

# Aerosol chemistry, transport and climatic implications during extreme biomass burning emissions over Indo-Gangetic Plain

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## Abstract

The large-scale emissions of airborne particulates from burning of agricultural residues particularly over the upper Indo-Gangetic Plain (IGP) have often been associated with frequent formation of haze, adverse health impacts, modification in aerosol climatology and thereby aerosols impact on regional climate. In this study, short-term variations in aerosol climatology during extreme biomass burning emissions over IGP, and thereby to regional climate were investigated. Size-segregated particulate concentration was initially measured and submicron particles (PM<sub>1.1</sub>) were found to dominate particulate mass within the fine mode (PM<sub>2.1</sub>). Particulate bound water-soluble ions were mainly secondary in nature, primarily composed of sulfate and nitrate. There was evidence of gaseous NH<sub>3</sub> dominating neutralization of acidic aerosol species (SO<sub>4</sub><sup>2-</sup>) in submicron particles, in contrast to crustal dominating neutralization in coarser particulates. Diurnal variation in black carbon (BC) mass ratio was primarily influenced by regional meteorology, while gradual increase in BC concentration was consistent with the increase in Delta-C, referring to biogenic emissions. Influence of biomass burning emissions were established using specific organic (levoglucosan), inorganic (K<sup>+</sup> and NH<sub>4</sub><sup>+</sup>) and satellite-based (UV Aerosol Index, UVAI) tracers. Levoglucosan was the most abundant species within submicron particles (649±177 ng m<sup>-3</sup>), with a very high ratio (>50) against other anhydrosugars, indicating exclusive emissions from burning of agriculture residues. Spatio-temporal distribution of aerosol and few trace gases (CO and NO<sub>2</sub>) were evaluated using both space-borne active and passive sensors. A significant increase in columnar aerosol loading (AOD: 0.98) was evident during extreme biomass burning emissions, with presence of absorbing aerosols (UVAI > 1.5) having low aerosol layer height (~1.5 km). A strong intraseasonality in aerosol cross-sectional altitudinal profile was even noted from CALIPSO, referring dominance of smoke and polluted continental aerosols across IGP. Possible transport mechanism of biomass smoke was established using cluster analysis and concentration weighted air mass back-trajectories. Short-wave aerosol radiative forcing (ARF) was further simulated considering intraseasonality in aerosol properties, which resulted in considerable increase of atmospheric ARF (135 Wm<sup>-2</sup>) and heating rate (4.3 K day<sup>-1</sup>) during extreme biomass burning emissions compared to non-dominating one (56 W m<sup>-2</sup>, 1.8 K day<sup>-1</sup>). Our analysis may be useful to improve understanding of short-term variation in aerosol chemistry over the IGP and to reduce uncertainties in regional aerosol-climate model.

## 1. Introduction

1 Aerosols are studied systematically in terms of their potential to influence the transfer of  
2 radiant energy and distribution of latent heat, by which it modifies the Earth's weather and climate.  
3 Aerosols are also associated with nutrient recycling and for governing atmospheric chemistry  
4 (Kanakidou et al., 2018). Aerosol interaction with radiation mainly constitutes its radiative forcing of  
5 climate change (Bellouin et al., 2005; Bond et al., 2013) while, it also modifies the climate by means  
6 of cloud formation processes (Seinfeld et al., 2016). The aerosol-radiation interaction necessitates  
7 understanding of spectrally varying aerosol optical properties, which are associated to particle size  
8 distribution, chemical composition, morphology and mixing states. The representation of aerosol  
9 processes in global/-regional climate models varies considerably and thereby, estimates of aerosol-  
10 radiation interaction still consist significant level of uncertainties (Myhre et al., 2013). This necessitates  
11 extensive regional investigation in terms of aerosol composition and properties for improved  
12 parametrization of aerosol schemes in the regional/-global climate model.

13 The Indo-Gangetic plain (IGP) in South Asia is especially unique in terms of aerosols loading  
14 and diversity that varies over the seasons (Singh et al., 2017a,b; Sen et al., 2017; Sayer et al., 2014;  
15 Kumar et al., 2018). The IGP is often projected to be one of the most vulnerable region in terms of  
16 aerosol induced negative health impacts (Apte et al., 2015) and therefore, numerous observational  
17 and modeling studies were made for better characterization of aerosols (Sen et al., 2017; Moorthy et  
18 al., 2008 and references therein). Recently, Singh et al. (2017a) has concluded the presence of spatial  
19 and seasonal variations in aerosol sources over South Asia, with vehicular emissions, followed by  
20 industrial emissions and secondary aerosols contributing most to fine particulates. Additionally,  
21 individual episodes of specific emissions like from biomass burning (Wan et al., 2017; Rajput et al.,  
22 2011, 2014; Rajput and Sarin, 2014) and use of fire crackers (Kumar et al., 2016) also induce sudden  
23 large-scale changes in aerosol properties, and necessitate extensive investigation for better  
24 representation in regional aerosol model. Post-harvest agricultural residue burning, especially over  
25 upper IGP is projected to release 400 Gg of particulate bound organic aerosols (OA) and 40 Gg of black  
26 carbon (BC, Rajput et al., 2014), almost entirely (90 %) from burning of rice husks (Rajput et al., 2011).  
27 The OA mostly constitute the fine particulate mass (20-90 %) and are reported to be hydrophilic in  
28 nature (Rajput and Sarin, 2014) therefore, pose potential to act as CCN molecule, or at most compete  
29 with sulphate particles (Singh et al., 2017b). Nevertheless, presence of such huge amount of OA may  
30 either lead to a reduction in mean evaporation and modify regional precipitation or may reduce cloud  
31 formation processes by inducing additional heat to the system (Riipinen et al., 2011; Sun and Arriya,  
32 2006). The biomass burning aerosols also impact the Earth's surface albedo by depositing on glaciers.  
33 The net radiative forcing of biomass burning aerosols by aerosol-radiation interactions is close to  
34 neutral i.e. - 0.0 (-0.20 to + 0.20) W m<sup>-2</sup>, having a gradient with negative forcing from OA and positive

1 forcing from BC (Myhre et al., 2013). Biomass burning aerosols even evolve due to oxidation (Jimenez  
2 et al., 2009; Vakkari et al., 2014), from gas-phase precursors to semi-volatile secondary OA (SOA) and  
3 finally to highly volatile oxidized gases (e.g. CO and CO<sub>2</sub>), thus warrants molecular characterization and  
4 specific understanding both in terms of composition, atmospheric chemistry, transport and radiative  
5 forcing (Singh et al., 2017b).

6 Several investigations were made over IGP to understand the characteristics of biomass  
7 burning aerosols. Few attempts were made solely using ground-based information e.g. aerosol  
8 emission budget (Rajput et al., 2014), organic mass-to-organic carbon ratio (Rajput and Sarin, 2014),  
9 emissions of PAHs (Rajput et al., 2011), organic molecular tracers (Wan et al., 2017; Li et al., 2014) and  
10 radiative forcing (Sharma et al., 2017; Alam et al., 2011); while few have explored remote sensing  
11 observations to interpret fire (Vadrevu et al., 2012) and aerosol plume characteristics (Kaskaoutis et  
12 al., 2014). However, there is a need to integrate both ground and contemporary satellite-based  
13 information so that spatio-temporal characterization of aerosols and its climatic impacts are assessed  
14 more realistically. In the present analysis complementary measurements from both ground and space-  
15 based platforms are therefore combined to trace the vital signatures of extreme biomass burning  
16 emissions, its chemical evolution, transport and aerosol radiative forcing. Initially, chemical  
17 speciations of size-segregated aerosols are made, supported by black carbon dynamics, molecular  
18 tracers of biomass emissions; and further explored in terms of their relevance to regional  
19 meteorology. The spatial extent of aerosol emission and transport was made using Modern-Era  
20 Retrospective Analysis for Research and Applications (MERRA) atmospheric reanalysis data, Global  
21 Data Assimilation System (GDAS) archives and NCEP/ NCAR Reanalysis data. Further, visualization  
22 from 'A-Train' satellite constellation, from both space-borne passive sensors like MODerate resolution  
23 Imaging Spectroradiometer (MODIS), Ozone Monitoring Instrument (OMI) and active sensor like  
24 Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) are included. Briefly, the  
25 results are explored to highlight three exclusive but inter-related mechanisms, i.e. aerosol chemistry,  
26 regional transport and radiative forcing, and their intra-seasonal variations over middle IGP, which  
27 may well be useful in regional climate model.

## 28 **2. Experimental methods**

### 29 **2.1 Site description**

30 Ground-based aerosol measurements were made at the institutional premises of Banaras  
31 Hindu University, Varanasi (25.26 °N, 82.98 °E, 82 m AMSL). The ground station typically experiences  
32 a humid sub-tropical climate, with no localized effects of oceans or mountains (Fig. 1). The  
33 predominating wind profile is north-westerly which are projected to subsidize over a section of middle

1 IGP, coinciding well with the ground monitoring station, thereby facilitates gradual accumulation of  
2 aerosols (Kumar et al., 2018). Interestingly enough, the region also experiences a significant diurnal  
3 variation in atmospheric boundary layer (ABL) associated with high convective turbulence that usually  
4 redistribute aerosols to a greater height (Kumar et al., 2015a,b, 2017a). Particulates emitted from  
5 crustal sources, road dust re-suspension, vehicular exhausts and biomass/waste burning are often  
6 reported to constitute the regional aerosols (Singh et al., 2017a).

## 7 **2.2 Micro-meteorology, ABL and wind field**

8 The 24 h average meteorological parameters e.g. temperature, relative humidity (RH) and  
9 wind speed (WS) were obtained from wunderground.com and validated with regional weather  
10 monitoring station data. The ABL heights at specific coordinate were retrieved from Global Data  
11 Assimilation System (GDAS) archives hosted at NOAA-Air Resource Laboratory, which provides  
12 simulated meteorological observations at a gridded scale. The 3-hourly ABL data ( $0.5^\circ$ ) were averaged  
13 on daily basis in parallel to period of particulate measurement. The NCEP/NCAR Reanalysis data was  
14 used to analyze the variation of 3-D wind fields at near surface (1000 m) with a horizontal resolution  
15 of  $2.5^\circ \times 2.5^\circ$ . Vector wind composite mean ( $\text{m s}^{-1}$ ) for 925 hPa was plotted for the defined coordinate  
16 ( $6\text{-}38^\circ \text{N}$ ,  $50^\circ\text{-}105^\circ \text{E}$ ) to understand the synoptic pattern of wind field.

## 17 **2.3 Ground-based measurements**

### 18 **2.3.1 Size-segregated aerosol mass concentration**

19 Size-segregated aerosols were collected on pre-combusted quartz fiber filter using Anderson  
20 eight-stage cascade impactor (Tisch Environmental Inc., USA). Sampling was continued for once in a  
21 week from 1<sup>st</sup> October to 15<sup>th</sup> December 2016, continuously for 72 h (in each week) to get  
22 representative deposition of particulates. The instrument was run with a fix flow rate of 28.3 LPM,  
23 having aerodynamic cut-off diameter of  $<0.43$ ,  $0.65$ ,  $1.1$ ,  $2.1$ ,  $3.3$ ,  $4.7$ ,  $5.8$  and  $>9.0 \mu\text{m}$  (with 50 %  
24 collection efficiency). The individual stages of each sample were then segregated into three groups on  
25 the basis of cut-off diameter (i) coarse mode ( $\text{PM}_{>2.1}$ ) comprising the stages with the aerodynamic  
26 diameter  $>2.1 \mu\text{m}$ ; (ii) fine mode ( $\text{PM}_{1.1-2.1}$ ) for the stages with diameter  $1.1$  to  $2.1 \mu\text{m}$ ; and, (iii)  
27 submicron mode ( $\text{PM}_{<1.1}$ ) for the last two stages with the diameter  $<1.1 \mu\text{m}$ .

