

Response to the Referee #1

Comments from referees:

Interactive comment on “Long-term Lidar Observations of the Gravity Wave Activity near the Mesopause at Arecibo” by Xianchang Yue et al.

5 Anonymous Referee #1 Received and published: 30 August 2018

Two minor revisions:

1. Comments from referees:

1) In the abstract and throughout section 5.2 the use of the word "damp" is not quite correct. I would replace it with "reduction of GWPE" or the "GWPE reduces" for damp.

10 **Author's response:** Thank this referee for this comment, we have corrected the use of the word “damp” according to this comment.

2. Comments from referees:

2) There are still several places within the manuscript where the authors would benefit from a native English speaker looking over their paper to correct the grammar and word usage, e.g. pg 4, line 18 "estimate" should be "estimated"

15 **Author's response:** Thank this referee for the constructive comment. This revised version has been looked over by a native English speaker.

Response to the Referee #2

We would like to thank this referee for these constructive comments and suggestions to improve the quantity of the manuscript.

1. Comments from referees:

- 5 The submitted paper is a revised version with substantial changes to the original paper. Most of the reviewer comments have been acknowledged. A Supplement is provided, with additional figures illustrating the evaluation method. Especially the discussion of the results is more elaborated, now. Nevertheless, there are still some points to clarify and I recommend the paper for revision. For example, some of the key arguments related to the connection of inversion layers and potential wave energy (new Fig. 6) need to be revised or further elaborated. Specific comments are given below.

10 Author's response:

The authors are grateful for the objective evaluation to the revised version. We would make further revision or elaboration according to the following comments.

2. Comments from referees:

Specific comments:

- 15 General: There are still some sentences with odd phrasing. Partly the content of the sentences is hard to comprehend because of odd word order or repetitions. Examples are p1127-29, p215-7, p813-5, p10119/20.

Author's response:

This comment helps to improve the phrasing of this manuscript. We have rephrased all these sentences listed in the comment.

Author's changes in manuscript:

- 20 p1127-29, it was written "Their amplitudes grow rapidly with altitude under the condition of atmospheric density decreasing and begin to break or dissipate in the MLT where gravity wave influences have been shown to be strong by various observations". Now it is rephrased as "Their amplitudes grow rapidly with altitude under the condition of decreasing atmospheric density. GWs begin to break or dissipate in the Mesosphere and Lower Thermosphere (MLT) where their influences have been shown to be strong by various observations".
- 25 p215-7, it was written "The altitude ranges, where GWs interact with the background wind dissipating and depositing energy and momentum is of high interest to researchers.". Now it is rephrased as "The altitude ranges, where GWs interact with the background wind to dissipate and deposit energy and momentum to the background, is of high interest to researchers.".

p813-5, it was written “As the seasonal variations of E_p show semi-annual oscillation dominated features with the approximated phases in the altitude range 87-97 km, the mean E_p in the range 87-97 km and the corresponding harmonic fit shown in Fig. 5b represents the behaviour of E_p well.” Now it is rephrased as “In the altitude range 87-97 km, Fig. 5c shows that the amplitudes of both annual and semi-annual oscillations vary slightly, meanwhile, Fig. 5d shows that the phases of both annual and semi-annual oscillations vary also slightly. Therefore, the mean E_p averaged in the altitude range 87-97 km and the corresponding harmonic fit shown in Fig. 5b can represent the seasonal behaviour of E_p in this altitude range well.”.

p10119/20 it was written “The semiannual variation of GW intensity and mean zonal winds reported by Gavrilov et al. (2003) had been attributed to the dependence of GW generation and propagation on background wind and temperature by numerical simulations.” Now it is rephrased as “Gavrilov et al. (2003) had attributed their observed semiannual variation of GW intensity to the dependence of GW generation and propagation on the background wind and temperature by numerical simulations”.

3. Comments from referees:

P2117-20: Which part of this statement is only relevant for high latitudes and which for tropical latitudes? In order to keep the paper concise, you may limit the topic to tropical latitudes.

Author's response:

We have deleted the part relevant for high latitudes according to this comment.

Author's changes in manuscript:

The following words are deleted “, while it dominated in the range extending downwards to the stratopause in January or upwards to 110 km or higher in July at higher latitude”.

4. Comments from referees:

P2121-23: If I understand correctly, you mix altitudes and seasons. This makes the sentence hard to comprehend. Please rephrase.

Author's response: This sentence has been rephrased according to this comment.

Author's changes in manuscript:

It was written: “The easterly winds were prevailing in equinoxes seasons near 80 km altitude. They then decreased with altitude from 80 km above and turned to increase above ~ 92 km, while the westerly winds prevailed in in the range 80-94 km in solstice seasons, they then turn to be easterly. the reversal is at about 95 km (Smith, 2012).”

It is now rephrased as: “the easterly winds were prevailing in equinoxes seasons in the 80-100 km altitude range with the minimal wind speed occurring near 92 km, while the westerly winds prevailed in solstice seasons in the 80-94 km altitude range with the maximal wind speed occurring near 88 km. The easterly winds turn to be prevailed above 95 km in solstice seasons (Smith, 2012)”

5 **5. Comments from referees:**

P4126-28: I do not understand how the large uncertainty at the edges justifies the limitation to 30 K RMS uncertainty. The uncertainty limits should be justified by geophysics, e.g. by the amplitude of the waves that shall be resolved. In this case, the GWs often have amplitudes smaller than 30 K, i.e. the data set should generally be limited to, e.g., 10 K RMSE like described later. Otherwise nights with higher uncertainty may compromise your analysis and, e.g., produce artificially high temperature fluctuations.

Author’s response:

Thanks for this constructive suggestion. In the processing of the data, we first unify the raw data with resolutions of 0.45 km and 30 minutes into grids with resolutions of 0.9 km and 30 minutes. After that, the statistical uncertainty of the data improved significantly. Therefore, we choose 10 K RMSE in the data processing now.

15 **Author's changes in manuscript:**

“Step 1: for each night of observation, data points with photon noise errors larger than 30 K in temperature are discarded. The value of 30 K is set based on the fact that the root mean square errors (RMSE) due to photon noise often reach to about 40-50 K near the edges (~80 km on the bottom and ~105 km on the top) of the temperature profile.” is rephrased as “Step 1: for the unified data with 0.9 km and 30 minutes in each night of observation, data points with photon noise errors larger than 10 K in temperature are discarded.”

6. Comments from referees:

P513/4: I understand that this method eliminates part of tidal temperature fluctuations. Please discuss the influence of remaining tidal variations with vertical wavelengths smaller than 50 km (and, e.g., 8 or 12 h period).

Author’s response:

25 We discussed the influence of the remaining tidal variations for semidiurnal and terdiurnal tides at several places. For example, in the description of Fig. 2d, we write “The difference between Fig. 2c and Fig. 2d is pronounced. This indicates that step 4 is effective in eliminating the influences of the waves with longer vertical wave length. Furthermore, Guharay and Franke (2011) have given a not-weak semidiurnal tidal amplitude at the mesopause region through the meteor radar observations over a nearby site (20.75 °N, 156.43 °W). The amplitude increases with altitude and shows a clear SAO pattern

30 with maxima during solstices. This kind of feature is not found in the variations of GW potential energy with altitude and

season in later sections here. However, the total effective of this method in removing the tidal is not easily to evaluated due to the lack of the knowledge on tidal components in this latitude firstly and the shorter and often intermitted measurement periods.”;

7. Comments from referees:

- 5 P518-10: I do not understand how the temperature fluctuation composites are made without averaging the fluctuations, i.e. reducing the wave amplitude. Please explain.

Author’s response:

- 10 I’m sorry that there is something wrong in describing the estimation of weekly mean temperature fluctuation. In the revision, firstly, the temperature deviations (ΔT) obtained at each fixed vertical and time bins tare used to calculate the nightly mean temperature perturbation profile with unified 0.9 km resolution. After that, the nightly mean temperature perturbation profiles within the same folded week are averaged to obtain the weekly mean temperature perturbation profile.

8. Comments from referees:

- 15 P5110/11: From my understanding part of these inversions may in fact be remnants of GW. Meriwether and Gerrard (2004) provide a definition for MIL in terms of amplitude and persistence that should be used here, too. At least it should be discussed why it is not used and how GW and TIL are separated.

Author’s response:

- 20 Thank you to point out this point. It is not proper to talk about the TIL without removing the influences of GW. Therefore, this following sentence is deleted. “Temperature Inversion Layers (TILs) can be found in most months.” TILs are discussed after the seasonal temperature climatology is constructed by applying a harmonic fit to the weekly mean temperature profiles. The definition for MIL by Meriwether and Gerrard (2004) is fulfilled then.

9. Comments from referees:

P5112/13: Do I understand correctly that you first produce a time series of $7*24=168$ h and then smooth this series by a 3h Hamming window? Is Jan 1 always day 1 of week 1?

Author’s response:

- 25 Not yet. The process in computing the weekly composite night and the weekly mean profiles has been updated in the revision. It is as following: “The unified 0.9 km and 30 minutes resolution temperature profiles on each observational night in the same folded week are binned and averaged to the fixed same vertical and temporal grids to construct the weekly composite night of temperature of a year.

5 The weekly composite night data of \bar{T} is first spatially and then temporally smoothed using Hamming windows with full widths at half maximum (FWHM) of 2.7 km and 3 hours, respectively. This method of computing the weekly composite night follows the approach used by Friedman and Chu (2007) and the references therein. The resulting weekly composite night of temperature usually covers most of the night from sunset to sunrise (not shown here, the resulting monthly composite night can be seen in Figure 3 of Friedman and Chu (2007), which is computed with a small part of the data set used here). It is a close representation of the mean state at the fixed time and altitude bins within an averaging week night. After that, the weekly composite nights are then averaged to derive the weekly mean profiles.”

10. Comments from referees:

10 P611: N^2 is indeed highly variable with season and altitude. Unfortunately I did not find a discussion of the influence of this procedure on the results, i.e. the relation of the seasonal variations of temperature, N^2 and pot. energy.

Author’s response:

Thank this referee for persisted in the discussion of this point. We really lack a discussion to this point in the early version. In section 4.2 of the revision, we add the following words: “However, it is noted that the seasonal variations of N^2 vary highly with altitude. This 87-97 km mean fitting curve cannot represent the whole features of N^2 in this altitude range.”

11. Comments from referees:

P617-9: From Fig. 3a I read TIL at 95 km, 90 km, and 93 km, i.e. always 1 km lower than stated here. Additionally, some of the inversions are rather weak, i.e. potentially not robust with respect to the retrieval method and not compatible with Meriwether and Gerrard (2004).

Author’s response:

20 Thanks for pointing out this deviation in labelling the TIL altitude (where the sign of temperature gradient change from plus to minus) in the figure. We have replotted the figures and checked to find that discrepancies occur after we reshaped the figure.

The monthly mean temperature profiles are plotted in the following figure. The profiles from left to right represent the results from January to December, respectively. each profile, except for the left most, is 10 K offset from the adjacent left one. The asterisks label the TIL altitudes. This result agrees well with that shown in Figures 4b and 5a in the revision and presents the inversions clearly. The inversions are rather weak in the winter months from November to January.

25

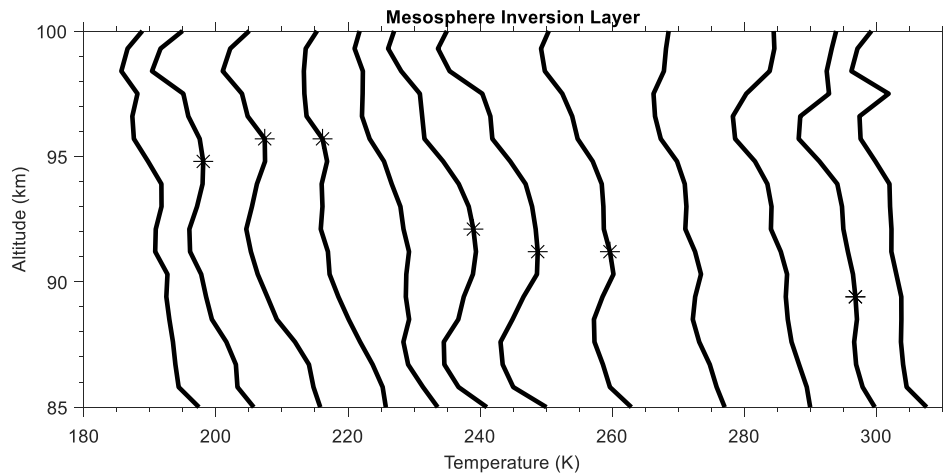


Fig. sup1 monthly mean profiles of the temperature over Arecibo. The asterisks represent the altitude where the sign of temperature gradient change from plus to minus.

12. Comments from referees:

- 5 [P619/10: Is there an objective reason why this is the mesopause but cannot be a TIL?](#)

Author's response:

This sentence has been updated to be as following: “The minimal temperature occurs near 98.5 km at most time except for the period from the second half of September to November where it is situated at ~ 96 km. This should be the mesopause according to the result that the mesopause is at the 95-100 km level at low-latitude obtained by SABER observations (Xu et al., 2007b).”

The following literature is added to the revision.

[Xu, J., H.-L. Liu, W. Yuan, A. K. Smith, R. G. Roble, C. J. Mertens, J. M. Russell III, and M. G. Mlynczak \(2007\), Mesopause structure from Thermosphere, Ionosphere, Mesosphere, Energetics, and Dynamics \(TIMED\)/Sounding of the Atmosphere Using Broadband Emission Radiometry \(SABER\) observations, J. Geophys. Res., 112, D09102, doi:10.1029/2006JD007711.](#)

13. Comments from referees:

[P718-10: As already written in the first review, this is not a result, but a self-evident effect.](#)

Author's response:

These sentences have been deleted. Meanwhile, more words are added to describe the features of N^2 . They are as the following: “Figures 6 and 7a show that N^2 is highly variable with season and altitude. Take the summer months from June to

August for example, Fig. 7a shows that N^2 is quite low near the bottom and then increase quickly with altitude. It is obviously large between 87 and 92 km altitudes and decreases above. It is small in the 94-98 km range and turn to increase gradually near the top boundary. The seasonal variations are obviously different every 2-km-thick range. The features shown in Fig. 6b are more complicated than Fig. 7a. Assuming an isothermal atmosphere with the background temperature being 190 K, is estimated to be about $4.7 \times 10^{-4} \text{ s}^{-2}$ which is represented largely by the orange color in figures 6b and 7a. Therefore, below about 96 km, the regions with red color match largely with the TILs. It shows clearly that the TILs occur at about 92-95 km altitude range in February and March while they occur at about 87-92 km altitude range through the summer months. A low value of GW potential energy is expected in the region with N^2 being large in case that other parameters kept.”

