

# Monitoring Global Tropospheric OH Concentrations using Satellite Observations of Atmospheric Methane

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**Abstract.** The hydroxyl radical (OH) is the main tropospheric oxidant and the main sink for atmospheric methane. The global abundance of OH has been monitored for the past decades using atmospheric methyl chloroform (CH<sub>3</sub>CCl<sub>3</sub>) as a proxy. This method is becoming ineffective as atmospheric CH<sub>3</sub>CCl<sub>3</sub> concentrations decline. Here we propose that satellite observations of atmospheric methane in the shortwave infrared (SWIR) and thermal infrared (TIR) can provide an alternative method for monitoring global OH concentrations. The premise is that the atmospheric signature of the methane sink from oxidation by OH is distinct from that of methane emissions. We evaluate this method in an observing system simulation experiment (OSSE) framework using synthetic SWIR and TIR satellite observations representative of the TROPOMI and CrIS instruments, respectively. The synthetic observations are interpreted with a Bayesian inverse analysis optimizing both gridded methane emissions and global OH concentrations. The optimization is done analytically to provide complete error accounting, including error correlations between posterior emissions and OH concentrations. The potential bias caused by prior errors in the 3-D seasonal OH distribution is examined using OH fields from 12 different models in the ACCMIP archive. We find that the satellite observations of methane have the potential to constrain the global tropospheric OH concentration with a precision better than 1% and an accuracy of about 3% for SWIR and 7% for TIR. The inversion can successfully separate the effects of perturbations to methane emissions and to OH concentrations. Interhemispheric differences in OH concentrations can also be successfully retrieved. Error estimates may be overoptimistic because we assume in this OSSE that errors are strictly random and have no systematic component. The availability of TROPOMI and CrIS data will soon provide an opportunity to test the method with actual observations.

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## 1 Introduction

The hydroxyl radical (OH) is the main oxidant in the troposphere, responsible for the oxidation of a wide range of gases including nitrogen oxides ( $\text{NO}_x \equiv \text{NO} + \text{NO}_2$ ), sulfur dioxide ( $\text{SO}_2$ ), carbon monoxide (CO), methane, and other volatile organic compounds (VOCs). Subsequent reactions can lead to the formation of tropospheric ozone, strong acids, and organic aerosol. Monitoring of global tropospheric OH concentrations and its trends is a central problem in atmospheric chemistry. Here we show that satellite observations of atmospheric methane can provide a powerful vehicle for this purpose.

The chemistry controlling tropospheric OH concentrations is complex (Levy, 1971; Logan et al., 1981). The primary source is photolysis of ozone in the presence of water vapor. OH then reacts with CO and VOCs on a time scale of  $\sim 1$  s to produce peroxy radicals, which can be converted back to OH by reaction with NO. This cycling of radicals is terminated by conversion to non-radical forms, principally peroxides. The dependences of OH concentrations on natural and anthropogenic emissions of  $\text{NO}_x$ , CO, and VOCs, as well as on UV radiation and humidity, are complicated and poorly established (Holmes et al., 2013; Murray et al., 2013; Monks et al., 2015).

OH concentrations are highly variable spatially and temporally, making it nearly impossible to infer global mean OH concentration from sparse direct measurements, which are difficult by themselves because of the low concentrations ( $\sim 10^6$  molecules  $\text{cm}^{-3}$ ). Singh (1977) and Lovelock (1977) first pointed out the possibility of estimating the global mean OH concentration through atmospheric measurements of methyl chloroform ( $\text{CH}_3\text{CCl}_3$ ), an industrial solvent. The industrial production of methyl chloroform is well known, and essentially all of this production is eventually released to the atmosphere, where it mixes globally in the troposphere and is removed by oxidation by OH. From measurements of atmospheric methyl chloroform and knowledge of the source, one deduces by mass balance a methyl chloroform lifetime against oxidation by tropospheric OH of  $6.3 \pm 0.4$  years (Prather et al., 2012), providing a proxy for the global mean tropospheric OH concentration. The method became more accurate after the global ban on methyl chloroform production under the Montreal Protocol in the 1990s, as the source could then be assumed close to zero (Montzka et al., 2011). Estimates of annual and decadal OH variability can be obtained from the long-term methyl chloroform record (Prinn et al., 2001; Krol and Lelieveld, 2003; Bousquet et al., 2005; Montzka et al., 2011). Compared to estimates from the methylchloroform proxy, global tropospheric chemistry models tend to predict higher OH concentrations (Voulgarakis et al., 2013; Naik et al., 2013), smaller inter-annual variability (Holmes et al., 2013; Murray et al., 2013), and larger long-term trends (Holmes et al., 2013).

Understanding the factors controlling OH concentrations and its trends is particularly important for interpretation of methane trends. Methane is the second most important anthropogenic greenhouse gas after CO<sub>2</sub> and contributes to about a quarter of the climate warming from pre-industrial times to present (Myhre et al., 2013). About 90% of atmospheric methane is lost through oxidation by tropospheric OH (Kirschke et al., 2013). Atmospheric methane rose by 1-2% a<sup>-1</sup> in the 1970s and 5 1980s, stopped growing in the late 1990s, and resumed a steady growth of 0.3-0.7% a<sup>-1</sup> since 2006 (Rigby et al., 2008;Dlugokencky et al., 2009;Hartmann et al., 2013). Interpretation of these trends has generally focused on changing emissions (Rice et al., 2016;Hausmann et al., 2016;Nisbet et al., 2016;Schaefer et al., 2016), but recent studies have suggested that the growth over the past decade could be contributed by a decline in global OH concentration (Turner et al., 2017;Rigby et al., 2017). On the other hand, the trend in atmospheric CO over the past decade suggests an increase in global 10 OH concentrations (Gaubert et al., 2017).

Inferring OH trends from methyl chloroform will become more difficult in the future as concentrations approach the detection limit (Liang et al., 2017) and possible evasion from the ocean may complicate interpretation (Wennberg et al., 2004). Finding an alternative proxy for tropospheric OH is viewed as a pressing problem in the atmospheric chemistry 15 community (Lelieveld et al., 2006). Huang and Prinn (2002) pointed out that the major limitation to hydrochlorofluorocarbons and hydrofluorocarbons as alternative proxies is the lack of accurate estimates of global emissions. Liang et al. (2017) proposed to use the inter-hemispheric gradients of a suite of these compounds to jointly retrieve global emissions and tropospheric OH, but their approach may be limited by the sparsity of the surface observation network.

20 Here we propose that satellite methane observations could provide a reliable proxy for global tropospheric OH, using inverse analyses that optimize OH concentrations from the satellite data alongside with methane emission rates. Satellite measures methane in the shortwave infrared (SWIR, at 1.65 μm and 2.3 μm) by solar backscatter, and in the thermal infrared (TIR, around 7.6 μm) by terrestrial emission (Jacob et al., 2016). SWIR measurements are sensitive to the full column of methane 25 but are mainly restricted to land, while TIR measurements are most sensitive to the middle/upper troposphere and operate over both land and ocean (Worden et al., 2015). A number of studies have used SWIR observations from the SCIAMACHY and GOSAT satellite instruments to infer methane emissions through inverse analyses. Most of these studies have assumed OH to be known (Bergamaschi et al., 2009;Spahni et al., 2011;Bergamaschi et al., 2013;Fraser et al., 2013;Monteil et al., 2013;Fraser et al., 2014;Houweling et al., 2014;Alexe et al., 2015;Pandey et al., 2015;Turner et al., 2015), while a few have 30 optimized methane emissions together with OH concentrations using methyl chloroform measurements (Cressot et al., 2014;Cressot et al., 2016). Maasackers et al. (2018) used six years of GOSAT data (2010-2015) to constrain methane emissions and their trends together with global OH trends.