### 28 **2.3.2 Black carbon mass concentration**

29 The black carbon (BC) real-time mass concentration was measured using a seven channel  
30 Aethalometer (Model AE-42; Magee Sci. Inc., USA), with a constant flow rate of 3 LPM at 5 minutes  
31 resolution. Aethalometer measures the attenuated beam of light transmitted through aerosol sample  
32 on filter tape at seven wavelengths (370, 470, 520, 590, 660, 880 and 950 nm), while attenuation at

1 880 nm was considered for BC (Bodhaine, 1995). The BC concentration is estimated based on the  
2 concept of linearity between the light attenuation and BC mass deposited on quartz filter. An  
3 absorption efficiency of  $16.6 \text{ m}^2 \text{ g}^{-1}$  (provided by the manufacturer) was used to measure BC after  
4 correction of loading effect. The mechanism for estimation of BC is described in Wang et al. (2011)  
5 and Kumar et al. (2017a). BC measured at two wavelengths e.g. 370 nm (indicating absorption by  
6 wood-smoke particles) and 880 nm (by both fossil fuel and wood burning emissions) were used to  
7 compute Delta-C ( $\text{BC}_{370\text{nm}} - \text{BC}_{880\text{nm}}$ ). Delta-C is reported to symbolize smoke emissions (Wang et al.,  
8 2011; Kumar et al., 2016) and therefore, was used as a tracer for biomass emissions.

### 9 2.3.3 Aerosol chemical constituents

#### 10 *Water-soluble ions*

11 The particulate deposits on filter were extracted with deionized water in an ultrasonic bath  
12 (Microclean-109, Oscar, India) for 30 min, and extracts were further filtered through syringe filters  
13 (pore size  $0.2 \mu\text{m}$ ). The water-soluble ionic constituents (WSIC) were analyzed by ion exchange  
14 chromatograph (ICS 3000, Dionex, USA). For measurement of anions ( $\text{Cl}^-$ ,  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$  and  $\text{PO}_4^{3-}$ ), the IC  
15 was equipped with a micro-membrane suppressor (AERS-300, 4 mm; Dionex) with IonPac analytical  
16 column (AS11-HC  $\times$  250-mm) connected with a guard column IonPac (AG11-HC,  $4 \times 50\text{mm}$ ; Dionex).  
17 Cations ( $\text{NH}_4^+$ ,  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Mg}^{2+}$ ,  $\text{Ca}^{2+}$ ) were measured through a suppressor (CERS-300, 4 mm; Dionex)  
18 with an analytical column (IonPac CS12A-HC,  $4 \times 250 \text{ mm}$ ; Dionex) and a guard column (IonPac CG11-  
19 HC,  $4 \times 50 \text{ mm}$ ; Dionex, USA). The background contamination was removed by subtracting the blank  
20 filter value from sample values (Kumar et al., 2017b).

#### 21 *Trace metals*

22 The trace metals were extracted from filter discs as per US EPA Method IO-3.2 (EPA, 1999).  
23 The filters were cut into pieces and digested in acid mixture solution (5.55 %  $\text{HNO}_3$  with 16.67 % HCl)  
24 on a hot plate for 2 h. The extracts were filtered, stored at  $4 \text{ }^\circ\text{C}$  and were analyzed by atomic  
25 absorption spectrophotometer (Avanta Ver 2.01, GBC) for Ca, Na, K, Cu, Mn, Fe, Cd, Cr, Pb, Ni, Co, and  
26 Zn.

#### 27 *Organic compounds*

28 For determining the aerosol organic constituents, the filter composites of each group were  
29 extracted by ultrasonically the filters initially with dichloromethane-hexane mixture (1:1), followed  
30 by dichloromethane-methanol mixture (1:1). Both solvent extracts were combined and concentrated  
31 using vacuum rotatory evaporator and nitrogen evaporator to a volume of  $100 \mu\text{L}$  (Hu et al., 2013).  
32 The extracts were derivatized by silylation with N, O-bis-(trimethylsilyl)-trifluoroacetamide and 1 %

1 trimethylchlorosilane prior to analysis. After derivatization, the residue was re-dissolved in hexane  
2 and analyzed by gas chromatography-mass spectrometry (GCMS-QP2010 Ultra, Shimadzu, Japan)  
3 equipped with Rxi-5MS fused silica capillary column having dimension 30 m x 0.25 mm id x 0.25  $\mu\text{m}$   
4 (Restek, Bellefonte, PA, USA). Sample was injected in GCMS at 260°C injector temperature in splitless  
5 mode. The column oven temperature program was started at 50°C with 2 min of the isothermal hold  
6 which further raised up to 120 °C (linear elevation @ 30 °C min<sup>-1</sup>) and 300 °C (linear elevation @ 6 °C  
7 min<sup>-1</sup>) followed by the isothermal hold of 11 min. The electron impact ionization was used to produce  
8 molecular ions at 70 eV with the ion source and interface temperature of 230 °C and 270 °C,  
9 respectively. The molecular ions were scanned for a wide range of m/z from 40 to 650. The target  
10 compounds were identified based on retention time and fragmentation pattern from National  
11 Institute of Standards and Technology (NIST) library and standard solutions of analytes. The average  
12 recoveries (respective RSD) of the n-alkanes (28 compounds) varied from 72-92% (1-12%), 75-88% (2-  
13 7%) for phthalates (6 compounds), 74-92% (1-9%) for FAMES, 73-93% (1-10%) for PAHs and 75-80%  
14 (4-6%) for anhydrosugars (3 compounds).

## 15 **2.4 Satellite-based observations**

### 16 **2.4.1 Aqua/-Terra MODIS data**

17 The aerosol optical depth (AOD) at 550 nm was retrieved daily from MODIS onboard Aqua  
18 satellite in parallel to ground-based aerosol monitoring. The level 2 Collection 6 AOD at 10 km  
19 resolution was retrieved using MODIS merged DT-DB AOD (AOD\_550\_-  
20 Dark\_Target\_Deep\_Blue\_Combined, Levy et al., 2013). The selection of merged DT-DB for retrieving  
21 AOD was based on higher retrieval number and accuracy across the IGP (Mhawish et al., 2017). The  
22 AOD for the ground station was calculated as the average of 5 x 5 pixels, surrounding the monitoring  
23 site. Angstrom exponent (AE,  $\alpha$ ) was retrieved using MODIS C6 level 2 DB AOD and relation between  
24 AOD and AE was used to measure the aerosol loading and the particle size (Kumar et al., 2015a;  
25 Mhawish et al., 2017). Columnar water vapor content (CWV) was retrieved from Aqua MODIS  
26 collection 6 level 2 infrared channel at 1 km spatial resolution. To illustrate the impact of biomass  
27 burning, the fire spots were retrieved over the IGP from Aqua/-Terra MODIS Fire Mapper product  
28 (collection 6, spatial resolution 1x1 km<sup>2</sup>) provided by the Fire Information for Resource Management  
29 System (FIRMS, <https://firms.modaps.eosdis.nasa.gov>). The details about MODIS fire products and its  
30 algorithm may be found elsewhere (Justice et al., 2006).

### 31 **2.4.2 Aura-OMI and MERRA-2 reanalysis data**

32 The OMI onboard AURA satellite has a typical daily global coverage with 13 x 24 km<sup>2</sup> spatial  
33 resolution at nadir and measures solar backscatter irradiation in the UV-visible spectrum (264-504

1 nm; Levelt et al., 2006). Ultraviolet Aerosol Index (UVAI), tropospheric NO<sub>2</sub>, total columnar ozone  
2 (TCO) and Single scattering albedo (SSA) were retrieved from Aura OMI available at NASA Goddard  
3 Earth Sciences Data and Information Services Centre (GES DISC). Aura OMI UVAI is capable of detecting  
4 aerosol absorption from satellite measured radiances without any prior assumption on aerosol  
5 composition (Torres et al., 2013). It is a qualitative parameter and is widely used to identify the UV  
6 absorbing aerosols (e.g. smoke plumes, soot and mineral dust; Torres et al., 2013; Mhawish et al.,  
7 2018). The UVAI based on OMI near-UV aerosol retrieval algorithm (OMAERUV) was extracted from  
8 Level 2G, version 003 aerosol product containing one day's Level 2 data set of original pixels (13 × 24  
9 km<sup>2</sup>) into 0.25° × 0.25° grids. The NO<sub>2</sub> tropospheric column density was retrieved from cloud screened  
10 (cloud fraction <30 %) Level 3, version 003, daily 0.25° × 0.25° gridded OMNO2d product (Krotkov et  
11 al., 2017). To estimate TCO, Level 3e data (OMDOAO3) at a spatial resolution of 0.25° × 0.25° was used.  
12 SSA at 550 nm was retrieved from OMI level 2G product (OMAERUV) at 0.25° × 0.25° resolution. The  
13 Carbon Monoxide (CO) surface concentration (in ppbv) was retrieved from Modern-Era Retrospective  
14 Analysis for Research and Applications, version 2 (MERRA-2) atmospheric reanalysis data available at  
15 0.5° × 0.625° from GES DISC.

#### 16 **2.4.3 CALIPSO-CALIOP observations**

17 CALIPSO products were used to examine the vertical distribution of aerosols, altitude of  
18 aerosol layers, clouds, aerosol types and their properties at visible (532nm) and near-IR wavelengths  
19 (1064 nm). The V4.10 CALIOP Level 2 altitude-orbit cross-section profiles obtained from CALIPSO sub-  
20 setting web application (<https://www-calipso.larc.nasa.gov>) was used. The Lidar profiles were  
21 processed for images of vertical feature masks, aerosol subtypes and extinction coefficients (at 532  
22 nm) at 30 m vertical resolution over the selected grid (80°-86 °N and 22°-28 °E). The details about data  
23 products, calibration and uncertainty are discussed in Rogers et al. (2011).

#### 24 **2.5 Air-mass back trajectory**

25 The NOAA HYSPLIT model (Draxler and Rolph, 2003) was used to simulate particle back  
26 trajectories in a three-dimensional system. The HYSPLIT was run on using the Global Data Assimilation  
27 System data (GDAS, 0.5°×0.5°) available from archive dataset (<http://ready.arl.noaa.gov/gdas1.php>)  
28 to predict 120 h air-mass back trajectories (00:00, 06:00, 12:00 and 18:00 UTC) starting from October  
29 to December 2016. Trajectories for different aerosol loading periods were then overlaid on MODIS  
30 fire map to study the transboundary movement of emissions from biomass burning. The trajectory  
31 analysis was made using GIS-based software TrajStat (Wang et al., 2009). Concentration weighted  
32 trajectories (CWT) were also drawn considering columnar aerosol loading to evaluate potential

1 aerosol source fields and mechanism of aerosol transport. The specificities of the models' parameters  
2 and algorithms are detailed elsewhere (Wang et al., 2009; Kumar et al., 2018).

### 3 **2.6 Aerosol optical properties, radiative forcing and heating rate**

4 Aerosol induced shortwave (0.2–4.0  $\mu\text{m}$ ) direct radiative forcing (ARF) was estimated using  
5 Santa Barbara DISORT Atmospheric Radiative Transfer (SBDART) model (Ricchiuzzi et al., 1998). The  
6 SBDART estimates plane-parallel radiative transfer in a clear sky condition for both Earth's top of the  
7 atmosphere (TOA) and at the surface (SUF), while atmospheric forcing (ATM) is calculated as the  
8 difference between them. The standard atmospheric profile is used together with input variables e.g.  
9 AOD, SSA, CWV, TCO and asymmetry parameter (ASP) derived through OPAC model (Optical  
10 Properties of Aerosols and Clouds; Hess et al., 1998). The OPAC provides aerosol optical properties  
11 over a wide range of wavelength and delivers necessary input to SBDART. Mean mass concentrations  
12 of aerosol water soluble (WSIC) and insoluble (dust and organics) components along with BC mass  
13 concentration were converted to particle number densities and introduced to OPAC to derive aerosol  
14 optical properties. The AOD and SSA were reconstructed to match modelled and satellite derived  
15 values within  $\pm 5\%$  deviation.

