



26 measurements of all the parameters involved in the theoretical calculation of the observed  
27  $O_2(b^1\Sigma_g^+ - X^3\Sigma_g^-)(0,0)$  emission, i.e. temperature and density of the background air, atomic  
28 oxygen density, and volume emission rate, is the novelty and the advantage of this work.

29

## 30 **1. Introduction**

31

32 The mesopause region is essential to understand the chemical and physical processes in the  
33 upper atmosphere because this is region of coldest temperature (during summer at high  
34 latitudes) and highest turbulence in the atmosphere (e.g. Lübken, 1997), the region of  
35 formation of such phenomena as noctilucent clouds (NLC) and polar mesospheric summer  
36 echoes (PMSE) (e.g. Rapp and Lübken, 2004), the region of gravity waves (GWs) breaking  
37 and formation of secondary GWs (Becker and Vadas, 2018), as well as the region of coupling  
38 between mesosphere and thermosphere. This region is characterised by different airglow  
39 emissions and, particularly, by the emissions of the Atmospheric Band which is produced by  
40 the excited state of molecular oxygen  $O_2(b^1\Sigma_g^+)$ . Airglow observation in the Atmospheric  
41 Band is a useful method to study dynamical processes in the mesopause region. There have  
42 been a number of reports of gravity waves (GWs) detection in this band (Noxon, 1978;  
43 Viereck and Deehr, 1989; Zhang et al., 1993). Planetary wave climatology has been  
44 investigated by the Spectral Airglow Temperature Imager (SATI) instrument (Lopez-  
45 Gonzalez et al., 2009). In addition, the parameters of tides have been reported from SATI  
46 (Lopez-Gonzalez et al., 2005) and High Resolution Doppler Imager (HRDI) observations  
47 (Marsh et al., 1999). In number of works Sheese et al. (2010, 2011) inferred the temperature  
48 by Atmospheric Band observation. Furthermore, the response of mesopause temperature and  
49 atomic oxygen during major sudden stratospheric warming was studied utilising Atmospheric  
50 Band emission by Shepherd et al. (2010). Various works have focused on Atmospheric Band  
51 emission modelling with respect to gravity waves and tides (e.g. Hickey et al., 1993; Leko et

52 al., 2002; Liu and Swenson, 2003). The specific theory of the gravity wave effects on  
53  $O_2(b^1\Sigma_g^+)$  emission was derived in Tarasick and Shepherd (1992). Moreover, Atmospheric  
54 Band observations have been widely utilised to infer atomic oxygen, which is an essential  
55 chemical constituent for energetic balance in the extended mesopause region (e.g. Hedin et  
56 al., 2009, and references there in), and ozone concentration (Mlynczak et al., 2001). Although  
57 there is a large field of application of Atmospheric Band emissions, there is a lack of  
58 knowledge on processes of the  $O_2(b^1\Sigma_g^+)$  population. Two main mechanisms of night-time  
59 population (note, the day-time mechanisms are quite different, see e.g. Zarbo et al., (2018))  
60 were proposed: the first is the direct population from three-body recombination of atomic  
61 oxygen (e. g. Deans et al., 1976); the second is the so-called two-step mechanism, which  
62 assumes an intermediate excited precursor  $O_2^*$  (e. g. Witt et al., 1984; Greer et al., 1981). It  
63 has been shown by laboratory experiments that the first mechanism alone has not explained  
64 observed emissions (Young and Sharpless, 1963; Clyne et al., 1965; Young and Black, 1966;  
65 Bates, 1988). The second mechanism entails a discussion about the precursor excited state  
66 and additional ambiguities in their parameters (e.g. Greer et al., 1981; Ogryzlo et al., 1984).  
67 Thus, Witt et al. (1984) proposed the hypothesis that the  $O_2(c^1\Sigma_u^-)$  state is, possibly, the  
68 precursor; López-González et al. (1992a) suppose that the precursor could be  $O_2(^5\Pi_g)$ ; Wildt  
69 et al. (1991) found by laboratory measurements that it could be  $O_2(A^3\Sigma_u^+)$ . Hence, the  
70 problem of identification is still not solved. The essential step in this direction has been made  
71 after the ETON 2 (Energy Transfer in the Oxygen Nightglow) rocket experiment. ETON 2  
72 mission yielded empirical fitting parameters that allow either to quantify the  $O_2(b^1\Sigma_g^+)$  (and,  
73 consequently, volume emission) by known O, or atomic oxygen by known volume emission  
74 values (McDade et al., 1986). Despite the significance of this work, the temperature and  
75 density of air (necessary for derivation) were taken from CIRA-72 and MSIS-83 (Hedin,  
76 1983) models. This leads to some degree of uncertainty (e.g. Murtagh et al., 1990). Thus,

77 more solid knowledge on these fitting coefficients based on consistent measurements of  
78 atomic oxygen, volume emission of Atmospheric Band, and temperature and density of  
79 background atmosphere is desirable. In this paper we present common volume measurements  
80 of these parameters performed in the course of WADIS-2 sounding rocket mission. In the  
81 next section, we describe the rocket experiment and obtained data relevant for our study. In  
82 section 3, to make the paper easier to understand, we repeat some theoretical approximations  
83 from McDade et al. (1986). The obtained results of our calculations are discussed in section 4.  
84 Concluding remarks and summary are given in the last section.

85

## 86 **2. Rocket experiment description**

87

88 The WADIS (Wave propagation and dissipation in the middle atmosphere: Energy budget and  
89 distribution of trace constituents) sounding rocket mission aimed to simultaneously study the  
90 propagation and dissipation of GWs and measure the concentration of atomic oxygen. It  
91 comprised two field campaigns conducted at the Andøya Space Center (ASC) in northern  
92 Norway (69°N, 16°E). The WADIS-2 sounding rocket was launched during the second  
93 campaign on 5 March 2015 at 01:44:00 UTC, that is, night-time conditions. For a more  
94 detailed mission description, the reader is referred to Strelnikov et al. (2017) and the  
95 accompanying paper by Strelnikov et al. (2018).

96 The WADIS-2 sounding rocket was equipped with the CONE instrument to measure absolute  
97 neutral air density and temperature with high spatial resolution, instrument for atomic oxygen  
98 density measurements FIPEX (Flux Probe Experiment) and the Airglow Photometer for  
99 atmospheric band (762 nm) volume emission observation.

100 CONE (COMbined measurement of Neutrals and Electrons), operated by IAP (Leibniz  
101 Institute of Atmospheric Physics at the Rostock University), is a classical triode type  
102 ionisation gauge optimised for a pressure range between  $10^{-5}$  to 1 mbar. The triode system is

103 surrounded by two electrodes: Whilst the outermost grid is biased to +3 to +6 V to measure  
104 electron densities at a high spatial resolution, the next inner grid (-15 V) is meant to shield the  
105 ionisation gauge from ionospheric plasma. CONE is suitable for measuring absolute neutral  
106 air number densities at altitude range between 70 and 120 km. To obtain absolute densities,  
107 the gauges are calibrated in the laboratory using a high-quality pressure sensor, like a  
108 Baratron. The measured density profile can be further converted to a temperature profile  
109 assuming hydrostatic equilibrium. For a detailed description of the CONE instrument, see  
110 Giebeler et al. (1993) and Strelnikov et al. (2013). Molecular oxygen and molecular nitrogen  
111 are derived from CONE atmospheric number density measurements and partitioning  
112 according to NRLMSISE-00 reference atmosphere (Picone et al., 2002).

