

Seasonal evaluation of tropospheric CO₂ over the Asia-Pacific region observed by the CONTRAIL commercial airliner measurements

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Abstract. Measurement of atmospheric carbon dioxide (CO₂) is indispensable for top-down estimation of surface CO₂ sources/sinks by an atmospheric transport model. Despite the growing importance of Asia in the global carbon budget, the region has been monitored for atmospheric CO₂ only sparsely and our understanding of atmospheric CO₂ variations in the region (and thereby that of the regional carbon budget) is still limited. In this study, we present climatological CO₂ distributions over the Asia-Pacific region obtained from the CONTRAIL (Comprehensive Observation Network for Trace gases by Airliner) measurements. The high-frequency in-flight CO₂ measurements over 10 years reveal a clear seasonal variation of CO₂ in the upper troposphere (UT), with a maximum occurring in April–May and a minimum in August–September. The CO₂ mole fraction in the UT north of 40° N is low and highly variable in June–August due to the arrival of air parcels with seasonally low CO₂ caused by the summertime biospheric uptake in boreal Eurasia. For August–September in particular, the UT CO₂ is noticeably low within the Asian summer monsoon anticyclone associated with the convective transport of strong biospheric CO₂ uptake signal over South Asia. During September as the anticyclone decays, a spreading of this low CO₂ area in the UT is observed in the vertical profiles of CO₂ over the Pacific Rim of continental East Asia. Simulation results identify the influence of anthropogenic and biospheric CO₂ fluxes in the seasonal evolution of the spatial CO₂ distribution over the Asia-Pacific region. It is inferred that a substantial contribution to the UT CO₂ over the northwestern Pacific comes from continental East Asian emissions in spring, but in the summer monsoon season, the prominent air mass origin switches to South Asia and/or Southeast Asia with a distinct imprint of the biospheric CO₂ uptake. The CONTRAIL CO₂ data provide useful constraints to model estimates of surface fluxes and to the evaluation of the satellite observations, in particular for the Asia-Pacific region.

1 Introduction

Actions for mitigating climate change require accurate knowledge of global budgets of greenhouse gases. It has been estimated that approximately one-half of CO₂ emissions had remained in the atmosphere during the period 1959–2010, with the rest taken up by land and ocean sinks (Ballantyne et al., 2012). With a rapidly growing economy in recent decades, Asia

has become increasingly important in the global carbon budget. China is now the world's largest CO₂ emitter, and India, Japan, and the Republic of Korea are all in the world's top 10 emitting nations (Boden et al., 2016). At the same time, Asia has gone through significant land use and land cover changes, impacting the magnitude and the spatial distribution of terrestrial carbon fluxes (e.g. Calle et al., 2016; Cervarich et al., 2016). However, there are still large uncertainties in the estimates of every component of the Asian carbon budget.

To estimate surface CO₂ fluxes, atmospheric transport models have been conventionally constrained by various surface measurement networks (e.g. Gurney et al., 2002; Patra et al., 2008). But due to the sparseness of the surface measurement sites in Asia, an increasing number of modeling studies that have focused on the Asian carbon budget (e.g. Patra et al., 2011; Niwa et al., 2012; Zhang et al., 2014; Jiang et al., 2014, 2016) in recent years started to incorporate CO₂ data taken by commercial airliners, such as CARIBIC (Civil Aircraft for the Regular Investigation of the atmosphere Based on an Instrument Container) (Brenninkmeijer et al., 2007) and CONTRAIL (Comprehensive Observation Network for TRace gases by AirLiner) (Machida et al., 2008). It has been demonstrated that by incorporating the CARIBIC and CONTRAIL data, model estimates of the Asian CO₂ fluxes have been significantly improved (Patra et al., 2011; Niwa et al., 2012; Shirai et al., 2017).

The dominant seasonally-varying atmospheric circulation regime that has an important influence on the variations of atmospheric trace gases throughout the troposphere over Asia is the monsoon circulation (e.g. Lawrence and Lelieveld, 2010). Seasonal variations in trace gases observed at ground stations, as well as in the upper troposphere (UT), have been found to be influenced by the monsoon circulation (Xiong et al., 2009; Park et al., 2009; Randel et al., 2010; Schuck et al., 2010). In this study, we focus on some of the less-well studied features of the CO₂ distribution that are associated with the Asian monsoon. In this respect, measurements from commercial airliners that fly in the UT are analyzed to provide invaluable insight into the seasonality of the vertical dynamical connection between atmospheric CO₂ and the surface flux.

The CONTRAIL project has obtained high-frequency CO₂ measurements along flight tracks, as well as vertical profiles during the ascent and descent over airports, providing a more comprehensive time-dependent three-dimensional spatial distribution of atmospheric CO₂. Analyses of seasonal variations and meridional transport of CO₂ in the free troposphere (FT; including the UT) and in the lowermost stratosphere using data from CONTRAIL have been presented by Sawa et al. (2008, 2012). Sawa et al. (2012) analyzed the CONTRAIL CO₂ data for the period 2005–2010; the number of flights used in the study exceeded 5000, giving nearly 3 million CO₂ measurement values. By the end of 2015, we have more than doubled the amount of measurement values, allowing us not only to update their results but also to explore additional spatiotemporal CO₂ variations. The present study addresses climatological CO₂ distributions over the Asia-Pacific region and the influence of Asian surface fluxes under varying seasonal atmospheric conditions, as well as to provide a baseline for future optimal use of the CONTRAIL CO₂ data. In Section 2, we describe the CONTRAIL CO₂ measurements, as well as data analysis procedures, and model simulations to aid in the interpretation of the observations. In Section 3, we evaluate seasonal distributions of CO₂ in both observation and model data. In Section 4, we discuss three interesting features found by our measurements: the summertime low CO₂ associated with the Asian summer monsoon, another zone of low CO₂ originating

in the boreal summer biospheric uptake, and the springtime high CO₂ observed in East Asia. Concluding remarks are given in Section 5.

5 2 Method

2.1 Experimental

The CONTRAIL project (<http://www.cger.go.jp/contrail/>) deploys two types of instruments onboard aircraft: Continuous CO₂ Measuring Equipment (CME) and Automatic air Sampling Equipment (ASE). We refer to Machida et al. (2008) for details, and only a brief description on the CME unit is given here. The CME measures CO₂ mole fractions
10 onboard the aircraft using a non-dispersive infrared gas analyzer (NDIR; LI-840, LI-COR Biogeosciences). As of May 2018, installation of the CME is certified for eight Boeing 777-200ER and two Boeing 777-300ER aircraft of Japan Airlines (JAL). Once installed, the CME is operated automatically using the aircraft's flight navigation data until it is unloaded from the aircraft two months later. The CME samples air from the air conditioning system on the aircraft. The flow rate and the absolute pressure of the sample air in the NDIR cell are maintained at a constant level to minimize signal drift. The measured
15 sample values are compared with two working standard gases (CO₂ in air) in high-pressure cylinders (2 L) installed inside the CME and the measurements are traceable to the NIES (National Institute for Environmental Studies)-09 CO₂ scale. Mole fraction of CO₂ in dry synthetic air in $\mu\text{mol mol}^{-1}$ is reported in ppm in this paper. The latest results from the Round Robin intercomparison experiment show that the NIES-09 CO₂ scale differs from the WMO-CO₂-X2007 scale by less than 0.1 ppm (http://www.esrl.noaa.gov/gmd/ccgg/wmorr/wmorr_results.php). The standard gases are currently introduced into the
20 NDIR cell every 14 min during the ascent/descent portion of the flight and every 62 min during the constant altitude portion of the flight (cruise) typically at 8–12 km i.e. during the ascent/descent (cruise) measurement cycle, sample air is measured for 12 (60) minutes, then standards 1 and 2 are measured for 1 minute each. These standard gas intervals were initially 10 min during ascent/descent and 20 min during cruise until December 2005; the 20-min interval was then changed to 40 min until October–November 2011. The CME data are recorded as 10-s averaged measurements during ascent/descent (~100-m
25 intervals in altitude) and at 1-min intervals during cruise (~15 km intervals horizontally). The data are rejected for 40 s after switching the gas stream and also when a standard deviation for the average period exceeds 3 ppm and when any failure in pressure/flow control is observed in the CME data record. To avoid heavy pollution around airports, the CME is not operated within 2000 ft (609.6 m) of the ground surface (this altitude was initially set to 1200 ft until March–June 2007). The overall analytical precision of the CME is estimated to be < 0.2 ppm.

30 For the 10-year period from 2005 to 2015, we collected > 7 million CO₂ data points from > 12 thousand flights all over the world. The CME measurements over the Asia-Pacific region are shown in Fig. 1. Flights from Japan to Southeast Asia (Bangkok (BKK), Singapore (SIN) and Jakarta (CGK)) provide measurements over the East China Sea, the South China Sea,

the Indochina Peninsula and the maritime continent. These measurement areas are substantially overlapped by flights to continental East Asia (Incheon (ICN), Shanghai (SHA) and Hong Kong (HKG)) and to Taipei (TPE). Flights to Delhi (DEL) provide a unique opportunity for observations over continental Asia. In addition, extensive measurements from Japan to the north, to the east and to the south are achieved from flights to Europe, to North America and Hawaii, and to Australia, respectively. The major airports where CONTRAIL CME measurements in Asia are made, along with the number of vertical profile measurements of CO₂ over each airport, are listed in Table 1. Vertical profile data with less than 10 CO₂ data points are not used in this study. As indicated in the table, the largest number of CO₂ data has been obtained over the Tokyo Narita (NRT) airport with over 7000 vertical profiles, followed by Tokyo Haneda (HND) with over 3600 profiles. Figure 1b shows the number of monthly vertical profiles taken over the airports listed in Table 1. As seen in this figure, the CME measurements have acquired over 30 vertical profiles per month (colored red; i.e. at least one or multiple ascent/descent flights every day on average) over NRT and HND. Although measurements over other airports are less regular, data from sites where a substantial number of vertical profiles have been taken and cover much of the year are included in this study.

