

1       **Tornado-Scale Vortices in the Tropical Cyclone Boundary Layer:**  
2       **Numerical Simulation with WRF-LES Framework**

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30 **Abstract**

31 The tornado-scale vortex in the tropical cyclone (TC) boundary layer (TCBL) has  
32 been observed in intense hurricanes and the associated intense turbulence poses a severe  
33 threat to the manned research aircraft when it penetrates hurricane eyewalls at a lower  
34 altitude. In this study, a numerical experiment in which a TC evolves in a large-scale  
35 background over the western North Pacific is conducted using the Advanced Weather  
36 Research and Forecast (WRF) model by incorporating the large eddy simulation (LES)  
37 technique. The simulated tornado-scale vortex shows features similar to those revealed  
38 with limited observational data, including the updraft/downdraft couplet, the sudden jump  
39 of wind speeds, the location along the inner edge of the eyewall, and the small horizontal  
40 scale. It is suggested that the WRF-LES framework can successfully simulate the  
41 tornado-scale vortex with the grids at the resolution of 37 m that cover the TC eye and  
42 eyewall.

43 The simulated tornado-scale vortex is a cyclonic circulation with a small horizontal  
44 scale of ~1 km in the TCBL. It is accompanied by strong updrafts (more than  $15 \text{ m s}^{-1}$ )  
45 and large vertical components of relative vorticity (larger than  $0.2 \text{ s}^{-1}$ ). The tornado-scale  
46 vortex favorably occurs at the inner edge of the enhanced eyewall convection or rainband  
47 within the saturated, high- $\theta_e$  layer, mostly below the altitude of 2 km. Nearly in all the  
48 simulated tornado-scale vortices, the narrow intense updraft is coupled with the relatively  
49 broad downdraft, constituting one or two updraft/downdraft couplets, as observed by the  
50 research aircraft. The presence of the tornado-scale vortex also leads to significant  
51 gradients in the near surface wind speed and wind gusts.

53 **1. Introduction**

54 Tropical cyclones (TCs) pose a severe risk to life and property in TC-prone areas and  
55 the risk will increase due to the rapidly rising coastal population and buildings (Pielke et  
56 al. 2008; Zhang et al. 2009). One of the major TC threats is damaging winds. Uneven  
57 damage patterns often show horizontal scales ranging from a few hundred meters to  
58 several kilometers (Wakimoto and Black 1994; Wurman and Kosiba 2018), suggesting  
59 that TC threats are associated with both sustained winds and gusts. The latter are believed  
60 to result from small-scale coherent structures in the TC boundary layer (Wurman and  
61 Winslow 1998; Morrison et al. 2005; Lorsolo et al. 2008; Kosiba et al. 2013; Kosiba and  
62 Wurman 2014). The small-scale coherent structures may have significant implications for  
63 the vertical transport of energy in TCs and thus TC intensity and structure (Zhu 2008;  
64 Rotunno et al. 2009; Zhu et al. 2013; Green and Zhang 2014, 2015; Gao et al. 2017).  
65 While understanding of the coherent structure is very important for mitigating TC  
66 damage and understanding of TC intensity and structure changes, for now direct in situ  
67 observation and remote sensing measurements can only provide very limited information.

68 In the TC boundary layer (TCBL), observational analyses suggest that horizontal  
69 streamwise roll vortices prevail with sub-kilometer to multi-kilometer wavelengths  
70 (Wurman and Winslow 1998; Katsaros et al. 2002; Morrison et al. 2005; Lorsolo et al.  
71 2008; Ellis and Businger 2010; Foster, 2013). Studies found that the rolls can result from  
72 the inflection point instability of the horizontal wind profiles in the TCBL (Foster 2005;  
73 Gao and Ginis 2014) and have significant influences on the vertical transport of energy in  
74 TCs (Zhu 2008; Rotunno et al. 2009; Zhu et al. 2013; Green and Zhang 2014, 2015; Gao  
75 et al. 2017). The TCBL is known to play a critical role in transporting energy and

76 controlling TC intensity (Braun and Tao 2000; Rotunno et al. 2009; Smith and  
77 Montgomery 2010; Bryan 2012; Zhu et al. 2013; Green and Zhang 2015).

78 Another important small-scale feature is the so-called eyewall vorticity maximum  
79 (EVM) (Marks et al. 2008) or tornado-scale vortices in the TCBL (Wurman and Kosiba  
80 2018; Wu et al. 2018). So far, our understanding is mainly from a few observational  
81 analyses based on limited data collected during the research aircraft penetration of  
82 hurricane eyewalls. A WP-3D research aircraft from National Oceanic and Atmospheric  
83 Administration (NOAA) encountered three strong updraft-downdraft couplets within one  
84 minute while penetrating the eyewall of category 5 Hurricane Hugo (1989) at 450-m  
85 altitude (Marks et al. 2008). The severe turbulence caused the failure of one of the four  
86 engines and the people aboard were at a severe risk. The aircraft finally escaped with the  
87 help of a U. S. Air Force reconnaissance WC-130 aircraft, which found a safe way out  
88 through the eyewall on the northeast side of Hugo. Since then the aircraft mission has  
89 been prohibited in the boundary layer of the TC eyewall. Later analysis indicated that the  
90 dangerous turbulence was associated with a tornado-scale vortex, which is comparable to  
91 a weak tornado in terms of its diameter of about 1 km and the estimated peak cyclonic  
92 vorticity of  $0.125 \text{ s}^{-1}$  (Marks et al. 2008). Such strong turbulence was also observed in  
93 Hurricanes Isabel (2003) and Felix (2007) below 3 km (Aberson et al. 2006; Aberson et  
94 al. 2017). So far, little is known about the structure and evolution of the tornado-scale  
95 vortex.

96 With advances in numerical models and computational capability, the large eddy  
97 simulation (LES) technique has been incorporated into the Advanced Weather Research  
98 and Forecast (WRF) model (Mirocha et al. 2010) and an increasing number of TC

99 simulations have been conducted with horizontal grid spacing less than 1 km (Zhu 2008;  
100 Rotunno et al. 2009; Bryan et al. 2014; Stern and Bryan 2014; Rotunno and Bryan 2014;  
101 Green and Zhang 2015). In LES, the energy-producing scales of 3-dimensional (3D)  
102 atmospheric turbulence in the planetary boundary layer (PBL) are explicitly resolved,  
103 while the smaller-scale portion of the turbulence is parameterized (Mirocha et al. 2010).  
104 Effort has been made to simulate the structure of the TC PBL eddies and the associated  
105 influence on TC intensity. Zhu (2008) simulated the structure of the coherent large eddy  
106 circulations and the induced vertical transport using the WRF-LES framework with  
107 horizontal resolutions of 300 m and 100 m. When the horizontal resolution was increased  
108 from 185 to 62 m on the  $f$ -plane, Rotunno et al. (2009) found a sharp increase in  
109 randomly distributed small-scale turbulent eddies, while 1-minute mean TC intensity  
110 began to decrease. Green and Zhang (2015) performed several 6-hour one-way  
111 simulations of Hurricane Katrina (2005) without a boundary layer parameterization  
112 (horizontal resolutions of 333, 200, and 111 m). Rotunno et al. (2009) and Green and  
113 Zhang (2015) suggest that the horizontal resolution should be below 100 m to simulate  
114 the development of 3D turbulent eddies in TCBL. Ito et al. (2017) found that the near-  
115 surface coherent structures can be successfully simulated by using the horizontal  
116 resolution of 70 m, which appear to be caused by an inflection-point instability of both  
117 radial and tangential winds.

118 It is clear that understanding of the tornado-scale vortex would enhance the safety of  
119 flights into very intense TCs. In addition, the tornado-scale vortex may contribute to TC  
120 intensification by mixing the high-entropy air in the eye into the eyewall (Persing and  
121 Montgomery 2003; Montgomery et al. 2006; Aberson et al. 2006). By simulating the

122 tornado-scale vortex in the TCBL, this study will particularly focus on the spatial  
123 distribution of the occurrence of the tornado-scale vortex and the features of its 3D  
124 structures.

## 125 **2. The numerical experiment**

126 In this study a semi-idealized numerical simulation is conducted using version 3.2.1  
127 of the WRF model. Following Wu and Chen (2016), two steps were taken to construct  
128 the initial conditions for the numerical experiment. A symmetric vortex was first spun up  
129 without the environmental flow on an  $f$ -plane for 18 hours and then the vortex was  
130 embedded in the large-scale background of Typhoon Matsa (2005) from 0000 UTC 5  
131 August to 1200 UTC 6 August. The large-scale environment was derived from the  
132 National Centers for Environmental Prediction (NCEP) Final (FNL) Operational Global  
133 Analysis data with resolution of  $1.0^\circ \times 1.0^\circ$  using a 20-day low-pass Lanczos filter  
134 (Duchon 1979).

135 The spun-up vortex is initially located at the center of Typhoon Matsa ( $25.4^\circ\text{N}$ ,  
136  $123.0^\circ\text{E}$ ). The outermost domain (centered at  $30.0^\circ\text{N}$ ,  $132.5^\circ\text{E}$ ) covers an area of  
137  $6210 \times 6210$  km with a horizontal grid spacing of 27 km. The numerical experiment is  
138 designed with six two-way interactive domains embedded in the 27-km resolution  
139 domain to simulate energetic 3-dimensional turbulent eddies in the TC eyewall and their  
140 influence on the TC vortex, mesoscale rainbands and convective clouds. The horizontal  
141 spacing decreases by a factor of 3 with the domain level. The corresponding horizontal  
142 resolutions are 9 km, 3 km, 1 km,  $1/3$  km (333 m),  $1/9$  km ( $\sim 111$  m) and  $1/27$  km ( $\sim 37$  m)  
143 and the numbers of their grid meshes are  $230 \times 210$ ,  $432 \times 399$ ,  $333 \times 333$ ,  $501 \times 501$ ,  
144  $1351 \times 1351$ , and  $2431 \times 2431$ , respectively. The innermost domain covers the inner region

145 of the simulated TC (90×90 km), including the eye and eyewall. Except for the 27-km  
146 and 9-km resolution domains, the other domains move with the TC. The model consists  
147 of 75 vertical levels (19 levels below 2 km) with a top of 50 hPa. All lands in the model  
148 are removed and the experiment is run over the open ocean with a constant sea surface  
149 temperature of 29°C.

