# Response to review of revised manuscript "Significant decline of mesospheric water vapor at the NDACC site Bern in the period 2007 to 2018" by anonymous reviewer #1.

Martin Lainer (on behalf of all co-authors), 09.04.2019

## Color code for the document:

Referee comments, Author responses, Relevant links to changes in manuscript

In summary, we performed a moderate revision and considered the suggestion of the reviewer to improve the analysis of the measurement response, receiver temperature and residuals. This led to the inclusion of three new figures in the revised manuscript version. The new figures enhance the confidence that the retrieved water vapor series are adequate for a trend estimation. The figures also show that there is no need to manipulate or modify the observed time series.

In general our changes in the manuscript follow the content of our replies in black.

### **General comments:**

The authors present the residuals from the retrievals which show a step and then a periodic pattern. The authors only speculate where the oscillations could come from (p5/l14 The pattern is likely ...). Further the speculative explanation is obviously wrong: Neither temperature fluctuations of the absorbers nor tropospheric attenuation could introduce a change in the noise level of the residuals, since the noise level is kept constant with a dynamic integration scheme (p4/l10).

See our answers to your specific comments on this topic.

The 80% measurement response contour shows significant variability, which is not a good sign if trends shall be analyzed. Despite my criticism in the first review, this issue is not discussed in the revised paper.

The variation in the lower (in altitude) MR is from our point of view not significant. The upper MR development shows a stable seasonal variation between 0.02 and 0.04 hPa. We showed already with the AVK test (convolution with artificial  $H_2O$  time series) that the MIAWARA MR (which is represented in the AVK) does not introduce a trend in water vapor.

I consider these two points crucial for a trend study and both must be fully addressed and explained by the authors.

#### Specific comments on the authors response:

If you want discuss the baseline, why do you present results that are at first instance an analysis of the noise and only indirectly show the stability of the baseline? My suggestion to show annually averaged residuals is completely ignored.

We thought it could be worth to show the whole development of the noise with the frequency dimension, although we do not understand it at the moment, especially the regular patterns the reviewer was worried about. However we will follow the suggestion of the reviewer and now show monthly and annual averaged residuals at the center line frequency of 22.235 GHz instead.

Section 2.1 (Measurement stability), pages 4-6: An analysis of the monthly and annual averaged residuals is included now.

Are you really telling me, that I should not worry about all the structure in the residuals because I would not recognize them if plotted in 2D? This is an outstanding lack of scientific argumentation. The phrase on p5/l14 can impossibly appear in a scientific publication.

Yes, our statement about the 2D plot was truly not very scientific and will be removed. We admit the 3D plot was not a good idea to show as long as we are not able to explain it properly. But the scale of the regular patterns is indeed very small. The noise changes roughly between 0.0105K and 0.0095K, which gives both rounded values of our target noise level of 0.01K. In the monthly mean overview (s. Fig. 1) we see that the range of the noise is even smaller between 0.0102 and 0.0097 K. Starting from autumn 2010, we see an improvement in the noise patterns (residuals are smaller than before), which is related to an upgrade of the measurement cycle (more measurement data per time interval). Here we really do not see things to worry about. To explain a bit further, the dynamic integration is "discretized" by a single time period where MIAWARA obtains a line spectrum. Thus only a close approach to the 0.01K noise level with the dynamic integration scheme is realistic and this we achieve.



Fig. 1: MIAWARA monthly mean time series of residual temperatures between April 2007 and May 2018. The dashed red lines show the standard deviations.

In the revised manuscript we now show the monthly and yearly averaged residual development. The 3D plot will be removed but we keep the histogram statistics plot.

Section 2.1 (Measurement stability) is updated and the 3D plot is removed together with the text passages related to it, while the histogram statistic plots are still kept.

## "not severe" and "only small" is subjective and qualitative and not convincing.

The subjective statements will be removed and we will focus on concrete values from the newly introduced Figures.

Section 2.1 (Measurement stability): We included 2 new Figures, showing monthly and yearly averaged residuals as well as the receiver temperature and opacity derived from tipping curve and liquid nitrogen calibrations. In the text we refer to the concrete values from the Figures now.

This is again very subjective. The answer whether or not a homogenization is required is given by the data itself. Numerous tests can be found in the literature.

Here we refer to the performed AVK test. The AVKs stay stable over the investigated time period and we do not see the need of further tests here. Further any homogenization of meso-spheric water vapor would be challenging due to the lack of reliable data sets at these altitudes.

The variations in measurement response are not addressed in the revised paper. If the line strength was the origin of the variations in measurement response, wouldn't we expect to have a higher measurement response in summer when there is more water vapor, always keeping in mind, that the noise level is kept constant by dynamic integration? But Fig 2 shows the opposite.

We agree that the line strength of the difference spectrum cannot be the source of the periodic variation of the MR (measurement response) at high altitudes (upper white line). Due to the fact that during summer the tropospheric opacity is higher than in winter (at the mid-latitudinal observation site), the attenuation of the line is higher in summer. We assume that this overcompensates the effect of the increase in mesospheric H<sub>2</sub>O in summer regarding the measurement response.

