Droplet activation behaviour of atmospheric black carbon particles in fog as a function of their size and mixing state: RESPONSES TO THE REFEREES AND CHANGES MADE TO THE MANUSCRIPT.

The authors would like to thank the three referees for their constructive comments which helped to make the paper clearer and easier to understand. This document presents, for each comment from the referees, a response and a note clarifying what has been changed in the manuscript. Comments from Referees #1, #2 and #3 can be found Page 2, 7 and 13, respectively. Indications of page and line numbers refer to the revised version of the manuscript (without track changes).

Answers of the authors to the interactive comment of Anonymous Referee #1 (Referee Comment 3)

Anonymous review of manuscript: General remarks

"This study presents the measurement of BC activation by droplet in real world, the topic is within the scope of ACP. I think there are a few places needing to be addressed before it can be accepted."

Specific comments from Referee #1:

Comment: "Firstly as there is no page number, it is hard to make specific comment." *Response*: This issue was already fixed in version2 of the manuscript i.e. as part of the technical correction after the "QuickAccess-Review" stage.

Comment: "The abstract is too long, I would say maximum 2 paragraphs or better with 1 paragraph."

Response: Agreed by the authors.

Changes: The abstract now reads:

Among the variety of particle types present in the atmosphere, black carbon (BC), emitted by combustion processes, is uniquely associated with harmful effects to the human body and substantial radiative forcing of the Earth. Pure BC is known to be non-hygroscopic, but its ability to acquire a coating of hygroscopic organic and inorganic material leads to increased diameter and hygroscopicity, facilitating droplet activation. This affects BC radiative forcing through aerosol-cloud interactions (aci) and BC life cycle. To gain insights into these processes, we performed a field campaign in winter 2015/16 in a residential area of Zurich which aimed at establishing relations between the size and mixing state of BC particles and their activation to form droplets in fog. This was achieved by operating a CCN counter (CCNC), a scanning mobility particle sizer (SMPS), a single particle soot photometer (SP2) and an aerosol chemical speciation monitor (ACSM) behind a combination of a total- and an interstitial-aerosol inlet.

Our results indicate that in the morning hours of weekdays, the enhanced traffic emissions caused peaks in the number fraction of externally mixed BC particles, which do not act as CCN within the CCNC; compared to nighttime associated to heavily aged internally mixed BC from background air advected to the site. The very low effective peak supersaturations (SSpeak) occurring in fog (between approximately 0.03 and 0.06% during this campaign) restrict droplet activation to a minor fraction of the aerosol burden (around 0.5 to 1% of total particle number concentration between 20 and 593 nm) leading to very selective criteria on diameter and chemical composition. We show that bare BC cores are unable to activate to fog droplets at such low SSpeak, while BC particles surrounded by thick coating have very similar activation behaviour as BC-free particles. Using simplified κ -Köhler theory combined with the ZSR mixing rule assuming spherical core-shell particle geometry constrained with single particle measurements of respective volumes, we found good agreement between the predicted and the directly observed size and mixing state resolved droplet activation behaviour of BC-containing particles in fog. This successful closure demonstrates the predictability of their droplet activation in fog with a simplified theoretical model only requiring size and mixing state information, which can also be applied in a consistent manner in model simulations.

Comment: "It is recommended to include the previous studies in the introduction on BC heating on clouds, reducing cloud cover, decreasing cloud albedo."

Response: This is a legitimate request from the referee. Nevertheless, we decided to keep these additions short mainly for two reasons: First, the introduction is already quite comprehensive and our study is quite specific to fog. Second, we have a second manuscript meanwhile submitted to ACPD about BC activation in liquid clouds at a high altitude site (<u>https://www.atmos-chem-phys-discuss.net/acp-2018-1054/</u>), in which such previous studies on the abovementioned BC effects are more directly relevant and therefore also included in the introduction.

Changes: We added the following paragraph concerning fog lifetime to the introduction (p. 3, 1. 19), as also suggested by Referee #2:

"Although BC can dissipate fog through the semi-direct effect (evaporation of fog droplets due to absorption of solar radiation by BC particles and subsequent droplet evaporation), high concentrations of other CCN were shown to influence fog lifetime in a stronger manner (Maalick et al., 2016). Because these CCN form droplets more efficiently, they lead to increased radiative cooling and decreased droplet removal through sedimentation, thus enhancing fog lifetime."

Comment: "a) what is the collection efficiency of the total inlet on collecting droplet, i.e.

what is the 50% size cut-off for the droplets, some large droplets may be missed?

Response: Hammer et al. (2014) use the same inlet for measurements in fog and looked at the influence of sedimentation (SI of their paper). They found that potential systematic bias in the observed activation cut-off diameter remains below 10%.

Changes: The following statement has been included on p. 4, 1. 28: "Hammer et al. (2014; Supplement) showed that systematic biases in the observed activation cut-off diameter potentially resulting from incomplete collection efficiency of fog droplets in the total inlet remains below 10%".

Comment: "b) Will the heating of inlet affect the coating amount of coating compositions of BC."

Changes: The following statement has been included in the experimental section, p. 5, l. 17: "...The temperature increase from outside (~ 0 °C) to inside (~ 25 °C) the trailer also contributed to the drying of the sample air and thus evaporation of fog droplet water. Some evaporation artefacts of other semi-volatile aerosol components cannot be excluded. However, they are not expected to be excessive for particles in the upper accumulation mode size range, based on results by Nessler et al. (2003) for comparable temperature difference but at a different location.

Comment: "c) A clear plot is needed to show how the comparison looks between total and interstitial concentration for non-fog period. From the description in the text, this scaling varied from time to time, you may need to show a time series of this scaling ratio, and how this scaling ratio was affecting the results, and why."

Response: As mentioned in the manuscript p.5, l. 7, the scaling factor used to correct for line losses in the interstitial inlet was kept at 1.16 for SP2 data before 17 January 2014 and 1.03 afterwards. The initial bias was caused by a pressure drop in the interstitial inlet line, which was fixed on 17 December.

Changes: Figure S2a, which shows the effect of line losses on SP2 data and the corrections applied to correct for them, was added to the Supplement.

The reference "see Figure S2a" was added p. 5, l. 10.



Figure S2: (a) Example SP2-derived particle number size distributions during out-of-cloud conditions showing the corrections made on the interstitial inlet data by the use of scaling factors. A scaling factor of 1.16 was used before 17 December 2015, a factor of 1.03 afterwards.

Comment: "d) Also as stated: "For the scanning mobility particle sizer instruments, sizedependent scaling factors were calculated for each fog event in order to take into account both the different line losses behind each inlet and the internal measurement errors of each SMPS." This should be clearly shown by figure."

Changes: We added Figure S2b to the Supplement, which shows the scaling factor applied to SMPS data for each fog event.

The reference "See Figure S2b" was added p. 5, l. 12.



Figure S2: (b) Size-dependent scaling factors for correcting SMPS data based on averaged out-of-cloud SMPS measurements before and after each fog event analysed in this study. The replacement of a conductive tubing on 17 December let to a better agreement between the two instruments. The strong size dependence of the scaling factors can be explained by the fact that they originate from two different instruments, the total-inlet and the interstitial-inlet SMPS. For each fog event, the disagreement between both SMPS was rather stable before and after the event, supporting the assumption that this disagreement did not change during the events.

Comment: "More explicit definition of internally or externally mixed BC is needed".

Changes: One sentence added in the introduction (p. 2, l. 14):

"Throughout this study, we refer to BC mixing state in relation to coatings, i.e. a strong degree of internal mixing is associated with thick coatings whereas externally mixed BC is associated with no or very thin coatings."

Comment: "Could you also give the scavenging mass fraction of BC or non-BC particles". *Changes*: One paragraph added to Sect 3.1, p. 13, l. 1:

"The scavenged mass fractions of BC and the total aerosol, i.e. the mass fraction incorporated into fog droplets, were calculated using the SP2 and the two SMPS assemblies, respectively. The scavenged mass fraction varied between 6% and 12% for BC during the four fog events, and between 15% and 20% for the total aerosol. These results are in close agreement with the fog studies of Hallberg et al. (1992), who reported 6% for elemental carbon (EC) and 18% for sulfate, and somewhat lower than the scavenged fractions of 26% for EC and 38-94% for various inorganic species as reported by Gundel et al. (1994)."

Comment: "What is the black colour in Fig. 11".

Response: This is explained in the caption of Fig. 11:

"Black pixels in the image indicate 2D-bins for which no particle was found in the total inlet data while at least one particle appeared in the interstitial inlet data, thus leading to a negative fog-activated fraction" *Changes*: No changes to the manuscript.

Comment: "A plot showing how the LWC of fog has been associated with SS and related scavenging fraction".

Response: LWC is not associated with SS, it was only used to identify fog presence.

Changes: We added two sentences in Sect. 2.3.1 (p. 8, l. 23) to clarify this:

"We used a minimum LWC of 100 mg m⁻³ measured by the PVM during at least one hour as threshold to define fog events. Note, the LWC was not used to infer fog peak supersaturation (see Sect. **Error! Reference source not found.**).

Throughout the field campaign, four fog events were retained in the analysis of the present study, all of them between 14 and 20 December 2015 (Table Error! Reference source not found.)."

Comment: "What is the source origin of the particles, backtrajectory analysis? A map of the site will help a lot".

Response: The diurnal patterns of BC and particle concentrations as well as spectral dependence of aerosol light absorption were used to show the influence of local traffic emissions to the air sampled at the site. This is discussed in Sect. 3.2. Back trajectory analysis would not add further relevant information to this.

Changes: We added a map of the measurement site to the Supplement (Figure S1) and references to Figure S1 p. 4, l. 10 and p. 4, l. 15.



Figure S1: Satellite picture of the Irchel campus and its surroundings. The red cross denotes the location of the measurement site. Map data: ©2018 Google Earth – © 2009 GeoBasis-DE/BKG.

Comment: "How is ACSM used?"

Response: The ACSM was used downstream the total inlet during the campaign. ACSM data were only used for time series of species concentrations in Figure 4. *Changes*: No changes to the manuscript.

Comment: "However, Figure 9c clearly shows that droplet activation of BC-containing particles is the mechanism that explains the incorporation of BC cores into fog droplets in the present study: if coagulation between BC particles and fog droplets was giving a dominant contribution, then the fog-activated fraction of BC particles would exhibit much less size and coating dependence and rather with opposite trends." This discussion is

not clear at all, so have you observed the coagulation of the BC with droplet? what "opposite trends" are they?"

Changes: The following sentences are added to Sect. 3.4, p. 17, l. 31:

"Coagulation scavenging efficiency decreases with increasing particle size, as shown by e.g. Ohata et al. (2016). Therefore, the BC core size and coating thickness dependence of coagulation scavenging would be opposite to the observed relationship. By contrast, the observation is consistent with expectations for nucleation scavenging.

Comment: "Six calibrations were performed, including pre and post campaign, and standard data analysis procedures using the Tofwerk "IgorDAQ" software package (Tofwerk AG, Thun, BE, Switzerland) were applied (reference)." What reference is it?" *Changes*: This has been corrected.

Comment: "The key conclusion is to say the model combing ZSR and Kohler theory could well predict the BC activation, but there is no clear plot to show this."

Response: This is the purpose of Figure 10. And it is also shown in Figure 11.

Detailed explanations are provided in Sect. 3.5 (copied here):

"For each fog event [in Figure 10], 50% fog-activated fraction is reached at an SS_{crit} very close to the SS_{peak} derived from D_{50}^{fog} . This agreement confirms that observed activation of BC particles in the fog matches the expected droplet activation behaviour of BC-containing particles as theoretically predicted from independently measured BC-particle properties (size, BC volume fraction and coating hygroscopicity). This demonstrates that closure is successfully achieved, i.e. SP2-based characterization of BC-containing particle properties combined with κ -Köhler theory is sufficient to accurately describe the activation behaviour of BC-containing particles in fog, despite the fact that either of them are based on the simplifying assumption of spherical core-shell morphology."

"Figure 9b-e also contains the fog-activated fraction of BC-free particles detected by the SP2, for which SS_{crit} was calculated using κ -Köhler theory with κ_{median} and optical diameter from the SP2. 50% activation is by definition reached by those particles with SS_{crit} equal to SS_{peak} inferred from D_{50}^{fog} (small deviations are explained by binning the fog-activated fraction data in supersaturation rather than diameter space). The fact that the activation curves of BC-containing particles in Figure 9b-e agree well with the activation curves of BC-free particles implies the following: the activation of BC-containing particles to fog droplets can be described identical to the activation of BC-free particles but for adjusting the κ -value with the ZSR-rule to account for the volume fraction of BC to fog droplets." *Changes*: No changes to the manuscript.

Answers of the authors to the interactive comment of Anonymous Referee #2 (Referee Comment 2)

Anonymous review of manuscript: General remarks

The authors report results from a case study comprising four separate fog events observed in an urban environment in Zurich. Overall, the manuscript is well written and the data analysis has been conducted with great care. The results show that soluble coating on top of an insoluble black carbon (BC) cores indeed increases their ability to serve as condensation nuclei for fog droplets, and the threshold coating thickness decreases with increasing BC core size. Furthermore, the authors demonstrate that a simple -Köhler model can be used to predict the fog droplet activation when the particle size, coating thickness and hygroscopicity of the coating material are known. Understanding the mixing state of ambient BC and its impact and fate in the atmosphere has been of great interest to aerosol community, and thus, the manuscript by Motos et al. is well within the scope of ACP. That said, the main findings of this study are more incremental rather than novel and (as such) provide a little new insight into the studied topic. Therefore, I would like to see more discussion concentrating on the implications of the results, e.g., how black carbon and its aging are currently treated in particle-resolved models (that were also mentioned in the conclusions) and how these new results could possibly improve these aspects. In other words, there is definitely no need to shift the focus of the paper from experimental research into modelling, but instead, highlight the importance of the results and point out more concretely how aerosol community could benefit from them. In my opinion, this would improve the impact of the paper substantially. Otherwise, I only have a few minor comments and suggestions to be considered by the authors.

Response: We thank the referee for the in this article and the suggestions to highlight the potential benefits our main results can bring to the aerosol community. Another paper focusing on the activation of BC in liquid clouds has recently been submitted to ACPD (https://www.atmos-chem-phys-discuss.net/acp-2018-1054/). It combines results from measurements at a high altitude site of clouds with medium to high peak supersaturation with the results of the present paper of fog with low peak supersaturation. A broader discussion of the activation of BC (in different environments and at different supersaturations) including potential benefits and implications for the modelling community are discussed in more detail in this other paper.

Changes: Here we added the following sentences to Sect. 3.5, p. 19, l. 32:

"Several mixing state-resolved modelling studies simulated scavenged fractions based on the estimation of the critical supersaturation using the Köhler theory combined with the ZSR mixing rule (e.g. Matsui, 2016; Ching et al., 2018). The present study suggests that such modelling approaches are valid, at least for fog with low peak supersaturation, and encourages future use of them."

Specific comments from Referee #2:

Comment: "**Page 3, Line 21:** A relatively recent paper by Maalick et al. (2016) presents results from LEM simulations concentrating on the effect of BC on the evolution and lifetime of radiation fog. Although this specific paper does not directly deal with BC mixing state, it points out an important aspect of BC in aerosol-cloud/fog interactions and could be cited in this paragraph (if the authors wish)."

Response: Agreed by the authors.

Changes: We added the reference to the paragraph mentioned in the comment (p. 3, 1. 19):

"Although BC can dissipate fog through the semi-direct effect (evaporation of fog droplets due to absorption of solar radiation by BC particles and subsequent droplet evaporation), high concentrations

of other CCN were shown to influence fog lifetime in a stronger manner (Maalick et al., 2016). Because these CCN form droplets more efficiently, they lead to increased radiative cooling and decreased droplet removal through sedimentation, thus enhancing fog lifetime."

Comment: "**Page 3, Line 35:** The study by Dalirian et al. (2018) has been conducted by atomizing BC particles from aqueous solutions and then coating them with organics by using a tube furnace. Therefore, it should be referred to as laboratory study rather than a conventional chamber measurement."

Response: We thank the referee for these important details.

Changes: We modified "chamber experiments" by "laboratory studies" in the paragraph mentioned.

We also added the following paragraph to Sect. 3.5, p. 19, l. 37:

"Dalirian et al. (2018) conducted a laboratory study during which they atomized BC particles from aqueous solutions and then coated them with organics by using a tube furnace."

Comment: "**Page 5, Line 26:** Later in the paper, the authors are referring to uncertainties in CCN calibration (Sect. 3.1). Therefore, it would be good to briefly describe how the instru-ment was actually calibrated and how the possible instrumental limitations are affecting the measurement uncertainties especially at the lowest and highest supersaturations."

