

Interactive comment on “Dynamically controlled ozone decline in the tropical mid-stratosphere observed by SCIAMACHY” by Evgenia Galytska et al.

Anonymous Referee #1

Received and published: 17 September 2018

The authors thank the Referee for his/her thorough reviewing of the manuscript. We address the Referee's criticisms and highlight our proposed improvements below one-by-one in blue. We use the following notation: **P1 L10** means Page 1, Line 10.

This manuscript addresses the trend in N₂O, and the resulting trend in O₃, which has been observed in the tropical mid-stratosphere (30-35 km) on decadal scales by several instruments. The overall SCIAMACHY measurements included here also show this trend in O₃, and the trend in NO₂ which one expects from the dynamical changes which drive the N₂O trend.

The significant contribution that this manuscript makes, is to show that, according to TOMCAT simulations, there is (from 2004-2012) an increase in Age-of-Air (AoA) in the tropical mid-stratosphere (30-35 km) during some seasons, and a decrease in AoA during others. This result seems plausible, and offers the interesting possibility of changing N₂O (and hence O₃) in this region, while perhaps not changing AoA as much as might otherwise be expected.

While this is quite interesting, the authors have somewhat oversold the conclusion. They can conclude from their model that there is “no statistically significant trend in AoA”, but they cannot say that there is “no change in AoA” (in fact, there is a small overall increase in AoA in their model results).

The Referee is correct in the assertion that the absence of significance in annual mean AoA change does not mean the absence of changes in annual mean O₃. However, we discovered that seasonal changes in AoA are significant and they lead to the non-linearity of the physical-chemical mechanisms controlling the O₃ amount and distribution.

To address this issue we improved the following formulations in the revised manuscript:

- **P8 L7** we replaced ‘The significance of observed changes...’ with ‘The statistical significance of observed changes...’
- **P14 L1** we replaced ‘The absence of AoA changes...’ with ‘The absence of statistically significant AoA changes...’.

However, we disagree with the Referee that our model results show a small overall increase in AoA. In the area of tropical mid-stratosphere, defined in the manuscript on **P3 L5-6** (10°S-10°N, 30-35 km altitude) the negative AoA changes are statistically insignificant (see Fig. 8b). The small region at ~30-32 km altitude and ~10°S in this box exhibit statistically significant negative changes.

While I have no reason to doubt the model results, their explanations for why the seasonal variation in AoA causes N₂O and AoA change differently do not provide any useful insight. It is, of course, highly desirable to have a better understanding of the N₂O and AoA relationship, but unless the explanations can be greatly improved I would recommend dropping these from the manuscript.

To address the issue we have rewritten the explanation of the N₂O-AoA non-linearity. Please, see below our improvements in the 'More detailed comments' and/or **P19 L19-33**.

I also have some serious concerns with the presentation of the SCIAMACHY measurements in the manuscript. The authors need to make very clear to the reader that, **contrary to the model, they have not found any SCIAMACHY data which shows statistically significant increase in SCIAMACHY O₃, or a decrease in NO₂, during any particular month or season**. It is certainly not appropriate that the measurements during the months when the model says that an increase in O₃ or a decrease in NO₂ should occur, and which shows no significant measurement trend, are relegated to the supplement, while at the same time the data during months when the opposite trends occur and the model and measurement trends agree (at least in sign and significance) are shown alongside the model in the main text.

The Referee's criticism implies that we have inadequately explained the mechanism which we think explains the behaviour. To address the issues, we have improved the presentation of SCIAMACHY measurements in the manuscript, Specifically, we added SCIAMACHY NO₂ and O₃ data, which showed insignificant gradients/changes, to Fig. 12c,d and we depicted statistically significant (2-sigma) changes as solid lines, and otherwise as dashed lines.

We also rewrote the explanations related to Fig. 12:

- We mention that SCIAMACHY measurements do not yield statistically significant gradients for the time series of Januaries and Februaries in **P19 L2-3**: 'SCIAMACHY measurements show statistically insignificant changes of NO₂ and O₃ during Januaries and Februaries (Fig. 12c,d, Supplements Fig. S4)'.
- We also added that contrary to model simulations, SCIAMACHY measurements do not show a NO₂ decrease and an O₃ increase when analysing changes for any particular calendar month (**P19 L3-5**): "Contrary to the TOMCAT simulations, SCIAMACHY measurements do not show a statistically significant NO₂ decrease and O₃ increase when analysing changes for any particular calendar month'.
- We also discuss possible reasons for the model-measurements differences (Fig. 12c,d) on **P19 L5-11**: "From September to February, the gradient of O₃ time series increases, becoming more positive for both SCIAMACHY and TOMCAT data, resulting for February in small, statistically insignificant negative gradients for SCIAMACHY observations and small but statistically significant positive gradients for TOMCAT. Similarly for NO₂ mixing ratios, from September to February the gradients decrease i.e. they become more positive for both, SCIAMACHY and TOMCAT results. The SCIAMACHY data show larger errors on gradients of the time series for individual months, than those of the TOMCAT model. This results from the stronger oscillating structure in the SCIAMACHY time series. The reasons for the observed oscillations and their strength are not yet unambiguously identified and are under investigation."

More detailed comments (some of which repeat points from above):

Page 6 line 19 – “Global coverage of SCIAMACHY limb measurements was obtained within 6 days at the equator and less elsewhere.” It’s not clear to me what this means. Perhaps the authors are requiring some maximum distance between measurements. Unless the authors wish to provide a clear definition I would recommend dropping this sentence.

We simplified the sentence on **P6 L19-20** in the revised manuscript as follows: ‘For the SCIAMACHY limb measurements, the global coverage was obtained within 6 days.’

Page 6 line 24 – “the errors of single measurements are mostly normally distributed and no additional issues with outliers have been reported.” I think this means that there was no need to remove outliers, but if this is the case please say this more clearly. If this is not the case then please rewrite the sentence to better explain what is meant.

We have reworked the sentence and added the reference to Gebhardt et al. (2014) on **P6 L24-26** as follows: ‘We calculate zonal monthly mean O₃ and NO₂ values as arithmetic means as according to Gebhardt et al. (2014) ‘the errors of single measurements are expected to be normally distributed and no issue with outliers is known’.

Page 6 line 25 – “Consequently, we assumed that the random errors of zonal monthly means could be neglected.” Without knowing at this point how you are using the data it is hard to know whether this is reasonable or not. I would drop this sentence from here and perhaps make the point.

We have withdrawn the sentence. Thank you.

Page 7 – “In the latitudes between 50-60N and within altitude range 15-26 km we applied cumulative eddy heat flux instead of harmonic fit terms. We used ERA-Interim eddy heat flux at 50 hPa integrated from 45N to 75N with the time lag of 2 months.” I am not acquainted with this method. Do other groups do this? Is there a reference? If not, please give some explanation/justification.

This method was previously applied by Gebhardt et al. (2014). We improved the sentence by adding the reference to the method on **P7 L25-29** as follows: ‘At latitudes between 50-60° N and in the altitude range 15-26 km the cumulative eddy heat flux replaced the harmonic fit terms, similar to Gebhardt et al. (2014). The eddy heat flux was used as a proxy for the transport of stratospheric species due to variation in planetary wave forcing (Dhomse et al., 2006; Weber et al., 2011). Here, we used ERA-Interim eddy heat flux at 50 hPa integrated from 45° N to 75° N with a time lag of 2 months’.

Page 13 line 21 – “The absence of AOA changes in the considered region . . .” This is a fundamental conclusion of the paper, but it represents an unjustified conclusion from the statistics. One cannot conclude from the absence of statistical significance that “there is no change in AOA”. One can only conclude that “there is no statistically significant trend”.

We agree with the Referee and we reworked the sentences on **P14 L1-2** as follows: ‘...and according to Fig. 8b there are no statistically significant changes in AoA in the same region. The absence of statistically significant AoA changes here is on the one hand in agreement with ... ’

Figure 9 is particularly interesting.

Thank you.

Figure 12 – “There are no significant changes in SCIAMACHY measurements taken in February (see Supplements Fig. S4), therefore they are excluded from the figure.” One can’t simply include the SCIAMACHY measurements for a particular month per year when they fit the model, and then ignore them when they don’t. The SCIAMACHY NO₂ results as shown in the supplement are almost significant at the 2-sigma level (they are certainly significant at 1-sigma) and are in the opposite direction of what the model shows. The easiest solution would be for the authors to conclude that the SCIAMACHY measurements, when plotted as one month per year, simply aren’t up to this, and therefore need to be dropped from this figure entirely. The SCIAMACHY results as shown in Figure 2 and 5 certainly do demonstrate the value of this measurements when they are not subsampled as in Figure 12. To address the criticism, we added the SCIAMACHY data for NO₂ and O₃, which showed insignificant gradients, to Fig. 12c,d (as mentioned above). We plotted statistically significant (2-sigma) linear changes as solid lines and insignificant changes as dashed lines.

We also rewrote the explanations related to Fig. 12:

- We mention that SCIAMACHY measurements do not yield statistically significant gradients for the time series of Januaries and Februaries in **P19 L2-3**: ‘SCIAMACHY measurements show statistically insignificant changes of NO₂ and O₃ during Januaries and Februaries (Fig. 12c,d, Supplements Fig. S4)’.
- We also added that contrary to model simulations, SCIAMACHY measurements do not show a NO₂ decrease and an O₃ increase when analysing changes for any particular calendar month (**P19 L3-5**): “Contrary to the TOMCAT simulations, SCIAMACHY measurements do not show a statistically significant NO₂ decrease and O₃ increase when analysing changes for any particular calendar month’.
- We also discuss possible reasons for the model-measurements differences (Fig. 12c,d) on **P19 L5-11**: “From September to February, the gradient of O₃ time series increases, becoming more positive for both SCIAMACHY and TOMCAT data, resulting for February in small, statistically insignificant negative gradients for SCIAMACHY observations and small but statistically significant positive gradients for TOMCAT. Similarly for NO₂ mixing ratios, from September to February the gradients decrease i.e. they become more positive for both, SCIAMACHY and TOMCAT results. The SCIAMACHY data show larger errors on gradients of the time series for individual months, than those of the TOMCAT model. This results from the stronger oscillating structure in the SCIAMACHY time series. The reasons for the observed oscillations and their strength are not yet unambiguously identified and are under investigation.”

Page 19 line 13- This paragraph purports to explain the absence of change in AoA. While it is certainly possible that one could have a change in N₂O and not a change in AoA, this point has not been proven. At the same time, the explanation seems to be simply a complicated statement of the fact that changes in N₂O are governed by changes in upwelling speed, which obviously couple to AoA. Unless the authors can offer some additional insight here I would recommend dropping this paragraph.

We reworked the explanation of N₂O-AoA non-linear relation on **P19 L19-33 as follows**:

'The negative AoA gradients for the 2004-2012 period during the boreal winter months (January and February) and positive AoA gradients during the boreal autumn months (September and October) cancel, i.e. there is no statistically significant linear change/gradient in the annual mean AoA (Fig. 8b). In contrast, the monthly gradients over the same periods for the chemical species N_2O , NO_2 and, as a result of the NO_x ozone catalytic destruction cycle, O_3 do not cancel in the annual means. This effect is primarily attributed to the non-linear relationship between AoA and N_2O . This is explained by the following: 1) AoA strongly depends on the speed of the BDC, with lower AoA values indicating an acceleration, and higher AoA indicating deceleration of the vertical transport. In the absence of significant photolytic loss of N_2O via the Reaction (R7), the changes in stratospheric N_2O would be controlled only by changes of the rate of the tropical upwelling of the BDC (or simply by AoA), i.e. faster upwelling would enhance transport of N_2O to the stratosphere, and vice versa. Without photolytic loss, the rate of change of N_2O concentration would be inversely proportional to the AoA change; 2) the dominant chemical loss mechanism of N_2O is through its photolysis. The amount of photolysed N_2O depends on the residence time of N_2O and this in turn depends on the transport speed, i.e. AoA. Longer residence times of N_2O result from a transport slow-down. Consequently, there is more time for photolytical destruction of N_2O ; 3) as the amount of N_2O is controlled by both transport and photochemistry, its changes do not cancel in the annual average; 4) the amount of NO_2 and O_3 are chemically linked to that of N_2O . Overall, the changes of NO_2 and O_3 are dependent on both the amount of N_2O transported to the stratosphere and its residence time'.

Supplement – The notation of SCIAMACHY, TOMCAT, and Insignificant is confusing, since the gray Insignificant lines can be either of the former two. The current notation obscures the important fact that the subdivided SCIAMACHY measurements never show a significant trend in the opposite direction to the overall trend in N_2O and O_3 . I recommend using just green, blue, and, if the authors think it is helpful, a dotted version of these colored lines for an insignificant trend.

We reworked Fig. S4-S7, i.e. we plotted statistically significant (2-sigma) changes as solid lines, and insignificant changes as dashed lines.

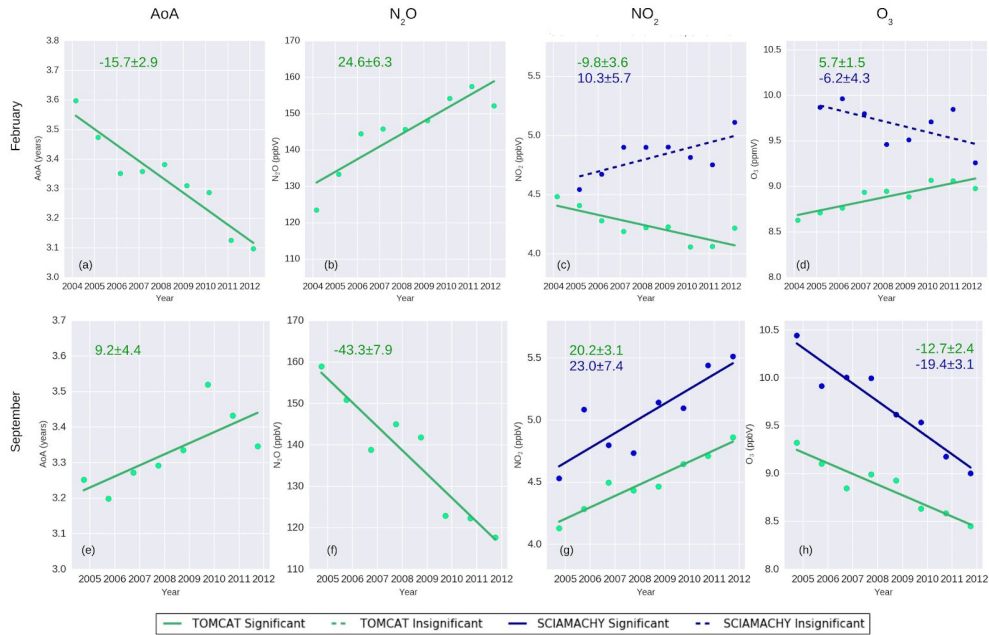


Figure 12. Linear changes of AoA, N_2O , NO_2 , and O_3 minus QBO effect averaged over (a-d) Februaries 2004-2012 and (e-h) Septembers 2004-2011 in the tropical stratosphere between 30 and 35 km altitude. Colour coding indicates the data source: TOMCAT CNTL simulation (green), and SCIAMACHY measurements (dark blue). Colour-coded trend values and their errors (in % per decade) are shown in each panel. Solid lines indicate statistically significant linear changes at the 2σ level, dashed lines indicate statistically insignificant changes.