Further it can be precluded that the seasonal variation of the MR impacts the linear trend in water vapor. The temporal evolution also shows that the variation in MR is constant. To summarize, the MR variation cannot be due to the retrieval noise since it is constant. It cannot be due to the line strength variation, since an opposite result would be expected. It is difficult to prove where these variations come from. We assume (sorry for being subjective again) that it is related to the tropospheric opacity at the mid-latitude observation site Bern.

Sections 2.1, 2.2 and 3.1 are updated and discuss more the observed development of the measurement response now (see marked up manuscript version).

This is lacking scientific argumentation. I acknowledge your expertise in the field, but to simply tell me that you think this is the best way and to claim all kind of measurement drifts would be seen in the AVK does not convince me. The only ingredients of the AVK are the Jacobian and the covariance matrices and all except the Jacobian are constant in time, since you apply a dynamic integration scheme and keep the noise at 0.01 K. What about a time series of the receiver temperature, monthly or annual averages of the residuals (would show frequency shifts), ...

With the following Fig. 2 we provide a summary of the MIAWARA calibration. There we show the MIAWARA opacities and receiver temperature development over the trend estimation period (April 2007 to May2018). Both parameters are derived from tipping curve calibrations which are periodically compared against liquid nitrogen calibrations. As Fig. 2 shows, the opacities and receiver temperatures from the tipping curve calibration agree well with the ones calculated from liquid nitrogen calibrations. With beginning of early 2014 we observe a steady increase in receiver temperature. The only instrument part which was replaced at that time was a preamplifier in the frontend of MIAWARA. The increasing receiver temperatures lead to higher noise levels, but since we use a dynamic integration scheme we compensate this effect.



Fig. 2: MIAWARA opacities and receiver temperatures obtained from tipping curve and liquid nitrogen calibrations. The time period between April 2007 and May 2018 is shown.

Section 2.1, page 5, lines 3-8: Added a paragraph on the receiver temperature developments and discussion about.

Finally we present yearly averaged residual temperatures (s. Fig. 3) of MIAWARA to follow up the referee's suggestions. It can be seen that the evolution varies around the zero level with no obvious trend. Especially the period after 2014 (when the receiver temperatures started to increase) shows no significant change of the residuals.



Fig. 3: Yearly averages of MIAWARA residual temperatures from 2007 to 2018. The red dashed lines mark the standard deviations.

Section 2.1 (Measurement stability), pages 4-6: Added descriptions and conclusions from the newly added yearly (and monthly) residual plots.

I did not criticize the broadness of the introduction but the fact that a discussion of the mesosphere is missing and I still think I have a point. I am astonished by the extent to which the authors ignore my comments.

In the newly revised version of our manuscript we plan to include now a part dedicated to the mesosphere and changes in the water vapor distribution.

Section 1 (Introduction), page 3, lines 13-18: Included a paragraph about changes in meso-spheric water vapor due to different relevant processes.

## Significant decline of mesospheric water vapor at the NDACC site Bern in the period 2007 to 2018

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**Abstract.** The middle atmospheric water vapor radiometer MIAWARA is located close to Bern in Zimmerwald (46.88°N, 7.46°E, 907m) and is part of the Network for the Detection of Atmospheric Composition Change (NDACC). Initially built in the year 2002, a major upgrade of the instruments spectrometer allowed to continuously measure middle atmospheric water vapor since April 2007. Thenceforward to May 2018, a time series of more than 11 years has been gathered, that makes a

5 first trend estimate possible. For the trend estimation, a robust multi-linear parametric trend model has been used. The trend model encompasses a linear term, a solar activity tracker, the El Niño–Southern Oscillation (ENSO) index, the quasi-biennial oscillation (QBO) as well as the annual and semi-annual oscillation. In the time period April 2007 to May 2018 we find a significant decline in water vapor by  $-0.6 \pm 0.2$  ppm decade<sup>-1</sup> between 61 and 72 km. Below the stratopause level (~ 48 km) a smaller reduction of H<sub>2</sub>O of up to  $-0.3 \pm 0.1$  ppm decade<sup>-1</sup> is detected.

#### 10 1 Introduction

Water vapor is the most important greenhouse gas in the atmosphere (Kiehl and Trenberth, 1997) and has a dominant feedback role in the Earth's climate system. In the troposphere it provides the main source of moisture for the formation process of precipitation in the atmosphere. While global warming progresses, the amount of moisture is expected to increase faster than the overall amount of precipitation, that is controlled by evaporation and the heat budget at the surface (Trenberth et al., 2003).

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Long-term changes in the abundance of atmospheric water vapor can be used to characterize climate change. One region of the atmosphere which is very sensitive to those changes is the upper troposphere, but the actual impact on climate change is poorly understood (Held and Soden, 2000). Some direct anthropogenic changes in water vapor are due to emissions by aviation and the possible subsequent formation of contrails that freeze-dry the air and exert a strong radiative forcing (RF) effect. Contrails that persist for several hours and loose their line shaped form are known as contrail-cirrus. Globally averaged

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(1999 to 2016), annual mean RF estimates with uncertainty ranges are about  $0.01 (0.005-0.03) \text{ W m}^{-2}$  for long-lived contrails alone, and together with contrail-cirrus RF reaches about  $0.05 (0.02-0.15) \text{ W m}^{-2}$  (Kärcher, 2018). In contrast, total aviation RF for instance in the year 2000 is about  $0.048 \text{ W m}^{-2}$  (Sausen et al., 2005).

