### **Response to reviewers**

Dear Editor, please find hereafter the response to the referee's comments. We thank the reviewers for thoughtful and constructive comments on our manuscript. We appreciate the time s/he invested in the review. We believe that our revised manuscript addresses all the comments.

In the following, the comments made by the referees appear in black italic, while our replies are in bold, and the proposed modified text in the typescript is in blue.

# Reviewer #1

We thank the reviewer for his positive remarks. The field-experiment exposed in this paper was mainly dedicated to the assessment of the aerosol load above the polar circle. The main reason of this project was indeed the lack of data for climate modeling.

# Reviewer #2

Minor revisions may improve the paper.

P4, L107 to P6, L133: Please provide some information (a small paragraph plus reference) on the calibration of 355 nm depolarization-ratio observations. In addition, the determination of the 355 nm particle depolarization ratio is not so easy compared to computation, e.g., at 532 nm. Please provide uncertainty information. At very low values of the particle backscatter coefficient, the determination of the particle depolarization ratio at 355 nm is no longer possible (error exceeds 100%). On the other hand, depolarization ratio observations contain information on the aerosol type and particle shape. So it should be know how good the observations are.

Yes, the PDR is a very important parameter for aerosol typing. We have already described the calibration process in Chazette et al. (2012) and we have added this reference in the text. For AEC values lower than 0.03 km<sup>-1</sup>, the PDR is not computed for the exact reason explained by the reviewer. The reference to Dieudonné et al. (2017) where a complete uncertainty study was performed on the PDR derived by a similar system has been added.

The aerosol extinction coefficient (AEC), the backscatter to extinction ratio (BER, inverse of the lidar ratio (LR)) are derived following Chazette et al. (2014) and references therein. The calibration process to retrieve the particle depolarization ratio (PDR) is given in Chazette et al. (2012). The absolute uncertainties on the AEC are  $\sim$ 0.01 km<sup>-1</sup> and the ones on the PDR are  $\sim$ 1-2% for AEC > 0.03 km-1. For smaller AEC, the error on the PDR is too high and we do not compute it. An example on different aerosol types is given in the Appendix A of Dieudonné et al. (2017).

P9, L220 to P14, L259: The BER (or the inverse value. . .. the lidar ratio) is an important parameter to characterize the aerosol type. Please provide as often as possible (in the text and the figures) also the respective lidar ratio values. In 95% of all reports on BER or lidar

ratio, the lidar ratio is used. So, the lidar community is familiar with lidar ratio values and not with BER values.

Yes, the LR is often used in the literature and we have added some values in the text.

P13 to P14: Figure 8, I would like to see the BER uncertainties as numbers in the plots as well.

We have added the uncertainties in the legends.

P14, L 286: Regarding the flare emissions. I speculate that the freshly produced particles are very small, and most are too small to be optically active already. They may become increasingly activate after growth and coagulation, ...downwind after several hours. ... Please comment on that. To state that the AOT contribution is 0.02 or 0.07 may be missleading, the overall effect may be much higher. . Please discuss this point a bit.

It is difficult to respond to this interesting remark because additional means would have been necessary. We have added the following explanation:

The aerosol particles may age in different ways. These processes depend on the initial chemical composition which will lead to the coagulation and/or the adsorption of gaseous molecules on the surface of the existing aerosols. In general, this process is quite fast and occurs when relaxing in the atmosphere, i.e. at the exit of the chimney. The particles thus formed may be more or less reactive, and more or less hygroscopic. Their size distribution, as well as their complex refractive index can change, especially in the presence of relative humidity greater than 50-60% (Randriamiarisoa et al., 2006). They can therefore become more scattering, and generally less absorbent. The AOT may therefore increase during their aging in the atmosphere. We cannot afford to propose more insight about this phenomenon because of the lack of in-situ chemical analysis during the field experiment.

# Springtime aerosol load as observed from ground-based and airborne lidars over Northern Norway

- 3 Patrick Chazette<sup>1</sup>, Jean-Christophe Raut<sup>2</sup>, and Julien Totems<sup>1</sup>
- <sup>1</sup>Laboratoire des Sciences du Climat et de l'Environnement (LSCE), Laboratoire mixte CEA-CNRS-UVSQ, UMR
- 5 1572, CEA Saclay, 91191 Gif-sur-Yvette, France
- 6 <sup>2</sup>LATMOS/IPSL, Sorbonne Université, CNRS, UVSQ, Paris, France
- 7 Correspondence to: Patrick Chazette (patrick.chazette@lsce.ipsl.fr)
  - Abstract. To investigate the origin of springtime aerosols in the Arctic region we performed ground-based and airborne 355 nm-Raman lidar observations in the North of Norway (Hammerfest). Two lidars were embedded (i) on an ultra-light aircraft for vertical (nadir) or horizontal line-of-sight measurements, (ii) in an air-conditioned van on the ground for vertical (zenith) measurements. This field experiment was designed as part of the Pollution in the ARCtic System (PARCS) project of the French Arctic initiative, and took place from 13 to 26 May, 2016. The consistency between lidar measurements is verified by comparing nadir, horizontal line-of-sight, and ground-based Raman lidar profiles. Dispersion of the order of 0.01 km<sup>-1</sup> is obtained between lidar-derived aerosol extinction coefficients at 355 nm. The aerosol load measured in the three first kilometers of the troposphere remains low throughout the campaign, with aerosol optical thickness (AOT)  $\lesssim 0.1$  at 355 nm (~0.05 at 550 nm). The main contributors to the evolution of the aerosol load at low altitude prove to be one of the flares of the nearby Melkoya gas processing facility, the oceanic source and the transport of aerosols from industrial sites in Russia. Moreover, ground-based lidar measurements allowed the possibility to identify three cases of long-range aerosol transport (between 3 and 8 km above the mean sea level). Using back trajectories computed with the Lagrangian model FLEXPART-WRF, these aerosol plumes are shown to be the result of the strong forest fires that occurred in the area of Fort McMurray, in Canada. They can, at most double the AOT value over the Arctic area, with an anomaly of 0.1 on the AOT at 355 nm.

Keywords: PARCS, Raman, lidar, ULA, airborne, aerosol, optical properties, back trajectories

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### 1 Introduction

- 27 The pristine Arctic environment is very sensitive and can be easily disturbed by anthropogenic activities, with
- 28 irreparable consequences. Anthropogenic aerosols play a major role in the evolution of the Arctic radiative balance,
- as pointed out by the IPCC (IPCC, 2014), and have to be better quantified. Moreover, the Arctic region is exposed
- 30 to thin but persistent haze (Breider et al., 2014; Shaw, 1995), as well as episodic events of carbonaceous aerosol
- 31 plumes in the free troposphere (Brock et al., 2011; Quinn et al., 2008; Warneke et al., 2010) since the industrial
- era. This environmental challenge posed by tropospheric aerosols in the Arctic has already been pointed out by
- Barrie (1986) and, even more recently by authors as Law et al. (2017) or Yang et al. (2014), who analyzed the
- 34 climatic impact and showed that aerosols induce a warning of about 0.6 K decade<sup>-1</sup>.
- 35 Following these observations, the French Arctic initiative project Pollution in the ARCtic System (PARCS) was
- 36 performed to improve our understanding of aerosols in the Arctic troposphere. A point of focus was the long-range

