

**Response to reviewers' comment on "Long-term measurements of ground-level ozone in Windsor, Canada – Part I. temporal variations and trends" by Xiaohong Xu et al.**

Anonymous Referee #1

Received and published: 23 January 2019

The authors applied the method presented by Akimoto et al. (2015) to the analysis of long-term trend of O<sub>3</sub> and NO<sub>x</sub> in the city of Windsor. Although the originality of the idea is not very high, the analysis is the most comprehensive of this kind of research and the paper provides a useful view of the impact of the emission control of NO<sub>x</sub> on O<sub>3</sub> concentration. The presentation quality of the scientific results is good, and I recommend the manuscript is published in the present form.

Response: Thank you for valuing our work. No change is required. However, following the suggestions of another reviewer, we have amended the manuscript to highlight the original contribution of this study (Line 84-91). Track changes were used in the marked-up manuscript uploaded online. The line numbers refer to the marked-up manuscript.

Anonymous Referee #2

Received and published: 27 December 2018

The authors investigate temporal variations and long-term (1996-2015) trend of ground-level O<sub>3</sub> and its precursors (NO<sub>x</sub> and VOCs) at two urban sites in Windsor, Ontario, Canada. They looked into trend of ozone and total ozone (O<sub>3</sub>+NO<sub>2</sub>) in different months of year and different time of day. The analysis showed decreased O<sub>3</sub> titration, reduced local photochemical production of O<sub>3</sub>, and increased background O<sub>3</sub> level during the study period. The authors suggest that these factors are the reasons for the increased annual O<sub>3</sub> concentration in the study area.

This study provides useful results for assessing and further developing O<sub>3</sub> control measures in city of Windsor and adds to the data base on surface ozone changes in North American cities. However, there is limited novelty on data analysis method and little new insight into the ozone processes.

IGAC's Tropospheric Ozone Assessment Report project

<http://www.igacproject.org/activities/TOAR>) has analyzed the trends of surface ozone in the world and offered general discussions on its relationship with its precursors in different parts of the globe. Other previous papers have examined the trends and discussed the factors influencing the trend in individual city/location. It is unclear how the present study advances our understanding of the ozone trend and driving factors. I suggest the authors add more in-depth analysis and discussion of the data, perhaps by reducing some general descriptions of the data and incorporating findings they intend to put in part II of analysis of this dataset.

Response: Thank you for valuing our work and for your insightful suggestions. This study particularly focused on Windsor, Ontario, an urban location where high ozone levels were often observed. Relative to the IGAC's Tropospheric Ozone Assessment Report project, long-term measurements of both ozone and its precursors were evaluated to advance the understanding of different ozone trends (i.e., peak ozone levels vs. annual averages). The study findings showed the effectiveness of emissions control policies implemented in Canada and the U.S. We have amended the manuscript as follows to highlight the original contribution of this study (Line 84-91). Track changes were used in the marked-up manuscript uploaded online. The line numbers refer to the marked-up manuscript.

"Built on our understanding of spatial variations (Mills et al., 2018; Fleming et al., 2018), this study evaluated temporal variations and trends of ground-level O<sub>3</sub> and its precursors (NO<sub>x</sub> and VOC) in Windsor, an urban location in Southern Ontario, Canada, during the 20-year study period of 1996-2015. The main objective was to identify the driving force of long-term trends of O<sub>3</sub> concentrations in Windsor during the past 20 years, as well as seasonal and diurnal variations. Findings of this study will shed light on the effectiveness of emission control policies and help develop feasible approaches to reducing O<sub>3</sub> concentrations in this region." Ask Lisa

The Part II of this study focuses on the influence of meteorological conditions and regional transport on smog season O<sub>3</sub> in Windsor. We feel that the findings may not align well with the current manuscript.



9 **Abstract**

10 This study investigates temporal variations and long-term (1996-2015) trends of ground-  
11 level O<sub>3</sub> (ozone) and its precursors, NO<sub>x</sub> (nitrogen oxides) and volatile organic compounds in  
12 Windsor, Ontario, Canada. During the 20-year study period, NO<sub>x</sub>, non-methane hydrocarbon  
13 concentrations and ozone formation potential decreased significantly by 58%, 61%, and 73%,  
14 respectively, while O<sub>3</sub> concentrations increased by 33% (20.3 ppb in 1996 vs. 27 ppb in 2015).  
15 Our analysis revealed that the increased annual O<sub>3</sub> concentrations in Windsor were due to 1)  
16 decreased O<sub>3</sub> titration (by 50% between 1996 and 2015) owing to declining nitric oxide  
17 concentrations, which is suggested by a slightly decreasing trend of annual mean total O<sub>3</sub>  
18 concentrations after the titration effect is removed, 2) reduced local photochemical production of  
19 O<sub>3</sub>, because of dwindling precursor emissions, and 3) increased background O<sub>3</sub> level that has  
20 more impact on the low-to-median concentrations. The net effect of those factors is decreasing  
21 peak O<sub>3</sub> levels during the smog season from May to September, but an overall increasing trend  
22 of annual means. These results indicate that the emission control measures are effective in  
23 reducing peak ozone concentrations. However, challenges in lowering annual O<sub>3</sub> levels call for  
24 long-term collaborative efforts in the region and around the globe.

25

26 **1. Introduction**

27 Ozone (O<sub>3</sub>) at the ground-level is a main component of smog. Exposure to high O<sub>3</sub>  
28 concentrations causes wheezing and shortness of breath, resulting in absence from schools and  
29 hospital admissions (USEPA, 2018). People with respiratory diseases, children, and elders are at  
30 higher risks from O<sub>3</sub> exposure. Recent studies suggest that long-term exposure to high O<sub>3</sub> levels  
31 is associated with permanent lung damage and deaths from respiratory causes (USEPA, 2018).  
32 High O<sub>3</sub> concentrations also result in reduced crop yields by inhibiting breathing ability of  
33 plants, slowing down the photosynthesis rates, and making plants more susceptible to diseases  
34 (IDNR, 2018).

35 As a secondary air pollutant, ground-level O<sub>3</sub> is formed by photochemical reactions between  
36 nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOCs) in the presence of sunlight.  
37 Non-methane hydrocarbons (NMHCs) are more reactive than methane and other VOCs in forming

38 ozone (NAS, 1999); therefore, NMHCs are used to represent O<sub>3</sub> precursors (e.g. Jun et al., 2007;  
39 Akimoto et al., 2015). Because the reactivity of each NMHC is different, Carter (1994) and other  
40 researchers used O<sub>3</sub> formation potential (OFP) to quantify contributions of individual NMHCs or a  
41 group of NMHCs (Jia et al., 2016). Similarly, a study in Hong Kong investigated associations  
42 between O<sub>3</sub> and its precursors, i.e. NO<sub>x</sub> and 21 NMHCs during 2005-2014 (Wang et al., 2017). O<sub>3</sub>  
43 concentrations in Hong Kong increased (0.56 ppb/year, p<0.01) while NO<sub>x</sub> decreased (-0.71  
44 ppb/year, p<0.01). The study further showed that there were no significant changes in NMHCs (-  
45 0.03 ppb/year, p>0.1) during the 10-year study period. Nevertheless, the calculated daytime average  
46 contribution to O<sub>3</sub> concentrations by aromatics decreased (-0.23 ppb/year, p<0.05), while that by  
47 alkenes increased (0.14 ppb/year, p<0.05) and that by alkanes and biogenic VOCs did not change  
48 significantly (-0.04 ppb/year, 0.24ppb/year, respectively, p>0.05) (Wang et al., 2017).

49 In Ontario, Canada, emissions of NO<sub>x</sub> and VOCs decreased by 52% (from 651 to 311 kilo  
50 tonnes) and 54% (from 789 to 363 kilo tonnes) respectively during 1996-2015 (ECCC, 2018a).  
51 However, Ontario-wide O<sub>3</sub> composite mean increased by 22% from 22.4 ppb in 1996 (MOE, 2006)  
52 to 27.4 ppb in 2015 (MOECC, 2017). Previous studies showed that changes in O<sub>3</sub> concentrations  
53 were attributed to background O<sub>3</sub> and changes in photochemical O<sub>3</sub> production caused by the  
54 decrease in NO<sub>x</sub> and VOC concentrations (e.g. Shin et al., 2012). Because NO (nitric oxide) reacts  
55 with O<sub>3</sub> to form NO<sub>2</sub> (nitrogen dioxide) and O<sub>2</sub> (also known as NO titration), decreased NO  
56 concentrations may lead to increases in O<sub>3</sub> concentrations due to weakened titration effect (Sicard et  
57 al, 2011, Akimoto et al., 2015). To remove the impact of the NO titration on ambient O<sub>3</sub>  
58 concentrations, “total ozone” (TO) was previously employed in trend analysis. For example,  
59 Akimoto et al. (2015) used TO in their ambient ozone study in four areas in Japan where O<sub>3</sub>  
60 concentrations were high (i.e., Tokyo, Nagoya, Osaka, and Fukuoka). During the 20-year study  
61 period, NO concentrations decreased from 16 ppb in 1990 to 6 ppb in 2010. The increasing rates of  
62 annual TO (0-0.22 ppb/year) were much smaller than those of O<sub>3</sub> (0.22-0.37 ppb/year) in the four  
63 areas during 1990-2010. The authors concluded that the decrease in the NO titration effect was one  
64 of the causes for the increased O<sub>3</sub> concentrations in Japan.

65 Recently, continuous O<sub>3</sub> observations (2-years or longer) from more than 9,600 stationary  
66 platforms around the world were assembled to assess a suite of metrics relevant to its impact on  
67 human health, vegetation, and climate under the International Global Atmospheric Chemistry  
68 (IGAC)’s Tropospheric Ozone Assessment Report (TOAR) project (Schultz et al., 2017; IGAC,

69 2018). Using 2010–2014 means from over 3300 vegetation sites, the highest ozone levels were  
70 found in mid-latitudes of the northern hemisphere, including southern USA, the Mediterranean  
71 basin, northern India, north, north-west and east China, the Republic of Korea and Japan (Mills et  
72 al., 2018). In a study of over 2,000 monitoring sites worldwide, negative (i.e. decreasing) trends in  
73 peak O<sub>3</sub> concentrations (i.e. 4th highest daily maximum 8-hour average) were observed at most  
74 North American sites and at some European sites, with very few sites exhibited positive trends  
75 (Fleming et al., 2018). Similar studies reported that O<sub>3</sub> levels (monthly mean of the daytime average  
76 and monthly mean of the daily maximum 8-hour average) continued to decrease significantly over  
77 eastern North America and Europe, while Asia experienced increasing O<sub>3</sub> concentrations through the  
78 end of 2014 (Chang et al., 2017; Gaudel et al., 2018). In Eastern North America, summertime  
79 daytime averages and daily maximum 8-hour concentrations declined at a slower rate at urban sites  
80 than at rural sites during 2000-2014 (Chang et al., 2017). Those studies showed that, over North  
81 America and Europe, decreasing peak O<sub>3</sub> levels is attributable to reduction in precursor emissions  
82 and a relatively slower decreasing rate at urban locations suggests weakened O<sub>3</sub> titration. In Asia,  
83 growing precursor emissions led to increasing ozone concentrations.

