Dynamic and timing properties of new aerosol particle formation and consecutive growth events

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6 Abstract. Dynamic properties, i.e. particle formation rate J_6 and particle diameter growth rate 7 GR₁₀, and timing properties, i.e. starting time (t₁) and duration time interval (Δt) of 247 8 quantifiable atmospheric NPF and growth events identified in the city centre and near-city 9 background of Budapest over 6 full measurement years together with related gas-phase H₂SO₄ 10 proxy, condensation sink (CS) of vapours, basic meteorological data and concentrations of 11 criteria pollutant gases were derived, evaluated, discussed and interpreted. In the city centre, 12 nucleation ordinarily starts at 09:15 UTC+1, and it is maintained for approximately 3 h. The NPF and growth events produce 4.6 aerosol particles with a diameter of 6 nm in 1 cm³ of air in 13 1 s, and cause the particles with a diameter of 10 nm to grow with a typical rate of 7.3 nm h^{-1} . 14 15 Nucleation starts approximately 1 h earlier in the near-city background, it shows substantially smaller J_6 (with a median of 2.0 cm⁻³ s⁻¹) and GR₁₀ values (with a median of 5.0 nm h⁻¹), while 16 17 the duration of nucleation is similar to that in the centre. Monthly distributions of the dynamic 18 properties and daily maximum H₂SO₄ proxy do not follow the mean monthly pattern of the 19 event occurrence frequency. The factors that control the event occurrence and that govern the 20 intensity of particle formation and growth are not directly linked. New particle formation and 21 growth processes advance in a different manner in the city and its close environment. This could 22 likely be related to diversities in atmospheric composition, chemistry and physics. Monthly 23 distributions and relationships among the properties mentioned provided indirect evidence that 24 chemical species other than H₂SO₄ largely influence the particle growth and possibly 25 atmospheric NPF process as well. The J_6 , GR₁₀ and Δt can be described by log-normal 26 distribution function. Most of the extreme dynamic properties could not be explained by 27 available single or compound variables. Approximately 40% of the NPF and growth events 28 exhibited broad beginning, which can be an urban feature. For doublets, the later onset 29 frequently shows more intensive particle formation and growth than the first onset by a typical 30 factor of approximately 1.5. The first event is attributed to regional type, while the second event, superimposed on the first, is often associated with sub-regional, thus urban NPF and growth 31 32 process.

34 **1 Introduction**

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36 Molecules and molecular fragments in the air collide randomly and can form electrically neutral 37 or charged clusters. Most clusters decompose shortly. Chemical stabilising interactions among 38 certain components within a cluster can enhance its lifetime, during which it can grow further 39 by additional molecular collisions through some distinguishable size regimes (Kulmala et al., 40 2014). If the diameter of these clusters reaches a critical value of 1.5±0.3 nm (Kulmala et al., 41 2013), they become thermodynamically stable, and their further growth turns into a spontaneous 42 process. Supersaturation is a necessary atmospheric condition for this principal transformation. 43 It is essentially a phase transition, which takes place in a dispersed manner in the atmosphere, 44 so it generates an aerosol system. The newly formed particles grow further by condensation to 45 larger sizes in most cases due to the existing supersaturation. Photochemical oxidation products 46 such as H_2SO_4 (Sipilä et al., 2010), extremely low-volatile organic compounds (ELVOCs, Ehn 47 et al., 2014; Jokinen et al., 2015) and highly oxygenated molecules (HOMs, Bianchi et al., 2016; 48 Kirkby et al., 2016; Tröstl et al., 2016) together with H₂O vapour, NH₃ (Kirkby et al., 2011), 49 amines (Almeida et al., 2013), other oxidation products of volatile organic compounds (VOCs; 50 Metzger et al., 2010; Schobesberger et al., 2013; Riccobono et al., 2014) and some inhibiting 51 chemical species (e.g. isoprene or NO₂; Kiendler-Scharr et al., 2009; Kerminen et al., 2018) 52 can play an important role in both the particle formation and growth. The VOCs include 53 compounds of both anthropogenic and biogenic origin, mainly isoprenoids such as α -pinene 54 (Kirkby et al., 2016). In some specific coastal regions, iodine oxides produced from marine 55 biota are involved (O'Dowd et al., 2002). Atmospheric concentration of these key compounds 56 at a level that is smaller by 12–14 orders of magnitude than the concentration of air molecules 57 is already sufficient for the phenomenon (Kulmala et al., 2014). Relative importance of the 58 organics increases with particle size (Riipinen et al., 2011; Ehn et al., 2014), and their 59 supersaturation is maintained by fast gas-phase autooxidation reactions of VOCs (Crounse et 60 al., 2013). The overall phenomenon is ordinarily confined in time for 1 day or so, and, therefore, 61 it can be regarded as an event in time, and is referred as new aerosol particle formation (NPF) 62 and consecutive particle diameter growth event.

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Such events appear to take place almost everywhere in the world and anytime (Kulmala et al.,
2004; Kerminen et al., 2018; Nieminen et al., 2018). Their occurrence frequency and, more
importantly, their contribution to particle number concentrations were found to be substantial

or determinant in the global troposphere (Spracklen et al., 2006; Kulmala et al., 2014). 67 68 Moreover, their contribution to the number of cloud condensation nuclei (CCN) can be 50% or 69 even more (Makkonen et al., 2009; Merikanto et al, 2009; Sihto et al., 2011), which links the 70 events to climate system, and emphasizes their global relevance (Kerminen et al., 2012; 71 Makkonen et al., 2012; Carslaw et al., 2013; Gordon et al., 2016). New particle formation and 72 growth events were proved to be common in polluted air of large cities as well with a typical 73 relative occurrence frequency between 10% and 30% (Woo et al., 2001; Baltensperger et al., 74 2002; Alam, et al., 2003; Wehner et al., 2004; Salma et al., 2011; Dall'Osto et al., 2013; Xiao 75 et al., 2015; Zhang et al., 2015; Kulmala et al., 2017, Nieminen et al., 2018). The coupling and 76 relationships between regional and urban (sub-regional) NPF were demonstrated at least under 77 favourable orographic conditions (Salma et al., 2016b). New particle formation can increase 78 the existing particle number concentrations in city centres by a factor of approximately 2 on 79 nucleation days, while it can produce 13–28% of ultrafine (UF) particles as a lower estimate on a longer (e.g. annual) time scale (Salma et al., 2017). Particle concentrations from NPF are also 80 81 important when compared to (primary) particles emitted by their dominant source in cities, 82 namely by road vehicles with internal combustion engines (Paasonen et al., 2016). These results 83 jointly suggest that particles from NPF and growth events in cities can influence not only the 84 urban climate but can contribute to the public's excess health risk from particle number 85 exposures (Oberdörster et al., 2005; Braakhuis et al., 2014; Salma et al., 2015), and, 86 furthermore, could be linked to the role of human actions in all these effects.

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88 Despite these potentials, conclusive interpretation of the data obtained, and results derived 89 specifically for cities remained hindered so far. Several-year long, semi-continuous, critically 90 evaluated, complex and coherent data sets are required for this purpose, which have been 91 generating gradually. As part of this international progress, investigations dedicated to urban 92 NPF and growth events in Budapest have been going on since November 2008. Measurements 93 for 5 full years were realised in the city centre at a fixed location, 1 full year was devoted to 94 measurements in a near-city background environment, and some other measurements were 95 accomplished in different urban microenvironments for time intervals of a few months. The 96 main objectives of this study are to determine, present and analyse the dynamic properties, i.e. 97 particle formation rate and particle diameter growth rate, timing properties, i.e. starting time and duration time interval of nucleation process of NPF and growth events together with the 98 99 major sources and sink of condensing vapours, basic meteorological data and criteria pollutant 100 gases for 6 years, to investigate and interpret their relationships, to discuss their monthly distributions, to evaluate and detect some of their features specific for urban atmospheric environments, and to demonstrate some specific urban influence on the calculation of the properties. These quantities and relationships are of basic importance in many atmospheric processes for several reasons. Our goals are in line with the research needs for global atmospheric nucleation studies (Kerminen et al., 2018; Nieminen et al., 2018).