113 The Airglow Photometer operated by MISU (Stockholm University, Department of  
114 Meteorology) measures the emission of the molecular oxygen Atmospheric Band around 762  
115 nm from the overhead column, from which volume emission rate is inferred by  
116 differentiation. For airglow measurements in general, a filter photometer is positioned under  
117 the nose cone viewing along the rocket axis with a defined field-of-view (FOV). For WADIS-  
118 2 however, the FOV of  $\pm 3^\circ$  was tilted from the rocket axis by  $3^\circ$  to avoid having other parts of  
119 the payload within the FOV and at the same time roughly view the same volume as the other  
120 instruments. The optical design is a standard radiometer-type system with an objective lens, a  
121 field lens, aperture and stops which provide an even illumination over a large portion of the  
122 detector surface (photomultiplier tube) and a defined FOV. At the entrance of the photometer  
123 there is an interference filter with a passband of 6 nm centred at 762 nm. During ascent, after  
124 the nosecone ejection, the photometer then counts the incoming photons from the overhead  
125 column (or actually the overhead cone). When the rocket passes through the layer the  
126 measured photon flux drops and above the emission layer only weak background emissions  
127 are present (e.g. the zodiacal and galactic light). After the profile has been corrected for  
128 background emissions and attitude (van Rhijn effect) it is converted from counts to radiance

129 using pre-flight laboratory calibrations. The calibration considers the spectral shape of the 0-0  
130 band of the  $O_2(b^1\Sigma_g^+ - X^3\Sigma_g^-)(0,0)$  Atmospheric Band system and the overlap of the  
131 interference filter passband. The profile is then smoothed and numerically differentiated with  
132 respect to altitude to yield the volume emission rate of the emitting layer. The data were  
133 sampled with 1085 Hz which results in an altitude resolution of about 0.75 m during the  
134 passage of the airglow layer (the velocity was  $\sim 800$  m/s at 95 km). However, because of the  
135 high noise level, the profile needed to be averaged to a vertical resolution of at least 3 km in  
136 order to get satisfactory results after the differentiation. A more detailed description and  
137 review of this measurement technique is given by Hedin et al. (2009).

138 The aim of the FIPEX developed by the IRS (Institute of Space Systems, University of  
139 Stuttgart) is to measure the atomic oxygen density along the rocket trajectory with high spatial  
140 resolution. It employs solid electrolyte sensor which has a selective sensitivity to atomic  
141 oxygen. A low voltage is applied between anode and cathode pumping oxygen ions through  
142 the electrolyte ceramic (yttria stabilised zirconia). The current measured is proportional to the  
143 oxygen density. Sampling is realised with a frequency of 100 Hz and enables a spatial  
144 resolution of  $\sim 10$  m. Laboratory calibrations were done for molecular and atomic oxygen. For  
145 a detailed description of the FIPEX instruments and their calibration techniques see Eberhart  
146 et al. (2015, 2018).

147

### 148 **3. Theory**

149

150 Here, we are repeating the theory of  $O_2(b^1\Sigma_g^+ - X^3\Sigma_g^-)(0,0)$  night-time emissions following  
151 McDade et al. (1986) to make our paper more readable, using all nomenclature as in the  
152 original paper. All utilised reactions are listed in Table 1, together with corresponding  
153 reaction rates, branching ratios, quenching rates and spontaneous emission coefficients. Some

154 components have been updated according to modern knowledge, thus, deviating from the  
 155 work of McDade et al. (1986).

156 Assuming direct one-step mechanism as the main one for population and that the  $O_2(b^1\Sigma_g^+)$  is  
 157 in photochemical equilibrium, we can write its concentration as a ratio of production to the  
 158 loss term:

$$[O_2(b^1\Sigma_g^+)] = \frac{\varepsilon k_1 [O]^2 M}{A_2 + k_2^{O_2} [O_2] + k_2^{N_2} [N_2] + k_2^O [O]}, \quad (1)$$

159 where  $k_1$  – reaction rate for three-body recombination of atomic oxygen,  $\varepsilon$  is the  
 160 corresponding quantum yield of  $O_2(b^1\Sigma_g^+)$  formation,  $A_2$  represents the spontaneous emission  
 161 coefficient, and  $k_2^{O_2}, k_2^{N_2}, k_2^O$  are the quenching coefficients for reactions with  $O_2, N_2$  and  $O$ ,  
 162 respectively. Then the volume emission,  $V_{at}$ , is obtained multiplying the  $O_2(b^1\Sigma_g^+)$   
 163 concentration by the spontaneous emission coefficient,  $A_1$ , of reaction R5 (hereafter,  
 164 nomenclature RX means the reaction X for Table 1).

165 In case of known temperature, volume emission and concentrations of  $O, O_2, N_2$ , and  $M$ , the  
 166 quantum yield of  $O_2(b^1\Sigma_g^+)$  formation can be calculated as follows:

$$\varepsilon = V_{at} \frac{A_2 + k_2^{O_2} [O_2] + k_2^{N_2} [N_2] + k_2^O [O]}{A_1 k_1 [O]^2 M}. \quad (2)$$

167 In the case of the two-step mechanism, the unknown excited state  $O_2^*$  is populated at the first  
 168 step from the reaction R7. Then, it can be deactivated by quenching (R9), spontaneous  
 169 emission (R10) or producing  $O_2(b^1\Sigma_g^+)$  by the reaction R8. Note, R8 is one pathway of the  
 170 overall quenching reaction R9.

171 In the second step,  $O_2^*$  is transformed into  $O_2(b^1\Sigma_g^+)$ , which, can be deactivated by quenching  
 172 (R2-R4) and by spontaneous emission (R6). Assuming photochemical equilibrium for  $O_2^*$  and,  
 173 as before, for  $O_2(b^1\Sigma_g^+)$  the volume emission in the case of  $O_2(b^1\Sigma_g^+ - X^3\Sigma_g^-)(0,0)$  is:

$$V_{at} = \frac{A_1 \alpha k_1 [O]^2 M \gamma k_3^{O_2} [O_2]}{(A_2 + k_2^{O_2} [O_2] + k_2^{N_2} [N_2] + k_2^O [O]) (A_3 + k_3^{O_2} [O_2] + k_3^{N_2} [N_2] + k_3^O [O])}, \quad (3)$$

174 where quantum yield of  $O_2^*$  formation  $\alpha$ , quantum yield of  $O_2(b^1\Sigma_g^+)$  formation  $\gamma$ ,  
 175 spontaneous emission coefficient  $A_3$ , and  $k_3^{O_2}, k_3^{N_2}, k_3^O$  unknown quenching rates of  $O_2^*$ . Note,  
 176 assumption about photochemical equilibrium for  $O_2^*$  and  $O_2(b^1\Sigma_g^+)$  is valid, because  
 177  $O_2(b^1\Sigma_g^+)$  radiative lifetime is less than 12 s and all potential candidates for of  $O_2^*$  have  
 178 lifetime less than several seconds (e.g. López-González et al., 1992a, 1992b, 1992c;  
 179 Yankovsky et al., 2016, and references therein).

180 Collecting all known values on the right-hand side (RHS) and all unknown summands on the  
 181 left-hand side (LHS), omitting emissive summand  $A_3$  as non-effective loss (McDade et al.,  
 182 1986) equation (3) can be rearranged as follows:

$$C^{O_2}[O_2] + C^O[O] = \frac{A_1 k_1 [O]^2 M [O_2]}{V_{at} (A_2 + k_2^{O_2} [O_2] + k_2^{N_2} [N_2] + k_2^O [O])} \quad (4)$$

183 where  $C^{O_2} = (1 + k_3^{N_2} [N_2] / k_3^{O_2} [O_2]) / \alpha \gamma$  and  $C^O = k_3^O / \alpha \gamma k_3^{O_2}$  are the fitting coefficients  
 184 that can be calculated by the least square fit (LSF) procedure. Such derivation assumes that  
 185 the coefficients are temperature independent (or temperature dependence is weak). This  
 186 means that the reaction rates  $k_3$  are assumed to be temperature independent (dependence is  
 187 weak), or have the same temperature dependency for all quenching partners ( $N_2$ ,  $O_2$ ,  $O$ ).  
 188 Currently, this statement on the basis of available information about potential precursors is  
 189 assumed true, but for which the solid evidence is absent. We calculated them based on our  
 190 measurements and will discuss the results in the following section.

191 In more general case population of  $O_2(b^1\Sigma_g^+)$  occurs via both channels: one-step and two-  
 192 step. We discuss such processes in section 4.3 and derive an expression for corresponding fit-  
 193 function in Appendix.