14. Comments from referees:

P7115-18: I do not understand the reason for allowing negative amplitudes. Negative amplitude has no real physical meaning. Anyway, I do not see an advantage of plotting the phases “in order”. There are indeed several phase turning points as expected from the “patchy” Fig. 4a. These patches of large N^2 agree with the TIL as expected (see above). But why do you expect the TIL (large N^2 regions) to have an AO/SAO and a specific altitude structure and how does Fig. 4d help to identify this. I am sorry, but in my eyes the phases of N^2 SAO/AO are fluctuating more or less randomly with altitude.

Author’s response:

Thank you for this constructive comment. We have replotted this figure (now Fig. 7 in the revision) and keep the amplitude be positive. The description about the amplitude and phase has been updated as following:

“Figure 7c shows that the amplitudes of the 12-month and the 6-month oscillations are comparable throughout most of the altitude range of interest. They oscillate similarly and look like sinusoids with troughs occurring at altitudes ~87 km, ~92km, ~96 km, ~98 km. The phases of these two components illustrated in Fig. 7d also show quick transition at these altitudes.”

15. Comments from referees:

P811: The large RMSE of the phase for small amplitudes is not “odd”, but exactly expected.

Author’s response:

Thank you for telling this truth. This sentence is deleted in the revision.

16. Comments from referees:

P8125: How much of this increase is due to the fact that the uncertainty of the temperature measurement increases?

Author’s response:

The relative error in the estimated E_p could reach 30% or even larger due to the statistical uncertainty of temperature measurement at this altitude range. But the quantity influence of this uncertainty on the energy increase with altitude is hard to evaluated because the statistical uncertainty does not show a increase trend at 96-100 km altitude range as shown in Fig. 3b.

5 **17. Comments from referees:**

P912: In most of the months the mesopause is well above 100 km, but the increase of energy happens already >5 km below. Therefore the Offermann et al. mechanism cannot be used to explain the increase. Furthermore, Offermann et al. (2006) describe an exponential increase of wave amplitude, i.e. linear propagation. Here, the wave is gaining energy from some (non-linear) process that still needs to be identified.

10 **Author's response:**

Thank you for these two constructive comments. We have updated the text here.

In the last version, it is written as: "This result indicates that the GW reduces significantly dissipating or deposing energy below about the mesopause (or the wave-turbopause defined by Offermann et al. 2006), but it propagates upward almost freely after penetrating to the thermosphere."

15 In the revision, it is updated as: "This result suggests that a possible mechanism for the GW energy dissipation, i.e., the GW dissipates or deposes energy or momentum below about the mesopause (or the wave-turbopause defined by Offermann et al. 2006). This conjecture should be taken with caution. The relative error in the estimated E_p could reach 30% or even larger due to the statistical uncertainty of temperature measurement at this altitude range. But the quantity influence of this uncertainty on the energy increase with altitude is hard to evaluated because the statistical uncertainty does not show a
20 increase trend at 96-100 km altitude range as shown in Fig. 3b."

18. Comments from referees:

P9114: I do not see a weaker damping of GW in winter below 94 km. But the tidal amplitude in Smith (2012) is reduced already above 80 km. From my understanding of the figures, the relation with the DW1 does not seem to be significant. Please provide further explanation or evidence.

25 **Author's response:**

Thank you for pointing out the flaw in this explanation. We delete this explanation in the revision.

19. Comments from referees:

P9121: (The sentence misses a predicate.) The gradient of the black curve starts to change at 97/98 km, not 96 km (or 95 km where the TIL is in fact). How relates the strong gradient change of the red curve at 97 km to the arguments presented here, if there is no TIL?

5 **Author's response:**

Thank you for pointing out these mistakes and providing a new thinking. We have corrected these mistakes and added the following sentence in the revision.

“From the GW energy point of view, the strong gradient change in the seasonal mean profiles of GW potential energy per unit volume should be impacted strongly by the horizontal wind field in this region.”

10 **20. Comments from referees:**

P9127-32: I think there is a general flaw in the arguments. You relate the increase of potential energy in winter-spring-summer to the strong decrease of temperature with altitude. But for autumn you find the temperature increasing with altitude above 97 km, again resulting in an increase of potential energy. In fact, the increase cannot be explained solely by the temperature profile. As shown in equation 1 there are also contributions by N and T'. In linear theory (i.e. keeping energy constant), the variation of the amplitude of the fluctuations is a result of changes of N and mean-T, but a change of mean-T may not be able to increase the potential energy. From my point of view, an increase of potential energy (if real) can only be produced by a decrease in kinetic energy or by an additional “wave source” at this particular altitude. The latter is indirectly suggested later (p11117) by the observational filter and Doppler shifts, but this argument needs to be further elaborated and proven.

20 **Author's response:**

Thank you for pointing out this general flaw and support the point about the mechanism of an additional wave source accounting for the energy increase. This mechanism will be discussed further in the latter subsection, therefore, this paragraph is deleted in the revision.

21. Comments from referees:

25 P11110/11: I do not understand how the zero-wind line at 96 km is responsible for the constant potential energy at this altitude. Waves typically propagate from below and therefore the energy at a specific altitude depends also on the conditions below, including the wave source.

Author's response:

The description for the fourth item is not proper, it is now updated as “Fourthly, the decrease of easterly winds with altitude near 85 km during equinoxes is accordance to the strong but decreasing GW E_p with altitude in almost the same altitude range and seasons.”

22. Comments from referees:

- 5 P11115-17: While Wright et al. (2016) had wind observations available, it remains speculative whether their arguments are valid her, too.

Author’s response:

Thank you for mentioning that their arguments are still speculative. Therefore, it is not proper to cite it for explaining the results here. We delete this sentence in the revision.

10 **23. Comments from referees:**

P11124/25: What do you mean by “decrease rate is smaller than the lapse rate”? That there is a slower decrease (or even increase) of temperatures with altitude? Or that the temperature is decreasing similar to or even faster than the lapse rate, as many people find above MILs? Please rephrase to make this clearer.

Author’s response:

- 15 This sentence is rephrased as “In altitude range of temperature decreasing, the decrease rate of background temperature with altitude is usually 1-2 K/km. Even right above an inversion layer, it is no larger than 4 K/km, which is extremely smaller than the lapse rate.”

24. Comments from referees:

- 20 Fig sup-1: The weekly averaged data look different to Fig. 2. Data gaps are at different times of the year. The strong gradient with altitude appearing at the time of the March-tickmark in Fig. 2 comes later in Fig. sup-1. Please check and explain, if real. Furthermore, I do not understand, why the lower part of the figure is processed differently to Fig. 2. You state that “The bottom panel is the harmonic fitted result $T(z, t)$ (estimated by using (3) in the revised manuscript).” If there is some further processing using the smoothed residuals, I wonder why.

Author’s response:

- 25 Thank you for pointing out the deviation of the tick marker of the two panels. It has been corrected in the revision. Fig sup-1 has been added into the main text as Fig. 4 in the revision. To reproduce the variability of the weekly means, the lower panel is further processed using the smoothed residuals as you stated. The processing is as following:

“To keep the characteristics of higher order components in the seasonal climatology of each parameter, the fitted $\Psi(z, t)$ was subtracted from the raw weekly mean profiles and the residuals were smoothed using a Hamming window with a FWHM of 4 weeks. The smoothed residuals were then added back to the fitted $\Psi(z, t)$, the resulting seasonal climatology (hereafter SC) of each parameter is then illustrated together with weekly mean profiles in the results section.”.

5 **25. Comments from referees:**

Fig. sup-2 and sup-3: The fitted seasonal variations hardly reproduce the variability of the weekly means. In other words, SAO and AO are not the dominating features of these quantities, and the true dominating features may obscure the true SAO/AO.

Author’s response:

10 These two figures are added to the main text and updated according the processing described in the response of the former comments.

26. Comments from referees:

15 Fig sup-5: I strongly recommend to show this figure (together with a plot of the statistical uncertainty) in the main paper. It is necessary to understand the relevance of this study. E.g., it is very important to know that, in contrast to mid- and high latitudes, the perturbations (gravity waves) are dominated by small-scale variability and to a smaller extend by coherent wave structures (Fig. sup-5 d).

Author’s response:

20 According to this comment, this figure has been inserted into the main body as Fig. 2. Also added is the temperature statistical uncertainty profiles and the corresponding uncertainty in the estimation of potential energy of gravity waves as Fig.3. To describe these two figures, the following texts have also been added.

25 “The data on 18 December 2003 are taken as an example of the data processing. Fig. 2a shows the temperature contours after step1. The data covers largely 82-103 km altitude range, the bottom edge increases to about 84 km in the second half of the night. Fig. 2b show the subtracted trends in time which indicates that the background temperature decreases at each altitude between 85-100 km range but increases near both the bottom and top edges. Fig. 2c is the corresponding temperature deviations. The temperature deviations after step 4 are shown in Fig. 2d. It shows that, in contrast to mid- and high latitudes (e.g. Baumgarten et al., 2018; Rauthe et al., 2008), the perturbations (gravity waves) are dominated by small-scale variability and to a smaller extend by coherent wave structures. The difference between Fig. 2c and Fig. 2d is pronounced. This indicates that step 4 is effective in eliminating the influences of the waves with longer vertical wave length. However, the total effective of this method in removing the tidal is not easily to evaluated due to the lack of the knowledge on tidal

components in this latitude firstly and the shorter and often intermitted measurement periods. Fig. 3a shows the individual profiles of the temperature fluctuations (cyan curves) for the night. The nightly mean temperature perturbations and the statistical uncertainties are also presented by black and red lines, respectively. The statistical uncertainties are usually less than 5 K below 95 km altitude, they increase a bit to ~ 6 K and keep it above. While the nightly mean temperature perturbations decrease from ~18 K at 85 km to ~6K at 89.5 km and then increase to and oscillate near 11 K above. The square of the ratio of temperature statistical uncertainty over nightly mean perturbation is plotted in Fig. 3b. It represents the relative error in estimating the potential energy due to statistical uncertainty in the temperature data. In this night, the relative errors are lower than 20% below 95 km and become larger above. The maximal relative error occurs just below 97 km with a value of 59%. The relative error is a bit larger than 30% near 100 km altitude but less than 10% near 85 km.”

The sentence “**it is very important to know that, in contrast to mid- and high latitudes, the perturbations (gravity waves) are dominated by small-scale variability and to a smaller extend by coherent wave structures**” from this comment is adopted in describing the characteristics of the resulted temperature perturbations.

Minor/technical comments:

27. Comments from referees:

P419-11: Please make clear that these numbers are summed up over all years

Author’s response: According to this comment, we have checked and updated table 1.

28. Comments from referees:

P5120: “n-month oscillation”??

Author’s response: “n” has been added in this sentence.

29. Comments from referees:

P6132: “Equation (3)”

Author’s response: “Equation” has been added before (3) in the revised version.

30. Comments from referees:

P9118: “more rapid”

Author’s response: We choose rapider here after look through the word “rapid” in the website: <https://www.dictionary.com>

31. Comments from referees:

P10115: delete “factor of”

Author’s response: The words “factor of ” have been deleted in the sentence: “The ratio between the maximum and the minimum is obviously larger....”

5 **32. Comments from referees:**

Fig 3c: Please plot a dotted line for the SAO in the legend.

Author’s response:

Thank you for pointing out this mistaken. It has been corrected in the revision.

33. Comments from referees:

10 I did not mention various typos that should be easily identifiable with text processing software.

Author’s response:

Thank this referee for this comment. We have checked up the whole article to minimize the typos.

15

Long-term Lidar Observations of the Gravity Wave Activity near the Mesopause at Arecibo

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10 **Abstract.** 11-years long K Doppler lidar observations of temperature profiles in the mesosphere and lower thermosphere (MLT) between 85 and 100 km, conducted at the Arecibo Observatory, Puerto Rico (18.35°N, 66.75°W), are used to estimate seasonal variations of the mean temperature, the squared Brunt-Väisälä frequency, N^2 , and the gravity wave potential energy in a composite year. The following unique features are obtained: 1. The mean temperature structure shows similar characteristics as a prior report based on a smaller dataset; 2. Temperature Inversion Layers (TILs) occur at ~96 km
15 in spring, at ~91 km in summer and early autumn, and at ~94 km in winter; 3. The first complete range-resolved climatology of Gravity Wave Potential Energy (GWPE) derived from temperature data in the tropical MLT exhibits an altitude dependent combination of annual oscillation (AO) and semiannual oscillation (SAO). The maximum occurs in spring and the minimum in summer, a second maximum is in autumn and a second minimum in winter; 4. The GWPE per unit volume
20 ~~damps-reduces~~ below ~97 km altitude in all seasons. The ~~damp-reduction~~ of GWPE is significant at and below the TILs but becomes faint above, this provides strong support to the mechanism that the formation of upper mesospheric TIL is due mainly to the ~~damp-reduction~~ of GWPE. The climatology of GWPE shows an indeed pronounced altitudinal and temporal correlation with the wind field in the equator mesopause region published in the literatures. This suggests the GW activity in the tropical mesopause region should be manifested mainly by the filtering effect of critical level of the local background wind.

25 1 Introduction

Gravity Waves (GWs) are believed to be the primary force driving the large-scale circulation and coupling of different atmospheric layers due to their momentum and energy deposition when breaking or dissipating (Fritts and Alexander, 2003; Lindzen, 1981; Smith, 2012). ~~G~~W~~ravity waves~~ often originate from copious tropospheric sources and propagate upward. Their amplitudes grow rapidly with altitude under the condition of ~~decreasing~~ atmospheric density. ~~decreasing and GWs~~
30 begin to break or dissipate in the Mesosphere and Lower Thermosphere (MLT) where gravity wave~~their~~ influences have been shown to be strong by various observations (e.g., Baumgarten et al. 2018; 2017; 2015; Cai et al., 2014; Gardner and Liu,

2010; Li et al., 2010; Lu et al., 2009; Yuan et al., 2016). Gravity waveWs are therefore an essential component in current General Circulation Models (GCMs) [e.g., Liu and Meriwether, 2004; Picone et al., 2002]. Associated modeling studies have shown that including the effects of GWs is a key point to simulate realistic quasi-biennial and semiannual oscillations and other phenomena in the stratosphere and mesosphere [e.g., Dunkerton, 1997; Huang et al. 2010; Xu et al., 2006].

