

We thank the reviewers for their comments that have improved the completeness and clarity of this manuscript. Our responses to comments and the corresponding changes to the manuscript are detailed below in blue text.

Responses to Reviewer #1

Willis et al describe aircraft measurements of aerosols during the NETCARE campaign in the high Arctic during springtime. The revisions made following the quick review have significantly improved the manuscript, and I only have minor suggested revisions here. These line numbers correspond to the track changes version of the manuscript. The title now reflects the unique aspects of this particular study, which is helpful, and inclusion of the new Figure 4 in the main text is helpful as well.

My main comments correspond to Page 9, Lines 6-7: What density is assumed to compare the ToF-AMS non-refractory aerosol with the UHSAS aerosol mass? Also, it is stated that the AMS mass and UHSAS mass were within a factor of two. Was the bias consistently in one direction? Was there a meaningful temporal variation associated with the difference? A factor of two could mean that the AMS may have measured half or twice as much aerosol mass, which is a lot! This should be commented on. Was the discrepancy correlated with periods influenced by NaCl+, suggesting that the missing mass could be sea salt?

We agree entirely that this issue merits further comment. Given the low aerosol mass concentrations and the prevalence of sea salt at low altitudes, it is challenging to arrive at perfect agreement between the ToF-AMS and other particle instruments. Two main issues arose when comparing ToF-AMS particle mass with that estimated from other, size-resolved measurements of particle concentration. First, the AMS underestimates aerosol mass in the presence of sea salt. Second, when sea salt was not present, the AMS gives a higher mass concentration than that predicted by the UHSAS by approximately a factor of two. A similar discrepancy between AMS aerosol mass and UHSAS estimated mass concentrations was discussed in Willis et al. (2017) for measurements from NETCARE 2014. The source of this discrepancy is discussed further here, in the revised Section 2.1.4 and in the revised supplementary material.

In addition to the two issues stated above, it appears that the UHSAS may not be counting the very low concentrations of larger particles accurately, and giving approximately a factor of two lower particle numbers above 500 nm compared to an optical particle counter (OPC) that was also sampling during the NETCARE flights (Figure AC1). Unfortunately the OPC was not integrated onto the Polar6 for NETCARE 2014, and so is not included in the instrumental comparisons described by Leaitch et al. (2016) and a quantitative laboratory comparison between the UHSAS and OPC is not available. However, the OPC generally agreed well with large particle concentrations obtained from a Forward Scattering Spectrometer Probe (FSSP) Model 300 that was under-wing mounted. The OPC periodically provides spuriously high concentrations of particles between 250 - 400 nm and so we restrict the comparison to sizes above 500 nm.

Total particle concentrations above 4 and 10 nm were measured with two TSI condensation particle counters (CPCs). Total number concentrations from the CPCs and the number of particles larger than 85 nm from the UHSAS agreed reasonably because the number concentrations of Arctic haze particles are dominated by particles larger than 85 nm. Thus, there is no indication of a significant bias in the particle number concentrations measured with the UHSAS. The discrepancy between the OPC and UHSAS for particles larger than 500 nm can still happen as the number of

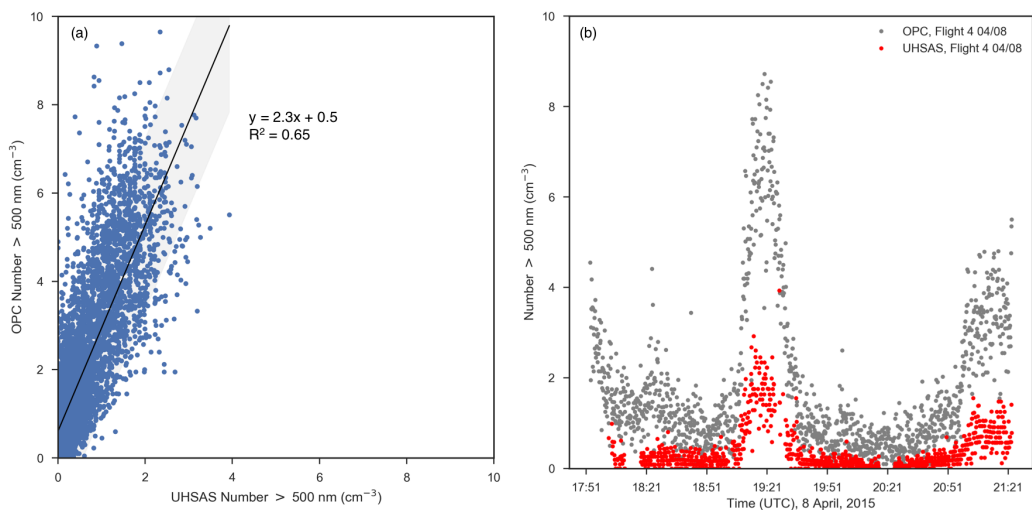


Figure AC1: Comparison between the number of particles greater than 500 nm measured by the UHSAS and OPC. (a) For all observations, average to 10 second time intervals. (b) An example time series comparison for Flight 4 on 8 April 2015.

particles larger than 500 nm make very small relative contributions to the total particle number concentration. While the number concentrations of particles above 500 nm are small, they contribute significant amounts of mass (e.g., up to $\sim 1 \mu\text{g}/\text{m}^3$ depending on the assumed density). For the above reasons, we have used UHSAS observations between 100 – 500 nm and OPC observations above 500 nm. Please note that this is now stated clearly in Section 2.1.4, the previous version of this manuscript was in error by not making this adequately clear.

Assuming a particle density of $1.5 \text{ g}/\text{cm}^3$, we compared total non-refractory aerosol mass from the ToF-AMS with the mass estimated from combined data from the UHSAS and OPC (i.e., the number of particles between 100 – 500 nm ($N_{100-500}$) derived from UHSAS observations and the number greater than 500 nm ($N_{>500}$) from the OPC (Figure AC3)). This comparison illustrates that the ToF-AMS measurements do not reflect the mass concentrations measured by the UHSAS and OPC in the presence of sea salt. The ToF-AMS also overestimates aerosol mass compared to the OPC and UHSAS by approximately a factor of two when sea salt is not present. This discrepancy likely arises from biases in both the UHSAS and ToF-AMS measurements.

The UHSAS may underestimate particle number concentrations at sizes smaller than 500 nm, and while this underestimate is not significant enough to impact comparison with total number concentrations from the CPCs, such an underestimate could still impact particle volume. Comparison of the UHSAS volume size distributions with that measured at the Alert Observatory was possible for short periods when the aircraft flew low in the area (i.e., between 60-200 m above ground level). We include once such comparison in Figure AC4, which suggests the UHSAS underestimated particle volume between 250 nm and 500 nm, by about 20% in this case.

Owing to the discrepancies discussed above we have stated in Section 2.1.4 and 2.1.5 that the particle mass concentrations presented in this work should be treated with caution. However, we believe that these discrepancies do not prevent us from using these data to make the interpretations described in this manuscript, which rely particularly on relative changes in aerosol composition. In section 2.1.4 we now state: “ToF-AMS total non-refractory aerosol mass correlated well with estimated aerosol mass from the UHSAS and OPC, but was generally higher by approximately a factor of two (Figure S4, assuming a mean density of 1.5 g cm^{-3}). An important exception to this

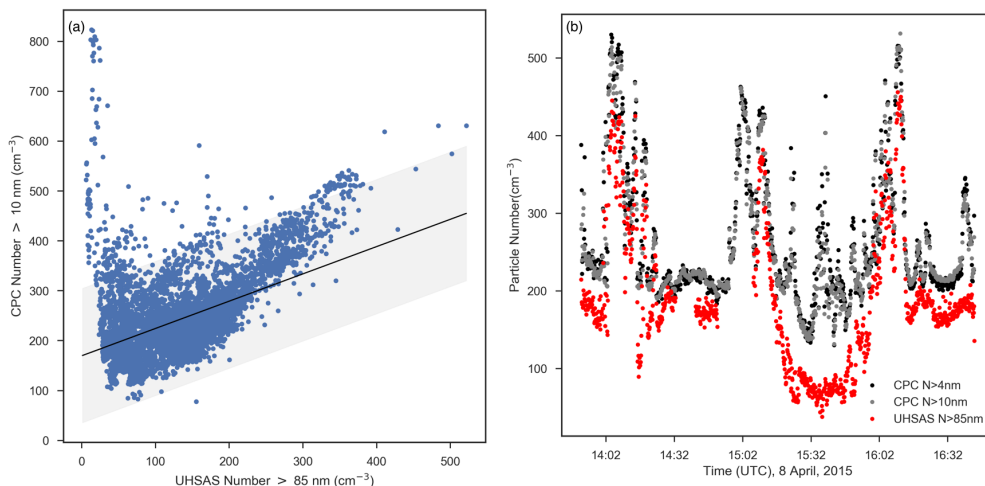


Figure AC2: Comparison between the number of particles greater than 10 nm measured by the CPC and the number of particles greater than 85 nm measured by the UHSAS, averaged to 10 second time intervals. (a) For all observations when the difference in concentration measured by the two CPCs was negligible. (b) An example time series comparison for Flight 3 on 8 April 2015.

observation occurred when the ToF-AMS measured significant NaCl^+ ; at these times, the ToF-AMS total aerosol mass was relatively constant, indicating that sea salt was an important contributor to aerosol mass. These discrepancies are discussed further in Section 1 of the Supplement. Owing to the discrepancies between measured and estimated particle mass, we emphasize that absolute mass concentrations presented in this work should be treated with caution; however, these discrepancies do not prevent a useful interpretation of the ToF-AMS data based upon relative changes in particle composition.”

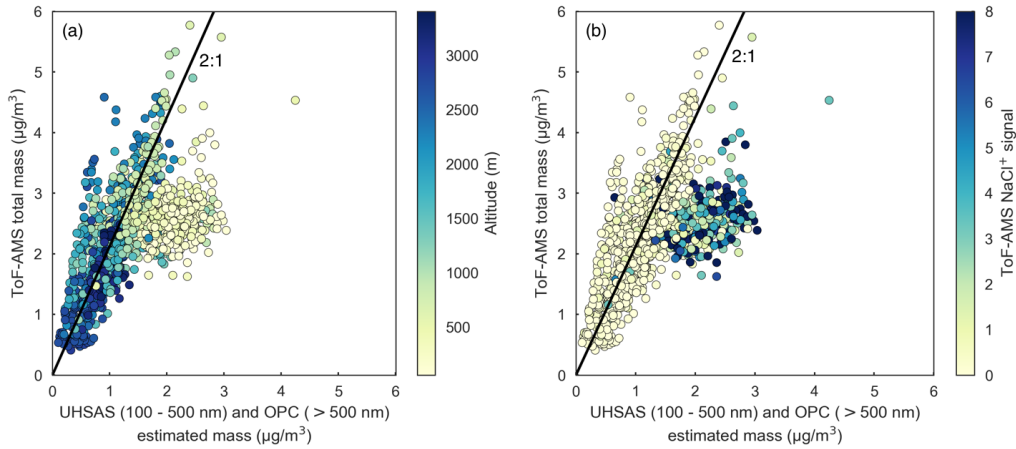


Figure AC3: Comparison between ToF-AMS total non-refractory aerosol mass and aerosol mass estimated from the UHSAS and OPC particle size distributions, assuming a particle density of 1.5 g/cm^3 and coloured by (a) sampling altitude and (b) ToF-AMS uncalibrated signal for sea salt.

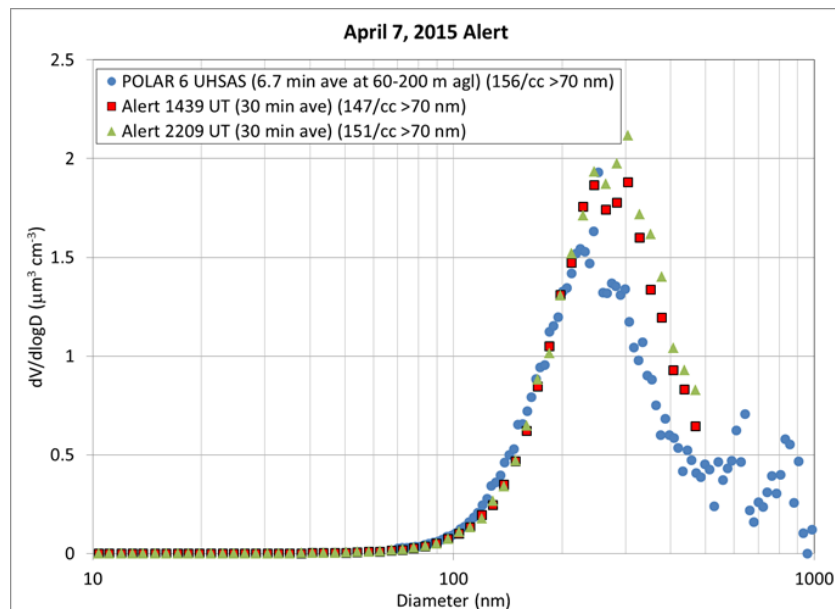


Figure AC4: Comparison between particle volume distributions measured by the UHSAS aboard Polar6 and measured with an SMPS at the Alert Observatory for periods during 7 April 2015 when the aircraft flew between 60 – 200 m.

Minor Comments:

Page 1, Lines 5-6: Remove reference.

This reference has been removed from the abstract.

Page 1, Line 8: What is the reason for listing ammonium as “NH4” instead of showing the 2+ charge and showing the 4 as subscript? This “NH4” formatting is used throughout.

