

Response to the comments of reviewer #3

Thank you for reviewing our revised manuscript and your recommendation for further improving the text. Please find our point-by-point reply in blue underneath your comments in black.

I have reviewed the revised version of Fiedler et al. Since I was not part of the first round of review, I have mostly restricted myself to determining whether the authors have addressed the comments raised by the other reviewers. However, I am a bit disappointed that those reviewers did not bring up the most poignant criticism of the “simple plumes” (SP) parameterization of aerosol–cloud interactions (ACI), which is that the sporadic transport of anthropogenic pollution into usually very clean regions is underrepresented.

MACv2-SP represents the monthly mean distribution of anthropogenic aerosol optical properties and the associated Twomey effect. The mean transport into relatively clean ocean regions is therefore represented by MACv2-SP, but sub-monthly variability of anthropogenic aerosol is not simulated. The parameterization is deliberately kept simple, e.g., to enable easy experimentation and to ensure a computationally cheap representation of anthropogenic aerosol (Stevens et al., 2017). In the revised section 2.1, we note: „By design, MACv2-SP does not simulate sub-monthly variability in anthropogenic aerosol. (...) Stevens et al. (2017) give further details on MACv2-SP.“, and „Note that η_N is only available for regions with $\tau_a > 0$ (see Fig.1)“

My biggest concern with the manuscript is one raised by both of the original reviewers, which is that the manuscript is difficult to read. This is in part because vague phrasing abounds. One of the reviewers explicitly asked the authors to go through the text line by line to rephrase and reduce potential for confusion. That the authors have made only cursory improvements in the revision is disrespectful of the reviewers (and the manuscript’s future readers). It also made me feel antagonistic enough that I seriously considered recommending rejection before deciding on major revisions; after all, what assurance do I have that the authors will consider my recommendations any more than the original reviewers’?

We have substantially changed the text in the first revision in response to the first two reviewers and regret that the resulting language is not as easily accessible as we had hoped for. We welcome the opportunity to further sharpen the text and focus on making our study comprehensible for a larger readership. To do so, we revised the text line by line. We hope this process improved the clarity of the language such that also readers with a background different from our own can more easily access the content of the article. Should there still be any phrasing that you perceive as unclear, do not hesitate to tell us. Please let us add that we are grateful for every constructive criticism and value the recommendations of all our reviewers that help improving the manuscript.

Main comments

1 Major organizational issues

That being said, I also disagree with multiple comments made by the original reviewer who recommended rejection. While the manuscript currently reads like a grab bag of resultlets, none of which is fully developed into an interesting conclusion (as the original reviews pointed out), a good-faith effort at reorganizing the content, as well as rewriting to remove platitudes and vagueness, would lead to a manuscript well worth reading. I have collected a few suggestions for results to emphasize and discuss in greater depth: On the subject of the averaging required for reliable forcing estimates, have the authors considered the “nudging” approach, where the large-scale

dynamics of the model is constrained to reanalysis (e.g., Zhang et al., 2014, ACP)? Can nudging shorten the integration periods or reduce the averaging required to make reliable estimates of transient forcing time evolution? What are the drawbacks or trade-offs that need to be considered? Nudging is not a suitable approach for our research question, since we aim to quantify ERF differences for the free-running simulations of the participating climate models. We are interested in determining the ERF of these models, not an ERF resulting from a mixture of these models with re-analysis that nudging would cause. In nudged simulations, the atmospheric fields of a climate model are adjusted to re-analysis data, typically every six hours. Due to the frequent constraint on the model from re-analysis, the model's own rapid adjustments must not necessarily develop like they do in a free-running simulation. As such, we obtain an ERF different from the one of the free-running simulations. The benefit of nudging is constraining the synoptic-scale circulation in the simulation, e.g., Zhang et al. (2014), but it is not clear whether nudging shortens the time period required for estimating the model's ERF, since also re-analysis represents natural variability. We add in Section 3.4: „The result underlines again the importance of using a large number of simulated years for determining changes in ERF from free-running climate models. “, and explicitly comment on nudging in the conclusions: „The interannual variability in ERF, and hence the number of years needed to estimate ERF, could be different in nudged model simulations (e.g., Zhang et al., 2014). However, nudging a model simulation with re-analysis data can change the climatology and interfere with the rapid adjustments. The resulting ERFs from a nudged simulation are therefore likely different compared with free-running model simulations.“

The point that it may be more fruitful to calculate forcing differences between different levels of anthropogenic pollution rather than a forcing relative to a poorly characterized “preindustrial” state is well taken. I should note that I do not understand why this point appears in the section it appears in, “Benchmarking RF” (but I also do not understand what “benchmarking” means as used by the authors).

We change the organization of this section and now split the content into two subsections. The above mentioned point now appears in the second subsection „3.4 Uncertainties in RF“ following the subsection with the title „3.3 Contributions from RF and adjustments“. We also rephrase „benchmarking“ in the entire text, e.g.: „The offline radiation-transfer model is used to assess the role of uncertainty in (...) “ Please refer to the manuscript with tracked changes for reviewing further changes.

I believe the original reviewer's comment about the spatial shift in pollution from Europe/North America to Asia is wrong;(Parenthetically, I also think the reviewer comment about ensemble means is incorrect; the sample mean is the optimal estimate of the population mean regardless of the caveats the reviewer lists. There is absolutely no guarantee that it will converge on the true forcing, but that is an inherent problem in modeling and unrelated to the number of models that participate.) contrary to that reviewer's opinion, the fact that the AOD is similar in both time periods does not imply that the ERF or RF should necessarily be similar. However, for this finding to be illuminating, the authors should endeavor to explain why this is the case rather than simply stating the fact in Sec. 3.4.

We agree that the same global mean AOD does not imply that the forcing is the same. We hope the additional work on the text makes that point even clearer for our readers, e.g., we add in Section 3.5 (former Section 3.4): „Although the global mean τ_a is similar for 1975 and 2005, the anthropogenic pollution covers very different regions, with the largest maxima in Europe and the U.S.

during the mid-1970s and in East Asia during the mid-2000s. The regional differences in clouds, insolation and surface albedo can contribute to changes in the radiative effects that can result in a different global ERF. For instance, Figure A1-A3 show the spatial differences for cloud properties and the surface albedo illustrating both the regional differences and the model diversity for their representation (see Appendix B). (...)

The revised manuscript additionally shows the surface albedo for shortwave radiation from our model ensemble in Appendix B3: „An additional influence on the radiative forcing of anthropogenic aerosol is the surface reflectivity for shortwave radiation. We therefore document the surface albedo for shortwave radiation from the participating models and the satellite product used in the offline radiative transfer calculations of this study. In the global mean, the models and the satellite product are very similar, with a surface albedo of 14-16%. However, the spatial distributions in Figure A3 indicate differences. The typical difference between less reflective ocean surfaces compared to land regions is apparent. Moreover, the analysis reveals diversity in the regional surface albedos of the participating models, typically related to areas affected by snow cover. Since such diversity in the surface albedo was already previously reported for aerosol-climate models with implications for the aerosol radiative forcing (e.g., Stier et al., 2007), future efforts are still needed for constraining the surface albedo in complex models.“

Moreover, we introduce a more detailed analysis of the forcing efficiency for explaining the reasons for the same global ERF from the substantial different patterns. These results are shown in Section 3.5 (former section 3.4). Please refer to our reply to the next comment for these changes.

The same holds for the discussion of the differences in efficacy. Why is the efficacy different between the time periods?

In the revised manuscript, we investigate the clear- and cloudy-sky contributions to the all-sky efficiency for better illustrating the reasons for the regional differences in the efficiency resulting in the same global ERF. Please note that we also replaced „efficacy“ with „efficiency“ for a clearer distinction to the efficacy of forcing agents for temperature responses. In the revised manuscript, we replace Tables 3 and 4 with the new Figure 9 that shows the spatial distribution of the efficiency for present-day and the change relative to the mid-1970s for the all-, clear- and cloudy sky.

We change in Section 3.5 (former 3.4): „ The cloudy- and clear-sky contributions to the all-sky efficiency of the ERF, in other words the ratio of ERF to τ_a helps to better understand why the two τ_a patterns yield similar ERFs. All-sky efficiency is the sum of contributions from cloudy and clear-sky:

$$\frac{\text{ERF}_{\text{all}}}{\tau_a} = f \frac{\text{ERF}_{\text{cloudy}}}{\tau_a} + (1 - f) \frac{\text{ERF}_{\text{clear}}}{\tau_a},$$

where f is the total cloud fraction, and $\text{ERF}_{\text{cloudy}}$ and $\text{ERF}_{\text{clear}}$ the ERF in cloudy and clear sky, respectively.

Figure 9 shows the regional distribution from the multi-model ensemble average of the terms of Equation 1. The all-sky efficiency often increases with increasing distance to major pollution sources because of the decreasing background aerosol, up to -100 W m^{-2} per unit τ_a . These all-

sky efficiencies are primarily explained by the cloudy-sky contributions. A clear saturation of aerosol-cloud interactions towards the edges of the τ_a plumes is not evident and the spatial distribution of the all- and cloudy-sky efficiency is rather inhomogeneous. The inhomogeneity contrasts with the clear-sky efficiency, which has much smaller spatial variability and changes only weakly with the τ_a patterns of the mid-2000s and the mid-1970s.

Averaged globally, all-sky forcing efficiencies for the two aerosol patterns are similar at -26 Wm^{-2} per unit τ_a . The regional all-sky ERF efficiencies, however, change between the mid-1970s and mid-2000s (Fig. 9). This change is almost exclusively explained by the cloudy-sky contribution to the ERF efficiency, reflecting the regional change in η_N from the mid-1970s to mid-2000s. The strong change in the cloudy-sky contribution is in strong contrast to the relatively minor changes in the clear-sky contributions. Differences in regional efficiencies of anthropogenic aerosol effects on clouds thus balance in the global mean and result in similar global ERFs for the mid-1970s and mid-2000s.

Of all models, NorESM and EC-Earth have the strongest effective radiative forcing efficiencies around -30 and -40 Wm^{-2} per unit τ_a , respectively, i.e., the same aerosol perturbation in these two models is much more efficient in inducing effective radiative effects than in the other models, consistent with the more negative ERFs (Fig. 8). In EC-Earth, the more negative ERF arises from also perturbing the cloud microphysics with η_N . In NorESM, the more negative ERF arises from a strong negative RF and a small net contribution from adjustments.“

(As a side note, the numbers on p. 8 l. 26 are slightly different from the original manuscript; why is that?)

The ensemble-mean ERF values in the second version of the paper differ slightly from the first version, because EC-Earth was not yet included in the first version.

Why do the efficacies increase in the less polluted regions? I would assume this is because the ACI sensitivity saturates. If so, what does that imply for the reliability of the SP method, where the model sees essentially the same average concentration of anthropogenic pollution, while in model configuration where the model is allowed to do its own transport, anthropogenic aerosol can sporadically intrude into clean, and therefore highly sensitive, regions? (I would say it indicates that the SP method will lead to a significant underestimate of the RFaci, but my point here is that the authors need to discuss their findings.)

We include a new assessment of the clear, cloud and all-sky efficiency of the radiative effects of anthropogenic aerosol from our model ensemble. It shows that the efficiency often increases in less polluted regions because the aerosol optical depth in the denominator of η_N is smaller than closer to pollution sources. However, the new spatial assessment of the cloudy-sky efficiency of the radiative effect also illustrates that this is not as spatially homogenous like the aerosol plumes would suggest. Away from the centre of the plumes, the relatively smaller anthropogenic AOD has a relatively larger radiative effect that one would also expect with sub-monthly variability in aerosol transport. We include the new Figure 9 and have changed the text in the section, e.g.: „These all-sky efficiencies are primarily explained by the cloudy-sky contributions. A clear saturation of aerosol-cloud interactions towards the edges of the τ_a plumes is not evident and the spatial distribution of the all- and cloudy-sky efficiency is rather inhomogeneous. The inhomogeneity contrasts with the clear-sky efficiency, which has much smaller spatial variability and changes only

weakly with the τ_a patterns of the mid-2000s and the mid-1970s.“, and we also state explicitly that MACv2-SP does not simulate sub-monthly aerosol variability in Section 2.1.

Continuing on the previous point, in the introduction, the authors say that one of their research questions is how differences in surface albedo, insolation, and cloud regimes affect ERF over time. However, they do not return to this question in the manuscript. If they follow my suggestion in my previous point, it will have the cobenefit of making their introduction more reflective of the paper. We think this comment is based on the discussion article that we already have revised. Maybe the outdated version of the manuscript has also unintentionally been used for other parts of the present review which could explain the perception that we have not done enough in response to the first reviews. The phrasing of the research questions in the already revised introduction is: (...) „Does the substantial spatial change of the anthropogenic aerosol between the mid-1970s and mid-2000s affect the global magnitude of ERF?“ using ensembles of simulations from five global aerosol-climate models with reduced aerosol complexity. In this context, we additionally ask: "What is the relative contribution of variability amongst and within models to the spread in ERF?“

With the additional work on the text, the part with the research questions now is: „(...) „Does the substantial spatial change of the anthropogenic aerosol between the mid-1970s and mid-2000s affect the global magnitude of ERF?“, based on ensembles of simulations from five global aerosol-climate models, all using identical anthropogenic aerosol perturbations of reduced complexity. In this context, we additionally ask: "What is the relative contribution of internal model variability to the ERF spread?", and document the model diversity for the pre-industrial aerosol as well as cloud characteristics and the surface albedo that are relevant for the ERF of anthropogenic aerosol. “

We therefore list potentially different quantities that affect radiative effects for the two patterns and revisit them in Section 3.5. Please refer to the text changes in the manuscript or aloft. Additionally, the revised manuscript has now a new section on the surface albedo (Appendix B3). Please also refer to our replies above.

2 Clarity of writing

Regarding clarity of the writing, one of the imprecisions that was a constant irritant was the definition of F_{aci} : I think it might mean ERF_{aci} for EC-Earth and RF_{aci} for the other models, but I still haven't been able to figure it out for sure. As for F_{ari} , I'm pretty sure that is the ERF_{ari} , from the description on page 4. But if F_x refers to ERF for $x = ari$ and RF for $x = aci$, that is extremely confusing.

We introduce the abbreviations in the text where they are used for the first time, but we largely remove short forms in the abstract and conclusions for making them clear without the need to read the entire manuscript.

F_{aci} stands for aerosol-cloud interaction (defined in Section 2.1), RF for instantaneous radiative forcing and ERF for effective radiative forcing (defined at the beginning).