150 The physics options used in the simulation are as follows. The Kain-Fritsch cumulus  
151 parameterization scheme and the WRF single-moment 3-class scheme are used in the  
152 outermost domain (Kain and Fritsch 1993). The WRF 6-class scheme is selected in the  
153 nested domains with no cumulus parameterization scheme (Hong and Lim 2006). The  
154 Rapid Radiative Transfer Model (RRTM) and the Dudhia shortwave radiation scheme are  
155 used for calculating long-wave radiation and shortwave radiation (Mlawer et al. 1997;  
156 Dudhia 1989). The LES technique is used in the sub-kilometer domains (Mirocha et al.  
157 2010) and the Yonsei University scheme is adopted for PBL parameterization in the other  
158 domains (Noh et al. 2003).

159 The model is run for 36 hours and the 1/9-km-resolution and 1/27-km-resolution  
160 domains are activated at 24 h. In the following analysis, we will focus on the hourly  
161 output from 26 h to 36 h. The TC center is determined with a variational approach in  
162 which it is located until the maximum azimuthal-mean tangential wind speed is obtained  
163 (Wu et al. 2006).

### 164 **3. The simulated small-scale features**

165 The simulated TC takes a north northwest track (not shown). Figure 1 shows its  
166 intensity in terms of the maximum instantaneous and azimuthally averaged wind speeds  
167 at 10 m in the 1/27 km-resolution domain. The instantaneous winds are obtained directly

168 from the model output without any time averaging. The azimuthal wind speed is the wind  
169 speed averaged azimuthally with respect to the TC center. The instantaneous maximum  
170 wind speed fluctuates between  $61.8 \text{ m s}^{-1}$  and  $76.6 \text{ m s}^{-1}$  during the 12-hour period, while  
171 the fluctuations in the azimuthal maximum wind speed is relatively small, ranging from  
172  $43.5 \text{ m s}^{-1}$  to  $48.8 \text{ m s}^{-1}$ . In particular, the TC maintains the azimuthal mean maximum  
173 wind speed of  $\sim 45 \text{ m s}^{-1}$  after the innermost domain has been activated for two hours.

174 Figure 2a shows the simulated 500-m radar reflectivity at 27 h, indicating that the  
175 eyewall is open to the south of the TC center. We examine the radar reflectivity field and  
176 find that the opened eyewall persists during the 10-hour period (not shown). In addition,  
177 the location of the enhanced convection relative to the TC center is generally steady. It is  
178 well known that the eyewall asymmetry is associated with the vertical shear of the  
179 environmental flow (Frank and Ritchie 2001, Braun and Wu 2007). In this study the  
180 vertical wind shear is calculated as the difference of wind vectors between 200 hPa and  
181 850 hPa within a radius of 300 km. As shown in the figure, the mean shear is  $7.0 \text{ m s}^{-1}$  to  
182 the southeast over the 10-hour period. In agreement with the previous studies, the  
183 enhanced eyewall reflectivity is generally observed in the downshear left side. There are  
184 relatively small changes in the RMW during the 10-hour period, ranging from 28.2 km to  
185 30.7 km at 500 m.

186 Using the fine-scale dual Doppler data in the right front quadrant and eye of  
187 Hurricane Frances (2004) as it made landfall in Florida, Kosiba and Wurman (2014)  
188 found linear coherent structures with a wavelength of 400-500 m near the surface. Figure  
189 2b shows the simulated near-surface (10 m) wind speeds in the inner region at 27 h. The  
190 instantaneous wind speed is dominated by quasi-linear coherent structures in the eyewall



191 region. The intense instantaneous wind speeds coincide with the TC-scale shear-induced  
192 enhanced eyewall convection shown in Figure 2a. In order to show clearly the quasi-  
193 linear features, we plot the instantaneous wind speed in an area of  $10 \times 7$  km at this time  
194 (Fig. 3a). The small area is located in the eyewall to the east of the TC center (Fig. 2b).  
195 The streaks of alternating high and low wind speeds can be clearly seen, which are  
196 roughly aligned with the TC-scale flow with an outward angle. We can see that the  
197 instantaneous wind speed exhibits large gradients across the streaks.

198 Figure 3b shows the perturbation wind field at 500 m in the small area. The  
199 perturbation winds are obtained by subtracting an 8-km moving mean. We compared the  
200 perturbation winds with different sizes of the moving window. While the perturbation  
201 wind fields are very similar, the maximum wind speeds generally increase with the  
202 increasing window size. When the window size is larger than 8 km, there is little change  
203 in the perturbation wind speed. The simulated small-scale circulations are similar to those  
204 found from instead calculating the perturbations by subtracting the symmetric and  
205 wavenumber 1-3 components with respect to the TC center (not shown). In the  
206 perturbation wind field, we can see two small-scale cyclonic circulations. The most  
207 distinct one has a diameter of  $\sim 2$  km. In the next section, the two cyclones are identified  
208 as two tornado-scale vortices (M2701 and M2705). In the study, the simulated tornado-  
209 scale vortex is named with four digits. While the first two digits indicate the hours of the  
210 simulation, the last two digits is the series number at the same hour. Comparing Figs. 3a  
211 and 3b indicates that the two tornado-scale vortices also correspond to enhanced wind  
212 speeds at 10 m.

#### 213 **4. Identification of TSVs**

214 As mentioned in Section 1, analyses of a few real cases in Atlantic intense hurricanes  
215 indicate that the tornado-scale vortex is a small-scale feature that occurs in the turbulent  
216 TC boundary layer, with vertical motion and relative vorticity extremes. Aberson et al.  
217 (2006) and Aberson et al. (2017) analyzed the extreme updrafts in Hurricanes Isabel  
218 (2003) and Felix (2007) and suggested that the strong updrafts were likely associated  
219 with a small scale vortex. The updraft of  $22 \text{ m s}^{-1}$  in Isabel was detected by a GPS  
220 dropwindsonde just at about 1300 m (Aberson et al. 2006; Stern and Bryan 2018), while  
221 the updraft of  $31 \text{ m s}^{-1}$  in Hurricane Felix (2007) was observed at the flight altitude ( $\sim 3$   
222 km). Marks et al. (2008) found that the EVM in Hurricane Hugo (1989) was associated  
223 with a maximum vertical motion of  $21 \text{ m s}^{-1}$  and a maximum vertical relative vorticity of  
224  $0.125 \text{ s}^{-1}$  at the altitude of 450 m. Based on these studies, a small scale vortex associated  
225 with extreme wind speed can be treated as tornado-scale vortex (Wurman and Kosiba  
226 2018; Wu et al. 2018). The tornado-scale vortex in the simulated TC is subjectively  
227 defined as a small-scale cyclonic circulation with the diameter of 1-2 km below the  
228 altitude of 3 km, containing maximum upward motion larger than  $20 \text{ m s}^{-1}$  and maximum  
229 vertical relative vorticity larger than  $0.2 \text{ s}^{-1}$ . The grid points that satisfy the thresholds of  
230 vertical motion and vertical relative vorticity belong to the same tornado-scale vortex if  
231 they are within a distance of 1 km in the horizontal or vertical direction. We detect the  
232 tornado-scale vortices using the output at one-hour intervals from 26 h to 36 h. A few  
233 variables are also stored at 3-second intervals during a 22-minute period from the 30 h.

234 There are 24 tornado-scale vortices identified at the 11 hourly output times (Table 1).  
235 There are four tornado-scale vortices with the maximum vertical motion more than  $30 \text{ m}$   
236  $\text{s}^{-1}$  and the maximum vertical component of relative vorticity larger than  $0.4 \text{ s}^{-1}$ . Except

237 for the two tornado-scale vortices at 36 h, the others occur during 26 h-31 h with 10 cases  
238 at 27 h. The lull period is coincident with relatively weaker instantaneous maximum wind  
239 speed at 10 m although there is little difference in the azimuthal mean maximum wind  
240 speed (Fig. 1). Examination indicates that the 10-m instantaneous wind speed maximum  
241 at 27 h is associated with M2701. It is suggested that the tornado-scale vortex can lead to  
242 the strongest wind gust in a TC.

243       Some previous studies argued that the presence of mesovortices intensifies the TC by  
244 mixing the high-entropy air in the eye into the eyewall (Persing and Montgomery 2003;  
245 Montgomery et al. 2006; Aberson et al. 2006). As shown in Figure 1, the azimuthal-mean  
246 maximum wind speed does not show any jump at 27 h, when there are 10 identified  
247 tornado-scale vortices. In the following discussion, we will show that the mixing indeed  
248 exists, but its effect on the azimuthal maximum wind speed cannot be detected. It is  
249 similar with the conclusion from idealized numerical experiments conducted by Bryan  
250 and Rotunno (2009). In fact, the azimuthal maximum wind speed ( $\sim 45 \text{ m s}^{-1}$ ) is rather  
251 steady during the 10-hour period after the innermost domain has been activated for two  
252 hours.