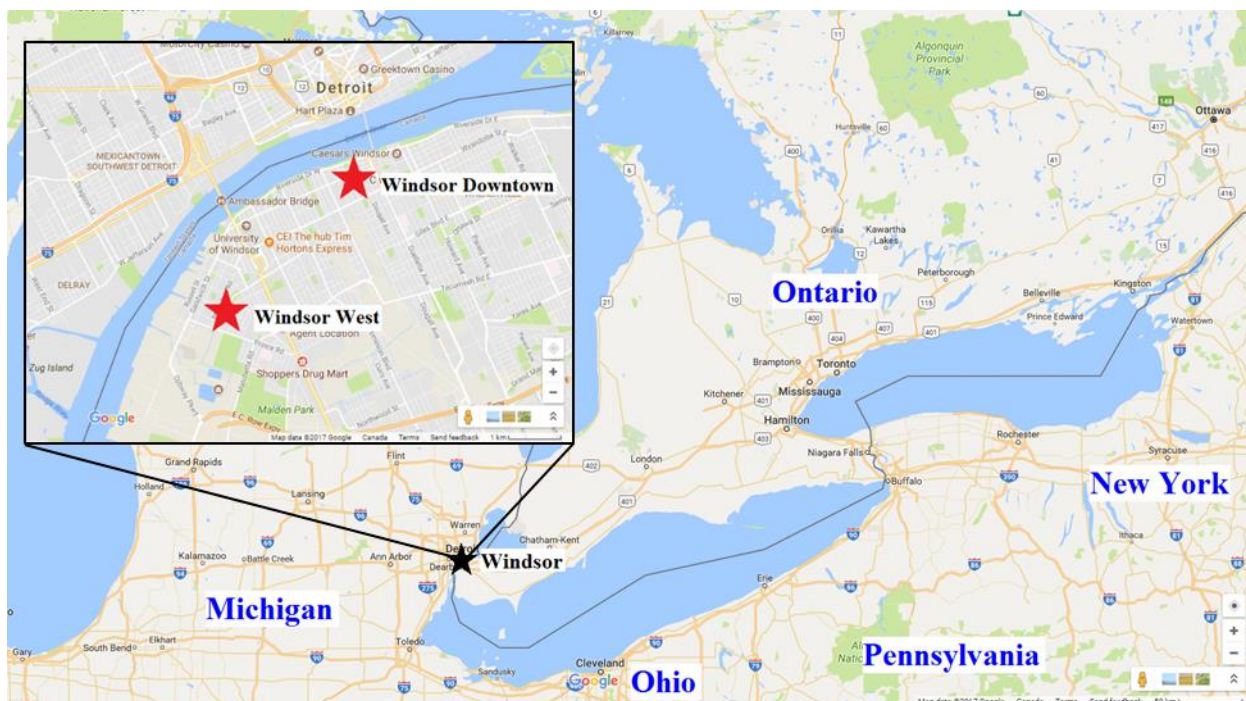
84 [Built on our understanding of spatial variations \(Mills et al., 2018; Fleming et al., 2018\), this](#)  
85 [study](#) ~~The objectives of this study were 1) to~~ evaluated temporal variations and trends of ground-  
86 level O<sub>3</sub> and its precursors (NO<sub>x</sub> and VOC) in Windsor, an urban location in Southern Ontario,  
87 Canada, during the 20-year study period of 1996-2015. ~~The main objective was, and 2)~~ to identify  
88 the driving force of long-term trends of O<sub>3</sub> concentrations in Windsor during the past 20 years,  
89 as well as seasonal and diurnal variations. Findings of this study will shed light on the  
90 effectiveness of emission control policies and [help develop](#) feasible approaches to reducing O<sub>3</sub>  
91 concentrations ~~in this region in the near future~~.

## 92 **2. Methodology**

### 93 **2.1 Selection of station in Windsor**

94 There are two air quality monitoring stations in Windsor: Windsor Downtown and Windsor  
95 West which are 3.5 km apart (Figure 1). Both stations monitor O<sub>3</sub> and a number of common air  
96 pollutions (e.g. NO, NO<sub>2</sub>, NO<sub>x</sub>, SO<sub>2</sub>, and PM<sub>2.5</sub>) (MECP, 2018). The Windsor Downtown  
97 station was selected in this study due to 1) fewer invalid or missing O<sub>3</sub> values (1824 vs. 2660  
98 during 1996-2015), and 2) a longer record of NO, NO<sub>2</sub>, and NO<sub>x</sub> data available (1996-2015)

99 compared to the Windsor West station (2001-2015). Twenty-four-hour VOC samples were  
100 collected once every six days at the Windsor West station (ECCC, 2016).



101  
102 **Figure 1.** Air quality monitoring stations in Windsor, Ontario, Canada

103  
104 **2.2 Data sources**

105 Hourly O<sub>3</sub>, NO, NO<sub>2</sub>, and NO<sub>x</sub> concentrations in Windsor (1996-2015) were obtained from  
106 the Ontario Ministry of the Environment, Conservation and Parks (MECP). Twenty-four-hour  
107 VOC data at Windsor West station during 1996-2015 were downloaded from National Air  
108 Pollution Surveillance (NAPS) website (ECCC, 2018b).

109 **2.3 Data processing**

110 **2.3.1 O<sub>3</sub>, NO, NO<sub>2</sub>, NO<sub>x</sub>, and VOC concentrations and ratios**

111 Numbers of data flags “-999” (i.e., invalid data), blank cells, and “0” data points in hourly O<sub>3</sub>,  
112 NO, NO<sub>2</sub>, and NO<sub>x</sub> concentrations were counted by year. Then data flags “-999” were replaced  
113 with blank cells to maintain consecutive date/time for individual pollutants. If the total  
114 percentage of data flags and blank cells is greater than 40% (3504 hour/year) in a year, data in  
115 that year is considered invalid and excluded from further analysis. This is the case for hourly

116 NO, NO<sub>2</sub>, and NO<sub>x</sub> concentrations in 2003. Results of data screening can be found in Zhang  
117 (2016) and in the Supplemental Materials (Table S1).

118 There are 176 VOC compounds reported in the NAPS dataset, of which 118 were used in this  
119 study. Missing samples were identified by comparing the sampling schedule with the dates of  
120 available samples in each year. Blank and “0” cells were counted for individual compounds in  
121 each year. A compound is excluded from analysis if the total number of blank and “0” cells is  
122 greater than 70% during the study period of 1996-2015. Blank and “0” cells were also counted  
123 for each sample. Samples with less than 60% compounds registered valid readings were  
124 removed. To reduce the undue influence of a few unusual events with extremely high  
125 concentrations, outliers were identified and removed.

126 Sixteen NMHCs were excluded from analysis, because less than 30% of samples had valid  
127 readings. Thus, 102 compounds were retained for further analysis. Out of 877 samples, 14 were  
128 excluded. The rest 863 samples each had at least 60% compounds with valid readings (range  
129 64%-100%, mean=88%, median=91%) and they were used to calculate total NMHCs and OFPs.  
130 Daily NO<sub>x</sub>/total NMHCs ratios (referred as NO<sub>x</sub>/VOC ratios) were calculated for the dates  
131 when VOC data are available. Hourly NO<sub>2</sub>/NO<sub>x</sub> ratios were calculated as well.

### 132 **2.3.2 Total O<sub>3</sub> concentrations**

133 Following Akimoto et al. (2015), TO in Windsor were calculated with equation (1),  
134

$$\begin{aligned} 135 \quad [TO] &= [O_3] + [NO_2] - 0.1 * [NO_x] \\ 136 \quad &= [O_3] + [DO_3] \end{aligned} \quad (1)$$

137 where DO<sub>3</sub> ([NO<sub>2</sub>] - 0.1 \* [NO<sub>x</sub>]) represents loss of O<sub>3</sub> due to in situ NO titration; [O<sub>3</sub>], [NO<sub>2</sub>], and  
138 [NO<sub>x</sub>] are hourly concentrations; and the constant 0.1 is the fraction of NO<sub>2</sub> in primary NO<sub>x</sub>  
139 emissions (Itano et al., 2007). In this study, the NO<sub>2</sub> fraction was determined from the slopes of  
140 regression of [O<sub>x</sub>] (= [O<sub>3</sub>] + [NO<sub>2</sub>]) vs. [NO<sub>x</sub>] in Windsor in each year during the morning NO  
141 and NO<sub>2</sub> peak hours (from 5:00 to 8:00) as described in Kurtenbach et al. (2012). The 20-year  
142 average fraction was 0.1, consistent with that in the previous O<sub>3</sub> study in Japan (Itano et al.,  
143 2007).

### 144 **2.3.3 NMHC concentrations and ozone formation potential**

145 OFPs for individual VOC compounds were calculated using equation (2) as described in Yan  
146 et al. (2017),

$$147 \text{OFP}_i = \text{Conc}_i * \text{MIR}_i \quad (2)$$

148 where  $\text{Conc}_i$  ( $\mu\text{g}/\text{m}^3$ ) is the ambient concentration of the  $i$  th NMHC, and  $\text{MIR}_i$  is the  
149 corresponding maximum incremental reactivity coefficient in the unit of grams ozone formed  
150 per gram VOC added in the system (Carter, 1999). OFPs for individual samples in each year  
151 were calculated.

### 152 **2.4 Temporal variation and trend**

153 The analysis of variance (ANOVA) was used to determine whether there were statistical  
154 differences in  $\text{O}_3$  and TO concentrations between weekdays and weekends. Linear regression  
155 was employed to examine long-term (1996-2015) trends of 1) annual means and means in the  
156 smog (May-September) and non-smog season (October-April) for  $\text{O}_3$  and TO, 2) annual mean  
157 for NO,  $\text{NO}_2$ ,  $\text{NO}_x$ , OFP,  $\text{DO}_3$ , NMHC concentrations and the ratio of  $\text{NO}/\text{NO}_x$ , 3) various  
158 annual percentile levels (5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup>) of hourly  $\text{O}_3$  and TO.

