

Dear Editor,

We would like to thank for reviewers' valuable comments and suggestions. Their comments are addressed as shown below. Hope they find our revisions useful. Thank you very much.

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Regards,  
Steve

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#### **Reviewer 1:**

We thank the reviewer for very helpful comments. Your comments and suggestions are addressed accordingly. Thank you very much for your effort.

**In this study, the authors employed WRF-Chem to study the influence of anthropogenic aerosols on a relatively-heavy rainfall event. They showed that aerosol enhanced precipitation in southern part of the domain and aerosol– cloud interactions (ACI) is the main reason for the response. They further did sensitivity studies and found that re- mote aerosols contributed more than twice the precipitation increase compared with local aerosols. By further increasing emission by 10 times, their figures showed that more significant decrease and increase of precipitation in the respective cloud regimes (I did not use the wording from the authors because I do not agree with it).**

#### **Major comments:**

**1. The authors missed some key points when interpreting their results. The simulated cloud system seems like a cold front system meeting with warm and moist air. The cloud regimes should be very different over the code side of the frontal system compared with clouds at the convergence zone and warm side of the system. This key message should be considered when analyzing aerosol-cloud interactions since ACI strong depends on different cloud regimes. Decrease and increase in precipitation are seen over the different parts of the domain (Figure 3d) but the authors ignored the decrease part which is at the cold side of the system but focused on the increased part. When further increasing emission by 10 times, there is enhanced decrease (Figure 12b) but the authors still ignored it. Another misleading analysis is that the authors used the domain averaged vertical cross section plots and viewed then as single deep convective cell to discuss the ACI effect. The low-level clouds shown in such plots might not be vertically connected with the**

**higher-level clouds. For example, shallow clouds could mainly occur in the northern part of the red box area used for the analysis and deep convective clouds could mainly occur in the southern part. Increasing aerosols suppresses shallow convection, which would be different from the story that the authors described in the paper.**

**Response:** We thank the reviewer for very helpful comments. The background circulation pattern at 500 hPa is characterized by ridge in north and trough in south over Asia (Figure R1). This pattern is favorable for persistent meeting between cold air from the north and warm moist air from Bay of Bengal and South China Sea, resulting in intensive convergence near the surface (Figure 1b) and torrential rainfall over Guangdong Province. The cloud top temperature average over the land in domain 2 is lower than  $-15\text{ }^{\circ}\text{C}$  almost everywhere with minimum reaching about  $-35\text{ }^{\circ}\text{C}$  (Figure S1b), indicating strong convection. Moreover, cloud ice, over the region with both decreased and increased parts, extends up to 16 km shown in Figure S11 and Figure S8, respectively. Further inspection of cloud evolution within the red box shows that the cloud regimes are consistent within the increased area used. We divided the red box area in  $22^{\circ}\text{--}24^{\circ}$ ,  $112^{\circ}\text{--}115^{\circ}$  into a north box in  $23^{\circ}\text{--}24^{\circ}$ ,  $112^{\circ}\text{--}115^{\circ}$  and a south box in  $22^{\circ}\text{--}23^{\circ}$ ,  $112^{\circ}\text{--}115^{\circ}$ . Shallow clouds occur in both the northern and southern parts of the red box area (Figure R2). Figure R3–R6 show the differences in microphysical and dynamic variables due to aerosols. Their similar patterns in Box\_N and Box\_S suggest that the processes and related physical mechanism within the red box are consistent with each other.

Thanks for your comments regarding the mechanism of decreases in precipitation. We choose another region in  $24^{\circ}\text{--}25^{\circ}\text{N}$ ,  $110.5^{\circ}\text{--}112.5^{\circ}\text{E}$  over the northwest corner of domain 2. The analysis is added in the paragraph six in the discussion section. Thank you again.

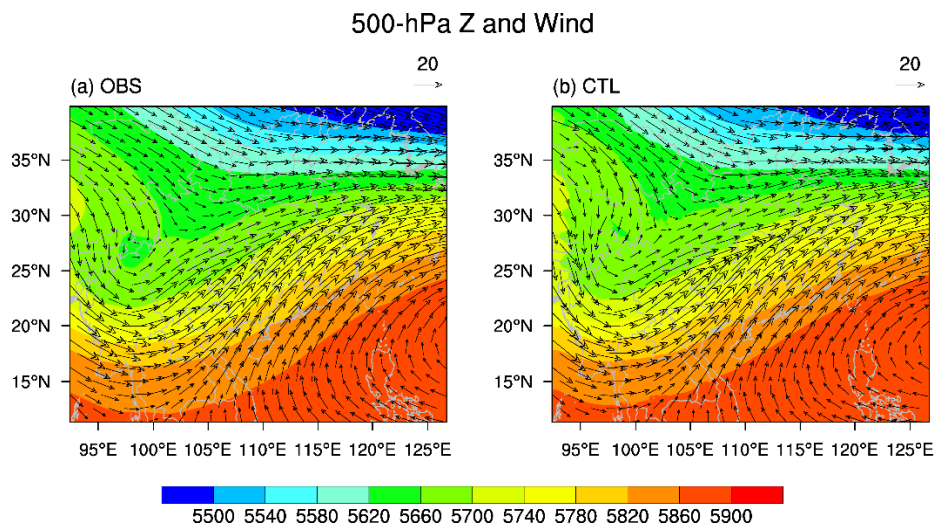


Figure R1. Spatial distribution of 3-day averaged 500-hPa wind (vector; unit:  $\text{m s}^{-1}$ ) and height (shading; unit: m) during December 14–16, 2013 for (a) OBS from ERA-interim and (b) CTL from control simulation.

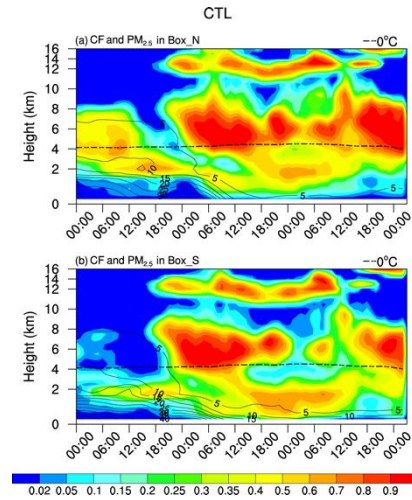


Figure R2. Time-height cross section of cloud fraction (CF; shading; unit: unitless) and PM<sub>2.5</sub> concentrations (contour; unit:  $\mu\text{g m}^{-3}$ ) in (a) 23°–24°, 112°–115° (Box\_N) and (b) 22°–23°, 112°–115° (Box\_S) from control simulation. Dashed lines denote 0°C isotherm calculated as the averaged zero-layer height over the red box in Figure 3.

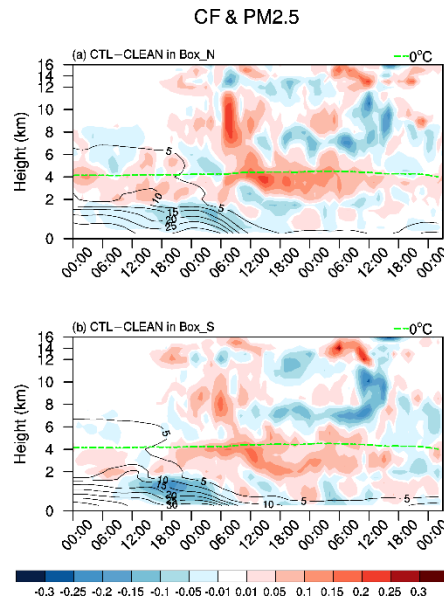


Figure R3. Differences with time (abscissa) and height (ordinate) in CF (shading; unit: unitless) and PM<sub>2.5</sub> concentrations (contour; unit:  $\mu\text{g m}^{-3}$ ) between CTL and CLEAN (i.e. CTL minus CLEAN) for (a) Box\_N and (b) Box\_S. Only CF and PM<sub>2.5</sub> concentration anomalies that exceed 90% significance level are depicted with shading and contour. Green dashed lines denote 0°C isotherm calculated as the averaged zero-layer height over the red box in Figure 3.

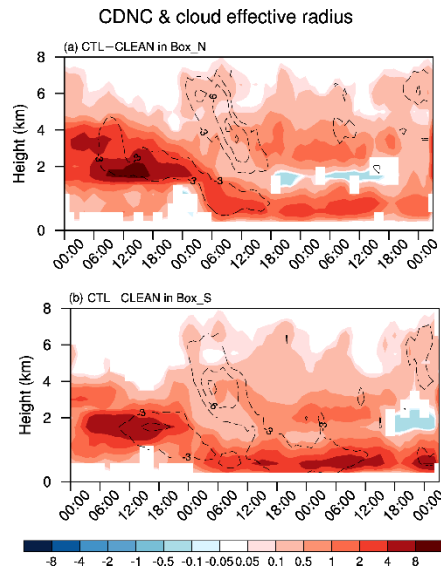


Figure R4. Differences with time (abscissa) and height (ordinate) in cloud droplet number concentrations (CDNC; shading, unit:  $10^7 \text{ kg}^{-1}$ ) and cloud effective radius (contour; unit:  $\mu\text{m}$ ) between CTL and CLEAN (i.e. CTL minus CLEAN) for (a) Box\_N and (b) Box\_S. Only anomalies that exceed 90% significance level are depicted with shading and contour.

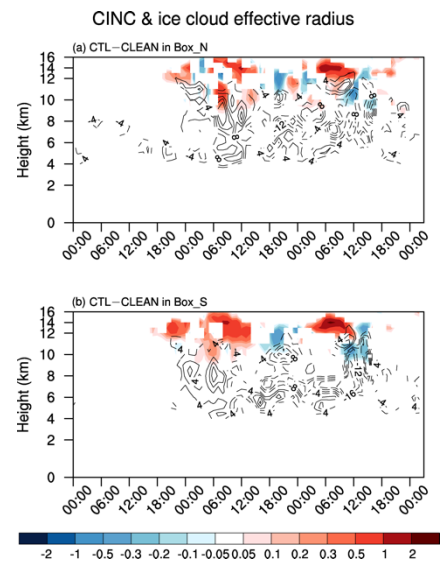


Figure R5. Differences with time (abscissa) and height (ordinate) in cloud droplet number concentrations (CINC; shading, unit:  $10^5 \text{ kg}^{-1}$ ) and ice cloud effective radius (contour; unit:  $\mu\text{m}$ ) between CTL and CLEAN (i.e. CTL minus CLEAN) for (a) Box\_N and (b) Box\_S. Only anomalies that exceed 90% significance level are depicted with shading and contour.

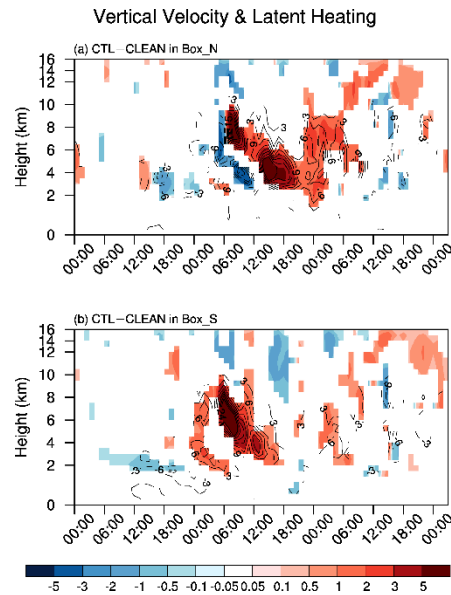


Figure R6. Differences with time (abscissa) and height (ordinate) in vertical velocity (shading, unit:  $\text{cm s}^{-1}$ ) and latent heating (contour; unit:  $3 \text{ K d}^{-1}$ ) between CTL and CLEAN (i.e. CTL minus CLEAN) for (a) Box\_N and (b) Box\_S. Only anomalies that exceed 90% significance level are depicted with shading and contour.

**2. The authors did not present enough data to examine the things they claimed for. In a few places as detailed in the specific comments, the authors assumed the literature work applies well to this study without presenting the key results to prove the point. See specific comments #14, 18, 20, 21, and 22. There are many inaccurate or misleading statements. I noticed they are mainly related to the lack of expertise in cloud physics and weather area, such as #7, 10, 13, 18, 20, 21, and 22.**

**Response:** We thank the reviewer for the thoughtful and thorough comments and suggestions. The comments are addressed accordingly as follows.

**3. There are many inaccurate or misleading statements. I noticed they are mainly related to the lack of expertise in cloud physics and weather area, such as #7, 10, 13, 18, 20, 21, and 22.**

**Response:** Thanks for pointing this out. The descriptions are corrected based on your comments. Please see the corresponding responses below.

*Specific comments:*

**1. P2, the later part of the last paragraph discusses literature study about ACI, which does not include the most recent work on this topic from a Science article (Fan et al., 2018).**

**Response:** Thanks for this suggestion. The paper is cited, and corresponding descriptions are added (P3 L3–5).

**2. P2, L19: “the slowing autoconversion rate induced by aerosols forms airborne cloud droplets in clouds” is confusing. First, what is “airborne cloud droplets in clouds”? since it is in clouds, why call it “airborne”? second, how does autoconversion form cloud droplets?**

**Response:** Thanks for pointing this out. The descriptions are corrected in our revised manuscript (P2 L31–32).

**3. P5, L6, based on Fan et al. 2015, the factor used in the study is 0.3 (not 0.1).**

**Response:** We adjusted the factor to 0.1 from 0.3 in Fan et al. (2015) to represent the background situation as the emissions in 2010 is much higher than that in 2006, which is revised in the manuscript (P5 L9–11).

**4. P5, L12-14, not sure how IC, BC, and emissions were treated in both domains in both D1 and D2. If Dom1 and Dom2 are run at the same time, which means dom 1 provides IC and BC for domain 2, then how to change IC and BC in Dom 2 for D2? In addition, if emission does not change in Dom2, wouldn't the local effect be underestimated?**

**Response:** Yes, domain 1 and domain 2 were run at the same time. The IC for domain 1 and domain 2 were provided from MOZART data. In D1 experiment, the IC, BC, and emissions were kept as same with the control run simulation for domain 1. Meanwhile, the IC and emissions were scaled by a factor of 0.1 for domain 2. In D2 experiment, the IC, BC, and emissions were scaled by 0.1 for domain 1. The IC and emissions were kept as same with the control run at the same time. The impact of boundary conditions provided to domain 2 was treated as effect of aerosols from outside of domain 2.

**5. P5, L18-19: Which simulation is 10X based on?**

**Response:** This simulation was based on the control run, which is revised in the main text (P5 L21–22).

**6. Section 3.1: since there are 58 stations for PM<sub>2.5</sub> measurements in Domain 2, why not use them to evaluate the control simulations since the aerosol property is important to aerosol impacts?**

**Response:** Thanks for your question. We agreed this suggestion. Figure R7–R8 show the spatial distribution and time series of PM<sub>2.5</sub> concentrations during December 14–16, 2013, respectively, based on observation and control simulation. Over the delta region, higher aerosol concentrations occur in mega cities, while lower concentrations appear over their surrounding areas. The model underestimates PM<sub>2.5</sub> concentrations in the first two days with a more

homogeneous pattern. This could be induced by either the relative coarse resolution of model or the pseudo surface (actually above the ground) due to model vertical layers design. The failure to get some hot spots near the estuary may be attributed to the uncertainty of emissions. In the time series, both the simulation and observation show a dramatically decreasing trend of PM<sub>2.5</sub> concentrations once the rainfall initiated. The model could generally replicate the spatial distribution and time evolution of PM<sub>2.5</sub> concentrations with some underestimation during the first two days. This bias may lead to an underestimation of the aerosol impact on rainfall.

The descriptions associated with the figure are added into the manuscript.

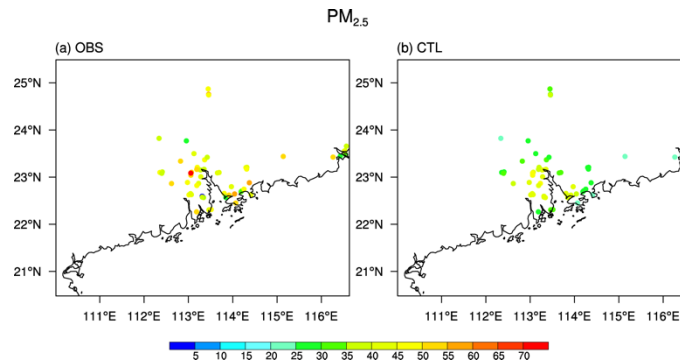


Figure R7. PM<sub>2.5</sub> concentration (unit:  $\mu\text{g m}^{-3}$ ) average during December 14–16, 2013 for (a) observation and (b) control simulation. Colored circles denote in situ station locations.

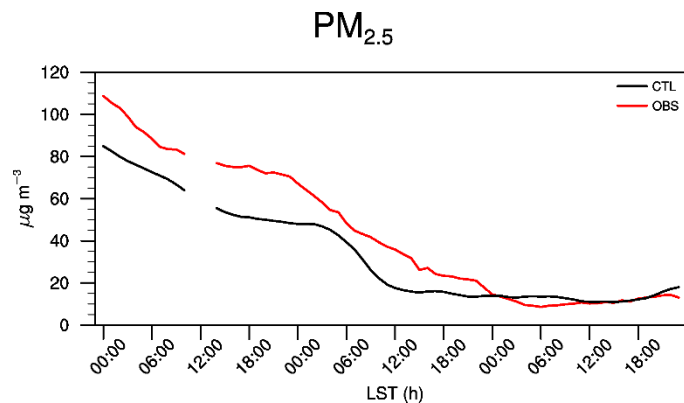


Figure R8. Time series of PM<sub>2.5</sub> averaged over all the stations during December 14–16, 2013 for CTL (black) and OBS (red).

**7. P7, L20-22, this sentence is not justified. It could only because in the second day there were much larger in-cloud and below-cloud scavenging of aerosols due to much cloud and rain. The smaller aerosol effect in the first day can be a result of many factors particularly meteorological conditions, and the larger effect you see in the next day might not so related to the aerosols in the first day.**

**Response:** Thanks for this comment. The aerosols influence the cloud droplet number concentration and cloud effective radius during all the three days. However, the rainfall changes induced by aerosols start from the second day when rainfall peak happens. This suggests that the aerosol impact on rainfall is modulated by the meteorological conditions. Related description is revised in the revised manuscript (P8 L4–6).

**8. Figure 4, how is the cloud fraction calculated? Is the difference in percentage or absolute difference?**

**Response:** The cloud fraction parameterization in the model follows Randall (Hong et al., 1998). The cloud fraction was calculated as the sum of cloud water, cloud ice and snow. The differences in Figure 4 are the absolute differences.

**9. P7, L25-28, Better to use percentage differences or both in terms of quantifying the accumulated rain.**

**Response:** Agreed. The rainfall differences in percentage are added in the revised manuscript (P8 L10–12).

**10. P8, L6-11, the whole description here has a problem. The way it describes currently basically says that aerosols are the reasons responsible for the more and deeper clouds at later time and less and shallower clouds at the earlier time, which should not be true. The first order is the meteorological conditions that are responsible for the cloud amount and vertical distribution. On the top of it, aerosol may influence it, and then you can describe the influence in more quantitative way.**

**Response:** Thanks for pointing this out. The description is revised in the main text (P8 L23–27).

**11. Figure 5, the figure caption needs to be consistent with the figure label. If you label your panels in a, b, c,..., you need describe your figures in the same way so that readers can follow. This comment apply to many other figures. Also, the caption already has too many acronyms while another acronym CI for contour interval is used here, which only causes poor readability and confusion here. About the differences in CINC and ice effective radius, did you only consider cloud ice crystals, or all of the ice-phase particles were considered?**

**Response:** Sorry for the inconsistency. The captions are revised correspondingly. Only cloud ice is considered here.

**12. P 8, L15, when you say “dramatically”, you need to give a quantitative value. Figure 5 only shows the absolute differences, which has the maximal value at the magnitude 80 cm-3 based on the legend. This change is not large unless you did a domain average and there are many cloud-free points in the analysis domain.**

**Response:** We carefully checked the calculation and updated the results accordingly. As shown in the legend, the maximal value is  $8 \times 10^7 \text{ kg}^{-1}$  ( $8 \times 10^7 [10^3 \text{ g}]^{-1} = 8 \times 10^7 [10^3 \text{ cm}^3]^{-1}$ ), which is equal to  $8 \times 10^4 \text{ cm}^{-3}$ . The magnitude of this value is comparable to that in Zhong et al. (2015). In percentage, the cloud droplet number concentration has increased by 5.5 times. Descriptions are revised in the main text.

**13. P 8, L20, what do you mean about “the interim processes”?**

**Response:** The interim processes refer to that more cloud droplets are lifted to freeze into ice clouds. Our further analysis on source of latent heat is not attributed to freezing. The corresponding descriptions are deleted in the main text.



**14. P8, L17-27, the entire description here about the ACI effect is not about the results from their study. The authors just followed what the literature describes. First, the description and the corresponding references do not reflect the symbolic literature studies on ACI on deep convective clouds. First, the idea of convective invigoration by enhanced latent heat from cold-phase processes (due to suppression of warm rain) starts from Andreas et al. Science, 2004 (obs), then Khain et al., QJR, 2005 (model), Rosenfeld et al. Science, 2008 (theoretical), Fan et al., JGR, 2009, etc, did detailed studies about it. The authors did not mention these studies at all (Rosenfeld et al., 2008 is not discussed in an appropriate way since it is the theoretical study for this theory). Second, the most recent development of ACI is the “warm-phase invigoration” in Fan et al. Science, (2018) where latent heat release from enhanced condensation is emphasized as a reason for the enhanced updraft speed. From Figure 5, the latent heat enhancement peaks below 8 km altitudes and there is a peak at 3-5 km, suggesting condensational heating might play a significant role here as well. The latent heat enhancement at low part of clouds from condensation plays a much more significant role than the correspondent at high levels as shown in Fan et al. 2018. The authors need to examine this in detail to understand what’s the real reason behind it instead of just citing some literature studies since ACI is a key point of the study.**

**Response:** Thanks for your constructive and insight comments. Following Fan et al. (2018), the latent heat released from condensation, deposition, and freezing during cold and warm cloud processes are diagnosed by rerunning the model (Figure R10). The rimming processes are included into the freezing. It is nothing to do with the freezing which means the precipitation enhancement with aerosols cannot be simply attributed to cold cloud invigoration effect due to freezing.

Figure R9 shows the changes in the mass and number concentration of the different hydrometers. The aerosols are activated to form more cloud droplets on which water condenses and produces more cloud water (Figure R9a). This process releases additional latent heat at 3–5 km due to condensation (Figure R10a) and lower supersaturation, which is also discussed in Fan et. al (2018). The smaller radius of cloud droplet shown in Figure 5a is not favorable to fast droplet coalescence and suppress warm rain. The precipitation decreases from 15Z to 20Z on 14 December (Figure S4). With aerosols, the precipitation is increased between 03Z on December 15 to 10Z on December 16. However, the changes in the hydrometers, particular for rain water, and sources of latent heat release are quite different between before and after 15Z on December 15. These differences indicate that the processes and their related mechanisms may differ from each other. In the first stage, before 15Z on December 15, there are abundant ice crystals (i.e. snow and graupel) above the 0°C isotherm around 5 km (Figure S8). With the presence of ice crystals, water vapor deposition is prior to happen on ice surface as the saturation with respect to water is supersaturation with respect to ice. As this occurs, the environment becomes unsaturated to water, resulting in the evaporation of liquid water. This is known as the Bergeron-Findeisen-Wegener theory. Correspondingly, the ice crystals (i.e. cloud ice, snow, and graupel) increase at the expense of rain water. Note the magnitude of snow and graupel mass is ten times of that of rain water. The latent heat release due to deposition in cold cloud is stronger than that due to condensation in warm cloud even though the latter is also important. After 15Z on December 15, most of the ice crystals fall as precipitation. Compared with depositional heating, the condensational heating plays a dominant role in intensifying convective strength. The rain water increases through accretion of added cloud droplets, leading to precipitation increases.

