

1 Variability, timescales, and non-linearity in climate responses to  
2 black carbon emissions

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19 **Abstract**

20 Black carbon (BC) particles exert a potentially large warming influence on the  
21 Earth system. Reductions in BC emissions have attracted attention as a possible  
22 means to moderate near-term temperature changes. For the first time, we evaluate  
23 regional climate responses, non-linearity, and short-term transient responses to BC  
24 emission perturbations in the Arctic, mid-latitudes, and globally based on a  
25 comprehensive set of emission-driven experiments using the Community Earth  
26 System Model (CESM). Surface temperature responses to BC emissions are  
27 complex, with surface warming over land from mid-latitude BC perturbations partially  
28 offset by ocean cooling. Climate responses do not scale linearly with emissions.  
29 While stronger BC emission perturbations have a higher burden efficiency, their  
30 temperature sensitivity is lower. BC impacts temperature much faster than  
31 greenhouse gas forcing, with transient temperature responses in the Arctic and  
32 mid-latitudes approaching a quasi-equilibrium state with a timescale of 2–3 years. We  
33 find large variability in BC-induced climate changes due to background model noise.  
34 As a result, removing present-day BC emissions results in discernible surface  
35 temperature changes for only limited regions of the globe. In order to better  
36 understand the climatic impacts of BC emissions, both the drivers of non-linear  
37 responses and response variability need to be assessed across climate models.  
38

## 39 **1. Introduction**

40 Black carbon (BC) aerosol, emitted from incomplete combustion, may be the  
41 second strongest positive anthropogenic climate forcing following carbon dioxide,  
42 which drew attention for potential climate mitigation from reducing BC emissions  
43 (Jacobson, 2004; Shindell et al., 2012; Bond et al., 2013; Smith and Mizrahi, 2013).  
44 The relationship between forcing and surface temperature changes caused by BC is  
45 complex and forcing is not a reliable indicator of the climatic impact of BC emissions  
46 (Stjern et al., 2017). BC absorbs solar radiation within the atmospheric column  
47 thereby warming the atmosphere with an influence on surface temperature that  
48 depends on its vertical location. At high altitudes, BC cools the surface by absorbing  
49 solar radiation (i.e., blocking it from reaching the surface) (Ramanathan and  
50 Carmichael, 2008), while BC at low altitudes warms the surface through diabatic  
51 heating (Ban-Weiss et al., 2012). In addition, heating the atmosphere and cooling the  
52 surface can increase atmospheric stability and therefore affect cloud formation,  
53 lifetime, and dynamical processes (Koren et al., 2004; McFarquhar and Wang, 2006;  
54 Koch and Del Genio, 2010). Through transformation from hydrophobic aggregates to  
55 hydrophilic particles coated with water-soluble substances (i.e., aging processes), BC  
56 can become cloud-nucleating particles (Oshima et al., 2009), alter cloud  
57 microphysical processes, and suppress precipitation (Boucher et al., 2013).  
58 BC-induced warming or cooling can increase or decrease surface evaporation,  
59 resulting in further changes in precipitation and cloud formation (McFarquhar and  
60 Wang, 2006; Andrews et al., 2010; Ming et al., 2010; Ban-Weiss et al., 2012;

61 Kvalevåg et al., 2013). BC can also decrease surface albedo through deposition on  
62 snow and ice, which is especially important to the climate at high latitudes and,  
63 particularly the Arctic (Flanner et al., 2007; Qian et al., 2014) as snow/ice-albedo  
64 effects are strong there. Taken together, these processes result in interactions  
65 between BC and the atmosphere that can ultimately alter the net impact of BC on  
66 climate, which have been termed rapid adjustments (Stjern et al., 2017).

67 Studies found that increases in BC emissions may contribute to the amplification  
68 of Arctic warming directly by absorbing solar radiation in the atmosphere and  
69 indirectly by reducing surface albedo through deposition on snow and ice (Flanner et  
70 al., 2007; Qian et al., 2014). Flanner (2013) highlighted the importance of BC vertical  
71 location in Arctic climate responses, with surface warming (cooling) due to BC in the  
72 lower (upper) troposphere. In addition, BC outside the Arctic can influence the Arctic  
73 climate through changing poleward heat transport. With BC snow/ice-albedo effect  
74 excluded, Shindell and Faluvegi (2009) modeled an Arctic surface warming (cooling)  
75 due to reducing (enhancing) mid-latitude BC atmospheric concentrations. Sand et al.  
76 (2013a) found that this was due to the increased northward heat transport into the  
77 Arctic. However, in another study where BC emissions were perturbed instead of  
78 concentrations, Sand et al. (2013b) reported a decrease in northward heat transport  
79 due to increases in mid-latitude BC emissions and suggested that the heating effect  
80 of BC transported to the Arctic dominated the Arctic heating in the mid-latitude  
81 perturbation simulation, leading to the opposite direction of atmospheric heat  
82 transport compared to the concentration-driven perturbations. They also found that

83 increases in both BC emission and BC concentration in the Arctic atmosphere may  
84 weaken poleward heat transport due to increasing Arctic temperature driven by BC  
85 heating in the atmosphere and on snow and ice surfaces. Therefore, understanding  
86 the Arctic climate impact of regional BC emissions is important for the Arctic climate  
87 mitigation (Sand et al., 2016).

88 In order to archive a statistically significant signal for Arctic surface temperature  
89 responses to BC emissions, Sand et al. (2013b) scaled present-day BC emissions  
90 within the Arctic by a factor of 150 and emissions from mid-latitudes by a factor of 9,  
91 respectively, in the NorESM model with BC snow/ice-albedo effects included. They  
92 found that emissions of BC within the Arctic have an Arctic surface temperature  
93 response 5 times larger than those from mid-latitudes and attributed this to BC  
94 snow/ice-albedo feedbacks. The impact of BC emission perturbations on  
95 mid-latitudes were not examined in that study, which we do in this work to contrast  
96 the impact of BC on the Arctic with mid-latitudes.

97 Much of the previous work on BC has used atmosphere-only models or  
98 prescribed BC concentrations (Hansen et al., 2005; Ming et al., 2010; Ban-Weiss et  
99 al., 2012; Sand et al., 2013a), which artificially reduces variability in model results.  
100 Results qualitatively differ between prescribed BC-concentration and emission-driven  
101 simulations with coupled models (Sand et al., 2013a,b, 2015). A previous study using  
102 coupled models found that the BC response in three of these models showed high  
103 variability and inconsistency in the net sign of the responses to present-day BC  
104 emissions both between models and even between ensemble members from the

105 same model (Baker et al., 2015). Stjern et al. (2017) investigated climate responses  
106 to a tenfold increase in present-day anthropogenic BC concentrations or emissions  
107 using five concentration-driven and four emission-driven global climate models. They  
108 found that low-level cloud amounts increase, while higher-level clouds are diminished  
109 for all models, which is dominated by rapid adjustments. The negative rapid  
110 adjustments from changing clouds dampened positive instantaneous radiative forcing  
111 of BC at the TOA, leading to a relatively small global surface warming. However, this  
112 study did not consider response variability or non-linearity of responses. We note that  
113 the model used in our study contains a different aerosol treatment (see below) than  
114 the model used in Stjern et al. (2015).

115 To better understand the impacts of BC on climate, we present a comprehensive  
116 analysis using a set of coupled simulations that examine regional climate responses,  
117 non-linearity, and short-term transient climate responses to BC emission  
118 perturbations. We focus in particular on the Arctic and also variability to assess if  
119 climate responses to BC emission changes are likely to be discernable. Only  
120 combustion and process-based anthropogenic BC emissions are perturbed, given  
121 that the net global climate impact of open burning emissions has been assessed to be  
122 small due to their high organic carbon fraction. A summary of key results is provided  
123 below.

124

## 125 **2. Methods**

### 126 **2.1 Model description**

127 Here we use the fully coupled CESM (Community Earth System Model; Hurrell et  
128 al., 2013) to simulate climate responses to BC emission perturbations. In  
129 CAM5-MAM4 (Community Atmosphere Model version 5), the atmospheric  
130 component of CESM, mass and number concentrations of aerosols are predicted  
131 within four lognormal modes (i.e., Aitken, accumulation, coarse, and primary carbon  
132 mode) of the modal aerosol module (MAM4; Liu et al., 2016). BC is emitted into the  
133 primary-carbon mode and aged into the accumulation mode when coated with sulfate  
134 or secondary organic aerosol. Particles in the accumulation mode, including BC and  
135 other species, can serve as cloud condensation nuclei and have microphysical  
136 effects on stratiform clouds and precipitation. The model physically treats  
137 aerosol-cloud interactions using two-moment stratiform cloud microphysics, which  
138 predicts number concentrations and mixing ratios of cloud water and ice (Morrison  
139 and Gettelman, 2008; Gettelman et al., 2010). Activation of stratiform cloud droplets  
140 is based on the scheme of Abdul-Razzak and Ghan (2000). In addition to the  
141 standard treatments of aerosol-cloud interactions, we also include a set of  
142 modifications that improves the simulation of aerosol wet scavenging and convective  
143 transport (Wang et al., 2013). Although aerosols have no microphysical impact on  
144 convective clouds, BC induced atmospheric heating can affect the ambient  
145 temperature and convection. Convective precipitation can scavenge and remove  
146 aerosols. Previous studies have extensively evaluated the CAM5 model simulations  
147 of concentration, deposition, vertical profile and optical properties of BC (Wang et al.,  
148 2013; Wang et al., 2015; Zhang et al., 2015a,b; Liu et al., 2016; Yang et al., 2017,

149 2018a,b) ), as well as climate variables (Hurrell et al., 2013; Yang et al., 2016a,b).  
150 The model can simulate well the BC aerosol and climate variables in most regions of  
151 the globe, but was reported to underestimate BC concentrations over China (Yang et  
152 al., 2018a) and the Arctic (Wang et al., 2013) (although this earlier study used a  
153 different emissions dataset), implying a possible underestimate of climate responses  
154 to BC emissions in this study.

