



1 **Towards a satellite – in situ hybrid estimate for organic aerosol**  
2 **abundance**

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30 **Abstract**

31 Organic aerosol (OA) is one of the main components of the global particulate burden and  
32 intimately links natural and anthropogenic emissions with air quality and climate. It is  
33 challenging to accurately represent OA in global models. Direct quantification of global  
34 OA abundance is not possible with current remote sensing technology; however, it may  
35 be possible to exploit correlations of OA with remotely observable quantities to infer OA  
36 spatiotemporal variability. In particular, formaldehyde (HCHO) and OA share common  
37 sources via both primary emissions and secondary production from oxidation of volatile  
38 organic compounds (VOCs). We examine OA-HCHO correlations using data from  
39 summer-time airborne campaigns investigating biogenic (NASA SEAC<sup>4</sup>RS and DC3),  
40 biomass burning (NASA SEAC<sup>4</sup>RS) and anthropogenic conditions (NOAA CalNex and  
41 NASA KORUS-AQ). In situ OA correlates well with HCHO ( $r = 0.59 - 0.97$ ) but the  
42 slope and intercept of this relationship vary with chemical regime. For biogenic and  
43 anthropogenic regions, the OA-vs-HCHO slope is higher in low NO<sub>x</sub> conditions, where  
44 HCHO yields are lower and aerosol yields are likely higher. The OA-vs-HCHO slope of  
45 wild fires is more than 9 times higher than that associated with biogenic and  
46 anthropogenic sources. An estimate of near-surface OA is derived by combining  
47 observed in situ relationships with HCHO column retrievals from NASA's Ozone  
48 Monitoring Instrument (OMI). We evaluate this OA estimate against OA observations  
49 from the US EPA IMPROVE network and simulated OA from the GEOS-Chem global  
50 chemical transport model. The OA estimate compares well with IMPROVE data obtained  
51 over summer months (e.g. slope = 0.62,  $r = 0.56$  for August 2013), comparable to  
52 intensively validated GEOS-Chem performance (e.g. slope = 0.57,  $r = 0.56$ ) and superior



53 to the correlation with satellite-derived total aerosol extinction ( $r = 0.41$ ). Improving the  
54 detection limit of satellite HCHO and expanding in situ airborne HCHO and OA  
55 coverage in future missions will improve the quality and spatiotemporal coverage of this  
56 OA estimate, potentially enabling constraints on the global OA distribution.



57 **1. Introduction**

58

59 Aerosols are the largest source of uncertainty in climate radiative forcing (IPCC 2013;  
60 Carslaw et al., 2013) and also decrease atmospheric visibility and impact human health  
61 (Pope 2002). Organic aerosols (OA) comprise a large portion (~50%) of submicron  
62 aerosols (Jimenez et al., 2009; Murphy et al., 2006; Shrivastava et al., 2017), and this  
63 fraction will grow with continued decline in SO<sub>2</sub> emissions (Attwood et al., 2014; Marais  
64 et al., 2017; Ridley et al., 2018). In addition, OA affect cloud formation and climate  
65 radiative forcing such as the inclusion of phase-separation effects from organic material  
66 into cloud droplet activation thermodynamics in climate models can lead to important  
67 changes in cloud condensation nuclei (CCN) concentrations (Ovadnevaite et al., 2017).  
68 OA components also have adverse health effects (e.g. Walgraeve et al., 2010) and  
69 contribute significantly to regional severe haze events (e.g. Hayes et al., 2013). Finally,  
70 because the response of temperature to changes in climate forcing is non-linear (Taylor  
71 and Penner, 1994) and the forcing by aerosols has strong regional character (Kiehl and  
72 Briegleb, 1993), it is necessary to separate out different climate forcing components to  
73 accurately forecast the climate response to changes in forcing.

74

75 It is challenging to accurately represent OA in global models. Chemical transport models  
76 (CTMs) often under-predict OA (e.g., more than 2 times less near the ground) compared  
77 to observations, and model-to-model variability can exceed a factor of 100 in the free  
78 troposphere (Tsigaridis et al., 2014; Heald et al., 2008; Heald et al., 2011). Fully explicit  
79 mechanisms (e.g. Lee-Taylor et al., 2015) attempt to capture the full OA chemical  
80 formation mechanisms, but many reaction rate constants and yields lack laboratory



81 validation. In addition, it is too computationally expensive to apply these mechanisms to  
82 OA formation in global CTMs at a useful resolution. For computational efficiency, 3-D  
83 models such as GEOS-Chem include direct emissions of primary OA (POA) and either  
84 represents secondary OA (SOA) formation by lumping SOA products according to  
85 similar hydrocarbon classes (Kim et al., 2015) or based on the volatility of the oxidation  
86 products (Pye et al., 2010). Marais et al. (2016) applied an aqueous phase mechanism for  
87 SOA formation from isoprene in GEOS-Chem to reasonably simulate isoprene SOA in  
88 the southeast US, but this is only one location and it is likely that isoprene SOA is not the  
89 dominant source of SOA in summer (Hu et al., 2015; Zhang et al., 2018). Accurate  
90 emission inventories are also needed to correctly represent volatile organic compounds  
91 (VOCs) and  $\text{NO}_x$  ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ) inputs, and these often have biases compared to  
92 observational constraints (Kaiser et al., 2018, Travis et al., 2016, Anderson et al., 2014;  
93 McDonald et al., 2018).

94

95 A quantitative measure of OA from space would be very helpful for verifying emissions  
96 and aerosol processes in models. However, direct measurements of OA from space are  
97 currently unavailable. Aerosol optical depth (AOD) measured by satellite sensors  
98 provides a coarse but global picture of total aerosol distributions. MISR provides aerosol  
99 property information such as size, shape and absorbing properties, which allows  
100 retrieving AOD of a subset of aerosols when AOD is above a certain threshold (Kahn and  
101 Gaitley, 2015). Classification algorithms have been developed to speciate different  
102 aerosol types such as OA based on AOD, extinction Angstrom exponent, UV Aerosol  
103 Index, and trace gas columns from satellite instruments (de Vries et al., 2015).



104

105 Formaldehyde (HCHO) is one of the few VOCs that can be directly observed from space.

106 Sources emitting POA (e.g., biomass burning (BB)) often simultaneously release VOCs.

107 HCHO and SOA are also both produced from emitted VOCs. VOCs, as well as semi- and

108 intermediate- volatility organic compounds (S/IVOCs), are oxidized by hydroxyl radicals

109 (OH) to form peroxy radicals (RO<sub>2</sub>), which then react with NO, RO<sub>2</sub>, hydroperoxy

110 radicals (HO<sub>2</sub>) or isomerize. These oxidation processes produce HCHO and oxidized

111 organic compounds with low volatility that condense to form SOA (Robinson et al.,

112 2007; Ziemann and Atkinson, 2012). The yield of HCHO and SOA from hydrocarbon

113 oxidation varies, depending on the VOC precursors, oxidants (OH, O<sub>3</sub> and NO<sub>3</sub>), RO<sub>2</sub>

114 reaction pathway (e.g. NO levels), and pre-existing aerosol abundance and properties

115 (Wolfe et al., 2016; Pye et al., 2010; Marais et al., 2016 and 2017; Xu et al., 2016).

116 Although the lifetime of HCHO (1-3 hrs) is shorter than OA (1 week), HCHO continues

117 to form from slower reacting VOCs, as well as from the oxidation of later generation

118 products. Observations across megacities around the world show that OA formation in

119 polluted/urban area happens over about 1 day (e.g. DeCarlo et al., 2010; Hodzic and

120 Jimenez, 2010; Hayes et al., 2013; 2015), and HCHO is also significantly formed over

121 this timescale (Nault et al., in preparation). Veefkind et al. (2011) found that satellite

122 AOD correlated with HCHO over the summertime Southeast US, BB regions, and

123 Southeast Asian industrialized regions. This also suggests that OA, a major contributor to

124 AOD in the above cases (Jimenez et al., 2009), and HCHO share common emission

125 sources and photochemical processes. Marais et al. (2016) further used the relationship



126 between aircraft OA and satellite HCHO to evaluate GEOS-Chem representation of SOA  
127 mass yields from biogenic isoprene in the Southeast US.

128

129 We present an OA surface mass concentration estimate (OA estimate) derived from a  
130 combination of satellite HCHO column observations and in situ OA and HCHO  
131 relationships. The detection limit of satellite HCHO column observations limit the quality  
132 of OA estimate, thus we are focusing on summer time when HCHO levels are high. The  
133 OA estimate is evaluated against OA measurements at ground sites. A 3-D model GEOS-  
134 Chem OA simulation is also shown for comparison.

135

## 136 **2. Methods**

### 137 **2.1 In situ airborne observations**

138 Figure 1 shows flight tracks of field campaigns used in the current study. The Studies of  
139 Emissions, Atmospheric Composition, Clouds and Climate Coupling by Regional  
140 Surveys (SEAC<sup>4</sup>RS) mission (Toon et al., 2016) covered the continental US with a focus  
141 on the southeast US in August-September 2013. The Deep Convective Clouds &  
142 Chemistry Experiment (DC3) (Barth et al., 2015) surveyed the central and southeast US  
143 in May-June 2012 while targeting isolated deep convective thunderstorms and mesoscale  
144 convective systems. The California Research at the Nexus of Air Quality and Climate  
145 Change (CalNex) (Ryerson et al., 2013) investigated the California region in May-June  
146 2010, targeting the Los Angeles (LA) Basin and Central Valley. The Korea-United States  
147 Air Quality Study (KORUS-AQ) studied South Korean air quality, including sampling  
148 many large urban areas in South Korea and continental Asian outflow over the West Sea,



149 in May-June 2016 (<https://www-air.larc.nasa.gov/missions/korus-aq/>). KORUS-AQ only  
150 includes data with longitude  $< 133^\circ$  E to exclude the transit from US because it targeted  
151 South Korea and the nearby region. These field campaigns were selected as they had  
152 recent high-quality in situ HCHO and OA data measured with state-of-the-art instruments  
153 and studied summertime regional tropospheric chemical composition.