Changes: The following paragraph was added to the experimental section (Sect. 2.2.1), p. 5, l. 32:

"The CCNC was calibrated before and after the campaign on 13 August 2015 and 23 March 2016, respectively, using size-selected ammonium sulfate. Both calibration curves agreed within 5% (relative) with each other and are in good agreement with the instrument history for the range between 0.1% and 1.0% SS. This agreement is better than the estimated calibration accuracy of ~10%. As discussed later, the CCNC was also operated at SS = 1.33% during the campaign. Higher uncertainty of $\pm 20\%$ was assigned to this supersaturation to give allowance for extrapolation uncertainty, which may have caused larger bias for data derived from measurements at this SS."

Comment: "Page 8, Line 24: Here, the authors define that the hygroscopicity of the

soluble coating κ_{coating} is equal to κ_{median} , which according to Sect. 2.3.4 is directly inferred from CCNC measurements. To my understanding, the value obtained from CCNC data is representative for all particles of equal size, and thus, reflects the

possible presence of non-hygroscopic black carbon. This would mean that Kmedian-

 $>\kappa_{coating}$ only when the fraction of BC containing particles !

According to the manuscript BC-free particles "represent majority of the particles" (Page 14, Line 15), and therefore, the definition of $\kappa_{\text{coating}} := \kappa_{\text{median}}$ would be justified. Is this rationale correct or have I misunderstood the applied notation? In any case, I'd like to ask the authors to describe the reasoning behind $\kappa_{\text{coating}} := \kappa_{\text{median}}$ more carefully to improve readability and to avoid any danger of misunderstanding.

This leads me to another question: can you quantify "majority of the particles"? For example, would it be useful/possible to have a plot estimating the number or volume fraction of particles with BC core as a function of dry particle size (e.g. in supplementary material)?"

Changes: We added the following paragraph to Sect. 2.3.2 p. 9, 1. 16:

"[...]We treated our particles as two-component mixtures considering an insoluble BC core ($\kappa = 0$) and a soluble coating to which we assigned the size-resolved median κ value ($\kappa_{coating}$:= κ_{median}) obtained from sCCNC measurements: κ_{median} was retrieved from the diameter at which 50% activation is reached for a certain SS applied in the CCNC (see Sect. **Error! Reference source not found.**). Figure 7, which will be discussed later, indicates that κ_{median} is virtually not affected by variations in the number fraction of locally emitted BC particles. Instead, κ_{median} is representative of the hygroscopicity of the background aerosol, which has a very small BC mass fraction (e.g: Hueglin et al, 2005), and was therefore chosen as approximation for the coating hygroscopicity. [...]" Comment: "Page 11, Line 15: The authors state that the anomalies in the size-dependence of κ are likely due to the increased uncertainties in CCNC calibration at the lowest and highest supersaturation. In the next two paragraphs, however, the results from these two supersaturations are being discussed more detailed and the authors even use the measured value of $\kappa_{\text{median}} = 0.6$ (at SS = 1.33%) to support their hypothesis on night-time accommodation of ammonium nitrate. Frankly, this would not make much sense if the anomalies in the size dependence of were solely due to calibration uncertainties. It should be addressed more carefully how the CCNC calibration uncertainties effect the data and data interpretation.

Response: This apparent confusion is resolved by the fact that the first statement refers to a small deviation, whereas the following two paragraphs refer to substantially higher κ . The text has been modified to avoid this confusion.

Moreover, most of the discussion in the two paragraphs is based on temporal patterns, which only relies on precision rather than accuracy of the data.

Changes: First of all, we added uncertainties to the values shown in Table 1. The statement about size dependence of κ was reworded (p. 12, l. 17): "[...] Mean aerosol hygroscopicity increased with increasing particle size (Table 1), a feature which is often observed for atmospheric aerosols (Swietlicki et al., 2008). Note, the aforementioned trend of κ_{median} with particle size is broken for the data from measurements at lowest and highest supersaturations; however, this minor deviation from the trend at either end is likely an artefact caused by systematic bias within the specified calibration uncertainties at these two extreme supersaturations [...]."

We also included a value of uncertainty in the following paragraph, Sect. 3.1, p. 12, l. 38:

"The fact that the retrieved κ_{median} value increased up to 0.6 (uncertainty: ±20%) thereby almost reaching the κ value of ammonium nitrate (~0.67 for 0.3% <SS< 1%; Petters and Kreidenweis, 2007), supports this hypothesis."

Concerning the uncertainty of eBC data from the aethalometer, we added the following paragraph p. 7, 1. 32:

"The Environmental Technology Verification Report for the Aethalometer reported an instrument precision of $\pm 15\%$ (<u>https://www.epa.gov/etv/pubs/01_vr_aderson_aeth.pdf</u>). However, the uncertainty of aethalometer data, largely dominated by the estimate of the mass-specific attenuation coefficient, can reach values as high as 50%."

Concerning the uncertainty of CCNC data in Table 1 (see Sect. 2.2.1, p. 5, l. 38):

The uncertainties on CCN concentrations measured by the CCNC (Table 1) are based on the study of Rose et al. (2008); they are higher at SS below 0.14%, following the instructions from the ACTRIS standard operation procedures (<u>http://fp7.actris.eu/Portals/97/deliverables/PU/WP3_D3.13_M24.pdf</u>).

Comment "**Page 11, Line 36:** The authors have done great job assessing the contribution of different sources (traffic and wood burning) on the mixing state and presence of non-hygroscopic particles. However, it feels that such a comprehensive analysis and presentation shifts the attention away from the focal points of the manuscript. I would like to ask the authors to consider condensing this part of the manuscript by moving "less important" parts and maybe some of the figures to the supplementary material and to concentrate especially on those periods relevant for analyzed fog events."

Response: Agreed by the authors.

Changes: We moved Figure 5 and the corresponding discussion to the Supplement.

We added the following text to Sect. 3.2, p. 13, l. 16 instead:

Based on the diurnal cycles of particle and BC concentrations and two different indicators of the source of carbonaceous aerosol (the absorption Ångström exponent and the organics to eBC mass ratio), we conclude that these concentration peaks were caused by traffic emissions, rather than the second most common source of BC in Zurich, wood burning (Zotter et al., 2017; additional discussion attached to Figure S5 in the Supplement).

Comment **"Page 15, Line 6:** According to Fig. 3, the range between the 95% confidence intervals also illustrates the range of variation during the fog events. Therefore, the derived uncertainty of SS_{peak} (Table 2) could be somewhat interpreted as an indicator of temporal variation. In my opinion, these uncertainty estimates should

be discussed, or at the very least, mentioned in this paragraph.

Response: Indeed, the range between the 95% intervals indeed illustrate temporal variability during a fog event. The authors agree with the reviewer that it should thus not be included in the uncertainty calculation of the mean SS_{peak} during a fog event. Instead, uncertainties are dominated by extrapolation errors.

Changes: We revised the uncertainty calculations accordingly (see also answer to next comment) and added the following clarification to Sect 2.3.4, p. 10, l. 35:

"As discussed later and shown in Figure **Error! Reference source not found.**c, κ_{median} is essentially independent of size for diameters between around 80 nm and 200 nm (between 75 nm and 178 nm for the 14 December event shown in the figure). The uncertainty of κ_{median} extrapolated to the activation cut-off diameters, $\kappa_{\text{median}}(D_{\text{half}}^{fog})$ and $\kappa_{\text{median}}(D_{50}^{fog})$, is dominated by extrapolation errors, which are estimated to be potentially as large as 40%.

Comment "**Page 38, Figure 9:** The figure caption says, "The variability in the fogactivated fraction induced by the choice of $\kappa_{coating}$ (retrieved $\kappa_{median} \pm 0.05$) is represented by horizontal bars". Why is an arbitrary (?) uncertainty of 0.05 used and not the uncertainty indicated by the 95% confidence intervals like in Table 2?

Response: The uncertainty analysis for panels b)-e) in Fig. 9 of the revised manuscript was redone. The horizontal error bars now show Poisson-based statistical uncertainties of the activated fractions. We also changed the error bars of SS_{peak} according to the updated uncertainty estimates (see previous comment). The uncertainty of the κ values, while being important for inferred cloud peak supersaturation, has virtually no influence on the outcome of the closure as changing the κ value has two compensating effects. This is now discussed in detail in the Supplement by means of the new Figure S9 and summarizing statements in the main manuscript. *Changes*:

Figure 9 including caption were updated.



Figure 9: (a): SS_{crit} of individual particles sampled behind the total inlet (grey dots) and interstitial inlet (dots coloured by Δ_{coating}) as a function of their D_{rBC} during the 14 December fog event. The distinct band of data

points appearing with an SS_{crit} of 0.015 % corresponds to BC-containing particles which caused saturation of the scattering detector even in the leading edge range of the signal, making it impossible to accurately determine SS_{crit}. As these particles are known to have lower SS_{crit} than the most thickly coated particles which did not cause signal saturation, they are assigned a "randomly chosen" low value for SS_{crit} and included in the figure. (b), (c), (d), (e): fog-activated fractions of BC-containing (black lines) and BC-free (light blue lines) particles per class of 0.01 % SS for the 14, 15, 18 and 20 December fog events, respectively. The horizontal error bars associated with the activated fractions represent Poisson-based statistical uncertainties. The horizontal blue lines show the SS_{peak} for each fog event retrieved using D_{50}^{fog} (with the method and uncertainty explained in Sect. Error! Reference source not found.).

The new Figure S9 in the Supplement and associated discussion are:



Figure S9: Sensitivity analysis of BC activated fraction in fog to assumed coating hygroscopicity. Same as Figure 9a and b for the 14 December fog event plus additional activation curves derived with $\kappa_{coating}$ disturbed by ±0.05.

The following summarizing statement was added to Sect. 3.5, p. 18, l. 24:

"It is important to note that the closure for the activation of BC-containing particles is insensitive to changes in κ_{coating} as changing κ_{coating} has two compensating effects (see Figure S9 and corresponding discussion in the supplement)."

In addition, the following discussion was attached to Figure S9 in the supplement:

"Discussion of Figure S9: To infer the critical supersaturation of individual BC-containing particles, the hygroscopicity parameter of the coatings, $\kappa_{coating}$, was assumed to be equal to the median hygroscopicity measured for the total aerosol (κ_{median} ; see Sect. 2.3.2). Here, we performed a sensitivity analysis to test the sensitivity of the BC activation closure result to the assumed value of $\kappa_{coating}$: the analysis shown in Figure 9a and 9b and explained in Sect. 3.5 was repeated with using $\kappa_{coating}$ disturbed by ±0.05. Figure S9b shows that changing $\kappa_{coating}$ alters the retrieved fog peak supersaturation (solid horizontal lines) as well as the vertical position of the curves indicating the activated fractions. These changes virtually compensate each other such that the observed 50% activated fraction for BC-containing particles is reached at a supersaturation closely mating the fog peak supersaturation for all three $\kappa_{coating}$ scenarios. This means that successful closure between observed and predicted cloud droplet activation of BC is successfully achieved independent of the exact choice of $\kappa_{coating}$."

Technical comments:

Comment "Page 5, Line 17: This sentence needs some minor rephrasing as something seems to be lacking, e.g., "from 20 to 593 nm in 5.5 min, after which the monodisperse aerosol"

Changes: Manuscript corrected.

Comment **"Page 5, Line 30:** "was used behind the total inlet" Should this say interstitial inlet instead of total inlet?

Changes: Manuscript corrected, we thank the referee.

Comment "**Page 16, Line 5:** The sentence starting as "The BC cores with" is not easy to understand and could be rephrased to improve readability.

Changes: This sentence (p. 17, 1. 13) was changed to:

"The BC cores associated to core diameter D_{rBC} below 212 nm and a thin/moderate coating remained smaller than the minimum overall particle diameter required for activation: according to Figure **Error! Reference source not found.**, this diameter was around 280 nm during the 14 December event, even for BC-free (water-soluble) particles."

Comment "Figures: Is it possible to increase the font sizes especially in Figures 3, 5, 7 and 11.

Response: Agreed by the authors.

Changes: The changes were implemented in the manuscript.

Answers of the authors to the interactive comment of Anonymous Referee #3 (Referee Comment 1)

Anonymous review of manuscript: General remarks

This paper investigates the activation of internally mixed black carbon in fog by making use of the low supersaturations within fog to do a closure study on the droplet activation behavior of BC-containing particles. The measurements were taken during a field campaign in a residential area of Zurich in the winter, and indicate that aerosols sourced from traffic during rush hour periods are generally less hygroscopic than aerosols sourced from wood burning.

The paper is well-written and uses novel methods to demonstrate good agreement between predicated and observed behavior. It is appropriate for ACP and is a useful scientific result that will help to constrain the lifetime of BC in the atmosphere, and demonstrates that simple parameterizations of hygroscopicity in terms of a kappa-Köhler parameter are in good agreement with atmospheric observations.

The methods and measurements are adequately described, as are comparisons with previous atmospheric observations. There are a few minor points that should be clarified to make the paper clearer. The paper would also benefit from a more focused discussion on the major conclusions of the paper, as it is sometimes challenging to follow.

Specific comments from Referee #3:

Comment: "Some of the figures are hard to read (the text is very small). There are also quite a large number of figures (11) and I would suggest moving some of the less important figures (e.g. figures 4, 5, or 6) to the supplemental information to draw more attention to the other figures."

Changes: We increased the font size in most of the figures. Figure 5 was moved to the Supplement as well as the corresponding text. However, Figure 4 gives a good overview (the only time series) of several parameters during the week of the four fog events and Figure 6 is important to describe the impact of the vehicle emissions during the "rush hours".

Comment: "To improve the clarity of the discussion it would be useful to have a table summarizing the different variables, such as the activation diameters and supersaturations." *Response*: The activation diameters, supersaturations, as well as other information concerning the four fog events, are already listed in Table 2.

Changes: We added two references to Table 2 in the manuscript: "Two values of SS_{peak} are given for each fog event in Table 2" in Sect 3.3 (p. 16, l. 11) and " D_{half}^{fog} and D_{50}^{fog} lay in the range 320 to 380 nm and 370 to 470 nm, respectively (see Table **Error! Reference source not found.**)", also in Sect. 3.3 (p. 16, l. 6).

Comment: "It would be useful to clearly state the upper and lower limits for the optical size range of non-BC containing particles detected by the SP2 in the 8-channel configuration, and at what optical size the scattering detectors are saturated."

Response: Various quantities can be inferred from the data delivered by the 8 channels of the SP2 alone and also from combinations of these data, each of which has its own lower and upper limits and detection and quantification. The dynamic ranges covered by the SP2 for different parameters are directly accessible where needed, i.e. from the range of data shown in the figures: e.g. optical diameter for standard sizing and LEO-fit based sizing in Figs. 3a and 7, rBC mass

equivalent core diameter in Fig. 8-10, and coating thickness in dependence of BC core diameter in Fig. 10.

Changes: Several limits of detection are now also explicitly mentioned in the methods section (Sect. 2.2.3).

"The respective lower limits of quantification are ~ 0.32 fg translating to and ~ 70 nm (note, smaller BC core can also be detected with a detection efficiency of less than unity). At the upper end, BC size distributions are only shown up to 300 nm in diameter, due to insufficient counting statistics at larger sizes."

"The peak amplitude of the elastically scattered light is used for optical sizing of BC-free particles from 130 nm to 380 nm."

Comment: "It looks like the laser power in the SP2 used to determine the optical size was only calibrated twice with PSL's, before and after the campaign; were these two calibrations consistent?"

Changes: One sentence added to the instrumental section (Sect. 2.2.3), p. 6, l. 30:

"The laser monitor did not indicate a laser power drift and the calibration coefficient for the scattering detector varied by less than 2% between the two calibrations. Therefore a constant calibration coefficient was applied for the whole campaign."

Comment: "Why was the AMS not used to estimate the index of refraction of the coatings based on the chemical composition of the bulk aerosols? Also, what is the motivation behind choosing the refractive index values for the coatings? These values were given without justification or reference. How much would the index of refraction vary based on the observed bulk aerosol chemical composition, and what is the sensitivity of the calculated kappa values for different values of index of refraction for the BC coating?"

Response: Choosing a refractive index of 1.50 + 0i at 1064 nm very often brings mobility sizing and optical diameter to close agreement for atmospheric aerosols. ACSM derived estimates of the refractive index would not provide additional benefit given the relatively large "representative diameter" of the mass based bulk measurement and the uncertainty of the actual refractive index of the organic fraction at 1064 nm.

Changes: The following addition was made in the methods section (Sect. 2.3.3), p. 6, l. 32:.

"Calibrated scattering cross section measurements of BC-free particles were converted to optical diameters (D_{opt}) assuming spherical particles with a refractive index of 1.50+0i at 1064 nm. With this choice, the particle number size distributions measured by the SMPS and the SP2 agree well in the overlapping size range (not shown) and optical sizing is only weakly sensitive to the applied refractive index (Taylor et al., 2015)."

