# 1 High efficiency of livestock ammonia emission controls on alleviating 2 particulate nitrate during a severe winter haze episode in northern China

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## 32 Abstract

33 Although nitrogen oxide  $(NO_x)$  emission controls have been implemented for several 34 years, northern China is still facing high particulate nitrate (NO<sub>3</sub><sup>-</sup>) pollution during severe 35 haze events in winter. In this study, the thermodynamic equilibrium model (ISORROPIA-36 II) and the Weather Research and Forecast model coupled chemistry (WRF-Chem) were 37 used to study the efficiency of NH<sub>3</sub> emission controls on alleviating particulate NO<sub>3</sub><sup>-</sup> during 38 a severe winter haze episode. We found that particulate  $NO_3^{-1}$  formation in extremely high 39 pollution is almost NH<sub>3</sub>-limited, not NO<sub>x</sub>-limited often happened in the other days. The 40 improvements in manure management of livestock husbandry could reduce 40% of total 41 NH<sub>3</sub> emissions (currently 100 kiloton per a month) in winter of northern China. 42 Consequently, particulate NO<sub>3</sub><sup>-</sup> was reduced by approximately 40% (averagely from 40.8 43 to 25.7  $\mu$ g/m<sup>3</sup>). Our results indicate that reducing livestock NH<sub>3</sub> emissions would be highly 44 effective to reduce particulate NO3<sup>-</sup> during severe winter haze events.

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## 47 **1 Introduction**

48 In northern China (including Beijing, Tianjin, Hebei, Shandong, Shanxi and Henan), 49 severe haze pollution events occur frequently during wintertime, with the concentration of 50 PM<sub>2.5</sub> (particles with an aerodynamic diameter less than 2.5 µm) reaching hundreds of 51 micrograms per cubic meter and SIA (secondary inorganic aerosol) accounting for more 52 than 50% of PM<sub>2.5</sub> (Zheng et al., 2016; Tan et al., 2018). To mitigate fine particle pollution, 53 the Chinese government has been taking strong measures to control SO<sub>2</sub> emissions 54 (http://www.gov.cn/zwgk/2011-12/20/content 2024895.htm). Since 2007, SO<sub>2</sub> emissions 55 have been reduced by 75% in China (Li et al., 2017). Consequently, the particulate sulfate concentration has also been declining continuously in the past decade (Geng et al., 2017). 56

57 Although NO<sub>x</sub> emissions in 48 Chinese cities decreased by 21% from 2011 to 2015 58 (Liu et al., 2017a), unfortunately, no obvious decreasing trend for particulate NO<sub>3</sub><sup>-</sup> had been 59 observed in northern China during recent years (Zhang et al., 2015). In October 2015, a severe haze episode was reported in North China Plain (NCP), with the hourly peak 60 concentration of particulate  $NO_3^-$  exceeding 70 µg/m<sup>3</sup> (Zhang et al., 2018b). Even in 61 62 November 2018, during a heavy haze episode in northern China, the hourly peak 63 concentration of PM<sub>2.5</sub> still exceeded 289  $\mu$ g/m<sup>3</sup>, of which particulate NO<sub>3</sub><sup>-</sup> accounted for 30% (http://www.mee.gov.cn/xxgk2018/xxgk/xxgk15/201811/t20181116 674022.html). 64

Another way to alleviate the particulate NO<sub>3</sub><sup>-</sup> pollution is to control NH<sub>3</sub> emissions. 65 Previous studies were performed to demonstrate the necessity of NH<sub>3</sub> emissions abatement 66 in reducing PM<sub>2.5</sub> concentrations in the United States (Pinder et al., 2007;Tsimpidi et al., 67 2007; Pinder et al., 2008; Wu et al., 2016) and Europe (de Meij et al., 2009; Bessagnet et al., 68 2014;Backes et al., 2016). Recently, a feature article pointed out that NH<sub>3</sub> could be key to 69 70 limiting particulate pollution (Plautz, 2018). In contrast with low particulate matter 71 pollution levels in the United States and Europe, what we are facing in northern China is 72 the extremely high particulate  $NO_3^-$  pollution especially happened in severe winter haze

### 73 events.

Although Fu et al. (2017) proposed that the NH<sub>3</sub> emission controls are urgently required in China, the effectiveness of NH<sub>3</sub> emissions mitigation to alleviate the particulate  $NO_3^-$  peaks during severe winter haze episodes was seldom reported. Only Guo et al. (2018b) used a thermodynamic model to estimate the sensitivity of particulate  $NO_3^-$  to TA (sum of ammonia and ammonium) during one winter haze episode in Beijing. In their study, the atmospheric chemistry simulations based on NH<sub>3</sub> emission controls scenario were lacking to demonstrate the regional effects.

