

1 **Future changes in the stratosphere-to-troposphere ozone mass flux and the contribution**
2 **from climate change and ozone recovery**

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9

10 **Abstract**

11 Using a state-of-the-art chemistry-climate model we investigate the future change in
12 stratosphere-troposphere exchange (STE) of ozone, the drivers of this change as well as the
13 future distribution of stratospheric ozone in the troposphere. Supplementary to previous work,
14 our focus is on changes on the monthly scale. The global mean annual influx of stratospheric
15 ozone into the troposphere is projected to increase by 53% between the years 2000 and 2100
16 under the RCP8.5 greenhouse gas scenario. The change in ozone mass flux (OMF) into the
17 troposphere is positive throughout the year with maximal increase in the summer months of the
18 respective hemispheres. Whereas in the northern hemisphere (NH) this summer maximum in
19 STE increase is a result of increasing greenhouse gas (GHG) concentrations, it is due to equal
20 contributions of decreasing levels of ozone depleting substances (ODS) and increasing GHG
21 concentrations in the southern hemisphere (SH). Here the GHG effect is dominating in the
22 winter months. A large ODS-related ozone increase in the SH stratosphere leads to a change in
23 the seasonal breathing term which results in a future decrease of the OMF into the troposphere
24 in the SH in September and October. The resulting distributions of stratospheric ozone in the

25 troposphere differ for the GHG and ODS changes because (a) ozone input occurs at different
26 regions for GHG- (midlatitudes) and ODS-changes (high latitudes), and (b) stratospheric ozone
27 is more efficiently mixed towards lower tropospheric levels in the case of ODS changes,
28 whereas tropospheric ozone loss rates grow when GHG concentrations rise. The comparison
29 between the moderate RCP6.0 and the extreme RCP8.5 emission scenarios reveals that the
30 annual global OMF trend is smaller in the moderate scenario, but the resulting change in the
31 contribution of O₃s to ozone in the troposphere is of comparable magnitude in both scenarios
32 due to the larger tropospheric ozone precursor emissions and hence ozone production in the
33 RCP8.5 scenario.

34 1. Introduction

35 Ozone (O₃) in the troposphere has two sources: photochemical production involving ozone
36 precursor species such as nitrogen oxides (NO_x), carbon monoxide (CO) and hydrocarbons
37 (e.g., methane (CH₄)) and the transport of ozone from the stratosphere into the troposphere (i.e.
38 stratosphere-troposphere exchange, STE) (IPCC, 2001). Mass can be exchanged between the
39 stratosphere and the troposphere along isentropic surfaces which intersect the tropopause in the
40 lowermost stratosphere (LMS) (Holton et al., 1995) where the chemical lifetime of ozone is
41 larger than the transport timescale. Tropopause folds in the vicinity of the polar and the
42 subtropical jets and cut-off lows are important structures for the effective transport of
43 stratospheric air masses into the troposphere because of their large displacements of the
44 tropopause on isentropic surfaces (Stohl et al., 2003). Mass exchange is also possible by slow
45 cross-isentropic transport, which is driven by diabatic cooling (Stohl et al., 2003) through the
46 large-scale vertical motion of air in the stratospheric meridional residual circulation, the
47 Brewer-Dobson circulation (BDC).

48 Earlier studies have shown that in a changing climate the mass transport from the stratosphere
49 will increase due to a strengthened BDC (e.g., Scaife and Butchart, 2001; Butchart et al.,
50 2010; Oberländer et al., 2013). Akritidis et al. (2016) found coinciding increases in the
51 frequency of tropopause folds in summer over the Eastern Mediterranean and in stratospheric
52 ozone in the lower troposphere between 1979 and 2013. In addition to changes in the ozone
53 transport from the stratosphere into the troposphere, ozone concentrations in the stratosphere
54 are expected to change. Due to declining halogen levels in the stratosphere following the
55 regulation of ozone depleting substances (ODS) by the Montreal Protocol and its
56 amendments, stratospheric ozone is projected to recover during the 21st century (e.g., WMO,
57 2014). In addition, radiative cooling of the stratosphere associated with the rising

58 concentrations of well-mixed greenhouse gases (GHG) (i.e. carbon dioxide (CO₂), nitrous
59 oxide (N₂O) and CH₄) will lead to reduced ozone loss rates and an ozone increase in the
60 stratosphere (e.g., Jonsson et al., 2004). Besides the temperature effect of the GHGs (mainly
61 CO₂) on the ozone chemistry, the increasing abundances of CH₄ and N₂O also have an impact
62 on the net production of stratospheric ozone: While higher N₂O concentrations are associated
63 with an enhanced ozone loss in the stratosphere due to reactive nitrogen, a CH₄ increase not
64 only causes a larger ozone loss in the lower and upper stratosphere but also leads to an
65 increased ozone production in the lower stratosphere where it acts as an ozone precursor (e.g.,
66 Revell et al., 2012). In addition, CH₄ plays a role in polar ozone chemistry since changing
67 CH₄ concentrations also alter the partitioning between halogen reservoir gases and activated
68 halogen species (e.g., Nevison et al., 1999). The combined effect of the increasing GHG
69 concentrations (including the interactions between the chemical cycles) on the net
70 stratospheric ozone production is positive (e.g., Meul et al., 2014).

71 Both, the intensified stratospheric circulation, and the concurrent increase of stratospheric
72 ozone are expected to lead to an increase in the ozone mass entering the troposphere (e.g.,
73 Stevenson et al., 2006; Shindell et al., 2006; Hegglin and Shepherd, 2009; Young et al., 2013;
74 Banerjee et al., 2016). Previous studies with different models and approaches have indicated a
75 dominant role of stratospheric circulation changes for the increased STE (e.g., Sudo et al.,
76 2003; Zeng and Pyle, 2003; Collins et al., 2003). Also in observational data, the connection
77 between stratospheric circulation changes and tropospheric ozone variations was identified
78 (Neu et al., 2014). However for the past, Ordóñez et al. (2007) showed that changes in
79 lowermost stratospheric ozone concentrations have a larger effect on the STE change than
80 variations in cross-tropopause air mass transport. A reduced STE due to stratospheric ozone
81 depletion in the past was found by Shindell et al. (2006) to offset more than half of the
82 tropospheric ozone increase since preindustrial times. The influence of stratospheric ozone

83 recovery on STE in the future was reported by Zeng et al. (2010) who showed that in the
84 Southern Hemisphere (SH) during winter stratospheric ozone increase and climate change
85 have a nearly equal contribution to the increase in surface ozone under the A1B scenario.
86 More recently, the drivers of future STE changes have been analysed by Banerjee et al. (2016)
87 in model simulations. Neglecting the impact of methane and N₂O, they find that ODS and
88 climate change under the RCP8.5 scenario contribute about equally to the annual global STE
89 increase between 2000 and 2100.

90 Rising GHG concentrations, however, do not only affect the stratospheric circulation and
91 chemistry. In the troposphere, GHG-induced warming increases the water vapour content and
92 thus tropospheric ozone destruction (e.g., Johnson et al., 1999). This results in a decrease of
93 chemical ozone lifetimes (e.g., Zeng et al., 2010; Banerjee et al., 2016) which means that the
94 distribution and the burden of stratospheric ozone entering the troposphere are also altered.

95 In addition to a changing amount of stratospheric ozone in the troposphere, changing future
96 emissions of ozone precursor species will affect the local ozone production in the troposphere
97 (e.g. Monks et al., 2009). Large differences exist in the temporal evolution of the emissions
98 between the Representative Concentration Pathways (RCP) for the radiative forcing of 6.0
99 W/m² and 8.5 W/m² (Meinshausen et al., 2011), especially for CH₄. This will result in a larger
100 ozone production under the RCP8.5 scenario at the end of the 21st century compared to the
101 RCP6.0 scenario. As a consequence, the importance of stratospheric ozone in the troposphere
102 in the future will depend on the net tropospheric chemical ozone production.

103 Most studies addressing the question of future STE changes and their role for tropospheric
104 ozone trends focus on the annual and global integrated fluxes. Hegglin and Shepherd (2009)
105 showed also the annual cycle of the OMF derived from a boxmodel approach introduced by
106 Appenzeller et al. (1996). In their model simulation, the maximum ozone flux occurs in spring
107 in the SH and NH for the 1960 to 1970 mean. In the future (2090-2100), the peak is shifted

108 towards late spring/early summer in the NH and towards winter in the SH. As Roelofs and
109 Lelieveld (1997) reported, the seasonal timing of the input of stratospheric ozone into the
110 troposphere is relevant for potential mixing of stratospheric ozone towards the surface, since in
111 summer the ozone loss rate is larger than in winter. This means that the future distribution of
112 stratospheric ozone in the troposphere depends not only on the overall increase on OMF, but
113 also on the seasonality of the input.

114 The aim of our study is therefore to quantify the future changes of STE in simulations with a
115 chemistry-climate model (CCM) under the most extreme RCP8.5 scenario for the annual and
116 monthly means. We identify the changes in the seasonal cycle of STE due to the projected
117 increase in GHGs and decline in ODS, i.e. the associated stratospheric ozone recovery.
118 Furthermore, we analyse the resulting changes in the distribution of stratospheric ozone in the
119 troposphere, using comprehensive stratospheric and tropospheric chemistry. We thus consider
120 the full range of changes in chemical loss and production caused by GHG or ODS changes. By
121 analyzing an additional transient simulation run under the RCP6.0 scenario we are able to assess
122 the different effects of a moderate and a strong GHG scenario on the future OMF and trends of
123 stratospheric ozone in the troposphere.

124 In this study we want to address the following research questions:

125 (1) How will the stratosphere-to-troposphere OMF change in the future?

126 (2) What are the major drivers of the future changes in the stratosphere-to-troposphere OMF?

127 (3) Will the seasonality of the STE change in the future?

128 (4) How will the GHG emission scenarios affect the OMF into the troposphere?

129 (5) How is the ratio of stratospheric ozone in the troposphere changed in the future?

130 The study is structured as followed: First the model and the experimental set-up used for the
131 simulations are described as well as the methodology for calculating the OMF from the
132 stratosphere to the troposphere (Section 2). In Section 3 we show the climatological mean state

133 of the year 2000 simulation for a basic evaluation. Results of mass flux changes and changes in
134 the distribution of stratospheric ozone in the troposphere are shown in Section 4 followed by
135 the attribution analysis in Section 5. The results are summarized in Section 6.