155 In our model simulations, atmospheric radiative transfer is calculated twice with  
156 BC included and excluded, respectively. The changes in direct radiative effect and  
157 cloud radiative effect induced by BC perturbation are calculated as  $\Delta(F_{\text{clear}} - F_{\text{clear, clean}})$   
158 and  $\Delta(F_{\text{clean}} - F_{\text{clear, clean}})$ , respectively, where  $F_{\text{clear}}$  is the TOA flux calculated  
159 neglecting scattering and absorption by clouds,  $F_{\text{clean}}$  is the TOA flux calculated  
160 neglecting scattering and absorption by BC,  $F_{\text{clear, clean}}$  is the TOA flux calculated  
161 neglecting scattering and absorption by both clouds and BC, and  $\Delta$  refers to the  
162 differences between the control and one of the emission perturbed simulations (Ghan,  
163 2013). Note that these quantities include the impact of slow responses and feedbacks  
164 (e.g., changes in sea surface temperature and sea ice and feedbacks with clouds) so  
165 are not strictly comparable to the conventional definition of radiative forcing (Boucher  
166 et al., 2013). The BC snow/ice-albedo effect on top of land and sea ice is included in  
167 the model (Flanner et al., 2007; Yang et al., 2017, 2018c).

## 168 **2.2 Experimental configuration and emissions**



169 The following simulations are performed in this study. All insolation, greenhouse  
170 gas concentrations and aerosol and precursor emissions, except BC, are fixed at  
171 year 1850 levels, which include open burning emissions (van Marle et al., 2017).

172 The MID7X and ARC150X simulations use large emission perturbations to result  
173 in signals large enough for detailed analysis. These regions are also particularly  
174 important for BC impacts on the Arctic. The multipliers were selected following Sand  
175 et al. (2013b) with the expectation that these would result in similar radiative  
176 perturbations. This also allows a direct comparison to these previous results (Sand et  
177 al., 2013b; and also Baker et al., 2015), which are also BC-emission simulations  
178 using a coupled model with snow/ice-albedo feedbacks. The PD simulation then  
179 allows us to evaluate the impact of present-day anthropogenic emissions. In brief, the  
180 simulations conducted are:

- 181 1. PD: control simulation for BC in present-day conditions. BC emissions are  
182 fixed at year 2010 (average of 2008–2012).
- 183 2. ARC150X: perturbed simulation to quantify the climate responses to Arctic BC  
184 emissions. Same as PD except that year 2010 level anthropogenic BC  
185 emissions over the Arctic (60–90°N) are scaled by a factor of 150.
- 186 3. MID7X: perturbed simulation to quantify the climate responses to mid-latitude  
187 BC emissions. Same as PD except that year 2010 level anthropogenic BC  
188 emissions over the mid-latitudes (28–60°N) are scaled by a factor of 7.

189 4. ARC75X: perturbed simulation to quantify non-linearity of climate responses to  
190 Arctic BC emissions. Same as ARC150X except that Arctic BC emissions are  
191 scaled by a factor of 75.

192 5. MID3.5X: perturbed simulation to quantify non-linearity of climate responses to  
193 mid-latitude BC emissions. Same as MID7X except that mid-latitude BC  
194 emissions are scaled by a factor of 3.5.

195 6. MID14X: perturbed simulation to quantify non-linearity of climate responses to  
196 mid-latitudes BC emissions. Same as MID7X except that mid-latitude BC  
197 emissions are scaled by a factor of 14.

198 7. PI: sensitivity simulation for BC in preindustrial conditions to compare results  
199 with Baker et al. (2015). BC emissions are at year 1850 levels.

200 Both mass and number of BC emissions are perturbed proportionally. Each  
201 simulation has one ensemble member for 100 years which are branched from year 81  
202 of the PI simulation after 80 years spin-up, with the last 80 years used for most  
203 analysis. Another four short-term ensemble members for 30 years are conducted  
204 under both ARC150X and MID7X to examine the short-term transient climate  
205 response to BC emissions. These are branched from years 96, 112, 120, and 140 of  
206 PI simulation.

207 The CEDS (Community Emissions Data System) anthropogenic emissions  
208 (Hoesly et al., 2018) (version 2017-05-18) that were developed for the CMIP6  
209 (Coupled Model Intercomparison Project Phase 6) model experiments are used in our  
210 simulations. Note that this emission dataset includes monthly BC emission

211 seasonality, which has been shown to be important for simulating BC in the Arctic  
212 (Stohl et al., 2013). Figure S1 shows spatial distribution of annual anthropogenic BC  
213 emissions for year 2010 (average of 2008–2012) and the regions for BC emission  
214 perturbation. Over 60–90°N, anthropogenic BC emissions are mostly over the lower  
215 latitude of the Arctic (60–70°N). Over the mid-latitudes, high BC emissions are mainly  
216 located over eastern China. The annual total anthropogenic BC emission from the  
217 Arctic in year 2010 is 0.08 Tg C yr<sup>-1</sup>, with 70% contributed by energy sector. Scaled  
218 by a factor of 150, ARC150X has 12.63 Tg C yr<sup>-1</sup> more BC emissions than the PD in  
219 the Arctic. About 3.46 Tg C yr<sup>-1</sup> of BC is emitted from the mid-latitudes, with the  
220 largest contribution from the residential sector (36%). With a scaling factor of 7,  
221 MID7X includes an additional 20.74 Tg C yr<sup>-1</sup> of BC emission from mid-latitudes, as  
222 compared to PD. Global annual anthropogenic BC for PD is 7.72 Tg C yr<sup>-1</sup>, much  
223 higher than 0.92 Tg C yr<sup>-1</sup> for PI.

224

### 225 **3. Regional climate responses to increases in Arctic and mid-latitude BC** 226 **emissions**

227 We first examine results from simulations with large perturbations of Arctic and  
228 mid-latitude BC emissions (ARC150X and MID7X). Our initial simulations focused on  
229 these regions due to the potentially high sensitivity of the Arctic to BC emissions.  
230 Figure 1 presents the increases in annual, zonal-mean BC concentrations from  
231 ARC150X and MID7X simulations, as compared to PD. Both Arctic and mid-latitude  
232 BC emissions lead to BC concentration increases in the entire Northern Hemisphere,

233 with Arctic emissions mainly impacting low altitudes within the Arctic. In ARC150X,  
234 due to extremely low temperatures at the surface and therefore temperature  
235 inversions and a transport barrier (so called Arctic front), BC concentration increases  
236 are mainly located over low altitudes within the Arctic. In MID7X, increased  
237 mid-latitude emissions produce large increases in BC concentrations between 30°–  
238 45°N. BC emitted over the mid-latitudes, which is lifted above the boundary layer and  
239 transported at higher altitudes into the Arctic, leading to increased concentrations of  
240 BC in the Arctic atmosphere. This spatial pattern is similar to that in Sand et al.  
241 (2013b).

242 To explore the importance of emissions from these source regions to BC column  
243 burdens, Table 1 summarizes BC burden efficiency, which is defined as the changes  
244 in regional mean column burden of BC produced by per unit emission change,  
245 calculated by differences between the perturbed and PD simulation. Over the Arctic,  
246 increases in Arctic local BC emissions lead to an Arctic column burden efficiency of  
247  $0.425 \pm 0.024 \text{ mg m}^{-2} (\text{Tg yr}^{-1})^{-1}$ . The burden efficiency of mid-latitude emissions over  
248 the mid-latitudes is  $0.191 \pm 0.004 \text{ mg m}^{-2} (\text{Tg yr}^{-1})^{-1}$ , less than half of the efficiency of  
249 Arctic emission on Arctic burden due to lower precipitation and frequent temperature  
250 inversion in the Arctic compared to mid-latitudes. While the relative impact of  
251 mid-latitude emissions on the Arctic burden efficiency ( $0.106 \pm 0.004 \text{ mg m}^{-2} (\text{Tg yr}^{-1})^{-1}$ )  
252 is smaller than either of the above efficiencies, the 28 times larger present-day total  
253 emissions from mid-latitudes ( $3.70 \text{ Tg yr}^{-1}$ ) than the Arctic ( $0.13 \text{ Tg yr}^{-1}$ ) dominate  
254 column burden contributions.

255 Table 1 also summarizes the changes in BC direct radiative effect, cloud radiative  
256 effect, and snow/ice-albedo forcing induced by these large BC perturbations. Note  
257 that these values include feedback effects from the coupled system, so are not  
258 comparable to conventionally defined radiative forcing values. The albedo change  
259 due to BC deposition on snow and ice is responsible for a significant increase in  
260 Arctic surface forcing in both perturbations, with far smaller changes per unit emission  
261 in mid-latitudes. Positive changes in direct radiative effect are offset by negative  
262 changes in cloud radiative effect from increases in low cloud in the Arctic and  
263 decreases in mid-level and high cloud over the mid-latitudes, similar to previous  
264 results with a tenfold increase in present-day anthropogenic BC emissions (Stjern et  
265 al., 2017).

266 Forcing efficiencies for direct radiative effect, cloud radiative effect and  
267 snow/ice-albedo forcing (i.e., forcings produced by per unit emission change) are also  
268 summarized in Table 1. Over the Arctic, local emissions from the Arctic have 2–4  
269 times higher forcing efficiencies than emissions from the mid-latitudes, suggesting  
270 higher impacts of a unit Arctic BC emission change to Arctic energy balance. Over the  
271 mid-latitudes, although forcing efficiencies for direct radiative and cloud radiative  
272 effects for Arctic emissions are 2–3 times lower than mid-latitude emissions, the  
273 snow/ice-albedo forcing efficiencies are similar between Arctic and mid-latitude  
274 emissions.

275 The annual mean surface air temperature response in ARC150X shows a  
276 significant warming over both the Arctic and mid-latitudes (Figure 2). MID7X shows

277 temperature increases over the Arctic and most of the mid-latitude land regions, while  
278 surface temperature decreases over some oceanic and coastal areas. The presence  
279 of areas with both surface warming and cooling decreases the net average  
280 temperature change over mid-latitudes. The seasonal mean surface air temperature  
281 responses present similar spatial patterns (Figure S2), but slightly different  
282 magnitudes (Figure S3). Over the Arctic, the warming due to Arctic BC emissions is  
283 weakest in boreal summer. This is because the smaller summer sea ice and snow  
284 fraction in the Arctic weakens BC snow/ice-albedo forcing. However, in the  
285 mid-latitudes, warming is strongest in boreal summer for both Arctic and mid-latitude  
286 BC emissions, because of stronger summer solar insolation and, therefore, stronger  
287 BC heating in the atmosphere.