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107 2 Experimental methods

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109 The measurements took place at two urban locations in Budapest, Hungary. Most measurements 110 were realised at the Budapest platform for Aerosol Research and Training (BpART) facility (N 111 47° 28' 29.9", E 19° 3' 44.6", 115 m above mean sea level (a.s.l.; Salma et al., 2016a). This site 112 represents a well-mixed, average atmospheric environment for the city centre. The other 113 location was situated at the NW border of Budapest in a wooded area of the Konkoly 114 Astronomical Observatory of the Hungarian Academy of Sciences (N 47° 30' 00.0", E 18° 57' 115 46.8", 478 m a.s.l.). This site characterises the air masses entering the city since the prevailing 116 wind direction in the area is NW. The measurements were accomplished for 6 full-year long 117 time intervals, i.e. from 03-11-2008 to 02-11-2009, from 19-01-2012 to 18-01-2013, from 118 13-11-2013 to 12-11-2014, from 13-11-2014 to 12-11-2015, from 13-11-2015 to 12-11-119 2016 and from 28-01-2017 to 27-01-2018. In the measurement year 2012-2013, the 120 instruments were set up in the near-city background, while in all other years, they were installed 121 in the city centre. Local time (LT=UTC+1 or daylight-saving time, UTC+2) was chosen as the 122 time base of the data unless otherwise indicated because it had been observed in earlier 123 investigations that the daily activity time pattern of inhabitants substantially influences many 124 atmospheric processes in cities (Salma et al., 2014; Sun et al. 2019).

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126 The main measuring system was a flow-switching type differential mobility particle sizer (DMPS). It consists of a radioactive (⁶⁰Ni) bipolar charger, a Nafion semi-permeable membrane 127 128 dryer, a 28-cm long Vienna-type differential mobility analyser and a butanol-based 129 condensation particle counter (TSI, model CPC3775). The sample flow was 2.0 L min⁻¹ in the 130 high-flow mode, and 0.31 L min⁻¹ in the low-flow mode with sheath air flow rates 10 times 131 larger than for the sample flows. The DMPS measures particle number concentrations in an 132 electrical mobility diameter range from 6 to 1000 nm in the dry state of particles (with a relative 133 humidity of RH<30%) in 30 channels, which finally yields 27 channels after averaging 3 134 overlapping channels when joining the data for the 2 flow modes. The time resolution of the

135 measurements was approximately 10 min till 18-01-2013, and 8 min from 13-11-2013 (after 136 a planned update of the DMPS system). There was no upper size cut-off inlet applied to the 137 sampling line, and a weather shield and insect net were only attached. The sampling inlets were 138 identical at both locations except for the height of the installation above the ground, which was 139 12.5 m in the city centre and approximately 1.7 m in the near-city background. The 140 measurements were performed according to the international technical standard (Wiedensohler 141 et al., 2012). The availability of the DMPS data over 1-year long time intervals are summarised 142 in Table 1.

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144 Synoptic meteorological data for air temperature (T), RH, wind speed (WS) and wind direction 145 (WD) were obtained from a measurement station of the Hungarian Meteorological Service 146 (HMS, station no. 12843) by standardised methods with a time resolution of 1 h. Global solar 147 radiation (GRad) data were measured by the HMS at a distance of 10 km in E direction with a 148 time resolution of 1 h. Meteorological data were available in >90% of the possible cases in each 149 year. Concentrations of SO₂, O₃, NO_x and CO were obtained from measurement stations of the 150 National Air Quality Network in Budapest (in a distance of 4.5 km from the urban site, and of 151 6.9 km from the near-city background site) located in the upwind prevailing direction from the 152 measurement sites. They are measured by UV fluorescence (Ysselbach 43C), UV absorption 153 (Ysselbach 49C), chemiluminescence (Thermo 42C) and IR absorption methods (Thermo 48i), 154 respectively with a time resolution of 1 h. The concentration data were available in >85% of 155 the yearly time intervals, and >98% of them were above the limit of determinations (LOD). It 156 is worth mentioning that the LOD of the SO₂ analyser was approximately 0.2 μ g m⁻³, and that 157 the hourly average SO₂ concentration in the Budapest area is ordinarily distributed without 158 larger spatial gradients (Salma et al., 2011). For the present study, this was proved by evaluating 159 the concentration ratios from 2 different municipal stations which are in the closest distance 160 from the BpART facility in 2 different directions with an angle of 60° between them. The mean 161 SO_2 concentration ratio and standard deviation (SD) for the 2 stations were $81\pm20\%$ over the 5-year long measurement time interval. The assumption can also be justified indirectly by a 162 163 conclusion on the monthly distribution of SO₂ concentration in Sect. 4.2.

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165 **3 Data treatment**

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167 The measured DMPS data were evaluated according to the procedure protocol recommended 168 by Kulmala et al. (2012) with some refinements that are related to urban features (see Sect. 3.1).

169 Particle number concentrations in the diameter ranges from 6 to 1000 nm (N), from 6 to 25 nm 170 (N_{6-25}) , from 6 to 100 nm $(N_{6-100} \text{ or UF particles})$ and from 100 to 1000 nm $(N_{100-1000})$ were calculated from the measured and inverted DMPS concentrations. Particle number size 171 172 distribution surface plots showing jointly the variation in particle diameter and particle number 173 concentration density in time were also derived. Identification and classification of NPF and 174 growth events was accomplished on these surface plots (Dal Maso et al., 2005; Németh et al., 175 2018) on a daily basis into the following main classes: NPF event days, non-event days, days 176 with undefined character, and days with missing data (for more than 4 h during the midday). 177 Relative occurrence frequency of events was determined for each month and year as the ratio 178 of the number of event days to the total number of relevant (i.e. all-missing) days. A subset of 179 NPF events with uninterrupted evolution in time, which are called quantifiable (class 1A) 180 events, were further separated because the time evolution of their size distribution functions 181 was utilised to determine the dynamic and timing properties with good accuracy and reliability.

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183 **3.1 Dynamic and timing properties**

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185 Growth rate (GR) of nucleation-mode particles was calculated by mode-fitting method 186 (Kulmala et al., 2012). Particle number median mobility diameter (NMMD) of the nucleation mode were obtained from fitting the individual size distributions by DoFit algorithm (Hussein 187 188 et al., 2004). The growth rate was determined as the slope of the linear line fitted to the time 189 series of the NMMD data within a time interval around a diameter d, where the dependency 190 could be satisfactorily approximated by linear fit. Since the nucleation mode was mostly 191 estimated by N_{6-25} in the calculations of the formation rate (see below), and since the median 192 of the related diameter interval (from 6 to 25 nm) is close to d=10 nm, GRs for particles with a 193 diameter of 10 nm were determined (GR_{10}). This type of GR can be interpreted as an average 194 GR as far as the given particle diameter range is concerned, but it actually expresses the 195 beginning of the growth process only. Particle growth can slow substantially in time in specific 196 cases, and this can affect considerably the formation rate calculations (see later).

197

198 Time evolution of an aerosol population is described by the general dynamic equation which

199 was rearranged, simplified and approximated by several quantities (Kulmala et al., 2001; Dal

Maso et al., 2002; Kulmala et al., 2012; Cai and Jiang, 2017) to express the formation rate J_6

- 201 of particles with the smallest detected diameter of $d_{\min}=6$ nm in a form utilised in the present
- 202 evaluation as

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$$J_{6} = \frac{dN_{6-25}}{dt} - \frac{dN_{Ai,<25}}{dt} + \text{CoagS}_{10}(N_{6-25} - N_{Ai,<25}) + \frac{\text{GR}_{10}}{(25-6)}(N_{6-25} - N_{Ai,<25}).$$
(1)

206 The first term on the right side of Eq. 1 expresses the concentration increment. The particle 207 number concentration in the size range from 6 to 25 nm (i.e. N_{6-25}) is usually selected to 208 approximate the nucleation-mode particles $N_{\text{nuc}} \approx N_{6-25}$. This is a reasonable choice because it 209 was proved to be advantageous and effective way in handling fluctuating data sets since N_{6-25} 210 often exhibits smaller scatter in time and less sensitivity than the fitted area of the nucleation 211 mode. It is implicitly assumed that the intensity of the NPF is constant for a certain time interval, 212 and, therefore, dN_{6-25}/dt can be determined as the slope of the linear function of N_{6-25} versus 213 time t within an interval where the dependence could be satisfactorily approximated by linear 214 fit. A limitation of the relatively wide size range (6-25 nm) selected can be manifested by 215 disturbances from primary particles particularly in urban environments. This is taken into 216 account by an additional term of $N_{Ai, <25}$, which is discussed below.