253       The number of the identified tornado-scale vortices is sensitive to the threshold of  
254 vertical motion. If we relax the threshold of maximum vertical motion to  $15 \text{ m s}^{-1}$ , we can  
255 identify 89 tornado-scale vortices during the 10-hour period (Fig. 4a). Nearly all the  
256 tornado-scale vortices still occur in the same semicircle of the enhanced eyewall  
257 reflectivity. This relationship between the vertical wind shear orientation and the spatial  
258 distribution of extreme updrafts is consistent with what Stern et al. (2016) found from  
259 dropsonde observations in many storms. In our experiment, a few variables are also

260 stored at 3-second intervals during a 22-minute period from the 31th hour. The duration  
261 of the tornado-scale vortex is examined in the 3-second output. The duration is counted  
262 as the continuous period during which the maximum vertical motion and vertical relative  
263 vorticity are not less than the thresholds. For the thresholds of  $20 \text{ m s}^{-1}$  in vertical motion  
264 and  $0.2 \text{ s}^{-1}$  in vertical relative vorticity, the mean duration is 40 seconds and the longest is  
265 138 seconds. We can conclude that the identified tornado-scale vortices are not  
266 repeatedly counted in the hourly output. The durations of tornado-scale vortices are  
267 consistent with the observational and numerical studies (Wurman and Kosiba 2018; Stern  
268 and Bryan 2018).

## 269 **5. Spatial distribution of tornado-scale vortices**

270 Figure 4a shows the location of the maximum vertical motions of the detected  
271 tornado-scale vortices including 89 vortices identified with the threshold of maximum  
272 vertical motion of  $15 \text{ m s}^{-1}$ . Different criteria give similar distribution pattern of tornado-  
273 scale vortices, thus we just discuss the 24 tornado-scale vortices defined under the  
274 threshold of maximum vertical motion of  $20 \text{ m s}^{-1}$  in the following discussion. The  
275 tornado-scale vortices exclusively occur in the semicircle with intense convection from  
276 the southeast to the northwest (Fig. 2a). Nearly all of the identified cases occur in the  
277 inward side of the radius of maximum wind (RMW) or close to the RMW (e.g., Stern et  
278 al. 2016; Stern and Bryan 2018), with two exceptions that are located outside of the  
279 RMW (Fig. 4a). One is M2901, which is 11.8 km from the RMW, and the other is M3601  
280 being 7.3 km from the RMW (Table 1). Close examination indicates that these two  
281 tornado-scale vortices occur between two high reflectivity bands (not shown).

282 Although the real tornado-scale vortices were observed by chance, they were also  
 283 associated with the intense radar reflectivity within the hurricane eyewall and sharp  
 284 horizontal reflectivity gradients (Aberson et al. 2006, Marks et al. 2008 and Aberson et al.  
 285 2016). In agreement with these studies, all of the simulated tornado-scale vortices are  
 286 associated with sharp horizontal reflectivity gradients and most of them occur in the inner  
 287 edge of the intense eyewall convection within the RMW. As shown in Figure 2a, all of  
 288 the 10 cases at 27 h are located in the inner edge of the intense reflectivity. It is suggested  
 289 that the tornado-scale vortex favorably occurs at the inner edge of the intense eyewall  
 290 convection.

291 The gradient Richardson number (Ri) has largely been used as a criterion for  
 292 assessing the stability of stratified shear flow. It is defined by

$$293 \quad R_i = \frac{N^2}{S^2} \quad (1)$$

294 where  $N^2 = g \frac{\partial \ln \theta_e}{\partial z}$  is the square of Brunt–Väisälä frequency,  $S^2 = \left(\frac{\partial u}{\partial z}\right)^2 + \left(\frac{\partial v}{\partial z}\right)^2$  is the  
 295 square of vertical shear of the horizontal velocity,  $g$  is the gravity acceleration,  $\theta_e$  is the  
 296 equivalent potential temperature,  $u$  is the zonal wind speed, and  $v$  is the meridional wind  
 297 speed.  $z$  is the vertical coordinate. Using the smoothed fields, we also calculate the  
 298 Richardson number for each tornado-scale vortex (Table 1). It is calculated at each level  
 299 and then averaged over a layer between 200 m and 800 m within a radius of 1.5 km from  
 300 the location of the maximum vertical motion. The Richardson number is small, and it is  
 301 negative for seven cases. As suggested by Stern et al. (2016), the strong updraft is mainly  
 302 within a kilometer of the surface and it is implausible for buoyancy to be the primary  
 303 mechanism for vertical acceleration. In Figure 4a, the Richardson number is also plotted,

304 which is averaged over the 10-hour period. We can see that the tornado-scale vortices  
305 generally occur in the areas with the Richardson number less than 0.25. It is indicated  
306 that the flow in these areas is dynamically unstable and turbulent. The areas coincide  
307 with the semicircle of the enhanced eyewall convection. Figure 4b further shows the field  
308 of the Richardson number at 27 h. The 10 tornado-scale vortices are all in an environment  
309 with the Richardson number less than 0.1. Since the Richardson number is calculated as  
310 the ratio of the moist static stability to the vertical wind shear in the TCBL, we speculate  
311 that the strong vertical wind shear in the inward side of the intense eyewall convection is  
312 an important factor for the development of tornado-scale vortices.

313 Figure 5 shows the vertical cross sections of tangential wind, radial wind, vertical  
314 motion, reflectivity and vertical relative vorticity below 2.5 km, which are averaged in  
315 the northeast quadrant over the 10-hour period. Note that the radial locations of M2901  
316 and M3601 are not shown in Figure 5 due to the effect of the limited innermost domain  
317 on the calculation of the azimuthal mean. Note that there are relatively small changes in  
318 the RMW during the 10-hour period. The maximum vertical motions associated with the  
319 tornado-scale vortices are located inside the tilted RMW between the altitudes of 300 m  
320 and 1300 m. Most of them (71%) are found between 400 m and 600 m. The height of the  
321 maximum vertical motions becomes higher when the inflow layer deepens outward.  
322 Figures 5b and 5c further indicate that the tornado-scale vortices are generally found in  
323 the region of strong vertical motion averaged over the northeastern quadrant, where the  
324 vortices are detected, and large vertical relative vorticity with sharp horizontal reflectivity  
325 gradient on the inward side of the eyewall.

## 326 **6. Tornado-scale vortex structure**

327 Using the high-resolution model output, we can explore the structural features of the  
328 simulated tornado-scale vortex. After examination of all of the identified 24 tornado-scale  
329 vortices, we find that they can be classified into three categories based on their vertical  
330 structure, especially in terms of their vertical extent, stratification and near-surface wind  
331 jump.

332 The first category includes 17 cases, accounting for 71% of the total. Their structural  
333 features can be represented by M2701, one of the four strongest tornado-scale vortices,  
334 located 4.3 kilometers inward from the 500-m RMW (Table 1). In fact, the four strongest  
335 belong to the same category. In this category, nearly all of the maximum vertical motions  
336 occur around the altitude of 500 m, except M3001. The maximum vertical motion of  
337 M2701 is  $31.98 \text{ m s}^{-1}$  at the altitude of 400 m, while the maximum vertical relative  
338 vorticity of  $0.55 \text{ s}^{-1}$  occurs at 200 m (Table 1). The 3D structure of the tornado-scale  
339 vortex can be clearly demonstrated by the streamlines of perturbation winds near the  
340 strong updraft (Fig. 6). The flows curl cyclonically upward from the surface (Fig. 6a).  
341 The tornado-scale vortex is manifested by a small-scale circulation extending upward to  
342  $\sim 1.5 \text{ km}$ . In addition, the tornado-scale vortex is closely associated with  
343 updraft/downdraft couplets (Fig. 6b). Fig. 6c shows that the tornado-scale vortex is a  
344 complex twisted vortex system. The system has strong horizontal circulation below 1-km  
345 and it turns into vertical circulation as the height increases. So it contains both strong  
346 horizontal and vertical circulations.

347 Figure 7 shows the vertical cross section of vertical motion, equivalent potential  
348 temperature, and simulated radar reflectivity along the line in Figure 3b for M2701. The  
349 inflow from the outward side and the outflow from the eye side converge near the surface

350 to the strong updraft that is below  $\sim 1.5$  km. On the top of the updraft, there is a layer of  
351 the high equivalent potential temperature ( $\theta_e$ ) layer (Fig. 7b). To the eye side of the  
352 updraft, there is a high  $\theta_e$  layer below  $\sim 1.5$  km. The high  $\theta_e$  layer tilts upward and extends  
353 outward. The large radar reflectivity can be found below the high  $\theta_e$  layer (Fig. 7c). The  
354 intense updraft is located in the inner edge of the large radar reflectivity region. In  
355 addition, as suggested by Aberson et al. (2006) and Marks et al. (2008), the strong  
356 updraft is within a saturated layer (Fig. 8a), coinciding with high vertical relative  
357 vorticity (Fig. 8c).

358 To the right of the updraft (Fig. 7b), another high  $\theta_e$  layer can be seen at the altitude  
359 of  $\sim 500$  m. We check other cases in this category of vortices and find that the lower-  
360 altitude high  $\theta_e$  layer is not always present. The downward motion at  $\sim 500$  m may be  
361 responsible for the lower-altitude high  $\theta_e$  layer. The relatively low  $\theta_e$  near the surface  
362 corresponds to the inflow layer. The high  $\theta_e$  air meets with the cold inflow air, resulting  
363 in relatively lower  $\theta_e$  in the strong updraft. It is indicated that the high  $\theta_e$  air in the eye is  
364 locally entrained into the TC eyewall.

365 Some previous studies have shown that the quasi-linear bands are closely associated  
366 with the horizontal rolls in the TC boundary layer, with alternating upward and  
367 downward momentum transport on either side of the rolls (Wurman and Winslow 1998;  
368 Katsaros et al. 2002; Morrison et al. 2005; Lorsolo et al. 2008; Ellis and Businger 2010;  
369 Foster 2013). To demonstrate the relationship, Figure 8b shows the radial profile of  
370 winds along the line shown in Figure 3b and the corresponding wind speeds at 10 m and  
371 400 m. The figure clearly shows that the wind speed fluctuations at 10 m are associated



372 with the changes of the vertical motions in Fig. 7a. The wind speed jump (Fig. 8b) is  
373 significant across the intense updraft (Fig. 7a). At 10 m, the wind speed suddenly  
374 increases from  $\sim 30 \text{ m s}^{-1}$  to  $\sim 60 \text{ m s}^{-1}$ . Note that the wind speed jump is larger at 400 m,  
375 ranging from  $\sim 35 \text{ m s}^{-1}$  to  $\sim 95 \text{ m s}^{-1}$ . Marks et al. (2008) reported that the wind speed at  
376 450-m altitude increased rapidly from  $<40 \text{ m s}^{-1}$  to  $89 \text{ m s}^{-1}$  in the Hurricane Hugo (1989)  
377 when the NOAA research aircraft encountered an EVM. We argue that the superposition  
378 of the horizontal cyclonic circulation of the tornado-scale vortices plays an important role  
379 in enhancing wind gusts on its radially outward side.

