

1 **Significant contribution of HONO to secondary**
2 **pollutants during a severe winter pollution event in**
3 **southern China**

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18 **Abstract**

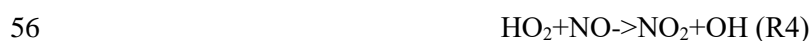
19 Nitrous acid (HONO) can strongly affect atmospheric photochemistry in polluted
20 regions through the production of hydroxyl radical (OH). In January 2017, a severe pollution
21 episode occurred in the Pearl River Delta (PRD) of China, with maximum hourly PM_{2.5},
22 ozone and HONO levels reaching 400 µg/m³, 150 ppb, and 8 ppb, respectively, at a suburban
23 site. The present study investigated the sources/processes generating such high HONO
24 concentrations and the role of HONO chemistry in this severe winter episode. Four recently
25 reported HONO sources were added to the Community Multi-scale Air Quality (CMAQ)
26 model, including RH-dependent and light-enhancing effects on heterogeneous reactions,
27 photolysis of particulate nitrate in the atmosphere, and photolysis of HNO₃ and nitrate on
28 surfaces. The revised model reproduced the observed HONO and significantly improved its
29 performance for O₃ and PM_{2.5}. The model simulations showed that the heterogeneous
30 generation on surfaces (with RH and light effects) was the largest contributor (72%) to the
31 predicted HONO concentrations, with the RH-enhancing effects more significant at nighttime
32 and the light-enhancing effects more important in the daytime. The photolysis of total nitrate
33 in the atmosphere and deposited on surfaces was the dominant HONO source during noon and
34 afternoon, contributing above 50% of the simulated HONO. The HONO photolysis was the
35 dominant contributor to HO_x production in this episode. With all HONO sources, the daytime
36 average O₃ at Heshan site was increased by 24 ppb (or 70%), compared to the simulation
37 results without any HONO sources. Moreover, the simulated mean concentrations of TNO₃
38 (HNO₃ + fine particle NO₃⁻) at Heshan site, which was the key species for this haze formation,
39 increased by about 17 µg/m³ (67%) due to the HONO chemistry, and the peak enhancement
40 reached 55 µg/m³. This study highlights the key role of HONO chemistry in the formation of
41 winter haze in a subtropical environment.

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43

44 1 Introduction

45 Nitrous acid (HONO) can significantly affect atmospheric photochemistry through its
46 photolysis producing hydroxyl radical (OH) (R1) and subsequent reactions of OH with other
47 gases (Alicke et al., 2003; Kleffmann et al., 2005). OH radical oxidizes volatile organic
48 compounds (VOC) and converts nitric oxide (NO) into nitrogen dioxide (NO₂) without
49 consuming ozone (O₃), leading to the generation of O₃ (R2 to R6). The oxidation of oxides of
50 nitrogen (NO_x=NO+NO₂), sulfur dioxide (SO₂) and VOC by OH and O₃ also produce
51 secondary aerosols, which are the key components of haze (e.g., Pathak et al., 2009; 2011;
52 Cao et al., 2012).



59 The impact of HONO on atmospheric photochemistry varies under different
60 environmental conditions. In general, the effect of HONO is more significant in polluted
61 conditions than clean conditions. For example, calculations constrained by field
62 measurements of HONO have suggested that the contributions of HONO photolysis to the
63 daytime HO_x (HO_x = OH + HO₂) production can reach 56% in an urban area (Ren et al.,
64 2003) and 87% at a roadside (Yun et al., 2017), which are higher than the contributions in
65 rural and forested areas (30% to 40%) (Acker et al., 2006; Kleffmann et al., 2005).
66 Simulations by chemistry transport model which considered major sources of HONO showed
67 that the maximum enhancements of O₃ concentrations due to HONO were mostly less than 10
68 ppb in the US and other western countries (Sarwar et al., 2008; Czader et al., 2012; Li et al.,
69 2010; Goncalves et al., 2012), but more significant impacts have been reported in China due
70 to more intense NO_x and VOC emissions. For example, the reported maximum hourly O₃
71 enhancement can be more than 30 ppb in Beijing (Li et al., 2011; Xu et al., 2006) and up to 25
72 ppb in Hong Kong (Zhang et al., 2016). The previous studies mostly focused on summertime.

73 Limited attention has been paid to the winter season when the observed HONO
74 concentrations can also be high (Hou et al., 2016; Li et al., 2018a; Wang et al., 2016; Xu et al.,
75 2015).

76 The source and formation mechanism of HONO are still not fully understood. Most
77 previous studies suggest that heterogeneous reaction of NO₂ on surface is dominant,
78 especially at night (Li et al., 2012; Wang et al., 2017a; Zhang et al., 2016). In the daytime, the
79 long-known gas-phase reaction of NO and OH explains less than 10% of the daytime HONO
80 production (Sarwar et al., 2008; Li et al., 2010; Zhang et al., 2016). Other daytime sources
81 include direct traffic emissions (Kurtenbach et al., 2001; Liang et al., 2017), humidity and
82 light-dependent heterogeneous generation (Finlayson-Pitts et al., 2003; Ndour et al., 2008;
83 Monge et al., 2010), soil emissions (Oswald et al., 2013; Su et al., 2011; Meusel et al., 2018),
84 photolysis of particle nitrate in the atmosphere (Ye et al., 2016b, 2017), and photolysis of
85 deposited HNO₃ and nitrate on the ground (Ye et al., 2016a; Zhou et al., 2011), etc.

86 During 4-8 January 2017, a severe winter air pollution event occurred in the Pearl River
87 Delta (PRD), a region long known to suffer from photochemical pollution due to its fast
88 industrialization (Chan and Yao, 2008; Wang et al., 1998, 2003, 2017b; Xue et al., 2014;
89 Zhang et al., 2008; Zheng et al., 2010). During this multi-day episode, an hourly peak value of
90 ~150 ppb for O₃ and ~400 µg/m³ for PM_{2.5} were observed, and the HONO levels reached 8
91 ppb, with an average value of 2.9 ppb at night (18:00 to 6:00) and 2.4ppb in daytime (7:00 to
92 17:00). The HONO values were among the highest ever reported in China (Bernard et al.,
93 2016; Huang et al., 2017; Li et al., 2012; Qin et al., 2009; Su et al., 2008; Wang et al., 2017a;
94 Wang et al., 2013; Li et al., 2018a; Xu et al., 2015). It is of great interest to find out how such a
95 high level of HONO was produced and what impact it had on the radical levels and secondary
96 pollutants during this severe winter pollution event.

97 The present study utilizes the CMAQ model with up-to-date HONO sources, including
98 those in the original CMAQ model (gas-phase generation, heterogeneous reaction, and
99 vehicle emissions) and four newly added sources (RH-enhancing effects on heterogeneous
100 reactions, light-enhancing effects on heterogeneous reactions, photolysis of particulate nitrate
101 in the atmosphere, and photolysis of HNO₃ and nitrate adsorbed on surfaces). The updated
102 model was then used to analyze the contributions of different HONO sources, and also to

103 quantify the contributions of HONO to secondary pollutants during this severe winter
104 pollution event. Our study reveals the very large impact of HONO on winter-time chemistry
105 at this subtropical site.

