

1 **Top-down estimates of black carbon emissions at high**  
2 **latitudes using an atmospheric transport model and a**  
3 **Bayesian inversion framework**

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11

12 **Abstract**

13 This paper presents the results of BC inversions at high northern latitudes ( $>50^{\circ}\text{N}$ ) for  
14 the 2013–2015 period. A sensitivity analysis was performed to select the best representative  
15 species for BC and the best a priori emission dataset. The same model ensemble was used to  
16 assess the uncertainty of the a posteriori emissions of BC due to scavenging and removal and  
17 due to the use of different a priori emission inventory. A posteriori concentrations of BC  
18 simulated over Arctic regions were compared with independent observations from flight and  
19 ship campaigns showing, in all cases, smaller bias, which in turn witnesses the success of the  
20 inversion. The annual a posteriori emissions of BC at latitudes above  $50^{\circ}\text{N}$  were estimated as  
21  $560\pm 171\text{ kt yr}^{-1}$ , significantly smaller than in ECLIPSEv5 ( $745\text{ kt yr}^{-1}$ ), which was used and  
22 the a priori information in the inversions of BC. The average relative uncertainty of the  
23 inversions was estimated to be 30%.

24 A posteriori emissions of BC in North America are driven by anthropogenic sources,  
25 while biomass burning appeared to be less significant as it is also confirmed by satellite  
26 products. In North Europe, a posteriori emissions were estimated to be half compared to the a  
27 priori ones, with the highest releases to be in megacities and due to biomass burning in  
28 Eastern Europe. The largest emissions of BC in Siberia were calculated along the transect  
29 between Yekaterinsburg and Chelyabinsk. The optimised emissions of BC were high close to  
30 the gas flaring regions in Russia and in Western Canada (Alberta), where numerous power  
31 and oil/gas production industries operate. Flaring emissions in Nenets-Komi oblast (Russia)  
32 were estimated to be much lower than in the a priori emissions, while in Khanty-Mansiysk  
33 (Russia) they remained the same after the inversions of BC. Increased emissions in the  
34 borders between Russia and Mongolia are probably due to biomass burning in villages along  
35 the Trans-Siberian Railway. The maximum BC emissions in high northern latitudes ( $>50^{\circ}\text{N}$ )  
36 were calculated for summer months due to biomass burning and they are controlled by  
37 seasonal variations in Europe and Asia, while North America showed a much smaller  
38 variability.

39

## 40 **1 Introduction**

41 Light absorbing species, such as black carbon (BC), are the main components of  
42 atmospheric particulate matter, affecting air quality, weather and climate. BC originates from  
43 the incomplete combustion of fossil fuels (primarily coal and diesel), from open high-  
44 temperature combustion of natural gas in the oil/gas field (gas flaring), as well as from the  
45 burning of biomass and biofuels. BC particles affect cloud formation and precipitation as they  
46 act as cloud condensation nuclei in their hydrophilic form (Wang et al., 2016). BC is also a  
47 major driver of climate change contributing to global warming with a radiative forcing at the  
48 top of the atmosphere ranging between 0.17 and 0.71 W m<sup>-2</sup> (Bond et al., 2013; Myhre et al.,  
49 2013; Wang et al., 2014). BC deposited in Arctic snow surfaces in concentrations of up to 30  
50 ng g<sup>-1</sup> can reduce snow albedo by 1–3% (Hegg et al., 2009) in fresh snow and up to 3 times  
51 more as snow ages and the BC particles become more concentrated (Clarke and Noone,  
52 1985). Airborne BC also warms the air and reduces tropical cloudiness by absorbing the  
53 incoming solar radiation (Ackerman, 2000). It also reduces atmospheric visibility and  
54 increases aerosol optical depth (Jinhuan and Liquan, 2000). From a health perspective, BC  
55 particles, generally being sub-micron in size, can penetrate into the lungs and cause  
56 pulmonary diseases (e.g., Wang et al., 2014).

57 To improve understanding about how BC affects climate and to develop effective  
58 policies to tackle BC's associated environmental problems requires accurate knowledge of the  
59 emissions and their spatiotemporal distribution. Most commonly, BC emission inventory  
60 datasets are built by “bottom up” approaches, which are based on activity data and emission  
61 factors and proxy information for spatial disaggregation, but these methods are considered to  
62 have large uncertainties (Cao et al., 2006). Numerous global or regional emission inventories  
63 of BC have been constructed previously (Bond et al., 2004; Schaap et al., 2004; Streets et al.,  
64 2003); nevertheless, emission uncertainties contribute significantly to the overall uncertainty  
65 of modelled concentrations of BC. Emission uncertainties affect even more significantly  
66 regional/episodic simulations, as in many cases emissions deviate from the annual mean.  
67 Such studies represent a useful tool to improve our understanding of the relationship between  
68 observed concentrations of BC and BC emissions. Furthermore, BC emissions have their  
69 most pronounced effect on the regional scale due to the relatively short atmospheric lifetime  
70 of BC (Hodnebrog et al., 2014; Samset et al., 2014),.

71 The relative differences between different emission inventories are largest for the high  
72 latitudes (AMAP, 2015) and particularly in high-latitude Russia where emission information  
73 is poor. For this area, a new satellite-based high-resolution inventory showed that BC

74 emissions from Biomass Burning (BB) might have been 3.5 times higher than emissions  
75 given in the Global Fire Emissions Database (GFEDv4) (Hao et al., 2016), if more realistic  
76 emission factors are used (May et al., 2014). Furthermore, new sources of BC in the same  
77 area have been identified recently. For example, emissions from gas flaring by the oil industry  
78 have been missing from most emission inventories and may be an important source of BC at  
79 high latitudes (Stohl et al., 2013). For instance, in 2008 Russia was responsible for nearly one  
80 third of the gas flared globally (Elvidge et al., 2009). However, the gas flaring source is  
81 highly uncertain. For example, based on isotopic measurements, Winiger et al. (2017)  
82 reported recently that the contribution from gas flaring to BC measured at Tiksi in Siberia is  
83 lower than estimated by Stohl et al. (2013), while recently published bottom-up inventories  
84 (Huang et al., 2015; Huang and Fu, 2016) suggested even higher gas flaring emissions.  
85 Finally, Popovicheva et al. (2017) reported that one existing emission dataset of BC captured  
86 surface concentrations in the Russian Arctic quite efficiently.

87 In this study, we estimated the BC emissions at high northern latitudes using  
88 atmospheric observations of BC in a Bayesian inversion framework. Emissions were  
89 estimated for the region north of 50°N because this is the region with the largest influence on  
90 Arctic surface concentrations (Klonecki, 2003; Stohl, 2006). We determine the emissions  
91 with monthly time resolution for the years 2013, 2014 and 2015. We first describe the  
92 observation data, the transport model and the Bayesian inversion technique used, as well as  
93 the prior emission information. We then assess the sensitivity of the transport model to  
94 different scavenging coefficients (below-cloud and in-cloud) for BC and to different emission  
95 inventories. We finally present optimised BC emissions, discuss these results in comparison  
96 with independent estimates and calculate the uncertainty of the inversions with respect to  
97 different scavenging parameters used for BC and using four different prior emission datasets.

## 98 **2 Methodology**

### 99 **2.1 Observation network**

100 Atmospheric observations of BC were retrieved from the World Data Centre for  
101 Aerosols (<http://ebas.nilu.no>) and from the International Arctic Systems For Observing The  
102 Atmosphere (<http://www.esrl.noaa.gov/psd/iasoa/>). An overview of the stations used in this  
103 paper can be found in Table 1 and Figure 1a–c. The selected measurements were performed  
104 with different types of instruments that may differ substantially. When measurements are

105 based on light absorption we refer to Equivalent BC (EBC), while measurements based on  
106 thermal-optical methods refer to elemental carbon (EC) (Petzold et al., 2013).

107 At Alert (ALT), Appalachian (APP), Asprveten (ASP), Birkenes (BIR), East Trout  
108 Lake (ETL), South Great Planes (SGP), Steamboat Springs (COL), Trinidad Head (TRI) and  
109 Whistler (WHI) measurements were performed with particle soot absorption photometers  
110 (PSAPs). At Annaberg-Buchholz (ANB), Bösel (BOS), Cabauw Zijdeweg (CAB), Hyytiälä  
111 (HYY), Leipzig (LEI), Melpitz (MEL), Nepal Climate Observatory (NEP), Pallas (PAL), Ústí  
112 n.L.-mesto (ULM) and Waldhof (WLD) the particle light absorption coefficient was  
113 measured by multi-angle absorption photometers (MAAP; Petzold and Schönlinner, 2004),  
114 which are in excellent agreement with other particle light absorption photometers such as a  
115 photoacoustic sensor (e.g., Muller et al., 2011). In the MAAP instrument, particles are  
116 continuously sampled on filter tape, with loaded spots subsequently analysed by Raman  
117 spectroscopy to derive the particle mass concentration of soot (Nordmann et al., 2013). The  
118 cut-off sizes of the different MAAP instruments varied between 1 and 10  $\mu\text{m}$ . Continuous  
119 light absorption photometers (CLAP, Model PSAP; 565 nm) were used at Barrow (BAR),  
120 Bondville (BON), Gosan (GOS), K-pusztá (KPU), BEO Moussala (MOU) and Summit  
121 (SUM). Although these instruments were calibrated to measure the aerosol absorption  
122 coefficient, a previous study at this site revealed that a value of  $10 \text{ m}^2 \text{ g}^{-1}$  is a reasonable  
123 conversion factor to determine the BC concentration (Gelencsér et al., 2000). Aethalometers  
124 were used at Tiksi (TIK) and Zeppelin (ZEP).

125 All these stations measure the particle light absorption coefficients of different size  
126 fractions of the aerosol at wavelengths around 530–550 nm. Then the light absorption  
127 coefficients are converted to EBC mass concentrations under certain assumptions (Petzold et  
128 al., 2013). This is done externally for instruments such as MAAP, CLAP, PSAP etc. using a  
129 mass absorption efficiency of  $10 \text{ m}^2 \text{ g}^{-1}$  (Bond and Bergstrom, 2006). For aethalometers, the  
130 conversion is done internally by the instrument. All station measurements are routinely  
131 filtered to remove influence from local sources.

## 132 **2.2 Source – Receptor Relationships (SRRs)**

133 We used the Lagrangian Particle Dispersion Model (LPDM), FLEXible PARTicle  
134 dispersion model (FLEXPART) (Stohl et al., 1998, 2005) to model atmospheric transport.  
135 Using LPDMs to model particle or trace gas concentrations has several advantages over  
136 Eulerian models, namely they can have quasi-infinite resolution and they are not subject to  
137 numerical diffusion. Thus they can provide better resolved source-receptor relationship (SRR)

138 fields, which describe the relationship between the sensitivity of a “receptor” to a “source”  
139 element, as described by Seibert and Frank (2004). SRRs for the lowest model level are often  
140 called footprint emission sensitivities or even just footprints.

141 SRRs were calculated using FLEXPART version 10 in a backwards mode (see Stohl et  
142 al., 2005) in which computational particles are released backward in time from the  
143 observation sites (receptors). When the number of observation sites is smaller than the  
144 number of unknown flux grid cells this mode is computationally more efficient than forward  
145 calculations. Furthermore, backward simulations can be initiated exactly at the measurement  
146 point without initial diffusion of information into a grid cell. This important advantage of  
147 LPDMs also facilitates high spatial resolution of the model output around the measurement  
148 sites. As meteorological input data, European Centre for Medium-Range Weather Forecasts  
149 operational meteorological analyses were used with 137 vertical levels and a horizontal  
150 resolution of  $0.5^\circ \times 0.5^\circ$ . Retroplumes were calculated at hourly intervals at each of the  
151 receptors. 40,000 particles for each retroplume were released and followed 30 days backwards  
152 in time. This should be a sufficiently long time in order to include almost all contributions to  
153 BC concentration at the receptor given the atmospheric lifetime of BC (in the range of 2–10  
154 days, Benkovitz et al., 2004; Koch and Hansen, 2005; Park et al., 2005; Textor et al., 2006).

155 The treatment of scavenging is a major uncertainty for modelling BC (Browse et al.,  
156 2012). Therefore, an ensemble of 12 model simulations was performed each with different  
157 BC tracers having different in–cloud and below–cloud scavenging properties (Table 2). This  
158 method allows the sensitivity of the SRRs (produced by FLEXPART) to scavenging to be  
159 quantified. Table 2 shows the different below–cloud and in–cloud scavenging parameters  
160 used within the model in the sensitivity runs. For all tracers, we assumed a logarithmic size  
161 distribution with an aerodynamic mean diameter of  $0.25 \mu\text{m}$ , a logarithmic standard deviation  
162 of 0.3 and a particle density of  $1500 \text{ kg m}^{-3}$  (Long et al., 2013). The dry deposition scheme in  
163 FLEXPART is based on the resistance analogy (Slinn 1982). The present version of the  
164 model uses the precipitation rate from ECMWF to determine below-cloud scavenging and the  
165 cloud liquid water and ice content, precipitation rate and cloud depth from ECMWF to  
166 calculate in-cloud scavenging (see Grythe et al., 2017).

167 The SRR at the lowest model layer (in seconds) (Figure 1g–i) can be multiplied with  
168 gridded emission fluxes from a BC emission inventory (in  $\text{kg m}^{-2} \text{ s}^{-1}$ ) distributed over the  
169 layer depth (100 m). This gives the prior concentration of BC at the receptor point (in  $\text{ng m}^{-3}$ ).