288 Due to the increased atmospheric absorption from BC, northward heat transport  
289 for both perturbations decreases (Figure 3), consistent in sign with the results of Sand  
290 et al. (2013b). The increases in temperature but decreases in net northward heat  
291 transport indicate that the heating induced by changes in BC direct radiative effect  
292 and BC snow/ice-albedo forcing dominate the overall BC-induced changes in energy  
293 balance over the Arctic and mid-latitudes.

294 Arctic emissions are more efficient at impacting Arctic surface air temperatures  
295 with an Arctic temperature sensitivity to Arctic emissions ( $0.169 \pm 0.052 \text{ K (Tg yr}^{-1}\text{)}^{-1}$ )  
296 seven times as large as the Arctic temperature sensitivity to mid-latitude emissions  
297 ( $0.023 \pm 0.038 \text{ K (Tg yr}^{-1}\text{)}^{-1}$ ). Mid-latitude emissions, however, are likely to have a  
298 larger present-day impact overall due to their 35 times larger preindustrial to

309 present-day emission increase ( $2.874 \text{ Tg yr}^{-1}$ ) than Arctic emissions ( $0.082 \text{ Tg yr}^{-1}$ ).  
300 Note that, the Arctic temperature sensitivities are about 30% and 50% smaller than  
301 those found in the coupled NorESM model experiments of Sand et al. (2013b) for  
302 Arctic and mid-latitude emission perturbation simulations, respectively, probably due  
303 to different model parameterizations and/or different vertical profile of BC driving the  
304 net effect of BC impact on Arctic surface temperature (Flanner, 2013).

305 The vertical distribution of annual, zonal mean temperature responses (Figure 4)  
306 shows that the ARC150X leads to a strong warming from the surface to 400 hPa over  
307 the Arctic and between  $40^{\circ}$ – $60^{\circ}$ N. In MID7X, although the zonal mean surface  
308 temperature response is relatively weak compared to ARC150X, a significant  
309 warming is found in mid-latitudes between 500 and 200 hPa. BC transported from  
310 mid-latitudes into the Arctic at high altitudes also results in Arctic temperature  
311 increases aloft, between 400 and 300 hPa.

312 These changes in temperature pattern can change the stability of the atmosphere  
313 and impact atmospheric circulation, as shown in Figure 5. Increases in BC emissions  
314 over both the Arctic and mid-latitudes exert anomalous upward motions in the Arctic  
315 and downward motions over the mid-latitudes, but for different reasons. In ARC150X,  
316 stronger warming at the Arctic surface, compared to high altitudes, likely due to the  
317 BC snow/ice-albedo effect, produces anomalous upward motions in the Arctic and  
318 compensating downward motions between  $50^{\circ}$ – $60^{\circ}$ N. In MID7X, the stronger BC  
319 warming at higher altitudes in mid-latitudes increases atmospheric stability and leads  
320 to strong anomalous downward motions between  $40^{\circ}$ – $60^{\circ}$ N and compensating

321 upward motions over the Arctic and 10°–30°N (Johnson et al., 2004). Increasing  
322 surface temperature and anomalous upward motion over the Arctic can weaken the  
323 Arctic front, and the anomalous downward motion over the mid-latitudes favors air  
324 stagnation.

325       Because of the anomalous downward motions over mid-latitudes in both  
326 ARC150X and MID7X, high and/or mid-level cloud fraction decrease over  
327 mid-latitudes (Figure 6). Due to slow feedbacks from increases in surface  
328 temperature in the Arctic (Figure 2) and decreases in snow and sea ice, low cloud  
329 fraction increases in the Arctic for both ARC150X and MID7X. The increases in low  
330 cloud over mid-latitude oceans, which cause the cooling noted above, are due to  
331 rapid adjustments as free-tropospheric BC heating reduces mixing with dry air above  
332 the BC layer and increases the amount of marine stratocumulus (Johnson et al., 2004;  
333 Sand et al., 2013a; Stjern et al., 2017).

334       Figure 7 shows changes in the total precipitation rate for the perturbed  
335 simulations. Increases in Arctic and mid-latitude BC emissions lead to significant  
336 decreases in precipitation over 60°N and 30–50°N, respectively, in correspondence  
337 with anomalous downward motions (Figure 5) and decreases in mid-level and high  
338 clouds (Figure 6) over these regions. Averaged over the Arctic and mid-latitudes,  
339 changes in precipitation are weak, compared to uncertainties, except for the  
340 mid-latitude precipitation response to BC emitted from mid-latitudes. The mid-latitude  
341 precipitation sensitivity is  $-7.67 (\pm 3.34) \mu\text{m day}^{-1} (\text{Tg yr}^{-1})^{-1}$  for MID7X. Another  
342 feature of the precipitation response is related to a northward shift in the ITCZ



343 (Intertropical Convergence Zone) in MID7X, which is consistent with the  
344 hemispherically asymmetric warming pattern driven by increases in mid-latitude BC  
345 emissions (Hwang et al., 2013; Baker et al., 2015).

346 Both ARC150X and MID7X show significant decreases by 13% and 3%,  
347 respectively, in fractional area covered by sea ice over the Arctic, as compared to PD  
348 (Figure S4). The snow depth over land also decreases, especially over Greenland.  
349 The water equivalent snow depth averaged over Arctic land decreases by 5.0 cm (27%  
350 relative to PD) and 0.8 cm (4%), respectively, for ARC150X and MID7X.

351

#### 352 **4. Non-linearity of climate responses.**

353 We also evaluated the linearity of these responses by testing different emission  
354 perturbation sizes. Figure 8 shows burden efficiencies, temperature and precipitation  
355 sensitivities from simulations with Arctic BC emissions scaled by 75 and 150,  
356 respectively, and mid-latitude BC emissions scaled by 3.5, 7 and 14, respectively,  
357 with values summarized in Table 2. Stronger emission perturbations have a higher  
358 burden efficiency. Over the Arctic, this is caused by anomalous Arctic upward  
359 motions that weaken the Arctic front, lifting BC higher and leading to a longer BC  
360 lifetime together with easier transport into the Arctic (Figure S5). Over mid-latitudes,  
361 anomalous mid-latitude downward motions favor stagnation, which in turn  
362 accumulates more BC in the atmosphere, together with decrease in precipitation (and  
363 wet removal rate), contributing to increases in burden efficiency. All differences in

364 burden efficiencies between simulations with different emission perturbation sizes are  
365 statistically significant with 95% confidence.

366 Despite this higher burden efficiency, the efficiency (per unit emission) of the  
367 direct radiative effect decreases slightly. This is because strong BC perturbations  
368 lead to more BC suspended in the atmosphere. More BC increases the attenuation of  
369 the transmitted radiation, leading to a decrease in efficiency of BC light absorption in  
370 the lower atmosphere and leading to a lower efficiency of direct radiative effect for a  
371 stronger BC emissions perturbation.

372 The temperature sensitivity is lower, with 95% significance, for stronger  
373 emission perturbations for both mid-latitude and Arctic BC between ARC75X and  
374 ARC150X, as well between MID7X and MID14X (Table 2). The BC snow/ice-albedo  
375 effect is found to be the most important factor in influencing Arctic temperature (Sand  
376 et al., 2013b). Larger temperature increases from stronger BC emission perturbations  
377 speed up sea ice and snow melt, leading to a weaker annual mean snow/ice-albedo  
378 effect per unit BC emission for both Arctic and mid-latitudes. Therefore, the BC  
379 snow/ice-albedo effect is more efficient for weaker emission perturbations, i.e., 0.151  
380 ( $\pm 0.011$ ) vs. 0.099 ( $\pm 0.006$ ) ( $\text{W m}^{-2} (\text{Tg yr}^{-1})^{-1}$ ) for ARC75X and ARC150X and 0.026  
381 ( $\pm 0.002$ ) vs. 0.020 ( $\pm 0.002$ ) ( $\text{W m}^{-2} (\text{Tg yr}^{-1})^{-1}$ ) for MID7X and MID14X of Arctic BC  
382 snow/ice-albedo forcing efficiencies. All snow/ice-albedo forcing efficiency  
383 differences are statistically significant. Together with lower efficiency of the direct  
384 radiative effect, these explain the lower temperature sensitivity for stronger emission  
385 perturbation. The non-linearity in snow-ice feedback relative to emissions size

386 appears to be the primary driver of surface temperature response non-linearity in  
387 these results.

388 Additional evidence for BC non-linearity can be found in the literature. Sand et al.  
389 (2015) simulated climate responses to BC in NorESM with present-day emissions  
390 multiplied by 25 and reported that the changes in TOA net shortwave flux was 7.5  
391 ( $\pm 0.3$ )  $\text{W m}^{-2}$  relative to preindustrial conditions and the temperature response was  
392 1.2 ( $\pm 0.1$ ) K. If we assume a linear emission-response relationship, present-day BC  
393 would cause an inferred shortwave flux and surface temperature change of 0.312  
394 ( $\pm 0.013$ )  $\text{W m}^{-2}$  and 0.050 ( $\pm 0.004$ ) K in Sand et al. (2015), much lower than the 0.552  
395  $\text{W m}^{-2}$  and 0.141 K found in Baker et al. (2015) for a present-day BC emission  
396 perturbation with essentially the same model. Note that the change in shortwave flux  
397 is not proportional to the surface temperature change, further emphasizing that forcing  
398 is not a good predictor of surface temperature change for BC. This comparison is  
399 consistent with our finding that temperature sensitivity is lower for stronger BC  
400 emission perturbations. We note, however, that emission datasets with different  
401 spatial distributions and seasonality were used in those two experiments (because of  
402 this the difference in global emissions between the two experiments is about 17, not  
403 25 times). While this might impact the magnitude of model responses, it is unlikely to  
404 change the overall conclusion of a substantially different temperature response to  
405 current-day emissions as compared to a 17–25 times larger BC perturbation.

