



Evolutionary Characteristics of Lightning and Radar Echo Structure in Thunderstorms Based on the TRMM satellite

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1 **Abstract:** Based on the 16-years Tropical Rainfall Measuring Mission (TRMM)
2 satellite observational data, the convective characteristics of thunderstorms over
3 different topographic regions, as well as their radar echo structural and lightning
4 activity, are analyzed. The results reveal that thunderstorms over the Tibetan Plateau
5 have weak lightning frequency and small horizontal scale, but their occurrence
6 frequency is the largest, accounting for ~20% of total precipitation events, followed
7 by 10% over the adjacent foothills to the east and hilly land in southern China, with
8 the lowest occurrence frequency (~3%) over the ocean. The 30 dBZ echo top height is
9 a good indicator to predict the occurrence probability of lightning in convective storm,
10 which is more concise and intuitive than the 20 and 40 dBZ echo top heights.
11 Integrating the ratio of convective rainfall to total rainfall and the three-dimensional
12 radar echo structure features to identify thunderstorm life-cycle stages has been
13 proved to be a useful method, and which can help us further explore and maximize the
14 usage of the valuable convective event data from non-geostationary satellites. It is
15 found that the development of dynamic process, which refers to radar echo vertical
16 structure, precedes the lightning activity during the evolution of thunderstorms.
17 Although both lightning activity and radar echo structure peaked at the mature stage,
18 thunderstorms before reaching the mature stage are stronger in radar echo vertical
19 structure while weaker in lightning activity, and vice versa after the mature stage.
20 Even during the dissipating stage of thunderstorm, there still some lightning was
21 observed.

22



23 Introduction

24 Thunderstorms are responsible for the development and formation of many
25 severe weather phenomena, e.g., damaging wind gusts, large hail, flash floods,
26 lightning, and tornadoes, and usually result in serious loss of human property and
27 lives. Moreover, thunderstorms play a vital role in near-surface water and pollutants
28 entering the stratosphere due to vertical convective transport (Park et al., 2007;
29 Randel et al., 2010; Qie et al., 2014). This is a hot scientific topic in recent years with
30 global climate change. However, as thunderstorms usually occur randomly in time
31 and space, which limits the effective detection of thunderstorms, understanding of the
32 thunderstorm formation mechanism and forecasting of thunderstorms still requires
33 more in-depth study.

34 The Tropical Rainfall Measuring Mission (TRMM) satellite (Kummerow et al.,
35 1998, 2000) was launched in 1997 and officially ended on 15 April 2015 after the
36 spacecraft depleted its fuel reserves. It provided groundbreaking three-dimensional
37 (3D) images of rain and storms for 17 years, far beyond the expected 3–5 year
38 lifetime. Using the long-term and high-quality data from the TRMM satellite, global
39 and regional rainfall, convective systems, and thunderstorms have been widely
40 studied, and many useful and valuable scientific results have been obtained (e.g.,
41 Nesbitt et al., 2000; Cecil et al., 2005; Zipser et al., 2006; Liu et al., 2007; Houze et
42 al., 2015). The geographical distribution of the most intense storms (Zipser et al.,
43 2006), deep convection (Liu and Zipser, 2005; Liu et al., 2007), and lightning (Cecil
44 et al., 2014; Albrecht et al., 2016) over the global tropics have been investigated in



45 detail. These studies indicate that the deepest convection mainly occurs over the
46 tropics (Liu and Zipser, 2005; Liu et al., 2007), the highest lightning frequency and
47 most intense storms are mainly found over continental regions, and the highest
48 density of thunderstorms is located in the subtropics (Zipser et al., 2006; Cecil et al.,
49 2014; Albrecht et al., 2016). In addition, the regional, seasonal, and diurnal variations
50 of different types of extreme convection over subtropical South America (Rasmussen
51 et al., 2014), the South Asian region (Romatschke et al., 2010; Qie et al., 2014), and
52 the Himalayan region (Houze et al., 2007; Wu et al., 2016) have been studied. It has
53 been found that the most intense convection occurs upstream of and over the lower
54 elevations of mountain barriers (Houze et al., 2007; Wu et al., 2016), while mesoscale
55 convective systems with the most robust stratiform regions occur primarily in the
56 rainiest season and regions (Romatschke et al., 2010). All these results reveal that the
57 distribution of convection has obvious regional differences, which means that the
58 occurrence and development of convective systems are closely related to regional
59 atmospheric conditions and topographical features.

60 Many studies (Reynolds et al., 1957; Takahashi, 1978; Jayaratne et al., 1983;
61 Saunders et al., 1991; Bürgesser et al., 2006) have suggested that the juxtaposition of
62 updraft and mixed-phase microphysics (0 to -40°C) provides favorable conditions
63 where non-inductive charging can efficiently occur via collision and separation
64 between graupel/hail and ice crystals in the presence of supercooled liquid water in
65 thunderclouds. Therefore, lightning activity is closely linked to the dynamic and
66 microphysical processes of thunderclouds and it considered to be an excellent



67 indicator for studying convective intensity of vigorous thunderstorm (Ingersoll et al.,
68 2000; Deierling and Petersen, 2008; Qie et al., 2015). A rapid increase in lightning
69 frequency means that the cloud updraft has entered its most vigorous phase and the
70 intense updrafts in thunderclouds usually produce large hail, lightning, heavy rain,
71 tornadoes, and so on. In recent years, lightning data has become an important
72 supplement in the study of severe convective event with the continuous improvement
73 of detection technology, as well as the accumulation of high-quality lightning data.
74 The relationships between lightning flash rate and thundercloud radar reflectivity
75 structure characteristics, such as maximum echo top height (Ushio et al., 2001) and
76 maximum radar reflectivity at different altitudes (Cecil et al., 2005; Pessi and
77 Businger, 2009), have been studied. In addition, the relationship between lightning
78 and precipitation (Petersen and Rutledge, 1998; Takayabu, 2006; Iordanidou et al.,
79 2016; Zheng et al., 2016), ice-water content retrieved from radar reflectivity (Petersen
80 et al., 2005; Deierling and Petersen, 2008), and ice scattering signatures (85 GHz and
81 37 GHz polarization-corrected temperature) (Toracinta et al., 2002) have also been
82 studied. Based on these reported relationships between lightning and convective
83 properties, a variety of lightning data assimilation techniques have been explored and
84 applied in mesoscale forecast models, which have been shown to be effective in
85 improving simulation results (Mansell et al., 2007; Fierro et al., 2013; Qie et al.,
86 2014). It can be seen that there have been many useful results about lightning and the
87 convective properties of thunderstorms based on observational data from the TRMM
88 satellite, but, a further in-depth study of the interaction and evolution of lightning



89 process and dynamic and microphysical processes is still required, which can deepen
90 the understanding of the formation process of thunderstorms and help improve the
91 effectiveness and reliability of lightning data assimilation techniques. It is generally
92 accepted that the stronger the convective intensity of a thunderstorm, the greater the
93 corresponding lightning flash rate. However, the relationship between lightning flash
94 rate and convective intensity in some thunderstorms does not follow this pattern,
95 especially those convective storm events that can only observe about 80 seconds at a
96 time by non-geostationary satellites (i.e., the TRMM and the GPM). For example,
97 some storms have a strong radar echo structure (maximum reflectivity exceeding 40
98 dBZ) but no lightning is observed by the lightning imaging sensor (LIS), in contrast,
99 some storms have lightning but the maximum radar reflectivity does not exceed 40
100 dBZ — a similar situation also occurs in the most intense storms. This has caused
101 some confusion and misunderstanding of the relationship between lightning and
102 convective intensity in thunderstorms.

