



# 1 An Evaluation of the Efficacy of Very High Resolution Air-Quality 2 Modelling over the Athabasca Oil Sands Region, Alberta, Canada

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7

## 8 Abstract

9 We examine the potential benefits of very high resolution for air-quality forecast simulations using a nested  
10 system of the Global Environmental Multiscale – Modelling Air-quality and Chemistry chemical transport model.  
11 We focus on simulations at 1km and 2.5km grid-cell spacing for the same time period and domain (the industrial  
12 emissions region of the Athabasca Oil Sands). Standard grid-cell to observation station pair analyses show no  
13 benefit to the higher resolution simulation (and a degradation of performance for most metrics using this  
14 standard form of evaluation). However, when the evaluation methodology is modified, to include a search over  
15 equivalent representative regions surrounding the observation locations for the closest fit to the observations, the  
16 model simulation with the smaller grid cell size had the better performance. While other sources of model error  
17 thus dominate net performance at these two resolutions, obscuring the potential benefits of higher resolution  
18 modelling for forecasting purposes, the higher resolution simulation shows promise in terms of better aiding  
19 localized chemical analysis of pollutant plumes, through better representation of plume maxima.

## 20 1 Introduction

21 Numerical modeling of the atmosphere in an Eulerian framework relies on discretization of the computational  
22 domain into a numerical grid. The horizontal grid cell size of atmospheric simulations can range in from hundreds  
23 of kilometers, to the metre-scale of Large Eddy Simulation models. For the purposes of this study, Very High  
24 Resolution (VHR) modelling refers to chemical transport models (CTMs) employing a horizontal grid cell spacing of  
25 1km or less. It is in this regime that the photochemical processes may be forecasted with resolved microphysics  
26 (e.g. Milbrandt and Yau, 2005(a,b)), and detailed particle and gas-phase chemistry, using currently available  
27 computer technology. VHR modelling is very computationally expensive, and also introduces its own set of  
28 challenges, such as the availability of surface boundary condition fields as the model grid cell size decreases.  
29 Moreover, it is not currently clear whether decreases in model grid cell size leads to more accurate results when  
30 compared to observations. The motivation behind VHR modelling in CTMs is to reduce the impact of diluting  
31 chemical concentrations - especially from averaging emission plumes into large grid cells – in order to better  
32 capture inhomogeneities in emission profiles, to better simulate local transport processes associated with terrain  
33 that would otherwise be smoothed by the use of a coarse grid, and to reduce truncation errors and hence achieve



34 better numerical accuracy (Jacobson, 1999).

35 We note here that while the terms “grid cell size” and “resolution” tend to be used interchangeably in the  
36 literature, this is not true in a precise mathematical sense; more formally, the ability to resolve features of size  
37  $2\Delta x$  requires a grid cell spacing of size  $\Delta x$ , and the highest spatial frequency which can be reconstructed from a  
38 discrete sampling of the latter grid cell spacing will be  $\frac{1}{2\Delta x}$ , the Nyquist wavenumber of the grid cell size  
39 discretization. Furthermore, atmospheric models may make use of energy dissipation techniques that broaden  
40 the size of resolvable wavelengths to  $3\Delta x$  to  $4\Delta x$  (Grasso, 2000; Pielke, 2001). Model resolution is thus a function  
41 of, but not equivalent to, grid cell size. Here, we define “resolution” as the ability of a model to clearly distinguish  
42 components of a predicted atmospheric variable, as a *function* of grid cell size.

43 The issue of a model to distinguish these features is also compounded by uncertainties in model inputs. For  
44 example, in a large rural setting, a large model grid cell will represent an area containing many roads, whose  
45 emissions will be averaged into one value per species per time. As the grid cell size decreases however, this  
46 averaging effect will be reduced, giving each road’s emissions more impact on the resulting concentrations in the  
47 grid cell containing it. However, the smaller grid cell size will also result in steeper concentration gradients in the  
48 model between adjacent grid cells, which can in turn result in numerical instabilities that contaminate predictions  
49 (Salvador, et al., 1999). At the same time, a reduction in grid-cell size can be shown formally to reduce  
50 inaccuracies in the discretization of the governing equations for atmospheric motion (Coiffier, 2011). Previous  
51 efforts to address these issues through variable grid size or structure in air quality modeling have not received  
52 sustained attention, and therefore most current air quality models use a uniform (albeit nested) grid cell size in  
53 applications (Garcia-Menendez *et al.*, 2010; Kumar *et al.*, 1997).

54 As resolution increases further, the shape of local topographical features (*e.g.* buildings and street canyons)  
55 become more important. Both the increased topographic complexity, and potential numerical instabilities can  
56 lead to differences in meteorological forcing as resolution increases (Wolke, *et al.*, 2012; Gego, *et al.*, 2005)). The  
57 contribution of meteorological uncertainties due to resolution become more significant, especially for secondary  
58 pollutants such as ozone (Valari and Menut, 2008) or secondary Particulate Matter (PM). For example, Markakis  
59 *et al.* (2015) in their analysis of 4 km CHIMERE simulations for the relatively flat terrain of Paris, France, suggested  
60 that model meteorological grid cell size does not significantly impact forecast accuracy. That may not have been  
61 the case, had their terrain been more complex. In contrast, Queen and Zhang (2008) observed considerable  
62 meteorological sensitivity to the more complex terrain in their 4 km resolution Community Multiscale Air Quality  
63 (CMAQ, EPA 1999) model simulations simulation over the Appalachian Mountains in the eastern United States.

64 A number of studies, employing various approaches, have tried to evaluate the benefits of higher resolution  
65 simulations by quantifying sub-grid variability by employing larger model grid cell sizes (Vardoulakis *et al.*, 2003;



66 Ching *et al.*, 2006; Pepe *et al.*, 2016). These studies have often demonstrated that failure to account for higher  
67 resolution features may result in mischaracterization of concentrations or health impacts (Isakov *et al.*, 2007).  
68 Population exposure studies using air pollution models may be affected by resolution in a more complex fashion,  
69 given that both the predicted field (a pollutant with a known health impact) and the data to which the predicted  
70 field is to be linked (the human population) both have resolution dependencies.

71 Terrain and meteorology are not the only factors that contribute to greater uncertainties as horizontal grid cell  
72 size is reduced – for example, the ability of the model to locally resolve emission fluxes may also become a factor.  
73 This may result in improved or deteriorated model performance as the size of the grid cells decrease. Gridded  
74 model emissions may have an intrinsic resolution dependence in the underlying spatial disaggregation fields, and  
75 this can contribute to uncertainties and errors in emissions as grid cell size is decreased. For instance, Valari and  
76 Menut (2008) found that the discrepancy between their modelled and observed concentrations grew, rather than  
77 shrank, in response to decreases in grid cell size from 48km to 6 km, and they associated these results with  
78 changes in the resulting local emission fluxes. They showed that in their model setup, with regard to ozone, a grid  
79 cell size was reached (12x12 km<sup>2</sup>) where errors in inputs (errors in the emission inventory, wind direction, *etc.*)  
80 outweighed the importance of other sources of model error such as grid cell size. The authors however noted that  
81 Paris' ozone photochemistry very often resides on the transition between a NO<sub>x</sub><sup>-</sup> sensitive and a VOC-sensitive  
82 regime (Sillman *et al.*, 2003). These are chemical conditions which can alternatively produce or titrate ozone, and  
83 hence has a degree of sensitivity to precursor emissions, and therefore, also, to any errors in those emissions.  
84 Conversely, in a 3-level nested 9- to 3- to 1- km MM5-CMAQ simulation over Osaka, Japan, Shrestha *et al.*, (2009)  
85 found that ozone comparisons to observations improved as the grid resolution increased. This was also the case  
86 for a 36- to 12- to 4-km nested MM5-CMAQ simulation over Houston, USA (Ching *et al.*, 2006), where the ozone  
87 forecast improvement associated with higher resolution was attributed to the ability of the finer grid cell size  
88 model nests to adequately resolve high concentrations of freshly emitted NO<sub>x</sub> and hence allow for more local  
89 ozone titration. The latter process might not take effect until the grid cell size is sufficiently fine to resolve the NO<sub>x</sub>  
90 source patterns (*i.e.*, a level where traffic and industrial sources can be identified.) This titration was not seen until  
91 they decreased their grid cell sizes to 2 km and smaller. Stroud *et al.* (2011) noted a similar grid cell size  
92 dependent chemical impact on model performance, where secondary organic aerosol formation maxima were  
93 better simulated with a 2.5km grid cell size model than a 10km grid cell size model. In general, the impact of  
94 resolution on model performance appears to depend on a number of factors, such as the terrain, spatial  
95 distribution of sources, pollutant of concern, season, *etc.* (Arunachalam *et al.*, 2006; Queen and Zhang, 2008; Dore  
96 *et al.*, 2012).

97 Whether or not simulated quantities improve with reference to observed quantities as applications approach VHR  
98 grid cell sizes, the resulting *distribution* of the quantities tends to be more physically realistic (Dore *et al.*, 2012;  
99 Salvador *et al.*, 1999; Valari and Menut, 2008).



100

101 The benefits for model performance with increased spatial resolution are unclear, based on the above literature.  
102 However, most papers converge towards the following qualitative conclusions:

- 103 1. The impact of terrain topology on meteorological forcing as grid cell size decreases can dwarf the impact of  
104 a more accurate spatial apportionment of the corresponding emissions.
- 105 2. Decreases in grid cell size result in a more realistic spatial distribution of chemical species, whether or not  
106 model performance is improved.
- 107 3. Uncertainties of spatial and temporal emissions allocation have an increasing influence on overall model  
108 uncertainty as model grid cell size decreases.

109 The 1980's saw several studies in which the potential impacts of wind direction errors on dispersion model  
110 performance were examined. Fox (1981) noted that pairing of model output at observation station locations could  
111 be done as a function of both time and space, as a function of time (combining the data across all stations), as a  
112 function of space (combining all times, at each station location), or without any pairing (observations and data are  
113 compared as cumulative frequency distributions). The accuracy of regulatory dispersion models in the early 1980's  
114 was such that Fox (1984) concluded that model and observation values paired in time and space exhibited "little to  
115 no correlation" and discussed potential errors associated with transport. Poor correlations were also noted by  
116 Hanha (1988), reporting on the first generation of reactive-transport models, stated "wind direction errors are the  
117 major cause of the poor agreement in hourly predictions of concentrations at short distances downwind of point  
118 sources," as well as describing metrics for air-quality model evaluation. Hanha (1988) also noted that model  
119 predictions could be offset in space and time relative to observations, leading to poor performance statistics,  
120 despite a greater degree of similarity of behavior if the offsets are taken into account. Errors in wind-field  
121 modelling were described as the main source of error in simulations of plumes by Carhart *et al* (1989), again  
122 showing how better agreement resulted when model and observations were unpaired in time and/or space, and  
123 noted that other metrics such as maximum plume width might better represent model performance. Lee (1987)  
124 found that small perturbations in space and time could result in poor correlations, despite similar histogram  
125 distributions of both model and observations.

