## **Responses to the Anonymous Referee-1 comments**

### **Referee #1 Evaluations:**

The comments on "Characterization of organic aerosols from a Chinese Mega-City during winter: predominance of fossil fuel combustion" submitted by Haque et al. In this study, PM2.5 samples were collected from an urban site in Nanjing, a big city in East China. A comprehensive laboratory analysis was conducted for various organic compounds. Based on the characteristics of different organic compounds, and also the PMF receptor model, the sources of organic aerosols were identified. General speaking, this work has value for the mitigation of the serious air pollution in China. However, this paper suffers some major problems, regarding the writing and organization.

**Response:** We thank the reviewer for the nice summary of our paper and the positive assessment of this work. We have carefully revised the manuscript following the reviewer's comments and suggestions. Our responses to all comments made by the reviewer are given below. Please refer to the revised MS, in which changes are highlighted in yellow.

### **Specific comments:**

1. Line 55-60, I do agree that organic aerosols are important in the climate system, as CCN. However, I can not understand why the authors use another whole paragraph (Line 78-95) to describe this issue repeatedly and in such detailed way. Actually, for the urban sites, the aerosol is more closely related to the pollution (environmental) issue, rather than climate issue. Obviously, the role of organic aerosols in the climate is not the focus of this study. **Response:** Considering the reviewer's comment, we removed the paragraph and revised this section. Please see lines 55-57 and 70-72 in the revised MS.

2. Line 100-101, the references cited here seem too old. In the recent years, many research was conducted in terms of inorganic aerosols like sulfate and nitrate from anthropogenic emissions, rather than desert dust.

**Response:** Following the reviewer's suggestions, we have cited recently published papers. Please see lines 91-94 in the revised MS.

3. Line 105-108, what is the current status of air quality in Nanjing? What is the concentration level of PM2.5 during the sampling time? Updated information and reference should be presented here. Also, the China national standard of air quality or WHO standard should be used here.

**Response:** The average concentrations of  $PM_{2.5}$  were  $66\pm33 \ \mu g \ m^{-3}$  in Nanjing aerosols during sampling period, whereas the Chinese Ambient Air Quality and WHO standard levels are  $35 \ \mu g \ m^{-3}$  and  $25 \ \mu g \ m^{-3}$ , respectively (Liu et al., 2016; Shen et al., 2014), indicating that Nanjing air quality is still worse compared to China national and WHO standard levels. Please see lines 100-104 in the revised MS.

4. Line 111, Why winter season was selected for the sampling? What is the special characteristics of winter compared to other seasons?

**Response:** The highest PM<sub>2.5</sub> concentrations were observed during winter in China due to the enhancement of anthropogenic emissions from fossil fuel combustion and biomass burning and unfavorable meteorological conditions, i.e., frequent development of inversion layers

(Zhang et al., 2015). Ma et al. (2016) also reported  $PM_{2.5}$  concentrations from 2004-2013 in China while winter was the most polluted season. Please see lines 105-109 in the revised MS.

5. In the Experimental section, major ions should be included. Because ions data were used in this study, especially in the PMF analysis, soil dust (indicated by Ca2+) was identified as the Factor 2.

**Response:** Following the reviewer's recommendations, we included the analysis of major ions in the experimental section. Please see lines 197-209 in the revised MS.

6. Line 245, it was written as "The OC/EC ratio in this study was on average 2.40, suggesting the significant contribution of SOA in Nanjing aerosols". Actually, there are other possible causes. First, as the OC/EC ratio of coal combustion is 2.7, which was proposed by Watson 2001(Line 236), so only coal combustion rather than secondary formation could produce the ratio of 2.4 here. I do not think the ratio is high. Maybe sometime the ratio for vehicular emission is low, but when it was mixed by biomass-burning emission (as discussed latter), the ratio also could increase somewhat.

**Response:** We agree with reviewer's opinion. Based on the OC/EC ratio, we made conclusion that fossil fuel combustion is the major source of carbonaceous aerosols in Nanjing (please see lines 254-255 in the revised MS). However, SOA may also be important in Nanjing aerosols, which was discussed based on the air mass trajectories, WSOC/OC ratio and source contribution. Please see lines 256-268 in the revised MS.

7. Line 277-278, some sentence seem missing here. What is the situation found in this study? **Response:** We have removed these lines because we have used CPI values for the source indicator either it is fossil fuels or biogenic. Please see lines 292-302 in the revised MS.

8. Line 346-350. The amount of galactosan was found to be 0.65-7.47 ng m-3 (ave. 2.26 ng m-3) during daytime and 0.48–7.75 ng m-3 (3.13 ng m-3) during nighttime, whereas those of mannosan were 0.36-4.30 ng m-3 (1.62 -349 Å<sup>ang</sup> m-3) in daytime and 0.27-5.73 ng m-3 (2.06 ng m-3) in nighttime. Galactosan and mannosan were also detected in the aerosol samples. Please check the logic among these sentences.

Response: We have restructured these lines. Please see lines 359-363 in the revised MS.

9. Line 422, why the coal combustion should be higher in nighttime? Any references or supporting information?

**Response:** Households coal combustion has a significant contribution to ambient air quality in China during winter (Kerimray et al., 2017). Temperature falls down during nighttime resulting in the uses of a large amount of coal for house heating in a rural area due to the cold weather. Based on the reviewer's comments, we have included these points in the revised MS. Please see lines 432-435 in the revised MS.

10. Line 428-430, I suggest to delete these sentence here. Because the sources of PAHs were discussed more detailed in the below (Line 442-453) using the PAHs ratios. **Response:** Deleted.

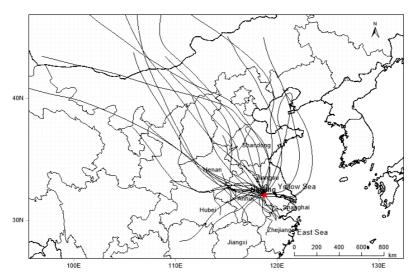
11. Does the dataset (data amount) meet the requirement of PMF?

**Response:** Yes, the dataset meets the requirement of PMF. There is no specific number of samples for PMF analysis (Hopke et al., 2010). However, a large number of samples can give less uncertainty and stable PMF results. PMF source apportionment relies on source specific

species to explore ambient pollution sources. In this study, we observed distinguishably different source profiles using PMF analyses.

12. Sometime, the authors attribute the source of pollutants to long-range transport (e.g. Line 650). Actually, most of emission information provided in the text is the local emission pattern, like local truck.

**Response:** We found higher concentrations of secondary oxidation products in nighttime rather than daytime when photochemical oxidation was absent. We have proposed two explanations for the higher concentrations of SOA products during nighttime. First, SOA products might be formed during long-range atmospheric transport that is consistent with aged PAHs and high OC/EC and WSOC/OC ratios in the Nanjing aerosols (please see lines 256-268, 442-452 in the revised MS). The back trajectories also indicate long-range transport while some air masses come from the polluted regions over North China (please see below figure). Second, NOx can play an important role to form SOA products in nighttime when NOx concentration is high. Please see lines 561-573, 598-601, and 660-662 in the revised MS.



**Figure:** 48 hrs backward air mass trajectories arriving at 500 m above the ground level to Nanjing, China during 11 Dec 2014–11 Jan 2015.

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- 1 Characterization of organic aerosols from a Chinese Mega-City during winter:
- 2 predominance of fossil fuel combustion
- Md. Mozammel Haque<sup>1,2,3</sup>, Kimitaka Kawamura<sup>2</sup>, Dhananjay K. Deshmukh<sup>2</sup>, Cao Fang<sup>1, 3</sup>, Wenhuai Song<sup>1,3</sup>, Bao Mengying<sup>1,3</sup> and Yan-Lin Zhang<sup>1,3\*</sup> <sup>1</sup> Yale-NUIST Center on Atmospheric Environment, Department of Applied Meteorology, Nanjing University of Information Science and Technology, Nanjing 210044, China <sup>2</sup> Chubu Institute for Advanced Studies, Chubu University, Kasugai 487-8501, Japan <sup>3</sup>Key Laboratory of Meteorological Disaster, Ministry of Education & Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters, Nanjing University of Information Science and Technology, Nanjing 210044, China \*Corresponding author Yan-Lin Zhang E-mail: dryanlinzhang@outlook.com 2 March, 2019

28 Abstract

PM<sub>2.5</sub> aerosol samples were collected from a Chinese mega-city in Nanjing 29 (32.21°N and 118.73°E) during winter and analyzed for more than 100 compounds of 30 twelve organic compound classes. The most abundant classes of compounds are n-31 alkanes (average, 205 ng m<sup>-3</sup>), followed by fatty acids (76.3 ng m<sup>-3</sup>), polycyclic 32 aromatic hydrocarbons (PAHs) (64.3 ng m<sup>-3</sup>), anhydro-sugars (56.3 ng m<sup>-3</sup>), fatty 33 alcohols (40.5 ng m<sup>-3</sup>), and phthalate esters (15.2 ng m<sup>-3</sup>), whereas hydroxy-/polyacids 34 (8.33 ng m<sup>-3</sup>), aromatic acids (7.35 ng m<sup>-3</sup>), hopanes (4.19 ng m<sup>-3</sup>), primary sugars and 35 sugar alcohols (4.15 ng m<sup>-3</sup>), lignin and resin products (2.94 ng m<sup>-3</sup>), and steranes (2.46 36 ng m<sup>-3</sup>) are less abundant. The carbon preference index of *n*-alkanes (0.83-1.38) 37 38 indicated that they were significantly originated from the fossil fuels combustion. 39 Diagnostic concentration ratios of organic tracers suggested that PAHs and hopanes are mostly originated from coal burning and traffic emissions, respectively in Nanjing 40 41 urban area. Positive matrix factorization analysis demonstrated that fossil fuel combustion is the major source (28.7%) in Nanjing winter aerosols. Most of the 42 compounds generally showed higher concentrations in nighttime compared to daytime, 43 due to the accumulation process associated with the inversion layers and enhancement 44 of emissions from heavy trucks at night. We conclude that fossil fuel combustion 45 largely influences the winter organic aerosols in urban Nanjing area. Based on the 46 comparison of present results with previous studies, we found that pollution levels on 47 organic aerosols have been decreased in the urban Nanjing atmosphere for the last 48 decade. 49

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51 Keywords: PM<sub>2.5</sub>, organic compounds, fossil fuel combustion, positive matrix
52 factorization, Chinese urban aerosols.

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#### 54 **1 Introduction**

Particulate matter (PM) comprised a wide variety of chemical components, 55 which are derived from a broad range of sources and processes in the atmosphere 56 (Seinfeld and Pandis, 2006). Organic aerosol (OA) is one of the major constituents of 57 airborne particulates, accounting for up to 70% of the fine aerosol mass. They can play 58 59 a crucial role in the radiative forcing of the Earth and more generally to the environment 60 (Kanakidou et al., 2005). In the last two decades, more attention has been paid to identify organic aerosol optical and cloud formation properties that link OA directly to 61 62 hydrological cycle and thereby sustainability issues (Dusek et al., 2006; Riipinen et al., 2012). They can affect the climate, air quality, human health, visibility, and ecosystems 63 on the local, regional and global scale (Salma et al., 2017). Several studies have 64 reported that OA plays an important role in both the direct and indirect aerosol forcing, 65 affecting the earth's radiation balance and global air quality (Cooke et al., 1999; 66 67 Lohmann et al., 2000; Jacobson, 2001; Chung and Seinfeld, 2002). Aerosol particles improved with OM can make the aerosol surfaces more hydrophilic or hydrophobic 68 based on the mixing state and aerosol composition, which further modify the CCN 69 activities of particles. Furthermore, aerosols also influence air quality in addition to 70 human health and climate, particularly in urban areas due to extensive anthropogenic 71 emissions and favorable meteorological conditions (Watson, 2002). 72

There are two major sources of atmospheric aerosols that include both primary emissions and secondary aerosol formation. Primary organic aerosols (POA) are directly derived from various sources such as biomass burning, fossil fuels combustion, dust particles, microbial activities, and plant materials, etc., whereas secondary organic aerosols (SOA) are formed by the oxidation process of organic species in the atmosphere. Various types of volatile organic compounds (VOCs) are emitted from

natural and anthropogenic sources into the atmosphere. VOCs can be further photo-79 oxidized by OH, NO<sub>x</sub>, O<sub>3</sub> to form SOA in the atmosphere (Haque et al., 2016). Both 80 81 POA and SOA can contribute to the organic particulate matter (PM) formation in the atmosphere, which can significantly control the physicochemical properties of aerosol 82 particles (Kanakidou et al., 2005). The chemical characterization and the contributions 83 84 of various sources of aerosol particles are essential to figure out the role and potential 85 impacts of OA in the atmosphere. Moreover, OA poses adverse physiological effects on human health causing asthma, bronchitis, cancer, and heart disease, etc. (Pope et al., 86 87 2009; Ramírez et al., 2011).

The large emissions of atmospheric particles from China have a major effect on 88 regional and global climate changes (Huebert et al., 2003). Atmospheric pollution in 89 China is a serious problem due to its rapid industrialization and urbanization. Globally, 90 one-fourth of anthropogenic POA are originated in China (Cooke et al., 1999). Many 91 studies have been performed to characterize inorganic aerosols from China due to the 92 significant anthropogenic emissions. (Wang et al., 2011; He et al., 2012; Zheng et al., 93 2015; Li et al., 2017). However, studies on OAs of Chinese mega-cities are still poorly 94 understood (Guo et al., 2003; Bi et al., 2003; Yao et al., 2003). Nanjing is a highly 95 industrialized mega-city located in east China with a population of over 8 million where 96 air pollution is a critical problem. Previous studies of Wang et al. (2002a; 2002b) 97 reported that the aerosol mass of fine particles ( $< 2.5 \mu m$ ) in Nanjing atmosphere was 98 about 2-4 times higher than the United States Environmental Protection Agency 99 (USEPA) regulations. The average concentrations of PM<sub>2.5</sub> were  $66\pm33$  µg m<sup>-3</sup> in 100 Nanjing aerosols during sampling period, whereas the Chinese Ambient Air Quality and 101 WHO standard levels are 35  $\mu$ g m<sup>-3</sup> and 25  $\mu$ g m<sup>-3</sup>, respectively (Liu et al., 2016; Shen 102

et al., 2014), indicating that Nanjing air quality is still worse compared to China
national and WHO standard levels.