81 To alleviate severe particulate  $NO_3^-$  pollution in northern China is urgent, the study on 82 the effectiveness by  $NH_3$  emission controls is necessary. In this study, we firstly compile a 83 comprehensive NH<sub>3</sub> emission inventory for northern China in winter of 2015, and estimate 84 the NH<sub>3</sub> emission reductions by improving manure management. Then, the ISORROPIA-85 II and WRF-Chem models are used to investigate the effectiveness of  $NH_3$  emission 86 reductions on alleviating particulate  $NO_3^-$  during a severe haze episode. The molar ratio 87 based on observations is used to explore the efficiency of particulate NO<sub>3</sub><sup>-</sup> reductions 88 during the severe haze conditions in wintertime.

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### 90 2 Methods and Materials

### 91 **2.1 Observational data**

92 Hourly time-resolution aerosol and gas measurements were conducted at the Peking 93 University urban atmosphere environment monitoring station (PKUERS) (39.991N, 94 116.313E) in Beijing in December 2015 and December 2016. A commercialized semi-95 continuous In-situ Gas and Aerosol Composition (IGAC) Monitor was used to measure the concentrations of water-soluble ions (e.g., NH4<sup>+</sup>, SO4<sup>2-</sup>, NO3<sup>-</sup>, Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup>, Cl<sup>-</sup>) in 96 97 PM<sub>2.5</sub> and inorganic gases (e.g., NH<sub>3</sub>, HNO<sub>3</sub>, HCl). Relative humidity (RH) and 98 temperature were observed at 1-min resolution at the same site. The quality assurance and 99 control for the IGAC was described in Liu et al. (2017b). A typical severe haze episode 100 occurred during the 6 to 10 in December 2015, with daily average concentrations of  $PM_{2.5}$ 101 exceeding 150  $\mu$ g/m<sup>3</sup> for three days (PM<sub>2.5</sub> data are from China National Environmental 102 Monitoring Centre). The average RH and temperature in this haze event were  $60.9 \pm 11.4\%$ 103 and  $276.5 \pm 1.4$  K. The south wind was dominant with wind speed mostly less than 3 m/s. 104 The average concentrations of particulate NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup> and SO<sub>4</sub><sup>2-</sup> were 39.8  $\pm$  14.7  $\mu$ g/m<sup>3</sup>,  $27.7 \pm 8.6 \text{ µg/m}^3$  and  $42.4 \pm 16.0 \text{ µg/m}^3$ , respectively. The ratios of particulate NO<sub>3</sub><sup>-</sup> 105 concentrations to SNA (including sulfate, nitrate and ammonium) were  $36.5 \pm 4.0\%$ . 106

### 107 **2.2 NH3 emission inventory**

108 A comprehensive NH<sub>3</sub> emission inventory of northern China (including the six 109 provinces mentioned above) in December 2015 at a monthly and 1 km × 1 km resolution 110 is developed based our previous studies (Huang et al., 2012;Kang et al., 2016). Here is a 111 brief introduction to our inventory. More detailed descriptions and validation are in our 112 previous studies. Our NH<sub>3</sub> emission inventory is a bottom-up process-based and statistical 113 model which considers a diverse range of sources, including both agricultural (livestock 114 manure and chemical fertilizer) and non-agricultural sectors (e.g., traffic, biomass burning 115 etc.). According to our inventory, the estimated NH<sub>3</sub> emission amount in northern China

was 100 kiloton in December 2015. The largest source was livestock waste (57 kiloton, 116 117 57.0% of the total emissions), following by vehicle (12.2%), chemical industry (8.8%), 118 biomass burning (5.4%), waste disposal (4.0%), synthetic fertilizer applications (2.4%) and 119 other minor sources (9.1%). The proportion of chemical fertilizer is small due to the limited 120 fertilization activity in winter. In the past few years, our inventory has been compared with 121 many studies to prove its reliability. For example, the spatial pattern of NH<sub>3</sub> emissions 122 calculated in our inventory agreed well with the distribution of the NH<sub>3</sub> column 123 concentrations in eastern Asia retrieved from the satellite measurements of Infrared 124 Atmospheric Sounding Interferometer (IASI) (Van Damme et al., 2014). Specially, our 125 estimation of livestock NH<sub>3</sub> emissions in China is comparable to the results of Streets et al. (2003) and Ohara et al. (2007). 126