126 More recently, Kang *et al.*, (2007) examined the concept of using the area of the limiting resolution of the model (2  
127 to  $3\Delta x$ , where  $\Delta x$  is the horizontal grid cell size) to weight or spatially average model evaluation metrics for a single  
128 grid-cell size, noting how the model's rated ability to capture high concentration events ("hits") was increased when  
129 the limiting resolution of the model was incorporated into the performance metrics. However, the use of averaging  
130 may mask the potential for a model with a small grid cell size to contain both the desired plume magnitude, as well

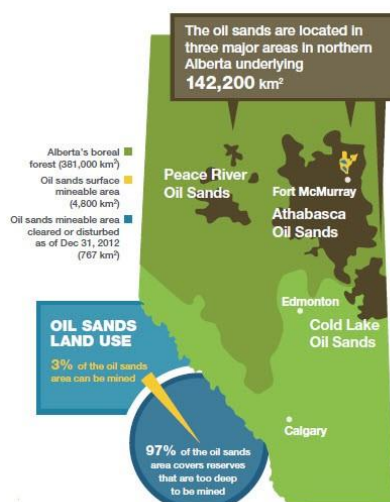


131 as much lower concentrations, within the same larger representative area, masking the potential impact of the  
132 reduction in grid cell size.

133 We expand on this concept to evaluate the impact of model grid cell size in the context of an equivalent area about  
134 a given observation location. We examine area-weighted metrics in the form of averages over roughly equivalent  
135 areas for different model grid cell sizes, and also use the *a priori* knowledge of the observations to determine  
136 whether the closest match to observations may be found within an equivalent area. We show that the latter metric  
137 demonstrates a positive impact of model grid cell size on simulation results, while more simple paired comparisons,  
138 and averages over similar areas, mask these benefits.

139 We examine the impact of grid cell size on model performance in a region of intense petrochemical extraction and  
140 upgrading, the Athabasca Oil Sands Region (AOSR). The AOSR refers to the northernmost of three large bitumen  
141 deposits located the northern part of the province of Alberta in Canada; the Athabasca, Peace River, and Cold Lake  
142 areas. Together these areas cover 142,200 km<sup>2</sup> in total, and constitute the third largest oil reserves in the world  
143 (Government of Alberta, 2016), as shown in Figure 1. The oil sands sector is the second largest source of SO<sub>2</sub> and  
144 the third largest source of industrial NO<sub>x</sub> in the province of Alberta. This sector is also a significant source of  
145 industrial PM, CO, and Volatile Organic Compound (VOC) emissions (Zhang *et al.*, 2018), from a variety of source  
146 types and industrial processes (*e.g.* open pit mine tailings ponds, large diesel fleets, bitumen upgrading facilities).  
147 As is described below, very high resolution emissions data are available for these sources, and emissions take place  
148 in a region with significant topography, hence the region provides a good test case for the relative impact of grid  
149 cell size on air-quality model prediction results.

150 We describe next our model, the simulation domains and forecasting setup, the emissions data, our evaluation  
151 methodology, and the results of our analysis.





153 Figure 1. Map showing the Oil Sands regions (Government of Alberta, 2016).

154



## 155 2 Methodology

### 156 2.1 GEM-MACH

157 The air-quality model used in this work is Environment and Climate Change Canada's (ECCC) Global Environmental  
158 Multiscale – Modelling Air-quality and Chemistry (GEM-MACH) model, which has been in use as Canada's  
159 operational air-quality forecast model since 2009 (Moran *et al.*, 2010). GEM-MACH is an on-line model, that is,  
160 both meteorological and chemistry processes are handled within a single model. The chemical processes reside  
161 within the physics module of the Global Environmental Multiscale meteorological forecast model (Côté, *et al.*,  
162 1998(a,b)), originate with Environment Canada's earlier off-line model (A Unified Regional Air-quality Modelling  
163 System; AURAMS, Gong *et al.*, 2006), and include process representation for particle microphysics (Gong *et al.*,  
164 2003(a,b)), inorganic heterogeneous chemistry (Makar *et al.*, 2003), aqueous phase chemistry, in-cloud and below-  
165 cloud scavenging (Gong *et al.*, 2006), and secondary organic aerosol formation (Stroud *et al.*, 2011). GEM-MACH  
166 employs a sectional approach to represent the size distribution of atmospheric particles, with 12-bin (Makar *et al.*,  
167 2015(a,b); Gong *et al.*, 2015) or 2-bin configurations (Moran *et al.*, 2010). The latter configuration is designed for  
168 maximum computational efficiency, with re-binning to the 12-bin distribution for key particle microphysics  
169 processes, in order to improve accuracy. Here, the 2-bin version of the model has been used, the main focus of the  
170 work being the impact of horizontal grid cell size on model results. Eight aerosol chemical components are resolved  
171 in GEM-MACH (sulphate, nitrate, ammonium, elemental carbon, primary organic aerosol, secondary organic  
172 aerosol, sea-salt and crustal material). In the present study, we make use of GEM-MACH v.1.5.1, described in more  
173 detail in Makar *et al.*, 2015(a,b), employing 80 levels in a hybrid vertical coordinate system extending up to 0.1hPa  
174 (~30km).

### 175 2.2 Model Setup

#### 176 2.2.1 Grid Nesting

177 Four levels of nesting have been employed in our simulations, shown in Figure 2(a). This version of GEM-MACH  
178 operates on a rotated latitude-longitude coordinate system wherein the position of the coordinate system poles  
179 may be set by the user, allowing rotations of the grid with decreasing grid cell size during nesting. The outermost  
180 nested grid corresponds to the westernmost  $\frac{2}{3}$  of the operational GEM-MACH forecasting domain, with a 10km  
181 grid cell size. Within that is nested a 10km grid cell size western Canada domain (yellow region, Figure 2(a)) which  
182 has been rotated to match the horizontal orientation of the Rocky Mountains, and which makes use of a similar  
183 double-moment microphysics scheme (Milbrandt and Yau, 2005 (a,b)) as the two innermost domains – this  
184 intermediate nested grid was constructed in order to allow hydrometeors to be passed from the western Canada  
185 10km domain to the two innermost domains with a minimum of spin-up time required for the inner domain's  
186 meteorology. The third nested grid inwards (green region, Figure 2(a)) is the 2.5km grid cell size domain, which  
187 covers most of the Canadian provinces of Alberta and Saskatchewan. This grid will hereafter be referred to as the  
188 OS2.5km domain. The fourth and final nested grid (blue square, Figure 2(a)) is a 1km grid cell size domain, roughly  
189 centered over and covering the immediate environs of the Athabasca Oil Sands, and is referred to hereafter as the  
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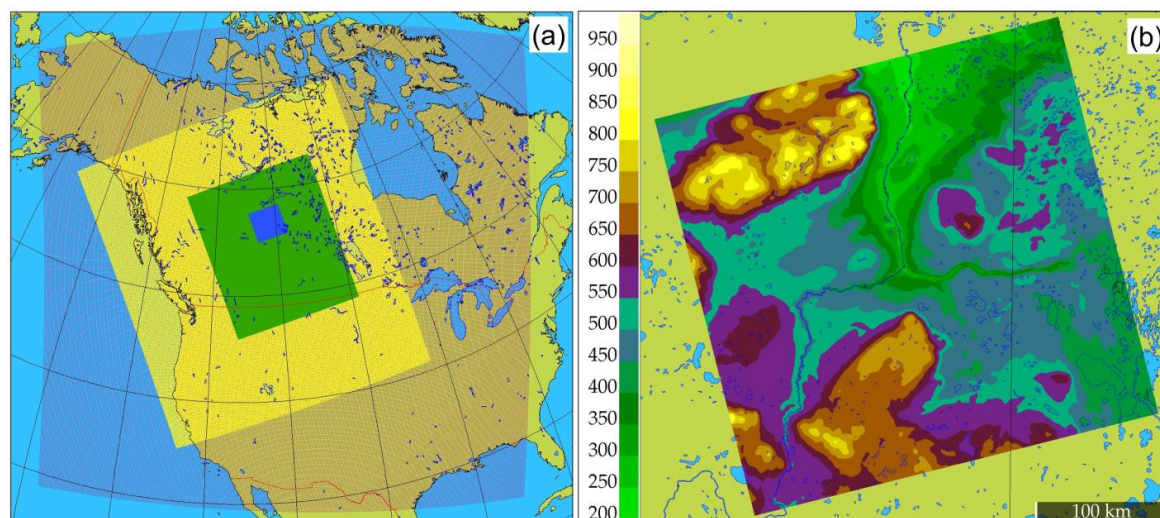
191 OS1km model. This last nest also shows the region within which 22 instrumented aircraft flights were conducted  
192 during August and September of 2013, providing a unique measurement dataset for our evaluation of the  
193 OS2.5km and OS1km model output for the same time period. Table 1 provides details on the horizontal  
194 dimensions of each of these nested domains, and the duration of the simulations on each grid. All four model  
195 nests make use of the same vertical coordinate and levels. Figure 2(b) shows the topography of the 1km domain  
196 in detail; the region to be modelled is situated in a broad river valley, with a local vertical relief of 750 m.  
197 Significant wind shears and frequent inversions are observed in the region, and part of our interest in 1km grid cell  
198 size simulations is to determine the extent to which these local features may influence model prediction accuracy.