159 Hourly  $\text{O}_3$ , TO, and  $\text{DO}_3$  concentrations do not follow a normal distribution. Thus, the Mann-  
160 Kendall test, a non-parametric trend detection method (Gilbert, 1987) was used to detect long-  
161 term trends in each month of a year and at each hour in a day. Sens method (Sen, 1968) was  
162 used to estimate the slope of seasonal and diurnal trends when the trend is significant at the 95%  
163 level. Long-term trends of  $\text{O}_3$  and TO in Windsor were compared to quantify the impact of the  
164 NO titration on  $\text{O}_3$  concentrations.

165 All analysis outlined in sections 2.3-2.4 were carried out in Minitab release 16 (Minitab Inc.,  
166 State College, Pennsylvania, USA) and MATLAB release 2017a (The MathWorks, Inc., Natick,  
167 Massachusetts, USA).

## 168 **3. Results and discussion**

### 169 **3.1 General statistics**

170 As shown in Table 1, the 20-year mean  $\text{O}_3$  concentration was 24 ppb in Windsor. Higher  $\text{O}_3$   
171 levels were observed in the smog season than the non-smog season, reflecting photochemical



172 production under sunny and warm conditions. TO concentrations were higher than O<sub>3</sub>  
 173 concentrations in all seasons and at all concentration percentile levels because TO includes the  
 174 fraction of O<sub>3</sub> lost through the NO titration. TO concentrations showed lower variability (i.e.,  
 175 lower coefficient of variation) than O<sub>3</sub> concentrations, which is expected because O<sub>3</sub> reacts with  
 176 NO while TO is not affected by the NO titration (Akimoto et al., 2015).

177

178 **Table 1.** General statistics of O<sub>3</sub> and TO concentrations in Windsor during 1996-2015. (SD and  
 179 CV stand for standard deviation and coefficient of variation, respectively)

Pollutant	Season	Mean (ppb)	SD (ppb)	CV (%)	Min (ppb)	25 <sup>th</sup> (ppb)	Median (ppb)	75 <sup>th</sup> (ppb)	Max (ppb)	Sample size
O <sub>3</sub>	All months	24	17	71	0	11	22	34	128	171624
	Smog	32	19	59	0	18	30	44	128	72387
	Non- Smog	18	13	71	0	7	17	27	85	99237
TO	All months	39	14	36	0	30	37	46	138	161459
	Smog	45	17	37	0	33	43	54	138	68270
	Non- Smog	35	11	29	0	28	34	41	118	93189

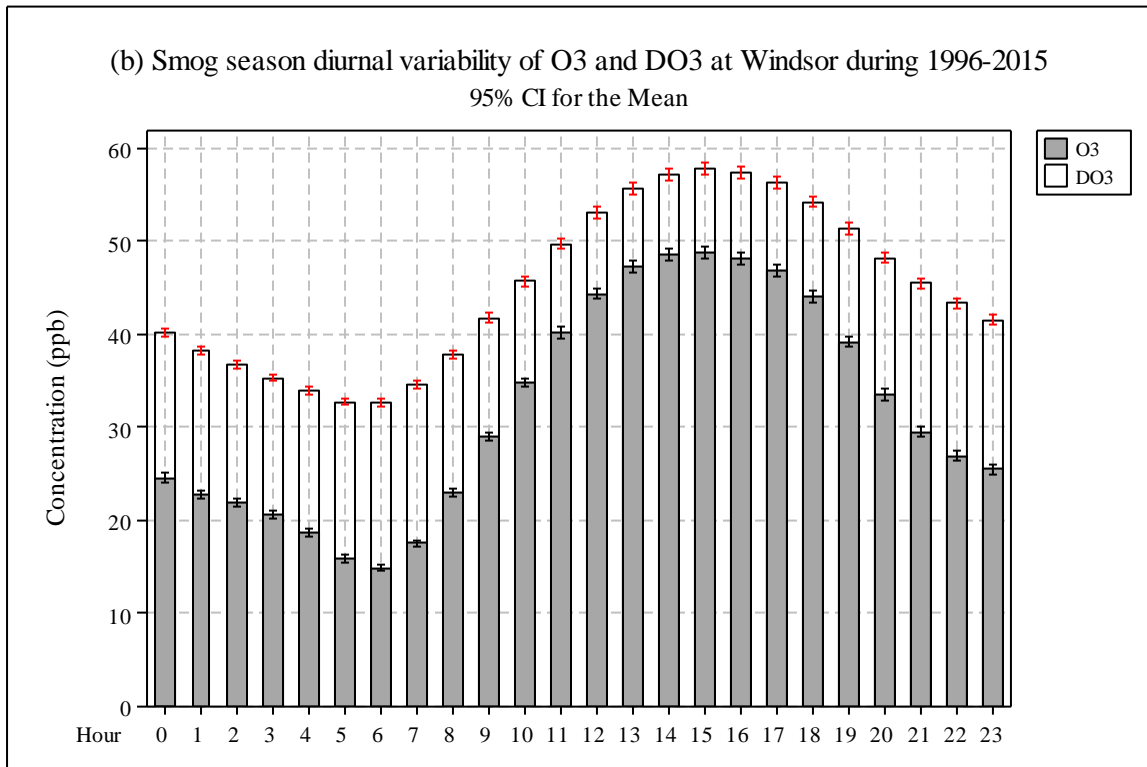
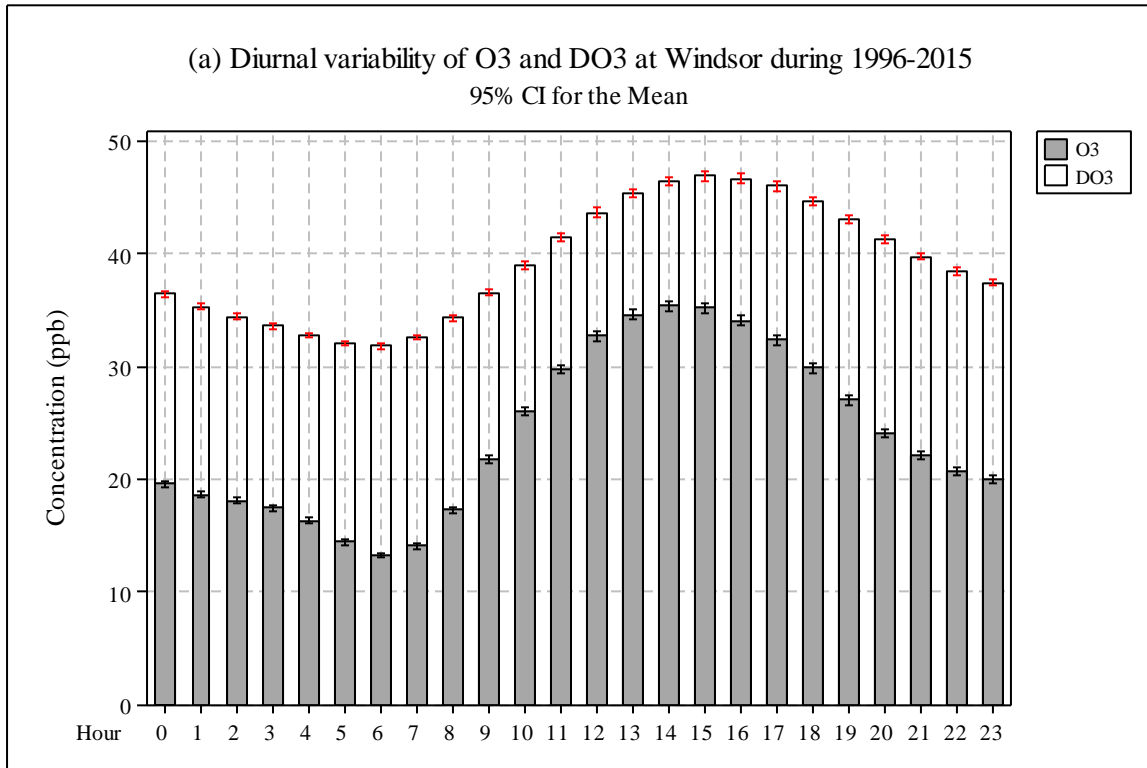
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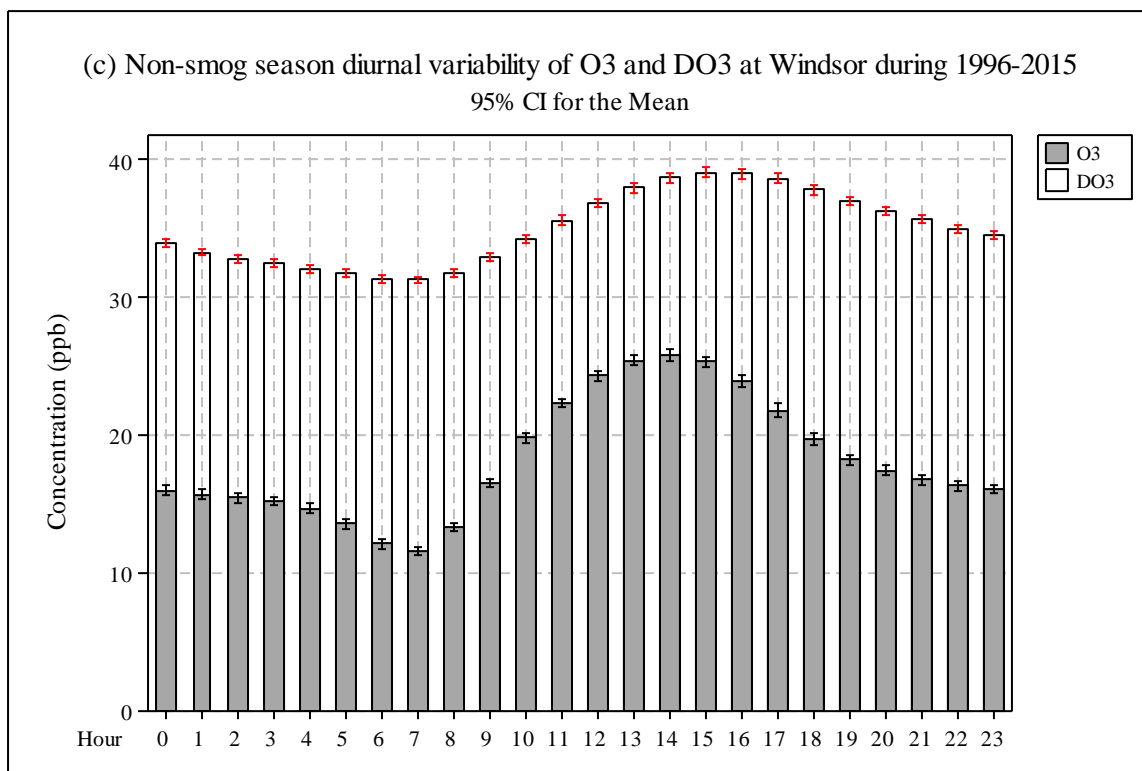
## 181 3.2 Diurnal, seasonal and weekday-weekend variation