16 The weekly mean values of AOD, SSA, ASP, CWV, TCO, visibility and AE were included as inputs  
17 to SBDART. The SBDART includes multiple scattering in a vertically inhomogeneous, non-isothermal  
18 plane-parallel media, and is reported to be efficient in resolving the radiative transfer equation (Raju  
19 et al., 2016). The ARF was calculated using 10 solar zenith angles (0 to 89, with increment of 10) and  
20 was proceed for conditions like 'with aerosols' or 'without aerosols'. The surface albedo was decided  
21 based on visual observation considering a combination of snow, ocean, sand and vegetation. Overall  
22 uncertainty in the estimated ARF was in the range of 10–15 % (Alam et al., 2011). The ATM-ARF was  
23 further used to compute atmospheric heating rate ( $\partial T/\partial t$ ,  $\text{K day}^{-1}$ ), using equation (1):

$$24 \quad \partial T/\partial t = (g/C_p) * (\Delta F/\Delta P) \quad (1)$$

25 where  $\Delta P$  is the difference in forcing,  $\Delta P$  is the pressure difference between top and bottom boundary  
26 layer,  $C_p$  is specific heat capacity of air at constant pressure and  $g$  is the acceleration due to gravity  
27 (Kumar et al., 2017a).

## 28 **3. Results and discussion**

### 29 **3.1 General characteristics of aerosols**

30 The weekly variation in particulate concentrations in different size fractions are presented in  
31 Fig. 2 with the descriptive statistics included in Table S1. The total aerosol mass concentrations have  
32 high intra-seasonal variations (median: 370; range: 134-734  $\mu\text{g m}^{-3}$ ), mainly influenced by coarse mode



1 particles ( $PM_{>2.1}$ ) contributing  $63\pm 15$  % of particulate mass. In contrast, contribution of submicron  
2 ( $PM_{<1.1}$ :  $27\pm 12$  %) and fine mode particles ( $PM_{1.1-2.1}$ :  $10\pm 4$  %) to total aerosol loading were relatively  
3 less (<37%). The average ( $\pm 1\sigma$ ) mass concentration of  $PM_{2.1}$  ( $PM_{<1.1} + PM_{1.1-2.1}$ ) and total aerosol loading  
4 was  $162 (\pm 123)$  and  $390 (\pm 199) \mu\text{g m}^{-3}$ , which were approximately 98 % (against  $PM_{2.5}$ ) and 92 % higher  
5 compared to annual averages observed over the monitoring station (Murari et al., 2017; Prajapati and  
6 Tripathi, 2008). To our knowledge, till the submission of the manuscript, there were no published  
7 reports on submicron particle over the ground station. Time-series analysis of size-segregated  
8 particulates (Fig. 2) indicate the submicron ( $PM_{<1.1}$ ) and fine mode particles ( $PM_{1.1-2.1}$ ) only had a late  
9 rise in mass concentrations, while the coarse mode particulates ( $PM_{>2.1}$ ) did not show any trend.  
10 However, there was a definite increasing pattern in fine to coarse particle ratio ( $PM_{2.1}/PM_{>2.1}$ ; mean:  
11  $0.7\pm 0.5$ ; range: 0.2-1.5), due to a continuous increase of the fine mode from mid-November to the  
12 end of the monitoring. Thus the contribution of fine mode particle to total aerosol loading increased  
13 from mid-November (>40 %), and contributed almost 60 % of particulate mass during the month of  
14 December. The submicron particles also indicate a high median concentration ( $96 \mu\text{g m}^{-3}$ ) compared  
15 to fine mode ( $33 \mu\text{g m}^{-3}$ ), and the particle ratio ( $PM_{<1.1}/PM_{1.1-2.1}$ ) remain >1 throughout, only to exceed  
16 values >2.5 from November to December. This clearly indicates the dominance of submicron particles  
17 within fine mode fractions, possibly associated to anthropogenic emissions, and also influenced by  
18 local meteorological conditions e.g. low temperature (mean $\pm$ SD:  $20\pm 3$  °C), calm wind (mean:  $0.6 \text{ m s}^{-1}$ )  
19 and shallow boundary layer height (mean $\pm$ SD:  $379\pm 89$  m).

## 20 **3.2 Aerosol chemical speciations**

### 21 *3.2.1 Water soluble inorganic species (WSIS)*

22 Temporal variation of WSIS in size-segregated airborne particulates are presented in Fig. 3a.  
23 It indicates the major contribution of WSIS to submicron (21 %) and fine particle mass (21 %) compared  
24 to the coarser one (13 %). The secondary inorganic aerosols ( $SIA = SO_4^{2-} + NO_3^- + NH_4^+$ ) together  
25 accounted for 17 % of the submicron particle mass, with major contributions from sulfate (9%) and  
26 nitrate (6 %). Similar was the case for fine particulates as SIA contributed to almost 17 % of aerosol  
27 mass with predominate contribution from sulfate (8 %) and nitrate (6 %), and a relatively small  
28 proportion of ammonia (4 %). In contrast, the relative contribution of SIA to coarse particulate was  
29 lower (7 %), also primarily associated to sulfate (5 %) and nitrate compounds (2 %). This indicates the  
30 secondary nature of origin of fine and submicron particles which possibly evolve through gas-phase  
31 photochemical conversion of  $SO_2$  and  $NO_2$ , eventually neutralized by crustal species like carbonate  
32 salts ( $CaCO_3$  and  $MgCO_3$ ) associated with the airborne dust. The time-series of SIA contribution to  
33 particulate mass (Fig. 3a) indicate a dominance (although in different extent) of secondary aerosols in  
34  $PM_{1.1-2.1}$  and  $PM_{>2.1}$  only during November.

1 Among the WSIS,  $\text{SO}_4^{2-}$  was invariably the most abundant species within each particulate size  
2 fraction ( $\text{PM}_{<1.1}$ : 39 %,  $\text{PM}_{1.1-2.1}$ : 32 %,  $\text{PM}_{>2.1}$ : 36 %), followed by  $\text{NO}_3^-$  ( $\text{PM}_{<1.1}$ : 27 %,  $\text{PM}_{1.1-2.1}$ : 29 %,  $\text{PM}_{>2.1}$ : 17 %). The  $\text{NO}_3^- / \text{SO}_4^{2-}$  ratio was considered as an indicator of the mobile and stationary source  
3 contribution to nitrogen and sulfur (Tian et al., 2016). An average ratio varying from 0.62 to 1.92 was  
4 noted for all size-segregated particulates testifying dominance of both sources, although in different  
5 time-scales. In later phase, the ionic ratio ( $\text{NO}_3^- / \text{SO}_4^{2-}$ ) enhanced ( $>1$ ) in submicron and fine mode  
6 particles, well identical to the reported haze events over Guangzhou (Tan et al., 2009) and Suzhou,  
7 China (Tian et al., 2016). A very high  $\text{NO}_3^- / \text{SO}_4^{2-}$  ratio ( $3.2 \pm 1.3$ ) was only noted in fine aerosols during  
8 October, mainly due to lower concentration of sulphate. The next two dominant contributors to WSIS  
9 were  $\text{NH}_4^+$  ( $\text{PM}_{<1.1}$ : 14 %,  $\text{PM}_{1.1-2.1}$ : 19 %,  $\text{PM}_{>2.1}$ : 5 %) and  $\text{K}^+$  ( $\text{PM}_{<1.1}$ : 8 %,  $\text{PM}_{1.1-2.1}$ : 5 %,  $\text{PM}_{>2.1}$ : 2 %),  
10 both considered as a molecular tracer for biogenic emission (Banerjee et al., 2015). They constitute  
11 the greater proportion of WSIS in  $\text{PM}_{<1.1}$  and  $\text{PM}_{1.1-2.1}$ , especially from last week of October till the end  
12 of November, signifying elevated contribution of biomass/ agro-residue burning emissions to these  
13 particle sizes. Further, a strong correlation ( $R^2=0.9$ ) between  $\text{NH}_4^+$  and  $\text{SO}_4^{2-}$  and high  $\text{NH}_4^+/\text{SO}_4^{2-}$   
14 equivalent ratio ( $0.9 \pm 0.2$ ) for submicron particulates indicate the abundance of gaseous  $\text{NH}_3$  to  
15 neutralize acidic species ( $\text{SO}_4^{2-}$ ) by forming  $(\text{NH}_4)_2\text{SO}_4$  and/or  $\text{NH}_4\text{HSO}_4$ . The  $\text{NH}_4^+/\text{SO}_4^{2-}$  equivalent ratio  
16 gradually increased from week 5 (mean: 1.2, range: 0.9-1.3), possibly due to abundant emission of  
17  $\text{NH}_4^+$  from biomass emissions. Unlike submicron particles, the low  $\text{NH}_4^+/\text{SO}_4^{2-}$  equivalent ratios ( $<0.7$ ,  
18 mean: 0.4) in coarse mode particles indicate the predominant neutralization by crustal minerals.  
19

20 Unlike the other WSIS,  $\text{Na}^+$  and  $\text{Ca}^{2+}$  were found to contribute maximum in  $\text{PM}_{>2.1}$  ( $\text{Na}^+$ : 2 %;  
21  $\text{Ca}^{2+}$ : 3 %), referring their crustal origin. The relative abundance of  $\text{Cl}^-$  in size-segregated aerosols was  
22 roughly equal for each size fraction, contributing almost in identical to total WSIS in  $\text{PM}_{<1.1}$  (6 %),  $\text{PM}_{1.1-2.1}$   
23 (5 %) and  $\text{PM}_{>2.1}$  (4 %). The possible origin of  $\text{Cl}^-$  in  $\text{PM}_{>2.1}$  could be the aged sea salt, transported  
24 from Bay of Bengal, but its association with  $\text{PM}_{<1.1}$  was most likely due to biomass burning emissions  
25 (Pavuluri et al., 2011; Murari et al., 2015). The temporal variations of WSIS in all particulate size  
26 fractions were consistent except for  $\text{Mg}^{2+}$  and  $\text{PO}_4^{3-}$  contributing  $<0.2$  % of particulate mass and having  
27 non-biomass specific emission sources. A strong correlation between the anion and cation equivalents  
28 within all the size groups (0.7-0.9) indicate that the most ions were from the filter samples. The total  
29 ion equivalent ratio (anions to cation) refer a cationic imbalance ( $\text{PM}_{<1.1}$ : 1.2,  $\text{PM}_{1.1-2.1}$ : 0.8 and  $\text{PM}_{>2.1}$ :  
30 0.6) with excess cations in fine and coarse mode particles, possibly due to unmeasured components  
31 like carbonates and bicarbonates.

### 32 3.2.2 Trace metals

33 Total metallic contribution to particulate mass was found maximum in  $\text{PM}_{1.1-2.1}$  (24 %),  
34 followed by  $\text{PM}_{>2.1}$  (11 %) and least in  $\text{PM}_{<1.1}$  (7 %, Fig. 3b). The most abundant elements were Na, Ca,

1 K and Zn for all size fractions, contributing 90-98 % of total identified metals, while the remaining  
2 fractions were primarily constituted by Fe (1-10 %). Within the detectable level of metals, Ca and Na  
3 share 88 % of metal concentrations in  $PM_{<1.1}$  and 7% of submicron particulate mass, without having  
4 any specific temporal trend. However, Ca, Na were found high in  $PM_{1.1-2.1}$  (Ca: 10 %; Na: 7 %), referring  
5 their origin from resuspension of crustal materials and road dust.

