Response to the comments of reviewer #1,

We are thankful to the reviewer, whose comments helped us to improve the paper. We have revised the paper according the remarks, and hope that we sufficiently responded to each concern. In the following the reviewer's concerns are repeated, and our respective responses is added in italics.

1. I am puzzled that there is such a strong heat transport over Greenland in many of the clusters (most pronounced in the cluster 2.2, 3.1 and 3.2). The transport clearly seems to increase there. I would expect the opposite since the vertical integral of the heat transport is proportional to the thickness of the layer from the surface to the 200 hPa level such that over high topography it should decrease. The same concerns intense transport over the Tibetean plateau and across the Rockies in some clusters. I strongly suspect that the authors used data on the default pressure levels for computing the vertically integrated heat transport and they did not take into account that some pressure levels intersect the surface. Over topography, where surface pressure can be below the pressure of, for example the 1000 hPa level, the ECMWF provides extrapolated fields. The heat flux computed from such extrapolated fields is unphysical.

This suspicion is further fuelled by the fact that there are no substantial T2m anomalies over Greenland in clusters with a strong heat transport there.[...]

We repeated the calculations on model levels for the Moist Static Energy (MSE) instead of the internal Heat flux by using a ncl routine. However, the general transport structures remained similar compared to the previous analysis. All figures were changed for the MSE transport.

2. The authors decide to perform a SOM analysis using 4x3 patterns. The number of patterns needs to be prescribed and is a subjective choice required by this method, which as such is fine. What I am worried about, however, is that they subsequently lump several clusters together according to the heat transport at 80°N, resulting in three major patterns, which are then considered for the rest of the paper. The only argument provided to justify this approach is that "this is common practice in SOM analysis". In my view, the SOM analysis on 2d fields is an overkill to obtain just these three patterns that are based on 1d fluxes at 80°N. If mainly the transport at 80°N is of interest for stratifying the patterns, why not perform an EOF or clustering analysis on the transport at 80°N and start from there? To make the effort put into the SOM analysis more valuable, I'd suggest to present some additional analyses that make use of the nuances displayed in the 12 SOM clusters. For example, the authors could display other composited fields for these clusters. These fields could, for example, be T2m anomalies, and frequencies of atmospheric weather systems (cyclones, blockings). The later would allow to relate the heat transports patterns to the dynamics, which I think would be a valuable addition and strengthen the paper.

We included more explanation why it was decided to group patterns in the methods section 2.2 (P4L3ff). Indeed the phrasing was misleading concerning how the manual grouping was performed: we gathered the groups not based on true meridional transport into the central Arctic, but more generally with the general transports into mind.

3. There is a misleading use of statistical significance testing at several occasions in the paper. Statistical significance testing provides information about the likelihood of e.g. observing a certain trend under the assumption of the null hypothesis (the trend is not real and just the

result of the sampling). It does not provide any evidence about whether the trend is real. A large p-value simply implies that the data is consistent with the null hypothesis and there is no evidence for a trend. It does also not rule out that there is a trend, but given the data we cannot tell. A low p-value in turn does not indicate a high likelihood for the hypothesis to be true, it just tells us that the data is unlikely to be observed under the assumption that the null hypothesis is true (for a thorough discussion see Ambaum 2010: Significance test in climate science, J. Climate, 23, 5927 – 5932).

Specifically in this paper the following misleading use of significance testing occurs:

•P8 L6: "...the group of the Siberian pathway does not provide a significant trend..." This is a misleading statement, as it suggests that we should trust the weak trend in the frequency of the Siberian pathway less than the other trends, which we should trust because they are significant. This is of course absurd because the sum of the trends needs to balance. Hence, if we trust the trends of the other two patterns, we have to trust the weak trend of the third by as much. The significance test is therefore not helpful.

It would be more insightful to provide confidence intervals that illustrate the robustness of the trends to rule out that the trend is strongly influenced by a few data points.

Thank you for this hint. We estimated the 95% confidence interval of the regression by applying bootstrap resampling. The Figure 6 was changed accordingly. The text passage at the end of section 3.4 (P8 L19ff) with the misleading phrasing was changed.

•P9 L11: "For the regions that correspond to lower temperatures with an increased occurrence of the North Atlantic Pathway and a decreased occurrence of the North Pacific Pathway no significant temperature trend can be found on the left panel of Fig. 7. This suggests that the temperature anomalies due to the transport changes are counteracted by other processes." The reasoning is wrong here. It could well be that there is a cooling trend at these locations,

but the trend is hidden because of one or two much warmer winters, which may be outliers. The statistical significance test does not provide us any information either way. A Monte Carlo resampling assessing the robustness of the local trends would give more insight.

Thank you for this hint. We decided on estimating the slope with the Theil-Sen regression to be robust against outliers (P10L3).

In Fig. 7 (left panel): The significance test here does again not provide any information about whether the trends in some regions are robust. Again confidence intervals would be more insightful. Furthermore, in multiple testing scenarios, if any significance test is done at all, a field significance test should be done to take spatial correlations and erronous rejections of the null hypothesis into account (cf. Ventura et al. 2004: Controlling the Proportion of Falsely Rejected Hypotheses when Conducting Multiple Tests with Climatological Data, J. Climate, 17, 4343 – 4356)

We decided to omit any significance tests for this figure, because significance for the temperature trends are not helpful for the conducted comparison and have led to misleading interpretations. We changed the text passages in section 3.5 accordingly.

4.What is the reason for not considering moisture fluxes as well? These are arguably highly important for Arctic heat anomalies because of their impact on the radiation balance. See for example Woods et al. (2013) and Messori et al. (2018; cited in the paper). Woods, C., R. Caballero, and G. Svensson (2013), Large-scale circulation associated with moisture intrusions into the Arctic during winter, Geophys. Res. Let., 40, 4717–4721,

doi:10.1002/grl.50912.

Thank you for this suggestion. We changed our calculations to using the Moist Static Energy to also consider moisture flux. All figures were redone accordingly.