103 Accordingly, the purpose of the present study is to investigate the occurrence of
104 lightning in convective systems and the variation in the characteristics of lightning
105 and radar echo structure with the evolution of thunderstorms using the 16-yr TRMM
106 data. The data and method adopted in this study are described first. Then, the
107 characteristics of thunderstorms over different terrain conditions, the occurrence of
108 lightning in convective systems, and the pattern of lightning and intense echo core in
109 three types of the most intense thunderstorms are discussed. Furthermore, a schematic
110 is concluded and established to illustrate the patterns of lightning and radar echo



111 structure in different evolution stages of thunderstorms. Finally, the main conclusions
112 are summarized.

113

114 **2 Data and methods**

115 Convective systems mainly distribute in the tropics while the most intense
116 thunderstorms more locate in subtropical regions, their occurrence and distribution are
117 closely related to the atmospheric circulation and terrain conditions (Zipser et al.,
118 2006; Houze et al., 2007; Wu et al., 2016). Based on this, the subtropical region of
119 East Asia is selected as the study area in this paper. Its specific scope and topographic
120 features are shown in Fig. 1. The study area is further divided into four adjacent
121 subregions from west to east according to the different terrain conditions: the Tibetan
122 Plateau, the eastern foothills, the hilly land in southern China, and the ocean (mainly
123 the coastal ocean).

124 Information on the convective precipitation systems in this study was extracted
125 from the TRMM precipitation radar (PR) and lightning imaging sensor (LIS)
126 observational data from 1998 to 2013; the data in August 2001 are excluded due to the
127 data-quality issues associated with the TRMM satellite orbit boost (Zipser et al.,
128 2006). The TRMM PR provides the 3D vertical structure features and the LIS
129 provides the lightning flash count and view time of thunderstorms (Kummerow et al.,
130 1998, 2000). To statistically analyze the climatology characteristics of thunderstorms
131 over the study region, it is necessary to identify convective systems in the TRMM PR
132 orbital data. The precipitation features (PFs) from the University of Utah TRMM



133 database (<http://trmm.chpc.utah.edu/>) are adopted in this study, defined by Nesbitt et
134 al. (2000) and Liu et al. (2008) as contiguous TRMM PR 2A25 (Iguchi et al., 2000)
135 near surface raining pixels with rainfall rate > 0 . After grouping PR pixels, maximum
136 echo top height with different reflectivities, number of PR pixels, lightning flash
137 counts, view time, etc., inside the PFs are calculated from the collocated orbital data.
138 To limit noise, only PFs with at least four contiguous PR pixels (Liu et al., 2012) are
139 used in this study. Some erroneous cases of PFs, e.g., abnormal and discontinuous
140 echo in vertical profiles (Qie et al., 2014), are also excluded. In this study,
141 thunderstorms are defined as PFs with at least one lightning flash observed by the LIS,
142 non-thunderstorms are defined as PFs without any flash observed.

143 Many useful results (e.g., Zipser et al., 2006; Liu et al. 2007, 2012; Qie et al.,
144 2014; Wu et al., 2016) have been obtained from satellite data. As is well known,
145 thunderstorms, regardless of type, go through three stages: an initial developing stage,
146 a mature stage, and a dissipation stage. Convective precipitation observed by a
147 satellite that operates in a non-sun-synchronous orbit can be in any of these stages.
148 This may have a negative impact on the relationships between lightning frequency
149 and the convective intensity parameters. However, most studies do not take into
150 account the different stages of thunderstorms when analyzing the relationship between
151 lightning activity and convective properties. Recently, Bang and Zipser (2015)
152 analyzed the distribution of the ratio of convective volumetric rainfall to total
153 (convective plus stratiform) volumetric rainfall based on the TRMM 2A25 product.
154 This study followed the logic used in previous studies (e.g., Houze, 1997;



155 Romatschke and Houze, 2010; Zuluaga and Houze, 2015) that as a convective system
156 evolves, the young, vigorous convective region matures into widespread convection
157 coexisting with a stratiform region, and finally into mostly stratiform precipitation. A
158 ratio value of 1 means that the storm is 100% convective, which is commonly typified
159 as ‘young’ convection, whereas a value close to 0 means that the dominant radar
160 precipitation feature (RPF) is stratiform precipitation, which is typified as ‘mature’
161 convection (Bang and Zipser, 2015). In this study, the ratio of convective volumetric
162 rainfall to total volumetric rainfall and the PR echo structure characteristics is adopted
163 to distinguish the different stages of thunderstorms, which is beneficial for analyzing
164 the relationship between lightning activity and convective intensity of thunderstorms
165 observed by the TRMM satellite.

166

167 **3 Results**

168 **3.1 Thunderstorms and non-thunderstorms**

169 The occurrence and development of convective precipitation is closely related to
170 the terrain condition. Over the four terrain regions (in Fig. 1), the cumulative
171 distribution function (CDF) for lightning flash rate of RPFs, identified from the
172 TRMM orbit data, is shown in Fig.2. The occurrence frequency of thunderstorms over
173 the Tibetan Plateau is the highest, accounting for about 20% of the total PFs, followed
174 by 10% over the foothills and the hilly land. Only ~3% of PFs over the coastal ocean
175 have lightning. This is generally consistent with the results from a previous study (Liu
176 et al., 2012) which found that the ratio of the RPFs with flashes over land is 11% and



177 over the coastal region is 2.66%. Thunderstorms over the Tibetan Plateau are the most
178 frequent; however, the purple line in Fig. 2 indicates that the fraction of thunderstorms
179 over the Tibetan Plateau more rapidly decreases with increasing lightning flash rate
180 compared with the other regions. This means that thunderstorms over the Tibetan
181 Plateau are dominated by weak thunderstorms with less lightning, while the
182 occurrence of intense thunderstorms is relatively scarce. For example, the fraction of
183 intense thunderstorms with a lightning flash rate greater than 100 fl min^{-1} is only
184 2×10^{-5} , far less than in the other regions. In addition, the lightning flash rate values at
185 the three black dashed lines in Fig. 2 further confirm this conclusion. Lightning
186 activity of thunderstorms over the hilly land is the most active among the study
187 subregions, followed by the foothills region. Over the coastal ocean, although the
188 percentage of 3% is less than the continental regions, it is still more significant than
189 that over open oceans (Liu et al., 2012).