Comment: "Figure 9 – This size dependence could also potentially be explained by dry deposition removing larger, thickly coated BC particles more efficiently. It would be useful to estimate the relative importance of dry deposition.

Remark: Due to the move of Figure 5 to the Supplement, Figure 9 is now Figure 8. We use the latter name in the paragraph below.

Response: Figure 8c gives an activated fraction, i.e. the fraction of particles that activated to cloud droplets among airborne particles. The brown line in Figure 8c only considers the subset of BC with thick coatings, and gives the activated fraction of this group. Particles deposited to the ground are not considered in this calculation.

For example, even if 60% of the thickly coated BC got deposited to the ground by dry processes, the brown line in Figure 8c gives the activated fraction of the other 40% that are still suspended in the air.

However, our instrumentation does not allow us to quantify the relative importance of condensation and dry deposition time scales.

Changes: No changes to the manuscript.

Comment: "Also, are there any potential size-dependent biases in using the delay time SP2 method for separating the two populations of aerosols?"

Response: Yes, care needs to be taken with the delay time method because the "delay time" cannot be detected for "thinly coated" small BC cores nor for "thickly coated" large cores. However, we only show BC-core size segregated data and only for the core size range where lower/upper detection limits do not bias the result (see grey shadings in Fig. 8b&c). *Changes*: No changes to the manuscript.

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Droplet activation behaviour of atmospheric black carbon particles in fog as a function of their size and mixing state

G. Motos¹, J.Schmale¹, J.C. Corbin^{1,*}, M. Zanatta^{1,**}, U. Baltensperger¹ and M. Gysel¹

¹Laboratory of Atmospheric Chemistry, Paul Scherrer Institute, 5232 Villigen PSI, Switzerland

^{*}Now at Measurement Science and Standards, National Research Council Canada, 1200 Montreal Road, Ottawa K1A 0R6, Canada

^{**}Now at Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Bremerhaven, Germany *Correspondence to*: Martin Gysel (martin.gysel@psi.ch)

Abstract. Among the variety of particle types present in the atmosphere, black carbon (BC), emitted by combustion processes, is uniquely associated with harmful effects to the human body and substantial radiative forcing of the Earth. Pure BC is known to be non-hygroscopic, but its ability to acquire a coating of hygroscopic organic and inorganic material leads to increased <u>diameter and hygroscopicity as well as diameter</u>, facilitating droplet activation. This affects BC radiative forcing through aerosol-cloud interactions (aci) and BC life cycle. To gain insights into these processes, we performed a field campaign in winter 2015/16 in a residential area of

- 15 Zurich which aimed at distinguishing different particle mixing states regarding hygroscopic properties in the eloud condensation nuclei (CCN) activated fraction spectrum of urban aerosol and establishing relations between the mixing state of BC particles and its their activation to form droplets- in fog. This was achieved by operating a CCN counter (CCNC), a scanning mobility particle sizer (SMPS), a single particle soot photometer (SP2) and an aerosol chemical speciation monitor (ACSM) behind a combination of a total- and an interstitial-aerosol inlet.
 - Our results indicate that, depending on the time of the day, we sampled both heavily aged internally mixed BC from background air advected to the site and freshly emitted externally mixed BC from local or regional traffic sources. During rush hours in the morning of weekdays, we found clear evidence that in the morning hours of weekdays, the enhanced traffic emissions caused peaks in the number fraction of externally mixed BC particles, which do not act as CCN within the CCNC.
 - The mixing state of BC particles was also found to play a key role in their ability to form fog droplets. The very low effective peak supersaturations (SS_{peak}) occurring in fog (between approximately 0.03 and $0.0\frac{6\%6\%}{1.00}$ during this campaign) restrict droplet activation to a minor fraction of the aerosol burden (around 0.5 to $\frac{1\%1\%}{1.00}$ of total particle number concentration between 20 and 593 nm) leading to very selective criteria on diameter and
- 30 chemical composition. We show that bare BC cores are unable to activate to fog droplets at such low SS_{peak} , while BC particles surrounded by thick coating have a very similar activation behavior as BC-free particles. The threshold coating thickness required for activation was shown to decrease with increasing BC core size. Using simplified κ -Köhler theory combined with the ZSR mixing rule assuming spherical core-shell particle geometry constrained with single particle measurements of respective volumes, we found good agreement between the
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predicted and the directly observed size and mixing state resolved droplet activation behaviour of BC-containing particles in fog. This successful closure demonstrates the predictability of their droplet activation in fog with a simplified theoretical model only requiring size and mixing state information, which can also be applied in a consistent manner in model simulations.

1 Introduction

Black carbon (BC) is formed during the incomplete combustion of fossil and biogenic fuels in anthropogenic sources (e.g. on-road and off-road diesel vehicles, residential heating) and natural sources (natural wildfires and smoldering peat fires). According to a recent study based on emission inventory modelling (Klimont et al., 2017), 75%5 % of the global atmospheric BC mass in the year 2010 originated from human activities. It has to be noted that the authors of this study did not perform any formal uncertainty analysis. Although BC represents a small fraction of the atmospheric particulate matter (typically around 10%0 % by mass; Putaud et al. (2004) and Lanz et al. (2010) over Europe and Hueglin et al. (2005) in Switzerland), it possesses unique properties that lead to strong impacts on health and climate. Indeed, sufficient evidence has now been brought to link exposure to BC with cardiopulmonary morbidity and mortality (World Health Organization, 2012). Concerning the impacts on climate, BC has been shown to influence the Earth's climate—4—via_both aerosol-radiation interactions (ari, industrial-era forcing of +0.71 W m⁻², 90%0 % uncertainty range: +0.08 to +1.27 W m⁻²; Bond et al., 2013) and aerosol-cloud interactions (aci, industrial-era forcing of +0.23 W m⁻²; 90%0 % uncertainty range: -0.47 to +1.0,-W_m⁻²; Bond et al., 2013). The high uncertainties attached to these estimates originate

- 15 from the low level of confidence in understanding and quantifying the atmospheric processes in which BC is involved, particularly with respect to aerosol-cloud interactions (Bond et al., 2013). The internal mixing of BC with other material is a key factor affecting its radiative forcing, since it has impacts on both ari and aci of BC. Such internal mixing focuses incident solar radiation to the BC core and results in an increase of its massspecific absorption (Bond et al., 2006; Lund et al., 2017; Cappa et al., 2012), Throughout this study, we refer to
- 20 BC mixing state in relation to coatings, i.e. a strong degree of internal mixing is associated with thick coatings whereas externally mixed BC is associated with no or very thin coatings. The atmospheric lifetime of BC is also influenced by its mixing state through nucleation scavenging (Lund et al., 2017). This is of major importance, as an increased lifetime allows for interactions with the solar radiation during a longer time window (Hodnebrog et al., 2014). Lund et al. (2017) modelled the changes of global mean ari-induced radiative forcing (RFari) when
- 25 varying the amount of coating required for a particle to pass from the non-hygroscopic mode (unactivated) to the hygroscopic mode (activated to a droplet). They reported changes up to 25-50%0 % of the RFari compared to the baseline simulation. It is therefore of major importance to better assess the dependence of the BC activation behaviour on its size and mixing state.
- General definitions of fog include two criteria for suspended water droplets to be called fog: a vicinity to the
 Earth's surface and a reduction of visibility below 1 km (e.g. <u>American Meteorological Society</u>, Glickman, 2000; National Oceanic and Atmospheric Administration, <u>NOAA</u>, 1995). Fog is a type of cloud which forms upon isobaric processes. The detailed microphysics associated with these processes can be found in Pruppacher and Klett (1980). On a global scale, fog is relatively sparse (total amount of <u>1%1%</u> over both sea and land; <u>Warren et al.</u>, 2015)- but its spatial coverage is highly variable around the globe, up to an amount of 40%0% (e.g. Gordon et al., 1994; Lange et al., 2003; Syed et al., 2012).
- Depending on the process of formation, different types of fog can be distinguished: radiation fog, advection fog, sea and steam fog, mixing fog and ice fog. The most common type is radiation fog, which is formed by isobaric infrared cooling of the Earth's surface. The air in contact with the surface is then cooled by conduction, decreasing the temperature of the humid boundary_-layer air by atmospheric mixing. If the dew point temperature of the air mass is reached, fog forms. The required meteorological conditions are clear skyles and

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Field Code Changed Field Code Changed Formatted: English (U.S.) Field Code Changed Formatted: French (France) Field Code Changed Formatted: French (France) Formatted: French (France) wind speed below 0.5-1 m s⁻¹ (Roach et al., 1976; Mason, 1982). Therefore, radiation fog generally occurs after sunset, but can persist all day in winter, if not dissipated by solar radiation. Several field studies have been performed to investigate the physical processes of fog formation and dynamics (Haeffelin et al., 2010) and the evolution of chemical species in the presence of fog (Fuzzi et al., 1992). The cooling of an air parcel below its

5 dew point results in the supersaturation (SS) of water vapour. Droplet activation of an aerosol particle occurs when the SS of the surrounding water vapour exceeds its critical supersaturation (SS_{crit}), thereby forming a cloud or a fog droplet.

BC is most often emitted bare or mixed with only small amounts of other materials; at this stage it hardly undergoes hygroscopic growth at elevated relative humidity (RH) because BC is water insoluble (Weingartner

- et al., 1997; Gysel et al., 2003). Several recent chamber and field studies showed that the subsequent acquisition of water-soluble coatings, by condensation and coagulation of organic and inorganic materials, enhances the hygroscopicity of these BC-containing particles and allows droplet activation at atmospherically relevant SS
 (e.g. Tritscher et al., 2011; Liu et al., 2013; Wittbom et al., 2014). The fate of BC particles in fog has also been studied, mostly by comparing scavenging efficiencies of BC with other species. Hallberg et al. (1992), Noone et
- 15 al. (1992) and Facchini et al. (1999) showed that elemental carbon (EC) is preferentially found in interstitial particles rather than in fog droplets, while Gundel et al. (1994) found evidence supporting the hypothesis that organic compounds could enhance the incorporation of BC into fog droplets. Results from Collett et al. (2008) indicate that the scavenged fraction of BC is higher for wood smoke emissions than for vehicle exhaust emissions. A single-particle analysis of BC in low-altitude stratocumulus clouds, in which low SS_{peak} were
- 20 retrieved, showed that the activation of BC was made possible by the presence of coatings (Schroder et al., 2015). However, the technical complexity of such measurements did not yet allow for a precise quantification of the activation behaviour of BC as function of its size and coating thickness (Δ_{coating}).

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Although BC can dissipate fog through the semi-direct effect (evaporation of fog droplets due to absorption of solar radiation by BC particles and subsequent droplet evaporation), high concentrations of other CCN were shown to influence fog lifetime in a stronger manner (Maalick et al., 2016). Because these CCN form droplets more efficiently, they lead to increased radiative cooling and decreased droplet removal through sedimentation,

Few model studies have represented the role of BC in aerosol-cloud/fog interactions (Bond et al., 2013).

- thus enhancing fog lifetime. To simulate the cloud properties of ambient particles, the increase of hygroscopicity of BC has to be accurately represented, meaning that the models need realistic mixing state schemes. Due to the scarcity of instruments that can provide this type of information and to high computational costs, these properties are often modelled in a highly simplified manner. The conversion from hydrophobic to hydrophilic BC (which may lead to droplet activation) was originally considered to happen after a fixed lifetime (Koch et al., 2009). This conversion has recently been treated as a variable depending on e.g. particle concentration in
- many particle-resolved models (e.g. Riemer et al., 2009). The results from these recent simulations emphasized
 the importance of accurately simulating the increase of BC hygroscopicity with aging in order to get realistic assessments of the corresponding concentrations and radiative forcing, with crucial implications for specific research questions such as the estimation of the climate impact of BC in highly polluted regions (e.g. <u>E</u>eastern Asia; Matsui, 2016) or the transport of BC to the Arctic (Liu et al., 2011).

Significant efforts are needed to reach a better understanding of the evolution of the mixing state of BC after 40 | emission, and quantify the links between mixing state and droplet activation. Chamber measurements recently

this question (e.g. Dalirian et al., 2017) Laboratory studies recently started to address this question (e.g. Dalirian et al., 2018) but very few studies reported ambient measurements. Urban areas contain a variety of BC sources, making them favorable sites to study different mixing states of BC. Furthermore, the occurrence and stability of fog at ground level in these areas facilitates the study of the activation behaviour of

- 5 BC. In this study, we first focus on the size-dependent mixing state and hygroscopicity of aerosol particles emitted in winter at an urban site, before establishing quantitative links between particle diameter, mixing state and droplet activation of BC-containing particles. Then, we estimate the SScrit of BC-containing particles using a theoretical approach based on a core-shell model and compare the predicted activation behaviour with in-situ field measurements of droplet activation in fog. We found agreement between predicted droplet activation of
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- BC, constrained with measured particle size and BC volume fraction, and observed droplet activation in the fog. This finding justifies the simplified description of BC activation in model simulations based on particle size and BC volume fraction using κ -Köhler theory.

2 Measurements and methods

2.1 Measurement period and site

15 The field campaign took place at the Irchel campus of the University of Zurich, located 2.5 km north of the city center (47°23'43" N, 8°32'55" E) during winter 2015/16. A satellite picture of the site is shown in Figure S1. The data presented here come from measurements performed over the period from 6 November 2015 to 31 January 2016. The instruments used for this campaign ran in an 11.2 m³ air-conditioned stationary trailer.

The Irchel campus is located within a residential area of Zurich; the closest industries or agricultural fields are 20 located 2 km away from the measurement site. One of the most used highways in Switzerland passes eastward and northward of the measurement site (see Figure S1), the closest point being 2.5 km northeast (96'877 to

142'074 car counts in total in December 2015, depending on the exact location (source: SARTC). Smaller busy roads are found around 200 m northward and westward of the site. In addition, wood burning emissions from domestic heating are also expected to contribute to the anthropogenic aerosol loading at this location during winter time.

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The Swiss plateau is known for a high frequency of fog events occurring during winter. For example, during the period 1901-2012, continuous fog or low stratus presence during a full 24-hour period was observed on average 17 days in total in Zurich in the months from September to March (28 days with at least half-day occurrence; Scherrer and Appenzeller, 2014). Thus, due to the high frequency of foggy conditions and the presence of mixed sources, this measurement site was chosen.

2.2 Instrumentation

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Two different inlets and twelve instruments were used during this campaign (Fig. 14). All aerosol particles, including fog droplets, were sampled through a hood-shaped total inlet with a flow rate of 4.8 L min⁻¹, approximately 3 m above the ground. Hammer et al. (2014b; Supplement) showed that systematic biases in the observed activation cut-off diameter potentially resulting from incomplete collection efficiency of fog droplets in the total inlet remains below 10 %. One meter away at the same height, an interstitial inlet sampled nonactivated particles with a flow rate of approximatively 16.7 L min⁻¹. This inlet included an aerodynamic size Field Code Changed

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discriminator removing all large particles and hydrometeors (Very Sharp Cut Cyclone, BGI, Butler, NJ, USA; described in Kenny et al., (2000)). Laboratory tests showed small variations of the cut-off diameter (2.2 to 2.4 μ m) for flow rates between 15.7 and 17.7 L min⁻¹. This range of cut-off is close to the value of 2.6 μ m recommended by Hammer et al. (2014b) for separating hydrated (but non-activated) particles from fog droplets.

- 5 Setting the cut-off between the diameter modes of non-activated (but hydrated) particles and fog droplets is very important for obtaining reliable results. If it is set too high, activated droplets may enter the interstitial line and the resulting curve of the size-dependent activated fraction of particles gets flattened; if it is set too low, non-activated but large solution droplets may be removed by the inlet, resulting in an artificially increased activated fraction. Due to the different particle losses in the interstitial and the total lines, scaling factors were calculated
- 10 using the ratios of the total to the interstitial particle number size distributions over fog-free, sunny periods, during which these size distributions should be identical below the interstitial inlet cut-off diameter. For each fog event, scaling factors were calculated before and after the event, averaged, and then used during the event to correct the particle number size distribution behind the interstitial inlet. For the single-particle soot photometer (SP2), a scaling factor of 1.16, independent of particle diameter, was used until 17 December (on that day, a thin
- 15 tubing causing a pressure drop to the SP2 only-was replaced by a thicker one; after that day, the measured scaling factor was 1.03; see Figure S2a). For the scanning mobility particle sizer instruments, size-dependent scaling factors were calculated for each fog event in order to take into account both the different line losses behind each inlet and the internal measurement errors of each SMPS (See Figure S2b).
- Aerosols from both inlets were then led inside the trailer by stainless steel tubes and dried with vertically positioned diffusion driers, before being brought to the instruments with electrically conductive tubing. These driers were needed to keep the relative humidity below 40%0 % inside the measurement lines, as recommended by the World Meteorological Organization/Global Atmosphere Watch <u>(World Meteorological</u> OrganizationWMO/GAW, 2016). The temperature increase from outside (~0 °C) to inside (~25 °C) the trailer also contributed to the drying of the sample air and thus evaporation of fog droplet water. Some evaporation artefacts of other semi-volatile aerosol components cannot be excluded. However, they are not expected to be excessive for particles in the upper accumulation mode size range, based on results by Nessler et al. (2003) for comparable temperature difference but at a different location.