5 The upward propagations of GWs are often influenced by the background wind fields (e.g., Yigit and Medvedev, 2017). The altitude ranges, where GWs interact with the background wind to dissipate~~ing~~ and deposit~~ing~~ energy and momentum to the background, ~~is-are~~ of high interest to researchers. This happens mainly in the middle atmosphere near or between stratopause and mesopause. Above 35 km altitude, the semiannual oscillation (SAO) shows a predominance in the annual variability of the zonal winds (e.g., Garcia et al., 1997; Hirota, 1980). The SAO phase of zonal winds shifts approximately 180 ° with the altitude increasing from stratosphere to mesosphere. The stratospheric SAO leads to a seasonal variation of filtering of the upward propagating waves, which results in a specific seasonal variation of GW activity in the mesosphere.

The mean zonal winds in mesosphere and lower thermosphere (MLT) have been measured by both ground-based radar (e.g., Garcia et al., 1997; Lieberman et al., 1993) and satellites such as the High-Resolution Doppler Imager (HRDI), Wind Imaging Interferometer (WINDII) and the Microwave Limb Sounder (MLS) on board the Upper Atmosphere Research Satellite (UARS) or the Doppler Interferometer (TIDI) on board the Thermosphere Ionosphere Mesosphere Energetics and Dynamics (TIMED) satellite (e.g., Smith, 2012). They show different features of the zonal winds in the mesopause range from tropical region to middle latitude regions. Garcia et al. (1997) and Smith (2012) showed that, for example, the westerly wind prevailed in the range 80-95 km both in January and July in the HRDI equatorial zonal wind but it reversed below or above this range, ~~while it dominated in the range extending downwards to the stratopause in January or upwards to 110 km or higher in July at higher latitude~~ in the north hemisphere. The monthly mean HRDI equatorial zonal wind showed that, the easterly winds were prevailing in equinoxes seasons ~~near 80 km in the 80-100 km altitude range with the minimal wind speed occurring near 92 km. They then decreased with altitude from 80 km above and turned to increase above ~ 92 km,~~ while the westerly winds prevailed in solstice seasons in the ~~range~~ 80-94 km altitude range with the maximal wind speed occurring near 88 km. The easterly winds turn to be prevailed above 95 km in solstice seasons~~in solstice seasons, they then turn to be easterly. the reversal is at about 95 km~~ (Smith, 2012). Therefore, the zonal winds are low or zero around 92 km altitude in tropical region. The zero-wind lines will enhance ~~damping-reducing~~ or dissipating of zonal propagating gravity wave with low to moderate phase speed. There are also reports about the tropical MLT mean winds measured by ground base radars (e.g., Guharay and Franke, 2011; Li, et al., 2012).

Temperature is a crucial parameter indicating the state of the atmosphere. To measure the temperature in the MLT region, satellite and lidar techniques have been developed in recent decades. Satellite measurements have the advantage of resolving large spatial scale wave structures, but the short-term variability in dynamical features gets lost. While for the lidar measurements, they provide a vertical profile of temperature with a suitable temporal and vertical resolution at a particular location. Lidar data can well resolve gravity waves with short and medium periods and their temporal development. The perturbation or standard deviation from zonal mean temperature is often used as a wave activity indicator in the atmosphere.

For instance, Offermann et al. (2006) use the temperature measured from CRISTA (CRYogenic Infrared Spectrometers and Telescopes for the Atmosphere) and from SABER (Sounding of the Atmosphere using Broadband Emission Radiometry) to investigate the global wave activity from upper stratosphere to lower thermosphere. They showed quite different wave behaviours below and above their defined ‘wave-turbopause’ close to but lower than the mesopause. Below the turbopause, the propagation of the wave is significantly dissipated, while above that, the propagation of the wave is almost free.

The ~~damping-reduction~~ of GW activity has also been presented by lidar temperature measurements at mid-latitude sites (Mzé et al., 2014; Rauthe et al., 2008). Both Mzé et al. (2014) and Rauthe et al. (2008) showed that the GW activities presented an annual variation with maximum in winter and minimum in summer, they ~~damped-reduced~~ significantly at the upper mesosphere altitudes above ~ 70 km in all seasons, while the ~~damping-reduction~~ below ~ 70 km is not so evident. Mzé et al. (2014) observed a nearly undamped propagation of GW in summer in the low mesosphere. Meanwhile, Rauthe et al. (2008) also reported the weakest ~~damping-reduction~~ occurring in the summer seasons. Since the effects of GW in the numerical climate and weather prediction models are usually represented simply by parameterization (Kim et al., 2003). there are still large discrepancies between model and measurement results (Geller et al., 2013). Therefore, more attention should be paid to the GW parameterization about these kinds of observations in the upper mesosphere and mesopause region to improve the model results.

Seasonal variations of GW activities based on lidar temperature measurements have been investigated at a few low latitude sites (Chane-Ming et al., 2000; Li et al., 2010; Sivakumar et al., 2006). These studies used Rayleigh lidar data and focused mainly on the upper stratosphere and lower mesosphere in an altitude region from 30 to 80 km. They showed the SAO dominated the seasonal variability of GW activities with maxima in both winter and summer and minima near the equinox. Li et al. (2010) related this to the dominated SAO in the mean zonal wind in the tropical stratosphere and lower mesosphere. Considering the different transition of the mean zonal wind in the range 80-95 km in the tropical region noted above, the seasonal variability of GW activity in the tropical upper mesosphere is expected to be different from either the lower altitudes or the mid- and high-latitude regions.

To our knowledge, the seasonal variability of GW activity retrieved from mesospheric lidar temperature data with high temporal and vertical resolution have never been reported from a tropical location.

Such measurements of atmospheric temperature have been conducted with the K Doppler lidar located at the Arecibo Observatory (18.35 °N, 66.75 °W), Puerto Rico (Friedman and Chu, 2007; Yue et al., 2013, 2016,). Since December 2003, the Arecibo Observatory K Doppler lidar has operated routinely, producing high-quality temperature data. In this report, we estimate the mean temperature, the squared Brunt-Väisälä frequency, N^2 , and the gravity wave potential energy and their annual variability from the temperature dataset. We find an altitude-to-altitude close relationship between the annual variability of the potential energy in this report and that of the mean zonal winds in the literature. Their implications to the role of gravity wave in the MLT are discussed.

2 Observations

The Arecibo K Doppler lidar probes the potassium D1 line to deduce the potassium density and neutral air temperature simultaneously by employing the three-frequency technique (e.g., Friedman and Chu, 2007; Friedman et al., 2003). The temperature was obtained at 0.45/0.9 km vertical and 10/30 minutes temporal resolution, respectively (Friedman and Chu, 2007), and we unify them into profiles with resolutions of 0.9 km and 30 minutes in vertical and temporal dimensions, respectively. This data processing excludes the perturbations relevant to gravity waves with vertical wavelengths and observed periods less than 1.8 km and 1 hour, respectively. Their exclusion may bias the deduced gravity wave associated potential energy estimations towards mid- and low-frequency gravity waves. The root mean square (RMS) temperature errors is about 2 to 3 K at the peak of the K density layer and increase to about 10 K around 85 and 100 km (Friedman and Chu, 2007; Yue et al., 2017).

Measurements are made only at night in two periods, one from December 2003 to January 2010, the other from November 2015 to April 2017. Data were available for every calendar month. Across the 11 years of the data collection period, ~~498-200~~ observing nights with a total of ~~4451-1470~~ hours of data were available at the time of preparing this report. The number of observation nights/hours varies from 4 nights/~~27-28~~ hours in October, to 32 nights/~~253-259~~ hours in January. On average there are 16.~~5-7~~ nights or ~~424-122.5~~ hours of observation each month. The statistics for the used temperature observations are plotted in Fig. 1 and the numbers of observational nights and durations are summarized for each month in Table 1. When the data are binned into weekly intervals, they cover 45 weeks of a year. On average there are ~~34.84~~ nights or 32.~~2-7~~ hours of observation each week. The gaps are at weeks 7, 18, 19, 25, 26, 39 and 42. The distribution of the data is quite even during the year. This allows us to fit the gravity wave activity annual variability through weekly means of temperature.

3 Calculating Methods

The potential energy density E_p can be estimated from temperature observations and then is chosen as a measure of GW activity. E_p is defined as (see, e.g., Vincent et al., 1997)

$$E_p = \frac{1}{2} \left(\frac{g}{N} \right)^2 \left(\frac{T'}{\bar{T}} \right)^2, \quad (1)$$

where

$$N^2 = \frac{g}{\bar{T}} \left(\frac{d\bar{T}}{dz} + \frac{g}{c_p} \right), \quad (2)$$

Here g equals to 9.5 ms^{-2} , is the gravitational acceleration in MLT; N is the Brunt-Väisälä frequency calculated according to Eq. (2); \bar{T} is the mean temperature averaging over altitude; T' is the temperature perturbation; and C_p is the constant-pressure heat capacity, equals to $1004 \text{ JK}^{-1}\text{kg}^{-1}$. In Eq. (1), the calculation of E_p depends on the estimations of N , \bar{T} and T' . The procedure adopted by Gardner and Liu (2007) is closely followed here for the estimation of T' . It includes 4

steps. Step 1: for the unified data with 0.9 km and 30 minutes in each night of observation, data points with photon noise errors larger than 30-10 K in temperature are discarded. The value of 30 K is set based on the fact that the root mean square errors (RMSE) due to photon noise often reach to about 40-50 K near the edges (~80 km on the bottom and ~105 km on the top) of the temperature profile. Step 2: the linear trend in time at each altitude in the temperature profile is then subtracted to eliminate the potential biases associated with GWs with periods longer than about twice the observation period. Step 3: Perturbations-Deviations exceeding three standard deviations from the nightly mean are discarded from the resulted temperature perturbation-deviation series at each altitude to remove occasional outliers. Step 4: the vertical mean is subtracted from each temperature perturbation-deviation profile to eliminate the influences of the waves with vertical wave length longer than about twice the profile height range (~25 km). The resulted temperature deviations (ΔT) are used to

10 calculate nightly mean temperature perturbation ($T' = |\overline{\Delta T}|$).

The data on 18 December 2003 are taken as an example of the data processing. Fig. 2a shows the temperature contours after step1. The data covers largely 82-103 km altitude range, the bottom edge increases to about 84 km in the second half of the night. Fig. 2b show the subtracted trends in time which indicates that the background temperature decreases at each altitude between 85-100 km range but increases near both the bottom and top edges. Fig. 2c is the corresponding temperature

15 deviations. The temperature deviations after step 4 are shown in Fig. 2d. It shows that, in contrast to mid- and high latitudes (e.g. Baumgarten et al., 2018; Rauthe et al., 2008), the perturbations (gravity waves) are dominated by small-scale variability and to a smaller extend by coherent wave structures. The difference between Fig. 2c and Fig. 2d is pronounced. This indicates that step 4 is effective in eliminating the influences of the waves with longer vertical wave length. Furthermore, Guharay and Franke (2011) have given a rather strong semidiurnal tidal amplitude at the mesopause region through the

20 meteor radar observations over a nearby site (20.7°N, 156.4°W). The amplitude increases with altitude and shows a clear SAO pattern with maxima during solstices. This kind of feature is not found in the variations of GW potential energy with altitude and season in later sections here. However, the total effective of this method in removing the tidal is not easily to evaluated due to the lack of the knowledge on tidal components in this latitude firstly and the shorter and often intermitted measurement periods.

25 Fig. 3a shows the individual profiles of the temperature fluctuations (cyan curves) for the night. The nightly mean temperature perturbations and the statistical uncertainties are also presented by black and red lines, respectively. The statistical uncertainties are usually less than 5 K below 95 km altitude, they increase a bit to ~6 K and keep it above. While the nightly mean temperature perturbations decrease from ~18 K at 85 km to ~6K at 89.5 km and then increase to and oscillate near 11 K above. The square of the ratio of temperature statistical uncertainty over nightly mean perturbation is

30 plotted in Fig. 3b. It represents the relative error in estimating the potential energy due to statistical uncertainty in the temperature data. In this night, the relative errors are lower than 20% below 95 km and become larger above. The maximal relative error occurs just below 97 km with a value of 59%. The relative error is a bit larger than 30% near 100 km altitude but less than 10% near 85 km.

The resulted temperature perturbation profiles usually cover the height range 80–105 km. Therefore, the weekly composite nights of temperature, N^2 and the consequential E_p usually cover the height range 80–105 km. To avoid the uncertainty of the analysis, we focus on the height range 85–100 km where the RMSE of each instantaneous observed temperature usually less than 10 K.

- 5 The unified 0.9 km and 30 minutes resolution temperature profiles ~~and the extracted temperature perturbation profiles~~ on each observational night in the same folded week are binned and averaged to the fixed same vertical and temporal grids to construct the weekly composite mean night of temperature for each week of a year. ~~The weekly composite night data of \bar{T} is shown in Fig. 2. Temperature Inversion Layers (TILs) can be found in most months.~~

The weekly composite night data of \bar{T} is first spatially and then temporally smoothed using Hamming windows with full widths at half maximum (FWHM) of 2.7 km and 3 hours, respectively. This method of computing the weekly composite night follows the approach used by Friedman and Chu (2007) and the references therein. The resulting weekly composite night of temperature usually covers most of the night from sunset to sunrise (not shown here, the resulting monthly composite night can be seen in Figure 3 of Friedman and Chu (2007), which is computed with a small part of the data set used here). It is a close representation of the mean state at the fixed time and altitude bins within an averaging week night.

- 15 After that, the weekly composite nights are then averaged to derive the weekly mean profiles. The nightly mean temperature perturbation profiles with unified 0.9 km resolution in the same folded week are averaged to obtain the weekly mean temperature perturbation profile; ~~†The weekly composite nights mean profiles of N^2 and the consequential E_p at 0.9 km and 30 minutes resolutions are estimated through Eq. (2) and (1), respectively. For the weekly mean profiles of each parameter, the weekly composite nights are then averaged to derive the weekly mean profiles which they~~ are fitted to a harmonic fit model including the annual mean plus 12-month (annual) oscillation and 6-month (semiannual) oscillation. The equation of the model is as following:

$$\Psi(z, t) = \Psi_0(z) + A_{12}(z) \cos \left[\frac{2\pi}{365/7} (t - \varphi_{12}(z)) \right] + A_6(z) \cos \left[\frac{4\pi}{365/7} (t - \varphi_6(z)) \right] \quad (3)$$

where $\Psi(z, t)$ is the value of a weekly mean parameter at altitude z and week t , expressed in week of the year (1–52), $\Psi_0(z)$ is the annual mean, $A_n(z)$ and $\varphi_n(z)$ ($n = 6, 12$) are the amplitude and phase of the n -month oscillation, respectively.

- 25 To keep the characteristics of higher order components in the seasonal climatology of each parameter, the fitted $\Psi(z, t)$ was subtracted from the raw weekly mean profiles and the residuals were smoothed using a Hamming window with a FWHM of 4 weeks. The smoothed residuals were then added back to the fitted $\Psi(z, t)$, the resulting seasonal climatology (hereafter SC) of each parameter is then illustrated together with weekly mean profiles in the results section. Meanwhile, the fitted seasonal variations (hereafter SV) $\Psi(z, t)$ of each parameter, i.e. the mean plus the annual and semiannual harmonic fits, are also
 30 presented together with the amplitude and phase information of each monochromatic wave in the following section.

