Interactive comment on "The Contributions to the Explosive Growth of PM2.5 Mass due to Aerosols-Radiation Feedback and Further Decrease in Turbulent Diffusion during a Red-alert Heavy Haze in JING-JIN-JI in China" by Hong Wang et al.

Anonymous Referee #1

Received and published: 22 July 2018

The understanding of atmospheric boundary layer and its impact on air quality is an important issue in atmospheric environment study. Focusing this scientific issue, this paper investigated the effect of aerosols-radiation feedback on turbulent diffusion during a Red-alert Heavy Haze in JING-JIN-JI in China, by employing the atmospheric chemical model GRPAES_CUACE with three simulation experiments. It is interesting to investigate the impacts of aerosols-radiation feedback on PM2.5 changes between the climbing stage and explosive growth stage. This study results illustrated that the PBL scheme in current atmospheric chemical models is probably insufficient for describing the extremely stable atmosphere in explosive growth of PM2.5 during severe haze events in JING-JIN-JI in China, which may involve in two important reasons: One is the absence of online calculation of AF, another is the deficient description of the extreme weak turbulent diffusion in the PBL scheme in the atmospheric chemical model. This manuscript presenting the interesting results could improve our understanding on environment changes and fall within the scope of ACP. I suggest the minor revisions before it is published as follows:

Response:

We would like to heartily thank the reviewer for his serious review on our work and the valuable comments. We carefully considered comments of the reviewer and revised the paper accordingly, one by one of the following:

Comment 1 The paper needs to give the model settings of GRPAES_CUACE, such as physical and chemical parameterizations.

Response:

The model settings including dynamic frame, physical and chemical parameterizations is summarized in Table 1 and the related text is rewritten in line 92-128 in section 2.1 in the revised manuscript.

Comment 2. It needs to add meteorological factors evaluation, especially wind speed, because wind speed has a deeply influence on diffusion of $PM_{2.5}$, and temperature inversion in PBL. **Response:**

Response.

Surface and PBL wind speed and temperature evaluation and study (figure 3 and the related text in line 227-257) are added in the revised manuscript; AOD (Table 4) and SSA (Table 5)

evaluation (text in line 258-269) are also added in the revised manuscript.

Comment 3. It could be better to add turbulent diffusion coefficients calculated by observation data if possible.

Response:

Yes, it is better if the turbulent diffusion coefficients based on observation data is calculated and compared with simulated ones. This need the daytime observation data of vertical profiles of PBL meteorology including wind, potential temperature, and PBL height ect. Unfortunately, the sounding meteorology data in the study area are at 00 UTC(early morning in local time) and 12 UTC (dusk in local time), so it is very difficult to add turbulent diffusion coefficients calculated by observation data at present.

Comment 4. Please compare the downward long radiation in three experiments to figure out the contribution of aerosols.

Response:

Figure 5, and the related discussion section "3.3 The downward solar radiation flux change by aerosols and DTD experiment" in line 291-312 are added to discuss the downward shortwave radiation fluxes due to AR and DTD in the revised manuscript according to the reviewer's comment.

Anonymous Referee #3 Received and published: 1 August 2018

This paper deals with the effect of "aerosols-radiation feedback" and "decrease in turbulent diffusion" to "the Explosive growth of PM2.5 mass" in Jing-Jin-Ji area, northern China. Numerical experiments are carried out for three runs, the first run absents "Aerosols-Radiation Feedback", the second run is with normal Aerosols-Radiation Feedback, and the third run is with reduced Turbulent Diffusion in addition. A one week haze event is modeled. Results of these runs, one by one, show improvement to reproduce the observed results.

Response:

We would like to heartily thank the reviewer for his serious review on our work and the valuable comments. We carefully considered comments of the reviewer and revised the paper accordingly, one by one of the following:

My major concern and suggestion:

Comment 1) This paper proposes a sensitive test on factors that influence the model result. But in the paper, results are directly presented, no middle results or any more supporting materials. Therefore, the conclusions are not convinced.

Response:

Thank the reviewer for this important comment. According to this comment, we revised the manuscript in following aspects:

Firstly, section 2.1 (line 90-132) is rewritten in the revised paper. The model description including dynamic, physical and some chemical processes is given in section 2.1. The parameterizing schemes and chemical mechanism used in this study and the related references are summarized in new Table 1 in the revised paper; An brief introduction of two-way coupling and

the related references (line 113-119) and the calculation method of diffusion mixing in PBL scheme and the related references (line 124-132) are also added in the revised manuscript. Previous studies related with chemical process of the model (Gong and Zhang, 2007; Gong et al., 2012; Wang et al., 2010, 2015a,; Zhou et al., 2008, 2012, 2016) introduction in section 2.1 are added in the revised paper.

Secondly, Using hourly meteorology data from over 500 surface automatic observation stations of CMA, surface wind speed and temperature of Beijing, Xingtai and average in Jing-Jin-Ji by EXP1, EXP2 and EXP3 are evaluated. The modeled PBL wind speed and temperature are also studied (figure 3, the related discussion in line 230-260) and AERONET and CARSNET observed AOD (Table 4) and SSA (Table 5) are added to evaluated the model results (line 261-272). Study of downward shortwave fluxes due to AF and DTD (figure 5, 294-315) is also added to support the conclusions in the revised manuscript.

Comment 2) Reducing DC may lead the meteorological model running unrealistically. Details about the change of wind field etc. need to be displayed.

Response:

In our model, The DC is calculated in PBL scheme and it is passed into the chemical module (as DC_chem) to calculate the turbulence diffusion process of chemical tracers including gas and particles matter (PM). In our sensitive test, only DC_chem is reduced by 80% in the chemical module as a local variables but this change of DC was not changed in dynamic and other physics processed outside the CAUCE module. So, the turbulence diffusion process in PBL and wind in dynamic frame were not changed by the DTD sensitive experiment. The text line 162-178 is rewritten to explain this and the explanation of the three experiments. The explanation of this experiments set in table 2 is also corrected in the revised manuscript.

PBL meteorology background (figure 2) and wind and temperature changing (figure 3) are added to introduce and validate the meteorology condition of the haze episode in the revised manuscript, which also proved that the wind and temperature were not impacted by DTD.

Comment 3) Need description: synoptic background/weather condition for this haze event. **Response:**

Figure 2 is added in the revised manuscript to show the geopotential height, wind and temperature at 500, 700, 850, 900, 950, 1000hPa to study the synoptic background and weather condition for this haze event. The added related text in line 206-223 in the revised manuscript.

Comment 4) Details of the model are needed, particularly the parts of lower atmosphere, levels, PBL scheme, surface model, radiation, aerosol absorption, etc.

Response:

The brief introduction of model dynamic, information of horizontal and vertical coordinates, physical package including PBL scheme, surface model, radiation etc. and chemical schemes, and the mechanism of aerosols direct and indirect mechanism are introduced in section 2.1 (line 89-160) and are also summarized in new Table 1 in the revised manuscript.

The introduction of two-way coupling including aerosols mixing method is also added in line 107-117 and the related references are also added in the revised manuscript.

Modeled aerosols optical depth (AOD) and single scattering albedo (SSA) representing the

aerosol absorption are evaluated in the revised manuscript (table 4 and the related discussion) **Comment 5)** PBL is mentioned as a crucial part in the paper, but no information about PBL is illustrated.

Response:

The introduction of DC calculation and PBL scheme and related references are added in line 118-126 in the revised manuscript.

The PBL meteorology background at 900, 950, 1000 hPa (figure 2) is also added in the revised manuscript. Figure 3 including PBL wind and temperature study are added in the revised manuscript. Figure 7 also showed the vertical structure of observation and modeled temperature, which included the information of PBL inversion.

Other points:

Comment 1) "Jing-Jin-Ji", not to be "JING-Jin-Ji" etc. different forms.

Response:

"JING-JIN-JI" and "JING-Jin_Ji" are all replaced by "Jing-Jin-Ji" in the revised manuscript.

Comment 2) Too many abbreviates, and their combination, hard to read the text; There are only 3 experiment runs, number them as Run $1 \square 3$, may be clearer.

Response:

"EXP1, EXP2 and EXP3" are used to replace the "EXP_bk, EXP_td_af, and EXP_td20_af" in the text, table and figures in the revised manuscript

Comment 3) Page 4, line 70-72:"One is that aerosols radiation feedback (AF) is not calculated online in the model run. AF can restrain turbulence by cooling surface and PBL while heating the atmosphere above it", Result of AF is mostly determined by absorbing aerosols, and by their vertical distribution.

Response:

This description is not accurate enough and it is revised as "AF may restrain turbulence by cooling surface and PBL while heating the atmosphere above it when aerosols with certain absorption characteristics concentrated in PBL" in the manuscript.

Comment 4) Page 4, line 77: "A Red-alert Heavy Haze occurred on 15 to 17 December", 15-23 Dec.

Response:

"15-17 Dec" is corrected as "15 to 23" in this line.

Comment 5) Page 4, Section 2.1, the model GRAPES_CUACE need to be introduced more detail, as well the setup of the simulations.

Response:

The detailed introduction of model GRAPES_CUACE is added in the section 2.1 including the related test and an added Table 1 including model dynamic frame and physical package in the revised manuscript; Line 148-159 in section 2.2 is rewritten to introduction the emission data in the revised manuscript and table 3 is added to list all VOCs emission used.

Section 2.4 Experiments Design (line 179-197) and table 3 are rewritten to introduce the setup of the simulations.

Comment 6) Page 5, Section 2.2, just lists the air pollutants, not relevant information crucial to

this paper is given.

Response:

Line 148-159 in section 2.2 is rewritten to introduction the emission data in the revised manuscript and table 3 is added to list all VOCs emission used.

Comment 7) Page 5-6, Section 2.4, too simple in description. Table1, repeated, but still too simple.

Response:

Section 2.4 (line 179-197) and table 2 are rewritten to display the setup of the simulations in the revised manuscript.

Comment 8) Page6, line131:"which is named as the explosive growth (EG)", this is the first time mentions "explosive growth". Nothing is known what is the cause of EG: chemistry, transport, or accumulation of air pollutant?

Response:

From 00UTC on 17 to 00UTC 20 21 December, PM2.5 increased sharply and most of the study area reached the PM2.5 peaks of 400-600 ug/m3 rapidly during this period, which is named as the explosive growth (EG) stage (EGS) of PM2.5.

The cause of EG involves in several aspects such as meteorology, aerosols radiation feedback, chemistry, and transport etc. In this work, diffusion process of meteorology impacts and aerosols feedbacks were mainly discussed and regarded to contribute greatly to the PM2.5 EG. This is the main aim in section 3. The paragraph in line199-205 in section 3 is revised to explain this.

Comment 9) Page 6, Section 3.1, only PM2.5 is investigated. What about its source: primary or secondary? What about other pollutants? And their effect on PM2.5 concentration? **Response:**

Yes, there are many elements affecting PM2.5, such as emission, primary or secondary, gases and so on, but our study title is "The Contributions to the Explosive Growth of PM2.5 Mass......". If we focus on the reason for the explosive growth of PM2.5, the atmosphere stable condition (turbulence diffusion) and the key elements what may result in distinct changes of it (AF) are the most important because the effects of primary or secondary aerosols and gas on PM2.5 concentration does not changes so greatly from clear day to PM2.5 EG stage during severe episode.

