

# High spatial resolution mapping and source-apportionment of aerosol composition in Oakland, California using mobile aerosol mass spectrometry

*Responses by Shah et al. to referee comments*

Referee comments are in regular font. Author responses are in red. Text quoted from the manuscript is *italicized*.

## Referee #1

This manuscript shows high-resolution spatial patterns of PM composition and amount measured by an AMS on a truck in Oakland. Overall, I found the paper to be well within the scope of ACP, the analysis was well done, and the paper was well written. I have several comments that I'd like addressed before publication in ACP.

We thank the referee for their constructive feedback.

General comment (because it shows up in a few places):

Bottom  $\frac{1}{3}$  of P18, but also the abstract and point #3 on P23: To me, the simplest explanation as to why SV-OOA is higher in downtown is that its the location thats farthest downwind (wind typically heading from west to east in Figure S16). The mid-day gradient in SV-OOA appears to be steadily increasing from west to east in Figure 9, rather than a step change to higher values when entering downtown. The air starts picking up SOA precursors when it first hits land (either on the west or east sides of the bay) and SOA forms as the air moves across Oakland. Certainly more precursors are being added in Downtown, which helps, but the air as simply had more time to make SOA from the precursors (or oxidize the HOA and COA) by the time the air reaches downtown. The proposed hypotheses in the manuscript that deal with enhanced photochemical activity seem like less straightforward explanations. Downtown might be in a high-NO<sub>x</sub> regime, which would lower OH (acting against the proposed HONO source). Unless I'm missing something, I dont know why the simple amount of time spent over land hypothesis isnt prominent in the paper.

The referee raises a very good point in this paragraph: SV-OOA is steadily increasing with inland distance. This indeed suggests the simple time-over-land explanation for the observed SV-OOA trends in Figure 9 (Figure 8 in revised manuscript). We have added this discussion to the manuscript:

*The increasing concentrations of SV-OOA with increasing inland distance (Figure 8) can be explained by the predominant Westerly winds (Figure S17). At the typical wind speed of  $\sim 15$  kmph, downtown is 10-15 min downwind from the Port. The additional processing of OA in this time can result in higher SV-OOA in downtown.*

However, this is not sufficient to explain the observed diurnal change in the spatial pattern of SV-OOA. The spatial gradient of SV-OOA is the largest in the morning (Figure 7 in revised manuscript), which is when primary emissions are also higher in downtown. This suggests that enhanced photochemistry could also be playing a role.

The referee mentions that downtown being in a high-NO<sub>x</sub> regime should lower the OH. This should be the case if the OH production rate is constant throughout the whole Oakland domain. However, if there is an additional OH source that helps to drive chemistry downtown (e.g., HONO emissions), then [OH] could be higher in that microenvironment. We did not measure VOC or NO<sub>x</sub>, so we cannot directly address the question of LO-OOA

production rates. However, as we discuss in the manuscript, the observation of elevated LO-OOA and sulfate downtown suggests faster photochemistry there. We offer HONO emissions from cars as one possible explanation. Herndon et al. (2008) previously showed that OOA formation scales with odd oxygen ( $\text{NO}_2 + \text{O}_3$ ), and odd oxygen has been shown to be elevated in street canyons (Villena et al., 2011); this would be consistent with the additional LO-OOA we observe downtown.

Specific comments:

Abstract: The final sentence is subjective and unnecessary, in my opinion. The paper shows many things that would seem to be unique to Oakland (in addition to things that are likely common with other urban locations).

We removed this sentence.

P2 L14-15: The length and time scales dont seem to match. Winds would need to be very stagnant ( $\ll 1$  km/hr) in order for “hundreds of meters” to correspond to “hours”.

We reworted this sentence. It now reads: *This rapid mixing occurs over tens to hundreds of meters downwind of the source (Canagaratna et al., 2010; Saha et al., 2018).*

P3 L7: need a comma after “legislations”

We added a comma there.

P3 L10: I had to look up what “drayage” meant, and I suspect others might not know as well. Since the word is used quite a bit in the paper, it may be worth giving a very brief explanation here.

We added a definition of drayage trucks the first time the term is used. It now reads:

*Several prior studies have focused on air quality in Oakland because of the influence of ships and associated drayage trucks (trucks that transport cargo between the port and warehouses) driving through the residential district.*

P6 L25-30: I know, generally, what bootstrapping statistical methods are, and I’ve used some. However, there was not enough info in this paragraph to really understand what was done (I could not repeat the analysis based on this description).

We added a description of the math. It now reads:

*Bootstrap resampling: In order to compare observations of OA and its factors across areas influenced by different emissions (Port, West Oakland and downtown), we first determined the precision of these measurements by resampling the pool of data occurring in these areas. The strength (i.e., number of elements) of a bootstrapped dataset was the same as the strength of the dataset collected in that area. For instance:  $\mathbf{M} = \{m_1, m_2, \dots, m_n\}$  was the original set of  $n$  measurements performed in an area (e.g., Port). From all  $n$  measurements in  $\mathbf{M}$ , a measurement was randomly drawn to populate a synthetic set  $\mathbf{M}'_1$ . Each random draw was from the original set  $\mathbf{M}$  with replacement i.e., independent of previous draws. The synthetic set  $\mathbf{M}'$  was populated until its strength was  $n$  (same as of the original set  $\mathbf{M}$ ). Generating such synthetic sets  $10^4$  times resulted in a bootstrapped pool  $\mathbf{M}_B = \{\mathbf{M}'_1, \mathbf{M}'_2, \dots, \mathbf{M}'_{10^4}\}$ . From this, a bootstrap statistic set,  $\mathbf{S} = \{\tilde{s}_1, \tilde{s}_2, \dots, \tilde{s}_{10^4}\}$ , was created where  $\tilde{s}_i$  was the median of the synthetic set  $\mathbf{M}_i$ . Finally, the difference between 5<sup>th</sup> and 95<sup>th</sup> percentile of all elements of  $\mathbf{S}$  was used as a dispersion statistic of the median. This results in a bootstrapped median and its 95% confidence interval for the area whose data were chosen to be the original dataset  $\mathbf{M}$ . We thus use the 95% confidence interval of the median as the precision of our measurements. Spatial differences larger than this precision are then deemed statistically significant. We are not*



*aware of any prior studies that report precision of OA factors.*

## Referee #2

General comments:

This paper presents a detailed study on aerosol composition, focusing on the organic components, in Oakland, California. A mobile laboratory carrying an aerosol mass spectrometer (HR-ToF-AMS) was used to map the city roads in about 160 hours of measurements, resulting in a highly spatial resolved data set. The organic aerosol was separated using PMF into HOA, COA, and SV-OOA. While the manuscript is technically very sound and presents the AMS data analysis in great detail, it falls short in the interpretation of the results. To my opinion, more could have been done (or has to be done) in this direction, before publication in ACP can be recommended. My detailed comments are listed in the following.

*We thank the referee for their constructive feedback.*

Major comments

1. As written above, the interpretation of the results is not satisfying. That a large city like Oakland has a strong HOA influence would have been expected. The authors emphasize that Oakland has one of the largest shipping ports in the US. Thus, I would have expected to see as a result a separation of the HOA influence between ship exhausts, port-related traffic (trucks) and individual car traffic. I do not find any of this in the results or conclusions sections, only a little of such an analysis in section 3.2. The title suggests that not only the spatial distribution but also the sources of aerosol particles are investigated. It would have been interesting to learn about the reduction potential for the PM burden in a city like Oakland, e.g. whether reduction of ship emissions, truck or car traffic have a higher impact on PM loads.

*We have made substantial changes to the section discussing BC measurements (Section 3.2 in ACPD version, section 3.4 in revised version with modified title). In addition to the OA/BC map that was in the ACPD version, we have added HOA/CO and BC/CO ratio maps to further bring out the influence of truck emissions at the Port. We also performed PMF separately on Port-only and downtown-only OA datasets and compared the two Port-HOA and downtown-HOA chemical mass spectra. However, unsurprisingly, the two factors look nearly identical, which underscores how challenging it is to determine HOA fraction from trucks v. cars. Regarding ship emissions: Tao et al. (2013) used particulate vanadium as a marker of ship emissions and found a median concentration of  $\sim 1 \text{ ng m}^{-3}$  in West Oakland. We attempted to extract a vanadium signal in the AMS data ( $m/z$  50.94), but the signal values were below the instrument detection limit ( $3 \text{ ng m}^{-3}$ ).*

*Lastly, the term “sources” in our title simply refers to the chemical nature of OA and its apportionment to distinct emission/formation types e.g., traffic, cooking, etc. using PMF. We changed the title of our manuscript to clarify this.*

2. The data analysis is restricted to AMS and black carbon data. But, as was written in section 2.2, also CO, CO<sub>2</sub> and particle number concentration was measured. Apparently neither CO<sub>2</sub> nor particle number were used here in the analysis. No correlation between CO and HOA is presented. I think that the addition of these parameters (e.g. ratios OA/CO, correlations between BC and CO, HOA and CO, HOA and particle number. . .) would be a benefit for the analysis.

We agree with the referee that we can make more from our measurements to distinguish emissions from cars and trucks e.g., by looking at relation between HOA and BC, HOA and CO, etc. We added a section addressing this truck/car comparison, as described in our response to the previous major comment.

Minor comments:

Page 2 line 24-25: Also in Paris (MEGAPOLI) COA was identified to be of high importance (Crippa et al., 2013b; Freutel et al., 2013)

We added this citation at this point in the text.

Page 7, Figure 2: The figure suffers from too much information. I suggest adding an extra figure in the Supplement with the number of unique samples and have in this figure only filled symbols color coded for OA.

Good suggestion. We followed it.

Page 8, lines 8.9: "Ambient measurements typically exhibit a positively-skewed distribution under the influence of local emission events." Really? Are three papers enough to say "typically"? And why is that so?

In ambient measurements, local emission events (e.g., driving by an open barbecue site while mobile sampling) and short-lived events (e.g., nucleation event measured by stationary monitor) will cause significantly high, narrow peaks in the time series of primary species (e.g., CO, NO<sub>x</sub>, particle number). The median is insensitive to these, but the mean can be very sensitive depending on number of data being averaged. Hence, mean > median and the distributions exhibit a positive skew. This behavior of pollutant probability distribution functions has been explained in Seinfeld and Pandis (2006). We cited the three papers because all of them performed mobile sampling in urban areas, and all of them attributed positive skews to local emissions. However, there are other examples, a mixture of stationary and mobile sampling studies, all of which report positively skewed distributions of primary species: Hoek et al. (2002); Apte et al. (2011); Von Der Weiden-Reinmüller et al. (2014); Tan et al. (2014, 2016); Zimmerman et al. (2018). We added the Seinfeld and Pandis textbook citation to our manuscript.

Page 10, lines 11-15: It was suggested by Sally Ngs group (e.g., Xu et al. (2015)) to replace "LV-OOA" and "SV-OOA" by "More-oxidized and less-oxidized oxygenated organic aerosol (MO-OOA and LO-OOA)". You may consider using LO-OOA instead of SV-OOA.

We replaced our factor terminology to LO- and MO-OOA.

Page 12: lines 0-5: Oceanic air masses: There is literature on marine OOA factors (e.g., Ovadnevaite et al., 2011; Schmale et al., 2013). There is some potential in this marine influence on aerosol properties. You could make more of it.

Schmale et al. (2013) reported a "MSA-OA (methanesulfonic acid OA)" factor. As the referee correctly pointed out, marine influence on aerosol properties can be impor-

tant. By correlating particulate sulfate with this MSA mass contribution, Schmale et al. (2013) indeed show that marine influence on aerosol properties can be important (Figure 1A). However, we do not observe this marine influence in our data. Multiple lines of evidence point to this assessment: a) the correlation between particulate sulfate and MSA in Oakland (our data; Figure 1B) is  $R^2 = 0.12$ , while that of Schmale et al. (2013) is  $R^2 = 0.72$ , b) the ratio of MSA/sulfate in Oakland is only  $\sim 0.01$ , while that reported by Schmale et al. (2013) is  $\sim 0.25$ , c) the relative contribution of this MSA factor to the total OA in Oakland is less than 1% while that reported by Schmale et al. (2013) is 25%. That Oakland is an urban area and the measurement location of Schmale et al. (2013) was a remote island in the Antarctic explains these differences between the two datasets. Similarly, the work of Ovadnevaite et al. (2011) reported measurements in a remote location in Mace Head, Ireland which had a significant influence from marine OA with minor urban sources.

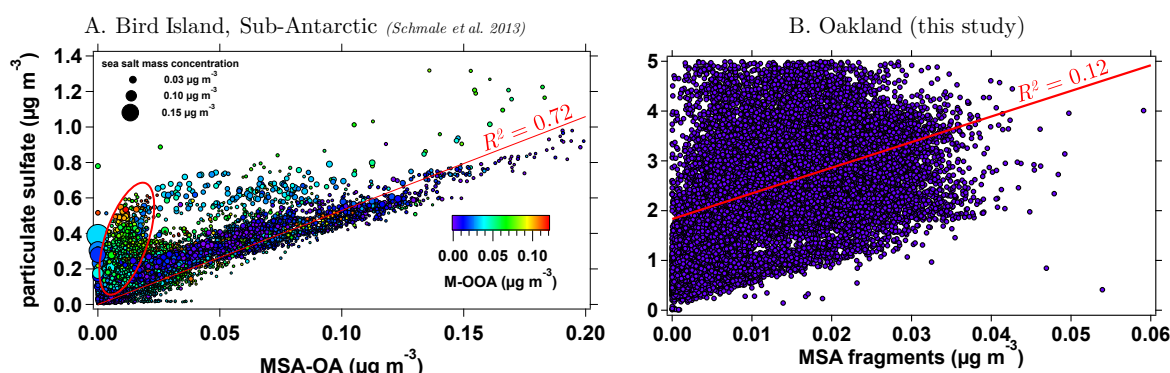


Figure 1: Correlation between particulate sulfate and methanesulfonic acid (MSA) OA reported in A) remote location of Bird Island research station in the sub-Antarctic region by Schmale et al. (2013) and B) Oakland (this study). Note: the axes on the two subplots are scaled differently. In the linear fit in the left subplot, Schmale et al. (2013) excluded data with M-OOA  $> 0.01 \mu\text{gm}^{-3}$  (e.g., data cluster in the ellipse), where M-OOA was a highly oxygenated PMF factor ( $\text{O/C} > 1$ ), attributed to background wind trajectories.

Contrasting to these two studies in remote locations, Crippa et al. (2013a) and Mohr et al. (2015) performed measurements in urban areas and their PMF results showed urban OA factors (HOA, COA, SV-OOA). Similar to our results from Oakland, Mohr et al. (2015) revealed no marine OA influence in Barcelona, despite it being a coastal location and receiving sea breezes. On the other hand, Crippa et al. (2013a) reported a marine factor in Paris. Paris receives influence from different directions (urban as well as clean marine wind masses). Due to these very different static contributions to the total OA, the PMF analysis of Crippa et al. (2013a) was able to identify a distinct marine factor. However, in the case of Oakland, we are unable to mathematically show a distinct marine factor presence because the wind directions remain relatively stable. As a result, rather than identify a distinct marine factor, PMF performs what is likely an artificial splitting, as explained in our discussion on “*Quality of PMF solution*”.

We added the above figure and discussion to the supporting information.

Summary and conclusions: As already mentioned above, this section is very short. I think a statement on the relative influence of ships at the port, trucks at the port, and individual car traffic on the aerosol burden in the city would have been the desired output

of this study.

We have added some text addressing this gap.

Technical

Page 6, line 22: Link to appendix is missing

We added this link.

Page 12, line 34: Oberdörster

We corrected this error.

## Additional changes made by authors

- All occurrences of  $\mu\text{gm}^{-3}$  were changed to  $\mu\text{g m}^{-3}$ .
- A few weeks after our submission to ACPD, the study of Preble et al. (2018) was published, reporting the exhaustion of diesel particulate filters and its influence on BC emissions at the Port of Oakland. This being very relevant to the conclusions drawn by us in this study, we have added a few sentences citing Preble et al. (2018) and highlighting the connection to our study (Sections 3.4 and 4 in revised version).

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# High spatial resolution mapping and source-apportionment of aerosol composition ~~and sources~~ in Oakland, California using mobile aerosol mass spectrometry

Rishabh U. Shah<sup>1,2</sup>, Ellis S. Robinson<sup>1,2</sup>, Peishi Gu<sup>1,2</sup>, Allen L. Robinson<sup>1,2</sup>, Joshua S. Apte<sup>3</sup>, and Albert A. Presto<sup>1,2</sup>

<sup>1</sup>Mechanical Engineering, Carnegie Mellon University, Pittsburgh PA

<sup>2</sup>Center for Atmospheric Particle Studies, Carnegie Mellon University, Pittsburgh PA

<sup>3</sup>Department of Civil, Architectural and Environmental Engineering, University of Texas at Austin, Austin TX

**Correspondence:** Albert A. Presto (apresto@andrew.cmu.edu)

**Abstract.** We investigated spatial and temporal patterns in concentration and composition of sub-micron particulate matter (PM<sub>1</sub>) in Oakland, California in the summer of 2017 using an aerosol mass spectrometer mounted in a mobile laboratory. We performed ~ 160 hours of mobile sampling in the city over a 20-day period. Measurements are compared for three adjacent neighborhoods with distinct land uses: a central business district (“downtown”), a residential district (“West Oakland”), and a major shipping port. The average organic aerosol (OA) concentration is  $5.3 \mu\text{g m}^{-3}$  and contributes ~ 50% of the PM<sub>1</sub> mass. OA concentrations in downtown are, on average,  $1.5 \mu\text{g m}^{-3}$  higher than in West Oakland and Port. We decomposed OA into three factors using positive matrix factorization: hydrocarbon-like OA (HOA; 20% average contribution), cooking OA (COA; 25%) and ~~semi-volatile oxidized OA (SV-OOA)~~ less-oxidized oxygenated OA (LO-OOA); 55%). The collective 45% contribution from primary OA (HOA + COA) emphasizes the importance of primary emissions in Oakland. The dominant source of primary OA shifts from HOA-rich in the morning to COA-rich after lunch time. COA in downtown is consistently higher than West Oakland and Port due to a large number of restaurants. HOA exhibits variability in space and time. Morning-time HOA concentration in downtown is twice that in Port, but Port HOA increases more than two-fold during mid-day, likely because trucking activity at the Port peaks at that time. Combining measurements of OA with black carbon and CO suggests that while trucks have an important effect on OA and BC at the Port, gasoline-engine cars are the dominant source of traffic emissions in the rest of Oakland. Despite the expectation of being spatially uniform, ~~SV-OOA~~ LO-OOA also exhibits spatial differences. Morning-time ~~SV-OOA~~ LO-OOA in downtown is roughly 25% ( $\sim 0.6 \mu\text{g m}^{-3}$ ) higher than the rest of Oakland. Even as the entire domain approaches a more uniform ~~photo-chemical~~ photochemical state in the afternoon, downtown ~~SV-OOA~~ LO-OOA remains statistically higher than West Oakland and Port, suggesting that downtown is a microenvironment with higher photochemical activity. Higher concentrations of particulate sulfate (also of secondary origin) with no direct sources in Oakland further reflect higher photochemical activity in downtown. A combination of several factors (poor ventilation of air masses in street canyons, higher concentrations of precursor gases, higher concentrations of the hydroxyl radical) likely result in the proposed high photochemical activity in downtown. Lastly, through Van Krevelen analysis of elemental ratios (H/C, O/C) of the OA, we show that OA in Oakland is more chemically reduced than several other urban areas. This underscores the

importance of primary emissions in Oakland. We also show that mixing of oceanic air masses with these primary emissions in Oakland is an important processing mechanism that governs the overall OA composition in Oakland. ~~The findings of this study are important because the pollutants we find contributing the most to OA variability, both of primary and secondary origin, are ubiquitous in other urban locations.~~

5 Copyright statement. TEXT

## 1 Introduction

Organic aerosol (OA) contributes a significant fraction of the total ambient particulate matter (PM) mass (Zhang et al., 2007), which is of utmost concern for its detrimental effects on human health (Apte et al., 2015) and the Earth's radiative budget (Myhre et al., 2013). However, owing to the tens of thousands of different emitted organic species and their chemical and phys-  
10 ical transformation in the atmosphere, the concentration and composition of OA remains complex to characterize (Hallquist et al., 2009; Jimenez et al., 2009; Tsigaridis et al., 2006; Goldstein and Galbally, 2007).

Concentrations of PM and other pollutants are spatially variable in urban areas and these spatial variations drive differences in human exposures. For example, concentrations of ultrafine particles, NO, CO, and particulate black carbon (BC) are enhanced near highways by a factor of 2-3 relative to areas > 100m from roadways (Choi et al., 2012; Apte et al., 2017; Saha et al.,  
15 2018a). Spatial variations in PM mass are more modest (e.g., only a ~ 25% increase near roadways; Saha et al., 2018a), but are convolved with significant variations in composition (Mohr et al., 2015; Enroth et al., 2016; Canagaratna et al., 2010). In the near-source region fresh emissions of BC and primary OA rapidly mix with background air, reducing concentrations through both dilution and OA partitioning. This rapid mixing occurs over ~~the space of~~ tens to hundreds of meters ~~and is expected to require timescales of minutes to hours (?)~~  
20 downwind of the source (Canagaratna et al., 2010; Saha et al., 2018a).

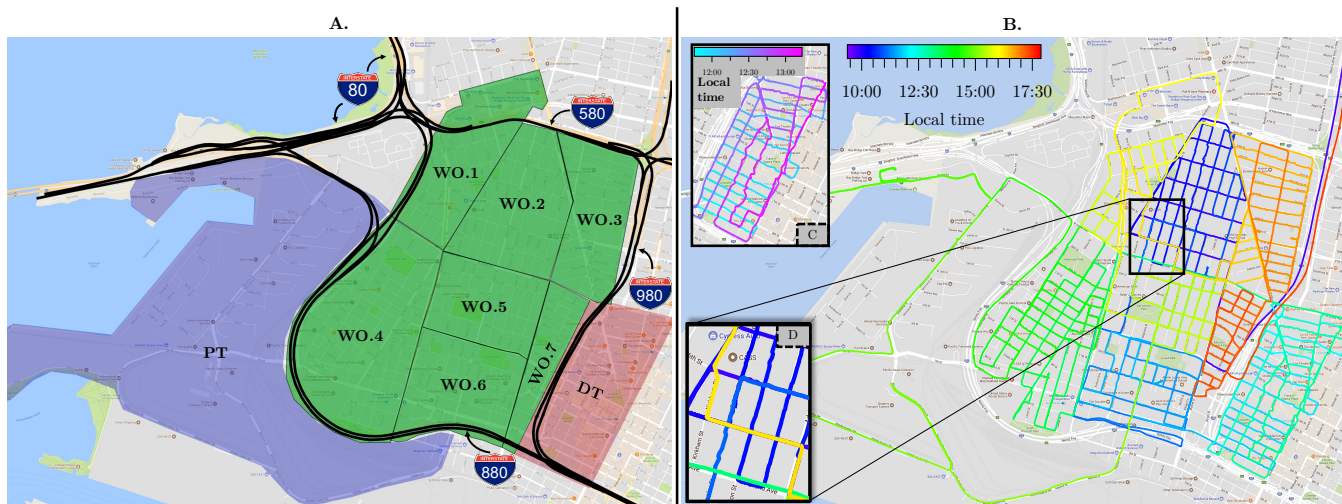
Studying these intra-urban PM variations can reveal the major sources influencing local air quality and help inform mitigation strategies. In particular, mobile sampling enables deployment of high-time-resolution measurements that can identify specific PM sources. For example, Li et al. (2018) showed that emissions of primary OA and BC drive much of the spatial variation in PM<sub>2.5</sub> observed in Pittsburgh. Apte et al. (2017) used mobile BC measurements to identify hotspots associated with vehicle traffic and industrial activities. Some studies have deployed aerosol mass spectrometers (AMS) on mobile platforms (Mohr  
25 et al., 2015; Elser et al., 2016; Von Der Weiden-Reinmüller et al., 2014). Factor analysis of AMS data allows for identification of chemically-specific OA sources, such as traffic, restaurant cooking, and home heating. For instance, Elser et al. (2016) found enhancements of hydrocarbon-like OA (HOA, from traffic emissions) and BC on busy roads during times of peak traffic and that traffic emissions were the dominant contributor to the urban increments of PM<sub>2.5</sub>. In addition to traffic emissions, ~~Mohr et al. (2015) found~~ cooking and biomass burning emissions have also been shown to be prominent contributors to primary  
30 OA in Barcelona urban areas (Mohr et al., 2015; Crippa et al., 2013b).