136

137 **2. Model experiments and methods**

138 **2.1 Model experiments**

139 In this study we applied the EMAC (ECHAM/MESSy Atmospheric Chemistry) CCM version
140 described by Jöckel et al. (2016) in the T42L47MA configuration, i.e. with 47 model layers and
141 a horizontal resolution of $2.8^\circ \times 2.8^\circ$. EMAC is a numerical chemistry and climate simulation
142 system that includes submodels describing tropospheric and middle atmosphere processes and
143 their interaction with oceans, land and human influences (Jöckel et al., 2016). It uses the second
144 version of the Modular Earth Submodel System (MESSy2) to link multi-institutional computer
145 codes. The core atmospheric model is the 5th-generation European Centre Hamburg general
146 circulation model (ECHAM5) (Roeckner et al., 2006). The atmospheric chemistry is calculated
147 using the submodule MECCA (Module Efficiently Calculating the Chemistry of the
148 Atmosphere; revised version by Sander et al., 2011a). The gas-phase rate coefficients follow
149 the latest recommendations of JPL (Sander et al., 2011b). For heterogeneous reactions in the
150 stratosphere the rate coefficients are calculated with the submodel MSBM (Multi-phase
151 Stratospheric Box Model) which also returns the parameters (e.g., number densities, surface
152 areas) of the sulfuric acid aerosols and the polar stratospheric cloud (PSC) particles.

153 To quantify the impact of increasing GHG concentrations and of declining stratospheric
154 halogen levels on the net OMF from the stratosphere into the troposphere, we performed four
155 experiments in timeslice mode, i.e. with non-varying boundary conditions from year to year,
156 but including a seasonal cycle. In addition to reference simulations for the years 2000 and 2100,

157 one sensitivity simulation for GHG increase only and one for ODS decrease only have been set
158 up. Each timeslice simulation has been integrated over 40 years following 5 years of spin-up
159 time. Future surface concentrations of the well-mixed GHGs (CO₂, N₂O, CH₄) are prescribed
160 according to the extreme RCP8.5 scenario (Meinshausen et al., 2011) in order to reveal the
161 upper boundary of the anticipated future changes. They are coupled to both the radiation and
162 chemistry schemes of the model. The changes in tropospheric ozone precursor species (such as
163 nitrogen monoxide (NO), carbon monoxide (CO), CH₄ and non-methane volatile organic
164 compounds (NMVOCs) also follow the RCP8.5 emission scenario and are included in the
165 reference simulation for the year 2100. Since CH₄ acts as both a GHG and tropospheric ozone
166 precursor, a clear separation of the effects by prescribing the surface boundary conditions in a
167 model run is not possible. Therefore, for the GHG sensitivity simulation the non-methane
168 precursor compounds are fixed at the year 2000 level (see Table 2) whereas CH₄ is increasing.
169 Although the RCP scenarios project the surface emissions of NO_x, CO and NMVOCs in the
170 year 2100 to be lower than in the year 2000, the strong increase in CH₄ concentrations (more
171 than doubling in the RCP8.5) will lead to an increased tropospheric ozone production by the
172 end of the 21st century which is attributed to the GHG change in this study.

173 The estimated decline of ODS as a consequence of the successful regulation of halogen
174 containing species in the Montreal Protocol and its amendments is given by the boundary
175 conditions following the A1 scenario in WMO (2011). Note that due to an unintended neglect
176 of minor chlorine source gases CFC-113, CFC-144, CFC-155 as well as HCFC-22, HCFC-
177 141b and HCFC-142b, stratospheric chlorine levels in the year 2000 are underestimated by
178 about 10%.

179 The quasi-biennial oscillation (QBO) of tropical winds in the stratosphere is nudged to
180 observations following Giorgetta and Bengtsson (1999). Solar variability like the 11-year solar

181 cycle is not included, instead solar mean conditions of solar cycle number 22 are prescribed.
182 The sea surface temperature (SST) and sea ice concentration (SIC) fields are prescribed as 10-
183 year averages around the respective years using the output from a transient simulation with the
184 coupled atmosphere ocean model MPI-ESM (Max-Planck-Institute Earth System Model;
185 Giorgetta et al., 2013; Schmidt et al., 2013) for the RCP8.5 scenario. Using multi-year averages
186 reduces the inter-annual variability of the SSTs, but ensures quasi neutral conditions of the El
187 Niño Southern Oscillation (ENSO). An overview of the boundary conditions in the four
188 simulations is given in Table 1. The chosen setup of the simulations allows us to separate the
189 combined effect of the CO₂, N₂O and CH₄ increase from the ODS effect on the future OMF. It
190 has to be noted that the GHG-induced changes in this study include all GHG-related effects on
191 stratospheric chemistry and dynamics, and the contributions from GHG-induced climate change
192 cannot be separated from the impact of CH₄ and N₂O related changes in the ozone chemistry.

193 To show the temporal evolution of the changes and to compare the effects for different GHG
194 scenarios we also analyse the model output from the transient simulation RC2-base-05 of the
195 Earth System Chemistry integrated Modelling (ESCiMo) project (Jöckel et al., 2016) which has
196 been integrated according to the RCP6.0 scenario from 1960 to 2100 following a 10-year spin-
197 up. The SST and SIC fields for the RCP6.0 scenario are prescribed from the Hadley Centre
198 Global Environment Model version 2 - Earth System (HadGEM2-ES) Model (Collins et al.,
199 2011; Martin et al., 2011). The boundary conditions for this simulation are given in Table 1.
200 More detailed information of this simulation can be found in Jöckel et al. (2016). Note that
201 consistent SSTs/SICs from a MPI-ESM RCP6.0 simulation were not available. Potential effects
202 of the different SST datasets are discussed in section 4. It has also to be noted, that the
203 stratospheric ozone loss in the past is underestimated in this simulation, which affects the trends
204 in OMF.

205 2.2 Methods

206 To quantify the net OMF from the stratosphere into the troposphere we follow the approach
207 described by Hegglin and Shepherd (2009) which is an extension to the boxmodel approach by
208 Appenzeller et al. (1996). Appenzeller et al. (1996) described the hemispheric net mass
209 transport in a simple model which consists of three regions (i.e. boxes), the troposphere, the
210 'lowermost stratosphere' (LMS) and the 'overworld'. The LMS is the region where isentropic
211 surfaces intersect the tropopause. Thus mass can be exchanged between the stratosphere and
212 the troposphere along such isentropic surfaces. Above the LMS, often referred to as the
213 overworld according to Holton et al. (1995), the isentropic surfaces lie entirely in the
214 stratosphere and mass exchange is only possible by cross-isentropic transport, which is carried
215 out by the large-scale meridional circulation in the stratosphere (BDC). Due to mass continuity,
216 a mass flux from the overworld into the LMS (F_{in}) must be balanced by a mass flux out of the
217 LMS (F_{out}) and/or mass change (dM/dt) in the LMS:

$$218 \quad F_{in} = F_{out} + dM/dt \quad (1).$$

219 In our study we use the 91 hPa surface (nearest pressure level of the model output to 100 hPa)
220 as upper boundary for the LMS boxmodel and the model tropopause (a combination of the
221 thermal tropopause in the tropics and the dynamical tropopause in the extratropics) as lower
222 boundary, analogously to Hegglin and Shepherd (2009). Thus, M in Equation 1 is the total
223 ozone mass between these boundaries, and dM/dt is the monthly change of M . F_{in} is calculated
224 for each hemisphere as the area-weighted integral of the product of the monthly zonal mean
225 ozone concentration and the negative of the monthly mean residual vertical velocity ($-\bar{w}^*$) at 91
226 hPa at each gridpoint. Since, by definition, the vertical velocity is positive for upward and
227 negative for downward motion, \bar{w}^* is multiplied by the factor -1 in order to get positive values
228 for the downward OMF into the troposphere. Finally, F_{out} is calculated as residual. It has to be

229 noted that with this methodology it is not possible to study the transport pathways. The use of
230 the 91 hPa surface as upper boundary instead of 100 hPa leads to slightly lower values of the
231 resulting OMF ($< 2\%$ in the REF2000 simulation, estimation based on linear interpolation
232 between the two model pressure surfaces surrounding 100 hPa).

233 To distinguish ozone with stratospheric origin (O3s) and ozone produced in the troposphere
234 (O3t) we use a diagnostic tracer (Roelofs and Lelieveld, 1997; Collins et al., 2003; Jöckel et
235 al., 2016). This tracer is reset in each model time step (by nudging with a relaxation time
236 equaling the model time step length) to the interactive ozone above the model tropopause. In
237 the troposphere, the chemical production of O3s is omitted while the sinks of O3s, i.e. chemical
238 loss and dry deposition, are considered in the same way as for O3. The statistical significance
239 of the future changes is tested by applying the Student's t-test.

240

241 **3. Equilibrium state of the year 2000 (REF2000)**

242 Before we estimate the future change in OMF into the troposphere, the present-day equilibrium
243 state is analysed to ensure that the important mixing processes and tracer distributions are
244 represented realistically in the EMAC simulations. An effective method to investigate STE and
245 mixing processes in the LMS are tracer-tracer correlations.

246 **3.1 Tracer-tracer correlations from scatter plots**

247 Fischer et al. (2000) introduced the O₃-CO correlations for aircraft in situ measurements to
248 analyse the chemical transition between the stratosphere and troposphere and the mixing
249 processes in the UTLS. Due to the sharp gradients with high CO (low O₃) values in the upper
250 troposphere and low CO (high O₃) values in the lower stratosphere, the O₃-CO scatter plot has
251 a characteristic L-shape in the UTLS (e.g., Fischer et al., 2000; Tian et al., 2010; Barré et al.,

252 2013). Pan et al. (2007) distinguish the stratospheric branch and the tropospheric branch with a
253 quasi linear relation between O_3 and CO and a transition region in between characterized by
254 non-linear behavior. Figure 1 (top) shows the O_3 -CO scatter plot for the REF2000 simulation
255 in the northern middle latitudes. Compared to the correlations shown by Fischer et al. (2000)
256 (derived from aircraft measurements) and by Hegglin et al. (2009) (derived from ACE-FTS
257 measurements) we find that the L-shape of the correlation is captured well by the EMAC
258 timeslice simulation.

259 The shape of the O_3 - N_2O scatter plot (Figure 1, bottom) with a full stratosphere coverage results
260 from the negative vertical gradient in N_2O and the O_3 maximum in the stratosphere: The
261 correlation is negative below the ozone maximum and positive above. The fan-shaped structure
262 is due to the horizontal gradient with higher O_3 and N_2O values in the tropics than in the extra-
263 tropics. The model result is in qualitative agreement with O_3 - N_2O scatter plots for ACE
264 measurements shown by Hegglin and Shepherd (2007).

265 This comparison indicates that the dynamical and chemical processes in the transition region
266 between the troposphere and stratosphere are realistic in the EMAC timeslice simulations and
267 allows us to assess the future changes.

268 **3.2 Ozone mass flux (OMF)**

269 The annual cycle of the OMF into the troposphere (F_{out} , calculated according Equation 1) for
270 the year 2000 reference simulation is shown in Figure 2a, integrated globally and over the NH
271 and SH respectively. The OMF into the NH has its peak in early summer (June), whereas in
272 the SH the maximum OMF is found in spring (October). The annual cycle in the EMAC
273 REF2000 simulation is comparable to the results of Hegglin and Shepherd (2009) for the period
274 1960 to 1970, but with a less pronounced peak in the NH spring in EMAC.