Comment 10) Page 7, Section 3.2, directly presents result of temperature profile, no logic description about the relation of AF and inversion strengthening. No qualitative and quantitative assessment on question if the result is right or correct.

Response:

Figure 6 in the revised manuscript is the vertical profiles of temperature changing due to aerosols feedback and it offered the qualitative and quantitative cause of the results of temperature inversion changing in Figure 7, line 323-337 is the explanation how the radiative cooling/heating rates due to aerosols resulted in the temperature inversion in figure 7 and offered quantitative temperature changes during CS and EG stage. Figure 7 displayed the observational and modeled temperature profiles and showed their obvious corrections by AF comparing with observation.

Anyway, we guess the reviewer want to know how the vertical profiles of temperature changing due to aerosols (figure 6) is calculated, so the detailed description of model introduction in section 2.1 is added to explain how the DT/dt_aero is calculated and impacts on model thermodynamics and then dynamic and physics.

Comment 11) Page 8, Section 3.3, the text is very difficult to read through since too many abbreviates.

Response:

The abbreviates "EGS, DC_bk, DC_td_af, DC_td20_af, PM2.5_bk, PM2.5_td_af, PM2.5_td20_af" are deleted and only the abbreviates "EXP1, EXP2, and EXP3" are remained in the revised manuscript.

Comment 12) Page 9, line 220-221: "significant decrease in turbulent diffusion on PM2.5 during EGS and DC_td_af was as low as 14m2/s on 20 December, which decreased about 50% comparing with DC_bk.", this sentence need to clarify. And "DC was 14m2/s", in where? What level? What time? Day or night?

Response:

This paragraph is corrected as "PBL DC at noon of EXP2 was as low as 14m2/s on 20 December, which decreased about 50% comparing with that of EXP1. PBL DC at noon of EXP2 on haze day was only about 20% of that on clear day. The PBL DC at noon....."

Comment 13) Page 10, line 245: "...we name it as 'turbulent intermittent'", What do you mean the 'turbulent intermittent'? Does 'turbulent intermittent' really mean lower diffusion coefficient or mixing rate?

Response:

When the turbulence diffusion processes is extreme weak and near zero turbulence, it is name "turbulent intermittent", in this study, when DC value is less than 4 to 6 m2/s, we consider it is near zero the turbulence diffusion named it as "turbulent intermittent".

A brief explanation is added in this line in the revised manuscript.

Comment 14) Page 10, line 253-254: "for the deficient description of extreme weak turbulent diffusion by PBL scheme in atmospheric models, are studied by analysing the changes of...", nothing about the PBL scheme is presented in this paper.

Response:

The introduction of DC calculation and PBL scheme and related references are added in line 124-132 in the revised manuscript.

Comment 15) in Table 1, "retaining 20% (reducing 80%) of normal turbulent diffusion", How to do this? Reducing the value at all the model domain?

Response:

The 80% reduction in turbulent diffusion coefficient (DC) is implemented in the chemical tracers (gas and particles) in the chemical module CUCAE. DC outside the CAUCE is not changed in the other parts of the model. Yes, The 80% reduction is applied to all simulated domain, but JING-JIN-JI region is mainly discussed in this study.

The solar radiation is the major cause of turbulence diffusion and PBLH diurnal changing during daytime. The observation study showed that the direct solar radiation on severe haze days

is reduced 89% comparing with clear day in Beijing during the same period with this study (the following figure if from the result by Zhong, J.T., et al., 2018). The 80% reduction of turbulence diffusion is mainly according to this study. This reason is also added in section 2.4, Line 180-183; The changes of downward solar radiation fluxes and by AF+DTD is added in figure 5 (line 294-315) in the revised manuscript, which also support the supposing of 80% reduction of DC.

Comment 16) in Figure 5, the DC, at what position? What level/height?

Response:

Figure 5 in the initial version is figure 8 in the revised manuscript. and the DC is at 950 hPa, which is added in the following figure caption.

Fig.8 Hourly changing of PM2.5_OBS, PM2.5_EXP1, PM2.5_EXP2, and PM2.5_EXP3 (μ g/m3), together with the turbulent diffusion coefficient at 950 hPa of the three experiments (DC_EXP1, DC_EXP2, DC_EXP3) from 15 to 22 December, 2016 in Beijing (a) and Xingtai (b)

Anonymous Referee #2

Received and published: 31 July 2018

This paper investigated the impact of aerosol radiation feedback and decreased turbulent diffusion on PM2.5 during a heavy polluted episode in China. The objectives of this research might be interesting and potentially important; however, I have a number of concerns with the manuscript.

Response:

We would like to heartily thank the reviewer for his serious review and so detailed comments on our work. We carefully considered comments of the reviewer and tried our best to revise the paper accordingly, one by one of the following:

General comments:

Comment 1:

First, the lack of description about the GRPAES_CUACE model is troubling. What are the basic physical parameterizing schemes and chemical mechanism used in this study? How the model treat those crucial processes, such as SOA formation, two-way coupling, BC mixing states, aging processes. More important, how the model calculate the diffusion mixing? Any deficiency that can explain the supposed underestimation in diffusion coefficient, beside the lack of the aerosol radiative effect?

Response:

Thanks for this valuable comment. The section 2.1 (line 87-125) is rewritten in the revised paper according to this comment. The model description including dynamic, physical and some chemical processes is given in section 2.1. The parameterizing schemes and chemical mechanism used in this study and the related references are summarized in new Table 1 in the revised paper.

A brief introduction of two-way coupling and the related references (line 113-119) and the calculation method of diffusion mixing in PBL scheme and the related references (line 124-132) are also added in the revised manuscript.

Chemical processes involving such as SOA formation, BC mixing states, aging processes are

very important to PM2.5 concentration, considering this content had been introduced and evaluated in previous studies they are not our major focus in this study (Gong and Zhang, 2007; Gong et al., 2012; Wang et al., 2010, 2015a,; Zhou et al., 2008, 2012, 2016). We add a brief introduction in section 2.1 to explain this and the offered the related references are added in the revised paper.

Comment 2:

Second, I suggest the authors to provide additional validation of the model performance. How was the model performance in simulating the meteorological variables, PM chemical components and precursors? Does the underestimation apply to all PM components? It is also very important to exam that how the change in diffusion influence on the model performance in simulating species including both PM chemical components and precursor, since the mixing process is critical in determining the concentrations of all species.

Response:

Yes, validation of the model performance is very important. The meteorology parameters close related with diffusion turbulence, such as surface and PBL wind speed and temperature (figure 3, the related text in line 225-260) and downward short wave fluxes (figure 5, the related text in 294-315) are added to provide the model performance and additional study in the revised manuscript. The three sensitive experiments are applied to all PM components.

Yes, mixing process is also critical in determining the concentrations of all aerosols species and precursor, but the discussion on PM chemical components and precursors are complex and will take up a great deal of space in the manuscript, considering the previous studies of the chemical processes by CUACE model (Gong and Zhang, 2007; Gong et al., 2012; Wang et al., 2010, 2015a,; Zhou et al., 2008, 2012, 2016) the focus of this study, observational aerosol optical depth (AOD) and single scattering albedo (SSA) in AEROSNET and CARSNET are closely related with chemical components (absorbing and scattering features) and direct impact on aerosols radiative feedback directly, so the two are added to evaluate the model performance in the revised manuscript (added table 4 and table 5, the related text in 261-272).

We are grateful for this valuable comment and will try our best to collect more observational data to focus on how the change in diffusion influence on the model performance in simulating species including both PM chemical components and precursor, since the mixing process is critical in determining the concentrations of all species in the following study.

Comment 3:

Third, the description about scenario design need be elaborated. In EXP_td_af, how the dynamic field is updated by the aerosol feedback, and is there any nudging processed? In EXP_td20_af, how was the 80% reduction in turbulent diffusion implemented in the model. Did the change apply to all simulated domains? Is there any evidence or references which can support such modification? Based on the results (overestimation is found for clean days and areas outside JJJ), I don't think the DTD is applicable for all grid cells and days and can explain the underestimation of PM2.5.

Response:

The mechanism of aerosols feedback on the dynamic is added in table 1 and the

introduction of aerosol feedback and related references are added on line 113-119. There isn't nesting domain in the experiments.

The 80% reduction in turbulent diffusion coefficient (DC) is implemented in the chemical tracers (gas and particles) in the chemical module CUCAE. DC outside the CAUCE is not changed in the other parts of the model. Yes, The 80% reduction is applied to all simulated domain, but JING-JIN-JI region is mainly discussed in this study.

The solar radiation is the major cause of turbulence diffusion and PBLH diurnal changing during daytime. The observation study showed that the direct solar radiation on severe haze days is reduced 89% comparing with clear day in Beijing during the same period with this study (the following figure if from the result by Zhong, J.T., et al., 2018). The 80% reduction of turbulence diffusion is mainly according to this study. This reason is also added in section 2.4, Line 162-178; The wind speed changing (also an indicator of turbulence diffusion) from clear to haze days is added (figure 3 in the revised manuscript) in the revised paper, which also support the supposing of 80% reduction of DC.



Fig. 4. Daily radiant exposure of all selected clean days before 9 HPEs with CSs and all selected polluted days during the CSs of the HPEs. (a). Daily direct radiant

exposure; (b). Daily diffuse radiant exposure; (b). Daily global radiant exposure

Yes, we agree that 80% reduction of DC is not simple applicable for all grid cells and days and can accurately explain all the underestimation of PM2.5 and out study did show DTD experiment is meaningful on PM2.5 EG in the Jing-Jin-Ji region. Anyway, we know even in Jing-Jin-Ji region, this study is only a sensitive experiment to explain the possible huge deficiency in the description of the extreme weak turbulence of the PBL scheme and 80% reduction may be not an accurate value in every grid point. It is very difficult at present to offer the direct proof of the truth turbulence diffusion condition leading to severe haze episode due to the lack of vertical PBL observations during daytime in this region. We added a short paragraph in the end in the conclusion section to explain the limitations of this study.

Specific comments

Title: need provide some description about "Red-alert" in introduction section Response:

The description about "Red-alert" is added in introduction section (Line 83-84) in the revised manuscript.

Line 83: "GRAPES_CUACE", provide the full name and some references about the model.

Response:

An introduction of GRAPES_CUACE is rewritten and the related references are added (line 89-132) in the revised manuscript.

Line 89: How to get the boundary conditions?

Response:

No boundary conditions or related text is discussed in this line, so we don't know what the meaning of this comment is.

Line92: "The model horizontal resolution is adopted as 0.15*0.15". Is it high enough to capture the strong inversion during the episode? What about the vertical resolution?

Response:

The horizontal is optional in our model. Considering the resolution of emission inventory in China mainland obtained at present, 0.15*0.15 horizontal resolution is adopted in this study. If the model horizontal resolution is much higher than the resolution of emission data, model produces certain misleading results according to our experience. There are 33 vertical layers from surface to about 30 kilometers of the model top. Some introduction is added in line 96-97 in the revised manuscript. Our previous studies (Wang et al., 2015a; 2015b) showed that 0.15*0.15 horizontal resolution and the vertical layers used in this study had not much impact on the capturing of the strong temperature inversion.

Line 100: I would suggest the authors to elaborate the section 2.2. Is the emission data open to the public? What's the accuracy of the data? How does it compare to the others inventories, such as MEIC, EDGAR, etc? How was the spatial / temporal allocation processed?