2.2.1 Scanning cloud condensation nuclei number and sCCNC-activated fractions

In order to get size-dependent information on the hygroscopic properties of ambient particles, a scanning cloud
 condensation nuclei counter (sCCNC) sampled air behind the total inlet. The sCCNC consists of a differential mobility analyzer (DMA, model TSI long, TSI Inc., Shoreview, MN, USA) scanning the particle mobility diameter range from 20 to 593 nm in 5.5 min, the resulting monodisperse aerosol is split between a CCNC (model CCN-100, Droplet Measurement Technologies, Longmont, CO, USA; Roberts and Nenes, 2005) and a condensation particle counter (CPC model 3022, TSI Inc., Shoreview, MN, USA). The assembly DMA-CPC can also be used as a scanning mobility particle sizer (SMPS) and provides the particle number size distribution behind the total inlet. The CCNC changes SS every 11 minutes, covering nine SS: 0.14, 0.21, 0.27, 0.34, 0.40, 0.47, <u>0</u>.67, 0.93 and 1.33%<u>3</u>%. Scans with unstable temperature in the CCNC chamber were removed from the analysis. <u>The CCNC was calibrated before and after the campaign on 13 August 2015 and 23 March 2016, respectively, using size-selected ammonium sulfate. Both calibration curves agreed within 5% (relative) with
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each other and are in good agreement with the instrument history for the range between 0.1 % and 1.0 % SS. This agreement is better than the estimated calibration accuracy of ~10 %. As discussed later, the CCNC was also operated at SS = 1.33 % during the campaign. Higher uncertainty of ± 20 % was assigned to this supersaturation to allow for extrapolation uncertainty, which may have caused larger bias for data derived from

- 5 measurements at this SS. The uncertainties on CCN concentrations measured by the CCNC (Table 1) are based on the study of Rose et al. (2008); they are higher at SS below 0.14 %, following the instructions from the <u>ACTRIS standard operation procedures (http://fp7.actris.eu/Portals/97/deliverables/PU/WP3 D3.13 M24.pdf)</u>. The sCCNC, which was presented by Moore et al. (2010), was e.g. used in a semi-urban environment by Jurányi et al. (2013) and in a boreal forest by Paramonov et al. (2013) and permits the comparison of particle (N)
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number size distribution and CCN number size distribution with a time resolution of 5.5 min for a fixed SS. Activated fractions were calculated from these two size distributions after correcting both measurements for multiple charging. They are referred to as sCCNC-activated fractions.

2.2.2 Particle number and size distribution

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A second SMPS, which combined the same models of DMA and CPC as the one sampling behind the total inlet, was used behind the <u>interstitial_total</u>-inlet but scanned over a larger mobility diameter range from 19 nm to 807_-nm. The comparison of particle number size distributions behind the total and interstitial inlets allows for the calculation of the dry activation cut-off diameter, as explained in Sect. <u>2.3.32.3.3</u>, which which is related to <u>corresponds</u>-to the ambient SS present when the fog formed.

2.2.3 Black carbon

- A single particle soot photometer (SP2, Droplet Measurement Technologies, Longmont, CO, USA) <u>upgraded to</u> <u>8-channel Revision C version</u>-was the only instrument switching between the total and interstitial inlet, through an automated three-way valve, with a 20 min alternation. Detailed information about the SP2 can be found in Moteki and Kondo (2007); Schwarz et al. (2006) or Stephens et al. (2003). Briefly, the SP2 carries the aerosol sample flow (0.12 L min⁻¹) through a high-intensity intra-cavity Nd:YAG laser with a wavelength of 1064 nm,
- making BC particles incandesce (detection by two photomultipliers) until they vapourize. An avalanche photodiode is used to detected elastically scattered light. A second multi-photodiode was used as a split detector, providing information on the position of particles in the laser beam (Gao et al., 2007). The peak intensity of the thermal radiation is proportional to the refractory BC (rBC) mass in the particles, from which the rBC mass equivalent diameter (D_{rBC} with a limit of detection around 50 nm) is inferred assuming spherical shape. The respective lower limits of quantification are ~0.32 fg translating to ~70 nm (note, smaller BC cores can also be detected with a detection efficiency of less than unity). At the upper end, BC size distributions are only shown

<u>up to 300 nm in diameter, due to insufficient counting statistics at larger sizes.</u> The peak amplitude of the elastically scattered light is used for optical sizing of BC-free particles from 130 nm

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to 380 nm. The SP2 was calibrated before and after the campaign using mobility diameter selected fullerene soot for rBC mass (mobility-mass relationship taken from Gysel et al., (2011)) and polystyrene latex spheres (PSL, 269 nm) for the scattering detector. The laser monitor did not indicate a laser power drift and the calibration coefficient for the scattering detector varied by less than 2 % between the two calibrations; therefore a constant calibration coefficient was used for the whole campaign. Calibrated scattering cross section

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measurements of BC-free particles were converted to optical diameters (D_{opt}) assuming spherical particles with a refractive index of 1.50+0i. With this choice, the particle number size distributions measured by the SMPS and the SP2 agree well in the overlapping size range (not shown) and optical sizing is only weakly sensitive to the applied refractive index (Taylor et al., 2015).

- 5 The presence of different types of detectors in the SP2 provides an opportunity to obtain information on the BC mixing state on single particle level. When an internally mixed BC-containing particle enters the laser beam, it heats up and the coating evaporates resulting in a reduction of the scattering cross section, followed by further heating of the remaining BC core until the BC boiling point is reached and the BC core starts evaporating. The peak incandescent signal occurs when the BC boiling point is reached. As laser intensity increases and scattering
- cross section decreases when the particle enters the laser beam, the peak scattering signal can either occur a few microseconds before peak incandescence when coating evaporation begins or at peak incandescence when BC core evaporation begins. The time difference between scattering and incandescence peak signals, commonly referred to as <u>the_delay time_method</u>, can be used for a binary distinction between BC particles with thick coatings (>730%0 % coating by volume according to unpublished data from our laboratory) and BC particles with moderate or no coating at all (Moteki et al., 2007).
- A second, more quantitative method to determine Δ_{coating} was proposed by <u>Gao et al. (2007)</u>: the leading-edge-only (LEO)-fit. As BC-containing particles evaporate due to strong heating, their scattering cross section is less than the original value by the time they reach the centre of the laser beam where the peak scattering signal would occur for BC-free (i.e. non-evaporating) particles, thus disqualifying measured <u>peak</u> scattering amplitude for optical sizing. However, knowing the particle position in the laser beam from the split detector signal makes
- it possible to use the unperturbed leading edge scattering signal, i.e. before evaporation onset, for particle optical sizing. Scattering cross sections measured for BC-containing particles were converted to D_{opt} assuming a coated sphere morphology with BC core volume constrained from the rBC mass measurement and assuming refractive indices of 2.00+1.00i and 1.50+0i for BC core and coating, respectively. Details of the data analysis approach
- 25 are provided in Laborde et al. 2012a, 2012b). By subtracting the rBC mass equivalent core radius from the optical radius of the unperturbed particle, we obtain Δ_{coating}. For the data analysis of the present work, we used the leading edge scattering signal at 3%3 % of the maximal laser intensity. This method could only be used for BC-containing and BC-free particles with an overall optical diameter between around 260 nm to 500 nm.
- An aethalometer (model AE 33, Magee Scientific, Berkeley, CA, USA) was placed behind the total inlet. This 30 instrument measures the attenuation of light, at seven different wavelengths from 370 to 950 nm, passing through a filter that gets continuously loaded with ambient aerosols. The near-infrared channel at a wavelength of 880 nm was used for extracting the equivalent black carbon (eBC) mass concentration from the measured attenuation coefficient (e.g. Weingartner et al., 2003). The eBC mass concentrations reported by the instrument firmware were used without adjustment (i.e. default mass attenuation coefficient and no loading compensation).
- The term "eBC" is used following the recommendation by Petzold et al. (2013) in order to express that the accuracy of the inferred eBC mass concentration depends on the accuracy of the measured attenuation coefficient (e.g. shadowing effects) and accuracy of the mass attenuation cross section assumed to convert from the attenuation coefficient to the eBC mass concentration. The Environmental Technology Verification Report for the Aethalometer reported an instrument precision of ±15 %
 (https://www.epa.gov/etv/pubs/01 vr_aderson_aeth.pdf). However, the uncertainty of aethalometer data, largely



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dominated by the estimation of the mass-specific attenuation coefficient, can reach values as high as 50 %. The spectral dependence of the aerosol light absorption <u>is</u>₇ commonly expressed with the absorption Ångström exponent (AAE), which we determined from the aethalometer measurements at 470 and 880 nm. The AAE calculated in this manner can be used for black carbon source apportionment, if traffic and wood burning are

5 theits only main sources and if the AAE of either source is well known (Zotter et al., 2017, and references therein).

2.2.4 Aerosol chemical composition

To get information on the chemical composition and the mass of the non-refractory submicron bulk aerosol, a time-of-flight aerosol chemical speciation monitor (ToF-ACSM; Fröhlich et al., 2013), an instrument based on the aerosol mass spectrometer technology (AMS, Aerodyne Research Inc., Billerica, MA, USA), sampled air behind the total inlet. Six calibrations were performed, including pre and post campaign, and standard data analysis procedures using the Tofwerk "IgorDAQ" software package (Tofwerk AG, Thun, BE, Switzerland) were applied <u>(Fröhlich et al., 2013)</u>.(reference).

2.2.5 Cloud microphysics

15 Three instruments were installed on the roof of the trailer, approximately 3 m above the ground: a dew point mirror, a particulate volume monitor and a meteorological station. The dew point mirror (DPM; mirror-type dew point hygrometer VTP37 Airport, Meteolabor AG, Wetzikon, Switzerland) provided relative humidity data with a resolution of 0.1%1 % by measuring both the ambient temperature and the dew point temperature. This instrument is designed to measure the dew_point corresponding to the total condensed and gaseous water content. Accordingly, it indicates the presence of fog when the dew point exceeds ambient temperature due to the presence of liquid water. A particulate volume monitor (PVM; Gerber, 1991), which detects the light scattering by the fog droplets in forward direction, provided a second independent measurement of the liquid water content (LWC). A meteorology station provided data of temperature, pressure, wind speed and direction, precipitation rate and solar flux.

25 2.3 Data analysis and theory

2.3.1 Fog type and definition of a fog event

The PVM and DPM were used to indicate the presence of fog. Visibility was not measured during this campaign. The LWC derived from PVM and the DPM measurements agreed within $\pm 25\%5\%$ during the campaign. We used a minimum LWC of 100 mg m⁻³ measured by the PVM during at least one hour as threshold to define fog events. Note, the LWC was not used to infer fog peak supersaturation (see Sect. 2.3.5).

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Throughout the field campaign, four fog events were retained in the analysis of the present study, all of them between 14 and 20 December 2015 (Table 22). They occurred during night time principally (see Table 22) with low wind speed (Fig. 44). Thus, even though no classification of fog types was carried out during the campaign, it is highly probable that we only experienced radiation fogs. Other events were either too short, discontinuous, or suffered from a lack of instrumental data.

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2.3.2 K-Köhler theory and ZSR rule

The Köhler theory (Köhler, 1936) combines the Kelvin and Raoult effects to describe the equilibrium saturation vapor pressure (RH_{eq}) over a solution droplet. In the framework of the present study, this theory is the base for various calculations establishing a relationship between particle dry diameter (D_{dry}), chemical composition and

5 SS_{crit} for CCN activation. Petters and Kreidenweis (2007) proposed a simple semi-empirical parameterization of the Raoult effect in which the κ value is the single free parameter to describe particle hygroscopicity. The equilibrium supersaturation over the solution can then be expressed as:

$$SS_{eq}(D) := RH_{eq}(D) - 1 = \frac{D^3 - D_{dry}^3}{D^3 - D_{dry}^3(1-\kappa)} \exp\left(\frac{4 \cdot \sigma_{s/a} M_w}{RT \rho_{wD}}\right) - 1$$
(1)

Where *D* is the solution droplet diameter, D_{dry} is the dry particle diameter, $\sigma_{s/a}$ is the surface tension of the solution-air interface (considered as pure water in our calculations), M_w and ρ_w are the molar mass and the density of water, respectively, *R* is the universal gas constant and *T* is the absolute temperature.

Knowledge of two out of three parameters in the relationship $SS_{crit}-D_{dry}-\kappa$ allows calculating the third component by numerically solving Eq. (1). We made use of this relationship to infer the SS_{crit} of individual BCfree as well as of BC-containing particles from their dry size and the κ value determined with the SP2 and other instruments (Fig. 22). The SP2 provides a measurement of both rBC core mass equivalent diameter (D_{rBC}) and particle optical diameter (D_{opt}), which makes it possible to calculate the BC volume fraction (ε_{rBC}) for each particle falling within the relevant detection limits:

$$\varepsilon_{\rm rBC} = \frac{D_{\rm rBC}^3}{D_{\rm opt}^3} = \frac{D_{\rm rBC}^3}{(D_{\rm rBC} + 2\Delta_{\rm coating})^3}$$
(2)

The optical particle diameter can also be expressed with D_{rBC} and $\Delta_{coating}$. The rBC volume fraction is required to 20 calculate κ_{mix} , the κ value of internally mixed particles, which is equal to the volume fraction weighted mean of the κ values of all species or component classes present in the particle (Petters and Kreidenweis, 2007), under the assumption that the Zdanovski-Stokes-Robinson (ZSR) mixing rule (Stokes and Robinson, 1966) applies for the hygroscopic growth. We treat our particles as two component mixtures with considering an insoluble BC

core (κ = 0) and a soluble coating to which we assigned the median κ value (κ_{coating}:=κ_{median}) retrieved from
 sCCNC measurements (see Sect. 2.3.4) of all particles of equal size. We treated our particles as two-component mixtures considering an insoluble BC core (κ = 0) and a soluble coating to which we assigned the size-resolved median κ value (κ_{coating}:=κ_{median}) obtained from sCCNC measurements: κ_{median} was retrieved from the diameter at which 50 % activation is reached for a certain SS applied in the CCNC (see Sect. 2.3.4). Figure 7, which will be discussed later, indicates that κ_{median} is virtually not affected by variations in the number fraction of locally emitted BC particles. Instead, κ_{median} is representative of the hygroscopicity of the background aerosol, which has a very small BC mass fraction (e.g., Hueglin et al., 2005), and was therefore chosen as approximation for the coating hygroscopicity. κ_{mix} then becomes:

 $\kappa_{\rm mix} = \kappa_{\rm coating} (1 - \varepsilon_{\rm rBC}) = \kappa_{\rm median} (1 - \varepsilon_{\rm rBC})$

Combining Eqs. (1) and (3) makes it possible to estimate SS_{crit} of individual BC-containing particles by applying Köhler theory and ZSR rule to SP2 and sCCNC data providing particle size (D_{rBC} and $\Delta_{coating}$), BC volume fraction ε_{rBC} and coating hygroscopicity ($\kappa_{coating}$). These calculations are simplified in so far as spherical

(3)

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core-shell morphology is assumed for inferring the particle optical diameter from SP2 raw signals and for the κ -Köhler theory.

2.3.3 Retrieval of activation cut-off diameters in fog

- The size-resolved activated fraction is generally defined as the number fraction of particles at a certain D_{dry} that formed an activated droplet. The combination of total and interstitial inlets in fog makes it possible to assess the activation of the ambient aerosol to fog droplets (Hammer et al., 2014b): under the assumption that only fog droplets were removed by the interstitial inlet, the difference between the total and interstitial particle number size distribution reflects the dry size distribution of particles that were activated. Dividing the dry size distribution of activated particles by the total size distribution provides the size-resolved activated fraction spectrum. To emphasize that this activated fraction results from instruments which measure atmospheric activation, we refer to fog-activated fraction. By contrast, we use the terms sCCNC-activated fraction and to refer to the potential activation measured at controlled SS in the sCCNC. The 50%0 % activation cut-off diameter (D^{fog}₅₀) is defined as the dry particle diameter at which the fog-activated fraction reaches 50%0 %.
- whereas the half-rise activation diameter (D_{half}^{fog}) is defined as the diameter at which half of the maximum fogactivated fraction (i.e. half of the activation plateau) is reached. If the activation plateau at large particle diameters levels off at a maximum fog-activated fraction of 100%0%, then D_{half}^{fog} equals D_{50}^{fog} .
 - Activated fractions were independently calculated using two different types of particle number size distribution measurements behind each inlet: from the SMPS<u>instruments</u> and from the SP2. Results from both types of instruments agreed in general and showed distinct fog droplet activation at the largest particle diameters, while smaller particles remained interstitial. However, the signal-to-noise ratio in the fog-activated fraction spectra from the SMPS measurements was poorer than for the SP2-derived fog-activated fraction spectra. For this reason, the values of D_{50}^{fog} and D_{half}^{fog} mentioned hereafter are extracted from the fog-activated fractions calculated with the SP2 number size distributions, specifically considering BC-free particles only (Fig. 23a). As the fog events lasted at least 3.5 hours each (Table 22), the average particle number size distributions measured over an entire event have a high statistical significance.