6 There are few evidences of trace metal emissions from burning of biomass. Wang et al. (2015)  
7 have concluded biomass combustion as the most prominent source of Fe concentration in submicron  
8 particles. For this analysis, although Fe was measured maximum in  $PM_{>2.1}$ , the relative increase in Fe  
9 concentration in submicron ( $PM_{1.1}$ : 59 %) and fine aerosols ( $PM_{1.1-2.1}$ : 415 %) during week 6 to week 9  
10 possibly indicate the added contribution of biomass burning emissions. Beside Fe, there are also  
11 reports of trace metals emissions particularly K, Cu, S, Zn, Pb from burning of rice-straw (Ryu et al.,  
12 2012); organic bound  $Fe^{2+}$ ,  $Cu^{2+}$ ,  $Ni^{2+}$ ,  $Zn^{2+}$  from hardwood burning (Chang-Graham et al., 2011) and  
13 Cu, Pb, Ni, As from the burning of biomass fuel (Zhang 2014). In our case, massive increase in K ( $PM_{1.1}$ :  
14 528 %;  $PM_{1.1-2.1}$ : 119 %) was also noted between week 6 and week 9. This contrasted with coarse  
15 particle bound Fe and K which are primarily of crustal origin (Banerjee et al., 2015), and recorded only  
16 15 % (Fe) and 83 % (K) increase in concentration within week 6 to 9. Zinc was found considerably high  
17 in  $PM_{>2.1}$  (3 %) and relatively small proportion in  $PM_{1.1-2.1}$  (2 %). The major sources of atmospheric Zn  
18 are burning of residual oil, refuse and garbage (Gonzalez et al., 2016) which possibly leads to higher  
19 mass fractions in coarser particulates. Here, a relatively high Zn concentration was noted in later phase  
20 of monitoring irrespective of particulate size coincide with the winter specific burning of waste/  
21 refuse over the region (Kumar et al., 2017b). The relative contribution of rest of the trace metals (e.g.  
22 Mn, Pb, Cd, Ni, Cu, Cr and Co) to particulate mass were insignificant (<0.05 %), without having any  
23 specific temporal pattern.

### 24 **3.3 Characteristics of BC mass loading**

25 Daily means of BC concentration and Delta-C ( $BC_{370} - BC_{880}$ ) are plotted in Fig. 4 with some  
26 data gaps. The 24 h average BC concentration varied from 2.0-15.4  $\mu g m^{-3}$  with a seasonal mean ( $\pm 1\sigma$ )  
27 of 8.3 ( $\pm 2.9$ )  $\mu g m^{-3}$ . The season specific BC average was 80 % higher in comparison to annual mean  
28 (4.6  $\mu g m^{-3}$ ; Kumar et al., 2017a), while there were also reports of winter-specific very high BC  
29 concentration (22  $\mu g m^{-3}$ ; Murari et al., 2016) that usually persist over the region. A distinct diurnal  
30 profile with high BC concentration during nighttime ( $>9 \mu g m^{-3}$ ; 11:00-7:00 h) and low daytime  
31 concentration ( $<6 \mu g m^{-3}$ , 11:00-17:00 h) was also noted. Gradual rise in BC mass loading from 17:00  
32 h could be mainly attributed to the regional meteorology which is reported as the most influential  
33 factor in regulating BC concentrations compared to the anthropogenic sources (Kumar et al., 2015b,  
34 2017a). Regional meteorology, particularly boundary layer height and transport are two fundamental

1 processes that influence the diurnal BC variation, mainly by means of regulating horizontal and vertical  
2 transport (Kumar et al., 2015b). Therefore, the diurnal variation in BC concentration were the  
3 consequence of change in boundary layer height with occasional inflow of emissions from large-scale  
4 burning of agriculture residues/ biomass/ waste and from vehicular emissions.

5 The BC timeseries shows an enhanced BC concentration from the end of October (week 4) till  
6 the November end (week 9). Such increase in BC concentration was however, possibly due to  
7 increased source strength as there was no significant variation in meteorological variables (like ABL)  
8 within this timeframe (Table S1). To understand the variation in BC sources, 24 h average Delta-C  
9 concentration (mean±SD:  $2.3\pm 1.0 \mu\text{g m}^{-3}$ ) is also included in Fig. 4, which refers the emission of smoke  
10 particles (Wang et al., 2011; Kumar et al., 2016). Except few exceptions, high Delta-C ( $>2.3 \mu\text{g m}^{-3}$ ) was  
11 observed particularly in the month of November (80 % of days) compared to October (23%) and  
12 December (46 %), referring added contribution of biomass burning emissions.

### 13 **3.4 Composition of organic aerosols**

14 Size-segregated particle-bound organic aerosols (OA) were analysed for 22 *n*-alkanes ( $\text{C}_{13}$ - $\text{C}_{34}$ ),  
15 3 anhydrosugars (levoglucosan, mannosan and galactosan), 4 PAHs and 10 *n*-alkanoic acids ( $\text{C}_{12}$ - $\text{C}_{26}$ )  
16 (Fig. 5). Considerable variation in the concentration and size distributions of these OA were  
17 noted. Contributions of OA to size-segregated particulates were relatively less because of partial  
18 characterization through GC-MS. Among the identified species, *n*-alkanes were invariably the highest  
19 within  $\text{PM}_{<1.1}$  (mean±SD:  $484\pm 103 \text{ ng m}^{-3}$ ) compared to fine ( $267\pm 43 \text{ ng m}^{-3}$ ) and coarse mode aerosols  
20 ( $308\pm 93 \text{ ng m}^{-3}$ ). The molecular distribution of *n*-alkanes homologues in all three size fractions showed  
21 a slight dominance of odd-numbered *n*-alkanes. The CPI (Carbon Preference Index) remain close to  
22 unity (CPI range: 1.2-2.1; mean±SD:  $1.5\pm 0.5$ ), indicating dominance of anthropogenic emissions like  
23 combustion of fossil fuels and biomass burning. The higher molecular weight homologues ( $>\text{C}_{25}$ )  
24 concentration were found highest in  $\text{PM}_{<1.1}$  with an oscillating pattern, having odd molecules  
25 concentration higher than the adjacent even molecules (Fig. 5b). In contrast the low molecular weight  
26 homologues ( $<\text{C}_{25}$ ) showed no such specific pattern of odd/even dominance. The sources of higher  
27 homologues ( $\text{C}_{27}$ ,  $\text{C}_{29}$  and  $\text{C}_{31}$ ) are probably the surface deposited plant litter for coarse mode and  
28 biomass burning for fine mode aerosols, while low molecular weight homologues ( $<\text{C}_{25}$ ) primarily  
29 originate from the fossil fuel combustion (Kang et al., 2016). Saturated fatty acids were found to  
30 constitute a larger fraction of solvent extractable organics within coarse mode ( $439\pm 38 \text{ ng m}^{-3}$ )  
31 and submicron particles ( $357\pm 162 \text{ ng m}^{-3}$ ) in comparison to fine mode ( $171\pm 57 \text{ ng m}^{-3}$ ). For all three  
32 size fractions, total low molecular weight fatty acids ( $\leq\text{C}_{20}$ ) concentration was found higher than the  
33 high molecular weight fatty acids ( $\geq\text{C}_{20}$ ), indicating the anthropogenic emissions like vehicular,  
34 residential biomass burning and energy practices. Presence of high concentration of  $\text{C}_{12}$ , and  $\text{C}_{15}$  refer

1 the dominance of cooking oil combustion. The high concentration of  $C_{22}$  further suggests the influence  
2 of biomass burning which potentially emit both, high and low fatty acids (Mochida et al., 2007). The  
3 fatty acid amide was found in trace amount which could possibly be derived from fatty acid and  
4 ammonia during burning process. Presence of PAHs was also measured for size-segregated  
5 particulates and was found highest in submicron particulates ( $7.0 \text{ ng m}^{-3}$ ) compared to  $PM_{1.1-2.1}$  ( $3.6 \text{ ng}$   
6  $\text{m}^{-3}$ ) and  $PM_{>2.1}$  ( $3.1 \text{ ng m}^{-3}$ ). PAHs are mainly produced due to incomplete combustion of fuels like  
7 fossil fuels and biomass at relatively high temperature (Singh et al., 2017b; Chen et al., 2015). There  
8 was no previous report of submicron particulate bound PAHs during biomass burning emissions over  
9 IGP, except for  $PM_{2.5}$  bound PAHs in Patiala ( $27\text{-}40 \text{ ng m}^{-3}$ ; Rajput et al., 2011, 2014), Agra ( $9 \text{ ng m}^{-3}$ ;  
10 Villalobos et al., 2015), Kanpur ( $3 \text{ ng m}^{-3}$ ; Villalobos et al., 2015) and total aerosol bound PAHs in  
11 Kathmandu ( $320 \text{ ng m}^{-3}$ , Chen et al., 2015).

12 Levoglucosan was found to be the most abundant anhydrosugar in submicron particles with  
13 an average ( $\pm 1\sigma$ ) of  $649 (\pm 177) \text{ ng m}^{-3}$ . In contrast, concentration in fine ( $229 \pm 87 \text{ ng m}^{-3}$ ) and coarse  
14 particles ( $162 \pm 68 \text{ ng m}^{-3}$ ) were relatively low, referring the influence of biomass burning emissions for  
15 submicron particles. Levoglucosan concentration measured in this study are well comparable to other  
16 reported observations, especially with the cases that have accounted the influence of biomass burning  
17 emissions e.g. New Delhi ( $1978 \text{ ng m}^{-3}$ , Li et al., 2014), Mt. Tai, China ( $391 \text{ ng m}^{-3}$ , Fu et al., 2008), Gent,  
18 Belgium ( $477 \text{ ng m}^{-3}$ , Zdrahal et al., 2002), Lumbini, Nepal ( $734 \text{ ng m}^{-3}$ , Wan et al., 2017) and Beijing,  
19 China ( $590 \text{ ng m}^{-3}$ , Cheng et al., 2013). Beside levoglucosan, relative concentration of other  
20 anhydrosugars (mannosan and galactosan) in all size-segregated aerosols were negligible ( $<70 \text{ ng m}^{-3}$ ,  
21 not shown).

### 22 **3.5 Signature of biomass burning emissions**

23 Biomass primarily consists of different bio polymers (e.g. cellulose, hemicellulose, lignin,  
24 suberin, sporopollenin and chitin) with small proportion of lipids and terpenoids. During thermal  
25 combustion, such biomass emits different types of organic molecules, some of which have the  
26 potential to be considered as signature molecule based on their long residence time and chemical  
27 stability (Banerjee et al., 2015). The major combustion product of cellulose and hemicellulose includes  
28 anhydrosugars like levoglucosan (1,6-anhydro- $\beta$ -D-glucopyranose,  $C_6H_{10}O_5$ ) and its two isomers  
29 (mannosan and galactosan). Among these, levoglucosan is a robust and widely used tracer for biomass  
30 burning emissions, both globally (Simoneit et al., 1999; Schkolnik et al., 2005; Cheng et al., 2013), and  
31 over IGP (Li et al., 2014; Banerjee et al., 2015; Wan et al., 2017). In our case, levoglucosan was  
32 abundant in submicron particles with a peak during November (week 6 to 9, Fig. 6). The rise in  
33 concentration was universal in each particulate size fractions, but typically in submicron ( $837 \pm 83 \text{ ng}$   
34  $\text{m}^{-3}$ ) and fine particulates ( $311 \pm 47 \text{ ng m}^{-3}$ ), having 54-70 % rise against rest of the monitoring period.

