1 2	Variability of vertical structure of precipitation with sea surface temperature over the Arabian Sea and the Bay of Bengal as inferred by TRMM PR measurements
3	Kadiri Saikranthi <sup>1</sup> , Basivi Radhakrishna <sup>2</sup> , Thota Narayana Rao <sup>2</sup> and
4	Sreedharan Krishnakumari Satheesh <sup>3</sup>
5 6	<sup>1</sup> Department of Earth and Climate Science, Indian Institute of Science Education and Research (IISER), Tirupati, India.
7 8	<sup>2</sup> National Atmospheric Research Laboratory, Department of Space, Govt. of India, Gadanki - 517112, India.
9 10	<sup>3</sup> Divecha Centre for Climate Change, Centre for Atmospheric and Oceanic Sciences, Indian Institute of Science, Bangalore - 560012, India.
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	Address of the corresponding author
31	Dr. K. Saikranthi,
32	Department of Earth and Climate Science,
33	Indian Institute of Science Education and Research (IISER),
34	Tirupati,
35	Andhra Pradesh, India.
36	Email: ksaikranthi@gmail.com

#### Abstract

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55

Tropical rainfall measuring mission precipitation radar measurements are used to examine the variation of vertical structure of precipitation with sea surface temperature (SST) over the Arabian Sea (AS) and Bay of Bengal (BOB). The variation of reflectivity and precipitation echo top with SST is remarkable over the AS but small over the BOB. The reflectivity increases with SST (from 26°C to 31°C) by ~1 dBZ and 4 dBZ above and below 6 km, respectively, over the AS while, its variation is < 0.5 dBZ over the BOB. The transition from shallow storms at lower SSTs ( $\leq 27^{\circ}$ C) to deeper storms at higher SSTs is strongly associated with the decrease in stability and mid-tropospheric wind shear over the AS. Contrary, the storms are deeper at all SSTs over the BOB due to weaker stability and mid-tropospheric wind shear. At lower SSTs, the observed high aerosol optical depth (AOD) and low total column water vapor (TCWV) over AS results in small cloud effective radius (CER) and weaker reflectivity. As SST increases, AOD decreases and TCWV increases leading to large CER and high reflectivity. The changes in these parameters with SST are marginal over the BOB and hence the CER and reflectivity. The predominance of collisioncoalescence process below the bright band is responsible for the observed negative slopes in the reflectivity over both the seas. The observed variations in reflectivity are originated at the cloud formation stage over both the seas and these variations are magnified during the descent of hydrometeors to ground.

56

57

58

59

60

61

### 1. Introduction

63

64

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83

84

85

86

87

Indian summer monsoon (ISM - June through September) is one of the most complex weather phenomena, involving coupling between the atmosphere, land and ocean. At the boundary of the ocean and atmosphere air-sea interactions play a key role for the coupled Earth system (Wu and Kirtman 2005; Feng et al. 2018). The sea surface temperature (SST) – precipitation relations are the important measures for the air-sea interactions on different temporal scales (Woolnough et al., 2000; Rajendran et al. 2012). Recent studies (Wang et al. 2005; Rajeevan et al. 2012; Chaudhari et al. 2013; 2016; Weller et al. 2016; Feng et al. 2018) have shown that the simulation of ISM can be improved with the exact representation of SST - precipitation relationship. SST modulates the meteorological factors that influence the formation and evolution of different kinds of precipitating systems over tropical oceans (Gadgil et al. 1984; Schumacher and Houze, 2003; Takayabu et al. 2010; Oueslati and Bellon 2015). The studies dealing with SST and cloud/precipitation population considered whole Indian Ocean as a single entity (Gadgil et al. 1984; Woolnough et al., 2000; Rajendran et al. 2012; Sabin et al. 2012; Meenu et al. 2012; Nair and Rajeev 2014; Roxy 2014). But in reality the Bay of Bengal (BOB) and the Arabian Sea (AS) of Indian Ocean possess distinctly different features. The monsoon experiment (MONEX) and Bay of Bengal monsoon experiment (BOBMEX) have shown how these two seas are different with respect to each other, in terms of SST, back ground atmosphere and the occurrence of precipitating systems (Krishnamurti 1985; Houze and Churchill 1987; Gadgil 2000; Bhat et al. 2001). The SST in the AS cools between 10 °N and 20 °N during the monsoon season whereas warming is seen in other global Oceans between the same latitudes (Krishnamurthi 1981). SST variability is large over the AS than the BOB at seasonal and intraseasonal scales (Sengupta et al. 2001;

Roxy et al. 2013). The monsoonal winds (in particular the low-level jet) are stronger over the

AS than BOB (Findlater 1969). Also, lower-tropospheric thermal inversions are more frequent and stronger over the AS than BOB (Narayanan and Rao 1981; Sathiyamoorthy et al. 2013). Thus, the atmospheric and sea surface conditions and in turn the occurrence of different kinds of precipitating systems are quite different over the BOB and the AS during the ISM period. For instance, long-term measurements of tropical rainfall measuring mission (TRMM) precipitation radar (PR) have shown that shallow systems are more prevalent over the AS, while deeper systems occur frequently over the BOB (Liu et al. 2007; Romatschke et al. 2010; Saikranthi et al. 2014, 2018; Houze et al. 2015).

88

89

90

91

92

93

94

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112

The aforementioned studies mainly focused on the morphology of vertical structure of precipitation, but, none of them studied the variation of vertical structure of precipitation (in terms of occurrence and intensity) with SST and the differences in the vertical structure over AS and BOB. On the other hand, information on the vertical structure of precipitation is essential for improving the accuracy of rainfall estimation (Fu and Liu 2001; Sunilkumar et al. 2015), understanding the dynamical and microphysical processes of hydrometeor growth/decay mechanisms (Houze 2004; Greets and Dejene 2005; Saikranthi et al. 2014; Rao et al. 2016) and for improving the latent heating retrievals (Tao et al. 2006). SST being the main driving force to trigger precipitating systems through air-sea interactions (Sabin et al. 2012; Nuijens et al. 2017), can alter the vertical structure of precipitation (Oueslati and Bellon 2015). Therefore, the present study aims to understand the variation of vertical structure of precipitation (in terms of precipitation top height and intensity) with SST over the AS and BOB. Besides the SST, vertical structure can be modified by aerosols (or CCN, mostly at the cloud formation stage) and thermodynamics of the ambient atmosphere. For instance, recent studies have shown the impact of surface PM<sub>10</sub> aerosols in altering the vertical structure of precipitation (Guo et al., 2018). All these parameters, therefore, are considered in the present study to explain the differences in the vertical structure.