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2.3.4 Retrieval of K_{median} value from sCCNC measurements

Using the sCCNC setup (Fig. 14) provides simultaneous measurements of the CCN as well as total particle number size distributions, and dividing the former by the latter results in the CCN-activated fraction spectrum (Fig. 33b). The diameter at which CCN activation reaches 50%0 % for the SS applied in the sCCNC is commonly defined as the sCCNC-critical activation diameter, D^{sCCNC}_{crit}. Below, we will also refer to the diameter at which CCN activation reaches 25%5 % and 75%5 % as D^{sCCNC}_{crit}. Below, we will also refer to the diameter at which CCN activation reaches 25%5 % and 75%5 % as D^{sCCNC}_{crit}. The median κ value, κ_{median}, for particles with dry diameter D_{dry}=D^{sCCNC}_{crit} of the sampled aerosol is calculated from measured D^{sCCNC}_{crit} by considering the SS applied in the CCNC and using κ-Köhler theory (Eq. (1); surface tension of the droplets is assumed to be equal to that of water and the temperature at activation is assumed to be equal to the sample flow
temperature in the CCNC). As an example, Figure 33c shows all κ_{median} observed during the 14 December fog event as a function of D^{sCCNC}_{crit}. Note, all κ_{median} inferred from measurements at identical SS fall on a common line

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rather than being randomly scattered because κ_{median} and D_{crit}^{sCCNC} are unambiguously related through the κ -Köhler theory for constant SS.

Observed D_{crit}^{scCNC} varied from < 20 nm to > 200 nm due to applying different SS and due to temporal variations in the aerosol hygroscopicity (Fig. <u>3</u>-c). Reaching larger D_{crit}^{scCNC} was not possible because the CCNC can only measure at SS greater than ~0.<u>1%1</u> %. As fog formation occurs at lower SS, knowledge of the κ value for D_{crit}^{scCNC} around 300 to 500 nm is required for interpreting the fog observations. Therefore, we extrapolated the size-resolved κ_{median} data to D_{half}^{fog} and D_{50}^{fog} , which are the estimated activation cut-off diameters for fog droplet formation, as illustrated in Figure <u>3</u>-3c. As discussed later and shown in Figure <u>3</u>-c, κ_{median} is essentially independent of size for diameters between around 80 nm and 200 nm (between 75 nm and 178 nm for the 14 December event shown in the figure). The uncertainty of κ_{median} extrapolated to the activation cut-off diameters, $\kappa_{\text{median}}(D_{\text{half}}^{fog})$ and $\kappa_{\text{median}}(D_{50}^{fog})$, is dominated by extrapolation errors, which are estimated to be potentially as large as 40 %.

2.3.5 Retrieval of effective peak supersaturation in fog

The highest SS encountered by the activated particles in the fog during a sufficiently long time, which made them grow across their fog-critical diameter for becoming a stable cloud or fog droplet, is defined as the effective peak supersaturation (SS_{peak}; Hammer et al., 2014a). We use the SS_{peak} when indirectly inferring it by comparing observed dry particle cut-off diameter for droplet activation in the fog with the D_{dry} to SS_{crit} relationship from CCN counter (CCNC) measurements.

Inferring SS_{peak} during a fog event is made possible by combining the value of the activation diameters (Sect. 2.3.32.3.3) and the hygroscopicity of particles activateding to fog droplets (Sect. 2.3.42.3.4), using the *k*-Köhler theory (Sect. 2.3.22.3.2). Two different values of SS_{peak} corresponding to the two different activation diameters were calculated, as it is unknown which one of the two diameters is closer to the actual cut-off (lacking measurements in the size range where the activation plateau is reached). The temperature at which particles activate was assumed to be the measured ambient temperature at 3 m above the ground.

25 3 Results and discussion

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3.1 Overview of particle concentration, and hygroscopicity results and scavenged fractions of BC

Continuous measurements of particle and species concentrations from 6 November 2015 to 31 January 2016 gave the opportunity to observe the type of aerosol present at the campaign site in winter (see Table 14). The median total particle number concentration was 5'879 cm⁻³ (interquartile range (IQR) = 5'967 cm⁻³), with lower concentrations at night which often dropped below 2'000 cm⁻³, and peaks reaching more than 20'000 cm⁻³ for more than an hour in the morning rush hour period. The particle number size distribution was generally unimodal, centered between 40 and 120_-nm. The median eBC mass concentration was 1.1 μ g_-m⁻³ (IQR = 1.3 μ g_m⁻³), with higher and more variable values during weekdays (1.3 μ g m⁻³; IQR = 1.4 μ g m⁻³) than weekend days (0.8 μ g m⁻³; IQR = 1.0 μ g m⁻³). These eBC mass concentrations are close to the average values reported during wintertime for other locations in large urban areas like London (1.3 μ g m⁻³; Liu et al., 2014), Las Vegas

during wintertime for other locations in large urban areas like London (1.3 μ g m⁻³; Liu et al., 2014), Las Vegas (1.8 μ g m⁻³; Brown et al., 2016) and Fresno in California (1.05 μ g m⁻³; Collier et al., 2018), thus representing typical urban wintertime burdens. The ACSM measured a median organic mass concentration of 1.4 μ g m⁻³

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 $(IQR = 2.4 \ \mu g \ m^{-3})$, higher than any inorganic species (nitrate: 0.8 \ \mu g \ m^{-3}, IQR = 1.2 \ \mu g \ m^{-3}; ammonium: 0.5 -µg -m⁻³, IQR = 0.8 µg m⁻³; sulfate: 0.1 µg m⁻³, IQR = 0.1 µg m⁻³ and only traces of chloride). Finally, the wind speed was generally low (median of 0.4 m s⁻¹, IQR = 0.9 m s⁻¹) with no wind speed higher than 5 m s⁻¹, and the temperature varied between -7.8 °C and 14.3 °C, with a median of 4.4 °C (IQR = 5.9 °C).

5 Figure 44 gives an overview of wind and hygroscopicity parameters as well as mass concentrations of organic Formatted: Font: 10 pt, Not Bold and inorganic particulate matter during the period covering the four analyzed fog events. A clear cause of the reduction inof the concentration of any type of particles is occurrence of a medium (or high) wind speed, causing a dilution effect (Zhu et al., 2002), e.g. in the morning of 16 December (Fig. 44a-b). Field Code Changed 10 The mobility diameters corresponding to sCCNC-activated fractions of $2\frac{5\%5}{9}$, $5\frac{0\%0}{9}$ and $7\frac{5\%5}{9}$ derived from sCCNC measurements are plotted in Figure 44c for the three example supersaturations. The dry diameter at 50%0 % scCNC-activation (referred to as scCNC-critical diameter D_{crit}^{scCNC}) provides information on the median particle hygroscopicity: for a fixed SS, particles activate at a lower diameter if they are highly hygroscopic, thus resulting in lower D_{crit}^{sCCNC} , and vice-versa. As κ_{median} is directly calculated from sCCNCderived D_{crit}^{sCCNC} , the time series of κ_{median} values gives the same type of information but for making makes the 15 results from all nine SS set in the CCNC directly comparable. The particle hygroscopicity at all SS except the highest one (SS=1.3³/₆) was quite low (Fig. 44d), which also applies for the whole campaign with κ_{median} Formatted: Font: 10 pt, Not Bold between 0.19 and 0.24 depending on the SS (Table 14). This indicates a dominant contribution of compounds Formatted: Font: (Default) Times New Roman, 10 pt, English (U.S.) exhibiting little or no hygroscopic growth such as organics and black carbon, possibly emitted by traffic or 20 wood burning. Dominant contribution of non- or only moderately hygroscopic matter (BC, organics) opposed to only minor contribution of hygroscopic inorganic ions is indeed confirmed by the ACSM composition measurements (Fig. S³/₄ and Table ¹/₄). Observed aerosol hygroscopicity was in the range of values reported in Formatted: Font: (Default) Times New Roman, 10 pt, English (U.S.) the literature for field studies in continental sites influenced by traffic in winter: Paris (France): 0.09 to 0.17 (Hammer et al., 2014b) and 0.08 to 0.24 (Jurányi et al., 2013); Mexico City-(airborne measurements): 0.2 to 0.3 25 (airborne measurements by Shinozuka et al., 2009) and the Pearl River Delta region in China: 0.18 to 0.22 (Jiang et al., 2016). Mean aerosol hygroscopicity increaseds with increasing particle size (Table 14), a feature which is often observed for atmospheric aerosols (Swietlicki et al., 2008). Note, the aforementioned trend of κ_{median} with particle size is broken for the data from measurements at lowest and highest supersaturations; however, this **Field Code Changed** minor deviation from the trend at either end is likely an artefact caused by systematic bias within the specified 30 calibration uncertainties at these two extreme supersaturationsthis is likely an artefact due to increased uncertainty related to CCN calibration at these two supersaturations.

A closer look at the time series shown in Figure 44d reveals some interesting features. Sometimes, particle hygroscopicity inferred from the measurements at the highest SS drops considerably during the morning rush

35 hour, as will be discussed in more detail in the following section. Exactly the opposite effect, i.e. strongly increased particle hygroscopicity up to $\kappa_{\text{median}} = 0.6$ at the highest SS (most of the time representative of 25 to 40,-nm particles), is often observed between around 1:00 and 10:00 (LT). This increase in κ_{median} could also be seen, though to a lesser extent at SS= $0.9\frac{3}{3}\frac{3}{6}$, and at times even down to medium to low SS. The diurnal patterns of κ_{median} averaged over the whole campaign, shown in Figure S42, also reveal increased hygroscopicity 40 in the second half of the night of the smaller particles (high SS), compared to the minimum which occurs in the

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afternoon. This shows that these episodes are, while not occurring every night, still relevant for aerosol hygroscopicity on a time-averaged basis. Having said this, the campaign average variability of κ_{median} in terms of IQR is largely independent of SS (particle size), indicating that <u>the variations in aerosol composition</u>, occurring due to e.g. variations in air mass type or source contributions <u>that are</u> independent of time-of-day, dominate over

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the systematic but small diurnal pattern.

The cause of the night-time increase of hygroscopicity at smaller particle sizes was not identified, but a probable explanation is the acquisition of ammonium nitrate. An increase in ammonium nitrate volume fraction by condensation would more efficiently proceed for smaller particles, due to their higher surface-to-volume ratio, thereby increasing their hygroscopicity. The fact that the retrieved κ_{median} value reached_increased_up to 0.6 (uncertainty: ±20%) at and approached the reported meanthereby almost reaching the κ value of ammonium

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nitrate (~0.67 for 0.3 % <SS< 1 %; Petters and Kreidenweis, 2007), supports this hypothesis. The scavenged mass fractions of BC and the total aerosol, i.e. the mass fraction incorporated into fog droplets, were calculated using the SP2 and the two SMPS assemblies, respectively. The scavenged mass fraction varied between 6 % and 12 % for BC during the four fog events, and between 15 % and 20 % for the total aerosol.

15 These results are in close agreement with the fog studies of Hallberg et al. (1992); who reported 6% for elemental carbon (EC) and 18% for sulfate and somewhat lower than the scavenged fractions of 26% for EC and 38-94% for various inorganic species as reported by Gundel et al. (1994).

3.2 Influence of traffic on aerosol population, mixing state and hygroscopicity

Previous studies enumerated the diversity of aerosol types that are present in European cities (e.g. Putaud et al.,
 2010), with seasonally variable source contributions to organic carbon (OC) and <u>elemental carbonEC</u> (EC;
 Szidat et al., 2006; Gelencsér et al., 2007); although EC mostly originates from fossil fuel combustion in summer, biomass-burning emissions from residential heating have been reported to represent a significant fraction of EC emissions in winter.

By plotting diurnal cycles of particle number in different diameter ranges and eBC concentrations (Fig. 55a,b), 25 we could identify periods with high concentrations from around 08:00 to 12:00- (LT) during weekdays, peaking from 8:00 to 10:00 (LT). This time window is hereafter referred to as the rush hours, as it generally corresponds to the times when people commute to work during weekdays. We hypothesized that this concentration peak is caused by traffic emissions, rather than the second most common source of BC in Zurich, wood burning (Zotter et al., 2017). Based on the diurnal cycles of particle and BC concentrations and two different indicators of the 30 source of carbonaceous aerosol (the absorption Ångström exponent and the organics to eBC mass ratio), we conclude that these concentration peaks were caused by traffic emissions rather than wood burning, which is the second most common source of BC in Zurich (Zotter et al., 2017; additional discussion attached to Figure S5 in the Supplement). To test this hypothesis, we show campaign averaged diurnal patterns of the absorption <u>Ångström exponent (AAE) in Figure 5c. The characteristic values of the AAE for traffic (0.9 to 1) and wood</u> 35 were previously reported in winter in Zurich (Zotter et al. 2017). In this campaign, the varied between these two ranges, indicating the presence of emissions from both sources. The AAE values natically lower during weekdays than weekend days, when almost no heavy duty vehicles, much less passenger cars are on the road. The minimum AAE value is reached at 10:00 during weekdays, in agreement with the concentration peaks seen in Figure 5a,b. Consistent results are

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ombustion contribute to BC and organics emissions, wood burning emissions are associated with much aborde et al. 2013). The lowest values of organics to eBC mass ratios (close emissions contributed to a much larger extent, the organics to eBC mass ratio increased to around 1.5

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BC particles freshly emitted from traffic sources are typically less hygroscopic than background aerosols. Therefore, it is expected that the rush hour peak in traffic contribution is also reflected in aerosol mixing state with respect to hygroscopicity, e.g. in data such as these provided by the sCCNC. If all particles sampled were internally mixed, the resulting size-dependent sCCNC-activated fraction would be a step function (slightly inclined because of finite instrumental resolution), with all particles larger than a certain mobility diameter activating and all smaller particles remaining in the interstitial phase (Moore et al., 2010). In contrast, if the sCCNC-activated fraction curve was broadened, i.e. if CCN activation was gradually occurring over a wider 15 range of mobility diameters, this would indicate increased degree of chemical heterogeneity (external mixing). Following the approach of Jurányi et al. (2013), we use the normalized difference between the $\frac{725\%5}{2}$ and $\frac{275\%5\%}{275\%5\%}$ activation diameters (D_{75}^{sCCNC} - D_{25}^{sCCNC}) / D_{crit}^{sCCNC}) at a fixed SS as an indicator of the degree of external mixing state regarding sCCNC-derived particle hygroscopicity in the size range around D_crit (see Figure $\frac{23}{5}$ b for the retrieval of D_{75}^{sccnc} and D_{25}^{sccnc}). Figure $\frac{44}{5}$ shows, mostly seen for the highest SS, that the 20 periods with the highest degree of external mixing were the rush hours (around 8:00 to 10:00 LT) of the weekdays, confirming the above statement that freshly emitted traffic emissions are indeed a significant source of small externally mixed and poorly hygroscopic particles. While the non-hygroscopic particles from fresh traffic emissions usually affect D_{75}^{sCCNC} only, even D_{crit}^{sCCNC} increases for the highest SS in the most extreme cases, e.g. during the rush hours of 15 and 17 December (Fig. 44c). During the rush hours of 16 December 2015,

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A more comprehensive analysis of the impact of different aerosol source on aerosol mixing state is done by means of diurnal patterns of the indicator of mixing state variability for four different SS (Fig. 65). The mixing state indicator values at 0.14%4 % SS, corresponding to mobility diameter of approximately 120 to 220 nm, were quite low, exhibited virtually no diurnal variation, and the difference between weekdays and weekend days was almost inexistent. This indicates that the background aerosol consisted for the most part of large, internally mixed particles. However, with increasing SS, i.e. with decreasing particle mobility diameter, a peak of externally mixed particles resulting in higher mixing state indicator values gradually appeared in the morning

which causes efficient dilution of the fresh emissions with background aerosol.

