



15 **Abstract.** Aerosol-cloud interaction remains a major source of uncertainty in climate forcing estimate.
16 Our knowledge about the aerosol-cloud interaction is particularly weak in heavily polluted conditions.
17 In this study, cloud residual (cloud RES) and cloud interstitial (cloud INT) particles were collected
18 during cloud events under different pollution levels from 22 July to 1 August, 2014 at Mt. Tai (1532 m
19 above sea level) located in the North China Plain (NCP). Transmission electron microscopy (TEM) was
20 used to investigate size, composition, and mixing state of individual cloud RES and INT particles. Our
21 results show that S-rich particles were predominant (78%) during clean periods ($\text{PM}_{2.5} < 15 \mu\text{g m}^{-3}$), but
22 a large amount of anthropogenic refractory particles (e.g., soot, fly ash, and metal) and their mixture
23 with S-rich particles (named as S-refractory) were observed during polluted periods. Cloud droplets
24 collected during polluted periods were found to become an extremely complicated mixture by
25 scavenging of abundant refractory particles. We found that 76% of cloud RES were S-refractory
26 particles and that 26% of cloud RES contained two or more types of refractory particles.
27 Soot-containing particles (i.e., S-soot and S-fly ash/metal-soot) were the most abundant (62%) among
28 cloud RES, followed by fly ash/metal-containing particles (i.e., S-fly ash/metal and S-fly ash/metal-soot,
29 37%). The complicated cloud droplets have not been reported in clean continental or marine air before.
30 Our findings provide an insight into the potential impacts on cloud radiative forcing from black carbon,
31 atmospheric biogeochemical cycle and metal catalysis reactions of SO_2 in micro-cloud droplets from
32 soluble metals releasing from fly ash and metals in cloud droplets over polluted air.



33 1. Introduction

34 Clouds play a crucial role in various physical and chemical processes occurring in the lower
35 troposphere and hence affect the Earth's radiation budget (Seinfeld et al., 2016; Tilgner et al., 2014).
36 Aerosol particles, including primary and secondary ones generated from natural and anthropogenic
37 sources, either directly alter radiative forcing or act as cloud condensation nuclei (CCN) to indirectly
38 influence it. At present, aerosol-cloud interactions unquestionably affect radiative forcing and global
39 climate (McFiggans et al., 2006; Seinfeld and Pandis, 2006; Rosenfeld et al., 2014). CCN become cloud
40 droplets through the condensation of water vapor when the relative humidity (RH) of an air parcel
41 increases above saturation (Farmer et al., 2015). Size, chemical composition, and mixing state are main
42 factors affecting the ability of a particle to act as CCN (Dusek et al., 2006; Li et al., 2011a; Fan et al.,
43 2016; Rosenfeld, 2000; Hudson, 2007). In addition, aerosol particles incorporated into cloud droplets can
44 be easily lifted into the free troposphere during cloud development and further extend their influence on
45 cloud precipitation and regional climate (Fan et al., 2016).

46 Owing to the rapid industrialization and urbanization in Asia, large quantities of aerosol particles
47 from anthropogenic sources are released into the atmosphere, which can dramatically affect the
48 chemical composition of clouds (Li et al., 2011b; Drewnick et al., 2007; Ervens, 2015; Twohy and
49 Anderson, 2008). High concentrations of aerosol particles increase the number of cloud droplets and
50 reduce their size, which further results in the reduction of precipitation efficiency and in extending the
51 lifetime of clouds (McFiggans et al., 2006; Qian et al., 2009; Fan et al., 2016; Li et al., 2017a; Rosenfeld,
52 2000). Moreover, anthropogenic aerosol particles - especially fly ash, metal, and soot particles - are
53 incorporated into cloud droplets, and be transported long distances to affect ecosystems, human health,
54 and radiative forcing (Li et al., 2013; Rosenfeld et al., 2014). Especially the toxic and bioaccumulative
55 metals can deposit into the ecosystem following fog or precipitation and further cause severe health
56 problems to human beings (Liu et al., 2012). Moreover, transition metals such as iron (Fe) and
57 manganese (Mn) can enhance the in-cloud oxidation of sulfur dioxide to sulfate (Harris et al., 2013). It
58 is noteworthy that the Fe-bearing particles (e.g., fly ash and metal) emitted from industrial activities can
59 be beneficial to remote ocean ecosystems (Li et al., 2017b).

60 Recently, many studies have been performed worldwide to investigate aerosol-cloud interactions
61 and the composition of cloud droplets. Schroder et al. (2015) investigated the activation of refractory



62 black carbon (BC) particles in stratocumulus clouds at a marine boundary layer site using a counterflow
63 virtual impactor and single particle soot photometer. Ueda et al. (2014) reported the effects of in-cloud
64 processes on the compositional changes of sea salt particles by collecting individual aerosol particles in
65 and below clouds, respectively. Pierce et al. (2015) calculated size distribution changes and radiative
66 forcing effects due to the scavenging of interstitial particles by cloud droplets in a clean, remote region.
67 Roth et al. (2016) analyzed the composition and mixing state of cloud residues and out-of-cloud aerosol
68 particles by single particle aerosol mass spectrometry on a mountain site and found that soot particles
69 internally mixed with sulfate and nitrate were the dominant ones in cloud residues. All of the above
70 studies were carried out in clean atmosphere, they could not observe the clear interactions between
71 abundant anthropogenic particles and cloud droplets. However, the latest satellite observations indicated
72 that large amounts of anthropogenic fine particles assembled in cloud base and might modify cloud
73 properties in heavily polluted air influenced by industrial and urban emissions (Eck et al., 2018). Field
74 observations are requested to confirm it and understand the interactions of aerosol-cloud over polluted
75 areas, especially in North and South Asia.

76 Mt. Tai, the highest mountain in the NCP, is surrounded by several medium-sized industrial cities.
77 The altitude of Mt. Tai is close to the top of the planetary boundary layer (PBL) above the NCP.
78 Therefore, Mt. Tai is an ideal site to study the effects of regional transport and local emissions of
79 anthropogenic aerosols on cloud properties. Many studies have been conducted on Mt. Tai, but virtually
80 all the researchers mainly focus on the variation of chemical composition and size distribution of
81 aerosol particles (Zhang et al., 2014) and chemical compositions of cloud water (Li et al., 2017a; Wang
82 et al., 2011). Because of the limitation of sampling and analyzing techniques, these studies did not
83 consider the aerosol-cloud interactions at the top of Mt. Tai in North China.