## 170 2.3 Bayesian inversion

171 The inversion methodology used in the present study, FLEXINVERT, is described fully  
172 in Thompson and Stohl (2014) and has been already used in studies of CH<sub>4</sub>, HFC-125, HFC-  
173 134a and SF<sub>6</sub> (Brunner et al., 2017; Thompson et al., 2015, 2017). Since atmospheric  
174 transport and deposition are linear operations, they can be described as a Jacobian matrix of  
175 SRRs ( $\mathbf{H}$ ). The BC concentrations ( $\mathbf{y}$ ) can then be modelled given an estimate of the  
176 emissions ( $\mathbf{x}$ ) as follows:

$$177 \quad \mathbf{y} = \mathbf{H}(\mathbf{x}) + \boldsymbol{\varepsilon} \quad (1)$$

178 where  $\boldsymbol{\varepsilon}$  is an error associated with model representation, such as the modelled transport and  
179 deposition as well as the measurements. Since  $\mathbf{H}$  is generally not invertible (or may have no  
180 unique inverse), statistical optimization methods are used, which require prior information for  
181 regularization. According to Bayesian statistics, the problem can be expressed as the  
182 maximization of the probability density function of the emissions given the prior information  
183 and observations and is equivalent to finding the minimum of the cost function:

$$184 \quad \mathbf{J}(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - \mathbf{H}\mathbf{x})^T \mathbf{R}^{-1}(\mathbf{y} - \mathbf{H}\mathbf{x}) \quad (2)$$

185 where  $\mathbf{B}$  and  $\mathbf{R}$  are the error covariance matrices for the prior emissions and the observations,  
186 respectively. The error in the observation space also accounts for model representation errors  
187 that are not related to the BC emissions. The emissions that minimize the cost function can be  
188 found by solving the first order derivative of equation (2). Hence, the following equation can  
189 be derived for the most probable emissions,  $\mathbf{x}$  (for details see e.g. Tarantola, 2005):

$$190 \quad \mathbf{x} = \mathbf{x}_b + \mathbf{B}\mathbf{H}^T(\mathbf{H}\mathbf{B}\mathbf{H}^T + \mathbf{R})^{-1}(\mathbf{y} - \mathbf{H}\mathbf{x}_b) \quad (3)$$

191 In this study, the state vector contains the monthly unknown surface emissions on the  
192 grid of variable resolution (Figure 1d–f) and has a resolution of between 1.0°×1.0° and  
193 8.0°×8.0°. The total number of emission variables to be determined was 1422 for 2013, 1404  
194 for 2014 and 1436 for 2015. The posterior error covariance matrix,  $\mathbf{A}$ , is equivalent to the  
195 inverse of the second derivative of the cost function. However, to account for the uncertainty  
196 in the scavenging parameters and different prior information, we instead conduct ensemble of  
197 inversions to estimate the posterior uncertainty in order to account for the systematic errors.  
198 To do this, we conduct the inversion for BC represented by 12 different scavenging  
199 coefficients (see Table 2) and for four different prior emission datasets, and do this for each of  
200 the three years of our study (2013–2015). The resulting model ensemble (12×4=48) for each  
201 year defines the posterior uncertainty due to scavenging and use of different a priori  
202 information (section 3.3).

203 Since negative values for the posterior emissions are mathematically possible but  
204 physically unlikely, we applied a subsequent inequality constraint on the emissions following  
205 the method of Thacker (2007). This is a truncated Gaussian approach in which inequality  
206 constraints are applied as error-free “observations”:

$$207 \quad \hat{\mathbf{x}} = \mathbf{x} + \mathbf{A}\mathbf{P}^T(\mathbf{P}\mathbf{A}\mathbf{P}^T)^{-1}(\mathbf{c} - \mathbf{P}\mathbf{x}) \quad (4)$$

208 where  $\mathbf{A}$  is the posterior error covariance matrix,  $\mathbf{P}$  is a matrix operator to select the variables  
209 that violate the inequality constraint, and  $\mathbf{c}$  is a vector of the inequality constraint, which in  
210 this case is zero.

211 The emissions were solved on an irregular grid, which has been optimized based on the  
212 SRRs to give higher resolution ( $1.0^\circ \times 1.0^\circ$ ) in regions where there is strong contribution  
213 from emission sources to BC concentrations and lower ( $8.0^\circ \times 8.0^\circ$ ) where there is a weak  
214 contribution (Stohl et al., 2009). Then, the results are interpolated onto a uniform grid of  
215  $1.0^\circ \times 1.0^\circ$  resolution from  $180^\circ\text{W}$  to  $180^\circ\text{E}$  and  $50^\circ\text{N}$  to  $90^\circ\text{N}$  and are given at monthly time  
216 resolution for 2013, 2014 and 2015. To constrain emissions of BC monthly, a temporal  
217 correlation scale length between flux time-steps equal to 90 days was set.

## 218 **2.4 A priori emission information**

219 In the present study, the emission inventories ECLIPSE (Evaluating the CLimate and  
220 Air Quality ImPacts of ShortlivEd Pollutants) version 5 (Klimont et al., 2017) (available here:  
221 [http://www.iiasa.ac.at/web/home/research/researchPrograms/air/Global\\_emissions.html](http://www.iiasa.ac.at/web/home/research/researchPrograms/air/Global_emissions.html)),  
222 EDGAR (Emissions Database for Global Atmospheric Research) version HTAP\_V2.2  
223 (Janssens-Maenhout et al., 2015) (available here:  
224 <http://edgar.jrc.ec.europa.eu/methodology.php#>), ACCMIP (Emissions for Atmospheric  
225 Chemistry and Climate Model Intercomparison Project) version 5 (Lamarque et al., 2013)  
226 (available here: [http://accent.aero.jussieu.fr/ACCMIP\\_metadata.php](http://accent.aero.jussieu.fr/ACCMIP_metadata.php)) and MACCity  
227 (Monitoring Atmospheric Composition & Climate / megaCITY - Zoom for the ENvironment)  
228 (Wang et al., 2014) (available here: [http://accent.aero.jussieu.fr/MACC\\_metadata.php](http://accent.aero.jussieu.fr/MACC_metadata.php)) were  
229 used as the prior emission estimates of BC (see Figure 2).

230 The ECLIPSE emission inventory (Figure 2a) accounts for waste burning, industrial  
231 combustion and processing, surface transportation that also includes power plants, energy  
232 conversion and extraction that also includes gas flaring, residential and commercial  
233 combustion.

234 The HTAP\_V2 dataset (Figure 2b) consists of high-resolution gridded emissions of BC  
235 based on nationally reported emissions combined with regional scientific inventories. It

236 includes the sources of aviation, inland waterways and marine shipping, energy production  
237 other than electricity generation, industrial processes, solvent production and application,  
238 electricity generation, ground transport, buildings heating, cooling, equipment, and waste  
239 disposal or incineration.

240 The ACCMIP simulations use the BC emission inventory covering the historical period  
241 (1850–2000) provided by (Lamarque et al., 2010), which is built for the climate model  
242 simulations in CMIP5 (Figure 2c). Anthropogenic emissions are mainly based on Bond et al.  
243 (2004) but apply new emission factors. The year 2000 dataset was used for harmonization  
244 with the future emissions determined by Integrated Assessment Models (IAMs) for the four  
245 Representative Concentration Pathways (RCP4.5, RCP6, and RCP8.5). They include  
246 emissions from energy production and distribution, industry (combustion and non-  
247 combustion), transportation, maritime transport and aviation, residential and commercial  
248 combustion and solvent extraction, agricultural production and waste treatment.

249 MACCity (Figure 2d) was built as an extension of the historical emissions dataset of  
250 ACCMIP. It provides monthly averaged sectorial emissions for each year during the 1960-  
251 2010 period. This dataset was based on the decadal ACCMIP emissions for 1960-2000 and  
252 the 2005 and 2010 emissions provided by RCP 8.5. This scenario was chosen since it  
253 included some information on recent emissions at the regional scale in Europe and North  
254 America. The emission sectors are consistent with Lamarque et al. (2010).

255 Emissions from biomass burning were adopted from the Global Fire Emissions  
256 Database, Version 4 (GFEDv4) (Giglio et al., 2013) and implemented to each of the four  
257 emission inventories for 2013, 2014 and 2015. Emissions from gas flaring are only included  
258 in ECLIPSEv5 inventory.

## 259 **3 Results**

### 260 **3.1 Sensitivity to scavenging and selection of the best representative species** 261 **for BC**

262 The comparison of the simulated and observed concentrations for the 12 different BC  
263 tracers (see Table 2) is shown in Taylor diagrams in Figure 3 for ECLIPSEv5 and in Figure S  
264 1 for ACCMIPv5, EDGAR\_HTAPv2.2 and MACCity, only for those stations that had  
265 continuous measurements for all the years of our study (2013–2015) namely ZEP, SUM, TIK,  
266 BAR, PAL, CAB, MEL and LEI (see Table 1). For all the different BC species,

267 concentrations of BC were calculated using the FLEXPART SRR and the four different  
268 emission datasets for 2013, 2014 and 2015.

269 Correlations of modelled and observed surface concentrations of BC were high ( $>0.5$ )  
270 only at stations ALT, MEL and LEI that present low normalised standard deviation (NSD)  
271 values ( $<1$ ) and low normalised root mean square error (nRMSE) values. All NSD values  
272 were below 1.5 except at TIK and ULM stations (see Figure 3 and Figure S 1). In general,  
273 dispersion models fail to reproduce BC concentrations close to TIK station (Eckhardt et al.,  
274 2015; Evangeliou et al., 2016), as the station has been reported to receive pollution from local  
275 anthropogenic sources (Asmi et al., 2016). ULM station is located on the border between  
276 Germany and the Czech Republic and was shown previously to be strongly affected by BC  
277 emissions from residential combustion sources (Schladitz et al., 2015). The model-  
278 observation mismatches ( $[model - observations]/observations$ ) due to the use of 12  
279 different species for BC can be seen in Figure S 2 for the years 2013, 2014 and 2015. These  
280 values are average concentrations from the use of the four different emissions inventories  
281 (ECLIPSEv5, ACCMIPv5, EDGAR\_HTAPv2.2 and MACCity). The extreme perturbation of  
282 the scavenging coefficients of BC caused an average relative model-observation mismatch  
283 (normalised against observations) of about 39% in 2013 (Figure S 2) at all stations.

284 Similar to 2013, 12 species with different scavenging parameters were used for BC  
285 following Table 2 in 2014 and the comparison with observations is shown in Taylor diagrams  
286 in Figure 3 using ECLIPSEv5 emissions and in Figure S 1 using ACCMIPv5,  
287 EDGAR\_HTAPv2.2 and MACCity for the common stations. The comparison of surface  
288 simulated concentrations with observations showed NSD values above one, high nRMSE  
289 values and correlation coefficients below 0.5 in at most of the stations. The main difference  
290 from year 2013 is that the model-observation mismatches for the surface concentrations of the  
291 12 BC species was estimated to be 32% in 2014 (Figure S 2), in contrast to 39% in 2013. The  
292 same deficiency of the model to capture the spring and summer concentrations of BC was  
293 observed. The calculated mismatches were very low in at most of the lower latitude stations  
294 and increased towards the remote Arctic ones (Figure S 2).

295 Finally, in 2015 the comparison of surface concentrations for each of the 12 different  
296 BC species using the four different datasets (ECLIPSEv5, ACCMIPv5, EDGAR\_HTAPv2.2  
297 and MACCity) with observations showed again the same pattern as in the previous years with  
298 most of the NSD values to be above unity, high nRMSE values and low Pearson coefficients  
299 (Figure 3 and Figure S 1). The model-observation mismatches of BC concentrations (Figure S  
300 2) were estimated as high as 43% for the stations where full measurements existed for the

301 three years of the study (2013–2015). Like in the previous years, the model failed to  
302 reproduce surface concentrations of BC at some of the remote stations of the Arctic.

303 We used the NMSE (Normalised Mean Square Error) to select the most representative  
304 BC tracer species. The NMSE is an estimator of the overall deviations between predicted and  
305 measured values. It is defined as:

$$306 \quad NMSE = \frac{1}{N} \sum_i \frac{(O_i - P_i)^2}{\bar{P}_i \bar{O}_i} \quad (5)$$

307 where  $O_i$  and  $P_i$  are observed and predicted concentrations,  $N$  the number of observations for  
308 which we assess the predicted values, while the overbar indicates the mean over the number  
309 of observations for  $O_i$  and  $P_i$ . Contrary to the relative mismatches, in the NMSE the squared  
310 deviations (absolute values) are summed instead of the differences. For this reason, the  
311 NMSE generally shows the most striking differences among models. NMSE is a highly  
312 selective statistical quantity that can give large differences between models that perform  
313 similarly for other statistical measures. The lower the NMSE value, the better the  
314 performance of the model. On the other hand, high NMSE values do not necessarily mean  
315 that a model is completely wrong as the errors could be due to shifts in time and/or space.  
316 Moreover, it must be pointed out that NMSE is sensitive to outliers (Poli and Cirillo, 1993).

317 The calculated monthly average NMSE values for the 12 species using ECLIPSEv5 as  
318 the emission input can be seen in Figure 4 for year 2013–2015. The different scavenging  
319 coefficients used did not create a large variability in the monthly BC concentrations. This was  
320 caused due to the small perturbation of the scavenging coefficients. A more drastic change of  
321 wet scavenging would have caused BC concentrations to change more, as wet scavenging  
322 dominates removal and deposition of BC by approximately 80%. However, considering that  
323 the selection of the best representative species for BC was top priority, an effort to set realistic  
324 values to the scavenging coefficients was made. The best performance for the majority of the  
325 stations examined and most months was obtained for species 1, 2 and 10 (see Table 2). In  
326 terms of model response over the Arctic stations, a better performance was achieved for  
327 species 1 than for the other two. Therefore, we have chosen species 1 as our reference species  
328 for all subsequent analyses and the inversions. It should be noted here that the same test was  
329 performed using ACCMIPv5, EDGAR\_HTAPv2.2 and MACCity emissions. Although the  
330 results were worse, the best-performed species for BC were again 1, 2 and 10.