### 2. Data

The present study utilizes 16 years (1998-2013) of TRMM-PR's 2A25 (version 7) dataset, comprising of vertical profiles of attenuation corrected reflectivity (Iguchi et al. 2009), during the ISM. The range resolution of TRMM-PR reflectivity profiles is 250 m with a horizontal footprint size of  $\sim$ 4.3 and 5 km before and after the boosting of its orbit from 350 km to 403 km, respectively. It scans  $\pm$ 17° from nadir with a beam width of 0.71° covering a swath of 215 km (245 km after the boost). The uniqueness of TRMM-PR data is its ability in pigeonholing the precipitating systems into convective, stratiform and shallow rain. This classification is based on two methods namely the horizontal method (H - method) and the vertical method (V - method) (Awaka et al. 2009). The original TRMM-PR 2A25 vertical profiles of attenuation corrected reflectivity are gridded to a three dimensional Cartesian coordinate system with a spatial resolution of 0.05°  $\times$  0.05°. The detailed methodology of interpolating the TRMM-PR reflectivity data into the 3D Cartesian grid is discussed in Houze et al. (2007). This dataset is available at the University of Washington website (http://trmm.atmos.washington.edu/).

To understand the observed variations in the vertical structure of precipitation in the light of microphysics of clouds, Moderate Resolution Imaging Spectroradiometer (MODIS) AQUA satellite level 3 data (MYD08) are considered. In particular, the daily atmospheric products of aerosol optical depth (AOD) (Hubanks et al. 2008) and cloud effective radius (CER) liquid (Platnick et al. 2017) during the period 2003 and 2013 have been used. MODIS AOD dataset is a collection of aerosol optical properties at 550 nm wavelength, as well as particle size information. Level 2 MODIS AOD is derived from radiances using either one of the three different algorithms, i.e., over ocean Remer et al. (2005) algorithm, over land the Dark-Target (Levy et al. 2007) algorithm and for brighter land surfaces the Deep-Blue (Hsu et al. 2004) algorithm. CER is nothing but the weighted mean of the size distribution of cloud

drops i.e., the ratio of third moment to second moment of the drop size distribution. In the level 3 MODIS daily dataset, aerosol and cloud products of level 2 data pixels with valid retrievals within a calendar day are first aggregated and gridded to a daily average with a spatial resolution of  $1^{\circ} \times 1^{\circ}$ . For CER grid box values, CER values are weighted by the respective ice/liquid water cloud pixel counts for the spatiotemporal aggregation and averaging processes.

The background atmospheric structure (winds and total column water vapor) and SST information are taken from the European Centre for Medium Range Weather Forecasting (ECMWF) Interim Reanalysis (ERA) (Dee et al. 2011). ERA-Interim runs 4DVAR assimilation twice daily (00 and 12 UTC) to determine the most likely state of the atmosphere at a given time (analysis). The consistency across variables in space and time (during 12-hour intervals) is thus ensured by the atmospheric model and its error characteristics as specified in the assimilation. ERA-Interim is produced at T255 spectral resolution (about  $0.75^{\circ}$ , ~ 83 km) with a temporal resolution of 6h for upper air fields and 3h for surface fields. The original  $0.75^{\circ} \times 0.75^{\circ}$  spatial resolution gridded dataset is rescaled to a resolution of  $0.125^{\circ} \times 0.125^{\circ}$ . The temporal resolution of the dataset used in the present study is 6h (00, 06, 12 and 18 UTC). The equivalent potential temperature ( $\theta_e$ ) is estimated from the ERA-Interim datasets using the following formula (Wallace and Hobbs 2006):

$$\theta_e = \theta exp\left(\frac{L_V w_s}{C_p T}\right) \tag{1}$$

where  $\theta$  is the potential temperature,  $L_v$  is the latent heat of vaporization,  $w_s$  is the saturation mixing ratio,  $C_p$  is the specific heat at constant pressure and T is the absolute temperature.

The variation of vertical structure of precipitation with SST are studied by considering the dataset between 63 °E - 72 °E and 8 °N - 20 °N over the AS and 83 °E - 92 °E and 8 °N - 21 °N over the BOB. These regions of interest along with the ISM seasonal mean SST over

the two seas are depicted in Fig. 1. These regions are selected in such a way that the costal influence on SST is eluded from the analysis. As the rainfall is scanty over the western AS (west of 63°E latitude) during the ISM (Saikranthi et al. 2018), this region is also not considered in the present analysis. The seasonal mean SST is higher over the BOB than in the AS by more than 1 °C during the ISM season, in agreement with Shenoi et al. (2002). The nearest space and time matched SST data from ERA-Interim are assigned to the TRMM-PR and MODIS observations for further analysis.

# 3. Variation of vertical structure of precipitation with SST

The occurrence (in terms of %) of conditional precipitation echoes ( $Z \ge 17 \text{ dBZ}$ ) at different altitudes as a function of SST over the AS and the BOB is shown in Fig. 2. The variation of precipitation echo occurrence frequency with SST is quite different over both the seas. The top of the precipitation echoes extends to higher altitudes with increasing SST over the AS, while such variation is not quite evident over the BOB. Precipitation echoes are confined to < 8 km at lower SST ( $< 28 \,^{\circ}\text{C}$ ) over the AS, but exhibits a gradual rise in height with increase in SST. Large population density of precipitation echoes at lower altitudes is mainly due to the abundant occurrence of shallow storms over the AS (Saikranthi et al. 2014; Rao et al. 2016). Interestingly, the occurrence of precipitation echoes is seen at higher altitudes even at lower SSTs over the BOB, indicating the presence of deeper storms. Such systems exist at all SST's over the BOB.

To examine the variation of reflectivity profiles with SST, median profiles of reflectivity in each SST bin are computed over the AS and the BOB separately for deep and shallow systems and are depicted in Figs. 3 & 4, respectively. The space- and time-matched conditional reflectivity profiles are grouped into 1°C SST bins and then the median is estimated at each height, only if the number of conditional reflectivity pixels (Figs. 3c; 3f; 4c & 4f) is greater than 500. The median reflectivity profiles corresponding to the deep systems

are distinctly different over the AS and the BOB (Figs. 3a & 3d), even at the same SST. Over the AS, reflectivity of deep systems at different SSTs shows small variations ( $\leq$  1 dBZ) above the melting region (> 5 km), but varies significantly (~ 4.5 dBZ) below the melting level (< 5 km). These variations in reflectivity profiles with SST are negligible (< 0.5 dBZ) over the BOB both above and below the melting region. The reflectivity increases from ~ 26.5 dBZ to ~ 31 dBZ, with increase in SST from 26 °C to 30 °C over the AS, but it is almost the same (~ 30dBZ) at all SST's over the BOB below the melting layer. The standard deviation of reflectivity, representing the variability in reflectivity within the SST bin, is similar at all SSTs over both the seas except for the 26 °C SST over AS. At this SST, the standard deviation is lesser by ~ 1 dBZ than that of other SSTs.

The median reflectivity profiles of shallow storms depicted in Figs. 4a & 4d also show a gradual increase in reflectivity from 20 dBZ to ~ 22 dBZ as SST changes from 26 °C to 31 °C at the precipitation top altitude over the AS and don't show any variation with SST over the BOB. However at 1 km altitude, except at 26 °C SST over the AS, the reflectivity variation with SST is not substantial over both the seas. The standard deviation of reflectivity profiles show ~ 1 dBZ variation with SST (from 26 °C to 31 °C) at all altitudes over the AS and don't show any variation over the BOB. The standard deviation of reflectivity for shallow storms varies from 3 to 4 dBZ at the precipitation top altitude and 4.5 to 5.3 dBZ at 1 km altitude over the AS while it shows ~ 4 dBZ at precipitation top and ~ 5.5 dBZ at 1 km altitude over the BOB.