transport of anthropogenic and biomass burning aerosols over the Arctic region. This innovative field campaign took place from 13 to 26 May, 2016 in the region of Hammerfest (70°39'45"N 23°41'00"E, Norway), 90 km southwest of the North Cape, within the Arctic Circle. It involved ground-based and airborne Raman lidar observations. The mesoscale dynamic modeling was performed using the Weather Research and Forecasting (WRF) model (Skamarock et al., 2008). The PARCS experiment follows several international initiatives such as the recent Arctic Climate Change, Economy and Society (ACCESS) over Northern Norway in July 2012 (Raut et al., 2017). ACCESS itself followed the international Polar Study initiatives using Aircraft, Remote Sensing, Surface Measurements and Models, Climate, Chemistry, Aerosols and Transport (POLARCAT) in 2008 (Ancellet et al., 2014), and the Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS) in 2008 (Jacob et al., 2009). Obviously, the PARCS experiment is a snapshot of the aerosol situation in Northern Norway. As in all field campaigns, the atmospheric environment is sampled over a short period of time and is not necessarily representative of the local and seasonal meteorological conditions. The PARCS experiment took place during large-scale weather conditions disturbed by the strong El Niño of 2015-2016 (Hu and Fedorov, 2017), which led to temperatures in the Arctic planetary boundary layer (PBL) 3 to 4 °C above the 10-year normal climatic conditions. Also associated with such exceptional atmospheric conditions, transport in the high troposphere favored the presence of air masses from North America. Spring 2016 was marked by extreme wildfires in Canada's Alberta territory, close to Fort McMurray (Kochtubajda et al., 2017; Landis et al., 2018). The coupling between pyro-convection (Fromm et al., 2005; Peterson et al., 2015) and large-scale atmospheric transport may inject large quantities of aerosols into the upper troposphere (Ancellet et al., 2016), whose lifetime greatly exceeds a week in the absence of precipitation throughout their transport. Part of these aerosol layers were sampled by a groundbased Raman lidar, which made it possible to describe both the vertical structure and the optical properties of the aerosol plumes (Chazette et al., 2014), but also the history of their transport using the synergy between the Cloud-Aerosol LIdar with Orthogonal Polarization (CALIOP) (Winker et al., 2003), the Moderate Resolution Imaging Spectroradiometer (MODIS) (King et al., 1992) spaceborne instruments, and mesoscale modeling. The observation of biomass fire aerosol transported at high altitude over long distances has already been reported by several authors for different regions of the Earth (Ancellet et al., 2016; Formenti et al., 2002; Forster et al., 2001; Paris et al., 2009; Quennehen et al., 2011; Sitnov and Mokhov, 2017). During the POLARCAT summer campaign in 2008, (Schmale et al., 2011) and (Thomas et al., 2013) characterized aerosol and gas pollution from fire plumes transported from North America to Greenland. Franklin et al. (2014) and Taylor et al. (2014) documented a case study of aerosol removal in a biomass burning plume over eastern Canada in 2011. More recently, the long-range transport of aerosols from Siberia has also already been evidenced (Marelle et al., 2015; Sitnov and Mokhov, 2017). During the ACCESS airborne campaign in summer 2012 (Roiger et al., 2015), extensive boreal forest fires resulted in significant aerosol transport to the Arctic (Raut et al., 2017). These plumes originating from Siberian wildfires are very common during late spring and summer, and they may be mixed with aerosols coming from highly polluting industrial sources such as oil and gas rigs, or petroleum refineries. Vaughan et al. (2018) describe the transport of biomass burning aerosols over the United Kingdom originating from extensive and intense forest fires over Canada in spring 2016. It should be noted that all previous authors only reported isolated long-distance transport events

and that this type of phenomenon is rare; the probability to observe one during the short duration of the PARCS

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- campaign was low. The chosen period for PARCS associated with a strong El Niño certainly favored long-range transport of aerosols and offered an opportunity to sample 3 different tropospheric plumes.
- 78 This paper focuses on the long-range transported aerosols observed during the PARCS campaign as well as the
- evolution of the aerosol load in the low troposphere. The field experiment is presented in Section 2, where ground-
- 80 based and airborne measurements are described. The large-scale observations derived from spaceborne
- 81 instruments and mesoscale modeling are presented in Section 3. Section 4 is devoted to the description of the
- 82 aerosol structures observed during the field campaign, with a spotlight on the low troposphere. Section 5 is
- 83 dedicated to the identification of the origins of the high-altitude aerosol plumes. The data coherence is discussed
- in Section 6 and the conclusion is presented in Section 7.

## 2 Field experiment

- The aerosol load is investigated using observations gathered from 13 to 26 May, 2016, during the PARCS field
- 87 campaign held in Northern Norway, over 70°N (Figure 1). The ground-based van MAS (Mobile Atmospheric
- 88 Station (Raut and Chazette, 2009)) and an ultra-light aircraft (ULA) were mainly equipped with active remote
- sensing instruments (Figure 2): the Weather Atmospheric Lidar (WALI) and the Lidar for Automatic Atmospheric
- 90 Survey Using Raman Scattering (LAASURS), respectively.
- We selected an experimental site near Hammerfest, next to the airport. The main reason for this is that the Melkoya
- 92 gas processing facility, which is the northernmost coastal installation and uses the latest techniques of LNG
- 93 (Liquiefied Natural Gas), has two potentially active flares that could significantly influence atmospheric aerosol
- 94 concentrations: a high-pressure flare from processing and a low-pressure flare from loading and storing LNG. In
- 95 addition, with the local and shipping activities, the region may be subject to the advection of air masses from the
- Murmansk area, which has a large concentration of oil and gas industries. We benefited from the help of the Avinor
- 97 crew of Hammerfest Airport in order to have a suitable operating base and all the necessary power supply. They
- also helped us navigate the ULA, freely lent their hangar on the airport and offered staff support.

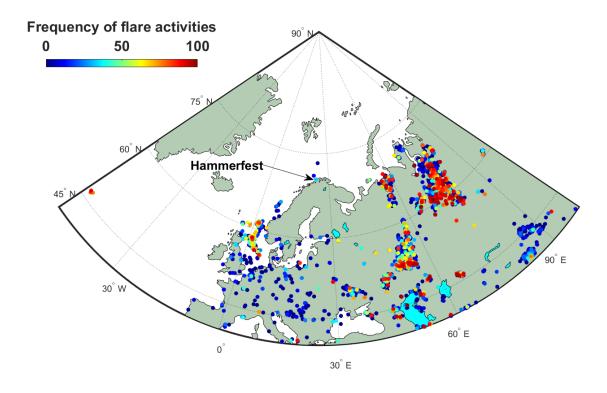


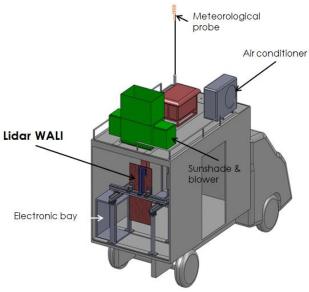
Figure 1: Location of the ground-based measurement site, close to Hammerfest (Norway). The frequencies of the main flares activities for both oil and gas rigs are given following (Elvidge et al., 2016) for 2016.



Figure 2: Left picture: Mobile atmospheric station (MAS) located near the Hammerfest airport, equipped with the WALI Raman lidar. Right picture:  $N_2$ -Raman lidar LAASURS embedded on a ULA. The ULA is flying over the Melkoya platform where a gas flaring is active.

# 2.1 Ground-based measurements

Figure 2 shows the MAS, located close to the Hammerfest airport. A schematic representation of the MAS and its onboard instruments is given in Figure 3. It was equipped with the 354.7 nm water vapor Raman lidar WALI (Chazette et al., 2014). These instruments carried out continuous measurements from 13 to 26 May, 2016, with a final vertical resolution of 15 m and 1-minute integration (~1000 laser shots). The main characteristics of WALI are summarized in Table 1.



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Figure 3: Schematic representation of the MAS equipped with the Raman lidar WALI.

 $Table 1: Raman \ lidar \ WALI \ and \ LAASURS \ main \ characteristics. \ In \ the \ third \ column \ the \ corresponding \ characteristics \ of \ the \ spaceborne \ CALIOP \ lidar \ are \ also \ presented.$ 

	WALI	LAASURS
Carrier	Ground-based	Airborne
	(truck)	Airbonie
	Nd:YAG, flash-pumped, Q-	Nd:YAG, flash-pumped, Q
Laser	switched	switched
	Q-smart QUANTEL	Ultra QUANTEL
Pulse length	<10 ns	6 ns
Emitted energy	120 mJ at 355 nm	30 mJ at 355 nm
Frequency	20 Hz	
D .: 1 1	// 355 nm	// 355 nm
	⊥ 355 nm	// 355 nm
Reception channels	N <sub>2</sub> -Raman 387 nm	
	H <sub>2</sub> O-Raman 407 nm	N <sub>2</sub> -Raman 387 nm
Reception diameter	15 cm	
Field-of-view	~2.3 mrad	
Full overlap	~200 m	
Filter bandwidth	0.2 nm	
Detector	Photomultiplier tubes	
Post processing	15-30 m	
vertical resolution		

# 2.2 Airborne measurements

In order to sample the low troposphere around the ground-based lidar, the ULA/Tanarg-embedded Raman lidar system LAASURS was used (Chazette and Totems, 2017). Lidar containment enabled operation for temperatures

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down to  $\sim$  -17 ° C, but with a loss of nearly 40% of the emitted energy. This has greatly limited the altitude explorations above 1 km above the mean sea level (AMSL) and we have essentially worked just above the PBL. The lidar and the ULA's flights close to the Melkoya platform are represented in Figure 4.