154

155 In situ airborne HCHO observations were acquired by multiple instruments. The DC3  
156 NASA DC-8 payloads featured two HCHO measurements: the NASA In Situ Airborne  
157 Formaldehyde (ISAF) (Cazorla et al., 2015) and the Difference Frequency Generation  
158 Absorption Spectrometer (DFGAS) (Weibring et al., 2006). The SEAC<sup>4</sup>RS NASA DC-8  
159 payloads also featured two HCHO measurements: the NASA ISAF and the Compact  
160 Atmospheric Multispecies Spectrometer (CAMS) (Richter et al., 2015). HCHO  
161 measurements from ISAF were found to be in good agreement with CAMS, with a  
162 correlation coefficient of 0.99 and a slope of 1.10 (Zhu et al., 2016). Because ISAF has  
163 higher data density, we used ISAF HCHO data for DC3 and SEAC<sup>4</sup>RS. During KORUS-  
164 AQ, CAMS was the only HCHO instrument onboard the DC-8. In CalNex a proton  
165 transfer reaction mass spectrometer (PTRMS) (Warneke et al., 2011) was used to  
166 measure HCHO on board the NOAA P3 aircraft.

167

168 In situ airborne OA from SEAC<sup>4</sup>RS, DC3, and KORUS-AQ was measured by the  
169 University of Colorado High-Resolution Time-of-Flight Aerosol Mass Spectrometer  
170 (AMS, DeCarlo et al., 2006; Dunlea et al., 2009; Canagaratna et al., 2007; Jimenez et al.,  
171 2016) and in situ airborne OA from CalNex was measured by the NOAA Compact Time-



172 of-Flight Aerosol Mass Spectrometer (Drewnick et al., 2005; Canagaratna et al., 2007;  
173 Bahreini et al., 2012). The OA measurements are from 1 min merge data and converted  
174 from  $\mu\text{g sm}^{-3}$  to  $\mu\text{g m}^{-3}$  under local T & P for each data point.

175

176 Although NO modulates the RO<sub>2</sub> lifetime, and thus, the production of HCHO and SOA, it  
177 cannot be directly observed via remote sensing. Instead, NO<sub>2</sub> can be directly observed in  
178 space by satellites, and since NO<sub>2</sub> represents typically ~80% (e.g. SEAC<sup>4</sup>RS and  
179 KORUS-AQ) of the boundary layer NO<sub>x</sub> concentrations during the daytime, it can be  
180 used as a surrogate for NO concentrations and oxidative conditions around the globe. In  
181 situ airborne NO<sub>2</sub> was measured by the NOAA Chemiluminescence NO<sub>y</sub>O<sub>3</sub> instrument  
182 (Ryerson et al., 2001) during SEAC<sup>4</sup>RS and by University of Berkeley laser induced  
183 fluorescence NO<sub>2</sub> instrument (Day et al., 2002) during KORUS-AQ.

184

## 185 **2.2 Ground-based OA measurements**

186 Ground-based OA measurements over the US are from the EPA Interagency Monitoring  
187 of Protected Visual Environments (IMPROVE) (Malm et al., 1994; Solomon et al., 2014;  
188 Hand et al., 2014; Hand et al., 2013; Malm et al., 2017) and Southeastern Aerosol  
189 Research and Characterization (SEARCH) (Edgerton et al., 2006) networks. In the  
190 IMPROVE network, aerosols were collected on quartz fiber filters and analyzed in the  
191 lab by thermal optical reflectance for organic and elemental carbon. The data were  
192 reported every three days from 1988 to 2014. Monthly averages were used for  
193 comparison in this study. IMPROVE OA data over the southeast US (east of 70°W) in  
194 summertime were multiplied by a factor of 1.37 to correct for partial evaporation during



195 filter transport, following the recommendation of a comparison study with SEARCH  
196 organic carbon (OC) measurements (Kim et al., 2015; Hand et al., 2013). Although  
197 IMPROVE OA corrected for evaporation has potential uncertainties with the constant  
198 scaling factor, the IMPROVE measurements have high spatial coverage. SEARCH  
199 network (Edgerton et al., 2006; Hidy et al., 2014) OC is determined by the difference  
200 between total carbon (TC) detected by a tapered element oscillating microbalance  
201 (TEOM) and black carbon (BC) measured by an in situ Thermal Optical instrument. This  
202 allows real-time measurement of OC and prevents evaporation during filter transport.  
203 Although the SEARCH network only has 5 sites available, we also use observations from  
204 this network due to their high accuracy. The IMPROVE and SEARCH network OC  
205 measurements were converted to OA by multiplying by a factor of 2.1 based on ground  
206 and aircraft observations (Pye et al., 2017; Schroder et al., 2018).

207

### 208 **2.3 Satellite measurements**

209 Satellite HCHO column observations are derived from the NASA's Ozone Monitoring  
210 Instrument (OMI), a UV/Vis nadir solar backscatter spectrometer on the Aura satellite  
211 (Levelt et al., 2006). Aura overpasses the equator at 1:30 pm local time, daily. Here we  
212 use the OMI HCHO version 2.0 (collection 3) retrieval (Gonzalez Abad et al., 2015) from  
213 the Smithsonian Astrophysical Observatory (SAO). Satellite data for HCHO columns  
214 were subjected to data quality filters: 1) solar zenith angle lower than 70°, 2) cloud  
215 fraction less than 40%, and 3) main quality flag and the xtrackquality flag both equal to  
216 zero

217 (<https://www.cfa.harvard.edu/atmosphere/Instruments/OMI/PGEReleases/READMEs/O>



218 [MHCHO\\_README\\_v3.0.pdf](#)). The monthly average HCHO columns were also  
219 weighted by the column uncertainties of the pixels. The HCHO retrieval used a priori  
220 profiles without aerosol information from the GEOS-Chem model (Gonzalez Abad et al.,  
221 2015). Satellite NO<sub>2</sub> column observations are also derived from NASA's OMI level 3  
222 data, archived at <https://disc.sci.gsfc.nasa.gov> as "OMI-Aura\_L3-OMNO2d" (Lamsal et  
223 al., 2014).

224 Satellite AOD observations were acquired from the Moderate Resolution Imaging  
225 Spectroradiometer (MODIS) onboard the Aqua satellite, using overpasses at about 1:30  
226 pm local time. Here, we use collection 06 (MYD04\_L2,  
227 <https://ladsweb.nascom.nasa.gov/>), retrieved using the Dark Target (DT) and Deep Blue  
228 (DB) algorithms (Levy et al., 2015).

229

230

#### 231 **2.4 GEOS-Chem**

232 We used GEOS-Chem (v9-02) at  $2^\circ \times 2.5^\circ$  with 47 vertical layers to simulate HCHO and  
233 OA globally, the same as that in Marais et al. (2016). GEOS-Chem is driven with  
234 meteorological fields from the NASA Global Modeling and Assimilation Office  
235 (GMAO). The OA simulation includes POA from fires and anthropogenic activity and  
236 SOA from the volatility-based reversible partitioning scheme (VBS) of Pye et al. (2010)  
237 for anthropogenic, fire, and monoterpene sources, and an irreversible aqueous-phase  
238 reactive uptake mechanism for isoprene. The aqueous-phase mechanism is coupled to  
239 gas-phase isoprene chemistry and has been extensively validated using surface and  
240 aircraft observations of isoprene SOA components in the Southeast US (Marais et al.,



241 2016). The model is driven with Goddard Earth Observing System – Forward Processing  
242 (GEOS-FP) meteorology for 2013 and sampled along the SEAC<sup>4</sup>RS (2013) and KORUS-  
243 AQ (2016) flight tracks. The model is also run with 10% decrease in biomass burning,  
244 biogenic, or anthropogenic emissions as sensitivity test to evaluate the contributions of  
245 different sources to OA and HCHO budget. Model monthly mean surface layer OA and  
246 total column formaldehyde are obtained around the OMI overpass time (12:00-15:00  
247 local time) for 2008-2013 using MERRA Modern-Era Retrospective analysis for  
248 Research and Applications (MERRA) meteorology, as GEOS-FP is only available from  
249 2012. This is compared to the OA estimate derived from satellite HCHO.

250

251 Global isoprene emissions are used to calculate an isoprene and NO<sub>2</sub> dependent OA  
252 estimate. Global isoprene emissions are from the Model of Emissions of Gases and  
253 Aerosols from Nature version 2.1 (Guenther et al., 2006) as implemented in GEOS-Chem  
254 and driven with MERRA (MEGAN-MERRA).