### 182 3.2.1 Diurnal variation

183 Diurnal variation of O<sub>3</sub> concentrations in Windsor during 1996-2015 is shown in Figure 2a  
 184 and Table S2. There was a gradual increase in O<sub>3</sub> concentrations from the hour 6:00 to 14:00  
 185 local time, and a gradual decrease from the hour 15:00 to 6:00 next day. A similar trend was  
 186 observed for TO. The diurnal variations for O<sub>3</sub> and TO indicate O<sub>3</sub> photochemical production  
 187 was enhanced by increased solar radiation and temperature (So and Wang, 2003). DO<sub>3</sub> followed  
 188 an opposite trend than O<sub>3</sub>, i.e. lower at noon to afternoon (11.2 ppb from the hour 11:00-15:00)  
 189 than that at other hours of the day (16.2 ppb), suggesting that relative loss due to the titration  
 190 effect was reduced when O<sub>3</sub> concentrations were high.

191 O<sub>3</sub> concentrations were higher during the smog season than in the non-smog season  
 192 especially around noon due to photochemical production (Fig 2b and Fig 2c). DO<sub>3</sub> levels were  
 193 lower throughout the day in the smog season, suggesting relative loss due to the titration effect  
 194 was reduced when O<sub>3</sub> concentrations were high. Furthermore, TO (O<sub>3</sub>+DO<sub>3</sub>) diurnal variation  
 195 was rather smooth in the non-smog season due to weak photochemical production of O<sub>3</sub>.





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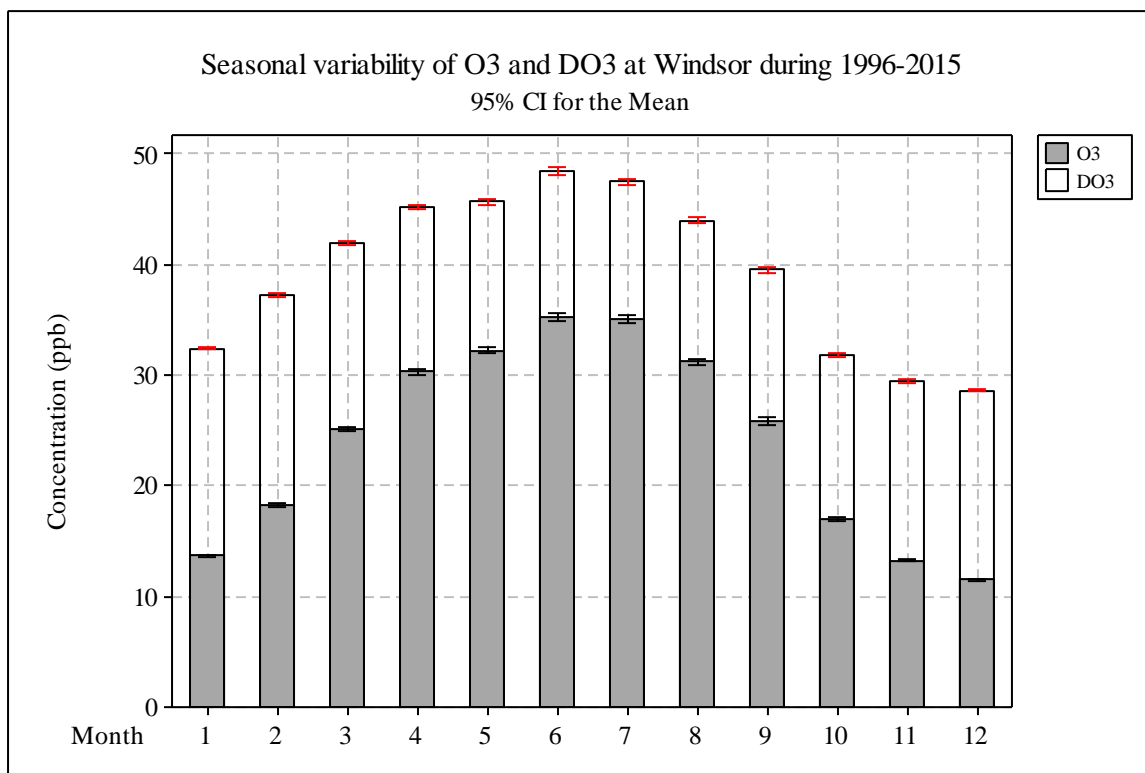
200 **Figure 2.** Diurnal O<sub>3</sub> and DO<sub>3</sub> concentrations during 1996-2015 in Windsor for (a) all months,  
201 (b) smog season and (c) non-smog season.

202

### 203 3.2.2 Seasonal variation

204 Monthly O<sub>3</sub> concentrations increased from January to May, reaching peak values in June  
205 and July, then decreased from July till the minima in December (Fig 3 and Table S3). This  
206 seasonal pattern is similar to that of solar radiation and ambient temperature, which control the  
207 photochemical production rate of O<sub>3</sub>. A similar seasonal variation was observed for TO, but DO<sub>3</sub>  
208 followed an opposite trend than O<sub>3</sub>, i.e. higher in non-smog season (16.6 ppb) and lower during  
209 smog season (13.1 ppb). Similar to the diurnal variation, relative loss due to the titration effect  
210 appears reduced when weather conditions favored O<sub>3</sub> formation. The seasonal O<sub>3</sub> pattern  
211 observed in Windsor is consistent with the study by Gaudel et al. (2018) reporting that in North  
212 America the maximum O<sub>3</sub> daytime averages occurred in spring/summer and the minimum  
213 values were found in autumn/winter during 2010-2014.

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215

216 **Figure 3.** Monthly mean O<sub>3</sub> and DO<sub>3</sub> concentrations during 1996-2015 in Windsor.

217

218 **3.2.3 Weekday-weekend variation**

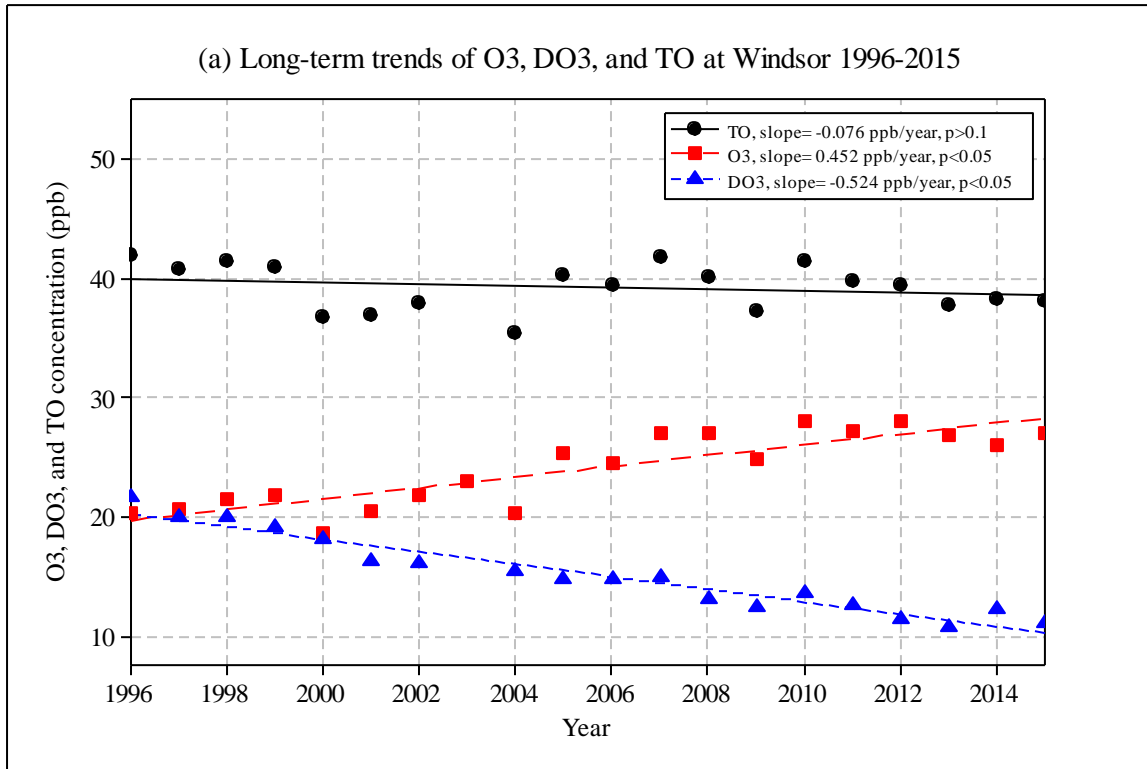
219 ANOVA indicates that O<sub>3</sub> concentrations on weekends (25.9 ppb) were statistically higher  
 220 (p<0.05) than on weekdays (23.3 ppb). NO concentrations were lower on weekends (6.5 ppb)  
 221 than on weekdays (9.6 ppb) due to less vehicular and industrial activities. Therefore, high O<sub>3</sub>  
 222 concentrations on weekends were likely attributed to decreased NO emissions and weakened  
 223 titration effect as reported by other researchers (Koo et al., 2012). This is supported by much  
 224 comparable TO concentrations between weekdays and weekends (39.2 ppb vs. 39.5 ppb, p<0.05)  
 225 which remove the titration effect. Differences in O<sub>3</sub> levels between weekday and weekend were  
 226 also reported in other studies, e.g. in Nepal (Pudasainee et al., 2006) and Ontario, Canada  
 227 (Huryn and Gough, 2014).

