

We thank both the reviewers for their constructive comments which have helped us to improve the manuscript. Below are the reviewer comments, in plain italics, and authors replies, in bold.

acp-2018-686-RC1

The paper reports aircraft measurements of aerosol optical properties collected in three locations in the Indo-Gangetic plain, just prior to the onset of the Indian summer monsoon. The authors report also on the results of radiative forcing and heating rates calculations. They conclude that the knowledge of the vertical distribution of the aerosol optical properties is key to perform accurate calculations. The dataset is surely interesting, and the paper is overall clearly written. I believe this work can be important to the community and should be published after addressing a few issues that I will discuss next.

GENERAL COMMENTS

The main issue with this analysis is a lack of careful discussion of the uncertainties associated with the different quantities presented. Such uncertainties can affect how the data are interpreted and especially how strong the conclusions might be. Therefore, before publication, the authors should discuss and include an analysis of the uncertainties to account for random as well as systematic errors (for example, the corrections applied to the Aethalometer data are mentioned, but uncertainties associated with those methods and parameters are not included nor discussed).

We thank the reviewer for constructive comments. In the revised manuscript we have discussed the uncertainties associated with various parameters, and have interpreted the results in light of those.

Uncertainties in σ_{scat} :

Major uncertainty associated with the scattering measurements is due to non-ideality of the Nephelometer viz. not able to sense the scattering signal for angles $<7^{\circ}$ and $>170^{\circ}$ – referred to as truncation error. Scattering measurements were corrected for the truncation error following Anderson and Ogren (1998) and Anderson et al. (1996). They have estimated uncertainties in σ_{scat} measurements to be within $\sim \pm 10\%$.

Following lines have been added to the manuscript:

Pg 11, Ln14-15: Uncertainties in measured σ_{scat} are within $\sim \pm 10\%$ (Anderson et al., 1996).

Uncertainties in σ_{ext} :

Pg 11, Ln 4-6: Massoli et al., (2010) have established that the CAPS PM_{ex} has a detection limit of 3 Mm⁻¹ or less at 1 second time resolution and has an uncertainty of ±3%.

Uncertainties in σ_{abs} :

Pg 12, Ln 4-16: Uncertainties related to measurement of absorption coefficient, using filter based techniques, have been discussed in a series of literatures (Müller et al., 2011;Drinovec et al., 2015;Collaud Coen et al., 2010;Segura et al., 2014;Lack et al., 2014). These uncertainties mainly stem from two major reasons: i) multiple-scattering within the filter fiber matrix, and ii) lower attenuation coefficients for higher filter loadings – filter loading effect (Weingartner et al., 2003). Lack et al. (2014) have estimated an uncertainty of 12 % - 30 % in σ_{abs} measured using filter-based techniques. However, this assumption is on the higher side for the present study for two reasons: i) the new generation Aethalometer (Drinovec et al., 2015) has in place real time compensation of loading effect which earlier was assumed as a constant; and ii) usage of advanced filter tape material which minimizes the effect due to multiple scattering and can be better characterized. After taking into consideration uncertainties introduced due to flow instabilities (Drinovec et al., 2015) an uncertainty of ~ 10% is taken in the absorption coefficient measurements.

Uncertainties in $\alpha_{\text{scat/abs}}$:

Pg 17, Ln 8-9: Based on the uncertainties in the measurement of σ_{scat} and σ_{abs} , as described in section 2.3 above, the estimated uncertainty in $\alpha_{\text{scat/abs}}$ ~14%.

Uncertainties in SSA :

Pg 19, Ln 7-8: 12%-13% uncertainty is estimated in SSA.

Some specific cases are discussed in the next section. Although, of minor importance from a scientific point of view, the authors should consider revising the use of the articles “the” and “a” in the manuscript, sometimes misused, but especially, often missing. Also, the use of some preposition and the punctuation could be improved.

Complied with. The manuscript has been revised as per the reviewers suggestion and the usage of articles ‘the’ and ‘a’ have been appropriately revised.

SPECIFIC COMMENTS

Page 6, lines 23-34: “Data points at a particular level lying outside two-sigma values of the level average were also removed.” The rationale behind this data filtering choice needs to be provided. It is not clear how such data manipulation might bias the averages.

Complied with.

It was found that due to occasional appearance of clouds aerosol number concentration increased from otherwise stable values. In order to remove such unavoidable incidences from influencing aerosol properties two-sigma criteria was applied. Overall, < ~ 3% of the measurements were screened out due to this criteria. A uniform criterion was applied to screen in all the measurements.

Following lines have been added to the manuscript:

Pg 6, Ln 23 – Pg 7, Ln 1-3: It was found that due to occasional appearance of clouds aerosol number concentration increased from otherwise stable values. In order to remove such unavoidable incidences from influencing aerosol properties two-sigma criteria was applied wherein data points at a particular level lying outside two-sigma values of the level average were removed. Overall, < ~ 3% of the measurements were screened out due to this criteria.

Page 6, lines 25: “...aircraft has...” maybe should be “...aircraft had...”

Complied with.

Pg 7, Ln 4-5: The measurements were then repeated at the new level after the aircraft had stabilised its attitude.

Page 6, lines 25: comma after “This way”?

Complied with.

Pg 7, Ln5: This way, 20 minutes of useful data was ensured at each level.

Page 7, lines 2: “...data was extracted when...” what do the authors mean by “extracted”? Do they mean removed? Or something else? Please clarify.

Sorry for the confusion. The sentence is modified as following:

Pg 7, Ln 14-15: Near ground, 0 m - 200 m, data represent measurements when aircraft altitude was below 200 m, as confirmed from GPS data.

Page 11, lines 18: What wavelength was used and why this specific mass absorption cross-section? Please clarify. Even more importantly, and related to the general comment above, what is the uncertainty associated with the use of this specific mac? There is a wide range of mac values published in the literature, even for a specific given wavelength and even for laboratory generated aerosols; therefore, this certainly introduces a significant uncertainty in the aerosol absorption and SSA, and will propagate into derived forcings and heating rates.

Sorry for the confusion here. Aethalometer measures attenuation of light by aerosols deposited on a filter spot. Absorption coefficient is then calculated from the rate of change of attenuation, filter spot area, and volumetric flow rate using equation given below (Weingartner et al., 2003).

$$\sigma_{abs} = \frac{A}{Q} * \frac{\Delta ATN}{\Delta t}$$

where A is the filter spot area, Q is the volumetric flow rate, and ΔATN is change in attenuation in time Δt .

BC at 880 nm wavelength is calculated from σ_{abs} by assuming a mass-absorption cross-section (mac) value of $7.77 \text{ m}^2 \cdot \text{g}^{-1}$. This value denotes the mac of freshly emitted BC aerosols freely suspended in the Air. Hence there is no uncertainty involved in σ_{abs} due to an assumption of mac. The only uncertainty which will propagate in the calculation of SSA, radiative forcing, and heating rates is the uncertainty in the measurement of absorption coefficient itself. As discussed earlier, estimation of absorption coefficient using filter based optical attenuation technique incurs two major uncertainties: one associated with multiple scattering, and the other with loading effect (Weingartner et al., 2003; Coen et al., 2009). The dual spot Aethalometer (Drinovec et al., 2015) used in the present study compensates in real time for loading effect. Based on a 2-week Klagenfurt campaign data Drinovec et al. (2015) have calculated the value of the parameter C accounting for multiple scattering correction. They concluded that the value of C, obtained as 1.57 for TFE coated glass filter used in AE33, is strongly dependent on filter tape material used.

Following changes have been made in the manuscript:

Pg 11, Ln 16-21: The Aethalometer measures attenuation of light by aerosols deposited on a filter spot. Absorption coefficient is then calculated from the rate of change of attenuation, filter spot area, and volumetric flow rate using equation given below (Weingartner et al., 2003).

$$\sigma_{abs} = \frac{A}{Q} * \frac{\Delta ATN}{\Delta t}$$

where A is the filter spot area, Q is the volumetric flow rate, and ΔATN is change in attenuation in time Δt .

Page 11, lines 23: The choice of the factor value of 1.57 needs some discussion. In addition, certainly, some rather large uncertainty is associated with this parameter; that should be discussed and should be accounted for while discussing the significance of differences between different layers (these values could easily change even in the same region) and especially when comparing with other results published in the literature that might have used different correction factors, for example.

The factor C , with value 1.57 in the present case, represents the enhancement in optical absorption due to filter tape and varies depending on the filter tape material and its packing density. For the Aethalometer model - AE-33 filter tape used is Tetrafluoroethylene (TFE) - coated glass filter (Pallflex 'Fibre-film'- T60A20) and has a C value of 1.57 (Drinovec et al., 2015). Value of C is constant for a given filter type.

Page 12, lines 7: “aboard” maybe should be “onboard”?

Complied with.

Pg 12, Ln 22-24: After each sortie, the measured data were geo-referenced using high time resolution (1s) GPS data, available from a GPS receiver onboard.

Page 14, line 2: Even just from the statistical error bars presented in the graph (meaning even ignoring the other potential sources of error discussed above), the increase in absorption coefficient seems hardly significant in a statistical sense.

We agree with the reviewer. The sentence has been modified as following:

Pg 14, Ln 16-19: Absorption coefficient over BBR, in the east IGP, remains nearly steady with altitude up to around 2 km, above which it marginally increases (unlike at the other two stations), albeit the increase is within the natural variability of lower levels, showing more absorbing aerosols aloft.

Table 2: As mentioned, in the table, the authors should add other uncertainties (e.g., instrument accuracies and precisions etc...)

Complied with.

Table 2 has been updated and now includes instrument/measurement uncertainty.

Table 2: Mean \pm standard error of column averaged (from near ground to 3000 m) aerosol radiative properties. Instrument/measurement uncertainties are given in the last column.

Parameter	Specific regions over the IGP			Instrument/ Measurement uncertainty (%)
	West (JDR)	Central (VNS)	East (BBR)	
σ_{ext} (Mm^{-1})	79 ± 6	95 ± 19	75 ± 12	3
σ_{scat} (Mm^{-1})	63 ± 5	69 ± 14	58 ± 6	10
σ_{abs} (Mm^{-1})	16 ± 2	26 ± 9	15 ± 3	10
a_{scat}	0.9 ± 0.2	1.7 ± 0.2	2.0 ± 0.1	14
SSA (integrated)	0.84 ± 0.03	0.73 ± 0.06	0.79 ± 0.06	13

Page 16, lines 8-11: Specific to the interpretation of the aethalometer Ångström exponent, the authors might be interested in the paper by Fialho, P., Freitas, M. C., Barata, F., Vieira, B., Hansen, a. D. a., & Honrath, R. E. (2006). The Aethalometer calibration and determination of iron concentration in dust aerosols. *Journal of Aerosol Science*, 37(11), 1497–1506. <https://doi.org/10.1016/j.jaerosci.2006.03.002>

Thanks for bringing to our attention this important literature which certainly will be useful while interpreting absorption Ångström exponent in a subsequent work.

Page 16, line 21: “... reveals the gradual transformation of aerosol...” the term “transformation” might be misinterpreted as the aging of an aerosol, but I believe the authors mean something different. Consider using a different term or clarifying.

Complied with. The sentence has been modified as:

Pg 18, Ln 1: It clearly reveals a gradual change in aerosol type across the IGP during the pre-monsoon.'

Page 19, line 25: Is the “reduction” statistically significant? See similar comments above.

The reduction is not statistically significant. The sentence has been modified as:

Pg 20, Ln 24 – Pg 21, Ln 1-2: Quite opposite to central IGP, East IGP shows reduction in SSA values, albeit within the uncertainty range, with enhanced variability as we move to higher altitudes.'

Page 20, line 4: “This clearly points...” The conclusion seems quite reasonable here, but I am not sure the data strongly (“clearly”) support this point because of the large standard errors

that make several of the changes hardly significant. Maybe using terminology such as “....suggest” or “....data are compatible with....” might be more appropriate.

Complied with. The sentence has been modified as:

Pg 21, Ln6-9: This suggest that inaccuracies may arise in the estimation of aerosol absorption and atmospheric forcing and heating rates when using a single columnar SSA value (derived from, for example sunphotometer measurements) and brings out the need for region-specific, altitude resolved values of SSA and estimate layer-by-layer forcing and heating rates for more accurate climate impact assessment.'

Figure 6 requires error bars.

Complied with.

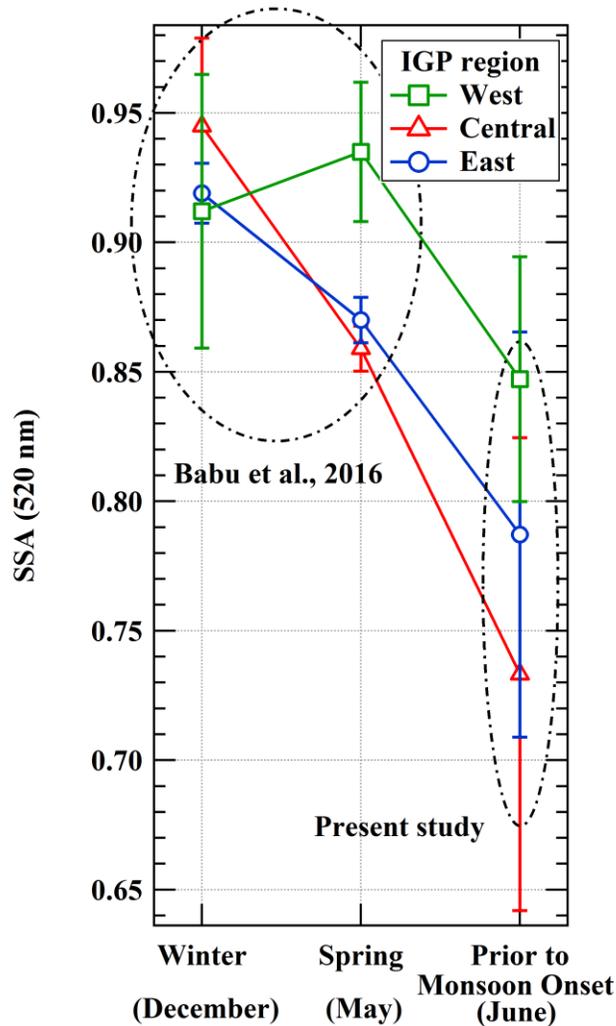


Figure 6: Seasonal variation of columnar (500 m - 3000 m) SSA over different regions of the IGP: west (square), central (triangle), and east (circle). Values in the upper left circle are calculated from Babu et al., 2016.

Page 24, line13: “This, they concluded was” consider adding a comma before was.

Complied with.

Pg 25, Ln 13: This, they concluded was, due to presence of ...

Figure 7 would greatly benefit from error bands.

Complied with. CALIOP level 2 products are provided with the estimates of systematic errors in extinction coefficient, back-scattering coefficient and PDR values based on profile calibration, signal to noise ratios and biases in the lidar ratio assumption. Details of the

uncertainty estimation and its propagation in various aerosol parameters are described by Young et al. (2013).

The figure will become complex to infer, and hence two separate figures and associated text have been added in the Supplementary section, Figure S1 for Total extinction coefficient and Figure S2 for Dust extinction coefficient. Both the figures have error bars.