We explain the implementation of F_{aci} in our models in Section 2.1: "MACv2-SP mimics the spatio-temporal distribution and wavelength dependence of the optical properties of anthropogenic aerosols as well as a change in the cloud droplet number concentration (N) to represent aerosol-radiation interactions (F_{ari}) and aerosol-cloud interactions (F_{aci}) in a consistent manner. (...) All

models account for the first indirect or Twomey effect by multiplying their cloud droplet number concentrations, calculated for pre-industrial aerosol conditions, by η_N prior to the radiative transfer calculation. Since η_N is larger than one in the presence of anthropogenic aerosols, the effective radius of cloud droplets is reduced, which enhances the cloud reflectivity of shortwave radiation. In addition, the EC-Earth model also includes a second indirect or cloud lifetime effect by using the modified cloud droplet number concentrations in the cloud microphysics scheme (Döscher et al., 2018).“

The original reviewers went to some trouble to identify other particularly unclear passages. I am somewhat deterred by the lack of response by the authors, so I will not expend effort on listing further instances. Just by way of example, in the first paragraph of the conclusions: What does “the” in “the five state of the art models” mean? It makes it sound like this is an exhaustive list, so no other models are state of the art. I know that this is not what the authors mean, but the sloppy writing is doing them a disservice.

We have changed the first sentences of the conclusion to: „We assess the radiative effects of anthropogenic aerosol in ensembles of simulations from five state-of-the-art aerosol climate models, prescribing identical anthropogenic aerosol properties of reduced complexity. Each of the participating models uses annually repeating patterns of anthropogenic aerosol for obtaining 180 years of radiative forcing estimates. The multi-model multi-ensemble present-day all-sky short-wave effective radiative forcing (...)“. Additionally to the already changed language after the first revision, we also went through the manuscript line-by-line again and hope that the other editorial changes make the language accessible for a larger audience.

“reflecting both natural variability and model differences affecting ERF” is such an unclear way of restating the previous clause in that sentence that it took me forever to figure out that it was meant as a restatement. Writing something like “reflecting that natural variability and model differences both contribute to the model diversity in ERF” would have made it clear immediately. I know that it is hard to identify unclear passages in something that one has written oneself, but this paper has 12 authors, so there was no shortage of opportunity for someone to approach the text in the role of an uninitiated reader.

We have changed this section. Please let us add that we have collaborated on the writing of the manuscript and regret that the resulting writing style is not as easy to understand as we had hoped for. We are grateful for your open words and gladly follow your suggestion of using the manpower of this article to further improve the comprehension of our text.

What are the “best” model-mean estimates? (For that matter, what does “model-mean” mean in that sentence?)

Changed to: „(...) we obtain an ERF spread of -0.9 to -0.4 Wm^{-2} associated with systematic model differences“ in the conclusions“.

I do implore the authors to follow the suggestion of having someone (who will receive better compensation than a reviewer) go through the manuscript line by line to improve the writing. We edited the text line by line with a focus on making the content easier to understand for a diverse readership and hope the revised language prevents confusion in the future. The way we approached the language changes this time was as follows. Firstly, three different co-authors revised the entire manuscript line by line. Secondly, all co-authors had the opportunity to review

and comment on the revised manuscript. Finally, two authors did quick reading of the manuscript for determining whether the text clearly conveys the message. We hope this process helped making the content of the article easier accessible for a large readership with a diverse background.

3 Minor comments

p. 5, l. 32 onward: how can you tell this is not just coincidence?

We perform experiments for obtaining 180 estimates of ERF for each model. Using the long-term averaged ERFs of the models gives us confidence that the results are not just obtained by coincidence. We change: „The long-term averaged ERFs of ECHAM and ECHAM-HAM are similar, despite ECHAM using a prescribed climatology of τ_p and ECHAM-HAM simulating τ_p interactively (Section 2.1). This similarity suggests that the sub-monthly variability of natural aerosol does not substantially affect the mean ERF of anthropogenic aerosol, as long as F_{aci} is treated consistently in the two models.“

p. 6, l. 28: I do not understand this sentence; what does “more than one model ensemble” refer to? More than an ensemble of runs from one model? More than the multi-model ensemble in this study?

Changed to: „Taken together, the size of year-to-year variability and regional model differences in contributions to the global ERF imply that an ensemble of simulations with more than one model, as done here, is needed for constraining the radiative effect of anthropogenic aerosol regionally.“

p. 7, l. 1: “are” ! “is”

Replaced.

The word “herein” appears frequently, and I don’t think I understood what it was supposed to mean once

Removed throughout.

I like the appendices, and I do not understand why the original reviewer complained about “too much detail” in the model description (the “too many notes, Mr. Mozart” line from Amadeus comes to mind). I believe the long form of “DMS” is two words (p. 10, l. 29).

While “vertically integrated liquid water content” (p. 12, l. 25) is correct, why not call it by its better known name, liquid water path?

Changed to: „dimethyl sulphide“ and „liquid water path“.

In the author contributions, “lead” ! “led”

Replaced.

In Tab. 3, what does it mean when a clear-sky ERF is more negative than a cloudy-sky ERF? Positive forcing by ACI?

The all-sky ERF is smaller than the clear-sky value because of the masking by clouds. We list these values in Table 2 and discuss it in the second paragraph of Section 3.1 where we have worked on the language as in the rest of the manuscript: „The all-sky ERFs from the models are 10-50% smaller than the clear-sky ERF in all models, except in EC-Earth, because clouds mask

the ERF of low-level anthropogenic aerosol (Table 2). That masking by clouds is most pronounced in HadGEM3. In EC-Earth, the all-sky ERF is more negative than in clear-sky because EC-Earth includes cloud lifetime effects of anthropogenic aerosols, thus simulating a stronger F_{aci} .“

Please note an additional change during this revision. We decided to not show the instantaneous radiative forcing (RF) from the double radiation calls in EC-Earth to avoid confusion, because the double radiation calls in EC-Earth do not account for the Twomey effect like it was done for the RF estimates in the other models.

Anthropogenic aerosol forcing - insights from multiple estimates from aerosol-climate models with reduced complexity

Stephanie Fiedler¹, Stefan Kinne¹, Wan Ting Katty Huang², Petri Räisänen³, Declan O'Donnell³, Nicolas Bellouin⁴, Philip Stier⁵, Joonas Merikanto³, Twan van Noije⁶, Risto Makkonen^{3,7}, and Ulrike Lohmann²

¹Max Planck Institute for Meteorology, Hamburg, Germany

²Institute for Atmospheric and Climate Science, ETH Zürich, Zürich, Switzerland

³Finnish Meteorological Institute, Helsinki, Finland

⁴Department of Meteorology, University of Reading, Reading, UK

⁵Department of Physics, University of Oxford, Oxford, UK

⁶Royal Netherlands Meteorological Institute, De Bilt, Netherlands

⁷Institute for Atmospheric and Earth System Research / Physics, Faculty of Science, University of Helsinki, Finland

Correspondence to: Stephanie Fiedler (stephanie.fiedler@mpimet.mpg.de)

Abstract. ~~The radiative forcing of anthropogenic aerosol remains a key uncertainty in the understanding of climate change. This study quantifies the model spread in aerosol forcing associated with~~ This study assesses the change in anthropogenic aerosol forcing from the mid-1970s to the mid-2000s. Both decades had similar global mean anthropogenic aerosol optical depths, but substantially different global distributions. For both years, we quantify (i) ~~variability internal to the atmosphere~~ the forcing spread due to model internal variability and (ii) ~~differences in the model representation of weather. We do so by performing the forcing spread among models. Our assessment is based on new~~ ensembles of atmosphere-only simulations with five state-of-the-art Earth system models, four of which. Four of these models will be used in the sixth coupled model inter-comparison project (CMIP6, Eyring et al., 2016). ~~We calculate the instantaneous radiative forcing (RF), effective radiative forcing (ERF), and rapid adjustments by comparing 10-year long ensemble simulations with aerosol distributions for 1850, the mid-1970s and the mid-2000s. The~~ Here, the complexity of the anthropogenic aerosol ~~is herein reduced by prescribing the same annually-repeating monthly~~ has been reduced in the participating models. In all our simulations, we prescribe the same patterns of the anthropogenic aerosol optical properties and associated effects on the cloud droplet number concentration. We ~~quantify a relatively small~~ calculate the instantaneous radiative forcing (RF) and the effective radiative forcing (ERF). Their difference defines the net contribution from rapid adjustments. Our simulations show a model spread in ~~the long-term averaged ERF compared to the overall possible range~~ ERF from -0.4 to -0.9 Wm⁻². The standard deviation in annual ERF estimates associated with variability internal to the model ensemble. This is 0.3 Wm⁻², based on 180 individual estimates from each participating model. This result implies that identifying the ~~true~~ model spread in ERF associated with differences in the representation of meteorological processes and natural aerosol due to systematic differences requires averaging over a sufficiently large number of ~~annual estimates. Despite major inter-model differences in natural aerosol and clouds, all models~~ show only a small change in the global-mean ERF due to the substantial change in the global anthropogenic aerosol distribution between the years. Moreover, we find almost identical ERFs for the mid-1970s and mid-2000s ~~, the ensemble-mean ERF being~~

for individual models, although there are major model differences in natural aerosols and clouds. The model-ensemble mean ERF is -0.54 Wm^{-2} for the pre-industrial era to mid-1970s and -0.59 Wm^{-2} for the pre-industrial era to mid-2000s. This Our result suggests that inter-comparing ERF changes between two observable periods rather than absolute magnitudes relative to a poorly constrained pre-industrial state might provide a better test for a model's ability for representing climate evolutions to represent transient climate changes.

1 Introduction

Despite decades of research on the radiative forcing of anthropogenic aerosol, quantifying the present-day magnitude and reconstructing the historical evolution change of the forcing remains challenging. Recent work has indicated that natural variability affects estimates of the effective radiative forcing (ERF) of anthropogenic aerosol (Fiedler et al., 2017). More specifically, natural variability was identified as a cause for increases and decreases in the global mean ERF associated with the spatial change in anthropogenic AOD (τ_a) between the mid-1970s and mid-2000s that we use in this study (Fiedler et al., 2017; Stevens et al., 2017). The anthropogenic aerosol pollution in the mid-1970s was herein larger in Europe and North America than in East Asia, whereas the opposite is the case in the mid-2000s. In addition to these regional changes in aerosol pollution, differences in the surface albedo, insolation, and cloud regimes between the aerosol transport regions of the Pacific and continental Europe may result in temporal changes in the global ERF over time-effective radiative forcing (ERF). Based on a single state-of-the-art climate model, the long-term and global ERF does not change despite the substantial spatial changes in anthropogenic aerosol optical depth (τ_a) between the mid-1970s and mid-2000s (Fiedler et al., 2017). Internal model variability, however, strongly affects annual estimates of the global mean effective radiative forcing.

In light of model uncertainties (e.g., Kinne et al., 2006; Quaas et al., 2009; Lohmann and Ferrachat, 2010; Lacagnina et al., 2015; Koffi et al., 2016), the use of a single model as used in Fiedler et al. (2017) does not necessarily represent the full spectrum of possible anthropogenic aerosol forcings. In the present study, we therefore revisit the question of Fiedler et al. (2017): "Does the substantial spatial change of the anthropogenic aerosol between the mid-1970s and mid-2000s, reflected by the different spatial pattern of τ_a shown in Fig. 1, affect the global magnitude of ERF?" using, based on ensembles of simulations from five global aerosol-climate models with reduced aerosol, all using identical anthropogenic aerosol perturbations of reduced complexity. In this context, we additionally ask: "What is the relative contribution of variability amongst and within models to the spread in ERF internal model variability to the ERF spread?", and document the model diversity for the pre-industrial aerosol and cloud characteristics as well as cloud characteristics and the surface albedo that are relevant for the ERF of anthropogenic aerosol. Such model differences have previously been identified for other climate models (e.g., Nam et al., 2012; Fiedler et al., 2016; Crueger et al., 2018) (e.g., Stier et al., 2007; Nam et al., 2012; Fiedler et al., 2016; Crueger et al.

We address our research questions by reducing the complexity of the anthropogenic aerosol representation in an ensemble of modern aerosol-climate models. Previously a reduction of the model complexity has been accomplished by prescribing ideal-

ized aerosol radiative properties, e.g., within the framework of Aerosol Comparisons between Observations and Models (Aero-Com, e.g., Randles et al., 2013; Stier et al., 2013). Here, we prescribe observationally constrained optical properties of anthropogenic aerosol and an associated effect on the cloud droplet number concentration (~~Fiedler et al., 2017; Stevens et al., 2017~~ with the simple plumes parameterization (MACv2-SP, Fiedler et al., 2017; Stevens et al., 2017)), but keep the full model diversity in all other aspects. ~~It allows us to eliminate the~~ The approach eliminates uncertainties in process modelling of anthropogenic aerosol ~~and focus on the uncertainties in~~ such that our study represents uncertainties associated with other processes influencing the radiative forcing. In other words, ~~prescribing identical anthropogenic aerosol optical properties and an associated effect on the cloud droplet number concentration across models~~ by using MACv2-SP in the participating models, the model inter-comparison allows us to study investigate those sources of uncertainty that remain if we pretend to know the spatial distribution of anthropogenic aerosol. ~~We can thereby quantify the sole impact of other model differences, such as the natural aerosol, meteorology, radiative transfer, and surface albedo, on the radiative forcing of observationally constrained anthropogenic aerosol in a state-of-the-art multi-model context. As such our model inter-comparison with MACv2-SP can~~ This work can be seen as a pilot study for the "Radiative Forcing Model Inter-comparison Project" (RFMIP, Pincus et al., 2016), endorsed by CMIP6 (Eyring et al., 2016), using the same experiment setup with MACv2-SP.

Throughout our model inter-comparison, we consider the effect of model-internal variability on ~~the magnitude of ERF~~ Estimates of ERF. We do so by producing equally-sized ensembles of simulations for all participating models. Model-internal variability ~~is herein measured in this context is defined~~ as the year-to-year variations internal to the model that are associated with the changing weather. We provide observational benchmarks for the inter-comparison of the complex models changes in model parameters associated with inter-annual variations of the meteorological state. The results of the climate models are compared with satellite data and ~~results from~~ a stand-alone atmospheric radiation transfer model for quantifying differences in the instantaneous radiative forcing (RF) radiative transfer model. The following ~~Section~~ section introduces the models and the experiment strategy in more detail, followed by our discussion of the results in Section 3 and conclusions ~~at the end of the article~~ in Section 4.

