

Consequences of dynamic and timing properties of new aerosol particle formation and consecutive growth events

Imre Salma and Zoltán Németh

Institute of Chemistry, Eötvös University, H-1518 Budapest, P.O. Box 32, Hungary

Correspondence to: Imre Salma (salma@chem.elte.hu)

Abstract. A variety of contributions to the emerging research field of urban atmospheric new particle formation (NPF) and consecutive particle diameter growth based on gradually generating, several-year long, semi-continuous, critically evaluated, complex and coherent data sets are presented here. Dynamic properties, i.e. particle formation rate J_6 and particle diameter growth rate GR_{10} , and timing properties, i.e. starting time (t_1) and duration time interval (Δt) of 247 quantifiable atmospheric NPF and growth events identified in the city centre and near-city background of Budapest over 6 full measurement years together with related gas-phase H_2SO_4 proxy, condensation sink (CS) of vapours, basic meteorological data and concentrations of criteria pollutant gases were derived, evaluated, discussed and interpreted. In the city centre, nucleation ordinarily starts at 09:15 UTC+1, and it is maintained for approximately 3 h. The NPF and growth events produce 4.6 aerosol particles with a diameter of 6 nm in 1 cm^3 of air in 1 s, and cause the particles with a diameter of 10 nm to grow with a typical rate of 7.3 nm h^{-1} . Nucleation starts approximately 1 h earlier in the near-city background, it shows substantially smaller J_6 (with a median of $2.0\text{ cm}^{-3}\text{ s}^{-1}$) and GR_{10} values (with a median of 5.0 nm h^{-1}), while the duration of nucleation is similar to that in the centre. Monthly distributions of the dynamic properties and daily maximum H_2SO_4 proxy do not follow the mean monthly pattern of the event occurrence frequency. The factors that control the event occurrence and that govern the intensity of particle formation and growth are not directly linked. New particle formation and growth processes advance in a different manner in the city and its close environment. This could mainly be related to diversities in atmospheric composition, chemistry and physics. We showed that there is a minimum growth rate (1.8 nm h^{-1} is our case) that is required for nucleated particles to reach the lower end of the diameter interval measured (in our case 6 nm). Monthly distributions and relationships among the properties mentioned provided indirect evidence that chemical species other than H_2SO_4 largely influence the particle growth and possibly atmospheric NPF process as well. The J_6 , GR_{10} and Δt can be described by log-normal distribution function. Most of the extreme dynamic properties could not be explained by H_2SO_4

32 proxy, CS, meteorological data or pollutant gas concentrations. Approximately 40% of the NPF
33 and growth events exhibited broad beginning, which can be an urban feature. For 9% of all
34 quantifiable event days, it was feasible to calculate 2 separate sets of dynamic properties. The
35 later onset frequently shows more intensive particle formation and growth than the first onset
36 by a typical factor of approximately 1.4. The first event is attributed to regional type, while the
37 second event, superimposed on the first, is often associated with sub-regional, thus urban NPF
38 and growth process.

39

40 **1 Introduction**

41

42 Molecules and molecular fragments in the air collide randomly and can form electrically neutral
43 or charged clusters. Most clusters decompose shortly. Chemical stabilising interactions among
44 certain components within a cluster can enhance its lifetime, during which it can grow further
45 by additional molecular collisions through some distinguishable size regimes (Kulmala et al.,
46 2014). If the diameter of these clusters reaches a critical value of 1.5 ± 0.3 nm (Kulmala et al.,
47 2013), they become thermodynamically stable, and their further growth turns into a spontaneous
48 process. Supersaturation is a necessary atmospheric condition for this principal transformation.
49 It is essentially a phase transition, which takes place in a dispersed manner in the atmosphere,
50 so it generates an aerosol system. The newly formed particles grow further by condensation to
51 larger sizes in most cases due to the existing supersaturation. Photochemical oxidation products
52 such as H_2SO_4 (Sipilä et al., 2010), extremely low-volatile organic compounds (ELVOCs, Ehn
53 et al., 2014; Jokinen et al., 2015) and highly oxygenated molecules (HOMs, Bianchi et al., 2016;
54 Kirkby et al., 2016; Tröstl et al., 2016) together with H_2O vapour, NH_3 (Kirkby et al., 2011),
55 amines (Almeida et al., 2013), other oxidation products of volatile organic compounds (VOCs;
56 Metzger et al., 2010; Schobesberger et al., 2013; Riccobono et al., 2014) and NO_2 can play an
57 important role in both the particle formation and growth. The VOCs include compounds of both
58 anthropogenic and biogenic origin, mainly isoprenoids such as α -pinene (Kirkby et al., 2016).
59 In some specific coastal regions, iodine oxides produced from marine biota are involved
60 (O'Dowd et al., 2002). Atmospheric concentration of these key compounds at a level that is
61 smaller by 12–14 orders of magnitude than the concentration of air molecules is already
62 sufficient for the phenomenon (Kulmala et al., 2014). Relative importance of the organics
63 increases with particle size (Riipinen et al., 2011; Ehn et al., 2014), and their supersaturation is
64 maintained by fast gas-phase autooxidation reactions of VOCs (Crouse et al., 2013). The
65 overall phenomenon is ordinarily confined in time for 1 day or so, and, therefore, it can be

66 regarded as an event in time, and is referred as new aerosol particle formation (NPF) and
67 consecutive particle growth event.

68
69 Such events appear to take place almost everywhere in the world and anytime (Kulmala et al.,
70 2004; Kerminen et al., 2018; Nieminen et al., 2018). Their occurrence frequency and, more
71 importantly, their contribution to particle number concentrations were found to be substantial
72 or determinant in the global troposphere (Spracklen et al., 2006; Kulmala et al., 2014).
73 Moreover, their contribution to the number of cloud condensation nuclei (CCN) can be 50% or
74 even more (Makkonen et al., 2009; Merikanto et al., 2009; Sihto et al., 2011), which links the
75 events to climate system, and emphasizes their global relevance (Kerminen et al., 2012;
76 Makkonen et al., 2012; Carslaw et al., 2013; Gordon et al., 2016). New particle formation and
77 growth events were proved to be common in polluted air of large cities as well with a typical
78 relative occurrence frequency between 10% and 30% (Woo et al., 2001; Baltensperger et al.,
79 2002; Alam, et al., 2003; Wehner et al., 2004; Salma et al., 2011; Dall'Osto et al., 2013; Xiao
80 et al., 2015; Zhang et al., 2015; Kulmala et al., 2017, Nieminen et al., 2018). The coupling and
81 relationships between regional and urban (sub-regional) NPF were demonstrated at least under
82 favourable orographic conditions (Salma et al., 2016b). New particle formation can increase
83 the existing particle number concentrations in city centres by a factor of approximately 2 on
84 nucleation days, while it can produce approximately 28% of ultrafine (UF) particles on a longer
85 (e.g. annual) time scale (Salma et al., 2017). Particle concentrations from NPF are also
86 important when compared to the (primary) particles emitted by their dominant source in cities,
87 namely by road vehicles with internal combustion engines (Paasonen et al., 2016). These results
88 jointly suggest that particles from NPF and growth events in cities can influence not only the
89 urban climate but can contribute to the public's excess health risk from particle number
90 exposures (Oberdörster et al., 2005; Braakhuis et al., 2014; Salma et al., 2015), and,
91 furthermore, could be linked to the role of human actions in all these effects.

92
93 Despite these potentials, conclusive interpretation of the data obtained, and results derived
94 specifically for cities remained hindered so far. Several-year long, semi-continuous, critically
95 evaluated, complex and coherent data sets are required for this purpose, which have been
96 generating gradually. As part of this international progress, investigations dedicated to urban
97 NPF and growth events in Budapest have been going on since November 2008. Measurements
98 for 5 full years were realised in the city centre at a fixed location, 1 full year was devoted to
99 measurements in a near-city background environment, and some other measurements were

100 accomplished in different urban microenvironments for time intervals of a few months. The
101 main objectives of this study are to determine, present and analyse the dynamic properties, i.e.
102 particle formation rate and particle diameter growth rate, timing properties, i.e. starting time
103 and duration time interval of nucleation process of NPF and growth events together with the
104 major sources and sink of condensing vapours, basic meteorological data and criteria pollutant
105 gases for 6 years, to investigate and interpret their relationships, to discuss their monthly
106 distributions, to evaluate and detect some of their features specific for urban atmospheric
107 environments, and to demonstrate some specific urban influence on the calculation of the
108 properties. These quantities and relationships are of basic importance in many atmospheric
109 processes for several reasons. Our goals are in line with the research needs for global
110 atmospheric nucleation studies (Kerminen et al., 2018; Nieminen et al., 2018).

111

112 **2 Experimental methods**

113

114 The measurements took place at two urban locations in Budapest, Hungary. Most measurements
115 were realised at the Budapest platform for Aerosol Research and Training (BpART) facility (N
116 47° 28' 29.9", E 19° 3' 44.6", 115 m above mean sea level (a.s.l.; Salma et al., 2016a). This site
117 represents a well-mixed, average atmospheric environment for the city centre. The other
118 location was situated at the NW border of Budapest in a wooded area of the Konkoly
119 Astronomical Observatory of the Hungarian Academy of Sciences (N 47° 30' 00.0", E 18° 57'
120 46.8", 478 m a.s.l.). This site characterises the air masses entering the city since the prevailing
121 wind direction in the area is NW. The measurements were accomplished for 6 full-year long
122 time intervals, i.e. from 03–11–2008 to 02–11–2009, from 19–01–2012 to 18–01–2013, from
123 13–11–2013 to 12–11–2014, from 13–11–2014 to 12–11–2015, from 13–11–2015 to 12–11–
124 2016 and from 28–01–2017 to 27–01–2018. In the measurement year 2012–2013, the
125 instruments were set up in the near-city background, while in all other years, they were installed
126 in the city centre. Local time (LT=UTC+1 or daylight-saving time, UTC+2) was chosen as the
127 time base of the data unless otherwise indicated because it had been observed in earlier
128 investigations that the daily activity time pattern of inhabitants substantially influences the
129 atmospheric processes in cities (Salma et al., 2014).