255

## 256 **2.5 Estimation of surface organic aerosol mass concentrations**

257 An estimate for surface OA mass concentration is calculated based on a simple linear  
258 transformation.

$$259 \quad \varepsilon(i) = \Omega_{HCHO}(i)\eta(i)\alpha(i) + \beta(i) \quad \text{Eq. (1)}$$

260 Here,  $\varepsilon(i)$  is the OA estimate for grid cell  $i$  ( $\mu\text{g m}^{-3}$ ),  $\Omega_{HCHO}(i)$  is the OMI HCHO column  
261 density ( $\text{molec cm}^{-2}$ ) in each  $0.25^\circ \times 0.25^\circ$  grid cell (similar resolution to OMI HCHO  
262 nadir pixel data),  $\eta(i)$  is the ratio of midday surface layer ( $\sim 140$  m) HCHO concentrations  
263 ( $\text{molec cm}^{-3}$ ) to column concentrations ( $\text{molec cm}^{-2}$ ) from GEOS-Chem, and  $\alpha(i)$  and



264  $\beta(i)$  are the slope and intercept of a linear regression between OA and HCHO from low  
265 altitude (<1 km) airborne in situ measurements. The in situ to column conversion factor  
266  $\eta(i)$  is similar to that used by Zhu et al. (2017) to convert HCHO columns into surface  
267 concentrations.  $\eta(i)$  is derived from GEOS-Chem (v9-02), which includes updated  
268 isoprene scheme for OA and is the next version of the model (v9-01-03) for a priori  
269 profiles used in SAO satellite HCHO retrievals. The detailed information about  $\eta$  is  
270 provided in Sect. 5.

271

## 272 **2.6 Aerosol extinction from satellite measurements**

273 Currently remote sensing observes aerosols by quantifying AOD. The MISR satellite  
274 instrument can estimate the fraction of OA relative to total AOD, due to constraints on  
275 size range, shape and absorbing properties, but cannot distinguish OA from other  
276 submicron aerosol compounds such as sulfate and nitrate and also requires AOD above  
277 0.1. Moreover, OA account for a large and relatively constant fraction of submicron  
278 aerosols in the Southeast US (Kim et al., 2015; Wagner et al., 2015). Therefore, AOD  
279 was converted to extinction to represent OA for comparison.

$$280 \quad A_{ext} = AOD(i)\delta(i) \quad \text{Eq. (2)}$$

281 where AOD(i) is aerosol optical depth from MODIS (see Sect. 2.3) in each  $0.25^\circ \times 0.25^\circ$   
282 grid cell, and  $\delta(i)$  ( $m^{-1}$ ) is the ratio of surface layer OA concentrations ( $\mu g m^{-3}$ ) to column  
283 OA concentrations ( $\mu g m^{-2}$ ) from GEOS-Chem multiplied by  $10^6 Mm^{-1}/m^{-1}$ . The shape  
284 of average vertical profile of OA was very close to that of total aerosol mass (Wagner et  
285 al., 2015).

286



287 **3. In situ OA and HCHO relationship**

288 Although OA and HCHO share common VOC emission sources and photochemical  
289 processes, their production rates from different emission sources and photochemical  
290 conditions vary, as do their loss rates. The following section discusses the main factors  
291 that modulate OA-HCHO relationships.

292

293 **3.1 Regional and Source-Driven Variability**

294 For all regions and/or sources investigated, in situ OA and HCHO are well correlated. A  
295 scatter plot of in situ OA vs. HCHO at low altitudes (<1 km) from a number of field  
296 campaigns (SEAC<sup>4</sup>RS, DC3, CalNex, and KORUS-AQ) is displayed in Fig. 2. The slope,  
297 intercept and correlation coefficient are provided in Table 1. SEAC<sup>4</sup>RS, DC3, and  
298 CalNex excluded BB data when acetonitrile > 200 pptv (Hudson et al., 2004). KORUS-  
299 AQ used a BB filter with higher acetonitrile (>500 pptv) because the air masses with  
300 moderate acetonitrile enhancement (200-500 pptv) were actually from anthropogenic  
301 emissions. This attribution is based on high levels of acetonitrile detected downwind of  
302 Seoul and west coastal petrochemical facilities, the slope between acetonitrile and CO  
303 being to urban emissions (Warneke et al., 2006), and the concentrations of anthropogenic  
304 tracer CHCl<sub>3</sub> being high (Warneke et al., 2006). Similar to acetonitrile, another common  
305 BB tracer hydrogen cyanide (HCN) was also enhanced in these air masses. BB data  
306 (acetonitrile > 200 pptv) for SEAC<sup>4</sup>RS were analyzed separately and are inset in Fig. 2.  
307 Although all CalNex data had a tight correlation, we only included the flight data near  
308 LA basin to target the area strongly influenced by anthropogenic emissions. In general,



309 the correlation coefficients between in situ OA and HCHO were strong ( $r = 0.59 - 0.97$ )  
310 (Table 1).

311  
312 The variety in OA-HCHO regression coefficients among different campaigns reflects the  
313 regional and/or source-driven OA-HCHO variability. Considering only the non-biomass  
314 burning (non-BB) air masses sampled, OA and HCHO had the tightest correlation for  
315 CalNex, because CalNex focused on the LA area (shown in Fig. 2) and Central Valley  
316 while SEAC<sup>4</sup>RS and DC3 covered a larger area with a potentially larger variety of  
317 sources and chemical conditions. Although SEAC<sup>4</sup>RS and DC3 both sampled the  
318 continental US, SEAC<sup>4</sup>RS had more spatial coverage and sampled more air masses at low  
319 altitudes, while DC3 was designed to sample convective outflow air masses and had more  
320 data at high altitudes. Although KORUS-AQ covered a much smaller area compared to  
321 SEAC<sup>4</sup>RS, KORUS-AQ data also had a large spread, which may be due to the  
322 complicated South Korean anthropogenic sources mixed with transported air masses  
323 (mainly from China) and possibly biogenic sources. OA exhibits a tight correlation with  
324 HCHO for both wildfires and agricultural fires. This is because the production of HCHO  
325 and OA is much higher in BB air masses compared to background. This may also suggest  
326 that the emissions of OA and HCHO in these air masses are relatively constant. More  
327 intensive fire sampling is needed to probe the correlation between OA and HCHO across  
328 fuel types and environmental conditions.

329

330 The different slopes of OA-HCHO among different campaigns also reflect the regional or  
331 source-driven OA-HCHO variability. The slopes of OA vs. HCHO were more similar  
332 between DC3 and SEAC<sup>4</sup>RS. The slope of OA vs. HCHO was higher for South Korea



333 (KORUS-AQ), which is dominated by anthropogenic emissions compared to the  
334 biogenic-dominated emission in the Southeast US (SEAC<sup>4</sup>RS and DC3). The high OA air  
335 masses also had high acetonitrile during KORUS-AQ. The slope of OA-HCHO for  
336 California LA basin, dominated by anthropogenic emissions, was also not as high as  
337 South Korea. The potential difference in the anthropogenic emissions mix could  
338 contribute to the different OA-HCHO slopes from US LA region and South Korea  
339 anthropogenic sources (Baker et al., 2007; Na et al., 2005; Na et al., 2002). The slopes of  
340 OA vs. HCHO for BB air masses were higher than for anthropogenic and biogenic  
341 sources. This is consistent with high POA emission in BB conditions (Heald et al., 2008;  
342 Lamarque et al., 2010; Cubison et al., 2011), despite low addition of mass due to SOA  
343 formation (Cubison et al., 2011; Shrivastava et al., 2017). The slope of OA to HCHO was  
344 higher for wildfires than agricultural fires. This may indicate that more OA is emitted in  
345 wildfires which often have higher intensity than agricultural fires (Liu et al., 2017;  
346 Forrister et al., 2015). As SEAC<sup>4</sup>RS had the largest geographic coverage for low altitude  
347 data over US, the campaign average slope of OA vs. HCHO was used to represent the US  
348 region in summer, except large cities where CalNex LA Basin data were used.

349

350

### 351 **3.2 Dependence on NO<sub>x</sub> and VOCs speciation**

352 Biogenic and anthropogenic VOCs are oxidized by atmospheric oxidants (e.g. OH as the  
353 dominant oxidant) to form RO<sub>2</sub>. HCHO is produced from the reactions of RO<sub>2</sub> with HO<sub>2</sub>  
354 or NO, with RO<sub>2</sub>+NO typically producing more HCHO than RO<sub>2</sub> + HO<sub>2</sub> (e.g. Wolfe et  
355 al., 2016). RO<sub>2</sub> can react with HO<sub>2</sub>, NO, or isomerize to form oxidized organic



356 compounds with high molecular weight and low volatility, which condense on existing  
357 particles to form SOA. The products of  $\text{RO}_2 + \text{NO}$  tend to fragment instead of  
358 functionalize and often lead to higher volatility compounds (e.g. HCHO) and thus less  
359 SOA formation compared to the products of  $\text{RO}_2 + \text{HO}_2$  (Kroll et al., 2006; Worton et al.,  
360 2013). Therefore, with the same VOC, we expect more HCHO and less OA formed at  
361 high NO conditions and vice versa. As mentioned before,  $\text{NO}_2$  instead of NO is easily  
362 measured from space and  $\text{NO}_2$  typically is ~80% of  $\text{NO}_x$  in the boundary layer during the  
363 day. Therefore,  $\text{NO}_2$  is used as a surrogate for the NO levels influencing OA and HCHO  
364 production. The yields of HCHO and SOA also depend on VOC speciation (e.g. Lee et  
365 al., 2006). Specifically, isoprene has a higher yield of HCHO than most non-alkene  
366 VOCs (Dufour et al., 2009).