84 Transmission electron microscopy (TEM) has become a powerful technique to characterize the
85 morphology, composition, size, and mixing state of individual aerosol particles in recent years (Li et al.,
86 2016a; Ueda et al., 2014). Many studies used single particle aerosol mass spectrometry (SPAMS) to
87 characterize the composition and mixing state of residual particles of individual cloud droplets (Zhang
88 et al., 2017; Lin et al., 2017; Pratt et al., 2010). Compared to the SPAMS, TEM can directly observe the
89 morphology and mixing state of individual cloud droplet residual (cloud RES) and interstitial particles
90 (cloud INT) (Ueda et al., 2014; Twohy and Anderson, 2008; Li et al., 2011a; Kojima et al., 2004).
91 Therefore, TEM technique can not only be used to identify cloud RES and cloud INT collected in a



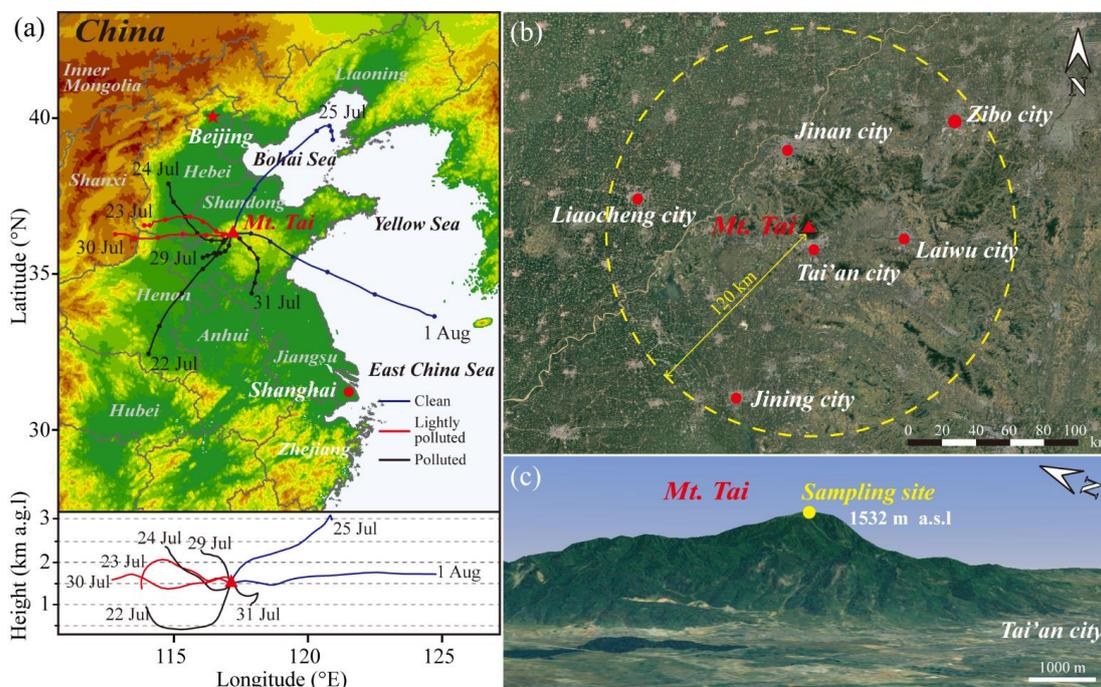
92 same cloud event but also capture interactions between aerosol-clouds based on mixing state of
93 individual particles. In this study, we collected individual particles during cloud events at the summit of
94 Mt. Tai and applied TEM to obtain and compare the size, morphology, composition, and mixing state of
95 cloud RES and cloud INT. This is helpful to understand the influence of anthropogenic sources on cloud
96 properties above the heavily polluted region.

97 **2. Experimental methods**

98 **2.1 Sampling sites**

99 Field observations were carried out at Mt. Tai (36.251°N, 117.101°E; 1532 m above sea level (a.s.l))
100 from 22 July to 1 August 2014. Mt. Tai is the highest mountain in the central NCP and is surrounded by
101 many medium-sized industrial cities (Fig. 1). Many coal-fired power plants, oil refinery plants, steel
102 plants, and cement plants are located in these industrial cities (Jinan, Zibo, Laiwu, Liaocheng, Jining,
103 Tai'an etc.) within a radius of 120 km around Mt. Tai (Fig. 1b). Jinan city is the capital of Shandong
104 Province and is situated 60 km north of Mt. Tai. Tai'an city is located at the southern foot of Mt. Tai.
105 Therefore, the local and regional emissions may have a large contribution to the air quality at the
106 summit of Mt. Tai. Mt. Tai's altitude is close to the top of planetary boundary layer over the NCP, and
107 local cloud events frequently occur at its summit, especially in summer.

108 As shown in Fig. 1c, individual particle samples were collected at a sampling site near the summit
109 of Mt. Tai. The sampling site was usually covered by clouds when cloud events occurred during the
110 sampling periods. The 24-h air mass backward trajectories arriving at Mt. Tai at 1500 m above ground
111 level (a.g.l) (Fig. 1a) were calculated using the Hybrid Single-Particles Lagrangian Integrated Trajectory
112 (HYSPPLIT) model available at the NOAA Air Resources Laboratory's web server (Draxler and Rolph,
113 2003).



114

115 **Figure 1.** (a) Location of Mt. Tai in the North China Plain and the 24-h air mass backward trajectories
116 arriving at Mt. Tai at 1500 m a.g.l during the sampling period. (b) The medium-sized industrial cities
117 distributed within a radius of 120 km around Mt. Tai. (c) The expanded topographic view of Mt. Tai and
118 the sampling site near the summit of Mt. Tai.

