### The impact of measures to reduce ambient air $PM_{10}$ 1 concentrations originated from road dust, evaluated for a 2 street canyon in Helsinki 3

Ana Stojiljkovic<sup>1</sup>, Mari Kauhaniemi<sup>2</sup>, Jaakko Kukkonen<sup>2</sup>, Kaarle Kupiainen<sup>1</sup>, Ari Karppinen<sup>2</sup>, 4 Bruce Rolstad Denby<sup>3</sup>, Anu Kousa<sup>4</sup>, Jarkko V. Niemi<sup>4</sup>, Matthias Ketzel<sup>5</sup> 5

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7 <sup>1</sup>Finnish Environment Institute (SYKE), Helsinki, P.O.Box 140, FI-00251, Helsinki, Finland

8 <sup>2</sup>Finnish Meteorological Institute, Helsinki, P.O. Box 503, FI-00101, Helsinki

- 10 <sup>4</sup>Helsinki Region Environmental Services Authority, P.O.Box 100, FI-00066, Helsinki, Finland
- 11 <sup>5</sup>Department of Environmental Science, Aarhus University, P.O. Box 358, DK-4000, Roskilde, Denmark
- 12 Correspondence to: Ana Stojiljkovic (ana.stojiljkovic@ymparisto.fi)

Abstract. We have evaluated numerically how effective selected potential measures would be for reducing 13 14 impact of road dust on ambient air particulate matter ( $PM_{10}$ ). The selected measures included reduction of the 15 use of studded tyres in light-duty vehicles and reduction of the use of salt or sand for traction control. We have 16 evaluated these measures for a street canyon located in central Helsinki for four years (2007-2009 and 2014). Air 17 quality measurements were conducted in the street canyon for two years, 2009 and 2014. Two road dust 18 emission models, NORTRIP and FORE, were applied in combination with the street canyon dispersion model 19 OSPM to compute the street increments of  $PM_{10}$  (i.e. fraction of  $PM_{10}$  concentration originated from traffic 20 emissions at the street level) within the street canyon. The predicted concentrations were compared with the air 21 quality measurements. Both road dust emission models reproduced fairly well seasonal variability of the  $PM_{10}$ 22 concentrations, but under-predicted the annual mean values. It was found that the largest reductions of 23 concentrations could potentially be achieved by reducing the fraction of vehicles that use studded tyres. For 24 instance, a 30 % decrease in the number of vehicles using studded tyres would result in an average decrease of 25 the non-exhaust street increment of  $PM_{10}$  from 10 to 22 %, depending on the model used and the year 26 considered. Modelled contributions of traction sand and salt to the annual mean non-exhaust street increment of 27  $PM_{10}$  ranged from 4% to 20% for the traction sand, and from 0.1% to 4% for the traction salt. The results presented here can be used to support development of optimal strategies for reducing the high springtime 28 29 particulate matter concentrations originated from the road dust.

#### 30 **1** Introduction

31 During the last couple of decades, strict regulations and technological innovations have led to a significant 32

- decrease of exhaust particulate emissions from road traffic. However, at the same time the decreases of non-
- 33 exhaust traffic emissions have been much more moderate or even negligible, partly caused by the fact that these 34 emissions have remained mostly unregulated (e.g., Kukkonen et al., 2018). Estimated relative contribution of
- 35 non-exhaust emissions to the emissions of  $PM_{10}$  from road transport increased from 30 % in 2000 to 60 % in
- 36 2016 (EEA, 2018).

<sup>9</sup> <sup>3</sup>Norwegian Meteorological Institute, P.O. Box 43, Blindern, NO-0313 Oslo, Norway

- 1 The non-exhaust emissions of respirable particles,  $PM_{10}$ , include particles formed due to the wear of road 2 surface, brakes and tyres, and the suspension of particles that have been accumulated on the road surface and are
- 3 commonly referred to as road dust. The latter category is originated from (i) the wear of the road surface and the
- 4 tyres, (ii) traction control materials (sand and salt) and (iii) a range of other miscellaneous sources, such as the
- 5 deposited material from, e.g., road and building construction sites or surrounding environment, and the
- 6 deposition of materials to the surface from ambient air.
- 7 In northern European countries, the non-exhaust emissions have been one of the most important causes of high
- 8 ambient air  $PM_{10}$  concentrations for several decades (e.g., Kukkonen et al., 1999, 2018; Kauhaniemi et al.,
- 9 2014). These have also resulted in exceedances of the daily  $PM_{10}$  limit values set by the European Union
- 10 (according to these, there should be no more than 35 days with concentrations exceeding 50  $\mu$ g m<sup>-3</sup>), especially
- 11 during spring. In brief, the mechanisms leading to such exceedances are (i) the accumulation of road dust on the
- 12 road surfaces in winter, (ii) the melting of snow and ice in spring, and (iii) the release of substantial amounts of
- 13 suspended dust to the atmosphere from the road surfaces during dry periods.

14 In the Nordic countries, it is necessary to use traction control measures (winter tyres, traction sanding and 15 salting) during the colder seasons to ensure traffic safety in snowy and icy weather.

- 16 The road wear associated with the use of studded winter tyres has been found to be the most significant source of
- 17 road dust (Kupiainen, 2007; Denby et al., 2013a; Kupiainen et al., 2016) that contributes to the high  $PM_{10}$ 18 concentrations. The use of traction sanding and salting contribute to a lesser degree to the amount of suspended 19 street dust; however, also these contributions may be significant (Denby et al., 2013a; Kupiainen et al., 2016).
- 20 Salt is commonly the preferred of the two traction control materials, but sanding has to be used in specific
- 21 weather conditions. These include in particular the conditions, for which the ambient temperatures are below -5
- 22 °C. Salting would then result in the freezing of the salt-water solution, and would not contribute to improving the
- friction between the tyres and the street surface. The traction sand can directly contribute to the suspendable road
- 24 dust, if it contains particulate material that has specific grain sizes. There are also other processes, by which
- 25 traction sand can contribute: (i) via crushing of larger sand grains into smaller particles due to the passage of
- tyre, and (ii) via abrasion of road surface by the contact of crushed stone and sand, and the tyres of passing
- 27 vehicles. The latter is commonly called the sandpaper effect (Kupiainen, 2007). According to Denby et al.
- 28 (2016), approximately 0.5 % of the total salt distributed on the roads can be released to the air as PM<sub>10</sub>. As
- 29 approximately 200 000 tons of salt is spread out every year on the roads and streets in Finland, road salt can be a
- 30 significant source of the elevated  $PM_{10}$  concentrations.
- For the design of successful mitigation strategies for road dust, it would be valuable to assess contributions of different sources to the  $PM_{10}$  concentrations. Then it would also be possible to evaluate the efficiency and impacts of potential abatement measures. Various modelling tools have been developed to facilitate such
- 34 analyses.
- 35 The aim of this study is to evaluate the effectiveness of selected potential measures for reducing the emissions
- 36 and concentrations of  $PM_{10}$  originated from road dust. These measures include the reduction of the use of
- 37 studded tyres and the minimization of traction control material use. We have evaluated the effects of these
- 38 measures for a street canyon location in central Helsinki, for four years (2007-2009 and 2014). We have also
- 39 compared the predictions of the modelling system with the measured concentrations in the street canyon for two
- 40 years, 2009 and 2014. The non-exhaust  $PM_{10}$  emissions associated with vehicular traffic were computed using

