# High-Arctic aircraft measurements characterising black carbon vertical variability in spring and summer

We would like to thank the referees for their detailed and constructive comments, which helped us to improve our manuscript. While the referee comments are given in **black bold**, our answers are given below in blue letters. Additionally, we added the changes we made in the revised manuscript in **blue bold** letters.

# Answers of the authors to anonymous Reviewer#1

Anonymous Review of Manuscript acp-2018-587 GENERAL REMARKS

This paper presents vertical distributions of black carbon aerosol from two aircraft campaign in the high arctic during the spring and summer seasons. They look at BC loading, BC fraction of total aerosol, BC mass median diameter and BC/CO and they run back trajectories. The instrumental methods and the writing are fine though the analysis is a rather qualitative and the conclusions basic. The main finding of the paper, as I read it, was that there are seasonal differences in BC sources within and transported to the arctic in the spring and summer that drive marked differences in BC loadings between the two seasons. I don't think that's a particularly surprising finding and the larger motivations, outlined in the introduction, of connecting these observations to deposition rate to the surface and atmospheric heating are not fully realized. Nor is it a paper that can serve to constrain sources of BC to the arctic; as such I am struggling a bit to define what exactly this paper is about or how it might be used by the community.

The authors would like to point out that the referees raised questions concerning the interpretation of the BC/CO ratio as indicator for wet scavenging and encouraged us to verify the subsequent hypothesis and conclusions. Due to the high number of comments on this specific topic, we prefer to provide here a general and common answer to all reviewers. As a consequence of the above-mentioned reasons, Section 3.4 was substantially modified. The discussion now focusses on the importance of transport patterns on the observed BC concentration. Thus, Figure 7 and Figure 8 were modified. The discussion on potential impact of wet scavenging on BC and BC/CO ratio is now substantially reduced. However, additional analysis of back trajectories, including encounter with clouds, is now presented in the supplementary material.

Specific comments of Reviewer#1

Below are a few specific instances of where the analysis fell short of conclusive for me.

Due to its complexity, some comments were split into two or more parts. This allowed responding to the specific issues and making our answers clearer.

1)The authors posit (in section 3.2) that the data imply that there is increased wet removal of BC in the summer relative to the spring driving the lower concentrations and smaller size distributions. While this may be true I don't follow the logic of how they have isolated wet deposition from dry deposition within the arctic and different convective processes driving transported airmasses in different seasons. It seems to me that a wide variety of combinations of transport pathways and in-arctic processing could lead to the observed trends.

This issue was indicated by all reviewers. We are now aware of the limits of the interpretation based on our data. The discussion on potential wet removal is now supported by an enlarged set of references, while additional back trajectory data were analysed in order to better understand any influence of wet removal. However, separating wet from dry removal or identifying the main removal mechanism is beyond the scope of the present work. Hence, the discussion on wet removal is now substantially reduced due to the absence of strong evidence supporting the hypothesis.

2.1) In Section 3.3.1 the authors say "this suggests that the rBC mass is contained in fewer, larger particles." I don't think that this is actually true and I don't know what conclusion we can draw from this statement without any point of comparison.

We agree with the referee that the statement might be confusing and, in its current form, it appears to indicate that most of the rBC mass was found in larger particles. Although this might be potentially true if there was a pronounced mode of large particles in the size distribution. But, such a situation was not indicated by the analysis of mass—size distributions in Sec. 3.5. The statement was intended to emphasize the smaller number of rBC particles relative to the number of total aerosol compared to upper levels, while the mass-mean diameter was largest in the lowest atmospheric level. As this statement confused the main message, it was removed and the consistent part of the text (P13, L9-16) was reformulated as:

[...] The profiles in Fig. 5 show a homogeneous distribution of rBC with a mean  $M_{rBC}$  of 32 ng m<sup>-3</sup> (IQR: 13–48 ng m<sup>-3</sup>) that was present in a temperature gradient capped surface layer (level I). This layer held the coldest air encountered with temperatures of 255 K down to 245 K. The observed  $M_{rBC}$  across the lowest flight sections matches well with the mean ground–based rBC observations performed in Alert for spring seasons of the years 2011 to 2013 with 30±26 ng m<sup>-3</sup> (Sharma et al., 2017). Moreover, level I showed the highest average MMD of 204 nm (IQR: 153–250 nm). Such large mean rBC core diameters were already observed at the surface in the European Arctic in spring (Raatikainen et al., 2015; Zanatta et al., 2018) and are distinctly different from freshly emitted rBC (MMD  $\approx$  100 nm) in urban areas (Laborde et al., 2013). [...]

2.2) rBC is stated to be a small fraction of total aerosol (3.8%) but relative to what? I believe that anything over 1-2% is actually a large fraction by number when considering most continental locations and many remote ones as well.

The number fraction of rBC was calculated over the number concentration of total aerosol measured by the Ultra High Sensitivity Aerosol Spectrometer (UHSAS) in the optical diameter range of 85-1000 nm (see P7, L1-10). The referee is actually right, the particle number concentration provided by the UHSAS is a relatively small fraction of the total particles, especially considering that particles below 100 nm constitutes the majority of the aerosol number concentration. As a consequence, the here presented R<sub>numTA</sub> represent a higher estimation of rBC number fraction. However, our ratios are lower compared to those published in (Kodros et al., 2018; Raatikainen et al., 2015; Sharma et al., 2017), due to their different restriction of the overlapping size range. Nevertheless, R<sub>numTA</sub> is a useful proxy for assessing the BC relative presence and for isolating any eventual smoke events. The statement now reads:

[...] Although rBC represented a minor component of the total aerosol in the respective size range by number, with an averaged  $R_{numTA}$  of 3.8% that was low with respect to higher levels (II-V), rBC mass was comparably high relative to co–emitted CO with a mean  $R_{CO}$  of 5.7 ng m<sup>-3</sup> ppbv<sup>-1</sup> (IQR: 2.7–10.5 ng m<sup>-3</sup> ppbv<sup>-1</sup>). [...]

The description of BC number fraction in Section 2.2.1 was improved:

- [...] In the here presented work, the number ratio of rBC over TA particles,  $R_{numTA}$ , was used to identify atmospheric layers influenced by combustion generated aerosol. It must be noted that, due to the restricted detection range of the UHSAS, the TA number is biased low and  $R_{numTA}$  must be considered as an upper estimate of the number fraction of rBC particles. [...]
- 2.3) The MMD observed is rather large but not dramatically larger than observed in other studies for some biomass burning emissions, including residential burning or for inefficient fossil fuel combustion. I don't think there are a great number of published size distributions for BC aerosol produced from shipping sources, especially in open waters so they could be from that, for example.

Although the diameter range reported in the present study might not be extreme, it is at the upper end of BC diameters observed under different conditions by (Laborde et al., 2013): traffic BC=100 nm; biomass burning BC = 130 nm; aged BC = 160 nm; continental BC = 200 nm. The comparison with other observations is now included in the text, see answer to comment 2.1. Considering the ship emissions, we cannot exclude a priori the influence of large BC emitted by ships. Nevertheless, the frozen sea precludes a consistent presence of vessels in the Canadian Arctic during spring. As a consequence, the local influence of ship emission may be considered negligible. Nevertheless, part of the text in Section 3.4.1 was modified in order to better treat this topic:

[...] In level I, the highest MMD and  $R_{CO}$  might suggest entrainment of pollution from the marginal Arctic which underwent no or inefficient wet scavenging. In fact, high  $R_{CO}$  values were already associated in the past with low precipitation during transport to the Arctic (Matsui et al., 2011). On the other hand, longer atmospheric processing undergone by rBC sampled in the highest atmospheric levels might favour wet removal of larger rBC particles due to increased hygroscopicity (Moteki et al., 2012), and thus potentially explains the decrease of MMD from the surface to level V. [...]

Section 3.5 was also modified with the same goal, see answer to comment 7.

3. I'm not sure I see the utility of the labored analysis of the BC/CO relationship. As noted by the authors, sources themselves are known to have high variability in this ratio due to different combustion efficiency and the behavior of this ratio, even for a single source, as a function of processing time, is not well characterized. I think it's probably true that if BC and CO are uncorrelated, one can assume that BC has undergone a long period of transport but trying to make sense of a non-zero number to tell you things about unknown sources and unknown processing is hard unless you know something about either the source or the processing. In this case the authors seem to be trying to make statements about both at the same time and I'm not sure the data can really tell us much.

The potential role of BC/CO ratio as indicator of wet removal was largely modified in the current version of the manuscript. Several parts of the text were modified accordingly, especially in Section 3.4. Finally, we might argue that the BC/CO ratio alone is not an appropriate tool to investigate wet removal in remote locations, due to competing factors affecting the relative presence of BC and CO such as dry deposition and source type. A part of section 3.4.1 now reads:

- [...] The vertical profiles plotted in Fig. 5 showed a gradual decrease of R<sub>CO</sub> with altitude, excluding a sharp enhancement in level II. Assuming R<sub>CO</sub> as a useful indicator of wet removal, we could argue that transport patterns involving the lifting of air might have caused preferential removal of aerosol via wet scavenging. Such an approach was already used in the past, combined with accumulated precipitation along trajectories to investigate the impact of wet scavenging on BC concentration in the Arctic (Matsui et al., 2011). Nevertheless, R<sub>CO</sub> might be also affected by emission type. The enhancement of R<sub>CO</sub> in level II might be predominantly caused by entrainment of pollution emitted by different sources. In fact, the low-level air transport from Eastern Russia struck areas influenced by both, biomass burning and gas flaring. Similarly, the second RCO peak observed in level IV might be caused by a change in source types linked to the extended influence of airmasses coming from Northeast Asia. However, R<sub>CO</sub> did not show any clear correlation with the transport patterns and with the occurrence of liquid and ice clouds along the trajectories (Fig. S2). Due to the complexity of the transport pathways, potentially entraining pollution from different source types, R<sub>co</sub> alone proved itself to be insufficient in order to assess the impact of atmospheric processing on BC variability in the Canadian Arctic in spring. The parallel interpretation of R<sub>CO</sub> and accumulated precipitation along the trajectories might be a better tool to investigate the impact of wet removal on BC presence in the Arctic, as already proposed by (Matsui et al., 2011). However, a complete investigation on the efficiency of BC removal mechanism is beyond the scope of the present work. [...]
- 4. In all of the discussion of the vertical distributions of BC properties in the springtime polar dome I can't summarize what is learned. Looking at Figure 5, I would guess that the springtime arctic in levels I and II is most impacted by local sources because the MMD is large and the BC/CO ratio is relatively high. Local biomass burning sources and possibly ship emissions could have those characteristics but I don't know if the expected sources are large enough to explain the observed loadings. At the end of this section I guess the conclusion is that different sources are contributing to BC loadings at different atmospheric levels but I don't know what the community can do with that information as presented.

Conclusions based solely on the vertical profiles may be premature, we can, nevertheless, learn some interesting things out from Figure 5. Sources at the margins of the Arctic Ocean, such as those related to resource extraction, contribute to BC concentrations in the lower dome — especially in level II. Subsidence and long-term accumulation in the dome may also contribute to the background concentrations. High-Arctic local sources might not represent a strong contributor to BC atmospheric load. This is particularly true when we consider that the highest BC mass concentration and number fraction was found way above the surface due to influence from long-range transport. Moreover, the strong decrease of MMD with altitude implies that atmospheric processing or different source types play an important role on BC microphysics. This is of high interest for radiative forcing

estimations, since the mass absorption cross-section of BC is also a function of particle diameter (Kodros et al., 2018). BC at low levels can especially cause strong surface radiative forcing. Finally, in Section 3.3.1 we aimed to describe all the features observed during the flights and to intrude all the properties, such as MMD and  $R_{CO}$ , which will be used for further discussion in Section 3.3.1, 3.4 and 3.5. However, we recognize that the section needs some adjustments in order to improve the readability and understanding of our findings. In order to avoid redundancy and merely bibliographical writing, some parts of the text were shortened ( $R_{CO}$  introduction: P13L25-32) or removed (BC-CCN behaviour: P13L10-P14L7).

5. In section 3.4, I don't understand why the authors choose to only run back trajectories for times associated with higher concentration observations. It seems that it would be more conclusive if you were to compare back trajectories across a range of observed BC loadings and see which patterns emerge that are common to the high and low concentrations observations respectively.

Following the comments of anonymous reviwer#1 and other referees, the analysis of back trajectories is now extended to all the considered measured points. The modified approach allowed a better interpretation of the results presented in Section 3.4.

6. In section 3.4.1 on page 19, there is a mention of the data implying "more efficient wet removal in the upper polar dome". But, again, I think it could also be that the convective processes that lifted the air parcel near the sources were different. What does this really tell us about the polar dome that is useful? Similarly, in section 3.5 the authors try to tie differences between layers to differences in local removal within the arctic but I don't know how local effects can be resolved distinct from source differences and transport effects.

All the referees shared similar doubts on the interpretation of the BC/CO ratio results provided by the authors. As a consequence, a substantial number of sections were modified, this applies to Section 3.4.1 and to Section 3.5 as well.

7. On page 23 there is a brief discussion of how MMD might affect the radiative properties of BC in the arctic. Yet the present study doesn't actually report BC coatings or hydrophilicity so this paragraph serves mostly as a literature review which is an odd note to end the results section. Also, just because BC contributes a small amount of aerosol by number doesn't mean it can't be an important absorber. I agree with the authors that it is probably a negligible contribution to extinction but absorption is what you're really interested in and you have very little information about possible absorption enhancements for these particles.

The authors understand the points risen by the reviewer, which are partly shared by the other reviewers. The above-mentioned section was heavily reworked, and now focus mainly on the observed differences in the BC size distribution. A reduced discussion on the potential causes and impact are finally presented, mostly as an outlook. Section 3.4 now reads:

[...] Especially in the Arctic region, where import of BC with an airmass and cloud formation driven removal were found to be a synergistic process (Liu, Fan, Horowitz, & Levy, 2011), it became clear that the BC core size distribution alone is not sufficient to determine the dominant removal process or source type. Even though the present dataset does not allow a complete decoupling of factors controlling the seasonal and altitudinal change of BC diameter, the latter might influence the BC optical properties and subsequent radiative forcing. The mass absorption cross-section of pure BC varies as function of BC diameter (Bond & Bergstrom, 2006), and a shift from ~200 nm to ~250 nm of D<sub>rBC</sub> causes a decrease of the mass absorption cross section from 6.7 m2 g-1 to 4.9 m2 g-1 (Zanatta et al., 2018). Though the direct BC forcing in the Arctic is dominated by the absolute BC concentration and mainly affected by BC mixing state (Kodros et al., 2018), the change of the BC core diameter is rarely considered in radiative forcing estimations. [...]

P9, line 7: "southern boarder" should be "southern border".

Changed.

P17, line 9: "Highest values" should be "The highest values"

Changed.

P18, line 31: "The minimal latitude" should be "The minimum latitude"

Changed.

P18, line 34: "Which is reaching up to" should be "Which reaches"

Changed.

Towards the end of the paper the authors have several instances of the acronym MSD instead of MMD. Please make the terminology consistent.

In most part of the manuscript the discussion on the vertical variability of the BC size is based on the vertical profiles of the mean mass diameter (MMD) presented in Figures 4-5-6. In Section 3.5 the discussion is mainly based on the mass size distribution (MSD; Figure 9) averaged within the atmospheric layer of main interest. The use of "MSD" as acronym is, therefore, justified.

P22, line 32: "Likeliness" should be "likelihood"

Changed.

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# High-Arctic aircraft measurements characterising black carbon vertical variability in spring and summer

We would like to thank the referees for their detailed and constructive comments, which helped us to improve our manuscript. While the referee comments are given in **black bold**, our answers are given below in blue letters. Additionally, we added the changes we made in the revised manuscript in **blue bold** letters.

### Answers of the authors to anonymous Reviewer#2

Anonymous Review of Manuscript acp-2018-587 GENERAL REMARKS

This paper presents novel data of black carbon (BC) aerosols obtained by aircraft observations over the Canadian Arctic in summer 2014 and spring 2015. The authors report clear seasonal variations of BC mass concentrations, mass-mean diameter, BC/total aerosols number ratio, and BC/CO ratio, and then try to understand the mechanisms that controlled vertical profiles of BC properties based on the analysis of potential temperature and back-trajectory. The instrumentation is clearly written in the Method section. Because the data of the vertical profiles of BC in the Arctic is very important to assess their radiative impacts and validate numerical models, the novel data presented in this paper is valuable to the community. One aspect of the paper that I thought could be improved is the discussion on the mechanisms controlling vertical profiles. In many parts of the paper, the authors try to interpret the profile variations by "wet removal" or "nucleation scavenging". However, their conclusion is rather speculative and not well supported by data. I understand that the wet removal process is complex (especially ice-cloud scavenging) and difficult to examine, but if the authors can use any supporting data such as precipitation amount or humidity that air masses had experienced along the back-trajectory paths, more quantitative discussion on the observed variability (and also related new insights) may be achieved in the paper.

The authors would like to point out that the referees raised questions concerning the interpretation of the BC/CO ratio as indicator for wet scavenging and encouraged us to verify the subsequent hypothesis and conclusions. Due to the high number of comments on this specific topic, we prefer to provide here a general and common answer to all reviewers. As a consequence of the above-mentioned reasons, Section 3.4 was substantially modified. The discussion now focusses on the importance of transport patterns on the observed BC concentration. Thus, Figure 7 and Figure 8 were modified. The discussion on potential impact of wet scavenging on BC and BC/CO ratio is now substantially reduced. However, additional analysis of back trajectories, including encounter with clouds, is now presented in the supplementary material.