Response

Yes, we couldn't give the complete and accurate description of the emission used in this study. The introduction of emission data including spatial and temporal information is rewritten in section 2.2 in the revised manuscript.

In fact, we have long-term cooperation with MEIC team and may obtain the latest emission data from them. However, the emission condition in Jing-Jin-Ji region in China changed so rapidly, and our model is an operational haze forecast model in Chinese Meteorology Administration and we often find the MEIC emission data is time-lag for the real time forecasting, we had to do some corrections to MEIC emission data according to the latest emission reduction information in this region before using it.

The emission data used in this study may be opened to the editor and reviewer, even to the public if this is required. We didn't use EDGAR emission data in our model also considering the rapid changes of emission in this region.

Line 101: "human life", is it "domestic"?

Response:

Yes, "human life" is replaced by "domestic" in this line. Line 105-106: need provide full names for the VOC species

Response:

17 VOCs species listed \and the full names are also given in table 2.

Line 121: "a further 80% decrease in turbulent diffusion (DTD) of chemical tracers based on EXP_td_af representing a compensation for the insufficient description of extremely weak turbulent diffusion by PBL scheme in atmospheric chemical model". how the 80% decreased DTD was determined? Was the overestimation of vertical mixing is due to the coarse resolution, or underestimation of aerosol feedback?

Response:

The 80% reduction of turbulence diffusion is according to the reference by Zhong, J.T., et al., 2018 and the wind speed changing from clear to haze day (added figure 3 in the revised manuscript). In his study, the observation of direct downward short wave fluxes decrease about 89% in Beijing at the same period (This is the base of 80% DTD in section 2.4, the related explanation is added in section 2.4 in the revised paper). Even though, we know that 80% DTD is only a sensitive test and not a definite value in every grid point.

Even if the he coarse resolution do has some impacts on the vertical mixing, the impacts could not be so greatly only during the EG stage of PM2.5. We had been used a model 0.1*0.1 horizontal resolution and the results is basically same with the original. Aerosol feedback is one important reason, but not the all according to the results of the three experiments in this study.

Line 134: in section 3.1, what about PM chemical component? The mixing basically can revolve the total PM mass. However, if the chemical profile doesn't agree well the observation, it still cannot solve the issue.

Response:

Yes, PM chemical component is important, we can't find proper observational date of PM chemical components to compare with model outputs, considering observational aerosol optical depth (AOD) and single scattering albedo (SSA) are the important parameters related with chemical components and particle sizes (absorbing and scattering features) and its impacts aerosols on aerosols radiative feedback, AOD and SSA in AEROSNET and CARSNET stations are added to evaluate the model performance (added table 4 and table 5 and text in line 261-272 in the revised manuscript).

Line 155: "Some studies offline and online", is it "some offline/online modeling studies"?

Response:

Yes, this is revised in the manuscript.

Line 157: "AF of composite aerosols from black carbon, organic carbon, sulfate, nitrate, dust, ammonium, and sea salt aerosols had been online coupled into the in GRAPES_CAUCE model." how does the model treat mixing states and aging process? How is the model performance in simulating the PM components and AF?

Response:

The mixing method of black carbon, organic carbon, sulfate, nitrate, dust, ammonium, and sea salt aerosols was mainly introduced in previous study (Wang et al., 2015a). A brief

introduction and the related references are also added in the 113-119 in the revised manuscript.

Observational aerosol optical depth (AOD) and single scattering albedo (SSA) are the important parameters close related with chemical components (absorbing and scattering features) and they are also define the AF effects directly, AOD and SSA in AEROSNET and CARSNET stations are added to evaluate the model performance (added table 4 and table 5 and text in line 261-272 in the revised manuscript).

Line 173: "the temperature inversion layer pre-existed during the haze event", it is not easy to see the temperature inversion in the plots.

Response:

It is easy to see in figure 7a and figure 7b, not in figure 6 in the revised manuscript. There is similar phrase in the discussion on figure 7a and figure 7b, so, this phrase is deleted in the revised manuscript.

Line 182: "Figure 4b shows that the observed temperature inversions were obvious stronger and the inversion depth thicker on 18 to 19 (during EGS of PM2.5) than those on 15 to 16 Dec (CS of PM2.5" But the PBL height seems opposite, lower on 18 to 19 but higher on 15 to 16 Dec. **Response:**

No PBL height was displayed in this study. We are not sure where the reviewer drew the conclusion "But the PBL height seems opposite, lower on 18 to 19 but higher on 15 to 16 Dec"

According our previous studies (Wang et al., 2015a, 2015b), when the temperature inversion is stronger, the corresponding PBL height is lower and PM2.5 is higher.

Line 191: "The contributions to PM2.5 EG due to AF and DTD". Since AF also contributes to DTD, how to separate these two effects.

Response:

The contribution to PM2.5 due to AF means the PM2.5 changing due to aerosols feedback online (EXP2 in the revised paper), only including the diffusions reduction by AF, but not including 80% reduction of DC; The results of DTD (EXP3 in the revised paper) means the differences between EXP3-EXP2, it does not include the AF's contribution, but only the decrease of turbulence diffusion of coefficient of chemical tracers. In EXP3, The DTD is implemented in the chemical tracers (gas and particles) in the chemical module domain. DC outside the CAUCE is not changed in the model run.

Line 207: "Exp bk under underestimated the PM2.5", "under" should be deleted

Response:

"under" is deleted in the text.

Line 224: "the overestimation of turbulent DC", is there any observation data to prove the overestimation of DC?

Response:

The solar radiation is the major cause of turbulence diffusion and PBLH diurnal changing during daytime. The observation study showed that the direct solar radiation on severe haze days is reduced 89% comparing with clear day in Beijing during the same period with this study (the following figure if from the result by Zhong, J.T., et al., 2018). The 80% reduction of turbulence diffusion is mainly according to this study. This reason is also added in section 2.4, Line 180-183; The changes of downward solar radiation fluxes and by AF+DTD is added in figure 5 (line 294-315) in the revised manuscript, which also support the supposing of 80% reduction of DC. Figure 2: The PM2.5 in area outside JJJ seems all overestimated. The td af cases make it even worse. Seems like it is not proper to apply the 80% DTD to all grid cells. **Response:**

PM2.5 obs is the station observation data and the each color dot represents the value in the station, the white color stands for lack of observation data not the lower PM2.5 value < 35ug/m3, which is not completely same with the modeled PM2.5 on grid points with high resolution. Excluding this reason, PM2.5 by EXP3 (td20 af in initial manuscript) is still the best in general, then EXP2 (td af), and EXP1 is the worst in Jing-Jin-Ji comparing with observation PM2.5. Outside JJJ, td af cases make it worse in the area with low PM2.5, make it better in the area with higher PM2.5. Anyway, this study mainly focuses on Jing-Jin-Ji region.

Certainly, we agree 80% DTD may be not accurate to all grid cells even in Jinh-Jin-Ji region. Our study area is Jing-Jin-Ji and even in this area the 80% DTD can't represents the exact condition of turbulence diffusion in all grid cells. Our study is sensitive experiment and we hope the underestimation of high PM2.5 due to the distinct deficiency of PBL scheme in the description of the extreme weak turbulence diffusion in Jing-Jin-Ji in east China may cause attention by this sensitive experiment. The final solution for this underestimation depends on the improving of PBL algorithm base on more detailed observation of PBL meteorology scales, not the simple decreasing of DC.

A paragraph is added in the last in section 4 to explain all above limitations and the other possible reasons leading to the underestimation in this study.

Figure 3: please clarify that the data is regional average in JJJ.

Response:

This is revised in the caption this figure (figure 6 in the revised version). Figure 4: what about the days when PM reach peak for Dec 20-22 in Beijing.

Response:

The inversion and the impacts on it due to AF are similar in 20-22 with that in EG stage. The explanation about this is added in the text after this figure.

Figure 5: PM2.5 td af seems more reasonable than PM2.5 td20 af, in consideration of the possible missing heterogeneous chemistry. What's the reason for the underestimation of the peak on Dec 21, even though the DC is already very low.

Response:

CUACE model includes a simple scheme of heterogeneous chemistry of SO2 and the related explanation is added in section 2.1 in the revised model.

Yes, "heterogeneous chemistry" is a very important influencing factor to PM2.5 concentrations, but there are also many uncertainties of this influence due to a series of complex chemical processes and species. At present, it is very difficult to offer a quantitative estimation of the impacts of heterogeneous chemistry on PM2.5 either in observation or in model.

There are several causes impacting local PM2.5 concentration involving in emission, meteorology, atmospheric chemical processes in including gas-particles and "heterogeneous chemistry" and etc. Some studies emphasize the impacts of meteorology condition including the feedback from AF. Some studies stressed the impacts of heterogeneous chemistry on PM2.5. It's a controversial issue. This study mainly focuses on meteorology impacts from turbulence diffusion and aerosols feedback. Anyway, this is a limitation of this study and it is explained in the last paragraph in section 4 in the revised paper.

The PM2.5 and DC condition on December 21 mainly related with changing of meteorology condition such as the inversions and wind fields, a sort explanation is added in this paragraph to explain it.

Figure 6: the figure is misleading. Since the reduced error in td20_af is because that the overestimation on Dec 18 compensates the underestimation on Dec 21 in Beijing.

Response:

The result of this figure is calculated by the model result from 00 UTC 17 to 00 UTC on 21 December, the data in 21 December is not included in the calculation. The description of CS and EG is not accurate and it is corrected in section 3.1 in the revised paper.

1	The Contributions to the Explosive Growth of PM _{2.5} Mass due							
2	to Aerosols-Radiation Feedback and Further Decrease in							
3	Turbulent Diffusion during a Red-alert Heavy Haze in							
4	JING<u>Jing</u>-JIN<u>Jin</u>-JI-<u>Ji</u>in China							
5	Hong Wang ^{1,2*} , <u>Yao Peng^{1,2}</u> , Xiaoye Zhang ^{1,3*} , Yao Peng^{1,2}, Hongli Liu ¹ , Meng Zhang ⁴ ,							
6	Huizheng Che ¹ , <u>Yanli, Cheng¹</u>							
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8 9	2 Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters, Nanjing University of Information Science & Technology, Nanjing 210044, China							
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12 13	Correspondence to: Hong Wang (wangh@cma.gov.cn). Xiaoye Zhang (xiaoye@ cma.gov.cn)							
14								
15	Abstract. The explosive growth (EG) of PM _{2.5} mass usually resulted in PM _{2.5} extreme levels and severe							
16	haze pollution in east China and they were generally underestimated by current atmospheric chemical							
17	models. Based on the atmospheric chemical model GRPAES_CUACE, three sensitive_experiments of							
18	background (EXP_bkEXP1), normal turbulent diffusion and aerosols feedback online (EXP_td_afEXP2),							
19	and decrease 80% in turbulent diffusion coefficient (DTD)retaining 20% of normal turbulent diffusion of							
20	chemical tracers of based on EXP_td_afEXP2 (EXP_td20_afEXP3) are designed to study the contributions							
21	to the EG of PM _{2.5} due to aerosols-radiation feedback (AF) and further decrease in turbulent diffusion							
22	(DTD) focusing on a red-alert heavy hazein JINGJing-JINJin_JI-Ji region inof China. The study results							
23	showed that turbulent diffusion coefficient (DC) calculated by EXP_bkEXP1 is about 60-70m ² /s on clear							
24	day and 30-35m ² /s on haze day. This difference of DC was not enough to discriminate the unstable							
25	atmosphere on clear day and extreme stable atmosphere during EG stage of PM2.5, and the inversion							
26	calculated by EXP_bkEXP1 was obviously weaker than the actual inversion from atmosphere of sounding							
27	observation on haze day. This led to 40-51% underestimation of PM _{2.5} EG-by EXP_bkEXP1; AF reduced							
28	about 43-57% of DC during EG stage of PM _{2.5} , which strengthened the local inversion obviously-on haze							