- the road dust emission models NORTRIP (Denby et al., 2013a, 2013b) and FORE (Kauhaniemi et al., 2011).
   Both emission estimates were then implemented in the OSPM street canyon dispersion model (Berkowicz, 2000)
- 3 to simulate the concentrations of  $PM_{10}$  at the street level.

### 4 2 Materials and methods

# 5 2.1 Measurements

### 6 2.1.1 Study site description

7 The study was carried out for a segment of a major street called Hämeentie, located in central Helsinki. The 8 street segment is extending from south-west to north-east (at an angle of 56 degrees clockwise from the north). 9 The building block that surrounds the air quality measurement site extends over a distance of 91 m. The air 10 quality measurement site was at distances of 56 m and 35 m from the nearest junctions to the north-east and to 11 the south-west, respectively. The average height of the surrounding buildings in the studied segment of the street 12 is 26 m. The location of the study site in the city, and the applied meteorological and air quality stations are 13 presented in Fig 1a. The location of buildings and park areas in the immediate vicinity of this street segment is 14 presented in Fig. 1b. There is an open area and a small park to the north-east of the measurement site at distances 15 of approximately 60 and 200 m, respectively. There are several high trees in those areas that were estimated to 16 be approximately 10 m high. The street canyon is 32 m wide and it contains four lanes, two to both directions.

# 17 2.1.2 Traffic data and the use of studded tyres

18 The traffic volume data and, weekly and monthly variations of the traffic volume were based on the estimations 19 made by the Helsinki City Planning Department. The measured annual average weekday traffic volume is 20 available for 2015 for Hämeentie, and for 2007, 2008, 2009, and 2014 for a street that is a continuation street of 21 Hämeentie, located 600 m south-west from the site, called Pitkäsilta. Annual average weekday traffic volume 22 measured at Hämeentie in 2015 was adopted for year 2014. For other considered years, we have used measured 23 traffic volumes at Pitkäsilta, scaled by the ratio of annual average weekday traffic volumes at Hämeentie in 2015 24 and at Pitkäsilta in 2014.

- 25 The average hourly weekday daytime vehicle speeds are based on the values measured during the monitoring 26 campaigns in Hämeentie in 2007, 2009 and 2011. Measured values for 2007 and 2011 were adopted for years 27 2008 and 2014, respectively. The vehicle speeds for the night-time hours and weekend days were evaluated 28 using the measured diurnal and weekly cycles of vehicle speeds in Runeberginkatu (located 2 km southwest 29 from Hämeentie) in 2004. The traffic data for Hämeentie for years 2007-2009 and 2014 are summarized in Table 30 1. The average speeds of vehicles are clearly below the speed limit value (40 km h<sup>-1</sup>), due to several junctions 31 and frequently occurring traffic congestion. This street is one of the major routes for public transport to the 32 centre of the city; the proportion of heavy-duty vehicles is therefore high, ranging annually from 29 to 30 %. 33 The use of winter tyres (studded or non-studded) is mandatory from December to February (inclusive) by
- 34 legislation in Finland. The studded tyres are allowed from November until the last day of March, or until
- 35 Monday one week after Easter, if it falls on a later date. However, studded tyres can be used also outside of this
- 36 period, if required by the weather conditions. Studded tyres are used only on light-duty vehicles. The maximum
- annual share of light-duty vehicles using studded tyres during the study period was 80 %. For year 2014, the

transition from summer to winter tyres is based on the weekly counting of the vehicles with studded tyres in
 Helsinki (REDUST, 2014). For other considered years (2007-2009), such detailed information was not available,

- 3 and the winter tyre season was therefore set to last from 23 October until 30 April. The transition between winter
- 4 and summer tyres is assumed to be linear over a one-month period at the beginning and at the end of the winter
- 5 tyre season.

# 6 2.1.3 Meteorological data

7 The meteorological data were obtained from two weather stations located at Kaisaniemi and Kumpula (Fig.1a) at 8 distances of 1.0 and 2.4 km from the Hämeentie site, respectively. The data includes ambient temperature, 9 relative humidity, precipitation, wind speed, wind direction, total cloud cover and global radiation. The monthly 10 mean temperature and total precipitation values for the study period are presented in Fig. 2. In terms of the 11 meteorological conditions relevant for the suspension emissions and dispersion conditions, all the years 12 addressed in this study can be considered to be commonly occurring ones for this climate zone.

Using meteorological data at two urban stations could result in reduced representatives of the micrometeorological processes. Particularly, small-scale rain showers could be detected at the urban meteorological stations, but not at the study site, or the other way around.

# 16 2.1.4 Road maintenance data

17 The total number of relevant road maintenance activities is presented in Table 2 for different years during the 18 study period. The salting and sanding events are the most and the second most frequent ones, respectively. Street 19 cleaning is commonly done only once per year. The approximate seasonal timing of these activities is presented 20 in Fig. 3. The complete data for the period from October to December was available only for year 2008. Most of 21 the traction control measures (i.e., sanding and salting) have been done in winter and early spring, from January

- to March. Dust binding has been done mostly in spring, during March and April.
- The information on the timing of road maintenance activities was available within an accuracy of six hours. The estimated dry masses of sand, traction salt (NaCl) and dust binding salt (CaCl<sub>2</sub>) per application were 100 g m<sup>-2</sup>,  $10 \text{ g m}^{-2}$  and  $6 \text{ g m}^{-2}$ , respectively.

# 26 2.1.5 Air quality measurements

Kerbside air quality measurements were conducted in Hämeentie in 2009 and 2014. Urban background air
quality measurements were made at the station of Kallio, which is located at a distance of 700 m north-west from
the Hämeentie site.