TIR observations are of marginal value for inversion of methane emissions because they are insensitive to the boundary layer (Wecht et al., 2012) but they could provide complementary information for constraining OH. The methane sink from oxidation by OH has a distinct atmospheric signature peaking in the tropical troposphere, distributed zonally, and shifting seasonally with the UV flux (Figure 1). The expected availability in the coming years of new high-density satellite data from TROPOMI in the SWIR (Hu et al., 2018) and CrIS in the TIR (Gambacorta et al., 2016) motivates the assessment of the potential of these data to provide a continuous means for monitoring global tropospheric OH concentrations.

## 2 Observing System Simulation Experiment

We conduct an observing system simulation experiment (OSSE) to examine the feasibility of inferring global tropospheric OH concentrations by inversion of satellite observations of atmospheric methane, focusing on the potential of TROPOMI and CrIS as representative of SWIR and TIR observations respectively. The OSSE approach allows us to examine the ability of the observations to separately constrain methane emissions and OH, and to investigate the effects of errors in inversion parameters.

Figure 2 describes the structure of the OSSE. We use a chemical transport model (GEOS-Chem CTM) (Maasakkers et al., 2018) to generate a “true” global 3-D time-dependent distribution of methane concentrations, given a “true” state defined by known 2-D monthly methane emissions and 3-D monthly OH concentrations. The “true” methane concentration field is sampled following the specifications of TROPOMI and CrIS to generate synthetic observations. We then use these synthetic observations in an inverse analysis system, with an independent CTM simulation and deliberately incorrect prior estimates of emissions and OH concentrations, to assess the capability of the observing system to retrieve the “true” state. See Brasseur and Jacob (2017) for further discussion of the OSSE approach.

The mean tropospheric OH concentration is often defined in terms of the lifetime of a long-lived gas (Prather and Spivakovsky, 1990), and in our case the natural metric is the lifetime of a well-mixed tropospheric methane tracer against oxidation by tropospheric OH:

$$\tau_{\text{CH}_4}^{\text{OH}} = \frac{\int_{\text{troposphere}} n_a dv}{\int_{\text{troposphere}} k(T)[\text{OH}]n_a dv} \quad (1)$$

where  $n_a$  is air number density,  $v$  is volume, and  $k(T) = 2.45 \times 10^{-12} e^{-1775/T} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  is the temperature-dependent oxidation rate constant (Burkholder et al., 2015). We will also examine interhemispheric differences in OH by integrating over the northern and southern hemisphere separately ( $\tau_{\text{CH}_4}^{\text{OH,NH}}$  and  $\tau_{\text{CH}_4}^{\text{OH,SH}}$ ). An advantage of using equation (1) as metric for OH is that it is independent of the atmospheric distribution of methane. Note that the integration in the numerator of equation (1) is over the troposphere, therefore  $\tau_{\text{CH}_4}^{\text{OH}}$  defined in equation (1) is shorter than the lifetime of total atmospheric methane against oxidation by tropospheric OH (e.g., Prather et al., 2012).

## 2.1 Model simulation

We use the GEOS-Chem CTM to simulate atmospheric methane concentrations in the “true” atmosphere and to serve as the forward model for the inversion, with different meteorological fields and OH distributions to reduce the “fraternal twin” problem (Table 1). GEOS-Chem solves the continuity equation for atmospheric methane as

$$\frac{\partial n}{\partial t} = -\nabla \cdot (n\mathbf{u}) + E - k(T)n[\text{OH}] - \text{minor sinks} \quad (2)$$

where  $n$  is the methane number density,  $\mathbf{u}$  is the wind vector,  $E$  is the emission field, and  $k(T)$  is the rate constant for reaction with OH. Minor sinks include other tropospheric sinks (reaction with the Cl atom and soil uptake) and stratospheric sinks specified as 2-D loss rate constants. The transport term  $-\nabla \cdot (n\mathbf{u})$  includes not only advection by grid-resolved winds but also parameterized subgrid convection and boundary layer mixing. The methane simulation with GEOS-Chem v11 is as described by Wecht et al. (2014).

The GEOS-Chem simulation is conducted on a  $4^\circ \times 5^\circ$  horizontal grid and 47 vertical layers ( $\sim 30$  layers in the troposphere). The simulation is for year 2015 with a half-year spin-up starting from June 2014 to establish methane gradients driven by synoptic-scale transport (Turner et al., 2015). We vary the state vector elements (i.e., gridded methane emission rates and global tropospheric methane OH lifetime) between the “true” simulation and the inversion, to assess the ability of the inversion to retrieve the “true” values given synthetic observations. To include the effect of errors in model parameters that are not optimized in the inversion, we also vary in the inversion the model meteorological fields (for the same meteorological year) and the monthly 3-D distribution of OH. It should be noted that in this setup the magnitude (global mean concentration expressed as global tropospheric methane lifetime) and the distribution (seasonal and spatial variations) of the OH field are decoupled and only the former is optimized.

Table 1 summarizes the OSSE conditions. The “true” emissions on the  $4^\circ \times 5^\circ$  grid are the posterior values from the inversion of GOSAT data by Maasakkers et al. (2018). The prior emissions include anthropogenic emissions from EDGAR v4.3.2 global emission inventory (European Commission, 2017) replaced with Sheng et al. (2017) in Mexico and Canada for the oil and gas sector and with Maasakkers et al. (2016) in the US, wetland emissions from WetCHARTs v1.0 (Bloom et al. 2017), and other sources (biomass burning, termite, and geological and geothermal seeps). The “true” global OH concentration as expressed by  $\tau_{\text{CH}_4}^{\text{OH}}$  is 8.6 years with spatial/seasonal OH distribution from GEOS-Chem v5, while the prior estimate is 7.5 years with distribution from GEOS-Chem v11. These OH distributions are generated from GEOS-Chem full chemistry simulations with specified methane fields based on observations, and thus are independent of the prior emissions used in the inversion. The OH distributions in GEOS-Chem v5 and v11 are significantly different due to many updates between these versions for lightning, isoprene chemistry, halogen chemistry, and emissions (Hu et al., 2017). In Section 4, we will consider even larger differences in OH distributions using the ACCMIP model ensemble (Naik et al., 2013).

GEOS-Chem simulations can be conducted with either of two different meteorological data sets produced by the NASA Global Modeling and Assimilation Office (GMAO): the operational Goddard Earth Observing System Forward Processing (GEOS-FP) product (Lucchesi, 2017) and the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) (Gelaro et al., 2017). Here we use the GEOS-FP data for 2015 to produce the “true” methane concentrations, and the MERRA-2 data also for 2015 in the forward model for the inversion. Although GEOS-FP and MERRA-2 have commonalities, they differ in grid resolution (cubed-sphere c720 for GEOS-FP and c360 for MERRA-2), model physics (in particular convection), and level of data assimilation. This allows us to introduce some model transport errors in the OSSE. The root-mean-squared difference in daily methane tropospheric column mixing ratios between the two simulations driven by GEOS-FP and MERRA-2 (with identical emissions and OH fields) is  $\sim 2$  ppbv. Comparison of monthly mean columns between the two simulations shows patterns of differences on regional and hemispheric scales (Figure 3), introducing a systematic component of inversion error.

## 2.2 Synthetic observations

Synthetic observations sample the “true” methane fields with instrument noise added (Figure 2). For SWIR, the sampling is at local time 13:30 over land; and for TIR, at both 13:30 and 1:30, and over land and ocean. The retrieval success rate (ratio between the number of successful retrievals and the number of attempted retrievals) is taken to be 3% for SWIR (Hu et al., 2016) and 60% for TIR (Xiong et al., 2008) because SWIR observations require cloud-free pixels whereas TIR has tolerance for fractional cloud cover. The retrievals are for the dry air column mixing ratio  $X$  [ppb] after applying typical averaging kernels to describe vertical sensitivity (Figure 4). Gaussian random noise is added to the individual retrievals to simulate the instrument error, with a standard deviation of 0.6% for SWIR TROPOMI (Butz et al., 2012) and 2% for TIR CrIS (Gambacorta et al., 2016). To account for model biases in simulation of stratospheric methane (Patra et al., 2011) and following the recommendation of Saad et al. (2016), we replace the concentrations above 200 hPa by the 2-D seasonal climatology from ACE-FTS satellite observations (Koo et al., 2017), both in the synthetic observations and in the forward model.