The corresponding figures and discussion are revised in the main text.

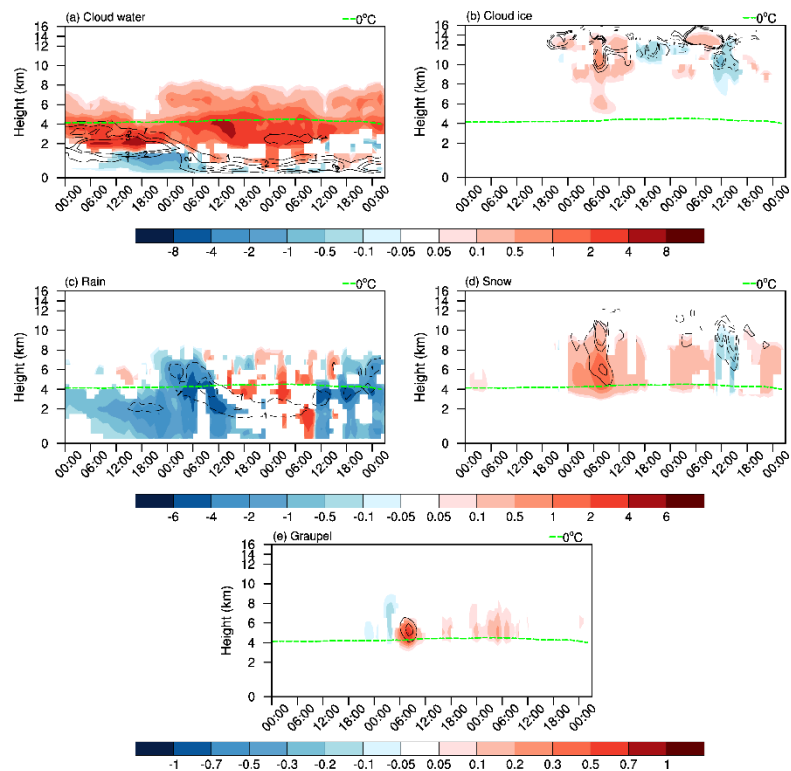


Figure R9. Differences with time (abscissa) and height (ordinate) in (a) cloud water (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CDNC (contour; unit:  $10^7 \text{ kg}^{-1}$ ), (b) cloud ice (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CINC (contour; unit:  $10^4 \text{ kg}^{-1}$ ), (c) rain (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and rain number concentration (contour; unit:  $10^5 \text{ kg}^{-1}$ ), (d) snow (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and snow number concentrations (contour; unit:  $10^3 \text{ kg}^{-1}$ ), and (e) graupel (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and graupel number concentration (contour; unit:  $10^3 \text{ kg}^{-1}$ ) between CTL and CLEAN (i.e. CTL minus CLEAN) averaged over the red box. Only anomalies that exceed 90% significance level are depicted with shading and contour.

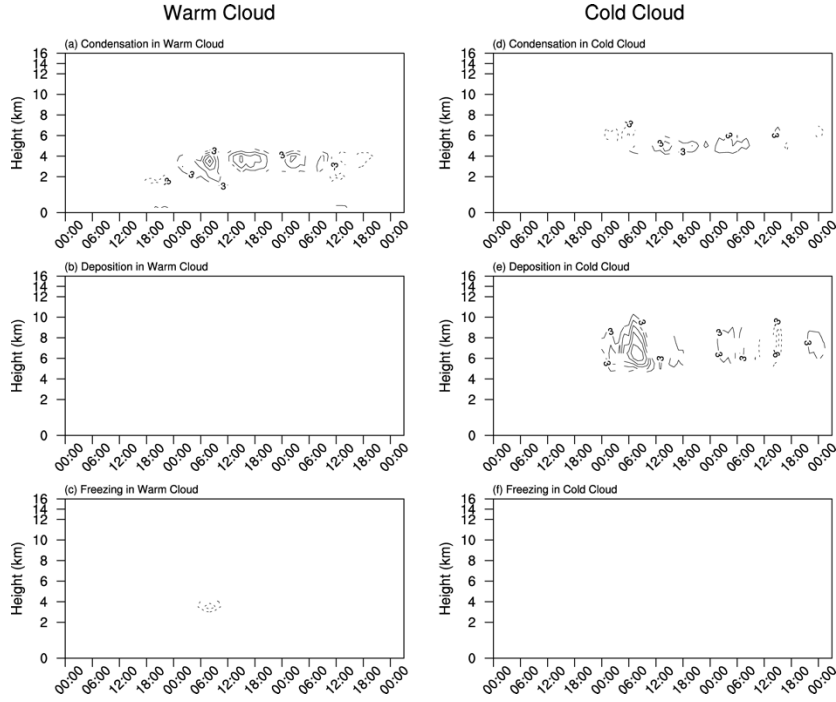


Figure R10. Differences with time (abscissa) and height (ordinate) in latent heat release (unit:  $\text{K d}^{-1}$ ) from (a) condensation, (b) deposition, and (c) freezing processes between CTL and CLEAN (i.e. CTL minus CLEAN) averaged over the red box for the warm cloud. (d–f) Same as (a–c) but from cold cloud. Only anomalies that exceed 90% significance level are depicted with and contour. Zero-value contour lines are omitted, and negative values are dashed. The contour interval is  $3 \text{ K d}^{-1}$ . Note the blank represent the values are within  $3 \text{ K d}^{-1}$ .

**15. P8, Eq (1), where is the horizontal advection terms for the moisture budget? In the model, this is an important term. If you considered it in the vertically integrated moisture flux (MFC) convergence in your calculation, then the MFC should be large at the convection permitting scale.**

**Response:** Agreed. We integrated the moisture flux convergence (MFC) in vertical direction. As discussed in the manuscript, this term dominates the rainfall changes. The MFC term is further divided into two terms as

$$-\frac{1}{g} \int_0^{P_s} \nabla \cdot (q \vec{V}_h) dp = -\frac{1}{g} \int_0^{P_s} q \nabla \cdot \vec{V}_h dp - \frac{1}{g} \int_0^{P_s} \vec{V}_h \cdot \nabla q dp$$

where the first term on the right side is the horizontal moisture convergence (hereafter CON); the second term is the horizontal advection of water vapor (hereafter ADV).

As shown in Figure R11, the CON term dominates the contribution to total MFC. The resemblance of pattern between MFC and CON suggests that the increase in rainfall is mainly driven by CON changes. The descriptions associated with the figures are added in the main text.

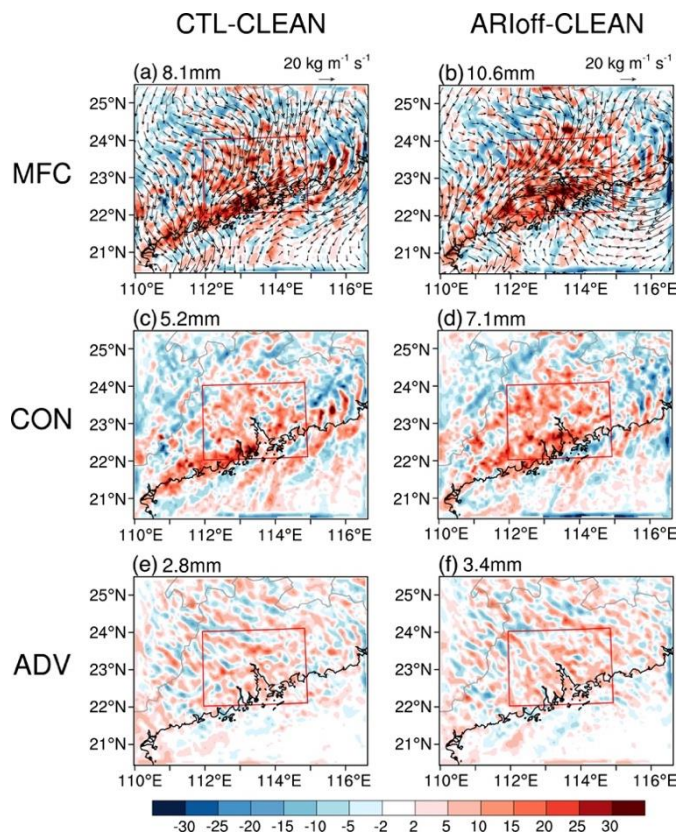


Figure R11. Differences in column-integrated flux convergence (MFC; shading; unit: mm) and moisture flux (vector; unit:  $\text{kg m}^{-1} \text{s}^{-1}$ ), between (a) CTL and CLEAN (i.e. CTL minus CLEAN) and (b) ARIoff and CLEAN (i.e., ARIoff minus CLEAN) on December 15. (c, d) Same as (a, b) but for column-integrated moisture convergence (CON; unit: mm). (e, f) Same as (a, b) but for column-integrated advection of water vapor (ADV; unit: mm). The numbers at the top-left corner of each panel represent the values averaged over the red boxes. The red boxes ( $22^{\circ}$ – $24^{\circ}$  N,  $112^{\circ}$ – $115^{\circ}$  E) denote the analysis region.

**16. P9, L8, the figure number is wrong. Also, where is the moisture coming from the northerly wind since northerly wind generally brings in drier air? It would be good to show the spatial distribution of moisture field.**

**Response:** Thanks for pointing this out. Yes, the air from the northerlies is drier which is shown in the spatial distribution of water vapor (Figure 20c and 20d). It may thus not correct to claim the moisture from the northerly wind. As shown in Figure R11, the MFC term is dominated by CON term which depends on the convergence field rather than the moisture. The convergence is attributed to the microphysics-dynamics feedback discussed in the manuscript. The statement is revised in the main text (P10 L25–26).

**17. P 9, L11-12, since there is compensation effect here, a figure for ARI effect should be shown to quantify how much is the compensation effect.**

**Response:** The ARI effect is included in the revised figure (Figure 8).

**18. P9, L16-20, again, key processes are not shown and the summary description might not be accurate. First, it is not correct to say “water clouds ascend to freeze into ice clouds” since it is just that more cloud droplets are lifted to the higher levels and form more ice**

particles. Second, as I pointed out above, the source of latent heat enhancement is not examined and the authors just assumed it is mainly resulted from more droplet freezing. Third, the much enhanced horizontal convergence could be gradually induced by other feedback such as precipitation or radiation since the simulation duration are a few days, not just a few hours. Another question is that how the changes in domain 1 impact the results over domain 2?

**Response:** Thanks for the comments. Based on Figure R10, the source of latent heat is mainly induced by deposition before 15Z on December and condensation after 15Z when the precipitation is increased with aerosols. Although the simulation duration is a couple of days, the precipitation increases with aerosols only occur between 06Z on December 15 to 10Z on December 16. Moreover, the persistent convective system makes the impact last for longer time. Strong latent heat is released during this period, and ARI has a little impact on the increased precipitation. These results drive to conclude that latent heat release is the main reason for enhanced horizontal convergence.

With aerosol emissions in domain 1, the aerosols are emitted or formed. The aerosol concentration is transported to domain 2 through lateral boundary conditions.

**19. Section 3.3, the remote and local aerosol effects can strongly depend on how strong the coupling between the two domains. With the two domains running together, the coupling is very strong and the Dom 1 keeps updating Dom 2, which could lead to very strong effect from any variable in Dom 1(not just aerosol). If you run domain 2 separately with the IC and BC updated in every 3-hours or 6 hours from Dom 1, and do the same studies, the results could be changed.**

**Response:** Thanks for the comments. It is correct that running domain 2 separately would change the results, but it does not reflect the real situation. In reality, atmosphere does not have any domain, and should be highly connected. To reflect the real situation, the domain 1 and domain 2 should be online coupled by running them together. In addition, following the commonly used approach, the results of outermost ten grid points of each boundary of domain 2 are excluded to minimize the influence from the lateral boundary conditions.

**20. P10, L28-29, this may indicate secondary droplet nucleation, meaning activating enormous smaller aerosols at higher-levels due to higher supersaturation. Without looking at it carefully, you can not just assume it is mainly because of ascent of cloud droplets.**

**Response:** Thanks for your constructive comments. We agree your opinion that the increase of cloud droplets at 1.5–4 km cannot be attributed to ascent motion as the vertical velocity is reduced in the 10× simulation. With ten-time changes in aerosol emissions, more aerosols are activated to form cloud droplets at higher level due to higher supersaturation. The consumption of moisture and energy limits the formation of low cloud. The content is revised accordingly. Thank you.

**21. P11, L1-6, again, you can not just guess by citing a literature work assuming it apply to your study. Key results need to be shown. The reduction of low-level cloud could just because more deep cloud form consuming moisture and energy which would limit the formation of other type of clouds. Evaporation and sublimation have to come from clouds. In addition, the lower-level cloud and the high-level clouds shown here might not be**

vertically connected over the domain. For example, shallow clouds could mainly occur in the northern part of the red box associated with cold front and deep clouds could mainly occur in the southern part associated with the convergence zone. Increasing aerosols suppresses shallow convection, which would be different from the story you describe here now. It would not be nothing to do with sublimation if that is the case.

**Response:** Thanks for your comments. We agree that the low cloud reduction is because of the consumption of moisture and energy due to formation of high-level cloud. The cloud regimes are quite consistent in the northern and southern parts of the red box as shown in Figure R2–R6. Figure R13 shows the latent heat release due to condensation, freezing, and deposition for both warm and cold cloud. Deposition is the most important factor while freezing play a negligible role in this case. The strong latent heat released from deposition is consistent with the snow increase from 00Z to 12Z on 15 December. The underline mechanism is related to the Bergeron-Findeisen-Wegener theory as discussed in the responses to comment 14 but with a much stronger magnitude. However, after 15Z on December 15, the changes in rain water mass and latent heat in 10× are quite different from that in control simulation. We agree that our previous description may not fully reflect the mechanism. The reason is thus discussed in the revised main text. Thank you for your comment.

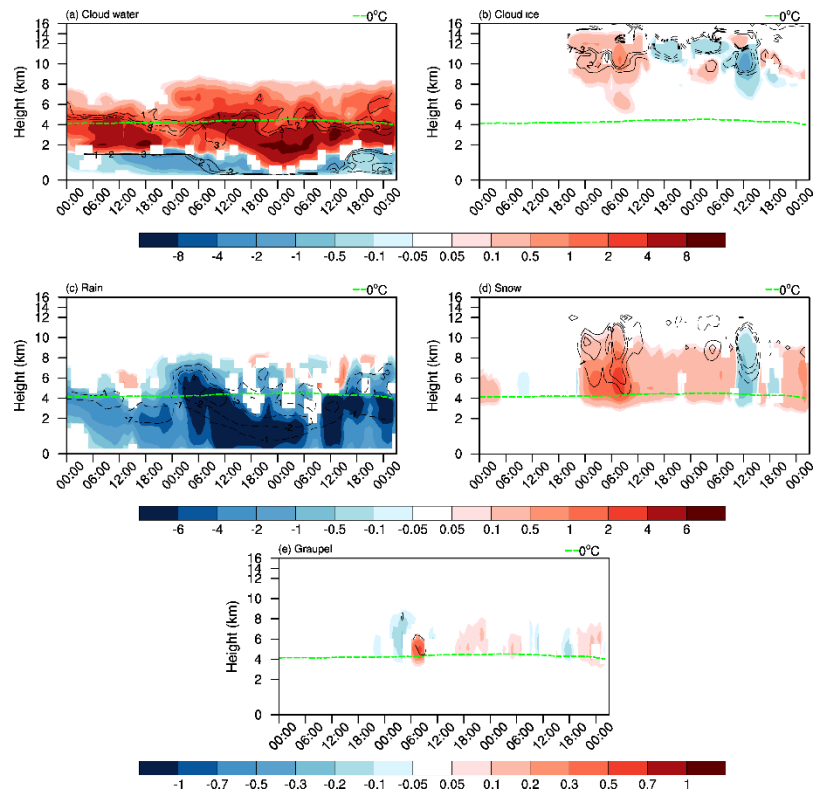


Figure R12. Differences with time (abscissa) and height (ordinate) in (a) cloud water (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CDNC (contour; unit:  $10^7 \text{ kg}^{-1}$ ), (b) cloud ice (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CINC (contour; unit:  $10^4 \text{ kg}^{-1}$ ), (c) rain (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and rain number concentration (contour; unit:  $10^5 \text{ kg}^{-1}$ ), (d) snow (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and snow number concentrations (contour; unit:  $10^3 \text{ kg}^{-1}$ ), and (e) graupel (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and graupel number concentration (contour; unit:  $10^3 \text{ kg}^{-1}$ ) between 10× and CLEAN (i.e. 10× minus CLEAN) averaged over the red box. Only anomalies that exceed 90% significance level are depicted with shading and contour.

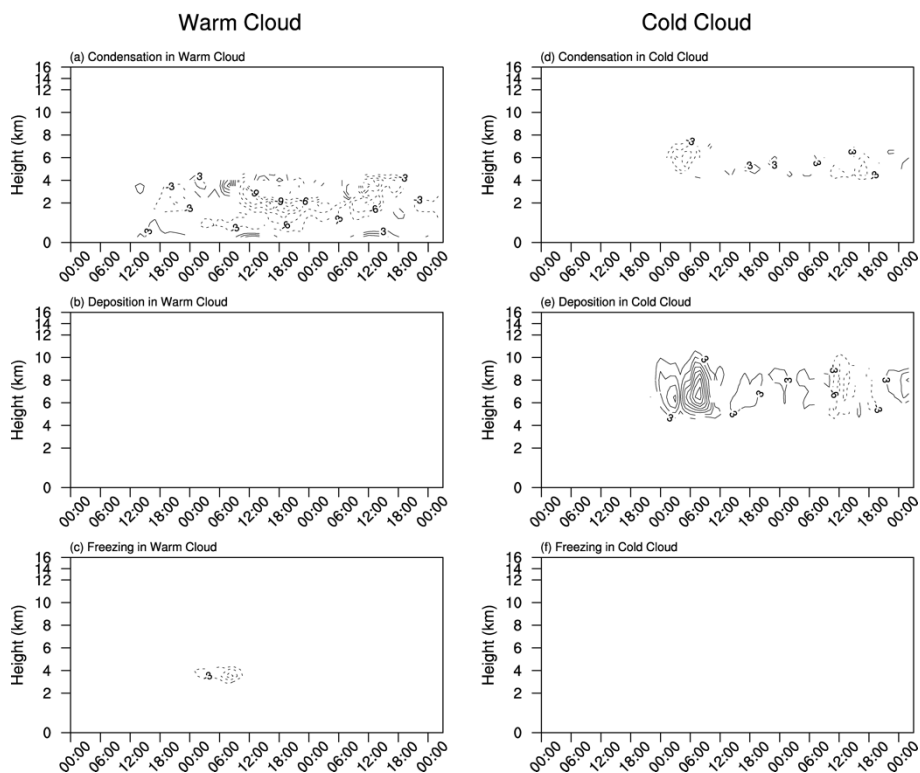


Figure R13. Differences with time (abscissa) and height (ordinate) in latent heat release (unit:  $\text{K d}^{-1}$ ) from (a) condensation, (b) deposition, and (c) freezing processes between  $10\times$  and CLEAN (i.e.  $10\times$  minus CLEAN) averaged over the red box for the warm cloud. (d–f) Same as (a–c) but from cold cloud. Only anomalies that exceed 90% significance level are depicted with and contour. Zero-value contour lines are omitted, and negative values are dashed. The contour interval is  $3 \text{ K d}^{-1}$ . Note the blank represent the values are within  $3 \text{ K d}^{-1}$ .

**22. P11, L8-17, do not agree with some of the discussion. Compared with Figure 3, I only see the corresponding increase and decrease in the Dom 2 become more significant in Figure 12. The authors did not discuss why there are two significantly different precipitation response regimes to the change of emissions. It seems that they are located in different dynamic regimes so have different cloud types. More detailed description about what types of clouds were formed in the cold side is needed in the description of the case at the beginning of the result section. It would provide basis for the related discussion after that.**

**Response:** Thanks for pointing this out. We choose a box located over the area ( $24\text{--}25^\circ\text{N}$ ,  $110\text{--}112^\circ\text{E}$ ) where precipitation is decreased. The corresponding analysis are put in the paragraph six of discussion. The cloud types in domain 2 are also discussed in the beginning part of the result section.

**23. Section 4: a. First paragraph, Summary should include description of what have been done as well.**

**b. Second paragraph, see my comment above about how to look at different aerosol impacts on different cloud regimes/types. The current discussion might not relevant because the cloud types should be very different between the cold and warm sides of the frontal system.**

**c. The third and fourth paragraphs may need to be changed accordingly after my relevant comments above are addressed.**

**Response:** Yes, these paragraphs are thus revised accordingly in the revised main text.

**Grammatical problems: P4, L19: grammar error. P7, L6: grammar error. P7., L32, past tense is not needed here. There are many places in results section that have the mixed past and current tenses. Better to be consistent in tense to improve readability and avoid confusion.**

**Response:** Thanks for pointing this out. The grammar errors are corrected. The result section is revised with current tense to keep consistency.



## **Reviewer 2:**

**This study employed the WRF-Chem model and a series of sensitivity experiments to study the aerosol microphysical and radiative impacts on a historical heavy precipitation event in southern China. The effects of local and remote aerosols are compared by altering aerosol concentrations in different domains. The finding about the aerosol invigoration effect with a moderate aerosol increase generally agrees with the existing argument that aerosols tend to induce more extreme precipitation. The topic of study is important and fits with the scope of ACP very well. However, there are still lots of unclear writing and insufficient analyses in the manuscript. Major revisions are needed before it can be accepted by ACP.**

### **Two major comments:**

**1) It is kind of surprising to me that the simulated aerosol properties and spatial distributions are not shown in the manuscript. They are actually about the strength of the WRF-Chem model in doing aerosol-cloud research. PM<sub>2.5</sub> is plotted, but it is not quantitative index for either CCN effect or radiative effect. The spatial distributions are critical for us to understand the potential influence of remote aerosols. The aerosol chemical component determines the aerosol radiative properties, absorbing or scattering, as well as CCN ability.**

**2) Process-level analyses on ACI and ARI in this case should be strengthened. For ARI, I do not see any analysis on the radiative fluxes, temperature field, and associated dynamical adjustment. Is there any atmospheric heating due to black or brown carbon in this case? For ACI, the microphysical properties of all hydrometeor and co-varying water vapor field should be studied. See more specific comments below.**

**Response:** We thank the reviewer for the through and thoughtful comments. We tried our best to address all concerns and have revised the manuscript accordingly. Hope you find our revisions useful. Thank you very much.

For your questions, we only considered black carbon for atmospheric heating in our simulations because there is lack of reliable parameterization for brown carbon in our study region. The analysis was focused on the ACI impact because of its dominant role in this case.

