

## Reply to comments by reviewer #3

**Note: our responses are indented and bold-faced**

Reviewer comment: This is an interesting paper that is potentially appropriate for ACP. The authors present a thorough uncertainty analysis for their SEA approach and I think their basic results are credible. They also find some interesting deviations from simple expectations which are somewhat credibly presented; however, while I appreciate their difficulties in trying to explain all this, I confess I got lost in trying to understand much of their hypothesizing.

**Reply: Thanks for pointing this out. We tried to improve our explanations and hope that our reasoning is now easier to follow (please see specific responses below).**

I had difficulties with Figures 11 and 12 to the extent that I do not see where they can state on lines 429-430 that “for the first time : : .. associated with quasi 27 day periods”.

**Reply: We changed the text in the following way in order to be more specific:**

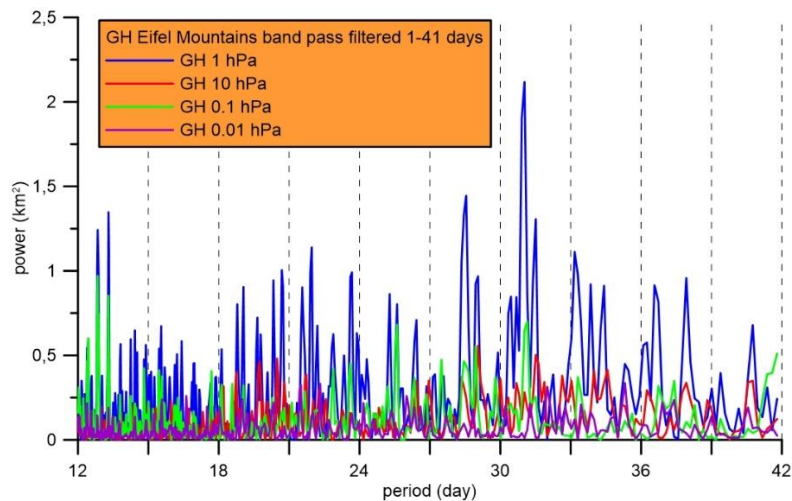
**“To our knowledge, we demonstrated for the first time the possible link between band-pass filtered (24-30 days) SPH time series and large-scale geopotential height fields in the extra-tropical boreal upper stratosphere and mesosphere during solar minimum. We identified a planetary wave 1/wave 2 structure in the regression-coefficient distribution at the stratopause and the upper mesosphere showing an oscillation pattern with a period of about 27 days.”**

For that to be valid, I need to see a Fourier spectrum of geopotential height with significant power at that period. In other words, Figure 3 needs to be repeated for GPH (preferably as a function of altitude, as per some of my comments below).

**Reply: We calculated the CMAM-GH spectrum over the Eifel mountains at four relevant altitudes, namely: 10, 1, 0.1, 0.01 hPa, shown in Figure-X3 below. We found significant power in the range of periods between 24 and 30 days, which was expected from Figure 11, bottom panel. This is also in good agreement with Schanz et al. (2016).**

**We add a sentence to explain that we calculated the spectrum (not shown) and saying the we found significant power in the range of periods between 24 and 30 days, which was expected from Figure 11, bottom panel.**

**Schanz, A., K. Hocke, and N. Kämpfer, On forced and free atmospheric oscillations near the 27-day periodicity, Earth, Planets and Space, 68:97, <https://doi.org/10.1186/s40623-016-0460-yS>, 2016.**



**Figure-X3. Power spectrum of CMAM GH over Eifel mountains.**

And even if they do that- did not they just say that this was first shown by Ebel et al, 1981.