The aircraft, Tanarg 912 XS, was built by the Air Création Company (http://www.aircreation.fr/) and offers a maximum total payload of  $\sim$ 250 kg (Table 2). Flight durations were between 1 and 2 hours, depending on flight conditions, with a cruise speed around 85-90 km h<sup>-1</sup>. The ULA is also equipped with i) a VAISALA 300 meteorological probe for temperature, pressure and relative humidity, ii) a Global Positioning System (GPS) and an Attitude and Heading Reference System (AHRS), which are part of the MTi-G components by XSens. The lidar, whose characteristics are given in Table 1, is designed to fulfill eye-safety standards (EN 60825-1). The wide field-of-view (FOV)  $\sim$ 2.3 mrad allows a 90% overlap of the transmission and reception paths beyond  $\sim$  200 m with the desired setting for the experiment. After correction of the overlap function, the data can be used from 150 m with a negligible error compared with the one due to signal noise. The acquisition was performed by averaging 400 laser shots leading to a temporal sampling close to 25 s.

Table 2: Tanarg 912 XS ULA main flight characteristics.

ULA flight characteristics			
True airspeed: 17 to 40 m s <sup>-1</sup> (60 to 145 km h <sup>-1</sup> )	Endurance: 3 hr (max 4 hr at 20 m s <sup>-1</sup> )		
Ascent speed: up to 365 ft min <sup>-1</sup> (110 m min <sup>-1</sup> )	Maximum scientific payload: 120 kg		
Descent speed: 825 ft/min (250 m min <sup>-1</sup> )	Maximum altitude: 5.8 km		

### 2.3 Strategy and flight plans

We performed a total of 14 flights during the field campaign. The majority of flights were performed near the airport, around the Hammerfest peninsula. Four flights were particularly interesting for aerosol layers detection (Table 3). Three flights were not successful because of technical difficulties and the other ones were performed in low-cloud conditions, with condensation at the ceiling altitude. Only one day out of 3 was not very cloudy over the period of measurements. The more exploitable flights were performed during nighttime. Note that during the field campaign, the sun did not go down under the horizon. Each flight included a slow spiral ascent or descent where the lidar was aiming horizontally, and once at the ceiling altitude, the lidar was rotated to aim at the nadir. Flight 4 passed very close to the Melkoya platform and permitted the sampling of one active flare. Flights 10 and 11 were around the Hammerfest peninsula for 2 non-consecutive hours to check the representativeness of the site for aerosols trapped within the PBL. For flight 13, the ULA took-off from Hammerfest airport at 21:38 UTC (universal time count) and headed towards North-Cape at the ceiling altitude of ~1.8 km AMSL. Before reaching North-Cape, the ULA changed heading and flew parallel to the coastline before veering towards the airport, where it landed at 23:58 UTC.

Table 3: Flights information: identification, date and description.

Flight	Date & hour (UTC)	Description
identification		Description
4		Flight along the west coast of the
	16 May, 22:39-23:24	Hammerfest peninsula overflying the
		Melkoya platform in cloudy condition.

10	20 May 19.56 20.00	Flight around the Hammerfest peninsula in
	20 May, 18:56-20:00	cloud free condition.
11	20 May, 23:02-	Flight around the Hammerfest peninsula in
	21 May, 00:26	cloud free condition.
13	22 May, 21:38-23:58	Flight towards North-Cap in cloud free
	22 May, 21.30-23.36	condition.

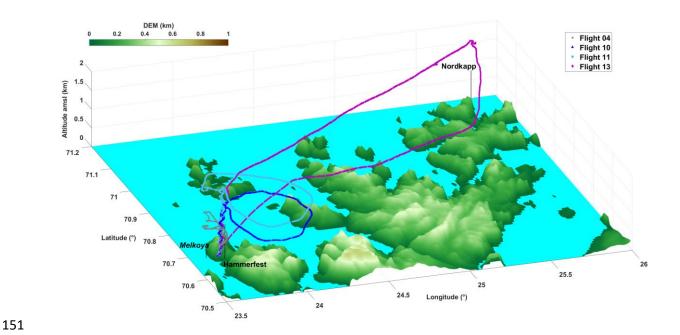


Figure 4: Flight plans used for this study: flight 04 on 16 May, flights 10 and 11 on 20-21 May, and flight 13 on 22 May (see Table 3). The flight plans are drawn over the 30 arc-second digital elevation model (DEM) GTOPO30 (https://lta.cr.usgs.gov/GTOPO30).

### 2.4 Data processing for lidar measurements

Lidar data analyses are not presented in detail hereafter, since the methods used have already been published (e.g. Chazette et al., 2015, and references therein). The aerosol extinction coefficient (AEC), the backscatter to extinction ratio (BER, inverse of the lidar ratio (LR)) are derived following Chazette et al. (2012) and references therein. The calibration process to retrieve the particle depolarization ratio (PDR) is given in Chazette et al. (2012). The absolute uncertainties on the AEC are ~0.01 km-1 and the ones on the PDR are ~1-2% for AEC > 0.03 km-1. For smaller AEC, the error on the PDR is too high and we do not compute it. An example on different aerosol types is given in the Appendix A of Dieudonné et al. (2017). The absolute uncertainty on the BER (LR) is ~0.004 sr<sup>-1</sup> (~10 sr) for a mean BER (LR) of 0.020 sr<sup>-1</sup> (50 sr). It decreases when the BER decreases.

The inversion of nadir lidar profiles acquired from the ULA is more difficult due to the noise level. For this reason, we have limited altitude excursions between 1 and 2 km AMSL. The horizontal measurements of the elastic channel are inverted to retrieve the AEC within an absolute uncertainty of 0.01 km<sup>-1</sup> following Chazette and Totems (2017) and references therein. We consider a distance from the ULA between ~0.3 and 1.5 km after correction of the overlap function for the calculations. The nadir measurements are inverted using the constraint

brought by the horizontal laser shots and the BER derived from the ground-based lidar. We therefore assume that the aerosol typing does not change during the flight. Note that the N<sub>2</sub>-Raman channel of the airborne lidar is too noisy to be relevant, mainly due to the loss of emitted energy in low ambient temperature.

### 3 Large-scale data

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# 173 3.1 Spaceborne observations

Active and passive spaceborne measurements were used to follow the aerosol plume transport. The horizontal dispersion of the aerosol plume and its progression along the transport are highlighted with Moderate Resolution Imaging Spectroradiometer (MODIS, (King et al., 1992; Salmonson et al., 1989)) onboard the polar-orbiting platforms Terra and Aqua. We used a combination of the aerosol optical thickness (AOT) at 550 nm derived from the two satellites. The level 2 products are provided with a spatial horizontal resolution of  $10\times10~\text{km}^2$  (http://modis.gsfc.nasa.gov). The uncertainty on the AOT is  $\pm0.15\pm0.05~\text{AOT}$  over land and  $\pm0.05\pm0.03~\text{AOT}$  over ocean (Chu et al., 2002). The vertical structures of the aerosol layers over their sources are derived from Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) aboard Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO, http://www-calipso.larc.nasa.gov, (Winker et al., 2007)). We have used the 4.10 version of CALIOP level-2 data. We mainly took into consideration the aerosol typing of (Burton et al., 2015).

### 185 3.2 Modeling strategy

### 186 3.2.1 Weather model

The 3.5.1 version of the regional non-hydrostatic Weather Research and Forecasting (WRF) model (Skamarock et al., 2008) has been used for weather simulations along the field campaign. The model was run from 7 May, to 28 May, 2016, with a dynamical time step of 3 min on a polar stereographic grid almost encompassing the Northern Hemisphere (> 7°N). The domain has 300x300 grid points with a horizontal resolution of 50 km and 50 vertical levels up to 50 hPa, considered as the top-of-atmosphere pressure. The initial and boundary meteorological conditions for this hemispheric domain are provided by the 6-hourly operational analyses of the ECMWF/IFS NWP model (Dee et al., 2011) from the European Centre for Medium-range Weather Forecasts (ECMWF), with the support of the ESPRI (Ensemble de Services Pour la Recherche à l'IPSL, https://www.ipsl.fr/Organisation/Lesstructures-federatives /ESPRI) team. Nudging has been applied above the planetary boundary layer (PBL) to wind, temperature and humidity fields, with an update time of 6 hours. The parameterizations used are described in (Raut et al., 2017) and (Marelle et al., 2017). Briefly, the prognostic turbulent kinetic energy scheme of Mellor-Yamada-Janjic (MYJ) is used for the boundary layer, with the associated Janjic Eta surface layer module (Janjić, 1994). Land surface processes are resolved using the Noah LSM (unified Noah land surface model (Chen and Dudhia, 2001)). We have used the Morrison 2-moment scheme (Morrison et al., 2009) to calculate cloud microphysical properties and grid-scale precipitation. Subgrid clouds are represented using the Kain-Fritsch with Cumulus Potential parameterization developed by (Berg et al., 2013). The shortwave and longwave radiation calculations are performed using the RRTMG scheme (Rapid Radiative Transfer Model for Global applications; (Iacono et al., 2008)).