380 There are three tornado-scale vortices in the second category, including M2706,  
381 M2707 and M2708. The structural features can be represented by M2708. In M2708, the  
382 maximum vertical motion and vertical relative vorticity occur at 900 m and 800 m,  
383 respectively (Table 1). The vertical motion of more than  $8 \text{ m s}^{-1}$  extends vertically from  
384 near the surface to  $\sim 2 \text{ km}$  (Fig. 9a). In this category, we cannot see the warm air with  
385 high  $\theta_e$  (Fig. 9b) and the strong updraft is located in a statically unstable stratification  
386 (Table 1). The wind speed at the altitude of 900 m varies by  $\sim 20 \text{ m s}^{-1}$  across the updraft,  
387 while the wind speed gradient is relatively weak at 10 m (Fig. 9c).

388 The third category includes four cases: M2600, M2703, M2705 and M3002, in which  
389 the updraft occurs in a statically stable stratification (Table 1). Here we use M3002 as an  
390 example to show its vertical structure. As shown in Fig. 10a, the updraft is elevated  
391 between 0.5 km and 2 km. The maximum vertical motion and relative vorticity are found  
392 at the altitude of 1300 m. In this category, a pronounced feature is the deep low  $\theta_e$  (less  
393 than 364 K) layer in the inflow layer (Fig. 10b). As shown in Figure 10c, the gradient of

394 the wind speed at 10 m is not clear while there is a speed jump of  $\sim 30 \text{ m s}^{-1}$  in the vicinity  
395 of the updraft at 1300 m.

396 Previous studies suggest that the horizontal resolution should be below 100 m to  
397 simulate the development of 3D turbulent eddies in TCBL (Rotunno et al. 2009; Green  
398 and Zhang 2015). Based on our numerical experiment, the tornado-scale vortex can be  
399 successfully simulated with the grids at the resolution of 37 m. It should be noted that we  
400 have 12 vertical levels below 1 km. Vertical resolution in the innermost domain is  
401 relatively coarse compared to the horizontal spacing of 37 m. We also conducted an  
402 experiment (not shown) with the innermost domain resolution of 111 m. In this  
403 experiment, the vertical resolution and horizontal resolution are comparable in the TC  
404 boundary layer, and the tornado-scale vortices can also be found in the experiment. At  
405 this time, we are not sure if these three categories represent different phases in the life  
406 cycle of these coherent structures, and since the 3-second output does not contain the  
407 thermodynamic variables, we cannot examine the hydrostatic stratification.

## 408 **7. Summary**

409 The tornado-scale vortex or EVM in the TCBL has been observed in intense  
410 hurricanes and is always associated with strong turbulence. To understand the  
411 complicated interactions of the large-scale background flow, TC vortex, mesoscale  
412 organization, down to fine-scale turbulent eddies, a numerical experiment in which a TC  
413 evolves in a typical large-scale background over the western North Pacific is conducted  
414 using the WRF-LES framework with six nested grids. The simulated tornado-scale vortex  
415 shows features similar to those revealed with limited observations. It is suggested that the

416 WRF-LES framework can successfully simulate the tornado-scale vortex with the grids at  
417 the resolution of 37 m that cover the TC eye and eyewall.

418 Following Wu et al. (2018), the tornado-scale vortex can be defined as a small-scale  
419 cyclonic circulation with the maximum vertical motion not less than  $20 \text{ m s}^{-1}$  and  
420 maximum vertical relative vorticity not less than  $0.2 \text{ s}^{-1}$ . A total of 24 tornado-scale  
421 vortices can be identified at the 11 hourly output times. Nearly all of them are within or  
422 close to the RMW. Most of them occur in the inward side of the intense eyewall  
423 convection, mostly below the altitude of 2 km. Tornado-scale vortices are mostly in  
424 neutral or stable stratification within the saturated, high- $\theta_e$  layer. The tornado-scale  
425 vortex generally occurs in the areas with the Richardson number less than 0.25. We  
426 speculate that the strong vertical wind shear in the inward side of the intense eyewall  
427 convection is an important factor for the development of tornado-scale vortices.

428 The simulated tornado-scale vortex has a small horizontal scale of 1-2 km in the  
429 TCBL. It is accompanied by strong updrafts and a cyclonic circulation with large vertical  
430 components of relative vorticity. The tornado-scale vortex is closely associated with  
431 horizontal rolls. In nearly all of the simulated tornado-scale vortex cases, the narrow  
432 intense updraft is coupled with the relatively broad downdraft (figures not shown),  
433 constituting an updraft/downdraft couplet or horizontal rolling vortex, as observed by the  
434 research aircraft. Since the tornado-scale vortex is associated with intense updrafts and  
435 strong wind gusts, its presence can pose a severe threat to the eyewall penetration of  
436 manned research aircraft and the strong wind gusts associated with tornado-scale vortices  
437 can pose a severe risk to coastal life and property.

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442 Natural Science Fund Project (BK20150910). The numerical simulation was carried out  
443 on the Tianhe Supercomputer, China.

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577 **Table caption**

578 Table 1 List of the identified tornado-scale vortices in the TCBL with the maximum  
579 updraft ( $\text{m s}^{-1}$ ) and vertical relative vorticity ( $\text{s}^{-1}$ ) and the corresponding altitudes (m) in  
580 the parentheses. The location column lists the radial distance from the TC center and the  
581 relative distance to the 500-m radius of maximum wind in the parentheses. The  
582 Richardson number (Ri) is averaged over the layer between 200-800 m within a radius of  
583 1.5 km. The four strongest EVMs are indicated in bold.

584

585 **Figure captions**

586 Figure 1 Intensity of the simulated tropical cyclone during 24-36 h in terms of  
587 maximum instantaneous (red) and azimuthal-mean (blue) instantaneous wind  
588 speeds at 10 m.

589 Figure 2 Simulated radar reflectivity (dBZ) at 500 m (a) and wind speed ( $\text{m s}^{-1}$ ) at 10  
590 m (b) within an area of  $80 \times 80$  km at 27 h. The plus signs and solid circles  
591 indicate the TC center and the radius of maximum wind at 500 m. The red dots  
592 indicate locations of tornado-scale vortices. The rectangle shows the area used  
593 in Fig. 3a. The arrow shows the vertical wind shear of  $7.0 \text{ m s}^{-1}$  between 200  
594 hPa and 850 hPa.

595 Figure 3 (a) 10-m wind speed ( $\text{m s}^{-1}$ ) and wind vectors and (b) the perturbation wind  
596 vectors and vertical component of relative vorticity (shading) at 500 m in the  
597 area shown in Fig. 2b. The straight line is the location of the vertical cross

598 section in Figure 7 and M2701 and M2705 are the two tornado-scale vortices in  
599 the small area. The blue dots indicate their locations.

600 Figure 4 (a) Horizontal distribution of the tornado-scale vortices identified with the  
601 thresholds of  $15 \text{ m s}^{-1}$  (yellow dots) and  $20 \text{ m s}^{-1}$  (red dots) in vertical motion  
602 and the Richardson number (shading) averaged over 26-36 h; (b) the same as  
603 (a), but for 27 h. The solid circle is the 500-m radius of maximum wind and  
604 dashed circles indicate the distances from the TC center at 10-km intervals.

605 Figure 5 Radial-height cross sections of (a) tangential (shading) and radial (contour,  
606 interval:  $2 \text{ m s}^{-1}$ ) wind speeds, (b) upward motion (contour, interval:  $0.5 \text{ m s}^{-1}$ )  
607 and radar reflectivity (shading), and (c) tangential wind (contour, interval:  $4 \text{ ms}^{-1}$ )  
608 and the vertical component of relative vorticity (shading, unit:  $\text{s}^{-1}$ ), which are  
609 averaged over the northeastern quadrant during 26 h-36 h. The dots are the  
610 locations of identified tornado-scale vortices. The dashed white lines indicate  
611 the radius of maximum wind. The vertical and horizontal axes indicate the  
612 altitude (km) from the surface and the relative distances (km) from the TC  
613 center.

614 Figure 6 (a) The streamlines of the horizontal perturbation winds for M2701 and the  
615 wind speed (shading) at the altitude of 10 m. (b) The nearly vertical slice of the  
616 perturbation winds for M2701 with the red oval indicating the  
617 updraft/downdraft couplet. (c) The stream lines of the three dimensional  
618 perturbation wind for M2701. The warm (cold) color of the streamline indicates  
619 the upward (downward) vertical velocity perturbation and the vectors show the

620 near-surface wind fields. The vertical and horizontal axes indicate the altitude  
621 (km) from the surface and the relative distances (km) from the nearest corner,  
622 respectively.

623 Figure 7 The radial-height cross sections of the perturbation winds (vector) and (a)  
624 perturbation vertical motion, (b) equivalent potential temperature, and (c) radar  
625 reflectivity (shading) for M2701 along the line in Figure 3b. The abscissa  
626 indicates the relative outward distance.

627 Figure 8 (a) The radial-height cross section of perturbation winds (vector) and  
628 relative humidity (shading) for M2701, (b) the 400-m (blue) and 10-m (black)  
629 wind speeds and the 400-m vertical relative vorticity for M2701 along the line  
630 in Figure 3b. The abscissa indicates the relative outward distance.