1 This could correspond to a short-term variation in emissions source strength which possibly well  
2 influenced the aerosol property. A ratio between levoglucosan with rest of the anhydrosugars  
3 (mannosan and galactosan) was also considered to indicate the dominating type of biomass burning,  
4 with a ratio <10 specific for softwood combustion, and >10 for burning of hardwood and crop residues  
5 (Cheng et al., 2013). Even a ratio >40 was reported from physical experiments using rice straw, wheat  
6 straw and maize stalks (Engling et al., 2009). Although, the presence of mannosan and galactosan was  
7 not frequent in our case, but an overall ratio >50 refers the exclusive dominance of agriculture residue  
8 burning across the IGP.

9 The possibility of considering  $K^+$  and  $NH_4^+$  as biomass burning tracers were investigated in  
10 terms of their association with levoglucosan for submicron and fine particulates. In general, the  
11 temporal trend of levoglucosan coincided well with both  $K^+$  and  $NH_4^+$ , and all these tracers registered  
12 a gradual rise in concentration during November. Highly significant correlation ( $R^2$ ) between  
13 levoglucosan and  $K^+$  ( $PM_{1.1}$ : 0.80,  $PM_{1.1-2.1}$ : 0.76;  $p < 0.01$ ), and levoglucosan and  $NH_4^+$  ( $PM_{1.1}$ : 0.95,  $PM_{1.1-2.1}$ :  
14 0.60;  $p < 0.01$ ) were noted at 99 % confidence interval. That definitely indicates that levoglucosan,  
15  $K^+$  and  $NH_4^+$  have similar biogenic sources over IGP which predominately contribute to the aerosol  
16 loading, especially in  $PM_{1.1}$  and  $PM_{1.1-2.1}$ . The relation between levoglucosan with  $K^+$  and  $NH_4^+$  further  
17 appeared to be non-linear, with an exponential fit for submicron ( $R^2$ : 0.84, 0.94) and for fine  
18 particulates ( $R^2$ : 0.83, 0.65). Non-linear correlations between levoglucosan and  $K^+$  are also reported at  
19 Amazon (Schkolnik et al., 2005) and in Beijing (Cheng et al., 2013) during extreme biomass burning  
20 emissions. There was also evidence that  $NH_4^+$  was better associated with levoglucosan compared to  
21  $K^+$ , referring the presence of additional  $K^+$  sources across the region (like fireworks, Kumar et al., 2016).  
22 However, in absence of aerosol organic carbon content, contribution of biomass burning to aerosol  
23 mass was not computed.

24 Besides using conventional biomass burning tracers, we also evaluated the association of  
25 submicron and fine particulate bound levoglucosan with weekly averages of Delta-C and UVAI (Fig. 6).  
26 Both Delta-C and UVAI are the measures of identifying the relative dominance of absorbing aerosols.  
27 In all scenarios, significant correlation ( $R^2$ ) was noted between levoglucosan with Delta-C (0.65,  
28  $p < 0.01$ ) and UVAI (0.66,  $p < 0.01$ ). In addition to the ground-based aerosol measurement, dynamic  
29 profile of trace gases concentration, especially for those that behave as aerosol precursors, are  
30 assessed from Real-time Air Quality Data inventory of Central Pollution Control Board  
31 (<https://app.cpcbccr.com/ccr>). The hourly average concentrations of individual trace gases were  
32 initially checked for data quality and outliers, and further averaged to 24 h. No such universal trend in  
33 concentration of all the trace gases was evident, except an overall increasing trend for NO, NO<sub>2</sub>, NO<sub>x</sub>,  
34 and CO, while SO<sub>2</sub> remained stable and there was a negative trend for O<sub>3</sub>. The most striking feature

1 was to have an increase in concentration particularly during November, although of different  
2 magnitude. This was also evident in the variation of particulate bound biomass tracers, which inspire  
3 us to consider two different aerosol loading scenarios *viz.* scenario 1 for biomass burning dominating  
4 period (week 6 to 9, BDP) and scenario 2 for biomass burning less dominant period (week 1-5 and  
5 week 10-11, BLDP). Such classification was intended to recognize if there was any variation in aerosol  
6 source fields over IGP and in aerosol-induced radiative forcing.

### 7 **3.6 Spatio-temporal nature of aerosol columnar properties**

8 Spatio-temporal variations in aerosol columnar properties and trace gases are plotted in Fig.  
9 7a, including the daily variations at the ground station (Fig. 7b). Instead of considering the columnar  
10 properties for the entire season, spatial plots are generated for two different scenarios like BDP and  
11 BLDP.

12 The spatial pattern in aerosol columnar properties was typical having a very high aerosol  
13 loading exclusively over IGP (area weighted AOD mean $\pm$ SD: 0.55 $\pm$ 0.21) in comparison to the rest of  
14 South Asia (0.31 $\pm$ 0.21). However, there was no such temporal variation particularly over IGP as both  
15 BDP<sub>AOD</sub> (0.56 $\pm$ 0.23) and BLDP<sub>AOD</sub> (0.53 $\pm$ 0.23) was almost similar. The BDP<sub>AOD</sub> was slightly higher (12 %  
16 to that of reported decadal average (0.50 $\pm$ 0.25, Kumar et al. 2018), and was comparable to the season  
17 specific average over IGP (0.55 $\pm$ 0.20; Kumar et al., 2018). It should be noted that area weighted AOD  
18 average includes all the pixels retrieved across the region, some of which may not represent the  
19 biomass emissions. This leads us to further retrieve and compare AOD particularly over the ground  
20 station. In this case, the mean AOD was significantly high during post-monsoon (0.81 $\pm$ 0.39), 44 %  
21 higher for BDP<sub>AOD</sub> (0.98 $\pm$ 0.42) in respect of BLDP<sub>AOD</sub> (0.68 $\pm$ 0.32). Even, the BDP<sub>AOD</sub> was 46 % higher  
22 compared to decadal average for the station (0.67 $\pm$ 0.28; Kumar et al., 2018). Figure 7a also includes a  
23 comparison of relative dominance of aerosol types in terms of AE, and in both conditions fine particles  
24 (AE; BDP: 1.5, BLDP: 1.7) were found to dominate with a season specific mean ( $\pm 1\sigma$ ) of 1.6 ( $\pm 0.2$ ).

25 Following the evidence of persisting high AOD and high AE indicating dominance of fine  
26 particulates of anthropogenic origin, the nature of aerosols in terms of absorbing and/or scattering  
27 was distinguished using OMI UVAI. UVAI has been widely used to detect dust (Badarinath et al., 2010),  
28 biomass burning aerosols (Torres et al., 2013; Kaskaoutis et al., 2014) and soot particles (Kumar et al.,  
29 2016), and has also been used in combination with CALIPSO to detect height of aerosol layer (Guan et  
30 al., 2010). In our experiment, the daily UVAI varied from (-) 0.34 to (+) 2.24 with a seasonal mean ( $\pm 1\sigma$ )  
31 of 0.99 ( $\pm 0.49$ ) over IGP, which is considerably higher than the seasonal mean for entire South Asia  
32 (0.47 $\pm$ 0.46). Interestingly, negative UVAI was only evident during early October (week 1) signifying  
33 presence of non-absorbing aerosols (like sulphate), while UV absorbing aerosols such as smoke and/-

1 or mineral dust was mainly evident during rest of the season. During BDP, the high UVAI values (>1.5)  
2 were mainly found to concentrate over the upper to middle IGP with 72 % of observations remain  
3 >1.0. This clearly indicates the larger abundance of fresh UV-absorbing particles, and is similar to the  
4 reported UVAI (<2.0) over the Himalayas during peak burning season (Kumar et al., 2011; Vadrevu et  
5 al., 2012). There was also considerable difference between the periodical mean UVAI for BDP  
6 ( $1.47 \pm 0.64$ ) and BLDP ( $0.75 \pm 0.58$ ) over the ground station. Further, following Guan et al. (2010) to use  
7 UVAI as a proxy to compute aerosol height, we found a low average height of aerosol layer (~1.5 km),  
8 possibly due to low-altitude injection of plumes from burning of agricultural residues.

9         Apart from aerosols, spatial variation of few trace gases (e.g. CO and NO<sub>2</sub>), directly emitted  
10 from biomass burning are also estimated. The MERRA-2 reanalysis surface CO profile was consistent  
11 with the observed UVAI, with high CO surface concentration over IGP (mean±SD:  $156 \pm 62$  ppbv) in  
12 contrast to South Asia ( $114 \pm 52$  ppbv). Similar was the case for tropospheric NO<sub>2</sub> column density as  
13 Aura OMI observation show high NO<sub>2</sub> concentration across IGP ( $2.4 \pm 1.1 \times 10^{15}$  mol.cm<sup>-2</sup>) compared to  
14 South Asia ( $1.5 \pm 1.0 \times 10^{15}$  mol.cm<sup>-2</sup>). Dominance of CO and NO<sub>2</sub> across IGP clearly reflect the influence  
15 of anthropogenic emissions from industries, vehicles and biomass burning. Likewise, higher surface  
16 NO<sub>2</sub> concentrations (>  $5 \times 10^{15}$  mol cm<sup>-2</sup>) were particularly evident over urban/-industrial hotspots like  
17 Punjab and Delhi, over industrial sectors in the Chhattisgarh and in lower IGP (particularly over Dhaka).  
18 Temporal variations in mean CO (143 to 169 ppbv) and NO<sub>2</sub> concentrations ( $2.3$  to  $2.5 \times 10^{15}$  mol cm<sup>-2</sup>)  
19 were not so severe both across IGP and over ground station (CO: 140-142 ppbv; NO<sub>2</sub>:  $2.3$ - $2.5 \times 10^{15}$  mol  
20 cm<sup>-2</sup>). The possible explanation for such minimum episode-specific variation may be the short  
21 residence time of NO<sub>2</sub> and CO, as NO<sub>2</sub> rapidly photo-dissociate by reaction with OH radical, while CO  
22 gradually oxidized to form CO<sub>2</sub>. Overall, spatio-temporal nature of aerosols and trace gases were  
23 consistent with the observed trend at the ground station and were prudent for establishing the  
24 influence of biomass emissions over the region.

### 25 **3.7 Vertical distribution of aerosols**

26         Vertically resolved aerosol subtypes from spaceborne lidar for selected overpasses across IGP  
27 are plotted in Fig. 8a, with corresponding extinction coefficient of aerosol type (Fig. 8b). The CALIPSO-  
28 CALIOP profile clearly indicates a temporal change in aerosol type, without any considerable change  
29 in the height of aerosol layer. During initial days (in October), dominance of polluted dust (dust mixed  
30 with biomass burning smoke) were noted across IGP, with occasional prevalence of smoke (biomass  
31 burning aerosols), clean continental (clean background aerosol) and dust aerosols. However, the  
32 contribution of polluted dust to total aerosol extinction was higher compared to the rest of aerosol  
33 type. The height of aerosol layer was relatively low (<2 km) corresponding to a low plume injection  
34 height and thereby, pose limited potential for dispersion. The aerosol vertical profile however,



1 modified from the end of October due to biomass burning emissions, with dominance of smoke  
2 particles, mainly persisting at low altitude (<1.5 km). The height of smoke layer was consistent to that  
3 of OMI UVAI projected aerosol height. Smoke particles were found to associate with polluted dust,  
4 clean continental and polluted continental, with overlapping profiles. Overall, smoke was the most  
5 frequent aerosol type with high aerosol extinction coefficient (1-2.5 Km<sup>-1</sup> at 532 nm), and the altitude  
6 of largest occurrence frequency of smoke remain below ~1.5 km. The low injection height of smoke  
7 plumes from biomass burning may serve as a key input for aerosol transport modeling over IGP, as it  
8 critically regulates the distance and direction of the particle dispersion (Guan et al., 2010; Banerjee et  
9 al., 2011).