127 Another method for estimating NH<sub>3</sub> emissions is the inverse modeling method, which provides top-down emission estimates through optimizing comparisons of model 128 129 simulations with measurements. For example, Paulot et al. (2014) used the adjoint of a 130 global chemical transport model (GEOS-Chem) and data of NH<sub>4</sub><sup>+</sup> wet deposition fluxes to 131 optimize NH<sub>3</sub> emissions estimation in China. Zhang et al. (2018a) applied TES satellite observations of NH<sub>3</sub> column concentration and GEOS-Chem to provide top-down 132 133 constraints on NH<sub>3</sub> emissions in China. Their estimates are 10.2 Tg a<sup>-1</sup> and 11.7 Tg a<sup>-1</sup> respectively, which are close to our results (9.8 Tg a<sup>-1</sup>) (Paulot et al., 2014;Zhang et al., 134 2018a). The accuracy of this method relies on many factors, such as the accuracy of initial 135 conditions, the emission inventories, meteorological inputs, reaction rate constants, and 136 deposition parameters in the chemical transport model. Errors of these parameters could 137 138 cause biases in the top-down estimation of NH<sub>3</sub> emissions. In addition, measurements of 139 NH<sub>3</sub> or NH<sub>4</sub><sup>+</sup> used in this method, including surface and satellite date, are usually sparse in 140 spatial coverage and have uncertainties, which will also affect the estimation of NH<sub>3</sub> emissions. 141

#### 142 **2.3 ISORROPIA-II and WRF-Chem models**

The thermodynamic equilibrium model, ISORROPIA-II (Fountoukis and Nenes, 143 2007), being used to determine the phase state and composition of an  $NH_4^+$ -  $SO_4^{2-}$  -  $NO_3^{-}$  -144  $K^+$  -  $Ca^{2+}$  -  $Mg^{2+}$  -  $Na^{+-}$  -  $Cl^-$  -  $H_2O$  aerosol system with its corresponding gas components 145 146 in thermodynamic equilibrium, was used to investigate the response of particulate NO<sub>3</sub><sup>-</sup> to 147 NH<sub>3</sub> emission reductions. Using measurements of water-soluble ions, T and RH from 148 PKUERS as inputs, ISORROPIA-II can avoid the inherent uncertainty in estimates of 149 emission inventories, pollutant transport, and chemical transformation. In this study, 150 ISORROPIA-II was run in the "forward mode" and assuming particles are "metastable" 151 with no solid precipitates, which is due to the relatively high RH range observed during this haze event (RH =  $60.9 \pm 11.4\%$ ). 152

153 We assess the performance of ISORROPIA-II by comparing measured and predicted 154 particulate NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup> and gaseous HNO<sub>3</sub>, NH<sub>3</sub>. An error metric, the mean bias (MB), is 155 used to quantify the bias (the description of MB is shown below Figure S1). The predicted particulate NO<sub>3</sub>, NH<sub>4</sub><sup>+</sup> and NH<sub>3</sub> agree well with the measurements and the value of  $\mathbb{R}^2$  are 156 0.99, 0.94 and 0.84, respectively (Figure S1). The MB is only 1.0  $\mu$ g/m<sup>3</sup>, 0.3  $\mu$ g/m<sup>3</sup> and -157 1.8  $\mu$ g/m<sup>3</sup>, respectively. However, the model performs poorly on HNO<sub>3</sub>, with an R<sup>2</sup> of only 158 159 0.06 and a MB of -1.0  $\mu$ g/m<sup>3</sup>. This is because particulate NO<sub>3</sub><sup>-</sup> is predominantly in the 160 particle phase (the mass ratio of particulate NO<sub>3</sub><sup>-</sup> to the total nitric acid (TN = NO<sub>3</sub><sup>-</sup> + HNO<sub>3</sub>) 161 was 99.2  $\pm$  1.9%), small errors in predicting particulate NO<sub>3</sub><sup>-</sup> are amplified in HNO<sub>3</sub> 162 predicting. Since the MB of HNO<sub>3</sub> is much smaller than the observed particulate NO<sub>3</sub><sup>-</sup> (39.8 163  $\pm$  14.7 µg/m<sup>3</sup>) and NH<sub>4</sub><sup>+</sup> (27.7  $\pm$  8.6 µg/m<sup>3</sup>), this bias have little influence on simulating the 164 efficiency of particulate NO<sub>3</sub><sup>-</sup> reductions.

