#### 1 Nitrate formation from heterogeneous uptake of dinitrogen pentoxide during a severe

#### 2 winter haze in southern China

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Abstract: Nitrate  $(NO_3)$  has become a major component of fine particulate matter  $(PM_{2.5})$ 11 12 during hazy days in China. However, the role of the heterogeneous reactions of dinitrogen 13 pentoxide (N<sub>2</sub>O<sub>5</sub>) in nitrate formation is not well constrained. In January 2017, a severe haze 14 event occurred in the Pearl River Delta (PRD) of southern China during which high levels of PM<sub>2.5</sub> (~400 µg m<sup>-3</sup>) and O<sub>3</sub> (~160 ppbv) were observed at a semi-rural site (Heshan) in the 15 western PRD. Nitrate concentrations were up to 108  $\mu$ g m<sup>-3</sup> (1 h time resolution), and the 16 contribution of nitrate to PM2.5 reached nearly 40%. Concurrent increases in NO3<sup>-</sup> and ClNO2 17 18 (with a maximum value of 8.3 ppbv in 1 min time resolution) were observed in the first 19 several hours after sunset, indicating an intense N<sub>2</sub>O<sub>5</sub> heterogeneous uptake on aerosols. The 20 formation potential of  $NO_3^-$  via  $N_2O_5$  heterogeneous reactions was estimated to be 29.0 to 77.3  $\mu$ g m<sup>-3</sup> in the early hours (2 to 6 h) after sunset based on the measurement data, which could 21 22 completely explain the measured increase in the NO<sub>3</sub><sup>-</sup> concentration during the same time period. Daytime production of nitric acid from the gas-phase reaction of  $OH + NO_2$  was 23 24 calculated with a chemical box model built using the Master Chemical Mechanism (MCM 25 v3.3.1) and constrained by the measurement data. The integrated nocturnal nitrate formed via 26  $N_2O_5$  chemistry was comparable to or even higher than the nitric acid formed during the 27 daytime. This study confirms that N<sub>2</sub>O<sub>5</sub> heterogeneous chemistry was a significant source of 28 aerosol nitrate during hazy days in southern China.

29 Keywords: N<sub>2</sub>O<sub>5</sub>, ClNO<sub>2</sub>, nitrate, Pearl River Delta, southern China

#### 30 1 Introduction

31 Severe haze in China has been a major concern of the regulatory and scientific communities 32 in recent years. Nitrate was identified as an important component of PM2.5 during hazy days 33 in both summer and winter (e.g., Huang et al., 2014; Li et al., 2018; Pathak et al., 2009; 34 Zhang et al., 2015). Moreover, the proportion of nitrate in PM<sub>2.5</sub> has increased steadily in the 35 last decade due to the lagged control of  $NO_x$  emissions compared to  $SO_2$  (Fu et al., 2014; 36 Geng et al., 2017; Qu et al., 2017; Reuter et al., 2014; Wang X et al., 2016). As a result, the 37 concentrations of nitrate in PM2.5/PM1.0 were even higher than those of sulfate during some 38 haze events (Ge et al., 2017; Li et al., 2017; Liu et al., 2015; Yang et al., 2017; Yue et al., 39 2015).

40 Nitrate is formed from NO<sub>x</sub> in both the daytime and nighttime. During the day, nitric acid 41 (HNO<sub>3</sub>) is produced through the gas-phase reaction between OH and NO<sub>2</sub> (R1), and this 42 pathway is insignificant at night due to very low OH concentrations (e.g., Seinfeld and Pandis, 43 2016). The nitric acid can react with ammonia ( $NH_3$ ) to form ammonium nitrate ( $NH_4NO_3$ ), 44 and an equilibrium can be reached for these three compounds between the gas phase and the 45 particle phase (R2-3). In the nighttime, heterogeneous uptake of  $N_2O_5$ , which is formed from 46 the reactions involving O<sub>3</sub>, NO<sub>2</sub> and NO<sub>3</sub>, becomes a source of nitrate and also produces 47 gaseous ClNO<sub>2</sub> when chloride-containing aerosol is present (R4-7) (Finlayson-Pitts et al., 48 1989). This nitrate formation pathway is important only at night due to the fast photolysis of 49 NO<sub>3</sub> during the day. Compared to the relatively well-understood formation of aerosol nitrate 50 via the  $OH + NO_2$  reaction, the contribution from  $N_2O_5$  heterogeneous reactions has been 51 poorly quantified due to the limited knowledge of key factors controlling the heterogeneous processes, such as the N<sub>2</sub>O<sub>5</sub> uptake coefficient ( $\gamma_{N2O5}$ ) and ClNO<sub>2</sub> yield ( $\phi_{ClNO2}$ ) (Brown and 52 53 Stutz, 2012; Chang et al., 2011).

- 54 (R1)  $OH + NO_2 + M \rightarrow HNO_3 + M$
- 55 (R2) HNO<sub>3</sub> (g) + NH<sub>3</sub> (g)  $\leftrightarrow$  NH<sub>4</sub>NO<sub>3</sub> (s)
- 56 (R3) HNO<sub>3</sub> (g) + NH<sub>3</sub> (g)  $\leftrightarrow$  NH<sub>4</sub><sup>+</sup> (aq) + NO<sub>3</sub><sup>-</sup> (aq)
- 57 (R4)  $NO_2 + O_3 \rightarrow NO_3$

58 (R5) 
$$NO_2 + NO_3 + M \leftrightarrow N_2O_5 + M$$

59 (R6) NO<sub>3</sub> + VOCs 
$$\rightarrow$$
 products

60 (R7) 
$$N_2O_5 + H_2O$$
 or  $Cl^-(aq) \rightarrow (2-\phi) NO_3^-(aq) + \phi ClNO_2(g)$ 

61 Model studies initially treated  $\gamma_{N205}$  as a constant (0.03 to 0.1) (Dentener and Crutzen, 62 1993;Makar et al., 1998;Munger et al., 1998;Schaap et al., 2004;Wen et al., 2015;Xue et al., 63 2014), and later utilized several parameterization schemes of  $\gamma_{N205}$  and  $\phi_{CINO2}$  based on the 64 laboratory investigations of their dependence on aerosol compositions and aerosol water 65 content (Anttila et al., 2006;Bertram and Thornton, 2009;Davis et al., 2008;Evans and Jacob, 66 2005; Riemer et al., 2009; Riemer et al., 2003; Roberts et al., 2009). However, recent studies 67 found a significant discrepancy between the field-derived and parameterized  $\gamma_{N205}$  and  $\phi_{CINO2}$ 68 (McDuffie et al., 2018; Phillips et al., 2016; Tham et al., 2018; Wang X et al., 2017; Wang Z 69 et al., 2017; Zhou et al., 2018). These findings suggest that  $N_2O_5$  uptake is more complicated 70 than previously thought and a better understanding of the uptake process is needed to improve 71 the prediction of nitrate and haze.