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29	day and the local inversion by EXP_td_afEXP2 was much closer to the sounding observation than that by
30	EXP_bkEXP1. This resulted in 20-25% reduction of model negative errors of PM2.5 and it was as low as
31	-16 to -11% in EXP2. However, the inversion by EXP_td_afEXP2 was still weaker than the actual
32	observation and AF could not solve all the problems of $PM_{2.5}$ underestimation. Based on EXP_td_afEXP2,
33	80% DTD of chemical tracers in EXP3 resulted in a near-zero turbulent diffusion named as "turbulent
34	intermittent " atmosphere state, which in EXP_td20_afEXP3 resulting resulted in further 14-20%
35	reduction of PM _{2.5} underestimation and the negative PM _{2.5} errors of was reduced to -11 to 2% during the
36	EG stage of PM _{2.5} . The combined effects of AF and DTD solved over 79% underestimation of PM _{2.5} EG in
37	this-ease study. The results showsed that the online calculation of aerosol-radiation feedback is essential for
38	the prediction of PM _{2.5} EG and peaks during severe haze in Jing-Jin-Ji region. and Besides this, an further
39	improvement ining the arithmetic of PBL scheme focusing on extreme stable atmosphere stratification are
40	is also indispensable for reasonable description of local "turbulent intermittent" and more accurate
41	prediction of PM _{2.5} EG and high levels-during the severe haze in Jing-Jin-Ji in China.

42 Keywords: Aerosols-Radiation Feedback; Turbulent Diffusion; PBL Scheme; Temperature Inversion;
43 PM_{2.5}

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44 1 Introduction

East china experienced unprecedented intrusions of severe hazes accompanied by high level of 45 46 particulate matter (PM) less than 2.5 micron in aerodynamic diameter (PM_{2.5}) caused wide public concern 47 since 2013 until now (Ding et al., 2013; Wang et al. 2013; Huang et al., 2014; Wang et al., 2014; Sun et al., 48 2014; Hua et al., 20152016; Yang et al., 2015; Zhong et al., 2017, 2018a. 2018b). Instant PM2.5 49 concentration usually reached hundreds, or even one thousand ug/m³ occasionally, in the metropolitans in 50 Beijing (JING), Tianjin (JIN), Hebei province (alias JI) and their near surroundings of East Shanxi, West 51 Shandong, and North Henan in east China (abbreviated this region as **JINGJing-JINJin-JI-Ji** in this study) 52 during severe haze episodes (Wang et al., 2014; Quan et al., 2014; Sun et al., 2014; Yang et al., 2015; 53 Zheng et al., 2016). Studies showed that models generally underestimated the explosive growth (EG) and peak values of PM_{2.5} during the severe hazes in especially in Jing-Jin-Ji in Chinaregion (Wang et al., 2013; 54 Wang et al., 2014; Li et al., 2016). 55

The causes of PM2.5 EG and its underestimation by atmosphere chemical models are complex and 56 57 uncertain at present, which may involve in local emission, reginal transportation, aerosol physicochemical 58 processes, gases-particles conversion, meteorology condition, and so on. However, the actual atmospheric 59 stability and how accurate it is described by atmospheric models is a fundamental problem that can't be 60 ignored among others. Local or regional meteorology condition dictates whether the haze occurs and what the PM_{2.5} level may be (Zhang et al., 2013; Zheng et al., 2015; Gao et al., 2016) when source emissions are 61 62 unchanged for a short period of time. The meteorology condition of planetary boundary layer (PBL) is the 63 key and direct trigger for touching off a haze event (Wang et al., 2014; Li et al., 2016; Zhong et al., 2017). 64 Turbulent diffusion is an important factor to characterize PBL meteorology when the atmosphere is stable. 65 It is also the a major way of particles and gas pollutants exchanging from surface to upper atmosphere and 66 further cleaned by the upper winds when haze occurs accompanied by calm surface wind and weak vertical 67 motion of air in surface and PBL. The intensity of turbulent diffusion largely determines the severity of 68 haze pollution. Reasonable description of turbulent diffusion by PBL schemes in atmospheric chemical 69 models is determinant for severe pollution prediction (Hong et al., 2006; Wang et al., 2015; Hu et al., 2012, 2013a, 2013b; Li et al., 2016). The latest studies showed (Wang et al., 2015; Li et al., 2016) that current 70 71 PBL schemes may be insufficient enough for describing the extreme weak turbulent diffusion condition

72	when extremely severe hazes occurred in JingING-JINJin-JIJi, which may be one important reason for the
73	underestimating underestimation of PM2.5 peaks by atmospheric chemical models. There may be two
74	independent reasons resulting in this deficiency description of extreme weak turbulent diffusion in
75	atmospheric models. One is that aerosols radiation feedback (AF) is not calculated online in the model run.
76	AF ean-may restrain turbulence by cooling surface and PBL while heating the atmosphere above it when
77	aerosols with certain absorption characteristics concentrated in PBL (Wang et al., 2010; Forkel et al., 2012;
78	Gao et al., 2014, 2015; Wang et al., 2015; Ding et al., 2016; Li et al., 2016; Miao et al., 21062016; Petaja et
79	al., 2016; Gao et al., 2017; Qiu et al., 2017; Zhong et al., 2018). Ignoring AF is likely to lead to obvious
80	overestimation of turbulent diffusion when $PM_{2.5}$ exceeds certain value, which is worthy of further study.
81	Another possible reason is that the extreme weak turbulence resulting to-in extremely severe hazes is not
82	fully described by the atmospheric chemical model (Li et al., 2016). A Red-alert Heavy Haze (China's
83	Ministry of Environmental Protection issues air quality red-alert when air pollution index is forecasted
84	exceeding 300 in the next three days) occurred on 15 to 17-23 December, 2016 in HNGJing-HNJin-H-Ji in
85	China was elected to study the contributions to $PM_{2.5}$ EG and peaks during severe haze due to AF and the
86	possible deficiency in description of the extreme weak turbulent diffusion of atmosphere models in this
87	study.
88	2 Model, Data and Methodology
89	2.1 GRAPES_CUACE Model
90	Focusing on dust and haze pollutions in China and East Asia, the Chinese Unified Atmospheric
91	Chemistry Environment (CUACE) (Gong and Zhang, 2008) was online integrated into mesoscale version
92	of Global/Regional Assimilation and PrEdiction System (GRAPES_meso) developed by the Chinese
93	Academy of Meteorological Sciences (Chen et. al., 2008; Zhang and Shen, 2008) to build an online
94	chemical weather forecasting modelThe double way atmospheric chemical model GRAPES_CUACE
95	(Wang et al., 2009, 2010; 2015a; Zhou et al., 2012)was established focusing on simulation and prediction
96	of dust and haze pollutions in China and East Asia. The main components of GRAPES_CAUCE include:
07	

98 <u>CUCAE with online coupling of aerosols direct and indirect feedback and emission inventory. The dynamic</u>

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99	frame of GRAPES_CUACE is semi-implicit semi-Lagran full compressible nonhydrostatical (Yang et al.,	
100	2007, 2008; Chen et al., 2008). A height-based-terrain following coordinate was used and there are 33	
101	vertical layers form surface to 30 kilometers. The longitude-latitude grid is adopted in the spatial	
102	discretization of and the horizontal resolution is optional. The physical packages is ptional (Xu et al., 2008)	
103	and table1 lists the specific physics and chemistry schemes used in this study. Gas-phase chemistry of RAD	
104	II (Stockwell et al., 1990) with 63 gaseous species through 21 photo-chemical reactions and 121 gas phase	
105	reactions is used in this study. The aerosols includes sea salts (SS), sand/dust (SD), black carbon (BC),	
106	organic carbon (OC), sulfates (SF), nitrates (NI) and ammonium salts (AM) and aerosols processes	
107	involving in hygroscopic growth, coagulation, nucleation, condensation, dry and wet depositions,	带格式的: 字体: 10 磅, 英语(美国), 图案: 清除(白色)
108	scavenging, aerosol activations and etc. The formation of sulfate aerosols and second organic aerosols	带格式的:字体:10磅,英语(美国),图案:清除(白色)
109	(SOA) from gases, nitrates and ammonium formed through gaseous oxidation, and ISORROPIA	带格式的:字体:10磅,英语(美国),图案:清除(白色)
110	(Fountoukis et al., 2007) calculating the thermodynamic equilibrium between nitrates and ammonium and	
111	their gas precursors are considered in CAUCE, which had been evaluated and introduced in previous	
112	studies. (Gong and Zhang et al., 2008; Zhou et al., 2008, 2012).	
113	Based on the modeled aerosols concentration, vertical profiles of temperature changing including	
114	aerosols direct impacts (DT/dt due to aerosols) is calculated by radiation model and online feedback to the	
115	model dynamic core in each grid point in every time step, which reforms model temperature field, dynamic	
116	process, regional circulation and meteorology condition, finally impacts aerosols concentration in turn. The	
117	external mixing of aerosols species of SS, SD, BC, OC, SF, NI, and AM and particle size bins is used in the	
118	calculation of aerosols radiation feedback, which was introduced and evaluated in detail in previous studies	
119	(Wang et al., 2009, 2010, 2015a, 2015b). With this double way GRAPES_CUACE model, Trans-city and	
120	regional transportation of PM2.5, aerosols-radiation-PBL-meteorology interactions, and	带格式的: 非上标/ 下标
121	aerosols-cloud-precipitation interactions etc, and regional pollution and transportation of PM2.5 etc. had	带格式的: 下标
122	been widely successfully simulated and studied by using it (Wang et al., 2009, 2010, 2015a, 2015b; Zhou et	
123	al., 2012, 2016; Jiang et al., 2015; Zhang et al., 2018). GRAPES_CUACE is also used in this study.	
124	The turbulent diffusion coefficient (DC) is calculated by YonSei University (YSU) PBL scheme (Hong	
125	et al., 2006), which is a revised vertical diffusion package based on nonlocal boundary layer vertical	带格式的: 字体:(默认)Times New Roman, 10 磅,字体颜色:自 动设置,图案:清除(白色)

