

Referee comments are in black and the authors responses are in red. When text from the manuscript is quoted, new text is in bold face.

Referee 1:

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- 5 Interactive comment on “Atmospheric Processing of Iron in Mineral and Combustion Aerosols: Development of an Intermediate-Complexity Mechanism Suitable for Earth System Models” by Rachel A. Scanza et al.

General comments:

- 10 Parameterizations and simplifications in atmospheric processing of iron in aerosols are required for multi-decadal and centennial simulations. The authors presented a comprehensive modeling study of labile iron, assuming fast iron dissolution rates in cloudy grid boxes by applying “in-cloud” iron dissolution rates. The work conducted in this paper may contribute to improve our understanding of iron cycle, although more works will be required to improve the atmospheric processing of iron in aerosols. I have some comments and questions to improve this paper. In particular, there are many tuning parameters
- 15 which should be clarified for future studies, although the paper is well written.

We thank the referee for the careful evaluation and helpful comments to improve the quality of the manuscript. We revise the text to clarify and address the referee’s comments.

- 20 Specific comments:

Abstract

p.1., 1.19, p.9, 1.27, and p.16, 1.25: Please rephrase “within range of the observational mean”, as you explained “less than 1 signifies an underestimation” in p.11, 1.32.

We modify the text on page 1, lines 18-19:

- 25 “We define a semi-quantitative metric as the model mean at points with observations divided by the observational mean (MMO). **The model is in reasonable agreement with observations of fractional iron solubility with an MMO of 0.86.**”

We modify the text on page 10, line 19:

“The MMO does not intend to evaluate the model’s ability to capture observational variability but simply to assess if the

30 model **can reasonably estimate the observational mean.**”

We modify the text on page 17, line 15:

“...fractional iron solubility MMO is 0.86, indicating that while the model is not capturing all of the observational variability, it is in reasonable agreement with the observational mean.”

p.1.,1.25, p.10, 1.13, and Table 5a: This contradicts to the main conclusion from previous version (Luo et al., 2008). Figure S1 indicated the underestimates of iron below 15S, suggesting the omission of optimization of dust emissions. Since the total iron in combustion aerosols are emitted following Luo et al. (2008), you must predict lower dust emissions in the Southern Hemisphere. After you switched the coupled simulation to the off-line simulation, how did you scale the dust emissions? Since the dust emissions strongly depend on the meteorology, you needed to optimize dust emissions. If you did not, please clarify the omission of optimization of dust emissions and the underestimates of iron below 15S.

All model simulations in this study were conducted with optimized dust emissions following Albani et al., 2014 and forced with MERRA reanalysis meteorology. In Albani et al, 2014, the dust is optimized to best match AOD in the source regions, deposition and concentration. As in other models (Huneus et al., 2011), we cannot match both deposition and surface concentrations at the same time. Here we only compare to surface concentrations and add the following text on page 5, lines 10-14 to make this more clear:

“The dust module was tuned to best match aerosol optical depth (AOD), deposition and surface concentration data (Albani et al., 2014). Similar to other models (Huneus et al., 2011), our model is unable to simultaneously match the surface concentration and deposition data in remote regions, and since here we only show concentration data, it will appear that the model overpredicts surface concentrations of dust.”

TableS2d lists emissions of total iron in dust and combustion aerosols at 56.9 and 1.9 Tg/year respectively, and is very close to the total iron emissions reported in Luo et al., 2008 (55 and 1.7 Tg/year). The main conclusion in Luo et al., 2008 is “we obtain the result that deposition of soluble iron from combustion contributes 20 – 100% of the soluble iron deposition over many ocean regions.” Therefore, our conclusions support those of that study and are within their range. The model we use in this study is structurally different to the MATCH model used in Luo et al., 2008 and the reanalysis meteorology used to drive MATCH is NCEP, compared to MERRA reanalysis meteorology used here; therefore, a like-for-like comparison of results with those in Luo et al., 2008 cannot be done as the Reviewer suggests and differences are instead a reflection of the different model structures and meteorology data sets used.

We also add the following text on page 11, lines 4-5:

“...surface concentrations of dust are generally over-predicted with the exception of remote SH ocean regions. In addition, most dust models exhibit a low bias in SH dust deposition (e.g., Huneus et al., 2010;Huneus et al., 2011;Albani et al., 2014).”

Introduction

p.2, 1.5: typo, HNLC.

fixed.

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p.3, 1.13 and p.5, 1.8: More recent study developed an iron dissolution scheme that reduced the number of mineral tracers for implementation in Earth system models (Ito and Shi, 2016).

We modify the text by adding the following sentence on page 3, lines 91-20:

10 **“A more recent study developed an iron dissolution scheme with fewer mineral tracers to allow for simulations using Earth system models (Ito and Shi, 2016).”**

Methods

15 p.4, 1.15: Please clarify the link between three source modes and the size distribution across dust bins in p.4, 1.18
The particle size distributions for each dust bin are calculated from the mass fraction from the source trimodal PDF to the transport bins following (Eq. 12, Zender et al., 2003). The dust bins are bounded at 0.1, 1.0, 2.5, 5.0 and 10.0 μm with prescribed mass median diameter and standard deviation of 3.5 μm and 2.0, respectively.
Three source modes described in Schulz et al., 1998, D’Almeida, 1987 and Zender et al., 2003 are defined by observed mass
20 median diameter and geometric standard deviation (see Table 1, Zender et al., 2003). The portion of the mass fraction from each source mode to each of the 4 dust bins is described in (Table 2, Zender et al., 2003), and the bin fractions are modified following brittle fragmentation theory (Kok, 2011).

We modify the text by adding the following on page 4, lines 23-30:

25 **“The three source modes are defined by observed mass median diameter and geometric standard deviation (d’Almeida, 1987; Schulz et al., 1998; Table 1, Zender et al., 2003). Bin widths are prescribed at 0.1-1.0, 1.0-2.5, 2.5-5.0, and 5.0-10.0 μm (Mahowald et al., 2006; Zender et al., 2003) and have fixed lognormal sub-bin distributions (mass median**
30 **diameter = 3.5 μm , $\sigma_g = 2.0$) (Zender et al., 2003). The size distribution across dust bins was modified from the release version of the model to follow the brittle fragmentation theory of vertical dust flux ...”**

p.4, 1.24: Please specify the sub-time step for multiple in-cloud cycles for aerosols and clarify the contact time of aerosols with cloud droplets.

CAM4 aerosols do not interact with clouds with the exception of modeled iron and sulfate particles, the chemistry of which is explicitly simulated within clouds using the simple approximations developed here. In this study, with the CAM4 aerosol configuration, we are not actually simulating dissolution and evaporation of dust and oxalate particles within a cloud droplet but rather estimating it via simplified dissolution rates (Eq. 1-3).

We modify the text on page 5, lines 4-7:

“CAM4 allows for multiple cycles of condensation and evaporation (Gent et al., 2011; Hurrell et al., 2013; Neale et al., 2013) in order to match observational estimate of approximately three in-cloud cycles for aerosols (Lelieveld et al., 1998; Crutzen and Zimmerman, 1991); the model time step is 30 minutes with 20 sub-time steps for in-cloud chemistry.”

p.6, 1.25: Please show the results of pH from REF and SS5.

Added a new figure for Supplement (Figure S3).

p.6, 1.29 and Figure 1: Please correct the unit in Figure 1. The iron dissolution rates from Eq. (3) at oxalate concentration = 0 are higher than those from Eq. (1). However, the iron dissolved at oxalate concentration = 0 is already considered in FeRR. Please show the comparison of Fe solubility estimated using equations (1) and (3) from illite at oxalate concentration = 0 with Fig. S2 in Ito and Shi (2016). If you assume fast iron dissolution rates in cloudy grid boxes, please clarify it.

Units in figure one RFe vs. pH:

$RFe = K(T) \times a(H)^m \times f(\nabla G) \times A \times MW$ where,

$K(T)$ has units of $(\text{mol m}^{-2} \text{s}^{-1})$

$a(H)^m$ is unitless

$f(\nabla G)$ is unitless

A has units of $(\text{m}^2 \text{g}^{-1})$

MW has units of (g mol^{-1})

\therefore

$$\frac{\text{mol}}{\text{m}^2 \text{s}} \times \frac{\text{m}^2}{\text{g}} \times \frac{\text{g}}{\text{mol}} = \text{s}^{-1}$$

The iron dissolution rate at $[C_2O_4^{2-}] = 0$ utilizes Table 4 in Paris et al., with our method for best fit which was a result of multiple different simulations where the intercept was examined to find the best match observations of %Fe_s. Regardless of the presence of $C_2O_4^{2-}$, the non-zero intercept with units of (s⁻¹) for $K_{i,oxalate}$ accounts for (non-oxalate) in-cloud organic ligand processing which is always present.

We add the following text on page 8, lines 11-14: **“The iron dissolution rate at $[C_2O_4^{2-}] = 0$ utilizes (Table 4, Paris et al., 2011) with our method for best fit which was a result of multiple different simulations where the intercept was examined to find the best match to observations of %Fe_s. The non-zero intercept for $K_{i,oxalate}$ accounts for (non-oxalate) in-cloud organic ligand processing.”**

We assume iron dissolution rate in cloudy grid boxes following the higher solubility for Fe_{med}, which can be found in the text on page 7, line 13 “...we use the Fe_{med} dissolution rate for the remaining combustion iron.

We also add a figure in the supplement, Figure S4 showing the Fe solubility from illite with time.

p.7, Eq. (2) and p.8, Eq. (4): How did you determine the scaling factor of 15?
Multiple simulations were performed to choose our reference case and the factor of 15 produced the best match to previous modeling studies with more explicitly simulated oxalate. Since CAM4 aerosols do not interact with clouds, it was necessary to parameterize this interaction and to select an appropriate scaling factor to best match the oxalate distribution (Fig. 2, Myriokefalitakis et al., 2011) and observations of fractional iron solubility.

We added the following text on page 7, lines 24-26:
“In choosing our reference case, multiple simulations were conducted to best match observations of fractional iron solubility and the surface distribution of oxalate (Figure 2a,c, Myriokefalitakis et al., 2011); the factor of 15 in Eq. (2) yielded the best results.”

p.7, 1.8: Please show the comparison of oxalate from REF and SS2 with observations, assuming that oxalate remains in the particulate phase upon cloud evaporation. Please clarify if you assume high oxalate concentration in the aerosols in cloudy grid boxes.
The oxalate concentration in cloudy gridboxes is calculated with Equation 2. Regions with both clouds and oxalate concentrations shown in Figure 2 will have high oxalate concentrations and thus faster dissolution. We are unable to show a direct comparison of REF and SS2 particulate phase oxalate given that CAM4 aerosols (except sulfate) do not interact with

clouds and were only parameterized using proxy species. However, we refer the Reviewer to Figure 2 in this study and Figure 2 (a,c) in Myriokefalitakis et al., 2011, which shows good agreement when using organic carbon as the proxy for oxalate in CAM4. This has been stated in the manuscript already so no additional text is required.

p.8, l.14: Since these values strongly depend on the meteorology, you needed to optimize the tuning parameters (see comments on p.8, l.18 and p.11, l.24). If you did not, please clarify the omissions of optimization.
Tuning parameters for dust emission were optimized following Albani et al., 2014. Page 8, lines 14-16 describe the very simple iron dissolution parameterization for SS3 from Hand et al., 2004. Please see our response to the second specific comment.

p.8, l.18: How did you determine the scaling factor of 5?

Simulations in Hand et al., 2004 did not take into account any combustion iron. Combustion iron vs dust iron solubility is not well understood. Particularly, a wide range of initial dust solubility (0.1 – 1+% (Luo et al., 2008;Jickells et al. 2005;Shi et al., 2012;Johnson and Meskhidze, 2013;Ito and Xu, 2014). Using our reference case, the annually-average global Fes emissions (0.5069 Tg/year) divided by total dust Fe emissions (56.9 Tg/year) yields an initial dust %Fes of 0.89%. Assuming combustion %Fes of 4%, this corresponds to an enhancement of 4.5 times (although this could potentially range from 40 to less than 4 times).

We add the following text on page 9, lines 4-9:

“Hand et al., 2004 did not take into account iron from combustion aerosols and there are a wide range of reported dust Fe solubilities at emission, 0.1-1+% (Luo et al., 2008;Jickells et al. 2005;Shi et al., 2012;Johnson and Meskhidze, 2013;Ito and Xu, 2014). To account for the typically higher solubilities associated with combustion iron, and using Fes/Fet for dust iron (Table S2d), we assume an initial solubility for combustion and dust Fe of 4% and 0.89%, respectively; this corresponds to an enhancement of 4.5 times and hence chose, somewhat arbitrarily, to increase the dissolution rate by a factor of 5.”

p.8, l.23: Guo et al., 2016 and Weber et al., 2016 excluded dust events and reported high acidity for fine particles. Please see Extended Data Figure 1 in Weber et al., 2016. The pH estimate is higher than 3 even though SO4 concentration is higher than Na concentration. I strongly recommend simulations with lower pH for combustion aerosols but higher pH for dust than REF in future studies.

This is an excellent suggestion and will be implemented in our current model development using this iron dissolution scheme within the modal aerosol module (MAM) in the CESM (Hamilton et al., *in prep*).

p.8, 1.28: How did you estimate preindustrial chemistry and dust sources assuming present day climate conditions?

Preindustrial chemistry is estimated from historical emission from CMIP5 and include reduced emissions of sulfate, OC and BC. (Lamarque et al., 2010). Preindustrial dust sources from 1850 are estimated based on those used in Mahowald et al., 2006 for late 19th century. Present day climate conditions indicate that we use the current MERRA reanalysis meteorology (e.g., temperature, wind speed, etc.), but should not be confused with chemistry which refers only to the atmospheric compositional state (in this case CO₂ and oxidant concentrations).

We add the following text on page 9, lines 18-20: “Here we refer to “preindustrial chemistry” as historical emissions for CMIP5 (Lamarque et al., 2010) and includes reduced emissions for sulphate, OC, and BC. “

3 Results

p.10, 1.7: This is not the reason of the overestimates and underestimates (see the comments on p.1., 1.25, p.10, 1.13 and Table 5a).

Dust emissions are optimized in all simulations; please see our previous response.

p.11, 1.5 and p.13, 1.1: Why did you estimate higher iron solubility (i.e., overestimate) in the finest particle size bin off the coast of North Africa? The value is higher than the initial iron solubility for dust aerosols in Table S2d. However, the initial iron solubility for the finest particle size bin should be higher than that. Please show the emissions in the finest particle size bin as in Table S2d.