The highest PM<sub>2.5</sub> concentrations were observed during winter in China due to 105 the enhancement of anthropogenic emissions from fossil fuel combustion and biomass 106 burning and unfavorable meteorological conditions, i.e., frequent development of 107 inversion layers (Zhang et al., 2015). Ma et al. (2016) also reported PM<sub>2.5</sub> 108 concentrations from 2004-2013 in China while winter was the most polluted season. To 109 better understand the molecular composition and sources of OAs in Chinese urban area 110 111 during winter, aerosol sampling campaign was carried on a day and night basis in the mega-city of Nanjing during winter period from 11 December 2014 to 11 January 2015. 112 The objective of this study is to determine more than 100 organic compounds including 113 aliphatic lipids, sugar compounds, polycyclic aromatic hydrocarbons (PAHs), hopanes, 114 lignin and resin products, aromatic acids, polyacids, and steranes in the aerosol particles 115 116 from Nanjing. Their chemical characteristics, diurnal patterns, and potential sources are discussed in comparison with previous studies conducted a decade ago. 117

118 **2 Experimental** 

### 119 2.1 Sample collection

PM<sub>2.5</sub> sampling was performed from the rooftop of a six-story building at 120 Nanjing University of Information Science and Technology, Nanjing, China using 121 medium volume sampler (Laoshan, Quingdao, China) from 11 December 2014 to 11 122 January 2015 (Figure 1). Daytime and nighttime sampling was conducted from 9:30 to 123 124 21:00 and 21:30 to 9:00, respectively. Total of 62 samples was collected on prebaked (450°C for 6 h) quartz fiber filters (80 mm) with an air flow rate of 100 L min<sup>-1</sup>. After 125 sampling, the filter samples were wrapped with aluminum foil, transported to the 126 127 laboratory and stored at -20°C until the analysis.

#### 128 **2.2 Analysis of polar organics**

Several polar organic compounds containing COOH and OH groups were 129 130 analyzed by gas chromatography-mass spectrometry (GC-MS) using solvent extraction followed by TMS-derivatization technique (Table S1). Filter aliquots (6.28 cm<sup>2</sup>) were 131 extracted three times with 5 mL of dichloromethane/methanol mixture (2:1) under ultra-132 sonication for 10 min. The solvent extracts were filtered through quartz wool packed in 133 134 a Pasteur pipette to remove all insoluble matrixes, concentrated using a rotary evaporator under vacuum and then totally dried under a gentle nitrogen stream. The 135 136 dried extracts were derivatized with 50 µL of N,O-bis-(trimethylsilyl)trifluoroacetamide (BSTFA) plus 1% trimethylsilyl chloride and 10 µL of pyridine in a glass vial (1.5 mL) 137 with a Teflon-lined screw cap at 70°C for 3h. The  $C_{13}$  *n*-alkane (diluted in *n*-hexane) 138 was used as an internal standard (1.43 ng  $\mu$ L<sup>-1</sup>) prior to injection into a GC-MS for 139 identification. Detailed information on the chemical analysis is interpreted elsewhere 140 141 (Wang and Kawamura, 2005).

The derivatives were analyzed using Hewlett-Packard (HP) model 6890 GC 142 coupled to an HP model 5973 mass-selective detector (MSD). The sample was injected 143 144 into a splitless mode with the injector temperature at 280°C. The GC oven temperature was set at 50°C for 2 min and then increased from 50 to 120°C at 30°C min<sup>-1</sup>, and then 145 to 300°C at 6°C min<sup>-1</sup> with a final isothermal hold at 300°C for 16 min. The GC 146 separation was performed on a DB-5MS fused silica capillary column (30 m long  $\times$ 147 0.25 mm inner diameter  $\times$  0.5 µm film thickness) with a carrier gas of helium (rate 1.0 148 mL min<sup>-1</sup>). The mass spectrometer was conducted at 70 eV on an electron impact (EI) 149 mode with a scan range from 50 to 650 Daltons (Da). 150

151 The organic components were determined by comparison with the retention 152 times and mass spectra of authentic standards as well as literature and National Institute

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of Standards and Technology (NIST) library data of mass fragmentation patterns 153 (Medeiros and Simoneit, 2007). GC-MS relative response factor (RRF) of each 154 155 compound was calculated using authentic standards and surrogate compounds. We performed a recovery experiment three times and acquired the average value of more 156 than 80% for target compounds. The field blank filters (n = 5) were analyzed by the 157 procedures as described above. The target species were not noticed in the blank filters. 158 159 The analytical errors based on replicate analyses (n = 5) were <10%.

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## 2.3 Analysis of nonpolar organics

161 Non-polar organics, including *n*-alkanes, PAHs, hopanes, and steranes were analyzed using thermal desorption gas chromatography-mass spectrometry (TD-GC-162 MS) technique. It should be noted that higher recoveries (>90%) were obtained for 163 nonpolar organics using TD-GC-MS compared to TMS-derivatization/GC-MS (<70%) 164 technique. The filter aliquots (3 mm diameter) were cut into two pieces and then placed 165 166 into a TD quartz tube (78 mm long  $\times$  4 mm inner diameter) and spiked with internal standard mixture (isotope-labeled reference compounds) for quantification. The internal 167 standards consisted of 3 deuterated PAHs, e.g., Nap- $d_8$ , Ace- $d_{10}$ , and Phe- $d_{10}$ . 168

Thermal desorption was performed on an Agilent GC-MS system model 169 7890B/5977A. A capillary column (HP-5MS UI, 5% biphenyl/95% dimethylsiloxane, 170 30 m long  $\times$  0.25 mm inner diameter  $\times$  0.25 µm film thickness) was used to separate the 171 target compounds. The GC oven temperature programmed from 35°C (3 min) to 120°C 172 at 10°C min<sup>-1</sup>, ramped from 120°C to 146°C at 4°C min<sup>-1</sup> and continued to 310°C at 173 8°C min<sup>-1</sup>, then held at 310°C for 16 min. The sample on the filter punch was inserted 174 into the TD tube with the initial temperature at 35°C before running and increased up to 175 300°C manually at 12°C sec<sup>-1</sup> after starting the analysis. Column flow rate was 2 mL 176 min<sup>-1</sup> and split flow was 10 mL min<sup>-1</sup> for the first 3 mins, then column and split flow 177

rate changed to 1 mL min<sup>-1</sup> and 25 mL min<sup>-1</sup>, respectively. The electron ionization mass
spectra (70 eV) were conducted on a scan mode range from 50 to 650 Da. The
temperatures of the ion source, quadruples and transfer line were set at 310, 150 and
310°C, respectively.

182 **2.4 Carbonaceous components analysis** 

Organic carbon (OC) and elemental carbon (EC) were measured using a Sunset Laboratory carbon analyzer following the IMPROVE (Interagency Monitoring of Protected Visual Environments) thermal-optical evolution protocol and assuming carbonate carbon to be insignificant in the sample (Boreddy et al., 2018). An area of 1.54 cm<sup>2</sup> of each quartz filter sample was insert in a quartz boat inside the thermal desorption chamber of the analyzer, and then stepwise heating was performed.

A filter cut of 3.14 cm<sup>2</sup> of each sample was extracted with 20 mL organic-free 189 ultrapure water (resistivity >18.2 M $\Omega$  cm, Sartorius arium 611 UV) under 190 191 ultrasonication for 30 min. The water extracts were then passed through a membrane disc filter to throw away the insoluble filter matrixes and analyzed for water-soluble 192 organic carbon (WSOC) using a total organic carbon (TOC) analyzer (Shimadzu, TOC-193 194 Vcsh) (Boreddy et al., 2018). The analytical errors in the triplicate analyses were within 5% for all carbonaceous components and the concentrations reported here were 195 196 corrected for the field blanks.

197 **2.5 Major inorganic ion analysis** 

198 An area of 5.07 cm<sup>2</sup> of each quartz filter sample was extracted with 10.0 mL 199 ultrapure water (> 18.2  $\Omega$ ) under ultrasonication (30 min). The extract solution was 200 filtered through a membrane disc filter (Millex-GV, Millipore) of pore size 0.22  $\mu$ m to 201 remove insoluble materials, then analyzed for inorganic ions using ion chromatography 202 on a Thermo Fisher Scientific ICS-5000 (America) equipped with a gradient pump

- 203 (SP), a conductivity detector/chromatography compartment (DC) and an automated
- sampler (AS-DV). The cations were measured by an Ion Pac CS12A analytical column

and an Ion Pac CG12A guard column with an eluent of aqueous methanesulfonic acid

- 206 (MSA, 30 mM  $L^{-1}$ ) and a flow rate of 1 mL min<sup>-1</sup>. In contrast, anions were separated on
- an Ion Pac AS11-HC analytical column and an Ion Pac AG11-HC guard column with
- an eluent of sodium hydroxide (NaOH) gradient at a flow rate of 1.5 mL min<sup>-1</sup> (0-3 min,
- 209 0.5 mM L<sup>-1</sup>; 3-5 min, 0.5-5 mM L<sup>-1</sup>; 5-15 min, 5-30 mM L<sup>-1</sup>; 15-20 min 0.5 mM L<sup>-1</sup>).
- 210 **3 Results and Discussion**

### 211 **3.1 Diurnal variations and meteorological conditions**

No significant difference was observed between day- and night-time for organic 212 compounds in winter aerosols from Nanjing urban area (Figure 2, Tables 1 and S1). 213 Nevertheless, concentrations of organic compounds in nighttime were slightly higher 214 than daytime in most of the cases. It is notable that the planetary boundary layer height 215 216 (PBLH) is generally lower in nighttime than daytime causing higher concentrations of aerosol particles at night. Interestingly, we found high loadings of organics in daytime 217 sample collected on 15 December when PBLH was high (632 m), whereas lower levels 218 of organics were observed in nighttime of 14 December (PBLH = 82.2 m) (Figures 3 219 and S1b). 220

We observed one episode (E1) during 2 - 5 January while  $PM_{2.5}$  and all organic compounds showed similar temporal variations with high loadings (Figure 3). Fascinatingly, NO<sub>2</sub> showed high concentration during E1, whereas relative humidity (RH) and ozone (O<sub>3</sub>) levels were not high (Figure S1). Our results suggest that NO<sub>2</sub> influences the organic molecular compositions in urban aerosols. It is noteworthy that the major source of NO<sub>2</sub> is of vehicular exhaust origin (Kendrick et al., 2015).

However, coal combustion can also emit NOx into the atmosphere (Chang et al., 2018).

Previous studies also reported that NO<sub>x</sub> could affect SOA formation (Kanakidou et al., 228 2005; Zhang et al., 2015; Mochizuki et al., 2015). Moreover, PAHs, hopanes, and 229 230 steranes showed another episode on 23 and 24 December 2014 probably due to the coal combustion event. It should be noted that higher RH (81-88%) and PM<sub>2.5</sub> levels (152-231 226 µg m<sup>-3</sup>) cause less visibility on 29 December (1.7 km), 10 January (1.8 km), and 11 232 January (1.6 km), which might be due to the haze formation. It is remarkable that the 233 234 levels of organics were not high during these periods (Figures 3 and S1). These results imply that NO<sub>2</sub> derived from fossil fuel combustion plays an important role in the 235 236 formation of OAs in the Nanjing atmosphere.

# 237 **3.2** Carbonaceous components

The results of OC, EC, and WSOC are mentioned in Table 1. The values of OC 238 and EC were found to be 8.76-40.0  $\mu$ g m<sup>-3</sup> (ave. 18.6  $\mu$ g m<sup>-3</sup>) and 2.41-30.3  $\mu$ g m<sup>-3</sup> (8.25) 239  $\mu$ g m<sup>-3</sup>) in daytime, and 2.98-40.1  $\mu$ g m<sup>-3</sup> (19.1  $\mu$ g m<sup>-3</sup>) and 0.87-22.9  $\mu$ g m<sup>-3</sup> (8.86  $\mu$ g 240 m<sup>-3</sup>) in nighttime, respectively. We found that the day and night variations of OC and 241 EC values are also not significant. The mass ratio of OC to EC (OC/EC) is often used to 242 characterize fossil fuels and biomass burning emissions. Several investigators have used 243 OC/EC ratios to classify the sources of carbonaceous aerosols (Ram et al., 2008; 244 Sandradewi et al., 2008; Saarikoski et al., 2008). The higher OC/EC ratios imply that 245 major source of carbonaceous species is from biomass burning, whereas lower ratios are 246 247 characteristics of emissions from fossil fuels combustion. Sandradewi et al. (2008) have documented an average OC/EC ratio of 1.1 for vehicular emission and 7.3 for wood 248 burning emission. Saarikoski et al. (2008) pointed out OC/EC ratios of 0.71 for 249 vehicular emission and 6.6 for biomass burning. Watson et al. (2001) proposed the 250 OC/EC ratios of 1.1 for vehicular emission, 2.7 for coal combustion and 9.0 for biomass 251 252 burning emission. The OC/EC ratios ranged from 1.30 to 3.80 with a mean value of 253 2.40 in this study, which is comparable to the values reported for coal combustion and

vehicular emission. These results suggest that fossil fuel combustion is the major source
of carbonaceous aerosols in urban Nanjing.