367

368 A scatter plot of OA vs. HCHO for SEAC<sup>4</sup>RS low altitude data is shown in Fig. 3(a). The  
369 data are color-coded by the product of in-situ isoprene and  $\text{NO}_2$ , attempting to capture  
370 time periods strongly influenced by oxidation products of isoprene at high NO  
371 conditions. No obvious trends are evident when the data are instead color coded by  $\text{NO}_2$   
372 or isoprene only. This may be because isoprene (biogenic source) and  $\text{NO}_2$   
373 (anthropogenic sources) are generally not co-located in the US (Yu et al., 2016) and  
374 isoprene is the dominant source of HCHO compared to anthropogenic VOCs in the US  
375 (e.g. Millet et al., 2008). This plot shows that, at high  $\text{NO}_2$  and high isoprene conditions,  
376 less OA was formed for each HCHO produced generally. This is consistent with high NO  
377 and isoprene conditions promote HCHO formation over SOA formation. We also looked  
378 at the dependence on peroxy acetyl nitrate (PAN), as PAN is a product of the photo



379 oxidation of VOCs, including isoprene, in the presence of NO<sub>2</sub>. The dependence on PAN  
380 was not as clear as on the product of NO<sub>2</sub> and isoprene.

381

382 KORUS-AQ OA vs. HCHO, color-coded with NO<sub>2</sub>, is plotted in Fig. 3(b). The OA /  
383 HCHO ratio clearly decreased as NO<sub>2</sub> levels increased during KORUS-AQ, suggesting  
384 that high NO conditions accelerated HCHO formation more than they did SOA  
385 production. Compared to SEAC<sup>4</sup>RS, the KORUS-AQ OA/HCHO ratio does not depend  
386 on VOCs. This may be consistent with the dominant VOC being anthropogenic VOCs  
387 that are co-located with NO sources. This may also suggest the anthropogenic VOCs  
388 generally have a lower HCHO yield than does isoprene.

389

390

#### 391 **4. Comparison of OA and HCHO relationship: in-situ vs. GEOS-Chem**

392 In situ OA vs. HCHO relationships from SEAC<sup>4</sup>RS low altitude non-BB (Fig. 4a),  
393 KORUS-AQ low altitude (Fig. 4b), and SEAC<sup>4</sup>RS BB (Fig. 4c) air masses were  
394 compared to GEOS-Chem model simulations (Fig. 4d-4f) sampling along the  
395 corresponding flight tracks. Similar to the in situ data, GEOS-Chem model simulations  
396 also found correlations between OA and HCHO for these three regions, especially for  
397 SEAC<sup>4</sup>RS non-BB. GEOS-Chem was intensively validated with in situ measurements for  
398 Southeast US (e.g. Marais et al., 2016; Kim et al., 2015). The ratios of the slopes between  
399 OA and HCHO for the US (SEAC<sup>4</sup>RS), South Korea (KORUS-AQ), and wildfire cases  
400 (SEAC<sup>4</sup>RS) from GEOS-Chem were 1:1.1:0.4, which was different from the in situ  
401 measurements ratios of 1:1.4:13 (Table 1). GEOS-Chem could not capture any wild fires



402 in US during SEAC<sup>4</sup>RS, which is probably due to poor representation of BB emission  
403 inventory for US wildfire and also the coarse grid in GEOS-Chem. GEOS-Chem also  
404 significantly under predicted the slope of OA to HCHO for South Korea. We attribute  
405 this to a likely underprediction of anthropogenic SOA, which was dominant in South  
406 Korea, in GEOS-Chem (Schroder et al., 2018), as well as a different mix of OA and  
407 HCHO sources in the US compared to South Korea and representation of these in GEOS-  
408 Chem. Although GEOS-Chem contains isoprene chemistry with a focus on the Southeast  
409 US (Marais et al. 2016), there is still room to improve the model especially for  
410 anthropogenic and BB sources, as well as anthropogenic OA formation mechanisms. For  
411 example, in the model biogenic sources are more important than anthropogenic sources  
412 for the OA and HCHO budgets in South Korea, which is not the case from KORUS-AQ  
413 in situ measurements. A 10% decrease of emissions from biogenic, anthropogenic and  
414 BB sources results in a 6%, 3%, and 1% decrease in OA and 2%, 1%, and 0% decrease in  
415 HCHO over South Korea in May 2016. However, the in situ airborne field campaign  
416 KORUS-AQ found that OA and HCHO were higher near anthropogenic emission sources  
417 compared to rural regions. The larger impact of biogenic sources compared to  
418 anthropogenic sources on OA and HCHO in the model can be due to both low-biased  
419 anthropogenic emission inventories and low-biased anthropogenic SOA. Improving  
420 anthropogenic emissions inventories in the models can also bring model results closer to  
421 observations. Improving anthropogenic SOA, such as implementation of the SIMPLE  
422 model, in GEOS-Chem (Hodzic and Jimenez, 2011) can also improve the model results  
423 compared to observations. Measurements or measurement-constrained estimation with  
424 sufficient spatial and temporal coverage can help to narrow down the key factors (e.g.



425 emission inventories or chemical schemes) in GEOS-Chem to better represent VOCs and  
426 OA globally. Furthermore, we did also find that GEOS-Chem could not capture the  
427 observed higher slope of OA to HCHO at high altitudes (not shown), which could be due  
428 to issues such as transport, OA lifetime, and OA production.

429

430

431

#### 432 **5. Relating satellite HCHO column to surface HCHO concentrations**

433 To utilize the derived in-situ OA and HCHO relationship, the satellite HCHO column  
434 needs to be converted to surface HCHO concentrations. We used a vertical distribution  
435 factor  $\eta$  ( $\text{cm}^{-1}$ ) (Sect. 2.5), which is defined as the ratio of surface HCHO concentrations  
436 ( $\text{molec cm}^{-3}$ ) to HCHO column ( $\text{molec cm}^{-2}$ ), to estimate surface HCHO concentrations  
437 from satellite column measurements. Zhu et al. (2017) used the same vertical distribution  
438 factor for their study. The use of this factor is justified by the fact that the derived surface  
439 HCHO retained the spatial pattern of the satellite HCHO column and agreed with local  
440 surface measurements of HCHO for a multi-year average (Zhu et al., 2017).

441

442 We also investigated the main factors affecting the variation of the vertical distribution  
443 factor  $\eta$ . Because the factor is determined by HCHO vertical distributions, we examined  
444 three typical normalized HCHO vertical distribution profiles with the highest, median and  
445 lowest  $\eta$  values for the SEAC<sup>4</sup>RS field campaign (Fig. 5). GEOS-Chem can generally  
446 capture the vertical profiles of measured HCHO. Boundary layer mixing height and  
447 surface emission strength are the dominant factors in determining the fraction of HCHO



448 near the surface. We can see that higher boundary layer mixing height results in lower  $\eta$   
449 for southeast US profiles, where there are biogenic sources of HCHO from the surface  
450 and HCHO has distinct concentration difference below and above the boundary layer.  
451 However, there are exceptions, such as for the profiles over the ocean and the coastal  
452 regions. Although the boundary layer is shallow in these regions, a large portion of  
453 HCHO resides above the boundary layer, resulting in low  $\eta$ . In these cases, surface  
454 emissions of HCHO or precursors are very small and therefore methane oxidation makes  
455 a large contribution to the total HCHO column. High concentrations of HCHO (e.g., in  
456 BB plumes) lofted by convection can also impact the vertical profile (Barth et al., 2015).  
457 Overall, the source intensities and boundary layer mixing height mostly determined the  
458 HCHO vertical profiles.

459

## 460 **6. Construction of the OA estimate**

### 461 **6.1 Variables to construct OA estimate**

462 As mentioned in Sect. 2.5, the OA estimate value in each grid cell is estimated from  
463 satellite HCHO column observation by the linear Eq. (1). Satellite HCHO columns,  
464  $\Omega_{HCHO}$ , are converted to surface HCHO concentrations by multiplying by the  $\eta(i)$  factor.  
465 Surface OA is then estimated by the derived surface HCHO concentrations and applying  
466 the linear regression equation (slope  $\alpha(i)$  and intercept  $\beta(i)$ ) between in situ OA and  
467 HCHO determined from in-situ aircraft field campaign data. The relationship between  
468 OA and HCHO varies but previous sections demonstrated that we can quantify the  
469 surface OA-HCHO relationship by their regions, sources and chemical conditions (e.g.,  
470  $\text{NO}_x$  levels). To test the impact of the chosen OA-HCHO relationship on the calculated



471 OA estimate, the OA estimate in the US was calculated using four different methods (see  
472 Table 2).

473

#### 474 **6.2 OA estimate over US**

475 The monthly average surface OA estimate over the US in August 2013 for case 1 (Sect.  
476 6.1) is shown in Fig. 6(a). Because BB regions in the US are not covered by smoke  
477 continuously during a period of time and it is challenging for satellite retrieval to separate  
478 thick BB plumes and clouds without information on the time and location of the burning,  
479 thick BB events (OMI UV Aerosol Index (UVAI) > 1.6) (Torres et al., 2007) were  
480 excluded and shown as the blank (white) grid cells in Fig. 6(a). The same filter was also  
481 applied to aerosol extinction and GEOS-Chem OA abundance. To evaluate the  
482 representative quality of the OA estimate, OA estimate data were compared to the EPA  
483 IMPROVE ground sites corrected-OA measurements over the US and SEARCH ground  
484 sites OA measurements in the Southeast US (Sect. 2.2). The locations of IMPROVE and  
485 SEARCH sites are displayed in Fig. 6(g) as small and large dots, respectively. The dot  
486 color represents the average OA mass concentrations for August 2013.