# **4. Factors affecting the vertical structure of precipitation and their variability with SST**The formation and evolution of precipitating systems over oceans depend on dynamical, thermodynamical and microphysical factors, like SST, wind shear, vertical wind velocity, stability, CER, etc., and need to be considered for understanding the vertical structure of

precipitation (Li and Min 2010; reamean et al. 2013; Chen et al. 2015; Shige and Kummerov 2016; Guo et al 2018).

## 4.1. Dynamical and thermodynamical factors:

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

225

226

227

228

229

230

231

232

233

234

To understand the role of stability/instability,  $\theta_e$  values computed from (1) using the ERA-Interim datasets during the ISM period over the AS and the BOB are averaged for a season and are depicted in Figs. 5(a) & 5(b), respectively. The surface  $\theta_e$  (at 1000 hPa) values are larger over the BOB than those over AS for the same SST, indicating that the instability and convective available potential energy (CAPE) could be higher over the BOB. Indeed, higher CAPE is seen over the BOB (Fig. S1, calculated following Emanuel 1994) than AS at all SSTs by a magnitude > 300 J kg<sup>-1</sup>. The  $\theta_e$  increases with SST from 358 °K to 368 °K from 27 °C to 31 °C and from 350 °K to 363 °K from 26 °C to 31 °C over the BOB and the AS, respectively. The CAPE also increases with rise in SST over both the seas. To know the stability of the atmosphere  $\theta_e$  gradients are considered. Irrespective of SST, positive gradients in  $\theta_e$  are observed between 900 and 800 hPa levels over the AS indicating the presence of strong stable layers. The strength of these stable layers decreases with increasing SST. These stable layers are formed mainly due to the flow of continental dry warm air from Arabian Desert and Africa above the maritime air causing temperature inversions below 750 hPa level over the AS during the ISM period (Narayanan and Rao 1981). However over the BOB, such temperature inversions are not seen in the lower troposphere.

To understand the effect of wind field on the vertical structure of precipitation, profiles of ISM seasonal mean vertical wind velocity and vertical shear in horizontal wind at various SSTs over the AS and the BOB are shown in Figs. 5(c), 5(d) & 5(e), 5(f) respectively. The updrafts are prevalent at all SSTs throughout the troposphere over the BOB, whereas downdrafts are seen in the mid-troposphere (between 200 and 600 hPa levels) up to 27 °C and updrafts in the entire troposphere at higher SSTs over the AS. Also, the magnitude

of the vertical wind velocity varies significantly with SST in the mid-troposphere over the AS. Over the BOB, the magnitude of updrafts increases with altitude in the lower and middle troposphere, but doesn't vary much with SST. In the mid-troposphere, updrafts are stronger by > 0.02 Pa S<sup>-1</sup> over the BOB than over the AS. The profiles shown in Fig. 5(e) & 5(f) are the mean vertical shear in horizontal wind estimated following Chen et al. (2015) at different levels with reference to 950 hPa level. The wind shear increases with increasing altitude at all the SSTs up to 400 hPa, but the rate of increase is distinctly different between the AS and the BOB at SSTs less than 28 °C and nearly the same at higher SSTs. The wind shear decreases systematically with SST (~ 1.5 m s<sup>-1</sup> for 1° increase in SST) in the middle troposphere over the AS while the change is minimal over the BOB (~ 2 m s<sup>-1</sup> for 27 °C and 31 °C).

Chen et al. (2015) highlighted the importance of mid-tropospheric wind shear in generating mesoscale local circulations, like low-level cyclonic and upper-level anticyclonic circulations. This feature is apparent over the AS, where down drafts are prevalent in mid-upper troposphere and updrafts in the lower troposphere at lower SSTs. As SST increases, the wind shear decreases and the updraft increases in the mid-troposphere. However, over the BOB the wind shear is relatively week when compared to the AS and hence the updrafts are seen up to 200 hPa level at all SSTs. The weaker CAPE and stable mid-troposphere coupled with upper- to mid- tropospheric downdrafts at lower SSTs over the AS inhibit the growth of precipitating systems to higher altitudes and in turn precipitate in the form of shallow rain. This result is in accordance with the findings of Shige and Kummerow (2016) that showed the static stability at lower levels inhibits the growth of clouds and promotes the detrainment of clouds over the Asian monsoon region and is considered as an important parameter in determining the precipitation top height. As SST increases large CAPE and updrafts in the middle troposphere collectively support the precipitating systems to grow to higher altitudes, as evidenced in Fig. 2a. On the other hand, large CAPE and updrafts in the middle

troposphere prevalent over the BOB at all SSTs are conducive for the precipitating systems to grow to higher altitudes as seen in Fig. 2b.

# 4.2. Microphysical factors

The observed differences in reflectivity profiles of precipitation with SST could be originated at the cloud formation stage itself or manifested during the evolution stage or due to both. Information on AOD and CER would be ideal to infer microphysical processes at the cloud formation stage. CER values are mainly controlled by the ambient aerosols concentration and the available moisture (Twomey 1977; Albrecht 1989; Tao et al. 2012; and Rosenfeld et al. 2014). For fixed liquid water content, as the concentration of aerosols increases, the number of cloud drops increases and CER decreases (Twomey 1977). To understand the variation of AOD and TCWV and the resultant CER with SST, the mean AOD and TCWV for different SST bins are plotted in Figs. 6a & 6b. The mean and standard error are calculated only when the number of data points is more than 100 in each SST bin. AOD decreases from 0.62 to 0.31 with rise in SST from 26 °C to 31 °C over the AS but only from 0.42 to 0.36 as SST varies from 27 °C to 30 °C and then increases at higher SSTs over the BOB. The variation of TCWV with SST (Fig. 6b) shows a gradual increase with SST over the AS while it decreases initially from 27 °C to 28 °C, and then increases over the BOB. At a given SST the TCWV is more in the BOB (> 8 mm) than in the AS.

The decrease in AOD and an increase in TCWV with SST result in an increase in CER (14.7  $\mu$ m to 20.8  $\mu$ m from 26°C to 31°C) over the AS (Fig. 7). On the other hand, CER doesn't show much variation with SST (18.5  $\mu$ m to 19.5  $\mu$ m from 27°C to 31°C) over BOB due to smaller variations in AOD and TCWV. This also shows that the cloud droplets are smaller in size at lower SSTs over the AS than BOB, while they are bigger and nearly equal in size at higher SSTs. Since, reflectivity is more sensitive to the particle size (Z  $\propto$  D<sup>6</sup>), the smaller-sized hydrometeors at lower SSTs over the AS yield weaker reflectivity than over the

BOB (both for deep and shallow systems). As the SST increases, CER as well as the reflectivity increases over the AS. At higher SSTs, the CER values are approximately equal over both the seas and in turn the observed reflectivities (Figs. 3a & 4a). This suggests that the variations seen in the reflectivity are originated in the cloud formation stage itself.