The synthetic observations are sampled on the GEOS-Chem  $4^\circ \times 5^\circ$  grid for the purpose of the inversion. This means that successful retrievals from individual pixels are averaged over  $4^\circ \times 5^\circ$  grid cells. We assume that the noise is random and thus reduced by the square root of the number of successful retrievals ( $N_{i,t}$ ) within grid cell  $i$  at time  $t$ . The noise will be greater if there are systematic errors in the retrievals.  $N_{i,t}$  is determined as the ratio between the grid cell area ( $A_i$ ) and the pixel area ( $a$ ), weighted by the local cloud-free fraction ( $1 - f_{i,t}$ ) taken from the “true” GEOS-FP meteorological fields.:

$$N_{i,t} = \left[ c \times \frac{A_i \times (1 - f_{i,t})}{a} \right] \quad (3)$$

The global scaling factor  $c$  enforces the designed retrieval success rate (3% for SWIR and 60% for TIR). For  $a$ , we use the nadir resolution of SWIR TROPOMI ( $7 \times 7 \text{ km}^2$ ) and TIR CrIS ( $14 \times 14 \text{ km}^2$ ). The bracket  $\lceil \cdot \rceil$  represent the rounding function.

### 2.3 Inversion

5 We use the synthetic observations (assembled in an observation vector  $\mathbf{y}$ ) together with the prior estimates ( $\mathbf{x}_A$ ) and error covariance matrices for the prior ( $\mathbf{S}_A$ ) and observations ( $\mathbf{S}_O$ ) (Figure 2) to find the analytic solution to the inverse problem. The state vector ( $\mathbf{x}$ ) that we seek to optimize consists of annual methane emission rates on a  $4^\circ \times 5^\circ$  grid over land (excluding Antarctica) (1009 elements) plus either 1 or 2 elements representing the global or hemispheric methane inverse lifetimes (loss frequency).

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The inverse problem presented here is not strictly linear because the loss rate depends on the methane concentration. However, a quasi-linearity can be assumed, as the range of variability of methane concentrations is sufficiently small. GEOS-Chem is therefore described for the purpose of the inversion by its Jacobian matrix  $\mathbf{K} = \partial \mathbf{y} / \partial \mathbf{x}$ , which relates  $\mathbf{x}$  to  $\mathbf{y}$  through  $\mathbf{y} = \mathbf{K}\mathbf{x} + \mathbf{c}$  ( $\mathbf{c}$  is an initialization constant). We compute explicitly this Jacobian matrix by perturbing the individual 15 terms of  $\mathbf{x}$  and calculating the resulting changes in  $\mathbf{y}$  with GEOS-Chem.

The observation error covariance matrix  $\mathbf{S}_O$  is specified as a diagonal matrix summing the instrument and forward model error variances. The instrument error is computed as described in Section 2.2. The forward model error variance is derived with the residual error method (Heald et al., 2004). We assume no model transport error correlations on the  $4^\circ \times 5^\circ$  grid. The 20 prior error covariance matrix  $\mathbf{S}_A$  is also specified as a diagonal matrix, assuming 50% error standard deviation for gridded emission rates as in Maasackers et al. (2018), and 10% error standard deviation for the methane inverse lifetime (Naik et al., 2013). This assumes no spatial error correlation in the prior emissions on the  $4^\circ \times 5^\circ$  grid, which is likely adequate for anthropogenic emissions because of the fine spatial variability of different source types (Maasackers et al., 2016) but may not be adequate for wetlands emissions (Bloom et al., 2017). Prior emission errors can only be roughly characterized in any 25 case.

The Bayesian cost function for the inverse problem (Brasseur and Jacob, 2017) is

$$J(\mathbf{x}) = (\mathbf{x} - \mathbf{x}_A)^T \mathbf{S}_A^{-1} (\mathbf{x} - \mathbf{x}_A) + \gamma (\mathbf{y} - \mathbf{K}\mathbf{x})^T \mathbf{S}_O^{-1} (\mathbf{y} - \mathbf{K}\mathbf{x}) \quad (4)$$

30 where  $\gamma$  is an adjustable regularization parameter to prevent overfitting to the observations (see below). Analytic solution to the  $J(\mathbf{x})$  minimization problem ( $dJ/d\mathbf{x} = 0$ ) yields the posterior estimate  $\hat{\mathbf{x}}$ :

$$\hat{\mathbf{x}} = \mathbf{x}_A + \mathbf{G}(\mathbf{y} - \mathbf{K}\mathbf{x}_A) \quad (5)$$

where  $\mathbf{G}$  is the gain matrix given by

$$\mathbf{G} = (\gamma \mathbf{K}^T \mathbf{S}_0^{-1} \mathbf{K} + \mathbf{S}_A^{-1})^{-1} \gamma \mathbf{K}^T \mathbf{S}_0^{-1} \quad (6)$$

The solution also provides a closed form of the posterior error covariance matrix ( $\hat{\mathbf{S}}$ ):

$$\hat{\mathbf{S}} = (\gamma \mathbf{K}^T \mathbf{S}_0^{-1} \mathbf{K} + \mathbf{S}_A^{-1})^{-1} \quad (7)$$

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The diagonal elements of  $\hat{\mathbf{S}}$  represents the error variances of the posterior estimates  $\hat{\mathbf{x}}$ .

The need for a regularization parameter  $\gamma$  in equation (4) is because of uncertainty in the specifications of  $\mathbf{S}_0$  and  $\mathbf{S}_A$ , and notably the assumption that these matrices are diagonal. Here we determine based on the L-curve plot (Hansen, 2000) that  $\gamma$  should be in the range of 0.01-0.1 (left panel of Figure 5). This range of values also achieves the best agreement of the inversion with the “true” emissions as evaluated with the root mean square error (RMSE) (right panel of Figure 5). We use  $\gamma = 0.05$  in the subsequent analysis. The small  $\gamma$  value mainly results from neglecting correlations in the model transport errors; a sensitivity test in which both prior and “true” simulations are driven by MERRA-2 meteorology shows best performance with  $\gamma = 1$  for the metrics of Figure 5.

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### 3 Joint Optimization of Global $\tau_{\text{CH}_4}^{\text{OH}}$ and Methane Emission Rates

Figure 6 shows the ability of the three different satellite observing systems considered here (SWIR, TIR, and SWIR+TIR) to jointly constrain gridded emission rates and  $\tau_{\text{CH}_4}^{\text{OH}}$ . The ability to constrain the spatial distribution of emissions is measured by the RMSE on the  $4^\circ \times 5^\circ$  grid. Although all three satellite observing systems retrieve global total methane emissions within 5% of the “true” value, the inversions with SWIR observations are able to resolve the distribution of methane emissions (low RMSE) while the one with only TIR observations is not (high RMSE). This is consistent with the low sensitivity of TIR to the lower troposphere (Figure 4), where most of the information on spatially resolved emissions is contained. On the other hand, both SWIR and TIR are able to retrieve  $\tau_{\text{CH}_4}^{\text{OH}}$  within 3% of the “true” value.

25 Analysis of the posterior error covariance matrix ( $\hat{\mathbf{S}}$ ) shows that the error standard deviations  $\sigma_p$  on the posterior estimate of  $\tau_{\text{CH}_4}^{\text{OH}}$  are 0.75%, 0.46%, and 0.39% for SWIR, TIR, and SWIR+TIR satellite observing systems, respectively, for a one-year inversion (Table 2).  $\hat{\mathbf{S}}$  tends to be overoptimistic as a measure of posterior error because it assumes no systematic error in model parameters affecting the accuracy of the inversion (Brasseur and Jacob, 2017). Below we will explore the effect of errors in the global OH distribution as a limitation on accuracy.