130

131 The main measuring system was a flow-switching type differential mobility particle sizer
132 (DMPS). It consists of a radioactive (⁶⁰Ni) bipolar charger, a Nafion semi-permeable membrane
133 dryer, a 28-cm long Vienna-type differential mobility analyser and a butanol-based

134 condensation particle counter (TSI, model CPC3775). The sample flow was 2.0 L min^{-1} in the
135 high-flow mode, and 0.31 L min^{-1} in the low-flow mode with sheath air flow rates 10 times
136 larger than for the sample flows. The DMPS measures particle number concentrations in an
137 electrical mobility diameter range from 6 to 1000 nm in the dry state of particles (with a relative
138 humidity of $\text{RH} < 30\%$) in 30 channels, which finally yields 27 channels after averaging 3
139 overlapping channels when joining the data for the 2 flow modes. The time resolution of the
140 measurements was approximately 10 min till 18-01-2013, and 8 min from 13-11-2013 (after
141 a planned update of the DMPS system). There was no upper size cut-off inlet applied to the
142 sampling line, and a weather shield and insect net were only attached. The sampling inlet was
143 installed at a height of 12.5 m above the street level in the city centre, and of approximately 1.7
144 m in the near-city background. The measurements were performed according to the
145 international technical standard (Wiedensohler et al., 2012). The availability of the DMPS data
146 over 1-year long time intervals are summarised in Table 1. Synoptic meteorological data for air
147 temperature (T), RH, wind speed (WS) and wind direction (WD) were obtained from a
148 measurement station of the Hungarian Meteorological Service (HMS, no. 12843) by
149 standardised methods with a time resolution of 1 h. Global solar radiation (GRad) data were
150 measured by the HMS at a distance of 10 km in E direction with a time resolution of 1 h.
151 Meteorological data were available in $>90\%$ of the possible cases in each year. Concentrations
152 of SO_2 , O_3 , NO_x and CO were obtained from measurement stations of the National Air Quality
153 Network in Budapest (in a distance of 4.5 km from the urban site, and of 6.9 km from the near-
154 city background site) located in the upwind prevailing direction from the measurement sites.
155 They are measured by UV fluorescence (Ysselbach 43C), UV absorption (Ysselbach 49C),
156 chemiluminescence (Thermo 42C) and IR absorption methods (Thermo 48i), respectively with
157 a time resolution of 1 h. The concentration data were available in $>85\%$ of the yearly time
158 intervals, and $>98\%$ of them were above the limit of determinations. It is worth mentioning that
159 concentration of SO_2 in the Budapest area is ordinarily distributed without larger spatial (and
160 temporal) gradients (Salma et al., 2011). For the present study, this was proved by evaluating
161 the concentration ratios from 2 different municipal stations which are in the closest distance
162 from the BpART in 2 different directions with an angle of 60° between them. The mean SO_2
163 concentration ratio and standard deviation (SD) for the 2 stations were $81 \pm 20\%$ over the 5-year
164 long measurement time interval. The assumption can also be justified indirectly by a conclusion
165 on the monthly distribution of SO_2 concentration in Sect. 4.2.

166

167 **3 Data treatment**

168

169 The measured DMPS data were evaluated according to the procedure protocol recommended
170 by Kulmala et al. (2012) with some refinements that are related to urban features (see Sect. 3.1).
171 Particle number concentrations in the diameter ranges from 6 to 1000 nm (N), from 6 to 25 nm
172 (N_{6-25}) and from 6 to 100 nm (N_{6-100} or UF particles) were calculated from the measured and
173 inverted DMPS concentrations. Particle number size distribution surface plots showing jointly
174 the variation in particle diameter and particle number concentration density in time were also
175 derived. Identification and classification of NPF and growth events was accomplished on these
176 surface plots (Dal Maso et al., 2005; Németh et al., 2018) on a daily basis into the following
177 main classes: NPF event days, non-event days, days with undefined character, and days with
178 missing data (for more than 4 h during the midday). Relative occurrence frequency of events
179 was determined for each month and year as the ratio of the number of event days to the total
180 number of relevant (i.e. all-missing) days. A subset of NPF events with uninterrupted evolution
181 in time, which are called quantifiable (class 1A) events, were further separated because the time
182 evolution of their size distribution functions was utilised to determine the dynamic and timing
183 properties with good accuracy and reliability.

184

185 **3.1 Dynamic and timing properties**

186

187 Growth rate (GR) of nucleation-mode particles was calculated by mode-fitting method
188 (Kulmala et al., 2012). Particle number median mobility diameter (NMMD) of the nucleation
189 mode were obtained from fitting the individual size distributions by DoFit algorithm (Hussein
190 et al., 2004). The growth rate was determined as the slope of the linear line fitted to the time
191 series of the NMMD data within a time interval around a diameter d , where the dependency
192 could be satisfactorily approximated by linear fit. Since the nucleation mode was mostly
193 estimated by N_{6-25} in the calculations of the formation rate (see below), and since the median
194 of the related diameter interval (from 6 to 25 nm) is close to $d=10$ nm, GRs for particles with a
195 diameter of 10 nm were determined (GR_{10}). This type of GR can be interpreted as an average
196 GR as far as the given particle diameter range is concerned, but it actually expresses the
197 beginning of the growth process only, which may have considerable effects on the formation
198 rate calculations in specific cases (see later).

199

200 Time evolution of an aerosol population is described by the general dynamic equation which
 201 was rearranged, simplified and approximated by several quantities (Kulmala et al., 2001; Dal
 202 Maso et al., 2002; Kulmala et al., 2012; Cai and Jiang, 2017) to express the formation rate J_6
 203 of particles with the smallest detected diameter of $d_{\min}=6$ nm in a form utilised in the present
 204 evaluation as

$$206 \quad J_6 = \frac{dN_{6-25}}{dt} + \text{CoagS}_{10}N_{6-25} + \frac{\text{GR}_{10}}{(25-6)}N_{6-25} - \frac{dN_{A_i, <25}}{dt}. \quad (1)$$

207
 208 The first term on the right side of Eq. 1 expresses the concentration increment. The particle
 209 number concentration in the size range from 6 to 25 nm (i.e. N_{6-25}) was selected to approximate
 210 the nucleation-mode particles $N_{\text{nuc}} \approx N_{6-25}$. This is a usual and reasonable choice because it was
 211 proved to be advantageous and effective way in handling fluctuating data sets since N_{6-25} often
 212 exhibits less sensitivity and smaller scatter in time than the fitted area of the nucleation mode.
 213 It is implicitly assumed that the intensity of the NPF is constant for a certain time interval, and,
 214 therefore, dN_{6-25}/dt can be determined as the slope of the linear function of N_{6-25} versus time t
 215 within an interval where the dependence could be satisfactorily approximated by linear fit. A
 216 limitation of the relatively wide size range (6–25 nm) selected can be manifested by
 217 disturbances from primary particles particularly in urban environments. This is taken into
 218 account by the last term of Eq. 1 and is discussed later.

219
 220 The second term on the right side of Eq. 1 represents the loss of particles due to coagulation
 221 scavenging (with pre-existing particles). The coagulation scavenging efficiency for particles
 222 with a diameter of 10 nm (CoagS_{10}) was selected to approximate the mean coagulation
 223 efficiency of nucleation-mode particles ($\text{CoagS}_{\text{nuc}}$). This diameter was chosen by considering
 224 the median of the related diameter range, which was discussed above for GR. The coagulation
 225 efficiency was calculated from classical aerosol mechanics with adopting a mass
 226 accommodation coefficient of 1 and utilizing the Fuchs' transition-regime correction factor
 227 (Kulmala et al., 2001; Dal Maso et al., 2005; Kulmala et al., 2013) by using computation scripts
 228 developed at the University of Helsinki. Self-coagulation within the nucleation mode was
 229 neglected due to its limited concentration. Hygroscopic growth of particles was not considered
 230 since this depends on chemical composition of particles, which is unknown.

231

232 The third term on the right side of Eq. 1 expresses the particle growth out of the considered size
233 range by condensation of vapours. The GR_{10} was selected to approximate a representative value
234 at the median of the particle diameter range considered (Vuollekoski et al., 2012). It is implicitly
235 assumed that GR_{10} can be regarded to be constant over the time interval under consideration.
236 Nevertheless, the growth of nucleation-mode particles in time is occasionally limited (Fig. S1a).
237 In these specific cases, the mean relative area of the nucleation mode below 25 nm was
238 determined by fitting the individual size distributions around the time of the maximum
239 nucleation-mode NMMD, and the ratios were averaged. A correction in form of the mean
240 relative area was adopted as a multiplication factor for the growth out term in Eq. 1. On very
241 few days, the growth of newly formed particles was followed by a decrease in nucleation-mode
242 NMMD (Salma et al., 2016a). In these cases, the shrinkage rate (with a formal $GR_{10}<0$) was
243 derived and adopted in Eq. 1.

244

245 The fourth term on the right side of Eq. 1 expresses the contribution of high-temperature
246 emission sources, usually of vehicular road traffic (Paasonen et al., 2016; Salma et al., 2017) to
247 N_{6-25} , which can provisionally disturb the assumption of $N_{nuc}\approx N_{6-25}$. A typical example of such
248 a situation is shown in Fig. S1b from 10:09 to 12:23 LT. In these specific cases, the contribution
249 of primary emissions was estimated from the slope of the time series of the fitted peak area of
250 the Aitken mode below $d<25$ nm ($N_{Ai,<25}$) in the time region under consideration. Reliable
251 separation of the nucleation and Aitken modes from each other was hindered or was not possible
252 for a few individual size distributions due to overlapping modes and the scatter in the
253 concentration data, and these individual Aitken-mode areas were excluded from or skipped in
254 the time series. Relative contributions of the concentration increment coagulation loss and
255 growth out from the diameter interval to J_6 are decreasing in this order with mean values of
256 71%, 17% and 12%, respectively (Table S1).

257

258 The formation and growth rates for the measurement years of 2008–2009 and 2012–2013 were
259 calculated earlier by a slightly different way and neglecting the urban features discussed above
260 (Salma et al., 2011, 2016b). To obtain consistent data sets, the dynamic properties for these 2
261 years were re-evaluated by adopting the present improved protocol and implementing the
262 experience gained over the years. The mean new-to-old rate ratios with SDs for the GR_{10} and
263 J_6 were 1.06 ± 0.32 and 1.23 ± 0.37 , respectively in the city centre (2008–2009) and 1.04 ± 0.21
264 and 1.20 ± 0.35 , respectively in the near-city background (2012–2013). It was the smaller rates
265 that were primarily and sometimes substantially impacted. The modifications were

266 simultaneously adopted. The subtraction of particle number concentrations emitted by road
267 traffic from N_{6-25} , which usually leads to a decrease in the coagulation loss and loss due to
268 growth out from the diameter range of 6–25 nm, and which can influence the slope of the
269 concentration change in time (dN_{6-25}/dt) in a positive or negative manner depending on the
270 actual time evolution of perturbing emission source. In addition to that, the time interval in
271 which this slope is considered to be constant was set in a new treatment. It is mentioned that
272 the relative contributions of the concentration increment, coagulation loss and growth out from
273 the diameter interval to J_6 have different weights in propagating their effects. Furthermore, J_6
274 itself also depends on the GR_{10} , which makes the relationships even more complex. These
275 explain why the changes resulted in overall increments. The re-calculation is considered as a
276 methodological improvement over the years of research.