Quantifying PM and OA spatial gradients in urban areas, and identifying the sources driving those gradients, is important because more than half of the world's population lives in urban areas (United Nations, 2014). Large populations may therefore live or work in areas with elevated emissions and/or high OA concentrations. Identifying such areas, and the sources driving elevated concentrations, has far-reaching implications for both reducing human PM exposures and addressing socio-economic disparity in exposure to pollution on an intra-city scale.

This study presents results of mobile measurements conducted in Oakland, California. Oakland is a densely populated ( $\sim 2900$  inhabitants  $\text{km}^{-2}$ ) pollution-source-rich city. It has a poverty rate (fraction of population below poverty line) of 18.9%, roughly twice as much as that of the collective San Francisco (SF) Bay area (9.2%) (US Census, 2016). It therefore offers a good test case for investigating spatial variations in OA, how these variations are influenced by high industrial presence in residential areas, and how these variations overlay with population. Oakland has a unique land-use feature in that a 1  $\text{km}^2$  downtown, a 6  $\text{km}^2$  mixed industrial and residential district, and one of the largest US shipping ports (5  $\text{km}^2$ ) all lie within a short spatial transect of 4 km (Figure 1A).

Several prior studies have focused on air quality in Oakland because of the influence of ships and associated drayage trucks (trucks that transport cargo between the port and ~~associated trucking activity~~ warehouses) driving through the residential district. Fisher et al. (2006) was one of the earlier studies addressing the heavy-duty diesel drayage trucks in Oakland as a mobile source. Since then, several legislations, such as enforcement of diesel particulate filters in drayage truck exhausts (CARB, 2011), improved truck queuing system to reduce idling (Giuliano and O'Brien, 2007), usage of low-sulfur fuel in ships approaching the port (CARB, 2009), and keeping shipping logistics gates open in the evening to dilute daytime congestion of drayage trucks (Port of Oakland, 2016) have been imposed to reduce port emissions and to improve Oakland's air quality. Consequently, recent studies have found substantial reductions in emissions from both drayage trucks and ships in Oakland (Preble et al., 2015; Dallmann et al., 2011; Tao et al., 2013). However, the presence of a large port and high drayage truck activity is still a large area source adjacent to the predominantly residential West Oakland district. Additionally, on the other side of this residential district lies downtown Oakland, which contains a mix of common urban emission sources (e.g., vehicular traffic emissions). Four interstate highways (I-80 and its arteries I-580, I-880, I-980) closely flank the residential district such that the largest spatial lag from any point inside of West Oakland to the nearest highway is 1 km (Apte et al., 2017).

The objective of this study is to determine which emission sources most strongly impact spatial patterns in the local air quality of Oakland. We use mobile sampling with aerosol mass spectrometry (AMS) to investigate spatial gradients in concentrations and chemical composition of OA across the three distinct areas of Oakland: port, residential West Oakland, and downtown. Additionally, using positive matrix factorization of AMS data (Ulbrich et al., 2009; Paatero and Tapper, 1994), we perform chemical source apportionment of the OA in Oakland. Results of this study not only provide valuable information on composition and source-assessment of  $\text{PM}_{10}$  in Oakland, but source apportionment analysis shows how much the air quality in Oakland is impacted by local emissions versus chemically processed OA.



**Figure 1.** (a) Sampling domain with three major areas: PT (Port), WO (West Oakland) and DT (Downtowndowntown). The seven internal WO-West Oakland polygons are enumerated. (b) driving route on a typical day, showing shuffled order of polygon visits. (c) the typical driving route in downtown. (d) a zoom-in illustrating a typical repeat-sampling on the same day during inter-polygon transit

## 2 Experimental section

### 2.1 Mobile sampling

We conducted mobile sampling between 10<sup>th</sup> July and 2<sup>nd</sup> August 2017 in Oakland, CA using a mobile laboratory. Data were collected as part of the Center for Air, Climate, and Energy Solutions (CACES) air quality observatory (Zimmerman et al., 2018). The mobile laboratory is an instrumented Nissan 2500 cargo van, previously described by Li et al. (2016a, 2018). Figure 1A shows a map of the sampling domain. We sampled on all streets in the domain that were open to public traffic.

We divided the sampling domain into three main areas: Port, West Oakland and downtown. Owing to the relatively large size and road length density (16.6 km of road per km<sup>2</sup>) of West Oakland, we further divided it into seven polygons. Port, while larger in area than West Oakland and downtown, has a very low road length density (2.6 km/km<sup>2</sup>), because most of the area is used for parking drayage trucks and storing shipping containers. Downtown has the highest road length density (24.8 km/km<sup>2</sup>), as would be expected in a central business district. The predominant winds in the domain are from between the north-west and south-west, as shown in Figure S17 and discussed later in Section 3.2.

Figure 1B shows the driving route on a typical day of sampling, colored by the time of day. The order in which we visited the nine polygons was shuffled daily to avoid systematically over- or under-sampling any polygon(s) in the morning, mid-day or late afternoon. Within a polygon, we employed a spiral driving pattern similar to that described by Apte et al. (2017). An exception was downtown, where, owing to alternating one-way streets, a zigzag driving pattern was used as shown in inset C in Figure 1.

## 2.2 Instrumentation

All instruments in the mobile laboratory were powered by a 110 V, 60 Hz alternator coupled to the van’s engine. A 0.5” OD stainless steel tube carried the samples from the roof of the van ( $\sim 3$  m above ground level) to instruments as well as a mechanical backing pump. An in-line cyclone separator was installed upstream of instruments. Flow drawn by the backing pump was controlled by a needle valve such that the total flow drawn at the sampling inlet ( $\sim 15$  slpm) corresponded to a 2.5  $\mu\text{m}$  cut-size diameter for the cyclone separator.

We used a high resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS, Aerodyne Research Inc.; Decarlo et al., 2006; Jayne et al., 2000) for measuring mass concentrations of non-refractory  $\text{PM}_{1.0}$ . The AMS was operated in V-mode with 20 s averaging of mass spectra. We did not collect particle time-of-flight data (used for size distribution measurements) because the additional averaging time required for collecting size distributions would decrease the overall sampling rate, compromising the goal of collecting in-motion samples with high spatial resolution. Flow was dried to  $<5\%$  relative humidity prior to the AMS using a Nafion drier (MD-110-24, PermaPure). A seven-wavelength, dual-spot aethalometer (AE33, Magee Scientific) measured concentrations of black carbon with 1 min averaging per sample. We also measured CO (T300U, Teledyne API),  $\text{CO}_2$  (LI-820, LI-COR), and particle number concentration (200P, Aerosol Dynamics Inc.) at 1 Hz sampling rate. A GPS sensor (BE-2200, Bad Elf) recorded GPS coordinates every second.

## 2.3 Data analysis

*Timestamps and GPS co-ordinates.* We first adjusted the recorded timestamps on all instrument samples based on the predetermined instrumental response times. Response times were measured by releasing a tracer at the sample inlet and recording the time lag in response from instruments while the van was stationary. This adjustment was done so as to assign each data point to the time the sample entered the inlet (as opposed to the time the data point was recorded by the instrument). Additionally, a sampling duration offset was applied to the AMS data timestamps. This is because each AMS measurement is an average of mass spectra collected for 20 s and the timestamp is assigned at the end of the 20 s period. To ensure that the sample was spatially representative of the distance traveled by the van during the 20 s sampling interval, each AMS sample was advanced 10 s in time so as to assign the measured concentration to the middle of the 20 s sampling interval, instead of the end. Next, upon alignment with GPS data, we assigned spatial coordinates to all instrument samples.

*Spatial analyses.* For spatial aggregation, we used a procedure similar to the “road-length snapping” procedure used by Apte et al. (2017). However, since AMS samples are recorded every 20 s and the van was driven at an average speed of  $10 \text{ ms}^{-1}$ , each AMS data point occurred roughly 200 m apart. We obtained a geospatial shapefile of Oakland’s public streets from the Alameda County online archive (Alameda County, 2017). We created artificial points (“magnets”) every 200 m along all streets. Multiple lanes of major surface streets were merged (i.e., opposing traffic lanes of large roads became single roadway centerlines) before creating magnets along them. This list of magnets was then matched against the GPS coordinates assigned to all AMS data points in MATLAB R2015a (MathWorks, Natick, MA) by calculating  $d_{mag} = \sqrt{(y_{sample} - y_{magnet})^2 + (x_{sample} - x_{magnet})^2}$ , where  $y$  are latitudes and  $x$  are longitudes. Each AMS sample was assigned



to the magnet for which it had the smallest  $d_{mag}$ . A snapping threshold of 400 m was applied to prevent samples collected outside the sampling domain (e.g., samples collected in transit to and from the overnight parking location outside the domain) from being assigned to the nearest magnet in the domain. For comparing measurements made at different sampling intervals (AMS: 20 s; BC: 1 min; CO, particle number: 1 s), we created synthetic 1 Hz AMS and BC datasets such that the measured value at the mid-point of the averaging interval was applied to all 1 second timestamps in that interval. Naturally, for 1 Hz data, the spatial resolution was not limited to 200 m, hence we used magnets spaced every 30 m (same as Apte et al., 2017).

*Unique samples.* The amount of time spent at a 200 m magnet can be longer than 20 s on days when driving was paused at that magnet e.g., for traffic lights, refueling stops, etc. These samples can bias a magnet's representative concentration when averaging is performed across multiple days. Conversely, as shown in the zoom-in (inset D) in Figure 1, a magnet could fall on a route used for transiting from one polygon to another on a particular day. In that case, we treat samples collected at different times of the day as independent, unique samples. This is equivalent to ascribing the same value of information to two data points collected at different times on a single day as two samples collected on different days. In order to resolve temporally clustered samples from unique samples, we averaged all samples assigned to a magnet within a 60 min window into a single unique sample. For every magnet, the median of all unique samples was chosen as a representative campaign-aggregated measurement.

*Processing AMS data.* We processed AMS data using SQUIRREL 1.57I and PIKA 1.16I routines in Igor Pro 6.37 (Wave-metrics, Lake Oswego, OR; Sueper et al., 2007). We applied three types of corrections to the data: (a) ionization efficiency (IE): two IE calibrations, performed before and after the campaign, provided a two-point estimate of the decay slope of IE over the 20-day period and thus a linearly increasing IE correction factor was applied to the entire AMS dataset; (b) collection efficiency (CE): a composition-dependent CE was calculated using the AMS-measured nitrate fraction in each sample (Middlebrook et al., 2012); (c) a “zero” offset, obtained daily from concentrations in particle-filtered air while the van was parked outside the sampling domain; signals recorded while sampling particle-free air were also used to resolve the very similar-mass  $\text{CHO}^+$  ( $m/z = 29.002$ ; particle-phase) and  $^{15}\text{NN}$  ( $m/z = 29.003$ ; gas-phase isotopic nitrogen) ions. Elemental ratios in this study were calculated using the “Improved-Ambient” method, which uses signal intensities of specific ion fragments to correct for biases in elemental hydrogen-to-carbon (H/C) and oxygen-to-carbon (O/C) ratios (Canagaratna et al., 2015).

*Factorization of OA mass spectra.* To identify sources of OA, we applied positive matrix factorization (PMF) to the two-dimensional OA matrix (time series along rows, concentrations of high-resolution organic ions up to  $m/z$  115 along columns). PMF is essentially a bilinear deconvolution algorithm that explains the OA matrix as a linear combination of variable number of static factors and the time series of their contribution to the total OA. We performed PMF using the PMF2.exe algorithm with the ME-2 multilinear engine (Paatero and Tapper, 1994; Paatero, 2007). We explored different results within the factor-resolved solution space using the PMF evaluation toolkit (Ulbrich et al., 2009).

*Accounting for temporal trends.* Over the course of mobile sampling, the urban background air quality can have daily and diurnal variations due to meteorological changes (Figure S2). These variations can be accounted for with the help of concurrent stationary measurements performed at an urban background location. As discussed in Supplement Section A1, accounting for

temporal trends only had a minor ( $\sim 5\%$ ) effect on the results. We do not include these corrections in the results presented in this manuscript.

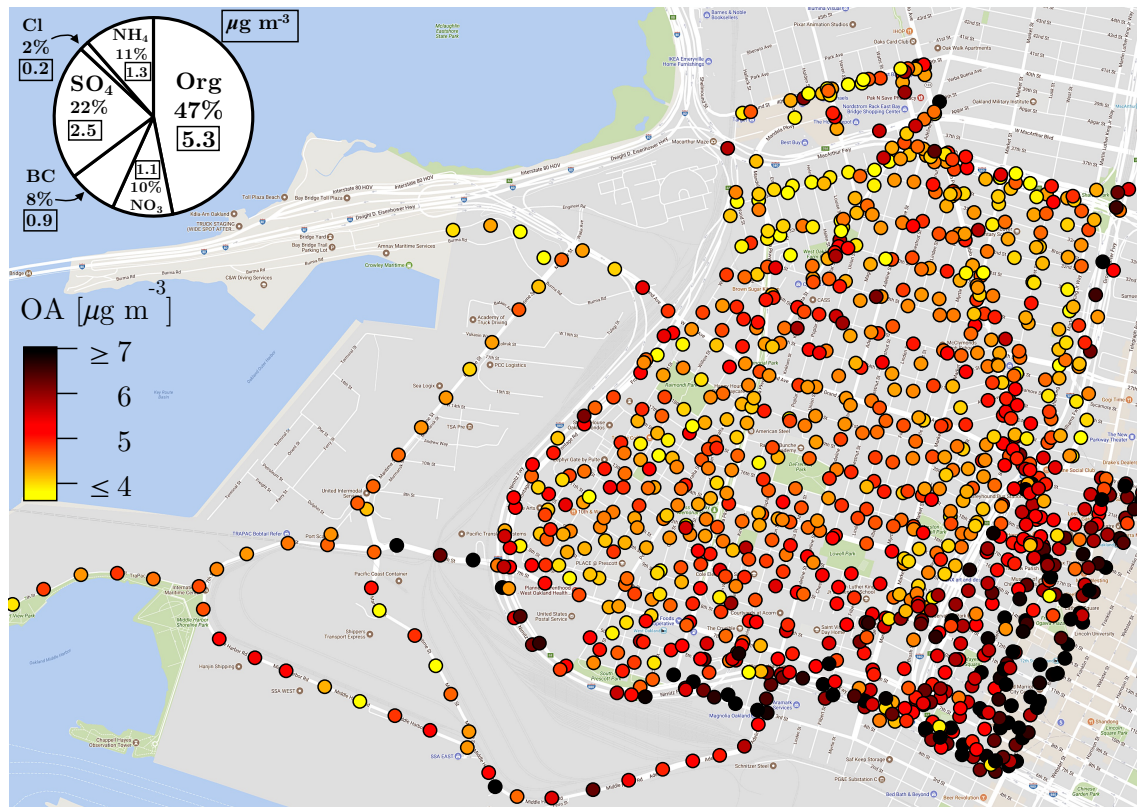
*Bootstrap resampling.* In order to compare observations of OA and its factors across areas influenced by different emissions (Port, West Oakland and downtown), we first determined the precision of these measurements by resampling the **spatially-aggregated**

5 pool of data occurring in these areas. The strength (i.e., number of **sampleelements**) of a bootstrapped dataset was the same as the strength of the dataset collected in that area. ~~Bootstrapping was performed with replacement and was repeated  $10^4$  times.~~ We For instance:  $\mathbf{M} = \{m_1, m_2, \dots, m_n\}$  was the original set of  $n$  measurements performed in an area (e.g., Port). From all  $n$  measurements in  $\mathbf{M}$ , a measurement was randomly drawn to populate a synthetic set  $\mathbf{M}'_1$ . Each random draw was from the original set  $\mathbf{M}$  with replacement i.e., independent of previous draws. The synthetic set  $\mathbf{M}'$  was populated until  
10 its strength was  $n$  (same as of the original set  $\mathbf{M}$ ). Generating such synthetic sets  $10^4$  times resulted in a bootstrapped pool  $\mathbf{M}_B = \{\mathbf{M}'_1, \mathbf{M}'_2, \dots, \mathbf{M}'_{10^4}\}$ . From this, a bootstrap statistic set,  $\mathbf{S} = \{\tilde{s}_1, \tilde{s}_2, \dots, \tilde{s}_{10^4}\}$ , was created where  $\tilde{s}_i$  was the median of the synthetic set  $\mathbf{M}_i$ . Finally, the difference between 5<sup>th</sup> and 95<sup>th</sup> percentile of all elements of  $\mathbf{S}$  was used as a dispersion statistic of the median. This results in a bootstrapped median and its 95% confidence interval for the area whose data were chosen to be the original dataset  $\mathbf{M}$ . We thus use the 95% confidence interval of the median as the precision of our measurements. Spatial  
15 differences larger than this precision are then deemed statistically significant. We are not aware of any prior studies that report precision of OA factors.

### 3 Results and discussion

In Figure ??2, we show ~~three-two~~ overall results. First, we show the median aggregated OA concentrations at each magnet. OA is spatially variable, with the highest concentrations typically observed downtown. ~~Second, the spatial coverage of the AMS dataset is expressed using marker sizes as a function of number of unique samples collected at each magnet. Further, inset A shows cumulative distributions of raw and unique samples across the entire range of magnets. Approximately 60% of the magnets are represented by more than 10 unique samples, suggesting that our data are indicative of long-term spatial patterns (Apte et al., 2017).~~

25 ~~Lastly, inset B in Figure ?? and along I-880, the highway used by drayage trucks to approach the Port. Second, the pie chart in Figure 2~~ shows the campaign-median contributions of organics (Org), sulfate ( $\text{SO}_4^{2-}$ ), nitrate ( $\text{NO}_3^-$ ), ammonium ( $\text{NH}_4^+$ ), chloride ( $\text{Cl}^-$ ) and black carbon (BC) to the total  $\text{PM}_{10}$ . The clear dominance of organics along with relative contributions of other species are similar to previously published AMS measurements performed in other urban areas (Hayes et al., 2013; Ortega et al., 2016; Mohr et al., 2015). ~~Further, Figure S4 shows cumulative distributions of raw and unique samples across the entire range of magnets. Approximately 60% of the magnets are represented by more than 10 unique samples, suggesting that our data are indicative of long-term spatial patterns (Apte et al., 2017).~~



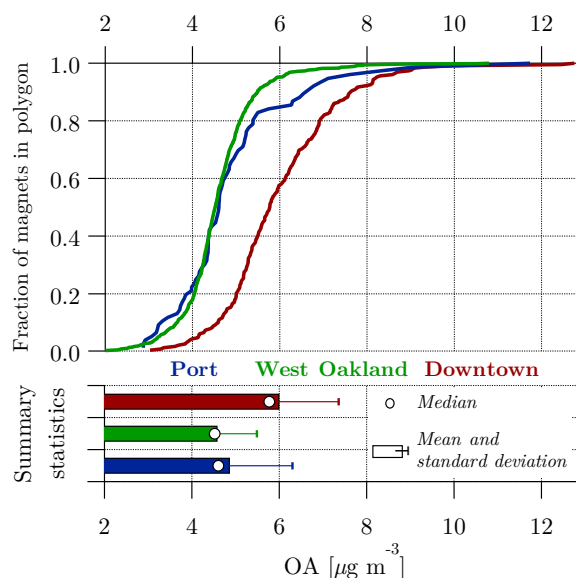
**Figure 2.** Median organic aerosol concentration at each magnet and sampling coverage map of the domain. Inset (a): Cumulative distribution of raw and unique samples in all magnets in domain. Inset (b): The pie chart shows median contribution of AMS-measured non-refractory [organics (Org), sulfate ( $\text{SO}_4^{2-}$ ), nitrate ( $\text{NO}_3^-$ ), ammonium ( $\text{NH}_4^+$ ), chloride ( $\text{Cl}^-$ )] and aethalometer-measured black carbon (BC) to the total  $\text{PM}_{10}$ . Underlined Boxed values are absolute mass concentrations in  $\mu\text{gm m}^{-3}$ .

### 3.1 Organic aerosol (OA)

We now discuss the spatial patterns of OA in more detail. Figure 3 compares the OA concentrations across the three areas (Port, West Oakland and downtown) using cumulative distribution function (CDF) curves in the upper panel. OA concentrations are spatially variable within each sampled area. A range of  $>2\mu\text{gm}^{-3}$  is observed in the median OA concentrations at all magnets of each area.

The lower panel of Figure 3 shows the central tendency statistics (mean, median and standard deviation) of the values assigned to magnets in each area. The data are positively skewed in all polygons i.e., the mean is higher than the median. Ambient measurements typically exhibit a positively-skewed distribution under the influence of local emission events (Apte et al., 2017; Van den Bossche et al., 2015; Brantley et al., 2014) (Seinfeld and Pandis, 2006; Apte et al., 2017; Van den Bossche et al., 2015). Hence, the median is chosen over the mean as a central tendency statistic to discuss the OA spatial patterns. The results shown

in this figure are reinforced with statistical confidence by using bootstrap resampling (Figure S5). We determined a precision of  $0.5 \mu\text{g m}^{-3}$  in the median OA. Spatial differences larger than this precision are considered statistically significant.



**Figure 3.** Cumulative distributions, mean and median of OA concentrations in Port, West Oakland and downtown.

Downtown has a median OA concentration of  $5.7 \mu\text{g m}^{-3}$ , which is 27% higher than West Oakland and Port. Almost the entire downtown CDF curve is  $\sim 1.5 \mu\text{g m}^{-3}$  greater than the West Oakland and Port curves, indicating that all parts of downtown have higher OA concentrations than the rest of Oakland. Port and West Oakland have similar OA concentrations, as evidenced by their nearly-superimposed CDFs and similar medians ( $4.6 \pm 0.05 \mu\text{g m}^{-3}$ ). However, Port measurements have more positive skewness than West Oakland; Port has a larger fraction of magnets with high OA concentrations than West Oakland. This suggests that the OA concentrations in Port are more influenced by local emission events. As mentioned earlier, Port has a high drayage truck activity, which likely explains this skewness. Results from bootstrapping support this explanation (Figure S5). Port data have a higher mean ( $4.9 \mu\text{g m}^{-3}$ ) with a wider 95% confidence interval ( $0.7 \mu\text{g m}^{-3}$ ) about this mean, compared to West Oakland (mean:  $4.6 \mu\text{g m}^{-3}$ ; 95% confidence interval about the mean:  $0.2 \mu\text{g m}^{-3}$ ).