The aerosol mass spectrometry community has developed the convention of using the notation “NH4” for ToF-AMS peaks that correspond to ammonium (NH_4^+), and similarly “SO4” for peaks corresponding to sulphate species (i.e., $(\text{NH}_4)_2\text{SO}_4$, NH_4HSO_4 , H_2SO_4) and “NO3” for peaks corresponding to nitrate species (NH_4NO_3 , HNO_3). For clarity, we have removed “NH4” from the abstract. This notation is now introduced in the methods section, and used when referring to the mass fraction of measured species (e.g., mf_{NH_4} , mf_{SO_4}).

Page 1, Lines 18-19: Also state in this sentence that these species are altitude dependent. Surface-based measurements will reflect long-range transport at the surface, but not the total transport to the Arctic. Please clarify this sentence.

Our intention here was to highlight that surface-based measurements do not necessarily represent aerosol transported through the depth of the Arctic troposphere. We have rephrased this sentence as follows: “Our observations highlight the differences in Arctic aerosol chemistry observed at surface-based sites and the aerosol transported throughout the depth of the Arctic troposphere in spring.”

Page 2, Line 28: Add reference.

References to Blanchet et al., 1994 and 1995 have been added to this sentence.

Page 11, Line 13: This is an old reference, and given the changing climate, it would seem that the temperature inversion frequency and depths may have changed over time. Is there a newer reference, or any evidence of recent change?

We had originally chosen this reference because it focused specifically on Alert and Eureka, NU, and was a nice illustration of temperature inversion frequencies at those location. However, we do agree that this is an old reference. We have added reference to newer work, including satellite-based climatologies, and edited the corresponding sentence to be more general, as follows: “Temperature inversions are frequent in the High Arctic spring, with median inversion strengths of $\sim 5 - 10$ K occurring frequently in March, April and May [Bradley et al., 1992; Tjernström et al., 2009; Zhang et al., 2011; Devasthale et al., 2016].” There may be evidence for long-term trends in temperature inversion strength and depth, but we believe that discussion of trends is beyond the scope of this paper.

Page 11, Line 18: Add Oltmans et al 2012, JGR, which shows ozonesonde data for near-surface vertically-resolved ozone levels.

This reference has been added.

Page 14, Lines 6-7: For context, please provide the ammonium content in parentheses, or integrate in the sentence.

Fisher et al., 2011 reports aerosol neutralization as $[\text{NH}_4^+]/(2[\text{SO}_4^{2-}] + [\text{NO}_3^-])$ along with absolute concentrations of ammonium and sulphate, but does not report other aerosol species such as organic aerosol and black carbon. This statement is based on the fact that Fisher et al observe

more ammonium relative to sulphate compared to our measurements, but direct comparison of ammonium content (e.g., mf_{NH_4}) is difficult so we do not include specific values. We have re-phrased this sentence to clarify that Fisher et al., 2011 observed higher ammonium relative to sulphate compared to our measurements.

Figure 7: Please remove plot (b) “Neutralization”, since this discussion has been removed per the quick review. The other three plots in this figure are very useful. Could the absolute concentrations be integrated in this figure, as well, perhaps as average markers?

This plot should have been replaced with the corresponding mf_{NH_4} plot following the quick review, and the neutralization plot appeared in error. We have corrected this and part (b) of Figure 7 now shows mf_{NH_4} .

Responses to Reviewer #2

Summary: This very well-written paper presents vertical profiles of various atmospheric constituents (gas and aerosol phase) measured during an airborne field campaign in the Arctic. The authors utilize air mass history modelling data to suggest that long range transport brings in aerosols and gases from lower latitudes at high altitude and subsequently these transported species subside to lower levels of the atmosphere. Their conclusion is that relying solely on long-term, surface-based in-situ measurements in the Arctic may lead to underestimation of some species in the Arctic troposphere.

Science comments: Obviously, long-term surface measurements don't tell the whole story, particularly in the Arctic, but I do think it would be worthwhile for the authors to reiterate towards the end of the manuscript that the surface measurements can provide value in terms of context/representativeness for at least lower altitude measurements. For example, as the authors note, 2008 was an anomalous year and not necessarily representative of Arctic climatology (P11, line34 – P12, line2). I realize the authors note this on P3, lines 24–25, but could be noted again later.

We agree entirely that surface-base measurements are incredibly important, and in no way meant to devalue these observations. To make this clear we have made the following two changes at the beginning and end of the manuscript. First, we have re-worded the final sentence of the abstract as follows: “ Our observations highlight the differences in Arctic aerosol chemistry observed at surface-based sites and the aerosol transported throughout the depth of the Arctic troposphere in spring.” Second, we have amended the conclusions section as follows: “While observations at long-term monitoring stations provide the majority of our knowledge about Arctic aerosol, decoupling of air masses near the surface from the rest of the polar dome means that surface-based observations may not represent the altitude dependent composition of aerosol transported to the Arctic troposphere. Our observations indicate that long-term, surface-based measurements may underestimate the contribution of organic aerosol, refractory black carbon and ammonium to aerosol transported to the High Arctic troposphere in spring. In addition, our observations of sea salt signals in the lower polar dome suggest that the significant sea salt concentrations observed at long-term monitoring stations in spring may not occur throughout the depth of the polar dome.”

Related to the comment above – many of the species measured on the aircraft are also measured at the surface at Alert. How do the surface measurements compare to the lowest potential temperature airborne measurements? Could those surface data (or some statistically appropriate summary of the surface data) be overlaid on the relevant plots (i.e., Figures 2, 4, and 6)? Something like this is done in Figure S4 for CO for the middle and lower polar dome, but it seems like the authors could place a symbol (or box-whisker) on the profile plots in the main manuscript showing the surface values of various parameters for April 2015 or April 7-13 2015 or the median over all Aprils or ...

Offline measurements of ambient particle composition were made at Alert during the time of the NETCARE 2015 campaign. In particular, one sample from MacDonald et al., ACP, 2017 spans April 6 – 13, 2015. We have added the corresponding measurements of sulphate, ammonium and refractory black carbon to Figure 6. No measurement of organic aerosol mass is available from Alert at this time so we cannot compare mass fractions as shown in Figure 5.

I found the discussion of PES a bit confusing. PES is given in units of seconds (P10, line 3), but on page 11, line 26 the authors talk about PES indicating that air masses had residence times of at

least 10 days. I'm not sure how one goes from PES values on order of 50 s (scale in Fig 5) to 10 days. I didn't see a reference to explain this, so it'd be helpful if the authors could either provide a reference or explain the relationship a little more clearly. Perhaps the scale in Fig 5 is actual log(seconds)?

Two concepts need to be clarified to make this issue clearer, and we describe this briefly here followed by changes to the manuscript. One is the PES value and the other is the PES distribution. The PES value in a particular grid cell (air volume) is the response function of a source-receptor relationship. The PES value is proportional to the particle residence time in that air volume and measures the simulated concentration at the receptor (i.e., the release point) that a source of unit strength in that air volume has for an inert tracer. This gives a value in seconds.

The conclusion that air masses resided for 10 days in the lower and middle polar dome comes from the PES distribution showing that most of the PES values are evident at high latitudes and not at mid-latitudes. This tells us that most air masses spend their time in the Arctic, instead of being recently transported to the Arctic.

We have expanded the description of PES in Section 2.2 as follows: "...In backward mode, the model provides an emission sensitivity function called the potential emission sensitivity (PES). The PES in a particular grid cell, or air volume, is the response function of a source-receptor relationship, and is proportional to the particle residence time in that grid cell [Hirdman et al., 2010]. PES values can be combined with emission distributions to calculate receptor concentrations, assuming the species is inert; however, we use the PES directly and show maps of PES with units of seconds (i.e., proportional to air mass residence time). Absolute residence times depend on the model output time step and the extent of spatial averaging. Maps of PES represent integration of model output over a period of time prior to sampling (i.e., 10 days), also referred to as the "time before measurement," and over a vertical range. We show maps of both the total column PES (i.e., 0 – 20 km) and partial column PES (i.e., 0 – 200 m), as emissions near the surface are of particular interest." We have also clarified wording in Section 3.1 to make it clear that conclusions about air masses having spent ~10 days in the Arctic are derived from PES distributions.

Technical/editing comments:

P9, line 34: providing a time-resolved information – providing time-resolved information
The typo has been corrected.

P10, line 2: provide approximate altitude or pressure range the 10 vertical levels in FLEXPART-ECMWF correspond to. Are the vertical bins evenly spaced?

This sentence has been amended as follows: "FLEXPART-ECMWF output was provided every three hours over the 10 day period, with horizontal grid spacing of 0.25° and 10 vertical levels (50, 100, 200, 500, 1000, 2000, 4000, 6000, 8000 and 10000 m)."

P11, line 28: observations indicates – observation indicates
The typo has been corrected.

P13, Figure 3: give indication of latitude values, the outer circle is 30 N but what are inner circles?
The locations of parallels illustrated on Figure 3 (and Figure 1) are now indicated in the figure captions.

P14, line 13: cannot distinguishing – cannot distinguish

This typo has been corrected.

P14, lines 16-17: first sentence of paragraph is unclear. I think it is missing something?

This sentence has been re-phrased for clarity as follows: “Air masses spent the longest times in the middle to lower polar dome (Figure 4), and aerosol composition varied systematically with time spent in this portion of the polar dome.”

P15, Figure 5: say what boxes whiskers represent is it 5,25,50,75,95 percentiles or ?? and say represent dots in each 0.2 fraction of time interval.

Clarification has been added to the figure caption as follows: “Data points corresponding to individual FLEXPART releases are shown as circles, and summary statistics are shown as boxes (25th, 50th, 75th percentiles) and whiskers (5th, 95th percentiles) for data binned by time spent in the middle and lower polar dome.”

P16, Figure 8: should ‘gaussian’ be capitalized?

This typo has been corrected.

Responses to Reviewer #3

This paper describes a carefully analysed and well thought through interpretation of a complex aircraft data set that provides significant new insight into the transport pathways and composition of aerosol in the Arctic polar dome. The analysis sets the observations in the framework of potential temperature to best reflected the vertical distribution of layers entering and descending the lower polar troposphere in spring time. The authors use an analysis of gas phase species to discriminate different layers within the polar dome and then analyse the aerosol characteristics within those layers. This paper offers very valuable new information on aerosol in the polar springtime and contextualises the more extensive surface based measurements that extent. It is a very thorough study and one that adds important new information to aerosol characterisation of the Arctic atmosphere. The paper is clear and very well written and despite the necessary detail conveys the information succinctly and in a way that is accessible for the non expert in most places. The figures and tables are informative and there is the right balance between information in the main text and the supplementary material. I recommend acceptance in ACP. I do have a few detailed comments that the authors should take on board:

Page 1: Abstract: “These differences in transport history were closely related to aerosol composition” It would be preferable to say that “Variations in aerosol composition were closely related to these differences in transport history” since transport history drives aerosol composition not the other way around.

This sentence has been revised as suggested.

Page 2: line 30: It is not obvious how the work in this paper has relevance to this problem since the flights were conducted at altitudes of 3.5 km or less. I would suggest removing this as a problem that this work can shed light on.

During the campaign, temperatures below -25°C were frequently observed below 3.5 km. As well, the sampled air masses may experience colder conditions at a later time. And so, we do believe the measurements are relevant to discussion of both homogeneous and heterogeneous ice nucleation. In particular, particles containing mineral dust, organic species, sea salt and neutralized sulphate can act as ice nuclei at temperatures both below and above the water homogeneous freezing temperature. To clarify this issue we have revised this sentence as follows: “Further, particles containing mineral dust, organic species, sea salt or neutralized sulphate can act as ice nuclei and increase ice crystal number, also leading to impacts...”

Page 3 line 18: I would start a new paragraph with “Sea salt...”

We have separated this long paragraph starting with the following sentence: “Arctic Haze observed near the surface is largely acidic sulphate, with lesser contributions from organic aerosol, dust, nitrate, ammonium and sea salt.”

Page 3: line 21-29: The text states that input to the Arctic from both Europe and Asia has been decreasing in the past decade yet most of the papers cited are either model studies or inversions constrained by surface measurements it may be worth tempering the statement to reflect the comments that follow.

We agree entirely that these conclusions are based largely on modeling efforts. These efforts, constrained by observations and using a variety of modeling approaches, provide the best information we can access about the origins of Arctic aerosol.

Page 8 lines 11-15: The technical details of AMS sampling will be lost to many non AMS users. It would be good to include references here to guide a non expert who wishes to know and include a line that summarises what data is delivered from each mode.

While we agree that these details may not be useful to all readers, it is nonetheless important to include them for completeness. We have added reference to DeCarlo et al., 2006 and Onasch et al., 2012 here.

Page 8: lines 27-28: It would be good to understand how variable these calibrations were and whether there was any trend. Were the calibrations all used and was the average taken or an interpolation performed?

Calibrations for ammonium nitrate ionization efficiency (IE) produced similar results during the campaign as well as before and after, within $\sim 20\%$. Most importantly, the ratio of the ionization efficiency to the air beam (nitrogen, m/z 28) signal remained constant (to within $\sim 10\%$) during the campaign. Data were calibrated based on the most recent calibration and the measured air beam signal was used to account for any differences in sensitivity that might have arisen between flights.

Page 9 lines 5-6: Given the low concentrations and the reliance of the CDCE methodology being very dependent on the ability to retrieve accurate ammonium concentrations from the fragmentation table over a wide range of water concentrations it would be good to understand the size of any potential bias in the calculation and well as the variation over the flight window.