4 Results

In the following the results of the analysis are shown and discussed with respect to the seasonal variation of the mean temperature (4.1), Square of the Brunt-Väisälä frequency (4.2) and ~~seasonal variation of~~ the gravity wave activity (4.3). Therefore, we plot the weekly mean profiles and the corresponding SCs of \bar{T} , N^2 , and E_p in Fig. 4, 6, and 8, respectively, at
5 0.9 km vertical and one-week temporal intervals. The ~~fitted seasonal variation~~SVs of \bar{T} , N^2 , and E_p by applying Eq. (2) are
presented in Fig. ~~3a5a~~, ~~4a7a~~, and ~~5a9a~~ (top left panels in each figure), respectively, ~~at 0.9 km vertical and one week~~
~~temporal intervals~~. The amplitudes and phases of the 12-month and 6-month oscillations of the regression model are plotted
10 in Fig. ~~3e5c~~, ~~47c~~ and ~~57c~~ (top right panels) and ~~53d~~, ~~47d~~ and ~~59d~~ (bottom right panels), respectively. In the raw data, temperature error due to photon noise is usually less than 5 K in the altitude range 87-97 km because the K density in this
range is usually rather larger (e.g., Yue et al., 2017). To show the seasonal variation of each parameter more clearly, the data
between 87 and 97 km are averaged in altitude and then fitted to the same seasonal model consisting of the annual mean, AO
and SAO. Note that it is in a risk of smoothing the temporal variations for those parameters, such as N^2 , which is strongly
varying in term of altitude in this range. The averaged results and the fitted curves are plotted in Fig. ~~53b~~, ~~47b~~ and ~~59b~~
(bottom left panels). The statistical parameters for this fit are summarized in Table 2.

4.1 Seasonal Variation of the Mean Temperature

~~Figure 2 shows the profiles of the~~ The weekly mean temperature \bar{T} illustrated in Fig. 4a and the SC of temperature illustrated
in Fig. 4b, the corresponding harmonically fitted temperature is shown in Fig. 3a. It shows that the Arecibo climatology is
warmer in late-autumn to-early-winter and colder in summer throughout all altitudes. Fig. 5a shows the seasonal variation
of temperature synoptically. Temperature Inversion Layers (TILs) present in most of the time, The altitudes where the
20 temperature gradient changes from positive to negative ~~are~~ are represented by the black cross in ~~both Figures- 4b and 35a~~.
There are minor deviations for the labelled altitude between these two figures. To simplify the analysis on the influences of
different harmonic components, we take Fig. 5a as the example in the following descriptions and discussions. In spring, TILs
occur at ~96 km from late February to April. In summer, TILs appear at ~ 91 km from late May to the first half of September.
In winter, TILs occur at ~94 km from the second half of December to the first half of February. It is noted that the inversions
25 are rather weak in the winter months from November to January. The minimal temperature occurs near 98.5 km at most time
~~mesopause is above 96 km~~ except ~~for~~ in the period from the second half of September to November where it is situated at ~
96 km. This should be the mesopause according to the result that the mesopause is at the 95-100 km level at low-latitude
obtained by -SABER observations (Xu et al., 2007b).

Figure ~~3e-5c~~ shows that the amplitudes of the AO are obviously larger than that of the SAO. Fig. ~~3d-5d~~ shows that the phase
30 (defined as the time of the maximum perturbation) of AO oscillates in a not wide range between day of year (DOY) -60 and
10, while that of SAO varies between DOY 110 and 150. Fig. ~~3b-5b~~ shows that the mean temperature is warmest between
October and November and coldest in July. A secondary peak/trough occurs in April/February.

Notice that the warmest temperature occurs around October with shortest observation times which reduce the confidence level of the harmonic fit. However, the observation times in both September and November are longer than 100 hours in more than 10 nights, they help to keep the confidence level of the harmonic fit. Moreover, the temperature structure shown in Fig. 3a-5a agrees well with the temporal variations of the equatorial zonal mean temperature in the range 85-100 km observed by SABER (Xu et al., 2007a). The amplitudes and phases of both SAO and AO observed by SABER at 20° N latitude had been shown by Xu et al. (2007a) in their middle panels of Figure 10. Comparisons show that the lidar observed phases of both SAO and AO shown in Fig. 3d-5d agree with those obtained by SABER in the same altitude range. The SAO amplitude shown in Fig. 3e-5e agrees quite well with that observed by SABER in both magnitude and vertical structure. The lidar AO amplitude shows similar vertical structure with that of SABER, but the magnitude of lidar AO amplitude is at least 1 K larger than that observed by SABER. The agreement between lidar and SABER observations gives us more confidence to use the lidar observed temperature data studying the GW activities in latter sections.

Except for the smaller amplitude of SAO oscillation in this study, the phase of SAO, the amplitude and phase of AO of the mean temperature is consistent with a previous study by Friedman and Chu (2007) (see their Fig. 6 to 7), who used data collected between December 2003 and September 2006. Comparing Fig. 3a-4b here to the Fig. 6 in Friedman and Chu (2007), there are some different features in these two climatology results. For example, the temperatures are a bit warmer in the winter months of December and January, but they are obviously a bit colder in the range 90-100 km in March and April in this study. The differences are caused by three-two reasons. The first and the key point is the lack of adding the smoothed residual temperature back to $T(z, t)$ estimated by using (3) in this study. The second reason is the much more extensive data set from year 2003 to 2017 covering a whole solar period here. The last-second reason is the harmonic fit model is in term of week here, while it was in term of month in Friedman and Chu (2007).

4.2 Square of the Brunt-Väisälä frequency

The square of the Brunt-Väisälä frequency N^2 is a good indicator to characterize the atmospheric static stability. Gardner and Liu (2007) indicated that the resulting N^2 were-was usually overestimated in this way due to the eliminations of gravity waves when the weekly mean temperature profiles were derived by employing data averaging. However, they pointed out that the lower-value regions of N^2 represented well the lower stability of the atmosphere, i.e., the greater wave dissipations. Figures 6 and 7a shows that N^2 is highly variable with season and altitude. Take the summer months from June to August as example, Fig. 7a shows that N^2 is quite low near the bottom and then increase quickly with altitude. It is obviously large between 87 and 92 km altitudes and decreases above. It is small in the 94-98 km range and turn to increase gradually near the top boundary. The seasonal variations are obviously different every 4-km-thick range from 87 to 96 km altitude. The features shown in Fig. 6b are more complicated than Fig. 7a. Assuming an isothermal atmosphere with the background temperature being 190 K, N^2 is estimated to be about $4.7 \times 10^{-4} \text{ s}^{-2}$ which is represented largely by the orange color in figures 6b and 7a. Therefore, below about 96 km, the regions with red color (larger than $4.7 \times 10^{-4} \text{ s}^{-2}$, indicating a positive

temperature gradient with altitude) agree with the TILs as expect. It shows clearly that the TILs occur at about 92-95 km altitude range in February and March while they occur at about 87-92 km altitude range through the summer months. A low value of GW potential energy is expected in the region with N^2 being large in case that other parameters keep unchanged. the atmosphere is statically stable on average throughout the height range from 85 to 100 km. The region of greater average stability lay just at and below the temperature inversion layers (shown in Fig. 3a) or just above the mesopause where the mean temperatures increase with increasing altitude.

The fitted curve of $\overline{N^2}$ (average of N^2 between 87 and 97 km height) as shown in Fig. 4b-7b clearly exhibits a seasonal variations. The maximum occurs in July and the minimum between October and November, while a secondary maximum occurs between January and February and a secondary minimum in May. However, it is noted that the seasonal variations of N^2 vary highly with altitude. This 87-97 km mean fitting curve cannot represent the whole features of N^2 in this altitude range.

Figure 4e-7c shows that the amplitudes of the 12-month and the 6-month oscillations are comparable throughout most of the altitude range of interest. They oscillate similarly and look like sinusoids with troughs occurring at altitudes ~87 km, ~92km, ~96 km, ~98 km. we allow negative amplitudes to make the oscillation of these two components look in order as shown in Fig. 4d-7d also show quick transition. There are several turning points at these altitudes, ~87 km, ~92km, ~94 km, ~96 km in the profiles of AO and SAO phases. The last three of these altitudes correspond to the altitudes of TILs. This agrees with the fact that the TILs locate at different altitude and N^2 becomes large just below TIL (increased dynamical stability).

4.3 Seasonal Variation of the Gravity Wave Activity

GW activity is directly manifested by the wave energy. Figure 5a shows the contour plots of the harmonic fitted GW potential energy E_p from lidar observation. E_p is coloured in a logarithmic scale (log10). It can be seen that, below 97 km altitude, E_p always reaches the maximum in equinox seasons, and mostly near spring equinox. More interesting, in equinox seasons the potential energy decreases with altitude from the bottom to ~91 km and then shifts to increase with altitude in the range from 91 to 95 km. However, the potential energy is quite smaller in the altitude range 85-95 km during solstices. The energies are low near 91 km throughout all the year. Above 91 km and below 97 km an obvious semiannual oscillation is visible in Fig. 5a. The oscillations of potential energy become very weak around 97 km. The SC of potential energy illustrated in Fig. 8b shows some difference. The seasonal variations of E_p exhibit an oscillation with period of ~3 months at 87-95 altitude range. A dominant maximum occurs from the second March to the first April. Several minima occur at time January-February, May-June, August-September, and November-December. This implies that some higher order oscillation may also play important role besides the AO and SAO. We note this feature of E_p varying with higher oscillation pattern and leave it for further discussion. We only focus on AO and SAO in this paper.

Figure 5e-9c shows that the amplitudes of both the 12-month and the 6-month oscillations are comparable at most altitudes. The amplitude of 6-month oscillation SAO becomes smaller in the altitude range 94-98 km. The SAO amplitude I_t decreases to almost 0 J.kg⁻¹ at 97-98 km. Fig. 5d-9d shows that the phase of 6-month oscillation is almost independent of altitude. It is quite close to DOY 100 at most altitude. The AO has almost the same phase as the SAO from 88 to 96 km altitude. Its phase shifts to the end of the year near the top edge where it is the dominant seasonal variation. The RMSE of the AO/SAO phase becomes oddly large near 87/97 km altitudes, respectively, where the AO/SAO amplitude reaches 0 J.kg⁻¹. It can be seen in Fig. 5a that the seasonal variations of E_p are flat at these two altitudes.

In the altitude range 87-97 km, Fig. 9c shows that the amplitudes of both annual and semi-annual oscillations vary slightly. As the seasonal variations of E_p show semi annual oscillation dominated features with the approximated phases in the altitude range 87-97 km, meanwhile, Fig. 9d shows that the phases of both annual and semi-annual oscillations vary also slightly. Therefore, the mean E_p averaged in the altitude range 87-97 km and the corresponding harmonic fit shown in Fig. 5b-9b can represent the seasonal behaviour of E_p in this altitude range well. The harmonic fit curve of E_p shows a combination of annual and semi-annual oscillations with the maximum of 404 J.kg⁻¹ near vernal equinox and the minimum of 264 J.kg⁻¹ in the end of November. The maximum is a factor of 1.5 larger than the minimum.

5 Discussion

5.1 Mesospheric Temperature Inversion Layer

We have noticed that obvious TILs occur at ~96 km in spring, at ~91 km in summer and early autumn, and at ~94 km in winter. The TILs in the upper mesosphere over Arecibo had been reported both in case study and/or study of climatology by using subset of the data used in this study (Yue, et al., 2016; Friedman and Chu, 2007). The formation mechanism for TIL in the mesopause region had been reviewed by Meriwether and Gerrard (2004). One primary mechanism for the upper mesosphere TIL is that upward propagating GWs reach a critical level via interaction with the background flow and/or tides. The GW potential energy accumulates with the wave compressed in reaching to the critical level. Xu et al. (2009) analysed satellite observations and showed that the DW1 tide interacted with GW leading to the damping of both DW1 tide and GW, the larger the amplitude of DW1, the larger the damping. Consequently, the occurrence of TIL and the decrease of the GW E_p are expected at and just below the locations where DW1 amplitude is large. Climatology of WACCM Simulations showed that, at 20° N, both the zonal and meridional components of DW1 tide amplitudes are large in height range 80-100 km around vernal equinox and in altitude range 90-100 km in summer months from June to August (e.g. Fig. 10 in Smith, 2012). These areas with large DW1 tide amplitude in their Fig. 10 match perfectly with the TILs in Fig. 3a5a.

5.2 Reduction~~Damp~~ of GW Potential Energy

For freely propagating GWs, the potential energy per unit mass ($\text{J} \cdot \text{kg}^{-1}$) should increase exponentially with altitude for the conservation of energy. Fig. ~~5a-9a~~ shows that the potential energy decreases firstly and then turn to increases gradually with altitude below ~ 97 km in all seasons. Above ~ 97 km, the GW potential energy enhanced significantly with altitude. ~~The solid curve decreases below ~ 92 km and turn to increases above. The increase become significant above 97 km altitude.~~ This behaviour of mean potential energy is much similar to that retrieved from satellite temperature data (Offermann et al., 2006, see their Figures 10 and 11). The altitude of ~ 97 km is in the vicinity of their ‘wave-turbopause’ altitude range, ~~and is~~ close to the mesopause over this site [Friedman and Chu, 2007; Xu et al., 2007b; Yue et al., 2017], ~~and is the level where the seasonal variation of zonal winds changing from clear SAO pattern to AO dominant pattern (e.g. Li et al., 2012).~~ This result ~~suggests~~indicates that a possible mechanism for the GW energy dissipation, i.e., the GW ~~damps significantly~~ dissipates or deposesing energy or momentum below about the mesopause (or the wave-turbopause defined by Offermann et al. 2006), ~~but it propagates upward almost freely after penetrating to the thermosphere. This conjecture should be taken with caution because the relative error in the estimated E_p could reach 30% or even larger due to the statistical uncertainty of temperature measurement at this altitude range. But the quantity influence of this uncertainty on the energy increase with altitude is hard~~ to evaluate because the statistical uncertainty does not show an increase trend at 96-100 km altitude range as shown in Fig. 3b. In addition, it needs to point out that the increasing E_p above 97 km in spring, autumn and winter months matches with the increasing easterly winds in this altitude range and seasons as discussed in the 5.3 subsection.