277

278 The assumptions and estimations above usually represent a reasonable approximation to reality.
279 The N_{6-25} is derived from the experimental data in a straightforward way, the GR_{10} and the
280 corrections for primary particles and limited particle growth depend on the quality of the size
281 distribution fitting as well, while the $CoagS_{10}$ is determined by using a theoretical model. The
282 resulting accuracies of the dynamic properties, in particular of J_6 , look rather complicated. They
283 also depend on the spatial heterogeneity in the air masses measured particularly for the
284 observations performed at the fixed site, size and time resolution of the concentrations
285 measured, diameter range of the size distributions, fluctuations in the experimental data,
286 selection of the particle diameter interval, choice of the time interval of interest (for linear fits),
287 sensitivity of the models to the input uncertainties (Vuollekoski et al., 2012), and also on the
288 extent of the validity of the assumptions applied under highly polluted conditions (Cai and
289 Jiang, 2017). The situation is further complicated with the fact that the dynamic (and also the
290 timing) properties are connected to each other. Finally, it is important to recognise that some
291 NPF and growth curves on the surface plots have rather broad starting time interval (Fig. S1a
292 and S1c). They occur in a considerable abundance in cities, e.g. in 40% of all quantifiable events
293 in Budapest (Sect. 4.4). This may yield badly defined or composite dynamic properties, whose
294 uncertainty can have principle limitations which can prevail on the experimental and model
295 uncertainties.

296

297 Timing properties of NPF and growth events are increasingly recognised, and they can provide
298 valuable information even if they are estimated indirectly from the observed diameter interval
299 >1.5 nm (Sect. 1). The earliest estimated time of the beginning of a nucleation (t_1) and the latest

300 estimated time of the beginning of a nucleation (t_2) were derived by a comparative method
301 (Németh and Salma, 2014) based on the variation in the content of the first size channel of the
302 DMPS system. Both time parameters include a time shift that accounts for the particle growth
303 from the stable neutral cluster mode at approximately 2 nm to the smallest detectable diameter
304 limit of the DMPS systems (6 nm in our case) by adopting the GR value in the size window
305 nearest to it in size space. The difference $\Delta t=t_2-t_1$ is considered as the duration time interval of
306 the nucleation process. It represents the time interval during which new aerosol particles are
307 generated in the air. The timing properties are expressed in UTC+1, and their uncertainty is
308 regarded to be ca. 30 min under ordinary NPF and growth situations.

309

310 **3.2 Sources and sink**

311

312 Relative effects and role of gas-phase H_2SO_4 were estimated by its proximity measure (proxy
313 value) containing both its major source and sink terms under steady-state conditions according
314 to Petäjä et al. (2009). It was calculated for $\text{GRad} > 10 \text{ W m}^{-2}$. Formally, it is possible to convert
315 the H_2SO_4 proxy values to H_2SO_4 concentrations by an empirical scaling factor of $k=1.4 \times 10^{-7} \times \text{GRad}^{-0.70}$,
316 where GRad is expressed in a unit of W m^{-2} (Petäjä et al., 2009). The factor was,
317 however, derived for a remote boreal site, and, therefore, we prefer not to perform the
318 conversion since urban areas are expected to differ from the boreal regions, and adopting the
319 factor could distort the dynamic relationships or time trends investigated. The conversion was
320 applied only to estimate the order of average H_2SO_4 atmospheric concentration levels. The
321 results derived by utilising the proxy are subject to larger uncertainties than for the other
322 properties because of these limitations, but they may indicate well gross tendencies.

323

324 Condensation sink for vapour molecules onto the surface of existing aerosol particles was
325 computed for discrete size distributions as described in earlier papers (Kulmala et al., 2001; Dal
326 Maso et al., 2002, 2005) and summarised by Kulmala et al. (2013). The equilibrium vapour
327 pressure of the condensing species was assumed to be negligible at the surface of the particles,
328 so similar to sulfuric acid. Dry particle diameters were considered in the calculations.

329

330 **4 Results and discussion**

331

332 Annual median particle number concentrations based on the individual data in the near-city
333 background in 2012–2013, and in the city centre for the separate measurement years of 2008–
334 2009, 2013–2014, 2014–2015, 2015–2016 and 2017–2018 were 3.4×10^3 , and 11.5×10^3 ,
335 9.7×10^3 , 9.3×10^3 , 7.5×10^3 and $10.6 \times 10^3 \text{ cm}^{-3}$, respectively. The data for the city centre indicate
336 a decreasing trend. The first 4 values unambiguously show a decrease, while the last data point
337 may look somewhat differently. Rigorous statistical evaluation of the joint data set of particle
338 number concentrations in various size fractions over a decennial time interval from November
339 2008 to November 2018 is in progress, and its preliminary results in the one hand, confirm the
340 decreasing tendency, and in the other hand, reveal some fine structure to this dependency. The
341 mean UF/N ratio with SD for the same measurement time intervals were $67 \pm 14\%$, and $79 \pm 6\%$,
342 $75 \pm 10\%$, $75 \pm 11\%$, $76 \pm 11\%$ and $80 \pm 10\%$, respectively. The values correspond to ordinary
343 urban atmospheric environments in Europe (Putaud et al., 2010).

344

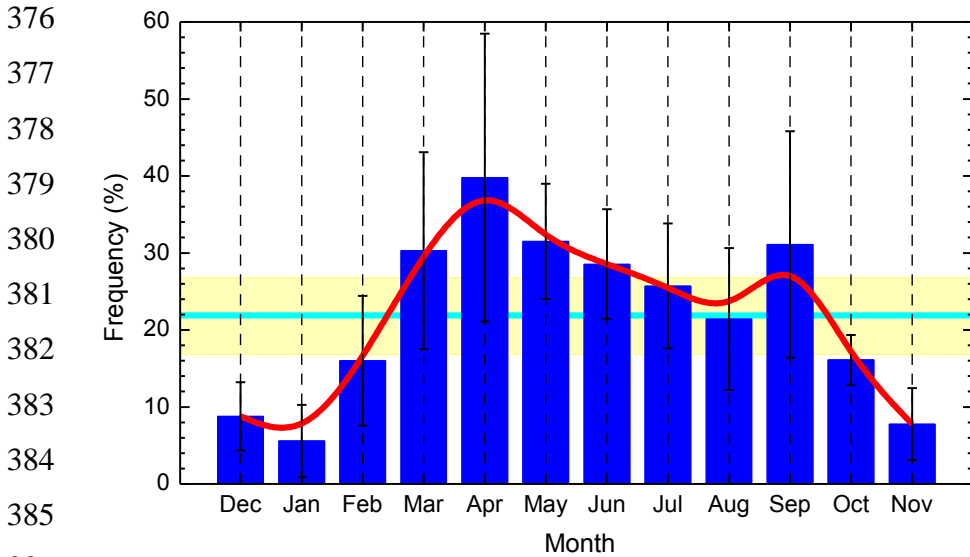
345 An overview on the number of classified days separately for the 1-year long measurement time
346 intervals is given in Table 1. The availability of the daily size distribution surface plots with
347 respect to all days ensures that the data are representative on yearly and monthly time scales,
348 except for the months August and September 2015, when there were missing days in larger
349 ratios. The number of quantifiable event days (248 cases) is also considerable, which establishes
350 to arrive at firm conclusion on the NPF and growth events as well.

351

352 **Table 1.** Number of days with new aerosol particle formation and growth event, quantifiable (class 1A)
 353 event days, non-event days, undefined days, missing days and the coverage of relevant days with respect
 354 to all days in the near-city background and city centre separately for the 1-year long measurement time
 355 intervals.
 356

| Environment | Background | | Centre | | | | |
|-------------------|---------------|-----------|-----------|-----------|-----------|-----------|-----------|
| | Time interval | 2012–2013 | 2008–2009 | 2013–2014 | 2014–2015 | 2015–2016 | 2017–2018 |
| Event days | | 96 | 83 | 72 | 81 | 35 | 83 |
| Quantifiable days | | 43 | 31 | 48 | 56 | 18 | 52 |
| Undefined days | | 19 | 34 | 24 | 25 | 8 | 23 |
| Non-event days | | 231 | 229 | 267 | 240 | 226 | 257 |
| Coverage (%) | | 95 | 95 | 99 | 95 | 73 | 99 |
| Missing days | | 20 | 19 | 2 | 19 | 97 | 2 |

357
 358
 359 It was previously shown that the NPF and growth events observed in the city centre of Budapest
 360 and its background ordinarily happen above a larger territory or region in the Carpathian Basin
 361 (Salma et al., 2011; Németh and Salma, 2014), and they are linked to each other (Salma et al.,
 362 2016b). From the point of the occurrence frequency distribution, they can, therefore, be
 363 evaluated jointly in the first approximation. An overall monthly mean relative occurrence
 364 frequency of nucleation days derived for all 6 measurement years is shown in Fig. 1. The annual
 365 mean frequency with SD was $22\pm 5\%$, which is considerable and is in line with other urban sites
 366 (Sect. 1). The monthly mean frequency has a temporal variation, which can be characterised by
 367 a noteworthy pattern. The mean monthly dependency exhibits an absolute and a local minimum
 368 in January (5.6%) and August (21%), respectively, and an absolute and a local maximum in
 369 April (40%), and September (31%), respectively. Nevertheless, the SDs of the monthly (and
 370 annual) means indicate prominent variability from year to year. The pattern can be related to
 371 multivariate relationships and complex interplay among the influencing factors, which include
 372 the air temperature (January is the coldest month, while August is the warmest month in the
 373 Carpathian Basin) and enhanced emission of biogenic VOCs in springtime (March–April) and
 374 early autumn (September) as well (Salma et al., 2016b).
 375



387 **Figure 1.** Monthly mean relative occurrence frequency of new aerosol particle formation and
 388 consecutive particle diameter growth events with respect to the number of relevant days for the joint 6-
 389 year long data set. The error bars show ± 1 standard deviation, the horizontal line in cyan indicates the
 390 overall annual mean frequency, the yellow bands represent ± 1 standard deviation of the annual mean,
 391 and the smooth curve in red serves to guide the eye.

392
 393 The properties and variables studied were derived in full time resolution. They were averaged
 394 in several ways for different conditions and for various purposes to obtain typical average
 395 descriptive characteristics. In 1 case (31–08–2016), the NPF and growth event could reliable
 396 be identified, while the measured absolute particle number concentrations could not be
 397 validated due to some experimental troubles, and, therefore, it was left out from the further
 398 calculations. Similarly, there were 1 and 4 events with unusually/extraordinarily large dynamic
 399 properties in the measurement years 2014–2015 and 2017–2018, respectively. More
 400 specifically, 5 individual J_6 data when expressed in a unit of $\text{cm}^{-3} \text{s}^{-1}$ and 1 individual GR_{10}
 401 data when given in nm h^{-1} were >20 (Table 3). These extremes were left out from the overview
 402 statistics to maintain the representativity (they could be influenced by some unknown extra or
 403 very local sources) and to fulfil better the basic requirements of correlation analysis. If an event
 404 showed a double beginning then the dynamic properties for the first onset were considered in
 405 the basic overview since this onset is of regional relevance (Salma et al., 2016b). The extreme
 406 NPF and growth events and the characteristics for the second onsets were, however, evaluated
 407 separately and are discussed in detail and interpreted in Sect. 4.4.