### 331 **3.2 Sensitivity to different prior information and selection of the best prior** 332 **emission inventory**

333 In this section we assess the impact of using the different prior emission inventories for  
334 BC and select the most appropriate one for our BC inversions. For this analysis, the best  
335 performing species 1 for BC (see Table 2) was chosen and the monthly relative model –  
336 observation mismatches ( $([model - observations]/observations)$ ) for all stations and  
337 years separated were calculated using all four inventories and are depicted in Figure S 3.

338 The largest monthly relative model-observation mismatches for the a priori simulated  
339 concentrations of BC in 2013 were calculated for stations located close to 50°N (BOS, CAB  
340 MEL, LEI, ULM, ANB). The average model-observation mismatch for all stations was 15%  
341 for 2013. Similar results were found for 2014 for the prior simulated BC concentrations with  
342 the largest relative mismatches recorded at mid-latitude stations where the BC concentrations  
343 were very high due to large anthropogenic emissions (BOS, CAB, MEL, LEI). On average,  
344 the relative model-observation mismatch was as high as 23% for the year 2014. Finally, in  
345 2015, again the highest monthly relative mismatches of the a priori BC concentrations were  
346 estimated for the stations of high anthropogenic influence (CAB, MEL, LEI, WLD). The  
347 average relative model-observation mismatch in 2015 was only 19%, much lower than all  
348 previous years. The fact that all prior emission datasets used failed to reproduce the  
349 observations in central Europe during all years studied (2013, 2014 and 2015), whereas other  
350 stations at mid-latitudes were reproduced well, might imply either missing sources or highly  
351 uncertain measurements (Figure S 3). The use of different emission dataset changes simulated  
352 concentrations by a maximum of 23%.

353 Normalized mean square error (NMSE) values calculated for each of the four emission  
354 inventories were very low at the majority of the stations for which data existed in all the years  
355 of study (ZEP, SUM, TIK, BAR, MEL and LEI), when ECLIPSEv5 emissions were used. In  
356 contrast, at PAL all emission datasets performed well (Figure 5). The observations of BC  
357 concentrations at Arctic stations were better reproduced in simulations using the ECLIPSEv5  
358 than with any other inventories examined. Law and Stohl (2007) have documented that these  
359 elevated BC concentrations are caused by anthropogenic emissions. Black carbon  
360 concentrations at TIK are not well simulated for reasons given in section 3.1.

### 361 **3.3 Optimised (a posteriori) emissions of BC and associated uncertainty**

362 The optimised annual emissions of BC together with the associated posterior gridded  
363 uncertainty and the difference between posterior and prior emissions averaged for the 2013–

364 2015 period can be seen in Figure 6. The posterior emissions are presented for the best  
365 performing species (species 1) of BC and the best prior emissions inventory (ECLIPSEv5).  
366 The total posterior uncertainty was calculated as the standard deviation of the posterior  
367 emissions calculated for the 12 BC species with different scavenging coefficients for four  
368 different emission datasets as prior information for each of the three years ( $12 \times 4 = 48$   
369 inversions, see section 2.2). The total uncertainty is a propagation of the deposition  
370 uncertainty (represented by the posterior emissions using 12 perturbed BC species with  
371 different scavenging coefficients) and the uncertainty due to the use of different prior  
372 information (represented by the posterior emissions using the four different emission  
373 datasets). Table 3 reports annual prior, posterior, and averaged over 2013-2015, BC emissions  
374 for different regions. Five different regions are accounted for, namely North America, North  
375 Europe (including European Russia), North Siberia, Nenets-Komi (Russia) and Khanty-  
376 Mansiysk district (Russia).

377 The optimised emissions show some constant hot-spot areas that persist throughout all  
378 three years and which are attributed to anthropogenic BC emissions. For instance, emissions  
379 in the Nenets – Komi region close to the Yamal peninsula in Russia or in Khanty Mansiysk  
380 region of Northwestern Siberia have been reported to originate to a large extent from gas  
381 flaring (Popovicheva et al., 2017; Stohl et al., 2013; Winiger et al., 2017). Other areas that are  
382 characterised by large anthropogenic emissions are in Western Canada (Alberta), where more  
383 than 100 power industries burn fossil fuels and more than 50 oil and gas production and oil  
384 refining industries operate. In addition, one of the largest oil sands deposits are found in  
385 Northern Alberta and in the McMurray area, which contains about 168 billion barrels of oil  
386 (Heins, 2000). Cheng et al. (2018) found high concentrations of BC (more than  $1000 \text{ ng m}^{-3}$ )  
387 in the Canadian oil sands region at altitudes of up to 2 km during a flight campaign.

388 The optimised BC emissions in North America for the 2013–2015 period were between  
389  $149$  and  $193 \text{ kt y}^{-1}$  (average  $\pm$  1-sigma error:  $174 \pm 58 \text{ kt y}^{-1}$ ), in the same order with the prior  
390 emissions in ECLIPSEv5 ( $148$ – $182 \text{ kt y}^{-1}$ ) and slightly higher than ACCMIPv5,  
391 EDGAR\_HTAPv2.2 and MACCity ( $116$ – $150 \text{ kt y}^{-1}$ ). In Northern Europe we estimated that  
392  $124$ – $238 \text{ kt y}^{-1}$  of BC were released (average  $\pm$  1-sigma error:  $170 \pm 59 \text{ kt y}^{-1}$ ), which is less  
393 than half the ECLIPSEv5 emissions ( $352$ – $381 \text{ kt y}^{-1}$ ), about 35% lower than the ACCMIPv5  
394 and MACCity emissions ( $241$  –  $256 \text{ kt y}^{-1}$ ) and in the same order as the EDGAR\_HTAPv2.2  
395 emissions ( $163$ – $175 \text{ kt y}^{-1}$ ). Posterior BC emissions were higher in North Siberia for the 3-  
396 year period ( $130$ – $291 \text{ kt y}^{-1}$ , average  $\pm$  1-sigma error:  $217 \pm 69 \text{ kt y}^{-1}$ ) compared with  
397 ECLIPSEv5 ( $187$ – $238 \text{ kt y}^{-1}$ ), ACCMIPv5 ( $127$ – $178 \text{ kt y}^{-1}$ ), EDGAR\_HTAPv2.2 ( $108$ – $159 \text{ kt}$

398  $\text{y}^{-1}$ ) or MACCity (129–179  $\text{kt y}^{-1}$ ). Larger changes in the BC emissions were calculated in  
399 Russian territories that are known to be important gas flaring sources (Stohl et al., 2013). BC  
400 emissions in the Nenets-Komi oblast were between 14 and 17  $\text{kt y}^{-1}$  (average  $\pm$  1-sigma error:  
401  $15\pm 5 \text{ kt y}^{-1}$ ), about 40% lower than the respective emissions in ECLIPSEv5 ( $\approx 25 \text{ kt y}^{-1}$ ), the  
402 only prior dataset that took gas flaring into account there. This could be due to the decreasing  
403 magnitude of the flaring emissions in the last few years (see Huang and Fu, 2016). Finally, in  
404 Khanty-Mansiysk BC emissions were 28–37  $\text{kt y}^{-1}$  (average  $\pm$  1-sigma error:  $32\pm 8 \text{ kt y}^{-1}$ )  
405 compared to 25  $\text{kt yr}^{-1}$  in ECLIPSEv5, whereas in the other datasets that do not include BC  
406 emissions due to flaring, BC emissions were negligible. However, the posterior Khanty-  
407 Mansiysk emissions are shifted further east compared to the prior.

408 The annual posterior BC emissions at latitudes above  $50^\circ\text{N}$  were estimated as  $560\pm 171$   
409  $\text{kt yr}^{-1}$  averaged for the 2013–2015 time period ( $523\pm 92 \text{ kt yr}^{-1}$  in 2013,  $608\pm 104 \text{ kt yr}^{-1}$  in  
410 2014 and  $549\pm 100 \text{ kt yr}^{-1}$  in 2015, respectively). For the same area and period, BC emissions  
411 in ECLIPSEv5 were 745  $\text{kt yr}^{-1}$ , in ACCMIPv5 533  $\text{kt yr}^{-1}$ , in EDGAR\_HTAPv2.2 437  $\text{kt yr}^{-1}$   
412  $^1$ , while in MACCity they were 538  $\text{kt yr}^{-1}$ . The annual posterior absolute uncertainty can be  
413 seen in Figure 6b. As it was explained before, this uncertainty is a combination of the  
414 uncertainty due to scavenging and due to the use of different prior information in the  
415 inversions of BC. Averaged over the period 2013–2015, the relative uncertainty of the  
416 inversion was estimated to be 30%. The uncertainty due to different scavenging coefficients  
417 in the BC species used was 25%, while the uncertainty due to the use of different prior  
418 emissions was only 5%.

### 419 **3.4 Validation of the posterior emissions of BC**

420 The concentrations of BC at eight measurement stations simulated with the posterior  
421 (optimised) BC emissions can be seen in Figure S 4. As expected, BC concentrations match  
422 the observations significantly better than using any of the a priori datasets with correlation  
423 coefficients above 0.6 for most of the stations. At the same time, NSD values were close to  
424 unity or lower and the nRMSE values below 1.5 at most of the stations shown in Figure S 4.  
425 However, the comparison to observations included in the inversion is not a sufficient  
426 indicator of the inversion's performance, as the inversion is designed to reduce the model-  
427 observation mismatches. The magnitude of the posterior reduction of the model mismatch to  
428 the observations is partly determined by the weighting given to the observations relative to  
429 the prior emissions. A much better performance indicator is the comparison of the posterior

430 concentrations with observations that were not included in the inversion (independent  
431 observations).

432 For this reason, we compared posterior BC concentrations with observations from the  
433 ACCACIA (Aerosol-Cloud Coupling and Climate Interactions in the Arctic) flight campaign,  
434 which was conducted near Zeppelin station, Ny-Ålesund, for 3 days in March 2013 (Sinha et  
435 al., 2017). This campaign was chosen because it was conducted during one year for which  
436 inversion results are available (2013). The results are shown in Figure 7 for the prior  
437 simulated concentrations of BC using four different emission datasets (ACCMIPv5,  
438 ECLIPSEv5, EDGAR\_HTAPv2.2 and MACCity) and the posterior simulated BC  
439 concentrations. In all profiles, use of the optimised BC emissions results in a better agreement  
440 between modeled concentrations and observations compared to the prior simulated BC  
441 concentrations, while the RMSE (not normalised) values decrease substantially. However, the  
442 Pearson's correlation coefficients were below 0.5.

443 To assess the performance of the inversions of BC in 2014, we used an independent  
444 dataset from a ship campaign that took place in the North Atlantic and Baltic Seas in June and  
445 August 2014 (Figure 8) provided by Shevchenko et al. (2016). Although the measurements  
446 may sometimes be affected by the ship's exhaust, the posterior RMSE was 34% lower than  
447 the average RMSE using four different a priori emission datasets (ACCMIPv5, ECLIPSEv5,  
448 EDGAR\_HTAPv2.2 and MACCity), supporting the view that the inversion improved the  
449 emissions for 2014.

450 To validate the 2015 inversions of BC, measurements from a ship campaign over the  
451 Russian Arctic were used (Popovicheva et al., 2017) and the results are shown in Figure 9.  
452 The cruise started from the port of Arkhangelsk in the Northwestern European Russia,  
453 reached the Bolshevik Island in the higher Russian Arctic and returned following more or less  
454 the same pathway. The calculated RMSE of the posterior BC concentrations with the  
455 measurements taken during the cruise was about 10% lower than the respective RMSE from  
456 the prior simulated concentrations of BC (average for all prior simulated emissions). This  
457 shows that the optimised emissions improved BC concentrations over the Russian Arctic.  
458 Some episodic peaks of BC throughout the ship cruise, however, were poorly captured.

## 459 **4 Discussion**

460

#### 461 **4.1 BC emissions in North America**

462 The spatial distribution of the optimised BC emissions in North America averaged for  
463 the 3-year period is depicted in Figure 10 and the annual posterior emissions for 2013, 2014  
464 and 2015 are shown in Figure S 5. The right panel of the same figures shows the differences  
465 between posterior and prior emissions (ECLIPSEv5) and highlights the biggest emission  
466 changes compared to the a priori dataset.

467 The most characteristic locations of sources between 2013 and 2015 lie in Alberta,  
468 where most of the large oil-producing industries operate (Figure 10 and Figure S 5). The  
469 highest emission source was located in 60°N–135°W in 2013 and 2015, but not in 2014. This  
470 spot corresponds to the location of Whitehorse, which is the capital and only city of Yukon,  
471 and the largest city in Northern Canada. The area involves mining activities (mainly for gold)  
472 and three natural gas wells, while biomass in the form of cordwood and pellets is used for  
473 space heating (Yukon Government, 2018). The fact that near-zero BC emissions were  
474 calculated in Whitehorse in 2014 might be due to the lack of available measurements in North  
475 America, which in turn results in poorly constrained posterior BC emissions. Another similar  
476 hotspot area that is more intense in 2013 and 2015, but not in 2014 is located in Yellowknife  
477 north of Great Slave Lake (62.5°N–115°W, Figure S 5). The city is known for gold and  
478 diamond mining and an oil-driven power plant (Northwest Territories Power Corporation,  
479 <https://www.ntpc.com>). Finally, another characteristic hotspot emission region of BC is seen  
480 southeast of Lake Athabasca (57°N–108°W, Figure 10). Uranium mines are located in this  
481 region. These mines use diesel generators, diesel trucks, and other diesel-powered machinery.  
482 Exactly in this location, the Visible Infrared Imaging Radiometer Suite (VIIRS) showed  
483 relatively strong night-time light sources  
484 ([https://www.lightpollutionmap.info/#zoom=5&lat=8255540&lon=-](https://www.lightpollutionmap.info/#zoom=5&lat=8255540&lon=-11864816&layers=B0FFFTFFFT)  
485 [11864816&layers=B0FFFTFFFT](https://www.lightpollutionmap.info/#zoom=5&lat=8255540&lon=-11864816&layers=B0FFFTFFFT)).