The ratios of OC to EC are also used to differentiate the relative contribution of 256 primary vs. secondary sources; high OC/EC ratios (>2.0) were reported for the aerosols 257 with significant contributions of SOA (Kunwar and Kawamura, 2014; Pani et al., 2017). 258 259 The OC/EC ratio in this study was on average 2.40, suggesting the significant contribution of SOA in Nanjing aerosols that is consistent with PMF results (see section 260 3.4). The concentration range of WSOC was 5.52-26.6  $\mu$ g m<sup>-3</sup> (11.7  $\mu$ g m<sup>-3</sup>) in daytime 261 and 2.51-20.2 µg m<sup>-3</sup> (11.8 µg m<sup>-3</sup>) in nighttime. The WSOC/OC ratios often used to 262 discuss the SOA formation via photochemical aging of atmospheric aerosol particles. 263 The WSOC/OC ratios exceeding 0.4 indicate the aged aerosols with the significant 264 contribution of SOA (Boreddy et al., 2018). The average WSOC/OC ratio of 0.64 in the 265 266 present study indicates that OAs in Nanjing were relatively aged. Moreover, air mass back trajectories also indicate that some air masses come from the polluted regions over 267

268 North China, suggesting a SOA formation during long-range transport (Figure S5).

269 **3.3 Organic molecular compositions** 

We detected twelve organic compound classes, including sugars, lignin and resin acids, fatty acids, fatty alcohols, *n*-alkanes, PAHs, hopanes, steranes, glycerol and polyacids, phthalate esters, and aromatic acids in the aerosol samples from Nanjing. The total concentrations of organics were on average 424 ng m<sup>-3</sup> in daytime and 555 ng m<sup>-3</sup> in nighttime with the predominance of *n*-alkanes followed by fatty acids, PAHs, anhydro-sugars, fatty alcohols, phthalate esters, glycerol and polyacids, aromatic acids, sugars, hopanes, lignin and resin acids, and steranes (Figure 2). Table S1 shows the

values of identified organic compounds. Levoglucosan was the single dominant species 277 followed by  $C_{16}$  and  $C_{24}$  fatty acids, and  $C_{29}$  *n*-alkane (Table S1). 278

279

### **3.3.1** Aliphatic lipid components

The lipid compounds, e.g., fatty acids, fatty alcohols, and *n*-alkanes are mainly 280 originated from marine or microbial detritus, terrestrial higher plant waxes, and fossil 281 fuels combustion. Homologues of n-alkanes (C<sub>13</sub>-C<sub>39</sub>) were detected with higher 282 concentrations in nighttime (74.8-535 ng m<sup>-3</sup>, ave. 228 ng m<sup>-3</sup>) than daytime (97.6-497 283 ng m<sup>-3</sup>, 184 ng m<sup>-3</sup>) with a maximum at  $C_{29}$  (14.0 ng m<sup>-3</sup> during daytime and 17.9 ng m<sup>-3</sup> 284 285 during nighttime) in Nanjing aerosols (Tables 1 and S1, Figure 4a). These concentrations are comparable to those reported from urban cities in Hong Kong (195 286 ng m<sup>-3</sup>) and Shanghai (259 ng m<sup>-3</sup>) (Wang et al., 2006), but much higher than the 287 western North Pacific region (0.11-14.1 ng m<sup>-3</sup>) (Kawamura et al., 2003). Concentration 288 levels of *n*-alkanes in this study are not significantly different from previous studies in 289 Nanjing (112-265 ng m<sup>-3</sup>, 172 ng m<sup>-3</sup> during daytime; 61.0-503 ng m<sup>-3</sup>, 278 ng m<sup>-3</sup> 290 during nighttime) (Table 2) (Wang and Kawamura, 2005). 291

Biogenic *n*-alkanes showed stronger odd/even carbon number predominance 292 with a carbon preference index (CPI) of >5, whereas anthropogenic *n*-alkanes CPI value 293 is usually close to unity (Simoneit et al., 1991a, 2004c). CPI values obtained in this 294 study ranged from 1.18-1.32 (ave. 1.28) in daytime and 1.10-1.37 (1.24) in nighttime, 295 which is close to unity. This result suggests that the major contributor of *n*-alkanes is 296 the anthropogenic activity such as fossil fuels combustion with less contribution from 297 298 higher plants in Nanjing aerosols. The present CPI values are similar with those from other Chinese urban areas (ave. 1.16) (Wang et al., 2006) and Tokyo (1.10-2.80, ave. 299 1.50) (Kawamura et al., 1995). On the contrary, higher CPI values were reported for 300 Mt. Tai (4.60) (Fu et al., 2008) and Chichi-jima aerosols (4.50) (Kawamura et al., 301

2003), where *n*-alkanes were mainly originated from terrestrial higher plant waxes. 302 Plant wax derived *n*-alkanes are estimated as the excess of odd homologues minus 303 adjacent even homologues (Simoneit et al., 1991b, 2004c), which is attributable to 304 vascular plant waxes (Tables 1 and S1). Concentrations ranges of higher plant wax 305 derived *n*-alkanes were 2.12-48.1 ng m<sup>-3</sup> (ave. 15.5 ng m<sup>-3</sup>) in daytime and 0.86-58.9 ng 306  $m^{-3}$  (17.6 ng  $m^{-3}$ ) in nighttime, both of which are much lower than total *n*-alkanes, 307 308 indicating that *n*-alkanes in Nanjing urban area are derived mainly from fossil fuel 309 combustion.

310 A homologous series of  $C_{12:0}$ - $C_{32:0}$  saturated fatty acids, including two unsaturated fatty acids ( $C_{18:1}$  and  $C_{18:2}$ ), were identified in Nanjing samples. The values 311 of total fatty acids ranged from 14.3 to 254 ng m<sup>-3</sup> (ave. 66.8 ng m<sup>-3</sup>) during daytime, 312 whereas they ranged from 8.59 to 252 ng m<sup>-3</sup> (91.3 ng m<sup>-3</sup>) during nighttime. It is 313 notable that fatty acids are less abundant compared to *n*-alkanes in Nanjing samples 314 315 (Figure 2). The molecular distributions of fatty acids are observed by a strong even carbon number predominance with  $C_{max}$  at  $C_{16:0}$  and  $C_{24:0}$  (Table S1 and Figure 4b). CPI 316 317 values of fatty acids are found to be 5.41 in daytime and 5.52 in nighttime samples. A similar distribution pattern has been proposed in marine and continental aerosols 318 (Mochida et al., 2002; Kawamura et al., 2003; Fu et al., 2008). LMW fatty acids 319 (<C<sub>20:0</sub>) are originated from various sources, e.g., vascular plants, microbial sources, 320 and marine phytoplankton, while HMW fatty acids ( $>C_{20:0}$ ) are derived from terrestrial 321 higher plant waxes (Kawamura et al., 2003; Kolattukudy, 1976; Simoneit, 1978). 322 323 Biomass burning, motor vehicles, and cooking can also be the important sources of fatty acids in urban areas (Fu et al., 2010). The values of  $C_{18:1}$  was observed lower in daytime 324 than nighttime, suggesting an enhanced emission to the atmosphere and oxidation with 325 326 a higher rate in daytime (Kawamura and Gagosian, 1987).

Concentration ranges of  $C_{12}$ - $C_{34}$  fatty alcohols were 7.30-165 ng m<sup>-3</sup> (ave. 36.9 327 ng m<sup>-3</sup>) during daytime and 4.61-129 ng m<sup>-3</sup> (43.8 ng m<sup>-3</sup>) during nighttime (Table S1 328 and Figure 2). Their molecular distributions are characterized by a strong even-to-odd 329 carbon number predominance with  $C_{max}$  at  $C_{28}$  or  $C_{30}$  (Figure 4c). We found that the 330 CPI values of fatty alcohols in Nanjing aerosols (2.56-10.3, ave. 5.22 in daytime and 331 3.06-15.5, 6.32 in nighttime) are lower than those of aerosols from Chennai, India 332 333 (9.75±2.94) (Fu et al., 2010) and Mt. Tai, China (CPIs 7.95-66.5, ave. 25.6 in daytime and 12.2-53.4, 22.8 in nighttime) (Fu et al., 2008). HMW fatty alcohols (>C<sub>20</sub>) are 334 335 dominant in the terrestrial higher plant, soils, and loess deposits, whereas LMW fatty alcohols (<C<sub>20</sub>) are dominant in marine biota and soil microbes (Simoneit et al., 1991b). 336 Moreover, a large amount of fatty alcohols together with fatty acids and *n*-alkanes can 337 also be derived from biomass burning (Simoneit, 2002). A positive correlation was 338 observed between fatty alcohols and levoglucosan (r = 0.60, p<0.001), indicating that 339 340 fatty alcohols are partly originated from biomass burning in Nanjing winter aerosols (Figure S2c). This conclusion is further supported by the factors resolved by PMF 341 analysis, where high loading of fatty alcohols was found with a biomass burning tracer 342 343 levoglucosan.

344 3.3.2 Biomass burning tracers

Levoglucosan, galactosan, and mannosan are class of anhydro-sugars, which are produced by the pyrolysis of cellulose and hemi-cellulose (Simoneit, 2002). Levoglucosan is a unique tracer of biomass burning, and has been reported in urban (Wang et al., 2006; Fu et al., 2010; Yttri et al., 2007), marine (Simoneit et al., 2004b; Ding et al., 2013), and polar regions (Stohl et al., 2007; Fu et al., 2009). Levoglucosan is a relatively stable species in the atmospheric aerosol and could be transported long distances (Mochida et al., 2010). We found that levoglucosan is one of the most abundant single tracer among all the identified compounds in the presents study, with a concentration range of 4.79-179 ng m<sup>-3</sup> (ave. 38.4 ng m<sup>-3</sup>) during daytime and 4.96-354 ng m<sup>-3</sup> (66.0 ng m<sup>-3</sup>) during nighttime (Tables 1 and S1, Figure 5a), although the values are lower than previous results reported in Nanjing (Wang and Kawamura, 2005).

Levoglucosan could account for up to 90% of total sugars in Chinese urban 356 aerosols (Wang et al., 2006). The contribution of levoglucosan to OC and WSOC were 357 358 0.02-0.51% (ave. 0.09%) and 0.03-0.57% (0.13%) in daytime and 0.02-0.48% (0.13%) and 0.03-0.78% (0.22%) in nighttime, respectively. Galactosan and mannosan were also 359 360 detected in the aerosol samples. The amounts of galactosan were found to be 0.65-7.47 ng m<sup>-3</sup> (ave. 2.26 ng m<sup>-3</sup>) in daytime and 0.48–7.75 ng m<sup>-3</sup> (3.13 ng m<sup>-3</sup>) in nighttime, 361 whereas those of mannosan were 0.36-4.30 ng m<sup>-3</sup> (1.62 ng m<sup>-3</sup>) in daytime and 0.27-362 5.73 ng m<sup>-3</sup> (2.06 ng m<sup>-3</sup>) in nighttime (Table S1 and Figure 5a). Levoglucosan showed 363 similar temporal trends and strong correlations with galactosan (r = 0.87, p<0.001) and 364 mannosan (r = 0.84, p<0.001) (Figure S2a). It should be noted that the levoglucosan 365 366 level in this study is 4-6 times lower than that of a previous study in Nanjing (Table 2) (Wang and Kawamura, 2005). This result implies that biomass-burning emissions have 367 368 been decreased significantly in the last decade in the Nanjing area during winter.

