



1     **Source contributions and potential reductions to health effects of particulate**  
2     **matter in India**

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19



20 **Abstract**

21 Health effects of exposure to fine particulate matter (PM<sub>2.5</sub>) in India were estimated in this study  
22 based on a source-oriented version of the Community Multi-scale Air Quality (CMAQ) model.  
23 Contributions of different sources to premature mortality and years of life lost (YLL) were  
24 quantified in 2015. Premature mortality due to cerebrovascular disease (CEV) was the highest in  
25 India (0.44 million), followed by ischaemic heart disease (IHD, 0.40 million), chronic obstructive  
26 pulmonary disease (COPD, 0.18 million) and lung cancer (LC, 0.01 million), with a total of 1.04  
27 million deaths. The states with highest premature mortality were Uttar Pradesh (0.23 million),  
28 Bihar (0.12 million) and West Bengal (0.10 million). The highest total YLL was two years in Delhi,  
29 and the Indo-Gangetic plains and east India had higher YLL (~ 1 years) than other regions. The  
30 residential sector was the largest contributor to PM<sub>2.5</sub> concentrations (~ 40 µg/m<sup>3</sup>), total premature  
31 mortality (0.58 million), and YLL (~ 0.2 years). Other important sources included industry (~ 20  
32 µg/m<sup>3</sup>), agriculture (~ 10 µg/m<sup>3</sup>), and energy (~ 5 µg/m<sup>3</sup>) with their national averaged contributions  
33 of 0.21, 0.12, and 0.07 million to premature mortality, and 0.12, 0.1, and 0.05 years to YLL.  
34 Reducing PM<sub>2.5</sub> concentrations would lead to a significant reduction of premature mortality and  
35 YLL. For example, premature mortality in Uttar Pradesh (including Delhi) due to PM<sub>2.5</sub> exposures  
36 would be reduced by 79% and YLL would be reduced by 83% when reducing PM<sub>2.5</sub> concentrations  
37 to 10 µg/m<sup>3</sup>.

38 **Keywords:** Premature mortality, YLL, India, PM<sub>2.5</sub> exposure, CMAQ



## 39 1. Introduction

40 Due to insufficient control of emissions from a rapid increase in population, industries,  
41 urbanization and energy consumption, health effects associated with air pollution in developing  
42 countries in Asia are severe (Cohen et al., 2005). India, the second most populous country in the  
43 world, has been experiencing extremely high concentrations of fine particulate matter (PM<sub>2.5</sub>) in  
44 recent decades. In 2015, PM<sub>2.5</sub> concentrations in south, east, north and west Indian cities were 6.4,  
45 14.8, 13.2 and 9.2 times of the World Health Organization (WHO) annual guideline value of 10  
46 µg/m<sup>3</sup> (Garaga et al., 2018). It is estimated that India accounted for 0.65 million out of the 3.3  
47 million deaths resulted from air pollution caused by PM<sub>2.5</sub> globally in 2010 (Lelieveld et al., 2015).  
48 Outdoor PM<sub>2.5</sub> was also ranked as seventh in causes of death in India during 1990-2010 (IHME,  
49 2013).

50 Efforts have been made to estimate the premature deaths associated with PM<sub>2.5</sub> in India. For  
51 example, Sahu and Kota (2017) estimated that 41 out of 100 thousand lives in Delhi could be saved  
52 by meeting the World Health Organization (WHO) suggested annual PM<sub>2.5</sub> guideline based on  
53 time series analysis. Such studies require extensive data, which is not available in all Indian cities.  
54 Few studies estimate the health effects using regional and global models, and satellite data.  
55 Lelieveld et al. (2015) estimated the global premature mortality of chronic obstructive pulmonary  
56 disease (COPD), cerebrovascular disease (CEV), ischaemic heart disease (IHD) and lung cancer  
57 (LC) using predicted PM<sub>2.5</sub> concentrations from a global atmospheric model and exposure-  
58 response equations from Burnett et al. (2014). In addition to premature mortality, years of life lost  
59 (YLL) an important indicator for health effects associated with PM<sub>2.5</sub>, which accounts for the ages  
60 of those who die and age distribution of population, is more informative and meaningful for  
61 estimation of the burden of air pollution on health and environmental policy decision. Fann et al.  
62 (2012) used exposure risk functions from a cohort study by American Cancer Association  
63 (Krewski et al., 2009) and life expectancy and life lost with standards tables from Centers of  
64 Disease Control to estimate nearly 1.1 million life years lost due to PM<sub>2.5</sub> exposure in 2005 in the  
65 United States. Ghude et al. (2016) predicted 0.57 million premature deaths and 3.4 ±1.1 years of  
66 YLL associated with PM<sub>2.5</sub> in India for 2011.

67 To effectively design pollution control strategies, the contributions of different emission sources  
68 to PM<sub>2.5</sub> concentrations are crucial. Source-oriented chemical transport models (CTM) based on



69 tagged tracer technique have been developed and used for source apportionment of gases (Kota et  
70 al., 2014) and PM (Kota et al., 2015; Ying et al., 2015; Zhang and Ying, 2010) in the past. Guo et  
71 al. (2017), which was the first study to use the source-oriented Community Multi-scale Air Quality  
72 (CMAQ) model in India, showed residential sector contributed the most ( $\sim 80 \mu\text{g}/\text{m}^3$ ) to total  $\text{PM}_{2.5}$ ,  
73 followed by industry sector ( $\sim 70 \mu\text{g}/\text{m}^3$ ) in 2015. Recently, Hu et al. (2017) estimated the  
74 premature mortality caused by different sources of  $\text{PM}_{2.5}$  in China and showed that industrial and  
75 residential sources contributed to 0.40 (30.5%) and 0.28 (21.7%) million premature deaths,  
76 respectively. However, no studies have attributed the health effects to different sources of  $\text{PM}_{2.5}$   
77 in India till date.

78 The objective of this study is to estimate contributions of each emission sectors to  $\text{PM}_{2.5}$  related  
79 mortality and YLL in India. The potential health benefits of reducing  $\text{PM}_{2.5}$  concentrations in  
80 different Indian states are also explored. Such study would be of tremendous value for the  
81 government to channel their resources in reducing pollution in India.

## 82 **2. Method**

### 83 **2.1 Model application for $\text{PM}_{2.5}$ prediction and source apportionment**

84 The models used in this study were based on CMAQ 5.0.1 with a modified SAPRC11  
85 photochemical mechanism and aerosol module version 6 (AERO6). Heterogeneous formation of  
86  $\text{SO}_4$ ,  $\text{NO}_3$ , and SOA formation from surface uptakes was incorporated to improve model  
87 performance (Hu et al., 2016; Ying et al., 2015). Source contributions of primary PM (PPM) and  
88 its chemical components were estimated using tagged non-reactive tracers. The tracers from each  
89 source sector go through all atmospheric processes similar to other species. Detailed information  
90 on this source apportionment method could be found in Guo et al. (2017) and the references therein.  
91 The source contributions to secondary inorganic aerosol (SIA) were determined by tracking  $\text{SO}_2$ ,  
92  $\text{NO}_x$ , and  $\text{NH}_3$  through atmospheric processing using tagged reactive tracers. Both the  
93 photochemical mechanism and aerosol module were expanded so that  $\text{SO}_4$ ,  $\text{NO}_3$ , and  $\text{NH}_4$  and  
94 their precursors from different sources are tracked separately throughout the model calculations  
95 (Qiao et al., 2015; Zhang et al., 2014; Zhang et al., 2012).