2 Method

2.1 Participating Models

This work uses five ~~Earth-system~~ Earth system models and one stand-alone ~~radiation~~ radiative transfer code. The participating ~~models are climate models, which are run here in an atmosphere-only mode, are~~ the atmosphere component ECHAM6.3 of the Earth system model MPI-ESM1.2 (Mauritsen et al., 2019) of the Max-Planck Institute for Meteorology (~~MPI-M, Mauritsen et al., 2019~~) (MPI-M), ECHAM6.3-HAM2.3 from the ETH Zürich (Tegen et al., 2018; Neubauer et al., submitted to ACPD), EC-Earth (e.g., Hazeleger et al., 2010; Döscher et al., in prep.) run ~~here~~ at the Royal Netherlands Meteorological Institute, NorESM (Bentsen et al., 2013; Iversen et al., 2013; Kirkevåg et al., 2013) run ~~for the present study~~ at the Finnish Meteorological Institute, and HadGEM3 (Walters et al., 2017) developed at the UK Met Office. All models except ~~MPI-ESM1.2 can treat aerosol~~ ECHAM6.3 can treat aerosols and their interaction with meteorological processes with complex ~~bottom-up process-based~~ pa-

parameterisation schemes linking aerosols to radiation and clouds. ~~The model~~ All physics packages except the parameterization of anthropogenic aerosols are model-dependent, e.g., the treatment of the pre-industrial aerosols and clouds. Appendix A summarizes differences in radiation, cloud, and aerosol physics packages that are relevant to anthropogenic aerosol forcing are summarised in Appendix A of the participating models.

5 In the present study, we prescribe ~~identical optical properties~~ the distributions of anthropogenic aerosols ~~for representing aerosol-radiation interactions (F_{ari}) and an associated change in the cloud droplet number concentration (N) for representing aerosol-cloud interactions (F_{aci})~~ by implementing in all models following the MACv2-SP (Fiedler et al., 2017; Stevens et al., 2017) in all models. All other aspects remain model-dependent, e.g., the treatment of the pre-industrial aerosol and clouds (Appendix A) approach (Fiedler et al., 2017; Stevens et al., 2017). MACv2-SP mimics the spatio-temporal distribution and wavelength
10 dependence of the optical properties of anthropogenic aerosols as well as a change in the cloud droplet number concentration ~~to induce aerosol radiative effects (N) to represent aerosol-radiation interactions (F_{ari}) and aerosol-cloud interactions (F_{aci})~~ in a consistent manner. To do so, MACv2-SP uses analytical functions for approximating the monthly distribution of the present-day anthropogenic aerosol optical depth and the vertical profile of the aerosol extinction from the updated MPI-M aerosol climatology (MACv2, Kinne et al., 2013, Kinne et al, in prep.). ~~The~~ Figure 1 shows the annual mean patterns
15 of the anthropogenic aerosol optical depth (τ_a), and the fractional increase in the cloud droplet number concentration (η_N) relative to the pre-industrial level of 1850 from MACv2-SP. By design, MACv2-SP does not simulate sub-monthly variability in anthropogenic aerosol. Absorption of anthropogenic aerosol is prescribed with a mid-visible single scattering albedo ~~is of~~ 0.93 for industrial plumes and 0.87 for plumes with seasonally active biomass burning. The ~~asymmetry parameter is set to~~ anthropogenic aerosols are assumed to be small in size with an Angstrom parameter of 2 and an asymmetry parameter of
20 0.63. Here, we use MACv2-SP with the CMIP6 reconstructed evolution changes of anthropogenic aerosol emission emissions, identical to the one used by Fiedler et al. (2017). Stevens et al. (2017) describe the technical details of MACv2-SP.

Figure 1 shows the annual mean patterns of the prescribed anthropogenic aerosol optical depth (τ_a), and the percentage increase in the cloud droplet number concentration (η_N) relative to the pre-industrial level for the mid-1970s and mid-2000s. ~~EC-Earth accounts for F_{aci} by multiplying the pre-industrial N in the cloud microphysics with η_N from~~ The use of the optical
25 properties from MACv2-SP ~~. All other models represent F_{aci} in the form of a~~ yields a consistent description of F_{ari} , including both direct radiative and semi-direct effects, across the models. All models account for the first indirect or Twomey effect by multiplying their cloud droplet number concentrations, calculated for pre-industrial aerosol conditions, by η_N with N prior to the radiation radiative transfer calculation. ~~The effective parameter~~ Since η_N herein increases the cloud reflectivity of the ~~is~~ larger than one in the presence of anthropogenic aerosols, the effective radius of cloud droplets is reduced, which enhances
30 the cloud reflectivity of shortwave radiation. Note that η_N is only available for regions with $\tau_a > 0$ (see Fig. 1). In addition, the EC-Earth model also includes a second indirect or cloud lifetime effect by using the modified cloud droplet number concentrations in the cloud microphysics scheme (Döscher et al., in prep.).

We do not prescribe the same natural aerosol nor interfere with any other model components than prescribing the optical properties of anthropogenic aerosols and η_N . For instance, the pre-industrial aerosol optical depth (τ_p) depends on the model
35 (Figures 2 and 3), which only affects F_{ari} and not F_{aci} as the prescription of η_N is identical in the participating models.

Regional differences occur primarily over oceans and deserts, where observations are typically sparse. It is ~~herein~~ noteworthy that ECHAM-HAM runs with interactive parameterisations for dust and sea-salt aerosol resulting in different spatio-temporal variability in τ_p (Figure 3) ~~compared to the monthly mean climatology~~, while in ECHAM the monthly climatology from MACv1 ~~in ECHAM~~ is prescribed. In the interactive parameterisations, the natural aerosol emissions, transport and deposition

5 rely on meteorological processes that are difficult to represent in coarse-resolution climate models, e.g., desert-dust emissions strongly depend on the model representation of near-surface winds (e.g., Fiedler et al., 2016) such that constraining the desert-dust burden remains challenging in ~~bottom-up~~ aerosol modelling (e.g., Räisänen et al., 2013; Evan et al., 2014; Huneus et al., 2016). ~~The aerosol-climate models also contain some anthropogenic aerosol in τ_p , but the majority of the pre-industrial aerosol optical depth is of natural origin. For instance, the 1850's global-mean τ_p in NorESM is 0.096, to which anthropogenic fossil-fuel aerosols contribute 0.002. For comparison, the here prescribed global mean τ_a is 0.029 for 2005.~~

10 In addition to the complex ~~Earth system models climate models listed above~~, we use the offline ~~radiation code of Kinne et al. (2013) with eight solar and twelve infrared bands for an observational benchmark~~ radiative-transfer model of Kinne et al. (2013) for ~~an assessment~~ of the instantaneous radiative forcing. ~~The code~~ This model has eight solar and twelve infrared bands, and reads monthly maps of the atmospheric and surface properties. These are ~~for instance~~ monthly means for the cloud properties from IS-

15 ~~CCP~~, and the surface albedo from ~~MODIS~~, and surface temperature from ~~AeroCom~~, described in detail by ~~Kinne et al. (2013)~~ the satellite product MODIS-SSM/I (Kinne et al., 2013). The radiative transfer calculation considers nine different sun elevations and eight ~~random permutations~~ randomly chosen combinations of cloud heights and overlap. ~~Aerosol~~ The aerosol column properties at 550 nm are defined by the MPI-M ~~'s~~ Aerosol Climatology (MAC). ~~The aerosol vertical distribution and the fine-mode anthropogenic fraction of aerosol optical depth for the mid-2000s are derived from global models participating in AeroCom (e.g., Myhre et al., 2013).~~ We calculate the radiation transfer with both MAC version one (MACv1, Kinne et al., 2013) and two (MACv2, Kinne, in review), ~~the latter of which~~. The latter considers more recent observational data, e.g., from the Maritime Aerosol Network (MAN, Smirnov et al., 2009), and a ~~different temporal evolution of the~~ smaller anthropogenic aerosol fraction. ~~MACv1 produces a temporal scaling of the anthropogenic aerosol fraction based on the emission inventory by Dentener et al. (2006), while MACv2 uses the one by Lamarque et al. (2010) is also based on a more recent AeroCom interpretation of the present-day emission data relative to 1850 (Lamarque et al., 2010), while MACv1 used emission data relative to 1750 (Dentener et al., 2006).~~ The two climatologies ~~differ in their~~ therefore make different assumptions on the pre-industrial ~~aerosol burden, namely a lower backgroundburden representative for 1750 is used in background, shown in Figure 3.~~ The temporal scaling of anthropogenic aerosol optical depth in MACv1 ~~in contrast to the background for 1850 in MACv2.~~ The mean annual cycle of the pre-industrial aerosol optical depths of MACv1 and MACv2 is shown in Figure 3, along with the pre-industrial aerosol optical depths from the other participating models. The aerosol vertical distribution and fine-mode anthropogenic fraction of AOD are derived from global models participating in AeroCom (e.g., Myhre et al., 2013) from the same transient ECHAM simulation (Stier et al., 2006). The parameterization form of the Twomey effect for MACv1 and MACv2 are here identical to MACv2-SP, but the assumptions for τ_p and τ_a differ.

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2.2 Experiment strategy

All ~~experiments~~ climate model simulations are carried out with the atmosphere-only ~~model configurations with~~ configurations using prescribed monthly mean sea-surface temperatures and sea ice. Table 1 summarises the major characteristics of the model simulations. The modelling groups were free to set up all ~~other model components~~ model components other than MACv2-SP, and ~~choosing both the~~ choose their own boundary and initialization data ~~like they usually do~~. Specifically, the modelling groups use their own representation of pre-industrial aerosol for 1850 such that the present work includes both models with prescribed monthly climatologies and interactive parameterisation schemes for natural aerosol species (Appendix A). Moreover, ~~each participating model was free to individually set up all other aspects than the anthropogenic aerosol treatment. We therefore keep for instance the model diversity for the~~ the physical parameterisations of radiation and clouds are different across the models (Appendix A).

~~We produce ensembles of simulations from each model motivated~~ Motivated by the effect of natural variability on ERF estimates in ECHAM (Fiedler et al., 2017), ~~each model was run to produce a number of simulation ensembles: a reference ensemble consisting of six simulations with only pre-industrial aerosols representative for 1850, and two additional ensembles consisting of three simulations each with aerosols representative for 1975 and 2005, respectively.~~ For each model, we perform a total of ~~12 experiments with prescribed sea ice and sea-surface temperature~~ twelve experiments for the years 2000–2010 inclusive. These are six experiments with ~~pre-industrial aerosol optical depth (τ_p) as of for~~ the year 1850, three experiments with τ_p and anthropogenic aerosol from MACv2-SP for the year 1975, and three experiment with τ_p and anthropogenic aerosol from MACv2-SP for the year 2005. Six pre-industrial simulations are chosen for efficiently increasing the number of forcing estimates for anthropogenic aerosol. The first year of each ~~11-year~~ run is considered as a spin-up period and is excluded from the analysis, ~~thus all analyses are for the period 2001–2010. We have chosen the~~. A ten-year period ~~for including was chosen to account for~~ variability in the boundary conditions.

The instantaneous radiative forcing (RF) of anthropogenic ~~aerosol~~ aerosols in clear and all sky is estimated from double radiation calls in the models having this functionality, ~~i. e., by calculating namely ECHAM, ECHAM-HAM and NorESM.~~ Aerosol radiative effects predominantly occur for shortwave radiation. We therefore calculate the atmospheric transfer of shortwave radiation once with and once without the ~~anthropogenic contribution from anthropogenic aerosols to the~~ aerosol optical properties and ~~an associated their~~ effect on the cloud droplet number concentration ~~from MACv2-SP. The reference aerosol was herein for the year 1850. This.~~ For each model, this gives us in total 30 annual estimates of RF ~~per model~~ for each of the two ~~pollution patterns (Figure 1)~~ τ_a patterns shown in Figure 1, which is sufficient ~~for a precise estimate of to estimate the mean~~ RF and can be directly compared to the offline ~~radiative transfer calculations.~~ radiation-transfer calculations. We calculate RF at the top of the atmosphere (TOA) and at the surface (SFC) and list the global means in Table 2.

The ~~effective radiative forcing (ERF)~~ ERF is calculated relative to pre-industrial simulations for each model by subtracting the ~~monthly mean shortwave radiation budgets and producing annual averages. This approach is chosen for~~ ERF is calculated as the difference in the shortwave radiative flux at the top of the atmosphere between the simulations with and without anthropogenic aerosols. For illustrating the effect of year-to-year variability ~~on ERF estimates. Since we are using MACv2-SP, the ERF~~

estimates account for F_{ari} and F_{aci} . The latter includes the Twomey effect and the radiative effect of rapid adjustments in clouds, atmosphere and surface properties. Subtracting the time series of the τ_a , we calculate annual ERF estimates for each of the ten simulation years. Combining the six pre-industrial experiments from with each of the three experiments with additional anthropogenic aerosol adds up to thus yields 6x3 time series of monthly ERF estimates over ten years per model annual ERF estimates for each year of the simulation, i.e., 180 annual estimates per model and τ_a pattern in total. We choose annually averaged ERF for estimating the impact of natural variability calculate the standard deviation from these 180 annual ERF values and use it as a measure of the natural variability in ERF internal to the atmosphere for each model. The long-term averaged ERFs over models. The means of these 180 years values are used for identifying systematic model differences in ERF. Such long time periods are sufficient for diagnosing ERF, e. g., in the here participating model ECHAM (Fiedler et al., 2017), and the It was shown in an earlier study using ECHAM (Fiedler et al., 2017) that the combination of ensemble size and simulation length adopted here is sufficient for precisely estimating the ERF of a model. For comparison, the RFMIP protocol recommends a thirty-year average for diagnosing the ERF of a model (Pincus et al., 2016). Additionally Finally, we calculate the net contribution of rapid adjustments (ADJ) to ERF by subtracting RF from ERF for each model.

3 Results

3.1 Spread in present-day ERF

We characterise the spread in the shortwave effective radiative forcing (ERF) from the model ensemble, summarised in Table 2 at the top of the atmosphere in our model ensemble. For doing so, we first calculate the multi-model mean as a reference value. The all-sky ERF at the TOA top-of-atmosphere ERF for the entire multi-model, multi-member ensemble is -0.59 -0.59 Wm^{-2} with a year-to-year an interannual standard deviation of 0.3 Wm^{-2} translating to a typical percentage, corresponding to a relative variability of roughly 50%. The entire interannual variability in ERF is illustrated by Gaussian distributions fitted to the frequency histogram in Figure 4a. The entire possible range in annual ERFs including model internal variability is -1.5 from the models including interannual variability is -1.5 Wm^{-2} to $+0.5$ Wm^{-2} . The cloud masking effect, i.e., here going from clear to

The all-sky conditions (Table 2), reduces ERF at TOA by ERFs from the models are 10–50% less negative than the clear-sky ERF in all models except, except in EC-Earth and, because clouds mask the ERF of low-level anthropogenic aerosol (Table 2). That masking by clouds is most pronounced in HadGEM3. In EC-Earth, the stronger F_{aci} , due to both the Twomey and cloud lifetime effects, overcompensates the cloud masking effect, i.e., the all-sky ERF is more negative than the value for in clear-sky conditions.

because EC-Earth includes cloud lifetime effects of anthropogenic aerosols, thus simulating a stronger F_{aci} than all other participating models. The long-term mean estimates of ERF are similar in averaged ERFs of ECHAM and ECHAM-HAM, despite the model differences in representing τ_p (Section 2.1). This suggests that the use of are similar, despite ECHAM using a prescribed climatology of τ_p (ECHAM) and an interactive simulation of and ECHAM-HAM simulating τ_p (ECHAM-HAM) are similarly useful for determining the model-mean ERF of anthropogenic aerosol. More generally, this hints that the ERF of

anthropogenic aerosol is not strongly sensitive to the interactively (Section 2.1). This similarity suggests that the sub-monthly variability of natural aerosol not prescribed by the monthly climatology, when the aerosol-cloud interaction does not substantially affect the mean ERF of anthropogenic aerosol, as long as F_{aci} is treated consistently in the two models.