Compared to the troposphere, the stratosphere is very dry and the amount of  $H_2O$  is commonly indicated in volume mixing ratios (parts per million) like for ozone. Water vapor from the troposphere can enter the stratosphere mainly through convective

processes at the equator. The cold tropical tropopause acts as a cold trap for ascending tropospheric air and causes most of the water vapor to freeze out. Nevertheless, water vapor in the stratosphere has a high impact on ozone chemistry and it is of importance to a global warming feedback process. Further, water vapor provides the main source of hydrogen radicals (OH, H, HO<sub>2</sub>), which are involved in the catalytic destruction cycle of ozone in the stratosphere (Brasseur and Solomon, 2006). An

5 important long-term data set of lower free tropospheric (2 km) up to middle stratospheric (28 km) water vapor is available from Boulder (Colorado) since 1980. This data comes from balloon frost-point hygrometer (FPH) measurements that are launched usually once per month. A weighted, piecewise regression analysis of the 30-year record from 1980 to 2010 by Hurst et al. (2011) revealed an average increase by  $1.0 \pm 0.2$  ppm in the altitude range between 16 and 26 km. About a quarter of the H<sub>2</sub>O increase could be attributed to changes in the methane (CH<sub>4</sub>) concentration. Methane can easily be transported from the surface

10 upward into the stratosphere where its oxidation is a major in-situ source of water vapor.

Compared to water vapor, stratospheric ozone gathered much higher scientific attention in regard of its long-term development after the detection of the Antarctic ozone whole in 1985 (Farman et al., 1985). Two years later in 1987 the Montreal Protocol has been signed to protect the ozone layer by banning and regulating the production of numerous substances that are responsible for ozone depletion. Numerous trend studies on ozone were published in the past years (e.g. Eckert et al.,

- 15 2014; Moreira et al., 2015; Steinbrecht et al., 2017; Ball et al., 2018) showing how ozone developed in the course of time. Drift-corrected ozone trends from MIPAS (Michelson Interferometer for Passive Atmospheric Sounding) space-borne observations (July 2002 to April 2012) range from negative (up to -0.41 ppm decade<sup>-1</sup>) in the tropical stratosphere to positive (+0.55 ppm decade<sup>-1</sup>) at southern mid-latitudes (Eckert et al., 2014). A 20-year continuous mapping of the stratospheric ozone layer at the NDACC site Bern could be achieved. A recent trend analysis by Moreira et al. (2015) showed that ozone
- 20 recovered by about 3% decade<sup>-1</sup> at an altitude of 40 km within the time period 1997 to 2015. Steinbrecht et al. (2017) calculated ozone trends for larger number of ground-based NDACC site observations by different techniques such as FTIR (Fourier-Transform-Infrared-Spectrometer), microwave radiometry or lidar. They found positive trends between 35 and 48 km altitude in the tropics as well as in the the 35 to 65°latitude bands of the Northern and Southern Hemisphere. More specifically, ozone mixing ratios at 42 km increased by 1.5 (tropics) and 2-2.5 (mid-latitudes)% decade<sup>-1</sup>, respectively. Although total column
- 25 measurements of ozone show that the ozone layer stopped to decline across the globe, there is some evidence from satellite observations that lower stratospheric ozone continued to decline within 60° N to 60° S after 1998, resulting in downward trend of stratospheric ozone columns (Ball et al., 2018).

In order to understand detected water vapor trends in the middle atmosphere, models and measurements are both important. A 40-year (1960-1999) model simulation with the coupled chemistry-climate model (CCM) ECHAM resulted in a global

- 30 mean stratospheric  $H_2O$  increase by 0.7 ppm between 1980 and 1999 (Stenke and Grewe, 2005). Trend estimates in lower stratospheric water vapor strongly differentiate between the NOAA (National Oceanic and Atmospheric Administration) FPH observations at Boulder and merged zonal mean satellite measurements as pointed out by Lossow et al. (2018). The differences reach up to 0.5 ppm decade<sup>-1</sup> and change the signs from positive for the in-situ observations to negative for the processed satellite data. But not only the observations do not agree, also extensive trend estimates from simulations show discrepancies
- 35 for the location of Boulder and the corresponding zonal mean latitude band around  $40^{\circ}$  N. An intercomparison of ground-

based microwave and satellite linear trends in the lower mesosphere at an altitude of about 53km (0.46 hPa) within different extended periods shows no consistent picture between the different observations. The following stations were considered in the study by Nedoluha et al. (2017): Lauder, Mauna Loa, Table Mountain, Seoul, Bern and Onsala. Satellite retrievals that were integrated in the intercomparison include ACE-FTS (Advanced Composition Explorer - Fourier Transform Spectrometer),

- 5 HALOE (Halogen Occultation Experiment), MIPAS (Michelson Interferometer for Passive Atmospheric Sounding), MLS (Microwave Limb Sounder), SCIAMACHY (Scanning Imaging Absorption Spectrometer for Atmospheric Chartography), SMR (Sub-Millimeterwave Radiometer), SOFIE (Solar Occultation For Ice Experiment) and different data subversions of those. At none of the comparison sites a uniform result of only positive or negative trends could be retrieved. This might be related to the problem that the time periods cover different ranges. Regarding Fig. 8 in Nedoluha et al. (2017) the trends at Bern
- 10 range from +16 to -5% decade<sup>-1</sup>. However, the majority of H<sub>2</sub>O time series, including Aura/MLS, exhibit small positive relative trends in the range 1-7% decade<sup>-1</sup>. At the 0.46 hPa pressure level the multi-linear regression model used in our study does not produce a significant trend at the 95% confidence level.