96 The default vertical distributions of concentrations that represented clean continental conditions  
97 provided by the CMAQ model were used for the 36-km domain covering the whole India (Figure  
98 S1). The Weather Research & Forecasting model (WRF) v3.7.1 was utilized to generate



99 meteorology inputs for CMAQ, and Emissions Database for Global Atmospheric Research  
 100 (EDGAR) version 4.3 (<http://edgar.jrc.ec.europa.eu/overview.php?v=431>) were used for six  
 101 anthropogenic emissions: energy, industry, residential, on-road, off-road and agriculture. The  
 102 biogenic emissions were generated by Model for Emissions of Gases and Aerosols from Nature  
 103 (MEGAN) v2.1 (Guenther et al., 2012) and wildfire emissions were from the Fire Inventory from  
 104 NCAR (FINN), which was based on satellite observations (Wiedinmyer et al., 2011). Dust and sea  
 105 salt emissions were generated in line during simulations. Details of the model application and the  
 106 performance in 2015 can be found in Kota et al. (2018).

## 107 2.2 Estimation of premature mortality

108 The relative risk (RR) due to COPD, CEV, IHD and LC related mortality associated with long-  
 109 term exposure of  $PM_{2.5}$  concentrations is calculated using integrated exposure-response function  
 110 estimated by Burnett et al. (2014) as described in Eq. (1) and Eq. (2).

$$111 \quad RR = 1, \quad \text{for } c < c_{cf} \quad (1)$$

$$112 \quad RR = 1 + \alpha \left\{ 1 - \exp \left[ -\gamma (c - c_{cf})^\delta \right] \right\}, \quad \text{for } c \geq c_{cf} \quad (2)$$

113 where  $C_{cf}$  is the threshold concentration below which there is no additional risk. A total of 1000  
 114 sets of  $\alpha$ ,  $\gamma$ ,  $\delta$  and  $C_{cf}$  values generated using Monte Carlo simulations for each disease were  
 115 obtained from the Global Health Data Exchange website  
 116 ([http://ghdx.healthdata.org/sites/default/files/record-attached-](http://ghdx.healthdata.org/sites/default/files/record-attached-files/IHME_CRCurve_parameters.csv)  
 117 [files/IHME\\_CRCurve\\_parameters.csv](http://ghdx.healthdata.org/sites/default/files/record-attached-files/IHME_CRCurve_parameters.csv)).  $C$  is the predicted  $PM_{2.5}$  concentration. RR values are  
 118 calculated for each set of  $\alpha$ ,  $\gamma$ ,  $\delta$  and  $C_{cf}$  for all people above the age of 25 and for each grid cell in  
 119 the domain. Then, the premature mortality is calculated as Eq. (3).

$$120 \quad \Delta Mort = y_o [(RR - 1)/RR] Pop \dots \dots \dots (3)$$

121 where  $y_o$  refers to baseline mortality rate for a particular disease in India as listed in Table S1,  
 122 obtained from based on the WHO Mortality Database and Pop is the population in a certain grid  
 123 cell as listed in Table S2. The mean, lower (2.5%) and upper (97.5%) limits of premature mortality  
 124 associated with each disease in a grid are estimated using the 1000 RR values. Total premature  
 125 mortality is calculated by adding premature mortality for each disease in a grid. Total average  
 126 premature mortality in a state is obtained by adding all average premature mortalities of all grids



127 in the state multiplied by the fraction of the grid inside the state. A similar approach is used for  
128 calculating the upper and lower limits of premature mortality.

### 129 **2.3 Estimation of years of life lost**

130 Years of life lost (YLL) is another important index to reflect the health impact of PM<sub>2.5</sub>  
131 concentrations (Guo et al., 2013; Pope III et al., 2009; Romeder and McWhinnie, 1977; Yim and  
132 Barrett, 2012). It is a measure of the average years a person would have lived if he or she had not  
133 died prematurely due to some specific reason. YLL is usually calculated as a summation of the  
134 number of deaths at each age group multiplied by the number of years remaining as shown in Eq.  
135 (4).

$$136 \quad YLL = \sum_{i=1}^{n-1} a_i d_i = \sum_{i=1}^{n-1} (n - y(i) - 0.5) d_i \dots \dots \dots (4)$$

137 where  $d_i$  is the number of deaths in age group  $i$  ( $i = 1, 7$ ) as shown in Table S2  $n$  is the life  
138 expectancy of India (male= 66.2 and female= 69.1 in 2013),  $y(i)$  is the mean age of age group  $i$   
139 and  $a_i$  is the remaining years of life left when death occurs in age group  $i$ . In this study, the overall  
140 YLL was divided by population in a certain grid cell to get life expectancy loss per person (Pope  
141 III et al., 2009).

## 142 **3. Results**

### 143 **3.1 Predicted premature mortality and YLL**

144 Figure 1 shows the predicted annual PM<sub>2.5</sub> concentrations in India for 2015, with the highest  
145 concentration of ~120  $\mu\text{g}/\text{m}^3$  in Delhi and some states in east India. The spatial distribution of  
146 PM<sub>2.5</sub> concentration shows that the Indo-Gangetic plains have a higher concentration than other  
147 regions. East and parts of central India also have high PM<sub>2.5</sub> concentrations, while west and south  
148 India are less polluted. The population-weighted concentration (PWC) throughout the country is  
149 32.8  $\mu\text{g}/\text{m}^3$  (Table 1). East India is the most polluted with 47.8  $\mu\text{g}/\text{m}^3$ , closely followed by north  
150 India 43.1  $\mu\text{g}/\text{m}^3$ . PWC values are 31.2  $\mu\text{g}/\text{m}^3$  in south, 25.4  $\mu\text{g}/\text{m}^3$  in the northeast, 23.9  $\mu\text{g}/\text{m}^3$  in  
151 the west and 23.5  $\mu\text{g}/\text{m}^3$  in central India. Delhi is the state with the highest PWC of 66.3  $\mu\text{g}/\text{m}^3$ .  
152 The states apart from Delhi, where PWC is higher than the national average, are Sikkim 54.7  $\mu\text{g}/\text{m}^3$ ,  
153 West Bengal 54.1  $\mu\text{g}/\text{m}^3$ , Bihar 53.1  $\mu\text{g}/\text{m}^3$ , Haryana 47.3  $\mu\text{g}/\text{m}^3$ , Uttar Pradesh 47.3  $\mu\text{g}/\text{m}^3$ ,  
154 Jharkhand 39.2  $\mu\text{g}/\text{m}^3$  and Punjab 35.5  $\mu\text{g}/\text{m}^3$ .