190 The PR echo structure characteristics of non-thunderstorms and thunderstorms
191 over different subregions based on the TRMM PR data are calculated and shown in
192 Table 1. The vertical and horizontal structures reveal that thunderstorms are
193 significantly taller and larger than non-thunderstorms over all the subregions. From
194 the maximum echo top heights of different reflectivities, especially the strong echo of
195 40 dBZ, it can be seen that thunderstorms over the hilly land are the most intense,
196 followed by the foothills. The horizontal scale of thunderstorms gradually decreases
197 from the ocean west to the Tibetan Plateau. Although the thunderstorms over the
198 Tibetan Plateau are the most frequent, the vertical and horizontal structures together



199 with the lightning flash rate shown in Fig. 2 indicate that thunderstorms over the
200 Tibetan Plateau are the smallest and weakest among the four subregions, which is
201 consistent with the conclusions of previous studies (Luo et al., 2011; Qie et al., 2014).
202 The electrification and discharge processes of thunderstorms are closely related to the
203 mixed-phase region of thundercloud. Accordingly, the maximum PR reflectivity
204 between 6 and 11 km altitude (Maxdbz6-11) is used to demonstrate radar echo
205 intensity characteristics in the mixed-phase region and is also listed in Table 1. Note
206 that the Tibetan Plateau is different from the other subregions due to its very high
207 terrain. The results show that the maximum reflectivity in the mixed-phase region of
208 thunderstorms is about 40 dBZ over the different subregions, which is significantly
209 greater than that of non-thunderstorms.

210 (Figures 2 and Table 1)

211

212 **3.2 Convective properties of thunderstorms**

213 The TRMM satellite runs in a non-sun-synchronous orbit, which means that the
214 observed data are just one moment in the life cycle of precipitation events. In other
215 words, those observed convective events include convective storms at all different life
216 stages, i.e., cumulus, mature, and dissipation stages. This may lead to confusion in
217 terms of understanding the relationship between lightning and convective intensity in
218 thunderstorms. The updraft and downdraft play an important role in the evolution of
219 thunderstorms from generation to maturation and eventually to dissipation. In the
220 initial stage of thunderstorms, convective clouds are dominated by ascending motion



221 and produce convective precipitation. With the evolution of the convective storms, the
222 ascending motion weakens, the descending motion gradually strengthens, and finally
223 the precipitation system is mainly stratiform precipitation when it is dominated by
224 descending motion in the dissipation stage. Accordingly, the ratio of convective
225 volumetric rainfall to total volumetric rainfall of thunderstorms as introduced in
226 section 2 is used to distinguish the life stage of thunderstorms observed by the TRMM
227 satellite. The frequency distribution of the ratio of convective rainfall to total rainfall
228 in thunderstorms over the four different subregions is shown in Fig. 3. The ratio over
229 the Tibetan Plateau is significantly different to the other regions, where the percentage
230 of thunderstorms with less convective precipitation is obviously higher than that over
231 the other subregions, and even 7% of thunderstorms do not have convective rainfall at
232 all. This may be due to the misidentification of rain type by the TRMM PR over the
233 plateau (Fu and Liu, 2007), as the TRMM PR algorithm misidentifies weak
234 convective rainfall events as stratiform rainfall events. Therefore, the analysis of the
235 ratio of convective rainfall in this study is mainly based on the other subregions, and
236 the ratio over the Tibetan Plateau will not be discussed hereafter, despite the fact that
237 the values are listed in the following tables. The peaks and median ratio indicate that
238 thunderstorms over the hilly land have the largest ratio of convective rainfall,
239 followed by the foothills. Thunderstorms over the ocean have more stratiform
240 precipitation compared with the continental regions. More than half of the continental
241 thunderstorms contain more than 80% (refer to 0.8 in the Fig. 3) convective
242 precipitation; this percentage over the ocean is about 70%. The variation in the



243 convective rainfall ratio shows that thunderstorms are mainly dominated by
244 convective precipitation, while only a small number of thunderstorms have less
245 convective precipitation, which are considered to be the thunderstorms at later stage
246 or even dissipation stage. This will be further discussed in detail later.

247 Furthermore, based on the convective rainfall ratio at different grades, the PR
248 vertical and horizontal characteristics of both thunderstorms and non-thunderstorms
249 over different subregions (Table 2) are investigated. All the results consistently
250 indicate that thunderstorms are significantly taller in height and larger in horizontal
251 scale compared with those precipitation events without lightning, regardless of the
252 ratio, echo intensity, or subregion. Most thunderstorms have a convective rainfall ratio
253 greater than 0.75, and the population of thunderstorms decreases significantly as the
254 ratio values decrease. This trend is particularly evident in the hilly land and foothills.
255 There is still a small number of thunderstorms dominated by stratiform rainfall, with
256 the ratio less than 0.25, which will be discussed in the following section. With the
257 decrease in convective rainfall ratio, the maximum 20, 30, and 40 dBZ echo top
258 heights of both thunderstorms and non-thunderstorms decrease; the weak echo
259 horizontal scales are consistently increasing while the strong echo horizontal scale
260 shows a different feature, which increases first and then decreases. Among the four
261 subregions, the different echo horizontal scales of both thunderstorms and
262 non-thunderstorms all consistently increase from west to east irrespective of the
263 convective rainfall ratio. Following the view that the ratio of convective precipitation
264 decreases gradually with the development and evolution of convective system, the



265 statistical results reveal that thunderstorms in the earlier stage are taller in vertical
266 profile and smaller in horizontal scale compared with the later stages of thunderstorms.
267 It should be noted that thunderstorms with convective rainfall ratio greater than or
268 equal to 0.75 are tallest over the hilly land, followed by the foothills, and then the
269 ocean. However, thunderstorms with a ratio less than 0.75 show a different pattern:
270 the echo top height decreases from the ocean to the hilly land and then to the foothills.
271 For non-thunderstorms, their echo top heights decrease from west to east always.

272 As can be seen from the results of Table 2 and Fig. 3, although most of the
273 thunderstorms are dominated by convective precipitation, there is still a small amount
274 of thunderstorms that coexist with wide stratiform rainfall, or are even dominated by
275 stratiform precipitation. Therefore, such weak thunderstorms (precipitation events
276 with lightning but the maximum PR reflectivity is less than 40 dBZ) and strong
277 convective events (precipitation events with maximum PR reflectivity reaches or
278 exceeds 40 dBZ while regardless of lightning) are further compared. The statistical
279 results in table 3 indicate that although there are indeed some weak thunderstorms,
280 their occurrence frequency over the three lower subregions is actually much lower
281 than that of strong convective events. In contrast, weak thunderstorms over the
282 Tibetan Plateau occur frequently, and the number is comparable to that of strong
283 convective events. This further shows the particularity of the plateau thunderstorms,
284 which is worth more attention in future work. The 20 dBZ, 30 dBZ and 40 dBZ radar
285 echo pixels counts reveal that the horizontal scale of weak thunderstorms is distinctly
286 smaller than that of strong convective events in all subregions, even though they are