The initial iron solubility for dust aerosols from Table S2d is 0.89% and when we include combustion aerosols the combined initial iron solubility for all bins is 0.99% (4% Fe_{comb}). Fine mode emissions in units of kg m⁻² s⁻¹ for dust, Fe_{dust}, Fe_{tcomb}, Fe_{scomb}, Fe_{scomb} are 1.93E+10, 1.02E+09, 2.4E+08, 3.6E+07 and 9.7E+06 respectively. The readily released iron from Ito and Xu, 2014 is presumed already soluble in bin1 and for bins 2-4, Fe_{RR} from kaolinite and feldspar is prescribed as soluble while 25% of Fe_{RR} from illite and smectite is prescribed, as stated in Section 2.3, lines 24-33. This corresponds to a higher initial solubility of dust iron in the finest size bin (3.6%); including combustion yields 3.7%.

We modify the Supplementary Material by adding a column in Table S2d for the fine mode emissions.

5 p.11, l.21, Tables 3 and 7: What is tau?

In tables 3 and 7, tau is the annually-averaged global mean of insoluble iron turnover time (days). It is defined as the total insoluble iron from dust and combustion aerosols divided by the production of soluble iron from insoluble iron.

We add the following text to the table caption for Table 3 (page 24):

10 **“In the last two rows, tau is the annually-averaged global mean insoluble iron turnover time (days) and is defined as the total insoluble iron from dust and combustion aerosols divided by the production of soluble iron from insoluble iron. Mean %fesdep is the average fractional iron solubility at deposition to global ocean basins.”**

We add the following text to the caption for Table 7 page 28:

15 “...percent difference for the average production lifetime of Fes (days) labeled here as “tau” and the...”

p.11, l.24: Please indicate the values for “larger errors.” The underestimates suggest the omission of optimization (see the comment on p.8, l.14).

Dust emissions are optimized in all simulations; please see our previous response.

20

p.13, l.30, Figure 8: Figures 8 (a) and (c) indicate the ratios of 2 over most regions. Did you set up the maximum ratios in your plots? Please correct it.

25

Yes we did and have modified the figure to account for this.

p.14: Please rephrase preindustrial “chemistry”. This is confusing, because the chemistry depends on the meteorology.

30 Preindustrial chemistry is estimated from CMIP5 historical emissions (Lamarque et al. , 2010), which should not be confused with the MERRA reanalysis meteorology used to drive all simulations in this study.

Acknowledgments

p.17, l.16: D. H. is co-author

fixed.

5 Tables

p.21, Table 1: Please revise it to clarify the differences between REF and SS4.

SS4 is REF with spatial dependence of iron on mineralogy removed and the global average iron and calcite concentrations from the reference case are prescribed at emission.

10 We add the following text in caption for Table 1a to clarify this difference (page 22):

“SS4 tracers have no spatial dependence on mineralogy and have prescribed global average fractions from REF at emission.”

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Referee 2:

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Interactive comment on “Atmospheric Processing of Iron in Mineral and Combustion Aerosols: Development of an Intermediate-Complexity Mechanism Suitable for Earth System Models” by Rachel A. Scanza et al.

This paper, develop an iron dissolution scheme of intermediate complexity that can be used in Earth system models. The overall presentation of the article is clearly structured, and the description of tests and calculations is also complete. However, there are some problems you should clearly explain and corrected before this paper is accepted.

We thank the referee for the careful evaluation and helpful comments to improve the quality of the manuscript. We revise the text to clarify and address the referee’s comments.

1. Page 3, line 18, you said, “We simulate four types of iron (readily-released Fe, medium soluble Fe, slow soluble Fe and combustion Fe)”. But on page 5, line 24, you have said “Three types of dust Fe are simulated in the model: readily-released iron (FeRR), medium-soluble iron (Femed) and slow-soluble or refractory iron (Feslow)”. There are some confusions, please explain clearly.

We account for three types of iron from dust and one type from combustion.

We modify the text on page 3, line 27-28: “We simulate four types of iron, **three for mineral dust (readily-released Fe, medium soluble Fe and slow-soluble Fe) and one for combustion aerosols (combustion Fe).**”

2. Page 6, line 13, it is recommended that “FeRR, Femed and Feslow” should be enclosed in parentheses.

We modify the text on page 6, line 26:

“...three types of iron in dust (**FeRR, Fe_{med}, and Fe_{slow}**).”

3. In equation (1), R should be constant. However there is no explanation in the following text, please explain clearly.

In Eq. (1), R is a function of temperature.

$RFe = K(T) \times a(H)^m \times f(\nabla G) \times A \times MW$ where,

$K(T)$ is a function of temperature and has units of $(\text{mol m}^{-2} \text{s}^{-1})$

5 We add the following text on page 7:

“where **RFe_i is a function of temperature and has units (s^{-1})** , i represents either medium or slow soluble Fe, $K_i(T)$ in units of $(\text{moles m}^{-2} \text{s}^{-1})$ is the temperature dependent rate coefficient (**Table 8, Meskhidze et al., 2005**), $a(H^+)$ is the proton concentration with an empirical reaction order m , $f(\nabla G_r)$ accounts for the change in the dissolution rate with variation from equilibrium (and equals 1 for simplicity (Luo et al., 2008)), **A_i is the specific surface area of mineral _{i}** in units of $(\text{m}^2 \text{g}^{-1})$ and MW_i is the molecular weight in units of (g mol^{-1}) for mineral _{i} .”

4. In equation (1), please explain how to calculate R.

$RFe = K(T) \times a(H)^m \times f(\nabla G) \times A \times MW$

15 For example, K(T) utilizes Tables 8 in Meskhidze et al., 2005 for illite and hematite (stage II) (Ito and Xu, 2014), $a(H^+)^m$ is the pH, $f(\nabla G)$ is set to 1 following Luo et al., 2008, A is the specific surface area of illite (Fe_{med}), hematite (Fe_{slow}) and MW is simply the molecular weight of either illite or hematite, depending on which dissolution rate you are calculating.

20 5. In equation (2), please explain the significance of each physical quantity.

In Eq. (2), $[C_2O_4^{2-}]$ is the concentration of oxalate in each atmospheric gridbox, [OC] and [SOA] are the organic carbon and secondary organic aerosol concentrations (mass mixing ratio) in each gridbox, and these species are included in the release version of CESM. The denominator is the maximum of the sum of global averaged mass mixing ratios for OC & SOA, to ensure that the highest possible oxalate concentration is $15 \mu\text{mols L}^{-1}$, this factor was chosen to best match observations of fractional iron solubility and the spatial distribution of oxalate from Myriokefalitakis et al., 2011.

We've added the following text on page 7, lines 24-26:

“**In choosing our reference case, multiple simulations were conducted to best match observations of fractional iron solubility and the surface distribution of oxalate (Figure 2a,c, Myriokefalitakis et al., 2011); the factor of 15 in Eq. (2) yielded the best results.**”

6. Page 7, line 15, you said “There tends to be more oxalate in the model simulations in tropical regions (Figure 2 from Myriokefalitakis et al., 2011) which is better captured in our model simulations using the OC+SOA versus the sulfate proxy for modeled oxalate concentrations. Have you verified it? Please explain this.
- 5 Visually comparing Figure 2 in this study with Figure 2 (a) and (c) in Myriokefalitakis et al., it should be clear that the spatial distribution of oxalate using the OC+SOA (a) vs the sulfate (b) proxy is a better match to Myriokefalitakis et al., 2011.
- 10 7. Page 11, line 28, you have said “While the oxalate mechanism derived from the sulfate proxy (SS2) have marginally stronger correlations compared to the reference casem the difference between these is not statistically significant”. Please explain the statistical relevance and variability mentioned.
- We remove this sentence as the correlation coefficients for all cases are poor.
- 15 8. Page 13, line 6, you said, “Again, however, the fractiona; iron solubility is inversely related to total and soluble iron deposition, a result consistent with theory and observations”. Please explain in detail the theories and observations mentioned in the text.
- 20 Many studies assume that OPP requires bioavailable iron and report increased iron liberation from ferric oxides with decreasing pH acidic species (Duce and Tindale, 1991;Zhu et al., 1997;Zhuang et al., 1992;Jickells and Spokes, 2001;Desboeufs et al., 2001;Meskhidze et al., 2003). (Chen and Siefert, 2004) and (Baker and Jickells, 2006) find decreasing fractional iron solubility with mineral dust concentrations for different atmospheric environments, concluding that solubility is partially a function of particle size (Baker and Croot, 2010). Smaller particles have longer atmospheric lifetimes and thus higher probabilities of undergoing chemical reduction. Additionally, combustion aerosols whose fractional iron solubility is higher are generally small particles
- 25 _____ Particle size has been implicated in the bioavailable iron problem with many studies finding that smaller particles with a larger surface area to volume ratio have increased dissolution (Baker and Jickells, 2006). This observation could result because smaller particles dominate long-range transport as larger particles are preferentially removed due to gravity. For example, (Hand et al., 2004) finds that soluble iron is several times greater in the fine dust mode than the coarse mode without including a surface area effect. More recently however, iron solubility increasing with decreasing particle size has been disputed (Shi et al., 2012;Buck et al., 2010), indicating that particle size can only partially account for the differences in solubility. The implication that particle size enhances solubility does not take into account that the mineralogy of the dust
- 30

distribution changes during transport, with clay enrichment due to the larger particles sizes of quartz and feldspar (Glaccum and Prospero, 1980). The mineralogy of dust is important with different minerals contributing different forms of iron (i.e. iron oxides, nanoparticle coating, alluminosilicate substitutions) (Journet et al., 2008; Ito and Xu, 2014; Meskhidze et al., 2005).

Observations from Sholkovitz et al., 2012 show a trend of increasing iron solubility with decreasing total iron concentration and conclude that much of this is due to the smaller particle size distribution of combustion aerosols and their ability to enhance dust iron dissolution. Mahowald et al, 2018, show using a simple 1-d plume model, that either differential solubility in emissions of combustion aerosols, or atmospheric processing of dust iron can match the observed relationship described above. At emission, combustion aerosols are typically smaller and thus remain suspended in the atmosphere longer than the larger dust aerosols. In addition, since combustion aerosols appear to have higher Fe solubility, the plume will increase in solubility as it is transported downwind from continental sources. Alternatively, if only dust aerosols are considered to be sources of iron, but are atmospherically processed downwind from source regions, one will see lower values of iron in the aerosols, as particles fall out yet the remaining iron will be more soluble (longer atmospheric processing). Quantitative comparison of this simple plume model indicates that either of these two cases can match observations (Mahowald et al., in 2018).

We include the following text on page 2:

“Observations from Sholkovitz et al., 2012 show a trend of increasing iron solubility with decreasing total iron concentration and conclude that much of this is due to the smaller particle size distribution of combustion aerosols and their ability to enhance dust iron dissolution. Mahowald et al, 2018, show using a simple 1-d plume model, that either differential solubility in emissions of combustion aerosols, or atmospheric processing of dust iron can match the observed relationship described above. At emission, combustion aerosols are typically smaller and thus remain suspended in the atmosphere longer than the larger dust aerosols. In addition, since combustion aerosols appear to have higher Fe solubility, the plume will increase in solubility as it is transported downwind from continental sources. Alternatively, if only dust aerosols are considered to be sources of iron, but are atmospherically processed downwind from source regions, one will see lower values of iron in the aerosols, as particles fall out yet the remaining iron will be more soluble (longer atmospheric processing). Quantitative comparison of this simple plume model indicates that either of these two cases can match observations (Mahowald et al., 2018). “

Atmospheric Processing of Iron in Mineral and Combustion Aerosols: Development of an Intermediate-Complexity Mechanism Suitable for Earth System Models.

Rachel A. Scanza^{1,2}, [Douglas S. Hamilton¹](#), Carlos Perez Garcia-Pando³, Clifton Buck⁴, Alex Baker⁵,
5 [Natalie M. Mahowald¹](#)

¹Department of Earth and Atmospheric Sciences, Cornell University, Ithaca, New York, USA

²Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland, Washington, USA

³Earth Sciences Department, Barcelona Supercomputing Center, Barcelona, Spain

⁴Department of Marine Sciences, University of Georgia, Athens, Georgia, USA

10 ⁵School of Environmental Sciences, University of East Anglia, Norwich, UK

Correspondence to: Rachel A. Scanza (Rachel.scanza@pnnl.gov)

Abstract. Atmospheric processing of iron in dust and combustion aerosols is simulated using an intermediate-complexity soluble iron mechanism designed for Earth system models. The solubilization mechanism includes both a dependence on aerosol water pH and in-cloud oxalic acid. The simulations of size resolved total, soluble and fractional iron solubility indicate that this mechanism captures many but not all of the features seen from cruise observations of labile iron. The primary objective was to determine the extent to which our solubility scheme could adequately match observations of fractional iron solubility. We define a semi-quantitative metric as the model mean at points with observations divided by the observational mean (MMO). [The model is in reasonable agreement with observations of fractional iron solubility with an MMO of 0.86.](#) Several sensitivity studies are performed to ascertain the degree of complexity needed to match observations; including the oxalic acid enhancement is necessary while different parameterizations for calculating model oxalate concentrations are less important. The percent change in soluble iron deposition between the reference case and the simulation with acidic processing alone is 63.8%, which is consistent with previous studies. Upon deposition to global oceans, global mean combustion iron solubility to total fractional iron solubility is 8.2%; however, the contribution of fractional iron solubility from combustion sources to ocean basins below 15°S is approximately 50%. We conclude that in many remote ocean regions, sources of iron from combustion and dust aerosols are equally important. Our estimates of changes in deposition of soluble iron to the ocean since preindustrial suggest roughly a doubling due to a combination of higher dust and combustion iron emissions along with more efficient atmospheric processing.

1 Introduction

30 Nearly all ocean productivity relies on enzymatic iron for photosynthesis, respiration and nitrogen fixation. The iron biogeochemical cycle is therefore an important modulator of the oceans ability to uptake atmospheric CO₂. Since approximately 30% of atmospheric CO₂ emissions are absorbed by the oceans, (Seiler and Crutzen, 1980; Broecker et al., 1979; Siegenthaler and Sarmiento, 1993) changes to the iron cycle may induce a potentially large negative feedback on the

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climate system (Martin and Fitzwater, 1988; Martin, 1990; De Baar et al., 1995; Jickells and Spokes, 2001; Jickells et al., 2005).

