



- 1 Top-down estimates of black carbon emissions at high
- 2 latitudes using an atmospheric transport model and a
- **Bayesian inversion framework**
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12 Abstract

13 This paper presents the results of BC inversions at high northern latitudes (>50°N) for 14 the 2013–2015 period. A sensitivity analysis was performed to select the best representative 15 species for BC and the best prior emission dataset. The same model ensemble was used to 16 assess the uncertainty of the posterior emissions of BC due to scavenging and removal and 17 due to the use of different prior emission inventory. The optimised emissions of BC were high 18 close to the gas flaring regions in Russia and in Western Canada (Alberta), where numerous 19 power and oil/gas production industries operate. The annual posterior emissions of BC at latitudes above 50°N were estimated as 560±171 kt yr⁻¹, significantly smaller than in 20 ECLIPSEv5 (745 kt yr⁻¹), which was used and the prior information in the inversions of BC. 21 22 The average relative uncertainty of the inversions was estimated to be 30%.

23 Posterior concentrations of BC simulated over Arctic regions were compared with 24 independent observations from flight and ship campaigns showing, in all cases, smaller bias, 25 which in turn witnesses the success of the inversion. Posterior emissions of BC in North 26 America are driven by anthropogenic sources, while biomass burning appeared to be less 27 significant as it is also confirmed by satellite products. In North Europe, posterior emissions 28 were estimated to be half compared to the prior ones, with the highest releases to be in 29 megacities and due to biomass burning in Eastern Europe. The largest emissions of BC in 30 Siberia were calculated along the transect between Yekaterinsburg and Chelyabinsk. Flaring 31 emissions in Nenets-Komi oblast (Russia) were estimated to be much lower than in the prior 32 emissions, while in Khanty-Mansiysk (Russia) they remained the same after the inversions of 33 BC. Increased emissions in the borders between Russia and Mongolia are probably due to 34 biomass burning in villages along the Trans-Siberian Railway.





36 **1** Introduction

37 Light absorbing components, such as black carbon (BC), is the main of atmospheric 38 particulate matter, affecting air quality, weather and climate. BC originates from the 39 incomplete combustion of fossil fuels (primarily coal and diesel) as well as from the burning 40 of biomass and biofuels. BC particles affect cloud formation and precipitation as they act as cloud condensation nuclei in their hydrophilic form (Wang et al., 2016). BC is also a major 41 42 driver of climate change contributing to global warming with a radiative forcing at the top of the atmosphere ranging between 0.17 and 0.71 W m⁻² (Bond et al., 2013; Myhre et al., 2013; 43 44 Wang et al., 2014). BC deposited in Arctic snow surfaces in concentrations of up to 30 ng g⁻¹ 45 can reduce snow albedo by 1-3% (Hegg et al., 2009) in fresh snow and up to 3 times more as snow ages and the BC particles become more concentrated (Clarke and Noone, 1985). 46 47 Airborne BC also warms the air and reduces tropical cloudiness by absorbing the incoming 48 solar radiation (Ackerman, 2000). It also reduces atmospheric visibility and increases aerosol 49 optical depth (Jinhuan and Liquan, 2000). From a health perspective, BC particles, generally 50 being sub-micron in size, can penetrate into the lungs and cause pulmonary diseases (e.g., 51 Wang et al., 2014).

52 To improve understanding about how BC affects climate and to develop effective policies to tackle BC's associated environmental problems requires accurate knowledge of the 53 54 emissions and their spatiotemporal distribution. Most commonly, BC emission inventory 55 datasets are built by "bottom up" approaches, which are based on activity data and emission 56 factors and proxy information for spatial disaggregation, but these methods are considered to 57 have large uncertainties (Cao et al., 2006). Numerous global or regional emission inventories 58 of BC have been constructed previously (Bond et al., 2004; Schaap et al., 2004; Streets et al., 59 2003); nevertheless, emission uncertainties contribute significantly to the overall uncertainty 60 of modelled concentrations of BC. Emission uncertainties affect even more significantly 61 regional/episodic simulations, as in many cases emissions deviate from the annual mean. 62 Such studies represent a useful tool to improve our understanding of the relationship between 63 observed concentrations of BC and BC emissions. Furthermore, BC emissions have their 64 most pronounced effect on the regional scale due to the relatively short atmospheric lifetime 65 of BC (Hodnebrog et al., 2014; Samset et al., 2014),.

The relative differences between different emission inventories are largest for the high latitudes (AMAP, 2015) and particularly in high-latitude Russia where emission information is poor. For this area, a new satellite-based high-resolution inventory showed that BC emissions from Biomass Burning (BB) might have been 3.5 times higher than emissions





70 given in the Global Fire Emissions Database (GFEDv4) (Hao et al., 2016), if more realistic 71 emission factors are used (May et al., 2014). Furthermore, new sources of BC in the same 72 area have been identified recently. For example, emissions from gas flaring by the oil industry 73 have been missing from most emission inventories and may be an important source of BC at 74 high latitudes (Stohl et al., 2013). For instance, in 2008 Russia was responsible for nearly one 75 third of the gas flared globally (Elvidge et al., 2009). However, the gas flaring source is 76 highly uncertain. For example, based on isotopic measurements, Winiger et al. (2017) 77 reported recently that the contribution from gas flaring to BC measured at Tiksi in Siberia is 78 lower than estimated by Stohl et al. (2013), while recently published bottom-up inventories 79 (Huang et al., 2015; Huang and Fu, 2016) suggested even higher gas flaring emissions. 80 Finally, Popovicheva et al. (2017) reported that one existing emission dataset of BC captured 81 surface concentrations in the Russian Arctic quite efficiently.

82 In this study, we estimated the BC emissions at high northern latitudes using 83 atmospheric observations of BC in a Bayesian inversion framework. Emissions were estimated for the region north of 50°N because this is the region with the largest influence on 84 85 Arctic surface concentrations (Klonecki, 2003; Stohl, 2006). We determine the emissions 86 with monthly time resolution for the years 2013, 2014 and 2015. We first describe the 87 observation data, the transport model and the Bayesian inversion technique used, as well as 88 the prior emission information. We then assess the sensitivity of the transport model to 89 different scavenging coefficients (below-cloud and in-cloud) for BC and to different emission 90 inventories. We finally present optimised BC emissions, discuss these results in comparison 91 with independent estimates and calculate the uncertainty of the inversions with respect to 92 different scavenging parameters used for BC and using four different prior emission datasets.

93 2 Methodology

94 2.1 Observation network

Atmospheric observations of BC were retrieved from the World Data Centre for Aerosols (<u>http://ebas.nilu.no</u>) and from the International Arctic Systems For Observing The Atmosphere (<u>http://www.esrl.noaa.gov/psd/iasoa/</u>). An overview of the stations used in this paper can be found in Table 1 and Figure 1a–c. The selected measurements were performed with different types of instruments that may differ substantially. When measurements are based on light absorption we refer to Equivalent BC (EBC), while measurements based on thermal-optical methods refer to elemental carbon (EC) (Petzold et al., 2013).