We detected four lignin and resin products, i.e., 4-hydroxybenzoic, vanillic, 369 syringic, and dehydroabietic acids, which have been used as biomass-burning tracers 370 371 (Simoneit et al., 2004c). Lignin is a wood polymer, which can produce phenolic acids during burning whereas dehydroabietic acid is more specific to conifer resin (Simoneit, 372 373 2002). We found that 4-hydroxybenzoic acid was dominant among all lignin and resin products identified in Nanjing samples (Figure 5b). The concentration ranges of 4-374 hydroxybenzoic, vanillic and syringic acids in day- and night-time were 0.65-4.31 ng m<sup>-</sup> 375 <sup>3</sup> (ave. 1.80 ng m<sup>-3</sup>) and 0.62-4.96 ng m<sup>-3</sup> (2.01 ng m<sup>-3</sup>), 0.04-0.92 ng m<sup>-3</sup> (0.25 ng m<sup>-3</sup>) 376

and 0.08-0.66 ng m<sup>-3</sup> (0.25 ng m<sup>-3</sup>), and 0.04-0.57 ng m<sup>-3</sup> (0.17 ng m<sup>-3</sup>) and 0.05-0.43 ng 377 m<sup>-3</sup> (0.20 ng m<sup>-3</sup>), respectively. The value of dehydroabietic acid was observed 0.11-378 1.16 ng m<sup>-3</sup> (0.46 ng m<sup>-3</sup>) during daytime and 0.00-8.29 ng m<sup>-3</sup> (0.93 ng m<sup>-3</sup>) during 379 nighttime. The levels of lignin and resin acids in this study are 1-10 orders of magnitude 380 lower than those from India (Fu et al., 2010) and China (Wang et al., 2006). Lignin 381 products showed strong correlations with levoglucosan (r = 0.60-0.72, p<0.001), 382 383 suggesting the similar sources and origins. In contrast, there is no correlation of dehydroabietic acid with levoglucosan (r = 0.07), indicating a different source of 384 385 dehydroabietic acid in Nanjing aerosols. The low concentrations of dehydroabietic acid imply that boreal conifer forest fires are not important for the Nanjing aerosols. 386

### 387 **3.3.3 Primary sugars and sugar alcohols**

Sugar compounds are considered as tracers for primary biological aerosol 388 particles, which are water-soluble and thus contribute to WSOC in aerosol particles 389 (Elbert et al., 2007; Medeiros et al., 2006; Yttri et al., 2007). Four primary sugars 390 (glucose, fructose, trehalose, and sucrose) and four sugar alcohols (erythritol, arabitol, 391 mannitol, and inositol) were identified in the aerosol samples from Nanjing. The sugar 392 393 compounds are generated from micro-organism (pollen, fungi, and bacteria), plants, flowers, resuspension of surface soil and unpaved road dust (Graham et al., 2003; 394 Simoneit et al., 2004a; Yttri et al., 2007). They can also be originated during biomass 395 396 burning. Total concentrations of primary sugars measured were 0.78-7.02 ng m<sup>-3</sup> (ave. 2.90 ng m<sup>-3</sup>) in daytime and 0.50-6.58 ng m<sup>-3</sup> (2.98 ng m<sup>-3</sup>) in nighttime, whereas those 397 of sugar alcohols were 0.30-2.90 ng m<sup>-3</sup> (1.16 ng m<sup>-3</sup>) during daytime and 0.19-2.75 ng 398 m<sup>-3</sup> (1.27 ng m<sup>-3</sup>) during nighttime. 399

400 Most primary sugars exhibited higher concentrations in daytime than nighttime 401 except for glucose (Figure 5c). Graham et al. (2003) proposed that fructose and glucose

along with sucrose are emitted as fern spores, pollen, and other "giant" bioaerosol 402 particles in daytime. Glucose showed a significant correlation with levoglucosan (r =403 404 0.75, p<0.001) (Figure S2d), indicating that it is associated with biomass burning, which can interpret high levels of glucose in nighttime, whereas other primary sugars 405 showed weak correlations with levoglucosan (r = 0.05-0.09). Biomass burning as a 406 407 source of glucose has also been proposed by Fu et al. (2008). Trehalose is mostly 408 derived from microorganisms such as bacteria, fungi, and yeast and also small amount 409 from higher plants, and invertebrates (Medeiros et al., 2006). It is also considered as a 410 product of unpaved road dust and resuspension of surface soil (Simoneit et al., 2004b; 411 Wang and Kawamura, 2005).

On the contrary, all sugar alcohols presented higher concentrations in nighttime 412 than in daytime while the scenario is opposite for primary sugars. Significant 413 correlations were observed between sugar alcohols and levoglucosan (r = 0.51-0.75, 414 415 p<0.001), suggesting a contribution of biomass burning to primary bio-aerosol particles, which can explain their higher concentrations in nighttime. Previous articles proposed 416 417 that a huge amount of sugars and sugar alcohols can be emitted through the combustion 418 of green vegetation from temperate forests (Medeiros and Simoneit, 2008). The sugar polyols are produced mainly from microbial sources, e.g., fungi, bacteria. They can also 419 420 release from the bark of trees, leaves, and branches. The values of sugar compounds in this study are lower than other Chinese urban areas (Wang et al., 2006). 421

422

## 3.3.4 Polycyclic aromatic hydrocarbons

423 PAHs have severe health effect due to their genotoxicity and carcinogenicity. These aromatic compounds are mainly emitted from anthropogenic activities, including 424 425 biomass burning, coal combustion, vehicular emissions, and natural gas combustion. 426 PAHs showed a weak positive correlation with levoglucosan (r = 0.20), suggesting that

there is no serious contribution of PAHs from biomass burning activities in Nanjing 427 aerosols. Total seventeen PAHs were identified in the Nanjing samples with the 428 concentration range of 29.5-106 ng m<sup>-3</sup> (ave. 54.5 ng m<sup>-3</sup>) in daytime and 21.7-223 ng 429 m<sup>-3</sup> (72.5 ng m<sup>-3</sup>) in nighttime (Tables 1 and S1, Figure 2). The higher concentrations of 430 431 PAHs in nighttime might be due to the lower dispersion of the boundary layer at night (Figure 6a). Furthermore, households coal combustion has a significant contribution to 432 the air quality in China during winter (Kerimray et al., 2017). Temperature falls down 433 during nighttime resulting in the uses of a large amount of coal for house heating in a 434 435 rural area due to a cold weather. Heavy-duty trucks that are permitted by the local government to work only at night as well as coal combustion should contribute to 436 higher concentrations of PAHs into the air in nighttime. Our values are 3-4 times higher 437 than those found in Hong Kong (14 ng m<sup>-3</sup>) but lower than those in Beijing winter 438 aerosols (208 ng m<sup>-3</sup>) (Wang et al., 2006). Fluoranthene was found as a dominant PAH 439 (ave. 8.24 ng m<sup>-3</sup>) in Nanjing aerosol samples followed by chrysene (6.72 ng m<sup>-3</sup>), 440 pyrene (6.42 ng m<sup>-3</sup>) and benzo(b)fluoranthene (BbF) (5.85 ng m<sup>-3</sup>) (Figure 6a). 441

PAHs can be further photooxidized to form SOA, i.e., phthalic acid in the 442 atmosphere (Zhang et al., 2016). Ding et al. (2007) proposed that PAHs could be 443 degraded during long-range transport. Therefore, the ratios of PAH isomer pairs can be 444 used to interpret the chemical aging of PAHs in the atmosphere. Benzo[a]anthracene 445 (BaA) and benzo(a)pyrene (BaP) are expected to be degraded more easily than their 446 isomers during transportation due to their higher reactivity. Thus, the lower ratios (<1.0)447 448 of benzo[a]anthracene (BaA)/chrysene (Chry) and benzo(a)pyrene (BaP)/benzo(e)pyrene (BeP) indicate relatively more photochemical processing of PAHs. The 449 ratios of BaA/Chry and BaP/BeP were calculated 0.79 and 0.88, respectively, which 450

451 imply that PAHs of Nanjing aerosols were more aged due to the atmospheric452 transportation from long distances.

453 Characteristic ratios of PAHs are indicative of their specific sources. Previous studies (Wang et al., 2007a, 2009; Bi et al., 2005) pointed out that the concentration 454 455 ratios of indeno(1,2,3-cd)pyrene to benzo(ghi)perylene (IP/BghiP), and benzo(ghi)perylene to benz(e)pyrene (BghiP/BeP), are indicative of different emission 456 457 sources (Table 3). The ratios of IP/BghiP nearby 0.22, 0.50 and 1.3 are attributable to gasoline, diesel, and coal combustion sources, respectively. On the other hand, 458 459 BghiP/BeP ratio of 2.0 indicates mobile exhausts and 0.8 indicates coal combustion emissions (Grimmer et al., 1983; Ohura et al., 2004). Furthermore, the 460 fluoranthene/(fluoranthene+pyrene) (Flut/(Flut + Pyr)) ratios of 0.46-0.56 indicate 461 vehicular emissions, especially from catalytic converter-equipped automobiles (0.44), 462 whereas IP/(BghiP+IP) ratios (0.18, 0.37 and 0.56) are for cars, diesel, and coal 463 464 combustion, respectively (Bi et al., 2005).

In this study, we found the ratios of IP/BghiP, Bghi/Bep, Flut/(Flut + Pyr), and 465 IP/(IP+BghiP) are 1.23, 1.17, 0.56, and 0.55, respectively. The ratios are closer to those 466 467 in coal burning emissions than in vehicular exhausts. These results imply that PAH components in Nanjing winter aerosols are mainly derived from coal combustion. The 468 PAH compositions of our study are different from those reported from Sacramento 469 (Kleeman et al., 2008), Los Angeles and London (Finlayson-Pitts and Pitts Jr, 2000), in 470 which BghiP/BeP ratios are 5.6, 3.5 and 1.7, respectively, when vehicular emissions 471 472 were the major sources for PAHs in these cities. The present PAH concentrations in Nanjing aerosols are lower than those found in a previous study from Nanjing samples 473 collected in 2004 (Table 2) (Wang et al., 2007a) and one-fourth of those collected in 474

2001 (214 ng m<sup>-3</sup>) (Yang et al., 2005), indicating the local air quality in Nanjing is
improving since 2001.

#### 477 **3.3.5 Hopanes and Steranes**

Hopane and sterane isomers are considered as biomarkers of fossil fuel 478 emissions, which belong to the higher boiling fraction of crude oil and are more 479 resistant to degradation than *n*-alkanes. They are abundantly originated from the crude 480 481 oil and engine oil, and consequently in vehicle exhaust from unburned lubricating oil residues and road dust (Ding et al., 2009). Six hopane compounds were identified in the 482 483 Nanjing urban samples (Table S1) with higher levels in nighttime (0.13-26.1 ng  $m^{-3}$ , ave. 4.16 ng m<sup>-3</sup>) and lower levels in daytime (0.09-20.8 ng m<sup>-3</sup>, 3.78 ng m<sup>-3</sup>) (Figure 2). 484 The concentrations of hopane in the present study are comparable with those from other 485 Chinese cities and Tokyo (0.7-15 ng m<sup>-3</sup>; 5.5 ng m<sup>-3</sup>) (Wang et al., 2006). Wang et al. 486 (2009) reported that concentrations of hopanes in the urban samples were 2 orders of 487 magnitude higher than those in the mountain samples whereas hopanes were not 488 detectable in the marine samples. 489

The diagnostic ratios of hopanes can differentiate their sources (Table 3). The 490  $17\alpha(H)-21\beta(H)-29$ -norhopane (C<sub>29</sub> $\alpha\beta$ )/17 $\alpha(H)-21\beta(H)$ -hopane (C<sub>30</sub> $\alpha\beta$ ) ratios of 0.59-491 0.66, 0.42, and 0.58-2.0 are attributable to gasoline, diesel and coal burning emissions, 492 respectively (Rogge et al., 1993a; Oros and Simoneit, 2000). In addition, the ratios of 493  $17\alpha(H)-21\beta(H)-22S$ -homohopane/( $17\alpha(H)-21\beta(H)-22S$ -homohopane +  $17\alpha(H)-21\beta(H$ 494 22R-homohopane)  $[C_{31}\alpha\beta S/(C_{31}\alpha\beta S + C_{31}\alpha\beta R)]$  ranging from 0.60-0.62, 0.50, and 495 0.05-0.37 indicate gasoline, diesel and coal burning emissions, respectively (Rogge et 496 al., 1993a; Oros and Simoneit, 2000). We observed that concentration ratios of 497  $C_{29}\alpha\beta/C_{30}\alpha\beta$  and  $C_{31}\alpha\beta S/(C_{31}\alpha\beta S + C_{31}\alpha\beta R)$  in this study are 0.58 and 0.48, 498 respectively, which are near to those in vehicular emissions than coal combustion. Thus, 499

hopanes in the Nanjing aerosol are predominantly emitted from traffic emissions due torapidly increasing of automobiles in Chinese mega-cities.

A series of steranes were also identified in the present study. Concentration 502 ranges of total steranes were 1.14-9.16 ng m<sup>-3</sup> (ave. 2.29 ng m<sup>-3</sup>) during daytime and 503 1.09-11.1 ng m<sup>-3</sup> (2.64 ng m<sup>-3</sup>) during nighttime. The diurnal distribution patterns of 504 505 steranes showed remarkable similarity with hopanes. The strong correlation coefficient 506 was also observed between steranes and hopanes (r = 0.94, p<0.001), indicating their similar emission sources (Figure S3d). It is reasonable because homologues of hopanes 507 508 and steranes are very stable and have similar atmospheric fates (Ding et al., 2009). In contrast, PAHs showed positive correlations with hopanes and steranes with a lower 509 correlation coefficient (r = 0.42, p<0.001), indicating their partly similar sources. 510

#### 511 **3.3.6 Phthalates**

Phthalates are common additives widely used as a softener and plasticizers in 512 polyvinylchloride (PVC) and synthetic polymers, respectively. It can be emitted into the 513 atmosphere through the evaporation process due to their weak bonding in the polymer. 514 Phthalates have potential health effect due to their endocrine disrupting and 515 carcinogenic properties (Sidhu et al., 2005). It is necessary to pay attention to phthalates 516 as toxic components in the aerosol particles. However, few studies have reported their 517 atmospheric distribution over China (Fu et al., 2008; Wang et al., 2006, 2007a). Four 518 519 phthalate esters, i.e., diethyl (DEP), di-iso-butyl (DiBP), di-n-butyl (DnBP), and di-(2ethylhexyl) (DEHP) phthalates were characterized in this study with total 520 concentrations of 13.9 ng m<sup>-3</sup> (range, 2.66-40.0 ng m<sup>-3</sup>) in daytime and 16.3 ng m<sup>-3</sup> 521 (3.79-51.8 ng m<sup>-3</sup>) in nighttime (Figure 7a). DnBP (ave. 8.07 ng m<sup>-3</sup>) is the dominant 522 tracer, followed by DEHP (4.00 ng m<sup>-3</sup>), DiBP (2.59 ng m<sup>-3</sup>) and DEP (0.14 ng m<sup>-3</sup>). 523 The levels of phthalates in this study are 4-20 times lower than those proposed in other 524

525 Chinese megacities in 2006 (Wang et al., 2006), although comparable than those 526 observed from Paris, France (8.2 ng m<sup>-3</sup>) (Teil et al., 2006), Sweden (3.7 ng m<sup>-3</sup>) 527 (Thuren and Larsson, 1990), and Texas, USA (2.1 ng m<sup>-3</sup>) (Weschler, 1984).