2.2 Data Analysis

In this study, we focus on CO₂ variations in the troposphere. Observations in the UT are, however, quite often influenced by stratospheric air that has distinct characteristics in atmospheric composition (e.g. Hoor et al., 2002; Sawa et al., 2004, 2008, 2015). These data are excluded from the dataset based on potential vorticity (PV) values. PV at the location and time of each CO₂ measurement taken by CONTRAIL is calculated from the JCDAS (Onogi et al., 2007) and the JRA-55 (Kobayashi et al., 2015) reanalysis datasets (the latter being used since 2014), and any data accompanied by PV values of > 2 PVU (1 PVU = 10⁻⁶ m² s⁻¹ K kg⁻¹) are excluded. It has been found that the 2-PVU criteria is relatively robust in separating out the CO₂ measurements in the UT that are stratospherically influenced from those that are not (Sawa et al., 2008, 2015). In total, 33% of the CONTRAIL CME CO₂ data points collected at altitude > 8 km have been identified as stratospheric, although this fraction varies with altitude, latitude and season (i.e. flight routes). In this study, the UT is defined as the region at altitudes of > 8 km and with PV of < 2 PVU. Note that most commercial airliners cruise at altitudes of 9–12 km, and that this cruising altitude region is deemed in large part stratospheric at higher latitudes (e.g. 86% and 64% of the data taken at > 40° N was stratospheric in January and July, respectively), whereas it mostly resides in the UT at lower latitudes (< 10% of the data at < 30° N was stratospheric throughout the year).

To calculate climatological distributions of CO₂ in the troposphere, we apply a method similar to Sweeney et al. (2015). (1) The long-term trend of the flask-based CO₂ mole fraction data at Mauna Loa (MLO; 19.54°N, 155.58° W, 3397 m.a.s.l.), Hawaii, obtained from NOAA/ESRL/GMD (National Oceanic and Atmospheric Administration/Earth System Research Laboratory/Global Monitoring Division; available at <ftp://aftp.cmdl.noaa.gov/data/>) is calculated using a digital filtering technique (Nakazawa et al., 1997). The dataset goes to the end of 2015. In general, the long-term CO₂ trend at MLO is

representative of the large-scale clean atmosphere and thus has been used as a reference site (Sweeney et al., 2015). (2)

Deviations of individual CO₂ data points from the long-term trend (ΔCO_2) are calculated as

$$\Delta\text{CO}_2(\text{lat}, \text{lon}, \text{alt}, t) = \text{CO}_2(\text{lat}, \text{lon}, \text{alt}, t) - \text{Trend CO}_2 \text{ at MLO}(t) \quad (1)$$

where *lat*, *lon*, *alt*, *t* are latitude, longitude, altitude and time of individual CONTRAIL CME data points, respectively, and

5 *Trend CO₂ at MLO* is the long-term trend curve derived as described above. The CONTRAIL CO₂ data over 12 airports in Asia colorcoded by altitude are presented in Fig. 2, together with the MLO CO₂ data and the calculated long-term trend. In this study, we present results from the statistical analysis of the ΔCO_2 data (i.e. deviations of the individual data points from the black line in each panel of Fig. 2) for the years 2005–2015.

10 2.3 Model simulation

To better understand processes that generate the observed tropospheric distribution of CO₂ over the Asia-Pacific region, we analyze CO₂ simulated by the model NICAM-TM (Nonhydrostatic Icosahedral Atmospheric Model-based Transport Model) (Sato et al., 2014). Details of the NICAM-TM CO₂ simulation and the evaluation of its performance have been presented by Niwa et al. (2011, 2012). The atmospheric CO₂ transport is calculated using the 6-hourly meteorological data
15 nudged to the JRA-55 reanalysis. The horizontal model grid interval is about 240 km and the number of vertical model layers is 40. For CO₂ simulation, fossil fuel (FF) emissions are obtained from the CDIAC (Carbon Dioxide Information Analysis Center) database (version 2013) (Andres et al., 2013), while fire emissions are from the GFED (Global Fire Emission Database version 3.1) (van der Werf et al., 2010). A priori terrestrial biospheric (BIO) fluxes are derived from the CASA (Carnegie-Ames-Stanford Approach) model (Randerson et al., 1997). The air-sea exchange is based on the JMA
20 (Japan Meteorological Agency) ocean flux data (Iida et al., 2015). The BIO fluxes are optimized in the NICAM-TM model inversion by using the GLOBALVIEW data (<http://www.esrl.noaa.gov/gmd/ccgg/globalview/>) and the CONTRAIL data in the FT (Niwa et al., 2012). Thus, the simulated atmospheric CO₂ is obtained from the optimized fluxes. We also examine simulated CO₂ fields driven by two different emission fluxes: one by FF and the other by BIO (hereafter referred as FF CO₂ and BIO CO₂, respectively). For comparison, the simulated data are sampled at times and locations coincident with the
25 individual CONTRAIL CME data points, and processed in the same manner; stratospheric data are excluded by the model PV values; all CO₂ data points are detrended by the MLO long-term trend in the model.

3 Results

3.1 Seasonal cycle of CO₂ in the UT over the Asia-Pacific region

30 Figure 3 presents monthly averaged distributions of the UT ΔCO_2 over the Asia-Pacific region (left panels) along with histograms in the respective 5° latitude bands (right panels). In the left panels, the black arrows indicate monthly averaged

horizontal wind at 250 hPa pressure surface from the JCDAS reanalysis. We note that monthly CO₂ distributions from the CONTRAIL data previously presented by Sawa et al. (2012) were calculated as averages in 20° (longitude) × 10° (latitude) bins. In this study we were able to increase the spatial resolution to 5° × 5° since we have more data. As seen in Fig. 3, the UT ΔCO₂ undergoes a clear seasonal cycle that varies significantly with latitude and longitude.

5 In January–February, the UT ΔCO₂ is relatively uniform in space (Figs. 3a and 3c), except in regions > 35° N where the histograms show occurrences of higher ΔCO₂ values (Figs. 3b and 3d). In March, high ΔCO₂ values are apparent in regions > 30° N over northern Japan and downwind (Fig. 3e) where significantly increased frequency of high ΔCO₂ up to 6 ppm are observed (Fig. 3f). This feature becomes more pronounced in April (Fig. 3h) with expanded areas of high ΔCO₂ around Japan (Fig. 3g). By May, regions with high ΔCO₂ extend to > 20° N (Figs. 3i and 3j).

10 By June, the observed high ΔCO₂ values over Japan and the northwestern Pacific nearly disappear (Fig. 3k). A significant fraction of the low ΔCO₂ values down to –6 ppm and lower is observed at latitudes > 35° N (Fig. 3l). Due to these low CO₂ values appearing at northern latitudes, the latitudinal gradient of UT ΔCO₂ starts to reverse (i.e. northward positive to negative) after June, aided by moderately elevated CO₂ observed at 15°–30° N. In July, we begin to see very low ΔCO₂ values below –6 ppm in high latitude regions (> 40° N), particularly over boreal Eurasia (Figs. 3m and 3n). To the
15 south, only very small spatial gradients are observed.

In August, we see the CO₂ decrease broadly at all latitudes over the Asia-Pacific region, with distinctly low ΔCO₂ values forming over South Asia to Southeast Asia (Fig. 3o). The UT wind field shows anticyclonic wind circulation pattern over this region. This wind structure is coincident with the distinct low ΔCO₂ observed over the continent, indicating that the low CO₂ air mass is confined within the UT anticyclone. This clear CO₂ spatial structure associated with the anticyclone is
20 for the first time depicted by the improved spatial resolution of the CONTRAIL data since Sawa et al. (2012). It is noted that such distinct low-CO₂ structure does not appear until July, despite the fact that the anticyclonic wind pattern starts in June (Figs. 3k and 3m).

Moving into September, we see a further decrease in ΔCO₂ across the wider Asia-Pacific region (Figs. 3q and 3r). The persistent UT anticyclonic structure is still observable in both ΔCO₂ and wind fields, but the sharp boundary along the East
25 Asian coast that was seen in August (i.e. longitudinal gradient or contrast between the continent and the ocean) is now to some degree blurred (see also Fig. 7a). In October, the anticyclonic low-ΔCO₂ feature diminishes and ΔCO₂ is now relatively uniform in the observation region. Thereafter ΔCO₂ increases as a whole during the winter until the return of the spring.

3.2 Vertical gradients of CO₂ over Asian cities

30 Figure 4 presents a climatology of seasonal variations and vertical profiles of ΔCO₂ over 12 airports in Asia, as uniquely obtained by CONTRAIL observation. We consider these figures to represent large-scale (regional) features in the FT as a result of detrending and binning of the data (500-m altitude and 14-day averages from multiple-year data). At lower altitudes, relatively local features can be visible due to boundary layer (BL) processes and flight route biases near the airports,

but examining such smaller-scale phenomena in detail is beyond the scope of this study. We also calculate for each airport, altitude variation of the standard deviation (SD) of ΔCO_2 using two-weekly values obtained at each altitude bin (Fig. 5), as an extended update of Shirai et al. (2012) who addressed synoptic-scale CO_2 variability over NRT. This type of analysis is made possible due to CONTRAIL's high-frequency measurements during ascent/descent over the airports.