287 accompanied by lightning. The PR echo top height also shows a similar characteristic:
288 the vertical height of weak thunderstorms is lower than that of strong convective
289 events over land regions. In contrast, over the ocean, the echo top heights of weak
290 thunderstorms are taller than those of strong convective events. To clarify this
291 characteristic, the vertical structure of strong convective events is further investigated:
292 the results show that the 20 dBZ and 30 dBZ echo top heights of strong convective
293 events without lightning are significantly lower than those with lightning and are also
294 lower than those of weak thunderstorms. The percentage of strong convective events
295 without lightning accounts for the total number of strong convective events being the
296 largest over the ocean (~90%), obviously greater than the hilly land and foothills
297 (~72%), which result in the echo top heights of strong convective events being lower
298 than those of weak thunderstorms over the ocean. It should be noted that although the
299 echo top heights of weaker reflectivity in such strong convective events are relatively
300 low, their gaps between different echo top heights (MaxH20-MaxH30 and
301 MaxH30-MaxH40) are smaller and the convective rainfall ratio is larger, which
302 reveals that such strong convective events are in the earlier developing stage. The
303 convective rainfall ratios of weak thunderstorms over the land regions are 0.33 over
304 the plateau, 0.45 over the foothills, and 0.50 over hilly land, significantly less than the
305 ratios of 0.65, 0.73, and 0.76 for strong convective events, respectively. Finally,
306 integrating the above results together, it can be concluded that weak thunderstorms
307 observed by TRMM satellite, in terms of smaller horizontal scale, lower echo top
308 height, and less convective precipitation, should be mainly thunderstorms in a later



309 stage or even the dissipation stage instead of isolated weak thunderstorms. This is
310 because an isolated weak thunderstorm is not strong enough to produce lightning in
311 such a weak convective intensity, with weak convective echo core (maximum
312 reflectivity less than 40 dBZ) and small horizontal scale.

313 (Figure 3 and Tables 2 and 3)

314

315 **3.3 Occurrence probability of lightning in strong convective events**

316 The electrification and discharge processes in thunderstorm are closely related to
317 the development and interaction of dynamic and microphysical processes. With the
318 evolution and enhancement of convective cloud, the interaction of hydrometeors
319 (such as ice crystal, hail, snow, and graupel) increases, and lightning discharge is
320 produced when the electric field in thunderclouds break through a certain threshold.
321 The stronger the convective intensity of a thunderstorm, the more the lightning. But,
322 when a convective system produces lightning is still a scientific issue. Therefore, this
323 section further investigates the occurrence probability of lightning in strong
324 convective events as discussed in the previous section (refer to table 3).

325 The convective intensity can be defined by the properties of the convective
326 updrafts in a storm (Zipser et al., 2006), but it is difficult to measure them, especially
327 over large areas and for long periods. According to the characteristics of convection,
328 more vigorous convective updraft means stronger convective intensity, which brings
329 more and larger precipitation particles to higher altitudes, leading to a higher echo top
330 height. Therefore, the echo top height is adopted as an alternative to convective



331 intensity. The occurrence probability of lightning in strong convective events as a
332 function of the maximum 20, 30, and 40 dBZ echo top heights over different
333 subregions are shown in Figures 4 and 5. It can be seen that the occurrence probability
334 of lightning in strong convective events over the foothills and hilly land is
335 significantly larger than that over the plateau and ocean. Owing to the higher
336 elevation of the Tibetan Plateau, even though the echo top height of convection is
337 similar to that of the other subregions, the probability of lightning is significantly
338 lower than in the other subregions, even lower than that for the ocean. Of course, this
339 phenomenon is also partly caused by thunderstorm itself being weaker over the
340 Tibetan Plateau with such an echo top height due to its higher elevation. The
341 convection distribution according to the maximum 20, 30, and 40 dBZ echo top
342 heights indicates that convection over the ocean is mainly characterized by lower echo
343 top heights, and the number of strong convective events with higher echo top heights
344 (e.g., maximum 30 dBZ echo height exceeding 10 km) is significantly less compared
345 with the other regions.

346 Comparing the relationship between lightning probability and maximum echo
347 top heights of different radar reflectivity in Figures 4 and 5, it can be seen that the
348 maximum 30 dBZ echo top height shows a simpler and more intuitive characteristic
349 compared with the 20 and 40 dBZ echo top heights. This is particularly significant
350 over the foothills and hilly land. Strong convective events with a 30 dBZ echo top
351 height less than 5 km altitude do not have any flashes basically. The occurrence
352 probability of lightning in strong convective events with a 30 dBZ echo top height



353 between 5 km and 7 km is small, less than 40%. The probability value increases with
354 increasing 30 dBZ echo top height. It is between 40% and 70% when the maximum
355 30 dBZ echo height of convection is in the range of 7–9 km, and when the height
356 exceeds 9 km, the probability exceeds 80%. The relationship over the ocean shows a
357 similar pattern with that over the foothills and hilly land; the main difference is the
358 smaller probability values relative to the same reference height. In contrast, the
359 relationship between lightning probability and the maximum echo top heights of 20
360 and 40 dBZ are more complex and confusing. For example, the occurrence probability
361 of lightning in strong convective events with 20 dBZ (40 dBZ) echo top height at 12
362 km (5 km) altitude shows a very wide range, covering almost all probabilities from
363 zero to 100%. Over the Tibetan Plateau, the occurrence probability of lightning in
364 strong convective events is the lowest, with almost no probabilities more than 90%,
365 and the relationship with the 30 dBZ echo top height is also weaker compared with
366 the other subregions.

367 Although the lightning activity has a good correlation with the convective
368 intensity of the convective storm, there are still many issues that need further
369 clarification. The probability of lightning in a strong convective event with a
370 maximum 30 dBZ echo top height exceeding 9 km altitude is not 100%, which means
371 that there are some strong convective events do not have lightning observed by the
372 LIS. Why? This study further calculates some statistical parameters (the count,
373 maximum pixels of 30 dBZ echo and ratio of convective rainfall to total rainfall) for
374 strong convective events with and without lightning based on the different maximum



375 30 dBZ echo top heights and the results are shown in Table 4. From the values listed
376 in Table 4, it can be seen that with increasing 30 dBZ echo top height, the count (or
377 percentage) of strong convective events with lightning over the four subregions
378 consistently increase. However, the variation in the count of strong convective events
379 without lightning over the different subregions shows a different pattern. The count
380 increases over the Tibetan Plateau while it decreases over the ocean. In the two
381 low-altitude land regions, the count of strong convective events without lightning is
382 largest when the 30 dBZ echo top height is between 5 and 7 km. The horizontal scale
383 (30 dBZ) of strong convective events with lightning is significantly larger than that of
384 strong convective events without lightning, regardless of subregion or 30 dBZ echo
385 top heights. A comparison of the horizontal scale and ratio of convective rainfall to
386 total rainfall of strong convective events with and without lightning shows that strong
387 convective events without lightning are significantly smaller in horizontal scale and
388 slightly larger in the ratio of convective rainfall compared with those with lightning.
389 The result clearly indicates that, in the case of similar radar echo top heights, strong
390 convective events without lightning may be in the pre-lightning stage or the earlier
391 developing stage of thunderstorms compare to those with lightning. They will
392 probably produce lightning if their convective intensities further enhance. It should be
393 noted that although it should be rare, there still be some cases where lightning was not
394 seen by the LIS but in fact occurred in practise.

395 (Figures 4 and 5, and Table 4.)