194

## 195 4. Results and discussion

196



197 Figure 1 shows input data for our calculations: temperature from CONE instrument (Fig. 1a),  
198 number density of air (Fig. 1b), atomic oxygen concentration measured by FIPEX (Fig. 1c)  
199 and volume emission at 762 nm from photometric instrument (Fig. 1d). A temperature  
200 minimum of  $\sim 158$  K was observed at 104.2 km. A local temperature peak was measured at  
201 98.9 km with values of 204.5 K. The secondary temperature minimum was visible at 95.4 km  
202 and amounted to  $\sim 173$  K. Atomic oxygen concentration (Fig. 1c) had a peak of  $\sim 4.7 \cdot 10^{11}$  [cm<sup>-3</sup>]  
203 ] at 97.2 km and approximately coincided with the secondary temperature peak. The peak of  
204 volume emission was detected between 95 and 97 km with values of more than 1700  
205 [phot. $\cdot$ cm<sup>-3</sup> $\cdot$ s<sup>-1</sup>]; this is slightly beneath the atomic oxygen corresponding maximum and  
206 slightly above the secondary temperature minimum. Note, this point to the competition of the  
207 temperature and the atomic oxygen concentration in the processes of atomic oxygen excited  
208 state  $O_2(b^1\Sigma_g^+)$  formation. Independently of the mechanism of atmospheric band emission  
209 (Eq. 1 or Eq. 3), the numerator is directly proportional to the square of atomic oxygen  
210 concentration and inversely proportional to the third power of the temperature (via reaction  
211 rate  $k_I$  and  $M$ , considering the ideal gas law). Our rocket experiment shows an essential  
212 difference of emissions between ascending and descending flights (see Strelnikov et al.,  
213 2018). It also demonstrates a significant variability in other measured parameters, including  
214 neutral temperature and density as well as atomic oxygen density (Strelnikov et al., 2017,  
215 2018). This suggests that, in the case of the ETON 2 experiments, the temporal extrapolation  
216 of atomic oxygen for the time of the emission measurement flight (which was approximately  
217 20 min earlier) may lead to serious biases in estimations because, as one can see from Eq. 1  
218 and Eq. 3, volume emission depends on the atomic oxygen concentration quadratically. Since  
219 the best quality data were obtained during the descent of the WADIS-2 rocket flight, we chose  
220 this data set for our analysis (Strelnikov et al., 2018). The region above 104 km is subject to  
221 auroral contamination. In the region below 92 km, negative values may occur in the volume  
222 emission profile as the result of self-absorption in the denser atmosphere below the emission

223 layer. Hence, we considered the region near the peak of emission between 92 km and 104 km  
224 as most appropriate for our study. The comparisons of our measurements with other  
225 observations, as well as with the results of modelling are presented in several papers (e.g.  
226 Eberhart et al., 2018; Strelnikov et al., 2018).

227

#### 228 **4.1 One-step mechanism**

229

230 Figure 2 shows the quantum yield of  $O_2(b^1\Sigma_g^+)$  formation  $\varepsilon$  calculated according to Eq. (2),  
231 which is necessary to form  $O_2(b^1\Sigma_g^+)$  under the assumption that the direct three-body  
232 recombination of atomic oxygen is the main mechanism. The uncertainties for this figure (as  
233 well as for other figures) were calculated according with sensitivity analysis (von Clarmann,  
234 2014; Yankovsky and Manuilova, 2018, (Appendix 1); Vorobeva et al., 2018), where the  
235 errors represent error propagation from the experimental data. Calculated values of  $\varepsilon$  are  
236 placed in the range [0.07; 0.13], which is in good agreement with the values derived by  
237 McDade et al. (1986). The averaged value amounts to  $0.11 \pm 0.02$ . The range of values taking  
238 into account both the variance and the error range amounts to [0.02; 0.22]. By the physical  
239 nature of this value, the quantum yield of  $O_2(b^1\Sigma_g^+)$  formation should not depend on altitude.  
240 Fig. 2 shows some altitude dependence of central values of  $\varepsilon$ , but considering the large error  
241 range, there is no clear altitude dependence. The variability of the data points is much smaller  
242 than the errors of the individual points. Hence, in light of the analysis of our rocket  
243 experiment, we may not decline direct excitation mechanism.

244 Although the population via one-step mechanism alone is, generally speaking, possible, it is  
245 improbable because laboratory experiments show that the direct excitation alone may not  
246 explain observed emissions (Young and Sharpless, 1963; Clyne et al., 1965; Young and  
247 Black, 1966; Bates, 1988). This conclusion is in agreement with the conclusion from McDade

248 et al. (1986), which stated that the one-step excitation mechanism is not sufficient to explain  
249 the  $O_2(b^1\Sigma_g^+)$  population. Hence, in the following, we check the second energy transfer  
250 mechanism.

251

## 252 **4.2 Two-step mechanism**

253

254 Figure 3 depicts the altitude profile of the right hand side (RHS) of equation (4) and profile  
255 calculated by the least-square fit (LSF). The fitting coefficients,  $C^{O_2}$  and  $C^O$ , resulting from  
256 this fit, amount to  $9.8_{+6.5}^{-5.3}$  and  $2.1_{-0.6}^{+0.3}$ , respectively. The uncertainties were calculated, as  
257 commonly for LSF (Bevington and Robinson, 2003), based on error propagation from the  
258 RHS as provided in Figure 3. Our  $C^{O_2}$  coefficient is partially, within the error range, in  
259 agreement with  $C^{O_2}$  coefficients given in McDade et al. (1986), which amount to  $4.8\pm 0.3$  and  
260  $6.6\pm 0.4$  for temperature from CIRA-72 and MSIS-83, respectively. The  $C^O$  coefficient is  
261 approximately one order lower. There are several possible reasons for the large discrepancy in  
262  $C^O$ , for example the temperature dependence of the O-quenching or that, in the case of ETON  
263 2 experiments mean temperature profiles from the models CIRA-72 and MSIS-83 were  
264 utilized, which does not reproduce any short-time dynamical fluctuations, solar cycle  
265 conditions, etc. In frame of our analysis, we may not identify the reason for the large  
266 discrepancy in  $C^O$  more precisely. Fitting coefficients defined in such a way (Eq. 4) do not  
267 have a direct physical meaning. However, they have a physical meaning in several limit cases.  
268 If the quenching coefficients of a precursor with molecular nitrogen are much smaller than  
269 those with molecular oxygen ( $k_3^{N_2} \ll k_3^{O_2}$ ), then  $\alpha\gamma = 1/C^{O_2}$ . The assumption, that the  
270 quenching of the precursor with  $N_2$  is much slower than quenching with  $O_2$ , is just working  
271 hypothesis, which is commonly used for analysis of possible precursor (e.g. McDade et al.,  
272 1986; López-González et al, 1992a, 1992b; and references therein). It is true for such potential  
273 precursor as  $O_2(A^3\Sigma_u^+)$  (Kenner and Ogryzlo, 1983b), but generally, there is no evidence,

274 neither for nor against that. If it is not true, any definite conclusion on precursor by known  
 275  $C^{O_2}$  is not possible. In our case  $\alpha\gamma = 0.102_{-0.041}^{+0.120}$ . In other words, in the case of two-step  
 276 formation of  $O_2(b^1\Sigma_g^+)$  with energy transfer agent  $O_2$ , the total efficiency  $\eta = \alpha\gamma$  amounts to  
 277 10.2%, which is the lowest amongst known values. Based on rocket experiment data analysis  
 278 (ETON), Witt et al. (1984) obtained  $\alpha\gamma = 0.12 - 0.2$ . According to McDade et al. (1986), for  
 279 the case with  $k_2^O = 8 \cdot 10^{-14}$ , the total efficiencies are 0.15 and 0.21 for temperature profiles  
 280 adopted from MSIS-83 and CIRA-72, respectively. The analyses of López-González et al.  
 281 (1992a, c), adopted  $O_2$ ,  $N_2$ , and temperature profiles from the model (Rodrigo et al., 1991),  
 282 showed a total efficiency of 0.16. In contrast to our work, all investigations mentioned above  
 283 utilised the temperature and atmospheric density from models which describe a mean state of  
 284 the atmosphere. This is a possible reason for discrepancy in the results. Total efficiency  $\eta$  may  
 285 serve as an auxiliary quantity to identify the precursor. According to the physical meaning of  
 286 efficiency, it may not be larger than 1. Hence,  $\alpha$ ,  $\gamma$ , as well as the total efficiency are smaller  
 287 than 1. Consequently,  $\gamma = \eta/\alpha < 1$ , and we can examine potential candidates for  $O_2^*$  with this  
 288 criterion. From an energetic point of view, only four bound states of molecular oxygen can be  
 289 considered as an intermediate state for the  $O_2(b^1\Sigma_g^+)$  population:  
 290  $O_2(A^3\Sigma_u^+)$ ,  $O_2(A'^3\Delta_u)$ ,  $O_2(c^1\Sigma_u^-)$ , and  $O_2(^5\Pi_g)$  (Greer et al., 1981; Wraight, 1982; Witt et al.,  
 291 1984; McDade et al., 1986; López-González et al., 1992c). For better readability, we will  
 292 partially repeat a table from López-González et al. (1992b, c) with known  $\alpha$  in our work  
 293 (Table 2). From Table 2, it can be seen that only  $O_2(A'^3\Delta_u)$  and  $O_2(^5\Pi_g)$  fit to the criterion of  
 294  $\gamma = 0.102/\alpha < 1$ . At lower limit of uncertainty ( $\gamma = 0.061/\alpha < 1$ )  $O_2(A'^3\Delta_u)$  and  $O_2(^5\Pi_g)$   
 295 satisfy to the criterion, and considering upper limit ( $\gamma = 0.222/\alpha < 1$ ), only  $O_2(^5\Pi_g)$  may  
 296 serve as precursor.