72 In addition to the modeling approach, field measurements of trace gases and aerosol 73 composition have been used to infer the contribution of N2O5 heterogeneous chemistry to 74 nitrate formation. Pathak et al. (2009) postulated the importance of N2O5 heterogeneous 75 reactions to the high aerosol nitrate observed in summertime in Beijing and Shanghai by 76 examining the variation of nitrate with the change in relative humidity (RH) and the 77 equilibrium between anions and cations in PM2.5. Pathak et al. (2011) further investigated 78 nitrate formation using a coupled aqueous phase radical mechanism (CAPRAM) and a 79 gas-phase chemistry mechanism (RACM, without CINO<sub>2</sub> chemistry). By constraining the 80 uptake coefficient of N2O5 in the range of 0.001 to 0.1, they reproduced the observed 81 enhancement of nitrate and suggested that  $N_2O_5$  uptake in aerosols contributed to 50 to 100% 82 of the nighttime increase in nitrate. A similar method was used recently by Wen et al. (2018) 83 to simulate the summertime nitrate formation in the North China Plain (NCP), which 84 demonstrated the dominant contribution of  $N_2O_5$  heterogeneous reactions to nighttime nitrate 85 formation. Based on the observed covariation of nitrate and RH, Wang et al. (2009) speculated that  $N_2O_5$  reactions dominated the nitrate formation on polluted days with high NO<sub>2</sub> and O<sub>3</sub> in Shanghai. Neither  $N_2O_5$  nor ClNO<sub>2</sub> was measured during these early observation-based studies. A recent study (Wang H et al., 2017) inferred  $\gamma_{N2O5}$  from the measured  $N_2O_5$  on four days in urban Beijing and estimated the lower limit of the formation potential of aerosol nitrate assuming a unity  $\phi_{ClNO2}$  because ClNO<sub>2</sub> was not measured. Their result showed a comparable contribution to nitrate formation from the  $N_2O_5$  heterogeneous chemistry as from the daytime pathway of the OH + NO<sub>2</sub> reaction.

93 In the present study, N<sub>2</sub>O<sub>5</sub>, ClNO<sub>2</sub>, the related chemical and meteorological parameters were 94 measured at a semi-rural site in the Pearl River Delta of southern China from Jan 2 to Jan 15, 2017. A severe haze event was observed during the field study with  $PM_{2.5}$  reaching 400 µg m<sup>-3</sup> 95 96 and O<sub>3</sub> up to 160 ppbv. ClNO<sub>2</sub>, which is only known to be produced from N<sub>2</sub>O<sub>5</sub> heterogeneous 97 uptake, reached up to 8.3 ppbv, which is the largest reported value to date and revealed 98 extremely active N<sub>2</sub>O<sub>5</sub> chemistry during the episode. The concurrent measurements of N<sub>2</sub>O<sub>5</sub>, 99 ClNO<sub>2</sub> and aerosol nitrate provide better constraints for elucidating nighttime NO<sub>3</sub>/N<sub>2</sub>O<sub>5</sub> 100 chemistry and aerosol nitrate formation. An overview of the measurement data was first 101 presented. The nighttime processes that led to the formation of nitrate (e.g., production of 102 NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub>, N<sub>2</sub>O<sub>5</sub> uptake coefficient, CINO<sub>2</sub> yield) were analyzed. The nighttime 103 formation potential of nitrate was estimated based on these data and compared to the 104 measured increase in nitrate. The daytime production of nitric acid via the  $OH + NO_2$  reaction 105 was calculated based on a box model using the Master Chemical Mechanism (MCM v3.3.1) 106 and compared to the nighttime formation potential of nitrate.

107 **2 Methods** 

#### 108 **2.1 Site description**

The field observation was conducted at the Guangdong Atmospheric Supersite, a semi-rural site located at Hua Guo Shan (HGS, 22.728°N, 112.929°E) in the southwest of the city of Heshan from Jan 2 to Jan 15, 2017. As shown in Fig. 1, HGS is a hill with a height of 60 m above sea level. All measurement instruments were located on the 4<sup>th</sup> floor of a four-story building on the top of the hill. The observation site was located in the western PRD where the economic activity and population density are much less compared to central PRD. There are five main roads near the HGS site, including three national roads (G325, G94 and G15), and two provincial roads (S272 and S270). The hill is covered by subtropical trees and surrounded by similar hills within close range, and a few residents live at the foot of the hill with some farmland in the area.

### 119 **2.2 Chemical ionization mass spectrometer**

120 N<sub>2</sub>O<sub>5</sub> and ClNO<sub>2</sub> were simultaneously observed using a quadrupole chemical ionization mass 121 spectrometer (CIMS, THS Instruments, Atlanta). The same instrument had been used in 122 several previous studies in southern and northern China (Tham et al., 2016; Wang T et al., 123 2016; Wang Z et al., 2017). The reader can refer to these earlier papers for detailed 124 description of the measurement principle, calibration, and maintenance procedures. Briefly, 125 ambient N<sub>2</sub>O<sub>5</sub> and ClNO<sub>2</sub> are converted to ion clusters of I(N<sub>2</sub>O<sub>5</sub>)<sup>-</sup> and I(ClNO<sub>2</sub>) by Iodide 126 ions ( $\Gamma$ ) produced by exposing CH<sub>3</sub>I/N<sub>2</sub> (0.3%v/v) to an alpha radioactive source, 210-Po, and 127 are subsequently detected at 235 and 208 m/z, respectively. Activated carbon packed in a filter 128 was used to determine the instrument background which was  $10.2 \pm 2.2$  and  $8.9 \pm 2.0$  Hz on 129 average for N<sub>2</sub>O<sub>5</sub> and ClNO<sub>2</sub>, respectively. In-situ offline calibration was carried out every 130 day for  $N_2O_5$  and every two days for ClNO<sub>2</sub> by mixing the respective synthetic standard into 131 humidified zero air (with RH controlled at 60% in the present study). The N<sub>2</sub>O<sub>5</sub> standard was 132 generated by reacting excess  $NO_2$  with  $O_3$  and determined from the decrease of  $NO_2$ , and the 133  $CINO_2$  was synthesized by the uptake of a known concentration of  $N_2O_5$  on a NaCl slurry (see 134 Wang T et al., 2016 and Tham et al., 2016 for details). The average sensitivity of  $N_2O_5$  and  $CINO_2$  was  $0.9 \pm 0.3$  and  $0.7 \pm 0.2$  Hz pptv<sup>-1</sup>, respectively. The dependence of the sensitivity 135 136 on the relative humidity was measured during the field study (see Fig. S1) which was used to 137 correct for the RH effect based on the measured ambient RH values. The detection limits of 138  $N_2O_5$  and ClNO<sub>2</sub> were both 6 pptv (2  $\sigma$ , 1 min-averaged data).