275 Integrated over all months, the OMF in the EMAC REF2000 timeslice simulation reaches 390
276 ± 18 Tg per year in the NH, 322 ± 16 Tg per year in the SH and 712 ± 26 Tg per year globally.
277 Compared to estimates derived from observations (540 ± 140 Tg per year; Olsen et al., 2001;
278 Wild, 2007), the global OMF is slightly overestimated in EMAC, however, within the range of
279 $340 - 1440$ Tg per year given by Wild (2007) for a number of models. The STE OMF in EMAC
280 hits the upper boundary of the OMF derived from the Atmospheric Composition Change: the
281 European Network of excellence (ACCENT) tropospheric model intercomparison for the year
282 2000 (552 ± 168 Tg per year) (Stevenson et al., 2006), while the mean net STE OMF from six
283 models of the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP)
284 was lower (477 ± 96 Tg per year; Young et al., 2013). The estimated STE OMFs generally
285 show a large range as they strongly depend on various factors, such as the prescribed ozone
286 precursors, the horizontal and vertical resolution of the applied models, the definition of the
287 tropopause, or the degree of chemical processes considered in the models. Hegglin and
288 Shepherd (2009), using a middle atmosphere resolving CCM with full chemistry – comparable
289 in complexity to the EMAC CCM used in this study – derived a global STE ozone flux of 655
290 ± 5 Tg per year, averaged over the 1995 to 2005 period of a transient simulation.

291 To better understand the changes in the calculated OMF ($F_{\text{out}} = F_{\text{in}} - dM/dt$), we analyse the
292 climatological annual cycle of the two OMF components, F_{in} at 91 hPa (Figure 2b) and $-dM/dt$
293 (Figure 2c). The OMF across the 91 hPa pressure level is controlled by the seasonality of the
294 BDC with the maximum (ozone) net mass flux into the LMS in the winter hemisphere and a
295 hemispherically asymmetric strength (Figure 2b). The ozone distribution in the stratosphere
296 (see also Figure 3a) with low columns in the tropics and high columns in the middle and high
297 latitudes also reflects the structure of the stratospheric meridional circulation. The seasonal
298 breathing of the LMS (Figure 2c) leads to a shift of the high OMF phase from winter to spring
299 and early summer (which is consistent with Hegglin and Shepherd, 2009) and of the low OMF

300 phase from summer to autumn. The amplitude of the seasonal cycle in $-dM/dt$ is slightly larger
301 in the NH than in the SH which dampens the amplitude of the OMF in the NH.

302 The timing of the maximum OMF into the troposphere is relevant for the resulting downward
303 mixing since the chemical lifetime of tropospheric ozone in the mid- and high latitudes has a
304 pronounced seasonal cycle with short lifetimes in the summer and relatively long lifetimes in
305 winter and spring. This means that ozone can be mixed more efficiently with tropospheric air
306 masses in winter and spring (Roelofs and Lelieveld, 1997) although the ozone influx from the
307 stratosphere is smaller in northern early spring than in summer.

308 In the next section we analyse the abundance of ozone with stratospheric origin (O₃s) in the
309 troposphere for June (Figure 3), when the OMF is maximal in the NH and minimal in the SH
310 (Figure 2a).

311 **3.3 Stratospheric ozone in the troposphere**

312 The column-aggregated stratospheric ozone in the troposphere (Figure 3b) reaches a maximum
313 of 30 DU around 30° in both hemispheres and a minimum in the tropics (3-6 DU over
314 Indonesia) and the southern high latitudes. The low values in the tropics presumably result from
315 very short ozone lifetimes near the surface as the high insolation and high water vapour
316 concentrations in the intertropical convergence zone (ITCZ) form a strong sink for ozone in
317 the troposphere (Roelofs and Lelieveld, 1997). In addition, the downward transport of
318 stratospheric ozone in the tropics is very small due to the upward branch of the BDC in this
319 region. The high tropospheric O₃s columns in the subtropics result from high abundances of
320 stratospheric ozone in the upper troposphere, especially in the NH subtropics, which is evident
321 in Figure 3c. Here, ozone entering the troposphere through tropopause folds is efficiently
322 transported to lower altitudes in the downward branch of the Hadley cell (Roelofs and
323 Lelieveld, 1997) resulting in relatively high O₃s levels in the middle troposphere around 30°N.

324 The O₃s mixing ratios decrease with lower altitude and reach their minimum near the surface,
325 with the smallest values in the tropics and the NH, since ozone loss is largest in summer (Figure
326 3d). However, ozone originating from the stratosphere is also found down to the lower
327 troposphere in the extra-tropics. This may be caused by events when stratospheric air penetrates
328 deep into the troposphere and affects also lower levels (e.g., Škerlak et al., 2014).

329 The contribution of O₃s to O₃ (=O₃s+O₃t) is in the range between 20% in the tropics and the
330 NH lower troposphere and up to 40% in the middle troposphere (500 hPa) in the NH. In the
331 SH, the relative contribution of O₃s to O₃ is larger (50-60% near the surface and more than
332 60% at 500 hPa at high latitudes). This is caused by lower chemical loss of O₃s in winter (Figure
333 3d) in combination with smaller chemical production of tropospheric ozone in this season. In
334 SH summer this pattern is reversed (not shown), however, with a slightly larger contribution of
335 O₃s near the SH surface (20-30%) compared to NH summer. This is possibly related to the
336 lower local photochemical ozone production in the SH due to reduced emissions and
337 abundances of tropospheric ozone precursor species.

338 In summary, we have found realistic tracer distributions in the tropopause region of the EMAC
339 reference simulation for the year 2000. The OMF appears to be overestimated compared to
340 observations and other model studies. However, given the large uncertainties for estimates from
341 observational data and the range of different model types, the OMF in EMAC can be regarded
342 as reasonable. The results indicate that the important processes determining the STE are
343 sufficiently well reproduced by EMAC, which allows us to study in the next section the past
344 and future changes of the OMF as well as the contributions from GHG and ODS changes.

345

346 **4. Past and future changes in OMF into the troposphere**

347 Changes in the input of stratospheric ozone into the troposphere can be caused by changes in
348 the dynamical processes and/or by the amount of ozone that is available for transport in the
349 stratosphere. Thus, not only GHG concentrations may have an impact on the stratosphere-to-
350 troposphere transport but also the development of the ODS. The evolution of the integrated
351 OMF between 1960 and 2099 for the RCP6.0 simulation, which includes the observed and
352 projected ODS and GHG changes as well as the increase in tropospheric ozone precursor
353 concentrations, is shown in Figure 4. In the past (1960-1999), the integrated OMF exhibits a
354 negative trend in both hemispheres with a larger change of -1.4% per decade in the SH which
355 is in qualitative agreement but smaller than the trend (-2.3% per decade) found by Hegglin and
356 Shepherd (2009) between 1965 and 2000. Zeng et al. (2010) showed that this negative trend is
357 associated with the ODS-induced ozone loss in the stratosphere which is most prominent in the
358 southern polar region in spring. Between 2000 and 2099 the OMF is projected to increase
359 globally by 4.2% per decade. Again, the change in the SH (4.9% per decade) is slightly larger
360 than in the NH (3.7% per decade). This increase may be ascribed to different forcings: (1) the
361 regulations of ODS emissions lead to a decline of chlorine in the stratosphere and increasing
362 stratospheric ozone levels; (2) the increasing GHG concentrations alter the temperature
363 structure of the atmosphere and intensify the large-scale mass transport in the stratosphere, and
364 (3) the radiative cooling of the stratosphere due to increasing GHG concentrations slows
365 chemical loss reactions, which increases the ozone amount in the stratosphere. To understand
366 the impact of ODS and GHG changes on the OMF in more detail, we further analyse the
367 sensitivity simulations following the RCP8.5 scenario. For comparison the reference timeslice
368 simulations for the years 2000 and 2100 are included in Figure 4. The 1995-2004 average in
369 the RCP6.0 simulation gives an OMF of 688 ± 24 Tg per year which is slightly lower than in
370 the timeslice simulation for 2000, but within the range of two standard deviations of the
371 REF2000 simulation. This difference might be due to the reduced variability in the timeslice

372 simulation compared to the transient one (see Section 2), and/or due to the different SST/SIC
373 fields used in the simulations. However, the results of the model simulations are in relatively
374 good agreement.

375 In the future, the OMF is clearly larger in the timeslice simulations than in the transient
376 simulation due to the climate change- and methane-related effects of the more extreme GHG
377 emission scenario (RCP8.5 compared to RCP6.0). The integrated OMF reaches 598 ± 29 Tg
378 per year in the NH, 490 ± 23 Tg per year in the SH and 1088 ± 43 Tg per year for the global
379 sum. This corresponds to a relative increase of 5.3%, 5.2% and 5.3% per decade, respectively
380 (see also Table 2). Thus, while in the RCP6.0 scenario, the OMF increase by the end of the
381 century is stronger in the SH than in the NH due to the prevailing impact of stratospheric ozone
382 recovery in the SH, OMF increases are of similar magnitude in both hemispheres for the
383 RCP8.5 GHG scenario. This is due to the growing role of an increased BDC in the RCP8.5
384 scenario which has a stronger impact in the NH. Note that the difference in OMF change by
385 2100 between the timeslice and transient simulations may not be due to the different GHG
386 scenario alone. The HadGEM2-ES model which provided the SST/SIC distribution for the
387 transient RCP6.0 simulation is known to have a higher climate sensitivity than the MPI-ESM
388 which provided the SST/SICs for the EMAC timeslice runs (Andrews et al., 2012). This might
389 lead to a somewhat stronger future SST increase, STE, and OMF in the transient RCP6.0 run
390 than would arise using MPI-ESM SSTs/SICs. Hence, the differences in OMF by 2100 discussed
391 here represent a lower boundary estimate of the expected OMF differences between the RCP6.0
392 and RCP8.5 scenarios.

393

394 **5. Attribution of future changes in OMF to climate change**

395 Figure 5 shows the monthly changes of the OMF for the sensitivity simulations, i.e. for the total
396 change between 2000 and 2100 due to all forcings and the changes resulting from GHG and
397 ODS changes only. It has to be noted that the changes due to GHGs and ODS do not necessarily
398 sum up to the total change due to non-linear interactions and due to the fact that the change in
399 non-methane tropospheric ozone precursor species is only included in the reference simulation
400 for 2100 (see Table 1). However, in the RCP8.5 scenario the largest changes in ozone precursors
401 are for methane which are included in the GHG signal. Nevertheless, the effect of the projected
402 increase in tropospheric ozone precursor concentrations on the OMF change between 2000 and
403 2100 cannot be unambiguously separated from the total change in this study. This is also due
404 to the impact of the non-methane ozone precursors on ozone production in the lower
405 stratosphere and the tropospheric ozone loss which can affect the OMF as well as the O₃s
406 distribution in the troposphere. While all these interactions are taken into account in the future
407 reference simulation, the objective of the sensitivity runs is to simplify the complexity and to
408 focus on the changes driven by the well-mixed GHGs and the ODS. Furthermore, all results
409 shown for the GHG-induced signal in the OMF consist of both the contribution from a
410 strengthened BDC and the chemically driven (climate and CH₄ related) increase in stratospheric
411 ozone available for downward transport.