285

286

287

288

289

290

291

292

293

294

295

296

297

298

299

300

301

302

303

304

305

306

307

308

309

The hydrometeors also evolve during their descent to the ground due to several microphysical processes. These processes can be inferred from the vertical structure of precipitation or vertical profiles of reflectivity. The median reflectivity profiles of deep systems show a gradual increase from ~ 10 km to 6 km and an abrupt enhancement is seen just below 6 km over both the seas (Figs. 3a & 3d). The sudden enhancement at the freezing level (radar bright band) is primarily due to the aggregation of hydrometeors and change in dielectric factor from ice to water (Fabry and Zawadzki 1995; Rao et al. 2008; Cao et al. 2013). Below the bright band, raindrops grow by collision-coalescence process and reduce their size by either breakup and/or evaporation processes. The collision-coalescence results in negative slope in the reflectivity profile, whereas breakup and evaporation results in positive slope (Liu and Zipser 2013; Cao et al. 2013; Saikranthi et al. 2014; Rao et al. 2016). The observed negative slope (~ - 0.3 dBZ km<sup>-1</sup>) in the median reflectivity profiles below the bright band indicates dominance of low-level hydrometeor growth over both the seas. The magnitude of the slope decreases with SST over the AS, while it is nearly equal at all SSTs over the BOB. It indicates the growth rate decreases with SST over the AS and remains the same at all SSTs over the BOB. The median reflectivity profiles of shallow systems also show negative slopes (~ -1 dBZ km<sup>-1</sup>) at all SSTs representing the predominance of low-level hydrometeor growth by collision-coalescence processes over both the seas.

The present analysis shows that the observed reflectivity changes with SST over both the seas originate at the cloud formation stage and magnify further during the descent of hydrometeors to ground.

### **5. Conclusions**

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333

334

Sixteen years of TRMM-PR 2A25 reflectivity profiles and 11 years of MODIS AOD and CER data are utilized to understand the differences in variation of vertical structure of precipitation with SST over AS and BOB. Precipitation top height increases with SST over the AS indicating that systems grow to higher altitudes with increase in SST while it is almost same at all SSTs representing that the systems are deeper over the BOB. The decrease in stability and mid-tropospheric wind shear with SST over the AS favour the formation of deeper system at higher systems. However the low stability and small wind shear at all SSTs over the BOB help the formation of deeper systems. The variation of reflectivity with SST is found to be remarkable over the AS and marginal over the BOB. The reflectivity increases with rise in SST over the AS and remains the same at all SSTs over the BOB. This change in reflectivity over the AS is more prominent below the freezing level height (~ 4 dBZ) than the above (~ 1 dBZ). Over the AS, the abundance of aerosols and less moisture at SSTs < 27°C result in high concentration of smaller cloud droplets. As SST increases the aerosol concentration decreases and moisture increases leading to the formation of bigger cloud droplets. Thus, the reflectivity increases with rise in SST over the AS. On the other hand, AOD, TCWV and CER do not show substantial variation with SST over the BOB and hence the change in reflectivity is small. Over the BOB, the mid troposphere is wet and hydrometeor's size at the formation stage is nearly the same at all SSTs. The evolution of hydrometeors during their descent is also similar at all SST's. The collision-coalescence process is predominant below the bright band region over both the seas and is responsible for the observed negative slope in the reflectivity profiles.

## **Author contributions**

Kadiri Saikranthi conceived the idea. Kadiri Saikranthi and Basivi Radhakrishna designed the analysis, plotted the figures and wrote the manuscript. Thota Narayana Rao and Sreedharan

Krishnakumari Satheesh contributed in discussions and also in writing the manuscript.

# Acknowledgements

335

336

337

338

339

340

341

342

343

344

345

The authors would like to thank Prof. Robert Houze and his team for the interpolated 3D gridded TRMM-PR dataset (http://trmm.atmos.washington.edu), ECMWF (http://dataportal.ecmwf.int/) team for providing the **ERA-Interim** dataset and **MODIS** (https://ladsweb.modaps.eosdis.nasa.gov/) science team for providing the AOD and CER dataset. The authors express their gratitude to Prof. J. Srinivasan for his fruitful discussions and valuable suggestions in improving the quality of the manuscript. The corresponding author would like to thank Department of Science & Technology (DST), India for providing the financial support through the reference number DST/INSPIRE/04/2017/001185. We thank the two referees for their critical comments in improving the quality of the manuscript.

346 References

- Albrecht, B.A.: Aerosols, cloud microphysics, and fractional cloudiness, *Science*, 245, 1227–348 1230, 1989.
- Awaka, J., Iguchi, T., and Okamoto, K.: TRMM PR standard algorithm 2A23 and its performance on bright band detection, *J. Meteorol. Soc. Jpn.*, 87A, 31–52, 2009.
- Bhat, G. S., Gadgil, S., Kumar, P. V. H., Kalsi, S. R., Madhusoodanan, P., Murty, V. S., Rao,
- C. V. P., Babu, V. R., Rao, L.V., Rao, R. R., Ravichandran, M., Reddy, K.G., Rao, P.
- S., Sengupta, D., Sikka, D. R., Swain, J., and Vinayachandran, P. N.: BOBMEX: The
- Bay of Bengal Monsoon Experiment, *Bull. Amer. Meteor. Soc.*, 82, 2217–2244, 2001.
- Cao, Q., Hong, Y., Gourley, J. J., Qi, Y., Zhang, J., Wen, Y., and Kirstetter, P. E.: Statistical
- and physical analysis of the vertical structure of precipitation in the mountainous west
- region of the United States using 11+ years of space borne observations from TRMM
- precipitation radar, J. Appl. Meteorol. Climatol., 52, 408-424, 2013.

- 359 Chaudhari, H. S., Pokhrel, S., Kulkani, A., Hazra, A., and Saha, S. K.: Clouds-SST
- 360 relationship and interannual variability modes of Indian summer monsoon in the
- context of clouds and SSTs: observational and modelling aspects, *Int. J. Climatol.*, doi:
- 362 10.1002/ joc.4664, 2016.
- 363 Chaudhari, H. S., Pokhrel, S., Mohanty, S., and Saha, S. K.: Seasonal prediction of Indian
- summer monsoon in NCEP coupled and uncoupled model, *Theor. Appl. Climatol.*, 114,
- 365 459–477, doi:10.1007/s00704-013-0854-8, 2013.
- 366 Chen, Q., Fan, J., Hagos, S., Gustafson Jr., W. I., and Berg, L. K.: Roles of wind shear at
- different vertical levels: Cloud system organization and properties, J. Geophys. Res.
- 368 Atmos., 120, 6551–6574, 2015.
- Creamean, J. M., Suski, K. J., Rosenfeld, D., Cazorla, A., DeMott, P. J., Sullivan, R. C.,
- White, A. B., Ralph, F. M., Minnis, P., Comstock, J. M., Tomlinson, J. M., Kimberly
- A., and Prather, K. A.: Dust and biological aerosols from the Sahara and Asia influence
- precipitation in the western U.S., Science, 339, 1572–1578,
- 373 doi:10.1126/science.1227279, 2013.
- Dee, D. P., et al.: The ERA-Interim reanalysis: Configuration and performance of the data
- assimilation system, Q. J. R. Meteorol. Soc., 137, 553–597, 2011.
- Emanuel, K. A.: Atmospheric convection. Oxford University Press, Oxford, 1994.
- 377 Fabry, F., and Zawadzki, I.: Long-term radar observations of the melting layer of
- precipitation and their interpretation, *J. Atmos. Sci.*, 52, 838–851, 1995.
- Feng, X., Haines, K., Liu, C., de Boisséson, E., and Polo, I., Improved SST-precipitation
- intraseasonal relationships in the ECMWF coupled climate reanalysis, *Geophys. Res.*
- 381 *Lett.*, 45, 3664–3672, 2018.
- Findlater, J.: A major low-level air current near the Indian Ocean during the northern
- summer, Q. J. R. Meteorol. Soc., 95, 362–380, 1969.