396



397 **3.4 The most intense thunderstorms**

398 The stronger the convective intensity of a thunderstorm, the higher the height
399 attained by the strong echo top (40 dBZ) and the larger the lightning flash rate. As a
400 result, more serious loss and damage will be caused, and the vertical upward transport
401 of water vapor and pollutants into the upper troposphere/lower stratosphere will be
402 more considerable. Therefore, in order to improve the understanding of the most
403 intense thunderstorms, the characteristics of lightning and dynamic processes with
404 evolution of the most intense thunderstorms over foothills and hilly land are further
405 investigated in this section. The most intense thunderstorms here refer to the top 0.1%
406 of convective parameters in Zipser et al. (2006), that is maximum 40 dBZ echo height
407 exceeding 10.5 km or lightning flash rate greater than 32 fl min⁻¹. Thunderstorms are
408 divided into three types according to the two thresholds: storm-A-type thunderstorms
409 are defined as those with a maximum 40 dBZ echo height exceeding 10.5 km while
410 with a lightning flash rate less than 32 fl min⁻¹; storm-B-type thunderstorms are
411 defined as those with both thresholds attained; and storm-C-type thunderstorms are
412 defined as those with a maximum 40 dBZ echo height lower than 10.5 km but with a
413 lightning flash rate greater than 32 fl min⁻¹. Statistical parameter values for the three
414 types of thunderstorms are listed in Table 5. The lightning flash rate together with the
415 maximum 20, 30, and 40 dBZ echo top heights indicate that the convective intensity
416 of storm-B-type is the most intense among the three types of thunderstorms, while the
417 horizontal scale of thunderstorms (refer to the radar echo pixels of 20, 30, and 40 dBZ
418 pixels), shows that storm-C-type is the largest and storm-A-type is the smallest. The



419 ratio of convective rainfall to total rainfall of storm-A-type is the largest (0.9),
420 followed by 0.85 for storm-B-type, and storm-C-type is the smallest (0.74). In
421 addition, the vertical spacing between the top height of different echoes (20 versus 30
422 dBZ and 30 versus 40 dBZ) of storm-A-type is the smallest, followed by
423 storm-B-type, and finally storm-C-type. The smaller vertical gaps between the top
424 height of different echoes means the thundercloud top structure is more compact, and
425 vice versa. Considering all these features together, the results indicate that
426 storm-B-type is the most intense among the three types of thunderstorms, with the
427 tallest echo top heights and the most frequent lightning activity. The three types of
428 convective storm are in different life cycle stages of thunderstorms according to their
429 convective properties. Storm-A-type, in terms of lower echo top heights, smaller
430 horizontal scale, lower lightning flash rate, but more compact cloud top structure, is
431 considered to be the pre-mature stage, younger or in an earlier stage compared with
432 the mature stage of storm-B-type. Conversely, storm-C-type is considered to be the
433 post-mature stage, which is older or in a later stage than the mature stage, with a
434 larger horizontal scale, less convective rainfall and more fluffy cloud top structure.
435 This result further confirms that using the convective rainfall ratio together with the
436 radar echo structures to identify the stage of thunderstorms is an effective method to
437 analysis the convective events observed by non-geostationary orbit satellites.

438 (Table 5)

439 **4 Lightning and echo structure patterns of thunderstorms**

440 It is generally considered that the electrical process and the dynamic process are



441 closely related in a thundercloud: the stronger the convective intensity of a
442 thunderstorm, the greater the accompanying lightning flash rate. However, the
443 statistical results in this study show that this is not the case in different stages of
444 thunderstorms. But no matter what, for those intense thunderstorms, they must go
445 through a life cycle processes from the initial trigger to the mature stage and finally
446 their dissipation. Based on the comparative analysis of the lightning flash rate, radar
447 echo structure characteristics and the convective rainfall ratio of thunderstorms from
448 the LIS and the PR onboard the TRMM satellite, it can be concluded that the lightning
449 activity lags behind the development of radar echo structure with the evolution of
450 thunderstorm.

451 A schematic diagram illustrating the coupling patterns of the radar echo structure
452 feature and lightning activity in different evolution stages of the thunderstorm life
453 cycle is shown in figure 6. In the cumulus stage (or initial developing stage) of
454 thunderstorms, the convective cloud is energetic and dominated by strong updraft,
455 when the horizontal scale is small but its vertical structure is thriving, with a strong
456 radar echo core (over 40 dBZ) and dense cloud top structure. Nevertheless, the
457 convective cloud at this stage is not or not yet strong enough to generate lightning.
458 This is the main reason why some convective systems observed by the TRMM
459 satellite have strong radar echo but no lightning. In fact, convective systems of this
460 kind are usually in the rapid development and enhancement stage. They will soon
461 develop and evolve into a mature stage of thunderstorm, characterized by high echo
462 top height, strong radar echo core and active lightning discharge. This also means that



463 thunderstorms are in the most powerful and the most destructive stage with both the
464 most active electrical discharge process and the most robust dynamic process, which
465 not only produces damage on the ground but also transports water particles to upper
466 troposphere or even penetrates the tropopause and directly enter the stratosphere. Note
467 that downdrafts caused by the drag effect of rainfall are also increasing during this
468 period. Then after, as the unstable energy is consumed, the updraft is weakened while
469 the downdraft is enhanced and begins to become dominant. As a result, the lightning
470 flash rate and the ratio of convective rainfall to total rainfall begin to decrease. In the
471 dissipation stage of a thunderstorm, the thundercloud collapses and dissipates rapidly
472 without the support of the updraft, the radar echo top height decreases, and the
473 stronger radar echo weakens more rapidly. As shown in Fig. 6, the intense echo core
474 weakens significantly, its maximum reflectivity is less than 40 dBZ and the echo top
475 structure in this stage is significantly less well organized than in the previous stages,
476 with larger spacing between the different radar echo tops. From the perspective of
477 vertical radar echo top heights and radar echo core, it reveals that convective intensity
478 of thunderstorms in this stage are significantly weaker than that in the cumulus stage.
479 The stratiform rainfall is dominant during this period while in the cumulus stage it is
480 dominated by convective rainfall. Nonetheless, there is still a small amount of
481 lightning discharges as can be seen by the LIS in this stage. This mainly results from
482 charge transported from the upper to lower regions of cloud by downdrafts, which can
483 enhance the electric field stress in and below the cloud base and further produce
484 lightning discharge, although the charge generating mechanisms in cloud have ceased



485 without the support of updrafts in the dissipation stage (Pawar and Kamra, 2013).
486 Therefore, some storms have lightning where the radar echo core is especially weak
487 with maximum radar reflectivity less than 40 dBZ. Ultimately, the thunderstorm goes
488 through the dissipating stage, breaking and dissipating quickly without the support of
489 the updraft.

