

1 Response to interactive comment of anonymous
2 referee 2 —

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9 This manuscript disentangles aerosol effects on the southeast Atlantic stratocu-
10 muls deck from meteorological effects through the use of a machine learning
11 approach labeled Gradient Boosting Regression Trees (GBRTs). It is welcome
12 to see a recognition of both impacts, and the use of an innovate approach to
13 discriminate them. The use of `lat_src` and `lon_src` is nice. The results are sensi-
14 ble. I do however feel the study suffers from over-interpretation. One concern is
15 the focus on only the cloud fraction and the cloud effective radius (REF) as the
16 cloud properties. While the REF is influenced by aerosol, it is also a function
17 of the liquid water path. A more straightforward physical relationship is that
18 between AOD (CCN) and the cloud droplet number concentration (Nd), which
19 can be estimated as a function of REF and the cloud optical depth. Cloud
20 deepening is likewise better interpreted through the use of LWP than of REF.
21 Another concern is the lumping of July-August-September. It is by now well
22 appreciated that the biomass-burning aerosol is more likely to be present within
23 the boundary layer in July, moving up in altitude through September, when it
24 is more likely to be above the cloudy boundary layer. Different cloud responses
25 would be anticipated as a function of the month. A useful additional analysis
26 is to examine the GBRT results as a function of month, and interpret them as
27 a function of the varying cloud-aerosol vertical structure.

28 The study was designed to focus on cloud fraction and cloud effective radius
29 in order to test and interpret the GBRT models on one relevant micro- and
30 one relevant macrophysical cloud property during the biomass-burning season.
31 Using the cloud droplet number concentration is appreciated, however, as it
32 is derived from COT and REF, and based on assumptions on cloud vertical
33 profile, additional uncertainties would be introduced (Grosvenor et al., 2018).
34 We chose to avoid this, because we try to capture the cloud system as com-
35 pletely as possible with the statistical model. As such, we include information

36 on factors that also determine LWP. As variability among these LWP-predictors
 37 is simulated in the computation of the sensitivities, we thereby indirectly con-
 38 strain LWP effects on REF. To account for the referee’s suggestion LWP as an
 39 essential cloud property is analyzed and results support the interpretation of
 40 cloud thickening under stable conditions in all subregions (Fig. 1a), as well as
 41 during westerly disturbances, especially in the western subregions (Fig. 1b).
 42 In the manuscript the LWP-effects refer to outcomes of a comparable study by
 43 Fuchs et al. (2017) where LWP is discussed and ‘self-constraining model’ is now
 detailed more clearly.

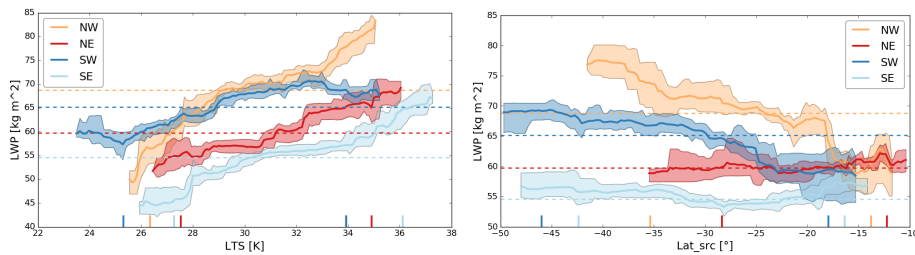


Figure 1: Mean partial dependence of LWP on LTS (left) and source latitude of air mass (right) in the four subregions (colors).

44
 45 The aggregation of the months July-August-September (JAS) was conducted
 46 for better comparability to previous studies (Painemal et al., 2014; Andersen
 47 and Cermak, 2015; Adebisi and Zuidema, 2018) investigating the same season.
 48 While we agree with referee 2, changes of the aerosol and boundary layer occur
 49 on all scales, so that the assumptions outlined by referee 2 need to be made
 50 independent of scale. The intraseasonal variability contained in the training of
 51 the GBRT model contribute to the relationships during the investigated season
 52 and must be taken into account for the interpretation of results. For this
 53 reason, we have now computed monthly GBRT models and included the results
 54 concerning the aerosol-cloud relationships in the manuscript. The following
 55 figure and text are added to the manuscript. ”Figure 7 shows AOD-REF

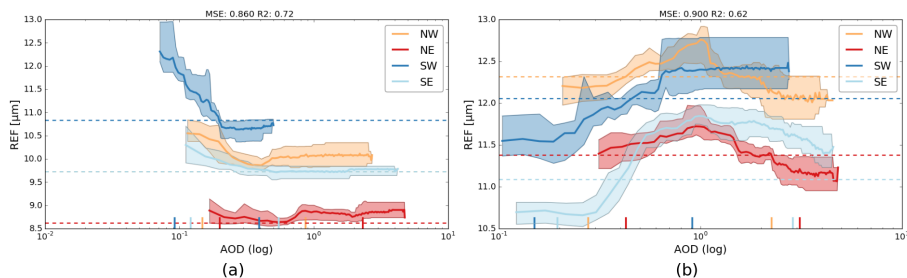


Figure 2: Mean partial dependence of REF on AOD in the four subregions (colors) in July (a) and September (b).