5.For displaying the differences between individual clusters more clearly, it could help to show heat transport anomalies instead of the full transports. In many patterns the differences in the heat transport are rather nuanced and hard to see.

We decided not to show the anomalies of the transports for the SOM clusters. We hope that the clusters are distinguishable enough. Differences are sometimes not easy to digest, because the difference arrows would point into very different directions than the actual fluxes. Further, we think that the presentation of the clusters is sufficient because we anyhow want to focus our analysis on the three pathways.

6. How large is the within cluster variance for the SOM clusters and the three main clusters?

The mean within cluster variances for the three main clusters is about 2.65e22. While it is about 2.2e22 for the 12 SOM clusters. The numbers were added to section 3.1(P4L32f).

7.P5 L10f: T2m anomalies: Why don't you consider vertically averaged / integrated (potential) temperature anomalies? These would be more clearly related to the heat flux divergence than T2m anomalies, which are strongly influenced by surface heat fluxes. This is especially true in regions with a rapidly declining wintertime sea ice cover (Barents and Kara Seas), where the temperature trends are to a large extent due to surface heat fluxes.



Figure 4: Composite of vertically integrated potential temperatures minus mean for the analyzed time frame.

Figure 4 shows the vertically integrated composite minus mean of the potential temperature. Generally the Pacific Pathway shows negative anomalies over the whole Arctic, while the Atlantic Pathway shows positive Anomalies for Eurasia and the Barents, Kara, and

Laptev sea.The Figure 4 was added, described, and compared to the surface air temperature anomalies in section 3.2(7L7ff). A short paragraph was included in the discussion (P11L19)

8.Related to the above I would be interested in seeing the divergence of the heat flux. Warming at a certain location will be more related to the heat flux divergence than the flux itself.



Figure A: Composite of mean of vertically integrated MSE transport divergence minus mean of the analyzed time frame.

Fig. A shows the anomaly of the composite of the vertically integrated divergence of the horizontal MSE transport. The major differences occur over Greenland compared to the Arctic ocean. We decided not to show the divergence as the main differences are in regions with high topography, and do not provide useful information for large part of the Arctic regions. An explanation was added in section 3.2 (P8L1f)

9.P5 L10f: How are anomalies computed? Are they taken from the period (DJF 1979 – 2016) mean or is a running mean used to account for intra-seasonal variations?

Anomalies were calculated from the mean of the given period. We added a description in the beginning of section 3.2(P5L1).

10. Fig. 4: There seems to be a large compensation between the poleward and the equatorward transports, which I find surprising, especially concerning the strong southward heat transport at -120°E, which must be associated with very cold air (with low heat content).

And does the standard deviation depict the inter-annual variability? That is, is it computed from the means of each winter? Or is it the standard deviation computed from daily data?

We do not show advection so the southward transport anomaly's amplitude is either controlled by the transport of warmer air into the south, or very strong southward winds. We added a short clarification (P8L8).

We calculated the standard deviation from daily data and added the respective description in the figure 5 caption (P8 Fig 5).

11. Fig. 7 (right panel): The caption should state that for the North Pacific pathway the

inverse of the temperature anomaly was taken (as described in the text). *Done*.

12. P1 L16: To first order the much stronger warming in the Arctic compared to lower latitudes is caused by the loss of sea ice, exposing major areas of the Arctic ocean to the atmosphere, leading to subsequent warming of the lower troposphere, and not the other way round. Additional melting of sea ice because of Arctic amplification would require additional transport of heat into the Arctic.

We changed the passage so it is hopefully less confusing/misleading (P1L17f).

13. P2 L1: "To summarize, there is a clear indication that Arctic Amplification alters the circulation and heat transport patterns in the Arctic." I'd suggest to tone this statement down a bit. The causality is not fully clear in my view. See also Screen et al. 2018: Consistency and discrepancy in the atmospheric response to Arctic sea-ice loss across climate models, Nat. Geosci., 11, 155 – 164

We eased the tone of the statement(P2L1).

- 14. P2 L6: "... that have been emerged ..." \rightarrow "... that have emerged ..." *Done*.
- 15. P2 L9: pattern should be patterns *Done*.
- 16. P2 L9: either high or strong, not both *Done*.
- 17. P2 L26: reanalyses \rightarrow reanalysis *Done*.

18. P2 L28: Please rephrase "This is used to obtain informations from the whole tropospheric column."

We deleted this phrase.

19. P3 L11: "... an average picture ..." → the heat transport throughout the entire troposphere (?) *Done.*

20. P4 L4: Fig. → Figs.

Done.

21. P4L6: This is likely an artefact from the vertical averaging

These transports have also emerged from the data based on level data without extrapolated fields over high altitude regions.

- 22. P5 L1: ... are directed \rightarrow ... is directed ... *Done*.
- 23. P5 L4: zonally \rightarrow zonal

Done.

- 24. P5 L7: Awkward formulation " ... with two cyclone motions ...", please rephrase *Done*.
- 25. P5 L9: "... an ant-cyclone motion..." dito *Done*.

26. P6 L12: Why focus on 75°N when SOM clusters are grouped together according to the heat flux at 80°N? Generally, I think 75°N is better suited because 80°N lies largely in the interior Arctic (except for the European sector).

We clarified the statement concerning the grouping of SOM cluster (P4L14).

27. P6 L33: Since you integrate H vertically, you could simply state that you consider the meridional component of the heat flux Eq. (1). *Done.*

28. P7 L4: Remove "Generally, the meridional transports of the three groups ft well to the described pathways." - of course they have to be consistent as you look at the same quantity (the vertically integrated heat transport).

Done.

- 29. P8 L2: occur \rightarrow occurs *Done*.
- 30. P9 L14: remove "can not" *Done*.
- 31. P10 L6: favors \rightarrow favor *Done*.
- 32. P10 L20: measurement \rightarrow measurements *Done*.
- 33. P10 L25: Awkward phrasing, please rephrase. *Done*.
- 34. P10 L28: the presented work here \rightarrow the work presented here *Done*.
- 35. P10 L31: a increase \rightarrow an increase *Done*.
- 36. P11 L3: generally \rightarrow general *Done*.
- 37. P11 L13: that at region \rightarrow that in regions *Done*.
- 38. P11 L16: changing of \rightarrow changes in *Done*.