## 30 2.2 Models

# **31 2.2.1** The models for evaluating the suspension emissions

32 The non-exhaust  $PM_{10}$  emissions for 2007-2009 and 2014 were computed using the NORTRIP and FORE 33 models. A brief overview of the models' structure and their application in this study is presented in this section.

34 The reader is referred to Denby et al. (2013a, 2013b) (NORTRIP) and Kauhaniemi et al. (2011, 2014) (FORE)

- 35 for comprehensive description of the models and parameter definitions.
- 36

# 1 The road dust emission model NORTRIP

2 The NORTRIP model (NOn-exhaust Road TRaffic Induced Particle emissions) is described in Denby et al.

3 (2013a, 2013b) and comprises two sub-models that describe the road dust and surface moisture mass balance.

- Coupled they are used to predict emission of the road dust, which results from the direct emissions of vehicle
  related wear (road, brakes and tyre) and suspension of wear products, salt and sand accumulated on the road
- 6 surface.

7 The road dust emission calculation is based on the total wear rates and the size distributions of the different wear 8 sources. The basis road wear rate for studded tyres is determined using the Swedish road wear model (Jacobson 9 and Wågberg, 2007) and can be adjusted for different pavement types. The basis brake and tyre wear rates and 10 size distributions used in this study are taken from Boulter (2005). The suspension of road dust induced by 11 passing vehicles is accounted for in the NORTRIP model using a suspension factor. The suspension factor in 12 NORTRIP was initially derived by optimising the model against ambient air measurements that clearly show the 13 decay in PM emissions at the end of the studded tyre season and is described in Denby et al. (2013a). 14 Application of the model to many datasets since then does not indicate the need for significant changes to this

15 suspension factor.

16 Table 3 shows parameters relevant for calculation of emissions from wear and suspension for light-duty vehicles

17 used in this study at reference speeds of 70 km  $h^{-1}$  for wear, and 50 km  $h^{-1}$  for PM<sub>10</sub> fraction and suspension. The

18 road wear and suspension are considered to be linearly dependent on vehicle speed. The wear and suspension

- rates for the heavy-duty vehicles are assumed to be 5 and 10 times larger than those for light-duty vehicles,respectively.
- 21 The surface moisture, as calculated by the surface moisture model, determines the suspension and retention of 22 the road dust and salt. The surface moisture is a product of precipitation, condensation and wetting whereas the
- removal of surface moisture happens through drainage, evaporation and spray. Additionally, drainage and spray
- 24 will contribute to removal of dust and salt from the road surface. The energy balance model is used to predict
- 25 condensation and evaporation from the road surface.
- 26 The NORTRIP model input data includes information on street configurations, traffic data (traffic volume and 27 composition, vehicle speed and tyre type), meteorological data (solid and liquid precipitation, wind speed, 28 temperature, radiation, cloud cover, and humidity) and road maintenance activity data.
- 29 Road maintenance activities included in the NORTRIP model are traction salting and sanding, dust binding,
- 30 cleaning and ploughing. Traction sand directly contributes to the suspendable road dust mass, depending on its
- 31 particle size distribution. Size distribution measurements of traction sand used in the Helsinki Metropolitan Area
- 32 showed that 0.4-2.5% of the sanding material is in the suspendable fraction (defined as the size fraction < 200
- $\mu$ m) (Kulovuori et al., 2019). In this study, the amount of suspendable material in sand was set to be equal to
- 34 2%. Salt contributes directly to the dust loading, when not in solution, and impacts on the predicted surface
- 35 conditions via surface vapour pressure depression that reduces evaporation (Denby et al., 2013b). In the model,
- 36 cleaning and ploughing reduce the amount of road dust and salt on the road surface with a predefined efficiency.
- 37 The effect of street cleaning will depend on the method used and initial amount of road dust available on the
- 38 street surface (e.g. REDUST, 2014). In this study, assumed removal efficiency for cleaning and ploughing are set
- to be 1% and 0.1% for the non-suspendable and suspendable fraction of the road dust, respectively.

1 The output of the model consists of hourly time series for the emissions from wear sources and from salt and 2 sand in the size fraction of  $PM_{10}$ .

3

### 4 The road dust emission model FORE

5 The FORE model (Forecasting Of Road dust Emissions) has been developed to evaluate the particulate matter 6 emissions from road and street surfaces. It is based on the particle suspension emission model developed by 7 Omstedt et al. (2005). The model considers emissions formed by the wear of road surface and from traction sand 8 and the suspension of road dust particles into the atmosphere. The model version does not address the emissions 9 caused by the wear of vehicle components (e.g. brake and tyre wear).

- 10 The use of the model requires as input hourly meteorological data (i.e., total precipitation, temperature, dew
- point temperature, relative humidity, wind speed, radiation and cloud cover), the roughness length, the share ofstudded tyres, and the dates of the street sanding.
- 13 The model uses empirical reference emission factors, which have different values depending on the time of the
- 14 year, the size fraction of particles ( $PM_{10}$  or  $PM_{2.5}$ ), and the traffic environment (urban or highway). The reference
- emission factor will be higher for the time of the year when sanding and studded tyres are commonly used
- 16 (referred to as 'sanding period') compared to the rest of the year (referred to as 'non-sanding period').
- 17 We have adopted the reference emission factors evaluated for Stockholm estimated and further explained by
- 18 Omstedt et al. (2005); i.e., 1200 and 200 µg veh<sup>-1</sup> m<sup>-1</sup>, for sanding (Oct-May) and non-sanding (Jun-Sep) period,
- 19 respectively. The climatic conditions, studded tyre shares and the procedures of using traction sand are fairly
- 20 similar in Stockholm and Helsinki, although the difference in used amounts of sand and salt can be significant.
- 21 The dust layer, which will be accumulated on the street surface during wet conditions, depends on the traction
- 22 sanding and the road wear. In the FORE model, equal contributions are assumed for the dust layers on the street,
- 23 originating from the road wear and from the traction sand. The dust layer is reduced by the suspension of
- 24 particles due to the air flow and by runoff due to precipitation.
- 25 The suspension of road dust particles in the air is controlled by road surface moisture that is based on modelling
- 26 of precipitation, runoff, and evaporation. The effect of terrain on wind is defined by roughness length which is
- 27 needed for the evaluation of the evaporation (Omstedt et al. 2005). In the present case, the roughness length was
- 28 derived from the average building height (26 m) in the studied street section. This resulted in the roughness
- length value of 2.6 m.
- 30 The model does not allow for the dependencies of emissions on vehicle speed and fleet composition. In the 31 FORE model, we have used as input the studded tyre share of the whole traffic fleet of H\u00e4meentie, including
- 32 both light-duty and heavy-duty vehicles. As studded tyres are only used in light-duty vehicles at the study site,
- corresponding share of studded tyres in the total traffic fleet is relatively lower. For instance, assuming that 80%,
- 34 50%, 30% or 0% of the light-duty vehicles uses studded tyres, the studded tyre share of the whole traffic fleet is
- approximately 57%, 35%, 21% and 0%, respectively.