### **Specific comments:**

**1) I feel the literature review in the introduction part is not done thoroughly. Considering both ACI and ARI have been extensively investigated for the past 10-20 years, more credits should be given to the studies with the similar topic.**

- **P2L4, inaccurate statement. Actually, there are lots of existing studies on the influence of aerosols on different types of extreme weather, such as tropical cyclone (Wang et al., 2014, Nat. Clim. Change; Zhao et al., 2018, GRL), hail storm (Iltoviz, et al., 2016, JAS), etc.**
- **P3L1, the competition between ARI and ACI has been widely discussed on both cloud- resolving scale (Lin et al., 2017, JAS; Wang et al., 2018, AAS) as well as regional climate scale (Wang et al., 2016, JGR).**

- **P3L3-5, different aerosol types can be a critical factor as well to determine the invigoration or suppression effect of aerosols (Jiang et al., 2018, Nat. Commu.).**

**Response:** Thanks for pointing this out. The studies are cited and a more through literature review are added into the introduction part.

**2) P3L15-20, it is not clear what are hypotheses for the different effects from local and remote aerosol emissions? Different concentrations, chemical compositions, or spatial distributions? What did observations tell us about their differences? Without stating those explicitly, readers fail to follow the logic flow of the paper.**

**Response:** We thank the reviewer for pointing this out. The different effects from local and remote aerosol emissions refer to different aerosol concentrations. Figure R14 shows the spatial distribution of aerosol optical depth during December 13–16, 2013. The values are missing over the southern China because of the mask effect of cloud. The aerosol optical depth is higher than 1 over north-eastern China, indicating strong air pollution. Given the wind pattern in Figure S1b, the aerosol concentrations over local region could be from either local emission or transport by monsoonal flow. As shown in Figure 10, the aerosol concentrations from local aerosol emissions accumulate near the surface decrease dramatically once the peak rainfall initiated. By contrast, the aerosols from transport extend a higher altitude in the atmosphere and last for much longer time. These statements are added in the main text.

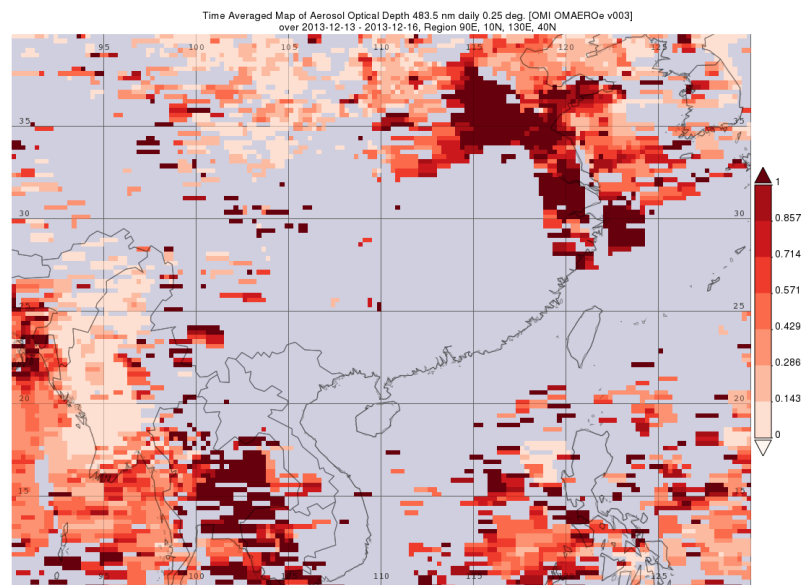


Figure R14. Spatial distribution of averaged aerosol optical depth at 483 nm from OMI during December 14–16, 2013 in 10°–40°N, 90°–130°E.

**3) Fig. 2b and 2c, rather than only showing the dots over the stations, I suggest to plot the rainfall map over the whole domain for model and satellite, which is helpful to characterize the system. You can still keep open circles to compare the rainfall over each station.**

**Response:** Thanks for your suggestions. The rainfall map over the whole domain is added in the revised manuscript (Figure 2).

4) Fig. 1b, the photo here deliver very litter information. Suggest to replace by the wind pattern analysis . Also, P6L20, a more accurate expression here is “monsoon system” or “monsoonal flow”.

**Response:** The photo is replaced by the suggested wind pattern figure, while the descriptions are also corrected.

5) P6L29-31, why not using TRMM which is better at heavy precipitation? What is the point to show a satellite product even worse than the model?

**Response:** The CMORPH data was used in this work because of its higher spatial and temporal resolutions (i.e. 8 km and 30 mins) than those of TRMM. The resolution is comparable to that of model output (i.e. 4 km and 1 hour, respectively) and rain gauge data. The finer temporal resolution allows us to check the aerosols’ effect at the peak time of the study event, which was previously discussed in the literature. In addition, the CMOPH data was also used in recent studies (e.g. Zhong et al., 2015) to evaluate the model performance on extreme rainfall cases.

To have a better understanding about the two data sets, we conducted a comparison between them. Figure R15d shows the TRMM data for this case. The TRMM data shows a better performance over south-western Guangdong Province and western Guangxi Province, in which CMORPH may underestimate precipitation. For precipitation along the coast and over the Pearl River Delta region, even though TRMM’s performance is better than CMORPH’s, TRMM also shows an underestimation.

Overall, as explained above, CMORPH was used due to its higher spatiotemporal resolutions and would like to use the similar dataset used in previous studies to provide a fair comparison with literature. The discussion is added in the revised manuscript. Thank you.

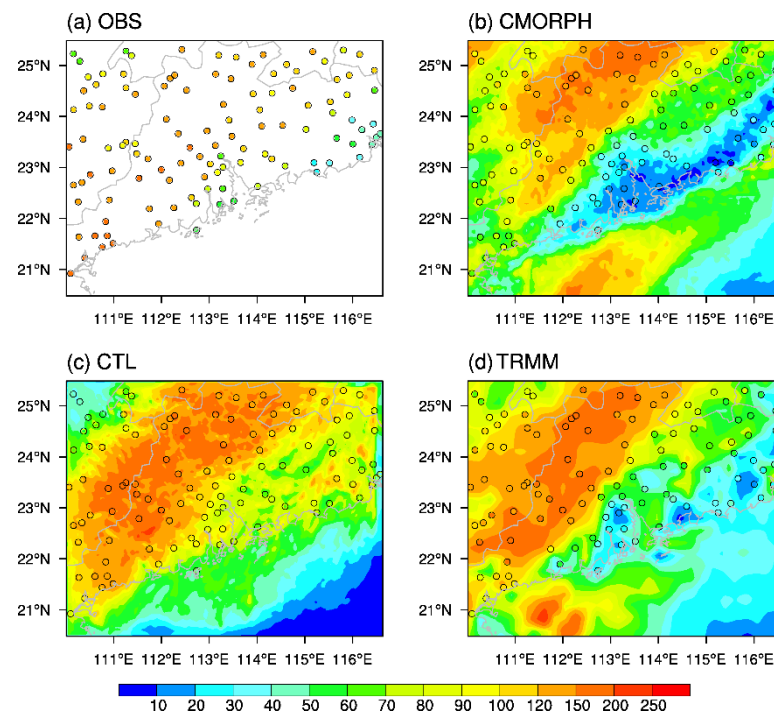


Figure R15. Spatial distribution of accumulated precipitation (unit: mm) from 00Z on December 14, 2013, to 00Z on December 17, 2013 from (a) station observations (OBS), (b)

CMORPH, (c) control simulation (CTL), and (d) TRMM. Circles denote locations of in situ observations.

**6) The physical meaning of  $ARI_{off} - CLEAN$  is not obvious, as the authors use  $(CTRL - CLEAN) - (CTRL - ARI_{off})$  to approximate ACI. I suggest the authors state this assumption explicitly and use ACI to replace  $ARI_{off} - CLEAN$  for all figure legends. Also, be careful about the usage difference between hyphen and minus sign.**

**Response:** Thanks for pointing this out. As suggested,  $ARI_{off} - CLEAN$  is replaced by the term ACI in all figures' legends and captions. The hyphen and minus sign are distinguished in all figure legends.

**7) It is unclear for me how the statistical analysis is conducted in those figures. As I understand, the authors only have one run for each model configuration. How to get the sufficient samples for the Student's t-test at each grid point?**

**Response:** As mentioned in P5 L4–6, to isolate robust signals from model natural variations, five ensemble members with a perturbed initial time at 3-h intervals were conducted for each experiment. The significance level was calculated based on the five ensemble members.

**8) P8L15-25, the authors only mentioned about the latent heat from droplet freezing. However, according to Fig. 5, clearly there is a significant portion of latent heat release below 4 km (warmer than 0 degree C). Can you plot the changes in liquid water content to confirm it? For the oceanic DCC, aerosol induced diabatic heating has two peaks, one in the warm portion and one in the mixed-phase portion (Fig. 3a of Wang et al., 2014, Nat. Commun.). Another interesting point here is that the Morrison microphysical scheme in WRF-Chem uses the simple water vapor saturation adjustment for condensation/evaporation. I speculate that this scheme cannot account for CCN effect in fostering condensation. Since this paper indirectly infers more liquid water forms, it is intriguing to see why.**

**Response:** Thanks for pointing this out. We agree that the condensational heat below freezing level also plays an important role. The source of latent heat is found to be not related to droplet freezing. Figure R16 shows the differences in cloud water and cloud ice induced by aerosols. The liquid water content increases below 0°C during almost all the period. The cloud ice also increases when the rainfall peak happens. The latent heat from microphysical processes are further divided into three parts from condensation, deposition, and freezing for warm cloud and cold cloud (Figure R17). Note the rimming processes are included into the freezing. Aerosol induced diabatic heating also has two net heating peaks in this case. However, the peaks are much higher than that in Wang et al. (2014) for oceanic deep convection, and just slight cooling occurs due to melting in warm cloud (Figure R17c). The net heating peaks are attributed to condensation in warm cloud and deposition in cold cloud at the height of 3 km and 7 km, respectively. In CLEAN experiment, fast coalescence forms warm rain and reduces the integrated droplet surface area, leading to supersaturated clouds. With aerosols, additional number of cloud droplets are nucleated (Figure 5a) on which water vapor condenses. This is consistent with Fan et al. (2018). Contents in the main text are revised accordingly (P9 L4–20). Thank you very much for your comment.

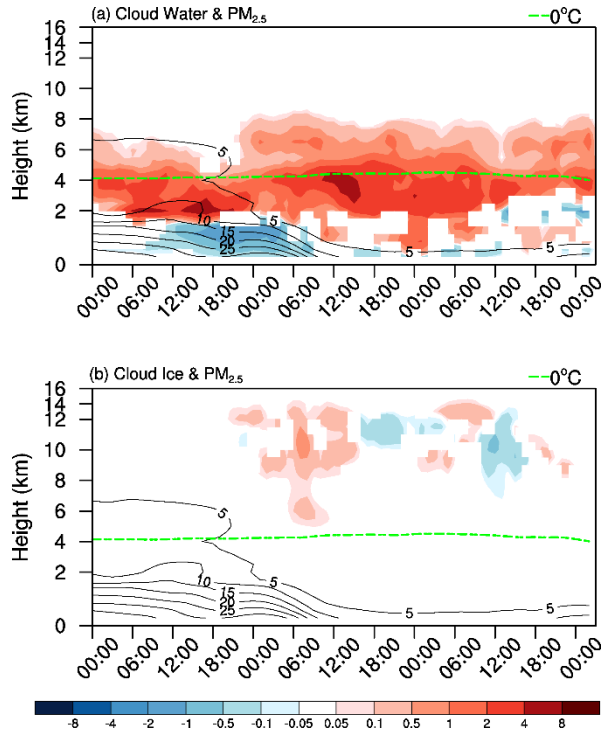


Figure R16. Differences with time (abscissa) and height (ordinate) in (a) cloud water (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and PM<sub>2.5</sub> concentrations (contour; unit:  $\mu\text{g m}^{-3}$ ) (b) cloud ice (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and PM<sub>2.5</sub> concentrations (contour; unit:  $\mu\text{g m}^{-3}$ ) between CTL and CLEAN (i.e. CTL minus CLEAN). Only cloud water, cloud ice, and PM<sub>2.5</sub> concentration anomalies that exceed 90% significance level are depicted with shading and contour. Green dashed lines denote 0°C isotherm calculated as the averaged zero-layer height over the red box in Figure 3.

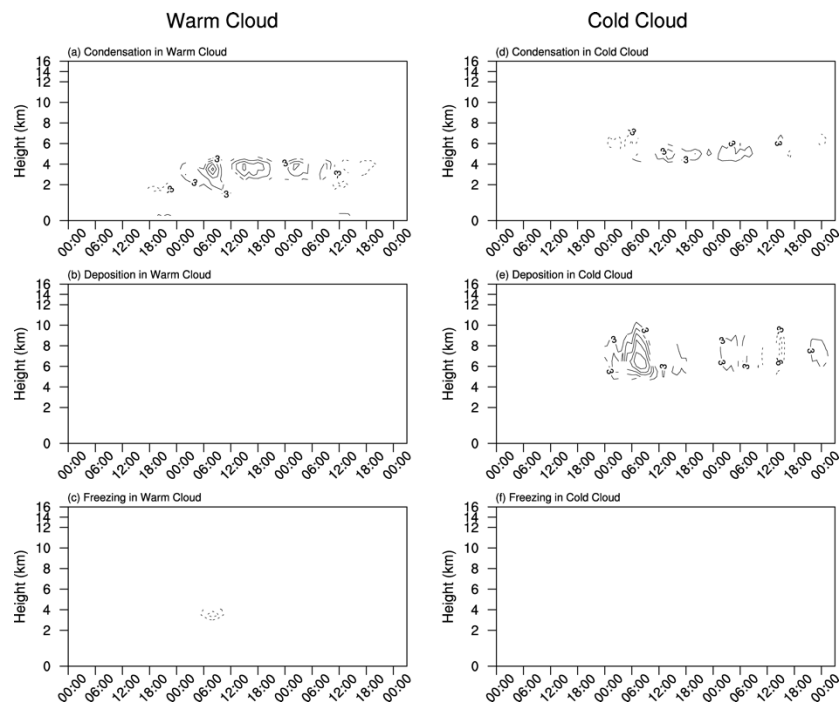


Figure R17. Differences with time (abscissa) and height (ordinate) in latent heat release (unit:  $\text{K d}^{-1}$ ) from (a) condensation, (b) deposition, and (c) freezing processes between CTL and CLEAN (i.e. CTL minus CLEAN) for the warm cloud. (d–f) Same as (a–c) but from cold cloud.

Only anomalies that exceed 90% significance level are depicted with and contour. Zero-value contour lines are omitted, and negative values are dashed. The contour interval is  $3 \text{ K d}^{-1}$ . Note the blank represent the values are within  $3 \text{ K d}^{-1}$ .

**9) Fig. 4,7, cloud fraction is about cloud macrophysics, which may not accurately reflect changes in cloud microphysics (water content, number concentration). The latter are more relevant with the aerosol invigoration effect. As mentioned above, I strongly suggest the authors plot and systematically analyze the changes in the mass and number concentration of the different hydrometeors.**

**Response:** The cloud fraction calculation in our model follows Randall (Hong et al., 1998) with value range from zero to one. Their values were calculated as the sum of cloud water, cloud ice and snow, which actually was based on mass. We chose cloud fraction in Figure 4 and Figure 7 because this variable is an indicator of mass for both liquid and ice clouds. The changes in the mass and number concentration of different hydrometeors are also analysed in Figure 6 and Figure 7 in the revised manuscript.

# Contribution of local and remote anthropogenic aerosols to intensification of a record-breaking torrential rainfall event in Guangdong province, China

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**Abstract.** A torrential rainfall case, which happened in Guangdong Province during December 14–16, 2013, broke the historical rainfall record in the province in terms of duration, affected area, and accumulative precipitation. The influence of anthropogenic aerosols on this extreme rainfall event was examined using a coupled meteorology–chemistry–aerosol model. Enhancement of precipitation in the estuary and near the coast up to 33.7 mm was mainly attributed to aerosol–cloud interactions, whereas aerosol–radiation interactions partially compensated 14% of the precipitation increase. [Further analysis of different hydrometeors and latent heat sources suggests that the ACI effects on intensifying the precipitation can be divided into two stage: cold rain enhancement in the former stage while warm rain in the latter.](#) Responses of precipitation to changes in anthropogenic aerosols [concentrations](#) from local (i.e., Guangdong province) and remote (i.e., outside Guangdong province) sources were also investigated through simulations with reduced aerosol emissions from either local or remote sources. Accumulated aerosol concentration from local sources aggregated mainly near the surface and diluted quickly after the precipitation initiated. By contrast, aerosol concentration from remote emissions extended up to 8 km and lasted much longer before decreasing until peak rainfall began, because aerosols were continuously transported by the strong northerly. ~~Although~~ [The patterns of precipitation response to remote and local aerosols concentrations resembled each other. However, compared with local aerosols through warm rain enhancement,](#) remote aerosols contributed more than twice the precipitation increase [via intensifying both cold and warm rain compared with local aerosols,](#) occupying a predominant role. Ten times of the emission sensitivity test resulted in about ten times of  $\text{PM}_{2.5}$  concentration compared with the control run. [Warm rain is drastically suppressed in 10× run.](#) The patterns of precipitation and cloud property changes also resembled that in the control run, but with much greater magnitude. The ~~average~~–precipitation ~~average over~~ Guangdong province decreased by 1.0 mm but increased by 1.4 mm in the control run. We noted that the reinforced precipitation increase was concentrated within a more narrowed downstream region, whereas the precipitation decrease was more dispersed across the upstream region. This

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indicates that the excessive aerosols not only suppress rainfall but also change the spatial distribution of precipitation, increasing the rainfall range, thereby potentially exacerbating flood and drought elsewhere. This study highlights the importance of considering aerosols in meteorology to improve extreme weather forecasting. Furthermore, aerosols from remote emissions may outweigh those from local emissions in the cloud invigoration effect.

## 5 1 Introduction

Synoptic weather is a key factor driving air pollution events through photochemical, turbulence, wet deposition, and transport processes (Ding et al., 2009; Guo et al., 2017; Liu et al., 2001; Madronich, 1987). Numerous studies have ~~forecast~~~~predicted~~ air quality either numerically or statistically based on weather conditions (Dutot et al., 2007; Otte et al., 2005). ~~In recent years, more and more~~ Few efforts have been made to identify the influence of aerosols on synoptic weather (Ding et al., 2013; Grell et al., 2011), ~~particularly~~~~especially~~ on different types of ~~in~~ extreme weather, such as tropical cyclone (Wang et al., 2014; Zhao et al., 2018), hail storm (Iltoviz et al., 2016), and extreme rainfall ~~eases~~ (Fan et al., 2015; Zhong et al., 2015). ~~However, the climate effects of aerosols have long been analyzed (Hansen et al., 1997; Myhre et al., 2013; Twomey, 1977).~~

For decades, China has been affected by severe ~~air~~ pollution ~~due to~~~~induced by~~ rapid urbanization and economic development (He et al., 2002). The Pearl River Delta (PRD) region, situated on the south coast of China, is one of the most developed ~~as well as and also~~ the most polluted regions. The aerosol optical depth retrieved from the Moderate Resolution Imaging Spectroradiometer is typically higher than 0.6 in Guangzhou, a megacity in the PRD region (Wu et al., 2005).

In addition to reducing visibility and inducing respiratory diseases (Cohen et al., 2015; Gu and Yim, 2016; Chen et al., 2017), high aerosol concentrations can also affect weather and climate through interactions with radiation and clouds (Bollasina et al., 2011; Lau and Kim, 2006; Wang et al., 2011). Aerosols absorb and scatter solar radiation and serve as cloud condensation nuclei and ice nuclei, which are referred to as aerosol–radiation interactions (ARI) and aerosol–cloud interactions (ACI), respectively (IPCC, 2013). Both ARI and ACI influence ~~deep~~ convection and hence precipitation (Fan et al., 2008, 2013; Koren et al., 2004; Liu et al., 2018; Rosenfeld et al., 2008; Fan et al., 2018). Liu et al. (2018) found that ARI suppressed deep convection by reducing the relative humidity in the middle–upper troposphere and ~~by~~ weakening the upward motion. Fan et al. (2015) revealed that ARI weakened convergence, enhanced atmospheric stability, and suppressed convection in the basin during the daytime. Excess moist static energy was transported to ~~the~~ mountains, ~~thus~~ generating heavy rainfall at night. This ~~local rainfall~~ suppression effect is dramatically modulated by the ~~intensity of~~ synoptic ~~scale~~ forcing (Zhong et al., 2017). ~~Compared with the effects of ARI, the effects~~ of ACI on deep convection and precipitation have received more attention and are more controversial in both observational and modeling studies ~~compared to those due to ARI~~. Increased aerosols can suppress or enhance precipitation depending on environmental conditions such as humidity, cloud type, cloud phase, and vertical wind shear (Khain, 2009; Lee et al., 2008; Tao et al., 2012). Khain (2009) and Fan et al. (2007) ~~have~~ reported that increases in humidity generate more condensate ~~than lost~~, resulting in more precipitation from deep convective clouds, especially in a polluted environment. Studies have reported that aerosols inhibit precipitation from shallow clouds (Andreae



et al., 2004; Chen et al., 2016; Rosenfeld, 2000), whereas they invigorate deep convection with warm (>15°C) cloud bases (Bell et al., 2008; Koren et al., 2010, 2014). By contrast, ~~smaller cloud droplets induced by aerosols could remain liquid the slowing autoconversion rate induced by aerosols forms airborne cloud droplets in clouds with bases near or above 0°C when lacking ice nuclei, inhibiting precipitation~~ (Cui et al., 2006; Rosenfeld and Woodley, 2000). Fan et al. (2009, 2012) ~~have~~ suggested that increased aerosols enhanced convection under weak wind shear and suppressed convection under strong wind shear by increasing evaporative cooling ~~in for~~ an isolated storm. However, the evaporative cooling induced by aerosols has also been found to enhance precipitation under strong wind shear ~~in for~~ cloud systems (Lee et al., 2008; Tao et al., 2007). ~~Most of these works attribute the convection invigoration effect to cold cloud processes accompanied by latent heat release due to freezing. Recently, Fan et al. (2018) found that the latent heat release could be mainly attributed to condensational heating rather than ice-related processes at upper levels, differing from cold-cloud invigoration (Rosenfeld et al., 2008). Few studies have discussed the competition between relative importance of ARI and ACI, has been found at both cloud-resolving (Lin et al., 2017; Wang et al., 2018) as well as regional (Wang et al., 2016) scales on deep convection and precipitation. Fan et al. (2008) suggested that the suppressive effects of ARI-induced rainfall suppression can outweigh the invigoration effects of ACI in deep convective systems on precipitation as the absorption of aerosols enhances. Koren et al. (2008) showed that the net effect of two opposite influences, those of ARI and ACI, on clouds over the Amazon depends on the initial cloud fraction. Large cloud cover fractions were mostly invigorated by ACI, whereas small cloud cover fractions were suppressed by ARI. The net aerosol effect on deep convection, and the overall invigoration or suppression, depends also on the aerosol type as different aerosol species have different radiative and microphysical properties (Jiang et al., 2018). The precipitation enhancement in the downwind area of a polluted environment could be induced mainly by either ARI or ACI (Fan et al., 2015; Zhong et al., 2015). Previous Both studies have focused on role of aerosols on summer extreme rainfall cases (Fan et al., 2015; Zhong et al., 2015) because most extreme rainfall events occur in summer over China (Fu et al., 2013). We selected a wintertime torrential rainfall event ease in winter, which broke the record of Guangdong Province since 1951 in terms of duration, affected area, and cumulative rainfall over the PRD region, to further understand the combined effects and relative importance of ARI and ACI on precipitation. Before this heavy rainfall, the PRD region was affected by strong haze with PM<sub>2.5</sub> concentrations approaching 174 µg m<sup>-3</sup>. The significant transboundary nature of air pollution in China has been well recognized (e.g., Gu and Yim, 2016). The effects of local and remote aerosol emissions on long-term changes in monsoons and associated precipitation, particularly the Indian summer monsoon, have been examined in recent years (Bollasina et al., 2014; Cowan and Cai, 2011; Guo et al., 2016b; Li et al., 2016; Jin et al., 2016), which was comprehensively reviewed by Li et al. (2016). Yet, the effects of local and remote aerosol emissions on extreme rainfall events remain mostly unexplored. Moreover, given the strong monsoonal flow and severe air pollution over northeastern China (Figure S1b), the regional aerosol loading could be either from local emissions or transport by prevailing northeasterly. A critical question, therefore, is whether aerosol concentrations that affected this extreme rainfall case originated from local or remote aerosol emission sources. The remainder of this study is organized as follows: Section 2 describes the regional-model used, associated with the experimental design as well as the observational datasets of this study. Main findings on the effects of~~

aerosols on the simulated extreme rainfall event are discussed in section 3. The main conclusions are summarized and discussed in section 4.

