# Chen (Referee)

Interactive comment on "Impacts of short-term mitigation measures on  $PM_{2.5}$  and radiative effects: a case study from a regional background site near Beijing, China" by Qiyuan Wang et al.

This study was conducted in a regional background site near Beijing during the 19th National Congress of the Communist Party of China. The authors investigated the effectiveness of short-term mitigation measures on  $PM_{2.5}$  and aerosol direct radiative forcing. They found that  $PM_{2.5}$  mass and its sources are reduced significantly during the control period compared with the non-control period. Those decreases in aerosol concentration in turn, as shown by the climate radiative effect estimates, alleviated aerosol cooling effects. Moreover, the authors further analyzed two pollution episodes after control period based on the WRF-Chem model. This is an interesting study. I believe that this paper makes a useful contribution to the literature and could be published in ACP after a minor revision in response to the following suggestions (see specific comments below).

**Response:** The authors appreciate the reviewer for his or her valuable time to review our manuscript.

Specific Comments:

1. In section 2.2.1: the authors should give the storage condition of the samples.

**Response:** We have added information concerning storage conditions for the samples in the revised manuscript. It now reads: "To minimize the evaporation of volatile materials, the samples were stored in a refrigerator at -4 °C before the chemical analyses."

2. Page 8, Line 11-13: It should be noted that the equation (12) is based on the assumption of no contribution from brown carbon, a light-absorbing organic matter. It should be pointed out this in the article.

**Response:** Following the reviewer's suggestion, we revised the text to read: "A second assumption for this part of the study was that there was negligible absorption by brown carbon in the visible region (Yang et al., 2009), and on this basis, the  $b_{abs}$  can be determined from the EC mass concentration using linear regression (Eq. 12)."

3. Section 3.1: This study analyzed data from a single site near Beijing, even though it included detail chemical and optical measurements. The emission control for NCCPC control period included a wide range of measures and could impact the air quality for a lager domain. Therefore, it would be more convincing if the authors could also include measurements for surrounding areas from other platforms, such as the AERONET AODs and satellite aerosol retrievals.

**Response:** Although the AERONET AODs are helpful for providing a spatial distribution of aerosols in Beijing-Tianjin-Hebei (BTH) region, the observation periods were limited, typically at ~10:30 and 13:30 local time. Another complicating factor is

that relative humidity can have an important influence on AODs. After careful consideration, we concluded that it is more useful to focus on PM<sub>2.5</sub> concentrations at different locations in BTH region to illustrate the effectiveness of the control measures. As shown in Figure S3 (revised supporting information, also see Figure R1 below), the PM<sub>2.5</sub> concentrations over much of the BTH region showed a decreasing trend during the NCCPC-control period compared with the non-control period. In the revised manuscript, we added the following text: "Meanwhile, the PM2.5 mass concentrations obtained from the China Environmental Monitoring Center also showed a decreasing trend over most of the BTH region during the NCCPC-control period (see Figure S3)."



**Figure R1.** Spatial distribution of PM<sub>2.5</sub> mass concentration in Beijing-Tianjin-Hebei region during the (a) 19th National Congress of the Communist Party of China (NCCPC) control period and (b) non-control period.

4. About the light scattering construction of the particles (Sec 3.4, "Impacts of  $PM_{2.5}$  emission reduction on aerosol radiative effects"), the reconstructed  $b_{scat}$  shows some deviation from the estimated  $b_{scat}$  values. What is the reason for the difference?

**Response:** Although the IMPROVE-based method provides reasonable estimates of the chemical  $b_{scat}$  in this study, the lack of locally-derived mass scattering efficiency information is a probable reason for the ~10% underestimates of measured values. In the revised manuscript, we revised the text, which now reads: "This result indicates that the IMPROVE-based method provided a good estimation of the chemical  $b_{scat}$ ; nonetheless, it is likely that more locally-measured mass scattering efficiencies for each chemical species could reduce the underestimates of measured values."

5. The paper must be polished and edited for English grammar and word usage before it can be published in ACP.

Response: Our revised manuscript has been polished by a native English speaker.

Please see our new manuscript.

# Anonymous Referee #2

Interactive comment on "Impacts of short-term mitigation measures on  $PM_{2.5}$  and radiative effects: a case study from a regional background site near Beijing, China" by Qiyuan Wang et al.

General comments

This manuscript attempts to examine the impacts of emission reduction on  $PM_{2.5}$  and radiative effects (surface DRF as the authors defined) using field measurements and WRF-Chem simulations at a regional background station in the Beijing-Tianjin- Hebei region in China. The impacts are examined by comparing the changes in observationderived speciated  $PM_{2.5}$  concentrations and DRF during and after an emissioncontrolled period. The paper is reasonably written and results are reasonably presented, and it can be accept for publishing with revisions that address the following issues.

**Response:** The authors appreciate the reviewer's thoughtful and valuable suggestions, and we believe that the revised manuscript has been significantly improved after considering his or her comments. Below are point-to-point responses.

A major weakness in the study is that, as the main objective is to investigate the impacts of emission reduction measure on  $PM_{2.5}$  and DRF (emphasized in the title and abstract), this paper has a major flaw in separating the effects of emission reduction and meteorological conditions. Although the authors make an effort to make comparisons between the during- and-post-control periods under stable meteorological conditions, the determination of the "stable" conditions is quite rough, and it is not clear how similar the meteorological conditions are for the days selected for the comparison (even under stable conditions, the degree of the stability would significantly affect air quality). To separate these two factors, I would suggest the authors to do a more thorough analysis of the meteorological conditions, or ideally, based on the information they have and/or can obtain, construct an emission reduction scenario for the NCCPC control period and conduct additional WRF-Chem simulations and analysis.

**Response:** The reviewer correctly points out that variations in the mass concentrations of PM<sub>2.5</sub> and its chemical composition can be caused by a variety of factors, including meteorological conditions as well as emission sources. We agree with the reviewer that it would be desirable to construct an emission reduction scenario for the NCCPC-control period and then perform additional WRF-Chem simulations and analyses. Unfortunately, it was not possible for us to obtain detailed information concerning the reduction measures taken by the government, and therefore we could not develop an accurate emission inventory for the NCCPC-control period.

As an alternative, we compared days during the control and non-control periods with stable atmospheric conditions because that was a way to evaluate particle accumulation when the effects of transport would be minimal. Furthermore, because the duration of the control period was not long, it was not possible to precisely match meteorological conditions to investigate reduction in  $PM_{2.5}$  during NCCPC-control and non-control period. Although "stable conditions" were empirically defined for our study, the general idea of minimizing meteorological influences was helpful for evaluating the

effectiveness of the emission control measures. We focused on wind speed and mixed layer height because they are important factors in determining the horizontal and vertical dispersion of particles.

As shown in Figure 3 (also see Figure R1 below) in the revised manuscript, the relationships between  $PM_{2.5}$  concentrations and wind speed and mixed layer height can be fitted with power functions. Our strategy was to use the inflection points of the power functions as a way to identify stable atmospheric conditions. The average wind speeds and mixed layer heights were lower under stable atmospheric conditions during the NCCPC-control period than the non-control period, indicating that particles may have been more prone to accumulate during the NCCPC-control period. This means that if there had been no effective control measures during the NCCPC-period, the mass concentrations of  $PM_{2.5}$  likely would have been higher compared with the days under stable atmospheric condition during the non-control period, but this was not the case. Thus, we think that the "stable atmospheric condition" approach is still useful for evaluating the effectiveness of the control measures.

Moreover, we now include surface weather charts in revised Figure S5 (also see Figure R2 below) to compare and contrast the weather conditions during the days with stable atmospheric conditions during the control and non-control periods. Finally, following the reviewer's suggestion, we include a more in-depth analysis of the meteorological conditions in the revised manuscript. The text now reads: "There were two days for the NCCPC-control period and three days for the non-control period that satisfied the stability criteria. The surface charts (Figure S5) show that the weather conditions for those selected stable atmosphere days during the NCCPC-control and non-control periods were mainly controlled by uniform pressure fields and weak low-pressure systems, respectively, and those conditions led to weak or calm surface winds. Due to the lower WS (0.2 versus 0.3 m s<sup>-1</sup>) and MLH (213 versus 244 m) during the NCCPCcontrol period relative to the non-control period, the horizontal and vertical dispersion for the stable atmospheric days were slightly weaker during the NCCPC-control period. As shown in Table 1, the percent differences for PM<sub>2.5</sub> (43.4%), NO3- (25.9%), OM (68.1%), EC (40.0%), and fine soil (58.7%) were larger for the days with stable atmospheric conditions compared with those for all days. These results are a further indication that the control measures were effective in reducing pollution, but meteorology also influenced the aerosol pollution."



**Figure R1.** Scatter plots showing the relationships between PM<sub>2.5</sub> mass concentrations and (a) wind speed and (b) mixed layer height.



Figure R2. Surface weather charts for 08:00 (local time) over East Asia during the five

days with stable atmospheric conditions. The black triangles represent Xianghe.

Another issue is about the source apportionment in Section 3.2 using PMF. The authors assign the third source factor to secondary inorganic aerosols (SIA). This is not appropriate, since SIA is not an emission source, and it may have contributions from other sources they identify, such as coal combustion, mobile, industry, and biomass burning, i.e., SIA is not independent to other four identified anthropogenic emissions sources.

**Response:** In the broadest terms, PM<sub>2.5</sub> originates from primary sources (e.g., coal combustion, traffic emissions, industry, and biomass burning) and secondary processes, that is, the formation of particles through homogeneous reactions in the atmosphere. As the reviewer correctly noted, secondary inorganic aerosol forms from precursors emitted by primary sources. Receptor models (e.g., PMF) generally cannot resolve the sources for secondary particles, and therefore, we now classify this factor as "secondary particle formation" in the revised manuscript.

## Specific comments

1. Page 8, line 13. It is better to show the regression results, and specify the values of a and b used.

**Response:** Following the reviewer's suggestion, we added the following in the revised manuscript: "As shown in Figure S2, the derived slope (a) and intercept (b) for the regression model were  $10.8 \text{ m}^2 \text{ g}^{-1}$  and -4.7, respectively."

2. Page 9, lines 52-54. Small changes in sulfates may also be attributed to small changes in  $SO_2$  emissions during the campaign.

**Response:** Yes, in addition to the low SO<sub>2</sub> concentrations throughout the campaign, the change in SO<sub>2</sub> concentration during the NCCPC-control ( $8.5 \ \mu g \ m^{-3}$ ) versus non-control period (12.4  $\mu g \ m^{-3}$ ) was small. Following the reviewer's suggestion, we revised the original explanation to "However, SO<sub>4</sub><sup>2-</sup> exhibited similar loadings during the NCCPC-control ( $5.8 \ \mu g \ m^{-3}$ ) and non-control ( $5.3 \ \mu g \ m^{-3}$ ) periods. This is consistent with the small differences in SO<sub>2</sub> concentrations for the NCCPC-control ( $8.5 \ \mu g \ m^{-3}$ , Figure S4) versus the non-control ( $12.4 \ \mu g \ m^{-3}$ , Figure S4) periods. Indeed, the low SO<sub>2</sub> concentrations may not have provided sufficient gaseous precursors to form substantial amounts of sulfate."

3. Figures 4 and 5. Copy the source legend from Fig 5 to Fig 4.

Response: Change made. Please see our revised Figure 4 in the revised manuscript.

4. Page 10, lines 64-72. First, as pointed earlier, the approach to determine the "stable conditions" is rough. Second, the samples (3 days and 2 days) for the stable conditions are too small, which would make the comparison statistically no meaningful. A better analysis is needed to separate the impacts of emission reduction and meteorological conditions.

**Response:** As noted above, it has not been possible for us to obtain the emission inventory for the NCCPC control period. Therefore, our analysis of relatively stable atmospheric conditions was the best approach we had for evaluating the effectiveness of control measures. As the control measures were only in place for a short amount of time, this comparison is limited but it does support the argument that control measures were effective. We note in the revised manuscript that results of other studies also have shown short-term emission controls reduced pollutant levels, so our results were not unexpected. Following the reviewer's suggestion, we added more analysis of the meteorological conditions in the revised manuscript. Please see our response above.

5. Page 15, lines 42-43. It is surprising that with an averaged surface concentration of 6.0ug/m3, EC imposes the largest cooling effects in surface DRF during the noncontrol period and several factors higher than that of OM, while the light extinction by OM is much higher than by EC. An explanation would be helpful.

**Response:** The concentration of EC was 6.0  $\mu$ g/m<sup>3</sup>, and the light absorption caused by EC accounted for 14.3% of light extinction coefficient. The large contribution of EC absorption may be attributed enhancements caused by internal mixing with other materials because that process has been shown to amplify the light absorption of EC. In the revised manuscript, we added the following explanation: "The high EC DRF may have been due in part to EC particles internally mixed with other materials because mixing can amplify light absorption and thereby increase DRF."

6. Fig 8 seems too small and a little bit complicated, which make it difficult to the reader to understand the effects of meteorological conditions on air quality in the BTH area. In addition, the location of the Xianghe site should be specified in the figure. Similar figure for October 12-23 might also be needed when you do analysis in decomposing the influences of the emission reduction and meteorology (especially for the five "stable" days).

**Response:** Following the reviewer's suggestion, we modified the Figure 8 (also see Figure R3 below) in the revised manuscript. Moreover, the surface weather charts for the five "stable" days were added in the revised supporting information. Please see the response above.



**Figure R3.** Surface weather patterns at 08:00 (local time) over East Asia from 22 October to 2 November 2017. The black triangle represents Xianghe.

# Technical

The language need to be polished. The authors need go through the manuscript carefully and make edits. Following are just a few pickups.

**Response:** The revised manuscript was polished by a native English speaker. Please see our new manuscript.

Page 2 line 35, page 15 line 46, page 17 line 82: change "would" to "should"? Page 2 line 44, change "experienced" to "experiencing"?

Response: Change made.

Page 3 line 78, change "low-voltage" to "low-pressure".

Response: Change made.

Page 14 line 88, "genesis"?

**Response:** In the revised manuscript, we revised our original expression to "The calculated mean bias and RMSE for  $PM_{2.5}$  were -6.8 and 32.8 µg m<sup>-3</sup>, and the index of agreement was 0.75, indicating that the formation of  $PM_{2.5}$  during the two pollution episodes was reasonably well captured by the WRF-Chem model even though the predicted average  $PM_{2.5}$  mass concentration of was lower than the observed value."