### 3.2 Black carbon (BC)

In this subsection, we investigate the influence of higher drayage truck activity on air quality in Port of Oakland. In addition to OA, BC is a prominent component of particulate emissions from heavy-duty diesel trucks (Ban-Weiss et al., 2008; ?). The use of diesel particulate filters and catalytic reducers has substantially reduced BC emissions from drayage trucks in Oakland (Dallmann et al., 2011; Preble et al., 2015). However, ? reported that diesel trucks contribute 45% of BC concentrations in the Caldecott tunnel in Oakland, despite being a minor ( $<1\%$ ) fraction of their sampled vehicle fleet. It is thus reasonable to expect

that while the vast majority (99%) of the truck fleet at the Port of Oakland is now equipped with advanced emission control technologies, the overall large volume of trucks arriving in the area results in higher BC emissions than light-duty gasoline vehicles.

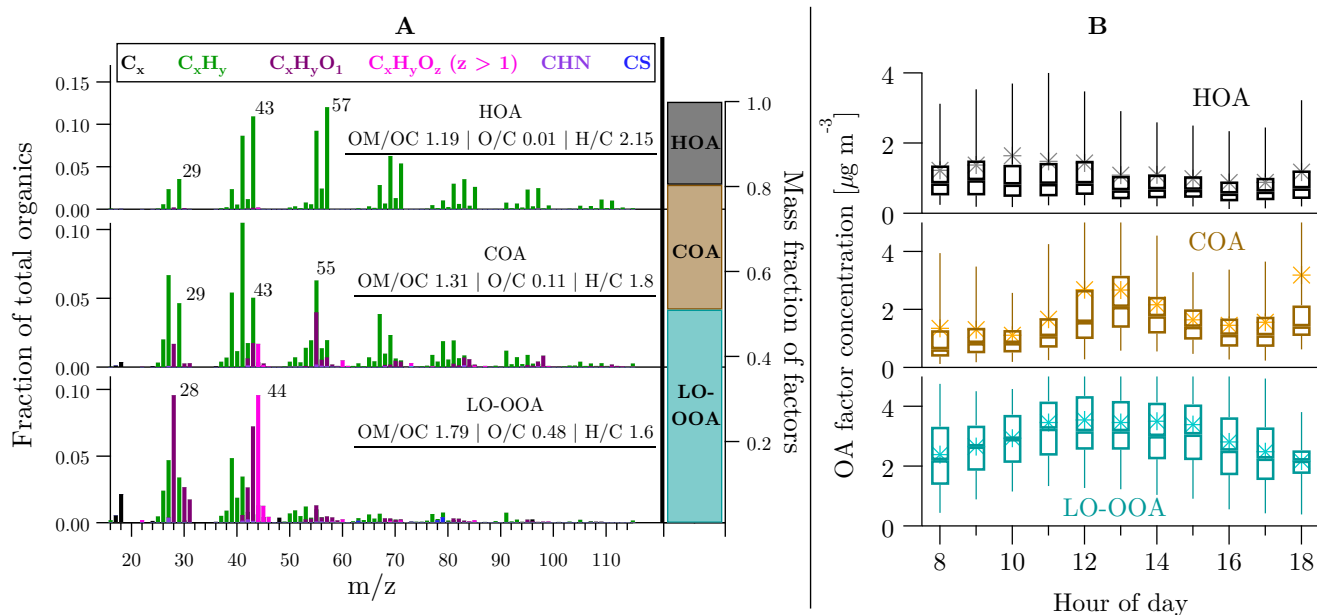
We use the OA/BC ratio to distinguish car and truck emissions, with the underlying assumption that these use gasoline and diesel combustion, respectively. By extension, areas with smaller ambient OA/BC would indicate a larger influence from diesel truck emissions. Because OA and BC measurements were made at different sampling frequencies, we compare these measurements by first converting them to a synthetic 1-Hz timeframe as described earlier. A map of median OA/BC values at each 30-m magnet is shown in Figure ??.

Fine-scale map of median OA/BC values (unitless).

In general, areas with lower OA/BC values are streets and highways approaching the Port of Oakland (e.g., Interstate 880 and the surface street connecting it to the port) as well as those around centers of shipping commerce in the Port. This is expected because trucks are found idling while in queue outside these facilities. Bootstrap resampling the BC dataset shows that the measurements (especially in Port) have a considerable positive skew. The ratio of mean to median BC in Port is higher (1.5) than both West Oakland and downtown (1.2). Because BC concentrations are largely influenced by local sources (diesel trucks), the large amount of drayage trucks in Port likely causes the mean BC to be ~8% higher than downtown, even though median BC in downtown is ~7% higher than Port. This finding suggests that despite substantial reductions in BC emissions from diesel trucks by the use of particulate filters and catalytic reducers, the influence of diesel truck emission plumes on the air quality in Port is higher than that in downtown.

### 3.2 OA factors

We identified three OA factors with distinct mass spectra using positive matrix factorization (PMF) of AMS data: hydrocarbon-like OA (HOA), cooking OA (COA) and ~~semi-volatile~~less-oxidized oxygenated OA (~~SV-OOA~~LO-OOA). These factor profiles are shown in Figure 4. Distinct features of each factor mass spectra (e.g., signals at particular  $m/z$ 's and elemental O/C ratios) as well as the diurnal patterns in their time series are used to characterize these factors. We use the same nomenclature for these factors as has been used commonly in literature. For comparison, previously reported mass spectra of these factors are shown in Figure S9.



**Figure 4.** (a) Mass spectra, elemental ratios and average mass fraction of factors obtained from PMF analysis of all AMS spectra. (b) Boxplot of diurnal profiles of factors: rectangles enclose 1<sup>st</sup> through 3<sup>rd</sup> quartiles of data. Horizontal bars are medians. Asterisk markers are means. Whiskers are 5<sup>th</sup> and 95<sup>th</sup> percentiles.

**HOA:** The HOA factor has an elevated signal at the series of  $C_nH_{2n+1}$  (e.g.,  $C_4H_9^+$  at  $m/z$  57) and  $C_nH_{2n-1}$  (e.g.,  $C_3H_5^+$  at  $m/z$  41). Previous studies have identified this factor as a marker of fresh vehicular emissions based on its reduced state (O/C = 0.01) as well as its diurnal pattern (elevated during morning and evening traffic rush periods; Zhang et al., 2011; Mohr et al., 2012). HOA time series are highly positively skewed (average of hourly mean/median = 1.6) even during non-peak periods, which indicates influence of local HOA plumes.

**COA:** The COA factor has a distinct signal at  $m/z$  55 ( $C_4H_7^+$  and  $C_3H_3O^+$ ). Previous studies have identified this factor as a marker of cooking emissions based on its reduced state (O/C = 0.11) as well as its diurnal pattern (elevated concentrations occurring typically during lunch and dinner time; Mohr et al., 2009, 2012; Zhang et al., 2011). Similar to HOA, COA time series are also highly positively skewed (average of hourly mean/median = 1.53), indicating influence of local COA plumes.

**SV-OOA/LO-OOA:** Compared to the HOA and COA factors, this factor is relatively more oxygenated with a distinct peak at  $m/z$  44 in its mass spectrum. Secondary OA contains oxygen-containing groups (e.g., carboxylic acids, alcohols and carbonyls). These groups, upon ionization in the AMS, contribute to the  $m/z$  44 ( $COO^+$ ) signal. Generally, based on increasing extent of atmospheric processing (and, by extension, decreasing volatility), two classes of oxygenated OA are identified by signals at  $m/z$  43 and 44: **SV-OOA and low-volatility oxygenated OA (LV-OOA)** (Donahue et al., 2012; ?) less- and more-oxidized oxygenated OA (LO-OOA and MO-OOA, respectively; Xu et al., 2015). Of these two, **SV-OOA/LO-OOA** is relatively less oxygenated, bears similarity to semi-volatile OOA (SV-OOA) in the two-dimensional volatility basis set



(Donahue et al., 2012), and is considered “fresh SOA” formed by gas-phase oxidation of organic precursors emitted nearby (Hayes et al., 2013). ~~SV-OOA~~~~LO-OOA~~ is thus found to be strongly correlated with particulate  $\text{NO}_3^-$ .

Having made no thermodenuded measurements of OA volatility, we identify the third, oxygenated factor in our PMF solution as ~~SV-OOA~~~~LO-OOA~~ because (a) the mass spectrum and elemental ratios are similar to those reported for ~~LO-OOA~~ (and SV-OOA) elsewhere (Figure S9), and (b) this factor is correlated with AMS-measured  $\text{NO}_3^-$  signal (Figure S10). The diurnal pattern of the ~~SV-OOA~~~~LO-OOA~~ factor has a more normal distribution about mid-day. Additionally, the ~~SV-OOA~~~~LO-OOA~~ time series exhibit less positive skewness (average of hourly mean/median = 1.07) compared to COA and HOA. Compared to the two primary factors, ~~SV-OOA~~~~LO-OOA~~ in Oakland has less spatial variability.

*Contribution of OA factors:* Average contributions of COA, HOA and ~~SV-OOA~~~~LO-OOA~~ to total OA are shown in Figure 4. COA and HOA collectively contribute  $\sim 45\%$  of the total OA mass. This fraction of primary contributions to OA is higher than that reported for typical urban OA in prior studies (Zhang et al., 2007)(Zhang et al., 2007; Crippa et al., 2013b). Through mobile measurements in Pittsburgh, PA, Gu et al. (2018) find that  $\sim 25\text{-}30\%$  of the annual average OA mass is primary. The relatively high contributions of primary OA suggest that OA in Oakland is more strongly influenced by local emissions than other locations.

Previous AMS-PMF studies have reported the presence of both ~~SV-and-LV-OOA~~~~LO-~~ and ~~MO-OOA~~ factors in ambient OA. The absence of an ~~LV-OOA~~~~MO-OOA~~ factor in Oakland can be explained by the hypothesis that air masses arriving in Oakland are oceanic. These air masses are expected to contain very low OA concentrations, even though most of this OA is highly oxidized ~~LV-OOA~~~~MO-OOA~~ (Hildebrandt et al., 2010). The ~~LV-OOA~~~~MO-OOA~~ in these oceanic air parcels would be rapidly overwhelmed by urban emissions as the air parcels are advected over San Francisco and Oakland, resulting in apparent absence of an ~~LV-OOA~~~~MO-OOA~~ factor in Oakland. This hypothesis of predominantly oceanic air masses is confirmed by the wind rose diagrams in Figure S17. Predominant winds measured at the Oakland anemometer during periods of mobile sampling are from between the north-west and south-west with typical wind speeds of  $\sim 10 \text{ kmh}^{-1}$ . This means that Oakland falls roughly 60 min downwind from the Pacific Ocean. Emissions from the metropolitan SF area are likely advected to Oakland, although the timescale of this advection is  $<60$  min. On this timescale, ~~SV-OOA~~~~LO-OOA~~ formation from the SF emissions could be expected, but ~~LV-OOA~~~~MO-OOA~~ formation in amounts such that it would be detectable after mixing with the local emissions in Oakland is not expected (Decarlo et al., 2010; Jimenez et al., 2009). The ~~SV-OOA~~~~LO-OOA~~ factor profile shown in Figure 4 has a minor contribution from methanesulfonic acid ( $m/z$  79), which was previously found to be an indicator of marine origin of air parcels (?) (Crippa et al., 2013a). This finding confirms that the air masses arriving in Oakland are predominantly oceanic.

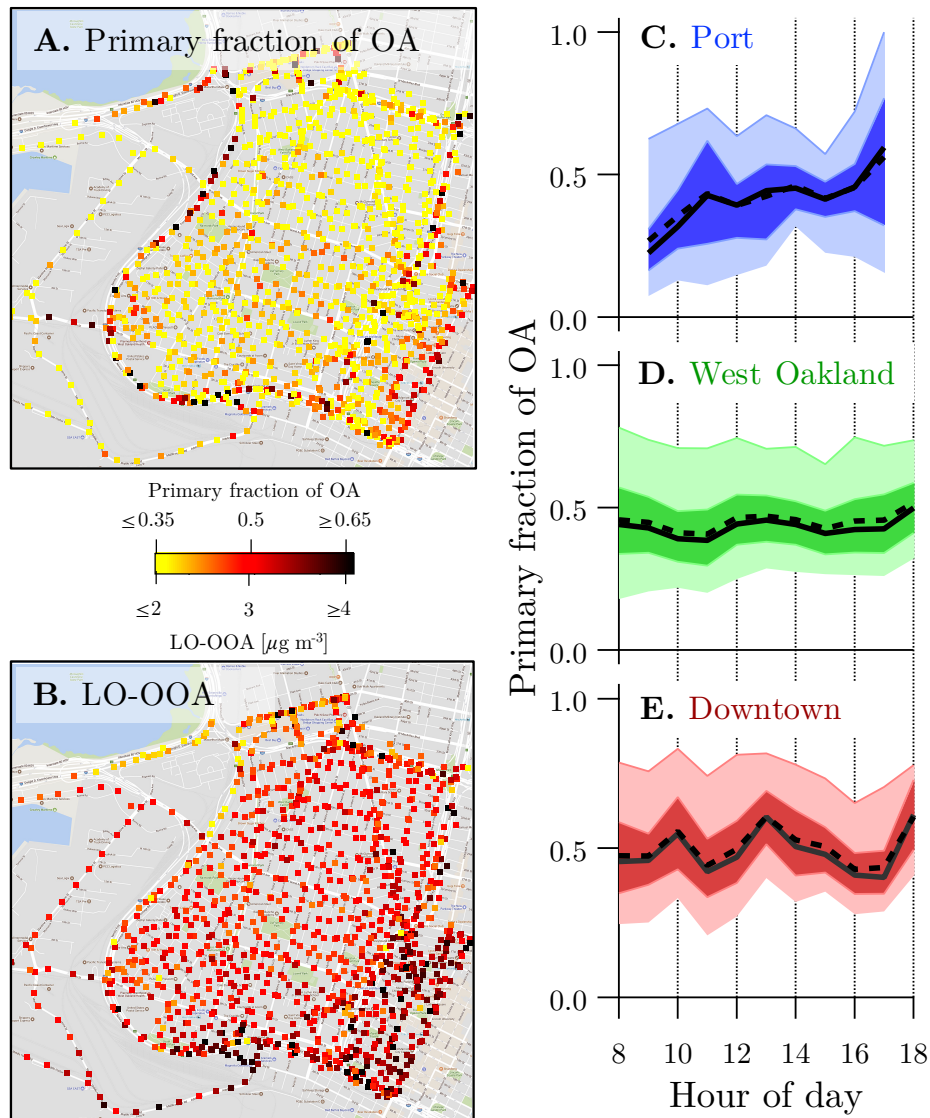
*Quality of PMF solution:* PMF decomposes measured OA concentrations using a linear combination of contributions from static factors. The amount of observed mass that cannot be explained by the reconstructed factor contributions is binned into residual mass. Residuals of factorization are shown in Figure S11. The ratio of scaled residuals,  $Q$ , to the total degrees of freedom of the fitted data,  $Q_{exp}$  would be  $\approx 1$  in a perfect factorization (Ulbrich et al., 2009). PMF numerically approaches a convergence using different initial starting points ( $f_{peak}$ ) in the rotational domain about zero (Paatero and Tapper, 1994). Values of  $Q/Q_{exp}$  for different values of  $f_{peak}$  are shown in Figure S14, along with the factor mass fractions for each solution.

Our 3-factor PMF solution is very stable ( $1.42 < Q/Q_{exp} < 1.43$ ) and the factor mass fractions do not change with varying  $f_{peak}$ .

A 4-factor solution was also examined (Figure S12). While the mass spectra and fractional contributions of both HOA and COA remain unchanged from the 3-factor solution, the ~~SV-OOA-LO-OOA~~ factor from the 3-factor solution was further  
5 deconvolved into a more oxygenated ~~LV-OOA-MO-OOA~~ factor and a fourth less oxygenated factor that bore no similarity to the typical ~~SV-OOA-LO-OOA~~ factor spectra reported in the literature. We discarded this 4-factor solution because (a) given that fresh OA factors (HOA and COA) as well as OOA factors form a continuum of atmospheric oxygenation, we do not expect the presence of the fresh OA and ~~LV-OOA-MO-OOA~~ factors while an ~~SV-OOA-LO-OOA~~ factor is absent, (b) we did not find a strong PMF-independent tracer correlation (e.g., with AMS-measured particulate  $\text{NO}_3^-$  or  $\text{SO}_4^{2-}$ ) for the ~~LV-OOA-MO-OOA~~  
10 and the fourth factor, and (c) going from 3-factor to 4-factor solution, there was only a 5% reduction in  $Q/Q_{exp}$ , suggesting not only diminishing returns with number of factors ~~>3~~ >3, but simply an artificial splitting of the optimal solution, which would result in an overinterpretation of the PMF results (Ulbrich et al., 2009). This artificial splitting is also evidenced and discussed later using elemental analysis in Section 3.5.

### 3.3 Spatial and temporal variability of OA ~~and its~~ factors

15 In this section, we further analyze the spatial and temporal patterns of OA and its factors. We begin with examining the primary-secondary split of OA and how this split varies across space and time. Understanding variability in the primary fraction of OA is important because we know from recent findings that in close proximity to sources such as highways (Saha et al., 2018b), and restaurants (Robinson et al., 2018), there is high amount of primary OA mass in Aitken-mode particles ( $< 100$  nm diameter; Ye et al., 2018) and the particle population is externally-mixed. Atmospheric processing of these emissions  
20 (e.g., increasing SOA fraction, coagulation with background particles) makes the particle size distributions more unimodal and internally-mixed in the accumulation mode (200-1000 nm diameter range; Ye et al., 2018). This primary-secondary split may have important health exposure implications because Aitken-mode particles have longer retention times once they penetrate lung tissue (~~Ferin et al., 1992; ?; Stölzel et al., 2007~~)(Ferin et al., 1992; Oberdörster, 2000; Stölzel et al., 2007).



**Figure 5.** (a) Median primary fraction of OA at each magnet. Primary fraction is defined as the ratio of COA + HOA to the total OA at each magnet. (b) Median LO-OOA concentration at each magnet. Also shown are diurnal profiles of the primary OA fraction in (c) Port, (d) West Oakland, and (e) downtown. Solid and dashed lines are hourly medians and means, respectively. Darkly-shaded areas enclose 1<sup>st</sup> and 3<sup>rd</sup> quartiles of data. Lightly-shaded areas enclose 5<sup>th</sup> through 95<sup>th</sup> percentiles of data.

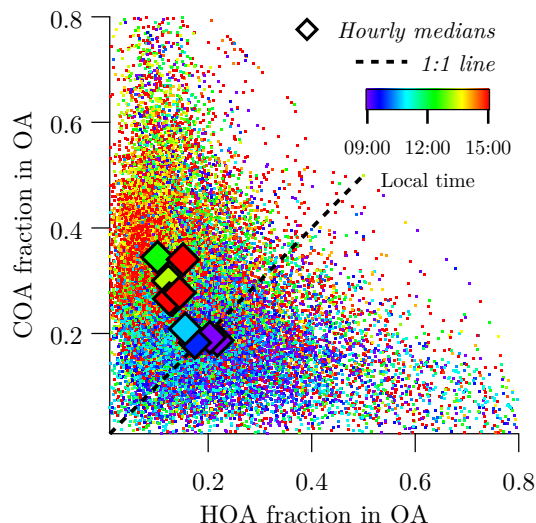
Overall, the OA mass in Oakland is split into primary and secondary factors roughly evenly (Figure 4): two primary factors (COA + HOA) collectively contribute  $\sim 45\%$ , while the rest is secondary (SV-OOA + LO-OOA). However, the primary/secondary split at each magnet is spatially and temporally variable. To identify areas with elevated levels of primary emissions, we normalized the sum of COA and HOA to the total OA concentration at each magnet, which results in a primary fraction of the OA at the magnet. In Figure 5A, we show a map of primary fraction of OA at each magnet in the domain. It is evident that the

OA in parts of downtown has a higher than 50% contribution from primary sources. Other areas exhibiting higher fractions of primary sources are the highways. In the more residential areas (West Oakland), ~~SV-OOA-LO-OOA~~ contributes  $\sim 55\%$  of the OA (Figure 5D). Through bootstrap resampling, a precision of  $\sim 1.5\%$  was determined for the primary fraction of OA (Figure S6).

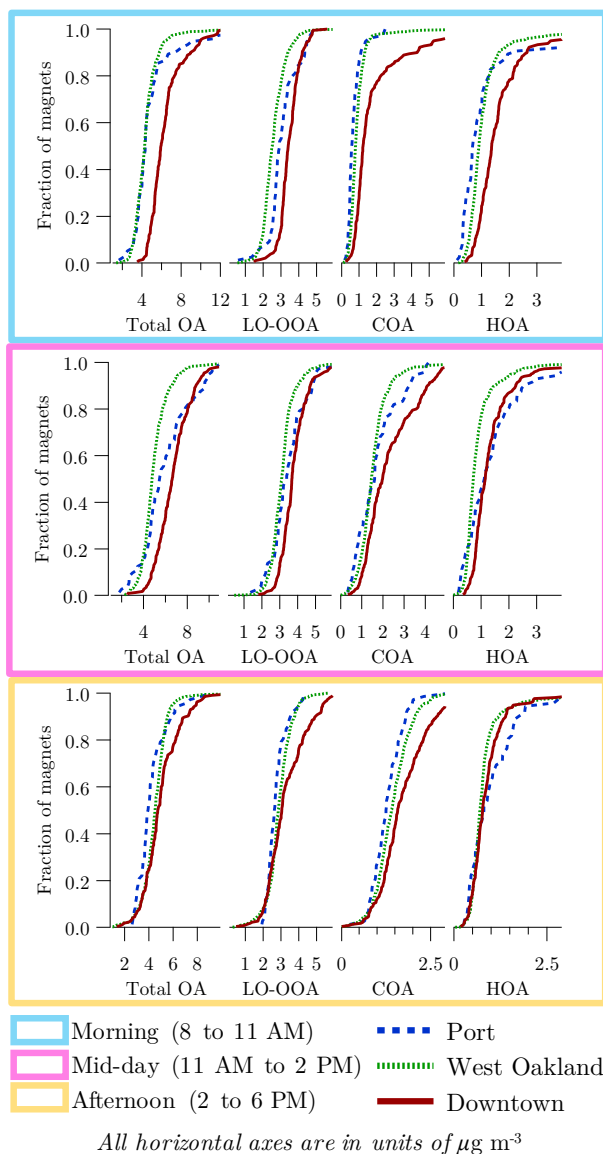
- 5 Figure 5B shows a map of the ~~SV-OOA-LO-OOA~~ mass concentrations. While ~~SV-OOA-LO-OOA~~ has a smoother spatial pattern than primary OA, downtown exhibits higher ~~SV-OOA-LO-OOA~~ concentrations. Individual maps of COA and HOA are shown in Figure S18. Figure 5 also shows the diurnal profiles of the primary contributions to OA in Port, West Oakland and downtown. The primary OA contributions in Port and downtown are more temporally variable than in West Oakland. Generally, primary OA contributions in Port have a positive trend (from  $\sim 25\%$  to  $60\%$ ) with increasing hour of day, with  
 10 peaks occurring at  $\sim 11$  AM and 6 PM. This is likely due to increasing volume of trucks as the day progresses. By contrast, primary contributions in downtown are consistently around 50% of the total OA, with peaks during high traffic periods and lunch time. Primary contributions in West Oakland are consistently around 45%, with no distinct peaks.