We agree that the CDCE relies heavily on our ability to accurately quantify ammonium concentrations relative to sulphate and other species. However, given that we are using an H-ToF AMS instrument we do not agree that these values rely heavily on the fragmentation table. We are able to near baseline resolve peaks for ammonium and water at m/z 16, 17 and 18, and our ability to quantify ammonium relies therefore on fitting these peaks and not estimating contributions from water. While it is true that with a unit mass resolution instrument these effects would produce a highly variably CDCE, this was not observed in our analysis. As stated on Page 9, line 5, the median (quartile range) collection efficiency correction was 18% (12 – 28%), with this variation being driven almost entirely by differences in composition with altitude.

Page 9 line 21 and line 28: Figure S2 should read Figure S3

The references to Figure S3 on line 28, Page 9 has been corrected. The figure reference to Figure S2 at line 21 is correct. Note that the supplemental figure numbers have changed in the revise version of the manuscript.

Page 9 line 32: strictly Centre not Center

This typo has been corrected.

Page 10 line 25: demonstrate(s)

We believe “illustrates” is a reasonable usage here.

Page 11 line 30: The caption and axes labels in Figures 4 and S8 appear to be the same but the data are different. This needs clarification.

While we agree that there a close similarities between these two figures that could be confusing, the two figures do not have the same axes labels. The x-axis in Figure 4 is “Fraction of time north of 69.5° and below **280.5K**.” In other words, and as stated in the figure caption this corresponds

to the fraction of time spent in the polar dome (i.e., all parts). The x-axis in Figure S8 is “Fraction of time north of 69.5° and below **265K**.” In other words, and as stated in the figure caption this corresponds to the fraction of time spent in the middle and lower polar dome.

Page 12 line 3: This statement agrees with Liu et al 2015 but is in contrast with the other papers. This might be made more clear by rewording.

It is true that this observation agrees broadly with Liu et al 2015, and is in some contrast with other papers such as those cited on Page 12 line 7. These papers are largely from the IPY missions in 2008, when biomass burning was an important source seemingly at all altitudes sampled. We believe that our meaning is made clear in lines 5-6, which state that our observations suggest that biomass burning may not be the most important contributor to Arctic aerosol at all altitudes in all years.

Page 14 line 5: Could these differences also be a result of the difficulties in retrieving ammonium from the MS at such low concentrations?

Please see our response to the above comment focusing on the quantification of ammonium with the high resolution ToF-AMS used in these flights.

Page 16 lines 15-16: This process would need to drive against an opposing temperature gradient driving condensation with reducing altitude though. Previous work suggests this highly aged OA is of low volatility. This might be worth commenting on.

We certainly agree that volatilization from organic aerosol fragmentation processes would be countered somewhat at lower temperatures. We have revised this sentence as follows: “In addition, oxidation of existing OA, resulting in fragmentation and loss of aerosol mass to the gas phase could contribute to a decrease in OA concentrations toward lower altitudes [e.g., Kroll et al., 2009]; however, this process may be less important at low temperatures.”

Page 18 figure 8 caption “...solid lines represent...”

This typo has been corrected.

Page 20 figure 9: The ToF signals of organic aerosol at sizes above 500 nm are likely to have very poor counting statistics. The lack of oscillation of the ToF signal at high sizes also suggests the baseline is incorrectly accounted for. I am somewhat skeptical that the increase in OA/SO₄ above 400 nm to 600 nm can be observed above the signal to noise. I would like to see the Poisson statistics and an assessment of the baseline correction before much claim is made for this enhancement.

We agree entirely that the particle time of flight data suffers from low signal to noise ratios owing to low aerosol concentrations. This is generally the case for pToF data collected aboard aircraft and is the reason why we focus on the mean values over several flights. The baseline correction was done using a baseline region at particle flight times longer than 5000 μ s, which corresponds to particle sizes above 2 μ m where no particle or air signals are present in the spectrum. The baseline correction cannot account for noise in the organic aerosol pToF spectrum resulting from low particle concentrations. We have added shaded errors to Figure 9, which correspond to the mean size distribution for sulphate and organics plus or minus one standard deviation. This highlights the uncertainty in pToF distributions and the corresponding uncertainty in the derived fraction of

sulphate as a function of size. In the caption of Figure 9 we state: “Shading corresponds to plus or minus one standard deviation for sulphate and organic aerosol size distributions, and the relatively large variation in size-resolved composition indicates that the derived mass fraction of sulphate as a function of size is uncertain.” We note that similar conclusions were drawn from both single particle and bulk size resolved data, supporting these results despite the low signal to noise ratios in measurements of size-resolved composition.

Page 19 lines 19-22: Conclusions: is the converse true? That is do surface based observations overplay the contribution of export of sulfate to Arctic in spring compared to the aircraft measurements presented here? If so it is worth stating.

The converse is likely true, and we have revised this sentence to reflect this: “Our observations indicate that long-term, surface-based measurements may underestimate the contribution of organic aerosol, refractory black carbon and ammonium to aerosol transported to the High Arctic troposphere in spring, while overestimating the contribution of sulphate.”

Pages 20 and 21: figures 9 and 10: can you explain the differences between the sulfate size distributions in figures 9 and 10?

Differences in the sulphate size distribution between Figures 9 and 10 arise from different sampling methods (i.e., bulk particle time-of-flight, pToF, versus single particle), as well as different sampling periods. Due to instrument software restrictions during this campaign, the bulk pToF and single particle modes could not be run at the same time. The single particle data was collected on two flights and therefore represents less averaging compared to the bulk pToF data. The single particle data is also shown for all altitudes, whereas the bulk pToF data is shown separated by altitude, illustrating differences in the sulphate particle size.

~~Aircraft~~ Aircraft-based measurements of High Arctic springtime aerosol show evidence for vertically varying sources, transport and composition

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Abstract. The sources, chemical transformations and removal mechanisms of aerosol transported to the Arctic are key factors that control Arctic aerosol-climate interactions. Our understanding of sources and processes is limited by a lack of vertically resolved observations in remote Arctic regions. We present vertically resolved observations of trace gases and aerosol composition in High Arctic springtime, made largely north of 80°N, during the NETCARE campaign. Trace gas gradients observed on these flights defined the polar dome as north of 66 – 68.5°N and below potential temperatures of 283.5 – 287.5 K (Bozem et al., 2018). In the polar dome, we observe evidence for vertically varying source regions and chemical processing. These vertical changes in sources and chemistry lead to systematic variation in aerosol composition as a function of potential temperature. We show evidence for sources of aerosol with higher organic aerosol (OA), ammonium (NH₄) and refractory black carbon (rBC) content in the upper polar dome. Based on FLEXPART-ECMWF calculations, air masses sampled at all levels inside the polar dome (i.e., potential temperature < 280.5 K, altitude < ~3.5 km) subsided during transport over transport times of at least 10 days. Air masses at the lowest potential temperatures, in the lower polar dome, had spent long times (>10 days) in the Arctic, while air masses in the upper polar dome had entered the Arctic more recently. ~~These differences in transport history~~ Variations in aerosol composition were closely related to ~~aerosol composition~~ transport history. In the lower polar dome, the measured sub-micron aerosol mass was dominated by sulphate (mean 74%), with lesser contributions from rBC (1%), ~~NH₄~~ ammonium (4%) and OA (20%). At higher altitudes and warmer potential temperatures, OA, ~~NH₄~~ ammonium and rBC contributed 42%, 8% and 2% of aerosol mass, respectively. A qualitative indication for the presence of sea salt showed that sodium chloride contributed to sub-micron aerosol in the lower polar dome, but was not detectable in the upper polar dome. Our observations ~~suggest that long-term~~ highlight the differences in Arctic aerosol chemistry observed at surface-based measurements underestimate the contribution of OA, rBC and NH₄ to aerosol transported to the sites and the aerosol transported throughout the depth of the Arctic troposphere in spring.

1 Introduction

Arctic regions are warming faster than the global average, with significant impacts on local ecosystems and local people (e.g. Bindoff et al., 2013; Hinzman et al., 2013). While Arctic warming is driven largely by increasing concentrations of anthropogenic greenhouse gases and local feedback mechanisms, short-lived climate forcing agents also impact Arctic climate.

5 In particular, short-lived species such as aerosol, tropospheric ozone and methane, are important climate forcers (e.g., Law and Stohl, 2007; Quinn et al., 2008). The impact of pollution aerosol, transported northward over long distances, on Arctic climate has been significant. For example, a large fraction of greenhouse gas induced warming ($\sim 60\%$) has been offset by anthropogenic aerosol over the past century, such that reductions in sulphur emissions in Europe since 1980 can explain a large amount of Arctic warming since that time (~ 0.5 K) (Fyfe et al., 2013; Najafi et al., 2015; Navarro et al., 2016). These estimates
10 are compelling, and at the same time global models that form the basis of our predictive capability often struggle to reproduce key characteristics of Arctic aerosol, such as the seasonal cycle and vertical distribution (Shindell et al., 2008; Emmons et al., 2015; Monks et al., 2015; Eckhardt et al., 2015; Arnold et al., 2016). Our incomplete understanding of Arctic aerosol processes results in diverse and frequently poor model skill in simulating Arctic aerosol both at the surface and through the troposphere, and therefore also in accurately simulating aerosol-climate interactions (Arnold et al., 2016). This challenge arises in part due
15 to a lack of vertically-resolved observations in Arctic regions.

Particle composition drives aerosol optical properties (e.g., Boucher and Anderson, 1995; Jacobson, 2001; Wang et al., 2008), ice nucleation efficiency (e.g., Abbatt et al., 2006; Hoose and Möhler, 2012), and heterogeneous chemistry that impacts both gas and particle composition (e.g., Fan and Jacob, 1992; Mao et al., 2010; Abbatt et al., 2012). The vertical distribution of aerosol and its chemical and physical properties can impact Arctic regional climate in a number of ways. First, absorption
20 of incoming solar radiation by aerosol (e.g., black carbon) can lead to warming in the lower troposphere when present near the surface. In contrast, absorbing aerosol present at higher altitudes causes cooling at the surface and impacts atmospheric stratification (Rinke et al., 2004; Ritter et al., 2005; Treffeisen et al., 2005; Shindell and Faluvegi, 2009; Engvall et al., 2009). Further, location in the troposphere impacts deposition to high albedo surfaces, depending on the mechanism of removal (e.g., Macdonald et al., 2017). Absorbing aerosol deposited to the surface has a strong impact on the albedo of ice and snow, ef-
25 ficiently leading to warming (Clarke and Noone, 1985; Hansen and Nazarenko, 2004; Flanner et al., 2009; Flanner, 2013). Second, neutralization of acidic sulphate impacts aerosol water content and aerosol phase, with implications for the magnitude of aerosol-radiation interactions (Boucher and Anderson, 1995; Wang et al., 2008). Third, sulphuric acid coatings on particles can decrease their ability to act as ice nucleating particles (INPs), leading to larger, more readily precipitating ice crystals ([Blanchet and Girard, 1994, 1995](#)). This process can lead to enhanced atmospheric dehydration, leading to diminished long-
30 wave forcing (Curry and Herman, 1985; Blanchet and Girard, 1994, 1995). Further, particles containing mineral dust, organic species, sea salt or neutralized sulphate can ~~increase the number of ice crystals at cirrus temperatures~~ [act as ice nuclei and increase ice crystal number](#), also leading to impacts on long-wave and short-wave cloud forcing (Sassen et al., 2003; Abbatt et al., 2006; Baustian et al., 2010; Wagner et al., 2018). Finally, Arctic pollution aerosol can impact the micro-physical properties of liquid-containing clouds, by increasing liquid water path and decreasing droplet radius. Such micro-physical changes

can result in enhanced long-wave warming effects during winter and spring (Garrett and Zhao, 2006; Lubin and Vogelmann, 2006; Zhao and Garrett, 2015).

Observations at Arctic ground-based monitoring stations form the basis of our current knowledge about Arctic aerosol seasonality, chemical composition and sources. These long-term observations have demonstrated a pronounced seasonal cycle in Arctic aerosol mass concentrations, particle size distribution and composition, driven by seasonal variations in northward long range transport and aerosol wet removal (e.g., Quinn et al., 2007; Sharma et al., 2013; Tunved et al., 2013; Croft et al., 2016; Asmi et al., 2016; Nguyen et al., 2016; Freud et al., 2017; Leaitch et al., 2018). Aerosol mass concentrations peak in winter to early spring when long range transported accumulation mode particles (200 – 400 nm mode diameter), referred to broadly as “Arctic Haze”, dominate the particle size distribution (e.g., Croft et al., 2016; Freud et al., 2017). A mixture of natural and anthropogenic aerosol is transported to Arctic regions by near-isentropic transport along surfaces of constant potential temperature that slope upwards toward the Arctic (Klonecki et al., 2003; Stohl, 2006). The sloping isentropic surfaces form a closed “dome” over the polar region; this polar dome is zonally asymmetric, extends further south in winter and contracts northward in spring to summer (Shaw, 1995; Law and Stohl, 2007; Stohl, 2006; Law et al., 2014).