Still it is unclear On a seasonal time scale mesospheric water vapor is changing its concentration mainly due to the vertical advection caused by the meridional circulation. As shown by Chandra et al. (1997), within the soloar cycle time scale the

- 15 modulation of the Lyman- $\alpha$  radiation intensity is forcing changes up to 30-40% near the mesopause level. An in-situ source of H<sub>2</sub>O is the oxidation of methane. The long-term increase in methane accounts thus to an increase in H<sub>2</sub>O and estimates yield values about 0.4% per year (Chandra et al., 1997). It is clear, that the actual long-term development of mesospheric H<sub>2</sub>O is related to a complex mixture of different processes and still it is not certain how mesospheric water vapor develops in a changing climate of the earth. Therefore it is very important to continue the observations especially from those instruments
- 20 that already have long records such as the microwave NDACC instruments at Mauna Loa (Hawaii), Table Mountain (USA) or Bern (Switzerland). In this study we report on a detected decline of H<sub>2</sub>O in the mesosphere from the NDACC ground-based microwave measurement site Bern in the time period between 2007-2018.

Section 2 introduces the NDACC measurement site Bern with the MIAWARA radiometer in more detail and presents the water vapor data set that is processed in the trend model which is introduced in Sect. 3 later. The final results of the trend study are handled in Sect. 3.2, while conclusions are given in Sect. 4.

#### 2 The MIAWARA radiometer

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The MIddle Atmospheric WAter vapor RAdiometer (MIAWARA) measures the intensity of the pressure broadened emission of  $H_2O$  molecules at a center frequency of 22.235 GHz (Kämpfer et al., 2012). Atmospheric pressure decreases exponentially with altitude and this information is reflected in the  $H_2O$  line shape. The obtained spectra are used to retrieve water vapor profiles by

30 means of radiative transfer calculations and the Optimal Estimation Method as described in Rodgers (2000) using the retrieval software package ARTS/qpack (Eriksson et al., 2005; Buehler et al., 2018). As spectroscopic H<sub>2</sub>O model a combination of the H2O-MPM93 model from Liebe et al. (1993), for the pressure broadened half line width, and recent entries in the JPL (Jet Propulsion Laboratory) line catalog, for the lower state energy and line strength at 300 K, is taken. MIAWARA is continuously

Calibration	Tipping curve and balancing calibration
Operational mode	$SSB^*$ 50 dB suppression
Line of view	$\sim 20^\circ$ elevation (northward)
Mirror	Plane aluminum mirror
Antenna	Corrugated horn (HPBW**: 6°)
Receiver temperature	$\sim 180{\rm K}$
Spectrometer	Aqiris FFTS
Total bandwidth	1 GHz
Spectral channels	16385

\* single sideband | \*\* half power beamwidth

operated on the roof of the building for Atmospheric Remote Sensing in Zimmerwald ( $46.88^{\circ}$ N,  $7.46^{\circ}$ E, 907m a.s.l.), which is close to Bern, since September 2006. The reason why we only use data since April 2007 is a major upgrade of the instrument from optoacoustic to Fast Fourier Transform (FFT) spectrometry. In the course of this upgrade the spectral resolution increased from 600 to 61 kHz. Other technical instrumental parameters are summarized in Table 1.

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In the last years, data from the MIAWARA radiometer was used to detect a solar induced variability of mesospheric  $H_2O$  (Lainer et al., 2016), further it was used to investigate planetary 16-day, sub-diurnal and 2-day atmospheric wave activities by using  $H_2O$  as a dynamical tracer (Scheiben et al., 2014; Lainer et al., 2017, 2018).

#### 2.1 Measurement stability

The total spectrometer bandwidth The total FFT spectrometer bandwidth of MIAWARA is 1 GHz, but only a narrow part of maximal 250 MHz is in general usable in the retrieval procedure due to baseline artifacts at the wings of the H<sub>2</sub>O line spectrum. However, the reduced bandwidth is sufficient for the retrieval of water vapor in the middle atmosphere and even less is needed for the mesosphere. In order to guarantee a high stability of the spectral measurements we further constrain the bandwidth to 80 MHz around the central line frequency of MIAWARA. Changes The calibration of the radiometer is done via a tipping curve scheme, using different sky elevation angles, to derive tropospheric opacities and receiver temperatures every

- 15 20 minutes (Fig. 1). At several times per year a manual liquid nitrogen calibration is performed as verification method. Figure 1 demonstrates, that the tipping curve calibration performed well during the whole investigated time period. The seasonal changes in tropospheric opacity due to are due to the local weather variability affects and affect the sensitive altitude region of the water vapor profile retrieval. In order to make the retrieved data independent of environmental conditions reduce the effect of tropospheric conditions on the retrieval, we use a special retrieval with a variable integration time variable integration scheme
- of the spectral information to reach a constant measurement noise (0.01 K) of the water vapor spectrastable measurement noise of  $0.01 \pm 0.0005 \text{ K}$ ). Further, we set the measurement response to 80% to derive a quite stable upper and lower limit of the

measurements. This approach generates profiles with a time resolution of typically a few hours in winter and up to 1-2 days during summer.