106 **2 Materials and Methods**

107 **2.1 Model description**

108 **2.1.1 CMAQ model configurations and inputs**

109 CMAQ version 5.1 with the updated carbon bond 2005 e51 (CB05e51) gas mechanism
110 and AERO6 aerosol mechanism (Appel et al., 2017) was used in this study. One-way triple
111 nesting domains were used with their horizontal resolutions being 36, 12, and 4 km,
112 respectively, and the innermost domain covers the PRD region (Fig. 1). These domains are
113 based on a Lambert projection with two true latitudes of 25° N and 40° N. The objective
114 simulation period was 4 to 8 January 2017, with six days before as a spin-up time.

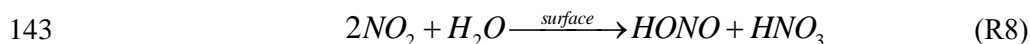
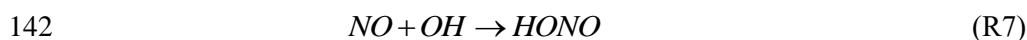
115 The Weather Research & Forecasting Model (WRF) version 3.7 was applied to generate
116 the meteorological fields for the CMAQ simulations. The physical options used in the WRF
117 model were the Lin microphysics scheme (Lin et al., 1983), Rapid Radiative Transfer Model
118 for GCMs (RRTMG) shortwave and longwave radiation scheme (Mlawer and Clough, 1998;
119 Mlawer et al., 1997), Noah land surface scheme (Chen and Dudhia, 2001), YSU PBL scheme
120 (Hong et al., 2006), and Kain–Fritsch cumulus scheme (Kain., 2004). To improve the
121 meteorological modeling performance, the nudging was performed using the NCEP
122 Automated Data Processing (ADP) data (ds351.0 and ds461.0) and surface observation data
123 from China Meteorological Administration (Zhang et al., 2016). Table S1 summarizes the
124 statistical performance for the meteorological predictions.

125 The anthropogenic emission input was generated based on three emission inventories
126 covering different regions. For the PRD region, a local emission inventory with high
127 resolution for 2010 (Pan et al., 2014) was used. For other regions in China, the emission data
128 for 2013 were from Ma et al. (2017). For other Asian countries, the INTEX-B dataset (Zhang

129 et al., 2009) was used. HONO emissions from transportation sources were calculated based on
 130 the HONO/NO_x ratios and NO_x emissions from the transportation sources in the
 131 anthropogenic emission inventory. The HONO/NO_x ratios were set as 0.8% and 2.3% for
 132 gasoline and diesel engines, respectively (Kurtenbach et al., 2001; Gutzwiller et al., 2002).
 133 Natural biogenic emissions were estimated by the Model of Emissions of Gases and Aerosols
 134 from Nature (MEGAN) (Guenther et al., 2006).

135 2.1.2 Parameterization of HONO Sources

136 In addition to the direct anthropogenic emissions, the default CMAQ model has two
 137 HONO more sources, including the gas-phase homogeneous reaction of NO and OH (R7) and
 138 the heterogeneous reactions of NO₂ on surfaces (R8) (Sarwar et al., 2008). The heterogeneous
 139 formation of HONO on the surfaces of particle, urban and leaves was estimated with a
 140 reaction rate $k = 5 \times 10^{-5} \times (S/V)$ as measured by Kurtenbach et al. (2001) under dark
 141 conditions with a relative humidity (RH) of 50%.



144 In the present study, we incorporated four additional HONO sources, as described below.

145 (1) RH-enhancing effects on heterogeneous reaction of NO₂ on surfaces

146 The default heterogeneous reaction rate was based on measurements at a relative
 147 humidity of 50%. However, previous field and lab studies found that surface adsorbed water
 148 played a key role in the heterogeneous conversion and the reaction rate was highly dependent
 149 on the RH level (Finlayson-Pitts et al., 2003; Stutz et al., 2004; Qin et al., 2009). The
 150 laboratory measurements over an RH range of 0% to 80% conducted by Finlayson-Pitts et al.
 151 (2003) showed that the heterogeneous conversion rate increased much faster when RH ≥ 50%
 152 than that when RH < 50%. Based on this result, the RH dependence of the heterogeneous
 153 reaction was considered through scaling the default reaction rate by a factor of f_{RH} in this
 154 study, as shown in the following equation:

$$155 \quad k_{het} = 5 \times 10^{-5} \times f_{RH} \times (S/V) \quad (E1)$$

$$f_{RH} = \begin{cases} RH / 50 & (RH < 50) \\ RH / 10 - 4 & (50 \leq RH < 80) \\ 4 & (RH \geq 80) \end{cases}$$

157 (2) Light-enhancing effects on heterogeneous reaction of NO₂ on surfaces

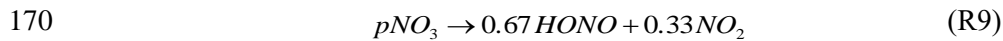
158 The default reaction rate coefficient for the heterogeneous reaction was based on
 159 measurements under dark conditions. However, it has been reported that sunlight significantly
 160 boosts the heterogeneous generation of HONO (Ndour et al., 2008; Monge et al., 2010;
 161 Stemmler et al., 2007). To consider the photo-enhancing effect, we applied a higher reaction
 162 rate at daytime (Li et al., 2010; Czader et al., 2012), as shown in the following equation:

$$163 \quad k_{het} = 1 \times 10^{-3} \times \frac{\text{light intensity}}{400} \times (S/V) \quad (E2)$$

164 where light intensity means the total downward irradiance at the surface, measured in watts per
 165 meter squared (W/m²).

166 (3) Photolysis of particulate nitrate in the atmosphere

167 Evidence from recent aircraft observations and laboratory measurements suggested that
 168 particulate nitrate in the atmosphere can undergo photolysis to produce HONO and NO₂ (R9)
 169 (Ye et al., 2016b, 2017).



171 Ye et al. (2017) reported the photolysis rates ranging from $6.2 \times 10^{-6} \text{ s}^{-1}$ to $5.0 \times 10^{-4} \text{ s}^{-1}$,
 172 with a median of $8.3 \times 10^{-5} \text{ s}^{-1}$, at noontime tropical conditions. The reported value was much
 173 higher than the photolysis rate of gaseous HNO₃ ($\sim 7 \times 10^{-7} \text{ s}^{-1}$) under the typical tropical
 174 noontime conditions (Finlayson-Pitts and Pitts., 2000; Ye et al., 2017). Similar to the
 175 methodology of Sarwar et al. (2008), the photolysis rate of particulate nitrate was estimated as
 176 the following equation:

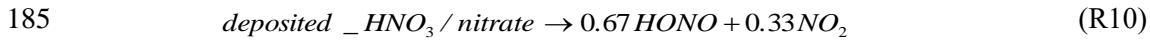
$$177 \quad J_{PNO_3} = \frac{8.3 \times 10^{-5}}{7 \times 10^{-7}} \times J_{HNO_3-CMAQ} \quad (E3)$$

178 where J_{HNO_3-CMAQ} is the photolysis rate of gaseous HNO₃ calculated online in CMAQ.

179 (4) Photolysis of HNO₃/nitrate deposited on surfaces

180 Field observations and lab studies also indicated that the photolysis of HNO₃/nitrate
 181 deposited on the surface could be an important daytime HONO source (R10) (Zhou et al.,
 182 2003, 2011; Baergen et al., 2013). Ye et al. (2016a) tested the photolysis of HNO₃/nitrate

183 deposited on various natural and artificial surfaces in the laboratory, and reported the
184 photolysis rates ranging from $6 \times 10^{-6} \text{ s}^{-1}$ to $3.7 \times 10^{-4} \text{ s}^{-1}$, with a median of $3.4 \times 10^{-5} \text{ s}^{-1}$.