# Impacts of short-term mitigation measures on PM<sub>2.5</sub> and radiative effects: case study at a regional background site near Beijing, China

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19 Abstract. Measurements at a background site near Beijing showed that pollution controls implemented

- 20 during the 19th National Congress of the Communist Party of China (NCCPC) were effective in reducing
- 21 PM<sub>2.5</sub> Mass concentrations of PM<sub>2.5</sub> and its major chemical components were 20.6, 43.1% lower during
- 22 the <u>NCCPC</u>-control period compared with <u>a non-control period</u>, and differences were greater on days
- 24 biomass burning, industry processes, and mineral dust was 38.5-77.8% lower during the NCCPC-control
- 25 versus non-control period, but differences in PM2.5 from coal burning were small, and secondary particle
- 26 formation was higher during the control period. During one pollution episode in the non-control period,
- 27 secondary inorganic aerosol dominated, and the WRF-Chem model showed that the Beijing-Tianjin-
- 28 Hebei (BTH) region <u>contributed</u> 73.6% of PM<sub>2.5</sub> mass, <u>A second pollution episode was linked to biomass</u>
- 29 burning, and BTH contributed 46.9% of PM2.5 mass. Calculations based on JMPROVE algorithms
- 30 show<u>ed</u> that organic matter <u>was</u> the largest contributor to light extinction during the non-control period
- 31 whereas NH<sub>4</sub>NO<sub>3</sub> was the main contributor during the NCCPC, The Tropospheric Ultraviolet and Visible
- 32 radiation model <u>showed</u> that the average <u>direct radiative forcing (DRF)</u> values at the Earth's surface <u>were</u>
- -14.0 and -19.3 W m<sup>-2</sup> during the <u>NCCPC</u>-control and non-control periods, respectively, and the DRF for
- 34 <u>the individual PM<sub>2.5</sub> components were 22.7-46.7% lower</u> during the NCCPC, <u>The</u> information and dataset

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<u>from this study will be useful for developing air pollution control strategies in the BTH region and for</u>
 understanding associated aerosol radiative effects.

#### 148 1 Introduction

High loadings of fine particulate matter (PM<sub>2.5</sub>, particulate matter with an aerodynamic diameter  $\leq 2.5$ 149 150 μm) <u>cause</u> air quality to deteriorate (Pui et al., 2014; Tao et al., 2017), reduce atmospheric visibility (Watson, et al., 2002; Cao et al., 2012), and adversely affect human health (Feng et al., 2016; Xie et al., 151 152 2016). Moreover, PM2.5 can directly and indirectly affect climate and ecosystems (Lecoeur et al., 2014; 153 Tie et al., 2016). With the rapid increases in economic growth, industrialization, and urbanization in the 154 past two decades, Beijing has experienced serious PM2.5 pollution, especially in winter (e.g., Zhang et al., 155 2013; Elser et al., 2016; Wang et al., 2016a; Zhong et al., 2018). Since the Chinese government promulgated the National Ambient Air Quality Standards for PM2.5 in 2012 (NAAQS, GB3095-2012), a 156 157 series of emission control strategies have been implemented in Beijing and surrounding areas to alleviate 158 the serious air pollution problems. These measures include, installing desulphurization systems in coal-159 fired power plants, banning high-emission motor vehicles, and promoting natural gas as an alternative to 160 coal in rural areas. According to the China Environmental State Bulletin (www.zhb.gov.cn/hjzl/zghjzkgb/lnzghjzkgb, in Chinese), the annual levels of PM2.5 during 2013–2016 in 161 162 Beijing showed a decreasing trend (r = 0.98 and slope =  $-5.3 \mu g m^{-3} year^{-1}$ ), but there were still 45.9% of 163 days in 2016 that suffered from varying degrees of pollution. 164 Identifying the causes of air pollution in Beijing is challenging because the chemical composition of PM2.5 165 is variable and complex, and the particles originate from a variety of sources and processes. For example, 166 Elser et al. (2016) reported that organic aerosol (OA) was the largest contributor to PM2.5 mass during, 167 extreme haze periods in Beijing, and the primary aerosol from coal combustion (46.8%) was the dominant contributor to OA, followed by the oxygenated OA (25.0%) and biomass burning OA (13.8%). In addition, 168 Zheng et al. (2016) found that organic matter (OM) was the most abundant component (18-60%) in PM2.5 169 170 and its relative contribution usually decreased as pollution levels rose while those of secondary inorganic 171 species (e.g., sulfate and nitrate) increased. 172 In recent years, the Chinese government has taken temporary control measures to ensure good air quality

during some important conferences and festivals held in Beijing, including the 2008 Summer Olympic
 Games, the 2014 Asia-Pacific Economic Cooperation (APEC) summit, and the 2015 Victory Day parade
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175 (VDP). These actions provide valuable <u>opportunities for</u> evaluating the effectiveness of emission controls

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on air pollution, and the information gathered during the control periods should useful for making policy 309 310 decisions. Numerous studies have demonstrated that temporary aggressive control measures were 311 effective in reducing primary pollutants and secondary aerosol formation in Beijing (e.g., Wang et al., 2010; Guo et al., 2013; Li et al., 2015; Tao et al., 2016; Xu et al., 2017). 312 313 Air pollution in Beijing is not only influenced by local emissions and the regional transport of pollutants but also by meteorological conditions (e.g., Li and Han, 2016; Bei et al., 2017). In this regard, Zhong et 314 al. (2018) concluded that heavy pollution episodes in Beijing can be generally divided into two phases (1) 315 316 a transport stage, which is characterized by increases in pollutants mainly transported from the south of 317 Beijing and (2) an accumulation stage, during which there is dramatic growth in PM2.5 loadings due to 318 stagnant meteorological conditions. Moreover, several studies have shown that the emission controls put 319 in place during important events were effective in decreasing aerosol concentrations, but meteorological 320 conditions also played an important role in determining aerosol loadings (Gao et al., 2011; Liang et al., 321 2017). For example, Liang et al. (2017) have found that meteorological conditions and emission control 322 measures had comparable impacts on PM2.5 loadings in Beijing during the 2014 APEC (30% versus 28%, respectively) and the 2015 VDP (38% versus 25%). 323 324 The existing studies on the effects of temporary air pollution controls in Beijing have not covered mid-325 autumn when meteorological conditions are typically complex and variable, Indeed, Zhang et al. (2018) 326 reported that two weather patterns common in October caused heavy pollution episodes in Beijing. One 327 episode was linked a Siberian high-pressure system and a uniform high-pressure field while the second 328 was associated with a cold front and a low-pressure system, For this study, measurements were made at a regional background site in the Beijing-Tianjin-Hebei (BTH) region to investigate the changes of PM2.5 329 during the 19th National Congress of the Communist Party of China (NCCPC), which was held in Beijing 330 331 from 18-24 October. Temporary control measures were implemented in Beijing and neighboring areas; 332 these included restrictions on the number of vehicles, prohibition of construction activities, and 333 restrictions on factories and industrial production. The primary objectives of this study were to (1) investigate the effectiveness of emission control measures on PM2.5 and the associated changes in its 334 chemical composition; (2), determine the contributions of emission sources to  $PM_{2.5}$  mass during the 335 336 NCCPC-control and non-control periods; and (3), evaluate the impacts of reductions of PM<sub>2.5</sub> on aerosol direct radiative forcing (DRF) at the Earth's surface. The study produced a valuable dataset and the results 337 338 provide insights into how controls on air pollution can affect Beijing.

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#### 478 2 Materials and methods

## 479 2.1 Sampling site

Intensive measurements were made from 12 October to 4 November 2017 at the Xianghe Atmospheric 480 481 Observatory (39.75° N, 116.96° E; 36 m above sea level) to investigate how the characteristics of PM2.5 482 and the associated radiative effects were affected by the controls put in place during the NCCPC, Xianghe is a small county with 0.33 million residents, and it is located in a major plain-like area 50 km southeast 483 from Beijing and ~70 km north from Tianjin (Figure 1). The sampling site is surrounded by residential 484 areas and farmland, and it is ~5 km west of Xianghe city center. This regional aerosol background site is 485 486 influenced by mixed emission sources in the BTH region. A more detailed description of the site may be found in Ran et al. (2016). 487

#### 488 2.2 Measurements

1

#### 489 2.2.1 Offline measurements

| 490 | PM <sub>2.5</sub> samples were collected on 47 mm quartz-fiber filter (QM/A; GE Healthcare, Chicago, IL, USA)  |
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| 491 | and Teflon® filters (Whatman Limited, Maidstone, UK) using two parallel mini-volume samplers   |
| 492 | (Airmetrics, Oregon, USA) that operated at a flow rate of 5 L min <sup>-1</sup> . The duration of sampling was 24 h <sub>a</sub>                               |
| 493 | and the sampling interval was from 09:00 local time to 09:00 the next day. To minimize the evaporation   |
| 494 | of volatile materials, the samples were stored in a refrigerator at -4 °C before the chemical analyses. The  |
| 495 | quartz-fiber filters were used for determinations of water-soluble inorganic ions and carbonaceous species,  |
| 496 | while the Teflon <sup>®</sup> filters were used for inorganic elemental analyses. The PM <sub>2.5</sub> mass on each sample                                    |
| 497 | <u>filter</u> was <u>determined</u> gravimetrically using a Sartorius MC5 electronic microbalance with $\pm 1 \ \mu g$   |
| 498 | sensitivity (Sartorius, Göttingen, Germany). For the mass determinations, the filters were equilibrated  |
| 499 | under controlled temperature (20-23 °C) and relative humidity (35-45%) before the measurements were  |
| 500 | made. Field blanks (a blank quartz-fiber filter and a blank Teflon® filter) were collected and analysed to   |
| 501 | account for possible background effects.   |
| 502 | Water-soluble inorganic ions, including F <sup>-</sup> , Cl <sup>-</sup> , $NO_3^-$ , $SO_4^{2-}$ , $Na^+$ , $K^+$ , $Mg^{2+}$ , $Ca^{2+}$ , and $NH_4^+$ were |
| 503 | measured with the use of a Dionex 600 ion chromatograph (IC, Dionex Corp., Sunnyvale, CA, USA).  |
| 504 | The four anions of interest were separated using an ASII-HC column (Dionex Corp.) and 20 mM  |
| 505 | potassium hydroxide as the eluent. The five cations were separated with a CS12A column (Dionex) and  |

- 506 an eluent of 20 mM methane sulfonic acid. More detailed description of the IC analyses may be found in
- 507 Zhang et al. (2011). Carbonaceous species, including organic carbon (OC) and elemental carbon (EC)

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|                  | farmland and is ~5 km west of Xianghe city center.                |
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| 532 | were determined using a Desert Research Institute (DRI) Model 2001 thermal/optical carbon analyzer  |
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| 533 | (Atmoslytic Inc., Calabasa, CA, USA) following the Interagency Monitoring of Protected Visual   |
| 534 | Environments (IMPROVE_A) protocol (Chow et al., 2007). A standard sucrose solution was used to  |
| 535 | establish a standard carbon curve before the analytical runs, Replicate analyses were performed at a rate   |
| 536 | of one sample for every ten samples, and the repeatability was found to be $\leq 15\%$ for OC and $\leq 10\%$ for                                 |
| 537 | EC, More information of the <u>OC and EC</u> measurement procedures, may be found in Cao et al. (2003).   |
| 538 | Thirteen elements were determined by energy-dispersive X-ray fluorescence (ED-XRF) spectrometry   |
| 539 | (Epsilon 5 ED-XRF, PANalytical B.V., Netherlands), and these elements include Al, Si, K, Ca, Ti, Cr,  |
| 540 | Mn, Fe, Cu, Zn, As, Br, and Pb. The analytical accuracy for ED-XRF measurements was determined with   |
| 541 | a NIST Standard Reference Material 2783 (National Institute of Standards and Technology, Gaithersburg,  |
| 542 | MD, USA). <u>A more detailed description of the ED-XRF methods</u> may be found in Xu et al. (2012).  |
| 543 | 2.2.2 Online measurements   |
| 544 | The aerosol optical properties were determined using a Photoacoustic Extinctiometer (PAX, Droplet   |
| 545 | Measurement Technologies, Boulder, CO, USA) at a wavelength of 532 nm. The PAX measured light   |
| 546 | scattering (b <sub>scat</sub> ) and absorption (b <sub>abs</sub> ) coefficients (in Mm <sup>-1</sup> ) simultaneously using a built-in wide-angle |
| 547 | integrating reciprocal nephelometer and an <u>photo</u> aucoustic technique, respectively. Before and during the                                  |
| 548 | sampling, the PAX b <sub>scat</sub> and b <sub>aby</sub> were calibrated using ammonium sulfate and fullerene soot particles,                     |
| 549 | respectively, which were generated with an atomizer (Model 9302, TSI Inc., Shoreview, MN, USA).   |
| 550 | Detailed calibration procedures have been described in Wang et al. (2018a; 2018b). For this study, the  |

700-24S-1; Perma Pure, LLC., Lakewood, NJ, USA). The time resolution of <u>the data logger</u> was set to 1
minute.

PAX was fitted with a PM25 cutoff inlet, and the sampled particles were dried by a Nafion® dryer (MD-

551

One-minute average mixing ratios of NOx (NO + NO<sub>2</sub>), O<sub>3</sub>, and SO<sub>2</sub> were measured using a Model 42*i* gas-phase chemiluminescence NOx analyzer (Thermo Fisher Scientific, Inc., Waltham, MA, USA), a Model 49*i* photometric ozone analyzer (Thermo Fisher Scientific, Inc.), and a Model 43*i* pulsed UV fluorescence analyzer (Thermo Fisher Scientific, Inc.), respectively. Standard reference NO, O<sub>3</sub>, and SO<sub>2</sub> gases were used to calibrate the NOx, O<sub>3</sub>, and SO<sub>2</sub> analyzers, respectively, before and during the campaign. All the online data were averaged to 24 h and matched, to the duration of the filter sampling.

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#### 581 2.2.3 Complementary data

Wind speed (WS) and relative humidity (RH) were measured with the use of an automatic weather station 582 583 installed at the Xianghe Atmospheric Observatory. Surface weather charts for East Asia were obtained, from the Korea Meteorological Administration. The three-day backward in time trajectories and mixed 584 585 layer heights (MLHs) were calculated using the Hybrid Single-Particle Lagrangian Integrated Trajectory 586 (HYSPLIT) model (Draxler and Rolph, 2003), which was developed by the National Oceanic and Atmospheric Administration (NOAA). The aerosol optical depth (AOD) was measured using a 587 588 sunphotometer (Cimel Electronique, Paris, France), and those data were obtained from the Aerosol Robotic Network data archive (http://aeronet.gsfc.nasa.gov). Fire counts were obtained from the 589 590 Moderate Resolution Imaging Spectroradiometer (MODIS) instruments on the Aqua and Terra satellites 591 (https://firms.modaps.eosdis.nasa.gov/map).

#### 592 2.3 Data analysis methods

#### 593 2.3.1 Chemical mass closure

594 The chemically reconstructed  $PM_{2.5}$  mass was calculated as the sum of OM, EC,  $SO_4^{2-}$ ,  $NO_3^-$ ,  $NH_4^+$ ,  $CI^-$ ,

fine soil, and trace elements. A factor of 1.6 was used to convert OC to OM (OM =  $1.6 \times OC$ ) to account

596 for those unmeasured atoms in organic materials based on the results of Xu et al. (2015). The mass

concentration of fine soil was calculated by summing <u>the masses of</u> Al, Si, K, Ca, Ti, Mn, and Fe oxides

<sup>598</sup> <u>using the following equation (Cheung et al., 2011):</u>

 $[Fine soil] = [Al_2O_3] + [SiO_2] + [K_2O] + [CaO] + [TiO_2] + [MnO_2] + [Fe_2O_3] = 1.89 \times [Al] + 2.14 \times [Si] + 1.21 \times [K] + 1.4 \times [Ca] + 1.67 \times [Ti] + 1.58 \times [Mn] + 1.43 \times [Fe], (1)$ 

The mass concentration of trace elements was <u>calculated</u> as the sum of <u>measured</u> elements that <u>were not</u> used in the calculation of fine soil:

603 [Trace elements] = [Cr] + [Cu] + [Zn] + [As] + [Br] + [Pb]

As shown in Figure S1, the reconstructed  $PM_{2.5}$  mass was strongly correlated (r = 0.98) with the

gravimetrically determined values, and this attests to the validity of the chemical reconstruction method.