Interactive comment on “Dynamically controlled ozone decline in the tropical mid-stratosphere observed by SCIAMACHY” by Evgenia Galytska et al.

Anonymous Referee #2

Received and published: 28 September 2018

We thank the Referee for the time spent on reading and reviewing this manuscript, as well as raising some important points. Below we address these points one by one. Our responses are highlighted in blue. We refer to the manuscript using, for instance, **P1 L12**, which means page 1, line 12.

The authors aimed to understand the negative ozone change seen in the middle tropical stratosphere, and in doing so made the link that increases in NO₂ as a result of dynamical changes were causing the loss of ozone in the region of focus around 30-35 km. However, they were not able to link this to a statistically significant change in the age of air, which is also an interesting result. Nevertheless, the importance of understanding multiple chemical and dynamical drivers in the stratosphere is highlighted and the authors present interesting results and raise questions worth investigating further.

Although annual changes in AoA are statistically insignificant we discovered that seasonal changes in AoA are significant and result in specific physico-chemical mechanisms that control the O₃ amount and its changes in annual means. The N₂O, NO₂, and O₃ responses to the changing BDC and AoA are non-linear. We changed the text to point out this issue more strongly, i.e. on **P19 L19-33**.

However, my concern is that some of the points made, and hypotheses, are not well supported by what is presented, or the authors are not explicit and careful with how they present results (e.g. correlation coefficient, below).

I think this work is useful, and should be published, but changes are needed to make it explicitly clear what (i) can definitely be said from the observations, model and comparisons, (ii) what are the hypotheses the authors are putting forward, and (iii) what are the clear open questions that need to be addressed in future.

To address this comment, we rewrote the discussion of model-satellite comparison in Sect. 3.4. SCIAMACHY data, yielding statistically significant and insignificant gradients are both plotted in Fig. 12 (see our reply to Reviewer #1). The discussion of the possible reasons for the differences between the model and measurements has been rewritten (**P19 L5-11**). We also explained better our hypotheses of the non-linear relationship between AoA and N₂O/NO_x/O₃ (**P19 L19-33**). Concerning the issue (iii) mentioned by the Referee “what are the clear open questions that need to be addressed in future” we explicitly described in the last two paragraphs of the Summary (**P20 L20-P21 L5**), i.e. possible causes of the observed seasonal AoA variations.

Comments: 1. I am in agreement with the other referee that the non-significant, even opposite signal (and sign of trend) in February, though non-significant (in the supplement), is not addressed head on. Data is often messy and difficult to deal with especially when

comparing with a model, and should be presented front and centre even if there is a contradiction or lack of evidence to contend with. This actually requires a deeper discussion, because if the model disagrees with the data in the sign of the trend (and it appears consistent between NO₂ and O₃ in February in the supplementary materials despite the non-significance) then that raises questions that need to be highlighted (for example, is it a model or an observational problem?). I won't labour on this point further, or repeat points raised by the other referee, as the other referee has spent quite some time on points related to this.

We agree with the Referee in his criticism and we replotted Fig.12. We included the SCIAMACHY measurements yielding insignificant gradients in Fig. 12c,d; we noted statistically significant at 2-sigma level changes as solid lines, and insignificant changes as dashed lines (see also our reply to Reviewer #1).

We also rewrote the explanations of the behaviour observed in Fig. 12:

- We mention that SCIAMACHY measurements do not show statistically significant changes for NO₂ and O₃ time series of Januaries and Februaries in **P19 L2-3**: 'SCIAMACHY measurements show statistically insignificant changes of NO₂ and O₃ during Januaries and Februaries (Fig. 12c,d, Supplements Fig. S4)'.
- We also mentioned that contrary to model simulations, SCIAMACHY measurements do not show a NO₂ decrease and an O₃ increase when analysing changes for any particular calendar month (**P19 L3-5**): "Contrary to the TOMCAT simulations, SCIAMACHY measurements do not show a statistically significant NO₂ decrease and O₃ increase when analysing changes for any particular calendar month'.
- We also discuss possible reasons for the model-measurements differences (Fig. 12c,d) on **P19 L5-11**: 'From September to February, the gradient of O₃ time series increases, becoming more positive for both SCIAMACHY and TOMCAT data, resulting for February in small, statistically insignificant negative gradients for SCIAMACHY observations and small but statistically significant positive gradients for TOMCAT. Similarly for NO₂ mixing ratios, from September to February the gradients decrease i.e. they become more positive for both, SCIAMACHY and TOMCAT results. The SCIAMACHY data show larger errors on gradients of the time series for individual months, than those of the TOMCAT model. This results from the stronger oscillating structure in the SCIAMACHY time series. The reasons for the observed oscillations and their strength are not yet unambiguously identified and are under investigation'.

2. Page 4, L20-23: is this relationship specifically in the 30-35 km tropical region of the study (see comment 2 below).

The sentence on **P4 L20-23** could indeed be misleading the way it is. We removed the reference of Plummer et al. (2010) because he was dealing with tropical, but lower stratosphere. However, we leave the reference of Kracher et al. (2016), in the manuscript as we consider that this research addresses the impact of tropical upwelling on the N₂O lifetime. Also, to avoid the confusion with regard to their results, we rewrote **P4 L20-23** as follows: 'While accelerated tropical upwelling enhances transport of N₂O from its source towards the stratosphere, it reduces its lifetime (e.g. Kracher et al., 2016). The amount of NO_x is then affected by a shorter N₂O residence time causing its lower production via Reaction (R8a), and as a consequence less O₃ loss in the tropical mid-stratosphere'.

3. Page 4, L26: actually I would argue that the decrease Kyrölä et al., 2013 found was up to 6-8% at its core (Fig. 16), which is more in line with that quoted for Gebhardt et al 2014. However, the core of the negative region in Gebhardt et al., 2014 is upward of -18% (Fig. 8). Fig. 16 of Kyrölä et al. (2013) shows the change of O₃ trends between the two periods. In our manuscript, we refer to O₃ change during the specific period 1997-2011 from Kyrölä et al., 2013, as it is the closest to our period 2004-2012. Consequently, we believe Fig. 15 from Kyrölä et al. (2013) is the most suitable. We improved the sentence on **P4 L26-28** as follows: 'Kyrölä et al. (2013, Fig.15) showed a statistically significant negative trend of O₃ of around 2-4% per decade in the tropical region (10° S-10° N) at altitudes 30-35 km for the period 1997-2011 from the combined Stratospheric Aerosol and Gas Experiment (SAGE) II-Global Ozone Monitoring by Occultation of Stars (GOMOS) dataset'.

Could the authors be clear in what they mean here since I believe the -10% refers to the 20S-20N (Fig 7) profile; since the authors focus in on +/-10 deg. latitude region, the higher value seems more appropriate but then the estimate in this manuscript is almost 2x smaller. We mixed up the 10°S-10°N defined as the tropical region in our study with the 20°S-20°N region used in other studies, e.g. Gebhardt et al. (2014). Since we provided the definition of tropics on **P3 L5-6** as 10°S-10°N, we modified the sentence on **P4 L26-31** as follows: 'Kyrölä et al. (2013, Fig.15) showed a statistically significant negative trend of O₃ of around 2-4% per decade in the tropical region (10° S-10° N) at altitudes 30-35 km for the period 1997-2011 from the combined Stratospheric Aerosol and Gas Experiment (SAGE) II-Global Ozone Monitoring by Occultation of Stars (GOMOS) dataset. Gebhardt et al. (2014, Fig.8) identified much stronger negative O₃ trend of up to 18% per decade in the same altitude and latitude range for the period August 2002-April 2012 from SCanning Imaging Absorption spectroMeter for Atmospheric CHartographyY (SCIAMACHY) observations'.

I assume, though perhaps the authors should check, this difference is due to a different time period and set of regressors used? At the very least please be explicit about what region the numbers represent and are comparable to the region focused on in this manuscript.

To address this point, we now say on **P8 L22** that SCIAMACHY O₃ changes were 'reaching 12% per decade' rather than 'reaching around 10% per decade'. We would also like to highlight that Gebhardt et al. (2014) applied SCIAMACHY limb O₃ scientific dataset v2.9, which was suffering from a drift. In our research we use the O₃ scientific dataset v3.5 (as mentioned on **P6 L21**), which is drift-corrected in contrast to v2.9.

4. Page 8, L4-9: I am not sure I agree that it is consistent to ignore the monthly autocorrelation when using all months. It seems to me consistent not to use it for single month (i.e. Jan only, etc) estimates (since there should be no autocorrelation between the months 12 months apart) and to indeed consider autocorrelation for the full time series since that is typically the case if they are next to each other in a continuous time series. This is only reasonable if you can state explicitly that there is no change in the significance - does considering it have an effect on your conclusions?

Autocorrelation of the noise affects errors of the trends but does not affect the value of the trends themselves. As the major focus of current manuscript is the seasonal changes of transport and chemical compounds, the use of autocorrelation of the noise is not needed. We do not apply it in our Multivariate Linear Regression applied to the annual averages. In Fig. 2 and Fig. 3a,d our focus is on the similarities of the observed patterns of the SCIAMACHY measurements and TOMCAT model in the tropical mid-stratosphere. Nedoluha et al. (2015), who analysed tropical O₃ trends from HALOE and MLS, also did not apply an autocorrelation term.

5. Page 9, L14: the inference the authors make from Fig.3 is that chemistry has little impact on the 30-35 km tropical region; for O₃ and N₂O I think this is reasonable. But for 3d-f it seems that in the box, NO₂ is roughly split 70/30 or maybe 50/50 in the peak positive change. So it isn't clear to me if this statement is fully backed up by the plot (or perhaps its a non-linear interaction?). Please could the authors comment on this, perhaps with values.

For the simulations used in the fixed dynamical (fDYN) case, N₂O (Fig. 3i) shows statistically significant but weak positive changes in the tropical mid-stratosphere. Consequently, an increase of NO₂ (Fig. 3f) is also expected due to Reaction (R8a), N₂O + O(¹D). As a result, a small statistically significant NO₂ increase in the tropical mid-stratosphere (~3% per decade), caused by the chemical mechanism, does lead to a statistically significant O₃ decrease. However in the fSG TOMCAT simulation, NO₂ shows positive changes in the tropical mid-stratosphere (Fig. 3e) similar to TOMCAT CNTL simulation (Fig. 3d) and SCIAMACHY measurements (Fig. 2b). We infer that the major impact of the positive changes of NO₂ comes from the dynamics i.e. the slower transport of N₂O. We provided minor correction on **P10 L1** from '...around 1-3 % per decade' to '...around 3 % per decade'.

6. While Fig 4a. shows a combined non-linear shape, it appears that the anticorrelation (linear slope for each level) reduces with higher altitude, being almost flat at 35 km (green). Why does this happen? Does this indicate that the mechanism proposed is no longer operating as efficiently in the upper part of the box?

We indeed found the drop of anti-correlation between N₂O and NO₂ at the altitude of around 35 km. Although, N₂O and NO₂ on average highly anti-correlate in the tropical middle stratosphere (r=-0.9, Fig. 6). This anti-correlation becomes weaker at 35 km altitude in the tropics during May-July and November and anti-correlation varies from -0.52 to -0.57 (these results are not included in the manuscript). In particular, at altitudes above 35 km, produced NO (via Reaction R8a) reacts rapidly with N (NO + N → N₂ + O) and therefore converts NO back to N₂. Therefore the N₂O-NO₂ anti-correlation becomes weaker in the upper edge of our target altitude region and above.

7. Fig 6, 10, and all discussion related to the R² statistic: this is very confusing and needs to be stated explicitly and correctly. R² is formally the "coefficient of determination", which can be the square of, but not same as the "correlation coefficient". Further R² can only range from 0 to 1, while the correlation coefficient can range from -1 to +1. Please check all instances of this and be correct in its usage; in many places this is confusing and leads the reader to have to try and work out what the authors mean.

We agree with the Referee that R² was misleading and we removed R² from the text of manuscript entirely and reformulated the sentence on **P12 L15-16** as follows: 'Recognising the tight relationships within the tropical mid-stratosphere N₂O-NO_x-O₃ chemistry, seen in Figs. 4 and 5, we further calculated Pearson correlation coefficients, between the chemical species as well as with the dynamical AoA tracer'.

8. Page 16, L5: 0.6 is an arbitrary threshold; please state this explicitly.

We improved the sentence as suggested on **P16 L4-5**: 'Horizontal dashed lines indicate an arbitrary threshold of moderate correlation, which is represented by the value of -0.6.'

We also corrected the caption of Fig. 10 accordingly.

9. Fig. 11: is this also integrated over 10S-10N?

Yes, to avoid any misunderstanding we rephrased the caption of Fig. 11 as follows:

'Annual cycle of monthly mean N₂O (ppbV, contours, 15 ppbV interval) and AoA (years, colours, 0.2 yr interval) as a function of altitude from TOMCAT run CNTL in the tropical region, averaged over the period January 2004–April 2012.'

10. Page 19, L4-5: Is this a hypothesis or a demonstrable fact? I do not understand why it is a limitation of the measurements, given the description earlier of the limb observations being well-distributed in the tropics and the period being considered is the same for the model data. If the effect is demonstrable, then this would provide good evidence the model is correct and why we don't have to worry about the insignificance and/or inverse correlations. If it is a hypothesis, please state explicitly this is the case.