119 2.2 Individual particle collections

120 Individual aerosol particles were collected onto carbon films supported by TEM copper grids
121 (carbon type-B, 300-mesh copper, Tianld Co., China) using a single-stage cascade impactor with a 0.5
122 mm diameter jet nozzle at a flow rate of 1.0 L min⁻¹. The aerodynamic diameter of particles collected
123 with a 50% efficiency (cutoff diameter, d_{50}) by this individual sampler is 0.24 μm if particle density is 2
124 g cm⁻³. More detailed information about the setup of a modified sampler can be found in our previous
125 paper (Li et al., 2011a). The sample information in the present study is listed in Table 1.

126 During the sampling period, meteorological data at the summit of Mt. Tai including pressure (P),
127 relative humidity (RH), temperature (T), wind speed (WS), and wind direction (WD) were measured
128 and recorded every 5 min by a pocket weather meter (Kestrel 4500, Nielsen-Kellermann Inc., USA).
129 PM_{2.5} concentrations on Mt. Tai were monitored on-line by a beta attenuation and optical analyzer
130 (model 5030 SHARP monitor, Thermo Scientific, USA).



131

Table 1. Information on individual particle samples collected on Mt. Tai.

Sample ID	Sampling time (local time)	PM _{2.5} (µg m ⁻³)	T (°C)	RH (%)	P (hPa)	WS (m s ⁻¹)
1	22 Jul. 2014 16:04	51.6	22.8	100	849.1	0.9
2	23 Jul. 2014 08:00	24.2	20.4	100	849.4	2.5
3	24 Jul. 2014 07:43	74.3	19.2	100	848.0	0
4	25 Jul. 2014 17:00	11.8	13.9	100	838.0	5.5
5	29 Jul. 2014 16:18	72.9	20.8	95.7	848.0	1.1
6	30 Jul. 2014 19:24	24.2	17.5	100	844.2	0.8
7	31 Jul. 2014 17:30	56.4	18.1	100	849.0	0.9
8	01 Aug. 2014 17:56	14.7	18.8	100	849.1	1.8

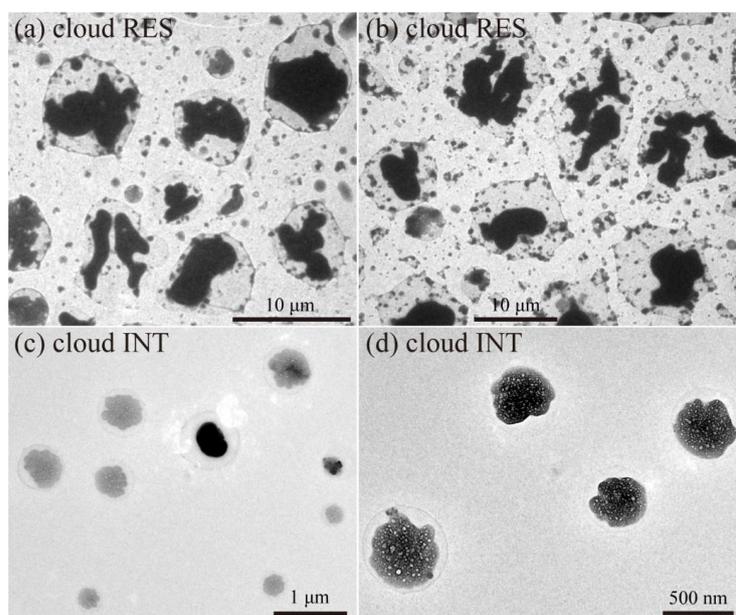
132 2.3 TEM analysis

133 Individual aerosol particles collected on TEM grids were analyzed by a transmission electron
134 microscope (TEM, JEM-2100, JEOL Ltd., Japan) at a 200 kV accelerating voltage. TEM is equipped
135 with an energy-dispersive X-ray spectrometer (EDS, INCA X-Max^N 80T, Oxford Instruments, UK).
136 EDS semi-quantitatively detects the elemental composition of individual particles with atomic number
137 greater than six ($Z > 6$). However, Cu peaks in the EDS spectra were not considered because of the
138 interference from the copper substrate of TEM grids. We acquired morphology, composition, and
139 mixing state of individual particles through the combination of TEM and EDS (TEM/EDS).

140 The distribution of aerosol particles on TEM grids was not uniform, with particle size decreasing
141 from the center to the edge of the TEM grids. The cloud droplets with larger size normally impacted on
142 the center and interstitial particles distributed the peripheral areas of TEM grids (Li et al., 2011a).
143 Moreover, the cloud RES had large rims compared with cloud INT, suggesting that the cloud RES were
144 droplets before being captured (Zhang et al., 2006). According to the distribution and morphology of
145 individual particles on the substrate, we can separate cloud RES and cloud INT particles. Figure 2
146 generally displays typical TEM images of cloud RES and cloud INT particles. In a word, many previous
147 studies using the cascade impactor have successfully captured individual interstitial particles and cloud
148 droplets on the substrate during cloud events (Ueda et al., 2014; Zhang et al., 2006; Kojima et al.,
149 2004; Li et al., 2011a).



150 To obtain the size of cloud RES and cloud INT particles, we measured the area and equivalent
151 circle diameter (ECD) of these analyzed particles by iTEM software (Olympus soft imaging solutions
152 GmbH, Germany). It should be noted that we measured ECD of the core of individual cloud RES
153 excluding the water rim because water rim only contains trace organics (Li et al., 2011a). The ECD can
154 be further converted to equivalent spherical diameter (ESD) according to the AFM analysis (see the
155 Supplement).