The slope of 0.86 indicates that our measured chemical species account<u>ed</u> for most of the PM<sub>2.5</sub> mass.

The <u>difference</u> between the reconstructed and measured PM<sub>2.5</sub> mass was defined as "others".

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#### 635 2.3.2 Receptor model source apportionment

Positive matrix factorization (PMF) has been widely used in source apportionment studies in the past two decades (e.g., Cao et al., 2012; Xiao et al., 2014; Tao et al., 2014; Huang et al., 2017). The principles of PMF are described <u>in detail</u> elsewhere (Paatero and Tapper, 2006). Briefly, PMF is a bilinear factor model that decomposes <u>an</u> initial <u>chemically</u>-speciated dataset into <u>a</u> factor contribution, matrix  $G_{ik}$  ( $i \times k$ dimensions) and <u>a</u> factor profiles matrix  $F_{kj}$  ( $k \times j$  dimensions), and then iteratively minimizes the object function *Q*:

$$642 X_{ij} = \sum_{k=1}^{p} G_{ik} F_{kj} + E_i$$

643 
$$\mathbf{Q} = \sum_{i=1}^{m} \sum_{j=1}^{n} \left(\frac{E_{ij}}{\sigma_{ij}}\right)^2$$

where  $X_{ij}$  is the concentration of the *j*th species measured in the *i*th sample;  $E_{ij}$  is the model residual; and  $\sigma_{ij}$  represents the uncertainty.

In this study, the PMF Model version 5.0 (PMF 5.0) from US Environmental Protection Agency (EPA) 646 647 (Norris et al., 2014) was employed to identify the PM2.5 sources. Four to nine factors were extracted to determine the optimal number of factors with random starting points. When the values of scaled residuals 648 649 for all chemical species varied between -3 and +3 and a small Qtrue/Qexpect was obtained, the base run was 650 considered to be stable. Further, bootstrap analysis (BS), displacement analysis (DISP), and bootstrap-651 displacement analysis (BS-DISP) were applied to assess the variability and stability of the results. A more detailed description of the methods for the determination of uncertainties in PMF solutions can be found 652 in Norris et al. (2014). 653 654 2.3.3 Regional chemical dynamical model

The Weather Research and Forecasting model coupled to chemistry model (WRF-Chem) is a 3-D online-655 coupled meteorology and chemistry model, and it was used to simulate the formation processes that led 656 to, high PM2.5 loadings after the NCCPC. The WRF-Chem uses, meteorological information, including, 657 658 clouds, boundary layer, temperature, and winds, pollutant emissions, chemical transformation; transport (e.g., advection, convective, and diffusive); photolysis and radiation; dry and wet deposition; and aerosol 659 interactions. A detailed description of the WRF-Chem model may be found in Li et al. (2011a; 2011b; 660 2012). A grid of 280 × 160 cells covering China with a horizontal resolution of 0.25° was used for the 661 simulation, which also included twenty-eight vertical layers from the Earth's surface up to 50 hPa. Seven 662 layers below 1 km were used to ensure a high vertical resolution near ground-level. The meteorological 663

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| 740  | initial and boundary conditions were retrieved from the National Centers for Environmental Prediction  |                         |  |
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| 741  | (NCEP) reanalysis dataset, and the chemical initial and boundary conditions were obtained from the 6 h   |                         |  |
| 742  | output of the Model for Ozone and Related chemical Tracers (MOZART, Emmons et al., 2010).  | $\leq$                  | 删除的内容: MOZART  |
| 743  | In this study, the mean bias (MB), root mean square error (RMSE), and index of agreement (IOA) were  |                         | 删除的内容: Model for Ozone and Related chemical Tracers) ( |
| 744  | used to evaluate the performance of WRF-Chem simulation. The IOA is representative of the relative   |                         | 删除的内容: are   |
| 745  | difference between the predicted and measured values, and it varies from 0 to 1, with 1 indicating perfect   |                         |  |
| 746  | performance of the model prediction. These parameters were calculated using the following equations (Li  |                         |  |
| 747  | et al., 2011a):  |                         |  |
|      | 1  |                         |  |
| 748  | $MB = \frac{1}{N} \sum_{i=1}^{N} (P_i - O_i) $ (5)   |                         | 删除的内容:   |
| 740  | $PMSE - \left[ {}^{1}\Sigma^{N} \left( p - q \right) {}^{2} \right]_{2}^{1} $ (6)  | 1                       | 删除的内容:   |
| /49  | $\operatorname{KMSE} = [\operatorname{I}_N \angle_{i=1} (r_i - o_i)]^2 $   |                         |  |
| 750  | $IOA = 1 - \frac{\sum_{i=1}^{N} (p_i - o_i)^2}{\sum_{i=1}^{N} (p_i - o_i)^2} $ (7)   | 1                       | 删除的内容:   |
|      | $\sum_{i=1}^{ r_i - r_a v_e  \neq  O_i - O_a v_e }$  |                         |  |
| 751  | where P <sub>i</sub> and P <sub>ave</sub> represent each predicted PM <sub>2.5</sub> mass concentration and the average value, respectively;   | $\leq 1$                | 删除的内容: ir  |
| 752  | $O_i$ and $O_{ave}$ are the observed PM <sub>2.5</sub> mass concentrations and the average value, respectively; and N is   |                         | 删除的内容: s   |
| 753  | representative of the total number of predictions used for comparison.   | $\mathcal{N}$           | 删除的内容: each  |
| 754  | 2.3.4 Calculations of chemical bseat and babs  | $\backslash \backslash$ | 删除的内容: ir  |
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| 755  | To determine the contributions of <u>individual PM<sub>2.5</sub> chemical species</u> to particles' optical properties $\frac{1}{\pi}$ b <sub>scat</sub>   |                         | 删除的内容: the   |
| 756  | and $b_{abs}$ were reconstructed based on the major chemical composition <u>of the PM<sub>2.5</sub> using the revised</u>  |                         | 删除的内容: the   |
| 757  | IMPROVE equations as follows (Pitchford et al., 2007):   | l                       | 删除的内容: in  |
| 758  | $b_{scat} \approx 2.2 \times f_{S}(RH) \times [(NH_{4})_{2}SO_{4}]_{Small} + 4.8 \times f_{L}(RH) \times [(NH_{4})_{2}SO_{4}]_{Large} + 2.4 \times f_{S}(RH) \times ((NH_{4})_{2}SO_{4})_{Large} + 2.4 \times f_{S}(RH) \times ((NH_{$ |                         |  |
| 759  | $[NH_4NO_3]_{Small} + 5.1 \times f_L(RH) \times [NH_4NO_3]_{Large} + 2.8 \times [OM]_{Small} + 6.1 \times [OM]_{Large} + 1 \times 10^{-1}$   |                         |  |
| 760  | [Fine soil] (8)  |                         |  |
|      | m2   |                         |  |
| 761  | $[X]_{Large} = \frac{[X]^2}{20\mu gm^{-3}}, for \ [X] < 20\mu gm^{-3} $ (9)  |                         |  |
| 7(2) | $[V] = [V] for [V] > 20  \mu g  m^{-3} $ (10)  |                         |  |
| /62  | $[\Lambda]_{Large} - [\Lambda], Jor[\Lambda] \ge 20 \ \mu g \ m \tag{10}$  |                         |  |
| 763  | $[X]_{Small} = [X] - [X]_{Large} $ (11)  |                         |  |
| 764  | where the mass concentrations of ammonium sulfate ([(NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> ]) and ammonium nitrate ([NH <sub>4</sub> NO <sub>3</sub> ])   |                         |  |
| 765  | were estimated by multiplying the concentrations of $SO_4^{2-}$ and $NO_3^{-}$ by factors of 1.375 and 1.29.   |                         | · 删除的内容: a   |
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respectively (Tao et al., 2014); f(RH) is the water growth for the small (S) and large (L) modes of

(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NH<sub>4</sub>NO<sub>3</sub> in PM<sub>2.5</sub>; and [X] represents the PM<sub>2.5</sub> composition as used, in Eq. (8). This

analysis is based on the assumption that the particles were externally mixed. More detailed information

of the IMPROVE algorithms <u>may be found</u> in Pitchford et al. (2007).

A second assumption for this part of the study was that there was negligible absorption by brown carbon in the visible region (Yang et al., 2009), and on this basis, the **b**<sub>abs</sub> can be determined from the EC mass</sub>

# concentration using linear regression (Eq. 12). As shown in Figure S2, the derived slope (a) and intercept

788 (b) for the regression model were 10.8 m<sup>2</sup> g<sup>-1</sup> and -4.7, respectively.

789 
$$b_{abs} = a \times [EC] + b$$

(12)

## 790 2.3.5 DRF calculation

The Tropospheric Ultraviolet and Visible (TUV) radiation model developed by the National Center for 791 792 Atmospheric Research was used to estimate the aerosol DRF for 180 - 730 nm at the Earth's surface. A detailed description of the model may be found in Madronich (1993). Aerosol DRF is mainly controlled, 793 794 by the aerosol column burden and chemical composition, and important properties include the AOD, aerosol absorption optical depth (AAOD), and single-scattering albedo (SSA = (AOD-AAOD)/AOD). 795 796 Based on an established relationship between the AODs measured with supported and the light 797 extinction coefficients ( $b_{ext} = b_{scat} + b_{abs}$ ) observed with PAX, an effective height can be retrieved which makes it possible to convert the IMPROVE-based chemical best values into the AODs or AAODs caused 798 799 by the PM2.5. There are hygroscopic effects to consider, and therefore, the dry best values measured here were modified to the wet best based on the water-growth function of particles described in Malm et al. 800 (2003). We note that the estimated chemical AODs were based on the assumption that the aerosols were 801 distributed homogeneously throughout an effective height. 802 Finally, the calculated chemical AOD and SSA for different PM2.5 composition scenarios were used in 803 804 the TUV model to obtain shortwave radiative fluxes. Values for the surface albedo, another factor that 805 influences, DRF, were obtained from the MOD43B3 product measured with the Moderate Resolution Imaging Spectroradiometer (https://modis-atmos.gsfc.nasa.gov/ALBEDO/index.html). The solar 806 807 component in the TUV model was calculated using the  $\delta$ -Eddington approximation, and the vertical profile of best used in the model was described in Palancar and Toselli (2004). The aerosol DRF is defined 808

809 as the difference between the net shortwave radiative flux with and without aerosol as follows:

810  $DRF_{surface} = Flux (net)_{with aerosol, surface} - Flux (net)_{without aerosol, surface}$ 

(13)

删除的内容: involved...in Eq. (8). This analysis is based on the premise ...ssumption that theof...particles were being ...xternally mixed. More detailed information of the IMPROVE algorithms is ...ay be founddescribed \_\_\_\_\_ [14]\_

删除的内容: Considering that EC is the dominant species absorbing light in the visible region (e.g., 532 nm) (Massabò et al., 2015), the relationship between ...abs can be determined from theand...EC mass concentration is ...sing linear regression (Eq. 12). As shown in Figure S2, the derived slope (a) and intercept (b) for the determined by a linear...regression model were 10.8 m<sup>2</sup> g<sup>-1</sup> and -4.7, respectively.:

删除的内容: (NCAR) is... as used to estimate the aerosol DRF for 180 - 730 nm at the Earth's surface. A detailed description of the model may be found in Madronich (1993). Aerosol DRF is mainly controlledaffected...by the aerosol column burden and its...chemical composition, and important properties include which can be reflected by ... he parameters of ... OD, aerosol absorption optical depth (AAOD), and single-scattering albedo (SSA = (AOD-AAOD)/AOD). Based on the n established relationship between the AODs measured with the...sunphotometer and the light extinction coefficients ( $b_{ext} = b_{scat} + b_{abs}$ ) observed with the...PAX, an effective height can be retrieved which makes it possible to convert the IMPROVE-based chemical bext values into the AODs or AAODs that...caused by the the chemical species in ...M2.5. There are Due to the influences of ... ygroscopic effects to consider, and thereforeproperties of PM2.5... the measured ...ry bext values neasured here were modified to the wet best based on the water ... ater-growth function of particles described in Malm William t al (2003) We note It should be noted hat the estimated chemical AOD value ... are ... ere based on the assumption that the aerosols were are ... istributed homogeneously throughout anwithin a given [16]

#### 895 3 Results and discussion

#### 896 3.1 Effectiveness of the control measures on reducing PM<sub>2.5</sub>

897 We divided the study period into two phases based on the dates that the pollution control measures were 898 put into effect (1), the <u>NCCPC</u>-control period from 12 to 24 October and (2) non-control period from 25 October to 4 November. Temporal variations in the PM<sub>2.5</sub> mass concentrations and those of the major 899 900 aerosol components during these two phases are shown in Figure 2, and a statistical summary of those 901 data is presented in Table 1. During the NCCPC-control period, the PM2.5 mass concentrations remained consistently low relative to the NAAQS II (75  $\mu$ g m<sup>-3</sup>), generally < 75  $\mu$ g m<sup>-3</sup> In contrast, higher fine 902 903 particle loadings  $(PM_{2.5} > 75 \ \mu g \ m^{-3})$  frequently were observed during the non-control period. On average, 904 the mass concentration of PM<sub>2.5</sub> during the <u>NCCPC</u>-control period was  $57.9 \pm 9.8 \ \mu g \ m^{-3}$ , which is lower, 905 by 31.2% compared with the non-control period ( $84.1 \pm 38.8 \ \mu g \ m^{-3}$ ). Meanwhile, the PM<sub>2.5</sub> mass concentrations obtained from the China Environmental Monitoring Center also showed a decreasing trend 906 907 over most of the BTH region during the NCCPC-control period (see Figure S3). Compared with previous 908 events when pollution control measures were implemented in Beijing and surrounding areas, the percent decrease in PM2.5 found for the present study falls within the lower limit of the 30-50% reduction for, 909 910 Olympic Games (Wang et al., 2009; Li et al., 2013), but it is less than the range of 40-60% for the APEC, (Tang et al., 2015; Tao et al., 2016; J. Wang et al., 2017) or the range of 60-70% for the VDP, (Han et al., 911 912 2016; Liang et al., 2017; Lin et al., 2017). 913 As shown in Figure 2 (right panel), the chemical mass closure calculations for PM<sub>2.5</sub> showed that on 914 average OM was the largest contributor (30.4%) to PM<sub>2.5</sub> mass during the non-control period, followed by NO<sub>3</sub><sup>-</sup> (16.7%), fine soil (11.2%), and EC (7.6%). In contrast, OM (24.3%) and NO<sub>3</sub><sup>-</sup> (22.9%), dominated 915 the PM<sub>2.5</sub> mass during the NCCPC-control period, followed by  $SO_4^{2-}$  (9.8%), NH<sub>4</sub><sup>+</sup> (9.1%), and EC (7.9%). 916 The OM mass concentration was decreased largely by 43.1% from 24.6 µg m<sup>-3</sup> during the non-control 917 period to 14.0 µg m<sup>-3</sup> during the NCCPC-control period. For secondary water-soluble inorganic ions, the 918 average mass concentrations of NO<sub>3</sub>  $(13.4 \ \mu g \ m^{-3})$  versus 16.9  $\ \mu g \ m^{-3})$  and NH<sub>4</sub>  $(5.4 \ versus \ 6.8 \ \mu g \ m^{-3})$ 919 920 were lower, by 20.7% and 20.6% during the NCCPC-control period, respectively. However, SO4<sup>2-</sup> exhibited similar loadings during the NCCPC-control (5.8 µg m<sup>-3</sup>) and non-control (5.3 µg m<sup>-3</sup>) periods. 921 922 This is consistent with the small differences in  $SO_2$  concentrations for the NCCPC-control (8.5  $\mu$ g m<sup>-3</sup>, 923 Figure S4) versus the non-control (12.4 µg m<sup>-3</sup>, Figure S4) periods. Indeed, the low SO<sub>2</sub> concentrations 924 may not have provided sufficient gaseous precursor to form substantial amounts of sulfate. The loadings of EC, Cl<sup>-</sup>, and fine soil were lower by 25.0, 44.8, and 40.8%, respectively, when the controls were in 925