35 rush hours of weekdays. This shows that the diurnal pattern, already seen in Figure 44 for 5 consecutive days, occurs frequently such that it is reflected in the campaign averaged data too. This rush hour peak in degree of external mixing is most pronounced and significant for SS = 0.67%7% and 1.33%3%, i.e. in the mobility diameter range below 100 nm, representing the typical diameter range of traffic-emitted particles (Laborde et al., 2013; Schwarz et al., 2008). The size-dependence of the relative contributions of local and background 40 aerosol was already highlighted by Baltensperger et al. (2002), who measured the particle hygroscopicity in summer in Milan and concluded that particles in the range 50 to 200 nm were mostly externally mixed.



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Previous field studies already reported the variability of the mixing state and hygroscopic properties of particles depending on their source and air mass age: Subramanian et al. (2010) reported a higher degree of external mixing for BC (i.e. thinner coatings) sampled over the city of Mexico than for older background air masses; Cubison et al. (2008) showed that the primary hydrophobic aerosol mass was no longer a significant component

5 of the aerosol mass 1 to 2 days after emission, mainly because of condensation of secondary species).

	The influence of traffic and wood burning emissions on sCCNC-activated fraction spectra is further investigated	
	with Figure $\frac{76}{2}$, in which the data set of the whole campaign is temporarily split and separately averaged for high	
	traffic / low wood burning influence (rush hour from 8:00 to 10:00 LT) and low traffic / high wood burning	
10	influence (night time from 1:00 to 7:00 LT), according to the diurnal patterns shown in Figure <u>S5</u> . This split is	Field C
	separately done for weekdays and weekend days. The CCN properties of the most hygroscopic fraction of the	
	aerosol, which is dominated by contributions from the background aerosol and shows up in the range of	
	sCCNC-activated fractions between $\frac{0\%0}{2}$ to around $6\frac{0\%0}{2}$ or more, do not significantly differ between high	
	traffic influence and high wood burning influence, nor between weekdays and weekends. By contrast,	
15	systematic variations are found for the less hygroscopic aerosol fraction. On weekdays (Fig. 7a6a), the sCCNC-	
	activated fraction decreased by around 10 to 15%5 % in the mobility diameter range in which the sCCNC-	
	activated fraction is greater than $\sim 60\%0$, when comparing the traffic dominated periods with the wood	
	burning dominated periods. Furthermore, complete activation is hardly reached during traffic dominated	
	periods, also at the highest SS and largest mobility diameters covered. By contrast, complete activation is	
20	reached for particles greater than around 200 nm in mobility diameter during wood burning dominated times.	
	On weekends, the relative contribution of wood burning to BC is higher than at any time on weekdays, based on	
	AAE shown in Figure S5c, due to substantially less traffic emissions. Consequently, the difference in sCCNC-	Field C
	activated fraction spectra between the rush hour and night time windows largely disappears (Fig. 7b6b), and all	
	averaged sCCNC-activated fraction spectra become equal to the night time sCCNC-activated fraction spectra	
25	during weekdays. These observations show that the fresh BC particles from traffic emissions are very poor	
	CCN, whereas BC-containing particles from wood burning are at least moderately efficient CCN. This is	
	explained by the facts that traffic emits almost pure BC, whereas BC from wood burning is to some extent	
	internally mixed with co-emitted organics. This interpretation is consistent with the diurnal pattern of the	
	organics to eBC mass ratio shown in Figure S5d. It is also consistent with previous urban measurements in	Field C
30	Paris, where Laborde et al. (2013) showed the same difference in BC mixing state and hygroscopic growth	Field C
	between these two BC sources, and where Jurányi et al. (2013) showed, using a mixing-state resolved	Field C
	hygroscopicity-CCN closure approach, that the difference in hygroscopic growth results in a corresponding	
	difference of CCN activity as expected from Köhler theory. Moreover, the largest traffic effect, i.e. decrease of	
	sCCNC-activated fraction, occurred for small particles in the mobility diameter range of 40 to 110 nm	
35	corresponding to the size range previously shown to include the majority of BC particles emitted in an urban	
	environment (Schwarz et al., 2008). The traffic effect was much less pronounced at larger mobility diameters	Field C
	(200 to 400 nm), also consistent with findings by Laborde et al. (2013) in Paris.	Field C

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3.3 Activation cut-off diameters D_{50}^{fog} and D_{half}^{fog} , and effective peak supersaturation SS_{peak} during fog events

The combination of total and interstitial inlets was used to determine the number fraction of particles that were activated to fog droplets as a function of particle optical diameter. A comparison of the fog-activated fraction spectrum of the bulk aerosol inferred from SMPSs particle number size distributions with the fog-activated fraction spectrum of BC-free particles (which represent the majority of particles except for rush hour times) inferred from SP2 measurements is shown in Figure 8-7 for the 14 December fog event (and in Figure S&5a-c for the other three fog events). The reasonable agreement between the SMPSs-derived and SP2-derived fog-activated fractions suggests that the sizing of these three instruments (SP2 and both SMPSs) is correct and that activation cut-off diameters inferred from SP2 data of BC-free particles are equivalent to those derived from SMPS data (which was done in previous literature discussed below). Furthermore, the use of the LEO-fit derived results, which is the only option for BC-containing particles, is validated by the good agreement between the fog-activated fractions of BC-free particles derived from the standard scattering signal analysis and from the LEO-fit analysis.

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Half and 50%0 % activation cut-off dry diameters (D_{half}^{fog} and D_{50}^{fog} ; see Sect. 2.3.32-3.3 for definitions) were extracted from the fog-activated fraction spectrum of BC-free particles for each fog event and are shown in Figure 33a. The median hygroscopicity parameter inferred from sCCNC measurements was extrapolated to the cut-off diameter range as shown in Figure 33c. The cCut-off diameter and corresponding κ_{median} are then used as inputs to the κ -Köhler theory to retrieve the fog SS_{peak} as described in detail in Sect. 2.3.52-3.5. Table 22 lists the times and duration of the four fog events analyzed in the present work, as well as measured LWC, number fraction of particles activated to fog droplets, D_{half}^{fog} and D_{50}^{fog} , κ_{median} extrapolated to the size range of D_{half}^{fog} and D_{50}^{fog} , and SS_{peak}. Fog events occurred only when the wind speed was lower than approximately 1 m s⁻¹ (Fig. 44a). The impact of wind on fog occurrence was clearly observed in the afternoon of the 14 December fog



Using SMPS measurements behind the total and interstitial inlets, we calculated the fraction of particles activating to fog droplets and confirmed that they represent a very small subset of the aerosol population. Only for the 18 December fog event the fraction of activated particles in the mobility diameter range between 20 and

30 593 nm was higher than 1%1%. This fraction depends on various parameters such as SS_{peak} and particle number size distribution shape; therefore it may vary significantly for other locations and periods.

All four fog events were rather similar in terms of LWC, activation cut-off diameter and SS_{peak} , D_{half}^{fog} and D_{50}^{fog} lay in the range 320 to 380 nm and 370 to 470 nm, respectively (see Table 2). This, which is in very good agreement with the results from Hammer et al. (2014b), who measured a median activation cut-off diameter

between 364 and 450 nm during fog events in Paris (they found similar D_{half}^{fog} and D_{50}^{fog} but calculated <u>the</u> lower and upper limits with two different methods). The κ_{median} of the particles activated <u>ing</u> to fog droplets were also very close for the first three events (0.16 to 0.18) but lower for the last one (20 December; $\kappa_{median} = 0.12$).

Two values of SS_{peak} are given for each fog event<u>in Table 2</u>; the lower value was retrieved from D_{50}^{fog} , the higher from D_{half}^{fog} . SS_{peak} ranged from $0.03\frac{6\%6}{6}$ during the 15 December fog event to $0.05\frac{8\%8}{6}$ during the

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event of 18 December. This is in very good agreement with a previous fog study by Hammer et al. (2014b) in
Paris during wintertime, who reported SS_{peak} of 0.031 to 0.046%6 % over 16 fog events. The low SS_{peak} in fog
are also comparable to the $0.0\frac{5\%5\%}{5\%}$ SS _{peak} estimated by Schroder et al. (2015) for two low-altitude
tratocumulus clouds at the Californian Pacific coast. However, the droplet formation process in these clouds

- differed in so far as D^{cloud}₅₀ was lower (239 and 241 nm) because the κ-value was higher (0.50 and 0.41; derived from an aerosol mass spectrometer, AMS). Similarly, in low-altitude shallow layer or stratus clouds, Pruppacher et al. (1998) estimated an effective SS_{peak} of approximately 0.05%5% and Leaitch et al. (1996) reported a maximum threshold value of 0.1%1%. Modelling results from Ming and Russell (2004), who simulated a fog event, are also very close to ours; they predicted a maximum SS_{peak} of 0.030%0% and a maximum LWC of 150
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mg m⁻³ in the simulation. However, cumulus clouds present much higher SS_{peak} due to the high updraft velocities and variations of pressure during their formation; previous studies by Pruppacher et al. (1998) and Hammer et al. (2014a) reported ranges of 0.25 to 0.7%7 % and 0.37 to 0.5%5 %, respectively. LWC values in convective clouds can also reach much higher values than in fog; Hammer et al. (2014a) and Reid et al. (1999) measured values up to 700 and 2000 mg m⁻³, respectively.

15 3.4 Size-dependent activation of BC-containing particles to fog droplets

Because of the very low SS_{peak} in fog, only large particles activate to droplets. During the four fog events investigated in this study, the minimum particle mobility diameter for activation was roughly 210–300 nm, as shown in Figures <u>8–7</u> and <u>S5aS8a</u>-c. As the largest BC cores were also of about this size (Fig. S<u>7</u>4), bare BC cores could anyway not activate to droplets because of being smaller than the activation cut-off <u>diameter</u> for hygroscopic particles.

Figures <u>8-7</u> and <u>85-S8</u> show that the droplet activation behaviour of BC-containing particles was very similar to the one of BC-free particles; the presence of BC within the particles did not significantly alter their activation behavior compared to BC-free particles. This somewhat surprising result can be explained by the fact that <u>for</u> <u>particles with equal overall diameter</u>, the dominant fraction of BC cores is thickly coated, as explained in the Supplement and shown in Figure S<u>63</u>.

The activation of BC₋-containing particles to fog droplets, as a function of BC core mass equivalent diameter, derived- from the SP2 data behind <u>the</u> total and interstitial inlets is shown in Figure 9-8 for the 14 December fog event. The same analysis was performed for the 15, 18 and 20 December fog events; results were very similar, they are therefore not shown here. The BC core mass size distribution measured behind the total inlet peaked at a diameter around 140 nm (Fig. 9-8a). In the range 127 nm $< D_{rBC} < 212$ nm, which overlaps with the peak of

a diameter around 140 nm (Fig. 988a). In the range 127 nm < D_{rBC} < 212 nm, which overlaps with the peak of the mass size distribution, it is possible to split all BC-containing particles in the two sub-classes of BC particles with "no to moderate coating" or "thick coating" using the delay time method (see Sect. 2.2.32.2.3). When comparing particles with equal BC core size (Fig. 988b), only around 15 to 25%5 % had thick coating, whereas 75 to 85%5 % had no or moderate coatings only. This corresponds with the delay time method, the fogactivated fraction of all BC cores shown in Figure 9e-8c was close to zero and, within experimental uncertainty, also identical to the fog-activated fraction of BC cores with thin-to-4moderate coatings because the BC particle population was dominated by this subclass (Fig. 9b8b). The BC cores associated with core diameter D_{rBC} below 212 nm and a thin-to-moderate coating remained smaller than the minimum overall particle diameter required

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for activation: according to Figure 7, this diameter was around 280 nm during the 14 December event, even for BC-free (water-soluble) particles. The BC cores with thin/moderate coating remain, for $D_{rBC} < 212$ nm, smaller than the overall particle diameter above which the fog activated fraction starts increasing even for BC free (water-soluble) particles (Fig. 8). By contrast, the fog-activated fraction of thickly coated BC particles

- 5 gradually increased with BC core diameter and reached around 40%0 % at D_{rBC} = 210 nm. This is explained by the fact that the substantial coating increases both size and soluble volume fraction of these BC-containing particles such that some of them have a size bigger than the fog droplet activation threshold. In the size range D_{rBC} > 212 nm, the fog-activated fraction of all BC particles also starts increasing because the threshold coating thickness to cross the activation threshold becomes smaller with increasing core size. These results demonstrate in a qualitative manner how acquisition of coatings makes the BC particles better nuclei for fog droplets by
- in a qualitative manner how acquisition of coatings makes the BC particles better nuclei for fog droplets by increasing the overall particle size and solubility.
 Besides activation of BC_-containing particles to droplets, also coagulation between BC-containing particles and existing fog droplets could potentially explain the presence of BC in fog droplets: The probability of coagulation between two particles is increased when the difference between their respective diameters increases, so small
 BC-containing particles may potentially coagulate with fog droplets. However, Figure <u>9e &c</u> clearly shows that
- droplet activation of BC-containing particles is the mechanism that explains the incorporation of BC cores into fog droplets in the present study: if coagulation between BC particles and fog droplets was giving a dominant contribution, then the fog-activated fraction of BC particles would exhibit much less size and coating dependence and rather with opposite trends. In other words, as coagulation scavenging efficiency decreases with increasing particle size (as shown by e.g. Ohata et al., 2016), the BC core size and coating thickness dependence of coagulation scavenging would be opposite to the observed relationship. By contrast, the observation is consistent with expectations for nucleation scavenging.

The fog-critical supersaturation of individual BC-containing particles was calculated using the κ -Köhler theory

3.5 Linking mixing state of BC, fog-critical supersaturation and droplet activation

25 and particle properties constrained with SP2 and sCCNC data: the former providing particle size and BC volume fraction, the latter providing coating hygroscopicity (see Sect. 2.3.22.3.2; Eqs. (1) and (3)). Figure 940a shows these SS_{crit} arranged by BC core size (abscissa) of all individual BC-containing particles as inferred from the 14 December fog event data as an example: data points from BC particles sampled behind the interstitial inlet are coloured by coating thickness, those sampled behind the total inlet are shown as grey dots. The fog effective peak supersaturation (SS_{peak}) retrieved from D_{50}^{fog} using the method described in Sect. 2.3.52.3.5, is marked with 30 a blue horizontal line. Theoretically, every BC-containing particle whose SS_{crit} is below the fog SS_{peak} should activate to a fog droplet (i.e. no data point from the interstitial inlet should appear below the blue line), whereas those particles with SS_{crit} greater than the fog SS_{peak} should remain interstitial (i.e. equal number of data points for interstitial and total inlets above the blue line). The ratio of interstitial to total BC particle number indeed 35 decreases below the blue line. However, some BC particles with $SS_{crit} < SS_{peak}$ are still detected behind the interstitial inlet, which can be explained by several facts: first, the fog SS_{peak} is not perfectly constant during the event; second, the input parameters for calculating SScrit are tainted with random measurement noise on single particle level; third, potential shortcomings of the *k*-Köhler theory such as neglecting variations in particle size<u>shape</u>.

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In order to achieve a more quantitative closure between expected and observed activation of BC-containing particles to fog droplets, the single particle data as shown in Figure 240a for one example fog event, were aggregated into SS bins (Δ SS_{crit} = 0.0<u>1%1</u>%) and averaged over all core sizes. The same was done for each fog event and resulting activation curves are shown in Figure <u>910</u>b-e.

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- For each fog event in Figure 9, 50%0 % fog-activated fraction is reached at an SS_{crit} very close to the SS_{peak} derived from D_{50}^{fog} . This agreement confirms that observed activation of BC particles in the fog matches the expected droplet activation behaviour of BC-containing particles as theoretically predicted from independently measured BC-particle properties (size, BC volume fraction and coating hygroscopicity). This demonstrates that closure is successfully achieved, i.e. SP2-based characterization of BC-containing particle properties combined
- 10 with κ -Köhler theory is sufficient to accurately describe the activation behaviour of BC-containing particles in fog, despite the fact that either of them are based on the simplifying assumption of spherical core-shell morphology. It is important to note that the closure for the activation of BC-containing particles is insensitive to changes in K_{coating} as changing K_{coating} has two compensating effects (see Figure S9 and corresponding discussion in the Supplement).

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Figure 240b-e also contains the fog-activated fraction of BC-free particles detected by the SP2, for which SS_{crit} was calculated using κ -Köhler theory with κ_{median} and optical diameter from the SP2. 5000 $\frac{1}{2000}$ activation is by definition reached by those particles with SS_{crit} equal to SS_{peak} inferred from D_{50}^{fog} (small deviations are explained by binning the fog-activated fraction data in supersaturation rather than diameter space). The fact that

20 the activation curves of BC-containing particles in Figure 940b-e agree well with the activation curves of BCfree particles implies the following: the activation of BC-containing particles to fog droplets can be described in an identical way as the activation of BC-free particles by using the ZSR rule to calculate a κ value that identical to the activation of BC-free particles but for adjusting the κ -value with the ZSR-rule to accounts for the volume fraction of insoluble BC. This is an alternative but equivalent view of how closure is achieved for the activation of BC to fog droplets.