Following lines have been added to the manuscript:

Pg 26, Ln 23 - 24: Uncertainties in the CALIPSO retrievals are discussed in the supplementary material.

Supplementary material:

Pg 2, Ln 1-14:

Uncertainty in CALIPSO retrievals:

CALIOP level 2 products are provided with the estimates of systematic errors in extinction coefficient, back-scattering coefficient and PDR values based on profile calibration, signal to noise ratios and biases in the lidar ratio assumption. Details of the uncertainty estimation and its propagation in various aerosol parameters are described in (Young et al., 2013). Present study make use of uncertainty information provided with CALIOP level 2 version 3 data product to screen the data as recommended by (Winker et al., 2013) (Range bins with an uncertainty flag of value greater than or equal to 99.9 km⁻¹ are excluded in the study). Further Cloud screening is carried out with CAD score in the range -70 to -100 to filter the cloud free pixels with high confidence (Liu et al., 2009). To eliminate unstable extinction retrievals, extinction quality control flag (QC) of 0 and 1 are used, that represents the constrained retrievals using transmittance measurements and unconstrained retrievals using stable lidar ratio throughout the iterations, respectively. Figure S1 and Figure S2 show the CALIPSO derived total extinction profiles and dust extinction profiles over the stations JDR (left), VNS (centre) and BBR (right), respectively. Error bars indicate the standard deviation around the mean.

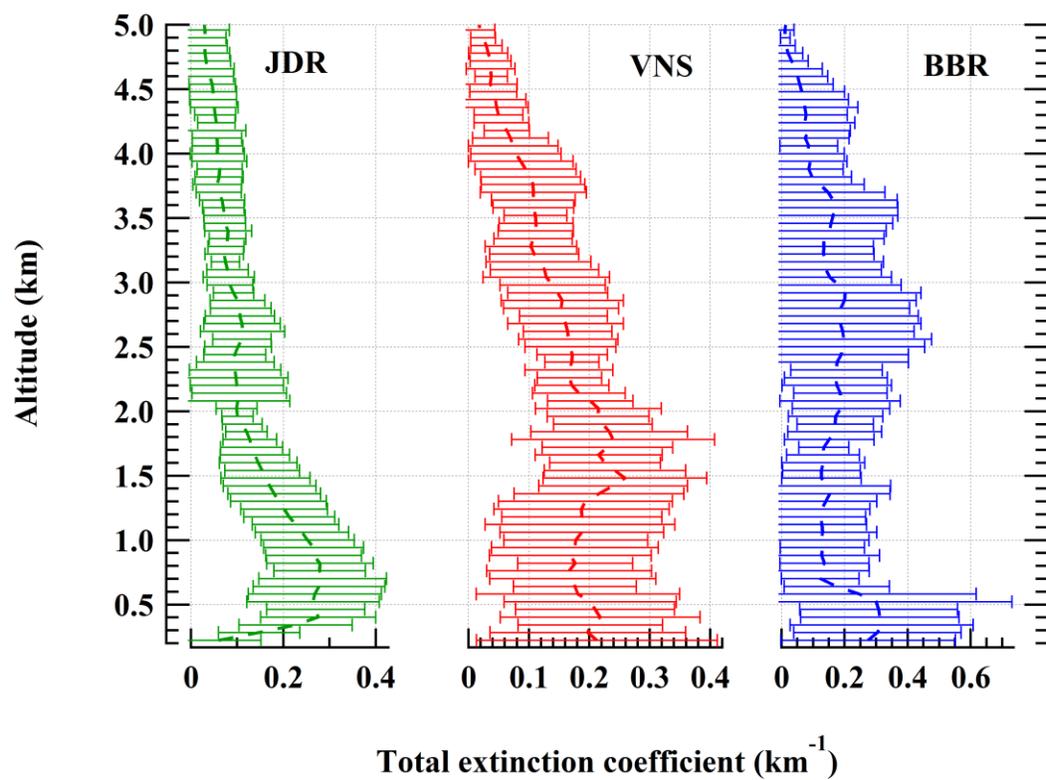


Figure S1: CALIPSO derived total extinction profiles over the stations JDR (left), VNS (centre) and BBR (right). Error bars indicate the standard deviation around the mean.

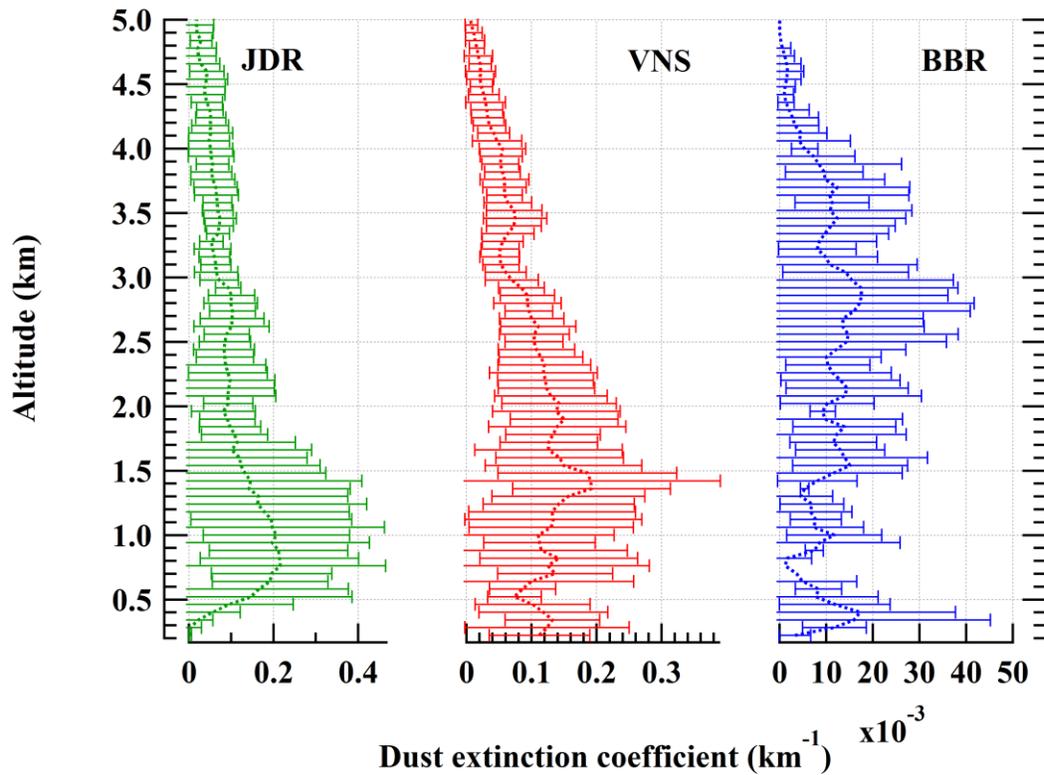


Figure S2: CALIPSO derived dust extinction profiles over the stations JDR (left), VNS (centre) and BBR (right). Error bars indicate the standard deviation around the mean.

Page 28, line 9: The acronyms TOA and SUR were defined already just a couple of lines above.
Complied with. Repeated acronyms have been removed.

Figure 8 requires error bars.

Page31, line 21-23: Such comparison needs an uncertainty estimate and the discussion needs to be put in the context of the uncertainties. See previous comments.

Figure 9 requires error bars.

Complied with.

Figure 8 has been updated and the error bars represent standard deviation around the mean.

Pg 29, Ln 16: Vertical error bars represent standard deviation around the mean.

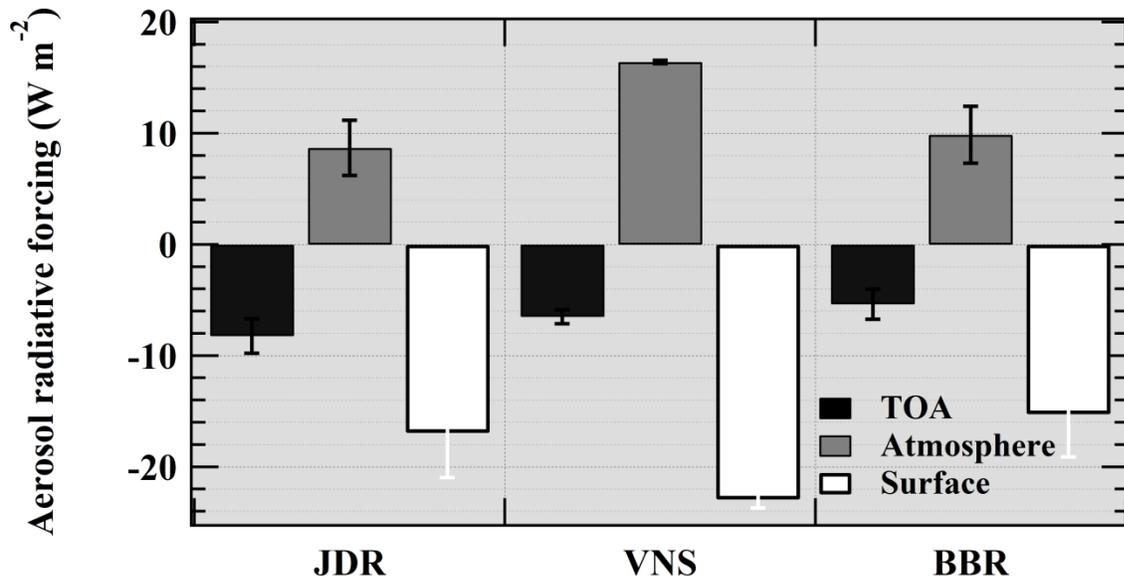


Figure 8: Aerosol induced short-wave radiative forcing at the top-of-atmosphere (TOA) (filled black bar), surface (filled white bar), and atmosphere (filled gray bar) for the regions of the IGP: west (JDR) - left; centre (VNS) - centre; and east (BBR) - right panel. Error bars represent standard deviation around the mean.

Following lines have been added to the manuscript:

Pg 29, Ln 8-13: McComiskey et al. (2008) have estimated uncertainty in the calculation of ΔF to be in the range 20% - 80%. The wide range of uncertainties in ΔF is due to uncertainties associated with measurements of AOD, SSA, g , and surface reflectance. They have assumed 3% uncertainty in SSA calculation. In the present case SSA uncertainties are ~13% hence the uncertainties in ΔF calculations are likely to be on the higher side.

Pg 29, Ln 23 – 25: Significant variability in $(\Delta F)_{ATM}$ values over western and eastern IGP stem from high vertical variation in σ_{ext} whereas central IGP has significant variability in σ_{ext} only near to surface.

Pg 33, Ln 8-15: Uncertainties in the calculation of heating rate stem from uncertainties associated with the measurements of AOD, SSA, surface reflectance, and calculation of g . An uncertainty of 20% - 80% in the calculation of ΔF is reported by McComiskey et al. (2008). Hence heating rate profiles presented here are likely to have the same uncertainties band as associated with ΔF . Considering the uncertainties the underestimation or overestimation of heating rates may not be statistically significant in the central and the west IGP but is significant at upper levels in eastern IGP.

Page 33, line 3: Consider revising the sentence “Analysis of a decadal (2001-2010) aerosol data....” to “Analysis of decadal (2001-2010) aerosol data....” or “Analysis of a decadal (2001-2010) aerosol dataset....”. In the same sentence, “AERONET station” is this a specific station? If so, please provide the location. Or are they several “stations”

Corrected.

Pg 34, Ln 13 – Pg 35, Ln1: Analysis of a decadal (2001-2010) aerosol dataset, from AERONET station in Kanpur,

Page 33, line 6: “of” in front of “1.5 km”? Also, consider adding a comma after “above” and before “there”.

Corrected.

Pg 35, Ln 3-4: ... due to enhanced absorption by aerosols at altitudes of 1.5 km and above, there is ...

Page 33, line 8: Are these “2 to 3 C” or “2 to 3 C/d”? Also, consider using consistent units (meaning K instead of C)

Complied with. Corrected to '2 to 3 K'.

Pg 35, Ln 5: ... cooling of about 2 to 3 K in the IGP.

Page 34, line 3: “...both extrinsic and intrinsic...” what does that mean? Do the authors mean “...both intensive and extensive...” or something else?

Sorry for the confusion here. The terminologies ‘extrinsic and intrinsic’ have been changed to ‘intensive and extensive’.

Pg 36, Ln 25: ... to characterize aerosol radiative properties, both intensive and extensive, and its impact on ...

Page 34, line 14: The term “significant” should probably be used exclusively with a statistical meaning; so, is this “reduction” indeed significant from a statistical point of view? Or not? See also comments above.

Complied with. We agree with the reviewer in the usage of the term 'significant'. The word 'significant' has been dropped.

Pg 36, Ln 11: Reduction in SSA values, from ...

Page 34, line 22: the verb "become" seems to imply a transformation of the aerosol over time, while here I think the different sources and transport patterns might be more dominant?

The sentence has been modified as:

Pg 36, Ln 19-22: Aerosols across the Indo-Gangetic Plain become highly absorbing prior to onset of the Indian Summer Monsoon, compared to winter and spring, due to change in source strength and transport patterns.

Page 35, line 5: see the previous comment on the use of “significantly”

Complied with. The word 'significantly' has been replaced with 'remarkably'.

Pg 37, Ln 2: ... values differed remarkably for highly absorbing/scattering aerosol ...

Page 35, line 10: “occurs” should be “occur”; also, “...implications to...” or “.....implications for.....”?

Complied with.

Pg 37, Ln 7: ... aerosol layers may occur at different altitudes across the IGP, has implications for ...

Anderson, T. L., Covert, D. S., Marshall, S. F., Laucks, M. L., Charlson, R. J., Waggoner, A. P., Ogren, J. A., Caldow, R., Holm, R. L., Quant, F. R., Sem, G. J., Wiedensohler, A., Ahlquist, N. A., and Bates, T. S.: Performance characteristics of a high-sensitivity, three-wavelength, total scatter/backscatter nephelometer, *J. Atmos. Oceanic Technol.*, 13, 967-986, doi:10.1175/1520-0426(1996)013<0967%3APCOAHS>2.0.CO;3B2, 1996.

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Lack, D. A., Moosmüller, H., McMeeking, G. R., Chakrabarty, R. K., and Baumgardner, D.: Characterizing elemental, equivalent black, and refractory black carbon aerosol particles: A review of techniques, their limitations and uncertainties, *Anal. Bioanal. Chem.*, 406, 99-122, 10.1007/s00216-013-7402-3, 2014.

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- McComiskey, A., Schwartz, S. E., Schmid, B., Guan, H., Lewis, E. R., Ricchiuzzi, P., and Ogren, J. A.: Direct aerosol forcing: Calculation from observables and sensitivities to inputs, *J. Geophys. Res. Atmos.*, 113, 10.1029/2007JD009170, 2008.
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acp-2018-686-RC2

Interactive comment on “Large contrast in the vertical distribution of aerosol optical properties and radiative effects across the Indo-Gangetic Plain during SWAAMI-RAWEX campaign” by Aditya Vaishya et al.

V. R. Kotamarthi (Referee)
vrkotamarthi@anl.gov

The manuscript describes aerosol optical property measurements using a small aircraft platform during pre-monsoon period over Northern India during 2016. This is likely that first such reported measurements from this part of India and hence quite interesting. In large part these measurements establish previous reports on aerosol property variations going from West to east over this part of India and provides some insights into the variability in altitude.