5 Stephens et al. (2007) compiled CO_2 data from flask-based aircraft observations at 12 sites around the world for comparison with model simulations. Flask CO_2 data at 16 sites from the NOAA/ESRL aircraft program were reported by Sweeney et al. (2015), including some of the data analyzed by Stephens et al. (2007). The measurements by Sweeney et al. (2015) have revealed climatological CO_2 variations over North America, whereas the present study focuses on Asia with more frequent in-flight observations. Since vertical profile measurements are relatively scarce over Asia (see supporting
10 online material by Stephens et al., 2007), CONTRAIL observations provide greater spatiotemporal insight into regional carbon cycling processes. One of the remarkable features found in vertical CO_2 profiles from other regions is the dramatic decrease in CO_2 toward the ground in the summer period at mid-continental sites of the northern hemisphere (see Fig. S3 of Stephens et al., 2007 and Fig. 5 of Sweeney et al., 2015). Below we show that the vertical CO_2 profiles and their seasonal changes observed by CONTRAIL in Asia are interestingly different from those reported by the previous measurements in
15 other regions.

 The seasonal CO_2 cycles with spring maxima and summer minima, typical for the northern hemispheric troposphere (Stephens et al., 2007; Sweeney et al., 2015), are to some degree obvious across regions over the 12 airports (Fig. 4) in Asia. However, a clear difference from those outside Asia is the general absence of a dramatic decrease of CO_2 near the ground in the summer. In other words, the contoured low ΔCO_2 in the summer is apparently “floating” in the FT and not connected to
20 the ground, implying that the observed vertical profiles in the summer are not dictated by overwhelming uptake underneath. This feature is observed at all airports except DEL. It is noteworthy that our measurement sites are all located in coastal areas except DEL, while previous measurements at inland sites generally showed more pronounced decreases of CO_2 near the ground in the summer (Stephens et al., 2007; Sweeney et al., 2015). In contrast, the springtime maximum ΔCO_2 extends from the ground to the UT, indicating that the surrounding or upwind regions of most airports are strong sources of CO_2
25 during that season.

 It is likely that some features shown in Fig. 4, especially in the BL, are due to the influence of nearby CO_2 emissions. Indeed, at some airports, large elevations of CO_2 values have been observed frequently in the BL. In order to reduce possible bias due to such pollution events, we did redraw Figure 4 with median ΔCO_2 values, instead of averaged values. We found no clear visual difference in the overall features discussed below. In fact, differences between average and median are mostly
30 < 1 ppm even below 2 km at all airports, except SHA and HKG where the value is ~ 1.5 ppm on yearly average. Although pollution events are observed frequently over these two airports (as described below), we consider such “airport bias” in the climatological vertical profiles to be small within the scope of this study. Influence of nearby city emissions on the CONTRAIL observations will be addressed in our future publication.

NRT and HND, Japan, are the two airports over which the largest number of CO₂ measurements has been collected by the CONTRAIL CME, giving relatively smooth climatology of ΔCO₂ (Figs. 4b and 4c). Seasonal and vertical characteristics of CO₂ over HND and NRT are quite similar to each other. In the FT, ΔCO₂ reaches its seasonal maximum in the spring (April–May) and minimum in the late summer to early autumn (September–October), with the seasonal amplitude in general decreasing with altitude. We also find substantially enhanced SD below ~2 km over HND and NRT in the winter (November–April) and summer (June–August) (Figs. 5b and 5c). The high summer variability propagates up to higher altitudes (~6 km), presumably associated with enhanced vertical mixing in the summer. The vertical gradient in CO₂ is small (< 2 ppm) during the summer period (June–September), but a clear gradient is detectable for the rest of the year. These features are commonly observed over the other two Japanese airports Nagoya (NGO) (~260 km west of Tokyo) and Fukuoka (FUK) (~880 km west-southwest of Tokyo and ~850 km east-northeast of Shanghai). Also notable is that, in September, CO₂ decreases with altitude, this feature being observed widely over these four Japanese cities. ΔCO₂ undergoes a seasonal cycle with spring maximum and summer minimum also over ICN (~ 570 km northwest of FUK) (Fig. 4a), but the minimum occurs in late August to early September, about a month earlier than observed over the aforementioned Japanese airports. The low ΔCO₂ in the BL is a characteristic that is not observed over Japan.

Along east coast of continental East Asia, measurements are obtained over three cities: SHA, HKG and TPE (Figs. 4d, 4h and 4i, respectively). ΔCO₂ increases from September until May when it reaches a seasonal maximum. The seasonal minimum in the UT appears in September–October, lagging the lower troposphere (LT) minimum by about a month. We see remarkably high ΔCO₂ values in the BL over SHA and HKG, these phenomena being particularly pronounced over SHA where we frequently observe ΔCO₂ enhancements of > 20 ppm below 1 km. The elevated ΔCO₂ in the winter season (November–April) is also characterized by high variability (Figs. 5d and 5h). Although the seasonal and vertical characteristics of CO₂ over TPE appear to be essentially similar to those over SHA and HKG, our measurements are sparse during May–October.

ΔCO₂ over DEL shows a unique seasonal variation. We note that DEL is the only inland site, whereas the all other sites presented in this study are located near the coast. Prominent is the strong CO₂ drawdown throughout the troposphere in August–September, with very little vertical gradient in the FT due to vigorous vertical mixing (Fig. 4g). Another interesting feature is the relatively low ΔCO₂ in the BL (< ~3 km) during January–March. This wintertime CO₂ stagnation over DEL was recently attributed to uptake by crops (mainly wheat) grown in the winter season in the surrounding region (Umezawa et al., 2016).

Clear seasonal CO₂ variations are also visible over BKK (Fig. 4j). The seasonal maximum happens in March–April in the LT and propagates upward. These 2 months correspond to a period of enhanced ΔCO₂ variability near the ground (Fig. 5j). Over SIN in the Southeast Asia, ΔCO₂ exhibits measureable seasonal variation (Fig. 4k). The seasonal variation in the FT over SIN is similar in phase with that observed over BKK, but with comparatively reduced magnitude. It should be also noted that, over SIN, the vertical gradient of ΔCO₂ is small throughout the year. A maximum vertical ΔCO₂ difference is only ~2 ppm observed in the boreal spring. Lastly, for CGK in tropical Asia (Fig. 4l), the observed seasonality in ΔCO₂ in

the LT is hard to characterize due to relatively large variability. But interestingly, the seasonal phases are apparently different below and above 2.5 km. Below that height, relatively high ΔCO_2 values appear during August–October, while, over the same period, ΔCO_2 in the FT decreases until the October minimum.

5 3.3 Simulated CO_2 distributions in the UT over the Asia-Pacific region

In Fig. 6, simulated (second column) monthly CO_2 distributions in the UT are compared to the observations (first column). The model outputs are sampled at location and time coincident with the observation and analyzed in the same manner as the measurements. The third and fourth column panels show the simulated FF CO_2 and BIO CO_2 , respectively. We do not present a contribution from biomass burning, since it is relatively minor (though not negligible) in evaluating the seasonal variation. Also shown are monthly CO_2 distributions at 250 hPa pressure surface for 2011 (last column). We have chosen the model year 2011 as a representative year whose seasonal CO_2 distribution patterns are not exceptional, although the simulated CO_2 exhibits interannual variation due to year-by-year changes in meteorology and CO_2 fluxes. Note that the model data in the last column are simple monthly averages at model resolutions; thus, both the UT and stratospheric model data are included and avoids sampling bias that might result from data availability as in the observations. However, similarity in the features between the model and observed results, together with the model monthly averages, attest to the fact that the CME-based CO_2 distribution is representative of the seasonal CO_2 climatology in the UT.

By comparing with the observations, we see that NICAM-TM (second column) is able to reproduce the overall general seasonal features of the observed CO_2 distribution pattern in the UT over the Asia-Pacific region. The model simulation (second column) shows seasonal CO_2 elevations centered at 20° – 40° N in April–June, depletion of CO_2 over boreal Eurasia starting from June, and a distinct decrease in CO_2 over South Asia to Southeast Asia in August–September, all of which are in agreement with the observation (first column). In Section 4 below, we discuss how these features constitute the large-scale seasonal CO_2 distributions, as depicted in the last column. One notable feature that is not well reproduced by the model is the high ΔCO_2 values observed over northern Japan in April, the cause of which is yet to be determined.

25 4 Discussion

4.1 Summertime CO_2 drawdown

In Section 3.1, we presented two major features in the CO_2 distribution in the UT over the Asia-Pacific region in the boreal summer: (1) the distinct low CO_2 values associated with the monsoon anticyclone over South Asia to Southeast Asia during August–September and (2) the highly variable low CO_2 values at northern latitudes ($> 40^\circ$ N) during June–August (Fig. 3). These summertime low- CO_2 phenomena are hereafter referred to as the “monsoon low CO_2 ” and “boreal low CO_2 ”, respectively.

4.1.1 Monsoon low CO₂

In August, a distinct circular-shaped distribution of low CO₂ over the Asian continent is prominent in both the observed and simulated Δ CO₂ (Figs. 6u and 6v). The model reproduces the observation well in terms of the location of the spatially minimum CO₂ (i.e. the low-CO₂ over South Asia and northern Southeast Asia). Although the CONTRAIL data are not available over inland China (in particular over the Tibetan Plateau), the model simulation (Fig. 6y) offers a complete picture of the UT low CO₂ distribution associated with the monsoon anticyclone. Interestingly, the anticyclonic CO₂ pattern is mainly composed of low BIO CO₂ (Fig. 6x). The region of lowered CO₂ in the monsoon anticyclone expands until September, as the confinement of the low CO₂ in the anticyclone becomes less distinct than in August as region-wide decrease of CO₂ occurs (Figs. 6z, 6aa and 6ad). The simulation indicates spreading of low BIO CO₂ to the northwestern Pacific from the anticyclone. In October, the UT CO₂ becomes nearly uniform again over the entire Asia-Pacific region (Figs. 6ae, 6af and 6ai).