Arctic Haze observed near the surface is largely acidic sulphate, with lesser contributions from organic aerosol, dust, nitrate, ammonium and sea salt (Li and Winchester, 1989; Quinn et al., 2002, 2007; Shaw et al., 2010; Leaitch et al., 2018). Aerosol acidity increases during winter and reaches a peak in late spring (Sirois and Barrie, 1999; Toom-Sauntry and Barrie, 2002), before the return of wet removal brings the Arctic toward near-pristine conditions with more neutralized aerosol (Engvall et al., 2008; Browse et al., 2012; Breider et al., 2014; Wentworth et al., 2016; Croft et al., 2016). Sea salt is thought to be an important contributor to Arctic Haze in winter to early spring owing to stronger wind speeds over nearby oceans, potential wind-driven sources in ice and snow-covered regions, and open leads (Leck et al., 2002; Shaw et al., 2010; May et al., 2016; Huang and Jaegle, 2017; Kirpes et al., 2018). The major source region of near-surface Arctic Haze in winter and early spring is Northern Europe and Northern Asia/Siberia, but the magnitude of sources in this region have been decreasing in recent decades (Barrie and Hoff, 1984; Sharma et al., 2004; Koch and Hansen, 2005; Sharma et al., 2006; Hirdman et al., 2010; Huang et al., 2010b; Gong et al., 2010; Bourgeois and Bey, 2011; Stohl et al., 2013; Sharma et al., 2013; Monks et al., 2015; Qi et al., 2017). Surface-based observations have provided substantial insight into Arctic aerosol processes, but owing to the stability of the troposphere the surface can be decoupled from the atmosphere above. Therefore, surface-based observations may not represent the overall composition of aerosol transported to the Arctic troposphere (e.g., Stohl, 2006; McNaughton et al., 2011). How transported aerosol present throughout the troposphere is related to Arctic Haze observed near the surface remains an unresolved question (Law et al., 2014; Arnold et al., 2016).

Vertically resolved observations of the Arctic atmosphere, in the last 20 years, have furthered our understanding of the properties, processes, and impacts of Arctic aerosol. Some of the only seasonal airborne observations of aerosol sulphate, suggested that the aerosol seasonal cycle may differ aloft compared to near the surface (Klonecki et al., 2003; Scheuer et al., 2003). Clean-out may begin to take place near the surface in late April to May, before significant changes occur aloft. Intensive observations were made during the International Polar Year (IPY) in 2007–2008. During IPY, high concentrations of aerosol and trace gases from biomass and fossil fuel burning were observed in discrete layers that did not appear related to Arctic

Haze observed near the surface (e.g., Warneke et al., 2009; Schmale et al., 2011; Brock et al., 2011; Law et al., 2014). Also during IPY, aerosol ammonium content increased from near the surface toward the middle to upper troposphere (Fisher et al., 2011). The largest fraction of sulphate was observed in the lower ~ 2 km, in general agreement with long term monitoring observations. In years with high burned area in the Northern Hemisphere, such as 2008, biomass burning sources contribute a significant fraction of black carbon and organic aerosol in the Arctic troposphere (Warneke et al., 2009; Hecobian et al., 2011; McNaughton et al., 2011; Bian et al., 2013). In years with moderate burned area consistent with decadal mean conditions, anthropogenic sources can still lead to enhanced absorbing aerosol in the Arctic mid-troposphere (Liu et al., 2015). IPY observations in the Alaskan Arctic demonstrated that background pollution aerosol (i.e., in air masses with $\text{CO} < 170 \text{ ppb}_v$) and aerosol in the near surface layer (i.e., in air masses with depleted O_3) contained a larger fraction of sulphate compared to aerosol attributed to biomass or fossil fuel burning (Brock et al., 2011). The properties of Arctic background air masses were generally consistent with median observations at a nearby ground station, Utqiagvik (Barrow), Alaska (Brock et al., 2011). This background aerosol often has diffuse source regions that are difficult to diagnose precisely using 10 day backward trajectories (e.g., Brock et al., 2011; Qi et al., 2017; Leaitch et al., 2018).

Our knowledge of the vertical distribution of Arctic aerosol source regions has also been extended by recent airborne observations. Results from modelling efforts generally agree that Arctic pollution aerosol is a result of a combination of anthropogenic and natural sources from mid-latitudes in the Northern Hemisphere; particularly a combination of European, North and South Asian and North American source regions (e.g., Law et al., 2014; Arnold et al., 2016). However, modelling efforts provide less quantitative agreement on the magnitude of the contributions of each region near the surface and as a function of altitude. Our emerging understanding is of Northern Eurasian sources dominating near the surface in winter, while North America and South/East Asia can be important in the middle to upper troposphere (e.g., Koch and Hansen, 2005; Shindell et al., 2008; Huang et al., 2010a; Law et al., 2014; Liu et al., 2015; Arnold et al., 2016; Qi et al., 2017). In spring, as the polar dome recedes northward, North American and Asian sources become more important at all altitudes (Koch and Hansen, 2005; Fisher et al., 2011; Xu et al., 2017). Overall, more southerly source regions become more important at higher altitudes (e.g., Stohl, 2006; Fisher et al., 2011; Harrigan et al., 2011), and the importance of Asian sources above the Arctic surface is being increasingly recognized (e.g., Koch and Hansen, 2005; Fisher et al., 2011; Xu et al., 2017). The magnitude of Asian influence on the lower troposphere inferred from models in spring varies significantly and depends on emissions estimates and assumptions about aerosol removal efficiency during transport (e.g., Matsui et al., 2011a, b). Source apportionment of recent vertically-resolved Arctic black carbon observations demonstrated that Eastern and Southern Asia make important contributions throughout the troposphere in spring, with a more significant contribution at higher altitudes (Xu et al., 2017). Northern Asia was a more important source region near the surface (Xu et al., 2017). Changes in source strengths at mid-latitudes and within the Arctic strongly impacts the dominant source regions for different aerosol species (Arnold et al., 2016).

Previous vertically resolved observations of Arctic pollution aerosol frequently focused on episodic events of high pollutant concentrations, largely owing to their potential radiative impact (e.g., Rahn et al., 1977; Engvall et al., 2009; Warneke et al., 2009; Law et al., 2014). We know less about the vertical distribution of Arctic aerosol properties within the High Arctic polar dome and under conditions consistent with Arctic background conditions (e.g., $\text{CO} < 170 \text{ ppb}_v$ (Brock et al., 2011)).

Improved understanding of different anthropogenic and natural contributions to Arctic aerosol will provide a scientific basis for sustainable climate mitigation and adaptation strategies. Within the framework of the NETCARE project, airborne observations of Arctic Haze aerosol were made across the North American and European Arctic in April 2015. Observations of trace gas gradients during this campaign were used by Bozem et al. (2018) to define the boundaries of the polar dome. The location of the maximum trace gas gradient defined the polar dome as north of 66 – 68.5°N and below potential temperatures of 283.5 – 287.5 K. Based on Bozem et al. (2018) we use a conservative definition of the polar dome area based on the interquartile range of the location of maximum trace gas gradient: north of 69.5°N and below 280.5 K. In this work, we quantify vertical changes in sub-micron aerosol composition in the Canadian High Arctic within the boundaries of the polar dome and in the absence of episodic transport events of high pollutant concentrations. Using the Lagrangian particle dispersion model FLEXPART, we explore the source regions that drive observed sub-micron aerosol in the springtime polar dome. Finally, we examine the depth over which aerosol consistent with surface monitoring observations extends vertically in the polar dome, and assess the representativeness of ground-based observations for aerosol transported to the polar dome in spring.

2 Methods

2.1 High Arctic measurements

2.1.1 Measurement platform and inlets

Measurements of aerosol, trace gases and meteorological parameters were made in High Arctic spring aboard the Alfred Wegener Institute (AWI) Polar 6 aircraft, an unpressurised DC-3 aircraft converted to a Basler BT-67 (Herber et al., 2008), as part of the Network on Climate and Aerosols: Addressing Key Uncertainties in Remote Canadian Environments project (NETCARE, <http://www.netcare-project.ca>), and in partnership with the Polar Airborne Measurements and Arctic Regional Climate Model Simulation Project (PAMARCMiP (Herber et al., 2012)). Measurements on a total of 10 flights took place from 4 - 22 April, 2015 based at four stations along the PAMARCMiP track: Longyearbyen, Svalbard (78.2° N, 15.6° E); Alert, Nunavut, Canada (82.5° N, 62.3° W); Eureka, Nunavut, Canada (80.0° N, 85.9° W); and Inuvik, Northwest Territories, Canada (68.4° N, 133.7° W). To focus our analysis on aerosol within the polar dome, a subset of six flights in the High Arctic during 7 – 13 April 2015 are considered in this analysis (Figure 1). The vertical extent of these flights is shown in Figure S1. During measurement flights aircraft speed was maintained at $\sim 75 \text{ m s}^{-1}$ ($\sim 270 \text{ km h}^{-1}$), with ascent and descent rates of $\sim 150 \text{ m min}^{-1}$.

Aerosol and trace gas inlets were identical to those used aboard Polar 6 during the NETCARE 2014 summer campaign, and are described in Leitch et al. (2016) and Willis et al. (2016). Briefly, aerosol was sampled near isokinetically through a stainless steel shrouded diffuser inlet, with near unity transmission of particles 20 nm to $\sim 1 \mu\text{m}$ in diameter at typical survey airspeeds and a total flow rate of approximately 55 L min^{-1} . Bypass lines off the main inlet, at angles of 45°, carried aerosol to various instruments. Performance of the aerosol inlet used here was characterized by Leitch et al. (2016). Aerosol was not

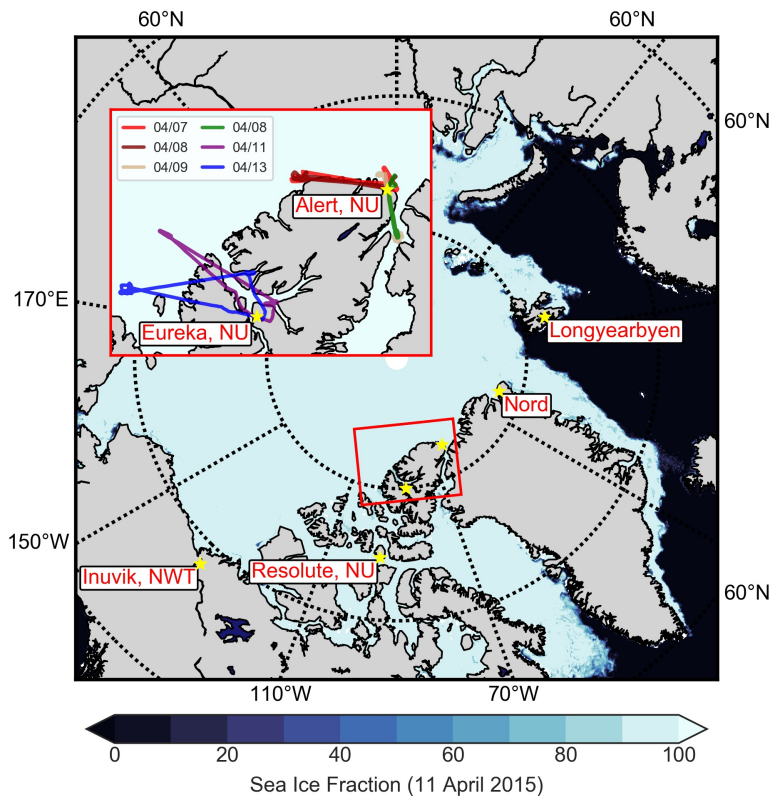


Figure 1. Map of the NETCARE 2015 campaign study area, showing sea ice concentrations on 11 April 2015 (Spreen et al., 2008). All stations along the NETCARE/PAMARCMiP 2015 track are shown with red stars (Longyearbyen, Svalbard; Alert, Nunavut; Eureka, Nunavut; Resolute Bay, Nunavut; and Inuvik, Northwest Territories). Parallels are shown in dashed circles at 60° N, 70° N and 80° N (Inset) Flight tracks from six flights during 7 – 13 April, 2015 based in Alert and Eureka, Nunavut, which are the focus of this work.

actively dried prior to sampling; however, the temperature in the inlet line within the aircraft cabin was at least 15 °C warmer than the ambient temperature so that the relative humidity (RH) decreased significantly.

2.1.2 State parameters

State parameters and meteorological conditions were measured with an AIMMS-20, manufactured by Aventech Research Inc. (Barrie, ON, Canada; aventech.com/products/aimms20.html). The AIMMS-20 consists of three modules: (1) an Air Data Probe, which measures temperature and the three-dimensional aircraft-relative flow vector (TAS, angle of attack, and side slip) with a three-dimensional accelerometer for measurement of turbulence; (2) an Inertial Measurement Unit, which provides the aircraft angular rate and acceleration; and (3) a Global Positioning System for aircraft three-dimensional position and inertial

velocity. Vertical and horizontal wind speeds are measured with accuracies of 0.75 and 0.50 m s⁻¹ respectively. Accuracy and precision of the temperature measurement are 0.30 and 0.10 °C respectively. Potential temperature was calculated using temperature and pressure measured by the AIMMS-20.

2.1.3 Trace gases

5 *Carbon Monoxide.* CO concentrations were measured at 1 Hz with an Aerolaser ultra-fast carbon monoxide monitor (model AL 5002), based on VUV-fluorimetry using excitation of CO at 150 nm. The instrument was modified such that in situ calibrations could be conducted in flight. Measured concentrations were significantly higher than the instrument detection limit. The measurement precision is ±1.5 ppbv, with an instrument stability based on in-flight calibrations of 1.7%.

10 *Water Vapour and Carbon Dioxide.* H₂O and CO₂ measurements were made at 1 Hz using non-dispersive infrared absorption with a LI-7200 enclosed CO₂/H₂O Analyzer from LI-COR Biosciences. In situ calibrations were performed during flight at regular intervals (15–30 min) using a NIST traceable CO₂ standard with zero water vapour concentration. Measured concentrations were significantly higher than the instrument detection limit. The measurement precision for CO₂ is ±0.05 ppmv, with an instrument stability based on in-flight calibrations of 0.5%. The measurement precision for H₂O is ±18.5 ppmv, with an instrument stability based on in-flight calibrations of 2.5%.