We thank the reviewer for his constructive comments.

A key missing component in the discussion is an evaluation of mixing and its affect of the vertical profiles reported here.

We didn't had any instrument onboard for measuring mixing state of aerosols.

Unfortunately, the aircraft is limited in its ability to reach higher latitudes (non-pressurized) and hence limited to 3000m above ASL. I suspect this may be within the PBL for all the measurements reported here and the profile is primarily established by strong emissions during the sampling time and possibly an artifact of the time the measurements were made. A slightly later time would probably erase this vertical variability. The PBL height at all three locations is probably above 3km during the pre-monsoon time. I would like to see some more discussion of the meteorological/dynamic state of the atmosphere to put the measurements in context.

What time of the day where these measurements made? Where all the measurements at all these sites made at the same time of the day? What is the PBL height at the time of measurements? Are there any measurements of temperature profiles that are available close to the regions where the aircraft flew?

The reviewer rightly pointed out that the aircraft had altitude limitations (~3000m AMSL) due to flying under un-pressurized mode. However, we will like to stress that the PBL height over the IGP prior to monsoon onset was well below 3 km. PBL heights were obtained, for the flight sortie days, from the NCEP/NCAR global reanalysis product at 0.25*0.25 deg. grid resolution data. No temperature profiles were available in the nearby regions. Mean PBL height, at local noon time, over the IGP regions for the campaign

period were: 1.3 ± 0.5 km for JDR (west IGP), 2.3 ± 0.5 km for VNS (central IGP), and 1.4 ± 0.2 km for BBR (east IGP). The observed profiles of aerosol optical properties, prior to monsoon onset, are characteristic of the regions. All the aircraft sorties, at all the sites, were made between ~10:00 - 14:00 hours IST. This was done in order to ensure that the convective boundary layer is evolved, aerosols are well mixed within the column, and there is no residual layer aloft.

Following text has been added to the manuscript:

Pg 7, Ln 6-13: All the aircraft sorties, at all the sites, were made between ~10:00 - 14:00 hours IST. This was done in order to ensure that the convective boundary layer is evolved, aerosols are well mixed within the column, and there is no residual layer aloft. Planetary boundary layer (PBL) heights were obtained, for the flight sortie days, from the NCEP/NCAR global reanalysis product at $0.25^*0.25$ deg. grid resolution data. Mean PBL height, at local noon time, over the IGP regions for the campaign period were: 1.3 ± 0.5 km for JDR (west IGP), 2.3 ± 0.5 km for VNS (central IGP), and 1.4 ± 0.2 km for BBR (east IGP).

Manoharan et al., 2013 established that the aerosol absorption in this region has a correlation to the size of the particle. Is there any data on aerosol size that can be shared?

There was no size cut on absorption measurements. Correlation with particle size cannot be ruled out over this region as rightly pointed by the reviewer but with present measurements will not be possible to discern and quantify.

Measurements over eastern India indicate less absorbing particles (may be more sulfate?). It will be helpful to have a more extended discussion the scattering at the eastern site and how it may relate to radiative forcing.

Complied with. Following lines have been added to the manuscript:

Pg 29, Ln 25 – Pg 30, Ln 1-7: Over the eastern IGP scattering coefficient (58 ± 6) is comparable to that over the west (63 ± 5) and central (69 ± 14) IGP. However, absorption coefficient is less as compared to central IGP. Due to significant industrial activities in the eastern IGP, source of sulfate, combined with sea-breeze, source of sea-salt, aerosols over the region are scattering in nature, as indicated by relatively high SSA values of 0.79 as compared to central IGP SSA (0.73). TOA forcing in eastern IGP is -5.4 Wm^{-2} as against -6.5 Wm^{-2} over central IGP. TOA cooling over eastern IGP is less as compared to central IGP, due to their lower abundance.

I agree with the 'cauldron of complex aerosol type's'. (page 3, line 24) and it may be time to start focusing on the 'other' aerosols in the region besides just absorbing aerosols (sulfates and

nitrates). These and SOA may have significant health impacts for the region and deserve more attention.

Large contrast in the vertical distribution of aerosol optical properties and radiative effects across the Indo-Gangetic Plain during SWAAMI-RAWEX campaign

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15

Abstract

Measurements of the vertical profiles of the optical properties (namely the extinction/scattering and absorption coefficients; respectively $\sigma_{\text{ext}} / \sigma_{\text{scat}} / \sigma_{\text{abs}}$) of aerosols have been made across the Indo-Gangetic Plain (IGP) using an instrumented aircraft operated from three base stations (Jodhpur (JDR) representing the semiarid western IGP; Varanasi (VNS) the central IGP characterized by significant anthropogenic activities; and the industrialised coastal location in the eastern end of the IGP (Bhubaneswar, BBR)), just prior to the onset of the Indian Summer monsoon. The vertical profiles depicted region-specific absorption characteristics, while the scattering characteristics remained fairly uniform across the region, leading to a west-east gradient in the vertical structure of single scattering albedo (SSA). Integrated from near ground to 3 km, the highest absorption coefficient and hence the lowest SSA occurred in the central IGP (Varanasi). Size distribution, inferred from the spectral variation of the scattering coefficient, showed a gradual shift from coarse particle dominance in the western IGP to strong accumulation dominance in the eastern coast with the central IGP coming in-between, arising from a change in the aerosol type from predominantly natural (dust and sea-salt) type in the western IGP to highly anthropogenic type (industrial emissions, fossil fuel and biomass combustion) in the eastern IGP; the central IGP exhibiting a mixture of both. Aerosol induced short-wave radiative forcing, estimated using altitude resolved SSA information, revealed significant atmospheric warming in the central IGP while a top-of-atmosphere cooling is seen, in general, in the IGP. Atmospheric heating rate profiles, estimated using altitude resolved SSA and column average SSA, revealed considerable underestimation in the latter case, emphasising the importance and necessity of having altitude resolved SSA information as against a single value for the entire column.

1. Introduction

Ground based, as well as space-borne observations have established that the Indo-Gangetic plain (IGP), (the vast stretch of apparently contiguous plain land along the east-west having approximately 7 million km² area bounded between the Iranian Plateau to the west, the Bay of Bengal to the east, the Himalayas to the north and Chotta Nagpur plateau and Aravalli ranges to the south) remains one of the aerosol hotspots in the world; depicting persistently high aerosol loading (Babu et al., 2013;Gautam et al., 2010;Dey and Di Girolamo, 2010), especially during the dry winter and pre-monsoon seasons. The increasing demographic pressure (being one of the most densely populated regions of the world), ~~the~~ large-scale agricultural activities (among world's most intensely farming areas), ~~the~~ consequent high demand on energy (approximately 70% of the coal-fired thermal power plants of India are located in this region) and ~~the~~ extensive industrial activities (steel mills, cement factories, manufacturing units and a number of small and medium scale industries) are believed to be leading to consistently increasing anthropogenic emissions and hence a persistent increasing trend in the aerosol loading as reported in Babu et al. (2013). The loose alluvial soil, which is characteristic to this region, and the semi-arid and arid regions along its western part including the Thar desert, and the prevailing complex meteorology with extreme temperatures and dry winds (except during the Indian Summer Monsoon (ISM) season) contribute their share of natural mineral aerosols. The peculiar topography of this region that slopes down from west to east and bound on either side by high Himalayas to the north and Peninsular plateau to the South, leading to narrowing of its width from west to east aids in spatially confining and channelling these emissions until they are flushed out to the Bay of Bengal. All the above make this region a cauldron of complex aerosol types ((Moorthy et al., 2016) and references there in), which have been attracting immense scientific interest from environmental and climate scientists, because of the known complex climate implications

(Gautam et al., 2009;Gautam et al., 2010;Lau and Kim, 2010;Lal et al., 2013;Das et al., 2015a).

Recent studies using in-situ and remote sensing methods have shown a spring-time enhancement in the aerosol optical depth and black carbon (BC) concentration in the lower free troposphere (below 5 km) over the plains and also over the Himalayas (Prijith et al., 5 2016;Kompalli et al., 2016;Gogoi et al., 2014), and a northward increasing gradient in the amplitude and altitude of the aerosol induced atmospheric heating ~~Satheesh et al.~~ (Satheesh et al., 2008). In a recent study Nair et al. (2016) have found large enhancement in aerosol absorption in the lower free troposphere over the IGP during spring. Enhanced absorption by 10 these climatically critical and highly absorbing elevated aerosols would have significant radiative implications. A very recent work, synergizing these measurements, with models and satellite data (Govardhan et al., 2017) has highlighted the potential of these elevated absorbing aerosols in aggravating stratospheric ozone loss or in delaying the recovery of ozone depletion in the past. Sarangi et al. (2016) have reported enhanced stability of lower 15 free troposphere due to these elevated aerosols over the IGP, while Dipu et al. (2013) have found alteration in cloud water content due to these layers.

Dust aerosols are significant contributors to elevated aerosol load over the IGP during the pre-monsoon season (PMS) (Gautam et al., 2010), and along with BC constitute the major absorbing aerosol species. Desert dust aerosols, from the Arabian and Thar Desert regions, 20 driven by winds across the IGP are found to form elevated layers of dust around 850 hpa and above (Das et al., 2013). Studies have revealed the absorbing nature of this dust (in contrast to their Saharan counterpart) (Moorthy et al., 2007), which is attributed to the Fe (iron) enrichment in the aerosols advected from Thar desert and adjoining semi-arid regions (Srinivas and Sarin, 2013). ~~while m~~Modelling studies have shown a tele-connection between

the advected dust and Indian summer monsoon (ISM) (Vinoj et al., 2014). Padmakumari et al. (2013) suggested the possible role of these aerosols in acting as potential ice nuclei.

However, most of the impact assessments of aerosols over this region have used optical properties of aerosols, especially the most important parameter, the single scattering albedo (SSA), derived either indirectly (Ramachandran et al., 2006) or from surface measurements (Ram et al., 2016), while information on the vertical structure of the optical properties (scattering, absorption, SSA) has been very sparse. This information is very important to accurately estimate the vertical structure of atmospheric heating rate resulting from absorption by aerosols. This is also necessitated by the fact that for a given amount of solar radiation absorbed, more heating would be produced if the absorbing species is higher in the atmosphere, due to the lower density of air at higher altitudes, and trigger local convection.

The knowledge of aerosol properties prior to onset of the ISM is also essential in delineating the role of aerosols as cloud condensation nuclei, and impact on cloud formation, its properties and associated precipitation. With this objective, a joint Indo-UK field campaign South West Asian Aerosol - Monsoon Interactions (SWAAMI) has been formulated to be carried out during the onset phase of the ISM jointly with the Regional Aerosol warming experiment (RAWEX) being pursued in India under the ARFI project of ISRO's Geosphere-Biosphere Programme. One of the main aims was to characterize the vertical structure of aerosol radiative properties and estimate its impact on atmospheric thermal structure in the IGP. For this, extensive airborne measurements of the extinction/scattering and absorption coefficients (respectively $\sigma_{\text{ext}} / \sigma_{\text{scat}} / \sigma_{\text{abs}}$) were carried out across the IGP (from west to east) from three base stations, in the west, central and east IGP. The details are provided in this paper, followed by presentation of the results, and estimation of the short-wave aerosol radiative forcing and vertical profile of aerosol induced atmospheric

heating rates. These results are examined in the light of available information and the implications are discussed.

2. Aircraft Campaign, Data & Methodology

2.1. Campaign details

5 During the field experiment, the vertical structure of aerosol optical properties were measured using an instrumented aircraft (Beechcraft, B200 of the National Remote Sensing Centre (NRSC) of [the](#) Indian Space Research Organisation (ISRO)) from 1st June, till 20th June, 2016; just before the onset of the ISM. The vertical profiling have been carried out from three base stations; Jodhpur (JDR), Varanasi (VNS) and Bhubaneswar (BBR), representing
10 respectively the Western (arid), Central (Anthropogenic), and Eastern (industrialized coastal) IGP. The geographical locations of these stations are shown by the solid circles in Figure 1, which also shows the mean wind field at 850 hPa that prevailed during the campaign period. The flight tracks over each of these locations are superimposed and shown in colour-coded form JDR (green), VNS (red) and BBR (blue). Five sorties each were made (on consecutive
15 days or in close succession) at Bhubaneswar and Varanasi, while four sorties were made from Jodhpur; the date's [of](#) sorties from each station are detailed in Table 1, along with the base station details and the measurement details. Each sortie was for ~3.5 hours, in view of the endurance of the aircraft (~ 4 hours) flying in the unpressurized mode, and comprised of measurements at 6 vertical levels (500, 1000, 1500, 2000, 2500 and 3000 m agl; above mean
20 ground level); a typical profiling path is shown in Figure 2. After taking off from the base station, the aircraft reached the desired flight level, and after stabilizing the attitude, measurements were made to ensure a minimum duration of 25 minutes, before the aircraft climbed to the next level. For the present analysis, 5 minutes of measurements after achieving a stable level were removed as a precaution. This was done in order to avoid any spurious

measurements due to sudden change in course of flight. It was found that due to occasional appearance of clouds aerosol number concentration increased from otherwise stable values. In order to remove such unavoidable incidences from influencing aerosol properties two-sigma criteria was applied wherein data points at a particular level lying outside two-sigma values of the level average were removed. Overall, < ~ 3% of the measurements were screened out due to this criteria.~~Data points at a particular level lying outside two-sigma values of the level average were also removed.~~ The measurements were then repeated at the new level after the aircraft ~~has~~had stabilised its attitude. This way, 20 minutes of useful data was ensured at each level. After measurement at the last level, the aircraft returned to the base station. All the aircraft sorties, at all the sites, were made between ~10:00 - 14:00 hours IST. This was done in order to ensure that the convective boundary layer is evolved, aerosols are well mixed within the column, and there is no residual layer aloft. Planetary boundary layer (PBL) heights were obtained, for the flight sortie days, from the NCEP/NCAR global reanalysis product at 0.25*0.25 deg. grid resolution data. Mean PBL height, at local noon time, over the IGP regions for the campaign period were: 1.3 ± 0.5 km for JDR (west IGP), 2.3 ± 0.5 km for VNS (central IGP), and 1.4 ± 0.2 km for BBR (east IGP).

Near ground, 0 m - 200 m, data ~~was extracted~~represent measurements when aircraft altitude was below 200 m, as confirmed from GPS data. Near-ground data duration was between 3 minutes to 8 minutes each day. The measurement track had a horizontal span of ~150 km and the region of measurement was within 300 km diameter circle centred at the base station. Details of the flight configuration are available in earlier papers (for eg. (Babu et al., 2016;Moorthy et al., 2004;Nair et al., 2016)).