- ~~(a) Median primary fraction of OA at each magnet. Primary fraction is defined as the ratio of COA + HOA to the total OA at each magnet. (b) Median SV-OOA concentration at each magnet. Also shown are diurnal profiles of the primary OA fraction in (c) Port, (d) West Oakland, and (e) downtown. Solid and dashed lines are hourly medians and means, respectively. Darkly-shaded areas enclose 1<sup>st</sup> and 3<sup>rd</sup> quartiles of data. Lightly-shaded areas enclose 5<sup>th</sup> through 95<sup>th</sup> percentiles of data.~~

- Figure 6 shows a scatter plot of COA versus HOA contributions to OA from the entire campaign, which is meant to show the diurnal variation of primary OA sources. During the morning rush hour, concentrations of COA and HOA are roughly equal. Primary OA concentrations start transitioning towards COA dominance at  $\sim 11$  AM, which co-incides with the typical time  
 20 restaurant kitchens would be expected to begin activity. By  $\sim 2$  PM, COA dominates the primary OA mass.



**Figure 6.** Diurnal shift of source of primary OA from morning rush hour traffic to mid-day cooking activities. Dots show individual measurements and diamonds show hourly medians over the entire sampling campaign.



**Figure 7.** Cumulative distribution functions of total OA as well as the three factors identified in this study, resolved by area (Port, West Oakland and downtown) and time of day: morning (8 to 11 AM), mid-day (11 AM to 2 PM) and afternoon (2 to 6 PM). The colored box around each set of CDFs indicates the period of the day those data represent.

In Figure 7, we show the spatial and temporal patterns of total OA as well as the three OA factors by resolving them by area (Port, West Oakland and downtown) and time of day: morning (8 to 11 AM), mid-day (11 AM to 2 PM) and afternoon (2 to 6 PM). To aid discussion with statistical confidence, we refer the reader to Figure S7, which shows 95% confidence intervals of these results obtained from bootstrap resampling. As described earlier, we consider the 95% confidence interval of the

bootstrapped median as an indicator of precision i.e., spatial differences larger than this precision are deemed statistically significant. Overall, OA factors have a  $\sim 0.25 \mu\text{gmg m}^{-3}$  precision. This precision agrees with that determined in an independent dataset acquired in Pittsburgh, PA (Gu et al. *in prep.*) (Gu et al., 2018).

5 Cumulative distribution functions of total OA as well as the three factors identified in this study, resolved by area (Port, West Oakland and Downtown) and time of day: morning (8 to 11 AM), mid-day (11 AM to 2 PM) and afternoon (2 to 6 PM). The colored box around each set of CDFs indicates the period of the day those data represent.

OA. During the morning period (8 to 11 AM), the median OA concentration in downtown is 40% ( $\sim 1.7 \mu\text{gmg m}^{-3}$ ) higher than in West Oakland and Port. Median OA in Port and West Oakland are similar (within precision). On average, the median OA concentration in the entire domain is 16% ( $\sim 0.8 \mu\text{gmg m}^{-3}$ ) higher during mid-day (11 AM to 2 PM), compared to the morning period. Median OA concentration in downtown is 40% ( $\sim 1.9 \mu\text{gmg m}^{-3}$ ) higher than in West Oakland and 21% higher than in Port. During the afternoon (2 to 6 PM), the median OA concentration over the entire domain is 20% ( $\sim 1.2 \mu\text{gmg m}^{-3}$ ) lower than the mid-day period. Median OA concentration in downtown is similar to West Oakland ( $4.6 \pm 0.14 \mu\text{gmg m}^{-3}$ ) and 17% higher than in Port. Overall, total OA concentrations in downtown are consistently higher than Port and West Oakland during morning, mid-day and afternoon periods.

15 HOA. During the morning period, median HOA concentration in downtown is 52% ( $\sim 0.5 \mu\text{gmg m}^{-3}$ ) higher than in West Oakland. Median HOA concentration in West Oakland, in turn, is 32% higher than Port. There is no net increase in median HOA concentration in the entire sampling domain from morning to mid-day. However, from morning to mid-day, the median HOA reduces by 16% and 20% in downtown and West Oakland respectively, while that in Port increases by 64% ( $\sim 0.4 \mu\text{gmg m}^{-3}$ ). As a result, during mid-day, the median HOA in downtown and in Port are similar ( $1.1 \pm 0.01 \mu\text{gmg m}^{-3}$ ), and higher than that of West Oakland by 58% ( $\sim 0.4 \mu\text{gmg m}^{-3}$ ). On average, the median HOA concentration in the entire domain is  $\sim 0.2 \mu\text{gmg m}^{-3}$  lower during the afternoon compared to the mid-day and morning periods. Median HOA concentrations in the three polygons are all similar  $0.8 \pm 0.06 \mu\text{gmg m}^{-3}$ , with Port being highest.

Overall, HOA is highest in downtown in the morning, despite the fact that all of Oakland has roughly equal proximity to highways. While we do not have detailed traffic data for Oakland, it is reasonable to assume that downtown receives a large influx of commuters during the morning rush hour and thus would be expected to have the highest HOA concentrations. Downtown also has a higher road length density compared to West Oakland and Port, and as a result, can accommodate a larger traffic volume per  $\text{km}^2$ . Further, poor ventilation of vehicle emissions in downtown can also contribute to higher HOA levels (Yuan et al., 2014). During mid-day and afternoon, however, Port has similar or higher HOA than downtown. This is expected for two reasons: (a) the commuters contributing to high HOA in downtown during the morning hours are working (and their cars parked) during midday, and (b) the high amount of drayage truck activity in Port. There is some evidence from fuel sales data that truck activity at the Port is higher in the afternoon than the morning. One of the measures implemented to reduce Port emissions was to keep shipping logistics gates open in the evening to dilute daytime congestion of drayage trucks (Port of Oakland, 2016), which may contribute to trucks at the Port not following the typical rush hour traffic patterns of commuters. West Oakland has the lowest HOA concentrations at all times of day, as would be expected for a largely residential area.

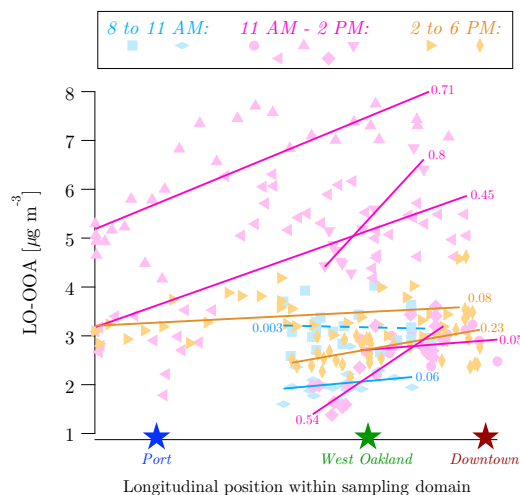


COA. Median COA concentration in downtown is 55% ( $\sim 0.5 \mu\text{gmg m}^{-3}$ ) higher than in West Oakland and 109% higher than in Port during the morning period. Further, COA concentrations in downtown exhibit a larger positive skew (mean/median = 1.5) relative to both West Oakland and Port (mean/median = 1.15). On average, the median COA concentration in the entire domain is  $\sim 0.8 \mu\text{gmg m}^{-3}$  higher during mid-day, compared to the morning period. Median COA concentration in downtown is 27% higher than in West Oakland and Port during mid-day. On average, the median COA concentration in the entire domain is  $\sim 0.3 \mu\text{gmg m}^{-3}$  lower during the afternoon compared to the mid-day period. Median COA concentration in downtown is 11% and 26% higher than in West Oakland and Port, respectively.

Overall, COA is consistently highest in downtown, which is not surprising given the large number of restaurants in downtown. The spatial distribution of COA in West Oakland and Port is uniformly low in the morning, as demonstrated by the steepness of the distribution functions in Figure 7. During mid-day and late afternoon, however, COA levels in both these areas are higher than in the morning. It is not clear why COA in Port is higher than ~~WO~~ West Oakland during mid-day. It should be noted, however, that due to the considerably low road length density in Port, the number of magnets in Port is only  $\sim 10\%$  of those in West Oakland. As a result, even a few cooking sources in Port (most likely food trucks) could cause the spatially aggregated values for Port appearing to be higher than West Oakland. Overall, Port has the lowest COA concentrations, which is expected given the land use in that area.

~~SV-OOA~~ LO-OOA. Median ~~SV-OOA~~ LO-OOA concentration in downtown is 36% ( $\sim 0.9 \mu\text{gmg m}^{-3}$ ) and 17% higher than in West Oakland and Port, respectively, during the morning period. On average, the median ~~SV-OOA~~ LO-OOA concentration in the entire domain is 15% ( $\sim 0.5 \mu\text{gmg m}^{-3}$ ) higher during mid-day than the morning period. ~~Median SV-OOA~~ During mid-day, median LO-OOA concentration in downtown is 18% ( $\sim 0.6 \mu\text{gmg m}^{-3}$ ) higher than in West Oakland and 8% higher than in Port. On average, the median ~~SV-OOA~~ LO-OOA concentration in the entire domain is 20% ( $\sim 0.5 \mu\text{gmg m}^{-3}$ ) lower in the afternoon, compared to the mid-day period. Median ~~SV-OOA~~ LO-OOA concentrations in all three areas are similar ( $2.9 \pm 0.2 \mu\text{gmg m}^{-3}$ ), with downtown being the highest and Port being lowest.

~~SV-OOA~~ LO-OOA concentrations are consistently higher in downtown compared to Port and West Oakland. This finding is unexpected because ~~SV-OOA~~ LO-OOA is secondary; the null hypothesis for secondary species is that concentrations would be spatially uniform. Multiple lines of evidence contribute to the conclusion that ~~SV-OOA~~ LO-OOA is indeed higher in downtown than the Port and West Oakland. First, as shown in Figures 7 and S7, morning-time ~~SV-OOA~~ LO-OOA concentrations are 0.9 and  $0.5 \mu\text{gmg m}^{-3}$  higher in downtown than in Port and West Oakland. Given our determined precision of  $0.25 \mu\text{gmg m}^{-3}$  in OA factors, these spatial differences are significant. As ~~discussed above and~~ shown in Figure S1, our sampling times are not temporally biased; therefore, higher ~~SV-OOA~~ LO-OOA downtown does not appear to be an artifact of our sampling strategy (e.g., downtown is not oversampled at midday relative to West Oakland and Port). Second, the ~~SV-OOA~~ LO-OOA CDFs in Figure 7 are further substantiated by East-West transect drives performed during inter-polygon transits on different days of the campaign and shown in Figure 8. These transects show that there is a general trend of increasing ~~SV-OOA~~ LO-OOA concentrations between the Port and downtown. Third, the enhanced ~~SV-OOA~~ LO-OOA downtown echoes similar measurements made independently in Pittsburgh, where fresh SOA is also enhanced in the downtown area (~~Gu et al. in prep.~~) (Gu et al., 2018).



**Figure 8.** Spatial variations in measured ~~SV-OOA~~ LO-OOA on select intra-polygon transit drives on different days, colored by three different diurnal time periods. Drives that reasonably spanned the longitudinal extent of the sampling domain with minimal latitudinal displacement were picked for this plot. Markers are individual ~~SV-OOA~~ LO-OOA samples and lines are fits for each transect drive. Fits that have a positive slope with proximity to downtown are shown as solid lines. The only fit that has a negative slope is shown as a dashed line.  $R^2$  of fits are shown next to fits. Approximate locations of Port, West Oakland and downtown are shown as references to better visualize longitudinal positions of data.

~~Higher concentrations of SV-OOA in downtown suggest that there is enhanced photochemical activity in that area.~~ The increasing concentrations of LO-OOA with increasing inland distance (Figure 8) can be explained by the predominant Westerly winds (Figure S17). At the typical wind speed of  $\sim 15$  kmph, downtown is 10-15 min downwind from the Port. The additional processing of OA in this time can result in higher LO-OOA in downtown. However, we also observe that the spatial pattern of LO-OOA changes diurnally, with a stronger spatial gradient in the morning than the afternoon (Figure 7). This suggests that the local photochemical microenvironment may also contribute to the observed LO-OOA spatial pattern.

Enhanced photochemical production of SOA could be due to several reasons. Firstly, the pool of reactive SOA precursor vapors is likely enhanced in downtown relative to other areas. We show above in Figure 7 that HOA is highest in downtown. HOA is co-emitted with volatile and intermediate-volatility organic compounds that efficiently generate SOA upon photochemical oxidation (Robinson et al., 2007; Gordon et al., 2013; Zhao et al., 2015).

Secondly, concentrations of the hydroxyl (OH) radical may also be higher downtown. The OH radical is the dominant daytime oxidizing agent, especially for reduced compounds emitted from motor vehicles. It has been shown that in urban, polluted environments (street canyons), high- $\text{NO}_x$  emissions from vehicles render increased levels of nitrous acid (HONO), which in turn results in increased local oxidation capacity (Yun et al., 2017; Villena et al., 2011) due to rapid photolysis of HONO to OH (Stutz et al., 2000; Finlayson-Pitts and Pitts, 2000; Zhong et al., 2017).

A third factor may also contribute to higher precursor concentrations, and therefore additional SV-OOA-LO-OOA, in downtown. The presence of tall buildings in downtown can create higher surface roughness, which in turn can reduce pollutant dispersion and promote internal recirculation (Zhong et al., 2017). This possibility is further examined in Figure S19, which shows building heights in downtown. Downtown has relatively taller buildings that collectively act as a wall parallel to the Interstate 980 highway upwind. The air masses in downtown may experience stagnation and poor ventilation relative to West Oakland and Port. This, in turn, can increase the reaction time, thereby allowing more local SV-OOA-LO-OOA formation.

The combined impact of vehicle emissions on OH (via HONO) and gas-phase precursor concentrations in downtown would be expected to be largest in the morning, since these are co-emitted with HOA. Indeed, the largest enhancement of SV-OOA-LO-OOA in downtown occurs in the morning hours, at the same time as the largest enhancement of HOA. From morning to mid-day, SV-OOA-LO-OOA concentrations become more spatially uniform; median SV-OOA-LO-OOA concentrations in West Oakland and Port increase by 23% ( $0.6 \mu\text{gmg m}^{-3}$ ) and 16% ( $0.5 \mu\text{gmg m}^{-3}$ ) respectively, while downtown only increases by 7% ( $0.25 \mu\text{gmg m}^{-3}$ ). This suggests that while downtown has high photochemical activity in the morning, the entire sampling domain transitions towards a more uniform photochemical state by mid-day.

We further investigated the enhanced photochemical activity in downtown by analyzing mobile measurements of particulate sulfate ( $\text{SO}_4^{2-}$ ).  $\text{SO}_4^{2-}$  is formed upon reaction of gas-phase  $\text{SO}_2$  with OH (Miyakawa et al., 2007), which can be enhanced in polluted environments due to catalytic involvement of black carbon particles (Novakov et al., 1974). Figure S8 shows that  $\text{SO}_4^{2-}$  concentrations in downtown are higher ( $\sim 8\%$ ) than Port and West Oakland, a trend similar to that observed in SV-OOA-LO-OOA concentrations.

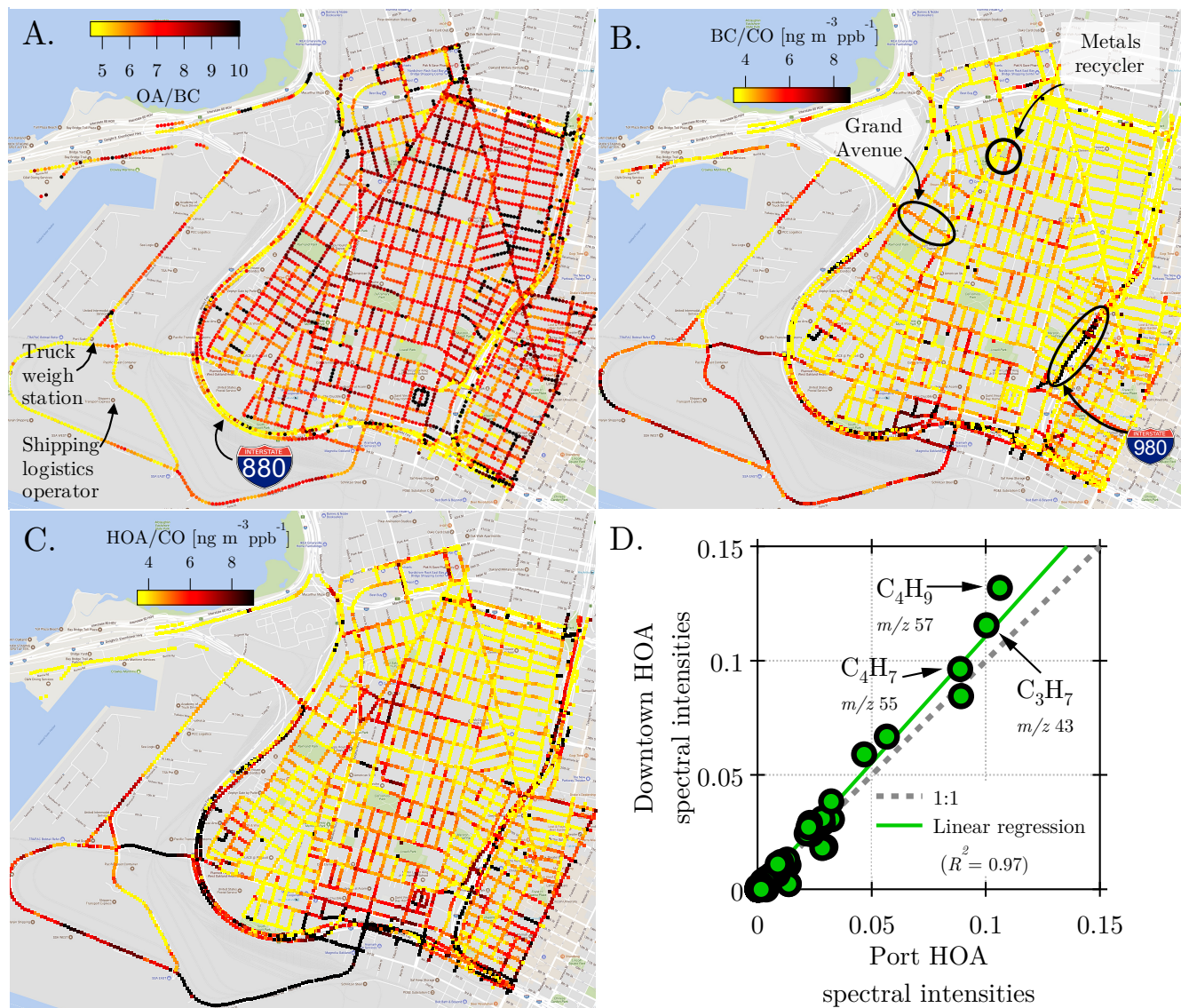
Ships associated with the Port are the major source of  $\text{SO}_2$  in Oakland (Tao et al., 2013). While ship  $\text{SO}_2$  emissions have significantly decreased in recent years, ships remain the dominant  $\text{SO}_2$  source in our sampling domain, and there seem to be no local sources of  $\text{SO}_2$  or particulate  $\text{SO}_4^{2-}$  in downtown. Thus, elevated concentrations of secondary sulfate in downtown would therefore support the hypothesis that OH concentrations are also higher in downtown, giving rise to local variations in  $\text{SO}_4^{2-}$  formation rate.

### 3.4 Spatial patterns of gasoline and diesel vehicle emissions

In this subsection, we compare the influence of emissions from diesel trucks against that from gasoline-powered vehicles. We do this by comparing concentrations and ratios of OA, HOA, BC, and CO. Traditionally, diesel vehicles have significantly higher emissions of HOA and BC than gasoline vehicles (Ban-Weiss et al., 2008), whereas gasoline vehicles have higher CO emissions than diesel vehicles (May et al., 2014). Vehicle emission standards are regularly tightened, so emissions are lower for newer vehicles. However, emission reductions are not equal for all species, so the ratios of different emitted pollutants vary with both vehicle age and fuel type. Thus, the specific emissions and emission ratios from the vehicle fleet in a city, or a portion of the city, depend on both the gasoline-diesel split and the age distribution of gasoline and diesel vehicles.

Based on typical emissions from gasoline and diesel vehicles, we would expect that: (a) all areas with heavy traffic should have elevated concentrations OA, HOA, BC, and CO, and (b) diesel-dominated areas will have higher BC/CO, higher HOA/CO, and lower OA/BC ratios than gasoline-dominated or background locations. The specific concentration ratios in different areas

will be a function of the vehicle fleet in that area and the contribution of fresh emissions versus background. One potential drawback of using concentration ratios to identify gasoline- versus diesel-dominated areas is that emission ratios from cars are becoming more “diesel-like” (May et al., 2014; Saliba et al., 2017), which complicates the analysis for newer vehicle fleets.



**Figure 9.** Fine-scale maps of (a) OA/BC (unitless), (b) BC/CO and (c) HOA/CO. Subfigure (d) shows similarity between the HOA factors identified by factorization of Port-only and downtown-only OA data. The two spectra are dominated by hydrocarbons ( $\text{C}_x\text{H}_y$ ) and are highly similar to each other.

Figure 9A-C shows spatially-resolved OA/BC, BC/CO, and HOA/BC ratios. Because measurements were made at different sampling frequencies, we generate the ratios by first converting the measurements to a synthetic 1 Hz time base as described earlier. All three ratios show an influence of diesel trucks (lower OA/BC, higher BC/CO and HOA/BC) at the Port, on Interstates 880 and 980, and on truck routes that connect I-880 to the Port. The absolute concentrations of BC are also elevated in these areas (Figure S20). Bootstrap resampling the BC dataset shows that the measurements (especially in Port) have a considerable positive skew (Figure S21). The ratio of mean to median BC in Port is higher (1.5) than both West Oakland and downtown (1.2). Because BC concentrations are largely influenced by local sources (diesel trucks), the large amount of drayage trucks in Port likely causes the mean BC to be  $\sim 8\%$  higher than downtown, even though median BC in downtown is  $\sim 7\%$  higher than Port.

All three ratios suggest less diesel influence in West Oakland and downtown than in Port. BC/CO ratios in the Port are generally  $6\text{--}8\text{ ng m}^{-3}\text{ ppb}^{-1}$ , whereas much of West Oakland and downtown have  $\text{BC/CO} \sim 4\text{ ng m}^{-3}\text{ ppb}^{-1}$ . Some hotspots of BC/CO appear in industrial parts of West Oakland, including (a) an area near a metals recycling facility in West Oakland highlighted by Apte et al. (2017) as a location with high diesel traffic and (b) a part of Grand Avenue which is also a truck route. A hotspot of BC/CO also appears on, and downwind of, I-980 in downtown.