217

The second term on the right side of Eq. 1 expresses the contribution of high-temperature 218 219 emission sources, usually of vehicular road traffic (Paasonen et al., 2016; Salma et al., 2017) to 220 N_{6-25} , which can provisionally disturb the assumption of $N_{nuc} \approx N_{6-25}$. A typical example of such 221 a situation is shown in Fig. S1a from 10:09 to 12:23 LT. In these specific cases, the contribution 222 of primary emissions was estimated from the slope of the time series of the fitted peak area of 223 the Aitken mode below d < 25 nm ($N_{Ai}, < 25$) in the time region under consideration. Reliable 224 separation of the nucleation and Aitken modes from each other was hindered or was not possible 225 for a few individual size distributions due to overlapping modes and the scatter in the measured 226 concentration data, and these individual cases were excluded from or skipped in the time series. 227

228 The third term on the right side of Eq. 1 represents the loss of particles due to coagulation 229 scavenging (with pre-existing particles). The coagulation scavenging efficiency for particles 230 with a diameter of 10 nm ($CoagS_{10}$) was selected to approximate the mean coagulation 231 efficiency of nucleation-mode particles (CoagS_{nuc}). This diameter was chosen by considering 232 the median of the related diameter range, which was discussed above for GR. The coagulation 233 efficiency was calculated from classical aerosol mechanics with adopting a mass 234 accommodation coefficient of 1 and utilizing the Fuchs' transition-regime correction factor 235 (Kulmala et al., 2001; Dal Maso et al., 2005; Kulmala et al., 2013) by using computation scripts developed at the University of Helsinki. Self-coagulation within the nucleation mode was
neglected due to limited ambient concentrations. Hygroscopic growth of particles was not
considered since this depends on chemical composition of particles, which is unknown.

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240 The fourth term on the right side of Eq. 1 expresses the growth out of newly formed particles 241 from the size range by condensation of vapours. The GR₁₀ was selected to approximate a 242 representative value at the median of the particle diameter range considered (Vuollekoski et al., 243 2012). It is implicitly assumed that GR_{10} can be regarded to be constant over the time interval 244 under consideration. Nevertheless, the growth of nucleation-mode particles in time is 245 occasionally limited (Fig. S1b). In these specific cases, the mean relative area of the nucleation 246 mode below 25 nm was determined by fitting individual size distributions around the time of 247 the maximum nucleation-mode NMMD, and the ratios were averaged. A correction in form of 248 the mean relative area was adopted as a multiplication factor for the growth out term in Eq. 1. 249 On very few days, the growth of newly formed particles was followed by a decrease in 250 nucleation-mode NMMD (Salma et al., 2016a). In these cases, the shrinkage rate (with a formal 251 GR₁₀<0) was derived and adopted in Eq. 1. Relative contributions of the concentration 252 increment coagulation loss and growth out from the diameter interval to J_6 are decreasing in 253 this order with mean values of 71%, 17% and 12%, respectively (Table S1).

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255 The formation and growth rates for the measurement years of 2008–2009 and 2012–2013 were 256 calculated earlier by a slightly different way and neglecting the urban features discussed above 257 (Salma et al., 2011, 2016b). To obtain consistent data sets, the dynamic properties for these 2 258 years were re-evaluated by adopting the present improved protocol and implementing the 259 experience gained over the years. The mean new-to-old rate ratios with SDs for the GR₁₀ and 260 J_6 were 1.06±0.32 and 1.23±0.37, respectively in the city centre (2008–2009) and 1.04±0.21 261 and 1.20±0.35, respectively in the near-city background (2012–2013). It was the smaller rates 262 that were primarily and sometimes substantially impacted. The modifications were 263 simultaneously adopted. The subtraction of particle number concentrations emitted by road 264 traffic from N_{6-25} usually leads to a decrease in the coagulation loss term and loss term due to 265 growth out from the diameter range of 6–25 nm. At the same time, the subtraction can also 266 influence the slope of the concentration change in time (dN_{nuc}/dt) depending on the actual time 267 evolution of perturbing emission source. In addition to that, the time interval in which this slope 268 is considered to be constant was set in a new treatment. It is noted that the relative contributions 269 of the concentration increment, coagulation loss and growth out from the diameter interval to J_6 have different weights in propagating their effects. Furthermore, J_6 itself also depends on GR₁₀, which makes the relationships even more complex. These connected effects explain why the changes resulted in increments. The re-calculation is considered as a methodological improvement over the years of research.

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275 The assumptions and estimations above usually represent a reasonable approximation to reality. 276 The N_{6-25} is derived from the experimental data in a straightforward way, the GR₁₀ and the 277 corrections for primary particles and limited particle growth depend on the quality of the size 278 distribution fitting as well, while the $CoagS_{10}$ is determined by using a theoretical model. The 279 resulting accuracies of the dynamic properties, in particular of J_6 , look rather complicated. They 280 also depend on the spatial heterogeneity in the investigated air masses particularly for the 281 observations performed at the fixed site, size and time resolution of the concentrations 282 measured, diameter range of the size distributions, fluctuations in the experimental data, selection of the particle diameter interval, choice of the time interval of interest (for linear fits), 283 284 sensitivity of the models to the input uncertainties (Vuollekoski et al., 2012), and also on the 285 extent of the validity of the assumptions applied under highly polluted conditions (Cai and 286 Jiang, 2017). The situation is further complicated with the fact that the dynamic (and also the 287 timing) properties are connected to each other. Finally, it is important to recognise that some 288 NPF and growth curves on the surface plots have rather broad starting time interval (Fig. S1b 289 and S1c). They occur in a considerable abundance in cities, e.g. in 40% of all quantifiable events 290 in Budapest (Sect. 4.4). This may yield badly defined or composite dynamic properties, whose 291 uncertainty can have principle limitations which can prevail on the experimental and model 292 uncertainties.

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294 Timing properties of NPF and growth events are increasingly recognised, and they can provide 295 valuable information even if they are estimated indirectly from the observed diameter interval 296 >1.5 nm (Sect. 1). The earliest estimated time of the beginning of a nucleation (t_1) and the latest 297 estimated time of the beginning of a nucleation (t_2) were derived by a comparative method 298 (Németh and Salma, 2014) based on the variation in the content of the first size channel of the 299 DMPS system. Both time parameters include a time shift that accounts for the particle growth 300 from the stable neutral cluster mode at approximately 2 nm to the smallest detectable diameter 301 limit of the DMPS systems (6 nm in our case) by adopting the GR value in the size window 302 nearest to it in size space. The difference $\Delta t = t_2 - t_1$ is considered as the duration time interval of 303 the nucleation process. It represents the time interval during which new aerosol particles are

generated in the air. The timing properties are expressed in UTC+1, and their uncertainty is
 regarded to be ca. 30 min under ordinary NPF and growth situations.

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307 **3.2 Sources and sink**

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309 Relative effects and role of gas-phase H_2SO_4 were estimated by its proximity measure (proxy 310 value) containing both its major source and sink terms under steady-state conditions according to Petäjä et al. (2009). It was calculated for GRad>10 W m⁻². Formaly, it is possible to convert 311 the H₂SO₄ proxy values to H₂SO₄ concentrations by an empirical scaling factor of $k=1.4\times10^{-1}$ 312 7 ×GRad $^{-0.70}$, where GRad is expressed in a unit of W m $^{-2}$ (Petäjä et al., 2009). The factor was, 313 314 however, derived for a remote boreal site, and, therefore, we prefer not to perform the 315 conversion since urban areas are expected to differ from the boreal regions. The conversion was 316 applied only to estimate the order of average H₂SO₄ atmospheric concentration levels. The 317 results derived by utilising the proxy are subject to larger uncertainties than for the other 318 properties because of these limitations, but they may indicate well gross tendencies.