The inlet of the CIMS instrument was set approximately 1.5 m above the roof with 6 m long PFA-Teflon tubing as the sampling line. The total sampling flow was set as 11 standard liters per minute (SLPM). Four SLPM were diverted into the CIMS,  $O_3$  and  $NO_x$  analyzer, and the remaining part was evacuated directly from the system. The total residence time was less than 143 1 s in the sampling system. Following our previous practice, the inlet tubing and fittings were 144 replaced every afternoon and washed with an ultrasonic bath to reduce the influence of the 145 tubing wall adhered with deposited particles. The loss of N<sub>2</sub>O<sub>5</sub> on the tubing wall was 146 checked on site by injecting  $N_2O_5$  into the ambient air before and after the tubing replacement, 147 and the loss was around 10% in the "clean" tubing and increased to nearly 40% in the next 148 afternoon. Because our analysis mainly focused on data in the first few hours of evening, the 149 loss was insignificant and thus was not corrected in our final data. However, this bias can be 150 important at later period before tube replacement. The uncertainty of the measurement was 151 estimated to be  $\pm 25$  % for both N<sub>2</sub>O<sub>5</sub> and ClNO<sub>2</sub> (Wang T et al., 2016). The time resolution for the measurement was approximately 10 s, and the derived data were later averaged to a 152 153 time resolution of 1 min for further analysis.

#### 154 **2.3 Other measurements**

Trace gases of CO, SO<sub>2</sub>, O<sub>3</sub>, NO<sub>x</sub>, total reactive nitrogen (NO<sub>y</sub>), nitrous acid (HONO), C2 to C10 non-methane hydrocarbons (NMHCs), oxygenated hydrocarbons (OVOCs), and aerosol chemical composition and number concentrations were also measured. Table 1 summarized the principle, detection limit and uncertainty of the measuring instruments.

159 CO was observed using a gas filter correlation analyzer (Thermo Model 48i). SO<sub>2</sub> was 160 measured using a pulsed fluorescence analyzer (Thermo Model 43i). O<sub>3</sub> was determined using 161 a UV photometric analyzer (Thermo, Model 49i). NO and NO<sub>2</sub> were detected with a 162 chemiluminescence instrument (Thermo, Model 42i) with a photolytic converter to convert 163  $NO_2$  to NO (Xu et al., 2013). NO<sub>y</sub> was determined using a chemiluminescence analyzer which 164 was equipped with a molybdenum oxide (MoO) catalytic converter (Thermo, Model 42i-Y). 165 HONO was detected using a long path absorption photometer (QUMA, Model LOPAP-03) 166 (Xu et al., 2015). NMHCs were determined using an online gas chromatograph (GC) coupled 167 with a flame ionization detector (FID) and a mass spectrometer (MS) (Wang et al., 2014). 168 NMHCs were only measured from Jan 2 to Jan 8, 2017 due to the maintenance of the GCMS 169 after Jan 8. OVOCs (e.g., formaldehyde, acetaldehyde, acetone, methyl ethyl ketone) were 170 sampled with 2,4-dinitrophenylhydrazine (DNPH) cartridges every 3 h and were later 171 analyzed with a high-performance liquid chromatography (HPLC) system (Cui et al., 2016).

172 Concentrations of  $PM_{25}$  were detected with a multi-angle absorption photometer (MAAP, 173 Thermo Model 5012). The ionic compositions of PM2.5 were measured with an ion 174 chromatography (GAC-IC) system equipped with a gas and aerosol collector at a time 175 resolution of 30 min (Dong et al., 2012), and the data were also averaged every 1 h to meet 176 the time resolution of other components of PM2.5. Organic carbon (OC) and elemental carbon 177 (EC) were measured with an online OC/EC analyzer (RT-4, SUNSET) with a time resolution 178 of 1 h (Bauer et al., 2009). A scanning mobility particle sizer (SMPS Model 3936L75, TSI) 179 was used to determine the dry-state particle number size distribution, covering the size range 180 from 16.5 to 1000 nm. The ambient (wet) particle number size distributions were estimated 181 based on a size-resolved kappa-Köhler function considering the variation with the relative 182 humidity (Hennig et al., 2005; Liu et al., 2014). In the present study, data with RH greater 183 than 90% were excluded because large uncertainty of the growth factor at very high RH. The 184 aerosol surface area density was then derived using the ambient particle number size 185 distribution (wet) and an assumption of spherical particles with an estimated uncertainty of 186 around 30% (Tham et al., 2016; Wang Z et al., 2017).

187 Meteorological parameters were measured with a portable weather station (Model WXT520, 188 Vaisala, Finland), including temperature, relative humidity (RH), wind direction, wind speed, 189 and pressure. A pyranometer (CMP22, Kipp & Zonen B.V., Holland) was used to measure the 190 solar radiation and the data were then utilized to derive the photolysis frequency of  $NO_2$  based 191 on the method of Trebs et al. (2009).

## 192 **2.4 Chemical box model**

193 To estimate the daytime formation of nitric acid via the reaction of OH + NO<sub>2</sub>, an 194 observation-based chemical box model developed with the latest version of the Master 195 Chemical Mechanism v3.3.1 (Jenkin et al., 2003; Jenkin et al., 2015; Saunders et al., 2003) 196 and an updated chlorine (Cl) radical chemistry module (Xue et al., 2015) was utilized to 197 estimate the mixing ratio of OH radicals and the reaction rate of  $OH + NO_2$ . The integrated 198 production of nitric acid during the daytime was then calculated based on the simulation 199 results. The box model was constrained with the observation data every 10 min, including the 200 data of N2O5, CINO2, HONO, O3, NO, NO2, SO2, CO, C2 to C10 NMHCs, OVOCs 201 (formaldehyde, acetaldehyde, acetone, and MEK), temperature, aerosol surface area density 202 and  $J(NO_2)$ , which were first averaged or interpolated. Average concentrations of NMHC 203 species during the daytime (7:00 to 17:00) and nighttime (17:00 to 7:00 of the next day) are 204 shown in Table S1. A function considering the variation of the solar zenith angle (Saunders et 205 al., 2003) was used to calculate the photolysis frequencies of HONO,  $O_3$  and other species in 206 clear sky, which were then corrected with the  $J(NO_2)$  values in the real environment. The 207  $J(CINO_2)$  was treated the same as in Tham et al. (2016). The lifetime of unconstrained species 208 respect to the physical loss was set as 8 h in a boundary layer of 1000 m depth (equivalent to  $3.47 \times 10^{-5}$  s<sup>-1</sup>) in order to avoid their accumulation. The model was run from 0:00 of Jan 3 to 209 11:00 of Jan 8, 2017. To stabilize the intermediate species, the simulation for the first 24 h 210 211 was repeated six times. Sensitivity tests were carried out by reducing the input concentrations 212 by 10% to check the deviation of the average daytime (7:00-17:00) rate of OH+NO<sub>2</sub> reaction. 213 The simulated rate of  $OH+NO_2$  reaction was most sensitive to HONO, followed by  $NO_x$  and 214 OVOCs (see Text S1 and Fig. S2).