The change of a broken pre-amplifier in the MIAWARA frontend in early 2014 resulted in a continously increase of the receiver temperature afterwards. As shown in the bottom plot of Fig. 1, the receiver temperatures were at a rather constant

5 level below 150K before the amplifier change, while thereafter an increase up to about 200K until 2018 has been observed. However this increase does obviously not effect the derivation of the tropospheric opacities which do not show any pattern change or increase after 2014. The increasing receiver temperatures lead also to higher noise levels of MIAWARA. But with the application of a dynamic integration scheme this effect is fully compensated.

The a priori water vapor information is derived from a monthly mean zonal mean climatology using Aura/MLS v2.2 data

- 10 over 4 years between 2004 and 2008. The most recent Level2 Aura/MLS data (v.4.2) are used to initialize pressure, temperature and geopotential height within the MIAWARA H<sub>2</sub>O retrieval. The vertical resolution of the instrument varies between 11 km in the stratosphere and 14 km in the mesosphere (Deuber et al., 2005). An instrument validation against Aura/MLS v3.3 with more than 1000 seasonal separated profile comparisons can be found in Lainer et al. (2015). An area of  $800 \times 400$  km (E/W  $\times$  N/S) has been used as spatial coincident criterion for the satellite overpasses. In the pressure range of 2-10 hPa the relative
- 15 differences are below 3% and between 0.05-2 hPa the analysis revealed negative biases of MIAWARA compared to Aura/MLS of up to -10%.

With Fig. ?? 2 we show the overall <u>development yearly statistics</u> of the MIAWARA residuals in a bandwidth of 80 MHz. The shown residuals are defined as the difference between the observed difference spectrum and the modeled spectrum from the retrieved profile and is illustrated as residuum brightness temperature fluctuations  $T_R$ . Especially measurements at lower

- 20 altitudes like in the stratosphere are particularly dependent on a good baseline fitting over a broad frequency range. Overall two differnt baseline fittings are performed. A polynomial fit of fifth order and a sinus fit with 6 coefficients guarantee a stable removal of baseline artefacts artifacts on our calibrated spectra. In particular, the histograms show the PDF (probability density function) of the binned (bin width:  $5 \cdot 10^{-3}$  K) brightness temperature fluctuations  $T_R$  of the yearly cumulated MIAWRARA measurement noise together with the fit of a normal distribution. Overall, the maxima of the normal distribution fits are centered
- 25 at 0 K and the changes between the years are negligable.

The 3-D top plot two plots in Fig. ?? shows the 3 show the monthly and yearly averaged time series of  $T_R$  from at 22.235 GHz valid for the time period between April 2007 to May 2018 in the frequency range 22.195 to 22.275 GHz. Whereas the structure along the time axes changes, a uniform distribution in the frequency domain is predominant May 2018. In the monthly mean overview it is visible, that the range of the noise varies between 0.0102 and 0.0097 K. Starting from autumn 2010 the

- 30  $T_R$  signature changes due to a hardware and measurement cycle upgrade, that made it possible to retrieve profiles in a higher temporal resolution an improvement of the residual temperature patterns could be achieved according to an upgrade of the measurement cycle scheme resulting in more measurement data per time interval, while maintaining the same thermal noise level of the measured difference spectrum. The upgrade of the measurement cycle had no effect on the overall homogeneity of the water vapor time series, also because the measurements were always conducted with the same FFT spectrometer. Since
- 35 no critical parts of the instrument's receiver chain were replaced in the investigated time period, a thorough homogenization

of the data has not been computed for this investigation. The band-like structure in the residuals is a very tiny pattern and hardly visible in a 2-dimensional plot. The pattern is likely related to temperature changes within the instrumental signal path, like microwave absorbers that are operated at the ambient temperature or periodically changes in the tropospheric attenuation affecting the line strength. However, the  $T_R$  differences that make the band-structure are very small (below  $1 \cdot 10^{-2}$  K) and will

5 not effect the water vapor retrieval and the trend estimation.

In particular the histograms below the 3-D plot show the PDF (probability density function) of the binned (bin width:  $5 \cdot 10^{-3}$  K) brightness temperature fluctuations  $T_R$  of the yearly cumulated MIAWRARA measurement noise together with the fit of a normal distribution. We find irrelevant changes between the different years and the maxima of the normal distribution fits are always centered at 0 K. The temperature fluctuations of the baselines range are in general between  $-3 \cdot 10^{-2}$  and

10  $3 \cdot 10^{-2}$  K. Alltogether it shows indirectly that the fitting of the baseline during the retrieval process is correct and stableIn both plots no trend pattern can be found, concluding that no frequency shift of MIAWARA occurred within the investigated time period.