- Fu, Y., and Liu, G.: The variability of tropical precipitation profiles and its impact on
- microwave brightness temperatures as inferred from TRMM data, J. Appl. Meteorol.,
- 386 40, 2130–2143, 2001.
- 387 Gadgil, S., Joseph, P. V., and Joshi, N. V.: Ocean atmosphere coupling over monsoonal
- 388 regions, *Nature*, 312, 141-143, 1984.
- Gadgil, S.: Monsoon–ocean coupling. *Current Sci.*, 78, 309–323, 2000.
- 390 Geerts, B., and Dejene, T.: Regional and diurnal variability of the vertical structure of
- precipitation systems in Africa based on space borne radar data, *J. Clim.*, 18, 893–916,
- 392 2005.
- Guo, J., Liu, H., Li, Z., Rosenfeld, D., Jiang, M., Xu, W., Jiang, J. H., He, J., Chen, D., Min,
- M., and Zhai, P.: Aerosol-induced changes in the vertical structure of precipitation: a
- perspective of TRMM precipitation radar, Atmos. Chem. Phys., 18, 13329-13343,
- 396 https://doi.org/10.5194/acp-18-13329-2018, 2018.
- Houze, R. A., and Churchill, D. D.: Mesoscale organization and cloud microphysics in a Bay
- 398 of Bengal depression, *J. Atmos. Sci.*, 44, 1845–1867, 1987.
- Houze, R. A., Rasmussen, K. L., Zuluaga, M. D., and Brodzik, S. R.: The variable nature of
- 400 convection in the tropics and subtropics: A legacy of 16 years of the Tropical rainfall
- measuring mission satellite, Rev. Geophys., 53, 994–1021, 2015.
- Houze, R. A., Wilton, D. C., and Smull, B. F.: Monsoon convection in the Himalayan region
- as seen by the TRMM precipitation radar, Q. J. R. Meteorol. Soc., 133, 1389-1411,
- 404 2007.
- 405 Houze, R. A.: Mesoscale convective systems, Rev. Geophys., 42, RG4003, doi:
- 406 10.1029/2004RG000150, 2004.
- Hsu, N., Tsay, S., King, M., and Herman, J.: Aerosol properties over bright-reflecting source
- regions, Geosci. Remote Sens. IEEE Trans., 42, 557–569, 2004.

- Hubanks, P., King, M., Platnick, S., and Pincus, R.: MODIS atmosphere L3 gridded product
- algorithm theoretical basis document collection 005 Version 1.1, Tech. Rep. ATBD-
- 411 MOD-30, NASA, 2008.
- 412 Iguchi, T., Kozu, T., Kwiatkowski, J., Meneghini, R., Awaka, J., and Okamoto, K.:
- 413 Uncertainties in the rain profiling algorithm for the TRMM precipitation radar, J.
- 414 *Meteor. Soc. Japan*, 87A, 1–30, doi:10.2151/jmsj.87A.1, 2009.
- 415 Krishnamurti, T. N.: Summer monsoon experiment A review. Mon. Wea. Rev., 113, 1590-
- 416 1626, 1985.
- 417 Krishnamurti, T.: Cooling of the Arabian Sea and the onset-vortex during 1979. Recent
- progress in equatorial oceanography: A report of the final meeting of SCOR
- WORKING GROUP 47 in Venice, Italy, 1-12, 1981. [Available from Nova Univ.,
- Ocean Science Center, Dania, FL 33004].
- 421 Levy, R., Remer, L., Mattoo, S., Vermote, E., and Kaufman, Y.: Second-generation
- operational algorithm: Retrieval of aerosol properties over land from inversion of
- moderate resolution imaging spectroradiometer spectral reflectance, J. Geophys. Res.,
- 424 112, D13, doi:10.1029/2006JD007811, 2007.
- Li, R., and Min, Q.-L.: Impacts of mineral dust on the vertical structure of precipitation. J.
- 426 Geophys. Res., 115, D09203, doi:10.1029/2009JD011925, 2010.
- 427 Liu, C., Zipser, E., and Nesbitt, S. W.: Global distribution of tropical deep convection:
- Different perspectives using infrared and radar as the primary data source, *J. Climate*,
- 429 20, 489-503, 2007.
- Liu. C., and Zipser, E. J.: Why does radar reflectivity tend to increase downward toward the
- ocean surface, but decrease downward toward the land surface?, J. Geophys. Res.
- 432 Atmos., 118, 135-148, doi: 10.1029/2012JD018134, 2013.

- 433 Meenu, S., Parameswaran, K., and Rajeev, K.: Role of sea surface temperature and wind
- convergence in regulating convection over the tropical Indian Ocean, *J. Geophys. Res.*
- 435 Atmos., 117, D14102, 2012.
- Nair, A. K. M., and Rajeev, K.: Multiyear CloudSat and CALIPSO observations of the
- dependence of cloud vertical distribution on sea surface temperature and tropospheric
- dynamics, *J. Clim.*, 27, 672–683, doi:10.1175/JCLI-D-13-00062.1, 2014.
- Narayanan, M. S., and Rao, B. M.: Detection of monsoon inversion by TIROS-N satellite,
- 440 Nature, 294, 546-548, 1981.
- Nuijens, L., Emanuel, K., Masunaga, H., and L'Ecuyer, T.: Implications of warm rain in
- shallow cumulus and congestus clouds for large-scale circulations, Surv. Geophys., 38,
- 443 1257-1282, 2017.
- Oueslati, B., and Bellon, G.: The double ITCZ bias in CMIP5 models: interaction between
- SST, large-scale circulation and precipitation. *Clim. Dyn.*, 44, 585-607, 2015.
- 446 Platnick, S., et al.: The MODIS cloud optical and microphysical products: Collection 6
- 447 updates and examples from Terra and Aqua, IEEE Trans. Geosci. Remote Sens., 55,
- 448 502–525, doi:10.1109/TGRS.2016.2610522, 2017.
- Rajeevan, M., Unnikrishnan, C. K., and Preethi, B.: Evaluation of the ENSEMBLES multi-
- 450 model seasonal forecasts of Indian summer monsoon variability, Clim. Dyn., 38, 2257–
- 451 2274, 2012.
- 452 Rajendran, K., Nanjundiah, R. S., Gadgil, S., and Srinivasan, J.: How good are the
- simulations of tropical SST-rainfall relationship by IPCC AR4 atmospheric and
- 454 coupled models?, *J. Earth Sys. Sci.*, 121(3), 595–610, 2012.
- 455 Rao, T. N., Kirankumar, N. V. P., Radhakrishna, B., Rao, D. N., and Nakamura, K.:
- 456 Classification of tropical precipitating systems using wind profiler spectral moments.