### 199 2.2.2 Simulation Cycling Strategy

200 The forecasts run in a repeating cycle from new meteorological analyses on every 36 hours, and hence are  
201 constrained by observations to present chaotic drift of the forecast over an extended simulation. The outermost  
202 10km domain carries out a 36 hour forecast, of which the first 6 hours is discarded as spin-up; the final 30 hours is  
203 used as initial and boundary conditions for the rotated 10 km grid cell size domain (the OS10km domain). As  
204 noted above, the OS10km domain makes use of a microphysics package matching that of the subsequent higher  
205 resolution simulations for better matching of cloud fields at those resolutions. An OS10km simulation of 30 hours  
206 is then carried out, with the first 6 hours being discarded as spin-up, and the latter 24 hours forming the initial and  
207 boundary conditions for the 2.5 km grid cell size OS2.5km simulation. The OS2.5km simulation is of 24 hours  
208 duration. The OS1km simulation covers the same 24 hours (and hence both 2.5km and 1km simulations start from  
209 the same OS10km initial conditions at for every 24 hour forecast), with the 2.5km simulation providing boundary  
210 conditions thereafter to the OS1km model. Continuity between 24 hour forecasts is thus maintained at the level of  
211 the outermost nest. The outermost domain is cycled every 12 hours starting at 0UT and 12UT; however, we have  
212 selected the set of contiguous OS2.5km and OS1km 24 hour simulations starting from the 12UT continental  
213 domain for our comparison.

214 Meteorological boundary conditions for lowest resolution GEM-MACH simulations are taken from operational  
215 GEM forecasts, in turn driven by data assimilation analyses performed at the Canadian Meteorological Centre.





216  
 217 Figure 2. (a) The four nested domains of the GEM-MACH simulations. From outermost to innermost domains,  
 218 these are CONT10km (outermost, red dots), OS10km (yellow), OS2.5km (green), and OS1km (blue). The model  
 219 simulations from the two innermost domains are the focus of the present study. (b) Topography in the OS1km  
 220 domain centred on Fort McMurray, Alberta (m agl). The coloured area corresponds to the central blue domain in  
 221 (a).

222 Table 1. Nested Domain Specifications

Parameter	CONT10km	OS10km	OS2.5km	OS1km
Grid Size	520x520	318x280	643x544	318x324
Time step size (s)	300	300	60	20
Hours simulated	36	30	24*	24*

223 \*Note that both OS2.5km and OS1km output frequency was hourly.

### 224 2.3 Model Emissions

225 All emissions data used in this work are described in Zhang *et al.* (2018). These emissions data include (a) direct  
 226 observations of stack-specific hourly emissions measured by Continuous Emission Monitoring Systems (CEMS), (b)  
 227 regional emissions inventory data from the Cumulative Environmental Management Association (CEMA) - which  
 228 had the most detailed stack and process level emission data for the AOSR facilities, including emissions from mine  
 229 faces, tailings ponds, and the off-road mining fleet), (c) the 2010 Canadian Air Pollutant Emissions Inventory (APEI)  
 230 - which is the most comprehensive national emissions inventory, and which has the largest spatial coverage for



231 area sources for areas outside the AOSR, and (d) the 2013 National Pollutant Release Inventory (NPRI) (a subset of  
232 the APEI) that is based on emissions reports from large industrial facilities.

233 These emissions data sets primarily describe emissions of pollutants known as criteria-air-contaminants (NO<sub>x</sub>,  
234 VOCs, SO<sub>2</sub>, NH<sub>3</sub>, CO, PM<sub>2.5</sub>, and PM<sub>10</sub>) for *major-point sources* (*i.e.*, large emission stacks) and *area sources*. Area  
235 emissions sources typically consist of multiple small mobile sources spread over a large area (*e.g.*, off-road  
236 vehicles), large flux sources such as mine tailings settling ponds or mine faces, and/or large numbers of small  
237 stacks for which no stack characteristic data (volume flow rates, temperatures of emissions, stack diameters),  
238 needed to estimate plume-rise heights, are available.

239 Major-point sources are represented by a single geographical (latitude, longitude) pair of coordinates, and are  
240 assigned to the grid cell in which the point is located. These sources are likely to be the most impacted by model  
241 horizontal grid cell size, as even a large major-point source plume, which in reality may only occupy an emissions  
242 area on the order of 100 m<sup>2</sup>, is represented by a flux spread over an entire grid cell. A plume from a major point  
243 source within a 2.5km grid cell will thus be immediately diluted to a size of 6.25km<sup>2</sup> upon emission, whereas the  
244 same source with a 1km grid cell will have a cross-sectional horizontal extent of 1km<sup>2</sup>. At the same time, higher  
245 resolution may require a much more accurate representation of model winds close to the sources to maintain  
246 accuracy in evaluation metrics dependant on plume position such as correlation – a wider plume being more likely  
247 to at least partially intersect a monitoring station location than a narrower plume.

248 Area sources that are large compared to both model grid cell sizes (2.5km and 1km) can be expected to be  
249 approximated by model grid cells of both resolutions, and are thus expected to be less impacted by model  
250 resolution than emissions from point sources. However, smaller area sources (*i.e.* areas intermediate between  
251 2.5km and 1km to the side) may be better resolved, and hence have less dilution and higher downwind  
252 concentrations, when higher spatial resolution is employed.

253 In the AOSR, approximately 95% of the SO<sub>2</sub> emissions originate in major-point sources, while NO<sub>2</sub> is  
254 apportioned ~40% to major-point sources and ~60% to area sources (Zhang *et al.*, 2018). Consequently our *a*  
255 *priori* expectation is that the impact of the resolution change will be strongest for species like SO<sub>2</sub>, less strong for  
256 species like NO<sub>2</sub> that are emitted in part by point sources, but may also be apparent for other species and  
257 secondary products, such as O<sub>3</sub>.

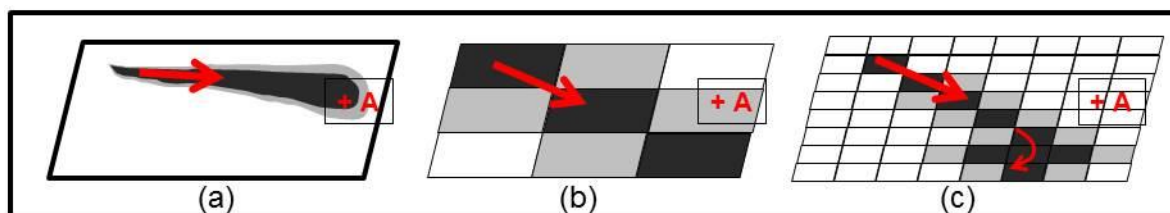
#### 258 2.4 Model Evaluation Methodology and Metrics

259 Comparisons between air-quality models and observations usually take the approach of comparing observation  
260 and model-generated values paired in time and space, from the observation location and corresponding model  
261 grid-cell respectively. We refer to this approach hereafter as our “standard” evaluation, for both 2.5km and 1km  
262 simulations. However, we note additional factors aside from grid-cell size may influence the outcome of air-



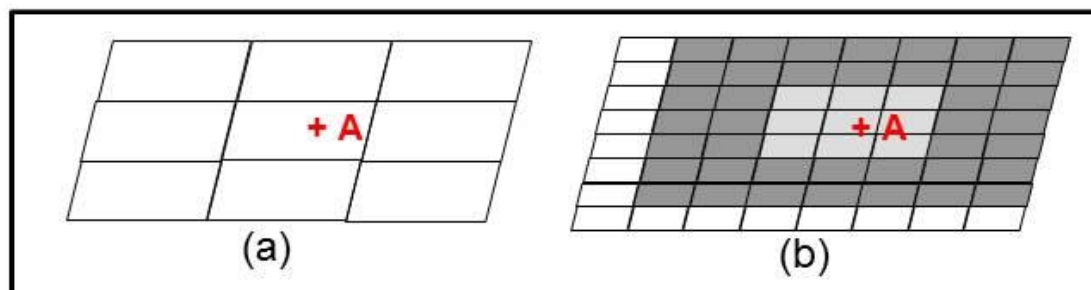
263 quality model evaluations. For example, the relative skill of the meteorological component of the air-quality  
264 model will depend in part on the density of meteorological observation data, incorporated into the model via data  
265 assimilation, for the construction of the model's initial meteorological state. This in turn will influence the local  
266 skill of the model's predicted wind directions and hence the skill of its plume transport. The simulations carried  
267 out here focus on the Fort McMurray area, where the nearest available upper air meteorological sounding site is  
268 located at the ECCC Stony Plain station, located approximately 500km south-west of the study area. The  
269 advantage of higher resolution simulations (*e.g.*, reduced numerical error associated with the discretization of  
270 transport operators, and better treatment of local topographic influences) may thus be offset by errors in the  
271 predicted *large scale* flow.

272 While meteorological model synoptic-scale forecast errors may manifest themselves locally as errors in the  
273 direction of winds driving local plume transport, other advantages may result from the use of higher resolution  
274 air-quality models. Since lower resolution models *de facto* instantaneously redistribute plumes emitted from  
275 large stack sources over a larger area, such artificial diffusion will reduce the model's ability to accurately simulate  
276 concentration maxima, and the resulting chemistry, within simulated model plumes. However, the spatial extent  
277 of a plume in a model employing a large horizontal grid cell size may be such that its existence may be captured at  
278 discrete observing sites. In contrast, forecast plumes in models with smaller horizontal grid cell sizes may  
279 correctly capture plume magnitude and chemical behaviour, but may be more subject to errors in the larger scale  
280 wind direction. To illustrate this point, Figure 3 shows a conceptual diagram of an actual plume, a large grid cell  
281 size model plume, and a small grid cell size model plume, where the latter two simulated plumes are both subject  
282 to the same synoptic-scale error in wind forecast direction (indicated by large red arrows; the smaller red arrow in  
283 Figure 3(c) indicates the impact of local forcing predicted for the second model). Observation station "+A" is  
284 located downwind, and records the presence of the actual plume (Figure 3(a)). The coarse grid cell size simulated  
285 plume (Figure 3(b)), despite the error in the forecast wind direction, captures part of the observed plume in the  
286 resulting time series at the observation station location. In contrast, the small grid cell size plume (Figure 3(c)),  
287 despite resolving the plume shape (and plume-internal chemistry) to a greater degree than the coarse grid cell size  
288 simulated plume, fails to record the presence of the plume at the observation location. A simple paired  
289 observation-model time series evaluation would thus suggest that the former model has superior performance to  
290 the latter model in this example, despite the latter model having created a more "realistic" plume in terms of the  
291 maximum concentration reached, albeit in the wrong location, due to synoptic-scale forecast wind direction error.  
292 In this particular instance, the magnitude of the smaller grid cell size simulated plume is more realistic than that of  
293 the coarse grid cell size plume, but this improvement will not be captured in a standard evaluation analysis. Shifts  
294 in plume location across individual grid cells away from the location of an *in-situ* observation are more likely grid  
295 cell size decreases. In this example, a standard analysis would impose a more stringent expectation on the smaller  
296 grid cell size simulation to correctly identify plume locations.