297 The second expression that helps to clarify the choice of the precursor is the ratio of  
 298 quenching rates. In the limit of low quenching with molecular nitrogen ( $k_3^{N_2} \ll k_3^{O_2}$ ), the ratio

299 of fitting coefficients equals the ratio of the quenching rates of atomic and molecular oxygens  
 300 ( $C^O/C^{O_2} = k_3^O/k_3^{O_2}$ ). An analysis from the ETON 2 rocket experiment yields values of  
 301 quenching coefficients ratios of potential precursor of 3.1 and 2.9 for temperatures from  
 302 CIRA-72 and MSIS-83, respectively. This is close to the value from Ogryzlo et al. (1984),  
 303 who found  $k_3^O/k_3^{O_2} = 2.6$  by laboratory measurements; however, as was noted in their work,  
 304 substitution of these values into the equation for emission yields 16 % of the observed  
 305 emission (Ogryzlo et al., 1984). These findings point to the possibility of a too high measured  
 306 ratio  $k_3^O/k_3^{O_2}$  as the result of too strong quenching of precursor by atomic oxygen. Our value  
 307 of quenching ratios  $k_3^O/k_3^{O_2}$  amounts to  $0.21_{-0.12}^{+0.32}$ . There is not enough information on  
 308 measured values for bound states of molecular oxygen. Laboratory measurements for  
 309  $O_2(A^3\Sigma_u^+)(v = 0 - 4)$ ,  $O_2(A^3\Sigma_u^+)(v = 2)$ , and  $O_2(c^1\Sigma_u^-)$  infer the values of  $k_3^O/k_3^{O_2}$  ratio to  
 310 be  $30\pm 30$ ,  $100\pm 15$ , and  $200\pm 20$ , respectively (Kenner and Ogryzlo, 1980; Kenner and  
 311 Ogryzlo, 1983a, 1983b; Kenner and Ogryzlo, 1984). On the other hand, Slanger et al. (1984)  
 312 found a lower limit of  $O_2(A^3\Sigma_u^+)(v = 8)$  quenching by  $O_2$  must be  $\geq 8 \cdot 10^{-11}$ . If the results  
 313 from Slanger et al. (1984) were applied to the results from Kenner and Ogryzlo (1980, 1984)  
 314 for  $k_3^{O_2}$ , then the ratio of  $k_3^O/k_3^{O_2}$  would be two orders lower. This short discussion illustrates a  
 315 strong scattering of this ratio. For our two potential candidates ( $O_2(A'^3\Delta_u)$  and  $O_2(^5\Pi_g)$ ),  
 316 there is information about  $k_3^O/k_3^{O_2}$  ratio for only  $O_2(A'^3\Delta_u)$ . Through the comprehensive  
 317 analysis of known rocket experiments, López-González et al. (1992a, b, c) inferred that the  
 318 upper limit of the ratio amounts to 1. Hence, our value of  $k_3^O/k_3^{O_2} = 0.21_{-0.12}^{+0.32}$  agrees with  
 319 this result. Consistent information from laboratory experiments on the ratio for  $O_2(^5\Pi_g)$  is  
 320 absent. Thus, we can propose as potential candidates for precursor both  $O_2(A'^3\Delta_u)$  and  
 321  $O_2(^5\Pi_g)$ ; however, we are not able to identify which of these two is more preferable.  
 322 In order to illustrate the application of the newly derived fitting coefficients we compare in  
 323 Figure 4 the atomic oxygen concentration from FIPEX (black line), from NRL MSISE-00

324 reference atmosphere model (Picone et al., 2002) (red line); calculated with McDade et al.  
325 (1986) coefficients (blue line), and with our fitting coefficients for the two-step mechanism  
326 (green line). In the region 94-98 km, i.e. at atomic oxygen peak and volume emission peak  
327 (see Fig. 1d) fitting coefficients from this paper better than McDade coefficients (MSIS-83  
328 case) reproduce observed values. Our fitting coefficients and fitting coefficients of McDade  
329 give similar approximation above atomic oxygen peak (~98-104 km). The shape of the  
330 calculated profiles appears slightly different, with the peak maximum at a higher altitude than  
331 the observed. In this, our result resembles the McDade results, probably because in both  
332 cases, the ratio of two reaction rates is derived, but not the rates themselves. In the lower part  
333 our results and those of McDade differ, because our  $C^{O_2}$  value is larger and the term with  
334 molecular oxygen dominates. Nevertheless, the atomic oxygen retrieved with our fitting  
335 coefficients satisfactorily reproduces measurements, especially at the peak.

336

### 337 **4.3 Combined mechanism**

338

339 In the most general case, the  $O_2(b^1\Sigma_g^+)$  population passes through two channels: directly and  
340 via precursor. In fact, theoretical calculations from Wraight (1982) and laboratory  
341 measurements from Bates (1988) predicted a direct population with efficiencies of 0.015 and  
342 0.03, respectively, which is not sufficient to explain the observed emissions (Bates, 1988,  
343 Greer et al., 1981; Krasnopolsky, 1986). A similar value,  $\varepsilon=0.02$ , was shown in the analysis  
344 by López-González et al. (1992b, c). We investigated a combined mechanism based on the  
345 LSF calculation and fit function (derivation in Appendix):

$$\frac{[O_2] + D_1[O]}{D_2 + \tilde{\varepsilon}(1 + D_1[O]/[O_2])} = \frac{A_1 k_1 [O]^2 M [O_2]}{V_{at}(A_2 + k_2^{O_2} [O_2] + k_2^{N_2} [N_2] + k_2^O [O])}, \quad (5)$$

346 where, hereafter, tildes denote that these are values for combined mechanism and do not equal  
347 to the values for one-step or two-step mechanisms (Sec. 4.1 and 4.2);  $D_1 = \tilde{k}_3^O / \tilde{k}_3^{O_2}$  and

348  $D_2 = \tilde{\alpha}\tilde{\gamma}$  are the fitting coefficients, which refer to the ratio of quenching rates and  $\tilde{\eta} \equiv \tilde{\alpha}\tilde{\gamma}$   
 349 total efficiency for two-step channel, respectively. The fitting coefficients were calculated for  
 350 two limit cases  $\tilde{\epsilon}=0.015$  (Wraight, 1982),  $\tilde{\epsilon}=0.03$  (Bates, 1988) and for the averaged case  
 351  $\tilde{\epsilon}=0.022$ .