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A central question is the ability of the inversion to independently constrain  $\tau_{\text{CH}_4}^{\text{OH}}$  and total emissions. The error covariance between the two can be computed from  $\hat{\mathbf{S}}$  (See Appendix for the method) and is visualized in Figure 7. For SWIR, the significant negative correlation ( $r = -0.78$ ) implies aliasing between corrections to OH concentration and emissions;



nevertheless, the posterior error on  $\tau_{\text{CH}_4}^{\text{OH}}$  is greatly decreased relative to its 10% prior value. Error correlation is less ( $r = -0.47$ ) with the TIR observing system and the error on  $\tau_{\text{CH}_4}^{\text{OH}}$  is further decreased. TIR observations are more effective than SWIR for independently constraining global emissions and OH concentrations because they provide better global coverage (higher retrieval success rate) including over the oceans. The combined SWIR+TIR system has the lowest posterior errors for  $\tau_{\text{CH}_4}^{\text{OH}}$  even though the error correlation with global emissions ( $r = -0.57$ ) is greater than that for TIR-only.

To go further than the error correlation analysis, we used the OSSE environment to directly test whether perturbations to OH concentrations and global emissions can be retrieved independently. We perturbed the emission rates and/or OH concentrations in three additional simulations for the “true” atmosphere. In the first case we increased global emissions by 10%, in the second case we decreased global OH concentration by 5%, and in the third case we combined both perturbations. Figure 8 shows that the posterior estimations all correctly identify the percentage changes in global total emissions and/or OH concentration, within 2% from the “true” changes, in all three tests. This result further demonstrates the potential for satellite observations of methane to independently constrain global methane emissions and OH concentrations. Among all three satellite observing systems, inferred OH percentage changes with SWIR+TIR observations are closest to the “true” changes for all three cases, consistent with the analysis of posterior error covariance matrices (Figure 7). The results shown in Figure 8 suggest that satellite observations of methane should be able to detect trends in OH separately from trends in methane emissions, which has important implications for the attribution of trends in methane observations (Turner et al., 2017; Rigby et al., 2017).

#### 20 4 Impact of Errors in Prior OH Distributions

In our method, global OH abundance is represented by a single state vector element  $\tau_{\text{CH}_4}^{\text{OH}}$ . The seasonal and spatial distribution of OH is a forward model parameter that the inversion does not seek to optimize. Error in the prior OH distribution may therefore result in error in the posterior estimate of  $\tau_{\text{CH}_4}^{\text{OH}}$  that may not be fully captured by  $\hat{\mathbf{S}}$ . To test the impact of this uncertainty source, we use alternative “true” OH distributions from the 11 models that participated in the ACCMIP inter-comparison (Naik et al., 2013), replacing the OH distribution from GEOS-Chem v5. The ACCMIP archive provides present-day (the 2000s) 3-D monthly mean OH concentrations from the different models and was downloaded from <http://badc.nerc.ac.uk/> (See Lamarque et al. (2013) for model descriptions). The ACCMIP models differ greatly in both global OH abundance and distribution (Figure 9). To focus on errors in OH distributions, we applied a global scaling factor to each model to impose a methane lifetime  $\tau_{\text{CH}_4}^{\text{OH}}$  of 8.6 years, same as in our baseline “true” atmosphere. To avoid complicating influence from errors in the meteorological field, we do not vary the meteorological field (i.e. MERRA-2) between the “true” simulation and the inversion in this test of the sensitivity to the OH distribution.

Figure 10 shows the posterior estimation of  $\tau_{\text{CH}_4}^{\text{OH}}$  resulting from the 12 different “true” OH distributions (all with the same “true”  $\tau_{\text{CH}_4}^{\text{OH}}$ ). For all three satellite observing systems, the median posterior  $\tau_{\text{CH}_4}^{\text{OH}}$  is within 2% of the “true”  $\tau_{\text{CH}_4}^{\text{OH}}$ . But some model OH distributions (CESM-CAM-superfast, GISS-E2-R, and CICERO-OsloCTM2) result in large errors when using TIR observations even though they do not seem anomalous in Figure 9. Further inspection indicates that the errors are due to large anomalies in hemispheric  $\tau_{\text{CH}_4}^{\text{OH}}$  ratios in boreal winter (Figure 11), when the effect of emissions and OH on atmospheric methane is most differentiated. Errors in posterior  $\tau_{\text{CH}_4}^{\text{OH}}$  are smaller for SWIR only and this is because SWIR draws its information on emissions from regional patterns in concentrations, rather than the larger-scale patterns in TIR. We determine the relative accuracy due to the uncertainty in the OH distribution ( $\sigma_a$ ) as the ratio of the half interquartile range to the “true”  $\tau_{\text{CH}_4}^{\text{OH}}$ . This results in  $\sigma_a$  of 2.6%, 6.9%, and 6.0% for SWIR, TIR, and SWIR+TIR (Table 2). Our results suggest that satellite observing systems involving TIR measurements are likely more susceptible to errors in the OH distribution for  $\tau_{\text{CH}_4}^{\text{OH}}$  estimations.

We also applied these different “true” OH distributions to the OSSE test of Figure 8 perturbing emissions and/or OH to evaluate the impact of errors in OH distribution on detecting and separating changes in global  $\tau_{\text{CH}_4}^{\text{OH}}$  and emissions. The spread in inferred changes in OH is almost negligible for all the observing systems considered (Figure 8), indicating that the errors resulting from imperfect OH distribution in a single-year inversion are systematic. An important implication is that these errors from imperfect OH distribution may not impair the ability to detect inter-annual trends in OH concentrations as long as the inter-annual variability in the OH distribution is relatively small.

The above results suggest that we may improve the estimation of  $\tau_{\text{CH}_4}^{\text{OH}}$  if the inversion is able to retrieve information on the OH distribution from the satellite methane observations. For this purpose, we tried to optimize separately the mean OH concentrations in the northern and southern hemisphere, expressed as  $\tau_{\text{CH}_4}^{\text{OH,NH}}$  and  $\tau_{\text{CH}_4}^{\text{OH,SH}}$ . In general, the inversion is able to resolve the interhemispheric OH ratio ( $\tau_{\text{CH}_4}^{\text{OH,NH}}/\tau_{\text{CH}_4}^{\text{OH,SH}}$ ) for the range of OH distributions from the different global models using both SWIR and TIR satellite observing systems (Figure 12). However, the improvement in the estimate of the global OH concentration  $\tau_{\text{CH}_4}^{\text{OH}}$  (computed as harmonic mean of  $\tau_{\text{CH}_4}^{\text{OH,NH}}$  and  $\tau_{\text{CH}_4}^{\text{OH,SH}}$ ) is insignificant in most cases (not shown), indicating that errors in other factors in OH distributions (e.g., vertical and seasonal distributions) in addition to the annual hemispheric ratio are also important contributors to errors in  $\tau_{\text{CH}_4}^{\text{OH}}$ . A careful design of the state vector that balances the resolution of OH distribution with the aliasing of OH and emissions should further improve the accuracy of the method but is beyond the scope of the current study.

## 5 Conclusions

We conducted observing system simulation experiments (OSSEs) to test the feasibility of monitoring global tropospheric OH concentrations using satellite observations of methane. We considered short-wave infrared (SWIR) TROPOMI and thermal infrared (TIR) CrIS as target satellite instruments for this application, since methane retrievals from these instruments are expected to be available in the near future and will provide much improved coverage compared to current instruments. Through inversion of synthetic observations from these instruments sampling a “true” atmosphere, we jointly optimized gridded methane emission rates and the global tropospheric OH concentration (expressed as the lifetime of a well-mixed tropospheric methane tracer against oxidation by tropospheric OH,  $\tau_{\text{CH}_4}^{\text{OH}}$  as given in Equation (1)). The OSSE used different meteorological fields for the “true” atmosphere and for the inversion, and tested the effect of errors in the prior OH distributions.