We reworked the hypothesis of larger errors of SCIAMACHY gradients/linear changes on **P19 L8-11** as follows: 'The SCIAMACHY data show larger errors on gradients of the time series for individual months, than those of the TOMCAT model. This results from the stronger oscillating structure in the SCIAMACHY time series. The reasons for the observed oscillations and their strength are not yet unambiguously identified and are under investigation'.

11. Page 19, L16-22. I'm afraid I found this explanation difficult to follow. Please rewrite to be clearer. Is the summary that the N₂O "changes do not cancel in the yearly average" because photolysis has an affect that AoA is not impacted by?

We reworked the explanation of N₂O-AoA non-linear relation on **P19 L19-33** as follows (see also reply to reviewer #1): 'The negative AoA gradients for the 2004-2012 period during the boreal winter months (January and February) and positive AoA gradients during the boreal autumn months (September and October) cancel, i.e. there is no statistically significant linear

change/gradient in the annual mean AoA (Fig. 8b). In contrast, the monthly gradients over the same periods for the chemical species N₂O, NO₂ and, as a result of the NO_x ozone catalytic destruction cycle, O₃ do not cancel in the annual means. This effect is primarily attributed to the non-linear relationship between AoA and N₂O. This is explained by the following: 1) AoA strongly depends on the speed of the BDC, with lower AoA values indicating an acceleration, and higher AoA indicating deceleration of the vertical transport. In the absence of significant photolytic loss of N₂O via the Reaction (R7), the changes in stratospheric N₂O would be controlled only by changes of the rate of the tropical upwelling of the BDC (or simply by AoA), i.e. faster upwelling would enhance transport of N₂O to the stratosphere, and vice versa. Without photolytic loss, the rate of change of N₂O concentration would be inversely proportional to the AoA change; 2) the dominant chemical loss mechanism of N₂O is through its photolysis. The amount of photolysed N₂O depends on the residence time of N₂O and this in turn depends on the transport speed, i.e. AoA. Longer residence times of N₂O result from a transport slow-down. Consequently, there is more time for photolytical destruction of N₂O; 3) as the amount of N₂O is controlled by both transport and photochemistry, its changes do not cancel in the annual average; 4) the amount of NO₂ and O₃ are chemically linked to that of N₂O. Overall, the changes of NO₂ and O₃ are dependent on both the amount of N₂O transported to the stratosphere and its residence time'.

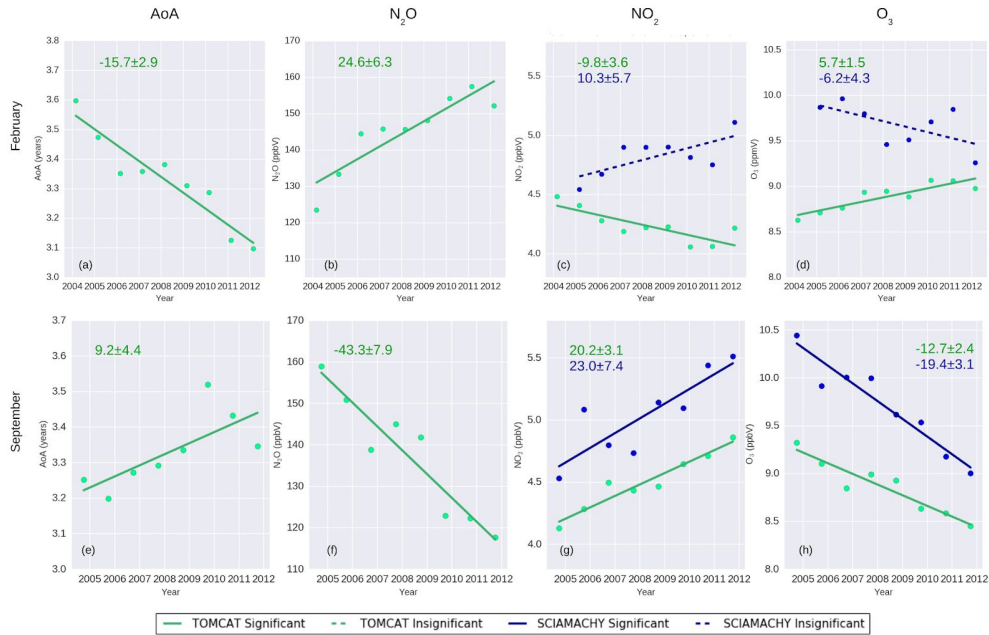


Figure 12. Linear changes of AoA, N_2O , NO_2 , and O_3 minus QBO effect averaged over (a-d) Februaries 2004-2012 and (e-h) Septembers 2004-2011 in the tropical stratosphere between 30 and 35 km altitude. Colour coding indicates the data source: TOMCAT CNTL simulation (green), and SCIAMACHY measurements (dark blue). Colour-coded trend values and their errors (in % per decade) are shown in each panel. Solid lines indicate statistically significant linear changes at the 2σ level, dashed lines indicate statistically insignificant changes.

Interactive comment on “Dynamically controlled ozone decline in the tropical mid-stratosphere observed by SCIAMACHY” by Evgenia Galytska et al.

D. Siskind

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Received and published: 12 October 2018

I do not have much to add to the reviewers comments, except the following:

Their discussion on lines 7-11 on page 5 reads as if they are contradicting themselves. Thus line 7 says “decrease in N₂O” while lines 8-10 discuss an increase in upwelling leading to “lower N₂O oxidation” which necessarily would produce an increase in N₂O. It is true that the specific model perturbation we introduced (Nedoluha et al., 2015b) had an increase in upwelling; however, the model-to-model comparison we made was to show that upwelling strength varies directly as N₂O and inversely as NO_y. And the objective was to explain the lower ozone, which would result from weaker upwelling. I would therefore like to suggest a wording change to be clearer:

Using a 2D chemical-dynamical model, they showed that changes to the tropical upwelling could lead to changes in the N₂O oxidation via (R8a) and thus affect the NO_y production. Based on this, Nedoluha et al. (2015b) concluded that weaker tropical upwelling could therefore explain the decrease of O₃ in the tropical mid-stratosphere.

David Siskind

We thank David Siskind for his helpful comment. We have improved the text as suggested on **P5 L9-11** as follows: ‘Using a 2D chemical-dynamical model they showed that the changes in the tropical upwelling could lead to the changes in the N₂O oxidation via (R8a) and thus affect NO_y production. Based on this, Nedoluha et al. (2015b) concluded that weaker tropical upwelling could, therefore, explain the decrease of O₃ in the tropical mid-stratosphere’.

Dynamically controlled ozone decline in the tropical mid-stratosphere observed by SCIAMACHY

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Abstract. Despite the recently reported beginning of a recovery in global stratospheric ozone (O_3), an unexpected O_3 decline in the tropical mid-stratosphere (around 30-35 km altitude) was observed in satellite measurements during the first decade of the 21st century. We use SCanning Imaging Absorption SpectroMeter for Atmospheric CHartographY (SCIAMACHY) measurements for the period 2004-2012 to confirm the significant O_3 decline. The SCIAMACHY observations show that the decrease in O_3 is accompanied by an increase in NO_2 .

To reveal the causes of these observed O_3 and NO_2 changes, we performed simulations with the TOMCAT 3D Chemistry-Transport Model (CTM) using different chemical and dynamical forcings. For the 2004-2012 time period, the TOMCAT simulations reproduce the SCIAMACHY-observed O_3 decrease and NO_2 increase in the tropical mid-stratosphere. The simulations suggest that the positive changes in NO_2 (around 7% per decade) are due to similar positive changes in reactive odd nitrogen (NO_y), which are a result of a longer residence time of the source gas N_2O and increased production via $N_2O + O(^1D)$. The model simulations show a negative change of 10% per decade in N_2O that is most likely due to variations in the deep branch of the Brewer-Dobson Circulation (BDC). Interestingly, modelled annual mean 'age-of-air' (AoA) does not show any significant changes in the transport in the tropical mid-stratosphere during 2004-2012.

However, further analysis of model results demonstrate significant seasonal variations. During the autumn months (September-October) there are positive AoA changes, that imply transport slowdown and a longer residence time of N_2O allowing larger conversion to NO_y which enhances O_3 loss. During winter months (January-February) there are negative AoA changes, indicating faster N_2O transport and less NO_y production. Although the changes in AoA cancel out when averaging over the year, non-linearities in the chemistry-transport interactions mean that the net negative N_2O change remains.

1 Introduction

Stratospheric ozone (O_3) is one of the most important components of the atmosphere. It absorbs ultraviolet solar radiation, which is harmful to plants, animals and humans, and thereby plays a key role in determining the thermal structure and dynamics

of the stratosphere (Jacobson, 2002; Seinfeld and Pandis, 2006). The amount of O₃ in the stratosphere is controlled by a balance between photochemical production and loss mechanisms. However the atmospheric dynamics play an important role in determining the conditions at which these photochemical and chemical reactions take. As a result O₃ global distribution and inter-annual variability are governed by transport processes, e.g. the Brewer-Dobson Circulation (BDC). To set the scene

5 for our understanding of chemical O₃ variations in the tropical mid-stratosphere, we briefly discuss the mechanism of O₃ production and loss via catalytic NO_x (NO_x=NO + NO₂) cycle and the role of nitrous oxide (N₂O).

Stratospheric O₃ is essentially formed in the regions where solar ultraviolet electromagnetic radiation is present (Chapman, 1930). The first mechanism proposed to explain its formation and loss is known as the Chapman cycle. O₃ is formed via photodissociation of molecular oxygen (O₂) mostly within the so-called Herzberg continuum (200-242 nm; Nicolet, 1981).

10 Absorption by O₂ at shorter wavelengths (e.g. Schumann-Runge bands, 175-200 nm) occurs at higher altitudes, i.e. in the upper stratosphere, mesosphere. In the mid-stratosphere the ultraviolet sunlight breaks apart an O₂ molecule to produce two oxygen (O) atoms:



Then each O atom combines with O₂ to produce O₃:



where M represents a third body. Reactions (R1) and (R2) occur continually whenever shortwave ultraviolet radiation is present in the stratosphere. As a consequence, the strongest O₃ production takes place in the tropical mid-stratosphere. Then O₃ is photolyzed with lower-energy photons in the Hartley bands (242-310 nm) to produce excited singlet oxygen (O(¹D)) or in the Huggins bands (310-400 nm) to produce ground-state atomic oxygen O(³P):



An important aspect of O₃ photochemistry is that it is the major source of O(¹D) in the stratosphere (R3a). O(¹D) is rapidly quenched to the electronic ground state by collision with any third-body molecule, most likely N₂ or O₂ (O(¹D) + M → O + M; Jacob, 1999). The final Chapman cycle reaction of O₃ and atomic oxygen (R4) is relatively slow and does not cause significant

25 O₃ loss, since O₂ recombines with O atom to regenerate O₃ via Reaction (R2).



Importantly, other chemical cycles can catalyse this reaction.

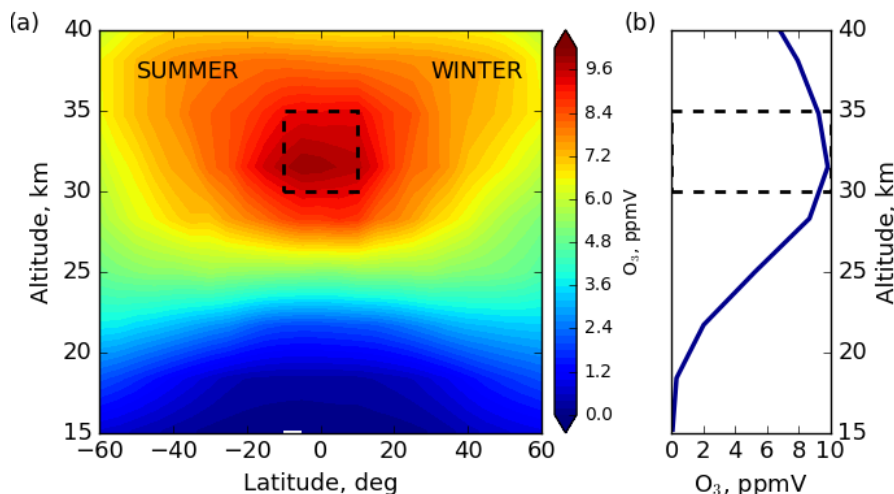


Figure 1. SCIAMACHY zonally averaged climatological mean O_3 (ppmV) for (a) latitude-altitude distribution and (b) profile during DJFs 2004–2012. The dashed rectangles indicate the region of tropical mid-stratosphere investigated in this paper.

The distribution of O_3 in the stratosphere is illustrated in Fig. 1. Panel a shows zonally-averaged climatological mean O_3 volume mixing ratio (vmr) as a function of latitude in the stratosphere from SCIAMACHY measurements (further described in Sect. 2.1) during boreal winters (December-January-February, DJF) 2004–2012. We chose Northern Hemisphere (NH) winter months to clearly represent the hemispheric distributions of O_3 . Fig. 1b shows the mean O_3 vertical profile for the tropical region, averaged for the same period as in panel a. The dashed rectangles indicate the region of the tropical (10°S – 10°N) mid-stratosphere (30–35 km) investigated in this study.

Significant O_3 destruction occurs through reaction with oxides of nitrogen (NO_x , whose major source is N_2O), hydrogen ($\text{HO}_x=\text{OH}$, H_2O , whose major sources are CH_4 and H_2O), chlorine (ClO_x , whose major sources are chlorofluorocarbons, known as CFCs, and other halocarbons) and bromine (BrO_x , whose major sources are methyl bromide and halons). Portmann et al. (2012) showed that the relative mean global O_3 loss in the upper and lower stratosphere is dominated by the HO_x , $\text{ClO}_x/\text{BrO}_x$ chemistry, and in the middle stratosphere by the NO_x cycle, which is the largest near the O_3 maximum. Consequently, significant O_3 loss in the tropical mid-stratosphere is predominantly determined by catalytic NO_x destruction (Crutzen, 1970), where NO rapidly reacts with O_3 to produce NO_2 :



NO_2 molecules can then react with (ground state) oxygen atoms:



In the middle stratosphere, the exchange time between NO and NO_2 in Reactions (R5) and (R6) is approximately one minute during daytime.