As described above, the monsoon low CO₂ in the UT anticyclone is seasonally most distinct in August (Figs. 3 and 6), which is in fact coincident with the dynamical development of the summer monsoon anticyclone. Previous studies have shown that dynamical strengths of the monsoon anticyclone and convective activity reach their seasonal maxima in July–August (Randel and Park, 2006; Garny and Randel, 2013), and that, consequently, the confinement of the air mass within the UT anticyclone is seasonally strongest in August (Rauthe-Schöch et al., 2016). The CONTRAIL flights have only DEL where vertical profiles inside the monsoon low CO₂ could be collected (see Fig. 3o). The DEL measurements clearly illustrate CO₂ well-mixed in the FT with pronounced decrease in the BL (Fig. 4g). This feature is consistent with the interpretation that the neighbouring region of DEL (i.e. northwestern India) is part of the vertical conduit core that effectively transports surface flux signals upward to the upper tropospheric part of the summer monsoon anticyclone (Bergman et al., 2013). Our simulation shows that the BIO uptake in South Asia plays a dominant role in lowering the UT CO₂ (Fig. 6). In this connection, model studies have demonstrated that aircraft data within the anticyclone have a significant impact in constraining surface CO₂ fluxes in South Asia (Patra et al., 2011; Niwa et al., 2012). In August, over other Asian cities, such as SIN, BKK, HKG and SHA, the summertime Δ CO₂ values are not as low as those in the monsoon anticyclone (Fig. 3o), which means that these cities are outside the monsoon vertical conduit.

In September, the vertical profiles over DEL (i.e. the core of the monsoon low CO₂) retain vertically well-mixed low CO₂ as in August (Fig. 4g). This is indicative of strong BIO CO₂ uptake, as reflected in the optimized flux (see Fig. 5d of Niwa et al., 2012). At the same time, we see a region-wide CO₂ decrease, as the August sharp CO₂ gradient at the edge of the UT anticyclone becomes blurred (Fig. 3q). This implies a broad propagation of the monsoon low CO₂ in the UT, as the anticyclonic confinement weakens (Garny and Randel, 2013; Rauthe-Schöch et al., 2016). The expansion of the monsoon low CO₂ in the UT (Fig. 3q) is reflected in the vertical CO₂ profiles over HKG and SHA where substantial CO₂ decreases are observed in the UT in September (i.e. the vertical gradients of CO₂ over both cities increase from August to September; see

Fig. 4). A similar, but less pronounced, feature is observed further downwind over cities in Japan (FUK, NGO, NRT and HND), as it is advected by strong westerly winds to the western Pacific in October. The decreasing CO₂ with altitude in the late summer is unique over the Asia-Pacific region where outflow from the monsoon low CO₂ in the UT is a significant contributing factor. The same process involving the Asian summer monsoon anticyclone can be invoked to explain the elevated methane (CH₄) values of South Asian origin observed in the UT over the western Pacific in the summer (Umezawa et al., 2012). The high CH₄ values in the Asian summer monsoon anticyclone, its formation mechanism, and outflow from the anticyclone were recently discussed by Chandra et al. (2017).

Figure 7a compares seasonal variations of ΔCO_2 in the UT over the South Asian continent (75°–100° E) and the western Pacific Ocean (130°–150° E) at latitudes 20°–30° N. Here we define longitudinal gradient as the difference in ΔCO_2 between these two continental and oceanic areas (black line). The observed longitudinal gradient is nearly zero in July, increasing rapidly to 2.5 ppm in August, decreasing to 1.8 ppm in September, and then disappearing in October. This seasonal change is reproduced well by the NICAM-TM simulation (Fig. 7b). We also show a break down of the longitudinal gradient into BIO and FF CO₂ contributions. Clearly, BIO CO₂ is the predominant driver of the seasonal CO₂ variation in the UT over both areas and contributes to the longitudinal gradient in August–September due to the monsoon anticyclone. Over South Asia, seasonal maximum contribution of BIO CO₂ to the summertime decrease is seen in August. This effect is not observed until September over the western Pacific, a lag on the order of a month.

It is interesting to note the difference in the timing between the CO₂ drawdown and the accumulation of other pollutants inside the UT monsoon anticyclone. As clearly seen in Fig. 3m, no enhancement/depletion in CO₂ is observed in the UT monsoon anticyclone in July. This is in contrast to studies that have indicated elevation of pollutant species within the monsoon anticyclone starting in June to July (e.g. Park et al., 2009; Xiong et al., 2009; Schuck et al., 2010; Randel et al., 2010). The difference between atmospheric CO₂ and other pollutants lies in the fact that these “other pollutants” are mostly of anthropogenic origins that essentially have no seasonal cycle. The observed enhancement of these pollutants are therefore driven mostly by the anticyclone dynamics (Randel and Park, 2006; Park et al., 2009; Bergmann et al., 2013), and not by the seasonal variation in the surface emission, as in CO₂. Therefore, the absence of the anticyclonic structure in CO₂ in July is attributable to its surface flux characteristics. In July, the region’s terrestrial biosphere might be still in transition from overwhelming respiration (net source) to photosynthesis (net sink) (Niwa et al., 2012; Patra et al., 2013), since substantial precipitation arrives 1–2 months after the onset of the monsoon (i.e. prevailing southwest wind) in June (India Meteorological Department at http://imd.gov.in/pages/monsoon_main.php). From August to September, strong biospheric CO₂ uptake in South Asia takes place (Niwa et al., 2012), giving rise to the observed monsoon low CO₂ in the UT that is simulated well in our model.

4.1.2 Boreal low CO₂

In July, a prominent feature that is common in the CONTRAIL measurements and the NICAM-TM simulation (Figs. 6p and 6q) is a sharp north-south CO₂ gradient at 40°–50° N, with low values to the north and relatively uniform CO₂ to the south. In the NICAM-TM simulation, much of the BIO CO₂ uptake in boreal Eurasia propagates to the northern Pacific (Fig. 5 6s).

The boreal low CO₂ (i.e. the deeper drawdown of CO₂ and its earlier phase at higher latitudes) in the UT has been understood in the context of BIO CO₂ uptake propagating from mid to high latitudes (Tanaka et al., 1988; Nakazawa et al., 1991; Matsueda and Inoue, 1996; Matsueda et al., 2002). It is estimated that a substantial CO₂ uptake by boreal biosphere starts in June and peaks in July to early August (e.g. Randerson et al., 1999; Saeki et al., 2013; Zhang et al., 2014), therefore the occurrence of the boreal low CO₂ in the UT is consistent in phase with the atmospheric propagation of boreal BIO uptake. Sawa et al. (2012) showed that, in summer, convective uplift of surface low-CO₂ air lowers CO₂ in the FT at the NH mid to high latitudes. As clearly seen in Fig. 3, we observed the large CO₂ variability (the wide spreads of the histograms, see panels l, n and p) in the UT north of 40° N in June–August. This large CO₂ variability can be explained most likely by sporadic occurrences of convection over boreal Eurasia, as well as to a lesser extent by seasonally strongest and heterogeneous BIO CO₂ uptake; such an example from the CONTRAIL measurement flights has been presented in Fig. 5 of Sawa et al. (2012). Miyazaki et al. (2008) pointed out that the boreal low CO₂ in the summer is isolated from the lower latitudes due to slow mean meridional circulation and weak cyclonic activity during the season. This can be seen in the CONTRAIL data (Fig. 6p, see also Fig. 6 of Sawa et al. 2012) and the NICAM-TM simulation (Fig. 6q). It is also noted that the spread of the histogram over boreal Eurasia decreases in September (Fig. 3r), implying that the convective activity and BIO CO₂ uptake over the continent seasonally weakens and the UT resumes “background” CO₂ after the summer period of large fluctuations.

The extent to which the boreal low CO₂ is advected has significant impact on the observed seasonal cycles of CO₂ in the LT over East Asian cities. As described earlier, the seasonal CO₂ minimum over ICN occurs about a month earlier than over Japan at similar latitudes (Fig. 4). Based on the NICAM-TM model analysis of the ICN measurements (Niwa et al., 2017), it is found that air masses observed in the LT over ICN in the summer are influenced by surface fluxes in boreal Eurasia. As mentioned earlier, the boreal BIO CO₂ uptake peaks in July, earlier than at mid latitudes. Accordingly, larger contributions of air masses from the north in the early summer would lower atmospheric CO₂, shifting earlier the occurrence of the seasonal CO₂ minimum at mid latitudes.

30 4.2 Seasonally elevated and highly variable CO₂ in spring

We have shown in Section 3 that seasonally elevated CO₂ is observed throughout the whole troposphere over the East Asia region in April–May (Figs. 3 and 4). This elevated CO₂ is accompanied by increased spread of ΔCO₂ values in the UT

north of 30° N in March–April (Figs. 3f and 3h). As shown by the NICAM-TM simulation, seasonally high CO₂ can be explained mostly by the BIO emission fluxes, but a significant portion of the associated variability is due to enhanced synoptic-scale meteorological variability.