- 39. P11 L20f: awkward phrasing until and including "… whole picture." *Done*.
- 40. P11L27: an guide \rightarrow a guide *Done*.

Dear Dr. Caballero,

We are thankful for your comments that very much helped us to improve the paper. We have revised the paper according to the remarks, and hope that we sufficiently responded to each concern. In the following your concerns are repeated, and our respective responses is added in italics.

1. The study claims to study "heat transport", but actually only studies one component of the heat transport. The relevant quantity for atmospheric energy transport is the moist static energy, $h = c_p T + g z + L_v q$ (where g is gravitational acceleration, z geopotential height, L v latent heat of vaporization and g specific humidity). The authors only consider the first term, and neglect the others for no clear reason. In fact, recent work (see references below) shows that the latent heat component (i.e. the moisture transport) is the most important for warming the surface in the Arctic. The authors should cite these papers. Even the Yoshimori et al. paper, which is cited by the authors, makes this point very clearly. The fact that moisture transport is not considered makes physical interpretation of the authors' results difficult – it's not clear if there is any direct causality implied by the relation between sensible heat transport and surface temperature anomalies shown here. It is thus not clear to me how this paper contributes to the current debate about Arctic warming. To make a clear and useful contribution, the authors really would need to apply their SOM classification to moisture transport and assess the pathways they obtain. It would also be useful to do a classification for dry static energy $(c_p + g z)$ transport.

[Lee et al., 2017] Lee, S., Gong, T., Feldstein, S. B., Screen, J. A., and Simmonds, I. (2017). Revisiting the cause of the 1989–2009 Arctic surface warming using the surface energy budget: Downward infrared radiation dominates the surface fluxes. Geophys. Res. Lett., 44:10,654–10,661.

[Park et al., 2015a] Park, D.-S. R., Lee, S., and Feldstein, S. B. (2015a). Attribution of the recent winter sea ice decline over the Atlantic sector of the Arctic Ocean. J. Climate, 28:4027–4033.

[Park et al., 2015b] Park, H.-S., Lee, S., Kosaka, Y., Son, S.-W., and Kim, S.-W. (2015b). The impact of Arctic winter infrared radiation on early summer sea ice. J. Climate, 28:6281–6296.

C2

[Park et al., 2015c] Park, H.-S., Lee, S., Son, S.-W., Feldstein, S. B., and Kosaka, Y. (2015c). The impact of poleward moisture and sensible heat flux on Arctic winter sea ice variability. J. Climate, 28:5030–5040.

[Woods and Caballero, 2016] Woods, C. and Caballero, R. (2016). The role of moist intrusions in winter Arctic warming and sea ice decline. J. Climate, 29:4473–4485.

Thank you for this suggestion. We now changed our analysis to the Moist Static Energy (MSE) transport and repeated all the calculations. The general transport structures remained similar compared to the previous analysis.

2. I am not familiar with the details of the SOM method, and I am not illuminated by the description given in the text. You should give at least a concise description of the main idea behind SOM to give the reader some intuition into how to interpret the resulting patterns. I also do not understand why you start with 4x3=12 clusters and then subjectively group them in just 3 clusters. Isn't the point of clustering algorithms that they provide an objective classification? Why not just start with 3 clusters? More generally, why do you prefer SOM over alternatives such as k-means clustering?

We added some more description to the SOM method in the beginning of section 2.2 (P3L16ff). We added an explanation why we grouped data and why SOM were chosen over k-means at the end of section 2.2(P4L3).

Minor comment:

1.2 (Abstract): "It is assumed that through this decrease the large-scale circulation changes and therefore the meridional transport of heat and moisture increases". I have a hard time understanding this sentence. "It is assumed" by whom? What circulation changes are you referring to? Why should these changes lead to an increase in heat and moisture transport? The more natural assumption is that an increase in the heat transport leads to a decrease in the temperature gradient, not the other way around.

We slightly modified the Abstract.

Heat Transport Pathways into the Arctic and their Connections to Surface Air Temperatures

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Abstract. Arctic Amplification causes the meridional temperature gradient between middle and high latitudes to decrease. It is assumed that through Through this decrease the large-scale circulation changes in the mid-latitudes may change and therefore the meridional transport of heat and moisture increases. This in turn may increase Arctic warming even further. To investigate patterns of Arctic temperature, horizontal fluxes and their changes in time, we analyzed ERA-Interim daily winter

- 5 data of vertically integrated horizontal heat transport using Self-Organizing Maps (SOM). Three general transport pathways have been identified: the North Atlantic Pathway with transport mainly over the northern Atlantic, the North Pacific Pathway with transport from the Pacific region, and the Siberian Pathway with transport towards the Arctic over the eastern Siberian region. Transports that originate from the North Pacific are connected with negative temperature anomalies over the central Arctic. These North Pacific Pathways are getting less frequent during the last decades. Patterns with origin of transport in
- Siberia are found to have no trend and show cold temperature anomalies north of Svalbard. It was found that transport patterns that favor transport through the North Atlantic into the central Arctic are connected with positive temperature anomalies over large regions of the Arctic. These temperature anomalies resemble the warm Arctic cold continents pattern. Further, it could be shown that transports through the North Atlantic are getting significantly more frequent during the last decades.

1 Introduction

15 The Arctic regions play a significant and specific role in climate change. The temperature increases much faster compared to the rest of the globe (Stroeve et al., 2012; Wendisch et al., 2017), which is called Arctic Amplification. This effect leads, e.g., to a particularly rapidly decreasing sea ice cover This stronger warming is mainly caused by loss of sea ice and following that the increased exposure of the Arctic ocean to the atmosphere.