126	diffusion scheme in a Medium-Range Forecast model (MRF) (Hong et al., 1996). The major ingredient of	 带格式的: 字体:(默认) Times New Roman, 10 磅,字体颜色:自 动设置 图案: 清除(白色)
127	the revision is the inclusion of an explicit treatment of entrainment processes at the top of the PBL	初议重, 图案, 捐标 (口已)
128	comparing with MRF PBL scheme, The specific calculation method of DC was show in Hong's studies.	 带格式的: 字体:(默认)Times New Roman, 10 磅,字体颜色:自 动设置,图案:清除(白色)
129	This algorithm of DC was has been widely selected as a standard option for the Medium Rang Forecast	
130	(MRF) Model (Caplan et al. 1997; Farfán and Zehnder, 2001; Basu, et al., 2002; Bright and Mullen, 2002;	 带格式的: 字体:(默认)Times New Roman, 10 磅,图案:清除 (白色)
131	Mass et al., 2002) and Weather Research and Forecast (WRF) model (Hong et al., 2006) in National	
132	Centers for Environmental Predictions (NCEP) since its establishment.	
133	The <u>model_model</u> horizontal resolution is adopted as $0.15^{\circ}\times0.15^{\circ}$ to match the resolution of	
134	emission source-data used in this study. Considering the impacts of interregional transport of gas and	
135	particle-pollutants, in the main polluted areas in eastern China, the model domain includes the whole east	
136	China (100-140°E, 20-60°N) (figure 1a) was set as the model domain, , but our study discussion mainly	
137	focuses on the most polluted area Jing-Jin-Ji region (the red box in figure 1a)) and Figure-figure 1b	
138	shows the detailed-features of geographical location and topography of JING Jing Jin Jithis region. The	
139	black dots in Figure1a are the locations of PM2.5 observation stations. The model horizontal resolution is	 带格式的: 非上标/ 下标
140	adopted as 0.15°×0.15° to match the resolution of emission source data used in this study. There are two	
141	balloon sounding stations, Xingtai and Beijing (yellow stars in Figure-figure 1b) in our study area. Xingtai,	
142	located in southern Hebei province, the eastern foot of Taihang Mountains and it is influenced by the	
143	sinking airflow from Taihang Mountains in winter, is the most polluted city and the $\text{PM}_{2.5}$ concentrations	
144	usually ranked the first in China in recently years. The topography of Xingtai and the serious haze pollution	 带格式的:图案:清除(白色)
145	closely related to it are-is the typical representative of the southern plain of Jing-Jin-Ji. Beijing lies in the	
146	transitional zone from Yan Mountain to its southern plain, next to Tianjin and surrounded by Hebei,	
147	representing the polluted areas in the central part of Jing-Jin-Ji.	 带格式的: 字体:(默认) Times New Roman, 10 磅,图案:清除
148	2.2 Emission Inventory	
149	Based on MEIC emission inventory in 2012 (He et al., 2012), the changes of 5 kinds of emission	
150	sources of industrial, human lifedomestic, agricultural, natural and traffic are obtained by from the data	
151	statistics data of China national industry factories, energy consumption, road net and motor vehicles,	

153	in east China. The 32 kinds of monthly gridded emission inventories of 0.15°×0.15° horizontal resolution	
154	required by GRAPES_CUACE model, including 5 reactive gases, i.e. SO2, NO, NO2, CO, NH3, 20 VOCs,	 带格式的:字体颜色:红色
155	i.e. ALD, CH ₄ , CSL, ETH, HC ₃ , HC ₅ , HC ₈ , HCHO, ISOP, KET, NR, OL ₂ , OLE, OLI, OLT, ORA ₂ , PAR,	
156	TERPB, TOL, XYL and (17-VOCs species listed in table 2-are used in RADM II) and 5 aerosols species,	 带格式的:字体颜色:红色
157	i.e. black carbon, organic carbon, sulfate, nitrate and fugitive dust are obtained by above emission data	
158	according the input requirement of CUACE model, The horizontal grid resolution is 0.15°×0.15° and there	 带格式的: 字体颜色:红色
159	is one emission data set for each month with hourly interval.	 带格式的:字体颜色:红色

160 2.3 Data Used

161 Hourly averaged observation PM2.5 data-concentration data for more than 1440 surface observational 162 stations (blue dots in figure 1) from China National Environmental Monitoring Centre (CNEMC) 163 (http://www.cnemc.cn) from 15 to 23 December 2016 were used to evaluate the model results-: The hourly 164 observation meteorology data including wind speed, and temperature from 500 surface automatic 165 observation stations in China Meteorology Administration (CMA)at over 500 surface stations in Jing-Jin-Ji 166 region (red triangle in figure 1b) were used to model validation. region from China Meteorology 167 Administration (CMA). The meteorological balloon sounding data at 00UTC (early morning) and 12UTC 168 (and dusk in local time) in Xingtai and Beijing and Xingtai (yellow star in figure 1b) from China 169 Meteorology Administration (CMA)-_during the same period were also used compare with the modeled 170 results-; There are one AERONET station (Holben et al., 1998) Xianghe, and two CARSNET stations (Che 171 et al., 2009; 2014; 2015) Beijing and Shijiang in Jing-Jin-Ji region (black crosses in figure 1b). Observed 172 aerosols optical depth (AOD) and single scattering albedo (SSA) date from the three stations at the same 173 time period were also used to model evaluation; NCEP 0.25×0.25° global analysis grids data 174 (https://rda.ucar.edu/datasets/ds083.3) were used as the model initial and every 6-hour lateral boundary 175 meteorology input fields. The initial values of chemical tracers were obtained according to the five-year 176 mean climatic values. The results of the first 120 hours of model start are split out to eliminate the effects 177 of chemical initial fields. 178 2.4 Experiments Design

179

Both dynamic process of regional atmosphere and solar radiation both have the most important

180	impacts on turbulence diffusion and PBL heightprocesses. When severe haze occurred, it was observed that			
181	the surface daily direct radiant exposure was observed reduction reduced 89%-reduction comparing with		带格式的: New Roman 色, 图案:	字体: (默认) Times 10 磅, 字体颜色: 红 清除 (白色)
182	that inon clean days (Zhong et al., 2018), suggesting the possible huge difference of turbulence diffusion	<u>``</u>	带格式的:	字体: (默认) Times
183	between severe haze and clean days. It is difficult to distinguish the two reasons leading to the extreme		New Roman 色,图案:	10 磅,字体颜色:红 清除(白色)
184	weak turbulence diffusion in the truth atmosphere because of the complicated relationship between			
185	atmosphere dynamic and solar radiation. However, some meaningful research could be expected by			
186	sensitive experiments using atmosphere chemical model. Three sensitive experiments of		带格式的:	字体颜色: 红色
187	EVD bkEVD1FXP1 EVD td afFXP2 and EVD td20 afFVD2FXP3 ware designed to discuss the		带格式的: 带格式的:	字体颜色:红色 字体颜色:红色
107	DAT OK <u>DAT IDAT 1</u> , DAT A <u>IDAT 2</u> , and DAT <u>A 20 an<u>DAT ODAT 0</u> were <u>and</u> designed to discuss the</u>		带极式的	今休 前 色・ 红 色
188	relative contributions to PM _{2.5} EG the extreme weak turbulence and corresponding PM _{2.5} EG due to AF	44.	带格式的:	字体颜色: 红色
189	and athe -insufficient description on the extremely weak turbulent diffusion by PBL scheme in		带格式的:	字体颜色: 红色
190	atmospheric chemical model. further 80% decrease in turbulent diffusion (DTD) of chemical tracers		带格式的:	字体颜色: 红色
101				
191	based on EXP_td_at <u>EXP2</u> representing a compensation for the insufficient description of extremely weak			and to set by the set by
192	turbulent diffusion by PBL scheme in atmospheric chemical model (Dthe detailed descriptions of the three		带格式的:	子体颜色: 红色
193	experiments listed in Table 13). All other model dynamic process, physical options and initial input data of		带格式的:	字体颜色:红色
10/	meteorology and chemical tracers are some for the three experiments except for the differences shown in		带格式的:	字体颜色: 红色
194	increation in the same for the time experiments except for the differences shown in			
195	Table 13. In the sensitive test in EXP3, further decrease in turbulence diffusion coefficient (DTD) based on			
196	EXP2 was only applied to the DC of chemical tracers in CUACE mode and DC in other physical packages			
197	and dynamic frame of GRAPES_MESO was same with that in EXP1 and EXP2.		带格式的:	字体颜色: 红色
198	3 Results and Discussions			
199	This haze episode began on 15 December, 2016. and PM2.5 began to gather and climb slowly <u>-at this</u>			
200	time but were it was below 150 ug/m ³ in most JING-Jing-Jin-Ji region from 00UTC on 15 to 00 UTC on 17			
201	<u>December</u> , and we name this period as the climbing stage (CS) of $PM_{2.5}$; From <u>00UTC on</u> 17 to <u>00UTC 20</u>			
202	21 December, PM _{2.5} increased sharply <u>rapidly-and</u> , most of the study area-and reached the PM _{2.5} peaks of			
203	400-600 ug/m ³ in rapidly most of the study areaduring this period, which This period is named as the			
204	explosive growth (EG) stage $\frac{(EGS)}{(EGS)}$ of PM _{2.5} . This section mainly focuses on the contributions to the PM _{2.5}			
205	EG due to AF and further DTD.			

207	-The upper atmosphere circulation and surface synoptic system controlling Jing-Jin-Ji region
208	remained relatively stable has not changed much during the whole haze maintenance. Figure 2
209	displayes Geopotential height (GPH), temperature (Temp) and Wind fields at high (500hPa), middle
210	(700hPa), low atmosphere (850hPa) and PBL levels (900, 950, 1000hPa) on 00 UTC, 19 December, 2016
211	as the typical representative to showing the weather background of this haze event. It is can be seen
212	that GPH in the upper atmosphere (500hPa) showed zonal circulation in East Asia. There was a
213	horizontal trough north to Jing-Jin-Ji (black box) in the upper and middle atmosphere (500 and 700
214	hPa) and Jing-Jin-Ji was controlled by the weak moderate northwest or west air flow at the bottom of
215	the trough. Temperature and wind fields at 500 and 700hPa both showed that cold air in the upper and
216	middle atmosphere was weak. GPH in 850hPa showed that the subtropical high (SH in figure 2) in
217	east sea was strong and Jing-Jin-Ji was in the pressure equalization field to the northwest periphery of
218	the subtropical high and the wind was very weak in this level due to the block of the subtropical high.
219	GPHs at 900, 950, 100hPa all showed that Jing-Jin-Ji located in the pressure equalization field
220	between the northwest land high (LH in figure 2) and southeast subtropical high within the whole PBL
221	and the land high was weaker than the subtropical high. This resulted in small pressure gradient, weak
222	and thin wind fields and stable atmosphere situation within PBL in Jing-Jin-Ji region, which is very
223	helpful to the maintenance of haze episode.
224	3.1-2 The Comparison study of observation and <u>model results</u>
225	Not only surface but also PBL meteorology are the key factors affecting the process haze episode and *
226	PM _{2.5} level (Wang et al., 2014a, 2014b), but it is well known that surface and PBL meteorology factors are
227	more difficult to be predicted or simulated by most numerical models than those at middle and high
228	atmosphere, which is also the key point affecting the prediction performance of atmospheric chemical
229	models (Hu et al., 2013a, 2013b; Li et al., 2016).
230	Using hourly meteorology data from surface automatic observation stations of CMA, surface wind
231	speed and temperature of Beijing, Xingtai and average in Jing-Jin-Ji by EXP1, EXP2 and EXP3 are
232	evaluated from 15 to 24 December, 2016 (figure 3, up). It can be seen that in Beijing, the modeled surface
233	wind speed by the three model experiments was in good agreement with the observation regardless of the