#### 486 **4.2 BC emissions in Northern Europe**

487 The posterior BC emissions in Northern Europe averaged for the period 2013–2015 can  
488 be seen in Figure 11 together with the difference between prior (ECLIPSEv5) and posterior  
489 BC emissions, while the posterior emissions for each individual year are shown in Figure S 6.  
490 The location of the gas flaring facilities are also presented in the same figures together with  
491 vegetation fires from the FEINE (Fire Emission Inventory– northern Eurasia) inventory (Hao  
492 et al., 2016). The latter combines the MODIS thermal anomalies products (MOD14 and  
493 MYD14) and the MODIS top-of-the-atmosphere-calibrated reflectance product (MOD02) to

494 map and date burn scars that are screened for false detections. Land cover classification of  
495 burned areas are taken from the MODIS land cover change product (MOD12) (Friedl et al.,  
496 2010). This dataset is considered more realistic than GFED4 due to the emission factors used  
497 for BC (May et al., 2014) and the different approach of burned area calculation (see Hao et  
498 al., 2016).

499 The highest posterior BC emissions are calculated for the Moscow megacity at 55°N–  
500 37.5°E, Berlin 52°N–14°E, Warsaw 52°N–21°E, Kyiv 50°N–30°E, Saint Petersburg 60°N–  
501 30°E, while London is slightly misplaced to the west (Figure 11). The Scandinavian countries  
502 have the lowest emissions, although domestic heating there can also be important (Andersen  
503 and Jespersen, 2016). The difference between prior and posterior emissions show that  
504 vegetation fires have a large impact on the BC emissions especially in Eastern Europe. In  
505 particular in 2015, the inversion produces large emission increases exactly where a large  
506 number of fire hot spots were found (see Figure S 6).

### 507 **4.3 BC emissions in North Siberia**

508 Figure 12 illustrates the average posterior BC emissions in Western Siberia for the  
509 2013–2015 period together with the difference between the prior (ECLIPSEv5) and the  
510 posterior BC emissions, while Figure S 7 shows the respective BC emissions for each year  
511 individually, together with the flaring facilities and the vegetation fires similarly to the  
512 previous section.

513 The prior BC emissions from flaring in Nenets-Komi oblast are confirmed by the  
514 inversion, although the emissions are shifted further east, while the flaring emissions in  
515 Khanty-Mansiysk are probably underestimated in ECLIPSEv5 (see also Table 3). Vegetation  
516 fires are shown to correlate well with BC emissions for 2013 (60°N–70°N) and 2014 (50°N–  
517 60°N) (Figure S 7), but not in 2015. Hotspots of high emissions were found in Dudinka  
518 (88°E–70°N), a town on the Yenisei River and the administrative center of Taymyrsky  
519 Dolgano-Nenetsky District of Krasnoyarsk Krai, Russia, due to the Norilsk Mining and  
520 Smelting Factory extracting coal and ores. Furthermore, increase posterior BC emissions were  
521 estimated across the line that connects some important Russian cities (Yekaterinsburg to  
522 Chelyabinsk, 60°E–55°N). These cities have been reported to contribute large amounts of BC  
523 mainly from transportation (see Evangelidou et al., 2018). Another hotspot exists at 108°E–  
524 58°N that corresponds to VIIRS night-time lights  
525 ([https://www.lightpollutionmap.info/#zoom=5.69666666666666656&lat=8239438&lon=12096](https://www.lightpollutionmap.info/#zoom=5.69666666666666656&lat=8239438&lon=12096227&layers=B0FFFFTFFFT)  
526 [227&layers=B0FFFFTFFFT](https://www.lightpollutionmap.info/#zoom=5.69666666666666656&lat=8239438&lon=12096227&layers=B0FFFFTFFFT)). These emissions are attributed to flaring as four facilities are

527 collocated there (see Figure 12). Finally, high BC emissions originate from the Nizhny  
528 Novgorod oblast (44°E–55°N). The oblast ranks seventh in Russia in industrial output.  
529 Processing industries predominate in the local economy. The leading sectors of more than 650  
530 industries are engineering and metalworking, followed by chemical and petrochemical  
531 industries and forestry, woodworking, and paper industries and one facility that flare gas  
532 (GGFR, Figure 12).

533 In the western part of Siberia, there are numerous sources of average or low intensity.  
534 However, there no known anthropogenic sources there. At the lowest part of the inversion  
535 domain, in the borders of Russia with Mongolia, the posterior emissions showed a large  
536 increase (Figure 6). These emissions are prevalent along the Trans-Siberian Railway. Human  
537 activities in the villages along the railway have been highlighted to be the major cause of the  
538 fires there.

#### 539 **4.4 Seasonal variability of BC emissions**

540 The monthly optimised BC emissions are shown in Figure 13 for the three years of  
541 study (2013–2015) for the entire area north of 50°N, and separately for areas north of 50°N in  
542 North America, North Europe, North Siberia, Nenets-Komi oblast (Russia) and Khanty-  
543 Mansiysk (Russia). The last two regions are known to have large emissions from gas flaring.  
544 In the same figure the prior emissions from ECLIPSEv5, EDGAR\_HTAPv2.2, ACCMIPv5  
545 and MACCity are plotted for comparison.

546 The total posterior BC emissions (>50°N) show large seasonal variation (Figure 13a).  
547 The maximum emissions were calculated for summer months (July in 2013 and June in 2014  
548 and 2015). In these months large emissions from biomass burning have been reported both in  
549 GFED4 (see burned area in Giglio et al., 2013), as well as in the FEINE inventory for  
550 Northern Eurasia (Hao et al., 2016). Separating the inversion domain into continental regions  
551 reveals where biomass burning is important. For instance, in North America (Figure 13b),  
552 although GFED4 that is included in all the prior emission datasets, shows a large emission  
553 peak for BC in summer implying that fires are important, our optimised emissions show a  
554 significantly smaller variability. This was not the case for North Europe (Figure 13c) where  
555 the largest seasonal BC emissions were found in July for 2013 and in May for 2015, while in  
556 2014 the largest peak appeared in April. This is not seen in the prior emission datasets, which  
557 show weak monthly variation. The largest seasonal variations were calculated for Northern  
558 Siberia (Figure 13d) and BC emissions there control the overall seasonal pattern for the total  
559 optimised BC emissions (>50°N). A large month-to-month variability was estimated in the

560 Nenets-Komi oblast (Figure 13e), but no clear seasonal pattern. Finally, the largest monthly  
561 BC emissions in Khanty-Mansiysk oblast of Russia (Figure 13f) were calculated in April for  
562 2013, July for 2014 and June for 2015 showing that a large share in the BC emissions in this  
563 region originate from biomass burning since the region is located at mid-latitudes (60°N–  
564 65°N) and is vulnerable to open fires.

## 565 **5 Conclusions**

566 We have optimised BC emissions at high northern latitudes (>50°N) for the 2013–2015  
567 period using a Bayesian inversion tool, an atmospheric transport model and network of  
568 continuous measurements of BC. We performed a sensitivity study to assess the best  
569 representative species for BC according to the efficiency of in-cloud and below-cloud  
570 scavenging, and the best representative emission inventory to be used as the prior information  
571 for our inversion.

572 The perturbation of scavenging coefficients for BC in the simulated concentrations  
573 creates a relative model–observation mismatch of 32%–43% for the three years of study,  
574 whereas the use of different emission inventories has a less significant effect in the simulated  
575 concentrations showing a relative model–observation mismatch of 15%–23%.

576 The posterior BC emissions show characteristic hot-spots throughout all three years in  
577 the Nenets – Komi region close to the Yamal peninsula in Russia or in Khanty Mansiysk  
578 region of Northwestern Siberia, where gas flaring facilities are located and in Western Canada  
579 (Alberta), where more than 150 power and oil/gas production industries operate. The annual  
580 posterior BC emissions at latitudes above 50°N were estimated as  $560 \pm 171$  kt yr<sup>-1</sup>,  
581 significantly smaller than in ECLIPSEv5 (745 kt yr<sup>-1</sup>), which was used and the prior  
582 information in the inversions of BC (best representative emission dataset).

583 The uncertainty of the inversions was assessed using a model ensemble represented by  
584 12 different scavenging coefficients for BC and four different prior emission datasets  
585 ( $12 \times 4 = 48$ ) for each of the three years of our study. We calculate a relative uncertainty of the  
586 inversion of 30% for the three years of our study.

587 The posterior simulated concentrations of BC showed a better agreement with  
588 independent observations adopted from flight and ship campaigns over the Arctic presenting,  
589 in all cases, up to three times lower RMSE values.

590 In North America, the posterior emissions were found similar to the a priori ones driven  
591 by anthropogenic sources, while biomass burning appeared to be insignificant. This was  
592 confirmed by satellite products that showed weak existence of active fire hot-spots.

593 In North Europe, posterior emissions were estimated to be half compared to the prior  
594 ones, with the highest releases to be in megacities and due to biomass burning in Eastern  
595 Europe.

596 Finally, in North Siberia the larger emissions were calculated along the transect  
597 between Yekaterinsburg and Chelyabinsk, while flaring in Nenets-Komi oblast is probably  
598 overestimated in the a priori emissions. Increased emissions in the borders between Russia  
599 and Mongolia are probably due to biomass burning in villages along the Trans-Siberian  
600 Railway.

601

602 **Data availability.** All data generated for the present publication are stored on NIRD  
603 (<https://www.uio.no/english/services/it/research/storage/nird-sigma.html>) (project NS9419K)  
604 and can be obtained from the corresponding author upon request.

605

606 **Competing financial interests.** The authors declare no competing financial interests.

607

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622

623 **Author contributions.** NE performed the simulations, analyses and wrote the paper, RLT  
624 helped in the adaptation of FLEXINVERT for BC and commented on the paper, SE helped in  
625 the implementation of the experiments and AS coordinated, commented and wrote parts of  
626 the paper.

627

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Site ID	Organisation	Latitude	Longitude	Altitude	Year	Instrument	Description
ALT	EC/AES	82.5°N	62.5°W	205 m	2013, 2015	PSAP-3W	Alert, Nunavut, Canada
ANB	HMGU	50.6°N	13.0°E	549 m	2013	MAAP	Annaberg-Buchholz, Germany
APP	AAIRF	36.2°N	81.7°W	1110 m	2015	PSAP-3W	Appalachian SU, Boone, USA
ASP	SU	58.8°N	17.4°E	20 m	2013	PSAP	Asprveten, Västerås, Sweden
BAR	NOAA-ESRL	71.3°N	161.6°W	9 m	2013, 2014, 2015	CLAP-3W	Barrow, Alaska, USA
BIR	NILU	58.4°N	8.2°E	219 m	2014, 2015	PSAP-3W	Birkenes, Norway
BON	NOAA-ESRL	40.0°N	88.4°W	213	2015	CLAP-3W	Bondville, USA
BOS	TROPOS	53.0°N	7.9°E	53 m	2013, 2014	MAAP-5012	Bösel, Germany
CAB	ACTRIS, GAW	52.0°N	4.9°E	61 m	2013, 2014, 2015	Thermo-5012	Cabauw Zijdeweg, Netherlands
COL	NOAA-ESRL	40.4°N	106.7°W	3220 m	2015	PSAP-3W	Steamboat Springs, Colorado, USA
ETL	EC/AES	54.4°N	105.0°W	502 m	2013, 2015	PSAP-1W	East Trout Lake, Canada
GOS	NOAA	33.3°N	126.2°E	72 m	2014, 2015	CLAP-3W	Gosan, South Korea
HYY	UH, DPS	61.6°N	24.2°E	181 m	2013, 2015	Thermo-5012	Hyytiälä, Finland
KPU	HMS, ACUV	47.0°N	19.6°E	125 m	2013, 2014, 2015	CLAP-3W	K-puszta, Hungary
LEI	TROPOS	51.3°N	12.3°E	122 m	2013, 2014, 2015	MAAP-5012	Leipzig, Germany
MEL	TROPOS	51.5°N	12.9°E	86 m	2013, 2014, 2015	MAAP-5012	Melpitz, Torgau, Germany
MOU	ACTRIS, GAW	42.2°N	23.6°E	2971 m	2014, 2015	CLAP-3W	BEO Moussala, Bulgaria
MOV	-	38.1°N	8.8°W	43 m	2015	RFPS-1287	Monte Velho, Portugal
NEP	CNR	28.0°N	86.8°E	5079 m	2015	MAAP01	Nepal Climate Observatory
PAL	FMI	68.0°N	24.2°E	560 m	2013, 2014, 2015	Thermo-5012	Pallas, Sodankylä, Finland
SGP	NOAA-ESRL	36.6°N	97.5°W	318 m	2015	PSAP-3W	South Great Planes, USA
SUM	PF	72.6°N	38.5°W	3211 m	2013, 2014, 2015	CLAP-3W	Summit, Greenland
TIK	NOAA, MeteoRF	71.6°N	128.9°E	30 m	2013, 2014, 2015	Magee AE31	Tiksi, Russian Federation
TRI	NOAA-ESRL	41.1°N	124.2°W	117 m	2015	PSAP-3W	Trinidad Head, Canada
ULM	ACTRIS, GAW	50.7°N	14.8°E	161 m	2013	MAAP-CHMI	Ústí n.L.-mesto, Czechia
WHI	EC/AES	50.0°N	122.9°W	2182 m	2013, 2015	PSAP-1W	Whisper, British Columbia, Canada
WLD	GAW-WDCA	52.8°N	10.8°E	78 m	2015	MAAP-5012	Waldhof, Germany
ZEP	NCSRD	78.9°N	11.9°E	474 m	2013, 2014, 2015	Magee AE31	Zeppelin, Ny Ålesund, Norway

897 **Table 2.** Different scavenging parameters of below–cloud and in–cloud scavenging used in the ensemble model simulations for BC. ***A*** and ***B*** are  
 898 rain and snow collection efficiencies for below-cloud scavenging, ***A<sub>i</sub>*** is the cloud condensation nuclei efficiency and ***B<sub>i</sub>*** the ice nuclei efficiency  
 899 that are used in in-cloud scavenging following Grythe et al. (2017).