215 **3 Results and discussion** 

#### 216 **3.1 Overview of the observation**

217 Figure 2 shows the time series of N<sub>2</sub>O<sub>5</sub>, ClNO<sub>2</sub>, components of PM<sub>2.5</sub>, related trace gases and 218 meteorological parameters from 18:40 of Jan 2 to 11:00 of Jan 15, 2017. The average 219 temperature and RH during the measurement period were  $17 \pm 4^{\circ}$ C and  $86 \pm 14^{\circ}$ , 220 respectively. A severe pollution episode occurred on Jan 3 to 7 due to stagnant meteorological 221 conditions (Fig. 3 (a)), and the concentrations of most pollutants decreased to very low levels 222 on Jan 9 and Jan 12 to 15, which corresponded to the change in weather conditions. The most polluted days were Jan 5 and 6 with the highest  $PM_{2.5}$  of 400 µg m<sup>-3</sup> and the highest  $O_3$  of 160 223 224 ppbv. The PM<sub>2.5</sub> data from the PRD regional air quality monitoring network revealed that the 225 HGS site was within the most polluted area during this haze event (Fig. 3(b)). This pollution 226 event was characterized by concurrent high levels of PM2.5 and O3 and was in contrast to the winter haze in north China, which experienced high PM2.5 but low O3(e.g., Sun et al., 2016; 227 228 Wang H et al., 2018a). The mixing ratios of N<sub>2</sub>O<sub>5</sub> and ClNO<sub>2</sub> were up to 3358 pptv and 8324 229 pptv (1 min time resolution), respectively, indicating active  $N_2O_5$  heterogeneous chemistry.

Very high concentrations of aerosol nitrate (up to 108 µg m<sup>-3</sup>, 1 h time resolution) were also 230 231 observed during the multi-day episode. Nitrate contributed to 24% of the total  $PM_{2.5}$  mass 232 concentration on average, which was comparable to that of organic matter (OM = 1.7\*OC, 233 28%) and much higher than that of sulfate (16%) and ammonium (11%). The contribution of 234 nitrate to the PM2.5 increased with an increase in nitrate concentration, and reached nearly 40% 235 at its highest nitrate level, indicating that nitrate was a dominant component of the PM<sub>2.5</sub> on 236 the most polluted days. The concentration of NO<sub>3</sub><sup>-</sup> exhibited a concurrent increase with that of 237 ClNO<sub>2</sub> in the early nighttime on Jan 3 to 4, Jan 4 to 5, Jan 5 to 6 and Jan 9 to 10 (see Fig. 4), suggesting that N<sub>2</sub>O<sub>5</sub> heterogeneous reactions significantly contributed to the formation of 238 239 nitrate during the nighttime. The measured increases of the NO<sub>3</sub><sup>-</sup> concentration during these four nights were 17.1, 50.9, 43.3 and 32.7 µg m<sup>-3</sup>, respectively. A similar increase in CINO<sub>2</sub> 240 was observed on Jan 6 to 7, but the composition of the PM2.5 was not available due to 241 242 instrument maintenance. Apart from chemical reactions, the evolution of the Planetary 243 Boundary Layer (PBL) also affects the concentrations of trace gas and aerosols. The height of 244 PBL generally decreases after sunset with the faster drop in temperature of land, which could 245 lead to the accumulation of primary pollutants (and secondary pollutants) at surface if 246 significant local sources are present. For example, on the night Jan 4-5 (see Fig. 5), the CO and NOv levels increased between 18:00-19:00 with enhancement of CINO2 and nitrate, 247 indicative of accumulation of primary emissions, but afterward the primary pollutants 248 249 decreased for three hours while the latter two continued to increase due to the nighttime 250 chemical process.

In the remainder of this manuscript, we will focus on the detailed analysis of above-mentioned five nights to investigate the role of  $N_2O_5$  heterogeneous chemistry in nitrate formation.

## 254 **3.2** N<sub>2</sub>O<sub>5</sub> heterogeneous chemistry on the selected nights

#### 255 **3.2.1 Production of NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub>**

The first step in the nighttime nitrate formation via  $N_2O_5$  chemistry is the production of  $NO_3$ and  $N_2O_5$ . To get insight into the key factors affecting the  $NO_3/N_2O_5$  chemistry, the variation of  $N_2O_5$  and production rate of  $NO_3$  were examined with some relevant gases and meteorological parameters of the five nights. Fig. 5 shows the data of the night of Jan 4 to 5 as an example. Some common features were identified for all five nights. In general, low wind speed (< 2.0 m s<sup>-1</sup>) at night facilitated the accumulation of air pollutants, and high RH was favorable for  $N_2O_5$  heterogeneous uptake. In addition, high aerosol surface area density provided interfaces for  $N_2O_5$  heterogeneous reactions.

264 In the first couple of hours after sunset (Fig 5, red rectangle),  $N_2O_5$  exhibited a peak and 265 quickly dropped to hundreds of pptv, while nitrate and ClNO<sub>2</sub> concurrently increased, which 266 was indicative of the local production and loss of N2O5. NO was below the detection limit during this period. The production rates of NO<sub>3</sub> ( $P_{NO_3} = k_{NO_2+O_3}[NO_2][O_3]$ ) were the fastest 267 268 just after sunset and decreased gradually due to reduced O<sub>3</sub> levels. There was a period later in 269 the night (22:00 to 01:00) when fresh emissions of NO were observed, and the production of 270  $NO_3$  was suppressed due to the titration of  $O_3$  by NO. In the later nighttime, NO was below 271 the detection limit (Fig. 5, blue rectangle). During this period,  $NO_3$  and  $N_2O_5$  were produced 272 at moderate rates, and the very low N<sub>2</sub>O<sub>5</sub> concentrations (below the detection limit) suggested 273 a fast loss of  $N_2O_5$  probably leading to the local production of ClNO<sub>2</sub> and nitrate, which was 274 not revealed in the observed variations of CINO2 and nitrate. The concentrations of CINO2 275 and nitrate during this period fluctuated due to the change in the air masses indicated by the 276 change in SO<sub>2</sub> concentrations and wind speeds.