The year-to-year variability in ERF is illustrated by the Gaussian distribution fitted to the frequency histogram in Fig. 4a. Compared to the internal variability of the entire multi-model ensemble, the multi-model spread in the ensemble mean ERF of individual models is smaller, with a range of -0.40 – -0.40 Wm^{-2} to -0.9 – -0.9 Wm^{-2} , compared to the internal variability of the entire multi-model ensemble (Fig. 4a). This multi-model spread corresponds to a range in differences of deviations to the multi-model mean of just -0.31 – -0.31 Wm^{-2} to $+0.19$ Wm^{-2} . This spread and is even smaller when we exclude the ERF of EC-Earth that also represents, which includes cloud-lifetime effects, additional to the Twomey effect simulated in all models is excluded. The rather small multi-model spread is astonishing since although the models treat the anthropogenic aerosol and Twomey effect consistently, they noteworthy because the models differ in all other aspects, including than the treatment of the anthropogenic aerosol, especially in the representation of clouds that is documented in the Appendix B (see Appendix B).

What does the large variability imply for model-based estimates of ERF? If one wants to quantify model differences in ERF, The large interannual variability implies that it is essential to base the estimate for each model on estimate ERF of individual models from a sufficiently large number of simulated years, i. e., either with sufficiently (i) to quantify model differences in ERF. Otherwise the modelled ERF estimates may not be representative of the long-term average. This could be done either from sufficiently long simulations with annually repeating aerosol or (ii) many a sufficiently large ensemble of simulations with transient changes. Otherwise one could not determine whether the ERF estimates are representative for the long-term averaged values. Given the similar year-to-year variability in ERF in the models, the precision of ERF confidence estimates from ECHAM (Fiedler et al., 2017) is are a reasonable approximation for the whole ensemble of models in this the present study.

3.2 Regional contributions to ERF

Regional contributions to ERF for the mid-2000s The distributions of ERF for 2005 are shown as ensemble averages in Figure 5 and for each model in Figure 5 and 6, respectively. The largest contributions to ERF are found over East Asia, consistent with 6. East Asia is the largest contributor to globally-averaged ERF, as expected from the regional maximum in τ_a prescribed there (Figure 5b). The general time-mean pattern of radiative effects is mean pattern of regional contributions to ERF is in general similar in the models. Distinct regions, however, show differences in the but differences in its magnitude and detectability of appear in some regions. For example, the contributions to ERF, e.g., in central Africa where the radiative effects the global ERF modelled over central Africa range from positive to negative. Consequently, the ensemble-averaged contribution to ERF for that region is small, averaging to a small value in this region (Fig. 5).

Another interesting example for differences in where regional contributions to ERF globally-averaged ERF differ is the North Atlantic where efforts are made to use a volcanic eruption in Iceland to constrain radiative effects of anthropogenic aerosol (Malavelle et al., 2017). In this region, the variability of the multi-model ensemble is relatively large, 3–6 Wm^{-2} (Figure 4b), but the small multi-model mean radiative effects are nevertheless detectable away from Iceland (Figure 5). Close

to Iceland, the ERF is generally close to the limit of detectability, although ECHAM and HadGEM by themselves have regional signals over the North Atlantic that are not statistically significant.

5 These Taken together, the size of year-to-year variability and regional model differences in ERF paired with year-to-year variability (Figure 4) suggest that contributions to the global ERF imply that an ensemble of simulations with more than one model ensemble would be, as done here, is needed for constraining the radiative effect of anthropogenic aerosol regionally. The spread in modelled regional contributions to ERF is typically smaller than the differences associated with natural variability in the model ensemble (Figure 4b–c). Irrespectively whether we compute the standard deviation for the all-sky ERF for the regional standard deviations for the aerosol pattern of the mid-1970s or the mid-2000s, the pattern and strength of the regional natural variability in contributions to ERF is robust (not shown). This implies that even for a larger perturbation of the tropospheric aerosol burden like in the mid-1970s over the North Atlantic, the natural variability of the atmosphere is a hurdle in constraining the regional radiative effect in addition to model differences in radiative effects.

10 The regional model spread in In regions where the anthropogenic aerosol burden was relatively large in 2005, like East Asia, the models disagree on the magnitude of the regional contributions to ERF are typically smaller than the differences associated with natural variability in the model ensemble (Figure 4b–c). However, the models disagree on the exact magnitude of the forcing in some regions, e.g., in the c), which means that even for a relatively large anthropogenic perturbation in East Asia for the mid-2000s (Figure 4c). The natural variability paired with the systematic model differences in the radiative effects suggests that a multi-model and multi-simulation ensemble is necessary for determining a regional climatological mean radiative effect of anthropogenic aerosol aerosol optical depth, natural variability of the atmosphere remains a hurdle against constraining the regional radiative effect.

20 3.3 Benchmarking Contributions from RF and adjustments

We use offline radiation transfer calculations for providing benchmarks for the instantaneous radiative forcing (RF) of the complex models (Section 2.1). As a first step, we decompose ERF of the complex models into RF and the net contribution. The modelled ERF is decomposed into the contributions of rapid adjustments –RF is determined through and RF by diagnosing the latter from double calls to the radiation calculation in each model and is considerably less variable than ERF scheme in the models with this functionality (Figure 5). The net contribution of rapid adjustments to the global mean ERF ranges from -0.6 Wm^{-2} (EC-Earth) to 0.2 Wm^{-2} (ECHAM-HAM) at TOA, and acts to weaken the forcing magnitude in most models. RF is considerably less variable from year to year than ERF. Moreover, RF clearly dominates the ERF magnitude in all models that use η_N in the radiation transfer calculation (Table 2). It is worthwhile recalling Remember that these models consider F_{aci} in the form of a Twomey effect from the Twomey effect only. The net contribution of rapid adjustments to the global mean ERF ranges from 0.03 Wm^{-2} in NorESM to 0.2 Wm^{-2} in ECHAM-HAM at TOA, and acts to weaken the forcing magnitude. When additionally cloud-lifetime effects are represented with MACv2-SP (EC-Earth), the net contribution from rapid adjustments can become significantly larger.

We compare the climate-model climate model estimates of RF with offline radiation transfer calculations that use satellite observations of the atmosphere and surface following the method of Kinne et al. (2013), and the MACv2-SP aerosol (Section

2.1) the results of the offline radiation-transfer calculations described in Section 2.1. The offline ~~estimated~~ estimates of the all-sky RF with MACv2-SP (Offline-v1-SP and Offline-v2-SP) are in close agreement with the ~~complex~~ RF of the climate models that represent F_{aci} in form of ~~a~~ the Twomey effect. This agreement is remarkable since the aerosol-climate models and the offline model differ in many aspects, including ~~again~~ the representation of clouds ~~that is documented in the Appendix~~
5 ~~B. The more negative clear-sky RF at the TOA in all complex models compared to the offline estimates with MACv2-SP (Table 2) is consistent with a too transparent atmosphere for shortwave radiation in climate models. Such a behaviour is typical for state-of-the-art radiation parameterisation schemes (e.g., Halthore et al., 2005; Randles et al., 2013) and has also been identified for the PSrad scheme (not shown) implemented in ECHAM and ECHAM-HAM. These and other reasons for model biases in anthropogenic aerosol forcing will be addressed in more detail in RFMIP, where models use the same~~
10 ~~MACv2-SP parameterisation as in the present study and accurate line-by-line radiation-transfer calculations will evaluate the necessary approximations for physical parameterisations in CMIP6 models. (see Appendix B).~~

~~In the following we evaluate the impact of observational~~

3.4 Uncertainties in RF

The offline radiation-transfer model is used to assess the role of uncertainty in τ_p and τ_a . ~~For doing so, we assume the in total~~
15 ~~RF uncertainty. The~~ aerosol classification of MACv2 (~~Offline-v2~~) is used as an alternative representation (~~Offline-v2 to MACv1~~ (~~Offline-v1~~)). MACv2 classifies more ambiguous cases of fine-mode aerosol as anthropogenic than MACv2-SP. These cases primarily occur in remote uninhabited regions such as the Southern Ocean and the Saharan desert. These regions are poorly captured by the ground-based observation network ~~such that so there~~ the MACv2 product primarily ~~relies on~~ uses global model results for separating anthropogenic from natural aerosols. Classifying additional fine-mode aerosol as anthropogenic
20 ~~as assumed by MACv2~~ increases the all-sky RF at TOA to ~~-1.1 to~~ -1.1 Wm^{-2} , which primarily arises due to stronger F_{aci} in MACv2. Ambiguous aerosol classifications, which occur especially ~~for regions with~~ in regions with a generally low aerosol burden, and a poor observational coverage are therefore ~~reasons for~~ causes of uncertainty in present-day RF, ~~i.e., the RF would be more negative if with the RF getting more negative with increasing~~ τ_a is assumed larger.

~~Choosing the larger anthropogenic fraction and lower background burden of MACv1 (Kinne et al., 2013), i.e., the year~~
25 ~~1750 as a reference, when the background had less anthropogenic aerosol than 1850, yields a stronger RF in~~ ~~An even more negative RF is obtained from~~ the offline model, namely an all-sky RF of ~~-1.4–1.4~~ Wm^{-2} ~~in SW at TOA, when both a larger anthropogenic fraction and the lower background burden of 1750 from MACv1 (Offline-v1) is used.~~ Note that the clear-sky ~~RF of RFs from~~ the offline estimates and the ~~complex climate~~ models are in ~~close~~ good agreement, such that most of the uncertainty stems from the uncertain magnitude of F_{aci} . This underlines again the importance of the aerosol background for
30 quantifying the cloudy-sky contribution to all-sky RF in agreement with previous ~~findings studies~~ (Carslaw et al., 2013; Fiedler et al., 2017). Quantitative changes in natural aerosol burden between the pre-industrial and present-day remain ~~unconstrained, e.g., model estimates of the anthropogenic fraction of desert dust are 10–60% associated with changes in land use and climate (Mahowald and Luo, 2003; Tegen et al., 2004; Stanelle et al., 2014). Since we cannot measure poorly constrained. Since~~ the aerosol of 1750 ~~nor or~~ 1850, ~~we propose~~ ~~has not been observed,~~ using the present-day natural aerosol as ~~background~~

~~for a background could yield~~ a better comparability of observational and model estimates in future inter-comparison studies. ~~Prescribing both the~~ By prescribing both the same natural and anthropogenic aerosol across different models ~~in future inter-comparison studies would allow to attribute remaining~~, differences in the radiative effects of the aerosol can be attributed to model errors in representing meteorological processes and radiative transfer.

5 3.5 Impact of spatial change of pollution

~~We assess the effect of a substantial spatial change of the~~ Although the global mean τ_a maxima from ~~is similar for 1975 and 2005, the anthropogenic pollution covers very different regions, with the largest maxima in~~ Europe and the U.S. ~~to East Asia between during~~ the mid-1970s and ~~in East Asia during the~~ mid-2000s. ~~One can additionally argue that the spatial differences in cloud regimes~~ The regional differences in clouds, insolation and surface albedo ~~contribute to regionally different radiative effects resulting in a changing~~ can contribute to changes in radiative effects that can result in a different global ERF. For ~~investigating this aspect we contrast the radiative forcing derived from the spatial distribution of the anthropogenic aerosol for the mid-1970s and mid-2000s (Figure 4 instance, Figures A1-A3 show the spatial patterns of cloud properties and the surface albedo illustrating both the regional differences and the model diversity for their representation (see Appendix B). The different distribution of the anthropogenic aerosol clearly changes~~ spatial distributions of τ_a clearly change the pattern of ~~their radiative effects the radiative forcing~~ (Figure 7). ~~Namely As expected~~, the maxima in regional contributions to RF and ERF occur over Europe and the U.S. in the mid-1970s ~~in contrast to the maximum and~~ over East Asia for the mid-2000s. ~~The net contribution from adjustments is typically larger where the regional radiative forcings are largest in the mid-1970s.~~

Despite ~~the those~~ regional differences in radiative effects and the inter-model spread in ensemble-averaged global mean RF and ERF, the spatial ~~change of maximum aerosol pollution pattern of τ_a~~ has little impact on the global mean RF and ERF of ~~each model in each of the participating models~~. The model ensemble mean changes from ~~-0.59 -0.54~~ Wm^{-2} for the ~~mid-2000s to -0.54~~ mid-1970s to ~~-0.59~~ Wm^{-2} for the ~~mid-1970s~~. ~~Likewise multi-year monthly means per model yield similar RFs for the two τ_a patterns (not shown). This implies that the seasonal~~ mid-2000s. The mean monthly contributions to RF are also similar for both τ_a patterns, irrespectively which model we choose (not shown).

The ensemble-averaged change in ERF is small relative to ~~natural year-to-year~~ the natural interannual variability in modelled ERFs (Figure 8). Indeed, contrasting one-year estimates from the two ~~aerosol τ_a~~ patterns results in a large spread in ERF changes ranging from ~~a decrease to an increase of ERF with the different~~ decreases to increases in ERF with τ_a patterns (Figure 8c–d). This ~~is in line result is in agreement~~ with previous findings based on ECHAM only (Fiedler et al., 2017). The result underlines again the importance of using a large number of simulated years for determining changes in ERF from ~~any model free-running climate models~~. Moreover, it ~~gives more~~ provides evidence that the global mean ERF does not strongly depend on the ~~northern hemispheric regional~~ distribution of anthropogenic aerosol in the northern hemisphere.

~~We better characterise the model behaviour for arriving at similar ERFs for the~~ The cloudy- and clear-sky contributions to the all-sky efficiency of the ERF, in other words the ratio of ERF to τ_a , helps to better understand why the two τ_a patterns ~~For doing so, we calculate the regional forcing efficacies (E) for both RF and ERF in the shortwave at TOA, i. e., the ratio of the radiative effects and τ_a . We first average only over regions close to pollution sources (yield similar ERFs. All-sky efficiency is~~

the sum of contributions from cloudy and clear-sky conditions:

$$\frac{\text{ERF}_{\text{all}}}{\tau_a} = f \frac{\text{ERF}_{\text{cloudy}}}{\tau_a} + (1 - f) \frac{\text{ERF}_{\text{clear}}}{\tau_a}, \quad (1)$$

where f is the total cloud fraction, and $\text{ERF}_{\text{cloudy}}$ and $\text{ERF}_{\text{clear}}$ the ERF in cloudy and clear-sky conditions, respectively.