To learn in depth the dissipation of GW in the mesopause region at Arecibo, we multiplied the harmonic fitted E_p with the air density taken from the CIRA-86 reference atmosphere [Fleming et al., 1990], and averaged ~~the every 13~~ weekly profiles every 13 weeks (period of a season) centring at each equinox or solstice. The resulted profiles of the potential energy per unit volume (in $\text{J} \cdot \text{m}^{-3}$) in four seasons are plotted in Fig. ~~610~~. If GWs propagate upward without energy dissipation, the lines of energy per unit volume would be vertical. Therefore, the overall left-sloping lines in Fig. ~~6-10~~ indicate that the ~~damps~~ reductions of GW potential energy occur below ~ 97 km in all seasons. The ~~damp-reduction~~ of GW potential energy in the mesosphere had been reported by lidar observations at other latitude stations (e. g. Mz   et al., 2014; Rauthe et al., 2008). Both observations of Mz   et al. (2014) and Rauthe et al. (2008) indicated d dissipation of GW E_p throughout the mesosphere in all seasons.

~~The damp of GW E_p in the mesopause region is assumed to be caused by the interaction between DW1 tide and GW (Xu et al. 2009), the larger the amplitude of tide, the larger the energy damp. The climatology of the DW1 tide amplitude at 20  N was shown in Fig. 10 of Smith (2012), which showed the DW1 tidal amplitudes in winter were significantly smaller than the other three seasons, this matches with the smallest damp of GW E_p in winter as shown in Fig. 6 here. The damp-reduction of GW E_p indicates the deposition of GW energy and momentum into the background atmosphere, which would lead to the increase of background temperature and/or even the occurrence of TIL. This drives us to investigate the relationship between the damp-reduction of GW E_p and the temperature structure in depth. We are excited to find that each profile of the GW~~

potential energy per unit volume ((in $\text{J} \cdot \text{m}^{-3}$) as shown in Fig. 6-10 shows a rapid ~~damp-reduction~~ of energy at and below the TIL altitude of the corresponding season and turns to a much slower ~~damp-reduction~~ and/or even conservation of energy above. For examples, the behaviours of the green curve (profile for winter) around 94 km altitude (the altitude of TILs in winter), the blue curve (profile for summer) around 91 km altitude (the altitude of TILs in summer). The black curve (profile for spring) around ~~96-97/98~~ km altitude (~~~1 km above~~ the altitude of TILs in spring). These close connections of the mesospheric TILs with the ~~damp-reduction~~ of GW potential energy provide strong support to the mechanism that the upper mesosphere TIL formed due to the interaction of GW with the upper mesospheric wind/diurnal tides through critical level effects. From other point of view, the strong gradient change in the seasonal mean profiles of GW potential energy per unit volume should be induced strongly by the horizontal wind field in this region.

~~We notice that in the altitude range 85-95 km, where the GW potential energy damps significantly in all seasons, the background temperature decreases in an oscillational way from ~195 K to ~185 K. The corresponding mean temperature decreasing rate is of ~1 K/km which is extremely smaller than the lapse rate of ~9.5 K/km in this altitude region. Above 97 km, the GW potential energy per unit volume either conserves with altitude in summer and winter or turn to increase with altitude in spring as shown in Fig.6. Correspondingly, the background temperatures in Fig. 3a decrease sharper in seasons spring, summer and winter. It is worth to note that in autumn the GW potential energy per unit volume turn to increase at ~96 km altitude where the mesopause occurs as shown in Fig. 3a. These results are very valuable in helping to improve the parameterisation of GW in the mesopause region in global circulation models.~~

5.3 Seasonal variations of GW Potential Energy

We point out a semi-annual cycle of GW E_p with maximum in spring and minimum in summer and a second maximum in autumn and a second minimum in winter in the altitude range 87-97 km. The maximum of the GW E_p alters to autumn below 87 km and above 97 km altitude. These results agree with the observations at other low-latitude stations. Gavrilov et al. (2003) studied the GW seasonal variations by using Medium-Frequency (MF) radar observation over Hawaii (22°N , 160°W). They found a semiannual variation of GW with the maximum intensity at the equinoxes above 83 km, the mean zonal wind had also a mainly semiannual variation in this altitude range. The seasonal variations of GW activities at low-latitude stations are different to those obtained from lidar observations at other latitude stations in the upper mesosphere (Mz   et al., 2014; Rauthe et al., 2006, 2008). Rauthe et al. (2008) provided the seasonal variations of GW E_p at a station of 54°N latitude by using a 6-years of lidar temperature observations from 1 to 105 km. They showed an annual-dominated variation of GW E_p with the maximum in winter and the minimum in summer in the mesopause region. Mz   et al. (2014) reported a semi-annual variation of GW E_p with maxima in winter and in summer and minima during the equinoxes in the upper mesosphere (~75.5 km) by using Rayleigh lidar observations from 1996 to 2012 at a mid-latitude station (~44 $^\circ\text{N}$). They showed that the maximum of E_p was about $144 \text{ J} \cdot \text{kg}^{-1}$ on average at 75.5 km in August while the minimum of E_p is

about a factor of 2.5 smaller than the maximum. The ~~factor of~~ ratio between the maximum and the minimum is obviously larger than that of 1.5 in the altitude range 87-97 km at Arecibo.

The cause of the observed seasonal variations of GW activities in the mesosphere was discussed by several authors. One that is often concerned is the influence of critical level filtering of GW by the background wind (Lindzen, 1981, Yue et al., 2005).

5 ~~The semiannual variation of GW intensity and mean zonal winds reported by~~ Gavrilov et al. (2003) had ~~been~~ attributed ~~their~~ observed semiannual variation of GW intensity to the dependence of GW generation and propagation on the background wind and temperature by numerical simulations. In a mid-latitude station Juliusruh (55°N, 13°E), Hoffmann et al. (2010) reported a semiannual variations of GW activity in the upper mesosphere and lower thermosphere with maxima in winter and summer and minima during equinoxes by using MF radar measured winds. This seasonal dependence is assumed
10 to be mainly due to the filtering of GW by the background wind in the stratosphere and lower mesosphere. It is not always the case. Rauthe et al. (2008) did not find a direct correlation between the strength of the GW activity and the background wind direction and/or wind speed taken from European Centre for Medium-Range Weather Forecasts analysis.

Here we also want to check the relation between our observed GW activity and the wind direction and/or wind speed. Some scientific literatures reported studies about seasonal variation of mean zonal wind in the tropical mesopause region (see e.g.,

15 Fig. 3 in Garcia et al. 1997; Fig. 1 in Li et al., 2012; Fig. 3 in Smith 2012). The monthly mean HRDI equatorial zonal wind showed that, the easterly winds were prevailing in equinoxes seasons near 80 km altitude. They then decreased with altitude from 80 km above and turned to increase above ~ 92 km, while the westerly winds prevailed in ~~in~~ the range 80-94 km in solstice seasons, they then turned ed to be easterly. the reversal is at about 95 km (Smith, 2012). The zonal winds observed by meteor radar at a nearby site Maui (20.7°N, 156.4°W) (see figure 1a in Li et al., 2012) showed further that the westerly
20 winds prevailed throughout the 80-100 km altitude range in the summer months from May to August. This provides us the opportunity to compare our GW E_p climatology shown in Fig. ~~5a-9a~~ with the mean zonal winds climatology shown in the Fig. 1a in Li et al. (2012) or the upper panel of the Fig.3 in Smith (2012), -season to season and altitude to altitude. Here we focus on the altitude range 85-100 km.

Firstly, the mean zonal winds have a dominated semiannual oscillation with westerly winds prevailing in solstice seasons
25 and easterly winds (or weak westerly winds) prevailing in equinoxes seasons, meanwhile, our GW E_p has a semiannual oscillation with minima in winter and summer and with maxima during equinoxes. Secondly, the easterly winds are much larger (or the westerly winds are much smaller) in the altitude range 85-95 km around vernal equinox than around autumn equinox, which corresponds to the fact that the magnitude of GW E_p in spring is significantly greater than that in autumn. This correlation is also verified by the fitted curve in Fig. ~~5b9b~~. The maximum of E_p at vernal equinox with a value of 404
30 $\text{J} \cdot \text{kg}^{-1}$ is a factor of 1.3 larger than the second maximum of $319 \text{ J} \cdot \text{kg}^{-1}$ at autumn equinox. Thirdly, the largest westerly winds near 90 km in June matches perfectly with the minimum E_p at almost the same altitude and at almost the same time. Fourthly, the decrease of easterly winds with altitude near 85 km during equinoxes is accordance to the strong but decreasing GW E_p with altitude in almost the same altitude range and seasons, zero-wind line near 96 km altitude throughout a whole

year is accordance to the almost equal E_p at almost the same altitude in all seasons. Fifthly, the transition of mean zonal winds from decreasing westerly winds to increasing easterly winds above 96 km before middle April and After July throughout the whole year corresponds well with the overall increasing of E_p in the same altitude range and seasons. These five features provide strong evidence to an indeed pronounced correlation between the local mean zonal wind field and the lidar observed GW E_p . This correlation agrees perfectly with the connection of wind and GW in the middle atmosphere demonstrated by Lindzen (1981). Correlation between GW potential energy and local winds has been suggested by Wright et al. (2016) in their multi instrument GW measurements over Tierra del Fuego (54°S, 68°W), which was devoted to the Doppler shifting of waves into the observational filters of the instruments by these winds. It means that the seasonal variations of the GW activity in the MLT region at this site is determined by the selective filtering of GWs by the strong tropical zonal wind SAO at the same region.

6 Summary and Conclusion

The first complete range-resolved climatology of potential energy in the tropical mesopause region is present using 11 years long nocturnal temperature measurements by the K Doppler lidar over the Arecibo Observatory. The mean temperature \bar{T} , the square of the Brunt-Väisälä frequency N^2 and the potential energy of perturbations associated with gravity wave GWs are estimated with high accuracy and resolution from the temperature data. The main characteristics of the observations are as follows.

1. Mesospheric TILs occur in the altitude range 90-95 km in most months except October and November. In altitude range of temperature decreasing, the decrease rate of background temperature with altitude is usually 1-2 K/km in altitude range 85-95 km. Even at altitudes right above an inversion layer, the decrease rate is no larger than 4 K/km, which is extremely smaller than the lapse rate.
2. The GW potential energy per unit volume (in $\text{J} \cdot \text{m}^{-3}$) damps-reduces in the altitude range 85-97 km in all seasons. Close relationship exists between the damp-reduction of GW potential energy and the TILs. This provides strong support to the mechanism of the TIL formation in the mesopause region.
3. The seasonal variations of GW potential energy are dominated by the combination of annual and show clear semiannual oscillations at most altitudes. The maxima occur in spring and autumn and the minima occur during solstices. Annual oscillation and some high order oscillations still play important roles. The harmonic fitted observed GW potential energy with the annual mean plus the annual and semiannual oscillations is compared to the MLT wind field in the tropical region as published by Garcia-Li et al. (1997, 2012) and Smith (2001, 2012). There is indeed a pronounced altitudinal and temporal correlation between them. This suggests that the seasonal variation of GW activity should be determined mainly by the local wind field through the influence of critical level filtering of GW by the background wind.

Competing interests. The authors declare that they have no conflict of interest.

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Table 1. Arecibo K lidar temperature data used in this study (Days/Hours) by month.

	Total	2004	2005	2006	2007	2008	2009	2010	2015	2016	2017
month	D/H ^a	D/H	D/H	D/H	D/H	D/H	D/H	D/H	D/H	D/H	D/H
Jan.	2132/253 259	4/27		4/44	2/14	6/34		4/35		7/62	5/43
Feb.	127/104 2		5/33							4/38	3/31
Mar.	20/13 56	2/9	10/74		4/30	1/4	3/19				
Apr.	2+ 2/149 1 52	1/5	14/103	3/16		1/8	2/12				1/8
May	6/4 50	2/12	2/18	2/10							
Jun.	89/62 3	3/21	2/16				1/1			3/25	
Jul.	18/ 112 11 4	6/41	1/8	1/9		5/26	5/30				
Aug.	1618/112 114	7/46	4/27	2/11	1/6	2/10				2/14	
Sep.	1214/107 109		6/41	4/36		1/8				3/24	
Oct.	4/ 27 28	1/5	3/23								
Nov.	1118/152 155	1/2	5/46	4/31			1/9		4/34	3/33	
Dec.	1627/196 8	1/5	4/30	2/19			6/42	3/27 ^b	3/36	5/39	
Total	198200/1451 1470	28/ 171 173	56/ 417 2	22/ 163 176	7/50	16/ 889 0	18/113	7/62 ^c	7/70	27/235	9/82

^a D/H stands for Days/Hours; ^b Observed in 2003; ^c Including the observations in 2003.

Table 2. Parameters of mean temperature, temperature variance, squared Brunt-Väisälä frequency and potential energy averaged between 87 and 97 km.

	Annual	Amplitude		Phase (days)		RMS
	Mean	12-month	6-month	12-month	6-month	Residual- σ
Mean temperature (K)	188.7	3.6	1.8	-34	-57	5.5
$\overline{N^2}$ (10^{-4}s^{-2})	4.37	0.09	0.12	160	22	0.37
Potential Energy (Jkg^{-1})	351.8	55.9	42.1	119	-89	141.6

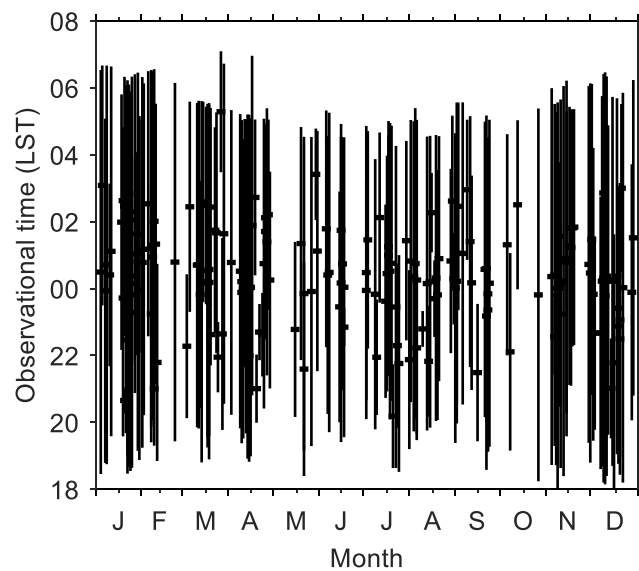


Figure 1: Local time coverage of the used temperature data observed by the K-Doppler lidar at Arecibo from December 2003 to January 2010, and from November 2015 to April 2017.