155 The total premature mortality for adults ( $\geq 25$  years old) and those due to COPD, LC, IHD, and  
156 CEV are also shown in Figure 1. The total premature mortality peaks at populous megacities  
157 located at coastal area, Indo-Gangetic plains, and west India. For example, in Indo-Gangetic plains,  
158 where the population density is more than 1 million per gird (i.e.,  $36 \text{ km} \times 36 \text{ km}$ ), premature  
159 mortality can be as high as 3000 deaths per 100,000 persons. Premature mortalities of COPD, LC,  
160 IHD, and CEV show a similar spatial distribution with the total. CEV is the largest contributor and  
161 has peak values at Indo-Gangetic plains. COPD and IHD are also important with a peak of  $\sim 1400$   
162 deaths per 100,000 persons at Indo-Gangetic plains. LC contributes the least to total premature  
163 mortality.

164 Table 1 also shows that the total premature mortality for adults in India for 2015 is approximately  
165 1.04 million with CI95 of 0.53-1.54 million. High premature mortality is in the populous states  
166 such as Uttar Pradesh (0.23 million), Bihar (0.12 million) and West Bengal (0.10 million) as shown  
167 in Figure S2. In addition, states such as Maharashtra (0.09 million) and Andhra Pradesh (0.06  
168 million) also have high premature mortality. Generally, the states in Indo-Gangetic plains and east  
169 India have a higher premature mortality than other states. South states have lower premature  
170 mortality. Premature mortality due to CEV is highest in India (0.44 million), followed by IHD  
171 (0.43 million), COPD (0.18 million) and LC (0.01 million) (Table 1). States with high PWC have  
172 slightly higher CEV premature mortality compared to IHD. IHD and CEV constitute about 81 %  
173 of the total premature mortality over the country in 2015.

174 Table S3 shows the comparison of the results with other studies. This study predicted higher total  
175 premature mortality (1.04 million) compared to Lelieveld et al. (2015) (0.65 million) and Ghude  
176 et al. (2016) (0.57 million). Considering the uncertainty range (0.53- 1.54 million), our result is  
177 comparable with these two studies. The difference may be caused by the higher resolution (36 km)  
178 compared with Lelieveld et al. (2015) (100 km) and different simulation episode (2015) compared  
179 with Ghude et al. (2016) in 2011. The ratios of the four diseases to the total are close in this study  
180 and Lelieveld et al. (2015), except IHD and CEV.

181 Figure 2 shows the total YLL and to the contributions of COPD, LC, IHD, and CEV. The YLL for  
182 entire India is the highest for CEV (0.8 years) and closely followed by IHD (0.7 years). LC has  
183 the least YLL (0.03 years), while COPD has the YLL of 0.45 years. YLL for states in north, east,  
184 south and west India are 1.2, 1.0, 0.2 and 0.4 years, respectively. The highest total YLL is  $\sim 2$



185 years in Delhi, indicating  $PM_{2.5}$  concentrations strongly threaten the health of people living in the  
186 capital of India. Indo-Gangetic plains and east India have higher YLL ( $\sim 1$  years) compared to  
187 other regions. Another study conducted in India for 2011 showed that  $PM_{2.5}$  concentration  
188 associated lost life expectancy is  $3.4 \pm 1.1$  years (Ghude et al., 2016). The difference is due to the  
189 different episodes and methods in calculating YLL. In Ghude et al (2016), YLL was calculated  
190 based on the linear relationship assumption that an increase of  $1 \mu\text{g}/\text{m}^3$  in  $PM_{2.5}$  exposure decreases  
191 mean life expectancy by about  $0.061 \pm 0.02$  years (Pope III et al., 2009), which introduced  
192 additional uncertainties to their result.

### 193 3.2 Source apportionment of premature mortality and YLL

194 Figure 3 shows the annual contributions of different sources to total  $PM_{2.5}$  concentration.  
195 Residential sector contributes highest to total  $PM_{2.5}$  with  $\sim 40 \mu\text{g}/\text{m}^3$ , followed by industry sector  
196 ( $\sim 20 \mu\text{g}/\text{m}^3$ ). Energy sectors and agriculture sector contribute to  $\sim 5 \mu\text{g}/\text{m}^3$  and  $\sim 8 \mu\text{g}/\text{m}^3$ . In north,  
197 east, south and west India, residential sector ( $\sim 40 \mu\text{g}/\text{m}^3$ ), residential sector ( $\sim 15 \mu\text{g}/\text{m}^3$ ),  
198 residential sector ( $\sim 5 \mu\text{g}/\text{m}^3$ ) and industry sector ( $\sim 30 \mu\text{g}/\text{m}^3$ ) have the maximum contributions  
199 to total  $PM_{2.5}$ , respectively. Open burning has significant high contributions ( $\sim 1 \mu\text{g}/\text{m}^3$ ) in  
200 northeast India. Energy  $PM_{2.5}$  concentrations have significant high concentration point at north ( $\sim$   
201  $30 \mu\text{g}/\text{m}^3$ ) and east ( $\sim 15 \mu\text{g}/\text{m}^3$ ) India compared to other parts of the country as several coal-based  
202 power plants located there (Guttikunda and Jawahar, 2014). On the contrary, industry, residential  
203 and agriculture sector distribute evenly at Indo-Gangetic plain. Residential source peaks in north  
204 Pakistan and dust source peaks in desert areas in other countries. In most states, residential is the  
205 largest contributor because residential heating during October to December are the main sources  
206 of  $PM_{2.5}$  (Vadrevu et al., 2011).

207 The total premature mortality due the eight source sectors and SOA is shown in Figure 4 and  
208 portions of the contribution of each source type of each state in India is listed in Table S4.  
209 Residential (55.45%), Industry (19.66%), Agriculture (11.90%), and Energy (6.80%) are the major  
210 sources contributing to premature mortality due to  $PM_{2.5}$  concentrations. Contributions of  
211 residential, industry, agriculture and energy sectors are maximum in Bihar (62.01%), Delhi (40%),  
212 Assam (24.37%) and Chhattisgarh (22.63%), respectively. Overall premature mortality in more  
213 than 90% of the states is dominated by residential source. The uses of primitive methods of cooking  
214 instead of cooking gas and electric heaters could be a top factor. Burning of solid fuels for cooking



215 and other purposes could be another important factor. Highest contributions to premature mortality  
216 from residential sources are in states at Indo-Gangetic plains and east India. Premature mortality  
217 of residential sector in south Indian states is lower compared with other parts of India, while  
218 premature mortality of industry sector is more important in western states. Delhi is affected the  
219 most among all states by industrial source, and premature mortality due to the energy sector is  
220 higher in mineral-rich states such as Chhattisgarh. Agriculture  $PM_{2.5}$  contributes highest to  
221 premature mortality in Assam. Premature mortality in other northeast states such as Meghalaya,  
222 Mizoram, Tripura, Manipur, Nagaland, and Sikkim are also contributed significantly by  
223 agriculture  $PM_{2.5}$ . In comparison with Lelieveld et al. (2015), this study predicts higher  
224 contributions from industry and agriculture sectors but lower from traffic and dust sectors due to  
225 the differences in emissions (Table S3).