Specific comments of Reviewer#2

P6, Line 5: "avalanche photo-diode" should be "photomultiplier tube"?

The referee is right, the statement was consequently changed:

[...] The incandescence light detector, a photomultiplier tube [...].

P7, Line17: "STP" is used here, but defined later in Line 18.

Lines 17 and following now read:

[...] The SP2 and UHSAS shared one bypass line off the main aerosol inlet and sampled with constant 120 ccm (volumetric) and 50 ccm (at standard temperature and pressure, STP), respectively. [...] The rBC mass and

number concentrations presented in this study refer to standard temperature and pressure of 273.15 K and 1013.25 hPa, respectively, [...]

P8, Line 31–33: I could not fully understand what the authors meant here (i.e., the meaning of "threshold filtering"). Please clarify this sentence.

In the present version of the manuscript no BC or BC/CO threshold was applied to the back trajectories. The text included in P8L31-33 and P9L1-3 now reads:

[...] The time series of trajectories along the track of the aircraft were correlated with in–situ measurement values, in order to relate individual features in the vertical profiles to an ensemble of trajectories (see Sec. 3.4). Due to the potential influence of wild fires and gas flaring on BC presence in the Arctic region, the spatial distribution of gas flaring sites from the ECLIPSE (Evaluating the Climate and Air Quality Impacts of Short–Lived Pollutants) emission inventory (Klimont et al., 2017; Stohl et al., 2015) and active fires from the MODIS level 2 satellite product (Giglio et al., 2003) were also considered for the interpretation of trajectory pathways. [...]

# P11, Figure 4: I suggest adding BC/CO data to Figure 4 so that it can correspond to Figure 5.

The BC/CO data were mainly used to understand the potential impact of wet removal on BC load and properties. The mutual dependency of the BC/CO ratio on source type and on wet removal, and thus the transport history of BC laden air to different height levels of the polar dome, makes a clear discussion and univocal interpretation of its seasonal variability quite delicate. We preferred to reduce the potential to confuse the reader with the superimposed variability of the BC/CO ratio when shown as function of pressure—altitude in order to rather discuss it along with potential temperature as vertical coordinate in Sec. 3.3. As a consequence, we decided to maintain Figure 4 as it is.

# P12, Line 15: I could not understand what the "partitioning of rBC particle size within polluted layers" meant. Please clarify it.

With this sentence we meant to underline that the peaks of rBC mass concentration did not directly imply an enhancement of the rBC number fraction. This might involve different removal mechanisms for different aerosol types during transport. On the other side, other processes as different emission sources might play a role. Due to its speculative character the present statement was removed.

P14, Figure 5 and 6: In Figure 5, are the colored profiles examples chosen from all the spring fights? (because they are indicated as like "YYYYMMDD\_F1" in the legend). On the other hand, in Figure 6, the colored profiles are simply indicated as "YYYYMMDD". Is there any difference?

During the spring campaign 2015, but not for the summer campaign 2014, two different flights took place on the same day (8 April). The notations "F1" and "F2" refer to the first and second flight of the day respectively. In order to avoid confusion, the notation "Fx" was removed in the legend of Figure 5 for all flights excluding the two occurred on the 8 April. The caption of Table 1 now describes explicitly the meaning of notations "F1" and "F2".

P15, Line12–13, The low R\_numTA does not necessarily suggest that rBC has been depleted by nucleation scavenging, because total aerosols can be also depleted by nucleation scavenging and thus modify the R\_numTA value.

The authors agree with the referee's comment. The statement now reads:

[...] Highest variability in rBC abundance and its properties was present between 265 to 277K (level III). At the beginning of the observation period (7 April), low mean M\_rBC of 17 ngm<sup>-3</sup> (IQR: 4–22 ngm<sup>-3</sup>) was measured, while the two flights on 8 April encountered significantly higher concentrations up to 111 ngm<sup>-3</sup> (IQR: 65–151 ngm<sup>-3</sup>). The overall average concentration of BC in level III was 49 ngm<sup>-3</sup>. The enhancement of black carbon mass concentration, together with a decrease in the mean R\_CO and MMD potentially suggests different transport or removal regimes compared to the lower atmospheric levels. The ratios R\_numTA and R\_CO as well as MMD were significantly below average on the 7 April, while supply of polluted air set in on 8 April and lasted over the course of the observation period with variable intensity. [...]

# P17, Line 18-19: In Figure 6, the level (III) starts at 294 K. Is it correct?

The statement directly refers to the work of Bozem et al. (2018), who defined the upper limit of the polar dome during the summer NETCARE campaign within the potential temperature range of 299-303.5 K. In order to improve its clarity, the statement was modified as:

[...] The highest investigated level (III) of the atmosphere was characterized by potential temperature above 294 K, and most probably represented a strong temperature gradient separating the polar dome from free tropospheric conditions. In fact, Bozem et al. (2018) identified the upper boundary of the summer polar dome in the potential temperature range of 299-303.5 K. [...]

P21, Line 2: "Sec. 6" should be "Sec. 3.3.2"?

Changed accordingly.

P22, Line 19: Sahu et al. (2012) reported mass "median" diameter by applying lognormal fit to the observed size distributions. On the other hand, the present paper reports mass "mean" diameter. For comparison of the data, it should be noted that the definition of "MMD" is different between these studies.

The authors agree with the comment of the referee. After major changes implemented to Section 3.5, the "Sahu et al. (2012)" reference is now only used to underline the sensitivity of BC diameter to different sources. The specific part of the updated Section 3.5 now reads:

[...] BC size distribution was found to be extremely sensitive to both emission type and atmospheric processing. For example, while rBC particle diameters increase when switching from fresh urban emissions to biomass burning emissions (Sahu et al., 2012; Laborde et al., 2013), ship emitted rBC can show a bimodal size distribution characterised by a second mode above 600 nm of D<sub>rBC</sub> (Corbin et al., 2018). [...]

P23, Figure 9: X-axis should be log-scale?

Changed.

P23, Line 4: "asses" should be "assess".

Changed.

P23, Line 9–15: Moteki et al. (2012) discussed coating volume (mass), not shell/core diameter ratio. Because a BC particle with core of 220 nm and shell/core diameter ratio of 1.4 actually has larger coating volume than a BC particle with core of 140 nm and shell/core diameter ratio of 1.6, the results explained here are not contrasting but rather consistent with Moteki et al. (2012).

The referee is right. The coating mass of the considered BC-containing particles was calculated assuming a fixed density of 1 g cm<sup>-3</sup> according to Moteki et al. (2012). Small BC cores (140 nm of diameter) having a core/shell ratio of 1.6 showed a coating mass of 4.4 fg. On the contrary, the coating mass of larger BC cores (220 nm of diameter) showing a core/shell ratio of 1.4 was quantified to be 9.7 fg. Thus, the coating-core mass dependency presented by Moteki et al. (2012) is here confirmed. However, after major changes implemented to Section 3.5, the discussion on mixing state of BC was largely reduced due to its mere literature review character. Now the mixing state is briefly introduced as a factor influencing the optical properties and radiative forcing of BC as:

[...] Especially in the Arctic region, where import of BC with an airmass and cloud formation driven removal were found to be a synergistic process (Liu et al., 2011), it became clear that the BC core size distribution alone is not sufficient to determine the dominant removal process or source type. Even though the present dataset does not allow a complete decoupling of factors controlling the seasonal and altitudinal change of BC diameter, the latter might influence the BC optical properties and subsequent radiative forcing. The mass absorption cross-section of pure BC varies as function of BC diameter (Bond and Bergstrom, 2006), and a shift from  $\sim$ 200 nm to  $\sim$ 250 nm of  $D_{rBC}$  causes a decrease of the mass absorption cross section from 6.7 m<sup>2</sup> g<sup>-1</sup> to 4.9 m<sup>2</sup> g<sup>-1</sup> (Zanatta et al., 2018). Though the direct BC forcing in the Arctic is dominated by the absolute BC concentration and mainly affected by BC mixing state (Kodros et al., 2018), the change of the BC core diameter is rarely considered in radiative forcing estimations. [...]

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# High-Arctic aircraft measurements characterising black carbon vertical variability in spring and summer

We would like to thank the referees for their detailed and constructive comments, which helped us to improve our manuscript. While the referee comments are given in **black bold**, our answers are given below in blue letters. Additionally, we added the changes we made in the revised manuscript in **blue bold** letters.

# Answers of the authors to anonymous Reviewer#3

Anonymous Review of Manuscript acp-2018-587 GENERAL REMARKS

This paper discusses and analyzes the measurements of the vertical distribution of refractive black carbon (rBC) in the high Arctic during the spring and summer measured with a single particle soot photometer (SP2) during the NETCARE project. The mean and variance of the vertical profiles of total rBC mass, mass-mean diameter, and the ratio of rBC to CO and total aerosol number are discussed, along with the changes in transport patters and sources that lead to the distinct vertical layers observed in the profiles. The data gathered in this campaign helps to fill an important gap in previous observations of the Arctic. The work presented in the paper is an excellent, detailed analysis of the sources and mechanisms (e.g., wet deposition) leading to the observed vertical profiles of rBC, helping to provide a conceptual model that explains key features displayed in the observations. Generally, the conclusions of the study are well-justified by the results shown and the potential for alternate explanations is appropriately discussed. I don't have any major concerns with the methodology, results, or conclusions of the study. Most of my comments below focus on either unclear wording in the manuscript text or issues with the figures that made it difficult to identify some of the features discussed in the text. I've only focused on cases where it was unclear to me what the authors intended to say. There are numerous other language choices that struck me as odd, but rather than list them here I would suggest that these be addressed by an English language copy-editor before publication.

The authors would like to point out that the referees raised questions concerning the interpretation of the BC/CO ratio as indicator for wet scavenging and encouraged us to verify the subsequent hypothesis and conclusions. Due to the high number of comments on this specific topic, we prefer to provide here a general and common answer to all reviewers. As a consequence of the above-mentioned reasons, Section 3.4 was substantially modified. The discussion now focusses on the importance of transport patterns on the observed BC concentration. Thus, Figure 7 and Figure 8 were modified. The discussion on potential impact of wet scavenging on BC and BC/CO ratio is now substantially reduced. However, additional analysis of back trajectories, including encounter with clouds, is now presented in the supplementary material.

Specific comments of Reviewer#3

P1, L3: You might discuss what you mean by "high Canadian Arctic" here to clarify the region your results apply to.

The "Canadian High Arctic" definition was already adopted in most of the publications resulted from the NETCARE projects (Abbatt et al., 2018). Generally, High Arctic can be defined as the area ensemble located at latitudes higher than 70°N (AMAP, 2015). In the present study the Canadian High Arctic includes the northernmost Canadian research stations which mainly experience Arctic conditions all year long, being included in the Polar Dome both during the cold and warm season. P1L3 was modified as:

[...] high Canadian Arctic (>70°N). [...]

P1, L6: "caused and changed" is an odd phrase. I think you mean the cyclonic disturbances caused additional transport of pollution, correct?

The statement was modified, now it reads:

[...] The observation periods covered evolutions of cyclonic disturbances which favored the transport of air pollution into the High–Arctic, as otherwise the air mass boundary largely impedes entrainment of pollution from lower latitudes. [...]

P3, L5 "spread of more than one order of magnitude" in what? rBC concentrations, deposition, or emissions? Please clarify what you are referring to here.

The statement was clarified, now it reads:

[...] the balance of these effects in the Arctic can only be estimated in models as accurately as vertical distributions of BC is known. However, profiles of BC concentration show a spread of more than one order of magnitude amongst different state-of-the-art models as well as between models and observations (AMAP, 2015). [...]

P5, Table 1: Please add a note to the table explaining that the "station" is the location the plane left from.

Added.

P10, Figures 2 and 3: The black triangles are very hard to see against the blue and green colors. Consider making the triangles white instead?

Changed

P11, Figure 4: The two blue shades for Alert and Eureka are very hard to tell apart. Can you make them easier to distinguish?

The colors were chosen to clearly distinguish the spring and summer measurements. In order to improve the readability of the lines, the color of the Alert profiles was changed to green.

P12, L1-2: I'm not sure the ARCTAS and NETCARE observations discussed in this paragraph are enough to conclude that "wet removal becomes more efficient during summer within the polar dome, but as well already during northward transport outside the dome." Do you have other evidence supporting that the changes seen in both campaigns are due to more efficient wet removal?

Our interpretation of wet removal was found weak or inconsistent by other reviewers. In order to improve the interpretation of our observations, the frequency of liquid and ice cloud encounter by the air parcels during transport was calculated for each flight and discussed in Section 3.4. Even with this additional tool, it was difficult to properly estimate the effective impact of wet removal on BC concentration and its properties. Thus, the interpretation of wet scavenging based on the BC/CO ratio was substantially reduced. The mentioned statement was removed. The previous statement about the balance of rBC supply and removal is stressed by:

[...] This might be due to the fact that their observations were from a Sub-Arctic region (northern Alaska), where pollution supply and removal are not necessarily in the same balance as within the polar dome. The balance between supply and removal of <u>rBC</u> appears to have a pronounced seasonality, based on the ARCTAS and NETCARE observations. [...]

P12, L7-9: Are you saying that mixed Asian outflow is a source of the Arctic haze you observed in this campaign, or is it just an example to show that the haze concentration is usually lower than near the source?

The statement was meant to compare the mass concentration of BC found in the Arctic with other locations, inside and outside the Arctic. However, the comparison with Asian outflow conditions was removed because of its low relevance in the present context. The sentence was modified as following:

[...] The overall range of BC concentrations is similar to previous spring observations reported for the European Arctic (Liu et al., 2015) and comparable to measurements from the mixed boundary layer over Europe (McMeeking et al., 2010). [...]

# P12, L15-16: I'm not clear what you mean by "could indicate a partitioning of rBC particle size within polluted layers." Can you please clarify what you mean by this sentence?

Anonymous referee #2 already reported this issue. With this sentence we meant to underline that the peaks of rBC mass concentration did not directly imply an enhancement of the rBC number fraction. This might involve different removal mechanisms for different aerosol types during transport. On the other hand, other processes, as different emission sources, might play a role. Due to its speculative character, the present statement was removed.

P12, L21-22: I think you need to be careful with the writing here. rBC could have a significant impact on solar light extinction (measured in W/m²) even if the number fractions of rBC particles relative to total aerosol was low. However, the low ratio, combined with the low rBC mass concentration, means the impact in this case is negligible. Thus, I think you have to mention the low mass concentration of rBC here before concluding rBC has a negligible impact.

We agree with the referee's comment. The text was changed in accordance to other modifications made in Section 3.5.

[...] In contrast to the spring, the summer MMD showed a slight increase from the surface (129 nm) to about 600 hPa (140 nm; Fig. 4 b). As pointed out in Bond and Bergstrom (2006), the mass absorption cross-section of BC particles depends, also, on the particle's diameter. As a consequence, the concentration of rBC mass in small particles could potentially contribute to the enhancement of the absorption coefficient of the total aerosol. Nevertheless, the low values of (average of 2 ng m<sup>-3</sup> with IQR 0—12 ng m<sup>-3</sup> throughout the column) and R<sub>numTA</sub> (average of 0.75%) makes BC a minor contributor to the total aerosol light extinction. A more detailed description of seasonal and vertical variability of the BC core diameter will be provided in Section 3.5[...]

# P17, L31-32: How does this choice of only using trajectories that encountered above average M\_rBC potentially impact your analysis and results? Is there a potential for bias from this choice?

Our choice of using a  $M_{rBC}$  threshold was also questioned by other referees. Initially we wanted to focus on the most intense plumes, which most likely cause high but local forcing. We now understand the limits of our choice, which might systematically remove air parcels that originated in pristine regions or that experienced precipitation. For this reason, the trajectories presented and discussed in Section 3.4 include all points at which measurements were made.

# P18, L1-2: Why did you not use the ECMWF boundary layer heights to determine the hatching instead?

The ECMWF data were used to run the LAGRANTO back-trajectories. A flag indicating whether the trajectory was traveling within the boundary layer was however not part of the available model output. Linking the trajectory position at each time step again with the boundary layer height information from datasets (e.g. ERA-interim) would be possible in post—processing, however only as a complicated approach relaying on several assumptions. A detailed evaluation of the trajectories' interactions with the boundary layer was beyond the scope of the maps in Fig. 7 and 8 and we believe that detailed information would have been blurred in these multiple day average maps. The text was changed and now stresses that the hatching highlights the presence of trajectories moving at atmospheric pressures >920 hPa in a grid cell in contrast to trajectories already lifted up from the lower atmosphere.

[...] A hatching highlights grids where trajectories travelled at atmospheric pressures >920 hPa, which is equal to less than about 0.5 km. Climatological boundary layer heights over Europe are typically <1 km during daytime [Seidel et al., 2012], thus pollution uptake from surface sources may be possible in a well-mixed atmosphere in the hatched areas in contrast to trajectories moving in the upper atmosphere or being lifted already due to vertical motion in synoptic scale systems (Sec. 3.1). [...]

P19, L1-2: I don't see the difference between Levels II and III discussed in this sentence in Figure 7. What should I be looking for in the figure?