297  
298 Figure 3. Schematic comparison of surface concentration contours and model grid cell values of a transported pollutant  
299 plume from a large stack (termed a "point" source). Wind direction shown by red arrows. Monitoring station location  
300 marked by "+A". (a) Actual plume. (b) Coarse grid cell size air-quality model prediction. (c) Fine grid cell size air-quality model  
301 prediction. Note the change in wind direction between observations (a) and simulations (b,c) associated with errors in the  
302 forecast of the synoptic wind.

303 In order to attempt to evaluate the potential for higher resolution simulations to provide potential benefits that  
304 are masked by synoptic scale forcing errors, in addition to the standard analysis, we perform additional analyses  
305 that examine the model's ability to resolve plumes in the *vicinity* of the observation station. This is illustrated in  
306 Figure 4.



307  
308 Figure 4. Scale diagram of the same region in (a) 2.5km grid cell size simulation and (b) a 1km grid cell size simulation.  
309 Region enclosed by light grey / dark grey shading in (b) represents the nearest nine / forty-nine 1km gridpoints surrounding  
310 the observation location "A".

311 Figure 4(a) shows an observation station enclosing the nine nearest-neighbour model grid-cells for a 2.5km grid  
312 cell size, while Figure 4(b) shows the corresponding 1 km grid cell size map, with the nine nearest-neighbour  
313 model grid-cells shown in light grey, the forty-nine nearest grid cells shown in the region enclosed in dark grey.  
314 Figure 4(a) encloses a region of 56.25 km<sup>2</sup> (7.5x7.5 km), while the light grey region in Figure 4(b) encloses 9km<sup>2</sup>,  
315 and the darker grey region encloses 49 km<sup>2</sup>.

316 As noted above, in a formal mathematical sense, the smallest region resolvable by an Eulerian grid model is twice  
317 the size of the model grid cell size (relating to the Nyquist frequency of the model); hence the smallest resolvable  
318 feature spans two model grid cells in each direction. However, in a practical sense, a total of nine grid cells  
319 centred on the observation station must be used to allow a boundary of two grid cells in any direction. Sampling



320 any or all of the 9 grid cells in Figure 4(a) may thus be said to be representative of the model's ability to simulate  
321 events occurring at discrete location "+A". The closest corresponding sampling region available to the 1 km model  
322 (Figure 4(b)) is shown in dark grey. The light grey region of Figure 4(b) represents the closest 1 km grid cell size  
323 region that corresponds to the single 2.5 km grid cell in which the observation station is located in Figure 4(a). We  
324 attempt to ascertain model performance in these approximately equivalent regions around each observation  
325 station, in the analysis that follows.

326 Our approach follows two steps:

327 (1) From the 2.5km simulation, in addition to the predicted model value at the grid-cell containing the  
328 observation location, we determine the model grid-cell value in the nine grid-cells surrounding the  
329 observation station location which has the closest value to that observed at the station. This represents the  
330 model's "best estimate" of the value at the observation station location itself, to the model's ability to resolve  
331 features at 2.5km grid cell size.

332 (2) From the 1km simulation, in addition to the model value at the grid-cell location, we select the closest value to  
333 the observation value from: (a) the nearest nine grid-cells to the observation station location, and (b) the  
334 nearest 49 grid-cells to the observation station location. The former represents the model's "best estimate"  
335 of the value at the observation station location itself, while the latter represents the 1km model's best  
336 estimate in the closest equivalent region to the limiting resolution of the 2.5km model.

337 Comparing the resulting statistical measures of each of these selected values with observations, in addition to the  
338 standard analysis, thus evaluates the model's best attempt to resolve features for the specified grid cell size, and  
339 allows cross-comparison of model performance within nearly equivalent areas. Cross-comparing the statistical  
340 values for the different regions described above shows the model's ability to resolve features such as plumes from  
341 the standpoint of the region represented at the different grid cell sizes. If synoptic-scale transport direction errors  
342 creates situations similar to that depicted in Figure 3(a), a standard comparison of error would be expected to  
343 show little benefit to higher resolution. However, the "best model estimate" comparisons would capture the  
344 ability of the higher resolution model to more accurately simulate the magnitude of the plume, if not its spatial  
345 location. Each of these selection procedures will be employed in the surface concentration comparisons which  
346 follow.

347 We evaluate our model simulations against observations made at surface monitoring networks in the vicinity of  
348 the Athabasca oil sands, and aboard an instrumented aircraft, the National Research Council of Canada Convair.  
349 For the surface monitoring data, hourly time series of model output were matched to station time series using the  
350 different strategies described above. For the aircraft observations, we extract model values through temporal and  
351 spatial interpolation to the aircraft's position during the flights and only perform the standard analysis, as well as



352 examining the behaviour of the two simulations along cross-sections corresponding to the flight paths.

353 Our statistical metrics for evaluation are common to many other air-quality applications, and were computed  
354 using the ‘modstat’ function from the OpenAir R package (Carslaw and Ropkins, 2012). The statistics calculated  
355 here include: mean bias (MB; perfect score: zero), mean absolute gross error (MGE; perfect score: zero),  
356 normalised mean bias (NMB; perfect score: zero), normalised mean gross error (NMGE: perfect score: zero), root  
357 mean squared error (RMSE; perfect score: zero), correlation coefficient ( $r$ , perfect score: unity), coefficient of  
358 efficiency (COE: a perfect score is unity, a zero/negative score means the model is equivalent/less predictive  
359 than the mean of the observations), and the index of agreement (IoA; perfect agreement is unity, and -1  
360 indicates no agreement or little variability).

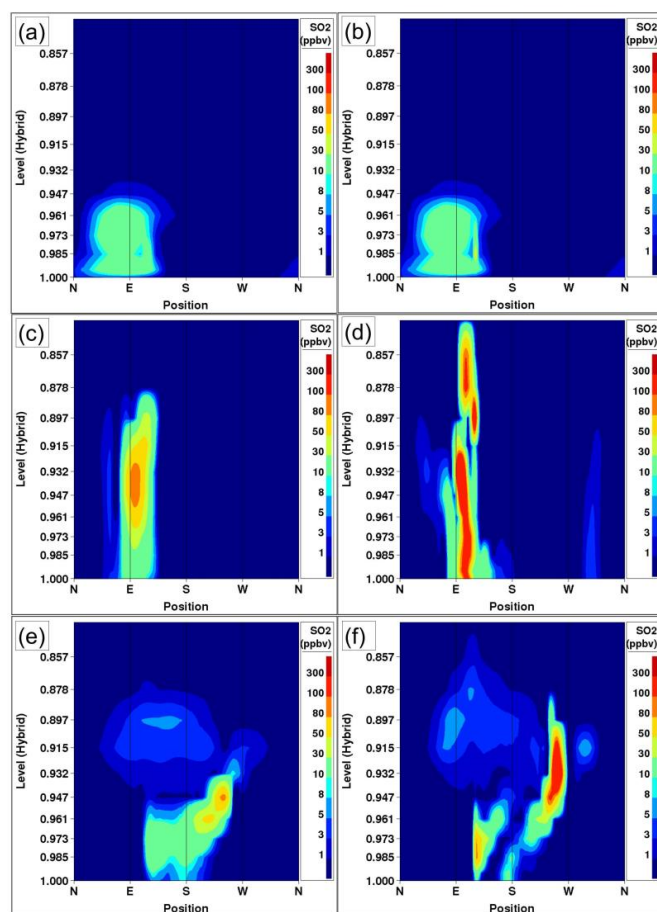
### 361 3 Simulation Comparisons and Evaluation

362

#### 363 3.1 Model-to-model comparisons and averages

364 We begin a comparison of 2.5km and 1km grid cell size for specific events, and for averages across the 1km  
365 domain, in order to provide a qualitative comparison of the differences in simulations for the two simulations, and  
366 then continue with the quantitative comparison. Figure 5 compares OS2.5km (left column) and OS1km (right  
367 column) simulation results for a cross-section located 0.2km from a major SO<sub>2</sub> emissions source at 0, 12 and 24  
368 hours into a given simulation day.





369

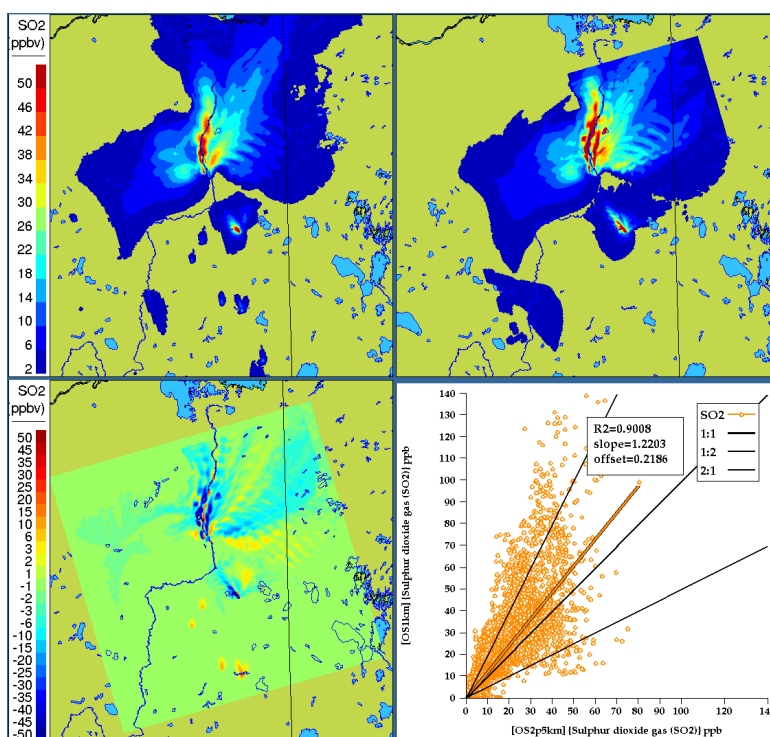
370 Figure 5. Comparison of simulated SO<sub>2</sub> plume mixing ratios (ppbv) located 0.2km from a major point source, for OS2.5km  
371 simulations (left column) and OS1km simulations (right column), at 0 (a,b), 12 (c,d), and 24 (e,f) hours into a 24 hour  
372 simulation.