One of the most likely factors in meteorology is the active passage of eastward-tracking synoptic systems. In East Asia, cyclonic activity is most frequent in the spring (Chen et al., 1991; Adachi and Kimura, 2007). In association with the eastward moving springtime cyclonic activity, two major transport pathways have been suggested for pollutant outflow from the continental East Asia to different tropospheric layers over the northwestern Pacific. (1) The first mechanism involves the advection of polluted BL air behind the cyclonic cold front as it moves eastward over the East Asian continent out to the Pacific (Liu et al., 2003; Sawa et al., 2007). Consequently, periodic passages of cyclones produce episodic variations of anthropogenic trace gases in the BL across the northwestern Pacific (Liu et al., 1997; Liang et al., 2004; Sawa et al., 2007; Tohjima et al., 2010, 2014). (2) The second mechanism involves frontal uplift of air in front of a moving cold front, in what is called the warm conveyor belt. The uplift frequently takes place over South and Central China and the plume travels northeastward along the warm conveyor belt to the northwestern Pacific (Bey et al., 2001; Liu et al., 2003; Miyazaki et al., 2003; Liang et al., 2004). Convective uplift along a frontal zone over Central China and Southeast Asia also transports BL air to the FT (Miyazaki et al., 2003; Oshima et al., 2004). In addition to the above transport processes associated with cyclones, orographic forcing over South and Central China has also been observed to uplift the BL air to the FT (Liu et al., 2003). Once in the FT, the plume can be easily exported to the Pacific by the midlatitude westerly winds (Bey et al., 2001; Liu et al., 2003).

In summary, periodic and episodic cyclonic uplifting of the BL air over the continental East Asia, with strong surface CO₂ emissions could explain the seasonal maximum level of CO₂ and increased variability in the FT in the spring (Fig 3). Using the CONTRAIL data, Shirai et al. (2012) also showed that the observed synoptic-scale variability of the FT CO₂ over NRT increases in the spring, as air influenced by the continental East Asian CO₂ emissions is advected towards Japan.

5 Concluding Remarks

We have presented spatiotemporal variations of tropospheric CO₂ over the Asia-Pacific region observed uniquely by the CONTRAIL commercial airliner measurements. High-frequency in-flight CO₂ measurements by the CONTRAIL CME cover large part of the Asia-Pacific region and contribute to an enhanced characterization and understanding of the climatological distribution of CO₂ over the region. Some of the highlights in this study are summarized as follows.

In summer, the region-wide low CO₂ across the Asia-Pacific region is primarily due to the CO₂ drawdowns in two distinct regions: the monsoon low CO₂ and the boreal low CO₂. The monsoon low CO₂ reflects South Asian biospheric CO₂ uptake and its propagation in the UT in association with the development and decay of the Asian summer monsoon anticyclone. This process contributes significantly to the observed horizontal and vertical variations in CO₂ over the Asia-

Pacific region. The monsoon outflow increases in September as the anticyclone decays, delivering low CO₂ (from South Asian biosphere) to the UT over the northwestern Pacific. In contrast, the boreal low CO₂ is driven by boreal terrestrial biospheric uptake. Heterogeneous spatial distributions of the biospheric flux, combined with the sporadic convective vertical transport over the Eurasian continent, cause seasonally large variability in the UT CO₂ at north of 40° N.

5 In spring, active passages of the eastward-tracking synoptic system sweep continental East Asia and transport the region's CO₂ emissions up to the UT, elevating atmospheric CO₂ over the northwestern Pacific. These synoptic systems also increase variability in CO₂. Given the high-density CONTRAIL measurements over Asia, and in particular around Japan, the CONTRAIL data provide promising opportunity for diagnosing detailed transport processes by midlatitude cyclones of CO₂ emitted from continental East Asia.

10 The CONTRAIL commercial airliner measurements over the Asia-Pacific region can be exploited in constraining emissions of various trace gases from East Asia and South Asia, particularly in the context of the role of the Asian summer monsoon. Also, given the unique spatiotemporal measurements along high altitude cruise and vertical profiles, the CONTRAIL data can be used to evaluate emerging greenhouse gas data obtained by satellites.

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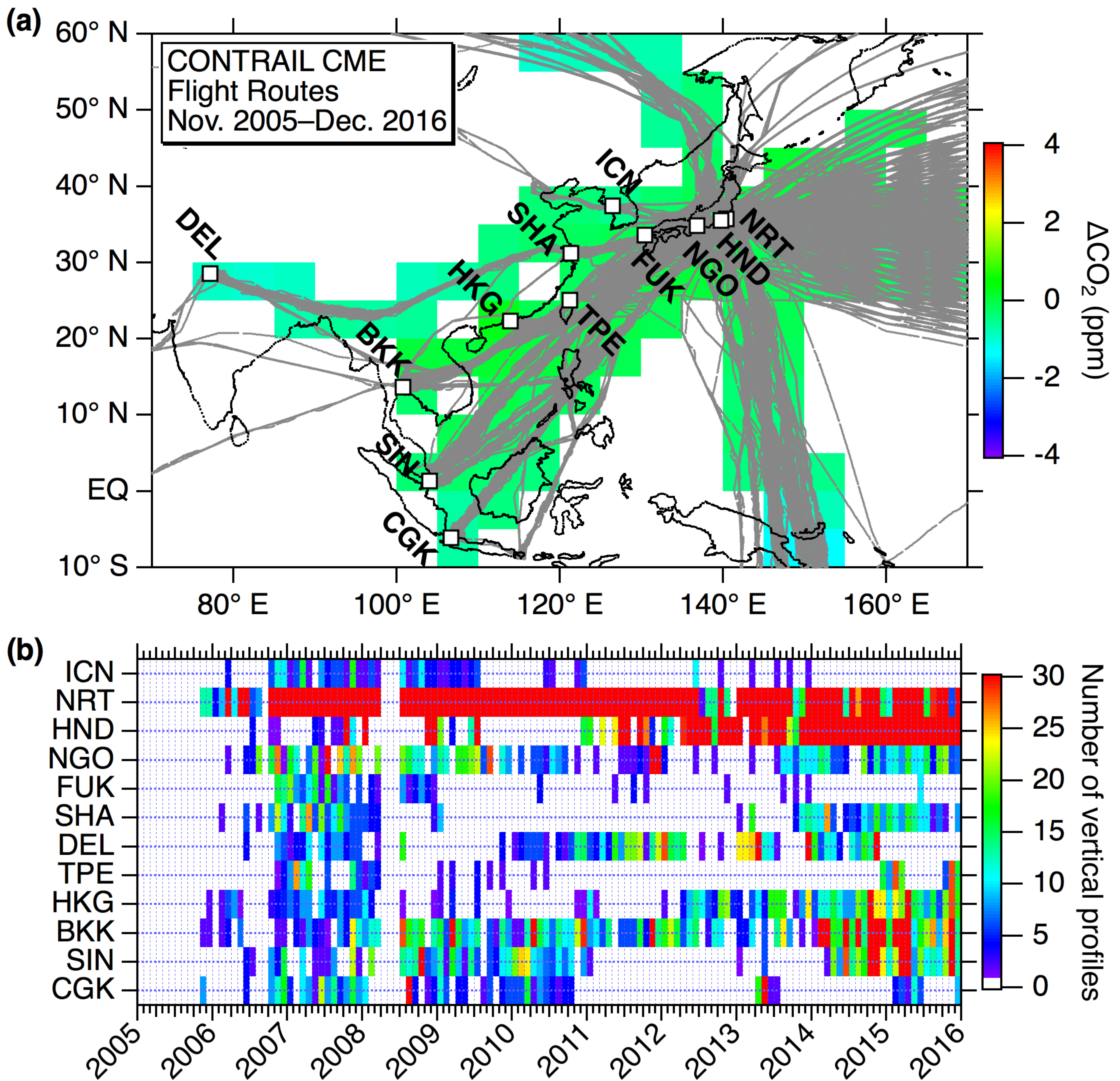
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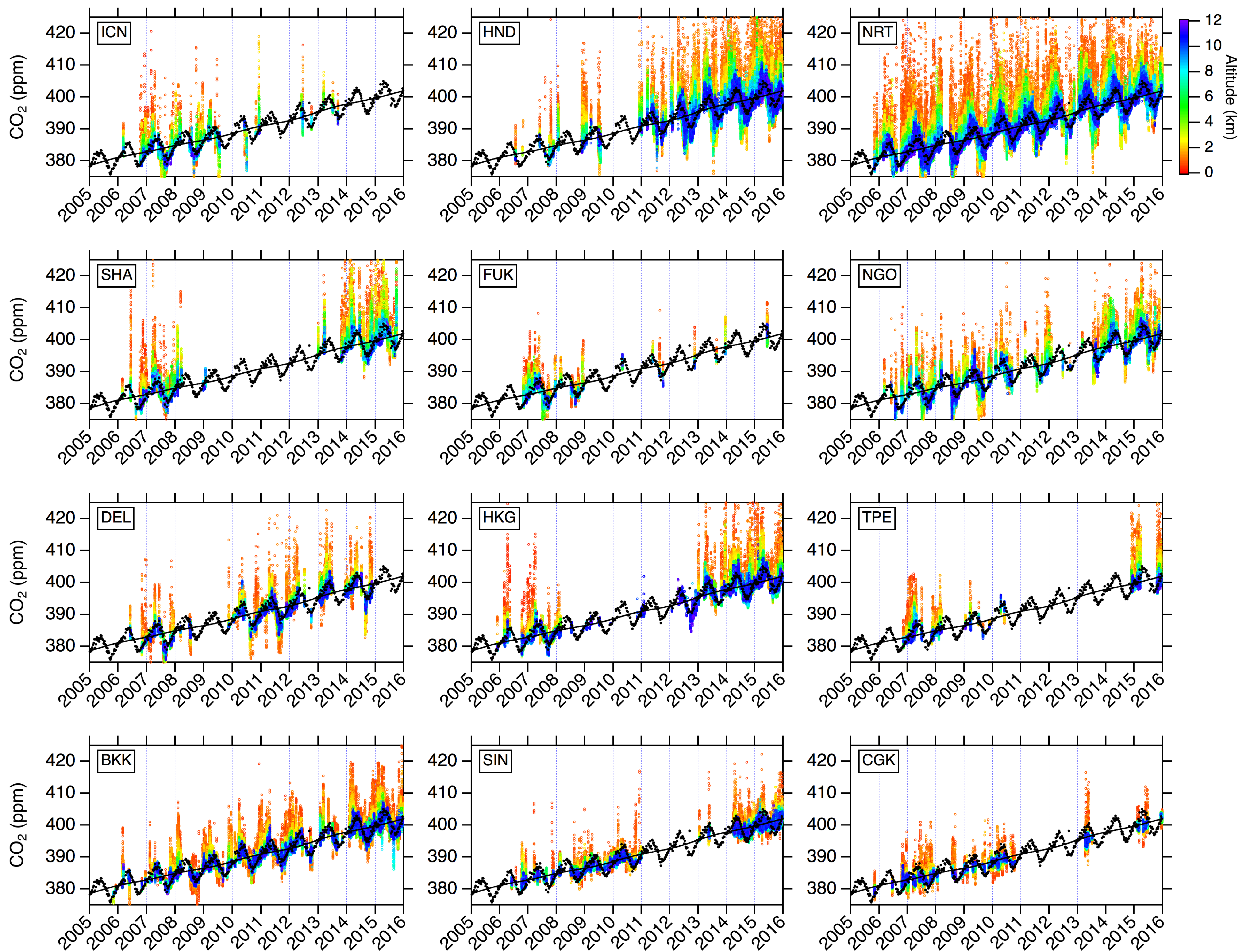
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Table 1: List of the major airports of the CONTRAIL CO₂ measurements in the Asia-Pacific region. Vertical profile data taken over neighbouring airports (listed with two airport codes) were merged for data analysis; note that the airport locations for the first airport code are shown throughout the manuscript. Numbers of vertical profiles are as of December 2015.