186 This reaction was incorporated into the CMAQ model by assuming deposited
187 $\text{HNO}_3/\text{nitrate}$ on surfaces to equal the accumulation of dry deposition since the last
188 precipitation event, referring to the method of Sarwar et al. (2008). The photolysis rate of
189 deposited $\text{HNO}_3/\text{nitrate}$ was estimated as the following equation:

190
$$J_{\text{DNO}_3} = \frac{3.4 \times 10^{-5}}{7 \times 10^{-7}} \times J_{\text{HNO}_3\text{-CMAQ}} \quad (\text{E4})$$

191 2.1.3 Simulation cases

192 Eight simulations were conducted considering different HONO sources, including:

193 **NO_HONO:** without any HONO sources

194 **G:** gas-phase homogeneous reaction (G)

195 **GE:** G + vehicle emissions (E)

196 **GEH (CMAQ-default):** GE + heterogeneous reactions under dark conditions with a RH
197 of 50% (H)

198 **GEHR:** GEH + RH-enhancing effects on heterogeneous reactions (R)

199 **GEHRL:** GEHR + light-enhancing effects on heterogeneous reactions (L)

200 **GEHRLP:** GEHRL + photolysis of particulate nitrate in the atmosphere (P)

201 **GEHRLPD (CMAQ-revised):** GEHRLP + photolysis of $\text{HNO}_3/\text{nitrate}$ deposited on the
202 surface (D)

203 2.2 Observation data

204 Field observations of HONO and other major air pollutants were conducted at Heshan
205 site ($112^\circ 55' 17'' \text{E}$, $22^\circ 42' 50'' \text{N}$) in the PRD region (Fig. 1). Hourly HONO concentration was
206 measured using a Long Path Absorption Photometer (LOPAP) (QUMA, Model LOPAP-03)
207 (Heland et al., 2001). The same instrument was employed by our group in several previous field
208 campaigns (Zha et al., 2014; Xu et al., 2015; Liang et al., 2017; Yun et al., 2018). The reader to

209 referred to these sources (e.g., Yun et al., 2018) for description of measurement principle.
210 Following our previous practice, the instrument background was determined with synthetic air
211 4 times a day, and calibrations with a nitrite solution standard were conducted every 3 days.
212 The time resolution of this instrument was 10 min. The detection limit was 7 ppt with an
213 accuracy of $\pm 20\%$. The sample inlets were placed at the roof of a 4-floor building, at a height of
214 about 15 m above the ground.

215 Other instruments whose data are used in the present paper have been summarized in Yun
216 et al. (2018) with references provided for each instrument. Briefly, $PM_{2.5}$ concentrations were
217 determined by a Multi Angle Absorption Photometer (Thermo Scientific, Model 5012). Sulfate,
218 nitrate and ammonium in $PM_{2.5}$ were measured by a gas and aerosol collector coupled with an
219 ion chromatography (GAC-IC) system. Gas HNO_3 concentrations were also measured by this
220 GAC-IC system. O_3 concentrations were measured by a UV photometric analyzer (Thermo
221 Scientific, Model 49i). NO_2 concentrations were measured using a chemiluminescence
222 instrument (Thermo Scientific, Model 42i) coupled with a photolytic converter (Droplet
223 Measurement Technologies, model BLC). The sample inlets for these instruments were placed
224 at the same height as LOPAP.

225 Additionally, hourly $PM_{2.5}$, O_3 and NO_2 observation data at 56 official monitoring sites
226 (Fig.1) in the PRD region were obtained from the Ministry of Environmental Protection
227 (MEP). It should be noted that NO_2 concentrations in the national network were measured
228 using the catalytic conversion method, which overestimates NO_2 , especially during the period
229 with active photochemistry and at the location away from the primary emission sources (Xu et
230 al., 2013). The NO_2 observation data were adjusted based on the method of Zhang et al. (2017)
231 (also see footnote of Table 1).

232 **3 Results and Discussion**

233 **3.1 Observed pollution in this winter episode**

234 During 4-8 January 2017, a severe pollution episode was observed in the PRD region. As
235 shown in Fig. S1, the episode average $PM_{2.5}$ concentrations observed at the Heshan site

236 reached $142 \mu\text{g}/\text{m}^3$, which is nearly twice the respective standard of China ($75 \mu\text{g}/\text{m}^3$ for daily
237 average $\text{PM}_{2.5}$ concentration). The hourly $\text{PM}_{2.5}$ concentration peaked at $382 \mu\text{g}/\text{m}^3$, which
238 was among the highest $\text{PM}_{2.5}$ concentrations reported so far in the PRD region (Tan et al.,
239 2009; Wang et al., 2012; Yue et al., 2015). O_3 levels were also high, with a peak of ~ 150 ppb.
240 Fig. S2 presented the spatial distribution of observed $\text{PM}_{2.5}$ and O_3 on the two heaviest
241 polluted days (5 and 6 January) based on the interpolation of the observation data at the 56
242 official monitoring sites. It can be seen that the whole western PRD region suffered from
243 severe pollution. On 5 January, the highest $\text{PM}_{2.5}$ pollution occurred in Foshan city and its
244 surrounding area, with a peak of $448 \mu\text{g}/\text{m}^3$. On 6 January, the pollution expanded to wider
245 areas.

246 3.2 Evaluation of model performance

247 Figure 2 compared the simulated HONO mixing ratios by the default CMAQ model
248 (GEH) and the revised CMAQ model (GEHRLPD) with the observations at Heshan site for
249 4-8 January. For the HONO simulation, the default CMAQ significantly underestimated the
250 levels, with a normalized mean bias (NMB) of -71.6%. The maximum underestimation was
251 6ppb in the early morning of 5 January. The simulated HONO levels in the afternoon were
252 lower than 0.1 ppb in the default CMAQ model. By considering the four additional HONO
253 sources, the model performance was improved considerably, with an NMB of -22.5%. The
254 simulated average daytime HONO mixing ratios increased from 0.37 to 1.44 ppb, and the
255 simulated nighttime HONO values increased from 1.10 to 2.63 ppb. The revised model
256 reproduced the HONO diurnal variation. Underestimation was found on the morning of 5-6
257 and 8 January. One possible reason was that some HONO sources were not considered in this
258 study. For example, previous studies have proposed that deposited HONO can be reserved in
259 dew water on the ground or vegetation during the nighttime and re-released when the dew
260 water evaporate in the morning (He et al., 2006). Here we have simply estimated HONO
261 released from dew in the morning on 5 January when dew existed during 0:00-8:00 using the
262 method described in He et al, (2006). The total HONO dry deposition was 1.28×10^{-6} moles/ m^2 .
263 At 9:00, if 80% of accumulated HONO was emitted to the surface model layer (about 0-30m),

264 the total released HONO amount would be 0.76 ppb. This suggests that dew evaporation may
265 be a considerable source, but other sources may also exist. Overestimation was seen at the
266 nighttime of 4 and 7 January, which was possibly related to some missing HONO sinks, e.g. the
267 uptake of HONO on the ground surface (VandenBoer et al., 2014). Additionally, the
268 overestimation of NO₂ could also explain partially the overestimation of HONO at the
269 nighttime of 7 January.