409 **4.1 Ranges and averages**

410

411 Ranges and averages with SDs of formation rate J_6 , growth rate GR_{10} , starting time of
412 nucleation (t_1) and duration time interval of nucleation (Δt) are summarised in Table 2 for
413 separate measurement years and for the joint 5-year long city centre data set. In the city centre,
414 nucleation generally starts at 09:15 UTC+1, and it is typically maintained for approximately 3
415 h. The NPF and growth events ordinarily produce 5.6 new aerosol particles with a diameter of
416 6 nm in 1 cm³ of air in 1 s, and cause the particles with a diameter of 10 nm to grow with a
417 typical rate of 7.6 nm h⁻¹. The statistics for J_6 and GR_{10} are based on 199 and 203 events,
418 respectively. The corresponding data for the separate years show considerable variability
419 without obvious trends or tendencies. The differences between the years can likely be related
420 to changes in actual atmospheric chemical and physical situations and conditions, and to the
421 resulting modifications in the sensitive balance and delicate coupling among them from year to
422 year. Spread of the individual data for GR_{10} is smaller than for J_6 ; the relative SDs for the joint
423 5-year long city centre data set were 38% and 68%, respectively, while the (external) relative
424 SDs calculated from the annual mean values were 4.2% and 14.0%, respectively.

425

426 The dynamic properties and t_1 data tend to be smaller in the near-city background than in the
427 city centre. In general, nucleation starts 1 h earlier in the background, and the events typically
428 show significantly smaller J_6 (with a median of 2.0 cm⁻³ s⁻¹) and GR_{10} (with a median of 5.0
429 nm h⁻¹). Duration of the nucleation is very similar to that in the city centre. All starting times
430 of nucleation were larger than (in a few cases, very close to) the time of the sunrise. This implies
431 that no nocturnal NPF and growth event has been identified in Budapest so far. The particle
432 growth process (the so-called banana curve) could be traced usually for a longer time interval
433 (up to 1.5 d) in the background than in the centre.

434

435 These results are in line with the ideas on atmospheric nucleation and consecutive particle
436 growth process (e.g. Kulmala et al., 2014; Zhang et al., 2015; Kerminen et al., 2018). It was
437 observed in a recent overview study (Nieminen et al., 2018) that the formation rate of 10–25
438 nm particles increased with the extent of anthropogenic influence, and in general, it was 1–2
439 orders of magnitude larger in cities than at sites in remote and clean environments. This
440 highlights the importance of some anthropogenic vapours such as SO₂, NH₃ and amines to NPF
441 and growth. The data also confirm our earlier findings with respect to Budapest and its regional

442 background within the Carpathian Basin achieved with shorter, 2-year long data sets (Salma et
 443 al., 2016b)

444

445 **Table 2.** Ranges, averages and standard deviations of aerosol particle formation rate J_6 , particle diameter
 446 growth rate GR_{10} , starting time (t_1) and duration time interval ($\Delta t=t_2-t_1$) of nucleation process of
 447 quantifiable (class 1A) new particle formation and growth events in the near-city background and city
 448 centre separately for the 1-year long measurement time intervals and for the joint 5-year long city centre
 449 data set.

450

| Environment | Background | | Centre | | | | |
|---|---------------|---------------|---------------|---------------|---------------|---------------|----------------|
| | 2012– 2013 | 2008– 2009 | 2013– 2014 | 2014– 2015 | 2015– 2016 | 2017– 2018 | All 5 years |
| Formation rate J_6 ($\text{cm}^{-3} \text{s}^{-1}$) | | | | | | | |
| Minimum | 0.48 | 1.47 | 1.13 | 0.81 | 1.19 | 1.60 | 0.81 |
| Median | 2.0 | 4.2 | 3.5 | 4.4 | 4.6 | 6.3 | 4.6 |
| Maximum | 5.6 | 15.9 | 17.8 | 18.0 | 15.3 | 17.3 | 18.0 |
| Mean | 2.2 | 4.7 | 5.2 | 5.6 | 5.0 | 6.6 | 5.6 |
| St. deviation | 1.3 | 2.6 | 3.7 | 4.2 | 3.7 | 3.3 | 3.8 |
| Growth rate GR_{10} (nm h^{-1}) | | | | | | | |
| Minimum | 3.0 | 3.7 | 3.1 | 2.8 | 3.2 | 3.3 | 2.8 |
| Median | 5.0 | 7.6 | 6.6 | 6.5 | 8.0 | 7.5 | 7.3 |
| Maximum | 9.8 | 17.4 | 19.0 | 18.0 | 15.5 | 19.8 | 19.8 |
| Mean | 5.2 | 7.8 | 7.2 | 7.3 | 7.7 | 8.0 | 7.6 |
| St. deviation | 1.4 | 2.6 | 2.8 | 3.2 | 3.0 | 2.8 | 2.9 |
| Starting time, t_1 (HH:mm UTC+1) | | | | | | | |
| Minimum | 05:51 | 07:14 | 06:44 | 05:48 | 07:31 | 05:57 | 05:48 |
| Median | 08:19 | 09:26 | 09:22 | 08:48 | 09:45 | 09:18 | 09:15 |
| Maximum | 11:09 | 11:38 | 12:21 | 11:23 | 12:45 | 12:15 | 12:45 |
| Mean | 08:17 | 09:27 | 09:25 | 08:49 | 10:02 | 09:24 | 09:19 |
| St. deviation | 01:11 | 01:05 | 01:26 | 01:22 | 01:23 | 01:36 | 01:26 |
| Duration time, Δt (HH:mm) | | | | | | | |
| Minimum | 01:23 | 00:52 | 00:42 | 00:31 | 01:03 | 01:26 | 00:31 |
| Median | 03:16 | 02:36 | 02:04 | 03:53 | 02:31 | 03:49 | 02:57 |
| Maximum | 06:44 | 06:04 | 05:34 | 07:46 | 06:05 | 07:55 | 07:55 |
| Mean | 03:30 | 02:44 | 02:14 | 03:52 | 02:58 | 03:57 | 03:18 |
| St. deviation | 01:40 | 01:11 | 01:01 | 01:40 | 01:47 | 01:39 | 01:40 |

451

452 Ranges and averages with SDs of some related atmospheric properties, namely of mean CS
 453 averaged for the time interval from t_1 to t_2 , daily maximum gas-phase H_2SO_4 proxy, daily mean
 454 T and RH (Table S2), and of daily median concentrations of SO_2 (as the major precursor of gas-

455 phase H₂SO₄), O₃ (as an indicator of photochemical activity), NO_x and CO gases (as indicators
456 of anthropogenic combustion activities and road vehicle emissions) (Table S3) were also
457 derived for quantifiable NPF and growth event days, and are further evaluated. The annual
458 mean CS values exhibited decreasing tendency in the city centre over the years (as can be
459 expected from the particle number concentrations as well). The individual values remained
460 below approximately $20 \times 10^{-3} \text{ s}^{-1}$, which agrees well with the results of our earlier study (Salma
461 et al., 2016b) according to which the CS suppresses NPF above this level in the Carpathian
462 Basin. Maximum H₂SO₄ proxy values reached substantially higher levels (by a factor of
463 approximately 2) in the near-city background than in the city centre due mainly to the
464 differences in the CS and [SO₂]. The differences between the 2 sites are particularly evident
465 when considering their smallest values. The largest variability in the annual average values
466 were observed for the proxy. Median concentration of H₂SO₄ molecules was roughly estimated
467 to be approximately $5 \times 10^5 \text{ cm}^{-3}$ by adopting the scaling factor (Sect. 3.2). The air *T* displayed
468 quite similar and comparable values over the years at both sites. The discussion of its overall
469 effect on the dynamic properties is accomplished in Sec. 4.2, where the monthly distributions
470 are presented. Some events happened at daily mean temperatures below zero. The daily mean
471 RH and its SD for the city centre and near-city background were $54 \pm 11\%$ and $64 \pm 12\%$,
472 respectively. There were events that occurred at RHs as high as 90%. Relationships of the
473 dynamic properties with *T* and RH are also obscured with strong seasonal cycle of these
474 meteorological data and with the fact that air masses arriving to the receptor site in different
475 trajectories are often characterised by distinct levels of meteorological data.

476
477 As far as the pollutant gases are concerned (Table S3), SO₂ showed somewhat smaller daily
478 median values, and O₃ exhibited substantially smaller levels on event days in the city centre
479 than in the near-city background, while concentrations of NO_x and CO were obviously larger
480 in the city than in its close background. The differences can primarily be explained by the
481 intensity and spatial distribution of their major sources and atmospheric chemical reactions, and
482 the joined concentration data resembles typical situations without photochemical smog
483 episodes in cities. There was no obvious decrease in SO₂ concentration during these years in
484 contrast with an earlier decreasing trend from mid-1980s till about 2000.

485

486 **4.2 Monthly distributions**

487

488 Distributions of the monthly mean J_6 , GR₁₀, daily maximum gas-phase H₂SO₄ proxy, mean CS,
489 daily mean air T and RH, and daily median SO₂, O₃, NO_x and CO concentrations for quantifiable
490 NPF and growth events for the joint 5-year long city centre data sets are shown in Fig. 2. The
491 distributions – eminently for J_6 , GR₁₀, H₂SO₄ proxy and SO₂ – do not follow the monthly pattern
492 of the event occurrence frequency at all (cf. Fig. 1). Instead, the J_6 , GR₁₀ and H₂SO₄ proxy tend
493 to exhibit larger values in summer months, and they temporal changes over the other months
494 are smooth and do not show distinctive features. The elevations are substantial; the estimated
495 maximum level was larger than the baseline by a factor of 2.1 for the J_6 , and by a factor of
496 approximately 1.4 for the GR₁₀ and H₂SO₄ proxy. The intensity of solar radiation at the surface,
497 its seasonal cycling, concentration of atmospheric precursors in different months, biogenic
498 processes, anthropogenic activities and the fact that rate coefficients of many thermal
499 chemical/physicochemical processes in the nature (including GR, Paasonen et al., 2018)
500 increase with T could play an important role in explained the distributions. A more
501 comprehensive study involving chemicals and their photochemistry is required for more
502 detailed explanation.