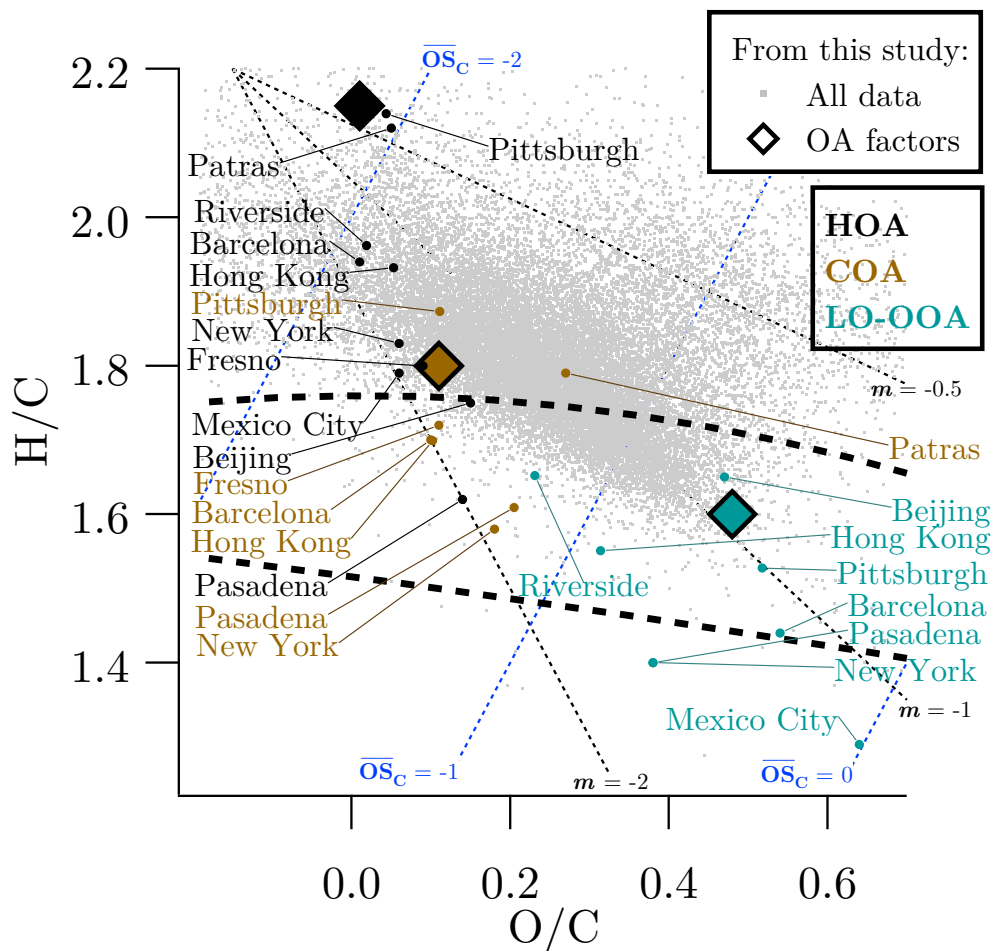
The HOA/CO ratio paints a similar, but not identical picture as the BC/CO ratio. West Oakland and downtown have lower HOA/CO than the Port, suggesting less diesel truck influence. However, downtown has higher HOA/CO than West Oakland. Since gasoline cars appear to be the major traffic source in West Oakland and downtown, we would expect a similar HOA/CO ratio in these areas. However, several factors may contribute to the higher HOA/CO in downtown: (a) the downtown and West Oakland fleets are likely not identical (e.g., more diesel buses in downtown), (b) differences in driving mode, with more stop-and-go driving in downtown than West Oakland, and (c) larger contribution of background CO to the total measured CO in West Oakland.

The overall picture painted by Figure 9A-C is that the Port is more impacted by diesel vehicle emissions than downtown and West Oakland. Downtown has high HOA (Figure S18) because of high traffic volumes, but this area seems to be dominated by gasoline vehicles with a smaller diesel contribution. The large diesel influence at the Port persists in spite of recent, aggressive efforts to upgrade the drayage truck fleet so that the majority (99%) of drayage trucks now have diesel particulate filters (Preble et al., 2015). As shown by Dallmann et al. (2011) and Preble et al. (2015), overall BC emissions from the Port truck fleet fell by  $\sim 75\%$  between 2010 and 2013, suggesting that HOA and BC concentrations at the Port were higher in the past. Further reductions in BC and HOA at the Port could be achieved by addressing high emitters; measurements by Preble et al. (2018) in 2015 showed that 7% of the drayage truck fleet at the Port accounted for 65% of the total emitted BC because the diesel particulate filters on these trucks were failing.

Since the vehicle fleet appears to be significantly different between Downtown and Port, we attempted to derive separate HOA factors for these two areas as a means to directly quantify gasoline versus diesel emissions using the AMS. We isolated Port OA data from downtown OA data and factorized them separately using PMF. The HOA factors identified for Port and downtown nearly identical ( $R^2 = 0.97$ ; Figure 9D). This is likely due to a combination of similar emissions (HOA from gasoline and diesel

excessive fragmentation upon ionization in the AMS. The similarity in the Port and Downtown HOA factors makes it essentially impossible to distinguish HOA emitted by diesel trucks from HOA emitted by gasoline cars.

### 3.5 Elemental analysis of bulk and factor OA



**Figure 10.** The Van Krevelen plane. Gray points represent all OA measurements in this study. Diamonds represent OA factors identified in this study. For reference, placement of OA factors from other ambient measurements are shown: Pittsburgh (Gu et al. *in prep.*) (Gu et al., 2018), Patras, Greece (Kaltsonoudis et al., 2017), Riverside, CA (Docherty et al., 2011), Barcelona (Mohr et al., 2012), Hong Kong (Lee et al., 2015), New York, NY (Sun et al., 2011), Fresno, CA (Ge et al., 2012), Mexico City (Decarlo et al., 2010), Beijing (Hu et al., 2016) and Pasadena, CA (Hayes et al., 2013). Different oxygenation pathways are shown by the black dotted lines. Dotted blue lines are isopleths for average carbon oxidation states ( $\overline{OS}_C = 2 \times O/C - H/C$ ). The region of ambient oxygenated OA measurements, as reported by Ng et al. (2011), is shown between the dashed curves.



In this section, we investigate the measured elemental ratios (H/C and O/C) of OA using a Van Krevelen (VK) diagram. Figure 10 shows all bulk OA data from this study (gray dots). Only 21% of the bulk data fall inside the typical ambient range of OOA measurements (Ng et al., 2011), while the remaining data are on the less oxygenated side of this range. This indicates a dominant presence of highly reduced, primary OA in Oakland. This finding contrasts with the measurements made in other urban areas. For instance, almost all of the bulk OA measurements made in Pasadena, CA were either inside or below (i.e., more oxygenated than) this ambient OOA region (Ortega et al., 2016). This finding is consistent with the large contribution of primary OA in Oakland (Figures 4 and 5) and can be partially explained by the overall spatial proximity of  $\leq 1$  km of all points inside the domain to the nearest highway (Apte et al., 2017). Primary emissions are thus of more importance in Oakland than other urban areas.

Figure 10 also shows that the cluster of bulk OA data from this study aligns with the -1 slope line (slope of linear fit = -1.003, not shown; Pearson's  $R = 0.62$ ). Previous studies have used VK slopes to determine if the processing of ambient OA is dominated by chemical (e.g., oxygenation) or physical (e.g., external mixing of air masses) mechanisms. By isolating chemical processing of Pasadena OA in an oxidation flow reactor, Ortega et al. (2016) showed that chemical processing shifts OA on the VK plane along a shallow slope of  $\sim -0.7$ . A similar slope was observed by Liu et al. (2018) by chemical processing of Beijing OA in an oxidation flow reactor. Similarly, Presto et al. (2014) measured a slope of  $\sim -0.5$  for oxidation of fresh gasoline and diesel exhaust in a smog chamber. By comparing OA measurements in Riverside, CA with those in Pasadena, Hayes et al. (2013) hypothesized that the ~~HOA-to-SV-OOA~~ HOA-to-LO-OOA transformation in Riverside occurred with a steeper VK slope ( $m = -1.1$ ) due to the physical mixing of highly reduced ( $\overline{OS}_C = 2 \times O/C - H/C = -1.92$ ; Kroll et al., 2011; Canagaratna et al., 2015), HOA-rich air masses with OOA-rich air masses in Riverside.

Figure 10 also plots the HOA, COA and ~~SV-OOA~~ LO-OOA factors identified in this study on the VK plane. For reference, similar factors are shown from ambient measurements reported in prior publications. As expected, reduced factors corresponding to primary emissions (HOA and COA) occur closer towards the top-left corner of the VK plane, while the ~~SV-OOA~~ LO-OOA factors occur closer towards the bottom-right corner. With the exception of Pittsburgh and Patras, HOA in Oakland is more chemically reduced ( $\overline{OS}_C = -2.13$ ) compared to HOA in other locations (average  $\overline{OS}_C \sim -1.7$ ). That the composition of HOA in Oakland is more reduced, as well as the overall slope for all OA measurements in Oakland is  $\sim -1$ , suggests that external mixing of highly reduced, HOA-rich air masses with OOA-rich air masses is an important processing mechanism in Oakland. This is also consistent with the recent findings of Ye et al. (2018) via single-particle mass spectrometry in Pittsburgh, PA; particle mixing state shifts from internal to external with  $\sim$  km-scale proximity to urban sources. It is also worth noting in Figure 10 that the HOA measurements that are more chemically-reduced (Oakland, Pittsburgh and Patras) were performed in the past 5 years, compared to the other measurements that were made earlier ( $\sim 10$  years ago). This suggests a change in the chemical composition of vehicular emissions over the past decade.

Finally, this VK analysis also reinforces the choice of a 3-factor PMF solution in this study. In Figure S15, the 3- and 4-factor PMF solutions are compared on the VK plane, along with other reference data as already described in Figure 10. The HOA and COA markers do not change their position on the VK plane between the 3- and 4-factor solutions. This is consistent with the robustness of HOA and COA mass fractions to the choice of PMF solutions, as described earlier and shown in Figure S14.



However, the ~~SV-OOA-LO-OOA~~ factor in the 3-factor solution is split into a highly oxygenated ~~LV-OOA-MO-OOA~~ factor and a fourth, unknown factor. We explained earlier that the 4-factor solution is an artificial splitting of the 3-factor solution. The placement of these factors on the VK planes is consistent with this explanation. The ~~LV-OOA-MO-OOA~~ factor occurs in the far bottom-right corner, where there are no bulk OA data. The fourth OA factor coincides with the center of mass of the bulk OA data cluster, likely because the artificial splitting of the ~~SV-OOA-LO-OOA~~ factor is done with the constraint of minimizing residuals ( $Q/Q_{exp}$ ). Further, the ~~SV-OOA, LV-OOA-LO-OOA, MO-OOA~~ and the unknown factor all fall on the same  $m = 1$  dotted line. Thus, from a strictly mathematical standpoint, this solution offers no new information, given that the ~~LV-OOA-MO-OOA~~ and the unknown factor average along the  $m = 1$  line to form the ~~SV-OOA-LO-OOA~~ factor.

#### 4 Summary and conclusions

Having one of U.S.'s largest shipping ports, the air quality in Oakland has been historically impacted by shipping-related activities such as presence of ships burning high-sulfur fuel and drayage trucks driving through the directly adjacent residential neighborhood (Fisher et al., 2006; Fujita et al., 2013). In the past decade, regulations on ~~drayage trucks and~~ ship fuel usage have dramatically reduced emissions (Tao et al., 2013; Dallmann et al., 2011; Preble et al., 2015). ~~Findings of this study are consistent with these previous studies regarding the substantially improved air quality in Oakland. However, the port is not~~ Further, more than 70% of the ~~only source of emissions that could impact ships at the Port now utilize shore power provision and thus do not idle their engines. It is thus reasonable to expect that the influence of ship emissions on~~ the air quality in Oakland. ~~The city also has~~ has substantially reduced after the measurements of Tao et al. (2013). Enforced installation of diesel particulate filters on drayage trucks has also significantly reduced truck emissions at the Port Dallmann et al. (2011); Preble et al. (2015), although a recent finding by Preble et al. (2018) has raised concern about the exhaustion of these filters and the resultant increase in truck emissions.

In addition to the Port, Oakland also has a central business district ("downtown") that has activities such as domestic vehicular traffic and cooking, similar to other urban areas. ~~Therefore, urban~~ Urban downtowns are also a prominent source of organic aerosols (OA; Mohr et al., 2015), which make up a dominant part of particulate matter (PM). Since the residential West Oakland neighborhood falls in the middle of the port and downtown, it is important from a health exposure perspective to determine the spatial variability of pollutants within the city and to determine which of these two area sources drive spatial variability in PM.

The objective of this study was to examine the spatial and temporal patterns in pollutants impacting the air quality in Oakland through mobile sampling in an instrumented van. Organic aerosol (OA) contributes the largest fraction ( $\sim 50\%$ ) of  $PM_{10}$  mass. We find that primary emissions from cooking (COA) and vehicles (HOA) as well as secondary OA (~~SV-OOA-LO-OOA~~) contribute to the spatial variation within Oakland. Key findings are:

1. Organic aerosol is the dominant component of  $PM_{10}$  (OA;  $\sim 50\%$ ), and its contribution is roughly twice that of sulfate (23%). This finding is consistent with the that of Tao et al. (2013), who also showed that the enforcement of low-sulfur fuel usage in ships has successfully reduced the amounts of particulate-phase  $SO_4^{2-}$  in the local PM in Oakland.

2. In downtown, concentrations of primary OA are higher than secondary OA. The dominant source of these primary OA emissions shifts diurnally between cooking and vehicles: pre-10 AM fresh emissions are from vehicles, but cooking emissions contribute dominantly to OA after lunchtime. ~~Further, by investigating the ratio of OA emitted from vehicles to~~
- 5 3. While it is challenging to mathematically apportion traffic-emitted OA between drayage trucks and cars, we use ratios of OA and black carbon (BC; particulate matter emitted typically from diesel combustion in trucks) ~~we to CO and~~ show that drayage truck emissions ~~do affect the spatial variability of OA in space (Port) and time (afternoon, have an important effect on the concentrations of OA and BC at the Port, especially~~ when truck traffic typically peaks ~~) in the afternoon. However, cars seem to be the dominant source of traffic emissions in Downtown and West Oakland.~~
- 10 4. Secondary OA (SOA) also exhibits spatial variability similar to primary OA. ~~SV-OOA LO-OOA~~ concentrations are higher in downtown, likely because a combination of various factors (poor ventilation of air masses in street canyon, higher emissions of SOA precursors, higher OH concentrations) result in downtown being a microenvironment with high photochemical activity.
- 15 5. Overall chemical composition of OA in Oakland is more chemically-reduced relative to that reported in studies in several other locations. Especially, HOA in Oakland is more reduced than other locations. This reflects the importance of primary emissions in Oakland. Further, by comparing measurements from other studies, we show that the chemical composition of HOA has likely become more reduced over the last decade. Lastly, external mixing of air masses with contrasting pollutant concentrations plays an important role in the processing of these chemically-reduced emissions.

These findings have important implications for population exposure studies because urban downtowns tend to have a concentrated presence of workplaces, resulting in people being exposed to these elevated pollutant concentrations for ~ 8 h (typical work day duration) ~~everyday~~every day. These findings are experimentally shown for Oakland as a case city, which has unique elements (oceanic winds mixing with urban emissions, presence of a major port) that likely have a unique influence on the intra-city patterns in its air quality. However, Oakland also has elements that are fairly typical of urban areas (e.g., downtown with high cooking and vehicular emissions). The findings of this study are thus likely applicable to other urban areas as well, because the pollutants we find contributing the most to OA variability, both of primary and secondary origin, are ubiquitous in other urban locations.

*Author contributions.* RUS, ESR and PG collected data. RUS performed data analysis with input from all co-authors on the interpretation of results. RUS and AAP wrote the manuscript with significant input from ALR. ALR, JSA and AAP designed the research.

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**High spatial resolution mapping of aerosol composition and sources in Oakland, California using mobile aerosol mass spectrometry**

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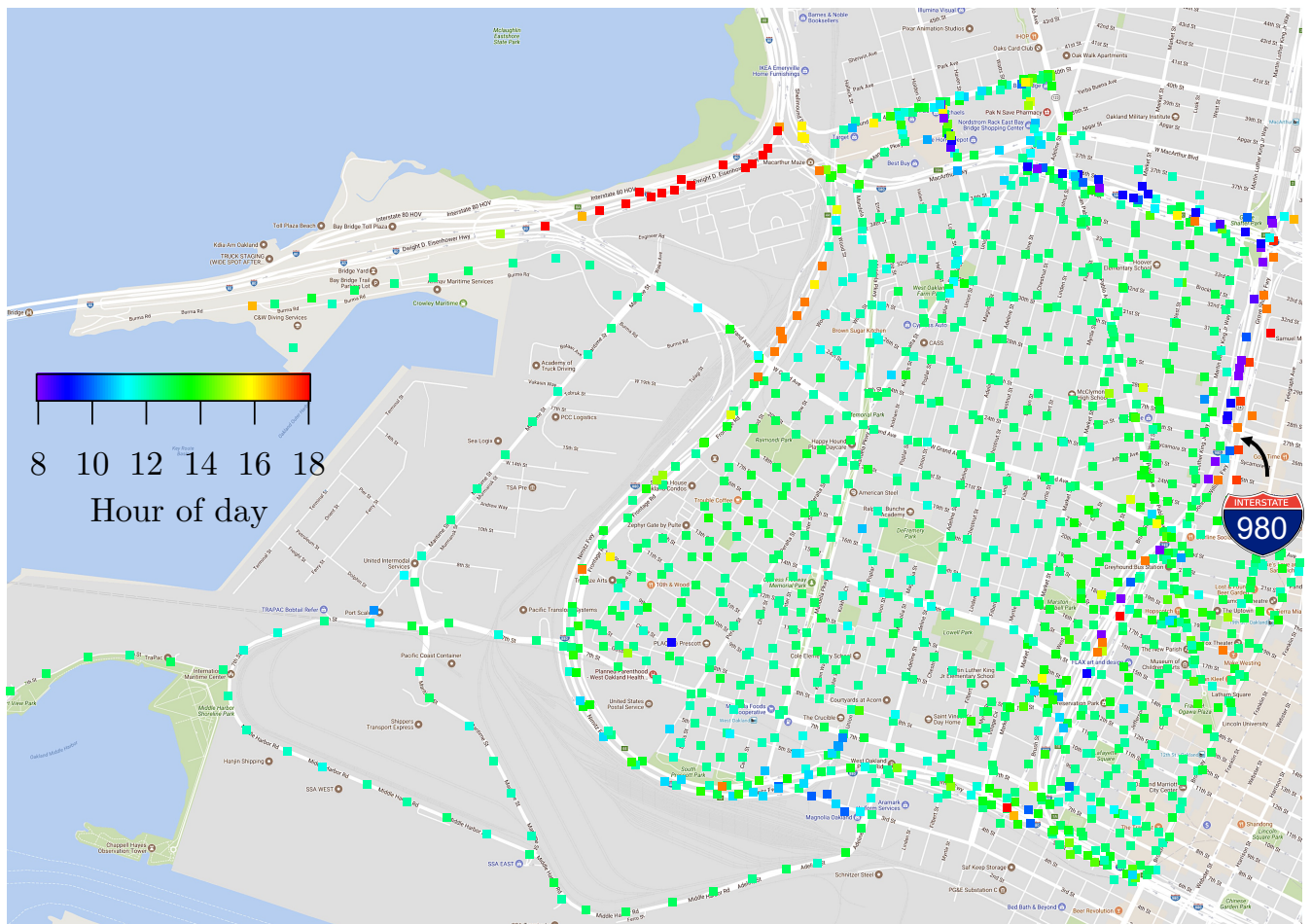
Correspondence to: Albert A. Presto (apresto@andrew.cmu.edu)

**A1 Accounting for temporal trends**

Over the course of mobile sampling, the urban background air quality can have daily and diurnal variations due to meteorological changes. These variations can be accounted for with the help of concurrent stationary measurements performed at an urban background location, provided this background location is not in close proximity ( $\leq 50$  m) of a major emission source (e.g., a street with  $> 3000$  daily vehicles, construction sites, industrial emissions, etc; Hoek et al., 2002; Van den Bossche et al., 2015). Further, different meteorological conditions (temperature, relative humidity, solar irradiation) influence individual components of particulate matter differently (e.g., the gas-particle partitioning of  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$  and OA have different sensitivities to temperature; more irradiation can indirectly result in more secondary OA). Ideally, the temporal correction of mobile measurements of a particular PM component would be performed using background measurements of that individual component performed in the same manner. Thus, mobile AMS measurements should ideally be corrected using concurrent stationary AMS measurements (Mohr et al., 2015).