Figure 9 shows the regional distribution from the multi-model ensemble average of the terms of Equation 1. The all-sky efficiency often increases with increasing distance to major pollution sources because of the decreasing background aerosol, up to -100 Wm^{-2} per unit τ_a (>0.1) and find that both E_{RF} and E_{ERF} are here stronger in the-. These all-sky efficiencies are primarily explained by the cloudy-sky contributions. A clear saturation of F_{aci} towards the edges of the τ_a plumes is not evident and the spatial distribution of the all- and cloudy-sky efficiency is rather inhomogeneous. The inhomogeneity contrasts with the clear-sky efficiency, which has much smaller spatial variability.

Averaged globally, all-sky forcing efficiencies for the two aerosol patterns are similar at -26 Wm^{-2} per unit τ_a . The regional all-sky ERF efficiencies, however, change between the mid-1970s and mid-2000s than for the- (Fig. 9). This change is almost exclusively explained by the cloudy-sky contribution to the ERF efficiency, reflecting the regional change in η_N from the mid-1970s for all models (Tables ?? and ??) to mid-2000s. The strong change in the cloudy-sky contribution is in strong contrast to the relatively minor changes in the clear-sky contributions. Differences in regional efficiencies of anthropogenic aerosol effects on clouds thus balance in the global mean and result in similar global ERFs for the mid-1970s and mid-2000s.

The behaviour of the models for E is-, however, drastically different when we include areas further away from pollution sources. In this case, E_{RF} and E_{ERF} are typically stronger than close to pollution sources and have typically similar magnitudes for both aerosol patterns for each model, pointing to the importance of accurately knowing the spatial extent of aerosol pollution downwind. Of all models, NorESM and EC-Earth have the strongest E_{ERF} away from pollution sources indicating that the effective radiative forcing efficiencies around -30 and -40 Wm^{-2} per unit τ_a , respectively, i.e., the same aerosol perturbation in those these two models is much more efficient in inducing effective radiative effects than in the other models. These two models also show larger negative ERF than the other models-, consistent with the more negative ERFs (Fig. 8). In EC-Earth this arises from the strongly negative net contribution from rapid adjustments, in NorESM from-, the more negative ERF arises from also perturbing the cloud microphysics with η_N . In NorESM, the more negative ERF arises from a strong negative RF combined with a small and a small net contribution from adjustments.

4 Conclusions

In the present work, we inter-compare ERFs from 180 years with- We assess the radiative effects of anthropogenic aerosol in ensembles of simulations from five state-of-the-art aerosol climate models, prescribing identical anthropogenic aerosol properties of reduced complexity. Each of the participating models uses annually repeating patterns of anthropogenic aerosol for each of the five state-of-the-art aerosol-climate models. The obtaining 180 years of radiative forcing estimates. The multi-model multi-ensemble present-day all-sky ERF in the shortwave radiation short-wave effective radiative forcing (ERF) at the top of atmosphere is -0.59 Wm^{-2} using the multi-model, multi-member ensemble, where the anthropogenic aerosols

are prescribed using the MACv2-SP parameterisation. The corresponding year-to-year standard deviation of deviations of around 0.3 Wm^{-2} implies in the models imply a typical year-to-year variability of 50%, reflecting both natural variability and model differences affecting a strong contribution of model internal variability to ERF. We therefore propose a separation between long-term averaged ERF and estimates with super-imposed natural variability for studies on the ERF of anthropogenic aerosol. Based on the current work, we obtain a spread of -0.9 to -0.4 Wm^{-2} in the best model-mean estimates of $\text{ERF}_{\text{ari+aci}}$, summarised in Fig. 10. In comparison to this model recommend caution for the use of ERF estimates based on single years, as in the standard AeroCom protocol with varying reference years. These are likely affected by model-internal variability such that an apparent ERF spread is not associated with systematic model differences alone. Indeed such studies have shown a substantial spread in ERF, the natural variability in ERF is large estimates (e.g., Shindell et al., 2013), comparable to the magnitude of the model internal variability quantified in the present work.

We further recommend that model-based assessments of ERF in the future ensure to eliminate the effects of internal variability, either by averaging over longer time periods from single transient climate simulations or from averaging across several ensemble members for shorter time periods. For instance, the overall possible range in annual mean $\text{ERF}_{\text{ari+aci}}$ with superimposed protocol of RFMIP requests thirty-year averages for estimating the present-day ERF and three-member ensembles with ten-year averages for diagnosing decadal changes in ERF (Pincus et al., 2016). The precision of the estimate can be tested by using confidence estimates (e.g., Fiedler et al., 2017). Note that natural variability is ~ 1.5 equally an issue in observations. Ensembles of simulations should therefore be used for constraining ERF with the historical record of observations. The interannual variability in ERF, and hence the number of years needed to estimate ERF, could be different in nudged model simulations (Zhang et al., 2014). However, nudging a model simulation with re-analysis data can change the climatology and interfere with the rapid adjustments. The resulting ERFs from a nudged simulation are therefore likely different compared with free-running model simulations.

In our study, we obtain an ERF spread of -0.9 to $+0.5-0.4 \text{ Wm}^{-2}$ in our multi-model ensemble. These differences in the spread underline the importance of using a sufficiently large number of years for quantifying ERF^{-2} associated with systematic model differences (Fig. 10). This estimate is not affected by model-internal variability, is based on identical anthropogenic aerosol optical properties and makes use of a consistent perturbation of the cloud droplet number concentrations associated with anthropogenic aerosol. The model with the most negative ERF accounts also for changes in cloud microphysics associated with anthropogenic aerosol, whereas the other participating models account for the Twomey effect only. Based on our model spread, we conclude that models with a strongly negative ERF have particularly strong contributions from anthropogenic aerosol effects on clouds.

Our results highlight that all the participating models consistently show little change in the mean global ERF of anthropogenic aerosol between the mid-1970s and mid-2000s, despite the substantially different location of anthropogenic pollution maxima and the model diversity in their ERF magnitude. This is a remarkable result since the models run freely and differ in various model aspects including the representation of clouds and relative to the pre-industrial aerosol. Traditionally, such models have shown a substantial spread in ERF estimates (e.g., Shindell et al., 2013) comparable to the magnitude of the variability internal to the models in the present work. This behaviour suggests that diversity in anthropogenic aerosol optical

properties, parameterising F_{aci} in complex aerosol-climate models, and the large model-internal variability have a strong impact on ERF estimates. It- Model internal variability, however, produces ERF changes of different signs and magnitude between the two periods. This result gives further evidence that model-internal variability has not been sufficiently considered in past model inter-comparison studies tailored towards quantifying the model spread in ERF of studies estimating the ERF difference associated with the mid-1970s to mid-2000s change in anthropogenic aerosol, as previously suggested based on ECHAM alone (Fiedler et al., 2017).

We recommend that studies on model differences in- The small change in global ERF stems from similar global forcing efficiencies of anthropogenic aerosol in the two periods. These are primarily explained by globally compensating differences in regional cloudy-sky contributions to the ERF consider the simulation length for evaluating whether model-internal variability has been sufficiently sampled, e. g., by using a confidence estimate (e.g., Fiedler et al., 2017). Note that natural variability is also an issue in constraining the magnitude of ERF from observations. Using the historical record of observations for constraining the ERF magnitude therefore should be done with ensembles of simulations or averaging over several decades. For instance, the protocol of RFMIP requests thirty-year averages for estimating the present-day ERF and three-member ensembles with ten-year averages for diagnosing decadal changes in ERF (Pincus et al., 2016)efficiency. Assuming stronger aerosol-cloud interactions can cause a larger change in ERF from the mid-1970s to mid-2000s, based on simulations with ECHAM (Fiedler et al., 2017). The forcing from aerosol-cloud interaction is a subject of ongoing discussion and research (Bellouin et al., in prep.). Given our multi-model spread in absolute ERF magnitudes for the same τ_a relative to the pre-industrial, inter-comparing the relative changes in model-mean ERFs might herein give more stringent arguments ERF changes between observable periods might provide a better test for a model 's value in representing the historical climate evolution to represent transient climate changes. Our future work will focus on inter-comparing modelled ERF changes associated with other aerosol patterns for a better understanding of the historical evolution of ERF. One such endeavour is the usage of MACv2-SP in model simulations in the framework of CMIP6 (e.g., Pincus et al., 2016; Fiedler et al., 2018).

Data availability. The model data of this study will be available on the AeroCom community's data server. Additionally, the model data is archived by the Max Planck Institute for Meteorology and can be made accessible by contacting publications@mpimet.mpg.de.

25 Appendix A: Model physics packages

ECHAM6.3 is the updated model latest version of the general circulation model that has been developed at MPI-M (Stevens et al., 2013) .It is the atmospheric model of atmosphere component of the Earth system model MPI-ESM1.2 participating of MPI-M, which participates in CMIP6 (Mauritsen et al., in review). (Mauritsen et al., 2019). ECHAM6.3 is a global hydrostatic model for the atmosphere with and includes parameterisations of sub-grid scale physical processes. The atmospheric radiative transfer is parameterised with the PSrad scheme with the rapid radiative transfer model using the Rapid Radiative Transfer Model for general circulation models (RRTMG, Pincus and Stevens, 2013). External data sets define the boundary conditions of the model,

including the climatology of surface ~~Surface~~ properties, trace gas concentrations, and natural aerosol ~~aerosols are prescribed by climatological data sets~~. A major change in MPI-ESM1.2 (Mauritsen et al., in review) (Mauritsen et al., 2019) compared to previous model versions is the implementation of MACv2-SP. ~~The parameterisation prescribes anthropogenic aerosol optical properties and an associated Twomey effect~~ (Fiedler et al., 2017; Stevens et al., 2017).

5 The global aerosol-climate model ECHAM6.3-HAM2.3 is an updated version of the ~~one model~~ described by Tegen et al. (2018) and Neubauer et al. (submitted to ACPD). ~~Notable characteristics of this model version include updates on Revisions made in ECHAM6.3-HAM2.3 relate to~~ the atmospheric model and the ~~sea-surface temperature dependent description of~~ sea-salt emissions, ~~which have been made dependent on the sea-surface temperature~~. The model uses ECHAM6.3, but is coupled to the aerosol module HAM (Stier et al., 2005; Zhang et al., 2012). An important difference in the atmospheric components is
10 that ECHAM6.3 uses a single-moment cloud microphysics parameterisation, while ECHAM6.3-HAM2.3 has a two-moment stratiform cloud scheme (Lohmann and Hoose, 2009) for representing the activation of ~~aerosol for forming cloud droplets and heterogeneously nucleating ice aerosols as cloud condensation nuclei and ice nuclei~~ in mixed phase clouds. Emission schemes for sea salt (Long et al., 2011; Sofiev et al., 2011), desert dust (Tegen et al., 2002; Cheng et al., 2008), and oceanic ~~dimethylsulphide dimethyl sulphide~~ (DMS, Nightingale et al., 2000) are run online. Emission of all other aerosol species are
15 prescribed from external input files (Stier et al., 2005; Lamarque et al., 2010). ~~The prescribed background aerosols are set to~~ ~~In the configuration used in this study, we prescribe the~~ pre-industrial ~~levels of HAM for all simulations~~ ~~background of aerosol components from HAM that are not simulated online~~. These, in combination with the online-computed natural aerosol emissions, are the only aerosols seen by the two-moment cloud microphysics parameterisation in this study.

EC-Earth (Hazeleger et al., 2010; Döscher et al., in prep.) uses the Integrated Forecasting System (IFS) of the European
20 Centre for Medium-range Weather Forecasts (ECMWF) as its atmosphere component. The latest generation of the model, EC-Earth3, is based on the ECMWF seasonal prediction system 4 with IFS cycle 36r4. The radiation scheme is based on the Rapid ~~Radiation Transfer Model (RRTM, Mlawer and Clough, 1998; Iacono et al., 2008)~~ ~~Radiative Transfer Model (Mlawer and Clough, 1998; I~~ with 14 bands in the shortwave and 16 bands in the longwave spectrum, and uses the Monte-Carlo Independent Column Approximation (McICA) approach (Pincus and Morcrette, 2003). Many new features have been added to IFS by the EC-Earth
25 consortium. The pre-industrial tropospheric aerosol climatology that is used in combination with MACv2-SP, has been constructed from a simulation with the TM5 aerosol-chemistry model (Huijnen et al., 2010; van Noije et al., 2014), driven by meteorological data from ERA-Interim for the early 1980s ~~with aerosol emissions~~. ~~This simulation used CMIP6 emissions of aerosol and precursor gases~~ for 1850, and provides the monthly mean aerosol mass and number concentrations as well as the aerosol optical properties. Stratospheric aerosols are prescribed using the CMIP6 data set of radiative properties. Aerosol-
30 cloud interactions are implemented only for liquid phase, stratiform clouds. The cloud droplet number concentration, N , is diagnosed using the activation scheme of Abdul-Razzak and Ghan (2000) and is here modified by η_N from MACv2-SP. Cloud microphysics depends on N through autoconversion of cloud droplets to rain. ~~Finally, new diagnostics have been added to IFS to allow calculation of instantaneous anthropogenic aerosol radiative effects using a double call of the radiation scheme (Section 2.2)~~. The model used in this study is EC-Earth version 3.2.3. It is close to the CMIP6 version described by ~~Döscher et al. (in prep.)~~ ~~Döscher et al. (in prep.)~~, but does not include the latest revisions that were introduced after the simulations for

this study were started. Most relevant for this study is that ~~the~~ in the CMIP6 version the pre-industrial aerosol climatology has been updated, by changing the parameterization of the production of sea spray in the underlying TM5 model. Specifically, the whitecap coverage has been made dependent on sea-surface temperature, while its power-law dependence on the ~~10-m-wind~~ 10m-wind speed has been changed from the W10 expression proposed by Salisbury et al. (2013) to the expression proposed
5 by Monahan and Muircheartaigh (1980). The main effect of this revision is an increase in aerosol and cloud droplet number concentrations over the Southern Ocean.