In the real atmosphere, changes in the level of TA (TA =  $NH_4^+ + NH_3$ ) can affect the 165 166 lifetime of TN (Pandis and Seinfeld, 1990). This is because the gaseous HNO<sub>3</sub> has a faster deposition rate in the atmosphere than particulate  $NO_3^-$ , and reductions in  $NH_4^+$  may 167 prompt particulate  $NO_3^-$  partitioning into the gas phase. In such a case, the concentration 168 169 of TN would not remain constant but decrease. In order to consider these, we use the 170 Weather Research and Forecast Model coupled Chemistry (WRF-Chem) model (ver. 3.6.1) 171 to investigate the effect of NH<sub>3</sub> emission controls on particulate  $NO_3^{-1}$  formation in the 172 regional scale. The simulations were performed for the severe haze event during 6 to 10 173 December 2015. The modeling domain covered the whole northern China with horizontal 174 resolution of 25 km and 24 vertical layers from surface to 50 hPa. The initial meteorological 175 fields and boundary conditions were taken from the 6 h National Centers for Environmental 176 Prediction (NCEP) global final analysis with a  $1^{\circ} \times 1^{\circ}$  spatial resolution. The inorganic gas-aerosol equilibrium was predicted by Multicomponent Equilibrium Solver for Aerosols 177 178 (MESA) in WRF-Chem (Zaveri et al., 2005). The Carbon-Bond Mechanism version Z 179 (CBMZ) photochemical mechanism and Model for Simulating Aerosol Interactions and 180 Chemistry (MOSAIC) aerosol model were used in this study (Fast et al., 2006). 181 Anthropogenic emissions from power plants, industrial sites, residential locations, and 182 vehicles were taken from the Multi-resolution Emission Inventory for China (MEIC; 183 available at www.meicmodel.org).

184 The performance of WRF-Chem is evaluated by comparing measured and simulated  $NO_3^-$ ,  $NH_4^+$ ,  $SO_4^{2-}$  and TA. Specifically, the observed and simulated values are, respectively: 185 (1) NO<sub>3</sub>,  $39.8 \pm 14.7 \ \mu g/m^3$  versus  $39.1 \pm 15.6 \ \mu g/m^3$ ; (2) NH<sub>4</sub><sup>+</sup>,  $27.7 \pm 8.6 \ \mu g/m^3$  versus 186  $26.5 \pm 11.7 \ \mu g/m^3$ ; (3)  $SO_4^{2-}$ ,  $42.4 \pm 16.0 \ \mu g/m^3$  versus  $39.7 \pm 20.8 \ \mu g/m^3$  and (4) TA, 34.6 187  $\pm$  8.5 µg/m<sup>3</sup> versus 32.1  $\pm$  11.0 µg/m<sup>3</sup>. The MB of these four species are -0.7, -1.2, -2.7 and 188 -2.5  $\mu$ g/m<sup>3</sup>, respectively. Simulated particulate NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2-</sup> and TA approximately 189 agreed with the measurements (Figure S2). There are still some simulation biases that may 190 191 affect the simulation of particulate  $NO_3^-$  reductions efficiency. This is discussed in detail 192 in Sect 3.3.

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### 194 **3 Results**

### 195 **3.1 High potential reduction of wintertime NH3 emissions in northern China**

196 Livestock husbandry accounts for the largest proportion of NH<sub>3</sub> emissions in winter of 197 northern China (approximately 60%), which is mainly caused by the poor manure 198 management. There are three main animal-rearing systems in China: free-range, grazing 199 and intensive. On the one hand, the proportion of intensive livestock husbandry in China 200 is only about 40%, far lower than that of developed countries (Harun and Ogneva-201 Himmelberger., 2013). As a result, the widespread free-range and grazing animal rearing 202 systems contribute more than half of the total livestock NH<sub>3</sub> emissions due to lacking 203 manure collection and treatment (Kang et al., 2016). On the other hand, there were no 204 relevant regulations about storage of manure for intensive farms in China in the past few

decades. This causes most livestock farms also lack necessary measures and facilities for
 manure collection and storage (Chadwick et al., 2015).

207 Due to the current poor manure management in China, the improved manure 208 management may have great potential for NH<sub>3</sub> emission reductions from livestock 209 husbandry (Wang et al., 2017). The improved manure management mainly includes three 210 phases: in-house handling, storage and land application (Chadwick et al., 2011). For winter, 211 the emission reduction measures mainly focus on in-house handling and storage, since land application mainly occurs in spring and summer. According to previous studies, for in-212 213 house handling, regularly washing the floor and using slatted floor or deep litter to replace 214 solid floor could both reduce NH<sub>3</sub> emissions by more than 50% (Groenestein and 215 VanFaassen, 1996; Monteny and Erisman, 1998; Gilhespy et al., 2009; Hou et al., 2015). For 216 storage, covering slurry and manure could reduce NH<sub>3</sub> emissions by about 50%-70% 217 (Balsari. et al., 2006; Petersen et al., 2013; Hou et al., 2015; Wang et al., 2017).