102 At Alert (ALT), Appalachian (APP), Asprveten (ASP), Birkenes (BIR), East Trout 103 Lake (ETL), South Great Planes (SGP), Steamboat Springs (COL), Trinidad Head (TRI) and 104 Whistler (WHI) measurements were performed with particle soot absorption photometers 105 (PSAPs). At Annaberg-Buchholz (ANB), Bösel (BOS), Cabauw Zijdeweg (CAB), Hyytiälä (HYY), Leipzig (LEI), Melpitz (MEL), Nepal Climate Observatory (NEP), Pallas (PAL), Ústí 106 n.L.-mesto (ULM) and Waldhof (WLD) the particle light absorption coefficient was 107 108 measured by multi-angle absorption photometers (MAAP; Petzold and Schönlinner, 2004), 109 which are in excellent agreement with other particle light absorption photometers such as a photoacoustic sensor (e.g., Muller et al., 2011). In the MAAP instrument, particles are 110 continuously sampled on filter tape, with loaded spots subsequently analysed by Raman 111 112 spectroscopy to derive the particle mass concentration of soot (Nordmann et al., 2013). The 113 cut-off sizes of the different MAAP instruments varied between 1 and 10 µm. Continuous 114 light absorption photometers (CLAP, Model PSAP; 565 nm) were used at Barrow (BAR), 115 Bondville (BON), Gosan (GOS), K-puszta (KPU), BEO Moussala (MOU) and Summit (SUM). Although these instruments were calibrated to measure the aerosol absorption 116 coefficient, a previous study at this site revealed that a value of 10 m² g⁻¹ is a reasonable 117 conversion factor to determine the BC concentration (Gelencsér et al., 2000). Aethalometers 118 119 were used at Tiksi (TIK) and Zeppelin (ZEP).

All these stations measure the particle light absorption coefficients of different size fractions of the aerosol at wavelengths around 530–550 nm. Then the light absorption coefficients are converted to EBC mass concentrations under certain assumptions (Petzold et al., 2013). This is done externally for instruments such as MAAP, CLAP, PSAP etc. using a mass absorption efficiency of 10 m² g⁻¹ (Bond and Bergstrom, 2006). For aethalometers, the conversion is done internally by the instrument. All station measurements are routinely filtered to remove influence from local sources.

127 2.2 Source – Receptor Relationships (SRRs)

We used the Lagrangian Particle Dispersion Model (LPDM), FLEXible PARTicle dispersion model (FLEXPART) (Stohl et al., 1998, 2005) to model atmospheric transport. Using LPDMs to model particle or trace gas concentrations has several advantages over Eulerian models, namely they can have quasi-infinite resolution and they are not subject to numerical diffusion. Thus they can provide better resolved source-receptor relationship (SRR) fields, which describe the relationship between the sensitivity of a "receptor" to a "source"





element, as described by Seibert and Frank (2004). SRRs for the lowest model level are oftencalled footprint emission sensitivities or even just footprints.

136 SRRs were calculated using FLEXPART version 10 in a backwards mode (see Stohl et al., 2005) in which computational particles are released backward in time from the 137 138 observation sites (receptors). When the number of observation sites is smaller than the 139 number of unknown flux grid cells this mode is computationally more efficient than forward 140 calculations. Furthermore, backward simulations can be initiated exactly at the measurement 141 point without initial diffusion of information into a grid cell. This important advantage of 142 LPDMs also facilitates high spatial resolution of the model output around the measurement 143 sites. As meteorological input data, European Centre for Medium-Range Weather Forecasts 144 operational meteorological analyses were used with 137 vertical levels and a horizontal 145 resolution of 0.5°×0.5°. Retroplumes were calculated at hourly intervals at each of the receptors. 40,000 particles for each retroplume were released and followed 30 days backwards 146 147 in time. This should be a sufficiently long time in order to include almost all contributions to 148 BC concentration at the receptor given the atmospheric lifetime of BC (in the range of 2-10 149 days, Benkovitz et al., 2004; Koch and Hansen, 2005; Park et al., 2005; Textor et al., 2006).

150 The treatment of scavenging is a major uncertainty for modelling BC (Browse et al., 2012). Therefore, an ensemble of 12 model simulations was performed each with different 151 BC tracers having different in-cloud and below-cloud scavenging properties (Table 2). This 152 153 method allows the sensitivity of the SRRs (produced by FLEXPART) to scavenging to be 154 quantified. Table 2 shows the different below-cloud and in-cloud scavenging parameters 155 used within the model in the sensitivity runs. For all tracers, we assumed a logarithmic size distribution with an aerodynamic mean diameter of 0.25 µm, a logarithmic standard deviation 156 of 0.3 and a particle density of 1500 kg m⁻³ (Long et al., 2013). The dry deposition scheme in 157 158 FLEXPART is based on the resistance analogy (Slinn 1982). The present version of the 159 model uses the precipitation rate from ECMWF to determine below-cloud scavenging and the 160 cloud liquid water and ice content, precipitation rate and cloud depth from ECMWF to 161 calculate in-cloud scavenging (see Grythe et al., 2017).

162 The SRR at the lowest model layer (in seconds) (Figure 1g–i) can be multiplied with 163 gridded emission fluxes from a BC emission inventory (in kg m⁻² s⁻¹) distributed over the 164 layer depth (100 m). This gives the prior concentration of BC at the receptor point (in ng m⁻³).





165 **2.3 Bayesian inversion**

The inversion methodology used in the present study, FLEXINVERT, is described fully in Thompson and Stohl (2014) and has been already used in studies of CH₄, HFC-125, HFC-134a and SF₆ (Brunner et al., 2017; Thompson et al., 2015, 2017). Since atmospheric transport and deposition are linear operations, they can be described as a Jacobian matrix of SRRs (**H**). The BC concentrations (**y**) can then be modelled given an estimate of the emissions (**x**) as follows:

172 $\mathbf{y} = \mathbf{H}(\mathbf{x}) + \boldsymbol{\varepsilon}$ (1)

173 where ε is an error associated with model representation, such as the modelled transport and 174 deposition as well as the measurements. Since **H** is generally not invertible (or may have no 175 unique inverse), statistical optimization methods are used, which require prior information for 176 regularization. According to Bayesian statistics, the problem can be expressed as the 177 maximization of the probability density function of the emissions given the prior information 178 and observations and is equivalent to finding the minimum of the cost function:

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$$\mathbf{J}(\mathbf{x}) = \frac{1}{2} (\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1} (\mathbf{x} - \mathbf{x}_b) + \frac{1}{2} (\mathbf{y} - \mathbf{H}\mathbf{x})^T \mathbf{R}^{-1} (\mathbf{y} - \mathbf{H}\mathbf{x})$$
(2)

where **B** and **R** are the error covariance matrices for the prior emissions and the observations, respectively. The error in the observation space also accounts for model representation errors that are not related to the BC emissions. The emissions that minimize the cost function can be found by solving the first order derivative of equation (2). Hence, the following equation can be derived for the most probable emissions, x (for details see e.g. Tarantola, 2005):

185 $\mathbf{x} = \mathbf{x}_b + \mathbf{B}\mathbf{H}^{\mathrm{T}}(\mathbf{H}\mathbf{B}\mathbf{H}^{\mathrm{T}} + \mathbf{R})^{-1}(\mathbf{y} - \mathbf{H}\mathbf{x}_b)$ (3)

186 In this study, the state vector contains the monthly unknown surface emissions on the 187 grid of variable resolution (Figure 1d-f) and has a resolution of between $1.0^{\circ} \times 1.0^{\circ}$ and $8.0^{\circ} \times 8.0^{\circ}$. The total number of emission variables to be determined was 1422 for 2013, 1404 188 for 2014 and 1436 for 2015. The posterior error covariance matrix, A, is equivalent to the 189 190 inverse of the second derivative of the cost function. However, to account for the uncertainty 191 in the scavenging parameters and different prior information, we instead conduct ensemble of 192 inversions to estimate the posterior uncertainty in order to account for the systematic errors. 193 To do this, we conduct the inversion for BC represented by 12 different scavenging 194 coefficients (see Table 2) and for four different prior emission datasets, and do this for each of 195 the three years of our study (2013–2015). The resulting model ensemble $(12 \times 4 = 48)$ for each 196 year defines the posterior uncertainty due to scavenging and use of different a priori 197 information (section 3.3).