503

504 It is worth mentioning that [SO₂] did not change substantially for the NPF event and non-event
505 days, while GRad was typically larger by a factor of ca. 2 and CS was smaller by approximately
506 30% on event days than on non-event days. The differences in the GRad (and some other
507 properties) are, however, biased by the seasonal cycle of solar electromagnetic radiation via the
508 seasonal variation of NPF occurrence frequency, while the CS indicated a modest seasonal
509 dependency. Interpretation of their joint effect should be approached by care, requires further
510 evaluations and is to be realised fully in a further study. Nevertheless, the misalignment among
511 the monthly distributions of NPF and growth event occurrence frequency and all the other
512 properties indicates that the occurrence or its basic causes are not linked with the dynamic
513 properties in a straightforward or linear manner in the Carpathian Basin including Budapest.

514

515 Some of our results are in line with other observations according to which GR exhibited almost
516 exclusively a summer maximum, while some other finding are different in the sense that the
517 seasonal variability in particle formation rate was quite modest and could not be established
518 earlier (Nieminen et al., 2018). There is one more aspect which may be worth realising in this
519 respect. A large fraction of compounds contributing to NPF and growth in cities can originate

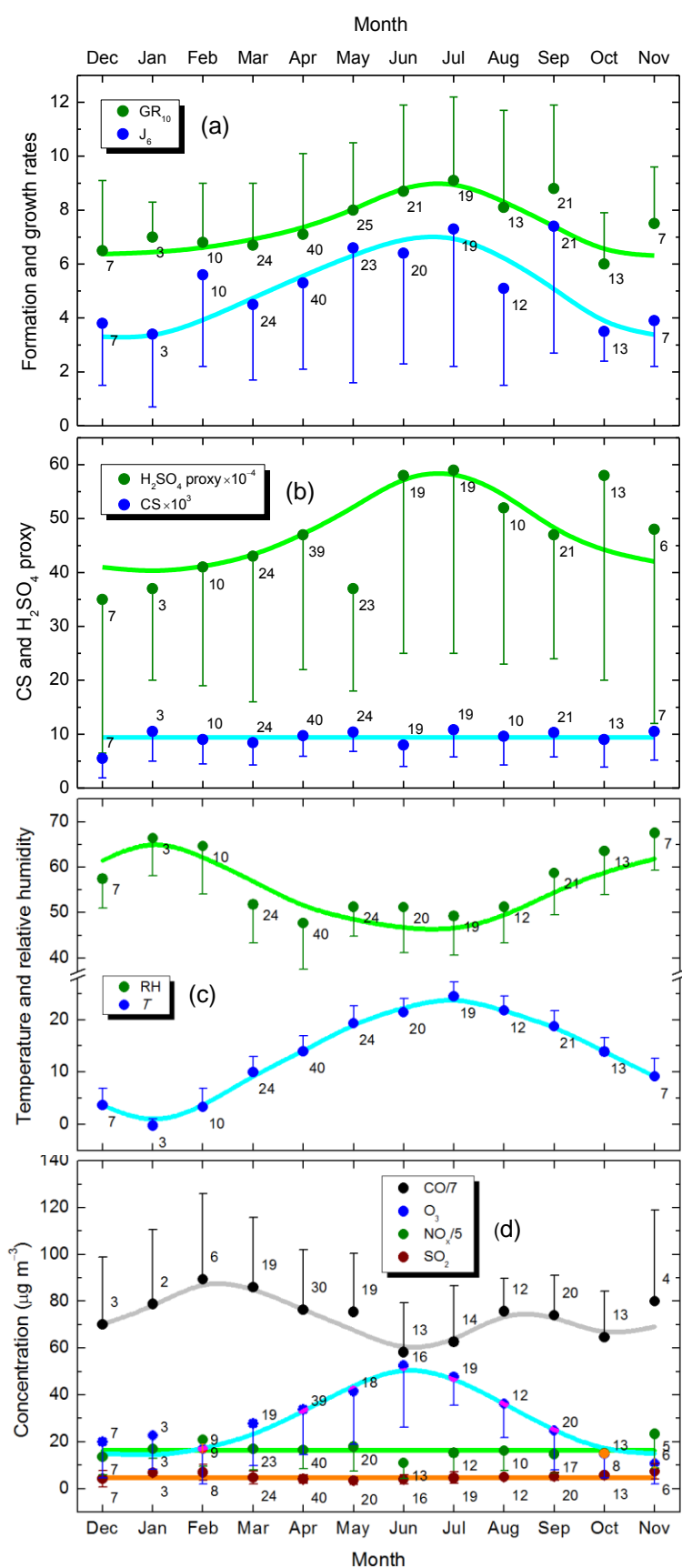
520 from anthropogenic precursors (Vakkari et al., 2015). Their emissions may peak any time of
521 year depending on human habits and requirements (Nieminen et al., 2018). Nevertheless, the
522 fact that our monthly distributions of the dynamic properties in urban environments follow the
523 universal summer maximum behaviour may indicate the overall prevailing role of atmospheric
524 photochemistry coupled with biogenic emissions of aerosol precursor vapours.

525

526 The monthly mean J_6 , GR_{10} and H_2SO_4 proxy data still have considerable uncertainty, which
527 makes their interpretation not yet completely conclusive. The uncertainties are influenced by
528 inherent fluctuations in the primary data sets, enhancing effects caused by combining some
529 individual primary data into compound variables (such as H_2SO_4 proxy), number of data items
530 available for different properties and months, variations in other or unknown relevant
531 environmental conditions, and by the variability in relative nucleation occurrence frequency
532 from year to year. The resulting uncertainties are expected to decrease with the length of the
533 available data sets, which emphasized the need to continue the measurements.

534

535 The monthly distributions of CS, and SO_2 and NO_x concentrations could be represented by
536 constant values of the overall means and SDs of $(9.4 \pm 4.3) \times 10^{-3} s^{-1}$, $4.7 \pm 2.1 \mu g m^{-3}$ and 81 ± 38
537 $\mu g m^{-3}$, respectively with an acceptable accuracy. This suggests that CS, SO_2 and NO_x in
538 Budapest do not critically or substantially affect either the dynamic properties (or the event
539 occurrence). Monthly distributions of air T and O_3 concentration showed a maximum over
540 summer months, while RH reflected the T tendency. The monthly variation of T on event days
541 and on non-event days were similar. More importantly, higher biogenic emissions and typically
542 stronger photochemistry are expected during the summer, which both enhance the production
543 rate of nucleating and condensing vapours, while there is practically nothing extra that would
544 suppress the dynamical properties (Kerminen et al., 2018). As a result of these, the dynamic
545 rates show a summer maximum. This is completely consistent with the results from other urban
546 and non-urban studies (Nieminen et al., 2018). Distribution of CO was more changing and
547 without obvious tendentious temporal structure or feature than for the other gases, and,
548 therefore, its interpretation is encumbered so far. However, it doesn't seem to substantially
549 affect the dynamic properties.



551 **Figure 2.** Distribution of
 552 monthly mean aerosol
 553 particle formation rate J_6 in
 554 a unit of $cm^{-3} s^{-1}$ and
 555 particle diameter growth
 556 rate GR_{10} in a unit of $nm h^{-1}$
 557 (a), mean condensation sink
 558 for vapours (CS) in a unit of
 559 s^{-1} averaged over the
 560 nucleation time interval (t_1 ,
 561 t_2) and daily maximum gas-
 562 phase H_2SO_4 proxy in a unit
 563 of $\mu g m^{-5} W s$ (b), daily
 564 mean air temperature (T) in
 565 a unit of $^{\circ}C$ and daily mean
 566 relative humidity (RH) in %
 567 (c), and daily median
 568 concentrations of SO_2 , O_3 ,
 569 NO_x and CO for
 570 quantifiable (class 1A) new
 571 particle formation and
 572 growth events in the city
 573 centre for the joint 5-year
 574 long time interval. The error
 575 bars are shown for one side
 576 for clarity and indicate 1
 577 standard deviation. Number
 578 of the individual data
 579 averaged in each month is
 580 displayed next to the
 581 symbols. The horizontal
 582 lines indicate the overall
 583 mean. The nonlinear curves
 584 assist to guide the eye.