156

157 **Figure 2.** Low magnification TEM images of cloud RES (a-b) and cloud INT (c-d) particles collected

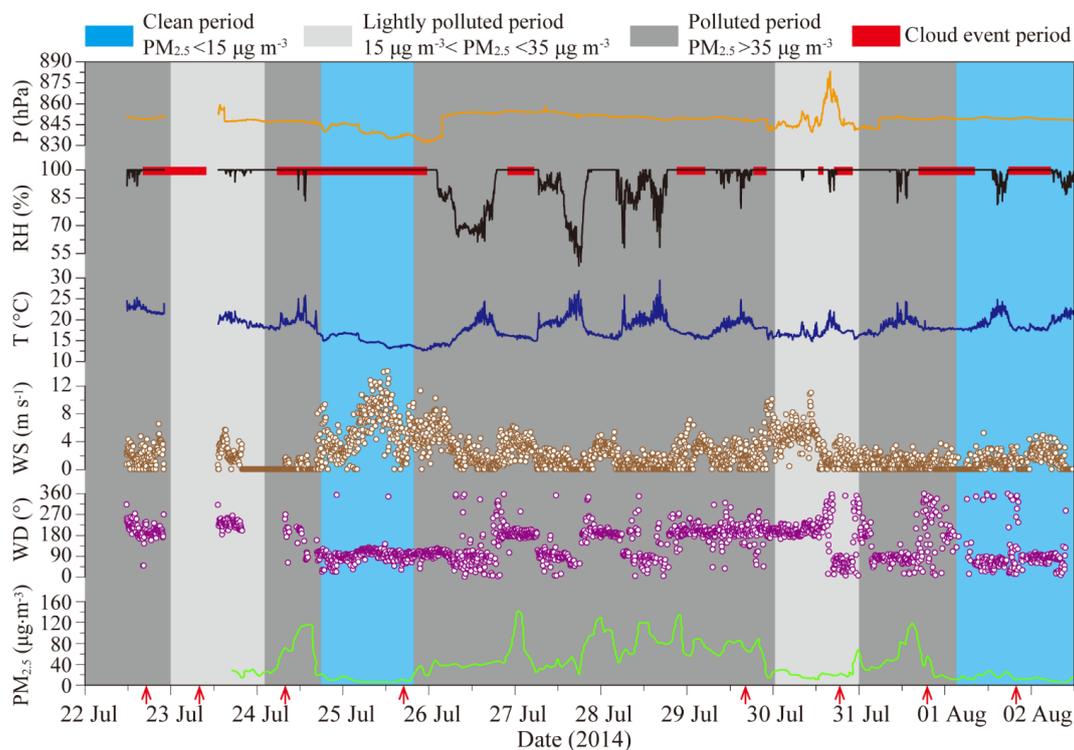
158 on Mt. Tai.



159 3. Results

160 3.1 Meteorological conditions and backward trajectories

161 Temporal variations of pressure (P), relative humidity (RH), temperature (T), wind speed (WS),
162 wind direction (WD), and PM_{2.5} concentration were measured on Mt. Tai from 22 July to 2 August 2014
163 (Fig. 3). During the sampling period, the temperature ranged from 12.6 to 29.4 °C, and the RH varied
164 between 48.2% and 100%. Each day during the sampling period, the RH reached 100% as temperatures
165 decreased from the late afternoon into the evening (Fig. 3). We noticed that PM_{2.5} concentrations on the
166 mountaintop were closely related to wind direction and speed during the regional transport of hazes.
167 Based on backward trajectories of air masses and PM_{2.5} concentrations, the whole sampling period can
168 be divided into three categories: *clean period* (PM_{2.5} < 15 µg m⁻³), the prevailing winds were from the
169 northeast to east and air masses were from higher altitudes above the marine areas which lead to the
170 lowest PM_{2.5} concentration; *lightly polluted period* (15 µg m⁻³ < PM_{2.5} < 35 µg m⁻³), the prevailing
171 winds were from the west, and air masses originating from higher altitudes above continental areas
172 brought regional pollutants to the summit of Mt. Tai; *polluted period* (PM_{2.5} > 35 µg m⁻³), air masses
173 originating from northwest, southwest, or south went through Tai'an city. Back trajectories as shown in
174 Fig. 1a during polluted days suggest that air pollutants from industrialized cities might be lifted along
175 the southern slope up to Mt. Tai's summit.



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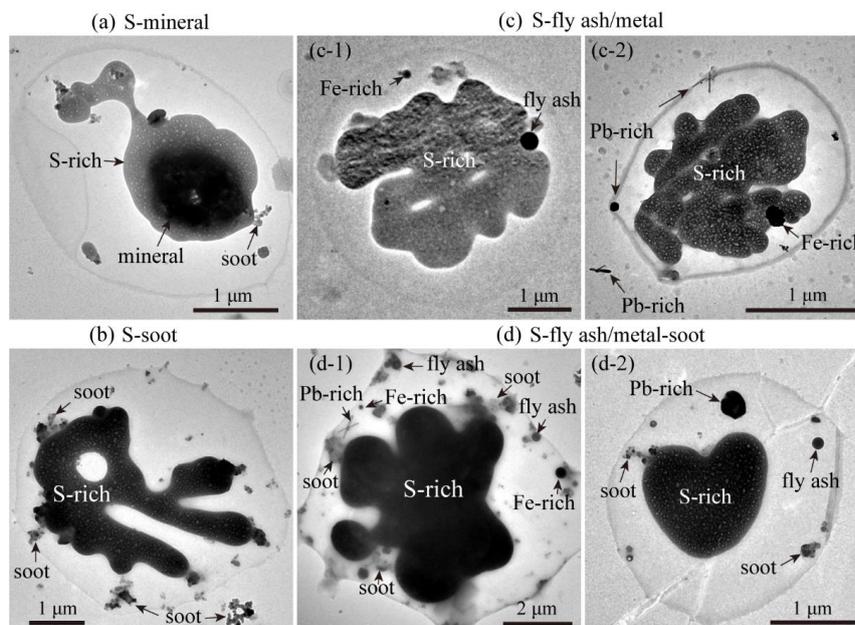
177 **Figure 3.** Temporal variations of pressure (P), relative humidity (RH), temperature (T), wind speed
 178 (WS), and wind direction (WD) measured on Mt. Tai from 22 July to 2 August 2014. The red arrows
 179 indicate collection times of individual particle samples during the cloud events.