352 The results for the best-fit in each case are listed in Table 3. Analogously to the two-step  
 353 mechanism (Sec. 4.2), for the case of combined mechanism  $\tilde{\gamma} = \tilde{\eta}/\tilde{\alpha} < 1$ , hence, the  
 354 precursor should satisfy  $\tilde{\alpha} > 0.08_{-0.04}^{+0.12}$  (see Tab. 3). For central values of  $\tilde{\alpha}$ , only  $O_2(A'^3\Delta_u)$   
 355 and  $O_2(^5\Pi_g)$  satisfy this criterion (see Tab. 2). At lower limit of uncertainty ( $\tilde{\alpha} >$   
 356  $0.04$ )  $O_2(A'^3\Delta_u)$ ,  $O_2(A^3\Sigma_u^+)$ , and  $O_2(^5\Pi_g)$  satisfy to the criterion, and considering upper limit  
 357 ( $\tilde{\alpha} > 0.2$ ), only  $O_2(^5\Pi_g)$  may serve as precursor. The upper limit of the ratio  $k_3^0/k_3^{O_2} < 1$  for  
 358  $O_2(A'^3\Delta_u)$ , derived by López-González et al. (1992a, b, c), is in agreement with our  
 359 calculations ( $0.231_{-0.142}^{+0.358}$ ). As it is noted above, the ratio for  $O_2(^5\Pi_g)$  is unknown.  
 360 Consequently, taking into an account both conditions, only  $O_2(A'^3\Delta_u)$  and  $O_2(^5\Pi_g)$  may  
 361 serve as precursor.

362 Figure 5 illustrates a sanity check for volume emissions derived (black lines) with fitting  
 363 coefficients of McDade et al. (1986) for MSIS-83 (Fig. 5c) case and CIRA-72 case (Fig. 5d),  
 364 and with our newly derived fitting coefficients for two-step (Fig. 5a) and combined ( $\tilde{\epsilon} =$   
 365  $0.022$ ) mechanisms (Fig. 5b) in comparison with measured one (red lines). All of derived  
 366 volume emission profiles (black lines) were calculated based on the temperature,  
 367 concentration of surrounding air, and concentration of atomic oxygen from our rocket launch.  
 368 The calculations with combined mechanism (Eq. 5) and two-step energy transfer mechanism  
 369 (Eq. 4) give almost identical results. The results obtained with new fitting coefficients are in  
 370 satisfactory agreement with the measured volume emissions at the peak and above, whereas  
 371 the McDade coefficients related to the temperature from CIRA-72 give better approximations  
 372 below the volume emission peak (92 km). The coefficients of McDade related to the

373 temperature from MSIS-83 are in better agreement with our results and are almost identical  
374 above the volume emission peak. More independent common volume in-situ measurements  
375 are necessary to validate these results.

376

## 377 **5. Summary and conclusions**

378

379 Based on the rocket-born common volume simultaneous observations of atomic oxygen,  
380 atmospheric band emission (762 nm), and density and temperature of the background  
381 atmosphere, the mechanisms of  $O_2(b^1\Sigma_g^+)$  formation were analysed. Our calculations show  
382 that one-step direct excitation alone is less probable by the reasons discussed above (Sec. 4.1).  
383 For the case of the two-step mechanism, we found new coefficients for fit function in  
384 accordance with McDade et al. (1986), based on self-consistent temperature, atomic oxygen  
385 and volume emission observation. These coefficients amounted to  $C^{O_2}=9.8_{+6.5}^{-5.3}$  and  
386  $C^O=2.1_{-0.6}^{+0.3}$ .  $C^{O_2}$  coefficient is partially, within the error range, in agreement with  $C^{O_2}$   
387 coefficients given in McDade et al. (1986), and  $C^O$  coefficient is approximately one order  
388 lower. The general implication of these results is parameterisation of volume emission in  
389 terms of known atomic oxygen. This can be utilised either for atmospheric band volume  
390 emission modelling or for estimation of atomic oxygen by known volume emission. We  
391 identified two candidates for the intermediate state of  $O_2^*$ . Our results show that  $O_2(A'^3\Delta_u)$  or  
392  $O_2(^5\Pi_g)$  may serve as a precursor.

393 Taking into account both channels of  $O_2(b^1\Sigma_g^+)$  formation, we proposed a combined  
394 mechanism. In this case, atomic oxygen via volume emission or volume emission based on  
395 known atomic oxygen can be calculated by equation (5). Recommended fitting coefficients  
396 amounted to  $D_1=0.231_{-0.142}^{+0.358}$  and  $D_2=0.08_{-0.04}^{+0.12}$ , with the efficiency of the direct channel as  
397  $\tilde{\epsilon} = 0.022$ . These coefficients have a meaning of total efficiency ( $\tilde{\alpha}\tilde{\gamma} = 0.08_{-0.04}^{+0.12}$ ) and a ratio



398 of quenching coefficients ( $\tilde{k}_3^O/\tilde{k}_3^{O_2} = 0.231_{-0.142}^{+0.358}$ ) for the two-step channel. The analysis of  
 399 their values indicates that  $O_2(A'^3\Delta_u)$  and  $O_2(^5\Pi_g)$  may serve as possible precursors for the  
 400 two-step channel at combined mechanism. In the context of our rocket experiment, we do not  
 401 have the possibility to figure out which mechanism is true. Nevertheless, we consider the  
 402 combined mechanism as more relevant to nature, because it has a higher generality. This  
 403 conclusion does not contradict to the current point of view that the two-step mechanism is  
 404 dominant because  $\tilde{\epsilon}$  is assumed to be 1.5-3 %. Moreover, it is possible that in the reality the  
 405 mechanism is much more complex and it has multi-channel or more than two-step nature.  
 406 Undoubtedly, more common volume simultaneous observations of the Atmospheric Band and  
 407 the atomic oxygen concentrations would be desirable to confirm and improve these results.

408

#### 409 **Appendix.**

410

411 We consider photochemical equilibrium for the night-time  $O_2(b^1\Sigma_g^+)$  concentration. If  
 412  $O_2(b^1\Sigma_g^+)$  is produced via both channels, the equilibrium concentration is given by the  
 413 following expression:

$$[O_2(b^1\Sigma_g^+)] = \frac{\tilde{\epsilon}k_1[O]^2M + \tilde{\gamma}\tilde{k}_3^{O_2}[O_2][O_2^*]}{A_2 + k_2^{O_2}[O_2] + k_2^{N_2}[N_2] + k_2^O[O]}, \quad (A1)$$

414 where the tilde denotes the combined mechanism,  $A_1, k_1, k_2^{O_2}, k_2^{N_2}, k_2^O, \tilde{k}_3^{O_2}$  are the ratios for  
 415 corresponding processes (see Tab. 1) and  $O_2^*$  is the unknown precursor.

416 Considering this precursor in photochemical equilibrium, we can obtain the following  
 417 expression for its concentration:

$$[O_2^*] = \frac{\tilde{\alpha}k_1[O]^2M}{\tilde{A}_3 + \tilde{k}_3^{O_2}[O_2] + \tilde{k}_3^{N_2}[N_2] + \tilde{k}_3^O[O]}, \quad (A2)$$

418 where efficiency  $\tilde{\alpha}$ ,  $\tilde{A}_3$  is the unknown spontaneous emission coefficient of  $O_2^*$  and  
 419  $\tilde{k}_3^{O_2}, \tilde{k}_3^{N_2}, \tilde{k}_3^O$  are the unknown quenching rates for  $O_2^*$ .

420 Substituting A2 into A1 and into expression for volume emission we obtain:

$$421 \quad V_{at} = A_1 [O_2 (b^1 \Sigma_g^+)] =$$

$$= \frac{A_1 k_1 [O]^2 [O_2] M}{A_2 + k_2^{O_2} [O_2] + k_2^{N_2} [N_2] + k_2^O [O]} \left( \frac{\tilde{\epsilon}}{[O_2]} + \frac{\tilde{\alpha} \tilde{\gamma} \tilde{k}_3^{O_2}}{\tilde{A}_3 + \tilde{k}_3^{O_2} [O_2] + \tilde{k}_3^{N_2} [N_2] + \tilde{k}_3^O [O]} \right). \quad (A3)$$

422 We assume that, in analogy with two-step mechanism, a spontaneous emission  $\tilde{A}_3$  of  $O_2^*$  is  
 423 much smaller than the quenching, and we utilised traditional assumption about low quenching  
 424 with molecular nitrogen ( $\tilde{k}_3^{N_2} \ll \tilde{k}_3^{O_2}$ ), which is commonly used to analyse a potential  
 425 precursor. In this case, A3 can be rearranged as follows:

$$\frac{[O_2] + \frac{\tilde{k}_3^O}{\tilde{k}_3^{O_2}} [O]}{\tilde{\alpha} \tilde{\gamma} + \tilde{\epsilon} \left( 1 + \frac{\tilde{k}_3^O}{\tilde{k}_3^{O_2}} [O] / [O_2] \right)} = \frac{A_1 k_1 [O]^2 M [O_2]}{V_{at} (A_2 + k_2^{O_2} [O_2] + k_2^{N_2} [N_2] + k_2^O [O])}. \quad (A4)$$

426 We defined unknown fitting coefficients  $D_1 \equiv \tilde{k}_3^O / \tilde{k}_3^{O_2}$  and  $D_2 \equiv \tilde{\alpha} \tilde{\gamma}$ . Expression A4 was  
 427 utilised to calculate them with LSF.

