloud data products since 2000 and 2002 from Terra and Aqua, respectively. The Aqua MODIS level 3 Collection 6 daily Aerosol Optical Depth (AOD) was used here, available over both land and ocean at $1^\circ \times 1^\circ$ spatial resolution (Levy et al., 2013).

AOD data from MISR were also analysed. MISR flies on board NASA's Terra satellite and acquires measurements at nine viewing angles, providing information on specific aerosol types along with the total aerosol load (Khan & Gaitley, 2015). Here, MISR products of total AOD, along with fine mode, ~~coarse mode~~ AOD and dust (non-spherical) particles AOD were analysed on a monthly basis and at $1^\circ \times 1^\circ$ spatial resolution, available at level 3 of version V23.

The CALIPSO level 3 monthly aerosol profile product was also used, to include information on the aerosol vertical distribution in the analysis. CALIPSO level 3 parameters are derived from the corresponding instantaneous level 2 version 3 aerosol product (Winker et al., 2009; Omar et al., 2009; Tackett et al., 2018) and include column AOD of total aerosol, available globally at $2^\circ \times 5^\circ$ latitude/longitude resolution, along with the extinction profiles at 60 m vertical resolution, up to 12 km altitude. The standard quality filters implemented to ensure the quality of the level 3 product, described in Tackett et al. (2018), were also adopted here.

Apart from the analysis of aerosol loads and vertical distributions over the region with MODIS, MISR and CALIPSO data, aerosol sources were investigated using the Global Fire Emissions Database (GFED), which provides information on trace gas and aerosol emissions from different fire sources on a global scale. Here, version 4 of the data set was used (GFED4s),

available at $0.25^\circ \times 0.25^\circ$ spatial resolution and on a monthly basis. GFED emission estimates are based on data of burned areas and active fires, land cover characteristics and plant productivity, and the use of a global biogeochemical model (Van der Werf et al., 2017). Additionally, a recent inventory of anthropogenic emissions of aerosols and precursor gases from the Community Emissions Data System (CEDS, Hoesly et al., 2018) was included in the analysis, to provide a more complete overview of possible origins of AOD changes. It should be noted that, due to the long-range transport of aerosols, local aerosol emissions are not expected to fully explain corresponding properties and characteristics of aerosol types and loads in the atmosphere of the same region. Emission data were rather used here for partially explaining the origin of aerosol types and distributions detected from space. They were also useful as an indicator of local aerosol-producing human activities, with biomass burning being a major source.

While emission data records provide a useful source of possible aerosol sources, decreases in aerosol loads can also originate in other phenomena, e.g. increase in precipitation, apart from decreasing emissions. Hence, to achieve a more complete overview of the possible reasons that led to the aerosol changes reported here, rainfall data were also analyzed. For this purpose, the Global Precipitation Climatology Project (GPCP) version 2.3 data record was used (Adler et al. 2018). The GPCP monthly product integrates precipitation estimations from various satellites over land and ocean with gauge measurements over land at a $2.5^\circ \times 2.5^\circ$ resolution.

2.2 Cloud data

Two independently derived, satellite-based cloud data sets, were used for the analysis of cloud properties and changes over southern China. The Aqua MODIS level 3 Collection 6 daily $1^\circ \times 1^\circ$ product was used (Platnick et al., 2017), as in the case of AOD, for the estimation of monthly averages and corresponding changes in cloud properties, including total and liquid Cloud Fractional Coverage (CFC), in-cloud and all-sky Liquid Water Path (LWP), as well as liquid Cloud Optical Thickness (COT) and Effective Radius (REFF).

The same cloud properties were analyzed using the second edition of the Satellite Application Facility on Climate Monitoring (CM SAF) cCloud, Albedo and surface Radiation data set from AVHRR data (CLARA-A2), a recently released cloud property data record, created based on Advanced Very High Resolution Radiometer (AVHRR) measurements from NOAA and MetOp satellites (Karlsson et al., 2017). It covers the period from 1982 to 2015 and includes, among other parameters, CFC and cloud phase (liquid/ice), cloud top properties and cloud optical properties, namely COT, REFF and water path, separately for liquid and ice clouds. Orbital drift in NOAA satellites is an important issue regarding the stability of the CLARA-A2 time series, especially in the 80s and 90s. For the 10-year period examined in this study, CLARA-A2 level 3 data, available at $0.25^\circ \times 0.25^\circ$ spatial resolution from AVHRR on NOAA-18 and NOAA-19 were used. Specifically, only the “primary” satellite was used in each month, meaning that when NOAA-19 data became available, NOAA-18 was not used any more. As a result, orbital drifts are minor.

2.3 Uncertainties in aerosol and cloud products

Uncertainties in pixel-based (level 2) data can in many cases be estimated by propagation of error sources through the retrieval algorithms and through validation with collocated independent reference observations. For example, Levy et al. (2013) showed by comparison with Aerosol Robotic Network (AERONET) observations that the MODIS AOD has a 1-sigma uncertainty of about $\pm(0.05+0.15AOD)$ over land. However, the propagation of pixel-based error estimates to monthly aggregates is difficult because it needs to separate contributions from systematic and random errors. Similarly, validation at monthly scales is cumbersome, and no level-3 validation results have been reported for the aerosol and cloud data sets used in this study.

Therefore, the use of three independent aerosol data sets and two cloud data sets, derived from different sensors is an important element of this study, which suggests that the detected changes reflect actual changes, rather than possible sensor

degradations or retrieval artifacts. This is especially true in the case of aerosol data, which were obtained by different retrieval approaches.

2.4 Analysis of time series and changes

The analysis of all data sets and their changes was based on monthly average values. This temporal resolution is appropriate for studying both long-term interannual as well as seasonal changes. Furthermore, data from afternoon satellites were mainly used (MODIS Aqua, AVHRR on NOAA-18 and -19 and the daytime product of CALIPSO), to minimize differences due to different temporal samplings. Additionally, due to the different grid cell sizes of the products used, the analysis was based only on area-weighted averaged values over the entire study region, rather than individual grid cells. Area-weighted averages were computed based on the cosines of the latitudes of the grid cells covering the study region. However, due to the small size of the domain the ensuing differences were minor. It should be noted that, in the case of GFED, monthly values of emissions over the study area were calculated by summing the corresponding grid cell values, instead of averaging. Additionally, in the case of CALIPSO, spatial averages were weighted by the number of samples used, which is available in the level 3 data.

The quantification of changes during the study period was based on linear regression fits to the spatially averaged deseasonalized monthly time series. Deseasonalization was performed by subtracting from each month the corresponding time series average of this month and then adding the average of all months in the time series. For every aerosol and cloud variable X studied, the change ΔX was calculated as $\Delta X = X_f - X_i$, where X_i and X_f are the initial and final monthly values of the regression line. The corresponding percent change was estimated as $\Delta X = 100(X_f - X_i) / X_i$.

Spatial and temporal representativeness of the study area and time period in the change analysis were ensured by applying thresholds to both the area covered with valid data and the number of months used in the calculations. Specifically, the following thresholds were applied: a) on a grid cell basis, a monthly average value was used only if it was computed from at least 18 daily values (10 daily values for AOD, due to sparsity of data). Application of this threshold requires the number of days used in the calculation of the monthly average. This information was available in all data sets used, except for MISR; b) a spatially averaged value was used if it was computed from at least 50% of the grid cells in the study area; c) it was required that at least 80% of monthly averages are present in the time series, for the corresponding 10-year changes to be estimated. Further analysis included a per month estimation of changes, in order to assess their seasonal variation. In this case, no deseasonalization was applied. Statistical significance of all calculated changes was estimated using the two-sided t-test.

3 Results

3.1 Aerosol characteristics and changes

Aerosol sources in southern China include biomass burning activities, such as residential biofuel consumption, crop residues burning, firewood consumption and agricultural waste open burnings (Chen et al., 2017). These sources exhibit different seasonal characteristics and relative contributions to the total aerosol load. Higher emissions of domestic biomass burning occur in autumn and winter, specifically November to March (He et al., 2011), while agricultural field fires are mostly observed after harvesting seasons, when rice and wheat straw field burning takes place, typically in late May and October (Zha, 2013; Chen et al., 2017). Domestic burning is the major contributor, reaching over 60% of the total biomass burning emissions (He et al., 2011).

Figure 1 shows the seasonal variation of emissions from GFED and AOD from MODIS, MISR and CALIPSO over southern China, based on data during 2006-2015. The seasonal variation of carbon emitted from biomass burning over the region shows that the highest emissions occur between November and April (Fig. 1a). This seasonal pattern in biomass burning carbon emissions is in good agreement with the seasonal variation of biomass burning activities described before, verifying

the high contribution of domestic fuelwood burning during the same months. MODIS, MISR and CALIPSO total AOD (Fig. 1b) are in relatively good agreement in most months, with the largest differences occurring in March and April, when CALIPSO deviates from the other two data sets. While the present analysis was designed to minimize discrepancies due to differences in spatial and temporal resolutions, as described in Section 2.3, some disagreement between CALIPSO and the passive sensors should be expected, considering their differences in areas sampled, overpass times and retrieval methodologies. While it was not possible to pinpoint specific reasons for the March-April differences based on the data sets used here, this feature deserves further investigation. Based on MISR, which offers additional information on aerosol types, the fine mode and coarse mode AODs, which add up to the total AOD, follows a seasonal pattern similar to the ~~total AOD,latter.~~ The fine mode AOD, which and appears to constitutes a large part of the ~~latter.~~ This total, highlights the important role that anthropogenic emissions (including biomass burning) play in the overall aerosol load over the region. On the other hand, the contribution of dust is minimal, with a small peak in spring. This is probably due to the long distance of the study region from deserts, which constitute major dust sources. Biomass burning emissions (Fig. 1a) and satellite-based AOD (Fig. 1b) are not expected to always agree, since the former contributes to only part of the latter. Additional aerosol sources that contribute to the total AOD and are not represented in GFED include mostly scattering aerosols from anthropogenic sources such as industry and transportation. Furthermore, transportation of aerosols from neighbouring regions can also cause large differences.

Figure 2 shows the changes in AOD over the southern China region during the 10-year period examined, both on a grid cell basis from MODIS (Fig. 2a) and as spatially averaged time series from MODIS, CALIPSO and MISR (Figs. 2b, 2c and 2d). The grid cell-based changes in AOD (Fig. 2a) reveal an almost uniform reduction throughout the area, with stronger decreases over land. The time series of the deseasonalized spatially averaged monthly values of the AOD, separately from MODIS, CALIPSO and MISR, are shown in Figs. 2b, 2c and 2d, along with their linear regression fits and corresponding changes (in percent). The reduction in total AOD during the 10-year period is apparent and statistically significant in the 95% confidence interval in all three data sets. The levels of statistical significance on a grid cell basis, corresponding to Fig. 2a, are shown for MODIS AOD in supplementary Fig. S1. Similar results in terms of both spatial distribution and statistical significance of changes were acquired from the analysis of MISR total, ~~and~~ fine and coarse mode AODs (Fig. S2), showing that overall the fine mode AOD decreased slightly more rapidly than the coarse mode. Table S3 provides additional information on the time series analysis, i.e. slopes and p-values. The reduction in AOD reported here is in agreement with changes over the same region or wider Chinese regions during recent years, reported based on different satellite sensors, e.g. MODIS (He et al., 2016), MODIS and AATSR (Sogacheva et al., 2018) and MODIS and MISR (Zhao et al., 2017).

The seasonality variability of aerosols over the study region (Fig. 1) suggests that their changes could also exhibit seasonal variations. Hence, the time series changes were further analyzed in terms of their seasonal variability. Results for both AOD and emissions are shown in Fig. 3. For AOD (Fig. 3a), the main decrease occurs in autumn and early winter. All three data sets agree well in this seasonal pattern. Based on MISR, this decrease is driven primarily by fine mode and secondarily by coarse mode aerosols, as reported earlier, while dust aerosols show no significant change. The same analysis of the total mass of carbon particles (C) from local fire emissions (Fig. 3c) shows that the largest decrease in emitted particles occurs during late autumn to early spring, with a minimum in November, suggesting that this decrease could be attributed to changes in residential energy sources. This stems from the finding by He et al. (2011), that this activity dominates biomass burning emissions during this period. This explanation is also consistent with previous studies, which report a diminishing contribution of residential biomass burning, starting already in the 1990s (Qin and Xie, 2011; 2012; Streets et al., 2008), mainly through a replacement of fuelwood by electricity (Yevich and Logan, 2003). Furthermore, a direct comparison of changes in satellite-based AOD and surface emissions offers additional insights into the origins of these changes: the seasonal variation of changes in C emissions partially agrees with the total AOD change pattern, e.g. from November to January, when both decrease.

This coincidence suggests that large part of the aerosol load during these months probably originates from local biomass burning sources, leading to a coincidence in AOD and fire emission reductions. ~~Analysis of~~ ~~Further support to this hypothesis is given by~~ the CEDS data, ~~which indicates~~ that other anthropogenic emissions of black and organic carbon as well as nitrate and sulfate precursor gases may be of comparable magnitude ~~but are not expected to have strong~~. Unfortunately, ~~no information on~~ intra-annual variations ~~was available for most of these sources~~ (see supplementary Fig. S4). ~~Furthermore~~ ~~However~~, these emissions showed increases rather ~~that than~~ decreases over southern China in the period 2006-2014 (Fig. S54), and thus cannot explain the observed decrease in AOD.