226 Figure 5 showed YLL attributed to different source types and SOA. Similar to the pattern of  
227 premature mortality in Figure 4, residential is the top factor, which reduces  $\sim 0.6$  years in severe  
228 polluted and populous area like Delhi, followed by industry, energy, and SOA. A significant peak  
229 of industry YLL is at west India and high YLL occurs at Indo-Gangetic plains. Unlike the spatial  
230 distribution of industry contributions to YLL, YLL for energy sector shows some point sources of  
231 energy emission in central India. For SOA, YLL is  $\sim 0.1$  years for majority parts of India with a  
232 high YLL ( $\sim 0.35$  year) in southeast India. YLL for agriculture sector distributes evenly at Indo-  
233 Gangetic plains and peaks at west India ( $\sim 0.12$  year).

### 234 **3.3 Potential reduction of premature mortality with reduced $PM_{2.5}$ concentrations**

235 Figure 6 shows the normalized premature mortality with a fractional reduction in  $PM_{2.5}$   
236 concentrations (relative to 2015 concentrations) for the whole of India and top  $PM_{2.5}$  polluted states,  
237 Bihar, Maharashtra, Uttar Pradesh (including Delhi), West Bengal. It shows that the decrease of  
238 premature mortality is slower in the beginning when  $PM_{2.5}$  concentrations are higher, and the  
239 marginal benefit of  $PM_{2.5}$  reduction to premature mortality increases as PM concentrations  
240 decrease. A 30% of reduction in  $PM_{2.5}$  in whole India only lead to a 25% reduction in mortality  
241 from the 2015 level without considering population increases, but 90% reduction in mortality  
242 could be achieved with an 80% decreasing in  $PM_{2.5}$ .  $PM_{2.5}$  concentrations need to be reduced by  
243 65%, 50%, 60% and 65%, respectively, for Bihar, Maharashtra, Uttar Pradesh (including Delhi)  
244 and West Bengal to achieve a 50% reduction in  $PM_{2.5}$ -related premature mortality.



245 Figure 7 evaluates the premature mortality and YLL benefit when  $PM_{2.5}$  concentrations in the  
246 whole of India and top  $PM_{2.5}$  polluted states, Bihar, Maharashtra, Uttar Pradesh (including Delhi)  
247 and West Bengal are reduced to four different standards, i.e., Indian National Ambient Air Quality  
248 Standard (INAAQS) of  $40 \mu\text{g}/\text{m}^3$ , WHO interim target 3 (WHO IT3) of  $15 \mu\text{g}/\text{m}^3$ , the United  
249 States (U.S.) Ambient Air Quality Standards (NAAQS) annual standard of  $12 \mu\text{g}/\text{m}^3$ , and the WHO  
250 guideline level of  $10 \mu\text{g}/\text{m}^3$ . The reductions of the premature mortality when  $PM_{2.5}$  concentrations  
251 in the highly polluted regions (annual average concentration  $\geq 40 \mu\text{g}/\text{m}^3$ ) are shown in Table S5.  
252 For example, the premature mortality in Uttar Pradesh (including Delhi) due to  $PM_{2.5}$  exposure  
253 will be reduced by 79% from 0.25 million to approximately 0.06 million and the YLL will be  
254 reduced by 83% from 1.27 year to 0.22 year when  $PM_{2.5}$  concentrations drop to  $10 \mu\text{g}/\text{m}^3$ . The  
255 reductions of premature mortality are also more significant in most populous states such as Uttar  
256 Pradesh (79%) and West Bengal (80%). However, the decrease is not significant when  $PM_{2.5}$   
257 concentrations drop to current INAAQS standards for  $40 \mu\text{g}/\text{m}^3$  as it only reduces premature  
258 mortality by 13.10% and YLL by 9.85% for the whole India. When  $PM_{2.5}$  concentrations drop to  
259  $15 \mu\text{g}/\text{m}^3$ , premature mortality for India will reduce to 0.37 million and YLL will decrease to 0.56  
260 year. In  $12 \mu\text{g}/\text{m}^3$  case, premature mortality and YLL will be reduced to 0.17 million and 0.39 year.  
261 This indicates that the current INAAQS standards are not sufficient to reduce health impacts of air  
262 pollution in India.

#### 263 4. Conclusion

264 A source-oriented CMAQ modeling system with meteorological inputs from the WRF model was  
265 used to quantify source contributions to concentrations and health effects of  $PM_{2.5}$  in India for  
266 2015. The predicted annual  $PM_{2.5}$  concentrations in India for 2015 could reach  $120 \mu\text{g}/\text{m}^3$  in Delhi  
267 and some states in east India has a total mortality greater than 3000 deaths per 100,000 persons.  
268 The total premature mortality in India for adult  $\geq 25$  years old in 2015 was approximately 1.04  
269 million. Uttar Pradesh (0.23 million), Bihar (0.12 million) and West Bengal (0.10 million) had  
270 higher premature mortality compared to other states. YLL peaks at Delhi with  $\sim 2$  years and Indo-  
271 Gangetic plains and east India have high YLL ( $\sim 1$  years) compared to other regions in India. The  
272 residential sector is the top contributor (55.45%) to total premature mortality and contributes to  $\sim$   
273 0.2 years to YLL with source contribution of  $\sim 40 \mu\text{g}/\text{m}^3$  to total  $PM_{2.5}$ . Reducing the  $PM_{2.5}$   
274 concentrations to the WHO guideline value of  $10 \mu\text{g}/\text{m}^3$  would result in a 79% reduction of  
275 premature mortality and 83% reduction of YLL in Uttar Pradesh (including Delhi) due to  $PM_{2.5}$



276 exposures. The total mortality and YLL of whole India would also be significantly reduced by  
277 decreasing current PM<sub>2.5</sub> level to 10 µg/m<sup>3</sup>.

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 Table 1. Population ( $\times 10^6$ ), population-weighted concentration (PWC,  $\mu\text{g}/\text{m}^3$ ) and premature mortality ( $\times 10^4$  deaths) due to COPD, LC, IHD, and CEV in each state or union territory in India.

