Response to the comments of Reviewer 1.

We thank this reviewer for her/his very constructive and thoughtful comments. Many of the comments are quite insightful. Some have been addressed in this paper; others are deferred to future papers that are natural extensions of this work. In the following, we respond to each of the comments by this reviewer. For each comment, we first quote the original comment, followed by our response (indicated by ">>"), and then by citing corresponding revisions (if any) in the new manuscript (indicated by ">>>").

1. "Although the Isotopic Marine Boundary Layer Model (IMBLM) has a sound physical reasoning, I believe their application and analysis can gain in depth when it is coupled to other relevant state marine boundary layer variables such as potential temperature, specific humidity and wind. In doing this coupling, they could gain independence on the determination of the eddy-diffusivity turbulent coefficient and the impact of mixing on SST. I believe the reader will appreciate a comment on the necessity of developing a model in the future to the water vapour isotopologues that it is fully coupled to the meteorological state variables."

>> The reviewer opens an aspect of the important question of closure. As s/he points out, we closed one part of our problem by specifying the eddy-diffusivity turbulent coefficient (K, here). This limits the current model by requiring the user to look elsewhere first -- winds, stability, surface roughness, and the dependence of K on these meteorological variables – for a basis to specify K, and then use K in our model. The reviewer is certainly correct to expect that a future application that lays out a method for such coupling will be very useful to readers. Unfortunately, this coupling has been studied in too much breadth, depth and variety in boundary layer (BL) dynamics research to do it justice in this paper. Nonetheless, we concur with the reviewer in hoping that it will be combined with our model in future work. We pointed out one path to do so by citing Sheppard (1958) and Sverdrup (1946, 1951) as work that bridges use of the friction velocity u^* and von Kármán's constant κ , and of the coefficients K_m and b.

>>> There is no corresponding revision.

2. "The use of an exchange coefficient for both diffusion and turbulence (Eq. 4 at page 7) is not common in meteorological models, but it I guess it is needed in studies related to the isotopologues. Km, for molecular diffusion, is normally few order of magnitude small than the turbulent diffusion, and therefore it is normally neglected. Could the authors provide a better justification on the use of Eq. 4? How do the scale the results? What is the vertical resolution needed near the sea surface?"

>> The reviewer is correct; meteorological models usually include effects of molecular diffusion in the thin laminar boundary layer (LBL) implicitly in the boundary condition at the air-sea interface, and of turbulence in the atmosphere above the LBL. This is quite

reasonable for most meteorological model applications, but as the reviewer surmises, it is important for our isotopic model that the laminar layer and the turbulent part of the lower marine boundary layer (MBL) be treated consistently. We therefore use the linear form equivalent to that applied to boundary layer mixing above the LBL by Montgomery (1940], and within the LBL by Sverdrup (1946; 1951).

 K_m , as the reviewer correctly notes, is orders of magnitude less than K for z>> z*; in principle we could introduce a discontinuity at some height greater than z*, and neglect K_m above that height. But by retaining K_m we have the continuity to solve a single differential equation governing all heights in the range [0, h₁]. There is no need to make any adjustment for including the negligible K_m in the upper part of [0, h₁]. (It can also be argued that including K_m , as we do, is more realistic, but admittedly the difference is negligible.)

At the same time, the model describes the variations of isotopes within the LBL [0, z^*], in the transition region [z^* , several times z^*] where transport changes from diffusional to turbulent, and above as one *continuous* function. Since the governing equation and its solution are continuous in [0, h1], there is essentially infinite resolution near the sea surface, and the user is free to interrogate the solution at any set of heights. To give a sense of scale, the z^* of our calculations ranges from 10^{-3} to 52 cm with a median of 2.8 cm.

Also notable with our formulation is the freedom from any requirement to specify the relationship between the meteorology and kinetic fractionation below z*, which is part of the solution. Therefore, this treatment is essentially win-win, if one is not daunted by the differential equation. We are grateful that this reviewer's question has allowed us to expand on the advantages of our approach.

>>> To give a sense of scale to those readers who have the same question as this reviewer, we added a brief discussion of the distribution of z* to Section 6.1.2.

3. "Closely connected to my previous point, normally above the see the exchange coefficient is parameterized using a roughness length that depends on the friction velocity (Charnock, 1955). This formulation can be useful to include the effects of waves and turbulence on the sea surface through a dependence on the friction velocity (page 6, lines 18-22)."

>> We recognize that the sea-air exchange rate may be conducive to parameterization in terms of various turbulence parameters such as roughness length, friction velocity, Reynolds number, Richardson number, and many others. This is especially true in models unlike ours in which the LBL is reduced to an implicit part of the boundary conditions at the sea-air interface. In our model, K_{max} plays a role similar to these other turbulence parameters by determining z*, which in turn determines the near-surface vapor gradients and the fluxes (i.e., the sea-air exchanges). The model thus has the advantage of independence from any prior assumption about the relationships between conventional turbulence parameters and fluxes. On the other hand, our current model does not include the effects of sea surface roughness, spray (with some discussion in this manuscript) or other surface effects, so reconsideration in future papers of our LBL and the boundary conditions at z=0 might lead to improvements.

>>> No corresponding revision at this time.

4. "Why is a negative sign between the D and the advective vertical velocity at the continuity equation 6? What is the sign convection?"

>> Our sign convention is that z increases upwards. The negative sign is consistent with w (positive for upward velocity) increasing upward (positive $\partial w/\partial z$) when D (convergence) is positive. In other words, the inward convergence D equals the upward velocity gradient $\partial w/\partial z$, for mass balance. (We're sorry if the notation D made this reviewer think of DIVergence; the letter C was already taken).

>>> We have added more explanation about the signs of D and w under Equation 6.

5. "Marine boundary layers are frequently characterized by the presence of clouds. Some of the campaigns, for instance RARA, STRASSE and PIRATE were located in regions dominated by stratocumulus. In that respect, I would expect a discontinuity between the marine boundary layer and the free atmosphere due to the temperature inversion at cloud top. I cannot find this discontinuity in the profiles shown in Figure 3. Can they provide a more elaborated explanation on the different marine boundary layer under study?"

>> The reviewer is correct that MBLs in some regions and seasons often have clouds within them. Our model is not intended to be valid in such circumstances, although their influence would likely be small at the typical measurement heights (10-20 m) on clear days. Strictly, our results should be compared only with observations with the lowest cloud base above h₃, which was 1000 m in the results presented, including Figure 3. Stratocumulus (if any) above h₃ would not be relevant to the model, and the inversion that often accompanies stratocumulus would be above the profiles within the model. Clouds also bring about an issue of liquid-vapor isotopic exchange, which, as we stated in the paper, is also not included in the current version of the model. By raising this question, the reviewer indicates a direction for potentially useful future work.

>>> We added this low cloud limitation to the second paragraph of Section 6.2.

6. "Connected to the last point, how and where is CE (Eq. 8, page 9) depicted in Figure 3"

>> Does the reviewer mean Figure 1 or Figure 3? In Figure 1, it is indicated along the left side, at middle height, the bold arrow headed downward (subsiding air) turns to head into the section of the MBL under study, thus becoming converging air originating externally to the study volume. The term C_E (Eq. 8, page 9) is an isotopologue

concentration ratio of this <u>C</u>onverging <u>E</u>xternal air. In Figure 3, the values of C_E are not depicted. C_E for H₂¹⁶O, HD¹⁶O and H₂¹⁸O can be obtained from r_E (mixing ratio), δ D and δ^{18} O of subsiding air". These values are given in Table 4 (–239‰ and –33‰, for δ D and δ^{18} O, respectively). We did not mark the isotopic values of the subsiding air in Figure 3, because they are off-scale. To expand the scale to include them would require reduction of the resolution of the near surface gradient, which is the main point of this graph. However, in response to the reviewer's comment, we have added the values of δ D, δ^{18} O and d-excess of the subsiding air into the caption of Figure 3. In Figure 4, the isotopic composition of the external air is located as the point E.

>>> As mentioned above, we have added the values of δD , $\delta^{18}O$ and d-excess of the subsiding air into the caption of Figure 3. This improves the readability of the figure. We thank this reviewer for pointing this out.

7. "Section 6.1. Could the authors justify the selection of the "few major features"?"

>> Each "major feature" is the focus of one of the three subsections of Section 6.1. The vertical profile (6.1.1) is to emphasize that this model is a true 1-D model, and thus different from all Craig-Gordon type models. The section is also important to emphasize the points that a) there is a strong gradient near the air-sea interface, and b) all isotopic vapor measurements by cruises are done at a selected height, which is one point taken from this profile. The other two "major features" were chosen because, in our judgement, they are the most effective for verification and for a better understanding of MBL interactions. In addition, both $\delta D vs. \delta^{18}O$ and d-excess $vs. \delta^{18}O$ relationships are of major importance in climate interpretations of both modern and ancient water vapor or precipitation (*e.g.*, ice cores, tree rings, etc.) isotopic variations.

>>> We added this justification to the first paragraph of Section 6.

- 8. "Related to the parameters selected in Table 4:
- Section 5.5. Some marine boundary layers can have larger values of the upward velocity driven by long wave radiative cooling at cloud top or the venting driven by the presence of shallow convection.
- How relevant is the height of the MCL as a variable controlling the mixing, entrainment and dilution of the isotopologues?"

>> - Section 5.5. We agree that larger values of *w* can occur, and that larger values can be driven by cloud top cooling or *deep* convection. However, the sensitivity of BL isotopes to *w* decreases with larger *w*. Larger *w* values would slightly increase the influence of the subsiding air properties on the isotopic ratios of the boundary layer. However, it would not change the quadrilateral boundaries (lines a, b, and c) in Figure 4, as discussed in the paper. Since this is the first IMBL model to include *w*, we chose to use moderate values. >>> To further justify the range of *w* values we used, we added two sentences to section 5.5.

>> We assume that a typo replaced "MBL" by "MCL" in the reviewer's question. The answer is that results show very little sensitivity to this height (h_3). A full discussion of sensitivities is postponed to a follow up paper, but we would be glad to send preliminary results in response to any requests.

>>> The insensitivity to h_3 has already been stated in Section 5.2, and does not need additional explanation.

9. "For the sake of completeness, I believe it is convenient to include how the kinetic fractionation process (page 16, lines 15-20) is represented at the IMBL model."

>> The kinetic process occurs solely because the molecular diffusion coefficient K_m is different for each isotopologue. That's all there is to it. The amount of the kinetic effect, indicated by the deuterium excess, depends on the differences among the K_m values and the transport processes in the MBL.

Craig and Gordon (1965) and its more recent successor models all require the kinetic fractionation to be an assumed function of the variables related to turbulent transport, so the kinetic fractionation factor had an *input* role. In contrast, the kinetic fractionation is an *output* diagnostic in our IMBL model.

>>> To describe the mechanism of kinetic fractionation more clearly, we added additional explanation after Eqns. 4 and 5.

10. "Page 17 (lines 18-20). Do the conditions with larger K_m and lower mixing ratio of subsiding air imply well-mixed conditions? Please explain."

>> (We assume that the reviewer intended to ask about larger K_{max} and lower β .) Yes, the larger K_{max} implies well-mixed conditions. Lower β is not directly related to well-mixed conditions. Low β implies very little dry air contributed from the upper atmosphere to the BL, such that the upper atmosphere isotopic composition has little leverage on the BL isotopic ratios.

>>> We added to the manuscript at the end of that paragraph (the first paragraph of Section 6.1.2) "Large K_{max} also creates well-mixed MBL, which is consistent with the simulated low isotopic gradients between the sea surface and 15 m."

11 "In a model in which the processes between the sea surface and MBL dynamics are fully coupled, the mixing of air with lower/higher isotopic content can have an influence on the kinetic fractionation. In other words, could the line AB in figure 4 change when the sea-MBL coupling is important?"

>> (Note that there is a difference between line AB and line a. The former depends on distribution of MBL conditions, but the latter is the theoretical limit.) This is an interesting question; results could change if two-way interactive coupling between the MBL and the surface layer of the ocean were included in the model. Such coupling may have at least two consequences that affect the isotopic distribution. One outcome may be a change of sea water isotopic composition (due to, e.g., evaporative enrichment), which affects equilibrium as well as kinetic fractionation in the atmosphere directly above the surface (by changing the vertical gradient of isotopic composition). The second result may be a change in SST, whose direct effect on isotopologues is to change the equilibrium fractionation. A secondary effect may be to change the vapor gradient, relative to saturation vapor composition, and the diffusion coefficient (which is temperature dependent, although the molecular diffusivity ratio is assumed to be independent of temperature).