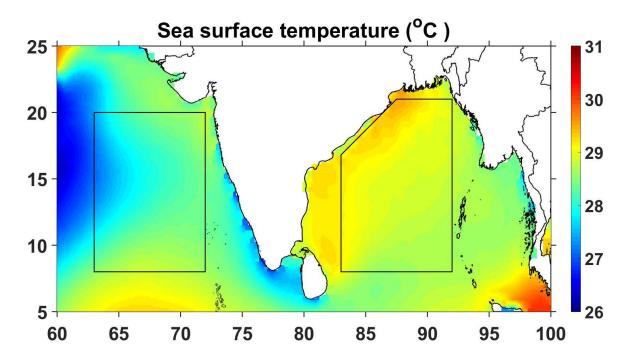
- Part I: Algorithm description and validation, *J. Atmos. Oceanic Technol.*, 25, 884–897,
- 458 2008.
- 459 Rao, T. N., Saikranthi, K., Radhakrisna, B., and Rao, S. V. B.: Differences in the
- climataological characteristics of precipitation between active and break spells of the
- 461 Indian summer monsoon, *J. Clim.*, 29, 7797-7814, 2016.
- Remer, L., Kaufman, Y., Tanr'e, D., Mattoo, S., Chu, D., Martins, J., Li, R., Ichoku, C.,
- Levy, R., Kleidman, R., Eck, T., Vermote, E., and Holben, B.: The MODIS aerosol
- algorithm, products, and validation, *J. Atmos. Sci.*, 62, 947–973, 2005.
- Romatschke, U., Medina, S., and Houze, R. A.: Regional, seasonal, and diurnal variations of
- extreme convection in the South Asian region, J. Clim., 23, 419 –439, 2010.
- Rosenfeld, D., et al.: Global observations of aerosol-cloud-precipitation-climate interactions,
- 468 Rev. Geophys., 52, 750-808, doi:10.1002/2013RG000441, 2014.
- 469 Roxy, M., Tanimoto, Y., Preethi, B., Terray, P., and Krishnan, R.: Intraseasonal SST-
- 470 precipitation relationship and its spatial variability over the tropical summer monsoon
- 471 region, Clim. Dyn., 41, 45-61, 2013.
- Roxy, M.: Sensitivity of precipitation to sea surface temperature over the tropical summer
- monsoon region—and its quantification, *Clim. Dyn.*, 43, 1159-1169, 2014.
- Sabin, T., Babu, C., and Joseph, P.: SST-convection relation over tropical oceans, Int. J.
- 475 *Climatol.* 33, 1424–1435, 2012.
- Saikranthi, K., Radhakrishna, B., Satheesh, S. K., and Rao, T. N.: Spatial variation of
- different rain systems during El Niño and La Niña periods over India and adjoining
- ocean, Clim. Dyn., 50, 3671-3685, doi: 10.1007/s00382-017-3833-4, 2018.
- Saikranthi, K., Rao, T. N., Radhakrishna, B., and Rao, S. V. B.: Morphology of the vertical
- 480 structure of precipitation over India and adjoining oceans based on long-term

- measurements of TRMMPR, J. Geophys. Res. Atmos., 119, 8433-8449, doi:
- 482 10.1002/2014JD021774, 2014.
- Sathiyamoorthy, V., Mahesh, C., Gopalan, K., Prakash, S., Shukla, B. P., Mathur, A.:
- Characteristics of low clouds over the Arabian Sea, J. Geophys. Res. Atmos., 118,
- 485 13489-13503, 2013.
- 486 Schumacher, C. and Houze, R. A.: Stratiform rain in the tropics as seen by the TRMM
- 487 precipitation radar, *J. Climate.*, 16, 1739–1756, 2003.
- Sengupta, D., Goswami, B. N., and Senan, R.: Coherent intraseasonal oscillations of ocean
- and atmosphere during the Asian summer monsoon, Geophys. Res. Lett., 28, 4127-
- 490 4130, 2001.
- Shenoi, S. S. C., Shankar, D., and Shetye, S. R.: Differences in heat budgets of the near-
- surface Arabian Sea and Bay of Bengal: Implications for the summer monsoon, J.
- 493 *Geophys. Res.*, 107(C6), 3052, doi:10.1029/2000JC000679, 2002.
- Shige, S. and Kummerow, C.D.: Precipitation-Top Heights of Heavy Orographic Rainfall in
- the Asian Monsoon Region, *J. Atmos. Sci.*, 73, 3009–3024, 2016.
- Sunilkumar, K., Rao, T. N., Saikranthi, K., and Rao, M. P.: comprehensive evaluation of
- multisatellite precipitation estimates over India using gridded rainfall data, *J. Geophys*.
- 498 Res. Atmos., 120, doi:10.1002/2015JD023437, 2015.
- Takayabu, Y. N., Shige, S., Tao, W., and Hirota, N.: Shallow and deep latent heating modes
- over tropical Oceans observed with TRMM PR spectral latent heating Data, J. Climate,
- 501 23, 2030–2046, 2010.
- Tao, W.-K., Chen, J.-P., Li, Z., Wang, C., and Zhang, C.: Impact of aerosols on convective
- clouds and precipitation, Rev. Geophys., 50, RG2001, doi:10.1029/2011RG000369,
- 504 2012.

505	Tao, WK., et al.: Retrieval of latent heating from TRMM measurements, Bull. Am.
506	Meteorol. Soc., 87, 1555–1572, 2006.
507	Twomey, S.: The influence of pollution on the short wave albedo of clouds, J. Atmos. Sci.,
508	34, 1149–1152, 1977.
509	Wallace, J. M., and Hobbs, P. V.: Atmospheric science: An introductory survey, Second
510	edition, Academic press, pp. 85, 2006.
511	Wang, B., Ding, Q., Fu, X., Kang, IS., Jin, K., Shukla, J., and Doblas-Reyes, F.:
512	Fundamental challenge in simulation and prediction of summer monsoon rainfall,
513	Geophys. Res. Lett., 32, L15711, doi:10.1029/2005GL022734, 2005.
514	Weller, R. A., Farrar, J. T., Buckley, J., Mathew, S., Venkatesan, R., Lekha, J. S., Chaudhuri,
515	D., Kumar, N. S., and Kumar, B. P.: Air-sea interaction in the Bay of Bengal,
516	Oceanography, 29(2), 28–37, 2016.
517	Woolnough, S.J., Slingo, J.M., and Hoskins, B.J.: The relationship between convection and
518	sea surface temperature on intraseasonal timescales, J. Climate, 13, 2086–2104, 2000.
519	Wu, R., and Kirtman, B. P.: Roles of Indian and Pacific Ocean air-sea coupling in tropical
520	atmospheric variability, Clim. Dyn., 25(2-3), 155-170, 2005.
521	
522	
523	
524	
525	
526	
527	
528	
529	