Our results show that either SWIR or TIR observations can constrain  $\tau_{\text{CH}_4}^{\text{OH}}$  with a precision better than 1%. This is an optimistic estimation of precision because we assume observational noise to be random whereas it would have a systematic component that we cannot characterize. Nevertheless, the results show that the method has strong potential. Analysis of the posterior error covariance matrix shows that emissions and global OH concentrations can be separately retrieved because they have different signatures on the distribution of atmospheric methane. There is some error correlation, particularly for SWIR-only observations, but the posterior errors on global OH concentrations still improve considerably on the prior. Simulation experiments with perturbations to either global methane emissions and/or global OH concentration demonstrate that the method can distinguish changes in OH from changes in emissions as contributors to trends in atmospheric methane. Best performance is achieved by combining the SWIR and TIR observations.

The effect of prior errors in the seasonal and spatial distributions of OH concentrations was investigated by considering global 3-D monthly concentrations fields from the 12 ACCMIP models (Naik et al., 2013), which show considerable inter-model variability. We find that these errors limit the accuracy of our method but precision is not compromised, so that inter-annual OH trends can still be retrieved. The effect of errors in the OH distribution could be addressed by optimizing this distribution in the state vector for the inversion, and we show that the interhemispheric OH difference at least can be successfully retrieved within  $\sim 10\%$  of the “true” value.

We conclude that satellite observations of methane are a potentially promising alternative for methyl chloroform as a proxy for global tropospheric OH concentrations. Based on our OSSE ensemble results, we estimate the precision of the method to be 0.75%, 0.46%, and 0.39% and accuracy 2.6%, 6.9%, and 6.0% for SWIR, TIR, and SWIR+TIR satellite observing systems, respectively. These estimates are probably overoptimistic because of the idealized treatment of errors in the OSSE

approach. The availability of TROPOMI and CrIS data will soon provide an opportunity to test the method with actual observations.

## Appendix

- 5 The posterior error covariance matrix ( $\hat{\mathbf{S}}$ ) in our inversion is a  $1010 \times 1010$  matrix that characterizes the error covariance structure of gridded emission rates ( $E_i$ ) in 1009 grid cells and global methane lifetime against oxidation by tropospheric OH ( $\tau_{\text{CH}_4}^{\text{OH}}$ ). We condense  $\hat{\mathbf{S}}$  into a  $2 \times 2$  matrix  $\hat{\mathbf{S}}_2$ , which represents the error covariance of global total emissions ( $E_T = \sum_{i=1}^n E_i$ , where  $n=1009$ ) and  $\tau_{\text{CH}_4}^{\text{OH}}$ :

$$\hat{\mathbf{S}}_2 = \begin{bmatrix} \text{Var}(E_T) & \text{Cov}(E_T, \tau_{\text{CH}_4}^{\text{OH}}) \\ \text{Cov}(E_T, \tau_{\text{CH}_4}^{\text{OH}}) & \text{Var}(\tau_{\text{CH}_4}^{\text{OH}}) \end{bmatrix}$$

- 10 where  $\text{Var}(\tau_{\text{CH}_4}^{\text{OH}})$  is directly obtained from  $\hat{\mathbf{S}}$ , and  $\text{Var}(E_T)$  and  $\text{Cov}(E_T, \tau_{\text{CH}_4}^{\text{OH}})$  are computed from  $\hat{\mathbf{S}}$  with the following formulae:

$$\text{Var}(E_T) = \sum_{i=1}^n \text{Var}(E_i) + 2 \sum_{1 \leq i < j \leq n} \text{Cov}(E_i, E_j)$$

$$\text{Cov}(E_T, \tau_{\text{CH}_4}^{\text{OH}}) = \sum_{i=1}^n \text{Cov}(E_i, \tau_{\text{CH}_4}^{\text{OH}})$$

$\hat{\mathbf{S}}_2$  can then be visualized as a bi-variate Gaussian distribution (Figure 7).

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**Table 1 OSSE conditions.**

	“True” Atmosphere	Prior estimate and Parameters
State Vector ( $\mathbf{x}$ )		
Gridded Emission Rates <sup>a</sup>	Posterior from GOSAT analysis <sup>b</sup>	EDGAR v4.3.2+ WetCHARTs <sup>c</sup>
Global OH concentration ( $\tau_{\text{CH}_4}^{\text{OH}}$ ) <sup>d</sup>	8.6 years	7.5 years
Parameters		
OH Distribution	GEOS-Chem v5, ACCMIP <sup>e</sup>	GEOS-Chem v11
Meteorological Field <sup>f</sup>	GEOS-FP	MERRA-2

<sup>a</sup> Methane emission rates on a  $4^{\circ} \times 5^{\circ}$  grid over land excluding Antarctica (1009 elements).

<sup>b</sup> From Maasakkers et al. (2018).

<sup>c</sup> The prior estimate for the inversion uses anthropogenic emissions from EDGAR v4.3.2 (European Commission, 2017) except in the US (Maasakkers et al., 2016) and oil/gas in Canada and Mexico (Sheng et al., 2017). WetCHARTs is from Bloom et al. (2017).

<sup>d</sup> Expressed as the lifetime of a well-mixed tropospheric methane tracer against oxidation by tropospheric OH (equation (1)).

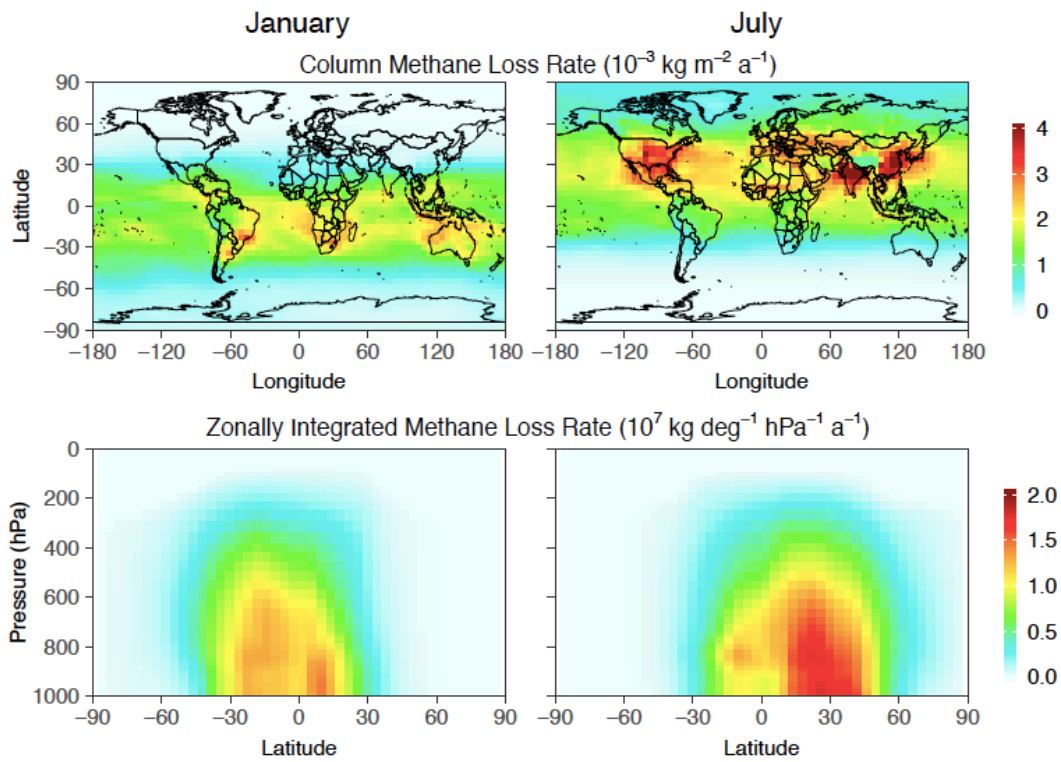
<sup>e</sup> Sensitivity simulations in Section 4 use additional 11 global OH distributions from the ACCMIP ensemble (Naik et al., 2013).

10 <sup>f</sup> Meteorological fields are for 2015.