15 *Ozone.* O₃ concentrations were measured, with a time resolution of 10 s, using UV absorption at 254 nm with a Thermo Scientific ozone analyzer (model 49i). The measurement uncertainty is ±0.2 ppbv.

2.1.4 Particle concentrations

Aerosol number size distributions from 100 nm to 1 μm were acquired with two instruments: (1) a Droplet Measurement Technology (DMT) Ultra High Sensitivity Aerosol Spectrometer (UHSAS) with a flow rate of 55 cm³ min⁻¹ from a bypass flow
20 off the main aerosol inlet. ~~The UHSAS measured scattered light intensity of single particles that cross an intra-cavity 1054, and~~
(2) a GRIMM Sky Optical Particle Counter (Sky-OPC, model 1.129) with a flow rate of 1200 cm³ min⁻¹ from a bypass flow off
the main aerosol inlet (Cai et al., 2008). In their overlapping size range ~~(laser to determine particle size (Cai et al., 2008). We),~~
comparison of UHSAS and OPC particle number concentrations suggested that the UHSAS underestimated the concentration
of larger particles (>500 nm). This comparison is presented in Figure S2. We therefore present these observations as the num-
25 ber of particles between 100 – 500 nm (N_{100–500}) derived from UHSAS observations and the number greater than 500 nm
(N_{>500}) from the OPC. Recent work has highlighted the impact of rapid pressure changes, during aircraft ascent and descent,
on reported UHSAS particle concentrations (Brock et al., 2011; Kupc et al., 2018). However, comparison between particle
measurements during NETCARE 2015 suggests that these effects are not significant likely owing to the relatively slow ver-
tical speed of the Polar 6 (Schulz et al., 2018). Owing to these instrumental discrepancies present at low particle number
30 concentrations, we emphasize that absolute particle number concentrations should be treated with caution.

2.1.5 Particle composition

Refractory Black Carbon. Concentrations of particles containing refractory black carbon (rBC) were measured with a DMT single particle soot photometer (SP2) (Schwarz et al., 2006; Gao et al., 2007). The SP2 uses a continuous intra-cavity Nd:YAG laser (1064 nm) to classify particles as either incandescent (rBC) or scattering (non-rBC), based on the individual particle's interaction with the laser beam. The peak incandescence signal is linearly related to the rBC mass. The SP2 was calibrated with Fullerene Soot (Alfa Aesar) standard by selecting a narrow size distribution of particles with a differential mobility analyzer upstream of the SP2 (Laborde et al., 2012). The SP2 efficiently detected particles with rBC mass of 0.6 to 328.8 fg, which corresponds to 85 – 704 nm mass equivalent diameter (assuming a void free bulk material density of 1.8 g cm^{-3}). rBC mass concentrations were not corrected for particles outside the instrument size range, and the measurement uncertainty is $\pm 15\%$ (Laborde et al., 2012). Measurements of rBC during NETCARE 2015 are discussed in detail by Schulz et al. (2018).

Non-refractory Aerosol Composition. Non-refractory aerosol composition was measured with an Aerodyne time-of-flight aerosol mass spectrometer (ToF-AMS) (DeCarlo et al., 2006). Operation of the ToF-AMS aboard Polar 6 and characterization of the pressure - controlled inlet system is described in Willis et al. (2016) and Willis et al. (2017). The ToF-AMS deployed here was equipped with an infrared laser vaporization module similar to that of the DMT SP2 (SP – laser) (Onasch et al., 2012); however, rBC concentrations during the flights discussed here were generally below ToF-AMS detection limits ($\sim 0.1 \text{ ug m}^{-3}$ for rBC) so SP2 measurements of rBC are used in this work. The instrument was operated up to an altitude of $\sim 3.5 \text{ km}$, and the temperature of the ToF-AMS was passively maintained using a modular foil-lined insulating cover. The ToF-AMS was operated in “V-mode” with a mass range of m/z 3–290, alternating between ensemble mass spectrum (MS) mode for 10 s (two cycles of 5 s MS open and 5 s MS closed) with the SP – laser on, MS mode with the SP – laser off for 10 s, and efficient particle time-of-flight (epToF) mode with the SP – laser on for 10 s (Supplement Table S1) (DeCarlo et al., 2006; Onasch et al., 2012). Single particle observations were made on two flights; this ToF-AMS operation mode is described below. Only observations made with the SP – laser off are used to quantify non-refractory aerosol composition. Filtered ambient air was sampled with the ToF-AMS at least three times per flight, for a duration of at least 5 min, to account for contributions from air signals.

Species comprising non-refractory particulate matter are quantified by the ToF-AMS, including sulphate (“SO4”), nitrate (“NO3”), ammonium (“NH4”), ~~nitrate, ammonium,~~ and the sum of organic species (OA). The ToF-AMS is also capable of detecting sea salt (Ovadnevaite et al., 2012). The detection efficiency of sea salt-containing particles is dependent on not only the ambient RH but also the temperature of the tungsten vaporizer (Ovadnevaite et al., 2012). A quantitative estimate of sea salt mass is not possible with these measurements and this species is not included in calculation of aerosol chemical mass fractions, such that mass fractions presented represent non-refractory aerosol species and rBC measured by the SP2. The vaporizer temperature was calibrated with sodium nitrate particles, and was operated at a temperature of $\sim 650^\circ \text{ C}$. ToF-AMS signals for sea salt, in particular NaCl^+ (m/z 57.96), can be used to quantify sea salt (Ovadnevaite et al., 2012); however, here we use the NaCl^+ signal only as a qualitative indication for the presence of sea salt owing to uncertainties in sea salt collection efficiency as a function of RH and the lack of RH measurement in the sampling line. Ammonium nitrate calibrations (Jimenez et al., 2003) were carried out twice during the campaign as well as before and after, owing to restricted access to calibration instruments

during the campaign. Air-beam corrections were referenced to the appropriate calibration in order to account for differences in instrument sensitivity between flights. The relative ionization efficiencies for sulphate and ammonium (RIE_{SO_4} and RIE_{NH_4}) were 0.9 ± 0.1 and 3.4 ± 0.3 . The default relative ionization efficiency for organic species (i.e. $RIE_{Org} = 1.4$) was used, which is appropriate for oxygenated organic aerosol (Jimenez et al., 2003, 2016). Elemental composition was calculated using the method presented in Canagaratna et al. (2015). Data were analysed using the Igor Pro based analysis tool PIKA (v.1.16H) and SQUIRREL (v.1.571) (Seuper, 2010). Detection limits and propagated uncertainties (i.e., $\pm(\text{detection limit} + \text{total uncertainty})$) for sulphate, nitrate, ammonium, and organics at a 10 second time resolution were $\pm(0.009 \mu\text{g m}^{-3} + 35\%)$, $\pm(0.001 \mu\text{g m}^{-3} + 33\%)$, $\pm(0.003 \mu\text{g m}^{-3} + 33\%)$, and $\pm(0.08 \mu\text{g m}^{-3} + 37\%)$, respectively. We note that ion ratios commonly reported from ToF-AMS measurements of ammonium and sulphate are not appropriate for estimating aerosol neutralization (Hennigan et al., 2015), so we do not report these here. A composition-dependent collection efficiency (CDCE) was applied to correct ToF-AMS mass loadings for non-unity particle detection due to particle bounce on the tungsten vaporizer (Middlebrook et al., 2012), which resulted in a median (quartile range) CE correction of 18% (12 – 28%) applied uniformly to non-refractory aerosol species.

ToF-AMS total non-refractory aerosol mass ~~agreed with estimated UHSAS aerosol mass within~~ correlated well with estimated aerosol mass from the UHSAS and OPC, but was generally higher by approximately a factor of two ~~-(Figure S4, assuming a mean density of 1.5 g cm^{-3}). An important exception to this observation occurred when the ToF-AMS measured significant NaCl^+ ; at these times, the ToF-AMS total aerosol mass was relatively constant, indicating that sea salt was an important contributor to aerosol mass. These discrepancies are discussed further in Section 1 of the Supplement. Owing to the discrepancies between measured and estimated particle mass, we emphasize that absolute mass concentrations presented in this work should be treated with caution; however, these discrepancies do not prevent a useful interpretation of the ToF-AMS data based upon relative changes in particle composition.~~

ToF-AMS Single Particle Measurements. The ToF-AMS was operated in Event Trigger Single Particle (ETSP) mode on two flights (Table S1). ETSP is run in the single-slit particle-time-of-flight (pToF) mode. A particle event is defined as a single mass spectrum (MS) extraction or set of consecutive MS extractions associated with a single particle being vaporized and producing MS signals. The number of MS extractions obtained during a particle event is determined by the pulser frequency, and thus the mass range, set during acquisition; in this case 30.9 kHz, corresponding to a pulser period of $32.4 \mu\text{s}$ (m/z 3–290). Under these conditions, at least a single mass spectrum is collected per particle event. Saving mass spectra associated with a particle event is triggered in real-time based on the signals present in up to three continuous ranges of mass-to-charge ratios, called Regions of Interest (ROIs). Three ROIs were used in this work such that a signal above a specified ion threshold in any ROI would trigger saving a mass spectrum (Table S2). Ion thresholds were purposely set low to collect a large number of false positives that are subsequently removed based on the relationship between total aerosol ion signal (i.e., excluding air) and particle size (Figure S2S5), similar to the approach described in Lee et al. (2015). Two background regions in the particle size distribution (10 – 50 nm and 2000 – 4000 nm) were selected to determine the average background ion signal excluding air peaks, and particle events considered “real” must be between 80 – 1000 nm with ion signals above the mean background plus three times its standard deviation (Figure S2S5). A simplified fragmentation table, described in Lee et al. (2015), was applied

to particle mass spectra identified as “real” and fragmentation corrections were based on higher mass resolution ensemble MS spectra collected concurrently. A total of 1677 “real” particle spectra were collected over two flights (8 and 13 April, 2015). k-means cluster analysis was applied to particle spectra to explore different particle mixing states, following Lee et al. (2015). A two-cluster solution was selected to describe the 1677 total “real” particle spectra. Owing to the small number of particle spectra and the lack of specificity in organic aerosol peaks from highly oxygenated aerosol, increasing the number of clusters did not yield physically meaningful information. Mean mass spectra and mass spectral histograms for each particle class are shown in Figure S2S6. ETSP data was analysed using the Igor Pro based analysis tools Tofware version 2.5.3.b (developed by TOFWERK and Aerodyne Research, Inc.), clustering input preparation panel (CIPP) version ETv2.1b and cluster analysis panel (CAP) version ETv2.1 (developed by A.K.Y Lee and M.D. Willis).

10 2.2 Air mass history from particle dispersion modelling

The Lagrangian particle dispersion model FLEXible PARTicle (FLEXPART) (Brioude et al., 2013) driven by meteorological analysis data from the European ~~Center~~ Centre for Medium-Range Weather Forecasts (ECMWF) was used to study the history of air masses prior to sampling during NETCARE flights. The ECMWF data had a horizontal grid spacing of 0.25° and 137 vertical levels. Here, we use FLEXPART-ECMWF run in backward mode to study the origin of air influencing aircraft-based aerosol and trace gas measurements. Individual FLEXPART parcels were initialized along the flight track every three minutes and then traced back in time for 10 days, providing a time-resolved information on source regions of trace species measured along the flight track. FLEXPART-ECMWF output was provided every three hours over the 10 day period, with horizontal grid spacing of 0.25° and 10 vertical levels. ~~Model output provides a residence time of air prior to sampling that can be used to generate a map of the potential emission sensitivity (PES). We~~ (50, 100, 200, 500, 1000, 2000, 4000, 6000, 8000 and 10000 m). ~~In backward mode, the model provides an emission sensitivity function called the potential emission sensitivity (PES). The PES in a particular grid cell, or air volume, is the response function of a source-receptor relationship, and is proportional to the particle residence time in that grid cell (e.g., Hirdman et al., 2010). PES values can be combined with emission distributions to calculate receptor concentrations, assuming the species is inert; however, we use the PES directly and~~ show maps of ~~the~~ PES with units of seconds (i.e., ~~representing an proportional to~~ air mass residence time); ~~absolute~~. ~~Absolute~~ residence times depend on the model output time step and the extent of spatial averaging. ~~The PES represents~~ ~~Maps of PES represent~~ integration of model output over a period of time prior to sampling (i.e., 10 days), also referred to as the “time before measurement,” and over a vertical range. We show maps of both the total column PES (i.e., 0 – 20 km) and partial column PES (i.e., 0 – 200 m), ~~as emissions near the surface are of particular interest.~~

By integrating model output at each model release over specific pressure levels and/or latitude ranges we used FLEXPART-ECMWF to calculate the residence time of air in the middle-to-lower polar dome. The horizontal extent of the polar dome was defined based on Bozem et al. (2018) as north of 69.5° N. The vertical extent of the middle-to-lower polar dome was defined based on trace gas profiles as below 265 K (~1550 m). Calculation of this quantity is analogous to calculating the PES (i.e., by integrating in time and space), with constraints on altitude and location. This residence time is reported as a relative residence time over the 10 day FLEXPART-ECMWF backward integration time. Aircraft observations were sub-sampled to the model

time resolution by taking a one-minute average of measurements around the model release time, when the aircraft altitude was within ± 100 m of the model release altitude.