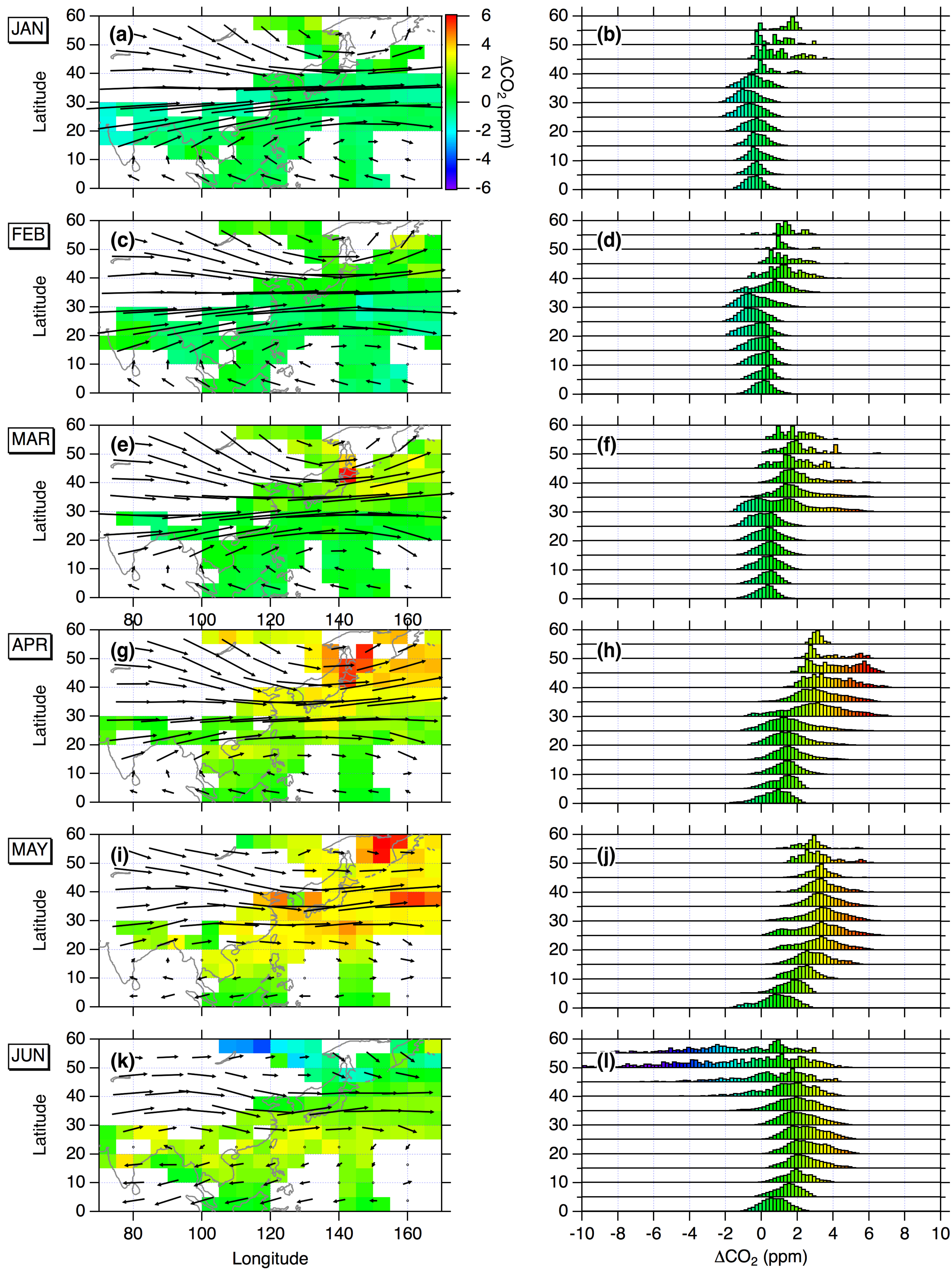
Airport code	City	Latitude	Longitude	Elevation (m)	Number of vertical profiles
ICN/GMP	Incheon	37.469	126.450	7	206
NRT	Narita	35.764	140.392	43	7017
HND	Haneda	35.553	139.781	6	3656
NGO	Nagoya	34.858	136.805	5	911
FUK	Fukuoka	33.584	130.452	9	193
SHA/PVG	Shanghai	31.198	121.339	3	456
DEL	Delhi	28.566	77.103	237	715
TPE/TSA	Taipei	25.078	121.233	32	243
HKG	Hong Kong	22.309	113.915	6	662
BKK	Bangkok	13.681	100.747	2	1445
SIN	Singapore	1.350	103.994	7	838
CGK	Jakarta	-6.126	106.656	10	407



5 Figure 1: (a) A map showing flight tracks of the aircraft carrying the CME during 2005–2015. Airports highlighted in this study are shown by open squares with airport codes (Table 1). The coloured bins are climatological annual average ΔCO_2 values in the UT; note that the colour scale is different from that in Fig. 3 and the annual averages were calculated only for bins where the monthly values are available for the all months. (b) Number of monthly vertical profiles taken over each airport. The airports are ordered north to south according to latitude (top to bottom).



5 **Figure 2: Temporal variations of CO₂ over various airports in Asia. See Table 1 and Fig. 1 for the airport codes. Individual CO₂ data points are colored by altitude. The CO₂ data over the two Shanghai airports (SHA and PVG) are merged and designated as SHA, and same for ICN (ICN and GMP) and TPE (TPE and TSA). Also shown in each panel for comparison are the flask-based CO₂ data (black circles) and the long-term trend (black line) at the Mauna Loa Observatory (MLO; 19.54° N, 155.58° W, 3397 m above sea level; data obtained from the NOAA/ESRL/GMD).**



5 **Figure 3: (Left) Monthly climatological CO_2 mole fraction (ΔCO_2) in the UT over the Asia-Pacific region. The CO_2 data taken at altitudes > 8 km are averaged in each $5^\circ \times 5^\circ$ bin. The CO_2 data influenced by stratospheric air ($\text{PV} > 2$ PVU) were excluded. Also shown are monthly averaged wind vectors at 250 hPa from the JCDAS/JRA-55 reanalysis data (averaged for the observation years). (Right) Histograms of ΔCO_2 in each 5° latitude bands colorcoded in the same manner as in the left panels. Every histogram is normalized by maximum frequency.**