**Reply: Ebel et al. (1981) were among the first who showed that the solar signal influences the planetary wave signal in the stratosphere, but didn't study the mesosphere. We now specified our statement:**

***"In this context it is important to mention that Ebel et al. (1981) performed a cross-spectral analysis of the solar F10.7 cm flux and planetary wave activity in the stratosphere at pressure levels between 10 and 50 hPa."***

**Note the abstract of Ebel et al. (1981): "The effects of solar activity on the geopotential height and temperature fields of the 50-, 30- and 10-mbar surface, resolved into zonal harmonic components, were investigated. This was done by means of cross-spectral analysis between the 10.7-cm radiation of the sun and planetary waves up to zonal wave number 3. Frequent significant responses of various harmonic components in a broad range of oscillation frequencies give evidence that solar activity plays a significant role for the dynamics of the middle and lower stratosphere. Oscillations of the amplitudes of the zonal harmonics that are coherent with solar activity fluctuations were extracted from the spectra and recomposed into coherent (planetary) waves. Three waves with periods of 25 days (near to the sun's rotation period), 13.6 days (first harmonic of solar rotation), and 15.1 days (corresponding to the well known 15- to 16-day wave in the atmosphere) are examined in detail. They show the properties of free planetary modes (13.6 and 15.1 days) and possibly of internal waves (25 days) at higher latitudes. Vacillation cycles of the mean atmospheric state (including stationary waves) seem to be important for the generation of the studied wave phenomena."**

So what justifies the phrase "for the first time"?

**Reply: These lines were re-written for clarity. See also comments above.**

## Other Major comments

A. Writing/presentation: I recommend breaking up Section 4. It's a jumble of analyses that comes off confusingly. Tellingly, they have to subdivide their Section 3 times (4.2.2) which is hard to follow. They should have a section on "Results" which present their 4 basic results (i.e. SPH basically correlates plus the three puzzles as listed in Section 5). Then Section 4.3 is really (I think) an attempt to find some interpretation- this should be separated.

**Reply: We agree there are probably too many subsections in section. We tried to follow the reviewer's suggestion by**

- a) Removing the subsections of section 4.2**
- b) Moving section 4.3 to a new section 5.**

B. Figure 11 confused me. First, (line 284), there is no "middle panel". Only top and bottom.

**Reply: We apologize, there is indeed no middle panel, this is now corrected.**

Second, where is SPH in all this- why can't they correlate the CMAM .01 hPa temperature with SPH?

**Reply: The SPH series is shown in Figure 1 without any filtering; in Figure 2 it is shown after applying 41-day running mean and in Figure 6 and in Figure 7 for the period 1985-87.**

**The correlation between SPH and CMAM-0.01-hPa-T is -0.105, and the correlation between band pass filtered SPH and CMAM-0.01-hPa-T is -0.013, both are very weak.**

Third, and related, what is the altitude variation of the variability in this band-pass?

**Reply: The altitude variation in this GH band pass at 0.01 hPa is about  $\pm 0.5$  km, and at 1 hPa about  $\pm 1$  km.**

Or altitude variation of the correlation/regression with SPH? This would relate to whether the forcing is in-situ (i.e. planetary wave mixing at .01 hpa) or due to integrated height changes.

**Reply: The values of regression between band pass filtered SPH and unfiltered GH are shown in Figure 12 with larger contour labels.**

C. The issue of the poorly understood negative lag. First, where do they show this? Which figure has the correlation plotted vs. phase shown a peak at a specific phase? In the absence of this, where am I supposed to find the phase lag? All I see is some words on line 168-169. Does the phase lag change in winter vs. summer? Their arguments in 4.3.2 would seem to be relevant for winter (i.e. requires a mesospheric vortex). Are they saying that the effect is so small in summer that they are ignoring it? That may be OK, but if so, say so more explicitly.

**Reply: The negative lag (or shift) is shown and discussed several times throughout the manuscript, e.g. in Figure 4, Table 1 (section 4.2.2). We did not show the results of the time-lagged cross-correlation, because they are consistent with the SEA results and provide no additional information. We believe that the confusion is in part due to the fact that we use**

***the term “shift” in parts of the manuscript and “lag” in other parts. We now made this more consistent and only use the term “lag” throughout the paper.***

***Regarding seasonal differences in lag: this is discussed in section 4.2.3 and Table 3 explicitly lists the lags for summer and winter. The surprising aspect is that the lag is the same for both seasons.***

***We hope that by using one term (lag), these connections are now less confusing.***

D. Note, there is literature on this question dating back to ozone studies in the 1980s. See for example, Brasseur et al., JGR, 1987, page 903 or Eckman, JGR, 1986, page 6705. Mathematically, from Fourier analysis, if there is damping or negative feedback, it will manifest itself as a negative lag (i.e. response precedes forcing). I confess I do not know if this shows up in wavelets, but it's worth considering.