270 The inclusion of the four additional HONO sources also changed the model performance
271 for other air pollutants. As shown in Fig. S1, the O₃ prediction by the default CMAQ model
272 was not satisfactory, especially for the peak value. For example, on 6 January, the observed
273 maximum 1-h and 8-h O₃ mixing ratios at Heshan site reached 147 ppb and 125 ppb,
274 respectively, exceeding the respective standard of China (~93 ppb and 75 ppb for maximum
275 1-h and 8-h O₃ concentrations, respectively). The simulated levels by the default CMAQ
276 model were just 64ppb and 52ppb, respectively, which failed to predict the non-compliance of
277 O₃ for this episode. In contrast, the revised model was better to reproduce the magnitude. For
278 the episode average, the NMB values decreased from -32.9% to 5.5% for the maximum 8-h
279 O₃. Therefore, it is crucial to include these additional HONO sources into air quality models.
280 For NO₂, the revised model could reproduce its temporal variation in general, although
281 underestimation was seen for some peak NO₂ values. This under-prediction could also explain
282 partially the underestimation of HONO peak values. For PM_{2.5}, improvements also can be
283 seen, especially for the peak values. For the sulfate, nitrate and ammonium components in
284 PM_{2.5}, as shown in Fig.S3, the revised model performed well in reproducing their temporal
285 variations, with correlation coefficients (R value) of 0.5, 0.7 and 0.7, respectively. Compared
286 to the default CMAQ model, the NMB values decreased from -29.8% to -10%, -53.8% to
287 -41.2%, and -32.9% to -14.1% for fine particle sulfate, nitrate and ammonium, respectively.
288 Underestimation could be seen for particle nitrate, especially on 5 January. The possible
289 reason was underestimation of the emissions of NH₃ or other alkaline species (e.g. Ca, K, Na),
290 which led to gas HNO₃ not being converted to particle nitrate sufficiently. The model
291 performance for total nitrate (TNO₃ = gaseous HNO₃ + fine particle nitrate) was satisfactory,
292 with an NMB of -3.2% and R of 0.8.

293 Regionally, as shown in Table 1, the simulation results of the revised CMAQ model

294 were in better agreement with the observations, with the NMBs decreasing from -33.3% to
295 0.7%, -8.1% to 4.7%, and 20.6% to 9.4% for 8-hour maximum O₃, hourly average PM_{2.5} and
296 hourly average NO₂ values, respectively.

297 We have conducted a sensitivity test to compare the simulations with those considering
298 more recent emission by linearly adjusting the emissions based on the ratio of 2017 emission to
299 2010 emission for China reported in a very recent paper (Zheng et al., 2018), but no
300 improvements were indicated for HONO, O₃, and PM_{2.5} at the Heshan site (Fig. S4). Despite
301 the uncertainty, we think that the current emission setting is acceptable for simulations of the
302 present case.

303 The above results indicated the satisfactory performance of the revised CMAQ model to
304 simulate HONO and other major pollutants. It could be used to analyze the formation and
305 impact of HONO in this episode.

306 **3.3 Contributions of different sources to HONO concentrations**

307 Figure 3 presents the contributions of different HONO sources to the HONO mixing
308 ratios at Heshan site. Heterogeneous generation on surfaces (including RH and light effects,
309 H+R+L) was the largest contributor, representing 72% of the average predicted HONO for the
310 whole day. It contributed up to 81% of the average predicted HONO values during the
311 nighttime (18:00 to 6:00) and ~52% during the daytime (7:00 to 17:00). These findings on the
312 dominant contribution from heterogeneous generation were similar to those in the previous
313 modeling studies (Sarwar et al., 2008; Zhang et al., 2016) and field measurements (Li et al.,
314 2012; Wang et al., 2017a). High relative humidity and solar radiation were two important
315 driving factors for the formation of the high HONO concentrations in this pollution episode.
316 During the nighttime and early morning (18:00 to 8:00), RH-enhancing effects on the
317 heterogeneous generation were significant, due to high relative humidity (70% to 90%) (Fig.
318 S5). The heterogeneous generation of HONO had a ~2-fold increase by considering
319 RH-enhancing effects, compared to that under the uniform relative humidity of 50%. From
320 9:00 to the afternoon, light-enhancing effects became important, contributing approximately
321 25% to HONO levels, due to strong radiation with a maximum of ~450 W/m² (Fig. S5). In

322 addition to enhancing the heterogeneous generation, the existence of light also increased
323 HONO values through the photolysis of particle nitrate in the atmosphere and total nitrate
324 deposited on the surface. Due to the high total nitrate concentrations in this pollution episode,
325 these two photolysis sources were dominant during noon and afternoon (11:00 to 17:00),
326 contributing 31% and 36% to the HONO levels, respectively. Vehicle emissions contributed
327 approximately 8% of the daily average HONO values. The gas-phase homogeneous reaction
328 was the smallest source, contributing approximately 3% and 7% of the daily and daytime
329 average HONO values, respectively. Our model simulations suggest that the three additional
330 light-dependent sources (L+P+D) could be an important part of missing daytime sources for
331 the present case.

332 **3.4 Impacts of HONO chemistry on HO_x and O₃**

333 The HO_x (OH+HO₂) radical plays a key role in the atmospheric photochemical process.
334 The photolysis of HONO can produce OH radical, and fast conversion exists between OH and
335 HO₂ radical. Therefore, the photolysis of HONO can affect the abundance of HO_x radical.
336 Figure 4 presents the average diurnal OH and HO₂ variations based on the simulations with
337 and without the HONO sources (NO_HONO vs. GEHRLPD). The diurnal pattern and
338 magnitude of the simulated OH and HO₂ mixing ratios in the GEHRLPD case were
339 comparable to the previous observations in the PRD region and other areas (Lu et al., 2012;
340 Mao et al., 2010). Compared to the results of the NO_HONO simulation, the daytime average
341 OH and HO₂ values were increased by 175% and 336%, respectively. The integrated reaction
342 rate (IRR) analysis tool available in CMAQ was utilized to explore the contribution of HONO
343 photolysis to HO_x radical production relative to other HO_x radical sources in the surface layer
344 (~0 to 30 m), including the reaction of H₂O with O (1D) which comes from the photolysis of
345 O₃, HCHO photolysis, H₂O₂ photolysis, and the reaction of O₃ with alkenes and biogenic
346 VOCs. As shown in Fig. 5, HONO photolysis was the dominant HO_x source during the
347 daytime at Heshan site. The daytime average contribution of HONO photolysis to HO_x
348 production was approximately 74%, and the contribution during the morning could be above
349 90%. With the increasing of O₃ concentrations from sunrise to afternoon, the contribution of

350 the O₃ photolysis began to increase and reached a peak of 33.4% at 14:00. Compared to the
351 reported results for summer in the previous studies (Czader et al., 2012; Mao et al., 2010;
352 Tham et al., 2016; Xue et al., 2016; Li et al., 2018c), the contribution of HONO photolysis to
353 HO_x was much larger in the present study, mainly due to the high HONO concentrations in
354 this winter episode. For example, Xue et al. (2016) and Li et al. (2018c) reported daytime
355 average contributions of less than 30% from HONO photolysis at two sites in Hong Kong.
356 Tham et al. (2016) showed a contribution of less than 50% in the morning at a site in the
357 North China Plain. In these cases, the HONO levels were relatively low, with the peak HONO
358 levels ranging among 1-3ppb. The high HONO concentrations at Heshan increased the
359 contribution of HONO photolysis to the formation of HO_x in this winter pollution episode.
360 The similar high contribution can be found in other winter studies with high HONO
361 concentrations, e.g. Elshorbany et al. (2010).