Since negative values for the posterior emissions are mathematically possible but physically unlikely, we applied a subsequent inequality constraint on the emissions following the method of Thacker (2007). This is a truncated Gaussian approach in which inequality constraints are applied as error-free "observations":

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$\widehat{\mathbf{x}} = \mathbf{x} + \mathbf{A}\mathbf{P}^{\mathrm{T}}(\mathbf{P}\mathbf{A}\mathbf{P}^{\mathrm{T}})^{-1}(\mathbf{c} - \mathbf{P}\mathbf{x})$ (4)

where **A** is the posterior error covariance matrix, **P** is a matrix operator to select the variables that violate the inequality constraint, and c is a vector of the inequality constraint, which in this case is zero.

The emissions were solved on an irregular grid, which has been optimized based on the SRRs to give higher resolution $(1.0^{\circ} \times 1.0^{\circ})$ in regions where there is strong contribution from emission sources to BC concentrations and lower $(8.0^{\circ} \times 8.0^{\circ})$ where there is a weak contribution (Stohl et al., 2009). Then, the results are interpolated onto a uniform grid of $1.0^{\circ} \times 1.0^{\circ}$ resolution from 180° W to 180° E and 50° N to 90° N and are given at monthly time resolution for 2013, 2014 and 2015. To constrain emissions of BC monthly, a temporal correlation scale length between flux time-steps equal to 90 days was set.

213 2.4 A priori emission information

In the present study, the emission inventories ECLIPSE (Evaluating the CLimate and
 Air Quality ImPacts of ShortlivEd Pollutants) version 5 (Klimont et al., 2017) (available here:
 <a href="http://www.iiasa.ac.at/web/home/research/resea

217 EDGAR (Emissions Database for Global Atmospheric Research) version HTAP V2.2 218 (Janssens-Maenhout et al., 2015) (available here: 219 http://edgar.jrc.ec.europa.eu/methodology.php#), ACCMIP (Emissions for Atmospheric 220 Chemistry and Climate Model Intercomparison Project) version 5 (Lamarque et al., 2013) 221 http://accent.aero.jussieu.fr/ACCMIP metadata.php) and MACCity (available here: 222 (Monitoring Atmospheric Composition & Climate / megaCITY - Zoom for the ENvironment) (Wang et al., 2014) (available here: http://accent.aero.jussieu.fr/MACC metadata.php) were 223 224 used as the prior emission estimates of BC (see Figure 2).

The ECLIPSE emission inventory (Figure 2a) accounts for waste burning, industrial combustion and processing, surface transportation that also includes power plants, energy conversion and extraction that also includes gas flaring, residential and commercial combustion.

The HTAP_V2 dataset (Figure 2b) consists of high-resolution gridded emissions of BC based on nationally reported emissions combined with regional scientific inventories. It





includes the sources of aviation, inland waterways and marine shipping, energy production
other than electricity generation, industrial processes, solvent production and application,
electricity generation, ground transport, buildings heating, cooling, equipment, and waste
disposal or incineration.

235 The ACCMIP simulations use the BC emission inventory covering the historical period 236 (1850-2000) provided by (Lamarque et al., 2010), which is built for the climate model 237 simulations in CMIP5 (Figure 2c). Anthropogenic emissions are mainly based on Bond et al. 238 (2004) but apply new emission factors. The year 2000 dataset was used for harmonization 239 with the future emissions determined by Integrated Assessment Models (IAMs) for the four 240 Representative Concentration Pathways (RCP4.5, RCP6, and RCP8.5). They include 241 emissions from energy production and distribution, industry (combustion and non-242 combustion), transportation, maritime transport and aviation, residential and commercial 243 combustion and solvent extraction, agricultural production and waste treatment.

MACCity (Figure 2d) was built as an extension of the historical emissions dataset of ACCMIP. It provides monthly averaged sectorial emissions for each year during the 1960-2010 period. This dataset was based on the decadal ACCMIP emissions for 1960-2000 and the 2005 and 2010 emissions provided by RCP 8.5. This scenario was chosen since it included some information on recent emissions at the regional scale in Europe and North America. The emission sectors are consistent with Lamarque et al. (2010).

Emissions from biomass burning were adopted from the Global Fire Emissions Database, Version 4 (GFEDv4) (Giglio et al., 2013) and implemented to each of the four emission inventories for 2013, 2014 and 2015. Emissions from gas flaring are only included in ECLIPSEv5 inventory.

254 3 Results

3.1 Sensitivity to scavenging and selection of the best representative species for BC

The comparison of the simulated and observed concentrations for the 12 different BC tracers (see Table 2) is shown in Taylor diagrams in Figure 3 for ECLIPSEv5 and in Figure S 1 for ACCMIPv5, EDGAR_HTAPv2.2 and MACCity, only for those stations that had continuous measurements for all the years of our study (2013–2015) namely ZEP, SUM, TIK, BAR, PAL, CAB, MEL and LEI (see Table 1). For all the different BC species,





262 concentrations of BC were calculated using the FLEXPART SRR and the four different263 emission datasets for 2013, 2014 and 2015.

264 Correlations of modelled and observed surface concentrations of BC were high (>0.5)only at stations ALT, MEL and LEI that present low NSD values (<1) and low nRMSE 265 266 values. All NSD values were below 1.5 except at TIK and ULM stations (see Figure 3 and 267 Figure S 1). In general, dispersion models fail to reproduce BC concentrations close to TIK 268 station (Eckhardt et al., 2015; Evangeliou et al., 2016), as the station has been reported to 269 receive pollution from local anthropogenic sources (Asmi et al., 2016). ULM station is 270 located on the border between Germany and the Czech Republic and was shown previously to 271 be strongly affected by BC emissions from residential combustion sources (Schladitz et al., 2015). The model-observation mismatches ([model - observations]/observations) due to 272 273 the use of 12 different species for BC can be seen in Figure S 2 for the years 2013, 2014 and 274 2015. These values are average concentrations from the use of the four different emissions 275 inventories (ECLIPSEv5, ACCMIPv5, EDGAR HTAPv2.2 and MACCity). The extreme 276 perturbation of the scavenging coefficients of BC caused an average relative model-277 observation mismatch (normalised against observations) of about 39% in 2013 (Figure S 2) at 278 all stations.

279 Similar to 2013, 12 species with different scavenging parameters were used for BC 280 following Table 2 in 2014 and the comparison with observations is shown in Taylor diagrams in Figure 3 using ECLIPSEv5 emissions and in Figure S 1 using ACCMIPv5, 281 282 EDGAR HTAPv2.2 and MACCity for the common stations. The comparison of surface 283 simulated concentrations with observations showed NSD values above one, high nRMSE 284 values and correlation coefficients below 0.5 in at most of the stations. The main difference 285 from year 2013 is that the model-observation mismatches for the surface concentrations of the 286 12 BC species was estimated to be 32% in 2014 (Figure S 2), in contrast to 39% in 2013. The 287 same deficiency of the model to capture the spring and summer concentrations of BC was 288 observed. The calculated mismatches were very low in at most of the lower latitude stations 289 and increased towards the remote Arctic ones (Figure S 2).

Finally, in 2015 the comparison of surface concentrations for each of the 12 different BC species using the four different datasets (ECLIPSEv5, ACCMIPv5, EDGAR_HTAPv2.2 and MACCity) with observations showed again the same pattern as in the previous years with most of the NSD values to be above unity, high nRMSE values and low Pearson coefficients (Figure 3 and Figure S 1). The model-observation mismatches of BC concentrations (Figure S 2) were estimated as high as 43% for the stations where full measurements existed for the





three years of the study (2013–2015). Like in the previous years, the model failed to reproduce surface concentrations of BC at some of the remote stations of the Arctic.