删除的内容: Based on the dates of emission control measures, w...e divided the whole ...tudy period into two phases based on the dates that the pollution control measures were put into effect (1)....the NCCPC ...CCPC-control period from 12 to 24 October and (2) non-control period from 25 October to 4 November. Temporal variations of ... n the PM<sub>2.5</sub> mass concentrations of PM<sub>2.5</sub> ...nd those of the its...major aerosol components during these two phases are shown in Figure 2, and a statistical summary of those data is presented in Table 1. During the NCCPC-control period, tT...e PM<sub>2.5</sub> mass concentrations of PM<sub>2.5</sub> ...emained consistently low relative to the NAAQS II (75 µg m<sup>-3</sup>), generally < 75 µg m<sup>-3</sup> (NAAQS II) during the NCCPC control period..., In contrast,but the...higher fine particle loadings with ...PM<sub>2.5</sub> > 75 µg m<sup>-3</sup>) are...frequently were observed during the NCCPC ...CCPC-control period is ...as 57.9 ± 9.8

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ug m-3, which is lowerdecreased

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. [19]

删除的内容: of ...or PM<sub>2.5</sub> showedreveals...that on average OM is ...as the largest contributor (30.4%) to PM<sub>2.5</sub> mass during the noncontrol period, followed by NO<sub>3</sub><sup>-</sup> (16.7%), fine soil (11.2%), and EC (7.6%). In contrast, OM (24.3%) and NO<sub>3</sub><sup>-</sup> (22.9%) both ...ominated the PM<sub>2.5</sub> mass during the NCCPC ...CCPC-control period, followed by SO<sub>4</sub><sup>2-</sup> (9.8%), NH<sub>4</sub><sup>+</sup> (9.1%), and EC (7.9%). The OM mass concentration of OM is...as decreased largely by 43.1% from 24.6 µg m<sup>-3</sup> during the non-control period to 14.0 µg m<sup>-3</sup> during the NCCPC ...CCPC-control period. For the ...econdary water-soluble inorganic ions, the average mass concentrations of NO<sub>3</sub><sup>-</sup> (13.4 µg m<sup>-3</sup> versus 16.9 µg m<sup>-3</sup>) and NH<sub>4</sub><sup>+</sup> (5.4 versus 6.8 µg m<sup>-3</sup>) were lowerdecrease...by 20.7% and 20.6% during the NCCPC ...CCPCcontrol period compared with the non-control period... respective[x<sub>1</sub> ....[21]

| 040 | place, The variations in reductions for specific aerosol components imply differences in the effectiveness                                 | 一刑         |
|-----|--|------------|
| 041 | of the emission controls on the chemical species, but as discussed below, meteorological conditions  | res        |
| 042 | probably had an influence on the loadings, too.  | ch         |
| 043 | As shown in Figure $\frac{54}{5}$ , both WSs (0.7 ± 0.3 versus $1.3 \pm 0.8$ m s <sup>-1</sup> ) and MLHs (304.3 ± 60.6 versus 373.7       | co         |
| 044 | ± 217.9 m) were lower for the NCCPC-control period compared with the non-control period. This  | / 刑<br>M   |
| 045 | indicates that horizontal and vertical dispersion were weaker, during the NCCPC-control period than in                                     | N          |
| 046 | the non-control period. More to the point, this shows that one needs to consider the effects of WS and                                     | co<br>dif  |
| 047 | MLH to fully, evaluate, the effectiveness of the pollution control measures. A simple and effective way to                                 | N          |
| 048 | do this is to compare the concentrations of air pollutants for the two periods when atmospheric conditions                                 | co<br>Th   |
| 049 | were stable (Wang et al., 2015; Liang et al., 2017).   | ful        |
| 050 | We first evaluated atmospheric stability based on relationships between PM2.5 mass concentrations and                                      | co<br>the  |
| 051 | WS and MLH. As shown in Figure 3, the PM <sub>2.5</sub> mass concentrations exhibited a power function                                     | sta        |
| 052 | relationship with WS ( $r = -0.65$ ) and MLH ( $r = 0.77$ ). The <u>approach used to determine stable conditions</u>                       | 刪          |
| 053 | was to find the WS and MLH values that were Jess than the inflection points in the PM2.5 loadings; that                                    | de<br>the  |
| 054 | is, where the slopes in the loadings changed from large to relatively small values. As there are no true                                   | an         |
| 055 | inflection points for the power functions, we used piecewise functions to represent them. As shown in                                      | co<br>W    |
| 056 | Figure 3, the intersections of two linear regressions can be used to represent the inflection, points of the                               | cri        |
| 057 | influences of meteorological conditions on PM <sub>2.5</sub> mass. Using these criteria, days with WS $< 0.4 \text{ m s}^{-1}$             | W<br>tur   |
| 058 | and MLH < 274 m were subjectively considered to have stable atmospheric conditions.  | are        |
| 059 | There were two days for the NCCPC-control period and three days for the non-control period that satisfy                                    | va<br>po   |
| 060 | the stability criteria. The surface charts (Figure S5) show that the weather conditions for those selected                                 | tu         |
| 061 | stable atmosphere days during the NCCPC-control and non-control periods were mainly controlled by  | int<br>rep |
| 062 | uniform pressure fields and weak low-pressure systems, respectively, and those conditions led to weak or                                   | me         |
| 063 | calm surface winds. Due to the lower WS (0.2 versus 0.3 m s <sup>-1</sup> ) and MLH (213 versus 244 m) during the                          | cri        |
| 064 | NCCPC-control period relative to the non-control period, the horizontal and vertical dispersion for the                                    | 刪          |
| 065 | stable atmospheric days were slightly weaker during the NCCPC-control period. As shown in Table 1,   | pe         |
| 066 | the percent differences for PM <sub>2.5</sub> (43.4%), NO <sub>3</sub> <sup>-</sup> (25.9%), OM (68.1%), EC (40.0%), and fine soil (58.7%) | sta        |
| 067 | were larger for the days with stable atmospheric, conditions compared with those for all days. These results                               | du         |
| 068 | are a further indication, that the control measures, were effective, in reducing pollution, but meteorology                                | res        |
| 069 | also influenced the aerosol pollution.   | Dı         |

删除的内容: period compared with the non-control period, respectively... The variations indifferent...reductions for specific aerosol components imply differences in the effectiveness of each chemical species revealed their distinct responses to...the emission controls on the chemical species, but as discussed below, and .... [24]

删除的内容: S2...4, both WSs  $(0.7 \pm 0.3 \text{ versus } 1.3 \pm 0.8 \text{ m s}^{-1})$  and MLHs  $(304.3 \pm 60.6 \text{ versus } 373.7 \pm 217.9 \text{ m})$  are...ere lower for the NCCPC ...CCPC-control period compared withthan...the noncontrol period. This indicates that horizontal and vertical diffusion ...ispersion were weakerconditions...during the NCCPC ...CCPC-control period should be worse ...han in the noncontrol period. More to the point, this shows that one needs Therefore, it is necessary ...o consider the effects of WS and MLH to fullywhen further...evaluates...the effectiveness of the pollution control measures. A simple and effective way to do this is to compare the concentrations of air pollutants for the two periods whenunder stable .... [25]

除的内容: In this study, w ... e first evaluated atmospheric stability fined the stable atmospheric conditions...based on ... correlations ... elationships between PM2.5 mass concentrations d WS and MLH. As shown in Figure 3, the PM2.5 mass ncentrations exhibits ... xhibited a power function relationship with S (r = -0.65) and MLH (r = 0.77). The approach used to determine iterion for judging ... table conditions is ... as to findwhether... the S and MLH values that wereare...lower ...ess than the values of ming...nflection points in the PM2.5 loadings; that is, where, which e...the slopes in the loadings changed from large to relatively small lues. As However, ... here is ... re no true inflection points for the wer functions, thus, ... e used piecewise functions to determine the ming points...epresent them. As shown in Figure 3, the tersections of two linear regressions can be representative ...sed to present the inflection f turning ... points of the influences of eteorological conditions on PM2.5 mass. Using these iteria Finally... the...days with WS  $\leq 0.4~m~s^{\text{-1}}$  and MLH  $\leq 274~m$ . [26]

删除的内容: are ...ere two days for the NCCPC ...CCPC-control period and three days for the non-control period that satisfy the stability criteria criterion... The surface charts (Figure S5) show that the weather conditions for those selected stable atmosphere days during the NCCPC-control and non-control periods were mainly controlled by uniform pressure fields and weak low-pressure systems, respectively, and those conditions led to weak or calm surface winds. Due to the lower WS (0.2 versus 0.3 m s<sup>-1</sup>) and MLH (213 versus 244 m) during the NCCPC-control period relative to the non-control period, the horizontal and vertical dispersion for the stable atmospheric days were slightly weaker during the NCCPC-control 271/

## 186 **3.2 Estimates of source contributions**

The mass concentrations of water-soluble inorganic ions (SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, and Cl<sup>-</sup>), carbonaceous 187 (OC and EC), and elements (Al, Si, Ca, Ti, Cr, Mn, Fe, Cu, Zn, As, Br, and Pb) were used as data inputs 188 for the PMF 5.0 model, Through comparisons between the PMF profiles and reference profiles from 189 previous studies, the presumptive sources for the aerosol were identified as (i) coal combustion, (ii) 190 191 traffic-related emissions, (iii) secondary particle formation, (iv) biomass burning, (v) industrial processes, 192 and (vi) mineral dust. As shown in Figure <u>S6</u>, the PMF modelled PM<sub>2.5</sub> mass concentrations <u>were</u> strongly correlated with the observed values (r = 0.98, slope = 0.94), and the <u>model-calculated</u> concentrations for 193 each chemical species exhibited good linearity and correlations, with the measured values (r = 0.68-0.99) 194 195 (Table S1). These results show that the six identified sources were physically interpretable and accounted 196 for much of the variability in the data. Figure 4 presents the source profiles and the average contribution of each source to PM<sub>2.5</sub> mass during 197 the NCCPC-control and non-control periods. The first source factor was identified as coal burning 198 emissions because it was enriched with As (38.8%), Pb (32.9%), and Fe (30.3%) and had moderate 199 <u>Joadings of Mn (26.2%), Zn (23.8%), Si (23.1%), and Ca (22.8%) (Figure 4a). Of these elements, As is a</u> 200 201 well-known tracer for coal burning (Hsu et al., 2009; Y. Chen et al., 2017); Pb, Fe, Mn, and Zn (Xu et al., 2017); Pb, Fe, Mn, and Zn (Xu et al., 2009); Y. Chen et al., 2017); Pb, Fe, Mn, and Zn (Xu et al., 2009); Y. Chen et al., 2017); Pb, Fe, Mn, and Zn (Xu et al., 2009); Y. Chen et al., 2017); Pb, Fe, Mn, and Zn (Xu et al., 2009); Y. Chen et al., 2017); Pb, Fe, Mn, and Zn (Xu et al., 2009); Y. Chen et al., 2017); Pb, Fe, Mn, and Zn (Xu et al., 2009); Y. Chen et al., 2017); Pb, Fe, Mn, and Zn (Xu et al., 2017); Pb, Fe, Mn, and An (Xu et al., 2017); Pb, Fe, Mn, and An (Xu et al., 2017); Pb, Fe, 202 2012; Men et al., 2018) are enriched in particles generated by this source; and Ca and Si can be 203 components of coal fly ash (Pipal et al., 2011). There was no significant difference in PM2.5 loadings contributed by this source between the NCCPC-control (8.5 µg m<sup>-3</sup>) and non-control (7.8 µg m<sup>-3</sup>) periods. 204 205 This may be because coal burning is mainly used for domestic purposes, especially heating, and the 206 control measures did not include this sector. The contribution of coal burning to PM<sub>2.5</sub> mass in our <u>October/November study was lower than its contribution in the BTH region in winter ( $\sim 20 - 60 \ \mu g \ m^{-3}$ )</u> 207 (Huang et al., 2017), and that can be explained by the increased domestic usage of coal for heating 208activities during the colder winter season. 209 The second source factor was linked to traffic-related emissions, and it was characterized by strong 210211 loadings of EC (42.1%) and Cu (40.7%) and moderate contributions of OC (29.1%), Zn (27.1%), and Br (22.2%). Previous studies have indicated that carbonaceous aerosols are components of gasoline and 212 213 diesel engine exhaust (Cao et al., 2005), and therefore, EC and OC have been used as indicators for motor vehicle emissions (Chalbot et al., 2013; Khan et al., 2016a), and Br, too, may be emitted from internal 214 215 combustion engines (Bukowiecki et al., 2005). Aerosol Cu and Zn are derived from other types of vehicle

216 emissions, including those associated with lubricant and oil, brake linings, metal brake wear, and tires

## 删除的内容:ion

删除的内容: inputs... Through comparisons After compared...etween the PMF profiles and with the ... reference profiles from previous literatures...tudies, the finally identified ... resumptive sources for the aerosol were identified asare...(i) coal combustion. (ii) traffic-related emissions, (iii) secondary inorganic aerosols...article formation, (iv) biomass burning, (v) industrial processes, and (vi) mineral dust. As shown in Figure S3...6, the PMF modelled PM2.5 mass concentrations are ... ere strongly correlated with the observed values (r = 0.98,) with a...slope =of...0.94), and simultaneously, ... he model-calculated concentrations of ... or each modelled hemical species represent xhibited goodness-of-fit of linearity and correlations regression with the measured values (r = 0.68-0.99) (Table S1). These results reveal...how that the six identified sources could be ... ere reasonably ... hysically interpretable and accounted for much of the variability in the dataprofiles in this study [28]