10 The daily variation in total aerosol extinction and aerosol extinction only by smoke particles  
11 were also included in Fig. 8c. Total aerosol extinction indicates a corresponding increase during  
12 biomass burning which peaks particularly in November, with low smoke injection height. Clear  
13 evidence of gradual increase in smoke particle aerosol extinction was also noted. A single evidence of  
14 high smoke extinction (>1 Km<sup>-1</sup>) at a greater height (~ 3.4 km) was noted on November 11, which may  
15 be associated to particles travelling from a larger distance. Overall, the CALIOP aerosol profiles were  
16 in accordance to the ground observations and OMI UVAI, referring exclusive dominance of high UV-  
17 absorbing aerosols across the plain during intense biomass burning.

### 18 **3.8 Potential aerosols sources and transport**

19 Active fire counts from the Terra and Aqua MODIS fires and thermal anomalies (with ≥70%  
20 confidence) clearly indicate that fire spots were predominately over the upper IGP, mainly  
21 concentrated over the Indian state of Punjab, Haryana and western Uttar Pradesh, and in Punjab state  
22 of Pakistan (Fig. 9). However, there was a temporal shift in the total number of fire counts (Fig. 9,  
23 within the marked region) from biomass burning dominating period (BDP: 5272) to less dominating  
24 period (BLDP: 4466). Even, the Fire Radiative Power (FRP) i.e. rate of energy released in unit time  
25 indicates a relative change in amount and strength of biomass burning emissions, mainly during BDP  
26 (138,366 MW) in comparison to BLDP (112,168 MW). The total FRP was higher during BDP mainly due  
27 to higher number of fire counts and fire strength, as the rate of release of thermal radiation is related  
28 to the amount of biomass burnt and smoke being released (Schroeder et al., 2010). The MODIS fire  
29 spots (with brightness temperature), specially subset over IGP were plotted against five days air-mass  
30 back trajectories, simulated and integrated at three vertical heights (100m, 300m and 500m) over the  
31 ground station. Vertical heights were selected based on the average planetary boundary layer height  
32 (402±81 m) for the monitoring period. The air-mass back trajectories indicate the upper IGP as the  
33 sole source of aerosols during BDP, which was otherwise influenced by both continental and marine  
34 air-masses during non-dominating period. The air-mass back trajectories during BDP overlap precisely

1 on the fire spots that corresponds to higher brightness temperature, referring greater relevance to  
2 FRP. The air masses for individual episode were further subject to cluster and CWT analysis considering  
3 columnar aerosol load, and result was consistent with our prior observations. High CWT (>0.8) during  
4 BDP was clearly attributed to the regional pollution, mainly originated from the upper IGP. In contrast,  
5 relatively low CWT was noted during BLDP, originating both from upper IGP (CWT<0.8), western dry  
6 region (CWT<0.6) and few from oceanic environment (CWT<0.4). This leads us to conclude with  
7 confidence that there was a strong temporal gradient in post-monsoon specific biomass burning  
8 emission over the upper IGP, which greatly influence the regional aerosol climatology and thereby,  
9 influence the aerosol-induced health effects and regional climate.

### 10 **3.9 Aerosol radiative forcing and atmospheric heating**

11 Daily satellite retrieved AOD, TCO, CWV, SSA, ground-based BC mass concentration, aerosol  
12 water soluble and insoluble fractions were used as an input to OPAC model to simulate aerosol  
13 radiative forcing (ARF at 0.2-4.0  $\mu\text{m}$ ). Within the period, TCO varied between 237 to 277 DU without  
14 any difference between BDP ( $257\pm 10$  DU) and BLDP ( $256\pm 12$  DU). The SSA (at 550nm), designates the  
15 fraction of scattered light over the total light extinction, was lower during BDP ( $0.86\pm 0.05$ ) compared  
16 to BLDP ( $0.98\pm 0.04$ ), suggesting abundance of strong absorbing aerosols especially during BDP. The  
17 CWV also fluctuates considerably (range: 0.28-3.92 cm) with overall season specific mean ( $\pm\sigma$ ) of 2.0  
18 ( $\pm 0.7$ ) cm.

19 The direct ARF and heating rate were estimated under clear-sky conditions with SBDART  
20 model using OPAC output. The composite ARF was calculated for individual episodes at surface (SRF),  
21 top of the atmosphere (TOA) and atmosphere (ATM) (Fig. 10). Overall, the ARF at TOA and SRF were  
22 negative, indicating the aerosol cooling effect at surface and at top-of-the-atmosphere. There was a  
23 slight temporal change in TOA radiative forcing (BDP: -28; BLDP: -23  $\text{W m}^{-2}$ ) compared to the  
24 considerable intra-seasonal variation in SRF forcing (BDP: -163; BLDP: -79  $\text{W m}^{-2}$ ). The variation in SRF  
25 forcing was mainly induced by the surface BC (mean; BDP, BLDP: 9, 7  $\mu\text{g m}^{-3}$ ), aerosol mass  
26 concentration (501, 327  $\mu\text{g m}^{-3}$ ) and WSIC fractions, particularly in  $\text{SO}_4^{2-}$  (38, 15  $\mu\text{g m}^{-3}$ ),  $\text{NO}_3^-$  (19, 12  
27  $\mu\text{g m}^{-3}$ ) and  $\text{NH}_4^+$  (11, 4  $\mu\text{g m}^{-3}$ ). Since the ATM forcing is the balance of attenuation of radiation at TOA  
28 and SRF, the resultant atmospheric forcing was found very high, especially during biomass burning  
29 dominated period (BDP: 135  $\text{W m}^{-2}$ ), compared to non-dominating one (BLDP: 56  $\text{W m}^{-2}$ ). Overall, there  
30 was a clear indication of intraseasonal variation in aerosol radiative forcing, which needs to consider  
31 in parametrization of aerosol schemes for regional climate model. Similarly, the corresponding heat  
32 rate was substantially high during BDP (4.3  $\text{K day}^{-1}$ ), possibly influenced by more absorbing aerosols,  
33 compared to BLDP (1.8  $\text{K day}^{-1}$ ). The computed ARF during post-monsoon was comparable to other  
34 urban sites in Indo-Gangetic Plain that are reported to be influenced by biomass burning e.g. Delhi

1 (44-131 W m<sup>-2</sup>, Bisht et al., 2015), Patiala (57-63 W m<sup>-2</sup>, Sharma et al. 2017), Kanpur (30-43 W m<sup>-2</sup>,  
2 Kaskaoutis et al., 2013) and over Karachi (35-84 W m<sup>-2</sup>, Alam et al., 2011). However, none of the earlier  
3 reports noted the intraseasonality in ARF by means of change in driving factors which, appeared to be  
4 significant, and necessitate proper addressing in regional model simulation. Intraseasonality in ARF  
5 was earlier reported over Varanasi during winter (ARF: 31-47 W m<sup>-2</sup>, Kumar et al., 2017b), while the  
6 change in forcing was not as drastic as evident during post-monsoon. Therefore, it is extremely likely  
7 that intraseasonality in aerosol properties significantly influence the aerosol-climate-health  
8 interactions over IGP and therefore, must need to be taken in to account for uncertainty analysis in  
9 the regional aerosol/-climate model.

#### 10 **4. Conclusions**

11 The influence of biomass burning emissions on aerosol properties, transport and radiative  
12 forcing was evaluated over Indo-Gangetic plain, South Asia. Very high concentration of total and fine  
13 mode aerosol (PM<sub>2.1</sub>) were observed during post-monsoon, with significant increase in fine to coarse  
14 particle ratio (>1) particularly from November. Submicron particles dominate the aerosol fine mode,  
15 with PM<sub>1.1</sub> to PM<sub>1.1-2.1</sub> ratio frequently exceeding 2.5. The WSIS was found to constitute greater  
16 proportion of submicron and fine particle mass compared to the coarser one. The WSIS was mainly of  
17 secondary nature, with major contribution from sulfate and nitrate ions. A strong correlation between  
18 NH<sub>4</sub><sup>+</sup> and SO<sub>4</sub><sup>2-</sup>, and high NH<sub>4</sub><sup>+</sup>/SO<sub>4</sub><sup>2-</sup> equivalent ratio in submicron particulates indicate the abundance  
19 of gaseous NH<sub>3</sub> to neutralize acidic species (SO<sub>4</sub><sup>2-</sup>). This contrasted with coarse mode particles where  
20 low NH<sub>4</sub><sup>+</sup>/SO<sub>4</sub><sup>2-</sup> equivalent ratio refers the predominant neutralization by crustal minerals. The NO<sub>3</sub><sup>-</sup> to  
21 SO<sub>4</sub><sup>2-</sup> ratio for submicron and fine mode particles also increased (>1) during extreme biomass  
22 emissions, as expected considering other reported observations of haze events over Asia. A rise in  
23 black carbon with corresponding increase in Delta-C refer to the added contribution of biomass  
24 burning emissions. The influence of emissions was further quantified using specific organic  
25 (Levoglucosan), inorganic (K<sup>+</sup> and NH<sub>4</sub><sup>+</sup>) and satellite (UVAI) tracers. Levoglucosan was the most  
26 abundant species in submicron particles, with a very high ratio (>50) against other anhydrosugars  
27 denoting exclusive emissions from burning of agriculture residues. The temporal variation in  
28 levoglucosan was consistent with inorganic tracers (K<sup>+</sup> and NH<sub>4</sub><sup>+</sup>), with a sharp rise during November,  
29 and a strong correlation between these three indicates their biogenic sources. The association  
30 between levoglucosan and K<sup>+</sup> or NH<sub>4</sub><sup>+</sup> was non-linear, with an exponential fit for submicron and fine  
31 particulates. The spatio-temporal distribution of aerosols was evaluated in terms of area weighted  
32 mean both over IGP and over the selected transect across ground station. During biomass burning  
33 dominated period, a considerable increase in columnar aerosol loading was highlighted (AOD: 0.98),  
34 consisting absorbing aerosols (UVAI > 1.5) with a corresponding low plume height (~1.5 km).

1 Moreover, the variation of few trace gases associated with biomass emissions (CO and NO<sub>2</sub>) were  
2 consistent with AOD, allowing a definite spatial signature of emissions sources and transport across  
3 IGP. The CALIPSO-CALIOP cross-sectional altitudinal profiles clearly illustrate the intraseasonality in  
4 aerosol types that were dominated by smoke and polluted continental aerosols during biomass  
5 emissions, which otherwise associate to clean continental, polluted dust and dust aerosols. The  
6 possible pathway for regional transport of aerosols from upper IGP to the ground station was noted  
7 using cluster analysis and concentration weighted air mass back-trajectories. Finally, aerosol optical  
8 and micro-physical properties were used in combination to simulate direct aerosol radiative forcing  
9 (ARF) and atmospheric heating. There was evidence of strong intraseasonality in ARF with very high  
10 atmospheric forcing (135 Wm<sup>-2</sup>) and heating rate (4.3 Kday<sup>-1</sup>) during biomass burning dominated  
11 period compared to non-dominating one (56 Wm<sup>-2</sup>, 1.8 Kday<sup>-1</sup>).

12 Considering that the duration of these biomass burning emissions represents several weeks  
13 per year, there annual impact on ARF and by consequent on the regional climate is not negligible. We  
14 therefore, conclude with reasonable level of confidence that intraseasonality in aerosol properties  
15 must be seriously considered in the regional aerosol-climate model, for improve assessment and  
16 forecasting of aerosol-climate-health interactions across IGP.