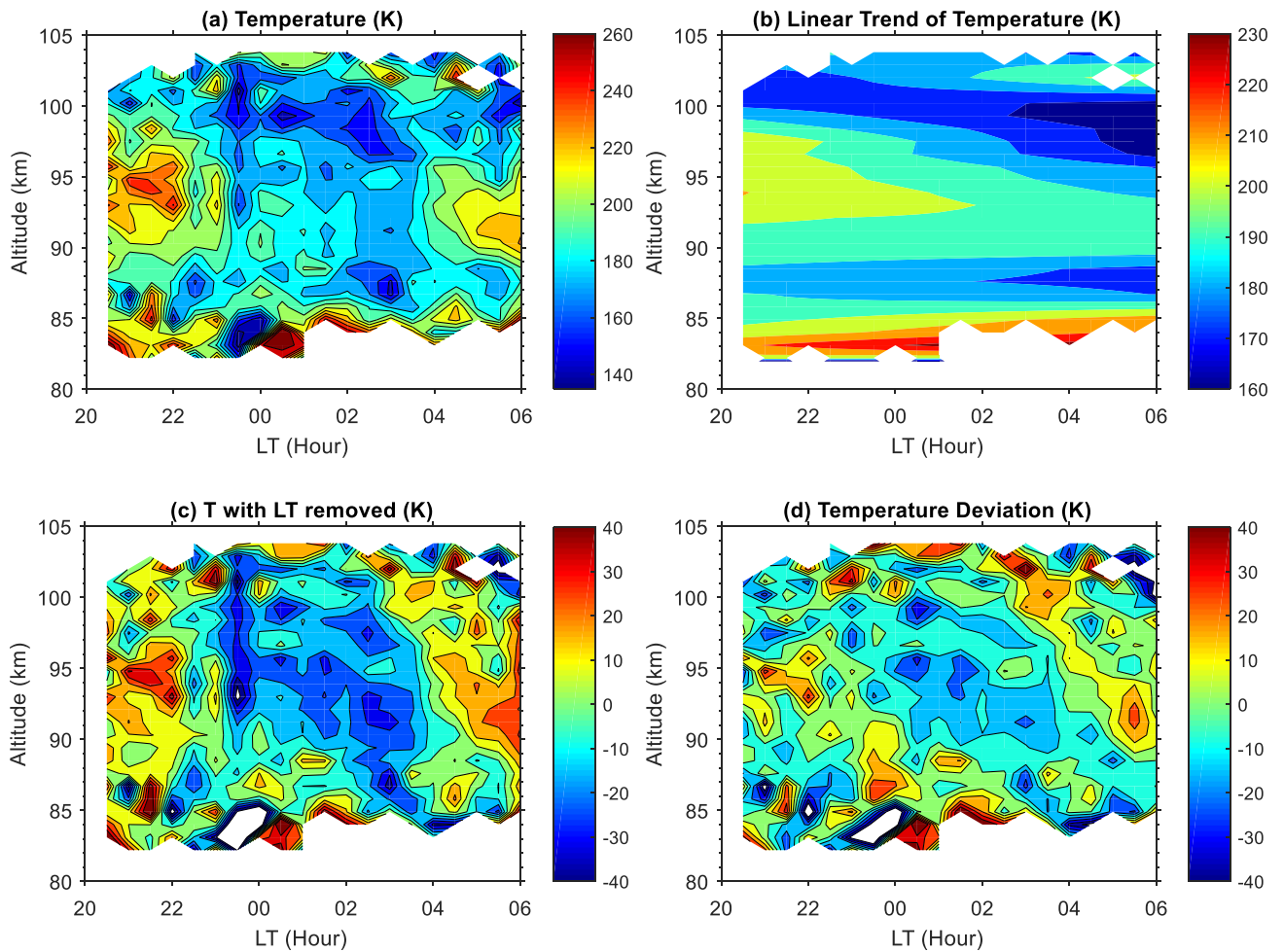


Figure 2: Illustration of data processing for temperature on 18 December 2003: (a) K lidar measured temperature with 0.9 km and 30 minutes vertical and temporal resolutions, respectively; (b) linear trend of temperature in time; (c) temperature perturbations after removing the linear trend in time; and (d) temperature perturbations after removing the linear trend in time and the vertical mean.

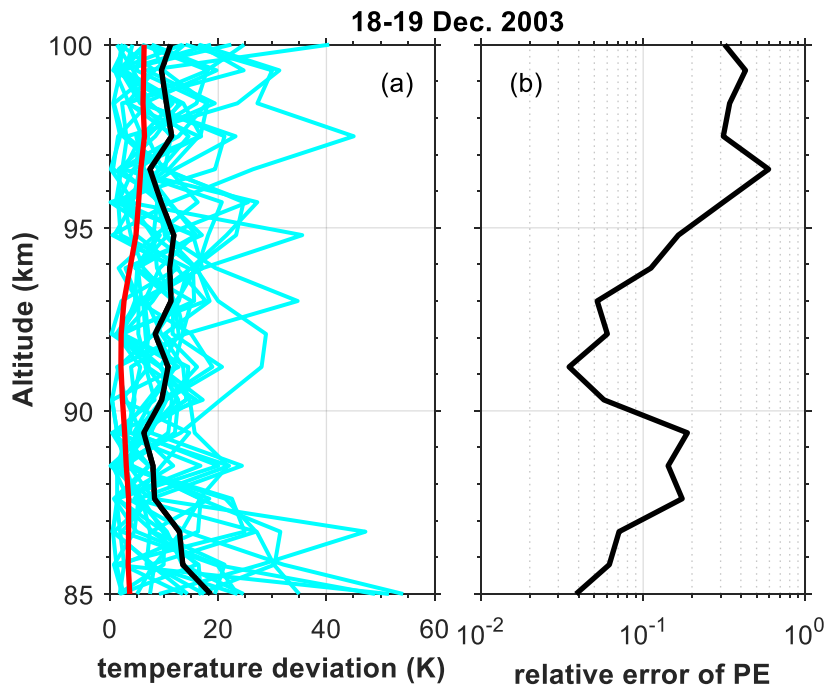
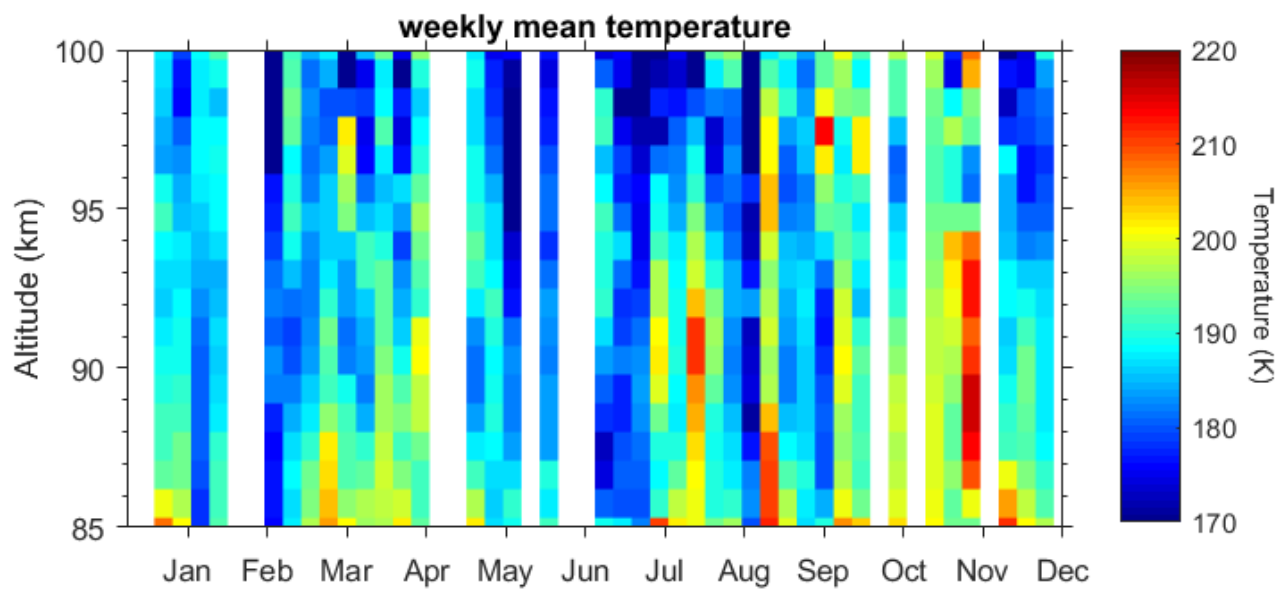


Figure 3: (a) Individual temperature deviation profiles on 18–19 December 2003. In addition, the mean statistical uncertainties of the measurements (red solid line) and the mean fluctuations at every altitude (black solid line) are shown; (b) relative error of potential energy at every altitude due to statistical uncertainty in temperature measurement.



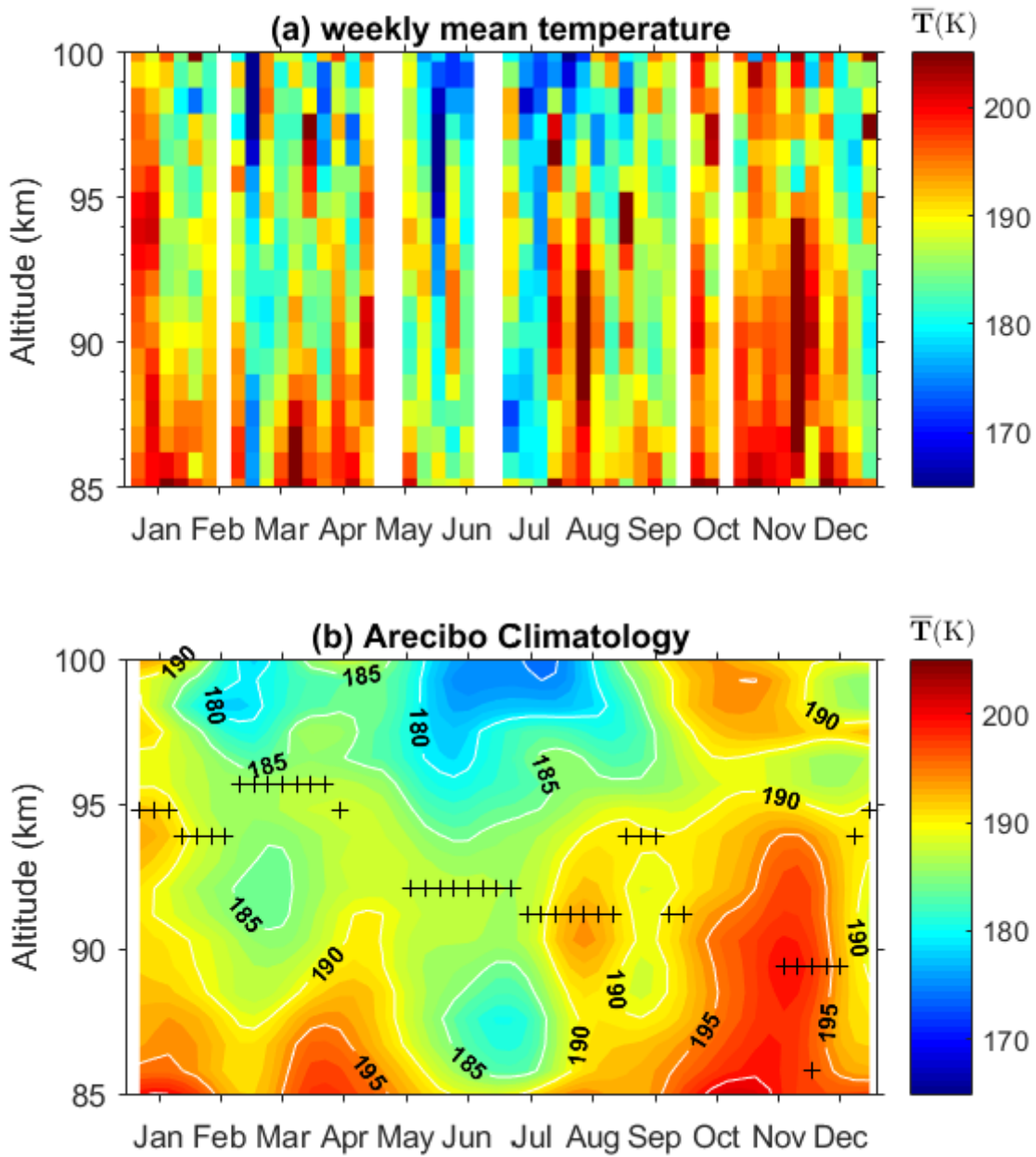


Figure 24: (a) the weekly mean temperature profiles in the mesopause region at Arecibo. (b) temperature climatology obtained by first applying a harmonic fit to the data shown in (a) and adding the residuals smoothed by using a hamming window with lengths of 4 weeks in time and 2.7 km in vertical.