## 2 Model configurations, experiment set-up, and observational datasets

The main principle tool used infor this work was the Weather Research and Forecasting (WRF) model coupled with Chemistry (WRF-Chem) v3.5.1 (Grell et al., 2005), with some recent improvement by the University of Science and Technology of China (Zhao et al., 2013a, 2014, 2016; Hu et al., 2016). The details of the WRF-Chem configuration are documented in section 2.1, followed by a description of model experimental design in section 2.2. The observational datasets used tofor validating the model simulated precipitation performance, along with hourly in situ PM<sub>2.5</sub> observations are described in section 2.3.

### 2.1 WRF-Chem

WRF-Chem is a regional weather and climate fully-online model coupled with gas-phase chemistry mechanisms and aerosol physiochemical modules. In this model, chemical and meteorological components use the same grid coordinates, time steps, transport schemes, and subgrid physics. The meteorological component (WRF) of this coupled model uses an Eulerian dynamical core with a nonhydrostatic solver (Skamarock et al., 2008). Gas-phase chemical reactions are estimated using the carbon bond chemical mechanism (Zaveri and Peters, 1999). Aerosol physics and chemistry are treated using the Model for Simulating Aerosol Interactions and Chemistry (MOSAIC) scheme (Zaveri et al., 2008) with aqueous chemistry. The aerosol size distribution is represented by four discrete size bins within the MOSAIC scheme: 0.039–0.156  $\mu\text{m}$ , 0.156–0.625  $\mu\text{m}$ , 0.625–2.5  $\mu\text{m}$ , and 2.5–10  $\mu\text{m}$  (Fast et al., 2006). A The approach to aerosol dry deposition is based on Binkowski and Shankar (1995), while in-cloud (rainout) and below-cloud (washout) removal of aerosols by resolved clouds and precipitation are simulated following Easter et al. (2004) and Chapman et al. (2009), respectively. The transport and wet removal of aerosols by convective clouds are also considered using the Kain–Fritsch (KF) scheme (Kain and Fritsch, 1990) following Zhao et al. (2009, 2013b). The major physical components/schemes of the meteorological module components comprise the KF cumulus scheme; the Yonsei University (YSU) planetary boundary layer (PBL) scheme (Hong et al., 2006); the National Center for Environmental Prediction, Oregon State University, Air Force, and Hydrologic Research Lab’s (NOAH) land surface model (Chen and Dudhia, 2001); the Morrison two-moment scheme for cloud microphysics (Morrison et al., 2009); and the rapid radiative transfer for global (RRTMG) for both longwave and shortwave radiation schemes (Iacono et al., 2008). Aerosol interactions with shortwave and longwave radiation are incorporated into the model by linking aerosol optical properties, including optical depth, single-scattering albedo, and asymmetry factor, to RRTMG shortwave and longwave schemes, respectively (Zhao et al., 2010, 2011). The effects of ACI are estimated by considering the activation of aerosols to form cloud droplets based on the maximum supersaturation in the Morrison microphysical scheme (Chapman et al., 2009; Yang et al., 2011).

## 2.2 Experimental design

A set of WRF-Chem simulations were conducted to investigate the effect of aerosols on the extreme rainfall event of December 14–16, 2013. Unless otherwise specified, all time points in this study refers to local standard time (LST), which is equal to UTC+8. Two nested simulation grids (one-way nesting) are run at a horizontal resolution of 20 km and 4 km, respectively, for a domain covering most of China (87.47°–131.67° E, 11.42°–41.22° N) and the Guangdong province (109.59°–117.32° E, 20.07°–25.62° N) with a horizontal resolution of 20 km and 4 km, respectively (Figure S1a). The cumulus scheme was turned off in the inner domain. Both nested grids used 41 vertical levels extending from the surface to 100 hPa. The meteorological initial and boundary conditions (ICs and BCs) were derived from the 6-hourly data from National Center for Environmental Prediction global final analysis data with a horizontal resolution of  $1^\circ \times 1^\circ$ . The 6-hourly chemical ICs and BCs were generated from the Model for Ozone and Related Chemical Tracer version 4 (MOZART-4), which is an offline global chemical transport model suited for tropospheric studies, at a horizontal resolution of  $1.9^\circ \times 2.5^\circ$  with 56 vertical levels (Emmons et al., 2010). Anthropogenic emissions were obtained from the Emissions Database for Global Atmospheric Research Hemispheric Transport of Air Pollution v2 inventory (Janssens-Maenhout et al., 2015) for the year 2010 with a resolution of  $0.1^\circ \times 0.1^\circ$  ([http://edgar.jrc.ec.europa.eu/htap\\_v2/](http://edgar.jrc.ec.europa.eu/htap_v2/)). Biomass burning emission data were extracted from Fire Inventory from NCAR-FINN version 1.5 (Wiedinmyer et al., 2010). Dust and sea salt emission schemes were updated following Zhao et al. (2010) and Zhao et al. (2013a), respectively. The results showed marginal differences between simulations with and without dust and sea salt emissions (figure not shown) in our study case; possible reasons for this are discussed in section 4.

Six sets of experiments were performed in total (Table 1). To isolate robust signals from the model's natural variations, five ensemble members with perturbed ICs at 3-h intervals were conducted for each experiment. The simulations started from 08Z to 20Z on December 13 with 3-h intervals, and all ended at 02Z on December 17 in 2013. Data up to the simulation before December 14 was for model spin up, and the following analysis focuses on the period during results from December 14–16. The control in the first experiment (CTL) uses current emissions and were used in the simulation with both ARI and ACI effects are enabled included (Table 1). Following Fan et al. (2015), we scaled the anthropogenic and fire emissions to represent background aerosols before the extensive economic development in China by a factor of 0.1 and performed the CLEAN simulation: a scaling factor of 0.1, instead of 0.3 as in Fan et al. (2015), is chosen to account for the larger 2010 emissions than those in 2006 (Chang et al., 2018). It is used to mimic the situation in which the background of aerosol concentrations serve as cloud condensation nuclei before the economic development in China. The differences between CTL and CLEAN represents denote the total effects of aerosols including both ARI and ACI effects on this extreme rainfall case. To examine the role and relative importance of ARI and ACI, the ARIoff run was conducted based on CTL run by excluding the ARI effect in the CTL experiment. Thus, the differences between CTL and ARIoff (CTL minus ARIoff and ARIoff minus CLEAN) represents ARI effects and ACI effects, respectively (Zhong et al., 2015). The ACI effects are approximated by looking at the difference between CTL – CLEAN and CTL – ARIoff. To distinguish and isolate the effects induced by local

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(i.e., domain 2, aerosol sources within Guangdong province) emissions and remote (i.e., domain 1, sources located outside Guangdong province) emissions, we conduct two other experiments, ~~were designed that were~~ identical to ~~CTL~~ the CTL run, except for scaling the emissions and chemical ICs and BCs by a factor of 0.1 in domain 2 (hereafter D1 run, Table 1 ~~Table 1~~) and domain 1 (hereafter D2 run), respectively. Note that the offline chemical BCs extracted from MOZART are only applicable to domain 1. Along with CTL run, these experiments allowed us to separate interpret and ascertain aerosol-related changes that would have occurred with either local or remote aerosol emissions by examining the observing differences between CTL minus CLEAN and either D2 minus CLEAN or D1 minus CLEAN, respectively. To test ~~the~~ sensitivity of the results precipitation to the magnitude of aerosol emission concentrations, we perform an additional ~~one more~~ experiment in which for extreme polluted case was conducted. We ~~scale~~ the emissions and chemical ICs and BCs (i.e., as in CTL) are scaled by a factor of 10 (10 $\times$ ) ~~in parallel to that in CLEAN run~~.

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### 2.3 Observational datasets

The performance of model-simulated precipitation ~~performance~~ was evaluated with satellite-based precipitation products and in-situ rainfall observations.

Climate Prediction Center morphing technique (CMORPH) data set produced by the National Oceanic and Atmospheric Administration covering the period from December 2002 to present are used. In this technique, infrared geostationary satellites observe the motion vectors of precipitation patterns to generate half-hourly precipitation estimates by using passive microwave (PMW) sensors. Time-weighted linear interpolation is exploited to morph the shape and intensity of precipitation features when and where PMW data are unavailable. This provides data for global (60° S–60° N) precipitation analysis with a horizontal resolution of 0.07277° (approximately 8 km at the equator) and temporal resolution of 30 minutes. More details of the CMORPH products are documented by Joyce et al. (2004).

~~In The~~ in-situ hourly precipitation dataset was developed at the National Meteorological Information Center of the China Meteorological Administration (source: <http://data.cma.cn>). A total of 115 stations are within domain 2. Their locations are represented as colored circles in Figure 2 ~~Figure 2a~~.

~~The ERA-Interim reanalysis is used to evaluate the model performance in simulating the large-scale circulation. This data is a global atmospheric reanalysis provided by the European Centre for Medium-Range Weather Forecasts (ECMWF) (Dee et al., 2011). The data is available from 1979 onward at a horizontal resolution of approximately 0.25° which is comparable to the resolution of domain 1.~~

The hourly PM<sub>2.5</sub> concentration in-situ dataset was used to evaluate the model performance on PM<sub>2.5</sub> concentration. This dataset is obtained from the website of the Ministry of Environmental Protection (source: <http://106.37.208.233:20035>) (Zhang and Cao, 2015). In total, 58 stations are within domain 2. Their locations are denoted as colored circles in Figure 1 ~~Figure 1c~~.

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### 3 Results

During December 14–16, 2013, there was a rare continuous rainstorm occurs during December 14–16, 2013 over all of Guangdong Province. The 3-day accumulated rainfall at most stations exceeded 100 mm (Figure 2a), which may benefit winter and spring water usage, promote air cleaning, and reduce forest fire risk. This was the most extreme precipitation event in the province in terms of duration, affected area, and cumulative rainfall in December since the meteorological record of Guangdong province set in 1951 (Deng et al., 2015). The mid-tropospheric flow pattern, with a ridge to the northeast of the Tibet Plateau and a trough over the west of the Indo-China Peninsula, is favorable for cold air moving southward, whereas moist and warm air from the Bay of Bengal and the South China Sea move northward (see Figure S2a of Deng et al., 2015). This pattern The persistent meeting of these two flows results in strong intense convergence (Figure S1b) at lower levels over domain 2 (Figure 1b), and leading to intense convection as indicated by the bright white color in the natural-color satellite image captured by NASA's Terra (Figure 1a) thus produces torrential rainfall. The cloud top temperature average over the land in domain 2 is lower than  $-15^{\circ}\text{C}$  almost everywhere with minimum values of  $-35^{\circ}\text{C}$  (Figure S1b). The Before the study case occurred, Guangdong province was affected by severe pollution on December 13, the day before the storm. The hourly-averaged  $\text{PM}_{2.5}$  concentrations exceeded  $100\ \mu\text{g m}^{-3}$  in the Pearl River Delta region, with a peak value of about  $1743.58\ \mu\text{g m}^{-3}$  (Figure 1c). The Canton Tower, the second tallest tower in the world and the landmark of Guangzhou City (denoted by a star in Figure 1c), was almost invisible under this extreme haze (as seen in the photo in Figure 1b). The area to the north of the Guangdong province, including the Zhejiang, Jiangsu, and Anhui provinces, was blanketed in grey haze in the natural-color satellite image captured by NASA's Terra (Figure 1a), indicating the presence of smog. Note the grey haze area was smog, whereas whiter areas with more defined features were clouds. The column-integrated  $\text{PM}_{2.5}$  concentrations in these areas, as simulated in CTL, reached up to  $2000\ \mu\text{g m}^{-2}$  during December 14–16, 2013, in the simulated control run (Figure 1b). Strong prevailing northeasterly (Figure 1b) winds south of  $30^{\circ}\text{N}$  along the east coast of China indicated a strong monsoonal flow East Asian winter monsoon (Chang et al., 2006). The patterns of circulation and pollutant patterns were favorable for aerosol transport to the south of China. Built on the observational and modeling works discussed above, we examined in section 3.2 the total effects and relative importance of ARI and ACI on this extreme rainfall event. We also distinguish and isolate the response to local and remote aerosol emissions in section 3.3. In section 3.4, the sensitivity of precipitation to aerosol emissions is explored.

#### 3.1 Model Rainfall evaluation compared with observational datasets

The 500-hPa geopotential height and wind pattern simulated in the control run are evaluated with ERA-interim data (Figure S2). The model well captures the trough over the western of the Indo-China Peninsula and the sub-tropical high over the South China Sea and northwestern Pacific. The pattern correlation of the 500-hPa geopotential height reaches 0.99 at the 99% significance. The model simulated  $\text{PM}_{2.5}$  concentration reproduces the main features of the observed pattern with higher concentration over mega cities and low over surrounding areas (Figure S6). The model fails to reproduce the hot spot near the

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estuary, possibly due to the model coarse resolution or a bias in the emissions. In the time series, both CTL and observations show a dramatically decreasing trend of PM<sub>2.5</sub> concentrations once the rainfall initiated (Figure S7). The model could generally replicate the spatial distribution and time evolution of PM<sub>2.5</sub> concentrations with some underestimation during the first two days. The underestimation may be due to a two strong wash out in the CTL (Figure 2d). This bias may underestimate the aerosol impact on rainfall.

Figure 2 compares the model-simulated precipitation performance to was evaluated through comparison with in situ observation and satellite data, as shown in Figure 2. The model output and satellite retrievals were interpolated to the location of in-situ observations through bilinear interpolation (Figure 2 Figures 2a–2c). Approximately 100 mm of precipitation accumulated during December 14–16, 2013, covering uniformly across the entirety of Guangdong Province. However, CMORPH satellite data, which is often used to evaluate model rainfall performance, underestimates the precipitation, particularly near the coast. Previous studies have reported that CMORPH products substantially underestimated heavy rainfall (Jiang et al., 2018; Qin et al., 2014) and cold season rainfall (Xie et al., 2017). The time series of the average rain rate over Guangdong Province revealed a remarkable extreme rainfall event with a lasting rain rate of 2.5 mm h<sup>-1</sup> on the second and third day; CMORPH data distinctly underestimates rainfall for these days (Figure 2 Figure 2d). The model reproduces a similar magnitude to the observations with an earlier peak in the early morning near 08Z8:00 a.m. on December 15. The initial time and physics schemes including microphysics, land surface, and PBL are tuned to check whether the peak time will be different. However, the rainfall changes are mostly happened in amplitude rather than peak time, thus we conclude that the bias may be induced by the meteorology boundary conditions from global model. The Taylor diagram for 3-day accumulated rainfall in Figure 2 Figure 2f suggests that the model simulation yielded a higher pattern correlation of 0.50–0.55 and a lower bias of 5%–20% than the CMORPH retrieval does (0.4 and >20% for pattern correlation and bias, respectively). Signs of bias are represented by inverted (negative) or upright (positive) triangles, indicating that the model overestimated the rainfall amount while the satellite products underestimate it. The TRMM data is also used to evaluate this extreme case in Figure S5d. Precipitation is also underestimated along the coast as well as in CMORPH data. Overall, the model replicates the spatial distribution, time evolution, and the intensity of this extreme rainfall event. Note that all the analyses in the following sections are based on simulation results from domain 2.

### 3.2 Effects of ARI versus those of ACI

Aerosols can change cloud properties and precipitation through two processes, radiative and microphysical (Graf, 2004; Kaufman and Koren, 2006), which contribute to the largest uncertainty in human-induced climate changes. We attempted to isolate the effects of ARI and ACI and thus investigate their roles and relative importance in this extreme rainfall event. Figure 3 shows the spatial distribution of the daily accumulated precipitation differences for December 14 and 15 between the different scenarios. Because the results on the third day, December 16, illustrate a similar mechanism to those on December 15, our analysis focused on December 15. The differences between scenarios on December 16 are in the supplementary materials for reference (Figure S32). Distinct effects of aerosols appeared during the second day when the rainfall peaked

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(Figure 3Figure 3d). although aerosols lead to more cloud droplet number concentration associated with smaller radius on the first day (Figure 5a)but the aerosol concentration differences occurred on the first day, as shown in Figure 4b; this suggests that the a time lag effects of aerosols on precipitation are modulated by other factors (e.g. meteorological conditions). On December 15, the domain-averaged precipitation increasesed by 1.4 mm. A reduction of up to 19.4 mm appearsed in northern Guangdong province, whereas an increase of up to 33.7 mm occursred in southern Guangdong province, particularly in the region near the Pearl River estuary and land along the coast. The region 22°–24° N and 112°–115° E, denoted by red boxes in Figure 3Figure 3, is our focus for the following analysis, because it exhibits prominent rainfall increases by 16.7% differences (+7.8 mm) (+7.8 mm) on average and covers some of the most advanced city clusters in China including Hong Kong, Shenzhen, and Guangzhou. The corresponding precipitation differences induced by ARI and ACI were –1.3 mm (–2.8%) and +9.3 mm (+19.9%), respectively. Positive indicates an increase, and negative indicates a decrease. It is evident that from the pattern of precipitation changes that the net aerosol effects were dominated by ACI during this event. The time series of average precipitation over the red box shows that the model simulations reproduced a rainfall amount comparable to the observation (Figure S43). Compared with the CTL and ARIoff runs, the CLEAN run yieldsed an analogous time evolution, with less rainfall during the peak time from 06Z–8:00 a.m. on December 15 to 10Z:00 a.m. on December 16. The next question that arose iwas how ACI can increase the rainfall amount over the region.

Figure 4Figure 4a shows the time–height cross section of cloud fraction (shading) and PM<sub>2.5</sub> concentration (contour) in the CTL run. Most cloud fraction concentrates below 8 km in the first day, associating with small amount of rainfall. Deep convection, with a cloud base at approximately 500 m and cloud top extending to approximately 14 km, appearsed during December 15–16 when peak rainfall occursred. The PM<sub>2.5</sub> concentrations in Figure 4Figure 4a portray a sharp contrast before and after the rainfall peak. After the rainfall peaked at near 07Z in Figure S3, aerosols were washed out dramatically by precipitation. However, before the peak, PM<sub>2.5</sub> concentrations decreased gradually from 40 μg m<sup>-3</sup> near the surface to 5 μg m<sup>-3</sup> near 7 km above ground. With aerosols acting as cloud condensation nuclei, more cloud droplets are formed with smaller radius, particularly before the rainfall peak when aerosol concentration is high (Figure 5a). Smaller cloud droplets evaporate associated with a reduction of cloud water (Figure 6a), resulting in cooling effect and weaker updraft (Figure 5Figures 5g and 5i). Thus, the cloud fraction decreases before the peak, especially below 2 km. By contrast, These aerosols acted as cloud condensation nuclei to promote cloud droplet formation and invigorate convection (Figures 4b and 4c). (There was a prominent cloud fraction band increase near 4 km throughout the peak period with aerosols. The increase of cloud fraction extended to the upper troposphere, near 14 km, corresponding to the increase of ice cloud shown in Figure 5Figures 5d and 5f. As a result, the deep convection is enhanced associated with more rainfall during peak time. By contrast, cloud reduction below 2 km from 06Z on December 14 to 12Z on December 15 may be linked to excessive aerosol concentrations and shallow clouds, which led small droplets to evaporate (Gunn and Phillips, 1957; Zhong et al., 2015). The evaporative cooling resulted in weaker updraft, as shown in Figures 5g and 5i. The similarity of cloud fraction changes between Figure 4Figure 4b and Figure 4Figure 4c suggests that ACI dominated the total aerosol effect in this event, which is consistent with the previous discussion.