# 277 3.2.2 N<sub>2</sub>O<sub>5</sub> uptake coefficient and ClNO<sub>2</sub> yield

278 The  $N_2O_5$  uptake coefficient and ClNO<sub>2</sub> yield, together with the reactivity of NO<sub>3</sub> with NO 279 and VOCs, determines the loss pathways of  $NO_3$  and  $N_2O_5$ . To derive the uptake coefficient of 280 N<sub>2</sub>O<sub>5</sub>, a method suggested by McLaren et al. (2010) was applied by treating NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> as 281 a whole  $([NO_3] + [N_2O_5])$  without assuming the chemical system in the steady state. This 282 approach considers that the change of NO3 and N2O5 concentrations is mainly due to 283  $NO_3/N_2O_5$  chemistry, and thus it requires that the air mass have relatively stable chemical 284 conditions and not be subject to fresh NO emissions. It also requires that CINO<sub>2</sub> is produced 285 from the  $N_2O_5$  chemistry and has an increasing trend to derive the yield of CINO<sub>2</sub>. This 286 method is applicable for the early nighttime (red rectangle, section 3.2.1) for these five nights.

287 The variation rate of  $[NO_3] + [N_2O_5]$  can be calculated by deducting the production rate of 288  $[NO_3] + [N_2O_5]$  with its loss rate as Eq. (1).

289 (1) 
$$\frac{d([N_2O_5]+[NO_3])}{dt} = P_{NO_3} - L_{N_2O_5+NO_3}$$

290 The loss of  $[NO_3] + [N_2O_5]$  is through the NO<sub>3</sub> reaction with VOCs and N<sub>2</sub>O<sub>5</sub> heterogeneous

reactions, which can both be expressed as pseudo first order losses as Eq. (2):

292 (2) 
$$L_{N_2O_5+NO_3} = L_{NO_3} + L_{N_2O_5} = k'_{NO_3}[NO_3] + k'_{N_2O_5}[N_2O_5]$$

where  $k_{NO3}$  and  $k_{N2O5}$  represent the total first order rate constants for NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub>, respectively. The loss rate of N<sub>2</sub>O<sub>5</sub> can then be obtained from Eq. (3):

295 (3) 
$$L_{N_2O_5} = k'_{N_2O_5}[N_2O_5] = k_{NO_2+O_3}[NO_2][O_3] - \frac{d[N_2O_5]}{dt} - \frac{d[NO_3]}{dt} - k'_{NO_3}[NO_3]$$

296 Because NO<sub>3</sub> was not measured, it was calculated by assuming an equilibrium of 297 NO<sub>2</sub>-NO<sub>3</sub>-N<sub>2</sub>O<sub>5</sub> as shown in Eq. (4). High levels of NO would break this equilibrium. Thus, 298 the periods with detected NO were excluded.  $d[NO_3]/dt$  and  $d[N_2O_3]/dt$  were calculated as the 299 rate of change of NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> in a time resolution of 10 min.  $k_{NO3}$  was derived with the 300 measured concentrations of NMHCs as Eq. (5) by interpolating the data of NMHCs to 10 min 301 time resolution. The NO<sub>3</sub> reactivity with VOCs (k'<sub>NO3</sub>) in the early nighttime ranged from 0.516 to  $1.54 \times 10^{-3}$  s<sup>-1</sup> (Table 2), which was higher than those derived at Mt. TMS in winter 302 2013 (0.17 to  $1.1 \times 10^{-3}$  s<sup>-1</sup>) (Brown et al., 2016), but lower than those in the North China Plain 303 304 during the summertime (2 to  $57 \times 10^{-3} \text{ s}^{-1}$ ) (Tham et al., 2016; Wang H et al., 2017, 2018b; 305 Wang Z et al., 2017). NMHCs were not measured on Jan 9 to 10, 2017. We used the average 306 k'<sub>NO3</sub> in the early nighttime on Jan 3 to 4 as a replacement because these two periods had 307 similar pollution levels for most pollutants. For the later nighttime (Fig. 5, blue rectangle), 308 low levels of N<sub>2</sub>O<sub>5</sub> and moderate levels of P<sub>NO3</sub> also made Eq. (3) inapplicable even though 309 NO was not detected.

310 (4) 
$$[NO_3] = \frac{[N_2O_5]}{[NO_2] \times K_{eq}}$$

311 (5) 
$$k'_{NO_3} = \sum k_i [VOC_i]$$

Finally, the uptake coefficient of  $N_2O_5$  was derived using Eq. (6) for every 10 min and averaged for the whole selected periods. In Eq. (6),  $C_{N2O5}$  is the mean molecular speed of  $N_2O_5$ , and  $S_a$  is the aerosol surface area density. The yield of ClNO<sub>2</sub> was derived from Eq. (7) by dividing the integrated production of ClNO<sub>2</sub> ([ClNO<sub>2</sub>]<sub>max</sub>) to the integrated loss of  $N_2O_5$ since sunset.

317 (6) 
$$k'_{N_2O_5} = \frac{L_{N_2O_5}}{[N_2O_5]} = \frac{1}{4} C_{N_2O_5} S_a \gamma_{N_2O_5}$$

318 (7) 
$$\phi = \frac{[\text{CINO}_2]_{\text{max}}}{\int L_{\text{N}_2\text{O}_5} dt}$$

The relative importance of NO<sub>3</sub> reactions with VOCs and N<sub>2</sub>O<sub>5</sub> heterogeneous reactions can be examined by comparing the values of the loss coefficient of NO<sub>3</sub> reactions  $(\frac{k'_{NO_3}}{[NO_2] \times K_{eq}})$  and N<sub>2</sub>O<sub>5</sub> heterogeneous reactions (k'<sub>N2O5</sub>) (Tham et al., 2016). Based on the calculations, the values of  $\frac{k'_{NO_3}}{[NO_2] \times K_{eq}}$  were 1.40×10<sup>-5</sup> to 6.07×10<sup>-5</sup> s<sup>-1</sup> (see Table 2), while that of k'<sub>N2O5</sub> were 3.78×10<sup>-3</sup> to 9.00×10<sup>-3</sup> s<sup>-1</sup>, which was two orders of magnitude higher than that of  $\frac{k'_{NO_3}}{[NO_2] \times K_{eq}}$ , suggesting that N<sub>2</sub>O<sub>5</sub> heterogeneous reactions were the dominant loss pathway of NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub>.