The authors agree with the referee's comment. The interpretation of the Figure 7b and Figure 7c was not accurate. In fact, back trajectories suggested that the motion of airmasses from the Eurasian sector to Level II and Level III was quite similar. Insights on transport and origin of air parcels higher in  $M_{rBC}$  are now more evident after extending the trajectory study to the entire dataset and focusing the discussion on  $M_{rBC}$  instead of  $R_{CO}$ . The entire Section 3.4 was substantially modified, we thus encourage the reviewer to consider the changes implemented in the entire section.

P20, Figure 7 and P21, Figure 8: These figures are very difficult to see, maybe due to their small size in the combined figure. The color bar for the overpass frequencies should also be included in both figures. I'm also wondering if the color for high rBC/CO values is too similar to the red colors used for high overpass frequencies, so in Figure 7 a, I'm not sure if the red near the surface sites is just high overpass frequencies or also high rBC/CO ratios at the endpoints.

The authors are aware of the interpretational limits of both Figure 7 and Figure 8. To improve its readability and clarity, some modifications were implemented:

- The location of the reference stations is now symbolized by a large black cross.
- R<sub>CO</sub> in Figure 7 and 8 was substituted with M<sub>rBC</sub>.
- Two color scales describing the overpass frequency and M<sub>rBC</sub> were added.
- A legend now indicates the two source types (wild fires and gas flaring) and the airmasses moving at low level.

Minor comments of Reviewer#3

P1, L4: expand the acronym "NETCARE" here.

Changed.

P1, L10: "factor of 10", not "factor 10"

Changed.

P1, L22: "rBC was affected" not "got affected"

Changed.

P7, L32: "As opposed to aerosol", not "Other than aerosol"

Changed.

P21, L2: There isn't a Section 6, so what should this refer to?

Corrected.

P25, L10: "available ton the Government"?

Corrected.

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# High-Arctic aircraft measurements characterising black carbon vertical variability in spring and summer

We would like to thank the referees for their detailed and constructive comments, which helped us to improve our manuscript. While the referee comments are given in **black bold**, our answers are given below in blue letters. Additionally, we added the changes we made in the revised manuscript in **blue bold** letters.

# Answers of the authors to anonymous Reviewer#4

Anonymous Review of Manuscript acp-2018-587 GENERAL REMARKS

This paper describes the results from the aircraft measurements of black carbon (BC) aerosols over the high arctic region. The vertical distribution of BC is one of the most important characteristics for assessing its radiative impact. Authors analyzed in detail the vertical distributions, their seasonal variations, and transport pathways of BC using the data sets from the aircraft observations which were performed in the summer of 2014 and the spring of 2015. The analyses of the vertical distribution of BC with potential temperature illustrated the fundamental feature of the transport of BC from the lower latitudinal region (i.e., Sub-Arctic). Single particle soot photometer (SP2) was deployed on the aircraft to reveal one of the microphysical parameters, size distributions, of BC. The changes in the size distributions of BC in the vertical coordinate indicated that the removal process of BC during the transport to the high-arctic region is related to precipitation. The results and discussion presented in this study meet the scope of ACP. The observed features, which are well illustrated in this study, will be really helpful for the research community of Arctic climate changes as well as I actually enjoyed reading this paper. What this paper does not present in detail is the analyses of wet removal process of BC during the transport and its impact on the abundance and microphysical parameters of BC-containing particles. The cloud processing and following precipitation during the transport in East Asia can significantly affect the microphysical parameters of BC-containing particles in the lower free troposphere (Moteki et al., 2012; Kondo et al., 2016) and even in the planetary boundary layer over the outflow area (Miyakawa et al., 2017). There should be a difference in the actual wet removal process between East Asia and Arctic, because the scavenging of BC particles can be affected by cloud phase (e.g., Browse et al., 2012). Furthermore, we are interested in where BC-containing particles were removed and deposited in Arctic region in order to well understand the snow darkening induced by deposited BC. The more data analyses of precipitation during the transport (intensity of precipitation, where air masses were affected by precipitation, etc.) magnify the significance of the data sets used in this study.

The authors would like to point out that the referees raised questions concerning the interpretation of the BC/CO ratio as indicator for wet scavenging and encouraged us to verify the subsequent hypothesis and conclusions. Due to the high number of comments on this specific topic, we prefer to provide here a general and common answer to all reviewers. As a consequence of the above-mentioned reasons, Section 3.4 was substantially modified. The discussion now focusses on the importance of transport patterns on the observed BC concentration. Thus, Figure 7 and Figure 8 were modified. The discussion on potential impact of wet scavenging on BC and BC/CO ratio is now substantially reduced. However, additional analysis of back trajectories, including encounter with clouds, is now presented in the supplementary material.

Specific comments of Reviewer#4

P1, L10. "a factor 10" should be "a factor of 10". P15, L2. "an air parcel" should be "in air parcel".

Corrected

P23, L11-13. The finding in Moteki et al. (2012) is that the average mass of non-BC materials on rBC-containing particles increased with increasing rBC core diameters. They just discussed shell to core (S/C) ratio of rBC-containing particles. When we translate the relative enhancement of shell mass of non-BC materials into the S/C ratio, the similar tendency given in Kodros et al. (2018) will also be found in Moteki et al. Please modify this description and add appropriate discussion on this part.

The same issue was highlighted by anonymous referee#2. The text was modified in order to translate our core to shell diameter ratio into mass ratio. As matter of fact, our results are coherent with the findings of Moteki et al. (2012). The mass of coatings was calculated assuming a fixed density of 1 g cm<sup>-3</sup> (Moteki et al., 2012) and quantified to be 4.4 fg and 9.7 fg for BC cores having diameters of 140 and 220 nm respectively. However, Section 3.5 was significantly modified and, based on other referees' comments, the statement mentioned by the anonymous reviwer#4 was removed.

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# Overview of changes to ACP 2018-587

- Authors and affiliations
  - o Daniel Kunkel was added to the list of co-authors to acknowledge his contribution to the LAGRANTO simulations together with Heiko Bozem and Peter Hoor.
  - o The author and two co-authors changed affiliation since the initial submission
  - o Andreas Herber will manage the correspondence in the future
- General clarifications of sentences and adjustments of phrasing and language throughout the document
- Appendix figure moved to new supplementary material
- Updated polar dome boundaries to changed results in Bozem 2018/2019 (to be subm. to ACP)
- Corrections and changes according to the reviewers' comments (see details in the author response)
- Adapted or removed discussion of rBC wet removal in connection to R<sub>CO</sub> and mean particle diameter in Sec. 3.3ff.
  - Sec. 3.3.1: removed introduction/explanation of preferential removal of larger particles (Kelvin effect)
  - o Sec. 3.4 and 3.5: Substantial changes as described in answers to reviewers
- Trajectory maps in Sec. 3.4 feature now values of rBC mass concentration instead of  $R_{CO}$  (at the endpoints of trajectories). Discussion in Sec. 3.4 changed accordingly.
- Trajectory maps featuring values of  $R_{CO}$  and the frequency of encounters with cloud water were added to the new supplementary material and are discussed in Sec. 3.4
- Sec. 3.4: Changed structure and extended the discussion of results
- Sec. 3.4.1: clarification of transport to the Alert and Eureka regions in level IV with additional figure in supplementary material
- Sec. 3.4.1: simplification and shortening
- Sec. 3.4.2: Added discussion of possible local sources

# High-Arctic aircraft measurements characterising black carbon vertical variability in spring and summer

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### Abstract.

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The vertical distribution of black carbon (BC) particles in the Arctic atmosphere is one of the key parameters controlling its radiative forcing. Hence, this their radiative forcing and thus role in Arctic climate change. This work investigates the presence and properties of BC over the high Canadian Arctic these light absorbing aerosols over the High Canadian Arctic (>70°N). Airborne campaigns were performed as part of the NETCARE project and (Network on Climate and Aerosols: Addressing Key Uncertainties in Remote Canadian Environments) and provided insights into the variability of the vertical distributions of BC particles in summer 2014 and spring 2015. The observation periods covered evolutions of cyclonic disturbances to the polar dome that caused and changed at the polar front, which favoured the transport of air pollution into the High-ArcticHigh Canadian Arctic, as otherwise the airmass boundary this boundary between the airmasses largely impedes entrainment of pollution from lower latitudes. A total of 48 vertical profiles of refractory BC (rBC) mass concentration and particle size, extending from 0.1 to 5.5 km altitude, were obtained with a Single-Particle Soot Photometer (SP2).

Generally, the rBC mass concentration decreased from spring to summer by a factor of 10. Such depletion was associated with a decrease of the mean rBC particle diameter, from approximately 200 nm to 130 nm at low altitude. Due to the very low number fraction, rBC particles did not substantially contribute to the total aerosol population in summer.

Profiles analysed The analysis of profiles with potential temperature as vertical coordinate revealed characteristic variability patterns due to different balances of supply and removal of rBC in within specific levels of the stable atmosphere. Kinematic back—trajectories were used to investigate transport pathways into these levels. The lower polar dome was cold and stably stratified, dome like, atmosphere over the polar region. The associated history of transport trajectories into each of these levels showed that the variability was induced by changing rates and efficiencies of rBC import. Generally, the source areas affecting the polar dome extended southward with increasing potential temperature (i.e. altitude) level in the dome. While the lower

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dome was mostly only influenced by low-level transport from sources within the cold central and marginal Arctic. During the spring campaign., for the mid and upper dome during spring it was found that a cold air outbreak over eastern Europe additionally caused intensified northward transport of air from a corridor over western Russia to Central Asiathat, which was affected by emissions from gas flaring, industrial activity and wildfires. This caused rBC concentrations between about 500 to 1800 m altitude to in the second lowest level to gradually increase from 32 to 49 ng m<sup>-3</sup>. The temporal development of transport to the level above, at around 2500 m, third level caused the initially low rBC concentration to increase from <15 ng m<sup>-3</sup> to 150 ng m<sup>-3</sup>. Despite the higher concentrations in the upper level, significantly less rBC reached the High–Arctic relative to co-emitted CO. A shift in rBC mass-mean diameter, from above 200 nm in the low-level transport dominated lower polar dome to <190 nm at higher levels, indicates that rBC got may indicate that rBC was affected by wet removal mechanisms preferential to larger particle diameters when lifting processes were involved during transport. The summer polar dome had limited exchange with the mid-latitudes. Air pollution was supplied from sources within the marginal Arctic as well as by long-range transport, but in both cases rBC was largely depleted in absolute and relative concentrations. Near the surface, rBC concentrations were <2 ng m<sup>-3</sup>, while concentrations increased to <10 ng m<sup>-3</sup> towards the upper boundary of the polar dome. The mass-mean particle diameter of 132 nm was smaller than in spring. The shape of, nonetheless the summer mean mass-size distribution, however, resembled the spring distribution from higher levels, which was depleted with depletion of particles >300 nmdue to nucleation scavenging.

Our work provides vertical, spatial and seasonal information of rBC characteristics in the High-Arctic polar dome polar dome over the High Canadian Arctic, offering a more extensive dataset for evaluation of chemical transport models and for radiative forcing assessments than obtained before by any other aircraft campaign other Arctic aircraft campaigns.

### 20 1 Introduction

Climate change in the Arctic is more rapid than on global scale and a significant loss of the summertime sea–ice extent has been observed over the past decades (e.g. Lindsay et al., 2009). The fast progression of change is largely a result of the ice–albedo and temperature feedback (Screen and Simmonds, 2010; Pithan and Mauritsen, 2014). The driving agents of Arctic warming, however, still remain unclear. Recent studies suggest that next to CO<sub>2</sub>, short–lived climate forcers contribute significantly to the observed warming, but their complex interactions with the Arctic climate system cause high uncertainties (Quinn et al., 2008; Shindell et al., 2012; Yang et al., 2014; AMAP, 2015; Sand et al., 2015). Black carbon (BC) particles, emitted during incomplete combustion of fossil fuels and biomass, are the major light absorbing component of atmospheric aerosol. Bond et al. (2013) concluded that atmospheric BC's interaction with solar radiation induces a global radiative forcing of +0.71 W m<sup>-2</sup>, which has—with an uncertainty range of +0.08 up to +1.27 W m<sup>-2</sup>. BC may also affect the distribution, lifetime, and microphysical properties of clouds when particles act as cloud condensation nuclei (e.g. Chen et al., 2010) or, in BC loaded atmospheric layers, cloud properties can change as adjustment to increased temperature and stability (e.g. Lohmann and Feichter, 2001). The aerosol cloud interaction is suspected to significantly impact climate (IPCC 2013), but the overall level of scientific understanding is still low (Bond et al., 2013). The aerosol interactions with solar radiation and clouds not

only depend on concentrations, but also on microphysical properties, namely the size distribution and mixing state, of BC particles (Kodros et al., 2018).

Model studies of the Arctic climate system by Flanner (2013) and Samset et al. (2013) emphasise that Arctic surface temperatures have different sensitivities to BC's radiative forcing, depending on the altitude where the absorbing aerosol layers at which absorbing aerosols are distributed. When absorption and scattering through aerosols occur higher in the atmosphere, it has a dimming effect on the solar radiation reaching the surface. The energy absorbed at higher levels is inefficiently mixed downward and atmospheric stability is even increased, thus BC containing aerosol can cause in a net cooling effect at the surface (MacCracken et al., 1986). On the other hand, thermal radiation from absorbed solar light in the lower parts of the atmosphere can actually contribute efficiently to surface warming. Reflections from the bright, high albedo ice and snow surfaces in the Arctic increase the amount of energy absorbed by aerosol aerosols like BC. Aerosol particles are removed from the atmosphere by sedimentation as well as nucleation, impaction and below cloud scavenging (e.g. Kondo et al., 2016). The result within the Arctic is likely a deposition of BC in ice and snow that can darken the otherwise highly reflective surfaces (Flanner et al., 2008; Tuzet et al., 2017, and references therein). Studies (e.g. Hansen and Nazarenko, 2004; Flanner et al., 2007) suggest that albedo decrease due to deposition can offset the cooling effect through dimming by higher atmospheric aerosol, but the balance of these effects in the Arctic can only be estimated in models as accurately as the distribution vertical distributions of BC is known. However, there is profiles of BC concentration show a spread of more than one order of magnitude amongst different state—of—the—art models as well as between models and observations (AMAP, 2015).

Consequently, in order to provide accurate radiative forcing estimation in the Arctic region, it is necessary to understand what controls the vertical distribution of BC particles in the Arctic atmosphere. Import of polluted air from lower latitudes is controlled by the cold airmass that lies over the Arctic like a dome with sloping isentropes, the isolines of potential temperature (Barrie, 1986; ?). The polar dome's vertical temperature structure forces warmer air from the mid-latitudes lower latitudes to ascend along those isentropic surfaces when transported into the colder high latitude polar region, reaching it in layers in the mid and upper troposphere (Stohl, 2006). The polar front between the cold polar and the warmer mid-latitude airmasses, a strong horizontal temperature gradient, acts as transport barrier that is controlling the intrusion of polluted air from southern source regions into the Arctic (see Bozem et al., 2018). Emissions from sources within the cold polar dome air are transported through the Arctic at lower altitudes. BC emitted from continental areas in the northern hemisphere is mainly carried poleward by midlatitude low-pressure systems and is eventually mixed across the polar front in the systems' warm and cold fronts. These frontal systems, with life-times lifetime of 1–2 weeks, are frequently generated and poleward mass transport is continuously induced (Stohl, 2006; Sato et al., 2016). The polar dome front retreats northward in the summer and leaves many pollution sources south of the polar front this transport barrier. Increased wet removal (scavenging) of aerosol particles is thought to help maintaining much more pristine conditions throughout the Arctic in summer, compared to winter and spring (Barrie, 1986; Shaw, 1995; Garrett et al., 2011; Tunved et al., 2013; Raut et al., 2017). This pronounced seasonal variability of BC concentration was observed at ground based High-Arctic measurement sites (e.g. Eleftheriadis et al., 2009; Massling et al., 2015; Sharma et al., 2017), however the near surface air is decoupled from the mid and upper troposphere due to the high stability of the atmosphere (Brock et al., 2011) and these measurements cannot represent variability in the vertical (Stohl, 2006). The concentrations of BC particles in the lower atmosphere might be affected by increasing numbers of local emissions. In fact, as the sea ice retreat makes the Arctic region more accessible, commercial activities in the marginal Arctic (the sea–ice boundary and boreal forest region), associated with flaring of gas in connection with oil production extraction (Stohl et al., 2013; Evans et al., 2017) and shipping (e.g. Corbett et al., 2010; Aliabadi et al., 2015), are increasing and the The possible consequences are an area of current research demand (Law et al., 2017). Models aiming to assess the radiative forcing impact of Arctic aerosol have shortcomings in the representation of concentrations and size distributions as well as their vertical variability, which was partly attributed to incorrect treatment of scavenging processes in parametrisations (Schwarz et al., 2010b; Liu et al., 2011). Therefore, vertically resolved observations of aerosol mass and size distributions are an important benchmark for chemical transport models.