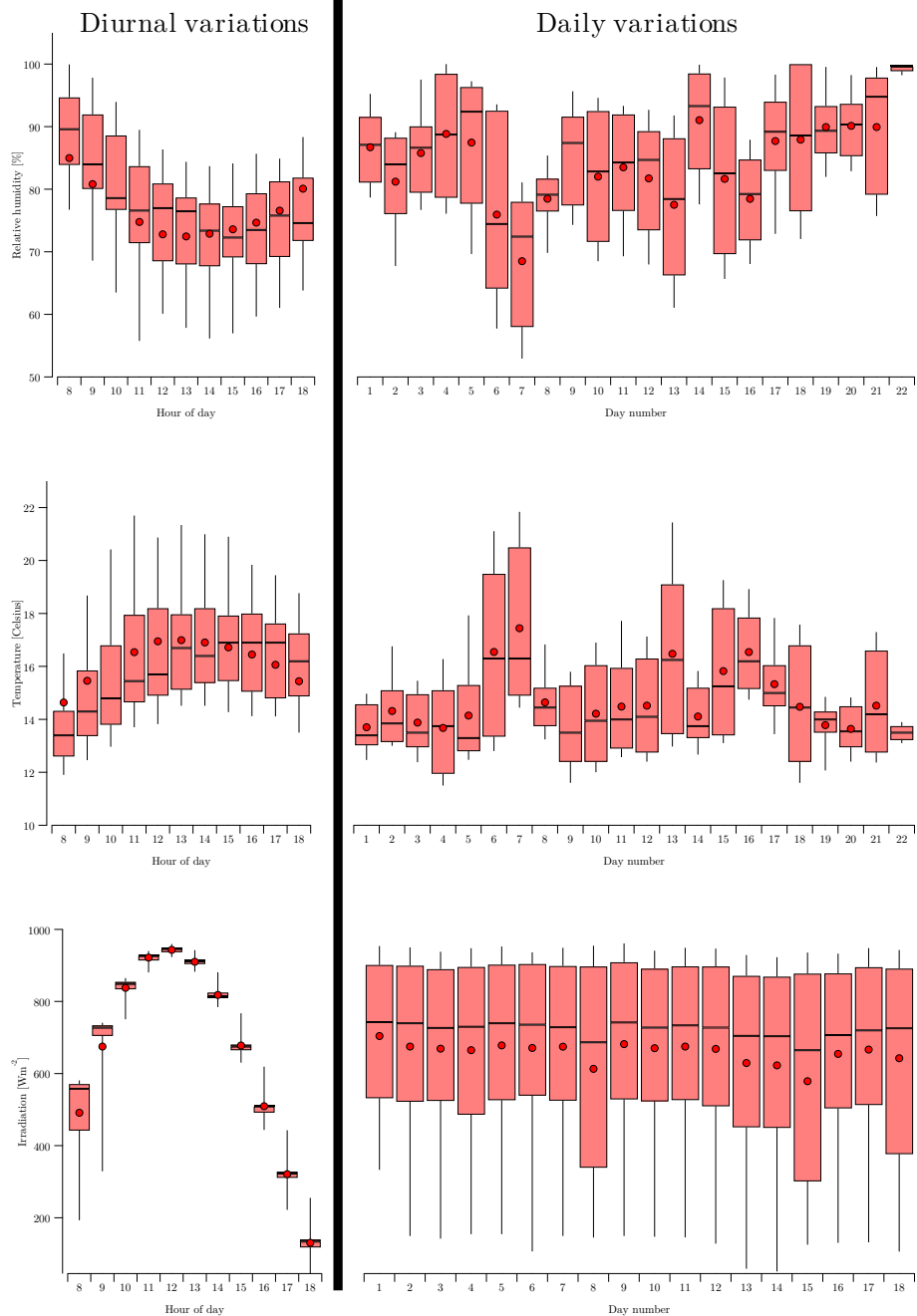
We did not perform concurrent stationary AMS measurements in Oakland in this campaign. Stationary measurements of criteria pollutants ( $\text{CO}$ ,  $\text{NO}_x$ ,  $\text{PM}_{2.5}$ , etc.) were made by a regulatory monitor operated by the Bay Area air quality management district. However, this monitor was located in a parking lot within  $\sim 30$  m of a major street ( $> 20,000$  vehicles daily; Knoderer et al., 2016). Due to this, we did not use this monitor as an indicator of diurnal variations in urban background. Instead, we assumed that by shuffling the order of visiting each polygon within the sampling domain, repeated measurements at a given point in space were well-balanced in time. We validated this assumption by running the timestamps of our data through the spatial aggregation routine described earlier. The resulting map (Figure S1) confirms that the measurements in this study were indeed well-balanced in space and time. Further, diurnal variations in meteorological factors had a consistent pattern on all days of this campaign (Figure S2), hence by balancing our samples in space and time, we assume that the effects of diurnal variations in urban background are nullified upon aggregation of data from multiple days.

Figure S2 shows that daily variations in meteorological factors is typically larger and sporadic relative to diurnal variations. To account for the possible effect of these daily variations on urban background, we tested a daily multiplicative correction factor to our mobile measurements based on stationary measurements of  $\text{PM}_{2.5}$  at the regulatory site.

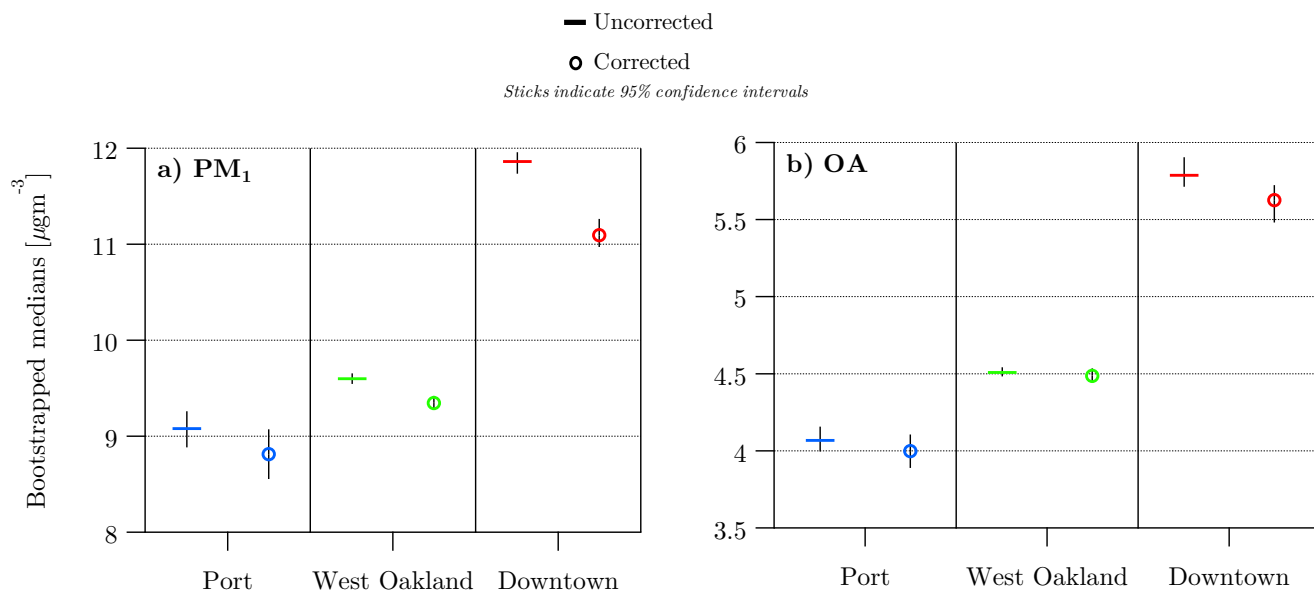


**Figure S1.** Spatially aggregated timestamp of all AMS measurements. Typically, drives were performed from 0800 to 1800 hours. If all parts of the domain were sampled randomly everyday, the average of all measurement timestamps should ideally occur at 1300 hours. This figure shows that this ideality was indeed realized in this campaign and that we balanced our measurements in space and time reasonably well. On most days, we used the south- and north-bound lanes of Interstate 980 to enter and exit the domain at 0800 and 1800 hours, respectively. As a result, there is a temporal bias in sampling this highway, as shown by the figure.





**Figure S2.** Diurnal and daily variations in meteorological factors in Oakland during the sampling period. Diurnal variations have a consistent pattern that repeats everyday. By comparison, daily variations have more sporadic variations.



**Figure S3.** Effect of applying a daily correction factor to mobile measurements of a) PM<sub>1</sub> and b) OA. The 95% confidence intervals are achieved from bootstrapping and represent the difference between the 5<sup>th</sup> and 95<sup>th</sup> percentiles of the bootstrapped medians. Each day's correction factor is calculated as  $CF_i = \frac{C_i}{C_{campaign}}$ , where  $C_i$  is the median PM<sub>2.5</sub> concentration measured on the  $i^{\text{th}}$  day of the campaign, and  $C_{campaign}$  is the median PM<sub>2.5</sub> concentration measured on all days of the campaign. Stationary PM<sub>2.5</sub> measurements were made by the regulatory monitor in West Oakland (Knoderer et al., 2016). Since we typically drove everyday from 8 AM to 6 PM, we only used stationary data from this daily time period.

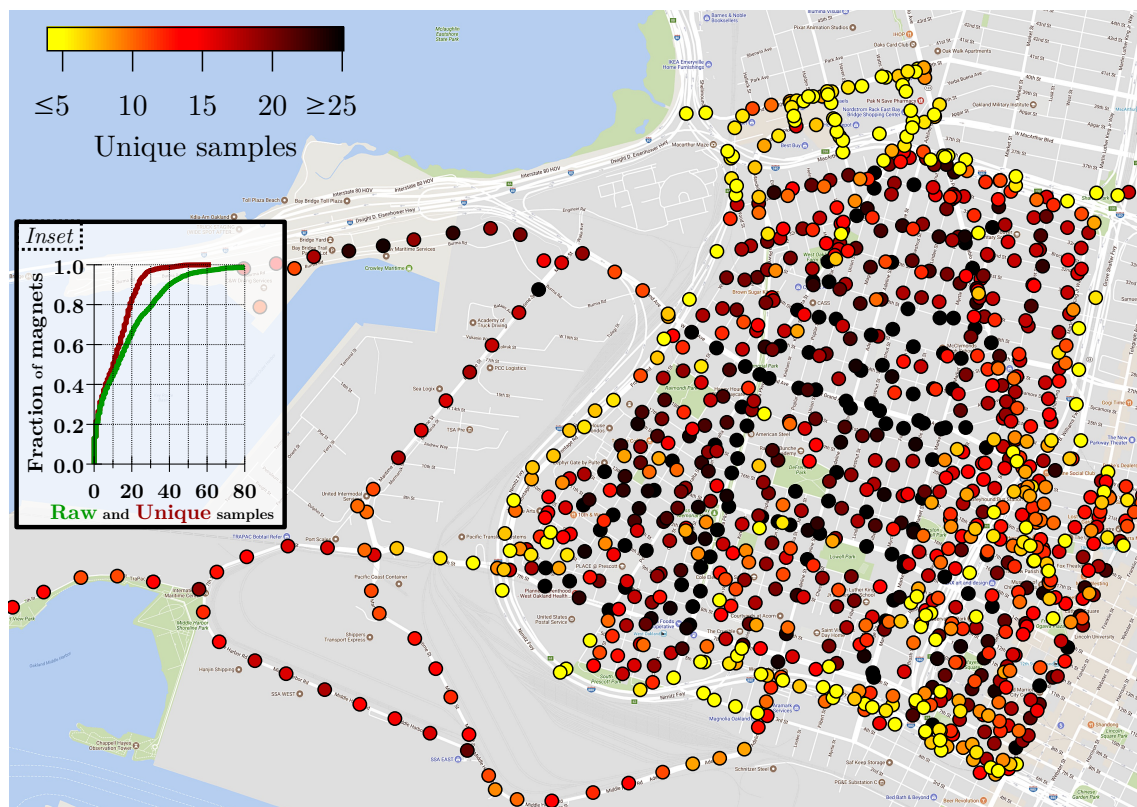
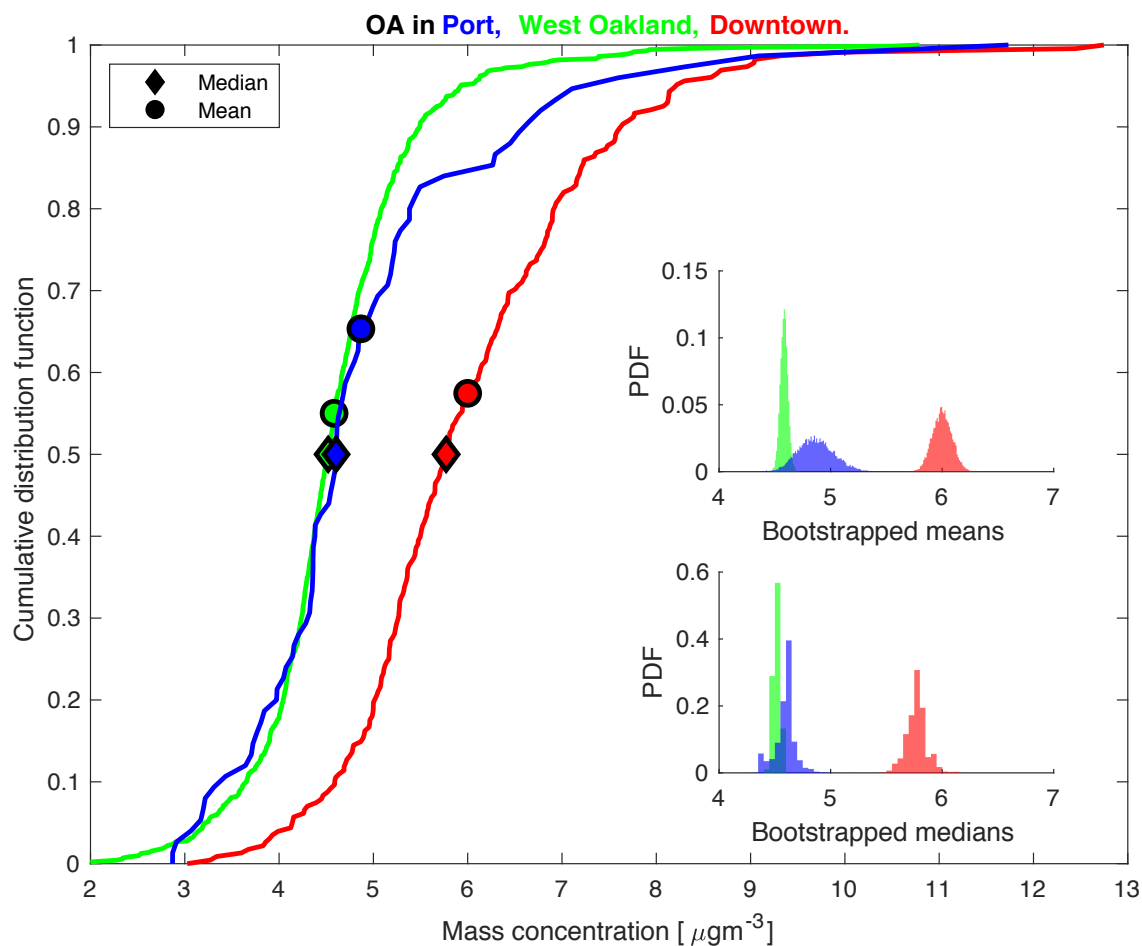
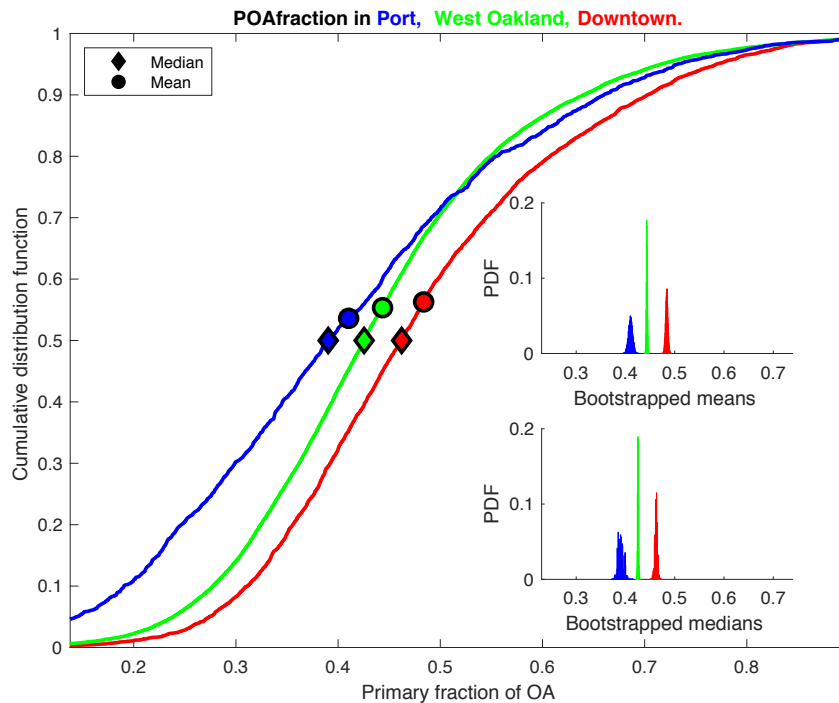


Figure S4. Sampling coverage of the domain. Inset: Cumulative distribution of raw and unique samples in all magnets in domain.

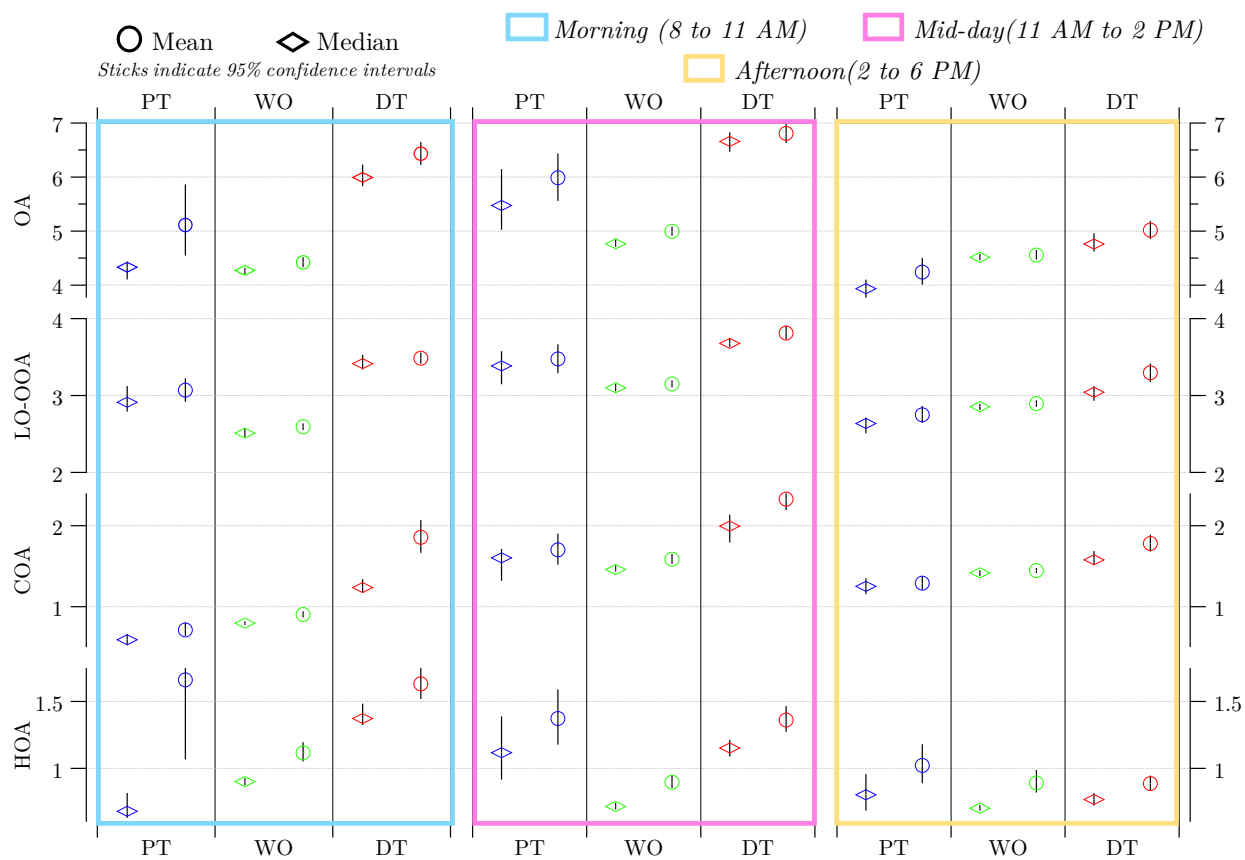


**Figure S5.** Polygon-specific cumulative distribution function (CDF) curves of spatially-aggregated OA concentrations. *Insets:* Probability distribution function (PDF) histograms for central tendency statistics (mean and median) of synthetic datasets created using bootstrap resampling. The PDF histogram for bootstrapped medians is shown with a coarser bin-width to guide the eye better. The abscissae on the insets have the same units as the parent abscissa, but with a zoomed-in scale.

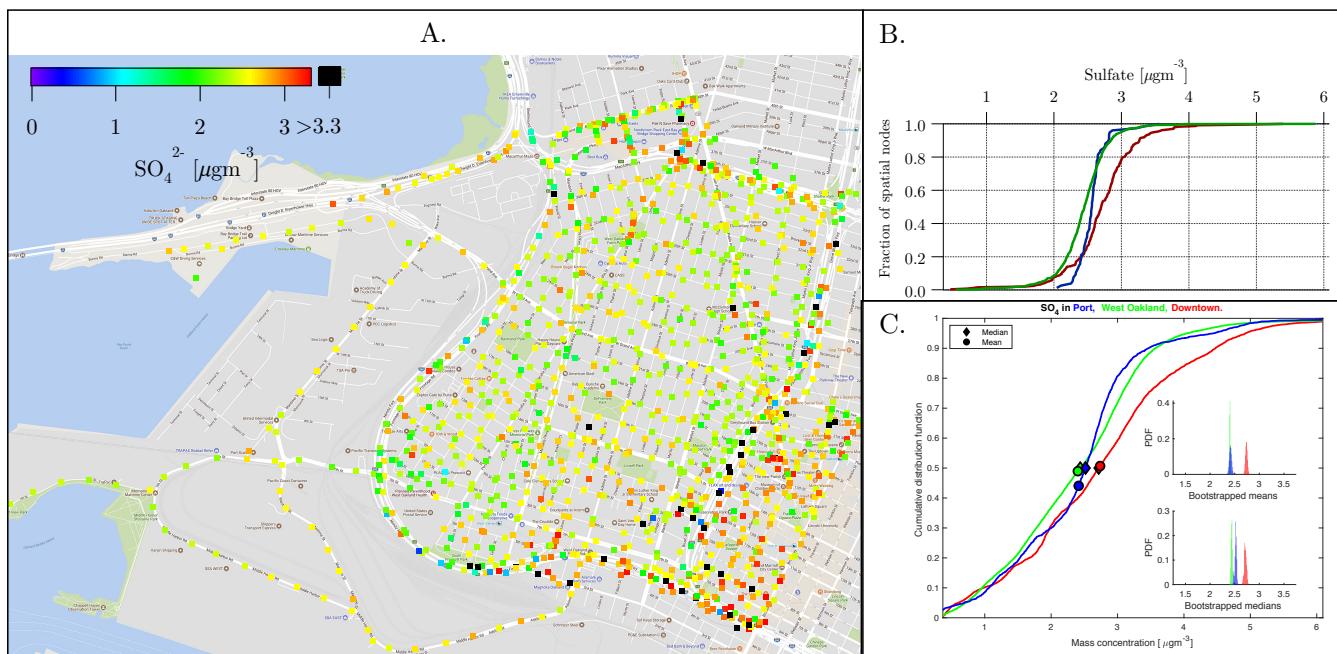
Polygon-specific-cumulative distribution function (CDF) curves of black carbon (BC) concentrations. *Insets:* Probability distribution function (PDF) histograms for central tendency statistics (mean and median) of synthetic datasets created using bootstrap-resampling of raw data. The PDF histograms are shown with a coarser bin-width to guide the eye better. The abscissae on the insets have the same units as the parent abscissa, but with a zoomed-in scale:



**Figure S6.** Cumulative distribution functions of primary fraction of OA (i.e., COA + HOA), resolved by area. Insets are results of bootstrapping.