631 Figure 9 The radial-height cross sections of the perturbation winds (vector) and (a)  
632 perturbation vertical motion, (b) equivalent potential temperature for M2708,  
633 and (c) the corresponding 900-m (blue) and 10-m (black) wind speeds. The  
634 abscissa indicates the relative outward distance.

635 Figure 10 The radial-height cross sections of the perturbation winds (vector) and (a)  
636 perturbation vertical motion, (b) equivalent potential temperature for M3002,  
637 and (c) the corresponding 1300-m (blue) and 10-m (black) wind speeds. The  
638 abscissa indicates the relative outward distance.

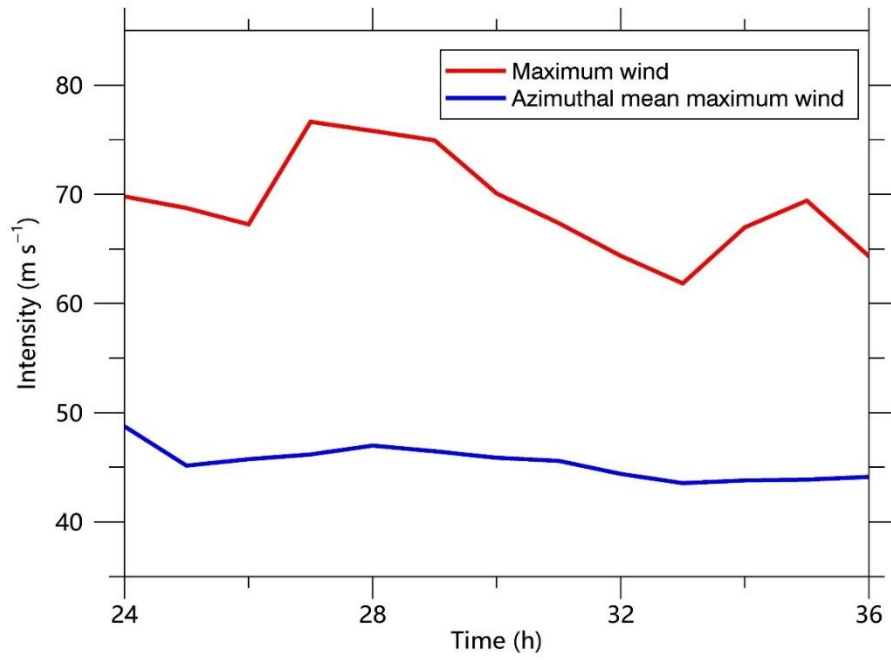
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641 Table 2 List of the identified tornado-scale vortices in the TCBL with the maximum  
642 updraft ( $\text{m s}^{-1}$ ) and vertical relative vorticity ( $\text{s}^{-1}$ ) and the corresponding altitudes (m) in  
643 the parentheses. The location column lists the radial distance from the TC center and the  
644 relative distance to the 500-m radius of maximum wind in the parentheses. The  
645 Richardson number (Ri) is averaged over the layer between 200-800 m within a radius of  
646 1.5 km. The four strongest EVMs are indicated in bold.

No.	Updraft	Vorticity	Location	Ri
M2600	22.75(800)	0.36(400)	23.6 (-5.5)	0.095
M2601	22.39(600)	0.23(500)	25.3 (-3.8)	0.111
M2700	27.37(500)	0.45(200)	25.6 (-3.0)	0.017
<b>M2701</b>	<b>31.98(400)</b>	<b>0.55(200)</b>	<b>24.3 (-4.3)</b>	<b>-0.008</b>
M2702	21.40(300)	0.30(300)	21.1 (-7.5)	0.029
M2703	20.46(400)	0.23(400)	27.9 (-0.7)	0.013
M2704	27.76(500)	0.34(400)	22.8 (-5.8)	0.032
M2705	22.26(600)	0.24(600)	27.9 (-0.7)	0.038
M2706	20.93(600)	0.23(500)	20.7 (-7.9)	-0.031
M2707	20.30(700)	0.21(700)	29.6 (1.0)	-0.011
M2708	22.20(900)	0.29(800)	31.2 (2.6)	-0.037
M2709	21.49(800)	0.22(800)	22.8 (-5.8)	0.052
M2800	20.12(400)	0.23(400)	27.0 (-1.7)	0.030
M2801	24.36(600)	0.39(400)	24.2 (-4.5)	-0.037
M2802	22.14(600)	0.30(500)	29.0 (0.3)	0.029
M2803	20.14(500)	0.23(500)	26.6 (-2.1)	0.025
<b>M2900</b>	<b>34.98(400)</b>	<b>0.48(200)</b>	<b>27.5 (-1.7)</b>	<b>0.042</b>
M2901	20.95(400)	0.21(400)	41.0 (11.8)	0.017
<b>M3000</b>	<b>35.77(400)</b>	<b>0.48(300)</b>	<b>28.1 (-0.1)</b>	<b>0.044</b>
<b>M3001</b>	<b>38.33(900)</b>	<b>0.49(400)</b>	<b>27.7 (-0.5)</b>	<b>0.067</b>
M3002	21.43(1300)	0.29(1300)	29.8 (1.6)	0.083
M3100	20.87(600)	0.24(700)	25.1 (-3.3)	-0.106
M3600	22.00(400)	0.35(400)	24.1 (-6.6)	0.146
M3601	22.68(600)	0.23(500)	38.0 (7.3)	-0.073

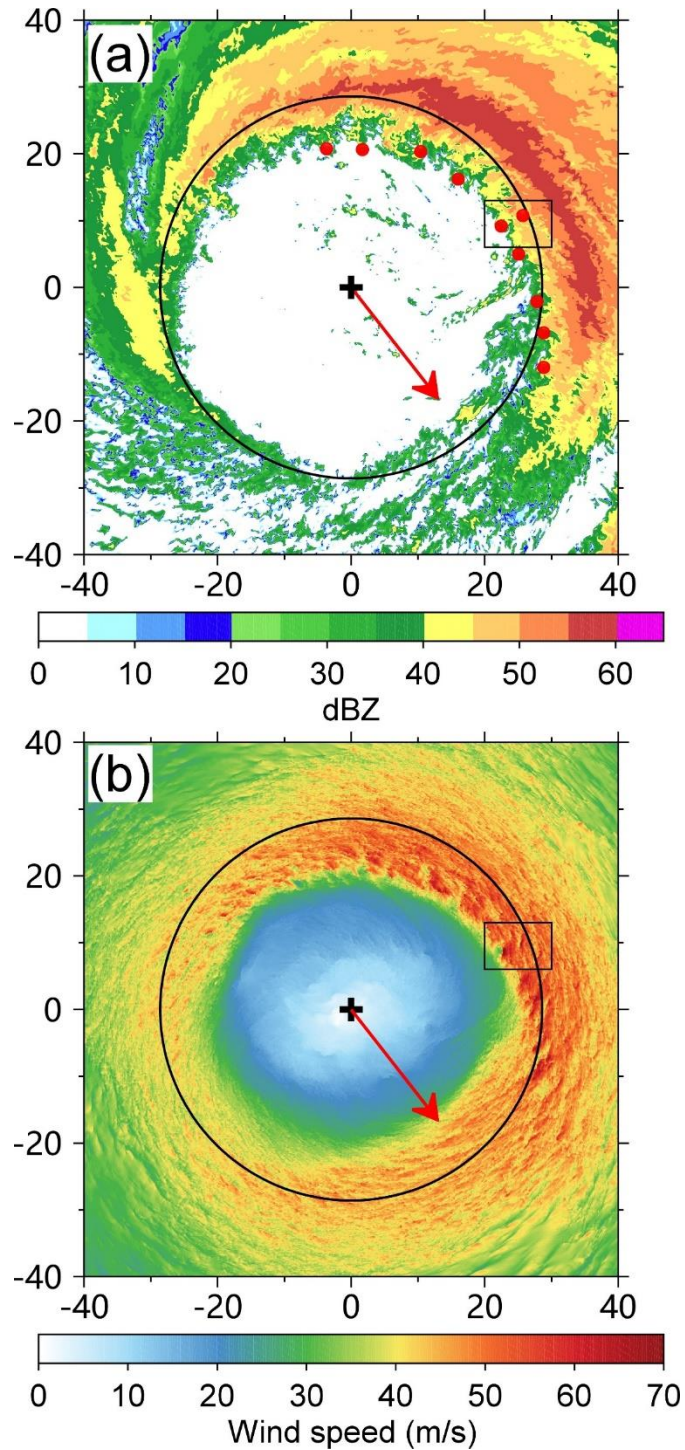
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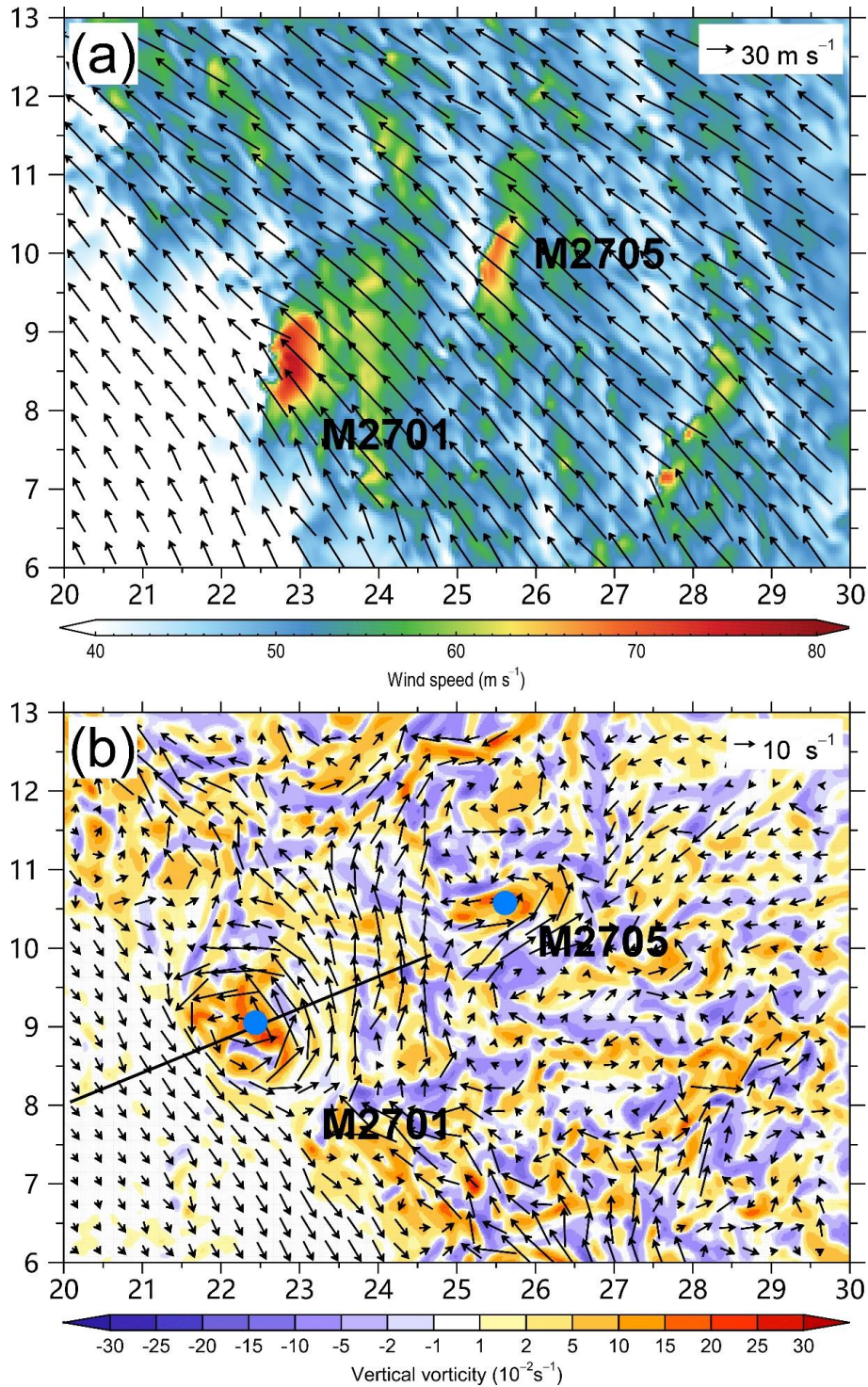
649 Figure 1 Intensity of the simulated tropical cyclone during 24-36 h in terms of maximum  
650 instantaneous (red) and azimuthal-mean (blue) instantaneous wind speeds at 10 m.