### 36 2.2.2 Evaluation of the vehicular exhaust emissions

- 37 The exhaust emission factors were obtained from the LIPASTO emission modelling system (Mäkelä, 2015). The
- 38 LIPASTO emission factors are defined separately for five vehicle categories (personal cars, vans, buses, lorries
- 39 without a trailer, and lorries with a trailer). The dependencies of emission factors on the vehicle speeds were not

explicitly taken into account; however, they allow for urban driving conditions, i.e., traffic cycles that contain frequent accelerations, decelerations and idling. The vehicular exhaust emission factors for particulate matter used in this study are presented in Table 4. As expected, the emission factors were the largest for lorries equipped with a trailer. The emission factors are an order of magnitude larger for heavy-duty vehicles and vans, compared with the personal cars.

# 6 2.2.3 The street canyon dispersion model OSPM

7 The street canyon dispersion model OSPM is based on a combination of a Gaussian plume model and an
8 empirical box model. For a detailed description of this model, the reader is referred to Berkowicz (2000). A brief

9 overview of the model structure and its application in this study is presented here.

10 The OSPM model requires as input data information on the street configuration, hourly time series of the traffic

data, the exhaust- and non-exhaust emissions, the meteorological parameters (wind speed and direction), and the
 urban background concentrations.

13 The input information on the street configuration includes the geometry of the studied street segment; introduced

14 in Section 2.1.1. The ratio of canyon height (26 m) and width (32 m) gives an aspect ratio of 0.8. Thus, the

15 studied street is considered as a wide street canyon. The aspect ratio of studied street is close to an ideal value in

view of the performance of the OSPM model; the model was developed for street canyons with an aspect ratio

17 close to unity.

18 We have also taken into account the geometries of nine street crossings and two parks that are outside of the 19 studied street segment. These so-called exceptions on canyon walls need to be considered, although they are

20 outside of the studied street segment, as they are situated less than 200 m from the receptor points. Otherwise,

21 the OSPM model will assume that the row of buildings continues over a very large distance (Berkowicz et al.,

22 2003). The geometries of street crossings and parks are considered in the model for various wind sectors and so-

called building height exceptions.

24 Trees add the porosity of a street canyon, and thus have an influence on dispersion and deposition conditions.

25 However, the OSPM model does not consider any obstacles in the street canyon.

26 The completeness of the meteorological and background concentration data used as input for the OSPM

27 calculations was excellent. Average data coverage for wind speed and direction, and background concentrations28 was 98%.

29 Traffic induced turbulence depends in the model on traffic flow and composition (light and heavy vehicles), as

30 well as on the traffic speed. The hourly average traffic volume and speed data were used as input separately for

31 light-duty vehicles (i.e., passenger cars and vans) and heavy-duty vehicles (i.e., busses and lorries).

# 32 3. Results and discussion

33 Two road dust emission models, NORTRIP and FORE, were applied to compute the vehicular non-exhaust PM<sub>10</sub>

34 emissions that were, together with the exhaust emissions, then used as input in the OSPM street canyon

dispersion model to simulate street level  $PM_{10}$  concentrations.

36 We have (i) compared predictions of the models to the measured  $PM_{10}$  concentrations (Section 3.1.), (ii)

37 evaluated key uncertainties in the road dust and dispersion modelling for the study site (Section 3.2.), and (iii)

- 1 simulated the effects of changes in studded tyre share and the impacts of traction sanding and salting on ambient
- 2 air  $PM_{10}$  concentrations (Section 3.3).
- 3 For the comparison with the measured concentrations we have focused on the street increments of  $PM_{10}$ . The 4 measured and predicted street increments were obtained by subtracting the measured urban background 5 concentrations from the measured and predicted concentrations in the street canyon, respectively. Effects of 6 measures intended to reduce road dust emissions were subsequently estimated for the non-exhaust part of the 7 street increments, as a relative difference compared to reference case. Non-exhaust street increment is a fraction 8 of the modelled street increment PM<sub>10</sub> concertation that originates from the non-exhaust traffic induced particle 9 emissions. The results are presented as annual and seasonal mean values. Seasons are defined as follows: winter 10 (1 January to 14 March), spring (15 March to 31 May), summer (1 Jun to 30 September) and autumn (1 October
- to 31 December).
- 12 3.1. Comparison of predicted and measured PM<sub>10</sub> concentrations
- The kerbside air quality measurements in Hämeentie were performed in 2009 and 2014. The total observed annual mean concentrations of  $PM_{10}$  were 24 µg m<sup>-3</sup> and 23 µg m<sup>-3</sup> in 2009 and 2014, respectively, and were slightly above the WHO guidelines (20 µg m<sup>-3</sup>). The EU daily limit value (50 µg m<sup>-3</sup>) was exceeded on 16 days in 2009, and on 21 days in 2014 (Malkki et al. 2010; Malkki and Loukkola 2015). Although the number of exceedances was below the allowed number of 35 days, elevated  $PM_{10}$  concentrations caused by the road dust in spring can cause adverse health impacts and reduce the comfort of living. The urban background contribution to the concentrations measured at the street level in Hämeentie was substantial, i.e., 64%, averaged over the two
- 20 years with available data (2009 and 2014).
- The time series of modelled and observed daily mean street increment concentrations of  $PM_{10}$  for years 2009 and 2014 are presented in Fig. 4. The annual and seasonal mean values are presented in Table 5. In 2009, the 23 observed seasonal variation was more pronounced, compared with the corresponding results for 2014, as shown 24 both by the results in Fig. 4 and Table 5. The observed street increment in spring was clearly the highest for both 25 years, compared with that in the other seasons.
- 25 years, compared with that in the other seasons.
- 26 In 2009, a snow layer was formed on the street in the second half of January, and lasted until the end of March.
- The month of April was warmer than average and with less precipitation. The observed daily mean  $PM_{10}$ concentrations started to increase in the latter part of March and prevailed on a relatively high level until the end
- 29 of April. Night frosts postponed the street cleaning that commonly starts in March, to the beginning of April.
- 30 This contributed, together with the lack of precipitation, to the existence of a prolonged road dust season.
- 31 On the other hand, the winter of 2014 was milder than average. The snow cover lasted only for a short time
- 32 between January and February, and the thermal spring started early. The observed PM<sub>10</sub> concentrations were on
- average substantially lower during spring, compared with those in 2009, caused by both early spring cleaning
- 34 procedures and fortunately timed precipitation events.
- 35 Both models can be considered to have reproduced the seasonal variability fairly well, but they under-predict the
- 36 annual mean values. The street increments of  $PM_{10}$  predicted by the FORE model are higher than the observed
- 37 values in winter and lower in spring, for both years. The NORTRIP model systematically under-predicts the
- 38 measured concentrations. The NORTRIP model reproduced observed variation of the daily mean street
- increment concentrations reasonably well with the coefficients of determination  $R^2$  of 0.51 and 0.32 for 2009 and