The primary source of NO_x in the stratosphere is N_2O (McElroy and McConnell, 1971), which is emitted at the surface by anthropogenic and microbial processes in the ocean and soils (Bregmann et al., 2000). N_2O is an important greenhouse gas, inert in the troposphere, and is transported into the tropical stratosphere via the upwelling branch of the BDC. Around 90% of all N_2O is photolyzed in the stratosphere by UV radiation between 180-230 nm (McLinden et al., 2003) with the maximum absorption being in the region between 180-190 nm (Keller-Rudek et al., 2013):



The remaining 10% of N_2O is removed by reaction with $\text{O}(^1\text{D})$ which occurs through two channels. One of these (about 5% of overall N_2O loss) contributes to NO production:



10



We emphasise here that the oxidation of N_2O via Reaction (R8a) is the primary source of NO (and NO_x) in the stratosphere, which then actively participates in O_3 destruction via (R5) and (R6).

The impact of N_2O on both climate change and stratospheric O_3 is such that it is necessary to further control its emissions. However, N_2O is not included for regulation in the Montreal Protocol (WMO, 2014), signed in 1985 by the United Nations Vienna Convention for the Protection of the Ozone Layer to limit the negative impact of man-made O_3 -depleting substances. Anthropogenic N_2O is only regulated by the Kyoto Protocol to the United Nations Framework Convention on Climate Change (UNFCCC) and is expected to be the dominant contributor to O_3 depletion in the 21st century (Ravishankara et al., 2009).

Due to the long global lifetime of N_2O , which exceeds 100 years (e.g. Olsen et al., 2001; Seinfeld and Pandis, 2006; Portmann et al., 2012; Chipperfield et al., 2014), its distribution is affected by changes in BDC. ~~For example, Plummer et al. (2010) and Kracher et al. (2016) showed that the accelerated upwelling decreases the residence time~~ While accelerated tropical upwelling enhances transport of N_2O in the stratosphere causing lower from its source towards the stratosphere, it reduces its lifetime (e.g. Kracher et al., 2016). The amount of NO_x production is then affected by a shorter N_2O residence time causing its lower production via Reaction (R8a), and as a consequence lower less O_3 loss . ~~For conditions of slower upwelling, the residence time of N_2O in the stratosphere is expected to be longer, which then causes higher NO_x production and higher O_3 loss. in the tropical mid-stratosphere.~~

Several publications in recent years have documented significant O_3 changes in the tropical mid-stratosphere, in particular its decrease during the first decade of the 2000s (Kyrölä et al., 2013; Gebhardt et al., 2014; Eckert et al., 2014; Nedoluha et al., 2015b). ~~Kyrölä et al. (2013)~~ Kyrölä et al. (2013, Fig.15) showed a statistically significant negative trend of O_3 of around 2-4% per decade ~~for the period 1997-2011 in the tropical region (10°S - 10°N)~~ at altitudes 30-35 km for the period 1997-2011 from the combined Stratospheric Aerosol and Gas Experiment (SAGE) ~~H-Global II and Global~~ Ozone Monitoring by Occultation of Stars (GOMOS) dataset. ~~Gebhardt et al. (2014) identified~~ Gebhardt et al. (2014, Fig.8) identified much stronger negative O_3

~~trends-trend~~ of up to ~~10~~18% per decade in the same altitude and latitude range for the period August 2002-April 2012 ~~at altitudes 30-38 km~~ from SCanning Imaging Absorption spectroMeter for Atmospheric CHartographY (SCIAMACHY) ~~which are almost double the trends reported by Kyrölä et al. (2013) observations~~. In addition, Gebhardt et al. (2014) pointed out a possible connection of negative O₃ trends with positive NO_x changes (first presented at Quadrennial Ozone Symposium 5 2012). Eckert et al. (2014) reported negative O₃ trends in the tropics in a form of a doubled-peak structure at around 25 and 35 km from Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) for the period 2002-2012. However, the reasons for observed trends remained unclear, although Eckert et al. (2014) mentioned that the changes in upwelling explain neither the observed negative O₃ trends nor their doubled-peak structure.

The findings of Nedoluha et al. (2015b) were the most relevant to describe observed trends in the tropical mid-stratosphere. 10 They showed a significant O₃ decrease at 30-35 km altitude in the tropics by using Halogen Occultation Experiment (HALOE; 1991-2005) and NASA Aura Microwave Limb Sounder (MLS; 2004-2013) data. They linked the O₃ decrease with the long-term increase of the bulk of NO_y (NO_x + HNO₃ + 2×N₂O₅) species ~~, which in turn they explained by and related this to~~ changes in N₂O ~~transported-transport~~ from the troposphere. In particular they showed that the decrease in N₂O is 'likely linked to long-term variations in dynamics'. Using a 2D chemical-dynamical model they showed that ~~the simulated~~ 15 ~~increase of tropical upwelling led to lower changes in the tropical upwelling could lead to changes in the~~ N₂O oxidation via ~~(R8a). As a consequence less R8a) and thus affect~~ NO_y ~~was produced which resulted in less O₃ destruction. With such conclusions Nedoluha et al. (2015b) argued that simulated dynamical perturbations could explain changes production. Based on these results, Nedoluha et al. (2015b) concluded that weaker tropical upwelling could, therefore, explain the decrease~~ of O₃ in the tropical mid-stratosphere. Nevertheless, the authors did not show that such dynamical perturbations in the BDC indeed 20 ~~existed in the atmosphere occurred~~. The changes in the strength of different BDC branches were analysed by Aschmann et al. (2014). They used diabatic heating calculations from the European Centre for Medium-Range Weather Forecasts (ECMWF) Era-Interim data set. They concluded that 'there are strong indications that the observed trend-change in O₃ is primarily a consequence of a simultaneous trend-change in tropical upwelling'. The conclusions of both Aschmann et al. (2014) and Nedoluha et al. (2015b) agree with the finding of Shepherd (2007), who showed that stratospheric O₃ is affected by variations in transport 25 patterns, which in turn are associated with changes in Rossby-wave forcing.

The most recent publications with extended data records suggest that there are signs of O₃ recovery in the tropical mid-stratosphere. Sofieva et al. (2017) showed small negative (2% per decade) O₃ trend by analysing merged SAGE II, European Space Agency (ESA) Ozone Climate Change Initiative (Ozone_cci) and Ozone Mapping Profiler Suite (OMPS) datasets for the period 1997-2016. Steinbrecht et al. (2017) analysed seven merged data sets and concluded that there are no clear indications 30 for O₃ changes in the tropics during 2000-2016. Ball et al. (2018) highlighted that the observed decrease of O₃ at 32-36 km is primarily due to high O₃ during 2000-2003 period and they did not report negative O₃ changes during 1986-2016. Positive O₃ trends in the tropical stratosphere above 10 hPa were shown in the most recent research of Chipperfield et al. (2018, Fig. 3) for the period 2004-2017 from MLS measurements and simulations of the TOMCAT CTM. While there are clear signs of recent recovery of stratospheric ozone layer (Chipperfield et al., 2017), full explanations of observed negative O₃ changes in 35 the tropical mid-stratosphere within the first decade of the 21st century have not been quantified.

In this study we analyse changes in the tropical mid-stratosphere based on updated SCIAMACHY O₃ and NO₂ datasets during 2004-2012, which is similar to the period analysed by several studies (Kyrölä et al., 2013; Gebhardt et al., 2014; Eckert et al., 2014; Nedoluha et al., 2015b). However, in contrast to those studies, we combine and compare SCIAMACHY measurements with simulations of TOMCAT, a state-of-the-art 3D chemistry-transport model (CTM). We additionally perform TOMCAT runs with different chemical and dynamical forcings to diagnose the primary causes of O₃ and NO₂ changes. We also consider modelled NO_x, the major component of mid-stratosphere NO_y, and N₂O species in our analysis. Based on modelled age-of-air (AoA) data we demonstrate seasonal changes in the deep branch of BDC. We further explain how transport changes from month-to-month affect N₂O chemistry, which consequently leads to observed O₃ changes. Note that in this paper, we do not refer to observed changes of chemical compounds in the mid-stratosphere as 'trends', as the analysed time span is not long enough. Consequently, we use the term 'changes' instead.

2 Methods and data sources

2.1 SCIAMACHY limb data

The ESA Environmental Satellite (Envisat) mission carried ten sensors dedicated to Earth observation, which were operational from the launch of the satellite in March 2002 until it failed in April 2012, doubling the planned lifetime of 5 years. Envisat was in a near-circular sun-synchronous orbit at an altitude of around 800 km, with the inclination of 98°. The SCIAMACHY instrument (Burrows et al., 1995; Bovensmann et al., 1999) onboard Envisat was a passive imaging spectrometer that comprised eight spectral channels and covered a broad spectral range from 240 to 2400 nm.

SCIAMACHY performed spectroscopic observations of solar radiation scattered by and transmitted through the atmosphere, as well as reflected by the Earth's surface in three viewing modes: limb, nadir, and occultation. We use only SCIAMACHY data in limb-viewing geometry in our study. In this case, the line of sight of the instrument follows a slant path tangentially through the atmosphere and solar radiation is detected when it is scattered into the field of view of the instrument. The limb geometry combines near-global coverage with a moderately high vertical resolution of about 3 km. SCIAMACHY scanned the Earth's limb within a tangent height range of about -3 to 92 km (0 to 92 km since October 2010) in steps of about 3.3 km. The vertical instantaneous field of view of the SCIAMACHY instrument was ~2.6 km, and the horizontal cross-track instantaneous field of view was ~100 km at the tangent point. However, the horizontal cross-track resolution is mainly determined by the integration time during the horizontal scan resulting typically in a value of about 240 km. ~~Global coverage of~~ ~~For the~~ SCIAMACHY limb measurements, the global coverage was obtained within 6 days ~~at the equator and less elsewhere~~.

O₃ and NO₂ profiles data used here are from IUP (Institut für Umweltphysik) Bremen limb retrievals Versions 3.5 and 3.1, respectively. Monthly mean O₃ (Jia et al., 2015) and NO₂ (Butz et al., 2006) data were gridded horizontally into 5°latitude × 15°longitude and vertically into ~3.3 km altitude bins, covering the altitude range from 8.6 to 64.2 km.

We use both O₃ and NO₂ data for altitudes 15-40 km. ~~The~~ ~~We calculate~~ zonal monthly mean O₃ and NO₂ values ~~were calculated~~ as arithmetic means as according to Gebhardt et al. (2014) 'the errors of single measurements are ~~mostly expected to be~~ normally distributed and no ~~additional issues with outliers have been reported~~ issue with outliers is known'. Zonal monthly

mean values ~~were~~ are typically composed of hundreds of single measurements. ~~Consequently, we assumed that the random errors of zonal monthly means could be neglected.~~ We chose the boundaries 60°S-60°N to circumvent gaps in SCIAMACHY sampling during polar winters.

2.2 TOMCAT model

5 We have performed a series of the experiments with the global TOMCAT offline 3-D CTM (Chipperfield, 2006). The model contains a detailed description of stratospheric chemistry including species in the O_x , HO_x , NO_y , Cl_y and Br_y chemical families. The model includes heterogeneous reactions on sulfate aerosols and polar stratospheric clouds. The model was forced using ECMWF ERA-Interim winds and temperatures (Dee et al., 2011) ~~and simulations.~~ Simulations were performed at $2.8^\circ \times 2.8^\circ$ horizontal resolution with 32 σ -p levels ranging from the surface to about 60 km. The surface mixing ratios of long-lived
10 source gases (e.g. CFCs, HCFCs, CH_4 , N_2O) were taken from WMO (2014) scenario A1. The solar cycle was included using time-varying solar flux data (1950-2016, Dhomse et al., 2016) from the Naval Research Laboratory (NRL) solar variability model, referred to as NRLSSI2 (Coddington et al., 2016). Stratospheric sulfate aerosol surface density (SAD) data for 1850-2014 were obtained from ftp://iacftp.ethz.ch/pub_read/luo/CMIP6/ (Arfeuille et al., 2013; Dhomse et al., 2015).

We performed ~~a total of~~ three model simulations ~~constrained for SCIAMACHY measurements to help to~~ distinguish the
15 dynamical and chemical ~~effects on~~ influence on the stratospheric O_3 and NO_2 . The control run (CNTL) was spun up from 1977 and integrated until the end of 2012 including all of the processes described above. Sensitivity simulations were initialised from the control run in 2004 and also integrated until the end of 2012. Run fSG was the same as run CNTL but used constant tropospheric mixing ratios of all source gases after 2004. This removes the long-term trends in composition due to source gases changes. Run fDYN was the same as CNTL but used annually repeating meteorology from 2004. All of the simulations
20 included an idealised stratospheric AoA tracer which was forced using a linearly increasing tropospheric boundary condition.

2.3 Multiple linear regression

To assess the temporal evolution ~~of chemical compounds,~~ we applied a multiple linear regression (MLR) model similar to Gebhardt et al. (2014) to SCIAMACHY O_3 and NO_2 and TOMCAT O_3 , NO_2 , N_2O , NO_x , and NO_y species time series for the period January 2004-April 2012. The MLR was performed for each latitude band and altitude level and included the following
25 proxies: the seasonal variations (12- and 6-month terms), Quasi-Biennial Oscillation (QBO), El Niño–Southern Oscillation (ENSO), a constant, and linear terms as shown in Eq. (1):

$$\mu + \omega t + \sum_{j=1}^2 (\beta_{1j} \sin(\frac{2\pi jt}{12}) + \beta_{2j} \cos(\frac{2\pi jt}{12})) + aQBO_{10}(t) + bQBO_{30}(t) + cENSO(t), \quad (1)$$

where μ stands for ~~intercept of a linear fit of regression analysis~~ the ordinate intercept of the regression line, ω ~~is for~~ its slope (linear changes). Time (~~in as running~~ months) is represented by t , and varies from 1 to 100, where 1 corresponds
30 to January 2004 and 100 to April 2012. $\beta_{11} \dots \beta_{22}$, a , b , and c are additional fitting parameters. The harmonics with annual (12 months) and semi-annual (6 months) periods, which correspond to $j=1$ and $j=2$, ~~accordingly~~ respectively, are used

to represent seasonal variations. The combination of ~~sin and cos modulations adjusts to any~~ sine and cosine modulations adjusts the phase of the (semi-)annual ~~variations. In the variation. At~~ latitudes between 50-60°N and ~~within in the~~ altitude range 15-26 km ~~we applied the~~ cumulative eddy heat flux ~~instead of replaced the~~ harmonic fit terms. ~~We used,~~ similar to Gebhardt et al. (2014). The eddy heat flux was used as a proxy for the transport of stratospheric species due to variations in planetary wave forcing (Dhomse et al., 2006; Weber et al., 2011). Here, we used ERA-Interim eddy heat flux at 50 hPa integrated from 45°N to 75°N with ~~the a~~ time lag of 2 months. $QBO_{10}(t)$ and $QBO_{30}(t)$ are the equatorial winds at 10 and 30 hPa, respectively (available from <http://www.geo.fu-berlin.de/en/met/ag/strat/produkte/qbo/index.html>). Monthly time series of equatorial winds were smoothed by a 4-month running average. $ENSO(t)$ - represents ENSO and is based on anomalies of the Nino 3.4 index (available from <http://www.cpc.ncep.noaa.gov/data/indices/>). In our regression model ENSO is accounted for within the latitude band 20°S-20°N and at altitudes 15-25 km with a time lag of 2 months. In addition to the above-mentioned proxies, we have calculated linear changes both with and without ~~the a~~ solar cycle term. The solar cycle term is represented by the multi-instrument monthly mean Mg II index from GOME, SCIAMACHY, and GOME-2 (available from http://www.iup.uni-bremen.de/gome/solar/MgII_composite.dat, Weber et al., 2013). The results with and without the solar cycle term are very similar. Therefore, we only show results from MLR without a solar cycle term.