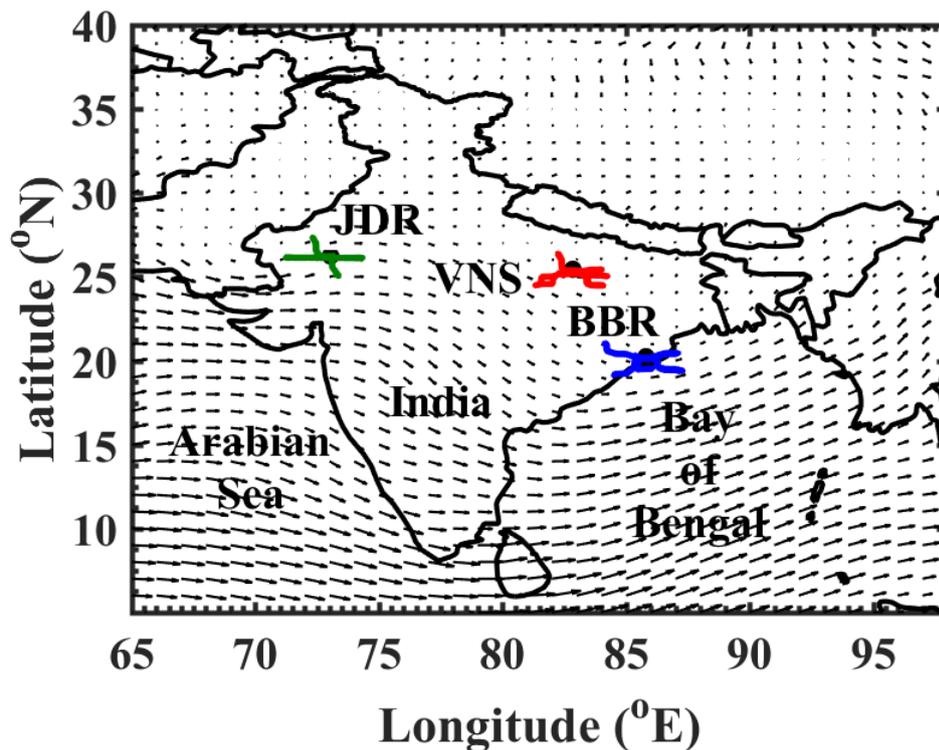
Table 1: Details of the stations, dates on which flight sorties were performed and instruments operated.

Station (Region)	Latitude (°N)	Longitude (°E)	Height, m (amsl)	Dates (June, 2016)	Instruments*
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Bhubaneswar (East IGP)	20.24	85.81	42	01-05	CAPS	PM _{ex} ,
Varanasi (Central IGP)	25.45	82.85	81	08, 10-13	Nephelometer, Aethalometer,	
Jodhpur (West IGP)	26.25	73.04	219	17-20	CPC, APS, CCNc, GPS	

* CAPS PM_{ex}: Cavity Attenuated Phase Shift Extinction monitor; CPC: Condensation Particle Counter; APS: Aerodynamic Particle Sizer; CCNc: Cloud Condensation Nuclei counter; GPS: Global Positioning System

All the aerosol instruments aspirated ambient air through a shrouded solid diffuser inlet, configured as detailed in Babu et al., (2016), which maintained iso-kinetic flow and the air was supplied to the instruments through iso-kinetic flow splitters. The inlet was connected to an external pump that maintained a volumetric flow of 70 LPM (litres per minute). More details are available in Babu et al. (2016) and references therein.



10 **Figure 1:** Geographical location of the aircraft campaign stations (solid circle) in the Indo Gangetic Plain superimposed on the mean wind field at 850 hPa during the campaign period. JDR, VNS & BBR stands for Jodhpur, Varanasi and Bhubaneswar, respectively. Daily flight tracks are superimposed on the stations JDR

(green), VNS (red) and BBR (blue), from left to right, respectively. Each measurement track has a horizontal span of ~ 150 km from the base station.

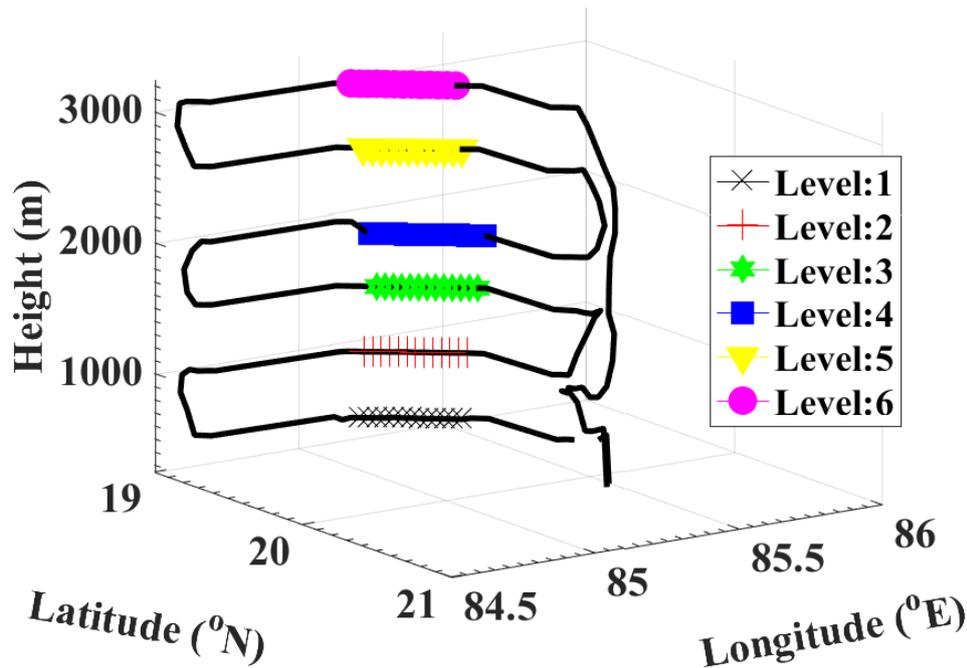


Figure 2: Typical course of the aircraft during a campaign sortie. Symbols represent stable levels. Each stable level represents a minimum of 20 minutes of scientifically useful measurement.

2.2. Base stations

Each base station represented a distinct region of the IGP, as has already been mentioned. Jodhpur (26.25°N, 73.04°E, 219m amsl) represented the western IGP, which stretches from East Pakistan to northern parts of Aravalli range ending in Delhi, is characteristically arid region, dominated by natural aerosols (mineral dust). It also contains the ‘Great Indian Desert’ or Thar Desert. Consequently, during summer the temperature often exceeds 40°C during daytime; with the maximum values reaching as high as 48 °C. Pre-monsoon aerosol system over this region is dominated by dust, primarily produced locally and that transported from Arabia, the Middle-East and eastern Africa (Prasad and Singh, 2007).

Central IGP, extending from north-eastern boundaries of the Aravalli range up to north-west regions of the Chota Nagpur plateau, is represented by Varanasi (25.45°N, 82.85°E, 81 m amsl). Central IGP hosts numerous coal fired thermal power plants, large scale industries including steel and cement factories, and has the highest population density as compared to other regions of the IGP. Approximately ~ 65% of the area in the central IGP is under cultivation. Central IGP is frequented by local dust storms and transported dust (Prasad and Singh, 2007) during the pre-monsoon season.

East IGP, geographically bound by Chotta Nagpur Plateau in the west, Himalayas in the north, Purvanchal hills in the east, and Bay of Bengal in the south, is represented by Bhubaneswar (20.24°N 85.81°E, 42m amsl), located about 70 km inland of the coast. It encompasses a large swath of land with numerous water bodies, dense forested regions, and the great Sundarbans delta. Apart from local emissions from industries, vehicles and other household practices, East IGP receives a significant portion of its aerosol load from the west and central IGP (Nair et al., 2007), due to its location in the continental outflow from the central IGP. Bhubaneswar and adjoining regions are hosts to several heavy industries and thermal power plants, and as such, high aerosol optical depth (~0.5 at 500 nm) prevails over this region (Das et al., 2009) .

2.3. Instruments, measurements and database

A suit of instruments has been used aboard for measuring the aerosol properties (see Table 1), of which the data from those dealing with the optical properties are used in this study. These included, aerosol light extinction coefficient (σ_{ext}) measurements at 530 nm, carried using a Cavity Attenuated Phase Shift Extinction Monitor (CAPS PM_{ex}) (Model PM_{ex} of Aerodyne Research Inc.); Aerosol light scattering coefficient (σ_{scat}) measured using a three wavelength (450, 500 and 700 nm) integrating Nephelometer (TSI; Model: 3563); and aerosol absorption coefficient (σ_{abs}) derived from the measurements made using a 7-channel Aethalometer

(model AE-33 of Magee Scientific). ~~The~~ CAPS PM_{ex} employs the cavity attenuated phase shift technology (Herbelin and McKay, 1981;Kebabian et al., 2007) and measures the phase shift in the light leaving a highly reflective optical cell illuminated by a square wave modulated light emitting diode source (Massoli et al., 2010). σ_{ext} is calculated from the differences of phase shift between the particle-free air and particle-laden air in the optical chamber. Details are given by Massoli et al., (2010). This instrument was operated at a flow rate of 0.85 LPM. Auto baseline measurements were performed every 2 minutes. Massoli et al., (2010) have established that the CPAS-CAPS PM_{ex} has a detection limit of 3 Mm⁻¹ or less at 1 second time resolution and has an uncertainty of $\pm 3\%$.

~~The d~~Details of the Nephelometer operation and principle of measurement are given by (Anderson et al., 1996;Heintzenberg and Charlson, 1996) . The instrument was operated at a flow rate of 16 LPM, and calibrated with CO₂ span gas before and after the campaign to ascertain consistency in performance. Besides this, zero background measurement with filtered air was done on hourly basis to ascertain the health of the instrument. The measurements are corrected for the well-known truncation error (due to non-availability of measurements for angles $< 7^{\circ}$ and $> 170^{\circ}$ following Anderson and Ogren (1998) methodology as detailed in earlier papers (Nair et al., 2009;Babu et al., 2012). Uncertainties in measured σ_{scat} are within $\sim \pm 10\%$ (Anderson et al., 1996).

Aethalometer measures attenuation of light by aerosols deposited on a filter spot. Absorption coefficient is then calculated from the rate of change of attenuation, filter spot area, and volumetric flow rate using Equation 1 given below (Weingartner et al., 2003).

$$\sigma_{abs} = \frac{A}{Q} * \frac{\Delta ATN}{\Delta t} \quad (1)$$

where A is the filter spot area, Q is the volumetric flow rate, and ΔATN is change in attenuation in time Δt . The Aethalometer was operated at a flow rate of 2 LPM and data frequency was set to 1 minute. Measurements by the Aethalometer are known to have the instrument artefacts viz. multiple scattering, loading effect and assumption of m_{BC} (Weingartner et al., 2003; Liousse et al., 1993). The under-estimation of BC due to loading effect is compensated in the instrument, which uses the dual-spot technique, following Drinovec et al. (2015). –A factor of 1.57 is used to compensate for the enhanced light absorption arising due to multiple scattering within the filter fibre matrix (Drinovec et al., 2015). Uncertainties related to measurement of absorption coefficient, using filter based techniques, have been discussed in a series of literatures (Müller et al., 2011; Drinovec et al., 2015; Collaud Coen et al., 2010; Segura et al., 2014; Lack et al., 2014). These uncertainties mainly stem from two major reasons: i) multiple-scattering within the filter fiber matrix, and ii) lower attenuation coefficients for higher filter loadings –filter loading effect (Weingartner et al., 2003). Lack et al. (2014) have estimated an uncertainty of 12 % - 30 % in σ_{abs} measured using filter-based techniques. However, this assumption is on the higher side for the present study for two reasons: i) the new generation Aethalometer (Drinovec et al., 2015) has in place real time compensation of loading effect which earlier was assumed as a constant; and ii) usage of advanced filter tape material which minimizes the effect due to multiple scattering and can be better characterized. After taking into consideration uncertainties introduced due to flow instabilities (Drinovec et al., 2015) and an uncertainty of ~ 10% is expected in the absorption coefficient measurements. Details of the Aethalometer data analysis can also be found in earlier publications (Babu and Moorthy, 2002; Moorthy et al., 2004). The Aethalometer data was corrected for volumetric flow, in order to sample same volume of air at each altitude, following Moorthy et al. (2004).

All on-board computers and instruments were time-synchronized with [the](#) global positioning system (GPS) time during each sortie. After each sortie, the measured data were geo-referenced using high time resolution (1s) GPS data, available from a GPS receiver [aboardonboard](#).

5 3. Results and Discussion

3.1. Vertical & spatial distribution of aerosol radiative properties

The raw data of σ_{ext} , σ_{scat} and σ_{abs} , after all necessary corrections and time-tagging, from all the sorties at a particular station, have been grouped in terms of the different altitude levels chosen for the sortie (as described in section 2.4) and averaged to construct the mean, station- specific altitude profile. All the three parameters, σ_{ext} , σ_{scat} , and σ_{abs} are presented for 10 530 nm wavelengths (the wavelength used by the CAPS), and for this the σ_{scat} and σ_{abs} values were interpolated (between at 450 nm and 550 nm for σ_{scat} ; and between 520 nm and 590 nm for σ_{abs}) using the corresponding Ångström power law relation (Ångström, 1964) :

$$\sigma_{\text{scat/abs}} = \beta_{\text{scat/abs}} \cdot \lambda^{\alpha_{\text{scat/abs}}} \quad (2)$$

15 where $\beta_{\text{scat/abs}}$ is a constant, λ is wavelength and $\alpha_{\text{scat/abs}}$ is scattering/absorption Ångström exponent.

Figures 3 a, b, and c show the vertical distributions, respectively of σ_{ext} , σ_{scat} and σ_{abs} , over the three stations. In all the figures, symbols square, triangle, and circle correspond to measurements over JDR, VNS, and BBR, respectively. Error bars represent the standard error 20 at that level for the station.