- 2014, respectively. The corresponding correlations for the FORE model were slightly lower ( $R^2 = 0.25$  and 0.20 1 2 for 2009 and 2014). The correlation of the hourly mean street increment concentrations, compared with the
- 3 corresponding values for the daily means, was substantially lower in case of the NORTRIP computations ( $R^2 =$
- 4 0.38 and 0.25 for 2009 and 2014, respectively). This was probably due to the higher uncertainties in evaluating
- 5 the hourly variation of the street surface conditions. In case of the FORE model ( $R^2 = 0.26$  and 0.20, for 2009
- 6
- and 2014, respectively), the daily and hourly correlations were very similar to each other. Additional results of
- 7 the statistical analyses for the daily mean street increments of PM<sub>10</sub> are presented in Appendix A.

#### 8 3.2 Evaluation of the uncertainties of the modelling

- 9 There are significant uncertainties in the modelling of the road dust and dispersion modelling associated to the
- 10 numerous model input values and parameters used for the model computations. Additionally, uncertainties that
- 11 can affect the accuracy of the whole modelling system are potentially missing road dust sources or source
- 12 categories. Such sources could be the migration of dust from adjoining streets, the off-road sources (such as
- 13 sidewalks and parking lots) and the traction sand used by trams.
- 14 We have analysed and numerically evaluated selected key uncertainties related to the application of the two road
- 15 dust emission models, and to the street canyon modelling for the Hämeentie site.

#### 16 3.2.1 Uncertainties of the NORTRIP model

- 17 Denby et al. (2013b) previously studied extensively the sensitivity of the NORTRIP model to a wide range of 18 input parameters and demonstrated ability of the model to reproduce the mean concentrations of  $PM_{10}$  within a 19 range of  $\pm$  35 % of observations for a number of data sets. However, the results of the present study were outside
- 20 of the above mentioned range of uncertainties.
- 21 The results presented in Section 3.1. show that the NORTRIP model systematically under-predict observed  $PM_{10}$ 22 concentrations for Hämeentie. Road wear particles created by the studded tyres dominate in the road dust 23 emissions. In the NORTRIP model, the wear rate caused by studded tyres depends on the properties of asphalt 24 pavement (such as stone sizes and wear resistance) and vehicle speed. In this study, we have used wear rates 25 derived for the reference pavement type (ABS16 with porphyry from Älvdalen) in the Swedish road wear model 26 (Jacobson and Wågberg, 2007) which is one of the most wear resistant pavements used in Sweden. The wear 27 rates in the Swedish road wear model are based on laboratory and field experiments and provide an average 28 under both prevailing dry and wet conditions. However, influence of surface moisture that increases the wear is 29 not directly considered in the model calculations. Denby et al. 2013a estimated the typical wear rates to be from 2 to 5 g km<sup>-1</sup> veh<sup>-1</sup> and acknowledged significantly variation of these values depending on the material used with 30 31 increased wear rates for roads with the poor quality surfaces. Hämeentie is paved with the stone matrix asphalt 32 but further detailed information about road surface parameters was not available, which is a source of uncertainty
- 33 in evaluating the studded tyre wear rates.
- 34 We found that numerically doubling the studded tyre wear rate would increase the mean street increment 35 concentrations of the PM<sub>10</sub> computed with the NORTRIP model by 34%. This would therefore result in model 36 predictions that would be in better agreement with the measurements. However, this increase would not
- 37 substantially influence the correlation of measured and predicted values which is largely dependent on the
- 38 modelled road surface conditions.

The studded tyre wear rate is also assumed to be linearly dependent on vehicle speed (Denby et al., 2013a). In all
 previous calculations using the NORTRIP model (Denby et al., 2013b), the vehicle speeds have been larger than

- $3 \quad 40 \text{ km h}^{-1}$ . The dependency on vehicle speed may be non-linear for the lower traffic speeds encountered in this
- 4 study (< 30 km  $h^{-1}$ ) due to congestion. The NORTRIP model also does not account for the influences of
- 5 congested driving conditions, in which acceleration and deceleration will likely result in an enhanced road wear.
- 6 In summary, it is possible that an underestimation of the studded tyre wear rate in congested low vehicle speed
- 7 conditions, for this particular road surface, could contribute to the under-predictions by the NORTRIP model.

## 8 **3.2.2 Uncertainties of the FORE model**

- 9 The key parameter in the FORE model is the reference emission factor, which sets a baseline value for the 10 predicted suspension emissions. In this study, we have used the reference emission factors estimated by Omstedt 11 et al. (2005) based on the measurements in Hornsgatan in Stockholm. Although the climatic conditions were 12 similar during the Hornsgatan measurement campaign and the present study, the different traffic conditions 13 could in principle have caused differences that will be reflected in the values of the baseline emissions.
- to could in principle nave caused universes that will be reflected in the values of the basefile emissions.
- 14 We have therefore estimated numerically, how using the physically largest feasible values of the reference
- emission values would increase the predictions of the FORE model. The base case  $PM_{10}$  reference emission
- 16 factors for the sanding and non-sanding periods in Omstedt et al. (2005) were 1200 and 200  $\mu$ g veh<sup>-1</sup> m<sup>-1</sup>,
- 17 respectively. The assumed numerical cases used the higher  $PM_{10}$  reference emission factors for the sanding and 18 non-sanding periods, i.e., 1500 and 300, and 3200 and 400, respectively. For the assumed numerical cases, the
- 19 annual mean street increment concentrations of  $PM_{10}$  would increase from 23% to 118%.
- 20 The FORE model does not address the influences of salting, street cleaning and dust binding. The suspension 21 emissions are also, for simplicity, modelled for the whole vehicle fleet. This approach does not take into account 22 the details of the vehicle speeds and the composition of the vehicle fleet.
- 23 In summary, an under prediction of the baseline emissions could have contributed to the under-prediction of
- suspended  $PM_{10}$  concentrations found in this study. Neglecting the effects of salting, street cleaning and dust
- 25 binding could cause a reduced correlation of the measured and predicted concentration values.