412 The change in OMF between 2000 and 2100 due to all forcings (Figure 5, top row) is positive
413 throughout the year with maximal increases in the summer months of the respective
414 hemispheres by up to 32 Tg per month (75%) in the NH and 19 Tg per month (68%) in the SH.
415 The GHG (Figure 5, middle row) and ODS (Figure 5, bottom row) induced changes clearly
416 indicate the dominant role of rising GHG concentrations for the future OMF change in the NH.
417 In the NH, the GHG-related OMF increase is maximal in June and July, slightly shifting the
418 peak in the annual cycle to later in summer which is consistent with the findings by Hegglin
419 and Shepherd (2009). The ODS decrease, however, leads only to small positive and (not

420 significant) negative changes in the NH. In the SH, the GHG-induced increase dominates the
421 OMF change in winter and spring, while the ODS-related increase of the OMF contributes
422 nearly equally to the total change in SH summer. A significant reduction of OMF is found from
423 August to October in the SH due to the ODS change. This causes a shift of the SH maximum
424 ozone flux from October to December/January and is in contrast to the results by Hegglin and
425 Shepherd (2009) who found the maximum SH OMF in the future (2090-2100) to occur in
426 August. Overall we find that the GHG-induced changes will determine the positive trend of the
427 OMF in the NH, while in the SH both ODS and GHG changes affect the trend and the
428 seasonality of the future OMF into the troposphere.

429 To identify the processes behind the ODS- and GHG-induced changes, we analyse the changes
430 of the two OMF components, i.e. F_{in} and the seasonal breathing term. We find that the integrated
431 F_{in} will increase in the future throughout the year in both hemispheres and for both external
432 forcings (not shown). To give a more detailed picture Figure 6 shows in the top row the
433 latitudinal distribution of the product of ozone concentration and $-\bar{w}^*$ at 91 hPa, which equals
434 F_{in} , when integrated over all latitudes. The two components of F_{in} , $-\bar{w}^*$ and the ozone
435 concentration, are shown separately in the middle and bottom rows of Figure 6, respectively.
436 The increase of F_{in} (or $O_3 \times -\bar{w}^*$) due to the GHG effect (Figure 6d) is caused by an increase in
437 the downwelling (i.e. $-\bar{w}^*$, positive for downwelling, Figure 6e) of the BDC in the winter season
438 with climate change (e.g., Sudo et al., 2003; Butchart et al., 2010; Oberländer et al., 2013) in
439 combination with an ozone increase resulting from modified chemical production and loss rates
440 in the stratosphere and enhanced meridional transport (Figure 6f). In contrast, with ODS
441 decrease no significant changes in the downwelling occur (Figure 6h). The small increase in F_{in}
442 (Figure 6g) is therefore attributed to stratospheric ozone recovery from ODS, in particular in
443 Antarctic spring (Figure 6i). Figure 6 also indicates that the maximum change in OMF into the
444 troposphere occurs at midlatitudes for the GHG increase and at high latitudes for the ODS

445 reduction. This can be relevant for the mixing and distribution of stratospheric ozone in the
446 troposphere (see below).

447 Thus, given the positive changes in F_{in} , the significant negative change in the OMF identified
448 in September and October for the ODS decrease, must be attributed to changes in $-dM/dt$ (i.e.
449 the monthly change in the ozone mass contained in the LMS, also referred to as seasonal
450 breathing, Figure 7). While the total mass in the LMS is decreasing with rising GHG
451 concentrations in the sensitivity simulations (possibly due to the tropopause lifting effect of
452 rising GHGs), it slightly increases with ODS change only (not shown). The mass of ozone (M),
453 however, is increasing globally due to both, GHGs and ODS. Thus, for the GHG effect, the
454 future increase of ozone in the LMS outweighs the reduction in total LMS mass. If this future
455 increase of M in the LMS is monthly varying, a future change (positive or negative) in $-dM/dt$
456 will result. This change in $-dM/dt$ (i.e. the seasonal breathing) is shown in Figure 7. In SH
457 spring, when the ozone mass increase is steadily amplified between August and November due
458 to the decline of ODS, a considerable change in $-dM/dt$ results. This leads to the shift of the
459 seasonality of the OMF and therefore to negative changes in SH spring.

460 As mentioned above, the timing of the strongest input of stratospheric ozone into the
461 troposphere is relevant in that the efficiency of mixing down to lower altitudes or to the surface
462 depends on the chemical lifetime of ozone which varies with season. A shift of the spring
463 maximum in the SH to summer (December/January) for instance may result in different mean
464 abundances of O_3s in the troposphere. Furthermore, the chemical loss of ozone will increase in
465 a warmer troposphere, affecting the lifetime of ozone and thus the distribution of stratospheric
466 ozone in the troposphere.

467 The future changes in the distribution of O_3s mixing ratios are shown in Figure 8 for June. O_3s
468 is projected to increase throughout the extra-tropical troposphere. The largest changes will

469 occur in the subtropics in the upper and middle troposphere, the regions where cross-tropopause
470 transport along isentropic surfaces is possible and ozone is efficiently transported into the
471 troposphere through tropopause folds. This pattern is caused by the rising GHG concentrations
472 (Figure 8b). Near the surface however, the O₃s mixing ratios will decrease with GHG change
473 at summer NH mid-latitudes, either induced by an increased chemical O₃s loss or dry
474 deposition. The total positive change near the surface results from the O₃s increase due to ODS
475 change (Figure 8c). In the SH, the abundance of stratospheric ozone increases throughout the
476 troposphere down to the surface. Here, more O₃s is present further down than in the NH, which
477 may be related to the longer chemical lifetime of ozone in winter. This is also obvious from the
478 ODS-induced changes, albeit with very small signals.

479 The annual mean column-integrated values of O₃s and ozone in the troposphere and their
480 respective changes are listed in Table 3. The O₃s column increases globally by 42% between
481 the years 2000 and 2100, with a larger change occurring in the SH than in the NH. Consistent
482 with the results above, the change due to GHG is larger than due to ODS. As expected the ODS
483 decrease has a larger effect on the SH (+6%) than on the NH (+2%). By splitting the increase
484 in the total burden of tropospheric ozone (=O₃s+O₃t) between 2000 and 2100 into the single
485 components O₃s and O₃t (derived from Table 3), we find that the main contribution to the
486 change is from O₃s (19%), whereas ozone produced in the troposphere (O₃t, calculated as
487 residual) causes an increase of 12% summing up to a total increase of 31% of tropospheric
488 ozone. The larger increase in the ozone mass flux (Table 2) compared to the increase of O₃s
489 columns in the troposphere indicates changing chemical conditions in the troposphere due to
490 climate change. This means that the larger amount of stratospheric ozone entering the
491 troposphere does not accumulate to the equivalent larger abundance of O₃s in the troposphere.

492 Next we investigate, to what extent the future change in O₃s (Figure 9, middle row) contributes
493 to the ozone change (Figure 9, top row) in the troposphere. The relative contribution is shown
494 in Figure 9 (bottom row) as annual cycle of the tropospheric columns for (c) the change between
495 2000 and 2100 due to all forcings, (f) the respective change due to GHGs, and (i) the respective
496 change due to ODS. We find that at SH middle and high latitudes more than 80% of the increase
497 in tropospheric ozone column is caused by ozone originating from the stratosphere from April
498 through October. A similarly strong contribution to the overall change of more than 80% occurs
499 in the NH extratropics, however confined to the spring season (March, April and May). For the
500 rest of the year, ozone originating from the stratosphere causes more than 50% of the total
501 change in both hemispheres. In contrast, in the tropics only 20 to 50% of the ozone change are
502 attributable to changes in ozone from the stratosphere throughout the year.

503 In addition, our simulations illustrate that the future enhancement of stratospheric ozone import
504 into the troposphere and the resulting tropospheric ozone change will be dominated by the GHG
505 effect. If only the concentrations of ODS would decline between the years 2000 and 2100, a
506 minor increase in tropospheric ozone burden in the (mainly SH) extratropics would form
507 (Figure 9g), which is almost completely attributable to increased stratospheric ozone entering
508 into the troposphere (Figures 9h, 9i). In the GHG only simulation up to 80% of the tropospheric
509 ozone trends in SH winter and 70% in NH spring can be explained by increased abundances of
510 O₃s (Figure 9f). These numbers also indicate the strong increase of tropospheric photochemical
511 ozone production in the future due to the doubling of methane emissions under the RCP8.5
512 scenario (e.g., Young et al., 2013; Meul et al., 2016). In NH summer, about 50% of the change
513 are due to stratospheric ozone, while in the tropics and the SH summer months, the contribution
514 is less than 40%. In summary, Figure 9 shows that as expected the input of stratospheric ozone
515 is the driver of ozone changes in the troposphere, if only ODS levels are reduced. There is no
516 considerable change in tropospheric chemistry due to the larger ODS abundances. In contrast,

517 for the GHG increase we find that other processes, such as tropospheric chemistry, modulate
518 the tropospheric ozone abundance in addition to the increased influx of stratospheric ozone.

519 Finally, we compare the tropospheric O₃s columns derived from the timeslice simulations
520 under the RCP8.5 scenario with the transient simulation using the RCP6.0 scenario. Figure 10a
521 shows the evolution of annual mean tropospheric ozone (solid) and O₃s (dashed) columns for
522 the NH and the SH. Tropospheric ozone increases in the RCP6.0 simulation from 1960 to the
523 middle of the 21st century and slightly declines afterwards in the NH, while it stays nearly
524 constant in the SH. There is very good agreement of the tropospheric ozone column between
525 the transient and timeslice simulations for the year 2000, when both simulations use observed
526 GHG concentrations. Regarding the temporal evolution of O₃s, we find a positive trend in both
527 hemispheres and only a slight decrease in the NH at the end of the 21st century. In the past, an
528 effect of the ODS driven stratospheric ozone loss is overlaid by the GHG related increase in
529 both hemispheres. However, a slightly smaller rise of O₃s in the SH might be an indication.

530 The RCP8.5 scenario (circles) leads to higher values of tropospheric ozone in 2100 which is
531 related to two effects: a larger import of stratospheric ozone and a larger chemical ozone
532 production in the troposphere due to strongly enhanced methane concentrations in the second
533 half of the 21st century in the RCP8.5 scenario (see Meinshausen et al., 2011).

534 The ratio between O₃s and tropospheric ozone (Figure 10b) gives an indication if the role of
535 stratospheric ozone in the troposphere will change in the future. In the past period of the
536 transient simulation (i.e. RCP6.0 scenario), the relative contribution of O₃s decreases from 48%
537 (1960s) to 44% (1990s) in the NH and from 52% to 48% in the SH. This is caused by an increase
538 of ozone produced in the troposphere, which is stronger than the increase of O₃s (Figure 10a).
539 In the future, however, the relative importance of ozone from the stratosphere increases,
540 reaching 49% in the NH and 55% in the SH around the year 2100. Thus, in the RCP6.0 scenario

541 (more than) half of the ozone in the troposphere will originate from the stratosphere in the (SH)
542 NH by the end of the 21st century.