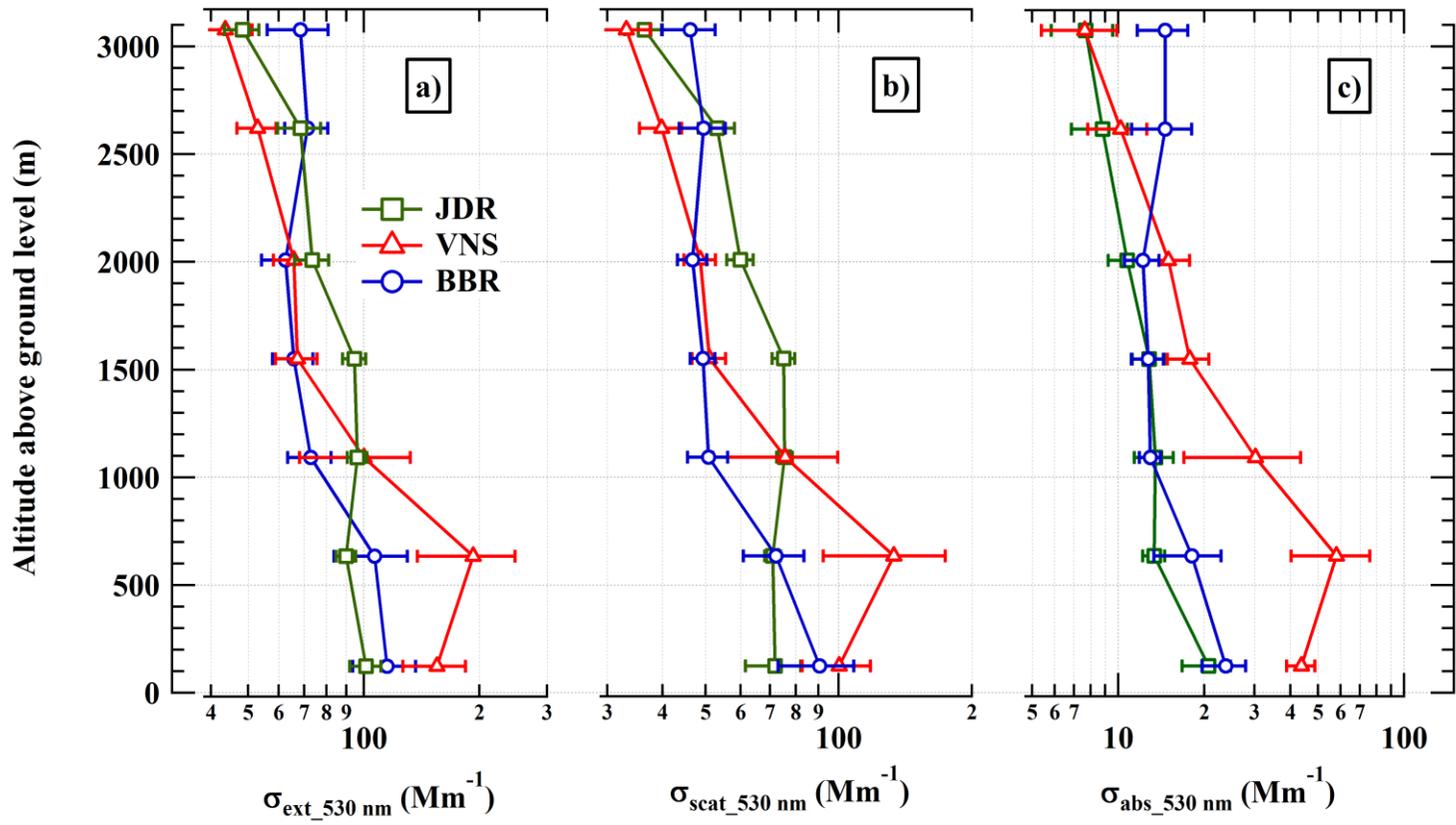
A vertical heterogeneity is clearly seen in all the properties across the IGP. While the altitude variation is very weak at JDR (western IGP) and moderate at BBR, it is rather strong at the

central IGP (VNS). The weak vertical variation at JDR is attributed to the strong convective mixing over this arid region, where the solar heating is very intense during this season. Above around 1.5 km, there is a decrease σ_{ext} and σ_{scat} , which is stronger than that seen in σ_{abs} . This is likely to be due to rapid sedimentation of heavier dust particles, which contribute largely to σ_{ext} and σ_{scat} , as compared to their anthropogenic counterpart which contribute dominantly to σ_{abs} . The extinction at 3 km is just half of that at 0.5 km or even at 1.5 km. The day-to-day variability over the western IGP is smaller compared to that at the other two regions as evidenced by the shorter error bars. This is also attributed to the near-uniform dominance of dust aerosols in this region and the scarcity of anthropogenic sources of aerosols e.g. industries, coal fired power plants etc. In contrast, VNS in the central IGP shows significantly higher values of σ_{ext} , σ_{scat} , and σ_{abs} close to the surface (clearly attributed to the large abundance of anthropogenic emissions in this region, as has been stated earlier) and a rather sharp decrease with altitude, with σ_{ext} at 3 km falling by a factor of 4 of the near surface value (similar for σ_{scat} and σ_{abs}). As the Central IGP is dotted with numerous coal fired power plants (<http://www.ntpc.co.in>), heavy industries, and has highest population density in the range (800-1200 km⁻²) the resulting large emissions are reflected in the high values and the large day-to-day variability (large standard error bars) of the optical properties of aerosols over this region. While close to the surface, the extinction values are considerably higher over Central and Eastern IGP (compared to the western part) at the higher levels (above 2 km) the values are of comparable magnitudes at all the three stations, showing a larger spatial homogeneity in the lower free troposphere. ~~Interestingly, the a~~Absorption coefficient over BBR₂ in the east IGP₂ remains nearly steady with altitude up to around 2 km, above which it marginally increases (unlike at the other two stations), albeit the increase is within the natural variability of lower levels, showing more absorbing aerosols aloft.

Spatially, the column averaged values of σ_{scat} , σ_{abs} and σ_{ext} (up to the maximum height up to which measurements were made) are the highest in the central IGP compared to the eastern and western IGP; primarily due to the very high values near the surface. Station average values of σ_{ext} , σ_{scat} and σ_{abs} along with standard error are given in Table 2.

5 **Table 2:** Mean \pm standard error of column averaged (from near ground to 3000 m) aerosol radiative properties.

Parameter	Specific regions over the IGP			<u>Instrument / Measurement uncertainty (%)</u>
	West (JDR)	Central (VNS)	East (BBR)	
σ_{ext} (Mm^{-1})	79 ± 6	95 ± 19	75 ± 12	<u>3</u>
σ_{scat} (Mm^{-1})	63 ± 5	69 ± 14	58 ± 6	<u>10</u>
σ_{abs} (Mm^{-1})	16 ± 2	26 ± 9	15 ± 3	<u>10</u>
α_{scat}	0.9 ± 0.2	1.7 ± 0.2	2.0 ± 0.1	<u>14</u>
SSA (integrated)	0.84 ± 0.03	0.73 ± 0.06	0.79 ± 0.06	<u>13</u>



7

Figure 3: Mean altitudinal variation of a) σ_{ext} , b) σ_{scat} , and c) σ_{abs} over JDR (square), VNS (triangle) and BBR (circle) in logarithmic scale. Error bars represent the corresponding standard errors (standard deviation of the mean).

5 | Aerosol scattering / absorption Ångström exponents ($\alpha_{\text{scat/abs}}$) were derived from the respective coefficients as a function of wavelength using the relation,

$$\alpha_{\text{scat/abs}} = - \frac{\ln\left(\frac{\sigma_{\text{scat/abs}}(\lambda_1)}{\sigma_{\text{scat/abs}}(\lambda_2)}\right)}{\ln\left(\frac{\lambda_1}{\lambda_2}\right)} \quad (3)$$

Based on the uncertainties in the measurement of σ_{scat} and σ_{abs} , as described in section 2.3 above, an uncertainty of up to 14% is expected in the estimation of $\alpha_{\text{scat/abs}}$.

10 α_{abs} values are indicators of potential aerosol types. α_{abs} value ~1 indicates absorbing aerosols mainly from fossil fuel sources (Kirchstetter et al., 2004; Russell et al., 2010) whereas values > 2 are indicative of absorbing aerosols from biomass sources and dust (Russell et al., 2010; Weinzierl et al., 2011). Range of α_{abs} values decreased gradually from desert regions of the west IGP, 1.1 – 2.4, through anthropogenic aerosols dominated central IGP, 1.1 – 1.6, to
 15 coastal east IGP, 1.1 – 1.5. Detailed analysis on this aspect will be presented in subsequent works. α_{scat} gives an indication of the dominant particle size mode (Schuster et al., 2006). Higher α_{scat} value suggests increased sub-micron dominance and vice-versa. In the present case, we calculated α_{scat} using σ_{scat} at wavelengths 450 nm and 700 nm. α_{scat} values < 0.4 indicate a super-micron mode aerosol dominance (Smirnov et al., 2002) and values > 2
 20 suggests sub-micron aerosol dominance (Schuster et al., 2006), while values in between 0.4 and 2 are due to a mix of aerosol distribution with varying degree of super-micron and sub-micron particles. Figure 4 shows the altitudinal variation of α_{scat} for the three regions. It

clearly reveals ~~the a~~ gradual ~~transformation change of in~~ aerosol type across the IGP during the pre-monsoon. The optical properties over Western IGP is mostly controlled by the coarse mode (dust) aerosols, leading to remarkably low values of α_{scat} in the entire altitude regime (values lying in the range 0.7 to 1.0 with extremely weak altitude variation), compared to the other two regions. On the other hand, despite of its coastal proximity, the industrialized eastern IGP (BBR) has the highest value of α_{scat} values, remaining well above 2.0 for the altitude region below 2 km, with a weak decrease above. The weak decrease observed may be due to the presence of long-range advection of dust aerosols, at higher altitudes, across the IGP, at higher altitudes. Such long distance travel resulted in gravitational settling of coarser dust leaving behind fine accumulation mode aerosols. The central IGP, with its strong anthropogenic emissions adding to the advected dust, reveals a transition from the coarse mode dominant western IGP to the fine mode dominant eastern IGP; with α_{scat} lying in the range 1.4 to 1.9 depicting a conspicuous decrease with increase in altitude. While the higher values of α_{scat} closer to the surface signifies the strong contribution of anthropogenic emissions from the region leading to a significant increase in the submicron aerosol loading (as also evidenced by the large values of scattering and absorption coefficients in Figure 3), the increase in fractional contribution of advected dust results in a decrease on α_{scat} of the composite aerosols at higher altitudes.

The column averaged values of α_{scat} (Table 2) increases from ~0.9 in the western IGP through 1.7 over the central IGP to 2.0 over the eastern IGP. In summary, as we move from west to east in the IGP the aerosol type changes from super-micron mode dominant natural aerosols (desert dust) to sub-micron mode dominant anthropogenic aerosols (mix of aerosols from industrial sources, fossil fuel and biomass burning).

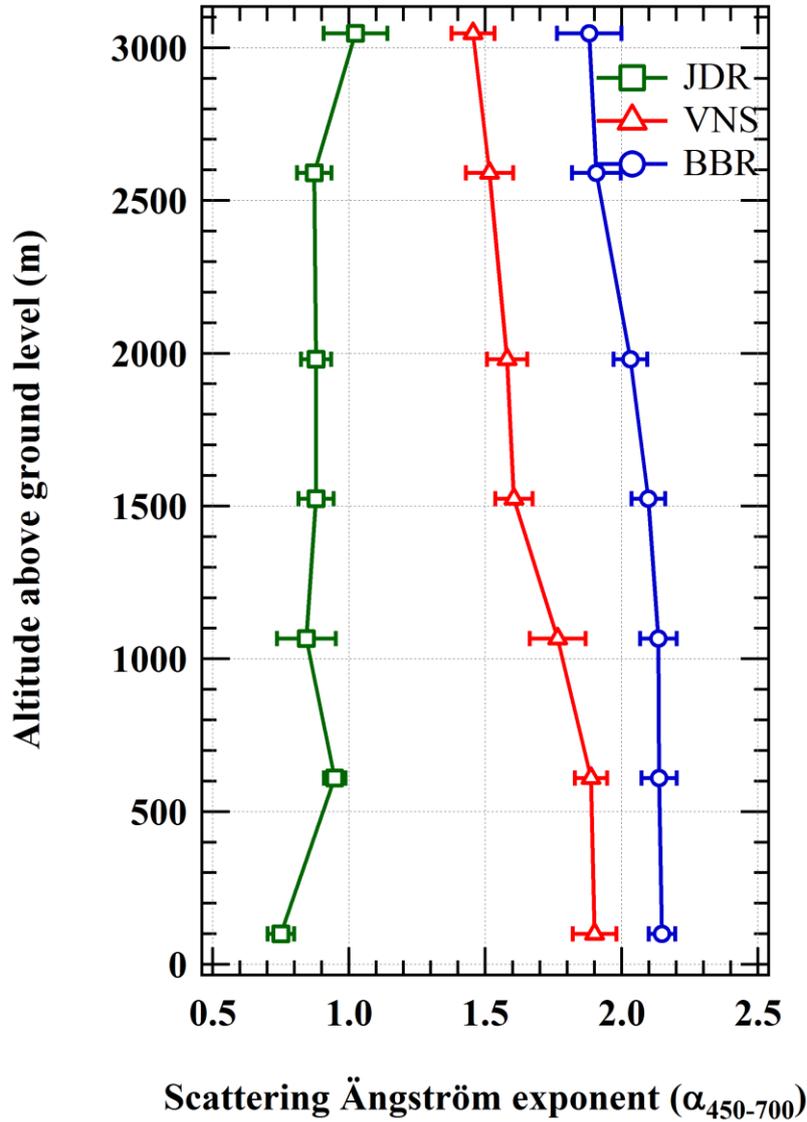


Figure 4: Altitudinal variation of α for the aircraft campaign stations: JDR (square), VNS (triangle), and BBR (circle). Error bars represent the standard error in the mean of level averaged α .

5 SSA at 530 nm has been estimated ($SSA(\lambda) = \frac{\sigma_{scat}(\lambda)}{\sigma_{scat}(\lambda) + \sigma_{abs}(\lambda)}$) from the

concurrently measured spectral σ_{scat} and σ_{abs} , the coefficients interpolated to 530 nm using

Ångström power law relation described in Equation 2. [Uncertainties in the calculation of SSA](#)

[are estimated to be in the range of 12-13%.](#) SSA values were then layer averaged over each

location and the mean altitude profiles are shown in Figure 5, which shows the strikingly

differing absorption properties of aerosols over different regions of IGP as well as distinctly differing vertical variation. The highest SSA and lowest absorption occurs over the arid regions of western IGP (JDR), dominated by natural mineral dust aerosols. The SSA values here are well above 0.8, with the exception of near ground SSA values which dipped to a low of ~ 0.78 , and little or very weak altitude variation, though a very weak decrease is indicated above 2.5 km. The column integrated SSA in the western IGP is 0.84. In sharp contrast, the least value of SSA (with a column integrated value of 0.73) occurs over the central IGP region (VNS), indicating strong aerosol absorption. The SSA values go below 0.75 (as low as 0.7 at 500 m altitude) closer to the surface ~~and within the boundary layer (below 1.5 km)~~ and increases steadily with altitude and reach values close to those over JDR when the altitude reached ~ 3 km. Interestingly, over the industrialized eastern IGP represented by BBR, where fine submicron aerosols dominated throughout the altitude profiled, the aerosols are only moderately absorbing. Close to the surface moderately high SSA values are seen (0.8), decreasing marginally with altitude to reach 0.79 at 2 km. Above this, SSA decreases more rapidly, indicating increased aerosol absorption in the lower free troposphere, and the values drop to 0.76 by the time altitude increased to 3 km (and is the lowest among the three regions at this altitude). Above about 2.5 km, strongest aerosol absorption occurs in the eastern IGP, even though the column integrated value (0.79) lies between that seen for the western and Central IGP and this will have implications in layer-heating by aerosol absorption.

SSA values found in the present study for the western IGP are lower than those reported by Verma et al. (2013), ~ 0.89 , from AERONET retrievals, where the SSA values were for severe dust storm episodes when coarse mode scattering dust is dominant. For the central IGP, Ram et al. (2016) have reported SSA value of ~ 0.77 prior to onset of the ISM which is in line with the finding of the present study. Quite opposite to central IGP, East IGP shows

reduction in SSA values, albeit within the uncertainty range, with enhanced variability as we move to higher altitudes.