3 Results and Discussion

3.1 Transport regimes in the polar dome

5 We focus on observations made on six flights in the High Arctic during NETCARE 2015 over the period 7 – 13 April 2015. Figure 1 illustrates flight tracks during this period on a map of the sea ice concentration from 11 April 2015. Observations of trace gas gradients during this campaign defined the region inside the polar dome at north of 69.5°N and below 280.5 K (~ 3.5 km) (Bozem et al., 2018). Zonal mean potential temperature cross sections from ECMWF for the period 7 – 13 April 2015 agree generally with this definition of the polar dome, and demonstrates that our observations were made in the coldest air masses present in the Arctic region during this time (Figure S4S7). CO concentrations observed in the polar dome were consistent with “Arctic background” air masses identified in previous airborne observations and with monthly mean CO concentrations at Alert, Nunavut, Canada (Figure S5S8). This suggests that our observations during April 2015 in the polar dome were not strongly impacted by episodic transport events of high pollutant concentrations (Brock et al., 2011). We restrict our analysis to those air masses residing in the polar dome, to determine the sources and processes contributing to aerosol composition within this region during spring. When discussing observations and model predictions, we use potential temperature instead of height or pressure for two reasons. First, the location of the polar dome and transport northward are dictated by potential temperature rather than absolute height. Second, trace gases and aerosol observed in the polar dome varied systematically with potential temperature, but showed less systematic variability with pressure (Figure S6S9). Altitude profiles of absolute and potential temperature are shown in Figure S7S10. In this section, we discuss transport patterns inferred from trace gas observations and FLEXPART-ECMWF air mass history, then in Section 3.2 we discuss observed aerosol composition in the context of these transport patterns.

Trends in trace gas concentrations with potential temperature illustrate different transport regimes within the polar dome (Figure 2). Based on the mean vertical profiles of trace gases, we divided observed vertical profiles into three ranges of potential temperature (Figure 2: 245 – 252 K, 252 – 265 K and 265 – 280 K) to guide interpretation of air mass history, transport characteristics and aerosol composition in the polar dome. We refer to these three ranges of potential temperature as the lower, middle and upper polar dome, respectively (dashed horizontal lines in Figure 2), and discuss the characteristics of each region in turn. First, in the coldest and driest air masses (245 – 252 K), we consistently observed temperature inversion conditions with potential temperature increasing by 37 K km^{-1} compared to 11 K km^{-1} above the lower polar dome (Figure S7S10). Temperature inversions are frequent in the High Arctic spring, with April mean depths of 660 and 705 at Alert and Eureka, Nunavut, respectively, and occurring on 75 median inversion strengths of $\sim 5 - 85\%$ of days (Bradley et al., 1992) 10 K occurring frequently in March, April and May (Bradley et al., 1992; Tjernström and Graversen, 2009; Zhang et al., 2011; Devasthale et al., 2016). Owing to the static stability of the lower polar dome under these conditions, these air masses may be isolated from the air aloft and may be sensitive to different sources and transport history (Stohl, 2006). Under these stable conditions, CO and CO₂ were

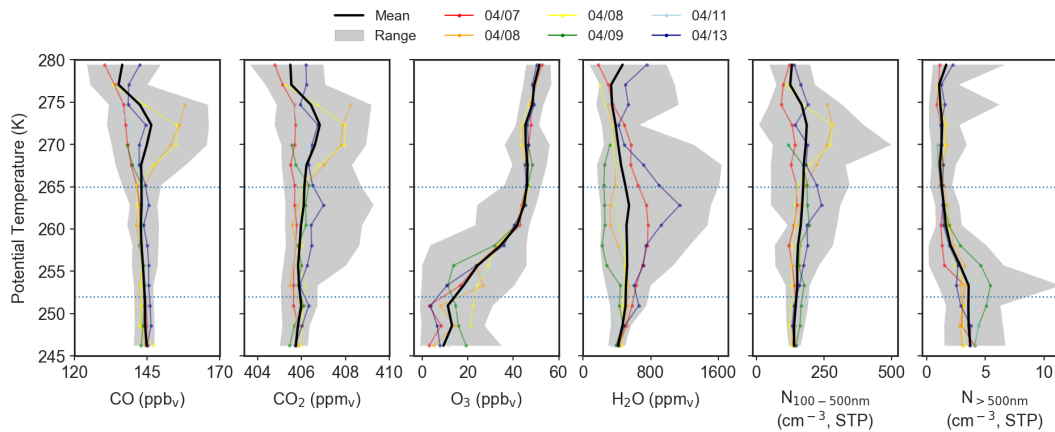


Figure 2. Mean potential temperature profiles of trace gases (CO, CO₂, O₃ and H₂O) and particle concentrations (N_{100–500} and N_{>500}) in the polar dome observed during 7 – 13 April 2015. Coloured lines indicate the mean profile for each flight, the black line represents the mean profile over all flights, and gray shading shows the range of observations in each potential temperature bin. Horizontal dashed blue lines separate the lower, middle and upper polar dome defined as 245 – 252 K, 252 – 265 K and 265 – 280 K.

relatively constant (mean (quartile range), 144.5 (144.2–146.5) ppb_v and 405.8 (405.4–406.2) ppm_v, respectively) in the lower polar dome and O₃ was depleted to 11.4 (3.1–23.4) ppb_v. Active halogen production and resulting O₃ depletion may occur largely at the surface (e.g., Spackman et al., 2010; Abbatt et al., 2012; Pratt et al., 2013) (e.g., Spackman et al., 2010; Oltmans et al., 2012; It follows that the observed O₃ profile could be interpreted as an indication of mixing of O₃ depleted air from the surface up to ~252 K (~400 m). Particle number concentrations between 100 – 500 nm (N_{100–500}) were relatively constant in the lower polar dome (~150 cm⁻³), while larger accumulation mode particles (N_{>500}) were most abundant in the lower polar dome compared to higher potential temperatures (~4 cm⁻³ compared to <1 cm⁻³). Second, in the middle polar dome (252 – 265 K), O₃ increased toward ~50 ppb_v, CO and CO₂ remained relatively constant while water vapour showed more variability. Finally, at the highest potential temperatures we observed more variability in CO, CO₂ and H₂O, while O₃ concentrations were relatively constant at 49.6 (45.7–54.1) ppb_v. N_{>500} was near zero in the upper polar dome, while N_{100–500} showed more variability compared to colder potential temperatures.

The importance of lower latitude source regions increases as potential temperature increases in the polar dome. The distribution of FLEXPART-ECMWF potential emission sensitivities (Figure 3) indicate that indicates that most air masses in the lower and middle polar dome had resided there for at least 10 days, with significant sensitivity to the surface north of 80°N and some sensitivity to high latitude Eurasia. The fraction of the previous 10 days spent in the polar dome is highest in the middle and lower polar dome, while above ~265 K this quantity decreases significantly (Figure 4, S8). This observation indicates a clear separation in air mass history between the mid-to-lower polar dome and the upper polar dome. Sensitivity to lower latitude regions increases as potential temperature increases in the polar dome, particularly in high latitude Eurasia and North America (Figure 3). Locations of active fires during 28 March 2015 – 13 April 2015 and of oil and gas extraction emissions (Figure 3)

indicate that biomass burning emissions likely had a stronger influence on the upper polar dome, while oil and gas extraction emissions may be more important in the lower polar dome. Total March – May 2015 fire counts in the northern hemisphere were comparable to previous years (Figure S9S12), but were significantly lower than 2008. This suggests that biomass burning sources are often less important sources of Arctic aerosol than has been suggested by previous observations from the year 2008 (e.g., Warneke et al., 2009; Brock et al., 2011; Hecobian et al., 2011; McNaughton et al., 2011; Liu et al., 2015).

A prevalent feature of air mass histories in the lower and middle polar dome is descent from aloft over at least 10 days prior to our measurements (Figure 3g,h). The FLEXPART-ECMWF predicted plume centroid also shows some evidence for descent in the upper polar dome (Figure 3i), though we note that descent from aloft in the plume centroid does not preclude some sensitivity to the surface. Air mass descent in the polar dome is likely caused by a combination of both radiative cooling (on the order of 1 K d^{-1} (Klonecki et al., 2003)) and orographic effects over nearby elevated terrain on Ellesmere Island and Greenland. With long aerosol lifetimes under cold and relatively dry conditions in the polar dome, this suggests that aerosol in the upper polar dome can influence the lower and middle polar dome on the time scale of 10 days and longer. Transport times to the Arctic lower troposphere are likely longer than 10 days (e.g., Brock et al., 2011; Qi et al., 2017; Leaitch et al., 2018), suggesting that a major springtime transport mechanism may be lofting near source regions followed by northward transport and descent into the polar dome (Stohl, 2006). In the next section, we discuss observed aerosol composition in the context of these transport patterns.

3.2 Aerosol composition in the polar dome

Vertical variability in aerosol composition was systematic across flights in the polar dome during April 2015. Sub-micron aerosol present in the coldest air masses of the lower polar dome contained the highest fraction of sulphate (74% by mass, Figure 5). This trend in the sulphate mass fraction (mf_{SO_4}) was driven by both decreasing sulphate and increasing organic aerosol concentrations as potential temperature increased (Figure 6,S5). This observation is broadly consistent with previous vertically-resolved measurements of aerosol sulphate in both the Canadian Arctic and Alaskan Arctic during spring that have indicated increasing sulphate concentrations toward lower altitudes (Scheuer et al., 2003; Bourgeois and Bey, 2011). Large contributions of sulphate to near surface Arctic spring aerosol is also consistent with ground-based observations at long term monitoring stations including Zeppelin, Svalbard; Alert, Nunavut; and Utqiagvik (Barrow), Alaska (e.g., Barrie and Hoff, 1985; Quinn et al., 2007; Breider et al., 2017; Leaitch et al., 2018). The mass fraction of ammonium (mf_{NH_4}) increases with increasing potential temperature. This trend is driven by both decreasing sulphate concentration and increasing ammonium concentration as potential temperature increases (Figure 6). This observation is broadly consistent with previous vertically-resolved measurements in the North American Arctic from April 2008 that demonstrated increased ammonium relative to sulphate toward higher altitudes (Fisher et al., 2011). However, Fisher et al. (2011) observed significantly higher ammonium content relative to sulphate compared to our measurements. These differences may arise from the larger altitude range in Fisher et al. (2011) (up to $\sim 10 \text{ km}$) and differences in source regions or source strengths between 2008 and 2015.

Organic aerosol (OA) and refractory black carbon (rBC) were more abundant in the upper polar dome, while sulphate was less abundant. On average, OA and rBC contributed 42% and 2% to aerosol mass, respectively, in the upper polar dome. OA was

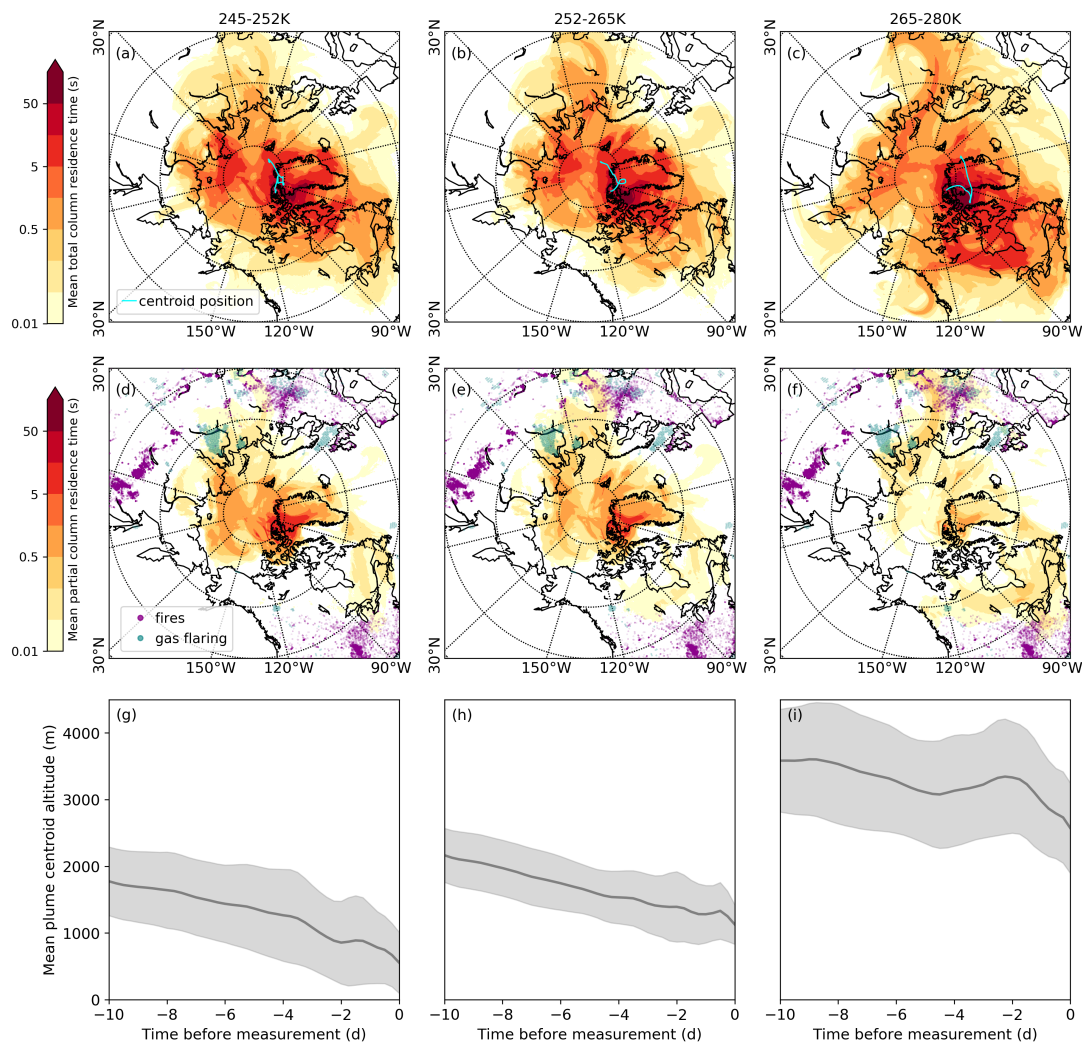


Figure 3. FLEXPART-ECMWF potential emission sensitivity (PES) and plume centroid altitude averaged over three potential temperature ranges in the polar dome. (a)–(c) Mean total column PES, (d)–(f), mean partial column (<200m) PES, (g)–(i) mean plume centroid altitudes for 245-252K (a,d,g), 252-265K (b,e,h) and 265-280K (c,f,i). Fire locations during 28 March 2015 to 13 April 2015 from MODIS are magenta points, gas flaring locations associated with oil and gas extraction from the ECLIPSE emission inventory (V5) for 2015 are light green points. Parallels are shown in dashed circles at 45° N, 60° N and 80° N

highly oxygenated throughout the polar dome, with oxygen-to-carbon (O/C) ratios above 0.5 in the majority of measurements (Figure S10S13). High O/C ratios are consistent with an abundance of highly functionalized organic acids observed in Arctic Haze aerosol at Alert, Nunavut during spring (Kawamura et al., 1996, 2005; Narukawa et al., 2008; Fu et al., 2009; Kawamura et al., 2010; Leaitch et al., 2018). Owing to the lack of unique mass spectral fragments from this highly oxygenated OA, our