487

488 The good correlation between the OA estimate and corrected IMPROVE network  
489 measurements (Fig. 6(e)) indicates that the OA estimate generally captured the variation  
490 of OA loading over the US. The correlation between the OA estimate and IMPROVE OA  
491 measurements yielded a slope of 0.62, indicating that the OA estimate slightly  
492 underestimated OA. Satellite HCHO data were measured in mid-day, in situ airborne OA  
493 and HCHO were measured during the daytime and IMPROVE network organic carbon



494 was collected day and night. Because ground OA in the Southeast US were observed to  
495 have little diurnal variation (Xu et al., 2015; Hu et al., 2015), the different sampling time  
496 of ground and airborne OA probably does not have a significant impact on the  
497 comparison of OA estimate and IMPROVE OA. The difference in mid-day and daytime  
498 HCHO concentrations is not prominent, depends on the location and may contribute to a  
499 small bias to the mid-day OA estimate (DiGangi et al., 2012). This is probably due to  
500 increased boundary layer height diluting the photochemical formation of HCHO in the  
501 mid-day. Instead, the potential underestimation of HCHO from satellite retrieval (by  
502 37%) (Zhu et al., 2016) compared to SEAC<sup>4</sup>RS may cause the low slope between the OA  
503 estimate and IMPROVE OA according to Eq. (1). Also, the uncertainty in  $\eta$  estimated  
504 from GEOS-Chem could have also contributed to the low slope between the OA estimate  
505 and EPA ground site OA. Furthermore, the uncertainties in IMPROVE OA  
506 measurements, such as using a correction factor to correct the partial evaporation across  
507 all southeast US sites, and real variations on the OA/OC ratio, may also have contributed  
508 to the discrepancies between the OA estimate and EPA IMPROVE sites OA.  
509  
510 SEARCH OA data were also used to compare to the OA estimate. The correlation was  
511 good for August 2013. Although the SEARCH network OA measurements have better  
512 accuracy, the number of SEARCH sites is limited (5 sites). The correlation of OA  
513 estimate and SEARCH OA varied dramatically 2008-2013 (Fig. S1). GEOS-Chem OA  
514 does not correlate with SEARCH OA except for the year 2013 (Fig. S1). As the  
515 IMPROVE network has more sites and spatial coverage, we use IMPROVE network data  
516 as ground OA measurements for comparison in the remainder of the discussion.



517

**518 6.3 Comparison to aerosol extinction from AOD**

519 To further evaluate the method of using satellite HCHO to derive an OA surface estimate,  
520 satellite measurements of AOD were converted to extinction for comparison. Studies  
521 showed that OA were a dominant component of aerosol mass and extinction during  
522 SEAC<sup>4</sup>RS (Kim et al., 2015; Wagner et al., 2015) and the fractions of OA were relatively  
523 constant (interdecile 62-74%) (Wagner et al., 2015). Therefore AOD variation is  
524 expected to generally reflect the OA variation during SEAC<sup>4</sup>RS. Satellite measurements  
525 from MISR can provide more aerosol property information to apportion total AOD to  
526 AOD of a subset of aerosols with small to medium size and round shape, which can  
527 better capture OA, when AOD is above 0.15 to 0.2 (Kahn and Gaitley, 2015; personal  
528 communication with R. Kahn, 2018). Because MISR cannot distinguish OA and other  
529 submicron aerosol components (e.g. sulfate and nitrate), the fractions of OA were  
530 relatively constant, and AOD below 0.15 or 0.2 accounts for near half of the data over US  
531 in August, 2013, we use total AOD to derive extinction for our comparison. The AOD-  
532 derived extinction map is shown in Fig. 6(b), and the scatter plot of AOD-derived  
533 extinction and EPA corrected OA is displayed in Fig. 6(f). The same filter of high AI was  
534 also applied to AOD-derived extinction to remove BB plumes. Generally, the derived  
535 aerosol extinction had a correlation with IMPROVE OA, but the correlation is not as  
536 good as for the OA estimate with IMPROVE OA. This indicates that the OA estimate  
537 derived from HCHO may be better than AOD at representing the concentrations of OA,  
538 even for the regions where AOD is dominated by OA (Xu et al., 2015).

539



#### 540 **6.4 Comparison to GEOS-Chem OA**

541 Surface OA over the US from a GEOS-Chem simulation for August 2013 is shown in  
542 Fig. 6(c), and the scatter plot of GEOS-Chem OA with IMPROVE OA is in Fig. 6(g).  
543 The GEOS-Chem simulation had a coarser resolution than satellite HCHO data. To be  
544 comparable to the OA estimate, the scatter plot Fig. 6(g) used GEOS-Chem results for the  
545 grid squares that overlap with individual IMPROVE sites. Compared to the OA estimate,  
546 GEOS-Chem OA had a similar correlation coefficient with IMPROVE OA. Although the  
547 GEOS-Chem OA plot appears more scattered, there are many GEOS-Chem data points  
548 close to zero when IMPROVE OA was low, making the overall correlation coefficient  
549 similar to that for the OA estimate. GEOS-Chem under predicted IMPROVE OA more  
550 with a slope of 0.57 compared to the OA estimate. This is consistent with underprediction  
551 of anthropogenic OA in Marais et al. (2016).

552

#### 553 **6.5 OA estimate with different OA-HCHO relationships**

554 In general, OA estimate results from the four cases were similar. The OA proxies from  
555 the four cases (Table 2) were compared to IMPROVE OA and the correlation coefficients  
556 are shown in Fig. 7. Applying CalNex LA Basin in situ data (case 3) to bring down the  
557 OA concentrations of LA resulted in a similar correlation. The uncertainties in  
558 IMPROVE OA measurements may contribute to this. Including the NO<sub>2</sub>-isoprene-  
559 dependent OA and HCHO relationship (case 2) showed a similar (or slightly worse)  
560 correlation between the OA estimate and IMPROVE OA. As the in situ data showed a  
561 NO<sub>2</sub>-isoprene-dependent OA and HCHO relationship, we attributed this to the  
562 uncertainty of isoprene emissions from MEGAN or IMPROVE network measurements.



563 Because separating large urban areas and other regions and applying a chemical region  
564 dependent in situ OA and HCHO relationship did not improve the agreement between the  
565 OA estimate and IMPROVE OA, we used the base case OA and HCHO relationship  
566 (case 1) to derive the OA estimate (shown in Fig. 6).

567

568

#### 569 **6.6 Temporal variation of the agreement between OA estimate and IMPROVE OA**

570 Besides August 2013 (see Fig. 6), the correlations between the OA estimate and  
571 IMPROVE OA for the summer months June-July-August 2008-2013 were also examined  
572 and shown in Fig. 7. Generally, the correlation coefficients between the OA estimate and  
573 IMPROVE OA were  $>0.5$  for summer months of the years investigated. The correlation  
574 coefficients were generally higher in June compared to July and August. The lower  
575 average temperature in June might be related to the higher correlation coefficients.  
576 IMPROVE network aerosol samples were transported at ambient temperature in a truck  
577 and more organic vapors likely evaporated at higher temperature. The different  
578 temperatures and distances from IMPROVE sites to the laboratory may lead to  
579 inhomogeneous evaporation among the samples and result in lower correlation  
580 coefficients. Although higher temperatures in July and August may also lead to more BB,  
581 average aerosol index over the US was not higher in July (mean: 0.35) and August  
582 (mean: 0.36) compared to June (mean: 0.39) for these years. The underlying cause for the  
583 lowest correlation coefficients in July and August 2012 is not clear and may be related  
584 the severe drought in 2012 (Seco et al., 2015). The correlation coefficients were also low  
585 for the linear regressions (not shown) of IMPROVE OA with both GEOS-Chem OA and



586 AOD-derived extinction. Because the lowest correlation coefficients were consistently  
587 observed for multiple OA-related products and not just the OA estimate, we attributed  
588 this to uncertainties in the IMPROVE OA measurements or some unknown bias shared  
589 by the satellite HCHO, GEOS-Chem OA, and satellite AOD.

590  
591

## 592 **6.7 South Korea OA estimate**

593 We attempted to estimate an OA estimate for South Korea, using airborne in situ  
594 measurements of OA and HCHO from the KORUS-AQ field campaign ([https://www-  
595 air.larc.nasa.gov/missions/korus-aq/](https://www-air.larc.nasa.gov/missions/korus-aq/)) and SAO OMI HCHO measurements. The National  
596 Institute of Environmental Research (NIER) ground sites OC measurements during  
597 KORUS-AQ over South Korea could be used to validate the OA estimate. However,  
598 OMI HCHO measurements were below the detection limit (Zhu et al., 2016) in May  
599 2016. Also, there were no OMI data available in June 2016 when airborne measurements  
600 and ground sites OC measurements were available during KORUS-AQ. Because an OA  
601 estimate for South Korea could not be well retrieved and validated, it was not presented  
602 in this study.