373 The model results are identical at hour 0 due to both the OS2.5km and OS1km models being initialized from the  
374 OS10km data at this time (small differences in Figure5(a,b) are due to slight mis-matches in the cross-section  
375 locations). Subsequent cross-sections show the OS1km model is capable of resolving both higher absolute mixing  
376 ratio values, and sharper gradients, within 12 hours of simulation time (Figure 5 (c,d)). Multiple plumes are  
377 resolved by 12 hours of simulation time in the 1km grid cell size simulation, along with markedly different plume  
378 heights, plume structure, and a factor of two increase in the magnitude of plume mixing ratios relative to the  
379 lower grid cell size simulation, and these differences persist into the 24<sup>th</sup> simulation hour (Figure 5(e,f)). Mixing  
380 ratio differences of these magnitudes are to be expected given the increase in resolution, but Figure 5 shows that  
381 other important aspects of the predicted plumes have changed. The plume heights are a function of predicted  
382 local stability conditions in the grid-square containing the source, and the variation shown here represents a  
383 substantial change in the predicted local stability for the origin sources of these plumes, resulting from the change



384 in model horizontal grid cell size.

385 Figure 6 compares the maximum surface SO<sub>2</sub> during the entire period for each simulation, as well as the difference  
386 in maximum SO<sub>2</sub> between the simulations, along with a scatterplot of OS2.5km versus OS1km simulation results  
387 (where, in the latter two panels, OS2.5km values were assigned to the corresponding OS1km grid-cell locations  
388 using the nearest-neighbour approach).



389  
390 Figure 6. Comparison of total-simulation *maximum* surface SO<sub>2</sub> mixing ratios (ppbv) at (a) 2.5km and (b) 1km grid cell size  
391 (ppbv). (c) Difference (2.5km – 1km). (d) Scatterplot of 2.5km (x-axis) versus 1km (y-axis) total simulation average grid-cell  
392 surface SO<sub>2</sub> mixing ratios.

393 The maximum surface concentrations tend to show more elongated structures at the smaller grid cell size;  
394 compare Figures 6(a,b), particularly for plumes in the western (left) half of the OS1km domain. The difference  
395 plot (Figure 6(c)) shows that local maximum concentration differences of up to -45 ppbv occur, due to changes in  
396 the placement and maximum concentration of high concentration plumes. The scatterplot of Figure 6(d) shows  
397 that OS1km model has a demonstrated ability to achieve higher concentrations than the OS2.5km model, with a  
398 slope of 1.22, and a noticeable clustering of values along the 1:2 line. While these results are not unexpected  
399 since approximately 95% of the SO<sub>2</sub> emissions in the domain originate in large stack, or point, sources, and hence  
400 initial concentrations at source would be expected to be 6.25x higher in the OS1km simulation, they also suggest that  
401 a substantial improvement in the OS1km model's ability to capture SO<sub>2</sub> concentrations *should* be possible. That is,





402 the results of the two models are substantially different, and given the reduction in numerical error expected with  
403 employing a smaller grid cell size, the latter might be expected to outperform a larger grid cell size model.  
404 However, as we shall demonstrate in the next section, plume placement errors such as depicted in Figure 3 play a  
405 substantial role in model performance as grid cell size decreases.

### 406 3.2 Quantitative comparisons

407

#### 408 3.2.1 Surface observation comparison

409 The locations of the local network of 10 surface monitoring stations located near the sources of emissions in the  
410 region (oil sands facilities) are shown in Figure 7. As noted in section 2.4, we carry out several analyses:

411 (1) The standard evaluation (model values are extracted from the model grid-cells containing the observation  
412 stations, at both grid cell sizes).

413 (2) Equal areas of representativeness, 1km and 2.5km grid cell sizes (the nearest nine OS1km grid cells are  
414 compared to the OS2.5km single cell evaluation in two ways):

415 a. Averaging of the OS1km results across the nine grid cells prior to evaluation (to determine whether  
416 the mean value is better represented by the smaller grid cell size, similar to the approach taken in  
417 Kang *et al.* (2007)).

418 b. Selection of the *best* of the nine grid cells (closest to the observation value), to determine the extent  
419 to which the OS1km model is capable of better representing the concentrations somewhere within  
420 the corresponding OS2.5km model grid cell, if not at the OS1km cell closest to the observation  
421 location. Higher scores for the 1km grid cell size simulation in this case would indicate that while  
422 errors in plume positioning (for example due to errors in the synoptic scale flow) negate some of the  
423 advantages of the OS1km simulation, the plume may be better represented by the OS1km simulation  
424 within the 2.5km grid cell's area.

425 (3) Equal areas of representativeness and equal regions of variability (nearest nine 2.5km cells are compared to  
426 the nearest forty-nine 1km cells). Here we make the assumption that the 2.5km grid cell size model's ability  
427 to resolve features is limited to the surrounding three grid cells in each horizontal dimension, and make use of  
428 the closest-in-size block of corresponding 1km cells (a  $7 \times 7$  grid centered on the cell containing the  
429 observation point.) In both cases, the model value closest to the observations is chosen prior to evaluation.

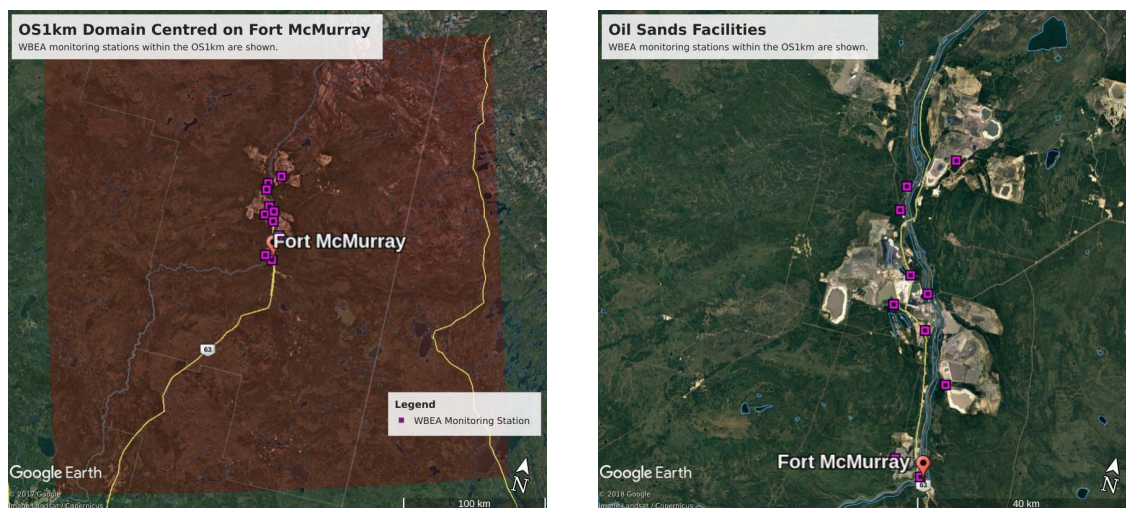
430 While evaluations (2b) and (3) deliberately select the "best" value, they also provide a quantitative estimate of  
431 the extent to which each model is capable of achieving the correct answer within roughly equal representative  
432 areas centered on the observation station locations. These comparisons are intended to evaluate (a) the  
433 extent to which the 1km grid cell size is capable of improving simulation results despite, *e.g.*, the larger scale



434 flow resulting in errors in the plume placement, and (b) whether the 1km grid cell size model is capable of  
435 outperforming the 2.5km grid cell size model *over equivalent regions*. In the last test, we place both models on  
436 an equal footing with regards to the region being represented, as well with regards to allowing cell-to-cell  
437 variability and the selection of a closest match to observations.

438 Our evaluation is presented as tables of statistical metrics. The comparisons employing the nearest neighbour  
439 approach are described with a “B#” superscript suffix, denoting that the “Best” sample within a square centred  
440 on the observation point containing a total of # grid cells (e.g. the OS1km<sup>89</sup> label denotes a comparison  
441 between observed data and the simulation grid cell within a 3 × 3 grid-cell square centered about the  
442 observation point). Similarly, an A# superscript describes a comparison between the observations and the  
443 Average of the # square of grid cells centered on the observation point.

444 Comparisons to surface concentrations were performed using publicly available data collected by the Wood  
445 Buffalo Environmental Association (WBEA), which operates the air-quality monitoring network residing within  
446 the OS1km domain. The monitoring station locations are shown in Figure 7. The statistical performance of the  
447 models, calculated using the procedure outlined above, are given in Tables 2 through 5, for SO<sub>2</sub>, NO<sub>x</sub>, O<sub>3</sub>, and  
448 PM<sub>2.5</sub>, respectively.



449  
450 Figure 7. Illustration of the OS1km domain, with observation station locations. (a) Entire domain. (b) Close-up  
451 view of station locations. Monitoring stations are shown as purple outline squares in both images. Light grey  
452 regions in the background satellite image (b) are oil sands open-pit mining operations.

453 In the *standard* model grid cell to observation measurement comparison for SO<sub>2</sub>, and NO<sub>x</sub> (first two columns,  
454 Tables 2 and 3), the OS1km simulation had *worse* scores for all the metrics considered here. For O<sub>3</sub>, the OS1km  
455 model had the better score for the correlation coefficient and root mean square error, and worse scores for all



456 remaining model evaluation metrics. For  $PM_{2.5}$ , the OS1km model had higher performance for the correlation  
457 coefficient and biases, while the OS2.5km model outperforms the OS1km model for all other metrics examined  
458 here. Based on a standard analysis, the OS1km model thus performs poorly compared to the OS2.5km model; the  
459 expected advantages associated with reduced numerical error in transport at smaller grid cell sizes are being offset  
460 by other factors controlling the net model error.

461 When standard evaluation is compared to the *average* of the nearest nine 1km simulation grid cells surrounding  
462 the observation point (first three columns of the tables), an intermediate result appears. For  $SO_2$  (Table 2) the nine-  
463 cell OS1km average has the best performance for correlation coefficient - indicating a better time distribution of  
464 events may be achieved by a nine cell average at 1km grid cell size. The other metrics for the A9 simulation are  
465 intermediate between the two standard evaluations for each simulation, indicating that some of the performance  
466 loss resulting from the use of 1km grid cell size is reduced through averaging results to approximately the same size  
467 regions as the OS2.5km grid cell size. The latter result holds for all metrics for  $NO_x$  (including R, see Table 3). For  
468 ozone (Table 4), averaging the nine nearest OS1km grid cells prior to measurement gives the best performance for  
469 R and RMSE, and worse performance for the other metrics. For  $PM_{2.5}$  (Table 5), all metrics for the OS1km nine grid-  
470 cell average aside from the bias fall mid-way between the two standard methodology evaluations. Averaging the  
471 smaller grid cell size model results thus shows a marginal improvement, depending on the species, but overall does  
472 not compensate for the decrease in performance resulting from going to the smaller grid cell size.