5 ToF-AMS spectra cannot distinguishing distinguish differences in OA composition in the polar dome. Overall, our observations

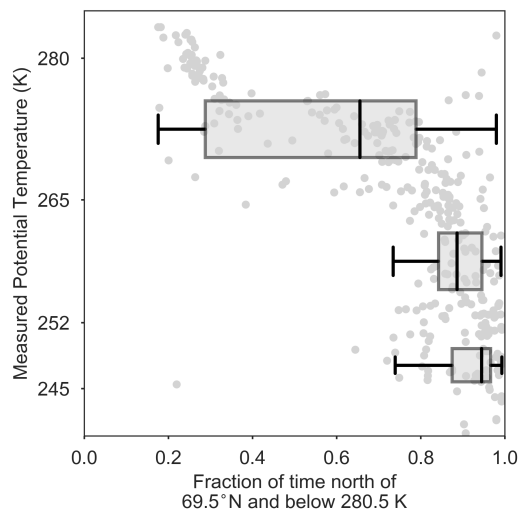


Figure 4. Observed potential temperature (K) ~~as a function of versus~~ FLEXPART-ECMWF predicted fraction of the past 10 days in the polar dome (i.e., below 280.5 K and north of 69.5°N). The FLEXPART-ECMWF relative residence time is binned in the lower (245 – 252 K), middle (252 – 265 K) and upper (265 – 280 K) polar dome.

suggest that surface-based measurements may underestimate the contribution of OA, rBC and ammonium to aerosol transported to the Arctic troposphere in spring.

~~Aerosol composition varies systematically with model-predicted time spent~~ Air masses spent the longest times in the middle to lower polar dome ~~where the~~ (Figure 4), and aerosol composition varied systematically with time spent in this portion of the polar dome ~~is the longest~~ (Figure 4). The mass fractions of OA and rBC decrease with the FLEXPART-ECMWF predicted fraction of the previous 10 days spent north of 69.5°N and below 265 K (Figure 7). OA and rBC were well-correlated in the middle and upper polar dome (Figure [SHS14](#)), suggesting that these species have a similar source region and/or have undergone similar processing. A dominance of anthropogenic (fossil fuel) sources of black carbon to the High Arctic during April 2015 may explain this relationship between rBC and OA. The importance of anthropogenic emissions of black carbon from East and South Asia to measured Arctic black carbon in spring was recently demonstrated using a chemical transport model constrained by our measurements of black carbon in combination with surface sites and previous aircraft-based campaigns (Xu et al., 2017). European and North Asian anthropogenic emissions contributed significantly to Arctic black carbon in the lowest kilometre, with East and South Asian sources increasing in importance toward higher altitudes (Xu et al., 2017). South Asian regions are not well-represented in 10 day FLEXPART-ECMWF backward simulations, which likely do not capture transport back to all source regions (Qi et al., 2017; Leaitch et al., 2018). OA and rBC are largely uncorrelated in the lower polar dome, suggesting shifting source regions and/or chemical processing of OA toward lower potential temperatures. This observation is consistent with multi-year observations from Alert, Nunavut showing that black carbon and organic matter are correlated during winter, but become uncorrelated during spring (Leaitch et al., 2018).

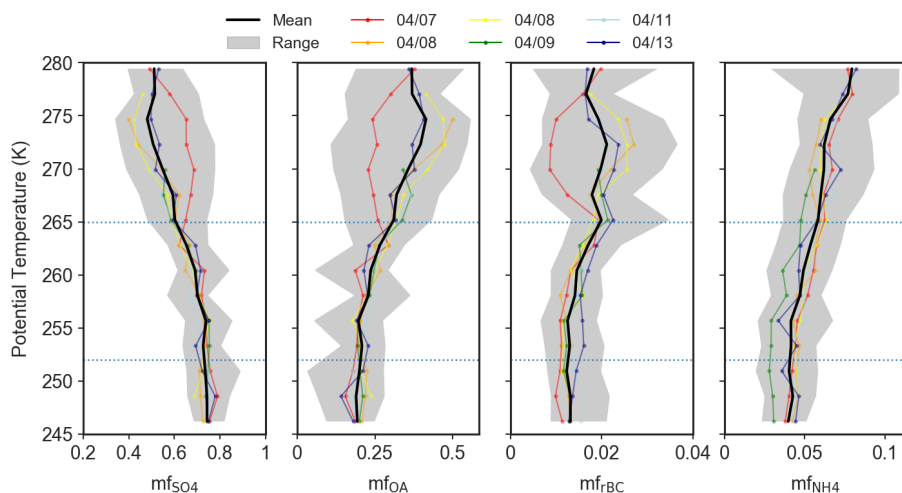


Figure 5. Mean potential temperature profiles of relative aerosol composition, including mass fractions of sulphate (mf_{SO_4}), organic aerosol (mf_{OA}), refractory black carbon (mf_{rBC}), and ammonium (mf_{NH_4}), in the polar dome observed during 7 – 13 April 2015. Coloured lines indicate the mean profile for each flight, the black line represents the mean profile over all six flights, and gray shading shows the range of observations in each potential temperature bin.

In contrast to OA and rBC, the mass fraction of sulphate increases with increasing time spent in the mid-to-lower polar dome (Figure 7). In the upper polar dome the ammonium to sulphate molar ratio is at times consistent with ammonium bisulphate, while more sulphuric acid is likely present at lower potential temperatures. The enhanced fraction of sulphate in the lower polar dome compared to higher potential temperatures could arise from a combination of possible mechanisms. First, the stability of the polar dome may cause systematic vertical variability in source regions throughout the polar dome (e.g., Stohl, 2006). The observed middle-to-lower polar dome aerosol composition could arise from high latitude, sulphur-rich emissions in the absence of significant ammonia and organic aerosol sources. A complex mixture of natural and anthropogenic sources has previously been shown to contribute to observed variations in sulphate and ammonium with altitude in Arctic spring (Fisher et al., 2011). In the lowest 2 km, emissions from non-Arctic Russia and Kazakhstan (included as part of East and Southern Asia in Xu et al. (2017)), along with North American, were the dominant sources of sulphate in April 2008 (Fisher et al., 2011). At higher altitudes, model results suggested East Asian sources of sulphate become more important and, along with European sources, were the main contributor of sulphate aerosol in Arctic spring. Second, and possibly in addition to shifting source regions, aerosol composition could be changing as a result of chemical processing over the long aerosol lifetime. The fraction of sulphate could be increasing with decreasing potential temperature as a result of oxidation of transported sulphur dioxide and subsequent condensation of sulphuric acid onto existing particles as air masses slowly descend (Figure 3). In addition, oxidation of existing OA, resulting in fragmentation and loss of aerosol mass to the gas phase could contribute to a decrease in OA concentrations toward colder-potential-lower altitudes (e.g., Kroll et al., 2009); however, this process may be less important at low temperatures. Descent from aloft appears to be an important transport mechanism influencing the lower polar dome in

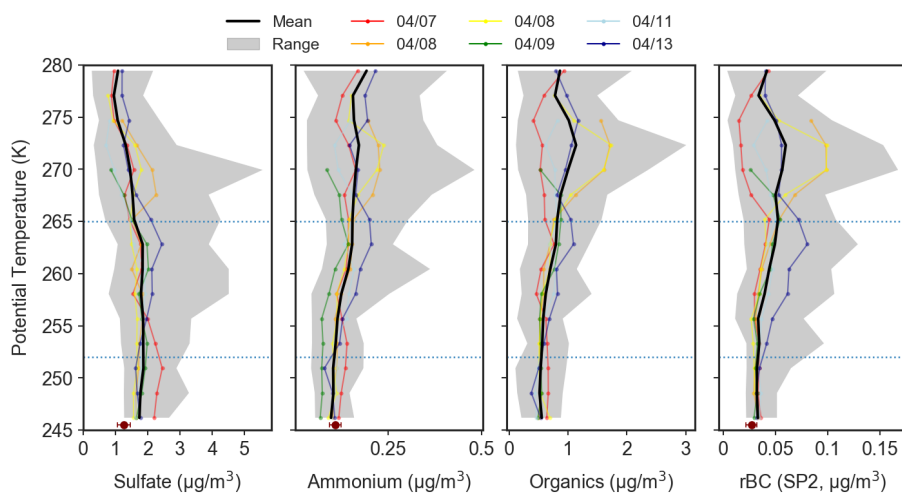


Figure 6. Mean potential temperature profiles of absolute (STP) sub-micron aerosol composition in the polar dome observed during 7 – 13 April 2015, including sulphate, organics and ammonium from the ToF-AMS and refractory black carbon (rBC) from the SP2. Nitrate concentrations were negligible, and largely below detection limits. Coloured lines indicate the mean profile for each flight, the black line represents the mean profile over all six flights, and gray shading shows the range of observations in each potential temperature bin. [Single points at the lowest potential temperature represent concentrations of sulphate, ammonium and rBC measured at Alert, NU during 6 – 13 April 2015 from \(Macdonald et al., 2017\). Points represent the mean concentration and error bars represent measurement uncertainty.](#)

our flight area, lending some support to this second set of processes. Finally, wet removal or cloud processing of aerosol over long transport times likely impacts the aerosol composition we observe, though we cannot distinguish this influence with our measurements. In the next section, we examine the characteristics of lower polar dome aerosol in detail and compare it to aerosol present in the middle and upper polar dome.

5 3.3 Characteristics of lower polar dome aerosol

Lower polar dome air masses had resided for the longest times within the polar dome (Figure 3 and S8), suggesting that this aerosol likely had a lifetime of 10 days or longer. This aerosol was comprised largely of sulphate, with smaller amounts of OA, rBC and ammonium compared to aerosol present in the middle and upper polar dome (Section 3.2). In addition, ToF-AMS spectra provide qualitative evidence for the presence of sea salt aerosol in the lower polar dome, which decreases to negligible concentrations through the middle polar dome (Figure 8). The ToF-AMS NaCl^+ signal and $N_{>500}$ have a similar profile, suggesting that sea salt may be associated with the increase in larger accumulation mode particles observed in the lower polar dome. This observation is consistent with previous airborne measurements in the Alaskan Arctic during spring that showed the largest fraction of sea salt particles were present in air masses identified as associated with the “Arctic Boundary Layer” (i.e., identified by depleted O_3 concentrations) (Brock et al., 2011). Sea salt contributes significantly to aerosol observed at ground-based long term monitoring stations (e.g., Quinn et al., 2002; Leaitch et al., 2013; Huang and Jaegle, 2017; Leaitch