~~As explained in Sect. 2.1, changes in precipitation are also a factor that can lead to changes in aerosol concentrations. For this reason, a similar analysis of GPCP precipitation data was performed, with the results shown in Fig. 5. The seasonality pattern (Fig. 5a) shows higher precipitation values appearing in summer months, compared to winter. Precipitation has overall increased by 11.2 % over the region during the study period (Fig. 5b), although not in a statistically significant sense. Examination of monthly changes, however, shows that this increase appeared mainly in autumn and early winter (September – December), largely coinciding with the decrease in aerosols (Fig. 3a), while a significant precipitation decrease occurred in June. Further correlation analysis showed that precipitation changes anti-correlate significantly with AOD changes from MODIS and MISR in September – December. These results suggest that wet removal played a role in the decrease in AOD reported for the same period.~~

3.2 Cloud characteristics and changes

The seasonality of main cloud properties over the study region, comprising total and liquid cloud cover, and optical thickness and effective radius for liquid clouds, is shown in Fig. 46. While the total cloud cover does not exhibit strong seasonal characteristics (Fig. 46a), varying between 0.7 and 0.8 throughout the year (based on CLARA-A2 and MODIS, respectively), liquid clouds appear to prevail from late autumn to early spring (Fig. 46b). A similar seasonal pattern appears in liquid COT (Fig. 6c), which is not necessarily related to the variation in the extent of liquid clouds. Liquid REFF ranges between 10 μm and 14 μm throughout the year (Fig. 6d). The LWP, which is proportional to the product of liquid COT and REFF, also varies seasonally, with higher values in winter (not shown here). The main driving factor for the seasonality in total and liquid cloud cover is the Asian Monsoon (AM). The monsoon season in summer is characterized by a larger fraction of high clouds with ice near the top, in particular convective clouds. In winter, low stratus/stratocumulus clouds prevail. Overall, there are more clouds in summer compared to winter, but more liquid clouds in winter (Pan et al., 2015). The prevalence of low, liquid clouds in winter, which are mostly single-layer clouds, is also verified based on CALIPSO data (Cai et al., 2017). On the other hand, in summer higher ice clouds, constituting about half of the CFC, probably shield a considerable amount of low liquid clouds.

Figure 5-7 shows grid cell based and spatially averaged changes in cloud properties over southern China during the period examined. The all-sky LWP and liquid CFC have increased over most parts of the land and significantly in most cases (Figs. 57a and 75b, with corresponding maps of statistical significance levels given in Fig. S56). In fact, Fig. 5-7 shows increases in all liquid cloud properties, with the largest increase found for the total liquid water content present in clouds (12%-14%). Liquid COT changes appear similar to those of LWP, with very good agreement between the two data sets (CLARA-A2 and MODIS), while liquid REFF changes are also positive but more ambiguous. Cloud changes appear statistically significant at the 95% level over large areas of the study region, especially over land, when studied on a grid cell basis. Analysis of spatially averaged values, however, over the entire ($5^\circ \times 10^\circ$) study region, reduces this significance to levels below 95% in most cases of Fig. 57 (see also Table S3). Overall, MODIS and CLARA-A2 are in good agreement and consistent in terms of the changes reported, with biases of around 10% appearing for liquid CFC (Fig. 75d) and REFF (Fig. 75f). ~~Figure S6 shows the spatial distributions and corresponding levels of significance for changes in liquid COT and REFF from CLARA-A2, while corresponding maps from MODIS, including the all-sky LWP and liquid CFC, are shown in Fig. S7.~~

The increase in all-sky LWP appears much larger than the increase in liquid CFC, suggesting an increase in cloud geometrical thickness and thus higher cloud tops. Therefore an additional analysis on Cloud Top Height (CTH) from CLARA-A2 and MODIS was performed. Results are presented in supplementary Fig. S8, showing that indeed CTH increased during the study period (Fig. S8b), and in fact this increase occurred in late autumn and early winter (Fig. S8c). While these signs of change are consistent with the previous explanation, the lack of statistical significance in CTH changes, along with differences between the two data records, renders further conclusions dubious. Furthermore, CTH refers to all clouds, and a change in liquid CFC would also change the mean CTH, making interpretations more difficult.

The long time range available from CLARA-A2 data (34 years, starting in 1982) offers the opportunity for further evaluation of the cloud properties changes reported before, especially with respect to changes during the past three decades. For this purpose, changes from all possible time ranges, at least 10 years long and starting from 1982 onward, were estimated for the study region. Results, shown in Fig. 68, suggest that the ranges of changes reported in Fig. 57 are not typical of the entire 34-year CLARA-A2 period. Specifically, for LWP, liquid CFC and liquid COT, the largest increases occur when the time range examined ends within the last five years of the CLARA-A2 period (2011-2015), indicating that corresponding values reached maxima during these years. Furthermore, for liquid REFF, a switch in the sign of change appears in the last years: while liquid REFF is mainly decreasing for most start and end year combinations, only positive changes appear after 2003, indicating a consistent increase during the last years. It should be noted that abrupt changes appearing in the plots of Fig. 68 should be attributed to artifacts especially in the early years of the CLARA-A2 data record. Specifically, negative changes in liquid CFC occurring for starting years between 1988 and 1994 coincide with the period when AVHRR on NOAA-11 was operational, which caused a small discontinuity in the time series. Additionally, the switch from channel 3b (at 3.7 μm) to channel 3a (at 1.6 μm) on NOAA-16 AVHRR during 2001-2003 caused a discontinuity in the cloud property time series, most prominently visible for REFF. A similar, long time range analysis of aerosols was not possible, due to the lack of available aerosol data.

As for aerosols, the seasonality of cloud property changes was also analyzed. Figure 79 shows that the overall increase in liquid clouds during the 10-year period examined can be attributed to changes occurring mainly in November and December. In fact, the patterns of seasonal changes show that CLARA-A2 and MODIS agree very well, with an increase in LWP occurring primarily in December and secondarily in November (Fig. 7a9a), and liquid CFC increases prevailing also in November and December (Fig. 7b9b). Corresponding results for liquid COT and liquid REFF (Figs. 7c9c and 7d9d) indicate the similarity in change patterns between COT and LWP, and the ambiguity in the REFF change between CLARA-A2 and MODIS, especially in November. The liquid CFC change is statistically significant in the November case, while all other cloud property changes shown in Fig. 79 are significant in December. Corresponding levels of significance for all cloud properties and months examined, for both CLARA-A2 and MODIS, are provided in Table S7S9.

3.3 Summary of aerosol and cloud seasonal changes

The results presented in the previous section show that during the 10-year study period, monthly changes in cloud properties and GFED emissions occurred almost exclusively in November and December (Figs. 3b and 79), while AOD changes also occurred in earlier autumn months (Fig. 3a). To add robustness to our findings, and realizing that averaging over full seasons will dilute the results too much, we have further aggregated the aerosol and cloud parameters to two-month periods. Table 1 summarizes the changes in GFED emissions, AOD, ~~and~~ liquid clouds and precipitation on a bimonthly basis, with statistically significant changes highlighted in bold. This analysis makes clear that the period September-December drove the AOD changes found in the 10-year period examined, with significant decreases by about 40%, while GFED emissions only changed significantly in November-December. As mentioned before, liquid cloud changes occurred mainly in November and December, with liquid CFC increasing by around 40% and LWP almost doubling. Precipitation also increased significantly in November and December, showing consistency with other cloud changes (increase in LWP, CTH), and providing a

possible explanation for part of the aerosol reduction. Hence Overall, there is a concurrence of substantial aerosol and cloud variations in late autumn and early winter.

Further statistical analysis for November-December showed that there is indeed a strong, statistically significant anti-correlation between GFED emissions and AOD, on one side, and liquid cloud CFC and LWP, on the other. A similar anti-correlation appears with CTH, but it is more ambiguous since it is significant only in the case of MODIS CTH. Results for all possible combinations examined are shown in Table 2, with statistically significant correlation coefficients in the 95% confidence interval highlighted in bold. These results reveal a-persistent anti-correlations, independently from the aerosol or cloud data sets used. The same analysis was performed for the entire seasonal cycle, showing that, apart from some spurious cases, significant correlations occur consistently only in November-December (Table S810).

An important question is which mechanisms could explain the concurrent variation of aerosol and cloud properties. A first possibility is that large-scale meteorological variability affects both aerosols and clouds simultaneously. Secondly, local-scale ACI and/or ARI mechanisms would lead to cloud changes as a result of aerosol changes. A combination of these factors should not be excluded either. A second question arising from the previous results, is why significant cloud changes occur in November-December only, while aerosols change significantly also in September-October (Table 1). We attempt to address these questions in the following section.

4 Discussion

4.1 Possible effects of meteorological variability and large-scale phenomena

In order to analyse meteorological variability, namely changes in atmospheric circulation patterns and their possible role in the changes reported before, we used surface pressure (P_s) and 500 hPa geopotential height (Z_{500}) fields from the Copernicus Atmospheric Monitoring Service (CAMS) reanalysis data record (Flemming et al., 2015; 2017). These data sets are available on a monthly basis and at $1^\circ \times 1^\circ$ spatial resolution. Similarly to the aerosol and cloud properties, the analysis was based on deseasonalized linear regressions of the entire time series of monthly averages, as well as changes on a monthly basis, focusing especially on months when aerosol and cloud changes maximize (i.e. November-December). For this analysis, however, the study area was extended by 10° in every direction, to include large-scale patterns that could be affecting the southern China region.

Results of this analysis are shown in supplementary Fig. S11, in terms of both average values of Z_{500} and P_s (Figs. S11a and S11c, respectively) and changes during 2006-2015 (Figs. S11b and S11d, respectively). Average values of P_s and Z_{500} follow the topography of the region, with lower values over areas with higher elevation. The patterns of changes appear different, with a south-to-north gradient in Z_{500} (Fig. S11b) and some P_s increases and decreases over sea and land, respectively (Fig. S11d). This analysis, however, showed 500 hPa geopotential height that Z_{500} changes at the grid cell level are in the order of several meters and P_s surface pressure changes are just a fraction of 1 hPa. Even for specific months, P_s changes are up to a few hPa, none of which were with no statistically significant, when either the entire time series or specific months were examined. These results suggest that meteorological variability is not among the major factors contributing to the aerosol and cloud changes reported.

Changes in atmospheric circulation could also be related to larger scale phenomena affecting the wider South-East Asia region, namely the El Nino Southern Oscillation (ENSO) and Asian Monsoon (AM) cycles. Regarding possible effects of ENSO over southern China, the Oceanic Nino Index (ONI) was used to examine possible correlations between ENSO and the aerosol and cloud properties analysed here. ONI is the National Oceanic and Atmospheric Administration (NOAA) primary indicator for measuring ENSO; it is defined as the 3-month running Sea Surface Temperature (SST) anomaly in the Nino 3.4 region, based on a set of improved homogeneous SST analyses (Huang et al., 2017). This analysis showed no particular correlation between ONI and cloud or aerosol properties; Correlation coefficients were around -0.2 for the entire

time series and slightly larger for specific months. A very similar, not significant, anti-correlation between ENSO and low cloud amount was found by Liu et al. (2016), examining the entire China and the period 1951-2014.

The overall effects of AM on the area are most pronounced in summer. Although AM is known to affect aerosol concentrations (through wet deposition during the raining season) and cloud cover, this seasonality pattern does not coincide temporally with the seasonal aerosol and cloud changes reported here. Furthermore, it is known that AM and ENSO are strongly correlated (Li et al. 2016), hence the effects of the former on these changes are expected to be similarly insignificant with those of the latter.

A similar additional analysis was performed for surface air temperature (T_{2m}), which is the variable most closely associated with climate change. T_{2m} reanalysis data from ERA-Interim data set were used for this purpose (Dee et al., 2011). No significant change was detected in T_{2m} over the study region during the period examined, either in the entire time series, or when examining each month separately. It is interesting to note, however, that a relatively strong decrease (although not statistically significant) took place in November–December, coinciding with the increase in cloud properties (Table 1). A possible explanation for this coincidence would be that more clouds over the region led to less solar radiation reaching the surface, thus reducing the surface air temperature.

4.2 Possible effects of ACIs and ARIs

Although cause and effect mechanisms cannot be proven based on observations only, possible underlying ACI and ARI mechanisms are worth investigating, since the combination of aerosol and cloud changes can also be used to exclude some of them.

Following this approach, our results appear inconsistent with the standard definitions of the first and second aerosol indirect effects, although the possibility of multiple mechanisms occurring simultaneously cannot be excluded. Specifically, according to the first aerosol indirect effect, a decrease in aerosols would lead to an increase in cloud droplet size, under constant liquid water content. In our case, while both CLARA-A2 and MODIS indicate an overall increase in liquid REFF (Fig. 57f), these changes do not coincide seasonally with any significant aerosol change (Fig. 3). In fact, mixed signs in liquid REFF change were observed in November (Fig. 97d). Additionally, the LWP increases considerably, suggesting that the first indirect effect mechanism does not play a major role. Furthermore, the already high aerosol loads over the region in the recent past may have led to a saturation in the role of cloud condensation nuclei (CCN) to droplet formation. According to the second aerosol indirect effect, a decrease in aerosols implies reduced cloud life time through more rapid precipitation.