# 26 3.2.3 Uncertainties of the OSPM model

- The OSPM model contains the so-called roof parameter (fRoof), which is used to relate the measured or modelled wind speed at a meteorological mast with the wind speed at roof level. The value of this parameter depends on building and roughness situations around the meteorological station. In this study, we have used the roof parameter value of 0.4, which is based on the model-measurement studies conducted in Copenhagen by
- 31 Ketzel et al (2012).
- 32 However, some other studies have suggested a higher value of 0.6 (OSPM FAQ, 28.03.2017). The numerical
- 33 computations showed that the mean street increment  $PM_{10}$  concentration over the two years (2009 and 2014) was
- 34 approximately 26% lower, using this higher value of the roof parameter, compared to that with fRoof value of
- **35** 0.4.

#### **1 3.3 Impact of the reductions in studded tyre use and road maintenance measures**

- We have assessed numerically the impact of changes in selected traction control measures on the non-exhaust street increments of  $PM_{10}$ . The selected numerical cases are presented in Table 6. In the so-called reference case, we have assumed that all reported road maintenance activities have been done, and the maximum share of the light-duty vehicles using studded tyres is equal to the observed value. The maximum observed share of vehicles using studded tyres (80%) was numerically reduced to 50% (ST 50%), 30% (ST 30%) and 0% (no ST). We also assumed that all recorded sanding and salting events would not have been done in 'no Sand' and 'no Salt' case, respectively. Both road dust emission models (NORTRIP and FORE) were applied to assess the impacts of the
- 9 reduced fraction of studded tyres and the impact of traction sanding. The impact of traction salt was studied only
- 10 using the NORTRIP model.
- 11 The computed changes in the modelled non-exhaust increments of PM<sub>10</sub>, relative to the reference case are 12 presented in Fig. 5. The largest reductions of concentrations can be achieved by reducing the use of studded tyres 13 in favour of the non-studded winter tyres. For the most extreme case with no studded tyres in traffic, the average 14 decreases in the non-exhaust street increments of PM<sub>10</sub> over four year period were 39% and 40% for the 15 NORTRIP and FORE model, respectively. In case where the reference maximum studded tyre share was reduced 16 by 30%, average decreases in modelled annual non-exhaust street increments of  $PM_{10}$  were 16% (NORTRIP) or 17 17% (FORE). Varying effect of the same studded tyre reduction between different years can be attributed to the 18 changing meteorological conditions that influence suspension emission and road dust removal processes as well 19 as the dispersion conditions.
- 20 The impact of studded tyre reductions can be further enhanced by improving the quality of road surfaces. Larger
  21 aggregate sizes that are made from rocks more resistant to wear in the asphalt pavements, or the use of
- 22 alternative pavements can reduce  $PM_{10}$  emissions (Gustafsson et al. 2009; Gustafsson and Johansson 2012).
- 23 The number of reported sanding events in Hämeentie was 9 in 2007 and 18 in 2009 and 2014 (Table 2). In year 24 2008, all traction control was done by salting. All sanding events occurred during January and February. Salting 25 was extensively used between January and March during the study period with 17 to 49 salting events per year. 26 The results for the 'no Sand' and 'no Salt' cases give an indication of the overall contribution of implemented 27 sanding and salting to the non-exhaust street increments of  $PM_{10}$  in Hämeentie. Without taking into account 28 reported sanding events, both road dust emission models predict similar changes in the modelled street increment 29 concentrations averaged over the four years; however, with different seasonal variation. The modelled contribution of sanding to the annual mean non-exhaust street increment of PM<sub>10</sub> ranges from 4 to 20%, 30 31 depending on the year and the model considered. The NORTRIP model predicts highest impact of sanding in 32 spring months and indicates that sanding influence extends throughout summer. The impact of sanding predicted 33 by the FORE model is limited to winter, spring, and autumn owing to model's concept regarding the sanding
- 34 implementation.
- 35 The traction salt is efficiently removed from the street surfaces by drainage and vehicle spray processes, which
- 36 are affected by precipitation (Denby et al., 2016). In dry conditions, traction salt can significantly contribute to
- 37 the  $PM_{10}$  concentrations. The predicted change in annual mean non-exhaust street increments of  $PM_{10}$  after
- 38 exclusion of reported salting events ranges from -0.1% to -4%.
- 39 The results demonstrate that traction sanding and salting are potentially significant sources of the road dust.
- 40 However, immediate restrictions in their use could jeopardize traffic fluency and safety. Optimizing spatially and

2 The impact of traction sand on suspended road dust will depend on the frequency of the sanding operations, and 3 the amount and quality of sanding material. The use of sanding material with high resistance to fragmentation 4 and with removed fine particulate fractions will reduce the contribution of sanding to the suspendable road dust 5 (Tervahattu et al., 2006). From an air quality perspective, substituting sand for less dust forming materials, such 6 as salt, would be beneficial. However, this may not be always possible, due to the prevailing weather conditions, 7 and also in areas, which need to be protected from the negative environmental effects of the conventional 8 traction salt, sodium chloride (NaCl). Alternatives to sodium chloride, such as other chlorine based salts and 9 organic salts, have been tested for use in sensitive groundwater areas in Finland (e.g. Hellstén et al., 2001, 2002); 10 however, their widespread use has not been introduced.

## 11 4 Conclusions

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12 We have conducted numerical computations regarding the effectiveness of potential measures to reduce impact 13 of road dust on ambient air PM<sub>10</sub> concentrations. The selected measures included reduction of the use of studded 14 tyres in light-duty vehicles and reduction of the use of traction sanding and salting. The effects of these measures 15 were analysed for a street canyon in central Helsinki. Two road dust emission models, NORTRIP and FORE, 16 were used in combination with the street canyon dispersion model OSPM. We have compared predictions of the 17 modelling system with the available street canyon measurements for a period of two years and evaluated 18 variability and uncertainties associated with various modelling approaches. Impact of selected traction control 19 measures was estimated for the non-exhaust street increments of PM<sub>10</sub>.