Many remote ocean regions are characterized by high-nutrient low-chlorophyll (HNLC) conditions; in the equatorial Pacific and southern ocean basins, ocean primary productivity (OPP) is iron limited (Martin and Fitzwater, 1988; Boyd et al., 2000). A significant source of new iron (in contrast to re-circulated iron from upwelling, estuary runoff or fluxes from continental margins) to these regions is atmospheric deposition of desert dust (Jickells et al., 2005; Fung et al., 2000), however, iron in dust is typically insoluble and not considered to be bioavailable for OPP (Mahowald et al., 2005; Johnson, 2001; Jickells and Spokes, 2001). Although combustion iron is a much smaller source of new iron, it is considerably more soluble than dust iron (Guieu et al., 2005; Chuang et al., 2005), potentially contributing up to 50% of bioavailable iron in remote HNLC regions (Luo et al., 2008; Mahowald et al., 2009; Winton et al., 2015; Ito, 2015). Many definitions exist for bioavailable iron although how organisms utilize iron is not well understood (Jickells et al., 2005). Bioavailable iron is typically considered to be dissolved iron in the ferrous (Fe(II)) oxidation state; however, ocean organisms have been observed to utilize iron in different forms (Barbeau et al., 1996). In this study, bioavailable iron is defined as labile iron, or dissolved iron in either the ferrous or ferric oxidation states.

Acidic processing of mineral dust and combustion aerosols during atmospheric transport is one potential mechanism for iron dissolution upon deposition, and many studies have observed increased iron liberation from insoluble iron oxides with decreasing pH (Duce and Tindale, 1991; Zhu et al., 1997; Zhuang et al., 1992; Jickells and Spokes, 2001; Desboeufs et al., 2001; Meskhidze et al., 2003). However, acidic processing is likely to work in conjunction with other physical and chemical processes such as photochemical reduction and organic ligand processing. Iron solubility has also been correlated with particle size with increasing fractional iron solubility as mineral dust concentrations decrease (Baker and Croot, 2010; Chen and Siefert, 2004; Baker and Jickells, 2006). This relates to the preferential settling of larger particles during transport along with a higher surface area to volume ratio for smaller particles. Because smaller particles are associated with longer transport times, their probability of undergoing multiple cycles of evaporation and condensation in the atmosphere is increased. Oxalate, the oxidation product of oxalic acid, can act as an organic ligand; this has been observed to enhance iron dissolution when complexation with aerosols occurs in aqueous solutions under moderately acidic conditions (e.g. within clouds) (Cornell and Schindler, 1987; Xu and Gao, 2008; Solomon et al., 2009; Paris et al., 2011). The formation of oxalate in the atmosphere is complicated (Myriokefalitakis et al., 2011) but may increase soluble iron concentrations over 75% in addition to acidic processing alone (Johnson and Meskhidze, 2013; Myriokefalitakis et al., 2015; Ito, 2015). Previous studies focused on observations have shown a very strong inverse relationship between total iron and solubility (Sholkovitz et al., 2012), where differences in methods of measuring soluble iron appear to be much less important than previously thought (Baker et al., 2014). [Observations from Sholkovitz et al., 2012 show a trend of increasing iron solubility with decreasing total iron concentration and conclude that much of this is due to the smaller particle size distribution of combustion aerosols and their ability to enhance dust iron dissolution. \(Mahowald et al., 2018\) show using a simple 1-d plume model, that either differential solubility in emissions of combustion aerosols, or atmospheric processing of dust iron](#)

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can match the observed relationship described above. At emission, combustion aerosols are typically smaller and thus remain suspended in the atmosphere longer than the larger dust aerosols. In addition, since combustion aerosols appear to have higher Fe solubility, the plume will increase in solubility as it is transported downwind from continental sources. Alternatively, if only dust aerosols are considered to be sources of iron, but are atmospherically processed downwind from source regions, one will see lower values of iron in the aerosols, as particles fall out yet the remaining iron will be more soluble (longer atmospheric processing). Quantitative comparison of this simple plume model indicates that either of these two cases can match observations (Mahowald et al., 2018).

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The type of iron in dust is dependent on mineralogy and surface area. For example, iron associated with hematite and goethite is tightly bound as iron (hydr)oxides and its dissolution even under very acidic conditions is slow. Nanoparticles of hematite and ferrihydrite have been observed to coat clay minerals illite and smectite (Shi et al., 2012; Ito and Xu, 2014); the surface area to volume ratio in nanoparticles ($<0.1 \mu\text{m}$) is sufficiently high to facilitate rapid dissolution of Fe at low pH. The clay minerals illite, smectite, feldspar and kaolinite also include Fe as substitutions within aluminosilicate mineral lattices and may be appreciable sources of soluble Fe (Journet et al., 2008). Different levels of model complexity have been employed to simulate atmospheric iron processing from very simple models including a first order rate constant applied to a constant 3.5% iron in dust (Hand et al., 2004; Luo et al., 2008) to more complex schemes allowing different types of acidic species to interact with mineral dust and combustion aerosols during transport and applying mineral-specific dissolution rates (Meskhidze et al., 2005; Johnson and Meskhidze, 2013; Ito and Xu, 2014; Myriokefalitakis et al., 2015; Ito, 2015). A more recent study developed an iron dissolution scheme with fewer mineral tracers to allow for simulations using Earth system models (Ito and Shi, 2016).

In this study, our goal is to develop an iron dissolution scheme of intermediate complexity that can be used in Earth system models. Recent work has emphasized the importance of variable dissolution rates based on pH and chemical composition (Meskhidze et al., 2005; Johnson and Meskhidze, 2013; Ito and Xu, 2014; Myriokefalitakis et al., 2015; Ito, 2015) and this requires the advection of multiple chemical species and calculations of chemical equilibrium. We include these complex mechanisms but use simplified parameterizations based on standard aerosol species available in most Earth system models. The type and amount of iron at emission is determined from mineral maps and brittle fragmentation theory (Claquin et al., 1999; Scanza et al., 2015; Kok, 2011). We simulate four types of iron, three for mineral dust aerosols (readily-released Fe, medium-soluble Fe, slow-soluble Fe) and one for combustion aerosols (combustion Fe) (Ito and Xu, 2014; Ito, 2015). An acid processing scheme is employed based on atmospheric concentrations of sulfate along with an in-cloud oxalate mechanism derived from laboratory data from (Paris et al., 2011) (Johnson and Meskhidze, 2013; Myriokefalitakis et al., 2015; Ito, 2015). Our method performs as well as more computationally intensive methods in matching available observations of soluble iron. Additionally, the parameterizations and simplifications incorporated in our scheme renders it computationally efficient, allowing for multi-decadal to centennial simulations.

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The study is organized as follows: Section 2 describes the climate and dust model we use along with the details of our iron processing scheme. Section 3 compares the results of the model simulations to available observations of soluble iron

using a set of metrics established to evaluate model accuracy. Additionally, results from six sensitivity studies are discussed in order to quantify which model parameterizations are required to best match observations. Section 4 presents the results from four preindustrial simulations. Section 5 includes a discussion and comparison to previous modelling studies. The final section describes where future work should be directed.

5 2 Methods

The Community Earth System Model (CESM) coordinated by the National Center for Atmospheric Research (NCAR) is a global Earth system model used for past, present and future climate simulations. In this study we use the CESM version 1.0.5 with a bulk aerosol model, the Community Atmosphere Model version 4 (CAM4) (Neale et al., 2013). Previous versions of the model have been modified to allow for improved treatment of dust and mineral speciation (Albani et al., 2014; Scanza et al., 2015; Zhang et al., 2015), and here we further modify CAM4 in order to simulate the emission, transport and processing, and deposition of total and soluble iron in desert dust and combustion aerosols.

2.1 Desert dust model

CAM4 is used to simulate the emission, transport and deposition of bulk aerosols in the CESM. Major aerosol species include dust, sea salt, black carbon, organic carbon (OC), and sulfate, and are prescribed as external mixtures. Simulations are performed at a horizontal resolution of $1.9^\circ \times 2.5^\circ$ with 56 vertical levels that span the pressure at the surface to around 2 hPa (Computational and Information Systems Laboratory, 2012; Yellowstone: IBM iDataPlex System (Climate Simulation Laboratory). Boulder, CO: National Center for Atmospheric Research. <http://n2t.net/ark:/85065/d7wd3xhc>). Meteorology is driven by NASA's Goddard Earth Observation System (GEOS-5) (Suarez et al., 2008; Hurrell et al., 2013; Lamarque et al., 2012). The model is run from 2005 through 2011 assuming present day climate conditions; we use the last five (2007-2011) for analysis.

The desert dust model in CAM4 is a modified version of the Dust Entrainment and Deposition model (DEAD) (Zender et al., 2003), and is exactly as described and used in (Albani et al., 2014) and (Scanza et al., 2015). Briefly, the emission of dust is computed with an analytic trimodal lognormal probability density function from three source modes to four transport bins (Zender et al., 2003). [The three source modes are defined by observed mass median diameter and geometric standard deviation](#) (d'Almeida, 1987; Schulz et al., 1998; Zender et al., 2003). Bin widths are prescribed at 0.1-1.0, 1.0-2.5, 2.5-5.0, and 5.0-10.0 μm (Mahowald et al., 2006; Zender et al., 2003) and have fixed lognormal sub-bin distributions ([mass median diameter = 3.5 \$\mu\text{m}\$, \$\sigma_g = 2.0\$](#)) (Zender et al., 2003). The size distribution across dust bins was modified from the release version of the model to follow the brittle fragmentation theory of [vertical dust flux](#) (Kok, 2011) with particle mass fractions of 0.011, 0.087, 0.272 and 0.625 in bins 1-4, respectively (Albani et al., 2014). Dry removal of dust aerosols involves parameterizations for gravitational settling and turbulent mix out and wet removal includes in-cloud and below-cloud scavenging (Rasch et al., 2000; Zender et al., 2003; Mahowald et al., 2006). The in-cloud aerosol removal rate is

proportional to the fraction of cloud water that precipitates; some of the falling precipitation is allowed to re-evaporate (Rasch and Kristjánsson, 1998; Rasch et al., 2000). CAM4 allows for multiple cycles of condensation and evaporation (Gent et al., 2011; Hurrell et al., 2013; Neale et al., 2013) in order to match observational estimates of approximately three in-cloud cycles for aerosols (Lelieveld et al., 1998; Crutzen and Zimmermann, 1991); the model time step is 30 minutes with 20 sub-
5 time steps for in-cloud chemistry. Modifications from the release version include changes to the scavenging coefficients (from 0.1 to 0.3 for bins 3 (2.5-5.0 μ m) and 4 (5.0-10.0 μ m)), to the prescribed particle solubility from 0.15 to 0.3 across all size bins, and to the threshold for dust generation of the leaf area index from 0.15 up to 0.3 (Lancaster and Baas, 1998; Mahowald et al., 2006; Okin, 2008; Albani et al., 2014; Scanza et al., 2015). The dust module was tuned to best match aerosol optical depth (AOD), deposition and surface concentration data (Albani et al., 2014). Similar to other models
10 (Huneus et al., 2011), our model is unable to simultaneously match the surface concentration and deposition data in remote regions, and since here we only show concentration data, it will appear the model over-predicts surface concentration of dust.

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2.2 Mineralogy

Mineralogy maps are derived from (Claquin et al., 1999) and are gridded using FAO/UNESCO WGB84 at 5' x 5' arc
15 minutes with soil legend 184 from FAO/UNESCO Soil Map of the World (1976; File Identifier: f7ccd330-bdce-11db-a0f6-185-000d939bc5d8) (Batjes, 1997). The conversion from soil to aerosol mineralogy for each transport bin follows the brittle fragmentation theory of dust (Kok, 2011) and is described in detail in (Scanza et al., 2015). The mineralogy maps are based on measurements performed following wet sieving, a process that destroys the mineral aggregates found in unperturbed parent soils. Brittle fragmentation theory reconstructs the mineral aggregation found at emission, allowing for more accurate
20 reproduction of size-resolved dust and iron concentrations (Perlwitz et al., 2015a, b; Pérez García-Pando et al., 2016; Ito and Shi, 2016). We determine iron concentrations at emission from the derived aerosol mineralogy; therefore, we eliminate the need to explicitly advect individual mineral tracers with the exception of calcite in order to parameterize the buffer effect of mineral dust on aerosol pH (Böke et al., 1999). Evaluation of the mineral distributions against observations of dust plume events showed some skill in the simulation of concentrations of minerals (Scanza et al., 2015); in addition, the resulting
25 elemental distributions of iron, aluminium and calcium were improved through the use of the soil map (Zhang et al., 2015).

2.3 Determination of Iron

Iron in mineral dust is found in several forms and the solubility depends on the type of chemical bonding (or compositional
30 form) (i.e. iron oxides vs. aluminosilicate inclusions) (Lafon et al., 2004; Journet et al., 2008) and on the particle size (Baker and Jickells, 2006). The type of iron is mineral dependent: aluminosilicates contain Fe inclusions as well as surface coatings of nanohematite and ferrihydrite (Shi et al., 2009; Shi et al., 2012; Ito and Xu, 2014). Fe associated with hematite and goethite is tightly bound to oxygen in iron oxides/hydroxides, is typically larger in size and less soluble. Following

previous studies, we define the Fe concentration in mineral dust as follows: 57.5% Fe in hematite, 11% in smectite, 4% in illite, 0.24% in kaolinite and 0.34% in feldspar (Journet et al., 2008; Ito and Xu, 2014). Three types of dust Fe are simulated in the model: readily-released iron (Fe_{RR}), medium-soluble iron (Fe_{med}) and slow-soluble or refractory iron (Fe_{slow}). The Fe_{RR} is assumed here as 2.7% of the 4% Fe in illite as ferrihydrite, 5% of the 11% Fe in smectite as nanohematite, 4.3% of the 0.24% Fe in kaolinite and 3% of the 0.34% Fe in feldspar. Fe_{med} is defined as the remaining 97.3% and 95% Fe in illite and smectite, respectively. Fe_{slow} is defined as the remaining 95.7% Fe in kaolinite, 97% in feldspar and 100% of the 57.5% Fe in hematite (Table S1). Separate tracers are defined for total medium soluble iron (Fet_{med}) and slow soluble iron (Fet_{slow}) in each of the four CAM4 size bins along with separate tracers for medium and slow soluble iron (Fes_{med} and Fes_{slow}). In the finest particle size bin (0.1-1.0 μm), as an approximation, we assume that Fe_{RR} has already been solubilized and is added as Fes_{med} at emission (Ito and Xu, 2014). In bins 2-4, the Fe_{RR} associated with kaolinite and feldspar is also assumed to be solubilized (Ito and Xu, 2014) along with one quarter of the Fe_{RR} associated with illite and smectite and is prescribed to Fes_{med} at emission. The distribution of iron from the silt and clay fractions in the soils follows the brittle fragmentation theory of dust emission (Kok, 2011), and is described in more detail in Scanza et al. (2015) in Table 2a.