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Figure 5a–5c present the aerosol effects on cloud droplet number concentration (CDNC; shading) and cloud effective radius (contour). With aerosols, CDNC increased dramatically by 5.5 times accompanied by reduced cloud effective radius near 2 km from 00Z on December 14 to 00Z on December 15, which reduces the efficiency of collision-coalescence between cloud droplets into raindrops (Rosenfeld, 2000; Twomey, 1977). This is characterized by less rain water formed in Figure 6c, indicating suppress of the warm rain. Figure 6a shows more cloud water formed at 2–6 km due to higher supersaturation. The consumption of moisture and energy limits the formation of low cloud below. During droplet nucleation due to activating enormous aerosols, there are abundant latent heat release by enhanced condensation below the 0°C isotherm line. This is also reported in Fan et al. (2018) in which the mechanism responsible for convection intensification is latent heat release from cloud water formation with ultrafine aerosols. This is called “warm-phase invigoration” in their study which is different from “cold-phase invigoration” via suppressing the warm rain. Interestingly, unlike their work, the warm rain is still suppressed before 15Z on December 15 (Figure 6c) even though with strong latent heat release through cloud water formation. The rain water is not increased by accretion of added cloud droplets, which implement that the precipitation increase is because of enhancement of cold rain. Both cloud ice number concentration and its effective radius are significantly increased between 6Z and 15Z on 15 December. Moreover, the mass and number of ice crystals including cloud ice, snow, and graupel increase drastically during this period. Note the magnitude of snow and graupel mass is ten times of that of rain water. A distinct latent heat release center appears above 0°C isotherm line, which is even stronger than the condensational heat below. These two peaks in aerosol induced diabatic heating are also discussed in Wang et al. (2014) for oceanic deep convection. However, the peaks at 3 km and 7 km are much higher. This may be because the convection occurs over the land. The latent heat from these two peaks thus will intensify convective strength. These findings suggest the cold-cloud process play a dominant role in the precipitation increase before 15Z on 15 December. To further analyze the source of this latent heat release, following Fan et al. (2018), the latent heat released from condensation, deposition, and freezing during cold and warm cloud processes are diagnosed (Figure 7). The rimming processes are included into the freezing. Cold-phase invigoration by aerosols has been shown in both observational (Andreas et al., 2004) and modeling (Khain et al., 2005; Fan et al., 2007) studies. Particularly, much attention is paid to mixed and cold process in which supercooled droplets are likely to freeze and release latent heat, further enhancing convection (Koren et al., 2008; Rosenfeld et al., 2008; Tao et al., 2007). Interestingly, the latent heat release due to freezing with aerosols is negligible compared with that due to condensation and deposition. The distinct latent heat changes mentioned above in Figure 5g is induced by deposition in cold cloud (Figure 7e). Figure S8 shows the time-height distribution of mass and number concentrations for different hydrometers in control run. Note the magnitude of snow and graupel mass is ten times of that of rain water. There are affluent snow and graupel before 15Z on 15 December located where the distinct changes in depositional heat appears. Smaller cloud effective radius associated with more droplets is produced due to aerosols activation. The subsequent condensational growth lowers the water supersaturation, which is also reported in Fan et al. (2018). As this occurs, the environment becomes unsaturated to water, resulting in the evaporation of liquid water. This is known as the Bergeron-Findeisen-Wegener theory. With the presence of ice crystals, water vapor deposition is prior to happen on ice surface when the saturation with respect to water is supersaturation with respect to ice. Correspondingly, the ice

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crystals (i.e. cloud ice, snow, and graupel) increase at the expense of rain water (Figure 6c–e). The latent heat release due to deposition in cold cloud is stronger than that due to condensation in warm cloud though the latter is also very important. After 15Z on December 15, most of the ice crystals fall as precipitation. Compared with depositional heating, the condensational heating plays a dominant role in intensifying convective strength. The rain water increases through accretion of added cloud droplets, leading to precipitation increases. These findings highlight two different processes and mechanisms in the precipitation increase before and after 15Z on December 15. The dominant source for latent heat release is depositional heating in the former case (cold rain enhancement) while condensational heating in the latter (warm rain enhancement). Due to latent heat release with aerosols, the vertical motion is boosted (Figure 5g) which further enhance the supersaturation and associated with latent heat release. Via microphysics–dynamics feedback, the convection is intensified, and precipitation increased. This feedback has been widely discussed in ACI effects on deep convection (Fan et al., 2018; Koren et al., 2015; Tao et al., 2012), which reduced the efficiency of collision–coalescence between cloud droplets into raindrops (Rosenfeld, 2000; Twomey, 1977). Smaller cloud droplets are more likely to ascend to higher altitudes, where ice precipitation particles can form. Both the cloud ice number concentration (CINC) and ice cloud effective radius increased above the freezing level (approximately 4 km as calculated from CTL simulation, see dashed lines in Figure 4) from 18Z on December 14 (Figures 5d and 5f). The interim processes released substantial latent heat up to  $24 \text{ K d}^{-1}$  aloft and strengthened the updrafts (Figures 5g and 5i). These changes, in turn, invigorated greater convection (Storer and van den Heever, 2013; Zhong et al., 2015) and resulted in more precipitation in the estuary. Both observational and numerical studies have found this cloud invigoration effect (Altaratz et al., 2014). The effect refers to the processes that increases in aerosols reduce cloud droplet size and suppress coalescence and warm rain, leading to more freezing of cloud droplets associated with latent heat release and enhancing cold rain (Rosenfeld et al., 2008). The coupling between cloud microphysics and dynamics is at the core of this process (Koren et al., 2015). This feedback loop is driven by latent heat release and regulated by the size distribution of cloud droplets, which is related to the first indirect effect of aerosols (Tao et al., 2012).

To further delineate the mechanism of this microphysics–dynamics feedback, the moisture budget tool *iwas* was implemented based on the hourly model output. The atmospheric moisture balance is expressed as follows:

$$\frac{\partial Q}{\partial t} = E - P + MFC \quad (1)$$

where  $Q$  is the column-integrated water vapor in the atmosphere,  $t$  is time,  $E$  is evaporation,  $P$  is precipitation, and  $MFC$  is the vertically integrated moisture flux convergence.

Evaporation is small in areas of intense precipitation and saturation (Banacos and Schultz, 2005). The column-integrated water vapor changes are small (figure not shown), thus precipitation is balanced by the moisture flux convergence as follows:

$$P \approx MFC \quad (2)$$

MFC can be further divided into two terms as

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$$-\frac{1}{g} \int_0^{P_s} \nabla \cdot (\overline{q\vec{V}_h}) dp = -\frac{1}{g} \int_0^{P_s} \overline{q} \nabla \cdot \vec{V}_h dp - \frac{1}{g} \int_0^{P_s} \vec{V}_h \cdot \nabla q dp \quad (3)$$

where the first term on the right side is the horizontal moisture convergence (hereafter CON); the second term is the horizontal advection of water vapor (hereafter ADV). Thus the precipitation is balanced by the sum of CON and ADV as

$$P \approx MFC = CON + ADV \quad (4)$$

The spatial distributions of column-integrated MFC (shading) and moisture flux (vector) between CTL and CLEAN on December 15 are displayed in [Figure 8](#). The MFC pattern was in good agreement with precipitation differences in [Figure 3](#), suggesting the validity of the derivation of Equation (2). The average MFC change over the analysis region was +8.1 mm, which is comparable to +7.8 mm in precipitation difference. The vertically integrated moisture flux changes in [Figure 8a](#) followed the wind pattern, as shown in [Figure 20](#). The moisture flux is enhanced over the analysis region driven by strong convergence, which is consistent with microphysics-dynamics feedback discussed above. The moisture was transported by northerly wind over the northeast of Guangdong province and southerly wind over the sea. These flows converged in the estuary and near the coast with a magnitude of approximately 25 kg m<sup>-1</sup> s<sup>-1</sup>. The overall pattern of CON is broadly consistent with that of MFC, which indicates that the MFC changes are mainly driven by CON changes. The ADV changes contribute about 35% of MFC changes over the analysis region but are much more scattered than CON changes. The pattern of differences between CTL and CLEAN resembles that between ARIoff and CLEAN. The magnitude of changes over the analysis region was smaller in the former case, indicating the compensation effect between ARI and ACI in this case, as noted in section 3.1.

These findings reveal the prominent effects of aerosols on rainfall amount over the estuary and near the coast in this extreme rainfall event. The pattern of precipitation and associated cloud-related variables in CTL minus CLEAN (total effects) bears a resemblance to that in ARIoff minus CLEAN (ACI effects), which allowed us to ascertain that ACI dominated the total effects. By applying the moisture budget tool, we confirmed the microphysical-dynamic feedback of ACI effects on invigorating convection. Cloud invigoration is the consequence of the following chain of processes: (1) Larger concentrations of cloud droplets with smaller radii are induced by increased aerosols. (2) Collision-coalescence processes slow, and water clouds ascend to freeze into ice clouds. (3) Additional latent heat release enhances horizontal convergence and strengthens upward motion. (4) More vigorous latent heat is released aloft in response to stronger convection. These feedback processes enhance cold rain and result in greater precipitation.

### 3.3 Local versus remote aerosol emission effects

A crucial question is the extent to which increased anthropogenic aerosols from either local (i.e., domain 2, which denotes Guangdong province) or remote (i.e., domain 1, which denotes outside Guangdong province) sources result in precipitation

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changes. Previous studies have reported different roles of local and remote aerosol sources in affecting tropical precipitation (Chou et al., 2005) and monsoons associated with precipitation (Bollasina et al., 2014; Cowan and Cai, 2011) from a climate perspective. However, the differing effects of local and remote aerosols on weather, such as extreme rainfall, are rarely explored. In this section, we examine the roles and relative importance of local and remote aerosols in the precipitation increase in the estuary during this extreme rainfall event.

The differences in time–height cross section of cloud fraction (shading) and PM<sub>2.5</sub> concentration (contour) induced by the effects of local and remote emissions are shown in [Figure 10a](#), [Figures 7a](#) and [107b](#), respectively. With local emissions, the aerosol concentrations mainly increased within the PBL below 2 km before 12Z on December 15 ([Figure 10](#)[Figure 7b](#)). The accumulated aerosols [were](#) washed out quickly after the rainfall initiated. By contrast, with remote emissions, a higher aerosol concentration extended to approximately 8 km after 3Z on December 14 ([Figure 10](#)[Figure 7a](#)). Two peaks near 0.5 km and 5 km above ground [were](#) centered near 10Z and 18Z on December 14, respectively, indicating a strong transportation of aerosols. The earlier peak, near 5 km, [was](#) caused by strong wind speed in the free atmosphere compared with that within the PBL. Moreover, the aerosol concentrations lasted longer before decreasing dramatically until the peak rainfall started at 07Z on December 15, because aerosols [were](#) transported continuously from the remote area. The cloud fraction reduction [was](#) coherent with aerosol concentration peaks, indicating that increased aerosols lead small cloud droplets to evaporate. [Moreover, more deep cloud formation consuming moisture and energy.](#) Comparing patterns of cloud fraction changes between [Figure 10](#), [Figures 7a](#) and [107b](#) and [Figure 4](#)[Figure 4b](#) indicates the dominant effects of aerosols from remote areas. The CDNC (shading) increases in both D1 and D2 runs compared with the CLEAN run before the rainfall peak ([Figure 11a](#), [Figures 8a](#) and [118b](#)). However, the discernible cloud effective radius (contours) decrease appeared only in the D1 run and [was](#) attributed to a stronger CDNC increase. Correspondingly, the CINC and ice cloud effective radius showed more remarkable increases in the D1 run during the rainfall peak time ([Figure 11](#)[Figures 8c](#) and [118d](#)). The associated latent heat and vertical velocity [were](#) much stronger in the D1 run compared with the D2 run ([Figure 11](#)[Figure 11](#)[Figures 8e](#) and [118f](#)). [Interestingly, most of latent heat release with local emissions are happened below 0°C isotherm line.](#) [Figure 12 shows the changes in mass and number of different hydrometeors with remote aerosols emissions.](#) There are plenty of snow and graupel formation at the expense of rain water when precipitation increase before 15Z on 15 December, indicating intensified cold rain process. The corresponding latent heat release is dominated by deposition in cold cloud. By contrast, after 15Z on 15 December 15, rain water increases significantly during precipitation enhancement, representing stronger warm rain process. The associated latent heat release is due to condensation heating in warm cloud concentrated below 0°C isotherm line. The patterns of changes in hydrometeors and latent heat in D1 assembles that in CTL run, which further confirm the dominant role of remote aerosols emissions. The distribution of time-height changes in hydrometeors and latent heat between D2 and CLEAN run are shown in [Figure S9](#) and [Figure S10](#), respectively. As aerosols from local emissions are washed out dramatically once the rain initiated, much less cloud water formed than that in D1 run. Thus, the supersaturation is lowered as strongly as that in D1 simulation. More rain water is formed by accretion of cloud droplets which indicate that intensified warm rain is the only reason for precipitation increase with local aerosol emissions. As a result, the average precipitation increase over the analysis region on December 15 [was](#) 7.3

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mm with remote aerosol emissions, much greater than that with local aerosol emissions (3.1 mm, ~~Figure 14c a-Figures 9e and 149d~~). These findings suggest that both the effects of local and, to a much greater extent, remote aerosol emissions contribute to precipitation increases over the analysis region.

### 3.4 Tenfold anthropogenic emissions and chemical ICs and BCs

5 An optimal aerosol loading should exist in which the convection is the most vigorous (Rosenfeld et al., 2008). For aerosol concentrations below the optimum, the convection is invigorated by smaller droplets; thus, stronger updraft releases larger latent release (Dagan et al., 2015b). By contrast, suppression effects dominate above the optimum (Small et al., 2009). The optimum value is determined by environmental conditions (e.g., relative humidity, see Dagan et al., 2015a). In this section, a tenfold aerosol emission simulation (10×) ~~i~~was conducted to examine the sensitivity of precipitation and associated cloud properties to aerosol concentrations.

10 The PM<sub>2.5</sub> concentrations (contours) in 10× increased significantly to approximately ten times that in CTL, indicating a linear relationship from emissions to aerosol concentration (~~Figure 15~~~~Figure 10~~). The associated boundary layer cloud formation (shading) ~~i~~was further suppressed below 2 km, which is consistent with the result in ~~Figure 4~~~~Figure 4b~~. The change patterns in cloud fraction and aerosol concentration in ~~Figure 15~~~~Figure 10~~ are similar to that in ~~Figure 4~~~~Figure 4b~~, but ~~Figure 15~~~~Figure 10~~ shows a much greater magnitude. The CDNC (shading) increase and cloud effective radius (contours) reduction in ~~Figure 16~~~~Figure 11a~~ are also more pronounced than those in ~~Figure 5~~~~Figure 5a~~. CDNC noticeably decreases ~~d~~ below 1.5 km but increases ~~d~~ substantially from 1.5 km to 4 km before ~~04Z+00 a.m.~~ on December 14, ~~associating with smaller radius. Smaller cloud droplet tends to evaporate. In addition, more cloud droplets are produced due to higher supersaturation upward. The consumption of water and energy leads to further reduction of low cloud (Figure 17a). This finding suggests the ascent of cloud droplets, which is attributed to the smaller effective radius induced by excessive aerosols in 10× compared with that in CTL. The smaller cloud droplets favored the formation of deeper convection manifested by more CINC and larger ice cloud effective radii (Figure 11b).~~ The involved latent heat and vertical velocity during the rainfall peak time from 8Z on December 15 to 10Z on December 16 in ~~Figure 16c~~ ~~Figure 11e~~ exhibit a stronger increase associated with a higher altitude above the freezing level than that in ~~Figure 5~~~~Figure 5c~~. ~~Besides, a distinct weaker latent heat release associated with negative vertical velocity anomaly appear below freezing level between 10Z and 22Z on 15 December. This indicate a more important role of cold related processes in latent heat release. The ice crystals also increase drastically with bigger radius. Figure 17 shows the changes in mass and number concentrations of different hydrometeors in 10× simulation. Compared with CTL run, the snow and graupel are also increased with a stronger magnitude, particularly before 15Z on 15 December, indicating enhanced cold rain. However, rain water show decrease during all the time instead of increase after 15Z when precipitation increase. This means the warm rain is suppressed much stronger in 10× simulation. As with ten times of aerosols emissions, the aerosols lower the supersaturation much stronger by activation to form much smaller cloud droplets. The rain water evaporates rather than increase by accretion of additional cloud droplet, associating with strong condensational cooling in warm cloud (Figure~~

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18a). This means that water ascended higher and froze before precipitating, which led to additional latent heat release. A more salient negative anomaly of latent heat and vertical velocity arose below 4 km from 06Z to 22Z on December 15 and below 10 km from 06Z to 18Z on December 16. This should relate to stronger cloud evaporation and ice melting, as discussed by Rosenfeld et al. (2008). The greater cooling below and greater heating above suggest the intensified upward energy transport. This configuration should enhance updraft above and downdraft below induced by additional warming and cooling respectively, which could further invigorate convection and produce more precipitation (Rosenfeld, 2006). Precipitation on December 15 was suppressed over the upstream region up to 39.6 mm in the northwest of Guangdong province but substantially enhanced up to 59.7 mm over the downstream region near the coastal region (Figure 19bFigure 12b). A similar finding was reported by Zhong et al. (2015). The delay of early rain in the upstream area resulted in more rainfall and stronger rain intensity within the downstream area and a more narrowed region compared with the red box in Figure 3Figure 3b. The average precipitation in Guangdong province on December 15 decreased by 1.0 mm in 10 $\times$ , whereas it increased by 1.4 mm in CTL. Tenfold aerosol emissions produced a more polluted environment, with PM<sub>2.5</sub> concentrations of approximately 300  $\mu\text{g m}^{-3}$ . Although abundant moisture was transported from the Bay of Bengal and the South China Sea (Figure 1bFigure S1b), the aerosol loading may still have surpassed the optimal value for cloud invigoration and thus suppressed precipitation over Guangdong province. Moreover, aside from suppressing the rainfall amount, excessive aerosols also have the potential to redistribute precipitation and increase its range in spatial distribution. With tenfold aerosol emissions, the experiment showed a similar pattern to the CTL run, but the signal was much stronger, implying that the mechanism was consistent with what we discussed before.

#### 4 Summary and discussion

In this study, we found that aerosols significantly affect local extreme weather (i.e., torrential rainfall), invigorating deep convection, via ACI effects. Deep convection invigoration by aerosols has been discussed in both observation (Andreae et al., 2004; Koren et al., 2004) and model simulations (Khain et al., 2005; Storer et al., 2013). Most of these studies are focused on mixed and cold processes. Increasing aerosols can suppress warm rain because of smaller cloud droplets. These smaller cloud droplets are likely lifted upward to freeze. The latent heat due to freezing will further enhance convection (Rosenfeld et al., 2008). This is referred to as cold-phase invigoration. A recent interesting study conducted by Fan et al. (2018) found that additional nucleation of cloud droplet can release abundant condensational heat below freezing level. More cloud water will form via condensation on the additional cloud droplets. This process will increase both warm rain and supercooled cloud water. Furthermore, the ice-related processes are enhanced with latent heat release, further intensifying the convection. In their study, the source of latent heat is dominated by condensational heating, accompanied by enhanced warm rain. In contrast to cold-phase invigoration, the concept of warm-phased invigoration is proposed in this work.

With aerosols, the precipitation is increased between 03Z on December 15 to 10Z on December 16, by suppressing warm rain and invigorating deep convection induced by the effects of remote emissions through ACI. With aerosols, CDNC increases

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remarkably, reducing the size of cloud droplets, which lowers supersaturation significantly through condensation enhancement. Additional cloud water formed with intensified condensational heating, leading to enhanced convection and increased precipitation. However, rain water decreased substantially before 15Z on 15 December, indicating suppressed warm rain, which is different to Fan et al. (2018). The source of latent heat release is dominated by deposition in cold cloud associate with

5 increase of snow and graupel, representing cold rain enhancement. There are abundant ice crystals including snow and graupel at 4–6 km from 00Z to 15Z on 15 December. As aerosols activation decreases the supersaturation, with presence of ice crystals, water vapor deposition on ice is more likely to happen because the saturation with respect to water is supersaturation with respect to ice. The environment become unsaturated to water when this situation occurs, resulting in evaporation of rain water. This process is known as the Bergeron-Findeisen-Wegener theory. As a result, the mass and number of ice crystals increase

10 drastically at the expense of rain water, suggesting a dominant role of cold rain before 15Z on 15 December. Most of snow and graupel fall as precipitation when the peak rainfall occurs after 15Z. By contrast, the warm rain is enhanced characterized by increase of rain water associating with condensational heating in warm cloud via accretion of cloud droplet, which is consistent with Fan et al. (2018). The enhanced latent heat boosts the vertical motion, leading to higher supersaturation accompanied by stronger latent heat release. Smaller cloud droplets are unfavorable to collision-coalescence, which is an

15 essential process for initiating warm rain (Tao et al., 2012). Thus, more cloud water ascends to a higher altitude below the 0°C isotherm to freeze; this is associated with more latent heat release, and convection is invigorated (Rosenfeld et al., 2008). Moreover, additional latent heat release induced by freezing further enhances the upward motion. This feedback between microphysical and dynamic processes results in more rainfall (Tao et al., 2007) up to 33.7 mm in our simulation. On average, ACI enhanced precipitation over the analysis region. Conversely, ARI partially compensated for the precipitation increase by

20 14%. The analysis of the moisture budget suggests that the precipitation increase ~~was~~ manifested by strengthening the column-integrated MFC. Further decomposition of MFC suggest the importance of horizontal moisture convergence. Our finding confirms that microphysical–dynamic feedback is at the core of the effects of ACI on convection invigoration.

An interesting question is why the precipitation increases induced by ACI appear on land near the Pearl River estuary and the coast. Khain et al. (2008) found that aerosols generally suppress cloud formation in relatively dry conditions, whereas they

25 invigorate convection in moist environments. Fan et al. (2009) suggested that wind shear may take a dominant role in regulating the effects of aerosol on deep convection. Increased aerosols suppress (invigorate) convection under strong (weak) wind shear. These findings highlight the crucial roles of humidity and wind shear in modulating the cloud invigoration effects induced by aerosols. Strong wind shear enhances the entrainment of dry air into clouds and transports cloud liquid to unsaturated regions; this leads to greater evaporation and sublimation of cloud particles. These processes are associated with cooling, downdrafts,

30 and convergence, especially at high aerosol concentrations (Khain, 2009; Lee et al., 2008). The convergence thus fosters secondary cloud formation and contributes to an increase in precipitation. However, Fan et al. (2009) stressed that the net latent heat release, as an energy source for convection, is greater under weak wind shear than under strong wind shear. Aerosols enhance convection under weak wind shear until an optimal aerosol concentration is reached at which the net latent heat release equilibrates. This mechanism may only be applicable to isolated storms rather than to cloud systems. Note that the previous

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studies have used different wind components (zonal component, meridional component, or total wind) at different heights with different thresholds (e.g., upper limits vary from  $10 \text{ m s}^{-1}$  to  $20 \text{ m s}^{-1}$ ). These different standards may only be suitable for specific environmental conditions, because previous studies have been based on limited cases. In our work, the wind shear ~~was~~ estimated as the difference between the maximum and minimum total wind speeds at 0–10 km. The spatial distribution of wind shear (first row) and column-integrated water vapor (second row) are presented in ~~Figure 20~~ ~~Figure 13~~. The wind shear increases ~~d~~ with the southeast–northwest tilt ranging from  $35 \text{ m s}^{-1}$  to  $80 \text{ m s}^{-1}$  (~~Figure 20a~~ ~~Figures 13a~~ and ~~2013b~~). Our definition of wind shear ~~was~~ different from other studies (e.g., Lee et al. 2008; Fan et al. 2009; Li et al. 2011; Guo et al., 2016a), with a higher altitude. We chose 10 km because the latent heat release, which is a key factor determining convection intensity and partly depends on wind shear, extends up to approximately 10 km (~~Figure 5~~ ~~Figure 5g~~). Although the wind shear in our work ~~was~~ stronger than that in other studies with magnitudes lower than  $10 \text{ m s}^{-1}$ , the aerosol invigoration effect appears ~~d~~ over the region with relatively weak wind shear and high humidity on the land along the coast, as presented in ~~Figure 20~~ ~~Figure 13~~. This invigoration effect under weak wind shear for cloud systems ~~was~~ described in a recent work (Li et al., 2011), whereas it ~~was~~ to some extent contradicted by the results of Lee et al. (2008). Conversely, precipitation was suppressed to the northwest of Guangdong, with relatively strong wind shear and low humidity, as shown in ~~Figure 20~~ ~~Figures 13b~~ and ~~2013d~~. The gradients of wind shear and humidity increase ~~d~~ between the southeast and northwest of domain 2 on December 15 when peak rainfall occurred. The results confirm that the effect of aerosols on precipitation is related to relative humidity and wind shear. However, this relationship remains dependent on the situation and may be affected by other meteorological variables, such as convective available potential energy (Khain et al., 2005), cloud phase (Lin et al., 2006), and cloud type (Koren et al., 2008). The relative importance of different meteorological variables in regulating the aerosol-induced precipitation effect requires both long-term observation and model sensitivity tests to provide a more comprehensive picture. Aerosol emissions were separated into those from Guangdong Province and those from elsewhere, named experiments D2 and D1, respectively, to represent the effects of aerosol concentrations from local and remote emissions on this extreme rainfall event. The surface aerosol concentrations accumulated ~~d~~ slowly from local emissions if the rainfall system ~~comes~~ with strong northerlies. Instead, aerosols, transported ~~d~~ from remote areas persistently, extended ~~d~~ to higher altitudes, up to 8 km. The aerosol concentrations thus ~~were~~ maintained at a relatively high level in the D1 and invigorated convection. The resemblance of changes in different hydrometeors and latent heat between D1 and CTL further suggest the dominant role of remote aerosols in the convection invigoration. Interestingly, with local emissions, the precipitation enhancement is mainly through intensified warm rain only. This is because much less aerosols stay in the atmosphere with only local aerosols emissions once the rainfall initiated. The effect of nucleated cloud droplets on reducing supersaturation is much weaker than that with remote aerosol emissions. Thus, the rain water is increased by accretion of cloud droplets, enhancing the warm rain. The precipitation averaged over the analysis region on December 15 increased by 7.3 mm from the effects of remote aerosol emissions but only 3.1 mm from local aerosol emissions. These results suggest that the effects of remote aerosol emissions played ~~d~~ a dominant role in the intensification of precipitation in the estuary, which implies the potential influence of remote aerosol emissions on extreme synoptic weather events. However, this crucial issue remains insufficiently explored.