326 The average  $\gamma_{N205}$  and  $\phi_{CIN02}$  derived for the early night of the five cases are listed in Table 2. 327 The data show that the uptake coefficient ranged from 0.009 to 0.066, which was comparable 328 to the previous values derived at Mt. Tai Mo Shan (TMS) in Hong Kong (0.004 to 0.022) 329 (Brown et al., 2016) and in the North China Plain (0.006 to 0.102) (Tham et al., 2018; Tham 330 et al., 2016; Wang H et al., 2017, 2018b; Wang X et al., 2017; Wang Z et al., 2017; Zhou et al., 2018). It is interesting to see much higher  $\gamma_{N205}$  (0.066) on Jan 3 than those in other four 331 332 nights (0.009-0.015), resulting from higher P<sub>NO3</sub> but much lower Sa and relatively low N<sub>2</sub>O<sub>5</sub> concentrations on Jan 3. We examined known factors affecting the loss of NO3 and N2O5 such 333 334 as the concentrations of NO, NMHCs and aerosol compositions, but found no obvious 335 difference between Jan 3 and other nights. The yield in this study varied from 0.18 to 0.32, 336 which was similar to most studies in China (Tham et al., 2018; Tham et al., 2016; Wang Z et 337 al., 2017; Yun et al., 2018; Zhou et al., 2018).

The uncertainty of the above  $\gamma_{N2O5}$  was estimated to be ±45% due to the measurement uncertainty of N<sub>2</sub>O<sub>5</sub> (±25%), NO<sub>2</sub> (±20%), O<sub>3</sub> (±5%) and Sa (±30%). The uncertainty of  $\phi_{CINO2}$ was mainly caused by the uncertainty of NO<sub>2</sub> (±20%), O<sub>3</sub> (±5%) and CINO<sub>2</sub> (±25%) and was estimated to be ±30%. The correlation between  $\gamma_{N2O5}$ ,  $\phi_{CINO2}$  and the concentrations of aerosol compositions (see Table S2) or RH was investigated, and the results (not shown here) did not indicate any significant dependence of  $\gamma_{N2O5}$  or  $\phi_{CINO2}$  on these parameters.

### 344 **3.3** Nitrate formation potential p(NO<sub>3</sub><sup>-</sup>) through N<sub>2</sub>O<sub>5</sub> chemistry

#### 345 **3.3.1 Nighttime p(NO<sub>3</sub>**)

The formation potential of  $NO_3^-$  through  $N_2O_5$  chemistry is the total amount of  $NO_3^$ accumulated from  $N_2O_5$  heterogeneous loss. It can be calculated by deducting the integrated loss of  $N_2O_5$  with the integrated production of ClNO<sub>2</sub> as Eq. (8).

349 (8) 
$$p(NO_3) = (2-\phi) \int L_{N_2O_5} dt = 2 \int L_{N_2O_5} dt - [CINO_2]_{max}$$

In the early nighttime, the average loss rate of  $N_2O_5$  ( $L_{N2O5}$ ) ranged from 1.9 to 4.3 ppbv h<sup>-1</sup> (Table 2), which was close to the average  $P_{NO3}$  due to the dominance of the  $N_2O_5$ heterogeneous reactions in NO<sub>3</sub> and  $N_2O_5$  loss. Based on the derived  $N_2O_5$  loss rate and the maximum ClNO<sub>2</sub> concentration, the formation potential of NO<sub>3</sub><sup>-</sup> was derived and ranged from 29.0 to 77.3 µg m<sup>-3</sup> as shown in Fig. 6. The measured increase of the NO<sub>3</sub><sup>-</sup> concentration in the early nighttime can be completely explained by the integrated production of NO<sub>3</sub><sup>-</sup> via the  $N_2O_5$  heterogeneous reactions during the same period.

357 In the later nighttime, the method described in section 3.2.2 was not valid for calculating the 358 N<sub>2</sub>O<sub>5</sub> heterogeneous loss rate as mentioned above. We attempted to estimate the formation 359 potential of nitrate by assuming that the  $N_2O_5$  heterogeneous reactions continued to dominate 360 the loss of  $NO_3 + N_2O_5$  in the later nighttime. The k<sub>NO3</sub> in the later nighttime were comparable 361 to those in the early nighttime, and the high RH close to 100% in the later nighttime was favorable for the N<sub>2</sub>O<sub>5</sub> heterogeneous reactions. We assumed that all NO<sub>3</sub> was quickly 362 consumed by the  $N_2O_5$  heterogeneous reactions, which means that the loss rate of  $N_2O_5$ 363 364 approximated to the production rate of NO<sub>3</sub> ( $L_{N2O5} \approx P_{NO3}$ ). As listed in Table 3, the N<sub>2</sub>O<sub>5</sub> loss rates ranged from 0.82 to 1.26 ppbv h<sup>-1</sup>, which were significantly lower than those derived in the early nighttime. The derived  $N_2O_5$  loss rate here and the yield of ClNO<sub>2</sub> in the early nighttime were used to estimate the formation potential of  $NO_3^-$  in the later nighttime. As shown in Fig.6, the nitrate produced during these later periods ranged from 7.3 to 40.3 µg m<sup>-3</sup>, which was lower than those in the early nighttime for four nights, indicating that the nighttime nitrate from  $N_2O_5$  chemistry was mainly produced in the early nighttime.

#### 371 **3.3.2** Comparison with daytime production of HNO<sub>3</sub>

372 During the daytime, the formation of  $NO_3^{-1}$  is mainly from the gas-particle partitioning of the 373 gas phase  $HNO_3$  formed through the  $OH + NO_2$  reaction. Hence, the daytime formation 374 potential of HNO<sub>3</sub> (p(HNO<sub>3</sub>)) can be treated as the upper limit for the locally-produced 375 daytime aerosol nitrate. To calculate the daytime p(HNO<sub>3</sub>), a box model based on MCM 376 v3.3.1 was used to derive the mixing ratio of OH and the rates of  $OH + NO_2$  as described in 377 section 2.4. This model was previously used in our study at Wangdu in North China (Tham et 378 al., 2016). The calculated mixing ratios of OH at Wangdu with this model compared well with 379 those observed by the laser-induced fluorescence (LIF) technique (Tan et al., 2017). In the present study, the average daytime OH (7:00 to 17:00) mixing ratios were 1.71 to  $3.82 \times 10^6$ 380 molec cm<sup>-3</sup> during Jan 3 to 7 as listed in Table 4 with the maximum values reaching 3.24 to 381  $6.71 \times 10^6$  molec cm<sup>-3</sup>. The detailed results for OH can be found in Fig. S3. 382

The average production rates of HNO<sub>3</sub> through the OH + NO<sub>2</sub> reaction were 1.40 to 5.21 ppbv h<sup>-1</sup> from Jan 3 to Jan 7, and the integrated formation potential of HNO<sub>3</sub> during the daytime was 35.7 to 131.8  $\mu$ g m<sup>-3</sup>, which was comparable to the nighttime p(NO<sub>3</sub><sup>-</sup>) ranging from 69.3 to 102.9  $\mu$ g m<sup>-3</sup> (Fig. 7). Nighttime production of nitrate via the heterogeneous uptake of N<sub>2</sub>O<sub>5</sub> accounted for 43.8% to 57.7% of the total nitrate (NO<sub>3</sub><sup>-</sup> + HNO<sub>3</sub>) produced in a 24 h period at the site. These results underscored the important role of N<sub>2</sub>O<sub>5</sub> heterogeneous chemistry in nitrate formation in this severe winter haze in southern China.