Total iron in combustion aerosols (Fet_{comb}) is prescribed and industrial sources of iron are emitted following (Luo et al., 2008). Biomass burning is assumed to have a ratio of 0.02 g Fe/g BC in the fine mode and 1.4 g Fe/g BC in the coarse mode (Luo et al., 2008). Fet_{comb} is partitioned in the model transport bins as follows: fine mode Fet_{comb} solely comprises the first bin and the coarse mode combustion iron is apportioned into bins 2-4 at 20, 30 and 50%, respectively. Separate tracers account for Fet_{comb} and Fes_{comb} with 4% of Fet_{comb} assumed already soluble and prescribed to Fes_{comb} .

2.4 Iron Dissolution

Fe dissolution in mineral dust involves complex atmospheric chemical and physical processes. The major processes, i.e. processes that can be observed in laboratory settings, are related to the initial mineral composition, atmospheric temperature and acidity, insolation and concentration of organic acids. In this study, the amount of Fe solubilized in dust is assumed to be irreversible and is calculated using a simplified iron dissolution scheme for three types of iron in dust (Fe_{RR} , Fe_{med} and Fe_{slow}). However, we only explicitly simulate Fe_{med} and Fe_{slow} both for computational efficiency and because we also assume that a portion of Fe_{RR} has already been solubilized at emission.

The CAM4 sulfur chemistry model is not configured to interact with dust; thus the rate of dissolution is only explicitly dependent on temperature and is simplified to a first order dissolution rate following Eq. (1),

$$RFe_i = K_i(T) \times a(H^+)^{m_i} \times f(\nabla G_r) \times A_i \times MW_i \quad (1)$$

$$\frac{d}{dt}[Fe_{soluble}] = RFe_i \times [Fe_{insoluble}]$$

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where RF_{Fe_i} is a function of temperature and has units (s^{-1}), i represents either medium or slow soluble Fe, $K_i(T)$ in units of ($moles\ m^{-2}\ s^{-1}$) is the temperature dependent rate coefficient (Meskhidze et al., 2005), $a(H^+)$ is the proton concentration with an empirical reaction order m_p , $f(\nabla G_r)$ accounts for the change in the dissolution rate with variation from equilibrium (and equals 1 for simplicity (Luo et al., 2008)), A_i is the specific surface area of mineral_{*i*} in units of ($m^2\ g^{-1}$) and MW_i is the molecular weight in units of ($g\ mol^{-1}$) for mineral_{*i*}. The pH dependence is parameterized from the concentration of calcite and sulfate at each atmospheric grid box and at each timestep. When $[calcite]_{i,j,k} > [sulfate]_{i,j,k}$, pH is set to 7.5; when model sulfate concentration is greater, pH is set to 2 (Journet et al., 2008; Luo et al., 2008). Fe_{med} is comprised of the Fe in illite and smectite; because the mineral abundance of illite is twice that of smectite, we use $K_{illite}(T)$ and MW_{illite} for the Fe_{med} dissolution rate as an additional simplification. The dissolution of Fe in hematite proceeds in three stages (Meskhidze et al., 2005) and we use the fastest of the three stages for $K_{hem}(T)$ following (Ito and Xu, 2014) (Figure 1). The initial concentration of $Fe_{s_{comb}}$ is assumed to be 4% of $Fe_{t_{comb}}$ (Chuang et al., 2005; Luo et al., 2008) in each size bin and we use the Fe_{med} dissolution rate for the remaining combustion iron.

The impact of including oxalate in an iron dissolution scheme can increase the soluble Fe fraction considerably (Paris et al., 2011; Myriokefalitakis et al., 2011; Johnson and Meskhidze, 2013; Myriokefalitakis et al., 2015; Ito, 2015). Because the formation of atmospheric oxalate is complex, we develop a simple scheme to estimate oxalate concentrations in the model at grid cells within clouds. The concentration of oxalate in $\mu moles\ L^{-1}$ is calculated following Eq. (2):

$$[C_2O_4^{2-}]_{i,j,k} = 15 \times \frac{[OC_{i,j,k}] + [SOA_{i,j,k}]}{\max[OC+SOA]} \quad (2)$$

This simple approximation is liable to add a source of uncertainty into our calculations; however the spatial distribution of oxalate at the surface (Figure 2a) is comparable to Figure 2a,c in (Myriokefalitakis et al., 2011), which calculates oxalate concentrations using a full complexity chemical mechanism. In choosing our reference case, multiple simulations were conducted to best match observations of fractional iron solubility and surface distributions of oxalate (Myriokefalitakis et al., 2011); the factor of 15 in Eq. (2) yielded the best results. Previous studies use sulfate as a proxy for calculating model oxalate concentrations (Yu et al., 2005; Johnson and Meskhidze, 2013), and we conduct a sensitivity study to determine the relative importance of this assumption. Because the sources of OC and secondary organic aerosols (SOA) are different than the sources of sulfate, the distribution is different between these two ‘proxies’ for oxalate; the OC+SOA in our model appears to be a better proxy. There tends to be more oxalate in the model simulations in tropical regions (Figure 2 from (Myriokefalitakis et al., 2011)) which is better captured in our model simulations using the OC+SOA versus the sulfate proxy for modeled oxalate concentrations.

The oxalate dependent reaction rate is then added to the first order dissolution rate (Equation 1) as follows in Eq. (3):

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$$RFe_i = K_i(T) \times a(H^+)^{m_i} \times f(\nabla G_r) \times A_i \times MW_i + K_{i,oxalate} \quad (3)$$

where $K_{i,oxalate}$ is

$$K_{i,oxalate} = a_i \times [C_2O_4^{2-}] + b_i$$

Coefficients a_i for illite and hematite are determined from the slope of the best fit of the data in Table 4 in (Paris et al., 2011) and b_i for illite and hematite correspond to the intercept of the best fit equations. For illite, $a = 2.3 \times 10^{-7} \mu M^{-1} s^{-1}$ and $b = 4.8 \times 10^{-7} s^{-1}$ and for hematite, $a = 9.5 \times 10^{-9} \mu M^{-1} s^{-1}$ and $b = 3.0 \times 10^{-8} s^{-1}$. [The iron dissolution rate at \$\[C_2O_4^{2-}\] = 0\$ utilizes \(Paris et al., 2011\) with our method for best fit which was a result of multiple different simulations where the intercept was examined to find the best match to observations of %Fe_s. The non-zero intercept for \$K_{i,oxalate}\$ accounts for \(non-oxalate\) in-cloud organic ligand processing.](#)

The reference case is simulated under present day climate conditions and includes the enhancement of oxalate on the rate of soluble Fe formation in cloudy gridboxes only while Equation 1 is applied at every atmospheric model gridbox. Separate tracers are included for dust and for total and soluble iron in dust for Fe_{med} and Fe_{slow} as well as tracers for total and soluble combustion iron. Calcite is advected to estimate the buffering effect of dust on the pH dependence of the dissolution scheme at each atmospheric model gridbox (8 new species x 4 bins + 9 = 41 total tracers added here compared to the original model which has 5 species carried as 13 total tracers to simulate aerosols for climate interactions).

2.5 Sensitivity Studies

To investigate the impact on soluble Fe formation of various parameters, we include six sensitivity studies (Table 1a). In the first experiment (SS1), the oxalate mechanism is removed and the formation of Fes depends solely on the proton-promoted dissolution rate; the basis for this was to determine if the additional complexity of the oxalate scheme was required to match observations of labile iron. The second sensitivity study (SS2) is identical to the reference case but with model oxalate concentrations estimated from sulfate and was performed because other studies of Fe dissolution use sulfate as a proxy for estimating model oxalate concentrations, and follows Eq. (4):

$$[C_2O_4^{2-}]_{i,j,k} = 15 \times \frac{[SO_4^{2-}]_{i,j,k}}{\max[SO_4^{2-}]} \quad (4)$$

in units of μM . The third sensitivity study (SS3) investigates how important our dissolution mechanism is by comparing to a very simple dissolution scheme from (Hand et al., 2004). In this scheme, soluble iron dissolution depends upon cloud presence as parameterized by Equation 2 in (Hand et al., 2004). Total iron is assumed to be 3.5% of dust and Fes is calculated from $\kappa \times (F_{et} - F_{es})$ at each cloudy gridbox, where κ is defined in Eq. 2 in (Hand et al., 2004) as

$\sum_{i,j} \frac{C_{i,j}}{C_{avg}} \frac{1}{\tau_{cld,sol}}$: $C_{i,j}$ is the cloud fraction at each atmosphere gridbox, C_{avg} is the average cloud fraction at 10°N, and $\tau_{cld,sol}$ is

the soluble decay lifetime (Siefert et al., 1998; Saydam and Senyuva, 2002; Hand et al., 2004). [Hand et al., 2004 did not take into account iron from combustion aerosols and there are a wide range of reported dust Fe solubilities at emission, 0.1 to 1+%](#) (Luo et al., 2008; Jickells et al., 2005; Shi et al., 2012; Johnson and Meskhidze, 2013; Ito and Xu, 2014). To account for the typically higher solubilities associated with combustion iron, [and using \$F_{es}/F_{et}\$ for dust iron \(Table S2d\), we assume an initial solubility for combustion and dust Fe of 4% and 0.89%, respectively; this corresponds to an enhancement of 4.5 times and hence chose, somewhat arbitrarily, to increase the dissolution rate by a factor of 5.](#) The total number of tracers for SS3 is 20 ($F_{et,dust}$, $F_{et,comb}$, $F_{es,dust}$, $F_{es,comb}$ and dust in each of the four size bins. In the fourth sensitivity study (SS4), the spatial dependence of iron on mineralogy is removed and we apply the global average fraction from the reference case for calcite, $F_{et,med}$, $F_{et,slow}$ and $F_{es,med}$ at emission. The fifth and sixth simulations (SS5 and SS6) are identical to REF and SS2, respectively; however, pH is set to 1 instead of 2 when sulfate concentrations are greater than calcite concentrations. Because recent studies have identified highly acidic aerosol solutions (Weber et al., 2016; Guo et al., 2016), we wanted to test if the increase in the iron dissolution would better match observations of labile iron solubility.

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2.6 Preindustrial simulations

Four preindustrial simulations are performed using the iron dissolution mechanism described in section 2.4. [Here we refer to “preindustrial chemistry” as historical emissions for CMIP5 \(Lamarque et al., 2010\) and includes reduced emissions for sulphate, OC, and BC.](#) The first simulation (PI1) has preindustrial chemical emissions (1850) but current climate dust and combustion sources. The second simulation (PI2) includes preindustrial chemistry and dust sources with current combustion. PI3 follows PI2 with preindustrial combustion sources and PI4 is identical to PI3 with the sulfate proxy used for calculating model oxalate concentrations instead of the OC+SOA proxy (Table 1).

2.7 Comparison to observations

Observations of total and soluble iron are complicated due to various collection methods (e.g. using different collection substrates and sampling with or without particle size segregation), different filter sizes used to define the soluble iron fraction, different solvents used for extraction (which determines the species of iron defined as soluble), along with myriad definitions of the form of iron which is in fact soluble. The majority of observations are collected during cruise campaigns (daily means) as surface concentrations or dry deposition of particulate matter. These sampling campaigns inherently fail to capture the ephemeral nature of aerosol transport over ocean basins, varying in both space and time. Aerosol collections are later leached in one or more solvents to extract the soluble iron fraction from the insoluble component. A handful of alternative collection methods have been used, including the sampling of aerosols at 70m above ground level (Winton et al., 2015) over periods of one to seven weeks, the ACE-Asia campaign that sampled total suspended particles at the surface (Chuang et al., 2005) during dust storms, and the collection of rainwater (wet deposition) at 2m above ground in the remote

southern ocean (Heimbürger et al., 2013). Solvents used for extraction range from acidic solutions, ultra-pure water to alkaline seawater, with obvious differences in soluble iron yield due to the differences in acidity. The definition of soluble iron is rather ambiguous in part due to the field of study (i.e. oceanography, atmospheric science), the leaching solution and the oxidation state of dissolved iron. In this study, we attempt to compare our model only to observations of labile iron, which we define as dissolved iron in either the ferrous or ferric oxidation state.

Fine mode and coarse mode total and labile iron in the model is compared to available published observations from (Baker et al., 2006b; Baker et al., 2006a; Chen and Siefert, 2004) along with previously unpublished fine and coarse mode total and labile iron observations from Clifton Buck (personal communication, 2013, 2017). Bulk total and labile iron observations that do not distinguish particle sizes are from Supplementary Table 2 provided in (Mahowald et al., 2009), from (Sholkovitz et al., 2012), and from (Baker et al., 2016). A set of metrics is developed to compare model simulations with the available observations to determine which set of parameterizations is necessary. The root mean square error (RMSE) is calculated for model versus observations as $\sqrt{\frac{\sum_{i=1}^n (model - obs_i)^2}{n}}$ for total iron, labile iron and solubility (fine, coarse and fine+coarse). Similarly, the Pearson correlation coefficient is calculated between the model and observations. As a main metric in evaluating model performance, we develop a semi-quantitative metric defined as the mean of model values at gridboxes with observations divided by the mean of the observations and will hereafter refer to this metric with the acronym MMO. The MMO does not intend to evaluate the model's ability to capture observational variability but simply to assess if the model can reasonably estimate the observational mean.