**Table 2** Uncertainty in  $\tau_{\text{CH}_4}^{\text{OH}}$  estimations with different satellite observing systems.

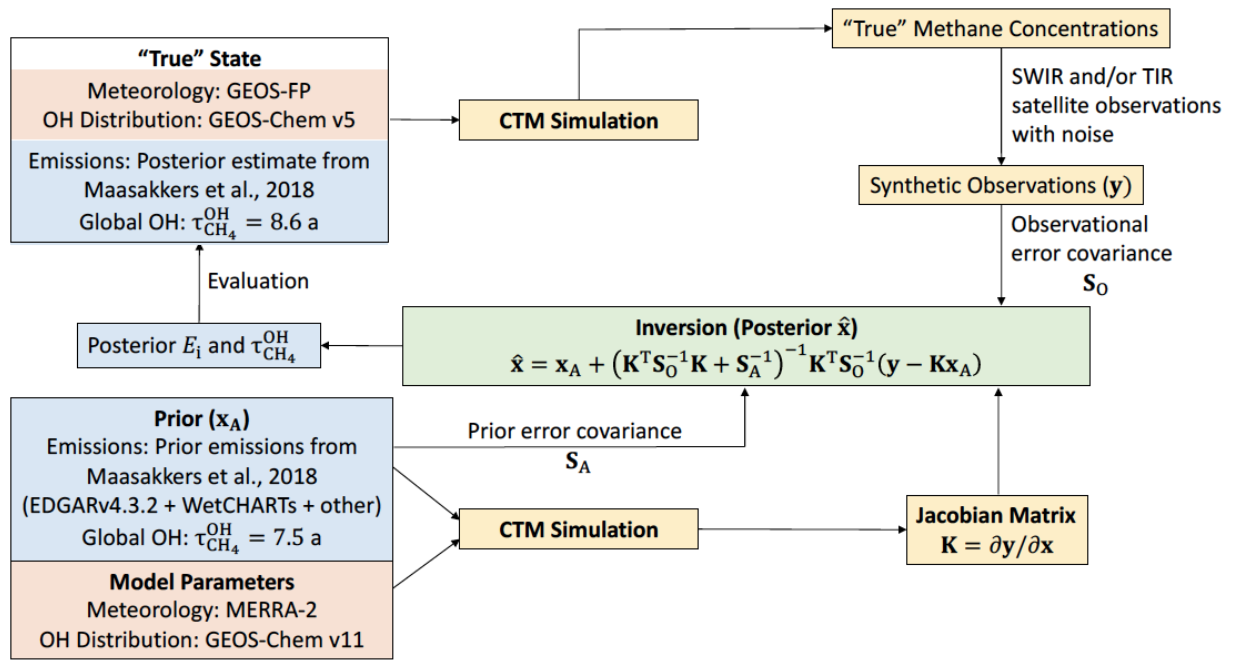
Observing System	SWIR	TIR	SWIR+TIR
Precision ( $\sigma_p$ )	0.75%	0.46%	0.39%
Accuracy ( $\sigma_a$ ) <sup>a</sup>	2.6%	6.9%	6.0%

<sup>a</sup> Accuracy is derived from inversions using different OH distributions from 12 global models for the “true” atmosphere (Section 4).

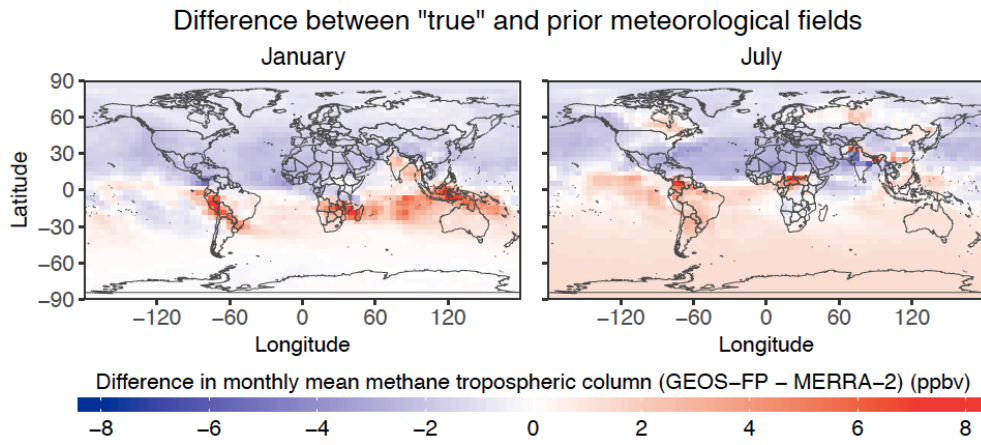


5 **Figure 1** Monthly methane loss rate from oxidation by OH in January and July 2015 computed with the GEOS-Chem model (Wecht et al., 2014). The top panels show the column loss rates and the bottom panels show the zonally integrated loss rates.

## OSSE Framework for Testing Inversion of Global OH from Satellite Methane



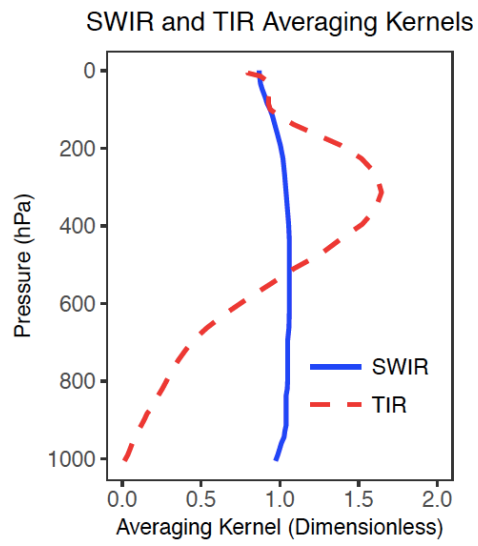
**Figure 2 Observing System Simulation Experiment (OSSE) framework to test the ability of SWIR and TIR satellite observations of atmospheric methane to simultaneously constrain methane emission rates ( $E_i$ ) and the global mean tropospheric OH concentration expressed as methane lifetime against oxidation by tropospheric OH ( $\tau_{\text{CH}_4}^{\text{OH}}$ ).**



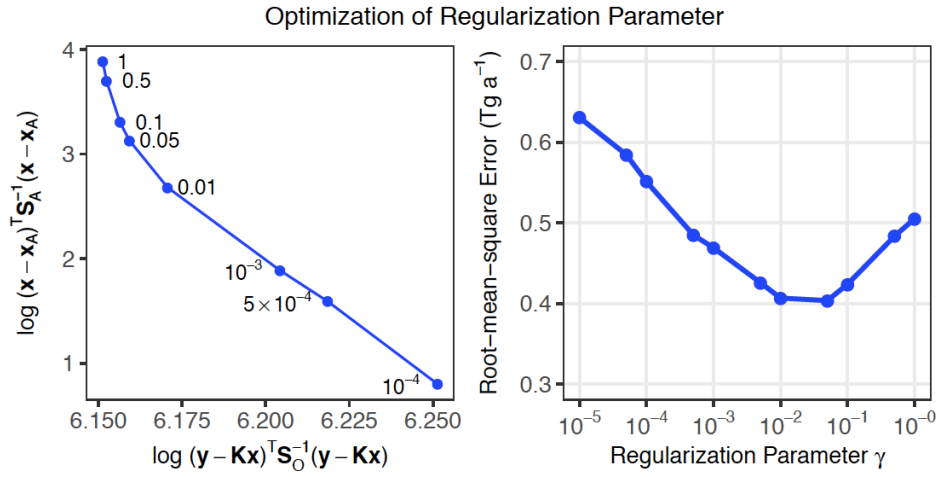
**Figure 3** Differences in monthly mean methane dry air tropospheric column mixing ratios between two simulations with different meteorological fields (GEOS-FP minus MERRA-2) for January (left) and July (right).