603

## 604 **7 Limitations of the OA estimate and future work**

605 Because the OA estimate is based on satellite HCHO data, the detection limit of satellite  
606 HCHO data affects the quality of the OA estimate. Currently, due to the limited  
607 sensitivity of OMI for HCHO, the OA estimate is valid only when high levels of HCHO  
608 are present, such as during summer time and near large HCHO sources. With the new  
609 TROPOMI satellite instrument and future missions TEMPO and GEMS, satellite HCHO



610 measurements will have higher spatial and temporal resolutions and lower detection  
611 limits. These higher quality satellite HCHO measurements will improve our OA estimate  
612 quality and also its spatial and temporal coverage.

613

614 Because the OA estimate uses the relationship of in situ HCHO and OA measurements,  
615 the coverage of in situ aircraft field campaigns will impact the OA estimate quality.  
616 Currently, in situ airborne measurements of OA and HCHO focus on the continental US.  
617 Extending measurements to regions such as Africa BB, South America, and East Asia,  
618 where HCHO and OA have high concentrations, will increase the spatial coverage of the  
619 OA estimate product. Ground site measurements of OA with consistent quality control in  
620 those regions will also be important for validating the OA estimate.

621

622 Improvement of satellite HCHO retrieval during the BB cases will also improve OA  
623 estimate quality. BB cases with high UV aerosol index over the US were excluded in the  
624 current OA estimate analysis. With improvement in the satellite retrieval of HCHO, we  
625 may be able to estimate OA during BB cases over the US. Upcoming field campaigns  
626 such as the Fire Influence on Regional and Global Environments Experiment – Air  
627 Quality (FIREX-AQ) will provide opportunities to improve the analysis of OA estimate  
628 in BB cases in the US.

629

630 This OA estimate method has limitations in remote regions far away from HCHO  
631 sources. Because the lifetimes of HCHO (1-3 hours) and OA (1 week) are different, the  
632 slopes and intercepts between HCHO and OA are expected to change when air masses



633 are aged (e.g. in remote regions). OA vs. HCHO from SEAC<sup>4</sup>RS and KORUS-AQ field  
634 campaigns, color-coded with altitude, are plotted in Fig. S2 (a) and (b), respectively. A  
635 relative depletion of HCHO at high altitudes was observed due to its shorter lifetime.  
636 This also suggests that, at remote regions far away from the sources, the ratios of OA and  
637 HCHO could be much higher and the relationship between OA and HCHO derived near  
638 the sources may no longer apply. On the other hand, the lifetime of 1-3 hrs for HCHO  
639 does not imply that the OA estimate only work within this timescale. HCHO is formed  
640 from oxidation of transported gas phase VOCs, including the oxidation products of the  
641 primary emitted VOCs, as well as of the slower reacting VOCs (e.g. Ethane and  
642 Benzene). Most gas-to-particle oxidation processes that might produce HCHO can last up  
643 to 1-2 days (Palm et al., 2018). Fig. S3 shows the ratios of OA and HCHO did not change  
644 significantly downwind for the Rim Fire plume for about 1 day of aging, which was  
645 determined by the distance from the source and the wind speed. A lower photolysis rate  
646 of HCHO in the plume can also contribute to this. However, we do not expect that the  
647 relationship of OA and HCHO remains past 1-2 boundary layer ventilation cycles (Palm  
648 et al., 2018).

649

650

## 651 **8 Summary**

652 We have developed a satellite-based estimate of the surface OA concentration (“OA  
653 estimate”) based on in situ observations. This estimate is based on the empirical  
654 relationships of in-situ OA and HCHO for several regions. OA and HCHO share VOC  
655 sources with different yields and lifetimes. Using surface OA and HCHO linear



656 regression slopes and intercepts can relate surface HCHO to OA. To estimate the surface  
657 HCHO concentration from satellite HCHO column, we used a vertical distribution factor  
658  $\eta$ , which is largely determined by boundary layer height and surface emissions and found  
659 to reasonably retrieve surface HCHO from column HCHO.

660

661 The OA estimate over the continental US generally correlated well with EPA IMPROVE  
662 network OA measurements corrected for partial evaporation. The good correlations are  
663 not only for the time during SEAC<sup>4</sup>RS but also for most summer months over several  
664 years (2008-2013) investigated. Compared to aerosol extinction derived from AOD, the  
665 OA estimate had slightly higher correlation coefficients with IMPROVE OA. GEOS-  
666 Chem can predict OA with a similar correlation coefficient with IMPROVE OA  
667 compared to the OA estimate when GEOS-Chem was intensively validated with in situ  
668 measurements for Southeast US. Better satellite HCHO data from TROPOMI and future  
669 TEMPO and GEMS and extending spatiotemporal coverage of in situ measurements will  
670 improve the quality and coverage of the OA estimate.

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1224 Tables

1225

1226 Table 1. Linear regression parameters for OA vs. HCHO at low altitudes (&lt;1 km)

1227

	US (SEAC <sup>4</sup> RS)	US (DC3)	US (CalNex)	South Korea (KORUS- AQ)	Wild Fires (SEAC <sup>4</sup> RS)	Agricultural Fires (SEAC <sup>4</sup> RS)	SEAC <sup>4</sup> RS Low NO <sub>2</sub> and Isoprene	SEAC <sup>4</sup> RS high NO <sub>2</sub> and Isoprene
<b>In situ measurements OA v.s. HCHO</b>								
Slope <sup>a</sup>	1.93±0.07	1.30± 0.10	1.34±0.02	2.75±0.05	25.08±0.30	3.22±0.37	1.99±0.07	1.19±0.16
Slope <sup>b</sup> (×10 <sup>-11</sup> )	9.61± 0.34	6.49± 0.49	6.66±0.09	13.71 ± 0.25	125.05 ± 1.49	16.04 ± 1.85	9.94±0.35	5.94±0.80
Intercept <sup>c</sup>	0.34±0.32	1.10±0.30	-0.90±0.06	1.36±0.22	-6.85±2.80	10.41±5.82	-0.96±0.31	1.07±1.01
Correlation coefficient r	0.59	0.76	0.88	0.70	0.97	0.85	0.64	0.44
<b>GEOS- Chem model sampled along the flight track OA v.s. HCHO</b>								
Slope <sup>a</sup>	1.25±0.03			1.39±0.05	0.48±0.05			
Slope (×10 <sup>-11</sup> )	6.21± 0.14			6.95± 0.23	2.37± 0.22			
Intercept	-1.32±0.11			1.88 ± 0.07	0.12±0.03			
Correlation Coefficient r	0.76			0.43	0.53			

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<sup>a</sup>The unit of the slope is g g<sup>-1</sup>.<sup>b</sup>The unit of the slope is pg molec<sup>-1</sup>.<sup>c</sup>The unit of the intercept is μg m<sup>-3</sup>.

The uncertainties are one standard deviation.

1234 Table 2. Methods to estimate OA surface concentrations, based on the choice of slope

1235 and intercept from a linear regression relationship between OA and HCHO data found in

1236 Table 1.

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1 <sup>a</sup>	Using non-BB SEAC <sup>4</sup> RS relationship to represent all continental US
2 <sup>b</sup>	Using NO <sub>2</sub> and isoprene dependent non-BB SEAC <sup>4</sup> RS relationship for all continental US
3	Using the CalNex LA Basin relationship for large urban cites and the non-biomass burning SEAC <sup>4</sup> RS relationship for other US regions
4 <sup>b</sup>	Using the CalNex LA Basin relationship for large urban cites and the NO <sub>2</sub> and isoprene dependent non-BB SEAC <sup>4</sup> RS relationship for other US regions

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1239 <sup>a</sup>SEAC<sup>4</sup>RS was chosen to represent all continental US because it had the largest horizontal and vertical coverage.1240 <sup>b</sup>In methods 2 and 4, when the product of NO<sub>2</sub> column (Sect. 2.3) and surface isoprene emission rate (Sect. 2.4) was1241 above threshold of 5×10<sup>27</sup> molec cm<sup>-2</sup> atom C cm<sup>-2</sup> s<sup>-1</sup>, the slope and intercept from SEAC<sup>4</sup>RS high isoprene and NO<sub>2</sub>1242 conditions were used. When the NO<sub>2</sub> column–isoprene emission product was below that threshold, the slope and1243 intercept from SEAC<sup>4</sup>RS low isoprene and NO<sub>2</sub> conditions were used. Threshold of “Isoprene × NO<sub>2</sub>” is determined by1244 its mean value over southeast US (83° - 96° W and 32° - 35°N). Large urban cities are categorized with high NO<sub>2</sub>1245 vertical columns (>4×10<sup>15</sup> molec cm<sup>-2</sup>) (Tong et al., 2015) based on the satellite NO<sub>2</sub> levels over LA. Isoprene