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234	changing trend, maximum and the minimum values of wind speed. The observed and modeled wind speed	
235	was basically below 2 m/s from 17 to 21 December (EG stage of PM2.5). Modeled wind speed in Xingtai	带格式的: 下标
236	was slightly worse than those in Beijing, but the changing trend of wind speed was basically consistent	
237	with those of observation and the wind speed was also below 2 m/s during the EG stage of PM2.5. The	带格式的: 下标
238	modeled wind speed was higher than observation to a certain extent at the beginning and ending period in	
239	Xingtai. The changing trend of modeled average wind speed in Jing-Jin-Ji region showed reasonable	
240	agreement with that of observation and was the closet to the observation at the EG stage of PM2.5. The_	带格式的: 下标
241	regional wind speed by model was higher than observation in general. The comparison of wind speed of the	
242	three model experiments showed that the wind speed by EXP2 and EXP3 was basically same, but both	
243	smaller than EXP1 in various degree in Beijing, Xingtai, and average in Jing-Jin-Ji during EG stage,	
244	showing that AF decreased surface wind speed. The temperature changing trend by the three model	
245	experiments also consisted with that of the observation on the whole in Beijing, Xingtai and Jing-Jin-Ji. But	
246	it also can be seen that the modeled temperature was obvious higher than observation, especially during the	
247	EG stage. The temperature by EXP2 and EXP3 was basically same, but lower than that by EXP1, which is	
248	much closer to the observation, indicating that AF reduced the positive errors of surface temperature in	
249	Beijing, Xingtai, and average in Jing-Jin-Ji. However, it can be seen that the temperature by EXP2 and	
250	EXP3 was also higher than observation during the EG stage, suggesting that some other uncertainties in	
251	PBL scheme led to the temperature positive errors during EG stage besides AF, which deserves further	
252	study in detail. PBL mean wind of the three experiments in Beijing, Xingtai, and regional average in	
253	Jing-Jin-Ji were calculated and shown in figure 3 (down). Unfortunately, there are not observation data to	
254	evaluate them. Comparison of the PBL wind and temperature of the three model experiments showed that	
255	PBL mean wind was basically below 4m/s while the temperature is high at the EG stage in Beijing, Xing tai	
256	and Jing-Jin-Ji. Similar to the ground results, the PBL mean wind speed and temperature by EXP2 and	
257	EXP3 were basically same, but the wind speed by the two experiments was obviously lower than that by	
258	EXP1. This indicated that the reduction of wind speed by AF was more obvious in PBL than that in ground,	
259	while comparison of surface and PBL temperature of the three experiments showed that the cooling effect	
260	by AF is much stronger at surface than that in PBL.	带格式的: 字体

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261	Aerosols optical properties including AOD, SSA, and asymmetry factor (ASY) largely determines			
262	the aerosols direct radiation effects. The observed AOD (Table 4) and SSA (Table 5) in Shijiazhuang,			
263	Beijing and Xianghe are used to evaluate the modeled results from 15 to 22 December. Because the			
264	differences of the modeled AOD and SSA by the EXP1, EXP2 and EXP3 are small, the results of EXP1 are			
265	used here. It can be seen that the values of modeled AOD and SSA and their temporal changing trend from			
266	15 to 22 December were basically consistent with the observation in Beijing, Shijiazhang and Xinghe,			
267	proving the model performance in the description of aerosols optical properties. Both observed and			
268	modeled SSA in Shijiazhuang, Beijing, and Xianghe (table 5) shows that SSA was obvious higher during			
269	the EG stage of PM _{2.5} than that at the beginning or ending stage of haze on 15 to 16 and 22 December,	 带格式的:]	「标	
270	illustrating that the scattering characteristics of composite aerosols increased obviously when high AOD			
271	and PM _{2.5} occurred on severe haze days in Jing-Jin-Ji region. The accurate description in AOD and SSA	 带格式的:]	「标	
272	especially the SSA changing from clean to haze days, is the basic in the following discussion of aerosols			
273	effects on PM _{2.5}	 带格式的:]	「标	
274	Figure 2-4 displays the averaged observed PM _{2.5} (PM _{2.5} OBS) and simulated PM _{2.5} of Exp_bk	带格式的: 于	-14:10 傍	
275	EXP1(PM _{2.5} -bkEXP1), EXP_td_afEXP2 (PM _{2.5} td_afEXP2) and EXP_td20_tfEXP3 (PM _{2.5} td20_afEXP3)			
276	experiments during EGSEG stage. It can be seen from PM2.5_OBS that the averaged PM2.5 values were			
277	generally over 100µg/m ³ in east China and JINGJing-JINJin-JI-Ji_ covered the most polluted areas and			
278	$PM_{2.5}$ reached up to 300 to $400\mu g/m^3$ in parts of Beijing, Tianjin, Middle-south Hebei province, western			
279	frontier region of Shandong province and north Henan province. The $PM_{2.5}$ center of $500\text{-}700\mu\text{g}/\text{m}^3$			
280	appeared in south Hebei and North Henan province and the $PM_{2.5}$ maximum of $\700\mu\text{g/m}^3$ was found in			
281	south Hebei. The comparison study of $PM_{2.5}$ bk-EXP1 and $PM_{2.5}$ OBS shows that $PM_{2.5}$ bk-EXP1 is			
282	obvious lower than PM _{2.5} _OBS on the whole. It is noteworthy that EXP_bk EXP1 failed to simulate the			
283	$PM_{2.5}$ over $300\mu g/m^3.\ PM_{2.5}_OBS$ is about 200 to $300\mu g/m^3$ over most Shandong province while the			
284	$PM_{2.5}$ bk is only 100 to 200µg/m ³ in this region. Compared with $PM_{2.5}$ bk EXP1, $PM_{2.5}$ td_afEXP2 values			
285	are significantly improved by AF and they are much closer to the $PM_{2.5}$ _OBS. High $PM_{2.5}$ _OBS centers of			
286	300 to 400, 400 to 500, and 500 to $600\mu g/m^3$ are almost simulated by EXP_td_afEXP2, indicating the			
287	important effects of AF on the model simulation of PM _{2.5} high values. However, the areas of the simulated			

288	$PM_{2.5}$ values of 300 to 400, 400 to 500, 500 to $600 \mu g/m^3$ are still smaller than that of the $PM_{2.5}_OBS.$		
289	EXP_td_afEXP2 also fails to simulate the maximum $PM_{2.5}$ values over $600\mu g/m^3$ observed in south Hebei		
290	province. $PM_{2.5}$ td20_afEXP3 just makes up for this shortage, comparing with $PM_{2.5}$ bk-EXP1 and		
291	$PM_{2.5}$ td_afEXP2, $PM_{2.5}$ td20_afEXP3 is undoubtedly the closest to $PM_{2.5}$ OBS both in $PM_{2.5}$ extreme		
292	and its influence area. This study result illustrates that both AF and DTD in atmospheric chemical models		
293	are required for the effective prediction of $PM_{2.5}$ EG during the severe haze in <u>JINGJing-JINJin-JI-Ji</u> in		
294	China.		
295	3.3 The downward solar radiation flux change by aerosols and DTD	><	带格式的: 字体:10磅,加粗
296	PM in the atmosphere will inevitably lead to the changes of surface and atmosphere solar radiation flux.*		带格式的: 细进: 自行 细进: 0 崖 米
297	When severe haze occurs, most PM is concentrated in the atmosphere near the surface and within PBL,		带格式的: 两端对齐, 缩进: 首 行缩进: 0 厘米
298	solar radiative flux reaching the ground is reduced greatly, which is the direct trigger factor for the		带格式的: 字体:(默认) Times New Roman, 10 磅,图案:清除 (白色)
299	subsequent changes in thermodynamic, dynamics, and then atmospheric stratification. Any factor leading to		
300	the change of the atmosphere PM loading might result in change of the surface downward solar radiation		
301	flux (SDSRF). We calculated the percentage changes of SDSRF (W/m ²) between EXP2 and EXP1		
302	((SDSRF_EXP2-SDSRF_EXP1)/SDSRF_EXP1) and EXP3 and EXP1 ((SDSRF_EXP2-SDSRF_EXP1)/		
303	SDSRF _ EXP1)) to study the impacts on SDSRF by aerosols and DTD. Figure 5 shows the mean percentage		
304	change of SDSRF (W/m_t^2) due to aerosol (a) and aerosol plus DTD (b) of EG stage. It can be seen that		带格式的: 上标
305	SDSRF was reduced more than 50% by aerosol in most study region, 60-65% in Jing, Jin, most of Ji, and		
306	Northern Shandong, even 65-70% in Jing, Jin, and part of Ji, indicating the important influence of aerosols		
307	on SDSRF. Comparison of figure 5b and 5a showed that this reduction of SDSRF by aerosol (figure 5a) in		
308	EXP2 was further strengthened by DTD of chemical tracers in EXP3 (figure 5b) in certain region because		
309	DTD made more PM _{2.5} gather near surface (figure 3), transport less and this led to the increasing of total		带格式的: 下标
310	PM _{2.5} loading. It also can be seen that the difference of figure 5a and figure 5b was not too much. This is		带格式的: 下标
311	because that the major impacts of DTD is to reform the vertical distribution of atmosphere loading of PM _{2.5} .	+	带格式的: 下标
312	and its impacts on total column of PM _{2.5} is not so much. On the other hand, the reduction of SDSRF due to		带格式的: 下标
313	aerosols radiation was already very great, and the change of SDSRF due to the increased column PM2.5 by		带格式的: 下标
314	DTD, would not be so great on a secondary basis. This value of the SDSRF reduction due to aerosols and		

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316 and haze day at the same period (Zhong et al., 2018). 317 3.2-4 The aerosols' reform on local atmosphere temperature profiles 318 Some Offline and online studies offline and online indicated the reforming of atmosphere temperature 319 profile due toby aerosols direct radiation (Wang et al., 2010, 2015b; Forkel et al., 2012; Gao et al., 2014, 320 2015; Wang et al., 2014; Gao et al., 20162017; Ding et al., 2016). In our previous works (Wang et al., 321 2015a, 2015b), AF of composite aerosols mixing black carbon, organic carbon, sulfate, nitrate, dust, 322 ammonium, and sea salt aerosols had been online coupled into the in GRAPES CAUCE model. On this 323 basis, the changes of mean temperature profile of Jing-Jin-Ji region of daytime due to aerosols radiation 324 were calculated from 15 to 20 December, 2016 in this work. It can be seen from Figure 3-6 that AF aerosols 325 cooled the atmosphere below 750 to 800 hPa while warmed the atmosphere above this height. Considering 326 planetary boundary Layer (PBL)-height may be as low as several hundreds to one thousand meters when 327 severe hazes occurs in Jing-Jin-Ji (Wang et al., 2015a, Zhong et al., 2017), it may be concluded that whole 328 PBL and its near upper atmosphere was cooled by AF-aerosols to a different extent during the different 329 stage of this haze. The aerosols' warming effects above 750-850hPa height were very weak and the 330 temperature changes among different days were also small. However, the aerosols' cooling effects shows 331 the most differences from surface to 975 hPa height on different day. The surface daytime cooling is about 332 2.2 K on 19, 1.5K on 18 and 20, 1K on 17, and 0.5-0.6 K on 15 to 16 December. This aerosols' cooling 333 effect decreased rapidly with the height. The difference of cooling rates between surface and 850hPa is 1.8 334 K on 19, 1.3K on 18 and 20, 1K on 17, and 0.3-0.4 K on 15 and 16 December. It can be seen that the AF 335 The cooling difference of cooling rates by aerosols between surface and upper PBL are much bigger during EGS-EG stage are much bigger than those that during of CS. Such obvious difference of cooling effect on 336 337 surface to upper PBL due to AF This may result in the further intensification of the temperature inversion 338 layer pre-existed during the haze event, which will be discussed in figure 7 in the following section. The vertical sounding meteorology data in Beijing and Xingtai in JINGJing-JINJin-JI Ji- can be used to 339 340 prove if this change of the temperature profile by AF-aerosols is correct or not. Figure 4-7 shows the vertical temperature profiles of sounding observation and the modeled temperature profiles of by 341