362 The enhanced HO_x formation due to HONO chemistry could increase O₃ concentrations.
363 As shown in Fig. 6, the simulated daytime average O₃ mixing ratio at Heshan site in the
364 GEHRLPD case was approximately 24 ppb (70%) higher than that in the NO_HONO case,
365 with a peak increase up to 60 ppb. The O₃ enhancement was much higher than the previous
366 simulation results for the summer cases (Sarwar et al., 2008; Li et al., 2010; Zhang et al.,
367 2016). In addition to higher HONO concentrations, another possible reason was that the
368 VOC/NO_x ratio was lower in winter than that in summer, due to less biogenic VOC emissions
369 and more NO_x emission from fuel combustion in winter. For example, Zou et al. (2015)
370 reported VOC/NO_x values higher than 10:1 in summer and about 5:1 for winter based on
371 1-year observation in Guangzhou, which is about 60 km from the Heshan site. The lower
372 VOC/NO_x ratio increased the sensitivity of O₃ concentrations to HO_x changes through
373 reaction 2 to 4. Therefore, the enhancement of O₃ concentrations was more significant (Li et
374 al., 2018b). Figure 7 presents the spatial distribution of simulated surface O₃ concentrations at
375 15:00 LTC in the NO_HONO and GEHRLPD cases. With HONO sources, the increase of
376 regional average O₃ mixing ratio at 15:00 LTC was 34% (~17 ppb). The above results
377 indicated the significant impacts of HONO chemistry on the atmospheric oxidation capacity
378 and O₃ pollution in the PRD region during this heavy winter episode.

379 3.5 Impacts of HONO chemistry on secondary inorganic aerosol formation

380 Secondary inorganic aerosols, including nitrate, sulfate and ammonium, contributed
381 approximately 50% to $PM_{2.5}$ concentrations during this episode (Fig. S6). Among them,
382 nitrate was the dominant component, with peak concentrations reaching $\sim 110 \mu\text{g}/\text{m}^3$, which
383 was much higher than the sulfate and ammonium concentrations. Particle nitrate can be
384 generated through the partition of HNO_3 to particle phase. The major formation pathway of
385 HNO_3 is the oxidation of NO_2 by OH during the daytime (R11). HNO_3 can also be produced
386 via heterogeneous reactions of N_2O_5 on the particle surface at night (R12 to R14). Therefore
387 HONO chemistry can accelerate the formation of nitrate through the enhancement of
388 atmospheric oxidation capacity (HO_x and O_3).



393 Considering the uncertainty of the partition of gaseous HNO_3 to particle nitrate (see
394 section 3.2), we assessed the impact of HONO chemistry on the sum of HNO_3 and fine
395 particle NO_3^- (TNO_3). As shown in Fig. 8, the average TNO_3 concentrations at Heshan site
396 increased by about $17 \mu\text{g}/\text{m}^3$ (67%), and the peak enhancement reached $55 \mu\text{g}/\text{m}^3$ at 10 am on
397 January 6, when the observed $PM_{2.5}$ and nitrate concentration was at its respective highest
398 level. Figure 9 presents the distribution of simulated average surface TNO_3 concentrations in
399 the NO_HONO and GEHRLPD case. With HONO sources, the regional average TNO_3
400 concentrations were increased by $8.4 \mu\text{g}/\text{m}^3$ for the entire episode. The absolute enhancement
401 was more significant in the areas with higher aerosol concentrations. The highest increase for
402 TNO_3 was above $25 \mu\text{g}/\text{m}^3$ for the episode average. Meanwhile, the HONO chemistry also
403 accelerated the formation of other secondary inorganic aerosols. Particle sulfate can be
404 generated through the partition of H_2SO_4 to the particle phase. The major formation pathways
405 of H_2SO_4 include the gaseous oxidation of SO_2 by OH, and the aqueous oxidation of S(IV) by
406 O_3 , H_2O_2 and other oxidants. The partition of gaseous NH_3 to particle ammonium was based
407 on the H_2SO_4 - HNO_3 - NH_3 thermodynamic equilibrium. The simulated enhancements of the

408 average sulfate and ammonium concentrations at Heshan site were 32% and 33%,
409 respectively. The above results indicated that the HONO chemistry also aggravated the
410 particulate pollution during this episode.

411 **4 Conclusion**

412 This study has identified the major contributors to the observed high HONO levels
413 during a severe winter pollution episode and highlighted the importance of HONO chemistry
414 in the combined photochemical and haze pollution in a subtropical region. Including
415 up-to-date HONO sources in the widely used CMAQ model significantly improved its
416 capability in simulating ambient concentrations of HONO and other major pollutants (e.g. O₃
417 and PM_{2.5}). The model simulations suggested a predominant contribution from NO₂
418 heterogeneous reactions enhanced by humidity and solar radiation. The high HONO
419 concentration significantly increased the atmospheric oxidation capacity and the levels of
420 ozone and secondary aerosols, especially total nitrate. This study highlights the key role of
421 HONO chemistry in the formation of winter haze in a subtropical environment and indicates the
422 critical need to include/update HONO sources in regional air quality models in order to
423 predict ozone and other secondary pollutants during heavy pollution events in southern China
424 and similar regions. Additional efforts are needed to improve current representaiton of HONO
425 sources such as evapation of dew and more accurate simulation of deposited nitrate.

426

427 **Data availability**

428 Model codes and input data are available from Xiao Fu. The measurement data used in this
429 study are available from Tao Wang (cetwang@polyu.edu.hk) and Dingli Yue
430 (dingliyue@163.com).

431 **Author contributions**

432 TW and XF designed the research; XF performed model similations and analysis; LZ and QL
433 assisted in model simulations; YZ, DY, ZW, HY, MX, CY and WW conducted measurements of
434 trace gases and aerosol; JZ processed the PRD emission data; RH processed the weather data.

435 XF and TW wrote the manuscript. All authors contributed to discussion and commented on the
436 paper.

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Tables

Table 1. Model performance of the default and revised CMAQ model for O₃, PM_{2.5} and NO₂ at 56 monitoring sites in the PRD region

		OBS ($\mu\text{g}/\text{m}^3$)	SIM ($\mu\text{g}/\text{m}^3$)	Bias ($\mu\text{g}/\text{m}^3$)	NMB (%)	NME (%)	R
8h_max O ₃	GEH (CMAQ-default)	84.79	56.55	-28.24	-33.3	42.69	0.29
	GEHRLPD (CMAQ-revised)		85.42	0.63	0.74	41.76	0.31
hourly PM _{2.5}	GEH (CMAQ-default)	78.75	72.34	-6.40	-8.13	46.6	0.60
	GEHRLPD (CMAQ-revised)		82.44	3.69	4.68	50.64	0.61
hourly NO ₂ *	GEH (CMAQ-default)	53.39	64.39	11.00	20.61	49.51	0.64
	GEHRLPD (CMAQ-revised)		58.42	5.03	9.42	43.75	0.67

The NO₂ observation data were adjusted based on the method of Zhang et al. (2017): $NO_{2\text{ obs}} = NO_{2\text{ obs}}^ \times \frac{NO_{2\text{ sim}}}{NO_{2\text{ sim}} + NO_{z\text{ sim}} - Nitrate_{\text{sim}}}$, where $NO_{2\text{ obs}}^*$ is the measured concentration of NO₂ by the catalytic conversion technique; $NO_{2\text{ sim}}$, $NO_{z\text{ sim}}$ and $Nitrate_{\text{sim}}$ are the simulated concentrations of NO₂, NO_z and nitrate.