#### 17 **Data availability**

18 MODIS data are available at Level 1 Atmosphere Archive & Distribution System (LAADS) at  
19 <https://ladsweb.nascom.nasa.gov>. Aura-OMI and MERRA 2 reanalysis data are available at Mirador-  
20 NASA Goddard Earth Sciences Data and Information Center (GES DISC)  
21 (<https://mirador.gsfc.nasa.gov>). CALIPSO data are available at NASA Atmospheric Science Data Center  
22 (<https://eosweb.larc.nasa.gov>). Planetary Boundary Layer height and air mass back-trajectories are  
23 retrieved from Global Data Assimilation System (GDAS) archives hosted at NOAA-Air Resource  
24 Laboratory (<https://ready.arl.noaa.gov>). Modis Fire products are obtained from Fire Information for  
25 Resource Management System (FIRMS) (<https://firms.modaps.eosdis.nasa.gov>). Trace gases data at  
26 ground station are available at Real time Air Quality Data inventory of Central Pollution Control Board  
27 (<https://app.cpcbcr.com/ccr>).

28  
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#### 31 **Author Contributions**

32 N.S. and T.B. designed the experiment while N.S., M.P.R. and T.B. carried out the experiment and  
33 analyzed the data. N.S., M.P.R., K.D., T.B., R.S.S., R.K.M. and M.S.H. interpreted the observation and  
34 N.S., T.B. and K.D. drafted the manuscript.

35 **Competing interests.** The authors declare that they have no conflict of interest.

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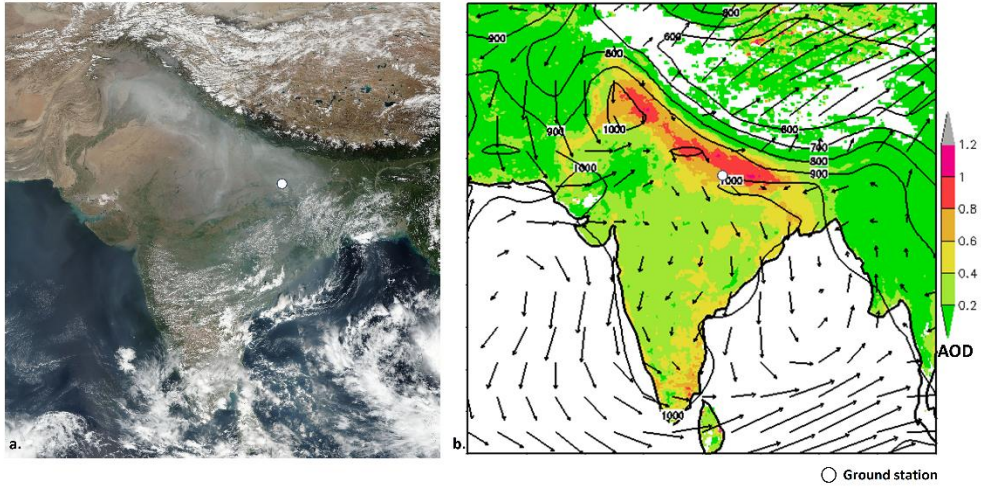
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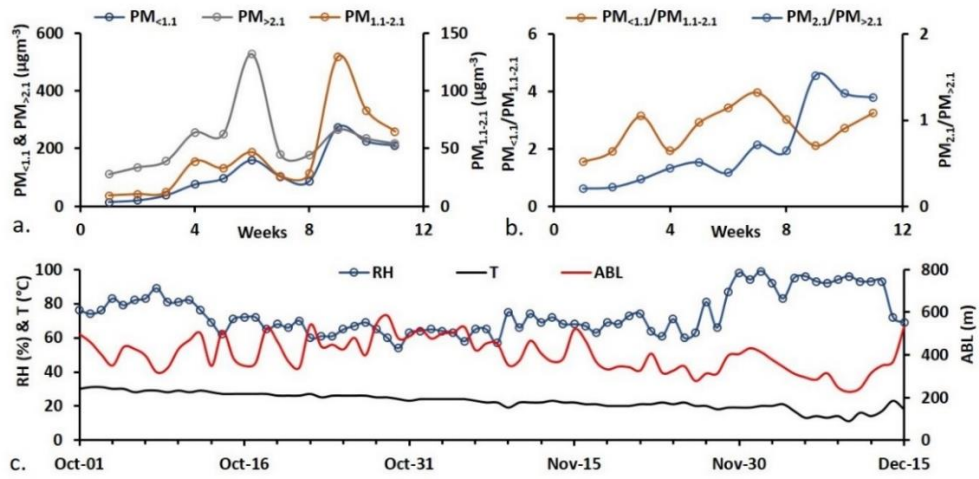


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Fig. 1. Geographical location of aerosol ground monitoring station (a), and MODIS aerosol optical depth with NCEP/NCAR composite means of wind vector during monitoring period (b).

**Note:** Background image in (a) was retrieved from Suomi NPP VIIRS satellite indicating the thick aerosol layer over north India on October 31, 2016.

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Fig. 2. Time series of (a) size segregated particulate mass concentration, (b) particle ratio and (c) daily means of meteorological variables.

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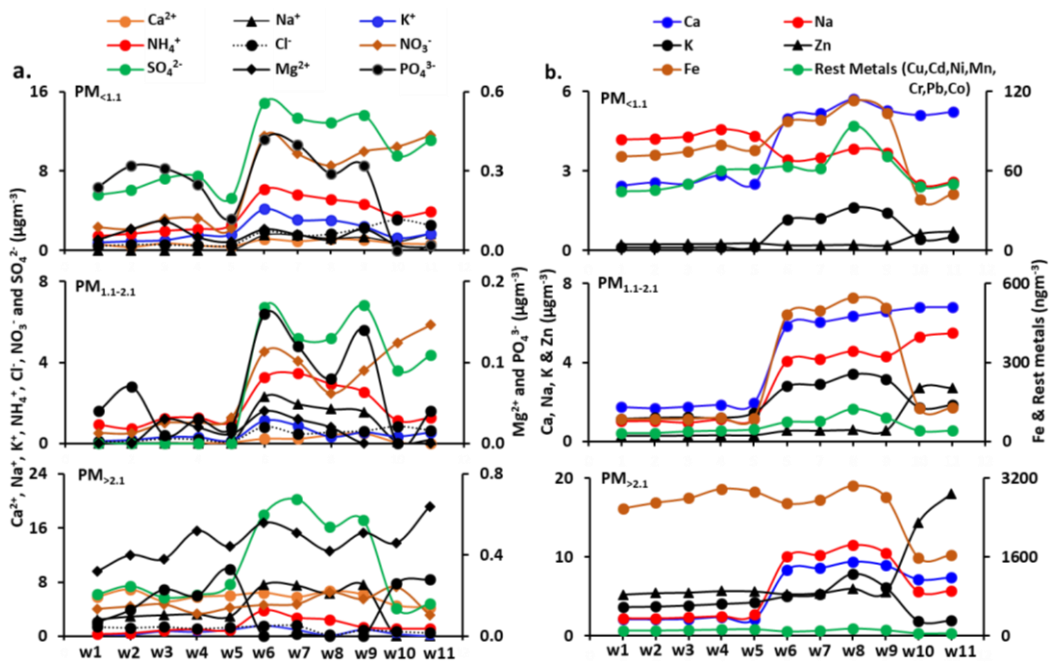
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**Note:** Week 1 to 5 are in the month of October, week 6 to 9 are in November and week 10 to 11 are in December.

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Fig. 3. Variation of (a) ions and (b) trace metals in different aerosol size fractions.

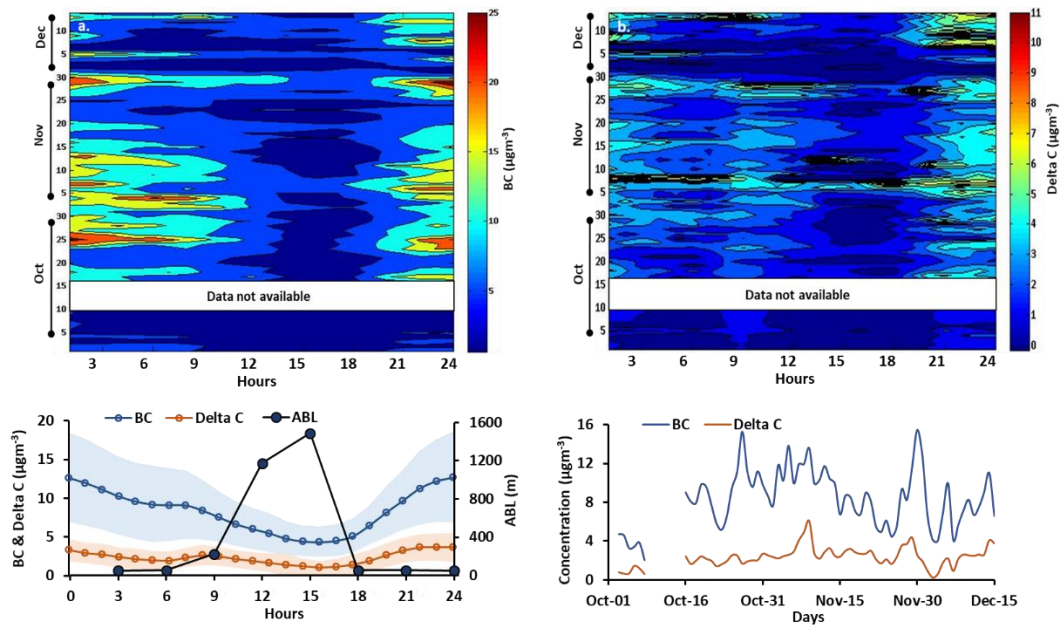
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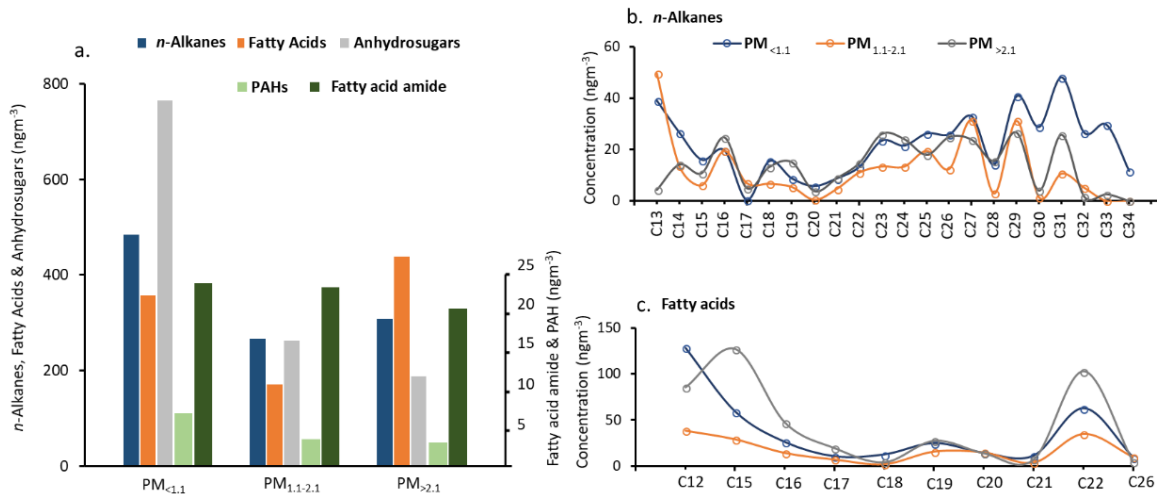


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Fig. 4. Variation of BC, Delta C and ABL during entire monitoring period.