5





**Figure 4 Typical vertical sensitivities (column averaging kernels) for satellite observations of atmospheric methane in the SWIR and in the TIR. Adapted from Worden et al. (2015).**



**Figure 5 Optimization of the regularization parameter  $\gamma$  in equation (4) for the SWIR+TIR satellite observing system. Left: L-Curve plot (log-log plot of the squared errors of a regularized solution versus corresponding residual). Values of  $\gamma$  corresponding to each point are indicated. The “turning corner” of the curve indicates an optimal choice of  $\gamma$  (Hansen, 2000). Right: Ability of the inversion to match the “true” gridded methane emission field as a function of the regularization parameter. The ability is measured by the RMSE.**

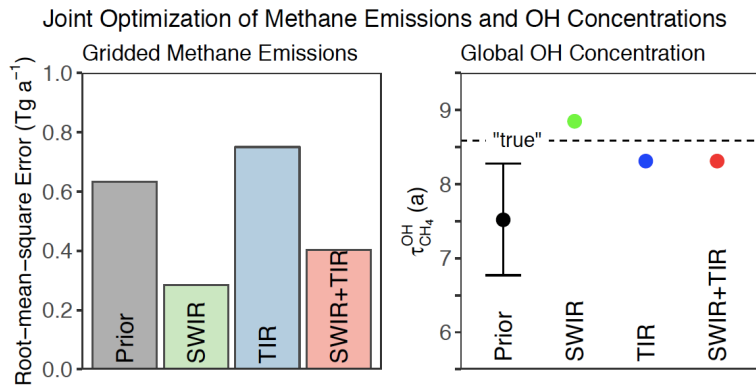


Figure 6 Ability of SWIR, TIR, and SWIR+TIR systems to jointly constrain gridded methane emissions and global OH concentrations (as measured by the methane lifetime  $\tau_{\text{CH}_4}^{\text{OH}}$ ) in our base 1-year inversion. The left panel shows the RMSE in fitting the “true”  $4^\circ \times 5^\circ$  gridded emission rates. The right panel compares the posterior estimates of  $\tau_{\text{CH}_4}^{\text{OH}}$  to the prior estimate and to the “true” value. The prior error standard deviation is shown as a vertical bar. Posterior error bars are too small to be shown, although this reflects overoptimistic error characterization in the inversion (see text).

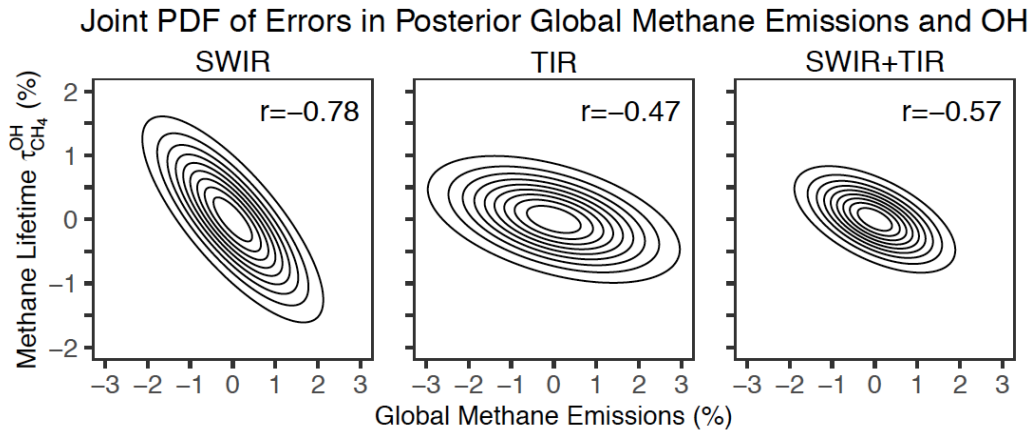


Figure 7 Joint distribution of relative uncertainties in  $\tau_{\text{CH}_4}^{\text{OH}}$  and total methane emissions as given by the posterior error covariance matrices for different satellite observing systems. Contours represent confidence ellipses from probability 0.1 (innermost) to 0.9 (outermost) at an interval of 0.1. The correlation coefficients ( $r$ ) between errors in  $\tau_{\text{CH}_4}^{\text{OH}}$  and total methane emissions are inset.

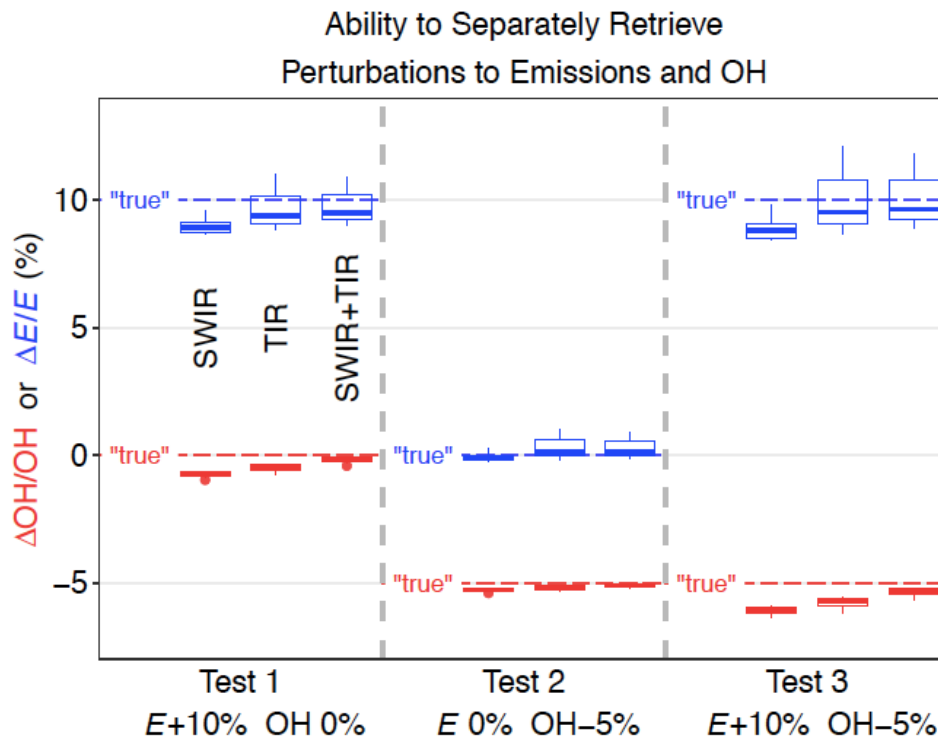


Figure 8 OSSE experiments perturbing global emissions ( $E+10\%$ ), OH ( $OH-5\%$ ), and both ( $E+10\%$   $OH-5\%$ ) to test whether the inversion can retrieve separately these perturbations. Results are shown for different satellite observing systems (SWIR, TIR, and SWIR+TIR). Blue symbols represent posterior estimation of changes in emissions and red symbols posterior estimation of change in global OH concentration. The boxes represent the 75<sup>th</sup>, 50<sup>th</sup>, and 25<sup>th</sup> percentiles and the whiskers represent the maximum and minimum of the results using 12 different OH distributions in "true" simulations. Dashed lines are "true" changes in global emissions (blue) and OH concentration (red).