Figure Captions

- Fig.1. Model domains and locations of the monitoring sites. Green star represents Heshan site and red dots represent official monitoring sites in the PRD region from the Ministry Environmental Protection of China
- Fig.2. Observed and simulated HONO mixing ratios by the default and revised CMAQ model at Heshan site during 4-8 January 2017.
- Fig.3. Average diurnal variations of contributions of different HONO sources to the simulated HONO mixing ratios at Heshan site for 4-8 January 2017. The HONO sources include gas-phase homogeneous reaction of NO and OH (G), vehicle emissions (E), heterogeneous reactions under dark condition with the RH of 50% (H), RH-enhancing effects on heterogeneous reactions (R), light-enhancing effects on heterogeneous reactions (L), photolysis of particulate nitrate in the atmosphere (P) and photolysis of HNO₃/nitrate deposited on the surface (D)
- Fig.4. Average diurnal variations of OH and HO₂ mixing ratios simulated in the NO_HONO and GEHRLPD case at Heshan site during 4-8 January 2017.
- Fig.5. Average diurnal variations of contributions of different reactions to HO_x generation at Heshan site.
- Fig.6. Temporal variation of observed and simulated O₃ mixing ratios in the NO_HONO and GEHRLPD case at Heshan site during 4-8 January 2017.
- Fig.7. Spatial distributions of O₃ mixing ratios at 15:00 LTC simulated in the NO_HONO and GEHRLPD case, and their difference for domain 3.
- Fig.8. Temporal variation of observed and simulated total nitrate, sulfate, and ammonium concentrations in the NO_HONO and GEHRLPD case at Heshan site during 4-8 January 2017.
- Fig.9 Spatial distributions of average TNO₃ concentrations simulated in the NO_HONO and GEHRLPD case, and their difference for domain 3 during 4-8 January 2017.

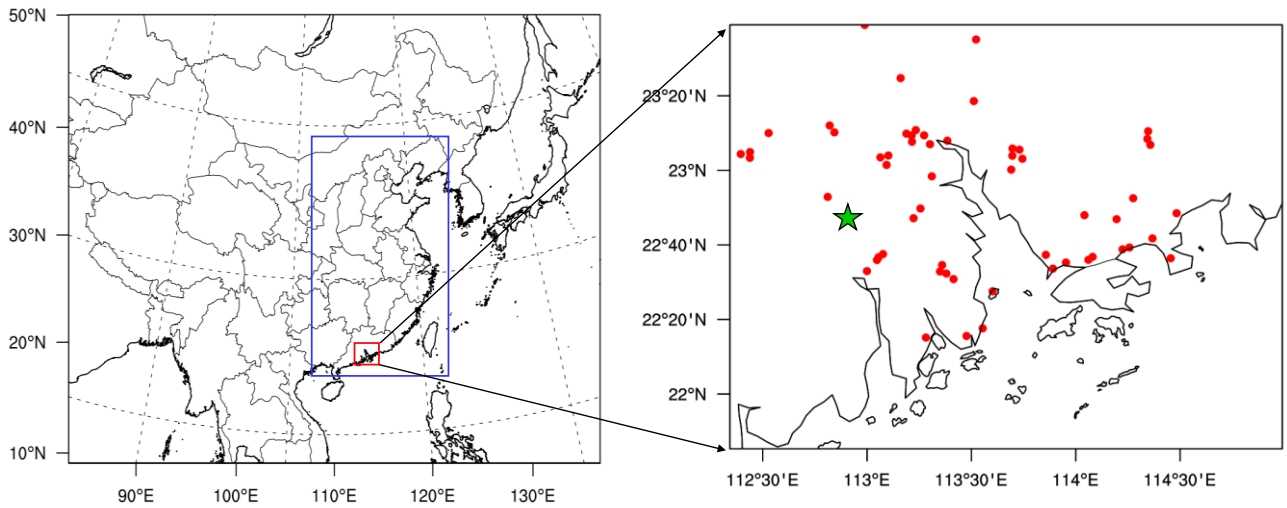


Fig.1. Model domains and locations of the monitoring sites. Green star represents Heshan site and red dots represent official monitoring sites in the PRD region from the Ministry Environmental Protection of China

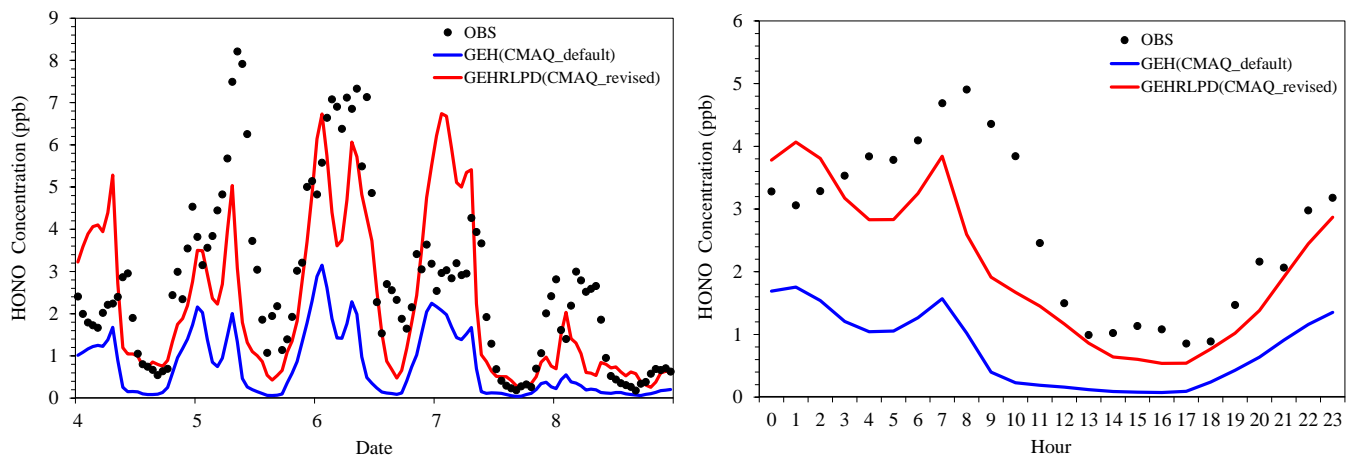


Fig.2. Observed and simulated HONO mixing ratios by the default and revised CMAQ model at Heshan site during 4-8 January 2017.