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3 Results

3.1 Comparison of observations to the reference case

In order to assess the ability of the model to simulate total, soluble iron and fractional iron solubility, model results are compared to available observations for fine (diameter < 1 µm), coarse (1 µm ≥ diameter ≤ 10 µm) and bulk (all sizes) mode particles as most available observations do not differentiate particle size. The total iron at emission is calculated based on the distribution of minerals containing iron (Journet et al., 2008; Ito and Xu, 2014; Scanza et al., 2015); Fet is approximately 3.2% of dust which is comparable to the assumption that 3.5% of dust is comprised of iron (Hand et al., 2004). Simulated surface concentrations of total iron in the fine and coarse mode are under and over-predicted, respectively compared to observations. Because 98% of Fet has prescribed diameters > 1 µm, Fet is overestimated in the tropical and northern latitudes (near source regions) and underestimated below 15°S (Fe < 1 µm is more likely to undergo long-range transport). Because there are relatively few observations that distinguish particle size distribution of iron, the significance of comparing total iron surface concentrations is difficult to assess; however the model is underestimating the dynamic range in the observations (Figure S1). This is likely due in part to the fact that we are comparing annually averaged concentrations to observations that are influenced by daily weather fluctuations. Because the dust model used in this study was optimized to

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best capture observations of particle size distribution, deposition and aerosol optical depth (Figures 8,9 in Albani et al., 2014), surface concentrations of dust are generally over-predicted with the exception of remote SH ocean regions. In addition, most dust models exhibit a low bias in SH dust deposition (Huneus et al., 2010; Huneus et al., 2011; Albani et al., 2014). Hence it is not possible to simultaneously match dust deposition, AOD and surface concentrations. Separating total and soluble iron allows us to identify these signals and to evaluate the fractional iron solubility.

Fine mode labile iron (Fes) surface concentrations are within the range of the observations in the tropical and northern latitudes and are underestimated off the coast of Patagonia; a significant limitation arises from the lack of observations in the regions where iron deposition should have the largest impact (see Section 3.3). Coarse mode Fes is considerably over-predicted by the model, which is consistent with the model bias in dust surface concentrations, resulting in an overestimate in the total concentration of Fes (Figure S2) (Individual fine and coarse mode Fes distributions not shown). Therefore, the fractional iron solubility at the model surface provides a more meaningful comparison to observations and facilitates the evaluation of the iron processing mechanism. In addition, examining total iron and percent solubility separately allows us to evaluate the distribution and the solubilization of iron as distinct processes, thereby simplifying the identification and resolution of model biases in these two processes. However, additional uncertainty is introduced by considering the distributions of Fet and %Fes separately since, inherently, they are coupled. For example, erroneously high dust emissions would result in fractional solubilities that are biased low because of this coupling.

When considering percent labile iron, theory and observations support lower solubilities near dust sources where pH is likely higher (due to the presence of calcite in dust) and where lithogenic iron has not yet undergone significant atmospheric processing; in contrast, because combustion sources are typically associated with emissions of acidic species, we expect the solubility of anthropogenic aerosols to be higher (Li et al., 2017). However, because dust iron emissions are an order of magnitude greater than emissions of combustion iron, lower solubilities near coastal regions should be dominant. In particular, we hypothesize that the simulations will predict higher percentages of Fes over remote ocean regions and indeed, our simulations are consistent with our hypothesis; this is in line with theory and observations that show the gravitational settling of larger, less soluble iron containing aerosols near their source and long-range transport of smaller aerosols enabling multiple cycles of evaporation and condensation (Jickells et al., 2005; Baker and Croot, 2010). Simulated %Fes < 1 μ m is small off the coast of North Africa and Patagonia and is an order of magnitude higher in the equatorial Pacific and southern latitude ocean regions (Figure 3b). The relatively few observations indicate reasonable agreement with the model near and directly downwind of North Africa; however there are few observations to substantiate the predictions of higher solubilities in the HNLC regions (Figure 3a). One standard deviation from the mean of daily averaged model concentrations indicates that the model is within range of the observations (Figure 3c). The spatial distribution of coarse mode %Fes is similar to the fine mode, and again our comparison is limited by the lack of observations (Figure 4). Simulations of the sum of the fine and coarse mode %Fes show greater spatial heterogeneity in the Southern Hemisphere and less variation in Northern Hemisphere (Figure 5). While the scatterplot comparing annually-averaged %Fes versus daily cruise-based observations suggests that the model is not capturing the range of the observations, the daily averaged standard

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deviations for each model value indicates that our mechanism can generally capture the observations. Thus, with MMO equal to 0.86, we conclude that the soluble iron processing mechanism employed is a reasonable representation of the atmospheric processing that dust and combustion aerosols undergo during transport.

3.2 Evaluation of sensitivity studies

Atmospheric iron dissolution is very complex and required many simplifications and assumptions in order to simulate the processes involved. In this study, we develop a set of metrics to evaluate the strengths and weaknesses of our proposed iron dissolution scheme and to assess its ability to capture the true processing of atmospheric aerosols. For each simulated variable, we compare the results to available observations and calculate the RMSE (Table 2), the MMO (Table 3) and the correlation coefficient (Table 4) for the reference case and for each sensitivity study. When considering RMSE for fractional iron solubility for each case, REF compared to SS2 (oxalate calculated with a sulfate proxy), SS4 (no dependence on mineral spatial distribution at emission) and SS5-6 (higher acidity) have similar errors. SS1, the case without oxalate processing and SS3, which corresponds to the very simple dissolution method from (Hand et al., 2004) are both associated with larger errors, indicating that the very simple mechanism (SS3) and mechanism solely based on acidic processing (SS1) are not sufficient representations. The correlation coefficients across all cases are weak, especially for the fractional iron solubilities.

In order to counter the ambiguity surrounding which mechanism best matches observations, we believe the semi-quantitative MMO metric is more meaningful. A ratio greater than 1 indicates that the model is over-predicting iron while a ratio less than 1 signifies an underestimation compared to the mean of the observations. The reference case was chosen from many different simulations with varying parameters after carefully reviewing the spatial distributions of soluble iron as well as the model metrics. Although SS5 and SS6 most closely match the observational mean for total fractional iron solubility, the pH when the concentration of sulfate is greater than calcite (pH=1) is not typically considered realistic in atmospheric waters. Recent studies that identify highly acidic aerosol solutions warrant more investigation as to whether SS5 and SS6 are in fact better representations of atmospheric iron processing. SS1 and SS3 greatly underestimate the observed mean for fractional iron solubility leading to the conclusion that the oxalate enhancement within an iron dissolution scheme is a vital inclusion.

3.3 Regional and global iron deposition to ocean basins

Soluble iron deposition to global ocean basins from dust and combustion aerosols is a significant source of new iron, a limiting nutrient in many regions. This represents an important biogeochemical process that may indirectly impact climate via a cooling effect by promoting the uptake of atmospheric CO₂. The global ocean is divided into twelve regions (Table S4) defined by (Gregg et al., 2003) in order to quantify deposition to ocean regions that are most likely to be iron limited. Annual-averaged global deposition of total and soluble iron from dust to the oceans is 17.4 and 0.54 Tg yr⁻¹, respectively and corresponds to the dissolution of 3.1% of dust iron during atmospheric transport. Including the contribution from

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combustion iron increases the soluble iron deposition to 3.3% of total iron. This is within the ranges reported (model: $4 \pm 2\%$, obs.: $6 \pm 8\%$) for global soluble iron deposition from (Ito and Xu, 2014). The soluble iron deposition for SS1 is much smaller (1.2%) indicating that including the oxalate scheme increases the amount of Fes deposition by nearly three times.

Iron is a limiting nutrient in remote ocean regions, with these basins defined as follows: the Antarctic basin (ocean regions south of 30°S), the southern Atlantic, Pacific and Indian Ocean basins ($30^\circ\text{S} - 10^\circ\text{S}$), and the equatorial Pacific basin (Table S4). These five basins comprise around 60% of the global ocean but only receive 3.5% of dust deposition, which is the main source of new iron to these regions (Table 5a). The Antarctic basin receives just 2.4% of the globally deposited bioavailable iron, the south Indian Ocean, Pacific and Atlantic basins receive 0.9, 0.4 and 1.3% of bioavailable iron and the equatorial Pacific basin receives 1.5%; as such, the global HNLC regions receive just a small fraction of the total bioavailable iron deposited to the ocean surface (6.5%). The total fractional iron solubility (dust and combustion sources) for the equatorial Pacific, the south Atlantic, Pacific and Indian Ocean, and the Antarctic basins is largely influenced by the contribution from combustion (Table 5b). In general, we observe that the percent of bioavailable iron reaching different ocean basins is significantly affected by both the location of clouds (e.g. oxalate) and combustion sources. For example, SS2, the simulation where global oxalate concentrations are calculated via the sulfate proxy rather than the organic carbon proxy results in decreased fractional iron solubility in the southern hemisphere and equatorial ocean basins and increased solubility in the northern basins. The latitudinal shift in the spatial distribution of modeled oxalate concentrations (Figure 2) clearly explains the spatial differences in the labile iron percentages reaching the different ocean basins.

The spatial and zonal distributions of labile iron production lifetimes, defined as the difference between Fet and Fes divided by the total Fes production, (Figure 6) illustrate where and how dust and combustion iron is most efficiently processed. Shorter lifetimes reflect where most of the atmospheric processing occurs which is in geographic areas low in calcite, high in oxalate and combustion aerosols, and in regions dominated by clouds and precipitation (e.g. equatorial regions). Iron dissolution is much slower near dust sources where acidic processing is hindered by the pH buffering of calcite in dust; additionally dust source regions are characterized by arid surface conditions and low cloud coverage making the in-cloud oxalate processing insignificant. In spite of the production lifetimes, because the total iron from dust is 30 times larger than total combustion iron, the spatial distribution of labile iron deposition is dominated by dust iron (Figure 7a). Again, however, the fractional iron solubility is inversely related to total and soluble iron deposition, a result consistent with theory and observations (e. g. (Sholkovitz et al., 2012)).

3.4 Comparison to sensitivity studies

Six sensitivity studies are conducted to assess the importance of the assumptions made for the reference case. The atmospheric burden, wet and dry deposition and emission of dust, total iron in dust, Fes_{med} , Fes_{slow} , Fet_{comb} , and Fes_{comb} are compared between the sensitivity simulations and the reference case (Table S2). Dust and Fet_{comb} loading and emission are identical for all cases. The combined wet and dry deposition of soluble iron is about 2.5 times higher for the reference case compared to SS1 and over 6 times greater compared to SS3. This suggests that a more complex mechanism than the simple

scheme in SS3 is needed; additionally, the SS1 simulation strongly suggests the need for including the organic ligand enhancement in our iron dissolution scheme. SS5 and SS6 are identical to REF and SS2 with the exception of higher acidity prescribed when the concentration of sulfate exceeds calcite. The increased acidity corresponds to greater iron dissolution; while RMSE of fractional iron solubility (Table 2) is similar for these studies, the MMO is considerably improved for fine mode and total solubility (Table 3). Despite more closely matching the observational mean, a pH of 1 is typically considered unrealistic; however, more recent studies have identified conditions yielding very acidic atmospheric water, particularly in fine particle solutions (Guo et al., 2016; Weber et al., 2016). The largest differences in soluble iron deposition and %Fes between the reference case and the six sensitivity studies are for decreasing the complexity of the iron processing mechanism (SS1, SS3) and for increasing the acidity (SS5, SS6).

Because oxalate formation in the atmosphere is complex, some iron mobilization studies that calculate oxalate concentrations use a sulfate proxy for several reasons (Johnson and Meskhidze, 2013). The atmospheric sulfate concentrations and sources of sulfate are better understood than OC and especially SOA concentrations, and typically occur near combustion sources; a formula for the concentration of oxalate as a function of sulfate developed in (Yu et al., 2005) is used for studies that want to include the impact of organic ligand enhancement (Johnson and Meskhidze, 2013). SS2 uses a similar proxy and we conclude that the method for parameterizing model concentrations of oxalate is not important for matching observational means in our model compared to other factors (Table 7). The spatial distribution for this analysis supports the above discussion (Figure 8) and highlights the regional differences in Fes deposition particularly between the two different parameterizations for oxalate (Figure 8b), which can account for up to a 30% difference in soluble iron fluxes depending on which proxy is used (Table 6b). Despite these differences, it is reasonable to use either proxy for calculating oxalate; we chose to use the OC proxy since the spatial oxalate distributions generated from this more closely match distributions of explicitly simulated oxalate in (Myriokefalitakis et al., 2011).

In order to assess the importance of deriving global iron emission maps from iron-containing mineral soil maps, the mineral spatial dependence on iron emission is removed for SS4 and each tracer is prescribed a global average fraction for total iron, soluble iron and calcite. These fractions are determined from the global average surface fluxes of each tracer from the reference case. The MMO for this case shows that SS4 underestimates fine mode fractional iron solubility, matches this in the coarse mode, and underestimates the combined fine and coarse mode solubility with the observational mean. Additionally the global average fractional iron solubility is marginally smaller (11%, Table 7). Again, the differences in the global budgets between REF and SS4 are not large in absolute values, although for different basins it could change by up to 20% (Table 6b).

4 Results: Preindustrial studies

Four preindustrial simulations are conducted using the iron processing mechanism from the reference case. PI1 has the same dust and combustion sources as the current climate runs but is forced with preindustrial (1850) chemistry. PI2 includes the

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preindustrial chemistry as well as the preindustrial dust sources; PI3 includes preindustrial combustion emissions. The fourth simulation has the changes included in PI3 and is run with oxalate parameterized via the sulfate proxy from SS2.

Previous studies based on limited paleodata suggest that dust emissions in 1850 were significantly lower than for current climate (Mahowald et al., 2010; Mulitza et al., 2010). In addition, industrial combustion sources in 1850 are considerably lower than present-day emissions (Lamarque et al., 2010). Annually averaged global deposition of labile iron from both dust and combustion is 2X higher for current climate (REF) compared with PI3 (Table S2,3), while labile iron deposition to all ocean basins and to HLNC basins is ~1.7 and 1.5 (Table 5,6, ocean basin deposition for PI not shown) times higher than preindustrial. The ratio of REF/PI3 for soluble iron deposition is shown in Figure 9b where over most of the globe, more soluble iron is deposited for current climate. Interestingly, in some regions, combustion iron from biomass burning is significant in PI3, particularly in South America. This increase actually results in higher percent labile iron deposition to the Antarctic Ocean basin during the preindustrial compared to current climate (Table 6b); the average contribution of combustion iron to total fractional iron solubility in the ocean basins below 10°S is 45% for current climate and 55% for preindustrial conditions.

The ratio of PI3/PI4 has a similar spatial distribution to Figure 8b although lower overall acidity and combustion in 1850 decreases the magnitude of the differences. However, if one considers the change in soluble iron between current and preindustrial, a strong hemispheric gradient appears in the ratio between using SO_4^{2-} or OC as the proxy for oxalate because of the changes in these constituent precursors in the input data (Figure 9c). The differences are a 20% decrease and a 20 % increase in the northern and southern hemispheres, respectively. Thus the choice of SO_4 or OC as the proxy for oxalate might not cause a statistically significant change when compared to observations, but it has implications for projected changes due to changes in precursor emissions.