We used the NMSE (Normalised Mean Square Error) to select the most representative BC tracer species. The NMSE is an estimator of the overall deviations between predicted and measured values. It is defined as:

301 $NMSE = \frac{1}{N} \sum_{i} \frac{(O_i - P_i)^2}{\overline{P_i O_i}}$ (5)

302 where O_i and P_i are observed and predicted concentrations, N the number of observations for 303 which we assess the predicted values, while the overbar indicates the mean over the number 304 of observations for O_i and P_i . Contrary to the relative mismatches, in the NMSE the squared 305 deviations (absolute values) are summed instead of the differences. For this reason, the 306 NMSE generally shows the most striking differences among models. NMSE is a highly selective statistical quantity that can give large differences between models that perform 307 308 similarly for other statistical measures. The lower the NMSE value, the better the 309 performance of the model. On the other hand, high NMSE values do not necessarily mean 310 that a model is completely wrong as the errors could be due to shifts in time and/or space. 311 Moreover, it must be pointed out that NMSE is sensitive to outliers (Poli and Cirillo, 1993).

312 The calculated monthly average NMSE values for the 12 species using ECLIPSEv5 as 313 the emission input can be seen in Figure 4 for year 2013-2015. The different scavenging 314 coefficients used did not create a large variation in the monthly concentrations of BC. The 315 best performance for the majority of the stations examined and most months was obtained for 316 species 1, 2 and 10 (see Table 2). In terms of model response over the Arctic stations, a better 317 performance was achieved for species 1 than for the other two. Therefore, we have chosen species 1 as our reference species for all subsequent analyses and the inversions. It should be 318 319 noted here that the same test was performed using ACCMIPv5, EDGAR HTAPv2.2 and 320 MACCity emissions. Although the results were worse, the best-performed species for BC 321 were again 1, 2 and 10.

322 323

3.2 Sensitivity to different prior information and selection of the best prior emission inventory

In this section we assess the impact of using the different prior emission inventories for BC and select the most appropriate one for our BC inversions. For this analysis, the best performing species 1 for BC (see Table 2) was chosen and the monthly relative model – observation mismatches (([model – observations]/observations) for all stations and years separated were calculated using all four inventories and are depicted in Figure S 3.





329 The largest monthly relative model-observation mismatches for the a priori simulated 330 concentrations of BC in 2013 were calculated for stations located close to 50°N (BOS, CAB 331 MEL, LEI, ULM, ANB). The average model-observation mismatch for all stations was 15% 332 for 2013. Similar results were found for 2014 for the prior simulated BC concentrations with 333 the largest relative mismatches recorded at mid-latitude stations where the BC concentrations 334 were very high due to large anthropogenic emissions (BOS, CAB, MEL, LEI). On average, 335 the relative model-observation mismatch was as high as 23% for the year 2014. Finally, in 2015, again the highest monthly relative mismatches of the a priori BC concentrations were 336 337 estimated for the stations of high anthropogenic influence (CAB, MEL, LEI, WLD). The 338 average relative model-observation mismatch in 2015 was only 19%, much lower than all 339 previous years. The fact that all prior emission datasets used failed to reproduce the 340 observations in central Europe during all years studied (2013, 2014 and 2015), whereas other 341 stations at mid-latitudes were reproduced well, might imply either missing sources or highly 342 uncertain measurements (Figure S 3). The use of different emission dataset changes simulated 343 concentrations by 23% at maximum.

344 NMSE values calculated for each of the four emission inventories were very low at the 345 majority of the stations for which data existed in all the years of study (ZEP, SUM, TIK, BAR, MEL and LEI), when ECLIPSEv5 emissions were used, while at PAL all emission 346 347 datasets performed well (Figure 5). At most of the Arctic stations, the simulations using 348 ECLIPSEv5 reproduced the observations better compared to the other inventories examined. 349 This shows that the most appropriate emission dataset for our purpose is the ECLIPSEv5 350 inventory, as it is the only one that can capture the characteristically elevated concentrations 351 of BC in the Arctic, which persist until spring, and are caused by anthropogenic emissions (Law and Stohl, 2007). A significant deficiency is found for TIK for reasons that were 352 353 explained earlier (see section 3.1).

354

4 3.3 Optimised (a posteriori) emissions of BC and associated uncertainty

The optimised annual emissions of BC together with the associated posterior gridded uncertainty and the difference between posterior and prior emissions averaged for the 2013– 2015 period can be seen in Figure 6. The posterior emissions were calculated for the best performing species (species 1) of BC and the best prior emissions inventory (ECLIPSEv5). The total posterior uncertainty was calculated as the standard deviation of the posterior emissions calculated for the 12 BC species with different scavenging coefficients for four different emission datasets as prior information for each of the three years (12×4=48





362 inversions, see section 2.2). The total uncertainty is a combination of the deposition uncertainty (represented by the posterior emissions using 12 perturbed BC species with 363 364 different scavenging coefficients) and the uncertainty due to the use of different prior information (represented by the posterior emissions using the four different emission 365 366 datasets). Table 3 reports annual prior and posterior emissions of BC for different regions and 367 average emissions for the period 2013-2015. Five different regions are accounted for, namely 368 North America, North Europe (including European Russia), North Siberia, Nenets-Komi 369 (Russia) and Khanty-Mansivsk district (Russia).

370 The optimised emissions show some constant hot-spot areas that persist throughout all 371 three years and which are attributed to anthropogenic emissions of BC. For instance, 372 emissions in the Nenets – Komi region close to the Yamal peninsula in Russia or in Khanty 373 Mansiysk region of Northwestern Siberia have been reported to originate to a large extent from gas flaring (Popovicheva et al., 2017; Stohl et al., 2013; Winiger et al., 2017). Other 374 375 areas that are characterised by large anthropogenic emissions are in Western Canada 376 (Alberta), where more than 100 power industries burn fossil fuels and more than 50 oil and 377 gas production and oil refining industries operate. In addition, one of the largest oil sands 378 deposits are found in Northern Alberta and in the McMurray area, which contains about 168 379 billion barrels of oil (Heins, 2000). Cheng et al. (2018) found high concentrations of BC (more than 1000 ng m⁻³) in the Canadian oil sands region at altitudes of up to 2 km during a 380 381 flight campaign.

382 The optimised emissions of BC in North America for the 2013-2015 period were between 149 and 193 kt y⁻¹ (average \pm 1-sigma error: 174 \pm 58 kt y⁻¹), in the same order with 383 the prior emissions in ECLIPSEv5 (148-182 kt y⁻¹) and slightly higher than ACCMIPv5, 384 EDGAR HTAPv2.2 and MACCity (116–150 kt y⁻¹). In Northern Europe we estimated that 385 124–238 kt y⁻¹ of BC were released (average \pm 1-sigma error: 170 \pm 59 kt y⁻¹), which is less 386 than half the ECLIPSEv5 emissions (352–381 kt y⁻¹), about 35% lower than the ACCMIPv5 387 and MACCity emissions $(241 - 256 \text{ kt y}^{-1})$ and in the same order as the EDGAR HTAPv2.2 388 emissions (163–175 kt y⁻¹). Posterior emissions of BC were higher in North Siberia for the 3-389 year period (130–291 kt y⁻¹, average \pm 1-sigma error: 217 \pm 69 kt y⁻¹) compared with 390 ECLIPSEv5 (187-238 kt y⁻¹), ACCMIPv5 (127-178 kt y⁻¹), EDGAR HTAPv2.2 (108-159 kt 391 y⁻¹) or MACCity (129–179 kt y⁻¹). Larger changes in the emissions of BC were calculated in 392 393 Russian territories that are known to be important gas flaring sources (Stohl et al., 2013). Emissions of BC in the Nenets-Komi oblast were between 14 and 17 kt y^{-1} (average \pm 1-394 395 sigma error: 15 ± 5 kt y⁻¹), about 40% lower than the respective emissions in ECLIPSEv5 (≈ 25





396 kt y⁻¹), the only prior dataset that took gas flaring into account there. This could be due to the 397 decreasing magnitude of the flaring emissions in the last few years (see Huang and Fu, 2016). 398 Finally, in Khanty-Mansiysk emissions of BC were 28–37 kt y⁻¹ (average \pm 1-sigma error: 399 32 ± 8 kt y⁻¹) compared to 25 kt yr⁻¹ in ECLIPSEv5, whereas in the other datasets that do not 390 include emissions of BC due to flaring, BC emissions were negligible. However, the posterior 401 Khanty-Mansiysk emissions are shifted further east compared to the prior.