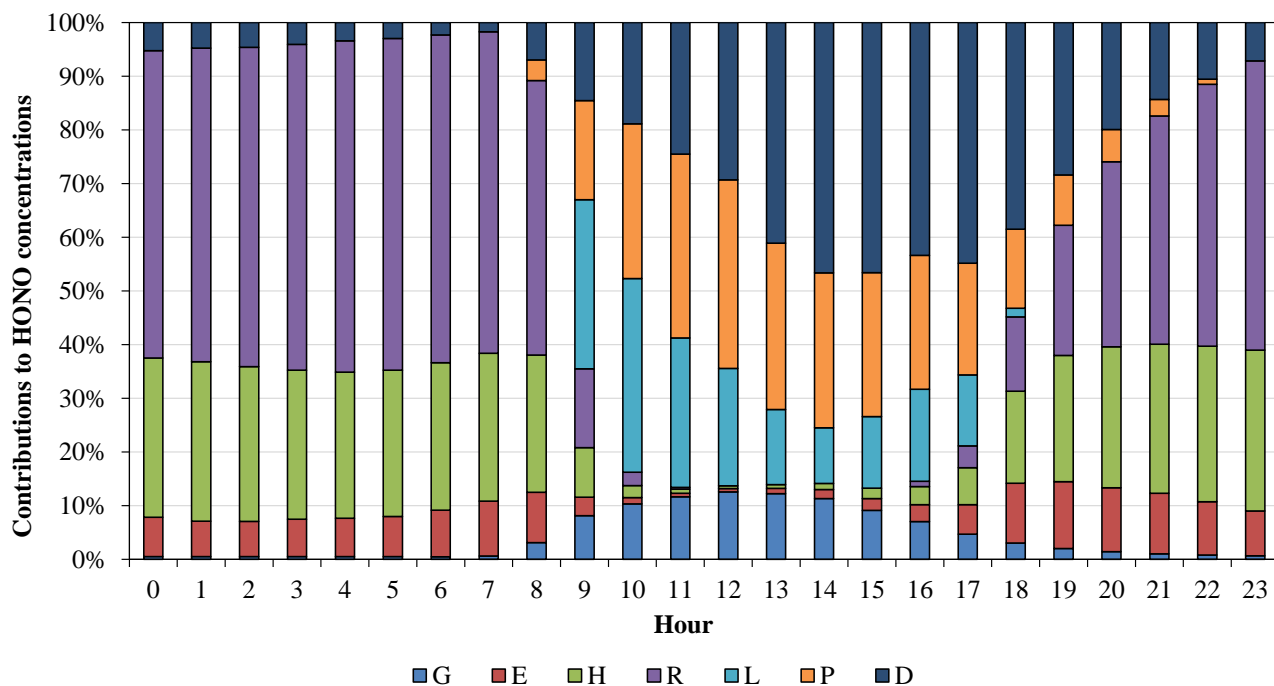


Fig.3. Average diurnal variations of contributions of different HONO sources to the simulated HONO mixing ratios at Heshan site for 4-8 January 2017. The HONO sources include gas-phase homogeneous reaction of NO and OH (G), vehicle emissions (E), heterogeneous reactions under dark condition with the RH of 50% (H), RH-enhancing effects on heterogeneous reactions (R), light-enhancing effects on heterogeneous reactions (L), photolysis of particulate nitrate in the atmosphere (P) and photolysis of HNO₃/nitrate deposited on the surface (D)

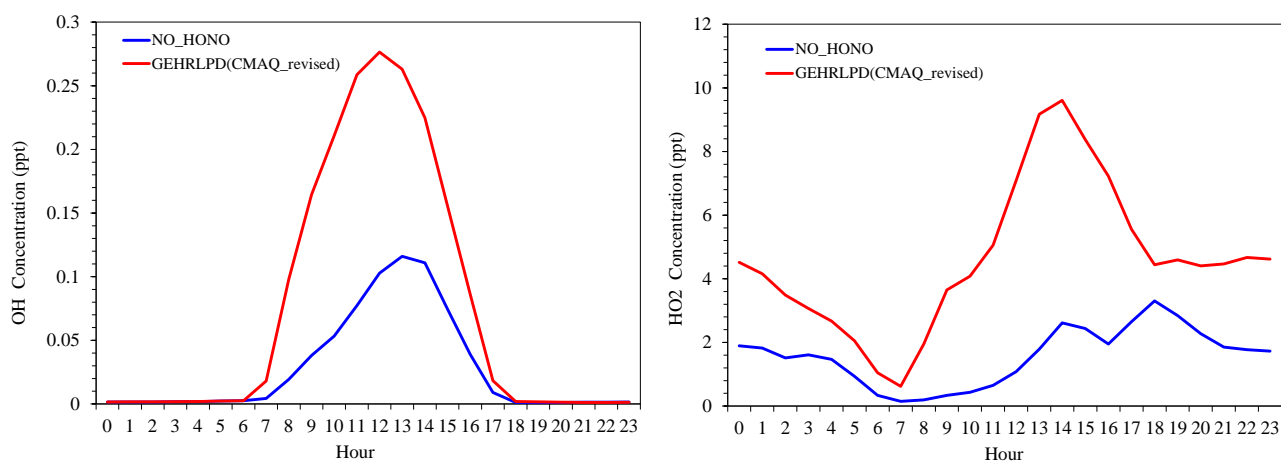


Fig.4. Average diurnal variations of OH and HO₂ mixing ratios simulated in the NO_HONO and GEHRLPD case at Heshan site during 4-8 January 2017.

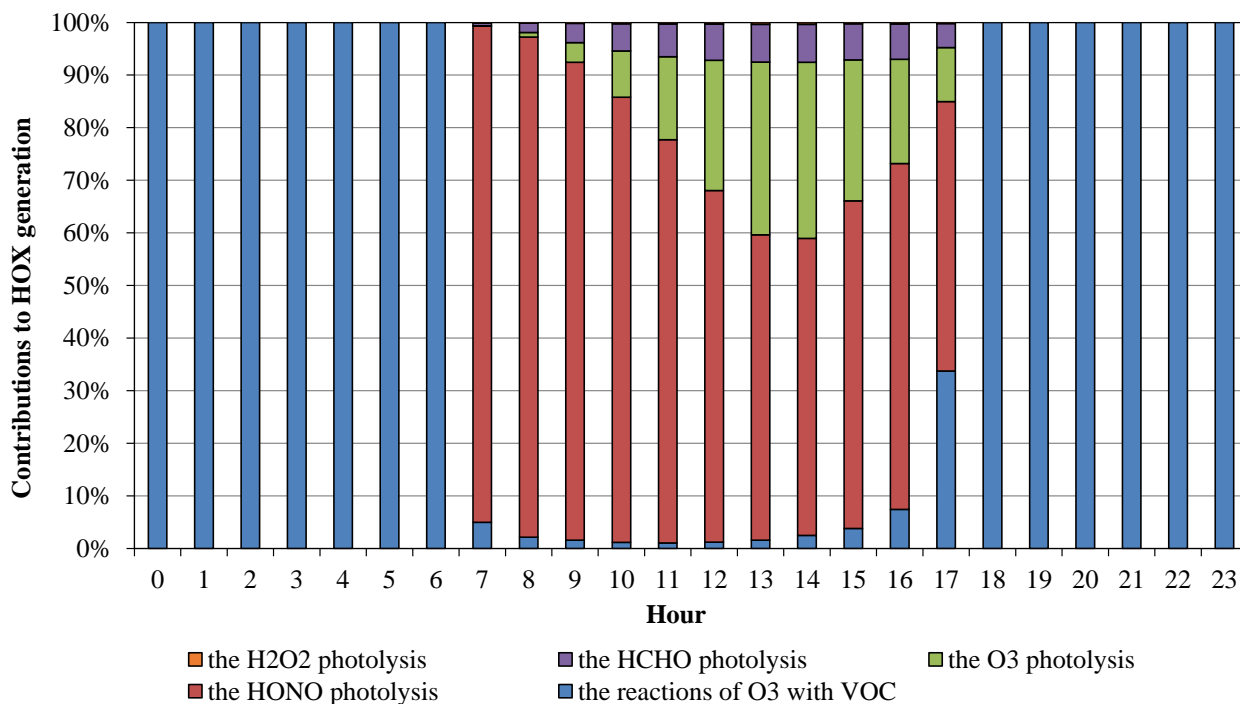


Fig.5. Average diurnal variations of contributions of different reactions to HO_x generation at Heshan site.