Following these changes in temperature and sea ice cover it was found that the sea level pressure (SLP) decreases over

- 20 the Arctic in the winter season (Gillet et al., 2003; Screen et al., 2014). This itself might alter the circulation and thus the transport of air masses into and out of the Arctic. Analyses of the decadal variability in EC-Earth model (Hazeleger et al., 2012) runs showed that in a warmer climate the Aleutian Low intensifies during winter months, which changes the circulation patterns (Linden et al., 2017). The decrease of the temperature difference between the Arctic and mid latitudes due to Arctic Amplification is suggested to be followed by a change in the meridional transport of heat into the Arctic, which has been
- 25 seen in reanalysis data (Graversen, 2006; Vinogradova, 2007). Analysis of regional climate model output has shown that at

the end of the 21st century the seasonal mean layer thickness between 1000 and 300 hPa over the Arctic will likely increase significantly, while the interannual variability increases (Rinke and Dethloff, 2008). To summarize, there is <u>elear an</u> indication that Arctic Amplification <u>alters may alter</u> the circulation and heat transport patterns in the Arctic.

- To understand how circulation and transport are connected to other meteorological variables, the Self-Organizing Map 5 (SOM) method has been shown to be a viable cluster and pattern extraction tool (Liu et al., 2006; Liu and Weisberg, 2011). Cassano et al. (2006) evaluated model representations and projections of the SLP patterns over the Arctic. Corresponding temperature and precipitation anomalies have been attributed to the respective patterns that have been emerged from SOM analyses. They found that SLP patterns that feature an extended North Atlantic storm track and a strong Aleuten low are connected with positive temperature anomalies. Negative precipitation anomalies over the North Atlantic were found for SLP
- 10 pattern with generally strong patterns with generally high SLP. Skific et al. (2009) used SOM analyses to validate performance of the Community Climate System Model. They showed that the model successfully captures major SLP patterns, which has been derived by the SOM from ERA-40 data. Additionally, they found through relating moisture transports to particular circulation regimes that by the late 21st century the transport is projected to be increased within the CCSM3. The SOM method was also used by Higgins and Cassano (2009) to determine the influence of reduced sea ice on the geopotential height of
- 15 1000 hPa over the Arctic using the CAM3 (Collins et al., 2006). They found that with reduced sea ice the geopotential height of 1000 hPa increases over Siberia, the Greenland and Norwegian Seas.

Lynch et al. (2016) used the SOM method to evaluate the connection between SLP patterns and the connection with high and low sea ice cover in the Pacific sector. They showed that years with low ice fraction are connected with positive temperature anomalies and transport originating from the south, while years with high ice concentration are connected with transport of ice from regions in the north even though the ice itself is melting.

20

Mattingly et al. (2016) have analyzed the tropospheric meridional moisture transport over Greenland using the SOM method and found that from 2000 to 2015 positive moisture transport anomaly patterns towards Greenland were more common compared to 1979 to 1994 and thus might have increased the melting of the Greenland ice sheet.

- The question remains to which degree different heat transport pathways into the Arctic are responsible for the increased Arctic warming. In this study we therefore focus on the general heat transport pathways into the Arctic in the winter months and on the corresponding temperatures over the Arctic by using the SOM method. Winter was chosen as the Arctic temperature is most sensitive to influences through transports in this season (Yoshimori et al., 2017). We use ERA-Interim reanalyses (Dee et al., 2011; ECMWF, 2017) for clustering the vertically integrated horizontal heat transport. This is used to obtain informations from the whole tropospheric column. Further, the temporal evolution of occurrence frequencies for the obtained patterns is
- 30 analyzed, as well as corresponding temperature anomalies for the Arctic region. In Sect. 2 the used data and SOM method are presented. The results are found in Sect. 3, and are followed by a discussion in Sect. 4. Section 5 concludes the paper.

2 Method and data

2.1 Data

In this study daily mean ERA-Interim (Dee et al., 2011) data were analyzed for the winter months (December to February) from 1979 to 2016. Data were provided at a horizontal grid resolution of $0.75^{\circ} \times 0.75^{\circ}$ with 37 on 60 vertical levels by ECMWF

5 (2017). ERA Interim was chosen as it represents the temperature in the Arctic well (Chaudhuri et al., 2014; Simmons and Paul, 2015). Daily means were calculated from 6-hourly output. The vertically integrated daily horizontal heat transport Q-Moist Static Energy (MSE) transport MSET is calculated at each grid point as follows:

$$MSET = \frac{1}{g} \int \underbrace{\frac{200 \,hPa}{1000 \,hPa} \eta_{sfc}}_{1000 \,hPa} v(\underline{p}\eta) \left(c_p T(\underline{p}\eta) + g z(\eta) + L q(\eta) \right) \frac{\partial p}{\partial \eta} d\underline{p}\eta.$$
(1)

Here, g is the gravitational acceleration (taken as 9.81 m/s²), v is the horizontal wind vector, c_p is the specific heat constant
at constant pressure, T is the temperature, z is the geopontential height, L is the latent heat of vaporization of water, q is the specific humidity, n is the model level, and p is the pressure. The integration limits of 1000 hPa and from the surface to the 200 hPa level were chosen to obtain an average picture over the Arctic the heat transport throughout the entire troposphere.

2.2 Self-Organizing Maps

The SOM is an artificial neural network developed by Kohonen (1998), and it is used to reduce the dimensionality of a data set