Simulations with the Hadley Centre Global Environment Model (HadGEM) use a modified version of the HadGEM3 Global Atmosphere 7.0 climate model configuration (Walters et al., 2017). HadGEM3 normally uses the Global Model of Aerosol Processes (GLOMAP, Mann et al., 2010) to simulate aerosol mass and number, and interactions of aerosols with radiation,
10 clouds and atmospheric chemistry. That scheme is here replaced with prescriptions of the three-dimensional distributions of aerosol extinction and absorption coefficients averaged over HadGEM's 6 shortwave and 9 longwave wavebands, waveband-averaged aerosol asymmetry, and N . Those prescriptions are made of three components. First, pre-industrial aerosol and N distributions are taken from a HadGEM3/GLOMAP simulation using CMIP6 emission datasets for the year 1850. Second, stratospheric aerosols are taken from the CMIP6 climatologies for the year 1850. Prescribed N are used in the calculation
15 of cloud albedo (Jones et al., 2001) and autoconversion rates (Khairoutdinov and Kogan, 2000), although the latter do not see the MACv2-SP N scalings, ensuring that anthropogenic aerosols do not exert a secondary indirect ~~effects~~ effect in the present study. HadGEM3 uses the Prognostic Cloud fraction and Prognostic Condensate scheme (PC2, Wilson et al., 2008) that simulates the mass-mixing ratios of water vapour, cloud liquid and ice, as well as the fractional cover of liquid, ice, and mixed-phase clouds.

20 The Norwegian Earth System Model (NorESM Bentsen et al., 2013; Iversen et al., 2013; Kirkevåg et al., 2013) uses the atmospheric component of the Oslo version of the Community Atmosphere Model (CAM4-Oslo), which differs from the original CAM4 (Neale et al., 2013) through the modified treatment of ~~aerosol~~ aerosols and their interaction with clouds (Kirkevåg et al., 2013). The model has a finite-volume dynamical core and the original version 4 of the Community Land Model (CLM4) of CCSM4 (Lawrence et al., 2011). NorESM uses the CAM-RT radiation scheme by Collins et al. (2006). Like ~~for~~ ECHAM-HAM
25 and ECHAM, NorESM sets all background aerosol emission to ~~the values~~ pre-industrial levels representative of 1850. These background conditions include sulphate from tropospheric volcanoes and from DMS, as well as organic matter from land and ocean biogenic processes, mineral dust and sea salt. Sea salt emissions are parameterised as a function of wind speed and temperature (Struthers et al., 2011), while other pre-industrial aerosol emissions are prescribed following Kirkevåg et al. (2013). These are, in the case of NorESM, sulphate, organic matter and BC aerosols originating from fossil fuel emissions and biomass
30 burning (Lamarque et al., 2010). ~~The pre-industrial burden in the aerosol-climate models contains some anthropogenic aerosol, but the majority of the pre-industrial aerosol optical depth (τ_p) is of natural origin. The 1850's global-mean τ_p in NorESM is namely 0.096, to which anthropogenic fossil-fuel aerosols contribute 0.002. For comparison, the year 2005 global-mean τ_a for MACv2-SP aerosols is 0.029.~~

Appendix B: Model diversity ~~for clouds~~ in cloud properties and surface albedo

The model diversity in RF and ERF is larger when cloudy skies are considered. We therefore assess the model diversity in cloud properties and compare the ~~models-model climatologies~~ calculated from the simulations for the mid-2000s against observational climatologies from satellite products, listed in Table A1. The observational products ~~herein~~ provide an orientation
5 for realistic values, although satellite retrievals also have caveats (e.g., Grosvenor et al., 2018). Moreover, we document the here-used surface albedos for illustrating both the regional differences and the model diversity.

B1 Macroscopic cloud properties

We first assess the ~~shortwave-cloud~~ cloud shortwave radiative effect at the top of the atmosphere (F_{cld}), thus the cloud effect on the planetary albedo. The ~~annual-and-multi-annual~~ global mean F_{cld} for 2001–2010 from CERES Ed. 4 is -45.8 Wm^{-2} , i.e.,
10 less negative than ~~that of in~~ most models (Table A2). This behaviour indicates a tendency of the models to have too reflective clouds consistent with other model evaluations (Nam et al., 2012; Crueger et al., 2018, Lohmann and Neubauer, submitted). The spatial patterns of modelled F_{cld} are generally ~~speaking~~-similar, but ~~regional differences are~~ regionally the differences can be more distinct (Figure A1).

To better characterise the model diversity ~~for in~~ clouds, we compare the ~~global means in simulated~~ total cloud cover (f)
15 and ~~the ocean mean in vertically integrated liquid water content~~ liquid water path (l_{cld}) to satellite climatologies from ISCCP and MAC-LWP, respectively (Table A1). ~~In the global mean, most~~ Most models underestimate both f and l_{cld} over the oceans compared to the satellite retrievals, but having too few clouds does not necessarily imply too ~~little~~ small amount of liquid or vice versa (Table A2). The spatial patterns (Figure A1) show a tendency of the models for underestimating f in the stratocumulus decks in the Southeastern regions of the Pacific and Atlantic Ocean ~~where~~ F_{act} , where aerosol-cloud interactions are thought to
20 be important. The models, however, disagree on the ~~regional~~-values for f and l_{cld} in those regions. Moreover, the models show a large diversity in l_{cld} in the extra-tropical storm tracks. NorESM ~~has herein the regionally largest~~ shows the largest maximum l_{cld} exceeding 200 gm^{-2} . Our findings for l_{cld} are consistent with a similar regional ~~evaluation of the relative differences of comparison between~~ HadGEM and CAM (Malavelle et al., 2017), the latter of which has a similar atmospheric component ~~to~~ as NorESM (see Appendix A).

25 ~~The cloud differences-~~

B2 Cloud microphysical properties

The reported differences in macroscopic cloud properties among the models raise the question how different the ~~in-cloud droplet number concentration~~ cloud droplet number concentrations (N) ~~for present day is in the models~~ are. We find that the ~~prognostic schemes models~~ show large diversity in the pattern of N for ~~present day, shown in Fig. A2.~~ present-day conditions
30 as shown in Figure A2. Note that we show the mean in-cloud droplet number concentration, which means that regions without clouds are not included when averaging N . It is noteworthy that ~~N from the prognostic schemes is in the models~~ N is calculated for stratiform cloud types, but can additionally include detrained ~~N -droplets~~ droplets from anvils of deep convection. The spatial pattern

of N in ECHAM is not shown due to the simplistic treatment in the model. ECHAM ~~has~~ employs statically prescribed values for N ~~that, which~~ are constant with height below 800 hPa and exponentially decrease aloft. The near-surface values in ECHAM are ~~$N=N=80$~~ $N=80$ cm^{-3} over ocean and ~~$N=N=180$~~ $N=180$ cm^{-3} elsewhere (not shown), and are multiplied with η_N from MACv2-SP like in the other models.

- 5 Compared to the satellite product, ~~models with prognostic schemes~~ the models typically underestimate N , e.g., in the stratocumulus decks, where also f is underestimated. It remains an open question how much of the quantitative differences between the models and the satellite product is due to differences in the methods for diagnosing N in the satellite ~~and model approaches~~ retrievals and the models, but it is unlikely that the methods solely explain the diversity in the patterns of N . It is interesting that, despite these quantitative differences in N , the spatial pattern of F_{clid} compares reasonably well to observations
- 10 (Figure A1), which might be ~~an artefact~~ a consequence of compensating differences from tuning the radiation balance at the top of the atmosphere ~~in the models~~. For instance, the behaviour of NorESM points to too much shortwave reflectivity by too thick clouds that overcompensate the missing reflection due to underestimated cloud cover.

B3 Surface albedo

- An additional influence on the radiative forcing of anthropogenic aerosol is the surface reflectivity for shortwave radiation. We therefore document the surface albedo for shortwave radiation from the participating models and the satellite product used in the offline radiative transfer calculations of this study. In the global mean, the models and the satellite product are very similar, with a surface albedo of 14–16%. However, the spatial distributions in Figure A3 indicate differences. The typical difference between less reflective ocean surfaces compared to land regions is apparent. Moreover, the analysis reveals diversity in the regional surface albedos of the participating models, typically related to areas affected by snow cover. Since such diversity in the surface albedo was already previously reported for aerosol-climate models with implications for the aerosol radiative forcing (e.g., Stier et al., 2007), future efforts are still needed for constraining the surface albedo in climate models.
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- Author contributions.* SF designed the study, performed the experiments with ECHAM, analysed the data of all models, and led the writing of the manuscript. SK performed the offline radiation-transfer calculations. PR performed the experiments with NorESM, KH for ECHAM-HAM, NB for HadGEM, and TvN and DOD for EC-Earth. All authors contributed to the discussion of the results and the writing of the
- 25 manuscript.

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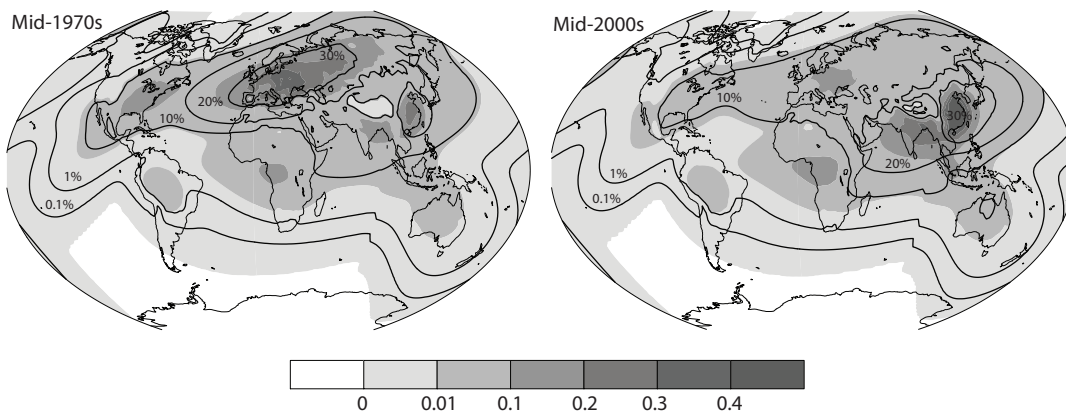


Figure 1. Mean anthropogenic aerosol optical depth (τ_a , shaded) and fractional increase in cloud droplet number (η_N , contours) associated with anthropogenic aerosol. Shown are annual means of τ_a at 550nm-550 nm and η_N for the (left) mid-1970s and (right) mid-2000s from MACv2-SP that prescribes annually repeating monthly maps of τ_a in the participating models. Note the non-linear scale ~~for also displaying small values.~~

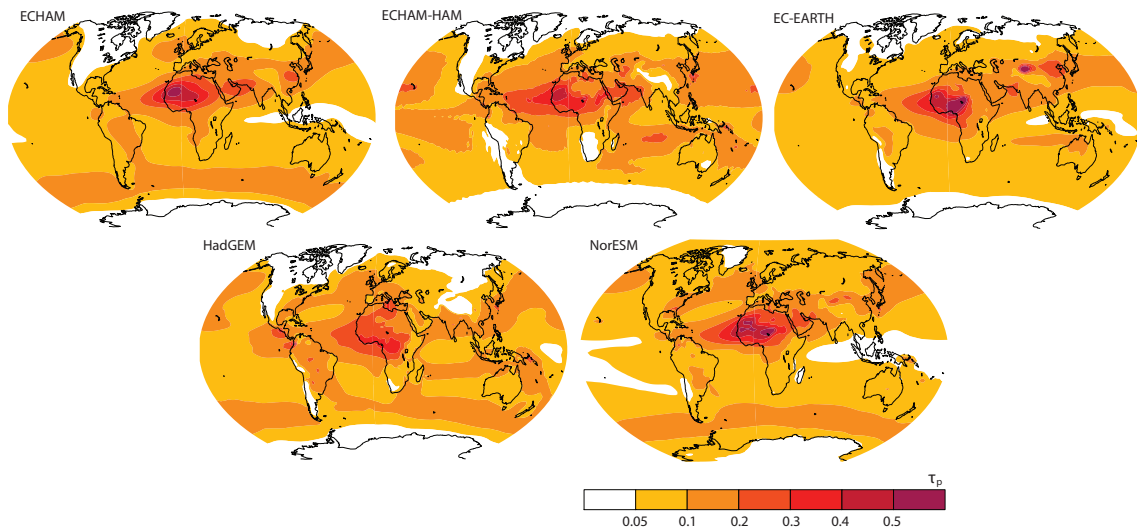


Figure 2. Mean pre-industrial **AOD** aerosol optical depth (τ_p). Shown are annual means of τ_p of the radiation band around 550 nm for each model.

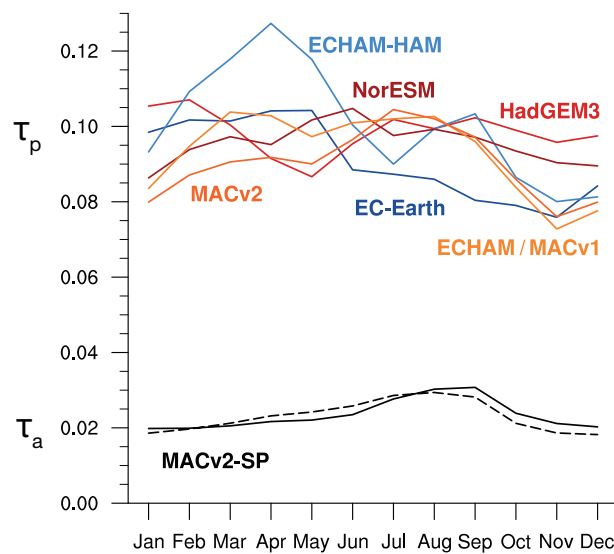


Figure 3. Annual cycle of the global mean AOD aerosol optical depth at 550nm 550 nm. Shown are monthly means of (colors) τ_p from the models and (black) τ_a for the (dashed) mid-1970s and (solid) mid-2000s from MACv2-SP.