State	Population	PWC	COPD	LC	IHD	CEV	Total
Andhra Pradesh	85.3	22.45	0.96 (0.37, 1.63)	0.07 (0.01, 0.11)	2.48 (1.73, 3.54)	2.18 (0.83, 3.42)	5.69 (2.94, 8.70)
Arunachal Pradesh	2.2	10.08	0.01 (0.00, 0.02)	0.00 (0.00, 0.00)	0.03 (0.02, 0.05)	0.01 (0.01, 0.03)	0.05 (0.03, 0.09)
Assam	28.5	23.86	0.34(0.13, 0.57)	0.02 (0.01, 0.04)	0.86 (0.61, 1.23)	0.80 (0.30, 1.25)	2.03 (1.04, 3.09)
Bihar	103.2	53.06	2.25 (1.08, 3.33)	0.17 (0.05, 0.24)	4.10 (3.14, 7.05)	5.63 (1.79, 6.90)	12.15 (6.07, 17.52)
Chandigarh	0.2	30.51	0.00 (0.00, 0.01)	0.00 (0.00, 0.00)	0.01 (0.00, 0.01)	0.01 (0.00, 0.01)	0.02 (0.01, 0.03)
Chhattisgarh	25.8	25.75	0.33 (0.13, 0.55)	0.02 (0.01, 0.04)	0.81 (0.58, 1.17)	0.80 (0.29, 1.26)	1.97 (1.01, 3.01)
Dadra & Nagar Haveli	0.5	20.91	0.00 (0.00, 0.01)	0.00 (0.00, 0.00)	0.01 (0.01, 0.02)	0.01 (0.00, 0.02)	0.03 (0.02, 0.04)
Daman & Diu	0.1	19.6	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	0.00 (0.00, 0.01)	0.00 (0.00, 0.01)	0.01 (0.00, 0.01)
Goa	1.9	18.11	0.02 (0.01, 0.03)	0.00 (0.00, 0.00)	0.05 (0.04, 0.07)	0.04 (0.02, 0.06)	0.11 (0.06, 0.16)
Gujrat	62.4	18.53	0.57 (0.21, 1.01)	0.04 (0.01, 0.07)	1.61 (1.07, 2.27)	1.19 (0.48, 1.95)	3.42 (1.77, 5.30)
Haryana	37.4	47.32	0.75 (0.35, 1.13)	0.06 (0.02, 0.08)	1.43 (1.08, 2.39)	1.88 (0.61, 2.38)	4.12 (2.06, 5.98)
Himachal Pradesh	8.8	15.08	0.06 (0.02, 0.11)	0.00 (0.00, 0.01)	0.18 (0.12, 0.26)	0.12 (0.05, 0.20)	0.37 (0.19, 0.58)
Jammu & Kashmir	12.4	9.80	0.04 (0.01, 0.09)	0.00 (0.00, 0.01)	0.16 (0.08, 0.26)	0.06 (0.02, 0.14)	0.27 (0.11, 0.50)
Jharkhand	36.4	39.25	0.65 (0.29, 1.00)	0.05 (0.01, 0.07)	1.33 (0.99, 2.14)	1.66 (0.54, 2.20)	3.68 (1.82, 5.41)
Karnataka	63.0	16.23	0.51 (0.18, 0.94)	0.04 (0.01, 0.06)	1.56 (1.04, 2.12)	0.97 (0.45, 1.55)	3.08 (1.67, 4.67)
Kerala	35.3	19.44	0.34 (0.12, 0.59)	0.02 (0.00, 0.04)	0.93 (0.63, 1.33)	0.73 (0.29, 1.18)	2.03 (1.05, 3.14)
Madhya Pradesh	77.9	22.62	0.89 (0.34, 1.51)	0.06 (0.01, 0.11)	2.32 (1.65, 3.22)	2.06 (0.82, 3.26)	5.35 (2.81, 8.10)
Maharashtra	117.1	28.61	1.58 (0.65, 2.57)	0.11 (0.03, 0.18)	3.72 (2.68, 5.44)	3.73 (1.38, 5.52)	9.14 (4.74, 13.70)
Manipur	2.7	21.13	0.03 (0.01, 0.05)	0.00 (0.00, 0.00)	0.08 (0.05, 0.11)	0.06 (0.03, 0.10)	0.17 (0.09, 0.26)
Meghalaya	4.3	22.07	0.05 (0.02, 0.08)	0.00 (0.00, 0.01)	0.13 (0.09, 0.17)	0.11 (0.04, 0.17)	0.29 (0.15, 0.43)
Mizoram	1.5	19.72	0.02 (0.01, 0.03)	0.00 (0.00, 0.00)	0.04 (0.03, 0.06)	0.03 (0.01, 0.05)	0.09 (0.05, 0.14)
Nagaland	3.2	19.51	0.03 (0.01, 0.06)	0.00 (0.00, 0.00)	0.09 (0.06, 0.12)	0.07 (0.03, 0.11)	0.19 (0.10, 0.29)
Delhi	8.1	66.28	0.21 (0.10, 0.29)	0.02 (0.01, 0.02)	0.34 (0.27, 0.61)	0.49 (0.16, 0.57)	1.06 (0.54, 1.50)
Odisha	43.4	29.59	0.63 (0.26, 1.01)	0.05 (0.01, 0.07)	1.44 (1.05, 2.17)	1.57 (0.54, 2.32)	3.69 (1.86, 5.57)
Puducherry	1.2	15.40	0.01 (0.00, 0.02)	0.00 (0.00, 0.00)	0.03 (0.02, 0.04)	0.02 (0.01, 0.03)	0.05 (0.03, 0.08)
Punjab	28.9	35.46	0.48 (0.21, 0.75)	0.04 (0.01, 0.05)	1.02 (0.75, 1.61)	1.22 (0.40, 1.66)	2.75 (1.37, 4.07)
Rajasthan	71.4	20.86	0.74 (0.28, 1.28)	0.05 (0.01, 0.09)	2.00 (1.39, 2.80)	1.64 (0.67, 2.54)	4.44 (2.35, 6.71)
Sikkim	4.5	54.72	0.09 (0.05, 0.13)	0.01 (0.00, 0.01)	0.16 (0.12, 0.29)	0.22 (0.07, 0.26)	0.48 (0.24, 0.69)
Tamil Nadu	70.2	13.82	0.45 (0.15, 0.87)	0.03 (0.00, 0.06)	1.47 (0.88, 2.13)	0.77 (0.33, 1.38)	2.72 (1.36, 4.44)
Tripura	3.7	26.04	0.05 (0.02, 0.08)	0.00 (0.00, 0.01)	0.12 (0.08, 0.17)	0.12 (0.04, 0.19)	0.29 (0.15, 0.44)
Uttar Pradesh	211.2	47.19	4.26 (1.98, 6.41)	0.32 (0.09, 0.45)	8.10 (6.14, 13.63)	10.80 (3.45, 13.59)	23.48 (11.66, 34.09)
Uttarakhand	11.9	15.04	0.08 (0.03, 0.14)	0.01 (0.00, 0.01)	0.23 (0.14, 0.33)	0.16 (0.06, 0.26)	0.47 (0.24, 0.74)
West Bengal	88.9	54.13	1.93 (0.94, 2.86)	0.14 (0.04, 0.20)	3.51 (2.68, 6.00)	4.75 (1.53, 5.81)	10.34 (5.20, 14.87)
India	1254.0	32.78	18.36 (7.94, 29.14)	1.34 (0.35, 2.05)	40.36 (29.22, 62.78)	43.94 (15.27, 60.36)	103.99 (52.78, 154.34)