319

Condensation sink for vapour molecules onto the surface of existing aerosol particles was computed for discrete size distributions as described in earlier papers (Kulmala et al., 2001; Dal Maso et al., 2002, 2005) and summarised by Kulmala et al. (2013). The equilibrium vapour pressure of the condensing species was assumed to be negligible at the surface of the particles, thus similar to sulfuric acid. Dry particle diameters were considered in the calculations.

325

326 4 Results and discussion

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328 Annual median total particle number concentrations (N) for each measurement year are 329 summarised in Table 1. The data for the city centre indicate a moderate decreasing trend. The 330 mean UF/N ratio with SD for the same measurement time intervals were $67\pm14\%$, and $79\pm6\%$, 331 $75\pm10\%$, $75\pm11\%$, $76\pm11\%$ and $80\pm10\%$, respectively. The values correspond to ordinary 332 urban atmospheric environments in Europe (Putaud et al., 2010, Sun et al., 2019). An overview 333 on the number of classified days for each measurement year is also given in Table 1. The 334 availability of the daily size distribution surface plots with respect to all days ensures that the 335 data are representative on yearly and monthly time scales, except for the months August and 336 September 2015, when there were missing days in larger ratios. The number of quantifiable event days (248 cases) is also considerable, which establishes to arrive at firm conclusion forthe NPF and growth events as well.

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Table 1. Annual median total particle number concentrations (in 10³ cm⁻³), number of days with NPF

341 and growth event, quantifiable event days, non-event days, undefined days, missing days and the

342 coverage (in %) of relevant days in the near-city background and city centre separately for the 1-year

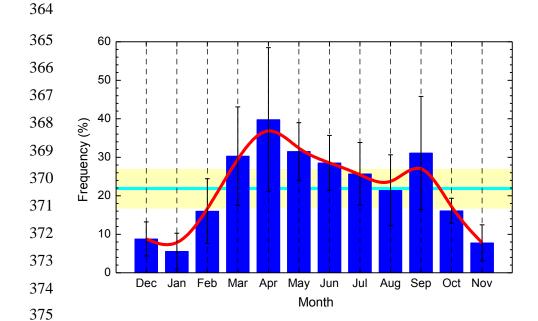
343 long measurement time intervals.

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Environment	Background			Centre			
Time	2012–	2008–	2013–	2014–	2015–	2017–	
interval	2013	2009	2014	2015	2016	2018	
Concentration	3.4	11.5	9.7	9.3	7.5	8.7	
Event days	96	83	72	81	35	83	
Quantifiable days	43	31	48	56	18	52	
Undefined days	19	34	24	25	8	23	
Non-event days	231	229	267	240	226	257	
Coverage	95	95	99	95	73	99	
Missing days	20	19	2	19	97	2	

345

346 It was previously shown that the NPF and growth events observed in the city centre of Budapest 347 and its background ordinarily happen above a larger territory or region in the Carpathian Basin 348 (Németh and Salma, 2014), and they are linked to each other as a spatially coherent and joint 349 atmospheric phenomenon (Salma et al., 2016b). From the point of the occurrence frequency 350 distribution, they can, therefore, be evaluated jointly in the first approximation. An overall 351 monthly mean relative occurrence frequency of nucleation days derived for all 6 measurement 352 years is shown in Fig. 1. The annual mean frequency with SD was 22±5%, which is considerable 353 and is in line with other urban sites (Sect. 1). The monthly mean frequency has a temporal 354 variation, which can be characterised by a noteworthy pattern. The mean monthly dependency 355 exhibits an absolute and a local minimum in January (5.6%) and August (21%), respectively, 356 and an absolute and a local maximum in April (40%), and September (31%), respectively. 357 Nevertheless, the SDs of the monthly means indicate prominent variability from year to year. 358 The pattern can be related to multivariate relationships and complex interplay among the 359 influencing factors, which include the air temperature (January is the coldest month, while 360 August is the warmest month in the Carpathian Basin) and enhanced emission of biogenic 361 VOCs in springtime (March–April) and early autumn (September) as well (Salma et al., 2016b).



362 It is noted that the findings derived for the separate city-centre data set are very similar to the 363 results presented above.

Figure 1. Monthly mean relative occurrence frequency of NPF and growth events for the joint 6-year long data set. The error bars show ± 1 standard deviation, the horizontal line in cyan indicates the overall annual mean frequency, the yellow bands represent ± 1 standard deviation of the annual mean, and the smooth curve in red serves to guide the eye.

381 The properties and variables studied were derived in full time resolution. They were averaged 382 in several ways for different conditions and for various purposes to obtain typical average 383 descriptive characteristics. In 1 case (31–08–2016), the NPF and growth event could reliable 384 be identified, while the measured absolute particle number concentrations could not be 385 validated due to experimental troubles, and, therefore, it was left out from the further 386 calculations. Similarly, there were 1 and 4 events with unusually/extraordinarily large dynamic 387 properties in the measurement years 2014–2015 and 2017–2018, respectively. More specifically, 5 individual J_6 data when expressed in a unit of cm⁻³ s⁻¹ and 1 individual GR₁₀ 388 data when given in nm h^{-1} were >20 (Table 3). These extremes were left out from the overview 389 390 statistics to maintain the representativity (they could be influenced by some unknown extra or 391 very local sources) and to fulfil better the basic requirements of correlation analysis. If an event 392 showed a double beginning then the dynamic properties for the first onset were considered in 393 the basic overview since this onset is of regional relevance (Salma et al., 2016b). The extreme 394 NPF and growth events and the characteristics for the second onsets were, however, evaluated 395 separately and are discussed in detail and interpreted in Sect. 4.4.

- 396 4.1 Ranges and averages
- 397

398 Ranges and averages with SDs of formation rate J_6 , growth rate GR₁₀, starting time of 399 nucleation (t_1) and duration time interval of nucleation (Δt) are summarised in Table 2 for 400 separate measurement years and for the joint 5-year long city centre data set. In the city centre, 401 nucleation generally starts at 09:15 UTC+1, and it is typically maintained for approximately 3 402 h. The NPF and growth events ordinarily produce 5.6 new aerosol particles with a diameter of 403 6 nm in 1 cm³ of air in 1 s, and cause the particles with a diameter of 10 nm to grow with a 404 typical rate of 7.6 nm h⁻¹. The statistics for J_6 and GR₁₀ are based on 199 and 203 events, 405 respectively. The corresponding data for the separate years show considerable variability 406 without obvious trends or tendencies. The differences between the years can likely be related 407 to changes in actual atmospheric chemical and physical situations and conditions, and to the 408 resulting modifications in the sensitive balance and delicate coupling among them from year to 409 year. Spread of the individual data for GR_{10} is smaller than for J_6 ; the relative SDs for the joint 410 5-year long city centre data set were 38% and 68%, respectively.

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412 The dynamic properties and t_1 data tend to be smaller in the near-city background than in the 413 city centre. In general, nucleation starts 1 h earlier in the background, and the events typically show significantly smaller J_6 (with a median of 2.0 cm⁻³ s⁻¹) and GR₁₀ (with a median of 5.0 414 nm h⁻¹). Duration of the nucleation is very similar to that in the city centre. All starting times 415 416 of nucleation were larger than (in a few cases, very close to) the time of the sunrise. This implies 417 that no nocturnal NPF and growth event has been identified in Budapest so far. The particle 418 growth process (the so-called banana curve) could be traced usually for a longer time interval 419 (up to 1.5 d) in the background than in the centre.

420

These results are in line with the ideas on atmospheric nucleation and consecutive particle growth process (e.g. Kulmala et al., 2014; Zhang et al., 2015; Kerminen et al., 2018). It was observed in a recent overview study (Nieminen et al., 2018) that the formation rate of 10–25 nm particles increased with the extent of anthropogenic influence, and in general, it was 1–2 orders of magnitude larger in cities than at sites in remote and clean environments.