- 15 by organizing it in a two-dimensional array, called map. SOMs were created by using the python package "somoclu" (Wittek et al., 2017). A general advantage is that the SOM is not limited by linear assumptions. Furthermore, the method shows advantages over PCA and (rotated) EOF analysis to find patterns in data (Reusch et al., 2005; Liu and Weisberg, 2011). SOMs are used as cluster analysis tool that broadly speaking is build to minimize the within cluster difference while maximizing the between cluster difference. The SOM method is different in a way that neighbouring clusters within this two-dimensional
- 20 map of clusters are more similar to each other than clusters that are farther apart from each other within the map. This is achieved by the characteristic of the SOM that the clusters also develop in dependence of the neighbouring clusters and thus retain the general topology of the multidimensional data it is used on. Eventually, each cluster represents a set of the given data. Thereby, the SOM as a whole is a representation of the data in a way that the emerging SOM shows more clusters that reproduce topology and the distribution of the given data.
- The SOM was used to analyze the tropospheric horizontal heat transport calculated from ERA-Interim. The SOM clustering is used to find common transport features in the Arctic. Further, the two meter air temperature anomalies corresponding to the clustering of the tropospheric horizontal heat transport are analyzed. This is done to obtain the respective transport effect on the temperature depending on the different transport features. As with a lot of other clustering algorithms, the choice of the right number of patterns to be extracted is partly subjective. A SOM with the size of 4 columns × 3 rows was chosen for our
- 30 analysis of heat transport into the Arctic Ocean; it provided the best balance of generalization without loosing too many distinct

states. In addition to the clustering of the SOM itself, we chose to group <u>manually</u> similar transport patterns manually. This is commonly done that have emerged from the SOM manually.

Grouping the patterns is common in the literature (e.g. Mattingly et al., 2016; Higgins and Cassano, 2009). This serves to ease the discussion and provides the option to decide upon which patterns fit best not only based on the underlying

5 Euclidean distances. The mathematical description of the Euclidean distance might assign distinct fields to patterns that might fit mathematically but not under a meteorological point of view. We decided to group them manually to make sure that patterns that fit under a meteorological point of view are gathered within a group, and thus share features that are more relatable to each other. SOM was chosen in favor of other techniques (e.g. k-means) because the patterns emerging from a SOM are easier to relate to each other by retaining the intrinsic topology of the data.

10 3 Results

3.1 Heat transport SOM

The SOM of the vertically integrated heat transport is shown in Fig. 1. Each pattern features different transport strengths and directions as shown by the vectors. For a view on the general transport pathways we decided to further gather the patterns into three groups chosen according to the <u>horizontal</u> transport from middle latitudes into the <u>central Arctic (north of 80° N)Arctic</u>

15 Ocean. Thereby, we grouped patterns that show similar horizontal transport features to distinguish the respective composites for each of the found three major patterns. This manual grouping leads to a more transparent view on the actual clustering of the data.

The composite transports are shown in Fig. 2. They were derived by adding the distinct patterns of each group in Fig. 1 weighted by their relative frequency of occurrence. Subsequently, we will call them the North Atlantic Pathway, the Siberian

20 Pathway, and the North Pacific Pathway.

The red framed patterns in FigFigs. 1 and 2 show the North Atlantic Pathway. Corresponding patterns for the North Atlantic Pathway are patterns 2.2, 3.1, 3.2, 3.31.1, 1.2, 1.3, 2.2. These patterns share a heat transport that is going from North Atlantic either over Greenland or through the Fram Strait and over Svalbard into the central Arctic.

Patterns with a green frame correspond to transport that origins from central Siberia or northern Siberia and are is directed into the central Arctic by a cyclone motion with its center over the Kara Sea, Laptev Sea, or the North Pole. These features are summarized as the Siberian Pathway. The Siberian Pathway consists of the patterns 1.1, 1.2, and 2.12.1, 3.1, and 3.2. The transport structure of Pattern 2.1 is mainly zonally zonal within the central Arctic, and no strong meridional transport is present. However, its general structure with a centralized center of cyclonic motion fits best into the Siberian Pathway group.

The North Pacific Pathway (blue frames) arises from the patterns 1.3, 1.4, 2.3, 2.4, 3.3, and 3.4. The main transport occurs

30 from the North Pacific through east Siberia into the central Arctic. This occurs mostly with two cyclone motions with one center one center of counter-clockwise transports at the Barents Sea or Laptev Sea and the other center over the Northwest Passage. In some cases (see patterns 3.41.4, 2.3, and 2.4) an anti-cyclone motion clockwise transports with the center north of the Bering Strait or within the central Arctic is are present.



Figure 1. 4×3 SOM of vertically integrated (200 hPa -1000 hPa) horizontal heat transport from winter ERA-INTERIM data (1979-2016). Numbers on the top left are used to name different patterns, percentages in the top right of each pattern correspond to the relative frequency of occurrence during the analyzed time period. The maps are centered at 0°E. Red vectors correspond to stronger transports, while blue vectors correspond to weaker transports. Differently colored frames indicate patterns that were grouped together.

Due to the grouping the mean within cluster variance has changed from 2.210^{22} J m s⁻¹ for the twelve SOM clusters to 2.710^{22} J m s⁻¹ for the three pathways.

3.2 Temperature anomaly composites according to transport pathways

Figure 3 shows the composites of the temperature anomalies corresponding to the respective pathways. Temperature anomalies

5 were calculated as deviation from the winter mean period from 1979 to 2016. The red framed plot shows the anomalies related to the North Atlantic Pathway, the green one those related to the Siberian Pathway, and the blue one those related to the North



Figure 2. The three different transport pathways: the North Atlantic Pathway (left; red colored frame), the Siberian Pathway (middle; green colored frame), and the North Pacific Pathway (right; blue colored frame), derived by the composites of the selected patterns of Fig. 1 weighted by their relative frequency of occurrence within the group.

Pacific Pathway. The North Atlantic Pathway related temperature anomalies (left panel Fig. 3) show increased temperature



Figure 3. Composite of 2 meter temperature anomalies for each of three the pathways: the North Atlantic Pathway (left; red colored frame), the Siberian Pathway (middle; green colored frame), and the Northern Pacific Pathway (right; blue colored frame). Contour spacings show temperature anomalies in 0.5 K. Blue colors indicate a cold anomaly and red colors indicate a warm anomaly compared to the mean of the analyzed time frame.

from the North Atlantic into the central Arctic with a maximum of 7greater than 6 K north of Svalbard. For northern Canada, the Bering Strait and central Siberia a cold anomaly is observed with a minimum of -3.5 K at the Bering Strait and north of Lake Baikal. The negative anomaly in Siberia results from the increased transport over the North Atlantic, which results in a decrease of zonal transport of heat to Siberia, and in an increase of transport of cold air from the north.