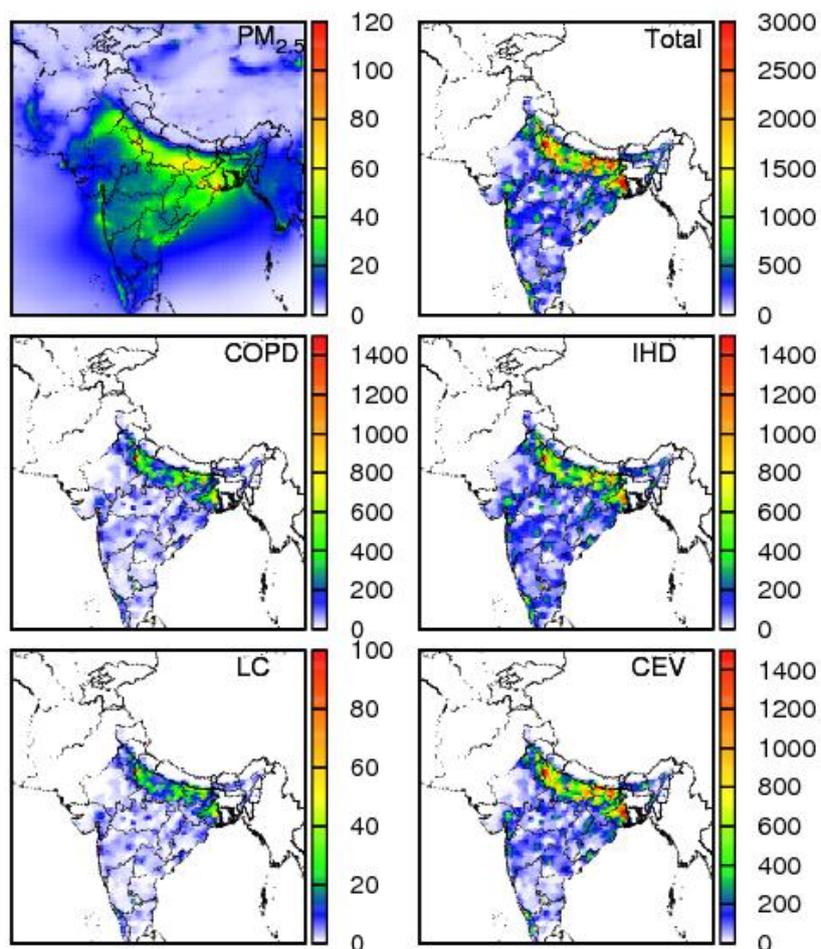


Figure 1. Predicted annual  $\text{PM}_{2.5}$  concentrations ( $\mu\text{g}/\text{m}^3$ ), total premature mortality (death per grid of  $36 \times 36 \text{ km}^2$ ) and premature mortality due to COPD, LC, IHD and CEV in India for 2015.

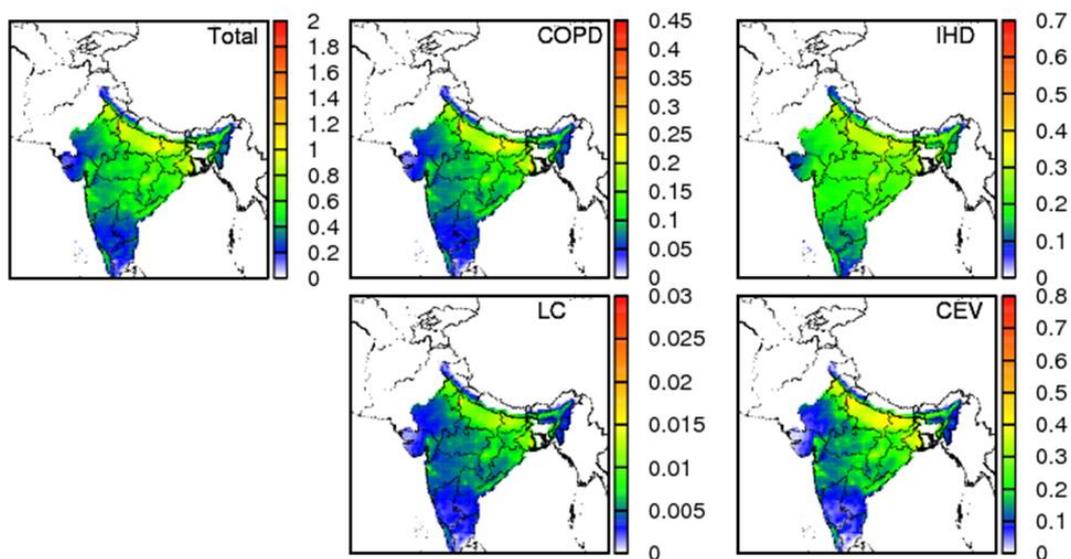


Figure 2. Year of life lost (YLL) based on population (years) due to COPD, LC, IHD, and CEV.