228 **3.3 Long-term trend**

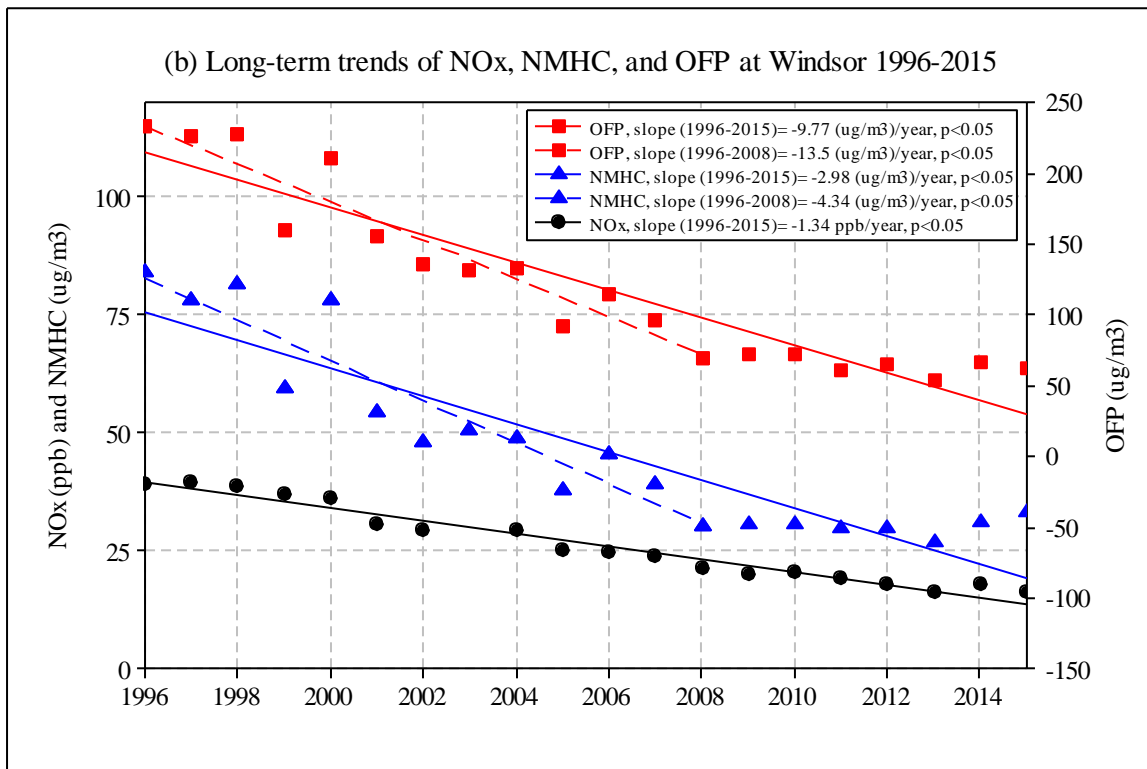
229 **3.3.1 Trends of annual NO<sub>x</sub>, NMHC, ozone formation potential, O<sub>3</sub> and TO**

230 During 1996-2015, annual mean O<sub>3</sub> concentrations increased significantly (0.452 ppb/year,  
231 Figure 4a) while annual mean DO<sub>3</sub> concentrations decreased at a greater rate (-0.524 ppb/year).  
232 Consequently, TO concentrations decreased slightly (-0.076 ppb/year, but not significant). In  
233 other words, O<sub>3</sub> decreased slightly when the NO titration effect is removed, suggesting that the  
234 decreased NO titration effect is one of the reasons for the increased O<sub>3</sub> concentrations in  
235 Windsor.

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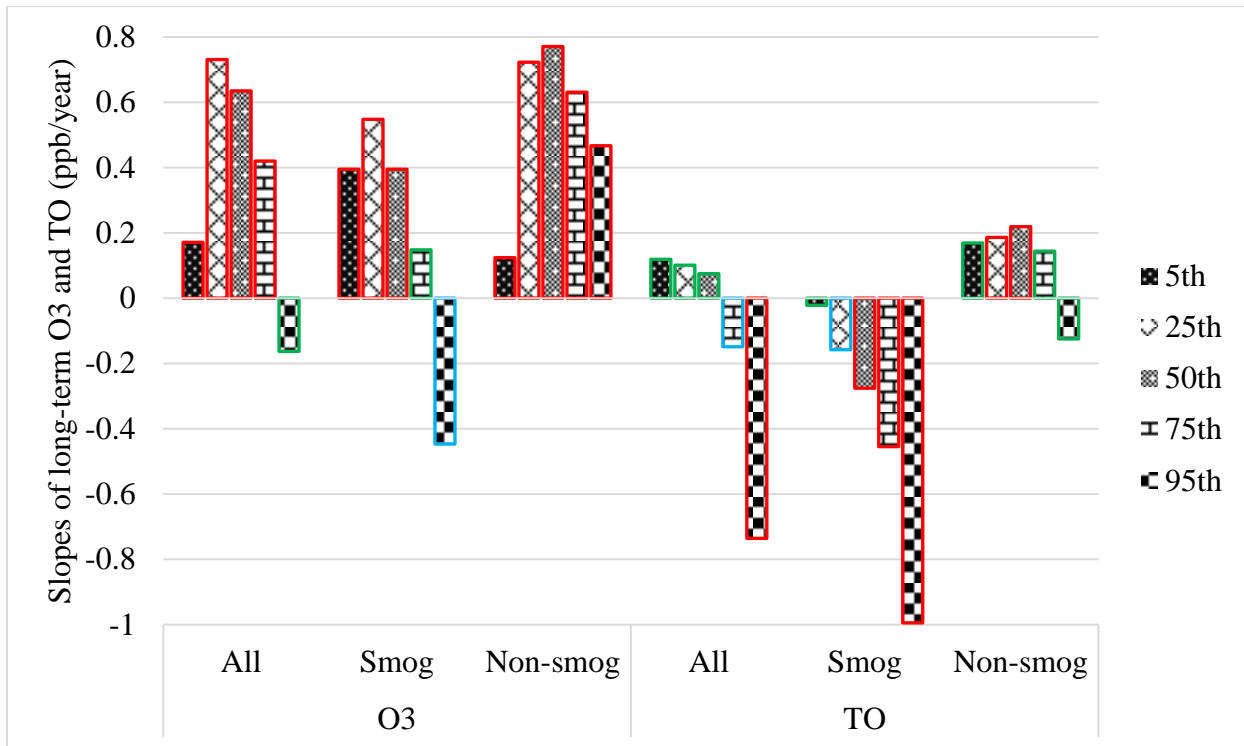
**Figure 4.** Annual mean concentrations for (a) O<sub>3</sub>, DO<sub>3</sub>, and TO, and (b) NO<sub>x</sub>, NMHC, and ozone formation potential (OFP) in Windsor during 1996-2015.

240 Significantly decreasing trends were observed in Windsor for annual mean NO<sub>x</sub> (-1.34  
241 ppb/year), NMHC (-2.98 µg/m<sup>3</sup>/year), and OFP (-9.77 µg/m<sup>3</sup>/year) during the 20-year study  
242 period (Figure 4b). The percent decreases were 58%, 61%, 73% for NO<sub>x</sub>, NMHC, and OFP,  
243 respectively, indicating effective emission control. It should be noted that during 1996-2008,  
244 some pollutants were changing at greater rates compared with the 20-years trend, including O<sub>3</sub>  
245 (0.55 ppb/year), NMHC (-4.34 µg/m<sup>3</sup>/year) and OFP (-13.5 µg/m<sup>3</sup>/year). After 2008,  
246 concentrations of O<sub>3</sub>, NMHC, and OFP leveled off, while NO<sub>x</sub> and DO<sub>3</sub> concentrations  
247 continued to decrease.

248 The decreased NO titration effect was further investigated by examining the ratio of NO/NO<sub>x</sub>  
249 (Figure S1). Significantly decreased NO (-0.73 ppb/year) and NO<sub>2</sub> (-0.66 ppb/year) were  
250 observed during the study period. Furthermore, the NO/NO<sub>x</sub> ratio decreased from 0.34 in 1996  
251 to 0.16 in 2015 with an average rate of -0.012/year, supporting the decrease in the NO titration  
252 effect in Windsor. Our results are consistent with studies in other counties. For example,  
253 NO<sub>2</sub>/NO<sub>x</sub> ratio increased from 0.08 in 2005 to 0.15 in 2010 in Japan (Itano et al., 2014),  
254 implying a decreased NO/NO<sub>x</sub> ratio. The NMHC/NO<sub>x</sub> ratios did not change much during the 20  
255 years study period (min= 0.96, max= 1.3, mean and median = 1.1). The low VOC to NO<sub>x</sub> ratios  
256 (<5) suggest that the study area is VOC limited, thus reduced NO<sub>x</sub> emissions may lead to  
257 increased O<sub>3</sub> concentrations (Sillman, 1999; USEPA, 2000).

### 258 **3.3.2 Ozone and TO trends at various percentile levels**

259 Figure S2 depicts long-term O<sub>3</sub> and TO trends at 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup> and 95<sup>th</sup> percentiles in  
260 Windsor during 1996-2015. The slopes of linear regression in three scenarios (i.e., all months,  
261 the smog season, and the non-smog season) are summarized in Figure 5 and Table S4. Peak O<sub>3</sub>  
262 concentrations (i.e., 95<sup>th</sup> percentile) decreased during the smog season and all-month, suggesting  
263 reduced precursor emissions and photochemical production. O<sub>3</sub> at all other percentile levels in  
264 all three cases had increased, with higher rates at 25<sup>th</sup> and 50<sup>th</sup> percentiles. The 25th percentile of  
265 O<sub>3</sub> concentrations were commonly considered as a background value (Lin et al., 2000; Aleksic  
266 et al., 2011; Parrington et al., 2013). Peak TO concentrations (95<sup>th</sup> percentile) decreased,  
267 especially during the smog season, due to effective emission control of O<sub>3</sub> precursors. TO  
268 concentrations increased at all other percentile levels during the non-smog season when O<sub>3</sub>  
269 photochemical production was limited, suggesting rising background O<sub>3</sub> concentrations.