### Global OH Distributions in 13 Different Global Models

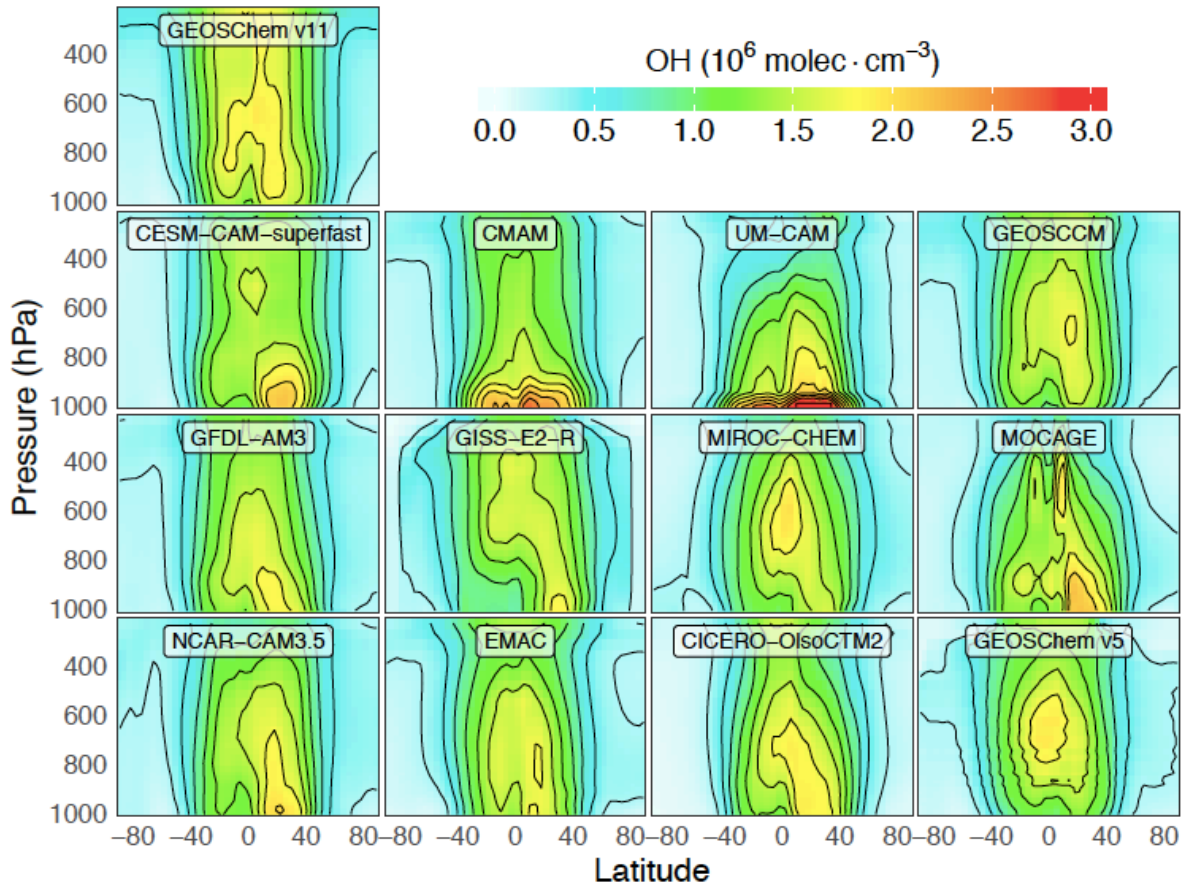
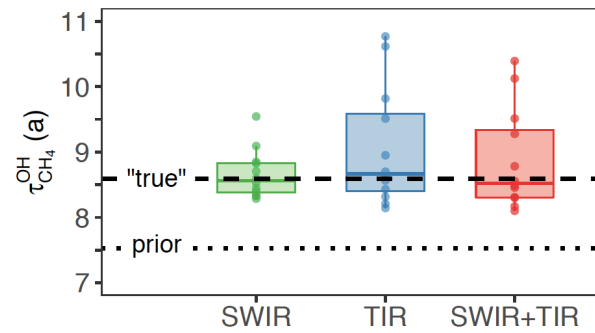


Figure 9 Variability of OH distributions across global models. The figure shows annual zonal mean OH concentrations for 13 different models used in the OSSE. GEOS-Chem v11 is used in the forward model for the inversion with  $\tau_{\text{CH}_4}^{\text{OH}} = 7.5$  years. GEOS-Chem v5 is used for the baseline “true” atmosphere with  $\tau_{\text{CH}_4}^{\text{OH}} = 8.6$  years. The other 11 distributions are from the ACCMIP model ensemble (Naik et al., 2013), with global scaling factors to impose  $\tau_{\text{CH}_4}^{\text{OH}} = 8.6$  years in all cases, and are used in alternative representations of the “true” atmosphere.

Posterior estimates for ranges of OH distributions



5 **Figure 10** Effect of error in OH distribution on the optimization of the global OH concentration (methane lifetime  $\tau_{\text{CH}_4}^{\text{OH}}$ ) from satellite observations. The Figure shows the posterior estimation of  $\tau_{\text{CH}_4}^{\text{OH}}$  using 12 different OH distributions (Figure 9) in simulations of the “true” atmosphere sampled by SWIR, TIR, and SWIR+TIR instruments, in comparison with “true” (dashed line) and prior (dotted line)  $\tau_{\text{CH}_4}^{\text{OH}}$ . The boxes represent the 75<sup>th</sup> and 25<sup>th</sup> percentiles, solid lines inside the boxes represent the medians, the whiskers represent the maximum and minimum, and dots represent results for each OH distribution.

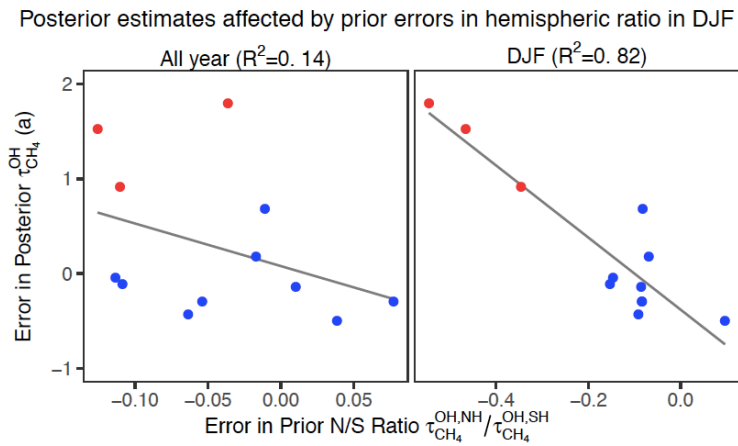
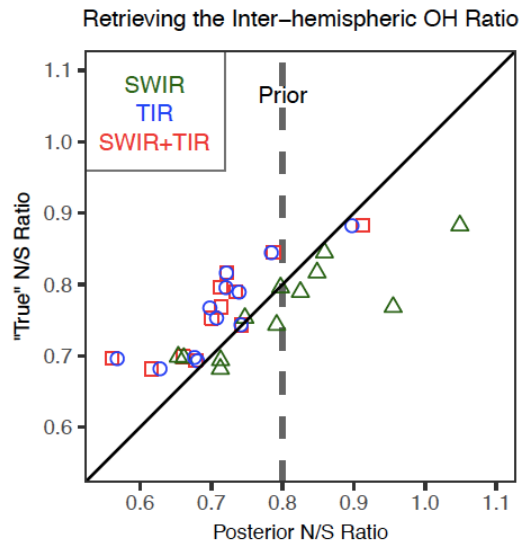


Figure 11 Relationship between errors in posterior estimates of  $\tau_{\text{CH}_4}^{\text{OH}}$  and errors in the prior  $\tau_{\text{CH}_4}^{\text{OH,NH}} / \tau_{\text{CH}_4}^{\text{OH,SH}}$  ratio for the entire year and for boreal winter (December, January, and February). Red dots represent the three cases with large positive errors in posterior estimates of  $\tau_{\text{CH}_4}^{\text{OH}}$  in Figure 10 and blue dots represent the other nine cases. The plots show that the large errors in posterior estimates of  $\tau_{\text{CH}_4}^{\text{OH}}$  are associated with large errors in the prior  $\tau_{\text{CH}_4}^{\text{OH,NH}} / \tau_{\text{CH}_4}^{\text{OH,SH}}$  ratio in DJF months.





**Figure 12** Ability of the inversion of satellite methane observations to retrieve the interhemispheric OH ratio defined by  $\tau_{\text{CH}_4}^{\text{OH,NH}} / \tau_{\text{CH}_4}^{\text{OH,SH}}$ . Posterior inversion results using SWIR, TIR or SWIR+TIR observations are compared to the “true” ratio from 12 different model OH distributions (Figure 9). The dashed vertical line represents the prior  $\tau_{\text{CH}_4}^{\text{OH,NH}} / \tau_{\text{CH}_4}^{\text{OH,SH}}$

5 common to all inversions.