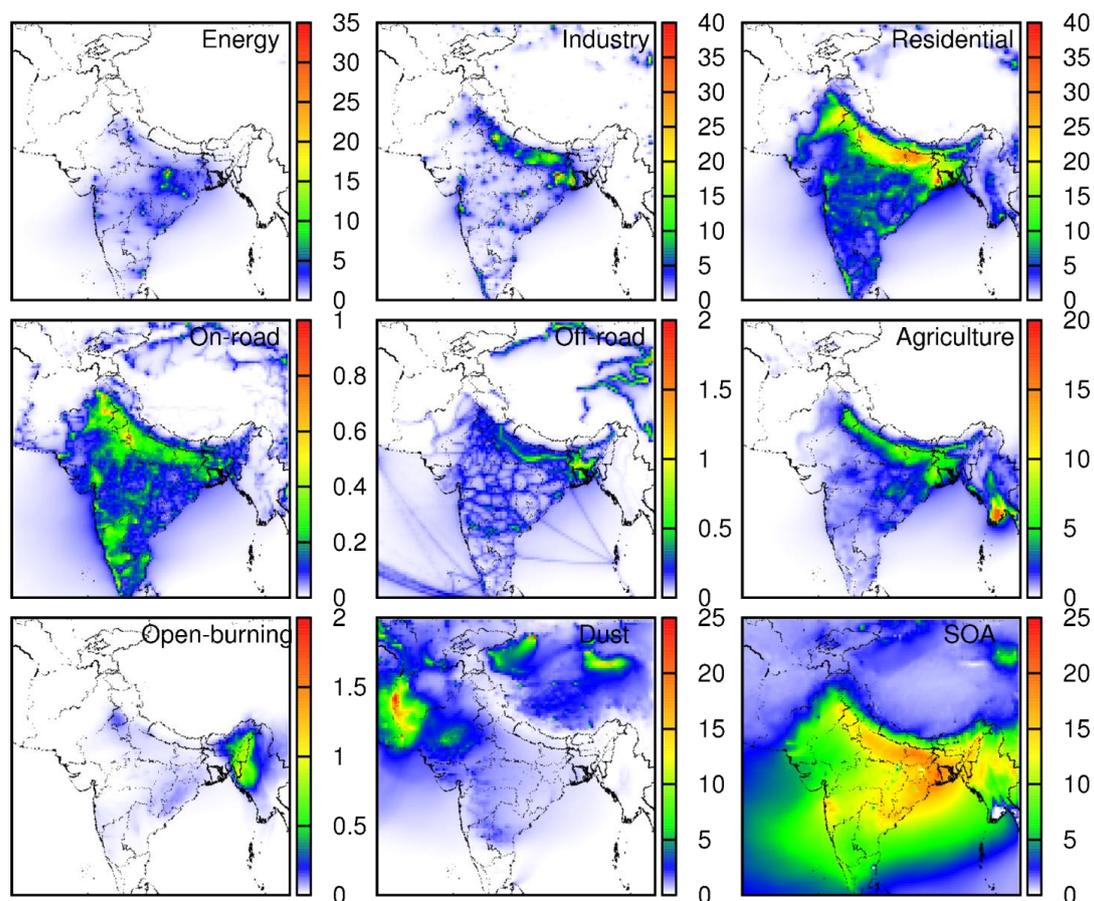


Figure 3. Source contributions to total PM<sub>2.5</sub> concentration (Units are in µg/m<sup>3</sup>).

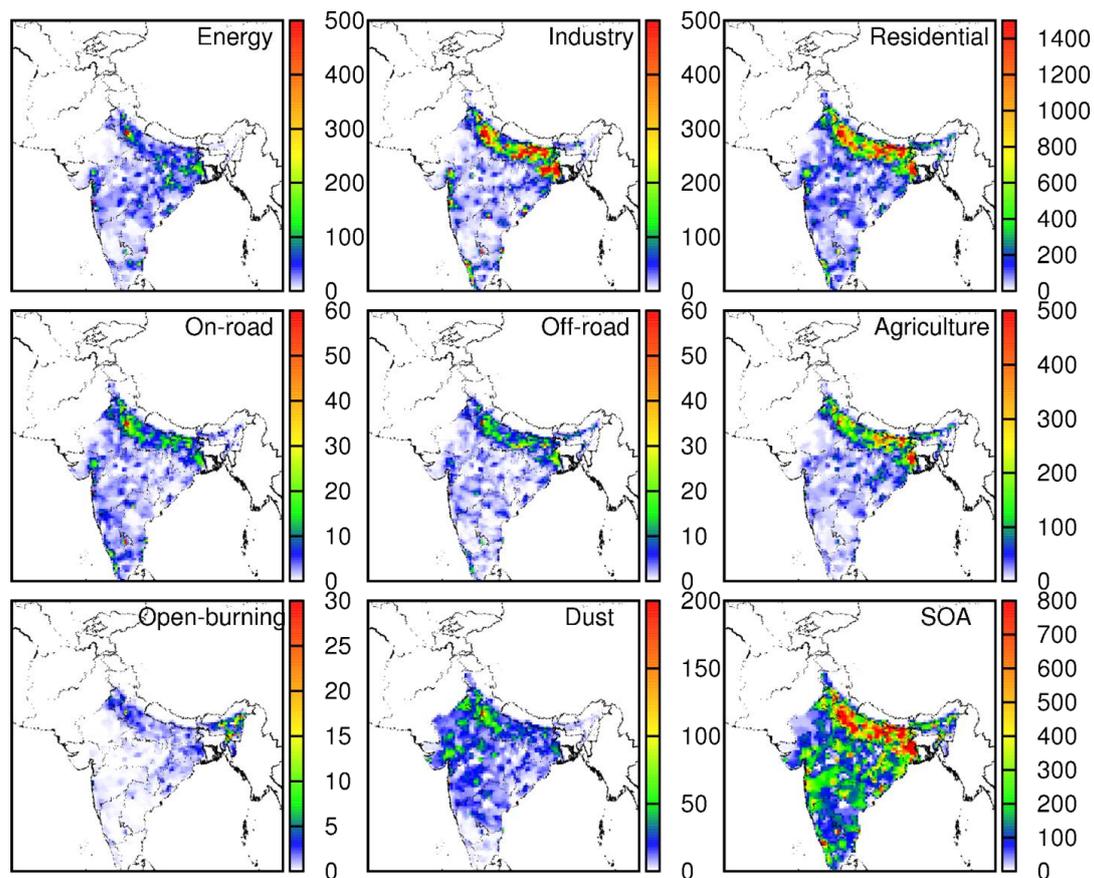


Figure 4. Source contributions to total premature mortality (deaths per grid  $36 \times 36$  km) due to COPD, LC, IHD, and CEV.