586 4.3 Relationships

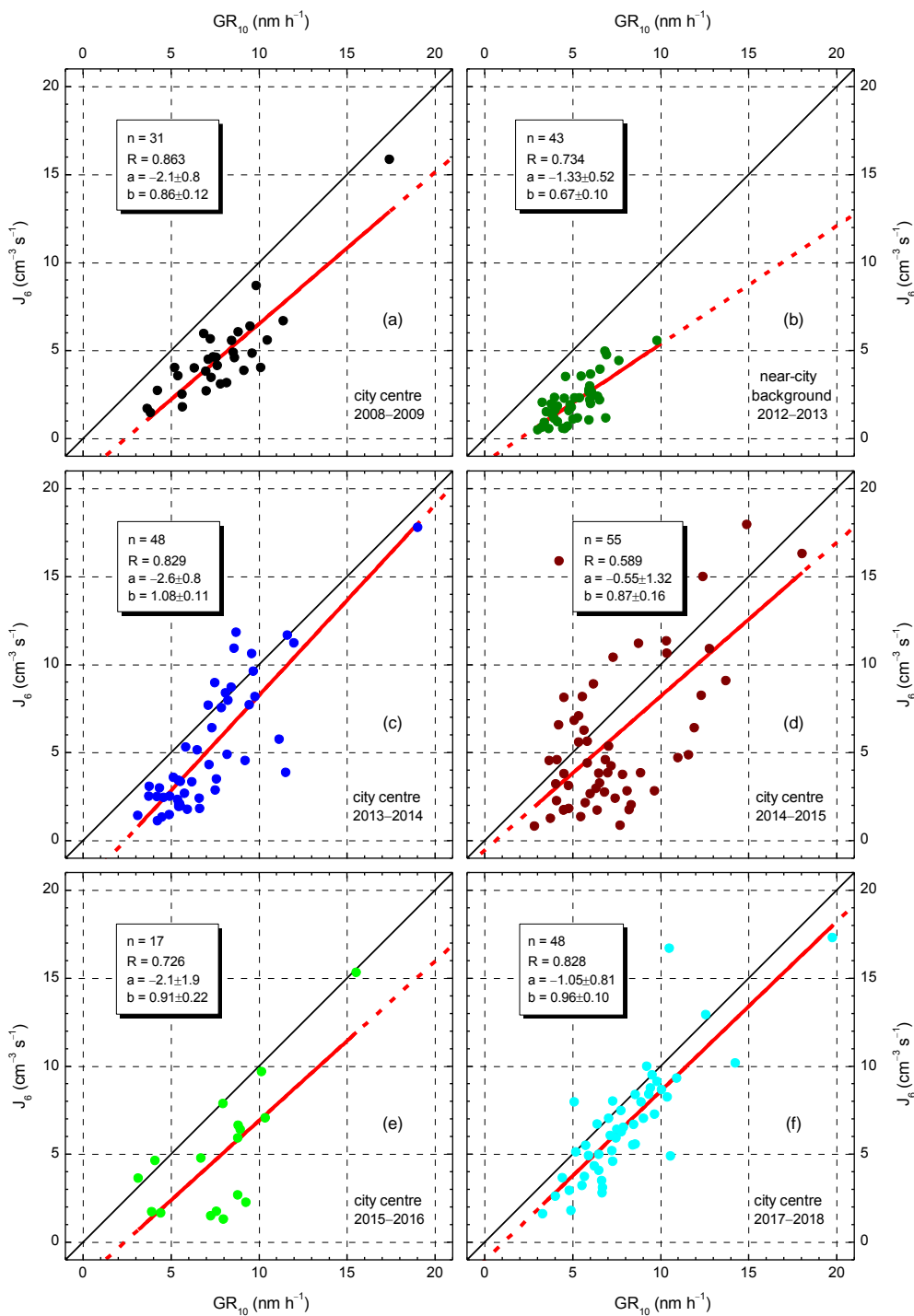
587

588 Pearson's coefficients of correlation (R) between J_6 and GR_{10} revealed significant linear
589 relationship between them for all annual data sets (the mean R and SD were 0.768 ± 0.099 ,
590 number of data pairs $n=243$). This confirms that formation of new aerosol particle and their
591 growth to larger sizes in the atmosphere are tightly and positively linked together. It should be
592 noted that J_6 and GR_{10} are not completely independent variables (see Eq. 1 and Table S1). The
593 linear relationship between the dynamic properties was observed under different atmospheric
594 conditions in many environments (Nieminen et al., 2018). At some sites, this relationship could
595 not be proved due to the weak variability in the variables.

596

597 The dynamic properties can also be coupled to the concentrations of aerosol precursor
598 compounds and properties of a pre-existing particle population, thus to atmospheric
599 environment (Kerminen et al., 2018). It is, therefore, sensible to investigate the city centre and
600 near-city background data separately. Scatter plots between J_6 and GR_{10} for the 1-year long
601 measurement time intervals are shown in Fig. 3. For the city centre, the regression lines follow
602 the line with a slope of 1 in all 5 years. The mean slope (b) with SD for the joint 5-year long
603 city centre data set was $b=0.94 \pm 0.07$ expressed formally in a unit of $\text{cm}^{-3} \text{s}^{-1} \text{nm}^{-1} \text{h}$. At the
604 same time, the regression line for the near-city background deviated significantly with a
605 $b=0.67 \pm 0.10 \text{ cm}^{-3} \text{s}^{-1} \text{nm}^{-1} \text{h}$ from the J_6 vs. GR_{10} dependency for the city centre. This can
606 imply that NPF and growth processes advance in a different manner in these 2 environments.
607 This can be related to the differences between the city and its close environment as far as the
608 atmospheric composition (for instance, the VOC and NO_x concentrations), chemistry and
609 physics, and other delicate conditions are concerned (Paasonen et al., 2018). The narrower
610 range and smaller number of individual dynamic properties available for the near-city
611 background relative to those in the city centre represent some inherent limitation or weakness
612 in the explanation, and, therefore, it can strictly be regarded as a working hypothesis because a
613 rigorous statistical treatment would require larger variability in the near-city background data.

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641 **Figure 3.** Scatter plots for aerosol particle formation rate J_6 and consecutive particle diameter growth
 642 rate GR_{10} in city centre (a and c–f) and near-city background (b) separately for the 1-year long
 643 measurement time intervals. Number of data point (n), their coefficient of correlation (R) and the
 644 intercept (a) and slope (b) of the regression line with standard deviations are also indicated. The lines in
 645 black represent the line with a slope of 1, the solid lines in red show the regression lines, while the
 646 dashed parts in red are extrapolated from the regression line.

647

648 The intercepts (a) of the regression lines were identical for all data sets within their uncertainty
649 interval. The mean intercept and SD were estimated to be $-1.7 \pm 0.8 \text{ cm}^{-3} \text{ s}^{-1}$. This finding is
650 interpreted as the existence of a minimum GR or more exactly of a minimally required GR that
651 leads to $J_6 > 0$. Particles that exhibit at least this level of GR can escape coagulation mainly with
652 larger particles and reach the detectable diameter (6 nm in our case) by condensational growth.
653 The minimal GR was derived as $\text{GR}_{\min} = -a/b$, and its mean and SD are $1.8 \pm 1.0 \text{ nm h}^{-1}$ for the
654 conditions ordinarily present in the Budapest air. Nucleation processes which are initiated under
655 circumstances that cause the newly formed particle with a diameter of 10 nm to grow with a
656 rate $< \text{GR}_{\min}$ are normally not observed. Anyway, these are expected to be events with relatively
657 small J_6 (weak phenomena) due to the relationship between GR_{10} and J_6 . The events with GR
658 larger but close to this limit could be still masked by fluctuating experimental data. Their
659 identification and evaluation can be made feasible by decreasing the lower measurement
660 diameter limit of DMPS systems down to 3 nm, or by different instruments such as particle size
661 magnifier or neutral cluster and air ions spectrometer.

662

663 Correlations between individual H_2SO_4 proxy values on one side and J_6 or GR_{10} on the other
664 side were not significant. This is consistent with the corresponding conclusion of Sect. 4.2 and
665 with the earlier results according to which the mean contribution of H_2SO_4 condensation to the
666 particle GR_{10} was only 12.3% in Budapest (Salma et al., 2016b). The lack of correlation and
667 the average concentrations of SO_2 derived separately for the NPF and growth event and non-
668 event days suggest that this precursor gas is ordinarily available in excess and, therefore, the
669 formation of H_2SO_4 is likely governed by photochemical conditions, and that other chemical
670 species than H_2SO_4 can have larger influence on the particle growth. The role of H_2SO_4 in the
671 nucleation process and early particle growth could be still relevant or determinant.

672

673 Coefficients of correlation between CS on one side and J_6 or GR_{10} on the other side for the joint
674 city centre data sets were modest ($R=0.41$ and 0.32 , respectively with $n=194$ and 197 ,
675 respectively). This is simply related to the fact that larger GR values are typical for polluted
676 urban air (Kulmala et al., 2017) since particles capable of escaping coagulation scavenging need
677 to grow faster in comparison to cleaner environments, and the enhanced requirements for the
678 growth are linked to increased formation rates as well. It should be noted here that the GR of
679 newly formed particles to larger sizes is primarily coupled to 1) CS, which is further linked to
680 the entire aerosol particle population (including the newly formed particles, thus the NPF itself),

681 2) to the total concentration and some physicochemical properties of non-volatile gaseous
682 compounds and 3) to their production rate in the gas phase from aerosol precursor compounds
683 (e.g. Kerminen et al., 2018). These couplings could result in rather complex behaviour, and
684 their understanding is essential when analysing atmospheric observations.

685

686 As far as the pollutant gases are concerned, no correlation could be identified between J_6 or
687 GR_{10} on one side and the gas concentrations on the other side. The coefficients of correlation
688 between CS and NO_x or CO were modest ($R=0.37$ and 0.42 , respectively with $n=164$ and 152 ,
689 respectively), while correlation of NO_x and CO on one side with WS was also modest but
690 negative ($R= -0.32$ and -0.42 , respectively with $n=167$ and 155 , respectively). The former
691 relationships can be explained by the fact that vehicular road traffic in cities is a considerable
692 and common source of NO_x , CO and primary particles (Paasonen et al., 2016), and the emitted
693 particles largely contribute to CS levels. The latter relationships are linked to the effect of large-
694 scale air mass transport (often connected to high WSs) on urban air pollution or air quality.

695

696 **4.4 Extreme and multiple events**

697

698 The joint 6-year long data sets of J_6 , GR_{10} and Δt containing all, 247 individual data each could
699 be characterised by lognormal distribution function. This is demonstrated by log-probability
700 graph for J_6 in Fig. S2 as example. The coefficient of determination, median and geometric
701 standard deviation for J_6 , GR_{10} and Δt data sets were 0.990 , 4.0 cm^{-3} and 2.3 ; 0.993 , 6.8 nm h^{-1}
702 and 1.46 ; and 0.998 , $02:57$ (0.123 d) and 1.74 , respectively.

703

704 One of the major properties of this distribution type is that it contains relatively large individual
705 data with considerably high abundances. There were 5 individual J_6 and 5 individual GR_{10} data
706 above the 98% percentile of the data sets, which belonged to 9 separate NPF and growth events
707 (days). Their specifications, properties and parameters are summarised in Table 3. All these
708 events occurred in the city centre from April to September. The medians of J_6 , GR_{10} , CS and
709 air T for the subsets of these 9 extreme event days were larger by factors of 5.2 , 2.4 , 1.5 and
710 1.4 , respectively than for the city centre data. At the same time, the medians of the other
711 atmospheric properties and concentrations in these 2 respective data sets agreed within
712 approximately 10%. There was a single event associated with an extreme H_2SO_4 proxy (of
713 $23 \times 10^5 \mu\text{g m}^{-5} \text{ W s}$) and relatively low NO_x concentration ($44 \mu\text{g m}^{-3}$), which indicate

714 exceptionally favourable conditions for NPF and growth. In addition to this case, there were
 715 only a few days that were characterised by an unusually large CS ($23 \times 10^{-3} \text{ s}^{-1}$) – which could
 716 in turn be linked to higher dynamic rates (Sect. 4.3) – or by somewhat larger SO_2 ($8.1 \mu\text{g m}^{-3}$)
 717 or lower NO_x concentration ($34 \mu\text{g m}^{-3}$). For all the other events, however, no simple or
 718 compound property of the investigated variables could explain the extreme rates. Instead, they
 719 may be related to some other chemical species and/or atmospheric processes, which were not
 720 including in the present study.

721

722 **Table 3.** Date (in a format of dd–MM–yyyy), new particle formation rate J_6 (in a unit of $\text{cm}^{-3} \text{ s}^{-1}$),
 723 particle diameter growth rate GR_{10} (nm h^{-1}), starting time t_1 of nucleation (HH:mm UTC+1), duration
 724 time interval $\Delta t = t_2 - t_1$ of nucleation (HH:mm), mean condensation sink CS during the nucleation process
 725 (10^{-3} s^{-1}), daily maximum gas-phase H_2SO_4 proxy ($10^4 \mu\text{g m}^{-5} \text{ W s}$), daily mean air temperature T ($^\circ\text{C}$),
 726 daily mean relative humidity RH (%), daily median concentrations of SO_2 , O_3 , NO_x ($\mu\text{g m}^{-3}$) and CO
 727 (mg m^{-3}) gases, and the type of the onset for extreme quantifiable (class 1A) new particle formation and
 728 growth events. The cells in yellow indicate the values which are above the 98% percentile of the
 729 corresponding data sets. N.a.: not available.