Beside baseline artifacts which are not fitted correctly, it is known that the retrieval averaging kernels A can have an impact on the  $H_2O$  profile product. For a long-term measurement-based trend study it is of importance that any variability of A does

15 not imply a data drift, which could induce an artificial trend. Accordingly we investigate this issue by a sensitivity trend test in Section 3.1.

#### 2.2 H<sub>2</sub>O data and error handling

Figure 4 presents the derived monthly mean  $H_2O$  data time series from the MIAWARA instrument at the northern mid-latitude observation site Bern. From 2007-04-01 to 2018-04-30 a total of 133 months are available. The white horizontal lines indicate

20 the pressure level where the measurement response (MR) drops below 80%. A not significant variability of MR can be seen at the lower altitude limit at around 3hPa. A larger but stable variability can be found in the upper mesosphere between 0.02 and 0.04hPa. We find a high correlation between the variability of tropospheric opacity (Fig. 1) and the MR at the upper altitude limit. That the MR variability is not critical for trend estimates is explained in Sec. 3.1.

The annual cycle of water vapor can be seen in the plot is the most obvious signature in Fig. 4 and mainly originates from

25 dynamics. In the summer mid-latitude mesosphere an upwelling motion of air with higher mixing ratios water vapor rich air, caused by the Brewer-Dobson circulation, determines the seasonal variability. The photodissociation by Lyman- $\alpha$  radiation which is stronger during summer has only a minor impact on the abundance of water vapor. This is predominantly the case in the upper mesosphere and mesopause region at about 80 km.

For the trend model it is very important to assess a reasonable uncertainty of the microwave radiometer measurements and

30 thus the overall error of the monthly mean water vapor profiles. Two different types of errors were considered. The first type is the natural variability, which can be approximated by the standard error  $\sigma_{std}$  of the monthly mean H<sub>2</sub>O profiles. The second type is the instrument related observational error  $\sigma_{obs}$  that belongs to the random error and depends on the thermal noise on the water vapor spectra. The observational error is calculated during the retrieval computation. Both errors were then combined in the following way to get a total monthly mean error profile  $\sigma_{tot}$  for the initialization of the trend model:

$$\sigma_{tot} = \sqrt{\sigma_{std}^2 + \sigma_{obs}^2} \tag{1}$$

The third panel (c) of Fig. 5 shows the temporal evolution of the total error at an altitude of 70 km. At this altitude the error predominantly fluctuates around 0.3 ppm.

#### 5 3 Trend model description

We performed the trend analyses of the water vapor data through a robust multilinear parametric trend estimation method developed by von Clarmann et al. (2010). The trend program finds a linear trend of the data time series by minimizing a cost function.

The cost function includes a quadratic norm of the residual between a regression model and the analyzed monthly H<sub>2</sub>O profile time series, weighted by the inverse covariance matrix of the data errors. The data errors are based on the monthly standard deviation and observational errors of the instruments as described in Sect. 2.2. In addition, error correlations between data points are supported which makes the method suitable for consideration of auto-correlated residuals. The regression function Y(t) itself consists of an axis intercept, a linear trend, sine waves, and different proxies:

$$Y(t) = a + b \cdot t + c_1 \cdot qbo_1(t) + d_1 \cdot qbo_2(t)$$
(2)

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 $+\sum_{n=2}^{m=3} \left[ c_n \cdot \sin\left(\frac{2\pi \cdot t}{l_n}\right) + d_n \cdot \cos\left(\frac{2\pi \cdot t}{l_n}\right) \right]$ 

 $+ e \cdot F_{10,7}(t) + f \cdot MEI(t)$ 

where t represents the time, a and b the constant term and the slope of the fit. The terms  $qbo_1$  and  $qbo_2$  are the normalized Singapore winds at 30 and 50 hPa pressure levels as provided by the Free University of Berlin via http://www.geo.fu-berlin.de/met/ag/strat/produkte/qbo/index.html. According to Kyrölä et al. (2010), the Singapore zonal wind series at the two altitudes are

- in good approximation orthogonal to each other so that the combination of both can reproduce the Quasi-Biennial Oscillation (QBO) phase shift. Fitting against the solar irradiance variability is accounted for by the  $F_{10.7}$  flux which is a good proxy for this variability. The *MEI* term in the regression function is the Multivariate ENSO index. It describes the strength of the El Niño Southern Oscillation (ENSO) with six parameters consisting of surface winds (zonal and meridional), sea surface temperature, sea level pressure, surface air temperature and the sky cloudiness fraction. Both, the solar activity and *MEI* index
- 25 lists are available from the following webpage: www.esrl.noaa.gov/psd/data/climateindices/list.

The sum term consists of two sine and cosine functions with the period length  $l_n$ , including the annual and semi-annual oscillations ( $l_1 = 182.5 d$  and  $l_2 = 365 d$ ). All coefficients ( $a, b, c_1, c_2, c_3, d_1, d_2, d_3, e$  and f) are fitted against the water vapor monthly mean time series in order to estimate the linear variations.