428

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430

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691 **Table 1.** List of reactions with corresponding reaction rates (for three-body reactions [ $\text{cm}^6$   
692  $\text{molecule}^{-2} \text{s}^{-1}$ ] and for two-body reactions [ $\text{cm}^3 \text{molecule}^{-1} \text{s}^{-1}$ ]), quenching coefficients, and  
693 spontaneous emission coefficients ( $\text{s}^{-1}$ ) used in the paper.

	Reaction	Coefficient	Reference
R1	$O + O + M \xrightarrow{\varepsilon k_1} O_2(b^1\Sigma_g^+) + M$	$k_1 = 4.7 \cdot 10^{-33} (300/T)^2$ $\varepsilon - \text{unknown}$	Campbel and Gray (1973)
R2	$O_2(b^1\Sigma_g^+) + O_2 \xrightarrow{k_2^{O_2}} \text{products}$	$k_2^{O_2}$ $= 7.4 \cdot 10^{-17} T^{0.5} e^{-\frac{1104.7}{T}}$	Zagidullin et al. (2017)
R3	$O_2(b^1\Sigma_g^+) + N_2 \xrightarrow{k_2^{N_2}} \text{products}$	$k_2^{N_2} = 8 \cdot 10^{-20} T^{1.5} e^{\frac{503}{T}}$	Zagidullin et al. (2017)
R4	$O_2(b^1\Sigma_g^+) + O \xrightarrow{k_2^O} \text{products}$	$k_2^O = 8 \cdot 10^{-14}$	Slanger and Black (1979)
R5	$O_2(b^1\Sigma_g^+) \xrightarrow{A_1} O_2 + h\nu(762\text{nm})$	$A_1 = 0.0834$	Newnham and Ballard (1998)
R6	$O_2(b^1\Sigma_g^+) \xrightarrow{A_2} O_2 + h\nu(\text{total})$	$A_2 = 0.088158$	Yankovsky et al. (2016)
R7	$O + O + M \xrightarrow{\alpha k_1} O_2^* + M$	$\alpha - \text{unknown}$	
R8	$O_2^* + O_2 \xrightarrow{\gamma k_3^{O_2}} O_2(b^1\Sigma_g^+) + O_2$	$\gamma - \text{unknown}$	
R9	$O_2^* + O_2, N_2, O \xrightarrow{k_3^{O_2}, k_3^{N_2}, k_3^O} \text{prod.}$	$k_3^{O_2}, k_3^{N_2}, k_3^O - \text{unknown}$	
R10	$O_2^* \xrightarrow{A_3} O_2 + h\nu$	$A_3 - \text{unknown}$	

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695 **Table 2.** Efficiencies  $\alpha$  of the different excited states of  $O_2$ .

$O_2(c^1\Sigma_u^-)$	$O_2(A'^3\Delta_u)$	$O_2(A^3\Sigma_u^+)$	$O_2(^5\Pi_g)$	Reference
0.03	0.12	0.04	0.66	Wraight (1982), Smith (1984)
0.04	0.18	0.06	0.5	Bates (1988)
0.03	0.18	0.06	0.52	López-González et al. (1992a, b, c)

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697 **Table 3.** Fitting coefficients for combined mechanism (Eq. 5) at different efficiencies.

	Low $\tilde{\varepsilon}$ Wraight (1982)	High $\tilde{\varepsilon}$ Bates (1988)	Averaged $\tilde{\varepsilon}$ (this work)
$\tilde{\varepsilon}$	0.015	0.03	0.022
$D_1 = \tilde{k}_3^O / \tilde{k}_3^{O_2}$	$0.211^{+0.355}_{-0.136}$	$0.397^{+0.22}_{-0.282}$	$0.231^{+0.358}_{-0.142}$
$D_2 = \tilde{\alpha}\tilde{\gamma}$	$0.087^{+0.12}_{-0.041}$	$0.073^{+0.119}_{-0.042}$	$0.08^{+0.12}_{-0.04}$

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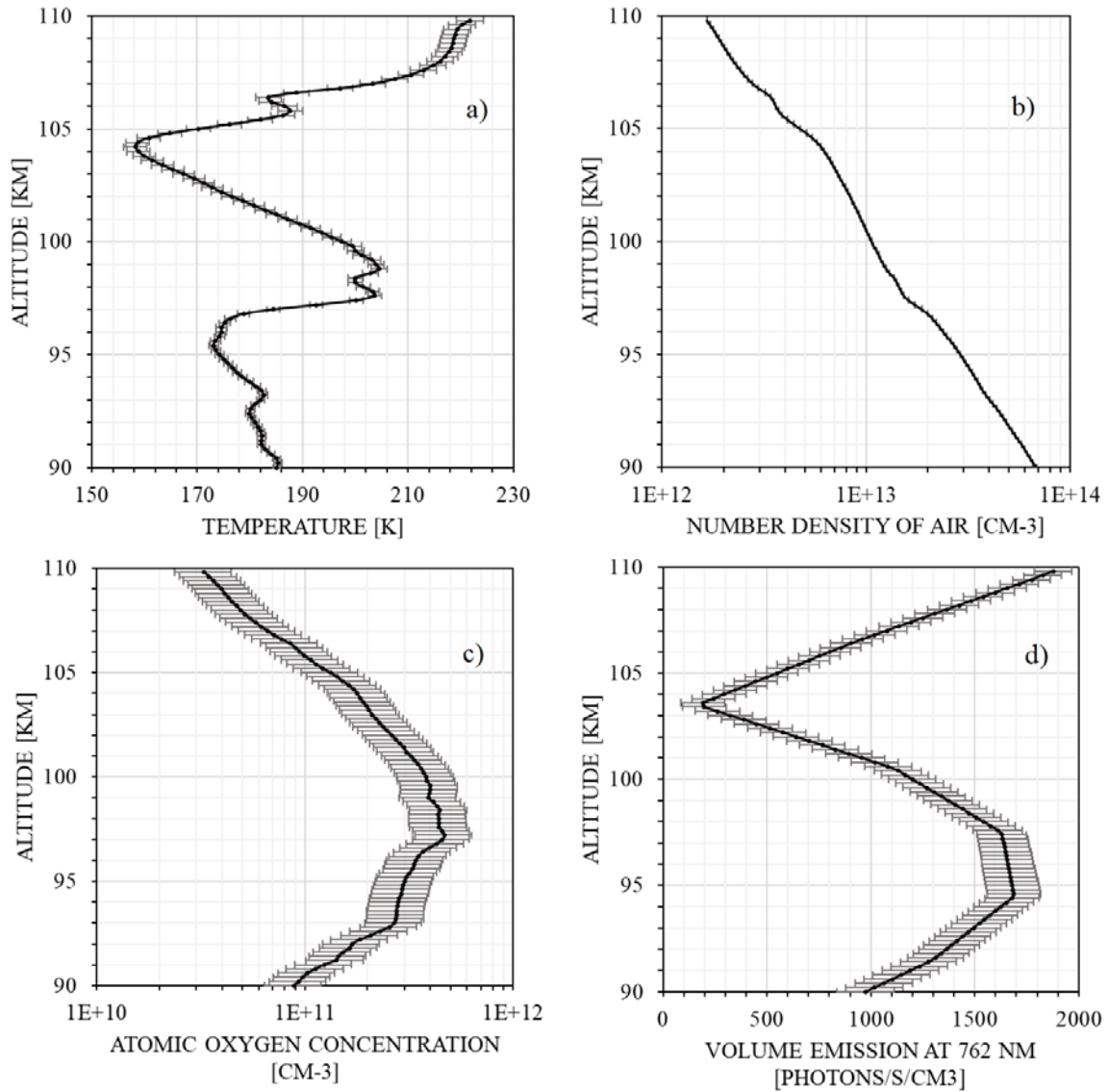
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701 **Figures.**

702 Figure 1. Measurements of a) temperature (CONE), b) number density of air (CONE), c)

703 atomic oxygen concentration (FIPEX), d) volume emission at 762 nm (photometer).