5 Discussion and comparison to previous studies

This study compares observations of soluble iron with an iron dissolution scheme that is simple enough to include in an Earth system model and includes chemical mechanisms thought to be important in atmospheric iron processing based on studies that incorporate coupled, complex chemical schemes (Meskhidze et al., 2005; Solomon et al., 2009; Johnson and Meskhidze, 2013; Ito and Xu, 2014; Myriokefalitakis et al., 2015; Ito, 2015). Currently, there is substantial uncertainty regarding the relative importance of how the various atmospheric processes responsible for iron dissolution interact with each other, the significance of and processing of combustion iron, and the bioavailability of atmospherically processed iron following deposition to the ocean.

A comparison of our results with the results obtained from previous modeling studies enables some determination of the robustness of the iron dissolution scheme used in this study. SS1 is compared to a similar study (Ito and Xu, 2014) since this study did not include an oxalate scheme. The deposition of total and soluble iron from dust and combustion sources is calculated for ocean regions in the western and eastern North Pacific in Table S2e and compared to Table 6 in (Ito and Xu, 2014). Because we used similar definitions for Fet and a similar dissolution scheme for acidic processing of iron,

our total and soluble iron reasonably matches their data. In both the western and eastern North Pacific basins, Ito and Xu (2014) report dust iron solubility of around 2%; we calculate dust iron solubility of around 1.4 and 1.6% for the two basins, respectively. This disparity may be a result of not including separate tracers and dissolution rates for Fe_{RR} along with some simplifications we made in the dissolution rate (i.e. not accounting for the deviation from equilibrium for $\text{Fe}^{\text{II}} \rightarrow \text{Fe}^{\text{III}}$). The sources of combustion in this study are different and we did not include a combustion-specific processing scheme. For lack of a better understanding of combustion iron dissolution, combustion iron in this study is mobilized with the rate used for Fe_{Smed} .

Johnson and Meskhidze (2013) include an oxalate processing scheme based on oxalate promoted dissolution data reported in (Paris et al., 2011). It is difficult to compare our results since we interpreted the Paris et al., 2011 data differently to calculate our coefficients for the oxalate dissolution rates for illite and hematite. We predict higher dissolved iron deposition from dust to the global ocean basins compared to their prediction (0.54 versus 0.21 Tg yr^{-1}) and for the range in Fe_{S} deposition reported here (0.21-0.41 Tg yr^{-1}) from (Luo et al., 2008; Luo and Gao, 2010; Okin et al., 2011). Johnson and Meskhidze (2013) report a 75% increase in Fe_{S} deposition when the oxalate mechanism is included, which is similar to our prediction of a 63% increase (Table 6b).

A recent study that included both dust and combustion iron along with acidic processing, photochemical reduction and organic ligand processing (Myriokefalitakis et al., 2015) reports Fe^{II} emissions from mineral dust and combustion at $\sim 35 \text{ Tg yr}^{-1}$ and 2 Tg yr^{-1} , respectively. In fact, the method by which this study determined the iron content at emission is nearly identical to the method used in our study; we calculate ~ 57 and 2 Tg yr^{-1} of total iron, which is very similar to their emissions if we account for the 38% difference in dust emission between the two studies. However, the global annual flux of Fe^{II} from dust and combustion in our study is nearly 3x higher than the 0.496 Tg yr^{-1} reported here. A significant difference between our mechanism involves the oxalate processing; we assume that medium soluble iron (iron from illite and smectite) undergoes organic ligand processing with a rate determined from Paris et al., 2011 for illite and slow soluble iron (iron from kaolinite, feldspar, and hematite) is processed with a rate derived from the hematite data. This study only processes illite and hematite and neglects the iron from smectite, feldspar and kaolinite, the first of which has significant iron concentrations as reported in (Jourmet et al., 2008). In addition, because we used a simple parameterization for pH, we do not simulate the decrease in the oxalate mechanism at low pH. Regardless, our ability to match observations, despite the simplified parameterizations we employed compared with the full chemistry scheme used here, is at least as good if not better than the metric values reported in the supplementary material.

Ito (2015) includes an oxalate processing mechanism for the dissolution of iron in combustion aerosols. The global annual deposition for labile iron reported is 1.07 Tg yr^{-1} , which is closer to the 1.4 Tg yr^{-1} estimated in this study.

Theory and observations of iron processing show an inverse trend in total and soluble iron concentrations with fractional iron solubility (Sholkovitz et al., 2012). The results from our work suggest that this relationship cannot be uniquely constrained by considering either dust or combustion sources of iron alone. Figure 10 shows the total fractional iron solubility versus total iron for dust iron and combustion iron. In order to illustrate the difficulty in determining which

process is responsible for the inverse trend, for each observation, we define a box spanning the standard deviation of modeled fractional iron solubility and of modeled total iron. When the observation lies inside or outside of this box, we can calculate the percentage of model points that are within the range of the observations. For dust processing only, for combustion only and for the total (dust+combustion), 75.4, 73.8 and 73.3% of model values are within the observational range, respectively. If only combustion sources were included and assumed to be more soluble, the modeled values for soluble iron would all be shifted upwards in Figure 10 and could match available observations quite well.

6 Conclusion

A medium-complexity soluble iron processing mechanism is developed for use in an Earth system model and includes a proton-promoted aerosol water processing scheme along with an in-cloud organic ligand-promoted dissolution scheme. We define a semi-quantitative metric as the model mean at points with observations divided by the observational mean (MMO); fractional iron solubility MMO is 0.86, indicating that while the model is not capturing all of the observational variability, it is in reasonable agreement with the observational mean. Several sensitivity studies are performed to ascertain the degree of complexity needed to match observations. A simple first order decay rate from (Hand et al., 2004) does much worse compared to observations than our intermediate complexity mechanism. Our results indicate that the acidic processing alone is insufficient in matching observations and that the additional ligand-promoted mechanism was required; the mechanism employed is reasonably able to capture some but not all of the observational features of soluble iron and iron solubility, similar to the more complicated mechanisms employed in previous studies (Meskhidze et al., 2005; Johnson and Meskhidze, 2013; Ito and Xu, 2014; Myriokefalitakis et al., 2015; Ito, 2015). The in-cloud organic ligand processing mechanism is likely to be responsible for the majority of the atmospheric processing (>60%).

An additional objective was characterizing the relative importance of the contribution of iron from combustion aerosols versus iron from dust to different ocean basins. Upon deposition to global oceans, global mean combustion iron solubility to total fractional iron solubility is 8.2%; however, the contribution of fractional iron solubility from combustion sources to ocean basins below 15°S is approximately 50%. We estimate approximately a doubling of soluble iron deposition to the oceans in the current climate relative to the preindustrial due to increased dust, combustion iron and acidifying compounds, and a ~1.7x-fold increase in the iron-limited regions of the ocean (Tables 5-7). Even in the preindustrial, when industrial sources of iron are much lower, combustion sources of iron are likely to be important in some regions.

Building the framework to model iron dissolution in a full complexity Earth system model was the main goal of this study; however, more observations are needed in remote ocean regions to evaluate model performance. Additionally more laboratory and field experiments are necessary to better constrain the importance of combustion iron and atmospheric processing of mineral aerosols with both inorganic and organic acids. The sensitivity studies indicate that including an organic ligand processing mechanism is the most important parameter in order to match observations. Further investigation into atmospheric aerosol solution acidity is necessary in order to distinguish whether the sensitivity studies with higher acidity are better representations of soluble iron processing in the atmosphere.

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Tables

Table 1a: List of current climate simulation used in this study. $[H^+]$ indicates proton-promoted aerosol water iron processing. $C_2O_4^{2-}$ OC-proxy is the parameterization for in-cloud iron processing including the oxalate parameterization derived from model concentrations of organic carbon and secondary organic carbon. $C_2O_4^{2-}$ SO4-proxy calculates oxalate concentration from model sulphate concentrations. SS4 tracers have no spatial dependence on mineralogy and have prescribed global average fractions from REF at emission.

	$[H^+]$	$C_2O_4^{2-}$ OC-proxy	$C_2O_4^{2-}$ SO4-proxy	pH
REF	X	X		2
SS1	X			2
SS2	X		X	2
SS3	n/a	n/a	n/a	n/a
SS4 (no mineralogy)	X	X		2
SS5	X	X		1
SS6	X		X	1

Table 1b: List of preindustrial simulations used in this study. Preindustrial simulations assume pH = 2 when model concentrations of sulphate are greater than calcite.

	$[H^+]$	$C_2O_4^{2-}$ OC-proxy	$C_2O_4^{2-}$ SO4-proxy	Emissions	Dust-source	PI-comb
PI1	X	X		X		
PI2	X	X		X	X	
PI3	X	X		X	X	X
PI4	X		X	X	X	X

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Table 2: Root mean square error (RMSE) for the reference case (REF) and for sensitivity studies 1-6. REF: proton promoted and oxalate promoted iron dissolution; SS1: proton promoted iron dissolution; SS2: REF with oxalate dependent on sulphate; SS3: simple cloud parameterization for iron dissolution (Hand et al., 2004); SS4: REF with no spatial dependence on mineralogy; SS5: REF, pH = 1; SS6: SS2, pH = 1.

RMSE	REF	SS1	SS2	SS3	SS4	SS5	SS6
fes fine	5.9	6.1	5.9	6.6	6.2	5.9	5.9
fes coarse	26.5	13.8	27.9	5.3	20.7	27.2	28.6
fes total	25.5	17.1	26.72	9.2	19.4	26.1	27.3
fet fine	190.6	190.6	190.6	193.2	194.1	190.6	190.6
fet coarse	1448.4	1448.6	1448.0	1356.6	1241.8	1448.4	1447.9
fet total	2432.1	2432.2	2432.0	1149.2	2362.6	2432.1	2432.0
fes %fine	19.5	20.3	19.4	20.6	19.9	19.6	19.4
fes %coarse	7.1	7.6	6.9	7.5	7.1	7.4	7.2
fes %total	9.7	10.7	9.5	10.5	9.9	10.1	9.9

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Table 3: Mean of model at gridboxes with observations divided by the mean of the observations for the reference case (REF) and for sensitivity studies 1-6. In the last two rows, tau is the annually-averaged global mean insoluble iron turnover time (days) and is defined as the total insoluble iron from dust and combustion aerosols divided by the production of soluble iron from insoluble iron. Mean %fesdep is the annually-averaged global mean fractional iron solubility at deposition (%).

MEAN(mod/obs)	REF	SS1	SS2	SS3	SS4	SS5	SS6
fes fine	0.70	0.47	0.69	0.09	0.24	0.73	0.72
fes coarse	6.80	2.89	6.90	1.58	5.37	7.09	7.19
fes total	2.61	1.21	2.65	0.66	1.92	2.74	2.78
fet fine	0.33	0.33	0.33	0.23	0.21	0.33	0.33
fet coarse	4.09	4.09	4.09	3.72	3.42	4.09	4.08
fet total	1.32	1.33	1.33	1.68	1.11	1.32	1.32
fes %fine	0.85	0.44	0.82	0.33	0.64	0.98	0.95
fes %coarse	1.14	0.36	1.09	0.38	1.06	1.32	1.27
fes %total	0.86	0.32	0.85	0.40	0.80	1.02	1.01
tau	1579	5440	1569		1489	841	834
mean %fesdep	3.26	1.20	3.14	0.86	2.91	3.49	3.37

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Table 4: Correlation coefficients for the reference case and sensitivity studies 1-6.

Corr. Coeff.	REF	SS1	SS2	SS3	SS4	SS5	SS6
fes fine	0.324	0.275	0.319	0.274	0.349	0.323	0.318
fes coarse	0.511	0.442	0.518	0.520	0.509	0.511	0.518
fes total	0.310	0.250	0.310	0.418	0.310	0.310	0.310
fet fine	0.270	0.270	0.270	0.271	0.271	0.270	0.270
fet coarse	0.298	0.298	0.298	0.286	0.286	0.298	0.298
fet total	0.123	0.123	0.123	0.396	0.123	0.123	0.123
fes %fine	0.062	0.046	0.117	0.070	0.050	0.054	0.092
fes %coarse	0.157	0.155	0.242	0.233	0.143	0.153	0.212
fes %total	0.026	0.070	0.090	0.047	0.140	0.010	0.051

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Table 5a: Global ocean and regional ocean basin total deposition for dust (Tg yr^{-1}), total iron from dust and combustion, labile iron in dust and combustion aerosols (Gg yr^{-1}).

	Dust	Fet _{med}	Fet _{slow}	Fes _{med}	Fes _{slow}	Fe _{comb}	Fes _{comb}
	(Tg/yr)						
				(Gg/yr)			
Global	513.3	13073.7	4278.1	530.1	7.8	586.1	47.7
N.Atlantic	147.5	3702.7	1074.1	94.8	1.0	69.5	4.1
N.Pacific	20.5	611.4	165.1	35.6	0.6	123.8	8.2
N.Cen.Atl.	108.3	2792.7	832.9	105.1	1.3	13.8	1.5
N.Cen.Pac.	6.4	196.7	53.0	15.5	0.3	30.3	2.3
N.Indian.Ocn	139.4	3180.7	1319.9	84.9	1.3	59.3	4.0
Equat.Atl.	63.0	1918.8	593.0	169.7	2.7	88.8	11.3
Equat.Pac.	1.8	60.2	16.2	6.7	0.1	15.1	1.7
Equat.In.Ocn.	30.5	787.5	216.1	34.5	0.7	89.0	6.2
S.Atlantic	3.0	69.5	28.7	4.3	0.1	38.3	3.3
S.Pacific	0.5	15.2	8.1	1.0	0.1	16.7	1.1
S.Indian.Ocn	1.0	29.1	12.2	3.0	0.1	35.6	2.5
Antarctic	11.8	297.6	128.6	8.5	0.2	55.3	5.4

Table 5b: Global ocean and regional ocean basin fractional iron solubility for fine, coarse and total dust and combustion iron, total fractional iron solubility from both dust and combustion, and the fraction of percent soluble combustion iron to total fractional iron solubility.