180 3.2 Mixing state of anthropogenic refractory particles

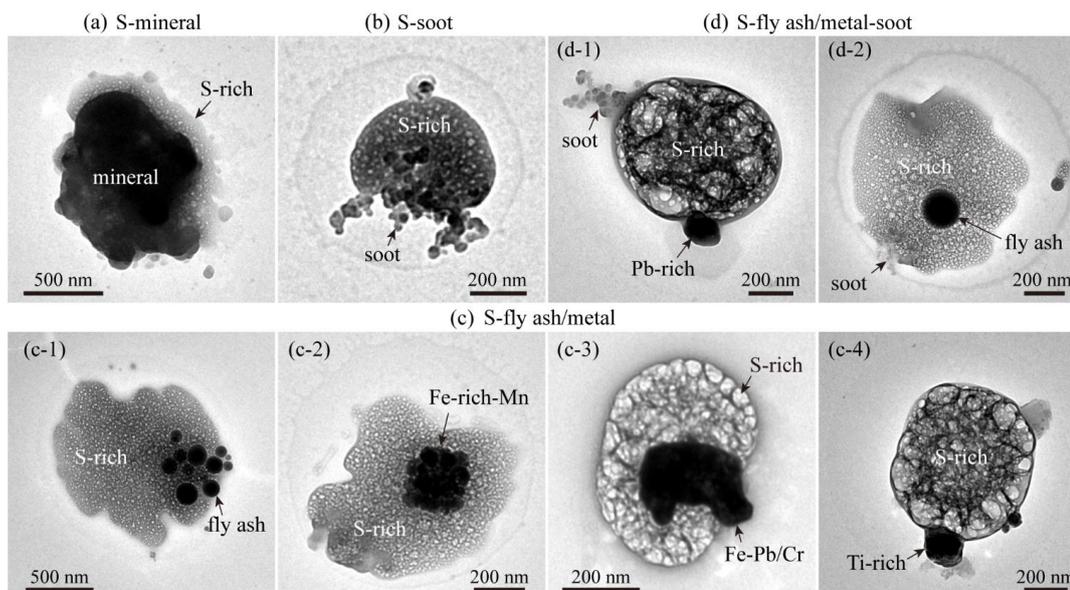
181 Based on their elemental composition and morphology, individual particles were classified into six
182 basic types: S-rich (Fig. S2a), soot (Fig. S2b), organic matter (OM, Fig. S2c), mineral (Fig. S2d), and
183 fly ash/metal (Figs. S2e-h). The classification criteria of different particle types and their sources have
184 been described in our previous study (Li et al., 2016a). S-rich particles representing secondary inorganic
185 particles (e.g., SO_4^{2-} , NO_3^- , and NH_4^+) are transformed from gaseous SO_2 , NO_x , and NH_3 . OM can be
186 divided into primary organic matter (POM) and secondary organic matter (SOM). POM is directly
187 emitted from coal or biomass burning and normally has spherical or irregular shapes (Liu et al., 2017),
188 whereas SOM is produced from the chemical oxidation of volatile organic compounds (VOCs) and
189 exhibits OM-coating on S-rich particles (Li et al., 2016b). Fly ash (e.g., Si, Al, and O) and metal
190 particles (e.g., Fe, Mn, Zn, and Pb) normally are emitted from coal-fired power plants and heavy
191 industrial activities, such as steel mills and smelters. Soot particles (i.e., BC) are generated from
192 incomplete combustion processes of biomass burning and fossil fuels in both industrial activities and
193 vehicular emissions. Mineral particles come from construction activities, resuspended road dust, and
194 natural soil. Among these types of particles, soot, POM, fly ash, mineral, and metal particles were
195 refractory under electron beams and were thus termed as refractory particles (Ebert et al., 2016).

196 Based on the mixing properties of individual particles (Figs. 4 and 5), they can be further classified
197 into four categories: S-mineral (Figs. 4a and 5a), S-soot (Figs. 4b and 5b), S-fly ash/metal (Figs. 4c and
198 5c), S-fly ash/metal-soot (Figs. 4d and 5d). Here, these four types of particles with refractory inclusions
199 are generally defined as “S-refractory” particles.



200

201 **Figure 4.** Typical TEM images of individual internally mixed cloud RES particles: (a) a mixture of
 202 S-rich and mineral; (b) a mixture of S-rich and soot; (c) a mixture of S-rich and fly ash/metal; (d) a
 203 mixture of S-rich, soot, and fly ash/metal.



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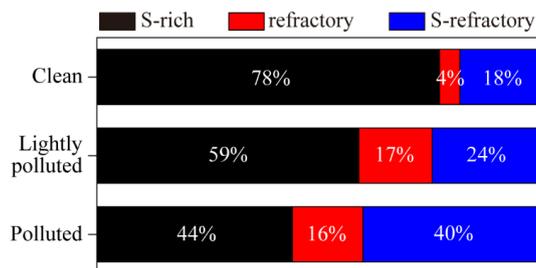
205 **Figure 5.** Typical TEM images of individual internally mixed cloud INT particles: (a) a mixture of
 206 S-rich and mineral; (b) a mixture of S-rich and soot; (c) a mixture of S-rich and fly ash/metal; (d) a
 207 mixture of S-rich, soot and fly ash/metal.



208

209 Figure 6 shows number fractions of S-rich, refractory, and S-refractory particles in clean, lightly
210 polluted, and polluted periods on Mt. Tai. In clean periods, S-rich particles had the highest proportion
211 (78%), followed by a 22% contribution of the refractory and S-refractory particles. This may be
212 attributed to the clean air masses that originated from the clean marine area and arrived at the summit of
213 Mt. Tai through high-altitude transport (above 1500 m) (Fig. 1). Because the air masses did not contact
214 the ground surface, the local anthropogenic pollutants (e.g., soot, fly ash, and metal) could not be lifted
215 to the summit of Mt. Tai. Hence, secondary particles like S-rich were dominant in the clean period. In
216 the lightly polluted and polluted periods, the fraction of S-rich particles decreased to 59% and 44%,
217 respectively; meanwhile, the fractions of refractory and S-refractory increased up to 41% and 56%,
218 respectively (Fig. 6). The backward trajectories suggest that these air masses went through the most
219 heavily polluted areas before they arrived at the mountaintop (Fig. 1). Air masses on two polluted days
220 (e.g., 22 and 31 July) were lifted from ground level to the atmospheric boundary layer. Our study shows
221 that number fractions of refractory and S-refractory particles significantly increased from clean to
222 polluted periods (Fig. 6). This result shows that large amounts of primary refractory particles from
223 ground-level anthropogenic sources were lifted into the upper air and were further internally mixed with
224 S-rich particles.