5

6

The Siberian Pathway (middle panel Fig. 3) is connected with higher temperatures over Siberia, as well as with warm anomalies over Northern America and Greenland with temperature anomalies as high as 5.5 greater than 5 K at the southern tip of Greenland. Negative temperature anomalies occur over Northern Europe, through the Fram Strait and Svalbard into the central Arctic, the Chukchi Sea, and the Bering Strait, with anomalies as low as -4 K north of Svalbard. This temperature

5 pattern occurs because of the limited heat transport through the North Atlantic and the more zonally favored transport over Europe and Siberia.

The North Pacific Pathway (right panel of Fig. 3) composite shows increased temperature over large parts of Eurasia connected with zonal transport over the continent. Positive temperature anomalies are also seen over the Bering Strait, and the Chukchi Sea (up to 3.54 K), together with northward transport there (right panel of Fig. 2). From North America over Greenland and Svalbard to the Laptev Sea a cooling effect is observed, with the maximum of -2.5-3 K west of Svalbard.

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Figure 4 shows the composites of the vertically integrated potential temperature anomalies corresponding to the respective pathways. As vertically integrated transports are analyzed, the vertical integrated potential temperature shall provide a more relatable quantity. The North Atlantic Pathway (red frame) related potential temperature anomalies show positive anomalies



Figure 4. Composite of vertically integrated potential temperature minus mean for the winter mean period from 1979 to 2016

over whole Eurasia, as well as the Barents Sea, the Kara Sea, the Laptev Sea, and the central Arctic Ocean. Negative anomalies

15 are found in the Bering Sea, at the south and east coast of Greenland, and in the Denmark Strait. Compared with the 2 meter temperature anomalies, the North Atlantic Pathway does not show much agreement for Siberia. However the negative Anomalies from the Bering Strait to Greenland over northern Canada can be partly recognized in the vertically integrated potential temperature anomaly.

The Siberian Pathway is connected with positive anomalies over Greenland, Fram Strait, Svalbard, and North East America.

20 For east Siberia, the Gulf of Alaska, and Barents Sea negative anomalies are shown. The comparison with the 2 meter temperature anomalies show that the central Arctic Ocean dos not show a similar distribution of anomalies.

The North Pacific Pathway is connected with positive anomalies of vertically integrated potential temperature over the Bering Sea, Alaska, and the Barents Sea. Negative anomalies occur in east north America, central Siberia, and the central Arctic Ocean. In comparison with the 2 meter temperature anomalies, the vertically integrated potential temperature anomalies show somehow similar pattern concerning the Arctic. Greenland, north America, and Greenland are in both cases connected

5 with negative anomalies, while the Bering Strait and the Bering Sea are connected with positive anomalies. Respective composites of vertically integrated divergences of the transports did show most differences over regions with high topography (not shown) and thus do not explain well the corresponding potential temperature anomalies.

3.3 Meridional heat transport

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In order to more clearly show the transport of heat into the Arctic through the three identified patterns, we analyzed the 10 longitudinal distribution of the meridional heat flux. To this end, for each of the three pathways the mean meridional flux of heat $H = v c_p T$, with v as the meridional wind component, was calculated from all winter days during those the respective pattern was the dominating one. Again H was integrated over 1000 to 200 hPa. component of equation 1.

The mean of the meridional transport at 75° N is shown in Fig. 5. Its standard deviation has a similar order of magnitude





than the total atmospheric energy transport at 60° N presented by Graversen (2006, Fig.4 b). The zonal mean long-term mean transport of *H* amounts to 1.66 GW.

Figure 6 shows the meridional heat transport anomalies at 75° N at each longitude grouped into the three transport pathways. The amplitude of the respective meridional transports are a measure of the general energy content (compare equation 1); No advection (transport across the gradient) is shown. Note that the standard deviation is in the order of 0.2 PW-TW due to the used daily data of the corresponding group that is highly variable. For the composite of the North Atlantic Pathway (red, left

20 panel) we have maximum positive anomalies of the meridional heat transport from 50° W to 50° E, and negative anomalies from 60° E to 140° W. The North Pacific Pathway (blue, right panel) is connected with positive transport anomalies from 80° E



Figure 6. Composite of meridional heat transport anomalies at 75° N given in <u>PW-TW</u> for each of the three pathways. <u>The mean values</u> have been shown in Fig. 5. Red frame means transports through the North Atlantic, green frame through Siberia, and blue frame transports through the North Pacific. Grey shaded areas show the standard error. Positive sign denotes a transport anomaly to the north and negative sign denotes a transport anomaly to the south.

to 170° W. This corresponds to the described pathway: originating from the North Pacific and going over Eastern Russia to the central Arctic. The Siberian Pathway (green, middle panel) shows positive anomalies from 180° W to 60° W and from 30° E to 100° E. Generally, the meridional transports of the three groups fit well to the described pathways.

3.4 Trend of transport pathways

- 5 Overall, the North Atlantic pathway occurs during about 32%, the North Pacific pathway during about 4342%, and the Siberian Pathway during about 25% of the analyzed time period. For each of the three groups the relative frequency of occurrence was calculated for each winter and the respective time series are shown in Fig. 7. The positive trend of the frequency of occurrence A positive trend has been found for the North Atlantic Pathway (left panel) is significant at the 95% confidence level with a increase of 4% per decade The group of the, a negative trend for the North Pacific Pathway (right panel), and no
- 10 trend for the Siberian Pathway (middle panel)does not provide a significant trend of the frequency of occurrence (0.6% per decade). A significant negative trend at the 90% confidence interval was found for the North Pacific Pathway (right panel) with a decrease of -3.4% per decademiddle panel). Note that the trends for each of the three patterns are not independent from each other. We also note that the positive and negative trends shown in the right and left panels are not robust, and there is a small probability that they might indeed be different than derived from the linear fit.