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Previous studies have suggested an optimal aerosol loading in which condensational heating and evaporative cooling are balanced, leading to the most vigorous convection (Fan et al., 2007, 2009; Rosenfeld et al., 2008; Wang, 2005). A tenfold emission experiment showed a similar pattern with CTL but with a much stronger signal. Further analysis of hydrometeors and latent heat reveal that the main reason for precipitation increase is via intensified cold rain. The warm rain is suppressed almost all the time because the reduction of supersaturation due to cloud droplet nucleation is much stronger than that in CTL run. As a result, only the ice-related processes are intensified based on the Bergeron-Findeisen-Wegener theory. Instead, the increase of rain water by accretion of droplets is suppressed. The greater cooling below and heating above led to enhanced upward heat transport, which could further invigorate convection and result in more precipitation later (Rosenfeld et al., 2008). Excessive aerosols lead to more precipitation increases, up to 59.7 mm, which is much larger than the 33.7 mm from CTL. However, the precipitation increase was limited to a more narrowed region along the coast in the downwind area; this may be related to the adequate supply of water vapor from onshore wind, as shown in Figure 20~~Figure 13~~d. The average precipitation over Guangdong province decreased by 1.0 mm in 10× but increased by 1.4 mm in CTL. These results indicate that aerosol concentrations in 10× exceeded the optimal aerosol loading for convection invigoration and instead suppressed the rainfall amount. The retribution for spatial distribution of precipitation with a sharper contrast implies that air pollution may increase the possibility of both flood and drought.

The mechanism of precipitation over another region, in 24°–25°N, 110°–112°E, is also investigated. Figure S11 shows the distribution of time-height mass and number concentrations of different hydrometeors averaged over this region from CTL run. There are lots of ice crystals with cloud ice extending up to 16 km, indicating strong deep convection, which is consistent with low cloud top temperature in Figure S1b. With aerosols, more cloud droplets nucleated on which water can condensate. Additional cloud water is subsequently formed near to 4 km (Figure S12a), accompanied by reduced supersaturation. The reduction of rain water and ice crystals (particularly in graupel) suggest that both the warm rain and cold rain are suppressed. Less latent heat is released dominated by condensation in warm cloud and deposition in cold cloud. There could be two reasons for this. The first one is that the mass of water vapor is small over this region in the northwest corner of the domain, so that not enough water supply for convection invigoration effect with aerosols. The other one is related to the very strong wind shear over this region with maximum value up to 80 m s<sup>-1</sup>. This condition is unfavored for latent heat to accumulate, which is key factor to convection strength (Fan et al., 2009). Thus, the precipitation is suppressed over this region with aerosols. With ten times of aerosol emissions, the mass and number of rain water and ice crystals are further reduced, accompanied by weaker latent heat release. As a result, the precipitation is further suppressed (Figure 19b).

We note that uncertainties exist in aerosol emission and the representation of ACI. Although ice nucleation may have little effect on the spatial distribution and temporal evolution of surface precipitation (Deng et al., 2018), this factor is not yet considered in the WRF-Chem model. This may explain negligible differences in results between simulations with and without dust and sea salt emissions. Additionally, dust sources are far from our analysis region and the prevailing wind is northerly; these produce low dust and sea salt concentrations, respectively. It is noteworthy that we assume the ARI and ACI effects are linear additive as previous studies (Fan et al., 2015; Zhong et al., 2015), so that the ACI effect is derived by subtracting ARI

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from total aerosol effects. We cannot check the nonlinearity between ARI and ACI effects because it is not easy to turn off ACI effect. The problem is how to set the background concentration of cloud droplet number while keep the ARI as same in control run. This means that we could only prescribe the cloud droplet number concentration rather than adjust the emission or aerosol concentration. However, the ACI effect is very sensitive to the number we set (Gustafson et al., 2007).

5 Although our findings are limited to a case study, this case is, nevertheless, representative of the remarkable aerosol effect on an extreme rainfall events through ACI. This finding provides more evidence of the importance of considering aerosols in extreme weather (i.e., torrential rainfall) forecasting. More interestingly, aerosols from remote emission sources exhibited the potential to modify extreme weather through transboundary air pollution. This case clearly demonstrates the complicated feedback between the dynamic and microphysical processes induced by aerosols. Aerosols substantially redistributed the rainfall amount, a finding with crucial implications for the availability and usability of water resources in different regions of the world (Li et al., 2011). High aerosol concentrations may intensify both flood and drought by invigorating convection.

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#### Author contribution

25 S.H.L. Yim planned, supervised and sought funding for this study. Z. Liu conducted the study and drafted the manuscript with  
S.H.L. Yim. Other co-authors provided comments on the results and the manuscript.

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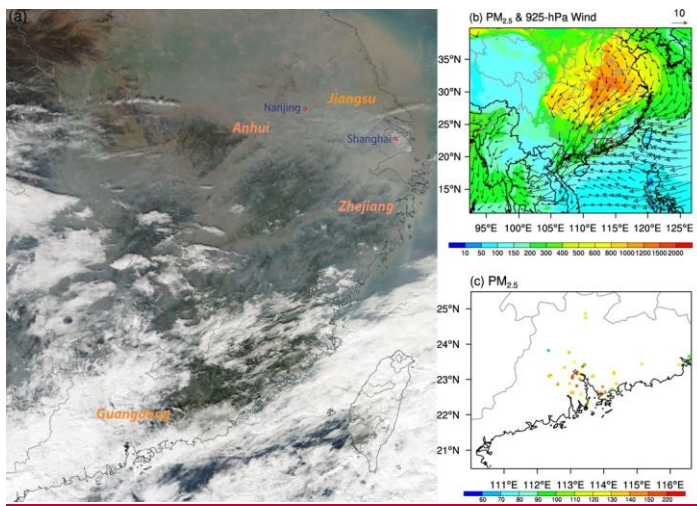
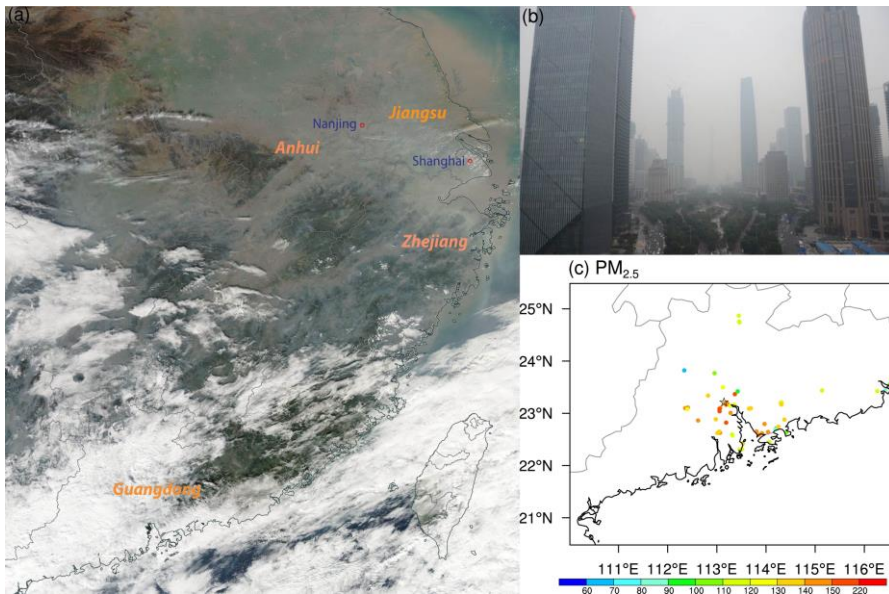
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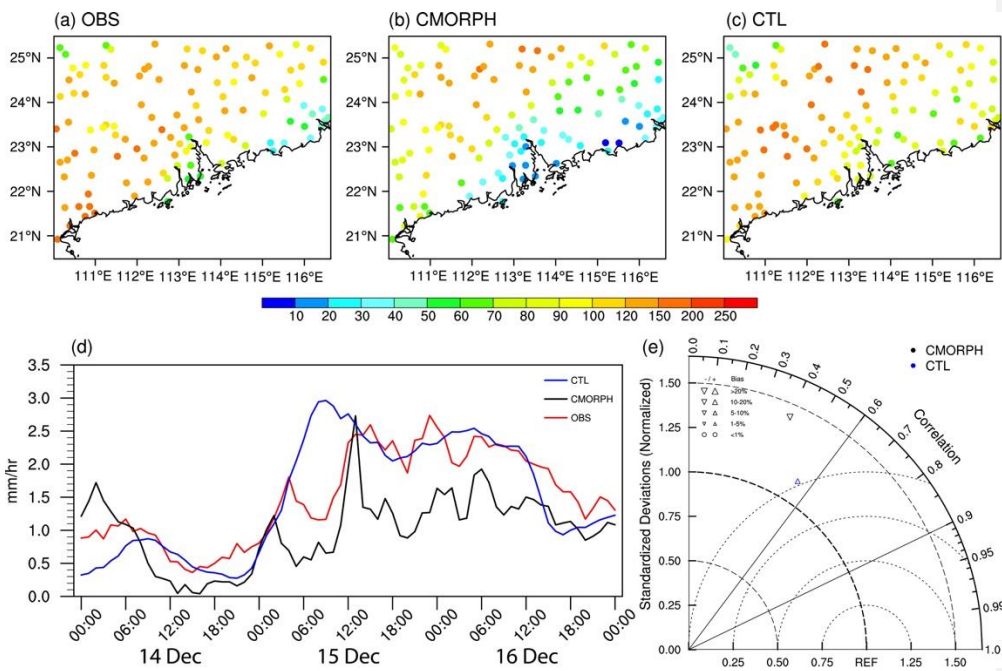
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5 Figure 1. (a) Terra satellite true-color image of east China on December 13, 2013 (UTC), provided by NASA's Worldview (source: <https://worldview.earthdata.nasa.gov/>). Red circles denote city locations, blue fonts denote cities, and orange fonts in bold italic denote provinces. (b) Spatial distribution of 3-day averaged column-integrated PM<sub>2.5</sub> concentrations (shading; unit:  $\mu\text{g m}^{-2}$ ) and 925-hPa wind (vector; unit:  $\text{m s}^{-1}$ ) during December 14–16, 2013, in control run. The red box denotes the analysis region  
Photo of Canton Tower taken by Lin Longyong in the afternoon of December 13, 2013 (source: <https://3g.163.com/fashion/article/9H1YQL9C00264MP0.html>). (c) Hourly-averaged PM<sub>2.5</sub> (unit:  $\mu\text{g m}^{-3}$ ) concentration on December 13, 2013, observed in Guangdong province. Colored circles denote in situ station locations, and black star denotes Guangzhou.



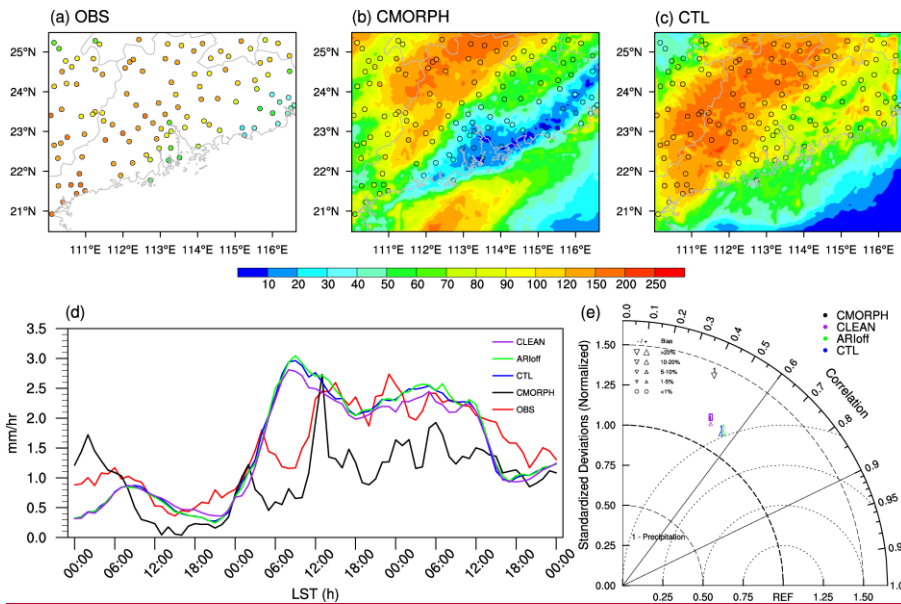
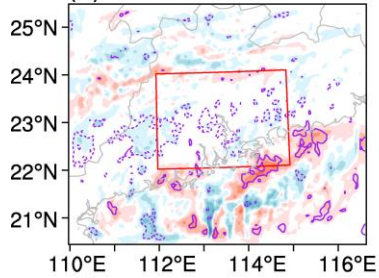


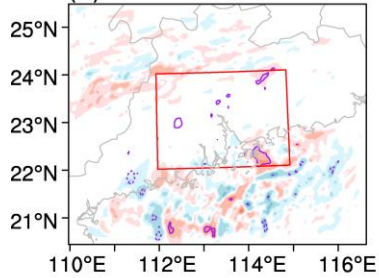
Figure 2. Spatial distribution of accumulated precipitation (unit: mm) from 00Z on December 14, 2013, to 00Z on December 17, 2013 (local standard time [LST]) from (a) station observations (OBS), (b) CMORPH satellite, (c) control simulation (CTL). Circles denote locations of in situ observations. (d) Time series of station average of rain rate (unit:  $\text{mm h}^{-1}$ ) over the entire domain 2 for OBS (red), CMORPH (black), and CTL (blue). (e) Taylor diagrams for 3-day accumulated precipitation in CTL (blue) and CMORPH (black) compared with OBS. Triangles and circles at top-left corner in (e) denote bias. Sizes of triangles indicate magnitude of bias. Inverted (upright) triangles represent a negative (positive) bias.

2013-12-14

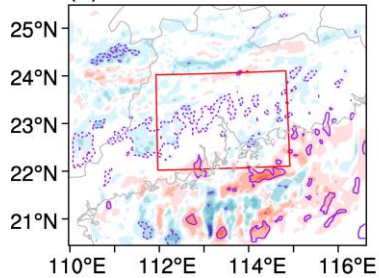
(a) CTL-CLEAN



(b) CTL-ARloff

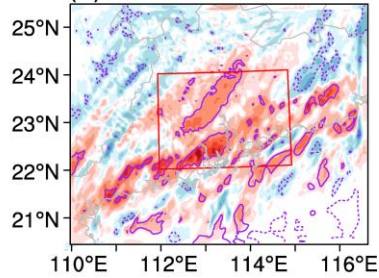


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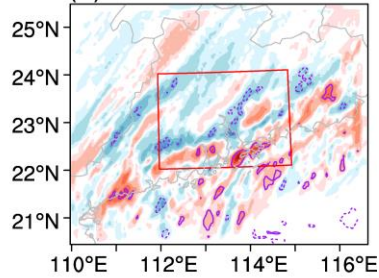


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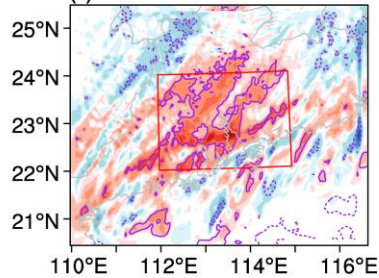
(d) CTL-CLEAN



(e) CTL-ARloff



(f) ARloff-CLEAN



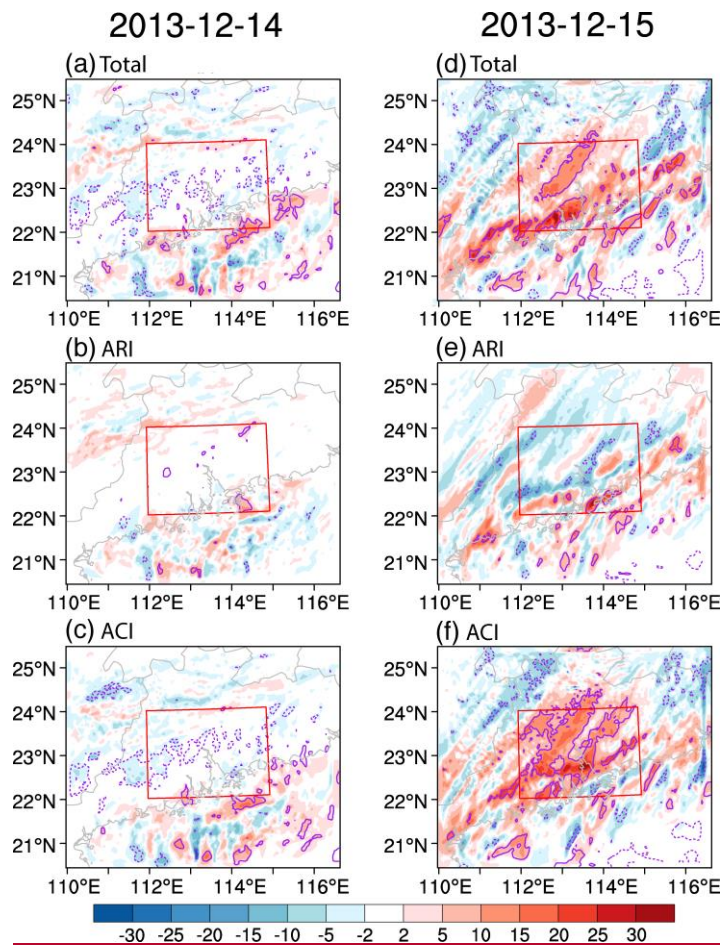


Figure 3. Differences in precipitation (unit: mm) (a) between CTL and CLEAN (i.e., CTL minus CLEAN; **first row**), (b) CTL and ARIoff (i.e., CTL minus ARIoff; **second row**), and (c) ARIoff and CLEAN (i.e., ARIoff minus CLEAN; **third row**) on December 14, (c-f) Same as (a-c) but for **December 15** (**right column**). Solid (dashed) purple contour lines indicate positive (negative) differences at the 90% significance level according to two-tailed Student's *t* test. Red boxes (22°–24° N, 112°–115° E) denote the analysis region. ARIoff run refers to simulation with aerosol-radiation interactions off.



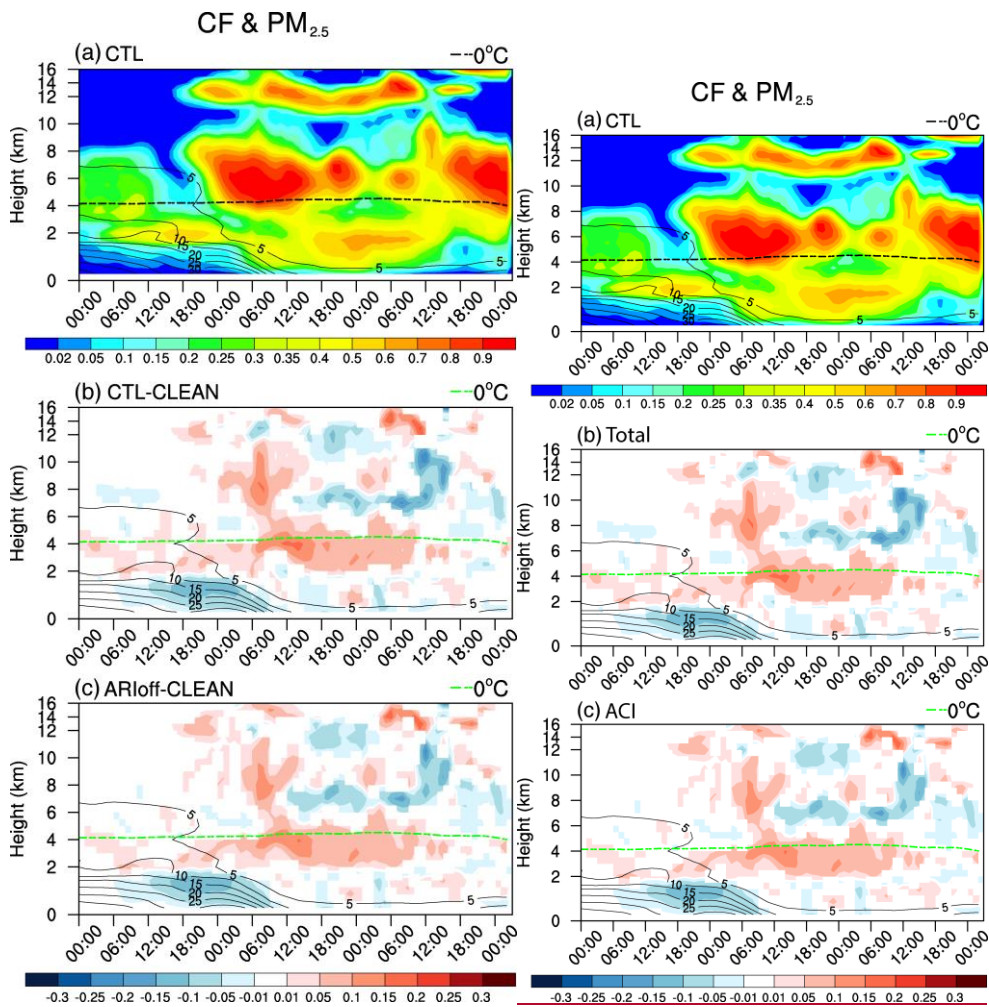
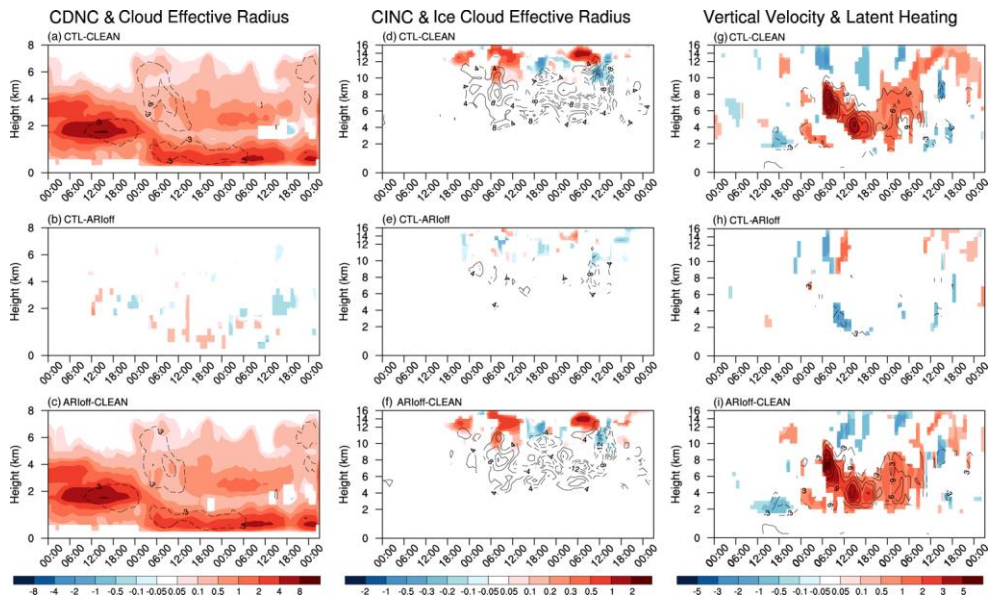


Figure 4. (a) Time-height cross section of cloud fraction (CF; shading; unit: unitless) and PM<sub>2.5</sub> concentrations (contour; unit:  $\mu\text{g m}^{-3}$ ) averaged over the red box shown in Figure 3 in CTL run. Differences in the time-height cross section of CF (shading; unit: unitless) and PM<sub>2.5</sub> concentration (contour; unit:  $\mu\text{g m}^{-3}$ ) averaged over the red box shown in Figure 3 between (b) CTL and CLEAN (i.e., CTL minus CLEAN) and (c) ARIoff and CLEAN (i.e., ARIoff minus CLEAN). In (b) and (c), only CF and PM<sub>2.5</sub> concentrations anomalies that exceed the 90% significance level are depicted with

shading and contour, respectively. Dashed lines denote 0°C isotherm calculated as the averaged zero-layer height over the red box in [Figure 3](#).