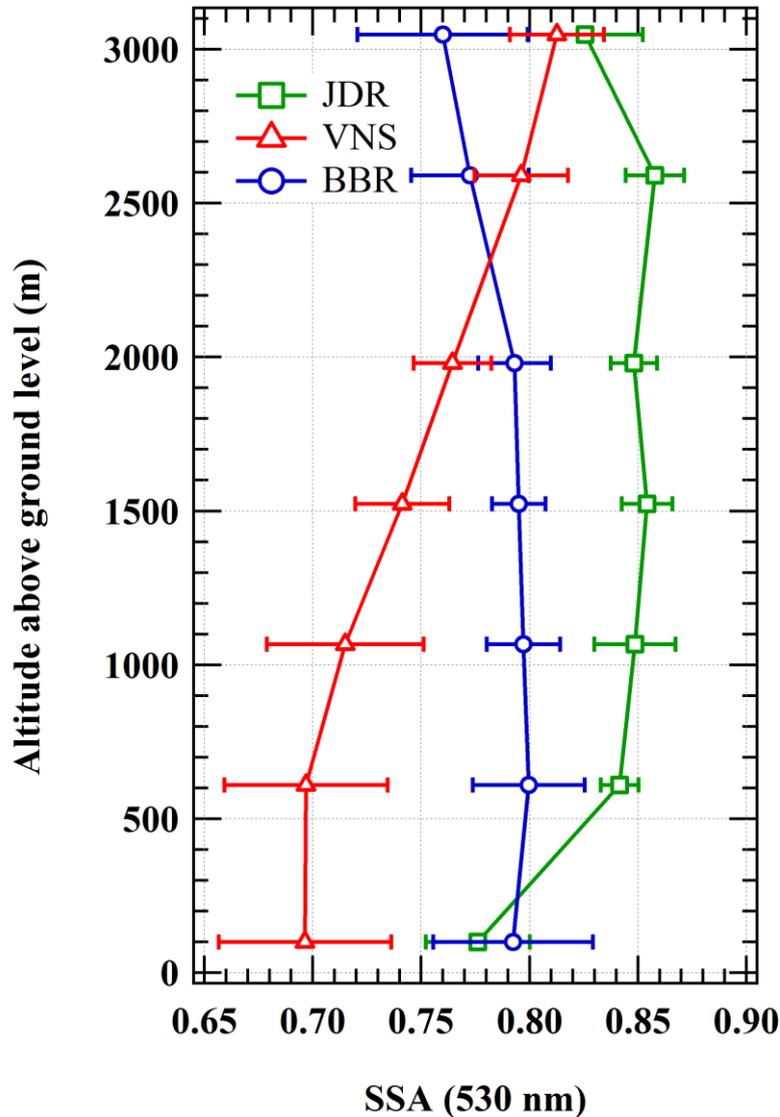


Figure 5: Altitudinal variation of SSA at 530 nm for the aircraft campaign stations: JDR (square), VNS (triangle), and BBR (circle). Error bars represent the standard errors.

This ~~clearly points to the~~ suggest that inaccuracies ~~that~~ may arise in the estimation of aerosol absorption and atmospheric forcing and heating rates when using a single columnar SSA value (derived from, for example sunphotometer measurements) and brings out the need for region-specific, altitude resolved values of SSA and estimate layer-by-layer forcing and

heating rates for more accurate climate impact assessment. This is addressed in a subsequent section.

Based on airborne measurements during the winter of 2012 and spring of 2013 Babu et al. (2016) have reported altitudinal profiles of SSA and its seasonality over central Indian regions. They found an enhancement in aerosol absorption in the free troposphere during spring over the IGP in general. Combining our results with those reported by Babu et al. (2016), and assuming the inter-annual variations to be less significant, we present in Figure 6 the temporal evolution of aerosol absorption (integrated over the altitude region up to about 3.5 km above mean sea level) over the IGP from winter through spring to just prior to the onset of the ISM. While a significant reduction in SSA, indicating increase in aerosol absorption, occurs from winter to pre-monsoon; more strong is the change from spring to 'prior to onset of the ISM' period (i.e. from March-April to June). This may be due to lower horizontal ventilation in the IGP due to wind field reversal prior to onset of the ISM, thus leading to build-up of absorbing aerosols (Vaishya et al., 2017).

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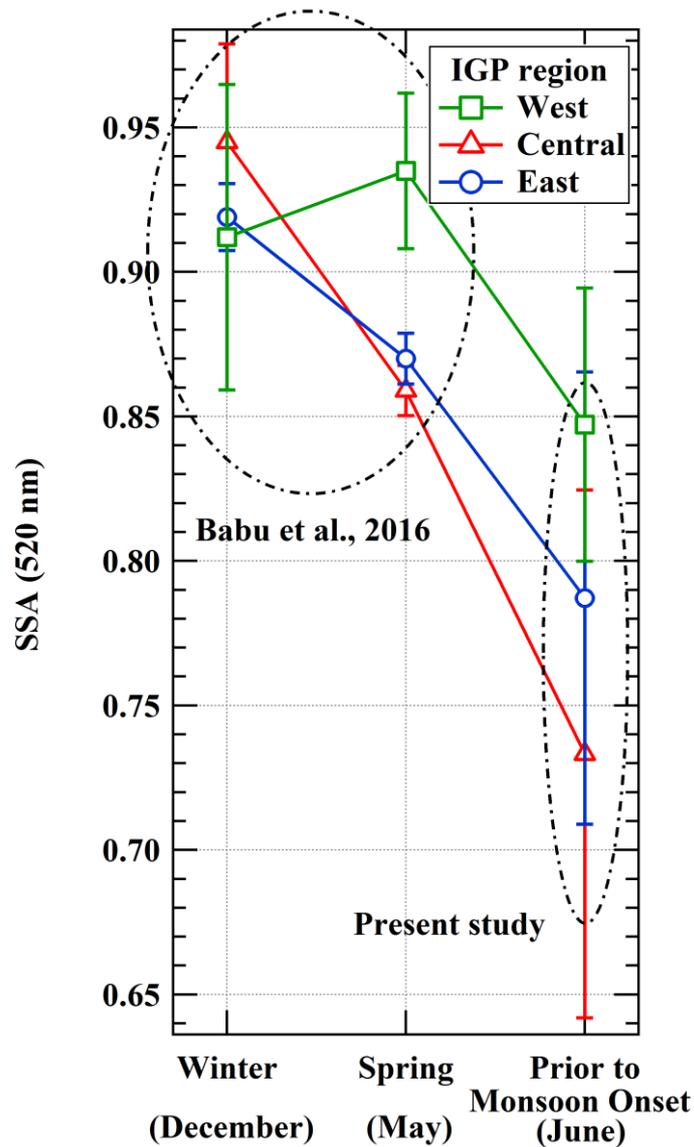


Figure 6: Seasonal variation of columnar (500 m - 3000 m) SSA over different regions of the IGP: west (square), central (triangle), and east (circle). Values in the upper left circle are ~~taken~~ calculated from Babu et al., 2016.

5 Our values are compared with values of SSA reported over different regions of Indian mainland and adjoining region, as reported by different investigators, in Table 3, along with the methodologies/techniques used to compute it is indicated by symbols, explanation for which is given at the footer of the table.

Table 3: SSA values reported over the Indian landmass and adjoining oceans.

Region	Location	Period/	Wavelength	SSA	Surface/	References
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		Season	(nm)		Columnnar	
Himalayas	Nainital	Winter	550	0.93	Surface ^{&}	(Dumka and Kaskaoutis, 2014)
		Dec 2005/ Winter	500	0.9	Columnnar [@]	(Pant et al., 2006)
	Dehradun	Winter	520	0.931	Columnnar [%]	
West IGP	Jodhpur	Spring		0.904	(surface to 3000 m)	(Babu et al., 2016)
		Winter		0.891		
		Spring		0.935		
		Prior to Monsoon onset	530	0.84	Columnnar ^{%4}	(near surface to 3000 m)
	Hisar	Dec 2004/ Winter	500	0.88	Columnnar [@]	(Ramachandran et al., 2006)
	Jaipur	Winter Spring	520	0.904 0.910	Columnnar [%] (surface to 3000 m)	(Babu et al., 2016)
Central IGP	Delhi	Winter Spring	550	0.74 0.63	Surface [%]	(Soni et al., 2010)
	Kanpur	Winter Spring	678	0.81 0.76	Surface [*]	(Ram et al., 2016)
	Lucknow	Winter Spring	520	0.878 0.859	Columnnar [%] (surface to 3000 m)	(Babu et al., 2016)
	Varanasi	Prior to Monsoon onset	530	0.73	Columnnar [%] (near surface to 3000 m)	Present study
East IGP	Gandhi College	Spring	675	0.89	Columnnar ^{&}	(Srivastava et al., 2011)
	Ranchi	Winter	520	0.927	Columnnar [%]	
	Patna	Spring		0.870	(surface to 3000 m)	(Babu et al., 2016)
	Bhubaneswar	Prior to Monsoon onset	530	0.79	Columnnar [%] (near surface to 3000 m)	Present study
	Kolkata	Spring	500	0.8-0.85	Columnnar ^{&}	(Talukdar et al., 2017)
North-East	Dibrugarh	All seasons	500	0.8	Columnnar [@]	(Pathak et al., 2010)
Central India	Nagpur	Winter	520	0.889	Columnnar [%]	
		Spring		0.790	(surface to 3000 m)	(Babu et al., 2016)
Peninsular India	Hyderabad	Winter	550	0.83	Columnnar [@]	(Sinha et al., 2012)
		Spring	520	0.880	Columnnar [%] (surface to 3000 m)	(Babu et al., 2016)
	Chennai	Feb – Mar, 2001	500	0.77	Surface [@]	(Ramachandran, 2005)
	Bengaluru	Oct – Dec, 2001	500	0.73	Surface [@]	(Babu et al., 2002)

Arabian Sea	Thiruvananthapuram	Winter	500	0.77	Columnar [@]	(Babu et al., 2007)
	Arabian Sea	Spring		0.8		
		March, 1999	530	0.9	Surface [%]	(Jayaraman et al., 2001)
		Dec 2008- Jan 2009/ Winter	550	0.88	Surface [%]	(Babu et al., 2012)
Bay of Bengal (BoB)	Entire BoB	Mar-Apr, 2006/ Spring	550	0.93	Surface [%]	(Nair et al., 2008)
		Apr-May, 2006/ Spring	550	0.94- 0.98	Surface [%]	(Moorthy et al., 2009)
Indian Ocean (IO)	North IO	Feb – Mar, 1998	534	0.9	Surface [%]	(Satheesh et al., 1999)
		March, 2006	400-700	0.89	Column ^{&}	(Ramana et al., 2007)

*: derived from attenuation measurement of filter samples; &: retrieved from sun/sky radiometer; %: retrieved from in-situ absorption and scattering; @: using a combination of in-situ aerosol optical depth and constrained OPAC model output.

5 One consistent feature that emerges from Table 3 is that there is a significant reduction in the SSA (or increase in aerosol absorption) over the Himalayan foothills, the IGP regions, and Central India in spring and towards pre-monsoon season, as compared to the winter season. This is not the case with peninsular India and adjoining oceanic regions where spring time SSA values show an increase indicating less absorbing aerosol in the atmosphere. This

10 apparent dichotomous behaviour of SSA, from north to south, need further investigation, in terms of the possible role of transported dust. Satheesh et al. (2008) have reported an increase in aerosol induced heating rates from northern Indian Ocean to Central India concomitant with an increase in σ_{ext} , at 3 km altitude. This, they concluded was due to presence of elevated aerosols at increasingly higher altitudes as one moves from northern Indian Ocean to

15 Central India. SSA from simultaneous and direct measurement of σ_{scat} and σ_{abs} is more accurate when compared to those retrieved from sun photometer sky radiance measurements or estimated from modelling studies. Most of the SSA values reported for the Indian region

are retrieved indirectly and hence susceptible to respective inversion or model uncertainties. In this context, the results from present study assume great significance.

3.2. Dust fraction over the IGP

In order to delineate the possible role of long-range transported dust to the observed vertical heterogeneity over the IGP regions, cloud free vertical profiles of σ_{ext} at 532 nm from the Space-borne lidar CALIOP (The Cloud-Aerosol Lidar with orthogonal Polarization) aboard CALIPSO (The Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations) satellite were examined. Cloudy profiles were screened out based on Liu et al. (2010) using Cloud Aerosol Discrimination (CAD) score in the range -70 to -100. Dust extinction coefficient was estimated from CALIPSO level-2 depolarization measurements over a period of one month from 20th May 2016 up to 20th June 2016, overlapping with aircraft campaign measurement period, over a $2^\circ \times 2^\circ$ spatial grid centred at the campaign base stations. Reported values of [the](#) depolarization ratio of dust aerosols are in the range 0.2 - 0.3 while non-dust/spherical aerosols have much lower depolarization ratio of magnitude in the range 0.02 - 0.07 [Yu , 2015]. Based on this criterion, dust extinction coefficient (σ_d) is obtained from the CALIOP measurements of PDR (δ_p), total back-scattering coefficient(β), and with the a priori information on the typical values of depolarization ratio of dust (δ_d) /non-dust particles (δ_{nd}) and the lidar ratio of dust aerosols (S_d) following Tesche et al., (2009). This method is advantageous in separating the pure dust extinction coefficient in mixed systems. CALIOP profiles of aerosol extinction coefficient averaged during the measurement period were normalized using the mean MODIS (Collection 6 - MYD08_D3_6_AOD_550_Dark_Target_Deep_Blue_Combined_Mean) AOD during the same period over the study regions (Levy et al., 2013). [Uncertainties in the CALIPSO retrievals are discussed in the supplementary material.](#)

Figure 7 shows the mean altitudinal profiles of aerosol extinction coefficient (dash line) and dust extinction coefficient (dot line), derived from CALIPSO as mentioned above. The profiles are smoothed by performing a 3-point running average. Dust fraction, or the contribution of dust extinction to total extinction, is also shown (solid line). The highest dust fraction (dust contribution to the total extinction) occurs over the western IGP (JDR), where it shows a steady increase with altitude from ~10 - 20% at 300 m to close to 100% above 2 km, vindicating the earlier inference drawn from the spectral variation of α_{scat} . As we move to central IGP, the dust seems to be well mixed through the column (with a small peak at ~ 1.5 km). Moreover, it should also be kept in mind that while the dust over the western IGP is pristine in nature, that over the central IGP is more absorbing in nature because of its mixing with other anthropogenic emissions (such as BC) (Srivastava et al., 2012), as also has been indicated by high α_{scat} values in the range 1.4 - 1.9. The least dust fraction (< 10%) is observed over BBR (eastern IGP), which is far away from dust source regions and has α_{scat} values ~ 2.0, and has significant industrial and anthropogenic emissions.