~~As the noise autocorrelation is not applicable when calculating~~ The use of noise autocorrelation does not add any information when calculating the value of linear changes for selected ~~months, so monthly time series. Consequently,~~ for reasons of consistency, it was also ignored ~~for when determining the~~ linear changes from the complete time series. We used the 1σ value, which is defined by a covariance matrix of regression coefficients, as the uncertainty of observed changes. The statistical significance of observed changes at the 95% confidence level is met if the absolute ratio between the trend and its uncertainty is larger than 2 (Tiao et al., 1990). For all chemical species, we show changes in relative units with respect to the mean value of the whole time series, i.e. % per decade. Changes of AoA are shown in absolute values, i.e. years per decade.

3 Results and discussion

3.1 Observed and simulated changes from SCIAMACHY and TOMCAT

Figure 2 shows latitude-altitude plots of the O_3 and NO_2 linear changes from SCIAMACHY measurements over the latitude range 60°S-60°N and altitude range 15-40 km during January 2004-April 2012. Hatched areas show regions where changes are significant at the 2σ level. The plot is based on zonal monthly mean values with data gridding as described in Sect. 2.1. Statistically significant positive O_3 changes of around 6% per decade are observed at southern mid-latitudes at altitudes around 27-31 km (Fig. 2a), which agree well with linear O_3 trends from MLS for the period 2004-2013 shown by Nedoluha et al. (2015b). More pronounced positive O_3 changes are seen in the tropical lower stratosphere up to ~ 22 km altitude, which match well with results reported by Gebhardt et al. (2014) and Eckert et al. (2014). However, the focus of our analysis remains on the region of the tropical mid-stratosphere bounded by the dashed ~~rectangle~~ rectangles in Fig. 2. This is the region where the 'island' of statistically significant negative O_3 changes is observed, reaching ~~around 10~~ 12% per decade.

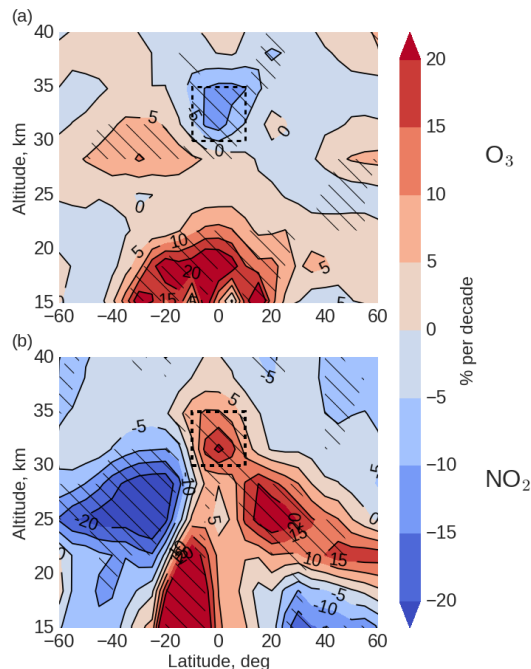


Figure 2. Latitude-altitude distribution of (a) O₃ and (b) NO₂ changes (% per decade) from MLR ~~model-of-applied to~~ SCIAMACHY measurements for ~~the~~ January 2004–April ~~2012–2012~~ period. Hatched areas show changes significant at the 2σ level. The dashed rectangle indicates the region of the tropical mid-stratosphere investigated in this paper.

The SCIAMACHY version 3.5 O₃ data (see Sect. 2.1) used in this study employs an updated retrieval approach in the visible spectral range (Jia et al., 2015) compared to older data versions. The observed negative O₃ changes in the tropical mid-stratosphere (Fig. 2) are consistent with Gebhardt et al. (2014), who applied version 2.9 O₃ data during a similar period (August 2002–April 2012), using a similar regression model. Such negative O₃ changes also agree well with the findings of
 5 Kyrölä et al. (2013); Eckert et al. (2014); Nedoluha et al. (2015b); Sofieva et al. (2017), albeit they employed different datasets within similar, but not identical, time spans. Figure 2b shows a strong positive change in NO₂ of around 15% per decade in the region of the tropical mid-stratosphere.

To identify possible reasons for the O₃ changes in the tropical mid-stratosphere, and to check the role of N₂O and NO_x chemistry in these changes following suggestions by Nedoluha et al. (2015b), we analyse data from three TOMCAT simulations
 10 (see Sect. 2.2). Figure 3 presents latitude-altitude plots of the linear changes in O₃ (panels a-c), NO₂ (panels d-f), and N₂O (panels g-i) for the period January 2004–April 2012 from TOMCAT (1) control run, CNTL - left column, (2) run with constant tropospheric mixing ratios of source gases, fSG - middle column, and (3) run with annually repeating meteorology, fDYN - right column. Results are shown on the native TOMCAT vertical grid. Latitude-altitude plots of equivalent NO_x and NO_y linear changes from TOMCAT are shown in ~~the Supplements FigFigs. S1–S2 of the Supplements~~. The CNTL simulation shows
 15 negative O₃ changes in the tropical mid-stratosphere (Fig. 3a) of around 5% per decade and positive NO₂ changes (Fig. 3d)

of around 10% per decade, which are similar, but somewhat smaller than changes observed by SCIAMACHY (Fig. 2a,b). Figure 3g indicates a statistically significant N₂O decrease of around 15% per decade in the tropical mid-stratosphere and a pronounced hemispheric asymmetry with positive changes at southern and negative changes at northern mid-latitudes. These changes agree well with N₂O trends from MLS during 2004-2013 (see Nedoluha et al., 2015a, Fig. 10). Such variations of N₂O, a long-lived tracer with the global lifetime of around 115-120 years (Portmann et al., 2012), might indicate possible changes in the deep branch of the BDC.

To distinguish the role of transport on O₃, NO₂, and N₂O changes in the tropical mid-stratosphere, we show the results of the TOMCAT fSG simulation with the constant tropospheric mixing ratios of all source gases in the middle column of Fig. 3. The modelled changes from both runs CNTL and fSG are very similar for O₃ (Fig. 3a,b), NO₂ (Fig. 3d,e), and N₂O (Fig. 3g,h). This illustrates that the observed changes in the tropical mid-stratosphere are mostly of dynamical origin. The TOMCAT fDYN simulation, with annually repeating meteorology, shows insignificant negative (slightly negative) changes in O₃ (Fig. 3c). Both NO₂ (Fig. 3f) and N₂O (Fig. 3i) show statistically significant but very weak positive changes in the tropical mid-stratosphere of around +3% per decade. This indicates that the direct impact of the chemistry on observed variations of O₃, NO₂, and N₂O is rather small.

3.2 Tropical mid-stratospheric correlations

A powerful diagnostic for identifying the impact of chemical and dynamical processes on specific stratospheric constituents is tracer-tracer correlation plots (e.g. Sankey and Shepherd, 2003; Hegglin and Shepherd, 2007). Figure 4 shows correlation plots of N₂O versus NO₂ and N₂O versus O₃ in the tropical mid-stratosphere from the TOMCAT run CNTL. The colour coding classifies data according to altitude: 31 km (orange), 32 km (magenta), 33.5 km (sky blue), and 35 km (green). The panels show monthly mean data over the period January 2004-April 2012. Due to its long lifetime, N₂O values reflect transport patterns: low N₂O values indicate older air and high N₂O values younger air. Figure 4a shows N₂O-NO₂ anti-correlation, which results from N₂O chemical loss to produce NO₂ and which is coupled with dynamical impact is thus coupled to dynamical changes. Namely, when the upwelling is speeded up/accelerated, more N₂O is transported from lower altitudes, but less NO₂ (and therefore NO_y) is formed as the residence time of N₂O decreases. Consequently, there is less time to produce NO₂ via Reaction (R8a). In contrast, with slower upwelling less N₂O is transported to the mid-stratosphere, but its residence time is longer which allows increased NO₂ production. Fig. 4a, clearly shows the larger abundance of N₂O observed at 31 km (160-200 ppbV) than at 35 km (50-140 ppbV). This indicates the time needed to transport air masses between the two altitudes, which in turn favours larger NO₂ production at 35 km of around 4.5-5.5 ppbV in comparison to 3-4.5 ppbV at 31 km. NO₂ produced from the oxidation of N₂O impacts O₃. Figure 4b shows the N₂O and O₃ correlation. There is a linear relation, as the lifetime of both tracers in this region is greater than their vertical transport timescales (Bönisch et al., 2011). Both panels of Fig. 4 show quite compact correlations between the tracers, which indicate well mixed air masses (Hegglin et al., 2006).

To obtain more detailed information about tracer distributions, in particular on the NO₂ impact on the observed negative O₃ change, we present NO₂-O₃ scatter plots at 31.5 km in the tropics in Fig.5 from SCIAMACHY measurements and TOMCAT simulations CNTL, fSG and fDYN. Data points indicate zonal monthly mean values during January 2004-April 2012. Here

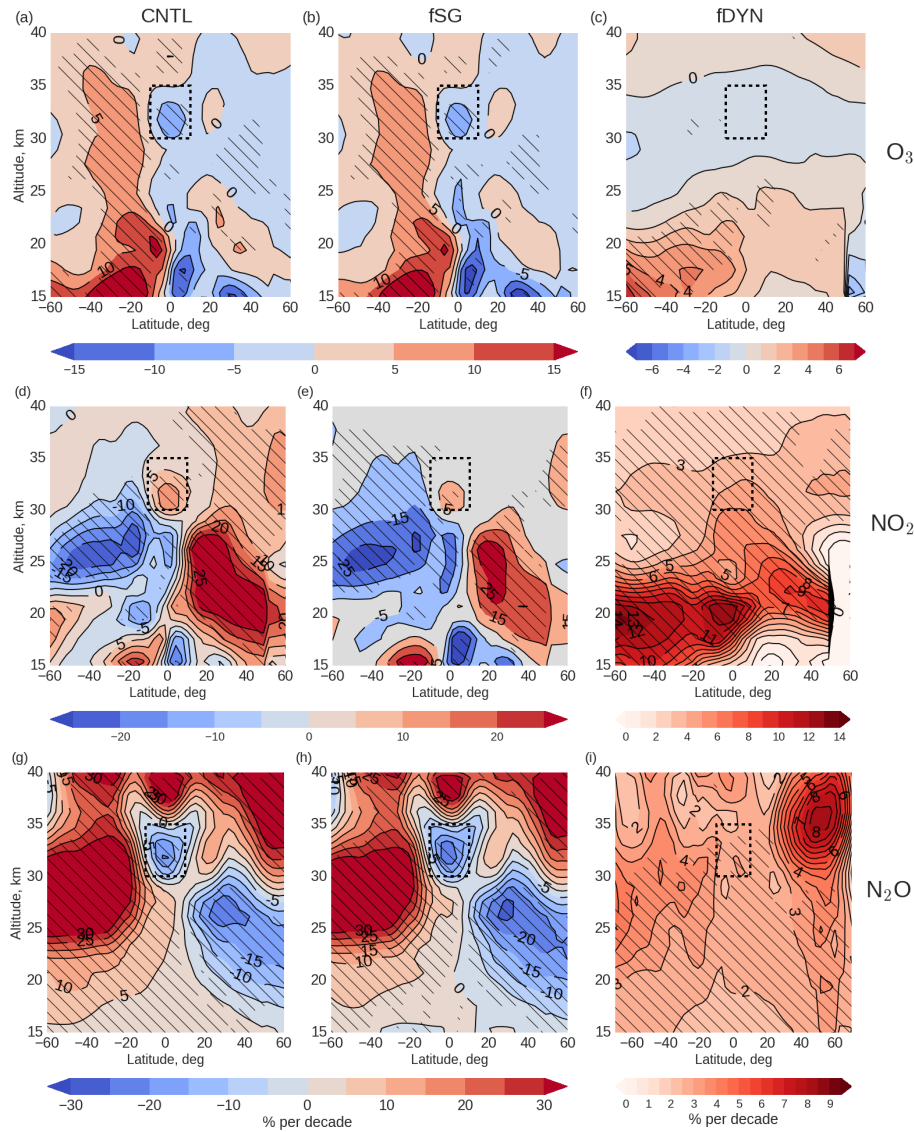


Figure 3. Latitude-altitude distribution of (a-c) O_3 , (d-f) NO_2 , and (g-i) N_2O changes (% per decade) from the MLR model applied to TOMCAT runs for in the January 2004–April 2012 period: CNTL (left column), fSG (middle column), and fDYN (right column): latitude range. Latitude ranges from 60°S to 60°N , and altitude range-ranges from 15 to 40 km. Hatched areas show changes significant at the 2σ level. The dashed rectangle indicates the region of the tropical mid-stratosphere investigated interest in this paper.

TOMCAT results are interpolated to the SCIAMACHY vertical grid. Solid lines in each panel specify linear fits to corresponding data points and represent the chemical link between O_3 and NO_2 . All panels of Fig. 5 show the expected negative correlation of O_3 with NO_2 . The SCIAMACHY NO_2 - O_3 distribution (Fig. 5a) agrees well with TOMCAT CNTL simulation (Fig. 5b), though modelled NO_2 and O_3 are lower in comparison with measurements.