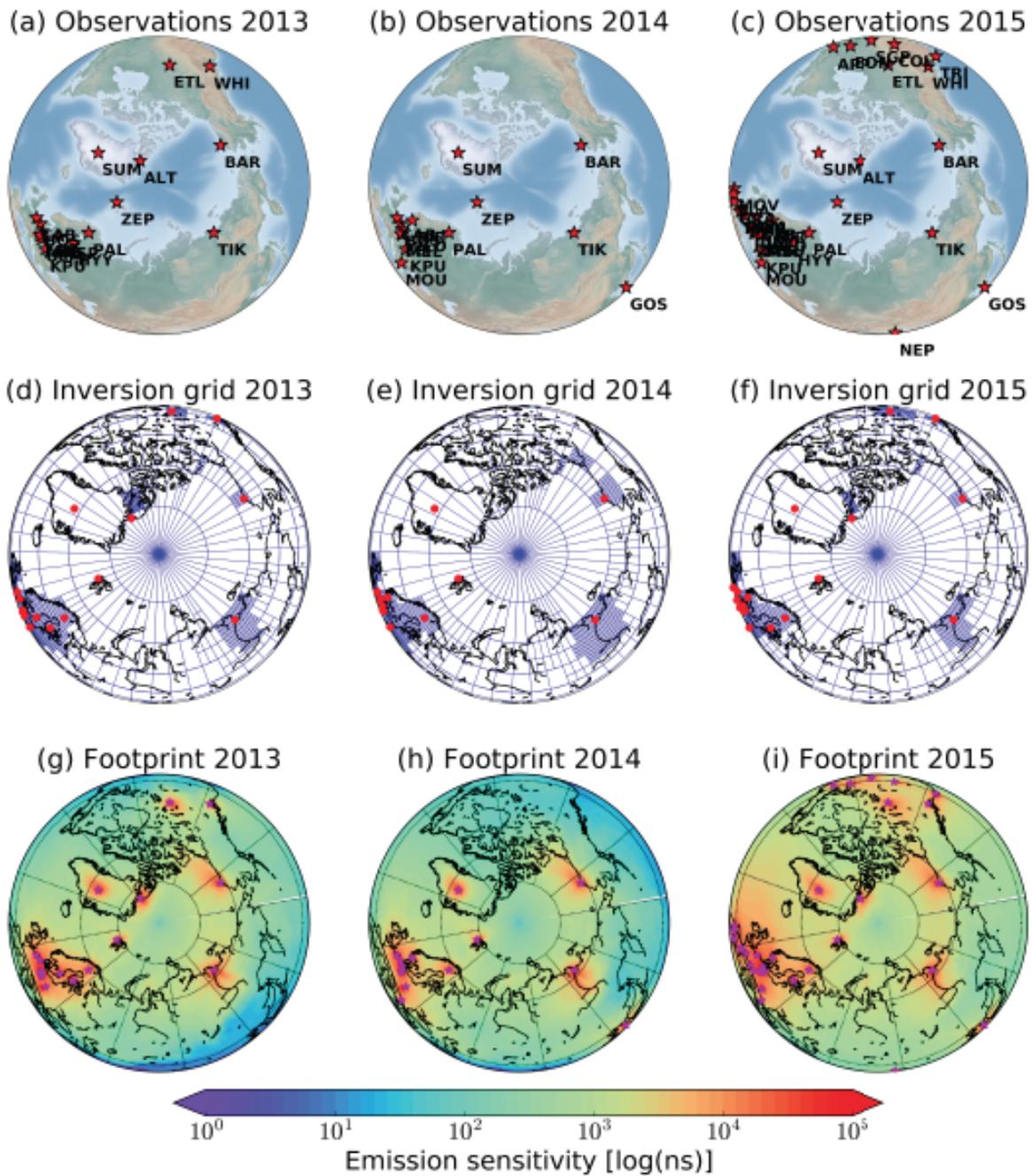
	<b><i>A</i></b>	<b><i>B</i></b>	<b><i>A<sub>i</sub></i></b>	<b><i>B<sub>i</sub></i></b>
BC1	1.0	1.0	0.90	0.10
BC2	1.0	1.0	0.90	0.45
BC3	1.0	1.0	0.45	0.20
BC4	1.0	0.5	0.45	0.20
BC5	0.5	0.5	0.45	0.20
BC6	1.0	0.2	0.90	0.20
BC7	1.0	1.0	0.20	0.20
BC8	2.0	1.0	0.45	0.10
BC9	0.2	0.2	0.90	0.90
BC10	1.0	1.0	0.90	0.20
BC11	2.0	1.0	0.45	0.45
BC12	1.0	1.0	0.45	0.00

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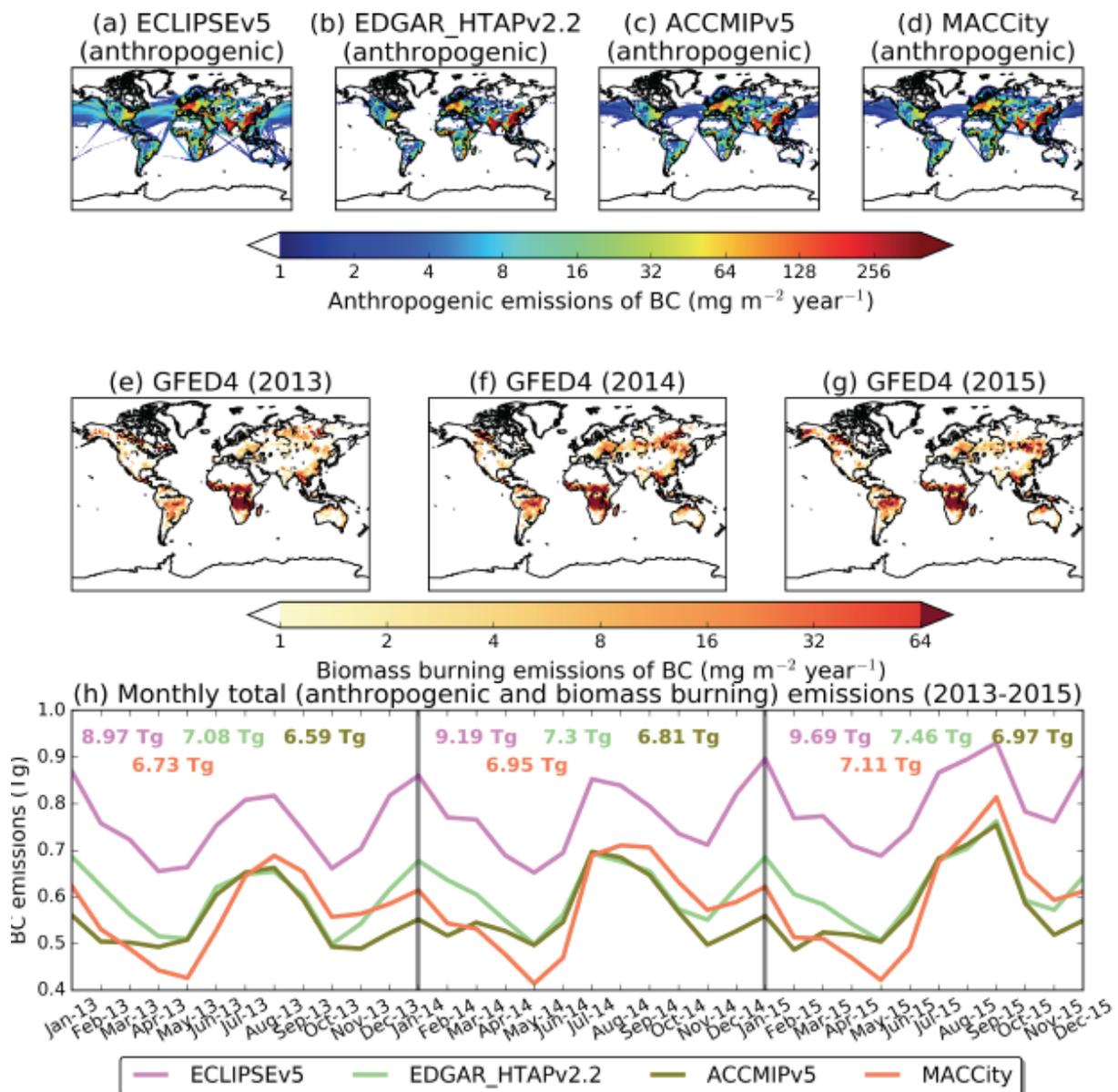
906 **Table 3.** Annual prior (ACCMIPv5, EDGAR\_HTAPv2.2, MACCity and ECLIPSEv5) and posterior emissions of BC for 2013, 2014 and 2015  
 907 (inversion using best representative species and best prior inventory).

<b>kilotons per year</b>	<b>N. America</b>	<b>N. Europe</b>	<b>N. Siberia</b>	<b>Nenets-Komi</b>	<b>Khanty-Mansiysk</b>
<b>2013</b>					
ACCMIPv5 (prior)	116	241	127	0.6	1.8
EDGAR_HTAPv2.2 (prior)	117	163	108	0.3	0.6
MACCity (prior)	117	244	129	0.6	1.9
ECLIPSEv5 (prior)	148	352	187	26	25
Posterior (ECLIPSEv5)	149±45	152±46	230±66	17±5	32±8
<b>2014</b>					
ACCMIPv5 (prior)	130	253	178	0.5	1.9
EDGAR_HTAPv2.2 (prior)	131	175	159	0.3	0.7
MACCity (prior)	131	256	179	0.5	1.8
ECLIPSEv5 (prior)	162	364	238	25	26
Posterior (ECLIPSEv5)	193±61	124±44	291±73	15±5	28±8
<b>2015</b>					
ACCMIPv5 (prior)	149	250	155	0.5	1.8
EDGAR_HTAPv2.2 (prior)	150	172	136	0.3	0.6
MACCity (prior)	150	252	156	0.6	1.8
ECLIPSEv5 (prior)	182	381	222	25	25
Posterior (ECLIPSEv5)	181±55	238±66	130±52	14±5	37±8
<b>3-year average emissions</b>	<b>174±58</b>	<b>170±59</b>	<b>217±69</b>	<b>15±5</b>	<b>32±8</b>

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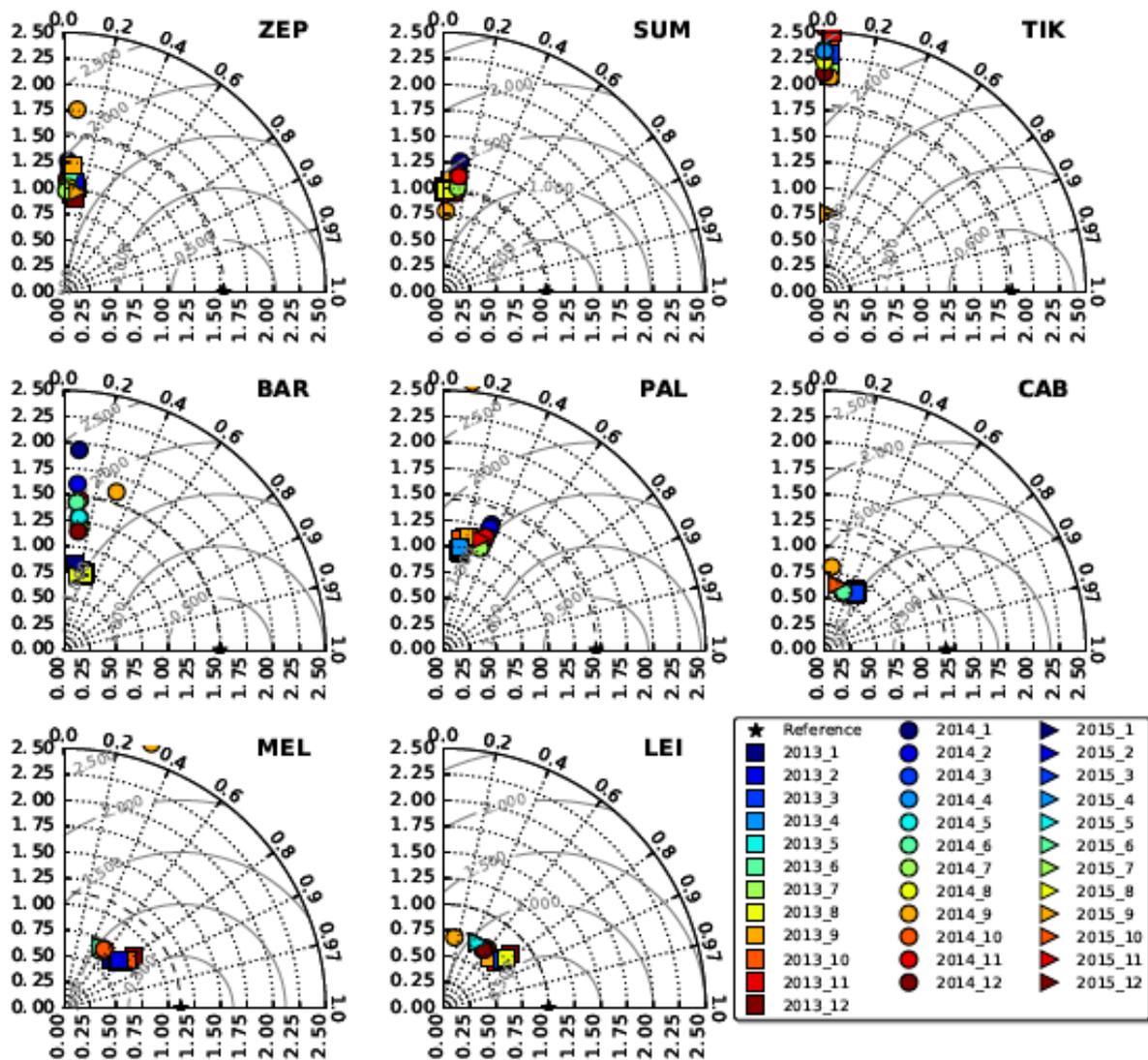
912  
 913 **Figure 1.** Observation network used for the present inversion (a, b and c), and variable-  
 914 resolution grid used for the inversion (d, e, and f) also showing the location of the observation  
 915 sites (red stars) for 2013–2015 period. Sensitivity to the surface emissions (i.e., the footprint  
 916 emission sensitivity or equivalently source-receptor relationship) integrated over all  
 917 observation sites and all time steps (g, h and i) for the years 2013, 2014 and 2015 (units of  
 918 log(ns)).