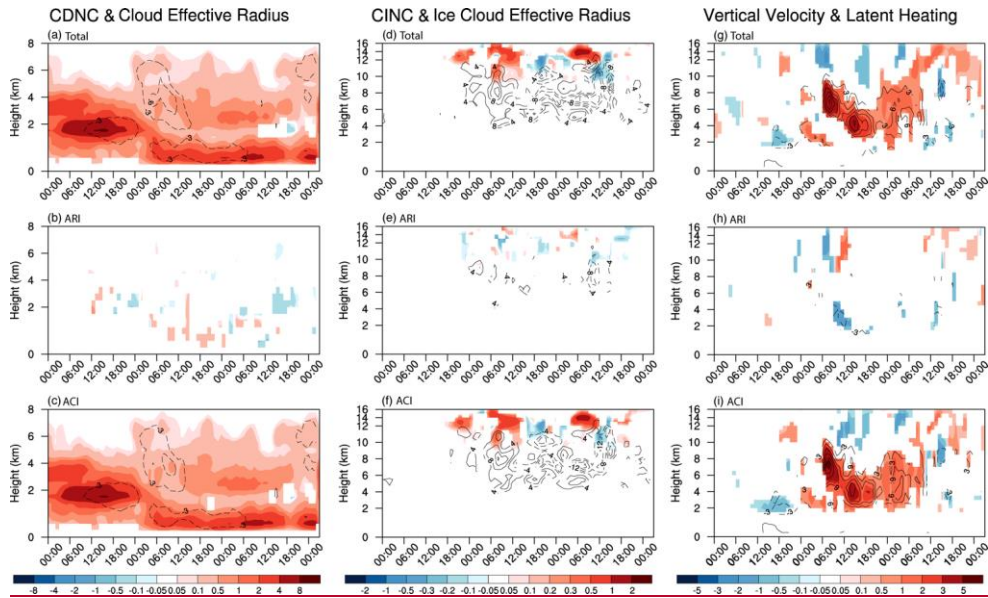
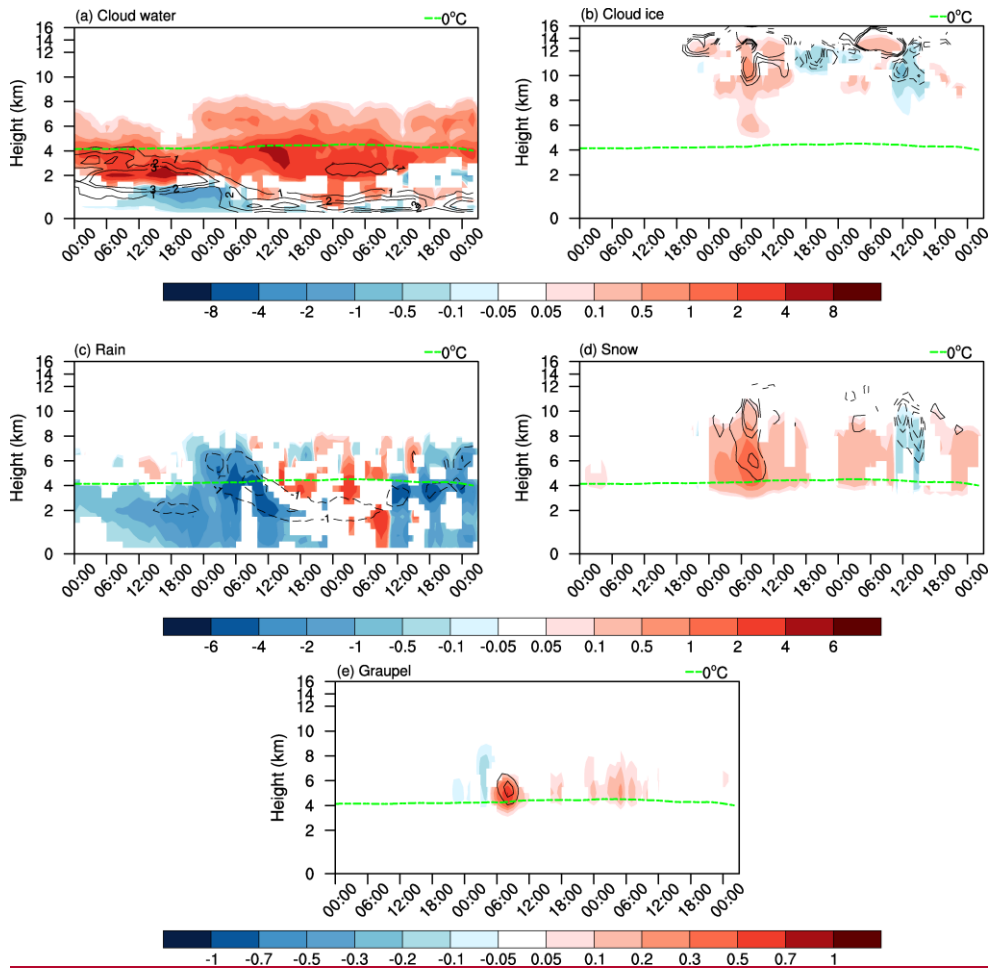
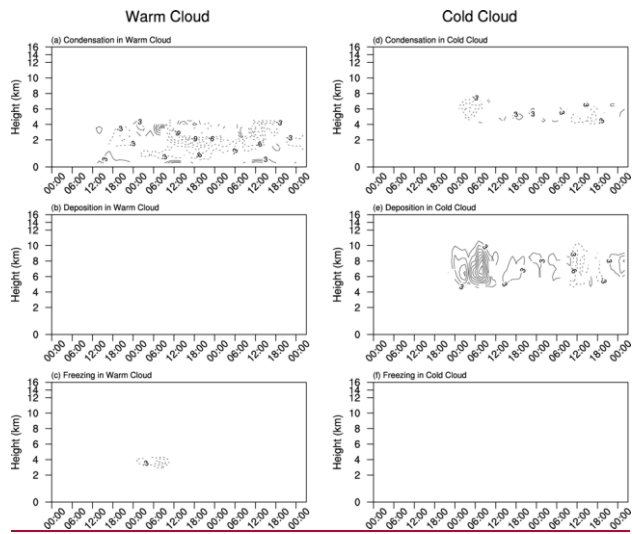


Figure 5. Differences with time (abscissa; from 00Z on December 14 to 02Z on December 17) and height (ordinate) in (left column) cloud droplet number concentration (CDNC, shading; unit:  $10^7 \text{ kg}^{-1}$ ) and cloud effective radius (contour; unit:  $3 \mu\text{m}$ ), (middle column) cloud ice number concentration (CINC, shading; unit:  $10^5 \text{ kg}^{-1}$ ) and ice cloud effective radius (contour; unit:  $4 \mu\text{m}$ ), and (right column) vertical velocity (shading; unit:  $\text{cm s}^{-1}$ ) and latent heating (contour; unit:  $3 \text{ K d}^{-1}$ ) averaged over the red box shown in Figure 3 between CTL and CLEAN (i.e., CTL minus CLEAN; first row), (b, e, h), Same as (a, d, g) but for differences between CTL and ARIOff (i.e., CTL minus ARIOff; second row), (c, f, i) Same as (a, d, g) but for differences between ARIOff and CLEAN (i.e., ARIOff minus CLEAN; third row). Only anomalies that exceed the 90% significance level are depicted with shading or contour. Zero-value contour lines are omitted, and negative values are dashed.



**Figure 6.** Differences with time (abscissa) and height (ordinate) in (a) cloud water (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CDNC (contour; unit:  $10^7 \text{ kg}^{-1}$ ), (b) cloud ice (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CINC (contour; unit:  $10^4 \text{ kg}^{-1}$ ), (c) rain (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and rain number concentration (contour; unit:  $10^5 \text{ kg}^{-1}$ ), (d) snow (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and snow number concentrations (contour; unit:  $10^3 \text{ kg}^{-1}$ ), and (e) graupel (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and graupel number concentration (contour; unit:  $10^3 \text{ kg}^{-1}$ ) between CTL and CLEAN (i.e. CTL minus CLEAN) averaged over the red box. Only anomalies that exceed 90% significance level are depicted with shading and contour.



**Figure 7. Differences with time (abscissa) and height (ordinate) in latent heat release (unit:  $\text{K d}^{-1}$ ) from (a) condensation, (b) deposition, and (c) freezing processes between CTL and CLEAN (i.e. CTL minus CLEAN) averaged over the red box for the warm cloud. (d–f) Same as (a–c) but from cold cloud. Only anomalies that exceed 90% significance level are depicted with and contour. Zero-value contour lines are omitted, and negative values are dashed. The contour interval is  $3 \text{ K d}^{-1}$ . Note the blank represent the values are within  $3 \text{ K d}^{-1}$ .**

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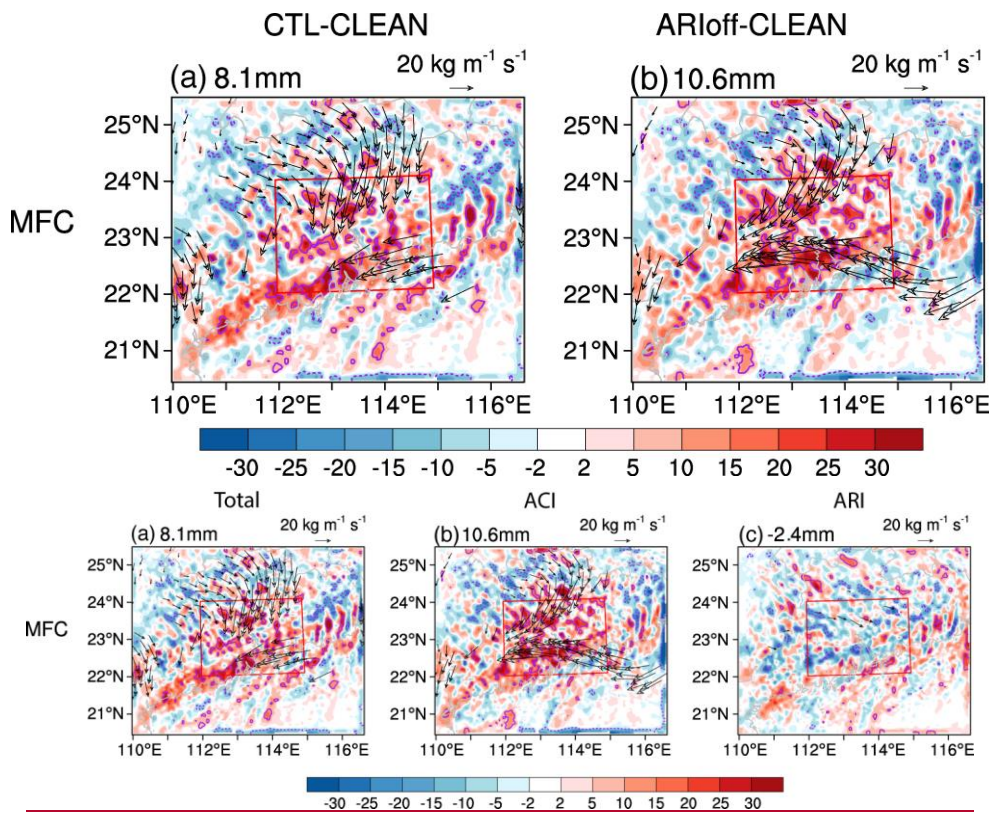


Figure 886. Differences in column-integrated flux convergence (MFC; shading; unit: mm) and moisture flux (vector; unit: kg m<sup>-1</sup> s<sup>-1</sup>) between (a) CTL and CLEAN (i.e., CTL minus CLEAN; left column), and (b) ARIOff and CLEAN (i.e., ARIOff minus CLEAN; right column), and (c) CTL and ARIOff (i.e., CTL minus ARIOff) on December 15. Solid (dashed) purple contour lines indicate positive (negative) differences (shading) at the 90% significance level according to two-tailed Student's *t* test. Only moisture flux anomalies that exceed the 90% significance level are depicted with black vectors. Numbers at top-left corner of each panel represent values averaged over red boxes. Red boxes (22°–24° N, 112°–115° E) denote the analysis region.

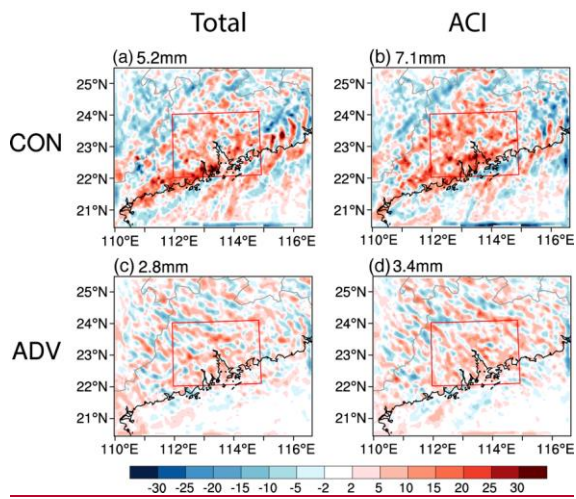
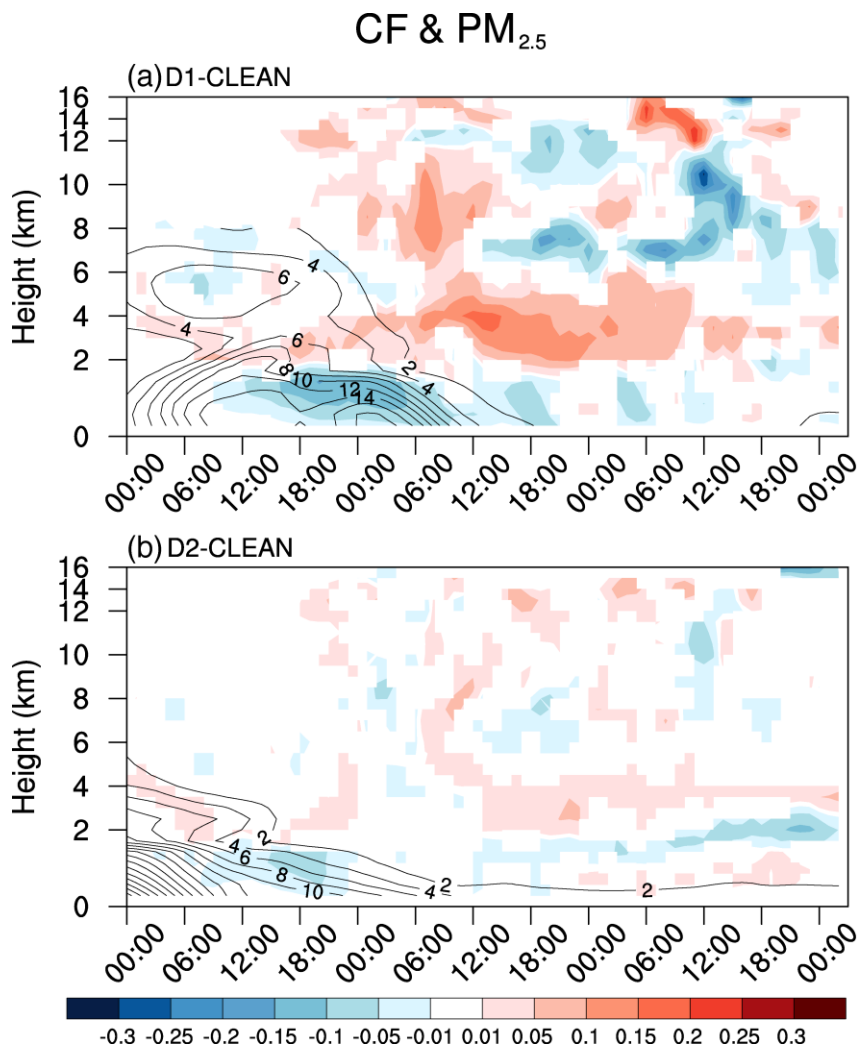


Figure 9. Differences in column-integrated moisture convergence (CON; unit: mm) between (a) CTL and CLEAN (i.e. CTL minus CLEAN) and (b) ARIoff and CLEAN (i.e., ARIoff minus CLEAN) on December 15. (c, d) Same as (a, b) but for column-integrated advection of water vapor (ADV; unit: mm). The numbers at the top-left corner of each panel represent the values averaged over the red boxes. The red boxes denote the analysis region.

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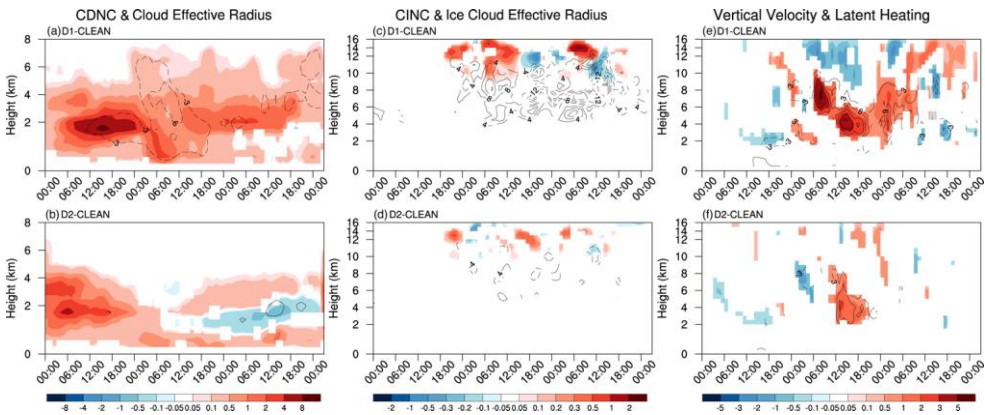




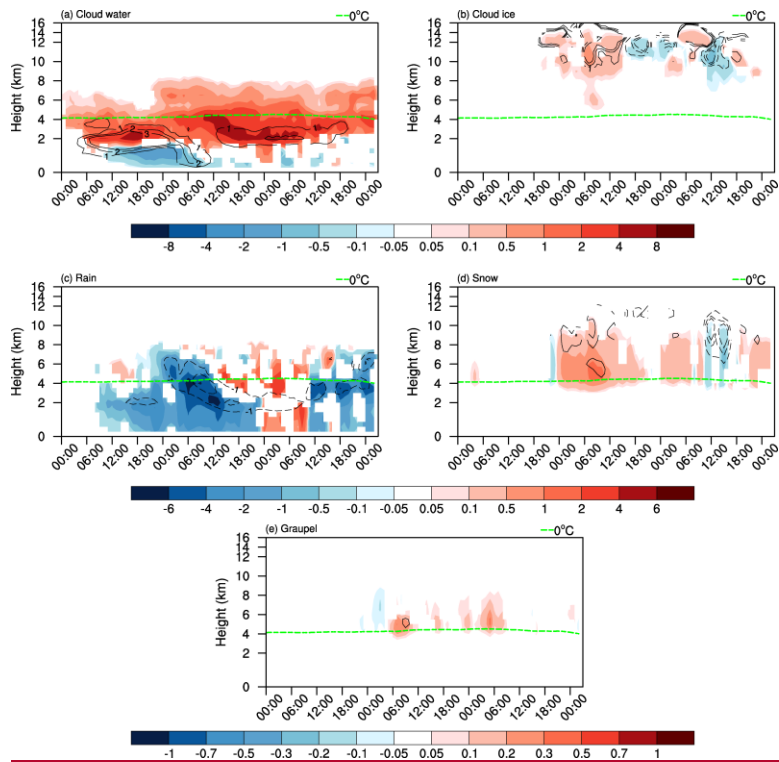
**Figure 10.** Differences in time-height cross section of CF (shading; unit: unitless) and PM<sub>2.5</sub> concentration (contour; unit:  $\mu\text{g m}^{-3}$ ) averaged over the red box shown in Figure 3 between (a) D1 and CLEAN (i.e., D1 minus CLEAN) and (b) D2 and CLEAN (i.e., D2 minus CLEAN). Only CF and PM<sub>2.5</sub> concentrations anomalies that exceed the 90% significance level are depicted with shading and contour, respectively.

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**Figure 7.** Differences in time-height cross section of CF (shading; unit: unitless) and  $PM_{2.5}$  concentration (contour;  $CI: 2 \mu g m^{-3}$ ) averaged over the red box shown in Figure 3 between (a) D1 and CLEAN (i.e., D1 minus CLEAN) and (b) D2 and CLEAN (i.e., D2 minus CLEAN). Only CF and  $PM_{2.5}$  concentrations anomalies that exceed the 90% significance level are depicted with shading and contour, respectively.