406 The mid-latitude shows significantly stronger precipitation sensitivity for a  
407 stronger perturbation, comparing MID7X and MID14X, which is consistent with the

408 higher burden efficiency. This is in the opposite direction to the surface temperature  
409 sensitivity. Variability in MID3.5X is larger than the mean value for both temperature  
410 and precipitation sensitivity, which highlights the challenge of testing differences for  
411 smaller BC perturbation magnitudes. Note that the impact of BC on clouds and  
412 precipitation is uncertain, especially in the Arctic, due to the limited treatment of Arctic  
413 clouds in climate models (McFarquhar et al., 2011). These results suggest that in  
414 order to examine the climate responses to BC emissions in short-term climate model  
415 simulations, a large emission perturbation is needed to get a clear signal, but  
416 non-linearity of the responses also needs to be evaluated.

417

## 418 **5. Short-term transient climate responses**

419 To assess the short-term transient climate responses to BC emissions, Figure 9  
420 shows surface temperature responses to BC emissions from ARC150X and MID7X  
421 for the first 30 years averaged over five short ensemble members. We also show a  
422 numerical fit to the short-term transient response using a Hamiltonian Monte Carlo  
423 technique (Betancourt, 2017). We fit to the following form:

$$424 \quad T_{ave} (1 - e^{-t/\tau}),$$

425 where we have constrained the fit to converge to the long-term average temperature  
426 response ( $T_{ave}$ ) by our finding that there is no detectable long-term trend after the  
427 initial transient period over a 100-year time horizon.

428 Over both the Arctic and mid-latitudes, transient temperature responses quickly  
429 approach a quasi-equilibrium state. Transient timescales ( $\tau$ ) for the ARC150X

430 perturbation were estimated to be 2.7 (2.0, 3.4) years, while the mid-latitude  
431 timescales for the ARC150X and MID7X perturbations were 1.8 (1.1, 2.2) and 2.9  
432 (1.2, 4.2) years respectively (brackets provide 10-90% fitting intervals). The Arctic  
433 response to MID7X was too noisy to produce a fit. These timescales are shorter than  
434 those in a global BC perturbation experiment (Sand et al., 2015), which is expected  
435 as ocean thermal inertia would play a larger role globally as compared to the Northern  
436 Hemisphere or Arctic. The BC response timescales here are also shorter than those  
437 seen from CO<sub>2</sub> concentration steps in GCMs (Geoffroy et al., 2013). There is also no  
438 long-term temperature increase, at least over a 100-year time horizon, after the initial  
439 transient period. A linear fit over years 10-100 for the perturbation responses results  
440 in no statistically significant linear trends for any of the four perturbations (see SI  
441 code).

442 Note that the average of even five ensemble members shows oscillatory behavior  
443 due to the imposition of a step BC emission perturbation. This oscillatory behavior  
444 degrades our ability to quantify the perturbation response timescale. In future work, a  
445 linearly phased-in perturbation might result in a cleaner signal for determining the  
446 initial response time-scale.

447

## 448 **6. Climate responses to present-day anthropogenic BC emissions**

449 Baker et al. (2015) showed that the climate responses to BC emissions had very  
450 large uncertainties based on results from four global models. Here, we also quantified  
451 the impact of present-day anthropogenic BC emissions (Figure 10) by comparing a

452 present-day (PD) and pre-industrial (PI) simulation conducted with the  
453 CESM-CAM5-MAM4 model used in this work. PD emissions produce statistically  
454 significant surface air temperature changes over only limited regions in the Northern  
455 Hemisphere. Decreased temperatures are found over eastern China, South Asia,  
456 North Atlantic Ocean, and North American Arctic, partly due to cloud changes driven  
457 by BC rapid adjustments. Increased temperatures are found over the Tibetan Plateau,  
458 Greenland and high-latitude land regions likely because of the BC snow/ice-albedo  
459 effect (Figure S6).

460 The spatial pattern is similar to that from the ECHAM6-HAM2 in Baker et al.  
461 (2015). Although CESM-CAM5-MAM4 also includes the BC snow/ice-albedo effect,  
462 we do not see the strong warming produced in NorESM under present-day BC  
463 emissions. In Baker et al. (2015), NorESM had a global net TOA shortwave forcing  
464 efficiency of  $0.076 \text{ W m}^{-2} (\text{Tg yr}^{-1})^{-1}$ , nominally higher than  $0.043 \pm 0.073 \text{ W m}^{-2} (\text{Tg}$   
465  $\text{yr}^{-1})^{-1}$  calculated in this study with CESM-CAM5-MAM4, although the difference is  
466 well within one standard deviation. Longer model runs would be needed to determine  
467 if the BC snow/ice-albedo effect is significantly different in CESM and NorESM. In  
468 addition, there may be a small contribution from a shorter BC lifetime (7.22 days in  
469 CESM-CAM5-MAM4 Vs. 7.82 days in NorESM) that might also help explain the  
470 weaker warming in CESM-CAM5-MAM4 as compared to NorESM.

471 We find that variability is substantial in our experiments. Although statistically  
472 significant surface temperature changes are found regionally, as mentioned above,  
473 large-scale global surface temperature change from current-day BC emissions is

474 statistically indistinguishable from zero ( $0.006 \pm 0.238$  K globally and  $0.020 \pm 0.346$  K  
475 for land only). The global temperature response is within the range of  $-0.085$  to  $0.152$   
476 K from the four models in Baker et al. (2015). Even in the large MID7X perturbation,  
477 variability is still fairly large relative to the signal ( $0.45 \pm 0.27$  K for mid-latitude  
478 temperate change) and would overwhelm any large-scale signal for more realistic  
479 perturbation sizes. Similarly, while the mid-latitude precipitation response to  
480 mid-latitude BC emissions is strong for a MID7X perturbation, this would be difficult to  
481 detect for a present-day perturbation.

482

## 483 **7. Conclusions and discussions**

484 BC has been estimated to potentially have one of the largest positive (warming)  
485 anthropogenic forcing influences. As a result, there has been substantial scientific  
486 and policy attention focused on the potential for BC to moderate climate change in the  
487 near-term. In this study, for the first time, we conduct a comprehensive set of  
488 emission-driven experiments using a leading coupled climate model (CESM). With a  
489 comprehensive set of experiments, we examined regional climate responses,  
490 non-linearity, and short-term transient responses to BC emission perturbations in the  
491 Arctic, mid-latitudes, and globally.

492 With increases in mid-latitude BC emissions, surface air temperature increases  
493 over land, while it decreases over oceanic and coastal areas. Increases in Arctic BC  
494 emissions lead to warming over both the Arctic and mid-latitudes. Increases in Arctic  
495 and mid-latitude BC emissions also decrease precipitation over  $60^\circ\text{N}$  and  $30\text{--}50^\circ\text{N}$ ,

496 respectively. Arctic emissions are more efficient in influencing Arctic surface air  
497 temperatures compared to mid-latitude emissions, with an Arctic temperature  
498 sensitivity to Arctic emissions seven times as large as that to mid-latitude emissions.

499 We find that climate responses do not scale linearly with emissions. While  
500 stronger BC emission perturbations have a higher burden efficiency, efficiencies of  
501 snow/ice-albedo forcing and direct radiative effect are lower, leading to a lower  
502 temperature sensitivity for stronger BC emission perturbations.

503 BC also impacts temperature much faster than greenhouse gas forcing, with  
504 transient temperature responses in the Arctic and mid-latitudes approaching a  
505 quasi-equilibrium state with a timescale of 2–3 years. While it has previously been  
506 found that, globally, aerosols have a faster impact on temperature as compared to  
507 greenhouse gases (Shindell., 2014), termed a “geometric effect” (Meinshausen et al.,  
508 2011), we find here that BC perturbations have a very short response time,  
509 particularly for Arctic and mid-latitude perturbations. This means that previous studies  
510 which have implicitly assumed that the temperature response timescales of BC and  
511 GHGs are the same (Boucher and Reddy 2008, Stohl et al., 2015) have likely  
512 underestimated the short-term impact of BC emission changes.

513 We find large variability in BC-induced climate changes. Baker et al. (2015)  
514 provided error bars of global temperature response for different models in their Figure  
515 4a. We note, however, that the error bars in Baker et al. are underestimated because  
516 of their assumption of independence of all annual data points (note their use of  
517  $1\sigma/\sqrt{N}$  in their error bars for Figure 4). Climate model surface temperatures are



518 strongly correlated over short time scales, which means that instead of the number of  
519 data points, a more appropriate measure is the effective number of independent data  
520 points ( $N_{\text{eff}}$ ). The 100-year model runs examined here do not provide enough data for  
521 this calculation. (Note that we were able to estimate  $N_{\text{eff}}$  for the 300-year CESM  
522 control run from CMIP5, which indicates that runs around 3 times as long as those  
523 presented here may be necessary). We, therefore, present standard deviation as a  
524 metric of variance.

525       The standard deviation (SD) of global mean surface temperature in PI, PD, and in  
526 all of our perturbed simulations is around 0.17–0.19 K, indicating that the dominant  
527 source of temperature variability is probably due to internal climate variability or  
528 model noise. The SD for temperature responses in perturbed simulations relative to  
529 PD are in ranges of 0.24–0.26, roughly 1.4 times the control run temperature  
530 variability. This is in the expectation from subtracting two independent Gaussian  
531 noise distributions. While there could be an additional contribution to variability from  
532 BC-climate interactions, this appears to be small in this case given the relatively small  
533 surface temperature response to BC. We also observe large variability in cloud  
534 radiative effects, which we note may be impacted by interactions with BC.

535       While we have demonstrated non-linear responses at high emission levels, this  
536 non-linearity is not sufficient to produce statistically significant global temperature  
537 changes from present-day BC emissions in this model. Such non-linearities mean  
538 that the implications of large BC emission perturbation experiments, such as recent  
539 tenfold BC experiments (Stjern et al., 2017) for present-day conditions are unclear.

540 While snow/ice-albedo feedbacks appear to dominate the non-linear relationship in  
541 these results, this may not be the case in other models.