427 **Table 2.** Ranges, averages and standard deviations of aerosol particle formation rate J_6 , particle diameter 428 growth rate GR₁₀, starting time (t_1) and duration time interval ($\Delta t = t_2 - t_1$) of nucleation process of 429 quantifiable NPF and growth events in the near-city background and city centre separately for the 1-430 year long measurement time intervals and for the joint 5-year long city centre data set.

Environment	Background	Centre							
Time	2012-	2008-	2013-	2014-	2015-	2017-	All 5		
interval	2013	2009	2014	2015	2016	2018	years		
Formation rate J_6 (cm ⁻³ s ⁻¹)									
Minimum	0.48	1.47	1.13	0.81	1.19	1.60	0.81		
Median	2.0	4.2	3.5	4.4	4.6	6.3	4.6		
Maximum	5.6	15.9	17.8	18.0	15.3	17.3	18.0		
Mean	2.2	4.7	5.2	5.6	5.0	6.6	5.6		
St. deviation	1.3	2.6	3.7	4.2	3.7	3.3	3.8		
Growth rate GR_{10} (nm h ⁻¹)									
Minimum	3.0	3.7	3.1	2.8	3.2	3.3	2.8		
Median	5.0	7.6	6.6	6.5	8.0	7.5	7.3		
Maximum	9.8	17.4	19.0	18.0	15.5	19.8	19.8		
Mean	5.2	7.8	7.2	7.3	7.7	8.0	7.6		
St. deviation	1.4	2.6	2.8	3.2	3.0	2.8	2.9		
Starting time, <i>t</i> ₁ (HH:mm UTC+1)									
Minimum	05:51	07:14	06:44	05:48	07:31	05:57	05:48		
Median	08:19	09:26	09:22	08:48	09:45	09:18	09:15		
Maximum	11:09	11:38	12:21	11:23	12:45	12:15	12:45		
Mean	08:17	09:27	09:25	08:49	10:02	09:24	09:19		
St. deviation	01:11	01:05	01:26	01:22	01:23	01:36	01:26		
Duration time, Δt (HH:mm)									
Minimum	01:23	00:52	00:42	00:31	01:03	01:26	00:31		
Median	03:16	02:36	02:04	03:53	02:31	03:49	02:57		
Maximum	06:44	06:04	05:34	07:46	06:05	07:55	07:55		
Mean	03:30	02:44	02:14	03:52	02:58	03:57	03:18		
St. deviation	01:40	01:11	01:01	01:40	01:47	01:39	01:40		

432

Ranges and averages with SDs of some related atmospheric properties, namely of mean CS averaged for the time interval from t_1 to t_2 , daily maximum gas-phase H₂SO₄ proxy, daily mean *T* and RH (Table S2), and of daily median concentrations of SO₂ (as the major precursor of gasphase H₂SO₄), O₃ (as an indicator of photochemical activity), NO_x and CO gases (as indicators of anthropogenic combustion activities and road vehicle emissions) (Table S3) were also derived for quantifiable NPF and growth event days, and are further evaluated. The annual mean CS values exhibited decreasing tendency in the city centre over the years. The individual

values remained below approximately 20×10^{-3} s⁻¹, which agrees well with the results of our 440 441 earlier study (Salma et al., 2016b) according to which the CS suppresses NPF above this level 442 in the Carpathian Basin. Maximum H₂SO₄ proxy values reached substantially higher levels (by 443 a factor of approximately 2) in the near-city background than in the city centre due mainly to 444 the differences in the CS and [SO₂]. The differences between the 2 sites are particularly evident 445 when considering their smallest values. The largest variability in the annual average values 446 were observed for the proxy. Median concentration of H₂SO₄ molecules was roughly estimated to be approximately 5×10^5 cm⁻³ by adopting the scaling factor (Sect. 3.2). The air T displayed 447 448 quite similar and comparable values over the years at both sites. The discussion of its overall 449 effect on the dynamic properties is accomplished in Sec. 4.2, where the monthly distributions 450 are presented. Some events happened at daily mean temperatures below zero. The daily mean 451 RH and its SD for the city centre and near-city background were $54\pm11\%$ and $64\pm12\%$, 452 respectively. There were events that occurred at RHs as high as 90%. Relationships of the 453 dynamic properties with T and RH are also obscured with strong seasonal cycle of these 454 meteorological data and with the fact that air masses arriving to the receptor site in different 455 trajectories are often characterised by distinct levels of meteorological data.

456

457 As far as the pollutant gases are concerned (Table S3), SO₂ showed somewhat smaller daily 458 median values, and O₃ exhibited substantially smaller levels on event days in the city centre 459 than in the near-city background, while concentrations of NO_x and CO were obviously larger 460 in the city than in its close background. The differences can primarily be explained by intensity 461 and spatial distribution of their major sources and atmospheric chemical reactions, and the 462 joined concentration data resembles typical situations without photochemical smog episodes in 463 cities. There was no obvious decrease in SO₂ concentration during these years in contrast with 464 an earlier decreasing trend from mid-1980s till about 2000.

466 **4.2 Monthly distributions**

467

468 Distributions of the monthly mean J_6 , GR₁₀, daily maximum gas-phase H₂SO₄ proxy, mean CS, 469 daily mean air T and RH, and daily median SO_2 , O_3 , NO_x and CO concentrations for quantifiable 470 NPF and growth events for the joint city centre data sets are shown in Fig. 2. The distributions 471 - eminently for J_6 , GR₁₀, H₂SO₄ proxy and SO₂ - do not follow the monthly pattern of the event 472 occurrence frequency at all (cf. Fig. 1). Instead, the J_6 , GR₁₀ and H₂SO₄ proxy tend to exhibit 473 larger values in summer months, and they temporal changes over the other months are smooth 474 and do not show distinctive features. The elevations are substantial; the estimated maximum 475 level was larger than the baseline by a factor of 2.1 for the J_6 , and by a factor of approximately 476 1.4 for the GR₁₀ and H₂SO₄ proxy. Intensity of solar radiation, its seasonal cycling, 477 concentration of atmospheric precursors in different months, biogenic processes, anthropogenic 478 activities and the fact that rate coefficients of many thermal chemical/physicochemical 479 processes in the nature (including GR, Paasonen et al., 2018) increase with T could play an 480 important role in explained the distributions.

481

The differences in the GRad (and some other properties) are, however, biased by the seasonal cycle of solar electromagnetic radiation via the seasonal variation of NPF occurrence frequency. Nevertheless, the misalignment among the monthly distributions of NPF and growth event occurrence frequency and all the other properties indicates that the occurrence or its basic causes are not linked with the dynamic properties in a straightforward or linear manner in the Carpathian Basin including Budapest.

488

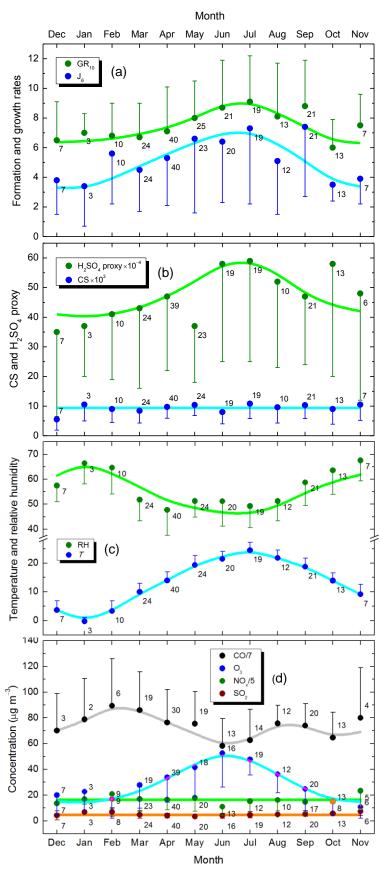
489 Some of our results are in line with other observations according to which GR exhibited almost 490 exclusively a summer maximum, while some other finding are different in the sense that the 491 seasonal variability in particle formation rate was quite modest and could not be established 492 earlier (Nieminen et al., 2018). There is one more aspect which may be worth realising in this 493 respect. A large fraction of compounds contributing to NPF and growth in cities can originate 494 from anthropogenic precursors (Vakkari et al., 2015). Their emissions may peak any time of 495 year depending on human habits and requirements (Nieminen et al., 2018). Nevertheless, the 496 fact that our monthly distributions of the dynamic properties in urban environments follow the 497 universal summer maximum behaviour may indicate the overall prevailing role of atmospheric 498 photochemistry coupled with biogenic emissions of aerosol precursor vapours.