20 The NORTRIP model is a process based model that describes wear processes, traffic induced suspension of 21 accumulated road dust and impact of road maintenance activities (salting, sanding, dust binding, cleaning and 22 ploughing) on both dust load and road surface moisture. It includes dependences on vehicle speed, tyre type, 23 vehicle category (light and heavy-duty vehicles) and road surface properties that enable a comprehensive 24 evaluation of the road dust abatement measures. However, the model requires extensive input data that may not 25 be available (such as, e.g. road maintenance data and the properties of the road surface). This may present a 26 challenge in application of the NORTRIP model. On the other hand, the FORE model requires relatively much 27 less input data. However, it relies on the reference emission factors, which need to be computed based on local 28 air quality measurements. The FORE model considers two road dust sources, viz. road wear and traction sand. 29 The model takes into account neither the dependence of emissions on vehicle speed and traffic fleet composition, 30 nor the influence of traction salting and dust control measures (i.e., dust binding and street cleaning). These 31 factors limit the application of the FORE model for evaluation of a wider range of measures to reduce road dust. 32 Both road dust emission models reproduced the seasonal variability of the concentrations of  $PM_{10}$  fairly well, but

under-predicted the annual mean values. The street increments of PM<sub>10</sub> predicted by the FORE model tended to be higher than the observed values in winter and lower in spring, whereas the NORTRIP model systematically somewhat under-predicted the measured concentrations. The daily mean street increment concentrations predicted by NORTRIP correlated reasonably well with the measured values; the correlation was better than the corresponding one for the FORE model. An underestimation of the studded tyre wear rate in congested low

temporally the use of traction control materials can reduce the impacts of road dust on the  $PM_{10}$  concentrations.

the NORTRIP model. In case of the FORE model, the main uncertainties were the underestimation of the
baseline emission factor and neglecting the effect of salting, street cleaning and dust binding.

3 There are substantial differences in the structure and mathematical treatments of various processes in the 4 NORTRIP and FORE models. Despite the differences, these models predicted a very similar distribution of 5 changes in the  $PM_{10}$  concentrations for the studied cases.

The results demonstrate that changes in the current traction control measures can significantly reduce the impact

of road dust on ambient air  $PM_{10}$  concentrations. The largest reductions in  $PM_{10}$  concentrations can be achieved by reducing studded tyre use in favour of the non-studded winter tyres. For instance, in case where the reference maximum studded tyre share was reduced by 50 %, average decrease in the non-exhaust street increment of  $PM_{10}$  was from 16 % to 34 %, depending on the model used and the year considered. However, the effectiveness of the studded tyre reductions is also dependent on other factors, such as the quality of the road surfaces, vehicle speed and vehicle driving cycles. In addition, both the fluency and safety of vehicular traffic and the

implementation of street maintenance measures are substantial economic issues. The reduction of the use ofstudded tyres would be beneficial also due to the reduced costs for the repairing of road surfaces.

15 Modelled contribution of traction sanding to the annual mean non-exhaust street increment of PM<sub>10</sub> during the

16 study period ranged from 4 % to 20 %. The impact of traction salting was estimated using only the NORTRIP

17 model. Completely removing street salting reduced the non-exhaust street increment of  $PM_{10}$  from 1% to 4% on 18 annual level.

Based on the results, optimizing the use of traction control materials can reduce impact of road dust on  $PM_{10}$ concentrations. For example, substituting sanding for a less dust forming materials such as salt, whenever possible, would reduce the amount of road dust, but this measure would not completely eliminate road dust emissions. Additionally, the contribution of sanding can further be reduced by choosing the sand materials that

are wear resistant and do not contain the finer grain fractions.

We have demonstrated that there is a substantial potential for reducing the impact of road dust on ambient air PM<sub>10</sub> concentrations, by changing the traction control measures of both vehicles (studded tyre use) and street and

road maintenance (sanding and salting). The results presented here can be used to support the development of feasible strategies for reducing the high springtime particulate matter concentrations originated from the road dust.

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Appendix A: Results of the statistical analyses for the daily mean street increments of PM<sub>10</sub> for Hämeentie
 in 2009 and 2014.

Table A1 presents the statistical values for daily mean street increment PM<sub>10</sub> concentrations for 2009 and 2014
calculated on annual and seasonal level. The error of both models is lowest during summer and highest for
winter (FORE) or spring (NORTRIP). The RMSE indicates substantial inaccuracies in daily PM<sub>10</sub> street
increment concentrations for both models.

7

8 Table A1. Statistical values for modelled daily mean street increment of  $PM_{10}$  for the NORTRIP and FORE 9 models for 2009 and 2014, calculated on annual and seasonal level.

NORTRIP 2009							
Statistical par	ameter	Winter	Spring	Summer	Autumn	Annual	
RMSE	Root mean square error	8.4	15.4	3.1	5.5	8.7	
IA	Index of agreement	0.50	0.62	0.72	0.49	0.67	
F2	Factor-of-two	54	42	75	69	62	
$\mathbf{R}^2$	Coefficient of determination	0.06	0.61	0.56	0.15	0.51	
FB	Fractional bias	-0.44	-0.74	-0.41	-0.50	-0.57	
AvgCp	Average of predicted data	4.6	9.4	4.4	3.7	5.3	
AvgCo	Average of observed data	7.2	20.5	6.7	6.1	9.6	
Ν	Number of data points	71	78	122	89	360	
FORE 2009							
Statistical par	ameter	Winter	Spring	Summer	Autumn	Annual	
RMSE	Root mean square error	13.7	13.4	2.7	4.7	9.2	
IA	Index of agreement	0.21	0.70	0.78	0.63	0.67	
F2	Factor-of-two	42	56	80	69	64	
$\mathbf{R}^2$	Coefficient of determination	0.00	0.52	0.43	0.23	0.25	
FB	Fractional bias	0.55	-0.49	-0.20	-0.08	-0.13	
AvgCp	Average of predicted data	12.7	12.4	5.5	5.6	8.4	
AvgCo	Average of observed data	7.2	20.5	6.7	6.1	9.6	
Ν	Number of data points	71	78	122	89	360	
NORTRIP 20	14						
Statistical par	rameter	Winter	Spring	Summer	Autumn	Annual	
RMSE	Root mean square error	9.6	10.6	4.1	9.7	8.5	
IA	Index of agreement	0.47	0.62	0.63	0.47	0.58	
F2	Factor-of-two	45	44	62	25	45	
$R^2$	Coefficient of determination	0.29	0.44	0.44	0.10	0.32	
FB	Fractional bias	-1.11	-0.76	-0.54	-1.17	-0.83	
AvgCp	Average of predicted data	2.2	6.9	4.4	2.2	3.9	
AvgCo	Average of observed data	7.7	15.3	7.6	8.5	9.5	
N	Number of data points	73	78	122	92	365	
<b>FORE 2014</b>							
Statistical par	ameter	Winter	Spring	Summer	Autumn	Annual	
RMSE	Root mean square error	10.5	8.3	3.8	8.7	7.8	
IA	Index of agreement	0.42	0.74	0.66	0.48	0.64	
F2	Factor-of-two	36	62	68	49	55	
$\mathbf{R}^2$	Coefficient of determination	0.02	0.41	0.32	0.15	0.20	
FB	Fractional bias	0.16	-0.36	-0.39	-0.80	-0.34	