530	Figure captions
531	Figure 1: Spatial distribution of ISM mean SST (in °C) obtained from ERA-Interim
532	reanalysis data over the AS (63°E-72°E & 8°N-20°N) and the BOB (83°E-92°E &
533	8°N-21°N). The regions considered in this analysis over these two seas are shown
534	with the boxes.
535	Figure 2: (a) and (b) represent the altitudinal distribution of occurrence of conditional
536	reflectivity (≥ 17 dBZ) as a function of SST with respect to precipitation occurrence at
537	that particular SST interval over the AS and the BOB, respectively.
538	Figure 3: (a), (d) and (b), (e) represent vertical profiles of median reflectivity correspond to
539	deep systems and their standard deviation (in dBZ) with SST over the AS and the
540	BOB, respectively during the ISM season. (c) and (f) show the number of conditional
541	reflectivity pixels at each altitude used for the estimation of the median and standard
542	deviation.
543	<b>Figure 4:</b> Same as Fig. 3 but for shallow precipitating systems.
544	<b>Figure 5:</b> (a) and (b), respectively, represent the vertical profiles of mean $\theta_e$ (in K) with SST
545	over the AS and the BOB during the ISM season. (c) and (d) and (e) and (f) are same
546	as (a) and (b) but for mean vertical velocity (in Pa s <sup>-1</sup> ) and wind gradient with
547	reference to 950 hPa level (in m s <sup>-1</sup> ).
548	Figure 6: (a) Mean and standard error of AOD and (b) TCWV (in mm) with SST over the
549	AS and the BOB during ISM.
550	<b>Figure 7:</b> Variation of mean and standard error of CER liquid (in μm) with SST over the AS
551	and the BOB during the ISM season.
552	
553	
554 555	

556 Figures



**Figure 1:** Spatial distribution of ISM mean SST (in °C) obtained from ERA-Interim reanalysis data over the AS (63°E-72°E & 8°N-20°N) and the BOB (83°E-92°E & 8°N-21°N). The regions considered in this analysis over these two seas are shown with the boxes.

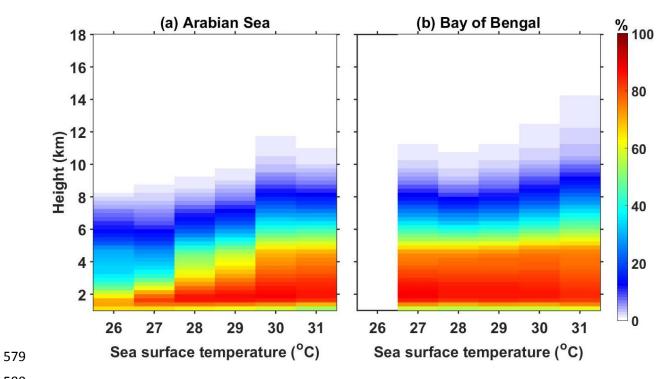
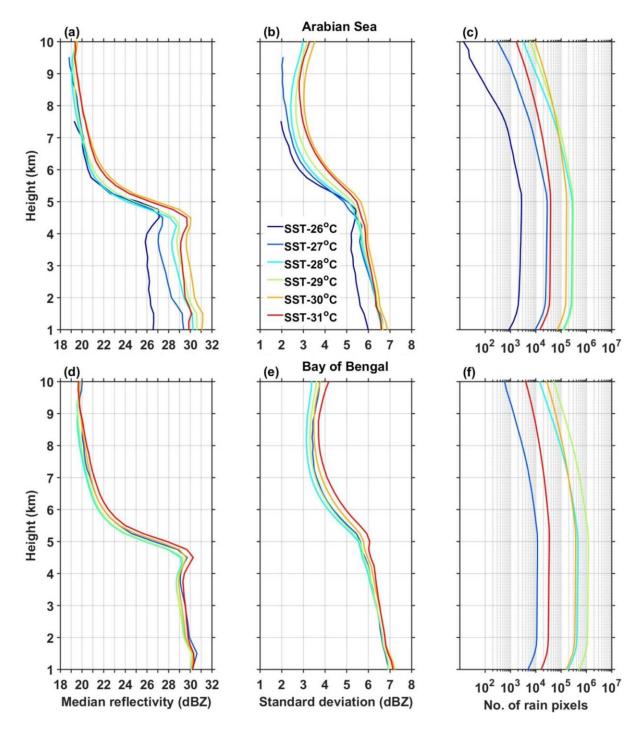
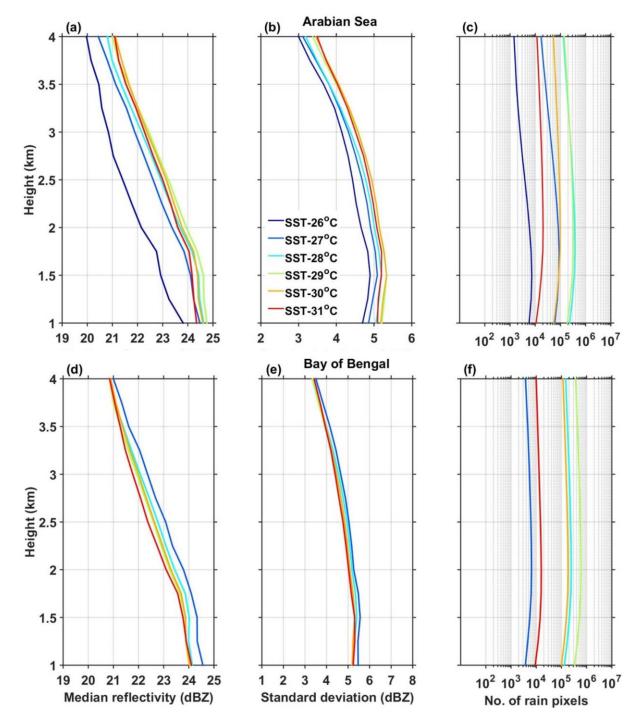


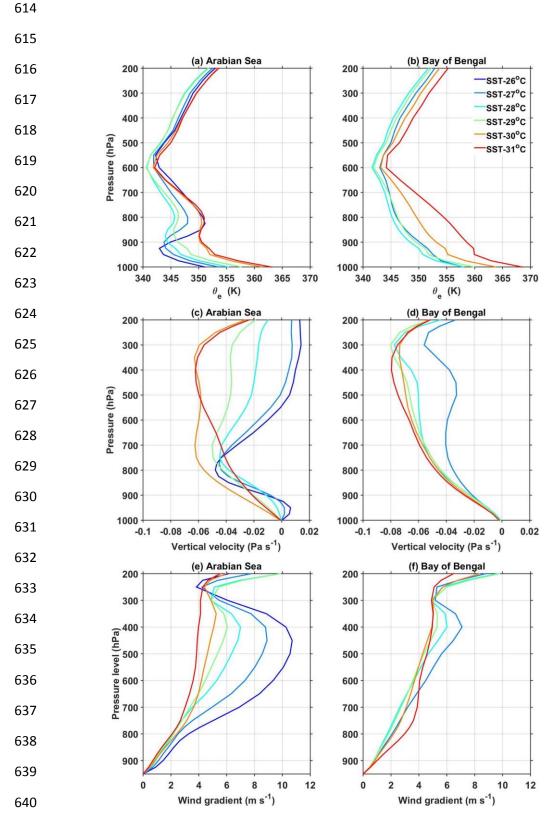
Figure 2: (a) and (b) represent the altitudinal distribution of occurrence of conditional reflectivity (≥ 17 dBZ) as a function of SST with respect to precipitation occurrence at that particular SST interval over the AS and the BOB, respectively.