543 The comparison with the timeslice simulation (RCP8.5 GHG scenario) shows that the
544 contribution of O₃s to O₃ in the troposphere is lower in the year 2000 than in the transient
545 simulation. This is probably caused by the different data sets used for the SST/SIC fields in the
546 timeslice and transient simulation (see Table 1) leading to different tropopause heights and
547 therefore to different tropospheric columns. However, the larger contribution of O₃s to ozone
548 in the SH (48%) compared to the NH (43%) is confirmed. In 2100, tropospheric ozone columns
549 in the NH (SH) will consist of 46% (52%) ozone originating from the stratosphere.

550 In summary, in a future RCP6.0 scenario, the relative importance of ozone from the stratosphere
551 in the troposphere will increase by 5% in the NH and 7% in the SH around the year 2100
552 compared to 1990. In the RCP8.5 scenario, the increase will be slightly smaller (3% in the NH
553 and 4% in the SH in 2100 compared to 2000) despite the larger increase in OMF shown in
554 Figure 2. Here, the different evolution of tropospheric ozone production in the two GHG
555 scenarios plays a crucial role.

556

557 **6. Summary**

558 In this study we have analysed the future changes in stratosphere-to-troposphere transport of
559 ozone in timeslice and transient simulations with the CCM EMAC to address the questions
560 brought up in the introduction: (1) How will the stratosphere-to-troposphere OMF change in
561 the future? (2) What are the major drivers of the future changes in stratosphere-to-troposphere
562 OMF? (3) Will the seasonality of the STE change in future? (4) How will the GHG emission
563 scenarios affect the OMF into the troposphere? (5) How is the ratio of stratospheric ozone in
564 the troposphere changed in the future?

565 In agreement with other studies (e.g., Sudo et al., 2003; Collins et al., 2003; Hegglin and
566 Shepherd, 2009; Banerjee et al., 2016), we find that the influx of stratospheric ozone into the
567 troposphere will increase in the future. Between 2000 and 2100 the EMAC timeslice
568 simulations project an increase of the annual global mean OMF by 53% under the RCP8.5
569 scenario. Increasing GHG concentrations were identified as the main driver of the rising OMF
570 into the troposphere by strengthening the BDC and increasing the net ozone production in the
571 stratosphere. The annual global OMF is increased by 46% due to rising GHG concentrations
572 compared to an increase of 7% due to the ODS decline and the associated ozone recovery. The
573 GHG effect leads to a larger intensification of STE in the NH (51%) than in the SH (40%),
574 whereas the ODS effect is most prominent in the SH (9%) compared to 4% in the NH.

575 Regarding the seasonal changes of the OMF, we showed the dominant role of GHG changes
576 for the NH whereas in the SH, both ODS and GHG changes affect the seasonality of the OMF
577 increase: the GHG increase is the main driver of the increase in winter and spring, but in
578 summer also ODS-induced changes contribute to the OMF increase. Furthermore, the ODS
579 decrease and the concomitant ozone increase in the lower stratosphere during SH spring cause
580 a large change in the seasonal breathing term in the SH from August to October, which results
581 in a shift of the maximum ozone flux to late spring/early summer. The GHG effect leads to a
582 dampened amplitude of the seasonal cycle in the SH and an intensified in the NH. This can
583 have an impact on the distribution of stratospheric ozone in the troposphere: in the SH more
584 ozone is transported into the troposphere in winter, when the chemical lifetimes are relatively
585 long, whereas in the NH the largest increase is found in summer. This may explain the larger
586 increase of O₃s columns in the SH compared to the NH despite the smaller increase in OMF
587 (see Table 2 and 3).

588 The future spatial distribution of the tropospheric O₃s column in the troposphere is determined
589 by the change pattern due to GHG increases. Here, the largest increase of O₃s mixing ratios
590 occurs in the subtropical upper troposphere, where stratospheric ozone is transported into the
591 troposphere via tropopause folds and then further down to lower levels in the large-scale sinking
592 of the Hadley cell (Roelofs and Lelieveld, 1997). ODS-related changes in the tropospheric O₃s
593 column are smaller. They show no comparable signal in the subtropical region, but a more
594 homogeneous distribution. In the ODS simulation, the main increase of stratospheric ozone
595 input occurs via the downward branch of the BDC in middle and higher latitudes, where the
596 chemical ozone loss of tropospheric ozone is smaller than in the subtropics and hence mixing
597 towards the surface is more efficient.

598 In the SH winter months, the ozone change due to increased stratospheric ozone influx explains
599 up to 80% of the overall tropospheric ozone increase under the RCP8.5 scenario by the end of
600 the century. In the rest of the year, the stratospheric ozone changes cover more than 50% of the
601 ozone changes in the SH troposphere. In contrast, increased stratospheric ozone explains only
602 about 70% of the ozone changes in NH spring indicating the strong increase of tropospheric
603 photochemical ozone production in the future due to the doubling of methane emissions under
604 the RCP8.5 scenario.

605 The comparison with the transient EMAC simulation under the RCP6.0 scenario shows a
606 smaller future increase in annual global OMF into the troposphere (4.2% per decade) than under
607 the RCP8.5 scenario (5.3% per decade). In the transient RCP6.0 simulation the positive trend
608 between 2000 and 2100 is larger in the SH than in the NH, which is not found in the RCP8.5
609 timeslices. The stronger increase in the OMF under the RCP8.5 scenario is connected with a
610 larger O₃s column, but the relative contribution of O₃s to ozone in the troposphere rises
611 similarly in both scenarios. This is caused by the different evolution of the ozone produced in

612 the troposphere in the RCP6.0 and RCP8.5 scenario due to the deviating emission scenarios for
613 the ozone precursor species. In the past, the input of stratospheric ozone has slightly decreased
614 between 1960 and 1999, especially in the SH (-1.4% per decade) due to the formation of the
615 ozone hole. However, the O₃s column in the troposphere integrated over the NH and the SH
616 shows a small positive trend. This may be related with the seasonal timing of the changes, since
617 ozone loss in the SH stratosphere has the largest effect on the OMF in spring and early summer
618 when the tropospheric ozone loss rates are higher than in winter and mixing is less efficient
619 anyway.

620 In summary, this study shows that GHG and ODS changes have different effects on the future
621 OMF, the seasonality and the resulting abundances of stratospheric ozone in the troposphere.
622 Moreover, it shows that both forcings are projected to cause an increased amount of
623 stratospheric ozone in the troposphere, which will not only contribute to the radiative forcing
624 and global warming but will also affect the air quality at the surface. Further studies are needed
625 to investigate and separate the effect of non-methane precursors on the OMF, the interactions
626 with the increasing GHGs and the resulting distribution of O₃s in the troposphere.

627

628 **Code availability**

629 The Modular Earth Submodel System (MESSy), including the EMAC model, is continuously
630 further developed and applied by a consortium of institutions. The usage of MESSy and access
631 to the source code is licensed to all affiliates of institutions, which are members of the MESSy
632 Consortium. Institutions can become a member of the MESSy Consortium by signing the
633 MESSy Memorandum of Understanding. More information can be found on the MESSy
634 Consortium website (<http://www.messy-interface.org>).

635

636 **Data availability**

637 The data of the ESCiMo simulation RC2-base-05 will be made available in the Climate and
638 Environmental Retrieval and Archive (CERA) database at the German Climate Computing
639 Centre (DKRZ; <http://cera-www.dkrz.de/WDCC/ui/Index.jsp>). The corresponding digital
640 object identifiers (doi) will be published on the MESSy Consortium web page
641 (<http://www.messy-interface.org>). A subset of the RC2-base-05 simulation results has been
642 uploaded to the BADC database for the CCMi project. Data of the EMAC timeslice simulations
643 performed for this for this paper are available at the Freie Universität Berlin on the SHARP
644 data archive under `ACPD_ozone_transport_Meul_et_al_2018.tar`.

645

646 **Author contribution**

647 SM has performed and analysed the timeslice simulations and has written the manuscript. UL
648 has initialized the study and has considerably contributed to the manuscript and the discussion.
649 PK has contributed to the analysis of the model data. SOH has performed the timeslice
650 simulations and has contributed to the discussion of the results. PJ led the ESCiMo project,
651 coordinated the preparation of the EMAC simulation setups and conducted the model
652 simulations (here RC2-base-05). Moreover, he contributed to the EMAC model development,
653 including the here applied O3s diagnostics.

654

655 **Competing interests**

656 The authors declare that they have no conflict of interest.

657

658 **Special issue statement**

659 This article is part of the special issue “The Modular Earth Submodel System (MESSy)
660 (ACP/GMD inter-journal SI)”. It is not associated with a conference.

661

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673

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857 **Table 1.** EMAC CCM simulations used in this study.

Name	Run mode	GHG (CO ₂ , CH ₄ , N ₂ O)	Tropos. O ₃ precursor (CO, NO _x , NMVOCs)	ODS	SST/SIC
RCP6.0 (referred to as RC2-base-05 in Jöckel et al., 2016)	Transient (1960-2099, after 10 years spinup)	RCP6.0	RCP6.0	Observations and A1	HadGEM 1960-2099
REF2000	Timeslice (40 years, after 5 years spinup)	Observations for 2000	Observations for 2000	Observations for 2000	MPI-ESM 1995-2004
REF2100	Timeslice (40 years, after 5 years spinup)	RCP8.5 for 2100	RCP8.5 for 2100	A1 for 2100	MPI-ESM 2095-2104
GHG2100	Timeslice (40 years, after 5 years spinup)	RCP8.5 for 2100	Observations for 2000	Obs. for 2000	MPI-ESM 2095-2104
ODS2100	Timeslice (40 years, after 5 years spinup)	Observations for 2000	Observations for 2000	A1 for 2100	MPI-ESM 1995-2004

858 **Table 2.** Overview of the annual ozone mass flux into the troposphere and the corresponding
 859 standard deviations in the EMAC timeslice simulations. Gray numbers indicate the change
 860 relative to the REF2000 simulation. All changes are significant on the 95% confidence level.