The timescale of changes would need to be known to determine if it is necessary to consider this interaction explicitly. For a long timescale (e.g., seasonal), this IMBL could be used without modification if the user could specify changes in the sea surface boundary condition over one season, but if the conditions are known only at the beginning of the time period, the model would have to be extended to include changes occurring within the surface layer of the ocean.

>>> In order to maintain the focus of the paper, we did not add the above reply to the manuscript.

12. "To reinforce the originality of the study, I believe the reader will appreciate a more elaborate discussion on the difference of current results with the Craig-Gordon model. For instance, what will be the results of the Craig-Gordon model in Figure 4?"

>> This is a good thought. Unfortunately, there is no simple one-to-one comparison between the two models, because they have different MBL structure as well as different inputs and outputs. For example, unlike our model, the Craig-Gordon model does not yield the isotopic ratio of vapor within the boundary layer. Instead, it requires the isotopic ratio of the free atmosphere as input, and calculates only the isotopic ratio of the vapor *flux* from the sea surface. In contrast, our model calculates isotopic ratios of vapor within, and flux through, the MBL. Figure 4 shows the isotopic ratios of vapor in the MBL, but the Craig-Gordon model does not provide the output required for an equivalent plot.

The two models can still be compared if some assumptions are made. One way to accomplish this is by using the so called "closure assumption" for the Craig and Gordon type model proposed by Merlivat and Jouzel (1979), where the vapor isotopic ratio in the MBL is assumed to be equal to the isotopic ratio of the vapor flux. We eschew this closure assumption since it was demonstrated to be numerically incorrect 20 years ago (Jouzel and Koster, 1996). So any discrepancy in a comparison between the two sets of output would not provide much insight.

Another way to compare the two models is to use a particular subset of observed isotopic ratios above the sea as the input parameter to the Craig-Gordon model and calculate the isotopic ratio of the vapor flux (remember Craig-Gordon model calculates only this flux). This flux can then be compared to the flux calculated by our model, provided that the isotopic ratio profile generated by our model agrees with the observed value at the observed height. We have already made such a comparison in a 2018 Goldschmidt conference presentation (Welp et al., 2018). The conditions for this experiment were close to saturation, where Craig and Gordon type models are least reliable, so poor agreement was obtained as expected. This could be interesting to extend in future work, but is difficult to include in this manuscript for two reasons. First, there are few direct observations of isotopic ratio of vapor flux at the sea surface. Therefore, there is no target (right answer, or empirical observations) to compare with. A better way of doing a comparison would be to conduct vapor observations near an eddy correlation tower equipped with isotopic measurements (still a challenge for today's technology), or to make vapor measurements from multiple heights. This way, there would be some guidance about what the true flux might be. We are in the process of making an effort in this direction using large lakes; the results will be reported elsewhere. Second, in addition to the difficulty pointed out above, we would have to take a significant diversion from the main point of the paper in order to include such a comparison. This is because the comparative calculations have to be informed by much more detailed MBL conditions associated with a cruise or a section of a cruise, including vertical velocity, K_{max} , etc. The current paper is already too long, and a detailed application of the model is better treated in separate contributions.

>>> No corresponding revision at this time.

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Welp, L., Meyer, A., Griffis, T., Feng, X., and Posmentier, E. S.: In-situ observations of water vapor isotopes in near surface air over Lakes Superior and Michigan, Goldschmidt Conference, Boston MA, August 12-17, 2018.

Response to the comments of Reviewer 2.

This reviewer has a few comments about the relevance of this paper. In several cases, we believe that the answer was already in the manuscript. Here, we try to explain some of the context of this model slightly differently in hopes that it will make some of the points of the paper clearer.

Like our response to Reviewer 1, we first quote the original comment, followed by our response (using the prompt ">>"), and then citing corresponding revisions (if any) in the new manuscript (using the prompt ">>>").

1. "This manuscript fails to demonstrate the usefulness of their new model to understand processes in the marine boundary layer. The authors show that they can explain the marine boundary layer water vapor isotope observations using different configurations of the parameters in their model, but they do not reflect upon what this means for our understanding of the atmospheric physical processes. To warrant publication the authors should make it clear to the reader what their model can be used for. Simply using some ad-hoc parameters to show that the model simulate observations does not expand our knowledge and understanding of the world that we live in. Specifically, the authors should make it clear which research questions they are going to answer in this manuscript."

>> We do not fully understand this comment, i.e., what this reviewer had in mind about "the usefulness of their new model" in understanding "processes in the marine boundary layer". We indicate where in the paper we provided context of this model, where we discussed the processes, and where we stated the "the usefulness" of the model, in some cases adding additional details and specific examples to illustrate how the model will aid in the understanding of "processes in the marine boundary layer".

Most context was given in the Introduction, where we discussed why we developed this model. Part of the reason was to overcome limitations of the Craig and Gordon model for understanding marine boundary layer (MBL) processes, for connecting the MBL with Rayleigh processes in the free atmosphere, and for simulating new observations, particularly vapor isotopic measurements. A significant part of our motivation was to create a model capable of exploring how meteorological processes and conditions in the MBL (e.g., convergence of external air) affect the isotopic compositions of air masses that produce precipitation.

Regarding the use of the model to understand boundary layer (BL) processes, one important discussion is the explanation of Figure 4, which summarizes the isotopic systematics of the boundary layer vapor produced by our model. We identified three processes that define the quadrilateral shape of the δD vs. $\delta^{18}O$ distribution, namely equilibrium isotopic fractionation, kinetic isotopic fractionation, and mixing of the boundary layer vapor subsided from the upper atmosphere. The relative importance of these processes depends on MBL conditions that are represented by a set of parameters, including turbulence intensity K(z), vertical velocity w, and the properties

of the subsiding air (C_E), each having a specific physical meaning. As stated in the manuscript, a full sensitivity test will be given in a separate contribution due to length limitation of this manuscript, but we hope that our discussion is sufficient at this point.

The specific use of our model is summarized in the last paragraph of the Conclusions (Section 7) as quoted below:

'The IMBL model can be used in a number of ways. First, numerical experiments with the model help to better understand the effects of boundary layer processes and climatic conditions on isotopic compositions of vapor within and vapor fluxes through the MBL. A second application could be to investigate how temporal and spatial differences in moisture source regions affect the isotopic composition of remote precipitation under both modern as well as paleo-climate conditions. Third, it is important to investigate the relationship between MBL isotopes and evaporation rate and, perhaps, to develop methods to measure the latter indirectly from simultaneous observations of isotopes and meteorological conditions. Finally, the understanding gained from IMBL model simulations can be used to improve the representation of MBL processes in isotope enabled GCMs.'

>>> We modified the above paragraph by adding a few specific scientific questions that can be investigated with our model. We hope this is consistent with what the reviewer expected. The new paragraph is below, with highlighted text indicating newly added text.

'The IMBL model can be used in a number of ways. First, numerical experiments with the model help to better understand the effects of boundary layer processes and climatic conditions on isotopic compositions of vapor within and vapor fluxes through the MBL. For example, one may investigate how boundary layer stability, turbulence conditions, vertical velocity, convergence, and upper atmospheric moisture affect MBL isotopic distributions and how these effects change with space and time. A second application could be to investigate how temporal and spatial meteorological differences in moisture source regions affect the isotopic composition of remote precipitation under both modern as well as paleo-climate conditions. In this application, the IMBL model can be coupled with a Rayleigh distillation model to simulate isotopic evolution of vapor and/or precipitation from moisture source to a precipitation site. These simulations can be particularly powerful if also used in conjunction with a Lagrangian back trajectory program to identify moisture source areas for a site of interest. Third, it is important to investigate the relationship between MBL isotopes and evaporation rate and, perhaps, to develop methods to measure the latter indirectly from simultaneous observations of isotopes and meteorological conditions. Since this IMBL model calculates the flux of each isotopologue (rather than just their ratios), it yields the evaporation rate. This opens up the possibility of using isotopic measurements to quantify evaporation rates under various boundary layer conditions. Finally, the understanding gained from IMBL model simulations can be used to improve the representation of MBL processes in isotope-enabled GCMs.'

2. "The authors fail to discuss developments in the use of water isotopes to understand marine boundary layer processes over the last decade. It would be an important step for potential publication of the manuscript that the authors discuss in the introduction how their new work relates itself to recent work and not just work by Craig and Gordon 1964 and Merlivat and Jouzel 1979." >>In terms of isotopic boundary layer model development, we are not aware of any significant change since Merlivat and Jouzel (1979). To our knowledge, the recent work has added significantly to the *number of vapor measurements* in the marine boundary layer, but related modeling of the data is still based on the earlier work by Craig and Gordon, (1965), and Merlivat and Jouzel (1979). In the introduction, we explained the difference between our model and the earlier models, in terms of conceptualization of the boundary layer structure and processes. If this reviewer thinks that we are unaware of models fundamentally different from what we call "Craig and Gordon type models" (other than isotope enabled GCMs) for the use of boundary layer isotopic distributions, please give us specific references.

>>> No revision is made.

3. "The model is presented here as relieved of the need for empirically chosen values of the kinetic fractionation factor such as the k-factor in the work of Merlivat and Jouzel 1979. Instead the model introduces assumption of linear increase of diffusion from the surface to a specific height above the layer (the authors refer to this as the thickness of the von Karman layer). It is unclear in the manuscript what theoretical background or empirical observations the authors have for choosing the value of the turbulent diffusion coefficient at the interphase of the von Karman layer and convergence layer, and what foundation the authors have for deciding that the diffusion is linear in the von Karman layer. It seems that the authors replace one ad hoc parameterization with another ad hoc parameterization."

>> This comment has several points. We break it down into three questions (although not in the same order as they are given) and address them separately below: A) What is the basis of our assumption that the eddy diffusion coefficient increases linearly with height in the lower layer of the MBL? B) By using Eq. (4) ($K=K_m+bz$), do we simply "replace one ad hoc parameterization with another ad hoc parameterization"? C) how do we choose the value of K_{max} ?

>> A) The "law of the wall", first published by von Kármán (1930), states that, in the layer close to the boundary, turbulent velocity increases logarithmically with height (i.e., the logarithmic wind speed profile). Actual wind speed profiles have, of course, been observed. The logarithmic profiles occur in the lowest 5-10% of the boundary layer (our lower layer) under statically neutral conditions. Some deviations may occur under either stable or strongly convective conditions in opposite directions, so the logarithmic profile is a good representation of the median situation. If using a first order local turbulence closure scheme, or K-theory, (*i.e.*, the flux is proportional to the gradient) for the turbulent transport, which is what we used in this paper, this logarithmic wind speed profile is mathematically consistent with a linear increase of K (eddy diffusion coefficient) with height (z). K-theory has been used in all Craig-Gordon type models. Our change makes the model truly one-dimensional, with K continuously increasing with

z in the lower layer. By making these changes, we significantly alter the representation of kinetic isotopic fractionation caused by molecular diffusion close to the water-air interface (See B below). We did not consider it necessary to include significant background of textbook boundary layer meteorology in the paper. Interested readers can consult, e.g., Stull (1988).

>>> No revision for A).

>> B) This reviewer thinks that our representation of kinetic fractionation may be just a replacement of "one ad hoc parameterization with another ad hoc parameterization". We strongly disagree. Yes, we do have to parameterize or specify K_{max} to use Eq. (4), rather than specifying the kinetic fractionation factor (k in Merlivat and Jouzel, 1979). However, K_{max} is a boundary layer dynamics parameter that already exists in boundary layer dynamics literature. The study of K(z) has much greater "breadth, depth and variety in boundary layer (BL) dynamics" (quote from our response to Reviewer 1) and is based on a much richer set of observations than is the kinetic fractionation factor, k. More importantly, by making kinetic fractionation a function of K(z), our model is capable of exploring how BL meteorological conditions affect the kinetic isotopic fractionation, one important improvement over Craig and Gordon type models (and, by the way, one example of using the model to study processes). The above points also amplify our response to A), which brings up the question of what indeed the improvement of our model over earlier models is in terms of how it represents kinetic fractionation. Further, they explain more, here in a slightly different context, our response to Reviewer 1 (points 2 and 9).

In addition to the above advantage, there are other very important benefits from using Equation (4). First, our formulation allows our model to compute fluxes of isotopologues, not just their ratios. Second, it allows the model to compute the elusive value of sea surface evaporation. Third, it allows us *"to investigate the relationship between MBL isotopes and evaporation rate and, perhaps, to develop methods to measure the latter indirectly from simultaneous observations of isotopes and meteorological conditions"* (quote from the manuscript and response 1 to this reviewer). In fact, we have already used a different version of this model to study lake evaporation rates (Feng, et al. 2016). Furthermore, with *K* a continuous function of *z*, our model is truly one-dimensional, which allows vertical isotopic profiles to be predicted and compared with isotopic observations at multiple heights and with any resolution. These benefits all provide additional power of the model for studying boundary layer processes. Craig and Gordon type models, on the other hand, by parameterizing or specifying *k*, essentially sacrifice any of these possibilities. So our parameterization is not just another inconsequential alternative.