删除的内容: NCCPC ...CCPC-control and non-control periods. As shown in Figure 4a, t...he first source factor is ...as identified as coal burning emissions because it was enriched in ...ith As (38.8%), Pb (32.9%), and Fe (30.3%) as well as...nd had moderate contributions from...oadings of Mn (26.2%), Zn (23.8%), Si (23.1%), and Ca (22.8%) (Figure 4a). Of these elements, The...As has ...s a well-known been proposed as a useful ...racer for coal burning (Hsu et al., 2009; Y. Chen et al., 2017). ...; Moreover, the metals of ....[29]

## 已移动(插入) [2]

删除的内容: could be produced from the processes of coal combustion (Xu et al., 2012; Men et al., 2018), while

#### 已上移 [2]: (Xu et al., 2012; Men et al., 2018)

删除的内容: consisted in...omponents of coal fly ash (Pipal et al., 2011). Thus, this source factor is assigned to the coal burning....here is...as no significant difference in PM<sub>2.5</sub> mass ...oadings contributed by this source from coal burning ...etween the NCCPC ...CCPCcontrol (8.5 µg m<sup>-3</sup>) and non-control (7.8 µg m<sup>-3</sup>) periods. This may be due to the fact that...ecause coal burning is mainly used for household energy for local residents...omestic purposes, especially heating, and whereas...the control measures do ...id not involve ...nelude this sector. The contribution of coal burning to PM<sub>2.5</sub> mass in our October/November study wasfrom this source is...lower than the ...ts contribution values (~20 – 60 µg m<sup>-3</sup>) from<sub>3.01</sub> 删除的内容: is...as linked to traffic-related emissions, and it was ...haracterized by the elevated...trong loadings of EC (42.1%) and Cu (40.7%) as well as...nd moderate contributions of OC (29.1%), Zn (27.1%), and Br (22.2%). Previous studies have

indicated that carbonaceous aerosols are components of strongly ... [31]

| 350 | (Lin et al., 2015). Furthermore, the mass concentration of PM <sub>2.5</sub> from this source was strongly correlated  |
|-----|--|
| 351 | (r = 0.72) with vehicle-related NOx concentrations (Figure <u>\$7</u> ), which further suggests the validity of this   |
| 352 | PMF-resolved source, Traffic-related emissions showed similar percent, contributions to PM2.5 mass   |
| 353 | during the NCCPC-control (14.8%) and non-control (15.4%) periods (Figure 4c), but the mass   |
| 354 | concentration was 38% lower for the NCCPC-control period (8.9 $\mu$ g m <sup>-3</sup> ) than the non-control period  |
| 355 | (14.4 $\mu$ g m <sup>-3</sup> ). This <u>shows that</u> the reduction <u>in motor</u> vehicle <u>activity</u> during the control period <u>led to</u>                                  |
| 356 | better air quality.  |
| 357 | The third source factor was a clear signal of secondary particle formation because it was dominated by   |
| 358 | high loadings of SO <sub>4</sub> <sup>2-</sup> (45.4%), NO <sub>3</sub> <sup>-</sup> (43.4%), and NH <sub>4</sub> <sup>+</sup> (47.0%) <u>(Zhang et al., 2013; Amil et al., 2016).</u> |
| 359 | Moreover, moderate loadings of As (30.5%), Pb (27.4%), Cr (31.4%), Cu (30.7%), and EC (30.8%) also   |
| 360 | were assigned to this factor, suggesting influences from coal burning and vehicle exhaust emissions,   |
| 361 | Although the concentrations of gaseous precursors, especially, SO <sub>2</sub> and NOx, were lower during the  |
| 362 | NCCPC-control period (Figure S4), the average mass contribution of secondary PM <sub>2.5</sub> was larger when   |
| 363 | the controls were in effect (22.5 versus 18.3 µg m <sup>-3</sup> ); indeed, this source was the largest contributing   |
| 364 | factor (37.3% of PM <sub>2.5</sub> mass) during the <u>NCCPC-</u> control period. <u>We note that</u> the higher RH (84%) during   |
| 365 | the <u>NCCPC</u> -control period <u>compared with the non-control period (69%) may have</u> , promoted the   |
| 366 | formation of the secondary inorganic aerosols through aqueous reactions (Sun et al., 2014).  |
| 367 | The fourth source factor, identified as emissions from biomass burning, was, characterized by the high   |
| 368 | <u>Loadings</u> of K <sup>+</sup> (59.5%) with moderate loadings of Cl <sup>-</sup> (33.3%), OC (28.5%), NO <sub>3</sub> <sup>-</sup> (37.1%), SO4 <sup>2-</sup> (21.1%),              |
| 369 | and NH4 <sup>+</sup> (39.6%). Soluble K <sup>+</sup> is an established, tracer for biomass burning (Zhang et al., 2013; Wang et  |
| 370 | al., 2016b), and Cl <sup>-</sup> and OC also are emitted during biomass burning (Tao et al., 2014; Huang et al., 2017).  |
| 371 | Previous studies have shown that SO <sub>2</sub> and NO <sub>2</sub> can be converted into sulfate and nitrate on KCl particles  |
| 372 | during the transport of biomass-burning emissions (Du et al., 2011). The <u>refore, the</u> abundant NO <sub>3</sub> <sup>-</sup> , SO <sub>4</sub> <sup>2-</sup> ,                    |
| 373 | and NH4 <sup>+</sup> associated with this factor may be indicative of aged biomass-burning particles. As shown in  |
| 374 | Figure 4c, biomass burning <u>contributed</u> substantially to PM <sub>2.5</sub> mass during <u>both</u> the NCCPC (21.6%) and   |
| 375 | non-control periods (27.3%). This is to be expected because Hebei Province is a major corn and wheat   |
| 376 | producing area, and the residues of these crops commonly are used for residential cooking and heating or   |
| 377 | burned in the <u>fields</u> (J. Chen et al., 2017). The mass concentrations of PM <sub>2.5</sub> from this source <u>were lower</u>  |
| 378 | during the NCCPC (13.0 $\mu$ g m <sup>-3</sup> ) than in the non-control period (25.7 $\mu$ g m <sup>-3</sup> ), and this indicates the  |
| 379 | effectiveness of the control policy that forbade the open space biomass-burning during the NCCPC, As   |

删除的内容: Br may be partly emitted from fuel combustion in internal combustion engines (Bukowiecki et al., 2005). Therefore, the second source factor is representative of traffic-related emissions. ... urthermore, the mass concentration of PM25 from this source is ... as strongly correlated (r = 0.72) with the ... ehicle-related NOx concentrations (Figure S4...7), which further suggests the validity of the ... his PMF-resolved source contributions... The t...affic-related emissions have ...howed similar percentages of...contributions to PM2.5 mass during the NCCPC ... CCPC-control (14.8%) and non-control (15.4%) periods (Figure 4c), but its ...he mass concentration is ... as 38%1.6 times...lower for the NCCPC ...CCPC-control period (8.9  $\mu g \ m^{\text{-}3})$  than the non-control period (14.4 µg m<sup>-3</sup>). This is attributed to...hows that the reduction of ...n motor vehicle activity volume on road by traffic restriction 删除的内容: is ...as a clear signal of secondary particle formation because it was dominated by the . [33]

### 已移动(插入) [3]

删除的内容: ), .... and is obviously classified as secondary inorganic aerosol (Zhang et al., 2013; Amil et al., 2016). .... [34]

已上移 [3]: (Zhang et al., 2013; Amil et al., 2016)

删除的内容: are also...assigned to this factor, suggesting the ...nfluences of...rom coal burning and vehicle exhaust emissionss... Although the concentrations of gaseous precursors, especially (e.g., ...SO<sub>2</sub> and NOx,)...were lower during the NCCPC ...CCPC-control period are lower than the non-control period...(Figure S42..., the average mass contribution of secondary PM<sub>25</sub> from source of secondary inorganic aerosol is...as larger when during ...he NCCPC ...ontrols were in effectperiod...(22.5 versus 18.3 µg m<sup>-3</sup>), ...; indeed,and...this source becomes ...as the largest contribution ...ontributing factor (37.3% of PM<sub>2.5</sub> mass) during the NCCPC ...CCPC-control period. We note that Compared with the low RH (69%) during the non-control period compared with the noncontrol period (69%) may havecould ...[35].

删除的内容: is...characterized by the high contributions ...oadings of K<sup>+</sup> (59.5%) with moderate loadings of Cl<sup>+</sup> (33.3%), OC (28.5%), NO<sub>3</sub><sup>-</sup> (37.1%), SO<sub>4</sub><sup>2-</sup> (21.1%), and NH<sub>4</sub><sup>+</sup> (39.6%). Soluble K<sup>+</sup> is an establisheda good...tracer for biomass burning (Zhang et al., 2013; Wang et al., 2016b), and Cl<sup>-</sup> and OC also are emitted during biomass burning also related to this source ... Tao et al., 2014; Huang et al., 2017). Consequently, this factor is assigned to the biomass burning....revious studies have found ...hown that SO<sub>2</sub> and NO<sub>2</sub> can be converted into sulfate and nitrate aerosols ... n the surface of preexisting...KCl particles during the regional ...ransport of biomassburning emissions (Du et al., 2011). Therefore, the abundant NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2+</sup>, and NH<sub>4</sub><sup>+</sup> associated with this factor may be indicative of aged are traced into sulfate and with this factor may be indicative of aged are traced.

| 555 | the control measures did not include prohibitions on the household use of biofuels, substantial   | 7                 | 删                     |
|-----|---|-------------------|-----------------------|
| 556 | contributions of biomass burning were still evident during the NCCPC-control period.  |                   | ho                    |
| 557 | The fifth source factor was identified as emissions from industrial processes because it had high loadings                              |                   | evi                   |
| 558 | of Zn (41.3%), Br (38.0%), Pb (19.9%), As (19.2%), Cu (17.5%), and Mn (19.1%), (Q. Q. Wang et al.,                                      |                   | 删                     |
| 559 | 2017; Sammaritano et al., 2018). This source <u>contributed 3.6 µg m<sup>-3</sup> to PM<sub>2.5</sub> mass during the NCCPC-</u>        |                   | Pb<br>thc             |
| 560 | control period, which is lower than the non-control period (16.2 $\mu$ g m <sup>-3</sup> ) by <u>78%</u> and its percent                |                   | Wa                    |
| 561 | contribution to PM <sub>2.5</sub> mass also increased correspondingly from 6.0 to 17.2% after the controls were                         |                   | coi<br>NC             |
| 562 | removed. The results show that restrictions on industrial activities during the NCCPC-control period led                                |                   | per                   |
| 563 | to improvements in air quality. Iron and steel production are among the most important industries in BTH                                |                   | of.                   |
| 564 | region, and the iron and steel production there, accounted, for 28.8% of the total for China in 2016 (NBS,                              |                   | we                    |
| 565 | 2017). The sintering process in iron and steel industries produce large amounts of heavy metal pollutants                               |                   | of<br>co              |
| 566 | including Zn, Pb, and Mn (Duan and Tan, 2013). Hence, the iron and steel industries in the BTH region                                   |                   | fac                   |
| 567 | were probable sources for these metals during the non-control period.   |                   | inc<br>the            |
| 568 | The sixth source factor was obviously mineral dust because it had high loadings of Al (55.9%), Si (55.7%),                              |                   | 20                    |
| 569 | Ca (52.6%), and Ti (36.7%), (Zhang et al., 2013; Tao et al., 2014; Kuang et al., 2015). This factor                                     |                   | inc<br>po             |
| 570 | contributed 3.8 µg m <sup>-3</sup> (6.3% of PM <sub>2.5</sub> mass) during the NCCPC-control period and 11.5 µg m <sup>-3</sup> (12.3%) |                   | the                   |
| 571 | to PM <sub>2.5</sub> mass <u>in the non-control period</u> , <u>Possible sources for the mineral dust include (i) natural dust</u> ,    | $\langle \rangle$ | im<br>pro             |
| 572 | which contains crustal Al, Si, and Ti (Milando et al., 2016), (ii) construction dust, which includes Ca (Liu                            | X                 | , 刑                   |
| 573 | et al., 2017), and (iii) road dust, which is characterized by traffic-related species, such as Cu, Zn, Br, and                          |                   | ob                    |
| 574 | EC (Khan et al., 2016b; Zong et al., 2016). Here, the mineral dust factor did not contain any notable                                   |                   | (5:<br>cla            |
| 575 | contributions from the traffic-related species. Thus, this factor <u>can be explained by the natural and</u>                            |                   | Ku                    |
| 576 | construction <u>dusts</u> . As shown in Figure <u>S8</u> , WS was positively correlated $(r = 0.75)$ with the PM <sub>2.5</sub> mass    |                   | (6.<br>m <sup>-</sup> |
| 577 | from mineral dust. To reduce the effects of wind speed on crustal dust resuspension, we compared the                                    |                   | no                    |
| 578 | days with low winds (< 1 m s <sup>-1</sup> ) during the sampling periods, and only three sampling days were excluded                    |                   | ca                    |
| 579 | from the analysis. This comparison showed that the mass concentration of PM <sub>2.5</sub> from mineral dust was                        |                   | du                    |
| 580 | 60% lower in the NCCPC-control period (3.8 μg m <sup>-3</sup> ) compared with the non-control period (2.5 μg m <sup>-</sup>             |                   | is (<br>Zn            |
| 581 | <sup>3</sup> ). This is a strong indication that restrictions on construction activities during the <u>NCCPC</u> -period <u>were</u>    |                   | mi                    |
| 582 | effective in reducing the mineral dust component of PM <sub>2.5</sub> , but as noted above, this was not a large                        |                   | fro<br>ma             |
| 583 | component of the PM <sub>2.5</sub> mass,  |                   | act                   |
|     |   |                   |                       |

删除的内容: do ...id not involve ...nclude prohibitions on the household use of biofuels, substantial and thus, the high ...ontributions of biomass burning can be...ere still evidentmeasured...during the NCCPC ....[37].