402 The annual posterior emissions of BC at latitudes above 50°N were estimated as 560 ± 171 kt yr⁻¹ averaged for the 2013–2015 time period (523 ± 92 kt yr⁻¹ in 2013, 608 ± 104 kt 403 yr⁻¹ in 2014 and 549±100 kt yr⁻¹ in 2015, respectively). For the same area and period, BC 404 emissions in ECLIPSEv5 were 745 kt yr⁻¹, in ACCMIPv5 533 kt yr⁻¹, in EDGAR HTAPv2.2 405 437 kt yr⁻¹, while in MACCity they were 538 kt yr⁻¹. The annual posterior absolute 406 407 uncertainty can be seen in Figure 6b. As it was explained before, this uncertainty is a 408 combination of the uncertainty due to scavenging and due to the use of different prior 409 information in the inversions of BC. The relative uncertainty of the inversion averaged over 410 the period 2013 to 2015 was estimated to be 30%. The uncertainty due to different scavenging 411 coefficients in the BC species used was 25%, while the uncertainty due to the use of different 412 prior emissions was only 5%.

413 **3.4 Validation of the posterior emissions of BC**

414 The concentrations of BC at eight measurement stations simulated with the posterior (optimised) emissions of BC can be seen in Figure S 4. As expected, BC concentrations 415 416 match the observations significantly better than using any of the a priori datasets with correlation coefficients above 0.6 for most of the stations. At the same time, NSD values were 417 close to unity or lower and the nRMSE values below 1.5 at most of the stations shown in 418 419 Figure S 4. However, the comparison to observations included in the inversion is not a sufficient indicator of the inversion's performance, as the inversion is designed to reduce the 420 421 model-observation mismatches. The magnitude of the posterior reduction of the model 422 mismatch to the observations is partly determined by the weighting given to the observations 423 relative to the prior emissions. A much better performance indicator is the comparison of the 424 posterior concentrations with observations that were not included in the inversion 425 (independent observations).

For this reason, we compared posterior BC concentrations with observations from the
ACCACIA (Aerosol-Cloud Coupling and Climate Interactions in the Arctic) flight campaign,
which was conducted near Zeppelin station, Ny-Ålesund, for 3 days in March 2013 (Sinha et





429 al., 2017). This campaign was chosen because it was conducted during one year for which 430 inversion results are available (2013). The results are shown in Figure 7 for the prior 431 simulated concentrations of BC using four different emission datasets (ACCMIPv5, 432 ECLIPSEv5, EDGAR HTAPv2.2 and MACCity) and the posterior simulated BC 433 concentrations. In all profiles, use of the optimised emissions of BC results in a better 434 agreement between modeled concentrations and observations compared to the prior simulated 435 BC concentrations, while the RMSE (not normalised) values decrease substantially. However, 436 the Pearson's correlation coefficients were below 0.5.

To assess the performance of the inversions of BC in 2014, we used an independent dataset from a ship campaign that took place in the North Atlantic and Baltic Seas in June and August 2014 (Figure 8) provided by Shevchenko et al. (2016). Although the measurements may sometimes be affected by the ship's exhaust, the posterior RMSE was 34% lower than the average RMSE using four different a priori emission datasets (ACCMIPv5, ECLIPSEv5, EDGAR_HTAPv2.2 and MACCity), supporting the view that the inversion improved the emissions for 2014.

444 To validate the 2015 inversions of BC, measurements from a ship campaign over the 445 Russian Arctic were used (Popovicheva et al., 2017) and the results are shown in Figure 9. The cruise started from the port of Arkhangelsk in the Northwestern European Russia, 446 447 reached the Bolshevik Island in the higher Russian Arctic and returned following more or less 448 the same pathway. The calculated RMSE of the posterior BC concentrations with the 449 measurements taken during the cruise was about 10% lower that the respective RMSE from 450 the prior simulated concentrations of BC (average for all prior simulated emissions). This 451 shows that the optimised emissions improved BC concentrations over the Russian Arctic. 452 Some episodic peaks of BC throughout the ship cruise, however, were poorly captured.

453 **4 Discussion**

454

455 **4.1 BC emissions in North America**

The spatial distribution of the optimised emissions of BC in North America averaged for the 3-year period is depicted in Figure 10 and the annual posterior emissions for 2013, 2014 and 2015 are shown in Figure S 5. In the same figures the differences between posterior and prior emissions (ECLIPSEv5) are shown (right panels) to indicate the biggest emission changes compared to the a priori dataset.





461 The most characteristic locations of sources between 2013 and 2015 lie in Alberta, where most of the large oil-producing industries operate (Figure 10 and Figure S 5). The 462 highest emission source was seen in 60°N-135°W in 2013 and 2015, but not in 2014. This 463 spot corresponds to the location of Whitehorse, which is the capital and only city of Yukon, 464 and the largest city in Northern Canada. The area involves mining activities (mainly for gold) 465 and three natural gas wells, while biomass in the form of cordwood and pellets is used for 466 467 space heating (Yukon Government, 2018). The fact that near-zero emissions of BC were 468 calculated in Whitehorse in 2014 might be due to the lack of available measurements in North 469 America, which in turn results in poorly constrained posterior emissions of BC. Another 470 similar hotspot area that is more intense in 2013 and 2015, but not in 2014 is located in 471 Yellowknife north of Great Slave Lake (62.5°N–115°W, Figure S 5). The city is known for 472 gold and diamond mining and an oil-driven power plant (Northwest Territories Power 473 Corporation, https://www.ntpc.com). Finally, another characteristic hotspot emission region of BC is seen southeast of Lake Athabasca (57°N-108°W, Figure 10). In this region four 474 475 uranium mines are located that use diesel generators, diesel trucks, and likely also other 476 diesel-powered machinery. Exactly in this location, the Visible Infrared Imaging Radiometer 477 Suite (VIIRS) showed relatively strong night-time light sources (https://www.lightpollutionmap.info/#zoom=5&lat=8255540&lon=-478

479 <u>11864816&layers=B0FFFTFFFT</u>).

480 **4.2 BC emissions in Northern Europe**

481 The posterior emissions of BC in Northern Europe averaged for the period 2013–2015 can be seen in Figure 11 together with the difference between prior (ECLIPSEv5) and 482 posterior BC emissions, while the posterior emissions for each individual year are shown in 483 Figure S 6. The location of the gas flaring facilities are also presented in the same figures 484 together with vegetation fires from the FEINE (Fire Emission Inventory- northern Eurasia) 485 486 inventory (Hao et al., 2016). The latter combines the MODIS thermal anomalies products 487 (MOD14 and MYD14) and the MODIS top-of-the-atmosphere-calibrated reflectance product (MOD02) to map and date burn scars that are screened for false detections. Land cover 488 489 classification of burned areas are taken from the MODIS land cover change product (MOD12) (Friedl et al., 2010). This dataset is considered more realistic than GFED4 due to 490 491 the emission factors used for BC (May et al., 2014) and the different approach of burned area 492 calculation (see Hao et al., 2016).