**Figure S7.** Bootstrapped statistics of OA and its factors, resolved by area and by time periods.

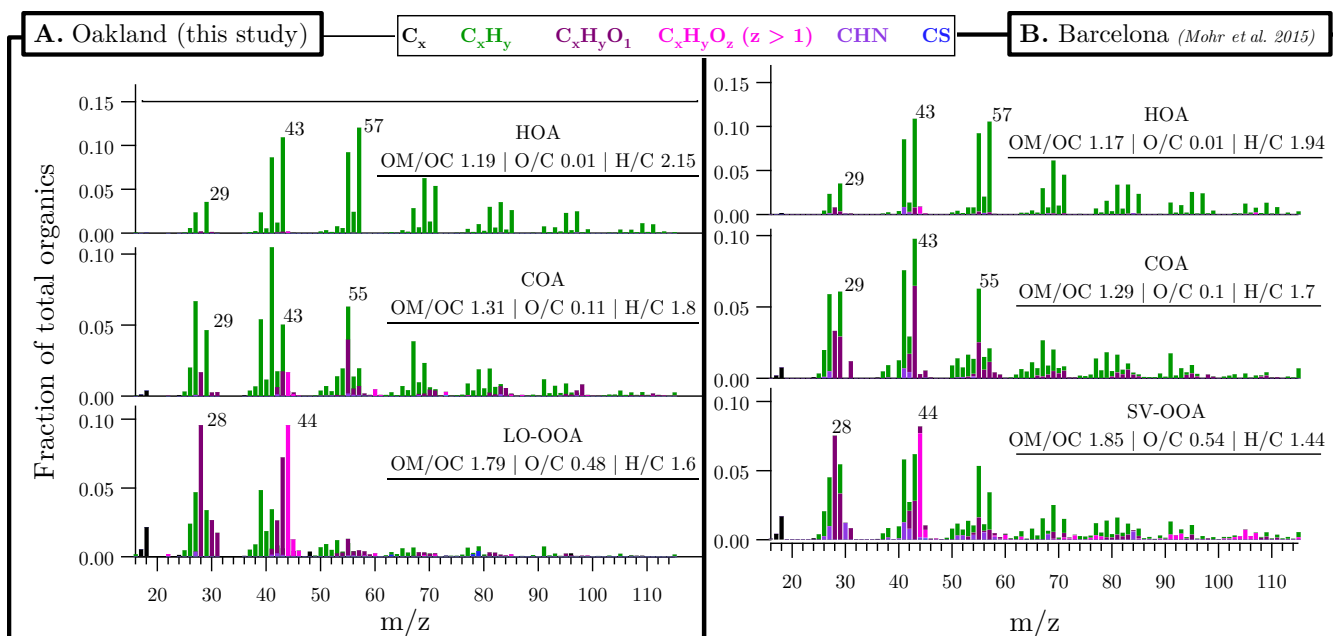


**Figure S8. A:** Median  $\text{SO}_4^{2-}$  at all magnets. **B:** Cumulative distribution function (CDF) curves of spatially-aggregated  $\text{SO}_4^{2-}$  concentrations. **C:** CDF curves of  $\text{SO}_4^{2-}$  concentrations without spatial aggregation. *Insets:* Probability distribution function (PDF) histograms for central tendency statistics (mean and median) of synthetic datasets created using bootstrap resampling of raw data. The abscissae on the insets have the same units as the parent abscissa, but with a zoomed-in scale.

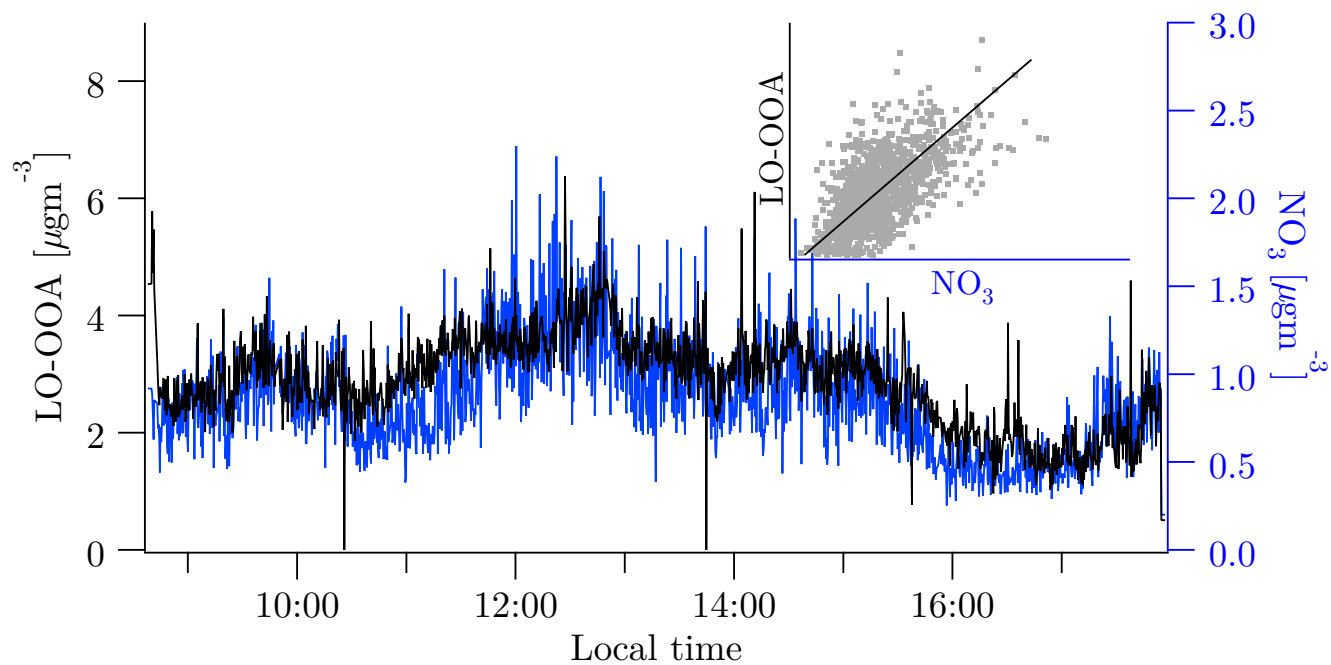
It is seen that the CDFs of all polygons become “tighter” after spatial aggregation, which is expected, since the spatial aggregation routine reduces the spread in measurements via data reduction. However, the evidence of downtown being having a higher median (and especially higher mean)  $\text{SO}_4^{2-}$  than Port and West Oakland is not diminished by this data reduction, confirming that this trend is consistent across all days of sampling. Further, the  $\text{SO}_4^{2-}$  data exhibit minor positive skewness, suggesting that there are no local point sources of  $\text{SO}_4^{2-}$  in the domain.



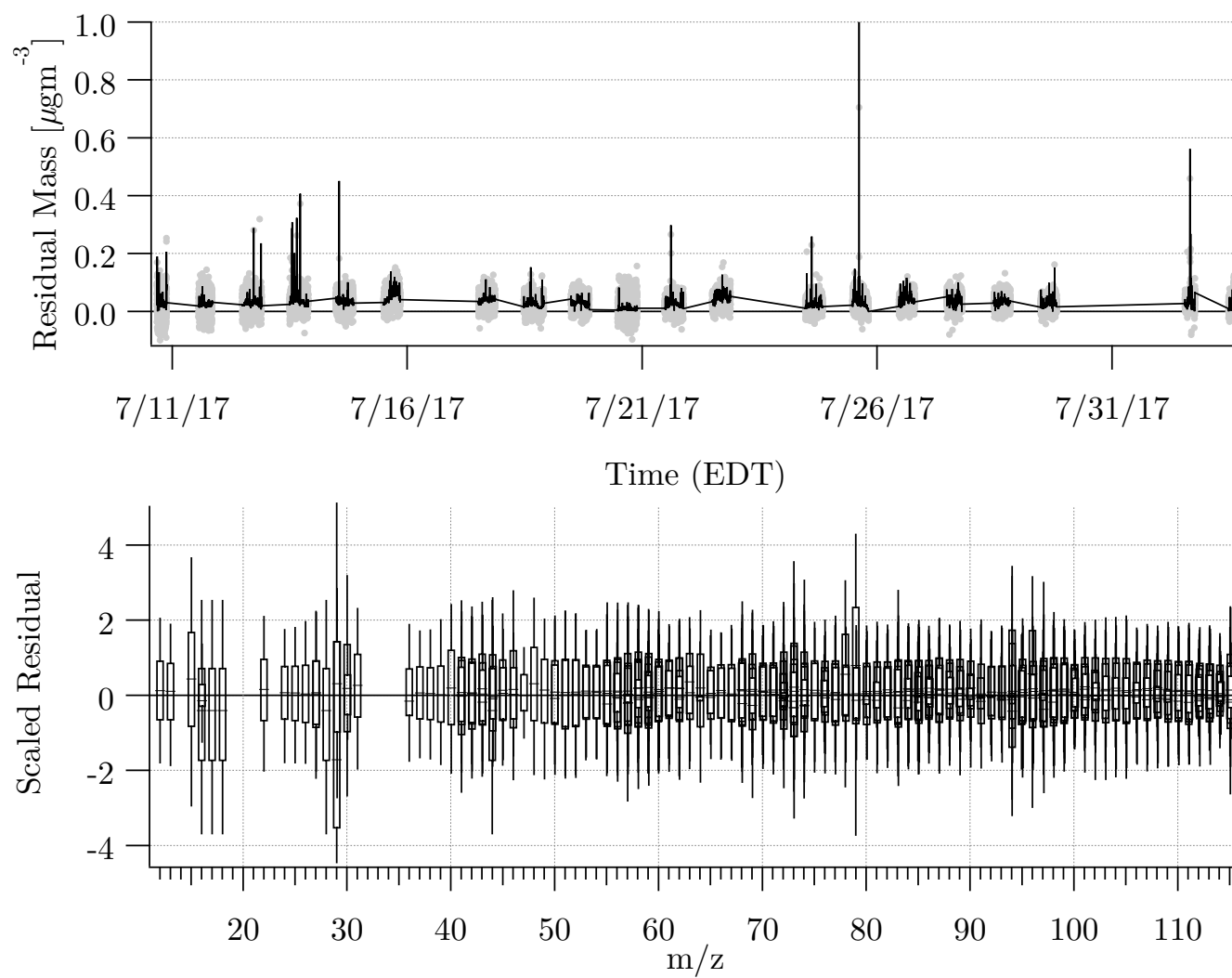
## A4 Quality of PMF solution



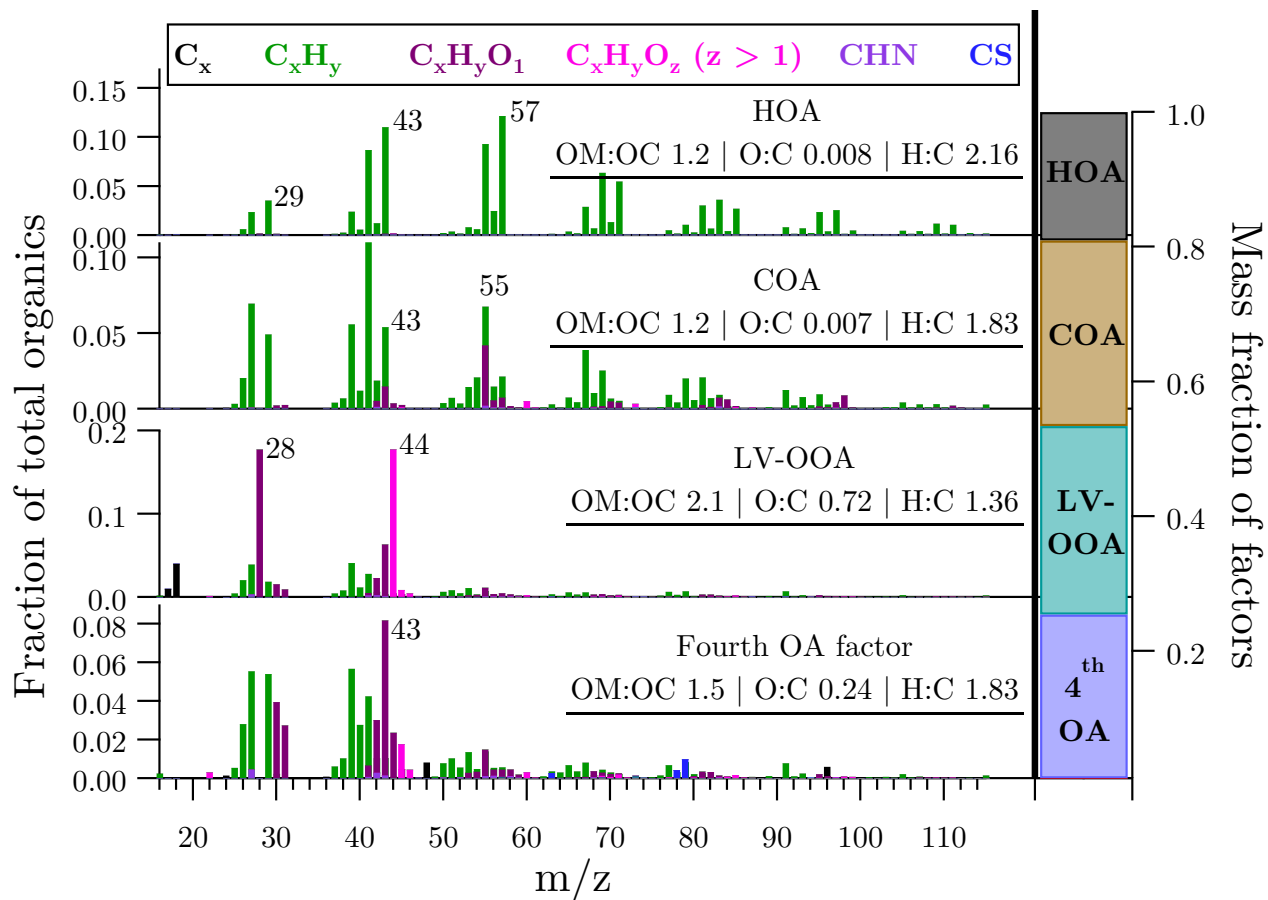
**Figure S9.** PMF factor mass spectra identified in **A.** Oakland and **B.** Barcelona (Mohr et al., 2015). Barcelona spectra obtained from the online high-resolution spectral database (Ulbrich et al., 2018, 2009).



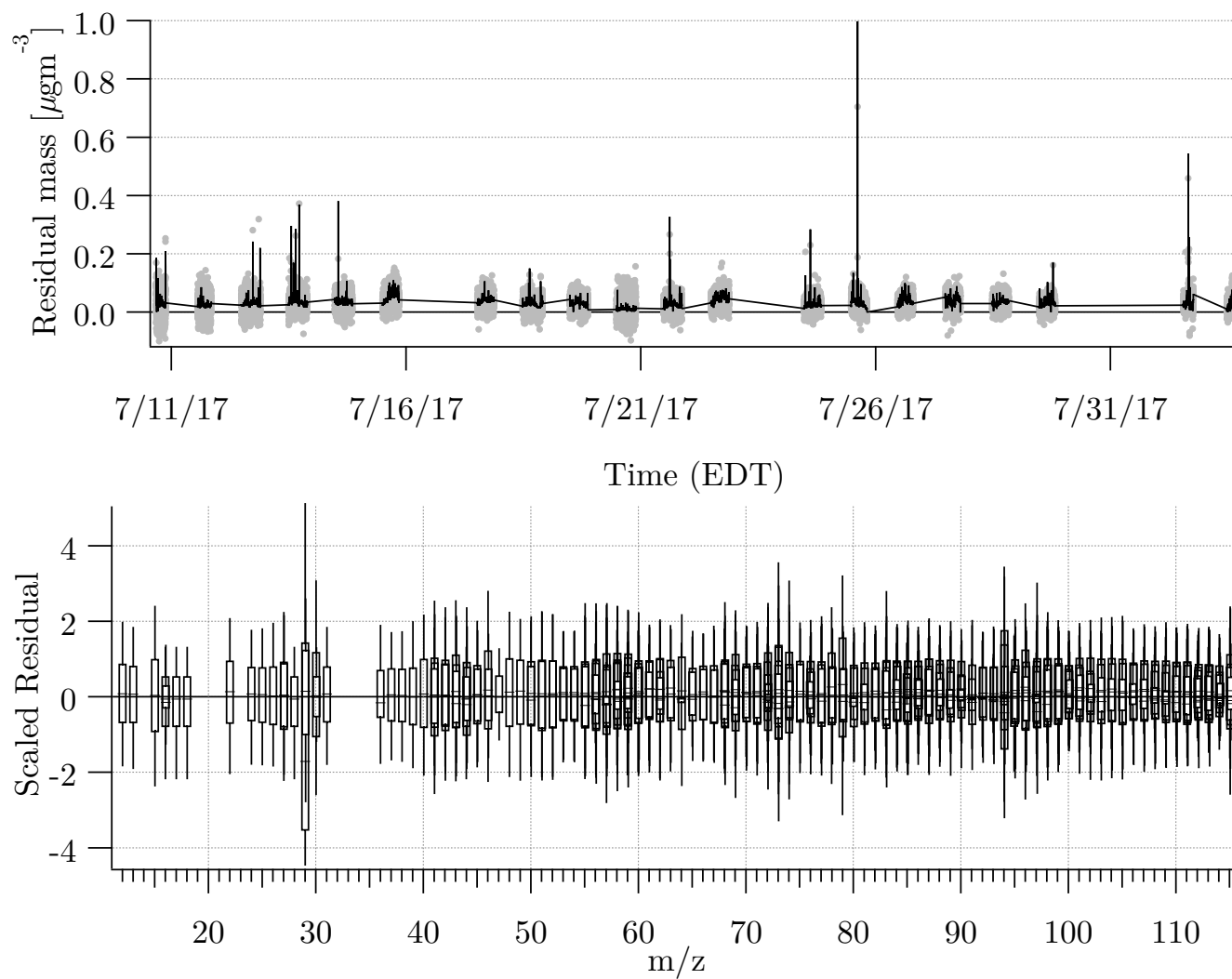
**Figure S10.** ~~SV-OOA~~LO-OOA factor time series matched against  $\text{NO}_3^-$ , a marker for semi-volatile photochemically aged OA.



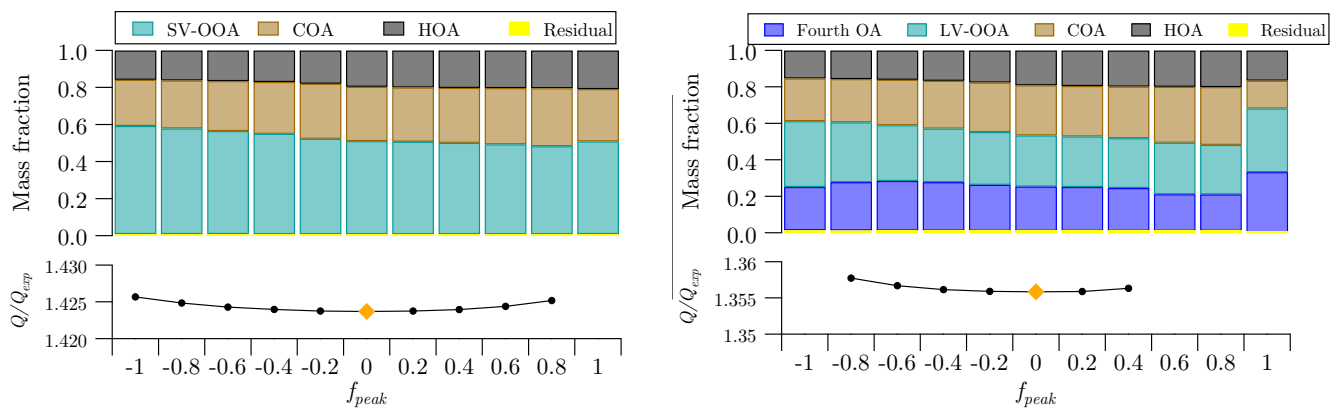
**Figure S11.** Residuals of three-factor PMF solution. The boxplots show the median (centerline) and quartiles (box limits) of the scaled residuals.



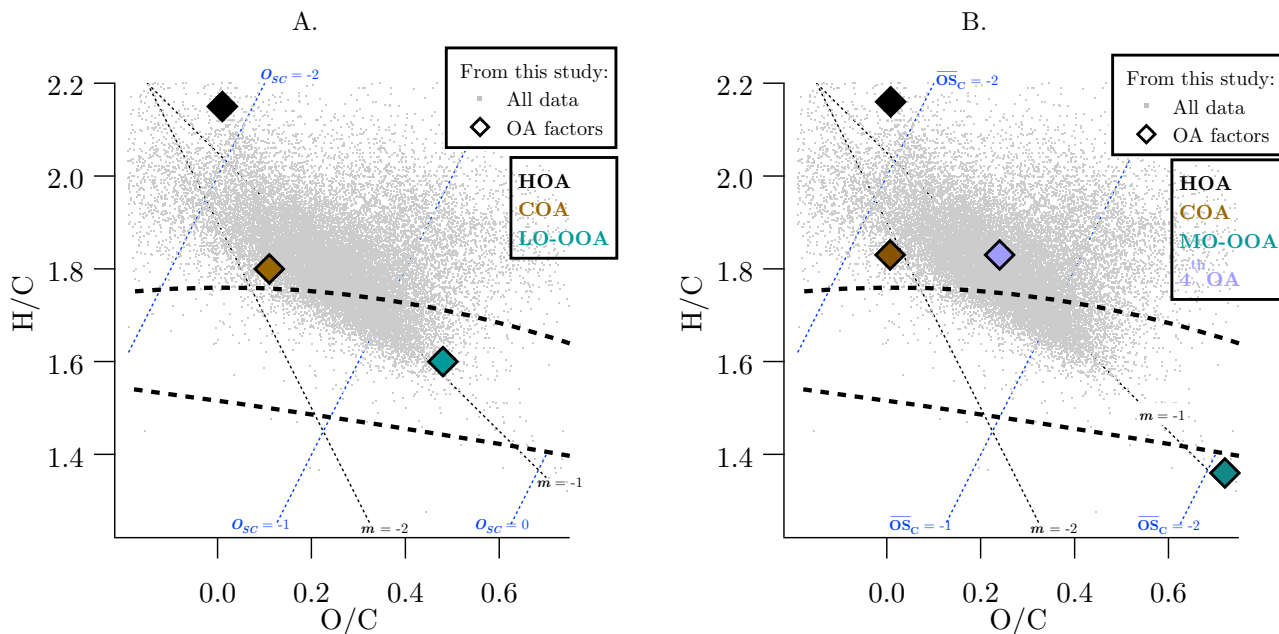
**Figure S12.** Mass spectra and elemental ratios of the four factors obtained from PMF analysis



**Figure S13.** Residuals of four-factor PMF solution. The boxplots show the median (centerline) and quartiles (box limits) of the scaled residuals.



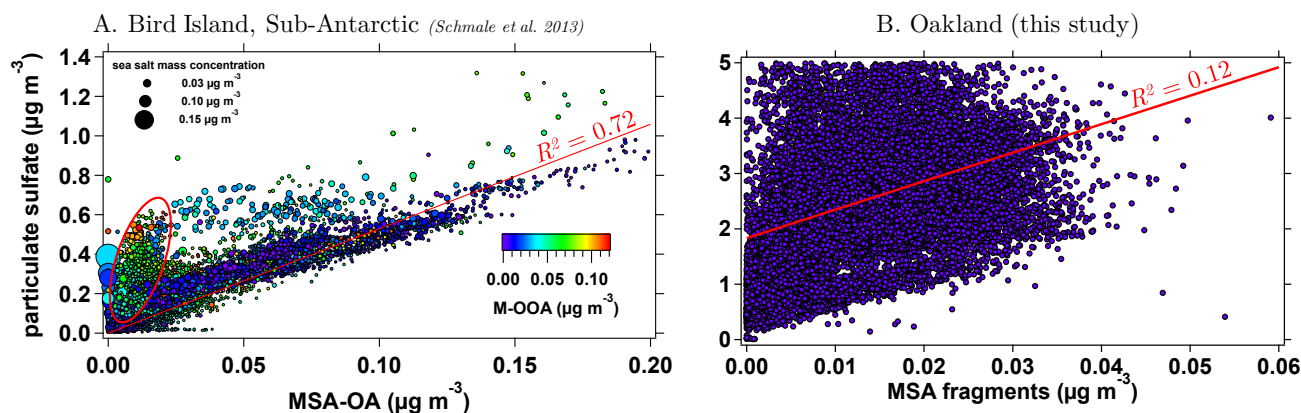
**Figure S14.** Variation in  $Q/Q_{exp}$  and mass fractions of factors with  $f_{peak}$  for 3- and 4-factor solutions.  $Q$  = sum of square of scaled residuals.  $Q_{exp}$  = number of degrees of freedom of the fitted data.  $Q/Q_{exp}$  should be  $\approx 1$ .  $f_{peak}$  is a rotational parameter that can be chosen to examine different possible variations within an  $n$ -factor solution (Ulbrich et al., 2009).