490 More specifically, according to different patterns of convective parameters, such
491 as echo top heights and lightning flash rate, the mature stage of thunderstorms can be
492 further finely divided into three stages: 1) the pre-mature stage; 2) the mature stage;
493 and 3) the post-mature stage, illustrating the evolutionary characteristics of electrical
494 and dynamic processes with the evolution of thunderstorms. Here, the mature stage
495 refers in particularly the most intense stage of thunderstorms, its most typical feature
496 is that the updraft reaches the highest altitude. Thunderstorms at this stage have the
497 largest lightning flash rate, the most intense radar echo core and the highest echo top
498 heights. Correspondingly, in the pre-mature stage thunderstorm, all the convective
499 parameters of echo top height, lightning flash rate and horizontal scale are in a rapid
500 development and enhancement. The horizontal scale of thunderstorms in this stage is
501 still small, dominated by upward motion despite the downdraft also being intensified
502 compared to the previous stage. Dangerous weather phenomenon, such as lightning
503 jump, hailfall and strong wind, are most likely to appear at this stage. However, the
504 results from table 5 show that the lightning flash rate in this stage is significantly less
505 than in the mature stage, and even in the post-mature stage. In the post-mature stage,
506 the updraft weaken and the downdraft continues to increase and gradually begins to



507 dominate. As a result, echo top height, lightning activity and convective rainfall begin
508 to decrease but the horizontal scale, to a certain extent, still increases. Note that,
509 although the lightning flash rate in this stage has decreased, it is still larger than that
510 in the pre-mature stage, with the similar vertical echo top heights. After this, the
511 thunderstorm is controlled by the downdraft and begins to enter the dissipating stage
512 as mentioned in the previous paragraph.

513 (Figure 6)

514

515 **5 Conclusions and discussion**

516 In this study, thunderstorms over different terrain conditions in subtropical East
517 Asia, from the Tibetan Plateau, east to the adjacent foothills, hilly land, and finally the
518 coastal ocean, has been investigated using 16-year data from the TRMM satellite.
519 Convective parameters of lightning activity and radar structure characteristics with the
520 development and evolution of thunderstorms are statistical analyzed. The major
521 findings are summarized as follows:

522 The occurrence frequency of thunderstorms over the different terrain conditions
523 shows significant differences. The occurrence of thunderstorms over the Tibetan
524 Plateau is the most frequent, accounting for about 20% of the total precipitation
525 events observed by the TRMM PR, followed by the ~10% over foothills and hilly
526 land. But, the convective intensity of thunderstorms over the hilly land is the most
527 intense, followed by the foothills, and weakest over the Tibetan Plateau despite the
528 occurrence of thunderstorms being the most frequent there. The occurrence of



529 thunderstorms over the ocean is the least, while their horizontal scale is larger than
530 that over land and their convective intensity is greater than that over the Tibetan
531 Plateau. Both the horizontal scale and vertical height of thunderstorms are always
532 significantly greater than those convective events without lightning.

533 It is well known that the lightning flash rate and intense radar echo top height are
534 closely related to the convective intensity of thunderstorms: the stronger the
535 convective intensity, the larger the lightning flash rate and the higher the echo top
536 height. Nevertheless, the present study indicates that the coupling patterns of lightning
537 and echo top heights of thunderstorms are different in different life cycle stages of
538 thunderstorms. This will cause some negative effects when considering convective
539 intensity or analyzing the correlation between lightning flash rate and radar echo top
540 heights of thunderstorms, especially for those observed by non-geostationary orbit
541 satellites. This study confirms that, combining the ratio of convective rainfall to total
542 rainfall with the three-dimension radar echo structure of convective event provides be
543 a valuable method to distinguish the stage of different thunderstorm fragments. Those
544 strong convective events with a maximum radar reflectivity exceeding 40 dBZ but no
545 lightning, are identified as thunderstorms in the initial developing/cumulus stage
546 according to characteristics of more convective rainfall, smaller horizontal scale, and
547 more compact cloud top structure. In contrast, those weak thunderstorms with
548 lightning but especially weak radar echo core (maximum reflectivity less than 40 dBZ)
549 in terms of less convective precipitation, lower echo top height, and larger vertical
550 spacing between different echo tops illustrate that they are actually thunderstorms in



551 the dissipating stage.

552 In order to explore when a convective event can produce lightning, this study
553 further investigated the occurrence probability of lightning in strong convective
554 events with different convective intensity. The results reveal that for those strong
555 convective events with maximum reflectivity exceeding 40 dBZ, the maximum 30
556 dBZ echo top height shows a more concise relationship with the occurrence
557 probability of lightning in strong convective storms compared with the maximum 20
558 and 40 dBZ echo top heights. When the maximum 30 dBZ echo top height of strong
559 convective events exceeds 9 km, the occurrence probability of lightning exceeds 80%.
560 When the maximum 30 dBZ echo top height is in the range of 7-9 km, the probability
561 is between 40% and 70 %. Almost no lightning occurs in strong convective events
562 with a 30 dBZ echo top height lower than 5 km altitude. The result is of great
563 significance for the probability forecast of lightning in strong convective storms,
564 which will be very useful for lightning nowcasting and warning services. Those
565 strong convective events with a similar 30 dBZ echo top height but no lightning,
566 which are characterized by smaller horizontal scale and more convective rainfall, are
567 considered to be thunderstorms in an earlier stage compared with those with lightning.

568 Based on statistical and comparative analysis from the 16-year TRMM satellite
569 data, this study further summarizes the patterns of lightning activity and dynamic
570 processes with the evolution of thunderstorms. The results indicate that the evolution
571 of lightning activity lags behind the development of vertical radar echo structure
572 during the entire life cycles of thunderstorms. When a thunderstorm is in the mature



573 stage, its convective intensity parameters such as lightning flash rate and strong radar
574 echo top heights all reach their peak levels. But, before a thunderstorm reaches its
575 mature stage, it is dominated by updraft and shows energetic convective developing
576 features in terms of larger convective rainfall ratio, smaller horizontal scale but
577 stronger radar echo core and more compact cloud top structure. Conversely, when a
578 thunderstorm has gone through its mature stage, it is dominated by downdrafts and
579 appears to be weak in radar echo structure, featuring a larger horizontal scale, less
580 convective rainfall ratio, and more fluffy cloud top structure. Furthermore, for some
581 thunderstorms in the developing stage, although the radar echo top can reach a higher
582 altitude, there may still be no lightning. Conversely, thunderstorms that are in the
583 dissipating stage, although the convective intensity has been significantly weakened,
584 may still have lightning.

585 The non-geostationary-orbit satellites (i.e., the TRMM, the GPM and so on) have
586 provided plenty of valuable observational data for studying the climatic characteristics
587 of precipitation and convective systems over larger areas and even global scale over a
588 long period. The present study has demonstrated that using the ratio of convective
589 rainfall to total rainfall together with the radar echo top structure to identify the
590 evolution stage of thunderstorms is a useful method for analyzing the TRMM data.
591 This method should be a valuable reference in analyzing time discontinuous
592 observation data provided by non-geostationary-orbit satellites or some ground-based
593 instruments. It can help us further explore and maximize the use of those valuable
594 observation data. In addition, in some cases, only the edge portion of convective



595 storms are observed by satellites as the convective core is located outside of the
596 scanning range, which can more or less adversely affect the results of statistical
597 analysis and should be paid more attention in future studies.