Related to our answer above, we note that our formulation of the kinetic fractionation provides much greater potential to be coupled with various types of boundary layer dynamics models (including GCMs) than previous models. In most of these dynamics models, the representation of turbulence transport is already established. The transport coefficient may or may not be a linear function of *z*, but

adding isotopologues to any of these models would be very easy to do using $K(z)=K_m+K_T(z)$, where $K_T(z)$ is the turbulent diffusion coefficient as a function of z. Historically, BL isotopic models and their output have been of interest mainly to the isotope hydrology and paleoclimate communities. We think, however, that isotopic measurements can be a powerful tool to investigate general boundary layer processes, contributing to advancement of boundary layer meteorology. This model provides one bridge towards that goal.

>>> We thank both reviewers for questioning our kinetic fractionation formulation, which gives us an opportunity to explain its benefits more fully in this open discussion setting. A brief summary of the above explanation is incorporated into the manuscript as the last paragraph of Section 2.1.

>> C) How did we choose K_{max} ? We assume that this is the question meant by the reviewer in the original comment "It is unclear in the manuscript what theoretical background or empirical observations the authors have for choosing the value of the turbulent diffusion coefficient at the interphase of the von Karman layer and convergence layer...". The information is already in the manuscript. We explained the K(z) profile, in the third paragraph under Section 2, and illustrated it in Figure 1. We cited O'Brien (1970) for a typical K profile in the BL, which is also cited by Stull (1988). We present Stull's graph below for additional information to this reviewer and to readers with a similar question, but do not think it necessary to include it in the manuscript.



From: Stull, R. B.: An introduction to boundary layer meteorology, Springer Science & Business Media, 1988, page 210.

Fig. 6.2 Typical variation of eddy viscosity, K, with height in the boundary layer. After O'Brien (1970).

The choice of K_{max} is discussed in Section 5.3, with citations to the literature. We do not consider it necessary to repeat the discussion here. We note, however, that we do not use only one value, but explore the effect of varying K_{max} over a wide range on the isotopic compositions of the BL. This is only one example of how the model has

already improved our understanding of MBL processes, an understanding that Reviewer 2 sought but did not find in the paper (See 1, above). If the reviewer has more specific questions, we are happy to answer.

>>> No revisions for C).

4. As such the manuscript could potentially be publishable, but the authors should present the manuscript for what it is: Another model of water vapor isotopologues in the marine boundary layer and not as the title suggest something which goes beyond Craig- Gordon. The text should also represent this more realistic goal of being one model among many others. Finally, the manuscript should clearly outline, why this model is useful. This could be achieved by formulating clearly outlined research questions, which the model is used to answer.

>> We hope that we have already addressed most concerns of this reviewer above. In terms of the title of this paper, this model extends the Craig-Gordon type models in several new directions. It adds convergence and vertical advection to the model; it does not use an assumed parameterized kinetic fractionation; it is continuous over height and thus resolves scales from less than z^* to h_3 , a range of a factor of over 10^5 . So the suggestion in the title is quite well justified, and this should have been quite obvious in the original manuscript. Very detailed explanations about how this model differs from Craig-Gordon type models, and what motivated us to incorporate the new features are given in Introduction of the manuscript. If this reviewer thinks that there are many other (non-Craig-Gordon type) models that do what our IMBL model does, please provide us specific references.

>>> No revision is made.

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Beyond Craig and Gordon: A model of water vapor isotopologues in the marine boundary layer

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Abstract. We develop a one-dimensional (1D) steady state isotope marine boundary layer (MBL) model that includes meteorologically important features missing in Craig and Gordon type models, namely height-dependent diffusion/mixing,

- 10 lifting to deliver air to the free troposphere, and convergence of subsiding air. Kinetic isotopic fractionation results from this height-dependent diffusion that starts as pure molecular diffusion at the air-water interface and increases with height due to turbulent eddies. Convergence causes mixing of dry, isotopically depleted air with ambient air. Model results fill a quadrilateral in δD - $\delta^{18}O$ space, of which three boundaries are respectively defined by 1) vapor in equilibrium with various sea surface temperatures (SSTs); 2) mixing of vapor in equilibrium with seawater and vapor in subsiding air; and 3) vapor that has
- 15 experienced maximum possible kinetic fractionation. Model processes also cause variations in d-excess of MBL vapor. In particular, mixing of relatively high d-excess descending/converging air into the MBL increases d-excess, even without kinetic isotope fractionation. The model is tested by comparison with seven datasets of marine vapor isotopic ratios, with excellent correspondence. About 95% of observational data fall within the quadrilateral predicted by the model. The distribution of observations also highlights the significant influence of vapor from nearby converging descending air on isotopic variations
- 20 within the MBL. At least three factors may explain the ~5% of observations that fall slightly outside of the predicted regions in δD - $\delta^{18}O$ and d-excess- $\delta^{18}O$ space: 1) variations in seawater isotopic ratios, 2) variations in isotopic composition of subsiding air, and 3) influence of sea spray.

1 Introduction

Stable isotopic ratios of water have been widely used to study the hydrologic cycle of the atmosphere. They have proven to be a powerful tool for understanding modern atmospheric processes (e.g., Dansgaard, 1964, Lawrence et al., 2004; Worden et al., 2007; Uemura et al., 2008; Kurita, 2011; Kopec et al., 2017). In addition, they have been extremely useful for inferring paleoclimate conditions and making climate reconstructions from glacier ice, tree rings, lake sediments, speleothems, and paleosols (e.g., Dansgaard et al., 1989; Wang et al., 2001; Huang et al., 2002; Andersen et al., 2004; Jouzel et al., 2007; Feng et al., 2007; Sheldon and Tabor, 2009; Masson-Delmotte et al., 2015). Sound interpretation of isotopic data requires a thorough understanding of all processes in the hydrological cycle that affect isotopic variations. These include 1) surface evaporation and processes in the planetary boundary layer (PBL) through which vapor reaches the overlying free atmosphere; 2) rainout and other processes along the trajectory of air masses transported to a precipitation site; 3) nucleation, growth, and coalescence of hydrometeors at the precipitation site; and 4) subsequent processes

5 affecting precipitation as it falls through the air. This study focuses on the first of these – surface evaporation and isotopologue concentrations within and fluxes through the PBL --- in particular, the marine boundary layer (MBL), where ascending air delivers water vapor to the free atmosphere.

The PBL and the MBL have a variety of qualitative and quantitative definitions, not all consistent. In this discussion, we use the phrase "Boundary Layer" to refer to the lower part of the planetary or marine atmosphere, in which the flux of water vapor is close to vertical and vapor transport is accomplished primarily by turbulent or convective mixing. Above the MBL, the troposphere is often referred to as the "free atmosphere" or "free troposphere", in which vapor transport is dominated by nearhorizontal advection by winds. The thickness of the MBL varies from ~100 m to ~1000 m or more with location, season, and time of day, as well as weather conditions (e.g., Christakos et al., 2013; Winning et al., 2017). In the MBL, unlike the terrestrial part of the PBL, water vapor is not affected by plant transpiration or variable surface wetness.

- 15 Craig and Gordon (1965) developed the first isotopic evaporation model, referred to hereafter as the C-G model, to calculate isotopic ratios of evaporating vapor from the water surface when the humidity and isotopic composition in the "free air" is fixed. The model is based on the diffusive flux of an isotopologue (e.g., H₂¹⁶O, or HDO) through the boundary layer of the atmosphere. The diffusive flux is proportional to the difference in isotopic composition of vapor at the layer's boundaries and inversely proportional to the resistance of the layer to transport (Fick's Law, described as an simple analogy of Ohm's Law by
- 20 C-G). The C-G model is conceptually a multiple "slab" (0-dimensional) model. The slabs (layers), stacked from the bottom up, are turbulent ocean water, a laminar layer of ocean water that is affected by evaporation, the water-air interface, a laminar layer of air, a turbulent air layer, and the free atmosphere (where humidity and isotopic ratios no longer change rapidly with height). Even though each layer has a different resistance to vapor transport, the fundamental premise is that the vapor flux through all layers is the same. This premise follows in turn from the assumptions of steady state, conservation of mass, and
- 25 the non-convergence of horizontal fluxes (if any). Therefore, the flux entering the free atmosphere (at the top of the PBL) equals the evaporative flux at the water surface. The C-G model was tested and empirically parameterized using measurements of the isotopic evolution of an isolated body of evaporating water (Craig et al., 1963), and was subsequently successfully applied and adapted to many specific applications, including lake evaporation, leaf transpiration, and marine boundary layer processes. Interested readers can refer to Horita (2008) for a comprehensive review of the status of the C-G model.
- 30 Particularly relevant to this study is the adaptation of the C-G model for the marine boundary layer. An influential study by Merlivat and Jouzel (1979) linked the magnitude of kinetic isotopic fractionation primarily within the laminar layer above the water-air interface, which is required input for the C-G model, to aerodynamic conditions, i.e., wind speed and surface ocean

roughness. However, the model still required the input of the free atmosphere humidity and isotopic ratios. Recognizing the difficulty of knowing these free atmosphere variables, Merlivat and Jouzel (1979) made an assumption, known later as the "closure assumption", that the isotopic ratios of *vapor mass* in the free atmosphere were equal to the isotopic ratios of the *vapor fluxes* from the sea surface. This assumption enabled them to complete a new multi-slab model (the M-J model), used

5 by numerous investigators to calculate isotopic fluxes from the sea surface over a range of maritime conditions, and to explore relationships between isotopic compositions of evaporative flux and boundary layer meteorological conditions such as sea surface temperature and relative humidity (e.g., Johnsen et al., 1989; Petit et al. 1991). The closure assumption also allowed the modeled flux to be used as the starting isotopic composition of an air mass, which evolves during transport and rainout or a Rayleigh process (e.g., Johnsen et al., 1989; Petit et al. 1991). The closure assumption has been determined to be generally

10 invalid at local scales (Jouzel and Koster, 1996), however, it has continued to be used (e.g., Benetti et al., 2014) simply for lack of a better assumption.

Abandoning this closure assumption requires a fundamental rethinking of the MBL model structure. In addition, there are ramifications for other model assumptions. As a consequence, we consider three requirements for developing a physically consistent MBL model free of the invalid closure assumption.

- 15 First, vertical advection is necessary at the inception of a Rayleigh process in order to lift MBL air into the free atmosphere. When an air mass is lifted into the free troposphere, the vapor isotopic ratio of the air for the first condensation is equal to the isotopic ratio of vapor within the air mass, not the ratio of isotopologue diffusive *fluxes* into the air mass. Contrary to the closure assumption, these two are not generally equal. Therefore, an MBL model should calculate not only the isotopic ratio of vapor flux, but also that of vapor concentration within the MBL, and the latter is the quantity that should be used for the
- 20 initial vapor isotopic composition in any subsequent Rayleigh process.

Second, with incorporation of vertical advection, mass balance requires 1) horizontal convergence of air within the MBL to replenish the lifted air in the evaporation column, and 2) subsidence of air outside the model region to sustain the local horizontal convergence. Such a circulation on various scales was discussed by Craig and Gordon (1965) to explain why vapor in the MBL was not in isotopic equilibrium with ocean water. In this contribution, we attempt to quantify how horizontal

25 convergence of air from non-local regions of subsidence affects the isotopic properties of the local MBL. Because the converging air is unlikely to have the same isotopic composition as the local MBL air, convergence turns out to affect MBL vapor isotopes quite significantly, as discussed later in this paper.

Third, incorporating convergence means that the assumption of constant flux in the C-G model is no longer valid, even under steady state conditions. This necessitates different equations of mass conservation.

30 In addressing these three required changes, we incorporate a fourth major change to the model structure, which is to convert previous multi-slab models (referred to hereafter as C-G type models) to a true one-dimensional model. In doing so, we remove

the laminar slab near the sea surface and describe the kinetic fractionation as a height dependent process that is controlled by increasing turbulent transport coefficient with height (see below). An additional benefit is the ability to obtain isotopic ratios of air and vapor flux at any given height within the MBL.