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To our knowledge, Schroder et al. (2015) performed the only other similar closure between critical supersaturation of atmospheric BC-containing particles and retrieved peak supersaturation of real clouds, lowaltitude stratocumulus in their case. They selectively sampled cloud droplets using a counterflow virtual impactor (CVI) and a total inlet and calculated the SScrit of particles SScrit and cloud SSpeak in a very similar way as in the present study (extracting $D_{\rm rBC}$ and $\Delta_{\rm coating}$ from SP2 measurements and $\kappa_{\rm median}$ from AMS

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general agreement between the range of predicted particle SScrit and calculated cloud SSpeak-they could not achieve an unequivocal closure but found as they could only determine lower limit values for Δ_{coating} because of technical issues of the SP2. The activation curves shown in Figure 109b-e are, despite being based on single particle data, averages over all

BC-containing particles with equal SS_{crit}, i.e. over particles with different combinations of BC core and coating

measurements; and using the ZSR mixing rule together with the κ -Köhler theory). However, while reporting

thickness. In order to assess the role of BC core size and coating thickness for fog droplet activation in more 40 detail, the fog-activated fraction inferred from measurements behind the interstitial and total inlets, is shown in

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This figure quantifies the coating thickness that was necessary for a BC core of a certain size to make it activate to a fog droplet at the SS_{peak} prevalent in the fog event under investigation. For a fixed BC core diameter, the fog-activated fraction generally increases from zero (blue colour) to 100%0 % (red colour) for increasing coating thickness, i.e. along vertical lines across the images. -As expected and already qualitatively shown in Figure 98, BC cores with thin to moderate coatings remained interstitial, with the threshold coating thickness for 20%0 / $\frac{1}{20}$ fog-activated fraction (blue colour) increasing from $\Delta_{\text{coating}} \approx 20$ to 50 nm to $\Delta_{\text{coating}} \approx 80$ to 120 nm for BC core diameters of 300 nm and 60 nm, respectively. Equivalently, the threshold coating thickness required for 100%0 % fog-activated fraction (red colour) also increases with decreasing BC core diameter, as particle size favors droplet activation (McFiggans et al., 2006). The dashed lines in Figure $\frac{11-10}{10}$ represent pairs of D_{rBC} and Δ_{coating} that would theoretically give to a particle an SS_{crit} equal to the <u>estimation of SS_{peak} (calculated using</u> D_{50}^{fog} minimal (upper line) and maximal (lower line) estimations of SS_{peek}-during the related fog event. This theoretical activation limit differs between fog events due to variations in SS_{peak} and $\kappa_{coating}$. Generally, the agreement between the theoretically expected threshold and the observed 50%0 % fog-activated fraction (green colour) is good across all BC core diameters and fog events, which means that closure is achieved for all BC core sizes covered by the measurement. Systematic deviations may possibly be present for the largest core sizes, where the activation threshold is at highest BC volume fractions and where considering interactions between the BC core and the first layers of water surrounding it might become important. However, these differences are minor, counting statistics in this range areis too poor, and simplifying assumptions in the data processing are too numerous to justify the use of more sophisticated theoretical calculations. The overall good agreement validates the simplified theoretical description as explained in Sect. 2.3.22.3.2 and confirms that, within uncertainties, the fate of a BC particle in fog can be predicted if D_{rBC}, Δ_{coating} and SS_{peak} are known. Several mixing state-resolved modelling studies simulated scavenged fractions based on the estimation of the critical supersaturation using the Köhler theory combined with the ZSR mixing rule (e.g. Matsui, 2016; Ching et al., 2018), The present study suggests that such modelling approaches are valid, at least for fog with low peak supersaturation, and encourages future use of them.

Figure 11-10 as a function of BC core mass equivalent diameter and coating thickness measured by the SP2.

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Dalirian et al. (201<u>8</u>7) conducted a laboratory study during which they atomized BC particles from aqueous solutions and then coated them with organics by using a tube furnace. They showed_in a laboratory study that the size and mixing state dependence of CCN activation of artificially produced BC with variable coatings agrees well with theoretical predictions. Our study shows that their findings from the laboratory also hold for the

agrees well with theoretical predictions. Our study shows that their findings from the laboratory also hold for the behaviour of atmospheric BC during fog formation, thus justifying <u>the</u> application of theories based on <u>the</u> Köhler theory and <u>the</u> ZSR mixing rule, or of parametrizations of e.g. activation thresholds for BC particles derived from such theories, in atmospheric model simulations.

35 4 Conclusions

During winter 2015/16 a field campaign was performed at the Irchel University campus in Zurich in order to investigate the size-dependent activation of BC at different mixing states. We distinguished fresh BC-containing particles from emissions in the vicinity of the measurement site (heavily used roads, residential heating) and

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aged, background BC-containing particles, and found, based on the sCCNC-activated fraction spectrum, evidence of high degree of external mixing during the morning rush hours due to a substantial number fraction of non-hygroscopic particles from fresh traffic emissions.

The half-activation cut-off diameter for activation of BC-free particles to form fog droplets varied between 320 5 and 380 nm during four fog events, which translates to very small overall activated number fractions in the range of $\sim \frac{1}{1}$ %. Fog peak supersaturations, which were inferred by combining this activation cut-off with CCNC derived particle hygroscopicity, were found to be between 0.036 and 0.047%7 %, consistent with previous literature.

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The activation of BC-containing particles to fog droplets was also quantified. The majority of the-BC-containing particles remained interstitial (as with the large majority of non-BC-containing free particles), only those with substantial coatings were activated as the coating decreases their critical supersaturation by increasing size and solubility. The threshold coating thickness required for activation was shown to decrease with BC core size, as expected. Quantitative closure between measured and predicted activation of BC-containing particles to fog droplets was successfully achieved. Predictions are based on the Köhler theory combined with the ZSR mixing

15 rule constrained with independently measured BC particle properties on single particle level. This confirms that the activation behaviour of atmospheric BC can be accurately predicted, within experimental uncertainty, with the knowledge of BC core diameter, coating thickness and coating hygroscopicity, while neglecting particle shape effects. When coupling such simplified theoretical descriptions with aging schemes in particle-resolved models, these results may help to reach a more realistic representation of the life cycle of BC in the atmosphere 20 and to narrow the uncertainties associated with estimates of its radiative forcing.

Author contribution

MG acquired the funding and conceptualized the study. The experiment was designed by MG and JS. MG supervised the study together with JS and UB. GM and JS organized and implemented the field campaign. JCC and MZ contributed to instrument preparation and calibration. GM analyzed and validated the experimental data with support from JS, JCC, MZ and MG. GM prepared the manuscript and all co-authors contributed to interpretation of the results as well as manuscript review and editing.

The authors declare that they have no conflict of interest.

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Table 1: Statistics of various measured and inferred parameters from data covering the whole campaign. Uncertainties: (a) of the CPC model 3022 according to the ACTRIS standard operation procedures (SOP; http://fp7.actris.eu/Portals/97/deliverables/PU/WP3_D3.13_M24.pdf) (b) see Sect. 2.2.1 (c) instrument precision only (see Sect. 2.2.3) (d) based on the studies of Middlebrook et al. (2012) and Budisulistiorini et al. (2014) (e) based on the accuracy of the SS set by the CCNC and the uncertainty in the retrieval of D_{crit}^{SCCNC} (f) reported as accuracy in the instrument manual of Meteolabor AG (http://www.meteolabor.ch/meteo-messgeraete/temperatur/) (g) based on the study of Makkonen et al. (2001).

İI		Unit	Uncert.	Uncert. Mean Median		25 th	75 th	Formatted: English (U.S.)
			<u>%</u>			percentile	percentile	Eormatted: English (ILS)
I	Total particle number concentration (D>7 nm)	$[\# \text{ cm}^{-3}]$	<u>±10 %(a)</u>	6324.2	5879.1	3584.7	9551.5	
I	CCN number concentration for SS=0.14%4 %	$[\# \text{ cm}^{-3}]$	<u>±20 %(b)</u>	1070.6	989.0	557.2	1449.7	Formatted: English (U.S.)
	CCN number concentration for SS=0.21%1 %	$[\# \text{ cm}^{-3}]$	<u>±10 %(b)</u>	1812.0	1645.8	929.8	2524.7	Formatted: English (U.S.)
	CCN number concentration for SS=0.27%7 %	$[\# \text{ cm}^{-3}]$	<u>±10 %(b)</u>	2284.9	2129.3	1181.4	3188.6	
	CCN number concentration for SS=0.34%4 %	$[\# \text{ cm}^{-3}]$	<u>±10 %(b)</u>	2604.2	2405.2	1332.6	3629.9	
	CCN number concentration for SS=0.40%0 %	$[\# \text{ cm}^{-3}]$	<u>±10 %(b)</u>	2892.1	2636.6	1472.1	4042.0	
I	CCN number concentration for SS=0.47%7 %	$[\# \text{ cm}^{-3}]$	<u>±10 % (b)</u>	3139.4	2856.6	1671.6	4363.9	
I	CCN number concentration for SS=0.67%7 %	$[\# \text{ cm}^{-3}]$	<u>±10 % (b)</u>	3813.3	3392.8	2053.2	5256.5	
Ĩ	CCN number concentration for SS=0.93%3 %	$[\# \text{ cm}^{-3}]$	<u>±10 %(b)</u>	4403.1	3867.4	2369.5	6228.6	
	CCN number concentration for SS=1.33%3 %	$[\# \text{ cm}^{-3}]$	<u>±20 %(b)</u>	5418.4	4603.0	2865.8	7396.9	
ļ	eBC mass concentration	[µg m ⁻ 3]	<u>±15 %(c)</u>	1.3	1.1	0.5	1.8	
l	Organics mass concentration	[µg m ⁻ 3]	$\pm 30 \%$ (d)	1.7	1.4	0.6	3.1	
ļ	NH_4^+ mass concentration	[µg m ⁻ 3]	$\pm 30 \% (d)$	0.5	0.5	0.2	1 <u>.0</u>	
	NO_3^- mass concentration	[µg m ⁻ 3]	<u>±30 %(d)</u>	0.9	0.8	0.3	1.5	
ļ	SO_4^{2-} mass concentration	[µg m ⁻ 3]	<u>±30 %(d)</u>	<0.1	<0.1	0.0	0.1	
l	Hygroscopicity parameter κ_{median} for SS=0.14% <u>4</u> %	[-]	<u>±57 %(e)</u>	0.23	0.21	0.16	0.29	
Ì	Hygroscopicity parameter κ_{median} for SS=0.2 <u>1%1 %</u>	[-]	<u>±26 %(e)</u>	0.27	0.24	0.18	0.33	
l	Hygroscopicity parameter κ_{median} for SS=0.27% 7 %	[-]	<u>±26 %(e)</u>	0.26	0.24	0.17	0.33	
Ì	Hygroscopicity parameter κ_{median} for SS=0.34% <u>4 %</u>	[-]	<u>±26 %(e)</u>	0.24	0.22	0.17	0.30	
Ì	Hygroscopicity parameter κ _{median} for SS=0.4 <mark>0%<u>0</u>%</mark>	[-]	<u>±26 %(e)</u>	0.23	0.21	0.16	0.28	
Ì	Hygroscopicity parameter κ _{median} for SS=0.4 7%<u>7</u> %	[-]	<u>±26 %(e)</u>	0.21	0.20	0.15	0.26	
ļ	Hygroscopicity parameter κ_{median} for SS=0.67%7 %	[-]	<u>±26 %(e)</u>	0.21	0.19	0.14	0.25	
	Hygroscopicity parameter κ _{median} for SS=0.9 <mark>3%<u>3</u>%</mark>	[-]	<u>±26 %(e)</u>	0.21	0.19	0.14	0.26	
	Hygroscopicity parameter κ_{median} for SS=1.3 <mark>3%3 %</mark>	[-]	<u>±57 %(e)</u>	0.25	0.22	0.17	0.30	
ļ	Temperature 3 m above ground	°C	$\frac{\pm 0.15}{^{\circ}\mathrm{K}(\mathrm{f})}$	3.6	4.4	1.2	7.2	
l	Wind speed 3 m above ground	$[m s^{-1}]$	$\frac{\leq \pm 0.1}{\text{m s}^{-1}(\text{g})}$	0.6	0.4	0	0.9	

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Table 2: Details of the four analyzed fog events. Uncertainties in brackets are provided as relative errors.
Uncertainties of the input parameters (D_{half}^{fog} or D_{50}^{fog} and κ_{median}) were propagated using the Monte Carlo method to
obtain uncertainties for $\mathrm{SS}_{\mathrm{peak}}$. The temperature was not varied in these simulations as it has a second order influence
on droplet activation compared to $D_{\rm half}^{fog}$ or D_{50}^{fog} and $\kappa_{\rm median}$.

	Uni	Type of	14	15	18	20	
	t	uncertainty	Dec.	Dec.	Dec.	Dec.	
Start date and	/	/	14 Dec. 04:30	14 Dec. 17:20	18 Dec. 01:30	19 Dec. 21:50	
time (LT)							
End date and	/	/	14 Dec. 12:00	15 Dec. 06:20	18 Dec. 05:00	20 Dec. 11:40	
time (LT)							
Duration	[h]	/	7.5	9	3.5	14	
Median liquid	[mg	From Allan	107 (±2 <mark>0%<u>0 %</u>)</mark>	116 (2 <mark>0%<u>0</u>%</mark>)	133 (±2 <mark>0%<u>0</u>%</mark>)	136 (±2 <mark>0%<u>0 %</u>)</mark>	Field Code Changed
water content	<u>m</u>	et al. (2008)					
(LWC)	<u>2][</u> #						
	g m						
Number	[%]	Based on	$0.6 (\pm 12\%)$	0.8	1.1	$0.5 (\pm 14\% 4 \%)$	
fraction of		out-of-cloud		(± 7%<u>7%</u>)	(±11)		
particles		measuremen					
activated to		ts					
tog droplets in							
the $D_{\rm dry}$ range							
20 to 595 mm	[nm	Pacad on	270 420	280.450	220.270	280 470 (+18.0/)	•
nall/5 076076	1	Dased OII	$(\pm 180, 80)$	(± 17047.04)	$(\pm 20\% 0\%)$	560-470 (±16 <u>%</u>)	
off diameter	1	measuremen	(±1 070<u>0</u>70)	$(\pm 1 + 70 + 70)$	(±2 070<u>0</u>70)		
$\frac{1}{2} \int dr $		ts					
$\ln \log (D_{half})$		1.5					
$D_{50}^{f b g}$)							
Hygroscopicit	[-]	Estimated as	0.16	0.18	0.17	0.12	
y parameter		extrapolation	<u>(±40 %)(±56%6</u>	<u>(±40 %)(±95%5</u>	<u>(±40 %)</u> (±47)	<u>(±40 %)(±108%8</u>	
<i>k</i> _{median}		<u>uncertainty</u>	<u>%</u>)	<u>%</u>)		<u>%</u>)	
extrapolated		(see Sect.					
to the		<u>2.3.4)</u> 95%5					Formatted: Font: (Default) Times New
activation cut-		<u>%</u>					Roman, 9 pt
off diameters (confidence					
$\kappa_{\rm median}(D_{\rm half}^{, og})$		On					
and		extrapolated					
$\kappa_{\text{median}}(D_{50}^{fog}))$		Figure 2a)					
Effective peak	F0/ 1	Monto Corlo	0.040.0.051	0.026.0.046	0.047.0.058	0.040.0.055	•
cuporcoturotio	[%0]	mothed (see	(+46%)(+66%)	(+53.%)(+82%)	(+61.%)(+64%)	(+18.0)(+83+)	
n (SS) in		Table	<u>(±40 %)(±00%0</u> %)	<u>(±55 %)</u> (±62% <u>2</u> %		(± 40.70)	
fog retrieved		caption)	<u>/0</u> 7	<u>707</u>	<u>/0</u> 7		
from D ^{fog}		<u>caption</u>					
$170111 D_{50}$							
and D_{half} ,							



Figure 1: Scheme of the instrumental setup. The SMPS is a DMA-CPC assembly and the sCCNC a DMA-CCNC assembly.



Figure 2: Approach used to retrieve the SS_{crit} of individual BC-containing particles. Red rectangles show the instruments providing the basic input parameters shown in dashed black rectangles. Blue parallelograms depict the input and output parameters of the κ -Köhler theory. Values of κ_{coating} for individual particles are assumed to be equal to the ensemble median κ of all particles at a given size (κ_{median}) as derived from the sCCNC and the total SMPS data (see Sect. 2.3.42.3.4). Coated sphere morphology is assumed for both interpreting SP2 scattering signals and in the κ -Köhler theory.