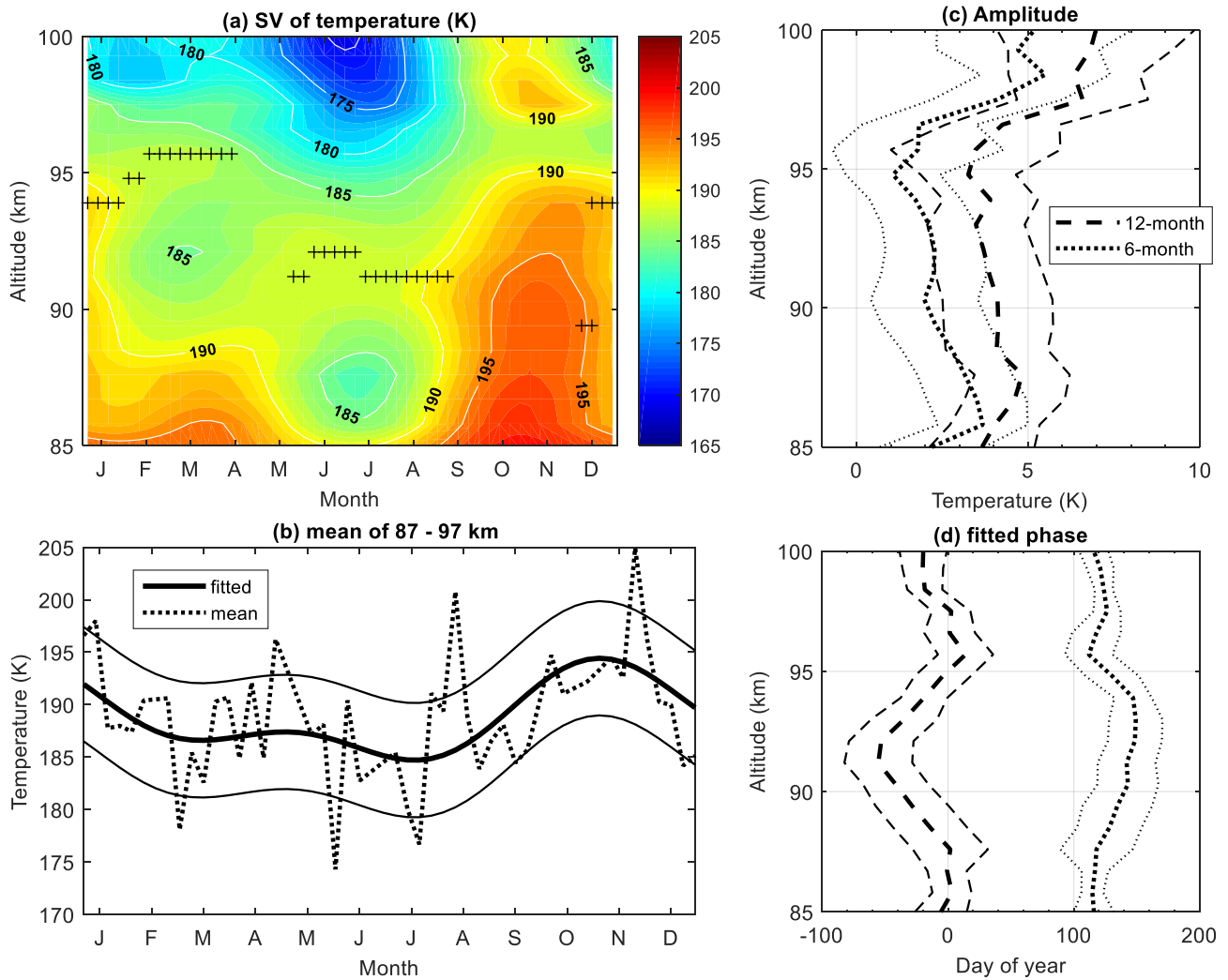


Figure 35: (a) Seasonal variations of the harmonic fitted nocturnal temperature plotted versus altitude and month, the crosses represent the altitude of temperature inversion layer, (b) observed (dotted curve) and harmonic fitted (thick solid curve) mean temperature between 87 and 97 km, the width between the thin solid curves and the thick solid curve is 1 standard error (σ), (c) 12-month (dashed curve) and 6-month (dotted curve) amplitudes and their 1σ deviations (thin lines) (d) 12-month (solid curve) and 6-month (dotted curve) phases and their 1σ deviations (thin lines).

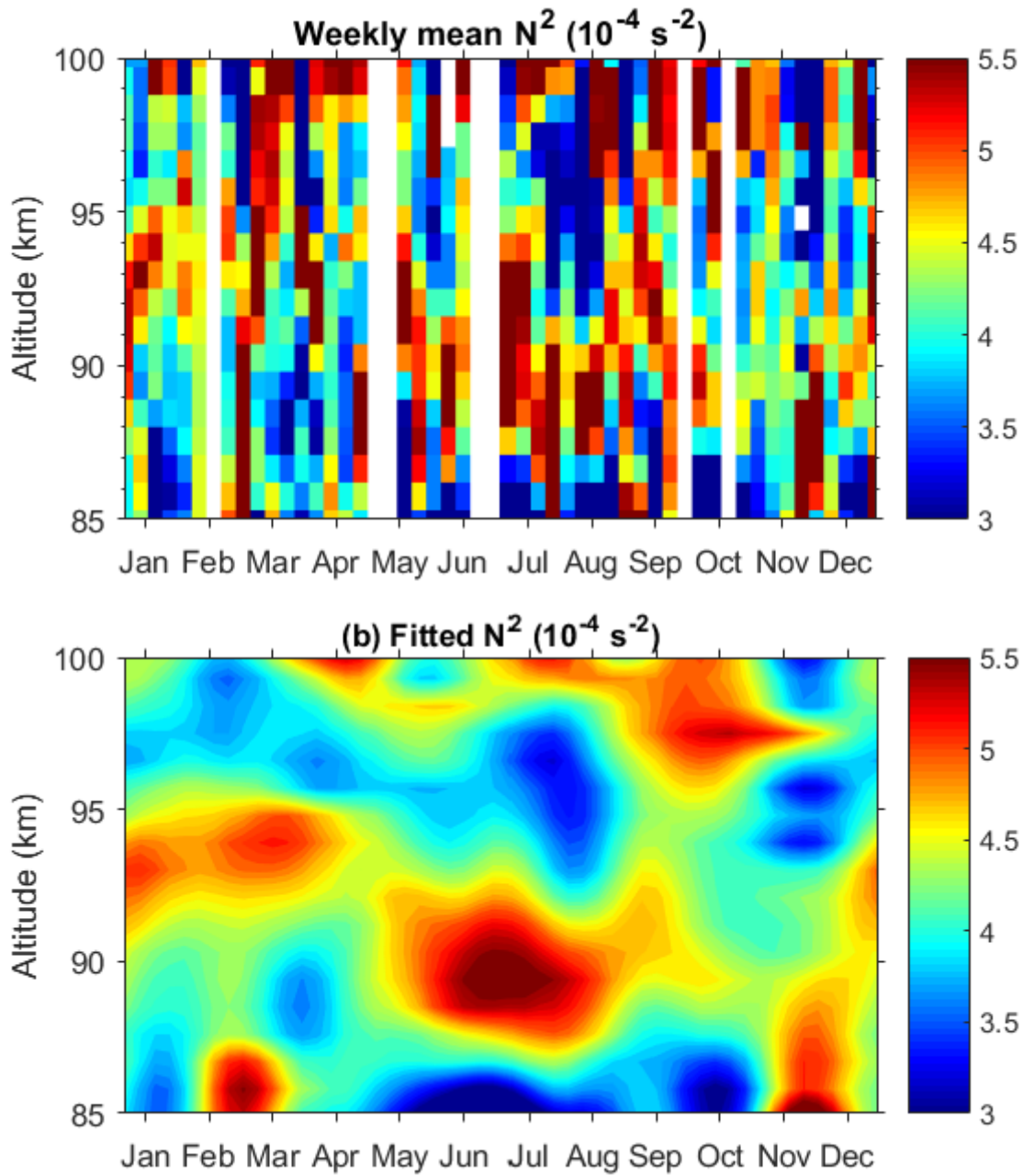
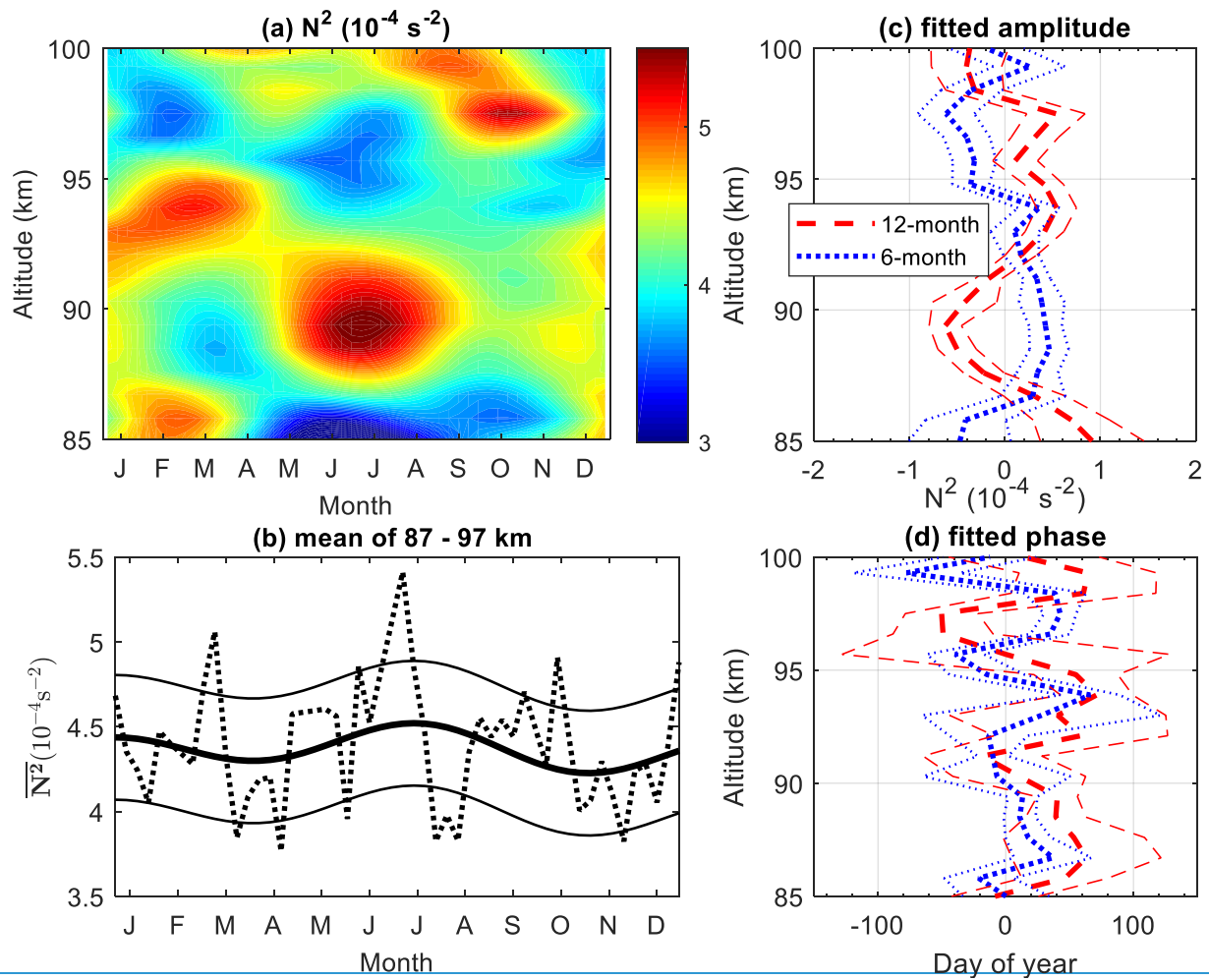


Figure 6: same as Figure 4 but for N^2



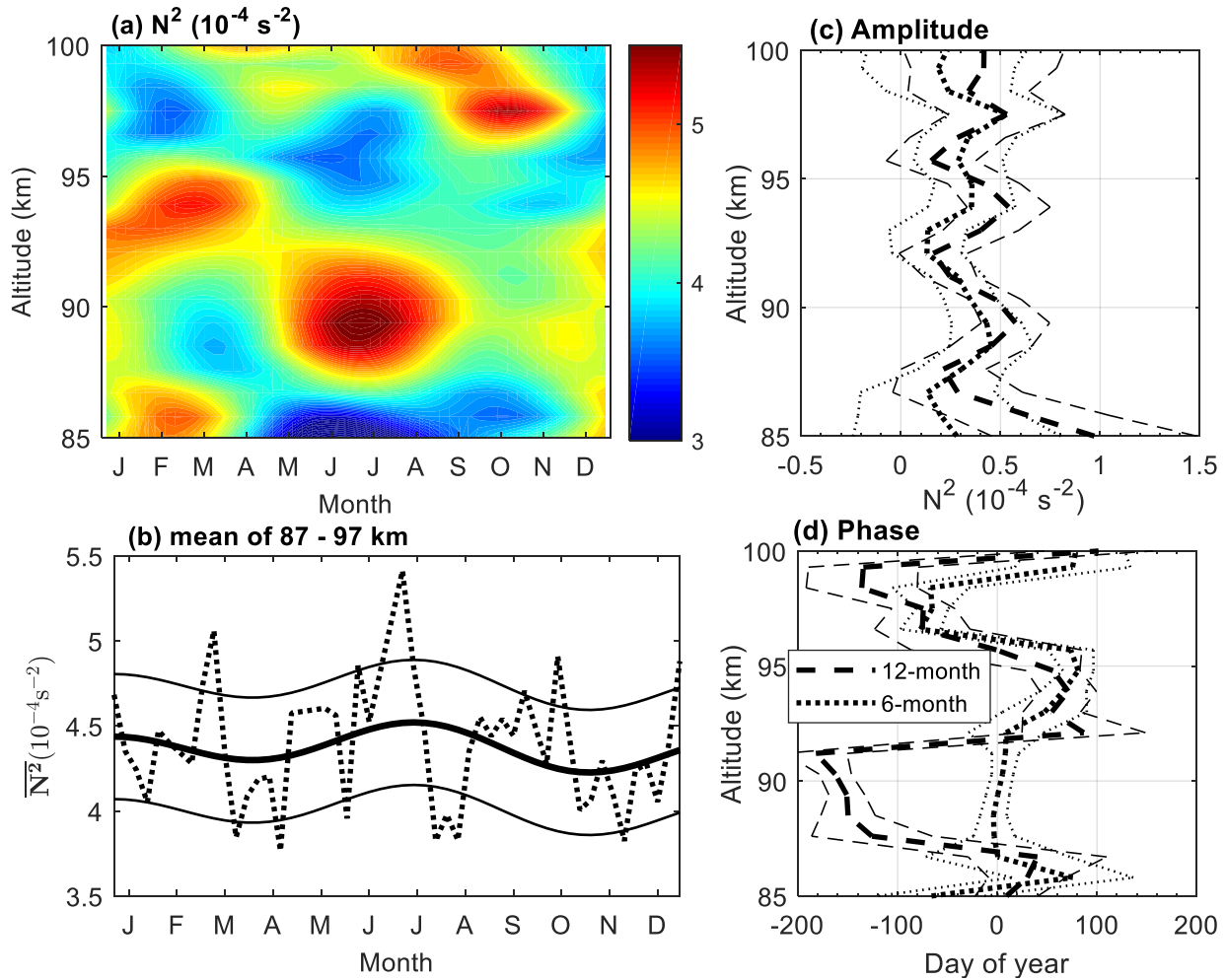


Figure 47: (a) Seasonal variations of the harmonic fitted squared Brunt-Väisälä frequency N^2 plotted versus altitude and month, (b) observed (dotted curve) and harmonic fitted (thick solid curve) mean N^2 between 87 and 97 km, the width between the thin solid curves and the thick solid curve is 1σ , (c) 12-month (red-thick dashed curve) and 6-month (blue-thick dotted curve) amplitudes and their 1σ deviations (thin lines), (d) 12-month (red-thick dashed curve) and 6-month (blue-thick dotted curve) phases and their 1σ deviations (thin lines).