~~However~~While an increase in precipitation coinciding with a decrease in aerosols was reported, the an increase in ~~observed~~ liquid cloud fraction was also observed, suggesting increased cloud life time, which is contrary to this mechanism.

Contrary to the first and second aerosol indirect effects, the semi-direct effect cannot be readily excluded as an explanatory process, since the signs of changes of all aerosol and cloud variables presented here are consistent with what would be expected based on this mechanism. Specifically, this effect predicts that decreasing absorbing aerosols inside the cloud layers would lead to reduced evaporation of cloud droplets and hence increased cloudiness and cloud water content. It is important noting that this mechanism holds primarily for absorbing aerosols, such as biomass burning particles, while aerosols from air pollution can also be absorbing. Based on the GFED emissions analysed here, there are strong indications that at least in the November-December case, aerosol changes refer mainly to absorbing aerosols. It is also important noting that the position of the aerosols relative to the cloud layer determines the sign of the semi-direct effect: a decrease in aerosols will lead to increased cloudiness only if the aerosols are at the same level with clouds. If the aerosols are above clouds, the effect will be the opposite (Koch and Del Genio, 2010).

4.3 Profiles of aerosol and cloud changes

In order to further examine the possibility of the semi-direct effect as an underlying mechanism, an analysis of the vertically resolved changes in aerosol extinction profiles was conducted, based on CALIPSO data, combined with typical values of cloud extinction profiles for this region. September-October and November-December were selected, since they exhibit a significant decrease in aerosols, with the main difference being that in November-December GFED changes suggest that a decrease in biomass burning emissions contributed to the corresponding decrease in aerosols (Table 1 and Fig. 3b).

Additionally, November and December are the months when cloud changes were prominent. Figure 108a shows the typical profile of cloud extinction in autumn over southern China, available from the LIVAS data set (Lidar climatology of Vertical Aerosol Structure for space-based lidar simulation studies; Amiridis et al., 2015) based on measurements from 2007 to 2011.

It is apparent that low clouds prevail during this season. Figures 108b and 108c show, for the same height range, changes in the aerosol extinction profiles in September-October and November-December during 2007-2015. In September-October, changes occurred mainly at an elevated altitude. When compared with the cloud extinction profile, it appears that the decrease in aerosols tended to occur mostly above clouds. In November-December, however, the decrease was more pronounced towards the surface. In fact, the shape of the profile change suggests that most of the November-December decrease occurred near or within clouds. The aerosol profile change in November-December is also consistent with our previous conclusion on the local origin of aerosols, based on Fig. 3b. A decrease in aerosols from local sources is expected to be proportional to their typical profile (higher concentrations at lower atmospheric levels). It should be noted here, that the uncertainty in aerosol extinction profiles retrieval from CALIPSO increases in lower atmospheric layers (Young et al., 2013), thus decreasing the confidence in the results towards the surface. The vertically resolved analysis of aerosol changes showed that the significance level in September-October (Fig. 108b) exceeds 95% between 1.3 km and 2.5 km altitude, while changes in November-December are significant between 0.6 km-1.0 km and 2.0-2.5 km.

These results show consistency with an aerosol semi-direct effect mechanism acting under decreasing aerosol loads in the November-December case. Specifically, the decrease in biomass burning aerosols within clouds in these months coincides with an increase in liquid cloud fraction and water content in low liquid clouds (Figs. 97a, 97b), with a significant anti-correlation (Table 2). The decrease in aerosols above clouds (September-October case), on the other hand, has no coincidence with any significant cloud change. A possible explanation for this difference between the two periods examined is that in September and October aerosols are not strongly absorbing, compared to the November-December case. The lack of any significant change in GFED emissions during these two months supports this conclusion. ~~In the November-December case, however, the positions of aerosols and clouds and their signs of changes agree well with the semi-direct effect mechanism prediction: fewer absorbing aerosols within clouds would lead to more and thicker clouds, by reducing cloud evaporation.~~

5 Summary

In the present study, aerosol and cloud characteristics and changes were analysed based on a ~~synergistic combined~~ use of multiple independent remote sensing data sets. The study focused on the southern China region, which is characterised by intense aerosol-producing human activities, while a significant decrease in aerosol loads has previously been reported. In agreement to these previous reports, it was found that aerosol loads over the region decreased significantly in autumn and early winter months, specifically in September-December. This decrease could be partially attributed to an increase in precipitation, which occurred roughly during the same months. ~~and this~~ The decrease in aerosols also coincided with large decreases in biomass burning emissions in November and December. Concurrent changes in liquid cloud fraction and water path were observed in these two months, with notable increases in both. Possible physical mechanisms that could be causing these cloud changes were analysed, including interannual meteorological variability, the ENSO phenomenon and the Asian

Monsoon, which largely drives the seasonal behaviour of clouds over the region. However, no apparent connection was found between these phenomena and the cloud changes reported here.

The possibility of interactions between aerosols and clouds having played a role in the cloud changes was also examined, although no cause-and-effect mechanism can be established based on observations only. However, the first and second aerosol indirect effects could be excluded as dominant mechanisms by noting that the signs of changes of aerosols and cloud properties are inconsistent with the predictions of these mechanisms. This approach, however, is not sufficient to exclude the possibility of a semi-direct effect occurring under decreasing aerosol loads, whereby further analysis of vertical profile observations showed that the decrease in aerosol loads occurred at low elevations, where the liquid clouds are typically positioned. It was concluded that the observed aerosol and cloud changes are in agreement with the predictions of the aerosol semi-direct effect, by which less absorbing aerosols residing in liquid clouds would lead to a reduction in cloud evaporation and a corresponding increase in cloud cover and LWP. The aerosol and cloud changes and correlations observed in November-December are consistent with this mechanism. Further analysis of vertical profiles indicated that the decreases in aerosol loads in September-October occurred at higher elevations, possibly because they were not related to local emissions, which may explain why these aerosol decreases were not accompanied by significant changes in cloud properties. In the months September and October the decrease in AOD occurred at higher elevations and could not be related to a decrease in local biomass burning emissions. In line with this, a similar cloud response like in November and December was not observed.

The aerosol semi-direct effect has been studied in the past through both model simulations (e.g. Allen and Sherwood, 2010; Ghan et al., 2012) and analysis of observations (e.g. Wilcox, 2012; Amiri-Farahani et al., 2017). While its magnitude on a global average scale appears less pronounced compared to indirect aerosol effects, it has been shown that on local scales and in specific aerosol-cloud regimes its consequences can be significant. Here, it should be stressed here that the combined analysis of different aerosol and cloud data sets showed a high level of consistency with predictions of this mechanism. It should be stressed however, that apart from can only provide strong indications, these results do not without constitute evidence of proving any cause and effect mechanism, which cannot be proved based on observations only. This analysis rather represents a contribution to the observational approaches in aerosol-cloud-radiation interaction studies, highlighting both the possibilities and limitations of these approaches. To overcome some of these limitations, further research should focus on model simulations of the conditions described here, in order to provide more insights regarding the underlying physical mechanism.

Author contributions

N.B. and J.F.M. developed the methodology and performed the analysis. All authors contributed in interpreting the results, writing, editing and finalizing the manuscript.

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Table 1. Relative change (in % for all data except for T_{2m} in K) of two-monthly emission, aerosol, ~~and cloud~~, precipitation and T_{2m} parameters over southern China during the period from 2006 to -2015 (2007 to -2015 for CALIPSO AOD). Significant changes are indicated with boldface.

parameter	Jan+Feb	Mar+Apr	May+Jun	Jul+Aug	Sep+Oct	Nov+Dec
GFED carbon emissions	-58	12	-10	56	60	-99
CALIPSO total AOD	-2	-14	-11	-12	-42	-34
MODIS total AOD	-10	10	0	-24	-38	-35
MISR total AOD	-8	7	3	-20	-39	-35
MISR fine mode AOD	-11	2	3	-19	-40	-41
<u>MISR coarse mode AOD</u>	<u>-6</u>	<u>16</u>	<u>5</u>	<u>-24</u>	<u>-38</u>	<u>-27</u>
CLARA liquid CFC	-3	-1	-1	-3	-3	35
MODIS liquid CFC	-1	1	0	2	-5	42
CLARA all-sky LWP	-1	-4	-20	3	17	92
MODIS all-sky LWP	-4	-7	-23	18	22	80
<u>CLARA CTH</u>	<u>-2</u>	<u>-5</u>	<u>4</u>	<u>3</u>	<u>3</u>	<u>11</u>
<u>MODIS CTH</u>	<u>-1</u>	<u>-8</u>	<u>2</u>	<u>7</u>	<u>3</u>	<u>41</u>
<u>GPCP precipitation</u>	<u>-22</u>	<u>13</u>	<u>-10</u>	<u>1</u>	<u>36</u>	<u>208</u>
<u>ERA T_{2m} (in K)</u>	<u>-0.67</u>	<u>-0.12</u>	<u>0.92</u>	<u>-0.40</u>	<u>-0.66</u>	<u>-1.32</u>

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Table 2. Linear correlation coefficients of November-December-mean emission and AOD time series with cloud properties and precipitation -time series over southern China during the period from 2006 to -2015 (2007 to -2015 for CALIPSO AOD). Significant correlations are indicated with boldface.

parameter	CLARA liquid CFC	MODIS liquid CFC	CLARA all-sky LWP	MODIS all-sky LWP	<u>CLARA CTH</u>	<u>MODIS CTH</u>	<u>GPCP precipitation</u>
GFED carbon emissions	-0.51	-0.51	-0.69	-0.75			
CALIPSO total AOD	-0.77	-0.75	-0.69	-0.71	<u>0.34</u>	<u>-0.34</u>	<u>-0.25</u>
MODIS total AOD	-0.76	-0.81	-0.75	-0.84	<u>-0.14</u>	<u>-0.74</u>	<u>-0.63</u>
MISR total AOD	-0.66	-0.74	-0.66	-0.81	<u>-0.27</u>	<u>-0.73</u>	<u>-0.70</u>
MISR fine AOD	-0.66	-0.74	-0.70	-0.84	<u>-0.30</u>	<u>-0.78</u>	<u>-0.71</u>
<u>MISR coarse AOD</u>	<u>-0.62</u>	<u>-0.69</u>	<u>-0.55</u>	<u>-0.72</u>	<u>-0.21</u>	<u>-0.60</u>	<u>-0.65</u>

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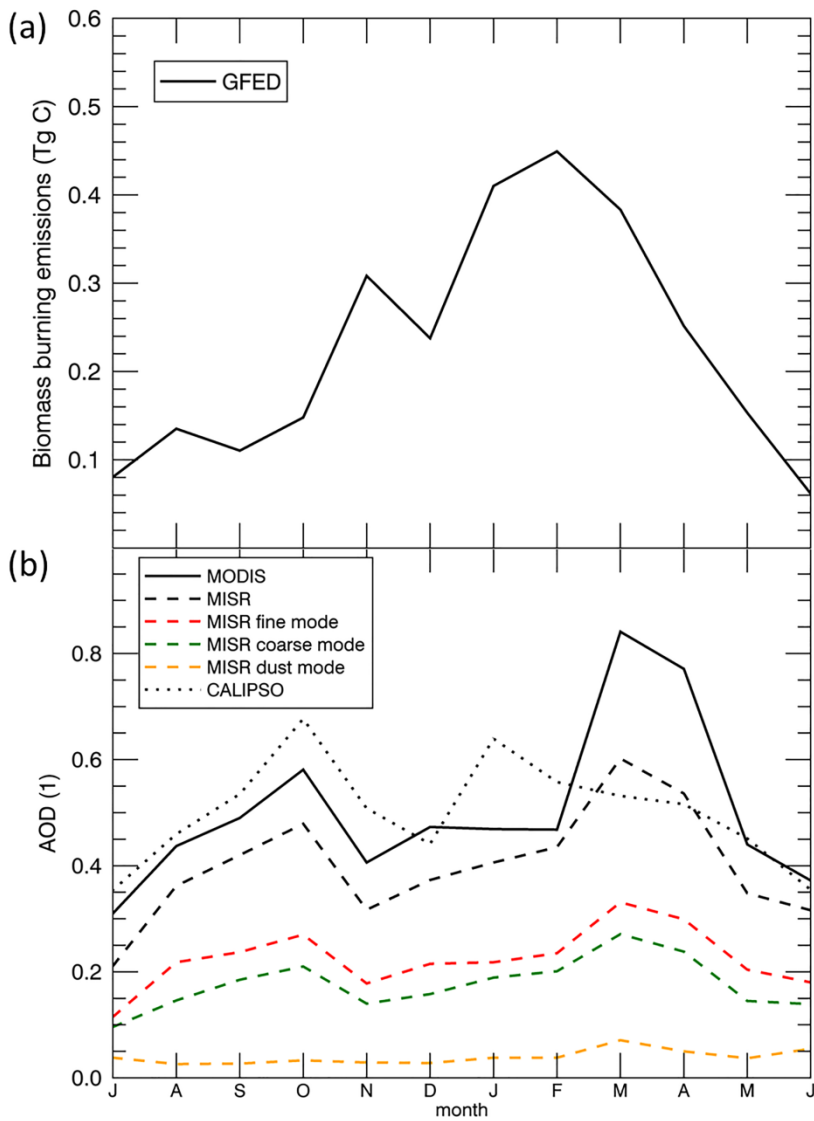


Figure 1. Seasonal variations in biomass burning emissions and aerosols over southern China, based on the period 2006-2015. (a) GFED biomass burning emissions (Tg C), (b) AOD from MODIS, MISR and CALIPSO, including MISR fine, coarse and dust mode AOD. Note that the horizontal axis starts in July and ends in June.