919  
 920 **Figure 2.** (a–d) Anthropogenic emissions of BC in the inversion domain ( $>50^\circ\text{N}$ ) from  
 921 ECLIPSEv5, EDGAR\_HTAPv2.2, ACCMIPv5 and MACCcity (anthropogenic emissions are  
 922 assumed to be constant throughout every year). (e–g) Biomass burning emissions from  
 923 GFED4 for 2013, 2014 and 2015 (Giglio et al., 2013). (h) Monthly total (anthropogenic and  
 924 biomass burning) BC emissions north of  $50^\circ\text{N}$  from 2013 to 2015 from the four prior  
 925 inventories used for the inversion. Coloured numbers correspond to total annual BC from  
 926 each emission inventory.

927

**COMPARISON OF PRIOR SIMULATED CONCENTRATIONS (ECLIPSEv5)  
(YEARS 2013-2015)**

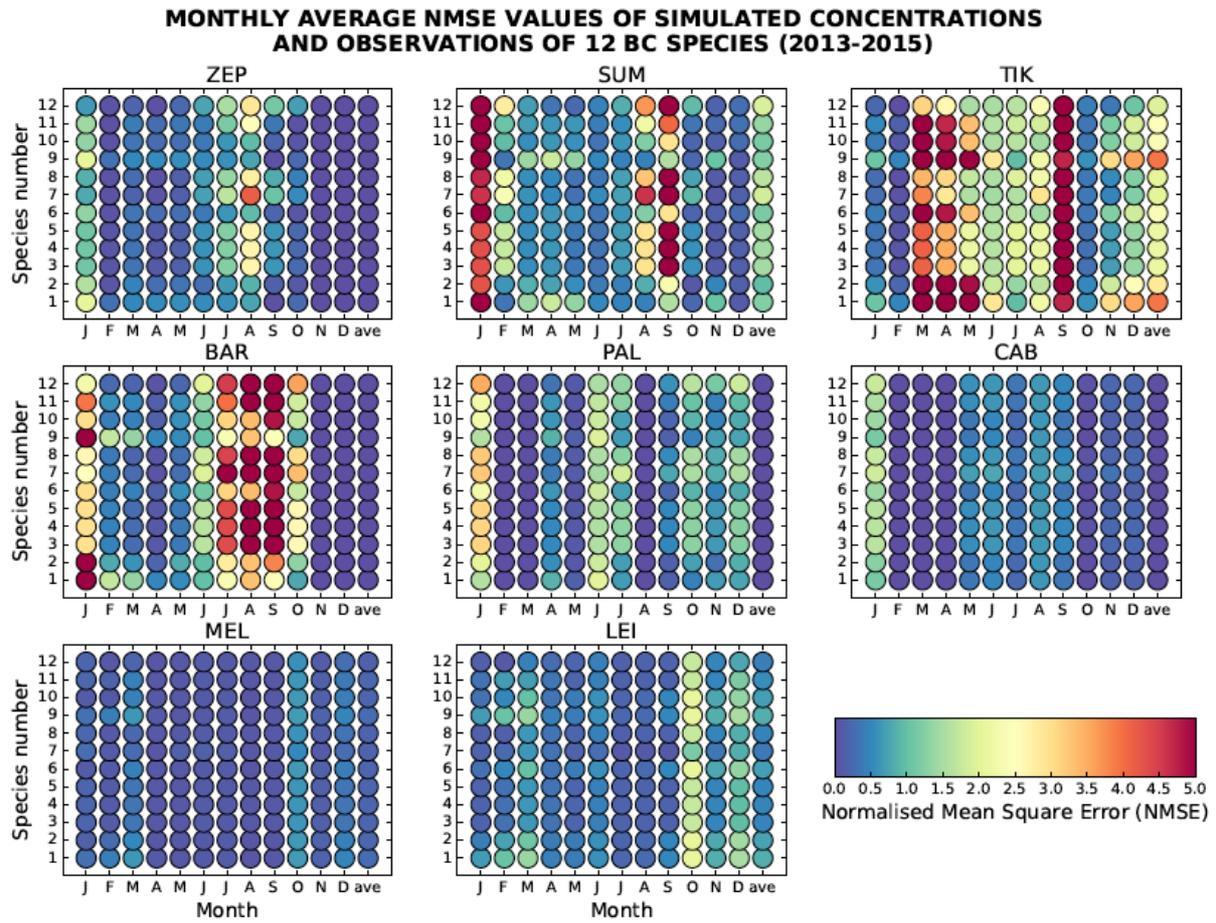


928

929 **Figure 3.** Taylor diagrams for the comparison of the prior (ECLIPSEv5) simulated  
 930 concentrations with observations for all years (2013 – 2015) for 12 BC species with different  
 931 scavenging coefficients (**Table 2**). The radius indicates standard deviations normalised  
 932 against the mean concentration (NSD); the azimuthal angle the Pearson correlation  
 933 coefficient, while the normalised (against observation) root mean square error (nRMSE) in  
 934 the simulated concentrations is proportional to the distance from the point on the x-axis  
 935 identified as “reference” (grey contours).

936

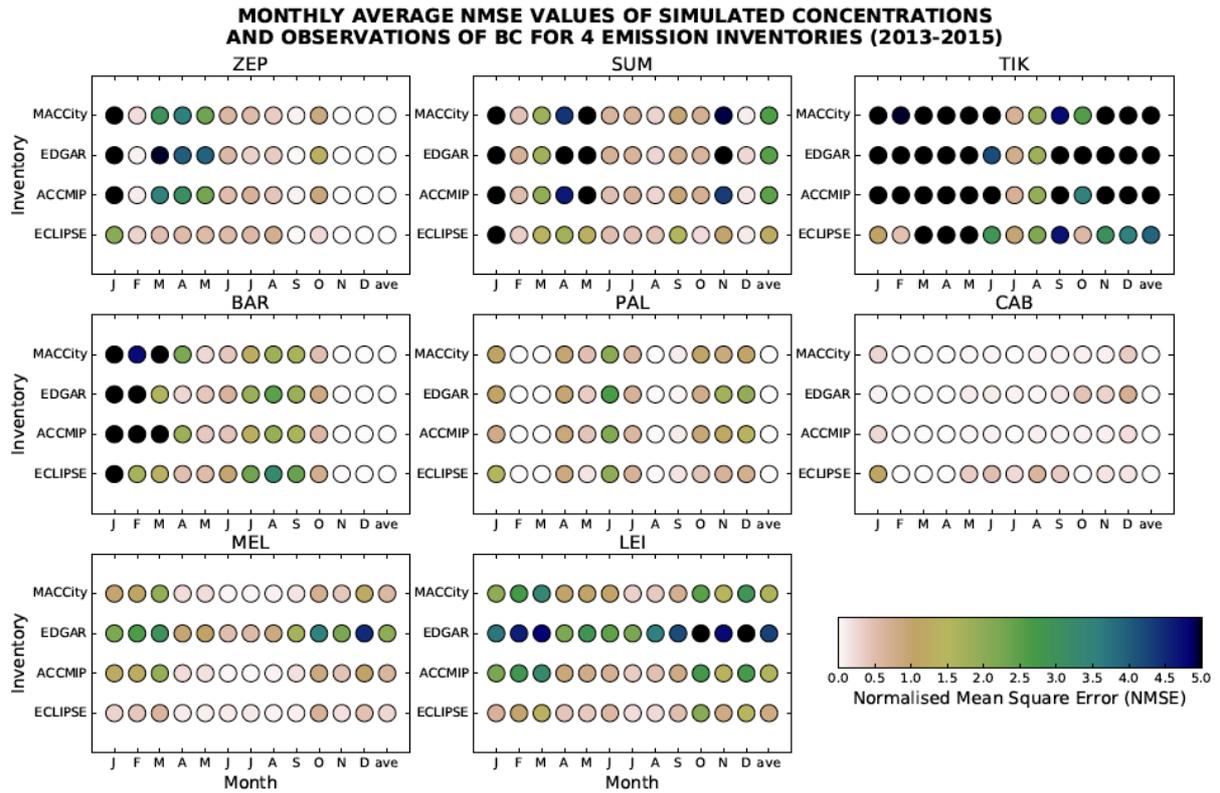
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938

939 **Figure 4.** Monthly average NMSE values due to use of 12 different BC species defined in  
940 **Table 2** for the eight stations with complete data in the period 2013–2015. The annual mean  
941 is denoted as “ave”).

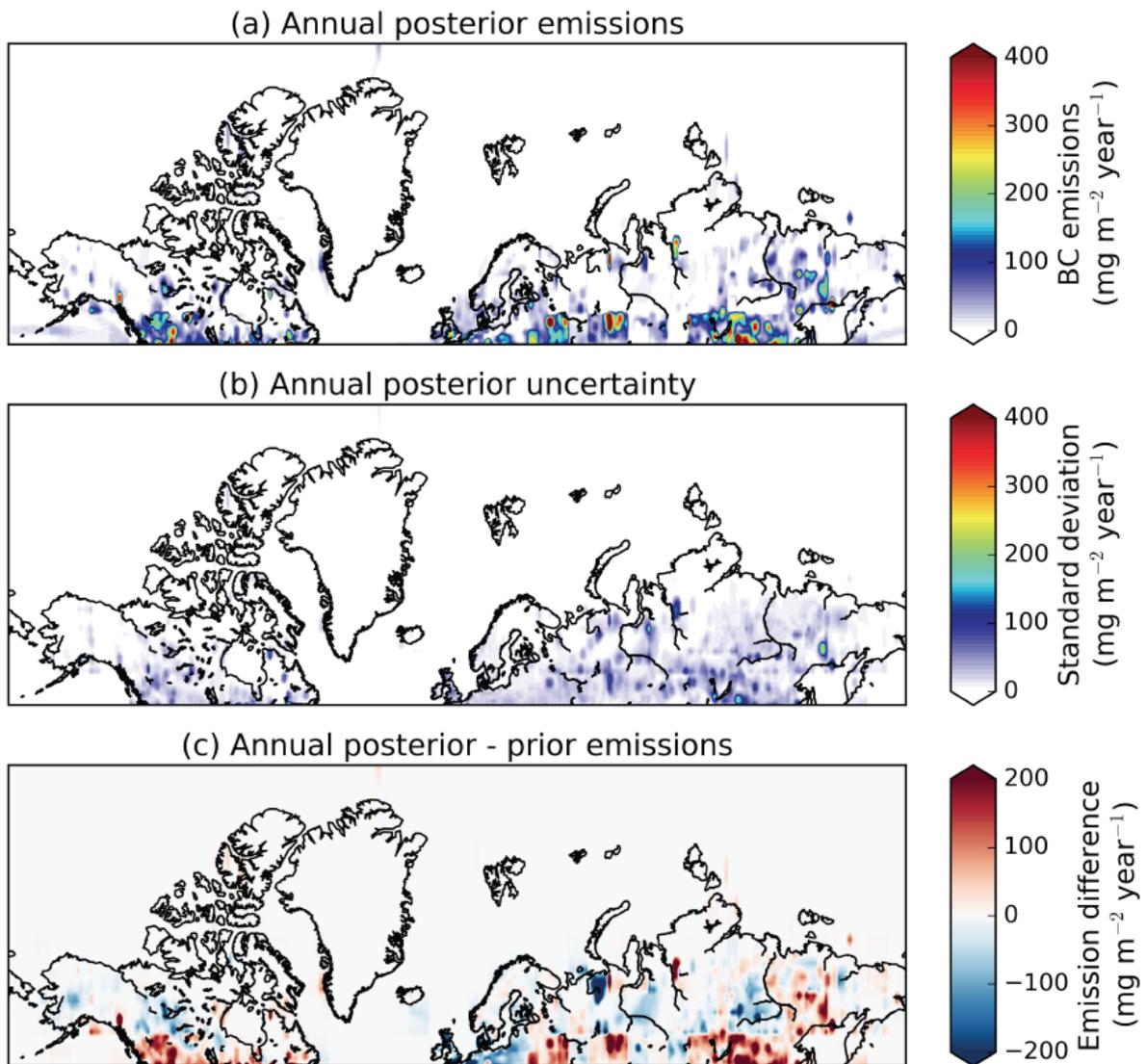
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943

944 **Figure 5.** Monthly average NMSE values due to use of different emission inventories  
 945 (ECLIPSEv5, ACCMIPv5, EDGAR\_HTAPv2.2, MACCity) for the eight stations with  
 946 complete data in the period 2013–2015. The annual mean is denoted as “ave”).