***Reply: Thank you for pointing this out. It is of course difficult to state, whether the temperature feedback on the solar 27-day signature in O3 is of direct relevance for the phase lag in SPH identified in this study. But it is a very interesting example showing that negative phase lags, i.e. response appears to precede the forcing, can occur in the atmosphere. We added this aspect to the discussion and cited the companion papers by Keating et al. (1987) and Brasseur et al. (1987).***

***We do not fully understand the reviewer's comment on wavelets.***

E. Why are they choosing a phase lag of 12 days for Figure 12? Shouldn't they use the phase lag for which the correlation maximizes? Earlier in the text they say 1-3 days.

***Reply: We apologize, a lag of 12 day is a mistake. It should be a lag of -12 days.***

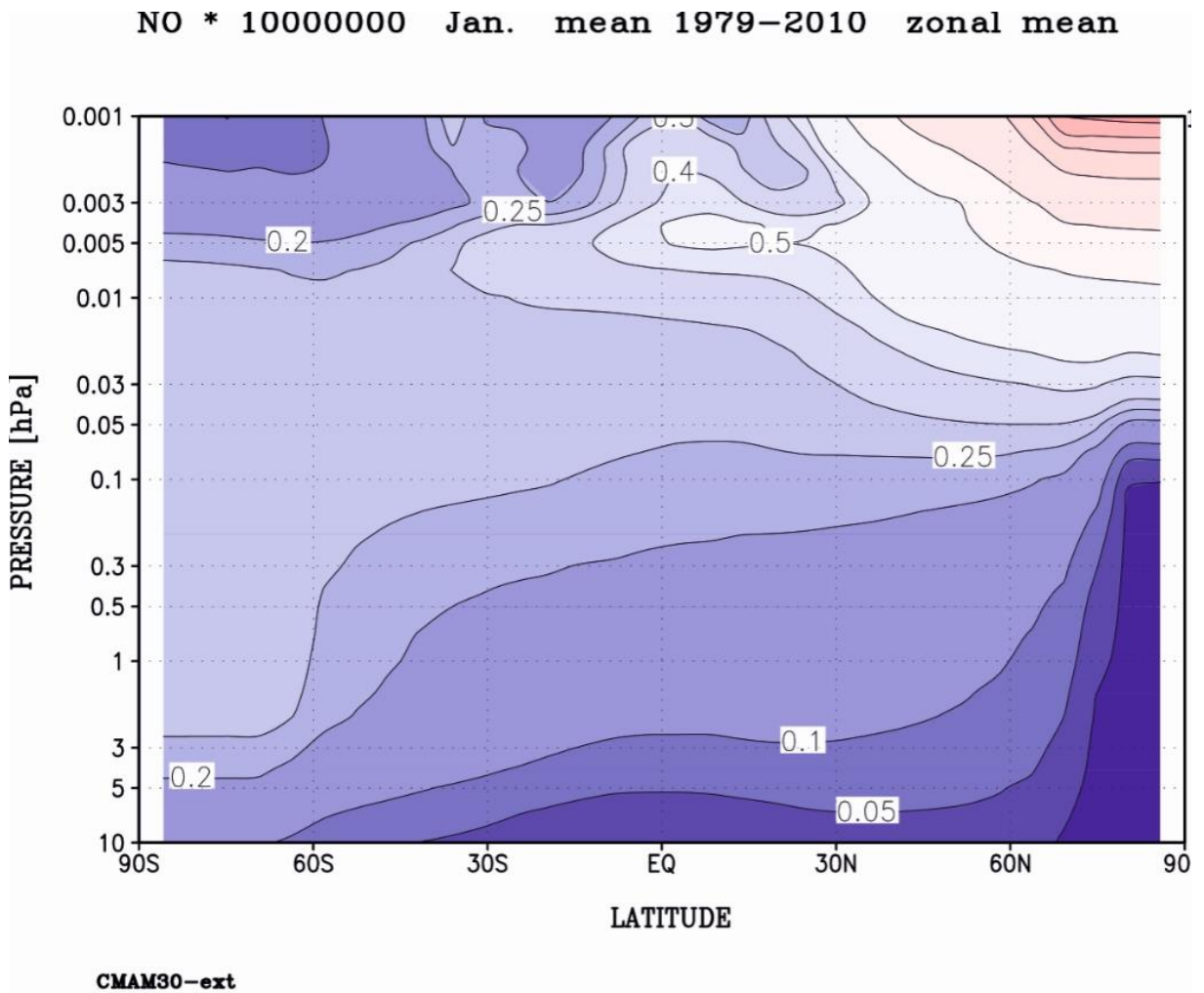
***This is a misunderstanding because the lags belong to different research objectives.***