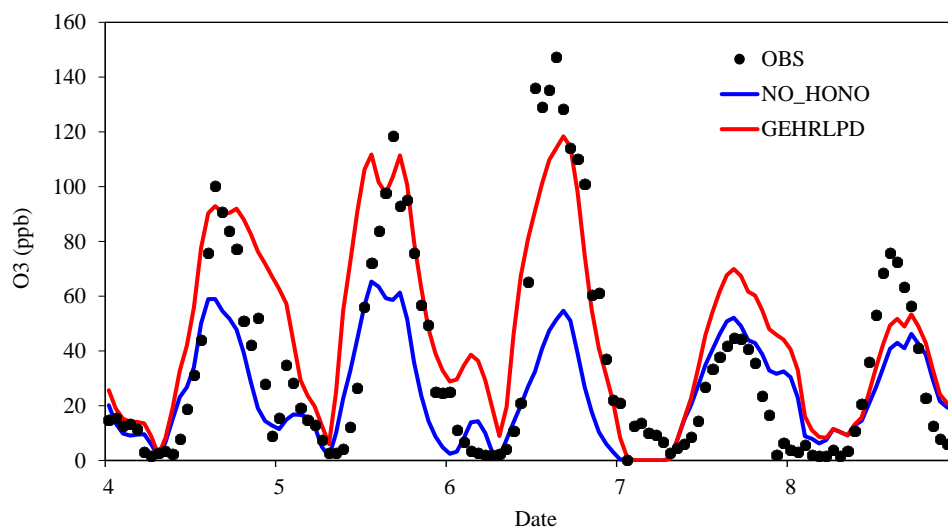


Fig.6. Temporal variation of observed and simulated O₃ mixing ratios in the NO_HONO and GEHRLPD case at Heshan site during 4-8 January 2017.

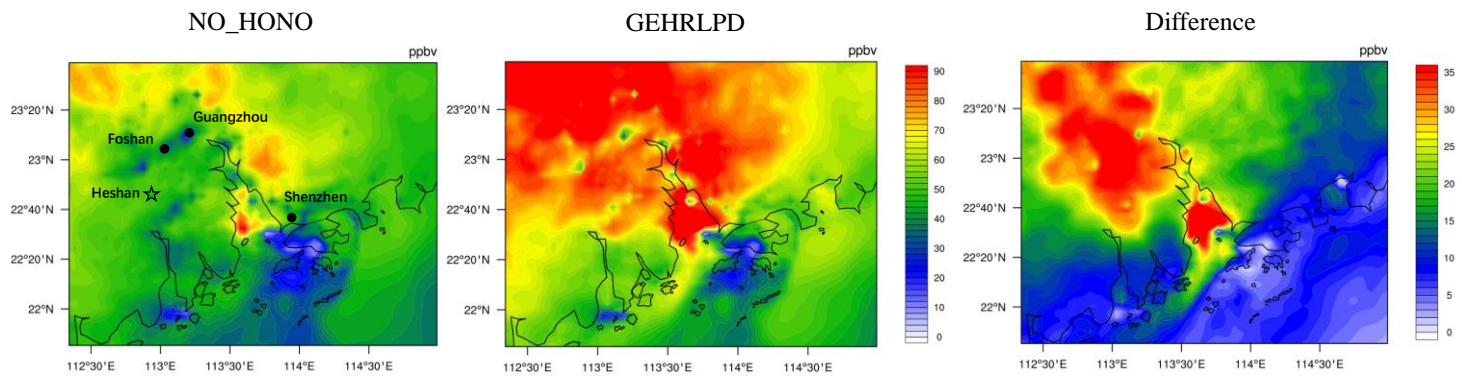


Fig.7. Spatial distributions of O_3 mixing ratios at 15:00 LTC simulated in the NO_HONO and GEHRLPD case, and their difference for domain 3.

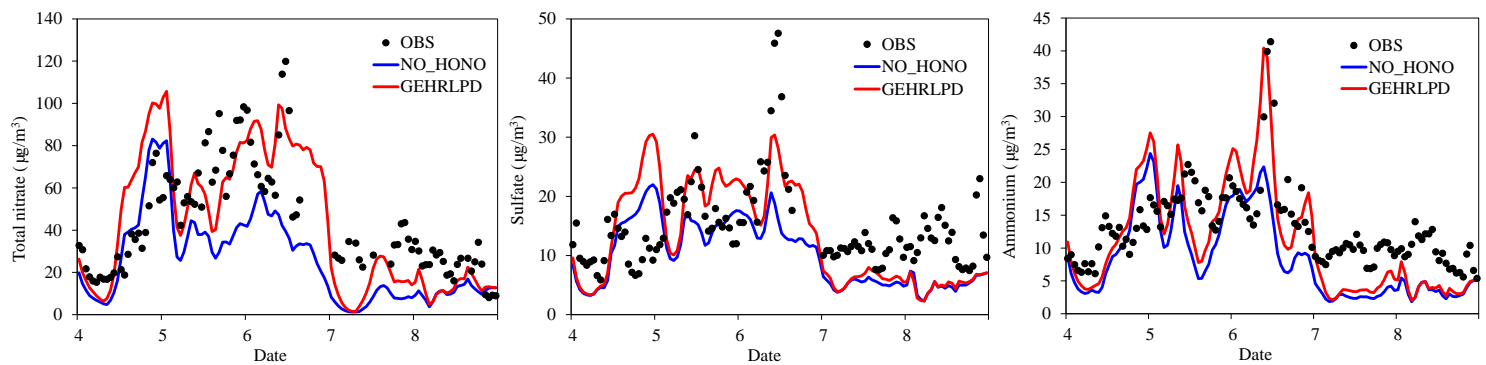


Fig.8. Temporal variation of observed and simulated total nitrate, sulfate, and ammonium concentrations in the NO_HONO and GEHRLPD case at Heshan site during 4-8 January 2017.

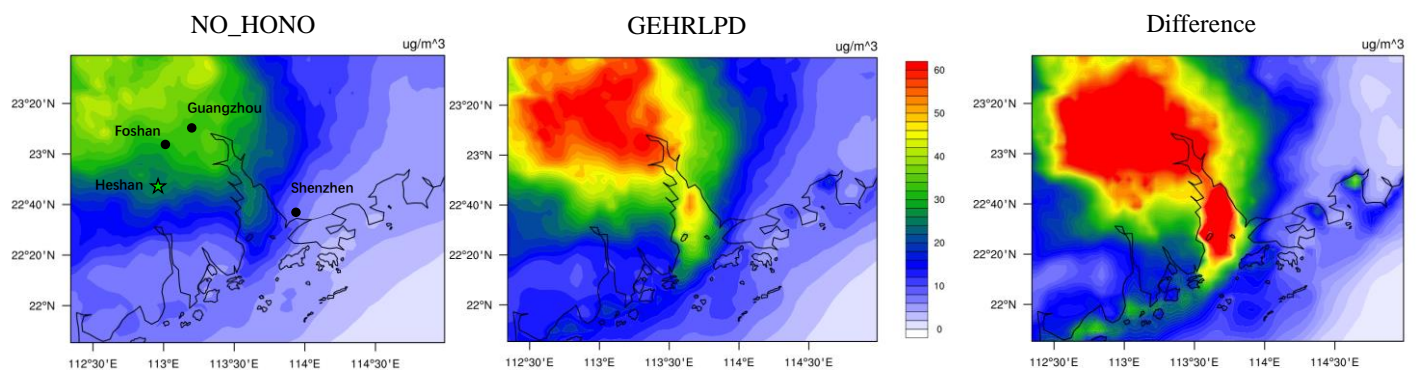


Fig.9 Spatial distributions of average TNO_3 concentrations simulated in the NO_HONO and GEHRLPD case, and their difference for domain 3 during 4-8 January 2017.