542 Our results point to the importance of better quantifying the variability in BC  
543 responses in the Earth system. We note that in the one model with a consistent PD-PI  
544 signal in a set of recent PD-PI BC experiments (NorESM1-M), the size of that signal  
545 is still smaller than the variability found here, based on similar SD in Arctic  
546 temperature change for the ARC150X simulations in the two models (compare Figure  
547 S3 here with Figure 9 in Sand et al. (2013b)). If the global variability of BC response  
548 in NorESM is also similar to that in CESM, then the global average temperature  
549 change from NorESM (0.141 K) (Baker et al., 2015) is also much smaller than the SD  
550 in CESM of 0.238 K. However, we do note that there was a fair degree of consistency  
551 in temperature change signal in NorESM between two ensemble members (0.129 K  
552 and 0.152 K). This may mean that variability in the global BC response in the  
553 NorESM model could be smaller than seen in our results due to the stronger NorESM  
554 BC temperature response. Longer simulations would likely be required to assess this.  
555 While, compared to temperature or precipitation, aerosol burdens, BC direct radiative  
556 effects and snow/ice-albedo forcings have much larger signal to noise ratios, i.e. ratio  
557 of mean response to standard deviation (Table 1), and can be useful as diagnostics,  
558 BC forcing does not provide a reliable indicator of surface temperature changes  
559 across models.

560 These results indicate that even substantial BC emissions reductions from  
561 current levels may lead to detectable surface temperature changes for only limited

562 regions of the globe. Our results have significant implications for near-term climate  
563 mitigation associated with BC as well as global and regional climate attribution. We  
564 note that regional climate sensitivities (RCS), used as an approximate approach to  
565 represent the impact of BC (Collins et al., 2013; Sand et al., 2016), are generally  
566 evaluated using model simulations with prescribed forcing or burdens (Shindell and  
567 Faluvegi, 2009), which artificially reduce response variability and imply a certainty in  
568 BC responses that may not exist in reality. Variability within any given model run,  
569 which has generally not been reported in current literature, is large relative to BC  
570 responses. It is, therefore, not clear if current BC emission levels result in statistically  
571 significant large-scale climatic changes. We suggest that impacts of BC on climate  
572 should be expressed directly in terms of impacts per unit emissions (e.g. Table 1),  
573 and not only relative to forcing given the complex relationship between BC climatic  
574 impacts and top of the atmosphere forcing. In addition, BC impacts should be  
575 re-evaluated using coupled models, and provided with measures of response  
576 variability, such as standard deviation. In order to better assess the potential impact  
577 of changes in BC emissions it is critical to quantify the non-linearity of BC response  
578 efficiencies with respect to emission perturbation size in other models, as well as the  
579 causes of those non-linearities.

580

581 ***Data availability.***

582 All the emissions data sets used in this study can be obtained from  
583 <https://esgf-node.llnl.gov/search/input4mips/> (Hoesly et al., 2018; van Marle et al.,  
584 2017). The CESM model is publicly available at  
585 <http://www.cesm.ucar.edu/models/cesm1.2/> (Hurrell et al., 2013). Our model results  
586 can be made available through the National Energy Research Scientific Computing  
587 Center (NERSC) servers upon request.

588 ***Competing interests.***

589 The authors declare that they have no conflict of interest.

590 ***Author contribution.***

591 YY, SJS and HW designed the research; YY performed the model simulations; YY,  
592 and SJS analyzed the data. All the authors discussed the results and wrote the paper.

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880 **Table 1.** Changes in black carbon (BC) column burden, direct radiative effect (DRE)  
881 and cloud radiative effect (CRE) at the top of the atmosphere (TOA), surface BC  
882 snow/ice-albedo forcing, surface temperature (T) and total precipitation rate (P,  
883 including rain and snow) averaged over the Arctic (60–90°N), mid-latitudes (28–60°N)  
884 and the globe between perturbed (ARC150X/MID7X) and PD simulations. BC burden,  
885 DRE, CRE, and snow/ice-albedo forcing efficiencies, T sensitivity and P sensitivity  
886 are calculated as changes in regional mean BC column burden, DRE, CRE,  
887 snow/ice-albedo forcing, T and P divided by changes in global total BC emissions  
888 between perturbed and PD simulations, respectively. 1- $\sigma$  for 80-annual means is  
889 shown in the parentheses. Note that these quantities include the impact of slow  
890 responses and feedbacks (e.g., changes in sea surface temperature and sea ice and  
891 feedbacks with clouds) so are not strictly comparable to the conventional definition of  
892 radiative forcing.  
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	$\Delta$ Column Burden ( $\text{mg m}^{-2}$ )			Burden Eff. ( $\text{mg m}^{-2} (\text{Tg yr}^{-1})^{-1}$ )			$\Delta$ DRE ( $\text{W m}^{-2}$ )		
	60–90°N	28–60°N	Global	60–90°N	28–60°N	Global	60–90°N	28–60°N	Global
ARC150X	5.37 ( $\pm 0.30$ )	1.34 ( $\pm 0.05$ )	0.63 ( $\pm 0.03$ )	0.425 ( $\pm 0.024$ )	0.106 ( $\pm 0.004$ )	0.050 ( $\pm 0.002$ )	3.94 ( $\pm 0.39$ )	0.83 ( $\pm 0.04$ )	0.45 ( $\pm 0.03$ )
MID7X	2.19 ( $\pm 0.09$ )	3.97 ( $\pm 0.09$ )	1.26 ( $\pm 0.03$ )	0.106 ( $\pm 0.004$ )	0.191 ( $\pm 0.004$ )	0.061 ( $\pm 0.001$ )	2.90 ( $\pm 0.19$ )	2.49 ( $\pm 0.09$ )	1.00 ( $\pm 0.04$ )
	DRE Eff. ( $\text{W m}^{-2} (\text{Tg yr}^{-1})^{-1}$ )			$\Delta$ CRE ( $\text{W m}^{-2}$ )			CRE Eff. ( $\text{W m}^{-2} (\text{Tg yr}^{-1})^{-1}$ )		
	60–90°N	28–60°N	Global	60–90°N	28–60°N	Global	60–90°N	28–60°N	Global
ARC150X	0.39 ( $\pm 0.03$ )	0.11 ( $\pm 0.00$ )	0.05 ( $\pm 0.00$ )	-3.83 ( $\pm 0.98$ )	-0.46 ( $\pm 0.84$ )	-0.22 ( $\pm 0.54$ )	-0.30 ( $\pm 0.08$ )	-0.04 ( $\pm 0.07$ )	-0.02 ( $\pm 0.04$ )
MID7X	0.17 ( $\pm 0.01$ )	0.22 ( $\pm 0.01$ )	0.08 ( $\pm 0.00$ )	-2.30 ( $\pm 0.96$ )	-3.16 ( $\pm 0.90$ )	-1.26 ( $\pm 0.51$ )	-0.11 ( $\pm 0.05$ )	-0.15 ( $\pm 0.04$ )	-0.06 ( $\pm 0.02$ )
	$\Delta$ Snow/ice-albedo Forcing ( $\text{W m}^{-2}$ )			Snow/ice-albedo Eff. ( $\text{W m}^{-2} (\text{Tg yr}^{-1})^{-1}$ )			$\Delta$ T (K)		
	60–90°N	28–60°N	Global	60–90°N	28–60°N	Global	60–90°N	28–60°N	Global
ARC150X	1.26 ( $\pm 0.08$ )	0.12 ( $\pm 0.02$ )	0.10 ( $\pm 0.01$ )	0.099 ( $\pm 0.006$ )	0.010 ( $\pm 0.002$ )	0.008 ( $\pm 0.001$ )	2.13 ( $\pm 0.65$ )	0.78 ( $\pm 0.22$ )	0.48 ( $\pm 0.26$ )
MID7X	0.53 ( $\pm 0.05$ )	0.18 ( $\pm 0.03$ )	0.07 ( $\pm 0.01$ )	0.026 ( $\pm 0.002$ )	0.009 ( $\pm 0.001$ )	0.003 ( $\pm 0.000$ )	0.48 ( $\pm 0.79$ )	0.45 ( $\pm 0.27$ )	0.23 ( $\pm 0.26$ )
	T Sensitivity ( $\text{K} (\text{Tg yr}^{-1})^{-1}$ )			$\Delta$ P ( $\text{mm day}^{-1}$ )			P Sensitivity ( $\mu\text{m day}^{-1} (\text{Tg yr}^{-1})^{-1}$ )		
	60–90°N	28–60°N	Global	60–90°N	28–60°N	Global	60–90°N	28–60°N	Global
ARC150X	0.169 ( $\pm 0.052$ )	0.062 ( $\pm 0.018$ )	0.038 ( $\pm 0.020$ )	-0.043 ( $\pm 0.079$ )	-0.011 ( $\pm 0.066$ )	0.010 ( $\pm 0.023$ )	-3.38 ( $\pm 6.29$ )	-0.86 ( $\pm 5.26$ )	0.77 ( $\pm 1.84$ )
MID7X	0.023 ( $\pm 0.038$ )	0.022 ( $\pm 0.013$ )	0.011 ( $\pm 0.012$ )	0.048 ( $\pm 0.096$ )	-0.159 ( $\pm 0.069$ )	-0.032 ( $\pm 0.022$ )	2.34 ( $\pm 4.61$ )	-7.67 ( $\pm 3.34$ )	-1.52 ( $\pm 1.04$ )