	O3 mass flux [Tg/yr]		
	global mean	NH	SH
REF2000	712±26	390±18	322±16
REF2100	1088±43 +53%	598±29 +53%	490±23 +52%
GHG2100	1041± 36 +46%	590±28 +51%	451±26 +40%
ODS2100	758±26 +7%	406±20 +4%	352±13 +9%

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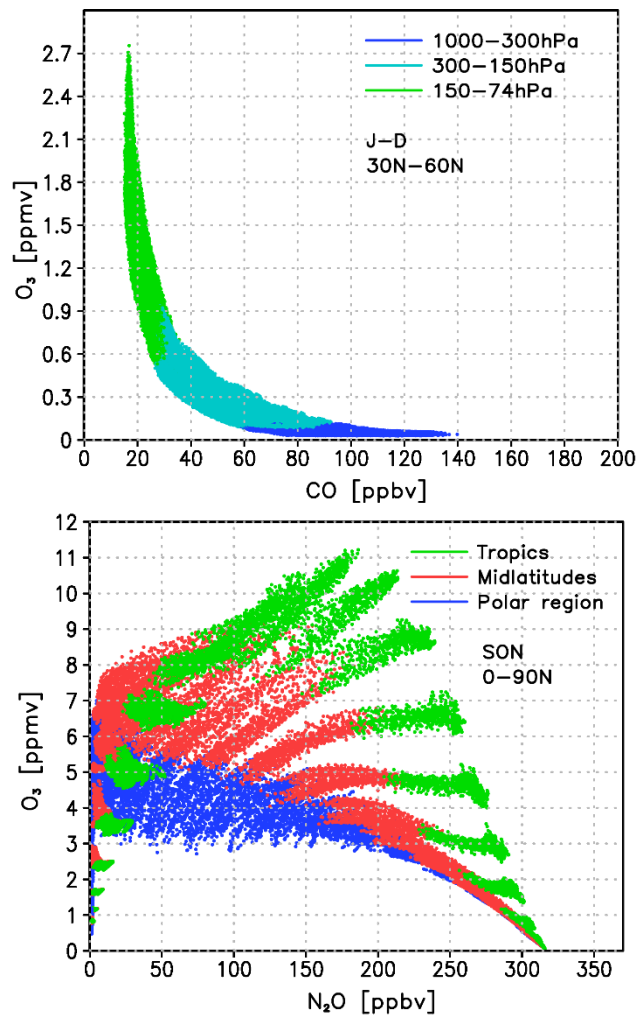
863 **Table 3.** Overview of the annual mean O₃ and O₃s burden [Tg] in the troposphere with the
 864 corresponding standard deviations in the EMAC timeslice simulations. Grey numbers indicate
 865 the change relative to the REF2000 simulation. All changes are significant on the 95%
 866 confidence level.

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	Tropospheric O ₃ column [Tg]			Tropospheric O ₃ s column [Tg]		
	global mean	NH	SH	global mean	NH	SH
REF2000	401±2	222±2	179±1	182 ±3	96 ±2	86 ±2
REF2100	527±3 +31%	290±2 +31%	237±2 +32%	258 ±4 +42%	134 ±2 +40%	123 ±2 +43%
GHG2100	513±3 +28%	282± 2 +27%	231±2 +29%	246 ±3 +35%	128 ±2 +33%	118 ±2 +37%
ODS2100	409±2 +2%	225±2 +1%	184±1 +3%	189 ±2 +4%	98 ±1 2%	91 ±1 +6%

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887 **Figure 1:** Top: O₃-CO scatter plot for the months January to December in the latitude band
888 30°-60°N for the REF2000 simulation from the troposphere to the lower stratosphere. Color
889 coding indicates different height regions. Bottom: O₃-N₂O scatter plot for the months
890 September to November in the northern hemisphere for the REF2000 simulation for the
891 altitude region between 270 and 0.1 hPa. Color coding indicates different latitude bands.

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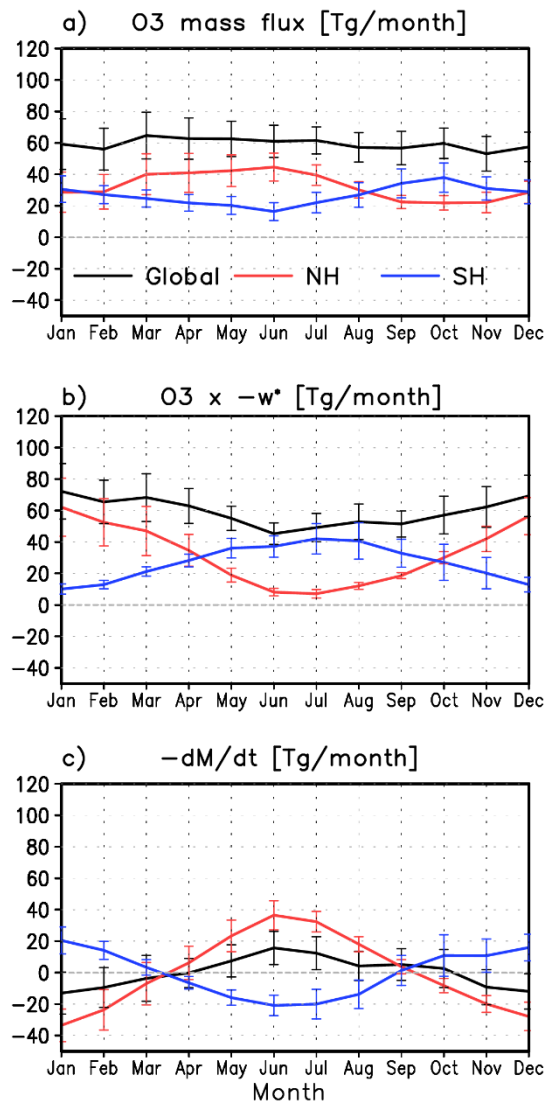


Figure 2: a) Annual ozone mass flux and its 95% confidence interval (i.e. $\pm 2\sigma$, with σ := standard deviation) [Tg/month] from the stratosphere into the troposphere (F_{out}) in the REF2000 simulation integrated globally (black), over the northern (red) and southern (blue) hemispheres. b) As a) but for F_{in} (the product between the ozone concentration and the negative zonal mean residual vertical velocity \bar{w}^* at 91 hPa). c) As a) but for the negative monthly change in ozone mass of the LMS ($-dM/dt$), also referred to as seasonal breathing.

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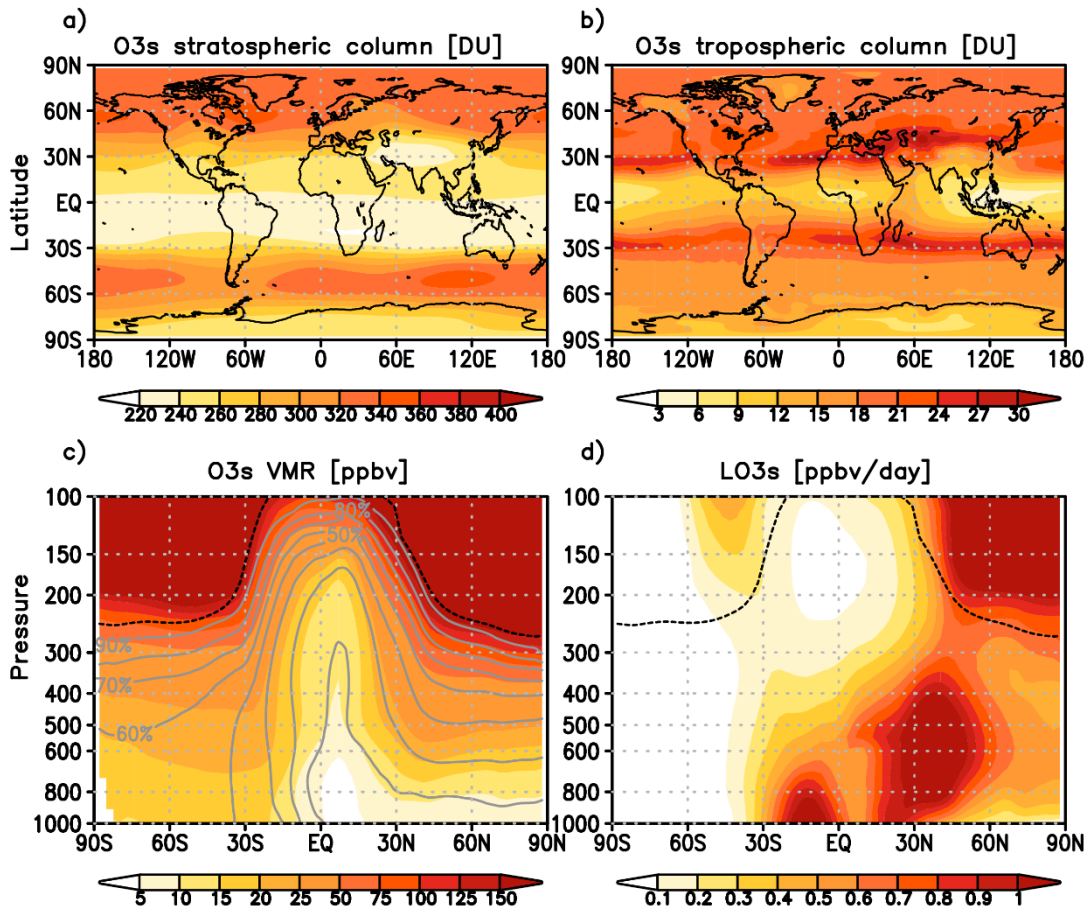
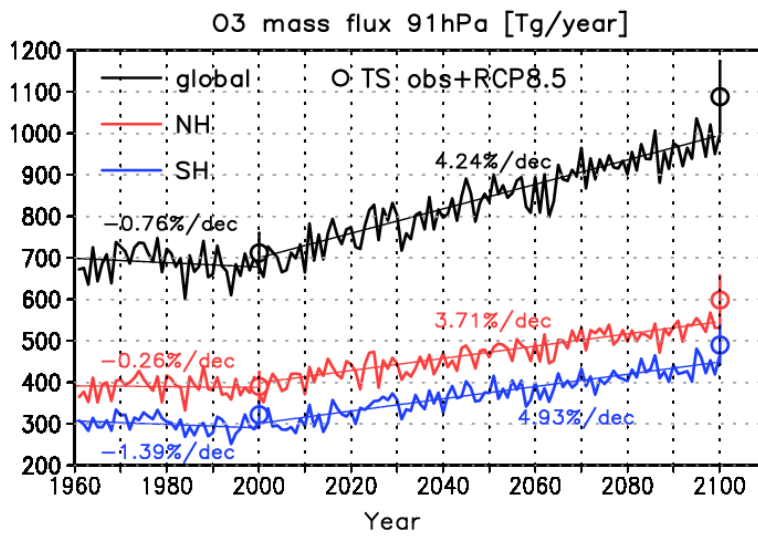


Figure 3: a) Geographical distribution of stratospheric partial columns of the diagnostic O3s tracer in Dobson Units (DU) in June for the REF2000 simulation. b) as a) but for the tropospheric columns. c) Latitude-height section of the O3s volume mixing ratios [ppbv] and d) latitude-height section of the chemical loss rate of O3s [ppbv/day]. The black dashed line indicates the position of the mean tropopause. Gray contour lines in c) show the relative contribution of O3s to the ozone field in %.

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949 **Figure 4:** Temporal evolution of the ozone mass flux [Tg/year] into the troposphere from 1960
950 to 2099 in the transient RCP6.0 simulation integrated globally (black), over the northern (red),
951 and southern (blue) hemispheres. The thin lines indicate the linear fits for the sub-periods 1960
952 to 2000 and 2000 to 2099. In addition, the ozone mass fluxes derived from the timeslice
953 simulations (TS) for the years 2000 (REF2000) and 2100 (REF2100, RCP8.5 scenario) are
954 shown by open circles including the $\pm 2\sigma$ range.