### 3.2.2 Back-trajectories

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The Lagrangian particle dispersion model FLEXPART-WRF (Brioude et al., 2013) derived from the FLEXPART model (Stohl et al., 2005) is run in this study to investigate the origin and transport pathways of air masses bringing aerosols to Hammerfest. Three backward simulations are performed on 15 May, 05:00 UTC, 20 May, 20:00 UTC and 22 May, 21:00 UTC to provide insight into the representation of aerosol transport to Scandinavia. In each of them, a total of 10 000 particles are released at Hammerfest in a volume of 50 km x 50 km large and 1 km (200 m) thick for 15, 20 May (22 May) centered on the aerosol plumes detected aloft. The origin of each air parcels is then established using the meteorological fields simulated by WRF (Sect. 3.2.1). As transport durations are typically less than 9 days, this approach finally allows us to track the air mass origin over the source regions of interest. As a proxy to represent the source-receptor relationships, we use the PES (potential emission sensitivities) that quantify the amount of time spent by the particles in every grid cell.

### 4 Aerosol observed in the Arctic troposphere

- There are few clear sky periods during the campaign, as is often the case over the studied area. The interesting
- periods are given in terms of AEC and PDR in Figures 5 to 7 (14-15, 20-21, and 22-23 May, 2016), where
- outstanding high-altitude features are highlighted. The temporal evolutions of the AEC profile are given in local
- time (LT) corresponding to UTC+2.

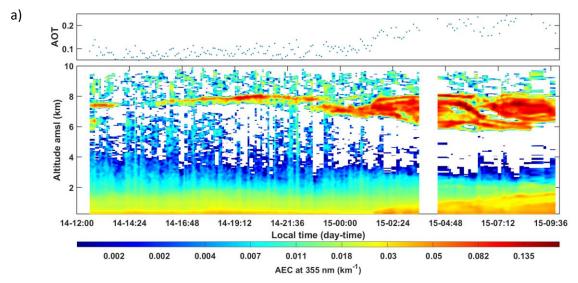
### 4.1 Optical properties of aerosol layers derived from the ground-based lidar

- The coupling between the elastic and the N<sub>2</sub>-Raman channels is used to derive the BER for the different aerosol
- 223 layers. The molecular contribution is corrected using the hourly vertical profiles of temperature derived from WRF
- and a classical modeling of the Rayleigh scattering (Bodhaine et al., 1999). The troposphere has been divided into
- 225 two altitude ranges, as the lower and upper layers are not necessarily composed of the same aerosol types. The
- first aerosol layer is located between the ground level and ~2.5-3 km AMSL and the second one above 3 km
- AMSL. The retrieval of the BER for each layer and each measurement period is given in Figure 8. The correct
- estimate of the BER is obtained when the optical thickness derived from the elastic channel of the lidar is very
- close to that deduced from the  $N_2$ -Raman channel (Chazette et al., 2017).
- On 14-15 May, the mean BER is ~0.018 sr<sup>-1</sup> for the upper layer with a standard deviation of 0.002 sr<sup>-1</sup>, now noted
- $\sim 0.018 \pm 0.002 \text{ sr}^{-1} \text{ (LR} \sim 55 \pm 6 \text{ sr)}$ , whereas as BER is  $\sim 0.028 \pm 0.003 \text{ sr}^{-1} \text{ (LR} \sim 36 \pm 4 \text{ sr)}$  in the lower troposphere
- 232 (Figure 8a). Due to the uncertainty linked to the overlap function, the sensitivity of the first 200 m where marine
- aerosols may significantly contribute is lesser. Nevertheless, the higher value observed in the vicinity of the PBL
- is likely to be associated with a contribution of marine aerosols (BER ~ 0.04 sr<sup>-1</sup> or LR ~ 25 sr (Flamant et al.,
- 235 1998a)). The bottom layer depolarizes very slightly the lidar signal, with PDR <3% and even highlights a lower
- signature (~1.5%) after 0230 LT. It may be due to a larger oceanic contribution, which leads to an increase of the
- AEC in the PBL (~0.04 km<sup>-1</sup>). The upper layer has slightly higher PDR values, of the order of 5-6%. Within this
- range of PDR, the particles cannot be dust-like aerosols. Nonetheless, they are likely to be pollution or biomass
- burning particles transported toward the measurement site. The total AOT, without the upper layer, is close to 0.08
- at 355 nm and increase up to ~0.2 in presence of the higher aerosol plume (Figure 5).

The BER is smaller, 0.012±0.002 sr<sup>-1</sup> (LR ~83±13sr) (Figure 8b) for the upper layer on 20-21 May, a typical value expected for pollution and/or biomass burning aerosols. The PDR is also smaller with a mean value close to 1.5%. The aerosols in the lower troposphere exhibit a larger BER of 0.037±0.003 sr<sup>-1</sup> (LR ~27±2 sr), demonstrating a strong influence of the oceanic sources. There are also associated with a small PDR, ~1%. The AOT in the lower atmosphere is similar to the one on 14-15 May. The elevated aerosol plume presents an excess AOT close to 0.1 at its maximum (Figure 6).

The third period of interest (22-23 May) shows a tiny plume in the middle troposphere, between 3 and 4 km AMSL

(Figure 7), with a very small AOT excess ( $\sim 0.03$ ). The BER (Figure 8c) and PDR are similar to the ones of 20-21 May,  $0.013\pm0.002~\rm sr^{-1}$  (LR  $\sim 77\pm12~\rm sr$ ) and  $\sim 2\%$ , respectively. The layer underneath is less influenced by marine aerosol and shows a BER close to  $0.014\pm0.003~\rm sr^{-1}$  (LR  $\sim 71\pm15~\rm sr$ ), more characteristic of polluted particles. Nonetheless, the layer under 400 m AMSL is more difficult to sample by the lidar and may contain a significant contribution of marine aerosols, as suggested by the slight decrease in PDR (Figure 7b).



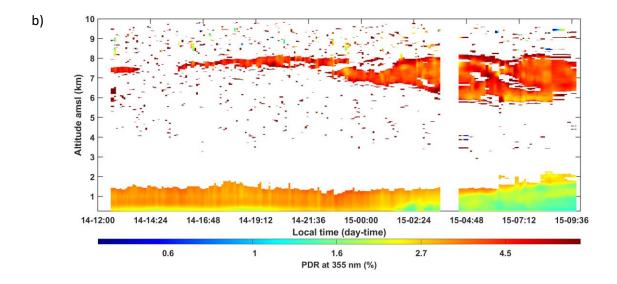
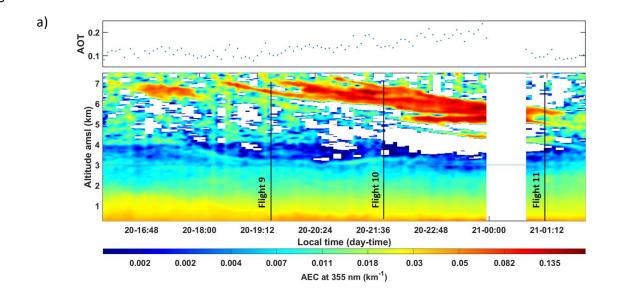


Figure 5: Temporal evolutions of a) the lidar-derived aerosol extinction coefficient (AEC) and the aerosol optical thickness (AOT); b) the particle depolarization ratio (PDR), at the wavelength of 355 nm, from 14 to 15 May, 2016.