***On one hand, there is a negative lag of a few days between (negative) SPH and Lyman-alpha (or F10.7). This was shown in the SEA and in a correlation analysis. However, this lag is only a hint, that the SPH minima appear before solar maximum. This reveals that SPH minimum is possibly followed by a maximum of solar signal of ionization.***

***On the other hand, we used a regression analysis in order to show that the variability of local band pass filtered SPH series is statistically linked with the unfiltered GH anomaly field of the boreal extra-tropics. The regression coefficient is shown for two lags of regression (-12 and 0 days, Figure 12) in order to demonstrate the oscillation behavior in the NH at a mesospheric layer (0.01hPa, about 80 km altitude) and in the stratopause (1 hPa, about 48 km). That means we demonstrate that the large-scale regression patterns are changing their sign during a period of about half of the “27 solar period” as expected. We also performed the regression analysis for a lag of -15 days, which essentially resulted in the same results as for a lag of -12 days.***

F. I looked at the CMAM30 web site they give. There is nitric oxide data. I suggest they use this data to compare with geopotential height, solar changes etc.

**Reply:** We examined CMAM30 NO values and compared them to Odin/SMR NO measurements already before. We found that the CMAM NO values, e.g., for mid-latitudes in the mesosphere are only about one third of the Odin measurements, and they show a weaker latitudinal NO gradient than the Odin/SMR data. In the light of the Odin/SMR NO values – which compare well with independent observations – the CMAM NO profiles do not appear credible. This implies that an assessment of 27-day oscillations is CMAM NO data is problematic. Due to the applied nudging technique up to 1 hPa we expect that the meteorological fields in the mesosphere are well represented.



**Figure XA: VMR of NO CMAM mean January 1979-2010**

**We added a sentence to section 4.3 in order to explain why we do not use CMAM NO data:**

**“The CMAM data set used here also includes NO profiles. Unfortunately, the CMAM NO distribution in the mesosphere does not compare well to satellite observations with the Odin/SMR instrument (Kiviranta et al. 2018). This implies that an assessment of 27-day oscillations is CMAM NO data is problematic”**

1. They need to specify where they got the Lyman alpha time series. Is this a proxy they developed? Is it from satellite data?

**Reply: The Lyman-alpha and F10.7 cm data sets were obtained from the LASTP Interactive Solar Irradiance Datacenter (LISIRD), as already mentioned in the acknowledgements of the manuscript. This Lyman-alpha data set is a composite of different observational Lyman-alpha data sets. The time period between 1947 and the start of the observational data set is covered by a model based on F10.7 data. Following the reviewer's suggestion we now mention the data source in section 3, when the datasets are discussed the first time. Thanks for pointing this out.**

2. It would be helpful to provide more context to the standard height technique. I realize this technique is mature, but there are also VLF measurements which, at first glance, are pretty similar in approach. In Peters and Entzian, they mention a reflection height of 500 km. Does the shape of the profile matter? It does for VLF.