AvgCp	Average of predicted data	9.0	10.7	5.1	3.6	6.7
AvgCo	Average of observed data	7.7	15.3	7.6	8.5	9.5
Ν	Number of data points	73	78	122	92	365

*Author contributions*. AS, MK<sup>2</sup>, JK and KK designed this study. AS performed NORTRIP model calculation,
 numerical analyses and wrote a first draft of the paper. KH performed FORE and OSPM model calculations. JK
 and MK<sup>2</sup> significantly contributed in revision of the manuscript. AK<sup>4</sup> and JVN provided supporting air quality

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6

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1	Table 1	. Summary	of traffic	data at	Hämeentie	for fou	r years
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Year	Annual average daily traffic	Share of heavy-duty vehicles	Mean speed
	(vehicles day <sup>-1</sup> )	(%)	(km h <sup>-1</sup> )
2007	11400	29	27
2008	9700	29	27
2009	10110	29	27
2014	9050	30	25

3

4 Table 2. The number of road maintenance measures in Hämeentie for four years. Number of ploughing events

5 was computed using the NORTRIP model.

Year	Sanding events	Traction salting	Dust binding	Street cleaning	Ploughing
		(NaCl)	(CaCl <sub>2</sub> )		
2007	9	21	1	2	7
2008	0	49	4	1	14
2009	18	40	3	1	19
2014	18	17	10	1	9

<sup>6</sup> 

7

8 Table 3. The wear and suspension rates for the light-duty vehicles and the fraction of wear material in the size

9 range of  $PM_{10}$  used in the NORTRIP model. The reference speed is 70 km h<sup>-1</sup> for wear and 50 km h<sup>-1</sup> for  $PM_{10}$ 

10 fraction and suspension.

	Studded tyres	Winter tyres	Summer tyres	$PM_{10}$ fraction (%)
Road wear (g km <sup>-1</sup> veh <sup>-1</sup> )	2.88	0.15	0.15	28
Tyre wear (g km <sup>-1</sup> veh <sup>-1</sup> )	0.1	0.1	0.1	10
Brake wear (g km <sup>-1</sup> veh <sup>-1</sup> )	0.01	0.01	0.01	80
Road dust suspension rate (veh <sup>-1</sup> )	5.0x10 <sup>-6</sup>	$5.0 \text{ x} 10^{-6}$	5.0 x10 <sup>-6</sup>	-

11

12

13 Table 4. The vehicular exhaust particulate matter emission factors (g km<sup>-1</sup> veh<sup>-1</sup>) for four years, based on the

14 LIPASTO emission modelling system.

Vehicle type	2007	2008	2009	2014	
Personal cars	0.03	0.03	0.02	0.01	
Vans	0.15	0.14	0.14	0.10	
Buses	0.29	0.25	0.21	0.12	
Lorries, no trailer	0.19	0.16	0.13	0.09	
Lorries with trailer	0.55	0.47	0.35	0.23	

15

16

1 Table 5. Annual and seasonal mean observed and modelled street increments of  $PM_{10}$  (µg m<sup>-3</sup>) for Hämeentie in

Year		Winter	Spring	Summer	Autumn	Annual mean
2009	Observed	7.8	20.1	6.9	6.4	10.1
	NORTRIP	5.3	9.4	4.5	3.9	5.7
	FORE	13.4	12.4	5.6	6.0	8.5
2014	Observed	8.2	15.7	7.7	9.0	10.2
	NORTRIP	2.3	7.2	4.5	2.3	4.2
	FORE	9.2	11.2	5.3	3.7	8.0

**2** 2009 and 2014.

4

5 Table 6. The selected numerical cases with maximal shares of light-duty vehicles using studded tyres and road

6 maintenance measures for traction control considered by the two road dust emission models. The symbol +

7 refers to 'included' and – to 'not included'.

Model	Case	Abbreviation	Studded	Sanding	Salting
			tyre share		
NORTRIP	1 Reference	Ref	80 %	+	+
	2 Studded tyre share 50 %	ST 50 %	50 %	+	+
	3 Studded tyre share 30 %	ST 30 %	30 %	+	+
	4 Studded tyre share 0 %	ST 0 %	-	+	+
	5 No sanding	no Sand	80 %	-	+
	6 No salting	no Salt	80 %	+	-
FORE	1 Reference	Ref	80 %	+	-
	2 Studded tyre share 50 %	ST 50 %	50 %	+	-
	3 Studded tyre share 30 %	ST 30 %	30 %	+	-
	4 Studded tyre share 0 %	ST 0 %	-	+	-
	5 No sanding	no Sand	80 %	-	-

8

<sup>3</sup> 





Figure 1. a) The locations of studied street segment (red rectangle) at Hämeentie, kerbside (Hämeentie) and urban background (Kallio) air quality stations (pink diamond), and weather stations (Kumpula and Kaisaniemi) (blue square) in central Helsinki. Trees have been marked with green circles. b) Close-up view showing building blocks (marked with grey colour) and trees in the vicinity of the studied street segment.



2 Figure 2. Monthly mean temperature (°C) and total precipitation (mm) for four years, measured at the
3 meteorological station of Kaisaniemi.



Figure 3. The approximate timing of the road maintenance measures at Hämeentie for four years. All the relevant
information for the latter part of the year (from October to December) was available only for 2008.



Figure 4. Time series of daily mean observed and modelled street increments of PM<sub>10</sub> for Hämeentie for 2009 (upper panel) and 2014 (lower panel).



Figure 5. Modelled relative changes in the non-exhaust street increment of  $PM_{10}$  for the cases described in Table 6, averaged over four year period (2007-2009 and 2014). Line markers show values for the individual years.

Observed