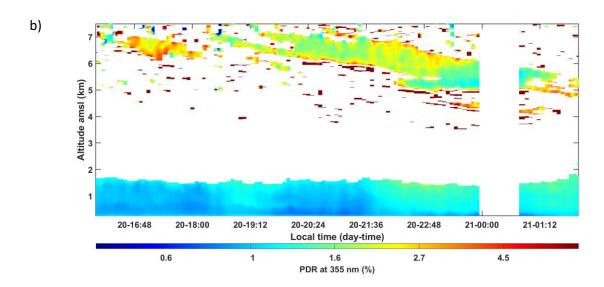
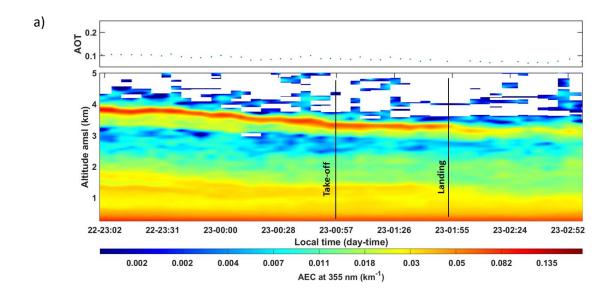


Figure 6: As Figure 5 but from 20 to 21 May, 2016.

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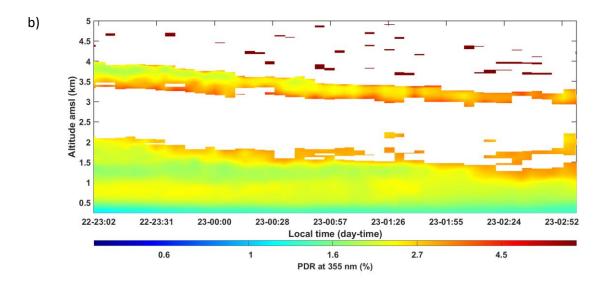
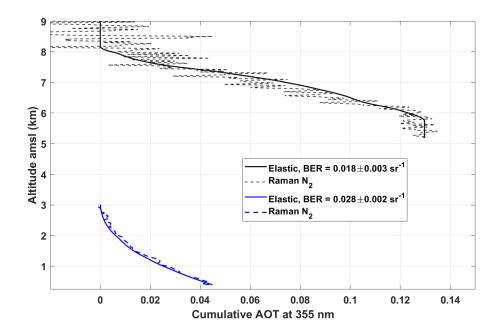
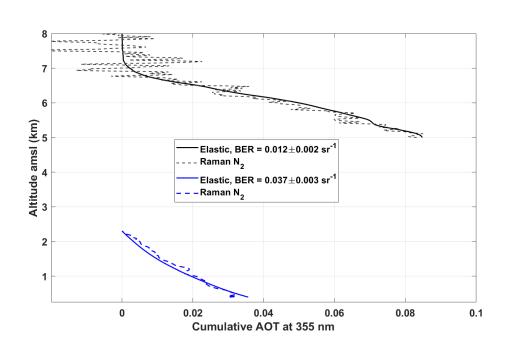


Figure 7: As Figure 5 but between 22 and 23 May 2016.





# b)



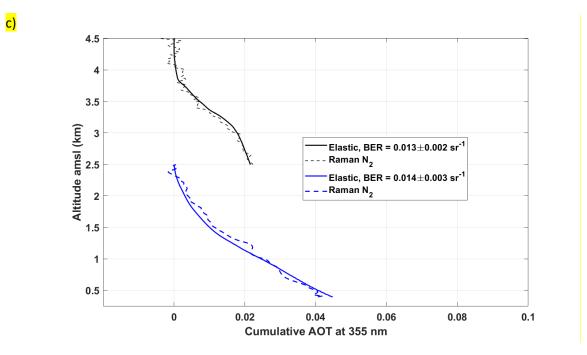


Figure 8: Cumulative aerosol optical thickness (AOT) derived from both the  $N_2$ -Raman (dashed line) and the elastic (continuous lines) channels for the upper (black lines) and the lower (blue line) aerosol layers at 355 nm: a) 14-15 May; b) 20-21 May; c) 22-23 May.

### 4.2 Homogeneity of aerosol layers within the lower troposphere

The lidar-derived aerosol optical properties in the lower troposphere look like homogeneous structures that can be related to the specific situation of the ground-based site. Different sources of aerosols may influence the PBL, the main ones being marine aerosols and anthropogenic aerosols generated in the Hammerfest region (domestic combustion, industrial activity, shipping emissions). To verify the representativeness of the local measurements, we used lidar measurements from the ULA.

### 4.2.1 Marine contribution

The AEC retrieved for flights 10 and 11 are given in Figure 9 with the mean vertical profiles between the ground level and the ceiling flight altitude in both cases, AOTs are low with a small variability of the order of  $0.05\pm0.01$ . Higher AECs are observed in the northeastern part of the flights (red areas). Because we did not detect many ships in this area, those AEC enhancements are probably due to sea-salts. They may be transported over the nearby coast as the result of the interactions between wind surface and sea (Blanchard and Woodcock, 1980; Flamant et al., 1998b). We note that local pollution is missing altogether.

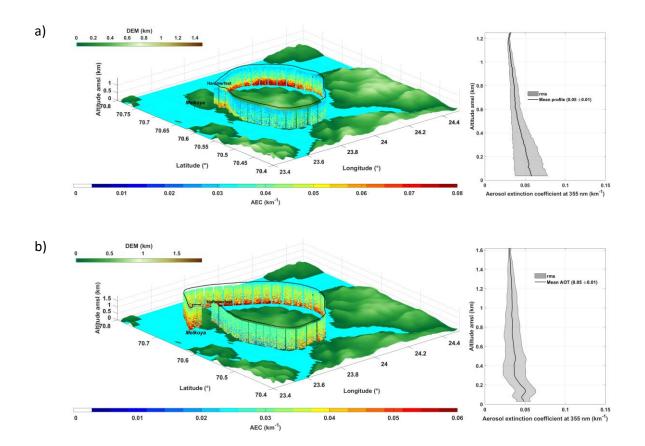


Figure 9: Vertical profiles of the aerosol extinction coefficient (AEC) derived from the lidar onboard the ULA: a) flight 10 and b) flight 11. The mean AEC vertical profiles and their dispersions are given on the right table. As in Figure 4, the flights are plotted over the digital elevation model (DEM) GTOPO30.

### 4.2.2 Gas flaring contribution

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The proximity of the gas rig from the Melkoya facility suggests the presence of an industrial source of aerosol and needed to be quantified. The lowest chimney (~46 m, 70°41'20" N 23°35'59" E) of the Melkoya site used for the low-pressure flare was regularly active during the field experiment and more especially on 16 May (flight 4). The flare (Figure 10, right picture) at the time of sampling was ~20 m above the chimney, with a width ~5 m. On that day, flare smoke presented some blackish color because some hard hydrocarbons (condensate) were present in flare gas. The flight pattern shown in Figure 4 is elongated in Figure 10 using profile number for the sake of clarity. The locations of the ULA when it was close to the flare are highlighted (profiles ~#18 and ~#154) and correspond to the higher AEC of ~0.07 km<sup>-1</sup>. For the second pass, the flare plume is detected from its emission source. The contribution of this flare emission to the AOT is low, ~0.02 at 355 nm for a total AOT between the ground level and 1 km AMSL of ~0.04. The calculation has been done with a BER ~0.037 sr<sup>-1</sup> (LR ~ 27 sr) and may be underestimated by a factor of 2, as experimental means for a better constraint do not exist. The aerosol particles may age in different ways. These processes depend on the initial chemical composition which will lead to the coagulation and/or the adsorption of gaseous molecules on the surface of the existing aerosols. In general, this process is quite fast and occurs when relaxing in the atmosphere, i.e. at the exit of the chimney. The particles thus formed may be more or less reactive, and more or less hygroscopic. Their size distribution, as well as their complex refractive index can change, especially in the presence of relative humidity greater than 50-60 (Randriamiarisoa et al., 2006). They can therefore become more scattering, and generally less absorbent. The AOT may therefore increase during their aging in the atmosphere. We cannot afford to propose more insight about this phenomenon because of the lack of in-situ chemical analysis during the field experiment. Nevertheless, we note that taken individually, it is a small contribution to the local pollution (representing half of the aerosol background in the first kilometer) and it is very localized in space.