- Because advective and diffusive fluxes vary with height in a 1D model, careful choice of parameterization of the diffusive
 fluxes can minimize additional complexity. The eddy diffusion coefficient (coefficient of turbulent transport in eddies) increases continuously with height (Merlivat and Coantic, 1975) from zero at the air-water interface, where transport of vapor is thus effected_affected_solely by isotopically fractionating molecular diffusion, to greater values at height where vapor transport is by turbulent eddies. Such a height-dependent change of diffusion coefficient is adopted in our model. In addition, our model is relieved of the need 1) to empirically choose the value of a parameterized kinetic fractionation factor (Δε in Craig and Gordon, 1965; *k* in Merlivat and Jouzel, 1979) (this choice may sometimes be difficult and values reported in literature may not apply to specific conditions under investigation (e.g., Xiao et al., 2017)), and 2) to specify a specific laminar layer thickness. Instead, we allow the diffusion coefficient to represent pure molecular diffusion at the interface (which differs for different isotopologues and thus leads to kinetic fractionation), and to increase linearly with height to several orders of magnitude greater than molecular diffusivities.
- 15 Abandoning some of the assumptions of earlier models, such as constant flux of vapor isotopologues, flux equal to concentration (the invalid closure assumption), and presence of a discrete laminar layer, permits a significantly more realistic and elucidative approach to understanding processes in the MBL and allows more meteorological profiles of variables (such as humidity and isotopic ratios of the vapor in the MBL) to be calculated rather than specified. The tradeofftrade-off, obviously, is in sacrificing the simplicity of the classical model. The model reported here attempts to balance that tradeofftrade-off: it is considerably less complex than isotope-enabled general circulation models (GCMs) and should be accessible to investigators without substantial experience with complex models, yet it allows exploration of physical controls of vapor within the MBL

and in the initial Rayleigh process above the MBL.

The model introduced here is a one-dimensional (vertical) model with three layers within the MBL. It adopts the following enhancements to improve upon the earlier, classical models: 1) It explicitly includes vertical velocity and horizontal convergence of air and vapor, notwithstanding the difficulties of specifying the fluxes and isotopic properties of converging air. 2) It uses a height-dependent eddy diffusion coefficient without increasing the total number of free parameters (degrees of freedom) in the model. 3) It does not make the closure assumption that isotopic flux equals isotopic composition. 4) It solves not only for isotopologue fluxes, but also concentrations. 5) MBL humidity and kinetic fractionation factors are no longer required input parameters but are calculated. 6) Vapor fluxes are no longer constant with height.

30 Above, we have made several references to applying an MBL model to the initiation of a Rayleigh model of vapor trajectories in the free troposphere, but there is another crucial role for an MBL model. It is the model's application to understanding the systematics linking isotopic observations of precipitation to the meteorological conditions of the vapor source, of the precipitation site, and along the moisture paths between the two. We use the new MBL model presented here to examine the vapor source part of the isotope systematics. Since the model produces vapor concentrations and isotopic ratios, it can be tested and validated by MBL isotopic measurements, which, thanks to new spectral vapor isotopic measurement technology, have become increasingly available. There are still additional potential benefits. For example, such a model might provide a new way to estimate quaparatien rate, one of the helv grails of weather and elimate models.

5 way to estimate evaporation rate, one of the holy grails of weather and climate models.

In the following sections, we first describe the formulation and solution of the model and the marine boundary layer observations to be used to validate the model. Then we discuss the model results and their comparison with the observations, as a basis for addressing the systematics of vapor source conditions and atmospheric isotopes. Although the limitations of the model will be discussed in more detail in section 6.2, we briefly mention here that this model applies to the part of the marine

10 boundary layer where vertical velocity is positive (upward), there is no net horizontal advection, and the model does not include vapor liquid exchange within the air column.

2 The Isotope Marine Boundary Layer Model

decreases in intensity with height.

20

The model we describe here has been developed to study the effect of marine boundary layer processes, such as evaporation of water, mixing and uplift of air, on concentrations and fluxes of isotopologues of the MBL. Three isotopologues, $H_2^{16}O$,

15 H₂¹⁸O and HDO are modeled and presented here, but more can be added easily. We refer to this model as the Isotope Marine Boundary Layer (IMBL) model.

Figure 1 is a cartoon of the IMBL model showing the three layers that comprise the model column itself, and the input of external air. Layer 1, the lowest layer, extending from the surface at z=0 to height $z=h_1$, is a quasi-von Kármán layer in which vapor is transported upward from the sea surface by mixing that increases in intensity with height. Layer 2 ($h_1 < z < h_2$), the middle layer, is subject to strong vertical mixing, to the convergence of air that has elsewhere descended from the free atmosphere and converged horizontally into the modeled column, and to vertical advection caused by the convergence. In Layer 3 ($h_2 < z < h_3$), the top layer, there is no convergence, so the air ascends at a fixed rate, while the vertical mixing rate

- Sketched on the right side of Figure 1 are vertical profiles of the diffusion coefficient K(z) and the (dynamic) vertical velocity w(z). The profile of K(z) is consistent with typical variation with height of the eddy viscosity diffusion coefficient in the boundary layer, based on O'Brien (1970). The coefficient K(z) equals the molecular diffusion coefficient K_m at the surface and increases linearly with height to a maximum value K_{max} at $z=h_1$. It remains fixed at K_{max} through the middle layer, then decreases linearly in the top layer above $z=h_2$ to a small value K_t at $z=h_3$. The vertical velocity w(z) is zero in Layer 1, increases linearly with height through the middle layer, in which convergence occurs at a fixed rate, and remains constant at value w_a in Layer
- 30 3. Consistent with their constant values of *w*, Layers 1 and 3 do not have convergence.

The following subsections, 2.1-2.3, describe the individual physical and mathematical features of the model. Table 1 contains a list of variables and parameters found in these subsections and elsewhere.

2.1 Mixing Process

The central matter for this subsection is the specification of the height-dependent eddy diffusion coefficient, $K_i(z)$, which 5 appears in Fick's Law for diffusive flux,

$$F_i = -K_i(z)\frac{\partial(\rho C_i)}{\partial z},\tag{1}$$

where F_i is the vertical flux of the *i*'th isotopologue (isotopologue-mass area⁻¹ time⁻¹), C_i is the concentration of the *i*'th isotopologue (isotopologue-mass dry-air-mass⁻¹), ρ is the density of dry air (mass-of-dry-air volume⁻¹), and *z* is the vertical coordinate (increasing upwards from *z*=0 at the surface). The *i*-subscripts of *F*, *K* and *C* are reminders that they all depend on

- 10 the specific isotopologue under consideration, but for simplicity we drop them hereafter. We note that C_i has the same units as the commonly used mixing ratio r. The difference is that r is total water vapor mass per unit dry air mass, while C_i is the mass of the *i*'th isotopologue (e.g., H₂¹⁸O) per unit dry air mass. In this paper, we will use the term concentration for C and mixing ratio for r. With (1), we assume that Fick's Law can be used to represent vertical mixing by the combined effects of mechanically-driven turbulence, buoyancy-driven convection, and molecular diffusion.
- 15 In adopting Fick's Law, here, we have made the tacit assumption that alternative mixing models are less appropriate for our purposes. While higher-order closure schemes (e.g., Burk, 1977), structured turbulence models (e.g., Kirwan, 1968), and the telegraph equation (e.g., Goldstein, 1951) have some advantages over Fick's Law, their added complexity would not be justified at this juncture, and we postpone their consideration until future investigations warrant.

Conservation of mass for an isotopologue affected only by diffusion, temporarily neglecting convergence and advection, takes 20 the form

$$\frac{\partial(\rho C)}{\partial t} = -\frac{\partial F}{\partial z}.$$
(2)

For F given by Eq. (1), and for the case of ρ with negligible dependence on z or t, Eq. (2) becomes

$$\frac{\partial c}{\partial t} = \frac{\partial}{\partial z} \left(K(z) \frac{\partial c}{\partial z} \right). \tag{3}$$

Returning to the central matter, the specification of K(z), we reject the assumption of constant K, the simplest and most frequently used assumption, because it is particularly unrealistic near boundaries (i.e., water-air interface in this work), where the inhibitive effect of the interface on mixing of air increases with proximity to the boundary. The next most frequently used assumption is that *K* is a linear function of *z*, although a few others have been proposed (Merlivat and Coantic, 1975). The use of linear functions of *z* to represent K(z) has a long history in turbulence studies, including the turbulent transport of momentum as well as both buoyantly active and passive scalar fluid properties. The well-known work of von Kármán (1930) and Prandtl (1932) successfully applied the simple form $K(z) = b \cdot z$, where *b* is a constant, to derive the equation of the

5 and Prandtl (1932) successfully applied the simple form $K(z) = b \cdot z$, where b is a constant, to derive the equation of the logarithmic layer, where $u(z) = s \cdot \ln(z) + m$, with u being the wind speed, and s and m being constants.

An obvious limitation of the widely cited von Kármán/Prandtl formulation occurs when z is very small, near the singularity at z=0. The most common way to circumvent this problem has been to introduce a discrete "laminar boundary layer" (LBL), a very thin but finite layer with constant diffusion by molecular motion and with weak turbulent influence. The incremental cost of this approach is the necessity of specifying one additional parameter, δ , the thickness of the LBL.

10

Another way to overcome the problem for small z is to use the more general form:

$$K(z) = K_m + b \cdot z,\tag{4}$$

where K_m is the molecular diffusion coefficient for vapor in air and $b \cdot z$ is the contribution of turbulent eddies to the diffusion coefficient. Note that K_m varies among isotopologues, but b does not. An equivalent general linear form was applied to

15 boundary layer mixing above the LBL by Montgomery (1940), and within the LBL by Sverdrup (1946; 1951). Note that K_m varies among isotopologues, but *b* does not. This is the basic cause of kinetic fractionation. When *z* is small (see Eq. (4) and $z < z^*$, below), the relative differences among K(z) values for different isotopologues are large, which is the basis for strong kinetic isotopic fractionation.

One advantage of the form of Eq. (4) for the parameterization is the gain of one degree of freedom through the use of the 20 known quantity K_m instead of the unknown parameter δ , the thickness of the laminar layer. The latter can be replaced by a diagnostic laminar layer thickness, z^* , the height where molecular and turbulent diffusion coefficients are equal. In other words, below z^* vertical diffusion is dominated by molecular processes, and above it turbulence and convection prevail. From Eq. (4),

$$b \cdot z^* = K_m. \tag{5}$$

25 <u>The z^* values reported in this paper were computed using the diffusion coefficient of H₂¹⁶O. A linear approach, mathematically equivalent to Eq. (4) (Sheppard, 1958), used bulk aerodynamic theory to modify Sverdrup's (1946, 1951) work. The result was another linear function of z containing the friction velocity u^* and von Kármán's constant κ instead of the coefficients K_m and b, thus connecting Sheppard's model to familiar parameters of fluid mechanics.</u>

Merlivat and Coantic (1975) tested and compared various linear and nonlinear alternatives to Eq. (4). In contrasting alternative boundary layer models for use in isotope studies, they concluded that their laboratory experiments did not support Sheppard's linear theory. However, at the larger scale of Arctic lake field experiments, Eq. (4), which is mathematically equivalent to Sheppard's (1958) approach, was used successfully to model atmospheric vapor isotopes in field experiments above Arctic lakes (Feng et al, 2016).

There are several additional benefits to using Eq. (4) rather than parameterizing the kinetic isotopic fractionation. First, *K* is a boundary layer dynamics parameter that already exists in boundary layer dynamics literature. More importantly, by making kinetic fractionation a function of *K*(*z*), our model is capable of exploring how boundary layer mixing affects the kinetic isotopic fractionation. In addition, this formulation allows our model to compute fluxes of isotopologues, not just their ratios, which in turn allows computation of sea surface evaporation. This is significant because the isotopic distribution can then be used to constrain evaporation rate (Feng et al., 2016). Furthermore, with *K* a continuous function of *z*, our model is truly one-dimensional, which allows vertical isotopic profiles to be predicted and compared with isotopic observations at multiple heights and with any resolution (Feng et al., 2016). Hence, we proceed with Eq. (4).

2.2 Convergence and Vertical Advection

5

- 15 Moist air undergoing the Rayleigh distillation process in the free atmosphere is generally conceived to have originated in the PBL and been lifted (i.e., vertically advected) into the free atmosphere. For mass to be conserved, such uplift must be accompanied by convergence within the PBL. Nevertheless, C-G type models ignore convergence within the boundary layer (e.g., Craig and Gordon, 1965; Merlivat and Jouzel, 1979). The incorporation of this apparent contradiction into a model might be justified by arguing that the effect of boundary layer convergence on isotopic processes is negligible, or if the only concern
- 20 is the isotopic evolution of the liquid where the vapor originates. In the IMBL model presented here, however, we choose to preserve consistency by including both convergence and uplift, and to use model results to diagnose the importance of the convergence effect rather than neglecting it *a priori*. As we later show, convergence has a large influence on the isotopic composition of the air exiting the MBL upward into the free atmosphere.