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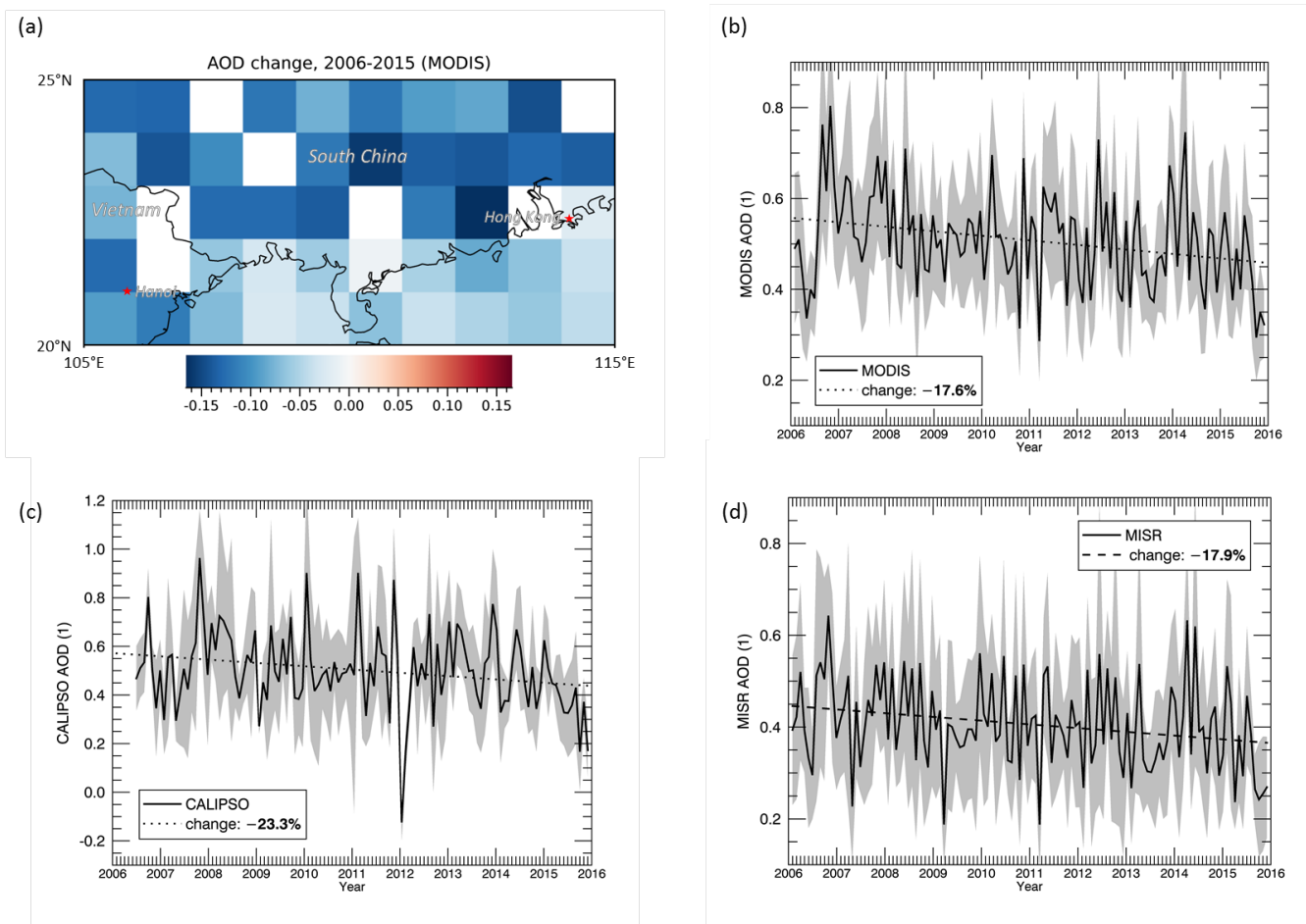


Figure 2. Changes in AOD over southern China **during from 2006 to 2015**. (a) Spatial distribution of AOD change over the study region deduced from MODIS data. Spatially averaged monthly deseasonalized values of AOD from MODIS (b), CALIPSO (c), and MISR (d). Shaded areas correspond to one standard deviation of the grid-scale monthly averages. Dotted lines correspond to linear regression fits. Percent changes during the period examined are also shown, with the statistically significant ones indicated in bold.

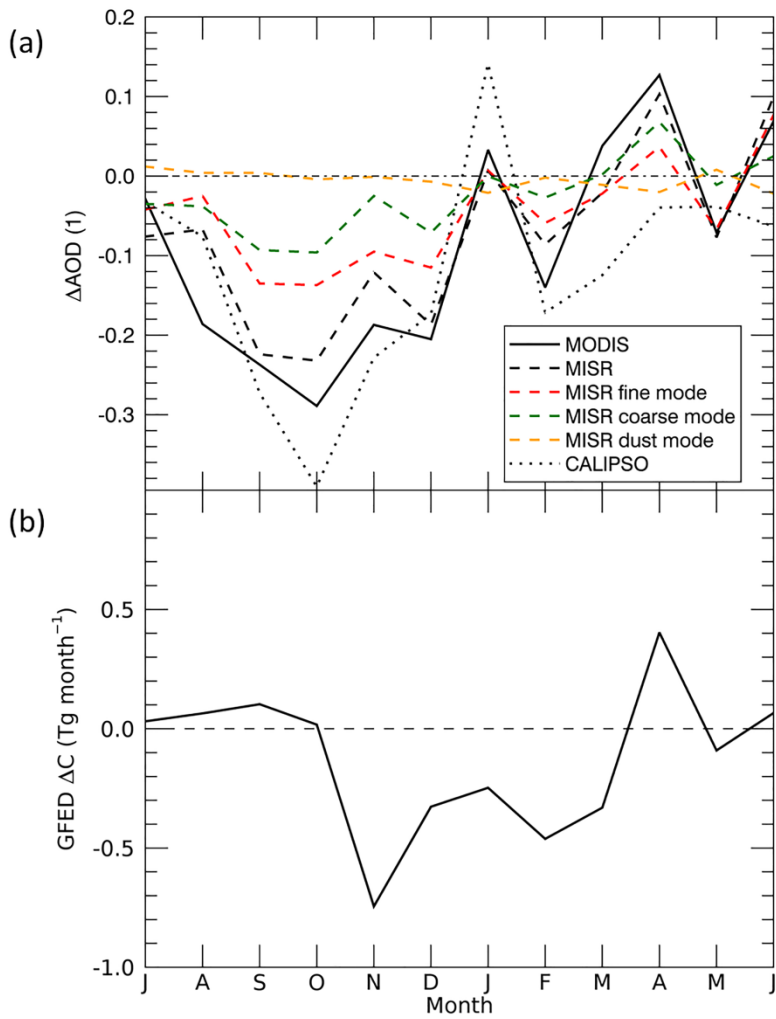


Figure 3. Seasonal variation of changes in aerosols and emissions over southern China. (a) AOD changes from 2006 to 2015 deduced from MODIS, MISR and CALIPSO data. (b) Biomass burning aerosol emission changes from 2006 to 2015 based on GFED data.

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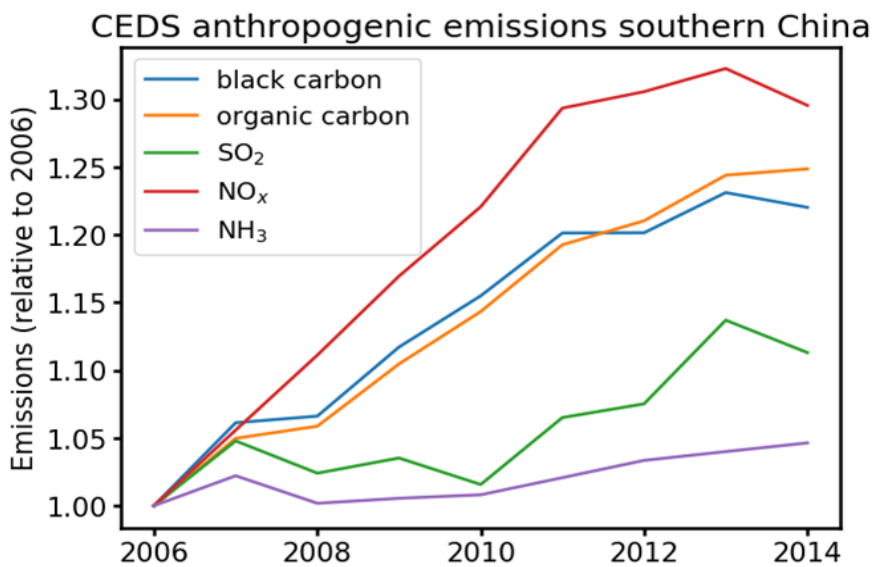


Figure 4. Emissions of aerosols and precursor gases from the Community Emissions Data System (CEDS). The emissions have been aggregated to annual totals over the southern China study area and plotted relative to the year 2006.

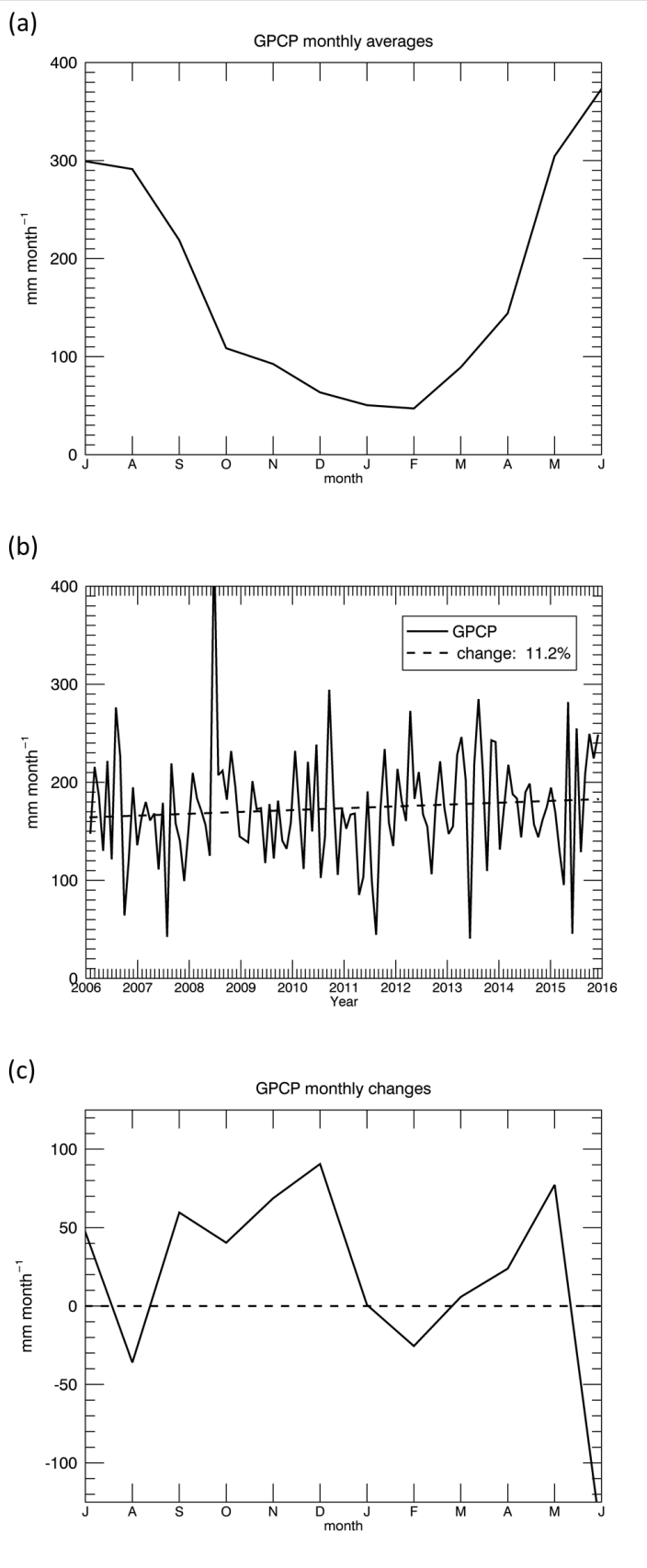


Figure 5. (a) Seasonal variation of precipitation in the southern China study region based on Global Precipitation Climatology Project (GPCP) data. (b) Corresponding spatially averaged monthly deseasonalized values. The dotted line corresponds to the linear regression fit. (c) Seasonal variation of changes in GPCP precipitation. Seasonal averages and changes in (a) and (c) are based on data from the period 2006-2015.