730

| Date/ property | 15– 09– 2009 | 20– 04– 2014 | 19– 05– 2015 | 04– 07– 2015 | 28– 05– 2017 | 25– 06– 2017 | 02– 08– 2017 | 31– 08– 2017 | 09– 09– 2017 |
|-------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| J_6 | 15.9 | 17.8 | 24 | 16.3 | 27 | 33 | 30 | 47 | 17.3 |
| GR_{10} | 17.4 | 19.0 | 12.2 | 18.0 | 9.2 | 17.0 | 11.8 | 21 | 19.8 |
| t_1 | 10:20 | 08:52 | 08:52 | 09:38 | 06:34 | 10:18 | 07:39 | 10:06 | 11:38 |
| Δt | 01:23 | 01:42 | 03:57 | 02:06 | 07:15 | 02:46 | 06:58 | 06:19 | 02:06 |
| Proxy | 38 | 42 | 25 | 16 | 229 | 41 | 69 | 92 | 45 |
| CS | 13.4 | 8.9 | 13.7 | 11.9 | 6.9 | 10.5 | 23 | 18.2 | 15.5 |
| T | 20 | 13.0 | 22 | 26 | 20 | 24 | 29 | 23 | 19.1 |
| RH | 60 | 62 | 48 | 40 | 40 | 68 | 49 | 47 | 58 |
| SO_2 | 6.1 | 2.5 | 4.4 | 2.3 | 3.4 | 3.1 | 5.6 | 8.1 | 6.6 |
| O_3 | 16.3 | 43 | n.a. | 33 | 61 | 56 | 34 | 24 | 12.9 |
| NO_x | 69 | 34 | 174 | 70 | 44 | 66 | n.a. | 109 | 112 |
| CO | 0.42 | n.a. | 0.71 | 0.33 | 0.31 | 0.50 | 0.97 | 0.62 | 0.71 |
| Onset | ordinary | double | broad | ordinary | broad | broad | broad | broad | ordinary |

731

732

733 Each quantifiable NPF and growth event was labelled as ordinary or broad by visual inspection
 734 of its beginning part. If the width of the beginning was smaller than approximately 2 h or there
 735 was a determinant single growth curve (rib) on the size distribution surface plot then the onset

736 was labelled as ordinary, otherwise as broad (Fig. S1a and S1c for broad onsets). Broad onsets
737 can be generated by 1) long-lasting nucleation process, 2) disrupted and started over nucleation
738 due to changing atmospheric and meteorological conditions or 3) multiple nucleation processes
739 close to each other in time (Salma et al., 2016b). The broad onsets were specified as doublets
740 if the nucleation mode could be separated into 2 submodes by size distribution fitting.
741 Approximately 40% of all quantifiable events had a broad onset. This indicates that NPF and
742 growth events with broad/multiple onsets are abundant in the urban environment, which could
743 be an important difference from remote or clean atmospheres.

744

745 For 9% of all quantifiable event days, it was feasible to calculate 2 sets of dynamic properties
746 for onsets 1 and 2 with a reasonable accuracy. In the near-city background, the medians of J_6
747 and GR_{10} for the onset 1 were similar than the corresponding medians for the whole near-city
748 background data set, while for the onset 2, they were substantially larger, namely $4.1 \text{ cm}^{-3} \text{ s}^{-1}$
749 and 10.0 nm h^{-1} , respectively (cf. Table 2). Actually, the latter values were closer to the medians
750 of the city centre than for the near-city background. Approximately 75% of the doublets resulted
751 in individual onset2/onset1 ratios larger than unity. Their overall median ratios for J_6 and GR_{10}
752 were similar and approximately 1.4, while for the near-city background, they were about 2. The
753 results are in line with our earlier conclusion according to which the second onsets (if it is a
754 new formation process and not just a started over event) are more intensive than the first onsets
755 (Salma et al., 2016b). These particles also grow faster. This can be explained by the fact that
756 the first event is of regional scale since its dynamic properties resemble those of the regional
757 background (Yli-Juuti et al., 2009), while the later event can be characterised by values typical
758 for the city centre (Salma et al., 2016b). The later event (or events) are mainly caused and
759 governed by sub-regional processes. These findings are also coherent with a previous
760 observation of NPF and growth events with multiple onsets in semi-clean savannah and
761 industrial environments (Hirsikko et al., 2013), and they also fit well into the existing ideas on
762 mixing regional and urban air parcels that exhibit different properties such as precursor
763 concentrations, T and RH (Kulmala et al., 2017).

764

765 **5 Conclusions**

766

767 Magnitude of the particle number concentration level produced solely by NPF and growth
768 (strength of the events) can roughly be estimated by considering the median J_6 , median duration
769 of nucleation Δt (their distribution function is lognormal; Table 2) and the mean coagulation

770 loss of these particles F_{coag} (0.17; Sect. 3.1 and Table S1) as: $J_6 \times \Delta t \times (1 - F_{\text{coag}})$. In central
771 Budapest, it yields a concentration of 10^4 cm^{-3} . This is in line with another result achieved by
772 nucleation strength factor (Salma et al., 2017). More importantly, the estimated concentration
773 from NPF and growth process is comparable to the annual median atmospheric concentrations
774 (Sect. 4). This simple example indicates that the phenomenon is not only relevant for aerosol
775 load and climate issues on regional or global spatial scales – which were first recognised – but
776 it can affect the urban climate and the health risk of inhabitants of cities as well.

777

778 Similar recognitions have led to the emerge of urban atmospheric nucleation studies. As part of
779 this international progress, we presented here a considerable variety of contributions, which
780 became feasible thank to gradually generating, multi-year long, critically evaluated, complex
781 and coherent data sets. Dynamic and timing properties of 247 NPF and growth events were
782 studied together with supporting aerosol properties, meteorological data and pollutant gas
783 concentrations in near-city background and city centre of Budapest for 6 years. The results and
784 conclusions derived form in important component that is based on atmospheric observations.
785 The present study can also be considered as the first step toward a larger statistical evaluation
786 process. The results are to be combine with results from laboratory experiments and finally,
787 with theoretical models to further improve our understanding on the atmospheric processes in
788 cities. Specialities and features of the urban atmospheric NPF and growth phenomena are finally
789 to be also considered when assessing their potentials to increase UF and CCN concentrations
790 or their health implications.

791

792 The present research based on ambient atmospheric measurements provided evidence that some
793 important chemical players in the NPF and growth events are still missing. Considering the
794 results and conclusions of cloud chamber experiments, these factors are expected to be related
795 mainly to oxidation products of VOCs and/or their processes. Further dedicated research
796 including sophisticated measurements, data evaluations and modelling studies is required to
797 find and identify these species and their processes, and to account their multifactorial role in
798 more detail. Such measurement campaign focusing on chemical composition of molecular
799 clusters, precursors and nucleating vapours by applying recent expedient instruments in
800 Budapest over the months of the highest expected event occurrence has been just realised within
801 a frame of an international cooperation. Its perspective results can hopefully provide additional
802 valuable information for some of the conclusion base on indirect evidence for the time being
803 and can further clarify the overall picture on urban multicomponent nucleation and growth
804 phenomenon.

805

806 **Data availability.** The observational data used in this paper are available on request from the
807 corresponding author or at the website of the Budapest platform for Aerosol Research and Training
808 (<http://salma.web.elte.hu/BpART>).

809

810 **Competing interest.** The authors declare that they have no conflict of interest.

811

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816

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1090 **Supplementary material**

1091

1092 **Table S1.** Relative contributions of particle number concentration increment ($dN_{\text{nuc}}/dt=dN_{6-25}/dt-$
 1093 $dN_{\text{Ai}, <25}/dt$), coagulation scavenging loss (F_{coag}) and growth out of particles from the diameter interval
 1094 of 6–25 nm (F_{growth}) relative to the formation rate J_6 in the near-city background and city centre
 1095 separately for 1-year long measurement time intervals. The measurement year and number of
 1096 quantifiable (class 1A) new aerosol particle formation and growth events (n) are also shown.

1097

| Environment and year/ statistics | Contribution in % | | |
|--|----------------------|-------------------|---------------------|
| | dN_{nuc}/dt | F_{coag} | F_{growth} |
| Background, 2012–2013, $n=43$ | | | |
| Minimum | 45 | 4 | 2 |
| Maximum | 93 | 38 | 26 |
| Mean | 76 | 14 | 10 |
| St. deviation | 12 | 9 | 5 |
| Centre, 2008–2009, $n=31$ | | | |
| Minimum | 32 | 13 | 3 |
| Maximum | 84 | 44 | 38 |
| Mean | 54 | 29 | 18 |
| St. deviation | 13 | 8 | 9 |
| Centre, 2013–2014, $n=48$ | | | |
| Minimum | 43 | 9 | 3 |
| Maximum | 86 | 37 | 30 |
| Mean | 63 | 22 | 15 |
| St. deviation | 11 | 7 | 7 |
| Centre, 2014–2015, $n=56$ | | | |
| Minimum | 45 | 6 | 2 |
| Maximum | 91 | 46 | 32 |
| Mean | 70 | 17 | 14 |
| St. deviation | 12 | 7 | 8 |
| Centre, 2015–2016, $n=17$ | | | |
| Minimum | 50 | 4 | 2 |
| Maximum | 92 | 43 | 30 |
| Mean | 74 | 14 | 11 |
| St. deviation | 11 | 9 | 8 |
| Centre, 2017–2018, $n=52$ | | | |
| Minimum | 44 | 4 | 3 |
| Maximum | 93 | 41 | 31 |
| Mean | 70 | 17 | 13 |
| St. deviation | 11 | 8 | 7 |