Nevertheless Despite their important implications, measurements of the vertical distribution of BC and its variability in the Arctic atmosphere are very sparse (AMAP, 2015). Aircraft campaigns like ARCPAC, ARCTAS and POLARCAT (Spackman et al., 2010; Brock et al., 2011; Kondo et al., 2011a; Matsui et al., 2011; Wang et al., 2011), PAMARCMIP (Stone et al., 2010), HIPPO (Schwarz et al., 2010b, 2013) and ACCESS (Law et al., 2017; Raut et al., 2017) delivered limited numbers of BC vertical profiles from within the cold polar-High-Arctic airmass. To increase the validity and reduce biases of vertical profile measurements, which are the vertical information on the presence of BC in the Arctic, which is the basis to improved our system understanding, high-latitude observations at better spatial and temporal resolution are required. Such observations may resolve the internal variability due to weather changes as well as regional characteristics due to the prevailing atmospheric transport pathways with respect to differences between the seasons.

This paper will discuss a set of measurements from the spring and summer aircraft campaigns in the NETCARE project (Network on Climate and Aerosols: Addressing Key Uncertainties in Remote Canadian Environments, http://www.netcare-project.ca). Motivated by the high sensitivity of the mechanisms of BC's radiative forcing in the Arctic climate system on its vertical distribution, the main goal of this study is to characterise the vertical variability of BC concentrations and particle properties in the polar dome, contrasting spring and summer. The campaigns yielded a unique and detailed dataset from within the polar dome at high latitudes, and covered time scales that give insight in the variability of aerosol distributions due to changes in the meteorological conditions and transport pathways for air pollution in spring and summer.

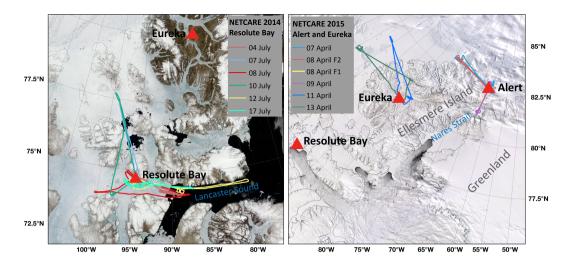
### 2 Methods and material

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#### 2.1 Spatial and temporal coverage of research flights

Aerosol observations were carried out with the Alfred Wegener Institute's (AWI) research aircraft Polar 6, a DC–3 fuselage converted to a turboprop Basler BT–67 (see Herber et al., 2008). This aircraft was specifically modified for polar research and allows flights at relatively low speeds and within an altitude range of 60–8000 m above mean sea level. A constant survey speed of approximately 120 knots and ascent/descent rates of 150 m/min were maintained for vertical profiles.

The vertical atmospheric profile measurements were performed during the aircraft campaigns of the NETCARE project in summer 2014 and spring 2015. 2015 (?). The summer measurements took place from 4 to 21 July 2014 and the aircraft was



**Figure 1.** Maps of all flight tracks evaluated in this study. The summer measurement flights were operated out of Resolute Bay on the northern shore of Lancaster Sound, southern Canadian Arctic Archipelago (left). The spring campaign operated from Alert and Eureka on Ellesmere Island (right). MODIS satellite true colour images were obtained from https://worldview.earthdata.nasa.gov.

based in Resolute Bay (74.6874.7°N, 94.8794.9°W), Nunavut, at the northern shores of Lancaster Sound in the Canadian Arctic Archipelago. During spring, measurements on a total of 10 flights took place from 5 to 21 April 2015 as a traverse through the western Arctic with four stations: Longyearbyen (78.2°N, 15.6°E), Svalbard; Alert (82.5°N, 62.3°W), Nunavut, Canada; Eureka (80.0°N, 85.9°W), Nunavut, Canada; and Inuvik (68.4°N, 133.7°W), Northwest Territories, Canada. The map in Fig. 1 details all flight tracks from both campaigns analysed in this study, which is a subset of research flights that took place north of the polar Arctic front and thus within or above the polar dome. This selection is based on the extent of the polar dome defined in Bozem et al. (2018). Table 1 gives a list of the flights selected for this study.

# 2.2 Measurements

### 2.2.1 Single particle aerosol measurements

A Single Particle Soot Photometer (SP2; 8–channel) by Droplet Measurement Technologies Inc. (DMT, Longmont, CO, USA) was used to detect BC particles. The operation principle and evaluations of the method are given by Stephens et al. (2003); Schwarz et al. (2006); Moteki and Kondo (2010). Briefly, the SP2 is based on the laser–induced–incandescence method: a concentric-nozzle jet system directs the aerosol sample flow through a high–intensity continuous–wave intra–cavity laser beam at a wavelength of 1064 nm, in which highly absorbing particles, such as BC, are heated to their vaporisation temperature and emit thermal radiation (incandescence). Particles containing a sufficient amount of BC (~0.5 fg) can absorb enough energy to reach incandescence, which excludes sensitivity to other, less absorptive, material such as organic carbon, brown carbon or inorganic aerosol components. The peak intensity of the emitted thermal radiation, which occurs when the boiling point

**Table 1.** Overview An overview of all measurement flights of the NETCARE summer campaign 2014 and and the spring campaign 2015 that are evaluated in this study. The stations are the Arctic airfields the plane started from and returned to (see Fig. 1). Two flights took place on 08 April 2015, which are referred to with the shorthand notations F1 and F2.

| Campaign       | Date                         | Station      | Number of profiles | Campaign       | Date                                  | Station | Number of profiles |
|----------------|------------------------------|--------------|--------------------|----------------|---------------------------------------|---------|--------------------|
| NETCARE summer | 04 <del>07 July</del> 2014   | Resolute Bay | 4                  | NETCARE spring | 07 <del>04</del> <u>April</u> 2015    | Alert   | 3                  |
| NETCARE summer | 07 <mark>07-July</mark> 2014 | Resolute Bay | 5                  | NETCARE spring | 08 <del>04</del> <u>April</u> 2015_F1 | Alert   | 4                  |
| NETCARE summer | 08 <del>07-July</del> 2014   | Resolute Bay | 5                  | NETCARE spring | 08 <del>04</del> <u>April</u> 2015_F2 | Alert   | 3                  |
| NETCARE summer | 10 <del>07-July</del> 2014   | Resolute Bay | 5                  | NETCARE spring | 09 <del>04</del> <u>April</u> 2015    | Alert   | 4                  |
| NETCARE summer | 12 <del>07-July</del> 2014   | Resolute Bay | 4                  | NETCARE spring | 11 <del>04 April</del> 2015           | Eureka  | 4                  |
| NETCARE summer | 17 <mark>07-July</mark> 2014 | Resolute Bay | 5                  | NETCARE spring | 13 <del>04</del> <u>April</u> 2015    | Eureka  | 2                  |
|                |                              | Total        | 28                 |                |                                       | Total   | 20                 |

temperature of BC is reached, is proportional to the BC mass contained in the particle. Following the terminology defined by Petzold et al. (2013), the refractory, essentially pure carbon, material detected with the SP2 is hereafter referred to as refractory black carbon (rBC). All other particulates that may be internally mixed with a BC core evaporate at temperatures below the boiling point of BC ( $\sim 4000^{\circ}$ C) such that no interference occurs in the quantification of the rBC mass (Moteki and Kondo, 2007).

The incandescence light detector, an avalanche photo-diode a photomultiplyer tube with a 350 to 800 nm band-pass filter, used two gain stages. It was calibrated with a Fullerene Soot standard from Alfa Aesar (stock #40971, lot #FS12S011) by selecting a narrow size distribution of particles with a differential mobility analyser upstream of the SP2 (following Schwarz et al., 2010a; Laborde et al., 2012). The mass of these mono-disperse particles was empirically calculated using the relationship between mobility diameter and the effective density of Fullerene Soot (Gysel et al., 2011). The Fullerene Soot calibrations used for the datasets of the two NETCARE campaigns agreed to within  $\pm 10\%$  with each other, ensuring a good degree of comparability between the two campaigns in agreement with the reproducability reproducibility of SP2 rBC mass measurements evaluated by Laborde et al. (2012). After calibration, the SP2 allowed 100% detection efficiency of particles with mass in the range 0.6 to 328.8 fg, which is equal to 85 to 704 nm mass equivalent diameter ( $D_{rBC}$ ), assuming a void free bulk material density of 1.8 g cm<sup>-3</sup>. The SP2 was prepared for the research flights following the recommendations given in Laborde et al. (2012). Stability of the optical system and laser power was confirmed during the campaign by measuring mono-disperse polystyrene latex spheres (PSL). An estimated total uncertainty of rBC mass concentrations is 15%, including reproducibility and calibration uncertainty (Laborde et al., 2012) and uncertainties of airborne in situ measurements (e.g. precision of the sample flow measurement). The SP2 was used to obtain rBC mass concentrations ( $M_{rBC}$ ), rBC number-size distribution weighted by particle mass (mass-size distributions, MSD) and mass-mean diameters (MMD) of rBC particles.

The measured  $M_{\rm rBC}$  were not corrected for the mass of particles outside the detection range, and are thus only valid for the range 85 to 704 nm. The contribution of small Aitken mode particles as well as particles larger than 704 nm to the total PM<sub>1</sub> rBC mass (mass of particles smaller than 1000 nm) may be significant and the measurements presented here can underestimate the total PM<sub>1</sub> mass by variable degrees. Approaches as used by Sharma et al. (2017), to estimate the total PM<sub>1</sub> rBC mass by fitting a lognormal distribution to a measured particle MSD, cannot be applied to aircraft measurements since MSD vary with location and altitude and statistics are insufficient to derive multivariate correction factors. The underestimation of the total PM<sub>1</sub> mass due to the contribution of particles smaller than 85 nm were estimated calculated for selected cases to be an additional 4.5% (between 2 and 7%) rBC mass in the summer polar dome, 7.5% (between 4.5 and 8.5%) in the lower spring polar dome and up to 10% (7.8 to 12%) within high concentration pollution plumes. Assuming the SP2 was likely able to count (but not size) all particles between 700 and 1000 nm, an infrequent (< 30 particles/flight) underestimation of the PM<sub>1</sub> mass due to large particles occurred in spring in high concentration plumes as well as in the lower atmosphere. No influence of particles larger than 700 nm was apparent for summer conditions.

The particle number-size distributions and number concentration of the total aerosol (TA) were measured with a DMT Ultra-High Sensitivity Aerosol Spectrometer (UHSAS). As described in Cai et al. (2008), the UHSAS measures the scattered light intensity of individual particles crossing an intra-cavity solid-state laser (Nd<sup>3+</sup>:Y LiF<sub>4</sub>), operating at a wavelenght of 1054 nm, to evaluate the particle size (under the assumption of the refractive index of PSL particles and spherical shape). The UHSAS can detect scattering particles over the range 85 to 1000 nm with 95% counting efficiency below concentrations of 3000 cm<sup>-3</sup> compared to a CPC (Cai et al., 2008). Thus, the instrument covers a size range comparable to the SP2's rBC particle detection range. Fast changes of the aircraft's vertical speed can cause a pressure difference between inlet and exhaust of the instrument and may affect the sample flow measurements, and thus, concentrations reported by standard UHSAS, as reported by Brock et al. (2011) (Brock et al., 2011). Instrument modifications were recommended by Kupc et al. (2017). Cross comparisons of data from the non-modified uHSAS used in this study with other aerosol counters (SP2, CPC) have, however, shown no effects. This is likely due to the slow vertical speed maintained during the research flights and the not pressurised non-pressurised cabin of Polar 6 compared to the NOAA WP-3D aircraft used for the measurements reported by Brock et al. (2011). In the following analysis of vertical profile measurements present work, the number ratio of rBC over TA particles,  $R_{\text{numTA}}$ , is used to determine layers with enhanced was used to identify atmospheric layers influenced by combustion generated aerosoleontent... It must be noted that, due to the limited detection range of the UHSAS, the TA number is biased low and  $R_{\text{numTA}}$  must therefore be considered as an upper estimate of the number fraction of rBC particles.

The air inlet for aerosol sampling was a shrouded inlet diffuser (diameter 0.35 cm at intake point) on a stainless steel tube (outer diameter of 2.5 cm, inner diameter of 2.3 cm) mounted to the top of the cockpit and ahead of the engines to exclude contamination. In–flight air was pushed through the line with a regulated flow rate of approximately 55 L min<sup>-1</sup>, which was estimated to meet nearly isokinetic sampling criteria at survey speed. The transmission efficiency of particles with diameters between 20 to 1000 nm through the main inlet was approximately unity. The inlet is further discussed by Leaitch et al. (2016). The SP2 and UHSAS shared one bypass line off the main aerosol inlet and sampled with constant 120 ccm (volumetric) and 50 ccm (at standard temperature and pressure, STP), respectively. A higher flow was maintained in the bypass using a critical

orifice and a vacuum pump at its end. The rBC mass and number concentrations presented in this study refer to standard temperature and pressure (STP; of 273.15 K, and 1013.25 hPa), respectively, as the volumetric flow was converted using temperature and pressure readings from the instrument's measurement chamber.

### 2.2.2 Trace gases

Carbon monoxide (CO) was measured with an Aerolaser ultra fast CO monitor model AL 5002 based on VUV-fluorimetry, using the excitation of CO at a wavelength of 150 nm. The instrument was modified for applying in–situ calibrations during inflight operations. Calibrations were performed on a 15–30 minutes time interval during the measurement flights, using a NIST traceable calibration gas. The total uncertainty relative to the working standard of 4.7 ppbv (summer) or 2.3 ppbv (spring) can be regarded as an upper limit. See Bozem et al. (2018) for further Further details of calibrations and corrections are presented by Bozem et al. (2018). Trace gases were sampled through a separate inlet made of a 0.4 cm (outer diameter) Teflon tubing entering the aircraft at the main inlet and exiting through a rear–facing 0.95 cm exhaust line that provided a lower line pressure. An inlet flow of approximately 12 L min<sup>-1</sup> was continuously monitored.

Atmospheric BC and CO are often co–emitted from the same combustion sources (Streets et al., 2003), but the relative ratio of the species depends on the combustion type, i.e. fuel types such as biomass or fossil fuel, and combustion conditions, such as flaming, smouldering or (engine) internal. Other than As opposed to aerosol, which is affected by dry and wet removal mechanisms, CO can be used as a nearly inert combustion tracer within timescales of a few weeks. This assumption is neglecting possible sources of CO due to biogenic production or by means of oxidation of other trace gases (Gaubert et al., 2016)). The ratio of rBC to CO relative to their background levels ( $R_{CO}$ ) can be used as an indicator for when rBC particles were depleted by removal processes (Oshima et al., 2012; Stohl et al., 2013). Based on the measured rBC and CO concentrations, the ratio is calculated as  $R_{CO} = \Delta r B C/\Delta CO = M_{rBC}/\Delta CO$  (with units ng m<sup>-3</sup> ppbv<sup>-1</sup>). A background completely depleted of rBC is assumed throughout the column as in previous studies (e.g. Moteki et al., 2012; Kondo et al., 2016) due to the short atmospheric lifetime of BC in the order of days to weeks (Bond et al., 2013). The CO background value is altitude dependent (Fig.  $\ref{Fig.}$ ) and hence defined as the fifth percentile value of all CO mixing ratios observed within defined altitude intervals, following Kondo et al. (2016).  $\ref{Fig.}$  sonly calculated when  $\Delta$ CO exceeded the measurement uncertainty.

### 2.2.3 Meteorological parameters

The meteorological state parameters pressure, humidity and temperature were recorded at 1 Hz resolution with the basic meteorological sensor suite and data acquisition of Polar 6. The ambient air temperature was measured with a PT100 type sensor mounted to the aircraft fuselage in a Goodrich/Rosemount 102 EK 1BB housings housing with deicing facility. Corrections for the deicing heat and adiabatic temperature increase due to pressurisation of the airflow inside the sensor housing (RAM raise and recovery factor) were applied to the temperature readings (following Stickney et al., 1994). The relative humidity (RH) was measured with a Vaisala humidity sensor HMT333 mounted inside a Rosemount housing Model 102 BX, which is also deiced and similar in its flow characteristics to the housing of the temperature probe. The saturation vapour pressure and RH

are corrected with the actual ambient temperature from corrected PT100 readings. The potential temperature was calculated from ambient temperature and the ambient pressure from a static pressure probe.

A Forward Scattering Spectrometer Probe (FSSP), model 100, by Particle Measuring Systems (PMS Inc., Boulder, CO) was used for the measurement of cloud particles. Data from the probe, which was mounted in a canister on a wing pylon, were analysed in more detail in Leaitch et al. (2016) and. It contributes to the following analysis as indicator for visible and invisible clouds by an empirically chosen threshold above instrument noise level to the measured cloud particle concentrations (droplets and ice crystals). Aerosol data has been masked when the aircraft was in clouds.