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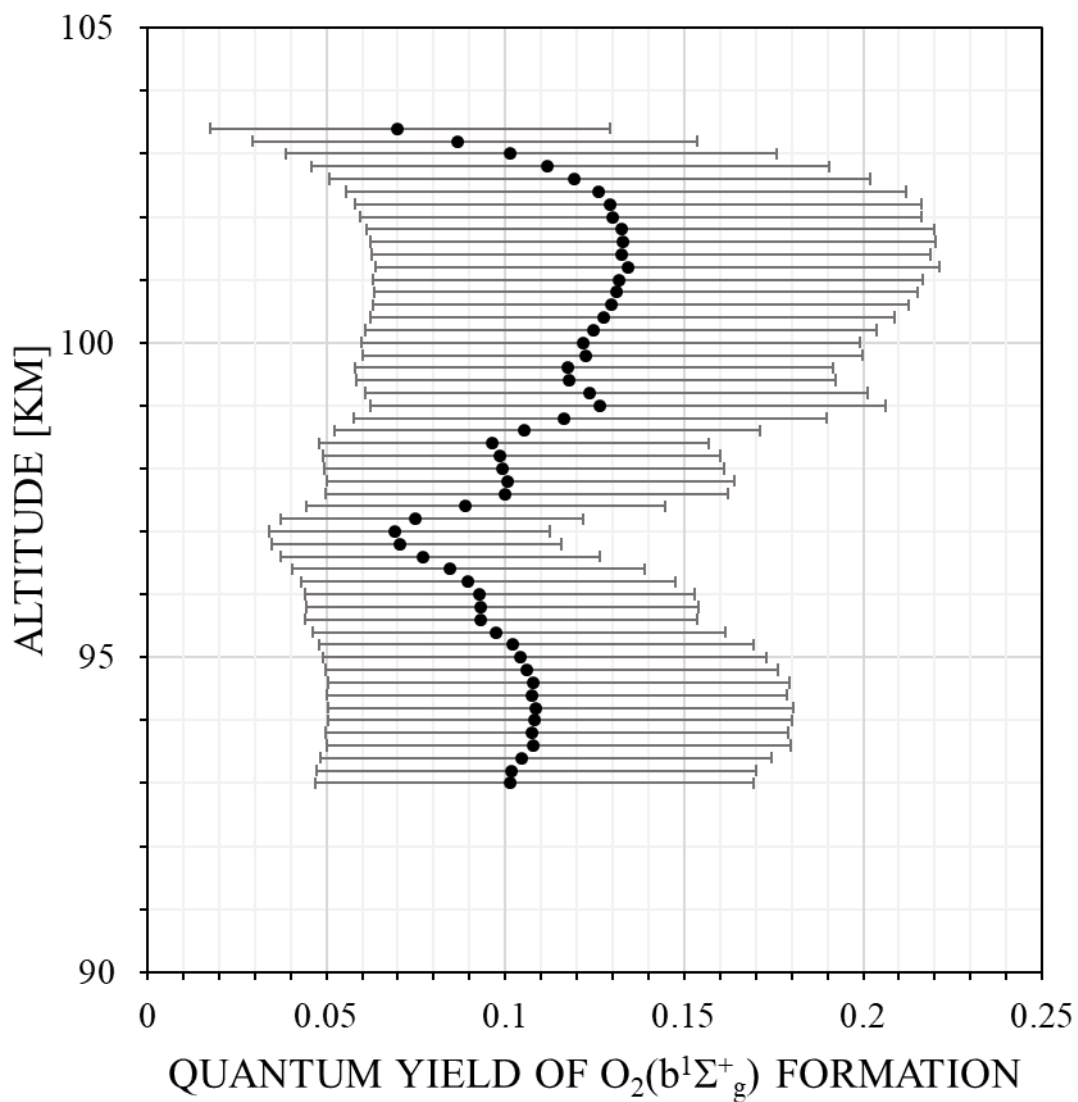
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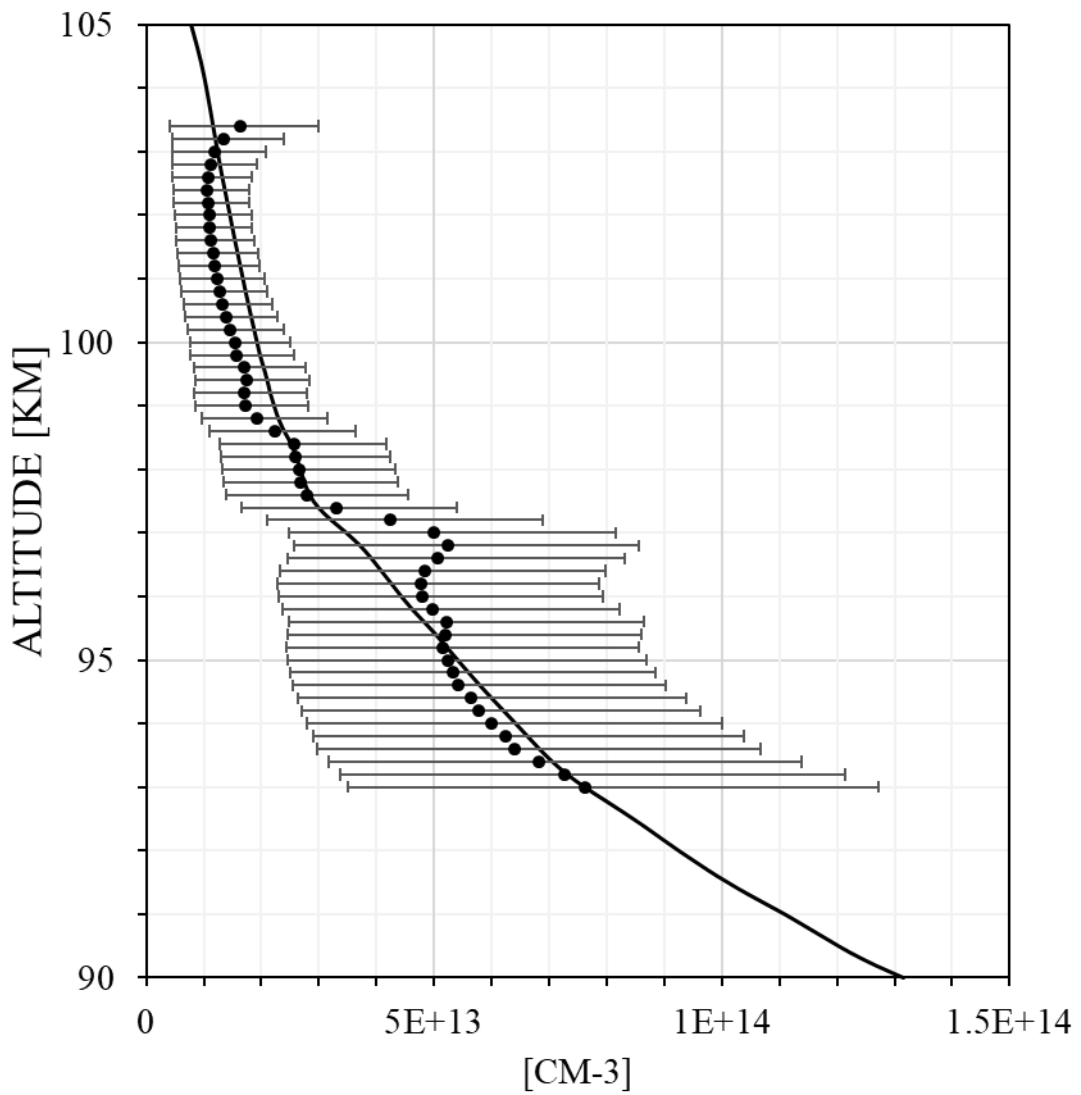
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711 Figure 2. Quantum yield of  $O_2(b^1\Sigma_g^+)$  formation  $\varepsilon$  for the case of one-step mechanism.