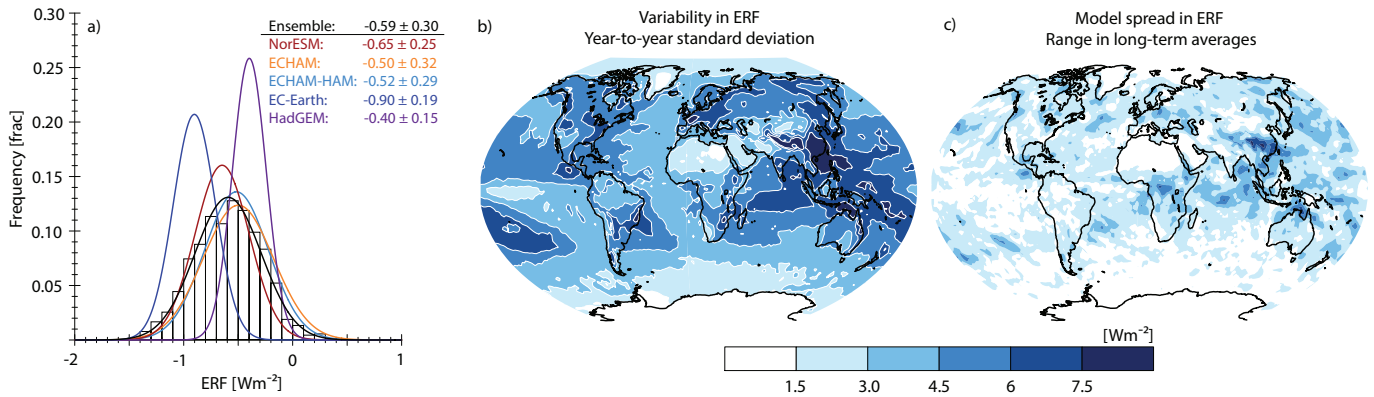


Figure 4. Variability in annual ERF estimates for the mid-2000s. ~~Shown are Panel (a) shows~~ Gaussian distributions of annual ERF estimates for present-day from (colors) individual model ensembles and (black) the entire multi-model, multi-member ensemble. ~~The bars are the frequency histogram of one-year ERF estimates from all models, and the legend indicates the means and standard deviations of the ERF estimates.~~ Panel (b) shows the regional standard deviation of annual contributions to ERF from the entire multi-model, multi-member ensemble as measure for the variability internal to the model ensemble, ~~and~~. Panel (c) shows the range in the long-term averaged ERFs of the models as measure for the spread in ERF associated with model differences. ~~In (a), the bars are the frequency histogram of one-year ERF estimates from all models, and the legend indicates the means and standard deviations of the ERF estimates. ERF values are for the shortwave (SW) spectrum at the top of atmosphere (TOA) for all-sky conditions.~~

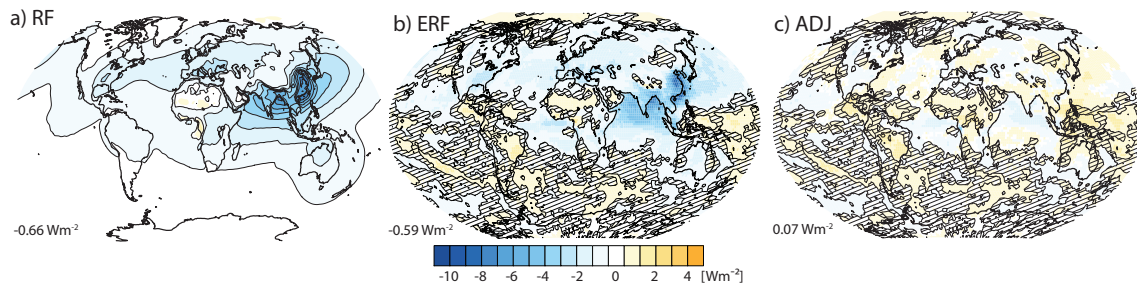


Figure 5. Multi-model, multi-member ensemble mean of the anthropogenic aerosol radiative effects for the mid-2000s. Shown are the (a) instantaneous and (b) effective radiative forcing as well as (c) the net contribution from rapid adjustments for SW at the TOA in all-sky conditions. Hatching in (b, c) indicates non-significant [ERF-values](#) at a 10% significance level. The numbers in the lower left corner are the spatial averages. [The ensemble-mean RF is averaged over three climate models, the ensemble-mean ERF over five climate models, and the ensemble-mean adjustment is their difference.](#)

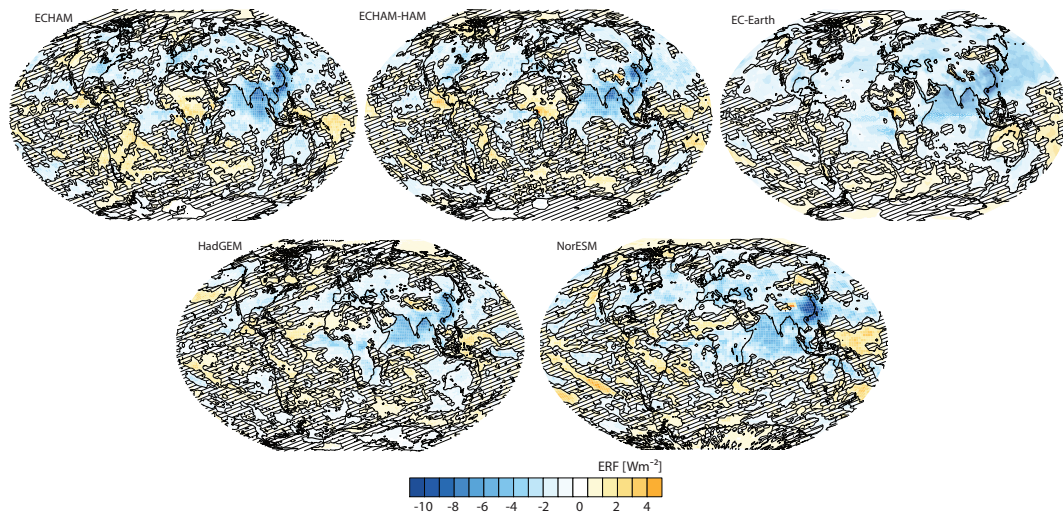


Figure 6. Multi-member ensemble mean of effective radiative effects of anthropogenic aerosol for the mid-2000s. Shown are the effective radiative forcing for SW at the TOA in all-sky conditions for each model. Hatching indicates non-significant ERF values at a 10% significance level.

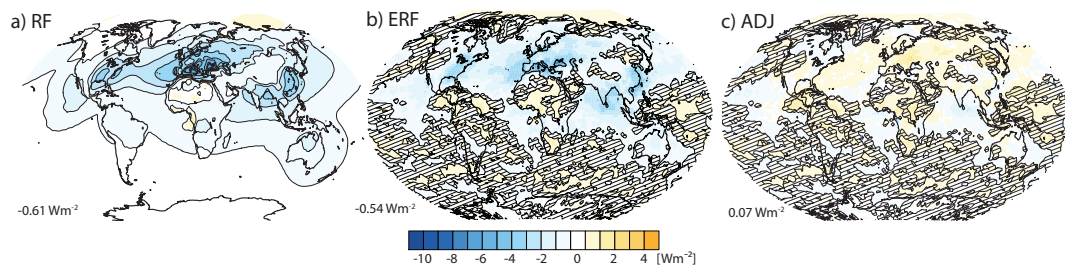


Figure 7. Multi-model, multi-member ensemble mean of the anthropogenic aerosol radiative effects for the mid-1970s. As Figure 5, but with the anthropogenic aerosol pattern of the mid-1970s.

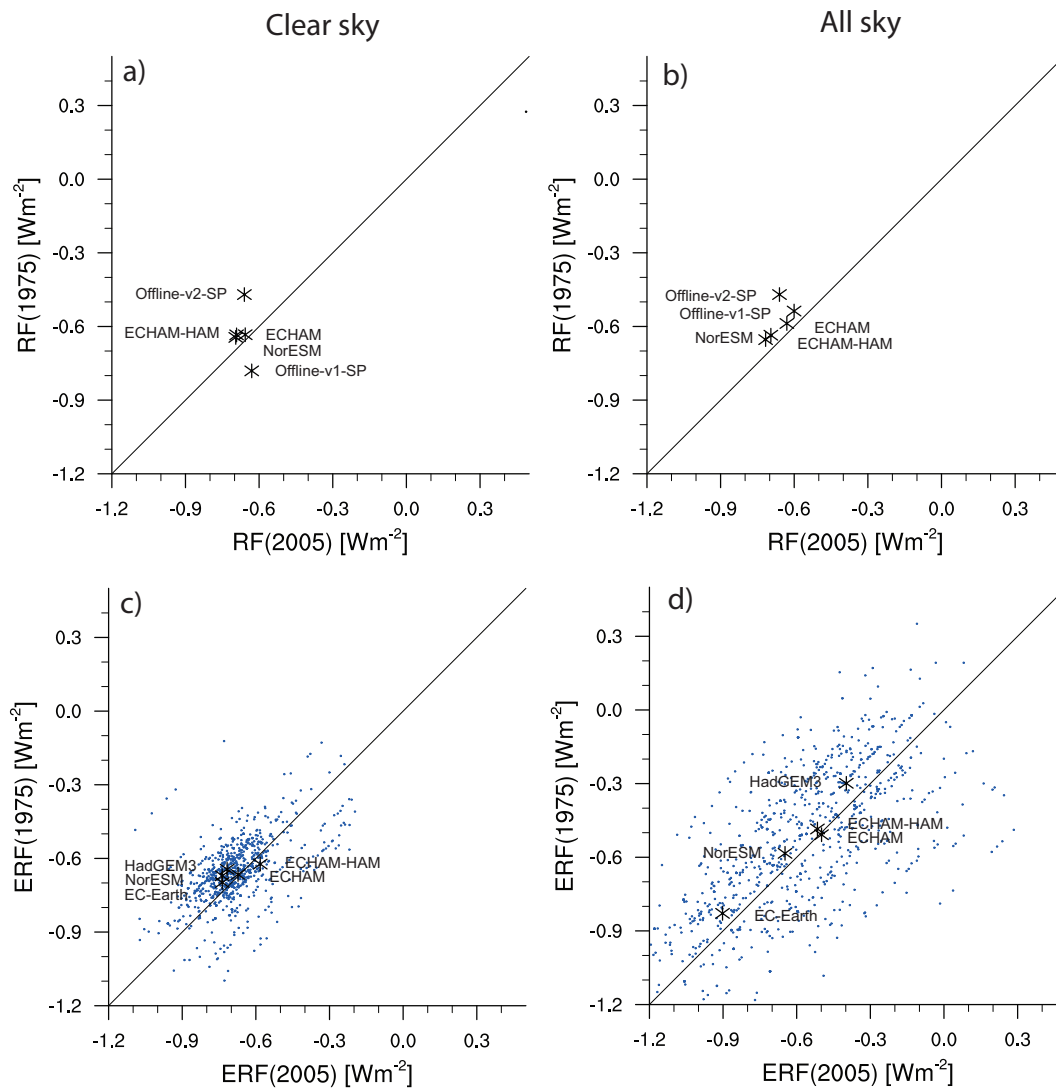


Figure 8. Anthropogenic aerosol forcing of the mid-1970s against the mid-2000s. Shown are the (top) instantaneous and (bottom) effective radiative forcing for SW at the TOA from the pollution of the mid-1970s against the mid-2000s for (left) clear and (right) all sky. Thick crosses are the ensemble means. Blue dots in (c, d) are the model averages of individual years representing the year-to-year variability internal to the model ensemble.

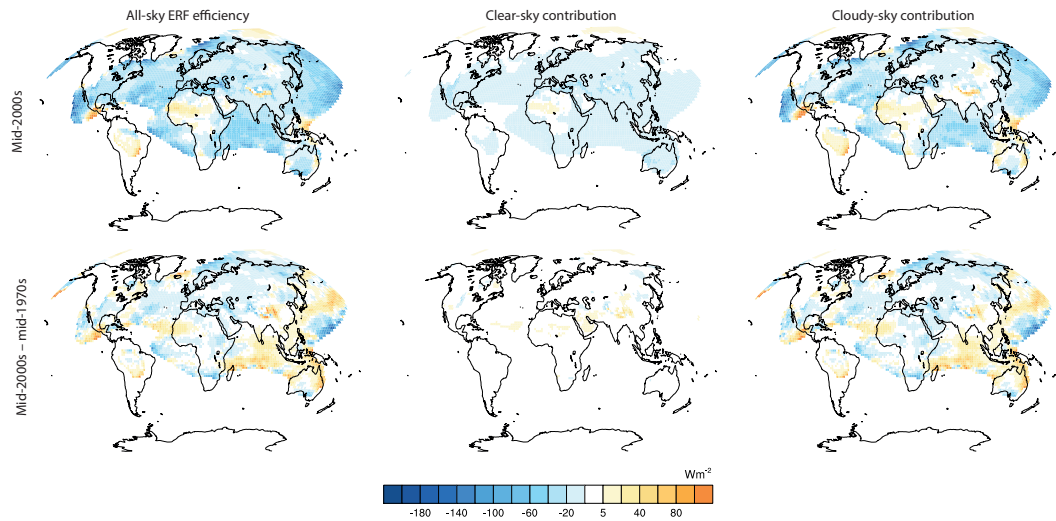


Figure 9. Overview on model spread in anthropogenic aerosol effective radiative forcing efficiencies, in W m^{-2} per unit optical depth, for the mid-2000s. Shown are the instantaneous (RF_{left}) and effective radiative forcing all-sky, (ERF_{middle}) associated with aerosol-radiation clear-sky, and aerosol-cloud-interaction (right) cloudy-sky. The top row shows efficiencies for SW at mid-2000s anthropogenic aerosols. The bottom row shows differences made by using the TOA pattern for clear and all-sky from Tab. 2. RF from the offline calculations consider additional uncertainty sources and are shown as separate bars mid-1970s. Refer to Section 2.1 for details.

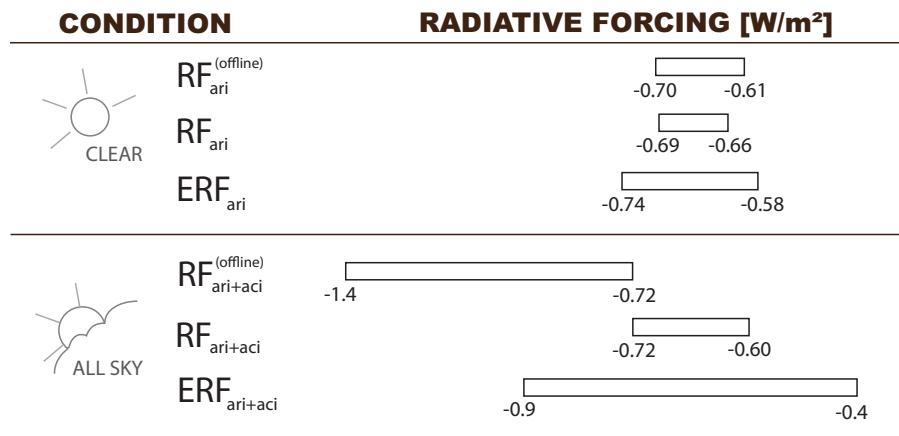


Figure 10. Summary of model spread in anthropogenic aerosol forcing for the mid-2000s. Shown are the instantaneous (RF) and effective radiative forcing (ERF) of aerosol-radiation and aerosol-cloud interactions for the shortwave spectrum at the top of the atmosphere for clear and all sky from Tab.2. The RF from the offline radiation-transfer calculations consider additional uncertainty sources and are shown as separate bars. Refer to Section 2.1 for details.