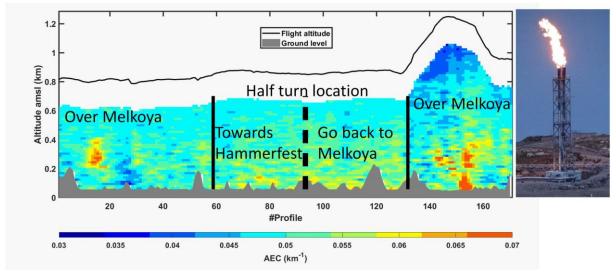
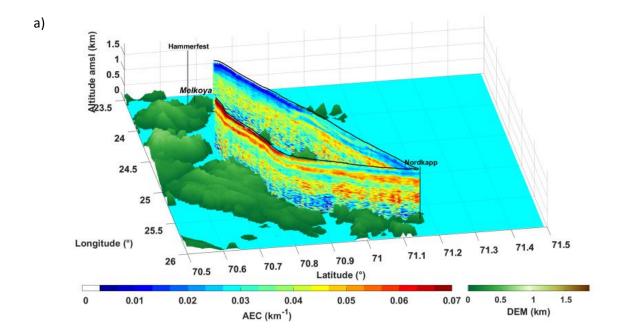


Figure 10: Left table: Vertical profile of the aerosol extinction coefficient (AEC) during the flight 4 (16 May, 2239-2324 local time) dedicated to the sampling of the Melkoya flare at 355 nm. Right picture: Flare sampled by the airborne lidar over the Melkoya platform.

#### 4.2.3 Northern contribution

During the duration of the experiment, we did not observe any specific contribution to the aerosol load in the lowest troposphere above the PBL. An exception was for Flight 13 on 22 May, 21:38-23:58 UTC, which was the longest flight we performed. The vertical profiles of the derived AEC following this flight are plotted on Figure 11a. In the first part of the flight, we note an increase in the AEC close to the ceiling altitude of ~1.7 km AMSL with values over 0.07 km<sup>-1</sup>. Similar values are measured throughout the flight above the PBL (in red in Figure 11a). The AOT is ~0.06 above the continent and decreases above the ocean (~0.04). The means of constraint are also limited in this case, because the signal-to-noise ratio for the N<sub>2</sub>-Raman channel was not high enough and a BER of 0.014 sr<sup>-1</sup> (LR ~ 71 sr), initially derived from the ground-based lidar, has been used. The measurements performed during the flight whilst aiming horizontally are also used as constraints. The aerosol layer has been identified as coming from the Murmansk region, Russia. The air mass moves along the coast from east to west, drawn by a low off the Norway coast along the Greenwich meridian. This low is clearly visible in the Figure 11b and is responsible for the air mass curvature before its northward motion towards Hammerfest and the North Cape.



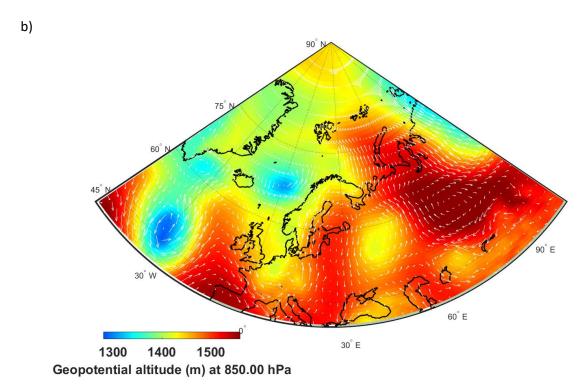


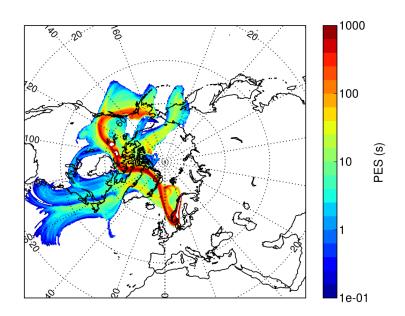
Figure 11: a) Vertical profiles of the aerosol extinction coefficient (AEC) derived from the lidar onboard the ULA for flight 13 on 22 May, 21:38-23:58 UTC. As in Figure 4, the flights are plotted over the digital elevation model (DEM) GTOPO30. b) Geopotential altitude for the pressure level of 850 hPa (~1.6 km AMSL). The wind field at 850 hPa is also indicated in white arrows.

### 5 Origin of the upper tropospheric aerosol plumes

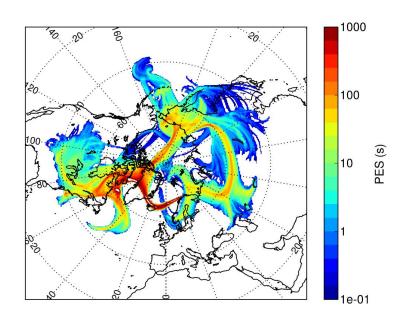
To investigate the origin of the three upper aerosol layers, 9-days back trajectories have been performed using FLEXPART-WRF and constrained by the meteorological fields simulated by WRF over the Arctic region. The

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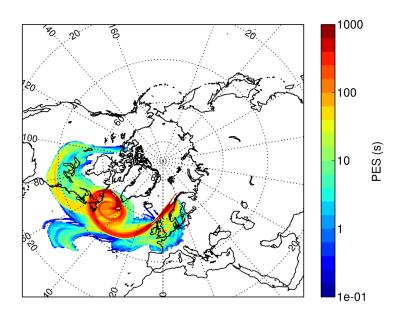


Figure 12: 9-days back trajectories for the upper aerosol plume observed over Hammerfest on: a) 14-15 May, b) 20-21 May and c) 22-23 May, 2016. The back trajectories are given in terms of potential emission sensitivity (PES).

## 5.1 Aerosol plume on 14-15 May

On May, 8-9, an aerosol plume was injected in the higher troposphere following the strong forest fires which occurred close to Fort McMurray (56.72°N 111.38°W, North-Eastern Alberta, Canada). As shown in Figure 13, the aerosol plume has been sampled by MODIS on 8 May, with an AOT larger than 0.4 at 550 nm. In the same figure, the thermal anomalies derived from MODIS are also given for both the nominal and the high confidence levels. The aerosol typing derived from CALIOP is plotted in Figure 14a. It confirms the injection of biomass burning aerosols between 6 and 7 km AMSL. The plume then moves north-west of Hudson Bay and reaches Baffin Sea on 12 May. It then crosses Northern Greenland and goes on to cross the Greenland Sea on 13 May. A pronounced northerly flow finally brings the plume to Hammerfest, bypassing the low pressure system located off Norway and responsible for the plume curvature. Elevated smoke aerosols are identified by CALIOP over the Baffin Sea and Greenland Sea as shown in Figure 14b and Figure 14c, respectively. We observed a similar transport of biomass burning aerosol over the Mediterranean Sea, leading to a BER of  $0.025 \text{ sr}^{-1}$  (Chazette et al., 2016) higher than the one retrieved here ( $\sim 0.018 \pm 0.002 \text{ sr}^{-1}$ , LR  $\sim 56 \pm 6 \text{ sr}$ ). There is no reason for a typical BER value for biomass burning aerosols. Indeed, the BER is highly dependent on the chemical composition of aerosols via the complex refractive index, but also on their size distribution. Furthermore, both size distribution and chemical composition of biomass burning aerosols depend on the type of combustion and the intensity of the fire. Moreover, aerosols age during transport. Hence, a wide range of BER values is likely for biomass burning aerosol after a long-range transport (Amiridis et al., 2009).