#### **390 4 Concluding remarks**

391 With the use of concurrent measurements of nitrate,  $CINO_2$  and related pollutants, this study 392 demonstrates the important contribution of  $N_2O_5$  heterogeneous uptake in nitrate formation. 393 Current chemical transport models have difficulties in simulating this nitrate production 394 pathway. Therefore, more research efforts are needed to improve the representations of  $\gamma_{N2O5}$ 395 and  $\phi_{CINO2}$  for better prediction of nitrate in the models. The observation-based approach 396 presented here can be applied to investigate nitrate formation in other areas.

#### **5 Data availability**

398 The data used in this study are available upon request from Tao Wang 399 (cetwang@polyu.edu.hk) and Dingli Yue (dingliyue@163.com).

#### 400 Author contributions

401 TW designed the research; WW conducted CIMS measurement; YZ, DY, HY, MX, CY and 402 PS performed the measurements of other parameters used in this study; HY, TW, MX and 403 WW analyzed the data; HY and TW wrote the manuscript. All authors contributed to 404 discussion and commented on the manuscript.

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Table 1. Technique, limit of detection, and uncertainty of measuring instruments for trace

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# gases and aerosols.

Species	Measurement techniques	Uncertainty	Detection limits	
ClNO <sub>2</sub> , N <sub>2</sub> O <sub>5</sub>	CIMS	±25%	6 pptv	
HONO	LOPAP	±20%	7 pptv	
O <sub>3</sub>	UV photometry	±5%	0.5 ppbv	
NO	Chemiluminescence	±20%	0.06 ppbv	
NO <sub>2</sub>	Photolytical converter &	1200/	0.3 ppbv	
	Chemiluminescence	±20%		
NO <sub>y</sub>	MoO catalytic converter &		< 0.1 makes	
	Chemiluminescence	$\pm 5\%$	<0.1 ppbv	
$SO_2$	Pulsed-UV fluorescence	±5%	0.1 ppbv	
СО	IR photometry	±5%	4 ppbv	
NMHCs	GC-FID/MS	±15-20%	20-300 pptv	
OVOCs	DNPH-HPLC	±1-15%	20-450 pptv	
PM <sub>2.5</sub>	MAAP	±10%	$<0.1 \ \mu g \ m^{-3}$	
Aerosol Ions	GAC-IC	±10%	0.01-0.16 μg m <sup>-3</sup>	
OC/EC RT-4 SUNSET		$\pm 4-6\%$	0.2 μg cm <sup>-2</sup>	

Table 2. Average values of N<sub>2</sub>O<sub>5</sub> concentrations, N<sub>2</sub>O<sub>5</sub> uptake coefficients, ClNO<sub>2</sub> yields and

other related parameters and maximum values of ClNO<sub>2</sub> concentrations in the early nighttime

for five selected nights.

Date N2O5 pptv	$N_2O_5$	Max-ClNO <sub>2</sub>	$NO_2$	$O_3$	RH	Sa	P <sub>NO3</sub>	k' <sub>NO3</sub>	L <sub>N2O5</sub>	$k'_{NO3}/(Keq[NO_2])$	k' <sub>N2O5</sub>		
	pptv	ppbv	ppbv	%	$\mu m^2  cm^{-3}$	ppbv h <sup>-1</sup>	$10^{-3}  s^{-1}$	ppbv h <sup>-1</sup>	$10^{-5}  \mathrm{s}^{-1}$	$10^{-3}  s^{-1}$	YN2O5	γn205 Φcino2	
Jan.3 17:40-19:00	200	1029	20	78	59	2170	4.3	0.516	4.3	3.03	8.81	0.066	0.18
Jan 4 17:00-22:00	700	4608	24	61	82	6452	3.3	1.54	3.2	6.07	4.16	0.009	0.32
Jan 5 17:00-22:00	338	4828	18	73	81	8399	3.4	0.790	3.3	4.06	9.00	0.015	0.29
Jan 6 17:00-22:40	326	2908	13	82	77	5092	2.8	0.677	2.6	4.95	3.78	0.013	0.20
Jan 9 19:00-00:20	121	2553	19	41	85	5173	1.9	0.516	1.9	1.40	4.28	0.015	0.28

Table 3. Average values of N<sub>2</sub>O<sub>5</sub> loss rate and related parameters for selected periods in the

# later nighttime.

Date	NO <sub>2</sub>	O <sub>3</sub>	P <sub>NO3</sub>	k' <sub>NO3</sub>	L <sub>N2O5</sub>
Date	ppbv	ppbv	ppbv h <sup>-1</sup>	$10^{-3}  \mathrm{s}^{-1}$	ppbv h <sup>-1</sup>
Jan 3-4 21:00-05:00	20.8	20.7	1.00	0.684	1.00
Jan 5 01:30-06:50	22.4	19.5	0.96	1.45	0.96
Jan 5-6 23:40-01:10	21.1	25.5	1.26	1.13	1.26
Jan 6-7 23:00-06:00	22.1	14.4	0.82	0.709	0.82
Jan 10 01:50-03:30	24.8	15.6	0.90	/	0.90

Table 4. Average OH mixing ratio and rate of  $OH + NO_2$  during the daytime (7:00 to 17:00 LT)

from Jan 3 to Jan 7, 2017.

Data	ОН	$NO_2$	$OH + NO_2$
Date	(molec cm <sup>-3</sup> )	(ppbv)	$(ppbv h^{-1})$
Jan 3	$2.18 \times 10^{6}$	36.2	3.49
Jan 4	$2.47 \times 10^{6}$	23.6	2.60
Jan 5	$2.62 \times 10^{6}$	30.8	3.09
Jan 6	$3.82 \times 10^{6}$	31.5	5.21
Jan 7	$1.71 \times 10^{6}$	18.4	1.40

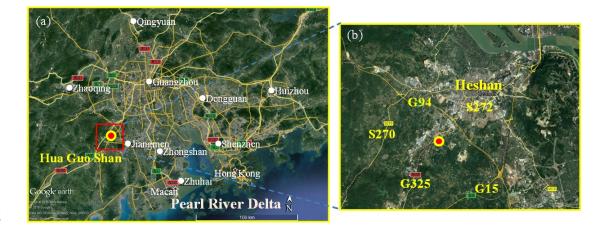


Figure 1. (a) Google map images of the Pearl River Delta in the Guangdong Province and
measurement site (Hua Guo Shan). (b) The topography and major roads (shown by number)
adjacent to the measurement site.