500 The monthly mean J_6 , GR₁₀ and H₂SO₄ proxy data still have considerable uncertainty, which 501 makes their interpretation not yet completely conclusive. The uncertainties are influenced by 502 inherent fluctuations in the primary data sets, enhancing effects caused by combining some 503 individual primary data into compound variables (such as H₂SO₄ proxy), number of data items 504 available for different properties and months, variations in other or unknown relevant 505 environmental conditions, and by the variability in relative nucleation occurrence frequency 506 from year to year. The resulting uncertainties are expected to decrease with the length of the 507 available data sets, which emphasized the need to continue the measurements.

508

509 The monthly distributions of CS, and SO₂ and NO_x concentrations could be represented by constant values of the overall means and SDs of $(9.4\pm4.3)\times10^{-3}$ s⁻¹, 4.7 ± 2.1 µg m⁻³ and 81 ± 38 510 511 μ g m⁻³, respectively with an acceptable accuracy. This suggests that these variables in Budapest 512 do not critically or substantially affect the dynamic properties (or the event occurrence). 513 Monthly distributions of air T and O₃ concentration showed a maximum over summer months, 514 while RH reflected the T tendency. In addition, monthly averages of T on event days and on 515 non-event days were similar. Both higher biogenic emissions and typically stronger 516 photochemistry are expected during the summer, which enhance the production rate of 517 nucleating and condensing vapours, while there is practically nothing extra in the first 518 approximation (except for extreme Ts) that would suppress the dynamical properties (Kerminen 519 et al., 2018). As result of these complex effects, the dynamic rates showed a summer maximum. 520 This is consistent with the results from other urban and non-urban studies (Nieminen et al., 521 2018). Distribution of CO was more changing and without obvious tendentious temporal structure or feature than for the other gases, and, therefore, its interpretation is encumbered so 522 523 far. However, it doesn't seem to substantially affect the dynamic properties.



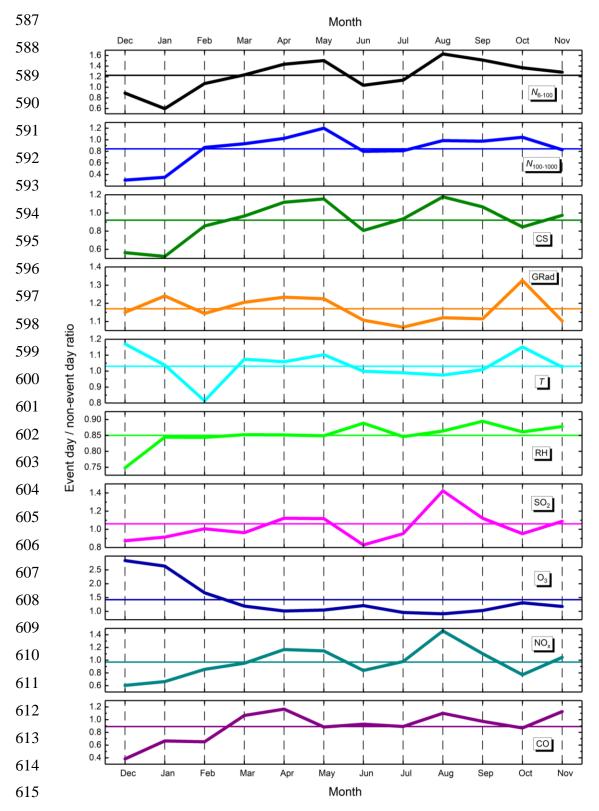


526 Figure 2. Distribution of 527 monthly mean aerosol particle formation rate J_6 in 528 a unit of cm⁻³ s⁻¹ and 529 530 particle diameter growth 531 rate GR₁₀ in a unit of nm h⁻¹ (a), mean condensation sink 532 533 for vapours (CS) in a unit of 534 S^{-1} averaged over the 535 nucleation time interval $(t_1,$ 536 t_2) and daily maximum gas-537 phase H₂SO₄ proxy in a unit 538 of $\mu g m^{-5} W s$ (b), daily 539 mean air temperature (T) in 540 a unit of °C and daily mean 541 relative humidity (RH) in % 542 (c), and daily median 543 concentrations of SO₂, O₃, 544 NO_x and CO for 545 quantifiable NPF and 546 growth events in the city 547 centre for the joint 5-year 548 long time interval. The error 549 bars are shown for one side 550 and indicate 1 standard 551 deviation. Number of the 552 individual data averaged in 553 each month is displayed 554 next to the symbols. The horizontal lines indicate the 555 556 overall mean. The nonlinear 557 curves assist to guide the 558 eye.

560 Distributions of monthly mean ratios of major variables on NPF event days to that on non-event 561 days for the joint city centre data set are summarised in Fig. 3. It is noted that the differences in 562 the number of non-event days and event days are the largest in winter and smallest in spring 563 (Fig. 1). The annual mean ratios for N_{6-100} , GRad, SO₂ and O₃ were above unity, for $N_{100-1000}$ 564 and RH, they were below unity, while the value of CS, NO_x and CO were close to each other 565 on both types of days. Ultrafine particles are generated by NPF and growth processes in a 566 considerable amount; their concentration was larger by 23% on event days than on non-event 567 days. This agrees with our earlier assessment of the NPF contribution as a single source of 568 particles based on nucleation strength factor NSF_{GEN} of 13% as a lower estimate (Salma et al., 569 2017). The other variables of the first group above represent conditions which favour 570 atmospheric nucleation and particle growth, i.e., strong solar radiation, precursor gas and 571 general photochemical activity, respectively. Particles in the size range of 100–1000 nm (the 572 pre-existing particles with a relatively long residence time) express a condensation and scavenging sink, which represents a competing process to nucleation. There is also evidence 573 574 that RH acts against continental NPF process (Hamed et al., 2011).

575

576 It is also seen in Fig. 3 that NPF and growth events in winter took place preferably when N_{100-} 577 1000, CS, RH, NO_x, and CO concentrations were especially low and O₃ concentration was 578 unusually large. It can be explained by considering that the basic preconditions of NPF events 579 are realised by the ratio of source and sink terms for condensing vapours. The source strength 580 in winter is often decreased substantially in the Budapest area (Salma et al., 2017) due to lower 581 solar radiation and less (biogenic) chemical precursors in the air. Nevertheless, NPF can still 582 occur if the sink becomes even smaller. This also explains the lower event day-to-non-event 583 day ratios for N_{6-100} observed in winter months. Full exploitation of the data base by 584 multistatistical and other methods has been in progress and is to be published in a separate 585 article.



616 **Figure 3.** Distributions of monthly mean ratios of median concentrations of N_{6-100} , $N_{100-1000}$, SO₂, O₃, 617 NO_x and CO, and of mean condensation sink for vapours (CS), global solar radiation (GRad), air 618 temperature (*T*) and relative humidity (RH) on NPF event days to that on non-event days in the city 619 centre for the joint 5-year long time interval. The horizontal lines represent annual average ratios. 620

- 621 4.3 Relationships
- 622

Pearson's coefficients of correlation (*R*) between J_6 and GR_{10} revealed significant linear relationship between them for all annual data sets (the mean *R* and SD were 0.768±0.099, number of data pairs *n*=243). This confirms that formation of new aerosol particle and their growth to larger sizes are tightly and positively linked together. It should be noted that J_6 and GR_{10} are not completely independent variables (see Eq. 1 and Table S1). The linear relationship between the dynamic properties was observed under different atmospheric conditions in many environments (Nieminen et al., 2018).