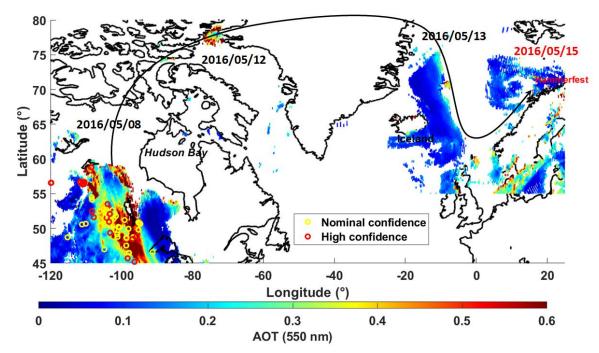
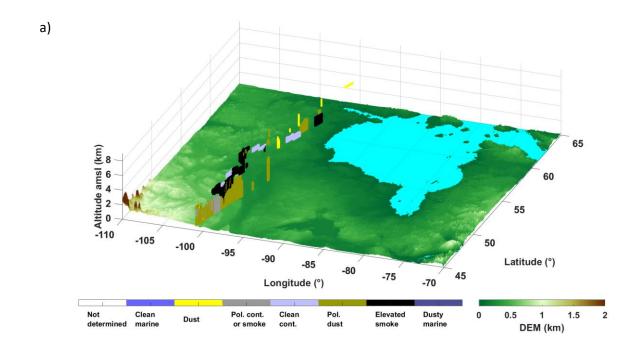
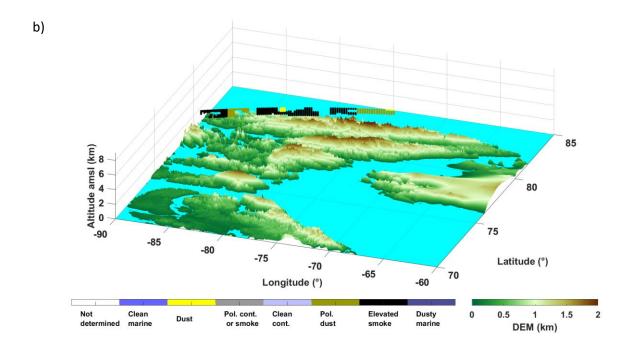


Figure 13: MODIS-derived aerosol optical thickness (AOT) at 550 nm for three different days and locations. The dates are indicated in the figure. The thermal anomalies derived from the MODIS fire product are also given on 8 May, 2016, corresponding to the origin of the studied aerosol plume studied. The route followed by the biomass burning plume is represented by a black solid line. It begins on 8 May, to finish on 15 May.



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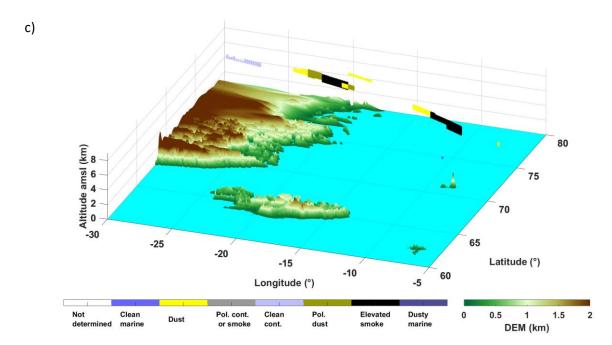
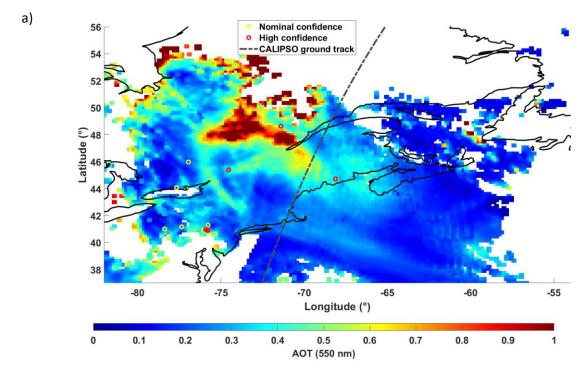


Figure 14: CALIOP-derived aerosol typing for a) the Fort McMurray on 8 May, b) the Baffin Sea on 12 May, and c) the Greenland Sea on 13 May, corresponding to the plume identified by MODIS in Figure 13.

# 5.2 Aerosol plume on 20-21 May

As for the previous aerosol plume, the origin seems to be from Canada. The back trajectories show potential contributions from Russia, but checking the spaceborne observations corresponding with the potential plume location, we do not identify any forest fires or anthropogenic emissions. The Canadian origin could not be clearly established from MODIS observation due to strong cloud cover. A large plume (AOT> 0.8) is found over the St. Lawrence region on 12 May, (Figure 15a) and corresponds to the transport of air masses along the back trajectories. Continuing the back trajectories, the Fort McMurray area, where forest fires have persisted, also appears to be the main source. An orbit of CALIPSO passes over the eastern part of the plume on 12 May, and

shows that it is mainly composed of elevated smoke aerosols from Canada (Figure 15b). The BER that has been found  $(0.012 \text{ sr}^{-1}, \text{LR} \sim 73 \text{ sr})$  can also be attributed to biomass burning aerosols. However, given the possible values, it is not a criterion.



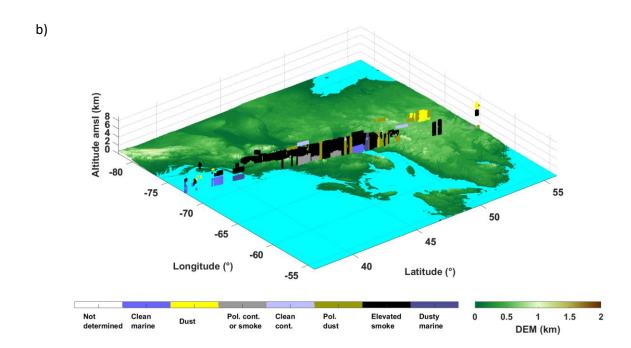


Figure 15: a) MODIS-derived aerosol optical thickness (AOT) at 550 nm and thermal anomalies on 8 May, 2016; b) CALIOP-derived aerosol typing (orbit 2016-05-12T06-53-10ZN). The CALIPSO ground track is indicated in a).

### 5.3 Aerosol plume on 22-23 May

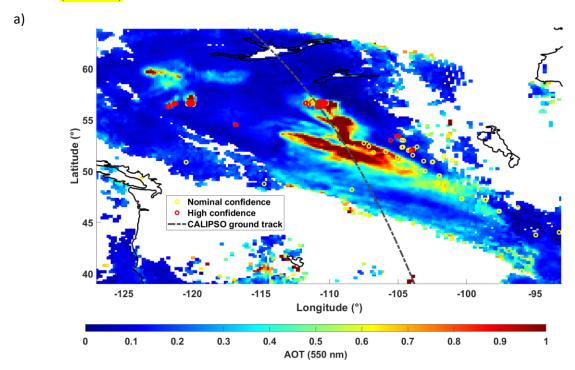
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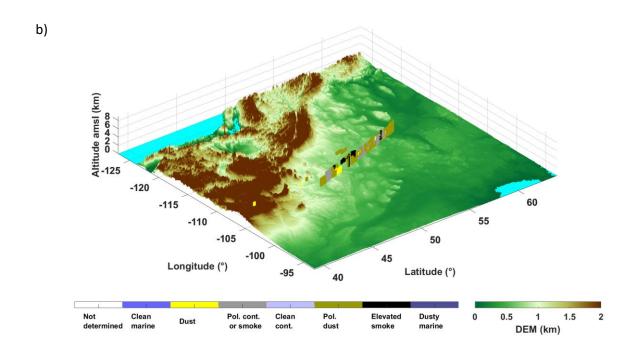
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The origin of this last aerosol plume is more easily identified to be the Canada, also in the area of Fort Mc Murray, on 15 May. The aerosol plume emitted by the forest fires is well circumscribed by MODIS with AOTs greater than 1. The locations of the fires are also indicated by the thermal anomaly. The CALIPSO orbit passes just above the plume and offers the possibility to characterize the aerosols as elevated smoke, polluted continental or smoke and polluted dust. As for the aerosol plume on 20-21 May, the same remark can be made on the derived BER of  $0.013 \text{ sr}^{-1}$  (LR ~77 sr).





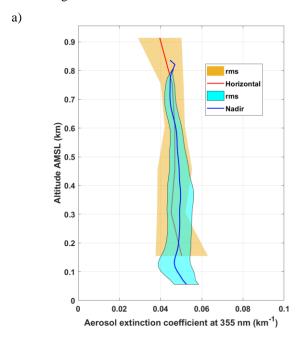
### 6 Data coherence

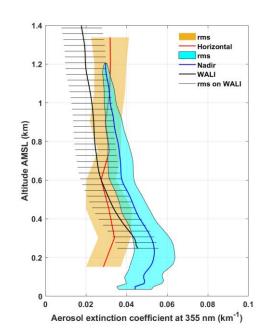
### 6.1 Coherence on the vertical profiles

For higher altitude aerosol layers, we do not have any airborne observations to check the consistency of the results with the lidar embedded on the ULA. Nonetheless, we have that possibility for the lower troposphere. Figure 17 shows the comparison between different approaches to retrieve the AEC vertical profile within the first 2 km of the atmosphere. Horizontal and nadir lines of sight measurements performed from the ULA are compared for the 4 flights considered. We consider the closer 10 nadir profiles from the location of the spiral ascent (or descent). In all the cases, the AEC profiles derived from the different approaches are all in agreement within 0.01 km<sup>-1</sup> of uncertainty.