218 Based on the above research results, the livestock NH<sub>3</sub> emission reductions strategies 219 applied in this study include the following steps. Firstly, the proportion of intensive 220 livestock production was raised from 40% to 80% in our NH<sub>3</sub> emission inventory model. In our model, the animals in free-range and grazing animal rearing systems are assumed to 221 222 live outdoors for half a day, and the improved manure management is only effective for 223 indoor animals. Therefore, increasing the proportion of intensive livestock production is 224 conducive to better manure management (Hristov et al., 2011). Secondly, the ratios of NH<sub>3</sub> 225 emission reductions mentioned above were multiplied by NH<sub>3</sub> emission factors in two 226 phases of manure management: 50% reduction at in-house handling and 60% (average 227 value of 50% and 70%) reduction at storage. With these measures, we estimate that the 228 NH<sub>3</sub> emission factors for the livestock in China could be comparable to those in Europe 229 and the USA (shown in Table S1). Meanwhile, our NH<sub>3</sub> emission model predicted that the 230 livestock NH<sub>3</sub> emissions were reduced by 60% (from 57 to 23 kiloton), causing 231 approximately 40% reduction in total NH<sub>3</sub> emissions. Spatially, NH<sub>3</sub> emissions decreased 232 significantly in Hebei, Henan and Shandong, where the livestock NH<sub>3</sub> emissions accounted 233 for a large proportion of the total (shown in Figure S3).

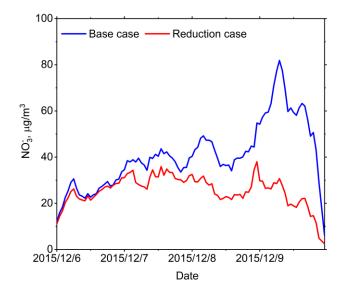
### **3.2.** Simulations of NO<sub>3</sub><sup>-</sup> reduction due to NH<sub>3</sub> emission controls

In the ISORROPIA-II simulation, 40% reduction of TA was used to reflect the effects of reducing  $NH_3$  emissions by 40%. This approach has been used in many previous studies (Blanchard and Hidy, 2003;Vayenas et al., 2005). However, in the real atmosphere, the reductions of  $NH_3$  emission are not always equal to the reductions of TA due to the regional transmission. Their differences are discussed in the WRF-Chem simulation.

240 In this haze event (from 6 to 10 December, 2015), the mean concentration of particulate  $NO_3^-$  decreased from 40.8 to 25.7 µg/m<sup>3</sup> (a 37% reduction). In addition, the peak hourly 241 concentration of NO<sub>3</sub><sup>-</sup> decreased from 81.9 to 30.7  $\mu$ g/m<sup>3</sup> (a 63% reduction) (shown in 242 Figure 1). The fundamental thermodynamic processes of TA reductions on decreasing 243 244 particulate NO<sub>3</sub><sup>-</sup> are explained below. Firstly, we found that NH<sub>3</sub> was quite available to 245 react with HNO<sub>3</sub> in the thermodynamic equilibrium system, because NH<sub>3</sub> was  $6.6 \pm 3.8$  $\mu g/m^3$  while HNO<sub>3</sub> was only 0.4 ± 1.1  $\mu g/m^3$ . Secondly, almost all of particulate NO<sub>3</sub><sup>-</sup> 246 condensed into aerosol phase (the mass ratio of particulate NO<sub>3</sub><sup>-</sup> to TN was 99.2  $\pm$  1.9%) 247 under such low temperature conditions (276.5  $\pm$  1.4 K). Thirdly, the NH<sub>3</sub>-HNO<sub>3</sub> partial 248

249 pressure production (Kp) was as low as about 0.1 ppb<sup>2</sup> (calculated from ISORROPIA-II

outputs, depending not only on temperature and RH but also sulfate concentration). The value of  $K_P$  would remain constant, if the temperature, RH and sulfate concentration remained unchanged. In general, NH<sub>4</sub>NO<sub>3</sub> was not easy to volatilize into gas phase under these circumstances.



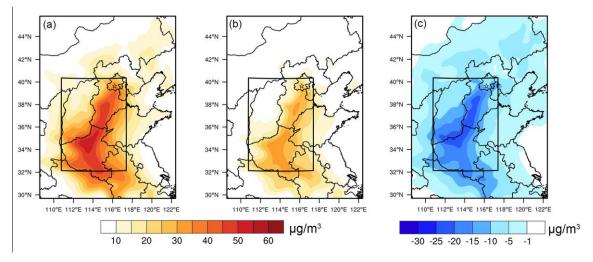
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Figure 1. A comparison of particulate nitrate (NO<sub>3</sub><sup>-</sup>) between the base (blue line) and emission reductions cases (red line) simulated by the ISORROPIA-II model in this severe haze episode.