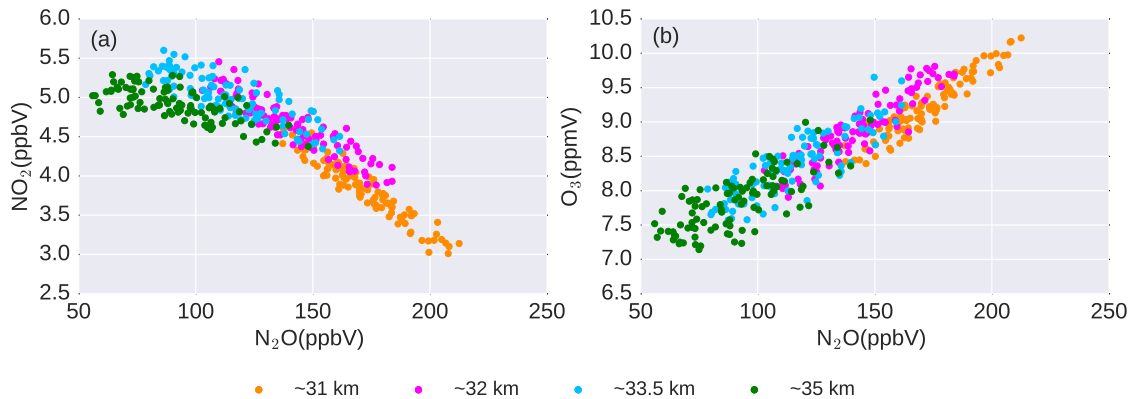


Figure 4. Scatter plots of monthly mean (a) N_2O versus NO_2 and (b) N_2O versus O_3 in the tropical mid-stratosphere during January 2004–April 2012 from TOMCAT simulation CNTL. Colour coding classifies data according to altitude: 31 km (orange), 32 km (magenta), 33.5 km (sky blue), and 35 km (green).

To further investigate the impact of dynamics on NO_2 - O_3 changes, Fig. 5c shows a combined scatter plot from both simulations CNTL and fSG. In conditions of unchanged tropospheric mixing ratios of source gases (fSG, orange points), the data scatter and slopes do not change significantly in comparison with the control simulation (CNTL, green points). Both simulations are performed with the same dynamical forcing. In contrast, the NO_2 - O_3 scatter from CNTL, and fDYN TOMCAT simulations (Fig. 5d) differ significantly. In the absence of dynamical changes (red points) the NO_2 and O_3 scatter do not show such large variability as in run CNTL (green points), which highlights the impact of transport and indicates different tracer distributions with and without dynamical changes. However, the slopes are similar in both simulations, which represents the chemical impact of NO_x changes on O_3 . Therefore, the NO_2 - O_3 scatter plots from the model calculations confirm the notion that observed O_3 changes are linked to NO_x chemistry in the tropical mid-stratosphere. Also, it follows from the different TOMCAT simulations that these chemical changes on shorter timescales are ultimately driven by dynamical variations.

Recognising the tight relationships within the tropical mid-stratosphere N_2O - NO_x - O_3 chemistry, seen in Figs. 4 and 5, we further calculated correlation coefficients (R^2), including the calculated Pearson correlation coefficients between the chemical species as well as with the dynamical AoA tracer. Figure 6 shows the correlation heatmap for AoA, N_2O , NO , NO_2 , and O_3 for the period January 2004–April 2012 in this region. Repeated information is excluded from the heatmap. The correlations (R^2) between the monthly means of the chemical species N_2O , NO , NO_2 , and O_3 are very high and in all cases exceed 0.9. This which is consistent with the tracer-tracer correlations shown in Figs. 4a,b and 5a-d. The R^2 value for N_2O - O_3 is lower in comparison with that for NO_2 - O_3 . This difference is larger when looking at seasonal values (not shown here). Such differences in R^2 are overall high correlation as shown in the heatmap can be explained by the overall regulation of the O_3 abundance in the tropical mid-stratosphere. Ozone is mainly destroyed by NO_x in this altitude region and the strong chemical link between O_3 and NO_x is confirmed by the high anti-correlation ($R^2=0.92$). A strong anti-correlation is expected between N_2O and NO_y as these are both long-lived tracers in the mid-lower stratosphere and N_2O is the source of NO_y . As

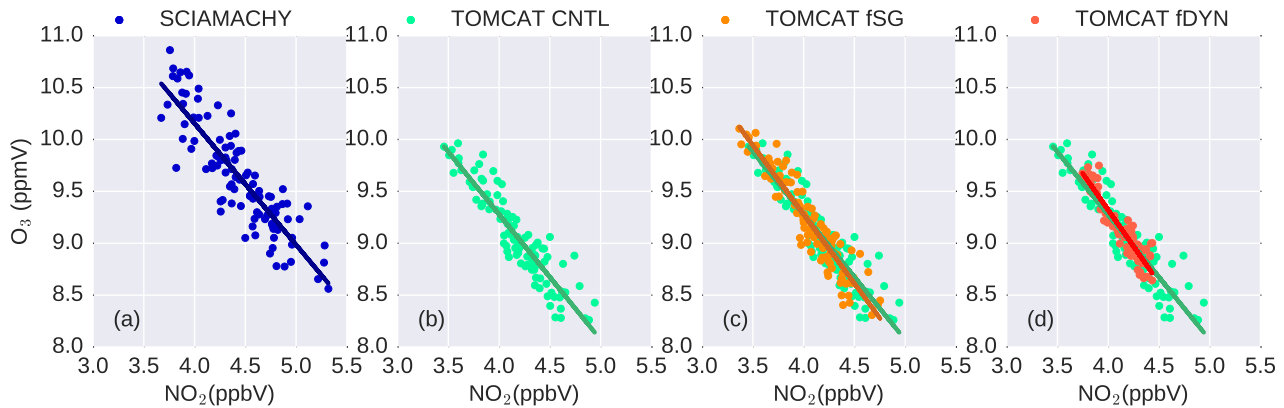


Figure 5. NO_2 - O_3 scatter plots in the tropical stratosphere at altitude 31.5 km from (a) SCIAMACHY and TOMCAT simulations (b) CNTL, (c) CNTL and fSG, and (d) CNTL and fDYN. Colour coding denotes data source: SCIAMACHY (dark blue), TOMCAT: CNTL (green), fSG (orange), fDYN (red). Solid lines specify linear fits to the data points.

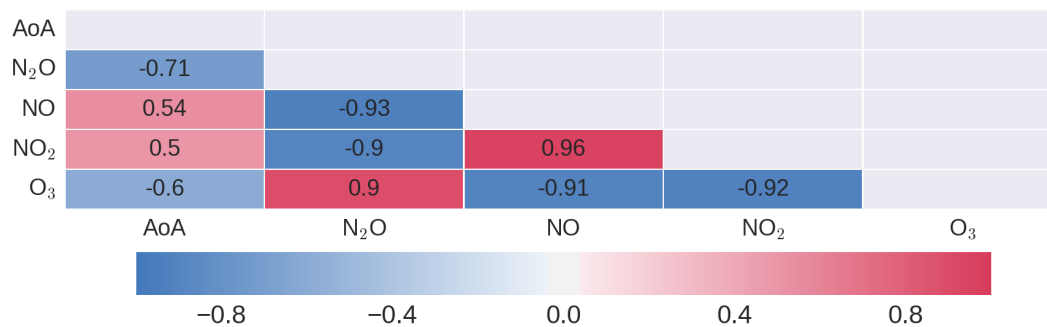


Figure 6. Correlation (R^2) heatmap for monthly means of AoA, N_2O , NO, NO_2 , and O_3 from TOMCAT CNTL run for the period January 2004–April 2012 in the tropical mid-stratosphere. Repeated information was excluded from the heatmap.

the amount of NO_x also scales with the amount of NO_y , a fairly strong correlation ($R^2=0.9$) exists between N_2O and O_3 , even in the mid-stratosphere where the ~~ozone~~ O_3 photochemical lifetime becomes short.

The correlation of AoA with all tracers is rather moderate (with absolute values within the range of 0.5-0.71), as transport (or AoA) does not directly control NO, NO_2 , and O_3 in this region. The anti-correlation of N_2O and AoA is also moderate (5 $R^2=-0.71$), which is ~~an unexpected finding, unexpected~~ as the major source of N_2O in the tropical mid-stratosphere is the upwelling from lower altitudes (see Sect. 1).

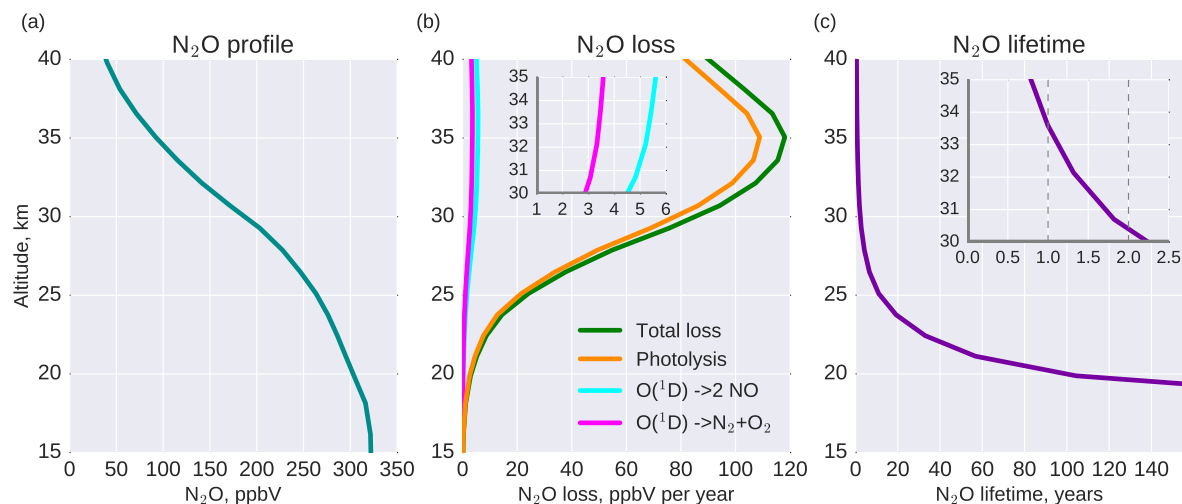


Figure 7. Average profiles of (a) N_2O (ppbV), (b) N_2O loss (ppbV per year), and (c) N_2O lifetime (years) from TOMCAT for the period 2004-2012. Colour coding in panel (b) indicates the source of N_2O loss: total loss - green; loss via photolysis (R7) - orange; loss via oxidation with NO_x production (R8a) - turquoise; loss via oxidation without NO_x production (R8b) - magenta.

3.3 N_2O - Age of Air relationship

To improve our understanding of the AoA- N_2O relation, Fig. 7 shows profiles of N_2O , N_2O loss and N_2O lifetime from the TOMCAT CNTL run, averaged over the period 2004-2012. A significant decrease of N_2O concentrations with altitude is seen in Fig. 7a, in particular a sharp decrease around 20 km altitude. Figure 7b shows that the largest ($\sim 90\%$) N_2O loss is caused by photolysis (R7, orange), which starts to become important at around 20 km altitude. About 5% of N_2O reacts with $\text{O}(^1\text{D})$ above 26 km, where the concentration of $\text{O}(^1\text{D})$ starts slowly increasing due to the reaction (R3a). As the consequence of these N_2O loss reactions, its average lifetime (shown in Fig. 7c), calculated as the ratio of mean N_2O concentration and its total loss is also strongly altitude-dependent. It varies from more than 100 years at 20 km to less than 1 year at 35 km. In particular, in the altitude range 30-35 km the N_2O lifetime varies by a factor of two (Fig. 7c).

To investigate the link between transport and N_2O , we show in Fig. 8 (a) zonally averaged climatological mean AoA (years) and (b) AoA linear changes (years per decade) as a function of latitude ~~in the stratosphere and altitude~~ from TOMCAT CNTL simulation during January 2004–April 2012. The dashed rectangle indicates the region of interest ~~in the tropical mid-stratosphere and AoA is shown on native TOMCAT vertical grid~~. Hatched areas in Fig. 8b show regions where changes are significant at the 2σ level. ~~In the tropical mid-stratosphere, according to~~ According to Fig. 8a the average lifetime of air is around 3.5 years ~~in the tropical mid-stratosphere~~, and according to Fig. 8b there are no statistically significant changes of AoA ~~in the same region~~. The absence of ~~AoA changes in considered region statistically significant AoA changes here~~ is on the one hand in agreement with Aschmann et al. (2014), who demonstrated that the deep branch of BDC does not show significant changes. On the other hand, it is apparently inconsistent: 1) with N_2O negative changes identified in the region of interest as

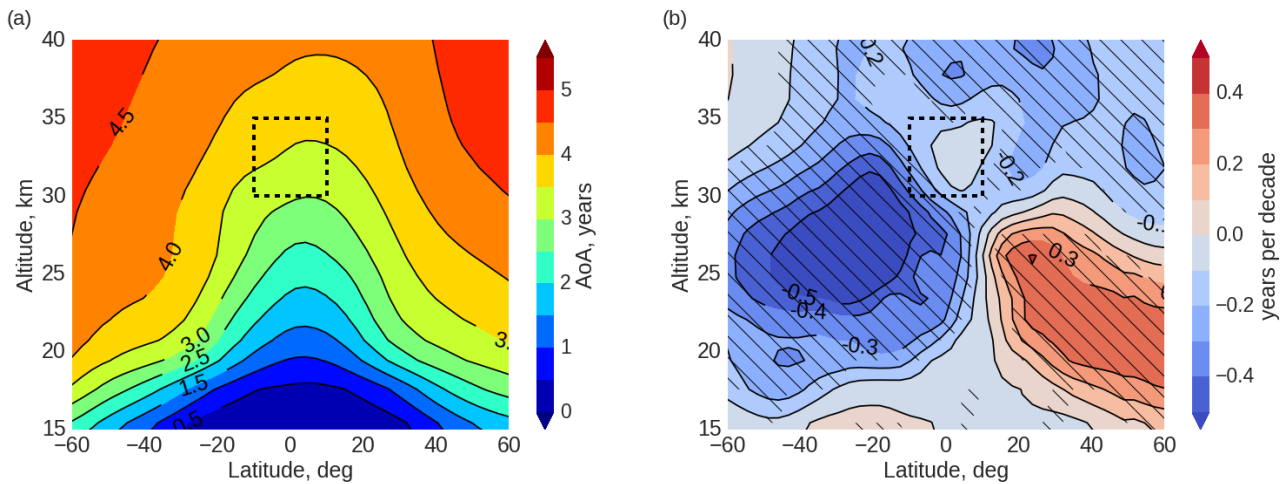


Figure 8. Latitude-altitude AoA (a) the zonally averaged distribution (years), (b) the linear changes (years per decade) from the MLR model based on applied to the TOMCAT CNTL simulation during January 2004–April 2012: latitude range is from 60°S to 60°N, altitude range is from 15 to 40 km. The dashed rectangle indicates the region of interest in the tropical mid-stratosphere investigated in this paper. Hatched The hatched areas in panel (b) show changes significant at the 2σ level.

shown in (Fig. 3g), and 2) with conclusions of Nedoluha et al. (2015b), who suggested a decrease in upwelling speed as a possible reason for the observed O₃ decline at 10 hPa (around 30–35 km altitude).