除的内容: have...high loadings on ...f Zn (41.3%), Br (38.0%), (19.9%), As (19.2%), Cu (17.5%), and Mn (19.1%), and is bught to be associated with industrial process emissions...(Q. Q. ing et al., 2017; Sammaritano et al., 2018). This source ntributes ...ontributed 3.6  $\mu g~m^{\text{-}3}$  to  $PM_{2.5}$  mass during the CCPC ... CCPC-control period, which is lower than the non-control riod (16.2 µg m<sup>-3</sup>) by 78%a factor of 4.5... and its percentage ...contribution to PM2.5 mass also increases ...ncreased rrespondingly from 6.0 to 17.2% accordingly... fter the controls ere removed. The results show that reveal the effectiveness .. estrictions on industrial activities during the NCCPC ... CCPCntrol period led to improvements in air quality. Iron and steel ctory ...roduction are amongis one of ... the most important dustries in BTH region, and the scale of ...ron and steel production eres...accounteds...for 28.8% of the total amount of...or China in 16 (NBS, 2017). The sintering process in the...iron and steel dustries can ... produce large amountsplenty ... of heavy metal llutants including Zn, Pb, and Mn (Duan and Tan, 2013). Hence, iron and steel industries in the BTH region may be possibly portant...ere probable sources for these metals amongst industrial ocesses [38]

除的内容: predominant species in the ...ixth source factor was viously mineral dust because it had high loadings ofare...Al 5.9%), Si (55.7%), Ca (52.6%), and Ti (36.7%), which is obviously assified as mineral dust...(Zhang et al., 2013; Tao et al., 2014; ang et al., 2015). This factor contributes ... ontributed 3.8  $\mu g\ m^{\text{-3}}$ 3% of PM\_2.5 mass) during the NCCPC-control period and 11.5  $\mu g$ (12.3%) to  $PM_{2.5}$  mass during the NCCPC control and...n the n-control periods, respectively ... The p...ossible sources for using ... he mineral dust may ... nclude (i) natural dust, which ntains crustal Al, Si, and Ti (Milando et al., 2016), (ii) construction st, which includes Ca (Liu et al., 2017), and (iii) road dust, which characterized byrefers to the ... traffic-related species, such as Cu, Br, and EC (Khan et al., 2016b; Zong et al., 2016). Here, the neral dust factor do ... id not contain any notable contributions om the traffic-related species. Thus, this factor can be explained ay be mainly influenced ... y the natural dust ... nd construction tivities...usts. As shown in Figure S5...8, WS is ...as positively correlated well  $\dots r = 0.75$ ) with the PM<sub>2.5</sub> mass that is contributed by...rom mineral dust. To reduce the effectsimpact...of wind speeds...on crustal dust resuspension, we only ...ompared the days with low winds (< 1 m s<sup>-1</sup>) during the sampling periods, and only .... [39]

## 724 **3.3 Exploring the pollution episodes after the NCCPC control period**

| 725 | As shown in Figure 2 (left panel), two pollution episodes occurred after the NCCPC-control period (PE1:   |
|-----|---|
| 726 | 25–27 October and PE2: 31 October– <u>1</u> November); the average PM <sub>2.5</sub> mass concentrations in PE1 and   |
| 727 | PE2 were, 117.5 and 124.5 µg m <sup>-3</sup> , respectively. For PE1, secondary aerosols were, the dominant   |
| 728 | contributor to the fine particle population, accounting for 54.6% of PM <sub>2.5</sub> mass (Figure 5a), and the  |
| 729 | secondary species that showed the largest contribution to PM <sub>2.5</sub> mass was NO <sub>3</sub> <sup>-</sup> (26.8%) (Figure 5b). The                            |
| 730 | mass concentration of NO <sub>3</sub> <sup>-</sup> increased from $\leq 10 \ \mu g \ m^{-3}$ before PE1 to $\geq 25 \ \mu g \ m^{-3}$ during the episode.             |
| 731 | (Figure 2). <u>Molar ratios of NO<sub>3</sub><sup>-</sup> to NO<sub>2</sub> (NOR = <math>n-NO_3^{-}/(n-NO_2 + n-NO_3^{-})</math>) were calculated to investigate.</u> |
| 732 | nitrogen partitioning between the particulate and gas phases (Zhang et al., 2011). As shown in Figure 6a,   |
| 733 | the mass concentration of $PM_{2.5}$ increased with NOR (r = 0.65) throughout the entire campaign, which  |
| 734 | indicates that nitrate formation was involved in the high PM <sub>2.5</sub> loadings. The NORs ranged from 0.32 to  |
| 735 | 0.71 during the PE1, and those values were significantly different (t-test, $p < 0.01$ ) from the ratios before   |
| 736 | (0.23–0.29) or after <u>PE1(0.03–0.10), thus</u> reflecting stronger nitrate formation during the pollution period.   |
| 737 | Furthermore, NOR <u>exhibited</u> an exponential increase with RH ( $r = 0.80$ , Figure 6b), and the higher RHs   |
| 738 | (91–93%) during the PE1 may have led to greater, aqueous nitrate production relative to the periods before  |
| 739 | (80, 86%) or after (33-57%) the first pollution episode,  |
| 740 | The second largest contributor to PM25 mass during PE1 was OM, which accounted for 22.9% of the fine  |
| 741 | aerosol mass. A widely used EC-tracer method (Lim and Turpin, 2002) was used to estimate the primary  |
| 742 | and secondary OA (POA and SOA). For this, the lowest 10% percentile of the measured OC/EC ratios  |
| 743 | was used as a measure of the primary OC/EC ratio (Zheng et al., 2015). The estimated mass   |
| 744 | concentrations of POA and SOA were 17.2 and 9.7 µg m <sup>-3</sup> during the PE1, which accounted to 63.9 and  |
| 745 | 36.1% of the OM mass, respectively.   |
| 746 | Photochemical oxidation and aqueous reactions are two of the major mechanisms that lead to the  |
| 747 | formation_SOA, (Hallquist et al., 2009), and we evaluated the roles of these chemical reactions by  |
| 748 | investigating trends in the EC-scaled concentrations of SOA (SOA/EC). We note that normalizing the  |
| 749 | data in this way eliminates the impacts of different dilution/mixing conditions on the SOA loadings   |
| 750 | (Zheng et al., 2015). As shown in Figure 6c, the SOA/EC <u>ratios</u> increase $\frac{d}{dt}$ (r = 0.65) with Ox (NO <sub>2</sub> + O <sub>3</sub> ),                 |
| 751 | which is a proxy for atmospheric aging caused by photochemical reactions (Canonaco et al., 2015), and   |
| 752 | the EC-scaled concentrations showed, a weak correlation with RH (r = -0.32) (Figure 6d). These results  |
| 753 | indicate that photochemical reactions rather than aqueous phase oxidation were the major pathways for   |

NCCPC-control period (PE1: 25-27 October and PE2: 31 October-1 November 1... occurred subsequently after the NCCPC control period,... and...the average PM2.5 mass concentrations of ...n PE1 and PE2 werePM2.5 are...117.5 and 124.5 µg m-3 on PE1 and PE2... respectively. For PE1, the ... secondary inorganic ... erosols were is...the dominant contributor to the fine particle populationsource... accounting for 54.6% of PM2.5 mass (Figure 5a), and the secondary species that showed of which ... he formation of NO3" is the most important due to its ... argest contribution to PM2.5 mass was NO3-(26.8%) (Figure 5b). The mass concentration of NO3 increases ...ncreased from less than... 10 µg m<sup>-3</sup> before PE1 to greater than... 25 ug m<sup>-3</sup> during the episodePE1...(Figure 2). Further, we quantified the m...olar ratios of NO3 to NO2 (NOR = n-NO3 /(n-NO2 + n-NO3")) were calculated to investigate, which can be used to reflect...nitrogen partitioning between the particle ...articulate and gas phases (Zhang et al., 2011). As shown in Figure 6a, the mass concentration of PM2.5 enhances with the ...ncreased with NOR (r = 0.65) throughoutduring...the entire campaign, which indicates thatsuggesting ... nitrate formation was involvedplays an important role...in accumulation of...he high PM2.5 loadings. The NORs ranges ... anged from 0.32 to 0.71 during the PE1, and those values werewhich is...significantly different higher...(t-test, p < 0.01) from the ratios than those ... efore (0.23-0.29) or after PE1 (0.03-0.10)删除的内容: Furthermore, ...M, which is the second largest contributor during the PE1,...accounting ...counted for 22.9% of the PM25...ine aerosol mass. The ... widely used EC-tracer method (Lim and Turpin, 2002) was applied ... sed to estimate the primary and secondary OA (POA and SOA), .... For this,and...the lowest 10% percentile of the measured OC/EC ratios is ... as used as a measure

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roles of these chemical reactions by investigating trends in,...the ECscaled concentrations of SOA (SOA/EC). We note that normalizing the data in this way was used to ...liminates the impacts of different dilution/mixing conditions on the SOA loadings (Zheng et al., 2015). As shown in Figure 6c, the SOA/EC ratios increaseds...(r = 0.65) with the enhanced ...x (NO<sub>2</sub> + O<sub>3</sub>), which is a proxy for atmospheric aging caused by photochemical reactions (Canonaco et al., 2015), but it...nd the EC-scaled concentrations showeds...a weak correlation with RH (r = -0.32) (Figure 6d). These results indicate that photochemical reactions rather than aqueous phase oxidation may be...ere the major reaction ...athways mechanism ...[42]

ofto identify...the primary OC/EC ratio (Zheng et al., 2015). The estimated mass concentrations of POA and SOA are ...ere 17.2 and 9.7  $\mu$ g m<sup>3</sup> during the PE1, which accoun...ecounted toting for

formation...(Hallquist et al., 2009). ..., and weTo...evaluated the

删除的内容: for ... hat lead to the formation SOA

. [41]

| 896 | SOA formation, Thus, the small contribution of SOA to PM25 during the PE1 may have been due to low   | _      |
|-----|--|--------|
| 897 | photochemical activity <u>during that episode</u> .  |        |
| 898 | In contrast to the first pollution episode, OM (31.8%) was the most abundant PM2.5 species during PE2,   |        |
| 899 | and that was followed by NO <sub>3</sub> - (19.2%) (Figure 5b). The mass concentration of K <sup>+</sup> <u>increased</u> substantially.                         |        |
| 900 | from 0.1 µg m <sup>-3</sup> before, PE2 to 1.7 µg m <sup>-3</sup> during the event, indicating a strengthening influence of                                      |        |
| 901 | biomass-burning emissions. Indeed, the results of PMF show that biomass burning was the largest /  |        |
| 902 | contributor to PM <sub>2.5</sub> mass during the PE2, accounting for 36.0% of the total (Figure 5a). Furthermore, the  |        |
| 903 | 72-h back, trajectories, showed, that, air masses sampled during the PE2 either originated from or passed  |        |
| 904 | over areas with fires in Inner Mongolia and Shanxi Province (see Figure 7), and this can explain the   |        |
| 905 | apparent impacts from biomass burning emission, Moreover. SOA contributed an estimated 47.7% of the  |        |
| 906 | OM mass, and that is a strong indication that secondary organics were a major component of the pollution.  |        |
| 907 | The mass concentration of SOA was 19.0 $\mu$ g m <sup>-3</sup> during the PE2, and that was higher than in 9.7 $\mu$ g m <sup>-3</sup>                           |        |
| 908 | during the PE1. As the oxidizing conditions <u>as indicated by Ox</u> were similar for both pollution  |        |
| 909 | episodes (78.0 μg m <sup>-3</sup> in PE1 versus 86.7 μg m <sup>-3</sup> in PE2) (Figure <u>S4</u> ), the larger SOA during the PE2 <u>can</u>                    |        |
| 910 | best be explained by SOA that formed from gaseous biomass-burning emissions during transport.  |        |
| 911 | 3.4 Meteorological considerations  |        |
| 912 | Previous studies have shown that meteorological conditions play an important role in the accumulation  |        |
| 913 | of pollution in the BTH region (Bei et al., 2017). Surface, weather charts (Figure 8) were used to analyze   | $\sum$ |
| 914 | the synoptic conditions during the two pollution episodes, and, the WRF-Chem model was applied to  | $\geq$ |
| 915 | simulate the formation of PM2.5 (Figure 9). As shown in Figure <u>\$9</u> , the predicted PM2.5 and its major  |        |
| 916 | chemical <u>components</u> exhibited trends roughly similar to the observed values. The calculated MB and /  |        |
| 017 | <b>DMSE</b> for <b>DM</b> <sub>2</sub> , were $6.8$ and $22.8$ up m <sup>-3</sup> and the IOA was 0.75 indicating that the formation of <b>DM</b> <sub>2</sub> . | ////   |

<sup>913</sup> of pollution in the BTH region (Bet et al., 2012). Surface weather charts (Figure 8) were used to analyze <sup>914</sup> the synoptic conditions during the two pollution episodes, and the WRF-Chem model was applied to <sup>915</sup> simulate the formation of PM<sub>2.5</sub> (Figure 9). As shown in Figure <u>\$9</u>, the predicted PM<sub>2.5</sub> and its major <sup>916</sup> chemical <u>components</u> exhibited trends roughly similar to the observed values. The calculated MB and <sup>917</sup> RMSE for PM<sub>2.5</sub> were -6.8 and 32.8  $\mu$ g m<sup>-3</sup>, and the IOA was 0.75, indicating that the <u>formation of PM<sub>2.5</sub></u> <sup>918</sup> during the two pollution episodes was reasonably well captured by the WRF-Chem model even though <sup>919</sup> the <u>predicted</u> average <u>PM<sub>2.5</sub></u> mass concentration was lower than the observed value. The most <u>probable</u> <sup>920</sup> reason for this is that uncertainties associated with the complex meteorological fields can affect the <sup>921</sup> transport, diffusion, and removal of air pollutants in the atmosphere (Bei et al., 2012). Additionally,

- $\frac{\text{discrepancies in, the emission jnventories for }PM_{2.5} \text{ for different years may have contributed to the}}{\frac{1}{2}$
- 923 <u>differences in modelled versus measured values</u>.
- 024 On 22 October, that is, before PE1, a weak cold high-pressure system in Siberia moved southward (Figure
- 8), and the BTH region was under the influence of a cold high-pressure system; conditions such as those

16

926 tend to keep pollutants at low levels. After the passage of the low-pressure system, the BTH region was

删除的内容: in this study... Thus, the low ...mall contribution of SOA to PM25 during the PE1 may have beenbe...due to the...low photochemical activity under the pollution condition [43]