On 16 May, ground-based lidar data are not available due to low cloud cover. For the three other days, the 20 profiles closer in time to the airborne lidar profiles are considered. They are plotted with a solid line, together with their error bars in Figure 17b-d. For the flights 10 and 11 a slight underestimation is noted, but error bars overlap (within ~0.01 km<sup>-1</sup>). The WALI-derived AEC profile is a better match with the ones derived from the airborne lidar for flight 13, except in the PBL where they highlight a larger AEC. Such a discrepancy may be due to the fact that measurements from the ULA were mainly preformed over the ocean (Figure 11a). Note that the AEC profile derived from nadir measurement is not drawn with its rms to lighten the figure, knowing that it is like that of other flights.

b)





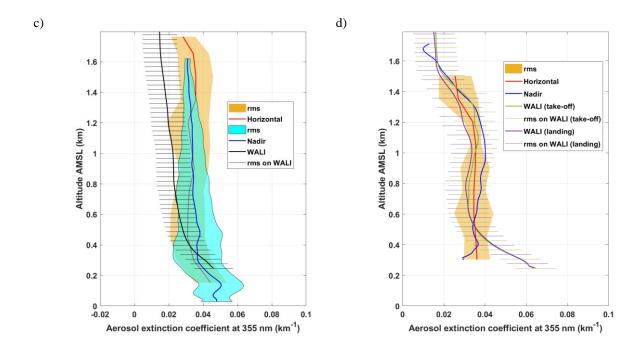
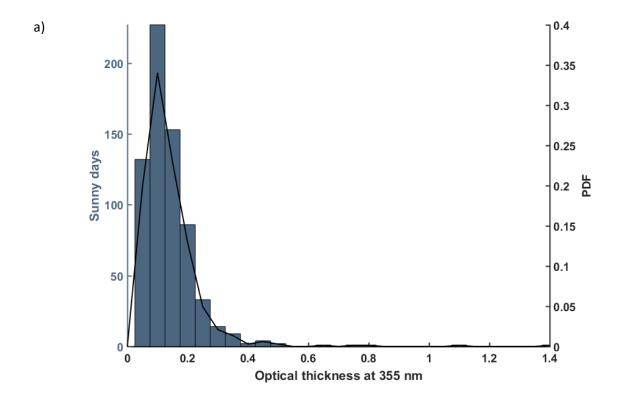


Figure 17: Vertical profiles of the AEC derived from the airborne and ground-based lidars for times corresponding to a) flight 4, b) flight 10 & 11, and d) flight 13.

### 6.2 Coherence on the aerosol optical thickness

Lidar-derived AOTs are checked against a SOLAR Light® Microtops II manual sunphotometer. The measurements were performed in clear sky condition during the three observation periods presented in Table 3. Measurements have not been continuous, since they have been carried out alternatively with lidar observations. On 13 and 14 May, mean AOT at 355 nm of  $0.059 \pm 0.005$  is derived and matches very well the value retrieved from lidar measurement outside the upper aerosol plume. In the same conditions, we report AOTs of  $0.084 \pm 0.005$  and  $0.073 \pm 0.005$  on 19 and 20 May, respectively. Note that manual solar targeting induces an additional non-systematic bias, which leads to an absolute uncertainty assessed as of the order of 0.03 when comparing with simultaneous measurements by an automated sunphotometer before the field campaign.

We note a low background AOT over Hammerfest, which is between 0.06 and 0.08 at 355 nm (~0.04±0.01 at 550 nm). Such a value appears to match the one derived from the available MODIS data leading to ~0.05±0.06 during the entire field campaign. To consider a longer time frame, we give the histograms of AOT and Ångström exponent from 2008 to 2016 for the closer AERONET station of Andenes (69N 16E, ~320 km southwest of Hammerfest) in Figure 18. The mean AOT at 355 nm is lower than 0.1 with a standard deviation of ~0.5. The Ångström exponent is very variable, mainly between 0.5 and 2, due to long-range transport aerosol (anthropogenic pollution, biomass burning and Saharan dust) originated in central and eastern Europe (Rodríguez et al., 2012). Note that the Ångström exponent derived from the manual sunphotometer is between 1.2 and 1.7, when considering the wavelengths of 380 and 500 nm.



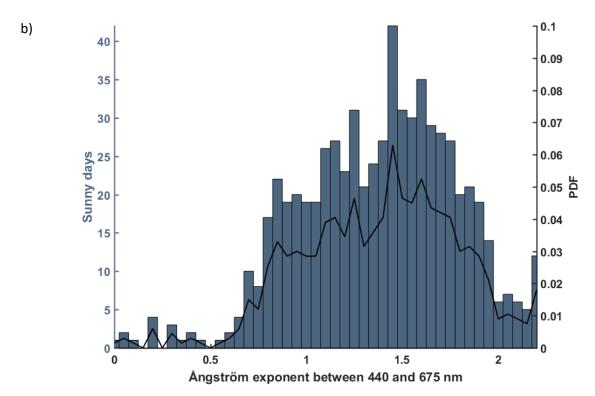


Figure 18: Histograms of a) the aerosol optical thickness at 355 nm and b) the Ångström exponent between 440 and 675 nm for the AERONET station of Andenes (69N 16E). The data are for the clear days between 2008 and 2016. The probability density functions (PDF) are also given.

# 420 7 Conclusion

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421 This work contributes to shed light on the abundance of aerosols in late spring over the European Arctic. During 422 the PARCS field-campaign, from 13 to 26 May, 2016, we collected an original dataset of remote sensing 423 measurements performed with ground-based and airborne (ULA) lidars. We evidenced 3 cases of aerosol long-424 range transport over 2 weeks, originating from the Fort McMurray area, where strong forest fires occurred. They 425 followed different pathways to reach Northern Norway, but they significantly increased the AOT by a factor of up 426 to ~2. The AOT was enhanced from a background value of ~0.08 (~0.05), if not less, to ~0.2 (0.12) at the 427 wavelength of 355 nm (550 nm). This may imply a strong influence of long range transport of biomass burning 428 aerosols on the radiative budget over the Arctic area. 429 In the lower troposphere, below 3 km AMSL, the aerosol load is weak and corresponds to the previously observed 430 background value. In Hammerfest, airborne lidar measurements have shown a strong homogeneity of the PBL. 431 The main causes inducing a heterogeneity are i) the marine aerosol production, which is a function of the surface 432 wind speed, ii) the advection of northern air masses from industrial sites in Russia (Murmansk region), and iii) the 433 contribution of the Melkoya facility flares. We noted a very local effect of the active low-pressure flare, with an 434 enhancement close to 0.02 of the AOT at 355 nm. The effect on the environment therefore appears to be weak. 435 Because this plant is rather isolated, extending the conclusions to larger oil and gas rigs like those identified in 436 Figure 1 is hardly possible and would be purely speculative. 437 From an experimental perspective, the coupling between ground-based and airborne lidar measurements proved 438 to be essential for data analysis. The lidar systems are complementary and the coupled approach allows 439 confirmation of the results. With ULA flights, however, we remain in the vicinity of the ground station and flights 440 with larger carriers would be more suited to the regional scale. Nevertheless, one would lose in flexibility of 441 execution and in repetitiveness of measurement, inevitably limited by the cost of the flights. 442 Acknowledgments: This work was supported by the French Institut National de l'Univers (INSU) of the Centre 443 National de la Recherche Scientifique (CNRS) via the French Arctic initiative. The Commissariat à l'Energie 444 Atomique et aux énergies alternatives (CEA) is acknowledged for its support. We thank Yoann Chazette, Nathalie 445 Toussaint and Sébastien Blanchon for their help during the field experiment. The ULA flights were performed by 446 Franck Toussaint. The Avinor crew of Hammerfest Airport, represented by Hans-Petter Nergård, and the company 447 Air Creation company are acknowledged for their hospitality. Dr. K. S. Law is acknowledged for securing the 448 funding of the Pollution in the ARCtic System campaign. Support on computer modeling by T. Onishi was granted,

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