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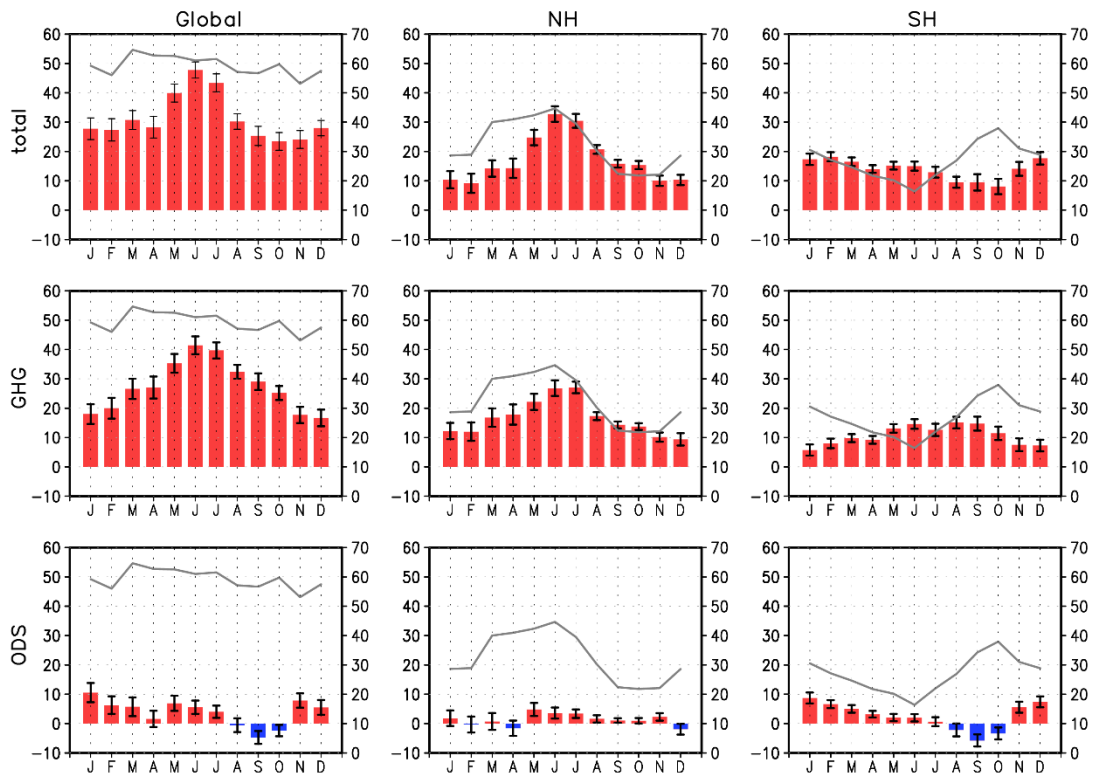
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969 **Figure 5:** Annual cycle of ozone mass flux changes between 2000 and 2100 [Tg/month] in the
970 timeslice simulations integrated globally (left), over the NH (middle), and over the SH (right)
971 for the changes due to all forcings (top row), the effect of increasing GHG concentrations
972 (middle row) and the impact of declining ODS levels (bottom row). The black error bars denote
973 the $\pm 2\sigma$ standard deviation. The absolute ozone mass flux of the reference simulation REF2000
974 is shown as grey line with the corresponding y-axis on the right.

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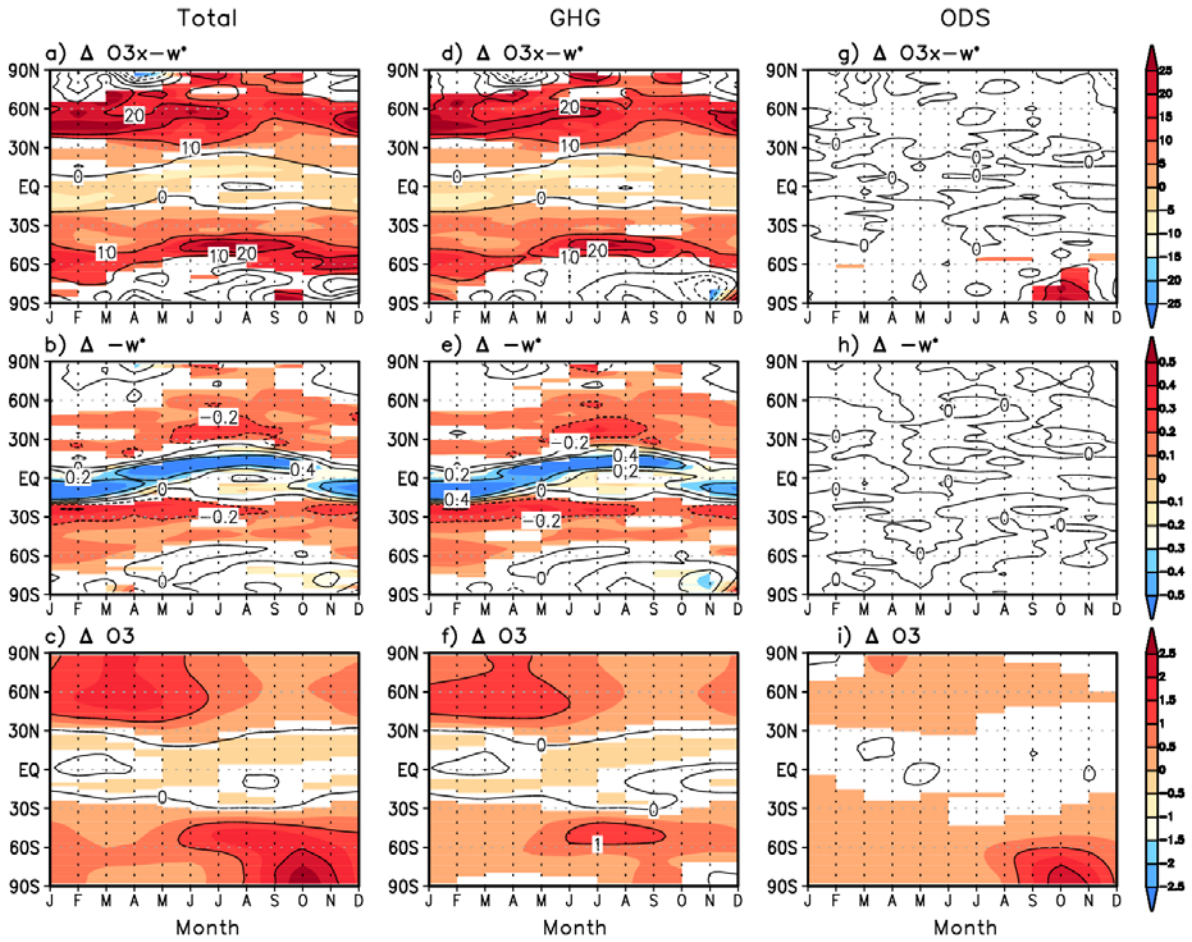
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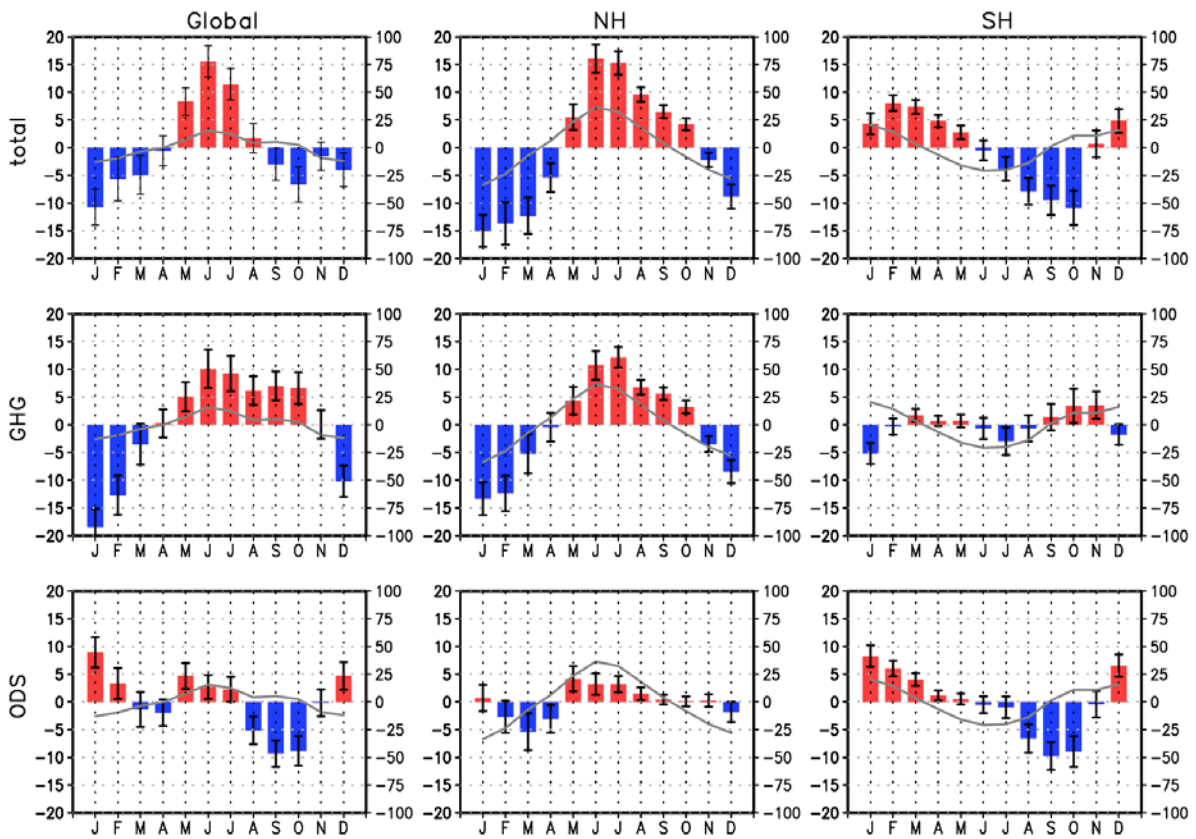


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983 **Figure 6:** Annual cycle of the zonal mean change at 91 hPa in the timeslice simulations due to
 984 all forcings between 2000 and 2100 (left column), due to GHG increase (middle column), and
 985 due to ODS decrease (right column). Upper row: changes in the product of ozone concentration
 986 and $-\bar{w}^*$ [10^6 g/cm²/month], which equals F_{in} when integrated over all latitudes. Middle row:
 987 changes in $-\bar{w}^*$ [mm/s]. Bottom row: changes in the ozone concentration [molecules/cm²].
 988 Significant changes on the 95% confidence level are colored.