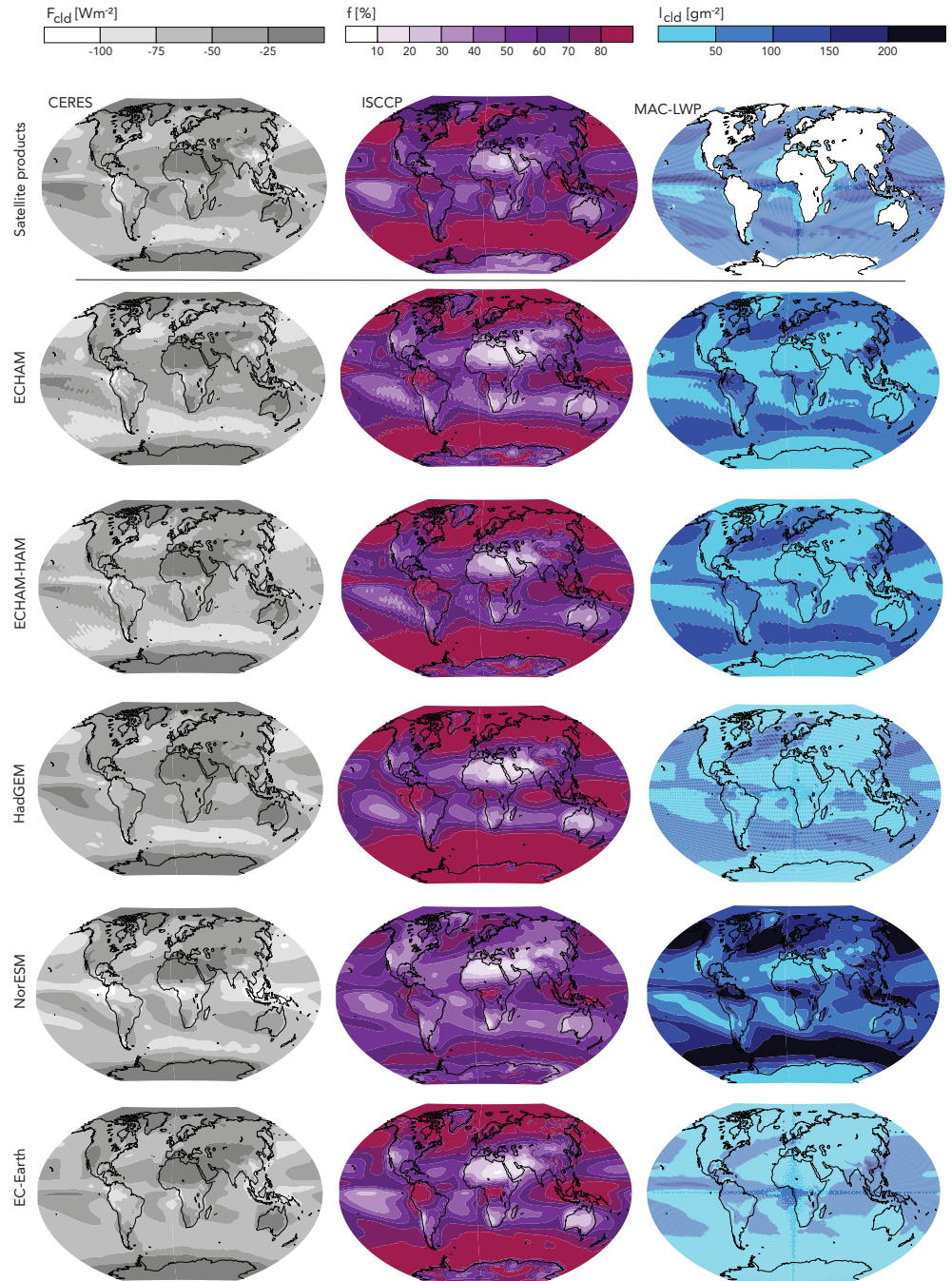


Figure A1. Multi-member ensemble means of cloud characteristics for the mid-2000s compared to climatologies derived from satellite observations (Table A1). Shown are the mean (left column) SW cloud radiative effect at the TOA, F_{cld} , (middle column) total cloud cover, f , and (right column) vertically-integrated liquid water content path, l_{cld} from (top row) the satellite products and (rows beneath) the models (Table A1). Areas without reliable available satellite retrieval data are shaded white.

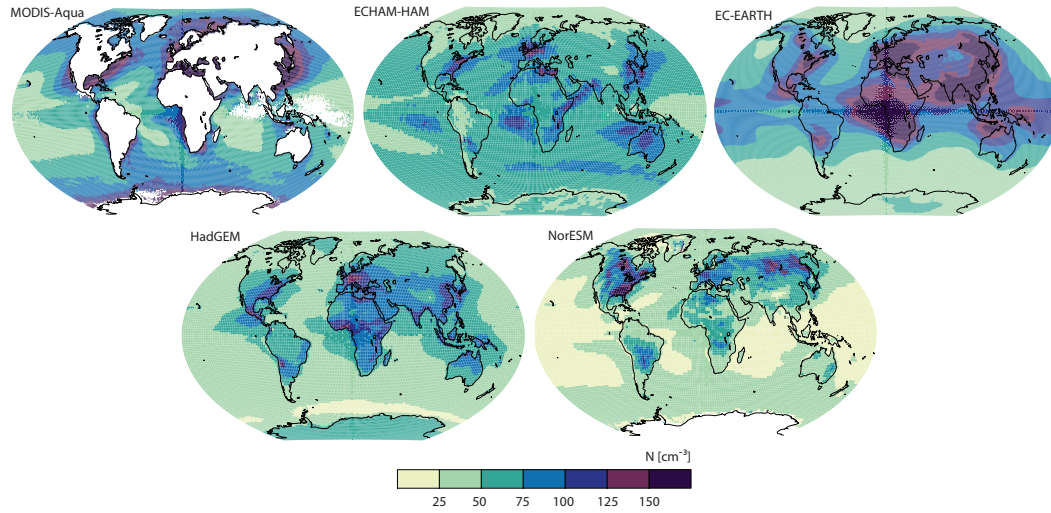


Figure A2. In-cloud droplet number concentration for [the](#) mid-2000s. Shown are the annually and vertically averaged in-cloud droplet number concentration (N) from the aerosol-climate models and from the [MODIS-Aqua-MODIS](#) satellite product by Bennartz and Rausch (2017). Areas without [reliable-available](#) satellite [retrieval-data](#) are shaded white.

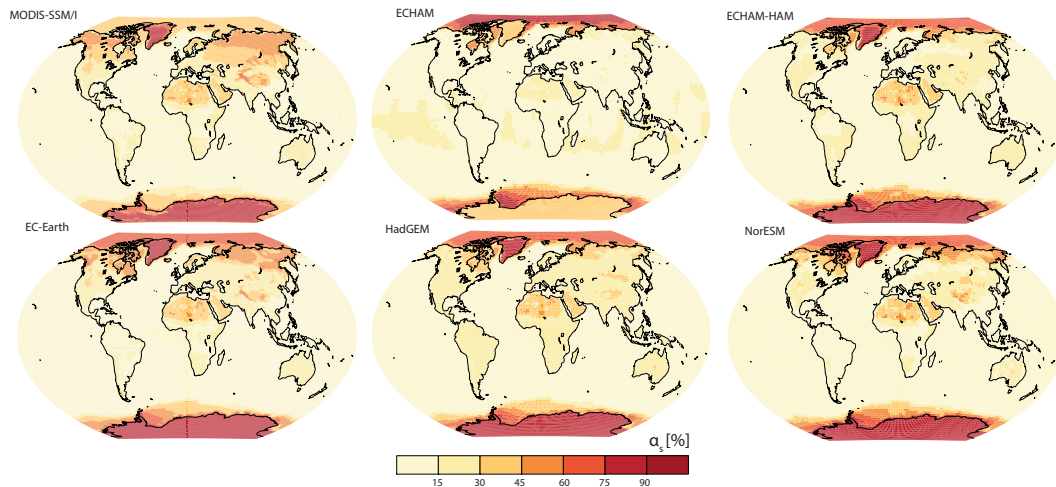


Figure A3. [Surface albedo for shortwave radiation for the mid-2000s. Shown are the mean surface albedo for shortwave radiation \(\$\alpha_s\$ \) from the models and the satellite product from Kinne et al. \(2013\).](#)

Table 1. Model ~~experiment~~experimental setup

Model	Δx x Δy <u>Horizontal resolution</u> (longitude x latitude)	Levels <u>Number of</u> vertical levels	τ_p <u>Pre-industrial aerosol</u> (1850)	τ_a <u>Anthropogenic aerosol</u> (increase since 1850)
ECHAM	1.875° E -x 1.875° N	47	MACv1 elim <u>climatology</u>	MACv2-SP
ECHAM-HAM	1.875° E -x 1.875° N	47	Online	MACv2-SP
EC-Earth	1.875° E -x 1.875° N	91	TM5 elim <u>climatology</u>	MACv2-SP
HadGEM3	1.875° E -x 1.25° N	85	HadGEM3 elim <u>climatology</u>	MACv2-SP
NorESM	2.5° E -x 1.894° N	26	Online	MACv2-SP
Offline-v2-SP	1° E -x 1° N	20	MACv2	MACv2-SP
Offline-v1-SP	1° E -x 1° N	20	MACv1	MACv2-SP
Offline-v2	1° E -x 1° N	20	MACv2	MACv2
Offline-v1	1° E -x 1° N	20	MACv1	MACv1

Table 2. Ensemble averages of the shortwave instantaneous (RF) and effective (ERF) radiative forcing, and net contribution from rapid adjustments (ADJ) at the surface (SFC) and the top of the atmosphere (TOA in SW) for all sky (clear sky) in Wm^{-2} for τ_a of the period 1850 to 2005. The first block shows aerosol-climate models with MACv2-SP, and the second block shows estimates of the offline benchmarks radiative transfer model.

	RF_{SFC}	RF_{TOA}	ERF_{TOA}	ADJ_{TOA}
ECHAM	-1.52 (-1.64)	-0.60 (-0.66)	-0.50 (-0.67)	0.1 (-0.01)
ECHAM-HAM	-1.63 (-1.67)	-0.72 (-0.69)	-0.52 (-0.58)	0.2 (0.11)
EC-Earth	-1.34 (-1.81)	-0.34 (-0.69)	-0.90 (-0.74)	-0.6 (0.05)
HadGEM3	/	/	-0.40 (-0.72)	/
NorESM	-1.46 (-1.60)	-0.68 (-0.68)	-0.65 (-0.74)	0.03 (-0.06)
Offline-v2-SP	-1.8 (-1.7)	-0.75 (-0.62)	/	/
Offline-v1-SP	-1.7 (-1.6)	-0.72 (-0.61)	/	/
Offline-v2	-2.3 (-1.9)	-1.1 (-0.70)	/	/
Offline-v1	-2.7 (-2.0)	-1.4 (-0.63)	/	/

Table A1. Gridded satellite-climatologies as reference of satellite retrievals used for model evaluation.

Name	Description	Variable	Time
CERES	Energy balanced and filled data of the Clouds and the Earth's Radiant Energy System, Ed. 4 (Loeb et al., 2009)	$F_{\text{cld}} \text{ Wm}^{-2}$ <u>Cloud shortwave radiative effects at top of the atmosphere</u> , $F_{\text{cld}} [\text{Wm}^{-2}]$	2001–2014
ISCCP	International Satellite Cloud Climatology Project (Rossow and Schiffer, 1999)	f % <u>Total cloud cover</u> , <u>Total cloud cover</u> f [%]	1983–2009
MAC-LWP	Multi-sensor Advanced Climatology (Elsaesser et al., 2017)	$l_{\text{cld}} \text{ gm}^{-2}$ <u>Liquid water path</u> , <u>Liquid water path</u> $l_{\text{cld}} [\text{gm}^{-2}]$	2000–2016
MODIS	Climatology based on Moderate Resolution Imaging Spectroradiometer aboard Aqua (Bennartz and Rausch, 2017)	$N \text{ cm}^{-3}$ <u>Cloud droplet number concentration in warm clouds</u> , <u>concentration</u> $N [\text{cm}^{-3}]$	2003–2015
<u>MODIS-SSM/I</u>	<u>Climatology based on Moderate Resolution Imaging Spectroradiometer and microwave data (Kinne et al., 2013)</u>	<u>Surface albedo for shortwave radiation</u> , α_s [%]	<u>1987–2007</u>

Ensemble averages of regional forcing efficacies (E) for the mid-2000s at the TOA in SW for all sky (clear sky) in Wm^{-2} . E is calculated as RF or ERF divided by τ_a and spatially averaged over regions either near pollution sources or additionally areas further away, i.e., $\tau_a > 0.1$ and $\tau_a > 0.01$ (Figure 1): $E_{\text{RF}}(\tau_a > 0.01)$ $E_{\text{RF}}(\tau_a > 0.1)$ $E_{\text{ERF}}(\tau_a > 0.01)$ $E_{\text{ERF}}(\tau_a > 0.1)$ ECHAM-26 (-24) -17 (-25) -22 (-26) -18 (-25) ECHAM-HAM -32 (-26) -20 (-26) -22 (-21) -15 (-25) EC-Earth -12 (-25) -13 (-27) -41 (-29) -21 (-26) HadGEM3 // -11 (-27) -16 (-26) NorESM -30 (-24) -19 (-27) -31 (-27) -21 (-28)

As Table ??, but for the mid-1970s: $E_{\text{RF}}(\tau_a > 0.01)$ $E_{\text{RF}}(\tau_a > 0.1)$ $E_{\text{ERF}}(\tau_a > 0.01)$ $E_{\text{ERF}}(\tau_a > 0.1)$ ECHAM-26 (-27) -11 (-18) -23 (-29) -13 (-18) ECHAM-HAM -32 (-29) -13 (-19) -20 (-27) -9 (-18) EC-Earth -13 (-27) -8 (-18) -44 (-29) -16 (-19) HadGEM3 // -8 (-28) -10 (-18) NorESM -31 (-27) -14 (-19) -30 (-29) -13 (-20)

Table A2. Global mean statistics for clouds, aerosols and aerosol surface albedo. The numbers given for l_{cld} and N are herein averages over ocean regions, consistent with the satellite data availability (Figures A1 and A2). The details on the satellite products are listed in [Table A1](#).

	$F_{\text{cld}} [\text{Wm}^{-2}]$	$f [\%]$	$l_{\text{cld}} [\text{gm}^{-2}]$	$N [\text{cm}^{-3}]$	τ_p	$\alpha_s [\%]$
ECHAM	-47.5	63	65	84	0.093	16
ECHAM-HAM	-49.1	68	69	65	0.097	15
EC-Earth	-46.2	65	42	91	0.091	15
HadGEM3	-44.3	69	57	56	0.098	15
NorESM	-55.5	55	133	34	0.096	14
Satellite observation-retrieval	-45.8	66	82	77	-	15