**Note.** The blue and red shade in the graph at lower panel indicates the standard deviation.

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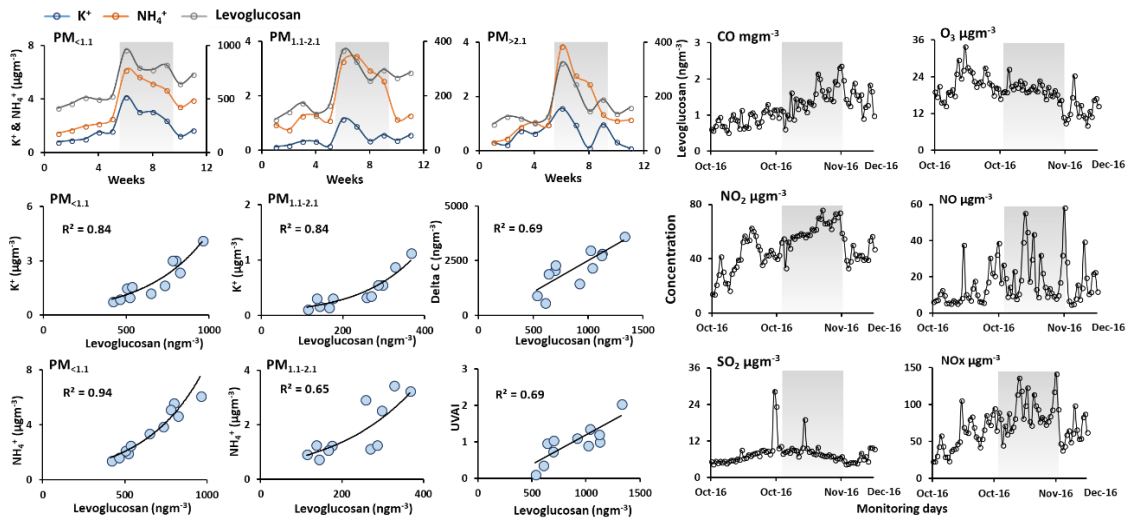
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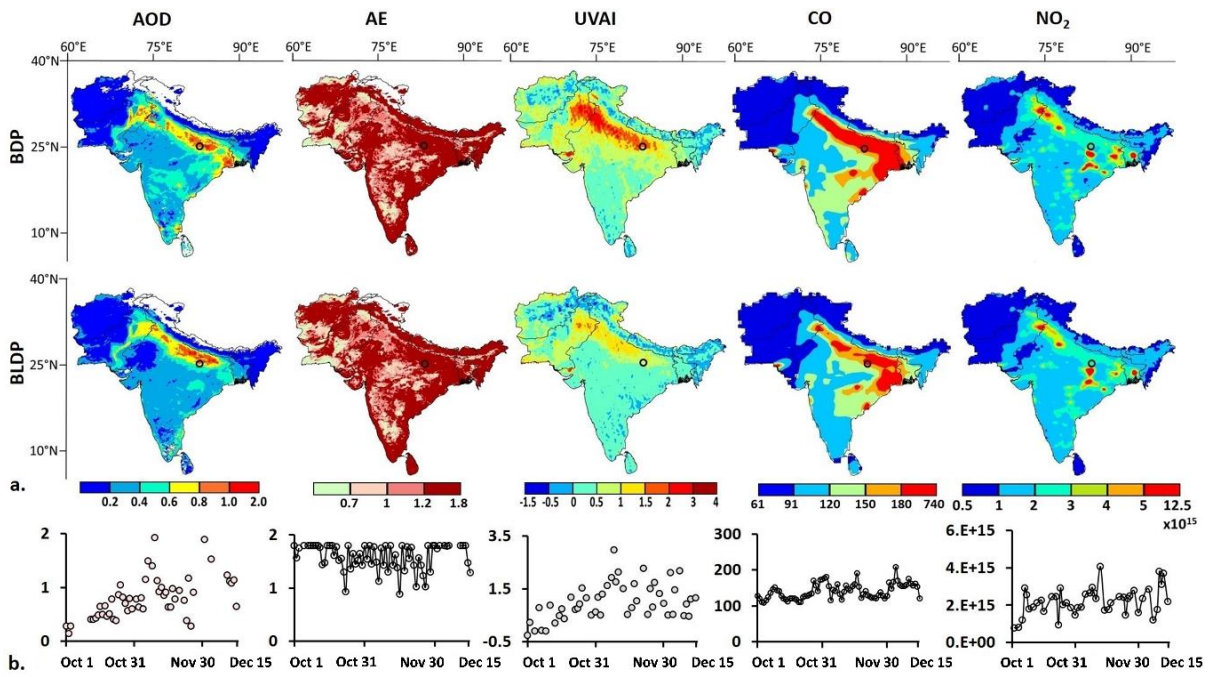
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4 Fig. 6. Temporal variation of trace gases and biomass burning signature molecules ( $\text{NH}_4^+$ ,  $\text{K}^+$ ,  
5 Levoglucosan), and their associations within different aerosol size fractions.

6 **Note.** The shaded area indicates the peak biomass burning emissions.

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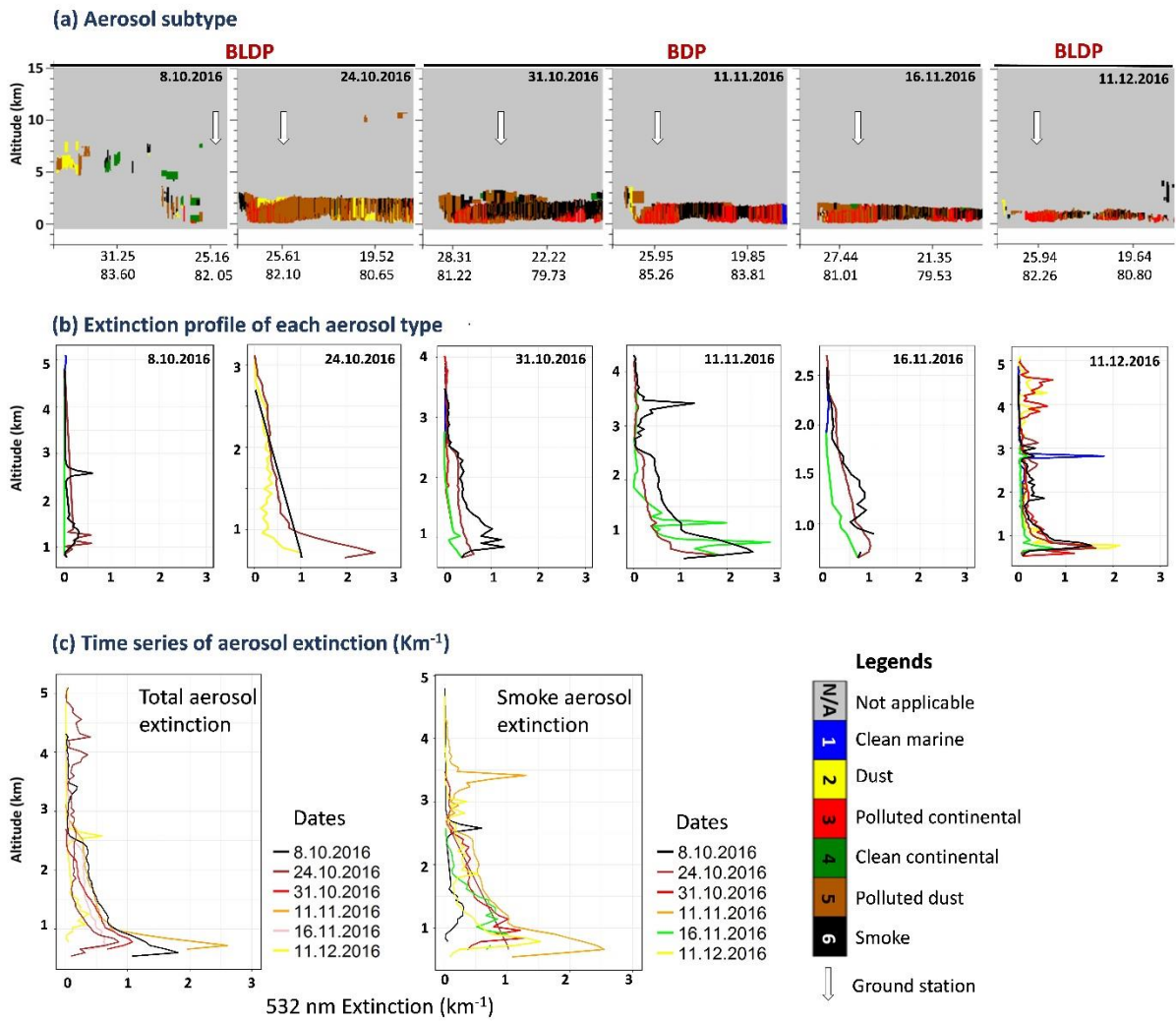
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5 (molecules cm<sup>-2</sup>) over (a) South Asia and (b) at ground station.

6 **Note.** The lower panel indicates the time-series for each parameter retrieved particularly over the  
7 ground station.

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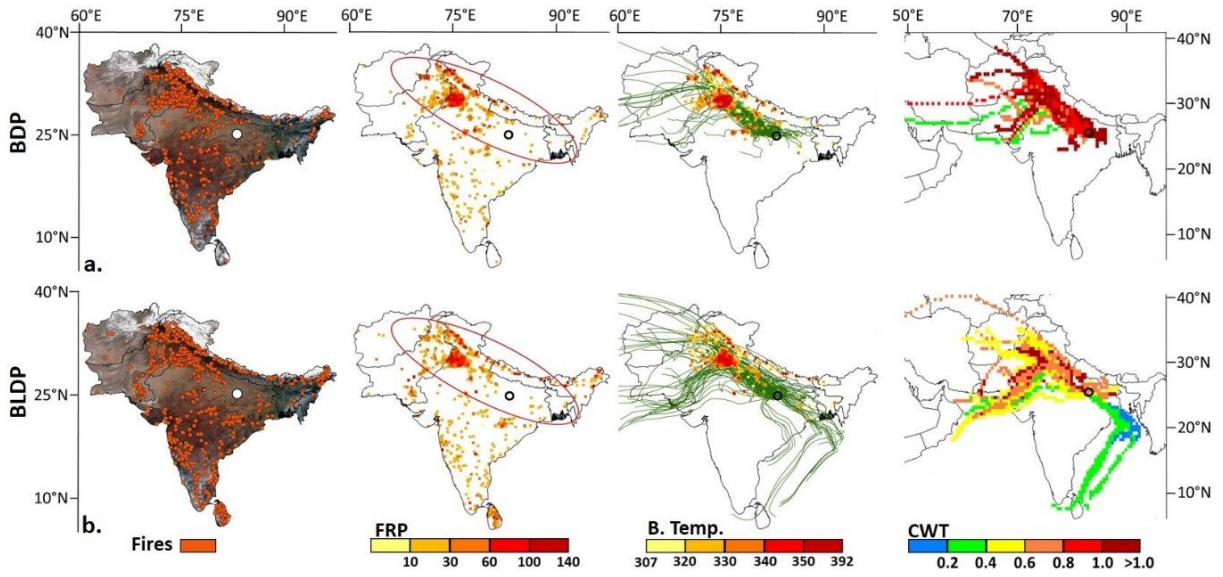
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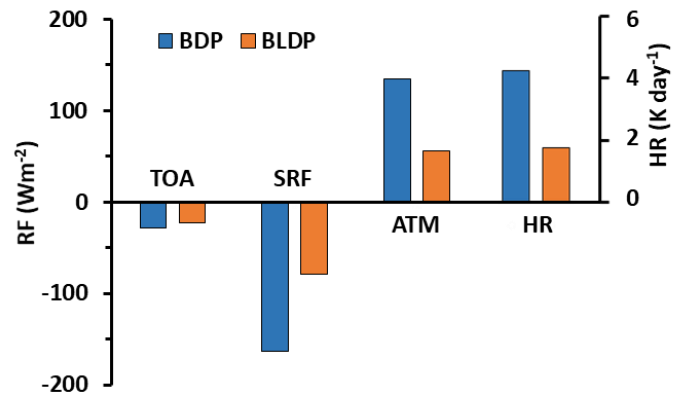
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Fig. 10. Episode specific aerosol short wave radiative forcing and atmospheric heating.

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