To further improve our understanding of AoA changes, we show in Fig. 9 a seasonal analysis of AoA linear changes (years per decade) from the MLR model during January 2004–April 2012 based on TOMCAT CNTL simulation for (a) DJF, (b) 5 March–April–May (MAM), (c) June–July–August (JJA), and (d) September–October–November (SON). Figure 9 shows that in the tropical mid-stratosphere AoA changes vary significantly during seasons: AoA decreases during DJFs and MAMs DJF and MAM (Fig. 9a,b) and increases during SONs SON (Fig. 9d). During JJAs JJA (Fig. 9c) no statistically significant changes of AoA in tropical mid-stratosphere were identified. Observed The seasonality in AoA changes in the tropical mid-stratosphere leads to insignificant changes when averaged over the entire year (seen in Fig. 8b). Another interesting pattern shown in Fig. 8b 10 is the clear asymmetry between the hemispheres, with negative AoA changes in the southern and positive AoA changes in the northern hemispheres. This asymmetry is consistent with the results presented in Sect. 3.1 for N₂O changes as the long-lived tracer (Fig. 3g,h) and in agreement with Mahieu et al. (2014) and Haenel et al. (2015). The hemispheric asymmetry, however, remains unchanged within all seasons (Fig. 9a–c).

From the above, the major resulting questions is: how are N₂O and transport (via AoA) connected? To answer this, we 15 analyse N₂O–AoA correlation coefficients as a function of month (Fig. 10) at altitudes 32, 33, and 35 km. To overcome any hemispheric dependencies, we split the tropics into southern (10°–1°S) and northern (1°–10°N) regions. Correlation coefficients were calculated on the native TOMCAT grid. Horizontal dashed lines indicate the lower edge an arbitrary threshold of moderate correlation, which is represented by the absolute value of $R^2=0.6$ value of -0.6 .

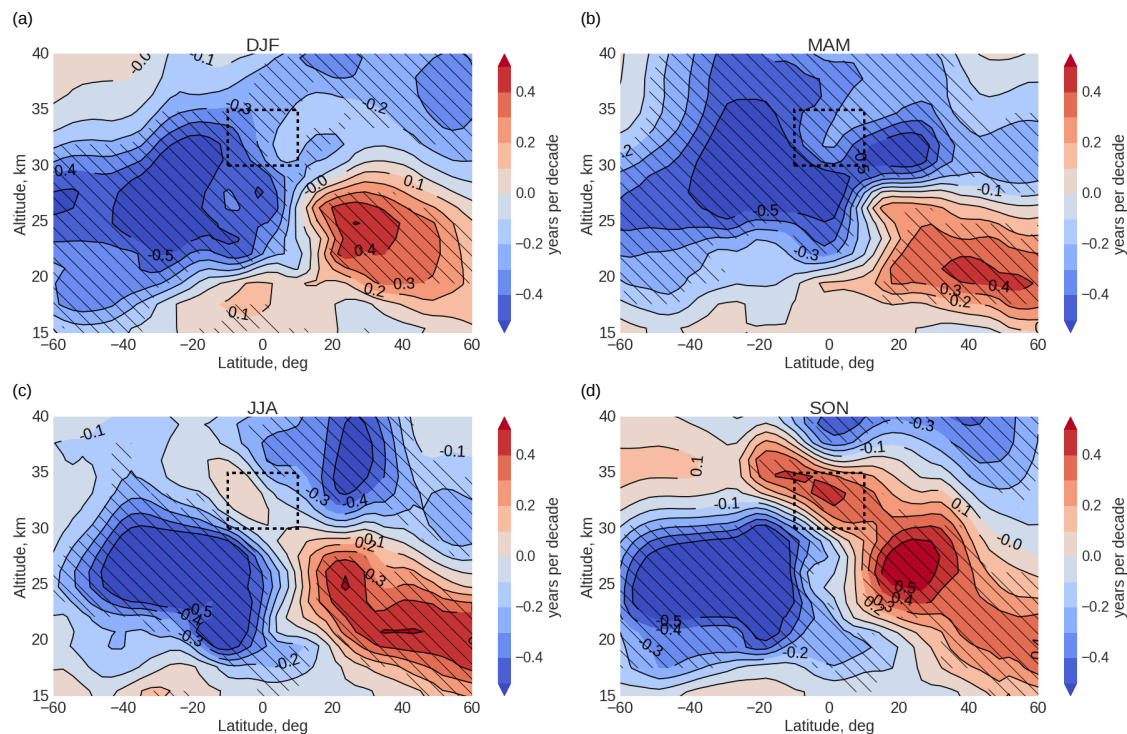


Figure 9. Latitude-altitude distribution of AoA changes (years per decade) from MLR model based on the TOMCAT CNTL simulation during January 2004–April 2012 for (a) DJF, (b) MAM, (c) JJA, (d) SON: latitude range from 60°S to 60°N, altitude range from 15 to 40 km. Hatched areas show changes significant at the 2σ level. The dashed rectangle indicates the region of the tropical mid-stratosphere investigated in this paper.

Figure 10a shows that in the tropical region (10°S-10°N) the AoA-N₂O anti-correlation is very low during December-March. During the other months of the year, it is moderate and reaches maximum values (around 0.9) during late NH summer (August) and autumn (September, October) months. Very similar seasonal behaviour is also observed in the tropical region of the southern hemisphere (Fig. 10b) with the minimum correlation ~~occurring~~ occurring during December-March (southern hemisphere summer) and maximum during May-October (southern hemisphere winter). In contrast, in the tropical region of the northern hemisphere (Fig. 10c) a significant decrease in AoA-N₂O anti-correlation is observed during summer months (June-July). Similar seasonal variations of N₂O-AoA anti-correlation are observed in narrower latitude bands (4°S-4°N) which are shown in the Supplements Fig. S3. The common characteristic of seasonal changes of AoA-N₂O is that a significant decrease of the anti-correlation is observed during local summer in each hemisphere. This is the period when the strength of the BDC is the lowest (Kodama et al., 2007, and references therein) and no significant changes in AoA are observed. The overall correlation for inner tropics from 10°S to 10°N, as shown in Fig. 10a, combines the behaviour of both hemispheres.

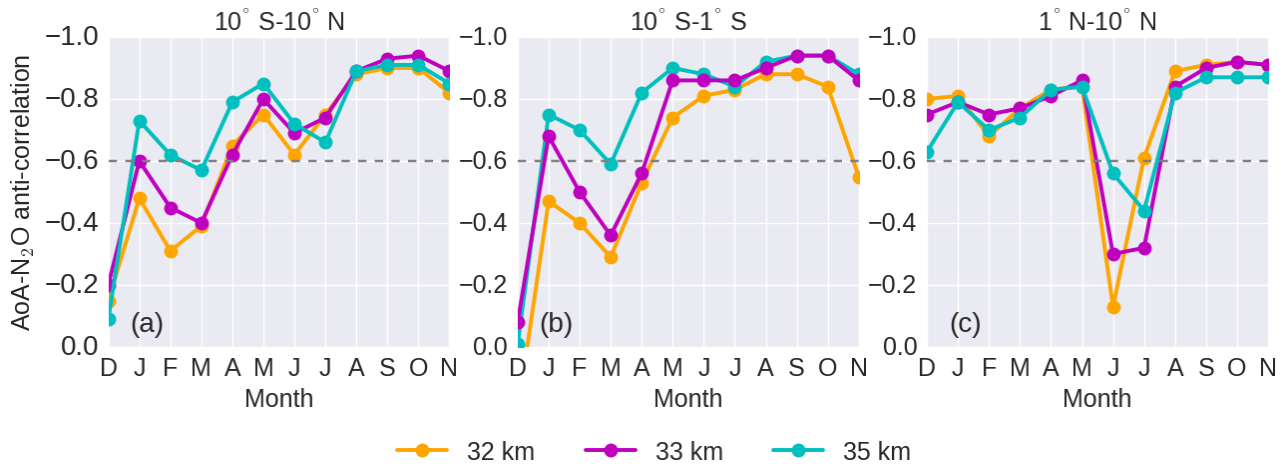


Figure 10. N_2O -AoA anti-correlation (R^2) as a function of month averaged for the period January 2004–April 2012 for (a) 10°S - 10°N , (b) 10°S - 1°S , and (c) 1°N - 10°N . Colour coding indicates altitude: 32 km (orange), 33 km (magenta), and 35 km (cyan). The dashed horizontal lines indicate the lower edge an arbitrary threshold of moderate correlation, which was selected to be $R^2=0.6$ the value of -0.6.

With knowledge of the existence of strong seasonal dependencies in AoA variability, and therefore in N_2O , we have analysed the AoA- N_2O relation as a function of month, averaged for the period January 2004-April 2012. Figure 11 shows N_2O mixing ratio and AoA averaged over January 2004-April 2012 as a function of month and altitude. The matching of the colour and contour isolines is pronounced, confirming a direct link between N_2O and AoA. Furthermore, as N_2O is transported from the troposphere, its concentrations decrease with altitude (see also Sect. 1). In the lower stratosphere (15-20 km) the seasonal variations of N_2O and AoA exist, but are not as pronounced as in the mid-stratosphere (30-35 km). Moreover, the seasonal variations in N_2O are larger than the seasonal variations in AoA, so the correlation breaks down (as seen from Fig. 8). There are two distinct seasonal features seen in the N_2O -AoA distribution in the mid-stratosphere, which increase at a given altitude: during January-March and September-November. During these periods AoA becomes lower in comparison with the rest of the year (indicating younger air) and therefore more N_2O is transported to these altitudes.

3.4 Observed changes in the tropical mid-stratosphere

Figures 10 and 11 show the seasonal variations of AoA and N_2O in the tropical mid-stratosphere. To further investigate the possible chemical impact on other species, we analysed linear changes of AoA, N_2O , NO_2 , and O_3 from TOMCAT run CNTL and SCIAMACHY measurements for each calendar month (see Supplements FigFigs. S4-S7). TOMCAT run CNTL in general shows lower O_3 and NO_2 concentrations compared to SCIAMACHY measurements as discussed earlier. The underestimation of modelled NO_2 and O_3 is also evident when comparing Figures 5a and 5b, but the slope of the modelled anti-correlation regression line agrees very well with that of the SCIAMACHY observations. The reason for these biases between model and measurements is not clear. However, if the model NO_2 increases then O_3 will decrease even further (Fig. 5). Therefore, it is

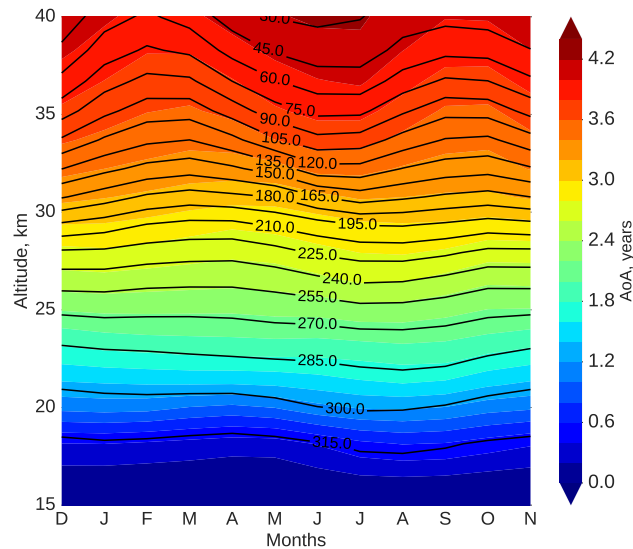


Figure 11. Annual cycle of monthly mean ~~tropical~~-N₂O (ppbV, contours, 15 ppbV interval) and AoA (years, colours, 0.2 yr interval) as a function of altitude from TOMCAT run CNTL in the tropical region, averaged over the period January 2004–April 2012.

unlikely that transport errors are the cause. Either the model underestimates the production of O₃ from O₂ photolysis in this region, or there are uncertainties in the model NO_x chemistry which means the impact of NO₂ on O₃ is less than modelled. The latter uncertainties would then be associated with the reactions O + NO₂, NO + O₃, or NO₂ photolysis.