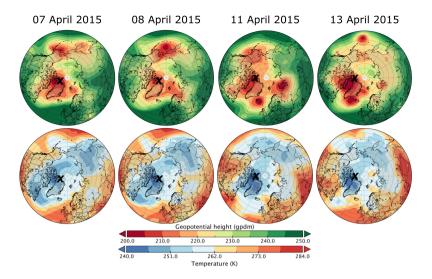
## 2.3 Model weather data and transport pathway analysis

The ERA–Interim re–analysis data (Dee et al., 2011) from the European Centre of Medium–Range Weather Forecasts (ECMWF) is analysed at certain pressure levels in the form of classical weather maps in order to understand the meteorological situation in the Arctic during the period of our measurement flights. ECMWF operational data is further used to drive the Lagrangian analysis tool (LAGRANTO: Wernli and Davies, 1997; Sprenger and Wernli, 2015) and its kinematic back–trajectories are analysed to estimate the regions of origin for polluted air encountered during the research flights. The model's input data has a horizontal grid spacing of 0.5° with 137 hybrid sigma–pressure levels in the vertical from the surface up to 0.01 hPa. Trajectories were initialized every 10 seconds from coordinates along the research flight tracks and calculated 10 days back in time. The time series of trajectories along the track of the aircraft were correlated with in–situ measurement values, in particular with rBC and CO concentrations, in order to relate individual features in the vertical profiles to an ensemble of trajectories by the means of threshold filtering. Trajectories fulfilling these criteria are displayed on maps in Sec. 3.4, that also show spatial data (see Sec. 3.4). Due to the potential influence of wild fires and gas flaring on BC presence in the Arctic region, the spatial distribution of gas flaring sites from the ECLIPSE (Evaluating the Climate and Air Quality Impacts of Short–Lived Pollutants) emission inventory (Stohl et al., 2015; Klimont et al., 2017) and active fires from the MODIS level 2 satellite product (Giglio et al., 2003) in order to mark potential rBC sourceswere also considered for the interpretation of trajectory pathways.

### 3 Results

## 3.1 Meteorological overview

With the focus on the polar dome and the vertical distribution of rBC therein, subsets of the flights in spring 2015 and summer 2014 were selected for this analysis, which. The subset selections are based on the variability of the polar dome's position and southern boarderborder. The structure and extent of the polar dome in both seasons has been evaluated by Bozem et al. (2018), who defined the polar dome based on trace gas gradients measured during the NETCARE campaigns. They found that the polar dome boundary was, on average over the course of the campaigns, located between 61.566°N and 64.568.5°N in April 2015 and further north, between 68.5N and at 73.5°N, in July 2014. The upper boundary of the dome was found in a potential temperature range between 281.5 and 286.5283.5 and 287.5 K in spring and between 297 and 303299 and 303.5 K in



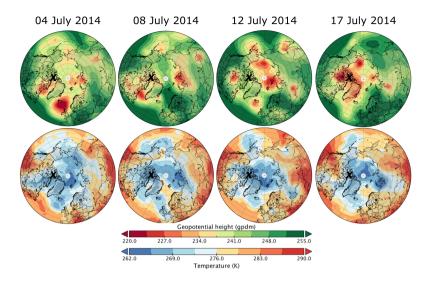
**Figure 2.** Weather charts for the NETCARE spring campaign in April 2015 showing the 750 hPa geopotential height (top) and temperature (bottom) evolution over the duration of the campaign. The stations Alert or Eureka are marked with a triangle black cross for the maps from 7–8 and 11–13, respectively.

summer. An operational estimation of the atmospheric circulation patterns confining the polar dome's horizontal extent in the mid-troposphere can also be made by locating the maximum latitudinal gradients in geopotential height and temperature, as it indicates they indicate the position of the jet stream in the upper troposphere and pressure driven wind systems in the lower tropospheresurrounding and stabilising, which surround and stabilise centres of coldest air (Jiao and Flanner, 2016). Maps of these properties (Fig. 2 and 3 for spring and summer, respectively) are shown in this section in order to give an overview of the measurement locations relative to synoptic features of the atmosphere.

The meteorological situation in April 2015 was dominated by a pool of very cold air centred over the Canadian Arctic Archipelago that surrounded the stations Alert and Eureka on Ellesmere Island. The cyclonic flow surrounding the cold air stabilised this system by blocking perturbations of low–pressure systems (Fig. 2). The polar vortex was in a weak state and not well defined. Cold airmasses in the Russian Arctic were cut off from the dome over the Canadian Arctic Archipelago. Near the beginning of the measurement period, a strong low–pressure system caused an outbreak of cold air over Eastern Europe, while warm mid–latitude air moved poleward further west. This synoptic feature affected Alert strongest on 8 April, and its influence was diminishing during the measurements around Eureka 11 to 13 April (Fig. 2). Conditions during all flights were low wind speeds and clear sky with only few, mostly thin clouds (Libois et al., 2016).

The NETCARE summer campaign 2014 operated in an area of the high Canadian Arctic that was situated within the summer polar dome. The first half of the campaign (4 to 12 July) was characterised by a northern influence (Fig. 3). The atmosphere featured a low boundary layer height capped by a distinctive temperature inversion leading to a very stable stratification of the lower troposphere. Prevailing conditions for the research flights were a clear sky, only few or scattered clouds and low wind speeds (Burkart et al., 2017). These conditions gave the opportunity to characterise the summer polar dome in undisturbed

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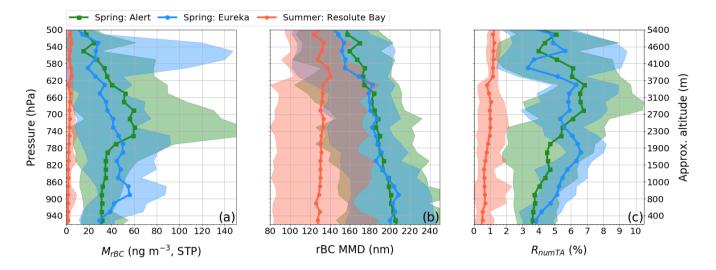
**Figure 3.** Weather charts for the NETCARE summer campaign in July 2014 showing the 750 hPa geopotential height (top) and temperature (bottom) evolution over the duration of the campaign. Resolute Bay is marked with a triangleblack cross.

conditions, when 6 flights with a total of 28 vertical profiles were conducted in the study area around Resolute Bay on Lancaster Sound (see map in Fig. 1). Starting from 13 July 2014, the weather pattern changed and Resolute Bay got into the transition zone between polar and mid–latitudinal air, as a consequence of a low-pressure system coming from the north–western Beaufort Sea and passing south of Lancaster Sound. Bad visibility due to fog, clouds and precipitation impeded flights until 17 July, on which day the study area shifted back into the cold airmass and a westerly air movement (Fig. 3).

### 3.2 Seasonal characteristics of rBC vertical distribution in the polar dome

In this section, the vertical distribution of rBC is examined focusing on changes from spring to summer. For each ascent or descent of the flights listed in Tab. 1, data points within fixed pressure-altitude intervals were averaged. These profiles were then successively averaged to mean flight profiles and mean regional profiles, thus avoiding sampling biases due to varying sampling times in each altitude interval. As shown in Fig. 4, shows that there are substantial differences between the average spring and summer profiles of rBC mass concentration  $(M_{\rm rBC})$ , mass—mean diameter (MMD) and rBC to TA number ratio  $(R_{\rm numTA})$ .

The absolute and relative presence of rBC was generally reduced during summer. Ground-based observations at High–Arctic sites like Alert show a pronounced seasonal cycle in rBC concentrations (e.g. Leaitch et al., 2013; Stone et al., 2014; Sharma et al., 2017), which is well matched by the difference in mean  $M_{\rm rBC}$  of observations in the lower part of the atmosphere (>920 hPa) presented here. During spring, averaged  $M_{\rm rBC}$  of 31.5 and 30.1 ng m<sup>-3</sup> were present in the Alert and Eureka region, respectively, while summertime observations showed one order of magnitude lower mean  $M_{\rm rBC}$  of 1.4 ng m<sup>-3</sup>. Figure 4a shows that this seasonal difference is was present throughout the vertical extent of the polar dome. A one order of magnitude difference



**Figure 4.** Mean regional vertical profiles of (a) rBC mass concentration, (b) mass—mean diameter of rBC particles and (c) ratio of rBC to TA particle number. Shaded areas indicate the minimum 25<sup>th</sup> and maximum 75<sup>th</sup> percentiles of all individual profiles included in the mean profile. The altitude scale may only be used as a guide as it indicates the mean altitude above sea level of each pressure interval for the spring atmosphere.

in  $M_{\rm rBC}$  between the seasons was also found during the ARCTAS spring and summer campaigns in 2008 reported by Matsui et al. (2011). However, their observed  $M_{\rm rBC}$  were a factor of two higher compared to the NETCARE observations. This might be due to the fact that their observations were from a Sub-Arctic region (northern Alaska), where pollution supply and removal not necessarily are in the same balance as within the polar dome. These two observations of pronounced difference in  $M_{\rm rBC}$  between the seasons highlights how wet removal becomes more efficient during summer within the polar dome, but as well already during northward transport outside the domeThe balance between supply and removal (under way or within the Arctic) of rBC at high latitudes appears to have a pronounced seasonality.

During spring, mean profiles from the Alert and Eureka regions showed a similar  $M_{\rm rBC}$  range, however, the  $M_{\rm rBC}$  vertical trends in  $M_{\rm rBC}$  showed certain differences between the two regions. At Eureka, the maxima of the mean profiles occurred between 900 and 800 hPa with averaged  $M_{\rm rBC}$  of 55 ng m<sup>-3</sup> and an interquartile range (IQR) of 11–120 ng m<sup>-3</sup>. Differently At a notably higher pressure—altitude of 730 hPa, the mean profile from the Alert region reached up to 69 ng m<sup>-3</sup> at 730 hPa with an IQR between 4 and 157 ng m<sup>-3</sup> (Fig. 4a). This range of concentrations compares well to the observations by Liu et al. (2015) from northern Scandinavia. These polluted layers, often called Arctic Haze, are however more than a factor 10 lower Furthermore, high variability in  $M_{\rm rBC}$  than observations in pollution plumes close to their sources, e.g. in the mixed source Asian outflow as reported by Kondo et al. (2016), yet are comparable occurred above 4000 m in the Eureka region. The fact that maxima occur at different, i.e. shifted, pressure—altitudes may be due to the fact that profiles were flown at different positions relative to the three–dimensional structure of the dome, thus finding the same isentropic surfaces at different altitudes. Given that both stations were within the same synoptic system (Sec. 3.1), transport patterns affecting both regions are expected

to be similar. These hypothesis are investigated in Sec. 3.3 and 3.4. The overall range of rBC concentrations is comparable with previous spring observations reported for the European Arctic (Liu et al., 2015) and to measurements from the mixed boundary layer over Europe reported by McMeeking et al., (2010) (McMeeking et al., 2010).

The-

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The spring profiles of MMD show a nearly steady decrease with altitude from 206 to 162 nm for Alert and 202 to 140 nm for Eureka (Fig. 4b). In contrast, the relative contribution of rBC particles to the TA by total aerosol particle number,  $R_{\text{numTA}}$ , increased with altitude from around 4% near the ground surface to 6–7% aloft and on average aloft.  $R_{\text{numTA}}$  is particularly variable within altitudes with increased  $M_{\text{rBC}}$  (Fig. 4c). Thus, in In general, the rBC mass is appeared to be distributed among higher numbers of smaller sized rBC particles with increasing altitude. Maxima in  $R_{\text{numTA}}$  were shifted upwards relative to the nearest maximum in  $M_{\text{rBC}}$ , which could indicate a partitioning of rBC particle size within polluted layers. High variability in  $M_{\text{rBC}}$  occurred above 4000 m in the Eureka region, which can to a large degree be attributed to the variable pressure—altitude of isentropic surfaces that are influenced by pollution transport with variable efficiency—as will be discussed in Sec. 3.3.

. In contrast to the spring, the summer summer time MMD show a slight increase with altitude from the surface (129 nm) to about 600 hPa (140 nm; Fig. 4 b). A-As pointed out in ?, the mass absorption cross-section of BC particles depends, amongst other factors, on the particles' diameter. As a consequence, a concentration of rBC mass in small particles could potentially increase the absorption efficiency of the aerosol, but rBC contributed on average only contribute to an enhancement of the absorption coefficient of the total aerosol. However, the summer polar dome showed low values of  $M_{\rm rBC}$  (average of 2 ng m<sup>-3</sup> with IQR 0–12 ng m<sup>-3</sup> throughout the column) and low  $R_{\rm numTA}$  (average of 0.75% by number to the TA (IQR: 0.0–2.1%) and has thus negligible impact on solar light extinction(Fig. 4 c)%), which rendered rBC a minor contributor to the total aerosol light extinction. A more detailed description of seasonal and vertical variability of rBC particle diameters will be provided in Sec 3.5.

### 3.3 Vertical distribution of rBC relative to potential temperature

In order to fully understand the vertical variability of the aerosol distribution, it is important to consider the vertical structure of the polar dome with its core of cold, dense air at the ground and successive dome shaped layers of warmer air above. As shown by Stohl (2006), Stohl (2006) showed that the transport of air parcels along isentropic surfaces supplies pollutants from lower latitudes to certain levels of the polar dome. The altitude of these surfaces depends on the depth of colder air beneath and varies with the spatial extent of the polar dome induced by synoptic conditions and orographic features. Sampling in different positions relative to the structure of the polar dome has induced variability to the profiles averaged over pressurealtitude intervals. Levels affected by different transport patterns might be unveiled by adopting potential temperature as vertical coordinate, which is monotonically increasing in the stable polar atmosphere.

The measurement periods in both seasons each eover covered an evolution cycle of a low–pressure system causing a disturbance of the polar dome's structure (see Sec. 3.1), which have caused or altered transport pathways. Those disturbances have altered existing transport pathways and facilitated new ones, thereby affecting the vertical distribution of rBC. The variability

in This variability in the vertical profiles is evaluated in Sec. 3.3.1 and 3.3.2 for spring and summer, respectively in Sec. 3.3.2 for summer.

# 3.3.1 Vertical distribution of rBC in the spring polar dome

The spring mean flight profiles from Alert and Eureka averaged over intervals of potential temperature are shown in Fig. 5. According Five different levels can be identified according to vertical trends and variability patterns in (a)  $M_{rBC}$ , (b) MMD and (c)  $R_{numTA}$  as well as (d)  $R_{CO}$  (the ratio of enhancement over background levels level of rBC mass relative to CO mixing ratio( $R_{CO}$ , as defined in Sec. 2.2), five levels can be identified. The following analysis describes and links patterns in the individual profiles of these properties. The aim, aiming is to identify possible implications for mechanisms affecting the aerosol, before source regions and transport patterns for these levels are investigated by the means of kinematic back—trajectories a kinematic back—trajectory analysis in Sec. 3.4. The vertical boundaries of these the five levels are confined within strong temperature gradients, which can be found in all profiles, but with slight variation in strength and potential temperature range. However, the vertical location of the gradients varies in relation to pressure—altitude by up to 1000 m between individual profiles (Fig. 2251a).

Figure 5 shows that The profiles in Fig. 5 show a homogeneous distribution of rBC with a mean  $M_{\rm rBC}$  of 32 ng m<sup>-3</sup> (IQR: 13–48 ng m<sup>-3</sup>) and the largest observed MMD of 204 nm (IQR: 153–250 nm) were the characteristics within that was present in a temperature gradient capped surface layer with (level I), which was the coldest air between 245 and encountered with temperatures of 255 K (level I)down to 245 K. The observed  $M_{\rm rBC}$  across the lowest flight sections in the dome match well with matches well with the mean ground–based rBC observations performed in Alert for spring seasons of the years 2011 to 2013 with  $30 \pm 26$  ng m<sup>-3</sup> reported by Sharma et al. (2017). rBC (Sharma et al., 2017). Moreover, level I showed the highest average MMD of 204 nm (IQR: 153–250 nm). Such large mean rBC particle diameters were already observed at the surface in the European Arctic in spring (??) and are distinctly different from freshly emitted rBC (MMD of  $\sim$  100 nm) in urban areas (?).

Although rBC represented a minor component of the total aerosol in the respective size range by number, with an averaged  $R_{\text{numTA}}$  of 3.8%, but together with the large MMD this suggests that the rBC mass is contained in fewer, larger particles. that was low with respect to higher levels, rBC mass was comparably high relative to  $\Delta$ co-emitted CO with a mean  $R_{\text{CO}}$  of 5.7 ng m<sup>-3</sup> ppbv<sup>-1</sup> (IQR: 2.7–10.5 ng m<sup>-3</sup> ppbv<sup>-1</sup>). This ratio is difficult to compare to observations from literature, because, although ranges of values have been attributed to specific combustion source types, all sampled airmasses were also airmasses sampled for these studies were subject to different ageing time scalesleading. This led to significant variations in reported  $R_{\text{CO}}$  (Liu et al., 2015). Besides particle removal altering  $R_{\text{CO}}$ , air pollution from different sources may become mixed in the source region or within the polar dome, thus blending the ratio of rBC to CO.  $R_{\text{CO}}$  around 4 to 9 ng m<sup>-3</sup> ppbv<sup>-1</sup> were observed by Stohl et al. (2013) at Mt. Zeppelin on Svalbard, European Arctic, in an airmass influenced by transport from northern Russian gas flaring sites. A similar range was observed by Liu et al. (2015) profiling between northern Norway and Svalbard in spring during transport influence from Europe and Asia. Values up to around 12 ng m<sup>-3</sup> ppbv<sup>-1</sup> lay

within one standard deviation (Liu et al., 2015). In the middle of this range are observations from Spackman et al. (2008) near Moreover, different combustion processes lead to a wide range of  $R_{\rm CO}$  values: While fossil fuel combustion sources in Texas with  $5.8 \pm 1.0$  induces  $R_{\rm CO}$  values of approximately  $6 \pm 1.0$  ng m<sup>-3</sup> ppbv<sup>-1</sup> and observations by Kondo et al. (2016) showed 5.8 ng m<sup>-3</sup> ppbv<sup>-1</sup> (IQR: 4.8 - 7.2 ng m<sup>-3</sup> ppbv<sup>-1</sup>) in the Asian outflow when flying around 1000 m above sea level. Compared to this, boreal forest fire plumes often show lower ratios ((Spackman et al., 2008; Kondo et al., 2016), Mikhailov et al. (2017) observed  $R_{\rm CO}$  values of 1.2 - 5.0 ng m<sup>-3</sup> ppbv<sup>-1</sup>), while grassland and agricultural fires show larger ratios between and 10 - 20 ng m<sup>-3</sup> ppbv<sup>-1</sup> (Mikhailov et al., 2017) in conjunction with boreal forest and grassland fires, respectively. Due to this large spread of values, it is neither possible to detect measurement environments in which rBC was depleted identify rBC depletion by wet removal, nor to discriminate source types based on absolute values, however the behaviour for remote regions like the Arctic. However, deviations of  $R_{\rm CO}$  relative to mean observations within an atmospheric level can give implications for the mechanism changing rBC from the mean of each atmospheric level might help in identifying the dominant factor controlling the rBC mass concentration and its particle size distribution.