15 3.5 Temperature trends

Comparing the general temperature trend with the resulting temperature anomalies due to different transport pathways indicates to which degree heat transports might play a role for the warming of the Arctic. The general temperature trend-trends for the



Figure 7. Frequency of occurrence for each transport pathway group according to the coloring (Fig. 1). The blue line shows the frequency of occurrence for each years winter from 1979 to 2015. The black line shows the linear trend line. Greyshading shows the 95% confidence intervals for the trends derived via bootstrap resampling. p values are shown according to a 2 sided t-test are shown in the respective panels.

winter season during the analyzed time period is shown in the left panel of Fig. 8. The trends were derived through a Theil-Sen regression, which is robust against outliers. Generally it can be seen that the trend exceeds 3.5 K decade⁻¹ for the region east



Figure 8. Left panel: temperature trend trends for the winter mean. Calculated for the winters from 1979/80 to 2015/16. Dotted regions indicate significance at the 95% level. Trend is Trends are given in Kelvin per decade. Right panel: composite of temperature anomalies from the North Atlantic Pathway and the inverse of the North Pacific Pathway temperature anomalies as provided in Fig. 3, weighted by their relative frequency of occurrence (Fig. 2 top right numbernumbers).

of Svalbard. Positive trends are significant for large Largest positive trends are found for regions of the Barents Sea, the Kara

Sea, the Laptev Sea, the Arctic Ocean north of Russia, and the Baffin Bay. Significant negative Negative temperature trends occur over Siberia, but only for very small regions.

To calculate the influence of changes in transport pathways we calculated the weighted average of the temperature anomaly of the North Atlantic Pathway and the inverse temperature anomaly of the North Pacific Pathway (right panel of Fig. 8). This

- 5 was done to take into account the influence of an increased occurrence frequency of the North Pacific Pathway and a decrease of occurrence frequency for the North Pacific Pathway, and thus to analyze the possible change in temperature according to a trend in the transport pathways. Each of the temperature anomaly fields were weighted by the relative frequency of occurrence shown in Fig. 2. The Siberian Pathway was not included as it does not show a trend in the occurrence frequency. This new composite shows similar features compared to the temperature anomaly of the North Atlantic Pathway (Fig. 3 left panel), which
- 10 is owing to the fact that the temperature anomalies connected to the North Atlantic and North Pacific Pathways are broadly inverse to each other.

The regions of large temperature anomalies are more confined and weaker then the ones considering single pathways alone. The largest positive temperature anomaly occurs north of Svalbard with up to 3.5 K. Negative anomalies occur over the Bering Strait (-3.0 K) and north of Lake Baikal (-2.5 K).

- The winter temperature trend shows a strong positive signal east of Svalbard. This signal can partly be seen in the temperature anomaly which also shows a positive signal in this region. For the regions that correspond to lower temperatures with an increased occurrence of the North Atlantic Pathway and a decreased occurrence of the North Pacific Pathway no significant uniform temperature trend can be found on the left panel of Fig. 8. This suggests that the temperature anomalies due to the transport changes are counteracted by other processes. It has to be noted, that the heat transport cannot ean not be accounted for sharpers in the temperature anomalies alone. Other transports and processes effect the temperature are well, such to a higher
- 20 for changes in the temperature anomalies alone. Other transports and processes affect the temperature as well, even to a higher degree.

4 Discussion

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The change of influence and connection of atmospheric circulation with surface temperature is a highly discussed topic, especially in terms of the increased temperature rise in the Arctic. Here we grouped data according to distinct pathways based on SOM analysis and looked at related temperatures and the respective trend of the pathways.

The increase in frequency of transports through the Northern Atlantic, as shown for the North Atlantic Pathway, has also been found by Dahlke and Maturilli (2017). They analyzed the transports of air masses to the region of Ny-Alesund using backward trajectories. They were able to find a more frequent source region of air masses in the North Atlantic, while we could show that and the transport through the North Atlantic is getting more frequent. Dahlke and Maturilli (2017) identified a

30 positive temperature anomaly over the Svalbard region that is connected with changes in advection of air masses. We find that the increased frequency of the North Atlantic Pathway is connected with temperature anomalies that <u>favors favor</u> a strongly positive anomaly in the central Arctic and strongly negative anomalies over Siberia and the Bering Strait. This is following from the transport of heat to the northern regions instead of transport to Siberia. The vertically integrated potential temperature composites can partly be connected to the 2 meter temperature anomalies. However, the potential temperature anomalies show a more general state of the troposphere. In connection with the increased occurrence of the North Atlantic Pathway the general troposphere is generally getting warmer. While the Pacific Pathway is generally connected with negative anomalies, it can be suggested that cases that feature a colder troposphere might occur less

5 frequent.

The 2 meter temperature composite from the North Atlantic Pathway has also similar features compared to the cold continents and warm Arctic proposed by Overland et al. (2011). In our analysis negative temperatures anomalies over Canada are not seen. But the cold anomalies over central Siberia, as well as the warm anomaly sector over the central Arctic are quite well reproduced for transports through the North Atlantic.

- 10 Adams et al. (2000) found transport of heat from the North Atlantic and North Pacific to the Arctic for transient and stationary eddies. Also Messori et al. (2018) found a systematic transport of moisture through the Atlantic sector into the Arctic for warm spells. These warm spells are accompanied by advection of cold air across Siberia, which can be partly seen in the temperature composite of our the North Atlantic Pathway. The transports into the Arctic discussed by Messori et al. (2018) are comparable with the transports shown in our results. The general trend of increased northward transport of air can also be seen in regional
- 15 analysis by Mattingly et al. (2016). They focused on the moisture transport over Greenland. Their analysis shows an increase of moist states over Greenland, which are partly connected with more northward transports. Rinke et al. (2017) analyzed extreme cyclone events in the Arctic wintertime from <u>measurements</u> at Ny-Alesund and from ERA-Interim <u>reanalysesreanalysis</u>. They found that the number of extreme cyclone events increases. For days with extreme cyclone events at Ny-Alesund their temperature anomaly pattern looks similar as the temperature pattern shown in the North Atlantic sector
- 20 for the North Atlantic Pathway. This suggests that the origin of the extreme cyclones analyzed in Rinke et al. (2017) might be connected with increased transport through the North Atlantic sector to Svalbard.