删除的内容: is ... as the most abundant PM25 species in PM2.5 ... uring the ... PE2, and that was followed by NO3 (19.2%) (Figure 5b). The mass concentration of K+ increases ...ncreased substantially, from 0.1 µg m<sup>-3</sup> before the ... PE2 to 1.7 µg m<sup>-3</sup> during the PE2...vent, indicating a strengthening influence of biomassburning emissions. Indeed, the results of PMF show that biomass burning is ... as the largest source ... ontributor to PM2.5 mass during the PE2, accountingthat accounted...for 36.0% of the total PM2.5 mass during the PE2 ... Figure 5a). Furthermore, tT...e 72-h backward...trajectory ...rajectories analysis ...howeds...that a lot of...air masses sampled during the PE2 either originated from or passed over areas withthrough the...fires counts which are located...in the ...nner Mongolia and Shanxi Province (see Figure 7), and this can explainindicating...the apparent impacts of ...rom transport of ... iomass - ... urning emissions ... Moreover, The estimated ... OA contributed an estimateds ... 47.7% of the OM mass, and that is a strong indication that reflecting...secondary formation of ... rganics were a major component of theplays an important role in aggravating ... pollution. The It should be noted that the ... ass concentration of SOA was 19.0 µg m-3 during the PE2, and that was is...higher than in 9.7 ug m<sup>-3</sup> during the PE1. AsSince...the oxidizing conditions-as indicated by Ox-were are...similar for both pollution episodes (e.g., Ox: PE1 = ...8.0 µg m<sup>-3</sup> in PE1 versusand...PE2 = ...6.7  $\mu$ g m<sup>-3</sup> in PE2) (Figure S2...4), the larger [44] 删除的内容: indicated ... hown that meteorological conditions play an important role in thehave great effects on ... accumulation of pollution in the BTH regionpollutants...(Bei et al., 20176... SurfaceThe...weather charts in ...Figure 8) were used to analyze the synoptic conditions during the two pollution episodes, and systems. Further,...the WRF-Chem model was applied to simulate the formation processes ... f PM2.5 during the two pollution episodes ... Figure 9). As shown in Figure S6...9, the predicted PM2.5 and its major chemical composition ... omponents exhibited trends roughly similar trends with ... o the observed values. The calculated MB and RMSE for PM2.5 are ... ere -6.8 and 32.8 µg m<sup>-3</sup>, and the IOA 删除的内容: As shown in Figure 8... before PE1, a weak cold highpressure system in Siberia moved southwardis moving toward south on 22 October...(Figure 8), and the BTH region is ... as under the influence ofdominated by a cold high-pressure system conditions such as those tend which is conducive ... o keepmaintaining the...pollutants at a ...ow levels. After the passage of the low-pressure system, From 24 to 25 October, ... the BTH region

| 106 | under the control of a weak high-pressure system from 24 to 25 October, and that led to a convergence of  | 册        |
|-----|---|----------|
| 107 | southernly airflow in the BTH region, Those meteorological conditions were favourable for the gradual   | a        |
| 108 | accumulation of pollutants (Figure 9). For example, as shown in Figure S4, the NOx concentrations   | в        |
| 109 | increased from 71.6 µg m <sup>-3</sup> on 22 October to 147.6 µg m <sup>-3</sup> on 25 October, and that increase provided a  | a        |
| 110 | supply of gaseous precursors that can explain the observed large loadings of aerosol nitrate  | e:       |
| 111 | On 28 October, the first day of PE1, cold air piled up in the BTH region, and the high-pressure system,   | or<br>o  |
| 112 | gradually strengthened. The weather in the BTH region at that time was characterized by cloudiness, high  | sı       |
| 113 | RH, and low surface WSs. Those conditions promoted the accumulation of pollutants (Figure 9), and the   | o<br>si  |
| 114 | WRF-Chem simulation indicated that the BTH region contributed 73.6% of PM2.5 mass during PE1. On  | THE REAL |
| 115 | 29 October, the cold high-pressure system <u>moved</u> towards the south, and northerly winds increased. Those  | th       |
| 116 | meteorological conditions presumably led to a dilution of the air pollutants, and as a result, lower PM <sub>2.5</sub>  | Т        |
| 117 | loadings were observed in the BTH region (Figure 9).  | d<br>+1  |
| 118 | From 31 October-1 November (PE2), the BTH region was again dominated by a weak high-pressure  | p        |
| 119 | system, and a convergence of northerly airflow was caused by the high-pressure system and a trailing,   | a        |
| 120 | low-pressure front. Local pollutants from the BTH region would have accumulated under those conditions,   | 7        |
| 121 | but as discussed above, the loadings of PM <sub>2.5</sub> also can be affected by the long-range transport processes.   | p        |
| 122 | Indeed, the WRF-Chem simulation indicated that the BTH region contributed 46.9% to PM <sub>2.5</sub> mass,  | w<br>p   |
| 123 | similar, to the import of fine particles, from other regions (53.1%). After 2 November, the cold high-  | Ħ        |
| 124 | pressure system began to move, southward, the winds strengthened, and the air quality gradually improved.   | B        |
| 125 | 3.5 Impacts of PM <sub>2.5</sub> emission reduction on aerosol radiative effects  | tł       |
|     |   | o<br>re  |
| 126 | The aerosol DRF refers to the change in the energy balance <u>caused by</u> the scattering and absorption of  | w        |
| 127 | radiant energy, by aerosols. As shown in Figure <u>\$10</u> , the reconstructed chemical b <sub>scat</sub> <u>correlated</u> strongly                                       | lo<br>ra |
| 128 | (r = 0.91) with the observed b <sub>scat</sub> values: the slope of the linear regression was 0.90. This result indicates   | al       |
| 129 | that the IMPROVE-based method provided a good estimation of the chemical b <sub>scat</sub> ; nonetheless, it is likely  | re       |
| 130 | that more locally-measured mass scattering efficiencies for each chemical species could reduce the  | 册        |
| 131 | underestimates of measured values. Moreover, a significant ( $p \le 0.01$ ), relationship between the measured  | 册        |
| 132 | babs and EC mass (Figure S2) validates the use of EC mass loadings in Eq. 12 to estimate the chemical   | sl       |
| 133 | $b_{abs}$ . The contributions of each measured $PM_{2.5}$ component to the chemical $b_{ext}$ were calculated based on ///  | c<br>v   |
| 134 | Eq. 8, and on average, OM was the largest contributor (43.5%) to the chemical best during the non-control   | re       |
| 135 | period <u>(Figure 10a)</u> , followed by NH <sub>4</sub> NO <sub>3</sub> (32.4%), EC (14.3%), (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> (7.6%), and fine soil (2.2%). | p<br>n   |
| 136 | In contrast, during the <u>NCCPC-</u> control period, NH4NO3 <u>was</u> the largest contributor to the chemical b <sub>ext</sub> ,  | e        |

删除的内容: led by...a weak high-pressure system from 24 to 25 October, and that led followed by a low-pressure system on the rear, and this leads...to a convergence zone ...f southernly air ...low in the BTH region, ... which provides a unfavorable...hose meteorological conditions were favourable for the gradual

accumulating ...ccumulation of pollutants gradually...(Figure 9). For example, aA... shown in Figure S42... the NOx concentrations increases ...ncreased from 71.6 µg m<sup>-3</sup> on 22 October to 147.6 µg m<sup>-3</sup> on 25 October, which ...nd that increase provides ...rovided a supplyhigh level...of gaseous precursors that can explain the observed for formation of...large loadings of aerosol nitrate subsequently .... [47]

關除的内容: During ...n 26 - ...8 October, the first day of (...E1)... he...cold air is ...iled up in the BTH region, and then ...he cold...high-pressure system is...gradually strengthened gradually... Fhe weather of ...n the BTH region at that time was characterized is dominated ...y cloudy...loudiness, high RH, and low surface WSs at his moment... Those unfavourable meteorological ...onditions promoted further aggravates ...he accumulation of pollutants in this urea ...Figure 9), and the WRF-Chem simulation ndicatedshows...that the BTH region contributes ...ontributed

3.6% of PM<sub>25</sub> mass during the...PE1. On 29 October, the cold highressure system moves ...oved towards the south, and the ...ortherly vinds increased. Those favourable ...eteorological conditions resumably ledlead...to a dilution of the atmospheric ....[48]

删除的内容: radiative ...nergy balance due to...aused by the scattering and absorption of radiant energysunlight...by aerosols. As shown in Figure S7a...10, the reconstructed chemical bseat correlates ...orrelated strongly (r = 0.91) with the observed bseat values, ... thewith a...slope of the linear regression was 0.90, ... This result indicates suggesting ...hat the IMPROVE-based method provides ...rovided a good estimation of the chemical bseat. ... nonetheless, it is likely that more locally-measured mass scattering efficiencies for each chemical species could reduce the \_\_\_\_\_\_S0\_

315 amounting to 36.7% of bext, and it was followed by OM (33.3%), EC (16.2%), (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (11.9%), and 316 fine soil (1.9%). The contributions of the various PM<sub>2.5</sub> components to bext were different compared with 317 previous studies of the pollution controls for the Olympics and APEC. For example, Li et al. (2013), reported that (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (41%) <u>had</u> the largest contribution to b<sub>ext</sub> during the Olympics, followed by 318 319 NH4NO3 (23%), OM (17%), and EC (9%); Zhou et al. (2017) found that OM (49%) was the largest contributor to bext during the APEC summit, followed by NH4NO3 (19%), (NH4)2SO4 (13%), and EC 320 321 (12%). These differences may be attributed to variable efficiencies of the controls for the specific fine 322 particle, species and to variations in RH among studies, the latter of which can influence sulfate and nitrate 323 formation.

As shown in Figure <u>S11</u>, the AODs measured with <u>a</u> sunphotometer <u>were well</u> correlated, with <u>the</u> bext 324 325 under ambient conditions; the slope (effective height) of the regression was 708 m and r = 0.78. Based on the average effective height, the estimated chemical AOD (AOD =  $708 \times b_{ext} \times 10^{-6}$ ) and SSA contributed 326 327 by each major component in PM2.5 were entered into the TUV model to calculate the DRF at the Earth's surface. The estimated average DRF ranged from -33.2 to -3.4 W m<sup>-2</sup>, with an arithmetic mean  $\pm$  standard 328 <u>deviation</u> of  $-16.5 \pm 6.7$  W m<sup>-2</sup> for the campaign, The average <u>DRF for our study</u> is similar to the -13.7 329 W m<sup>-2</sup> calculated for photosynthetically active radiation\_at Xianghe, China in autumn using the Santa 330 331 Barbara DISORT Atmospheric Radiative Transfer model (SBDART), (Xia et al., 2007a). Further 332 comparisons with previous estimates of DRFs in China at ultraviolet and visible wavelengths show that 333 the average value from our study is similar to that at the rural site of Taihu (-17.8 W m<sup>-2</sup>, Xia et al., 2007b) 334 but it was less negative than at the suburban or urban sites of Linan (-73.5 W m<sup>-2</sup>, Xu et al., 2003), Nanjing (-39.4 W m<sup>-2</sup>, Zhuang et al., 2014), or Xi'an (-100.5 W m<sup>-2</sup>, Wang et al., 2016b). The more negative DRF 335 values correspond with high aerosol loadings during those studies, 336 The estimated average DRF during the <u>NCCPC</u>-control period <u>was</u>  $-14.0 \pm 3.0$  W m<sup>-2</sup>, which <u>was</u> less 337 338 negative than the value during the non-control period (-19.3 ± 8.6 W m<sup>-2</sup>) (Figure 10b), and this is consistent with lower PM2.5 mass loadings during the NCCPC-control period. Even though the DRF 339 values were as high as -24.7 and -28.2 W m<sup>-2</sup> during PE1 and PE2, respectively, the percent reduction in 340 341 DRF during the NCCPC-control period versus the non-control period (26.3%) was smaller than the value

during the APEC<u>-control study</u> (61.3%, Zhou et al., 2017). Figure 10b also indicates that EC was responsible for the largest (most negative) DRF effects at the surface during the non-control period; the

B44 EC DRF value of -13.4 W m<sup>-2</sup> was followed by OM (-3.0 W m<sup>-2</sup>), NH<sub>4</sub>NO<sub>3</sub> (-2.2 W m<sup>-2</sup>), (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (-

0.5 W m<sup>-2</sup>), and fine soil (-0.15 W m<sup>-2</sup>). <u>The high EC DRF may have been due in part to EC particles</u>

删除的内容: is ... as followed by OM (33.3%), EC (16.2%), (NH4)2SO4 (11.9%), and fine soil (1.9%). The contributions of the various PM2.5 components to bext were Compared with previous Olympics and APEC studies, ... ifferent compared with previous studies of the pollution controls for the Olympics and APECcontributions of PM2.5 components to bext are found... For example, Li et al. (2013) have ... reported that (NH4)2SO4 (41%) has ... ad the largest contribution to bext during the Olympics, followed by NH4NO3 (23%), OM (17%), and EC (9%); Zhou et al. (2017) have ...ound that OM (49%) is ...as the largest contributor to bext during the APEC summit, followed by NH4NO3 (19%), (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (13%), and EC (12%). These differences may be attributed to variable efficiencies of the controls for the specific fine particlethe different reductions in PM2.5 chemical...species and the ... o variations in variable ... H among studies, the latter of which can influence the hygroscopic properties of . [51]

删除的内容: S8...11, the AODs measured with a sunphotometer were well correlateds well...with the b<sub>est</sub> under ambient conditions, ... with a...he slope (effective height) of the regression was 708 m and r = 0.78. Based on the average effective height, the estimated chemical AOD (AOD = 708 × b<sub>est</sub> × 10<sup>-6</sup>) and SSA contributed by each major component in PM<sub>2.5</sub> were putted ....tnered into the TUV model to calculate their...DRF at the Earth's surface. The estimated average DRF varies ...anged from -33.2 to -3.4 W m<sup>2</sup>, with an arithmetic mean ± standard deviationaverage...of -16.5 ± 6.7 W m<sup>2</sup> during ...or the entire ...ampaign, ... and t...he average DRF for our studyvalue...is similar to the previous study of ...13.7 W m<sup>2</sup> calculated for photosynthetically active radiation at Xianghe, China in autumn using which is estimated by...the Santa Barbara DISORT Atmospheric Radiative Transfer model (SBDART) in Xianghe in autumn...(Xia et al., 2007a). Further comparisonsCompared...,viif<sub>2</sub>2

删除的内容: As shown in Figure 10b, t...he estimated average DRF during the NCCPC ...CCPC-control period is ...as -14.0 ± 3.0 W m<sup>2</sup> <sup>2</sup>, which is ...as less negative than the value during the non-control period (-19.3 ± 8.6 W m<sup>2</sup>) (Figure 10b), and....tT... is should be...s consistent with attributed to the...lower PM<sub>2.5</sub> mass loadings during the NCCPC ...CCPC-control period. Even though tT...e DRF reduction ratio...alues were as high as -24.7 and -28.2 W m<sup>2</sup> during PE1 and PE2, respectively, the percent reduction in DRF (26.3%)...during the NCCPC ...CCPC-control period versus the noncontrol period (26.3%) wasis...smaller than the value during the APEC-control study period...(61.3%, Zhou et al., 2017). Furthermore, the DRF values can be as high as -24.7 and -28.2 W m<sup>2</sup> during the PE1 and PE2, respectively...igure 10b also shows ...ndicates that the DRF caused by different types of chemical components in PM<sub>2.5</sub>...C has ...as responsible for the largest (m<sub>15</sub>)

internally mixed with other materials because mixing can amplify light absorption and thereby increase
DRF. The lower aerosol loadings during the <u>NCCPC</u>-control period can explain why the DRF values for
EC, NH4NO3, OM, and fine soil in the uncontrolled period were smaller in magnitude -10.1, -1.7, -1.6,
and -0.09 W m<sup>-2</sup>, respectively, than in the non-control period; these were equivalent to decreases of 24.6,
22.7, 46.7, and 40.0%. These results suggest that the short-term mitigation measures implemented during
the NCCPC reduced the cooling effects of PM<sub>2.5</sub> at the surface in Beijing.