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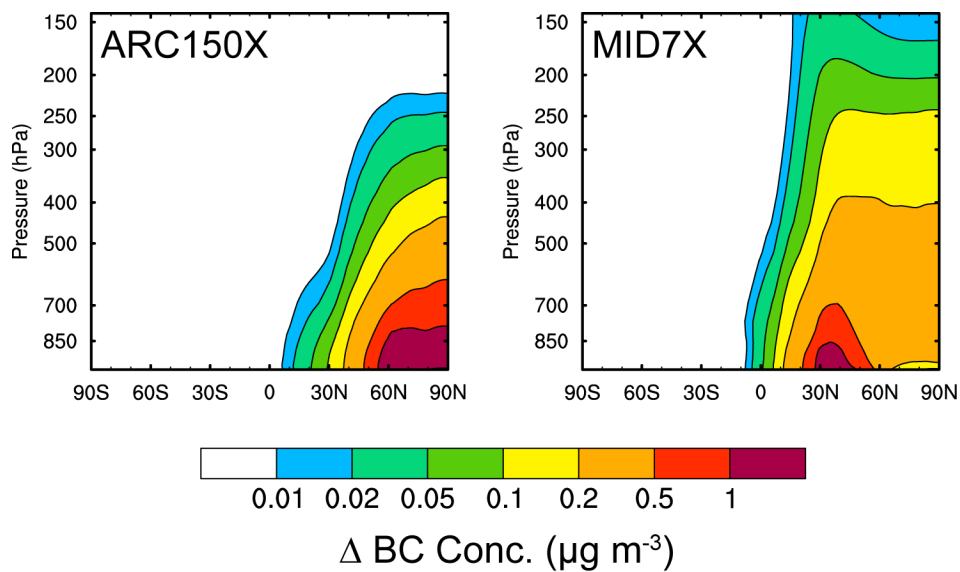
896 **Table 2.** BC burden, DRE, CRE, and snow/ice-albedo forcing efficiencies, T  
897 sensitivity and P sensitivity over the Arctic (60–90°N), mid-latitudes (28–60°N) and  
898 the globe between perturbed (ARC75X/ARC150X/MID3.5X/MID7X/MID14X) and PD  
899 simulations. 1- $\sigma$  for 80-annual means is shown in the parentheses. Bold values  
900 between two simulations (ARC75X/ARC150X, MID3.5X/MID7X, and MID7X/MID14X)  
901 indicates statistically significant changes with 95% confidence from a two-tailed  
902 Student's t test.  
903

	ARC75X	ARC150X		MID3P5X	MID7X	MID14X
	Burden Eff. (mg m <sup>-2</sup> (Tg yr <sup>-1</sup> ) <sup>-1</sup> )					
60–90°N	<b>0.406 (±0.021)</b>	<b>0.425 (±0.024)</b>		<b>0.095 (±0.005)</b>	<b>0.106 (±0.004)</b>	<b>0.124 (±0.004)</b>
28–60°N	<b>0.097 (±0.004)</b>	<b>0.106 (±0.004)</b>		<b>0.175 (±0.005)</b>	<b>0.191 (±0.004)</b>	<b>0.219 (±0.005)</b>
Global	<b>0.047 (±0.002)</b>	<b>0.050 (±0.002)</b>		<b>0.055 (±0.001)</b>	<b>0.061 (±0.001)</b>	<b>0.070 (±0.002)</b>
	DRE Eff. (W m <sup>-2</sup> (Tg yr <sup>-1</sup> ) <sup>-1</sup> )					
60–90°N	<b>0.346 (±0.036)</b>	<b>0.312 (±0.031)</b>		<b>0.146 (±0.014)</b>	<b>0.140 (±0.009)</b>	<b>0.137 (±0.006)</b>
28–60°N	<b>0.069 (±0.005)</b>	<b>0.066 (±0.003)</b>		<b>0.129 (±0.006)</b>	<b>0.120 (±0.004)</b>	<b>0.112 (±0.003)</b>
Global	<b>0.038 (±0.003)</b>	<b>0.035 (±0.003)</b>		<b>0.051 (±0.003)</b>	<b>0.048 (±0.002)</b>	<b>0.046 (±0.001)</b>
	CRE Eff. (W m <sup>-2</sup> (Tg yr <sup>-1</sup> ) <sup>-1</sup> )					
60–90°N	<b>-0.533 (±0.232)</b>	<b>-0.303 (±0.078)</b>		-0.091 (±0.166)	<b>-0.111 (±0.046)</b>	<b>-0.015 (±0.029)</b>
28–60°N	0.010 (±0.222)	-0.037 (±0.067)		<b>0.070 (±0.203)</b>	<b>-0.152 (±0.043)</b>	<b>0.129 (±0.035)</b>
Global	<b>-0.028 (±0.071)</b>	<b>-0.017 (±0.043)</b>		0.013 (±0.058)	<b>-0.061 (±0.025)</b>	<b>0.035 (±0.010)</b>
	Snow/ice-albedo Eff. (W m <sup>-2</sup> (Tg yr <sup>-1</sup> ) <sup>-1</sup> )					
60–90°N	<b>0.151 (±0.011)</b>	<b>0.099 (±0.006)</b>		<b>0.030 (±0.003)</b>	<b>0.026 (±0.002)</b>	<b>0.020 (±0.002)</b>
28–60°N	<b>0.013 (±0.003)</b>	<b>0.010 (±0.002)</b>		<b>0.011 (±0.002)</b>	<b>0.009 (±0.001)</b>	<b>0.007 (±0.001)</b>
Global	<b>0.012 (±0.001)</b>	<b>0.008 (±0.001)</b>		<b>0.004 (±0.001)</b>	<b>0.003 (±0.000)</b>	<b>0.003 (±0.000)</b>
	T Sensitivity (K (Tg yr <sup>-1</sup> ) <sup>-1</sup> )					
60–90°N	<b>0.239 (±0.116)</b>	<b>0.169 (±0.052)</b>		0.042 (±0.098)	<b>0.023 (±0.038)</b>	<b>0.008 (±0.015)</b>
28–60°N	0.067 (±0.032)	0.062 (±0.018)		0.020 (±0.025)	<b>0.022 (±0.013)</b>	<b>0.015 (±0.005)</b>
Global	<b>0.040 (±0.035)</b>	<b>0.038 (±0.020)</b>		0.008 (±0.033)	<b>0.011 (±0.012)</b>	<b>0.005 (±0.005)</b>
	P Sensitivity (µm day <sup>-1</sup> (Tg yr <sup>-1</sup> ) <sup>-1</sup> )					
60–90°N	-2.88 (±13.39)	-3.38 (±6.29)		1.73 (±10.85)	2.34 (±4.61)	1.86 (±2.06)
28–60°N	-0.96 (±9.45)	-0.86 (±5.26)		-7.69 (±8.90)	<b>-7.67 (±3.34)</b>	<b>-8.53 (±1.61)</b>
Global	0.31 (±3.10)	0.77 (±1.84)		-1.99 (±2.81)	-1.52 (±1.04)	-2.15 (±0.49)

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906 **Figures for Paper**

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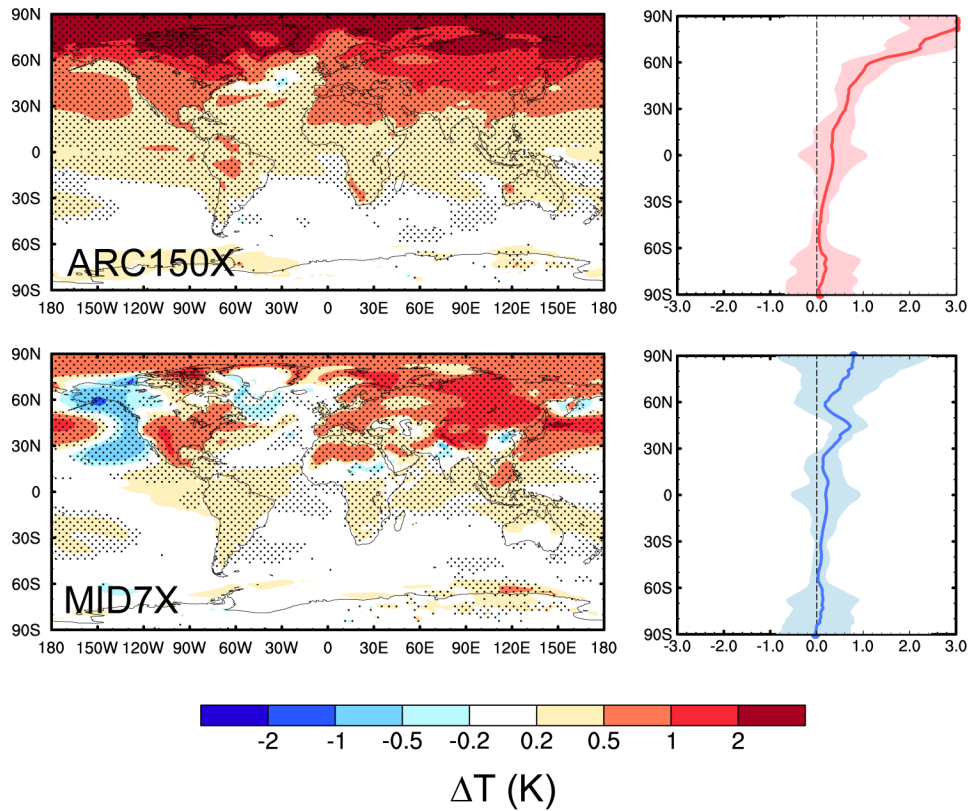
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910 **Figure 1.** Difference in annual and zonal mean BC concentrations ( $\mu\text{g m}^{-3}$ ) between  
911 ARC150X (left)/MID7X (right) and PD simulations.