225

226 **Figure 6.** Number fractions of S-rich, refractory, and S-refractory particles at different pollution levels.

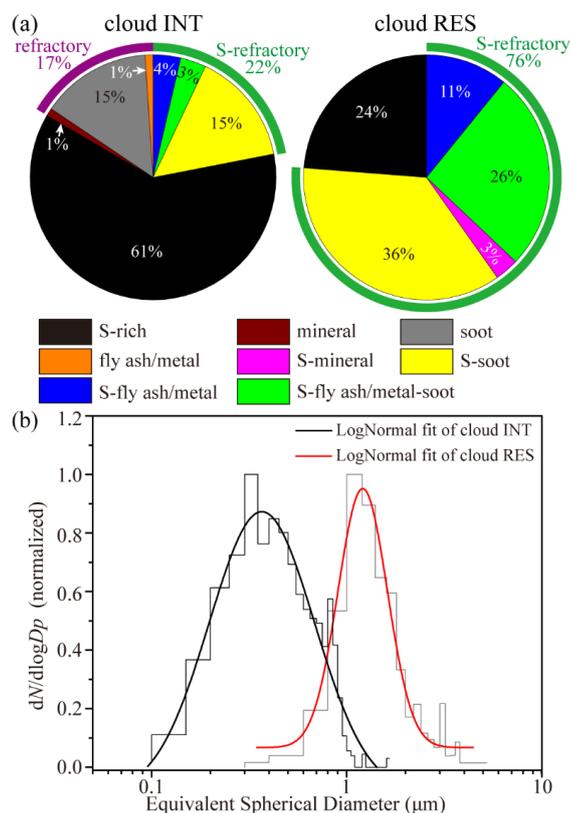


227 3.4 Comparisons of cloud RES and INT particles

228 During the sampling period, a fog monitor was used to measure the size of cloud droplets during
229 cloud events (Li et al., 2017a). This study reveals that in the cloud events all the cloud droplets
230 displayed particle size larger than 2 μm in which size range the interstitial particles were absent. Based
231 on their different sizes, we can know that these cloud droplets and interstitial particles impacted on
232 different positions on the substrate. Although cloud droplets and interstitial particles became dry after
233 the collection, we still can identify them based on the distribution and morphology of individual
234 particles in TEM images (Li et al., 2011a; Ueda et al., 2014; Zhang et al., 2006; Kojima et al., 2004).
235 Cloud RES display larger size and large rim around their CCN (Figs. 2 and 4) which has not been
236 observed in non-cloud events. In contrast, cloud INT impacted on the position away from the center of
237 sampling spot and their morphologies look like individual particles collected in non-cloud events.
238 According to the rule, we can identify cloud RES and cloud INT in the samples collected during the
239 cloud events.

240 Figure 7a shows that 100% of cloud RES and 83% of cloud INT contained S-rich species (i.e.,
241 S-rich and S-refractory). In other words, none of cloud RES were soot, fly ash/metal, and mineral
242 particles but 17% of cloud INT were. Soot particles mainly distributed in the finer size bins (< 600 nm)
243 of cloud INT (Fig. S3a). Interestingly, we found that 76% of cloud RES were a mixture of sulfates and
244 refractory particles, 3.5 times higher than 22% in cloud INT (Fig. 7a). Furthermore, 26% of cloud RES
245 had two or more types of inclusions (i.e., S-fly ash/metal-soot in Figs. 4d and 5d) but only 3% of cloud
246 INT did. Therefore, we can conclude that cloud RES are an extremely complex mixture that forms when
247 cloud droplets act like a collector to scavenge these refractory particles.

248 The size-resolved number fractions of different particle types in cloud RES and cloud INT indicate
249 that S-rich particles were predominant from 60 nm to 1.2 μm in cloud INT (Fig. S3a), and S-refractory
250 particles (indicated by the red box) dominated from 400 nm to 5.5 μm in cloud RES (Fig. S3b). Figure
251 7b shows that the median diameters of cloud RES and cloud INT were 1.19 μm and 422 nm,
252 respectively. Sizes of cloud RES were much larger than that of cloud INT, suggesting that size is an
253 important factor affecting the CCN ability (Dusek et al., 2006).



254

255 **Figure 7.** Number fractions of different particle types in cloud RES and INT particles (a) and size
 256 distributions of cloud RES and cloud INT particles (b). The measured particle sizes exclude the effects
 257 of water rims in TEM images. In total, 292 cloud RES and 1161 cloud INT particles were analyzed.

258 4. Discussion

259 TEM observations in this study reveals that cloud RES contained large amounts of
 260 refractory-containing particles primarily emitted from various anthropogenic sources in the heavily
 261 polluted NCP. As much as 76% of cloud RES were identified as S-refractory particles (Fig. 7a). We
 262 found that soot-containing particles (i.e., S-soot and S-fly ash/metal-soot) were the most abundant (62%)
 263 among the cloud RES, followed by the relatively abundant fly ash/metal-containing particles (i.e., S-fly
 264 ash/metal and S-fly ash/metal-soot, 37%) compared with 18% and 7% in the cloud INT, respectively
 265 (Fig. 7a). Although these refractory particles such as soot with hydrophobic properties could not be
 266 CCN directly, they can be easily accumulated by the existing cloud droplets as inclusions (Zuberi et al.,
 267 2005). In the heavily polluted NCP, large amounts of soot and fly ash/metal particles are released from
 268 anthropogenic sources (e.g., industrial activities and vehicular exhaust). During cloud events, abundant



269 refractory particles can be efficiently entrained into existing liquid cloud droplets by wet scavenging. Li
270 et al. (2011a) reported that the particle number decreased dramatically during cloud formation at Mt. Tai
271 with a scavenging ratio of 0.54, which demonstrated that aerosol particles could efficiently be
272 incorporated into cloud droplets. Physical coagulation of interstitial particles with cloud droplets is an
273 important process in developing clouds, which can lead to the reduction of cloud INT number and a size
274 increase of cloud RES after the cloud dries (Pierce et al., 2015). As we know so far, the extremely
275 complicated mixture of secondary and primary particles observed in the present study has seldom been
276 found in cloud droplets in clean air over developed countries (Ueda et al., 2014; Schneider et al.,
277 2017; Hao et al., 2013; Kojima et al., 2004), remote areas (Hiranuma et al., 2013), and ocean (Twohy and
278 Anderson, 2008; Hopkins et al., 2008; Zhang et al., 2006). For example, Zhang et al. (2006) reported that
279 S-rich particles were predominant in the cloud RES with a small number fraction of sea salt particles
280 over the Sea of Japan and soot or fly ash/metal particles were not observed. We believe that individual
281 cloud droplets are a far more complicated system in polluted air in North China than in the pristine
282 continental and clean ocean air of the world.