#### 500 4 Conclusions

We investigated the effects of pollution controls put in place during the 19th NCCPC on the chemical 501 composition of PM2.5 and aerosol radiative effects at the Earth's surface. The average mass concentration 502 503 of PM<sub>2.5</sub> during the NCCPC-control period was  $57.9 \pm 9.8 \ \mu g \ m^{-3}$ , which was 31.2% lower relative to the non-control period (84.1  $\pm$  38.8  $\mu$ g m<sup>-3</sup>). The major chemical species, that is, OM, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, EC, and 504 505 fine soil were lower by 43.1, 20.7, 20.6, 25.0, and 40.8% during the NCCPC-control period, respectively 506 compared with samples taken after the controls were removed. Comparisons for only those days with stable meteorological conditions, showed that the control versus non-control differences in PM2.5 (43.4%), 507 NO3<sup>-</sup> (25.9%), OM (68.1%), EC (40.0%), and fine soil (58.7%) were larger compared with those for all 508 days. Overall, these results indicate that control measures were effective in reducing fine particle pollution. 509 510 Results of a PMF receptor model showed that biomass burning (27.3%) was the largest contributor to 511 PM<sub>2.5</sub> mass during the non-control period, followed by secondary particle formation (19.5%), industry 512 processes (17.2%), traffic-related emissions (15.4%), mineral dust (12.3%), and coal burning (8.3%). In 513 contrast, secondary processes (37.3%) were the largest contributor to PM<sub>2.5</sub> mass during the NCCPC-514 control period, followed by biomass burning (21.6%), traffic-related emissions (14.8%), coal burning 515 (14.1%), mineral dust (6.3%), and industry processes (6.0%). The mass concentrations of PM<sub>2.5</sub> 516 contributed by traffic-related emissions, biomass burning, industry processes, and mineral dust all wer, lower, during the NCCPC-control period compared with the non-control period. However, there was no 517 518 significant difference in PM2.5 mass from coal burning between these two periods, and a larger, PM2.5 mass concentration of secondary particles was found for the NCCPC-control period. 519 There were two pollution episodes (PE1: 25-27 October and PE2: 31 October-1 November) that occurred 520 after the NCCPC, and the average PM2.5 mass concentrations during those events (117.5 µg m<sup>-3</sup> for PE1 521 and 124.5 µg m<sup>-3</sup> for PE2) were more than double those when the controls were in place, For PE1, 522

523 secondary <u>particle formation was</u> the <u>most important source for fine particles</u>, accounting for 54.6% of

删除的内容: Due to the reduction of ...erosol loadings during the NCCPC ...CCPC-control period can explain why,...the DRF values for caused by ...C, NH4NO3, OM, and fine soil in the uncontrolled period were smaller in magnitude are decreased to ...10.1, -1.7, -1.6, and -0.09 W m<sup>-2</sup>, respectively, than in the non-control period; these were equivalent to decreases with corresponding reduced proportions ...f 24.6, 22.7, 46.7, and 40.0%. These results suggest that the short-term mitigation measures implemented during the NCCPC control period would useful for alleviating ....[54]

删除的内容: In this study, w...e investigated the effectspresent an investigation of the impacts ... of pollutionshort-term emission...controls put in place during the 19th NCCPC on the changes of PM2.5 ... hemical composition of PM2.5 and aerosol radiative effects at the Earth's surface during the 19th NCCPC period... The average mass concentration of PM2.5 during the NCCPC ... CCPC-control period is ... as 57.9 ± 9.8 µg m<sup>-3</sup>, which is ... as decreased by ... 1.2% lower relative to compared with ... the non-control period (84.1 ± 38.8 µg m<sup>-3</sup>). The major chemical species, that is, of...OM, NO3, NH4+, EC, and fine soil are ... ere lowerdecreased...by 43.1, 20.7, 20.6, 25.0, and 40.8% during the NCCPC ... CCPC-control period compared with the non-control period ... respectively compared with samples taken after the controls were removed. Comparisons for only those When considering the ... ays with stable meteorological conditions, ... showed that the control versus non-control differences in reduction ratios of ... M2.5 (43.4%), NO3<sup>-</sup> (25.9%), OM (68.1%), EC (40.0%), and fine soil (58.7%) are ... ere larger compared with those for all days. Overall, The...hese results indicate that control measures have great...ere effectiveness...in preventing ...educing fine particle pollution. Results of Further, the ... PMF receptor model shows ... howed that the ...iomass burning (27.3%) is ...as the largest contributor to PM2.5 mass during the non-control period, followed by secondary inorganic aerosol...article formation (19.5%), industry processes (17.2%), traffic-related emissions (15.4%), mineral dust (12.3%), and coal burning (8.3%). In contrast, secondary inorganic aerosol...rocesses (37.3%) is ... ere the largest contributor to PM2.5 mass during the NCCPC-control period, followed by biomass burning (21.6%), traffic-related emissions (14.8%), coal burning (14.1%), mineral dust 删除的内容: are ... ere two pollution episodes (PE1: 25-27 October and PE2: 31 October-1 November 1... that occurred

and PE2: 31 October–1 November 1... that occurred subsequently ...fter the NCCPC, and control period with ...he average PM<sub>2.5</sub> mass concentrations during those events (of ...17.5 µg m<sup>-3</sup> for PE1 and 124.5 µg m<sup>-3</sup> on PE1 and...or PE2) were more than double those when the controls were in place, respectively... For the ...E1, the...secondary inorganic aerosol...article formation is ...as the most important source for fine particles, dominant source.

| 666 | PM <sub>2.5</sub> mass, Aerosol NO <sub>3</sub> <sup>-</sup> showed the largest contribution to PM <sub>2.5</sub> mass (26.8%), and the high RH during   | -  |
|-----|--|----|
| 667 | PE1 likely promoted aqueous reactions involving nitrate. In contrast, OM (31.8%) was the most abundant   | /  |
| 668 | species in PM <sub>2.5</sub> during the PE2, and the PMF <u>indicated that biomass burning was the largest source</u> /  | /  |
| 669 | accounting for 36.0% of the PM2.5 mass. The WRF-Chem simulation showed that the BTH region /   | /  |
| 670 | contributed 73.6% and 46.9% of PM <sub>2.5</sub> mass during the PE1 and PE2, respectively.  | /  |
| 671 | Calculations based on methods developed for the IMPROVE program indicated that OM was the largest  |    |
| 672 | contributor (43.5%) to the chemical $b_{ext}$ during the non-control period, followed by NH <sub>4</sub> NO <sub>3</sub> (32.4%), EC   |    |
| 673 | (14.3%), (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> (7.6%), and fine soil (2.2%). During the <u>NCCPC-control period</u> , NH <sub>4</sub> NO <sub>3</sub> accounted  |    |
| 674 | for 36.7% of bext, and that was followed by OM (33.3%), EC (16.2%), (NH4)2SO4 (11.9%), and fine soil /   |    |
| 675 | (1.9%). The TUV model showed that the estimated average DRF (-14.0 $\pm$ 3.0 W m <sup>-2</sup> ) at the surface during /   |    |
| 676 | the NCCPC <sub>3</sub> control period is 27.5% less negative than <u>in</u> the non-control period (-19.3 $\pm$ 8.6 W m <sup>-2</sup> ), and   |    |
| 677 | this is consistent with the lower PM <sub>2.5</sub> loadings during the NCCPC-control period. Furthermore, EC had  |    |
| 678 | the largest (most negative) influence on DRF at the surface during the non-control period; the EC_DRF  |    |
| 679 | value of -13.4 W m <sup>-2</sup> was followed by OM (-3.0 W m <sup>-2</sup> ), NH <sub>4</sub> NO <sub>3</sub> (-2.2 W m <sup>-2</sup> ), (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> (-0.5 W m <sup>-2</sup> ), |    |
| 680 | and fine soil (-0.15 W m <sup>-2</sup> ). The DRF values caused by EC, NH <sub>4</sub> NO <sub>3</sub> , OM, and fine soil when the controls   | // |
| 681 | were in place were lower by, -10.1, -1.7, -1.6, and -0.09 W m <sup>-2</sup> , respectively, <u>compared</u> with the non-  |    |
| 682 | control period, and the corresponding percent reductions were 24.6, 22.7, 46.7, and 40.0%. The results   |    |
| 683 | suggest that the short-term mitigation measures during the NCCPC-control period were effective in  |    |
| 684 | reducing fine particle pollution and those actions also had radiative effects sufficient to affect surface   |    |
| 685 | temperature.   |    |
|     |  |    |

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删除的内容:,... Aerosolof which the formation of ... NO3 showedis...the most important due to its...largest contribution to PM2.5 mass (26.8%). ...), and the hH...gh RH for ...uring PE1 likely promoted is in favour of ... aqueous reactions involving nitrate reaction... In contrast, OM (31.8%) is ... as the most abundant species in PM2.5 during the PE2, and the PMF shows ...ndicated that biomass burning is ... as the largest source that ... accounts ... ccounting for 36.0% of the PM25 mass. The WRF-Chem simulation shows ... howed that the BTH region contributes [57] 删除的内容: B...sed on methods developed for the IMPROVE formula...rogram indicated that,...OM is ...as the largest contributor (43.5%) to the chemical best during the non-control period, followed by NH4NO3 (32.4%). EC (14.3%). (NH4):SO4 (7.6%), and fine soil (2.2%). During the NCCPC ... CCPC-control period, NH4NO3 is the largest contributor amounting to ... ccounted for 36.7% of bext, and that wasit is ... followed by OM (33.3%), EC (16.2%), (NH4)2SO4 (11.9%), and fine soil (1.9%). The TUV model shows ...howed that the estimated average DRF (-14.0  $\pm$  3.0 W m  $^{-2})$  at the surface during the NCCPC- ... ontrol period is 27.5% less negative than in the noncontrol period (-19.3  $\pm$  8.6 W m  $^{\text{-}2}$  ), and this which . . . is consistent withattributed to...the lower PM2.5 loadings during the NCCPC- ... ontrol period. Furthermore, EC has ... ad the largest (most negative) influenceeffects...on DRF at the surface during the non-control period. ... the EC that is, a ... RF value of -13.4 W mwas,...followed by OM (-3.0 W m<sup>-2</sup>), NH4NO3 (-2.2 W m<sup>-2</sup>), (NH4)2SO4 (-0.5 W m<sup>-2</sup>), and fine soil (-0.15 W m<sup>-2</sup>). TDue to the reduction of aerosol loadings during the NCCPC control period, t...e DRF values caused by EC, NH4NO3, OM, and fine soil are ...hen the controls were in place were lower bydecreased to ... -10.1, -1.7, -1.6, and -0.09 W m-2, respectively, compared with the non-control period, and the corresponding reduced ... ercent reductions wereproportions of...24.6, 22.7, 46.7, and 40.0%. The results suggest that the shortterm mitigation measures during the NCCPC- ... ontrol period were effective in reducing fine particle pollution and those actions also had radiative would useful for alleviating the cooling ... ffects sufficient to affect of PM2.5 at the surface in Beijing

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 Table 1 Summary of PM2.5 and its major chemical components at Xianghe during the 19th

 National Congress of the Communist Party of China (NCCPC)-control and non-control periods.

| Components            | Grand average<br>(µg m <sup>-3</sup> ) | Control period<br>(µg m <sup>-3</sup> ) | Non-control period<br>(µg m <sup>-3</sup> ) | Change ratio <sup>a</sup> (%) |
|-----------------------|--|---|---|-------------------------------|
| PM <sub>2.5</sub>     | 70.0                                   | 57.9 (63.7) <sup>b</sup>                | 84.1 (112.6)                                | 31.2 (43.4)                   |
| NO <sub>3</sub> -     | 15.0                                   | 13.4 (18.0)                             | 16.9 (24.3)                                 | 20.7 (25.9)                   |
| SO4 <sup>2-</sup>     | 5.6                                    | 5.8 (7.6)                               | 5.3 (6.6)                                   | -9.4 (-15.2)                  |
| $\mathrm{NH_{4}^{+}}$ | 6.0                                    | 5.4 (8.6)                               | 6.8 (9.7)                                   | 20.6 (11.3)                   |
| Cl <sup>-</sup>       | 2.2                                    | 1.6 (1.5)                               | 2.9 (3.4)                                   | 44.8 (55.9)                   |
| Organic matter        | 18.9                                   | 14.0 (9.5)                              | 24.6 (29.8)                                 | 43.1 (68.1)                   |
| Elemental carbon      | 5.2                                    | 4.5 (4.5)                               | 6.0 (7.5)                                   | 25.0 (40.0)                   |
| Trace elements        | 1.8                                    | 1.4 (1.2)                               | 2.3 (3.0)                                   | 39.1 (60.0)                   |
| Fine soil             | 5.5                                    | 4.2 (2.6)                               | 7.1 (6.3)                                   | 40.8 (58.7)                   |

089 a([Non-control period]-[NCCPC-control period])/[Non-control period].

<sup>b</sup>Values in parentheses show the results for days with stable meteorological conditions (wind

 $_{091}$  speed < 0.4 m s<sup>-1</sup> and mixed layer height < 274 m).

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## **Figure Captions**

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094 Figure 1. Location of the Xianghe sampling site. The map was drawn using the ArcGIS.

- Figure 2. (left) Daily variations in the contributions of chemical species to PM<sub>2.5</sub> mass during the
   campaign and (right) average contributions of chemical species during the 19th National Congress
   of the Communist Party of China (NCCPC)-control and non-control periods. PE1 and PE2 represent
   two pollution episodes.
- Figure 3. Scatter plots showing the relationships between PM<sub>2.5</sub> mass concentrations and (a) wind speed
   and (b) mixed layer height.
- Figure 4. (a) Source profiles for the six sources identified using the positive matrix factorization model
   version 5.0, (b) the mass concentrations of PM<sub>2.5</sub> contributed by each source, and (c) the average
   source contribution of each source to the PM<sub>2.5</sub> mass.
- Figure 5. Average source contributions of (a) each positive matrix factorization source factor and (b)
   chemical species to the PM<sub>2.5</sub> mass during two pollution episodes (PE1 and PE2).
- Figure 6. Correlations for (a)  $PM_{2.5}$  mass concentrations versus molar ratios of NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub> (NOR), (b) NOR versus relative humidity (RH), (c) the ratio of secondary organic aerosol to elemental carbon (SOA/EC) ratios versus Ox (O<sub>3</sub> + NO<sub>2</sub>), and (d) SOA/EC versus RH for all samples from the campaign.
- Figure 7. Three-day backward in time air mass trajectories (BT) arriving at 150 m above ground every
   hour from 31 October to 1 November 2017. The orange points represent fire counts that were derived
   from Moderate Resolution Imaging Spectroradiometer observations.
- Figure 8. Surface weather charts for 08:00 (local time) over East Asia from 22 October to 2 November
   2017. The black triangles represent Xianghe.
- Figure 9. Daily average PM<sub>2.5</sub> concentrations (μg m<sup>-3</sup>) simulated for the Beijing-Tianjin-Hebei region
   and surrounding areas from 25 October to 2 November 2017. The Weather Research and Forecasting
   model coupled to chemistry (WRF-Chem) model was used for the simulation.
- Figure 10. Average values of (a) light extinction coefficients (including light scattering and absorption)
   and (b) direct radiative forcing (DRF) at the surface contributed by each PM<sub>2.5</sub> chemical composition
   during the 19th National Congress of the Communist Party of China (NCCPC)-control and non control periods.
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Figure 1.









**Figure 4.** 









142 Figure 6.



## **Figure 7.**





## 150 Figure 8.



**Figure 9.** 



**Figure 10.**