473 We next ask the question, “Does a more accurate simulation value *exist* within the same region of the 1km model  
474 as is encompassed by a 2.5km grid cell?” (fourth column of these Tables), by selecting the model value in the  
475 nearest nine 1km grid cells with the closest match to observations and comparing to the corresponding single 2.5  
476 grid cell. A dramatic improvement in the relative OS1km performance metric scores can be seen. For each of  
477 Tables 2 through 5, this “best of nine” 1km comparison outperforms the previous 3 comparisons (columns 1  
478 through 3), for all metrics. These improvements are sometimes dramatic (*e.g.* a doubling of correlation coefficient  
479 along with a reduction in mean bias by a factor of three, a reduction of  $NO_x$  mean bias values by a factor of 3, a shift  
480 of coefficient of error from negative to positive values for  $O_3$ , and a reduction in the coefficient of error for  $PM_{2.5}$  by  
481 a factor of 2.5 compared to the nearest competing value from the previous evaluations. The coefficient of  
482 efficiency for  $SO_2$  and  $O_3$  make the transition from negative to positive values when the “best-of-nine” methodology  
483 is used, indicating that the model is able to better predict the observations than the observed mean, somewhere  
484 within an equivalent area. This evaluation suggests that the OS1km model does *contain* a better result within the  
485 same approximate region encompassed by a 2.5km grid cell. However, the location of that better result may be  
486 subject to positioning error, such as described in Figure 3.

487 A valid argument could be made that the methodology employed in this fourth evaluation is subject to selection  
488 bias, in that the selection of a *best* value in the case of the nearest nine 1km simulation places that model



489 simulation at an advantage relative to the 2.5km model. To address this last issue, the final two additional  
490 methodologies for evaluation were employed, still maintaining the same approximate area of representativeness  
491 for a grid cell, namely choosing the best value out of the nearest *nine* 2.5km grid cells (the limiting resolution of this  
492 model simulation), and the best value out of the nearest *forty-nine* 1km grid cells (fifth and sixth columns of Tables  
493 2 through 5, respectively). That is, we attempt to place the two models on an equal basis with regards to selection  
494 bias within a given region containing an observation station.

495 Two important results can be seen from this final evaluation. First, as was the case for the “Best of 9” for the  
496 OS1km simulation compared to the standard OS1km evaluation, the “Best of 9” for the OS2.5km simulation has a  
497 considerably better performance than the standard OS2.5km evaluation (compare fifth and first columns, Tables 2  
498 through 5). That is, the OS2.5km model may *also* be subject to location errors in transported species representation  
499 which influence model performance. However, when performance within the 56.25 km<sup>2</sup> area surrounding each  
500 measurement point in the OS2.5km “Best of 9” evaluation is compared to the 49 km<sup>2</sup> area surrounding the  
501 measurement points in the OS1km “Best of 49” simulation (*i.e.* compare columns five and six in Tables 2 through 5),  
502 it can be seen that the OS1km model outperforms the OS2.5km model for all metrics for O<sub>3</sub>, and PM<sub>2.5</sub>, and all  
503 metrics aside from bias for SO<sub>2</sub> and NO<sub>x</sub>. That is, despite the OS1km model having a slight disadvantage in the  
504 relative size of the representative area containing the measurement station location, and both models being  
505 allowed a similar selection strategy, the OS1km model is capable of generating values closer to the observations  
506 than the OS2.5km model within an equivalent sub-region, across most of the metrics and chemical species  
507 considered here.

508 This final result is strongly suggestive of the presence of issues such as illustrated in Figure 3. These may include  
509 errors in the larger scale synoptic wind flow, combined with the reduced size of plumes as grid cell size is reduced,  
510 leading to more “misses” than “hits” for a given recorded event at a measurement station compared to the coarse  
511 grid cell size model. There may be multiple additional causes for such errors (examples include poor observation  
512 density in the region for model initialization, underlying lower resolution boundary condition fields such as  
513 topography not improving with the reduction in grid cell size, inaccuracies in land use fields used in meteorological  
514 modelling due to rapid development, and errors in other aspects of the reaction transport modelling system aside  
515 from horizontal resolution). The expected advantages of the small grid cell size, such as better representation of  
516 the concentrations of species within plumes and hence better representation of their reactive chemistry (c.f.  
517 Lonsdale *et al.*, 2012), may be lost in a standard performance analysis due to these other issues.

518 Our analysis suggests that a practical limit in the benefits of increasing model accuracy may be reached when  
519 resolution exceeds some threshold, as a result of other errors inherent in the modelling system. However, the  
520 analysis also suggests that if these non-resolution-related errors are corrected, the benefits of adopting a smaller  
521 grid cell size may be substantial. For example, meteorological data assimilation employing a dense monitoring



522 network for a specific area of interest would be expected to show a greater impact for smaller than larger grid cell  
 523 sizes, due to the greater ability of the former to take advantage of the observation density in correcting the initial  
 524 meteorological state. We note that recent work applying land use data assimilation (Carrera *et al.*, 2015) to  
 525 regional 2.5km grid cell size weather simulations (Milbrandt *et al.*, 2016) have suggested that such data assimilation  
 526 may indeed improve forecast skill at the very local scale.

527 Table 2. Surface SO<sub>2</sub> observations to model comparison for entire simulation period (ppbv)

Evaluation Metric	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
loA	0.237	0.154	0.207	0.601	0.701	0.810
r	0.290	0.230	0.295	0.604	0.672	0.848
NGME	2.128	2.363	2.212	1.114	0.834	0.529
GME	2.918	3.240	3.034	1.528	1.143	0.725
CoE	-0.525	-0.693	-0.585	0.202	0.403	0.621
RMSE	7.063	9.665	7.876	4.436	3.671	2.618
NMB	1.130	1.376	1.299	0.347	-0.010	0.017
MB	1.550	1.887	1.781	0.475	-0.013	0.024

528 • 5466 Samples used

529 Table 3. Surface NO<sub>x</sub> observations to model comparison for entire simulation period (ppbv)

Evaluation Metric	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
loA	0.177	0.138	0.152	0.416	0.589	0.665
r	0.143	0.114	0.116	0.165	0.305	0.388
NGME	1.520	1.593	1.567	1.079	0.760	0.619
GME	12.898	13.518	13.296	9.156	6.447	5.255
CoE	-0.646	-0.725	-0.697	-0.168	0.177	0.329
RMSE	28.052	35.197	34.644	25.782	15.315	13.704



NMB	0.493	0.570	0.542	0.174	-0.027	-0.063
MB	4.183	4.834	4.597	1.477	-0.231	-0.531

530

- 3257 Samples used

531

532 Table 4. Surface O<sub>3</sub> observations to model comparison for entire simulation period (ppbv)

Evaluation Metric	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	0.414	0.405	0.404	0.527	0.637	0.690
r	0.496	0.506	0.515	0.606	0.688	0.738
NGME	0.660	0.670	0.672	0.534	0.410	0.349
GME	10.757	10.915	10.949	8.692	6.673	5.687
CoE	-0.172	-0.189	-0.193	0.053	0.273	0.380
RMSE	16.040	15.859	15.794	13.305	11.084	9.719
NMB	0.527	0.559	0.579	0.463	0.337	0.304
MB	8.579	9.104	9.431	7.536	5.488	4.945

533

- 2189 Samples used

534 Table 5. Surface PM<sub>2.5</sub> observations to model comparison for entire simulation period (μg m<sup>-3</sup>)

Evaluation Metric	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	0.280	0.262	0.267	0.412	0.508	0.572
r	0.201	0.216	0.214	0.314	0.376	0.466
NGME	0.791	0.811	0.806	0.647	0.541	0.471
GME	5.342	5.478	5.441	4.365	3.651	3.181
CoE	-0.439	-0.476	-0.466	-0.176	0.016	0.143
RMSE	8.286	8.786	8.663	7.117	6.169	5.690



NMB	-0.268	-0.257	-0.257	-0.289	-0.299	-0.287
MB	-1.812	-1.734	-1.736	-1.948	-2.016	-1.937

535

- 3377 Samples used

536 The surface observation data were also analyzed by time-of-day, with both observations and simulations split into  
 537 daytime (hours 9:00 to 18:00 local time) and nighttime (hour 19:00 to 8:00 local time) data pairs (Appendix, Tables  
 538 A1 through A8). Within each of these diurnally segregated time periods, the broad aspects of the comparison were  
 539 the same as for the “all data” Tables 2 to 5 above: the OS1km simulations tended to have reduced performance in  
 540 a standard analysis, averaging improved but not completely ameliorated the performance of the OS1km simulation,  
 541 a methodology employing the best of nine OS1km grid cells had superior performance to the two standard  
 542 comparisons, and comparison of the “best of” methodologies for equal areas showed better performance for the  
 543 OS1km compared to the OS2.5km simulation. We also noted substantial differences in the day and night  
 544 performance of both models across the methodologies. For example, daytime SO<sub>2</sub> and NO<sub>x</sub> performance within a  
 545 given model and comparison methodology was usually better than nighttime performance for IOA, r, NGME, COE  
 546 and NMB, while worse for RMSE, while nighttime O<sub>3</sub> performance was better for IOA, r, NGME, and COE. Daytime  
 547 PM<sub>2.5</sub> performance was better than nighttime for IOA, r, COE, and NMB.

### 548 3.2.2 Comparisons to Aircraft Observations

549 Twenty-two aircraft observation flights were carried out during the study simulation period – we present  
 550 statistical comparisons using the standard approach only, here (model grid cell containing the observation point to  
 551 observation data at the aircraft location). Model values were linearly interpolated in time and space to the  
 552 aircraft observation locations and times (aircraft observations were on a 10s interval.) We begin with a composite  
 553 comparison across all observation times, in Table 6.