The evaporation of phthalates can be accelerated under high ambient 528 temperature conditions. The higher values in nighttime than daytime of phthalates in 529 Nanjing aerosol is opposite with the result of Mt. Tai aerosols (Fu et al., 2008) due to 530 531 the decreased PBLH in nighttime. Moreover, there is no significant difference in temperature between day and night during the campaign in Nanjing (Figure S1). 532 Significant correlations were found between DEP and DiBP (r = 0.85, p<0.001) as well 533 as DiBP and DnBP (r = 0.87, p<0.001) (Figures S4a and S4b), suggesting that these 534 compounds are commonly used as plasticizers in China and released in the same way 535 into the atmosphere. It should be noted that the concentration level of phthalates in this 536 study is 1-2 orders of magnitude lower than those found in Nanjing aerosols collected in 537 2004 (ave. 230 ng m<sup>-3</sup>) (Wang et al., 2007a), suggesting a significant improvement of 538 local air quality in Nanjing regarding plastic emission. 539

#### 540 **3.3.7 Aromatic acids**

541 We detected benzoic acid and three phthalic acids in the urban aerosols from Nanjing with total concentrations of 6.70 ng m<sup>-3</sup> (1.53-23.1 ng m<sup>-3</sup>) in daytime and 8.37 542 ng m<sup>-3</sup> (1.99-18.5 ng m<sup>-3</sup>) in nighttime (Figure 7b). These acids can play a significant 543 544 role to increase the formation of new particles in the atmosphere (Zhang et al., 2004). Benzoic acid ranged from 0.17-0.95 ng m<sup>-3</sup> (ave. 0.45 ng m<sup>-3</sup>) in daytime and 0.24-1.22 545 ng m<sup>-3</sup> (0.54 ng m<sup>-3</sup>) in nighttime (Table S1), which is 1 and 4 orders of magnitude 546 lower than summertime aerosol of Mt. Tai and wintertime aerosol of tropical India, 547 respectively (Fu et al., 2008, 2010). Benzoic acid is primarily emitted from automobiles 548

(Rogge et al., 1993b; Kawamura et al., 2000) but also been proposed as a secondary
component via a photooxidation of several aromatic hydrocarbons (Fu et al., 2010).

Total phthalic acids varied from 1.40-21.9 ng m<sup>-3</sup> (ave. 6.25 ng m<sup>-3</sup>) during 551 daytime and 1.76-16.8 ng m<sup>-3</sup> (7.83 ng m<sup>-3</sup>) during nighttime. Their concentrations are 552 lower than those found during aircraft measurement over China in summer (17±13 ng 553 m<sup>-3</sup>) (Wang et al., 2007b) but higher than the samples measured from the northwestern 554 Pacific (ave. 1.5 ng m<sup>-3</sup>) (Simoneit et al., 2004a). The molecular distributions of 555 phthalic acids showed the dominance of terephthalic acid (Figure 7b), which accounted 556 557 for 82% of total aromatic acids in Nanjing samples. Phthalic acids are SOA products produced from several PAHs (Oliveira et al., 2007; Fine etal., 2004). It is noteworthy 558 that PAHs mostly produce phthalic acids over the North China Plain (Fu et al., 2008). 559 Terephthalic acid can be derived from plastics burning as well (Fu et al., 2010). 560

Aromatic acids showed positive correlations with 4 and 5 rings PAHs (r = 0.56, 561 p<0.001) and phthalates (r = 0.71, p<0.001) (Figure S3c), suggesting that they are 562 primarily derived from PAHs. Furthermore, aromatic acids showed significant 563 correlation with oxidation products of polyacids (r = 0.83, p<0.001) (Figure S4c), 564 indicating the secondary oxidation process is a major source of aromatic acids. 565 However, higher concentrations of aromatic acids in nighttime rather than daytime may 566 not explain photochemical oxidation. We propose two explanations for the high level of 567 SOA products in nighttime. First, SOA products are formed during long-range 568 atmospheric transport that is consistent with aged PAHs in the aerosols as discussed 569 570 above (section 3.3.4). Second, NOx can play an important role to oxidize PAHs in nighttime when NOx concentration is high (Offenberg et al., 2007; Henze et al., 2008). 571 However, further studies are needed for the justification of NOx influence in the 572 573 Nanjing atmosphere.

#### 574 3.3.8 Hydroxy-/polyacids

Glycerol and several polyacids were identified in all the samples from Nanjing 575 (Table S1). Glycerol is a primary product of a metabolic reaction of soil organisms, 576 while polyacids are secondarily produced by photo-oxidation of organic precursors 577 (Simoneit et al., 2004c). Glycerol didn't show significant correlation with polyacids (r = 578 0.21-0.38), implying their different sources (i.e., primary and secondary). Kawamura 579 580 and Ikushima(1993), and Matsunaga et al. (1999) reported that malic acid is a 581 photooxidation product of succinic acid, which is one of the photooxidation products of 582 biogenic unsaturated fatty acids (BUFAs) in the atmosphere. Moreover, SOA tracers, including malic and tartaric acids are produced by the photochemical oxidation of 583 isoprene (Claeys et al., 2004). 584

The concentration range of glycerol was 0.66-5.99 ng m<sup>-3</sup> (ave. 2.67 ng m<sup>-3</sup>) 585 during daytime and 0.73-8.72 ng m<sup>-3</sup> (3.50 ng m<sup>-3</sup>) during nighttime. Glycerol is a 586 dominant component in this group (Table S1 and Figure7c), which is consistent with 587 the previous report from Nanjing aerosol in 2004-2005, whereas present concentration 588 level is lower than that reported in Nanjing (Wang and Kawamura, 2005). 589 Concentrations ranges of total polyacids were 1.21-23.5 ng m<sup>-3</sup> (5.1 ng m<sup>-3</sup>) during 590 daytime and 2.05-11.2 ng m<sup>-3</sup> (5.80 ng m<sup>-3</sup>) during nighttime, among which tartaric acid 591 (2.18 ng m<sup>-3</sup>) was dominant (Figure 7c). Tartaric acid presented a positive correlation 592 593 with levoglucosan (r = 0.75, p<0.001) (Figure S2b), suggesting an influence of biomass burning. Significant correlations were found among all polyacids (r = 0.50-0.75, 594 595 p<0.001), indicating their similar source or formation pathway. Polyacids showed a strong correlation with WSOC (r = 0.85, p<0.001) (Figure S4d), indicating their water-596 soluble and hygroscopic nature and therefore it might influence the CCN activities of 597 aerosol particles. It should be noted that secondary oxidation products are formed 598

during long-range atmospheric transport, which can explain high values of polyacids in
nighttime. This result is consistent with the high WSOC/OC ratio in Nanjing aerosols
(see section 3.2) as well as air mass back trajectories (Figure S5).

#### 602 3.4 Source apportionment of organic aerosols using PMF

Positive matrix factorization (PMF5.0, USEPA) analysis was performed in this 603 604 study for better understanding the sources of the identified components. It is a statistical 605 source apportionment model widely used to verify underlying covariance among 606 chemical parameters (Paatero and Tapper, 1994; Jaeckels et al., 2007). Detailed 607 information of the PMF model can be found elsewhere (Paatero, 1997; Paatero and Tapper, 1994). PMF model was applied for apportioning ambient PM to motor vehicle 608 and wood combustion emission, SOA, and two-point sources using organic molecular 609 markers (Jaeckels et al., 2007). This model has also been extensively used for 610 identifying source profile and source contribution of PM based elemental and organic 611 612 markers data (Song et al., 2001; Buzcu et al., 2003; Jaeckels et al., 2007; Jia et al., 2010). The analytical errors estimated for the measured values of chemical species in 613 PMF analysis are 10%. The detected organic compound classes were subjected to 614 615 source apportionment evaluation to make the classifications of sources using the model. PMF model application resolved 5 source factors based on Q values that contributed to 616 ambient PM<sub>2.5</sub> in this study. OC, EC and some inorganic ions were also used to 617 investigate possible different sources. We used PM<sub>2.5</sub> as the sum of total variables 618 619 during the model convergence. Figure 8 shows composition source profiles for the five 620 factors resolved by PMF analysis, where factor 3 is a dominant source.

Factor 1 is dominated by levoglucosan (69%) followed by fatty alcohol ( $C_{30}$ ) (56%), arabitol (44%) and *n*-alkane ( $C_{29}$ ) (34%). Factor 1 is associated with biomass burning because levoglucosan is a specific tracer of biomass burning. Moreover, fatty

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alcohols, fatty acids, *n*-alkanes, and sugar compounds can also be emitted from biomass burning. Biomass materials, including rice and wheat straws, and cotton stems, are widely used for domestic cooking in rural areas around Nanjing, particularly in the evening. Therefore, particles from biomass burning are abundantly released into the atmosphere and then transported to the Nanjing urban area. This idea is consistent with high loadings of biomass burning tracers during nighttime.

Factor 2 is enriched with nss-Ca<sup>2+</sup> (75 %), suggesting that the component is associated with soil dust because it is a specific tracer of soil dust (Athanasopoulou et al., 2010; Brahney et al., 2013). Many infrastructures of the urban area are constructing in China, which can produce dust particles largely and emit into the atmosphere. Factor 3 is attributed to secondary oxidation products because of the dominant species in this source profile is malic acid (69%). Malic acid is a secondary oxidation product as described above (see section 3.3.8).

637 Factor 4 was abundantly loaded by fluoranthene (representative PAH) (79%),  $C_{30}\alpha\beta$  (representative hopane) (64%) and  $C_{29}$  (representative *n*-alkane) (50%), implying 638 their origination from the fossil fuel combustion. It should be noted that *n*-alkanes also 639 showed a loading in factor 1, suggesting that *n*-alkanes are partly associated with 640 biomass burning. PAHs can be emitted from fossil fuel combustion and biomass 641 642 burning activities. However, we observed that PAHs are mainly derived from fossil fuel combustion in Nanjing (see section 3.3.4). Furthermore, *n*-alkanes can be originated 643 from fossil fuel combustion and higher plant waxes, whereas fossil fuel was the 644 645 dominant source for *n*-alkanes in Nanjing aerosols (see section 3.3.1). Hopanes are emitted from the vehicular exhaust as well as coal burning. Low-quality coals are 646 extensively used in urban and rural areas in China for cooking and house heating. Many 647 648 power plants in Chinese cities also used a large amount of coal for producing energy.

Running vehicles have also increased severely in China, which can emit hopanes in the
atmosphere. Factor 5 is dominated by di-(2-ethylhexyl) phthalate (DEHP) (63%)
followed by terephthalic acid (35%), which should be associated with plastic burning.
Terephthalic acid is a secondary oxidation product and also can be emitted from the
open burning of plastics as discussed above.

PMF results can be further utilized to calculate the relative contributions of 654 655 sources to the amount of ambient OC or  $PM_{2.5}$  using a multiple linear regression between the isolated factor strengths and measured OC or PM<sub>2.5</sub> (Song et al., 2001). 656 657 Results of this analysis are presented in Figure 9 whereas source contributions were calculated to PM<sub>2.5</sub>. Fossil fuel combustion was the dominant source (28.7%) 658 considering the primary source, followed by emissions of biomass burning (17.1%), soil 659 dust (14.5%), and plastic burning (6.83%) during this study period. However, secondary 660 oxidation products showed the highest contribution (32.9%) to PM<sub>2.5</sub> in Nanjing 661 662 probably due to long-range atmospheric transport. These results indicate that fossil fuel combustion is an important contributor to Nanjing aerosol during winter. Wang and 663 Kawamura (2005) reported that fossil fuel combustion (52%) was the largest 664 contributor to total organics followed by biomass burning (14%) and SOA (10%) from 665 Nanjing, whereas coal combustion was found to contribute to PM<sub>2.1</sub> by 39.5% in 2010 666 in Nanjing (Chen et al., 2015). Gao et al. (2013) pointed out that coal combustion was 667 the dominant (58%) contributor to  $PM_{2.5}$  followed by biomass burning (31%), and 668 vehicular emissions (11%) in the Pearl River Delta region (two urban, two suburban 669 and two rural sites) while sample was collected in 2009. The comparison of current 670 results with previous studies implies that the contribution of fossil fuel combustion and 671 biomass burning emission in China decreased significantly in the past decade. 672

However, pollution levels in China are still severe compared to those in the Nationaland WHO standards as discussed above (section 1).

#### 675 **4. Summary and Conclusions**

We collected  $PM_{2.5}$  aerosol samples during winter from Nanjing, an urban area located in east China in 2014 to 2015. Twelve organic compound classes were identified in the aerosol samples with *n*-alkanes as the dominant compound class, followed by fatty acids, PAHs, anhydro-sugars, fatty alcohols, and phthalate esters. The concentrations of organic compounds were slightly higher at night samples than day samples because of the lower PBLH and more emissions from heavy-duty trucks as well as coal and biomass burning in nighttime.

The molecular signature of *n*-alkanes with a weak odd-carbon number 683 predominance and maxima of C<sub>29</sub> (ave. CPI: 1.18) implies their significant emission 684 685 from fossil fuels combustion. On the contrary, microbial and plant waxes are the major source of fatty acids and fatty alcohols in this study. Fatty alcohols are also significantly 686 687 emitted from biomass burning. The biomass burning tracer, levoglucosan was the dominant species among the detected compounds. Levoglucosan and other biomass 688 burning tracers are mainly originated from house cooking and heating during study 689 690 period. Concentrations of secondary oxidation products, including aromatic acids and polyacids, maximized during nighttime possibly because of the influence of NO<sub>x</sub> or 691 long-range atmospheric transport. 692

The diagnostic ratios of the tracer compounds suggest that coal burning is the major source of PAHs while hopanes are abundantly emitted from traffic emissions over Nanjing atmosphere. PMF analysis demonstrated that fossil fuel combustion is an important source (28.7%) in Nanjing winter aerosols. The concentrations of organic tracers in this study are lower than previously reported Nanjing aerosols collected in 698 2004-2005. Air quality in Nanjing may have been improved for last decade. However,

699 fossil fuel combustion emissions have not been decreased satisfactorily and still control

the compositions of wintertime OAs in Nanjing atmosphere.