For the water vapor trend analyses, the multi-linear regression model needs the monthly mean profiles together with their 30 uncertainties as input. Figure 5a represents the  $H_2O$  model fit (magenta line) on top of the monthly mean time series (blue line) derived by MIAWARA and the linear variation (black line) on 0.04 hPa. Overall, the temporal H<sub>2</sub>O variability could be very well reproduced by the model fit, which is also revealed by the residual between the measurements and fit (Fig. 5b) rarely exceeding 0.5 ppm. Overall, the regression model is able to explain about 90% of the variance of the measurements between 0.02 and 3 hPa. The three other panels display the H<sub>2</sub>O fitted signals of the QBO (green line), solar F10.7 cm flux (red line) and ENSO (cyan line) proxies at 0.04 hPa (70 km).

3.1 Averaging kernel sensitivity test

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Here we describe a performed test on an artificial water vapor profile time series in order to check if the variability of the MIAWARA averaging kernels can induce a data drift that might be misinterpreted as a trend. The averaging kernel matrix **A** is defined as

10 
$$\mathbf{A} = \frac{\partial \hat{\mathbf{x}}}{\partial \mathbf{x}} = \frac{\partial \hat{\mathbf{x}}}{\partial \mathbf{y}} \frac{\partial \mathbf{y}}{\partial \mathbf{x}}.$$
 (3)

It represents the sensitivity of the retrieved state  $\hat{x}$  to the difference in the true atmospheric state x. The measured microwave spectrum is denoted as y. In our case we use a time series of one constant artificial H<sub>2</sub>O profile  $x_{art}$  of 5 ppm at 50 pressure levels between 10 and 0.01 hPa at the same time steps as the original MIAWARA profiles were

$$\hat{x}_{art} = x_a + \mathbf{A} \cdot (\mathbf{x}_{art} - \mathbf{x}_a). \tag{4}$$

A has to be given on the grid of x<sub>a</sub> and is interpolated to the grid of x, conserving the measurement response. The artificial convolved water vapor time series x̂<sub>art</sub> (2007-04 to 2018-04) was then used to calculate monthly mean profiles that could be used as input to the trend model described in Section 3. No significant trend has been generated by the convolution process with the MIAWARA v301 averaging kernels, the retrieval version for the main trend analysis. In conclusion this means that the neither a variability of A has no nor a variability in the measurement response (white lines in Fig. 4), which is derived from A, can have an effect on the result of the trend estimate presented in Section 3.2.

## 3.2 H<sub>2</sub>O trend estimate

After having shown that MIAWARA is measuring with a high instrumental stability, we are confident to present the trend result from the multi-linear parametric trend model (von Clarmann et al., 2010). Figure 6 shows the estimated water vapor trend profiles in absolute (left) and relative (right) values. The latter is calculated relative to the mean  $H_2O$  profile between

- 25 April 2007 and May 2018. Although the pressure range of the trend profile goes from 0.01 to 10 hPa in the two plots, equivalent to 30-80 km, we restrict the trustworthy trend results to the altitudes of the MIAWARA radiometer which are to a degree of 80% a priori independent. These lower and upper limits are marked by the horizontal red lines and are located at 0.03 and 2.5 hPa. At higher and lower altitudes the trend turns towards zero which is to be expected due to the fact that the MIAWARA mixing ratios gradually approach the climatology of Aura/MLS a priori values and those exhibit no long-term variability. Further not
- 30 at every pressure level between the red lines a significant trend result could be obtained. This circumstance is expressed by the

dashed green boxes by encompassing two altitude regions where the trend is two times larger than the uncertainty. According to Tiao et al. (1990) this is equivalent to a significance on the 95% confidence level.

Below the stratopause from 1 to 2.5 hPa (42-48 km) a small but still significant negative trend, maximizing at 2hPa could be determined. A mean linear decline rate of -2.5 · 10<sup>-3</sup> ppm month<sup>-1</sup> results in -0.3±0.1 ppm decade<sup>-1</sup> (in relative units:
-4±1.2% decade<sup>-1</sup>) or a total loss of ≈ 0.33 ppm in the analyzed measurement period. This result is contradictory to explanations presented in North et al. (2015), where the increase of methane in the last decades is expected to also increase the water vapor content in the stratosphere by photodissociation and oxidation. On the other hand it has been pointed out, that the current understanding of the total stratospheric water vapor budget and the involved mechanisms controlling the entry and mixing of H<sub>2</sub>O into the lower stratosphere are still under investigation.

- 10 The second statistically significant pressure layer in the MIAWARA trend profile is located in the mesosphere between 0.03 and 0.15 hPa (61-72 km). Although the  $1\sigma$  error in the trend estimate is roughly doubled, the negative trend is clearly strengthened to  $-0.6 \pm 0.2$  ppm decade<sup>-1</sup> at 0.03-0.04 hPa. In relative terms, we see a decrease between -12 to  $-12.5 \pm 3\%$  decade<sup>-1</sup>. The impact of the included extra month of H<sub>2</sub>O data on the trend estimate was found to be below a change of  $\pm 0.05$  ppm. It is difficult to find other water vapor trend studies in the literature that investigate mesospheric altitudes and
- 15 cover a comparable time period. Satellite data from Aura/MLS, which exist since August 2004, could be a basis for trend investigations. Lately MLS data has been globally analyzed by Froidevaux et al. (2018) and in case of water vapor a positive trend was derived between 100 and 0.03 hPa for northern and southern latitudes up to 60 degree. However, Aura/MLS H<sub>2</sub>O data below 20 hPa could be problematic for estimating trends due to detected data drifts (Hurst et al., 2016).