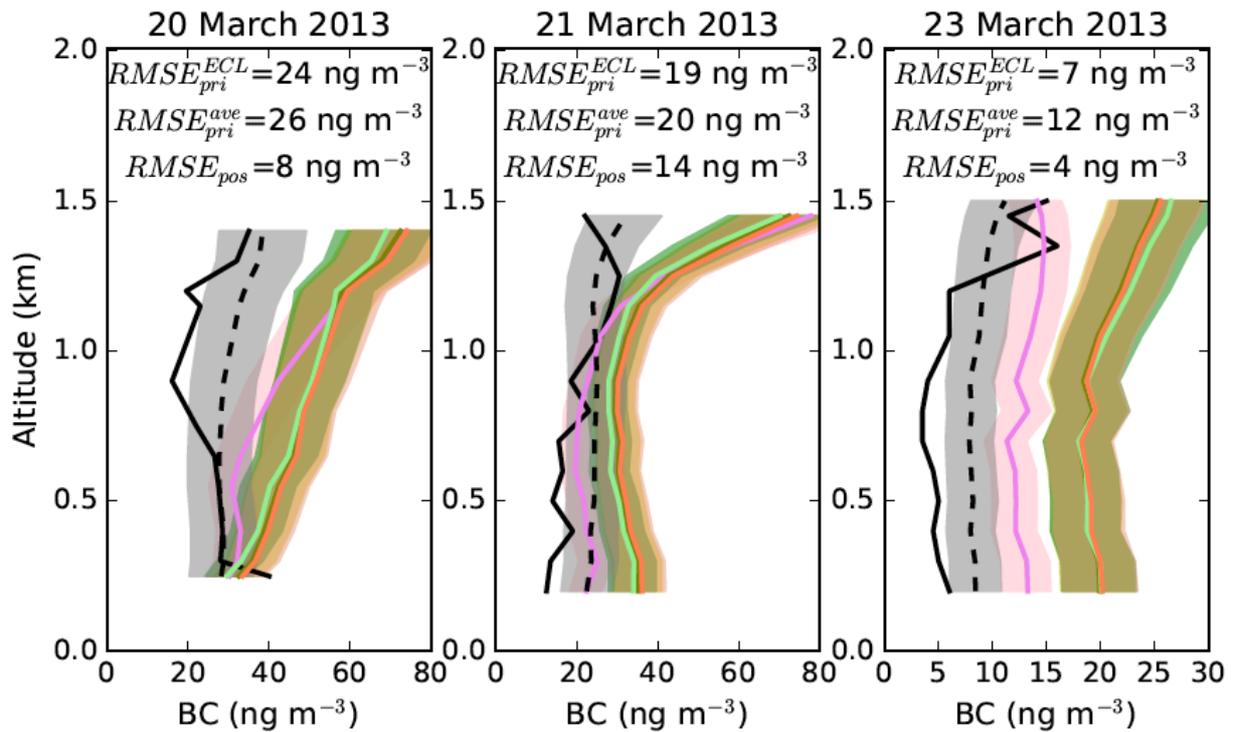
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948  
 949 **Figure 6.** (a) Annual posterior emissions of BC in areas  $>50^\circ\text{N}$  averaged for the period 2013–  
 950 2015, (b) average posterior uncertainty due to scavenging and use of different prior emissions  
 951 for the same period. (c) Difference between posterior and prior emissions for 2013–2015.

952

## VERTICAL PROFILES OF BC (ACCACIA FLIGHT CAMPAIGN)

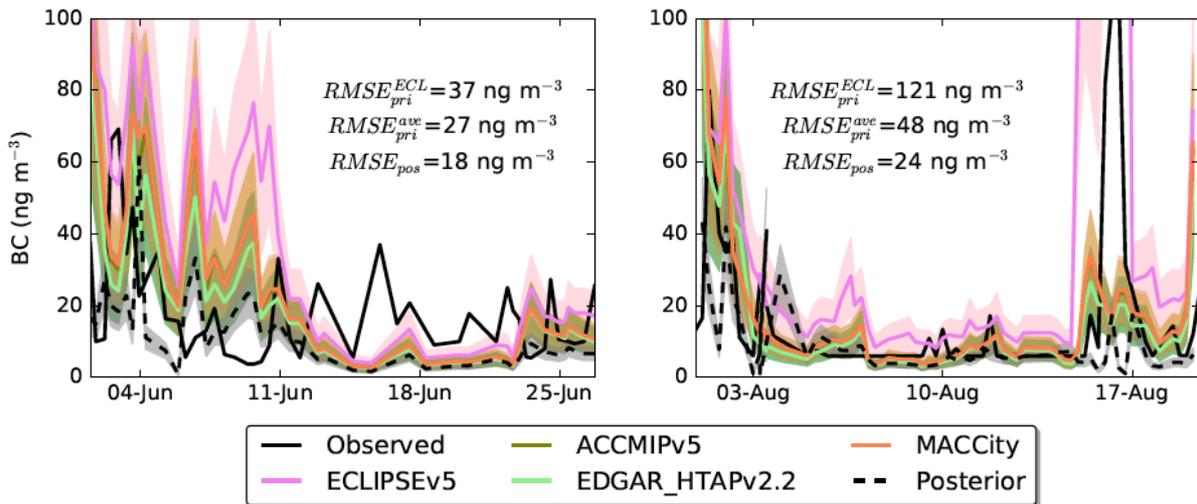


953

954 **Figure 7.** Comparison of prior (ECLIPSEv5, ACCMIPv5, EDGAR HTAPv2.2 and  
 955 MACCity) and posterior simulated concentrations of BC with observations from the  
 956 ACCACIA flight campaign near Zeppelin station, Ny-Ålesund in 2013 adopted from Sinha et  
 957 al. (2017). The variability of the prior concentrations (shaded area) was calculated as the  
 958 standard deviation of BC concentrations from the 12 species with different scavenging  
 959 coefficients as shown in **Table 2**. Uncertainties of the posterior concentrations are due to  
 960 scavenging and use of 4 different a priori datasets (section 3.4). RMSE values are computed  
 961 for ECLIPSEv5 concentrations, all prior concentrations (average) and posterior simulated BC  
 962 concentrations.

963

### NORTH ATLANTIC & BALTIC SEA CAMPAIGN 2014

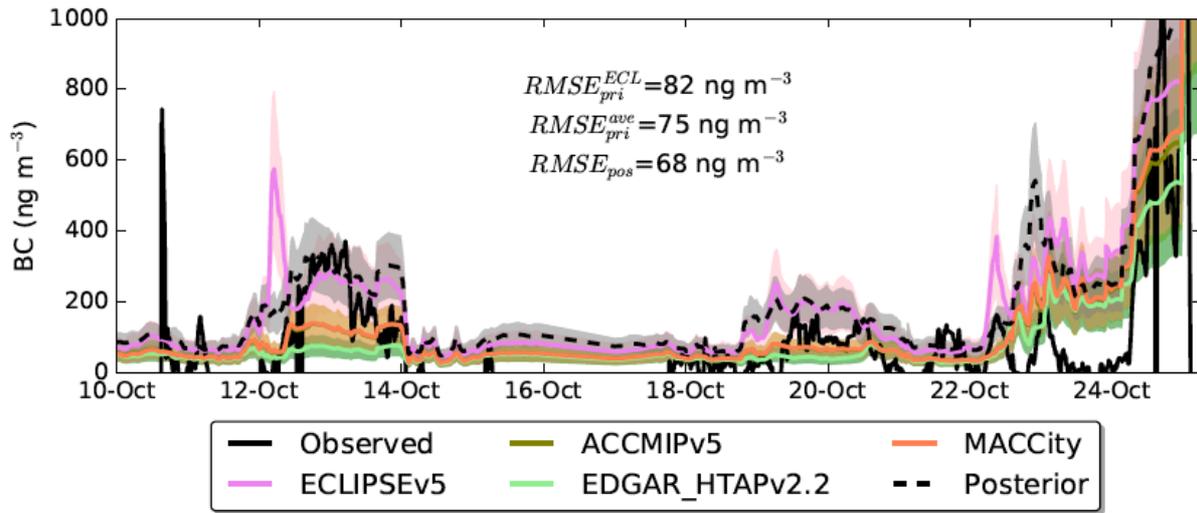


964

965 **Figure 8.** Comparison of prior (ECLIPSEv5, ACCMIPv5, EDGAR HTAPv2.2 and  
 966 MACCity) and posterior simulated concentrations of BC with observations from a ship  
 967 campaign in North Atlantic and Baltic Seas in 2014 adopted from Shevchenko et al. (2016).  
 968 The variability of the prior concentrations (shaded area) was calculated as the standard  
 969 deviation of BC concentrations from the 12 species with different scavenging coefficients as  
 970 shown in **Table 2**. Uncertainties of the posterior concentrations are due to scavenging and use  
 971 of 4 different a priori datasets (section 3.4). RMSE values are computed for ECLIPSEv5  
 972 concentrations, all prior concentrations (average) and posterior simulated BC concentrations.

973

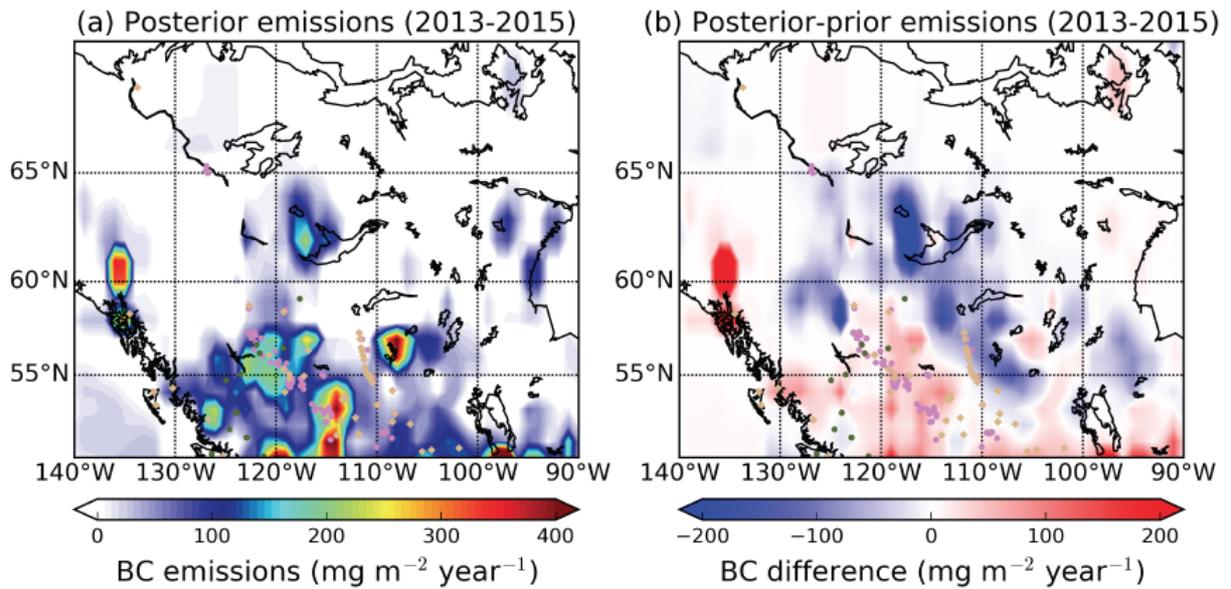
## RUSSIAN HIGH ARCTIC SEA CAMPAIGN 2015



974

975 **Figure 9.** Comparison of prior (ECLIPSEv5, ACCMIPv5, EDGAR HTAPv2.2 and  
 976 MACCity) and posterior simulated concentrations of BC (2015) with observations from a  
 977 ship campaign in the Russian Arctic in 2015 adopted from Popovicheva et al. (2017). The  
 978 variability of the prior concentrations (shaded area) was calculated as the standard deviation  
 979 of BC concentrations from the 12 species with different scavenging coefficients as shown in  
 980 **Table 2**. Uncertainties of the posterior concentrations are due to scavenging and use of 4  
 981 different a priori datasets (section 3.4). RMSE values are computed for ECLIPSEv5  
 982 concentrations, all prior concentrations (average) and posterior simulated BC concentrations.