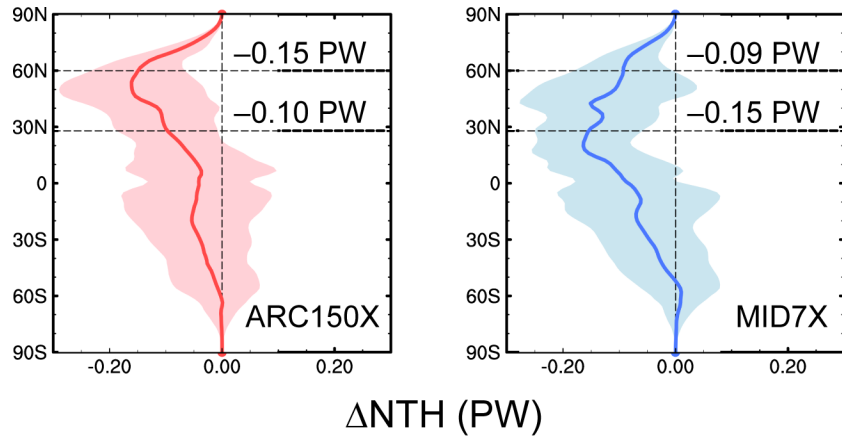
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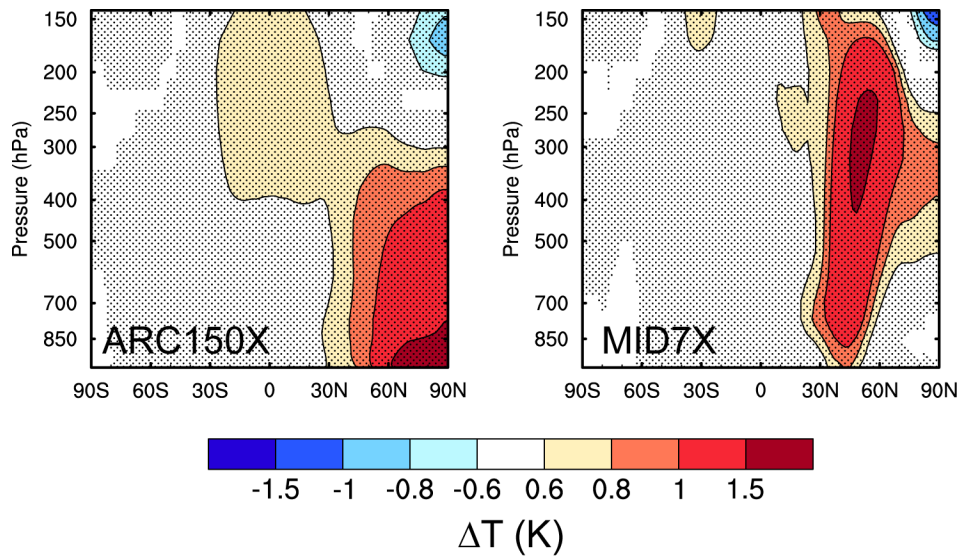
**Figure 2.** Spatial distribution (left) and zonal mean (right) of changes in annual mean surface air temperature (K) for ARC150X (top) and MID7X (bottom) compared to PD. The dotted areas in left panels indicate statistical significance with 95% confidence from a two-tailed Student's t test.



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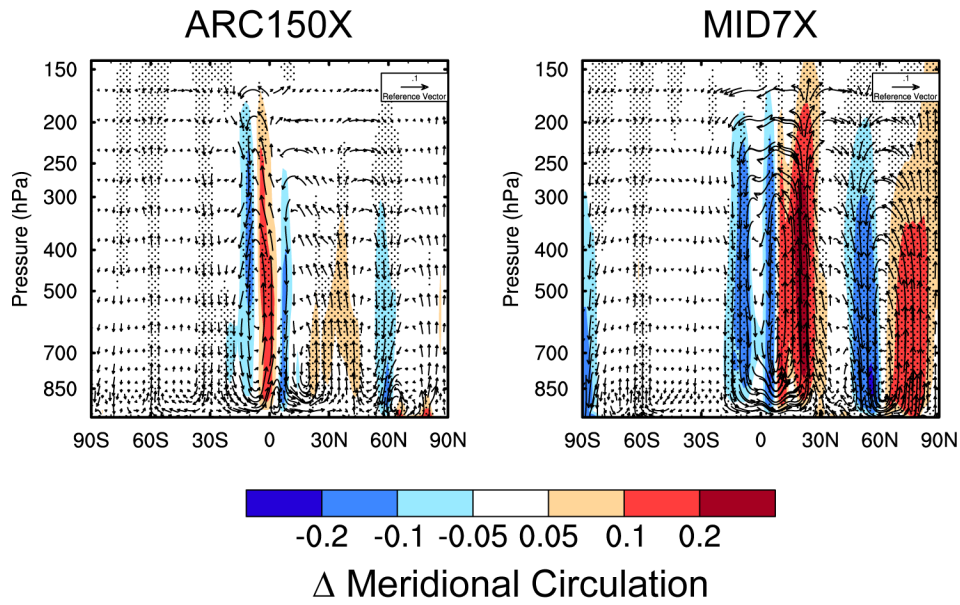
**Figure 3.** Zonal mean of changes in annual mean northward heat transport (NHT, PW) for ARC150X (left) and MID7X (right) compared to PD. Values of changes in NHT across 60°N and 28°N are shown in each panel. The shaded areas represent 1- $\sigma$  for 80-annual means.





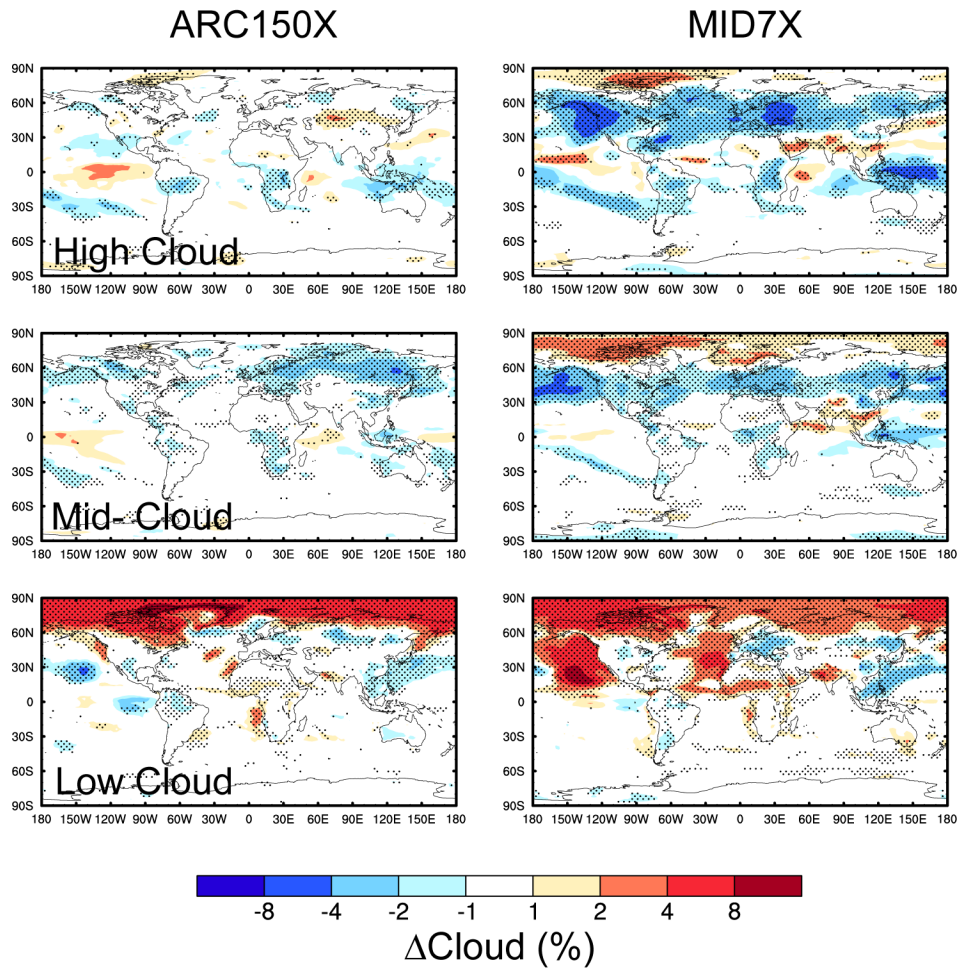
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**Figure 4.** Changes in annual and zonal mean temperature (K) for ARC150X (left) and MID7X (right) compared to PD. The dotted areas indicate statistical significance with 95% confidence from a two-tailed Student's t test.



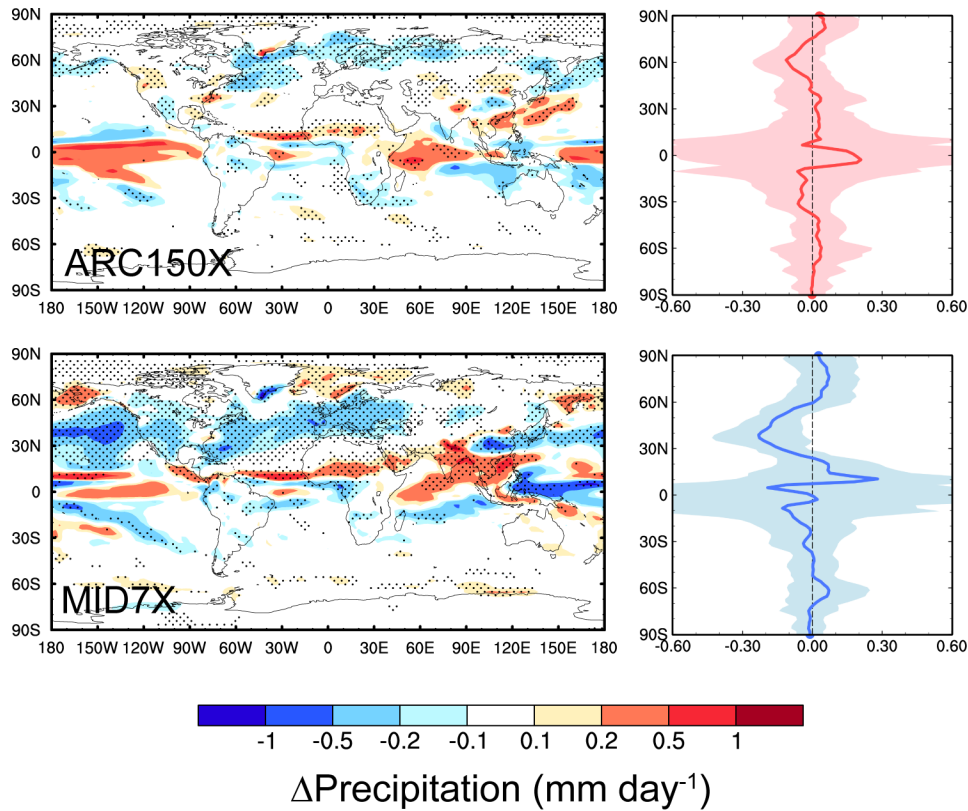
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**Figure 5.** Changes in annual and zonal mean meridional wind vectors ( $\text{m s}^{-1}$ ) and vertical velocity (contours;  $\text{Pa s}^{-1}$  scaled by a factor of  $-100$ ) for ARC150X (left) and MID7X (right) compared to PD. The dotted areas indicate statistical significance with 95% confidence from a two-tailed Student's t test.