Steady-state conservation of mass for dry air, using dynamic variables and neglecting diffusion, can be written in the form

25
$$D - \frac{\partial}{\partial z} (w(z)) = 0$$
 (6)

where *D* (here considered independent of *z*) is the horizontal dynamic convergence (dry-air-mass volume⁻¹ time⁻¹), and w(z) is the dynamic vertical velocity (dry-air-mass area⁻¹ time⁻¹), which is positive for upward air movement. The kinematic (conventional) velocity (length time⁻¹) is the dynamic velocity divided by the air density, ρ . Eq. (6) indicates when *D* is positive, *w* increases upward. We will use Eq. (6) to derive the governing equation for the middle layer in section 2.3.2.

Ignoring (for now) the effect of diffusion, conservation of mass for isotopologues affected only by kinematics can now be expressed as

$$\rho \frac{\partial c}{\partial t} = D(C_c - C) - w(z) \frac{\partial c}{\partial z}, \tag{7}$$

where C_c is the concentration of the isotopologue of the MBL air converging into the area being modeled. The first and second terms on the right are the direct effect of convergence (replacement of air of concentration *C* by converging air of concentration *C*_c) and the effect of vertical advection, respectively. Note that Eq. (7) is also consistent with the assumed absence of nondivergent horizontal advection.

The converging air, with concentration C_c , is a mixture of two air types, with fractional presence by mass β and $(1-\beta)$, respectively: 1) air from aloft, originally with concentration C_E , that has been recently integrated into the MBL by sinking or mixing, and 2) air that has been in the MBL for considerable time and has become essentially identical in properties to the modeled air with concentration *C*. Thus, $C_C = \beta C_E + (1-\beta)C$ and Eq. (7) can be written in terms of C_E as:

$$\rho \frac{\partial C}{\partial t} = \beta D(C_E - C) - w(z) \frac{\partial C}{\partial z}.$$
(8)

2.3 Governing Equations

10

To find the general form of the steady-state equation of conservation of mass for each vapor isotopologue, we combine the 15 diffusive (Eq. 3) and kinematic (Eq. 8) effects and set $\frac{\partial c}{\partial t} = 0$:

$$\rho \frac{d}{dz} \left(K(z) \frac{dC}{dz} \right) + \beta D(C_E - C) - w(z) \frac{dC}{dz} = 0.$$
(9)

(Since dynamic variables are used here, this result does not depend on the commonly invoked isopycnal approximation.)

Eq. (9) is the general form of the basic governing equation that we solve in layers in which K(z) and w(z) change. This governing equation is implemented three times, once for each isotopologue, with K differing among isotopologues.
Equivalently, it may be viewed as a single vector equation of length 3, with each component describing mass conservation for one isotopologue. The method devised here to solve Eq. (9), described in 3.2, uses the latter strategy.

We now proceed to adapt Eq. (9) to the atmospheric conditions specific to layers 1-3 -- the low, middle and high layers -- of the MBL.

2.3.1 Low layer equation

In the low layer, as described at the beginning of this section and as illustrated in Figure 1, there is no convergence or uplift. Hence D = 0 and w(z) = 0. As specified by Eq. (4), *K* increases linearly with height, from the small molecular value K_m at the surface (z = 0) to the larger mixing rate K_{max} at $z=h_1$, the top of the low (von Kármán) layer, where

In the low layer, Eq. (9) thus simplifies to:

$$b\frac{dc}{dz} + (K_m + b \cdot z)\frac{d^2c}{dz^2} = 0$$
(11)

2.3.2 Middle layer equation

In the middle layer, where $h_1 \le z \le h_2$, K(z) is constant and equal to K_{max} , and the convergence rate *D* is also constant. 10 Defining w_a as the upward velocity at the top of the middle layer, h_2 , Eq. (6) implies that w(z) increases linearly from w=0 at $z=h_1$ to $w=w_a$ at $z=h_2$. i.e.,

$$w(z) = D \cdot (z - h_1), \text{ and}$$
⁽¹²⁾

$$w_a = D \cdot (h_2 - h_1) \tag{13}$$

Eq. (9), after substituting Eqs. (12)-(13), simplifies to:

15
$$\rho K_{max} \frac{d^2}{dz^2} C + \frac{\beta w_a}{(h_2 - h_1)} (C_E - C) - \frac{w_a(z - h_1)}{(h_2 - h_1)} \frac{dC}{dz} = 0$$
(14)

Within the middle layer, vertical mixing (the first term in Eq. 14) is controlled by the constant eddy diffusion coefficient, K_{max} , which is the maximum value of *K*. The second term in Eq. (14) describes the direct effect of convergence of external air from aloft, originally of concentration C_E , into the profile. Vertical advection (the third term) occurs at a rate depending on the linearly increasing velocity and the gradient of *C*.

20 2.3.2 High layer equation

25

The upper layer of the MBL, just below the very top, is often capped by a stable inversion in which diffusion plays a minimal role. Uplift, however, continues upward unabated through the inversion into the free atmosphere, where further evolution of the air mass is beyond the scope of the IMBL model. In the upper layer of the MBL, we assume that K(z) decreases linearly from K_{max} at $z=h_2$ to K_t at the top of the MBL ($z=h_3$), and that there is no further convergence, so w(z) here equals w_a . Eq. (9) thus becomes:

$$\rho \frac{d}{dz} \left[\left(K_{max} - \frac{(K_{max} - K_t)(z - h_2)}{h_3 - h_2} \right) \frac{d}{dz} C(z) \right] - w_a \frac{d}{dz} C(z) = 0$$

$$\tag{15}$$

3 Solution Methods

3.1 Analytic Solutions

All three governing equations, (Eqs. 11, 14 and 15), are second order linear ordinary differential equations with non-constant 5 coefficients. Eqs. (11) and (15) are homogeneous, while Eq. (14) is inhomogeneous by virtue of C_E . Each equation has an analytic solution with two constants of integration, totaling six constants requiring six boundary conditions (BC's). The six BC's are:

C(0) is in equilibrium with the surface water. (BC1)

C(z) and KdC/dz are continuous across $z = h_1$. (BC2-3)

(BC4-5)

10 C(z) and KdC/dz are continuous across $z = h_2$.

$$\frac{dC}{dz=0} \text{ at } z=h_3. \tag{BC6}$$

In the low layer, the solution of Eq. (11) is

$$C(z) = \frac{C_0 ln[h_1(K_m + K_{max})] + (C_1 - C_0)ln[h_1K_m + zK_{max}] - C_1 ln[h_1K_m]}{ln[(K_m + K_{max})/K_m]}$$
(16)
$$C(z) = \frac{C_0 ln[h_1K_{max}] + (C_1 - C_0)ln[h_1K_m + z(K_{max} - K_m)] - C_1 ln[h_1K_m]}{ln[K_{max}/K_m]}$$
(16)

- 15 From (BC1), the constant C_0 is the isotopologue concentration in equilibrium with the liquid sea surface at the sea surface temperature (SST) (Horita et al., 2008), which we obtain from the specified isotopic composition of ocean water and the fractionation factors between liquid water and vapor (Majoube, 1971). Kinetic fractionation is caused by vertically distributed molecular processes concentrated mostly between the surface and $z=z^*$, and is explicitly included by the presence of K_m in Eq. (16). This treatment of kinetic fractionation, alone, distinguishes between this IMBL and most other models of atmospheric vapor isotopes near the sea surface.
 - The second constant of integration in Eq. (16) is C_1 , which is the value of C(z) at $z=h_1$. This constant cannot be evaluated at

this point, but we return to it shortly.

Similar to the low layer, the middle and high layers have analytic solutions. As is standard with boundary condition problems, the general solutions are found first. Then BC's 2-5 are introduced into the solutions, and the four new constants of integration

are solved for (in terms of the model parameters). The results are shown as given in Texts S1 and S2 in Supporting Information, respectively.

The solutions given by S1 and S2 are long expressions that are far less amenable to evaluation and interpretation than Eq. (16), their equivalent for the low layer. Furthermore, they still contain constants C_0 and C_1 , introduced from Eq. (16) via the BC's for continuity at $z=h_1$. Thus, the solutions for the middle and high layers cannot be evaluated until after C_1 has been found.

In order to find C_1 , it is necessary to apply (BC6) to equations in S1 and S2 (Supporting Information). The somewhat lengthy result is the equation in S3 in Supporting Information. Once C_1 has been evaluated, it is feasible (but tedious and slow) to evaluate equations given in S1 and S2 along with Eq. (16), completing the evaluation of the unique solution set.

3.2 Hybrid Analytical/Numerical Solutions

10 It is more convenient to use a hybrid analytical/numerical approach to finding the solution set. The simple analytic solution for the low layer (Eq. 16) can be evaluated in conjunction with a numerical solution for the middle and high layer equations (Eqs. 14 and 15).

Numerical boundary value problem solvers normally require the specification of boundary conditions containing only the variables, their derivatives, and numerical constants. Such a solver would not be of use, here, because the constant C_1 is not

- 15 known *a priori*, so (BC2) and (BC3) cannot be invoked. However, Matlab's \odot boundary value problem solver **bvp5c** offers the option of specifying one unknown "parameter" together with two second order boundary value problems and five (instead of the usual four) boundary conditions, and solving for the unknown parameter as well as the continuous variables. In the analytic problem, this would be equivalent to using 5 boundary conditions to solve for four unknown constants of integration and one unknown "parameter" (C_l), essentially what was described in Section 3.1.
- 20 The Matlab © function PBL_analy_numer, in Text S4 of Supporting Information, uses this technique to solve for the isotopologue profiles in the MBL. It calls the solver bvp5c (line 143). The solver bvp5c, in turn, calls the function res (line 416), for the boundary conditions. Since C₁ appears in (BC2), it can be designated by res as an "unknown parameter", and the five other boundary conditions (BC2-6) can be specified. The boundary value problem that governs the isotopologue profiles in the MBL is thus completely determined.

25 3.3 Summary

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Table 2 contains a list of the eight model parameters that must be specified based on environmental information, and the eight model outputs (either prognostic or diagnostic variables) that are routinely calculated by the model (others can be added). Remember that C is a vector of dimension 3, corresponding to three isotopologues.

4 Data for Model Validation

We use seven published data sets for verification of and comparison with our model output. All of these data sets were collected by shipboard measurements. The summary information is included in Table 3, and cruise tracks are illustrated in Figure 2. Samples from these cruises cover a wide range of the world oceans, from the Arctic Ocean to the northern coast of Antarctica.

- 5 For earlier data sets, i.e., those by Uemura et al. (2008) and Kurita (2011), samples were collected by the cold trap method, and each sample represents an average of 2-12 hours of vapor trapping. Data from the latter five cruises reported by Benetti et al. (2017) were collected by isotope vapor analyzers with the reported instrument model included in Table 3. Benetti et al. (2017) published data sets with either 15 min or 6 hr resolutions; the 6-hour average data are used for this work. The sea surface temperature (SST), which was either directly measured or estimated by the authors, is reported in all datasets. The
- 10 relative humidity with respect to SST, RH_{SST}, is either reported (Benetti, et al., 2017) or can be calculated based on the measured air temperature and relative humidity at the sampling height. Both SST and RH_{SST} are important variables in our model validations.

5 Distribution of Parameters for Verification Runs

In this section, we discuss the ranges of parameter values used in the IMBL model verification simulations. The values are summarized in Table 4.

5.1 Sea Surface Temperature (SST)

The range of SST used in the simulations was from -2 to $+30^{\circ}$ C, covering the range of the cruise data sets in Table 3.

5.2 Heights h1, 2, 3

A finite span of values was not used for either h_2 or h_3 , because results are insensitive to both, and computations were thus 20 reduced in number. The single value used for the MBL height (h_3) was 1000 m, a typical MBL height (Stull, 1988), especially in convergent vapor source areas. Similarly, 650 m was the only value used for h_2 . On the other hand, a full range of values was used for h_1 , because an informal survey of marine radiosonde data suggests that h_1 may range from 50 to 200 m and our results are sensitive to the value of h_1 .

5.3 Eddy Diffusivity Kmax

- The eddy diffusivity, *K*, in the atmosphere boundary layer varies widely over many orders of magnitude. Stull (1988) cited values from 0.1 to 2000 m² s⁻¹, with typical values on the order of 1 to 10 m² s⁻¹ for the atmosphere boundary layer. Olivié et al. (2004) presented a calculated range of 0.01 to 3000 m² s⁻¹ in the lowest 3 km of the atmosphere for 15 days in July, 1993 at two continental and one marine locations; their maximum value (*K_{max}*) above the Pacific ocean location ranged from about 3 to 300 m² s⁻¹. Holtslag and Boville (1993) reported calculated zonal and 31-day average eddy diffusivities between 60°S and
- 30 60°N; K_{max} ranged from 20 to 60 m² s⁻¹. For K_{max} greater than 10 m²s⁻¹, model isotopic ratios change only negligibly. At K_{max}

values less than 1 m²s⁻¹, the kinetic isotopic fractionation increases significantly as K_{max} decreases. We, therefore, use a K_{max} range from 0.01 to 100 m²s⁻¹, to obtain the full extent of kinetic fractionation.