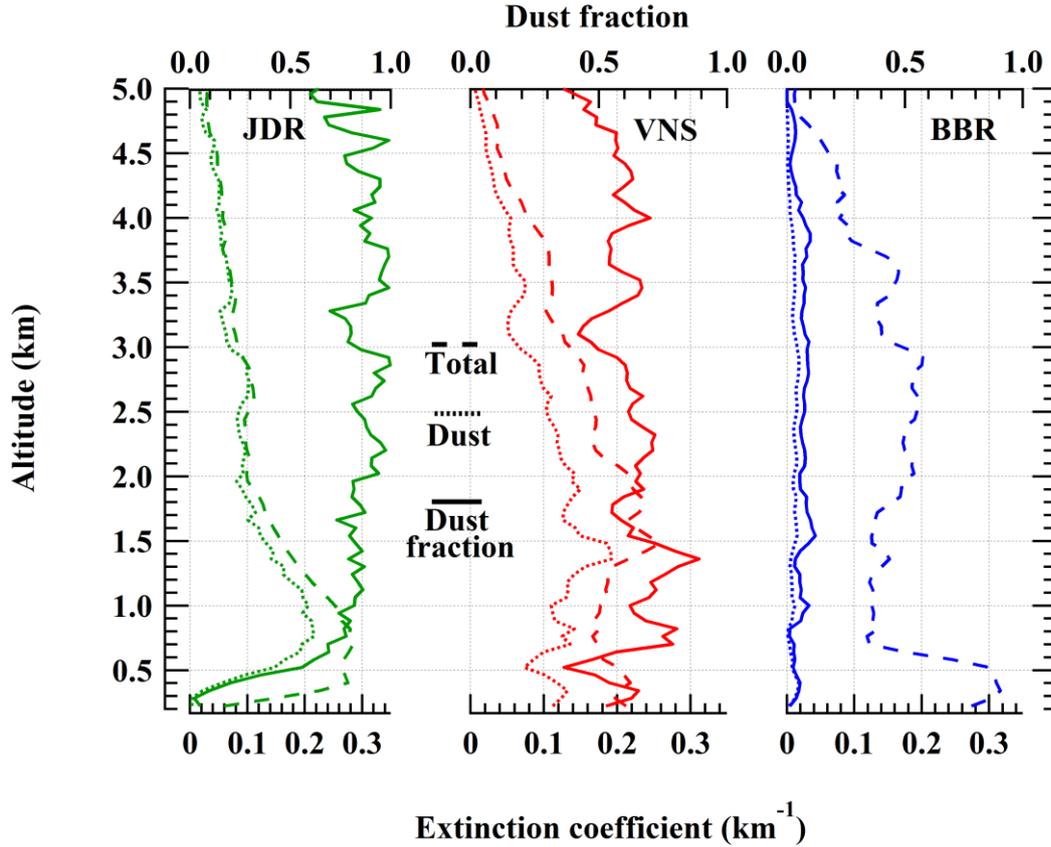


Figure 7: CALIPSO derived total (dash line) and dust (dot line) extinction profiles over the stations JDR (left), VNS (centre) and BBR (right). Also shown is the dust fraction (solid line) for the three stations.

3.3. Aerosol radiative forcing and atmospheric heating

5 A Discrete Ordinate Radiative Transfer (DISORT) based model (Santa Barbara DISORT Atmospheric Radiative Transfer (SBDART) (Ricchiuzzi et al., 1998)) was used to estimate aerosol forcing on shortwave fluxes. Inputs to the model were latitude, longitude, day of year, surface pressure at the station, surface albedo, and spectral values of AOD, and SSA, and Legendre moments of the aerosol phase function. Spectral surface albedo values for all the
 10 stations were taken from MODIS Albedo product (Level 3; MCD43C) (Schaaf et al., 2002). Spectral values of AOD for each level were obtained from the sum total of layer integrated values of in-situ spectral σ_{scat} and σ_{abs} assuming a well-mixed layer of 200 m above and below the measurement altitude. SSA values for each level were obtained from the ratio of spectral σ_{scat} to sum of spectral σ_{scat} and σ_{abs} . Legendre moments of the aerosol phase function

were calculated using the asymmetry parameter (g) which was obtained using the Henyey-Greenstein approximation (Wiscombe and Grams, 1976). SBDART model simulation for short-wave radiative flux estimation was performed with and without aerosols with eight radiation streams at an interval of 1 hour and then diurnally averaged. The net short-wave aerosol radiative forcing (ARF) at the top-of-atmosphere (TOA) and surface (SUR) was then computed as $\Delta F_{TOA/SUR} = \text{Flux}_{\text{withaerosol TOA/SUR}} - \text{Flux}_{\text{withoutaerosol TOA/SUR}}$. Difference between TOA and surface forcing is the atmospheric forcing represented as $\Delta F_{ATM} = \Delta F_{TOA} - \Delta F_{SUR}$.

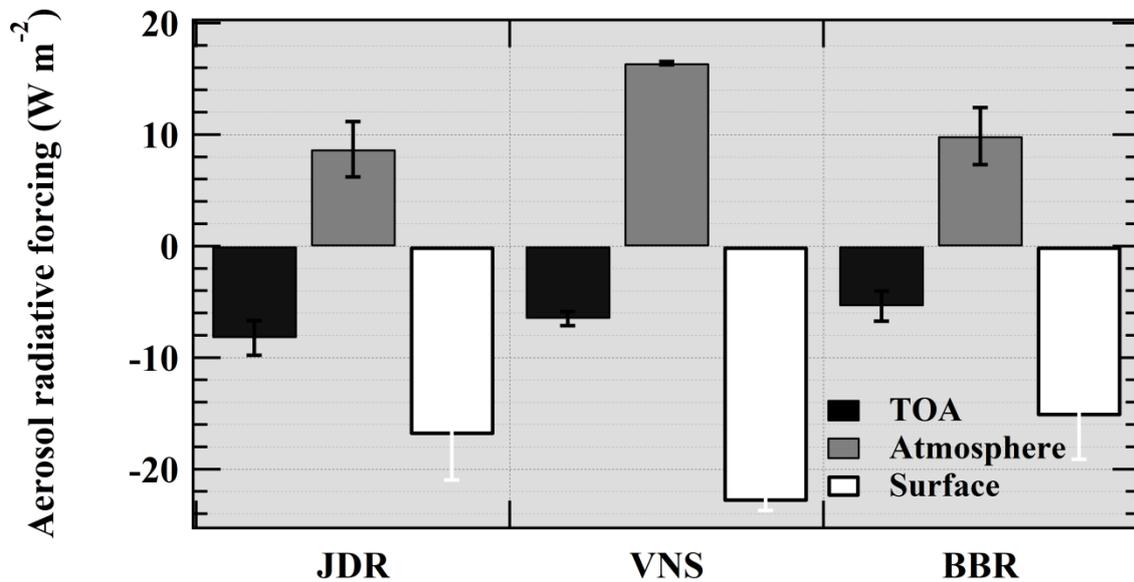
McComiskey et al. (2008) have estimated uncertainty in the calculation of ΔF to be in the range 20% - 80%. The wide range of uncertainties in ΔF is due to uncertainties associated with measurements of AOD, SSA, g , and surface reflectance. They have assumed 3% uncertainty in SSA calculation. In the present case SSA uncertainties are ~13% hence the uncertainties in ΔF calculations are likely to be on the higher side.

Figure 8 shows the aerosol induced short-wave radiative forcing (ΔF) at ~~the top-of-atmosphere (TOA)~~ – filled black bar, at ~~surface (SUR)~~ – filled white bar, and in the atmosphere (ATM) – filled gray bar, for the three regions of the IGP: west (JDR), central (VNS), and east (BBR). Vertical error bars represent standard deviation around the mean.

~~The n~~Net effect of aerosols on the $(\Delta F)_{TOA}$ and $(\Delta F)_{SUR}$ is cooling, in W m^{-2} , - 8.2 & - 16.9 in the west IGP, - 6.5 & - 22.9 in the central IGP, and - 5.4 & -15.3 in the east IGP, respectively, with decreasing magnitudes of the TOA forcing from west to east, while a sharp peak in the central IGP at the surface. The atmospheric forcing, $(\Delta F)_{ATM}$ (W m^{-2}), which represents the amount of radiation absorbed or trapped by the atmosphere and thus results in heating of the atmospheric layer, is maximum in the central IGP (16.4 ± 0.2) followed by east and west IGP, where the $(\Delta F)_{ATM}$ values are 9.910 ± 2 and 8.79 ± 3 , respectively. Significant

variability in $(\Delta F)_{ATM}$ values over western and eastern IGP stem from high vertical variation in σ_{ext} whereas central IGP has significant variability in σ_{ext} only near to surface. Over the

eastern IGP scattering coefficient (58 ± 6) is comparable to that over the west (63 ± 5) and central (69 ± 14) IGP. However, absorption coefficient is less as compared to central IGP. Due to significant industrial activities in the eastern IGP, source of sulfate, combined with sea-breeze, source of sea-salt, aerosols over the region are scattering in nature, as indicated by relatively high SSA values of 0.79 as compared to central IGP SSA (0.73). TOA forcing in eastern IGP is -5.4 Wm^{-2} as against -6.5 Wm^{-2} over central IGP. TOA cooling over eastern IGP is less as compared to central IGP, due to their lower abundance.



10 **Figure 8:** Aerosol induced short-wave radiative forcing at the top-of-atmosphere (TOA) (filled black bar), surface (filled white bar), and atmosphere (filled gray bar) for the regions of the IGP: west (JDR) - left; centre (VNS) - centre; and east (BBR) - right panel. Error bars represent standard deviation around the mean.

The forcing values found in the present study are examined against those reported from other stations in the IGP, as listed in Table 4. The $(\Delta F)_{\text{TOA}}$ for Jodhpur is comparable with Jaipur for the pre-monsoon season but the $(\Delta F)_{\text{ATM}}$ and $(\Delta F)_{\text{SUR}}$ are significantly different. ΔF for Varanasi and Kanpur, both in the central IGP, are in good agreement for pre-monsoon

seasons. For Bhubaneswar and Kolkata, both in the east IGP, there are significant differences in $(\Delta F)_{TOA}$, $(\Delta F)_{ATM}$ and $(\Delta F)_{SUR}$ values. While $(\Delta F)_{TOA}$ is negative for Bhubaneswar its positive for Kolkata, but for the winter season, indicating a net gain of energy by the Earth-Atmosphere system. The differences in the ΔF estimates are likely due to change in surface type, variation in column abundance of aerosols and their vertical distribution, SSA etc.

Table 4: Aerosol induced short-wave radiative forcing at various locations in the IGP.

Location in the IGP	Season	Aerosol induced short-wave radiative forcing (ΔF) ($W m^{-2}$)			References
		TOA	ATM	SUR	
Jaipur	Pre-monsoon	-8.2	21.4	-29.5	(Verma et al., 2017)
	Monsoon	-9.1	17.2	-26.3	
	Post-monsoon	-9.8	17.4	-27.1	
	Winter	-24.7	17.3	-7.5	
Jodhpur	Prior to monsoon onset	-8.2	8.7	-16.9	Present study
Hisar	Winter	-3	18	-21	(Ramachandran et al., 2006)
Kanpur	Winter	-14.5	34.6	-49.1	(Kaskaoutis et al., 2013)
	Pre-monsoon	-12.8	44.2	-57.0	
	Monsoon	-17.1	25.4	-42.5	
Varanasi	Post-monsoon	-17.6	29.5	-47.0	Present study
	Prior to monsoon onset	-6.5	16.4	-22.9	
Bhubaneswar	Prior to monsoon onset	-5.4	9.9	-15.3	Present study
Kolkata	Winter	5.1	75.4	-70.3	(Das et al., 2015b)

The impact of radiation absorbed by an aerosol layer in the atmosphere is represented in terms of atmospheric heating rate (Liou, 2002). Aerosol induced heating rate was calculated using Equation 5:

$$\frac{\partial T}{\partial t} = \frac{g}{C_p} \frac{\Delta F}{\Delta P} \quad (5)$$

5 where $\partial T/\partial t$ is the heating rate ($K d^{-1}$), g is the acceleration due to gravity, C_p is the specific heat capacity of air at constant pressure and ΔP is the atmospheric pressure difference between top and bottom boundary of the layer, and ΔF is aerosol induced forcing in the layer.

Figure 9 shows altitudinal profile of the heating rates, thus estimated, over each of the regions. While the solid line in the figure represents heating rate profiles calculated using in-situ level averaged values of aerosol properties, the dash-dot line represent identical calculation but with single columnar SSA value for each station. Heating rate profiles for west, central, and east IGP regions are distinctively different. Western IGP shows a gradual increase in heating as we move away from the surface, attains maxima at 1.5 km and then reduces as we go up. Central IGP has maximum heating near to surface and it decreases with altitude. East IGP exhibits an increase in heating with altitude. Near surface (500 m - 1000 m) heating maxima in west IGP (JDR) is due to enhanced absorption by dust aerosols which are present in significant amount near to surface (JDR - Figure 7), as evident from high extinction coefficient and high dust fraction. In central IGP (VNS) significantly absorbing aerosol layer, with SSA ~ 0.7 , is present from surface to ~ 1 km. This combined with enhanced aerosol loading at 500 m layer, results in high absorption and thereby significant heating of the layer. Over the eastern IGP (BBR) coarse mode dust subsides due to gravitational setting but fine mode dust mixed/coated with anthropogenic aerosols may still

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be present in appreciable amount at higher altitudes. This results in significant layer heating at ~ 3000 m over BBR where dust fraction increases from ~ 0% at surface to ~10% at 3 km.

Comparing the heating rate profiles obtained using altitude-resolved SSA with those estimated using the columnar SSA in Figure 9 emphasises the importance of the knowledge of the altitude profile. The use of single SSA value for the entire column overestimates the heating at higher levels in western IGP and largely underestimates, by as much as 0.2 K.day^{-1} , in the eastern IGP. In the central IGP it underestimates the heating rates at lower altitudes,

specifically at layers with significant absorbing aerosol loading. Uncertainties in the calculation of heating rate stem from uncertainties associated with the measurements of AOD, SSA, surface reflectance, and calculation of g . An uncertainty of 20% - 80% in the calculation of ΔF is reported by McComiskey et al. (2008). Hence heating rate profiles presented here are likely to have the same uncertainties band as associated with ΔF . Considering the uncertainties the underestimation or overestimation of heating rates may not be statistically significant in the central and the west IGP but is significant at upper levels in eastern IGP.