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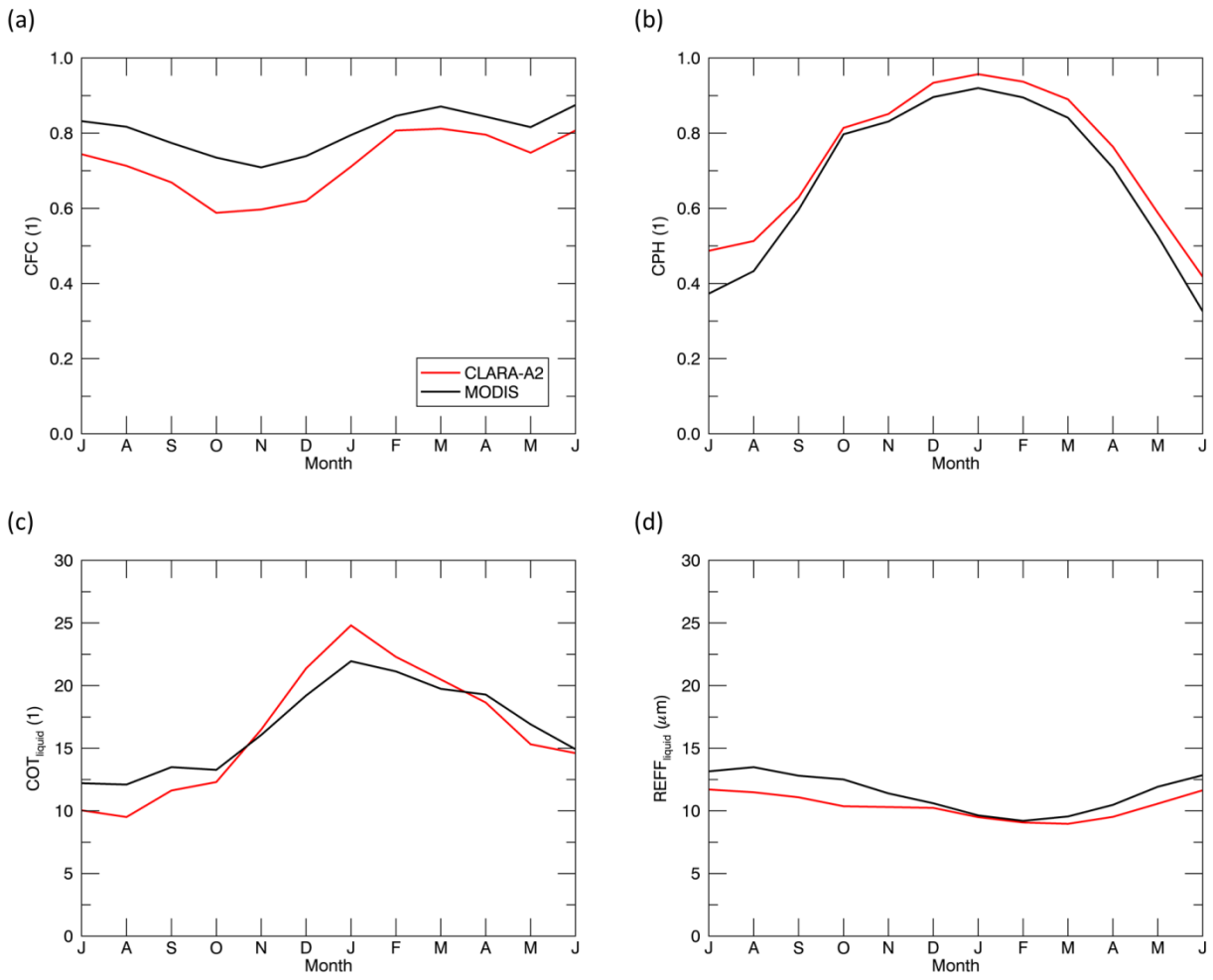


Figure 64. Seasonal variations in cloud properties over southern China, based on CLARA-A2 and MODIS data, during the period 2006-2015. (a) Total CFC, (b) cloud phase (CPH; fraction of liquid clouds relative to total CFC), (c) COT for liquid clouds and (d)

5 REFF.

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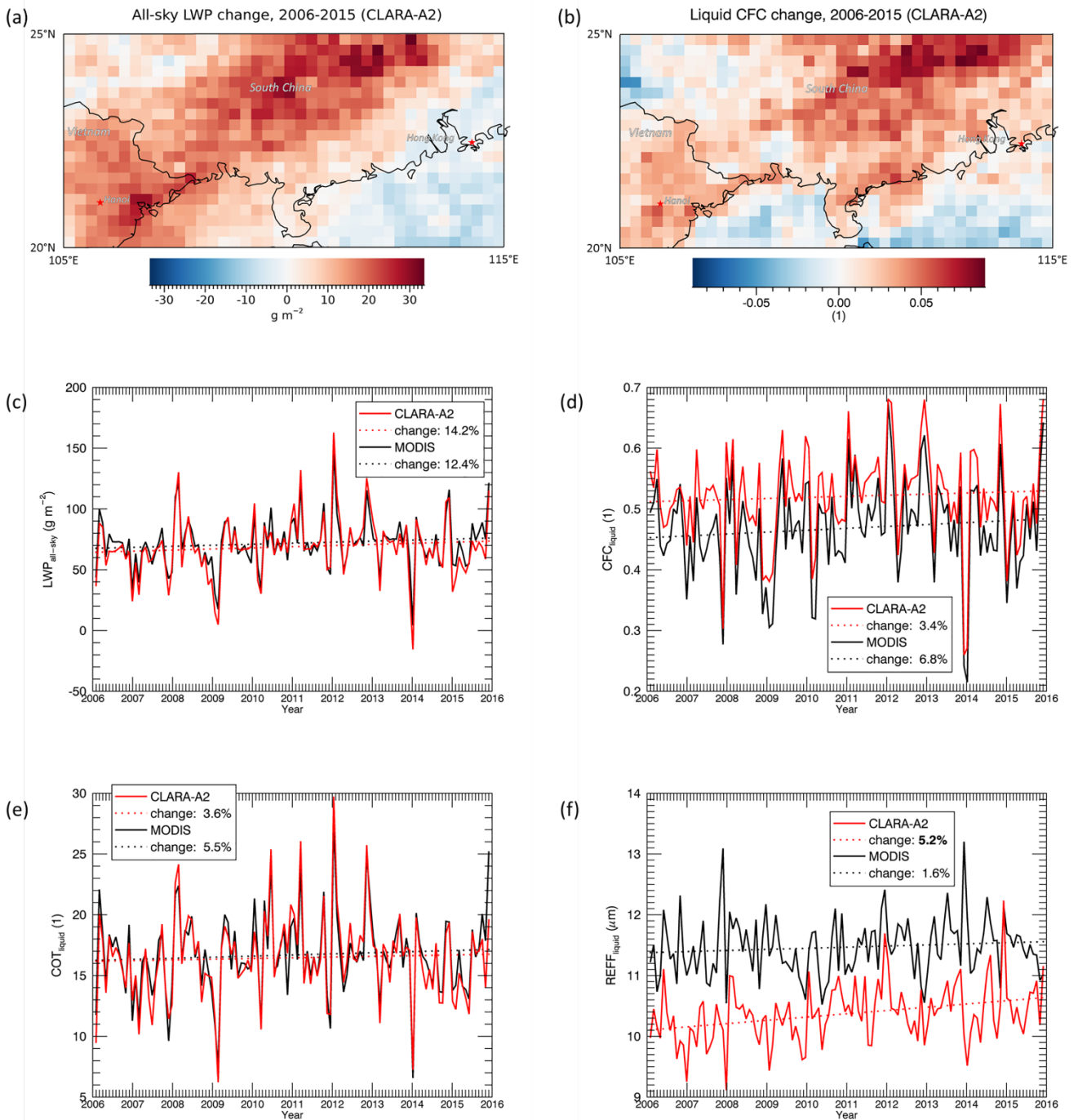


Figure 75. Changes in cloud properties over southern China during from 2006 to 2015, based on CLARA-A2 and MODIS data. (a), (b) Spatial distributions of changes in all-sky LWP and liquid CFC based on CLARA-A2 data. Spatially averaged monthly deseasonalized values of all-sky LWP (c), liquid CFC (d), liquid COT (e) and REFF (f). Percent changes during the period examined are also shown, with the statistically significant ones (only CLARA-A2 liquid REFF) indicated in bold.

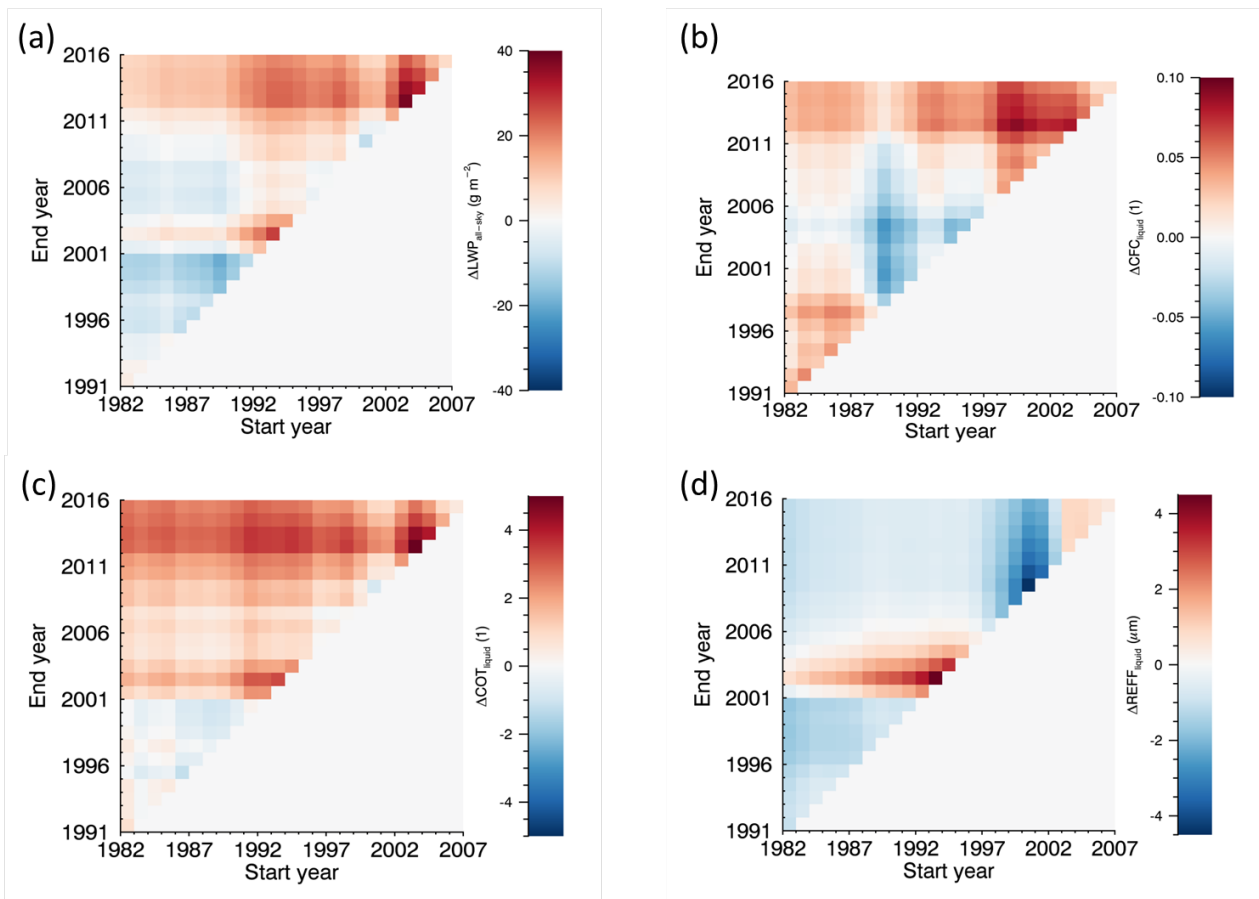


Figure 68. Changes in liquid cloud properties over southern China, based on 34 years of CLARA-A2 data (1982-2015) and estimated for all possible combinations of start and end years, with a minimum time range of 10 years. The four plots show corresponding changes in (a) all-sky LWP, (b) liquid CFC, (c) liquid COT and (d) liquid REFF.