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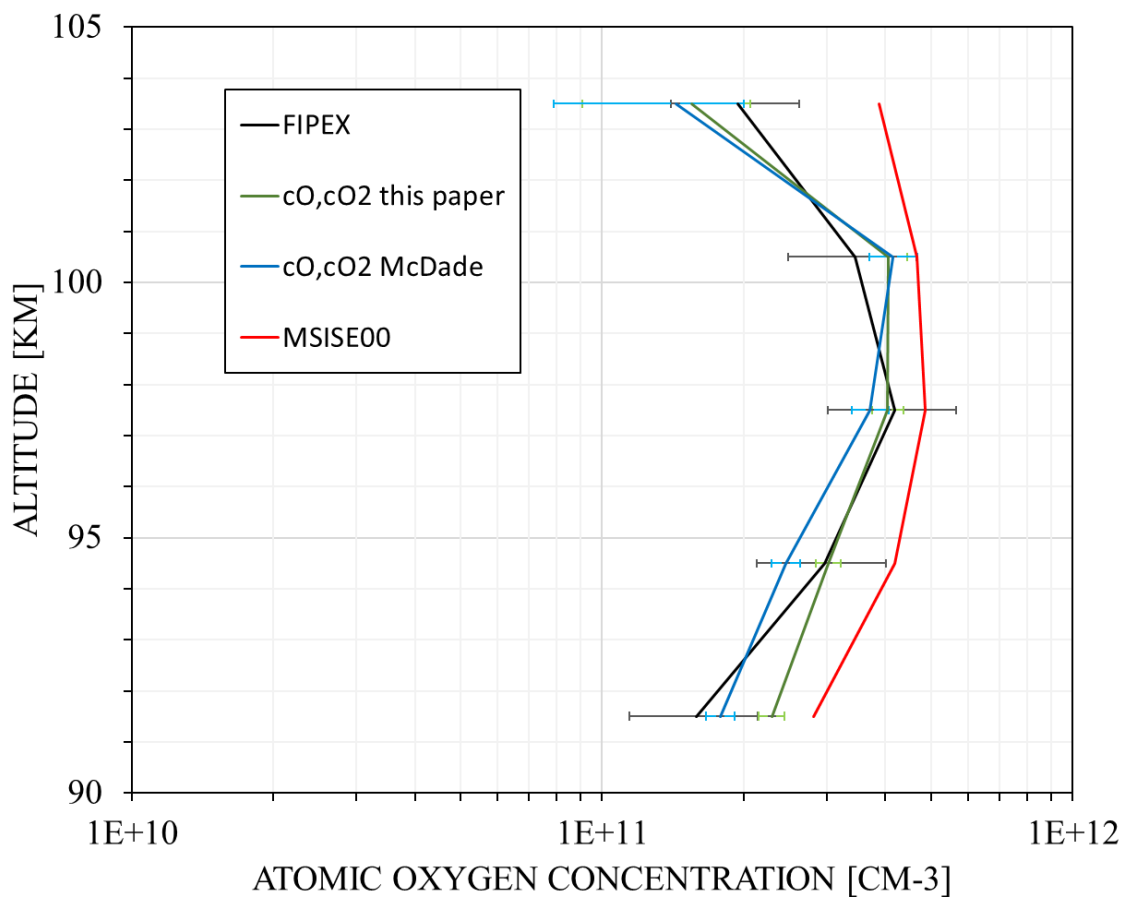
722 Figure 3. RHS (dots) and least-square fit of LHS (black line) of equation (4).



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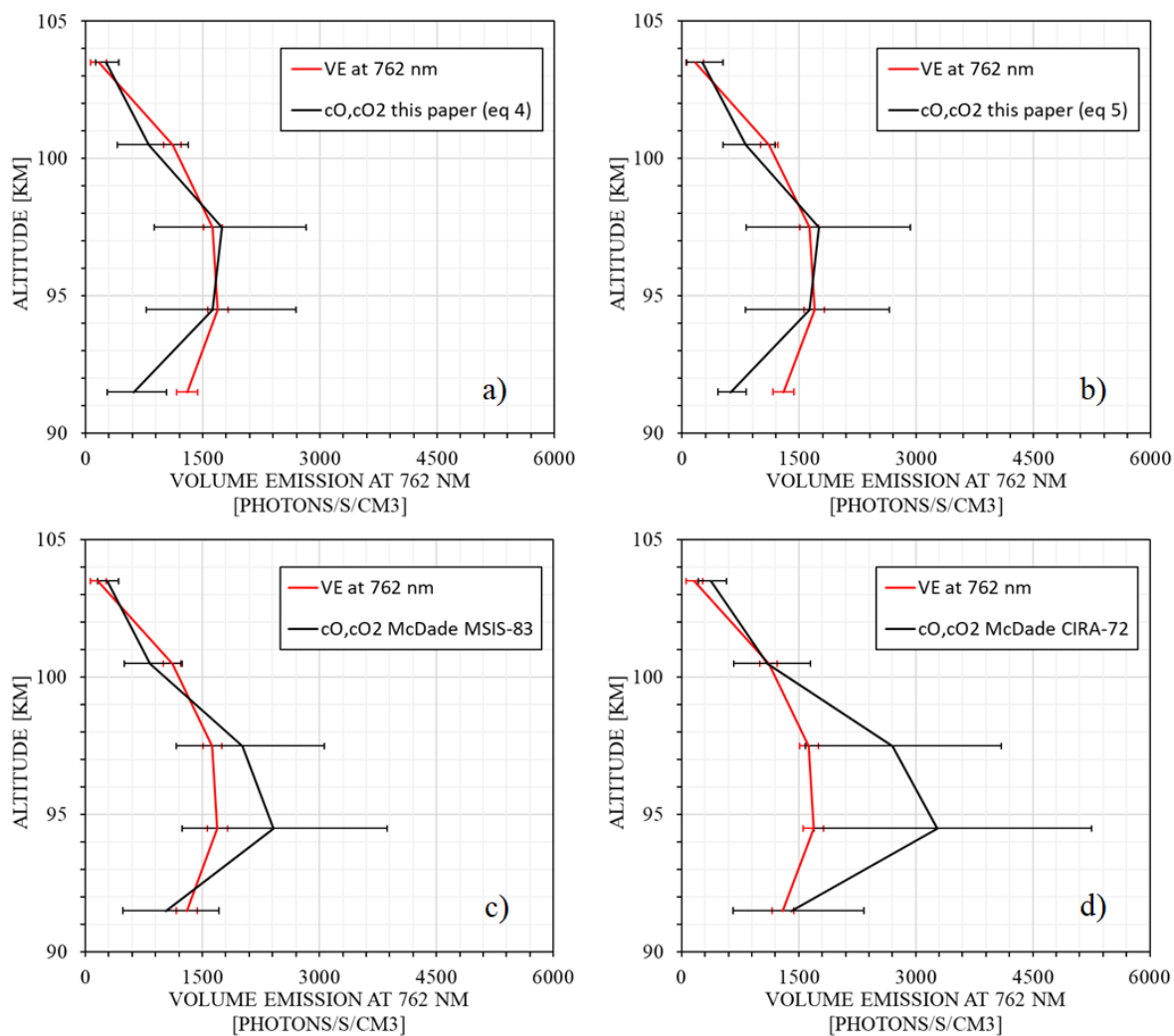


733 Figure 4. Atomic oxygen concentration: FIPEX (black line); model MSISE00 (red line);  
734 derived from emission observation with McDade et al. (1986) coefficients (blue line);  
735 calculated with newly derived fitting coefficients for the two-step mechanism (green line).



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747 Figure 5. Volume emissions: photometer (red line); derived from atomic oxygen (black line)  
 748 with a) newly derived fitting coefficients for the two-step mechanism, b) with fitting  
 749 coefficients for combined mechanism, c) with McDade et al. (1986) coefficients, which  
 750 correspond to the MSIS-83 temperature, and with McDade et al. (1986) coefficients, which  
 751 correspond to the CIRA-72 temperatures.



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