493 The highest posterior emissions of BC are calculated for the Moscow megacity at 494 55°N-37.5°E, Berlin 52°N-14°E, Warsaw 52°N-21°E, Kyiv 50°N-30°E, Saint Petersburg 495 60°N-30°E, while London is slightly misplaced to the west (Figure 11). The Scandinavian 496 countries have the lowest emissions, although domestic heating there can also be important 497 (Andersen and Jespersen, 2016). The difference between prior and posterior emissions show 498 that vegetation fires have a large impact on the BC emissions especially in Eastern Europe. In 499 particular in 2015, the inversion produces large emission increases exactly where a large 500 number of fire hot spots were found (see Figure S 6).

501 4.3 BC emissions in North Siberia

Figure 12 illustrates the average posterior emissions of BC in Western Siberia for the 2013–2015 period together with the difference between the prior (ECLIPSEv5) and the posterior emissions of BC, while Figure S 7 shows the respective emissions of BC for each year individually, together with the flaring facilities and the vegetation fires similarly to the previous section.

507 The prior emissions of BC from flaring in Nenets-Komi oblast are confirmed by the 508 inversion, although the emissions are shifted further east, while the flaring emissions in 509 Khanty-Mansiysk are probably underestimated in ECLIPSEv5 (see also Table 3). Vegetation 510 fires are shown to correlate well with BC emissions for 2013 (60°N –70°N) and 2014 (50°N– 511 60°N) (Figure S 7), but not in 2015. Hotspots of high emissions were found in Dudinka 512 (88°E-70°N), a town on the Yenisei River and the administrative center of Taymyrsky 513 Dolgano-Nenetsky District of Krasnovarsk Krai, Russia, due to the Norilsk Mining and Smelting Factory extracting coal and ores. Furthermore, increase posterior emissions of BC 514 515 were estimated across the line that connects some important Russian cities (Yekaterinsburg to 516 Chelyabinsk, 60°E-55°N). These cities have been reported to contribute large amounts of BC 517 mainly from transportation (see Evangeliou et al., 2018). Another hotspot exists at 108°E-518 58°N that corresponds to VIIRS night-time lights 519 520 227& layers=B0FFFTFFFT). These emissions are attributed to flaring as four facilities are 521 collocated there (see Figure 12). Finally, high emissions of BC originate from the Nizhny 522 Novgorod oblast (44°E-55°N). The oblast ranks seventh in Russia in industrial output. 523 Processing industries predominate in the local economy. The leading sectors of more than 650 524 industries are engineering and metalworking, followed by chemical and petrochemical





industries and forestry, woodworking, and paper industries and one facility that flare gas(GGFR, Figure 12).

In the western part of Siberia, there are numerous sources of average or low intensity. However, there no known anthropogenic sources there. At the lowest part of the inversion domain, in the borders of Russia with Mongolia, the posterior emissions showed a large increase (Figure 6). These emissions are prevalent along the Trans-Siberian Railway. Human activities in the villages along the railway have been highlighted to be the major cause of the fires there.

533 4.4 Seasonal variability of BC emissions

The monthly optimised emissions of BC are shown in Figure 13 for the three years of study (2013–2015) for the entire area north of 50°N, and separately for areas north of 50°N in North America, North Europe, North Siberia, Nenets-Komi oblast (Russia) and Khanty-Mansiysk (Russia). The last two regions are known to have large emissions from gas flaring. In the same figure the prior emissions from ECLIPSEv5, EDGAR_HTAPv2.2, ACCMIPv5 and MACCity are plotted for comparison.

540 The total posterior emissions of BC (>50°N) show large seasonal variation (Figure 13a). 541 The maximum emissions were calculated for summer months (July in 2013 and June in 2014 and 2015). In these months large emissions from biomass burning have been reported both in 542 543 GFED4 (see burned area in Giglio et al., 2013), as well as in the FEINE inventory for 544 Northern Eurasia (Hao et al., 2016). By separating the inversion domain into continental 545 regions, it is easily seen where biomass burning is important. For instance, in North America (Figure 13b), although GFED4 that is included in all the prior emission datasets, shows a 546 547 large emission peak for BC in summer implying that fires are important, our optimised 548 emissions show a significantly smaller variability. This was not the case for North Europe 549 (Figure 13c) where the largest seasonal emissions of BC were found in July for 2013 and in 550 May for 2015, while in 2014 the largest peak was already in April. This is not seen in the 551 prior emission datasets, which show weak monthly variation. The largest seasonal variations 552 were calculated for Northern Siberia (Figure 13d) and emissions of BC there control the 553 overall seasonal pattern for the total optimised emissions of BC (>50°N). A large month-to-554 month variability was estimated in the Nenets-Komi oblast (Figure 13e) likely as a 555 consequence of errors in the inversion, but no clear seasonal pattern. Finally, the largest 556 monthly emissions of BC in Khanty-Mansiysk oblast of Russia (Figure 13f) were calculated 557 in April for 2013, July for 2014 and June for 2015 showing that a large share in the emissions





of BC in this region originate from biomass burning since the region is located at midlatitudes ($60^{\circ}N-65^{\circ}N$) and is vulnerable to open fires.

560 5 Conclusions

We have optimised emissions of BC at high northern latitudes (>50°N) for the 2013– 2015 period using a Bayesian inversion tool, an atmospheric transport model and network of continuous measurements of BC. We performed a sensitivity study to assess the best representative species for BC in terms of scavenging and removal and the best representative emission inventory to be used as the prior information for our inversion.

The perturbation of scavenging coefficients for BC in the simulated concentrations creates a relative model–observation mismatch of 32%–43% for the three years of study, whereas the use of different emission inventories has a less significant effect in the simulated concentrations showing a relative model–observation mismatch of 15%–23%.

The posterior emissions of BC show characteristic hot-spots throughout all three years in the Nenets – Komi region close to the Yamal peninsula in Russia or in Khanty Mansiysk region of Northwestern Siberia, where gas flaring facilities are located and in Western Canada (Alberta), where more than 150 power and oil/gas production industries operate. The annual posterior emissions of BC at latitudes above 50°N were estimated as 560 ± 171 kt yr⁻¹, significantly smaller than in ECLIPSEv5 (745 kt yr⁻¹), which was used and the prior information in the inversions of BC (best representative emission dataset).

577 The uncertainty of the inversions was assessed using a model ensemble represented by 578 12 different scavenging coefficients for BC and four different prior emission datasets 579 $(12 \times 4=48)$ for each of the three years of our study. We calculate a relative uncertainty of the 580 inversion of 30% for the three years of our study.

581 The posterior simulated concentrations of BC showed a better agreement with 582 independent observations adopted from flight and ship campaigns over the Arctic presenting, 583 in all cases, up to three times lower RMSE values.

In North America, the posterior emissions were found similar to the a priori ones driven by anthropogenic sources, while biomass burning appeared to be insignificant. This was confirmed by satellite products that showed weak existence of active fire hot-spots.

587 In North Europe, posterior emissions were estimated to be half compared to the prior 588 ones, with the highest releases to be in megacities and due to biomass burning in Eastern 589 Europe.





Finally, in North Siberia the larger emissions were calculated along the transect between Yekaterinsburg and Chelyabinsk, while flaring in Nenets-Komi oblast is probably overestimated in the a priori emissions. Increased emissions in the borders between Russia and Mongolia are probably due to biomass burning in villages along the Trans-Siberian Railway.