DTD is basically consistent with the 56-89% difference of observational radiant exposure between clear

342 EXP bkEXP1EXP1 and EXP td afEXP2 during CS (Figure 4a7a) and EGS EG stage (Figure 4b7b) at the 343 two stations. The temperature profiles (Figure 4a7a) shows that both modeled results by EXP_bkEXP1EXP1 and EXP_td_afEXP2 partly simulated the observed temperature inversion in Beijing 344 345 and Xingtai on 15 to 16. The very little difference between the temperature profiles of by 346 EXP bkEXP1EXP1 and EXP td afEXP2 indicated that aerosols radiation had very little impacts on the 347 temperature profiles and local inversion during the CS of PM2.5. Nevertheless, Figure 4b-7b shows that the 348 observed temperature inversions were obvious stronger and the inversion depth-thicker on 18 to 19-(EG 349 stage) - than those on 15 to 16 (CS of $PM_{2,5}$) both in Xingtai and Beijing. The temperate profiles by 350 EXP td afEXP2 were much closer to the observation results than that by EXP bkEXP1EXP1, and 351 especially, the temperature inversions were much stronger and also closer to the observation than that by 352 EXP_bkEXP1EXP1. This result proved that the effective correction of local inversions by AFaerosols 353 during the EGS EG stage of PM2.5.

354 __However, it also can be seen, that the inversions by EXP_td_afEXP2, which included online AF, are 355 still weaker than the truth observed inversion in the two stations, <u>This suggesting suggests</u> that except for 356 AF, there must be other causes for the underestimation of that the observed extreme strong inversion was 357 not simulated sufficiently by the model besides the online calculation of AF, which is worthy of studying. 358 This will be discussed in detail in the following sections.-

359 3.3-5 The contributions to PM_{2.5} EG due to AF and DTD

360 Turbulent diffusion process is the main way of gas and particles exchanging from near-ground to upper 361 atmosphere and then removed by the high altitude transport, which is usually described achieved by 362 turbulent diffusion process coefficient (DC)-in the chemical atmospheric models. Firstly, the inversion and 363 weak turbulent diffusion, which generates from atmosphere dynamic process, leads to atmosphere 364 stabilization and determines the occurrence of haze and its strength (Zheng et al., 20172016). Once the haze 365 occurs, the aerosols radiation may reinforce the inversion in turn when aerosols exceeds certain critical value and lead to more PM2.5 gathering near the ground (Figure 4). The relative importance of the two 366 367 aspects on PM2.5 EG may vary with the PM2.5 values and meteorology conditions, but they are irreplaceable 368 for the reasonable prediction and simulation of PM2.5 EG and peaks by atmospheric models.

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369	Figure 5–8_displays the hourly changing of observed $PM_{2.5}$ ($PM_{2.5}$ _OBS) and modeled $PM_{2.5}$ of by
370	Exp_bkEXP1EXP1, EXP_td_afEXP2, and EXP_td20_tf3 experiments (PM2.5_bk, PM2.5_td_af, and
371	PM2_s_td20_af), together with the modeled turbulent DC of the three experiments (DC_bk, DC_bk_af, and
372	DC_td20_bf) from 15 to 23 December in Beijing (Figure5aFigure8a) and Xingtai (Figure 5b8b) from 15 to
373	<u>23 December</u> . Comparison of the PM _{2.5} <u>bk, PM_{2.5}td_af, and PM_{2.5}td20_af modeled by EXP1-, EXP2-</u> ,
374	and <u>-EXP3</u> with <u>PM_{2.5}-OBSobservation</u> in Beijing (Figure <u>5a8a</u>) shows that the modeled PM _{2.5} - <u>td20_af of</u>
375	by EXP3 was the closest to $PM_{2.5}$ -OBSobservation during the whole haze episode, which was agreed with
376	the results of regional distribution during <u>of</u> EGS <u>EG stage</u> in Figure <u>24</u>. Exp_bkEXP1 under
377	underestimated the PM _{2.5} obviously from 17 to 22 December and this underestimation enlarged-was even
378	more obvious rapidly with the increasing of PM2.5 values and, the This difference between the modeled and
379	observed PM _{2.5} was the largest during the EGS PM _{2.5} -EG stage of PM _{2.5} . AF shortened this difference to a
380	great extent and PM _{2.5-td_af_by EXP2} was much closer to the PM _{2.5} -OBSobservation than PM _{2.5} that-bk by
381	EXP1 during PM2.5 EGSEG stage of PM2.5. However, it can be seen that there was still-certain differences
382	between <u>observed and modeled-PM_{2.5}OBS obervation and PM_{2.5}td_af by EXP2</u> , illustrating that AF can't
383	completely fill the <u>big_gap</u> between $\frac{PM_{2,s}-OBS-observed}{PM_{2,s}-td_ad}$ and <u>modeled_PM_{2,s}-td_af</u> . PM_{2,s}-td20_tf_by
384	EXP3 shortened this gap further and shows the best agreement with the PM2.5_OBSobservation, especially
385	during the <u>PM_{2.5} EGSEG stage</u> .
386	It also can be seen from figure $\frac{5a-8a}{2}$ that the DC_ <u>bkby EXP1</u> was about 30-40 m ² /s during the <u>PM_{2.5}</u>
387	EGS-EG stage of PM _{2.5} , which was about 50% of the 60-70 m ² /s on the clear day on (15 and or 22
388	December). Obviously, the 50% DC differences between the clear and severe haze days may be-not be
389	enough to discriminate the difference of turbulent diffusion intensity between extreme stable atmosphere on
390	haze day and unstable atmosphere on clear day, which may beis the important reason for underestimation of
391	PM _{2.5} EG by Exp_bkEXP1. AF led to notable enhancement of temperature inversion (Figure 4b7b),
392	significant decrease in turbulent diffusion on PM2.5 during EGS EG stage and maximum DC at noon of by
393	EXP2_td_af was as low as 14m ² /s on 20 December, which decreased about 50% comparing with
394	DCthat_bk_ofby EXP1. Maximum DC_td_af at noon ofby EXP2 on haze day was only about 20% of that
395	on clear day. The maximum DC_at noon td20_af ofby EXP3 was lower than 5m ² /s on 20 December and at





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the same time PM_{2.5}<u>td20_af by EXP3</u> was further increased and it was also much further closer to the
 PM_{2.5}<u>OBS observation</u> than the PM_{2.5}<u>td_af by EXP2</u>.

It can be seen from the comparative study of the temporal changing between DC and PM_{2.5} of by Exp_bkEXP1, Exp_td_afEXP2, Exp_td20_afEXP3 in Beijing that the overestimation of turbulent DC owning to lack of online calculation of AF and deficient description of the extreme stable stratification by PBL schemes in atmospheric model led to distinct underestimation of PM_{2.5} EG and peaks when severe haze occurred in Jing-Jin-Ji in China.

The changing trends of DC and PM2.5 of by the three sensitive experiments in Xingtai (Figure 5b8b) 403 shows the similar results with those in Beijing. The PM2.5 -td20-tfby EXP3 was also the closest to 404 405 PM_{2.5} OBS observation, followed by PM_{2.5} td af by EXP2 and PM_{2.5} bk by EXP1 was the worst during 406 the whole haze episode. However during the EGS-EG stage of PM2.5, the relative contributions on the PM2.5 407 peak values due to AF and DTD showed some difference with those in Beijing. The contributions to PM2.5 408 peaks due to DTD were more important than that by AF in Xingtai. Located at the east foot of the east side 409 of Taihang Mountains, Xingtai is usually affected by the downhill airflow and temperature inversion in this 410 area is easy to form and strengthened, leading to stronger inversion, weaker turbulent diffusion and more 411 stable atmospheric stratification, -but This this kind of inversion and weak turbulent diffusion derived from local terrain is more difficult to described- by PBL scheme in atmospheric chemical models and likely 412 413 underestimated. by PBL scheme in atmospheric chemical models.

Figure 6-9 shows the diagrammatic sketch of the contributions to the PM2.5 of EGS tage due to 414 AF and DTD_summarized by the results of Beijing and Xingtai. It can be seen that the DC_bk by EXP1 415 416 was 30-35m²/s, DC td af by EXP2 was 15-17 m²/s, means that AF reduces about 43-57% DC based onby 417 of EXP_bkEXP1, which led to the $\frac{1}{9}$ rise in simulated PM_{2.5} from 144 ug/m³ by EXP_bkEXP1 to 205 ug/m³ 418 by EXP_td_afEXP2 in Beijing, 280 ug/m³ by EXP_bkEXP1 to 360 ug/m³ EXP_td_afEXP2 in Xingtai. 419 This means that AF reduced 20% in Beijing and 25% in Xingtai of simulated PM2.5 negative errors. DC-td20_af by EXP3 was as low as 4-6 m²/s during EGS-EG stage of PM2.5, showing the joint effects of 420 AF and DTD reduced DC value-to less than 4-6 m²/s, near-zero, we name it as "turbulent intermittent". 421 422 The direct results of this "turbulent intermittent" is the further increasing of simulated surface PM2.5 based 423 on EXP_td_afEXP2. DTD decreases 14% to 20% underestimation of simulated PM_{2.5} and the errors of
 424 PM_{2.5}-td20 af by EXP3 were reduced as low as -11% to 2%.

425 4. Conclusions

426 Using atmospheric chemical model GRAPES_CUACE, three experiments EXP_bkEXP1, 427 EXP td afEXP2 and EXP td20 afEXP3 were designed to study the reason for the explosive growth of 428 PM_{2.5} mass during a red-alert heavy haze occurred on 15 to 23 December, 2016 in JingING-JINJin-JI-Ji in 429 China. The contributions to the PM2.5 due toby aerosols feedback and a further decrease in turbulent 430 diffusion coefficient of chemical tracers, representing a compensation for the deficient description of 431 extreme weak turbulent diffusion by PBL scheme in atmospheric models, are studied by analysing the 432 changes of PM2.5, surface downward solar radiation flux, temperature _ wind speed and temperature, 433 diffusion coefficient and the relationships between them of the three experiments.