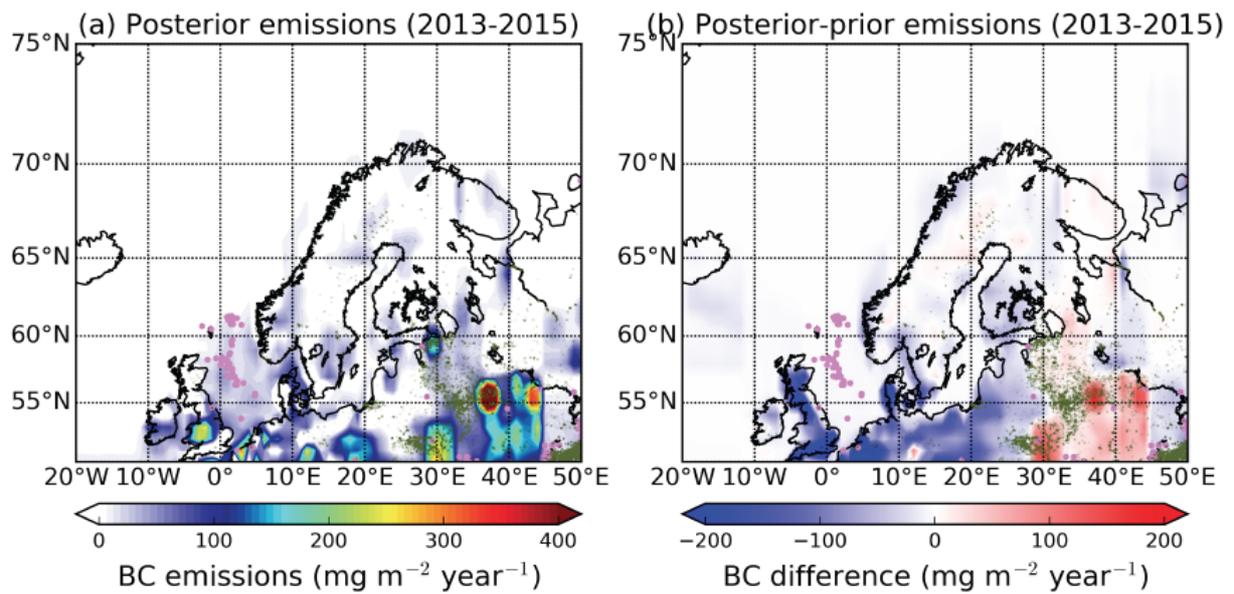
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984

985 **Figure 10.** (a) Optimised emissions of BC in North America (Western Canada) averaged over  
 986 the 2013–2015 period. (b) Difference between a posteriori and a priori emissions of BC  
 987 (ECLIPSEv5 was used as the prior). Magenta points on the map denote the gas flaring  
 988 industries from the Global Gas Flaring Reduction Partnership (GGFR)  
 989 (<http://www.worldbank.org/en/programs/gasflaringreduction>), grey points show the power  
 990 industries that operate using fossil fuels and oil and gas production and oil refining industries  
 991 adopted from Industry About (<https://www.industryabout.com/canada-industrial-map>), while  
 992 dark green points show active fires from MODIS.

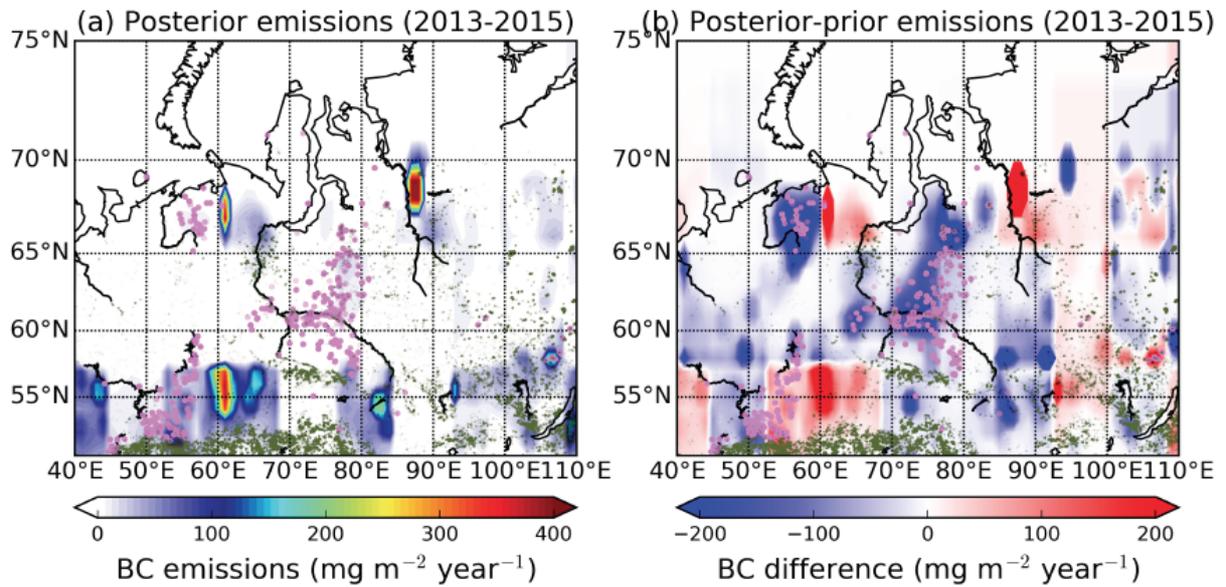
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994

995 **Figure 11.** (a) Optimised emissions of BC in Northern Europe averaged over the 2013–2015  
 996 period. (b) Difference between a posteriori and a priori emissions of BC (ECLIPSEv5 was  
 997 used as the prior). Magenta points on the map indicate the gas flaring industries from the  
 998 Global Gas Flaring Reduction Partnership (GGFR)  
 999 (<http://www.worldbank.org/en/programs/gasflaringreduction>), while dark green points show  
 1000 the vegetation fires adopted from Hao et al. (2016).

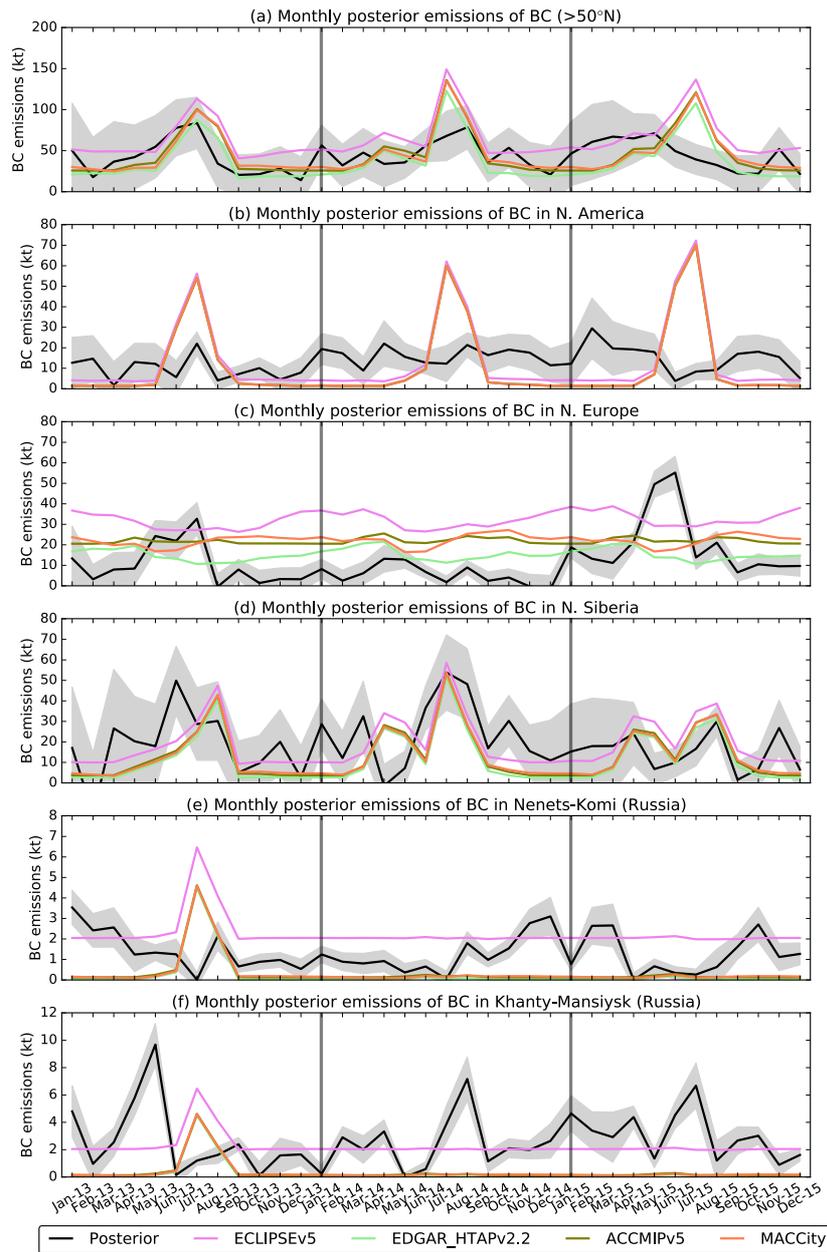
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1002

1003 **Figure 12.** (a) Optimised emissions of BC in Western Siberia averaged for the 2013–2015  
 1004 period. (b) Difference between a posteriori and a priori emissions of BC (ECLIPSEv5 was  
 1005 used as the prior). Magenta points on the map indicate the gas flaring industries from the  
 1006 Global Gas Flaring Reduction Partnership (GGFR)  
 1007 (<http://www.worldbank.org/en/programs/gasflaringreduction>), while dark green points show  
 1008 the vegetation fires adopted from Hao et al. (2016).

1009



1010

1011 **Figure 13.** Monthly posterior emissions of BC shown for all regions located (a)  $>50^{\circ}\text{N}$ , (b) in  
 1012 North America ( $>50^{\circ}\text{N}$ ), (c) North Europe ( $>50^{\circ}\text{N}$ ), (d) North Siberia ( $>50^{\circ}\text{N}$ ), (e) Nenets-  
 1013 Komi oblast ( $>50^{\circ}\text{N}$ , Russia) and (f) Khanty-Mansiysk oblast ( $>50^{\circ}\text{N}$ , Russia) for the 2013–  
 1014 2015 period. Monthly prior emissions of BC from ECLIPSEv5, EDGAR\_HTAPv2.2,  
 1015 ACCMIPv5 and MACCity emissions inventories are also shown for the same regions and  
 1016 time period. The uncertainty of the posterior emissions of BC stems from the use of different  
 1017 scavenging coefficients and different prior emission datasets (see section 3.3).

1018 **SUPPLEMENTARY FIGURES & LEGENDS**

1019  
1020 **Figure S 1.** Taylor diagrams for the comparison of the prior simulated concentrations with  
1021 observations for all years (2013 – 2015) for 12 BC species with different scavenging  
1022 coefficients (**Table 2**). The radius indicates standard deviations normalised against the mean  
1023 concentration (NSD); the azimuthal angle the Pearson correlation coefficient, while the  
1024 normalised (against observation) root mean square error (nRMSE) in the simulated  
1025 concentrations is proportional to the distance from the point on the x-axis identified as  
1026 “reference” (grey contours). The results refer to the use of ACCMIPv5, EDGAR\_HTAPv2.2  
1027 and MACCity as the prior emissions.

1028  
1029 **Figure S 2.** Monthly average relative model-observation mismatches  
1030 ( $[\mathit{model} - \mathit{observations}]/\mathit{observations}$ ) for prior simulated (average values from all  
1031 four inventories used) concentrations of BC due to the perturbation of scavenging parameters  
1032 according to **Table 2** for the inversions of 2013, 2014 and 2015.

1033  
1034 **Figure S 3.** Monthly average model-observation mismatches ( $[\mathit{model} - \mathit{observations}]/$   
1035  $\mathit{observations}$ ) for prior simulated concentrations of BC (best species) due to use for four  
1036 different emission inventories (ECLIPSEv5, ACCMIPv5, EDGAR\_HTAPv2.2 and  
1037 MACCity) for the inversions of 2013, 2014 and 2015.

1038  
1039 **Figure S 4.** Taylor diagrams for the comparison of the posterior simulated concentrations  
1040 with observations for all years (2013 – 2015). The radius indicates standard deviations  
1041 normalised against the mean concentration (NSD); the azimuthal angle the Pearson  
1042 correlation coefficient, while the normalised (against observation) root mean square error  
1043 (nRMSE) in the simulated concentrations is proportional to the distance from the point on the  
1044 x-axis identified as “observed” (grey contours).

1045  
1046 **Figure S 5.** (a, c, e) Optimised emissions of BC in North America (Western Canada) for  
1047 2013, 2014 and 2015. (b, d, f) Difference between a posteriori and a priori emissions of BC  
1048 (ECLIPSEv5 was used as the prior). Magenta points on the map denote the gas flaring  
1049 industries from the Global Gas Flaring Reduction Partnership (GGFR)

1050 (<http://www.worldbank.org/en/programs/gasflaringreduction>), grey points show the power  
1051 industries that operate using fossil fuels and oil and gas production and oil refining industries  
1052 adopted from Industry About (<https://www.industryabout.com/canada-industrial-map>), while  
1053 dark green points show active fires from MODIS.

1054

1055 **Figure S 6.** (a, c, e) Optimised emissions of BC in Northern Europe for 2013, 2014 and 2015.  
1056 (b, d, f) Difference between a posteriori and a priori emissions of BC (ECLIPSEv5 was used  
1057 as the prior). Magenta points on the map indicate the gas flaring industries from the Global  
1058 Gas Flaring Reduction Partnership (GGFR)  
1059 (<http://www.worldbank.org/en/programs/gasflaringreduction>), while dark green points show  
1060 vegetation fires adopted from Hao et al. (2016).

1061

1062 **Figure S 7.** (a, c, e) Optimised emissions of BC in Western Siberia for 2013, 2014 and 2015.  
1063 (b, d, f) Difference between a posteriori and a priori emissions of BC (ECLIPSEv5 was used  
1064 as the prior). Magenta points on the map indicate the gas flaring industries from the Global  
1065 Gas Flaring Reduction Partnership (GGFR)  
1066 (<http://www.worldbank.org/en/programs/gasflaringreduction>), while dark green points show  
1067 forest fires adopted from Hao et al. (2016).