1098

1099 **Table S2.** Ranges, averages and standard deviations of condensation sink value during the nucleation
 1100 process, daily maximum gas-phase H₂SO₄ proxy, daily mean air temperature and daily mean relative
 1101 humidity on quantifiable (class 1A) new particle formation and growth events in the near-city
 1102 background and city centre separately for the 1-year long measurement time intervals and for the joint
 1103 5-year long city centre data set.
 1104

| Environment | Background | | Centre | | | | |
|---|---------------|---------------|---------------|---------------|---------------|---------------|----------------|
| | 2012– 2013 | 2008– 2009 | 2013– 2014 | 2014– 2015 | 2015– 2016 | 2017– 2018 | All 5 years |
| Condensation sink, CS (10 ⁻³ s ⁻¹) | | | | | | | |
| Minimum | 1.63 | 3.1 | 2.0 | 2.4 | 1.69 | 2.1 | 1.69 |
| Median | 5.6 | 9.5 | 9.9 | 8.6 | 5.0 | 8.4 | 8.9 |
| Maximum | 14.6 | 21 | 17.8 | 21 | 18.4 | 18.5 | 21 |
| Mean | 6.2 | 11.0 | 10.4 | 9.4 | 6.8 | 8.7 | 9.4 |
| St. deviation | 3.1 | 4.9 | 3.7 | 4.2 | 4.2 | 4.6 | 4.3 |
| Gas-phase H ₂ SO ₄ proxy (10 ⁴ μg m ⁻⁵ W s) | | | | | | | |
| Minimum | 40 | 10.9 | 12.2 | 5.8 | 34 | 7.3 | 5.8 |
| Median | 93 | 39 | 40 | 38 | 79 | 46 | 41 |
| Maximum | 163 | 96 | 139 | 135 | 190 | 134 | 190 |
| Mean | 93 | 39 | 45 | 42 | 82 | 50 | 48 |
| St. deviation | 32 | 17 | 27 | 23 | 38 | 31 | 29 |
| Air temperature, <i>T</i> (°C) | | | | | | | |
| Minimum | -5.2 | -0.46 | -1.78 | -1.19 | -1.07 | 1.21 | -1.78 |
| Median | 11.5 | 17.1 | 16.8 | 15.3 | 14.2 | 16.7 | 16.1 |
| Maximum | 27 | 23 | 28 | 28 | 28 | 27 | 28 |
| Mean | 11.5 | 16.3 | 15.7 | 15.0 | 13.6 | 16.4 | 15.5 |
| St. deviation | 8.1 | 5.6 | 6.9 | 7.2 | 8.3 | 6.5 | 6.8 |
| Relative humidity, RH (%) | | | | | | | |
| Minimum | 41 | 32 | 41 | 31 | 39 | 36 | 31 |
| Median | 63 | 49 | 60 | 50 | 55 | 52 | 53 |
| Maximum | 91 | 74 | 78 | 77 | 89 | 73 | 89 |
| Mean | 64 | 51 | 60 | 50 | 56 | 52 | 54 |
| St. deviation | 12 | 11 | 10 | 9 | 12 | 9 | 11 |

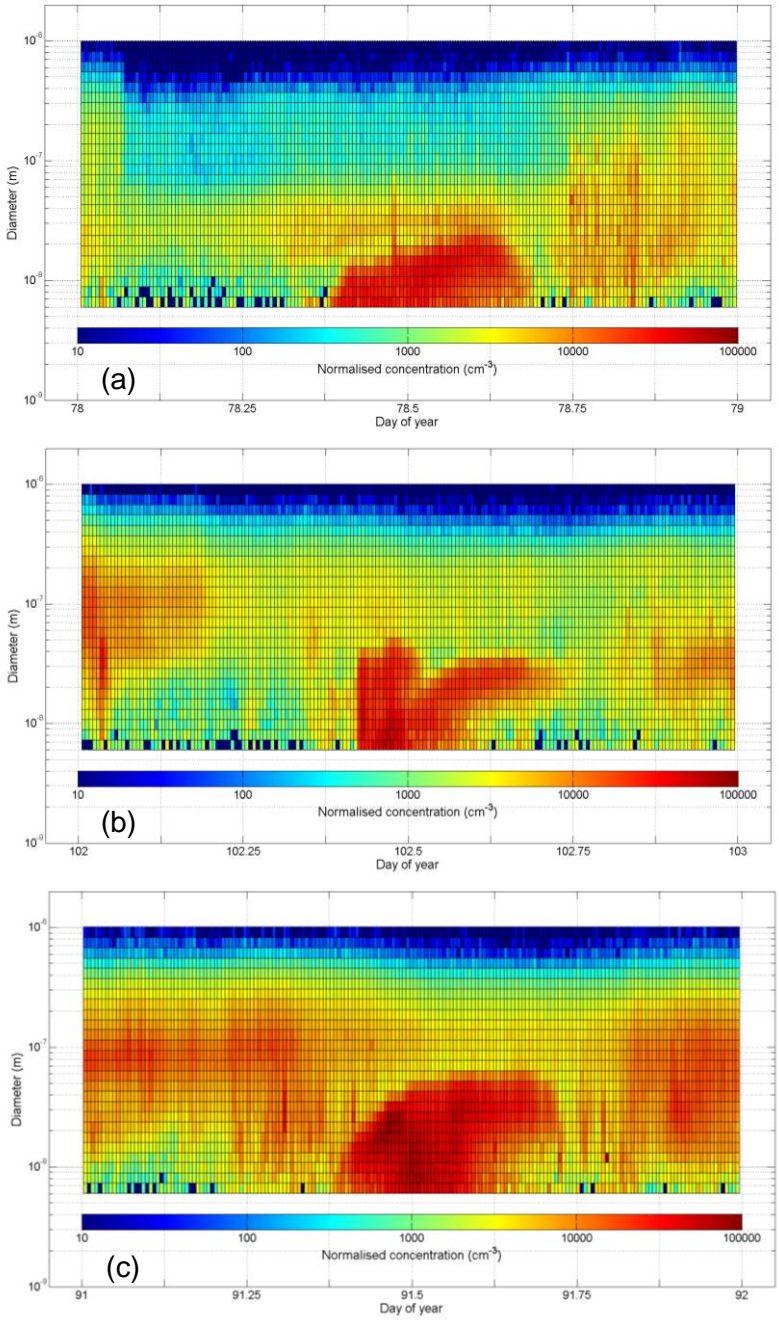
1105

1106 **Table S3.** Ranges, averages and standard deviations of daily median concentrations of SO₂, O₃, NO_x
 1107 and CO gases on quantifiable (class 1A) new particle formation and growth event days in the near-city
 1108 background and city centre separately for the 1-year long measurement time intervals and for the joint
 1109 5-year long city centre data set.
 1110

| Environment | Background | | Centre | | | | |
|---|---------------|---------------|---------------|---------------|---------------|---------------|----------------|
| | 2012– 2013 | 2008– 2009 | 2013– 2014 | 2014– 2015 | 2015– 2016 | 2017– 2018 | All 5 years |
| SO ₂ concentration (µg m ⁻³) | | | | | | | |
| Minimum | 4.4 | 3.4 | 2.0 | 0.90 | 3.3 | 0.80 | 0.80 |
| Median | 6.2 | 5.3 | 5.1 | 3.9 | 5.2 | 3.7 | 4.8 |
| Maximum | 11.7 | 8.3 | 8.2 | 10.4 | 11.4 | 7.0 | 11.4 |
| Mean | 6.5 | 5.4 | 5.1 | 4.4 | 5.9 | 3.9 | 4.7 |
| St. deviation | 1.4 | 1.2 | 1.8 | 2.4 | 2.4 | 1.8 | 2.1 |
| O ₃ concentration (µg m ⁻³) | | | | | | | |
| Minimum | 8.7 | 1.80 | 0.80 | 10.3 | 13.0 | 3.7 | 0.80 |
| Median | 61 | 44 | 25 | 35 | 36 | 29 | 31 |
| Maximum | 85 | 93 | 67 | 66 | 61 | 68 | 93 |
| Mean | 55 | 39 | 28 | 33 | 37 | 31 | 33 |
| St. deviation | 21 | 28 | 19 | 14 | 14 | 17 | 19 |
| NO _x concentration (µg m ⁻³) | | | | | | | |
| Minimum | 4.9 | 13.0 | 34 | 32 | 30 | 17.8 | 13.0 |
| Median | 12.2 | 49 | 72 | 87 | 72 | 75 | 74 |
| Maximum | 66 | 213 | 143 | 186 | 120 | 167 | 213 |
| Mean | 15.8 | 62 | 77 | 96 | 76 | 79 | 81 |
| St. deviation | 12.1 | 42 | 28 | 41 | 24 | 33 | 38 |
| CO concentration (mg m ⁻³) | | | | | | | |
| Minimum | 0.167 | 0.26 | 0.30 | 0.26 | 0.29 | 0.20 | 0.198 |
| Median | 0.31 | 0.48 | 0.56 | 0.54 | 0.42 | 0.52 | 0.51 |
| Maximum | 0.87 | 0.76 | 0.79 | 0.95 | 0.88 | 0.86 | 0.95 |
| Mean | 0.38 | 0.47 | 0.54 | 0.55 | 0.46 | 0.51 | 0.52 |
| St. deviation | 0.18 | 0.13 | 0.14 | 0.16 | 0.16 | 0.15 | 0.15 |

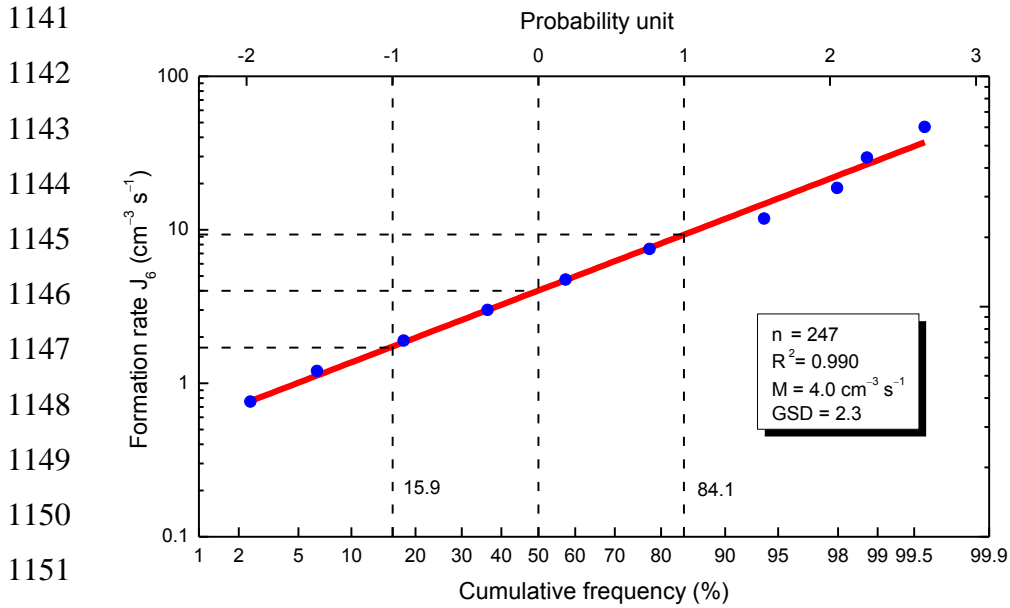
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Figure S1. Size distribution surface plots for new aerosol particle formation and consecutive particle diameter growth process as banana-shape plot with limited growth of particles on 19–03–2017 (a), with an emission interference on 12–04–2015 (b) and with a broad unresolvable onset on 01–04–2017 (c) in the city centre.



1153 **Figure S2.** Log-probability graph of the formation rate J_6 and its cumulative frequency distribution for
 1154 n individual data in the joint overall 6-year long data set. The linear line in red represents the apparent
 1155 fit to the data. Coefficient of determination (R^2), median J_6 value (M) and its geometric standard
 1156 deviation (GSD) obtained from the fitted line are also shown.