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Figure 3: Example data from the 14 December fog event. (a) sCCNC-activated fraction spectrum including D_{50}^{fog} and D_{half}^{fog} from SP2 measurements. D_{50}^{fog} and D_{half}^{fog} along with κ_{median} values from sCCNC measurements are used to obtain two estimates of fog SS_{peak}. (b) Total particle and CCN number size distribution from sCCNC measurement and corresponding sCCNC-activated fraction spectrum at a fixed SS used to infer D_{crit}^{sCCNC} and the corresponding κ_{median} value. The normalized difference between the 725 % and 75 % activation cut-off diameters, $(D_{75}^{sCCNC} - D_{25}^{sCCNC})/D_{\text{crit}}^{sCCNC}$, is used as an indicator of aerosol mixing state. (c) κ_{median} values calculated from individual D_{crit}^{sCCNC} retrieved from the sCCNC scans plotted against D_{scans}^{sCCNC} on the abscissa. The points are coloured by the SS applied in the sCCNC. The red line indicates an exponential fit surrounded by 95 % confidence intervals which reflect temporal variability during the fog event. The two black crosses affixed on the dashed line indicate an extrapolation of κ_{median} to D_{crit}^{sccnc} corresponding to D_{half}^{fog} and D_{50}^{fog} , while the black dashed line indicates an extrapolation $\frac{D_{\text{crit}}^{SCOV}}{\text{crit}}$ corresponding to $\frac{D_{\text{holf}}^{fog}}{\text{holf}}$ and $\frac{D_{50}^{fog}}{50}$. Note: the peculiar size dependence of the κ_{-} -value, which exhibits increasing hygroscopicity with decreasing particle size, has been observed during the fog events and also few other fog-free nights covered in this study (see Figure 4). However, this feature at the small size end is not relevant for fog droplet

15 activation nor is it representative of the campaign averaged data (see Table 14).

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Figure 4: Time series of various parameters during the period of the analyzed fog events (blue shadings) including (a) wind speed and direction; (b) mass concentrations of organics, inorganic species, eBC as well as total particle number concentration (D > 7 nm) (c) Dry particle mobility diameters corresponding to 25 %, 50 % $(D_{\text{crit}}^{sCCNC})$ and 75 % sCCNC-activated fraction at the SS applied in the sCCNC and (d) retrieved κ_{median} value for each sCCNC scan. Note, the measurements at different SS are representative of different particle sizes (see panel (c)). Thus, the dependence of the κ_{median} values shown in panel (d) primarily reflects the size dependence of particle hygroscopicity.



Figure 5: Diurnal patterns for the whole campaign of (a) Number concentration of particles in the nucleation mode (20 to 30 nm), Aitken mode (30 to 100 nm), accumulation mode (>100 nm) and all particles inferred from integrated SMPS data, (b) eBC mass concentration inferred from the aethalometer measurement at 880 nm, (c) absorption Ångström exponent (AAE) calculated from aethalometer measurements at 470 and 880 nm, and (d) organics (from ACSM) to eBC mass concentration ratio. Substantial differences between values of diurnal cycles at 1:00 and at 2:00 en be seen mainly for weekend days, and to a minor extent for weekdays. They are caused by the discontinuities at Friday and Sunday midnight (later corrected to 1:00 from UTC to LT) and limited statistics (particularly for weekend days). This is also the case for Figure 6.



Figure 56: Diurnal patterns of (D^{SCCNC}₂₅-D^{SCCNC}₂₅)/D^{SCCNC}_{crit} from sCCNC measurements as an indicator of the particle mixing state, averaged during the whole campaign (the larger the value the more externally mixed with respect to hygroscopicity). Results at four different SS are separately averaged over the whole campaign including all days, weekdays only or weekend days only. The approximate ranges of D^{SCCNC}_{crit} corresponding to the respective SS are indicated on each panel.



Dry particle mobility diameter D_{oy} [nm] Figure <u>6</u>7: Averaged sCCNC-activated fraction spectra (from sCCNC measurements). The data set of the whole campaign is temporarily split by (a) weekdays versus (b) weekend days, and also by nighttime versus morning rush hour.



Figure 78: Fog-activated fraction of the bulk aerosol (from total and interstitial SMPS, red line), BC-containing particles (using SP2 LEO-fit, black line) and BC-free particles (using SP2 scattering signal: light blue line, and SP2 LEO-fit: turquoise-green line) as a function of the dry particle mobility diameter (for SMPS data) and optical diameter (for SP2 data) during the 14 December fog event. The 1- σ uncertainties of the BC-containing particle data are Poisson-based with respect to the BC core number size distribution; the other ones are dominated by the level of (dis-)agreement of the interstitial and total measurements, which was determined during out-of-cloud periods and propagated through the calculation of fog-activated fraction.



I Figure 89: BC-containing particle properties and behavior during the December 14 fog event as a function of rBC mass equivalent diameter of the BC cores. (a) rBC mass size distribution (total inlet), (b) number fractions of BC-5 containing particles split in two mixing state classes (total inlet) and (c) fraction of BC-containing particles activated to fog droplets (based on alternating measurements behind the interstitial and total inlets). The two mixing state classes shown in panels (b) and (c) are distinguished by either thick or thin-to-moderate coatings based on the delay time method applied to the SP2 raw data. The 1-o uncertainties in panel (c) are Poisson-based counting statistics for the rBC core number size distributions propagated through the equation for of the fog-activated fraction. The mixing -state-resolved data are only shown for the mass equivalent diameter range 127 nm $< D_{\rm rBC} < 212$ nm, in which

detection limits of the SP2 do not introduce any systematic bias. The most thickly coated particles which caused saturation of the scattering signal were included in the subset of BC particles with thick coatings.



Figure 240: (a): SS_{crit} of individual particles sampled behind the total inlet (grey dots) and interstitial inlet (dots coloured by Δ_{coating}) as a function of their D_{rBC} during the 14 December fog event. The distinct band of data points 5 appearing with an SScrit of 0.015 % corresponds to BC-containing particles which caused saturation of the scattering detector even in the leading edge range of the signal, making it impossible to accurately determine SS_{crit}. As these particles are known to have lower SS_{crit} than the most thickly coated particles which did not cause signal saturation, they are assigned a "randomly chosen" low value for SS_{crit} and included in the figure. (b), (c), (d), (e): fog-activated fractions of BC-containing (black lines) and BC-free (light blue lines) particles per class of 0.01 % SS for the 14, 15, 18 and 20 December fog events, respectively. The horizontal error bars associated with the activated fractions represent Poisson-based statistical uncertainties. The horizontal blue lines show the SS_{peak} for each fog event 10 retrieved using D_{50}^{fog} (with the method and uncertainty explained in Sect. 2.3.5). The variability in the fog activated dian ± 0.05) is represented by horizontal bars. The _{ating} (retrieved *k*. induced by tho choice of *k*. of ct. 2.3.5) are marked by hori lin for each fog ed using D (with the method explain d in nt. The lowest part 15 hidden for the 15 and 18 December fog events: they reach 0.0065 % , respectively.

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Figure 1011: Fog-activated fraction of BC cores (colour scale for the four panels), i.e. number fraction of BC particles that formed a fog droplet according to the measurements behind the interstitial and total inlets, as a function of BC core mass equivalent diameter (D_{rBC}) and of-coating thickness ($\Delta_{coating}$), separately shown for all four fog events. The dashed line shows the pairs of core size and coating thickness for which where the fog-activated fraction is expected to be 50 % according to predictions based on ZSR_rule and κ -Köhler theory. Black pixels in the image indicate 2D-bins for which no particle was found in the total inlet data while at least one particle appeared in the interstitial inlet data, thus leading to a negative fog-activated fraction. The grey shadings mask the range that is below the detection limit of the SP2, i.e. D_{opt} below around 210 nm. The distinct band of data points at $\Delta_{coating} >~300$ nm appears for the same reason as the band at SS_{crit}=0.015 % in Figure 10a9. 5a; see corresponding caption for explanation.

Authors contribution

G.M., J.S. were the main organizers of the field campaign; J.C.C. and M.Z. performed instrumental calibration and tuning. All co authors took part in the data analysis and discussions of the results. G.M. prepared the manuscript with contribution from all co-authors.

The authors declare that they have no conflict of interest.

Supplement of

Droplet activation behaviour of atmospheric black carbon particles in fog as a function of their size and mixing state

G. Motos¹, J.Schmale¹, J.C. Corbin^{1,*}, M. Zanatta^{1,**}, U. Baltensperger¹ and M. Gysel¹

¹Laboratory of Atmospheric Chemistry, Paul Scherrer Institute, 5232 Villigen PSI, Switzerland ^{*}Now at Measurement Science and Standards, National Research Council Canada, 1200 Montreal Road, Ottawa K1A 0R6, Canada

**Now at Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Bremerhaven, Germany

Correspondence to: Martin Gysel (martin.gysel@psi.ch)



measurement site. Map data: ©2018 Google Earth – © 2009 GeoBasis-DE/BKG.



Figure S2: (a) Example SP2-derived particle number size distributions during out-of-cloud conditions showing the corrections made on the interstitial inlet data by the use of scaling factors. These corrections also apply to SMPS data. A scaling factor of 1.16 was used before 17 December 2015, a factor of 1.03 afterwards. (b) Size-dependent scaling factors for correcting SMPS data based on averaged out-of-cloud SMPS measurements before and after each fog event analyzed in this study. The replacement of a conductive tubing on 17 December led to a better agreement between the two instruments. The strong size dependence of the scaling factors can be explained by the fact that they originate from two different instruments, the total-inlet and the interstitial-inlet SMPS. For each fog event, the disagreement between both SMPS was rather stable before and after the event, supporting the assumption that this disagreement did not change during the events.



Figure S³¹:Top: Diurnal variations of mass concentrations measured by the ACSM (top) and corresponding mass fractions (bottom) for the full campaign duration from 6 November 2015 to 31 January 2016. The maximum expected NH_4^+ mass concentration, calculated with assuming that all particulate NO_3^- and SO_4^{2-} was neutralized by NH_4^+ and that no other anions were present in substantial fraction (i.e. $n(NH_4^+)=2*n(SO_4^{2-})+n(NO_3^-))$), is shown as a dotted line. The measured NH_4^+ mass concentration was higher than this maximum calculated concentration; however, the difference is within measurement uncertainty. Mass fractions of organic matter and salts shown in the bottom panel are based on this calculated maximum NH_4^+ mass concentration.



Figure S42: Diurnal patterns of the hygroscopicity parameter κ_{median} extracted from sCCNC measurements and separately averaged by SS for the whole campaign. In accordance with Table 1, the error bars for SS=1.33 % (purple bars) are also representative of SS=0.14 % (dark red line); the error bars for SS=0.21 % (red line) are representative of all other SS.Dashed line style is used for 0.14 % and 1.33 % supersaturation due to higher CCNC calibration uncertainty compared to the other supersaturations.



Figure S5: Diurnal patterns for the whole campaign of (a) Number concentration of particles in the nucleation mode (20 to 30 nm), Aitken mode (30 to 100 nm), accumulation mode (>100 nm) and all particles inferred from integrated SMPS data (b) eBC mass concentration inferred from the aethalometer measurement at 880 nm, (c) absorption Ångström exponent (AAE) calculated from aethalometer measurements at 470 and 880 nm (d) organics (from ACSM) to eBC (from aethalometer) mass concentration ratio. Substantial differences between values of diurnal cycles at 1:00 and at 2:00 can be seen mainly for weekend days, and to a minor extent for weekdays. They are caused by the discontinuities at Friday and Sunday midnight (later corrected to 1:00 from UTC to LT) and limited statistics (particularly for weekend days).

Discussion to Figure S5: To test the hypothesis that the concentration peaks from 8:00 to 10:00 (LT) during weekdays are caused by traffic emissions, we show campaign averaged diurnal patterns of the absorption Ångström exponent (AAE) in Figure S5c. The characteristic values of the AAE for traffic (0.9 to 1) and wood burning (1.47 to 1.80) were previously reported in winter in Zurich (Zotter et al., 2017). In this campaign, the AAE varied between these two ranges, indicating the presence of emissions from both sources. The AAE values are systematically lower during weekdays than weekend days, when almost no heavy duty vehicles, much less light duty vehicles and also less passenger cars are on the road. The minimum AAE value is reached at 10:00 LT during weekdays, in agreement with the concentration peaks seen in Figure S5a. Although both traffic and wood combustion contribute to BC and organic emissions, wood burning emissions are associated with much higher organics to BC ratios (Laborde et al., 2013). The lowest values of organics to eBC mass ratios (close to 1) were found during the rush hours of weekdays when traffic emissions dominate. During night time, when wood burning emissions contributed to a much larger extent, the organics to eBC mass ratio increased to around 1.5.

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Figure S63: Histograms of BC coating thickness normalized by the area during the analyzed fog events for a fixed BC core diameter range (a) and a fixed total particle diameter range (b).

Figure S3a-<u>6a</u> shows a histogram of the coating thickness of BC cores with a mass equivalent diameter (D_{rBC}) of 200 ± 20 nm during every fog event analyzsed. Occurrence of negative coating thickness values, which is derived from independent light scattering and incandescence signals, can have two reasons. First, random noise in the single particle signals causes random noise around the true value in derived single particle coating thickness values. Accordingly, a negative coating thickness will be assigned to half of the uncoated BC cores, however, this is not an issue as the mean coating thickness reported for a particle ensemble will not be biased. Second, systematic calibration biases or inappropriate assumptions in the simplified optical model including refractive indices used to interpret the raw signal can potentially introduce a systematic positive or negative bias in reported coating thickness values. Such potential bias is minimized by comparing the sizing of single BC cores from their incandescence signal (mass-equivalent diameter) and their scattering signal (optical diameter) and ensuring the close agreement between these two quantities. The four histograms in Figure S3a-S6a are very similar and show that the dominant fraction of BC-containing particles possess a relatively thin coating, with histograms peaking close to 0 nm (bare BC). This type of analysis, which is relevant for BC core size and thus also BC core mass weighted properties, shows that fresh or recently emitted BC particles dominate over aged BC particles contributed by the background aerosol.

Figure <u>S3b-S6b</u> also shows histograms of coating thickness for each fog event analyzsed, but in this case including all BC-containing particles with an overall particle optical diameter between 245 nm and 255 nm (as opposed to filtering by similar BC core size as done in in Figure <u>S3aS6a</u>). Again, there is very little variability between the fog events. Here, we find that the dominant fraction of BC cores is thickly coated. The conclusions extracted from these two types of histograms seem to be contradictory but the number size distribution of BC cores (Fig. <u>S4S7</u>) explains in parts why it is not: BC-containing particles at a fixed overall particle size include either large BC cores without coating or small BC cores with thick coating (or something in between), whereas neither small uncoated BC cores nor large coated BC cores- are included. <u>However, the</u> number size distribution peaks way below $D_{rBC} = 100$ nm and drops steeply between $D_{rBC} = 100$ nm and 250 nm_a. <u>t</u>Therefore, at a given overall particle optical diameter, small aged BC cores are more abundant than large fresh BC cores despite BC mass being dominated by fresh emissions.



Figure S74: Number size distribution of BC cores during the analyzsed fog events (SP2 measurements).


Figure S§5: Activated fractions of the bulk aerosol (SMPS, red lines), BC-containing (SP2, black lines) and BC-free particles (SP2 scattering analysis, light blue line and LEO-fit analysis, green lines) during the 15 (a), 18 (b) and 20 (c) December fog events. The 1- σ uncertainties of the BC-containing particle data are Poisson-based with respect to the BC core number size distribution; the other ones are dominated by the level of (dis-)agreement of the interstitial and total measurements, which was determined during out-of-cloud periods and propagated through the calculation of activated fraction.



Figure S9: Sensitivity analysis of BC activated fraction in fog to assumed coating hygroscopicity. Same as Figure 9a and b for the 14 December fog event plus additional activation curves derived with κ_{contine} disturbed by ±0.05.

Discussion of Figure S9: To infer the critical supersaturation of individual BC-containing particles, the hygroscopicity parameter of the coatings, κ_{coating} , was assumed to be equal to the median hygroscopicity measured for the total aerosol (κ_{median} ; see Sect. 2.3.2). Here, we performed a sensitivity analysis to test the sensitivity of the BC activation closure result to the assumed value of κ_{coating} : the analysis shown in Figure 9a and 9b and explained in Sect. 3.5 was repeated using κ_{coating} disturbed by ±0.05. Figure S9b shows that changing κ_{coating} alters the retrieved fog peak supersaturation (solid horizontal lines) as well as the vertical position of the curves indicating the activated fractions. These changes virtually compensate each other such that the observed 50 % activated fraction for BC-containing particles is reached at a supersaturation closely mating the fog peak supersaturation for all three κ_{coating} scenarios. This means that successful closure between observed and predicted cloud droplet activation of BC is successfully achieved independent of the exact choice of κ_{coating} .