598

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605

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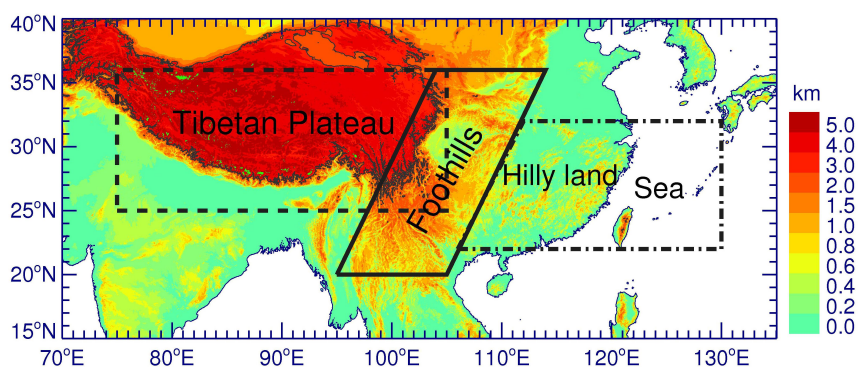
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726 **Figures and Tables**

727

728 **Figure 1**



729

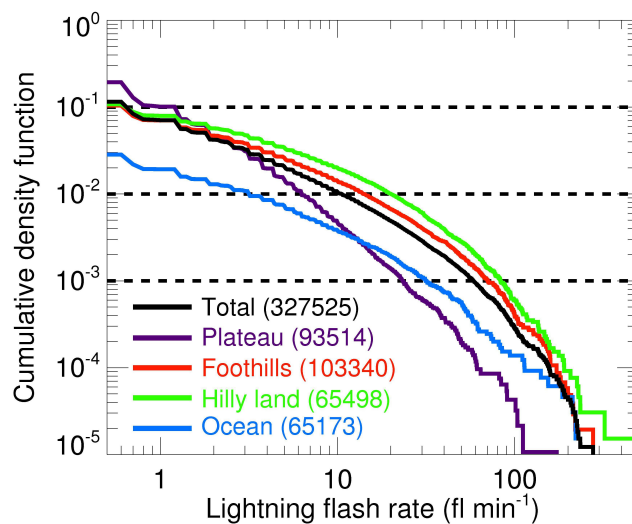
730 Figure 1 Location and geographic elevation of the four subregions in this study. The
731 Tibetan Plateau is the area in the dashed box, with elevation greater than 3000 m. The
732 foothills is the area in the solid box, with elevation lower than 3000 m. The hilly land
733 is the continental area in the dash dot box and the sea is the oceanic area in the dash
734 dot box. RPFs over islands are excluded in this study.

735



736 **Figure 2**

737



738

739 Figure 2. Cumulative distribution function (CDF) for lightning flash rate of RPFs over

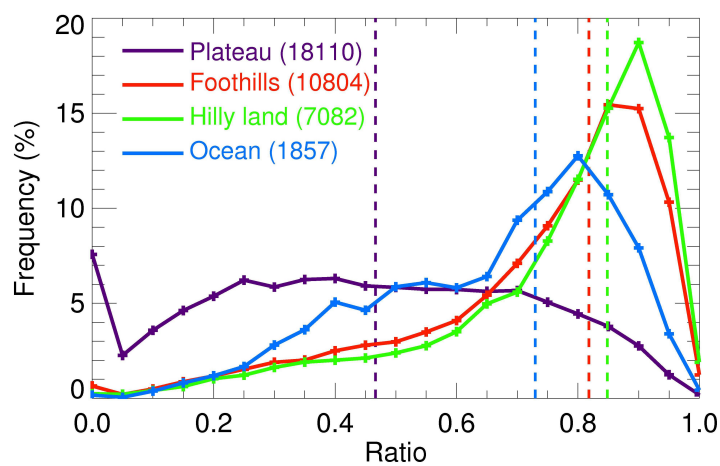
740 the four different subregions. Sample size is given in parentheses.

741



742 **Figure 3**

743



744

745 Figure 3 Frequency distribution of the ratio of convective rainfall to total rainfall of

746 RPFs with lightning (thunderstorms) over the four different subregions. Sample size is

747

given in parentheses and dashed lines represent the median ratio.



Figure 4

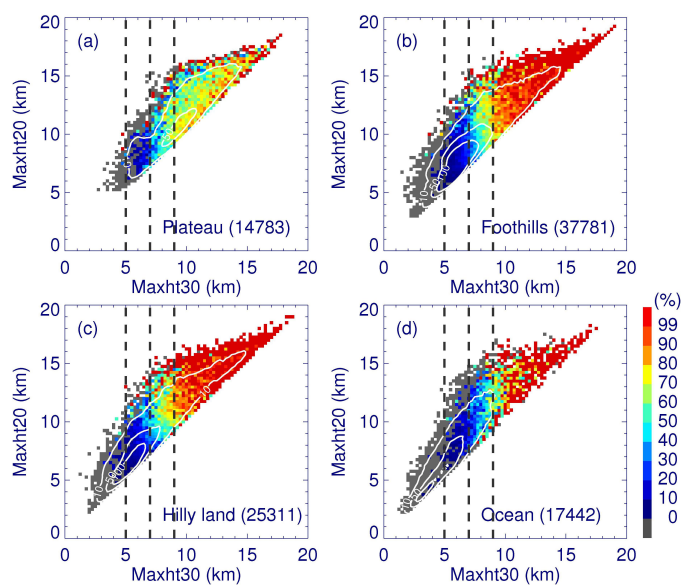


Figure 4. Occurrence probability of lightning in strong convective events with different 20 and 30 dBZ echo top heights over the (a) plateau, (b) foothills, (c) hilly land, and (d) ocean. White contours show the sample density of strong convective events.



Figure 5

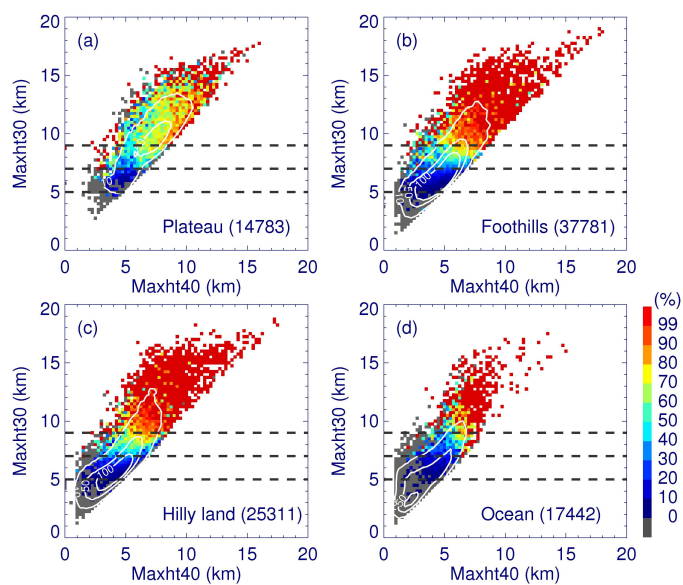


Figure 5. Same as Figure 4, but for 30 and 40 dBZ echo top heights.