5.4 Properties of Subsiding Air (r_E , C_E , β)

Modeling of convergence requires knowledge of the mixing ratio of the descending air (r_E in g vapor kg⁻¹ dry air) and its isotopic compositions (C_E), as well as its proportion (β) in the air converged into the MBL. Recall that C_E is a vector of length three, corresponding to the concentrations of the three modeled isotopologues. The C_E value of H₂¹⁶O is only very slightly less than r_E , while values of C_E for the other two isotopologues can be obtained from r_E and isotopic ratios (δ D and δ ¹⁸O) of the vapor.

Vertical profiles of r_E over the ocean have been well observed. We used standard resolution radiosonde data from the 10 University of Wyoming (http://weather.uwyo.edu/upperair/sounding.html) to examine typical tropospheric values and vertical profiles of the mixing ratio. Generally, the mixing ratio decreases rapidly with height within the lower troposphere, approaching zero above the mid troposphere. Subsiding air originating in the high troposphere has a correspondingly low mixing ratio. For example, at 500 hPa, the summer-averaged mixing ratio value in the ECMWF (European Centre for Medium-Range Weather Forecasts) data varies from 0.5 to 2 g kg⁻¹. Most cruise data in Table 3 were obtained between summer and 15 fall, particularly the high latitude ones, and we thus use a range of 0.5 - 2 g kg⁻¹ for r_E (Table 4) in the simulations.

Measurements of the isotopic composition of vapor are scarce at high altitude. Worden et al. (2007) determined the isotopic composition of tropospheric water vapor from global satellite observations. Values of δD averaged over the altitude range corresponding to pressures between 800 and 550 hPa were found to vary from -180% to -250% over the extra-tropical ocean. A more recent update reported δD values from -140% to -250% between 900 and 425 hPa (TES_{v5} from Sutanto et al., 2015).

- 20 Ehhalt's (1974) measurements from aircraft above the Pacific Ocean offshore of Santa Barbara, California showed vertical variations of δD from -96‰ to -462‰ between 15 to ~10,000 m for all seasons. The averages for all seasons range from 205 at 800 hPa to -303‰ at 550 hPa. Ehhalt's range is lower, but overlaps the range of satellite values (Worden et al., 2007; Sutanto et al., 2015). There are no corresponding measurements of δ¹⁸O. For the verification simulations, we use a representative value of -239‰ for δD, and -33‰ for δ¹⁸O (Table 4). Although this choice is somewhat arbitrary, we show
- 25 that it is adequate for most cruise data sets. To demonstrate the effect of this value, we also show model results with δ^{18} O of 28‰, as a comparison.

The proportion, β , of mid-tropospheric air within air converged into the modeled column of the MBL varies with atmospheric conditions including MBL stability, wind speed, and surface roughness. We use a range of values for β from 1% to 10%, which are conjectured values, in the verification simulations.

5.5 Upward Velocity (*w/ρ*)

NCEP/NCAR reanalysis data (Kalney et al., 1996) show that the upward velocity at 850 hPa ranges globally from 0.01 to 0.4 Pa s⁻¹ in magnitude. A typical value of *w* is 0.1 Pa s⁻¹ over the ocean in summer. <u>Higher values of upward velocity can be</u> driven by deep convection, which may, in turn, be driven by, *e.g.*, long wave radiative cooling at cloud tops. However, the

5 <u>sensitivity of MBL isotopic ratios to w decreases with larger w.</u> We <u>thus</u> use a range from 0.012 to 0.18 Pa s⁻¹, corresponding to 0.01 to 0.15 m s⁻¹.

5.6 Other Parameters and Constants

In addition to the parameters discussed above, a few more parameters and/or constants are needed for the simulations. For the isotopic compositions of ocean water, both δD and $\delta^{18}O$ are set to zero. The molecular diffusivity of H₂¹⁶O in air is assumed

- to equal that of bulk water vapor, whose temperature dependence in m²s⁻¹ is given by the polynomial fit to Bolz and Tuve's (1976) data (Nellis and Klein, 2009), $K_m = -2.775E-6 + 4.479E-8*SST + 1.656E-10*SST²$. The molecular diffusivities of H₂¹⁸O and HD¹⁶O are both smaller than that of H₂¹⁶O by factors of 0.9723 and 0.9755, respectively, based on values of Merlivat (1978). The turbulent diffusivity at the top of the MBL is set to 100 K_m ; while there is little data with which to justify this choice, it suffices because the results are insensitive to it.
- 15 The values listed in Table 4 yield 2835 combinations, the result of which is the set of model results we discuss in the next section.

6 Results and Discussion

In this section, we discuss the characteristics of the model output and their physical significance, and compare the output with observations. We first show vertical profiles of isotopic properties of vapor in the MBL for a representative set of parameters,
and then we present the entire set of result of 2835 calculations. These results are then compared with cruise data in both δD vs. δ¹⁸O and d-excess vs. δ¹⁸O spaces. We end the section with a discussion of model limitations and potential future developments.

6.1 Characteristics of Model Results and Model Validation

While a full discussion of parameter sensitivities and the associated physical processes is the subject of an anticipated
 companion paper, we point out a few major features of the model output that will guide our discussion of model validation.
 We start by presenting vertical profiles of δD, δ¹⁸O and d-excess. We do so to emphasize that this model is a true 1D model, unlike Craig-Gordon type models. We also emphasize the points that 1) there are strong gradients near the air-sea interface, and 2) all isotopic vapor observations made during marine research cruises are done at a single height, corresponding to just one point of each of the δD, δ¹⁸O and d-excess profiles. We then discuss the δD-δ¹⁸O and d-excess-δ¹⁸O relationships, which

are of major importance to the isotopic interpretation of vapor and precipitation (both modern and ancient such as tree rings and ice cores).

6.1.1 Vertical profiles

As a one-dimensional model, the IMBL model yields the vertical distribution of the isotopic quantities δD, δ¹⁸O, and d-excess
(=δD-8δ¹⁸O). Figure 3 illustrates a typical result. Vapor isotopic values δ¹⁸O and δD are both high near the sea surface, where vapor is in equilibrium with ocean water. With increasing height, isotopic ratios and humidity decrease because of the mixing of MBL vapor with isotopically depleted vapor that descends from the upper atmosphere outside, and then is converged into, the modeled column. The upper atmosphere vapor has much lower values of both δD (-239‰) and δ¹⁸O (-33‰), but a higher value of d-excess (25‰), than vapor in equilibrium with ocean water.

- 10 The profiles in Figure 3 display strong curvature with very steep gradients near the sea surface, diminishing to negligibly small gradients throughout the MBL. This curvature arises from the rapid change of K from very small molecular values within the thin laminar layer near the water-air interface to large turbulent values above the laminar layer. In this work, the thickness of this layer is characterized by z^* , the height of the crossover between molecular and turbulent diffusivities, below which turbulent diffusion is suppressed (See Eqs. 4 and 5).
- 15 The small molecular diffusivity that dominates diffusion in the laminar layer -- in particular, its differences among isotopologues -- is the cause of kinetic fractionation. Kinetic isotope fractionation is reflected by d-excess that changes more sharply near the surface than does either δD or $\delta^{18}O$. The smaller inset of d-excess vs. height plot shows variations within 20 cm of the water-air interface. The z^* value, which is 2.7 cm in this particular run, is indicated in the inset by the dashed line. The effect of turbulent diffusion increases with height, and thus the rate of change in d-excess with height decreases rapidly as the height increases
- 20 as the height increases.

Most in situ observations are conducted at a fixed height above the sea surface. The seven cruise data sets (Table 3) were collected at heights between 10 and 20 m. In these cases, each measurement represents an air sample at a given height along a vertical profile. As shown in the one calculation depicted in Figure 3, isotopic gradients are greatest near the sea surface; in this example, over just 15 m (which is only 1.5% of the total height of the MBL) δ^{18} O, δ D and d-excess achieve 58, 43, and

25 88%, respectively, of the change toward the relatively constant values between h_2 and h_3 (650-1000 m). Above 10 m, isotopic change with height is relatively slow. For example, in this particular calculation, at 15 m the δ^{18} O, δ D, and d-excess values are -15.6, -112.6%, and 12.2%, respectively; they change by only 0.50, 3.56, and 0.40\%, respectively, between 10 and 20 m.

6.1.2 The δD vs. $\delta^{18}O$ relationship

Each of the 2835 combinations of parameter values described previously was used for one model run. Isotopic ratios were 30 calculated at 15 m above the sea surface and plotted in δD - $\delta^{18}O$ space (Figure 4, main graph). The choice of 15 m height for Figure 4 is somewhat arbitrary, but is approximately the average of the observation heights, that range from 10 to 20 m, for in the seven data sets we compare our results with (Table 3). In the upper small inset, superimposed in red over the 15 m values are isotopic ratios at both 0 m (in equilibrium with seawater at 5° C) and 15 m for the particular simulation presented in Figure 3, giving a different perspective on the vertical isotopic change. Vapor at 15 m for this particular run has about average

- 5 deviation from the sea surface equilibrium vapor. Other runs may have larger or smaller vertical gradients in either or both δD or $\delta^{18}O$. The magnitude of the vertical gradient is reflected by the value of z^* . Among the 2835 runs, the distribution of z^* is right skewed with a range from 0.001 to 52 cm and a median of 2.8 cm. This median z^* value is similar to and thus well represented by the particular run in Figure 3 ($z^*=2.7$ cm). As discussed earlier, most changes occur below 10 m; above 10 m the change in isotopic composition is relatively minor.
- 10 The lower small inset in Figure 4 shows a comparison of two sets of simulations (2835 runs each) using different oxygen isotopic ratios for the upper atmosphere air. Only the boundaries of the output areas are shown, with blue being identical to the main graph, and red indicating the range of results produced using -28% (rather than -33%) for the δ^{18} O value of the upper atmosphere vapor.

The output in δD-δ¹⁸O space (Figure 4) defines a quadrilateral with each corner labeled A through D. The edges (BC, CD, DA
and AB) have specific physical significance. Line BC (line *b*) connects all points (squares) representing isotopic values of vapor in equilibrium with seawater, for the range of sea surface temperatures considered. With increasing sea surface temperature, the points shift from lower left (C) to upper right (B). Points close to this line reflect model parameters that permit very little kinetic isotopic fractionation to occur between the sea surface and 15 m, and very little influence of descending air (whose isotopic composition is point E). Close examination reveals that the points near line BC were generated with the largest

20 turbulent mixing coefficients (highest K_{max}), and a very small fraction of external air (small β ~0.01). Consequently, z^* values are very small (~1x10⁻⁵ m), and the relative humidity with respect to SST, RH_{SST}, is close to saturation, both of which are responsible for the small <u>degree of kinetic effectisotopic fractionation</u>. Large K_{max} also creates well-mixed MBL, which is <u>consistent with the simulated low isotopic gradients between the sea surface and 15 m-</u>.

Line CD bounds points that have the smallest deviation from the straight line CE (line *c*) that represents mixing of vapor in equilibrium with SST at the coldest temperature considered (-2°C, point C) and vapor from the descending high altitude dry air (E). Increasing contribution from kinetic isotopic fractionation moves points increasingly above this line (see further discussion below). Therefore, points on this line represent no kinetic fractionation, with the influence of upper atmosphere air increasing from C to E. In other words, if the SST is -2°C, line CE represents a lower bound on isotopic mixing. At a fixed SST and ocean isotopic ratio, this line rotates with changing isotopic ratios in the air aloft, for example, line CF in the lower

30 inset of Figure 4. Similarly, mixing lines between equilibrium vapor at higher SST's should be straight lines connecting point E and points along line *b* representing vapor in equilibrium with seawater at different temperatures. For example, if the SST is 30°C, then the mixing line would be BE (not shown), and all isotopic ratios of vapor evaporated from this sea surface should be above this line.