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When TA was reduced by 40%, the average mass concentration of gaseous NH<sub>3</sub> 259 decreased from 6.6 to 0.01  $\mu$ g/m<sup>3</sup> (from 8.8 ppb to 0.05 ppb). In order to keep the value of 260 K<sub>P</sub> constant in the thermodynamic equilibrium state, the reductions of NH<sub>3</sub> increased HNO<sub>3</sub>, 261 262 which shifted the particulate NO<sub>3</sub><sup>-</sup> partitioning toward the gas phase. Hence, when NH<sub>3</sub> in 263 gas phase was almost completely depleted, HNO<sub>3</sub> increased from 0.4 to 15.5  $\mu$ g/m<sup>3</sup> (from 0.1 ppb to 5.6 ppb), leading to a reduction of particulate NO<sub>3</sub><sup>-</sup> from 40.8 to 25.7  $\mu$ g/m<sup>3</sup> (a 264 37.0 % reduction). Meanwhile,  $NH_4^+$  also decreased from 27.9 to 20.6  $\mu$ g/m<sup>3</sup> and there was 265 almost no change in sulfate level (decreased from 39.7 to 39.3  $\mu$ g/m<sup>3</sup>), with only trace 266 amount of NH<sub>4</sub>HSO<sub>4</sub> produced. This indicated that the reduction of particulate  $NH_4^+$  and 267 NO<sub>3</sub><sup>-</sup> was mainly due to the reduction of NH<sub>4</sub>NO<sub>3</sub>. The sum of particulate NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> 268 decreased from 68.7 to 46.3  $\mu$ g/m<sup>3</sup> (a 32.6% reduction). 269

270 We also conducted WRF-Chem simulations to quantify the impacts of NH<sub>3</sub> emission 271 controls on particulate NO<sub>3</sub><sup>-</sup> regionally. A 60% reduction in livestock NH<sub>3</sub> emissions was 272 used as an emission reductions scheme and Figure 2 shows the spatial distribution of 273 particulate  $NO_3^-$  under the base case and the emission reductions case. The spatial 274 distribution of particulate NO3<sup>-</sup> was mainly concentrated in most parts of Henan (HN) and 275 Hebei (HB), with the average concentration over 30  $\mu$ g/m<sup>3</sup> (included in the black box 276 shown in Figure 2a). The highest particulate  $NO_3^-$  concentrations, more than 60  $\mu$ g/m<sup>3</sup>, 277 were mainly located in central south of Hebei and northern Henan. In the emission 278 reductions case, the mean concentration of particulate NO<sub>3</sub><sup>-</sup> decreased from 30.6 to 18.5 279  $\mu$ g/m<sup>3</sup> (a 39.4% reduction) in the range of the black box. Meanwhile, the particulate NH<sub>4</sub><sup>+</sup> decreased from 16.3 to 11.7  $\mu$ g/m<sup>3</sup> (a 28.1% reduction). The sum of particulate NO<sub>3</sub><sup>-</sup> and 280 281  $NH_4^+$  decreased from 46.9 to 30.2  $\mu$ g/m<sup>3</sup> (a 35.6% reduction). Besides, the sulfate concentration slightly changed from 19.7 to 17.6  $\mu$ g/m<sup>3</sup>, and PM<sub>2.5</sub> concentration dropped 282 283 from 143.4 to 125.4  $\mu$ g/m<sup>3</sup>. The largest reductions in particulate NO<sub>3</sub><sup>-</sup> were mainly located 284 in the central north of Henan and central Hebei, where the percentage reduction was 285 generally more than 60% (shown in Figure 2b). In some areas with high particulate  $NO_3^{-1}$ 286 concentrations, particulate  $NO_3^{-}$  had been effectively reduced by more than 30  $\mu g/m^3$ 287 (shown in Figure 2c). In these regions, severe haze events occurred frequently due to their large emissions of air pollutants, including NH<sub>3</sub> (Wang et al., 2014;Zhao et al., 2017). In 288 addition, TN was reduced by 34.1% (from 31.8  $\mu$ g/m<sup>3</sup> to 21.0  $\mu$ g/m<sup>3</sup>), which was in line 289 290 with the assumption in Sect 2.3. Correspondingly, TA decreased by 40.7% (from 17.2  $\mu g/m^3$  to 10.2  $\mu g/m^3$ ), very close to the reductions of NH<sub>3</sub> emission (40%). This indicates 291 292 that it is reasonable to use TA reductions to represent NH<sub>3</sub> emission reductions in the 293 **ISORROPIA-II** simulation.



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**Figure 2.** Spatial distribution of particulate NO<sub>3</sub><sup>-</sup> concentrations in northern China predicted by WRF-Chem from 6 to 10 December, 2015, for (a) the base case, (b) the emission reductions case and (c) the difference between the base case and the emission reductions case. The scope of this study focuses on the black box, including Beijing (BJ), Tianiin (TJ), Hebei (HB), Shanxi (SX), Shandong (SD) and Henan (HN).