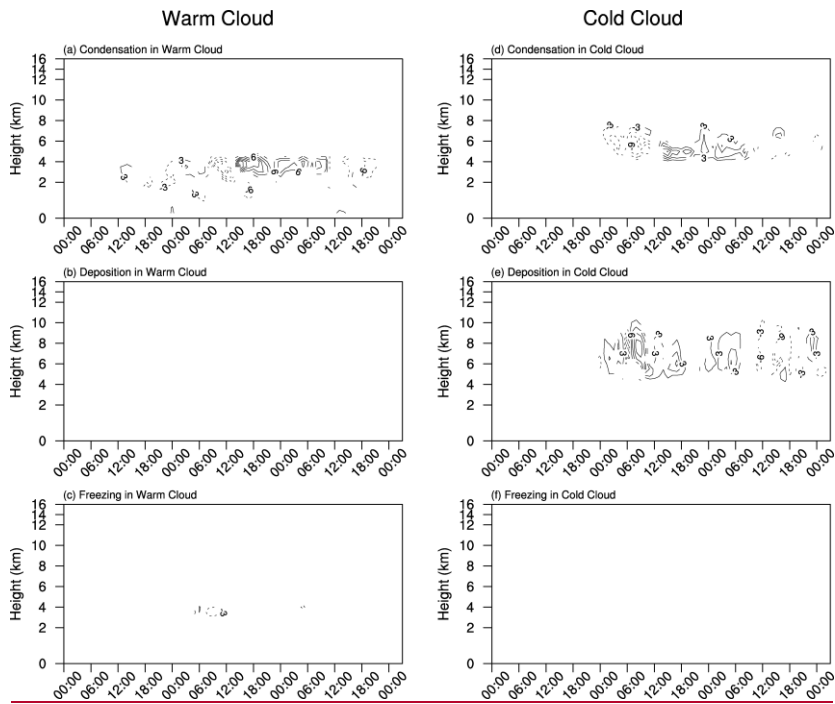


**Figure 11**Figure 8. Differences with time (abscissa; from 00Z on December 14 to 02Z on December 17) and height (ordinate) in (left column) CDNC (shading; unit:  $10^7 kg^{-1}$ ) and cloud effective radius (contour; unit:  $3 \mu m$ ), (middle column) CINC (shading; unit:  $10^5 kg^{-1}$ ) and ice cloud effective radius (contour; unit:  $4 \mu m$ ), and (right column) vertical velocity (shading; unit:  $cm s^{-1}$ ) and latent heating (contour; unit:  $3 K d^{-1}$ ) averaged over the red box shown in Figure 3 between D1 and CLEAN (i.e., D1 minus CLEAN; first row). (b, d, f) same as (a, c, e) but for differences between D2 and CLEAN (i.e., D2 minus CLEAN; second row). Only anomalies that exceed the 90% significance level are depicted with shading or contour. Zero-value contour lines are omitted, and negative values are dashed.



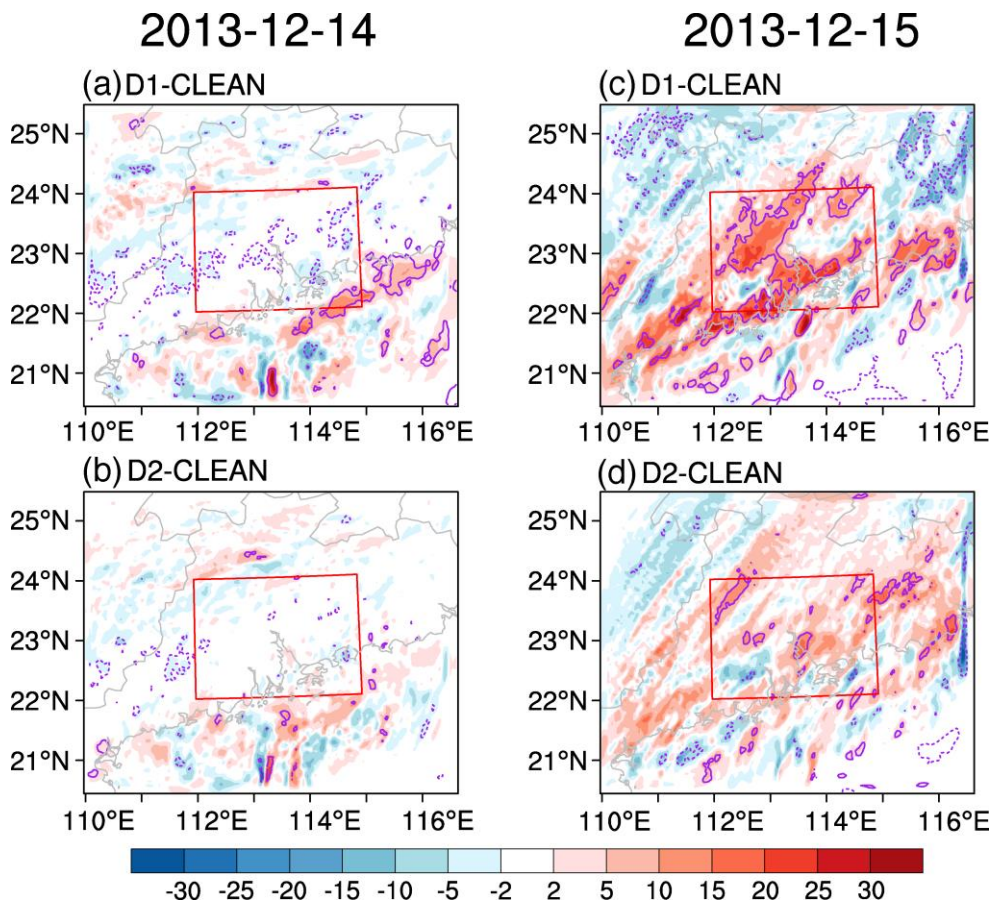
**Figure 12.** Differences with time (abscissa) and height (ordinate) in (a) cloud water (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CDNC (contour; unit:  $10^7 \text{ kg}^{-1}$ ), (b) cloud ice (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CINC (contour; unit:  $10^4 \text{ kg}^{-1}$ ), (c) rain (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and rain number concentration (contour; unit:  $10^5 \text{ kg}^{-1}$ ), (d) snow (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and snow number concentrations (contour; unit:  $10^3 \text{ kg}^{-1}$ ), and (e) graupel (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and graupel number concentration (contour; unit:  $10^3 \text{ kg}^{-1}$ ) between D1 and CLEAN (i.e. D1 minus CLEAN) averaged over the red box. Only anomalies that exceed 90% significance level are depicted with shading and contour.

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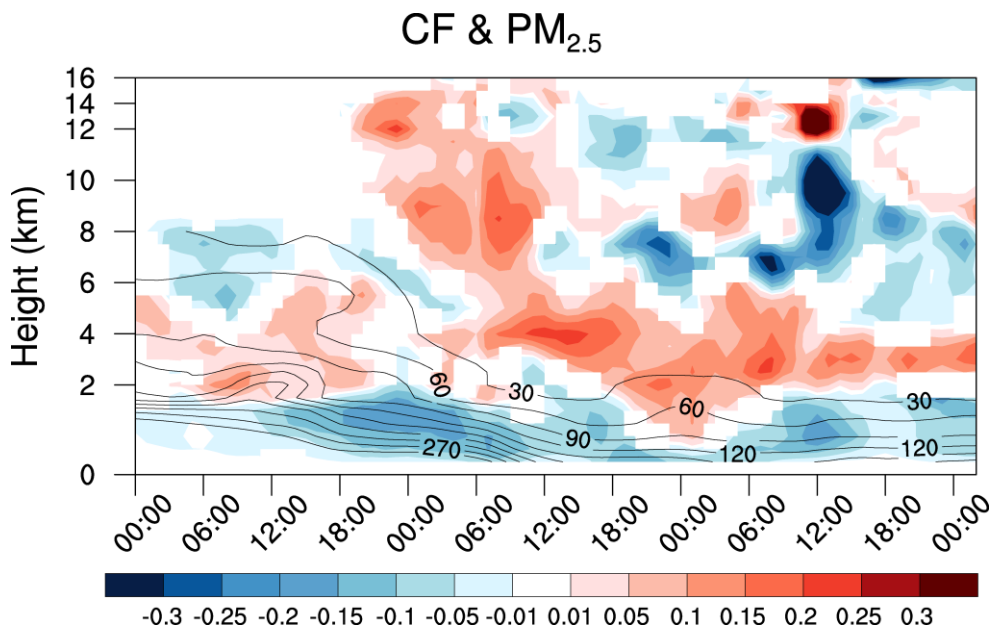


**Figure 13.** Differences with time (abscissa) and height (ordinate) in (a) cloud water (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CDNC (contour; unit:  $10^7 \text{ kg}^{-1}$ ), (b) cloud ice (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CINC (contour; unit:  $10^4 \text{ kg}^{-1}$ ), (c) rain (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and rain number concentration (contour; unit:  $10^5 \text{ kg}^{-1}$ ), (d) snow (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and snow number concentrations (contour; unit:  $10^3 \text{ kg}^{-1}$ ), and (e) graupel (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and graupel number concentration (contour; unit:  $10^3 \text{ kg}^{-1}$ ) between DI and CLEAN (i.e. DI minus CLEAN) averaged over the red box. Only anomalies that exceed 90% significance level are depicted with shading and contour.

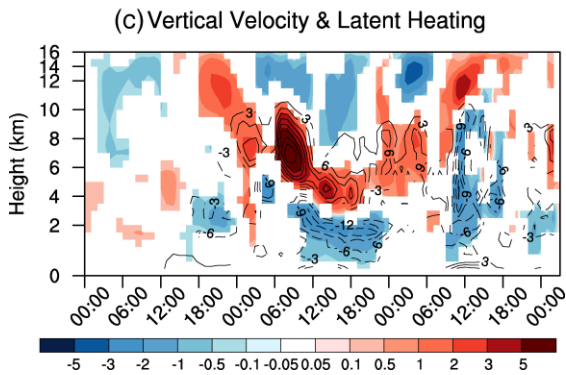
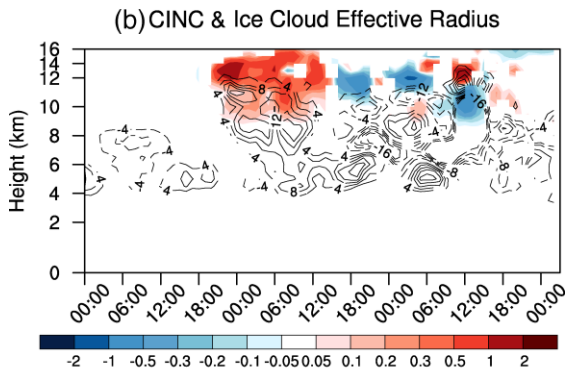
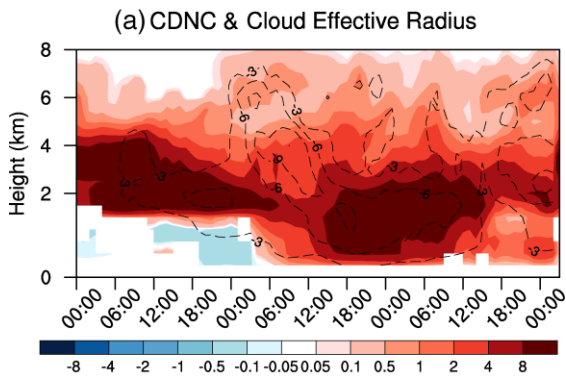
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**Figure 9.** Differences in precipitation (unit: mm) between (a) D1 and CLEAN (i.e., D1 minus CLEAN; first row) and (b) D2 and CLEAN (i.e., D2 minus CLEAN; second row) on December 14, (left column) (c, d) and Same as (a, b) but for December 15 (right column). Solid (dashed) purple contour lines indicate positive (negative) differences at the 90% significance according to two-tailed Student's  $t$  test. Red boxes (22°–24° N, 112°–115° E) denote the analysis region.

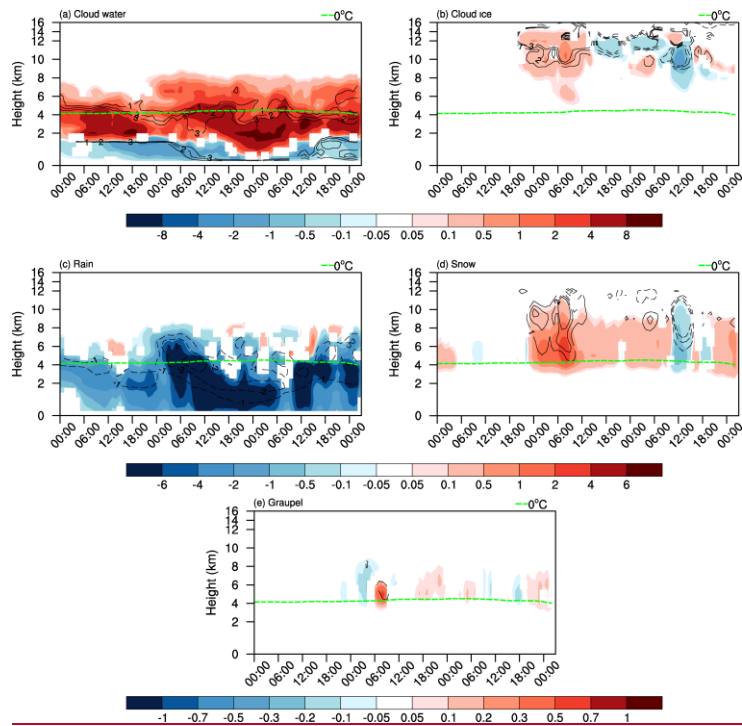


**Figure 15.** Differences in the time-height cross section of cloud factor CF (shading; unit: unitless) and PM<sub>2.5</sub> concentrations (contour; unit:  $\mu\text{g m}^{-3}$ ) averaged over the red box shown in Figure 3 between 10 $\times$  and CLEAN (i.e., 10 $\times$  minus CLEAN). Only CF and PM<sub>2.5</sub> concentrations anomalies that exceed the 90% significance level are depicted with shading and contour, respectively.



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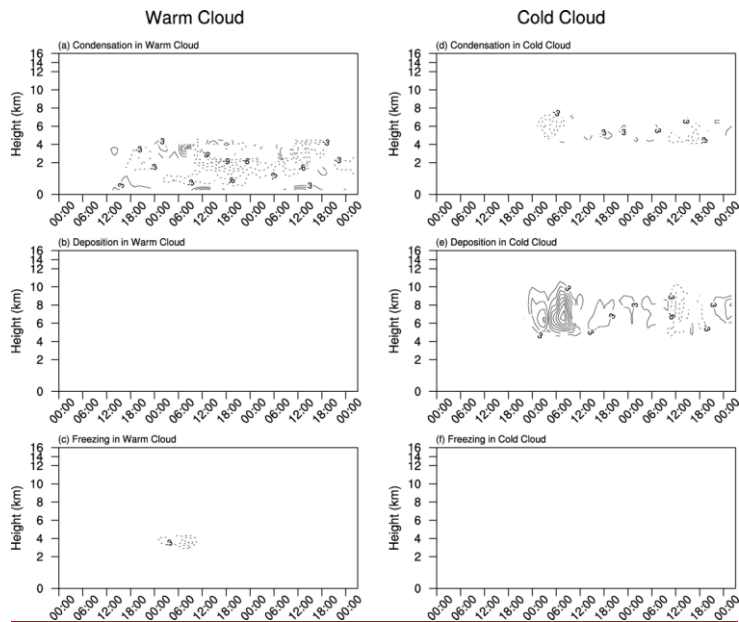
**Figure 16.** Differences with time (abscissa; from 00Z on December 14 to 02Z on December 17) and height (ordinate) in (a) CDNC (shading; unit:  $10^7 \text{ kg}^{-1}$ ) and cloud effective radius (contour; unit:  $3\text{-}\mu\text{m}$ ), (b) CINC (shading; unit:  $10^5 \text{ kg}^{-1}$ ) and ice cloud effective radius (contour; unit:  $4\text{-}\mu\text{m}$ ), and (c) vertical velocity (shading; unit:  $\text{cm s}^{-1}$ ) and latent heating (contour; unit:  $3\text{-K d}^{-1}$ ) averaged over the red box shown in Figure 3 between 10x and CLEAN (i.e., 10x minus CLEAN). Only anomalies that exceed the 90% significance level are depicted with shading or contour. Zero-value contour lines are omitted, and negative values are dashed.



**Figure 17.** Differences with time (abscissa) and height (ordinate) in (a) cloud water (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CDNC (contour; unit:  $10^7 \text{ kg}^{-1}$ ), (b) cloud ice (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and CINC (contour; unit:  $10^4 \text{ kg}^{-1}$ ), (c) rain (shading; unit:  $10^{-5} \text{ kg kg}^{-1}$ ) and rain number concentration (contour; unit:  $10^5 \text{ kg}^{-1}$ ), (d) snow (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and snow number concentrations (contour; unit:  $10^3 \text{ kg}^{-1}$ ), and (e) graupel (shading; unit:  $10^{-4} \text{ kg kg}^{-1}$ ) and graupel number concentration (contour; unit:  $10^3 \text{ kg}^{-1}$ ) between 10x and CLEAN (i.e., 10x minus CLEAN) averaged over the red box. Only anomalies that exceed 90% significance level are depicted with shading and contour.

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**Figure 18.** Differences with time (abscissa) and height (ordinate) in latent heat release (unit:  $\text{K d}^{-1}$ ) from (a) condensation, (b) deposition, and (c) freezing processes between 10x and CLEAN (i.e. 10x minus CLEAN) averaged over the red box for the warm cloud. (d–f) Same as (a–c) but from cold cloud. Only anomalies that exceed 90% significance level are depicted with and contour. Zero-value contour lines are omitted, and negative values are dashed. The contour interval is  $3 \text{ K d}^{-1}$ . Note the blank represent the values are within  $3 \text{ K d}^{-1}$ .

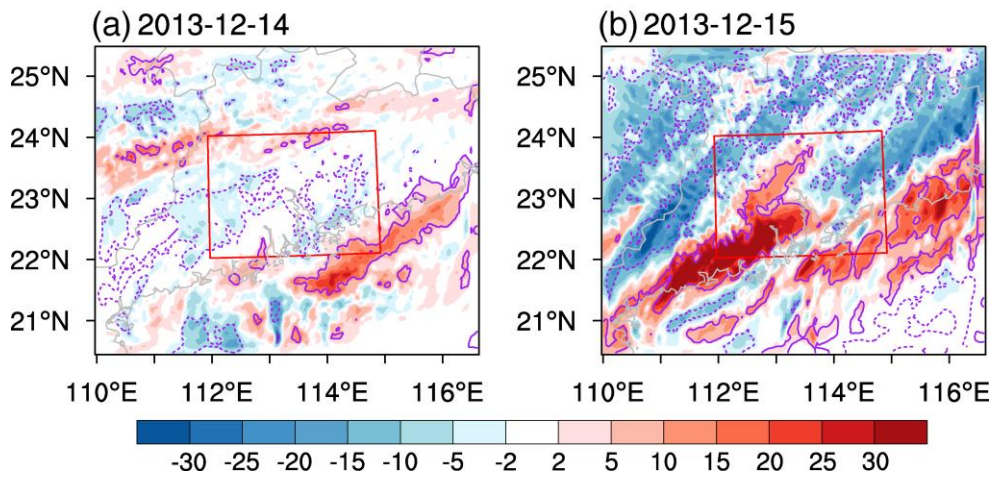
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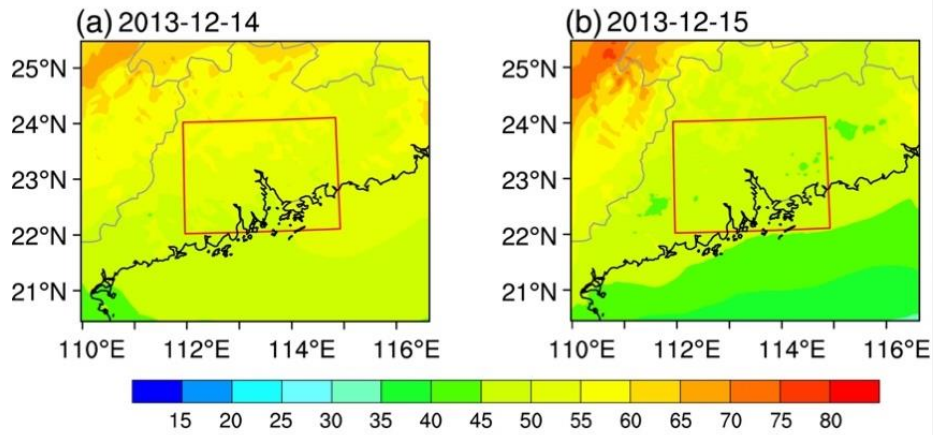
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## Precipitation



**Figure 19.** Differences in precipitation (unit: mm) between 10× and CLEAN (i.e., 10× minus CLEAN) on (a) December 14 (left) and (b) December 15 (right). Solid (dashed) purple contour lines indicate positive (negative) differences at the 90% significance according to two-tailed Student's *t* test. Red boxes (22°–24° N, 112°–115° E) denote the analysis region.

## Wind Shear



## Water Vapor & 925-hPa Wind

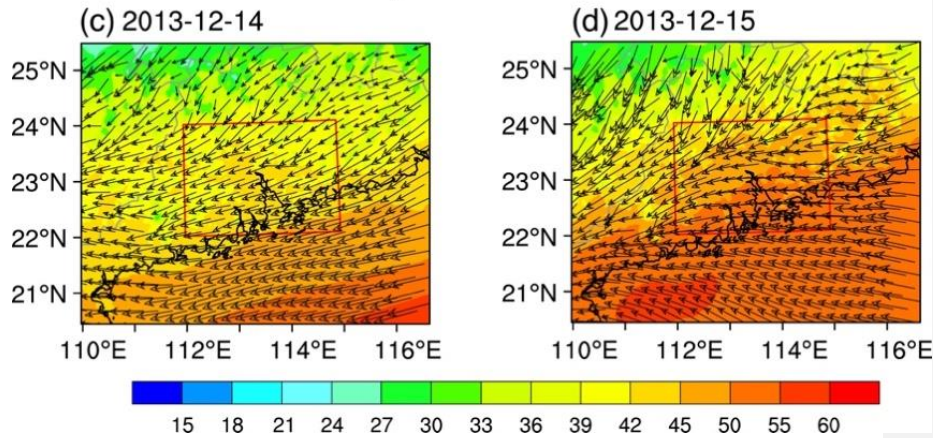


Figure 20. SFigure-13. Spatial distribution of wind shear (unit:  $\text{m s}^{-1}$ ) on (a) December 14 and (b) December 15 in 2013 in the CTL run (first row). Wind shear is calculated as differences between maximum wind speed and minimum wind speed at 0–10 km. Spatial distribution of column-integrated water vapor (shading; unit:  $\text{mm day}^{-1}$ ) and 925-hPa wind (vector; unit:  $\text{m s}^{-1}$ ) on (c) December 14 and (d) December 15 in 2013 in CTL (second row). Red boxes ( $22^{\circ}$ – $24^{\circ}$  N,  $112^{\circ}$ – $115^{\circ}$  E) denote the analysis region.

5 Table 1. Model simulations. Abbreviations: CTL, control run; ARIoff, turn off aerosol-radiation interactions; D1, keep emissions in domain 1 as control run while make those except for chemical boundary conditions in domain 2 as CLEAN run; D2, keep emissions and chemical initial conditions in domain 2 as control run, make those and chemical boundary conditions in domain 1 as CLEAN run; 10×, tenfold of anthropogenic emissions and chemical initial and boundary conditions. \* indicates that emissions, initial conditions (ICs), or boundary conditions (BCs), are scaled from the control run. Note the offline chemical BCs here were extracted from global chemical transport models and only used for domain 1.

Simulation	Anthropogenic and fire emissions, chemical ICs and BCs*		Aerosol-radiation interactions	Aerosol-cloud interactions
	Domain 1	Domain 2		
CTL	1	1	Yes	Yes
ARIoff	1	1	No	Yes
CLEAN	0.1	0.1	Yes	Yes
D1	1	0.1	Yes	Yes
D2	0.1	1	Yes	Yes
10×	10	10	Yes	Yes