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**Figure 6.** Changes in annual mean high (top), mid-level (middle), and low (bottom) cloud fraction (%) for ARC150X (left) and MID7X (right) compared to PD. The dotted areas indicate statistical significance with 95% confidence from a two-tailed Student's t test.



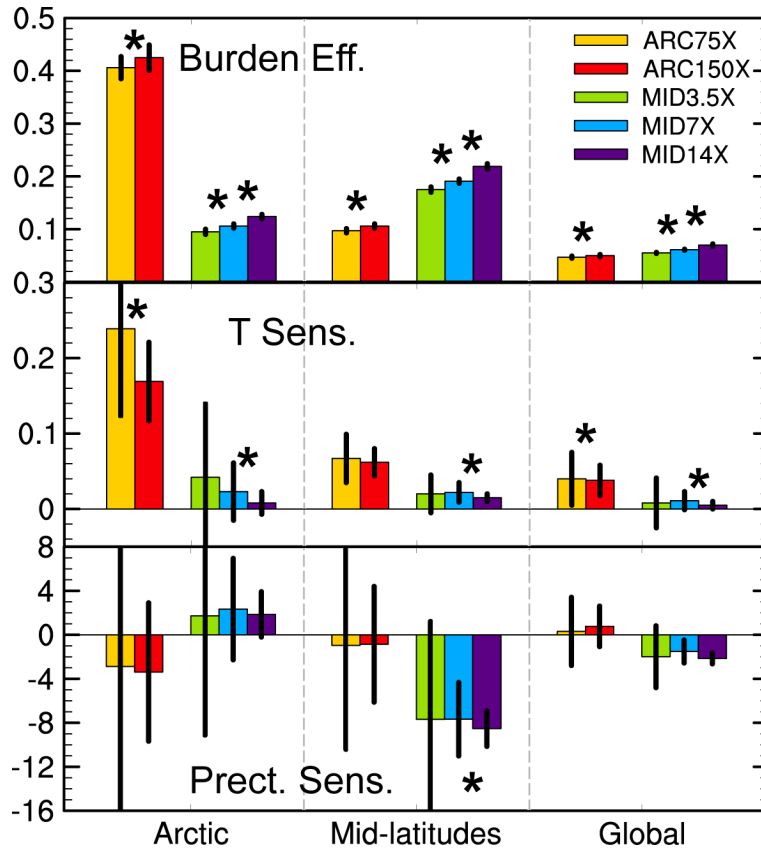
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960 **Figure 7.** Spatial distribution (left) and zonal mean (right) of changes in annual mean  
 961 total precipitation rate (mm day<sup>-1</sup>) for ARC150X (top) and MID7X (bottom) compared  
 962 to PD. The dotted areas in left panels indicate statistical significance with 95%  
 963 confidence from a two-tailed Student's t test.

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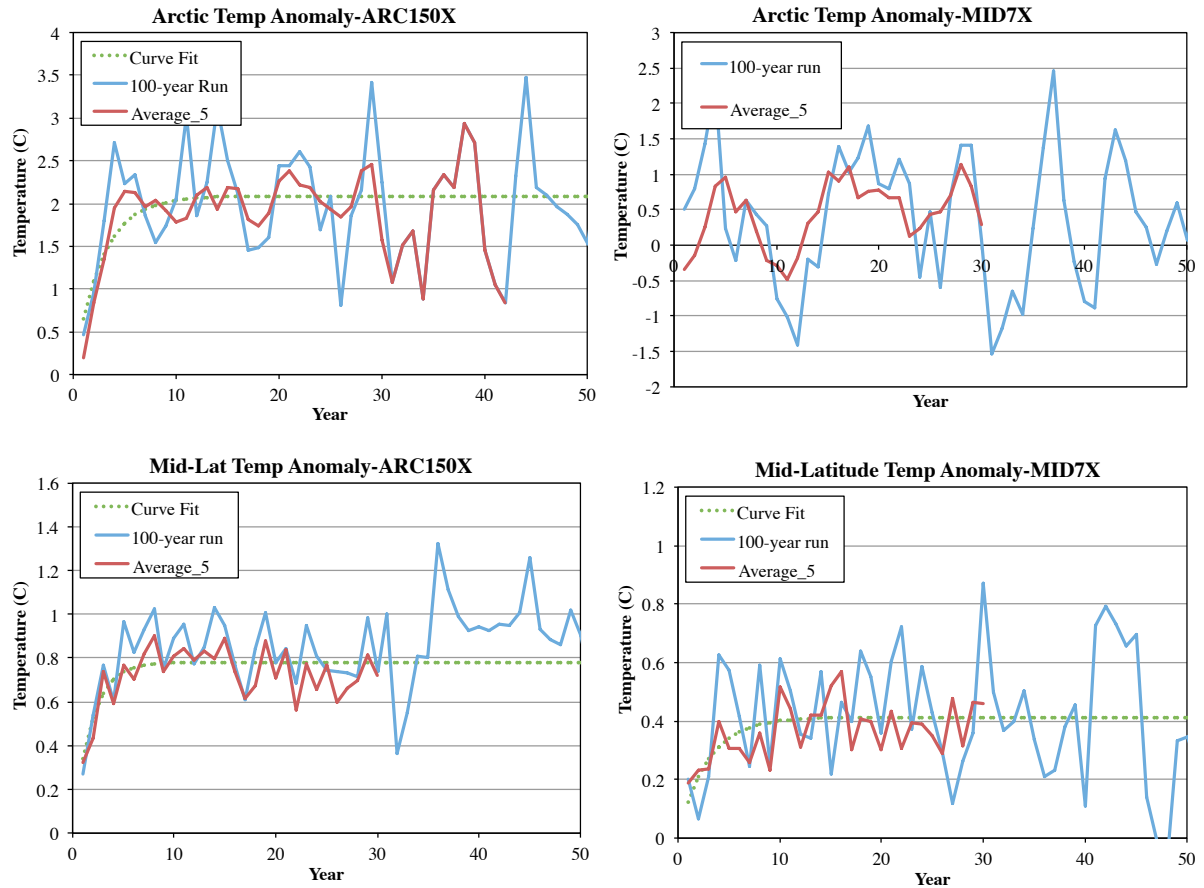
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968 **Figure 8.** Burden efficiencies, temperature and precipitation sensitivities over the  
 969 Arctic, mid-latitudes and the whole globe for ARC75X, ARC150X, MID3.5X, MID7X  
 970 and MID14X. Burden efficiencies, temperature sensitivity and precipitation sensitivity  
 971 are calculated as changes in regional mean BC column burden, surface temperature  
 972 and total precipitation rate divided by changes in global total BC emissions between  
 973 perturbed and PD simulations, respectively. Error bars represent 1-σ for 80-annual  
 974 means. Asterisk between two bars (ARC75X/ARC150X, MID3.5X/MID7X, and  
 975 MID7X/MID14X) indicates statistically significant changes with 95% confidence from  
 976 a two-tailed Student's t test.

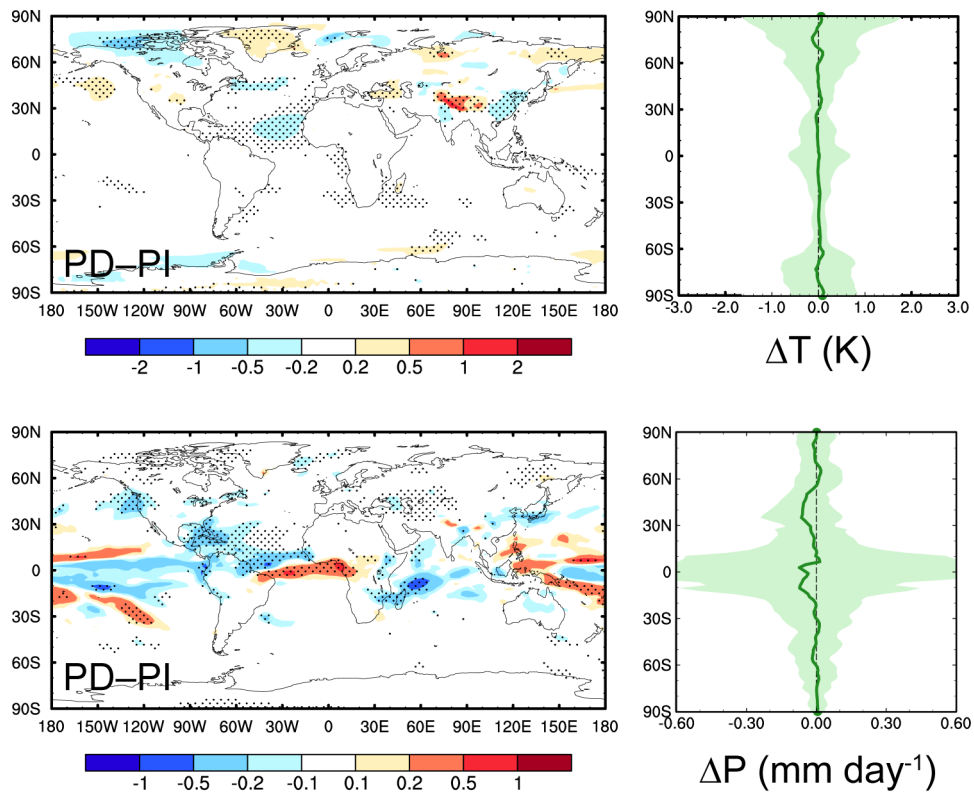
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**Figure 9.** Time series of mean surface temperature response from ARC150X (left) and MID7X (right) BC emission perturbations as compared to PD. The response is shown over the Arctic (top) and mid-latitudes (bottom). Shown are the 100-year ensemble simulation (blue lines), the average of five 30-year ensemble members (red), and a numerical fit for an exponential approach to the long-term average (green dashed line). Curve fits used the package STAN in R, which is Bayesian inference using the No-U-Turn sampler. Note that MID7X Arctic temperature response does not result in a fit due to noise.



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**Figure 10.** Spatial distribution (left) and zonal mean (right) of differences in annual mean surface temperature (K, top) and total precipitation rate ( $\text{mm day}^{-1}$ , bottom) between PD and PI. The dotted areas in left panels indicate statistical significance with 95% confidence from a two-tailed Student's t test.