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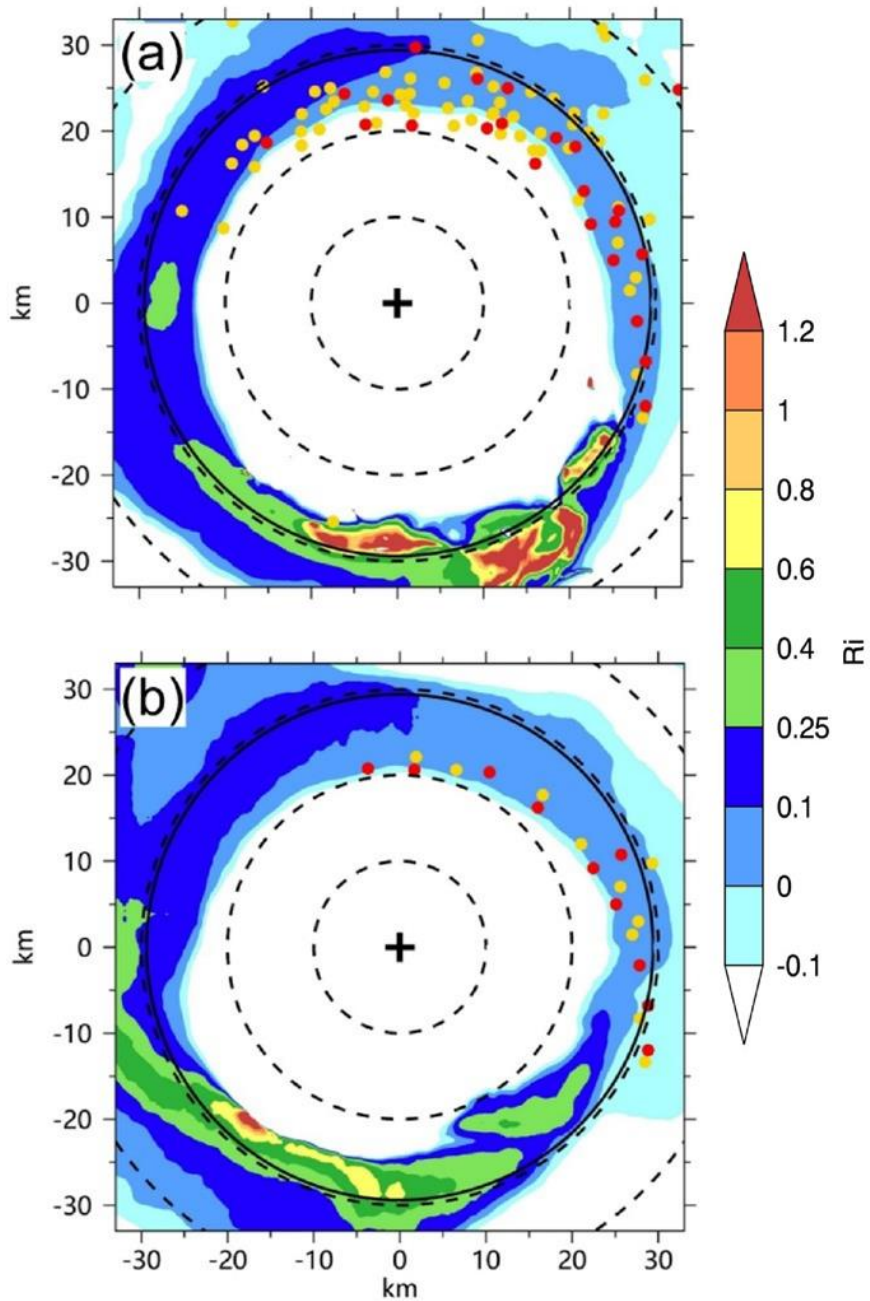
653 Figure 2 Simulated radar reflectivity (dBZ) at 500 m (a) and wind speed ( $\text{m s}^{-1}$ ) at 10 m  
 654 (b) within an area of  $80 \times 80$  km at 27 h. The plus signs and solid circles indicate the TC  
 655 center and the radius of maximum wind at 500 m. The red dots indicate locations of tornado-  
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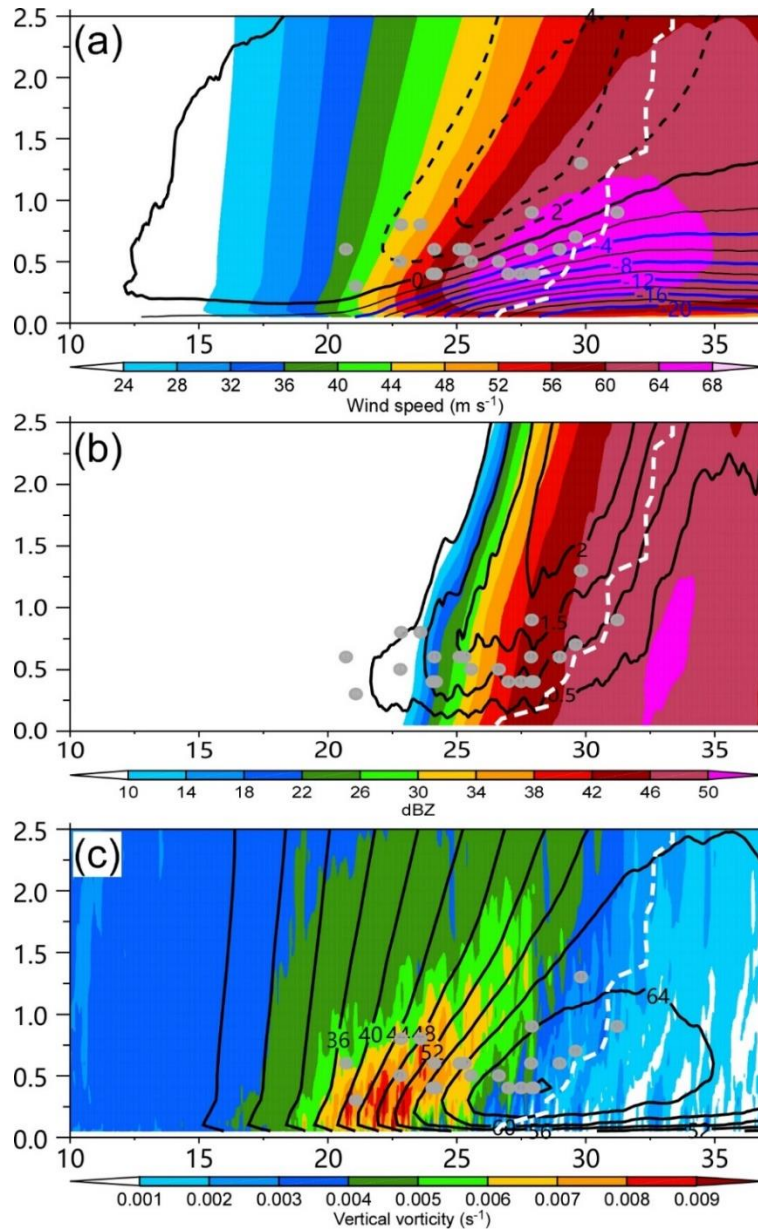
659 Figure 3 (a) 10-m wind speed ( $\text{m s}^{-1}$ ) and wind vectors and (b) the perturbation wind  
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 662 M2701 and M2705 are the two tornado-scale vortices in the small area. The blue dots  
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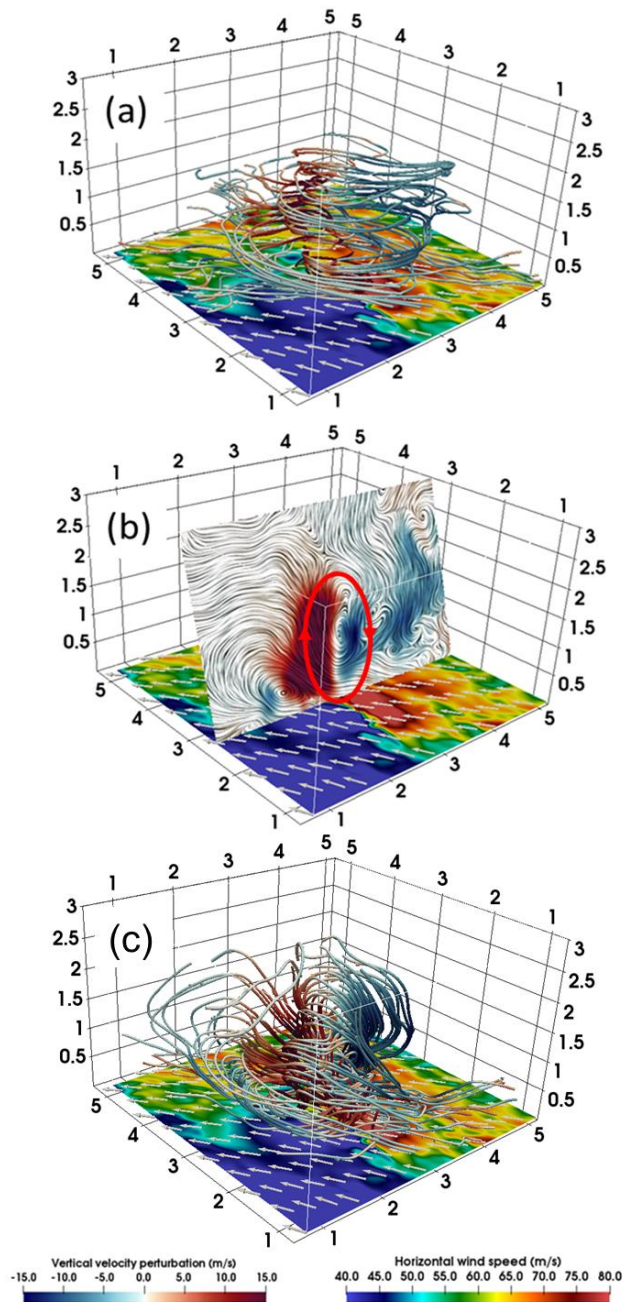
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665 Figure 4 (a) Horizontal distribution of the tornado-scale vortices identified with the  
 666 thresholds of  $15 \text{ m s}^{-1}$  (yellow dots) and  $20 \text{ m s}^{-1}$  (red dots) in vertical motion and the  
 667 Richardson number (shading) averaged over 26-36 h; (b) the same as (a), but for 27 h.  
 668 The solid circle is the 500-m radius of maximum wind and dashed circles indicate the  
 669 distances from the TC center at 10-km intervals.