595

596 Data availability. All data generated for the present publication are stored on NIRD
 597 (<u>https://www.uio.no/english/services/it/research/storage/nird-sigma.html</u>) (project NS9419K)
 598 and can be obtained from the corresponding author upon request.

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600 *Competing financial interests.* The authors declare no competing financial interests.

- 602 Acknowledgements. We would like to acknowledge the project entitled "Emissions of 603 Short-Lived Climate Forcers near and in the Arctic (SLICFONIA)", which was funded by the 604 NORRUSS research program (Project ID: 233642) and the project entitled "The Role of 605 Short-Lived Climate Forcers in the Global Climate" funded by the KLIMAFORSK program 606 (Project ID: 235548) of the Research Council of Norway. Also the Research Council of 607 Norway strategic institute initiatives (SIS) project "SOCA-Signals from the Arctic OCean in 608 the Atmosphere" is acknowledged. We also acknowledge partial support by the AMAP 609 secretariat, as this work shall contribute to the next AMAP assessment on short-lived climate 610 forcers. Work was performed at the Nordic Centre of Excellence eSTICC (eScience Tools for 611 Investigating Climate Change in northern high latitudes), supported by NordForsk grant 612 57001. We thank IIASA (especially Chris Heyes and Zig Klimont) for providing the 613 ECLIPSEv5 emission dataset for BC. Computational and storage resources for the FLEXPART simulations were provided by NOTUR (NN9419K) and NIRD (NS9419K). All 614 615 results can be accessed upon request to the corresponding author of this manuscript.
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Author contributions. NE performed the simulations, analyses and wrote the paper, RLT
helped in the adaptation of FLEXINVERT for BC and commented on the paper, SE helped in
the implementation of the experiments and AS coordinated, commented and wrote parts of
the paper.

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Whisper, British Columbia, Canada Steamboat Springs, Colorado, USA Cabauw Zijdeweg, Netherlands Zeppelin, Ny Ålesund, Norway Annaberg-Buchholz, Germany Appalachian SU, Boone, USA Asprveten, Västerås, Sweden Nepal Climate Observatory Melpitz, Torgau, Germany Pallas, Sodankylä, Finland **Fiksi**. Russian Federation BEO Moussala, Bulgaria South Great Planes, USA East Trout Lake, Canada Jstí n.L.-mesto, Czechia Alert, Nunavut, Canada **Frinidad Head**, Canada Monte Velho, Portugal Barrow, Alaska, USA Gosan, South Korea Summit, Greenland Waldhof, Germany K-puszta, Hungary Birkenes, Norway Hyytiälä, Finland Leipzig, Germany Bösel, Germany Bondville, USA Description able 1. Observation sites used for the inversions (the altitude indicates the sampling height in meters above sea level). MAAP-CHMI Thermo-5012 Thermo-5012 Thermo-5012 **MAAP-5012** MAAP-5012 **MAAP-5012 MAAP-5012** Magee AE31 Magee AE31 Instrument **RFPS-1287** CLAP-3W CLAP-3W CLAP-3W CLAP-3W CLAP-3W CLAP-3W PSAP-3W PSAP-1W PSAP-3W PSAP-3W PSAP-1W PSAP-3W PSAP-3W PSAP-3W MAAP01 MAAP PSAP 2013. 2014. 2015 2013, 2014, 2015 2013, 2014, 2015 2013, 2014, 2015 2013, 2014, 2015 2013, 2014, 2015 2013, 2014, 2015 2013, 2014, 2015 2014, 2015 2013, 2014 2014, 2015 2013, 2015 2014, 2015 2013, 2015 2014, 2015 2013, 2015 2013, 2015 2013. 2015 2013 2015 2015 2015 2015 2015 2013 Year 2015 2015 2013 Altitude 1110 m 3220 m 2971 m 5079 m 3211 m 2182 m 125 m 560 m 318 m 549 m 219 m 502 m 181 m 122 m 117 m [61 m 205 m 474 m 72 m 43 m 86 m 30 m 20 m 53 m 61 m 78 m 6 m 213 Longitude 124.2°W 22.9°W 61.6°W 106.7°W 105.0°W 88.4°W 126.2°E 28.9°E 81.7°W W°3.76 38.5°W l4.8°Ε 62.5°W 13.0°E 17.4°Ε 24.2°E 19.6°E 12.3°E 12.9°E 23.6°E 86.8°E 10.8°E 1.9°E 8.8°W 24.2°E 8.2°E 7.9°E 4.9°E Latitude 50.6°N 36.2°N 58.8°N 71.3°N 58.4°N 40.0°N 53.0°N 52.0°N 40.4°N 54.4°N 47.0°N 51.3°N 51.5°N 68.0°N 36.6°N 72.6°N 82.5°N 33.3°N 61.6°N 42.2°N 38.1°N 28.0°N 71.6°N 41.1°N 50.7°N 50.0°N 52.8°N N°9.87 NOAA, MeteoRF ACTRIS, GAW ACTRIS, GAW ACTRIS, GAW NOAA-ESRL **JAW-WDCA** Organisation NOAA-ESRL NOAA-ESRL NOAA-ESRL NOAA-ESRL HMS, ACUV **FROPOS** ROPOS JH, DPS ROPOS EC/AES EC/AES NCSRD EC/AES NOAA HMGU AAIRF NILU CNR FMI Цd Site ID MOU MOV ULM WLD MEL SUM ANB ASP BAR BON BOS CAB COL GOS НҮҮ KPU NEP PAL SGP IHW ZEP APP ETL BIR LEI ΤK TRI ALT

TABLES & LEGENDS





Table 2. Different scavenging parameters of below-cloud and in-cloud scavenging used in the ensemble model simulations for BC. A and B are rain and snow collection efficiencies for below-cloud scavenging. Ai is the cloud condensation nuclei efficiency and Bi the ice nuclei efficiency 891

that are used in in-cloud scavenging following Grythe et al. (2017) 893

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| | ¥ | в | Ai | Bi |
|------|-----|-----|------|------|
| BC1 | 1.0 | 1.0 | 06.0 | 0.10 |
| BC2 | 1.0 | 1.0 | 06.0 | 0.45 |
| BC3 | 1.0 | 1.0 | 0.45 | 0.20 |
| BC4 | 1.0 | 0.5 | 0.45 | 0.20 |
| BC5 | 0.5 | 0.5 | 0.45 | 0.20 |
| BC6 | 1.0 | 0.2 | 06.0 | 0.20 |
| BC7 | 1.0 | 1.0 | 0.20 | 0.20 |
| BC8 | 2.0 | 1.0 | 0.45 | 0.10 |
| BC9 | 0.2 | 0.2 | 06.0 | 06.0 |
| BC10 | 1.0 | 1.0 | 06.0 | 0.20 |
| BC11 | 2.0 | 1.0 | 0.45 | 0.45 |
| BC12 | 1.0 | 1.0 | 0.45 | 0.00 |

Atmos. Chem. Phys. Discuss., https://doi.org/10.5194/acp-2018-643 Manuscript under review for journal Atmos. Chem. Phys. Discussion started: 23 July 2018