**Reply: The shape of the profile does not matter for SPH measurements. We assume that the ionosphere is an ideal reflecting mirror and that the reflecting electron density is constant during the day (We added a brief statement about this to section 2.). This is justified if the reflection point is far enough from the D-region maximum and does not change its gradient. Like all other phase measurements in optics also this method is ambiguous. By comparison of different long radio propagation paths (up to 7 paths) we could make it unique coming to an electron density of about 500 el/cm<sup>3</sup>.**

**The word "indirect" means that we do not measure phases but only field strength amplitudes, i.e. the sum of ground and sky wave: a field strength maximum means a phase difference of zero or  $2n\pi$ , a minimum means a phase difference of  $2n+1\pi$ .**

3. The VLF technique provides an altitude profile- see any number of papers by N.R. Thomson. Apparently the SPH technique does not? But this makes it hard to interpret height changes. What does a 1 km height change really mean in terms of the electron density? Is this a local increase, or descent of a layer?

**Reply: The SPH method uses a mean daily reflection layer for a long radio wave signal which refers to a layer of constant electron density at about 80 km altitude. A -1 km height changes means that the reflecting layer of constant electron density over the Eifel mountains changes its height by 1 km.**

**We added the following statement to section 2 : "... at the reflection point by a simple geometric-optical method assuming the ionosphere to be an ideal reflecting mirror. The distance .."**

4. Lines 322-332. Very confusing. What is “it follows” (line 327)? Sentence needs a verb. Then I don’t understand the argument on lines 331. Why should electron density go up if air pressure is higher? Perhaps it would mean more recombination and thus the opposite. And this sounds like a different mechanism than on 324- southward transport.

**Reply:** *We tried to improve this and the previous statements and hope this is now easier to understand. The new sentences are:*

***“In the upper mesosphere, the negative regression pattern between the GH anomaly and the 24 – 31 day-band-pass filtered SPH time series over central Europe in about 80 km altitude for lag zero may be explained by horizontal planetary wave transport. An increase (decrease) of NO density is caused by southward (northward) transport of NO by ultra-long waves for an observed mean positive latitudinal NO gradient in a region between a high and low (low and high) pressure system. Vertical transport of NO by lifting or subsidence is assumed to be weak, diffusion too. The consequence is an increase (decrease) of the free electron number density due to photo-ionization as discussed by von Cossart and Entzian (1976) with a lower (higher) SPH. That implies that SPH shows a negative (positive) regression with the GH anomaly on the easterly (westerly) side of the high-pressure center.”***

5. Lines 324-354: There are references worth citing on mesospheric nitric oxide transport and planetary waves, for example: Siskind et al., JGR, 1997, p.3527. Mesospheric transport due to breaking planetary waves is also covered in Sassi et al., JGR, 2002, 4380. More recently, work by Lynn Harvey has discussed the mesospheric polar vortex. She uses CO as a diagnostic. I don’t suggest they redo her work, but certainly consider it and cite it, at minimum.

**Reply:** *Thanks, this is an interesting paper dealing with a two-dimensional NO chemistry model (Siskind et al. 1997), and discussed the planetary wave transport versus diffusion. We take it into account and cite it, also the empirical NO model of Kiviranta et al. (2018). The new paper of Lynn Harvey et al. (2018) is also cited.*

**We changed lines 322-345. (i) first part is now briefly discussed again in the discussion section, the second part is changed using right lag = -12 days.**