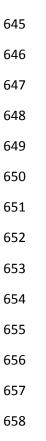
**Figure 3:** (a), (d) and (b), (e) represent vertical profiles of median reflectivity correspond to deep systems and their standard deviation (in dBZ) with SST over the AS and the BOB, respectively during the ISM season. (c) and (f) show the number of conditional reflectivity pixels at each altitude used for the estimation of the median and standard deviation.

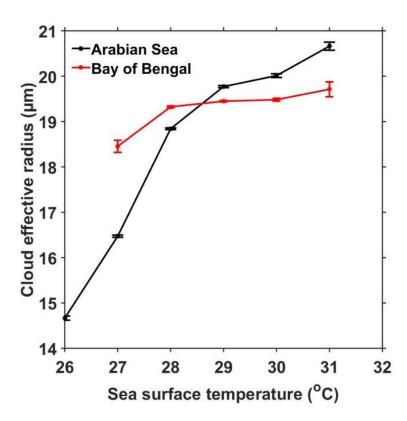


**Figure 4:** Same as Fig. 3 but for shallow precipitating systems.

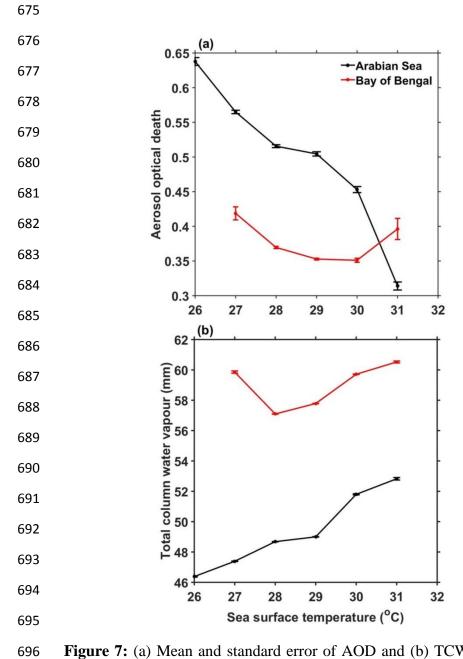


**Figure 5:** (a) and (b), respectively, represent the vertical profiles of mean  $\theta_e$  (in K) with SST over the AS and the BOB during the ISM season. (c) and (d) and (e) and (f) are same as (a) and (b) but for mean vertical velocity (in Pa s<sup>-1</sup>) and wind gradient with reference to 950 hPa level (in m s<sup>-1</sup>).





**Figure 6:** Variation of mean and standard error of CER liquid (in  $\mu$ m) with SST over the AS and the BOB during the ISM season.



**Figure 7:** (a) Mean and standard error of AOD and (b) TCWV (in mm) with SST over the AS and the BOB during ISM.

## **Supplementary material**

Satheesh et al. (2006) showed an increase in AOD with increase in latitude over the AS due to the dust advection from Arabia desert regions during ISM season, whereas SST decreases with increase in the latitude. In other words the SST is low and AOD is high in northern AS whereas over the southern AS, SST is high and AOD is low. This contrasting spatial distribution of AOD and SST could cause a negative correlation between AOD and SST as depicted in Fig. 6a. To examine whether the observed decrease in AOD with increase in SST over the AS is due to the latitudinal variation of AOD or exists at all latitudes, we have segregated the data into 2° latitude bins and plotted the mean AOD with SST for all bins and is depicted in Fig. S2. In spite of the magnitude, AOD variation with SST is nearly similar at all latitudes of the AS, i.e., the higher AOD is observed at lower SSTs and vice versa (Fig. S2a). On the other hand the latitudinal variation of AOD with SST over the BOB shown in Fig. S2b also show a decrease in AOD with SST till 30 °C but the magnitude of variation is trivial relative to the AS. Also, as depicted in Fig. 6a AOD increases above 30 °C with SST over the BOB. This indicates that though there is a difference in magnitude of variation, AOD varies with SST over both the seas at all latitudes. This analysis is repeated using the multi-angle imaging spectroradiometer (MISR) dataset (which is not shown here) for small, medium large aerosol particles. Interestingly all three types also show a decrease in AOD with rise in SST over both the seas.

725

726

727

728

706

707

708

709

710

711

712

713

714

715

716

717

718

719

720

721

722

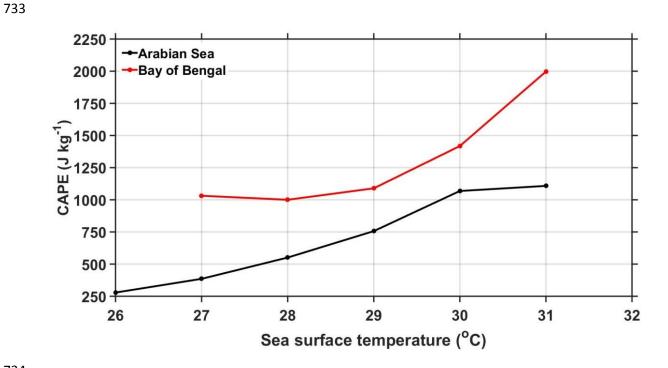
723

724

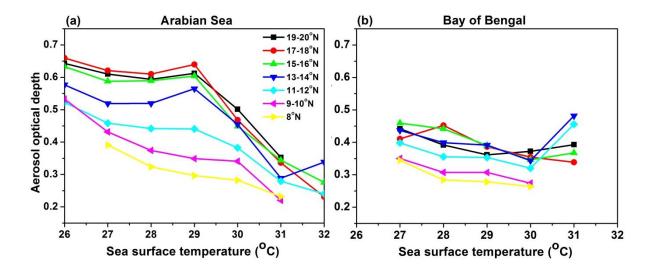
Satheesh, S. K., Moorthy, K. K., Kaufman, Y. J., and Takemura, T.: Aerosol Optical depth, physical properties and radiative forcing over the Arabian Sea, *Meteorol. Atmos. Phys.*, 91, 45–62, doi:10.1007/s00703-004-0097-4, 2006.

729

730



**Figure S1:** Variation of mean CAPE (in J kg<sup>-1</sup>) with SST over the AS and the BOB during the ISM season.



**Figure S2:** (a) and (b), respectively, represent latitudinal variation (for every 2° latitude interval) of mean AOD over the AS (between 63°E and 72°E) and the BOB (between 83°E and 92°E).