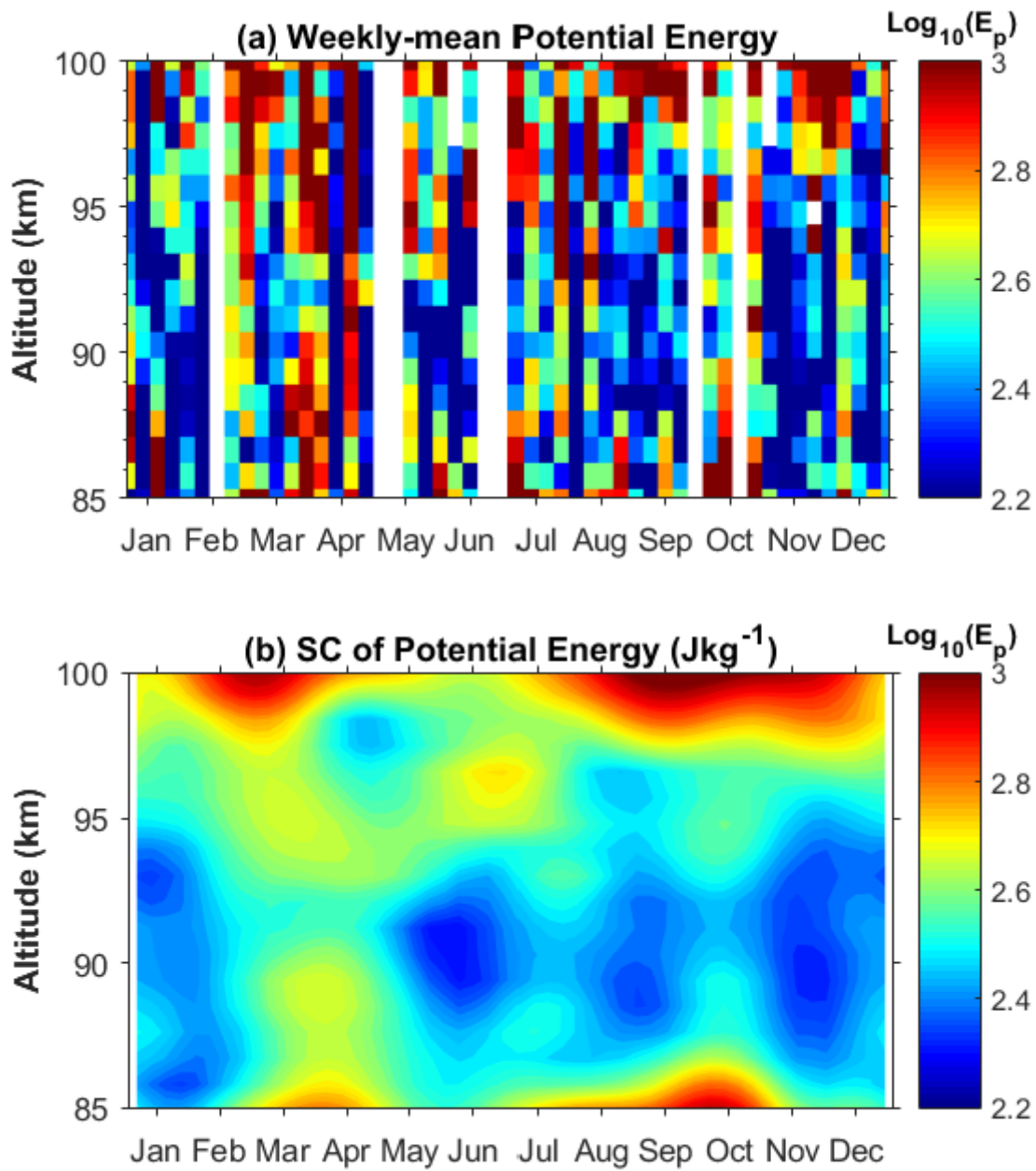
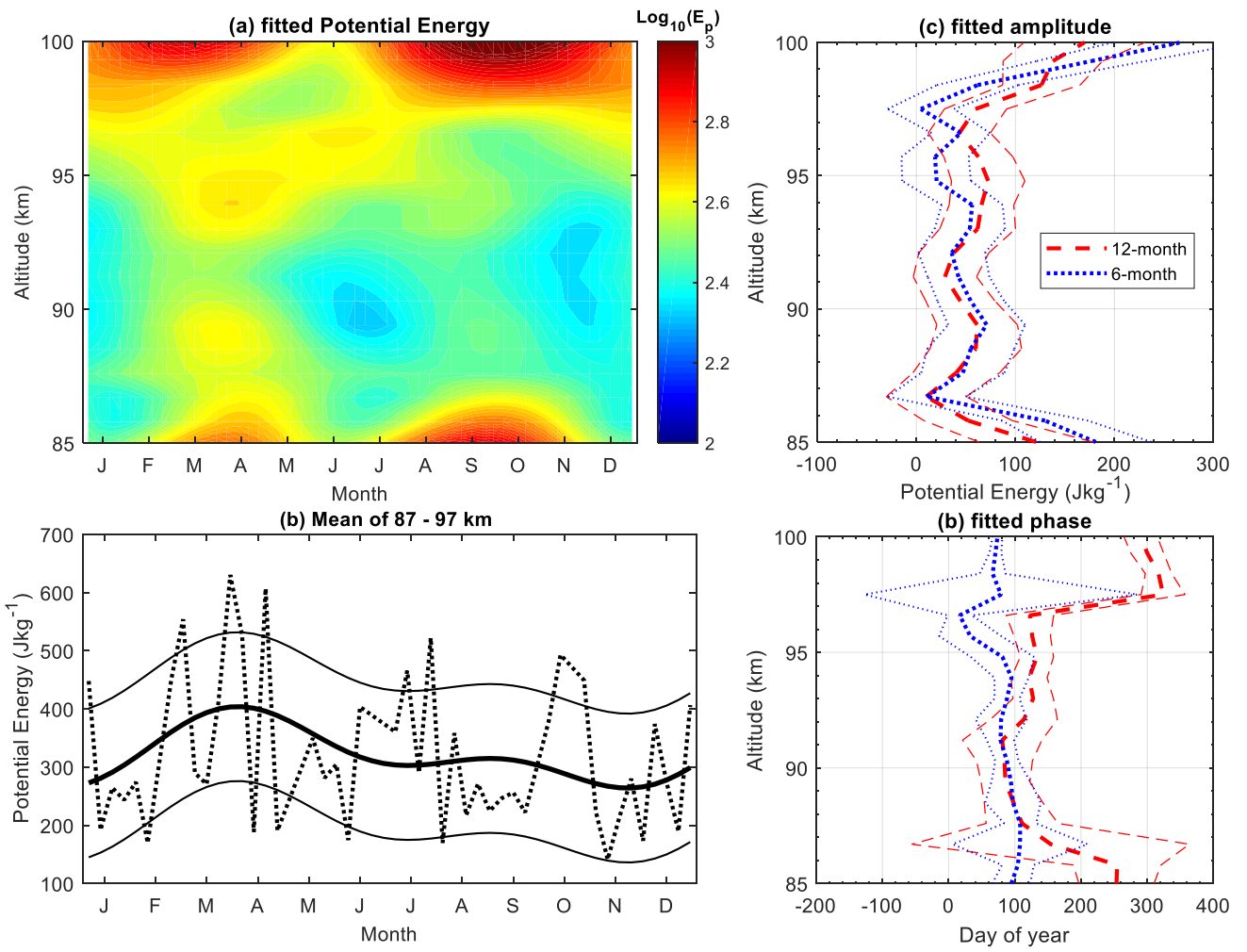


Figure 8: same as Figure 4 but for GW potential energy.



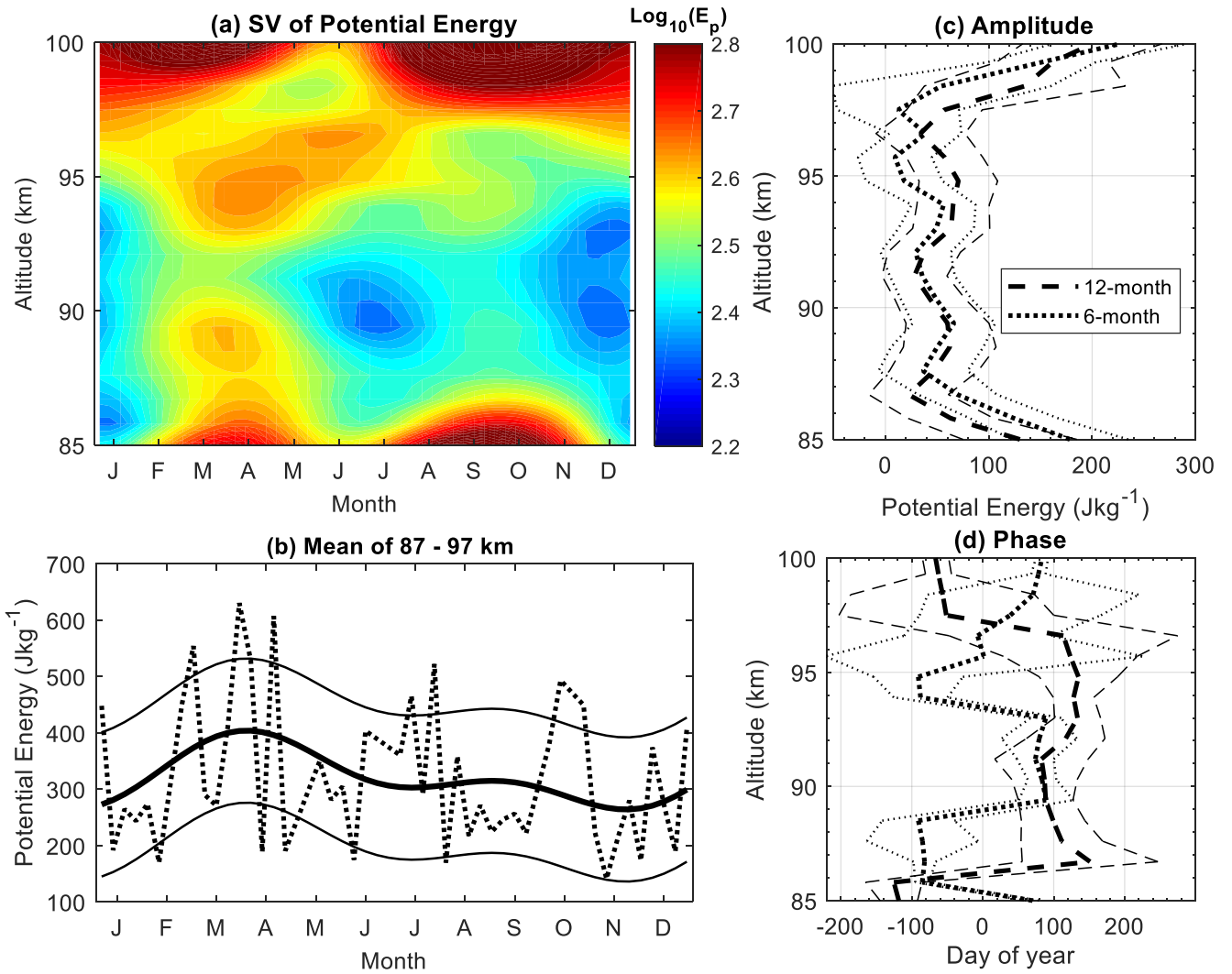


Figure 59: (a) Seasonal variations of the harmonic fitted potential energies plotted versus altitude and month, (b) observed (dotted curve) and harmonic fitted (thick solid curve) mean potential energy between 87 and 97 km, the width between the thin solid curves and the thick solid curve is 1σ , (c) 12-month (red-thick dashed line) and 6-month (blue-thick dotted line) amplitudes and their 1σ deviations (thin lines), (d) 12-month (red-thick dashed line) and 6-month (blue-thick dotted line) phases and their 1σ deviations (thin lines).

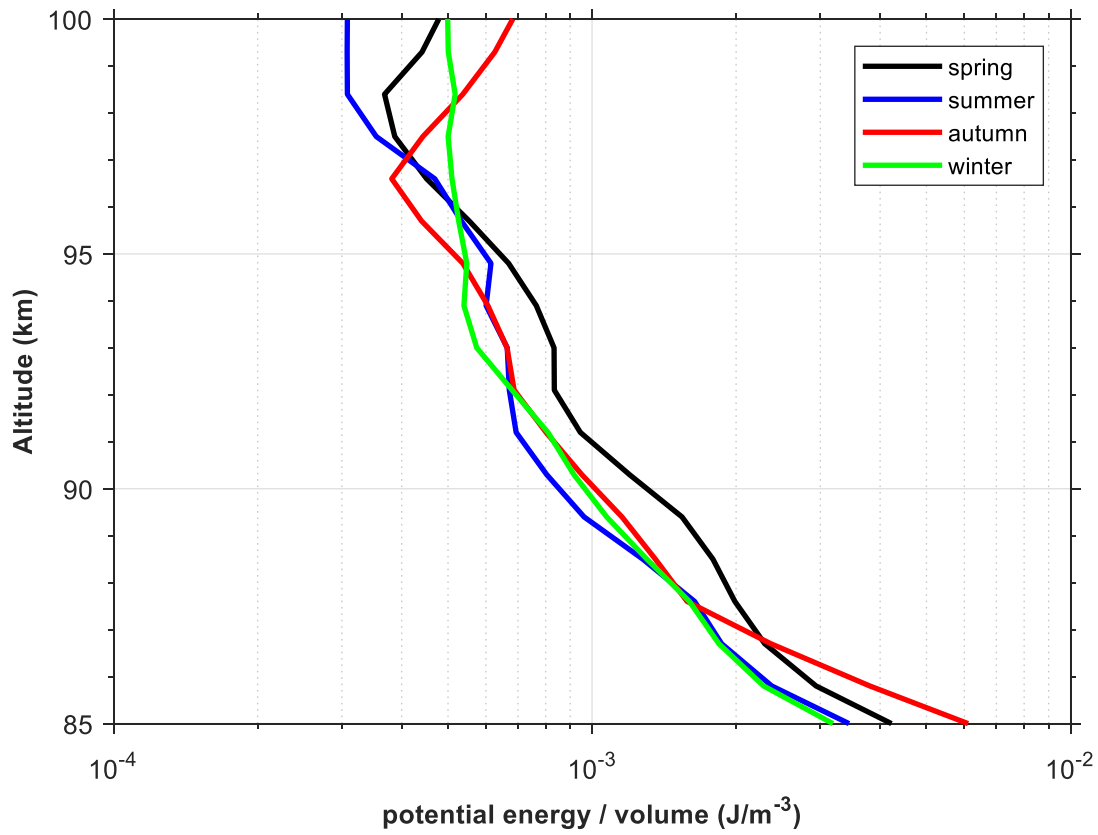


Figure 610: Vertical profiles of the potential energy per unit volume (in $\text{J} \cdot \text{m}^{-3}$) averaged over spring (13 weeks mean centred at vernal equinox, black line), summer (13 weeks mean centred at summer solstice, blue line), autumn (13 weeks mean centred at autumn equinox, red line), winter (13 weeks mean centred at winter solstice, green line).