The upper panels of Fig. 12 show ~~statistically significant~~ linear changes of February AoA, N₂O, NO₂, and O₃ from TOMCAT
 5 CNTL run ~~.The February (green) and SCIAMACHY measurements (dark blue).~~ Solid lines in Fig. 12 indicate statistically significant linear changes (2σ), while dashed lines indicate statistically insignificant gradients/linear changes. The TOMCAT results yield a February decrease of AoA led to less intense with time and a small O₃ destruction/recovery. Similar results ~~are observed from TOMCAT are calculated~~ for January (Supplements Fig. S4). In particular, the faster upwelling as indicated by the decrease in AoA (Fig. 12a) results in more intense N₂O transport ~~and smaller photolytic destruction, therefore.~~ Consequently,
 10 N₂O increases with time (Fig. 12b) while NO₂ decreases (Fig. 12c) due to the shorter residence time of N₂O, i.e. there is less time to produce NO_x species via the O(¹D) reaction (R8a). Finally, a slight-small increase of O₃ is observed in the tropical mid-stratosphere (Fig. 12d). SCIAMACHY measurements ~~do not show any significant~~ show statistically insignificant changes of NO₂ and O₃ during Januarys and Februarys (see Fig. 12c,d, Supplements Fig. S4). ~~Therefore, we excluded these measurements from panels c and d in Fig. 12.~~ We found that SCIAMACHY linear changes showed larger errors in comparison
 15 ~~with the~~ Contrary to the TOMCAT simulations, SCIAMACHY measurements do not show any statistically significant NO₂ decrease and O₃ increase when analysing changes for any particular calendar month. From September to February, the gradient of O₃ time series increases, becoming more positive for both SCIAMACHY and TOMCAT data, resulting for February in

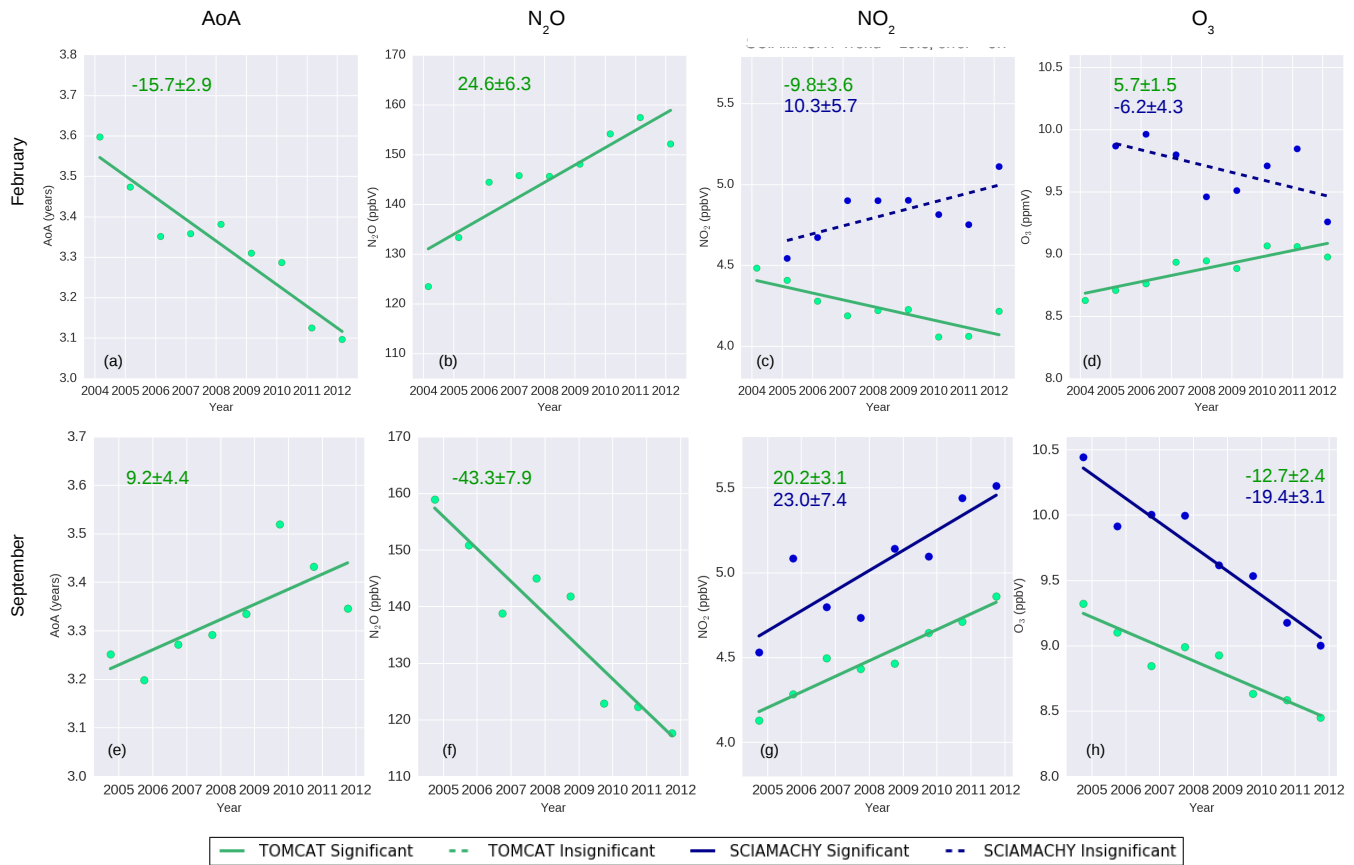


Figure 12. Linear changes of AoA, N_2O , NO_2 , and O_3 minus QBO effect averaged over (a-d) Februaries 2004-2012 and (e-h) Septembers 2004-2011 in the tropical stratosphere between 30-35-30 and 35 km altitude. Colour coding indicates the data source: TOMCAT CNTL simulation (green), and SCIAMACHY measurements (dark blue). There are no significant changes in SCIAMACHY measurements taken in February Colour-coded trend values and their errors (see Supplements Fig. S4 in % per decade), therefore they are excluded from shown in each panel. Solid lines indicate statistically significant linear changes at the figure 2σ level, dashed lines indicate statistically insignificant changes.

small, statistically insignificant negative gradients for SCIAMACHY observations and small but statistically significant positive gradients for TOMCAT. Similarly for NO_2 mixing ratios, from September to February the gradients decrease i.e. they become more positive for both, SCIAMACHY and TOMCAT results. The SCIAMACHY data show larger errors on gradients of the time series for individual months, than those of the TOMCAT model. Our analysis showed that TOMCAT changes would also

5 become insignificant if TOMCAT had the same errors as SCIAMACHY measurements. The larger errors of SCIAMACHY changes can be explained by the short analysis period and limitations of satellite measurements in tropics This results from the

stronger oscillating structure in the SCIAMACHY time series. The reasons for the observed oscillations and their strength are not yet unambiguously identified and are under investigation.

The lower panels of Fig. 12 ~~present linear show the~~ changes of September AoA, N₂O, NO₂, and O₃, ~~where all changes.~~ The linear change/gradients are opposite to those of the winter months shown in the upper panels. ~~The variations for October~~
5 ~~are similar to October shows a similar behaviour to that of~~ September (see Supplements Fig. S7). Positive AoA changes (Fig. 12e) indicate a significant transport slow-down or additional ~~air mixing mixing of air~~. As a result, there is less N₂O transported from the troposphere (Fig. 12f) and consequently more N₂O is photolytically destroyed. The residence time in the tropical mid-stratosphere ~~gets becomes~~ longer, producing more NO₂ (Fig. 12g) which ~~destroy leads to more effective~~ O₃ ~~more effectively~~
10 ~~destruction~~ (Fig. 12h). SCIAMACHY NO₂ and O₃ changes agree well with ~~modelled data the model results~~ in September and October (panels g, h in Fig. 12 and Supplements Fig. S7).

~~Thus, negative AoA changes during The negative AoA gradients for the 2004-2012 period during the~~ boreal winter months (January and February) and positive AoA ~~changes during gradients during the~~ boreal autumn months (September and October) ~~cancel out and do not show any significant changes when averaged over the entire year, i.e. there is no statistically significant~~
15 ~~linear change/gradient in the annual mean AoA (Fig. 8). However, the chemical responses of b). In contrast, the monthly~~ gradients over the same periods for the chemical species N₂O, NO₂ and ~~as consequence,~~ as a result of the NO_x ozone catalytic destruction cycle, O₃ do not cancel ~~out in a yearly average in the annual means.~~ This effect ~~occurs as a result of a is primarily~~
20 ~~attributed to the~~ non-linear ~~relation relationship~~ between AoA and N₂O ~~and can be explained as follows. This is explained~~ by the following: 1) AoA strongly depends on the speed of the BDC, with lower AoA values indicating an acceleration, and higher AoA indicating deceleration of the vertical transport. In the absence of ~~the photolytic loss, the increase/decrease of the~~
25 ~~significant photolytic loss of N₂O via the Reaction (R7), the changes in~~ stratospheric N₂O ~~is would be~~ controlled only by ~~the~~ increase/decrease of the upwelling speed. In turn, ~~the photolytic loss of changes of the rate of the tropical upwelling of the~~ BDC (or simply by AoA), i.e. faster upwelling would enhance transport of N₂O to the stratosphere, and vice versa. Without ~~photolytic loss, the rate of change of N₂O is determined by its residence time in the stratosphere, with shorter residence time~~
30 ~~(concentration would be inversely proportional to the AoA change; 2) the dominant chemical loss mechanism of N₂O is through~~ its photolysis. The amount of photolysed N₂O depends on the residence time of N₂O and this in turn depends on the transport ~~speed, i.e. higher upwelling speed) resulting in higher AoA. Longer residence times of N₂O amounts and vice versa. As the~~
~~overall result from a transport slow-down. Consequently, there is more time for photolytical destruction of N₂O; 3) as the~~ amount of N₂O is controlled by both transport and ~~photolysis photochemistry,~~ its changes do not cancel in the ~~yearly average as~~
~~opposed to AoA, which almost solely depends on the speed of BDC (i.e. tropical upwelling). Because of a strong chemical~~ relation between annual average; 4) the amount of NO₂ and O₃ are chemically linked to that of N₂O and both. Overall, the
changes of NO₂ and O₃ ~~, their seasonal behaviour is determined by that are dependent on both the amount of N₂O transported~~ to the stratosphere and its residence time.

4 Summary

We have analysed O_3 changes in the tropical mid-stratosphere during January 2004-April 2012 as observed by SCIAMACHY and simulated by the TOMCAT CTM. We find that the model, forced by ECMWF reanalyses, captures well the observed linear O_3 changes within the analysed period. Using a set of TOMCAT simulation with different dynamical and chemical forcings we showed that the decline in O_3 is ultimately dynamically controlled and occurs due to increases of NO_2 , which then chemically removes O_3 . The NO_2 increases are due to a longer residence time of its main source N_2O , which is long-lived so changes in its abundance indicate variations in the tropical upwelling. These results are in agreement with [finding findings](#) of Nedoluha et al. (2015b). To further investigate whether there was a decrease of tropical upwelling we analysed the AoA from the TOMCAT model. However, the AoA simulations did not show any significant annual mean changes in the tropical mid-stratosphere, in apparent contradiction with conclusions [of from](#) Nedoluha et al. (2015b).

With the knowledge of dynamically driven N_2O - NO_2 - O_3 changes but no significant changes of mean AoA, we performed a detailed analysis of linear changes for each month separately within the period January 2004-April 2012. We find that during boreal autumn months, i.e. September and October in the north, there is a significant transport slow-down or additional air mixing which corresponds to positive changes of AoA. These positive changes cause longer residence time of N_2O , leading to increased NO_x production and stronger O_3 loss. SCIAMACHY and TOMCAT O_3 and NO_2 changes are consistent in that regard. In contrast, we find that during boreal winter months, i.e. January and February, the AoA simulations show a transport speed-up. This decreases the residence time of N_2O , so less NO_x is produced and consequently less O_3 is destroyed. While the TOMCAT model shows significant NO_x decrease and O_3 increase, the SCIAMACHY changes are not significant during these winter months. This is associated with larger [errors of the linear regression in uncertainties in the multiple linear regression applied to](#) the satellite data.

Starting from the seasonal variation of AoA changes and its impact on annual mean trends in the tropical mid-stratosphere as presented in this paper, some questions still remain and should be the subject of further studies. Is the shift of subtropical transport barriers, [as](#) suggested by Eckert et al. (2014) and Stiller et al. (2017) linked to the seasonal AoA changes observed here? Or, is this a result of the different behaviour of the shallow and deep branches of the BDC, i.e. hiatus in the acceleration of the shallow branch, strengthening of the transition branch and no significant changes in the deep branch (Aschmann et al., 2014)? The cooling [of in the](#) Eastern Pacific (Meehl et al., 2011) could also affect O_3 changes via upwelling, although our analysis of sea surface temperatures (not shown here) [in Eastern Pacific](#) did not show significant monthly variations. Another plausible explanation of changes in the transport regime could be from [contribution of the](#) planetary wave forcing (Chen and Sun, 2011), as we find that a significant decrease in the N_2O -AoA correlations occurs during local summers, when the wave activity and therefore the strength of the upwelling is the lowest. In particular, the impact of variations in the wave activity on seasonal build-up of O_3 was also described by Shepherd (2007). However, all of these possible explanations require additional investigation to decide which processes dominate.

Overall, the non-linear relation of AoA and N_2O and their month-to-month changes presented in this paper explain well the observed O_3 decline in the tropical mid-stratosphere. With the application of a detailed CTM we are able not only to

confirm the O₃ decline, but also describe chemical impacts and define the role of dynamics on the observed changes. Having identified in this study the impact of a seasonal dependency of the upwelling speed on ~~the~~ tropical mid-stratospheric O₃, a better understanding of the possible drivers of this behaviour is now required. However, the CTM with its specified meteorology cannot be used to determine the main drivers of the dynamical changes. Consequently, the application of interactive dynamical models is needed. The interpretation of the observed changes will give us an understanding whether O₃ decline in the tropical mid-stratosphere is ~~a~~ part of natural variability, human impact, or a complex interaction of both factors.

Data availability.

SCIAMACHY O₃ and NO₂ data are available after registration at <http://www.iup.uni-bremen.de/scia-arc/>. Results of TOMCAT simulations are available upon request from the authors. QBO equatorial winds at 10 and 30 hPa were taken from <http://www.geo.fu-berlin.de/en/met/ag/strat/produkte/qbo/index.html>. The anomalies of the Nino 3.4 index were downloaded from <http://www.cpc.ncep.noaa.gov/data/indices/>. Data of Mg II index from GOME, SCIAMACHY, and GOME-2 were taken from <http://www.iup.uni-bremen.de/UVSAT/Datasets/mgii>.

Competing interests. The authors declare that they have no conflict of interest.

Acknowledgements. This research has been partly funded by the University and State of Bremen, by the Postgraduate International Programme in Physics and Electrical Engineering (PIP) of the University of Bremen, by the project SHARP-II-OCF, and by a BremenIDEA out Scholarship, promoted by the German Academic Exchange Service (DAAD) and funded by the Federal Ministry of Education and Research (BMBF). This ~~research~~ [research](#) is also in part a preparatory contribution to the German BMBF ROMIC II Project and the DFG VolIMPACT Research Unit. The model simulations were performed on the UK national Archer and Leeds ARC HPC facilities. [MPC is supported by a Royal Society Wolfson Merit Award](#). The authors thank A. Maycock and A. Chrysanthou for comments on early stages of this research. The data presented were partially obtained using the German High Performance Computer Center North (HLRN) service, whose support is gratefully acknowledged.

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