The points along line AB represent vapor evaporated from ocean water at SST=30°C. Their spread reflects the influence of kinetic fractionation; moreover, they are not significantly influenced by mixing with upper atmosphere air. This inference is

- 5 supported by their small values of K_{max} (0.1 m² s⁻¹), large z^* (0.3-0.5 m), and low r_E of the upper atmosphere (0.5 g kg⁻¹). Theoretically, the slope of AB should have a limit of 0.88 (shown as line *a*), the ratio of the kinetic fractionation factors of HDO and H₂¹⁸O (25.1 and 28.5‰, respectively, because K_m/K^*_m =1.0251 and 1.0285, respectively, where the star represents the heavy isotopologue; Merlivat, 1978). With the set of parameters in Table 4, the slope of AB is about 1.5, slightly greater than its lower limit (0.88). Therefore, line *a* sets the upper bound for vapor isotopic ratios for SST of 30°C. In other words,
- 10 the theoretical limit for the highest isotopic ratios at a given SST should be along a line that starts from a point representing vapor in equilibrium with seawater ($\delta^{18}O=0$, $\delta D=0$ in this calculation) at this temperature and extends to the lower left with a slope no less than 0.88.

Line AD bounds isotopic compositions reflecting the entire range of SST values; both kinetic fractionation and mixing with the upper atmosphere have significant influences on these points. The ambient conditions are characterized by small K_{max} (0.01)

- 15 m² s⁻¹), large $\beta(0.1)$ and relatively high z^* values (0.1-0.5 m). This AD "line" is not as strictly defined as other lines in that it does not have an absolute theoretical limit and so may change with the range of parameter space. In subsequent discussion, we refer to line *a* as the upper limit, line *b* the side limit, and line *c* the bottom limit of the vapor distribution in the δD - $\delta^{18}O$ space, consistent with their positions in Figure 4.
- In summary, the shape of the output in Figure 4 is controlled by three factors, 1) the SST, 2) the degree of kinetic isotopic 20 fractionation, and 3) the influence of upper atmosphere air. While SST is relatively independent of other factors, kinetic 20 fractionation and effect of upper air depend on various combinations of atmospheric conditions, including the intensity of 21 turbulent mixing (K_{max}), the mixing ratio of the descending air (r_E) and its isotopic ratios, the proportion of upper atmospheric 22 air advected into the evaporation column (β), and the vertical velocity (w). Note that in this model, the relative humidity with 25 conditions of the MBL that affect the isotopic ratios, although it also feeds back on kinetic isotopic fractionation by controlling 25 the vertical gradient for vapor diffusion.

Model output and observational data for each individual cruise are compared in Figure 5. Model output is calculated at the observation height of the corresponding cruise, indicated in the graph. Also included in each plot are compositions of vapor in equilibrium with seawater at the lowest and highest SSTs of the cruise. The theoretical borders under specific cruise conditions

30 are shown as solid lines; observed isotopic ratios are expected to fall within these theoretical limits (if consistent with the assumed ocean water and descending air isotopic ratios).

We make the following observations of Figure 5. First, the vast majority of the observed data (~95%) do indeed fall within the expected range. This confirms not only the successful conceptualization of the model but also that our choices of parameter values are reasonable.

Second, in all seven data sets, the influence of the isotopically depleted vapor from descending air is demonstrated by points with low isotopic ratios. These compositions are difficult to model using C-G type models, particularly with the invalid closure assumption (e.g., Jouzel and Koster, 1996; Benetti et al. 2015). This result highlights the importance of convergence in affecting boundary layer vapor isotopic ratios, as it introduces dry, depleted air from aloft into the MBL. Such influence of upper atmosphere air on the boundary layer has been recognized by Benetti et al., (2015, 2018), although for quiescent subsidence regions that our model does not treat. Furthermore, their conceptual treatment is still based on the closure

10 assumption.

Third, for the ACTIV cruise (Figure 5c), a number of points fall below the lower limit, suggesting that the isotopic ratios of the descending air we used for the simulation may not be representative in this area during the observation period. The mismatch suggests a value that is more enriched in ¹⁸O, or depleted in deuterium, or both, than the values used for the simulation.

- 15 Fourth, in four cruises (PIRATA, STRASSE, Bermuda and RARA; Figure 5d-g), there are points that are above the upper limit. However, in all cases except RARA, the enrichment above the upper limit is small in magnitude, and may be explained by slight variations in seawater isotopic ratios. For RARA, however, the enrichment above the upper limit is significant. One possible explanation is the influence of sea spray. When describing the sampling conditions, Benetti et al. (2017) particularly noted that they could not completely rule out the contribution of small droplets of sea spray to the vapor composition. However,
- 20 such an influence seems relatively small considering the great leverage of seawater isotopic composition. Figure 5h shows the direction and magnitude of sea spray influence; the mixing of sea spray droplets should cause enrichment such that the data would be distributed in the triangular area bordered by the dashed lines. Detailed examination of cruise logs in the future will be helpful to confirm and quantify the sea spray contribution to MBL vapor.

In summary, by comparing calculated values and observational data in $\delta D-\delta^{18}O$ space, we conclude that the model is remarkably successful. We pointed out three factors that may cause observations to fall outside the predicted range, namely 1) variation in ocean water isotopic ratios, 2) variation in the isotopic ratios of the upper atmospheric vapor, and 3) influence of sea spray on vapor isotopes. In section 6.2, we discuss several other model assumptions that may limit the consistency between model results and observations.

6.1.3 Deuterium excess (d-excess)

30 The relationships between d-excess and both sea surface temperature (SST) and relative humidity with respect to SST (RH_{SST}) have been intensively discussed by the isotope hydrology community. Originally defined by Dansgaard (1964) for precipitation

as $\delta D - 8\delta^{18}O$, d-excess has been used to infer conditions at the moisture source location. It has been demonstrated that dexcess varies with SST and inversely with RH_{SST} (Johnsen et al, 1989; Petit et al., 1991). Later investigators have used these concepts to infer changes in moisture source conditions recorded in polar ice cores (e.g., Vimeux et al., 1999; Masson-Delmotte et al., 2005a, 2005b).

- 5 The relationships between d-excess and SST, and between d-excess and RH_{SST}, are shown in Figure 6. Our model, as expected, exhibits a significant dependence of d-excess on both SST and RH_{SST}. Regression of d-excess against SST explains 16% of the variance in d-excess, with a coefficient of 0.35‰ °C⁻¹. Regression against RH_{SST} explains 78% of the variance in d-excess, with a coefficient of -0.43‰ %⁻¹. These values are very similar to d-excess sensitivities of 0.35‰ °C⁻¹ to SST and -0.45‰ %⁻¹ to RH_{SST}, respectively, cited by Vimeux et al., (1999) based on calculations by Johnsen et al., (1989).
- 10 All three processes discussed earlier, i.e., changing SST, degree of kinetic fractionation, and extent of mixing with the subsiding air, result in changes in d-excess. This is seen by the fact that the theoretical lines in Figure 6 representing each of the three processes have non-zero slopes. Although at the sea surface d-excess increases with SST, a much larger spread occurs at 15 m due to the height-dependent influence of the descending air and kinetic fractionation. For each value of SST, the points at 15 m form a triangular area, within which d-excess varies significantly (Figure 6a). Such a triangular distribution of isotopic
- 15 data in the d-excess vs. δ^{18} O space has been reported by Steen-Larsen et al. (2015) for observations off the coast of Iceland. This two-dimensional distribution explains the significant, though relatively poor, correlation between d-excess and SST.

Figure 6b shows that the lowest RH_{SST} tends to correspond to the highest d-excess distributed near corner A. However, near point D, where d-excess is also relatively high compared with values at the water-air interface, RH_{SST} is relatively high and kinetic fractionation is limited. Therefore, while d-excess tends to increase as RH_{SST} decreases, the relationship is not one to one (note how color changes horizontally in Figure 6b). Another way to see this is to trace the color change along lines parallel to CB in Figure 6a for changes in SST, and parallel to AB in Figure 6b for changes in RH_{SST}. Interestingly, along CD, neither

SST nor RH_{SST} varies significantly, regardless of substantial variation in d-excess. Obviously, RH_{SST} is not the sole influence on d-excess, and even the combination of both RH_{SST} and SST does not completely determine d-excess in the MBL.

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- Data from all cruises are shown in Figures 6c and d. In order to pool a larger quantity of data, we ignore here the minor 25 differences in sampling heights among the seven data sets. The match between observed and simulated patterns is excellent. First, ~95% of data fall within the theoretically predicted region (the percentage may be slightly less than 95%, because the simulation here is done only at 15 m without considering the sampling height of each cruise). This comes as no surprise given what was already seen in δD-δ¹⁸O space (Figure 5). Factors that cause a small number of observational points to fall outside the predicted region were discussed earlier, and we do not repeat that discussion here. Second, the dependence of the observed
- 30 d-excess on RH_{SST} and SST, as shown by the color distributions, is very similar to that of model calculations. For SST, the color along lines parallel to CB changes from green to red with a d-excess increase. Similarly, RH_{SST} values are relatively high near lines CB and CE, and decrease (with significant noise) towards corner A as d-excess increases. Finally, as predicted, the

observed d-excess correlates significantly (p<0.0001) with SST and with RH_{SST}. The sensitivity of d-excess observations to RH_{SST} is -0.36% %⁻¹, comparing favorably with corresponding model sensitivity of -0.43% %⁻¹. For SST, the sensitivity for observations is 0.15‰ °C⁻¹, about half of that predicted by the IMBL model (0.35 ‰ °C⁻¹) using SSTs ranging from -2 to 30°C. Detailed discussion of the sensitivity differences between simulations and observations is beyond the scope of this paper, and a full understanding of these relationships should be an important goal for future investigations.

6.2 Model Applicability, Limitations and Future Development

The IMBL model described here has considerable potential value for many isotope hydrology applications. First, as vapor isotopic measurements become increasingly available, application of the model at different locations and times of year provides a vehicle to explore and understand relationships between meteorological conditions and isotopic compositions of vapor both within the MBL and delivered to the free atmosphere. Comparisons of simulations and observations with much more intensive

- 10 within the MBL and delivered to the free atmosphere. Comparisons of simulations and observations with much more intensive observations than cited in this work may be conducted. For example, during an isotopic vapor measurement campaign, measured variations of the isotopic composition of ocean water and vapor may be combined with model calculations to constrain the diffusion coefficient, which may then be related to sea surface roughness, wind speed, vertical velocity, and sea spray occurrence. Second, the output of this model, i.e., the isotopic ratio of vapor delivered to the free atmosphere, can be
- 15 used to provide initial conditions for Rayleigh-type condensation or transport models. The sensitivity of precipitation isotopic ratios to the range of meteorological conditions at the moisture source region and their change over time and space can be investigated for modern hydrological cycles in association with atmospheric circulation, as well as under ancient climate conditions. Third, an understanding of physical processes important for controlling the isotopic compositions of water (both vapor and precipitation), gained from these applications, can be used to improve the physical representation of marine boundary
- 20 layer processes in GCMs.

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This IMBL model may not adequately describe several meteorological scenarios, and its use under those conditions should be made with caution. First, the model requires that the air column in the model domain have a positive (upward) vertical velocity, i.e., air must be converging and rising. This assumption is made to ensure that the model column represents a moisture source area, delivering vapor to the free troposphere where it will ultimately produce precipitation. If the vertical velocity is negative (subsiding air), the air in the column diverges rather than converges, meaning that the mass conservation equations used here would not be correct. However, a modest formulation of the governing equations could allow for sinking air (Welp et al., 2018). Either way, the important outcome is that upper atmosphere vapor is incorporated into the MBL. It is possible that isotopic distribution changes somewhat with specific mixing scenario, while the theoretical limits of isotopic distribution remain the same as shown by this work. Second, the model does not include exchange between vapor and liquid if air is supersaturated, forming clouds or precipitating. The model is thus strictly applicable only for meteorological conditions with

30 supersaturated, forming clouds or precipitating. The model is thus strictly applicable only for meteorological conditions with no cloud base below h₃, the top of the MBL (1000 m, here). Third, as discussed earlier, the model does not include the effects of sea spray. Fourth, the modeled column is not subject to horizontal advection (except for convergence). Fifth, the IMBL is a steady state model. We envision future improvements in the IMBL model. In particular, we anticipate generalization of the model to include areas of divergence (descending air), areas with sea spray, and/or terrestrial areas.