**Figure S15.** The Van Krevelen plane. Gray points represent all OA measurements in this study. Diamonds represent OA factors identified in the 3-factor (A.) and 4-factor (B.) PMF solution. Different oxygenation pathways are shown by the black dotted lines. Dotted blue lines are isopleths for average carbon oxidation states ( $\overline{OS}_C = 2 \times O/C - H/C$ ). The region of ambient oxygenated OA measurements, as reported by Ng et al. (2011) is shown between the dashed curves.

### Marine influence.

Marine influence on aerosol properties has been shown to be important in some locations. For instance, Schmale et al. (2013) reported a “MSA-OA (methanesulfonic acid OA)” factor in their study on a remote island in the Antarctic ocean. By correlating particulate sulfate with this MSA mass contribution, Schmale et al. (2013) indeed show that marine influence on aerosol properties can be important (Figure S16A). We do not observe this marine influence in our data. Multiple lines of evidence point to this assessment: a) the correlation between particulate sulfate and MSA in Oakland (our data; Figure S16B) is  $R^2 = 0.12$ , while that of Schmale et al. (2013) is  $R^2 = 0.72$ , b) the ratio of MSA/sulfate in Oakland is only  $\sim 0.01$ , while that reported by Schmale et al. (2013) is  $\sim 0.25$ , c) the relative contribution of this MSA factor to the total OA in Oakland is less than 1% while that reported by Schmale et al. (2013) is 25%. That Oakland is an urban area and the measurement location of Schmale et al. (2013) was a remote island in the Antarctic explains these differences between the two datasets. Similarly, the work of Ovadnevaite et al. (2011) reported measurements in a remote location in Mace Head, Ireland which had a significant influence from marine OA with minor urban sources.



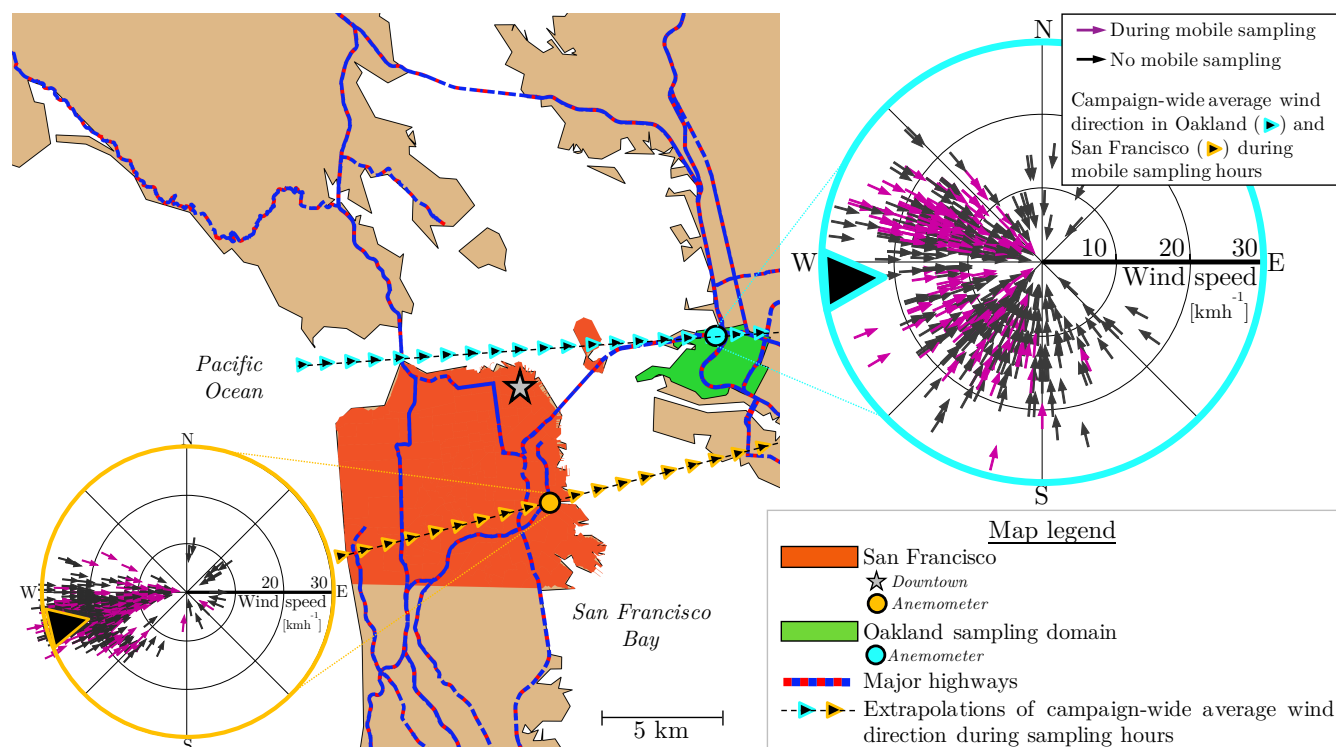
**Figure S16.** Correlation between particulate sulfate and methanesulfonic acid (MSA) OA reported in A) remote location of Bird Island research station in the sub-Antarctic region by Schmale et al. (2013) and B) Oakland (this study). Note: the axes on the two subplots are scaled differently. In the linear fit in the left subplot, Schmale et al. (2013) excluded data with M-OOA  $> 0.01 \mu\text{gm}^{-3}$  (e.g., data cluster in the ellipse), where M-OOA was a highly oxygenated PMF factor ( $\text{O/C} > 1$ ), attributed to background wind trajectories.

Contrasting to these two studies in remote locations, Crippa et al. (2013a) and Mohr et al. (2015) performed measurements in urban areas and their PMF results showed urban OA factors (HOA, COA, SV-OOA). Similar to our results from Oakland, Mohr et al. (2015) revealed no marine OA influence in Barcelona, despite it being a coastal location and receiving sea breezes. On the other hand, Crippa et al. (2013a) reported a marine factor in Paris. Paris receives influence from different directions (urban as well as clean marine wind masses). Due to these very different static contributions to the total OA, the PMF analysis of Crippa et al. (2013a) was able to identify a distinct marine factor. However, in the case of Oakland, we are unable to mathematically show a distinct marine factor presence because the wind directions remain relatively stable. As a result, rather



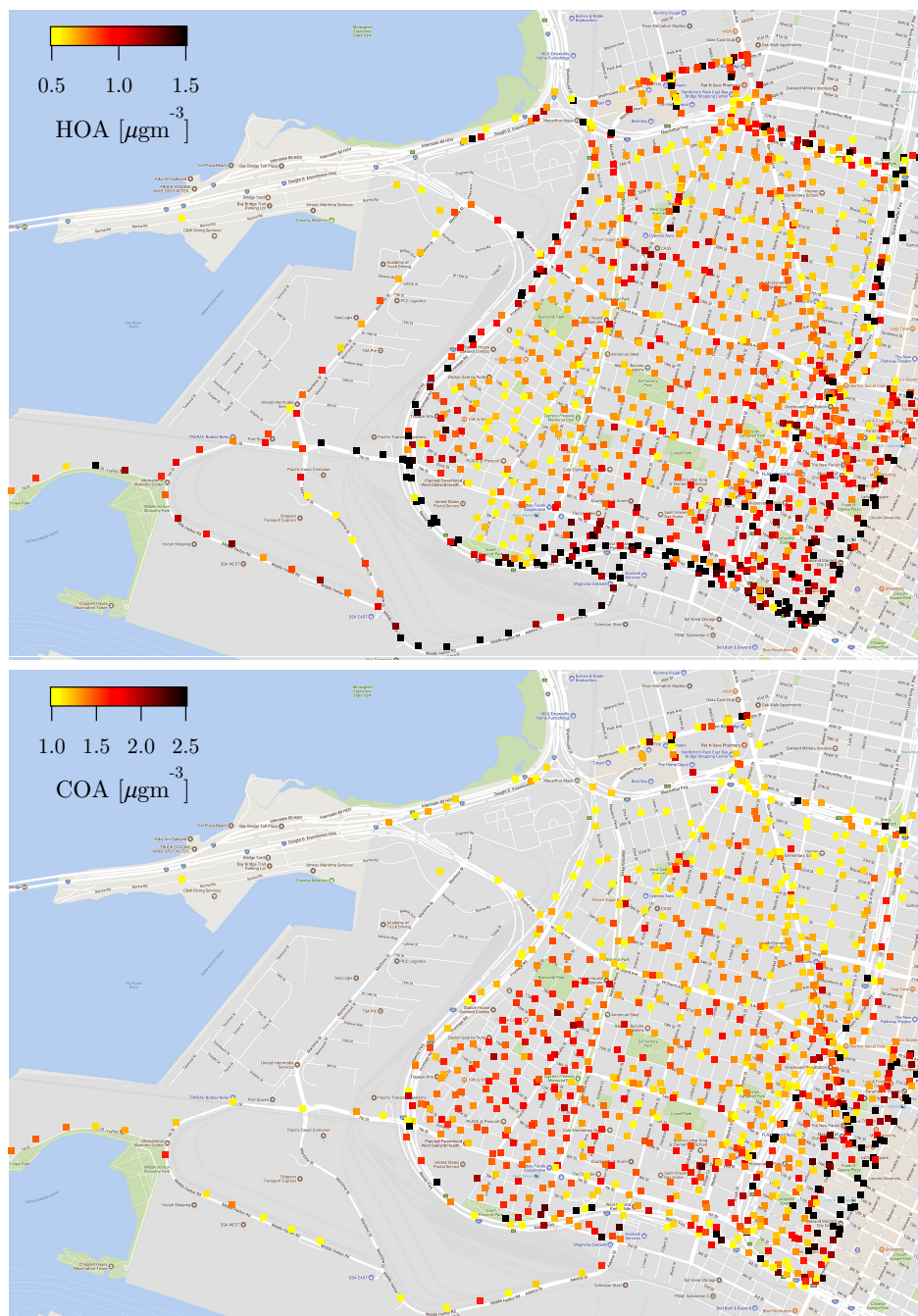
than identify a distinct marine factor, PMF performs what is likely an artificial splitting, as explained previously in this section.

## A5 Wind measurements



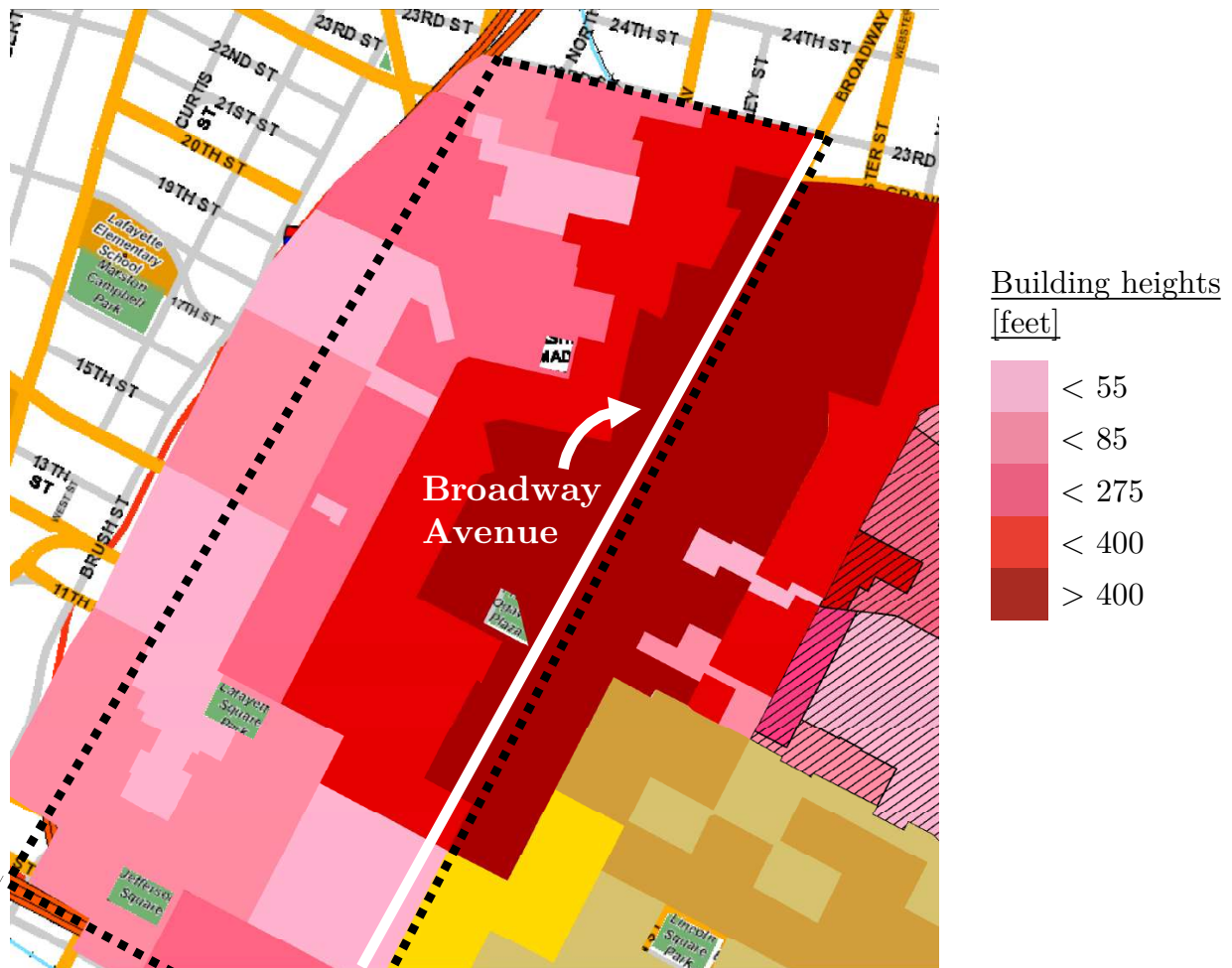
**Figure S17.** A map of the San Francisco (SF) Bay area, showing the location of the sampling domain in Oakland relative to SF city and downtown. Wind rose plots show hourly median wind speed and direction measured at two stationary anemometers in Oakland and San Francisco (BAAQMD, 2018). Purple wind rose arrows are wind measurements acquired during periods of concurrent mobile sampling (typically 8 am to 6 pm), while black arrows are measurements from when mobile sampling was not performed. A campaign-wide average of wind directions during periods of concurrent mobile sampling is shown by the triangle marker on each wind rose plot. The dashed lines with triangle markers are linear extrapolations of these campaign-wide average wind directions and are meant to guide the eye towards the regions over which these winds travel.

## A6 OA factor maps



**Figure S18.** Maps of COA and HOA concentrations.

## A7 Street canyon formation in downtown Oakland



**Figure S19.** Building height data for downtown polygon, obtained from City of Oakland (City of Oakland, 2017). The dashed black border shows limits of the downtown domain used in this study.

## A8 Black carbon and CO maps

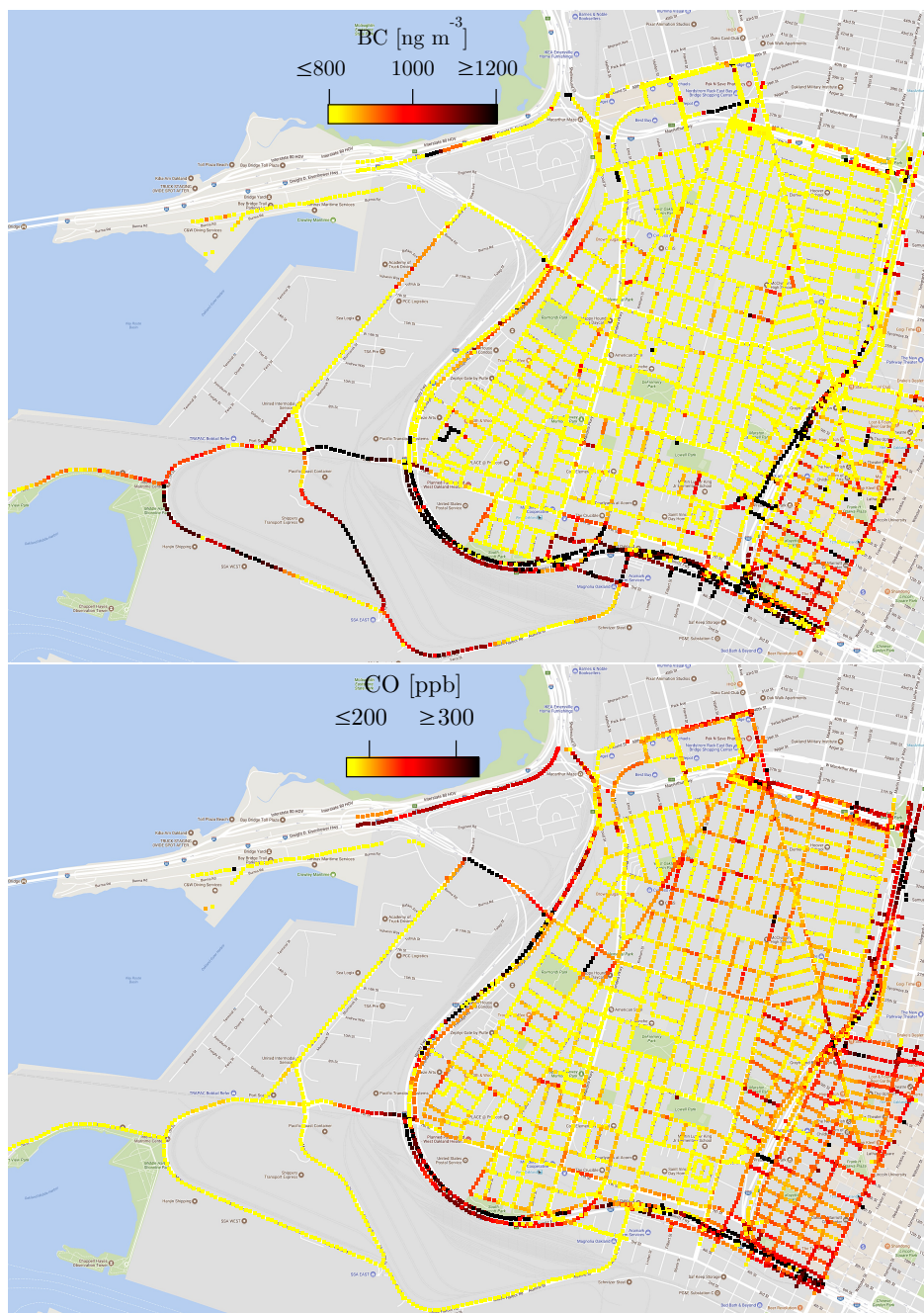
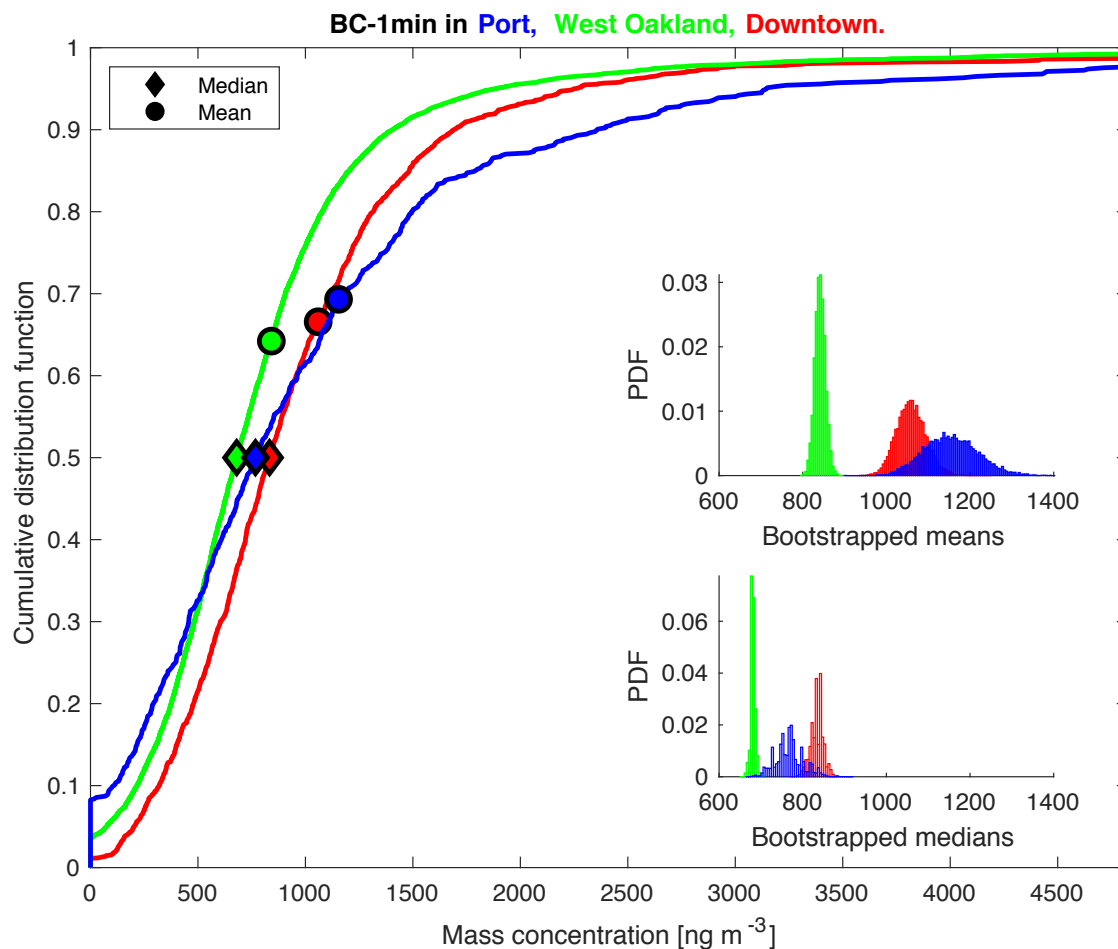


Figure S20. Black carbon and CO maps.



**Figure S21.** Polygon-specific cumulative distribution function (CDF) curves of black carbon (BC) concentrations. *Insets:* Probability distribution function (PDF) histograms for central tendency statistics (mean and median) of synthetic datasets created using bootstrap resampling of raw data. The PDF histograms are shown with a coarser bin-width to guide the eye better. The abscissae on the insets have the same units as the parent abscissa, but with a zoomed-in scale.