270

271 **Figure 5.** Slopes of long-term O<sub>3</sub> and TO trends at various percentile levels in all months, smog  
 272 season, and non-smog season in Windsor during 1996-2015. (red border: significant at p<0.05,  
 273 blue border: significant at p<0.1, green border: not significant, i.e. p>0.1)

274

275 In the smog season, O<sub>3</sub> concentrations increased at the 5<sup>th</sup>-75<sup>th</sup> percentile levels while TO  
 276 concentrations decreased with a greater rate at higher percentile levels, supporting that the  
 277 decrease in NO titration is one of the causes of increasing O<sub>3</sub> in Windsor. In terms of peak O<sub>3</sub>  
 278 concentrations (95<sup>th</sup> percentile), the decreasing rate of TO (-1.0 ppb/year) is more than twice  
 279 that of O<sub>3</sub> (-0.45 ppb/year). In other words, when the effect of NO titration is removed, peak O<sub>3</sub>  
 280 concentrations decreased more intensely due to reduced emissions of O<sub>3</sub> precursors. During the  
 281 non-smog season, the increasing rates of TO at 5-75<sup>th</sup> percentiles were much slower than those  
 282 of O<sub>3</sub>. The results suggest that the decreased NO titration effect could be one of the causes for  
 283 slower decrease of peak O<sub>3</sub> in the smog season and increase of O<sub>3</sub> at low-to-high percentiles  
 284 during both the smog and non-smog seasons.

285 The decreasing trend of the 95<sup>th</sup> percentile O<sub>3</sub> levels in Windsor is consistent with the  
 286 decreasing concentrations at upper end of the distribution across the United States (Simon et al.,



287 2014), which evaluated maximum daily 8-h average O<sub>3</sub> at over 1,000 sites during 1998–2013  
288 when NO<sub>x</sub> and VOC emissions were decreasing. The declining peak O<sub>3</sub> is also evident in the  
289 study of the 4th highest daily maximum 8-hour concentrations during 2000-2014 by Fleming et  
290 al. (2018), which indicated that up to 70% of North America stations experienced significant  
291 negative trends (p<0.05). The results of the seasonal O<sub>3</sub> trends in Windsor are consistent with  
292 previous studies. For instance, Simon et al. (2014) reported that the declining trends were more  
293 pronounced in summer than winter, and that increasing O<sub>3</sub> trends at all percentiles were found in  
294 both smog and non-smog seasons except for decreasing peak values at urban sites of the East  
295 North Central region (close to Windsor) (Simon et al., 2014). Moreover, Gaudel et al. (2018)  
296 reported the increasing O<sub>3</sub> levels across North America in winter time (December, January, and  
297 February).

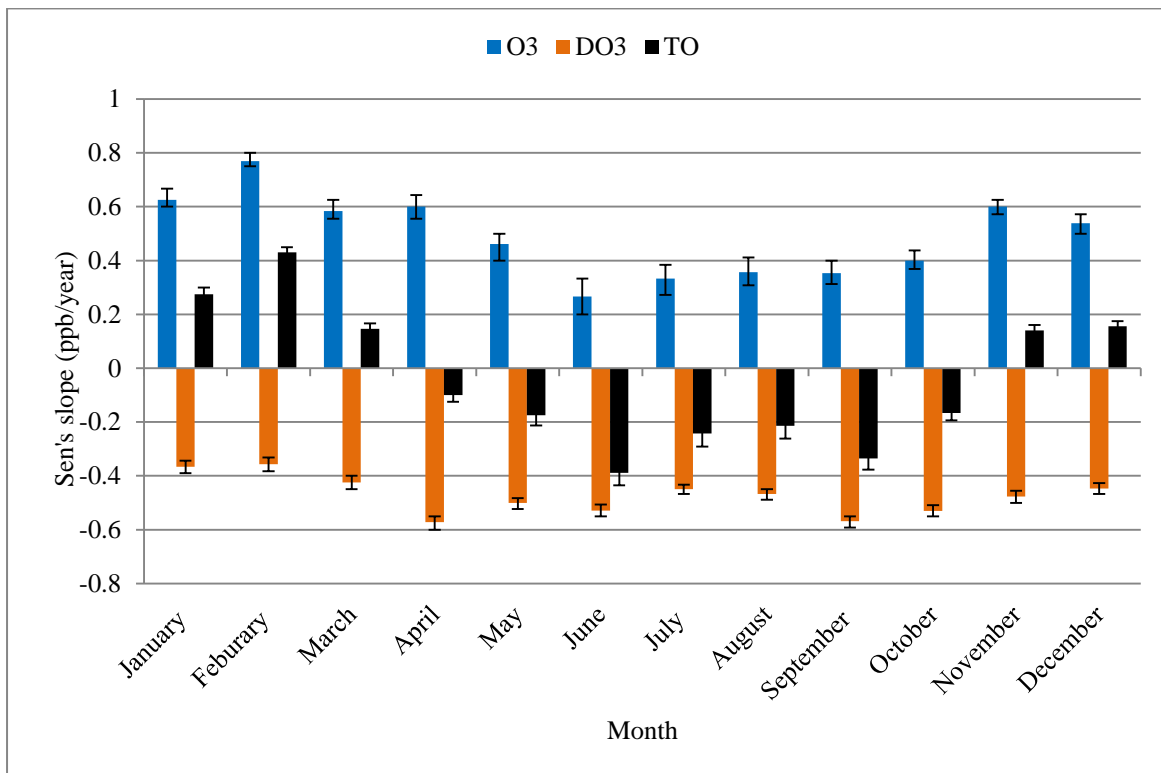
### 298 3.3.3 Monthly and diurnal rates of change for ozone and TO

299 This section further investigates which hour(s) of a day and which month(s) of a year  
300 experienced greater or less changes in O<sub>3</sub> concentrations during the 20-year study period, and to  
301 what degree those changes could be explained by the change in the NO titration effect. The  
302 estimated month-of-year slopes by Mann-Kendall and Sens test during 1996-2015 are shown in  
303 Figure 6. The rates of change during the smog and non-smog seasons are summarized in Figure  
304 S3.

305 The increased O<sub>3</sub> levels in non-smog season (mean= 0.58 ppb/year, Fig S3) suggest reduced  
306 titration effect and rising background O<sub>3</sub> levels since local photochemical production of O<sub>3</sub> is  
307 limited. Analysis of ambient data conducted by USEPA demonstrated that mid-tropospheric O<sub>3</sub>  
308 concentrations in the U.S. and globally have increased over the past two decades by 0.4 ppb/year  
309 (USEPA, 2015). Along the Pacific Coast, the rate of increasing background O<sub>3</sub> was estimated to  
310 be 0.5–0.8 ppb/year during 1985-2002. This trend of ground-level O<sub>3</sub> is consistent with the rate  
311 of increase (0.51 ppb/year, 1994 to 2002) derived using aircraft measurements (Jaffe et al.,  
312 2003). Another reason of increased O<sub>3</sub> is the decreased titration effect. A study in the South-  
313 Eastern France demonstrated that the decrease in the NO titration effect could be one of the  
314 reasons for increased O<sub>3</sub> concentrations in cold months (Sicard et al, 2011). The slower  
315 increasing rate of O<sub>3</sub> in smog season (0.32 ppb/year, Fig S3) is a result of increased background  
316 O<sub>3</sub> levels, decreased titration effect as well as reduced local photochemical O<sub>3</sub> production and

317 regional transport (MOECC, 2017). A similar trend of a greater rate of increasing composite  
 318 mean at 19 sites across Ontario in summer (49%) than in winter (14%) during 1991-2010 was  
 319 largely attributable to the reductions in local NO<sub>x</sub> emissions and the rising global background  
 320 ozone levels (MOE, 2012).

321 O<sub>3</sub> concentrations increased while DO<sub>3</sub> concentrations decreased in all months during 1996-  
 322 2015 (Fig 6). During non-smog season, the increasing rate of O<sub>3</sub> (0.58 ppb/year, Fig S3) was  
 323 higher than the decreasing rate of DO<sub>3</sub> (-0.46 ppb/year). In other words, there was an additional  
 324 increase in O<sub>3</sub> beyond the decreased titration effect. After the NO titration effect is removed, TO  
 325 concentrations increased in non-smog season (0.13 ppb/year, Fig 6), suggesting rising  
 326 background O<sub>3</sub> levels. In smog season, the increasing rate of O<sub>3</sub> (0.32 ppb/year) was lower than  
 327 the decreasing rate of DO<sub>3</sub> (-0.50 ppb/year). TO concentrations had decreased in the smog  
 328 season (-0.27 ppb/year, Fig 6), attributable to the decreased regional O<sub>3</sub> production.



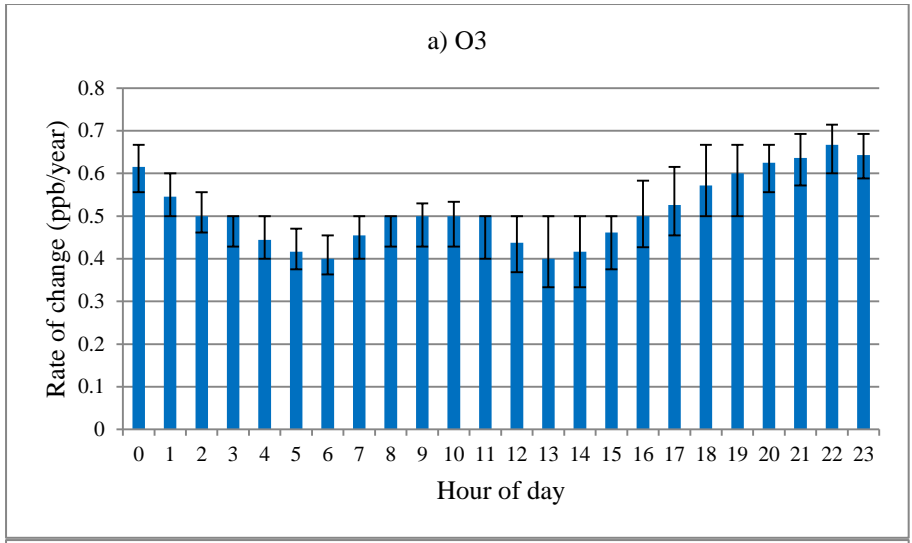
329  
 330 **Figure 6.** Monthly rates of change during 1996-2015 for O<sub>3</sub>, DO<sub>3</sub>, and TO.