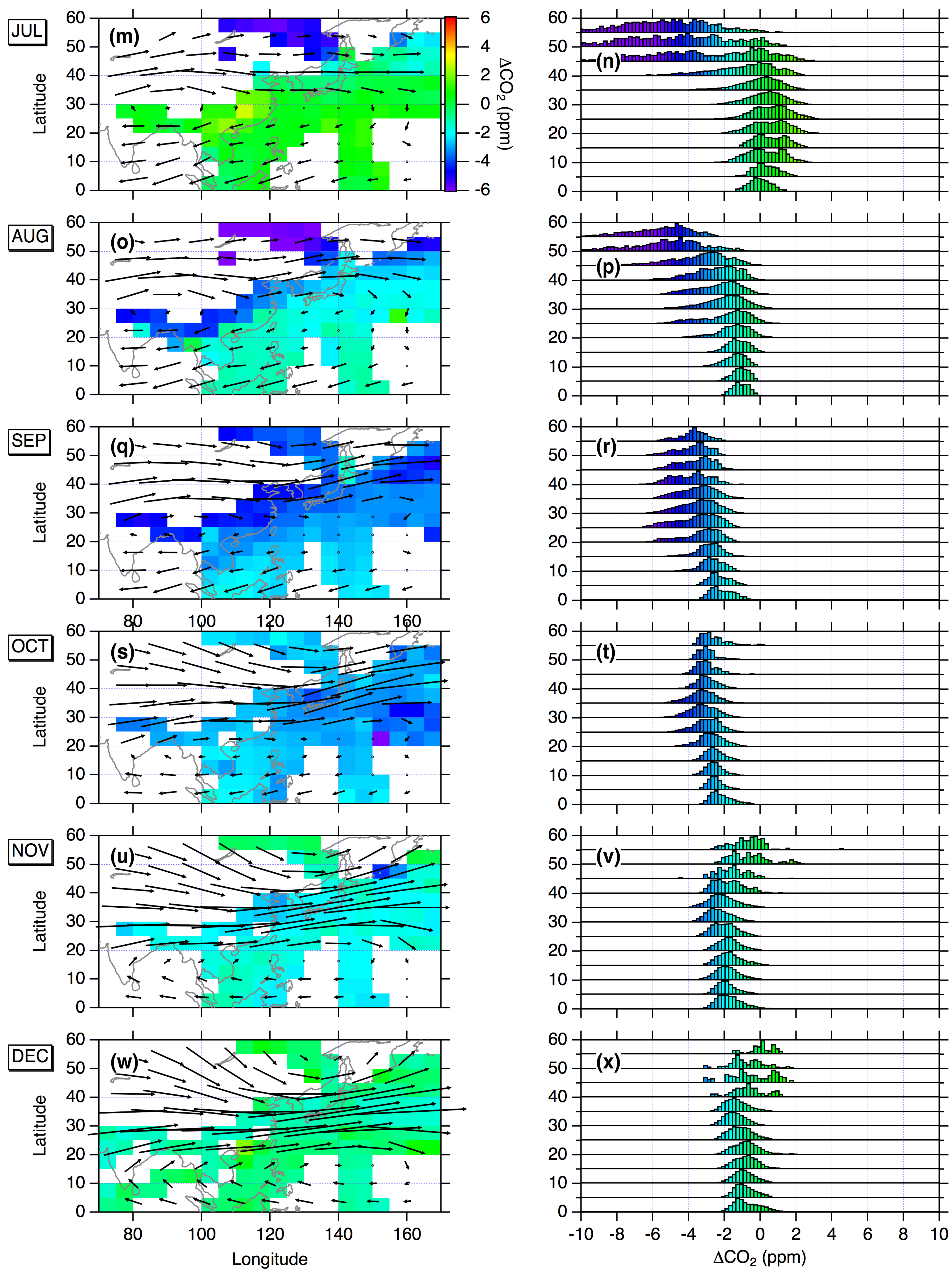


Figure 3: (continued).

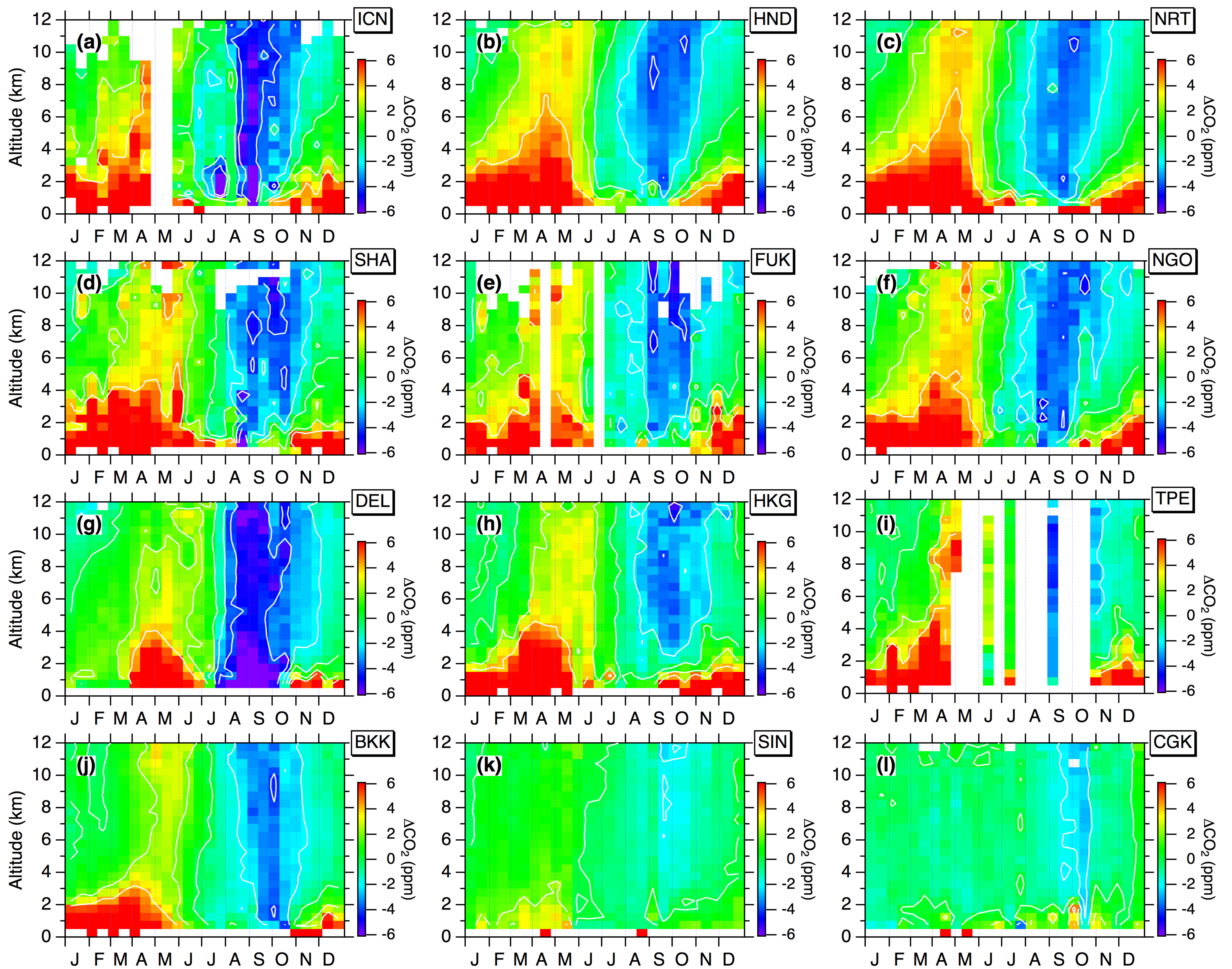


Figure 4: Seasonal variations of vertical profiles of ΔCO_2 over (a) ICN, (b) HND, (c) NRT, (d) SHA, (e) FUK, (f) NGO, (g) DEL, (h) HKG, (i) TPE, (j) BKK, (k) SIN, and (l) CGK. The airport codes are listed in Table 1. The CO_2 data over some airports are merged, as described in Fig. 2. Vertical and horizontal bins are 500-m and 14-day intervals, respectively. White lines indicate isolines of ΔCO_2 .