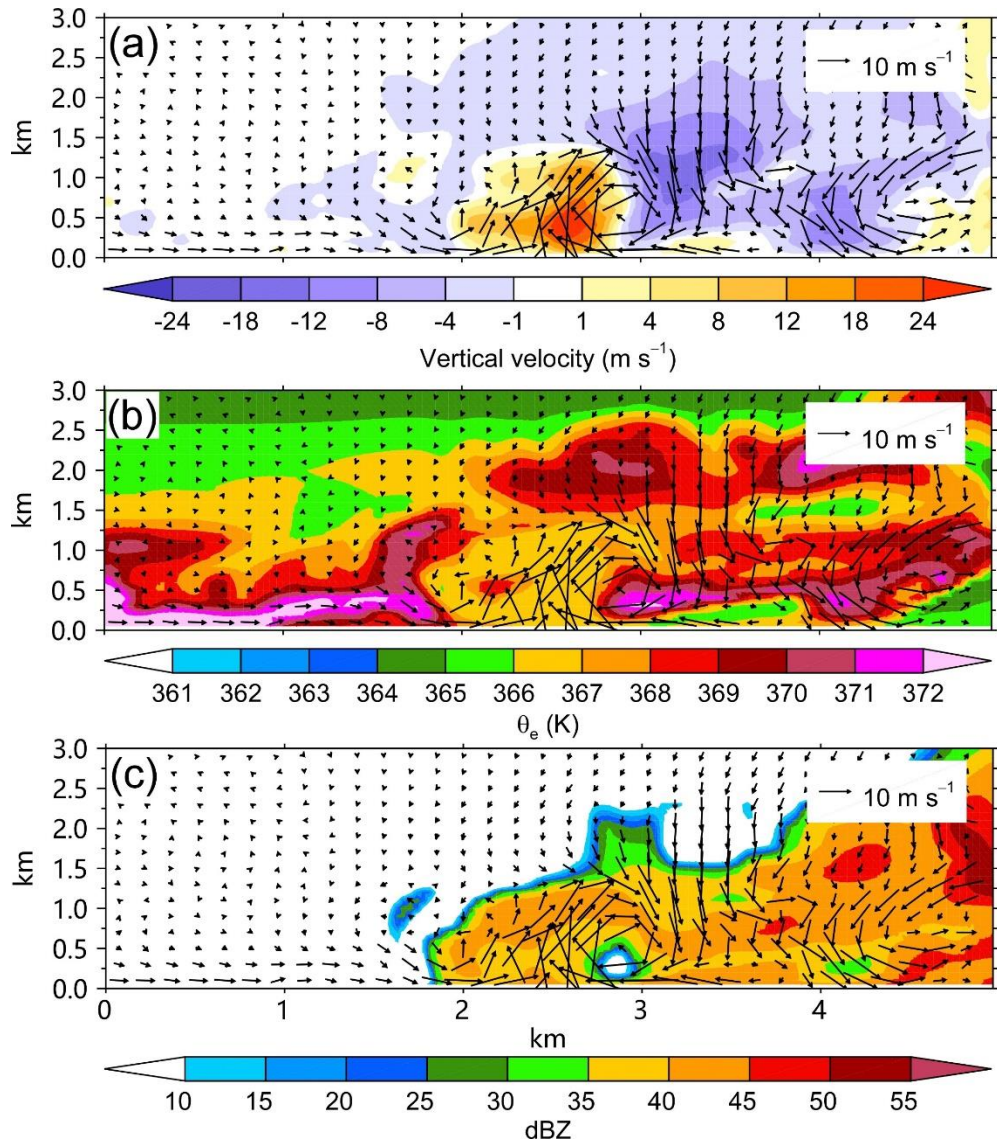
670

671 Figure 5 Radial-height cross sections of (a) tangential (shading) and radial (contour,  
 672 interval:  $2 \text{ m s}^{-1}$ ) wind speeds, (b) upward motion (contour, interval:  $0.5 \text{ m s}^{-1}$ ) and radar  
 673 reflectivity (shading), and (c) tangential wind (contour, interval:  $4 \text{ m s}^{-1}$ ) and the vertical  
 674 component of relative vorticity (shading, unit:  $\text{s}^{-1}$ ), which are averaged over the  
 675 northeastern quadrant during 26 h-36 h. The dots are the locations of identified tornado-  
 676 scale vortices. The dashed white lines indicate the radius of maximum wind. The vertical  
 677 and horizontal axes indicate the altitude (km) from the surface and the relative distances  
 678 (km) from the TC center.



679

680 Figure 6 (a) The streamlines of the horizontal perturbation winds for M2701 and the wind  
 681 speed (shading) at the altitude of 10 m. (b) The nearly vertical slice of the perturbation  
 682 winds for M2701 with the red oval indicating the updraft/downdraft couplet. (c) The  
 683 stream lines of the three dimensional perturbation wind for M2701. The warm (cold)  
 684 color of the streamline indicates the upward (downward) vertical velocity perturbation  
 685 and the vectors show the near-surface wind fields. The vertical and horizontal axes  
 686 indicate the altitude (km) from the surface and the relative distances (km) from the  
 687 nearest corner, respectively.