Concentrations and mixing ratios of rBC were increasing throughout a level between increased from the surface to level II, which was in the potential temperature range of about 255 to 265 K(level II). The mean  $M_{\rm rBC}$  was 44 ng m<sup>-3</sup> (IQR: 15–  $79 \text{ ng m}^{-3}$ ) and mean MMD was 198 nm (IQR: 143-251 nm). The Eureka profiles (11 and 13 April) show higher  $M_{\text{rBC}}$  at this level than observed around Alert. The highest overall  $R_{\rm CO}$  occurred within this level with a mean of 7.4 ng m<sup>-3</sup> ppbv<sup>-1</sup> (IQR:  $3.8-12.0 \,\mathrm{ng}\,\mathrm{m}^{-3}\,\mathrm{ppbv}^{-1}$ ). Profiles from the Eureka region, especially those of 13 April, showed above average  $M_{\mathrm{rBC}}$  together with maxima in  $R_{\rm CO}$  (8–9 ng m<sup>-3</sup> ppbv<sup>-1</sup>) and MMD (215 nm), which suggests that either or both, removal along the transport pathway was lower or pollution from a different mix of sources was entrained into the airmass, rBC mass-size distributions (MSD), and therefore also MMD, are known to exhibit systematic differences between biomass burning and fossil fuel combustion (Kondo et al., 2011b; Sahu et al., 2012). While the measured  $R_{CO}$  in this level were comparable to the above mentioned literature values from fossil fuel combustion influenced airmasses, the range of observed MMD is more similar to biomass burning plumes. This suggests a complex mixture of rBC from different sources as well as that less efficient wet removal occurred during transport, since wet removal will decrease the MMD (e.g. Kondo et al., 2016). At the same supersaturation, larger BC particles activate nucleation and grow more efficiently into cloud droplets (Kelvin effect; Seinfeld and Pandis, 2016) , which are eventually removed from the atmosphere via precipitation. The supersaturations an air parcel experiences during transport and the formation processes of rain clouds (including collisional processes and the formation of water and ice particles) are spatially inhomogeneous and depend on whether the air parcel gets uplifted or transported at low levels (Jacobson, 2003; Taylor . Ice-cloud scavenging of rBC particles during transport from mid-latitudes to the Arctic in winter and spring is less efficient than in the liquid phase (Browse et al., 2012). The larger MMD observed on 13 April indicate that there was an absence of nucleation scavenging possibly under clear sky conditions or below cloud level, which made the transport more efficient. (e.g. Browse et al., 2012; Taylor et al., 2014; Kondo et al., 2016).

Highest variability in rBC abundance and its properties was present between 265 to  $\frac{277 \text{ K}}{277 \text{ K}}$  (level III). A drop in mean  $R_{\text{CO}}$  and MMD suggests a different regime in which supply from sources and aerosol removal were in a different balance than in the levels below. At the beginning of the observation period (7 April), low mean  $M_{\text{rBC}}$  of 17 ng m<sup>-3</sup> (IQR: 4–

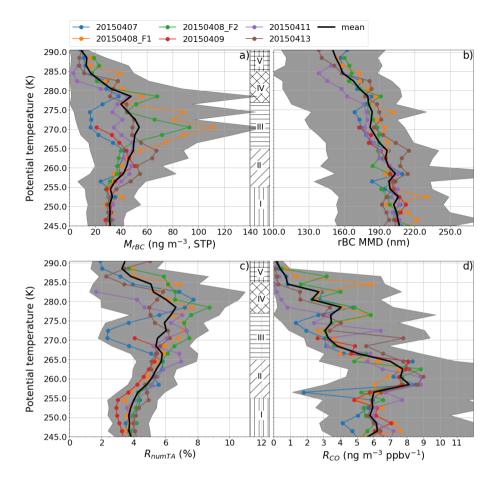


Figure 5. Flight profiles averaged over intervals of potential temperature from the spring polar dome of (a)  $M_{\rm rBC}$ , (b) MMD, (c)  $R_{\rm numTA}$  and (d)  $R_{\rm CO}$ . The grey shading around the mean over all profiles (black line) indicates the minimum 25th and maximum 75th percentile of the flight profiles included in the mean. Five levels with different variability patterns as discussed in the text are marked with hatch patterns.

22 ng m<sup>-3</sup>) were measured, while the two flights on 8 April encountered significantly higher concentrations up to 111 ng m<sup>-3</sup> (IQR: 65–151 ng m<sup>-3</sup>). The overall average concentration of rBC in level III was 49 ng m<sup>-3</sup>. The enhancement of rBC mass concentration, together with a decrease in the mean  $R_{\rm CO}$  and MMD potentially suggests different transport or removal regimes compared to the lower atmospheric levels. The ratios  $R_{\rm numTA}$  and  $R_{\rm CO}$  as well as MMD were significantly below average on 7 Aprilsuggesting that rBC has been depleted by nucleation seavenging, while supply of polluted air set in on 8 April and lasted over the course of the observation period with variable intensity. The Arctic Haze like polluted layers with highest  $M_{\rm rBC}$  were not connected with to high  $R_{\rm CO}$ . Compared to the lower polar dome, only half as much rBC as CO is transported within transmitted with the pollution plumes in level III, with leading to a mean  $R_{\rm CO}$  of 3.9 ng m<sup>-3</sup> ppbv<sup>-1</sup> (1.5–8.0 ng m<sup>-3</sup> ppbv<sup>-1</sup>). The potential temperature range 277 to 285 K (level IV) is was in the transition zone to the airmass above the dome (Bozem et al., 2018). Within a temperature gradient zone marking the upper boundary of the dome, all profiles peak before sharply

decreasing in the airmass above. This transition is also apparent in a gradient of trace gas concentrations (Bozem et al., 2018), and occurs at slightly varying temperature. The maximum  $M_{\rm rBC}$  on 8 April were comparable to that in the level below, but high  $R_{\rm CO}$  around 6 ng m<sup>-3</sup> ppbv<sup>-1</sup> suggest a different, more efficient transport to this level.  $M_{\rm rBC}$  on the higher end of the IQR in level IV (145 ng m<sup>-3</sup>) were encountered by one out of three profile flights on 11 April. The other two profiles included in the mean of that day encountered air depleted in rBC, where low MMD as well as  $R_{\rm CO}$  suggest substantial removal of rBC from the airmass by precipitation. However, rBC reaches reached its maximum contribution to the TA by number with mean  $R_{\rm numTA}$  of 6.2% in level IV.

Bozem et al. (2018) defined the region of potential temperatures higher than about  $\frac{285287}{4}$  K (level V) to be outside the polar dome due to in the transition zone to the troposphere above the polar dome with a strong negative gradient in CO concentrations and stronger connection of transport trajectories to mid–latitudes. At the highest altitudes of the profiling flights, low  $M_{\rm rBC}$  (average of 13 ng m<sup>-3</sup> with IQR: 0–34 ng m<sup>-3</sup>) and a decrease in MMD (average of 155 nm with IQR: 106–191 nm) combined with a low  $R_{\rm CO}$  (0.7 ng m<sup>-3</sup> ppbv<sup>-1</sup>) suggest that polluted air was transported to this level, but scavenged of much of the BC during lifting of the air parcels.

Different transport pathways between the described levels , featuring distinct variability in  $M_{\rm rBC}$  and possibly different sources or removal efficiencies, based on the characteristic vertical changes in  $R_{\rm CO}$ , will be identified in as well as the temporal variability of transport to each layer are investigated in Sec. 3.4.1 -by the means of an air parcel back-trajectory analysis.

# 3.3.2 Vertical distribution of rBC in the summer polar dome

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As for the spring case, the The variability of aerosol properties was investigated also for summer as function of the potential temperature was investigated for summer within the polar dome over the area of Resolute Bay (Fig. 6). As already introduced in Sec. 3.2, the general concentration of rBC particles was almost one order of magnitude lower and the variability in the distributions had a lower absolute magnitude than the spring observations. Two strong temperature gradients (Fig. ???\$1b) structured the atmosphere below 5 km into three levels in which similar vertical tendencies of rBC concentration and mixing ratios were observed.

Close to the surface, within air at potential temperatures between 273–284 K (level I), the 75<sup>th</sup> percentile  $M_{\rm rBC}$  did not exceed 3.3 ng m<sup>-3</sup>. Concentrations in the same order of magnitude (1 ng m<sup>-3</sup>) were already observed over the Arctic ocean (Kurisu et al., 2016). rBC represented a minor component of the TA throughout the vertical column, however, the lowest values were recorded at low altitude, where mean  $R_{\rm numTA}$  was 0.5%. The  $R_{\rm CO}$  well below 1 ng m<sup>-3</sup> ppbv<sup>-1</sup> suggested, combined with the low particle diameter (average MMD of 125 nm), that particles in the summer polar dome were subject to strong wet removal. The MMD values show a larger variance amongst the different profiles due to few particles in the statistics.

A weakly stable to neutral atmospheric level was present above the stable near–surface level and up to a strong temperature gradient aloft (level II), in which.  $M_{\rm rBC}$  was relatively constant within the lower part of level II, but increased within the temperature gradient zone in the its upper part. This zone lay around 288 to 294 K (Fig. ??S1b) in the period before the weather change (4-12 July) and lower, around 284 to 290 K, on 17 July after the perturbation of the polar dome by a low

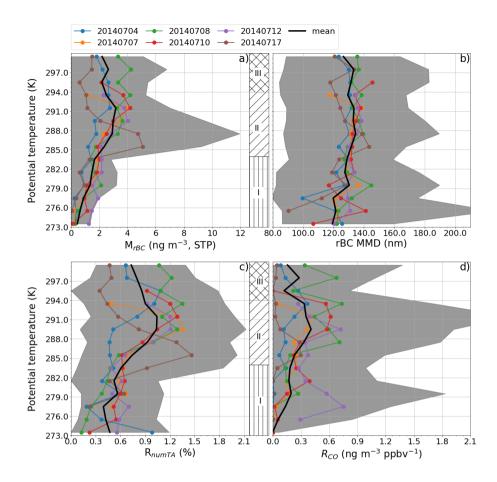


Figure 6. Flight profiles averaged over intervals of potential temperature from the summer polar dome of (a)  $M_{\rm rBC}$ , (b) MMD, (c)  $R_{\rm numTA}$  and (d)  $R_{\rm CO}$ . The grey shading around the mean over all profiles (black line) indicates the minimum 25th and maximum 75th percentile of the flight profiles included in the mean. Three levels with different variability patterns, as discussed in the text, are marked with hatch patterns.

pressure system (see Sec. 3). The altitude of the gradient zone was changing amongst individual profiles flown in different regions and was likely affected by orography and the variable sea–ice cover (see map in Fig. 1). High humidity was frequently observed in the neutral or weakly stable zone below the temperature gradient. Highest values of The highest  $M_{\rm rBC}$  values up to 12 ng m<sup>-3</sup> were encountered around 286 K on 17 July, while in the earlier measurement period, the mean profiles of  $M_{\rm rBC}$  peak at only around 4 ng m<sup>-3</sup>, which is however still a factor 2 increase over the concentrations within the less stable lower part of this level. Also the relative presence of rBC showed a significant difference between the two parts.  $R_{\rm numTA}$  reached a mean of 1.3% (1.7%) within the concentrations peaks in the first (second) period, while the background in the lower part was around 0.6%. Similarly,  $R_{\rm CO}$  was 0.2 ng m<sup>-3</sup> ppbv<sup>-1</sup> in the background and mean profiles reached 0.6 ng m<sup>-3</sup> ppbv<sup>-1</sup> within concentrations concentration peaks in the first period. Although the highest rBC concentrations were encountered on 17 July,  $R_{\rm CO}$  of 0.0 to 0.3 ng m<sup>-3</sup> ppbv<sup>-1</sup> indicate that rBC aerosol was depleted compared to the strongly depleted relative to

co-emitted CO, which featured elevated concentrations throughout the column compared to the first weather period of stable northern influence (Fig. ??S1b).

The highest investigated level (III) of the atmosphere lay above the was characterized by potential temperatures above 294 K, and most probably represented a strong temperature gradient separating the polar dome from free tropospheric conditions. In fact, Bozem et al. (2018), identified the upper boundary of the summer polar dome , defined by Bozem et al. (2018) to be situated around 297 to 303 in the potential temperature range of 299-303.5 K. Three flights reached this potential temperature level at higher altitudes and show relatively large variability of rBC absolute and relative concentrations, which are within the range of background and elevated concentrations found in the lower levels. Generally,  $M_{\rm rBC}$  was higher than at the surface with an IQR of 0.0–6.7 ng m<sup>-3</sup>.

A back-trajectory analysis will be used below in Air parcel back-trajectories were analysed for Sec. 3.4.2 below to identify transport patterns and source areas of the summer polar dome for 1) the near-surface layer, 2) the mixed atmosphere and strong temperature gradient zone with increased  $M_{\rm rBC}$  as well as 3) the zone above the upper-outer polar dome up to its boundary.

# 3.4 Transport patterns and source areas

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Kinematic back-trajectories were calculated in order to discern different contributions of potential source regions to the changing characteristics of aerosol properties observed within the potential temperature levels identified above in Sec. 3.3. For their analysis, gridded overpass frequencies were calculated based on the hourly positions of trajectories initiated every 10 seconds along the flight paths, weighted with the  $0.5^{\circ} \cdot 0.5^{\circ}$  grid area and normalised by the total number of selected back-trajectories for each case. Only trajectories initiated from aircraft positions within the respective levels that encountered above average  $M_{\rm rBC}$  were selected and plotted for the analysis in order to increase visibility of pathways with relevant impact on  $M_{\rm rBC}$ . An exception is level III of the spring polar dome for which the mean of the much lower  $M_{\rm TBC}$  encountered on 7 April (see Fig. 5a) was used as selection threshold. Figures 7 and 8 below in the following subsections show the overpass frequencies displayed as heat map overlays. Hatched are areas where the air moving along the trajectory paths was at high atmospheric pressure and thus likely within the boundary layer, able to pick up pollutants from source regions A hatching highlights grids where trajectories travelled at atmospheric pressures >920 hPa, which is equal to less than about 0.5 km. Climatological boundary layer heights over Europe are typically <1 km during daytime (?), thus pollution uptake from surface sources may be possible in a well-mixed atmosphere in the hatched areas in contrast to trajectories moving in the upper atmosphere or being already lifted well above the boundary layer due to vertical motion in synoptic scale systems. Trajectory end points with the location where the air parcels were 10 days prior to release are marked with by dots colour coded with  $\frac{R_{CO}}{R_{CO}}$  measured at the the rBC concentrations measured in flight at the trajectory initialisation position.

# 3.4.1 rBC source areas for the spring polar dome

The aerosol over Alert and Eureka in the period 7 to 13 April was influenced by air transport from Eastern Europeand Central Asia. Central Asia and Siberia as well as North America (Fig. 7). In those regions lobes of cold polar air reached south due to cyclonic perturbations of the polar front (Fig. 2).