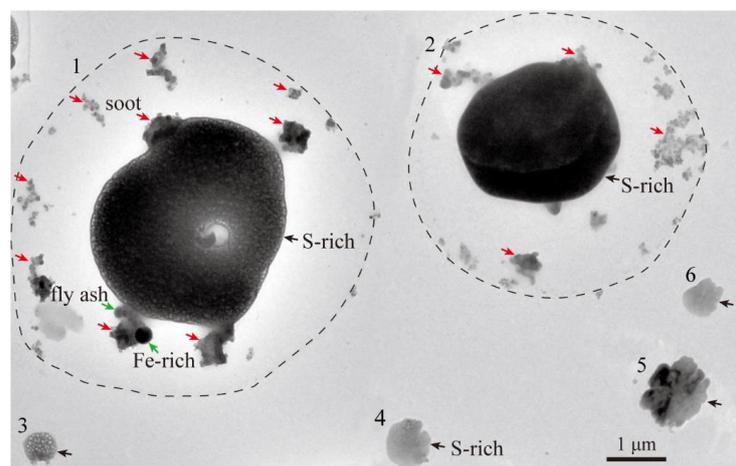
283 The cloud properties such as albedo and lifetimes could be largely modified by the aerosol-cloud
284 interactions, especially in heavily polluted regions (Wang et al., 2010; Wang et al., 2013). The model
285 simulation revealed that BC aerosols had a noticeable impact (up to nearly 20%) on cloud droplet
286 number concentration in polluted BC source regions (Cherian et al., 2017). Especially, abundant BC
287 particles incorporated into cloud droplets could lead to a decrease in cloud albedo by absorbing
288 radiation and an increase of temperature in troposphere, then accelerate the evaporation of the cloud
289 droplets (Zuberi et al., 2005; Ackerman et al., 2000; Adachi et al., 2010; Wang et al., 2013). In the past
290 few decades, precipitation was significantly reduced over east-central China due to the large amounts of
291 anthropogenic aerosols (Zhao et al., 2006; Qian et al., 2009). Because an excess of aerosols in clouds
292 could reduce precipitation, the non-precipitating clouds in the NCP tend to evaporate back to aerosol
293 particles by solar radiation. We believe that abundant BC particles presenting in the cloud droplets in the
294 heavily polluted NCP in this study (e.g., particle 1 and 2 in Fig. 8) significantly affected the cloud
295 properties and regional climate.

296 Fly ash and metal particles are a typical “fingerprint” pointing to the coal-fired power plants and
297 boilers in factories and heavy industries (e.g., steel plant and smelting factory) (Chen et al., 2012; Moffet
298 et al., 2008; Li et al., 2016a). Indeed, the most intense emissions from various industries in the world



299 occur in Hebei and Shandong provinces in the NCP (Qi et al., 2017). It is well known that these
300 industrial activities continuously release anthropogenic pollutants via high stacks into the upper air. Liu
301 et al. (2012) reported that the concentration of Zn reached $249.1 \mu\text{g L}^{-1}$ in the cloud/fog water samples
302 at Mt. Tai, followed by Al ($157.3 \mu\text{g L}^{-1}$), Fe ($105.8 \mu\text{g L}^{-1}$), Pb ($46.2 \mu\text{g L}^{-1}$), and Mn ($42.8 \mu\text{g L}^{-1}$),
303 which were extremely higher than those values reported at Mt. Schmücke in Germany (Fomba et al.,
304 2015). Combining these results with our present study, we infer that fine primary particles emitted from
305 these industrial activities might spread and be lifted to the upper air more easily than at ground level.
306 These metal particles, especially Pb and Zn of nanometer size, can harm ecosystems and human health
307 (Roberts et al., 2004). The fly ash and metal particles incorporated into cloud droplets (e.g., particle 1 in
308 Fig. 8) could go through the atmospheric acid Fe dissolution processes during long-range transport
309 reported by Li et al. (2017b). If they are further transported to remote oceanic regions, soluble Fe
310 species in the aerosol particles can fertilize plankton on the surface of ocean (Li et al., 2017b; Ito and Shi,
311 2016). Therefore, these anthropogenic fly ash/metal particles in polluted air contaminate cloud droplets
312 and further amplify potential impacts of fine metal particles on the biogeochemical cycle in the
313 troposphere.

314 Some studies suggested that the aqueous oxidation of SO_2 to sulfate by H_2O_2 and O_3 in cloud
315 droplets was dominant at Mt. Tai (Shen et al., 2012). However, cloud water collected on Mt. Tai
316 contained high concentrations of soluble Fe, Mn, Zn, and Pb (Liu et al., 2012). These soluble metals in
317 cloud droplets are released from aqueous reactions between metal particles and acidic sulfates in cloud
318 droplets (Li et al., 2017b). Harris et al. (2013) estimated that the oxidation of SO_2 in cloud droplets
319 catalyzed by natural transition metal ions (TMIs) in mineral dust was dominant at Mt. Schmücke in
320 Germany. For this study, how the soluble anthropogenic TMIs drive sulfate formation through TMI
321 catalysis in micro-cloud droplets is still a mystery in polluted air. We propose that anthropogenic TMI
322 catalysis contributing to sulfate production should be further considered in cloud droplets in the polluted
323 NCP.