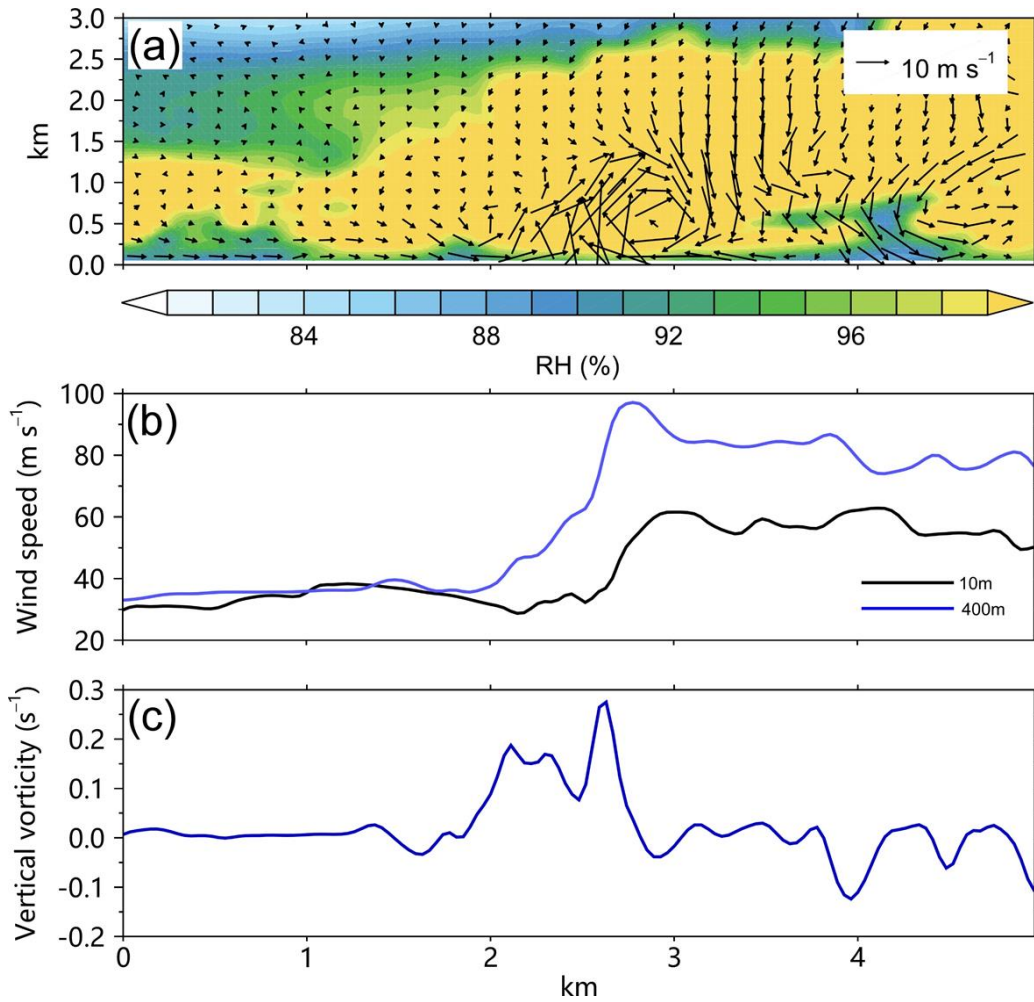


688

689 Figure 7 The radial-height cross sections of the perturbation winds (vector) and (a)  
 690 perturbation vertical motion, (b) equivalent potential temperature, and (c)  
 691 radar reflectivity (shading) for M2701 along the line in Figure 3b. The abscissa indicates the  
 692 relative outward distance.

693

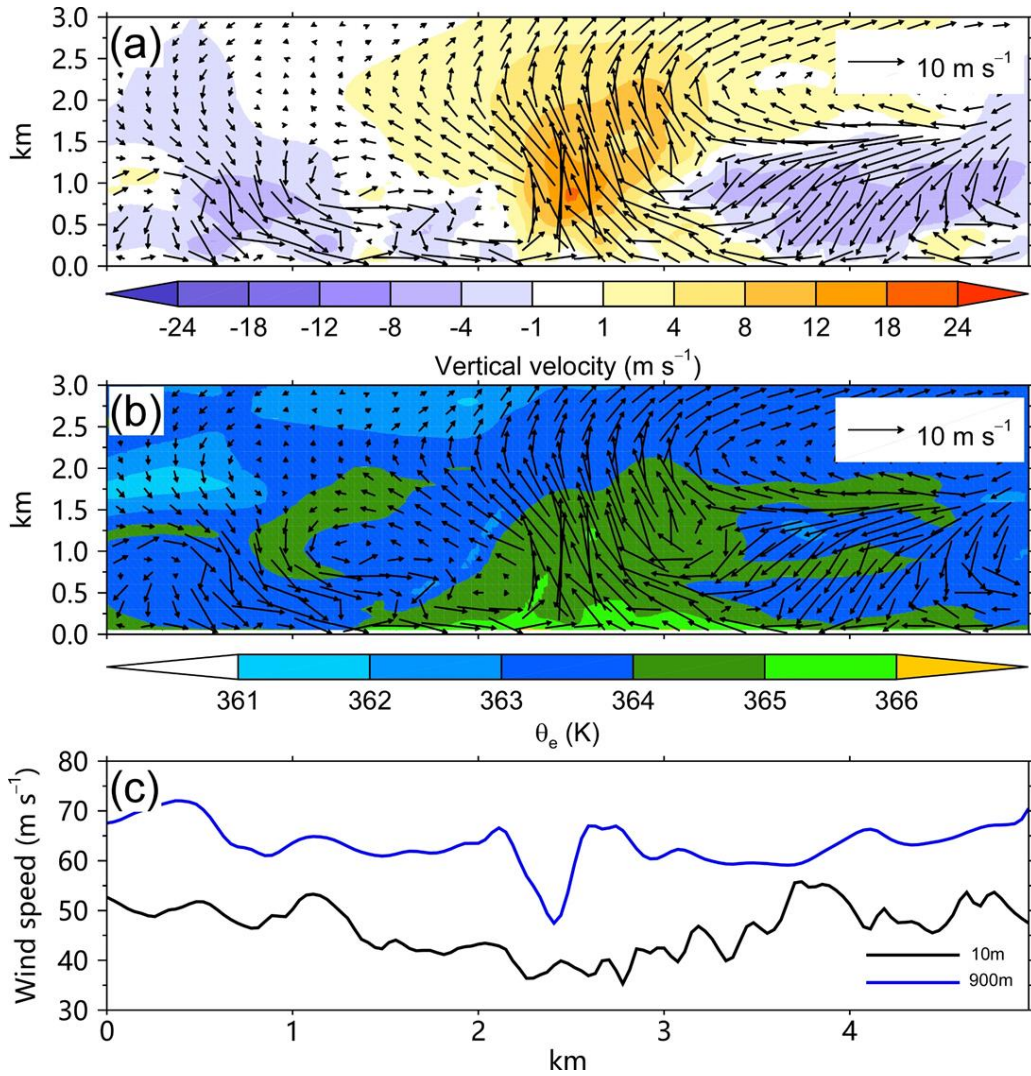
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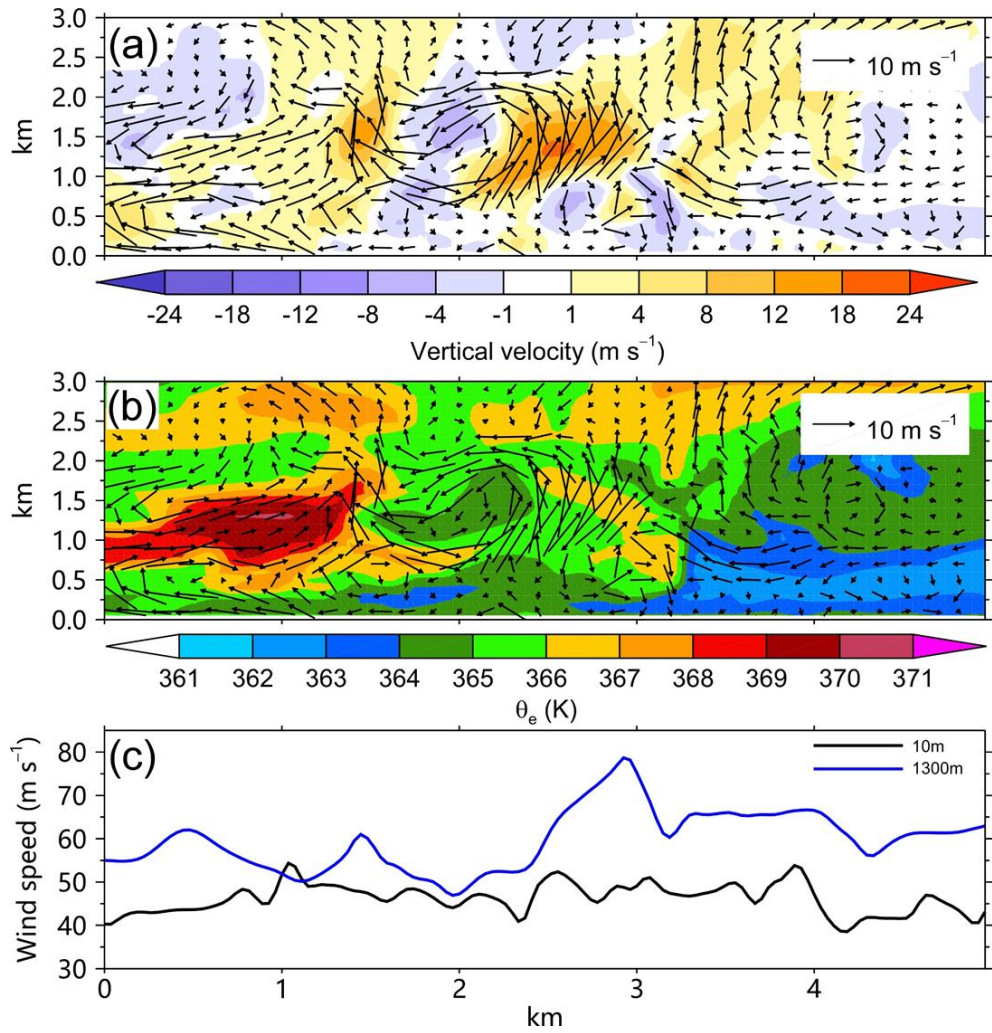
696 Figure 8 (a) The radial-height cross section of perturbation winds (vector) and relative  
 697 humidity (shading) for M2701, (b) the 400-m (blue) and 10-m (black) wind speeds and  
 698 the 400-m vertical relative vorticity for M2701 along the line in Figure 3b. The abscissa  
 699 indicates the relative outward distance.

700



701

702 Figure 9 The radial-height cross sections of the perturbation winds (vector) and (a)  
 703 perturbation vertical motion, (b) equivalent potential temperature for M2708, and (c) the  
 704 corresponding 900-m (blue) and 10-m (black) wind speeds. The abscissa indicates the  
 705 relative outward distance.



706

707 Figure 10 The radial-height cross sections of the perturbation winds (vector) and (a)  
 708 perturbation vertical motion, (b) equivalent potential temperature for M3002, and (c) the  
 709 corresponding 1300-m (blue) and 10-m (black) wind speeds. The abscissa indicates the  
 710 relative outward distance.

711