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- 706

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**Table 1.** Mean concentrations of identified organic compound classes (ng m<sup>-3</sup>) and 1114 carbonaceous components ( $\mu$ g m<sup>-3</sup>) in the atmospheric aerosol samples (PM<sub>2.5</sub>) from Nanjing, 1115 China.

Compounds		Day	time		Nighttime			
	Mean	Min <sup>a</sup>	Max <sup>b</sup>	<b>SD</b> <sup>c</sup>	Mean	Min <sup>a</sup>	Max <sup>b</sup>	SD <sup>c</sup>
<i>n</i> -Alkanes	177	96.1	467	76.6	218	74.4	500	89.3
Plant Wax Alkanes	15.5	1.12	56.2	11.2	17.6	0	62.1	14.2
Fatty acids	66.8	14.3	254	47.9	91.3	8.57	252	59.2
Fatty alcohols	36.9	7.30	165	29.9	43.8	4.61	129	26.7
Anhydro-sugars	42.3	5.8	191	40.9	71.2	5.71	367	80.1
Sugars	3.44	0.78	8.89	1.75	3.43	0.59	8.49	1.81
Phthalate esters	13.9	2.66	40.0	10.1	16.3	3.80	51.8	11.1
Glycerol and polyacids	7.78	1.59	29.7	6.17	9.30	2.54	23.1	5.79
Aromatic acids	6.70	1.53	23.1	4.69	8.37	1.99	18.4	4.83
Lignin and resin products	2.68	0.84	6.96	1.29	3.39	0.75	14.3	2.70
PAHs	54.5	29.5	106	17.8	74.8	21.7	223	43.8
Hopanes	3.79	0.07	20.8	4.15	4.64	0.13	26.1	5.31
Steranes	2.29	1.13	9.15	1.56	2.65	1.08	11.1	1.92
Total organics	434	163	1378	254	565	126	1686	347
OC	18.6	8.76	40.0	8.44	19.1	2.98	40.1	8.53
EC	8.25	2.41	30.3	5.46	8.86	8.86	8.86	8.86
WSOC	11.7	5.52	26.6	4.68	18.1	1.51	34.4	8.92
OC/EC	2.47	1.30	3.69	0.54	2.36	1.51	3.76	0.56
WSOC/OC	0.58	0.42	0.78	0.10	0.55	0.40	0.70	0.08

- 1117 <sup>a</sup>Minimum, <sup>b</sup>Maximum, <sup>c</sup>Standard deviation

Table 2. Comparisons of the average concentrations (ng m<sup>-3</sup>) of organic tracers with those measured during 2004-2005 (Wang and Kawamura, 2005; Wang et al., 2007a<sup>b</sup>) in Nanjing aerosols during winter. 

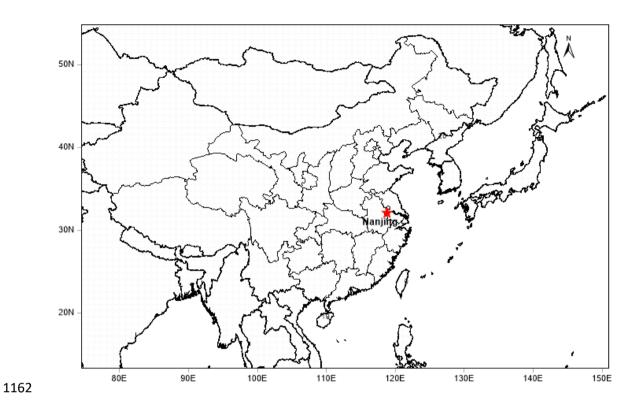
Compounds	Tł	nis study	172 18.8 245 74.5 238 59 158 41.4	s study 2004-2005		
	Daytime	Nighttime	Daytime	Nighttime		
<i>n</i> -Alkanes	177	218	172	278		
Plant Wax Alkanes	15.5	17.6	18.8	20.6		
Fatty acids	66.8	91.3	245	338		
Fatty alcohols	36.9	43.8	74.5	120		
Levoglucosan	42.3	71.2	238	297		
Sugars	3.44	3.43	59	53		
Phthalate esters <sup>b</sup>	13.9	16.3	158	181		
Glycerol and polyacids	7.78	9.30	41.4	41.8		
Aromatic acids	6.70	8.37		Not detected		
Lignin and resin products	2.68	3.39	<mark>16.0</mark>	35.1		
PAHs <sup>b</sup>	54.5	74.8	69	104		
Hopanes <sup>b</sup>	3.79	4.64	7.3	9.9		
Steranes	2.29	2.65		Not detected		
Total organics	434	565	1108	1502		

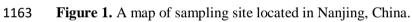
Table 3. Diagnostic concentration ratios of biomarkers for source identification from fossil fuel combustions including gasoline- and diesel cars.

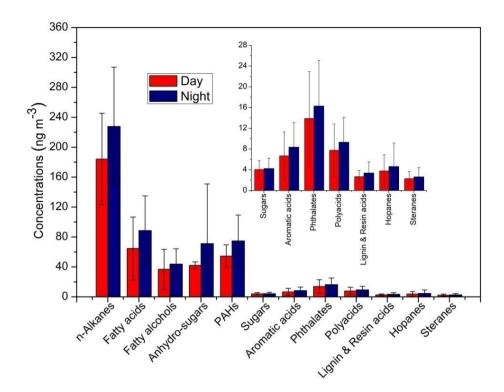
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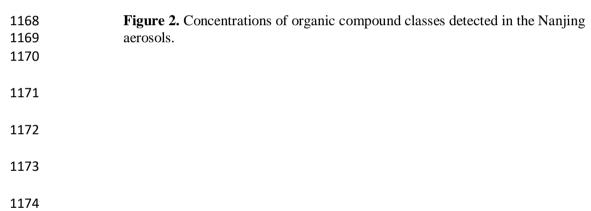
	Present st	udy	Gas	oline	Diesel	Coal
	Daytime	Nighttime	Noncatalyst	Catalyst		
IP/BghiP	1.26	1.19	0.22ª		0.50 <sup>a</sup>	1.3ª
BghiP/Bep	1.21	1.13	2.0 <sup>b</sup>			$0.8^{b}$
Flut/(Flut + IP)	0.57	0.56	0.46-0.56 <sup>c</sup>	0.44 <sup>c</sup>		
IP/(IP + BghiP)	0.56	0.54	0.18 <sup>c</sup>		0.37°	0.56 <sup>c</sup>
$C_{29}\alpha\beta/C_{30}\alpha\beta$	0.65	0.51	0.59 <sup>d</sup>	0.66 <sup>d</sup>	0.42 <sup>d</sup>	0.58-2.0 <sup>e</sup>
$C_{31}\alpha\beta S/(C_{31}\alpha\beta S + C_{31}\alpha\beta R)$	0.39	0.57	$0.60^{d}$	0.62 <sup>d</sup>	$0.50^{d}$	0.05-0.37 <sup>e</sup>

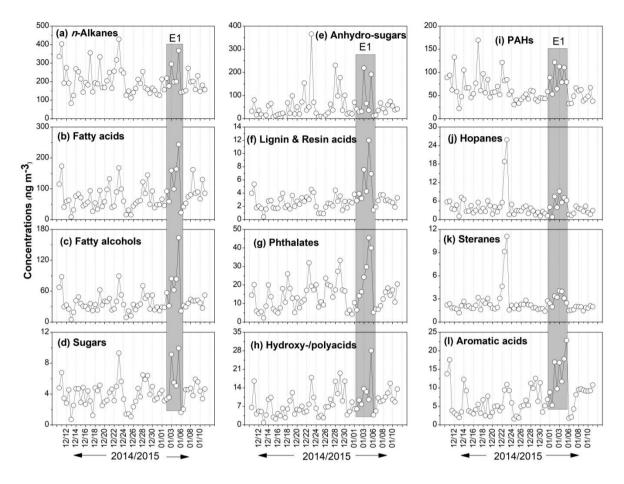
<sup>a</sup>Grimmer et al., 1983, <sup>b</sup>Ohura et al., 2004, <sup>c</sup>Bi et al., 2005, <sup>d</sup>Rogge et al., 1993a, <sup>e</sup>Oros and Simoneit, 2000



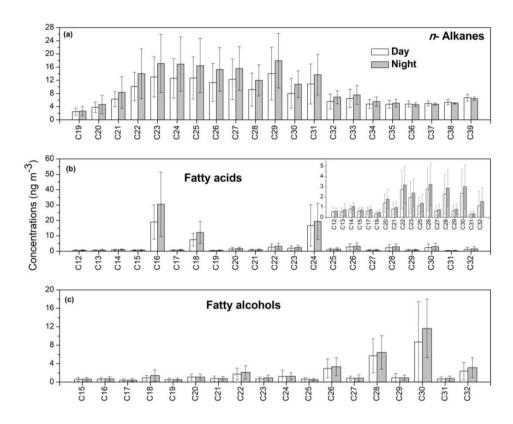








**Figure 3.** Temporal variations in the concentrations of organic compound classes detected in the Nanjing urban aerosols.





1181Figure 4. Molecular distributions of *n*-alkanes, fatty acids and fatty alcohols in PM2.51182aerosols collected from Nanjing, China. Inner panel of b represent correspondence1183carbon of fatty alcohols which concentrations are low.1184

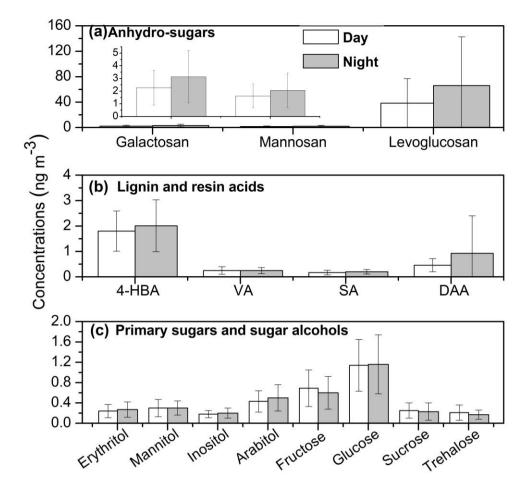


Figure 5. Molecular distributions of anhydro-sugars, lignin and resin acids and sugars/sugar alcohols in Nanjing aerosols. [4-HBA: 4-hydroxybenzoic acid, VA: vanillic acid, SA: syringic acid and DAA: dehydroabietic acid ].

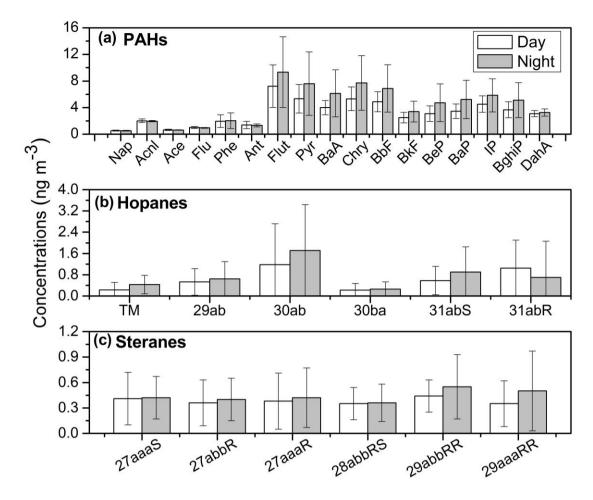


Figure 6. Molecular distributions of PAHs, hopanes and steranes in winter aerosols
from Nanjing, China. [Abbreviation of PAHs: napthalene, Nap; acenaphthylene, Acnl; acenapthene,
Ace; fluorene, Flu; phenanthrene, Phe; anthracene, Ant; fluoranthene, Flut; pyrene, Pyr;
benzo[a]anthracene, BaA; chrysene, Chry; benzo(b)fluoranthene, BbF; benzo(k)fluoranthene, BkF;
benzo(e)pyrene, BeP; benzo(a)pyrene, BaP; indeno(1,2,3-cd)pyrene, IP; benzo(ghi)perylene, BghiP;
Dibenzo[a,h] anthracene, DahA].