	%Fe _{fine}	%Fe _{coarse}	%Fe	%Fec _{fine}	%Fec _{coarse}	%Fec	%Fes _{d+c}	%Fec/Fes _{d+c}
Global	8.56	0.28	3.10	10.36	7.68	8.14	3.26	8.15
N.Atlantic	6.59	1.91	2.01	7.90	5.61	5.89	2.06	4.10
N.Pacific	8.53	4.47	4.66	8.01	6.28	6.60	4.92	18.44
N.Cen.Atl.	7.99	2.80	2.93	14.95	9.74	10.88	2.96	1.39
N.Cen.Pac.	10.93	0.75	6.35	9.67	7.15	7.66	6.49	12.78
N.Indian.Ocn	6.57	1.84	1.91	8.76	6.37	6.75	1.98	4.44
Eq.Atlantic	10.72	6.64	6.86	14.87	12.22	12.75	7.06	6.17
Eq.Pacific	13.08	8.62	8.97	13.71	10.58	11.33	9.37	19.99
Eq.Indian.Ocn	10.08	3.34	3.51	8.87	6.60	6.94	3.79	14.93
S.Atlantic	12.79	4.17	4.42	10.86	8.33	8.70	5.62	43.42
S.Pacific	10.77	4.16	4.40	9.72	6.36	6.78	5.40	52.44
S.Indian.Ocn	12.01	7.19	7.47	8.99	6.63	6.94	7.23	44.50
Antarctic	6.78	1.92	2.03	11.20	9.37	9.78	2.92	38.45

Table 6a: Annually averaged loading (Gg), deposition (Gg yr⁻¹) and fractional iron solubility at deposition to global and regional oceans for the reference case.

	FET _{load}	FES _{load}	FET _{dep}	FES _{dep}	%FES _{dep}
Global ocean	201.22	7.21	17937.93	585.57	3.26
N.Atlantic	38.63	0.99	4846.37	99.87	2.06
N.Pacific	12.71	0.56	900.25	44.32	4.92
N.Cen.Atl.	49.83	1.48	3639.33	107.86	2.96
N.Cen.Pac.	5.10	0.29	280.01	18.17	6.49
N.Indian.Ocn	57.11	1.21	4559.84	90.13	1.98
Equat.Atl.	32.79	2.18	2600.55	183.69	7.06
Equat.Pac.	0.83	0.08	91.48	8.56	9.37
Equat.In.Ocn.	8.03	0.39	1092.71	41.41	3.79
S.Atlantic	3.21	0.25	136.42	7.67	5.62
S.Pacific	0.60	0.04	40.06	2.16	5.40
S.Indian.Ocn	1.21	0.08	76.83	5.55	7.23
Antarctic	2.26	0.12	481.45	14.08	2.92

5 **Table 6b:** Percent change for all cases relative to the reference case for global and regional fractional iron solubility at deposition to the ocean.

	SS1	SS2	SS3	SS4	SS5	SS6	PI1	PI2	PI3	PI4
Global	-6.2%	-3.7%	-73.7%	-13.6%	7.0%	3.3%	-14.3%	-12.0%	-15.2%	-16.7%
N.Atlantic	-49.8%	11.5%	-79.0%	-12.6%	4.4%	15.8%	-6.5%	-4.6%	-6.9%	-2.4%
N.Pacific	-62.8%	9.4%	-49.6%	-9.5%	11.5%	20.8%	-13.4%	-15.9%	-19.7%	-20.4%
N.Cen.Atl.	-63.8%	3.5%	-75.7%	-16.2%	4.0%	7.5%	-7.2%	-5.1%	-5.7%	-3.5%
N.Cen.Pac.	-63.8%	6.8%	-59.8%	-12.2%	20.6%	27.3%	-13.5%	-20.4%	-22.7%	-20.6%
N.Indian.Ocn	-49.9%	9.8%	-77.9%	-5.4%	7.5%	17.2%	-9.8%	-8.4%	-11.0%	-10.4%
Equat.Atl.	-80.8%	-28.5%	-76.6%	-7.6%	4.0%	-24.4%	-26.1%	-23.6%	-25.5%	-35.0%
Equat.Pac.	-68.7%	-7.9%	-54.2%	-8.6%	18.3%	10.7%	-14.1%	-11.9%	-15.1%	-16.1%
Equat.In.Ocn.	-58.2%	7.2%	-68.4%	-14.6%	13.5%	20.7%	-12.9%	-11.0%	-18.3%	-18.2%
S.Atlantic	-56.1%	-19.4%	-49.1%	-10.9%	16.8%	-2.2%	-20.0%	-24.1%	-29.1%	-32.6%
S.Pacific	-42.4%	-5.4%	-24.6%	0.8%	23.6%	18.5%	-9.6%	-3.5%	-6.1%	-7.1%
S.Indian.Ocn	-52.4%	-12.7%	-36.7%	-3.8%	20.3%	7.8%	-16.3%	-16.1%	-15.3%	-18.6%
Antarctic	-46.4%	-6.0%	-42.5%	-0.6%	15.9%	10.1%	-9.1%	3.1%	3.3%	2.7%

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Table 7: Percent change for the MMO metric compared to the reference case for labile, total and percent labile iron in the fine mode (< 1 µm), the coarse mode (> 1 µm and ≤ 10 µm) and for the combined fine and coarse modes (0.1 µm – 10.0 µm). The lower section of the table compares the percent difference for the average production lifetime of Fes (days) [labeled here as “tau”](#) and the average fractional iron solubility at deposition to global ocean basins.

MEAN(mod/obs)	REF	SS1	SS2	SS3	SS4	SS5	SS6
fes fine	0.70	-32.3%	-1.4%	-87.1%	-66.1%	4.5%	3.1%
fes coarse	6.80	-57.5%	1.5%	-76.8%	-21.1%	4.2%	5.8%
fes total	2.61	-53.6%	1.7%	-74.6%	-26.3%	5.1%	6.7%
fet fine	0.33	0.0%	0.0%	-30.8%	-36.1%	0.0%	0.0%
fet coarse	4.09	0.0%	0.0%	-9.0%	-16.4%	0.0%	0.0%
fet total	1.32	0.0%	0.0%	-26.6%	-16.2%	0.0%	0.0%
fes %fine	0.85	-47.9%	-4.4%	-61.2%	-25.5%	15.1%	10.8%
fes %coarse	1.14	-68.4%	-4.2%	-66.4%	-7.1%	16.3%	12.1%
fes %total	0.86	-62.9%	-1.4%	-53.4%	-7.2%	18.4%	17.2%
tau	1579	244.6%	-0.6%	n/a	-5.7%	-46.7%	-47.2%
mean %fesdep	3.26	-63.2%	-3.7%	-73.7%	-11.0%	7.0%	3.3%

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Figure captions

Figure 1: Iron dissolution rate for illite and hematite representing medium soluble iron and slow soluble iron respectively. '+' indicates the proton-promoted dissolution rate. Solid, dashed and dotted lines are the addition of the proton-promoted and the oxalate-promoted dissolution rates at [oxalate] = 0, [oxalate] = 1 and [oxalate] = 10 μM , respectively.

Figure 2: Spatial distribution of annual averaged surface oxalate concentrations (μM). Oxalate concentrations are derived from modeled organic carbon concentrations (a) and from modeled sulfate concentrations (b).

Figure 3: Observations of (a) and modeled surface concentrations (b) of percent labile iron for particle diameter $< 1\mu\text{m}$. Scatterplot of observed fine mode percent labile Fe versus modeled fine mode percent labile Fe (c). Red crosses indicate observations about 15°N , blue boxes indicate observations between 15°S and 15°N and green diamonds indicate observations below 15°S . Grey vertical lines correspond to one standard deviation of model daily averaged concentrations between 2007 and 2011.

Figure 4: Observations of (a) and modeled surface concentrations (b) of percent labile iron for particle diameter $> 1\mu\text{m}$. Scatterplot of observed coarse mode percent labile Fe versus modeled coarse mode percent labile Fe (c). Red crosses indicate observations about 15°N , blue boxes indicate observations between 15°S and 15°N and green diamonds indicate observations below 15°S . Grey vertical lines correspond to one standard deviation of model daily averaged concentrations between 2007 and 2011.

Figure 5: Observations of (a) and modeled surface concentrations (b) of percent labile iron. Scatterplot of observed fine mode percent labile Fe versus modeled fine mode percent labile Fe (c). Red crosses indicate observations about 15°N , blue boxes indicate observations between 15°S and 15°N and green diamonds indicate observations below 15°S . Grey vertical lines correspond to one standard deviation of model daily averaged concentrations between 2007 and 2011.

Figure 6: Spatial distribution of annually averaged turnover time of insoluble iron (days) defined as the total insoluble iron from dust and combustion aerosols divided by the production of soluble iron from insoluble iron (a). Zonal distribution of annually averaged insoluble to soluble iron turnover (days) (b).

Figure 7: Spatial distribution of annually averaged soluble iron deposition from both dust and combustion in Tg yr^{-1} (a). Spatial distribution of annually averaged fractional iron solubility from dust and combustion (%) (b).

Figure 8: The spatial distribution of the Fes deposition ratio of the reference case over the six sensitivity studies. SS1 corresponds to the case with proton-promoted iron dissolution and no processing via organic ligand dissolution enhancement. The processing mechanism for SS2 is identical to the reference case however uses a sulfate proxy for calculating model oxalate concentrations instead of the OC proxy used in the reference case. SS3 corresponds to a simplified dissolution mechanism defined in Hand et al., 2004; a decay rate is applied when iron comes into contact with a cloud. The SS4 simulation has the same processing mechanism as the reference case however the spatial dependence of total and soluble iron on mineralogy at emission is replaced with the average values for these variables from the reference case. SS5 and SS6 are identical to the reference case and SS2, respectively, with the exception of prescribing the pH at 1 when sulfate concentrations exceed calcite concentrations. White indicates no change, red indicates that the deposition in the reference case is higher than the sensitivity study and blue indicates higher deposition compared to the reference case.

Figure 9: Spatial distribution of soluble iron deposition for the preindustrial simulation with the year 1850 chemical emissions, dust source strengths and combustion emissions (PI3) (Tg yr^{-1}) in panel (a). Spatial distribution of the Fes deposition ratio of the reference case over PI3 (b), and the difference between the sulfate and OC proxies for current over preindustrial (c).

Figure 10: Scatterplots of total iron vs. fractional iron solubility. For panels a-c, black points correspond to observations, blue circles correspond to annually averaged model values at gridboxes where we have observational data. Red stars indicate model values that fall outside the range (predicted from the average of 1 standard deviation from daily averaged values for both total iron and fractional iron solubility) in relation to observed values. Panel a corresponds to fractional iron solubility from both dust and combustion, Panel b is for fractional iron solubility from dust only and panel c is for combustion iron only. Panel d corresponds to the zoomed in version of Figure 1 in Sholkovitz et al., 2012 shown logarithmically.

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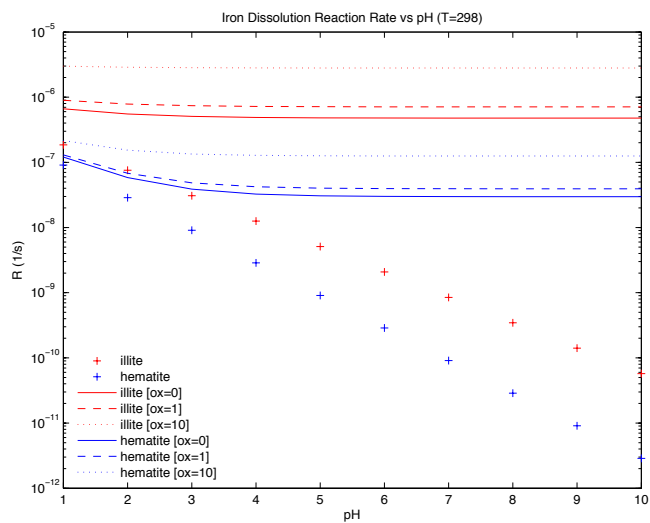
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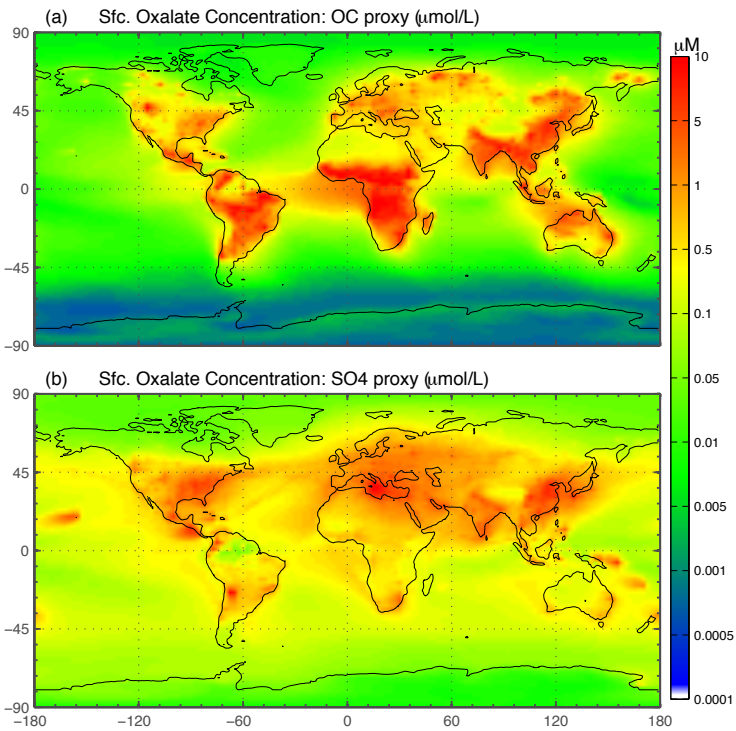
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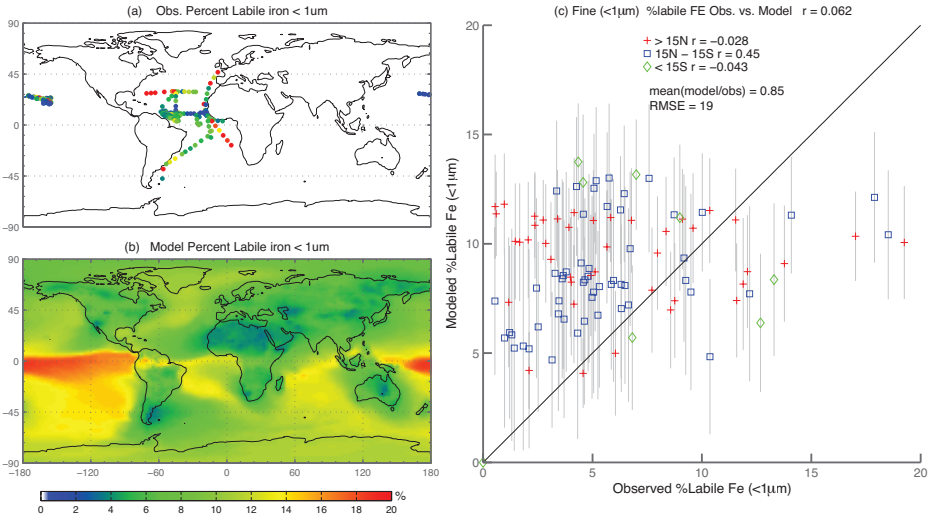
Figure 2