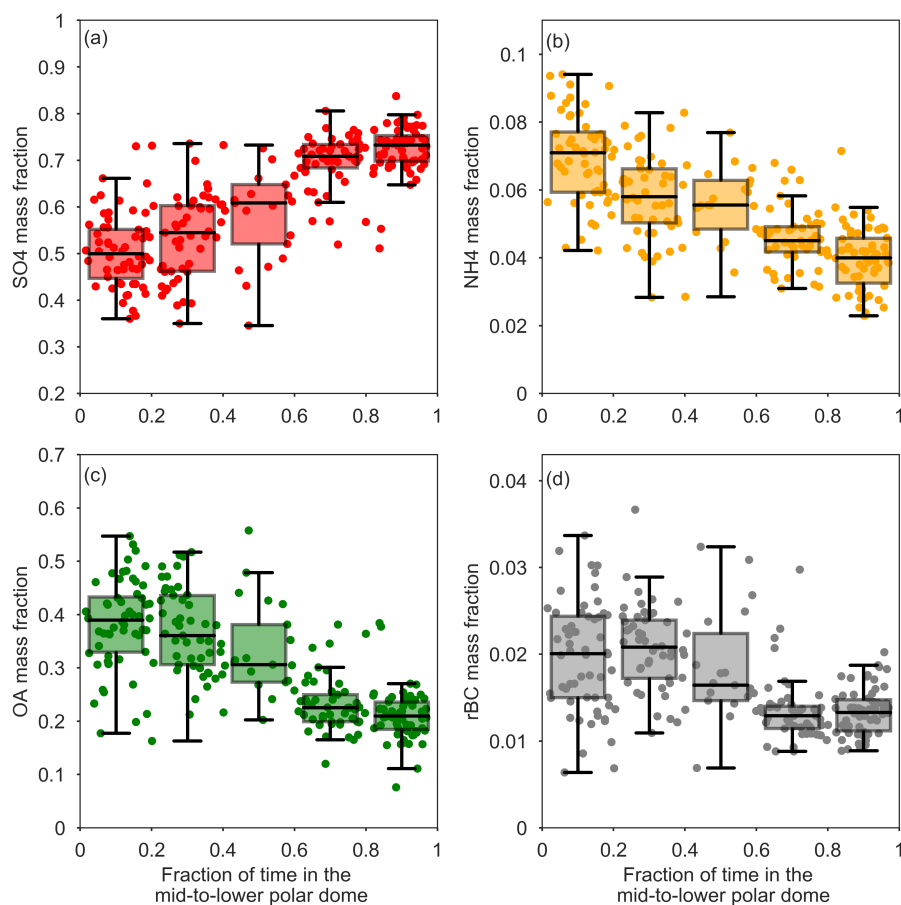


Figure 7. Submicron aerosol mass fractions as a function of versus FLEXPART-ECMWF-predicted fraction of the previous 10 days prior to measurement spent in the middle to lower polar dome (north of 69.5°N (Bozem et al., 2018) and, based on trace gas profiles, below 265 K (~1600 m)). Data points corresponding to individual FLEXPART-ECMWF releases are shown as circles, and summary statistics are shown as boxes (25th, 50th, 75th percentiles) and whiskers (5th, 95th percentiles) for data binned by time spent in the middle and lower polar dome.

et al., 2018), and peaks in concentration during winter to early spring. Sources of sea salt at high northern latitudes in spring include transport of sea salt from northern oceans, production of sea salt aerosol from open leads in sea ice (e.g., Leck et al., 2002; Held et al., 2011; May et al., 2016), and production of saline aerosol through wind driven processes over ice and snow (Yang et al., 2008; Shaw et al., 2010; Xu et al., 2016; Huang and Jaegle, 2017). The strong decrease in NaCl⁺ signal and N_{>500} above the lower polar dome is suggestive of a near surface source of sea salt in the High Arctic; open leads or wind-driven ice and snow processes may contribute to lower polar dome aerosol. Recent observations at Utqiagvik (Barrow), Alaska have demonstrated the prevalence of sea salt aerosol in Arctic winter and significant mixing with sulphate (Kirpes et al., 2018).

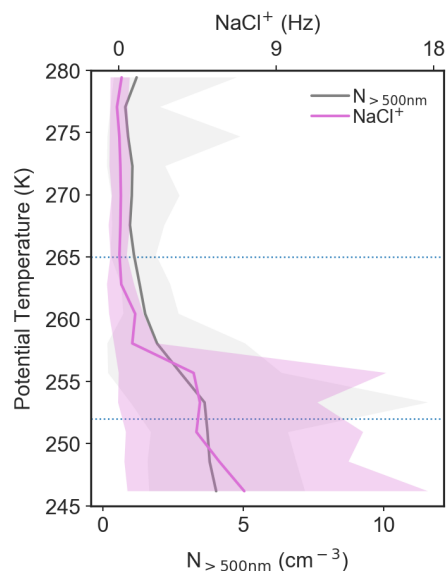


Figure 8. Potential temperature profiles of the ToF-AMS NaCl^+ signal (top axis), as a qualitative indication of the presence of sea salt aerosol, and $N_{>500}$ (bottom axis). The solid lines ~~represents~~ represent the mean profile for 7 – 13 April 2015, and shading represents the range of measurements in each potential temperature bin.

Sulphate may be internally mixed with sea salt in the lower polar dome; however, owing to low particle concentrations we are unable to obtain an NaCl^+ signal from size resolved mass spectra.

Size-resolved observations of non-refractory aerosol composition provide evidence for different particle mixing states across the size distribution. On average, sulphate was present in larger particle sizes in the lower polar dome compared to the middle and upper polar dome (Figure 9). In contrast, OA size distributions were very similar in the lower and upper polar dome (Figure S12S15). In the lower polar dome, the fraction of sulphate increases with particle size (Figure 9), implying the presence of different particle mixing states, and different particle sources or chemical processing in the polar dome. Single particle observations from two flights (Figure 10, Figure S13S16) are consistent with these bulk size resolved observations. Accumulation mode particles were highly internally mixed, consistent with very aged particles, but the presence of sulphate-rich and organic-rich particles was discernible from cluster analysis of ToF-AMS spectra. Sulphate-rich particles were dominant in the coldest air masses and were larger in size compared to organic-rich particles (Figure 10 and S11). Increasing sulphate fraction toward larger particle sizes (Figure 9) suggests that sulphuric acid may have condensed on existing particles, growing them to larger sizes. An increase in aerosol sulphate toward lower altitudes and a simultaneous decrease in gas phase SO_2 has been observed previously in Arctic spring; this could be consistent with oxidation of SO_2 and condensation on pre-existing particles in the lowest 1 – 2 km (Bourgeois and Bey, 2011). Barrie and Hoff (1984) estimated a mean oxidation rate of SO_2 to sulphuric acid in April of $2.4 - 4.8\% \text{ d}^{-1}$, which could explain the enhanced concentrations of sulphate toward lower potential temperatures in our observations. While the smaller OA size in the lower polar dome could be consistent with loss of OA mass

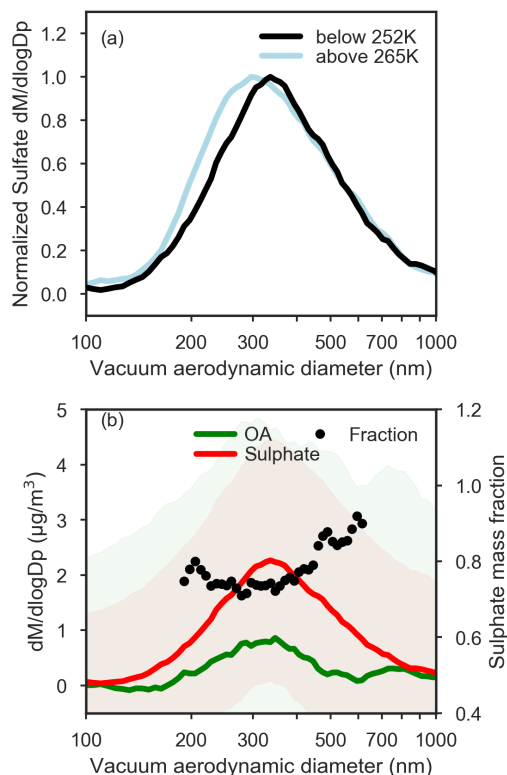


Figure 9. (a) Normalized mean ToF-AMS size distributions of sulphate subset by observed potential temperature: below 252 K (black), above 265 K (light blue). (b) ToF-AMS size distributions of sulphate (red) and total organic aerosol (green) below 252 K. The mass fraction of sulphate calculated from ToF-AMS size distributions is shown on the right axis in black circles, and is calculated only between 200 and 600 nm owing to low OA signals at smaller and larger sizes. Shading corresponds to plus or minus one standard deviation for sulphate and organic aerosol size distributions, and the relatively large variation in size-resolved composition indicates that the derived mass fraction of sulphate as a function of size is uncertain.

through fragmentation processes, similar OA size distributions in the lower and upper polar dome appear to negate this possibility (Figure S12S15). Our observations do not distinguish unambiguously between vertical variability in source composition, chemical processing during descent in the polar dome and wet removal or cloud processing during transport. All processes likely contribute to the systematic vertical variability in High Arctic aerosol composition that we observe.

5 4 Conclusions

In the Arctic spring polar dome, aerosol composition and trace gas concentrations varied systematically with potential temperature. We defined the lower (245 – 252 K), middle (252 – 265 K) and upper (265 – 280 K) polar dome based on vertical profiles of trace gases. The contribution of sulphate increased from the upper to lower polar dome (mean mass fractions 48%

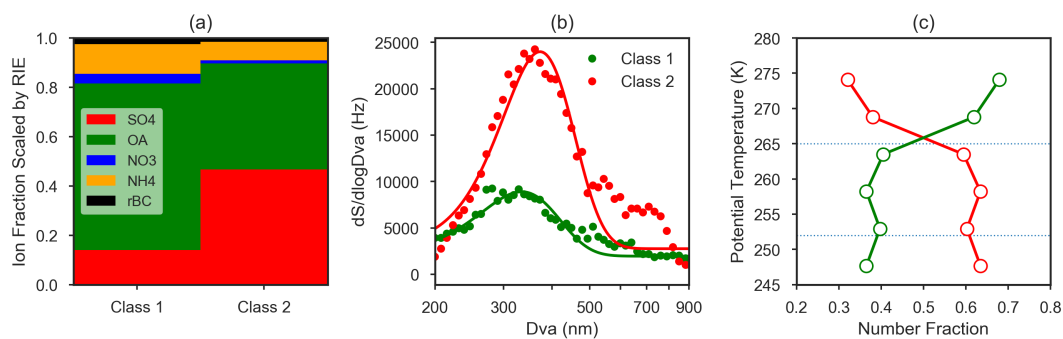


Figure 10. Summary of k-means cluster analysis of 1677 single particle (ETSP) spectra obtained on two flights (8 April and 13 April, 2015). (a) Bar chart of relative ion fraction scaled by the relative ionization efficiency (RIE) of each species, for two particle classes obtained by k-means cluster analysis. Particle class 1 is referred to as “organic-rich” and class 2 is referred to as “sulphate-rich.” (b) Mean size distributions (expressed as $dS/d\log D_{va}$, Hz) of the two particle classes (points) and ~~gaussian~~ Gaussian fits to the observations (lines). (c) Mean relative abundance of class 1 (green, organic-rich) and class 2 (red, sulphate-rich) binned by potential temperature. Horizontal lines represent the divisions between the lower, middle and upper polar dome.

and 74%, respectively), while organic aerosol, refractory black carbon and ammonium were more abundant in the upper polar dome (mean mass fractions 42%, 2% and 8%, respectively). At the lowest potential temperatures, in the lower polar dome, sulphate-rich particles were present at larger accumulation mode sizes compared to the upper polar dome.

5 While observations at long-term monitoring stations provide the majority of our knowledge about Arctic aerosol, decoupling of air masses near the surface from the rest of the polar dome means that surface-based observations may not represent the altitude dependent composition of aerosol transported to the Arctic troposphere. Our observations indicate that long-term, surface-based measurements may underestimate the contribution of organic aerosol, refractory black carbon and ammonium to aerosol transported to the High Arctic troposphere in spring, while overestimating the contribution of sulphate. In addition, our observations of sea salt signals in the lower polar dome suggest that the significant sea salt concentrations observed at

10 long-term monitoring stations in spring may not occur throughout the depth of the polar dome. ~~These systematic-~~ Systematic differences in aerosol composition with potential temperature likely arise through a combination of mechanisms. First, aerosol from different source regions, with differing composition, arrives at a range of potential temperatures in a stable atmosphere. Second, aerosol composition can be altered by chemical processing of transported aerosol and sulphur dioxide during descent into the polar dome over periods of 10 days or longer. Third, wet removal and cloud processing near emission

15 and along the transport path may impact the composition of aerosol arriving in the polar dome, though this influence is difficult to distinguish with our observations. Modelled air mass history from FLEXPART-ECMWF demonstrates that this systematic variation in aerosol composition is in part related to differing transport regimes as a function of potential temperature. In the lower polar dome, air masses had resided in the High Arctic region for at least 10 days prior to measurement and had largely descended from higher altitudes. Some sensitivity to the High Arctic surface could explain the observed sea salt in the

lower polar dome. Lower latitude source regions in Europe, Asia and North America became more important toward higher potential temperatures in the upper polar dome. Long transport times make source diagnosis difficult using 10 day backward trajectories, and chemical processing during long Arctic residence times contributes to challenges in identifying source regions of lower polar dome aerosol. Using our observations, we cannot quantitatively distinguish the relative importance of vertical
5 variability in source composition, chemical processing during descent in the polar dome and removal or cloud processing during transport. Our observations present a challenge to chemical transport models for their representation of the processes impacting High Arctic aerosol in spring.

Data availability. All data from NETCARE will be available on the Government of Canada Open Data Portal (<https://open.canada.ca/data/en/dataset>) in collaboration with ECCC, until that time and for the model data please contact the NETCARE PI J. Abbatt (jabbatt@chem.utoronto.ca) for data access. Sea ice data shown in Figure 1 is available at: <https://seaice.uni-bremen.de/sea-ice-concentration/>. Global MODIS active fire locations shown in Figure 3 are available at: <https://earthdata.nasa.gov/earth-observation-data/near-real-time/firms/active-fire-data>. Gas-flaring locations from the ECLIPSE inventory V5 shown in Figure 3 are available at: <http://www.iiasa.ac.at/web/home/research/researchPrograms/air/ECLIPSEv5.html>

Author contributions. MDW wrote the manuscript, with significant conceptual input from DK, HB, WRL and JPDA, and critical feedback from all co-authors. MDW, HB, JB, AKYL, HS and WRL operated instruments in the field and analysed resulting data. SH and AAA analysed flight data. WRL, JPDA, ABH and AB designed the field experiment. DK ran FLEXPART simulations, and MDW analysed the resulting data with input from DK and HB.

Competing interests. The authors declare no competing interests.

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