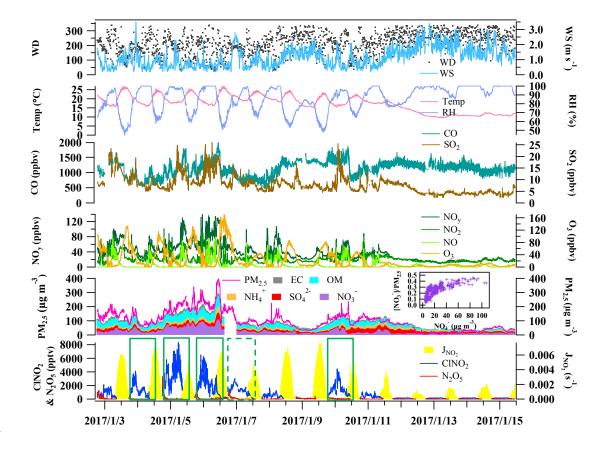




Figure 2. Time series of  $N_2O_5$ , ClNO<sub>2</sub>, components of  $PM_{2.5}$ , related trace gases and meteorological parameters from 18:40 of Jan 2 to 11:00 of Jan 15, 2017. The inserted figure shows the variation of the ratio of nitrate to  $PM_{2.5}$  with increasing nitrate concentration. The green rectangles in the figure indicate the five days used for detailed analysis.

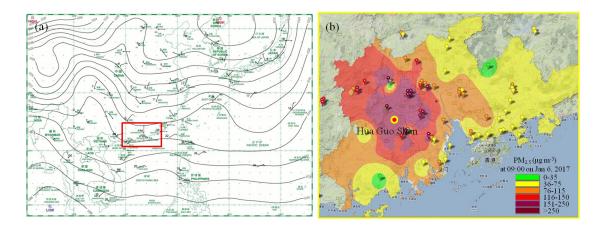


Figure 3. (a) Surface weather chart at 08:00 LT on Jan 6, 2017 downloaded from the website of the Hong Kong Observatory indicating stagnant conditions. (b) The distribution of  $PM_{2.5}$ concentrations in the PRD region at 09:00 LT on Jan 6, 2017. This figure was captured from the website. http://113.108.142.147:20031/GDPublish/publish.aspx.

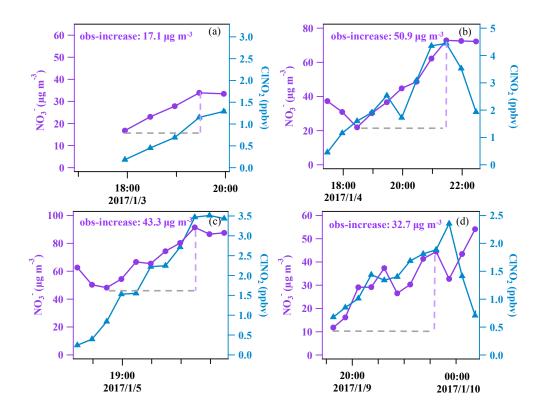
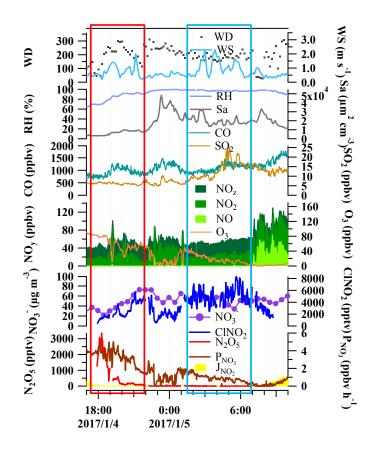
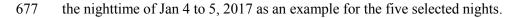


Figure 4. The covariance of aerosol nitrate and  $CINO_2$  in the early nighttime (in 30 min time resolution) for four nights.





676 Figure 5. Variation of N<sub>2</sub>O<sub>5</sub>, ClNO<sub>2</sub>, NO<sub>3</sub><sup>-</sup>, trace gases and meteorological conditions during



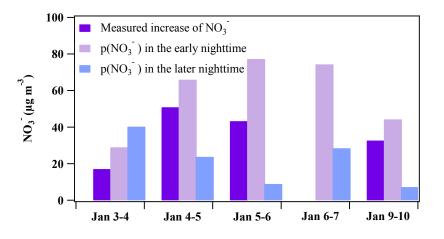
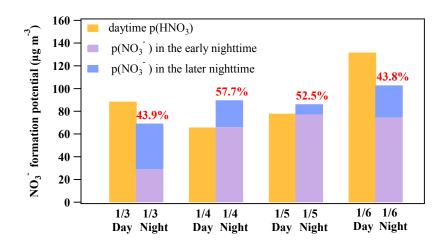


Figure 6. Comparison between the measured  $NO_3^-$  increase and the  $NO_3^-$  formation potential in the early nighttime (periods in Table 2: Jan 3 17:40-19:00, Jan 4 17:00-22:00, Jan 5 17:00-22:00, Jan 6 17:00-22:40, Jan 9 19:00-00:20) and in the later nighttime (periods in Table 3: Jan 3-4 21:00-05:00, Jan 5 01:30-06:50, Jan 5-6 23:40-01:10, Jan 6-7 23:00-06:00, Jan 10 01:50-03:30).



684

685 Figure 7. Comparison between the daytime (7:00 to 17:00 LT, assuming all gas phase HNO<sub>3</sub> 686 partitioned into particle phase) and nighttime (17:00 to 7:00 LT of the next day)  $NO_3^{-1}$ 687 formation potential. The early nighttime in each day represents the periods in Table 2, 688 including Jan 3 17:40-19:00, Jan 4 17:00-22:00, Jan 5 17:00-22:00, Jan 6 17:00-22:40, and 689 Jan 9 19:00-00:20. The later nighttime in each day represents the periods in Table 3, including 690 Jan 3-4 21:00-05:00, Jan 5 01:30-06:50, Jan 5-6 23:40-01:10, Jan 6-7 23:00-06:00, and Jan 10 691 01:50-03:30. The intercomparison of the  $NO_3^-$  formation potential in the day and night of Jan 692 9 and 10 was not conducted due to the lack of data of NMHC after Jan 8 which made the 693 model simulation of OH infeasible on the day of Jan 9.