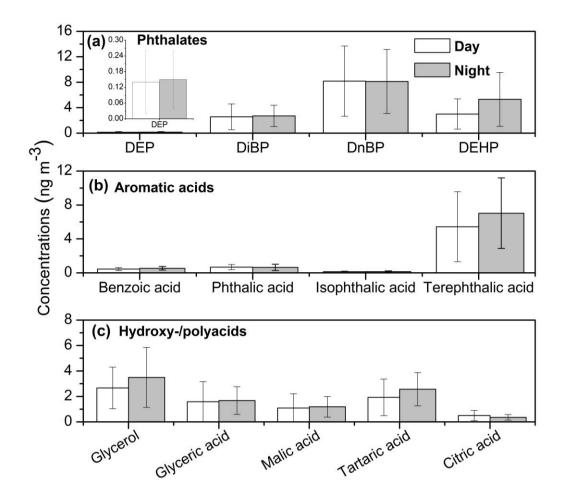
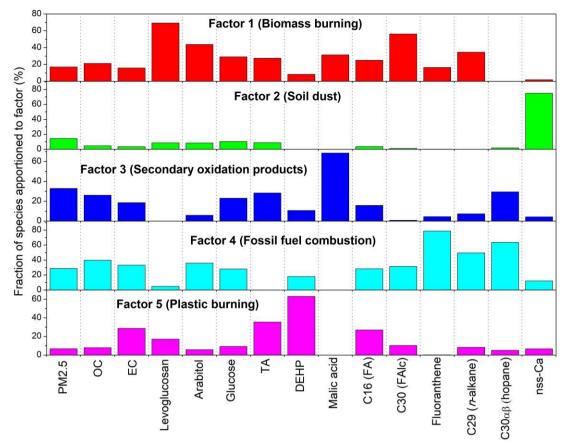
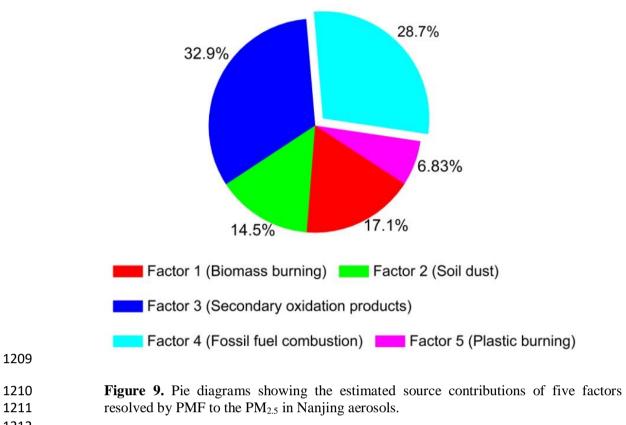


Figure 7. Molecular distributions of phthalates, aromatic acids and hydroxy-/polyacids in
Nanjing aerosols.



1203Figure 8. Composition profiles (% of total of each species) for the five factors resolved1204by PMF based on data from Nanjing winter aerosols from 11 December 2014 to 111205January 2015. [TA: terephthalic acid, DEHP: di-(2-ethylhexyl) phthalate, FA: fatty acid, FAlc: fatty1206alcohol].



1 Supporting information for

2 3	Characterization of organic aerosols from a Chinese Mega-City during winters predominance of fossil fuel combustion
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5	Md. Mozammel Haque <sup>1,2,3</sup> , Kimitaka Kawamura <sup>2</sup> , Dhananjay K. Deshmukh <sup>2</sup> , Cao
6	Fang <sup>1, 3</sup> , Wenhuai Song <sup>1,3</sup> , Bao Mengying <sup>1,3</sup> and Yan-Lin Zhang <sup>1,3*</sup>
7	
8 9 10 11 12 13 14	<ul> <li><sup>1</sup> Yale-NUIST Center on Atmospheric Environment, Department of Applied Meteorology, Nanjing University of Information Science and Technology, Nanjing 10044, China</li> <li><sup>2</sup> Chubu Institute for Advanced Studies, Chubu University, Kasugai 487-8501, Japan</li> <li><sup>3</sup>Key Laboratory of Meteorological Disaster, Ministry of Education &amp; Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters, Nanjing University of Information Science and Technology, Nanjing 10044, China</li> </ul>
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16	*Corresponding author: Yan-Lin Zhang
17	E-mail: dryanlinzhang@outlook.com
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**Table S1.** Concentrations of identified organic compounds (ng  $m^{-3}$ ) in the atmospheric aerosol samples (PM<sub>2.5</sub>) from Nanjing, China. 

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Compounds		Dayti	ime	Nighttime				
-	Mean	Min <sup>a</sup>	Max <sup>b</sup>	SD <sup>c</sup>	Mean	Min <sup>a</sup>	Max <sup>b</sup>	SD <sup>c</sup>
		n	-Alkanes					
C <sub>13</sub>	1.91	1.59	3.27	0.29	1.93	1.58	3.50	0.39
C <sub>14</sub>	1.24	0.89	2.16	0.27	1.17	0.93	1.79	0.22
C <sub>15</sub>	1.26	0.82	1.97	0.25	1.11	0.77	1.60	0.23
C <sub>16</sub>	0.35	0.00	0.88	0.27	0.32	0.00	0.96	0.28
C <sub>17</sub>	1.04	0.37	2.41	0.45	0.99	0.39	1.99	0.34
C <sub>18</sub>	1.11	0.32	2.66	0.48	1.22	0.58	2.78	0.48
C <sub>19</sub>	2.50	1.17	5.69	1.11	2.65	1.05	7.30	1.42
C <sub>20</sub>	3.79	1.09	7.99	1.60	4.64	1.01	15.5	2.76
C <sub>21</sub>	6.28	3.30	12.9	2.26	8.31	2.50	25.7	4.75
C <sub>22</sub>	10.1	5.02	27.5	4.31	14.0	2.77	33.7	7.53
C <sub>23</sub>	13.0	6.10	38.5	6.08	17.1	3.22	44.6	8.86
C <sub>24</sub>	12.6	6.59	36.0	6.03	16.9	2.94	46.1	8.27
C <sub>25</sub>	12.7	5.57	36.4	6.39	16.4	2.60	44.6	8.21
C <sub>26</sub>	11.3	4.97	28.9	5.77	15.3	3.43	34.9	6.62
C <sub>27</sub>	12.3	5.25	33.7	6.19	15.6	2.97	32.9	6.65
C <sub>28</sub>	9.19	4.80	29.3	5.01	11.9	3.14	24.2	4.74
C <sub>29</sub>	14.0	5.76	44.9	8.00	17.9	3.05	43.5	8.27
C <sub>30</sub>	8.03	4.36	25.9	4.51	10.8	3.20	21.8	4.06
C <sub>31</sub>	10.9	4.80	35.9	6.06	13.7	4.01	35.6	6.15
C <sub>32</sub>	5.56	3.09	14.9	2.28	6.87	4.18	13.1	1.98
C <sub>33</sub>	6.48	4.01	18.5	2.79	7.55	3.55	18.5	2.87
C <sub>34</sub>	4.80	3.57	9.94	1.36	5.56	4.04	10.2	1.40
C <sub>35</sub>	4.73	3.61	9.29	1.20	5.06	3.80	9.37	1.15
C <sub>36</sub>	4.77	4.10	8.02	0.91	4.61	3.95	6.78	0.57
C <sub>37</sub>	5.00	4.35	8.80	0.80	4.76	4.35	5.97	0.35
C <sub>38</sub>	5.35	4.67	9.46	0.85	5.01	4.75	5.53	0.20
C <sub>39</sub>	6.71	5.89	11.6	1.04	6.41	5.64	7.79	0.53
Subtotal	177	96.1	467	76.6	218	74.4	500	89.3
CPI (C <sub>20</sub> –C <sub>39</sub> )	1.28	1.18	1.32	1.32	1.24	1.10	1.37	1.35
		Plant	Wax Alkar	nes				
C <sub>23</sub>	1.64	0.30	6.72	1.19	1.80	0.00	4.98	1.56
C <sub>25</sub>	0.81	0.00	3.93	0.82	0.76	0.00	4.13	1.07
C <sub>27</sub>	2.02	0.00	5.28	1.18	2.00	0.00	5.69	1.65
C <sub>29</sub>	5.42	0.47	17.4	3.58	6.55	0.00	20.5	4.47
C <sub>31</sub>	4.08	0.25	15.5	2.87	4.82	0.00	18.2	3.54
C <sub>33</sub>	1.30	0.10	6.05	1.15	1.36	0.00	6.85	1.38
C <sub>35</sub>	0.16	0.00	0.86	0.26	0.22	0.00	1.04	0.33
C <sub>37</sub>	0.10	0.00	0.53	0.20	0.10	0.00	0.74	0.19
Subtotal	15.5	1.12	56.2	11.2	17.6	0.00	62.1	14.2

Compounds		Nighttime						
	Mean	Min <sup>a</sup>	Max <sup>b</sup>	SD <sup>c</sup>	Mean	Min <sup>a</sup>	Max <sup>b</sup>	SD
		F	atty acids					
C <sub>12:0</sub>	0.54	0.12	2.04	0.44	0.60	0.19	1.57	0.31
C <sub>13:0</sub>	0.55	0.11	2.08	0.40	0.74	0.03	2.38	0.57
C <sub>14:0</sub>	0.81	0.27	2.48	0.39	1.05	0.21	1.87	0.40
C <sub>15:0</sub>	0.61	0.19	1.88	0.34	0.69	0.05	1.50	0.29
C <sub>16:0</sub>	18.9	5.12	56.8	11.2	30.5	3.87	89.2	20.9
C <sub>17:0</sub>	0.60	0.18	1.99	0.37	0.77	0.11	1.80	0.36
C <sub>18:0</sub>	7.46	1.88	23.4	4.11	12.2	1.48	28.9	7.16
C <sub>19:0</sub>	0.36	0.08	1.07	0.23	0.48	0.02	1.41	0.30
C <sub>20:0</sub>	1.40	0.38	5.06	0.92	1.78	0.18	4.19	0.92
C <sub>21:0</sub>	0.81	0.14	2.87	0.57	0.96	0.03	2.32	0.55
C <sub>22:0</sub>	2.70	0.57	10.4	1.90	3.16	0.17	7.51	1.85
C <sub>23:0</sub>	1.94	0.43	8.47	1.55	2.38	0.10	5.41	1.34
C <sub>24:0</sub>	16.8	3.28	73.8	13.5	19.4	1.51	50.9	11.7
C <sub>25:0</sub>	1.11	0.16	4.34	0.82	1.37	0.00	3.45	0.85
$C_{26:0}$	2.75	0.37	10.6	2.08	3.22	0.10	9.07	2.09
$C_{27:0}$	0.62	0.09	1.94	0.46	0.74	0.00	2.07	0.54
$C_{28:0}$	2.27	0.38	10.3	1.95	2.86	0.23	6.88	1.81
$C_{29:0}$	0.63	0.09	2.88	0.56	0.79	0.00	2.10	0.49
C <sub>30:0</sub>	2.35	0.34	12.6	2.32	2.99	0.17	9.40	2.14
C <sub>31:0</sub>	0.26	0.00	1.38	0.25	0.35	0.05	1.17	0.28
C <sub>32:0</sub>	1.13	0.00	7.48	1.44	1.55	0.07	6.04	1.35
C <sub>18:1</sub>	0.36	0.04	1.62	0.33	0.39	0.00	1.66	0.37
C <sub>18:2</sub>	1.81	0.10	8.18	1.80	2.31	0.00	10.9	2.58
Subtotal	66.8	14.3	254	47.9	91.3	8.57	252	59.2
CPI (C <sub>20:0</sub> -C <sub>32:0</sub> )	5.41	4.24	6.50	0.54	5.52	4.29	13.2	1.51
		Fat	ty alcohols					
C <sub>12</sub>	1.74	0.26	5.76	1.12	1.99	0.16	7.33	1.38
C <sub>14</sub>	3.58	0.70	23.9	4.11	4.13	0.10	11.6	2.60
C <sub>15</sub>	0.60	0.22	1.81	0.39	0.66	0.05	1.33	0.38
C <sub>16</sub>	0.66	0.16	1.60	0.34	0.71	0.05	2.32	0.45
C <sub>17</sub>	0.42	0.10	1.30	0.26	0.46	0.13	1.98	0.34
C <sub>18</sub>	0.91	0.19	2.33	0.57	1.43	0.13	6.35	1.25
C <sub>19</sub>	0.54	0.15	2.09	0.35	0.56	0.15	1.34	0.30
$C_{20}$	1.08	0.18	2.71	0.66	1.11	0.27	3.06	0.63
C <sub>21</sub>	0.74	0.15	2.98	0.56	0.75	0.12	2.12	0.45
C <sub>22</sub>	1.76	0.47	6.37	1.26	2.11	0.13	6.92	1.44
C <sub>23</sub>	0.68	0.14	1.97	0.43	0.92	0.23	2.92	0.60
C <sub>24</sub>	1.22	0.19	7.86	1.31	1.28	0.16	3.50	0.77
C <sub>25</sub>	0.64	0.21	1.94	0.35	0.55	0.11	1.26	0.27
C <sub>26</sub>	2.99	0.18	10.7	2.03	3.34	0.68	7.77	1.93
C <sub>27</sub>	0.83	0.19	2.27	0.48	0.87	0.00	3.74	0.70
$C_{28}$	5.72	1.17	19.3	3.69	6.44	1.09	18.7	3.62