We focused on an tropospheric column information of heat transport compared to the analysed SLP in Cassano et al. (2006). Woods et al. (2013) analyzed poleward moisture intrusions across 70°N for winter months using ERA-Interim reanalyses. The concentration of these intrusions were found to be at latitudinal regions of the Labrador Sea, the North Atlantic, and the

- 25 Barents/Kara Sea and the Pacific. These regions are partly presented by the pathways presented in this work: the general intrusions through the Atlantic and Pacific are captured by the North Atlantic and North Pacific Pathway. Intrusions through the Barents/Kara Sea seem to be captured also by the North Pacific Pathway, while the intrusions through the Labrador Sea cannot be distinguished easily within the pathways. However, we considered MSE transport instead of the latent heat only transport. Specifically for December and January Woods and Caballero (2016) could show a positive trend of the total number
- 30 of intrusions and their connection to surface air temperature and sea ice cover. Largest influences in temperature were observed over the Barents Sea. They showed that the intrusions show typical directions from the North Atlantic into the Barents Sea. The Barents Sea region shows positive temperature anomalies for the North Atlantic Pathway, which shows to have a positive trend. In connection with the typical transports through the North Atlantic fits with the discussed literature.

For the winter months, they Cassano et al. (2006) were able to connect SLP patterns with lower pressure over the Bering Strait and the North Atlantic and higher pressure over Siberia with a temperature anomaly that shares very similar features to those of the North Atlantic Pathway found in the presented work work presented here.

- The frequency of occurrence of the North Pacific Pathway decreases during the last decades. This is connected with less frequent negative temperature anomalies over the central Arctic. For the winter Matthes et al. (2015) show that the number of cold spell events is decreasing over Scandinavia and Northern Canada, while for Siberia also regions with a-an increase of cold spells could been found. Warm spells showed strong significant increase over Scandinavia. Matthes et al. (2015) analyzed the trends for warm and cold spells over the land masses and islands in the Arctic using daily station data and ERA-Interim reanalysis. Looking at the trend of regional temperature extremes at Ny-Alesund, Wei et al. (2015) could show that cold
- 10 extremes have a negative trend and warm extremes have a positive trend. These results agree with the connection of the North Pacific Pathway (North Atlantic Pathway) to cold (warm) temperature anomalies and a decrease (increase) in frequency of occurrence.

We compared the resulting mean temperature anomalies for the **generally** general change in transport – decrease of occurrence frequency of North Pacific Pathway and increase of occurrence frequency of North Atlantic Pathway – with the general

- 15 temperature trend for the winter season from 1979/80 to 2015/16. We found trends over 3.5 K decade⁻¹ for the general temperature trend in winter west of Svalbard. Graversen (2006) analyzed the influence of the atmospheric northward energy transport on the surface air temperature for ERA-40 reanalysis for the years 1958 to 2001. He found that the atmospheric northward energy transport addresses about 0.15 K decade⁻¹ over Svalbard. Compared to our analyzed time frame this would add up to about 0.6 K anomaly over Svalbard. We identified a positive temperature anomaly of about 3.0 K over Svalbard, which is about
- 20 2.4 K more than explained by the total atmospheric northward energy transport. Due to finding connected temperature fields for distinct transport pathways, we are able to see all influences of the atmosphere under these specific pathways and not only the specific influence of the northward energy transport, which was analyzed by Graversen (2006).

It was found that at in regions where the change in transport will favor negative temperature anomalies (Siberia and Bering Strait) no significant trend in temperature is present the temperature trend is not as uniform. For regions north and east of

- Svalbard the change in transport is connected with positive temperature anomalies that also coincide with regions of positive trends in temperature. Comparing the combined composite of temperature anomalies connected to the changing of changes in the major transport pathways (right panel of Fig. 8) to the temperature anomalies of the Siberian Pathway (Fig. 3 middle panel) shows that in general the central Arctic tends to become warmer while the Bering Strait tends to become cooler in relation to the change in transport. So in general, the change of transports would lead to more frequent negative temperature anomalies
- 30 over the Bering Strait and Siberia. These cannot be seen in the trends shown on the left panel of Fig. 8. This demonstrates that the surface temperature trend cannot be explained by the transport pathway connected temperature anomalies alone. Therefore the variability of the Siberian Pathway and the temperature anomalies connected to this have also be taken into account for the whole picture. But our Our results show the expected geographic distribution of surface temperature anomalies that coincides with these schanges in the transport. These results are also a good example that the surface trend is influenced by a lot of
- 35 processes and cannot be discussed solely by heat transport alone.

5 Summary and conclusion

With the SOM method we were able to find intrinsic heat transport patterns within the heat transport fields and used them as an a guide for our analysis. Three distinct transport pathways were extracted from the SOM analysis: the North Atlantic Pathway, the Siberian Pathway, and the North Pacific Pathway. The North Atlantic Pathway is connected with with transports through

5 the North Atlantic into the Arctic, the North Pacific Pathway is connected with transports that originate from the North Pacific and enter the Arctic through east Siberia, and the Siberia Pathway is features by transports through the Arctic from central Siberia. We analyzed the temperature anomalies that are related to the different transport pathways. This type of analysis helps to get a more complete view of the atmosphere during these different transport pathways.

We conclude that during the last decades the transport through the North Atlantic into the Arctic has increased significantly.

10 These North Atlantic Pathways are connected with positive temperature anomalies over the Arctic, and negative temperature anomalies over the Bering Strait and central Siberia. This shows that relating temperature anomalies based on the transport alone is favouring an increased pattern of warm Arctic and cold continents. Thus it can be stated that the warm Arctic and cold continents pattern is partly controlled by the increased northward heat transport through the North Atlantic.

A question that still remains open is the question of causality. To which degree the change in heat transports and circulation is changing the temperatures in a warming Arctic and to which degree is the temperature change influencing the heat transports and circulation themselves cannot be decided based on SOM analysis alone.

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