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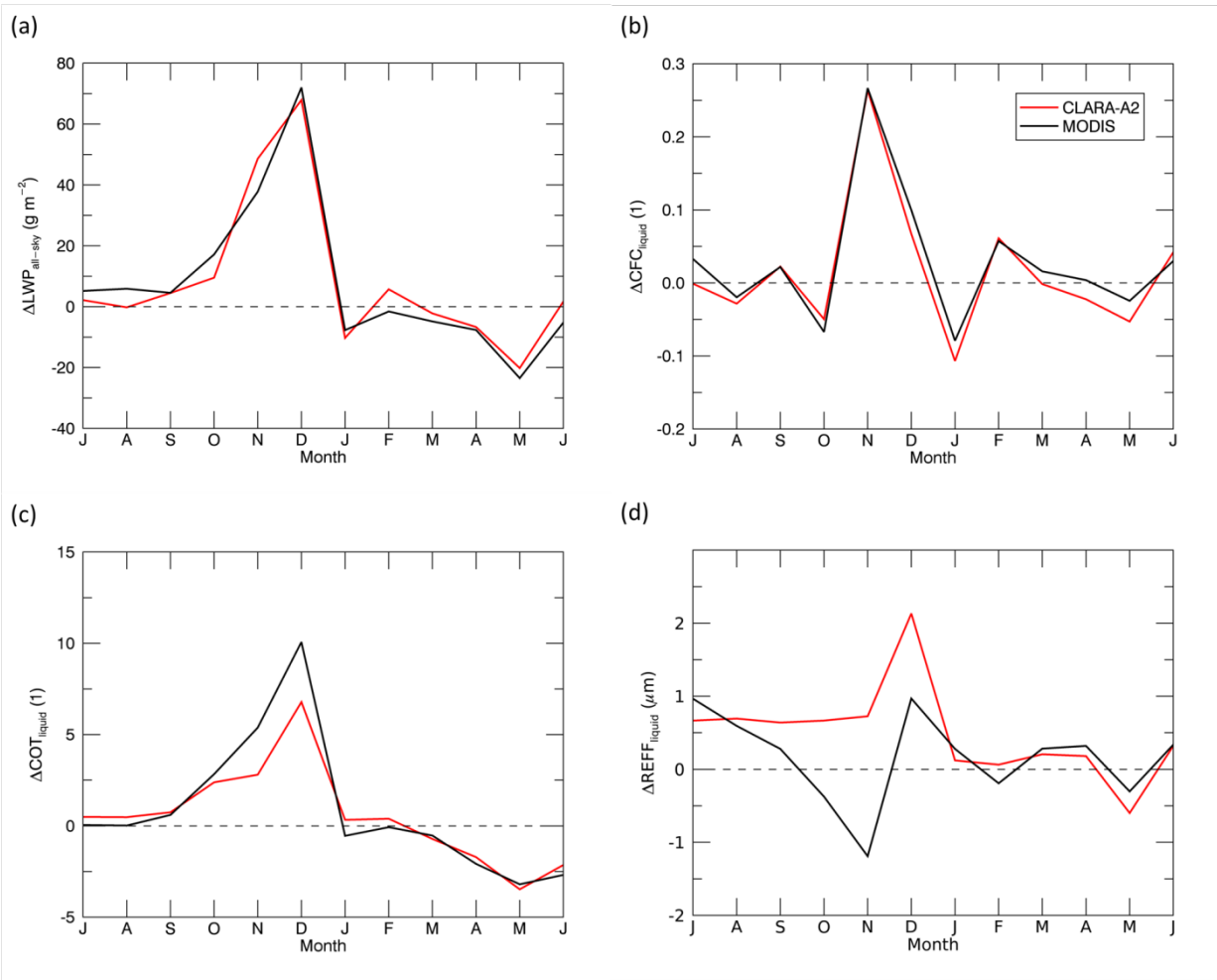


Figure 97. Seasonal variation of changes in liquid cloud properties over southern China. (a) all-sky LWP, (b) liquid CFC, (c) liquid COT and (d) liquid REFF changes from 2006 to 2015 based on CLARA-A2 and MODIS data.

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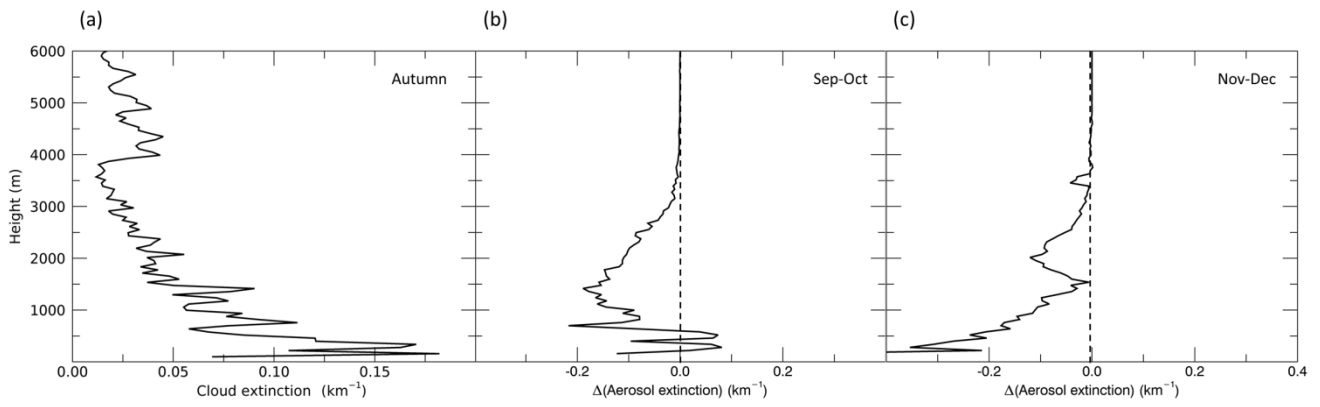


Figure 810. Profiles of cloud and aerosol changes over southern China. (a) Cloud extinction in autumn (September-November), estimated based on LIVAS CALIPSO data from 2007-2011. Aerosol extinction change for September-October (b) and November-December (c) based on CALIPSO level 3 data from 2007-2015.

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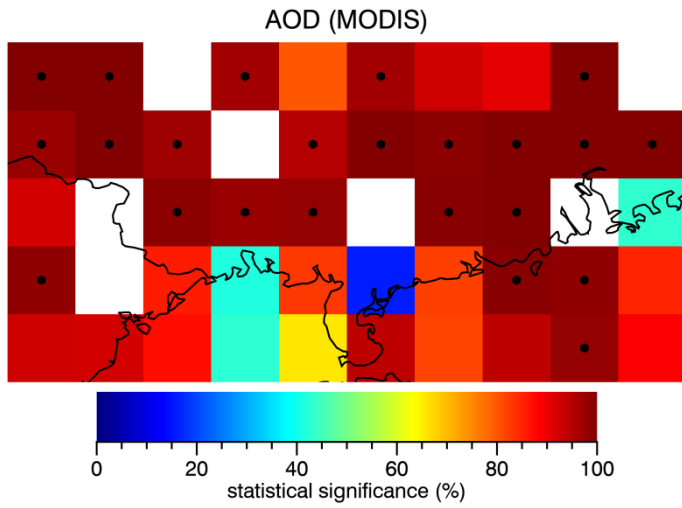


Figure S1. Levels of statistical significance for MODIS AOD changes over southern China, calculated for the period 2006-2015. The black dots highlight the grid cells where the level of statistical significance is higher than 95%. White areas correspond to grid cells where the threshold regarding time series completeness was not met.

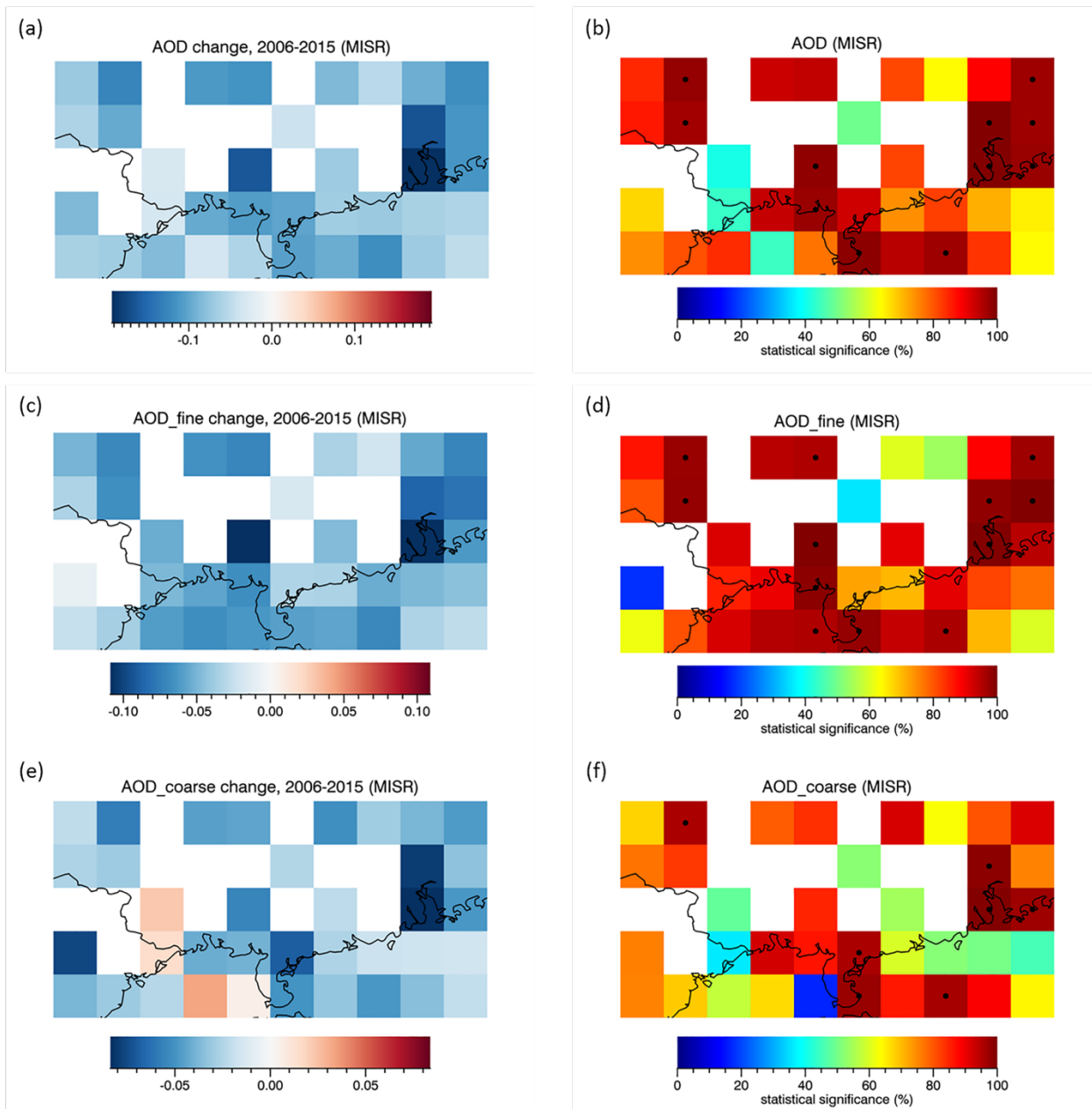


Figure S2. Changes in total, and fine and coarse mode AOD over southern China during 2006-2015 deduced from MISR data. (a, c, e) Spatial distributions of total and, fine and coarse mode AOD change over the study region and (b, d, f) corresponding levels of statistical significance. The black dots in (b), (d) and (f) highlight the grid cells where the level of statistical significance is higher than 95%. White areas correspond to grid cells where the threshold regarding time series completeness was not met.

Table S3. Statistical measures of changes in AOD and cloud properties over southern China in 2006-2015 based on data products from CALIPSO, MISR, MODIS and CLARA-A2. Measures comprise percent changes, slopes and p-values.

Parameter	Unit	CALIPSO	MISR	MODIS	CLARA-A2
		Change (%)/slope (<unit> yr ⁻¹)/p-value	Change (%)/slope (<unit> yr ⁻¹)/p-value	Change (%)/slope (<unit> yr ⁻¹)/p-values	change (%)/slope (<unit> yr ⁻¹)/p-value
Total AOD	1	-23.3/-0.013/0.013	-17.9/-0.008/0.007	-17.6/-0.010/0.002	
Fine AOD	1		-20.7/-0.005/0.005		
Coarse AOD	1		-14.3/<-0.001/0.042		
Dust AOD	1		-13.1/-0.001/0.332		
All-sky LWP	g m ⁻²			+12.4/0.837/0.204	+14.2/0.913/0.242
Liquid CFC	1			+6.8/0.003/0.219	+3.4/0.002/0.465
Liquid COT	1			+5.5/0.089/0.399	+3.6/0.058/0.607
Liquid REFF	μm			+1.6/0.018/0.239	+5.2/0.034/0.0003

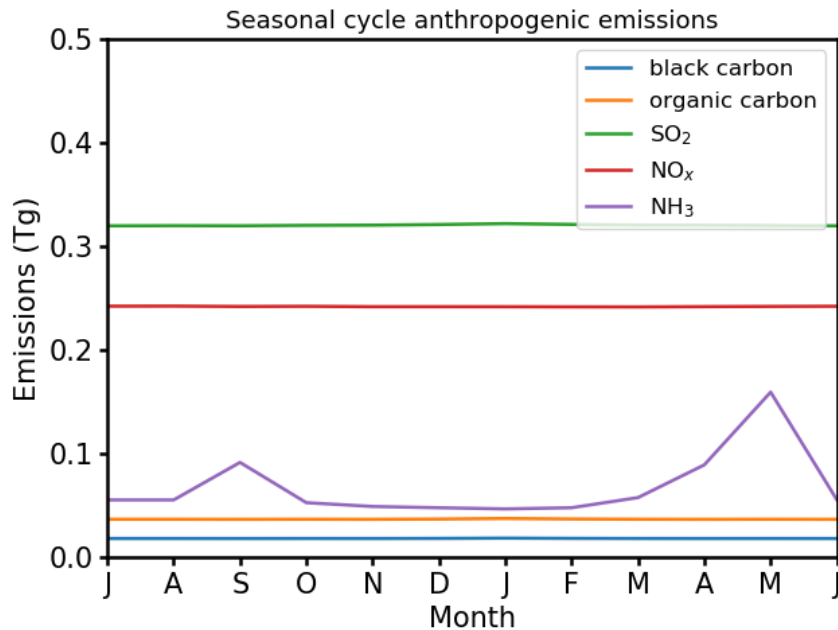


Figure S4. Seasonal variation of anthropogenic emissions of aerosols and precursor gases in the southern China study region from the Community Emissions Data System (CEDS). Monthly emissions have been averaged over the period 2006-2014. Reported values refer to full molecular mass for SO₂, NO_x, and NH₃ and to carbon mass for black and organic carbon.