434 The study shows that the diffusion coefficient by EXP_bkEXP1 is about 60-70m²/s on clear day and 435 30-35m²/s on haze day. The 50% difference of the two was not considered enough to discriminate the 436 unstable atmosphere on clear day and extreme stable atmosphere on severe haze day comparing with the 437 differences of direct downward solar radiation between clear and haze days, which is also proved indirectly 438 by the weaker inversion calculated by EXP_bkEXP1 than that of the actual sounding observation. This led 439 to 40-51% underestimation of the PM2.5 peaks by EXP_bkEXP1 during the explosive growth stage of PM2.5. 440 Online calculation of aerosols radiation feedback reduced surface and PBL wind speed and cooled the 441 surface and PBL atmosphere. The surface daytime cooling due to aerosols radiation was 1.5-2.2 K during 442 explosive growth stage of PM25 and 0.5-0.6 K during climbing stage of PM25. The aerosols' cooling effect 443 decreased rapidly with the height and this is the major reason for the strengthening of the temperature 444 inversion during the explosive growth stage of PM2.5. The reduced DC by AF was up to 43-57% during 445 EG stage of PM2.5. The surface daytime cooling due to aerosols was 1.5 2.2 K during explosive growth 446 stage of PM2.5 and 0.5-0.6 K during climbing stage of PM2.5. The impacts on PM2.5 due to AF was distinct 447 during the explosive growth stage of PM2.5 while very little during climbing stage of PM2.5 in the model run, 448 indicating a critical value of 150 ug/m³ of PM_{2.5} leading to an effective AF in online atmospheric chemical 449 model. This aerosols' cooling effect decreased rapidly with the height and this is the reason for the

450	strengthening of the temperature inversion during the explosive growth stage of PM2.5- The local inversion
451	simulated by EXP_td_afEXP2 was strengthened and closer to the actual sounding observation than it-that
452	by EXP_bkEXP1. This resulted in a 20-25% reduction of $PM_{2.5}$ underestimation and $PM_{2.5}$ errors by
453	EXP_td_afEXP2 was as low as -16 to -11% during the explosive growth stage of PM _{2.5} . The impacts on
454	$PM_{2.5}$ due to AF was distinct during the explosive growth stage of $PM_{2.5}$ while very little during climbing
455	stage of $PM_{2.5}$ in the model run, indicating a critical value of 150 ug/m ³ of $PM_{2.5}$ leading to an effective AF
456	in online atmospheric chemical model. However, the local inversion simulated by EXP_td_afEXP2 was
457	still weaker than the actual observation and the $PM_{2.5}$ the probability of the proba
458	observation, illustrating that AF could not solve all the PM _{2.5} underestimation problems. In EXP3, the DC
459	Further DTD of particles and gas based on EXP2 resulted in another 14-20% lessening of PM2.5
460	underestimation based on EXP_td_afEXP2 and the $PM_{2.5}$ errors of EXP_td20_afEXP3 was reduced to -11
461	to 2%.

462 This study result illustrated that the PBL scheme in current atmospheric chemical models is probably insufficient for describing the extremely stable atmosphere resulting in explosive growth of PM2.5 and 463 severe haze in JINGJing-JINJin-JI-Ji in China, which may involve in two important reasons: One is the 464 465 absence of online calculation of AF, another is the deficient description of the extreme weak turbulent 466 diffusion by PBL scheme in the atmospheric chemical model. Our study suggests that online calculation of 467 AF and an improvement in arithmetic of turbulent diffusion in PBL schemes focusing on extreme stable 468 atmosphere stratification in atmospheric chemical model are indispensable for reasonable description of 469 local "turbulent intermittent" and accurate prediction the explosive growth and peaks of PM2.5 of severe 470 haze in Jing-Jin-Ji in China.

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681	Zhou, C., Zhang, X., Gong, S., Wang, Y., Xue, M., 2016. Improving aerosol interaction with clouds and		带格式的: 字体:(默认)Times New Roman.(中文)+中文正文(宋
682	precipitation in a regional chemical weather modeling system. Atmos. Chem. Phys. 16 (1), 145-160.		体), 10 磅, 字体颜色: 自动设置, 不检查拼写或语法
683	★		带格式的: 字体: (默认) Times New Roman, (中文) +中文正文(宋 体), 10 磅, 字体颜色: 自动设置, 不检查拼写或语法

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684 685 686 **带格式的**:居中 687 Table 1_Physics and Chemistry processes in GRAPES_CUACE 带格式表格 Physics and Chemistry References options Explicit precipitation WDM6 Lim and Hong, 2010 Cumulus clouds KFETA Scheme Kain, 2004 Longwave radiation Goddard Chou et al., 2001 Goddard Chou et al., 1998 Shortwave radiation Pleim, 2007 Surface layer SFCLAY Schem Planatory Boundary layer MRF Schem Hong et al.,,1996, 2006 Land surface SLAB Scheme Kusaka et al., 2001 Gas-phase chemistry RADM II Stockwell et al., 1990 Aerosol Scheme CUACE Zhou et al., 2012 Aerosol Direct effect External Mixing Wang et al., 2015 带格式表格 CAUCE+WDM6 Aerosol Indirect effect Zhou et al., 2016 688 689 690 691 692 693 694 695 696 697 698 699

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Table <u>1-2 Sensitive</u> Experiments Design

	Experiments	Description of model Experiments
	EXP1	Background experiment: ignoring aerosols radiation and conventional DC of
		chemical tracers calculated by PBL scheme in GRAPES_CUACE
	EXP2	Sensitive experiment with aerosols radiation feedback online and conventional
		turbulent diffusionDC of chemical tracers by PBL scheme in GRAPES_CUACE
	EXP3	Sensitive experiment with aerosols radiation feedback online, and only DC of 带格式表格
		chemical tracers is set as 20% of the conventional DC-value _calculated by PBL
		scheme -, representing a supposed compensation for the deficient description of
		extreme weak turbulent diffusion by PBL scheme-during severe haze, DC in
		physical and dynamic processes was same with EXP1
707 708	_	

709		Table 3 <u>VOCs in the emission data</u>		
710	VOCs	Full name	* 27-	带格式的:检查拼写和语法
711	ALD	Acetaldehyde and higher aldehydes		带格式表格
712	CH4	Methane		带格式的 ([1]]
713	CSL	Cresol and other hydroxy substituted aromatics.		带格式的 ([2]
714	ETH	Ethane		带格式的 ([3]
715	HC3	Alkanes w/ 2.7x10-13 > kOH < $3.4x10-12$	///	带格式的 ([4]
716	HC5	Alkanes w/ $3.4x10-12 > kOH < 6.8x10-12$	////	带格式的 ([5]
717	HC7	w/kOH > 6.8x10-12	///	一带格式的 ([6]
718	нси	Formaldehyde		一带格式的 ([7]
719	ISOP	Isoprene		一带格式的 ([8]
720	VET	Ketenes		
721		Ethana		(10])
722	OL2			带恰式的: 位首拼与和诺法
723	OLI			(市借式印) ([11] (港校書的 , 於泰拼写和语法
724	OLI		l in 'i	
725	ORA2	Acetic and higher acids		(市市 式山) ([12] 世格式山・ 空休・(戦社) Times
726	PAR	Paraffin carbon bond		New Roman, (中文) +中文正文 (宋
720	TERPB	Monoterpenes	MM	(本), 10 傍
727	TOL	Toluene and less reactive aromatics	¬\\\\	
/28	XYL	Xylene and more reactive aromatics	\\	带格式的:检查拼与和语法
729				帶格式的: 字体:(默认)Times New Roman.(中文)+中文正文(宋
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Date	Shijiazhuang		Beijing		Xianghe	
	OBS	MODEL	OBS	MOEL	OBS	MODEL
15	0.46	0.55	0.07	0.12	0.10	0.15
16	0.62	0.60	0.14	0.18	0.60	0.40
17	1.30	1.10	0.50	0.56	1.33	1.05
18	1.42	1.20	0.69	0.75	0.87	0.97
19	1.26	1.30	0.50	0.86	0.96	0.90
20	*	1.20	1.90	1.70	*	1.50
21	*	0.65	1.76	1.50	1.78	1.60
22	0.18	0.30	0.10	0.20	0.18	0.22

Table 4 Observed and Modeled daily AOD (* stands for shortage of observation)

Table 5 Observed and Modeled daily SSA (* stands for shortage of observation)

Date	Shij	Shijiazhuang		Beijing		Xianghe	
	OBS	MODEL	OBS	MOEL	OBS	MODEL	
15	0.83	0.85	0.81	0.83	0.86	0.84	
16	0.83	0.85	0.88	0.86	0.92	0.86	
17	0.88	0.89	0.88	0.90	0.93	0.90	
18	0.87	0.89	0.91	0.92	0.90	0.90	
19	0.86	0.91	0.90	0.93	0.92	0.91	
20	*	0.90	*	0.93	*	0.92	
21	*	0.88	0.93	0.93	*	0.90	
22	0.82	0.83	0.84	0.86	0.88	0.84	

752		
753	Figure captions	
754	Fig.1 _Model domain and location of Jing-Jin-Ji (a), cities locations-Features of geographical location and	
755	topography of Jing-Jin-Ji (b) (blue dots are the locations of PM2.5 observation, red triangles stands for the	
756	locations of automatic weather stations, and yellow stars are the two sounding station, black crosses are the	
757	CARSNET and AEROSNET stations)	
758	Fig. 2- GPH (shaded, gp10m), Temp (broken black line, K) and Wind (wind bar, m/s) at high (500hPa)	
759	and middle (700hPa), and GPH and Wind at low atmosphere (850hPa) and PBL levels (900, 950, 1000hPa)	
760	on 00 UTC, 19 December, 2016	
761	Fig. 3 Observed and modeled wind speed and temperature at surface (up) and PBL mean wind speed and*	带格式的: 两端对齐
762	temperature (down) by EXP1, EXP2, and EXP3 in Beijing, Xingtai, and average in Jing-Jin-Ji from 15 to	
763	24 December	
764	Fig.4 Mean PM2.5 concentration (µg/m3) of ObservationMean -Observed (OBS PM2.5) and Modeled	带格式的: 字体: 非加粗
765	PM2 5 concentration (ug/m3) of EG stage of PMac and by EXP1 EXP2 EXP3 (PMac EXP1 PMac EXP2	帯格式的: 非上标/下标 #枚式的:下标
705	THE CONCENTRION (FEED AND THE STATES OF THE	
/00	and $PM_{2.5}$ EXP3) of EO stage	
767	Fig. 5 The mean percentage change of SDSRF (W/m ²) due to aerosol (a) and aerosol and DTD (b) of EG	
768	stage ,Fig. 5_	带格式的: 子体:非加粗
769	Fig.36 Variation of Profiles of the average temperature changes profiles in Jing-Jin-Ji due to aerosol	
770	radiation AF (K) from 15 to 20 December, 2016.	
771	Fig.7 Sounding observed and modeled temperature profiles by $\frac{\text{EXP_bk}\text{EXP1}}{\text{EXP}}$ and $\text{EXP}_{af_{d_{d_{d_{d_{d_{d_{d_{d_{d_{d_{d_{d_{d_$	
772	(a) and EGS-EG stage (b) in Beijing and Xingtai.	
773	Fig.8 Hourly changing of $PM_{2.5}$ _OBS, $PM_{2.5}$ _bkEXP1, $PM_{2.5}$ _td_afEXP2, and $PM_{2.5}$ _td20_tf_EXP3	
774	(μ g/m ³), together with the turbulent diffusion coefficient <u>at 950hPa-(DC_bk, DC_td_af, and DC_td20_af</u>)	
775	of the three experiments (DC_EXP1, DC_EXP2, DC_EXP3) from 15 to 22 December, 2016 in Beijing (a)	
776	and Xingtai (b)	
777	Fig.9 The diagrammatic sketch of the contributions to the $PM_{2.5}$ EG due to ARF and DTD	
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stage (b) in Beijing and Xingtai.



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Fig.9 The diagrammatic sketch of the contributions to the $PM_{2.5}$ EG due to AF and DTD**Fig.6** The diagrammatic sketch of the contributions to the $PM_{2.5}$ EG due to AF and DTD

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