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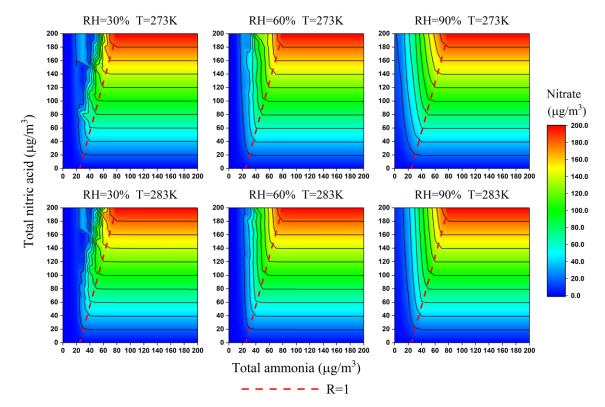
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### **301 3.3 The particulate NO<sub>3</sub><sup>-</sup> reduction efficiency during the wintertime**

The sensitivity of particulate  $NO_3^-$  to  $NH_3$  is often determined by the availability of ambient  $NH_3$ , which can be represented by the observable indicator (Seinfeld and Pandis, 2006). In this study, we use the observed molar ratio (R) of TA to the sum of sulfate, total chlorine and TN minus  $Na^+$ ,  $K^+$ ,  $Ca^{2+}$  and  $Mg^{2+}$  to represent the availability of ambient  $NH_3$  and predict the sensitivity of the particulate  $NO_3^-$  to changes in TN and TA.

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$$R = \frac{TA}{2SO_4^{2^-} + NO_3^- + HNO_3(g) + Cl^- + HCl(g) - 2Ca^{2^+} - Na^+ - K^+ - 2Mg^{2^+}}$$
(1)

- The accuracy of R was examined by constructing the isopleths of particulate NO<sub>3</sub><sup>-</sup> concentrations as a function of TN and TA (shown in Figure 3). The NO<sub>3</sub><sup>-</sup> concentration was constructed by varying the input concentrations of TA and TN from 0 to 200  $\mu$ g/m<sup>3</sup> in increments of 10  $\mu$ g/m<sup>3</sup> independently in ISORROPIA-II, while using the observed average value for the other components. Over a range of temperatures (273–283 K) and RHs (30– 90%), the dashed line of R = 1 divides each isopleth into two regions with tiny bias, which indicates that R can be used to qualitatively predict the response of the particulate NO<sub>3</sub><sup>-</sup> to aban gas in concentrations of TN and TA
- 315 changes in concentrations of TN and TA.



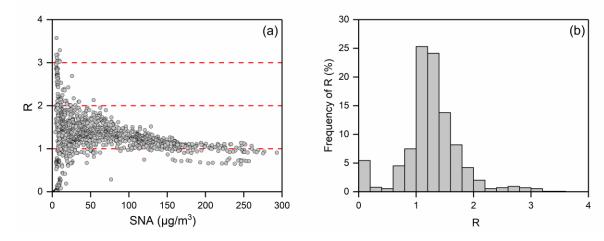
316

**Figure 3.** Isopleths of the particulate  $NO_3^-$  concentration ( $\mu g/m^3$ ) as a function of TN and TA under average severe haze conditions in winter. The concentration of  $SO_4^{2^-}$ ,  $Cl^-$ ,  $K^+$ , Ca<sup>2+</sup>, Na<sup>+</sup>, and Mg<sup>2+</sup> was 60.2, 9.3, 0.56, 0.04, 0.75, and 0.03  $\mu g/m^3$ , respectively. Values are averages from all severe hazes during the observation period.

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322 In the right side of the dashed line (R > 1), particulate NO<sub>3</sub><sup>-</sup> formation is HNO<sub>3</sub>-limited. 323 The NH<sub>3</sub> is surplus and almost all particulate  $NO_3^-$  exists in the aerosol phase. The TA reductions mainly reduce NH<sub>3</sub>, with negligible effects on particulate NO<sub>3</sub>. By contrast, 324 325 particulate NO<sub>3</sub><sup>-</sup> formation is NH<sub>3</sub>-limited in the left of the dashed line (R < 1). There is 326 less NH<sub>3</sub> present in the gas phase, and TA reductions could reduce particulate NO<sub>3</sub><sup>-</sup> 327 efficiently. For example, when the concentrations of TN and TA are 100 and 50 µg/m<sup>3</sup> (RH 328 = 60 % and T =273 K), the concentration of particulate NO<sub>3</sub><sup>-</sup> is about 100  $\mu$ g/m<sup>3</sup> and the value of R is close to one (typical observational values during the severe haze in this study). 329 In such cases, if TA were reduced by 50% to 25  $\mu$ g/m<sup>3</sup>, the particulate NO<sub>3</sub><sup>-</sup> would be 330 significantly reduced from 100 to 20  $\mu$ g/m<sup>3</sup>, an 80% reduction. 331