Figure 6

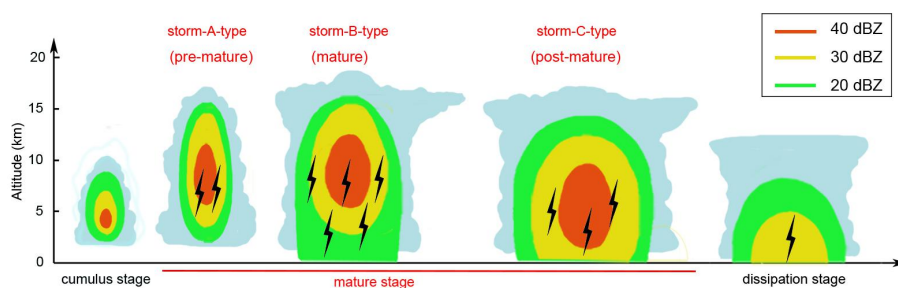


Figure 6. Schematic diagram of lightning activity and three-dimensional structural feature in several different stages of the thunderstorm lifecycle based on the TRMM satellite data. Light blue shades are cloud body profiles.

**Table 1**

Table 1 Statistical values of thunderstorms and non-thunderstorms over the four different subregions.

Subregion	Storm type	Count	Maximum height			Pixels number			Maximum Reflectivity
			20 dBZ	30 dBZ	40 dBZ	20 dBZ	30 dBZ	40 dBZ	
Plateau	Non-thunderstorm	75404	9.2	7.2	0.6	21.3	3.3	0.1	31.7
	Thunderstorm	18110	11.1	9.3	3.5	59.8	12.3	1.4	38.8
Foothills	Non-thunderstorm	92536	6.5	4.9	1.3	55.7	14.8	0.8	19.9
	Thunderstorm	10804	11.3	9.2	6.1	202.5	96.2	17.1	40.5
Hilly land	Non-thunderstorm	58416	5.8	4.2	1.2	71.4	19.9	1.2	14.1
	Thunderstorm	7082	11.9	9.6	6.4	297.1	151.1	28.0	41.0
Ocean	Non-thunderstorm	63316	5.1	3.6	0.8	70.0	22.4	1.8	10.1
	Thunderstorm	1857	11.8	9.2	5.9	659.8	349.2	62.6	39.9



Table 2

Table 2. Comparison of radar echo structure characteristics between thunderstorms and non-thunderstorms at different ratios of convective rainfall to total rainfall. The vertical and horizontal characteristics are shown by maximum echo top height and the maximum pixel number of 20, 30 and 40 dBZ, respectively.

Ratio	1.00-0.75		0.75-0.50		0.50-0.25		0.25-0.0		
	Non-thunderstorm	Thunderstorm	Non-thunderstorm	Thunderstorm	Non-thunderstorm	Thunderstorm	Non-thunderstorm	Thunderstorm	
Plateau	Count	3161	11760	5179	19975	5531	38544	4239	
	Maxht20/30/40	10.5/8.7/3.9	12.1/10.4/6.7	9.6/7.8/1.4	11.7/9.9/5.2	9.2/7.3/0.4	10.8/9.0/2.5	8.8/6.8/0.1	10.0/8.1/0.4
Foothills	Count	26346	16870	2493	14865	1159	34455	366	
	Maxht20/30/40	6.9/5.5/2.8	11.6/9.6/6.6	6.6/5.0/1.5	11.0/8.8/5.7	6.4/4.7/0.8	10.7/8.2/5.0	6.3/4.4/0.3	9.2/6.7/2.7
Hilly land	Count	21418	8947	1361	7056	628	20995	178	
	Maxht20/30/40	6.0/4.7/2.2	12.2/10.0/6.7	5.9/4.4/1.4	11.4/9.0/5.9	5.7/4.0/0.7	10.9/8.4/5.6	5.7/3.8/0.3	9.4/6.7/4.2
Ocean	Count	31127	856	11009	623	7446	13734	48	
	MaxH20/30/40	4.6/3.4/1.0	11.8/9.4/5.9	5.8/4.1/1.1	12.1/9.3/6.0	5.4/3.7/0.8	11.6/8.7/5.8	5.4/3.6/0.3	10.1/7.0/4.9
		14/6/1	148/85/26	45/18/2	684/371/74	139/56/6	1707/885/130	179/46/2	2283/1101/111

**Table 3**

Table 3 Mean convective parameters of strong convective events (with maximum radar reflectivity exceeding 40 dBZ while regardless of lightning) and weak thunderstorms (with lightning but maximum radar reflectivity less than 40 dBZ) over the four different subregions.

		Count	Maximum height			Pixels count			Ratio
			20 dBZ	30 dBZ	40 dBZ	20 dBZ	30 dBZ	40 dBZ	
Plateau	Weak thunderstorm	10146	10.2	8.5	/	33	5	/	0.33
	Strong convection	14783	11.5	9.6	7.0	75	19	2	0.65
Foothills	Weak thunderstorm	595	8.3	6.4	/	36	6	/	0.45
	Strong convection	37781	8.8	6.9	4.9	143	56	7	0.73
Hilly land	Weak thunderstorm	115	7.7	5.0	/	27	8	/	0.50
	Strong convection	25311	8.5	6.7	4.5	191	79	11	0.76
Ocean	Weak thunderstorm	32	8.1	5.7	/	23	9	/	0.64
	Strong convection	17442	7.3	5.5	3.5	254	107	13	0.74



Table 4

Table 4 Count, average of the maximum 30 dBZ echo area (Area30) and ratio of convective rainfall to total rainfall (Ratio) of precipitation events for different 30 dBZ echo top height over the four subregions.

	0–5 km			5–7 km			7–9 km			9 km ~			
	count	Area30	Ratio	count	Area30	Ratio	count	Area30	Ratio	count	Area30	Ratio	
Plateau	Non-thunderstorm	94	17	0.57	1719	30	0.55	1830	13	0.67	3176	8	0.72
	Thunderstorm	3	53	0.43	121	29	0.57	1542	19	0.60	6298	23	0.65
Foothills	Non-thunderstorm	3963	38	0.68	17659	40	0.71	5260	37	0.78	690	22	0.84
	Thunderstorm	33	52	0.59	1266	79	0.68	3802	84	0.75	5108	120	0.81
Hilly land	Non-thunderstorm	5509	49	0.74	9933	51	0.74	2536	60	0.81	366	40	0.86
	Thunderstorm	36	54	0.57	853	155	0.68	2254	162	0.76	3824	149	0.83
Ocean	Non-thunderstorm	7167	37	0.79	6429	95	0.70	1738	165	0.71	283	196	0.72
	Thunderstorm	11	102	0.57	226	210	0.64	686	341	0.67	902	406	0.70



1 **Table 5**

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3 Table 5. Statistical characteristics of the most intense thunderstorms over the

4 foothills and hilly land.

Type	Taller 40 dBZ	More lightning	Count	FlRate	Maximum height			Pixels number			Ratio
					20 dBZ	30 dBZ	40 dBZ	20 dBZ	30 dBZ	40 dBZ	
Storm-A-type	Yes	No	359	14	15.3	14.4	11.8	116	63	24	0.90
Storm-B-type	Yes	Yes	261	82	16.1	15.2	12.3	542	327	107	0.85
Storm-C-type	No	Yes	474	55	14.1	12.6	9.6	1011	590	133	0.74

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