324

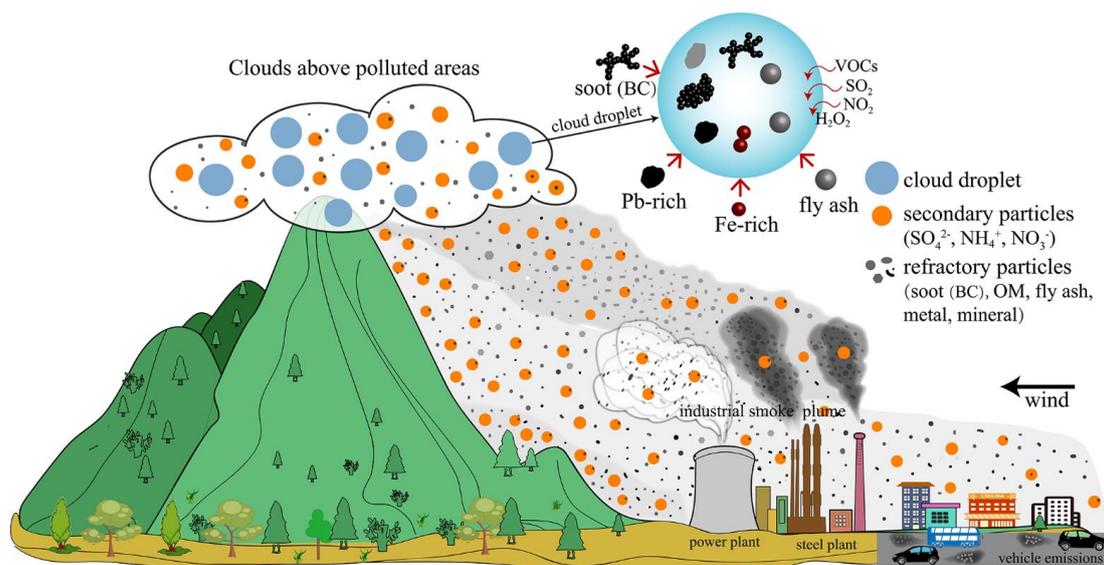
325 **Figure 8.** TEM image of cloud RES and INT particles collected during the cloud event occurred on the
326 polluted day of 31 July. Particle 1 and 2 are cloud RES and particle 3, 4, 5, and 6 are cloud INT. The
327 dashed lines indicate the water rims that were left after the cloud droplets impacting on the substrate
328 become dry.

329

330 The non-precipitating cloud processes over the polluted air of NCP quickly change the mixing states
331 and compositions of aerosol and cloud droplets in the upper air, potentially causing various effects such
332 as human health, regional climate, and biogeochemical cycle at the larger regional scale. To better
333 understand the aerosol-cloud interactions in this study, we offer the conceptual model of Fig. 9. The tall
334 stacks of plants can emit smoke plumes that contain fine refractory particles and gaseous pollutants to
335 the upper air. A portion of particles in urban areas can also be lifted to the mountaintop by prevailing
336 valley winds. Once the clouds form on a mountaintop (or later are transported in other directions), these
337 cloud droplets act as collectors to scavenge the refractory particles. These refractory particles as
338 inclusions might complicate the cloud chemistry in micro-cloud droplets. Gaseous pollutants such as
339 SO₂, NO_x, and VOCs may have enhanced aqueous oxidation potential in the complex cloud droplets.
340 Our study is designed to better understand the aerosol-cloud interactions on the mountaintop in polluted
341 industrial and urban areas. Recently, a study showed that the major aerosol pollution events with very
342 high fine mode AOD (>1.0 in mid-visible) in the China-Korea-Japan region are often observed to be
343 associated with significant cloud cover (Eck et al., 2018). Therefore, we expect that large amounts of
344 fine refractory particles from polluted areas scavenging in clouds have important impacts not only at



345 local but also in large regional scale.



346

347 **Figure 9.** A conceptual model illustrating mechanisms of aerosol-cloud interactions on mountaintop
348 influenced by anthropogenic pollutants from the heavy industrial and urban emissions.

349 5. Conclusions

350 Individual aerosol particles were collected during cloud events on Mt. Tai from 22 July to 1 August,
351 2014. Cloud RES and INT particles were separated by their distribution on TEM grids and their
352 composition was identified by TEM/EDS. Individual particles were classified into S-rich, refractory (i.e.,
353 mineral, soot, fly ash/metal) and S-refractory (i.e., S-mineral, S-soot, S-fly ash/metal, and S-fly
354 ash/metal-soot). According to air mass backward trajectories and $PM_{2.5}$ concentrations on Mt. Tai, the
355 entire sampling period was divided into three classes: a clean period ($PM_{2.5} < 15 \mu g m^{-3}$), a lightly
356 polluted period ($15 \mu g m^{-3} < PM_{2.5} < 35 \mu g m^{-3}$), and a polluted period ($PM_{2.5} > 35 \mu g m^{-3}$). In the
357 clean period, individual particles were dominated by S-rich particles (78%), whereas the fraction of
358 refractory particles and S-refractory particles increased significantly and dominated during the polluted
359 periods. This suggested that anthropogenic pollutants from tall stacks of coal-fired power plants and
360 heavy industries and vehicular exhaust in cities can be lifted to the summit of Mt. Tai under the
361 prevailing southerly winds in summer.

362 TEM observations showed that 76% of cloud RES were S-refractory particles contaminated by
363 anthropogenic refractory particles, compared with only 22% of cloud INT. Cloud RES displayed a



364 larger size than cloud INT, which indicates that particle size decidedly affects CCN ability. Our study
365 reveals that large amounts of anthropogenic refractory particles were incorporated into cloud droplets
366 through in-cloud processes. Especially important is that abundant BC particles in cloud droplets could
367 alter radiative forcing of clouds and accelerate the evaporation of cloud droplets. The high
368 concentrations of transition metal ions might favor the aqueous-phase oxidation of SO₂ by O₂ in cloud
369 droplets under the heavily polluted conditions in the NCP. Fly ash/metal-containing cloud droplets
370 could be transported long distances and harm ecosystems and human health through wet deposition. We
371 propose a conceptual model to show the aerosol-cloud interactions on mountaintops influenced by
372 heavily polluted air.

373

374 **Data availability.** All data presented in this paper are available upon request. Please contact the
375 corresponding author (liweijun_atmos@gmail.com).

376

377 **Competing interests.** The authors declare that they have no conflict of interest.

378

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