7 Conclusions

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We have constructed a new isotope marine boundary layer (IMBL) model to calculate the isotopic composition of vapor in the 5 marine boundary layer as well as that of vapor lifted to the free atmosphere. The model divides the MBL into three layers, each with its own transport characteristics. Compared with earlier Craig and Gordon (1965) type bulk evaporation models, this 1-D (vertical) model makes the two following improvements. First: 1) It explicitly includes the process of horizontal convergence in the middle layer; convergence provides mass to balance the upward advection supplying moisture for cloud formation and precipitation in the free atmosphere. This formulation requires specification of the properties of subsiding air 10 that mixes with low altitude air and converges into the model column. 2) TheSecond the eddy diffusion coefficient is heightdependent, equal to the molecular diffusion coefficients for each isotopologues at the air-water interface, and increasing linearly through the lower layer to a maximum value, K_{max} , remaining constant through the middle layer, and decreasing linearly to K_t over the top layer. The advantages gained from these two improvements include 1) the model solves for both isotopologue concentrations in and fluxes through the MBL, not just fluxes; 2) kinetic isotopic fractionation becomes a 15 diagnostic variable rather than a required parameter, without adding to the total number of parameters (degrees of freedom) of the model; 3) relative humidity is also no longer a specified parameter, but rather becomes a diagnostic variable, thus enabling the use of the model to investigate and possibly predict evaporation rates; 4) calculation of vertical profiles of concentrations and fluxes of isotopologues (or isotopic ratios), providing an opportunity to compare model output with observations at a specific height or multiple heights; and 5) the air at the top of the MBL (at $z = h_3$) is the air mass supplied to the beginning of 20 a Rayleigh trajectory, which can be used in for many isotope studies.

The standard output of the model includes vertical profiles of δD , $\delta^{18}O$ and d-excess. Near the sea surface, δD and $\delta^{18}O$ are high and close to equilibrium with the ocean water, and d-excess is low. With increasing altitude, δD and $\delta^{18}O$ decrease due to both kinetic fractionation and mixing with converging isotopically depleted air that contains subsided air from the free troposphere. Kinetic fractionation near the sea surface causes d-excess to increase rapidly with height, particularly between the air-sea interface and height z^* , where molecular diffusion dominates over turbulent mixing.

Model simulations using reasonable ranges of parameters are validated using seven sets of shipboard MBL observations. The resulting range of δD and $\delta^{18}O$ forms a quadrilateral-shaped pattern in the δD - $\delta^{18}O$ plane. Three processes generate boundaries for the quadrilateral, or constraints on the isotopic ratio distributions, including 1) the set of vapor isotopic ratios in equilibrium with seawater at various SST 's (right side boundary), 2) mixing between vapor descended from the upper atmosphere and

30 vapor in equilibrium with seawater at the air-water interface (lower boundary), and 3) kinetic isotopic fractionation that starts with equilibrium vapor and extends to more depleted values of δD and $\delta^{18}O$, with a slope no less than 0.88 (upper boundary).

About 95% of observations fall into the theoretically predicted quadrilateral region, demonstrating the success of the model conceptualization and parameter choices. This remarkable agreement highlights the importance of convergence and entrainment of descending, isotopically depleted air to boundary layer isotopic ratios. This feature is new to this model, and was not considered in earlier simple models, although some (e.g., Benetti, 2015) do include mixing by mathematically

- 5 unspecified mechanisms other than convergence, in meteorologically regions distinct from those we consider. The simulationobservation comparisons also point to at least three factors that may explain the 5% of data falling outside the predicted region, including 1) variations in seawater isotopic ratios, 2) inaccurate choice of isotopic ratios for the subsiding air, and 3) influence of sea spray. It is also possible that meteorological scenarios not explicitly considered by the model are responsible for the relatively minor model-data mismatch. Such factors may include low level air divergence (downward vertical velocity in the
- 10 middle and upper MBL), vapor-liquid exchange (during precipitation events or within clouds), and the presence of lateral advection.

Simulated d-excess compares remarkably well with observations. While the effects of sea surface temperature (SST) and relative humidity with respect to SST (RH_{SST}) are well-understood, we draw attention to the influence of the upper atmosphere air in controlling d-excess in the marine boundary layer. In this simulation, the d-excess value of the descending air is greater

15 than that of vapor in equilibrium with seawater, and the contribution of the former to MBL air results in an increase in d-excess of vapor, even in the absence of kinetic isotopic fractionation.

The IMBL model can be used in a number of ways. First, numerical experiments with the model help to better understand the effects of boundary layer processes and climatic conditions on isotopic compositions of vapor within and vapor fluxes through the MBL. For example, one may investigate how boundary layer stability, turbulence

- 20 conditions, vertical velocity, convergence, and upper atmospheric moisture affect MBL isotopic distributions and how these effects change with space and time. A second application could be to investigate how temporal and spatial meteorological differences in moisture source regions affect the isotopic composition of remote precipitation under both modern as well as paleo-climate conditions. In this application, the IMBL model can be coupled with a Rayleigh distillation model to simulate isotopic evolution of vapor and/or precipitation from moisture source to a precipitation.
- 25 site. These simulations can be particularly powerful if also used in conjunction with a Lagrangian back trajectory programs to identify moisture source areas for a site of interest. Third, it is important to investigate the relationship between MBL isotopes and evaporation rate and, perhaps, to develop methods to measure the latter indirectly from simultaneous observations of isotopes and meteorological conditions. Since this IMBL model calculates the flux of each isotopologue (rather than just their ratios), it yields the evaporation rate. This opens up the possibility of using
- 30 <u>isotopic measurements to quantify evaporation rates under various boundary layer conditions.</u> Finally, the understanding gained from IMBL model simulations can be used to improve the representation of MBL processes in isotope-enabled GCMs.

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Table 1. Symbols Used

Symbol	Description	Units		
b	rate of increase of K with height	length time ⁻¹		
С	concentration of an isotopologue	isotopologue-mass dry-air-mass ⁻¹		
D	horizontal dynamic "convergence"	dry-air-mass-volume time ⁻¹		
h _{1,2,3}	height (z) at the top of the low, middle, and high layers, respectively	length		
F	vertical flux of an isotopologue	isotopologue-mass area ⁻¹ time ⁻¹		
K	kinematic diffusion (mixing) coefficient	length ² time ⁻¹		
K _m	K for molecular process	length ² time ⁻¹		
K _{max}	value of K in middle layer	length ² time ⁻¹		
r	mixing ratio	total-vapor-mass dry-air-mass ⁻¹		
w	dynamic vertical "velocity" (kinematic (convectional) velocity (length time ⁻¹) = w/ρ)	dry-air-mass area ⁻¹ time ⁻¹		
Z	vertical coordinate	length		
<i>z</i> *	laminar layer thickness scale	length		
β	mass fraction of air from aloft entrained into the MBL.	dimensionless		
ρ	density of air	dry-air-mass volume ⁻¹		
u	wind speed	length time ⁻¹		

Table 2: Model parameters, results and diagnostics

A. model parameters whose values must be specified
SST, $h_{1,2,3}, K_{max}, \beta, w_a, C_E$
B. model results (calculated variables)
$C(z)$, $r(z)$, and $F(z)$ at $z=0$ and $z=h_3$; z^* , E (evaporation rate)

Table 3. Source of data sets used for validation of the IMBL model

				Height		
				above		
				sea	Measure-	
		Time Period		surface	ment	
	Ship Name	(dd.mm yyyy)	Method	(m)	interval (hr)	Reference
Southern	R/V Umitaka-	30.12 2005-30.01				Uemura, et al.,
Ocean	maru	2006	Cold trap	15	2-12	2008
Arctic						
Ocean	R/V Mirai	01.09-05.10 2008	Cold trap	20	6	Kurita, 2011
STRASS						Benetti et al.,
Е	R/V Thalassa	16.08-13.09 2012	Picarro L2130i	17	6	2017
PIRATA						Benetti et al.,
FR 24	R/V Suroit	01.05-20.05 2014	Picarro L2130i	12	6	2017
						Benetti et al.,
RARA	S/V Rara Avis	26.01-11.06 2015	Picarro L2120i	10	6	2017
						Benetti et al.,
ACTIV	S/V Activ	23.06-20.09 2014	Picarro L1102-i	15	6	2017
	R/V Atlantic					Benetti et al.,
Bermuda	Explorer	26.09-11.10 2014	Picarro L2120i	11	6	2017

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Parameters	Values	units
Sea surface temperature (SST)	-2, 5, 10, 15, 20, 25, 30	°C
Turbulent diffusion coefficient (K_{max})	0.01, 0.1, 1, 10, 100	$m^2 s^{-1}$
Upward velocity (w/ρ)	0.01, 0.08, 0.15	ms^{-1}
Mixing ratio of subsiding air (r_E)	0.5, 1.2, 2	gkg^{-1}
Percentage <u>Fraction</u> of subsiding air (β)	$\underline{0.0}1, \underline{0.0}5, \underline{0.}1\theta$	<u>⁰∕₀</u>
Thickness of lowest layer (h_i)	50, 120, 200	m
Upper boundary of middle layer (h_2)	650	m
Height of MBL (h_3)	1000	m
δD and $\delta^{18}O$ of subsiding air	-239 and -33, (-28)	%0
δD and $\delta^{18}O$ of ocean water	0 and 0	%0

Table 4. Parameter values used in model verification simulations



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Figure 1: Cartoon of the MBL model. The modeled region is indicated by the cylinder. It is comprised of three layers – the low von Kármán layer, the middle convergence layer, and the top stabilizing layer. The heavy straight arrows represent the flow of semimoist air ascending through the middle and top layers, and through the top of the MBL into the free atmosphere (above the model) where clouds and precipitation may form, after which some depleted air from the model column or elsewhere sinks and mixes into the MBL and converges into the middle layer of the model (thin wiggly arrows). Vapor is advected by the vertical motion of air in the middle and top layers and is transported by vertical (diffusive) mixing in all three layers. To the right are graphs of w(z) (dashed red) and K(z) (solid blue) as they vary through the three layers.



Figure 2: Map showing the tracks for seven cruises that generated vapor isotopic observations used in this work. Information about each cruise is listed in Table 3 and the associated references.



Figure 3: Model simulation of vertical distributions of δ^{18} O (left), δ D (middle) and d-excess (right). Parameters are SST=5°C, K_{max} =0.1 m²s⁻¹, h_1 =120 m, r_E =0.5 g kg⁻¹, w_a =0.15 m s⁻¹, and β =0.05, and δ^{18} O, δ D and d-excess of subsiding air are -33, -239 and 25%, respectively. The horizontal solid lines mark the heights of h_1 and h_2 (120 and 650 m). The inset graph shows d-excess variation with height in the 20 cm just above the sea surface. The dashed line marks the value of z^* (0.027 m), below which molecular diffusion is more significant than turbulent diffusion.





Figure 4: δD vs. $\delta^{18}O$ relationship at 15 m height for 2835 model calculations (+). The output defines a quadrilateral with corners labeled by A-D. Also shown are δD and $\delta^{18}O$ values of the descending air (E, \blacklozenge), and isotope values of vapor in equilibrium with the seawater (\Box , along line *b*) at SSTs of -2, 5, 10, 15, 20, 25, and 30°C from C to B, respectively. Solid lines labeled *a*, *b*, and *c* bound the theoretical limits of vapor isotopic values, with *b* being vapor in equilibrium with seawater, *c* being a mixture of vapor at the sea surface and vapor from aloft, and *a* indicating vapor produced by maximum kinetic fractionation. The upper small inset replicates in gray the 2835 points from all calculations (+), plus red solid dots to indicate vapor isotope values at 0 and 15 m above the sea surface for the run illustrated in Figure 3. The lower small inset compares two quadrilateral regions produced by assuming different $\delta^{18}O$ values of the descending vapor. The blue area with labels A-E is identical to the main graph, and red quadrilateral corresponds

10 to descending air composition of -28‰.

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Figure 5: Comparisons between simulated and observed isotopic ratios for each of the seven cruises (a-g), and a redraw of the RARA data with ocean water composition indicated (h). For each cruise, the simulated values are calculated at the height of the observations, indicated in the plot. If not otherwise indicated, calculated isotopic values are shown as crosses (+), the values of the descending air as a diamond (\blacklozenge), and observations as red circles (\circlearrowright). For each cruise, vapor in equilibrium with lowest and highest SSTs is shown as two green squares (), with the temperature values indicated in the plot. Solid lines border the theoretical limits of isotopic distributions under the cruise conditions and model assumptions. For a-g, unless the depleted, descending air is indicated in the main graph by a blue diamond (all but c) it is shown in the insert.



Figure 6: Plots of d-excess vs. δ^{18} O, showing relationship between d-excess and SST for the simulation (a) and model-data comparison (c), and between d-excess and RH_{SST} for simulation (b) and model-data comparison (d). As in Figure 4, isotopic values are calculated at 15 m height. Points corresponding to those from A to E in Figure 4 are also shown, with point E being the isotopic composition of

5 the descending air. Straight lines are theoretical limits for processes labeled in (a) and (b) (also discussed in section 6.1.2). The horizontal dashed lines in (a) and (b) mark the d-excess value (10) of the global meteoric water line (GMWL).