630

631 The dynamic properties can also be coupled to the concentrations of aerosol precursor 632 compounds and properties of a pre-existing particle population, thus to atmospheric 633 environment (Kerminen et al., 2018). It is, therefore, sensible to investigate the city centre and 634 near-city background data separately. Scatter plots between J_6 and GR_{10} for the 1-year long 635 measurement time intervals are shown in Fig. 4. For the city centre, the regression lines follow the line with a slope of 1 in all 5 years. The mean slope (b) with SD for the joint 5-year long 636 city centre data set was $b=0.94\pm0.07$ expressed formally in a unit of cm⁻³ s⁻¹ nm⁻¹ h. At the 637 same time, the regression line for the near-city background deviated significantly with a 638 $b=0.67\pm0.10$ cm⁻³ s⁻¹ nm⁻¹ h from the J_6 vs. GR₁₀ dependency for the city centre. This can 639 imply that NPF and growth processes advance in a different manner in these 2 environments. 640 641 This is likely related to the differences between the city and its close environment as far as the 642 atmospheric composition (for instance, the VOC and NO_x concentrations), chemistry and 643 physics, and other delicate conditions are concerned (Paasonen et al., 2018). The narrower 644 range and smaller number of individual dynamic properties available for the near-city 645 background relative to those in the city centre represent some inherent limitation or weakness in the explanation, and, therefore, it can strictly be regarded as a working hypothesis. 646

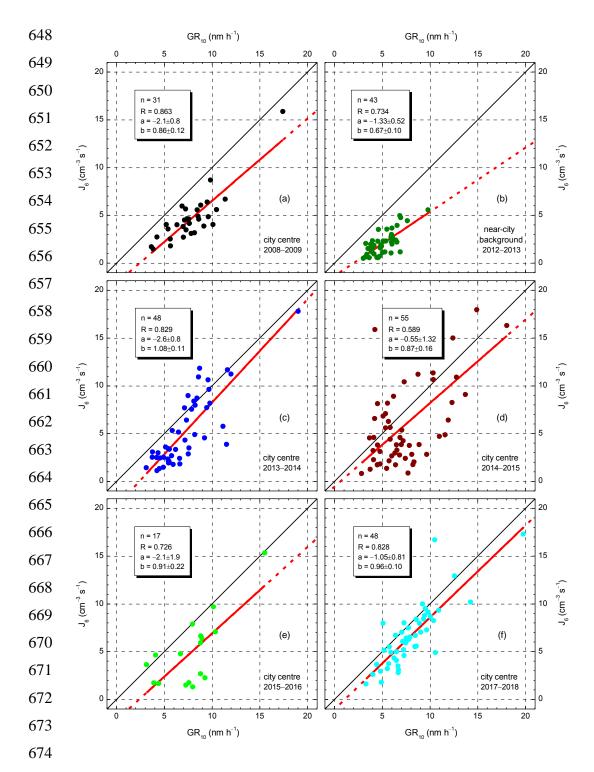


Figure 4. Scatter plots for aerosol particle formation rate J_6 and particle diameter growth rate GR₁₀ in city centre (a and c–f) and near-city background (b) separately for the 1-year long measurement time intervals. Number of data point (*n*), their coefficient of correlation (*R*) and the intercept (*a*) and slope (*b*) of the regression line with standard deviations are also indicated. The lines in black represent the line with a slope of 1, the solid lines in red show the regression lines, while the dashed parts in red are extrapolated from the regression line.

682 The intercepts (a) of the regression lines were identical for all data sets within their uncertainty interval. The mean intercept and SD were estimated to be -1.7 ± 0.8 cm⁻³ s⁻¹. This finding is 683 684 interpreted as the existence of a minimum GR or more exactly of a minimally required GR that 685 leads to $J_6>0$. Particles that exhibit at least this level of GR can escape coagulation mainly with 686 larger particles and reach the detectable diameter (6 nm in our case) by condensational growth. 687 The minimal GR was derived as $GR_{min} = -a/b$, and its mean and SD are 1.8 ± 1.0 nm h⁻¹ for the 688 conditions ordinarily present in the Budapest air. Nucleation processes which are initiated under 689 circumstances that cause the newly formed particle with a diameter of 10 nm to grow with a 690 rate $\langle GR_{min}$ are normally not observed. Anyway, these are expected to be events with relatively 691 small J_6 (weak phenomena) due to the relationship between GR₁₀ and J_6 . The events with GR 692 larger but close to this limit could be still masked by fluctuating experimental data. Their 693 identification and evaluation can be made feasible by decreasing the lower measurement diameter limit of DMPS systems down to 3 nm, or by different instruments such as particle size 694 695 magnifier or neutral cluster and air ions spectrometer.

696

697 Correlations between individual H_2SO_4 proxy values on one side and J_6 or GR_{10} on the other 698 side were not significant. This is consistent with the corresponding conclusion of Sect. 4.2 and 699 with the earlier results according to which the mean contribution of H₂SO₄ condensation to the 700 particle GR₁₀ was only 12.3% in Budapest (Salma et al., 2016b). The lack of correlation and 701 the average concentrations of SO₂ derived separately for event and non- event days suggest that 702 this precursor gas is ordinarily available in excess and, therefore, it is usually not the lack of 703 SO₂ gas itself, which limits the NPF and growth events in Budapest. Instead, the reaction rate 704 of oxidation of SO₂ to H₂SO₄ in the gas phase - likely governed by photochemical conditions -705 , and other chemical species than H₂SO₄ can have larger influence on the particle growth. The 706 role of H₂SO₄ in the nucleation process and early particle growth is still determinant or relevant. 707

Coefficients of correlation between CS on one side and J_6 or GR₁₀ on the other side for the joint city centre data sets were modest (*R*=0.41 and 0.32, respectively with *n*=194 and 197, respectively). This is simply related to the fact that larger GR values are typical for polluted urban air (Kulmala et al., 2017) since particles capable of escaping coagulation scavenging need to grow faster in comparison to cleaner environments, and the enhanced requirements for the growth are linked to increased formation rates as well. It should be noted here that the GR of newly formed particles to larger sizes is primarily coupled to 1) CS, which is further linked to the entire aerosol particle population (including the newly formed particles, thus the NPF itself),
to the total concentration and some physicochemical properties of non-volatile gaseous
compounds and 3) to their production rate in the gas phase from aerosol precursor compounds
(e.g. Kerminen et al., 2018). These couplings could result in rather complex behaviour, and
their understanding is essential when analysing atmospheric observations.

720

721 As far as the pollutant gases are concerned, no correlation could be identified between J_6 or 722 GR₁₀ on one side and the gas concentrations on the other side. The coefficients of correlation 723 between CS and NO_x or CO were modest (R=0.37 and 0.42, respectively with n=164 and 152, 724 respectively), while correlation of NO_x and CO on one side with WS was also modest but 725 negative (R=-0.32 and -0.42, respectively with n=167 and 155, respectively). The former 726 relationships can be explained by the fact that vehicular road traffic in cities is a considerable 727 and common source of NO_x, CO and primary particles (Paasonen et al., 2016), and the emitted 728 particles largely contribute to CS levels. The latter relationships are linked to the effect of large-729 scale air mass transport (often connected to high WSs) on urban air pollution or air quality.

730

731 **4.4 Extreme and multiple events**

732

The data sets of J_6 , GR_{10} and Δt containing all, 247 individual values each could be characterised by lognormal distribution function. This is demonstrated by log-probability graph for J_6 in Fig. S2 as example. The coefficient of determination, median and geometric standard deviation for J_6 , GR_{10} and Δt data sets were 0.990, 4.0 cm⁻³ and 2.3; 0.993, 6.8 nm h⁻¹ and 1.46; and 0.998, 02:57 (0.123 d) and 1.74, respectively. It is noted that the findings derived for the separate city centre data set are very similar to the results presented above.