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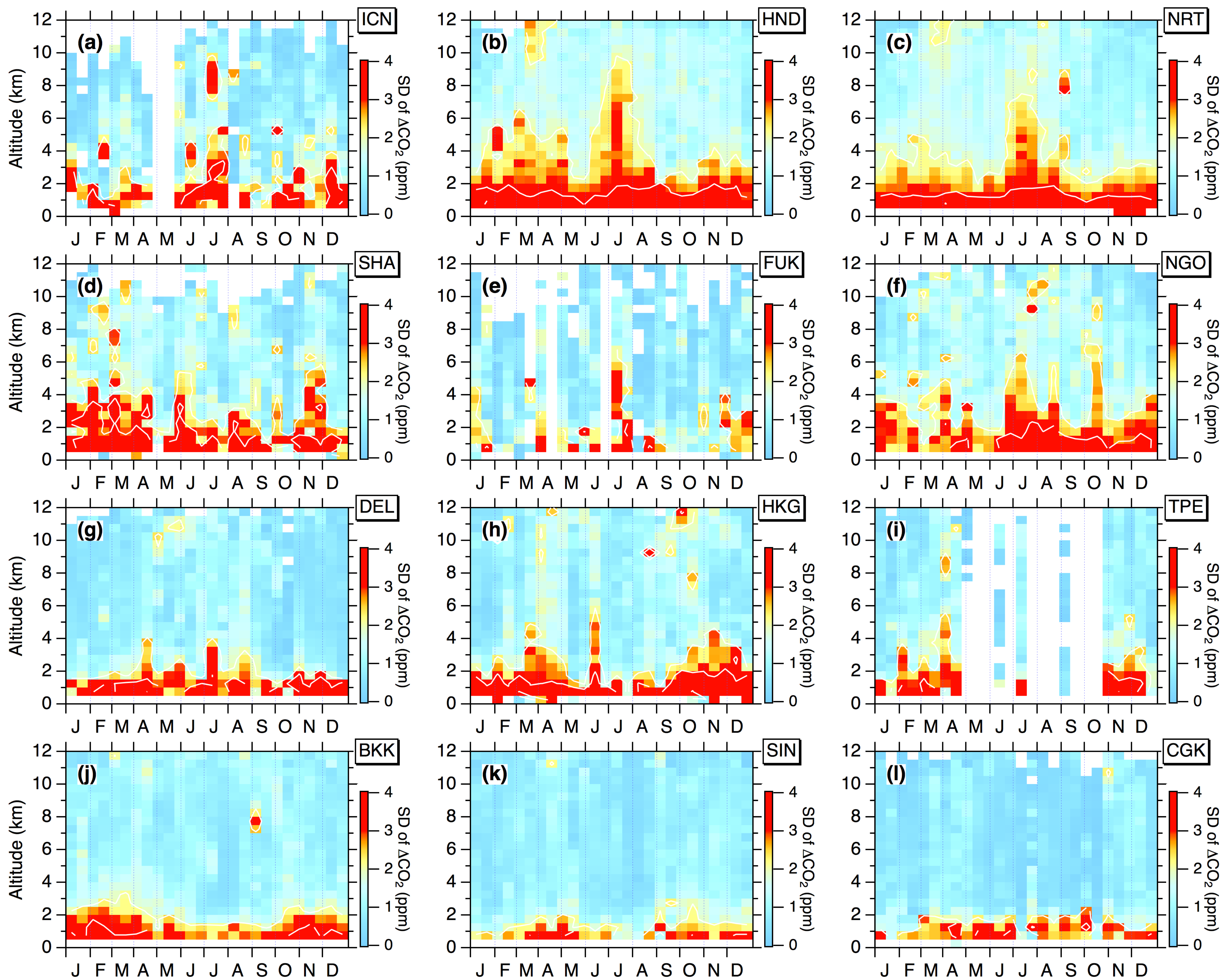
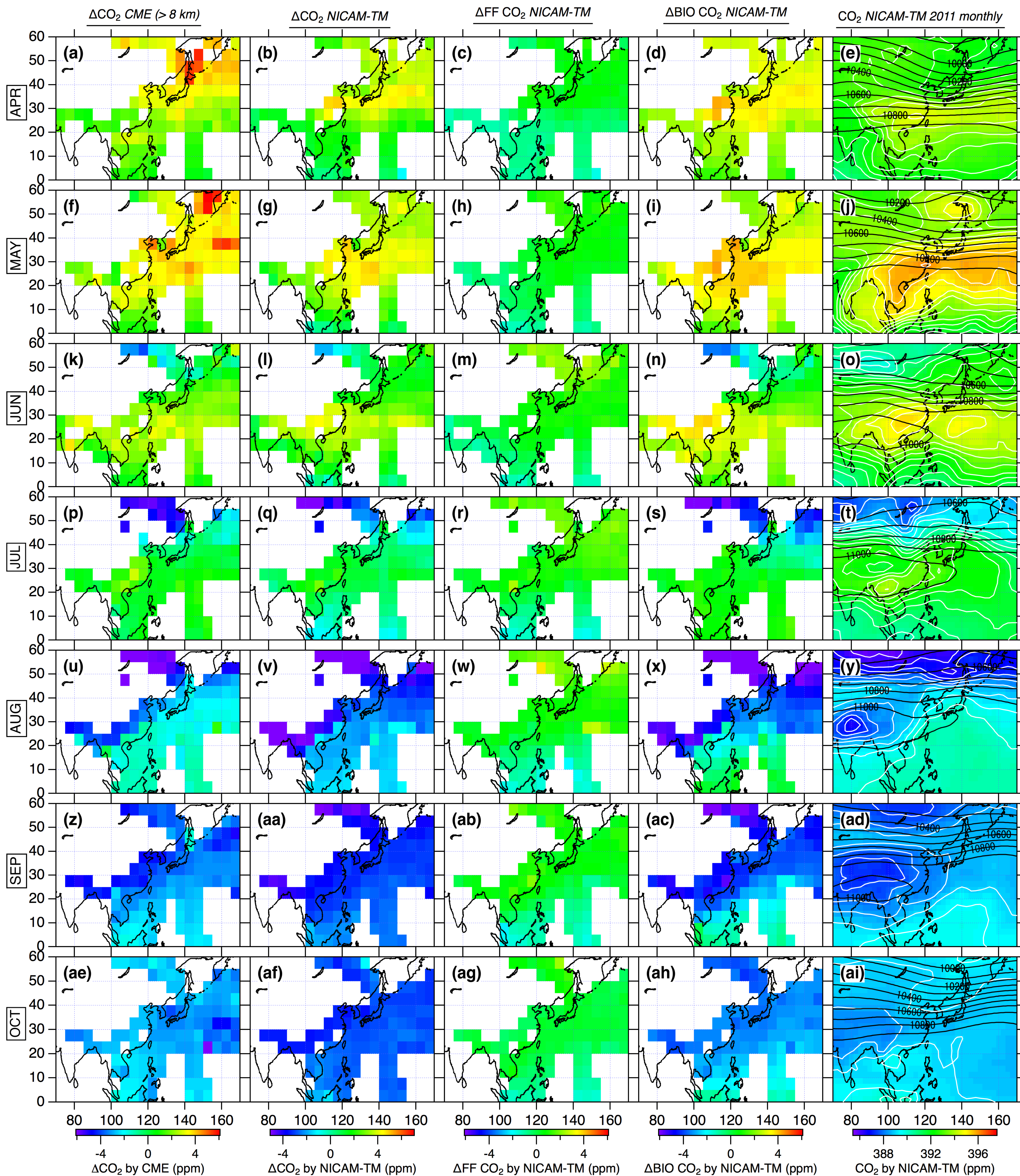


Figure 5: Same as in Fig. 4 but for standard deviations of ΔCO_2 in each bin. The standard deviation is calculated only when the bin has > 5 data points.



5 **Figure 6: Comparison of the observed and simulated distributions of CO₂ in the UT. Column 1 shows ΔCO_2 observed by CONTRAIL CME. Columns 2–4 show ΔCO_2 , $\Delta\text{FF CO}_2$, and $\Delta\text{BIO CO}_2$ simulated by NICAM-TM. The CONTRAIL data are simply averaged for each grid, and the NICAM-TM data are sampled at locations and times corresponding to the observation data and analyzed in the same manner. Also shown are the simulated monthly distributions of CO₂ at 250 hPa pressure surface in 2011 (column 5). Solid lines in white and black in the column 5 indicate CO₂ isolines and geopotential height at 250 hPa pressure surface, respectively.**

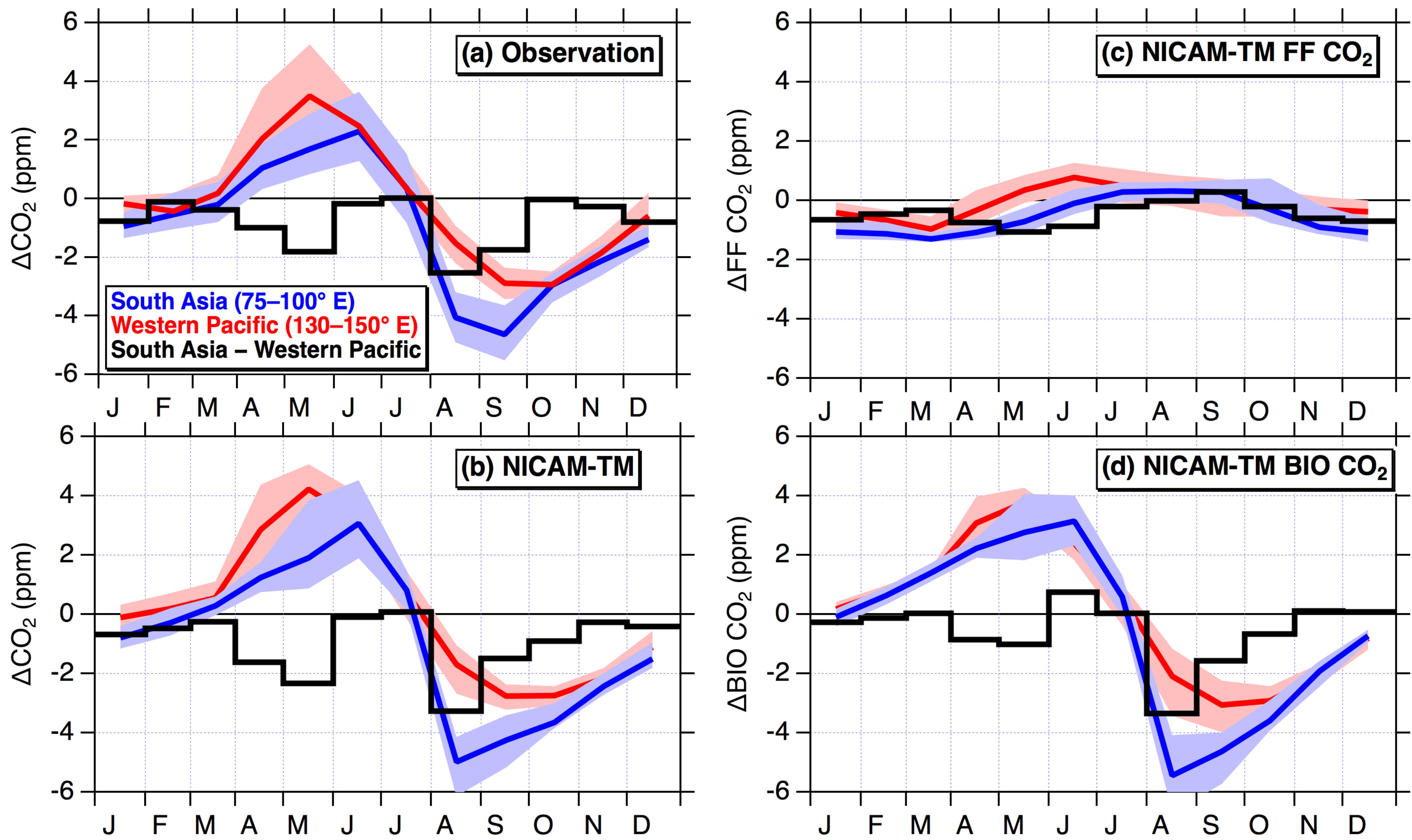


Figure 7. (a) Seasonal variations of ΔCO_2 in the UT over South Asia (blue, 20°–30° N, 75°–100° E) and Western Pacific (red, 20°–30° N, 130°–150° E). Lines and shades are monthly medians and 25 and 75 percentiles, respectively. Black solid line shows monthly difference of ΔCO_2 between the two areas (South Asia – Western Pacific i.e. longitudinal gradient). (b) Same as in (a), but for the NICAM-TM simulated data. (c) Same as in (b), but for FF CO₂ in the model. (d) Same as in (b), but for BIO CO₂ in the model.

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