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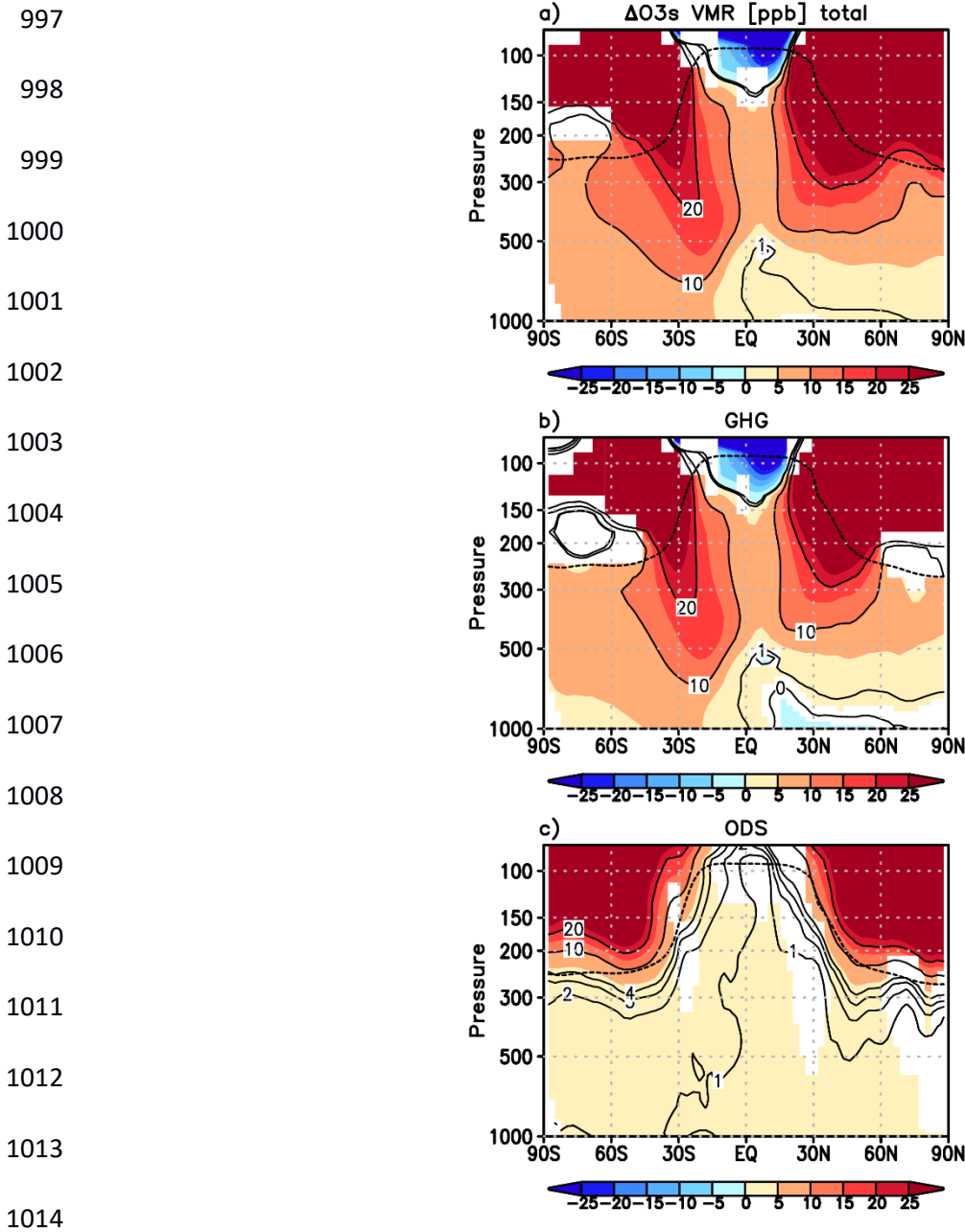


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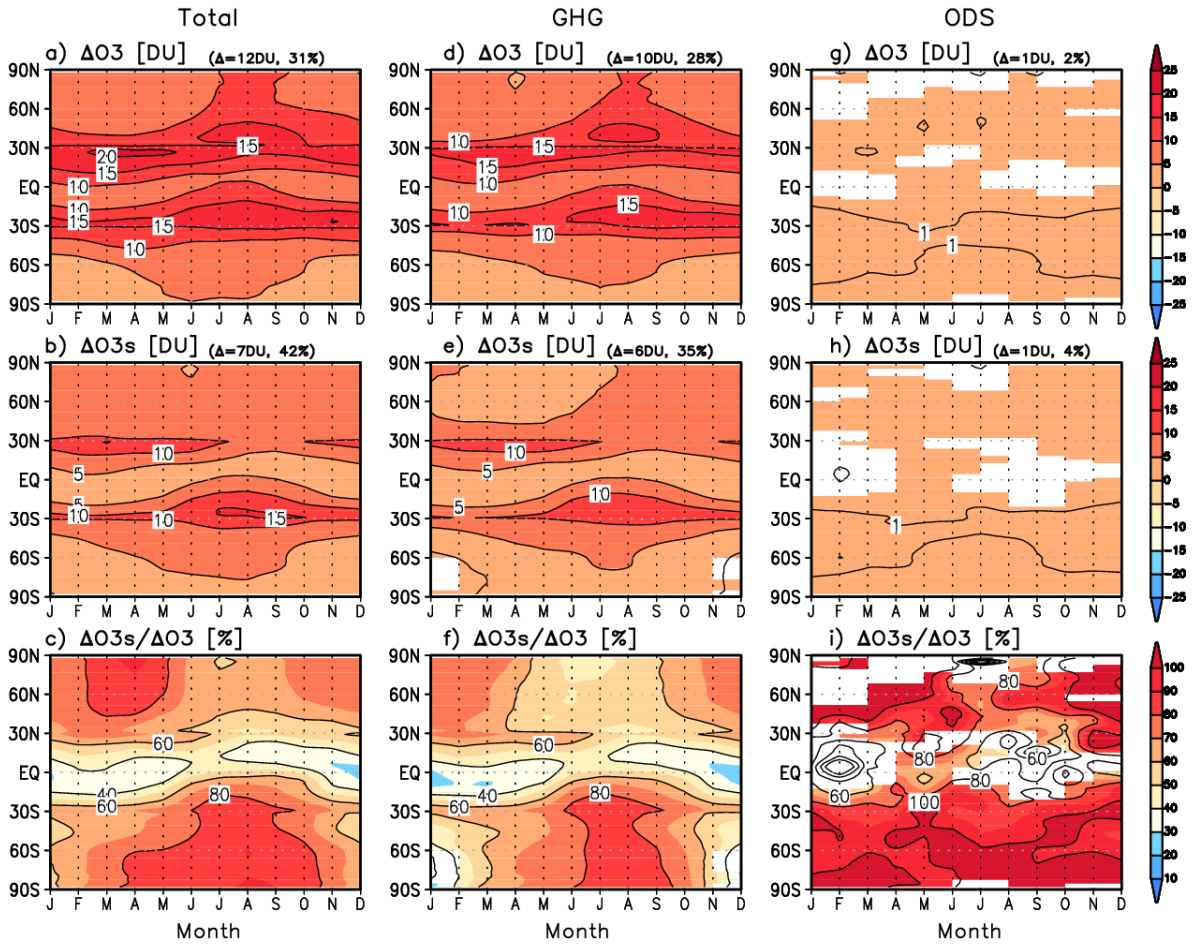
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993 **Figure 7:** As Figure 5 but for the change in seasonal breathing ($-\text{dM}/\text{dt}$) [Tg/month]. The
994 absolute value for $-\text{dM}/\text{dt}$ of the reference simulation REF2000 is shown as grey line with the
995 corresponding y-axis on the right.

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1015 **Figure 8:** Changes in the volume mixing ratios [ppbv] of the diagnostic tracer O₃s for a) the
 1016 changes between 2000 and 2100 due to all forcings, b) the changes between 2000 and 2100 due
 1017 to increasing GHG concentrations and c) the changes between 2000 and 2100 due to declining
 1018 ODS levels for June (when the ozone mass flux is maximum in the NH and minimum in the
 1019 SH; see Fig. 2a). Significant changes on the 95% confidence level are colored. The black dotted
 1020 line represents the mean tropopause position in the REF2000 simulation. For the small ODS-
 1021 induced changes (c) additional contour lines (2, 3, and 4 ppbv) are shown.



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1023 **Figure 9:** As Figure 6, but for the change in the tropospheric columns of O_3 [DU] (upper row),
 1024 the tropospheric columns of O_{3s} [DU] (middle row), and the contribution of the O_{3s} changes
 1025 to the O_3 changes between 2000 and 2100 [%] (bottom). The small numbers on top of the
 1026 figures are the respective annual mean global mean changes in DU and %. Shading in the
 1027 bottom row indicates the regions where both, O_{3s} and O_3 changes, are significant on the 95%
 1028 confidence level.

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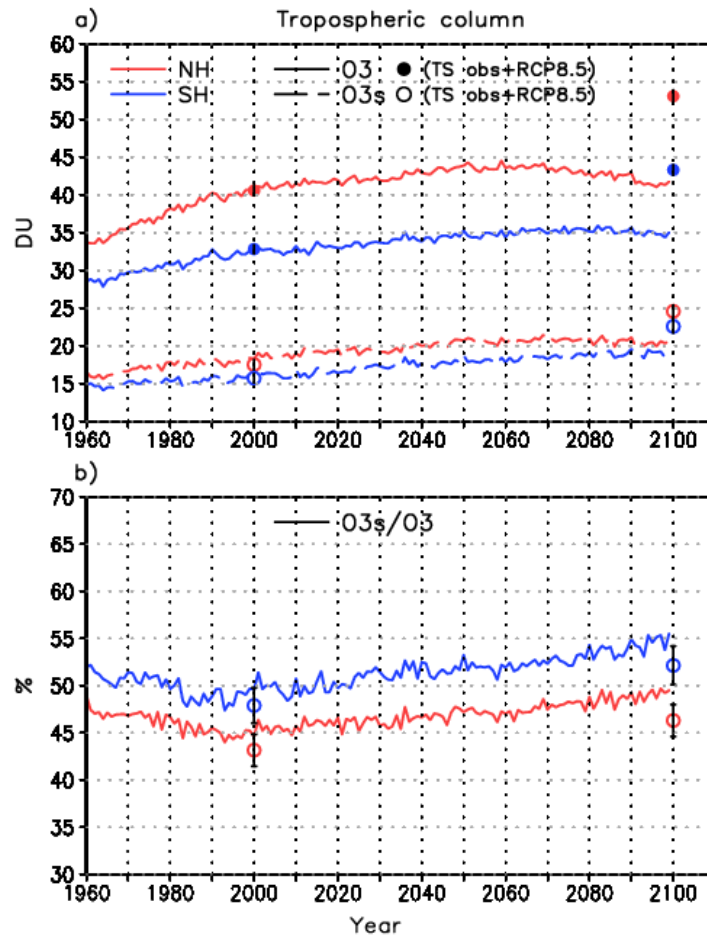


Figure 10: a) Temporal evolution of the annual mean tropospheric column in ozone (solid) and O3s (dashed) [DU] averaged for the NH (red) and the SH (blue) in the transient RCP6.0 simulation and the corresponding values of the reference timeslice simulations for the year 2000 and 2100 (ozone: closed circle; O3s: open circle). b) Same as a) but for the ratio between O3s and O3 [%]. The black bars denote the $\pm 2\sigma$ range for the timeslice simulations. Note that the inter-annual variability in ozone and O3s is small in the timeslice simulations and the error bars are very short.