332 Under the typical winter conditions in northern China, the value of R was generally 333 greater than one and gradually declining with the increase in SNA concentrations (shown 334 in Figure 4a). When the concentration of SNA is greater than 150  $\mu$ g/m<sup>3</sup>, the values of R 335 become close to and frequently lower than one. This indicated that particulate  $NO_3^{-1}$ 336 formation would easily become NH<sub>3</sub>-limited under severe haze conditions when NH<sub>3</sub> 337 emissions were reduced. In general, particulate NO3<sup>-</sup> will be reduced effectively by a 40% 338 reduction of NH<sub>3</sub> emissions in the condition that the value of R is less than 1.4 (shown in 339 Figure S4). This situation accounts for 68.1% of the entire December (shown in Figure 4b). 340 It should also be noted that the particulate  $NO_3^{-1}$  is insensitive to a 40% reduction in  $NH_3$ 341 emissions when the value of R is greater than 1.4 (shown in Figure S4). This situation 342 mainly occurs in relatively clean days (the concentration of SNA is less than 75  $\mu$ g/m<sup>3</sup>), accounting for only 31.9% of the entire December (shown in Figure 4a and 4b). Overall, 343 344 reducing 40% of NH<sub>3</sub> emissions could effectively reduce the levels of particulate NO<sub>3</sub><sup>-</sup> 345 under typical severe winter haze conditions in northern China.



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Figure 4. (a) The observed molar ratio (R) and the concentrations of SNA in PKUERS in
 December 2015 and December 2016. (b) The frequency of R during the same period.

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The observed R provides a simple method to rapidly estimate the efficiency of  $NH_3$ emission reductions on the particulate  $NO_3^-$  reductions, which can avoid the shortage of the air quality model, especially the uncertain estimates of meteorology. However, it also needs to be examined in more detail for specific pollution and meteorological conditions. Therefore, the observed indicator and air quality models should be used in a complementary way to assess the effectiveness of  $NH_3$  emission controls strategies.

Based on the above analysis, the influence of WRF-Chem simulation biases on particulate  $NO_3^-$  reduction efficiency simulation mainly depends on the simulation bias of R. During the simulation case, the average simulated value of R is 1.3, which is equivalent to the observed value (1.3). Since WRF-Chem has a good estimation of the availability of ambient NH<sub>3</sub>, its estimation of the efficiency of particulate  $NO_3^-$  reductions is reliable.

It is noteworthy that the efficiency of particulate NO<sub>3</sub><sup>-</sup> reductions by NH<sub>3</sub> emission controls in northern China during severe winter hazes may be higher than that in the United States and Europe. Compared with our results (40% NH<sub>3</sub> emission reductions lead to about 369

## 370 4 Conclusions

371 In this study, we found that during severe winter haze episodes, the particulate  $NO_3^{-1}$ 372 formation is NH<sub>3</sub>-limited, resulting in its high sensitivity to NH<sub>3</sub> emission reductions. 373 Meanwhile, livestock NH<sub>3</sub> emission controls is a very efficient way to alleviate particulate NO<sub>3</sub><sup>-</sup> pollution during severe winter hazes. The estimations showed that the improvements 374 in manure management of livestock husbandry could effectively reduce total NH3 375 376 emissions by 40% (from 100 kiloton to 60 kiloton) in winter of northern China. It would 377 lead to a reduction of particulate NO<sub>3</sub><sup>-</sup> by about 40% (averagely from 40.8 to 25.7  $\mu$ g/m<sup>3</sup>) 378 during severe haze conditions.

379  $NO_x$  emission controls could be a more direct and effective way to reduce the 380 particulate NO3<sup>-</sup> than NH3 emission reductions. However, in northern China, the target of NO<sub>x</sub> emission reductions is only about 25% in the 13th Five-Year Plan (2016-2020) 381 382 (http://www.gov.cn/zhengce/content/2017-01/05/content 5156789.htm). Due to the 383 dominance of free-range animal rearing systems and the lack of emission controls policies, 384 livestock NH<sub>3</sub> emission reductions in China could be practicable. In order to control PM<sub>2.5</sub> pollution more effectively in northern China, measures to improve manure management in 385 386 livestock urgently need to be implemented.

387

## 388 Author contribution

Y.S, S.W and M.Z initiated the investigation. C.Y, T.Z and M.Z conducted the aerosol
and gas measurements. Z.X, T.W and M.L performed the modelling analyses. Z.X, S.W,
L.Z, C.Y and M.Z wrote and edited the manuscript. M.Z, T.X, T.W, Y.S, Y.P, M.H and T.Z
contributed to discussions of the results and the manuscript.

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