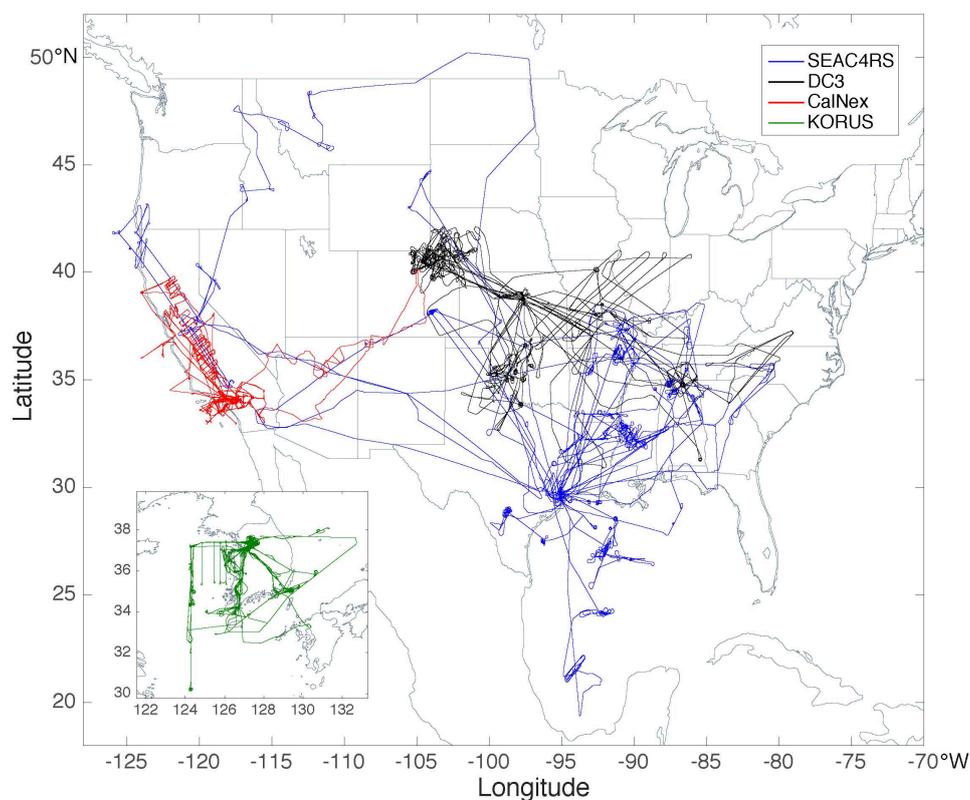
1246 emissions instead of concentrations are used because global models use isoprene emission inventory to simulate  
1247 isoprene concentrations and isoprene emission inventory is easier to access. Since isoprene has a short-lifetime of up to  
1248 a few hours (Guenther et al., 2006), the emissions have a similar spatiotemporal distribution as the concentrations.  
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1251 Figures

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1255 Figure 1. Flight tracks of airborne field campaigns SEAC<sup>4</sup>RS (blue), DC3 (black),

1256 CalNex (red) and KORUS-AQ (green), of which in situ OA and HCHO measurements

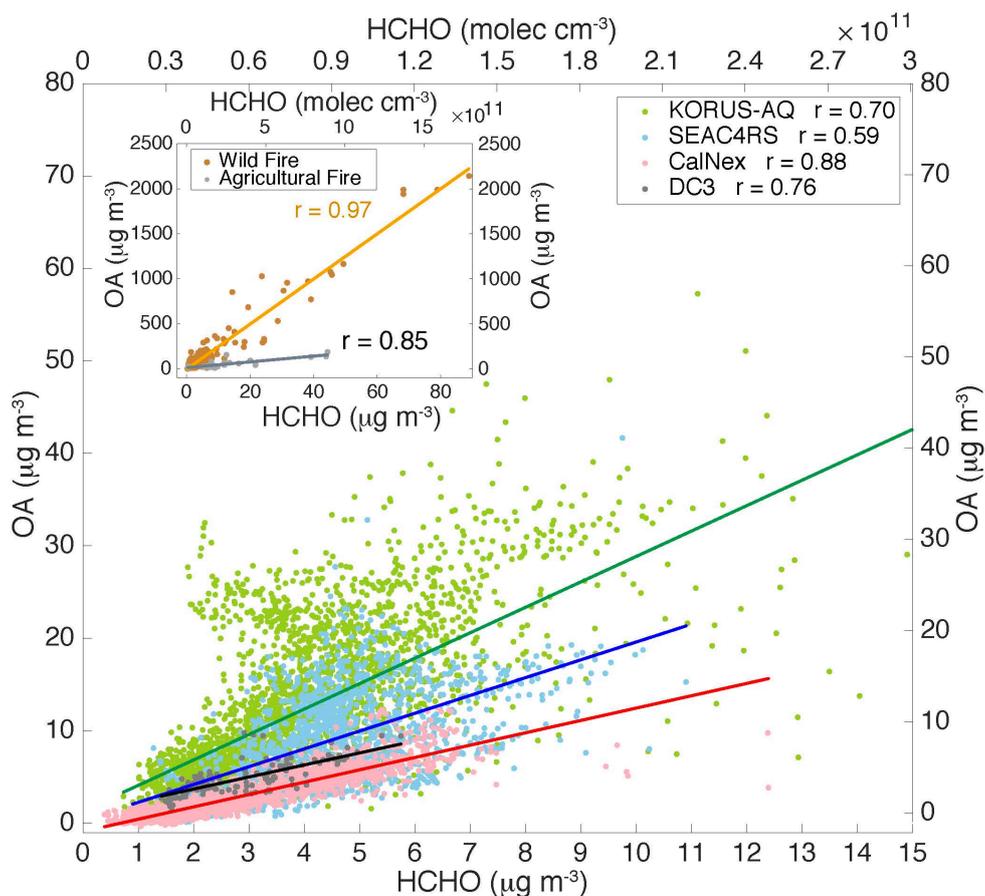
1257 were used.

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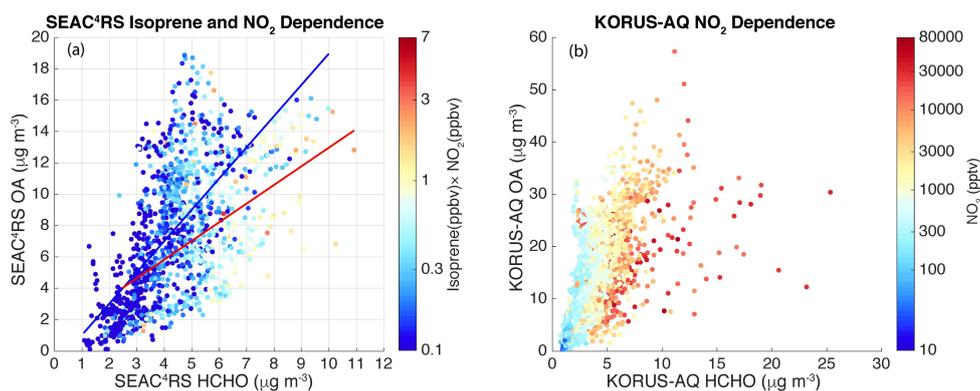
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Figure 2

Scatter plots of in situ OA ( $\mu\text{g m}^{-3}$ ) vs. HCHO ( $\mu\text{g m}^{-3}$  or  $\text{molec cm}^{-3}$ ) from SEAC<sup>4</sup>RS (excluding biomass burning) (blue), DC3 (dark grey), CalNex (pink), and KORUS-AQ (green) low altitude (< 1 km) data. Inset shows wildfire (brown), and agricultural fire (grey) SEAC<sup>4</sup>RS data. SEAC<sup>4</sup>RS biomass burning cases are defined as acetonitrile > 200 pptv. The linear regression fits are shown as the darker lines and correlation coefficients are provided.



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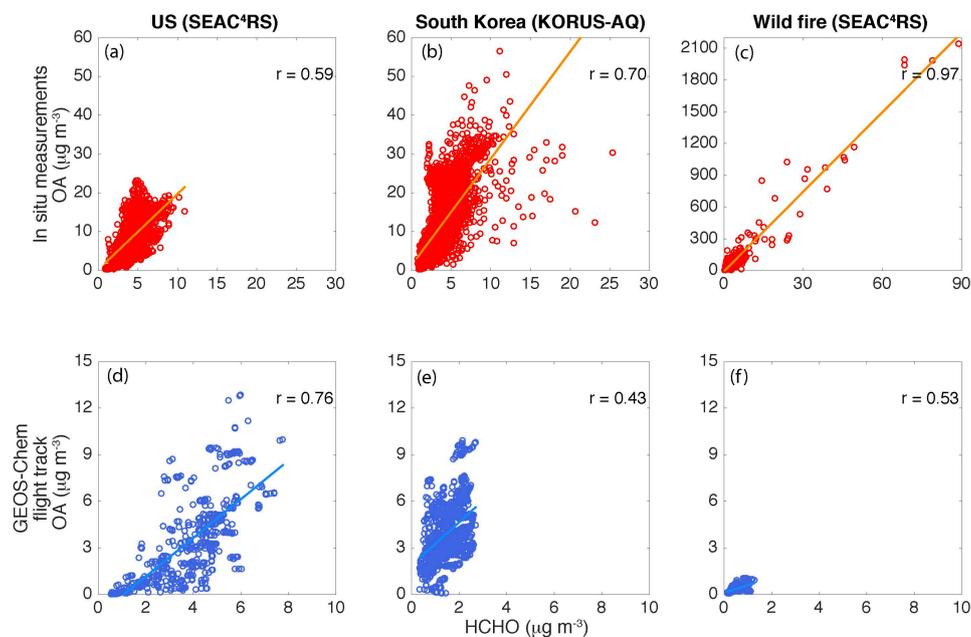
1278 Figure 3. (a) A scatter plot of OA vs. HCHO for SEAC<sup>4</sup>RS non-biomass burning low1279 altitude data color-coded with the product of NO<sub>2</sub> and isoprene in log scale. The red and1280 blue lines are the linear regression fits of high (> 0.5) and low (< 0.5) product of NO<sub>2</sub>

1281 (ppbv) and isoprene (ppbv), respectively. (b) A scatter plot of OA vs. HCHO for