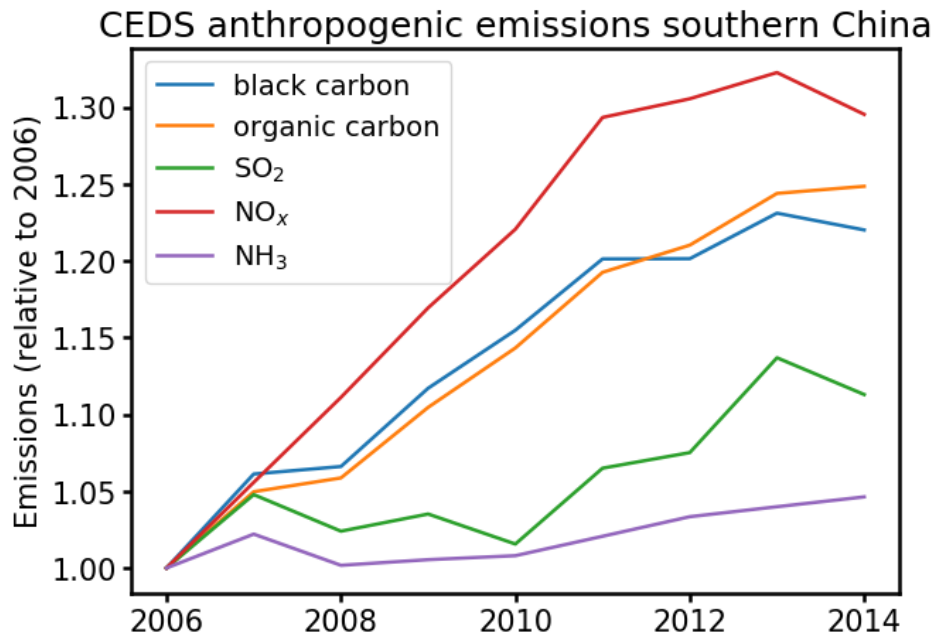


Figure S55. Emissions of aerosols and precursor gases from the Community Emissions Data System (CEDS). The emissions have been aggregated to annual totals over the southern China study area and plotted relative to the year 2006.

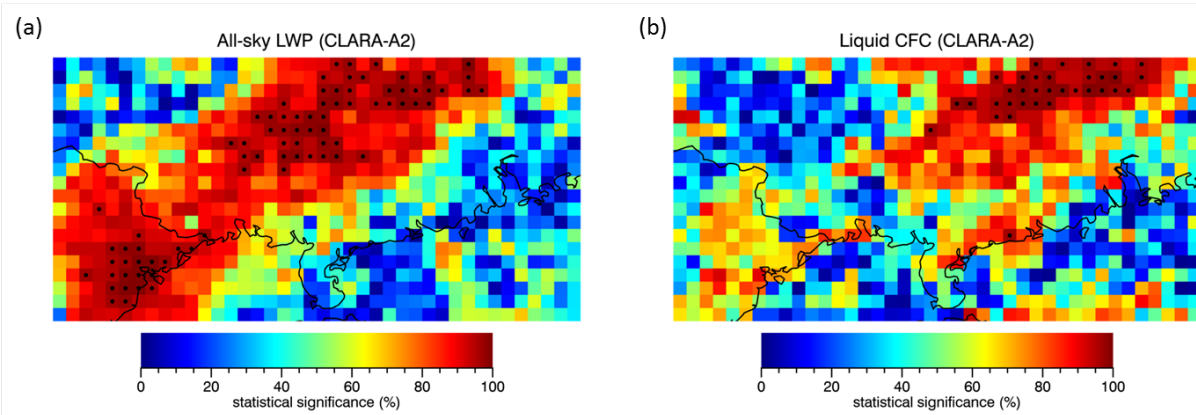


Figure S56. Levels of statistical significance for CLARA-A2 all-sky LWP and liquid CFC changes over southern China, calculated for the period 2006-2015. The black dots highlight the grid cells where the level of statistical significance is higher than 95%.

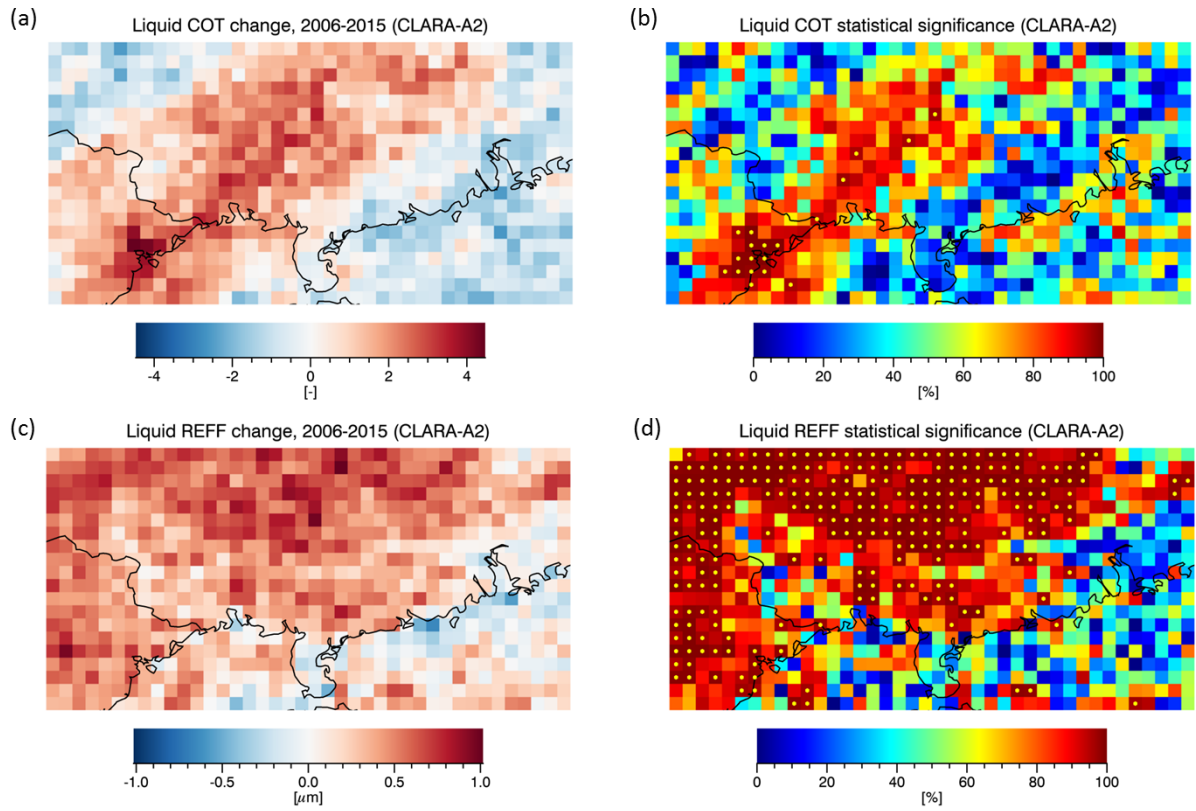


Figure S6. Spatial distributions (a, c) and levels of statistical significance (b, d) for CLARA-A2 liquid COT and REFF changes over southern China, calculated for the period 2006-2015. The yellow dots in (b) and (d) highlight the grid cells where the level of statistical significance is higher than 95%.

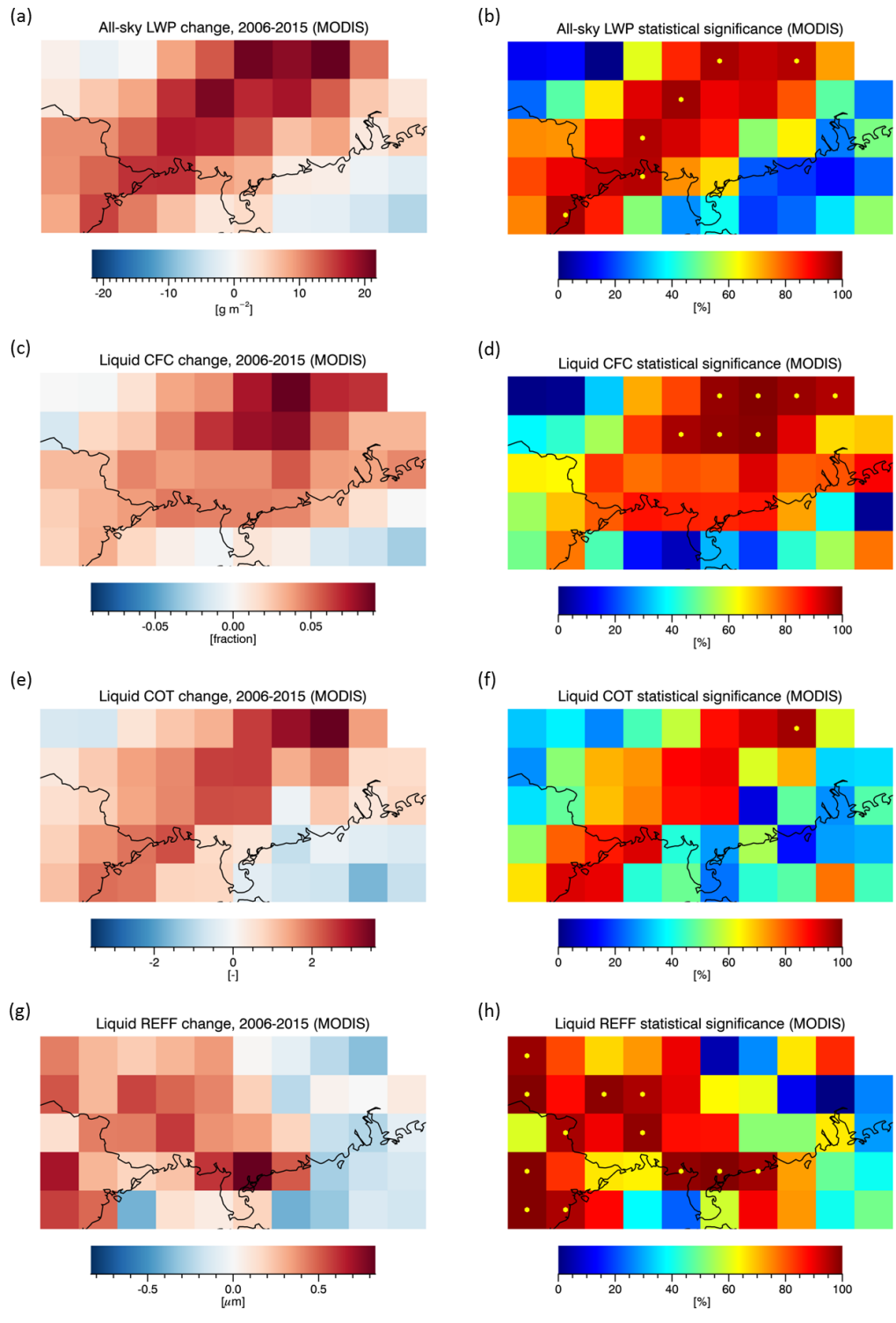


Figure S7. Spatial distributions (a, c, e, g) and levels of statistical significance (b, d, f, h) for MODIS all-sky LWP, liquid CFC, COT and REFF changes over southern China, calculated for the period 2006-2015. The yellow dots in (b), (d), (f) and (h) highlight the grid cells where the level of statistical significance is higher than 95%.