5 Confined by the cyclonic winds around and the calm conditions within the polar dome the polar dome and due to the lower wind speed within it (Sec. 23.1), the backward-trajectories back-trajectories initiated in the lowest level (potential temperature 245 to 255 K) showed a long residence time within the Arctic region at low altitude (Fig. 7 a). Bozem et al. (2018) showed that more than 70% of the trajectories stayed in the polar dome up to 4 days and still 50% did not leave the polar dome 10 days after the initialisation close to Alert. Around 60% of the trajectories initiated around Eureka stayed within the polar dome for 8 days. The The majority of trajectories was not leaving the cold polar airmass at all (compare Fig. 2). Despite that the limited horizontal extent of this very cold airmass the polar dome (Bozem et al., 2018) largely prevented the intrusion of combustion generated aerosol from lower latitudes, leading to relatively low background rBC mass concentrations. R<sub>CO</sub> between 4 to 7-of around 30 ng m<sup>-3</sup> were maintained over the whole measurement period. Higher  $M_{\rm rRC}$  values (>60 ppbv<sup>-1</sup> ng m<sup>-3</sup>) were measured when trajectory paths appeared to be re-circulating within the cold air as well as for paths that had contact with potential sources in the marginal Arctic. This suggests that the dry and stable atmosphere caused long lifetimes of the aerosol, allowing the presence of high numbers of large particles within the aged acrosol ensemble due to inefficient wet removal (Fig. 5 b). Back-trajectories suggest that pollution supply by low-level transport was possible from northern Russia. Correlated with the trajectory overpasses are potential sources such as the Siberia. This region is associated with intense natural resource exploitation activities. Potentially accessible for the trajectories to pick up pollutants were the regions of the nickel mines and smelters in Norilsk (Siberia) and on Kola Peninsula (see annotations in the maps) with their associated marine traffie Fig. 7), which are known to be high-emitting sources of gases and particulates together with their associated marine traffic (Arctic Council, 2009; Stohl et al., 2013; Law et al., 2017; Roiger et al., 2015, and references therein). Also potentially accessible for low level poleward transport was the oil-rich region of Khanty Mansi southwest of Norilsk where gas flaring emits rBC (Evans et al., (2017); Winiger et al., (2017); see BC (Evans et al., 2017; Winiger et al., 2017), as indicated by the ECLIPSE BC emission inventory data in Fig. 7). A low-pressure system facilitated transport from the Great Lakes and the Canadian northeast during parts of the observation period. Pollution might be associated with anthropogenic emissions in populated areas as well as biomass burning (MODIS active fire data, orange dots in Fig. 7). Pollution within this pattern was not entirely transported at low level, but got lifted before reaching the polar dome at low altitudes. On the other hand, air masses originating over North America were associated with low rBC mass concentration.

# The observations performed

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Compared to the surface, the mass concentration of rBC significantly increased in the two levels between about 255 to 265 K (II) and 265 to 277 K (III)were mainly influenced by airmasses originating over Siberia, Eastern Europe. In fact, the highest  $M_{\rm rBC}$  values observed in these layers were associated with low-level transport pathways originating at mid-latitudes over Western Russia and Central Asia as well as the northwest of the USA and Canada (Fig. 7band, c). Cyclonic-Moving

from level II to III, the cyclonic perturbations at the polar front induced these transport patterns (Sec. 2) and extended the area influencing the polar dome airmass, opening low-level transport pathways from wildfires and gas flaring sites (marked in Fig. 7) to the Alert and Eureka regions. The minimal latitude reached by the back-trajectories advanced southward while going to higher potential temperatures from level II to III. However, in order to reach level III above the High-Arctic, air parcels had to be lifted, e. g. in frontal zones or induced by orography. Pathways into the the region from Northern America were lifted within a frontal system but also affected by the Greenland ice sheet, which is reaching up to a mean altitude of about 2 km - an observation in accordance with general theory (e.g. Stohl, 2006; Quinn et al., 2011). While air parcels from the Eurasian sector travelled at low altitude into level II, level III was not accessible by low-level transport (compare hatched areas in Fig. 7). Despite the higher  $M_{\rm rBC}$  observed in level III, there is a strong decrease in  $R_{\rm CO}$  from level II to III (Fig. 5). While a shift in  $R_{CO}$  can indicate that a different type of combustion source influenced the airmass (as discussed in Sec. 3.3), it is not apparent from the back-trajectories that a clear shift from one source type to another happened between the two levels. Trajectories pointing to similar origins reached the polar dome in level III with reduced  $R_{\rm CO}$ , which supports the hypothesis of a regime change towards more efficient wet removal in the upper polar dome (Sec. 3.3), favoured additional entrainment of rBC from over Far Eastern Russia. Although transport from North America took place into the western Arctic, it did not cause any substantial increase of the observed  $M_{\rm rBC}$ . The frontal and orographic lifting, to which air parcels originating from North America are often subjected on the way into the Arctic (e.g. Stohl, 2006; Quinn et al., 2011), was likely accompanied by precipitation events and subsequent aerosol removal (?), thus potentially decreasing the overall impact of North American emissions to Arctic rBC concentration, as observed here.

## The origin of air parcels found in-

20 The transport patterns to level IV between 277 and 285 K showed some differences compared to the lowest atmospheric levels (a high degree of complexity and some patterns visible in Fig. 7d). A number of complex transport patterns occurred at times occurred only occasionally in association with different parts of the measurement flights in the regions of Alert and Eureka. For instance, transport possibly from north eastern America, Scandinavian cities Scandinavian cities, the corridor over Eastern Europe to Central Asia and gas flaring sites in the North Sea contributed to elevated  $M_{\rm rBC}$  and relatively high  $R_{\rm CO}$  only for observations around Alert . In the Eureka region, re-circulation loops of air that was already within the High-Arctic for a longer time caused peaks in M<sub>IBC</sub> that were associated with lower R<sub>CO</sub> values compared to Alert (Fig. 5 a and d). Longer duration of atmospheric processing during the transport might be the cause for decreased  $R_{\rm CO}$ . The 7d and S2a, b). The pathway over Far Eastern Russia that already contributed to elevated  $M_{\rm rBC}$  in level III became a more prominent transport pattern in level IV. It is evident, however, that the origin of the air parcels is not fully traceable with the 10 days back trajectories, but supposedly one of the prominent loops in Fig. 7d originated from yet the air parcels supposedly overpassed wildfires east of Lake Baikal (southern Russia/northern China) with transport facilitated by a low-pressure system. Despite peak  $M_{\rm rBC}$  reaching over 100 ng m<sup>-3</sup>,  $R_{\rm CO}$  on this pathway was comparably low (Fig. 2S2d). The air subsided over the Bering and Laptev Seas before being lifted and transported into the polar dome above 3.5 km. Another loop might be connected to polar dome over Eureka in level IV was not directly affected by the outbreak of polluted air from the corridor over Eastern Europe that also affected the lower layers. The levels, but the air was transported over and across the central polar dome and then subsided over northern Canada , from where (Fig. 7d and S2c). From there it was again redirected northward in a cyclone to reach Eureka after being lifted over central Greenland. Pollution could Air pollution from Alaska and western Canada may have also been entrained during exchange with near surface air over northern Canada, although no obvious combustion sources were present within the respective region. A second branch connected to this transport pattern along the polar front from western Canada and Alaska, where among possible pollution sources were wildfires as well as oil and gas extraction related sites like the Alberta tar sands, Prudhoe Bay on the Alaska North Slope and Anchorage (see ECLIPSE emission inventory data marked as yellow dots in Fig. 7. On this long transport pathway, medium MrBC were associated with low BCO (Fig. 5).

The air sampled at potential temperatures >285 K in Level-level V above the polar dome (Bozem et al., 2018) was characterised by the lowest BC values rBC concentrations of the spring campaign. It and featured clearly different transport patterns compared to the lower atmospheric levels (Fig. 7e). Generally, the trajectories ending at Alert and Eureka experienced reduced or no contact with the surface north of  $60^{\circ}$ N, limiting the entrainment from local Aretic sources. The back-trajectories many sources responsible for rBC enhancement in the lower levels. Although trajectories spread out over North America and reached the polar dome after being lifted over the western part of Greenland's ice shield. A fraction of air parcels were in contact with the surface above the British Islands and the North Sea. All trajectories carried low north-eastern United States and Canada as well as the British Islands and gas flaring sites in the North Sea, only low  $M_{\rm rBC}$  with low were carried into level V. This might be due to uplift of the air parcels, and subsequent wet removal.

Interpreting the back–trajectories and vertical profiles together makes apparent that the regions contributing most to the enhanced presence of combustion generated particles were Russia and Central Asia, while the contribution of North America was mostly negligible or significantly smaller than from the Eurasian side at all levels. This conclusion agrees with the findings presented by Stohl (2006) and ?.

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The MMD, and  $R_{CO}$  into level V, indicating that particles were depleted by removal processes during transportalso showed interesting changes in the different levels. In level I, the highest MMD and  $R_{CO}$  might suggest entrainment of pollution from the marginal Arctic which underwent no or inefficient wet scavenging. In fact, high  $R_{CO}$  values have previously been associated with low precipitation during transport to the Arctic (Matsui et al., 2011). On the other hand, longer atmospheric processing undergone by rBC sampled in the higher levels of the polar dome might favour wet removal of larger rBC particles due to increased hygroscopicity (Moteki et al., 2012), and thus potentially explain the decrease of MMD from the surface to level V.

The vertical profiles in Fig. 5 showed a gradual decrease of  $R_{\rm CO}$  with altitude, excluding a sharp enhancement in level II. Assuming  $R_{\rm CO}$  as a useful indicator of wet removal, we could argue that transport patterns involving the lifting of air might have caused preferential removal of aerosol via wet scavenging. Such an approach was already used in the past, combined with accumulated precipitation along trajectories to investigate the impact of wet scavenging on BC concentration in the Arctic (Matsui et al., 2011). Nevertheless,  $R_{\rm CO}$  might be also affected by emission type. The enhancement of  $R_{\rm CO}$  in level II might be predominantly caused by entrainment of pollution emitted by different sources. In fact, the frontal passage induced low–level transport in the corridor from western Siberia down to Central Asia struck areas affected by both biomass burning and gas flaring emissions. Similar was the variability of  $R_{\rm CO}$  in level IV, with higher values resulting from more direct transport towards

the region over Alert. Longer and more complex transport towards level IV over Eureka resulted in similar  $M_{\rm rBC}$  but lower  $R_{\rm CO}$ . However,  $R_{\rm CO}$  did not show any clear correlation with the occurrence of liquid and ice cloud water along the trajectories (Fig. S3). Due to the complexity of the transport pathways and potentially the entrainment of pollution from different source types,  $R_{\rm CO}$  alone proved to be insufficient in assessing the impact of atmospheric processing on rBC variability in the polar dome in spring. The parallel interpretation of  $R_{\rm CO}$  and accumulated precipitation along the trajectories might be a better tool to investigate the impact of wet removal on rBC presence in the Arctic, as already proposed by (Matsui et al., 2011). However, a complete investigation on the efficiency of BC removal mechanisms is beyond the scope of the present work.

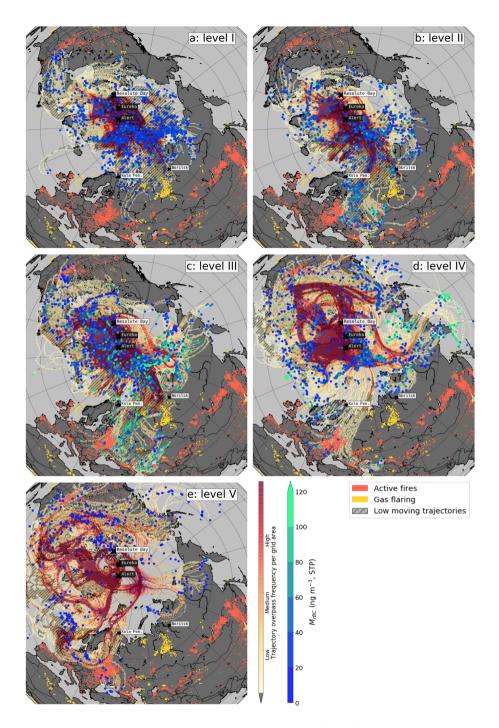


Figure 7. Heat maps of normalised back–trajectory overpass frequencies in each  $0.5^{\circ} \cdot 0.5^{\circ}$  grid cell (yellow: low; red: high) for the flights of the spring campaign initialised from five potential temperature levels of the polar dome. Hatched are areas in which trajectories were at high atmospheric pressure and exchange with near surface air could have been possible  $920 \, \text{hPa}$ . Dots at the end point of every trajectory, 10 days back in time, are colour coded with  $\frac{R_{\text{CO}} M_{\text{BC}}}{M_{\text{CD}}}$  measured in flight. The aircraft operated near the stations Alert and Eureka marked with black labels. The map further shows MODIS active fire detections for the period 10 days prior to the first flight until the day of the last flight (orange dots) and known gas flaring sites (yellow dots) from the ECLIPSE emission inventory.

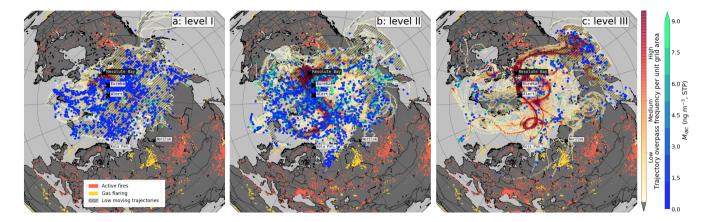


Figure 8. Heat maps of normalised back–trajectory overpass frequencies in each  $0.5^{\circ} \cdot 0.5^{\circ}$  grid cell (yellow: low; red: high) for the flights of the summer campaign initialised from three potential temperature levels of the polar dome. Hatched are areas in which trajectories were at high atmospheric pressure and exchange with near surface air could have been possible>920 hPa. Dots at the end point of every trajectory, 10 days back in time, are colour coded with  $R_{CO}M_{RRC}$  measured in flight. The aircraft operated near the stations Resolute Bay, which is marked with a black labelslabel. The map further shows MODIS active fire detections for the period 10 days prior to the first flight until the day of the last flight (orange dots) and known gas flaring sites (yellow dots) from the ECLIPSE emission inventory.

# 3.4.2 rBC source areas for the summer polar dome

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The aerosol features observed in summer and described in Sec. 6 allowed identifying 3.3.2 allowed the identification of three different atmospheric levels. By means of Potential relationships between rBC mass concentrations, mixing ratios and particle properties in those levels with different transport pathways into the polar dome were investigated with back–trajectories it is here investigated how aerosol properties and transport mechanisms for Arctic summer conditions are possibly related in the previous section. In summer, the polar dome retreats northward and confines air movement to the Arctic Ocean and the northern shores of the bordering continents (Fig 8), with leading to limited entrainment of pollution from lower latitudes . In fact, Bozem et al. (2018) calculated that 80% of trajectories from all levels of the polar dome stayed within the cold Arctic airmass. (Bozem et al., 2018).

Most of the backward-trajectories initiated from level I with strong stable stratification up to potential temperatures of 284in level I (caped by an inversion at 284 Kstayed in the closer area) stayed for at least 10 days in close vicinity of Lancaster Sound (map in Fig. 1) and few spread out over the Arctic Ocean for at least 10 days (Fig. 8a). Pollution within this level was aged and subject to acrosol removal processes, leading to the lowest absolute and relative BC concentrations. As a consequence, the reduced extent of transport did not allow substantial entrainment of pollution from any continent, and the trajectory origins that could suggest a contribution of local sources to  $M_{\rm rBC}$  are scattered. It is important to note that local Arctic sources of BC are not well quantified (?), however, present day's shipping and resource extraction activities already impact the air quality and radiative balance in the Arctic (??). In the context of a warming Arctic and reduced sea ice extent, shipping emission

will induce changes in atmospheric composition at the surface and on local scale in the Canadian Arctic. Nevertheless, the magnitude of such changes is variable and strongly dependent on future economic developments (Corbett et al., 2010; ?; ?).

More Arctic wide air exchange was possible in level II (potential temperature between 284–294 K; Fig. 8b). The measurements on 17 July were affected by direct transport from the Alaskan North Slope oil and gas fields around Prudhoe Bay established by a For example, the low–pressure system traversing eastward south of Resolute Bay after 13 July (Fig. 3) . Wildfires in north-eastern Siberia enabled direct transport from the Alaskan North Slope oil and gas fields around Prudhoe Bay and likely caused enhanced  $M_{\rm tBC}$  observed on 17 July. Additional high  $M_{\rm tBC}$  values were associated with transport from Far Eastern Russia and north-western Canadasupplied combustion generated aerosol to this level, of which higher  $M_{\rm tBC}$  remained only in the more stable part of the level, while strong particle removal during transport is generally evident from low mean rBC particle size and mixing ratios (Sec. 3.3.2)., where the presumed source of rBC could be biomass burning events.

Level III (potential temperatures > 284>294 K and up to 303 K at maximum altitude) was located above the polar dome and within a strong temperature gradient at the outer boundary of the dome (Bozem et al., 2018). It featured several long-range transport pathways that carried air were different from the lower levels. Air was carried from latitudes as far south as 50°N towards Resolute Bay, while the marginal Arctic was less frequently accessed by trajectories (Fig. 8c). A large fraction of the air parcels made contact with near surface air over Alaska, and the Bering Seaand the Far Eastern Federal District of Russia. As a likely consequence of the air being lifted up above the polar dome, rBC was mostly found to be depleted relative to CO, possibly due to precipitation events. Due to the heterogeneity of these events, the variability amongst observations, which are available from three days, is large.

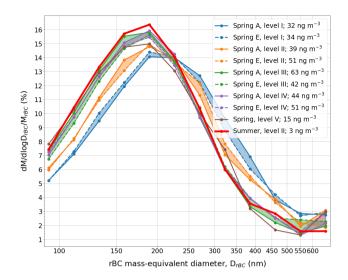
It is established that the minimum of BC mass concentration as observed in ground–based measurements appears in summer in both the European (Eleftheriadis et al., 2009) and Canadian Arctic (?). Our data shows that rBC was still brought to the polar dome over the High Canadian Arctic by transport from various regions within the cold polar airmass north of 65°N. The comparably low  $R_{CO}$  ratios for the majority of maxima in  $M_{rBC}$  were higher than in level I but did not exceed concentrations in level II observed in the upper polar dome (Fig. 6) may suggest that most combustion generated aerosol was scavenged from the airmasses during transport. Other studies showed that efficient wet removal in the summer reduces the presence of aerosol particles in the Aitken and Accumulation mode (?) and so also BC (?). As already discussed in Sec. 3.4.1, changes in  $R_{CO}$  alone are a limited indicator for the impact of wet removal on rBC imported into the Arctic in certain transport pathways. Due to the overall low  $R_{CO}$  in the air of the summertime polar dome, back–trajectories did not show any clear spatial pattern in  $R_{CO}$  of entrained air or a correlation with cloud encounters (Fig. 6)—S4). Nevertheless, wet removal might be the dominant factor leading to the generally low  $R_{CO} < 0.5 \text{ ng m}^{-3} \text{ ppby}^{-1}$  that were observed.