***“The positive correlation pattern for a lag of -12 days (Figure 12, panel a) follows from the quasi-periodic 27-day oscillation behavior of the ultra-long wave structure. Furthermore, the negative regression for lag of -12 days and the positive regression for lag zero over eastern Europe reveal the cyclic evolution of the ultra-long planetary waves. In the stratopause layer the regression pattern is positively (negatively) correlated to the 24 – 31 day-band-pass filtered SPH time series over the polar region for lag zero (-12 days) – see panels c) and d) of Figure 12 – indicating a polar vortex weakening (strengthening). The vortex weakening is linked with an intrusion of subtropical air into the polar region over the North Atlantic, as known from some major stratospheric warming events in wintertime (e.g., Peters et al., 2014, Harvey et al., 2018). A dominant wave 1 pattern occurs with a strong wave 2. In general, the results reveal an atmospheric influence especially of ultra-long planetary waves on the 24–31day-band-pass filtered SPH time series during wintertime and solar minimum.”***

**Discussion section insert paragraph:**

***“In the upper mesosphere, the negative regression pattern between GH anomaly and the 24 – 31 day-band-pass filtered SPH time series over central Europe in about 80 km altitude for lag zero may be explained by an increase of NO density caused by southward transport of NO by ultra-long waves in an observed mean positive latitudinal NO gradient, in a region between high and low pressure respectively.”***

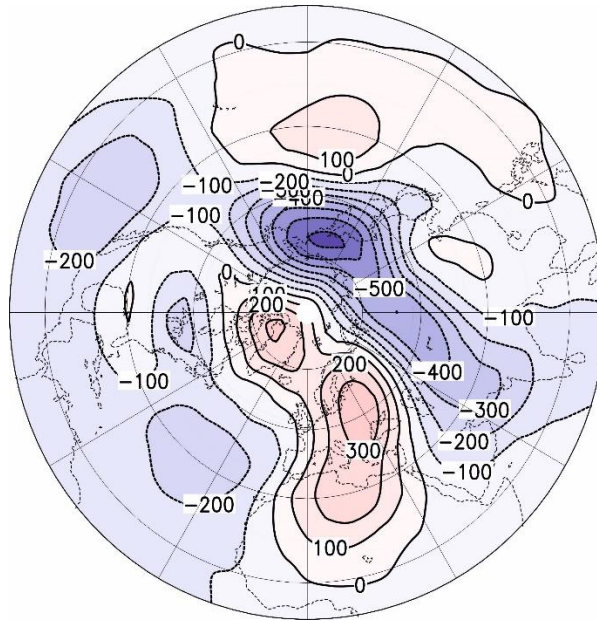
6. Figure 12 needs color bars. None of the labels are readable. The caption should explicitly state what the red/pink and blue colors are. My evaluation of this figure and associated text will likely change once I can actually make out what this is a plot of.

***Reply: Thanks, we changed the size of contour labels and thickness. We added a more detailed explanation to the figure caption: “The contour interval is 100 m/km, reddish (bluish) areas show positive (negative) regression coefficients.”***

7. Why do they choose 12 days for a solar cycle (lines 315-316)? Should be 13 or 14. (but also consider comment E. above).

***Reply: We used a regression analysis in order to show that the variability of local SPH series (24-30 day band pass filtered) is statistically linked with the unfiltered GH anomaly field of the boreal extra-tropics. The distribution of the regression coefficient is shown for two lags of regression (-12 and 0 days, Figure 12) in order to demonstrate the oscillation behavior in the NH at a mesospheric layer (0.01 hPa about 80 km altitude) and in the stratopause (1 hPa about 48 km). That means we demonstrated that the large-scale regression patterns are changing their sign during a period of about half of the “24-30 days band of solar variability. The regression figures were produced for varying lags in steps of 3 days, e.g., 9, 12 and 15. in order to cover a large range of possible lags. The results for a lag of -15 days are very similar compared to a lag of -12 days. The Figure-X12 shows the regression for a lag of -15 days which looks similar in comparison to that of lag -12. We added a statement to point out that the results are quite similar if a lag of -15 days is assumed.***





**Fig.-X12. Regression (in m/km) between the SPH (24 – 31 day-filtered) time series and the unfiltered time series of geopotential height of CMAM at 0.01 hPa for time lags of -15 days for the period 10/1985 to 4/1986. Contour interval is 100 m/km, reddish (bluish) area showing positive (negative) regression coefficients.**