554 Table 6. Aircraft observation comparisons, SO<sub>2</sub> and NO<sub>2</sub> (ppbv)

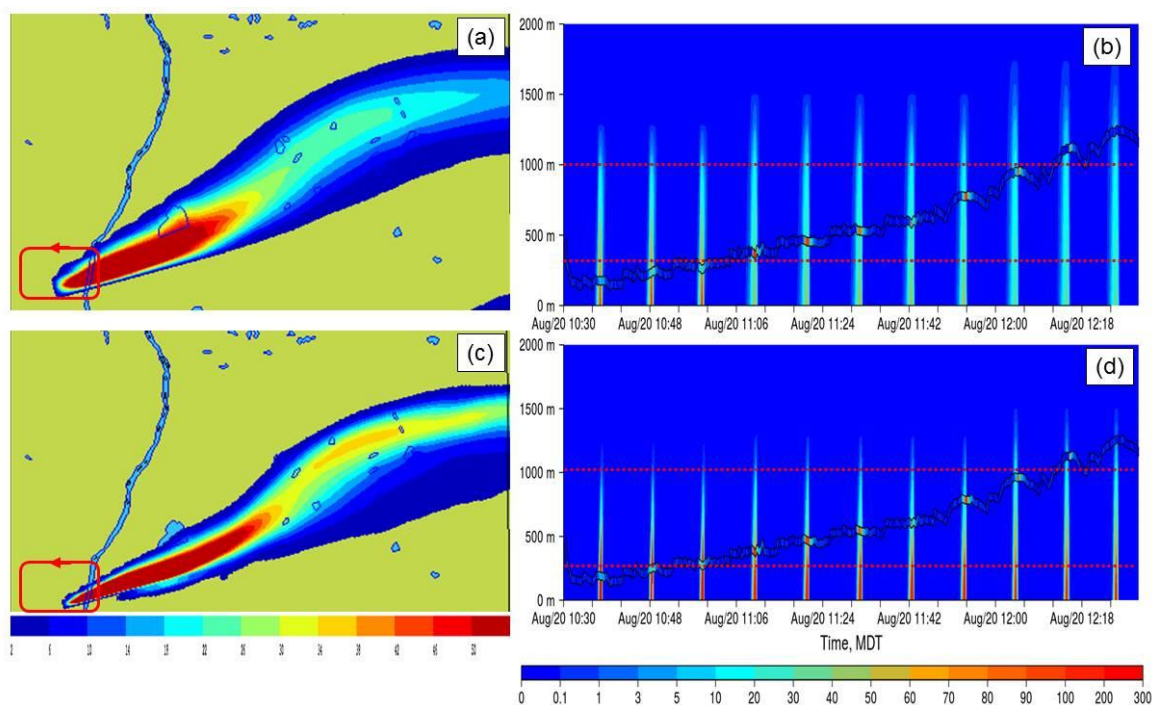
	SO <sub>2</sub> (21787 samples)		NO <sub>2</sub> (18310 samples)	
	OS2.5km	OS1km	OS2.5km	OS1km
IoA	0.63	0.62	0.61	0.58
r	0.26	0.28	0.39	0.34
NGME	1.07	1.09	0.90	0.96
GME	3.98	4.06	1.56	1.68
CoE	0.27	0.25	0.23	0.17
RMSE	12.84	13.97	3.12	3.62
NMB	-0.31	-0.29	-0.26	-0.20
MB	-1.17	-1.07	-0.45	-0.34





555

556 The results are in general similar to the surface analysis, in that the OS1km simulation tended to have worse  
557 performance than the OS2.5km simulation (exceptions being the biases for both SO<sub>2</sub> and NO<sub>2</sub>, and the slightly  
558 better OS1km correlation coefficient for SO<sub>2</sub>). One striking difference between the first two columns of Tables 2  
559 and 3 and Table 14 are the magnitude of the differences between the simulations. Aloft (Table 6), the differences  
560 in performance metric magnitudes between OS2.5km and OS1km simulations are much smaller than at the  
561 surface (Tables 3 and 4). The biases are negative aloft, while positive at the surface, indicating that both models  
562 may be lofting plumes to insufficient distances; one of the possible (non-horizontal grid cell size dependent)  
563 causes of model error may be in the extent of vertical transport (this possibility is examined in more detail in  
564 Akingunola *et al.*, 2018, and Gordon *et al.*, 2018). An example of this behaviour is shown in Figure 8; both plumes  
565 fumigate to the surface, while the observed plume resides largely aloft. The OS1km model captures the higher  
566 concentrations to a better degree, but the impact of excessive fumigation more than offsets this improvement, as  
567 is shown by the performance evaluation of Table 7, where both models have negative biases aloft. In this  
568 particular case, the tendency of the model to overestimate the extent of fumigation has a bigger impact on  
569 performance than grid cell size.



570

571 Figure 8. Comparison between OS2.5km (top row) and OS1km (bottom row) simulations for SO<sub>2</sub> relative to  
572 aircraft observations (ppbv). (a,c): Simulated surface concentrations of SO<sub>2</sub>, with the flight track shown as a red  
573 line. (b,d) Simulated concentration profiles along the flight path as a function of time, with the successive





574 intersections of the flight path with the plume as background colour contours. Observed SO<sub>2</sub> aboard the aircraft  
575 are shown between the two black lines, which show the elevation of the aircraft on successive passes around the  
576 facility. Dotted lines show the upper and lower vertical extent of the observed plume. Note that for both model  
577 simulations, the plume erroneously fumigates the surface.  
578



579 Table 7. Standard performance evaluation of Flight 8 for SO<sub>2</sub> (ppbv)

	OS2.5km	OS1km
IoA	0.69	0.68
r	0.42	0.31
NGME	1.04	1.09
GME	4.02	4.25
CoE	0.39	0.35
RMSE	16.72	20.57
NMB	-0.42	-0.34
MB	-1.63	-1.32

580 1261 samples used.

581 Meanwhile other flights show a clear advantage of the OS1km model. One example is given by the NO<sub>2</sub>  
582 performance evaluation of Table 8 and depicted in Figure 9, for Flight 17 (a similar flight plan carried out around  
583 the same facility as Flight 8). While the correlation coefficient degraded slightly in the OS1km resolution  
584 simulation, all other performance measures were improved with the decrease in grid cell size. Two time versus  
585 height profile cross-sections for Flight 17 are shown in Figure 9. In the upper two panels, the OS2.5km (Figure  
586 9(a)) and OS1km (Figure 9(b)) simulations are compared for the portion of the overall flight track circling the given  
587 facility. This comparison clearly shows that the OS1km model does a better job of capturing the width of the high  
588 concentration region of the plume – however, the location of the model plume lags the observations. During this  
589 portion of the flight alone, the OS2.5km model statistics, particularly the correlation coefficient, outperform the  
590 OS1km model, due to this issue of plume location mismatching. Figures 9(a,b) may be compared to Figure 3(a,b) –  
591 the same situation is depicted in both Figures. Figure 9(c,d) show the OS2.5km simulation (10(c)) and OS1km  
592 simulation results in another portion of the flight – here the OS1km performance for most statistics was better  
593 than the OS2.5km model performance. The OS1km model (Figure 9(d)) captures the existence of a lower  
594 concentration layer aloft in the right-hand side of the cross-section, and the existence of low concentration  
595 intervening layers, as well as the overall lower concentrations of SO<sub>2</sub>, while the OS2.5km model does not resolve  
596 these fine scale and lower concentration features. We note here that IoA, CoE and the other error measures  
597 capture the visual impression that the OS1km model outperforms the OS2.5km model for this flight, while the  
598 correlation coefficient is highly dependent on the placement of the plume maximum in the upper two panels.

599 These and the snap-shot comparisons described in Section 3.1 show that the higher resolution model is having a  
600 significant impact on predictions – however, other aspects of the overall model performance are preventing the  
601 potential benefits of higher resolution from influencing the standard performance evaluation.

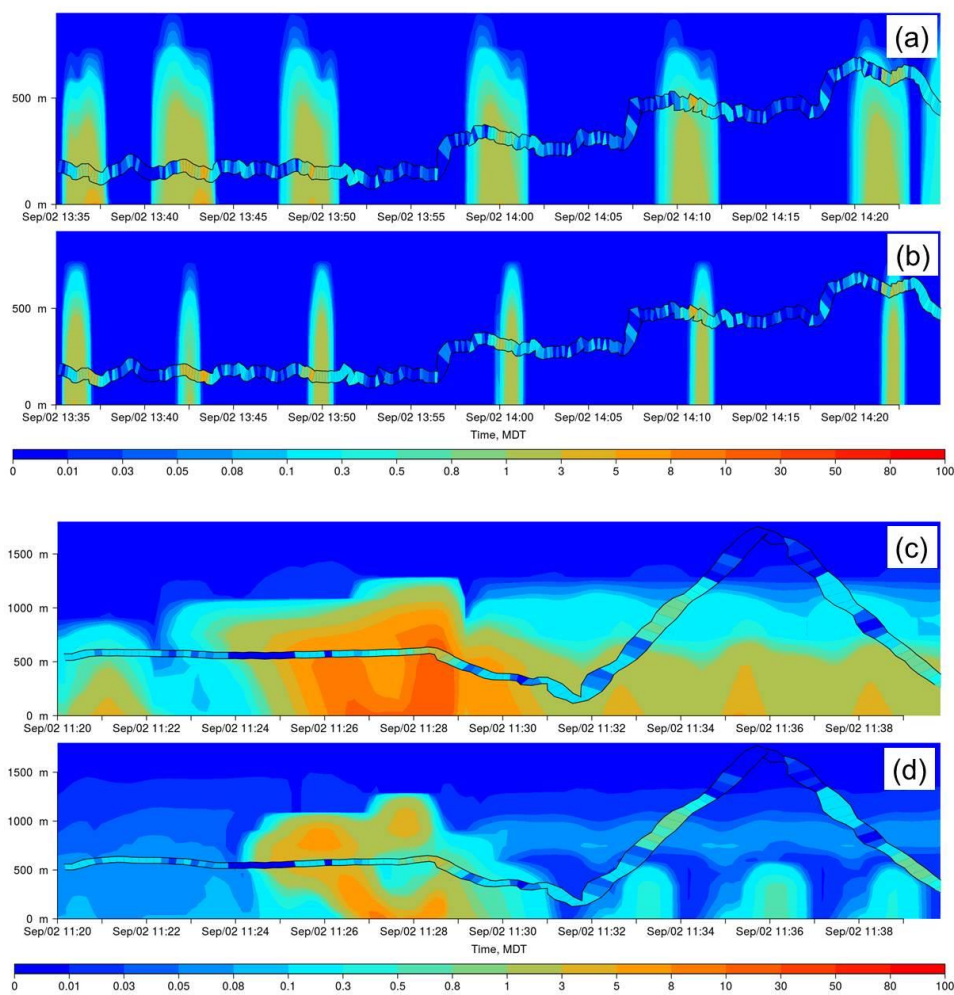
602

603



604 Table 8. Standard performance evaluation of Flight 17 for NO<sub>2</sub> (ppbv)

	OS2.5km	OS1km
IoA	0.26	0.58
r	0.26	0.25
NGME	2.03	1.15
GME	0.52	0.29
CoE	-0.48	0.16
RMSE	1.37	0.70
NMB	0.83	-0.54
MB	0.21	-0.14



605

606 Figure 9. Flight 17 comparison for NO<sub>2</sub> (ppbv) for portions of the net flight track circling the CNRL facility for  
 607 OS2.5km (a) and OS1km (b) simulations, and for a later section of the same flight path for the OS2.5km (c) and  
 608 OS1km (d) simulations.