331  
 332 On an hourly basis, greater increasing rates in O<sub>3</sub> concentrations were observed at evening  
 333 and night hours (18:00-3:00) in comparison with early morning and daytime (4:00-17:00) as

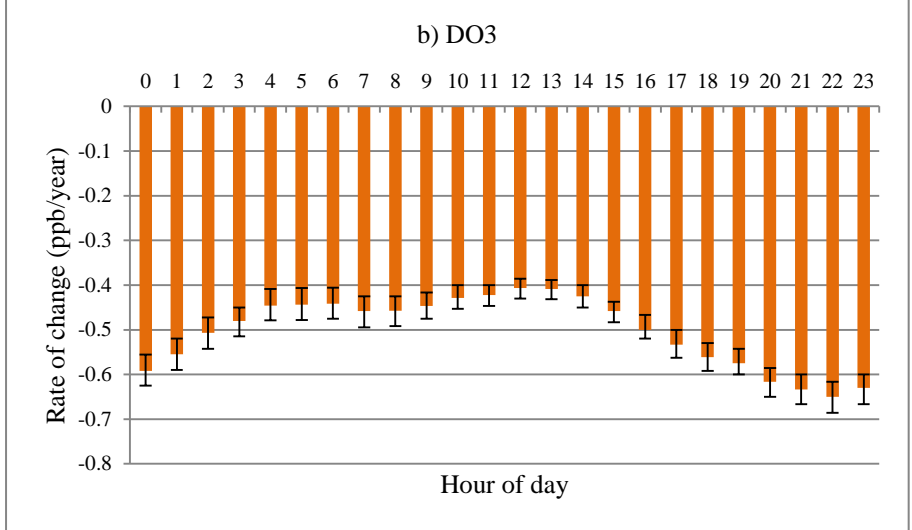
334 shown in Figure 7. The two minima in the morning at 6:00 and 13:00 coincided with the lowest  
335 and highest O<sub>3</sub> concentrations in a day, which were caused by different rates of change in smog  
336 and non-smog seasons (see below). Overall, O<sub>3</sub> increased while DO<sub>3</sub> decreased at all hours in a  
337 day during 1996-2015. The diurnal pattern of increasing rates for O<sub>3</sub> almost mirrored that of  
338 decreasing rates for DO<sub>3</sub>. In other words, the increase in O<sub>3</sub> concentrations could be explained  
339 largely by the decreased NO titration effect. At most hours, the increasing rates of O<sub>3</sub> were  
340 higher than the decreasing rates of DO<sub>3</sub> especially in morning hours (6:00-12:00). Overall, TO  
341 concentrations increased slightly during daytime while decreased a little in evening.

342

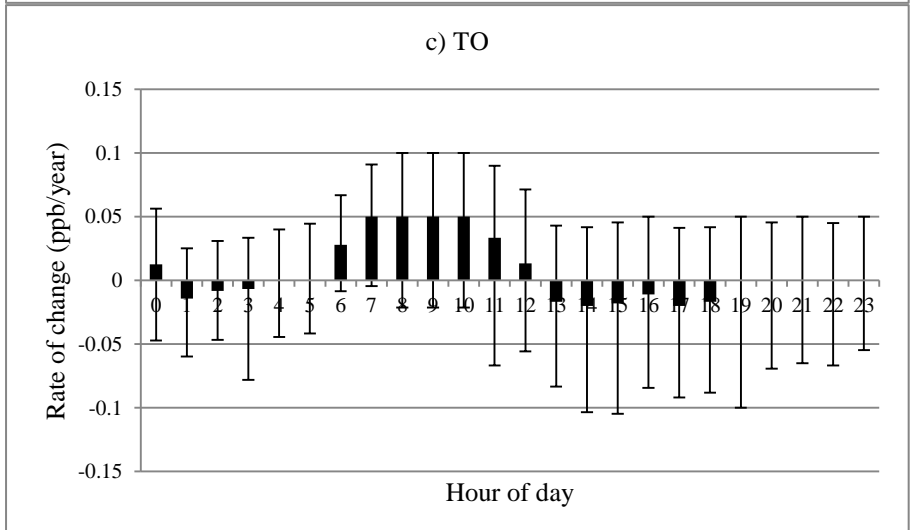
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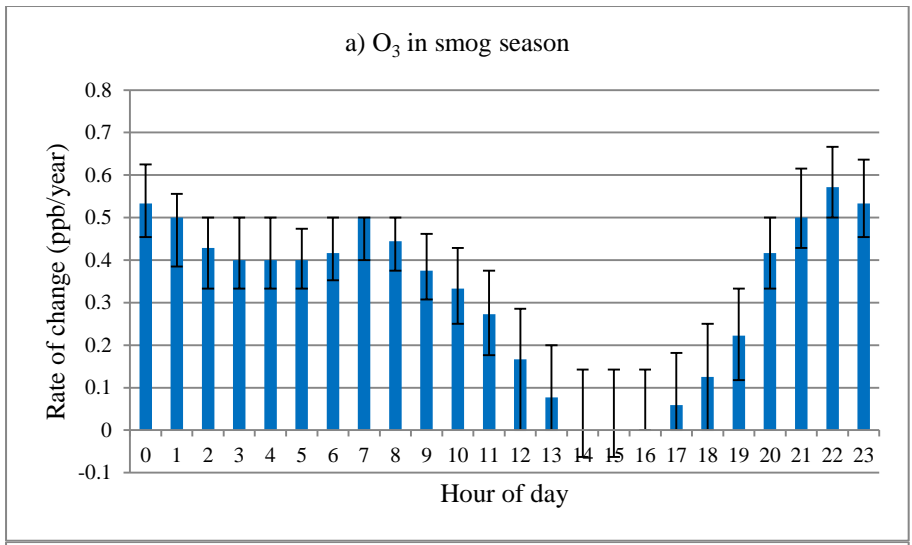
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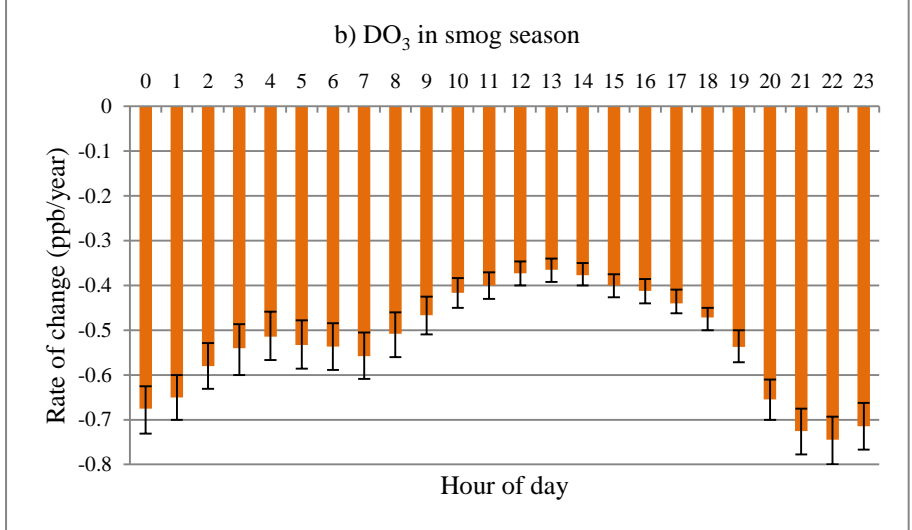
**Figure 7.** Rate of change by hour-of-day for all months during 1996-2015 for a) O<sub>3</sub>, b) DO<sub>3</sub>, and c) TO.

349 O<sub>3</sub> and DO<sub>3</sub> concentrations showed different diurnal patterns during the smog season  
350 (Figure 8). O<sub>3</sub> concentrations increased while DO<sub>3</sub> concentrations decreased at all hours as in the  
351 case of all months. During daytime (9:00-19:00), there was a sharp decline in the rates of change  
352 for O<sub>3</sub> till peak O<sub>3</sub> hours (14:00-16:00) followed by a speedy recovery. The peak hour O<sub>3</sub>  
353 concentrations have not changed much during the last 20 years, and daytime ozone levels have  
354 increased with a much slower rate (9:00-19:00, mean= 0.15 ppb/year) compared with nighttime  
355 (20:00-8:00, mean= 0.46 ppb/year). The daytime DO<sub>3</sub> decreasing trend is similar, however, with  
356 a less variability. The increasing rate of O<sub>3</sub> is lower than the decreasing rate of DO<sub>3</sub>, and TO  
357 concentrations decreased at all hours, especially during the afternoon and early evening (14:00-  
358 19:00). It suggested decreased photochemical O<sub>3</sub> formation during the smog season due to  
359 emission reduction.

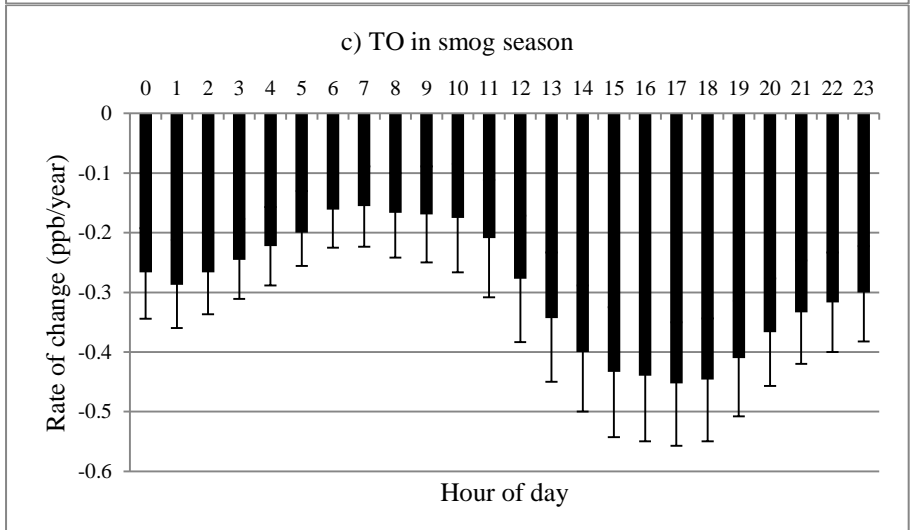
360 During the non-smog season (Figure 9), the rates of change in O<sub>3</sub> and DO<sub>3</sub> were similar as  
361 in the case of all months, i.e. the increase in O<sub>3</sub> concentrations could be explained largely by the  
362 decreased titration effect. Also similar to that of all months, the rates of change were lower in  
363 early morning (5:00-7:00). The greater rates of change were observed in late afternoon and  
364 evening (16:00-20:00), instead of at night with all months. The increasing rates of O<sub>3</sub> were  
365 higher than the decreasing rates of DO<sub>3</sub> at all hours. The hour-of-day TO trend is overall  
366 increasing with less diurnal variation, indicate rising background O<sub>3</sub> levels.