## **Table 1. (Continued)**

Compounds		Dayti			Nighttime				
	Mean	Min <sup>a</sup>	Max <sup>b</sup>	$SD^{c}$	Mean	Min <sup>a</sup>	Max <sup>b</sup>	SD	
C <sub>29</sub>	0.97	0.16	5.20	0.90	0.94	0.00	2.38	0.5	
C <sub>30</sub>	8.73	1.87	51.7	8.66	11.6	0.87	32.6	6.3	
C <sub>31</sub>	0.67	0.00	2.46	0.47	0.78	0.00	2.86	0.5	
C <sub>32</sub>	2.39	0.61	10.4	1.91	3.14	0.18	9.29	2.1	
Subtotal	36.9	7.30	165	29.9	43.8	4.61	129	26.	
CPI (C <sub>20</sub> -C <sub>32</sub> )	5.22	2.56	10.3	1.67	6.32	3.06	15.5	2.4	
		Anh	ydro-sugar	s					
Galactosan	2.26	0.65	7.47	1.36	3.13	0.48	7.75	2.0	
Mannosan	1.62	0.36	4.30	0.93	2.06	0.27	5.73	1.3	
Levoglucosan	38.4	4.79	179	38.6	66.0	4.96	354	76.	
Subtotal	42.3	5.8	191	40.9	71.2	5.71	367	80.	
			Sugars						
Erythritol	0.24	0.04	0.64	0.13	0.27	0.02	0.58	0.1	
Mannitol	0.30	0.09	0.91	0.17	0.30	0.07	0.70	0.1	
Inositol	0.18	0.05	0.40	0.07	0.20	0.05	0.43	0.1	
Arabitol	0.43	0.08	1.20	0.21	0.50	0.04	1.10	0.2	
Fructose	0.69	0.15	1.45	0.36	0.60	0.11	1.50	0.3	
Glucose	1.14	0.27	2.77	0.51	1.16	0.20	2.86	0.5	
Sucrose	0.25	0.05	0.71	0.15	0.23	0.06	0.87	0.1	
Trehalose	0.21	0.05	0.81	0.15	0.17	0.04	0.45	0.0	
Subtotal	3.44	0.78	8.89	1.75	3.43	0.59	8.49	1.8	
		Phtl	nalate ester	8					
Diethyl (DEP)	0.14	0.03	0.57	0.12	0.15	0.03	0.50	0.1	
Diisobutyl (DiBP)	2.56	0.59	8.54	2.03	2.72	0.63	7.19	1.6	
Di-n-butyl (DnBP)	8.18	1.30	20.0	5.52	8.12	2.08	22.8	5.0	
Di-(2-ethylhexyl) (DEHP)	3.00	0.74	10.9	2.38	5.32	1.06	21.3	4.2	
Subtotal	13.9	2.66	40.0	10.1	16.3	3.80	51.8	11.	
			l and Polya						
Glycerol	2.67	0.66	5.99	1.63	3.50	0.73	8.72	2.3	
Glyceric acid	1.59	0.28	8.34	1.58	1.68	0.34	4.30	1.0	
Malic acid	1.09	0.07	4.66	1.12	1.19	0.36	3.35	0.8	
Tartaric acid	1.93	0.51	8.57	1.44	2.57	1.02	5.74	1.3	
Citric acid	0.50	0.07	2.15	0.40	0.36	0.09	0.98	0.2	
Subtotal	7.78	1.59	29.7	6.17	9.30	2.54	23.1	5.7	
Dangoia acid	0.45		matic acids		0.54	0.24	1.22	0.2	
Benzoic acid	0.45	0.17	0.95	0.18	0.54	0.24	1.22	0.2	
Phthalic acid	0.68	0.24	1.24	0.30	0.65	0.28	1.80	0.3	
Isophthalic acid	0.13	0.03	0.33	0.08	0.14	0.05	0.40	0.1	
Terephthalic acid Subtotal	5.44 6.70	1.09 1.53	20.6 23.1	4.13 4.69	7.04 8.37	1.42 1.99	15.0 18.4	4.1 4.8	

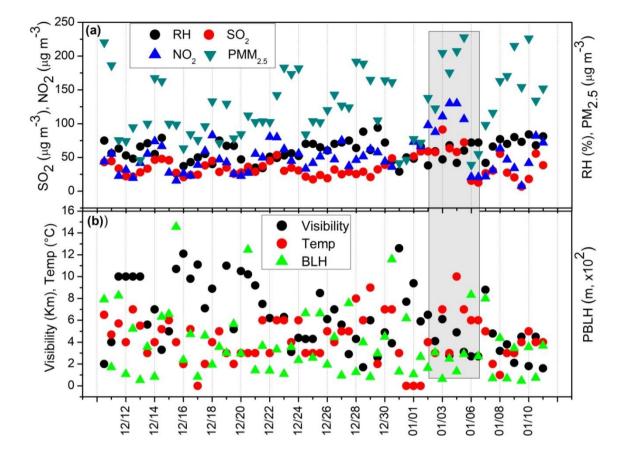
## **Table 1. (continued)**

## Table 1. (Continued) 42

Compounds		Dayti				Night		
-	Mean	Min <sup>a</sup>	Max <sup>b</sup>	SD <sup>c</sup>	Mean	Min <sup>a</sup>	Max <sup>b</sup>	SD
		Lignin an	d Resin pro	oducts				
4-Hydroxybenzoic acid	1.80	0.65	4.31	0.79	2.01	0.62	4.96	1.0
Vanillic acid	0.25	0.04	0.92	0.15	0.25	0.08	0.66	0.1
Syringic acid	0.17	0.04	0.57	0.09	0.20	0.05	0.43	0.0
Dehydroabietic acid	0.46	0.11	1.16	0.26	0.93	0.00	8.29	1.4
Subtotal	2.68	0.84	6.96	1.29	3.39	0.75	14.3	2.7
			PAHs					
Napthalene (Nap)	0.52	0.45	0.80	0.07	0.51	0.41	0.60	0.0
Acenaphthylene (Acnl)	2.01	1.80	3.47	0.28	1.95	1.66	2.17	0.1
Acenapthene (Ace)	0.64	0.56	1.22	0.11	0.61	0.55	0.69	0.0
Fluorene (Flu)	1.00	0.83	1.70	0.14	0.94	0.85	1.05	0.0
Phenanthrene (Phe)	1.95	0.68	4.52	0.96	2.03	0.33	4.62	1.1
Anthracene (Ant)	1.38	1.11	4.21	0.56	1.30	1.00	2.02	0.2
Fluoranthene (Flut)	7.21	3.01	18.2	3.19	9.34	2.14	27.6	5.3
Pyrene (Pyr)	5.33	2.15	11.4	2.15	7.59	1.32	26.8	4.′
Benzo[a]anthracene (BaA)	3.98	2.37	6.44	1.07	6.14	1.87	14.8	3.5
Chrysene (Chry)	5.31	2.50	9.11	1.78	7.70	1.24	17.0	4.
Benzo[b]fluoranthene (BbF)	4.88	2.42	8.40	1.52	6.88	1.51	14.4	3.
Benzo[k]fluoranthene (BkF)	2.47	0.94	4.28	0.80	3.39	0.84	7.19	1.:
Benzo[e]pyrene (BeP)	3.08	1.39	6.01	1.18	4.71	0.68	11.6	2.
Benzo[a]pyrene (BaP)	3.46	1.86	6.35	1.08	5.23	1.31	13.1	2.8
Indeno[1,2,3-cd]pyrene (IP)	4.52	2.85	8.01	1.24	6.50	2.11	24.5	4.2
Benzo[ghi]perylene (BghiP)	3.66	1.91	6.93	1.22	5.85	1.40	26.1	4.
Dibenzo[a,h]anthracene (DahA)	3.08	2.70	5.19	0.46	4.11	2.49	28.7	4.0
Subtotal	54.5	29.5	106	17.8	74.8	21.7	223	43
		F	Iopanes					
17 α(H)-22,29,30-Trisnorhopane	0.23	0.00	1.51	0.28	0.43	0.03	1.46	0.
17 α(H)-21 β(H)-30-Norhopane	0.53	0.03	2.65	0.50	0.64	0.03	3.39	0.0
17 α(H)-21 β(H)-Hopane	1.18	0.02	8.91	1.54	1.71	0.07	8.88	1.1
17 β(H)-21 α(H)-Hopane	0.22	0.01	1.12	0.25	0.26	0.00	0.93	0.2
17 α(H)-21 β(H)-22S-Homohopane	0.58	0.00	2.07	0.53	0.90	0.00	3.94	0.9
17 α(H)-21 β(H)-22R-Homohopane	1.05	0.01	4.58	1.05	0.70	0.00	7.52	1.
Subtotal	3.79	0.07	20.8	4.15	4.64	0.13	26.1	5.3
			Steranes					
ααα 20S-Cholestane	0.41	0.19	1.64	0.31	0.42	0.18	1.42	0.2
αββ 20R-Cholestane	0.36	0.18	1.74	0.27	0.40	0.17	1.43	0.2
ααα 20R-Cholestane	0.38	0.18	1.98	0.33	0.42	0.18	2.01	0.3
$\alpha\beta\beta$ 20R, 24S-Methylcholestane	0.35	0.19	1.13	0.19	0.36	0.16	1.25	0.2
αββ 20R, 24R-Ethylcholestane	0.44	0.22	1.06	0.19	0.55	0.23	2.34	0.3
ααα 20R, 24R-Ethylcholestane	0.35	0.17	1.60	0.27	0.50	0.16	2.65	0.4
Subtotal	2.29	1.13	9.15	1.56	2.65	1.08	11.1	1.9

<sup>a</sup>Minimum, <sup>b</sup>Maximum, <sup>c</sup>Standard deviation

CPI: carbon preference index:  $(C_{21}+C_{23}+C_{25}+C_{27}+C_{29}+C_{31}+C_{33}+C_{35}+C_{37}+C_{39})/(C_{20}+C_{22}+C_{24}+C_{26}+C_{28}+C_{30}+C_{32}+C_{34}+C_{36}+C_{38})$  for n-alkanes;  $(C_{20}+C_{22}+C_{24}+C_{26}+C_{28}+C_{30}+C_{32})/(C_{21}+C_{23}+C_{25}+C_{27}+C_{29}+C_{31})$  for fatty acids;  $(C_{20}+C_{22}+C_{24}+C_{26}+C_{28}+C_{30}+C_{32})/(C_{21}+C_{23}+C_{25}+C_{27}+C_{29}+C_{31})$  for fatty alcohols.





**Figure S1.** Diurnal variations of (a) SO<sub>2</sub>, NO<sub>2</sub>, Relative Humidity (RH), and PM<sub>2.5</sub> (b) Visibility, Temperature (Temp) and Planetary Boundary Layer Height (PBLH) in Nanjing aerosols during study period.

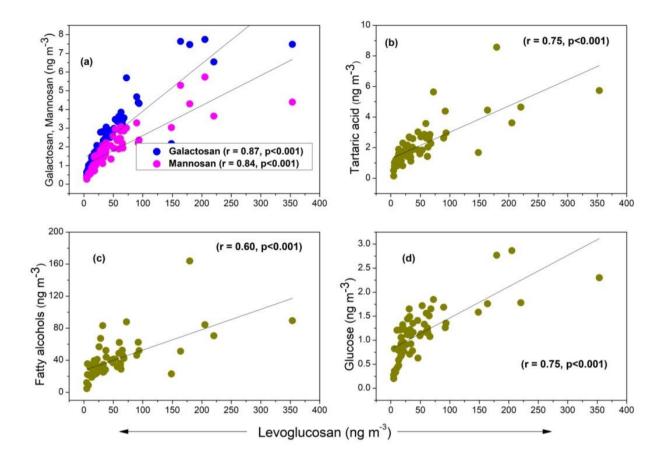
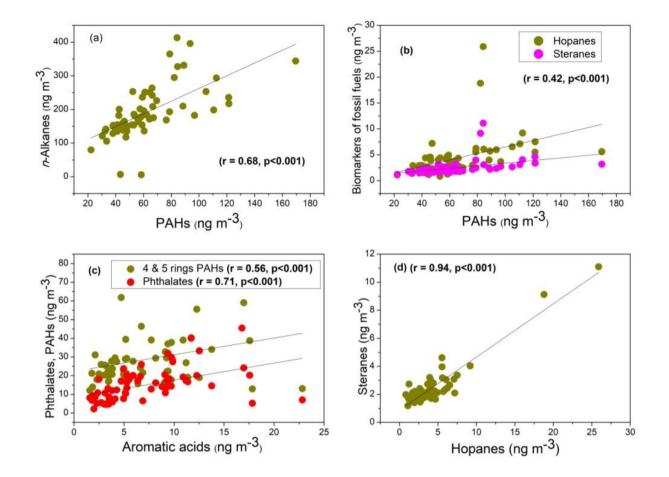


Figure S2. Correlation coefficients of levoglucosan with galactosan, mannosan, glucose, tartaric acid
 and fatty alcohols in urban aerosols in Nanjing.





59 Figure S3. Correlations between the concentrations of (a) PAHs and *n*-alkanes (b) PAHs and

60 hopanes/steranes (c) aromatic acids and phthalates/PAHs (d) hopanes and steranes.

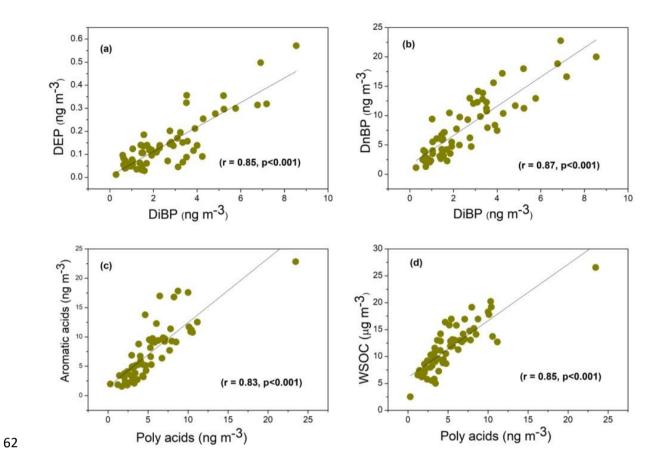


Figure S4. Relationships between the concentrations of (a) DiBP (di-iso-butyl phthalate) and DEP
(diethyl phthalate) (b) DiBP (di-iso-butyl phthalate) and DnBP (di-n-butyl phthalate) (c) poly acids
and aromatic acids (d) poly acids and WSOC.

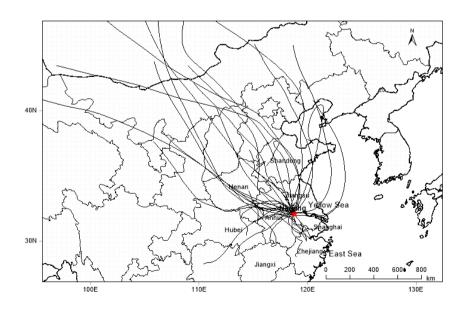


Figure S5. 48 hrs backward air mass trajectories arriving at 500 m above the ground level to Nanjing,
China during 11 Dec 2014–11 Jan 2015.