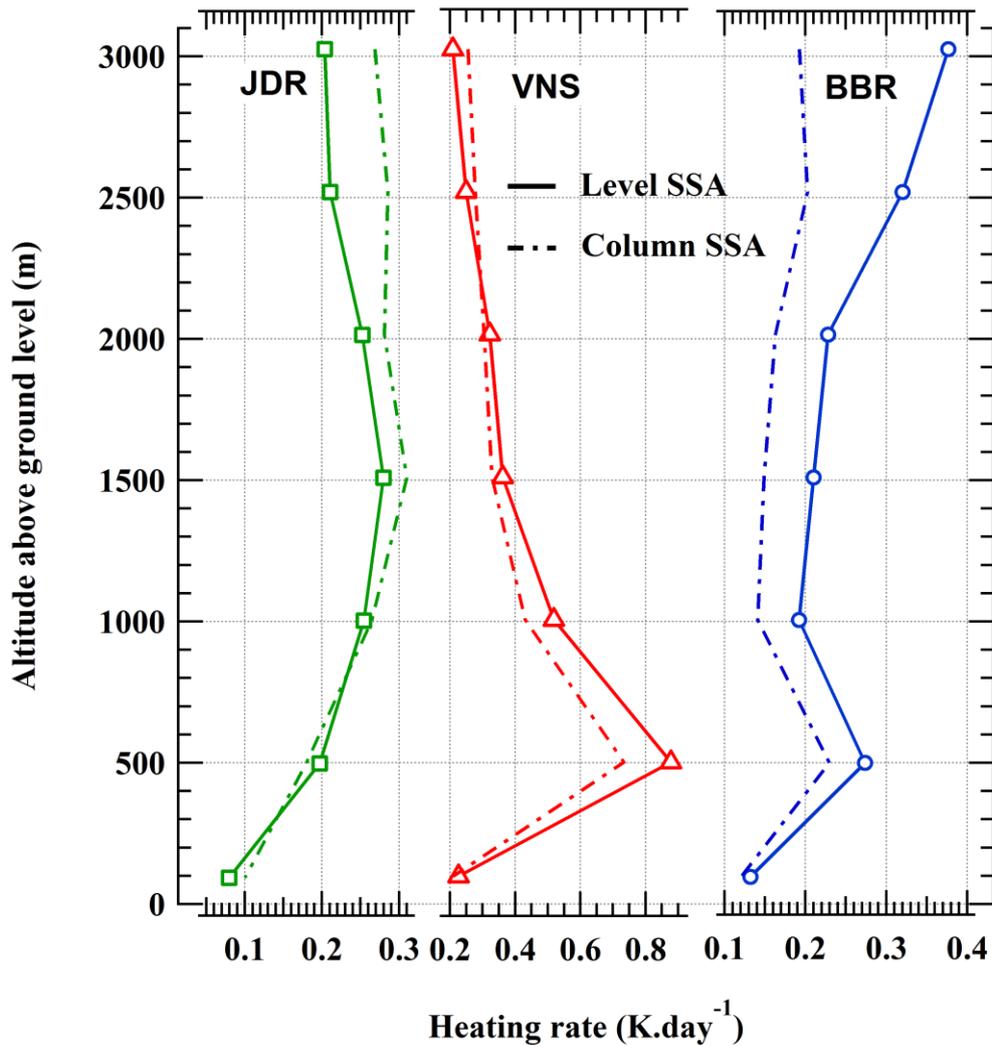


Figure 9: Altitudinal heating rate profiles for the stations i) JDR (left), ii) VNS (center), and BBR (right). Solid lines are for heating profiles calculated using in-situ aircraft profile data and layer averaged aerosol properties. Dash dot line represent heating profiles calculated using in-situ aircraft profile data and column averaged aerosol SSA.

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Contrary to the findings of Kuhlmann and Quaas (2010), who found a peak in heating rate in the IGP at around ~2.5 km, our study shows that over central IGP maximum heating occurs around 0.5 km and in east IGP it is around 2.5 - 3.0 km, bringing out a spatial variation across the IGP. ~~Significant +R~~Reduction in SSA values at higher altitudes in East IGP may results in enhanced atmospheric heating and surface dimming. This might reduce the temperature gradient between surface and atmosphere leading to reduced boundary layer convection (Feng et al., 2016). Analysis of a decadal (2001-2010) aerosol dataset, from AERONET

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station in Kanpur, revealed mean and peak heating rates in the range 0.6 - 1.1 K d⁻¹ and 0.9 - 1.7 K d⁻¹, respectively, ~~in Kanpur~~ (Kaskaoutis et al., 2013) in the central IGP. Sarangi et al., [2016] have shown that due to enhanced absorption by aerosols at altitudes of 1.5 km and above, there is reduction in incoming solar flux in the lower troposphere and associated cooling of about 2 to 3 °C K⁻¹ in the IGP. The horizontal and vertical gradients in the heating rate found in the present study depict the complex nature of influence of aerosols on atmospheric stability over the Indian landmass. A similar scenario exists over the adjoining oceanic regions, Arabian sea and Bay of Bengal as well; where a gradient in aerosol-induced atmospheric heating rate was found which increased from ~0.1 K d⁻¹ in the south-western Arabian Sea to as high as ~0.5 K d⁻¹ over the north-eastern Bay of Bengal (Nair et al., 2013).

Radiative and hydrological implications of the observed decrease in SSA over the entire IGP, prior to onset of the ISM, needs detailed investigation using numerical models. Gradient in vertical heating rates, both regionally and longitudinally in the IGP, might induce anomalous radiative effects leading to reduced land-atmosphere thermal contrast (Feng et al., 2016) and enhanced stratification of lower troposphere (Barbaro et al., 2013; Babu et al., 2002). This in a cumulative way can alter regional precipitation patterns (Chung and Zhang, 2004). Ignoring the vertically resolved SSA, and instead using column average values, may lead to considerable underestimation/overestimation of aerosol induced heating rates for atmospheric layers with highly absorbing/scattering aerosols, respectively. The significant findings, detailed in earlier sections, have implications to atmospheric stability, associated circulation patterns, and possible modulations to onset of the ISM and regional precipitation.

4. Conclusions

An aircraft campaign was conducted, from 1st - 20th June, 2016, to characterize aerosol radiative properties, both ~~extrinsic and intrinsic~~ intensive and extensive, and its impact on

atmospheric thermal structure prior to the onset of the Indian Summer Monsoon (ISM). The three base stations, Jodhpur (JDR), Varanasi (VNS) and Bhubaneswar (BBR) were aptly selected to represent west, central and east IGP, respectively. Exhaustive measurements of aerosol light scattering and light absorption properties were carried out to quantify enhanced absorption by aerosols. Major findings from the study are as following:

1. As we move from west to east in the IGP the aerosol type changes from super-micron mode dominant natural aerosols (dessert dust), $\alpha_{\text{scat}} \sim 0.9$, to sub-micron mode dominant anthropogenic aerosols (mix of aerosols from industrial sources, fossil fuel and biomass burning etc.), $\alpha_{\text{scat}} \sim 2.0$.
- 10 2. Central and east IGP have opposite SSA trends. While SSA in central IGP increases vertically that in the east IGP decreases. ~~This significant reduction~~ Reduction in SSA values, from ~ 0.8 to ~ 0.76 , at higher altitudes in the east IGP may results in enhanced atmospheric heating and surface dimming.
- 15 3. The heterogeneous altitudinal SSA point towards inaccuracies that may arise in the estimation of aerosol absorption and atmospheric forcing and heating rates using a single columnar SSA value and highlights the need for region-specific, altitude resolved values of SSA and estimate layer-by-layer forcing and heating rates for more accurate climate impact assessment.
- 20 4. Aerosols across the Indo-Gangetic Plain become highly absorbing prior to onset of the Indian Summer Monsoon, compared to winter and spring, due to change in source strength and transport patterns.
5. Aerosol induced short-wave radiative forcing at the top-of-atmosphere, surface and atmosphere were $-8.2, -16.9, 8.7 \text{ W.m}^{-2}$ for west IGP (JDR), $-6.5, -22.9, 16.4 \text{ W.m}^{-2}$ for central IGP (VNS), and $-5.36, -15.3, 9.9 \text{ W.m}^{-2}$ for east IGP (BBR), respectively.

6. Atmospheric heating rate profiles with layer resolved SSA and column averaged SSA values differed ~~significantly~~ remarkably for highly absorbing/scattering aerosol layers. While usage of column average SSA underestimated the heating for highly absorbing aerosol layers it did opposite for scattering aerosol layers.
- 5 To sum up, a system of highly absorbing aerosols, with SSA values as low as ~0.7, prevails over the IGP prior to onset of the ISM. This, combined with the fact that elevated absorbing aerosol layers may occur~~s~~ at different altitudes across the IGP, has implications ~~to~~ for atmospheric stability, time of monsoon onset and regional precipitation patterns. Further studies, combining aerosols radiative properties with cloud parameters viz. cloud optical
- 10 depth, cloud albedo and fraction etc. will help in discerning the effects of enhanced absorption prior to onset of the ISM on regional climate.

Competing interests

The authors declare that they have no conflict of interest.

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- 20 Department of Science and Technology, Government of India, through its INSPIRE Faculty Programme. MERRA data was obtained from website <http://mirador.gsfc.nasa.gov/>. MODIS albedo products were obtained from NASA's Earth data portal. CALIPSO extinction profiles are obtained from http://www-calipso.larc.nasa.gov/tools/data_avail. Details of ARFINET data and aircraft data used in this manuscript and the point of contact are available at
- 25 <http://spl.gov.in>; "Research Themes;" "Aerosol Radiative Forcing Section." The SWAAMI project was supported by MoES.

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Supplementary Material:

5 **Large contrast in the vertical distribution of aerosol optical properties and radiative effects across the Indo-Gangetic Plain during SWAAMI-RAWEX campaign**

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Uncertainty in CALIPSO retrievals:

CALIOP level 2 products are provided with the estimates of systematic errors in extinction coefficient, back-scattering coefficient and PDR values based on profile calibration, signal to noise ratios and biases in the lidar ratio assumption. Details of the uncertainty estimation and its propagation in various aerosol parameters are described in (Young et al., 2013). Present study make use of uncertainty information provided with CALIOP level 2 version 3 data product to screen the data as recommended by (Winker et al., 2013) (Range bins with an uncertainty flag of value greater than or equal to 99.9 km⁻¹ are excluded in the study). Further Cloud screening is carried out with CAD score in the range -70 to -100 to filter the cloud free pixels with high confidence (Liu et al., 2009). To eliminate unstable extinction retrievals, extinction quality control flag (QC) of 0 and 1 are used, that represents the constrained retrievals using transmittance measurements and unconstrained retrievals using stable lidar ratio throughout the iterations, respectively. Figure S1 and Figure S2 show the CALIPSO derived total extinction profiles and dust extinction profiles over the stations JDR (left), VNS (centre) and BBR (right), respectively.

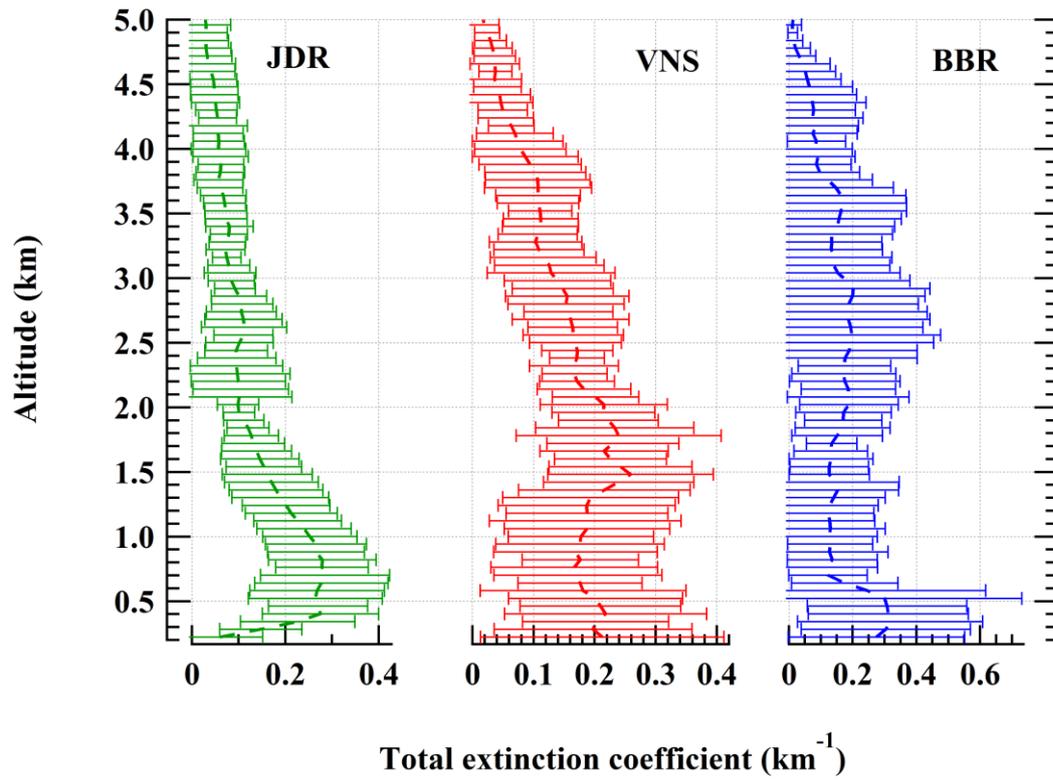


Figure S1: CALIPSO derived total extinction profiles over the stations JDR (left), VNS (centre) and BBR (right). Error bars indicate the standard deviation around the mean.

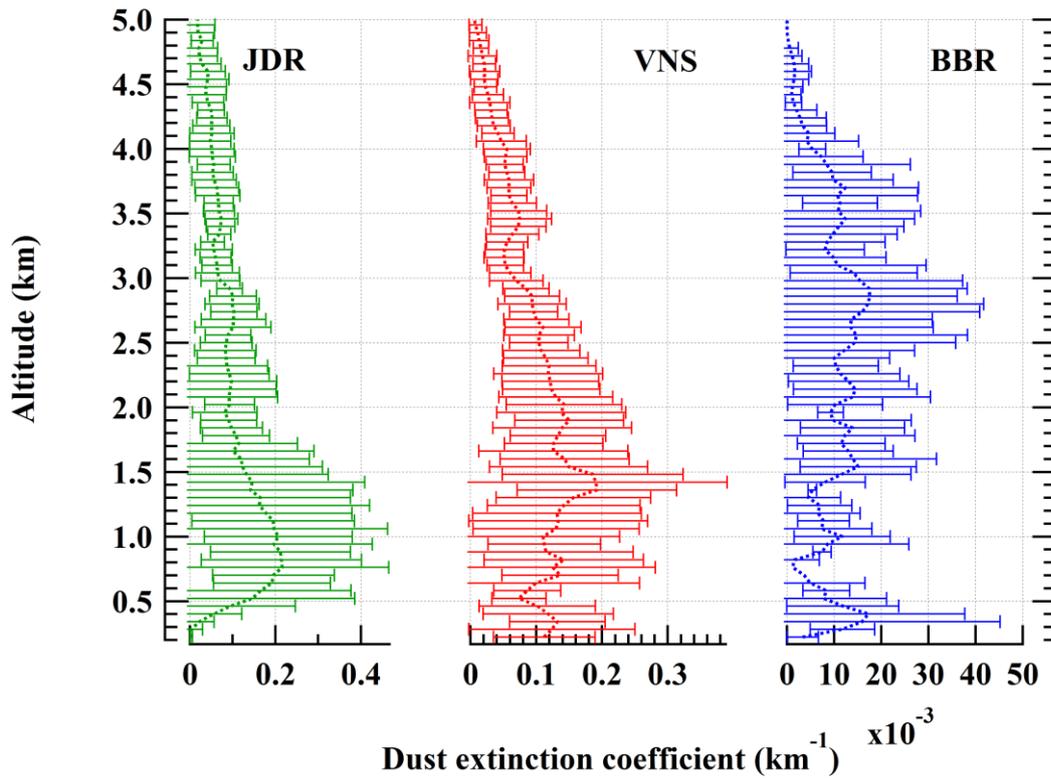


Figure S2: CALIPSO derived dust extinction profiles over the stations JDR (left), VNS (centre) and BBR (right). Error bars indicate the standard deviation around the mean.

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