367



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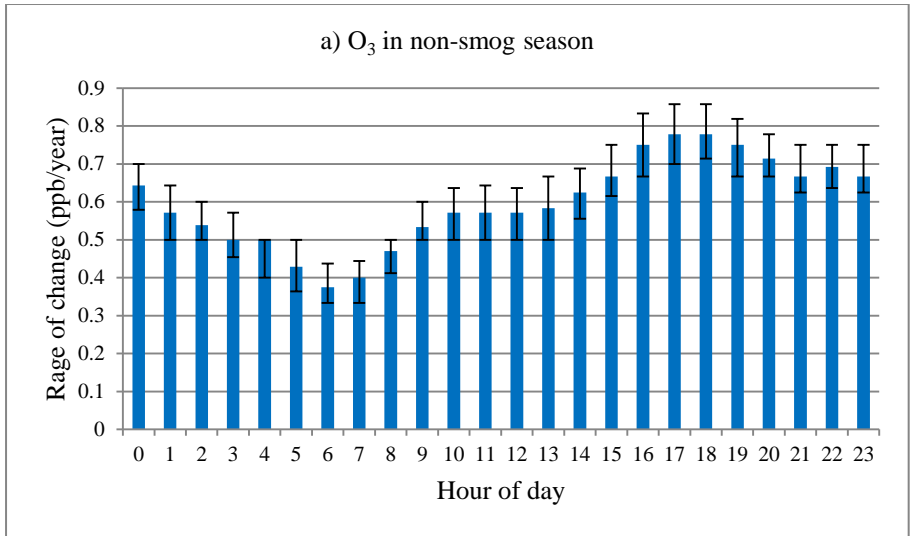


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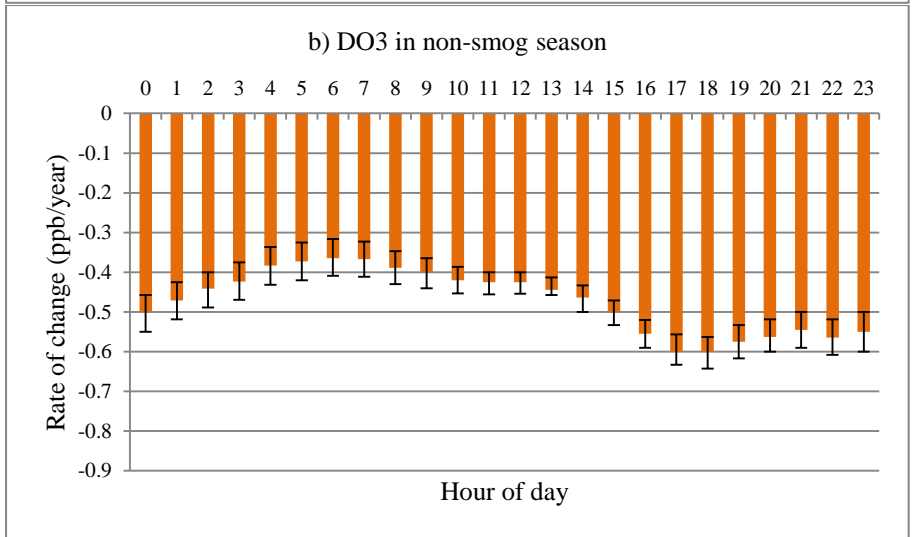
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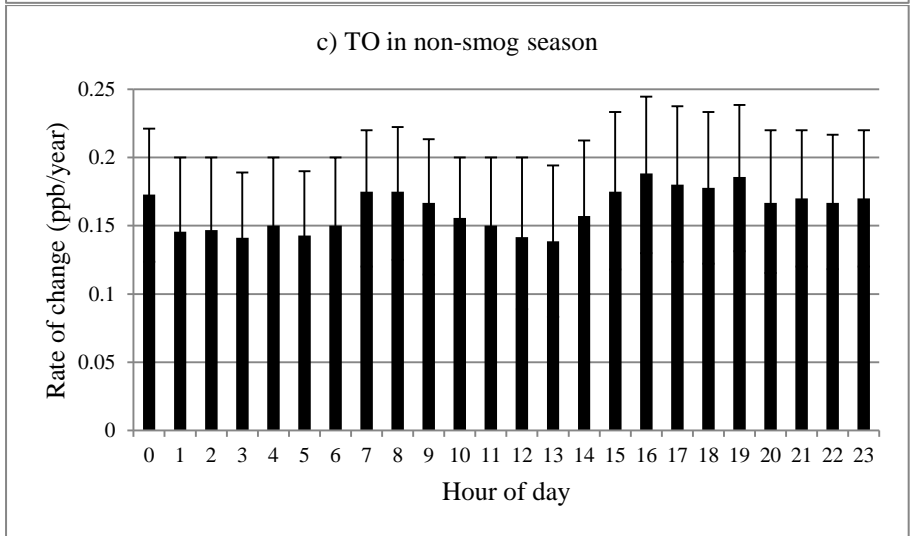
**Figure 8.** Rate of change by hour-of-day in smog season during 1996-2015 for a) O<sub>3</sub>, b) DO<sub>3</sub>, and c) TO.



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**Figure 9.** Rate of change by hour-of-day in non-smog season during 1996-2015 for a) O<sub>3</sub>, b) DO<sub>3</sub>, and c) TO.

377 **4. Conclusions**

378 This study investigates temporal variations and long-term trends (1996-2015) of ground-  
379 level O<sub>3</sub> and its precursors, NO<sub>x</sub> and VOCs, in Windsor, Ontario, Canada. The driving force of  
380 the observed variations was assessed by studying precursor emissions, photochemical  
381 production, NO titration, and background O<sub>3</sub> levels. One of the innovative approaches is the use  
382 of TO and trend analysis for different percentiles levels in different seasons and by hour-of-day.

383 O<sub>3</sub> concentrations increased by 33% during 1996-2015 (20.3 ppb in 1996 vs. 27 ppb in  
384 2015) in Windsor, while concentrations of NO<sub>x</sub> (-58%) and NMHCs (-61%) and OFPs (-73%)  
385 decreased significantly during the same time period, owing to effective emission control.  
386 Increased O<sub>3</sub> concentrations were observed in all months in a year and all hours in a day, and at  
387 all percentile levels with a few exceptions.

388 Our analysis revealed that the increased annual O<sub>3</sub> concentrations in Windsor were caused  
389 by the following reasons. First, there were decreased O<sub>3</sub> titration and local photochemical  
390 production of O<sub>3</sub>, both of which were induced by reduced precursor emissions. The O<sub>3</sub> loss due  
391 to the titration decreased by 50% in the 20 years study period, and the declined O<sub>3</sub> titration was  
392 observed in all months in a year and all hours in a day. Therefore, the observed increase in O<sub>3</sub>  
393 concentrations can be largely explained by the decrease in the titration. By removing the titration  
394 effect, TO concentrations increased in the non-smog season and decreased in the smog season,  
395 resulting in a slightly decreasing trend of annual means during 1996-2015 (-0.076 ppb/year).  
396 The declining photochemical production of O<sub>3</sub> is evident by decreased peak O<sub>3</sub> levels (95<sup>th</sup>  
397 percentile) in the smog season as opposed to increased O<sub>3</sub> concentrations at all other percentile  
398 levels and all percentiles in the non-smog season. Second, background O<sub>3</sub> level was rising. This  
399 is supported by increasing O<sub>3</sub> concentrations in all months in a year and all hours in a day and at  
400 all O<sub>3</sub> percentile levels, with the exception of peak O<sub>3</sub> hours and the 95<sup>th</sup> percentile O<sub>3</sub> levels in  
401 the smog season. Furthermore, the increasing rates of O<sub>3</sub> were higher than the decreasing rate of  
402 DO<sub>3</sub> at all hours in a day and all percentile levels during the non-smog season when O<sub>3</sub>  
403 photochemical production is limited.

404 It is apparent that control measures implemented in Ontario and the surrounding regions  
405 were effective in curbing NO<sub>x</sub> and VOC emissions during the study period of 1996-2015. The



406 reduced O<sub>3</sub> precursors led to decreasing peak O<sub>3</sub> values in the smog season over the past 20  
407 years. However, those emission reductions also result in weakened O<sub>3</sub> titration effect in all  
408 months in a year and at all hours in a day. Meanwhile, the background O<sub>3</sub> concentrations  
409 appeared increasing in the study region, with more impact on the low-to-median levels (i.e. 25th  
410 and 50th percentiles) during non-smog season and at night. The net effect of those factors is  
411 decreasing peak O<sub>3</sub> levels but an overall increasing annual means in Windsor. The increases in  
412 O<sub>3</sub> concentrations in non-smog season (0.58 ppb/year), at night (20:00-8:00, 0.46 ppb/year), and  
413 at low-to-median percentiles pose less risk on human health because those O<sub>3</sub> levels are  
414 relatively low. The decreasing peak O<sub>3</sub> levels during the smog season is rather beneficial  
415 considering the detrimental effects of human exposure to high O<sub>3</sub> concentrations.

416 Our long-term (1996-2015) trends analysis show that annual O<sub>3</sub>, NMHC, and OFP levels  
417 leveled off after 2008, while NO<sub>x</sub> concentrations and the O<sub>3</sub> titration effect appear to  
418 continuously decreasing. Considering that O<sub>3</sub> formation in Windsor remains to be VOC-limited,  
419 the weakened O<sub>3</sub> titration by NO<sub>2</sub> may lead to slightly increasing O<sub>3</sub> annual means. Moreover,  
420 the regional background levels are not expected to decline. Therefore, it is anticipated that O<sub>3</sub>  
421 concentrations in Windsor may level off or increase slightly in the next few years under similar  
422 weather conditions. Due to the complex nature of O<sub>3</sub> formation/consumption and regional  
423 transport, it is clear that long-term regional and international efforts are essential to lower O<sub>3</sub>  
424 concentrations and improve air quality. Results of this study provide insight into the causes of  
425 changing O<sub>3</sub> levels in Windsor and how to mitigate O<sub>3</sub> pollution and its adverse effects on  
426 human health and the environment. Future studies are warranted to quantify the background O<sub>3</sub>  
427 level in Windsor area and its long-term trend, and to explore regional transport of O<sub>3</sub> to  
428 Windsor.

429

#### 430 **Author contribution**

431 Mr. Tianchu Zhang conducted data analysis and drafted the manuscript. Dr. Xu and Dr. Su  
432 designed the study and completed the manuscript.

433

#### 434 **Competing interests**

435 The authors declare that they have no conflict of interest.

436

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441

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