#### 4 Conclusions

20 Robust measurements by the water vapor radiometer MIAWARA, which belongs to the NDACC network, were performed between April 2007 and May 2018 and used to obtain a middle atmospheric trend profile by means of a multi-linear parametric regression trend model fit of prior derived monthly mean profile and uncertainty data time series.

With this study, we demonstrated the high stability of the MIAWARA measurement noise within the 80 MHz bandwidth residuals and outlined that a potential any variability of the averaging kernels does or measurement response fluctuations
25 do not induce a measurement drift. Hence we rely on the computed trend results with the presented multi-linear parametric regression trend model. Overall two altitude regions exhibit a significant (95% confidence) negative water vapor trend during the time period of April 2007 to May 2018:

- 0.03-0.15 hPa (61-72 km): -12 to  $-12.5 \pm 3\%$  decade<sup>-1</sup>
- $1-2.5 \text{ hPa} (42-48 \text{ km}): -4 \pm 1.2\% \text{ decade}^{-1}$
- 30 We are not able to give an explanation towards the reasons for the detected  $H_2O$  decline below the stratopause and in the mesosphere. The complexity of interactions between dynamics and chemistry is hardly addressable by observations alone. Nu-

merical investigations will be needed to unravel the impacts of the different processes, like long-term development of methane concentrations, temperature trends,  $H_2O$  advection within Brewer-Dobson circulation or changes in photo-dissociation rates.

The fact that a lot of inconsistent results are published, regarding the evolution of middle atmospheric water vapor, it will be of great importance to continue with measurements from various ground-based observation sites. Although satellite missions,

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like EOS Aura, can provide data for almost the whole globe ( $82^{\circ}$ S to  $82^{\circ}$ N), however the maintenance of the long-term stability and lifetime is limited and complicates trend studies.

*Data availability.* Data from the ground-based microwave instrument MIAWARA is publicly available from the NDACC database as monthly files with a diurnal temporal resolution (ftp://ftp.cpc.ncep.noaa.gov/ndacc/station/bern).

Competing interests. The authors declare to have no competing interests.

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**Figure 1.** Development of the tropospheric opacities and the MIAWARA receiver temperatures as obtained from tipping curve (TC) (operational, grey dots) and regular liquid nitrogen (LN2) verification calibrations (mean values shown by red markers). The mean values around LN2 can be compared to the mean values around TC that are shown by the black markers. The time period between April 2007 and May 2018 is shown.



Figure 2. The 3-D plot in the top shows the temporal evolution Yearly averaged histograms of the MIAWARA residuals (difference between measured difference spectrum and modeled spectrum) as residuum brightness temperature fluctuations  $T_R$  in  $[10^{-2} \text{ K} 10^{-2} \text{ unit K}]$  within the frequency range of 22.195 GHz to 22.275 GHz (80 MHz bandwidth) from 2007 to 2018. Yearly averaged histograms 2018, showing the evolution of the PDF (probability density function) of the residuals, are presented below. The red curve is the fit of the corresponding normal distribution. The chosen bin width is  $5 \cdot 10^{-3}$  K.



Figure 3. Monthly and yearly averaged MIAWARA  $T_R$  residuals within the time period of the trend analysis (April 2007 to May 2018). The red dashed lines mark the respective standard deviations.



**Figure 4.** Monthly mean water vapor time series in [ppm] obtained by the MIAWARA instrument located at the Zimmerwald observatory near Bern between April 2007 and May 2018. The horizontal upper and lower white lines indicate the pressure layer within which the measurement response is higher than 80%. This data set is used as input for the trend model.



**Figure 5.** Panel (a) shows the trend fit at 0.04 hPa (70 km), with the MIAWARA monthly mean H<sub>2</sub>O data (blue line), the calculated model fit (magenta line) and the related linear trend (black line). Panel (b) shows the residual and in the following panels (c), (d), (e) and (f) the evolution of the  $\sigma$  uncertainty (yellow line), the fitted signals of the QBO (green line), solar F10.7 cm flux (red line) and ENSO (cyan line) proxies at 0.04 hPa.



**Figure 6.** Estimated water vapor trend profile in  $[ppm decade^{-1}]$  (left), respectively  $[\% decade^{-1}]$  (rigth), for the time period between April 2007 and May 2018 observed by the MIAWARA instrument at the Zimmerwald observatory close to Bern, Switzerland. The black line represents the trend profile; the grey and violet shaded areas represent the  $1\sigma$  and  $2\sigma$  uncertainties of the trend estimate. The green boxes show where the trend is statistically significant on the 95% confidence level. The horizontal red lines mark the pressure range (0.03-2.5 hPa) where the MIAWARA data is to ~ 80% a priori independent.