739

740 One of the major properties of this distribution type is that it contains relatively large individual 741 data with considerably high abundances. There were 5 individual J_6 and 5 individual GR₁₀ data 742 above the 98% percentile of the data sets, which belonged to 9 separate NPF and growth events 743 (days). Their specifications, properties and parameters are summarised in Table 3. All these 744 events occurred in the city centre from April to September. The medians of J_6 , GR₁₀, CS and 745 air T for the subsets of these 9 extreme event days were larger by factors of 5.2, 2.4, 1.5 and 746 1.4, respectively than for the city centre data. At the same time, the medians of the other 747 atmospheric properties and concentrations in these 2 respective data sets agreed within 748 approximately 10%. There was a single event associated with an extreme H_2SO_4 proxy (of

 $23 \times 10^5 \ \mu g \ m^{-5} \ W \ s$) and relatively low NO_x concentration (44 $\ \mu g \ m^{-3}$), which indicate 749 exceptionally favourable conditions for NPF and growth. In addition to this case, there were 750 only a few days that were characterised by an unusually large CS $(23 \times 10^{-3} \text{ s}^{-1})$ – which could 751 in turn be linked to higher dynamic rates (Sect. 4.3) – or by somewhat larger SO₂ (8.1 μ g m⁻³) 752 or lower NO_x concentration (34 μ g m⁻³). For all the other events, however, no simple or 753 754 compound property of the investigated variables could explain the extreme rates. Instead, they 755 may be related to some other chemical species and/or atmospheric processes, which were not 756 including in the present study.

757

766

758 **Table 3.** Date (in a format of dd–MM–yyyy), new particle formation rate J_6 (in a unit of cm⁻³ s⁻¹), 759 particle diameter growth rate GR_{10} (nm h⁻¹), starting time t_1 of nucleation (HH:mm UTC+1), duration 760 time interval $\Delta t = t_2 - t_1$ of nucleation (HH:mm), mean condensation sink CS during the nucleation process 761 (10^{-3} s^{-1}) , daily maximum gas-phase H₂SO₄ proxy ($10^4 \mu \text{g m}^{-5} \text{ W s}$), daily mean air temperature T (°C), 762 daily mean relative humidity RH (%), daily median concentrations of SO₂, O₃, NO_x (µg m⁻³) and CO $(mg m^{-3})$ gases, and the type of the onset for extreme quantifiable NPF and growth events. The cells in 763 764 vellow indicate the values which are above the 98% percentile of the corresponding data sets. N.a.: not 765 available.

Date/ property	15– 09– 2009	20– 04– 2014	19– 05– 2015	04– 07– 2015	28– 05– 2017	25– 06– 2017	02– 08– 2017	31– 08– 2017	09– 09– 2017
J_6	15.9	17.8	24	16.3	27	33	30	47	17.3
GR_{10}	17.4	19.0	12.2	18.0	9.2	17.0	11.8	21	19.8
t_1	10:20	08:52	08:52	09:38	06:34	10:18	07:39	10:06	11:38
Δt	01:23	01:42	03:57	02:06	07:15	02:46	06:58	06:19	02:06
Proxy	38	42	25	16	229	41	69	92	45
CS	13.4	8.9	13.7	11.9	6.9	10.5	23	18.2	15.5
Т	20	13.0	22	26	20	24	29	23	19.1
RH	60	62	48	40	40	68	49	47	58
SO_2	6.1	2.5	4.4	2.3	3.4	3.1	5.6	8.1	6.6
O_3	16.3	43	n.a.	33	61	56	34	24	12.9
NO _x	69	34	174	70	44	66	n.a.	109	112
CO	0.42	n.a.	0.71	0.33	0.31	0.50	0.97	0.62	0.71
Onset	ordinary	double	broad	ordinary	broad	broad	broad	broad	ordinary

Each quantifiable NPF and growth event was labelled as ordinary or broad by visual inspection
of its beginning part. If the width of the beginning was smaller than approximately 2 h or there
was a determinant single growth curve (rib) on the size distribution surface plot then the onset

771 was labelled as ordinary, otherwise as broad (Fig. S1b and S1c for broad onsets). Broad onsets 772 can be generated by 1) long-lasting nucleation process, 2) disrupted and started over nucleation 773 due to changing atmospheric and meteorological conditions or 3) multiple nucleation processes 774 close to each other in time (Salma et al., 2016b). The broad onsets were specified as doublets 775 if the nucleation mode could be separated into 2 submodes by size distribution fitting. 776 Approximately 40% of all quantifiable events had a broad onset. This indicates that events with 777 broad/multiple onsets are abundant in the urban environment, which could be an important 778 difference from remote or clean atmospheres.

779

780 For ca. 10% of all quantifiable event days, it was feasible to calculate 2 sets of dynamic 781 properties for onsets 1 and 2 with a reasonable accuracy. In the near-city background, the 782 medians of J_6 and GR_{10} for the onset 1 were similar to the corresponding medians for the whole 783 near-city background data set, while for the onset 2, they were substantially larger, namely 4.1 cm⁻³ s⁻¹ and 10.0 nm h⁻¹, respectively (cf. Table 2). Actually, the latter values were closer to 784 the medians of the city centre than for the near-city background. Approximately 75% of the 785 786 doublets resulted in individual onset2/onset1 ratios larger than unity. Their overall median 787 ratios for J_6 and GR_{10} were similar and approximately 1.2, while for the near-city background, 788 they were about 2. The results are in line with our earlier conclusion according to which the 789 second onsets (if it is a new formation process and not just a started over event) are more 790 intensive than the first onsets (Salma et al., 2016b). These particles also grow faster. This can 791 be explained by the fact that the first event is of regional scale since its dynamic properties 792 resemble those of the regional background (Yli-Juuti et al., 2009), while the later event can be 793 characterised by values typical for the city centre (Salma et al., 2016b). The later event (or 794 events) are mainly caused and governed by sub-regional processes. These findings are also 795 coherent with a previous observation of NPF and growth events with multiple onsets in semi-796 clean savannah and industrial environments (Hirsikko et al., 2013), and they also fit well into 797 the existing ideas on mixing regional and urban air parcels that exhibit different properties such 798 as precursor concentrations, T and RH (Kulmala et al., 2017).

799

800 5 Conclusions

801

802 Magnitude of the particle number concentration level produced solely by NPF and growth can 803 roughly be estimated by considering the median J_6 , median duration of nucleation Δt (their 804 distribution function is lognormal; Table 2) and the mean coagulation loss of these particles 805 F_{coag} (0.17; Sect. 3.1 and Table S1) as: $J_6 \times \Delta t \times (1 - F_{\text{coag}})$. In central Budapest, it yields a concentration of 10^4 cm⁻³. This is in line with another result achieved by nucleation strength 806 807 factor (Salma et al., 2017). More importantly, the estimated concentration is comparable to the 808 annual median atmospheric concentrations (Table 1). This simple example indicates that the 809 phenomenon is relevant not only for aerosol load and climate issues on regional or global spatial 810 scales, which were first recognised. It is sensible also to study the effects of NPF and growth 811 events on urban climate and health risk for inhabitants since they produce a large fraction of 812 particles even in cities.

813

814 Similar recognitions have led to emerge of urban atmospheric nucleation studies. As part of this 815 international progress, we presented here a considerable variety of contributions, which became 816 feasible thank to gradually generating, multi-year long, critically evaluated, complex and 817 coherent data sets. Dynamic and timing properties of 247 NPF and growth events were studied 818 together with supporting aerosol properties, meteorological data and pollutant gas 819 concentrations in near-city background and city centre of Budapest for 6 years. The results and 820 conclusions derived form in important component that is based on atmospheric observations. 821 The present study can also be considered as the first step toward a larger and more 822 comprehensive statistical evaluation process.

823

824 Further dedicated research including sophisticated measurements, data evaluations and 825 modelling studies is required to find and identify additional chemical species and their 826 processes, and to account their multifactorial role in more detail. Such measurement campaign 827 focusing on chemical composition of molecular clusters, precursors and nucleating vapours by 828 applying recent expedient instruments in Budapest over the months of the highest expected 829 event occurrence has been just realised within a frame of an international cooperation. Its 830 perspective results can hopefully provide additional valuable information for some of the 831 conclusion base on indirect evidence for the time being and can further clarify the overall 832 picture on urban multicomponent nucleation and growth phenomenon.

833

Data availability. The observational data used in this paper are available on request from the
corresponding author or at the website of the Budapest platform for Aerosol Research and Training
(http://salma.web.elte.hu/BpART).

- 838 Author contributions. I.S. designed the study, performed most of the data analysis, interpreted the
- results and wrote the paper. Z.N. performed most measurements and data treatment, and contributed to
- the data analysis.
- 841
- 842 *Competing interest.* The authors declare that they have no conflict of interest.
- 843

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