### 3.5 Vertically changing rBC size distribution

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As already discussed in Sec. 3.2, a consistent change in the mean diameter of rBC particles was observed between summer and winter (Fig. 4). However, spring and summer. Moreover, the mean MMD showed a general trend towards smaller particles with increasing altitude in spring. Such variability might be related to several mechanisms, including different atmospheric



**Figure 9.** Averaged rBC mass size–distributions normalised with the total mass concentration under the curve for levels I–V from the spring polar dome observations around Alert (A) and Eureka (E), as well as for level II from the summer polar dome observations around Resolute Bay.

processing or sources, the MMD provides only qualitative information, hence, in on this, though. In order to investigate the variability of rBC particle size in detail, the averaged mass-size distribution distributions of rBC (MSD) was were calculated for the different potential temperature levels presented in Sec. 3.3. Figure Fig. 9 shows MSD normalised by the total  $M_{\rm rBC}$  for levels I-V from the spring observations around Alert (A) and Eureka (E) as well as for level II from the summer measurements around Resolute Bay. Levels I and III from the summer case were excluded due to insufficient particle numbers. Results from Alert and Eureka show only a small variation of the normalised MSD from each level and will thus be described together and simply addressed as spring.

The mean MMD showed a general trend towards smaller particles with increasing altitude in spring and variability amongst individual profiles indicated sensitivity of the MMD to different atmospheric processing of the aerosol during transport (Sec. 3.3.1). MSD from higher levels (III and above) had a narrower mode with slightly smaller peak diameter. Furthermore, the distribution was clearly shifted towards smaller particles with decreased contribution of rBC cores with diameters larger than about 300 nm, which were only high in number within the lower levels.

The back-trajectory analysis showed that levels I and II in spring were accessible by low-level transport from the marginal Arctic All size distributions were lognormally distributed with the main mode located between 160 and also areas in Eurasia and North America influenced by cold spills. One of the potential reasons explaining the change of the rBC diameter is the influence of different source types. For instance, Sahu et al. (2012) reported larger particles with MMD-220 nm. The MSD from the upper polar dome in summer (level II) and spring (levels III-V) showed a surprising resemblance, with their mean mode peaking below 200 nm of  $200 \pm 17D_{\rm rBC}$ . This range is quite comparable with ship-borne measurements performed over

the Arctic Ocean, Bering Sea, and North Pacific Ocean in summer, where MMD ranged between 168–192 nm in biomass burning plumes compared to  $181 \pm 10$  (Kurisu et al., 2016). On the contrary the lowest spring atmosphere (Level I–II) appeared to be enriched with rBC cores larger than approximately  $250 \, \text{nm}$  in fossil fuel combustion plumes (values adjusted to  $D_{\text{rBC}}$ of particle density 1.8 g cm<sup>-3</sup>). The observations presented here showed the largest MMD (204 nm with IOR: 153–250 nm) in level I. This level was more accessible to pollution transport from fossil fuel combustion sources (gas flaring) in. The size distribution is similar to previous observations in summer in the European Arctic at the marginal Arctic, while higher levels were increasingly influenced by wildfires at lower latitudes (Fig. 7). The potential impact of fossil fuel burning in level I is not supported by the relatively large diameters observed at the surface, suggesting that atmospheric processing controls the size distribution of rBC. The MSD of aged acrosol within the dome could be shifted towards larger particle diameters, compared to the fresh plumes sampled by Sahu et al. (2012) over California, due to coagulation and scavenging without deposition by ice crystals or super-cooled drops (i. e. followed by sublimation or evaporation), which may re-distribute larger particles from higher to lower levels. Moreover, the observed shift in the MSD and the depleted fraction of accumulation mode rBC particles from levels I-II to levels III-IV might suggest two regimes of particle removal, with larger accumulation mode particles being preferentially removed by nucleation scavenging (Jacobson, 2003; Taylor et al., 2014) at higher levels. Vertical lifting at the polar front or up Greenland's ice sheet was involved in trajectory pathways leading into levels III and above (Sec. 3.4.1), which increased the likeliness of cloud formation. The hypothesis of favourable wet removal of larger rBC containing particles in the upper atmosphere (levels III-IV) might be confirmed by the comparable MSD observed in summer at level II (Fig. 9). In fact, the presence of low level clouds in late spring and beginning of summer might result in efficient aerosol wet removal (Garrett et al., 2011; Tunved et al., 2013), which account for 90% of black carbon depositionsurface (??) 20 , suggesting a non-dissimilar influence of sources and impact of atmospheric processing on rBC at low altitude between the Canadian and European Arctic. rBC size distribution has been found to be extremely sensitive to both, emission type and atmospheric processing. For example, while rBC particle diameters increase when switching from fresh urban emissions to biomass burning emissions (Sahu et al., 2012; ?), ship emitted rBC can show a bimodal size distribution characterised by a second mode above 600 nm of  $D_{\rm rBC}$  (?). Besides emission type, atmospheric processing plays an important role in the evolution of the rBC size distribution. While? observed an increase of the mean diameter of from local emission to aged and continental scale influence, Moteki et al. (2012) found evidence of preferential removal of large particles by wet deposition. Especially in the Arctic region(Dou and Xiao, 2016). Moreover, larger rBC particles were observed to be preferentially removed by wet scavenging (Kondo et al., 2016).

Averaged rBC mass size—distributions normalised with the total mass concentration under the curve for levels I–V from the spring polar dome observations around Alert (A) and Eureka (E), as well as for level II from the summer polar dome observations around Resolute Bay.

Knowing the BC size distribution is a key step in order to asses light absorption by BC. In fact, among other properties, the size of rBC cores affects the mass-absorption, where import of BC with an airmass and cloud formation driven removal were found to be a synergistic process (Liu et al., 2011), it became clear that the rBC particle size distribution alone is not sufficient to determine the dominant removal process or source type. Even though the present dataset does not allow a complete decoupling

of factors controlling the seasonal and altitudinal change of rBC mean diameter, the latter might influence the rBC optical properties and subsequent radiative forcing. The mass absorption cross-section of BC particles (Bond et al., 2006). Within an aged airmass, the particle cores likely get internally mixed with other acrosol. A common assumption is that the BC mass forms the core of a particle and hydrophilic acrosol mass forms a shell around the particle, which then acts as a lens to focus more light onto the core, thereby increasing the absorption efficiency (Kodros et al., 2018, and references therein). Examining the mixing state of rBC particles for the spring campaign in more detail, Kodros et al. (2018) found that the size of rBC containing particles including a coating was on average 1.6 (IOR: 1.4-2.0) times the core diameter for cores around 140pure rBC varies as a function of rBC diameter (?), and a shift from about 200 nm and decreased to 1.4 (IOR: 1.2-1.6) times the core diameter at 220to 250 nm. This result is contrasting the observations by Moteki et al. (2012), obtained during the A-FORCE aircraft campaign over the Yellow and East China Seas in spring 2009, that the mass of coating materials is linearly increasing with the mass of rBC cores for aerosol that has not undergone lifting. This suggests that rBC particles with larger hydrophilic coating were preferably activated as cloud condensation nuclei and already scavenged from the aged acrosol observed within the polar dome. Coating thickness was not examined for the summer campaign due to a misalignment of the detector in the SP2 (see Kodros et al., 2018, for technical details), but also due to the generally too low number of rBC particles for the evaluation method. rBC contributed to well below 2% by number to the TA in summer, thus absorption by these particles had negligible contribution to acrosol extinction of solar light, other than in spring, when the contribution varied between 2–10% of  $D_{\rm rBC}$ causes a decrease of the mass absorption cross section from 6.7 m<sup>2</sup> g<sup>-1</sup> to 4.9 m<sup>2</sup> g<sup>-1</sup> (?). Although the direct rBC forcing in the Arctic is dominated by the absolute BC mass concentration and mainly affected by rBC mixing state (Kodros et al., 2018), the change of the rBC particle diameter is rarely considered in radiative forcing estimations.

### 4 Summary and conclusions

Two aircraft campaigns within the NETCARE project during spring and summer made it possible to observe the vertically variable allowed observing the vertical distribution of black carbon aerosol over the high-latitude Canadian Arctic.

The seasonality of rBC concentrations found at low altitudes was in good agreement with ground-based observations in

Alert (e.g. Sharma et al., 2017) with a High Canadian Arctic during spring and summer. A seasonal difference was first and foremost noticed in the concentration and properties of rBC from the vertical profile flights. rBC mass concentration at low altitude decreased by one order of magnitude difference in concentrations between from spring (mean of 31.6~ 32 ng m<sup>-3</sup>) and summer (1.2 to summer (~ 1 ng m<sup>-3</sup>). This seasonal difference was also apparent in higher atmospheric levels. The vertical and such seasonal difference also translated to higher altitudes. The concurrent decrease of rBC number fraction also suggested that especially during summer particles originating from combustion processes represent a minority of the aerosol population in the High Canadian Arctic. Besides the absolute and relative concentration of rBC, the different atmospheric conditions between the seasons appeared to also influence the size of rBC particles. The mass mean diameter in spring (mean range of 142-207 nm) was significantly larger than the one observed in summer (mean range of 119-134 nm). One additional difference between the two seasons related to the vertical distribution of rBC and its properties, which was highly variable in spring and more homogeneous in summer.

The vertical variability of rBC in the polar dome was investigated as a function of potential temperature, which highlighted that the vertical distribution of aerosols is bound to the vertical constrained by the temperature structure of the polar dome, the cold and stable airmass over the Arctic. In both seasons, prominent Prominent patterns of variability in rBC mass, particle size as well as presence relative to total aerosol number and CO mixing ratio were identified within different vertical levels. The vertical extent of five five potential temperature levels in spring and three levels in summerwas connected to the atmospheric stratification. Back–trajectories initialised within each level indicate transport along different isentropic surfaces. The trajectories generally showed that with increasing potential temperature level in the polar dome, air pollution from warmer, more southern areas can affect the High–ArctieHigh Canadian Arctic. Low–pressure systems at caused strong southward disruptions of the polar front disturbed the dome and and thus extended the area affecting the levels, which as a consequence increased the number of emission sourcesentrained into the different levels of the polar domecold polar airmass for more emission sources.

The lowest levels in spring (with potential temperatures <265 K) were particularly influenced by low–level transport from sources within the marginal Arctic(e. g. gas flaring, mining activities and ships). Between 255 and 265 K,  $M_{\rm rBC}$  gradually increased (to a mean of 49 ng m<sup>-3</sup>) because due to low–level transport was possible from northern Russia and within a cold air outbreak in the corridor from Eastern Europe to Central Asia. Similarly, the , where potential sources for combustion generated air pollution were industrial activity, gas flaring and biomass burning. The temporal development of this disturbance to the polar dome caused an increase of the outbreak enhanced the initially low  $M_{\rm rBC}$  (<15 ng m<sup>-3</sup>) within the next higher level of the polar dome (between 265 to 276 K).  $M_{\rm rBC}$  peaked at to peaks up to 150 ng m<sup>-3</sup> at altitudes above 2000 m, where rBC and CO from potential gas flaring and biomass burning sources were transported into the dome. Despite the higher rBC concentrations

in this level, the rBC to  $\Delta$ CO ratio decreased to 3–4 ng m<sup>-3</sup> ppbv<sup>-1</sup> from around 7 ng m<sup>-3</sup> ppbv<sup>-1</sup> in the lower levels. In combination with a shift in the mass–size distributions with mean diameter decreasing from 204 nm near the ground to 153 nm at 5 km above sea level, this further suggests different regimes of particle removal between the lower polar dome , which is . While the lower polar dome was low–level transport dominated, and higher levels , where supply by transport likely involves lifting processesand nucleation scavenging. rBC observed above the polar dome around 5–6 km altitude was low in absolute (<10 ng m<sup>-3</sup>) and relative (<2 ng m<sup>-3</sup> ppbv<sup>-1</sup>) concentration due to infrequent supply and lifting induced wet removal. higher levels were supplied with air pollution mainly from lower latitude Eastern Europe and Central Asia as well as Northeastern Asia by transport patterns that likely involved lifting processes.

The polar dome of the summer season had little exchange with latitudes south of 70°N, which manifested in very low M<sub>rBC</sub> (<3 In summer, by contrast, the motion of air masses was mostly confined to the region north of the Arctic Circle, largely preventing entrainment of pollution from lower latitudes during the measurement period. The averaged rBC mass concentration increased from the surface to the upper atmospheric levels by a factor 2-3, but remained below 12 ng m<sup>-3</sup>) in air colder than 284 K. Observations showed  $M_{\rm rBC}$  < 10 ng m<sup>-3</sup> as a maximum in the upper part of the summer polar dome, which was affected by transport supplying. Considering the correlation between absolute and relative concentration of rBC, it appeared that 15 mid-level transport favoured the entrainment of combustion generated aerosol from low latitudes to the High Canadian Arctic. Enhancements in rBC and CO concentrations were associated with instances of northward transport triggered by low–pressure systems, which supplied combustion generated air pollution from wildfires and gas flaring sites located north of 60°N. FBC was low relative to  $\Delta CO$  (<1 ng m<sup>-3</sup> ppbv<sup>-1</sup>), suggesting that pollution transport to the High–Arctic takes place, but combustion generated aerosol is highly affected by wet removal. The shape of the mean mass-size distribution in summer resembled the 20 spring time mass-size distributions from higher levels, which showed depletion of particles >300 nm. rBC contributed up to 2-10% to the total aerosol by number in spring. In summer, the contribution decreased well below 2% and thus absorption by rBC particles had negligible effect on aerosol solar light extinction.

Regarding rBC properties, a remarkable and monotonic decrease of rBC particle size with altitude was observed in spring, while no evident trend was observed in summer. The change in rBC particle size in spring might be associated with both changes in source type, facilitated by the southward extent of the polar dome, or with atmospheric processing such as wet removal, which is enabled during uplift of an airmass and consequent cloud formation. A similar discussion applies to the ratio of rBC over CO, which showed, at least in spring, distinct deviations from an otherwise general decreasing trend.

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The vertical profiles presented here captured the variability in of rBC concentrations and properties imposed by cyclonic disturbances to the polar dome over the course of one week in spring and two weeks in summer, which to our knowledge has not been achieved during an aircraft campaign in the High–Arctic before. Moreover, our work—The back–trajectory analysis suggests that Eurasian emissions represent the most probable source of combustion generated aerosol in the polar dome. The contribution of individual sources and the effects of removal ultimately resulting in the observed high variability in the vertical presence and properties of rBC could not be given a concluding explanation by the here presented analysis. Despite this, the discussion of this dataset along the meteorological context represents an extensive dataset-insight of the vertical distribution of

rBC and its properties in the Arctic, providing new input for the validation of chemical transport models and radiative forcing assessments.

# 5 Data availability

The NETCARE project (Network on Climate and Aerosols: Addressing Key Uncertainties in Remote Canadian Environments,

5 http://www.netcare-project.ca) will make made all data publicly available ton on the Government of Canada Open Data Portal
(in collaboration with Environment and Climate Change Canada. The data can be accessed at https://open.canada.ca/data/en/dataset)
in collaboration with Environment and Climate Change Canada. Until then, the data can be accessed by contacting the principal
investigator of the network, J. Abbatt, atthe University of Toronto (jabbatt@chem.utoronto.ea). ?q=NETCARE. Global MODIS
active fire locations are available at: https://earthdata.nasa.gov/earth-observation-data/near-real-time/firms/active-fire-data. Gas-flaring

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# **6** Stratification of the polar dome

Figure ?? shows profiles of pressure and CO mixing ratio as function of potential temperature. Strong changes in potential temperature within narrow pressure intervals mark strongly stable stratified zones and hence boundaries between different airmasses in the polar dome. CO mixing ratios had a decreasing trend with altitude/potential temperature in spring while mixing ratios increased in summer.  $\Delta$ CO is calculated as the difference of measured CO mixing ratios and the altitude dependent background value estimated as the fifth percentile of all measured CO mixing ratios within a potential temperature interval.

Vertical profiles of pressure (lines in grey shading) and CO mixing ratio (lines in yellow shading) versus potential temperature for spring (a) and summer (b). Hatch patterns indicate the extent of the atmospheric levels defined in Sec. ??. The thick pink line marks the fifth percentile of measured CO mixing ratios which is assumed to represent the background concentrations in each potential temperature interval.

Author contributions. HS wrote the manuscript, with significant conceptual input from MZ, WRL, ABH, and critical feedback from all co-authors. HS, HB, MDW, JB, and WRL operated instruments in the field and analysed resulting data. HB, DK and PMH ran LAGRANTO simulations and HS analysed the resulting data with input from HB. WRL, JPDA and ABH designed the field experiment.

Competing interests. The authors declare that they have no conflict of interest.

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