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| kilotons per year | N. America | N. Europe | N. Siberia | Nenets-Komi | Khanty-Mansiysk |
|-------------------------------|------------|--------------|------------|-------------|-----------------|
| 2013 | | | | | |
| ACCMIPv5 (prior) | 116 | 241 | 127 | 0.6 | 1.8 |
| EDGAR_HTAPv2.2 (prior) | 117 | 163 | 108 | 0.3 | 0.6 |
| MACCity (prior) | 117 | 244 | 129 | 0.6 | 1.9 |
| ECLIPSEv5 (prior) | 148 | 352 | 187 | 26 | 25 |
| Posterior (ECLIPSEv5) | 149±45 | 152±46 | 230±66 | 17±5 | 32±8 |
| 2014 | | | | | |
| ACCMIPv5 (prior) | 130 | 253 | 178 | 0.5 | 1.9 |
| EDGAR_HTAPv2.2 (prior) | 131 | 175 | 159 | 0.3 | 0.7 |
| MACCity (prior) | 131 | 256 | 179 | 0.5 | 1.8 |
| ECLIPSEv5 (prior) | 162 | 364 | 238 | 25 | 26 |
| Posterior (ECLIPSEv5) 2015 | 193±61 | $124{\pm}44$ | 291±73 | 15±5 | 28±8 |
| ACCMIPv5 (prior) | 149 | 250 | 155 | 0.5 | 1.8 |
| EDGAR_HTAPv2.2 (prior) | 150 | 172 | 136 | 0.3 | 0.6 |
| MACCity (prior) | 150 | 252 | 156 | 0.6 | 1.8 |
| ECLIPSEv5 (prior) | 182 | 381 | 222 | 25 | 25 |
| Posterior (ECLIPSEv5) | 181±55 | 238±66 | 130±52 | 14±5 | 37±8 |
| 3-vear average emissions | 174+58 | 170450 | 017±60 | 1515 | 27-0 |

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 $900 \\ 901$





904 FIGURES & LEGENDS

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Figure 1. Observation network used for the present inversion (a, b and c), and variableresolution grid used for the inversion (d, e, and f) also showing the location of the observation sites (red stars) for 2013–2015 period. Sensitivity to the surface emissions (i.e., the footprint emission sensitivity or equivalently source-receptor relationship) integrated over all observation sites and all time steps (g, h and i) for the years 2013, 2014 and 2015 (units of log(ns)).







Figure 2. (a–d) Anthropogenic emissions of BC in the inversion domain (>50°N) from ECLIPSEv5, EDGAR_HTAPv2.2, ACCMIPv5 and MACCity (anthropogenic emissions are assumed to be constant throughout every year). (e–g) Biomass burning emissions from GFED4 for 2013, 2014 and 2015 (Giglio et al., 2013). (h) Monthly total (anthropogenic and biomass burning) emissions of BC north of 50°N from 2013 to 2015 from the four prior inventories used for the inversion. Coloured numbers correspond to total annual BC from each emission inventory.





COMPARISON OF PRIOR SIMULATED CONCENTRATIONS (ECLIPSEv5) (YEARS 2013-2015)





Figure 3. Taylor diagrams for the comparison of the prior (ECLIPSEv5) simulated concentrations with observations for all years (2013 – 2015) for 12 BC species with different scavenging coefficients (**Table 2**). The radius indicates standard deviations normalised against the mean concentration (NSD); the azimuthal angle the Pearson correlation coefficient, while the normalised (against observation) root mean square error (nRMSE) in the simulated concentrations is proportional to the distance from the point on the x-axis identified as "reference" (grey contours).





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Figure 4. Monthly average NMSE values due to use of 12 different BC species defined in
Table 2 for the eight stations with complete data in the period 2013–2015. The annual mean
is denoted as "ave").







Figure 5. Monthly average NMSE values due to use of different emission inventories
(ECLIPSEv5, ACCMIPv5, EDGAR_HTAPv2.2, MACCity) for the eight stations with
complete data in the period 2013–2015. The annual mean is denoted as "ave").







942 943 Figure 6. (a) Annual posterior emissions of BC in areas >50°N averaged for the period 2013– 944 2015, (b) average posterior uncertainty due to scavenging and use of different prior emissions 945 for the same period. (c) Difference between posterior and prior emissions for 2013–2015.







VERTICAL PROFILES OF BC (ACCACIA FLIGHT CAMPAIGN)



Figure 7. Comparison of prior (ECLIPSEv5, ACCMIPv5, EDGAR HTAPv2.2 and MACCity) and posterior simulated concentrations of BC with observations from the ACCACIA flight campaign near Zeppelin station, Ny-Ålesund in 2013 adopted from Sinha et al. (2017). The variability of the prior concentrations (shaded area) was calculated as the standard deviation of BC concentrations from the 12 species with different scavenging coefficients as shown in **Table 2**. Uncertainties of the posterior concentrations are due to scavenging and use of 4 different a priori datasets (section 3.4).







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957 Figure 8. Comparison of prior (ECLIPSEv5, ACCMIPv5, EDGAR HTAPv2.2 and 958 MACCity) and posterior simulated concentrations of BC with observations from a ship 959 campaign in North Atlantic and Baltic Seas in 2014 adopted from Shevchenko et al. (2016). 960 The variability of the prior concentrations (shaded area) was calculated as the standard 961 deviation of BC concentrations from the 12 species with different scavenging coefficients as 962 shown in Table 2. Uncertainties of the posterior concentrations are due to scavenging and use 963 of 4 different a priori datasets (section 3.4).







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965 966 Figure 9. Comparison of prior (ECLIPSEv5, ACCMIPv5, EDGAR HTAPv2.2 and 967 MACCity) and posterior simulated concentrations of BC (2015) with observations from a 968 ship campaign in the Russian Arctic in 2015 adopted from Popovicheva et al. (2017). The 969 variability of the prior concentrations (shaded area) was calculated as the standard deviation 970 of BC concentrations from the 12 species with different scavenging coefficients as shown in 971 Table 2. Uncertainties of the posterior concentrations are due to scavenging and use of 4

972 different a priori datasets (section 3.4).







975 Figure 10. (a) Optimised emissions of BC in North America (Western Canada) averaged over 976 the 2013-2015 period. (b) Difference between a posteriori and a priori emissions of BC 977 (ECLIPSEv5 was used as the prior). Magenta points on the map denote the gas flaring 978 industries from the Global Gas Flaring Reduction Partnership (GGFR) 979 (http://www.worldbank.org/en/programs/gasflaringreduction), grey points show the power 980 industries that operate using fossil fuels and oil and gas production and oil refining industries 981 adopted from Industry About (https://www.industryabout.com/canada-industrial-map), while 982 black rectangles show active fires from MODIS.







985 Figure 11. (a) Optimised emissions of BC in Northern Europe averaged over the 2013–2015 986 period. (b) Difference between a posteriori and a priori emissions of BC (ECLIPSEv5 was 987 used as the prior). Magenta points on the map indicate the gas flaring industries from the 988 Global Gas Flaring Reduction Partnership (GGFR) 989 (http://www.worldbank.org/en/programs/gasflaringreduction), while black rectangles show 990 the vegetation fires adopted from Hao et al. (2016).

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993 Figure 12. (a) Optimised emissions of BC in Western Siberia averaged for the 2013–2015 994 period. (b) Difference between a posteriori and a priori emissions of BC (ECLIPSEv5 was 995 used as the prior). Magenta points on the map indicate the gas flaring industries from the 996 Global Gas Flaring Reduction Partnership (GGFR) 997 (http://www.worldbank.org/en/programs/gasflaringreduction), while black rectangles show 998 the vegetation fires adopted from Hao et al. (2016).







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Figure 13. Monthly posterior emissions of BC shown for all regions located (a) >50°N, (b) in North America (>50°N), (c) North Europe (>50°N), (d) North Siberia (>50°N), (e) Nenets-Komi oblast (>50°N, Russia) and (f) Khanty-Mansiysk oblast (>50°N, Russia) for the 2013– 2015 period. Monthly prior emissions of BC from ECLIPSEv5, EDGAR_HTAPv2.2, ACCMIPv5 and MACCity emissions inventories are also shown for the same regions and time period. The uncertainty of the posterior emissions of BC stems from the use of different scavenging coefficients and different prior emission datasets (see section 3.3).