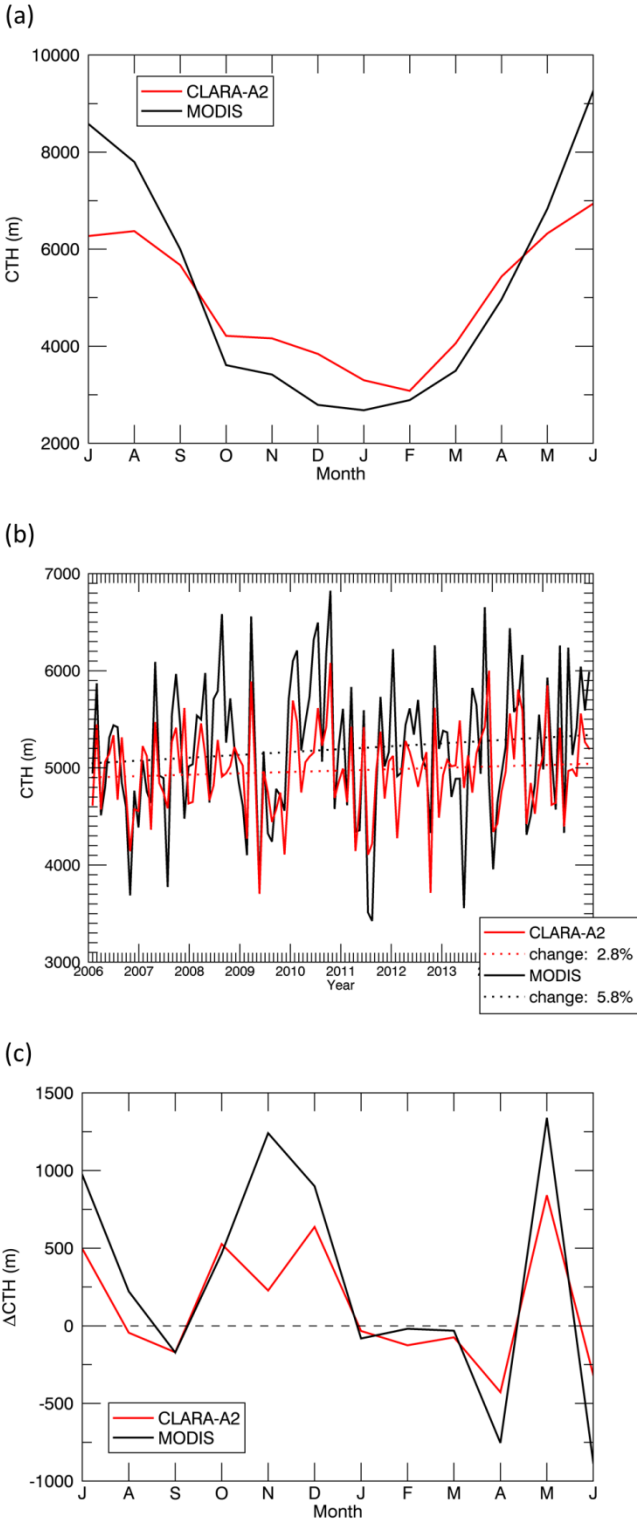


Figure S8. (a) Seasonal variation of Cloud Top Height (CTH) in the southern China study region based on CLARA-A2 and MODIS data. (b) Corresponding spatially averaged monthly deseasonalized values. The dotted lines correspond to the linear regression fits. (c) Seasonal variation of changes in CTH. Seasonal averages and changes in (a) and (c) are based on data from the period 2006-2015.

Table S79. Levels of statistical significance of changes in cloud properties on a monthly basis, calculated from the period 2006-2015 separately for CLARA-A2 and MODIS.

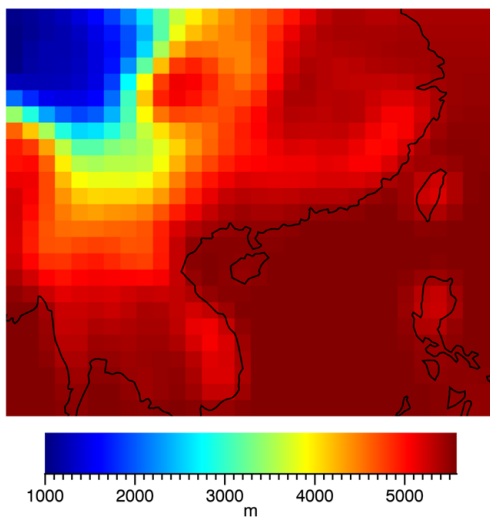
	All-sky LWP		Liquid CFC		In-cloud LWP		Liquid COT		Liquid REFF	
	CLARA	MODIS	CLARA	MODIS	CLARA	MODIS	CLARA	MODIS	CLARA	MODIS
Jan	14.13	13.49	54.18	39.26	6.15	5.13	3.59	6.41	14.13	56.52
Feb	10.79	3.68	44.67	39.57	10.60	15.01	5.28	1.13	14.10	52.25
Mar	5.52	15.19	1.47	12.82	12.85	7.65	12.19	11.08	47.77	45.10
Apr	33.05	42.88	28.30	4.58	58.39	61.04	50.12	54.49	73.79	72.08
May	79.19	94.20	61.31	30.53	96.01	99.22	85.28	95.12	86.93	48.38
Jun	13.69	26.27	85.18	49.95	39.64	62.92	36.34	55.18	61.09	59.25
Jul	22.71	39.33	2.57	56.52	26.96	26.94	23.06	2.27	90.11	93.16
Aug	4.06	77.65	57.83	42.97	74.50	29.39	34.42	1.00	86.12	97.35
Sep	36.62	27.02	55.97	37.12	30.88	33.54	21.79	22.90	92.01	46.53
Oct	46.82	69.47	74.85	81.51	72.35	70.81	59.18	66.37	79.20	50.22
Nov	90.07	90.99	99.26	99.55	65.37	86.26	48.39	78.23	89.59	88.76
Dec	97.05	99.33	35.75	54.15	99.43	99.91	96.45	98.57	97.46	67.40

Table S810. Linear correlation coefficients of two-month-mean emission and AOD time series with cloud property time series over southern China during the period 2006-2015 (2007-2015 for CALIPSO AOD). Significant correlations are indicated with boldface.

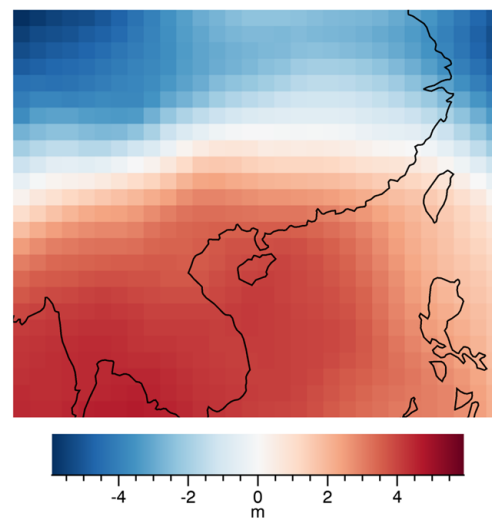
parameter	Jan-Feb/Mar-Apr/May-Jun/Jul-Aug/Sep-Oct/Nov-Dec	
	CLARA liquid CFC	MODIS liquid CFC
GFED carbon emissions	-0.60/ -0.79 /0.01/0.47/-0.07/-0.51	-0.64 / -0.72 /-0.32/0.34/0.01/-0.51
CALIPSO total AOD	-0.22/-0.59/0.34/ 0.77 /-0.06/ -0.77	-0.22/-0.50/0.44/0.39/-0.16/ -0.75
MODIS total AOD	-0.45/0.33/0.57/0.15/0.27/ -0.76	-0.44/0.16/0.46/0.36/0.38/ -0.81
MISR total AOD	-0.51/0.30/0.29/-0.20/0.23/ -0.66	-0.46/0.15/0.29/0.05/0.23/ -0.74
MISR fine mode AOD	-0.39/0.31/0.45/-0.12/0.26/ -0.66	-0.38/0.15/0.44/0.21/0.25/ -0.74
<u>MISR coarse mode AOD</u>	<u>-0.57/0.30/0.13/-0.28/0.14/-0.62</u>	<u>-0.50/0.19/0.14/-0.25/0.14/-0.69</u>
	CLARA all-sky LWP	MODIS all-sky LWP
	GFED carbon emissions	-0.47/-0.40/-0.25/0.59/0.05/ -0.69
CALIPSO total AOD	-0.16/-0.31/0.55/0.41/-0.31/ -0.69	-0.15/-0.19/0.57/-0.56/-0.35/ -0.71
MODIS total AOD	-0.45/-0.13/0.52/-0.06/-0.21/ -0.75	-0.41/-0.34/0.29/-0.02/-0.37/ -0.84
MISR total AOD	-0.36/-0.25/0.38/-0.31/-0.24/ -0.66	-0.27/-0.49/0.28/0.08/-0.48/ -0.81
MISR fine mode AOD	-0.17/-0.16/0.52/-0.22/-0.27/ -0.70	-0.11/-0.36/0.37/0.15/-0.50/ -0.84
<u>MISR coarse mode AOD</u>	<u>-0.55/-0.29/0.22/-0.43/-0.27/-0.55</u>	<u>-0.47/-0.55/0.16/-0.12/-0.49/-0.72</u>
	CLARA CTH	MODIS CTH
	<u>GFED carbon emissions</u>	<u>0.22/0.13/-0.10/-0.30/-0.21/-0.06</u>
<u>CALIPSO total AOD</u>	<u>0.44/0.62/0.21/-0.40/-0.01/0.34</u>	<u>0.20/0.25/0.13/-0.63/0.10/-0.34</u>
<u>MODIS total AOD</u>	<u>0.08/-0.04/-0.32/-0.34/-0.53/-0.14</u>	<u>-0.44/0.11/0.09/-0.39/-0.49/-0.74</u>
<u>MISR total AOD</u>	<u>0.30/-0.29/0.04/-0.13/-0.43/-0.27</u>	<u>-0.14/-0.50/0.59/-0.06/-0.36/-0.73</u>
<u>MISR fine mode AOD</u>	<u>0.19/-0.19/-0.10/-0.25/-0.46/-0.30</u>	<u>-0.12/-0.33/0.41/-0.13/-0.39/-0.78</u>

<u>MISR coarse mode AOD</u>	<u>0.45/-0.35/0.19/0.16/-0.35/-0.21</u>	<u>-0.07/-0.61/0.73/0.11/-0.26/-0.60</u>
	<u>GPCP Precipitation</u>	
<u>CALIPSO total AOD</u>	<u>-0.03/0.35/0.50/-0.59/-0.44/-0.25</u>	
<u>MODIS total AOD</u>	<u>-0.22/0.44/0.56/-0.12/-0.82/-0.63</u>	
<u>MISR total AOD</u>	<u>0.10/0.50/0.61/0.20/-0.66/-0.70</u>	
<u>MISR fine mode AOD</u>	<u>0.19/0.41/0.63/0.09/-0.68/-0.71</u>	
<u>MISR coarse mode AOD</u>	<u>-0.02/0.57/0.49/0.34/-0.55/-0.65</u>	

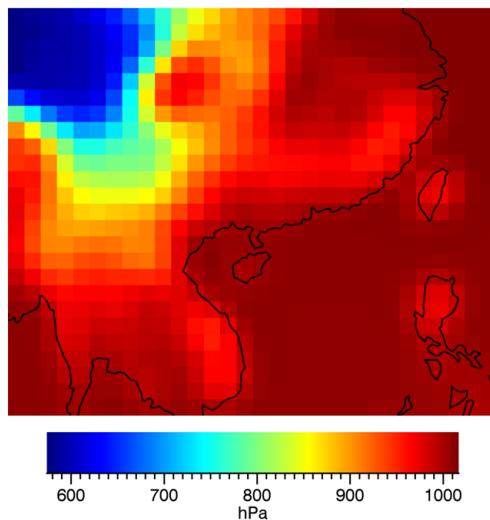
(a) 500 hPa geopotential height, 2006-2015 (CAMS)



(b) 500 hPa geopotential height change, 2006-2015 (CAMS)



(c) Surface Pressure, 2006-2015 (CAMS)



(d) Surface Pressure change, 2006-2015 (CAMS)

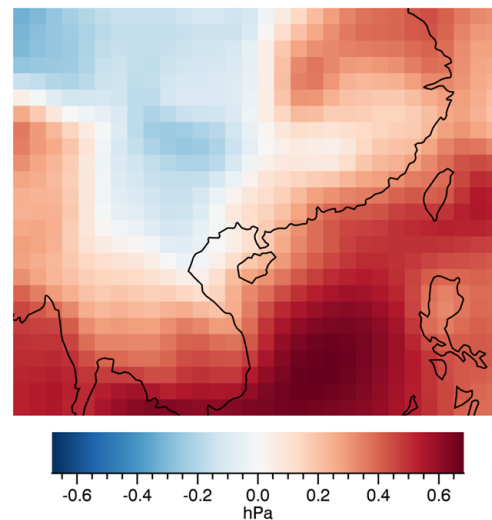


Figure S11. Average spatial distributions and corresponding changes in 500 hPa geopotential height (a and b, respectively) and surface pressure (c and d, respectively) from the Copernicus Atmospheric Monitoring Service (CAMS) reanalysis over a wide area of southeast Asia, centered around the southern China study region. Averages were computed from monthly values during the period 2006–2015. Pixel-level changes were computed based on linear regressions fits to the deseasonalized monthly time series.