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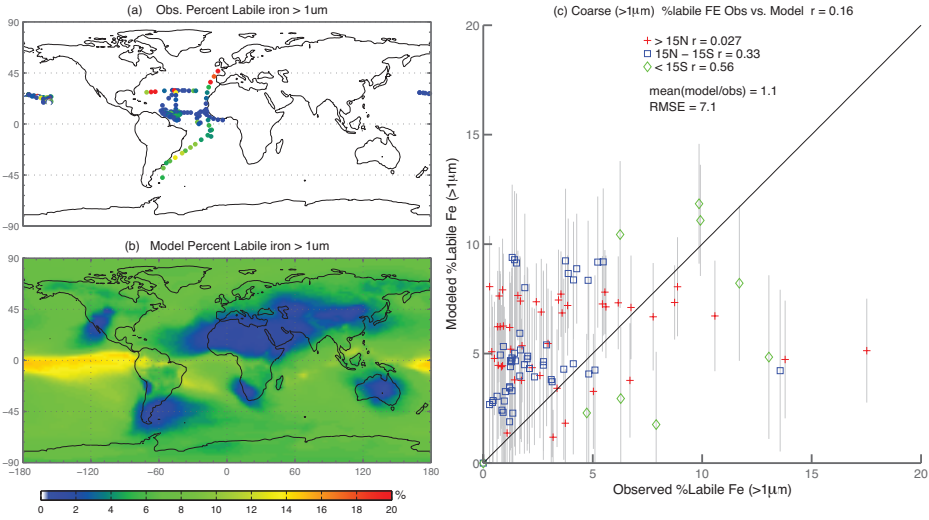


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Figure 4

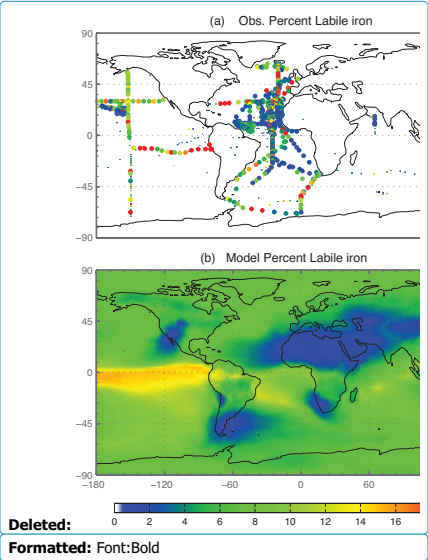
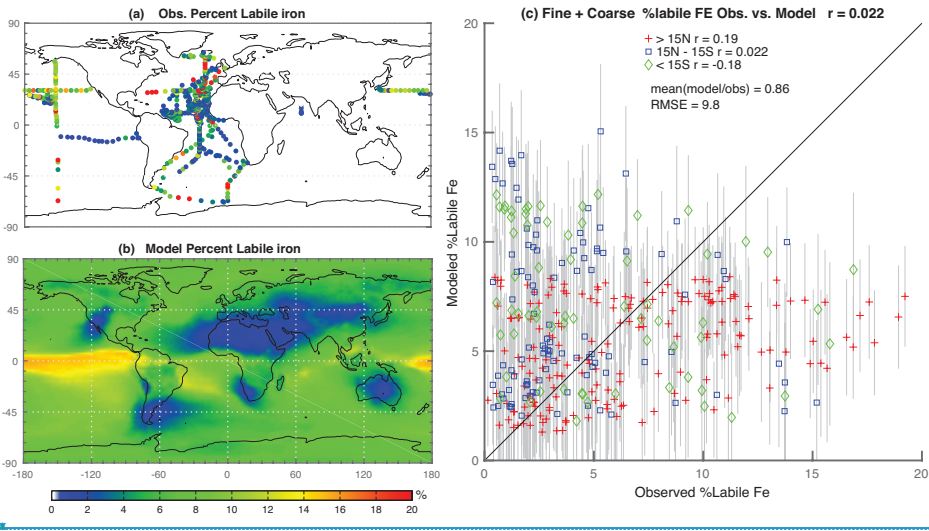


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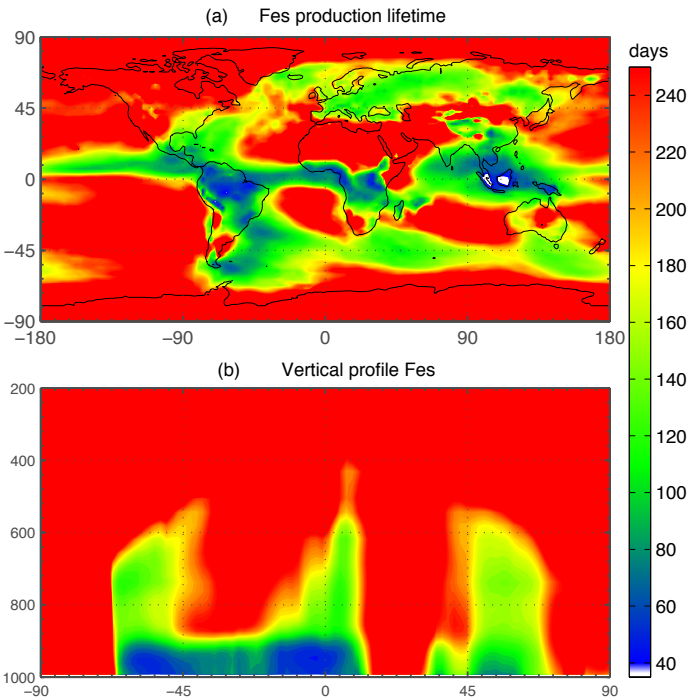
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Figure 5



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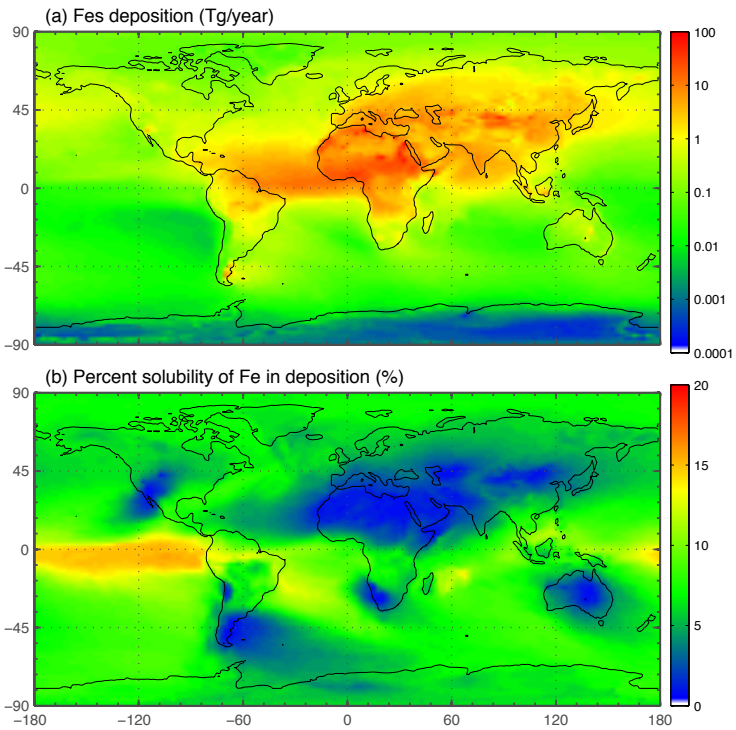
Figure 6



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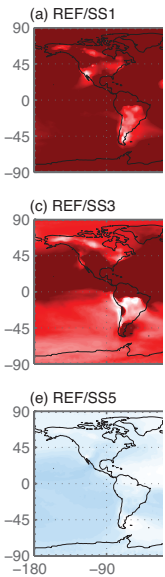
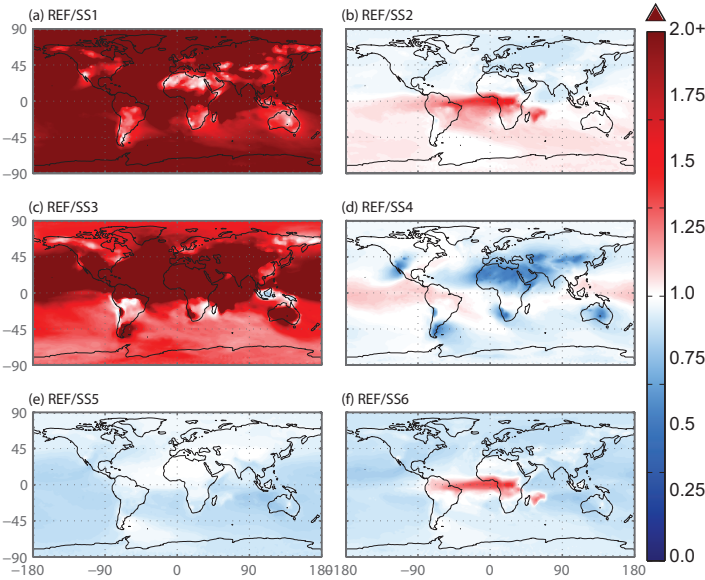
Figure 7



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Figure 8

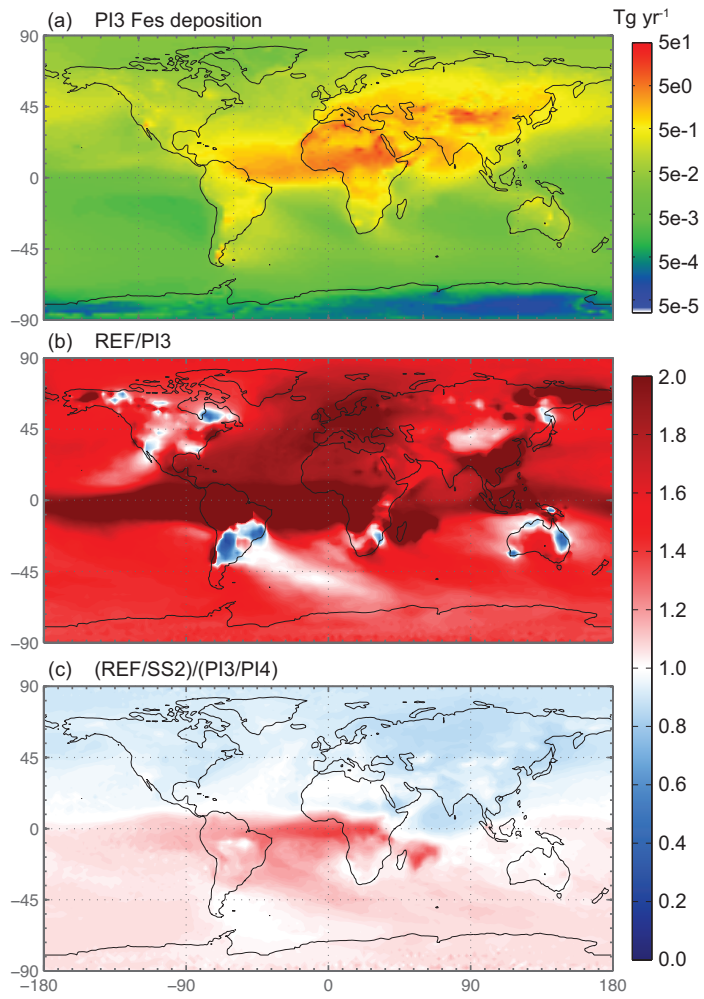


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Figure 9



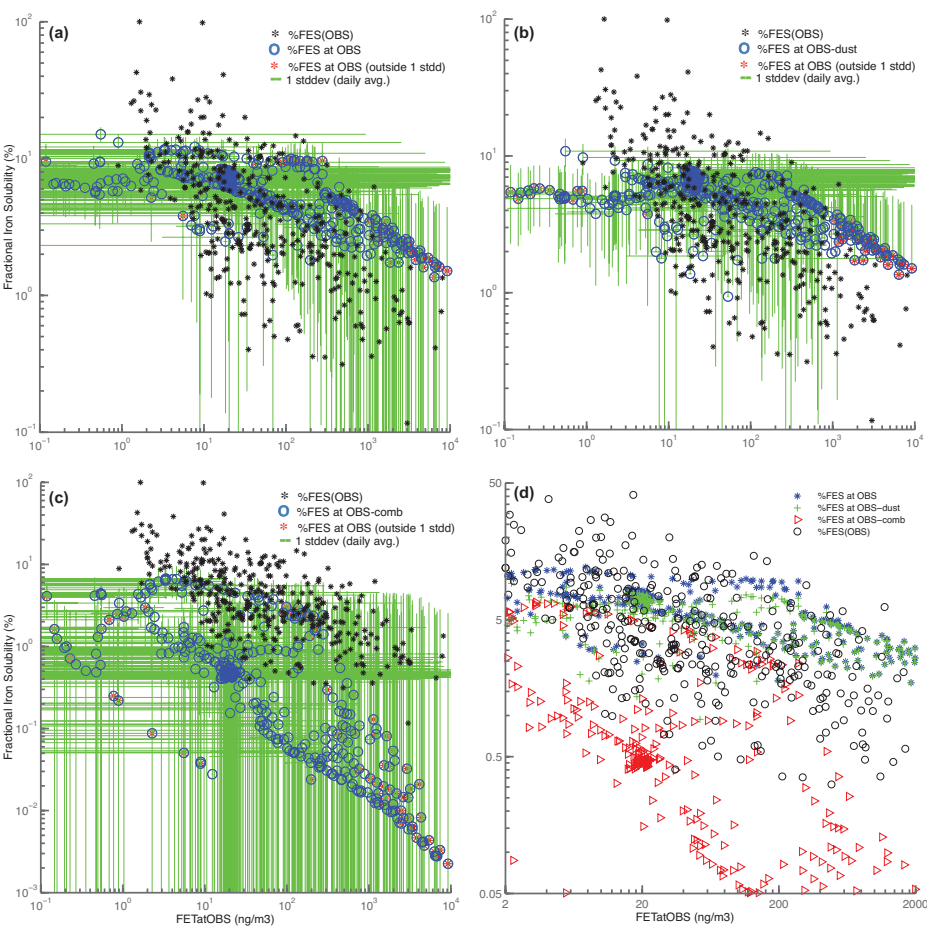
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Figure 10



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