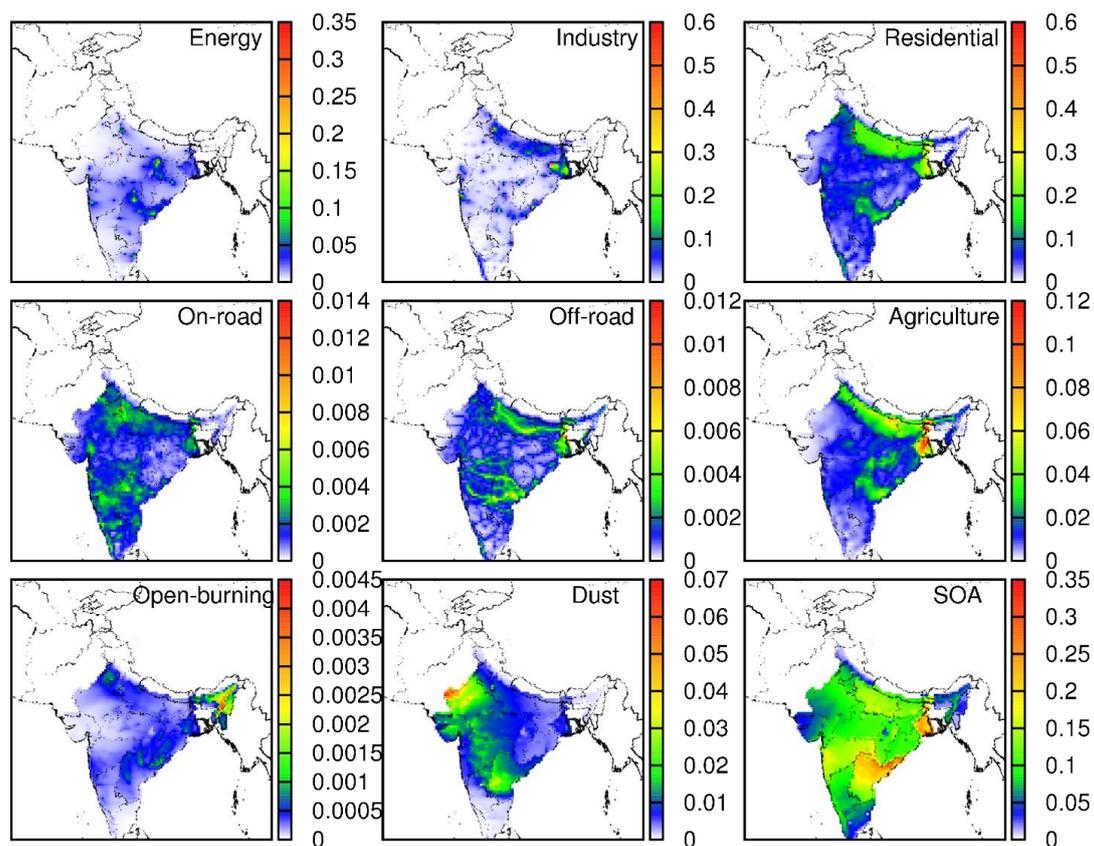


Figure 5. Contributions of different sources to years of life lost (YLL) based on population (years).

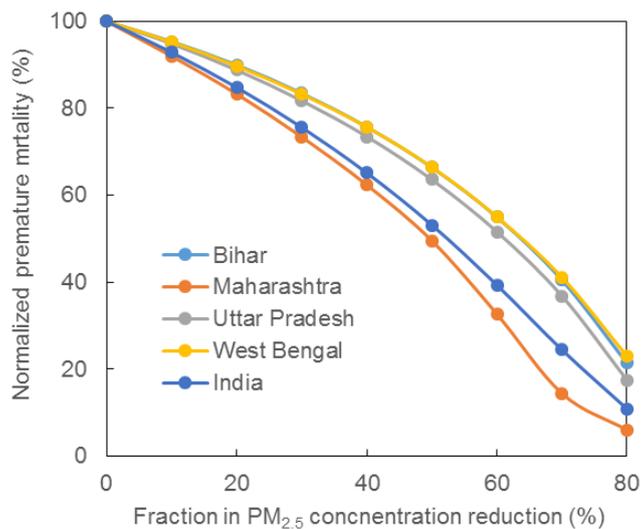


Figure 6. Premature mortality (normalized to 2015 deaths) as a function of the fractional reduction in PM<sub>2.5</sub> concentrations (relative to 2015 concentrations) for the whole of India and top PM<sub>2.5</sub> polluted states, Bihar, Maharashtra, Uttar Pradesh (including Delhi), West Bengal.

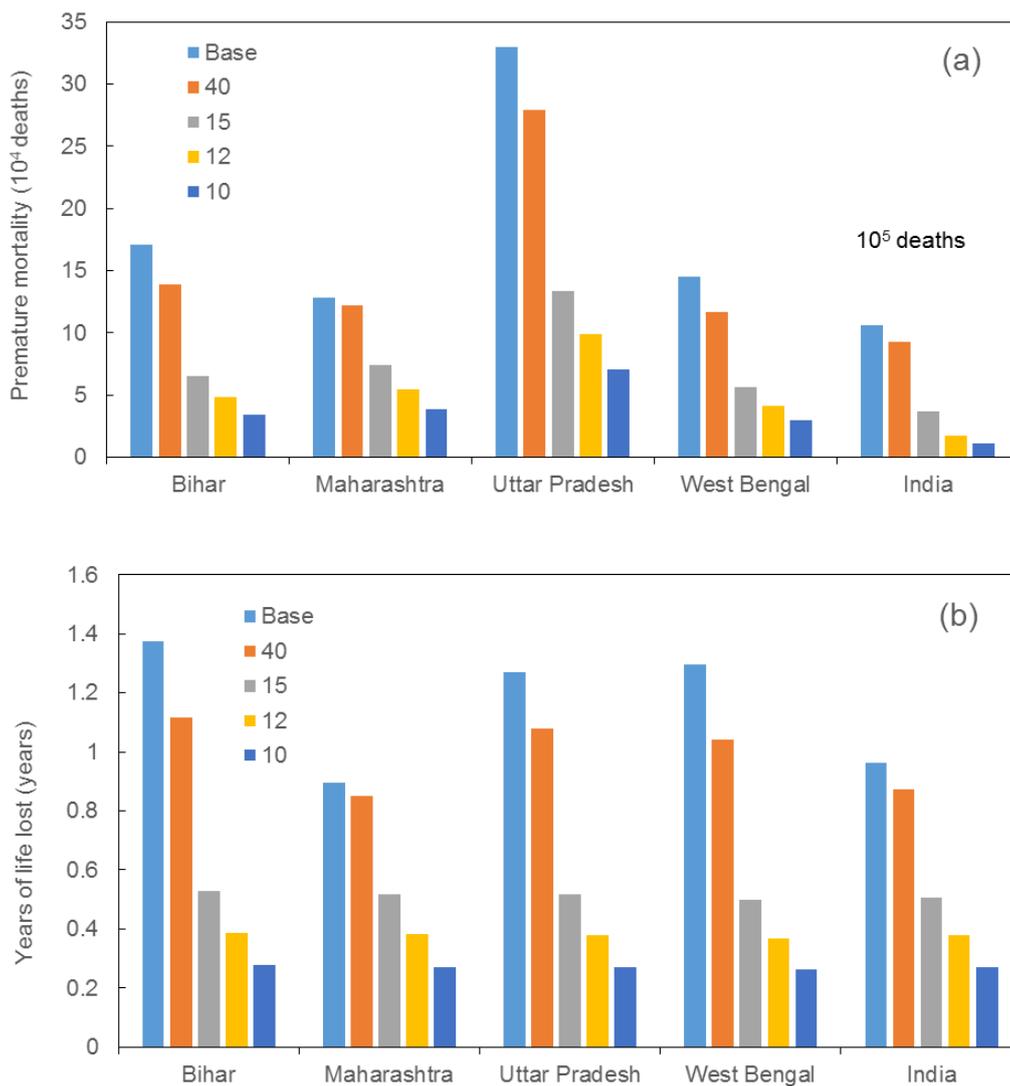


Figure 7. Number of premature deaths (a) and YLL (b) in the whole of India and top PM<sub>2.5</sub> polluted states, Bihar, Maharashtra, Uttar Pradesh (including Delhi) and West Bengal corresponding to the cases when PM<sub>2.5</sub> reduced to 40 µg/m<sup>3</sup>, 15 µg/m<sup>3</sup>, 12 µg/m<sup>3</sup> and 10 µg/m<sup>3</sup> (WHO guideline level). “Base” refers to PM<sub>2.5</sub> in 2015.