609

610



#### 611 4. Summary and Conclusions

612 Our work suggests the following:

613 Decreases to air-quality model horizontal grid cell size will not necessarily result in improvements to model  
614 performance in standard performance evaluations, in which the model values at the grid-cells encompassing  
615 measurement location stations are used in a pairwise comparison to observations. Other considerations, such as  
616 the accuracy of the larger scale wind direction and speed forecast, and the accuracy of the plume rise  
617 parameterization used within the model may play a greater role in the overall performance of the model, and  
618 reduce the benefits of the smaller grid cell size. In the context of a standard model performance evaluation, there  
619 may be fixed limits to the benefits of decreasing model grid cell size.

620 Despite this difficulty, our results also show that the use of smaller grid cell sizes have some potential benefits, in  
621 that these models do a better job of resolving specific air pollution features, like high concentration maxima  
622 within plumes. Both coarse and fine grid cell size plumes may be misplaced in both time and space, with the net  
623 result that the latter model has a worse performance in a standard comparison, but is nevertheless more likely to  
624 capture the correct in-plume concentrations, and hence the chemistry, of the actual plume, in the *neighbourhood*  
625 of the observation location. When the evaluation is broadened to find the closest fit to observations in the vicinity  
626 of the observation station, with models confined to a similar representative area around the observation station,  
627 these potential benefits of the smaller grid cell size become apparent.

628 These findings suggest that at the current state of development, VHR air-quality models are of benefit for the  
629 specific purpose of chemical process studies, in which the main aim of the work is to accurately simulate plume  
630 chemistry – and in which accurate forecasting of the *position* of the plume in time and space is a secondary  
631 concern. Our work also suggests that efforts to improve other aspects of the overall modelling framework which  
632 improve the large scale flow (for example, the use of data assimilation of local meteorology to improve wind  
633 direction predictions) may result in greater benefits as smaller grid cell sizes are employed.

634

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## 6. Appendix A: Model Evaluation Statistics

Table A1. Model comparison statistics

Metric and Formula	Range	Ideal Score
$IOA = \begin{cases} 1 - \frac{\sum  M_i - O_i }{2(O_i - \bar{O})}, & \text{when } \sum  M_i - O_i  \leq 2(O_i - \bar{O}) \\ \frac{2(O_i - \bar{O})}{\sum  M_i - O_i } - 1, & \text{when } \sum  M_i - O_i  > 2(O_i - \bar{O}) \end{cases}$	[-1,1]	1
$CoE = 1 - \frac{\sum  M_i - O_i }{(O_i - \bar{O})}$	$[-\infty, 1]$	1
$MB = \frac{1}{N} \sum (M_i - O_i) = \bar{M} - \bar{O}$		0
$MGE = \frac{1}{N} \sum  M_i - O_i $		0
$NMB = \frac{\sum (M_i - O_i)}{\sum O_i} = \left( \frac{\bar{M}}{\bar{O}} - 1 \right)$		0
$NMGE = \frac{\sum  M_i - O_i }{\sum O_i}$		
$RMSE = \sqrt{\frac{1}{N} \sum (M_i - O_i)^2}$		
$r = \frac{\sum (M_i - \bar{M})(O_i - \bar{O})}{\sqrt{\sum (M_i - \bar{M})^2 \sum (O_i - \bar{O})^2}}$	[-1.1]	1

The limits on the summations were removed for brevity; all are from  $i = 1$  to  $N$  where  $N$  is the number of observation-model pairs,  $M_i$  is the  $i$ 'th model value,  $O$  is the  $i$ 'th observation value, and  $\bar{M}, \bar{O}$  are the model and observed mean values, respectively.



## 7. Appendix B: Day Versus Night model performance for the different testing methodologies

Table B1. Surface SO<sub>2</sub> observations to model comparison, daytime (9:00-18:00) (ppbv).

	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	0.374	0.286	0.352	0.712	0.762	0.872
r	0.295	0.215	0.307	0.701	0.742	0.903
NGME	1.739	1.982	1.798	0.799	0.660	0.356
GME	4.201	4.788	4.343	1.931	1.595	0.860
CoE	-0.253	-0.428	-0.295	0.424	0.524	0.744
RMSE	9.317	13.388	10.275	5.171	4.652	2.996
NMB	0.730	0.990	0.871	0.054	-0.166	-0.118
MB	1.764	2.391	2.104	0.132	-0.401	-0.286

- 2119 Samples used

Table B2. Surface SO<sub>2</sub> observations to model comparison, nighttime (18:00-9:00) (ppbv).

	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	-0.215	-0.248	-0.233	0.231	0.473	0.609
r	0.204	0.206	0.205	0.339	0.421	0.620
NGME	3.143	3.281	3.215	1.896	1.300	0.964
GME	2.061	2.152	2.108	1.243	0.852	0.632
CoE	-1.549	-1.607	-1.607	-0.537	-0.054	0.218
RMSE	5.055	5.450	5.450	3.802	2.858	2.313
NMB	2.166	2.328	2.328	1.076	0.394	0.361
MB	1.421	1.527	1.527	0.706	0.258	0.230

- 3347 Samples used

Table B3. Surface NO<sub>x</sub> observations to model comparison, daytime (9:00-18:00) (ppbv).

	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	0.485	0.440	0.465	0.639	0.712	0.789
r	0.254	0.259	0.270	0.427	0.507	0.680
NGME	0.927	1.009	0.962	0.650	0.519	0.380
GME	7.502	8.160	7.786	5.259	4.198	3.077
CoE	-0.030	-0.120	-0.069	0.278	0.424	0.577
RMSE	14.843	15.811	15.571	11.272	9.982	7.964
NMB	-0.205	-0.069	-0.135	-0.258	-0.258	-0.216
MB	-1.659	-0.559	-1.091	-2.089	-2.091	-1.744

- 1252 Samples used

Table B4. Surface NO<sub>x</sub> observations to model comparison, nighttime (18:00-9:00) (ppbv).

	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	-0.016	-0.050	-0.045	0.275	0.511	0.587
R	0.113	0.081	0.083	0.118	0.240	0.295
NGME	1.913	1.982	1.971	1.366	0.920	0.777
GME	17.235	17.858	17.756	12.306	8.291	7.004
CoE	-1.032	-1.105	-1.093	-0.451	0.023	0.174
RMSE	35.003	44.669	43.972	32.797	18.475	16.875
NMB	0.958	0.988	0.990	0.458	0.126	0.039
MB	8.634	8.899	8.915	4.124	1.139	0.350

- 1862 Samples used

Table B5. Surface O<sub>3</sub> observations to model comparison, daytime (9:00-18:00) (ppbv).

	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	0.141	0.192	0.184	0.338	0.396	0.529
r	0.166	0.215	0.211	0.327	0.367	0.504
NGME	0.660	0.621	0.627	0.508	0.464	0.361
GME	14.427	13.568	13.703	11.111	10.143	7.901
CoE	-0.718	-0.616	-0.632	-0.323	-0.208	0.059
RMSE	21.209	20.063	20.035	16.714	15.140	12.466
NMB	0.587	0.542	0.557	0.454	0.414	0.326
MB	12.839	11.854	12.187	9.918	9.050	7.121

- 864 Samples used

Table B6. Surface O<sub>3</sub> observations to model comparison, nighttime (18:00 to 9:00) (ppbv).

	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	0.451	0.398	0.399	0.534	0.719	0.727
r	0.526	0.541	0.557	0.642	0.784	0.784
NGME	0.706	0.775	0.773	0.600	0.361	0.352
GME	8.326	9.132	9.116	7.070	4.258	4.145
CoE	-0.097	-0.203	-0.201	0.068	0.439	0.454
RMSE	11.236	12.029	11.974	10.297	6.935	7.137
NMB	0.492	0.624	0.651	0.510	0.262	0.296
MB	5.799	7.359	7.668	6.008	3.088	3.491

- 1247 Samples used

Table B7. Surface PM<sub>2.5</sub> observations to model comparison, daytime (9:00-18:00) ( $\mu\text{g m}^{-3}$ ).

	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	0.372	0.356	0.364	0.495	0.555	0.625
r	0.232	0.244	0.245	0.350	0.387	0.493
NGME	0.816	0.837	0.827	0.657	0.579	0.487
GME	5.470	5.608	5.542	4.402	3.879	3.266
CoE	-0.256	-0.288	-0.272	-0.011	0.109	0.250
RMSE	9.607	10.312	10.034	8.059	7.286	6.626
NMB	-0.189	-0.152	-0.166	-0.231	-0.281	-0.258
MB	-1.264	-1.016	-1.109	-1.546	-1.881	-1.726

- 1862 Samples used

Table B8. Surface PM<sub>2.5</sub> observations to model comparison, nighttime (18:00 to 9:00) ( $\mu\text{g m}^{-3}$ )

	OS2.5km	OS1km	OS1km <sup>A9</sup>	OS1km <sup>B9</sup>	OS2.5km <sup>B9</sup>	OS1km <sup>B49</sup>
IoA	0.193	0.170	0.173	0.337	0.471	0.528
r	0.163	0.183	0.178	0.277	0.368	0.442
NGME	0.782	0.804	0.801	0.642	0.512	0.457
GME	5.313	5.466	5.444	4.367	3.483	3.105
CoE	-0.614	-0.660	-0.653	-0.326	-0.058	0.057
RMSE	7.467	7.841	7.834	6.542	5.373	5.032
NMB	-0.293	-0.302	-0.293	-0.309	-0.293	-0.294
MB	-1.992	-2.050	-1.989	-2.098	-1.991	-1.995

- Samples used