56 partial dependencies for the months of July and September separately. While
 57 during July, REF seems to decrease with increasing AOD, especially in the
 58 SW subregion, during September the opposite relationship is found. The con-
 59 trasting relationships may be related to differences in the vertical distribution
 60 of aerosols and clouds in the Southeast Atlantic. During July, aerosol and
 61 cloud layers are frequently entangled, facilitating ACI, whereas in September
 62 they can be well separated (Adebiyi et al. 2018). During this time, absorbing
 63 aerosol may increase the stability and trap humidity in the boundary layer,
 64 potentially leading to the observed relationship. The JAS partial dependence
 65 between AOD and REF can thus be viewed as a summary of these patterns.
 66 However, it is not the study’s focus to separate the different aerosol effects
 67 mentioned earlier, but to analyze the overall influence of aerosols on clouds
 68 during the biomass-burning season.”(p.14, l.10)

69

70 **Other comments follow:**

- 71 1. I am not completely comfortable with the use of the 8-day MODIS L3
 72 product used as opposed to shorter time scale, as the 8-day time scale will
 73 average over the synoptic time scale and is far longer than the cloud adjustment

74 time scale of 1-2 days. The authors mention that an 8-day time scale "allows
75 for the large-scale and thermodynamic forcings of cloud properties to be
76 combined", but I remain unclear what this means exactly. In several places in
77 the manuscript the authors refer to processes that occur at much smaller time
78 scales, such as the cloud microphysical response to aerosol. Instead it seems
79 to me the 8-day time scale is primarily capturing a portion of the monthly
80 evolution in the aerosol-cloud vertical structure and seasonal meteorological
81 cycle. Also, the 8-day time scale should be explicitly mentioned in the abstract.
82 The study focuses on processes on aggregated time scales (8-day), assuming
83 that cloud adjustments due to aerosols, though acting on smaller time scales,
84 are detectable in the aggregated data set at the same time as changes of
85 thermodynamic and dynamic conditions. While daily or hourly data might
86 underestimate e.g. the effect of LTS on the cloud cover, the influence of aerosols
87 on the cloud cover might be underestimated by the 8-day aggregation. In
88 particular, since aerosol and cloud properties are not retrieved at the same time
89 in a given location. Eight-day averages are taken to represent the mean states
90 of both at that time scale. These aspects are important and now more explicitly
91 addressed in the manuscript. "The temporal resolution of 8 days allows to
92 combine large-scale, thermodynamic and aerosol forcings of cloud properties
93 simultaneously on a synoptical scale. However, it must be taken into account
94 that clouds adjust on different time scales (hours to several days) to their
95 environment (Klein, 1997; McCoy et al., 2017; Adebisi and Zuidema, 2018) and
96 thus processes relevant on shorter time scales might be underrepresented in the
97 data set."(p.3, l.8) The 8-day time scale is now introduced in the abstract (p.1,
98 l.5).

99 2. an issue with using the relative humidity at 950 hPa is that changes in
100 RH are more likely to reflect co-variations with other factors such as the

101 cold-temperature advection (I suspect this explains the stronger relationship
102 between RH_950hpa and REF in the SE sub-region) and cloud-top inversion
103 strength. Have the authors examined the cross-correlations between their
104 predictors?

105 Thank you for pointing out this issue. Correlations between the predictors
106 were examined in advance and influenced the choice of predictors to reduce the
107 covariation. Cold-temperature advection and cloud-top inversion strength are
108 not explicitly chosen as predictors, but are assumed to be represented in the
109 data set by other parameters such as wind speed, sea surface temperature and
110 LTS. The manuscript is modified on p.6, l.26: "The predictor set was selected
111 in a way to reduce covariation."

112 3. how is it that the machine learning approach is able to grasp non-linear
113 relationships? The description of the technique presented on p. 4 still seems to
114 present it as a basically linear technique.

115 The GBRT algorithm is based on decision trees which are capable of represent-
116 ing non-linear dependencies between predictor and predictand. The parameter
117 space is iteratively split with the goal to minimize a loss function. The sum of
118 the linear decisions of each tree in the ensemble can then represent non-linear
119 relationships. The manuscript is modified on p.3, l.24.

120 4. It is worth mentioning that the larger region encompassing the 4 subregions
121 has been previously examined in Klein and Hartmann 1993.

122 This reference is added on p. 3, l.13: "In this study CF and REF are simulated
123 based on a selected predictor set (AOD and meteorological parameters) in the
124 SEA (10°–20° S, 0°–10° E, as analyzed in Klein and Hartmann (1993)) using
125 Gradient Boosting Regression Trees (GBRTs)."

126

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