1282 KORUS-AQ data color-coded by log(NO<sub>2</sub>).

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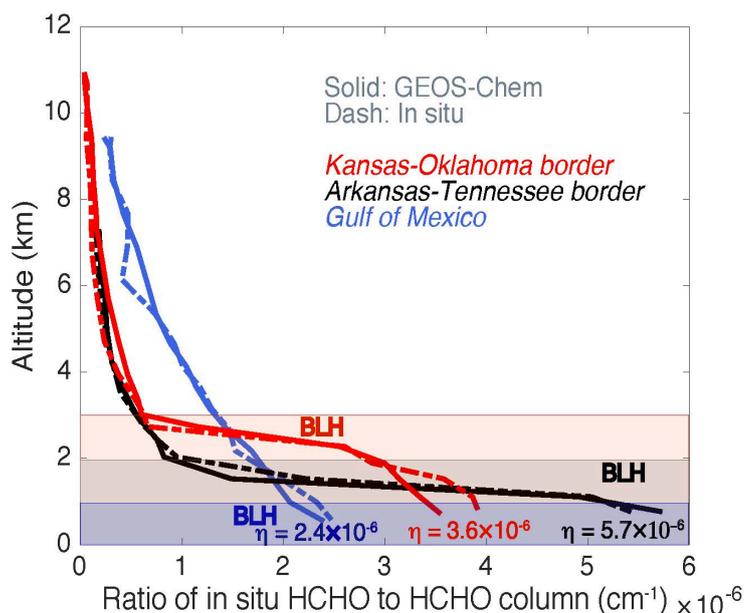
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1287 Figure 4 Scatter plots of OA vs. HCHO for US (SEAC<sup>4</sup>RS altitude < 1 km non-biomass1288 burning), South Korea (KORUS-AQ altitude < 1 km) and wildfire (SEAC<sup>4</sup>RS) from in

1289 situ measurements (a, b, c) and GEOS-Chem outputs sampled along the flight tracks

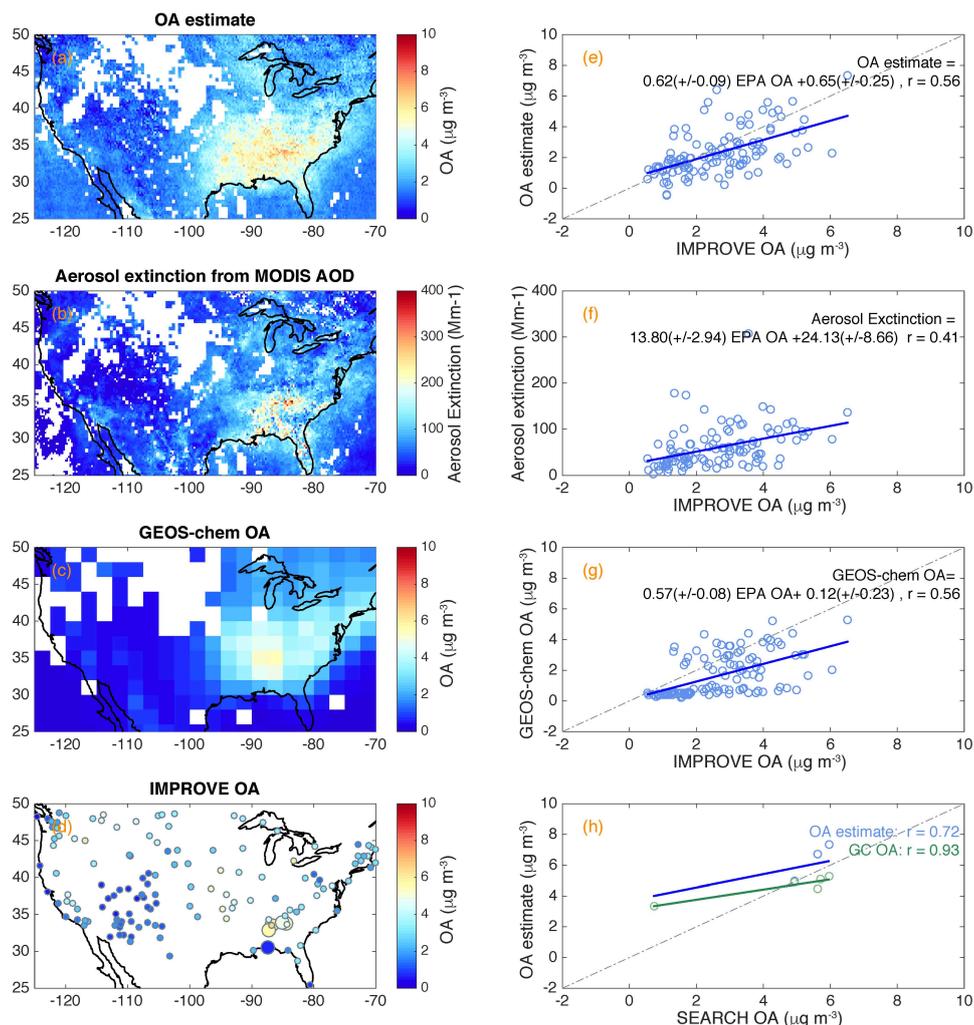
1290 (d,e,f).

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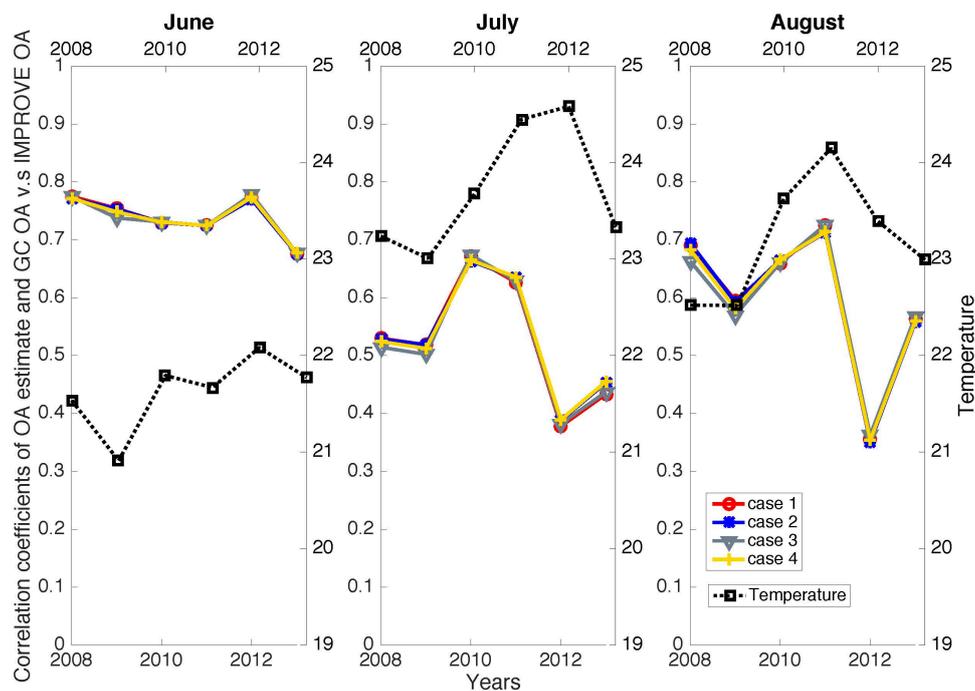
Figure 5. Three typical vertical profiles of the ratio of in situ HCHO concentrations ( $\text{molec cm}^{-3}$ ) to integrated HCHO column from SEAC<sup>4</sup>RS flight track. These three profiles were located at Kansas-Oklahoma border (red), Arkansas-Tennessee border (black), and Gulf of Mexico (blue). Solid curves were from GEOS-Chem results and the dashed were from ISAF measurements. HCHO columns were integrated HCHO concentrations of these vertical profiles extrapolated from 0 to 10 km, assuming the HCHO below and above the measured HCHO vertical profiles were the same as the HCHO at the lowest and highest altitudes sampled, respectively. The boundary layer heights (BLH) of these three profiles were plotted by the shaded areas.



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 1305 Figure 6. (a) The maps of (a) surface OA estimate (Case 1), (b) surface aerosol extinction  
 1306 derived from MODIS AOD, (c) GEOS-Chem simulated surface OA, and (d) EPA  
 1307 IMPROVE (small dots) and SEARCH (large dots) network ground sites color coded with  
 1308 OA concentrations for August 2013. The scatter plots of (e) surface OA estimate, (f)  
 1309 surface aerosol extinction derived from MODIS AOD, and (g) surface GEOS-Chem OA  
 1310 vs. EPA IMPROVE network ground sites OA. IMPROVE sites OA were corrected for  
 1311 evaporation. (h) The scatter plots of surface OA estimate and GEOS-Chem OA vs.  
 1312 SEARCH network ground sites OA for August 2013. GEOS-Chem OA and OA estimate  
 1313 did not have good correlations with SEARCH OA for other years (SI). For the scatter  
 1314 plots, linear regressions are shown (blue and green lines) and regression equations and  
 1315 correlation coefficients for the scatter plots are listed. The dashed lines in the scatter plots  
 1316 indicate the 1 : 1 line. Biomass burning data (UV aerosol index > 1.6) were excluded in  
 1317 all panels.  
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Figure 7. The correlation coefficients of the linear regression between the OA estimate from 4 cases (red, blue, gray, and yellow) vs. EPA-corrected OA from 2008- 2013 for June, July, and August. The monthly average ambient temperature is in black.